

Dirac and Majorana Leptonic CP Violation

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There have been remarkable discoveries in neutrino physics in the last ~ 16 years.

Compelling Evidence for ν -Oscillations

— ν_{atm} : **SK** UP-DOWN ASYMMETRY

θ_z -, L/E - dependences of μ -like events

Dominant $\nu_{\mu} \rightarrow \nu_{\tau}$ K2K, MINOS, T2K; CNGS (OPERA)

— $\nu_{\odot}$: Homestake, Kamiokande, **SAGE**, **GALLEX/GNO**

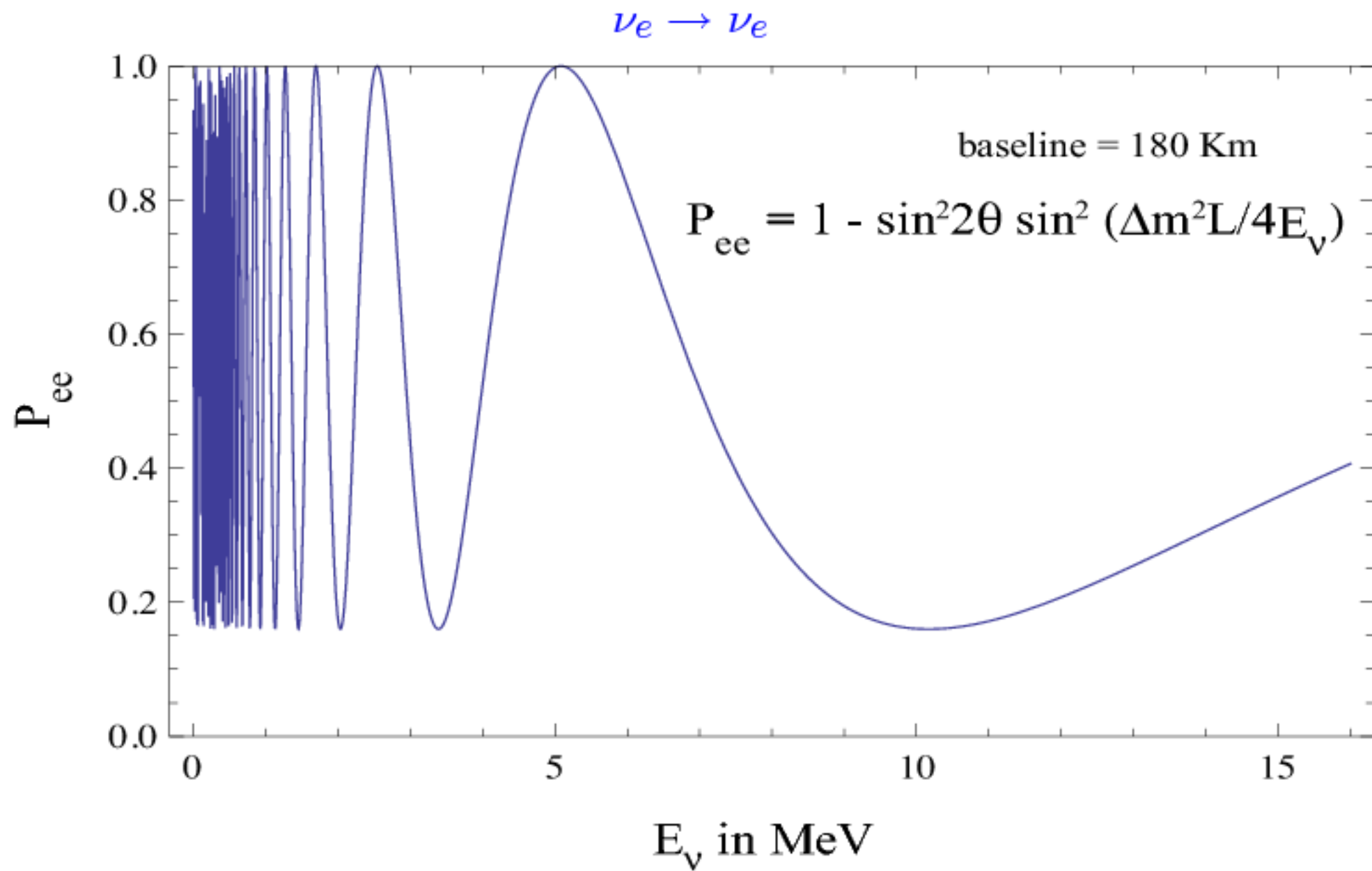
Super-Kamiokande, **SNO**, **BOREXINO**; KamLAND

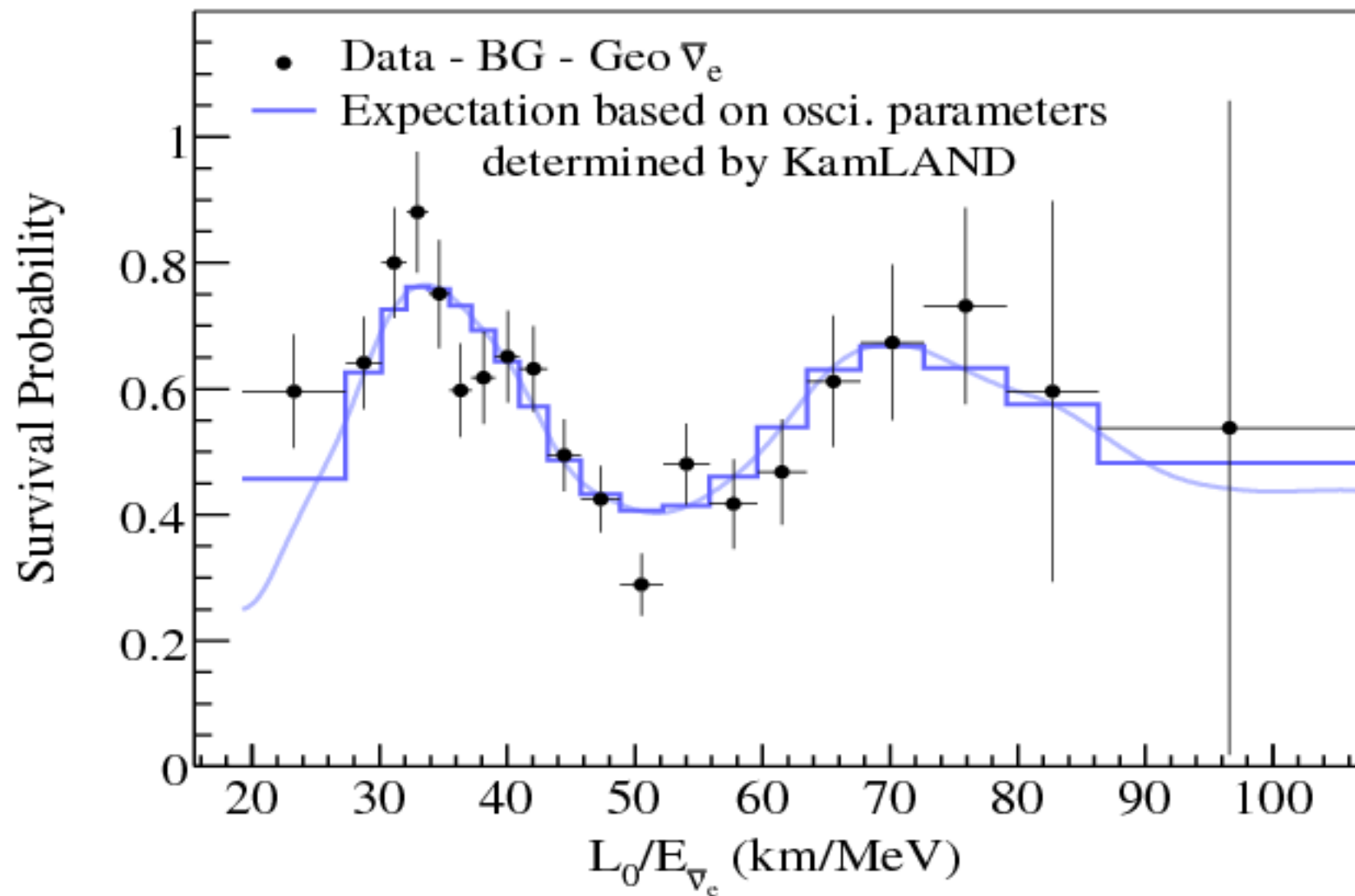
Dominant $\nu_e \rightarrow \nu_{\mu,\tau}$ **BOREXINO**

— $\bar{\nu}_e$ (from reactors): Daya Bay, RENO, Double Chooz

Dominant $\bar{\nu}_e \rightarrow \bar{\nu}_{\mu,\tau}$

T2K, MINOS (ν_{μ} from accelerators): $\nu_{\mu} \rightarrow \nu_e$





KamLAND: L/E -Dependence (reactor $\bar{\nu}_e$, $\bar{L} = 180$ km, $E = (1.8 - 10)$ MeV)

Compelling Evidences for ν -Oscillations: ν mixing

$$|\nu_l\rangle = \sum_{j=1}^n U_{lj}^* |\nu_j\rangle, \quad \nu_j : m_j \neq 0; \quad l = e, \mu, \tau; \quad n \geq 3;$$

$$\nu_{lL}(x) = \sum_{j=1}^n U_{lj} \nu_{jL}(x), \quad \nu_{jL}(x) : m_j \neq 0; \quad l = e, \mu, \tau.$$

B. Pontecorvo, 1957; 1958; 1967;

Z. Maki, M. Nakagawa, S. Sakata, 1962;

U is the Pontecorvo-Maki-Nakagawa-Sakata (PMNS) neutrino mixing matrix.

$\nu_j, m_j \neq 0$: Dirac or Majorana particles.

Data: at least 3 ν s are light: $\nu_{1,2,3}, m_{1,2,3} \lesssim 1$ eV.

We can have $n > 3$ ($n = 4$, or $n = 5$, or $n = 6, \dots$) if, e.g., **sterile** ν_R , $\tilde{\nu}_L$ exist and they mix with the active flavour neutrinos ν_l ($\tilde{\nu}_l$), $l = e, \mu, \tau$.

Two (extreme) possibilities:

i) $m_{4,5,\dots} \sim 1$ eV;

in this case $\nu_{e(\mu)} \rightarrow \nu_S$ oscillations are possible (hints from LSND and MiniBooNE experiments, re-analyses of short baseline (SBL) reactor neutrino oscillation data (“reactor neutrino anomaly”), data of radioactive source calibration of the solar neutrino SAGE and GALLEX experiments (“Gallium anomaly”));

ii) $M_{4,5,\dots} \sim (10^2 - 10^3)$ GeV, TeV scale seesaw models;
 $M_{4,5,\dots} \sim (10^9 - 10^{13})$ GeV, “classical” seesaw models.

We can also have, in principle:

$m_4 \sim 1$ eV ($\nu_{e(\mu)} \rightarrow \nu_S$), $m_5 \sim 5$ keV (DM), $M_6 \sim (10 - 10^3)$ GeV (seesaw).

- Data (relativistic ν 's): ν_l ($\tilde{\nu}_l$) - predominantly LH (RH).
Standard Theory: $\nu_l, \tilde{\nu}_l - \nu_{lL}(x)$;

$\nu_{lL}(x)$ form doublets with $l_L(x)$, $l = e, \mu, \tau$:

$$\begin{pmatrix} \nu_{lL}(x) \\ l_L(x) \end{pmatrix} \quad l = e, \mu, \tau .$$

- No (compelling) evidence for existence of (relativistic) ν 's ($\tilde{\nu}$'s) which are predominantly RH (LH): ν_R ($\tilde{\nu}_L$.)

If $\nu_R, \tilde{\nu}_L$ exist, must have much weaker interaction than $\nu_l, \tilde{\nu}_l$: $\nu_R, \tilde{\nu}_L$ - "sterile", "inert" .

B. Pontecorvo, 1967

In the formalism of the ST, ν_R and $\tilde{\nu}_L$ - RH ν fields $\nu_R(x)$; can be introduced in the ST as $SU(2)_L$ singlets.

No experimental indications exist at present whether the SM should be minimally extended to include $\nu_R(x)$, and if it should, how many $\nu_R(x)$ should be introduced.

$\nu_R(x)$ appear in many extensions of the ST, notably in $SO(10)$ GUT's.

The RH ν 's can play crucial role

- i) in the generation of $m(\nu) \neq 0$,
- ii) in understanding why $m(\nu) \ll m_l, m_q$,
- iii) in the generation of the observed matter-antimatter asymmetry of the Universe (via leptogenesis).

The simplest hypothesis is that to each $\nu_{lL}(x)$ there corresponds a $\nu_{lR}(x)$, $l = e, \mu, \tau$.

ST + $m(\nu) = 0$: $L_l = \text{const.}$, $l = e, \mu, \tau$;
 $L \equiv L_e + L_\mu + L_\tau = \text{const.}$

All compelling data compatible with 3- ν mixing:

$$\nu_{lL} = \sum_{j=1}^3 U_{lj} \nu_{jL} \quad l = e, \mu, \tau.$$

The PMNS matrix U - 3×3 unitary to a good approximation (at least: $|U_{l,n}| \lesssim (\ll) 0.1$, $l = e, \mu$, $n = 4, 5, \dots$).

ν_j , $m_j \neq 0$: Dirac or Majorana particles.

3- ν mixing: 3-flavour neutrino oscillations possible.

ν_μ , E ; at distance L : $P(\nu_\mu \rightarrow \nu_{\tau(e)}) \neq 0$, $P(\nu_\mu \rightarrow \nu_\mu) < 1$

$$P(\nu_l \rightarrow \nu_{l'}) = P(\nu_l \rightarrow \nu_{l'}; E, L; U; m_2^2 - m_1^2, m_3^2 - m_1^2)$$

Data: the 3 ν s are light: $\nu_{1,2,3}$, $m_{1,2,3} \lesssim 1$ eV.

Three Neutrino Mixing

$$\nu_{lL} = \sum_{j=1}^3 U_{lj} \nu_{jL} .$$

U is the Pontecorvo-Maki-Nakagawa-Sakata (PMNS) neutrino mixing matrix,

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix}$$

- U - $n \times n$ unitary:

	n	2	3	4
mixing angles:	$\frac{1}{2}n(n-1)$	1	3	6

CP-violating phases:

- ν_j - Dirac: $\frac{1}{2}(n-1)(n-2)$ 0 1 3
- ν_j - Majorana: $\frac{1}{2}n(n-1)$ 1 3 6

$n = 3$: 1 Dirac and
2 additional CP-violating phases, Majorana phases

Majorana Neutrinos

Can be defined in QFT using fields or states.

Fields: $\chi_k(x)$ - 4 component (spin 1/2), complex, m_k

Majorana condition:

$$C (\bar{\chi}_k(x))^T = \xi_k \chi_k(x), \quad |\xi_k|^2 = 1$$

- Invariant under proper Lorentz transformations.
- Reduces by 2 the number of components in $\chi_k(x)$.

Implications:

$$U(1) : \chi_k(x) \rightarrow e^{i\alpha} \chi_k(x) - \text{impossible}$$

- $\chi_k(x)$ cannot absorb phases.
- $Q_{U(1)} = 0 : Q_{\text{el}} = 0, L_l = 0, L = 0, \dots$
- $\chi_k(x)$: 2 spin states of a spin 1/2 absolutely neutral particle
- $\chi_k \equiv \bar{\chi}_k$

Propagators: $\Psi(x)$ –Dirac, $\chi(x)$ –Majorana

$$\langle 0|T(\Psi_\alpha(x)\bar{\Psi}_\beta(y))|0\rangle = S_{\alpha\beta}^F(x-y) ,$$

$$\langle 0|T(\Psi_\alpha(x)\Psi_\beta(y))|0\rangle = 0 , \quad \langle 0|T(\bar{\Psi}_\alpha(x)\bar{\Psi}_\beta(y))|0\rangle = 0 .$$

$$\langle 0|T(\chi_\alpha(x)\bar{\chi}_\beta(y))|0\rangle = S_{\alpha\beta}^F(x-y) ,$$

$$\langle 0|T(\chi_\alpha(x)\chi_\beta(y))|0\rangle = -\xi^* S_{\alpha\kappa}^F(x-y)C_{\kappa\beta} ,$$

$$\langle 0|T(\bar{\chi}_\alpha(x)\bar{\chi}_\beta(y))|0\rangle = \xi C_{\alpha\kappa}^{-1}S_{\kappa\beta}^F(x-y)$$

$$U_{CP} \chi(x) U_{CP}^{-1} = \eta_{CP} \gamma_0 \chi(x'), \quad \eta_{CP} = \pm i .$$

PMNS Matrix: Standard Parametrization

$$U = V P, \quad P = \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\frac{\alpha_{21}}{2}} & 0 \\ 0 & 0 & e^{i\frac{\alpha_{31}}{2}} \end{pmatrix},$$

$$V = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

- $s_{ij} \equiv \sin \theta_{ij}$, $c_{ij} \equiv \cos \theta_{ij}$, $\theta_{ij} = [0, \frac{\pi}{2}]$,
- δ - Dirac CPV phase, $\delta = [0, 2\pi]$; CP inv.: $\delta = 0, \pi, 2\pi$;
- α_{21} , α_{31} - Majorana CPV phases; CP inv.: $\alpha_{21(31)} = k(k')\pi$, $k(k') = 0, 1, 2, \dots$

S.M. Bilenky, J. Hosek, S.T.P., 1980

- $\Delta m_{\odot}^2 \equiv \Delta m_{21}^2 \cong 7.54 \times 10^{-5} \text{ eV}^2 > 0$, $\sin^2 \theta_{12} \cong 0.308$, $\cos 2\theta_{12} \gtrsim 0.28$ (3σ),
- $|\Delta m_{31(32)}^2| \cong 2.47$ (2.42) $\times 10^{-3} \text{ eV}^2$, $\sin^2 \theta_{23} \cong 0.437$ (0.455), NO (IO),
- θ_{13} - the CHOOZ angle: $\sin^2 \theta_{13} = 0.0234$ (0.0240), NO (IO).

F. Capozzi et al. (Bari Group), arXiv:1312.2878v2 (May 5, 2014)

- Fogli et al., Phys. Rev. D86 (2012) 013012, global analysis, b.f.v.: $\sin^2 \theta_{13} = 0.0241$ (0.0244), NO (IO).

- $\Delta m_{\odot}^2 \equiv \Delta m_{21}^2 \cong 7.54 \times 10^{-5} \text{ eV}^2 > 0$, $\sin^2 \theta_{12} \cong 0.308$, $\cos 2\theta_{12} \gtrsim 0.28$ (3σ),

- $|\Delta m_{31(32)}^2| \cong 2.47$ (2.42) $\times 10^{-3} \text{ eV}^2$, $\sin^2 \theta_{23} \cong 0.437$ (0.455), NO (IO),

- θ_{13} - the CHOOZ angle: $\sin^2 \theta_{13} = 0.0234$ (0.0240), NO (IO).

- $1\sigma(\Delta m_{21}^2) = 2.6\%$, $1\sigma(\sin^2 \theta_{12}) = 5.4\%$;

- $1\sigma(|\Delta m_{31(23)}^2|) = 2.6\%$, $1\sigma(\sin^2 \theta_{23}) = 9.6\%$;

- $1\sigma(\sin^2 \theta_{13}) = 8.5\%$;

- $3\sigma(\Delta m_{21}^2) : (6.99 - 8.18) \times 10^{-5} \text{ eV}^2$; $3\sigma(\sin^2 \theta_{12}) : (0.259 - 0.359)$;

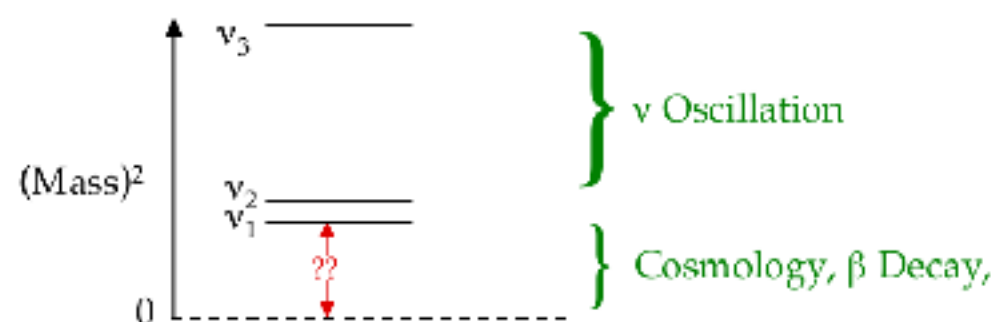
- $3\sigma(|\Delta m_{31(23)}^2|) : 2.27(2.23) - 2.65(2.60) \times 10^{-3} \text{ eV}^2$;
 $3\sigma(\sin^2 \theta_{23}) : 0.374(0.380) - 0.628(0.641)$;

- $3\sigma(\sin^2 \theta_{13}) : 0.0176(0.0178) - 0.0295(0.0298)$.

F. Capozzi et al. (Bari Group), arXiv:1312.2878v2 (May 5, 2014)

Absolute Neutrino Mass Scale

The Absolute Scale of Neutrino Mass



How far above zero
is the whole pattern?

$$\text{Oscillation Data} \Rightarrow \sqrt{\Delta m_{\text{atm}}^2} < \text{Mass}[\text{Heaviest } \nu_i]$$

- $\text{sgn}(\Delta m_{\text{atm}}^2) = \text{sgn}(\Delta m_{31(32)}^2)$ not determined

$$\Delta m_{\text{atm}}^2 \equiv \Delta m_{31}^2 > 0, \quad \text{normal mass ordering (NO)}$$

$$\Delta m_{\text{atm}}^2 \equiv \Delta m_{32}^2 < 0, \quad \text{inverted mass ordering (IO)}$$

Convention: $m_1 < m_2 < m_3$ - NO, $m_3 < m_1 < m_2$ - IO

$$\Delta m_{31}^2(\text{NO}) = -\Delta m_{32}^2(\text{IO})$$

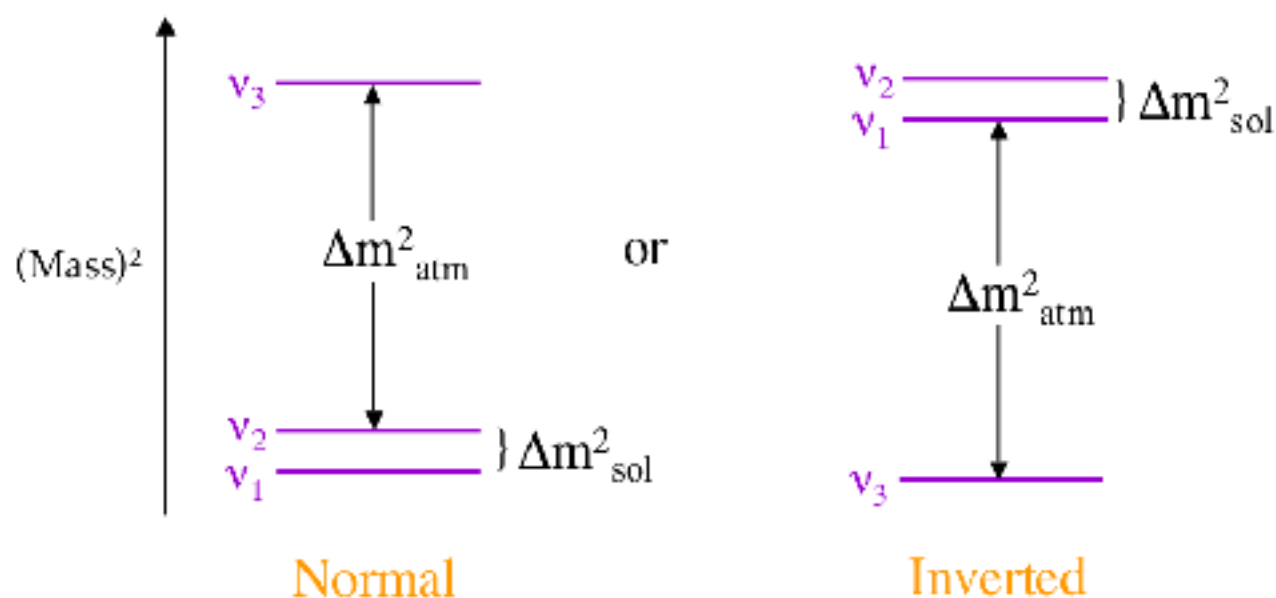
$$m_1 \ll m_2 < m_3, \quad \text{NH,}$$

$$m_3 \ll m_1 < m_2, \quad \text{IH,}$$

$$m_1 \cong m_2 \cong m_3, \quad m_{1,2,3}^2 \gg \Delta m_{\text{atm}}^2, \quad \text{QD; } m_j \gtrsim 0.10 \text{ eV.}$$

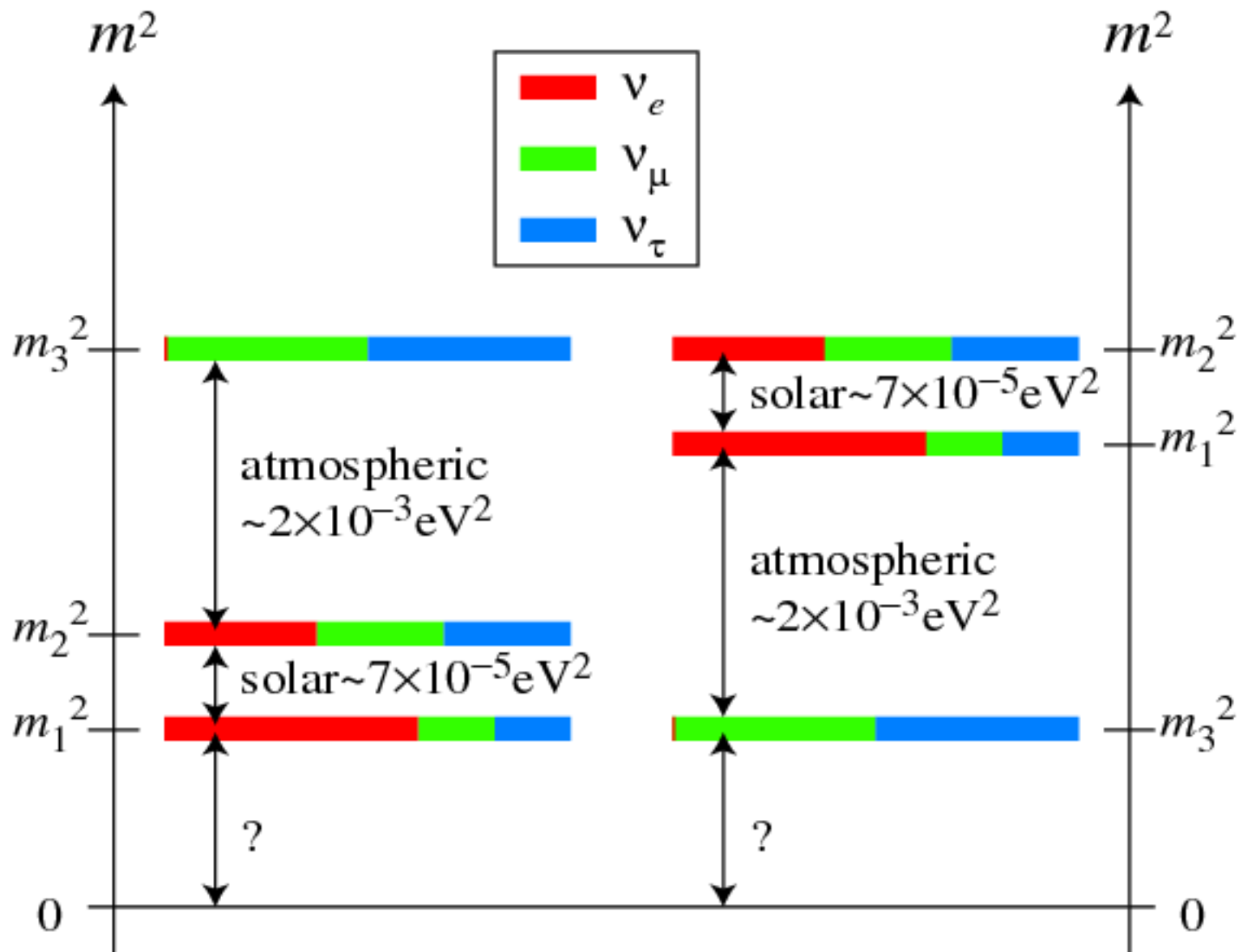
- $m_2 = \sqrt{m_1^2 + \Delta m_{21}^2}, \quad m_3 = \sqrt{m_1^2 + \Delta m_{31}^2}$ - NO;
- $m_1 = \sqrt{m_3^2 + \Delta m_{23}^2 - \Delta m_{21}^2}, \quad m_2 = \sqrt{m_3^2 + \Delta m_{23}^2}$ - IO;

The (Mass)² Spectrum



$$\Delta m^2_{\text{sol}} \cong 7.6 \times 10^{-5} \text{ eV}^2, \quad \Delta m^2_{\text{atm}} \cong 2.4 \times 10^{-3} \text{ eV}^2$$

Are there *more* mass eigenstates, as LSND suggests,
and MiniBooNE recently hints?



- Dirac phase δ : $\nu_l \leftrightarrow \nu_{l'}, \bar{\nu}_l \leftrightarrow \bar{\nu}_{l'}, l \neq l'$; $A_{CP}^{(ll')} \propto J_{CP} \propto \sin \theta_{13} \sin \delta$:

P.I. Krastev, S.T.P., 1988

$$J_{CP} = \text{Im} \{ U_{e1} U_{\mu 2} U_{e2}^* U_{\mu 1}^* \} = \frac{1}{8} \sin 2\theta_{12} \sin 2\theta_{23} \sin 2\theta_{13} \cos \theta_{13} \sin \delta$$

Current data: $|J_{CP}| \lesssim 0.040$ (can be relatively large!); b.f.v. with $\delta = 3\pi/2$:
 $J_{CP} \cong -0.030$.

- Majorana phases α_{21}, α_{31} :

- $\nu_l \leftrightarrow \nu_{l'}, \bar{\nu}_l \leftrightarrow \bar{\nu}_{l'}$ not sensitive;

S.M. Bilenky, J. Hosek, S.T.P., 1980;

P. Langacker, S.T.P., G. Steigman, S. Toshev, 1987

- $|\langle m \rangle|$ in $(\beta\beta)_{0\nu}$ -decay depends on α_{21}, α_{31} ;
- $\Gamma(\mu \rightarrow e + \gamma)$ etc. in SUSY theories depend on $\alpha_{21,31}$;
- BAU, leptogenesis scenario: $\delta, \alpha_{21,31}$!

Future Progress

- Determination of the nature - Dirac or Majorana, of ν_j .
- Determination of $\text{sgn}(\Delta m_{\text{atm}}^2)$, type of ν - mass spectrum

$$m_1 \ll m_2 \ll m_3, \quad \text{NH},$$

$$m_3 \ll m_1 < m_2, \quad \text{IH},$$

$$m_1 \cong m_2 \cong m_3, \quad m_{1,2,3}^2 \gg \Delta m_{\text{atm}}^2, \quad \text{QD}; \quad m_j \gtrsim 0.10 \text{ eV}.$$

- Determining, or obtaining significant constraints on, the absolute scale of ν_j -masses, or $\min(m_j)$.
- Status of the CP-symmetry in the lepton sector: violated due to δ (Dirac), and/or due to α_{21}, α_{31} (Majorana)?
- High precision determination of $\Delta m_{\odot}^2, \theta_{12}, \Delta m_{\text{atm}}^2, \theta_{23}, \theta_{13}$
- Searching for possible manifestations, other than ν_l -oscillations, of the non-conservation of $L_l, l = e, \mu, \tau$, such as $\mu \rightarrow e + \gamma, \tau \rightarrow \mu + \gamma$, etc. decays.

