

# Simulation of theories with a topological term

E. Follana, Universidad de Zaragoza

XQCD17 Pisa

Work in collaboration with:

V. Azcoiti (Universidad de Zaragoza)

G. Di Carlo (Laboratori Nazionali del Gran Sasso, INFN)

E. Royo (Universidad de Zaragoza)

A. Vaquero (The University of Utah)

- Motivation
- Difficulties
- Description of the methods
- Testing the methods
- The Schwinger model with a  $\theta$  term
- Looking towards QCD
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# Motivation

- To understand the behavior of QCD with a  $\theta$  term
  - Does QCD break CP symmetry at  $\theta = \pi$ ?
  - Reconstruct the  $\theta$ -dependence of observables, such as the topological charge, or the vacuum energy.
- Strong CP problem: why is  $\theta$  so small ( $\theta < 10^{-9}$ ) in nature?
- Directly relevant for axion physics: Peccei-Quinn mechanism

$$\theta(x) = \frac{a(x)}{f_a}, \quad \mathcal{L} = \cdots - \theta(x)q(x)$$

Relation between the axion mass and the topological susceptibility in QCD:

$$m_a^2(T) f_a^2 = \chi(T) = \lim_{V \rightarrow \infty} \frac{\langle Q^2 \rangle|_{\theta=0}}{V}$$

- Possible extensions to other systems with a sign problem: finite density, condensed-matter models (Hubbard), etc.



- The notorious sign problem:

$$\mathcal{L}_\theta^{Eucl} = i\theta q(x)$$

- The Euclidean action is not real, and the partition function cannot be interpreted as a probability distribution.
- **Standard simulation algorithms fail!**
- We can calculate fairly accurately observables which can be obtained from  $\theta = 0$  simulations.

$$\chi \sim \langle Q^2 \rangle, \langle Q^4 \rangle, \dots$$

# Two methods

- Two methods to deal with/evade the sign problem in theories with a “topological” term.
- Both methods use the same input data: simulations at imaginary values of the field,  $\theta = -ih$ , where the sign problem is absent.
- **Method I:** reconstruction of the probability distribution function of the topological charge at  $\theta = 0$ .
- **Method II:** smooth analytic extrapolation.

# Method I

Reconstruction of the probability distribution function of the topological charge at  $\theta = 0$  (Azcoiti et al, Phys.Rev.Lett.89.141601 (2002)).

Defining the density of topological charge as  $x_n = \frac{n}{V}$ :

$$\frac{Z_V(\theta)}{Z_V(0)} = \sum_n p_V(n) e^{i\theta n} = \sum_{x_n} e^{-V f_V(x_n)} e^{i\theta V x_n} = \sum_{x_n} e^{-V(f_V(x_n) - i\theta x_n)}$$

Let us suppose that in the infinite volume limit we can replace the sum by an integral:

$$\frac{Z_V(\theta)}{Z_V(0)} \approx \int e^{-V f(x)} e^{i\theta x V} dx$$

where  $f_V(x_n) \rightarrow f(x)$ .

$$\frac{Z_V(\theta)}{Z_V(0)} \approx \int e^{-Vf(x)} e^{i\theta x V} dx = \int e^{-V(f(x) - i\theta x)} dx$$

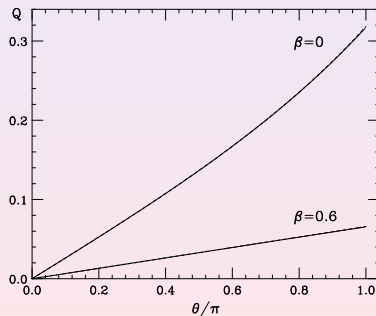
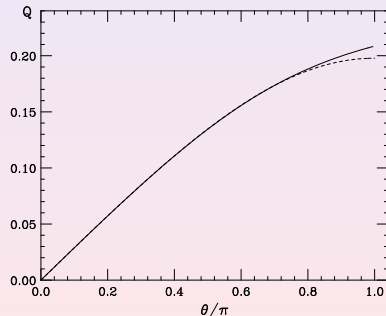
- Let us consider the expression above in the imaginary axis  $\theta = -ih$ , with  $h$  real. Then everything is positive definite, and the saddle point approximation gives  $f'(x) = i\theta = h$
- Obtain  $x$  as a function of  $h$  to high precision from numerical simulations at  $\theta = -ih$ .
- Fit  $f'(x)$  to a ratio of polynomials, and integrate the result analytically. This provides  $f(x)$  to high precision.
- Use a multiprecision algorithm to compute the partition function and the order parameter for  $\theta$  real using the  $f(x)$  previously calculated.

