



Building models for B physics anomalies

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based on work with A. Greljo, G. Isidori, D. Marzocca



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Introduction

$$\mathcal{L} \sim \Lambda^2 |H|^2 + \sum_i \lambda_i \mathcal{O}_i^{(4)} + \frac{1}{\Lambda^2} \sum_i c_i \mathcal{O}_i^{(6)} + \dots$$

Naturalness of EW scale

$$\Lambda \lesssim 1 \text{ TeV}$$

Flavour constraints

$$\Lambda \gg \text{TeV}$$

- low Λ , small c 's: **flavour problem**
- high Λ , c 's $\sim O(1)$: **hierarchy problem**

Pre-LHC:



exciting phenomena in high-pT experiments: ATLAS, CMS



boring flavour physics (MFV)

Post-LHC:



no light on-shell resonances



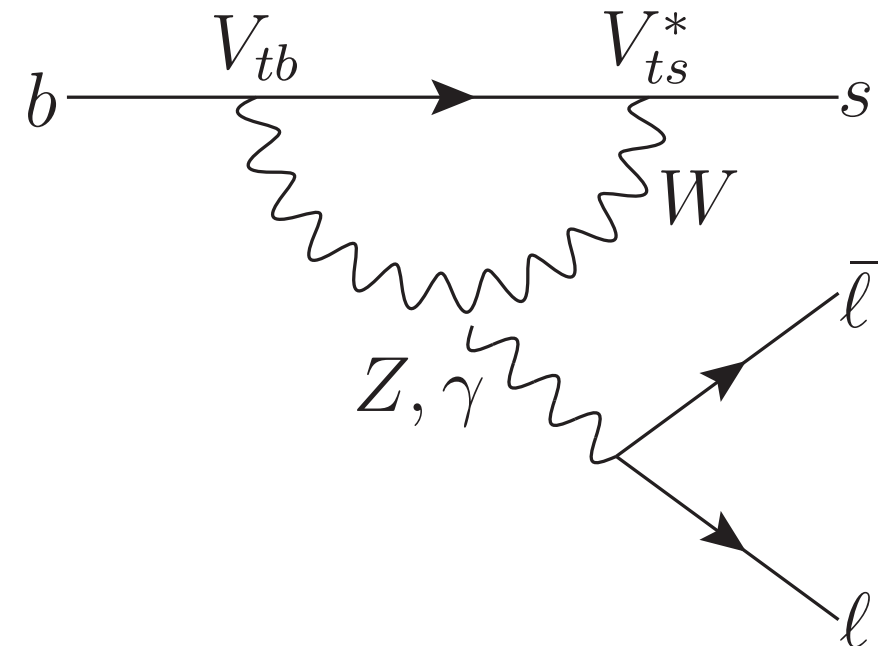
very interesting anomalies in flavour observables

Semi-leptonic b to s decays

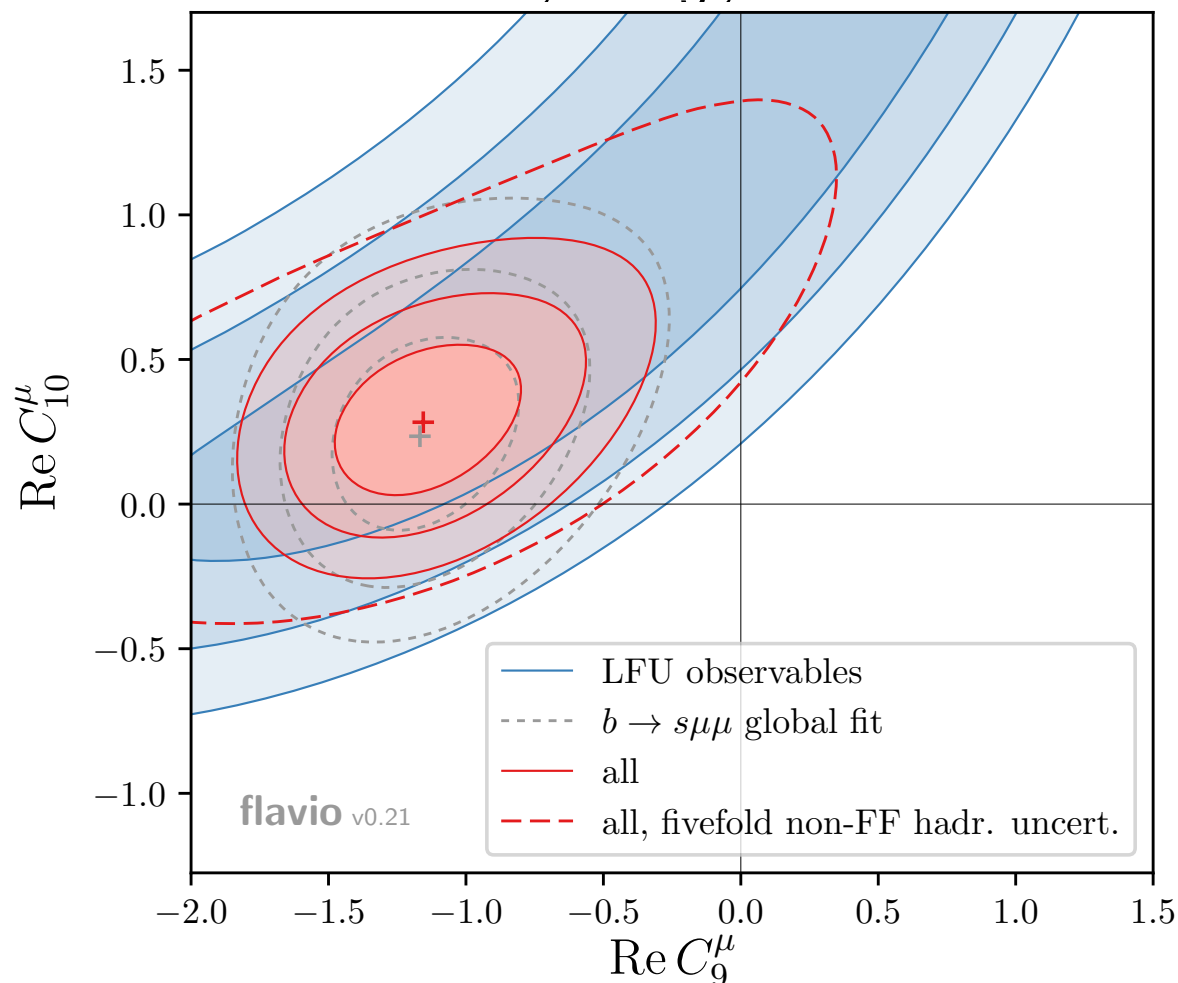
FCNC: occurs only at **loop-level** in the SM
+ **CKM** suppressed

Semi-leptonic effective Lagrangian:

$$\mathcal{L} = \frac{4G_F}{\sqrt{2}} \frac{\alpha}{4\pi} V_{tb}^* V_{ts} \sum_i C_i \mathcal{O}_i + C'_i \mathcal{O}'_i$$



Altmannshofer, Stanagl, Straub 2017



Deviations from SM in several observables

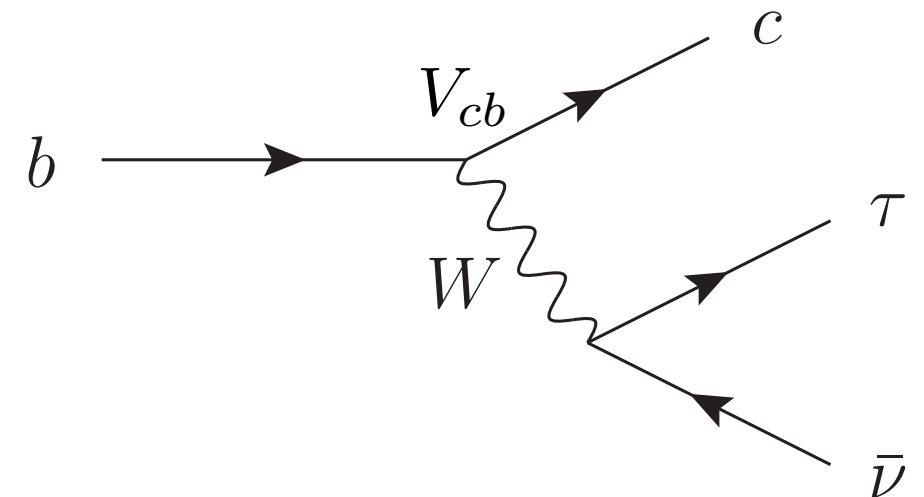
- Angular distributions in $B \rightarrow K^* \mu \mu$
 - Various branching ratios $B_{(s)} \rightarrow X_s \mu \mu$
 - LFU in $R(K)$ and $R(K^*)$ (very clean prediction!)
- ~ 20% NP contribution to LH current