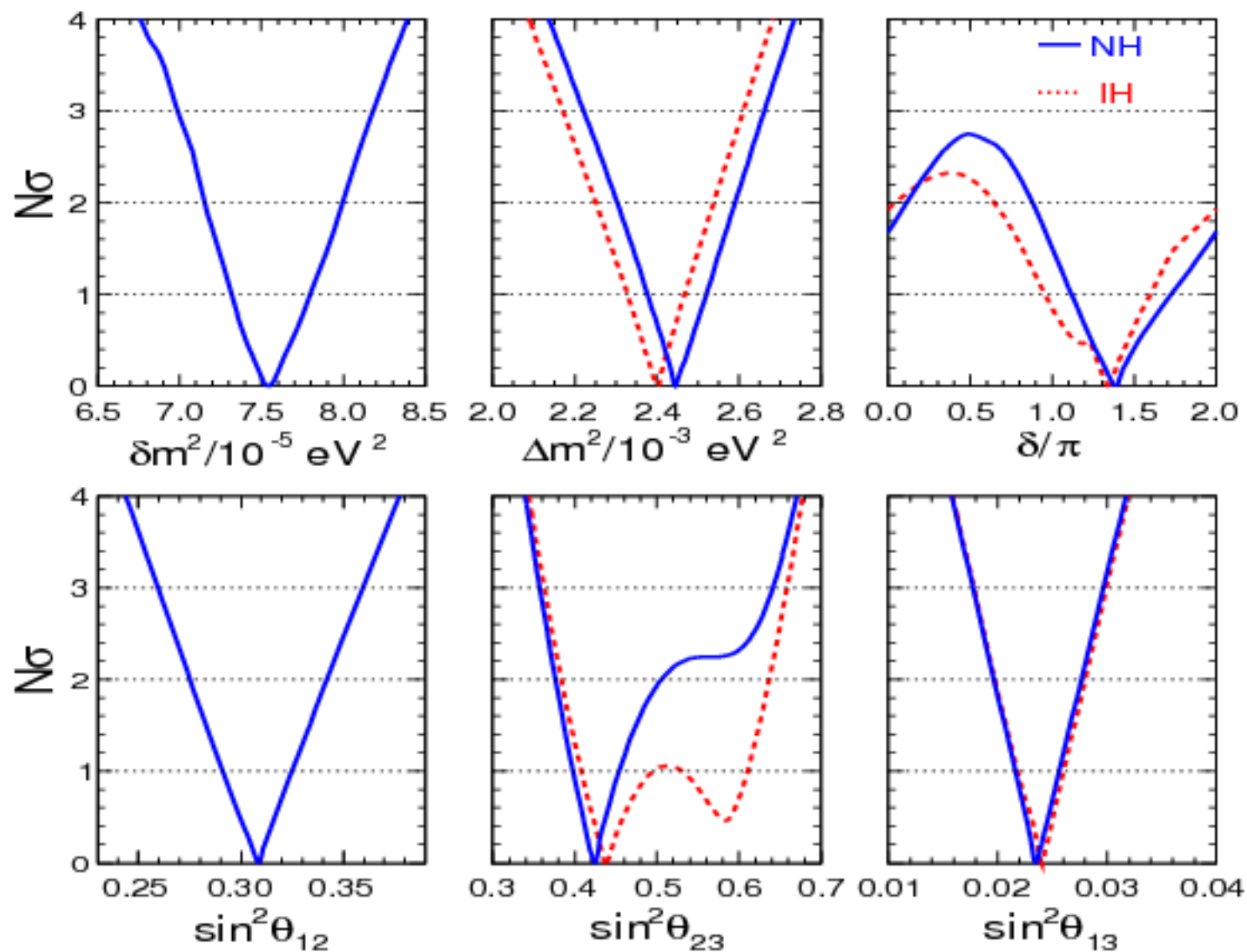
- Understanding at fundamental level the mechanism giving rise to the ν – masses and mixing and to the L_l –non-conservation. Includes understanding
 - the origin of the observed patterns of ν –mixing and ν –masses ;
 - the physical origin of CPV phases in U_{PMNS} ;
 - Are the observed patterns of ν –mixing and of $\Delta m_{21,31}^2$ related to the existence of a new symmetry?
 - Is there any relations between q –mixing and ν – mixing? Is $\theta_{12} + \theta_c = \pi/4$?
 - Is $\theta_{23} = \pi/4$, or $\theta_{23} > \pi/4$ or else $\theta_{23} < \pi/4$?
 - Is there any correlation between the values of CPV phases and of mixing angles in U_{PMNS} ?
- Progress in the theory of ν –mixing might lead to a better understanding of the origin of the BAU.
 - Can the Majorana and/or Dirac CPVP in U_{PMNS} be the leptogenesis CPV parameters at the origin of BAU?

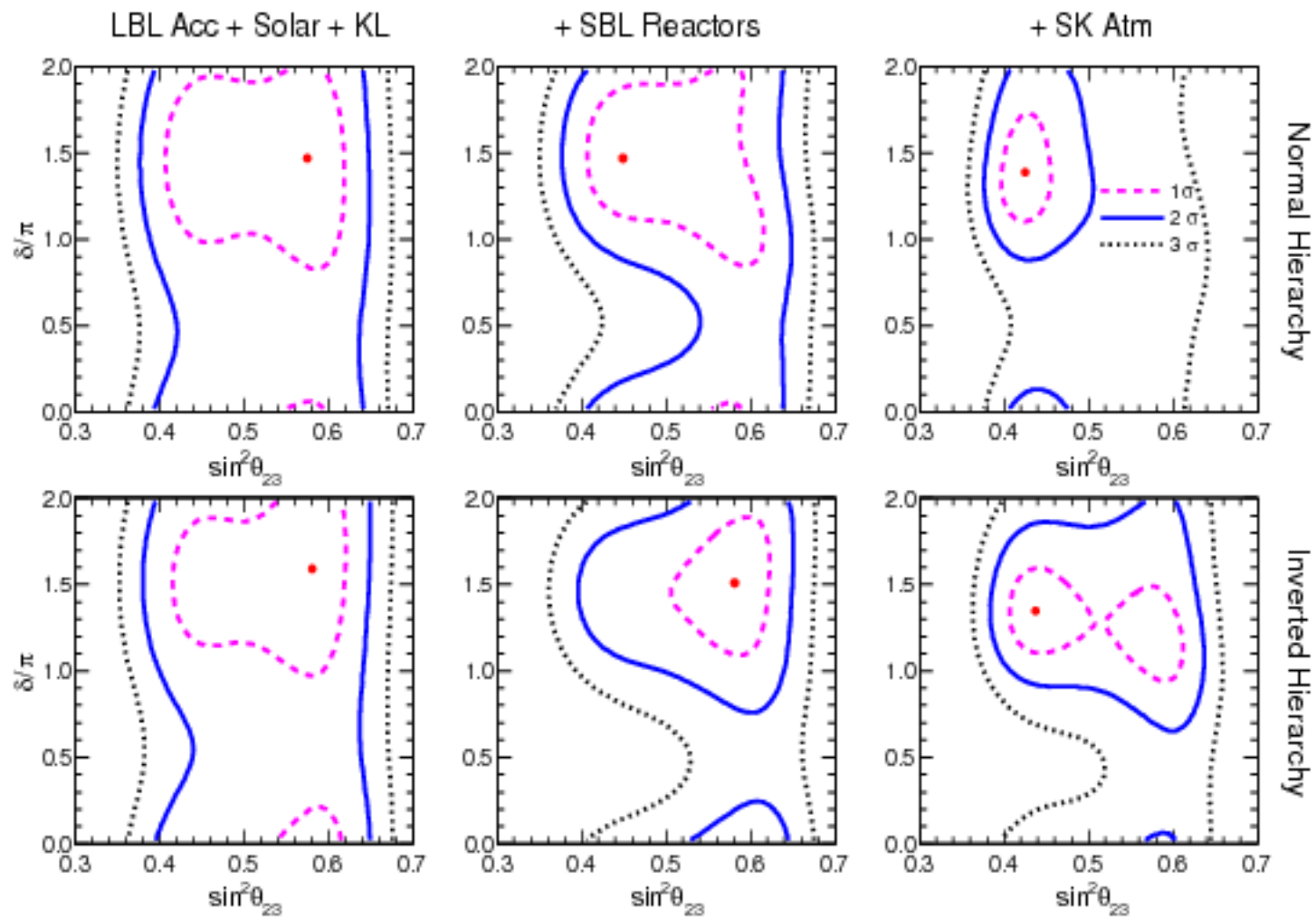
The next most important steps are:

- determination of the nature - Dirac or Majorana, of massive neutrinos.
- determination of the neutrino mass hierarchy;
- determination of the absolute neutrino mass scale (or $\min(m_j)$);
- determination of the status of the CP symmetry in the lepton sector.

Hints for Dirac CP Violation: $\delta \cong 3\pi/2$

LBL Acc + Solar + KL + SBL Reactors + SK Atm





Large $\sin \theta_{13} \cong 0.15$ (Daya Bay, RENO) + $\delta = 3\pi/2$ - far-reaching implications:

- For the searches for CP violation in ν -oscillations; for the b.f.v. one has $J_{CP} \cong -0.030$;
- Important implications also for the “flavoured” leptogenesis scenario of generation of the baryon asymmetry of the Universe (BAU).

If all CPV, necessary for the generation of BAU is due to δ , a necessary condition for reproducing the observed BAU is

$$|\sin \theta_{13} \sin \delta| \gtrsim 0.09$$

S. Pascoli, S.T.P., A. Riotto, 2006.

Dirac CP-Nonconservation: δ in U_{PMNS}

Observable manifestations in

$$\nu_l \leftrightarrow \nu_{l'} , \quad \bar{\nu}_l \leftrightarrow \bar{\nu}_{l'} , \quad l, l' = e, \mu, \tau$$

- not sensitive to Majorana CPVP α_{21}, α_{31}

CP-Invariance:

$$P(\nu_l \rightarrow \nu_{l'}) = P(\bar{\nu}_l \rightarrow \bar{\nu}_{l'}) , \quad l \neq l' = e, \mu, \tau$$

N. Cabibbo, 1978
S.M. Bilenky, J. Hosek, S.T.P., 1980;
V. Barger, S. Pakvasa et al., 1980.

CPT-invariance:

$$P(\nu_l \rightarrow \nu_{l'}) = P(\bar{\nu}_{l'} \rightarrow \bar{\nu}_l)$$
$$l = l' : \quad P(\nu_l \rightarrow \nu_l) = P(\bar{\nu}_l \rightarrow \bar{\nu}_l)$$

T-Invariance:

$$P(\nu_l \rightarrow \nu_{l'}) = P(\nu_{l'} \rightarrow \nu_l), \quad l \neq l'$$

3ν -mixing:

$$A_{\text{CP}}^{(ll')} \equiv P(\nu_l \rightarrow \nu_{l'}) - P(\bar{\nu}_l \rightarrow \bar{\nu}_{l'}) , \quad l \neq l' = e, \mu, \tau$$

$$A_{\text{T}}^{(l,l')} \equiv P(\nu_l \rightarrow \nu_{l'}) - P(\nu_{l'} \rightarrow \nu_l), \quad l \neq l'$$

$$A_{\text{T(CP)}}^{(e,\mu)} = A_{\text{T(CP)}}^{(\mu,\tau)} = -A_{\text{T(CP)}}^{(e,\tau)}$$

P.I. Krastev, S.T.P., 1988; V. Barger, S. Pakvasa et al., 1980

In vacuum:

$$A_{\text{CP(T)}}^{(e,\mu)} = J_{\text{CP}} F_{\text{osc}}^{\text{vac}}$$

$$J_{\text{CP}} = \text{Im} \{ U_{e1} U_{\mu 2} U_{e2}^* U_{\mu 1}^* \} = \frac{1}{8} \sin 2\theta_{12} \sin 2\theta_{23} \sin 2\theta_{13} \cos \theta_{13} \sin \delta$$

$$F_{\text{osc}}^{\text{vac}} = \sin\left(\frac{\Delta m_{21}^2}{2E} L\right) + \sin\left(\frac{\Delta m_{32}^2}{2E} L\right) + \sin\left(\frac{\Delta m_{13}^2}{2E} L\right)$$

P.I. Krastev, S.T.P., 1988

In matter: Matter effects violate

$$\text{CP : } P(\nu_l \rightarrow \nu_{l'}) \neq P(\bar{\nu}_l \rightarrow \bar{\nu}_{l'})$$

$$\text{CPT : } P(\nu_l \rightarrow \nu_{l'}) \neq P(\bar{\nu}_{l'} \rightarrow \bar{\nu}_l)$$

P. Langacker et al., 1987

Can conserve the T-invariance (Earth)

$$P(\nu_l \rightarrow \nu_{l'}) = P(\nu_{l'} \rightarrow \nu_l), \quad l \neq l'$$

In matter with constant density: $A_{\text{T}}^{(e,\mu)} = J_{\text{CP}}^{\text{mat}} F_{\text{osc}}^{\text{mat}}$

$$J_{\text{CP}}^{\text{mat}} = J_{\text{CP}}^{\text{vac}} R_{\text{CP}}$$

R_{CP} does not depend on θ_{23} and δ ; $|R_{\text{CP}}| \lesssim 2.5$

P.I. Krastev, S.T.P., 1988

Rephasing Invariants Associated with CPVP

Dirac phase δ :

$$J_{CP} = \text{Im} \{ U_{e1} U_{\mu 2} U_{e2}^* U_{\mu 1}^* \} .$$

C. Jarlskog, 1985 (for quarks)

CP-, T- violation effects in neutrino oscillations

P. Krastev, S.T.P., 1988

Majorana phases α_{21}, α_{31} :

$$S_1 = \text{Im} \{ U_{e1} U_{e3}^* \}, \quad S_2 = \text{Im} \{ U_{e2} U_{e3}^* \} \quad (\text{not unique}); \quad \text{or} \\ S'_1 = \text{Im} \{ U_{\tau 1} U_{\tau 2}^* \}, \quad S'_2 = \text{Im} \{ U_{\tau 2} U_{\tau 3}^* \}$$

J.F. Nieves and P. Pal, 1987, 2001

G.C. Branco et al., 1986

J.A. Aguilar-Saavedra and G.C. Branco, 2000

CP-violation: both $\text{Im} \{ U_{e1} U_{e3}^* \} \neq 0$ and $\text{Re} \{ U_{e1} U_{e3}^* \} \neq 0$.

S_1, S_2 appear in $|\langle m \rangle|$ in $(\beta\beta)_{0\nu}$ -decay.

In general, J_{CP}, S_1 and S_2 are independent.

- March 8, 2012, Daya Bay: 5.2σ evidence for $\theta_{13} \neq 0$, $\sin^2 2\theta_{13} = 0.092 \pm 0.016 \pm 0.005$.
- April 4, 2012, RENO: 4.9σ evidence for $\theta_{13} \neq 0$, $\sin^2 2\theta_{13} = 0.113 \pm 0.013 \pm 0.019$.
- Nu'2012 (June 4-9, 2012), T2K, Double Chooz: 3.2σ and 2.9σ evidence for $\theta_{13} \neq 0$.
- Daya Bay, 23/08/2013:
 $\sin^2 2\theta_{13} = 0.090 \pm 0.009$.
- RENO, 12/09/2013 (TAUP 2013):
 $\sin^2 2\theta_{13} = 0.100 \pm 0.010$ (*stat.*) ± 0.012 .

$$P^{3\nu}(\bar{\nu}_e \rightarrow \bar{\nu}_e) = P^{3\nu}(\theta_{13}, \Delta m_{31(32)}^2; \theta_{12}, \Delta m_{21}^2) \cong$$

$$1 - \sin^2 2\theta_{13} \sin^2\left(\frac{\Delta m_{31(32)}^2}{4E} L\right), \text{ no dependence on } \theta_{23}, \delta.$$



T2K: Search for $\nu_\mu \rightarrow \nu_e$ oscillations

T2K: first results March 2011 (2 events);

June 14, 2011 (6 events): evidence for $\theta_{13} \neq 0$ at 2.5σ ;

July, 2013 (28 events).

For $|\Delta m_{23}^2| = 2.4 \times 10^{-3} \text{ eV}^2$, $\sin^2 2\theta_{23} = 1$, $\delta = 0$, NO (IO) spectrum:

$\sin^2 2\theta_{13} = 0.14 \text{ (1.7)}$, best fit.

This value is by a factor of $\sim 1.6 \text{ (1.9)}$ bigger than the value obtained in the Daya Bay and RENO experiments.

$$P_m^{3\nu}(\nu_\mu \rightarrow \nu_e) = P_m^{3\nu}(\theta_{13}, \Delta m_{31(32)}^2, \theta_{12}, \Delta m_{21}^2, \theta_{23}, \delta).$$

Up to 2nd order in the two small parameters $|\alpha| \equiv |\Delta m_{21}^2|/|\Delta m_{31}^2| \ll 1$ and $\sin^2 \theta_{13} \ll 1$:

$$P_m^{3\nu\ man}(\nu_\mu \rightarrow \nu_e) \cong P_0 + P_{\sin \delta} + P_{\cos \delta} + P_3 ,$$

$$P_0 = \sin^2 \theta_{23} \frac{\sin^2 2\theta_{13}}{(A-1)^2} \sin^2[(A-1)\Delta],$$

$$P_3 = \alpha^2 \cos^2 \theta_{23} \frac{\sin^2 2\theta_{12}}{A^2} \sin^2(A\Delta),$$

$$P_{\sin \delta} = -\alpha \frac{8J_{CP}}{A(1-A)} (\sin \Delta)(\sin A\Delta) (\sin[(1-A)\Delta]),$$

$$P_{\cos \delta} = \alpha \frac{8J_{CP} \cot \delta}{A(1-A)} (\cos \Delta)(\sin A\Delta) (\sin[(1-A)\Delta]),$$

$$\Delta = \frac{\Delta m_{31}^2 L}{4E}, \quad A = \sqrt{2} G_F N_e^{man} \frac{2E}{\Delta m_{31}^2}.$$

$$\bar{\nu}_\mu \rightarrow \bar{\nu}_e: \delta, \quad A \rightarrow (-\delta), \quad (-A)$$

Predictions for the CPV Phase δ

Neutrino Mixing: New Symmetry?

- $\theta_{12} = \theta_{\odot} \cong \frac{\pi}{5.4}$, $\theta_{23} = \theta_{\text{atm}} \cong \frac{\pi}{4}(\text{?})$, $\theta_{13} \cong \frac{\pi}{20}$

$$U_{\text{PMNS}} \cong \begin{pmatrix} \sqrt{\frac{2}{3}} & \sqrt{\frac{1}{3}} & \epsilon \\ -\sqrt{\frac{1}{6}} & \sqrt{\frac{1}{3}} & -\sqrt{\frac{1}{2}}(\text{?}) \\ -\sqrt{\frac{1}{6}} & \sqrt{\frac{1}{3}} & \sqrt{\frac{1}{2}}(\text{?}) \end{pmatrix};$$

Very different from the CKM-matrix!

- $\theta_{12} \cong \pi/4 - 0.20$, $\theta_{13} \cong 0 + \pi/20$, $\theta_{23} \cong \pi/4 - 0.10$.
- U_{PMNS} due to new approximate symmetry?

A Natural Possibility (vast literature):

$$U = U_{\text{lep}}^\dagger(\theta_{ij}^\ell, \psi) \, Q(\phi, \varphi) \, U_{\text{TBM,BM,LC}} \, P(\alpha_{21}, \alpha_{31}),$$

with

$$U_{\text{TBM}} = \begin{pmatrix} \sqrt{\frac{2}{3}} & \sqrt{\frac{1}{3}} & 0 \\ -\sqrt{\frac{1}{6}} & \sqrt{\frac{1}{3}} & -\sqrt{\frac{1}{2}} \\ -\sqrt{\frac{1}{6}} & \sqrt{\frac{1}{3}} & \sqrt{\frac{1}{2}} \end{pmatrix}; \quad U_{\text{BM}} = \begin{pmatrix} \frac{1}{\sqrt{2}} & \pm \frac{1}{\sqrt{2}} & 0 \\ -\frac{1}{2} & \pm \frac{1}{2} & \frac{1}{\sqrt{2}} \\ \frac{1}{2} & \mp \frac{1}{2} & \frac{1}{\sqrt{2}} \end{pmatrix}.$$

- $U_{\text{lep}}^\dagger(\theta_{ij}^\ell, \psi)$ - from diagonalization of the l^- mass matrix;
- $U_{\text{TBM,BM,LC}} \, P(\alpha_{21}, \alpha_{31})$ - from diagonalization of the ν mass matrix;
- $Q(\phi, \varphi)$, - from diagonalization of both the l^- and ν mass matrices.

$U_{\text{TBM(BM)}}$: Groups A_4 , S_4 , T' , ... (vast literature)

(Reviews: G. Altarelli, F. Feruglio, arXiv:1002.0211; M. Tanimoto et al., arXiv:1003.3552;
S. King and Ch. Luhn, arXiv:1301.1340)

• $U_{\text{LC(BM)}}$: alternatively $U(1)$, $L' = L_e - L_\mu - L_\tau$

S.T.P., 1982

• U_{TBM} : $s_{12}^2 = 1/3$, $s_{23}^2 = 1/2$, $s_{13}^2 = 0$; $s_{13}^2 = 0$ must be corrected; if $\theta_{23} \neq \pi/4$, $s_{23}^2 = 0.5$ must be corrected

• U_{BM} : $s_{12}^2 = 1/2$, $s_{23}^2 = 1/2$, $s_{13}^2 = 0$; $s_{13}^2 = 0$, $s_{12}^2 = 1/2$ and possibly $s_{23}^2 = 1/2$ must be corrected.

• U_{LC} : $s_{12}^2 = 1/2$, $s_{13}^2 = 0$, s_{23}^2 - free parameter; $s_{13}^2 = 0$ and $s_{12}^2 = 1/2$ must be corrected.

None of the symmetries leading to U_{TBM} , U_{BM} or other approximate forms of U_{PMNS} can be exact.

Which is the correct approximate symmetry, i.e., approximate form of U_{PMNS} (if any)?

In the two cases of U_ν given by U_{TBM} , or U_{BM} , the requisite corrections of some of the mixing angles are small and can be considered as perturbations to the corresponding symmetry values.

Depending on the symmetry leading to $U_{\text{TBM,BM}}$ and on the form of U_{lep} , one obtains different experimentally testable predictions for the sum of the neutrino masses, the neutrino mass spectrum, the nature (Dirac or Majorana) of ν_j and the CP violating phases in the neutrino mixing matrix. Future data will help us understand whether there is some new fundamental symmetry behind the observed patterns of neutrino mixing and Δm_{ij}^2 .

Predictions for δ

Assume:

- $U_{PMNS} = U_{\text{lep}}^\dagger(\theta_{ij}^\ell, \psi) Q(\phi, \varphi) U_{\text{TBM,BM}} P(\alpha_{21}, \alpha_{31})$,
- $U_{\text{lep}}^\dagger$ - minimal, such that
 - i) $\sin \theta_{13} \cong 0.16$; BM: $\sin^2 \theta_{12} \cong 0.31$;
 - ii) $\sin^2 \theta_{23}$ can deviate significantly (by more than $\sin^2 \theta_{13}$) from 0.5 (b.f.v. = 0.42-0.43) .

From i), ii) + $m_e \ll m_\mu \ll m_\tau$:

$$U_{\text{lep}}^\dagger(\theta_{ij}^\ell, \psi) = R_{12}(\theta_{12}^\ell) R_{23}(\theta_{23}^\ell) , \quad Q(\phi, \varphi) = \text{diag}(1, e^{i\phi}, 1)$$

Leads to $\delta = \delta(\theta_{12}, \theta_{23}, \theta_{13})$ - new sum rules for δ !

For U_{TBM} :

$$\cos \delta = \frac{\tan \theta_{23}}{3 \sin 2\theta_{12} \sin \theta_{13}} \left[1 + (3 \sin^2 \theta_{12} - 2) (1 - \cot^2 \theta_{23} \sin^2 \theta_{13}) \right]$$

For $U_{\text{TBM}} + \text{b.f.v. of } \theta_{12}, \theta_{23}, \theta_{13}$:

$$\delta \cong 3\pi/2 \text{ or } \pi/2 \quad (\delta = 266^\circ \text{ or } \delta = 94^\circ)$$

D. Marzocca, S.T.P., A. Romanino, M.C. Sevilla, arXiv:1302.

T' model of lepton flavour: U_{TBM} , $\delta \cong 3\pi/2 \text{ or } \pi/2$.

I. Girardi, A. Meroni, STP, M. Spinrath, arXiv:1312.1966

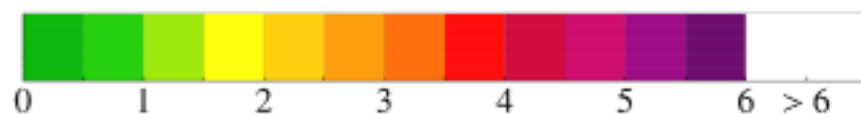
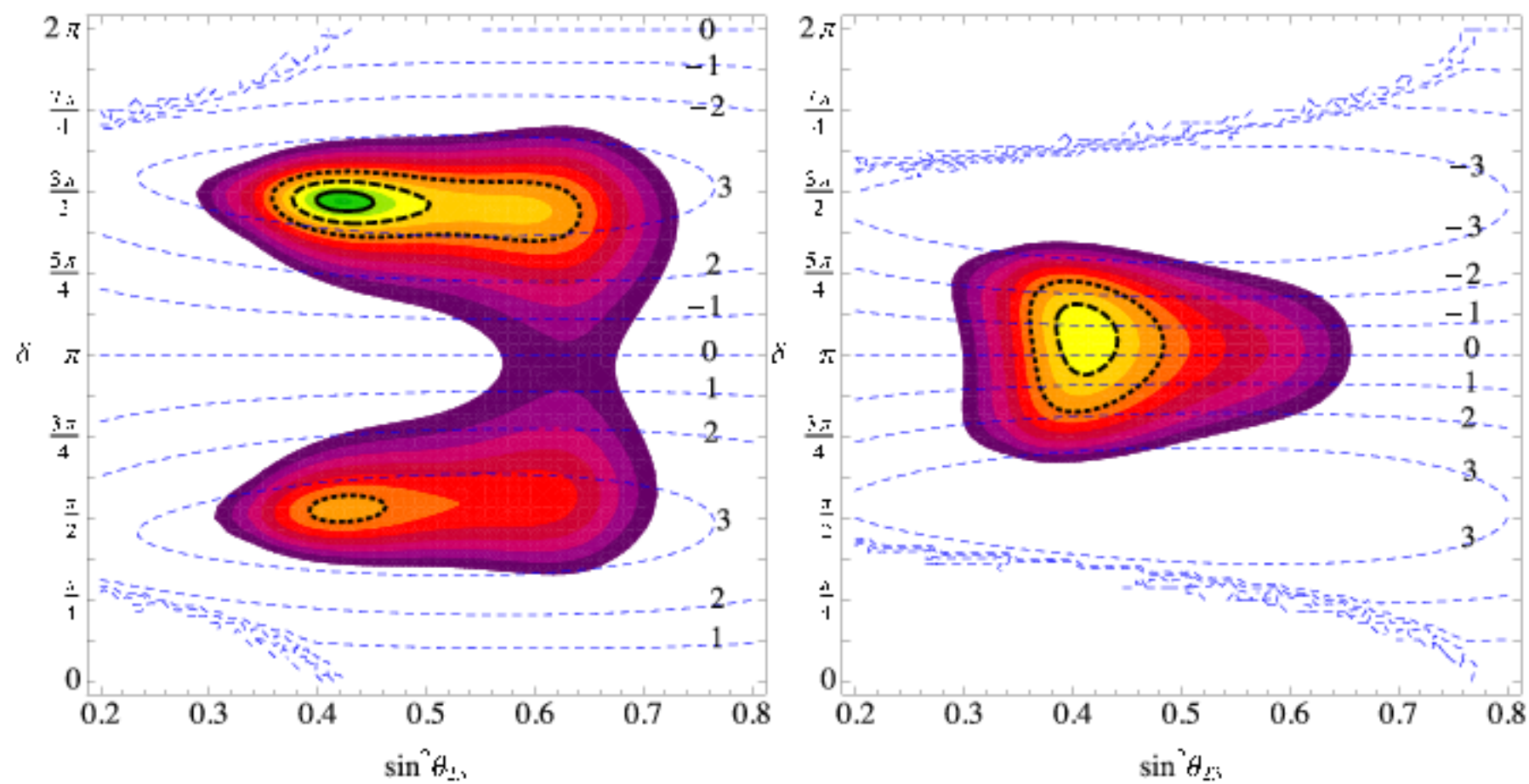
For U_{BM} :

$$\cos \delta = -\frac{1}{2 \sin \theta_{13}} \cot 2\theta_{12} \tan \theta_{23} (1 - \cot^2 \theta_{23} \sin^2 \theta_{13}) .$$

For $U_{\text{BM}} + \text{b.f.v. of } \theta_{12}, \theta_{23}, \theta_{13}$:

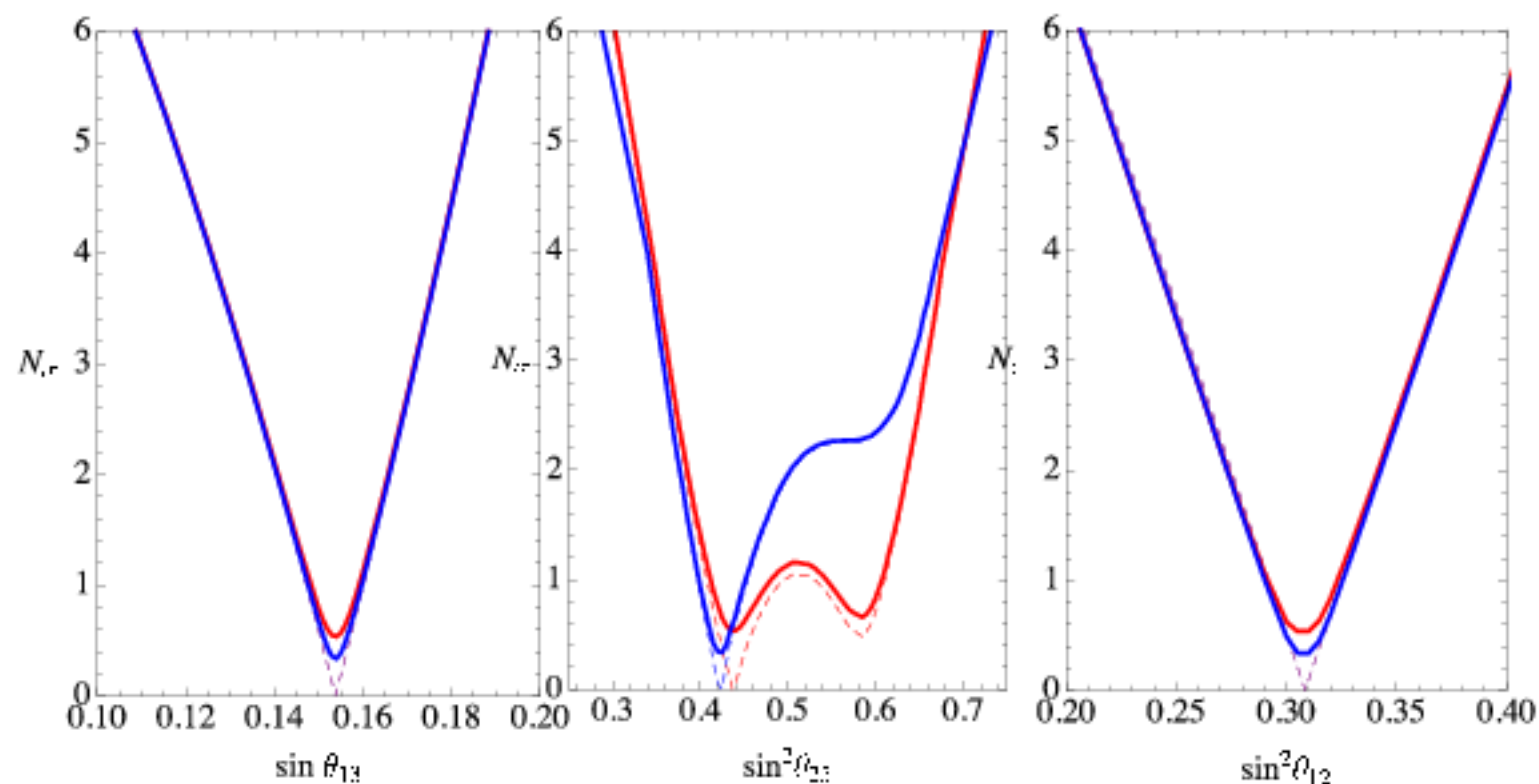
$$\delta \cong \pi$$

D. Marzocca, S.T.P., A. Romanino, M.C. Sevilla, arXiv:1302.



Left: TBM; right: BM, LC; blue contours: $J_{CP}[10^{-2}]$

Standard Ordering - TBM

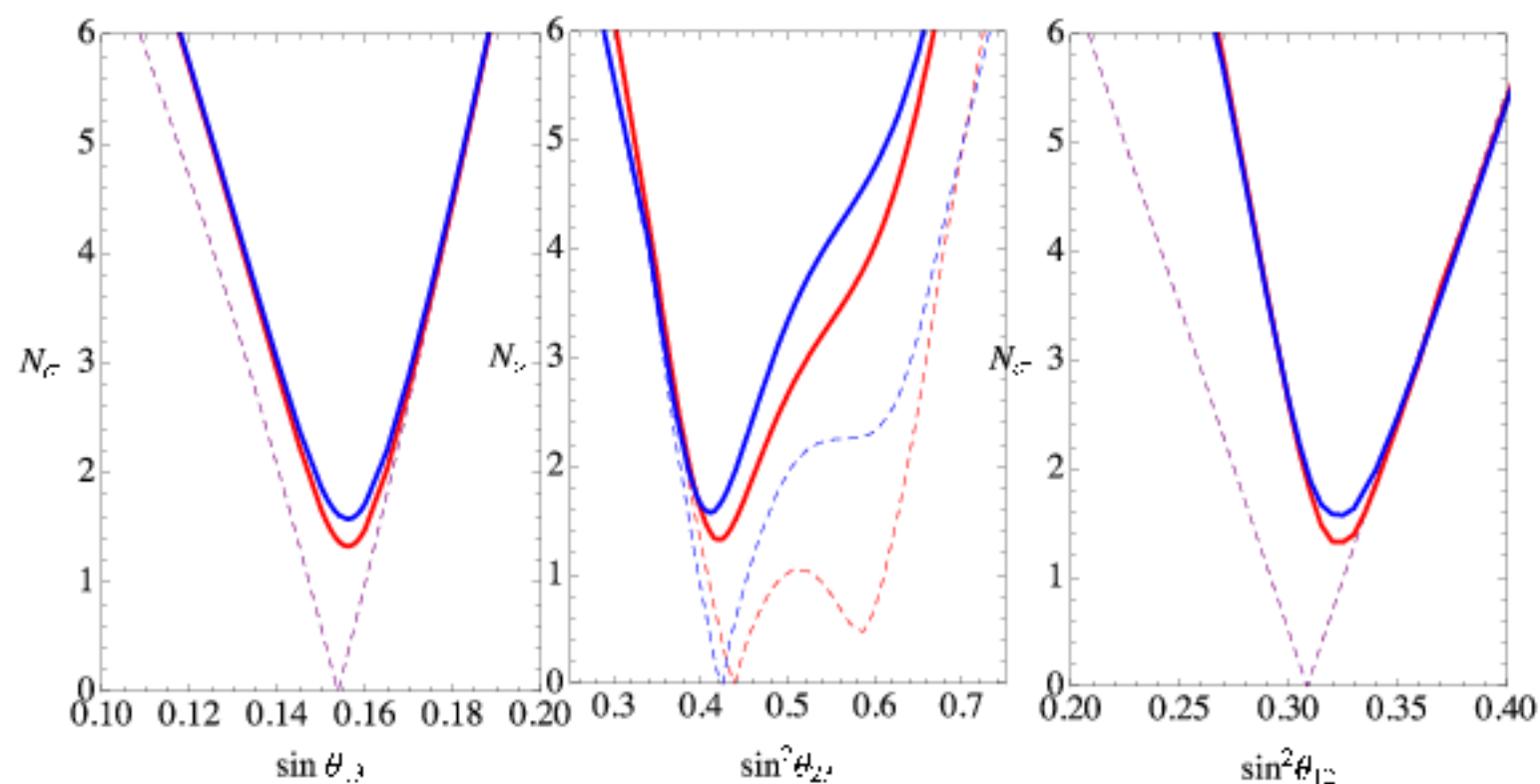


N_σ as a function of $\sin \theta_{13}$, $\sin^2 \theta_{12}$, $\sin^2 \theta_{23}$.

dashed lines - Fogli et al., solid lines - our analysis.