# Method I

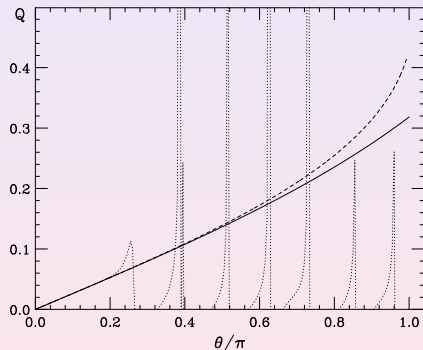
1-d AF Ising model with an imaginary magnetic field:

$$i\theta T \frac{1}{2} \sum_i S_i$$

$U(1)$  model in  $d = 2$ .



How do numerical errors in the determination of  $f(x)$  propagate?



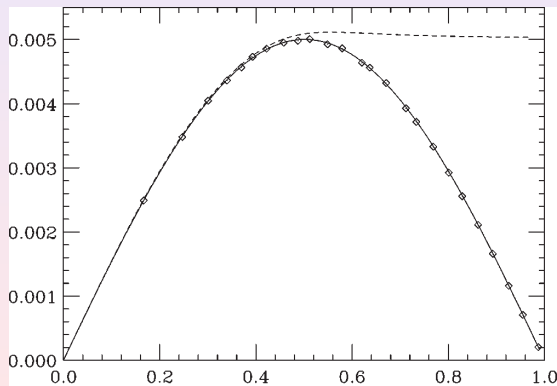
Small random error of  $10^{-3}$  in  $f(x)$ .

Large correlated error:  
 $f(x) \rightarrow f(x) \left(1 + \frac{1}{2} \sin(x^2)\right)$ .

# Method I

**Caveat:** When the order parameter is not monotonous, the method fails: flattening.

$$Z_V(\theta) = (1 + A \cos \theta)^V$$



(Azcoiti et al, Phys.Lett.B 563 117 (2003))

$$Z_V(\theta) \approx \sum_{x_n} e^{-V f_V(x_n)} e^{i\theta V x_n} = \sum_{y_n \geq 0} G_V(y_n) \left( \cos^2 \frac{\theta}{2} \right)^{y_n}$$

$G_V(y_n)$  is a functional of  $f_V(x_n)$

$$z = \cos \frac{\theta}{2}; \quad y(z) = \frac{x(\theta)}{\tan \frac{\theta}{2}}$$

- Simulations at imaginary  $\theta = -ih$  gives us access to the region  $z = \cosh \frac{\theta}{2} \geq 1$ , whereas the physical region is  $0 \leq z \leq 1$ .



- Scaling of  $z$ :  $y_\lambda(z) = y(e^{\lambda/2}z)$
- We study  $y_\lambda/y$  as  $y \rightarrow 0$
- If  $y(z)$  does not vanish at  $z > 0$ , and the quotient is smooth, we can hope to extrapolate to  $y = 0$ .  
At weak coupling we expect  $y$  to be small.