Globally 5-6 σ

➡ see Nazila's talk

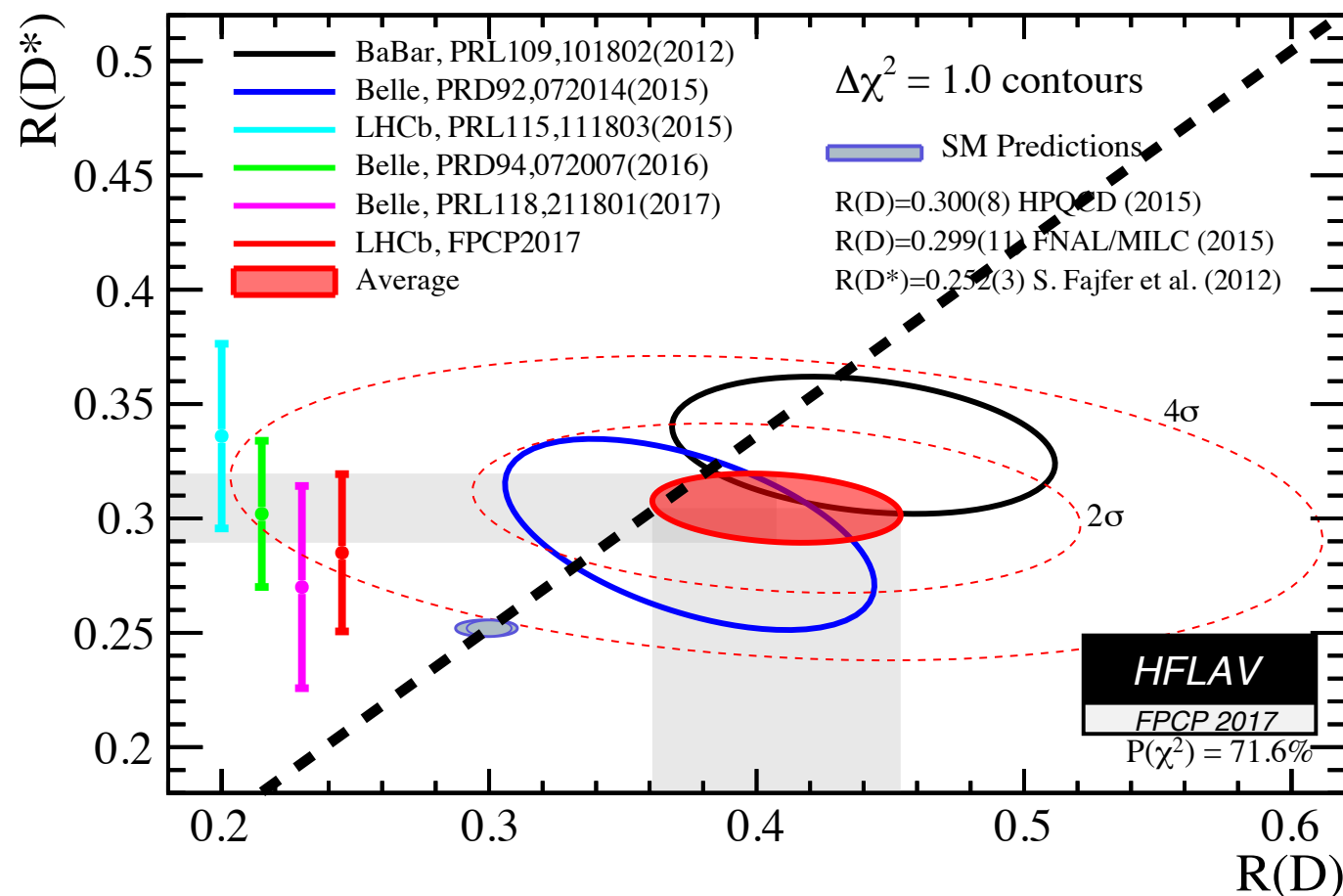
Semi-leptonic b to c decays

Charged-current interaction: **tree-level** effect in the SM, with mild CKM suppression



$$\mathcal{H}_{\text{eff}} = \frac{G_F}{\sqrt{2}} V_{cb}^* (\bar{b}_L \gamma_\mu c_L) (\bar{\tau}_L \gamma^\mu \nu_\tau)$$

LFU ratios: $R_{D^{(*)}} = \frac{\text{BR}(B \rightarrow D^{(*)} \tau \bar{\nu}) / \text{SM}}{\text{BR}(B \rightarrow D^{(*)} \ell \bar{\nu}) / \text{SM}} = 1.237 \pm 0.053$

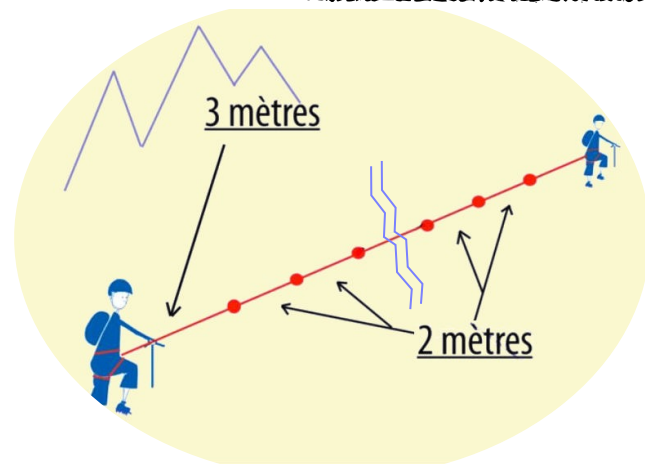


~ 20% enhancement in LH currents
~ **4σ from SM**

- RH & scalar currents disfavoured
- SM predictions robust: form factors cancel in the ratio (to a good extent)
- Consistent results by three very different experiments, in different channels
- Large backgrounds & systematic errors

Is it possible to explain the whole set of anomalies
in a coherent picture?

Effective
Field Theory
with flavour
symmetry



Simplified
models



UV
completion

Lepton Flavour Universality

- (Lepton) flavour universality is an accidental property of the gauge Lagrangian, **not a fundamental symmetry of nature**

$$\mathcal{L}_{\text{gauge}} = i \sum_{j=1}^3 \sum_{q,u,d,\ell,e} \bar{\psi}_j \not{D} \psi_j$$

- The only non-gauge interaction in the SM violates LFU maximally

$$\mathcal{L}_{\text{Yuk}} = \bar{q}_L Y_u u_R H^* + \bar{d}_L Y_d d_R H + \bar{\ell}_L Y_e e_R H \quad Y_{u,d,e} \approx \text{diag}(0, 0, 1)$$

- LFU approximately satisfied in SM processes because Yukawa couplings are small

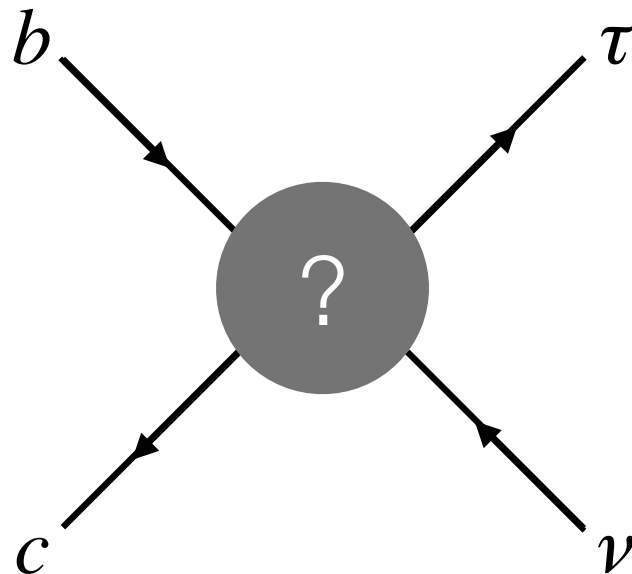
$$y_\mu \approx 10^{-3} \quad y_\tau \approx 10^{-2}$$

➡ natural to expect LFU and flavour violations in BSM physics

What do we know?

