

Universality of charge transport in interacting fermionic systems

Marcello Porta

University of Zürich, Institute for Mathematics

Joint work with: A. Giuliani, I. Jauslin and V. Mastropietro

“Condensed matter and critical phenomena”

Laboratori Nazionali di Frascati

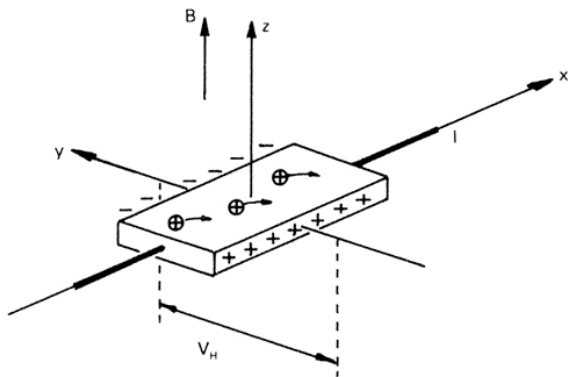
Outline

- Motivations: understand charge transport in **interacting** $2d$ systems.
- Results:
 - ① **Integer quantum Hall effect** for interacting fermionic systems
 - ② **Hall transitions** in the Haldane-Hubbard model.
- Sketch of the proof.
- Conclusions.

Introduction

Integer quantum Hall effect

- $2d$ condensed matter systems display remarkable **transport properties**.
- Paradigmatic example: **Integer quantum Hall effect** (IQHE).
- **Setting**. Thin samples of suitable **insulators**, at low temperatures, exposed to **strong** magnetic field B and **weak** electric field E .



Integer quantum Hall effect

- $2d$ condensed matter systems display remarkable **transport properties**.
- Paradigmatic example: **Integer quantum Hall effect (IQHE)**.
- J = current generated by weak field E . **Linear response**: $J_i = \sigma_{ij} E_j$.

$$\sigma_{11} = \sigma_{22} = 0, \quad \sigma_{12} = -\sigma_{21} \in \frac{e^2}{h} \cdot \mathbb{Z}.$$

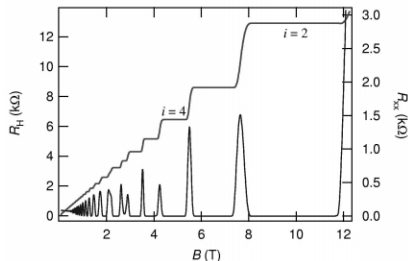


Figure: The IQHE. (von Klitzing, Nobel prize 1985.)

Theory: noninteracting particles

Thouless - Kohmoto - Nightingale - Den Nijs '82, Avron - Seiler - Simon '83, '94,
Bellissard - van Elst - Schulz-Baldes '94, Aizenman - Graf '98...

- $H = H_0 + \lambda W =$ **one-particle** Schrödinger operator on $\ell^2(\mathbb{Z}^2)$.
 $H_0 =$ magnetic lattice Laplacian, $W =$ random local potential.

$$H_0(x; y) = e^{i\phi_{xy}} \delta_{|x-y|,1} , \quad W(x; y) = w_x \delta_{|x-y|,0} ,$$

with $w_x =$ i.i.d. random variables.

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with $w_x =$ i.i.d. random variables.

- Let $P_\mu = \chi(H \leq \mu) =$ Fermi projector. **If** $\mathbf{E}|P_\mu(x; y)| \leq C e^{-c|x-y|}$:

$$\sigma_{12} = \frac{ie^2}{\hbar} \text{Tr} P_\mu [[X_1, P_\mu], [X_2, P_\mu]] \in \frac{e^2}{\hbar} \cdot \mathbb{Z}$$

with $\text{Tr} \cdot = \lim_{|\Lambda| \rightarrow \infty} |\Lambda|^{-1} \text{tr} \cdot \chi(x \in \Lambda) =$ trace per unit volume.

- P_μ decays exp. if $\mu \in$ **spectral gap**, or $\mu \in$ **mobility gap** (strong disorder).

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- P_μ decays exp. if $\mu \in$ **spectral gap**, or $\mu \in$ **mobility gap** (strong disorder).
- If no disorder: $\sigma_{12} =$ **Chern number of Bloch bundle**.

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- Fröhlich et al. '91,... Gauge theory of phases of matter.
 FQHE as a consequence of the chiral anomaly in condensed matter.
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- **Today.**
 - ① **Universality** of σ_{ij} for weakly interacting fermionic systems.
 - ② **Hall transitions** in the Haldane-Hubbard model (gapless limit).