Blue lines - NO, red lines - IO; **NO**: $\sin^2 \theta_{23} \leq 0.5$ at $\sim 2\sigma$.

Standard Ordering - BM, LC

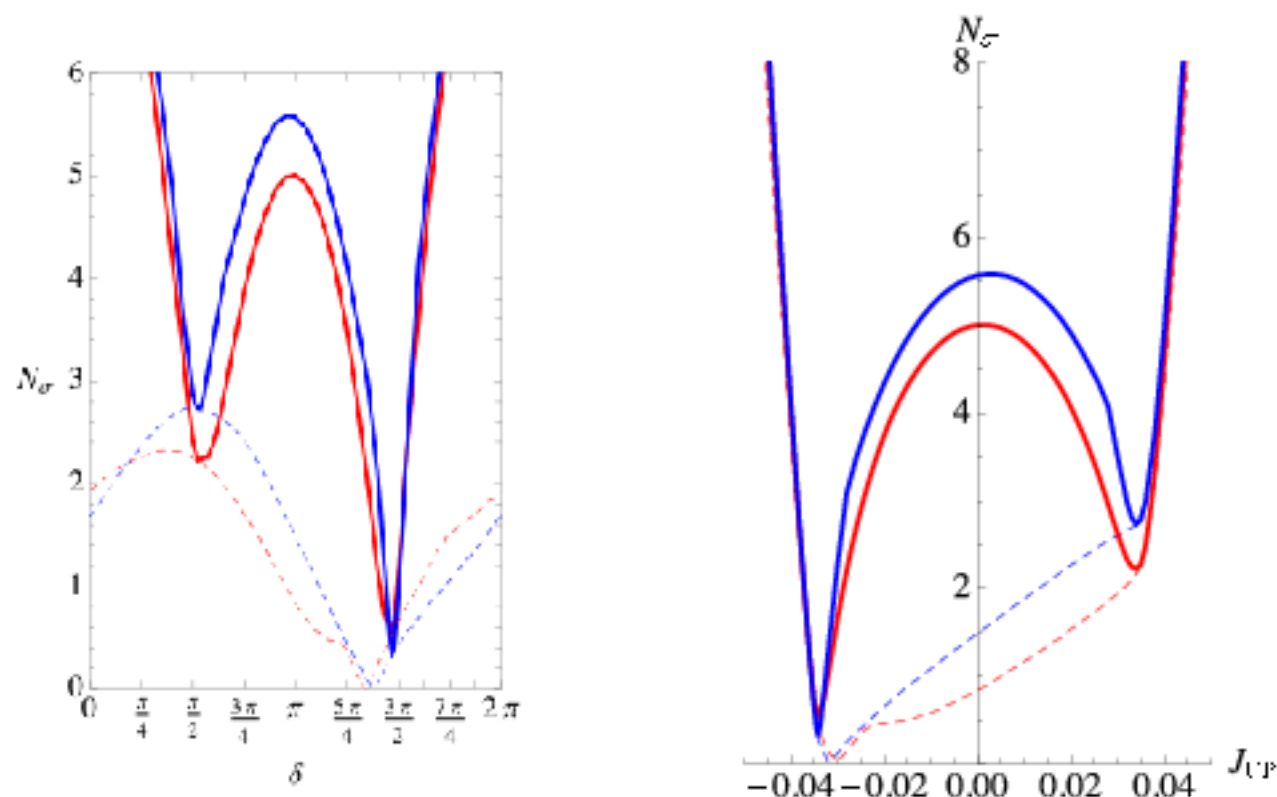


N_σ as a function of $\sin \theta_{13}$, $\sin^2 \theta_{12}$, $\sin^2 \theta_{23}$.

dashed lines - Fogli et al., solid lines - our analysis.

Blue lines - NO, red lines - IO; NO, IO: $\sin^2 \theta_{23} \leq 0.5$ at $\sim 3.0\sigma$.

Standard Ordering - TBM



N_σ as a function of δ , J_{CP} . Blue lines - NO, red lines - IO.

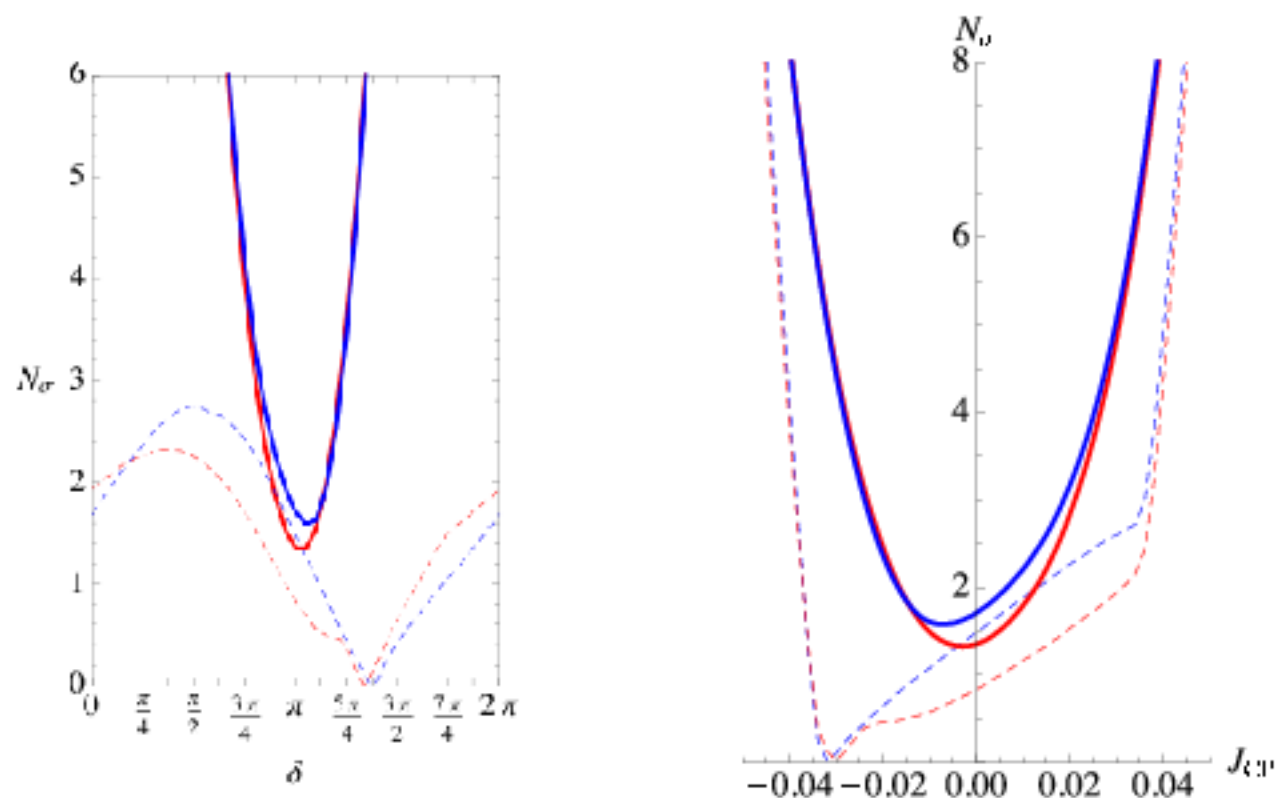
Dashed lines - Fogli et al., solid lines - our analysis.

$J_{CP} \neq 0$ at $\sim 5\sigma$; b.f.v.: $J_{CP} \cong -0.034$, NO, IO;

at 3σ , NO: $0.032 \lesssim J_{CP} \lesssim 0.036$ or $-0.038 \lesssim J_{CP} \lesssim -0.028$;

at 3σ , IO: $0.027 \lesssim J_{CP} \lesssim 0.037$ or $-0.039 \lesssim J_{CP} \lesssim -0.024$.

Standard Ordering - BM, LC



N_σ as a function of δ , J_{CP} . Blue lines - NO, red lines - IO.

Dashed lines - Fogli et al., solid lines - our analysis.

NO, IH b.f.v.: $J_{CP} \cong 0$; NO, IO, at 3σ : $-0.026 \lesssim J_{CP} \lesssim 0.022$.

Determining the ν -Mass Hierarchy ($\text{sgn}(\Delta m_{\text{atm}}^2)$)

- Reactor $\bar{\nu}_e$ Oscillations in vacuum (JUNO, RENO50).
- Atmospheric ν experiments: subdominant $\nu_{\mu(e)} \rightarrow \nu_{e(\mu)}$ and $\bar{\nu}_{\mu(e)} \rightarrow \bar{\nu}_{e(\mu)}$ oscillations (matter effects) (HK, ORCA, PINGU (IceCube), INO).
- LBL ν -oscillation experiments (T2K, NO ν A; LBNO, LBNE, ν -factory); designed to search also for CP violation.
- ^3H β -decay Experiments (sensitivity to 5×10^{-2} eV) (NH vs IH).
- $(\beta\beta)_{0\nu}$ -Decay Experiments; ν_j - Majorana particles (NH vs IH).
- Cosmology: $\sum_j m_j$ (NH vs IH).
- Atomic Physics Experiments: RENP.

Reactor $\bar{\nu}_e$ Oscillations in vacuum

$$P_{\text{NO}}(\bar{\nu}_e \rightarrow \bar{\nu}_e) = 1 - \frac{1}{2} \sin^2 2\theta_{13} \left(1 - \cos \frac{\Delta m_{\text{A}}^2 L}{2 E_\nu}\right) - \frac{1}{2} \cos^4 \theta_{13} \sin^2 2\theta_{\odot} \left(1 - \cos \frac{\Delta m_{\odot}^2 L}{2 E_\nu}\right) \\ + \sin^2 2\theta_{13} \sin^2 \theta_{\odot} \sin \frac{\Delta m_{\odot}^2 L}{4 E_\nu} \sin \left(\frac{\Delta m_{\text{A}}^2 L}{2 E_\nu} - \frac{\Delta m_{\odot}^2 L}{4 E_\nu} \right),$$

$$P_{\text{IO}}(\bar{\nu}_e \rightarrow \bar{\nu}_e) = 1 - \frac{1}{2} \sin^2 2\theta_{13} \left(1 - \cos \frac{\Delta m_{\text{A}}^2 L}{2 E_\nu}\right) - \frac{1}{2} \cos^4 \theta_{13} \sin^2 2\theta_{\odot} \left(1 - \cos \frac{\Delta m_{\odot}^2 L}{2 E_\nu}\right) \\ + \sin^2 2\theta_{13} \cos^2 \theta_{\odot} \sin \frac{\Delta m_{\odot}^2 L}{4 E_\nu} \sin \left(\frac{\Delta m_{\text{A}}^2 L}{2 E_\nu} - \frac{\Delta m_{\odot}^2 L}{4 E_\nu} \right),$$

$$\theta_{\odot} = \theta_{12}, \Delta m_{\odot}^2 = \Delta m_{21}^2 > 0; \sin^2 \theta_{12} \leq 0.36 \text{ at } 3\sigma;$$

$$\Delta m_{\text{A}}^2 = \Delta m_{31}^2 > 0, \text{ NO spectrum,}$$

$$\Delta m_{\text{A}}^2 = \Delta m_{23}^2 > 0, \text{ IO spectrum}$$

M. Piai, S.T.P., hep-ph/0112074;

The reactor $\bar{\nu}_e$ detected via

$$\bar{\nu}_e + p \rightarrow e^+ + n.$$

The visible energy of the detected e^+ :

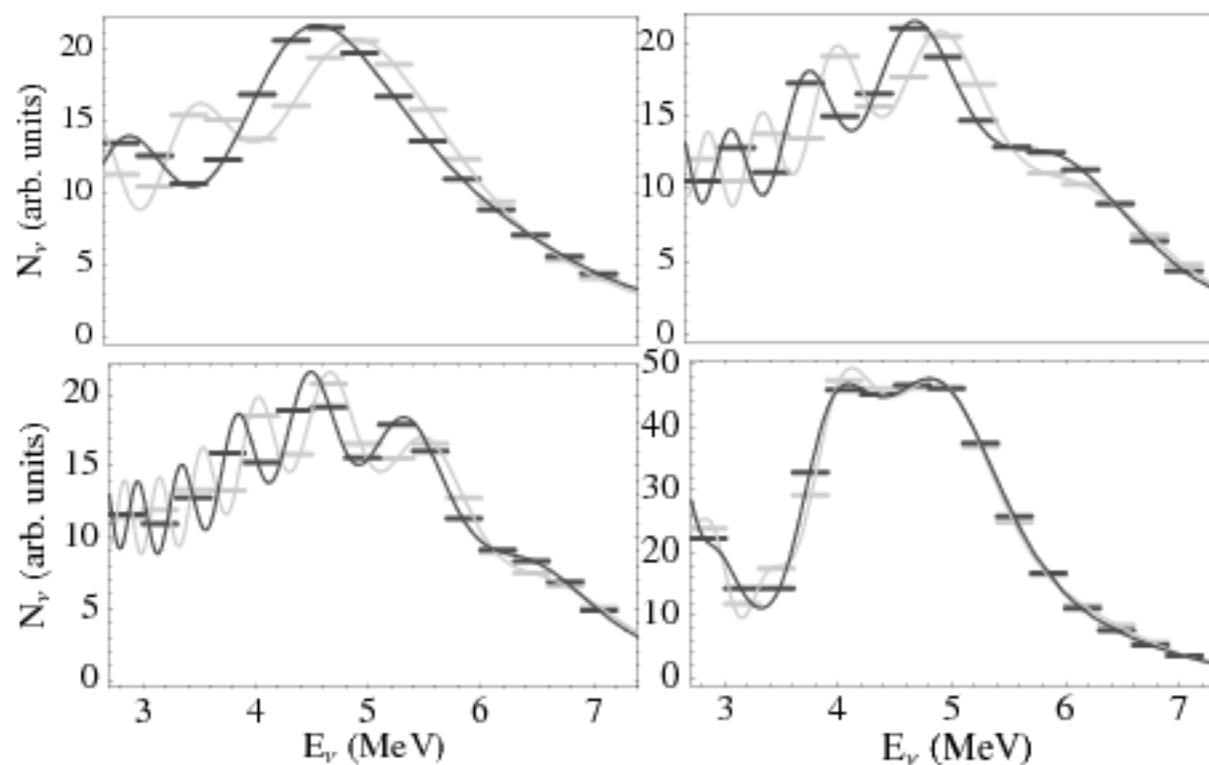
$$E_{vis} = E + m_e - (m_n - m_p) \simeq E - 0.8 \text{ MeV}.$$

The measured event rate spectrum vs. L/E_m :

$$N(L/E_m) = \int R(E, E_m) \Phi(E) \sigma(\bar{\nu}_e p \rightarrow e^+ n; E) P_{\bar{e}\bar{e}}^{NO(IO)} dE.$$

$$|P_{NO}(\bar{\nu}_e \rightarrow \bar{\nu}_e) - P_{IO}(\bar{\nu}_e \rightarrow \bar{\nu}_e)| \propto \sin^2 2\theta_{13} \cos 2\theta_{12}$$

$$\cos 2\theta_{12} \cong 0.38; \quad 3\sigma : \quad \cos 2\theta_{12} \geq 0.28; \quad \sin^2 2\theta_{13} \cong 0.09.$$



M. Plai, S.T.P., 2001

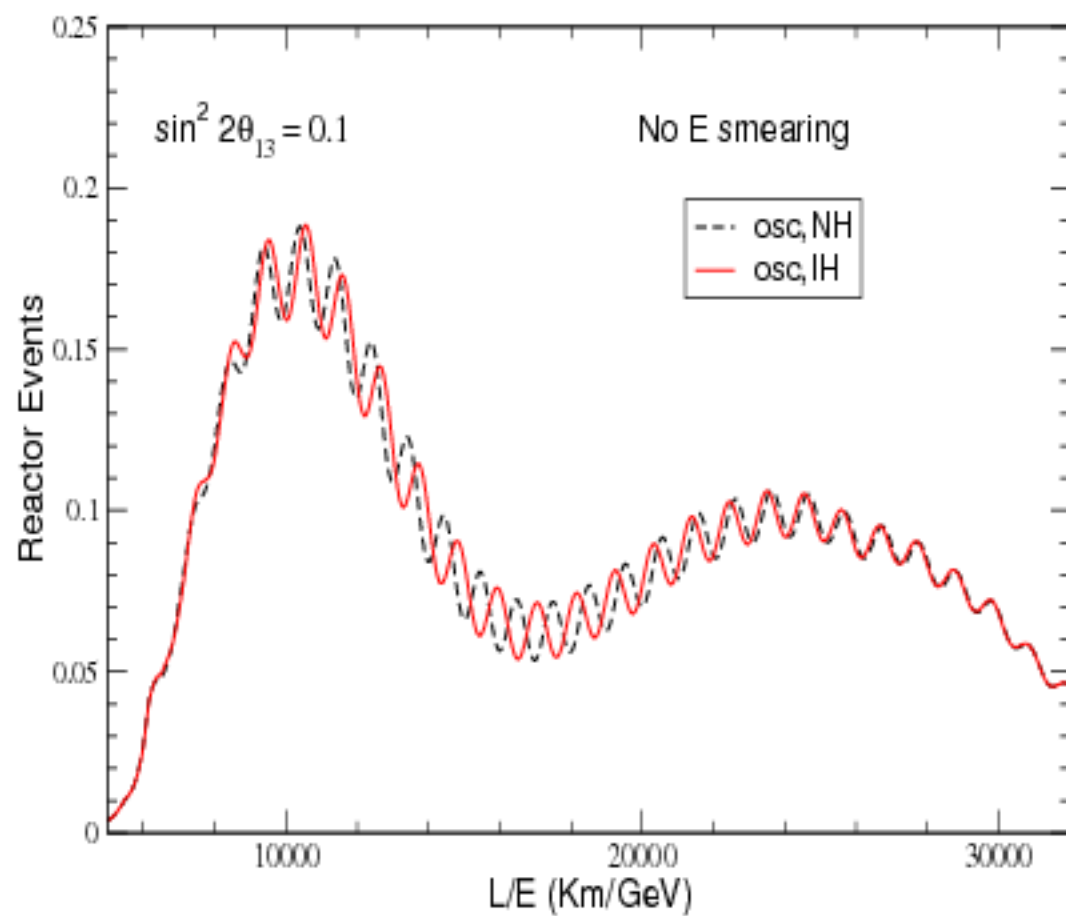
$$\sin^2 \theta_{13} = 0.05, \quad \Delta m_{21}^2 = 2 \times 10^{-4} \text{ eV}^2; \quad \Delta m_A^2 = 1.3; \quad 2.5; \quad 3.5 \times 10^{-3} \text{ eV}^2$$

$$L = 20 \text{ km}, \Delta E_\nu = 0.3 \text{ MeV}.$$

$$\Delta m_{21}^2 = 2 \times 10^{-4} \text{ eV}^2: \quad L = 20 \text{ km};$$

$$\Delta m_{21}^2 = 7.6 \times 10^{-5} \text{ eV}^2: \quad L \cong 53 \text{ km}.$$

NO – light grey; IO – dark grey



P. Ghoshal, S.T.P., arXiv:1011.1646

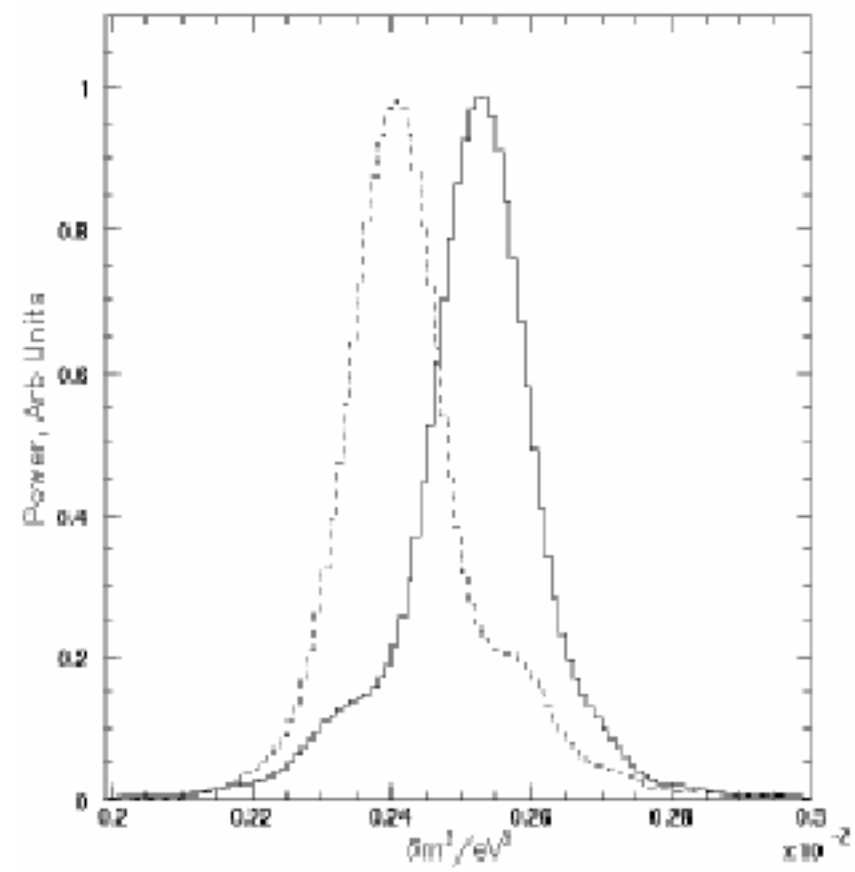
Fourier Analysis:

$$\text{NO : } \cos^2 \theta_{12} \sin^2 \Delta + \sin^2 \theta_{12} \sin^2(\Delta - \Delta_{21}),$$

$$\text{IO : } \sin^2 \theta_{12} \sin^2 \Delta + \cos^2 \theta_{12} \sin^2(\Delta - \Delta_{21}),$$

$$\Delta \equiv \Delta_{31}(\text{NO}) = |\Delta_{32}(\text{IO})|;$$

$$\sin^2 \theta_{12} \cong 0.31, \quad \cos^2 \theta_{12} \cong 0.69.$$



J.Learned et al., 2007

Very challenging; requires:

- energy resolution $\sigma/E_{\text{vis}} \lesssim 3\%/\sqrt{E_{\text{vis}}}$;
- relatively small energy scale uncertainty;
- relatively large statistics ($\sim (300 - 1000)$ kT GW yr);
- relatively small systematic errors;
- subtle optimisations (distance, number of bins, effects of “interfering distant” reactors).

Two experiments planned with $L \cong 50$ km: Juno (20 kT, approved), RENO50 (18 kT). Can measure also $\sin^2 \theta_{12}$, Δm_{21}^2 and $|\Delta m_{31}^2|$ with remarkably high precision. Can be used for detection of Geo, solar, SN neutrinos as well.

Atmospheric Neutrino Experiments on $\text{sgn}(\Delta m_{31}^2)$

Atmospheric ν experiments

Subdominant $\nu_{\mu(e)} \rightarrow \nu_{e(\mu)}$ and $\bar{\nu}_{\mu(e)} \rightarrow \bar{\nu}_{e(\mu)}$ oscillations in the Earth.

$$P_{3\nu}(\nu_e \rightarrow \nu_\mu) \cong P_{3\nu}(\nu_\mu \rightarrow \nu_e) \cong s_{23}^2 P_{2\nu}, P_{3\nu}(\nu_e \rightarrow \nu_\tau) \cong c_{23}^2 P_{2\nu},$$

$$P_{3\nu}(\nu_\mu \rightarrow \nu_\mu) \cong 1 - s_{23}^4 P_{2\nu} - 2c_{23}^2 s_{23}^2 [1 - \text{Re}(e^{-i\kappa} A_{2\nu}(\nu_\tau \rightarrow \nu_\tau))] ,$$

$P_{2\nu} \equiv P_{2\nu}(\Delta m_{31}^2, \theta_{13}; E, \theta_n; N_e)$: 2- ν $\nu_e \rightarrow \nu'_\tau$ oscillations in the Earth,
 $\nu'_\tau = s_{23} \nu_\mu + c_{23} \nu_\tau$; $\Delta m_{21}^2 \ll |\Delta m_{31(32)}^2|$, $E_\nu \gtrsim 2$ GeV;

κ and $A_{2\nu}(\nu_\tau \rightarrow \nu_\tau) \equiv A_{2\nu}$ are known phase and 2- ν amplitude.

NO: $\nu_{\mu(e)} \rightarrow \nu_{e(\mu)}$ **matter enhanced**, $\bar{\nu}_{\mu(e)} \rightarrow \bar{\nu}_{e(\mu)}$ - suppressed

IO: $\bar{\nu}_{\mu(e)} \rightarrow \bar{\nu}_{e(\mu)}$ **matter enhanced**, $\nu_{\mu(e)} \rightarrow \nu_{e(\mu)}$ - suppressed

No charge identification (SK, HK, IceCube-PINGU, ANTARES-ORCA); event rate (DIS regime): $[2\sigma(\nu_l + N \rightarrow l^- + X) + \sigma(\bar{\nu}_l + N \rightarrow l^+ + X)]/3$

Neutrino Oscillations in Matter

When neutrinos propagate in matter, they interact with the background of electrons, protons and neutrons, which generates an effective potential in the neutrino Hamiltonian: $H = H_{vac} + V_{eff}$.

This modifies the neutrino mixing since the eigenstates and the eigenvalues of H_{vac} and of $H = H_{vac} + V_{eff}$ are different, leading to a different oscillation probability w.r.t to that in vacuum.

Typically the matter background is not CP and CPT symmetric, e.g., the Earth and the Sun contain only electrons, protons and neutrons, and the resulting oscillations violate CP and CPT symmetries.

$$P_{3\nu}(\nu_\mu \rightarrow \nu_e) \cong \sin^2 \theta_{23} \sin^2 2\theta_{13}^m \sin^2 \frac{\Delta M_{31}^2 L}{4E}$$

$\sin^2 2\theta_{13}^m$, ΔM_{31}^2 depend on the matter potential
 $V_{eff} = \sqrt{2} G_F N_e$,

For antineutrinos V_{eff} has the opposite sign:

$$V_{eff} = -\sqrt{2} G_F N_e.$$

$\Delta m_{31}^2 > 0$ (NO): $\nu_{\mu(e)} \rightarrow \nu_{e(\mu)}$ matter enhanced,
 $\bar{\nu}_{\mu(e)} \rightarrow \bar{\nu}_{e(\mu)}$ - suppressed

$\Delta m_{31}^2 < 0$ (IO): $\bar{\nu}_{\mu(e)} \rightarrow \bar{\nu}_{e(\mu)}$ matter enhanced,
 $\nu_{\mu(e)} \rightarrow \nu_{e(\mu)}$ - suppressed

$$\sin^2 2\theta_{13}^m = \frac{\tan^2 2\theta_{13}}{(1 - \frac{N_e}{N_e^{res}})^2 + \tan^2 2\theta_{13}},$$

$$\cos 2\theta_{13}^m = \frac{1 - N_e/N_e^{res}}{\sqrt{(1 - \frac{N_e}{N_e^{res}})^2 + \tan^2 2\theta_{13}}},$$

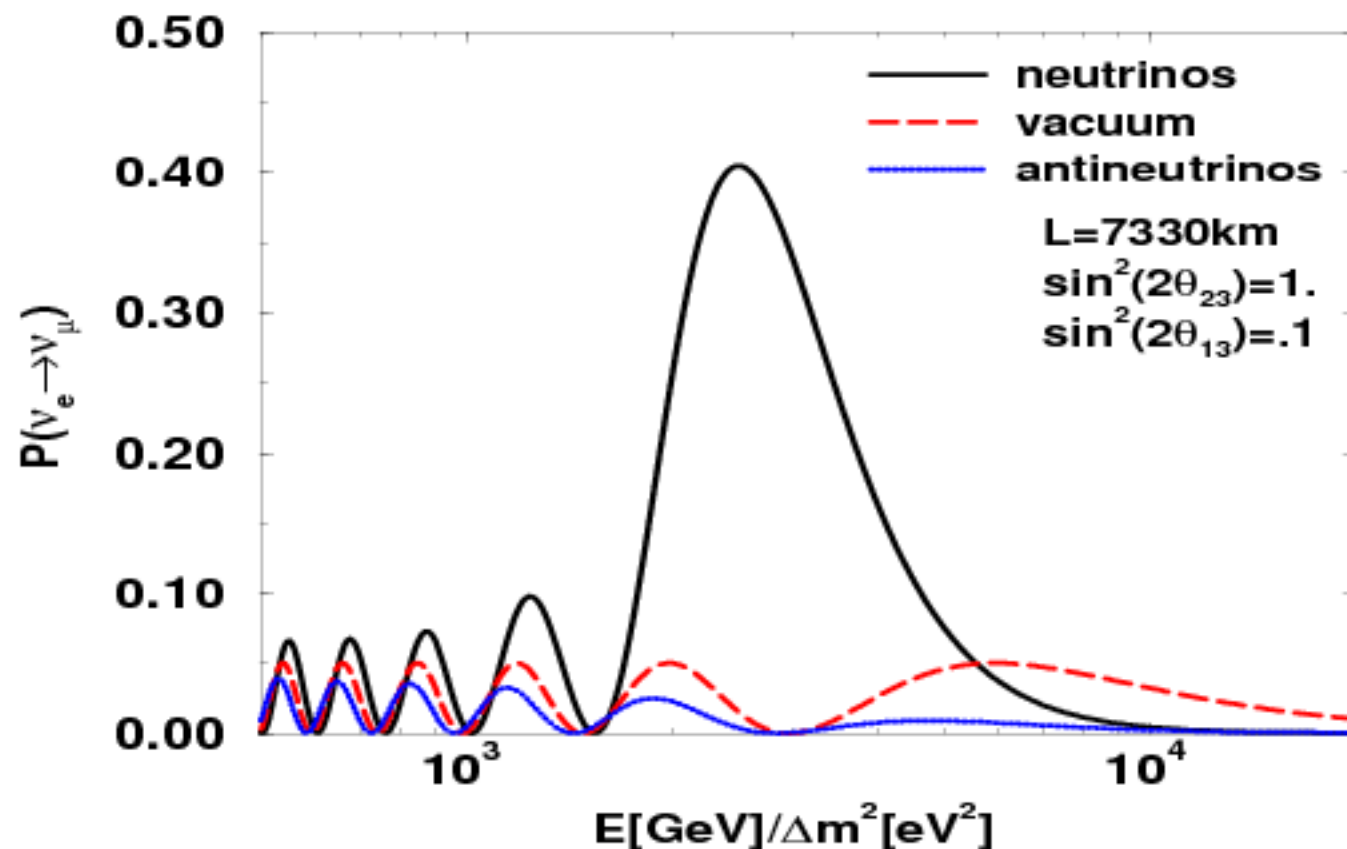
$$N_e^{res} = \frac{\Delta m_{31}^2 \cos 2\theta_{13}}{2E\sqrt{2}G_F} \quad ||$$

$$6.56 \times 10^6 \frac{\Delta m^2 [\text{eV}^2]}{E [\text{MeV}]} \cos 2\theta \text{ cm}^{-3} N_A ,$$

$$\frac{\Delta M_{31}^2}{2E} = \frac{\Delta m_{31}^2}{2E} \left(\left(1 - \frac{N_e}{N_e^{res}}\right)^2 \cos^2 2\theta_{13} + \sin^2 2\theta_{13} \right)^{\frac{1}{2}}$$

For $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$: $N_e \rightarrow (-N_e)$.