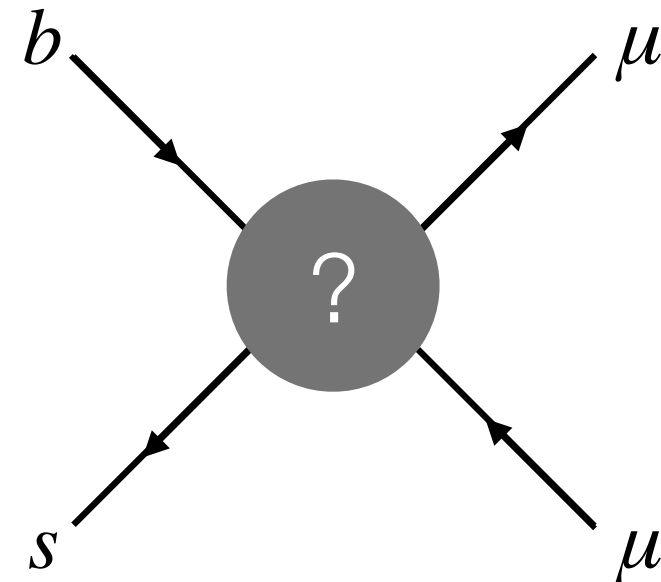
1. Anomalies seen only in semi-leptonic processes: **quarks** x **leptons**
nothing observed in pure **quark** or **lepton** processes
2. Large effect in **3rd generation**: b quarks, $\tau\nu$ competes with **SM tree-level**
smaller non-zero effect in **2nd generation**: $\mu\mu$ competes with **SM FCNC**,
no effect in 1st generation
3. **Flavour alignment** with down-quark mass basis (to avoid large FCNC)
4. **Left-handed** four-fermion interactions
RH and scalar currents disfavoured: can be present, but do not fit the anomalies (both in charged and neutral current), Higgs-current small or not relevant

Simultaneous explanations



$$\frac{1}{\Lambda_D^2} (\bar{b}_L \gamma_\mu c_L) (\bar{\tau}_L \gamma^\mu \nu_\tau)$$

$$\Lambda_D = 3.4 \text{ TeV}$$



$$\frac{1}{\Lambda_K^2} (\bar{b}_L \gamma_\mu s_L) (\bar{\mu}_L \gamma^\mu \mu_L)$$

$$\Lambda_K = 31 \text{ TeV}$$

- I. “vertical” structure: the two operators can be related by $SU(2)_L$

$$(\bar{q}_L \gamma_\mu \sigma^a q_L) (\bar{\ell}_L \gamma^\mu \sigma^a \ell_L)$$

- II. “horizontal” structure: NP structure reminds of the Yukawa hierarchy

$$\Lambda_D \ll \Lambda_K, \quad \lambda_{\tau\tau} \gg \lambda_{\mu\mu}$$

Problems

- **Direct searches:** large signal at high-pT

$$\Lambda_D \simeq 3.4 \text{ TeV}$$

- **Flavour observables:**

- other semi-leptonic observables

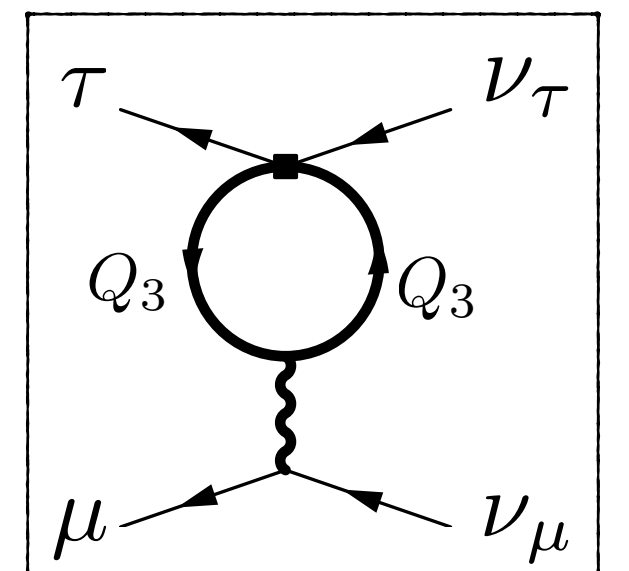
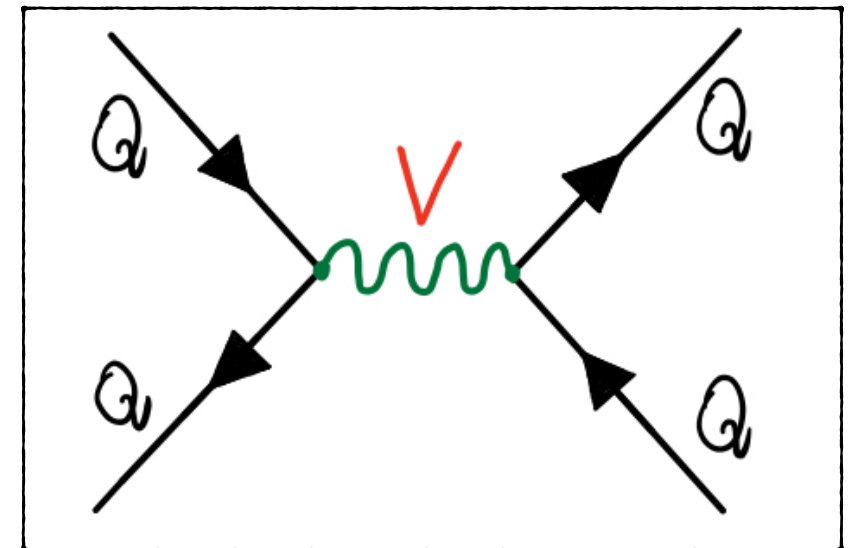
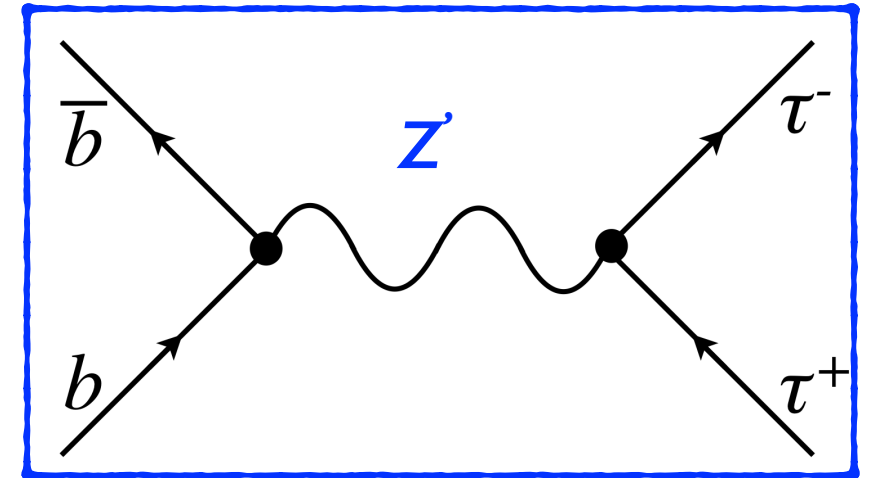
model independent

- meson mixing, lepton flavour violation
depend on the model, generally present

- **ElectroWeak precision tests:**

W, Z couplings, τ decays

generated radiatively at one-loop



Constructing the Effective Field Theory

1. **Left-handed** four-fermion interactions: two possible operators in SM-EFT

$$C_S(\bar{q}_L^i \gamma_\mu q_L^j)(\bar{\ell}_L^\alpha \gamma^\mu \ell_L^\beta)$$

— SU(2) singlet —

$$C_T(\bar{q}_L^i \gamma_\mu \sigma^a q_L^j)(\bar{\ell}_L^\alpha \gamma^\mu \sigma^a \ell_L^\beta)$$

— SU(2) triplet —

2. **Flavour structure:**

- Large effect in 3rd generation
- Smaller effect in 2nd generation
- Flavour alignment with CKM

➡ connection with Yukawa coupling hierarchies: U(2) symmetry

U(2) flavour symmetry

SM Yukawa couplings exhibit an approximate $U(2)^3$ flavour symmetry:

$$\begin{aligned}
 m_u &\sim \begin{pmatrix} \cdot & \cdot & \text{large red circle} \end{pmatrix} \\
 m_d &\sim \begin{pmatrix} \cdot & \cdot & \text{small green circle} \end{pmatrix}
 \end{aligned}
 \quad
 V_{\text{CKM}} \sim \begin{pmatrix} \text{large purple circle} & \text{small purple circle} & \cdot \\ \text{small purple circle} & \text{large purple circle} & \cdot \\ \cdot & \cdot & \text{large purple circle} \end{pmatrix}
 \quad
 U(2)_{q_L} \times U(2)_{u_R} \times U(2)_{d_R}$$

$$\psi_i = (\overset{2}{\psi_1 \ \psi_2} \overset{1}{\psi_3})$$

1. Good approximation of SM spectrum: $m_{\text{light}} \sim 0$, $V_{\text{CKM}} \sim 1$

Breaking pattern:

$$Y_{u,d} \approx \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \longrightarrow Y_{u,d} \approx \begin{pmatrix} \Delta & V_q \\ 0 & 1 \end{pmatrix}$$

$$\begin{aligned}
 \Delta &\sim (\mathbf{2}, \mathbf{2}, \mathbf{1}) \\
 V_q &\sim (\mathbf{2}, \mathbf{1}, \mathbf{1})
 \end{aligned}$$

