

Borexino workshop  
“Recent developments in  
neutrino physics and  
astrophysics”  
LNGS & GSSI  
September 2017

# New determinations of mixing parameters with atmospheric neutrinos

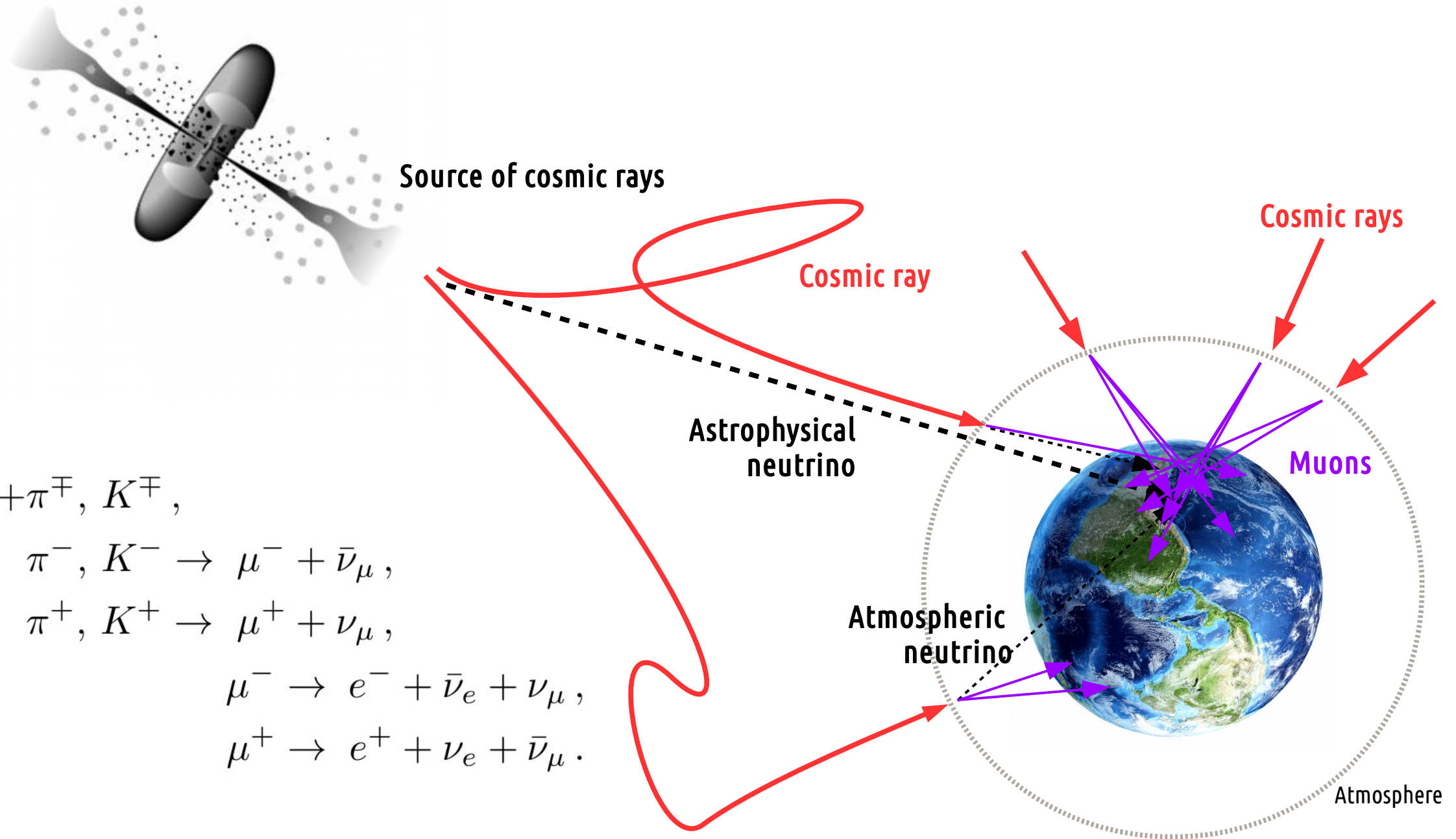
Juan-Pablo Yáñez

[j.p.yanez@ualberta.ca](mailto:j.p.yanez@ualberta.ca)

- **modeling** atmospheric neutrinos
- **oscillations** in atmospheric nu's
- recent experimental **results**
- **future** experiments

**modeling  
atmospheric  
neutrinos:  
what's new?**

# from cosmic rays to neutrinos



$$CR + N \rightarrow X + \pi^\mp, K^\mp,$$

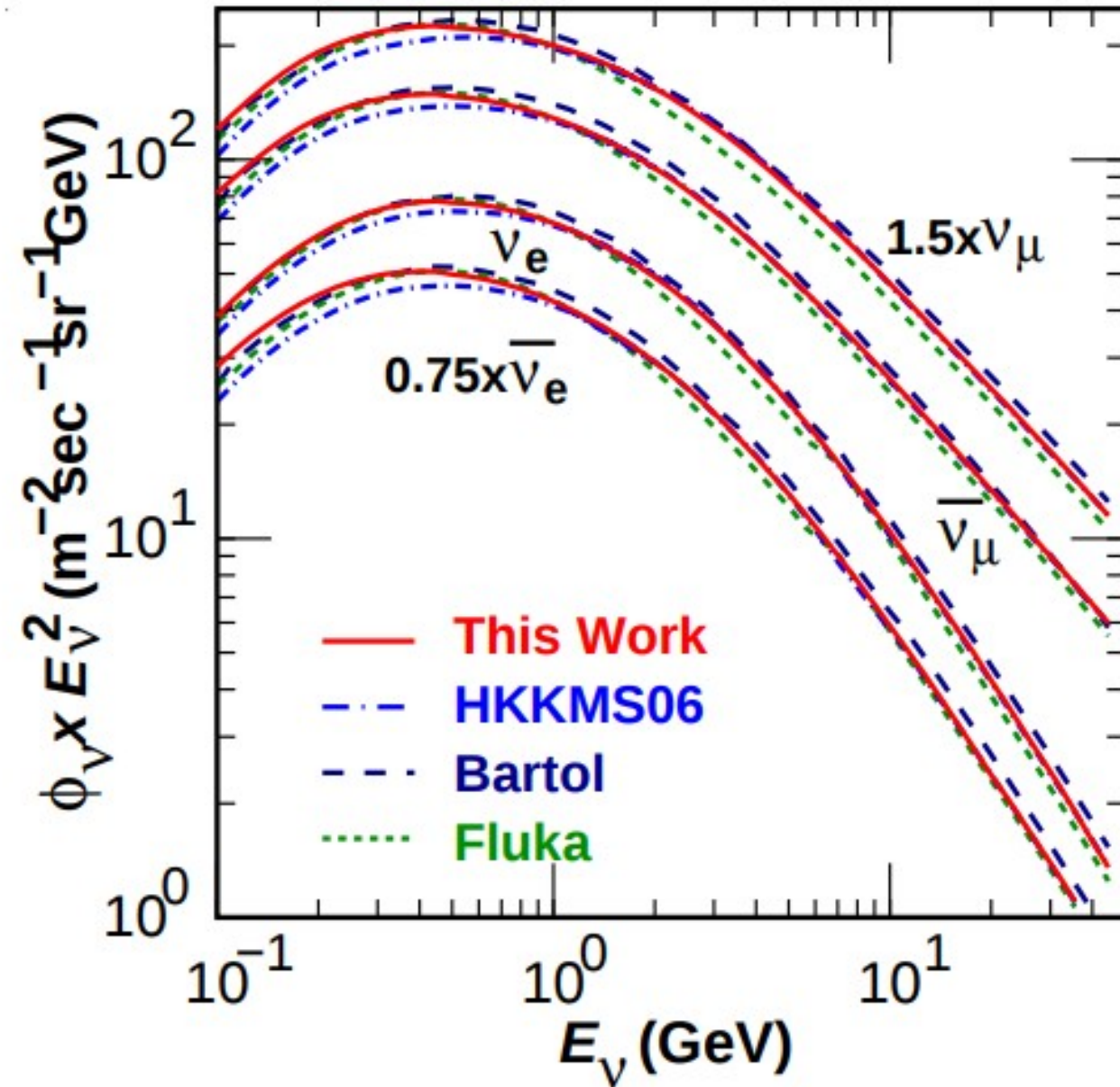
$$\pi^-, K^- \rightarrow \mu^- + \bar{\nu}_\mu,$$

$$\pi^+, K^+ \rightarrow \mu^+ + \nu_\mu,$$

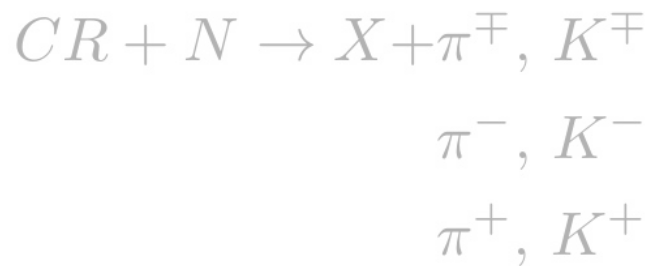
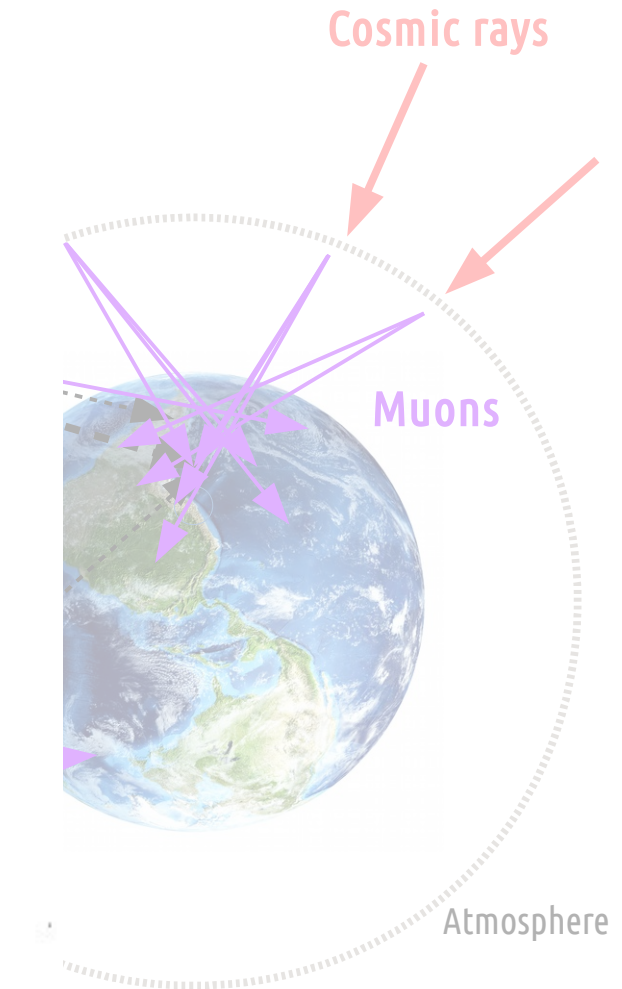
$$\mu^- \rightarrow e^- + \bar{\nu}_e + \nu_\mu,$$