**Caveat:** If there is a phase transition in  $y$  we expect the method to fail.

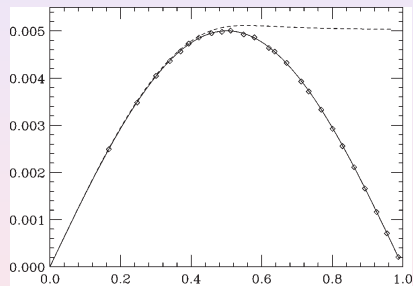
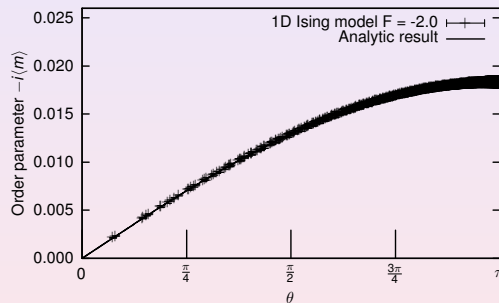
- We define

$$\gamma_\lambda = \frac{2}{\lambda} \log \left( \frac{y_\lambda}{y} \right) \quad (y \rightarrow 0)$$

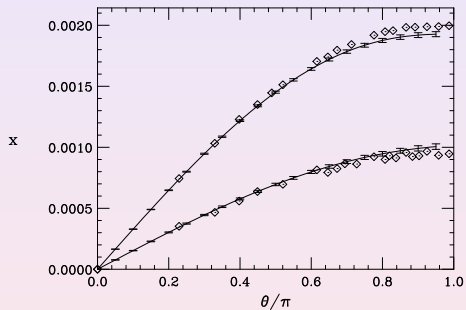
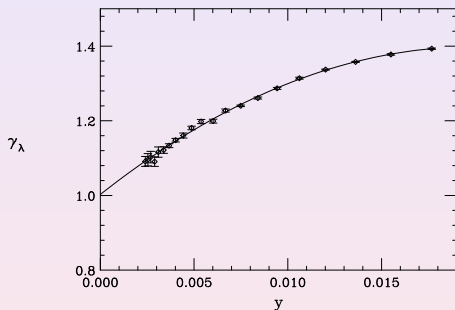
$$(\pi - \theta)^{\gamma_\lambda - 1} \quad (\theta \rightarrow \pi)$$

- $\gamma_\lambda \rightarrow 1$ : spontaneous symmetry breaking at  $\theta = \pi$
- $\gamma_\lambda \in (1, 2)$ : second order phase transition at  $\theta = \pi$ , with a divergent susceptibility.
- $\gamma_\lambda \rightarrow 2$ : the symmetry is realized at  $\theta = \pi$ , and the free energy is analytic.
- In contrast with method I, this method has shown good results also for systems that do not break the symmetry at  $\theta = \pi$ .

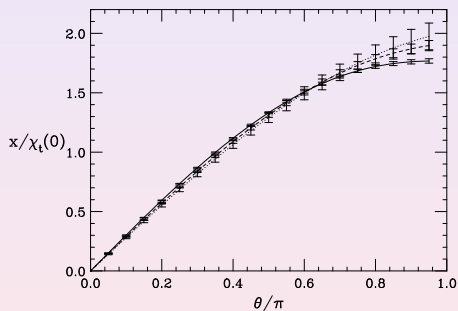
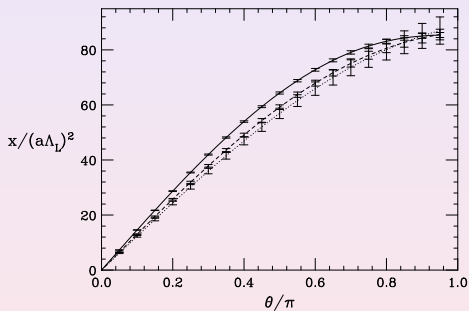
# Method II



(Azcoiti et al., Nucl.Phys.B 851  
(2011) 420)



(Azcoiti et al., Phys.Rev.D 69 (2004) 056006 )



# Schwinger model with a $\theta$ term

2d electrodynamics:

$$S = \int d^2x \{ \bar{\psi} \gamma_\mu (\partial_\mu + iA_\mu) \psi + m \bar{\psi} \psi + \frac{1}{4e^2} F_{\mu\nu}^2 + \frac{i\theta}{4\pi} \epsilon_{\mu\nu} F_{\mu\nu} \}$$

- Toy model for QCD
- A model with fermions.
- Confining
- Has non-trivial topology, axial anomaly, non-vanishing value of the chiral condensate in the 1-flavor case.