IQHE for interacting systems

Fermions on the lattice

- $\Lambda_L = 2d$ Bravais lattice, periodic b.c. (e.g. square, honeycomb lattice).
- **Fock space fermionic operators:** $a_{x,\alpha}^\pm$, with $\alpha = 1, \dots, N$ “color” index (e.g. spin, sublattice).
- **Fock space Hamiltonian:** $\mathcal{H} = \mathcal{H}^{(0)} + U\mathcal{V}$, where

$$\mathcal{H}^{(0)} = \sum_{x,y} \sum_{\alpha,\alpha'} a_{x,\alpha}^+ H_{\alpha\alpha'}^{(0)}(x,y) a_{y,\alpha'}^-, \quad (H^{(0)}(x,y) \equiv H^{(0)}(x-y))$$

$$\mathcal{V} = \sum_{x,y} \sum_{\alpha,\alpha'} n_{x,\alpha} v_{\alpha\alpha'}(x-y) n_{y,\alpha'}, \quad (n_{x,\alpha} = a_{x,\alpha}^+ a_{x,\alpha}^-)$$

$H^{(0)}(x-y)$ = short-range hopping, $v(x-y)$ = short-range interaction.

- For $k \in \mathbb{T}^2$, **Bloch Hamiltonian:** $\hat{H}^{(0)}(k) = \sum_z e^{ik \cdot z} H^{(0)}(z)$.

Assumption: the spectrum of $\hat{H}^{(0)}(k)$ is **gapped**.

Conductivity

- Finite temperature, finite volume Gibbs state:

$$\langle \cdot \rangle_{\beta, L} = \frac{\text{Tr} \cdot e^{-\beta(\mathcal{H} - \mu\mathcal{N})}}{\mathcal{Z}_{\beta, L}}.$$

- Conductivity defined via **Kubo formula** ($e^2 = \hbar = 1$):

$$\sigma_{ij} := \lim_{\eta \rightarrow 0^+} \frac{i}{\eta} \left(\int_{-\infty}^0 dt e^{\eta t} \langle [e^{i\mathcal{H}t} \mathcal{J}_i e^{-i\mathcal{H}t}, \mathcal{J}_j] \rangle_{\infty} - \langle [\mathcal{J}_i, \mathcal{X}_j] \rangle_{\infty} \right)$$

where $\mathcal{X} = \sum_{x, \alpha} x n_{x, \alpha} = 2\text{nd}$ quantization of position operator and

$$\mathcal{J} := i[\mathcal{H}, \mathcal{X}] = \text{current operator}, \quad \langle \cdot \rangle_{\infty} = \lim_{\beta, L \rightarrow \infty} L^{-2} \langle \cdot \rangle_{\beta, L}.$$

- Kubo formula: **linear response** at $t = 0$, after introducing a weak **external field** $e^{\eta t} E \cdot \mathcal{X}$ at $t = -\infty$ (Bru-Pedra '14: derivation for finite times)

Stability of IQHE

Theorem (Giuliani, Mastropietro, P. - Comm. Math. Phys. '16.)

Let $\mu \notin \sigma(\hat{H}^{(0)}(k))$. There is $U_0 > 0$ s.t. for $U \in (-U_0, U_0)$ and $\beta, L \rightarrow \infty$:

$$\sigma_{ij} = \sigma_{ij} |_{U=0}$$

In particular, $\sigma_{ii} = 0$ and $\sigma_{12} = -\sigma_{21} \in (e^2/h) \cdot \mathbb{Z}$.

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- **Strategy.**
 - ① Construction of Euclidean correlations (cluster expansion).
 - ② **Wick rotation** to imaginary times (\rightsquigarrow Euclidean conductivity).
 - ③ **Universality** of Euclidean conductivity matrix. Inspired by:
Coleman-Hill '85: “no corrections beyond 1-loop to the topological mass in QED₂₊₁.”

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 Coleman-Hill '85: “no corrections beyond 1-loop to the topological mass in QED₂₊₁.”
- Here, $U_0 \equiv U_0(\text{gap})$. What about Hall **transitions** (where $U \gg \text{gap}$)?