$$\mu^+ \rightarrow e^+ + \nu_e + \bar{\nu}_\mu.$$

# from cosmic rays to neutrinos



Phys.Rev.D83:123001,2011

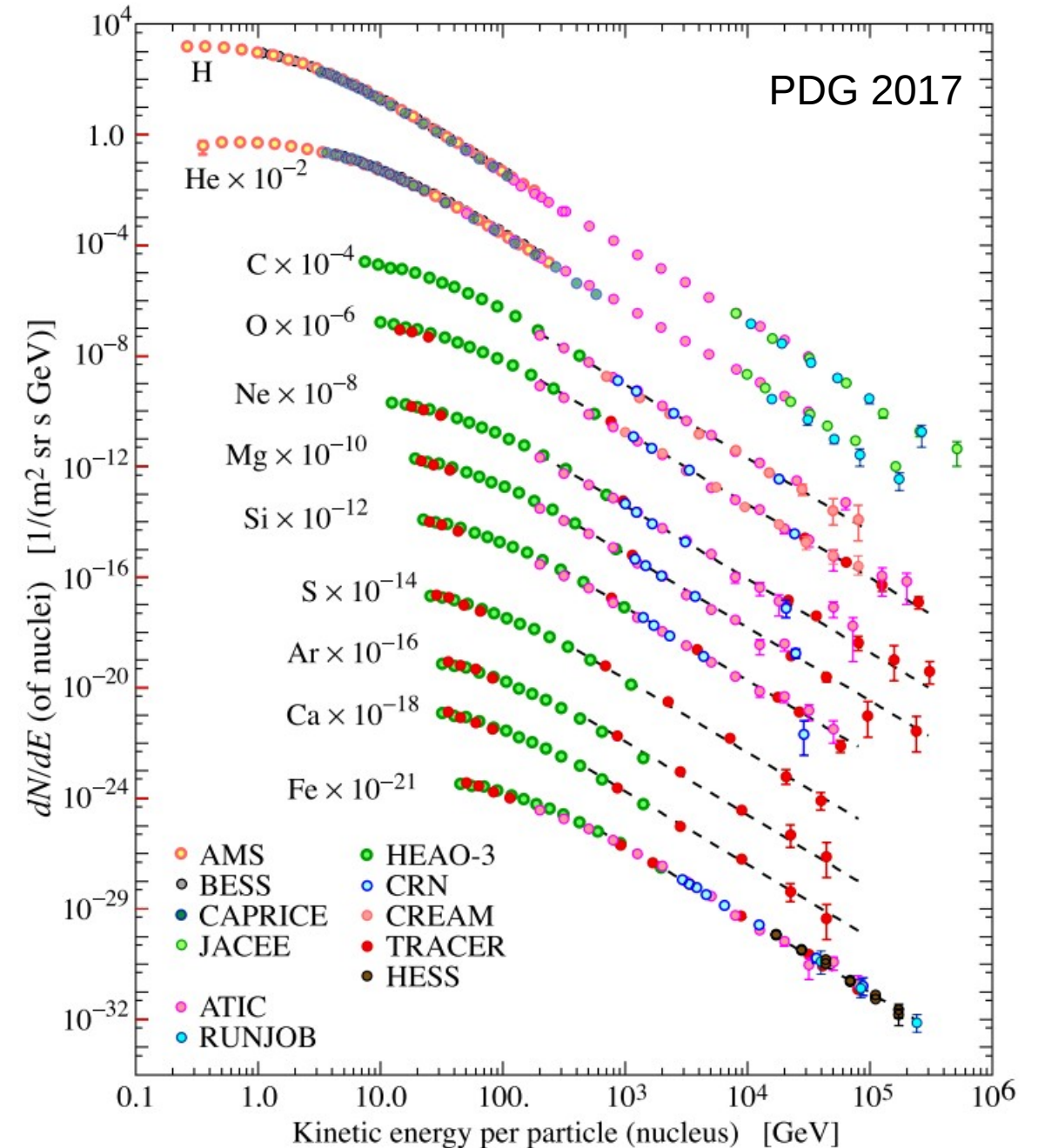


# flux basic inputs

-**cosmic ray flux**

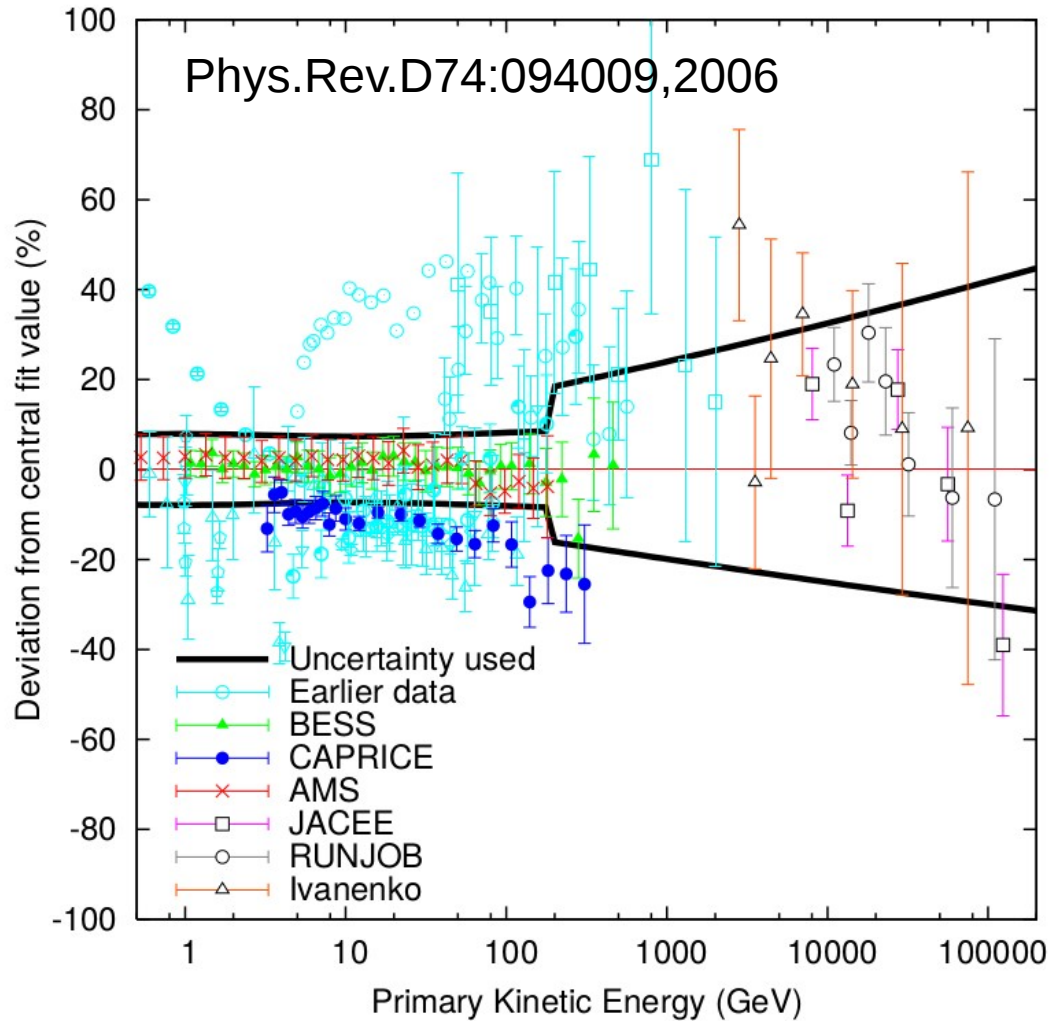
-**4 new measurements in last ~10 years (AMS-II, PAMELA, Cream, BESS)**