Barbieri et al. 2011, 2012

- The *assumption* of a single spurion V_q connecting the 3rd generation with the other two ensures MFV-like FCNC protection
- The most general symmetry that gives “CKM-like” interactions in a model-independent way

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2. **Flavour structure:** minimally broken $U(2)_q \times U(2)_\ell$ symmetry

$U(2)_q \times U(2)_\ell$ breaking pattern:

$$V_q = (V_{td}^*, V_{ts}^*)$$

CKM structure for quarks

$$V_\ell \approx (0, V_{\tau\mu})$$

strong LFV constraints for electrons

no flavour-conserving coupling
to light generations

$$Q_L^{(3)} \sim \begin{pmatrix} V_{ib}^* u_L^i \\ b_L \end{pmatrix} + \text{small terms } (\sim V_{\text{CKM}})$$

$$\lambda_{ij}^q \approx \begin{pmatrix} \cdot & \cdot & V_{ts} \\ \cdot & \text{small} & \cdot \\ \cdot & V_{ts}^* & 1 \end{pmatrix} \quad \lambda_{\alpha\beta}^\ell \approx \begin{pmatrix} \cdot & \cdot & \cdot \\ \cdot & \text{small} & |V_{\tau\mu}|^2 \\ \cdot & V_{\tau\mu}^* & 1 \end{pmatrix}$$

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Effective Field Theory

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} - \frac{1}{v^2} \lambda_{ij}^q \lambda_{\alpha\beta}^\ell \left[C_T (\bar{q}_L^i \gamma_\mu \sigma^a q_L^j) (\bar{\ell}_L^\alpha \gamma^\mu \sigma^a \ell_L^\beta) + C_S (\bar{q}_L^i \gamma_\mu q_L^j) (\bar{\ell}_L^\alpha \gamma^\mu \ell_L^\beta) \right]$$

B, Greljo, Isidori, Marzocca, 2017

LFU ratios in ***b* → *c*** charged currents:

- ***τ***: $R_{D^{(*)}}^{\tau\ell} \simeq 1 + 2C_T \left(1 + \frac{\lambda_{bs}^q}{V_{cb}} \right) = 1.237 \pm 0.053$
- ***μ* vs. *e***: $R_{D^{(*)}}^{\mu e} \simeq 1 + 2C_T \left(1 + \frac{\lambda_{bs}^q}{V_{cb}} \right) \lambda_{\mu\mu} < 0.02 \quad \longrightarrow \quad \lambda_{\mu\mu} \lesssim 0.1$

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Neutral currents: ***b* → *s**v_τ**v_τ*** transitions not suppressed by lepton spurion

$$\Delta C_\nu \simeq \frac{\pi}{\alpha V_{ts}^* V_{tb}} \lambda_{sb}^q (C_S - C_T) \quad \text{strong bounds from } B \rightarrow K^* \nu \nu$$

$$\longrightarrow C_T \sim C_S$$

b* → *s**ττ ~ $C_T + C_S$ is large (100 x SM), weak experimental constraints

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B, Greljo, Isidori, Marzocca, 2017

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b* → *s**ττ ~ $C_T + C_S$ is large (100 x SM), weak experimental constraints

b* → *s**μμ is an independent quantity:
fixes the size of $\lambda_{\mu\mu}$

$$\Delta C_{9,\mu} = -\frac{\pi}{\alpha V_{ts}^* V_{tb}} \lambda_{sb}^q \lambda_{\mu\mu} (C_T + C_S)$$

Radiative corrections

Purely leptonic operators generated at the EW scale by RG evolution

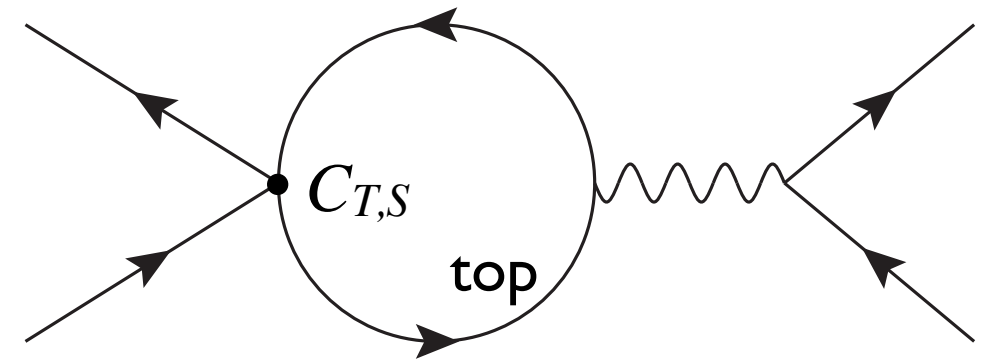
Feruglio et al. 2015

- **LFU in τ decays** $\tau \rightarrow \mu \nu \nu$ vs. $\tau \rightarrow e \nu \nu$ (effectively deviation in W couplings)

$$\delta g_{\tau}^W = -0.084 C_T = (9.7 \pm 9.8) \times 10^{-4}$$

- **Z $\tau\tau$ couplings**

$$\delta g_{\tau_L}^Z = -0.047 C_S + 0.038 C_T = -0.0002 \pm 0.0006$$



- **Z $\nu\nu$ couplings** (number of neutrinos)