The Haldane-Hubbard model

Graphene

- First realisation of a **2d crystal** (Geim-Novoselov, Nobel prize 2010).
- Simplest model: Laplacian on the honeycomb lattice.

$$\mathcal{H}_G^{(0)} = t_1 \sum_{x,\sigma} [a_{x,A,\sigma}^+ a_{x,B,\sigma}^- + a_{x,A,\sigma}^+ a_{x-\ell_1,B,\sigma}^- + a_{x,A,\sigma}^+ a_{x-\ell_2,B,\sigma}^- + h.c.]$$

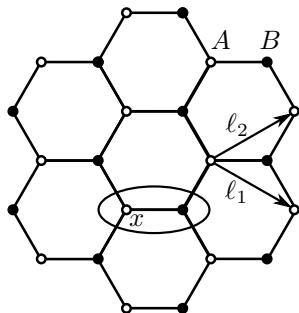
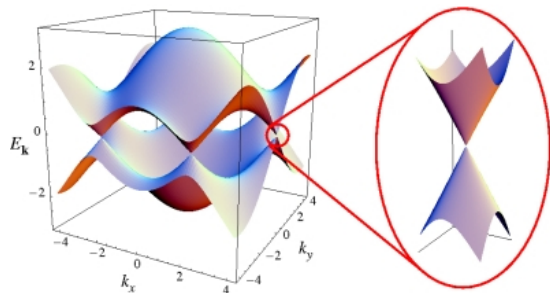


Figure: Dimer $\rightsquigarrow (a_{x,A,\sigma}^\pm, a_{x,B,\sigma}^\pm)$.

Dirac cones

- The spectrum is **gapless**:



- Fermi level:** $\mu = 0$ corresponds to **undoped** graphene (half-filling).
- Low energy excitations: **2d massless Dirac fermions** (with $v \ll c$).
- “Relativistic” charge carriers, remarkable **transport properties**.

Universal longitudinal conductivity

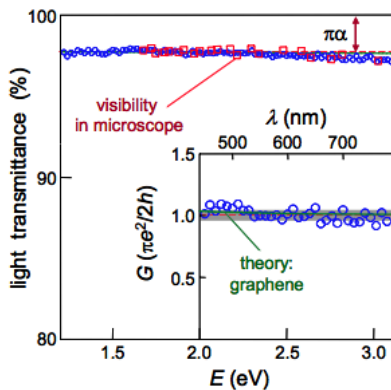


Figure: Graphene's longitudinal conductivity [Nair et al., Science '08].

Same value predicted by $2d$ massless Dirac fermions!

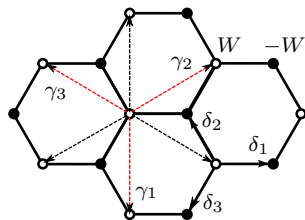
The Haldane model

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- Black: $t_2 e^{i\phi}$. Red: $t_2 e^{-i\phi}$
- Zero net magnetic flux.

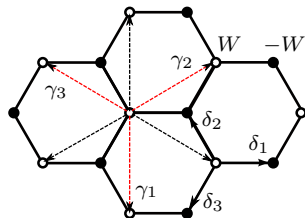


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 \mathcal{H}_H^{(0)} = & t_1 \sum_{x,\sigma} [a_{x,A,\sigma}^+ a_{x,B,\sigma}^- + a_{x,A,\sigma}^+ a_{x-\ell_1,B,\sigma}^- + a_{x,A,\sigma}^+ a_{x-\ell_2,B,\sigma}^- + h.c.] \\
 & + t_2 \sum_{x,\sigma} \sum_{\substack{\alpha=\pm \\ j=1,2,3}} [e^{i\alpha\phi} a_{x,A,\sigma}^+ a_{x+\alpha\gamma_j,A,\sigma}^- + e^{-i\alpha\phi} a_{x,B,\sigma}^+ a_{x+\alpha\gamma_j,B,\sigma}^-] \\
 & + W \sum_{x,\sigma} [a_{x,A,\sigma}^+ a_{x,A,\sigma}^- - a_{x,B,\sigma}^+ a_{x,B,\sigma}^-]
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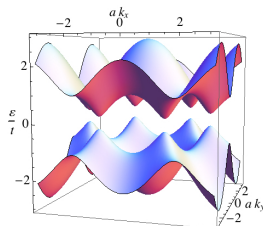
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 &+ W \sum_{x,\sigma} \left[a_{x,A,\sigma}^+ a_{x,A,\sigma}^- - a_{x,B,\sigma}^+ a_{x,B,\sigma}^- \right]
 \end{aligned}$$