Earth matter effect in $\nu_\mu \rightarrow \nu_e, \bar{\nu}_\mu \rightarrow \bar{\nu}_e$ (MSW)



$\Delta m^2 = 2.5 \times 10^{-3} \text{ eV}^2$, $E^{\text{res}} = 6.25 \text{ GeV}$; $P^{3\nu} = \sin^2 \theta_{23} P_m^{2\nu} = 0.5 P_m^{2\nu}$;
 $N_e^{\text{res}} \cong 2.3 \text{ cm}^{-3} N_A$; $L_m^{\text{res}} = L^\nu / \sin 2\theta_{13} \cong 6250 / 0.32 \text{ km}$; $2\pi L / L_m \cong 0.75\pi (\neq \pi)$.

HyperKamiokande (10SK), IceCube-PINGU, ANTARES-ORCA;

Iron Magnetised detector: INO

INO: 50 or 100 kt (in India); ν_μ and $\bar{\nu}_\mu$ induced events detected (μ^+ and μ^-);
not designed to detect ν_e and $\bar{\nu}_e$ induced events.

IceCube at the South Pole: PINGU

PINGU: 50SK; ν_μ and $\bar{\nu}_\mu$ induced events detected (μ^+ and μ^- , no μ charge identification); Challenge: $E_\nu \gtrsim 2$ GeV (?)

ANTARES in Mediteranian sea: ORCA

Water-Cerenkov detector: Hyper Kamiokande (10SK)

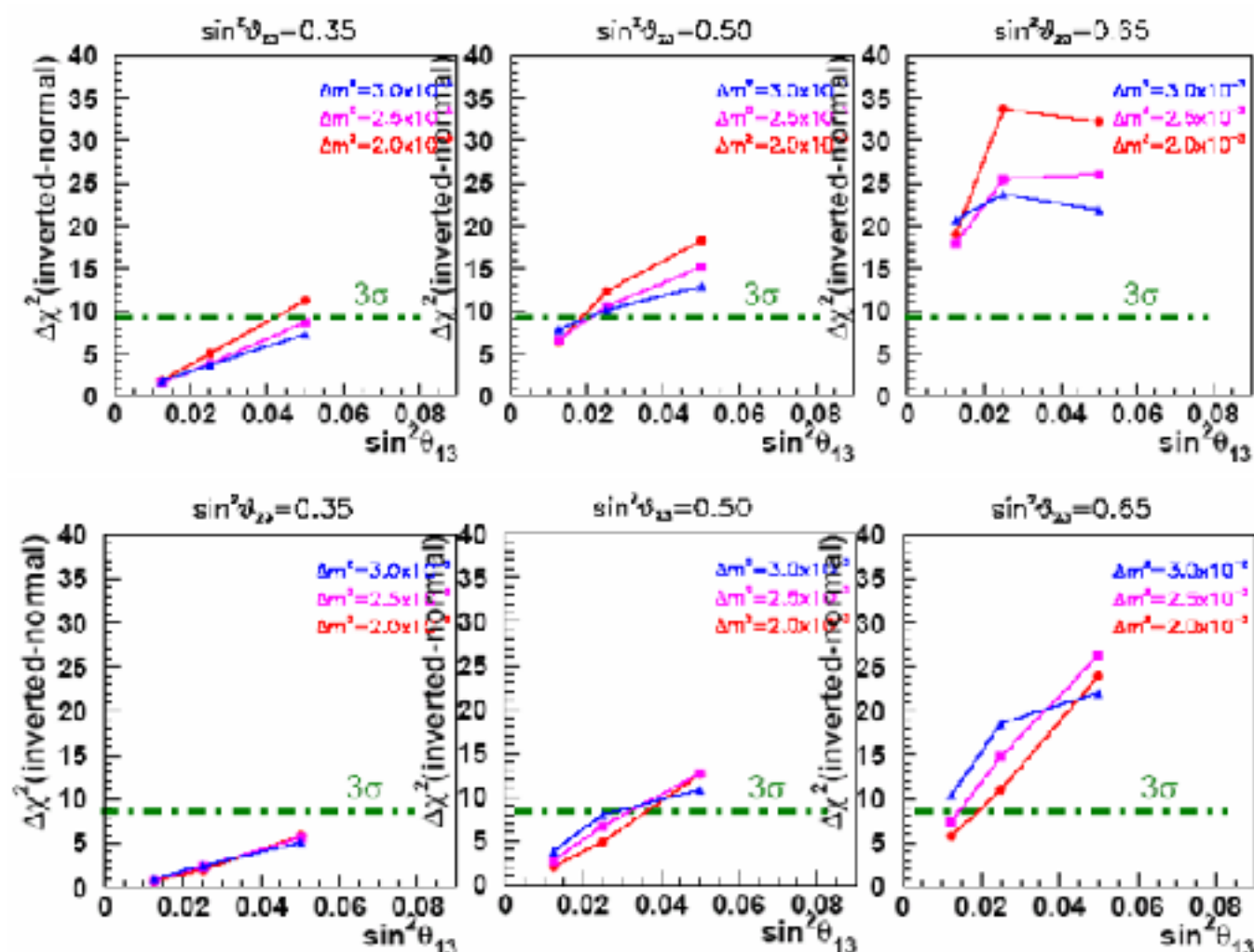
Sensitivity depends critically on θ_{23} , the “true” hierarchy.

J. Bernabeu, S. Palomares-Ruiz, S.T.P., 2003

$$P(\nu_\mu \rightarrow \nu_e) \cong \sin^2 \theta_{23} \sin^2 2\theta_{13}^m \sin^2 \frac{\Delta M_{31}^2 L}{4E}$$

No charge identification (SK, HK, PINGU, ORCA); event rate (DIS regime):

$$[2\sigma(\nu_l + N \rightarrow l^- + X) + \sigma(\bar{\nu}_l + N \rightarrow l^+ + X)]/3$$

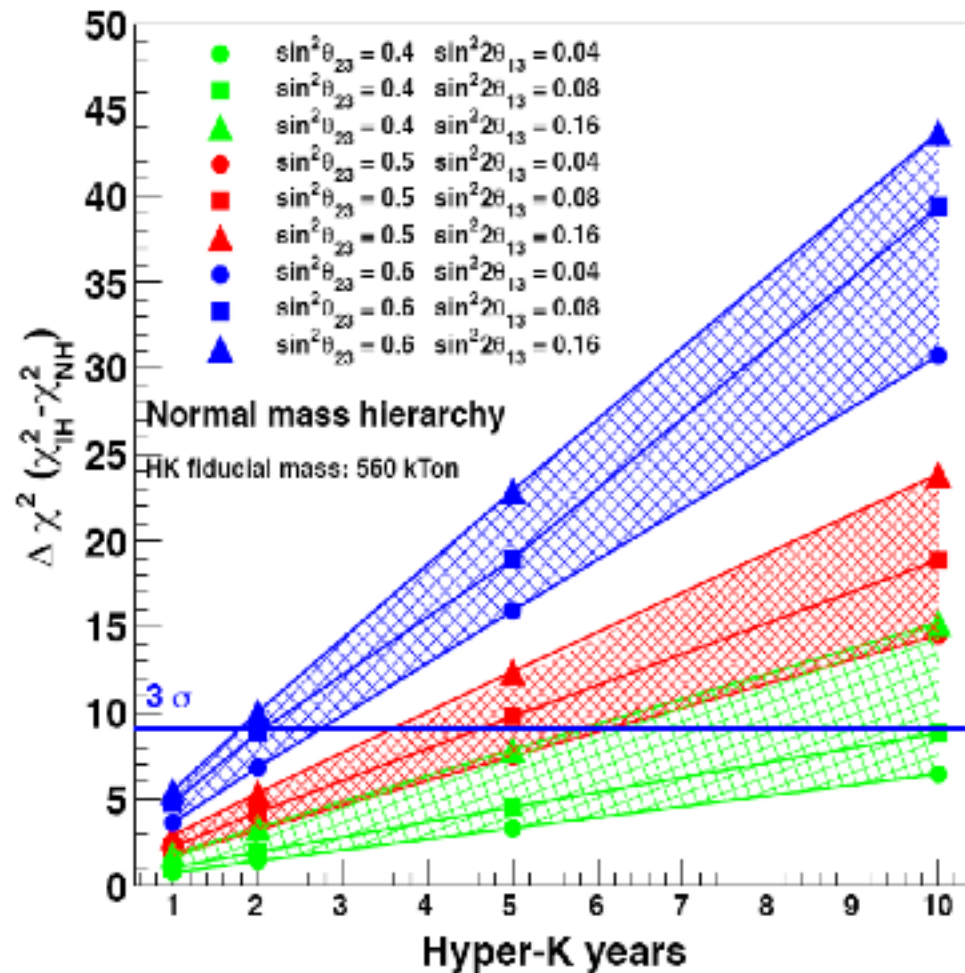


Water-Cerenkov detector, 1.8 MTy (HK = 10SK)

Critical dependence on θ_{23} , “true hierarchy”.

T. Kajita et al., 2004

J. Bernabeu, S. Palomares-Ruiz, S.T.P., 2003



Sensitivity to the neutrino mass hierarchy from HK atmospheric neutrino data. θ_{23} and θ_{13} are assumed to be known as indicated in the figure.

K. Abe et al. [Letter of intent: Hyper-Kamiokande Experiment], arXiv:1109.3262.

Future LBL Neutrino Oscillation Experiments on
 $\text{sgn}(\Delta m_{31}^2)$ (the Hierarchy) and CP Violation

LBL Oscillation Experiments $\text{NO}\nu\text{A}$, LBNE, LBNO

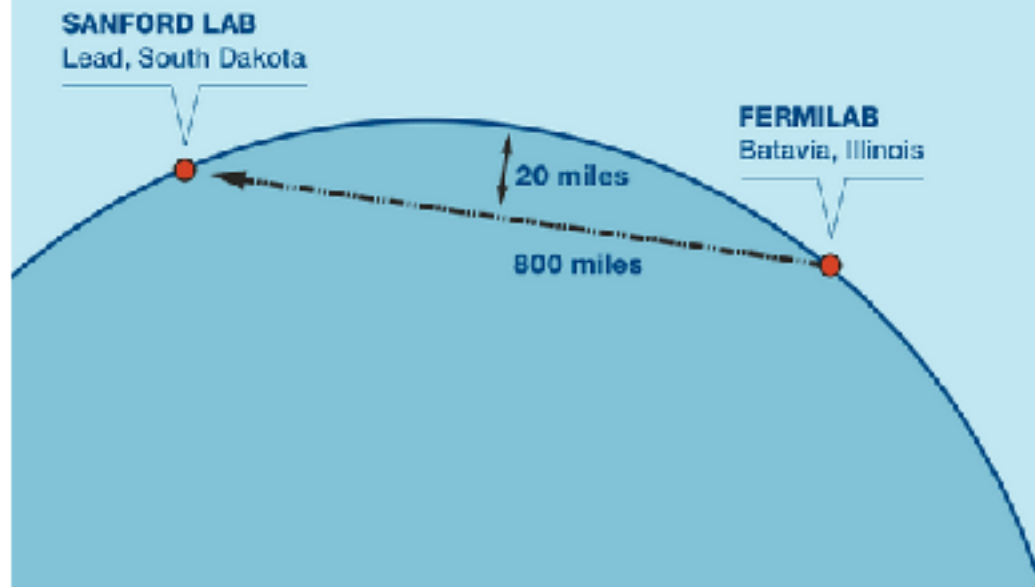
$\text{NO}\nu\text{A}$: Fermilab - site in Minnesota; off-axis ν beam, $E = 2$ GeV, $L \cong 810$ km, 14 kt liquid scintillator; 2014.

LBNE: Fermilab-DUSEL, $L = 1290$ km, 700 KW wide band ν beam (first and second osc. maxima at $E = 2.4$ GeV and 0.8 GeV); 2 or 3 100 kt Water Cherenkov with 15% to 30% PMT coverage, or multiple 17 kt fiducial volume LAr detectors; plans to run 5 years with ν_μ and 5 years with $\bar{\nu}_\mu$; 202X (?)

LBNO: CERN-Pyhasalmi, $L = 2290$ km, wide band ν_μ 1.6 MW super beam (first and second osc. maxima at $E \cong 4$ GeV and 1.5 GeV); 440 kt Water Cherenkov, or 100 kt LAr, or 50 kt liquid scintillator detector; 202Y (?)



Long-Baseline Neutrino Experiment





Up to 2nd order in the two small parameters $|\alpha| \equiv |\Delta m_{21}^2|/|\Delta m_{31}^2| \ll 1$ and $\sin^2 \theta_{13} \ll 1$:

$$P_m^{3\nu\ man}(\nu_\mu \rightarrow \nu_e) \cong P_0 + P_{\sin \delta} + P_{\cos \delta} + P_3 ,$$

$$P_0 = \sin^2 \theta_{23} \frac{\sin^2 2\theta_{13}}{(A-1)^2} \sin^2[(A-1)\Delta],$$

$$P_3 = \alpha^2 \cos^2 \theta_{23} \frac{\sin^2 2\theta_{12}}{A^2} \sin^2(A\Delta),$$

$$P_{\sin \delta} = -\alpha \frac{8J_{CP}}{A(1-A)} (\sin \Delta)(\sin A\Delta) (\sin[(1-A)\Delta]),$$

$$P_{\cos \delta} = \alpha \frac{8J_{CP} \cot \delta}{A(1-A)} (\cos \Delta)(\sin A\Delta) (\sin[(1-A)\Delta]),$$

$$\Delta = \frac{\Delta m_{31}^2 L}{4E}, \quad A = \sqrt{2} G_F N_e^{man} \frac{2E}{\Delta m_{31}^2}.$$

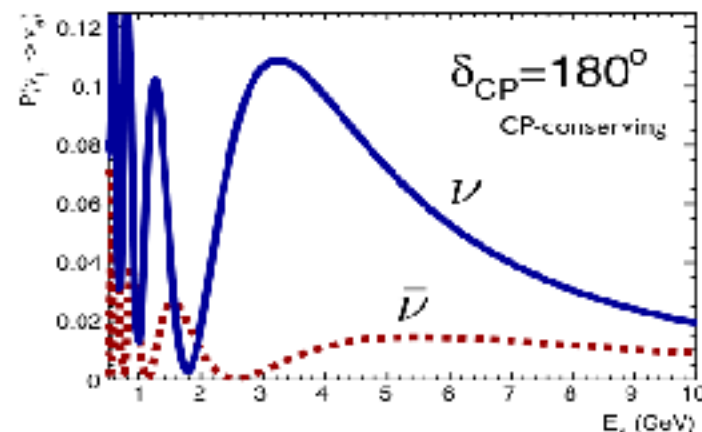
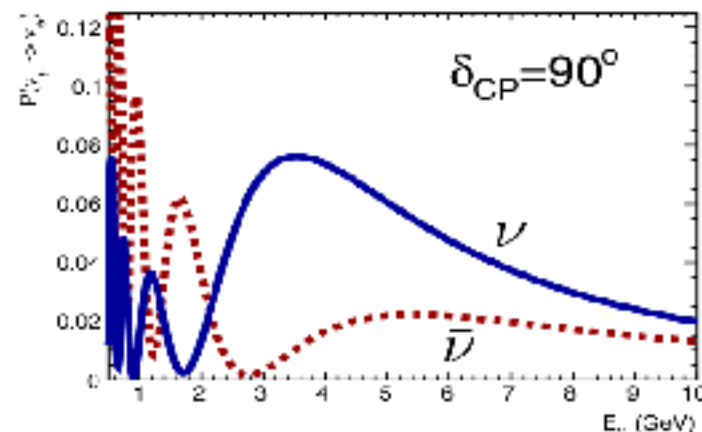
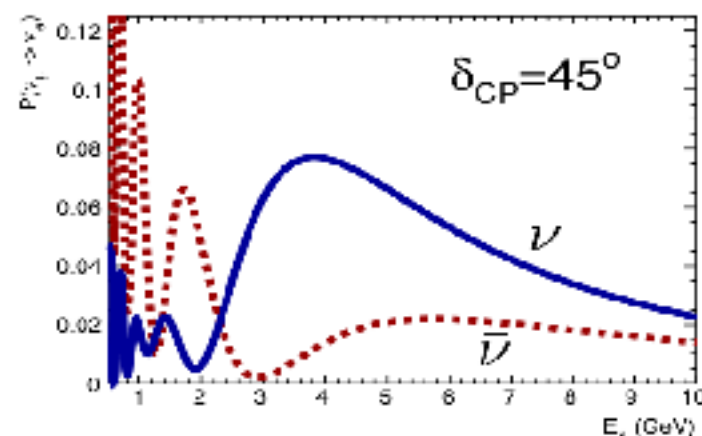
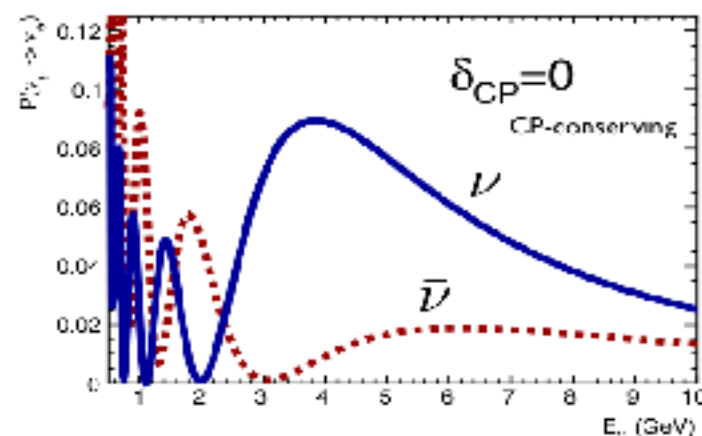
$$\bar{\nu}_\mu \rightarrow \bar{\nu}_e: \delta, \quad A \rightarrow (-\delta), \quad (-A)$$

CERN-Pythäsalmi: CP-effect $\nu_\mu \rightarrow \nu_e$

★ Normal mass hierarchy

L=2300 km

$$\sin^2(2\theta_{13}) = 0.09$$

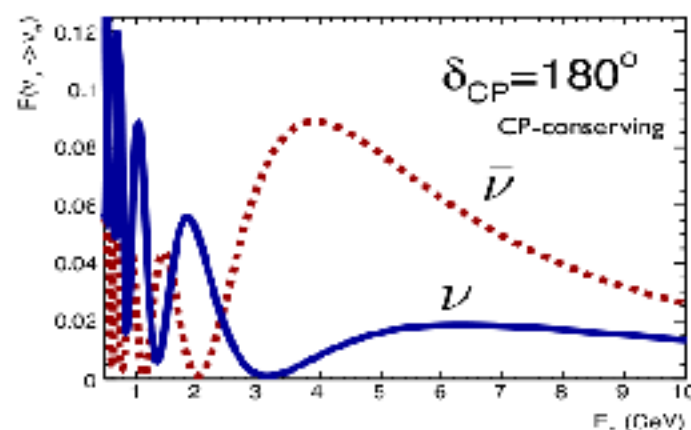
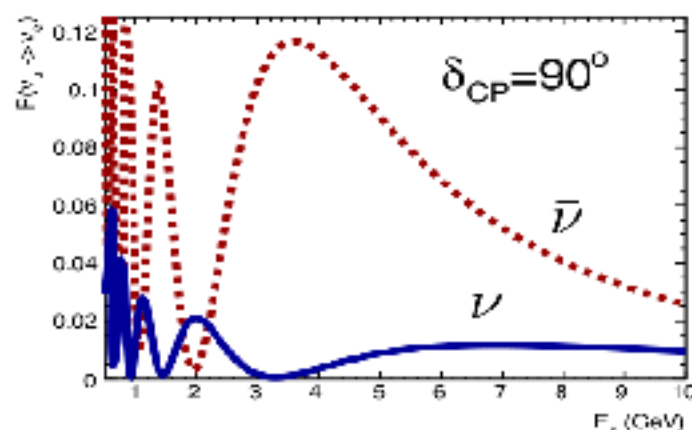
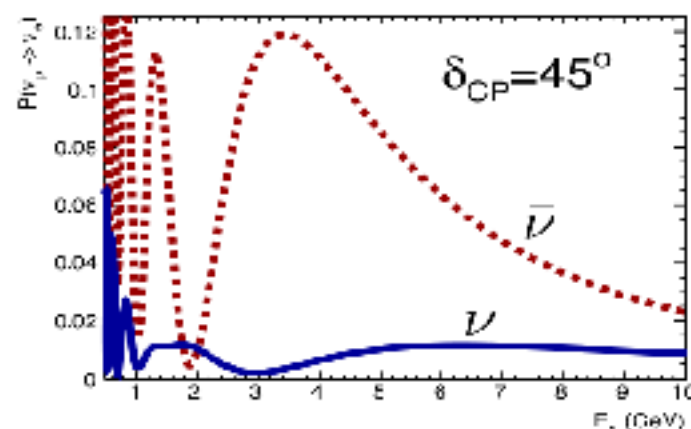
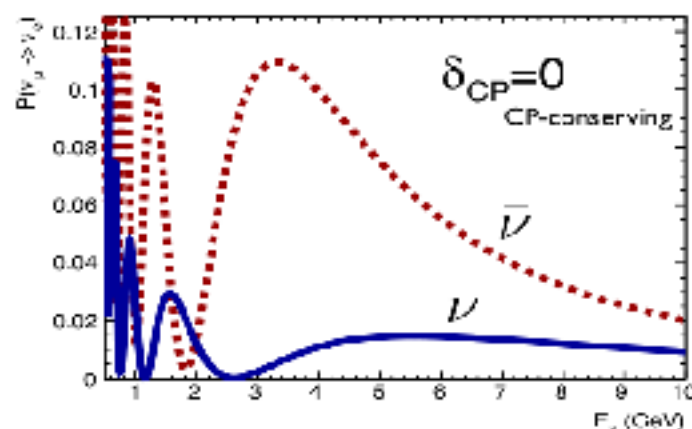


CERN-Pythäsalmi: CP-effect $\nu_\mu \rightarrow \nu_e$

★ Inverted mass hierarchy

$L=2300$ km

$$\sin^2(2\theta_{13}) = 0.09$$



LBNF, for example, could achieve the determination of the mass hierarchy at 3σ in less than a year.

LBNF could also have very good sensitivity to CP-violation with a 60% coverage at 3σ in the allowed range of values of $\sin^2 2\theta_{13}$, for a 200 kton Water Cherenkov or 34 kton LAr detectors (assuming it will run for 5 years in neutrinos and 5 years in antineutrinos).

Determining the Nature of Massive Neutrinos

Dirac CP-Nonconservation: δ in U_{PMNS}

Observable manifestations in

$$\nu_l \leftrightarrow \nu_{l'} , \quad \bar{\nu}_l \leftrightarrow \bar{\nu}_{l'} , \quad l, l' = e, \mu, \tau$$

- not sensitive to Majorana CPVP α_{21}, α_{31}

S.M. Bilenky, J. Hosek, S.T.P., 1980;
P. Langacker et al., 1987

$$A(\nu_l \leftrightarrow \nu_{l'}) = \sum_j U_{l'j} e^{-i(E_j t - p_j x)} U_{jl}^\dagger$$

$$U = VP : P_j e^{-i(E_j t - p_j x)} P_j^* = e^{-i(E_j t - p_j x)}$$

P - diagonal matrix of Majorana phases.

The result is valid also in the case of oscillations in matter: ν_l oscillations are not sensitive to the nature of ν_j .

ν_j — Dirac or Majorana particles, **fundamental problem**

ν_j —Dirac: **conserved lepton charge exists**, $L = L_e + L_\mu + L_\tau$, $\nu_j \neq \bar{\nu}_j$

ν_j —Majorana: **no lepton charge is exactly conserved**, $\nu_j \equiv \bar{\nu}_j$

The observed patterns of ν —mixing and of Δm_{atm}^2 and $\Delta m_{\odot}^2$ can be related to Majorana ν_j and an **approximate** symmetry:

$$L' = L_e - L_\mu - L_\tau$$

S.T.P., 1982

See-saw mechanism: ν_j — Majorana

Establishing that ν_j are Majorana particles would be as important as the discovery of ν — oscillations.

If ν_j – Majorana particles, U_{PMNS} contains (3- ν mixing)

δ -Dirac, α_{21} , α_{31} – Majorana physical CPV phases

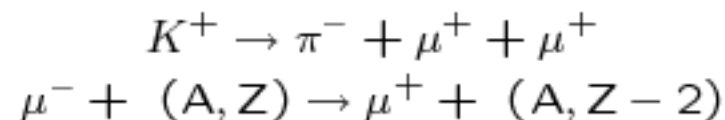
ν -oscillations $\nu_l \leftrightarrow \nu_{l'}$, $\bar{\nu}_l \leftrightarrow \bar{\nu}_{l'}$, $l, l' = e, \mu, \tau$,

- are not sensitive to the nature of ν_j ,

S.M. Bilenky et al., 1980;
P. Langacker et al., 1987

- provide information on $\Delta m_{jk}^2 = m_j^2 - m_k^2$, but not on the absolute values of ν_j masses.

The Majorana nature of ν_j can manifest itself in the existence of $\Delta L = \pm 2$ processes:



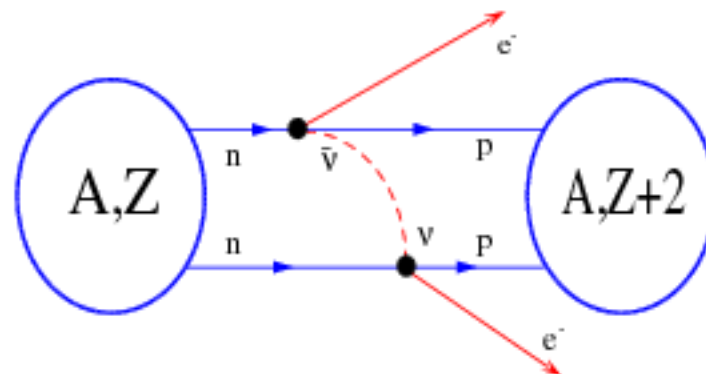
The process most sensitive to the possible Majorana nature of ν_j – $(\beta\beta)_{0\nu}$ -decay



of even-even nuclei, ^{48}Ca , ^{76}Ge , ^{82}Se , ^{100}Mo , ^{116}Cd , ^{130}Te , ^{136}Xe , ^{150}Nd .

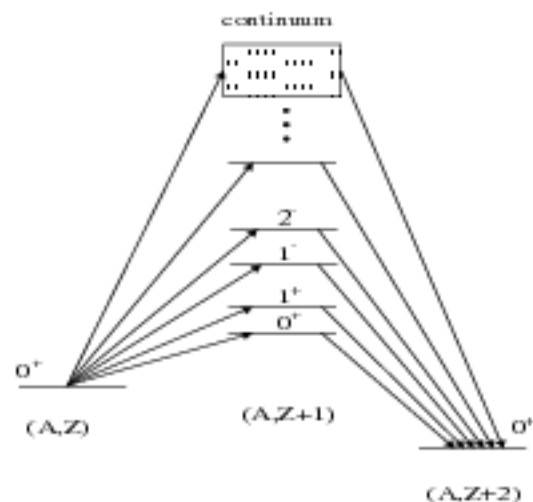
$2n$ from (A, Z) exchange a virtual Majorana ν_j (via the CC weak interaction) and transform into $2p$ of $(A, Z+2)$ and two free e^- .

Nuclear $0\nu\beta\beta$ -decay



strong in-medium modification of the basic process

$$dd \rightarrow uue^-e^-(\bar{\nu}_e\bar{\nu}_e)$$



virtual excitation
of states of all multiplicities
in $(A, Z+1)$ nucleus

$(\beta\beta)_{0\nu}$ –Decay Experiments:

- Majorana nature of ν_j
- Type of ν –mass spectrum (NH, IH, QD)
- Absolute neutrino mass scale

^3H β -decay , cosmology: m_ν (QD, IH)

- CPV due to Majorana CPV phases

$$A(\beta\beta)_{0\nu} \sim \langle m \rangle M(A,Z), \quad M(A,Z) - \text{NME},$$

$$\begin{aligned} |\langle m \rangle| &= |m_1 |U_{e1}|^2 + m_2 |U_{e2}|^2 e^{i\alpha_{21}} + m_3 |U_{e3}|^2 e^{i\alpha_{31}}| \\ &= |m_1 c_{12}^2 c_{13}^2 + m_2 s_{12}^2 c_{13}^2 e^{i\alpha_{21}} + m_3 s_{13}^2 e^{i\alpha_{31}}|, \quad \theta_{12} \equiv \theta_{\odot}, \theta_{13} - \text{CHOOZ} \end{aligned}$$

α_{21}, α_{31} - the two Majorana CPVP of the PMNS matrix.

CP-invariance: $\alpha_{21} = 0, \pm\pi, \alpha_{31} = 0, \pm\pi;$

$$\eta_{21} \equiv e^{i\alpha_{21}} = \pm 1, \quad \eta_{31} \equiv e^{i\alpha_{31}} = \pm 1$$

relative CP-parities of ν_1 and ν_2 , and of ν_1 and ν_3 .

L. Wolfenstein, 1981;

S.M. Bilenky, N. Nedelcheva, S.T.P., 1984;

B. Kayser, 1984.

$$A(\beta\beta)_{0\nu} \sim \langle m \rangle M(A,Z), \quad M(A,Z) - \text{NME},$$

$$|\langle m \rangle| \cong \left| \sqrt{\Delta m_{\odot}^2} \sin^2 \theta_{12} e^{i\alpha} + \sqrt{\Delta m_{31}^2} \sin^2 \theta_{13} e^{i\beta_M} \right|, \quad m_1 \ll m_2 \ll m_3 \text{ (NH)},$$

$$|\langle m \rangle| \cong \sqrt{m_3^2 + \Delta m_{13}^2} |\cos^2 \theta_{12} + e^{i\alpha} \sin^2 \theta_{12}|, \quad m_3 < (\ll) m_1 < m_2 \text{ (IH)},$$

$$|\langle m \rangle| \cong m |\cos^2 \theta_{12} + e^{i\alpha} \sin^2 \theta_{12}|, \quad m_{1,2,3} \cong m \gtrsim 0.10 \text{ eV (QD)},$$

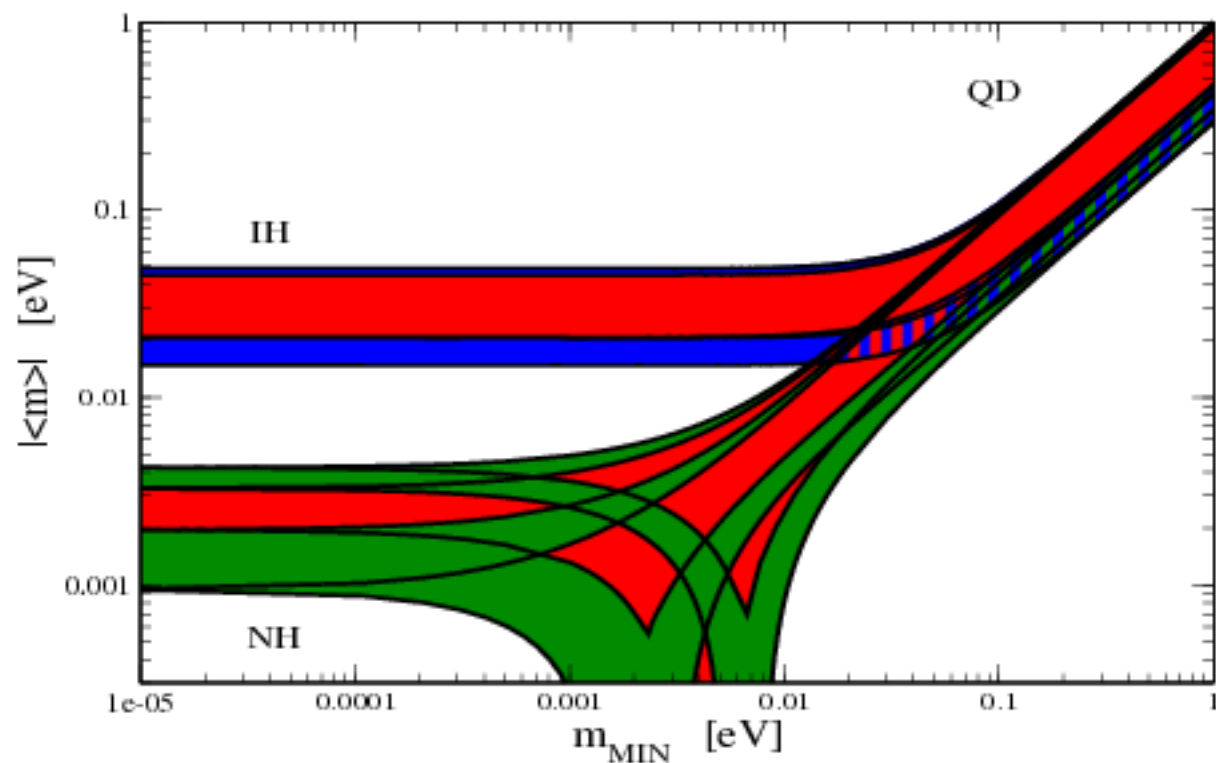
$$\theta_{12} \equiv \theta_{\odot}, \theta_{13} - \text{CHOOZ}; \quad \alpha \equiv \alpha_{21}, \beta_M \equiv \alpha_{31}.$$

$$\text{CP-invariance: } \alpha = 0, \pm\pi, \beta_M = 0, \pm\pi;$$

$$|\langle m \rangle| \lesssim 5 \times 10^{-3} \text{ eV, NH};$$

$$\sqrt{\Delta m_{13}^2} \cos 2\theta_{12} \cong 0.013 \text{ eV} \lesssim |\langle m \rangle| \lesssim \sqrt{\Delta m_{13}^2} \cong 0.055 \text{ eV, IH};$$

$$m \cos 2\theta_{12} \lesssim |\langle m \rangle| \lesssim m, \quad m \gtrsim 0.10 \text{ eV, QD}.$$



S. Pascoli, PDG, 2012

$$\sin^2 \theta_{13} = 0.0236 \pm 0.0042; \delta = 0.$$

$$1\sigma(\Delta m_{21}^2) = 2.6\%, \quad 1\sigma(\sin^2 \theta_{12}) = 5.4\%, \quad 1\sigma(|\Delta m_{31(23)}^2|) = 3\%.$$

From G.L. Fogli *et al.*, arXiv:1205.5254v3

$2\sigma(|\langle m \rangle|)$ used.