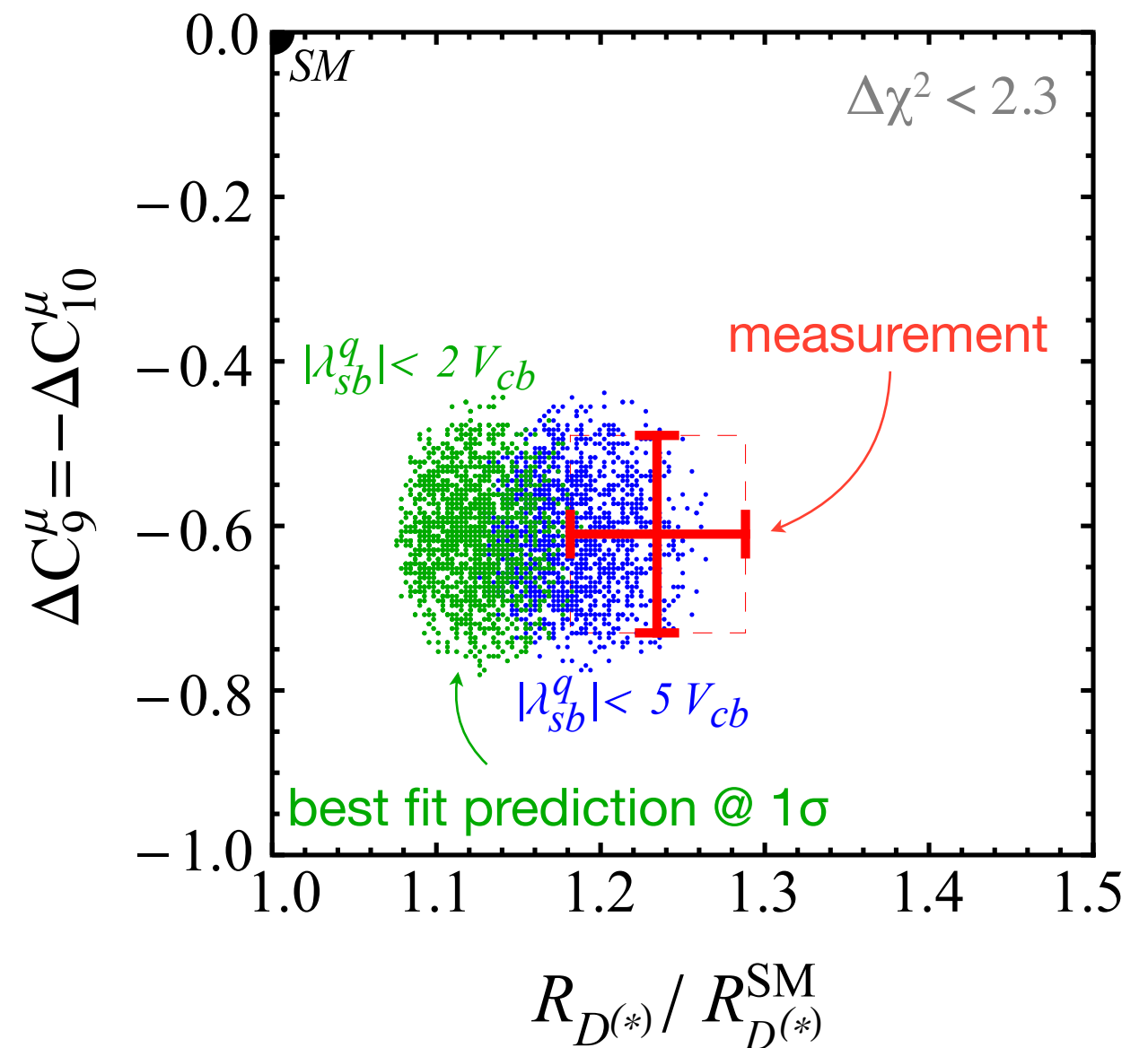
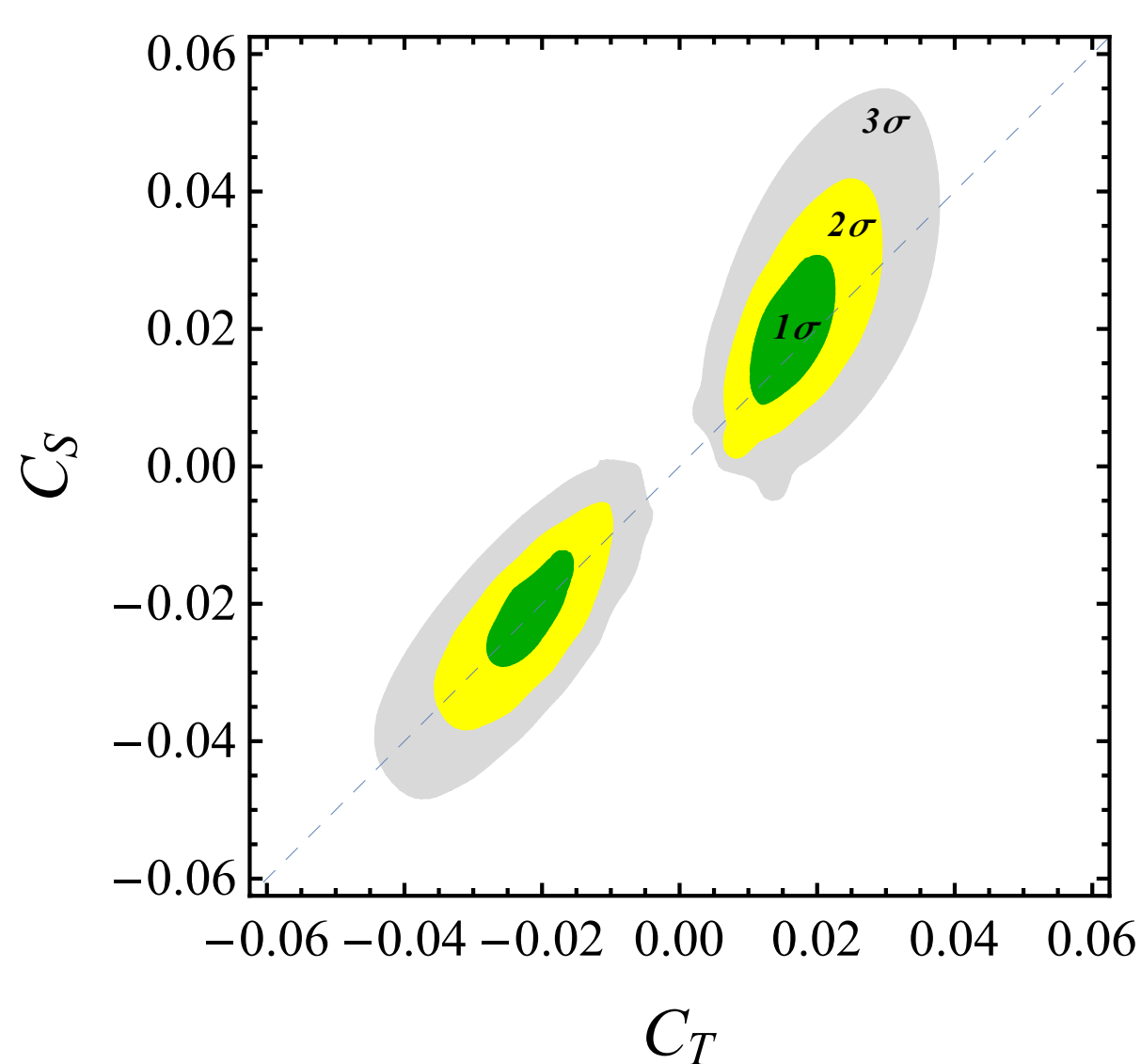
$$N_{\nu} = 3 - 0.19 C_S - 0.15 C_T = 2.9840 \pm 0.0082$$

(RG-running corrections to four-quark operators suppressed by the τ mass)

➡ strong bounds on the scale of NP ($C_{S,T} \lesssim 0.02-0.03$)

Fit results

- EFT fit to all semi-leptonic observables + radiative corrections to EWPT
- Don't include any UV contribution to other operators
(they will depend on the dynamics of the specific model)



Good fit to all anomalies, with couplings compatible with the $U(2)$ assumption

Other observables

- LH currents: universality of all $b \rightarrow c$ transitions:

$$\text{BR}(B \rightarrow D\tau\nu)/\text{SM} = \text{BR}(B \rightarrow D^*\tau\nu)/\text{SM} = \text{BR}(B_c \rightarrow \psi\tau\nu)/\text{SM} = \text{BR}(\Lambda_b \rightarrow \Lambda_c\tau\nu)/\text{SM} \dots$$

- U(2) symmetry: $b \rightarrow c$ vs. $b \rightarrow u$ universality:

$$\text{BR}(B \rightarrow D^{(*)}\tau\nu)/\text{SM} = \text{BR}(B \rightarrow \pi\tau\nu)/\text{SM} = \text{BR}(B^+ \rightarrow \tau\nu)/\text{SM} = \text{BR}(B_s \rightarrow K^*\tau\nu)/\text{SM} \dots$$

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- Neutral currents: several correlated effects in many observables