- Gapped system. Gaps:

$$\Delta_{\pm} = |m_{\pm}|, \quad m_{\pm} = W \pm 3\sqrt{3}t_2 \sin \phi.$$

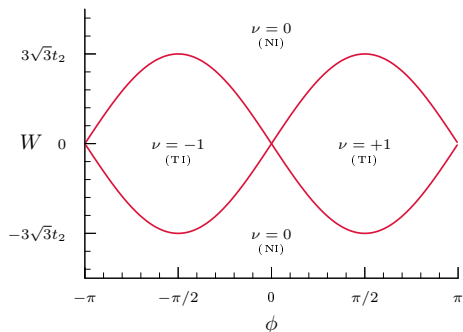
= “mass” of Dirac fermions.



Phase diagram

- IQHE **without** net external magnetic flux:

$$\sigma_{12} = \frac{2e^2}{h} \nu, \quad \nu = \frac{1}{2} [\text{sgn}(m_-) - \text{sgn}(m_+)]$$



- Simplest model of **topological insulator**.
Building brick for more complex systems (*e.g.* Kane-Mele model).

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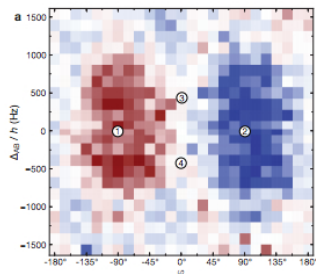


Figure: Experimental realization (Esslinger group, Nature '14)

- What is the effect of **many-body interactions** on the phase diagram?

Phase transitions in the Haldane-Hubbard model

Theorem (Giuliani, Jauslin, Mastropietro, P. - arXiv 2016)

There exists $U_0 > 0$ and a function (“renormalized mass”)

$$m_{R,\omega} = m_\omega + F_\omega(m_\pm; U) \quad \text{where} \quad F_\omega = O(U), \quad F_\omega|_{m_\pm=0} = 0, \quad \omega = \pm$$

such that, for $U \in (-U_0, U_0)$, choosing $\mu = \mu(m_\pm; U)$:

$$\left[\lim_{m_{R,\omega} \rightarrow 0^+} - \lim_{m_{R,\omega} \rightarrow 0^-} \right] \sigma_{12} = \frac{2e^2}{h} \omega$$

$$\sigma_{ii}^{cr} := \lim_{\eta \rightarrow 0^+} \lim_{m_{R,\omega} \rightarrow 0} \sigma_{ii}(\eta) = \frac{e^2}{h} \frac{\pi}{4}.$$

- U_0 is now **uniform** in the gap.
- $m_{R,\pm} = 0$: **renormalized** transition curves.

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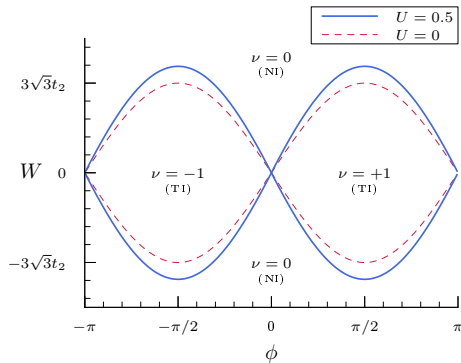
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- $m_{R,\pm} = 0$: **renormalized** transition curves.
- If $m_{R,+} = m_{R,-} \rightarrow 0$, $\sigma_{ii}^{cr} = (e^2/h)(\pi/2)$. Same as **interacting graphene**:

Giuliani, Mastropietro, P. - Phys. Rev. B '11, Comm. Math. Phys. '12.

Renormalized transition curves



- **Away** from the **blue curve** the correlations decay exponentially fast.
On the **blue curve** the decay is algebraic.
- Method: **constructive fermionic RG**, combined with **Ward identities**.
[Brydges-Battle-Federbush, Gawedzki-Kupiainen, Benfatto-Gallavotti-Mastropietro, Feldman-Knörrer-Salmhofer-Trubowitz, Magnen-Rivasseau-Sénéor ...]