# Schwinger model with a $\theta$ term

- At large fermion mass, it tends to pure gauge two-dimensional electrodynamics (exactly solvable)  $\rightarrow$  spontaneous symmetry breaking.
- At small fermion mass no symmetry breaking:

$$\langle q \rangle = m\Sigma \sin \theta + O(m^2)$$

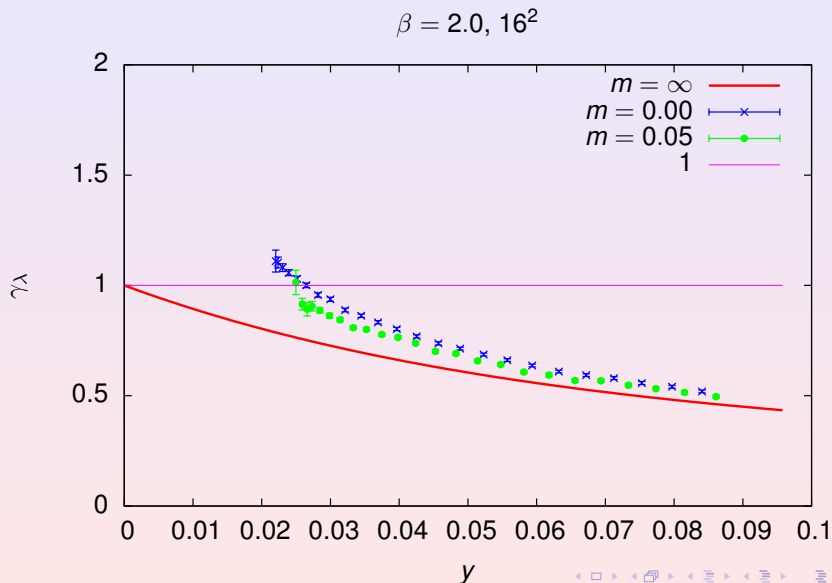
- We therefore expect a critical point separating large and small fermion masses.  
(Coleman, Ann. of Phys.101, (1976) 239; Hamer et al., Nucl.Phys.B208 (1982) 413; Byrnes et al., Phys.Rev.D 66 (2002) 013002; Shimizu et al., Phys.Rev.D 90 (2014) 014508).

# Schwinger model with a $\theta$ term

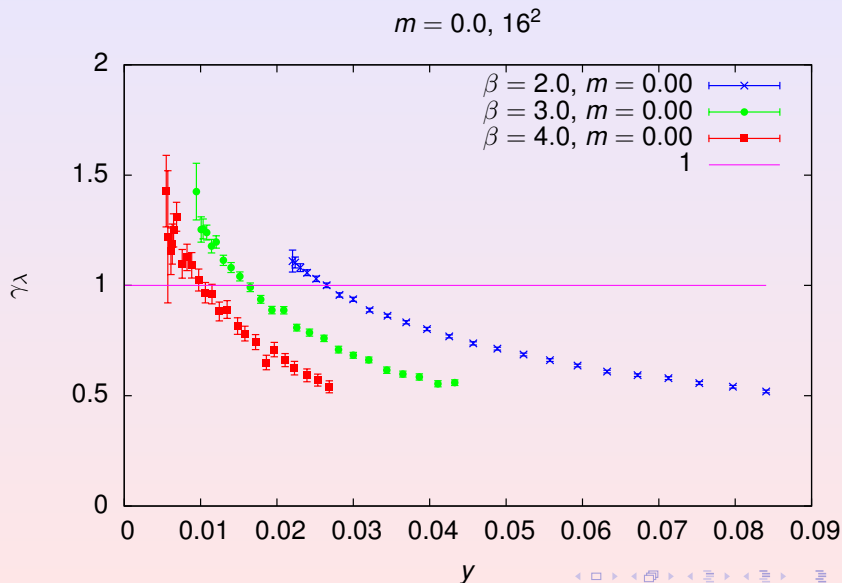
- Simulations with a standard Metropolis algorithm: wasteful, requires the calculation of the entire spectrum of the staggered Dirac operator for each change in the gauge field.
- Three values of  $\beta$ : 2.0, 3.0, 4.0
- Three values of  $m$ : 0, 0.05 and 0.5
- $\approx 10^5$  measurements per point.