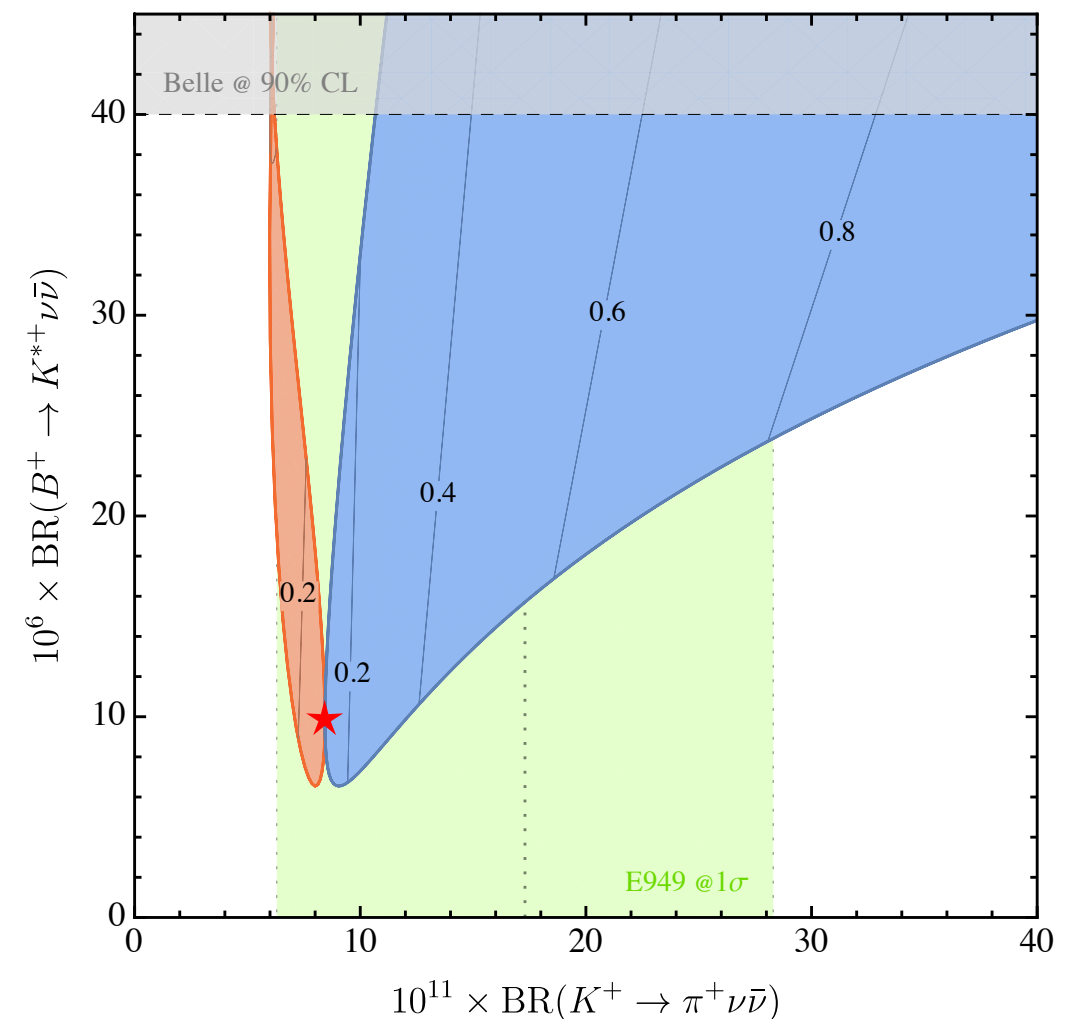
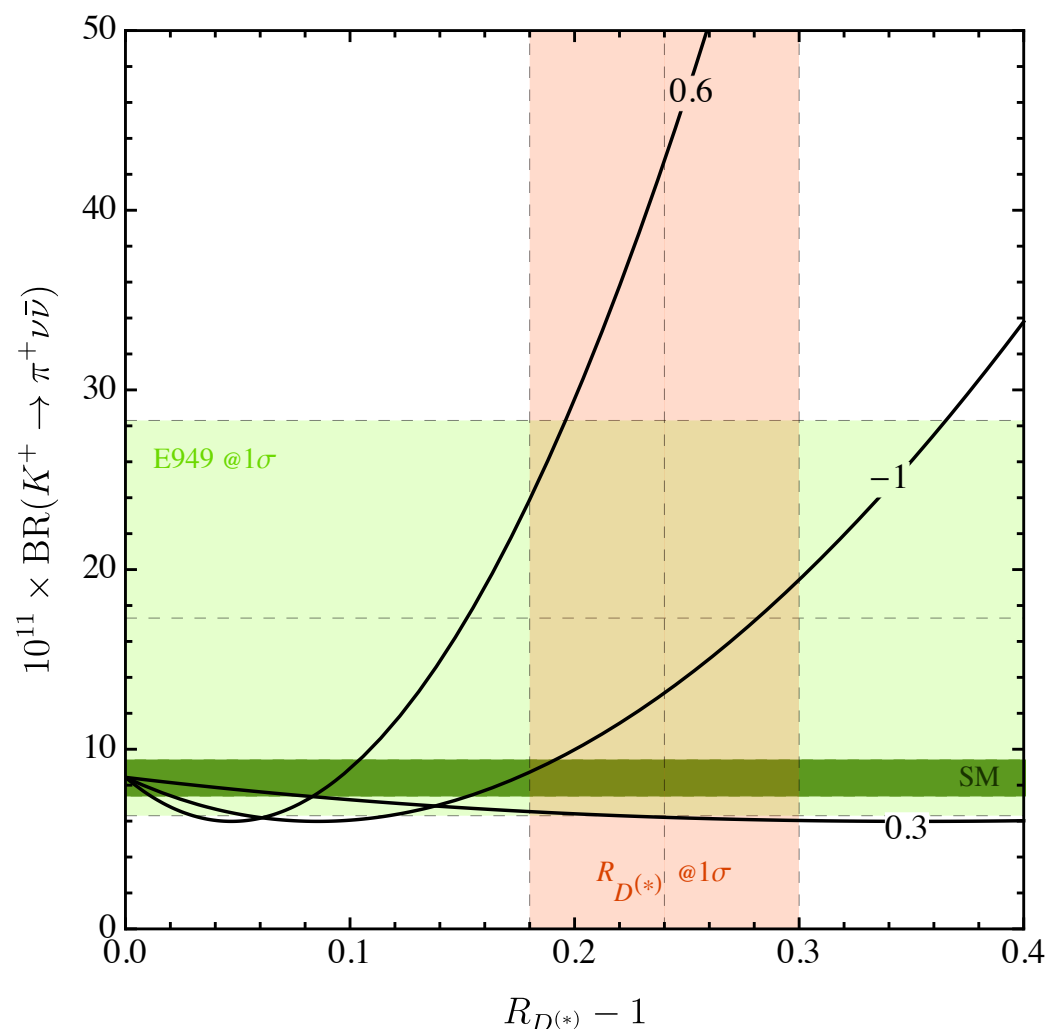
Isidori 2017

		<div>Lepton flavour →</div> <div>SU(2)</div>			
		$\mu\mu$ (ee)	$\tau\tau$	$\nu\nu$	$\tau\mu$
<div>Quark flavour ↓</div> <div>U(2) symmetry</div>	$b \rightarrow s$	R_K, R_{K^*} O(20%)	$B \rightarrow K^{(*)} \tau\tau$ → 100×SM	$B \rightarrow K^{(*)} \nu\nu$ O(1)	$B \rightarrow K \tau\mu$ → ~10 ⁻⁶
	$b \rightarrow d$	$B_d \rightarrow \mu\mu$ $B \rightarrow \pi \mu\mu$ $B_s \rightarrow K^{(*)} \mu\mu$ O(20%) [$R_K=R_\pi$]	$B \rightarrow \pi \tau\tau$ → 100×SM	$B \rightarrow \pi \nu\nu$ O(1)	$B \rightarrow \pi \tau\mu$ → ~10 ⁻⁷

$K \rightarrow \pi VV$

- The only $s \rightarrow d$ decay with 3rd generation leptons in the final state: sizeable deviations can be expected
- U(2) symmetry relates $b \rightarrow q$ transitions to $s \rightarrow d$ (up to model-dependent parameters of order 1): $\lambda_{sd} \sim V_q V_q^* \sim V_{ts}^* V_{td}$ $\lambda_{bq} \sim V_q \sim V_{tq}^*$