Best sensitivity: GERDA (^{76}Ge), EXO (^{136}Xe), KamLAND-ZEN (^{136}Xe).

Claim for a positive signal at $> 3\sigma$:

H. Klapdor-Kleingrothaus et al., PL B586 (2004),

$|\langle m \rangle| = (0.1 - 0.9) \text{ eV}$ (99.73% C.L.); b.f.v.: $|\langle m \rangle| = 0.33 \text{ eV}$.

IGEX ^{76}Ge : $|\langle m \rangle| < (0.33 - 1.35) \text{ eV}$ (90% C.L.).

Recent data - NEMO3 (^{100}Mo), CUORICINO (^{130}Te):

$|\langle m \rangle| < (0.45 - 0.96) \text{ eV}$, $|\langle m \rangle| < (0.18 - 0.64) \text{ eV}$ (90% C.L.).

H. Klapdor-Kleingrothaus et al., PL B586 (2004),

$$T(^{76}\text{Ge}) = 2.23_{0.31}^{+0.44} \times 10^{25} \text{ yr at 90\% C.L.}$$

Results from 2012-2013:

$$T(^{136}\text{Xe}) > 1.6 \times 10^{25} \text{ yr at 90\% C.L., EXO}$$

$$T(^{136}\text{Xe}) > 1.9 \times 10^{25} \text{ yr at 90\% C.L., KamLAND – Zen}$$

$$T(^{76}\text{Ge}) > 2.1 \times 10^{25} \text{ yr at 90\% C.L., GERDA.}$$

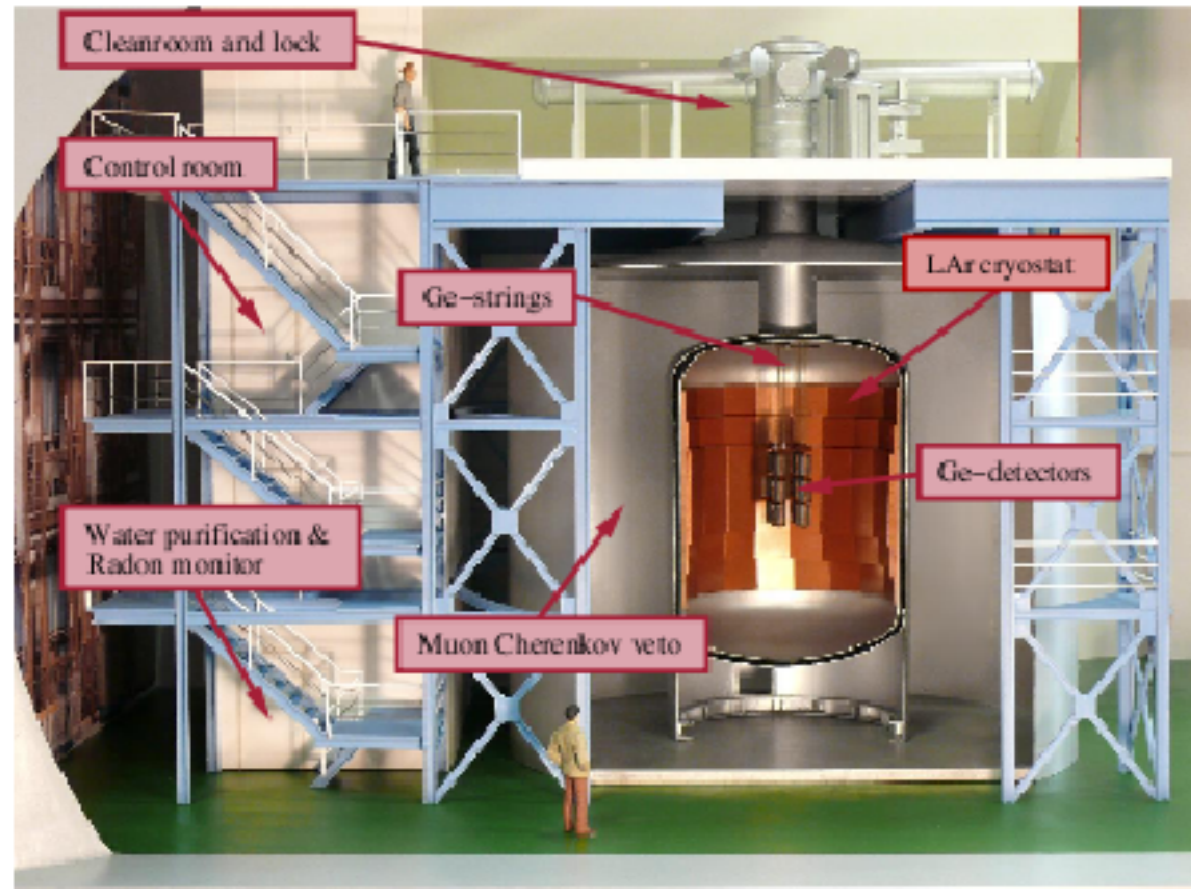
$$T(^{76}\text{Ge}) > 3.0 \times 10^{25} \text{ yr at 90\% C.L., GERDA + IGEX + HdM.}$$

Large number of experiments: $|\langle m \rangle| \sim (0.01-0.05) \text{ eV}$

CUORE - ^{130}Te ,
GERDA - ^{76}Ge ,
KamLAND-ZEN - ^{136}Xe ;
EXO - ^{136}Xe ;
SNO+ - ^{130}Te ;
AMoRE - ^{100}Mo (S. Korea);
CANDLES - ^{48}Ca ;
SuperNEMO - $^{82}\text{Se}, \dots$;
MAJORANA - ^{76}Ge ;
COBRA - ^{116}Cd ;
MOON - ^{100}Mo .



GERDA: Experimental Setup





Majorana CPV Phases and $|\langle m \rangle|$

CPV can be established provided

- $|\langle m \rangle|$ measured with $\Delta \lesssim 15\%$;
- Δm_{atm}^2 (IH) or m_0 (QD) measured with $\delta \lesssim 10\%$;
- $\xi \lesssim 1.5$;
- α_{21} (QD): in the interval $\sim [\frac{\pi}{4} - \frac{3\pi}{4}]$, or $\sim [\frac{5\pi}{4} - \frac{3\pi}{2}]$;
- $\tan^2 \theta_{\odot} \gtrsim 0.40$.

S. Pascoli, S.T.P., W. Rodejohann, 2002

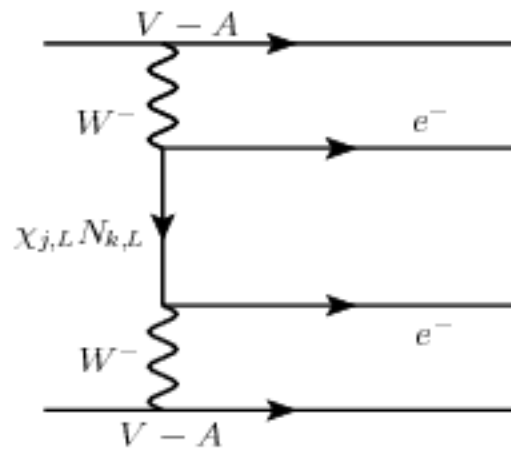
S. Pascoli, S.T.P., L. Wolfenstein, 2002

S. Pascoli, S.T.P., T. Schwetz, hep-ph/0505226

No “No-go for detecting CP-Violation via $(\beta\beta)_{0\nu}$ -decay”

V. Barger *et al.*, 2002

Different Mechanisms of $(\beta\beta)_{0\nu}$ -Decay



Light Majorana Neutrino Exchange

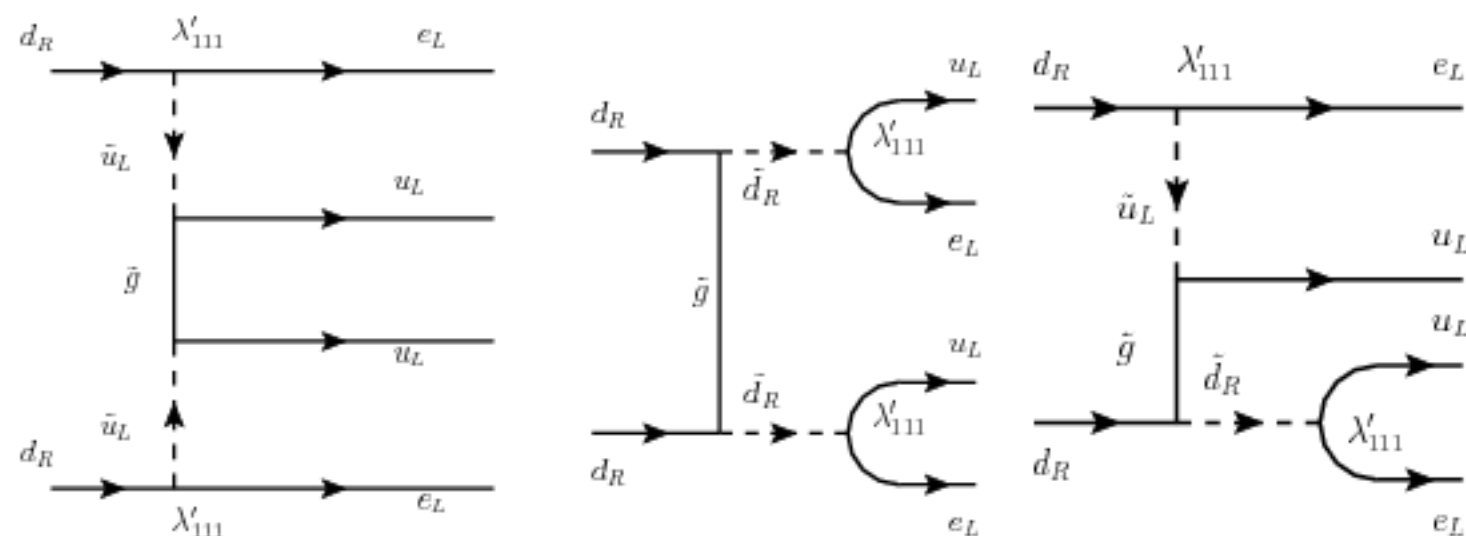
$$\eta_\nu = \frac{\langle m \rangle}{m_e}.$$

Heavy Majorana Neutrino Exchange Mechanisms

(V-A) Weak Interaction, LH N_k , $M_k \gtrsim 10$ GeV:

$$\eta_N^L = \sum_k^{heavy} U_{ek}^2 \frac{m_p}{M_k}, \quad m_p - \text{proton mass}, \quad U_{ek} - \text{CPV}.$$

SUSY Models with R-Parity Non-conservation



$$\begin{aligned} \mathcal{L}_{Rp} = & \lambda'_{111} \left[(\bar{u}_L \bar{d}_L) \begin{pmatrix} e_R^c \\ -\nu_{eR}^c \end{pmatrix} \tilde{d}_R + (\bar{e}_L \bar{\nu}_{eL}) d_R \begin{pmatrix} \tilde{u}_L^* \\ -\tilde{d}_L^* \end{pmatrix} \right. \\ & \left. + (\bar{u}_L \bar{d}_L) d_R \begin{pmatrix} \tilde{e}_L^* \\ -\tilde{\nu}_{eL}^* \end{pmatrix} \right] + h.c. \end{aligned}$$

The problem of distinguishing between different sets of multiple (e.g., two) mechanisms being operative in $(\beta\beta)_{0\nu}$ -decay was studied in

1. A. Faessler, A. Meroni, S.T.P., F. Simkovic and J. Vergados, “Uncovering Multiple CP-Nonconserving Mechanisms of $(\beta\beta)_{0\nu}$ -Decay”, arXiv:1103.2434, Phys. Rev. D83 (2011) 113003.

2. A. Meroni, S.T.P. and F. Simkovic, “Multiple CP Non-conserving Mechanisms of $bb0\nu$ -Decay and Nuclei with Largely Different Nuclear Matrix Elements”, (arXiv:1212.1331, JHEP **1302** (2013) 025).

Earlier studies include:

A. Halprin, S.T.P., S.P. Rosen, “Effects of Mixing of Light and Heavy Majorana Neutrinos in Neutrinoless Double Beta Decay”, Phys. Lett. 125B (1983) 335).

Absolute Neutrino Mass Measurements

Troitsk, Mainz experiments on ${}^3\text{H} \rightarrow {}^3\text{He} + e^- + \bar{\nu}_e$:
 $m_{\nu_e} < 2.2 \text{ eV}$ (95% C.L.)

We have $m_{\nu_e} \cong m_{1,2,3}$ in the case of QD spectrum. The upcoming **KATRIN** experiment is planned to reach sensitivity

KATRIN: $m_{\nu_e} \sim 0.2 \text{ eV}$

i.e., it will probe the region of the QD spectrum.

Improved β energy resolution requires a **BIG** β spectrometer.





Mass and Hierarchy from Cosmology

Cosmological and astrophysical data on $\sum_j m_j$: the Planck + WMAP (low $l \leq 25$) + ACT (large $l \geq 2500$) CMB data + Λ CDM (6 parameter) model + assuming 3 light massive neutrinos, implies

$$\sum_j m_j \equiv \Sigma < 0.66 \text{ eV} \quad (95\% \text{ C.L.})$$

Adding data on the baryon acoustic oscillations (BAO) leads to:

$$\sum_j m_j \equiv \Sigma < 0.23 \text{ eV} \quad (95\% \text{ C.L.})$$

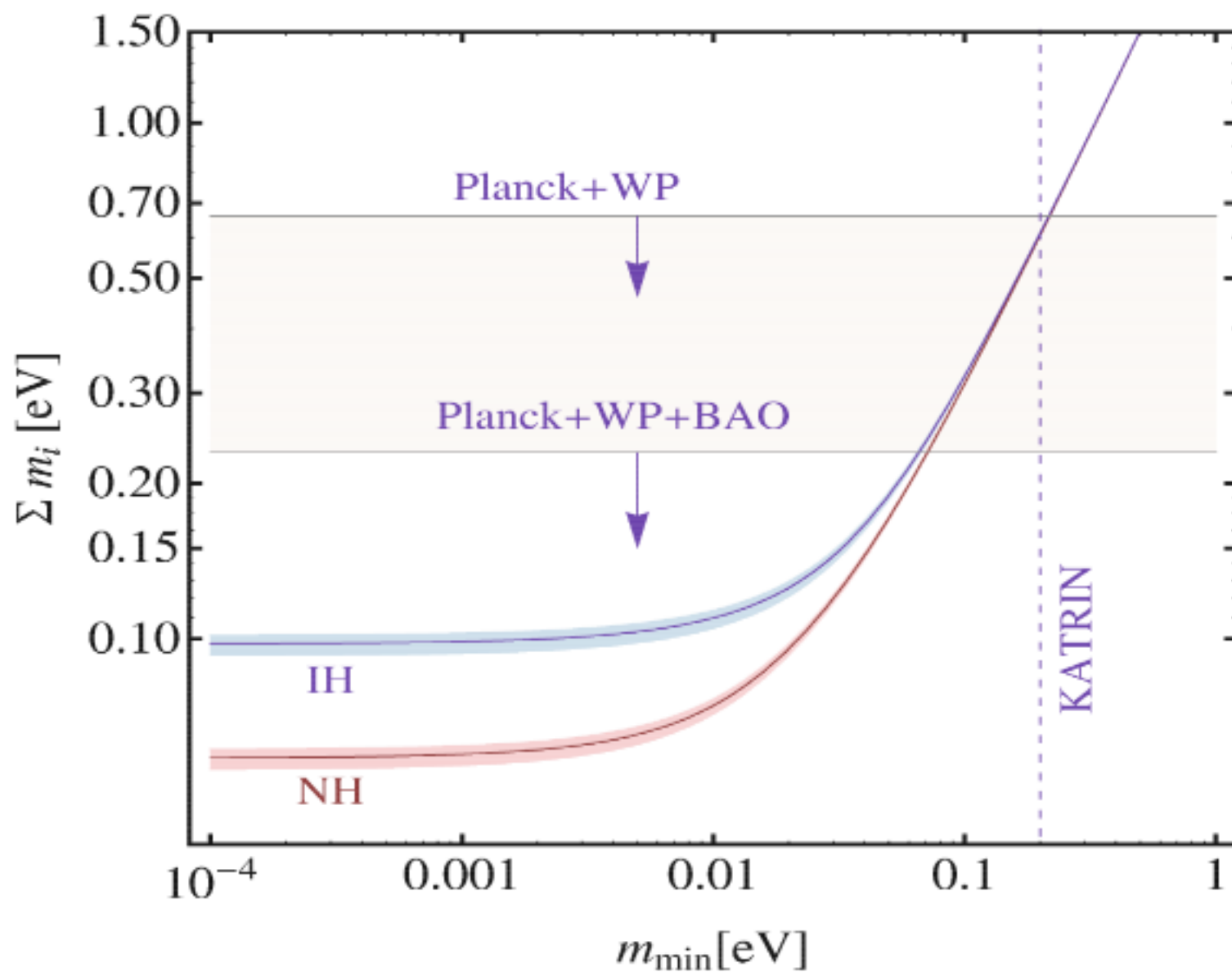
Data on weak lensing of galaxies by large scale structure, combined with data from the WMAP, and Planck experiments, and/or data from future EUCLID experiment, might allow to determine

$$\sum_j m_j : \quad \delta \cong (0.01 - 0.04) \text{ eV}.$$

NH: $\sum_j m_j \leq 0.05 \text{ eV} \quad (3\sigma);$

IH: $\sum_j m_j \geq 0.10 \text{ eV} \quad (3\sigma).$

Mass and Hierarchy from Cosmology



These data imply that

$$m_{\nu_j} \lll m_{e,\mu,\tau}, m_q, \quad q = u, c, t, d, s, b$$

For $m_{\nu_j} \lesssim 1$ eV: $m_{\nu_j}/m_{l,q} \lesssim 10^{-6}$

For a given family: $10^{-2} \lesssim m_{l,q}/m_{q'} \lesssim 10^2$

M_ν from the See-Saw Mechanism

P. Minkowski, 1977.

M. Gell-Mann, P. Ramond, R. Slansky, 1979;

T. Yanagida, 1979;

R. Mohapatra, G. Senjanovic, 1980.

- Explains the smallness of ν -masses.
- Through **leptogenesis theory** links the ν -mass generation to the generation of baryon asymmetry of the Universe Y_B .

S. Fukugita, T. Yanagida, 1986; GUT's: M. Yoshimura, 1978.

- In SUSY GUT's with see-saw mechanism of ν -mass generation, the LFV decays

$$\mu \rightarrow e + \gamma, \quad \tau \rightarrow \mu + \gamma, \quad \tau \rightarrow e + \gamma, \text{ etc.}$$

are predicted to take place with rates within the reach of present and future experiments.

F. Borzumati, A. Masiero, 1986.

- The ν_j are **Majorana particles**; $(\beta\beta)_{0\nu}$ -decay is allowed.

See-Saw: Dirac ν -mass m_D + Majorana mass M_R for N_R

The See-Saw Lagrangian

$$\mathcal{L}^{\text{lep}}(x) = \mathcal{L}_{\text{CC}}(x) + \mathcal{L}_Y(x) + \mathcal{L}_M^N(x),$$

$$\mathcal{L}_{\text{CC}} = -\frac{g}{\sqrt{2}} \bar{l}_L(x) \gamma_\alpha \nu_{lL}(x) W^{\alpha\dagger}(x) + \text{h.c.},$$

$$\mathcal{L}_Y(x) = \lambda_{il} \bar{N}_{iR}(x) H^\dagger(x) \psi_{lL}(x) + Y_l H^c(x) \bar{l}_R(x) \psi_{lL}(x) + \text{h.c.},$$

$$\mathcal{L}_M^N(x) = -\frac{1}{2} M_i \bar{N}_i(x) N_i(x).$$

ψ_{lL} - LH doublet, $\psi_{lL}^\top = (\nu_{lL} \ l_L)$, l_R - RH singlet, H - Higgs doublet.

Basis: $M_R = (M_1, M_2, M_3)$; $D_N \equiv \text{diag}(M_1, M_2, M_3)$, $D_\nu \equiv \text{diag}(m_1, m_2, m_3)$.

m_D generated by the Yukawa interaction:

$$-\mathcal{L}_Y^\nu = \lambda_{il} \bar{N}_{iR} H^\dagger(x) \psi_{lL}(x), \quad v = 174 \text{ GeV}, \quad v \lambda = m_D - \text{complex}$$

For M_R - sufficiently large,

$$m_\nu \simeq v^2 \lambda^T M_R^{-1} \lambda = U_{\text{PMNS}}^* m_\nu^{\text{diag}} U_{\text{PMNS}}^\dagger.$$

$Y_\nu \equiv \lambda = \sqrt{D_N} R \sqrt{D_\nu} (U_{\text{PMNS}})^\dagger / v_u$, all at M_R ; R -complex, $R^T R = 1$.

J.A. Casas and A. Ibarra, 2001

In GUTs, $M_R < M_X$, $M_X \sim 10^{16}$ GeV;

in GUTs, e.g., $M_R = (10^9, 10^{12}, 10^{15})$ GeV, $m_D \sim 1$ GeV.

$$m_\nu \simeq v^2 \, \lambda^T M_R^{-1} \lambda = U_{\text{PMNS}}^* m_\nu^{\text{diag}} U_{\text{PMNS}}^\dagger ,$$

$$\lambda \equiv Y_\nu$$

$$Y_\nu \equiv \lambda = \sqrt{D_N} R \sqrt{D_\nu} (U_{\text{PMNS}})^\dagger / v_u, \text{ all at } M_R;$$

$$R\text{-complex, } R^T R = 1.$$

J.A. Casas and A. Ibarra, 2001

$$D_N \equiv \text{diag}(M_1, M_2, M_3), \quad D_\nu \equiv \text{diag}(m_1, m_2, m_3).$$

Models: R - CP conserving ($SU(5) \times T'$); CPV parameters in R determined by the CPV phases in U (class of A_4 models).

Texture zeros in Y_ν : CPV parameters in R and U - related.

In GUTs, $M_R < M_X$, $M_X \sim 10^{16}$ GeV;

in GUTs, e.g., $M_R = (10^9, 10^{12}, 10^{15})$ GeV, $m_D \sim 1$ GeV.

The CP-Invariance Constraints

Assume: $C(\bar{\nu}_j)^T = \nu_j$, $C(\bar{N}_k)^T = N_k$, $j, k = 1, 2, 3$.

The CP-symmetry transformation:

$$\begin{aligned} U_{\text{CP}} N_j(x) U_{\text{CP}}^\dagger &= \eta_j^{NCP} \gamma_0 N_j(x'), \quad \eta_j^{NCP} = i\rho_j^N = \pm i, \\ U_{\text{CP}} \nu_k(x) U_{\text{CP}}^\dagger &= \eta_k^{\nu CP} \gamma_0 \nu_k(x'), \quad \eta_k^{\nu CP} = i\rho_k^\nu = \pm i. \end{aligned}$$

CP-invariance:

$$\lambda_{jl}^* = \lambda_{jl} (\eta_j^{NCP})^* \eta_l^l \eta^{H*}, \quad j = 1, 2, 3, \quad l = e, \mu, \tau,$$

Convenient choice: $\eta^l = i$, $\eta^H = 1$ ($\eta^W = 1$):

$$\begin{aligned} \lambda_{jl}^* &= \lambda_{jl} \rho_j^N, \quad \rho_j^N = \pm 1, \\ U_{lj}^* &= U_{lj} \rho_j^\nu, \quad \rho_j^\nu = \pm 1, \\ R_{jk}^* &= R_{jk} \rho_j^N \rho_k^\nu, \quad j, k = 1, 2, 3, \quad l = e, \mu, \tau, \end{aligned}$$

λ_{jl} , U_{lj} , R_{jk} - either real or purely imaginary.

Relevant quantity:

$$\begin{aligned} P_{jkm} &\equiv R_{jk} R_{jm} U_{lk}^* U_{lm}, \quad k \neq m, \\ CP: \quad P_{jkm}^* &= P_{jkm} (\rho_j^N)^2 (\rho_k^\nu)^2 (\rho_m^\nu)^2 = P_{jkm}, \quad \text{Im}(P_{jkm}) = 0. \end{aligned}$$

$$P_{jkm} \equiv R_{jk} R_{jm} U_{lk}^* U_{lm}, \quad k \neq m,$$

$$\textcolor{red}{CP}: \quad P_{jkm}^* = P_{jkm} (\rho_j^N)^2 (\rho_k^\nu)^2 (\rho_m^\nu)^2 = P_{jkm}, \quad \text{Im}(P_{jkm}) = 0.$$

Consider NH N_j , NH ν_k : $P_{123\tau} = R_{12} R_{13} U_{\tau 2}^* U_{\tau 3}$

Suppose, CP-Invariance holds at low E : $\delta = 0$, $\alpha_{21} = \pi$, $\alpha_{31} = 0$.

Thus, $U_{\tau 2}^* U_{\tau 3}$ - purely imaginary.

Then real $R_{12} R_{13}$ corresponds to CP-violation at "high" E .

Baryon Asymmetry

$$Y_B = \frac{n_B - n_{\bar{B}}}{n_\gamma} = (6.1 \pm 0.3) \times 10^{-10}, \quad \text{CMB}$$

Sakharov conditions for a dynamical generation of $Y_B \neq 0$ in the Early Universe

- B number non-conservation.
- Violation of C and CP symmetries.
- Deviation from thermal equilibrium.

Leptogenesis

- The heavy Majorana neutrinos N_i are in equilibrium in the Early Universe as far as the processes which produce and destroy them are efficient.
- When $T < M_1$, N_1 drops out of equilibrium as it cannot be produced efficiently anymore.
- If $\Gamma(N_1 \rightarrow \Phi^- \ell^+) \neq \Gamma(N_1 \rightarrow \Phi^+ \ell^-)$, a lepton asymmetry will be generated.
- Wash-out processes, like $\Phi^+ + \ell^- \rightarrow N_1$, $\ell^- + \Phi^+ \rightarrow \Phi^- + \ell^+$, etc. tend to erase the asymmetry. Under the condition of non-equilibrium, they are less efficient than the direct processes in which the lepton asymmetry is created. The final result is a net (non-zero) lepton asymmetry.
- This lepton asymmetry is then converted into a baryon asymmetry by $(B + L)$ violating but $(B - L)$ conserving sphaleron processes which exist within the SM (at $T \gtrsim M_{\text{EWSB}}$).

S. Fukugita, T. Yanagida, 1986.

In order to compute Y_B :

1. calculate the CP-asymmetry:

$$\varepsilon_1 = \frac{\Gamma(N_1 \rightarrow \Phi^- \ell^+) - \Gamma(N_1 \rightarrow \Phi^+ \ell^-)}{\Gamma(N_1 \rightarrow \Phi^- \ell^+) + \Gamma(N_1 \rightarrow \Phi^+ \ell^-)}$$

2. solve the Boltzmann (or similar) equation to account for the wash-out of the asymmetry:

$$Y_L = \kappa \varepsilon$$

where $\kappa = \kappa(\tilde{m})$ is the “efficiency factor”, $\tilde{m}$ is the “the wash-out mass parameter” - determines the rate of wash-out processes;

3. the lepton asymmetry is converted into a baryon asymmetry:

$$Y_B = \frac{c_s}{g_*} \kappa \varepsilon$$

Baryon number violation in the SM

Instanton and Sphaleron processes

SU(2) instantons lead to (leading order) to effective 12 fermion ($B + L$) nonconserving, but ($B - L$) conserving, interactions:

$$O(B + L) = \prod_i q_{Li} q_{Li} q_{Li} l_{Li}$$

These would induce $\Delta B = \Delta L = 3$ processes:

$$u_L + d_L + c_L + s_L + t_L + b_L + \nu_{eL} + \nu_{\mu L} + \nu_{\tau L} \rightarrow \bar{d}_R + \bar{b}_R + \bar{s}_R$$

However, at $T = 0$ the probability of such processes is $\Gamma/V \sim e^{-4\pi/\alpha} \sim 10^{-165}$.

't Hooft, 1976

At finite T , the transitions proceed via thermal fluctuations (over the barrier) with an unsuppressed probability (due to sphaleron (static) configurations - saddle “points” of the field energy of the $SU(2)$ gauge - Higgs field system):

$$\Gamma/V \sim \alpha^4 T^4.$$

Kuzmin, Rubakov, Shaposhnikov, 1985;

Arnold et al., 1987 and 1997.

Sphaleron processes are efficient (in the case of interest) at

$$T_{EW} \sim 100 \text{ GeV} < T < 10^{12} \text{ GeV}$$

Can generate $B \neq 0$, $L \neq 0$ **at** $T_{EW} < T (< 10^{12} \text{ GeV})$ **from** $(B - L)_0 \neq 0$ **(with** $(B - L) = \text{const.})$.

Harvey, Turner, 1990

Leptogenesis

$$Y_B = \frac{n_B - n_{\bar{B}}}{S} \sim 8.6 \times 10^{-11} \quad (n_\gamma: \sim 6.3 \times 10^{-10})$$

$$Y_B \cong -10^{-2} \quad \epsilon \kappa$$

W. Buchmüller, M. Plümacher, 1998;

W. Buchmüller, P. Di Bari, M. Plümacher, 2004

κ – efficiency factor; $\kappa \sim 10^{-1} - 10^{-3}$: $\epsilon \gtrsim 10^{-7}$.

ϵ : CP –, L – violating asymmetry generated in out of equilibrium N_{Rj} –decays in the early Universe,

$$\epsilon_1 = \frac{\Gamma(N_1 \rightarrow \Phi^- \ell^+) - \Gamma(N_1 \rightarrow \Phi^+ \ell^-)}{\Gamma(N_1 \rightarrow \Phi^- \ell^+) + \Gamma(N_1 \rightarrow \Phi^+ \ell^-)}$$

M.A. Luty, 1992;

L. Covi, E. Roulet and F. Vissani, 1996;

M. Flanz *et al.*, 1996;

M. Plümacher, 1997;

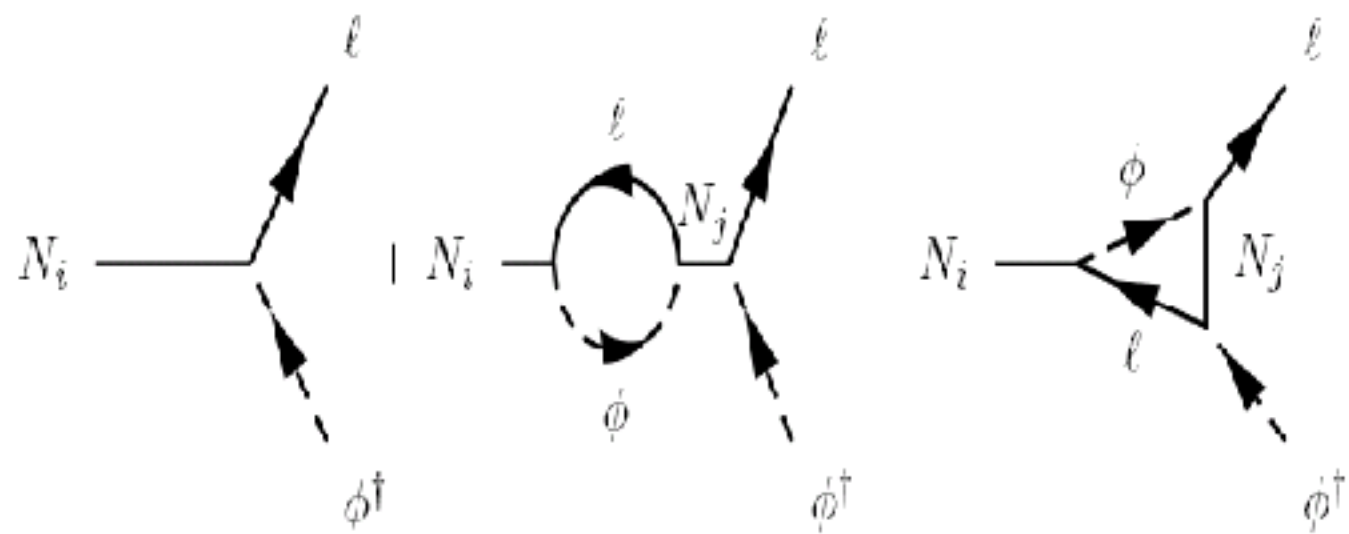
A. Pilaftsis, 1997.

$\kappa = \kappa(\tilde{m})$, $\tilde{m}$ - determines the rate of wash-out processes:

$\Phi^+ + \ell^- \rightarrow N_1$, $\ell^- + \Phi^+ \rightarrow \Phi^- + \ell^+$, etc.