-**more detailed flux characterization**

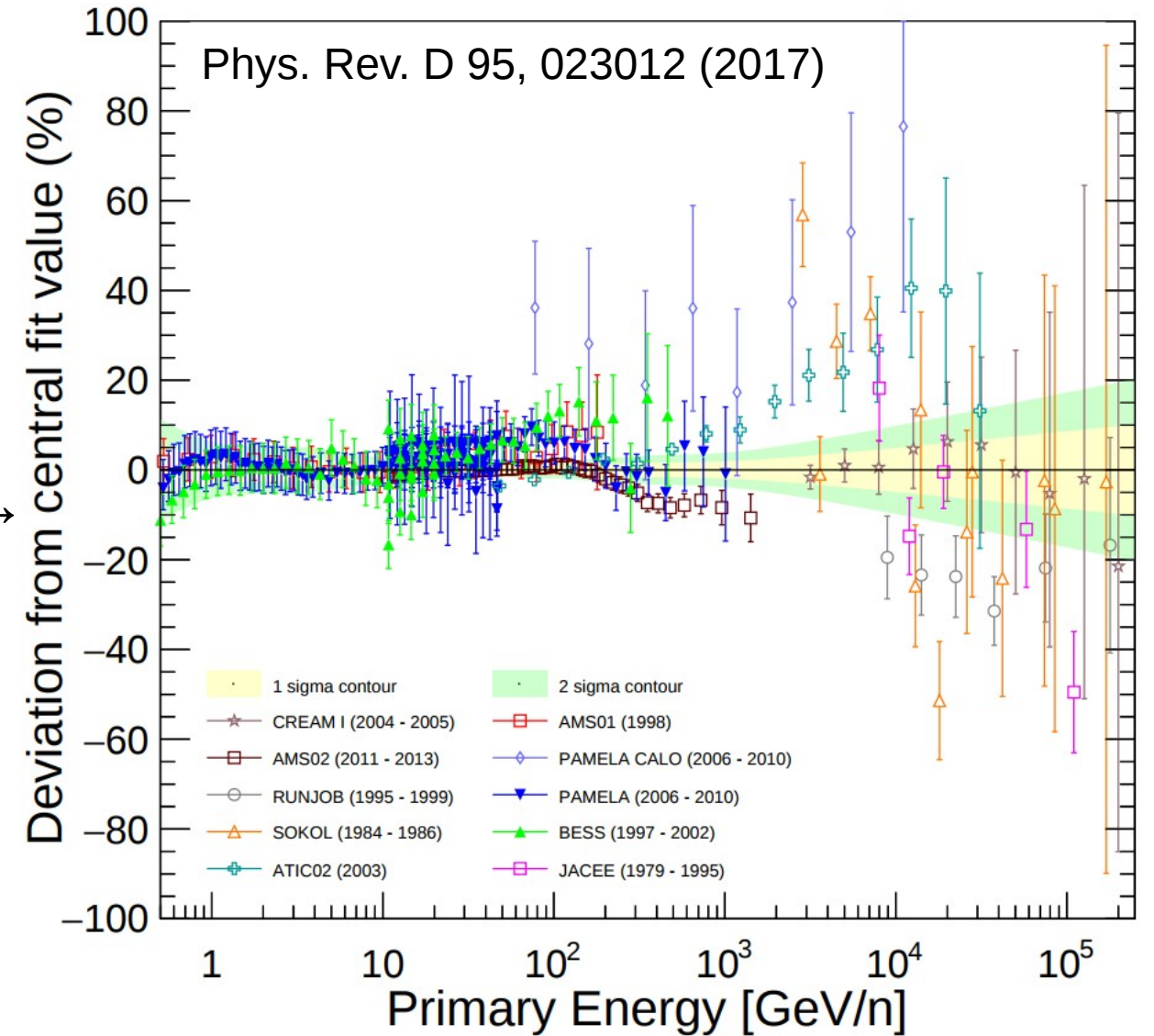


# flux basic inputs

## -cosmic ray flux



→ 10 years →

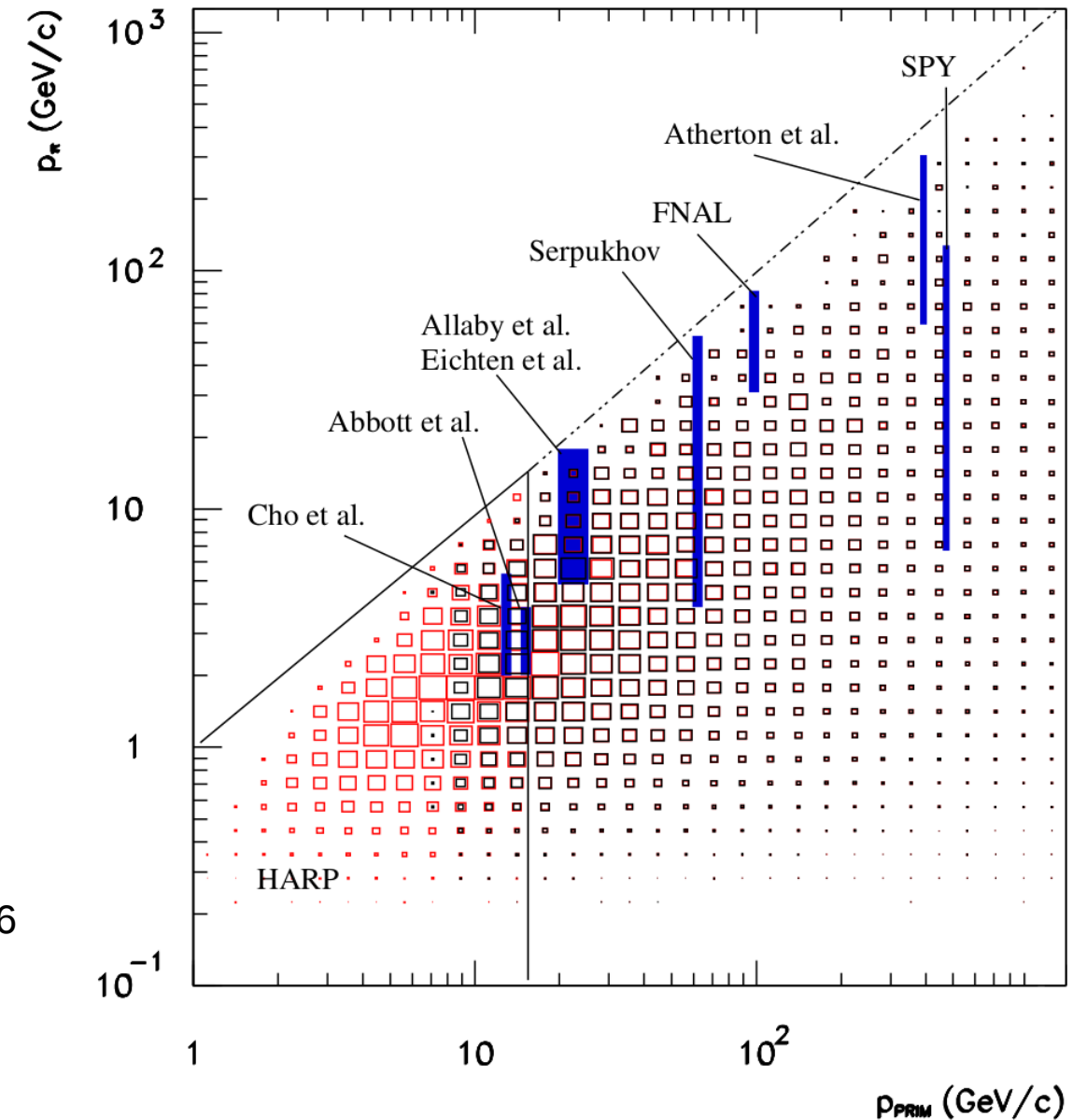


# flux basic inputs

## -hadronic interactions

**Regions** measured in **color**  
**Boxes** correspond to phase space relevant to atmospheric neutrinos that could be measured (MC)  
Red/black are geomagnetic effects

Phys.Rev.D74:094009,2006





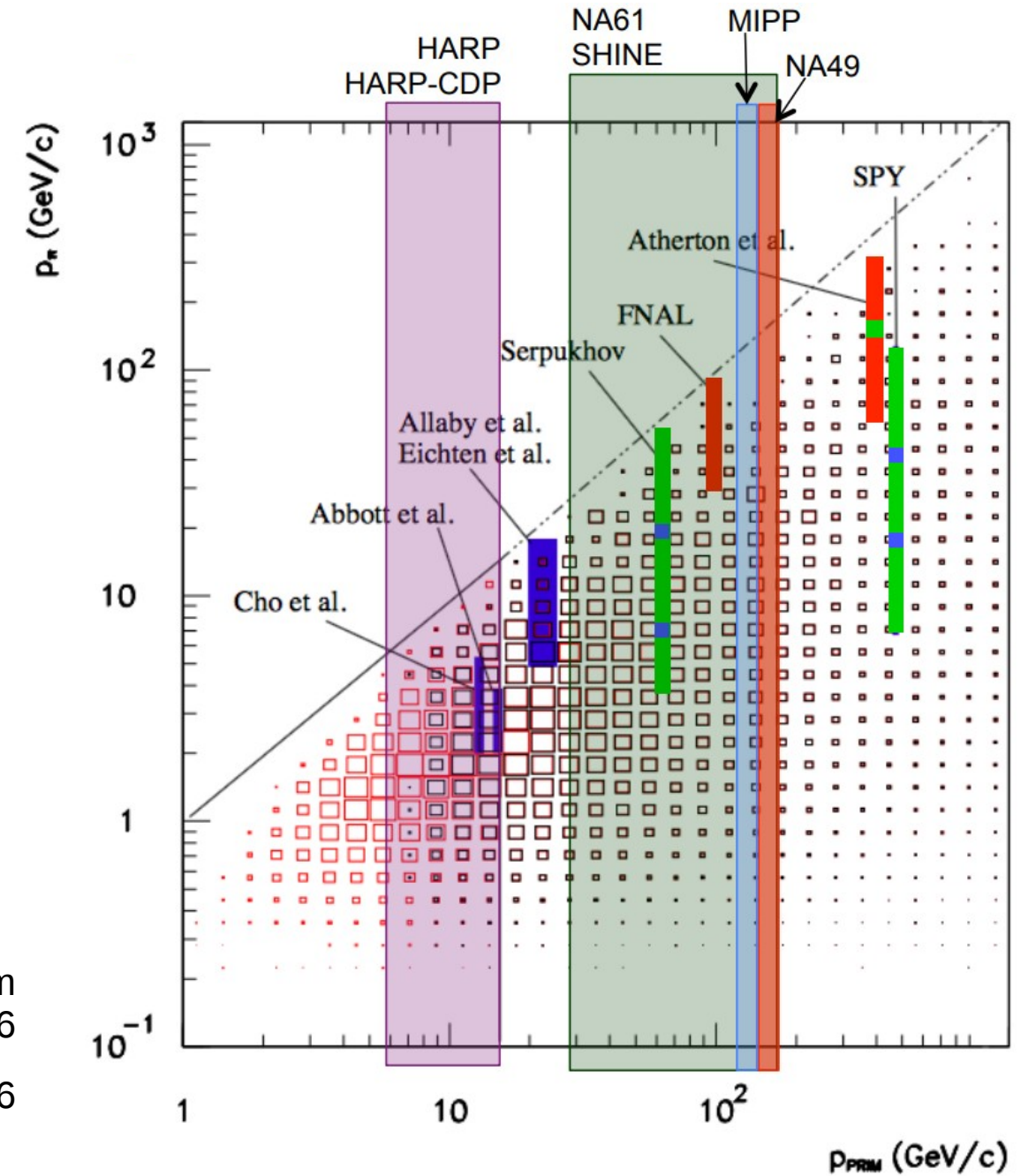
# flux basic inputs

## -hadronic interactions

**Regions** measured in **color**  
**Boxes** correspond to phase space relevant to atmospheric neutrinos that could be measured (MC)

Updated from  
Phys.Rev.D74:094009,2006

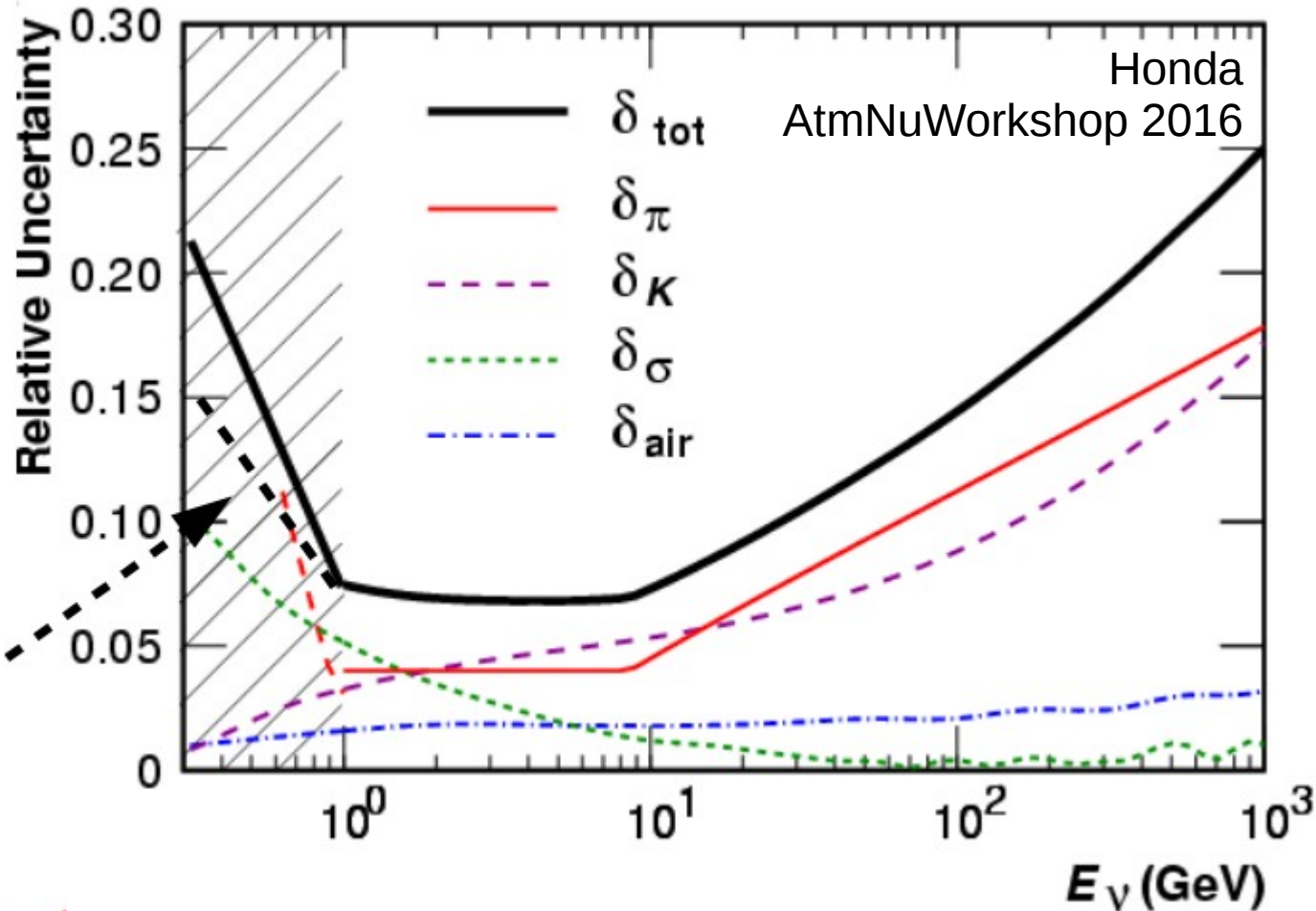
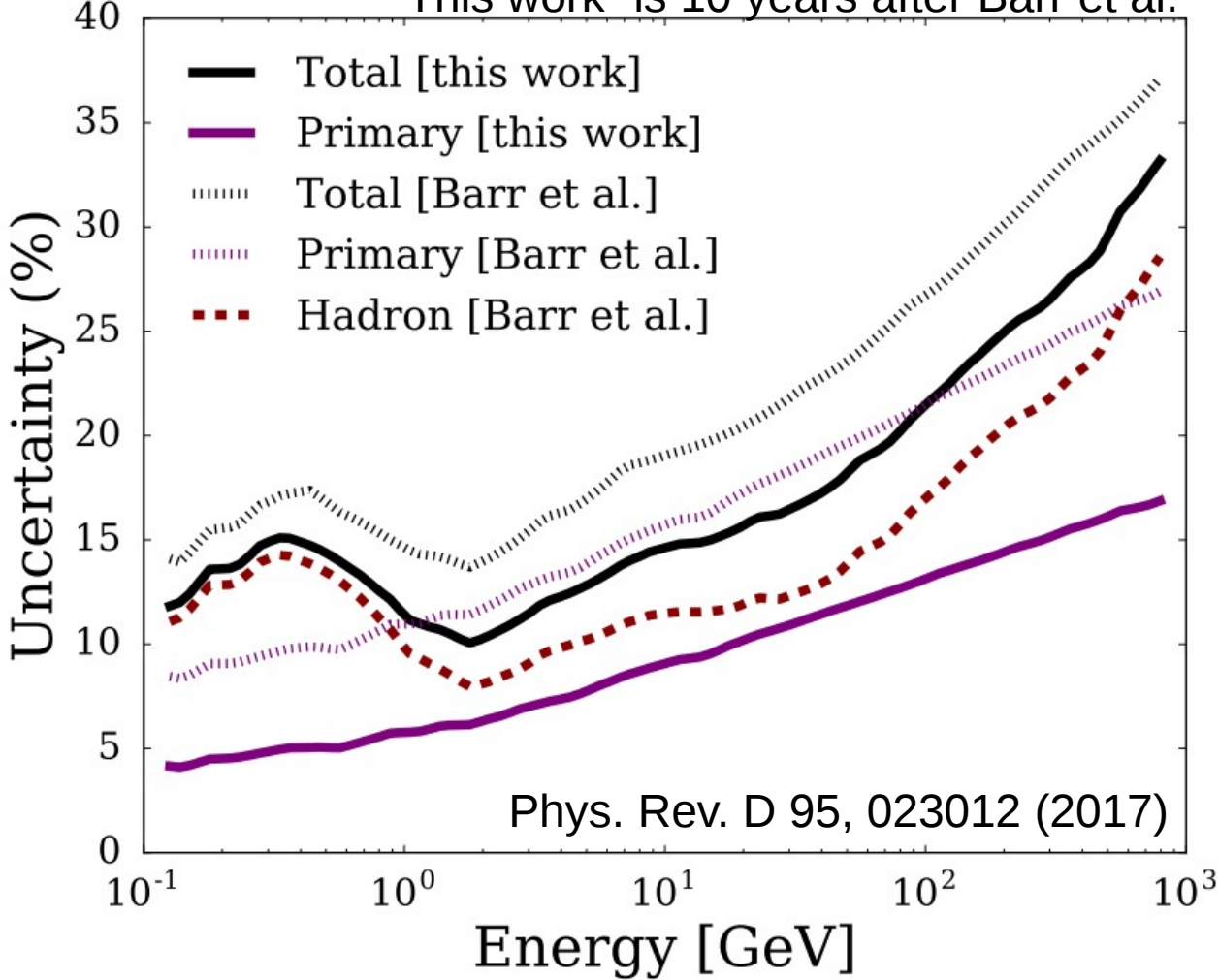
Barr, AtmNuWorkshop16