Sketch of the proofs

Wick rotation &
universality for the critical Haldane-Hubbard model

Wick rotation

- Let us define the **Euclidean** conductivity matrix as:

$$\bar{\sigma}_{ij} := - \lim_{\eta \rightarrow 0^+} \frac{1}{\eta} \left[\widehat{K}_{ij}(\eta) - \widehat{K}_{ij}(0) \right]$$

where, setting $\mathcal{J}(-it) := e^{\mathcal{H}t} \mathcal{J} e^{-\mathcal{H}t} =$ **imaginary time** evolution of \mathcal{J} :

$$\widehat{K}_{ij}(\eta) = \lim_{\beta, L \rightarrow \infty} \frac{1}{L^2} \int_{-\beta/2}^{\beta/2} dt e^{-i\eta t} \langle \mathbf{T} \mathcal{J}_i(-it); \mathcal{J}_j \rangle_{\beta, L}$$

(\mathbf{T} = time ordering).

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- Euclidean correlations can be studied via **cluster expansion** and **RG methods**. For weak interactions:

$$\left| \frac{1}{L^2} \langle \mathbf{T} \mathcal{J}_i(-it); \mathcal{J}_j(-is) \rangle_{\beta, L} \right| \leq \frac{C_M}{1 + |t - s|^M} \quad (\beta, L \rightarrow \infty)$$

$\forall M > 0$ if $\mu \notin \sigma(\widehat{H}^{(0)}(k))$ or $M = 2$ for conical intersections.

Wick rotation

- We would like to show that, for $U \in (-U_0, U_0)$:

$$\begin{aligned}
 \bar{\sigma}_{ij} &:= - \lim_{\eta \rightarrow 0^+} \frac{1}{\eta} \int_{-\infty}^{\infty} dt (e^{-i\eta t} - 1) \langle \mathbf{T} e^{t\mathcal{H}} \mathcal{J}_i e^{-t\mathcal{H}} ; \mathcal{J}_j \rangle_{\infty} \\
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- Proof based on **complex deformation**, for $\eta > 0$. We'll show:

$$\frac{1}{\eta} \int_{-\infty}^{\infty} dt e^{-i\eta t} \langle \mathbf{T} e^{t\mathcal{H}} \mathcal{J}_i e^{-t\mathcal{H}} ; \mathcal{J}_j \rangle_{\infty} = \frac{i}{\eta} \int_{-\infty}^0 dt e^{\eta t} \langle [e^{i\mathcal{H}t} \mathcal{J}_i e^{-i\mathcal{H}t}, \mathcal{J}_j] \rangle_{\infty}$$

(part of the statement is that the r.h.s. exists.)

Wick rotation

- Fix $T, \eta \in \mathbb{R}^+$. We have:

$$\begin{aligned} & \int_{-T}^T dt e^{-i\eta t} \langle \mathbf{T} \mathcal{J}_i(-it); \mathcal{J}_j \rangle_\infty \\ &= \int_{-T}^0 dt e^{-i\eta t} \langle \mathcal{J}_j \mathcal{J}_i(-it) \rangle_\infty + \int_0^T dt e^{-i\eta t} \langle \mathcal{J}_i(-it) \mathcal{J}_j \rangle_\infty \equiv I_1 + I_2. \end{aligned}$$

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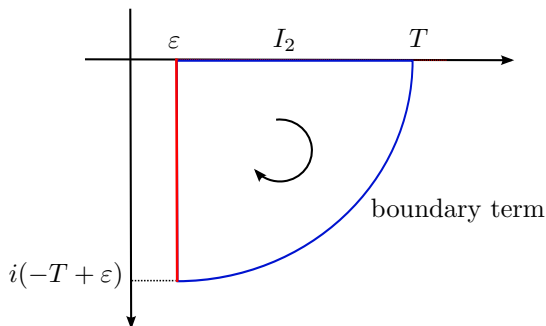
- Consider I_2 . Fix $\varepsilon > 0$. **Claim:** up to $O(\varepsilon)$,

$$I_2 = \left[-i \int_{-T+\varepsilon}^0 e^{\eta(t-i\varepsilon)} \langle \mathcal{J}_i(t-i\varepsilon) \mathcal{J}_j \rangle_\infty + \text{boundary term} \right]$$

Wick rotation

- Fix $T, \eta \in \mathbb{R}^+$. We have:

$$\begin{aligned} & \int_{-T}^T dt e^{-i\eta t} \langle \mathbf{T} \mathcal{J}_i(-it); \mathcal{J}_j \rangle_\infty \\ &= \int_{-T}^0 dt e^{-i\eta t} \langle \mathcal{J}_j \mathcal{J}_i(-it) \rangle_\infty + \int_0^T dt e^{-i\eta t} \langle \mathcal{J}_i(-it) \mathcal{J}_j \rangle_\infty \equiv I_1 + I_2. \end{aligned}$$