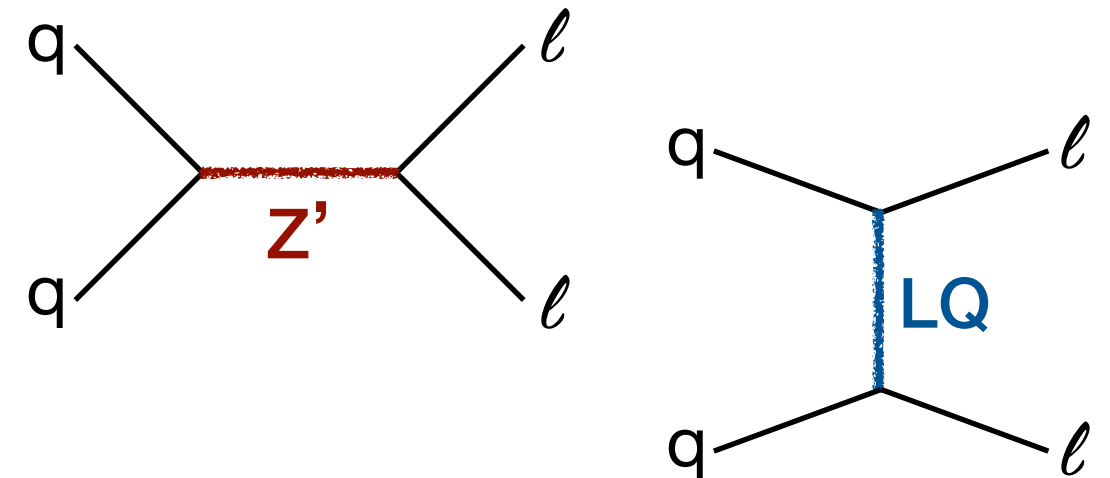
Bordone, B, Isidori, Monnard 2017



Simplified models

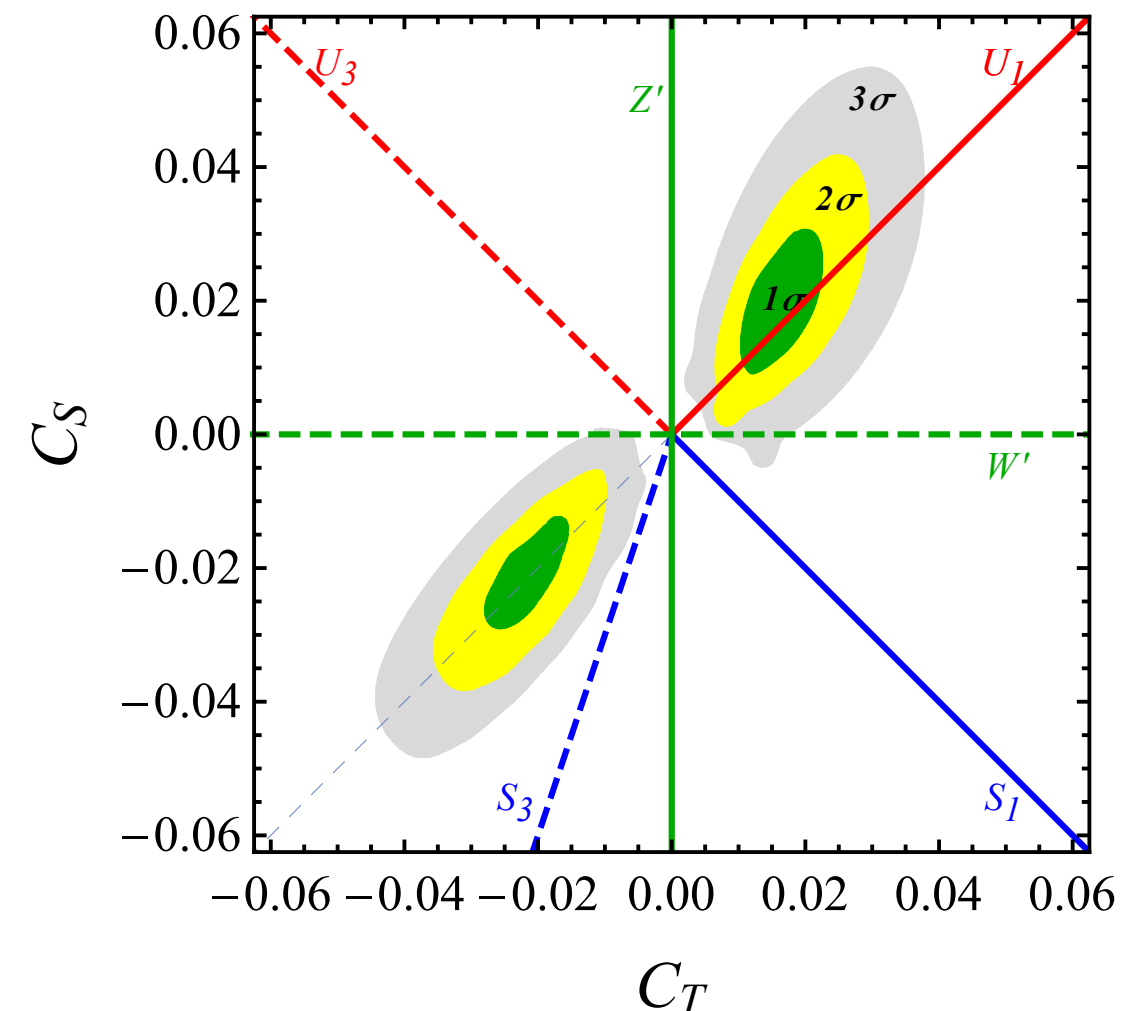
Mediators that can give rise to the $b \rightarrow c \ell \nu$ and $b \rightarrow s \ell \ell$ amplitudes:

	Spin 0	Spin 1
Colour singlet	2HDM no LL operator	Vector resonance
Colour triplet	Scalar lepto-quark	Vector lepto-quark



Contributions to C_T and C_S from different mediators:

- A **vector leptoquark** is the only single mediator that can fit all the anomalies alone: $C_T \sim C_S$
- Combinations of two or more mediators also possible (often the case in concrete models)



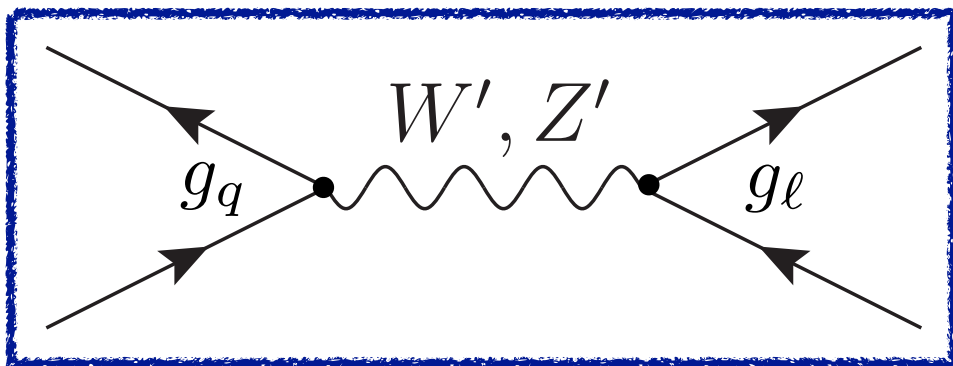
Vector resonances

Triplet and singlet colourless vectors:

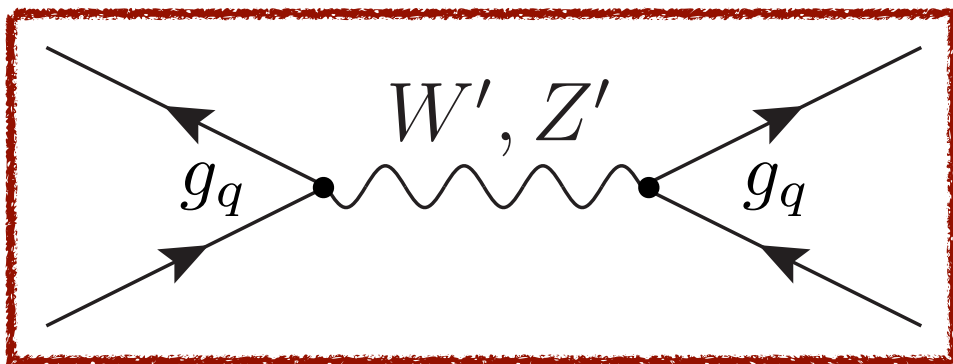
$$\mathcal{L}_{\text{int}} = W'_\mu{}^a J_\mu^a + B'_\mu J_\mu^0$$

$$J_\mu^a = g_q \lambda_{ij}^q \left(\bar{Q}_L^i \gamma_\mu T^a Q_L^j \right) + g_\ell \lambda_{\alpha\beta}^\ell \left(\bar{L}_L^\alpha \gamma_\mu T^a L_L^\beta \right)$$

$$J_\mu^0 = \frac{g_q^0}{2} \lambda_{ij}^q \left(\bar{Q}_L^i \gamma_\mu Q_L^j \right) + \frac{g_\ell^0}{2} \lambda_{\alpha\beta}^\ell \left(\bar{L}_L^\alpha \gamma_\mu L_L^\beta \right)$$



$$C_{T,S} = \frac{4v^2}{m_V^2} g_q g_\ell$$



Large contribution to B_s mixing

$$\begin{aligned} \Delta \mathcal{A}_{B_s - \bar{B}_s} &\approx \frac{v^2}{m_V^2} \lambda_{bs}^2 \left(g_q^2 + (g_q^0)^2 \right) \\ &\approx (C_T + C_S) \lambda_{bs}^2 \end{aligned}$$

Problem less severe for large $C_{T,S}$ — stronger tension with EW precision tests.

In models with more couplings (e.g. Higgs current) can partially cancel the contributions

Vector leptoquarks

SU(2)_L singlet vector LQ: $U_\mu \sim (\mathbf{3}, \mathbf{1}, 2/3)$

$$\mathcal{L}_{\text{LQ}} = g_U U_\mu \beta_{i\alpha} (\bar{Q}_L^i \gamma^\mu L_L^\alpha) + \text{h.c.}$$

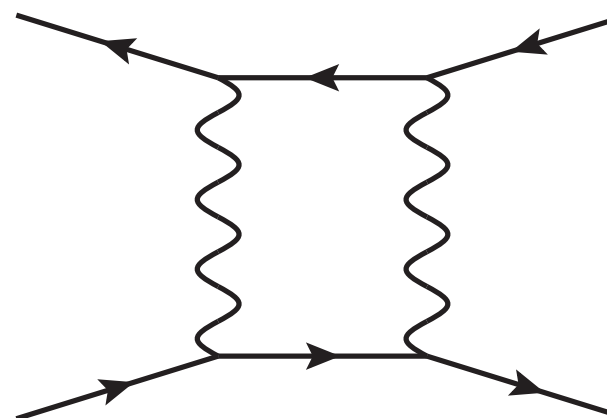
- $C_T = C_S$ automatically satisfied at tree-level

$$\mathcal{L}_{\text{eff}} \supset -\frac{1}{v^2} C_U \beta_{i\alpha} \beta_{j\beta}^* [(\bar{Q}^i \gamma_\mu \sigma^a Q^j)(\bar{L}^\alpha \gamma^\mu \sigma^a L^\beta) + (\bar{Q}^i \gamma_\mu Q^j)(\bar{L}^\alpha \gamma^\mu L^\beta)]$$