# atmospheric neutrino flux

## -estimated **uncertainties**

“This work” is 10 years after Barr et al.



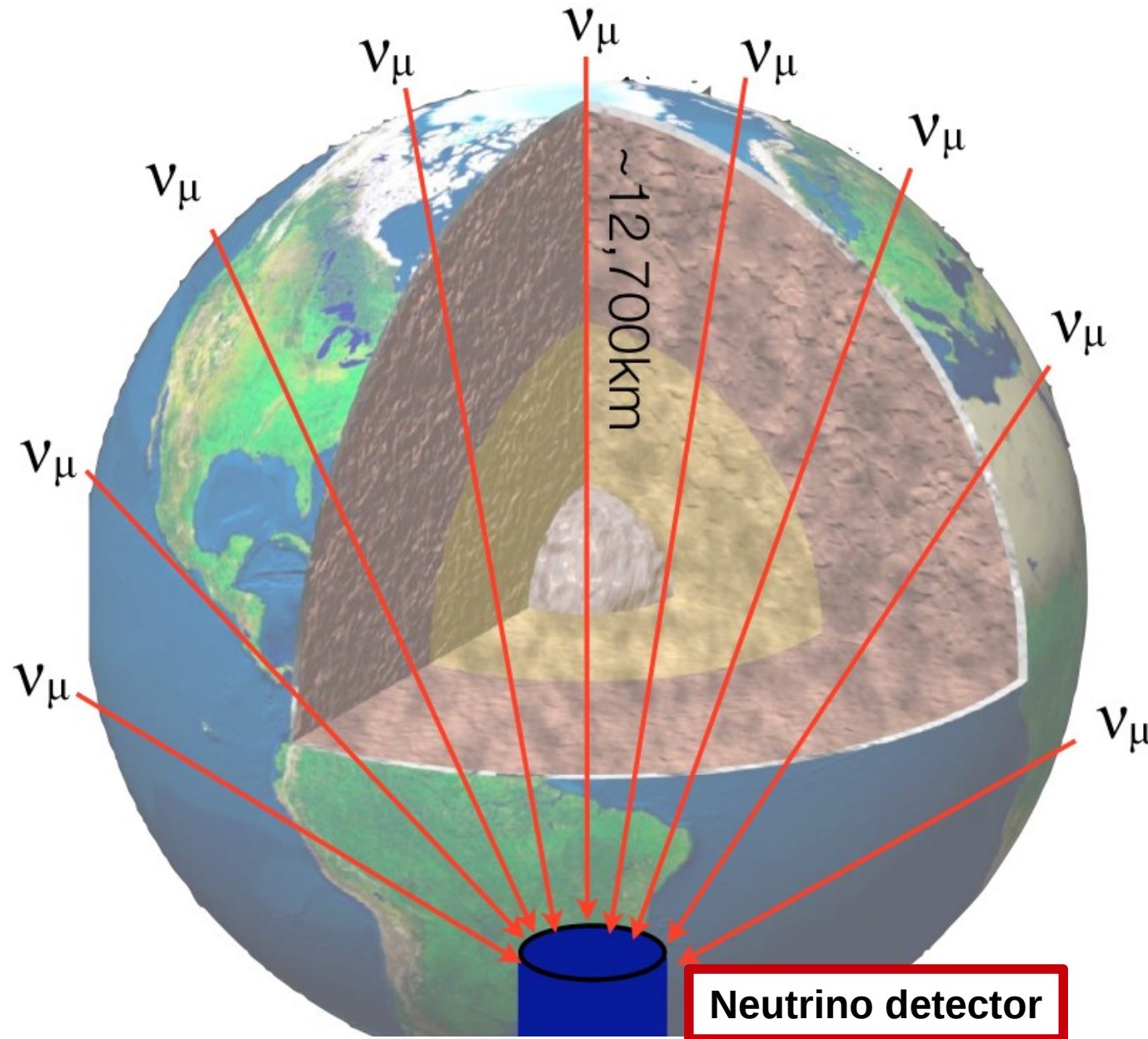
# atmospheric neutrino flux

improvements from last decade

- better **input** measurements
  - CR and had. int. **errors reduced**
- uncertainties** under scrutiny
- renewed **efforts & tools**

# oscillations in atmospheric v

# wide baseline, energy range

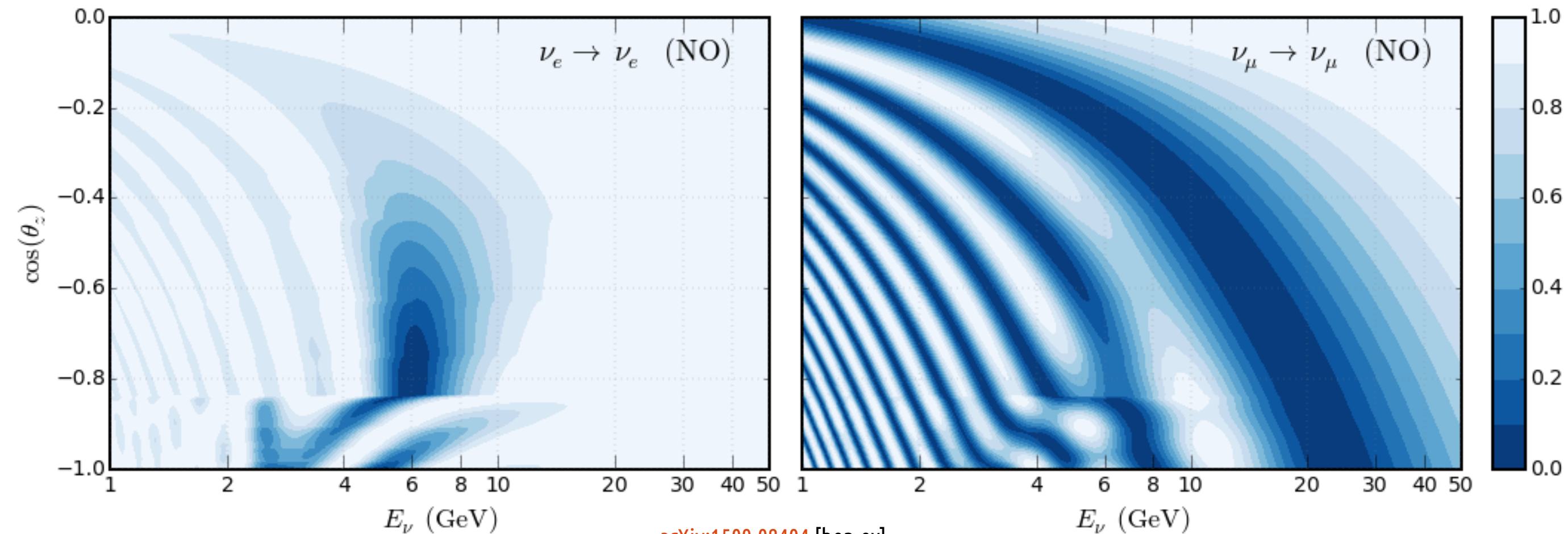


direction  $\rightarrow$  **baseline**  
 $\sim 10\text{km} - \sim 12,700\text{km}$

different  **$e^-$  density**  
along paths

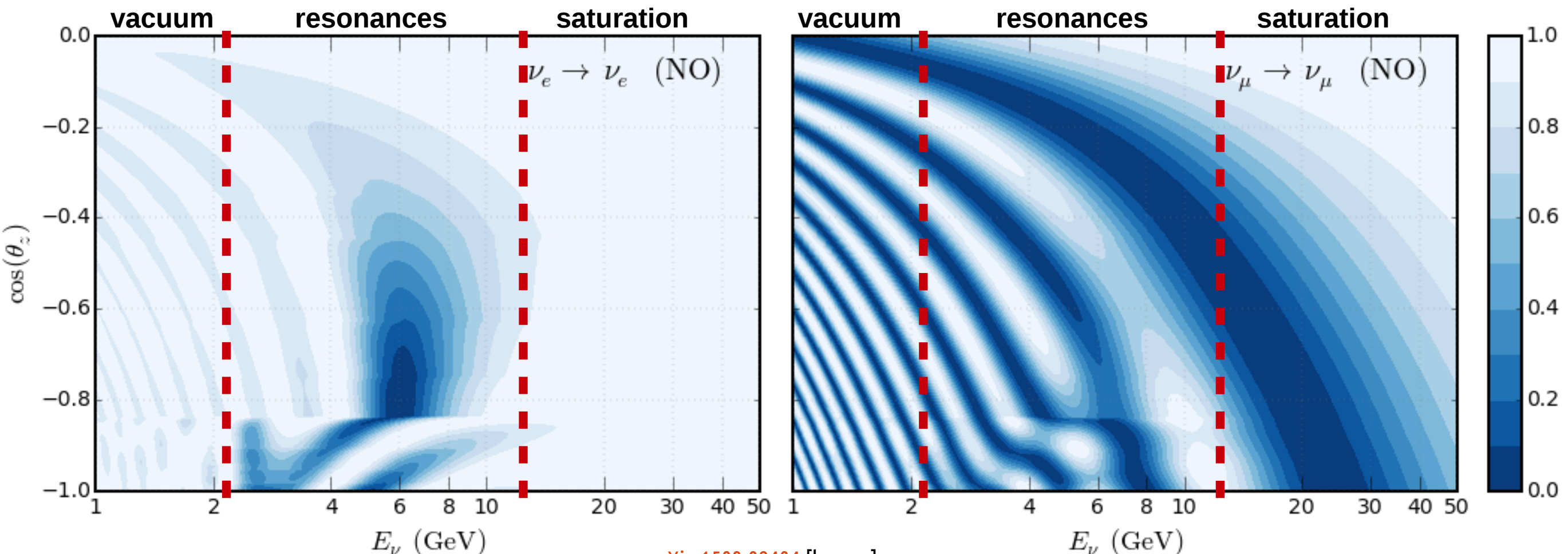
Borrowed from T. DeYoung

# survival probabilities



arXiv:1509.08404 [hep-ex]