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- Bound for correlations at **complex times**: for $\text{Re } z \geq 0$,

$$\begin{aligned} \left| \langle \mathcal{J}_j(-iz) \mathcal{J}_i(0) \rangle_{\beta, L} \right| &\leq \left| \langle \mathcal{J}_j(-i\text{Re } z) \mathcal{J}_j(0) \rangle_{\beta, L} \right|^{1/2} \left| \langle \mathcal{J}_i(-i\text{Re } z) \mathcal{J}_i(0) \rangle_{\beta, L} \right|^{1/2} \\ &\leq \frac{L^2 C_M}{1 + |\text{Re } z|^M} \quad (M \geq 2) \end{aligned}$$

Wick rotation

$$\left| \frac{1}{L^2} \langle \mathcal{J}_j(-iz) \mathcal{J}_i(0) \rangle_{\beta, L} \right| \leq \frac{C_M}{1 + |\operatorname{Re} z|^M} \quad (M \geq 2, \operatorname{Re} z \geq 0) \quad (*)$$

- Using also $e^{-i\eta z} = e^{\eta \operatorname{Im} z} e^{-i\eta \operatorname{Re} z}$, the b.t. **vanishes** for $T \rightarrow \infty$.

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 - ① analyticity for finite β, L
 - ② the bound (*), which is uniform in β, L
 - ③ existence of the $\beta, L \rightarrow \infty$ limit on positive real axis.

Then, we use **Vitali's theorem**.

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Then, we use **Vitali's theorem**.

- Repeating the same analysis for I_1 :

$$I_1 + I_2 = -i \lim_{\varepsilon \rightarrow 0} \int_{-\infty}^0 dt e^{\eta t} \langle [\mathcal{J}_i(t \mp i\varepsilon), \mathcal{J}_j] \rangle_{\infty} = -i \int_{-\infty}^0 dt e^{\eta t} \langle [\mathcal{J}_i(t), \mathcal{J}_j] \rangle_{\infty}$$

by **Lieb-Robinson bounds**, (*) and dominated convergence. ■

Universality in the Haldane-Hubbard model

- **Noninteracting theory.** Euclidean conductivity:

$$\begin{aligned}\bar{\sigma}_{ij} &= - \lim_{\eta \rightarrow 0^+} \frac{1}{\eta} \int_{-\infty}^{\infty} dt (e^{-i\eta t} - 1) \langle \mathbf{T} \mathcal{J}_i(-it); \mathcal{J}_j \rangle_{\infty} \\ &\equiv - \lim_{\eta \rightarrow 0^+} \frac{1}{\eta} [\widehat{K}_{ij}(\eta) - \widehat{K}_{ij}(0)].\end{aligned}$$

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- The state is **quasi-free**. By Wick rule:

$$\begin{aligned}\widehat{K}_{ij}(\eta) &= (-1) \int_{\mathbb{R} \times \mathbb{T}^2} \text{tr} \Gamma_i(k) g(\mathbf{k} + \eta) \Gamma_j(k) g(\mathbf{k}) \\ g(\mathbf{k})^{-1} &= - \begin{pmatrix} ik_0 - m(k) & t_1 \Omega^*(k) \\ t_1 \Omega(k) & ik_0 + m(k) \end{pmatrix}, \quad \mathbf{k} = (k_0, k),\end{aligned}$$

where, for k' small:

$$\Omega(k' + k_F^{\omega}) \simeq \frac{3}{2} (ik'_1 + \omega k'_2) \quad m(k' + k_F^{\omega}) \simeq m_{\omega} \quad \Gamma_i \simeq \text{Pauli matrices}$$

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- In presence of interactions, this is just the **zero**-th order.

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- The state is **not** quasi-free. By **RG methods**:

$$\begin{aligned}\widehat{K}_{ij}(\eta) &= (-1) \int_{\mathbb{R} \times \mathbb{T}^2} \text{tr} \Gamma_{i,R}(k) g_R(\mathbf{k} + \eta) \Gamma_{j,R}(k) g_R(\mathbf{k}) + \text{h.o.t.} \\ g_R(\mathbf{k})^{-1} &\simeq - \begin{pmatrix} iZ_1 k_0 - m_R(k) & v_R \Omega^*(k) \\ v_R \Omega(k) & iZ_2 k_0 + m_R(k) \end{pmatrix}\end{aligned}$$

where, for k' small:

$$\begin{aligned}m_R(k' + k_F^{\omega}) &\simeq m_{R,\omega} & \Gamma_{i,R} &\simeq \text{“renormalized” Pauli matrices} \\ (Z_i, v_R, m_{R,\omega}) &= (1, t_1, m_{\omega}) + \text{convergent series in } U\end{aligned}$$