# Schwinger model with a $\theta$ term

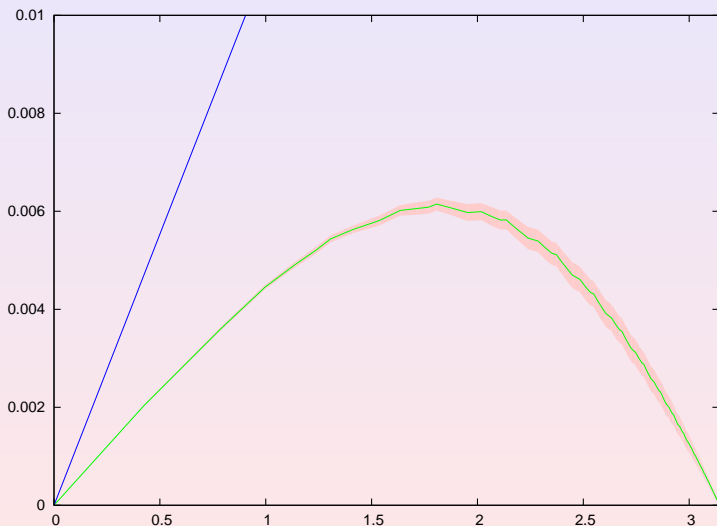


# Schwinger model with a $\theta$ term



# Schwinger model with a $\theta$ term

$\beta = 3.0$ ,  $m = 0$  and  $m = \infty$

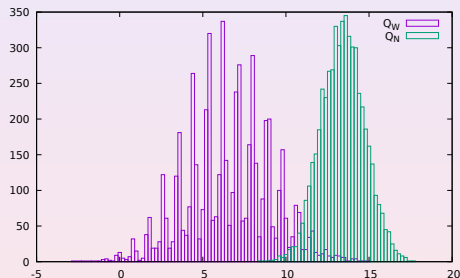
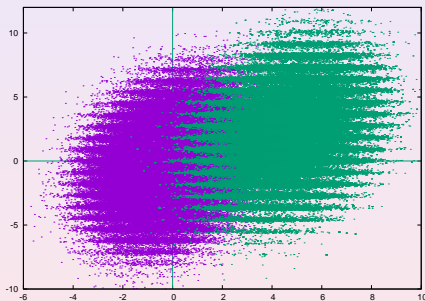


- In principle the methods described should work also for QCD with a  $\theta$  term.
- Much more expensive numerically, especially with light quarks (which seem essential).
- Much more complicated implementation of the topological charge operator  $Q$ .

# Looking towards QCD

- Geometrical Q: Either gluonic definition or through the eigenvectors of the overlap Dirac operator.
  - Integer
  - No renormalization
  - Numerically very expensive to calculate
- Definition through the Wilson flow
  - Quasi-integer
  - Numerically expensive
- Naive discretization
  - Numerically cheap
  - Non-integer
  - Requires a large renormalization

# Looking towards QCD



# Conclusions and outlook

- There are now methods that can treat systems with a  $\theta$ -like term.
- They have been tested in a wide variety of models.
- The methods described here should be applicable to QCD with a  $\theta$  term.
- We are starting simulations, first in quenched QCD, to test concrete implementations of both the dynamics and the topological charge operator.
- One likely problem is the topological freezing at small lattice spacings  $a < .05$  fm.