# survival probabilities



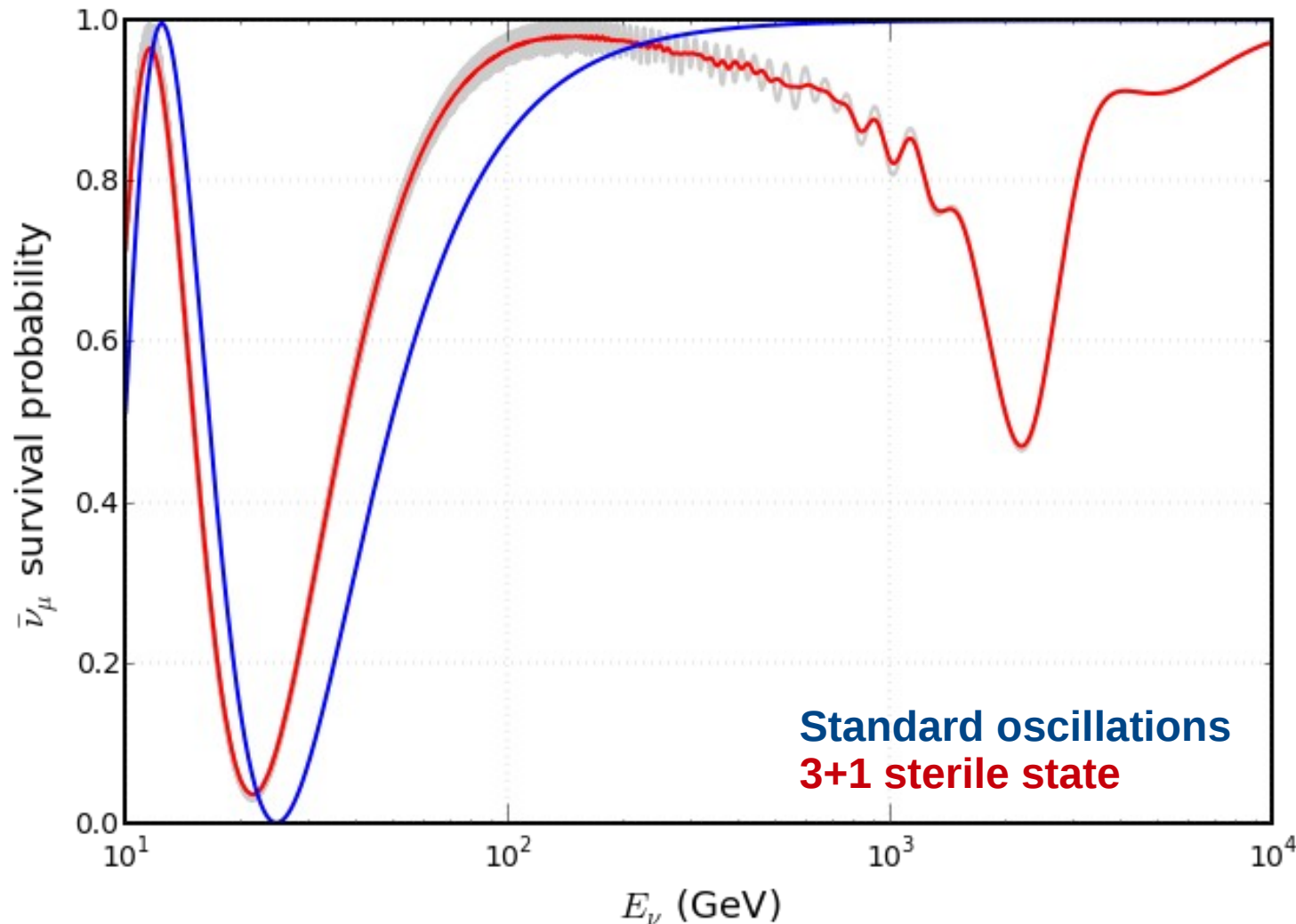
arXiv:1509.08404 [hep-ex]

vacuum:  $|\Delta m_{32}^2| \theta_{23} \theta_{13}$

resonance:  $\Delta m_{32}^2$

saturation:  $|\Delta m_{32}^2| \theta_{23}$   
 $\nu_\tau$  appearance

# exotic possibilities



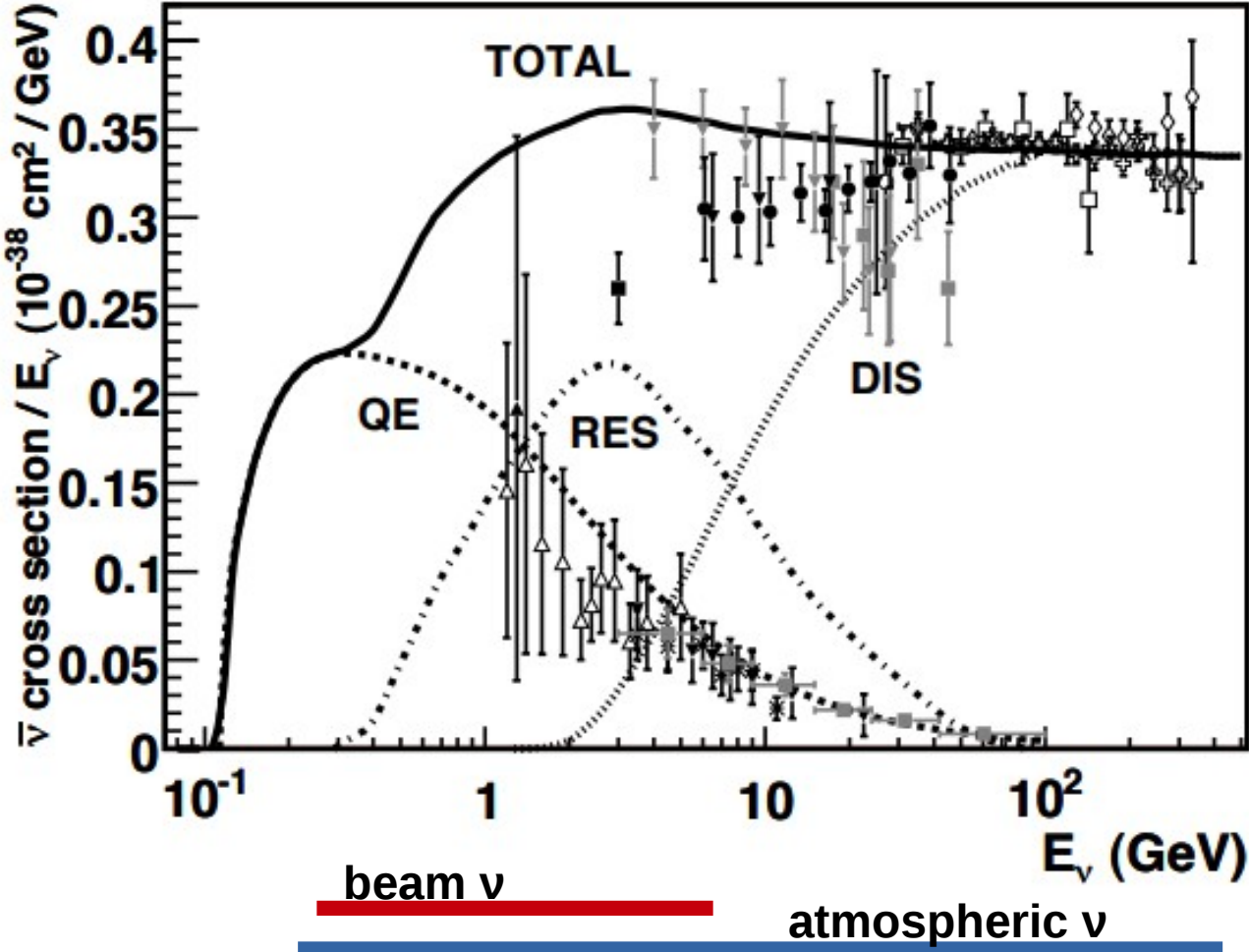
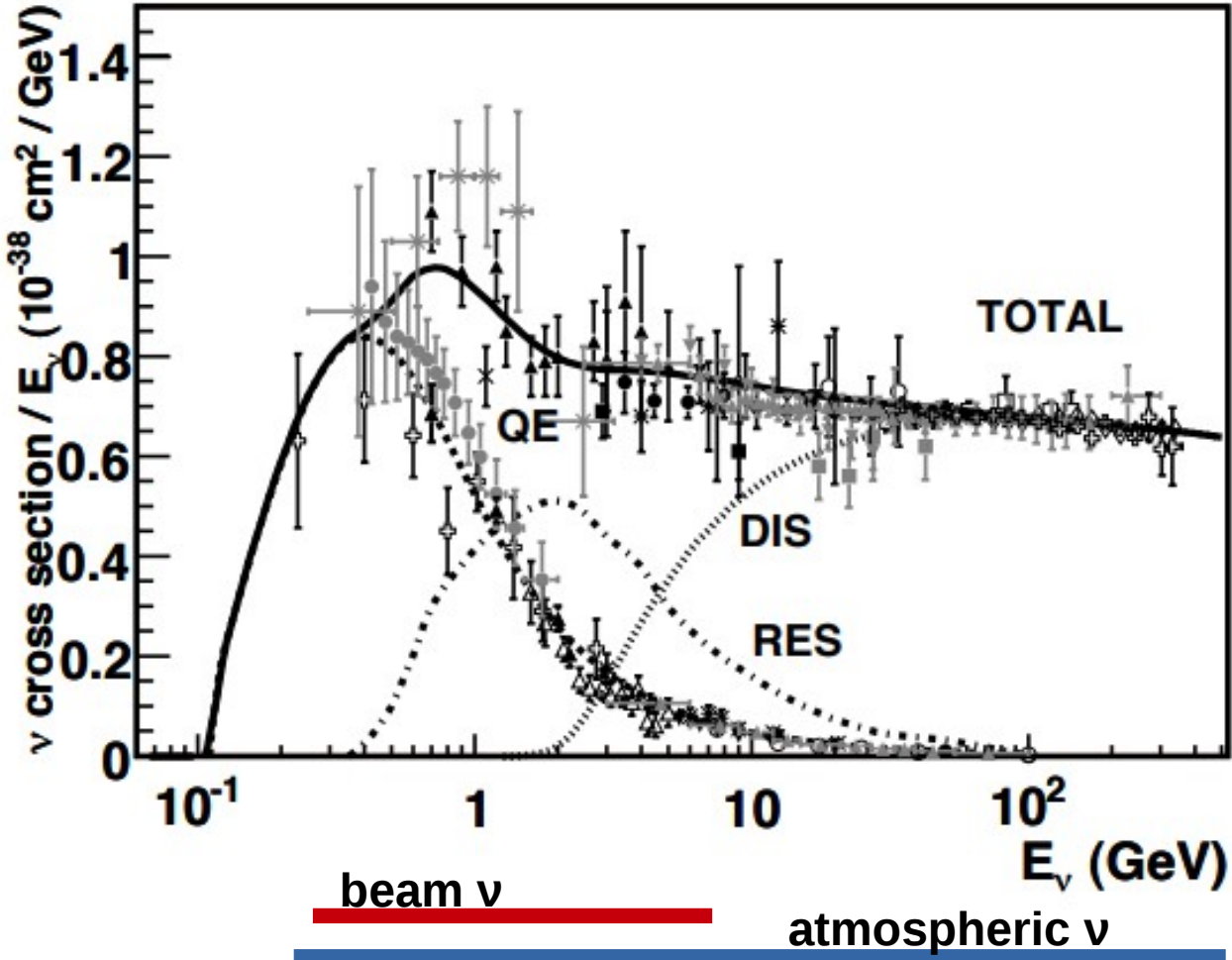
for  $\cos\theta = -1$  (crossing all of the Earth)