W. Buchmüller, P. Di Bari and M. Plumacher, 2002;

G. F. Giudice *et al.*, 2004



Low Energy Leptonic CPV and Leptogenesis

Assume: $M_1 \ll M_2 \ll M_3$

Individual asymmetries:

$$\varepsilon_{1l} = -\frac{3M_1}{16\pi v^2} \frac{\text{Im} \left(\sum_{j,k} m_j^{1/2} m_k^{3/2} U_{lj}^* U_{lk} R_{1j} R_{1k} \right)}{\sum_j m_j |R_{1j}|^2}, \quad v = 174 \text{ GeV}$$

$$\widetilde{m}_l \equiv \frac{|\lambda_{1l}|^2 v^2}{M_1} = \left| \sum_k R_{1k} m_k^{1/2} U_{lk}^* \right|^2, \quad l = e, \mu, \tau.$$

The “one-flavor” approximation - $\mathbf{Y}_{e,\mu,\tau}$ - “small”:

Boltzmann eqn. for $n(N_1)$ and $\Delta L = \Delta(L_e + L_\mu + L_\tau)$.

$Y_l H^c(x) \bar{l}_R(x) \psi_{lL}$ - out of equilibrium at $T \sim M_1$.

One-flavor approximation: $M_1 \sim T > 10^{12} \text{ GeV}$

$$\varepsilon_1 = \sum_l \varepsilon_{1l} = -\frac{3M_1}{16\pi v^2} \frac{\text{Im} \left(\sum_{j,k} m_j^2 R_{1j}^2 \right)}{\sum_k m_k |R_{1k}|^2},$$

$$\widetilde{m}_1 = \sum_l \widetilde{m}_l = \sum_k m_k |R_{1k}|^2.$$

Two-Flavour Regime

At $M_1 \sim T \sim 10^{12}$ GeV: Y_τ - in equilibrium, $Y_{e,\mu}$ - not;

wash-out dynamics changes: τ_R^-, τ_L^+

$N_1 \rightarrow (\lambda_{1e} e_L^- + \lambda_{1\mu} \mu_L^- + \lambda_{1\tau} \tau_L^-) + \Phi^+$; $(\lambda_{1e} e_L^- + \lambda_{1\mu} \mu_L^- + \lambda_{1\tau} \tau_L^-) + \Phi^+ \rightarrow N_1$;

$\tau_L^- + \Phi^0 \rightarrow \tau_R^-$, $\tau_L^- + \tau_L^+ \rightarrow N_1 + \nu_L$, etc.

$\varepsilon_{1\tau}$ and $(\varepsilon_{1e} + \varepsilon_{1\mu}) \equiv \varepsilon_2$ evolve independently.

Three-Flavour Regime

At $M_1 \sim T \sim 10^9$ GeV: Y_τ, Y_μ - in equilibrium, Y_e - not.

$\varepsilon_{1\tau}, \varepsilon_{1e}$ and $\varepsilon_{1\mu}$ evolve independently.

Thus, at $M_1 \sim 10^9 - 10^{12}$ GeV: $L_\tau, \Delta L_\tau$ - distinguishable;

$L_e, L_\mu, \Delta L_e, \Delta L_\mu$ - individually not distinguishable;

$L_e + L_\mu, \Delta(L_e + L_\mu)$

A. Abada et al., 2006; E. Nardi et al., 2006

A. Abada et al., 2006

Individual asymmetries:

Assume: $M_1 \ll M_2 \ll M_3$, $10^9 \lesssim M_1 (\sim T) \lesssim 10^{12} \text{ GeV}$,

$$\varepsilon_{1l} = -\frac{3M_1}{16\pi v^2} \frac{\text{Im} \left(\sum_{j,k} m_j^{1/2} m_k^{3/2} U_{lj}^* U_{lk} R_{1j} R_{1k} \right)}{\sum_j m_j |R_{1j}|^2}$$

$$\widetilde{m}_l \equiv \frac{|\lambda_{1l}|^2 v^2}{M_1} = \left| \sum_k R_{1k} m_k^{1/2} U_{lk}^* \right|^2, \quad l = e, \mu, \tau.$$

The baryon asymmetry is

$$Y_B \simeq -\frac{12}{37g_*} \left(\epsilon_2 \eta \left(\frac{417}{589} \widetilde{m}_2 \right) + \epsilon_\tau \eta \left(\frac{390}{589} \widetilde{m}_\tau \right) \right),$$

$$\eta(\widetilde{m}_l) \simeq \left(\left(\frac{\widetilde{m}_l}{8.25 \times 10^{-3} \text{ eV}} \right)^{-1} + \left(\frac{0.2 \times 10^{-3} \text{ eV}}{\widetilde{m}_l} \right)^{-1.16} \right)^{-1}.$$

$$Y_B = -(12/37) (Y_2 + Y_\tau),$$

$$Y_2 = Y_{e+\mu}, \quad \epsilon_2 = \epsilon_{1e} + \epsilon_{1\mu}, \quad \widetilde{m}_2 = \widetilde{m}_{1e} + \widetilde{m}_{1\mu}$$

A. Abada et al., 2006; E. Nardi et al., 2006

A. Abada et al., 2006

Real (Purely Imaginary) R : $\varepsilon_{1l} \neq 0$, CPV from U

$$\varepsilon_{1e} + \varepsilon_{1\mu} + \varepsilon_{1\tau} = \varepsilon_2 + \varepsilon_{1\tau} = 0,$$

$$\begin{aligned}\varepsilon_{1\tau} &= -\frac{3M_1}{16\pi v^2} \frac{\text{Im} \left(\sum_{j,k} m_j^{1/2} m_k^{3/2} U_{\tau j}^* U_{\tau k} R_{1j} R_{1k} \right)}{\sum_j m_j |R_{1j}|^2} \\ &= -\frac{3M_1}{16\pi v^2} \frac{\sum_{j,k>j} m_j^{1/2} m_k^{1/2} (m_k - m_j) R_{1j} R_{1k} \text{Im} (U_{\tau j}^* U_{\tau k})}{\sum_j m_j |R_{1j}|^2}, R_{1j} R_{1k} = \pm |R_{1j} R_{1k}|, \\ &= \mp \frac{3M_1}{16\pi v^2} \frac{\sum_{j,k>j} m_j^{1/2} m_k^{1/2} (m_k + m_j) |R_{1j} R_{1k}| \text{Re} (U_{\tau j}^* U_{\tau k})}{\sum_j m_j |R_{1j}|^2}, R_{1j} R_{1k} = \pm i |R_{1j} R_{1k}|\end{aligned}$$

S. Pascoli, S.T.P., A. Riotto, 2006.

CP-Violation: $\text{Im} (U_{\tau j}^* U_{\tau k}) \neq 0$, $\text{Re} (U_{\tau j}^* U_{\tau k}) \neq 0$;

$$Y_B = -\frac{12}{37} \frac{\varepsilon_{1\tau}}{g_*} \left(\eta \left(\frac{390}{589} \widetilde{m}_\tau \right) - \eta \left(\frac{417}{589} \widetilde{m}_2 \right) \right)$$

$m_1 \ll m_2 \ll m_3$, $M_1 \ll M_{2,3}$; $R_{12}R_{13}$ – real; $m_1 \cong 0$, $R_{11} \cong 0$ (N_3 decoupling)

$$\varepsilon_{1\tau} = - \frac{3M_1 \sqrt{\Delta m_{31}^2}}{16\pi v^2} \left(\frac{\Delta m_{\odot}^2}{\Delta m_{31}^2} \right)^{\frac{1}{4}} \frac{|R_{12}R_{13}|}{\left(\frac{\Delta m_{\odot}^2}{\Delta m_{31}^2} \right)^{\frac{1}{2}} |R_{12}|^2 + |R_{13}|^2} \\ \times \left(1 - \frac{\sqrt{\Delta m_{\odot}^2}}{\sqrt{\Delta m_{31}^2}} \right) \text{Im}(U_{\tau 2}^* U_{\tau 3})$$

$$\text{Im}(U_{\tau 2}^* U_{\tau 3}) = -c_{13} \left[c_{23}s_{23}c_{12} \sin\left(\frac{\alpha_{32}}{2}\right) - c_{23}^2 s_{12}s_{13} \sin\left(\delta - \frac{\alpha_{32}}{2}\right) \right]$$

$\alpha_{32} = \pi$, $\delta = 0$: $\text{Re}(U_{\tau 2}^* U_{\tau 3}) = 0$, CPV due to R

S. Pascoli, S.T.P., A. Riotto, 2006.

$$M_1 \ll M_2 \ll M_3, \quad m_1 \ll m_2 \ll m_3 \text{ (NH)}$$

Dirac CP-violation

$$\alpha_{32} = 0 \text{ (} 2\pi \text{)}, \quad \beta_{23} = \pi \text{ (} 0 \text{)}; \quad \beta_{23} \equiv \beta_{12} + \beta_{13} \equiv \arg(R_{12}R_{13}).$$

$$|R_{12}|^2 \cong 0.85, \quad |R_{13}|^2 = 1 - |R_{12}|^2 \cong 0.15 - \text{maximise } |\epsilon_\tau| \text{ and } |Y_B|:$$

$$|Y_B| \cong 2.8 \times 10^{-13} |\sin \delta| \left(\frac{s_{13}}{0.2} \right) \left(\frac{M_1}{10^9 \text{ GeV}} \right).$$

$$|Y_B| \gtrsim 8 \times 10^{-11}, \quad M_1 \lesssim 5 \times 10^{11} \text{ GeV imply}$$

$$|\sin \theta_{13} \sin \delta| \gtrsim 0.11, \quad \sin \theta_{13} \gtrsim 0.11.$$

The lower limit corresponds to

$$|J_{\text{CP}}| \gtrsim 2.4 \times 10^{-2}$$

FOR $\alpha_{32} = 0 \text{ (} 2\pi \text{)}, \quad \beta_{23} = 0 \text{ (} \pi \text{)}:$

$$|\sin \theta_{13} \sin \delta| \gtrsim 0.09, \quad \sin \theta_{13} \gtrsim 0.09; \quad |J_{\text{CP}}| \gtrsim 2.0 \times 10^{-2}$$

The requirement $\sin \theta_{13} \gtrsim 0.09$ (0.11) - compatible with the Daya Bay result: $\sin \theta_{13} \cong 0.15$.

$|\sin \theta_{13} \sin \delta| \gtrsim 0.11$ implies $|\sin \delta| \gtrsim 0.7$ - compatible with $\delta \cong 3\pi/2$.

$\sin \theta_{13} \cong 0.15$ and $\delta \cong 3\pi/2$ imply relatively large (observable) CPV effects in neutrino oscillations: $J_{\text{CP}} \cong -3.5 \times 10^{-2}$.

$$M_1 \ll M_2 \ll M_3, \quad m_1 \ll m_2 \ll m_3 \text{ (NH)}$$

Majorana CP-violation

$$\delta = 0, \text{ real } R_{12}, R_{13} \text{ } (\beta_{23} = \pi \text{ (0)});$$

$$\alpha_{32} \cong \pi/2, \quad |R_{12}|^2 \cong 0.85, \quad |R_{13}|^2 = 1 - |R_{12}|^2 \cong 0.15 - \text{maximise } |\epsilon_\tau| \text{ and } |Y_B|:$$

$$|Y_B| \cong 2 \times 10^{-12} \left(\frac{\sqrt{\Delta m_{31}^2}}{0.05 \text{ eV}} \right) \left(\frac{M_1}{10^9 \text{ GeV}} \right).$$

We get $|Y_B| \gtrsim 8 \times 10^{-11}$, for $M_1 \gtrsim 3.6 \times 10^{10} \text{ GeV}$, or $|\sin \alpha_{32}/2| \gtrsim 0.15$

$$M_1 \ll M_2 \ll M_3, \quad m_3 \ll m_1 < m_2 \quad (\text{IH})$$

$m_3 \cong 0, R_{13} \cong 0$ (N_3 decoupling): impossible to reproduce Y_B^{obs} for real $R_{11}R_{12}$;
 $|Y_B|$ suppressed by the additional factor $\Delta m_{\odot}^2/|| \cong 0.03$.

Purely Imaginary $R_{11}R_{12}$: no (additional) suppression

Dirac CP-violation

$$\alpha_{21} = \pi; \quad R_{11}R_{12} = i\kappa|R_{11}R_{12}|, \quad \kappa = 1;$$

$$|R_{11}| \cong 1.07, \quad |R_{12}|^2 = |R_{11}|^2 - 1, \quad |R_{12}| \cong 0.38 - \text{maximise } |\epsilon_\tau| \text{ and } |Y_B|:$$

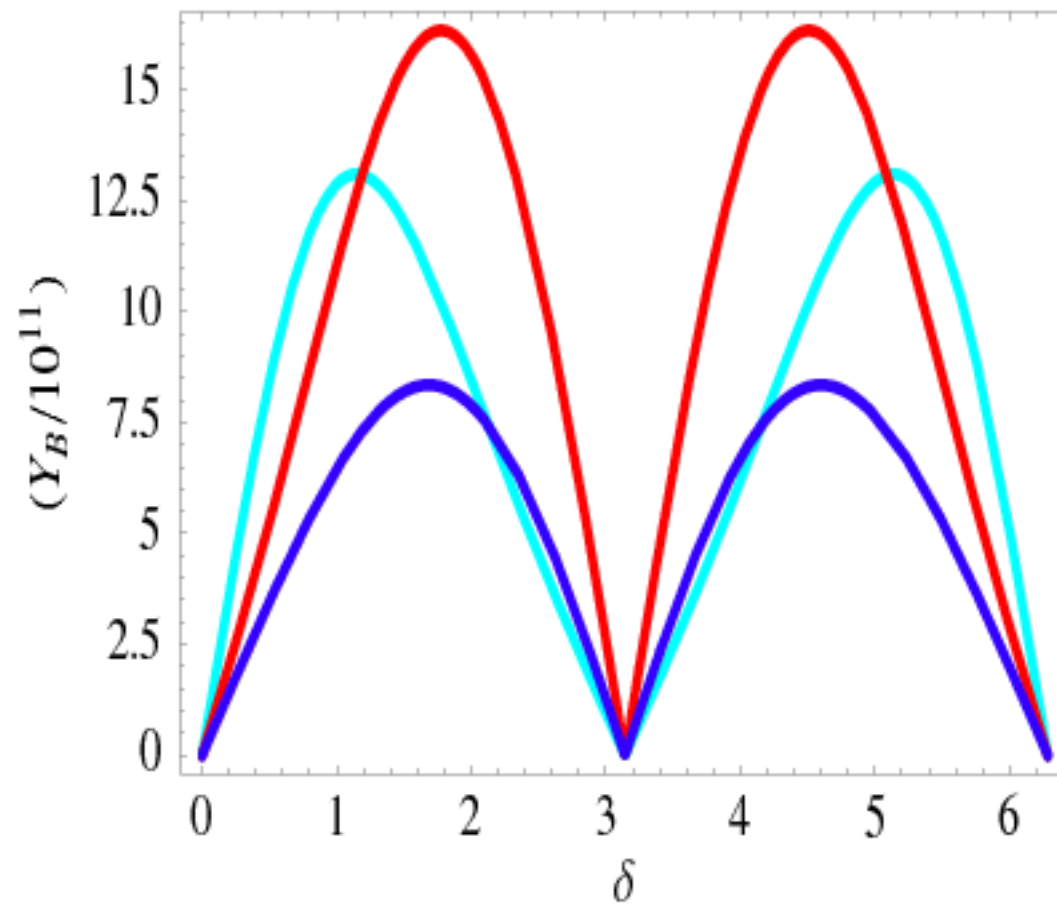
$$|Y_B| \cong 8.1 \times 10^{-12} |s_{13} \sin \delta| \left(\frac{M_1}{10^9 \text{ GeV}} \right).$$

$$|Y_B| \gtrsim 8 \times 10^{-11}, \quad M_1 \lesssim 5 \times 10^{11} \text{ GeV imply}$$

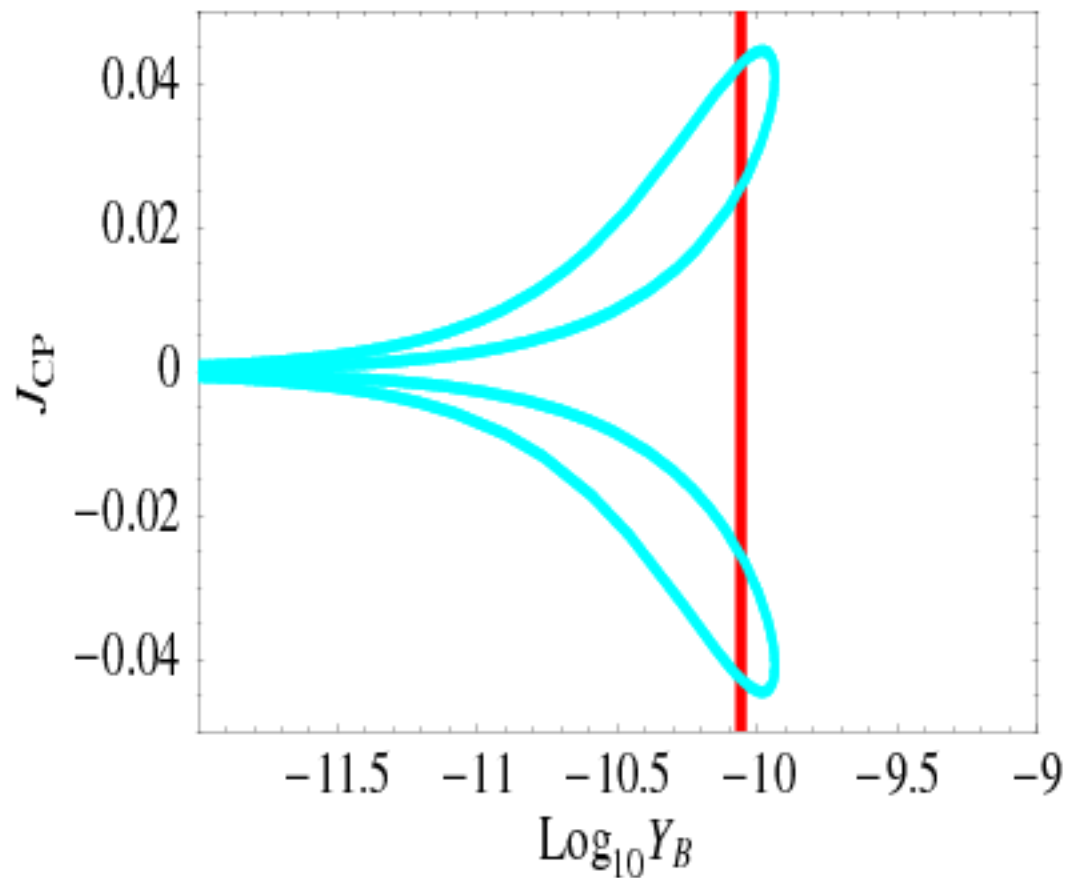
$$|\sin \theta_{13} \sin \delta| \gtrsim 0.02, \quad \sin \theta_{13} \gtrsim 0.02.$$

The lower limit corresponds to

$$|J_{CP}| \gtrsim 4.6 \times 10^{-3}$$

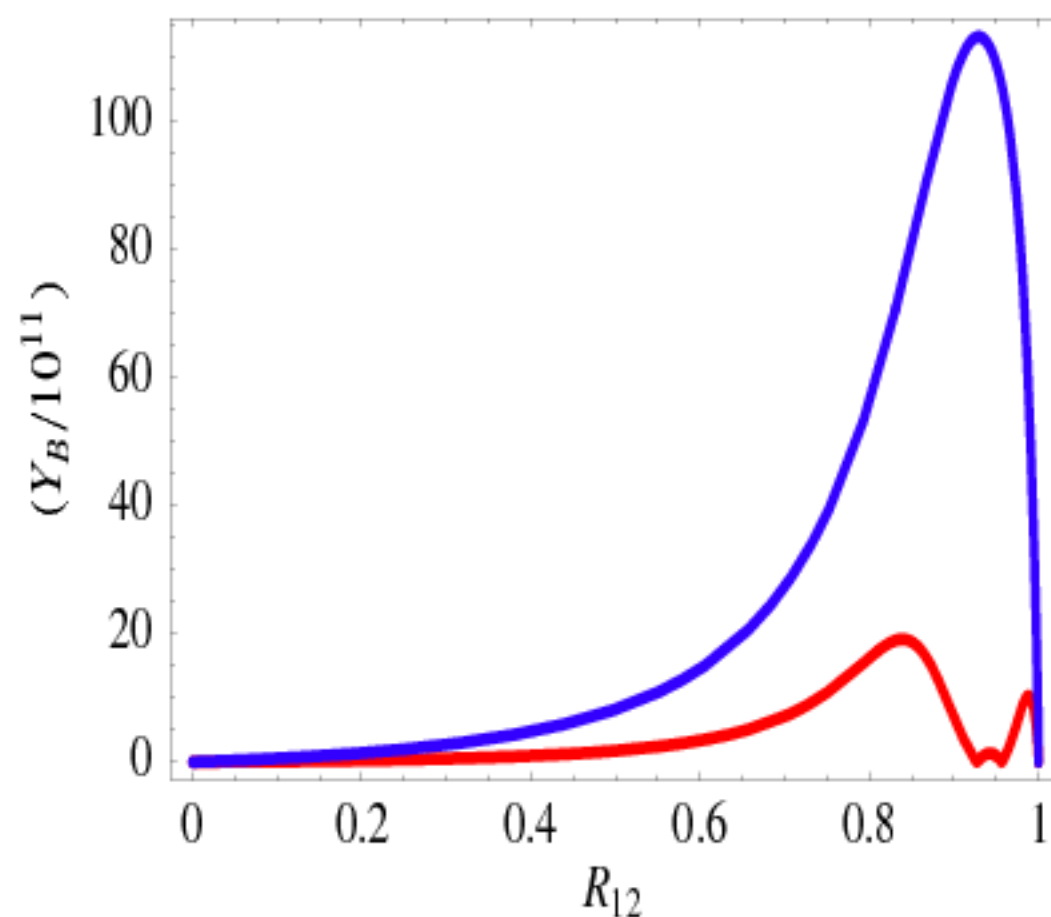


$M_1 \ll M_2 \ll M_3$, $m_1 \ll m_2 \ll m_3$; Dirac CP-violation, $\alpha_{32} = 0; 2\pi$;
 real R_{12}, R_{13} , $|R_{12}|^2 + |R_{13}|^2 = 1$, $|R_{12}| = 0.86$, $|R_{13}| = 0.51$, $\text{sign}(R_{12}R_{13}) = +1$;
 i) $\alpha_{32} = 0$ ($\kappa' = +1$), $s_{13} = 0.2$ (red line) and $s_{13} = 0.1$ (dark blue line);
 ii) $\alpha_{32} = 2\pi$ ($\kappa' = -1$), $s_{13} = 0.2$ (light blue line);
 $M_1 = 5 \times 10^{11}$ GeV.

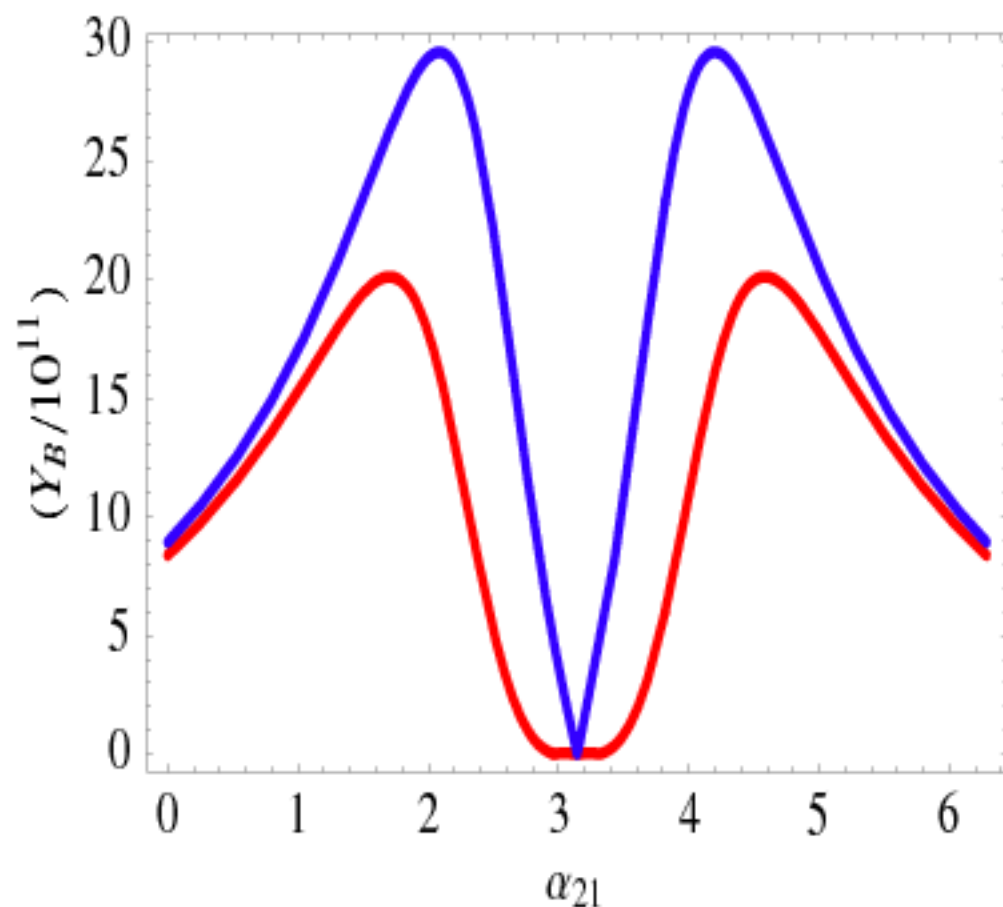


$M_1 \ll M_2 \ll M_3$, $m_1 \ll m_2 \ll m_3$; $M_1 = 5 \times 10^{11}$ GeV;
 Dirac CP-violation, $\alpha_{32} = 0$ (2π);
 $|R_{12}| = 0.86$, $|R_{13}| = 0.51$, $\text{sign}(R_{12}R_{13}) = +1$ (-1) ($\beta_{23} = 0$ (π), $\kappa' = +1$);
 The red region denotes the 2σ allowed range of Y_B .

S. Pascoli, S.T.P., A. Riotto, 2006.

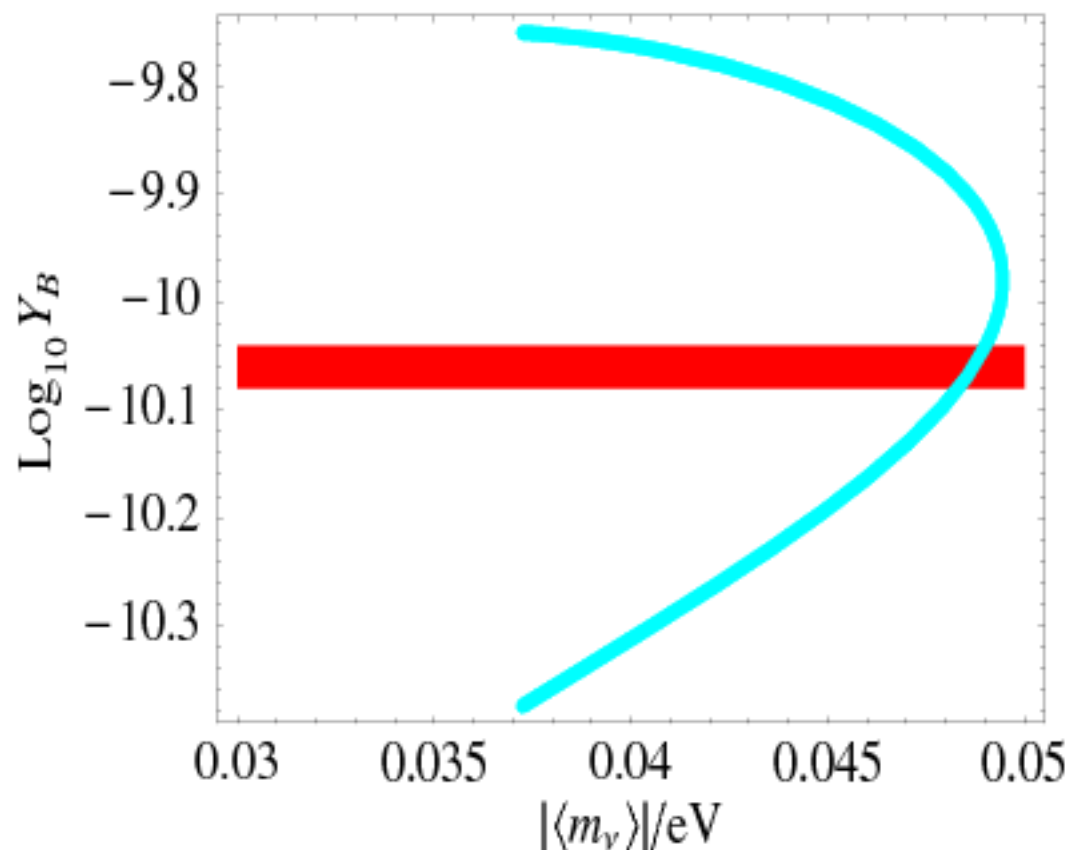


$M_1 \ll M_2 \ll M_3$, $m_1 \ll m_2 \ll m_3$; $M_1 = 5 \times 10^{11}$ GeV;
 real R_{12} , R_{13} , $\text{sign}(R_{12}R_{13}) = +1$, $R_{12}^2 + R_{13}^2 = 1$, $s_{13} = 0.20$;
 a) Majorana CP-violation (blue line), $\delta = 0$ and $\alpha_{32} = \pi/2$ ($\kappa = +1$);
 b) Dirac CP-violation (red line), $\delta = \pi/2$ and $\alpha_{32} = 0$ ($\kappa' = +1$);
 $\Delta m_{\odot}^2$, $\sin^2 \theta_{12}$, Δm_{31}^2 , $\sin^2 2\theta_{23}$ - fixed at their best fit values.



$M_1 \ll M_2 \ll M_3$, $m_3 \ll m_1 < m_2$; $M_1 = 2 \times 10^{11}$ GeV;
 Majorana CP-violation, $\delta = 0$;
 purely imaginary $R_{11}R_{12} = i\kappa|R_{11}R_{12}|$, $\kappa = -1$, $|R_{11}|^2 - |R_{12}|^2 = 1$, $|R_{11}| = 1.2$;
 $s_{13} = 0$ (blue line) and 0.2 (red line).

S. Pascoli, S.T.P., A. Riotto, 2006.



$M_1 \ll M_2 \ll M_3$, $m_3 \ll m_1 < m_2$; $M_1 = 2 \times 10^{11}$ GeV;
 Majorana CP-violation, $\delta = 0$, $s_{13} = 0$;
 purely imaginary $R_{11}R_{12} = i\kappa|R_{11}R_{12}|$, $\kappa = +1$ $|R_{11}|^2 - |R_{12}|^2 = 1$, $|R_{11}| = 1.05$.
 The Majorana phase α_{21} is varied in the interval $[-\pi/2, \pi/2]$.