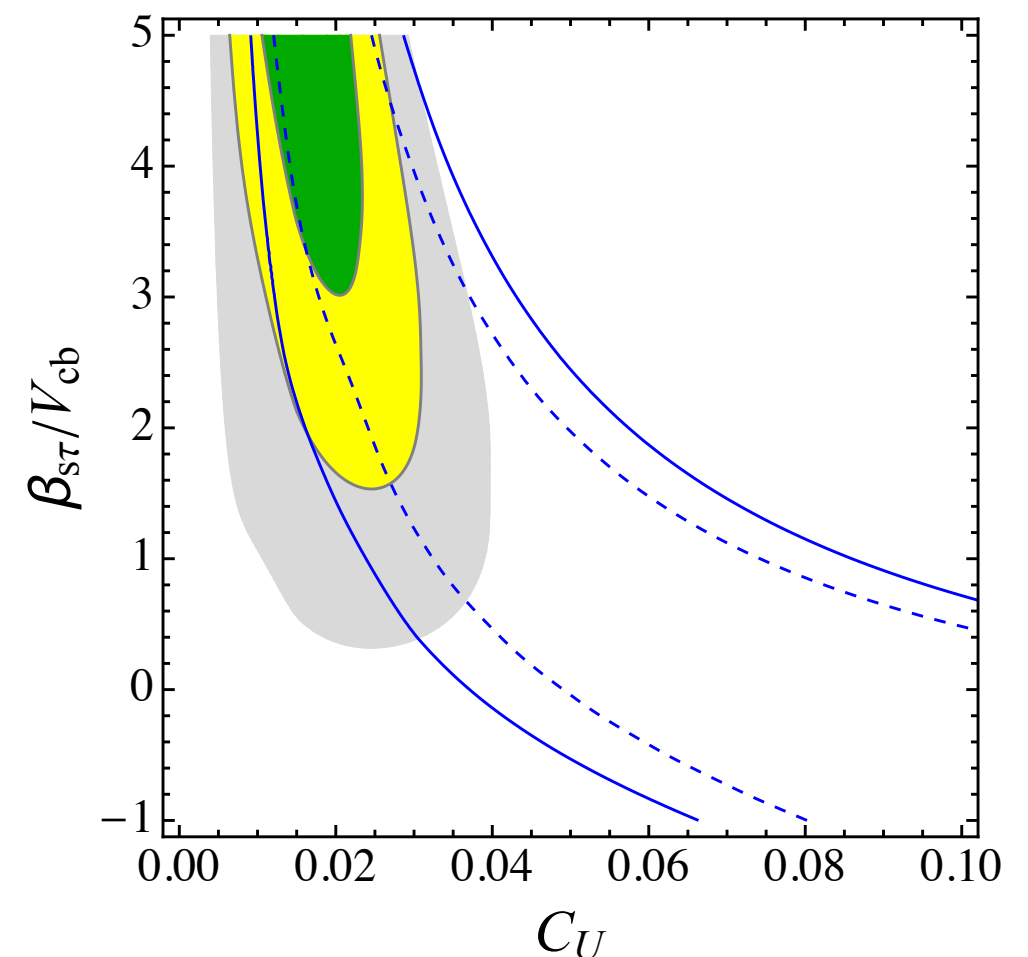
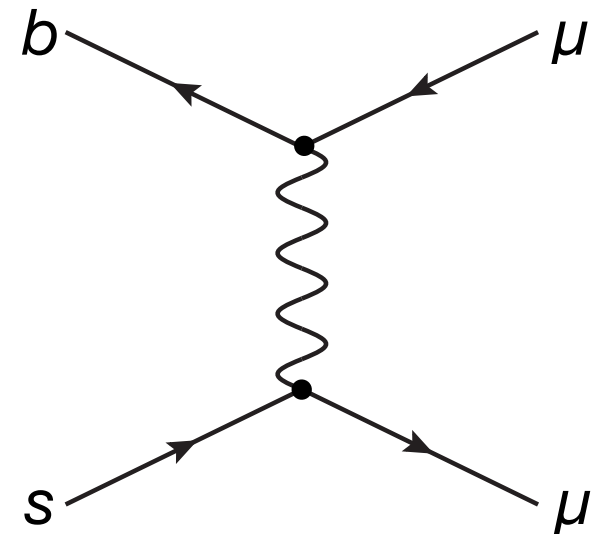
$$C_U = \frac{v^2 |g_U|^2}{2m_U^2}$$

- No tree-level contribution to $B_{(s)}-\bar{B}_{(s)}$ mixing, but UV contributions not calculable

naïve estimate:



$$\approx C_U |\beta_{s\tau}|^2 \frac{g_U^2}{(4\pi)^2}$$



UV completions: vector leptoquark

Leptoquark quantum numbers are consistent with Pati-Salam unification

$$SU(4) \times SU(2)_L \times SU(2)_R \supset SU(3)_c \times SU(2)_L \times U(1)_Y$$

Lepton number = 4th color

$$\psi_L = (q_L^1, q_L^2, q_L^3, \ell_L) \sim (\mathbf{4}, \mathbf{2}, \mathbf{1}),$$

$$\psi_R = (q_R^1, q_R^2, q_R^3, \ell_R) \sim (\mathbf{4}, \mathbf{1}, \mathbf{2}).$$

Gauge fields: $\mathbf{15} = \mathbf{8}_0 \oplus \mathbf{3}_{2/3} \oplus \bar{\mathbf{3}}_{-2/3} \oplus \mathbf{1}_0$

vector leptoquark U_1^μ

$$\begin{pmatrix} (G_\mu^a)_\beta^\alpha & U_\mu^\alpha \\ (U_\mu^\alpha)^\dagger & Z'_\mu \end{pmatrix}$$

- No proton decay: protected by gauge $U(1)_{B-L} \subset SU(4)$
- U_μ gauge vector: unitary couplings to fermions
 - ➔ bounds of O(100 TeV) from light fermion processes, e.g. $K \rightarrow \mu e$

UV completions: vector leptoquark

Non-universal couplings to fermions needed!

- **Elementary vectors:** color can't be completely embedded in $SU(4)$

$$SU(4) \times SU(3) \rightarrow SU(3)_c$$

Di Luzio et al. 2017
Isidori et al. 2017

only the 3rd generation is charged under $SU(4)$

- **Composite vectors:** resonances of a strongly interacting sector with global $SU(4) \times SU(2) \times SU(2)$

the couplings to fermions can be different (e.g. partial compositeness)

Barbieri, Tesi 2017

In all cases, additional heavy vector resonances (color octet and Z') are present

Searches at LHC!

➡ see M. Nardecchia's talk

$$\begin{pmatrix} (G_\mu^a)_\beta^\alpha & U_\mu^\alpha \\ (U_\mu^\alpha)^\dagger & Z'_\mu \end{pmatrix}$$

Composite scalar leptoquarks

- New strong interaction that confines at a scale $\Lambda \sim \text{few TeV}$

$$\Psi \sim \square, \quad \bar{\Psi} \sim \bar{\square} \quad N \text{ new (vector-like) fermions}$$

$$\langle \bar{\Psi}^i \Psi^j \rangle = -f^2 B_0 \delta^{ij} \quad \longrightarrow \quad \text{SU}(N)_L \times \text{SU}(N)_R \rightarrow \text{SU}(N)_V$$

(more in general $G \rightarrow F$)

- If the fermions transform under SM gauge group, also the Pseudo Nambu-Goldstone bosons have SM charges:

$$\Psi_Q \sim (\mathbf{3}, \mathbf{2}, Y_Q), \quad \Psi_L \sim (\mathbf{1}, \mathbf{2}, Y_L) \quad \longrightarrow \quad \begin{array}{l} S_1 \sim (\mathbf{3}, \mathbf{1}, Y_Q - Y_L), \\ S_3 \sim (\mathbf{3}, \mathbf{3}, Y_Q - Y_L), \end{array}$$

the scalar LQ are naturally light (pNGB) and couple to fermions

$$\begin{array}{l} \eta \sim (\mathbf{1}, \mathbf{1}, 0), \\ \pi \sim (\mathbf{1}, \mathbf{3}, 0), \dots \end{array}$$

$$\Psi_E \sim (\mathbf{1}, \mathbf{1}, -1), \quad \Psi_N \sim (\mathbf{1}, \mathbf{1}, 0) \quad \longrightarrow \quad H \sim (\mathbf{1}, \mathbf{2}, \pm 1/2)$$

composite Higgs as a pNGB can be included in the picture

- Vector resonances (with the same quantum numbers) are heavier

$$W'_\mu, B'_\mu, U_\mu, \dots$$

Conclusions & outlook

Is the SM breaking down in the flavour sector? We don't know...

- ➔ many new data in the coming years
- ➔ low scale: flavour measurements VS high-pT searches

Model-independent description: EFT

- CKM-like flavour violation
- Triplet and Singlet operators with similar size
- EWPT and meson mixing give important constraints

Leptoquarks are interesting!

Pati-Salam unification?!



Thank you for your attention!