- sterile neutrinos
- **modify** std. oscillations effect
- **add** modulation at TeV energies
- modify probs.  $\mu \rightarrow \tau$  &  $\mu \rightarrow \mu$

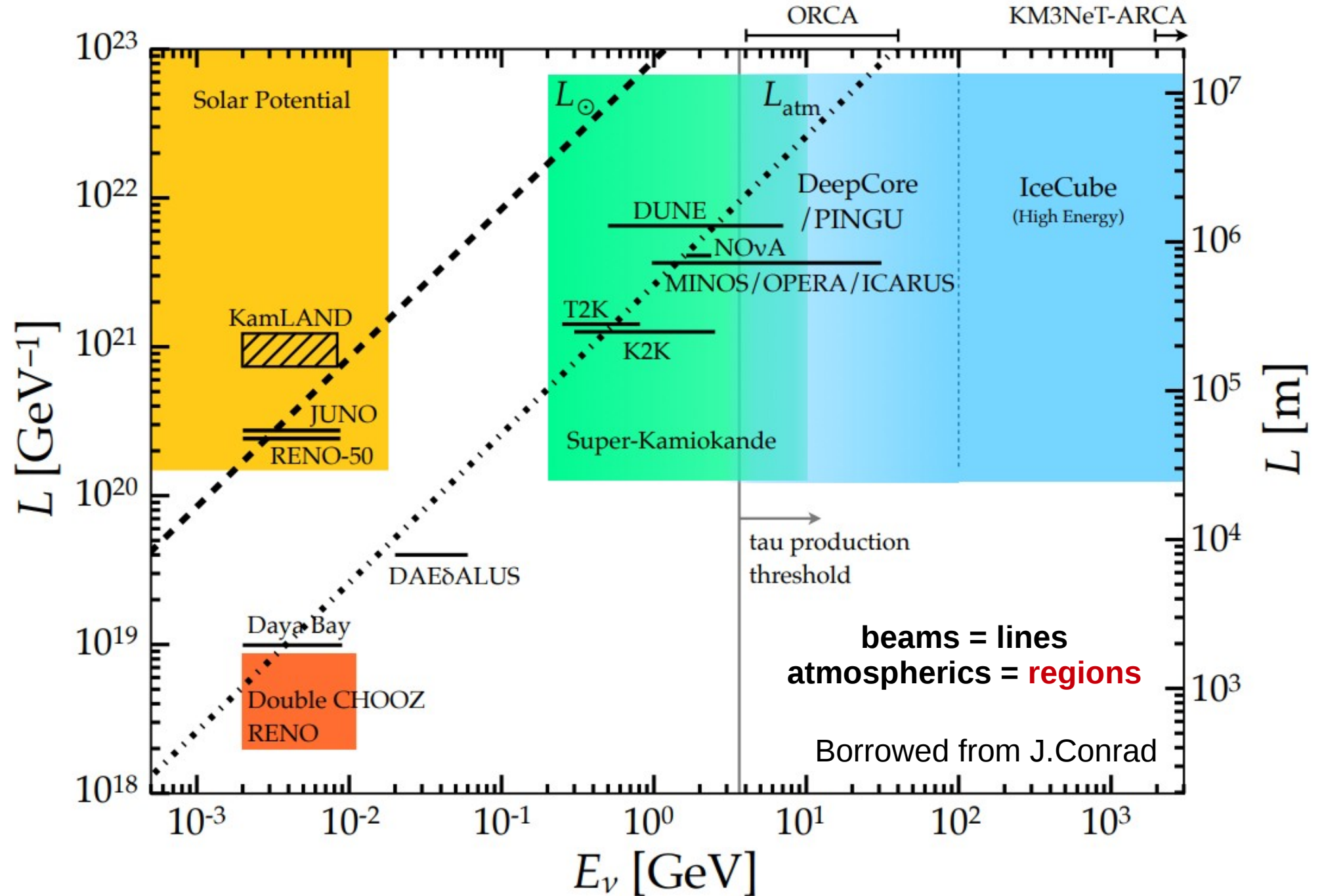


# relevant interactions

Rev. Mod. Phys. 84, 1307 (2012)