S. Pascoli, S.T.P., A. Riotto, 2006.

$$M_1 \ll M_2 \ll M_3, \quad m_3 \ll m_1 < m_2 \quad (\text{IH})$$

Majorana or Dirac CP-violation

$m_3 \neq 0, R_{13} \neq 0, R_{11}(R_{12}) = 0$: possible to reproduce Y_B^{obs} for real $R_{12(11)}R_{13} \neq 0$

Requires $m_3 \cong (10^{-5} - 10^{-2})$ eV; non-trivial dependence of $|Y_B|$ on m_3

Majorana CPV, $\delta = 0$ (π): requires $M_1 \gtrsim 3.5 \times 10^{10}$ GeV

Dirac CPV, $\alpha_{32(31)} = 0$: typically requires $M_1 \gtrsim 10^{11}$ GeV

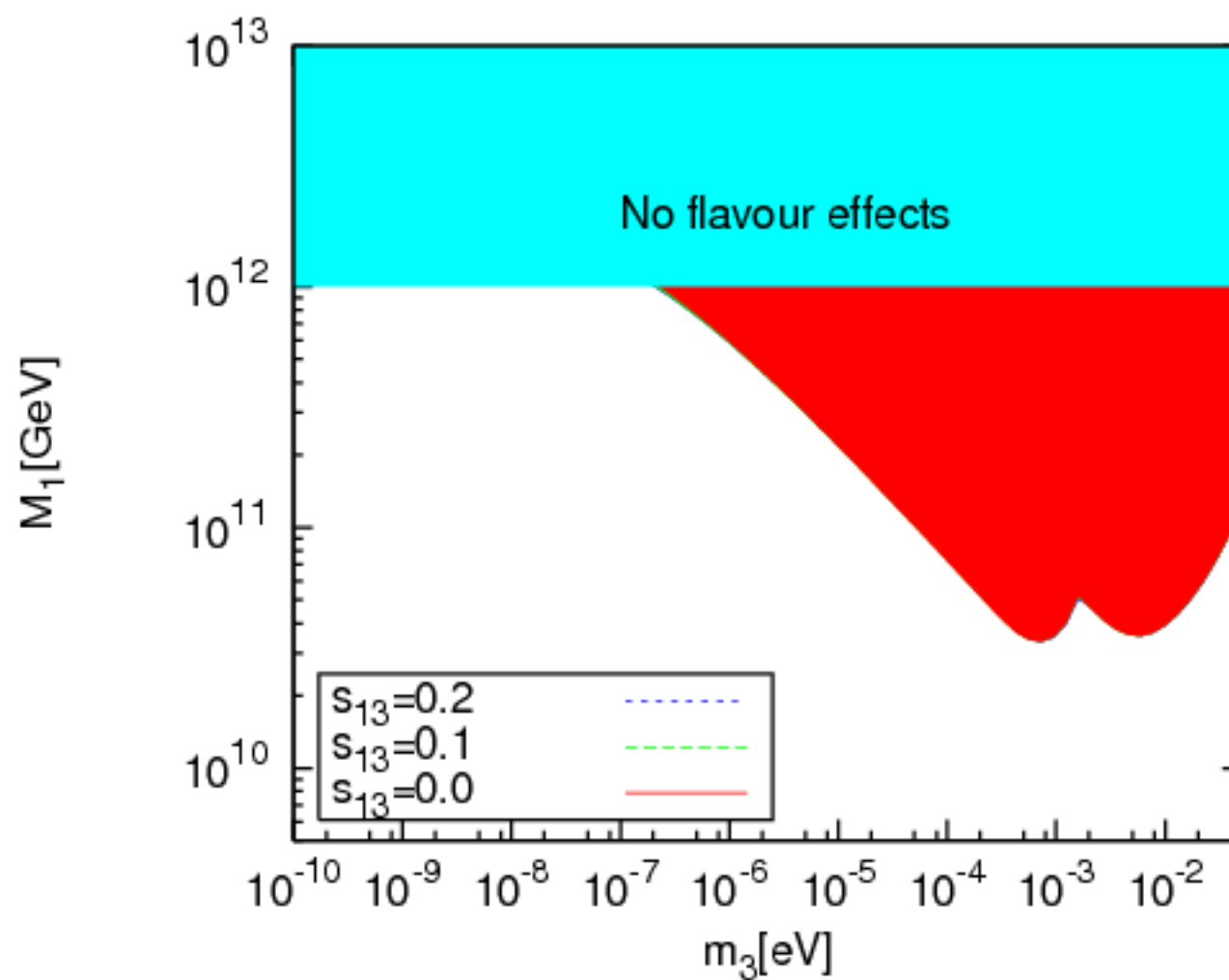
$|Y_B| \gtrsim 8 \times 10^{-11}, \quad M_1 \lesssim 5 \times 10^{11}$ GeV imply

$$|\sin \theta_{13} \sin \delta|, \quad \sin \theta_{13} \gtrsim (0.04 - 0.09).$$

The lower limit corresponds to

$$|J_{CP}| \gtrsim (0.009 - 0.02)$$

NO (NH) spectrum, $m_1 < (\ll) m_2 < m_3$: similar dependence of $|Y_B|$ on m_1 if $R_{12} = 0, R_{11}R_{13} \neq 0$; non-trivial effects for $m_1 \cong (10^{-4} - 5 \times 10^{-2})$ eV.



$m_3 < m_1 < m_2$, $M_1 \ll M_2 \ll M_3$, real R_{1j} ; $M_1 = (10^9 - 10^{12})$ GeV, $s_{13} = 0.2; 0.1; 0$;

E. Molinaro, S.T.P., T. Shindou, Y. Takanishi, 2007

Low Energy Leptonic CPV and Leptogenesis: Summary

Leptogenesis: see-saw mechanism; N_j - heavy RH ν 's;

N_j, ν_k - Majorana particles

N_j : $M_1 \ll M_2 \ll M_3$

The observed value of the baryon asymmetry of the Universe can be generated

A. CP-violation due to the Dirac phase δ in U_{PMNS} , no other sources of CPV (Majorana phases in U_{PMNS} equal to 0, etc.); requires $M_1 \gtrsim 10^{11}$ GeV.

$m_1 \ll m_2 \ll m_3$ (NH):

$$|\sin \theta_{13} \sin \delta| \gtrsim 0.09, \quad \sin \theta_{13} \gtrsim 0.09; \quad |J_{\text{CP}}| \gtrsim 2.0 \times 10^{-2}$$

$m_3 \ll m_1 < m_2$ (IH):

$$|\sin \theta_{13} \sin \delta| \gtrsim 0.02, \quad \sin \theta_{13} \gtrsim 0.02; \quad |J_{\text{CP}}| \gtrsim 4.6 \times 10^{-3}$$

B. CP-violation due to the Majorana phases in U_{PMNS} , no other sources of CPV (Dirac phase in U_{PMNS} equal to 0, etc.); requires $M_1 \gtrsim 3.5 \times 10^{10}$ GeV.

C. CP-violation due to both Dirac and Majorana phases in U_{PMNS} .

D. Y_B can depend non-trivially on $\min(m_j) \sim (10^{-5} - 10^{-2})$ eV.

S. Pascoli, S.T.P., A. Riotto, 2006 (A-C);
E. Molinaro, S.T.P., T. Shindou, Y. Takahashi, 2007 (D).

Complex R : $\varepsilon_{1l} \neq 0$, CPV from U and R

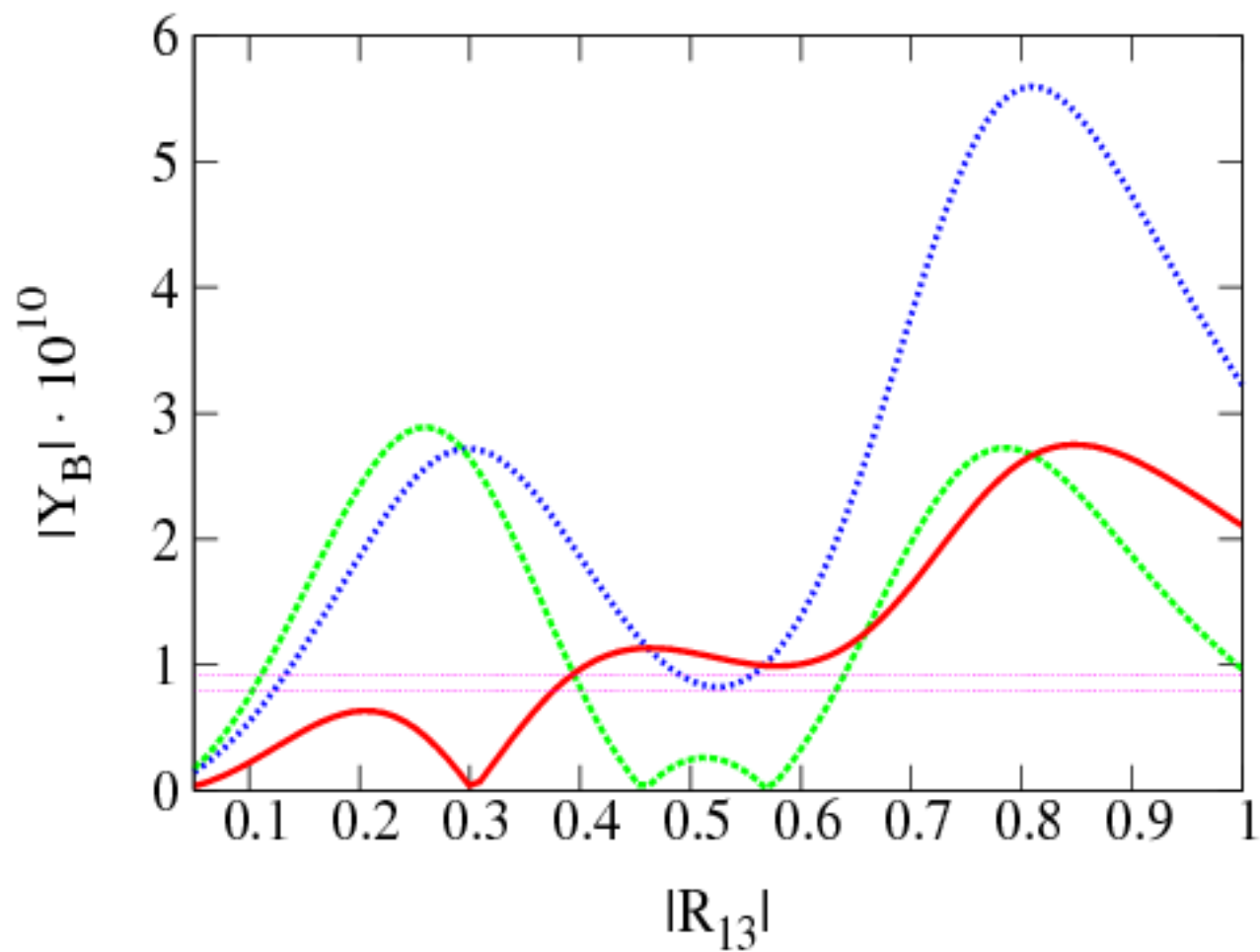
$m_1 \ll m_2 < m_3$ (NH), $M_1 \ll M_{2,3}$; $m_1 \cong 0$, $R_{11} \cong 0$ (N_3 decoupling)

$$R_{12}^2 + R_{13}^2 = |R_{12}|^2 e^{i2\varphi_{12}} + |R_{13}|^2 e^{i2\varphi_{13}} = 1,$$

$$|R_{12}|^2 \sin 2\varphi_{12} + |R_{13}|^2 \sin 2\varphi_{13} = 0: \operatorname{sgn}(\sin 2\varphi_{12}) = -\operatorname{sgn}(\sin 2\varphi_{13}).$$

$$\cos 2\varphi_{12} = \frac{1+|R_{12}|^4-|R_{13}|^4}{2|R_{12}|^2}, \quad \sin 2\varphi_{12} = \pm \sqrt{1 - \cos^2 2\varphi_{12}},$$

$$\cos 2\varphi_{13} = \frac{1-|R_{12}|^4+|R_{13}|^4}{2|R_{13}|^2}, \quad \sin 2\varphi_{13} = \mp \sqrt{1 - \cos^2 2\varphi_{13}}.$$



$m_1 < m_2 < m_3$ (NO(NH)), $R_{11} = 0$, CPV due to R and U ,
 $\alpha_{32} = \pi/2$, $s_{13} = 0.2$, $\delta = 0$, $\sin^2 \theta_{23} = 0.64$, $|R_{12}| \cong 1$, $M_1 = 10^{11}$ GeV;
 $|Y_B^0 A_{HE}|$ (R CPV, blue), $|Y_B^0 A_{MIX}|$ (U CPV, green), total $|Y_B|$ (red line)

Low Energy Leptonic CPV and Leptogenesis (contd.)

E. Interesting case: CPV due to the Majorana phases in U_{PMNS} and the R -phases

$$m_3 \ll m_1 < m_2 \text{ (IH)}, \quad M_1 \ll M_{2,3}; \quad m_3 \cong 0, \quad \text{Im}(R_{13}^2) = 0.$$

$$R_{11}^2 + R_{12}^2 + R_{13}^2 = 1:$$

$$|R_{11}|^2 e^{i2\varphi_{11}} + R_{12}^2 e^{i2\varphi_{12}} + R_{13}^2 = 1,$$

$$|R_{11}|^2 \sin 2\varphi_{11} + |R_{12}|^2 \sin 2\varphi_{12} = 0.$$

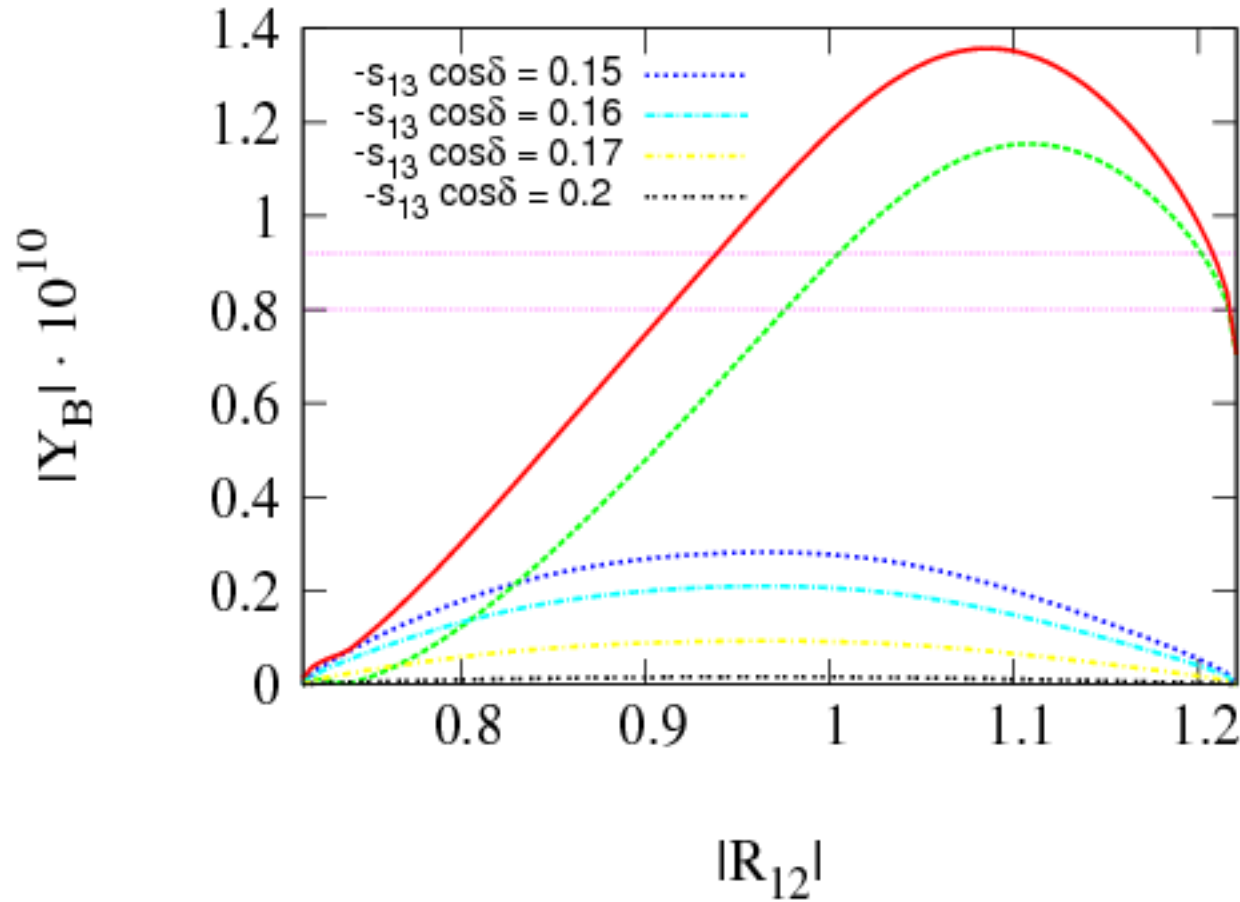
$$|Y_B^0 A_{\text{HE}}| \propto |R_{11}|^2 \sin(2\varphi_{11}) (|U_{\tau 1}|^2 - |U_{\tau 2}|^2) - \text{can be suppressed:}$$

$$|U_{\tau 1}|^2 - |U_{\tau 2}|^2 \cong (s_{12}^2 - c_{12}^2)s_{23}^2 - 4s_{12}c_{12}s_{23}c_{23}s_{13} \cos \delta \cong -0.20 - 0.92 s_{13} \cos \delta.$$

$$\sin^2 \theta_{12} = 0.3, \quad \sin^2 \theta_{23} = 0.5: \quad (-\sin \theta_{13} \cos \delta) \gtrsim 0.15$$

$$(\sin^2 \theta_{12} = 0.38, \quad \sin^2 \theta_{23} = 0.36: \quad 0.06 \lesssim (-\sin \theta_{13} \cos \delta) \lesssim 0.12)$$

E. Molinaro, S.T.P., 2008, 2010.



$m_3 \ll m_1 < m_2$ (IH), $R_{13} = 0$, Majorana and R -matrix CPV,
 $\alpha_{21} = \pi/2$, $(-s_{13} \cos \delta) = 0.15$, $|R_{11}| = 1.2$, $M_1 = 10^{11}$ GeV;
 $|Y_B^0 A_{HE}|$ (R CPV, blue), $|Y_B^0 A_{MX}|$ (U CPV, green), total $|Y_B|$ (red line).

The preceding results: for

$$|R_{13}|^2 |\sin(2\tilde{\varphi}_{13})| \ll \min(|R_{11,12}|^2 |\sin(2\tilde{\varphi}_{11,12})|).$$

Results for arbitrary complex R_{13} :

the “high energy” contribution to the BAU is subdominant (or strongly suppressed) for, e.g., $M_1 = 10^{11}$ GeV and arbitrary $\arg(R_{13}) \equiv \tilde{\varphi}_{13}$ if

- for $|R_{11}| < 0.5$, $|R_{13}|$ satisfies $|R_{13}| \lesssim |R_{11}|$;
- for $0.5 \lesssim |R_{11}| < 1$ we have $|R_{13}| < 0.5$;
- and if for $|R_{11}| > 1$ we have $|R_{13}| < |R_{11}|/2$.

In each of these cases we can have successful leptogenesis due to the contribution to the baryon asymmetry associated with the Majorana CP violating phase(s) in the neutrino mixing matrix.

Conclusions

Understanding the status of the CP-symmetry in the lepton sector is of fundamental importance.

Dirac and Majorana CPV may have the same source.

Obtaining information on Dirac and Majorana CPV is a remarkably challenging problem.

The see-saw mechanism provides a link between the ν -mass generation and the baryon asymmetry of the Universe (BAU).

Any of the CPV phases in U_{PMNS} can be the leptogenesis CPV parameters.

Low energy leptonic CPV can be directly related to the existence of BAU.

These results underline further the importance of the experimental searches for Dirac and/or Majorana leptonic CP-violation at low energies.

Conclusions (Contd.)

We are at the beginning of the Road...

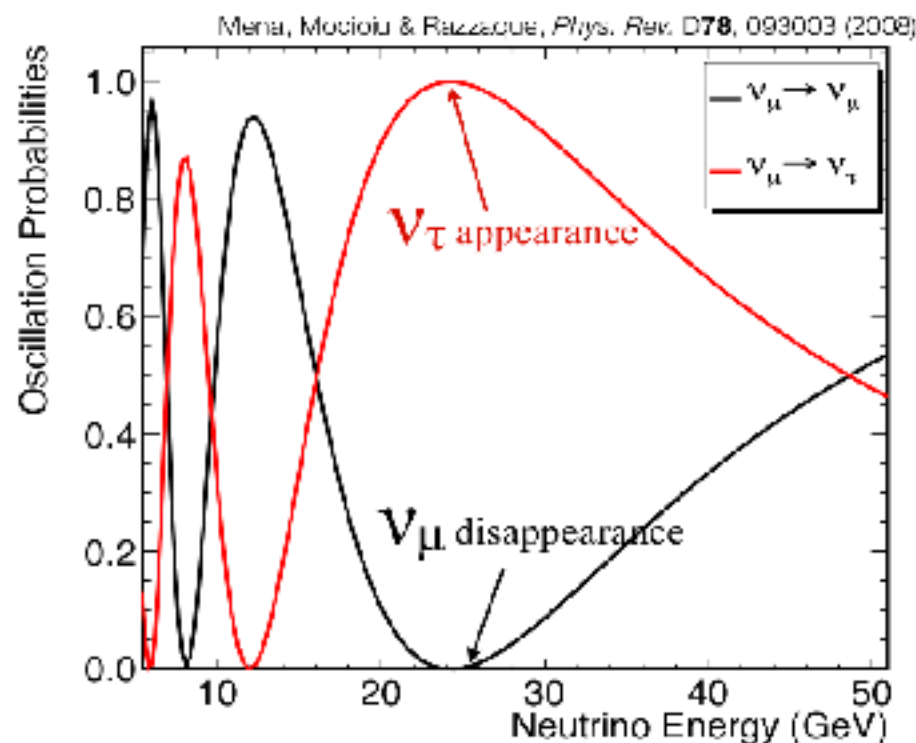
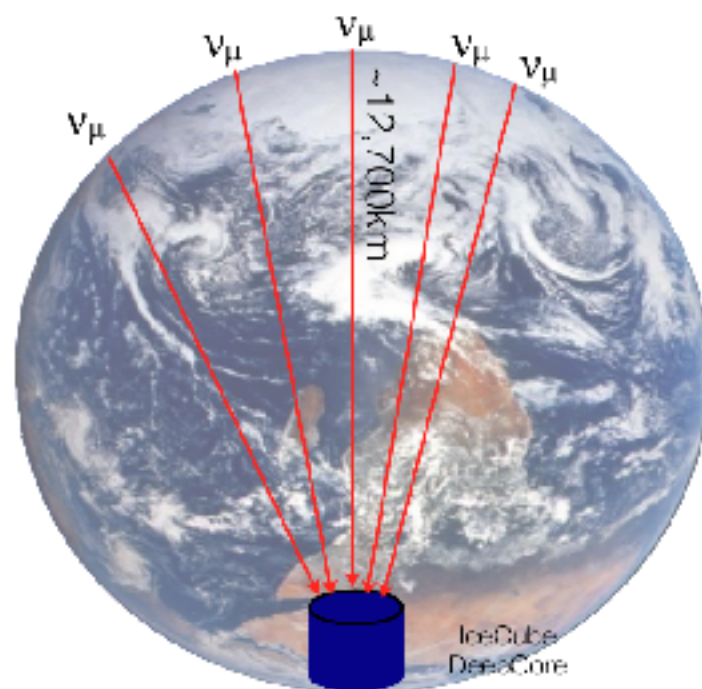
The future of neutrino physics is bright.

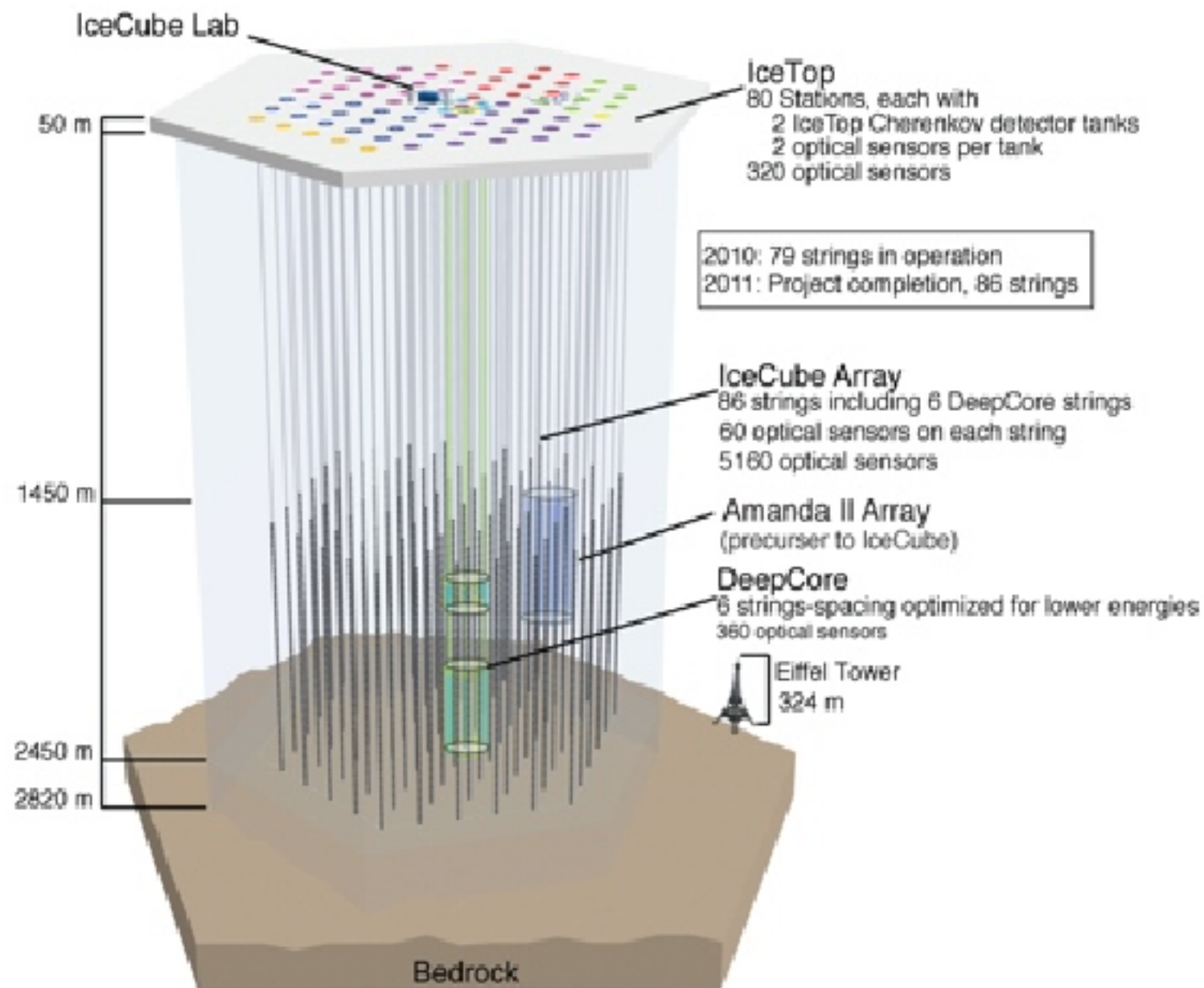
Supporting Slides

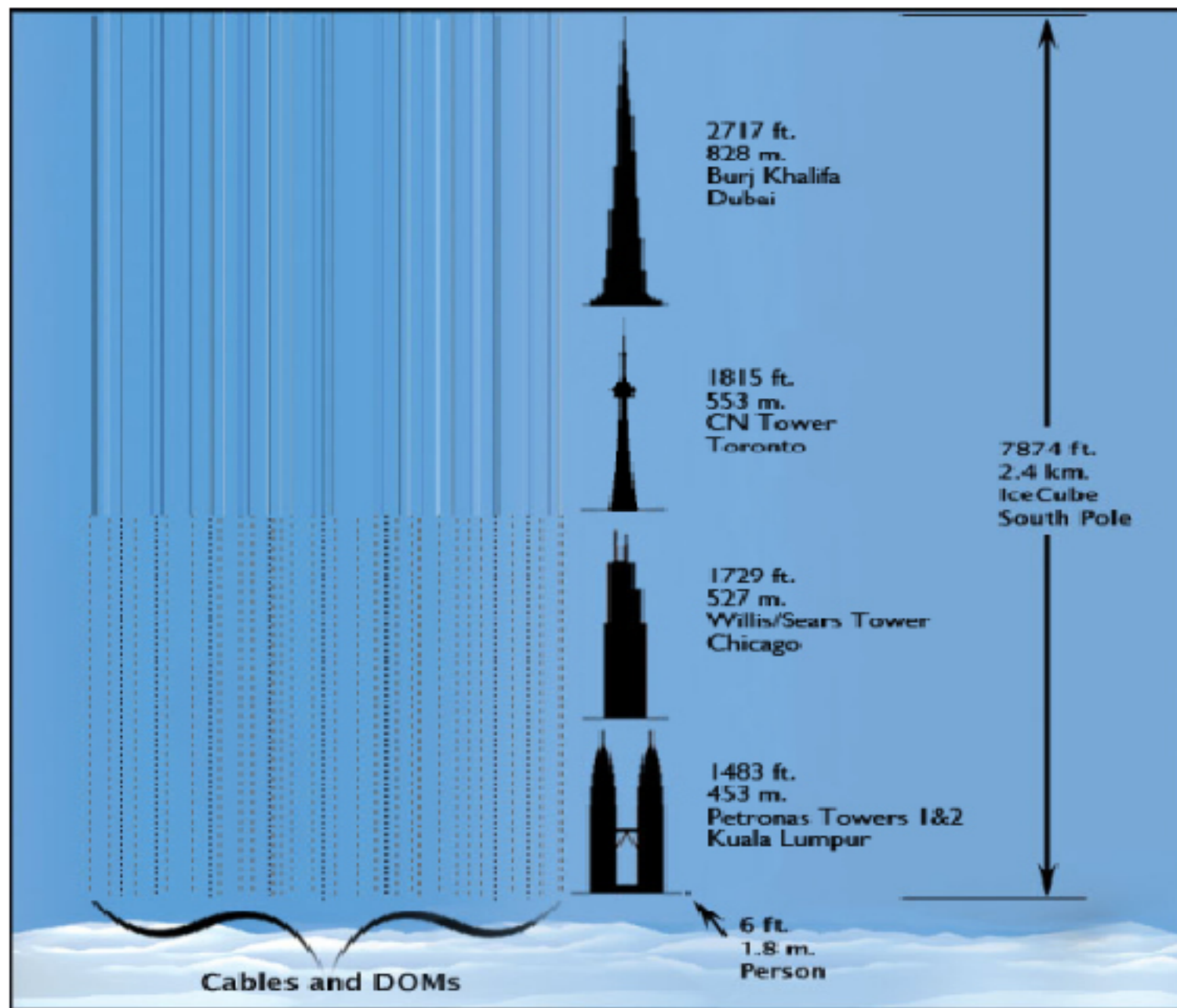
Neutrino Oscillation Source

- Oscillation
- IceCube-DeepCore Physics
- PINGU
- Beyond

- Northern Hemisphere ν_μ oscillating over one earth radii produces ν_μ (ν_τ) oscillation minimum(maximum) at ~ 25 GeV
 - Covers all possible terrestrial baselines
 - “Beam” is free and never turns off