Universality in the Haldane-Hubbard model

$$\bar{\sigma}_{ii} = - \lim_{\eta \rightarrow 0^+} \frac{1}{\eta} [\widehat{K}_{ii}(\eta) - \widehat{K}_{ii}(0)]$$

- Crucial remark: $\widehat{K}_{ii}(\eta) = \widehat{K}_{ii}(-\eta)$. Differentiability $\Rightarrow \bar{\sigma}_{ii} = 0$.

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- If $m_{R,\omega} = 0$ then $\widehat{K}_{ii}(\eta)$ is **not** differentiable. Convenient rewriting:

$$\widehat{K}_{ii}(\eta) = \int_{\mathbb{R} \times B_\varepsilon(k_F^\omega)} \text{tr} \Gamma_{i,R}(k) g_R(\mathbf{k} + \eta) \Gamma_{i,R}(k) g_R(\mathbf{k}) + \widetilde{K}_{ii}(\eta) \equiv I_1 + I_2$$

I_2 is **differentiable** (interaction **RG-irrelevant**) $\Rightarrow \bar{\sigma}_{ii}$ only depends on I_1 .

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- Term I_1 still depends on $Z_{i,R}$, v_R , $m_{R,\omega}$, $\Gamma_{i,R}$. **Ward identity**:

$$\Gamma_{i,R}(k_F^\omega) = -\partial_i g_R(k_F^\omega)^{-1}, \quad \mathbf{k}_F^\omega = (0, k_F^\omega),$$

following from $U(1)$ gauge invariance. **All parameters cancel out!**

$$\boxed{\bar{\sigma}_{ii}^{\text{cr}} = \frac{1}{8}} \quad (e^2 = \hbar = 1) \quad \blacksquare$$

Conclusions

- We discussed the transport properties of interacting fermionic systems, on two-dimensional lattices.
- We proved:
 - the **stability** of the IQHE for general interacting gapped systems
 - the **universality** of the conductivity matrix of the **critical** Haldane-Hubbard model.

Tools: LR bounds, determinant bounds, rigorous RG, Ward identities.

- Open questions:
 - **Spin** transport in time-reversal invariant $2d$ insulators (e.g., interacting Kane-Mele model)?
 - Interacting **bulk-edge** correspondence?
 - Effect of **long-range** interactions (e.g. Coulomb)?
 - ...

Thank you!

Universality of $\bar{\sigma}_{ij}$: Schwinger-Dyson equation

- For U in the analyticity domain, the **Schwinger-Dyson equation** holds:

$$\begin{aligned}\widehat{K}_{i,j}^{(U)}(\mathbf{p}) &= \widehat{K}_{i,j}^{(0)}(\mathbf{p}) \\ &+ \int_0^U dU' \int d\mathbf{q} \hat{v}(p) \widehat{K}_{i,j,0,0}^{(U')}(\mathbf{p}, -\mathbf{p}, \mathbf{q}) \\ &+ 2 \int_0^U dU' \hat{v}(0) \widehat{K}_{i,j,0}^{(U')}(\mathbf{p}, -\mathbf{p}) \widehat{K}_0^{(U')} \\ &+ 2 \int_0^U dU' \hat{v}(p) \widehat{K}_{i,0}^{(U')}(\mathbf{p}) \widehat{K}_{j,0}^{(U')}(-\mathbf{p})\end{aligned}$$

with $\mathbf{p} = (\eta, p) \in \mathbb{R}^3$ and

$$\widehat{K}_{i,j,0,0}^{(U')}(\mathbf{p}, -\mathbf{p}, \mathbf{q}) = \langle \mathbf{T} J_{i,\mathbf{p}} ; J_{j,-\mathbf{p}} ; n_{\mathbf{q}} ; n_{-\mathbf{q}} \rangle .$$

Universality of $\bar{\sigma}_{ij}$: Schwinger-Dyson equation

- For U in the analyticity domain, the **Schwinger-Dyson equation** holds:

The diagrammatic equation shows the Schwinger-Dyson equation for a self-energy function $\bar{\sigma}_{ij}$. On the left is a circle labeled (n) with two external wavy lines labeled i and j , and momentum p entering and exiting. This is equal to the sum of three terms:

- A circle labeled $(n-1)$ with a wavy line labeled q (representing a self-energy insertion) on top, and external lines i, j with momentum p . Vertices are labeled 0 .
- A circle labeled $(n-1-m)$ with a circle labeled (m) attached to its top vertex via a wavy line labeled 0 . External lines i, j with momentum p are shown. Vertices are labeled 0 .
- A circle labeled $(n-1-m)$ with a wavy line labeled p connecting its right vertex to the left vertex of a circle labeled (n) . External lines i, j with momentum p are shown. Vertices are labeled 0 .