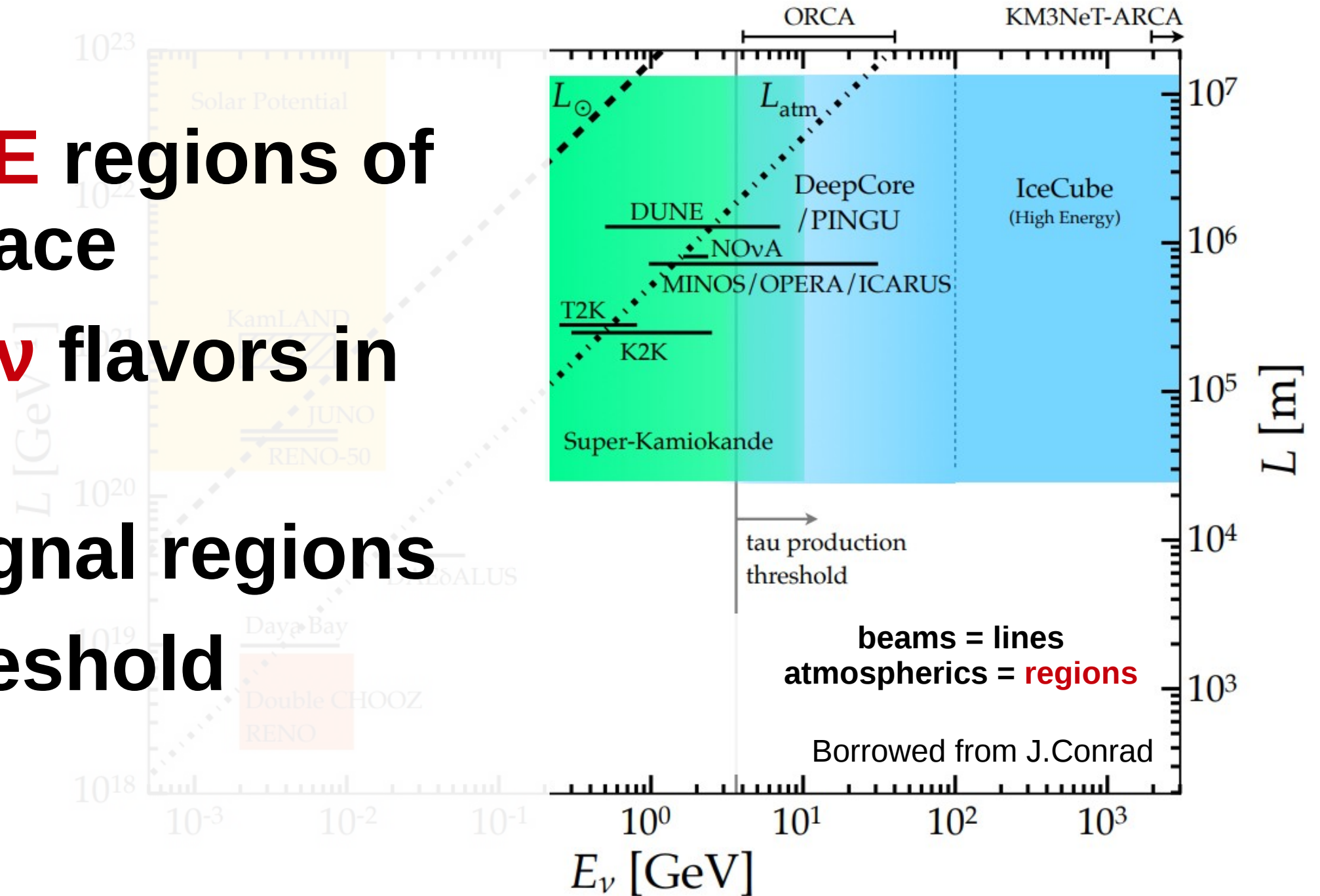


# multi-dimensional constrains



# multi-dimensional constrains

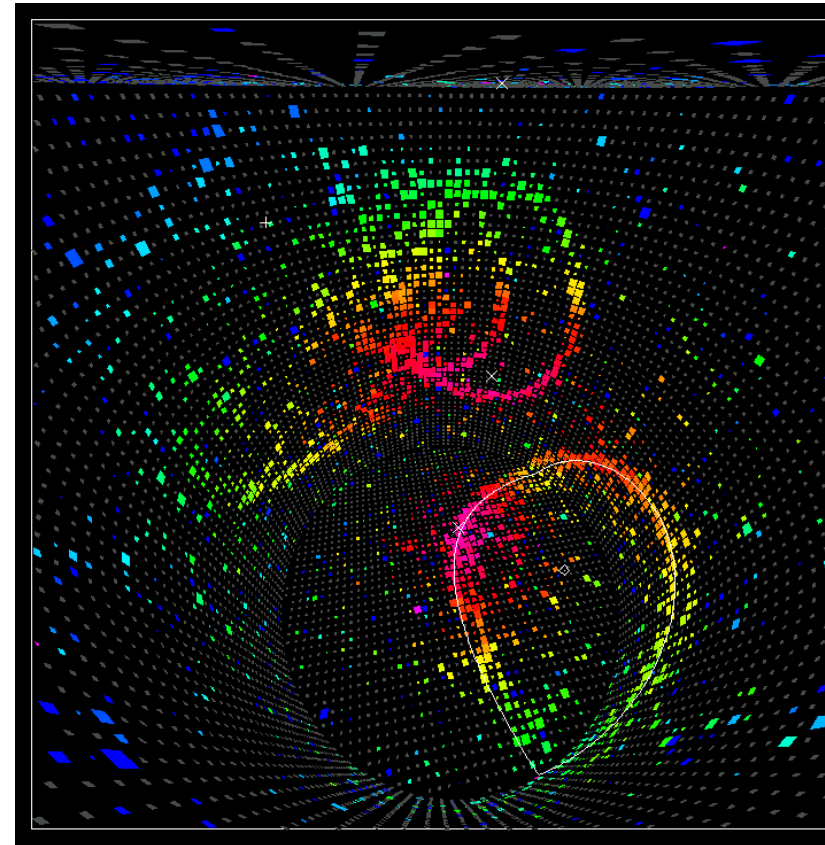
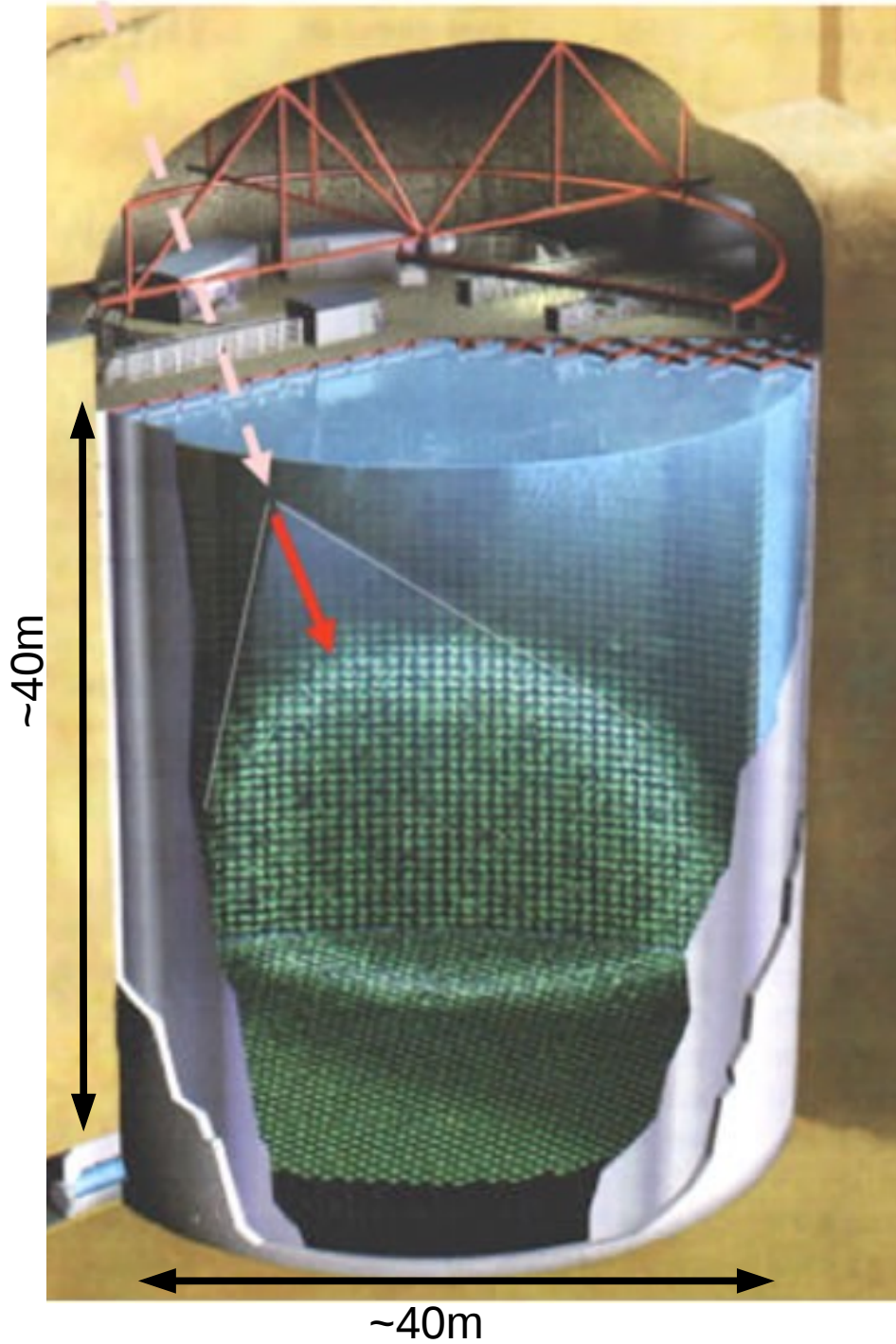
- large **L&E** regions of phase space
- 2  **$\nu$ , anti- $\nu$**  flavors in “beam”
- on/off signal regions
- **$E > \tau$**  threshold