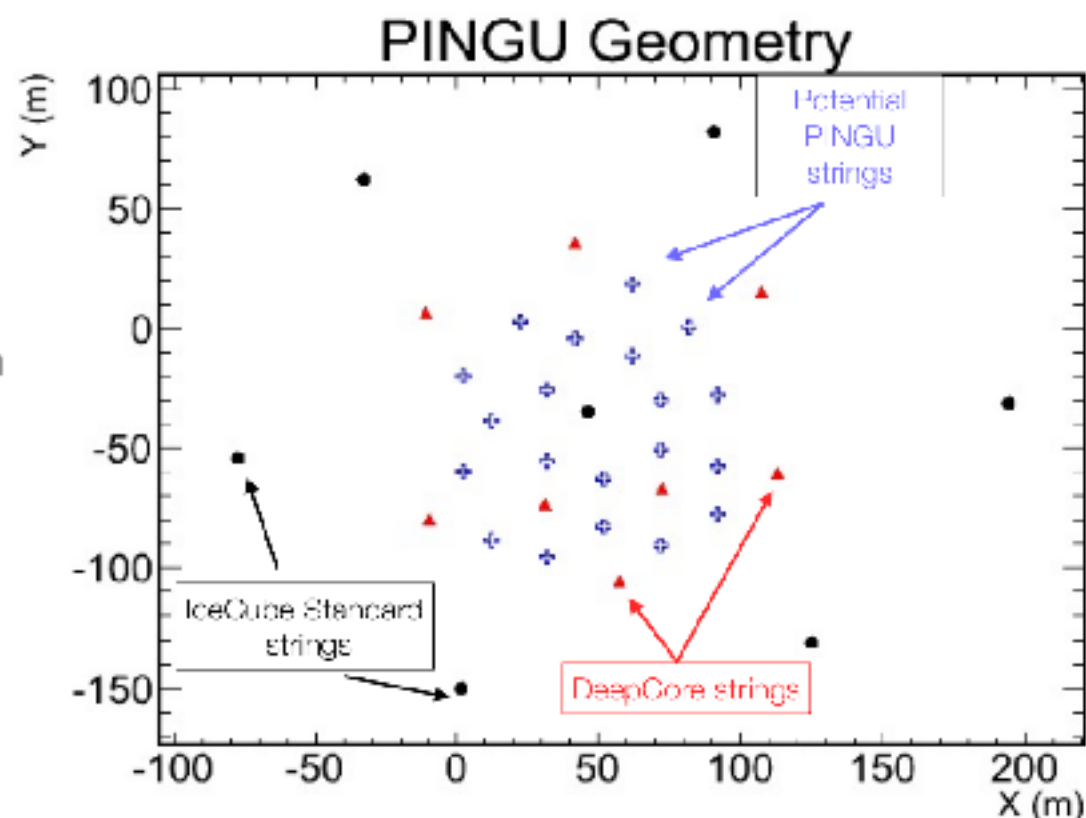




PINGU: Possible Geometry

- Oscillation
- IceCube-DeepCore Physics
- PINGU
- Beyond

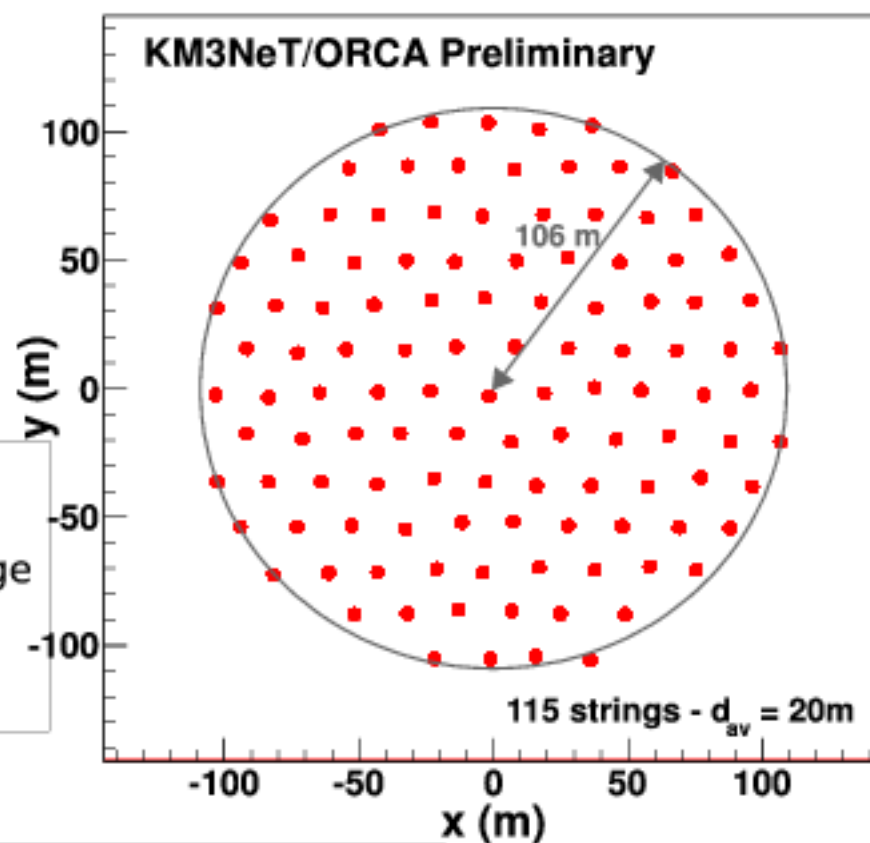
- ~20 strings within DeepCore volume w/ short string-string spacing
 - IC-IC: 125m
 - DC-DC: ~80m
 - PINGU-PINGU: ≤ 26 m
- Shorter DOM-DOM spacing
 - IC-IC: 17m
 - DC-DC: 7m
 - PINGU-PINGU: ≤ 5 m
- R & D for future water/ice cerenkov



he ORCA proposed detector

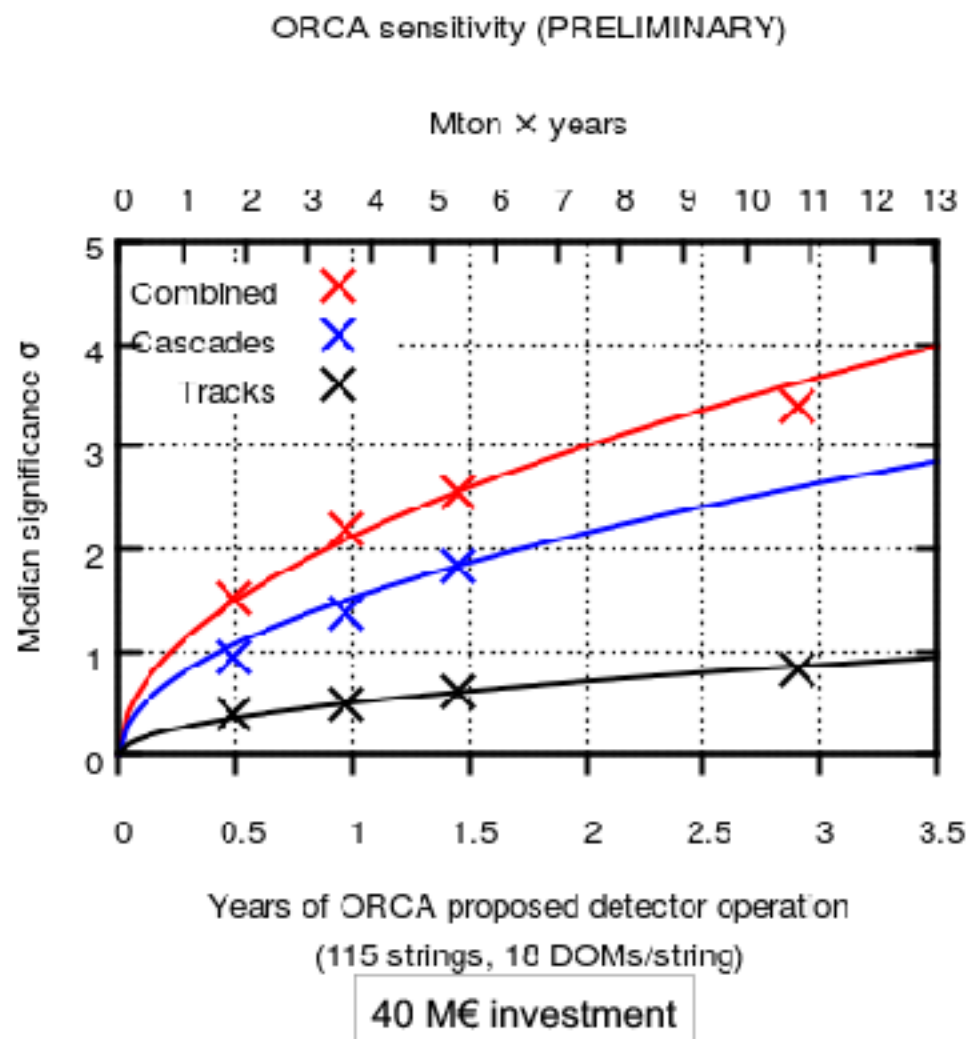


Multi-PMT DOM
31 small PMTs
Almost uniform coverage
Photon counting
Direction of photon
All electronics inside



115 strings (building block) of 18 DOMs each
Estimated cost 40M€

Sensitivity to the NMH



The Nature of Massive Neutrinos III:

The Seesaw Mechanisms of Neutrino Mass Generation M_ν from the See-Saw Mechanism

P. Minkowski, 1977.

M. Gell-Mann, P. Ramond, R. Slansky, 1979;

T. Yanagida, 1979;

R. Mohapatra, G. Senjanovic, 1980.

- Explain the smallness of ν –masses.
- Through leptogenesis theory link the ν –mass generation to the generation of baryon asymmetry of the Universe.

S. Fukugita, T. Yanagida, 1986.

Three Types of Seesaw Mechanisms

Require the existence of new degrees of freedom (particles) beyond those present in the SM

Type I seesaw mechanism: ν_{lR} - RH ν s' (heavy).

Type II seesaw mechanism: $\mathbf{H}(x)$ - a triplet of H^0, H^-, H^{--} Higgs fields (HTM).

Type III seesaw mechanism: $\mathbf{T}(x)$ - a triplet of fermion fields.

The scale of New Physics determined by the masses of the New Particles.

Massive neutrinos ν_j - Majorana particles.

All three types of seesaw mechanisms have TeV scale versions, predicting rich low-energy phenomenology ($(\beta\beta)_{0\nu}$ -decay, LFV processes, etc.) and New Physics at LHC.

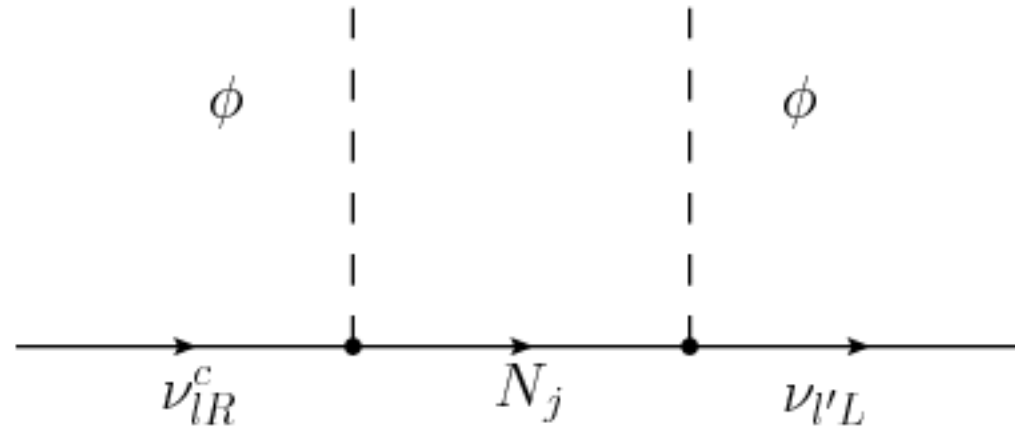
Type I Seesaw Mechanism

- Requires both $\nu_{lL}(x)$ and $\nu_{l'R}(x)$.
- Dirac+Majorana Mass Term: $M^{LL} = 0$, $|M_D = vY^\nu/\sqrt{2}| \ll |M^{RR}|$.
- Diagonalising M^{RR} : N_j - heavy Majorana neutrinos, $M_j \sim \text{TeV}$; or $(10^9 - 10^{13})$ GeV in GUTs.

For sufficiently large M_j , Majorana mass term for $\nu_{lL}(x)$:

$$M_\nu \cong v_u^2 (Y^\nu)^T M_j^{-1} Y^\nu = U_{\text{PMNS}}^* m_\nu^{\text{diag}} U_{\text{PMNS}}^\dagger .$$

$v_u Y^\nu = M_D$, $M_D \sim 1$ GeV, $M_j = 10^{10}$ GeV: $M_\nu \sim 0.1$ eV.



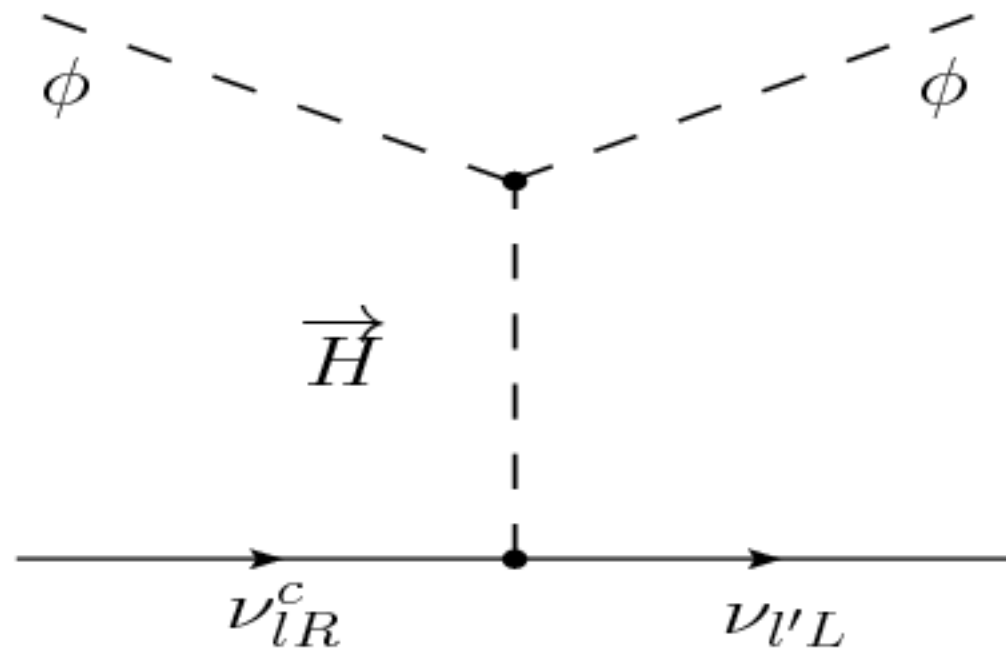
- $\nu_{lR}(x)$: Majorana mass term at "high scale" ($\sim \text{TeV}$; or $(10^9 - 10^{13})$ GeV in $SO(10)$ GUT)

$$\mathcal{L}_M^\nu(x) = + \frac{1}{2} \nu_{lR}^\top(x) C^{-1} (M^{RR})_{ll}^\dagger \nu_{lR}(x) + h.c. = - \frac{1}{2} \sum_j \bar{N}_j M_j N_j ,$$

- Yukawa type coupling of $\nu_{lL}(x)$ and $\nu_{lR}(x)$ involving $\Phi(x)$:

$$\begin{aligned} \mathcal{L}_Y(x) &= \bar{Y}_{ll}^\nu \overline{\nu_{lR}}(x) \Phi^T(x) (i\tau_2) \psi_{lL}(x) + h.c. , \\ &= Y_{jl}^\nu \overline{N_{jR}}(x) \Phi^T(x) (i\tau_2) \psi_{lL}(x) + h.c. , \\ M_D &= \frac{v}{\sqrt{2}} Y^\nu , \quad v = 246 \text{ GeV} . \end{aligned}$$

Type II Seesaw Mechanism

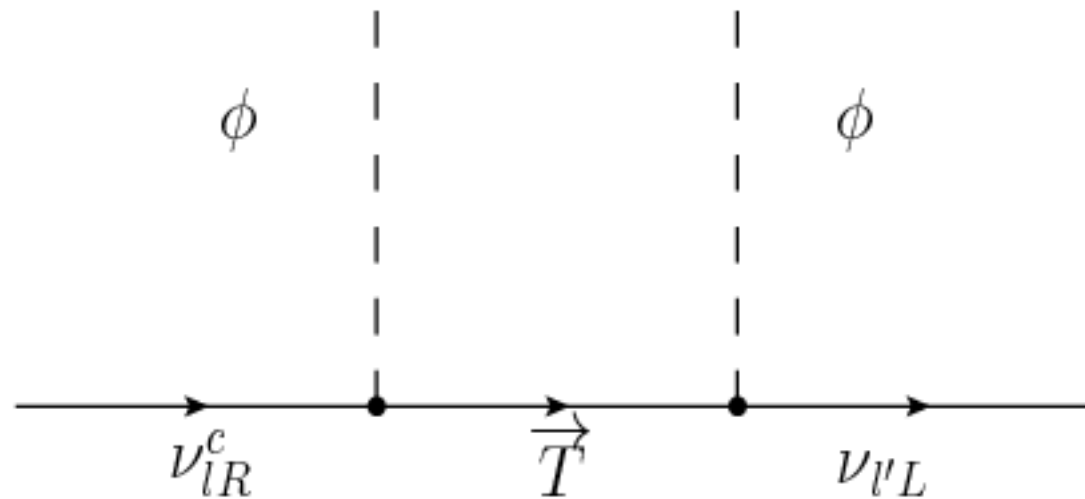


Due to I. Girardi

$$M_\nu \cong h v^2 M_H^{-1} = U_{\text{PMNS}}^* m_\nu^{\text{diag}} U_{\text{PMNS}}^\dagger .$$

$$h \sim 10^{-2}, v = 246 \text{ GeV}, M_H \sim 10^{12} \text{ GeV}: M_\nu \sim 0.6 \text{ eV}.$$

Type III Seesaw Mechanism



$$M_\nu \cong v^2 (Y_T)^T M_T^{-1} Y_T = U_{\text{PMNS}}^* m_\nu^{\text{diag}} U_{\text{PMNS}}^\dagger .$$

$$v Y_T \sim 1 \text{ GeV}, \quad M_T \sim 10^{10} \text{ GeV}: \quad M_\nu \sim 0.1 \text{ eV}.$$

TeV Scale Type I See-Saw Mechanism

Type I see-saw mechanism, heavy Majorana neutrinos N_j at the TeV scale:

$m_\nu \simeq -M_D \hat{M}_N^{-1} M_D^T$, $\hat{M} = \text{diag}(M_1, M_2, M_3)$, $M_j \sim (100 - 1000) \text{ GeV}$.

$$\mathcal{L}_{CC}^N = -\frac{g}{2\sqrt{2}} \bar{\ell} \gamma_\alpha (RV)_{\ell k} (1 - \gamma_5) N_k W^\alpha + \text{h.c.}, \quad (RV)_{\ell k} \equiv U_{\ell 3+k},$$

$$\mathcal{L}_{NC}^N = -\frac{g}{2c_w} \bar{\nu}_{\ell L} \gamma_\alpha (RV)_{\ell k} N_{kL} Z^\alpha + \text{h.c.}$$

- **All low-energy constraints can be satisfied in a scheme with two heavy Majorana neutrinos $N_{1,2}$, which form a pseudo-Dirac pair:**

$$M_2 = M_1(1 + z), \quad 0 < z \ll 1.$$

- **Only NH and IH ν mass spectra possible: $\min(m_j) = 0$.**

- **Requirements:** $|(RV)_{\ell k}|$ “sizable”

+ reproducing correctly the neutrino oscillation data:

$$|(RV)_{\ell 1}|^2 = \frac{1}{2} \frac{y^2 v^2}{M_1^2} \frac{m_3}{m_2 + m_3} \left| U_{\ell 3} + i \sqrt{m_2/m_3} U_{\ell 2} \right|^2, \quad \text{NH},$$

$$|(RV)_{\ell 1}|^2 = \frac{1}{2} \frac{y^2 v^2}{M_1^2} \frac{m_2}{m_1 + m_2} \left| U_{\ell 2} + i \sqrt{m_1/m_2} U_{\ell 1} \right|^2 \cong \frac{1}{4} \frac{y^2 v^2}{M_1^2} |U_{\ell 2} + i U_{\ell 1}|^2, \quad \text{IH},$$

$$(RV)_{\ell 2} = \pm i (RV)_{\ell 1} \sqrt{\frac{M_1}{M_2}}, \quad \ell = e, \mu, \tau,$$

y - the maximum eigenvalue of Y^ν , $v_u \simeq 174$ GeV.

4 parameters: M , z , y and a phase ω . A. Ibarra, E. Molinaro, S.T.P., 2010 and 2011

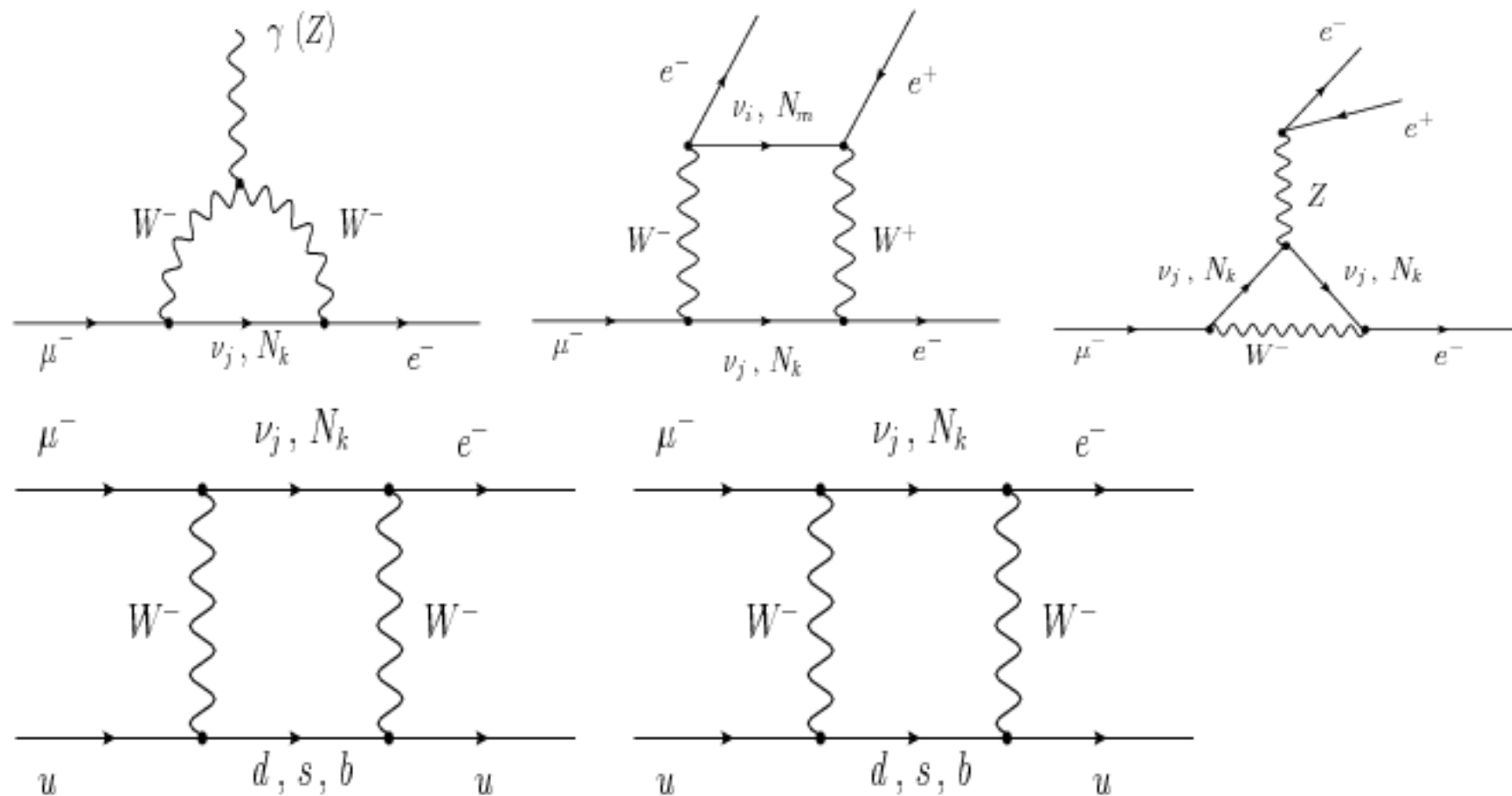
Low energy data:

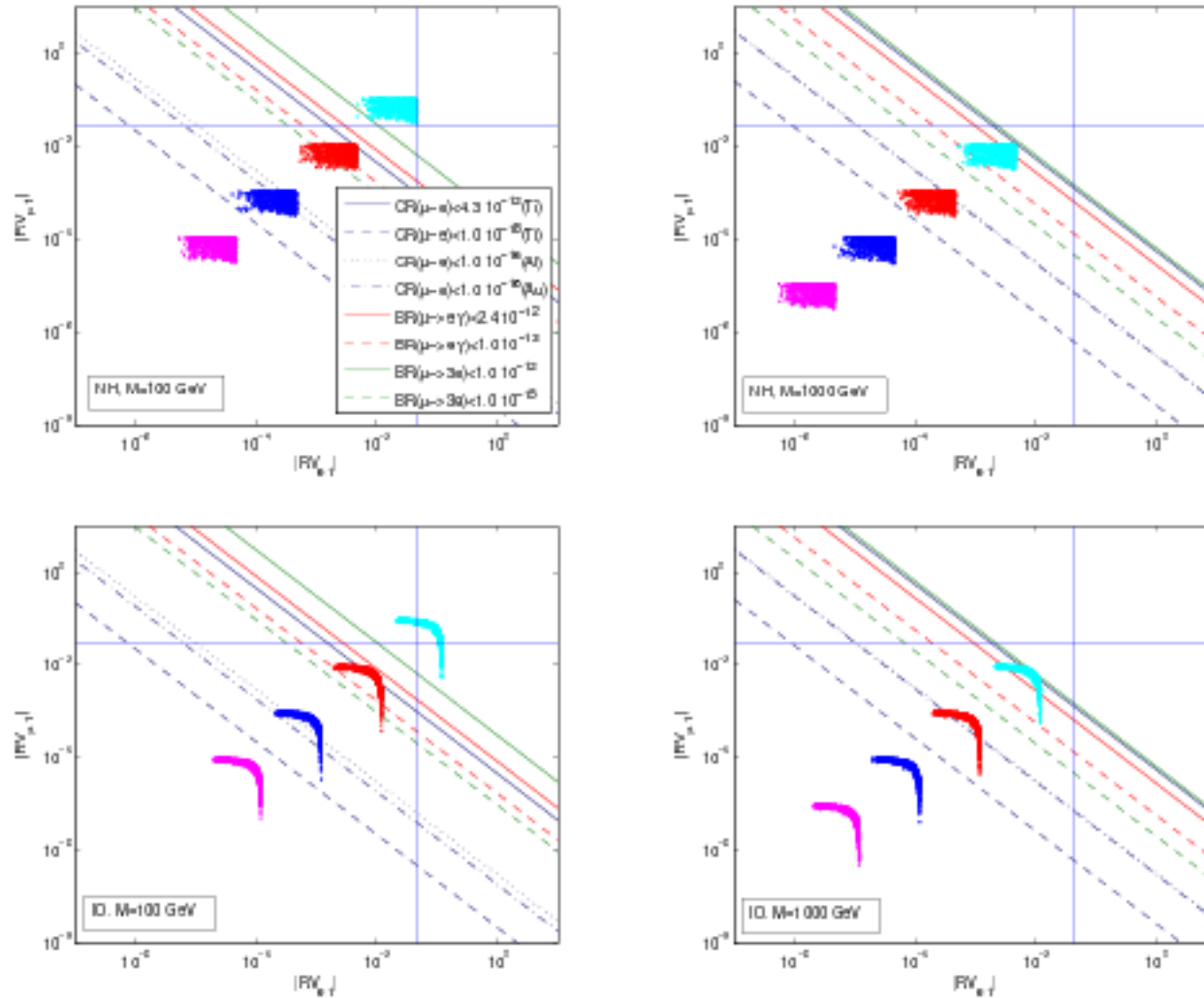
$$\begin{aligned} |(RV)_{e1}|^2 &\lesssim 2 \times 10^{-3}, \\ |(RV)_{\mu 1}|^2 &\lesssim 0.8 \times 10^{-3}, \\ |(RV)_{\tau 1}|^2 &\lesssim 2.6 \times 10^{-3}. \end{aligned}$$

S. Antusch et al., 2008

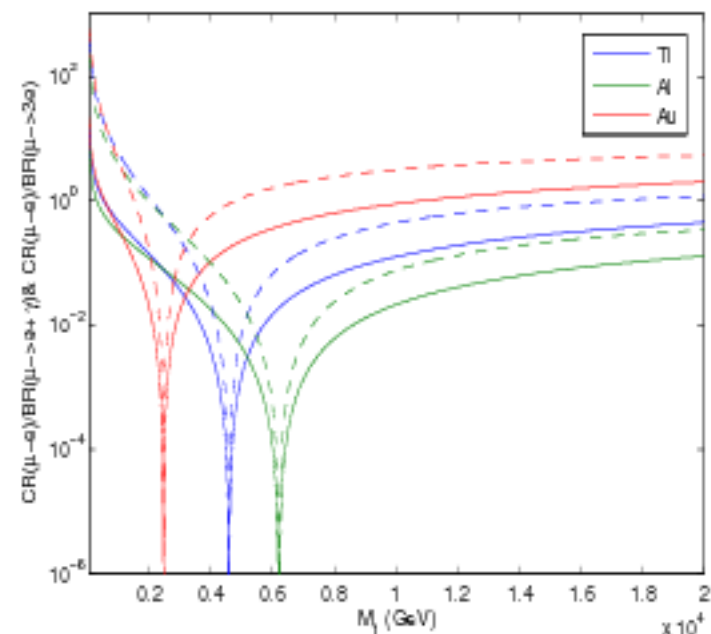
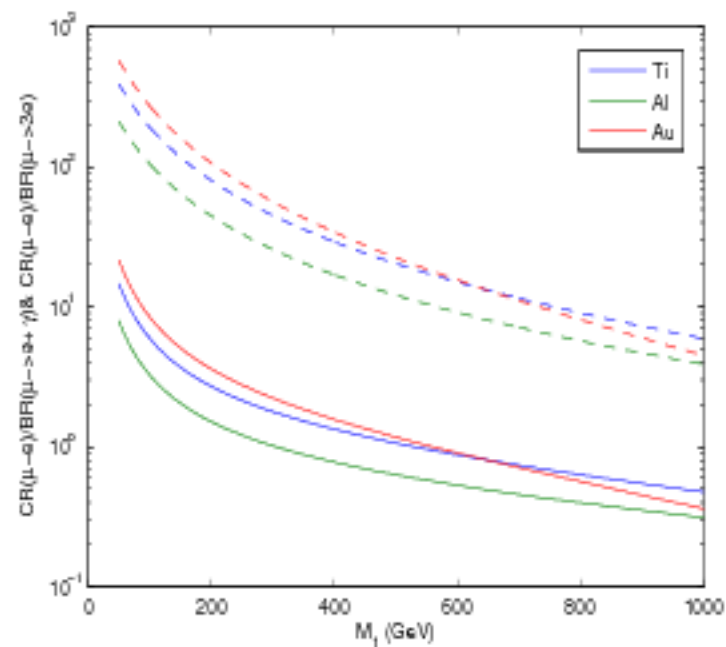
Observation of $N_{1,2}$ at LHC - problematic.

LFV processes: $\mu \rightarrow e + \gamma$, $\mu \rightarrow 3e$, $\mu^- + \mathcal{N} \rightarrow e^- + \mathcal{N}$: can proceed with exchange of virtual N_j :





Current limits and potential sensitivity to $|(RV)_{e1}|$ and $|(RV)_{\mu1}|$ from data on LFV processes for NH (upper panels) and IH (lower panels) spectra, for $M_1 = 100(1000)$ GeV and, *i*) $y = 0.0001$ (magenta pts), *ii*) $y = 0.001$ (blue pts), *iii*) $y = 0.01$ (red pts) and *iv*) $y = 0.1$ (cyan pts).



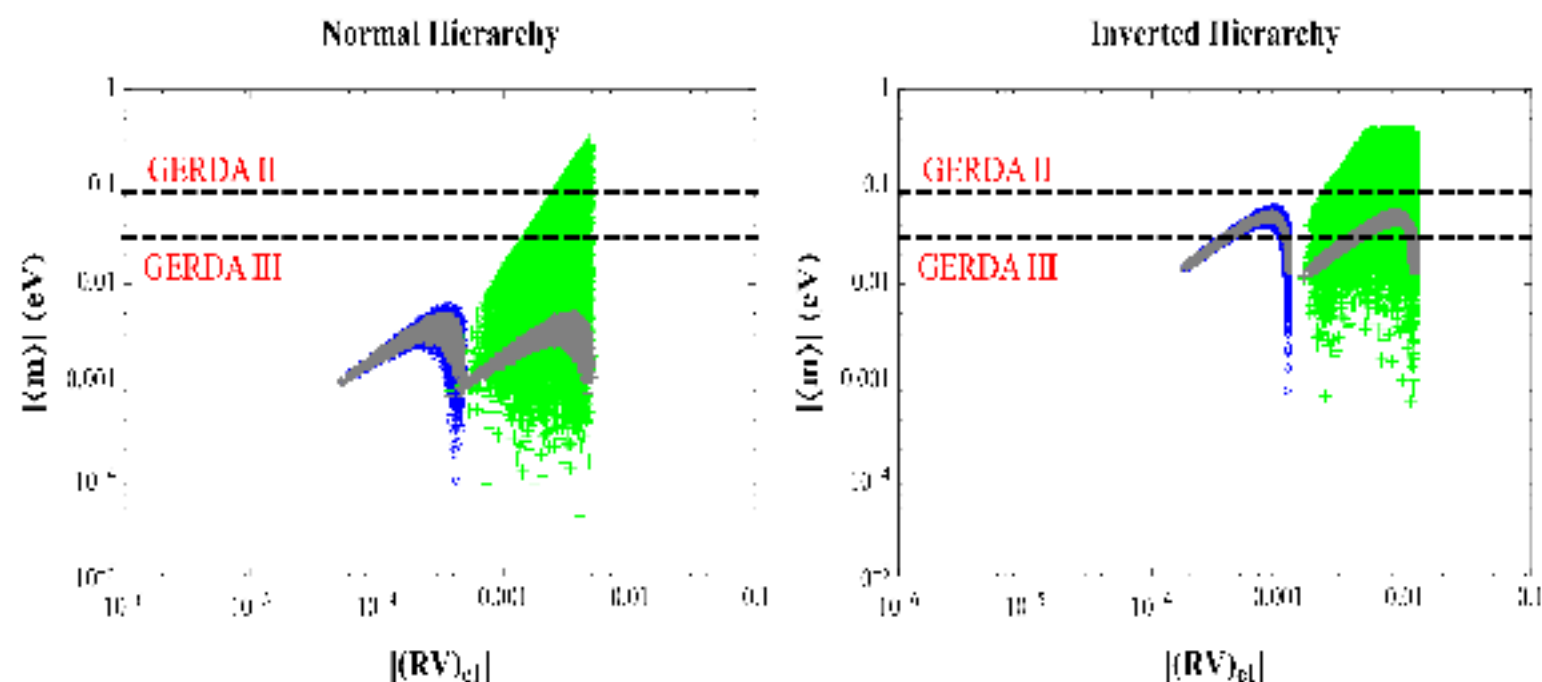
The ratio of the $\mu - e$ relative conversion rate and the branching ratio of the
i) $\mu \rightarrow e\gamma$ decay (solid lines), ii) $\mu \rightarrow 3e$ decay (dashed lines), versus the type I
see-saw mass scale M_1 , for three different nuclei: $^{48}_{22}\text{Ti}$ (blue lines), $^{27}_{13}\text{Al}$ (green
lines) and $^{197}_{79}\text{Au}$ (red lines).

The exchange of virtual N_j gives a contribution to $|\langle m \rangle|$:

$$|\langle m \rangle| \cong \left| \sum_i (U_{PMNS})_{ei}^2 m_i - \sum_k f(A, M_k) (RV)_{ek}^2 \frac{(0.9 \text{ GeV})^2}{M_k} \right| ,$$
$$f(A, M_k) \cong f(A) .$$

For, e.g., ^{48}Ca , ^{76}Ge , ^{82}Se , ^{130}Te and ^{136}Xe , the function $f(A)$ takes the values $f(A) \cong 0.033, 0.079, 0.073, 0.085$ and 0.068 , respectively.

- **The Predictions for $|\langle m \rangle|$ can be modified considerably.**



$|\langle m \rangle|$ vs $|(RV)_{e1}|$ for ^{76}Ge in the cases of NH (left panel) and IH (right panel) light neutrino mass spectrum, for $M_1 = 100$ GeV and *i*) $y = 0.001$ (blue), *ii*) $y = 0.01$ (green). The gray markers correspond to $|\langle m \rangle^{\text{std}}| = |\sum_i (U_{PMNS})_{ei}^2 m_i|$.

A. Ibarra, E. Molinaro, S.T.P., 2010 and 2011