Universality of $\bar{\sigma}_{ij}$: Ward identities

- **Continuity equation** (recall $O(-it) = e^{t\mathcal{H}} O e^{-t\mathcal{H}}$):

$$\partial_t n_p(-it) := [\mathcal{H}, n_p(-it)] = p \cdot J_p(-it)$$

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- It implies relations among correlations: **Ward identities**. E.g.:

$$\begin{aligned} \eta \widehat{K}_{0,0}(\mathbf{p}) + p_i \widehat{K}_{i,0}(\mathbf{p}) = 0 &\Rightarrow \widehat{K}_{j,0}(\mathbf{p}) = -\eta \frac{\partial}{\partial p_j} \widehat{K}_{0,0}(\mathbf{p}) - p_i \frac{\partial}{\partial p_j} \widehat{K}_{i,0}(\mathbf{p}) \\ &= O(\mathbf{p}) . \end{aligned}$$

Similarly, $\widehat{K}_{i,j,0,0}(\mathbf{p}, -\mathbf{p}, \mathbf{q}) = O(\mathbf{p}^2)$, $\widehat{K}_{i,j,0}(\mathbf{p}, -\mathbf{p}) = O(\mathbf{p}^2)$. Therefore,

$$\widehat{K}_{i,j}^{(U)}(\mathbf{p}) - \widehat{K}_{i,j}^{(0)}(\mathbf{p}) = O(\mathbf{p}^2) .$$

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$$\widehat{K}_{i,j}^{(U)}(\mathbf{p}) - \widehat{K}_{i,j}^{(0)}(\mathbf{p}) = O(\mathbf{p}^2) .$$

- Since $\bar{\sigma}_{ij}^{(U)} = \lim_{\eta \rightarrow 0^+} (-1/\eta) [\widehat{K}_{i,j}^{(U)}(\eta, 0, 0) - \widehat{K}_{i,j}^{(U)}(\mathbf{0})] \equiv -\partial_\eta \widehat{K}_{i,j}^{(U)}(\mathbf{0})$,
$$\bar{\sigma}_{ij}^{(U)} = \bar{\sigma}_{ij}^{(0)} .$$

Universality of critical conductivity

- Let $0 < |m_{R,\omega}| \ll |m_{R,-\omega}|$. Let $\varepsilon > 0$ small. Convenient rewriting:

$$\bar{\sigma}_{12} = \int_{\mathbb{R} \times B_\varepsilon(k_F^\omega)} \text{tr} \Gamma_{1,R}(k) \partial_{k_0} g_R(\mathbf{k}) \Gamma_{2,R}(k) g_R(\mathbf{k}) + \tilde{\sigma}_{12} \equiv I_1 + I_2$$

I_1 : Integrand $\sim [|\mathbf{k} - \mathbf{k}_F^\omega|^2 + m_{R,\omega}^2]^{-\frac{3}{2}} \Rightarrow$ integral **not continuous** in $m_{R,\omega}$

I_2 : $\tilde{\sigma}_{12}$ is **continuous** in $m_{R,\omega}$ (interaction **irrelevant** in RG sense).

$\Rightarrow \Delta_\omega = [\lim_{m_{R,\omega} \rightarrow 0^+} - \lim_{m_{R,\omega} \rightarrow 0^-}] \bar{\sigma}_{12}$ only determined by term I_1 .

- Still, term I_1 depends on $Z_{i,R}$, v_R , $m_{\omega,R}$, $\Gamma_{i,R}$. Crucial ingredient:

$$\Gamma_{i,R}(k_F^\omega) = -\partial_i g_R(k_F^\omega)^{-1} \quad (\text{Ward identity})$$

following from $U(1)$ gauge invariance. **All parameters cancel out!**

$$\boxed{\Delta_\omega = \frac{\omega}{\pi}} \quad (e^2 = \hbar = 1) \quad \blacksquare$$