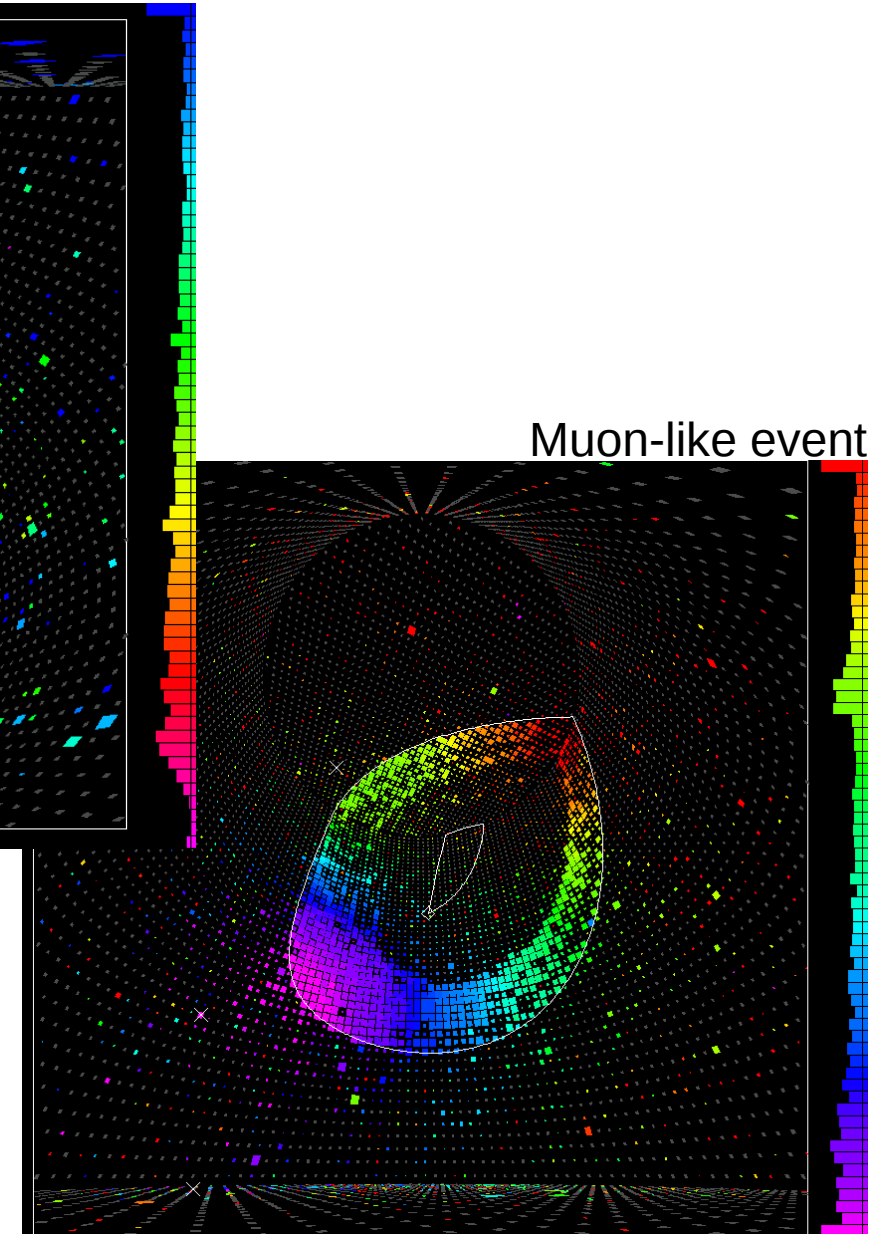
**recent  
experimental  
results**

# Super-Kamiokande

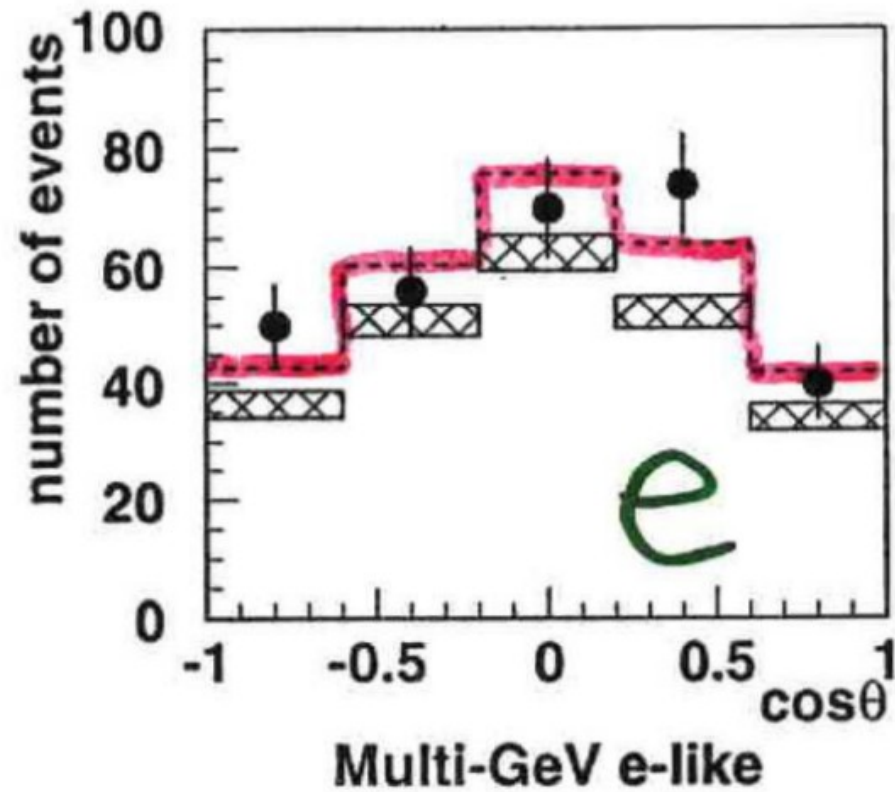
water Cherenkov



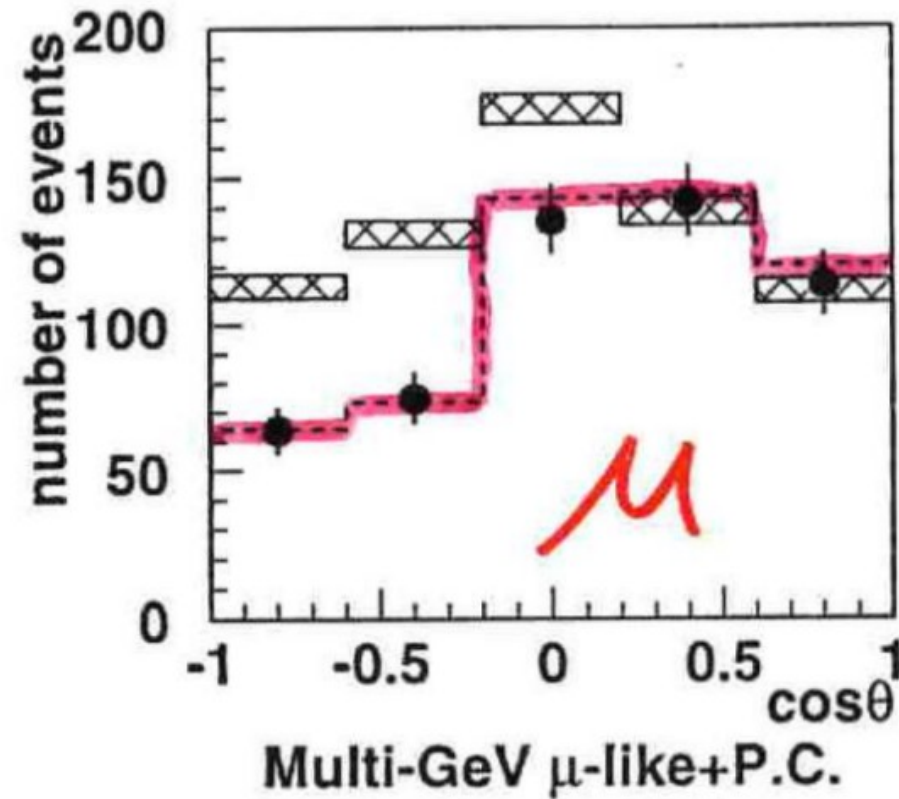
Two-gamma-like event



# Super-Kamiokande



from Neutrino'98 presentation

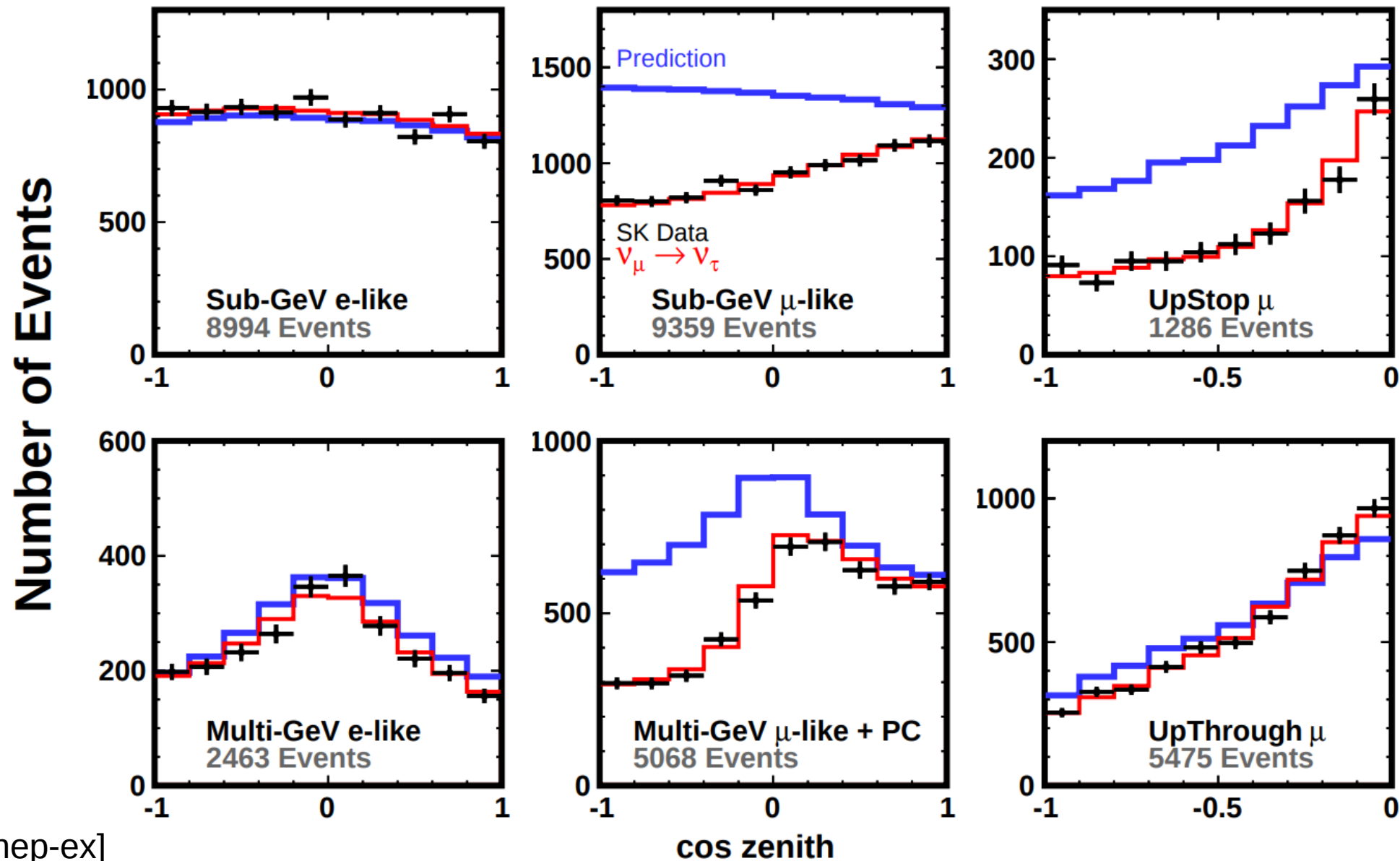


Multi-GeV

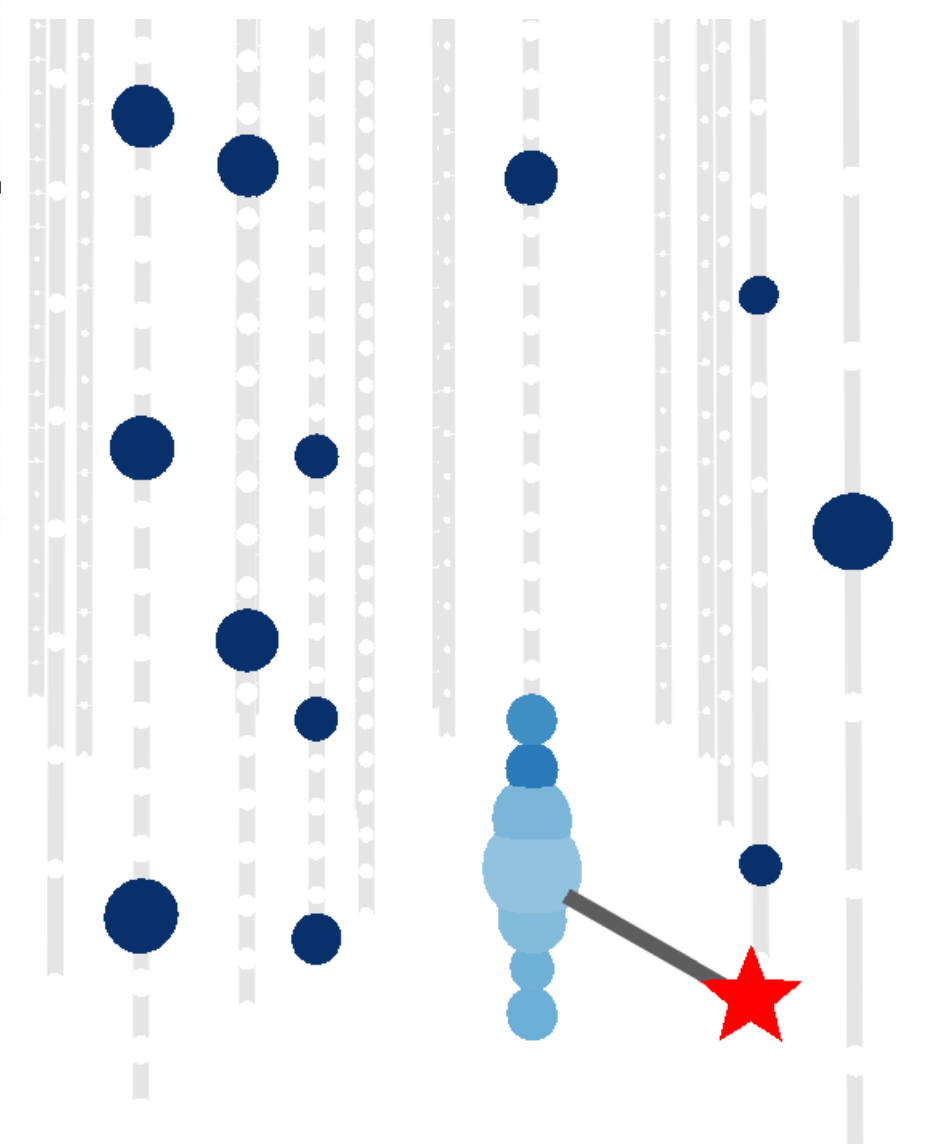
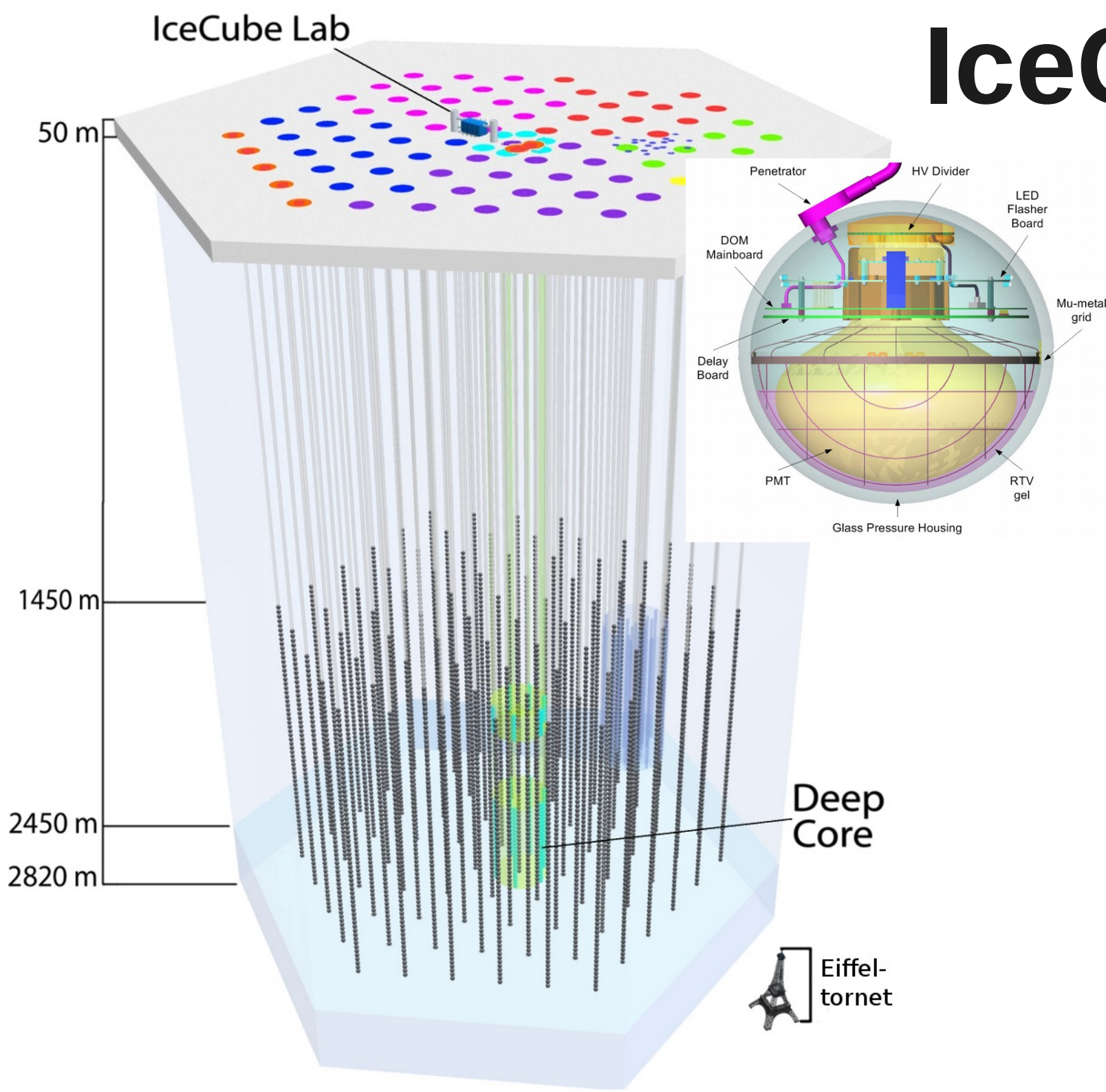
# Super-Kamiokande

almost 20 years later

SK-I+II+III+IV, 4581 Days



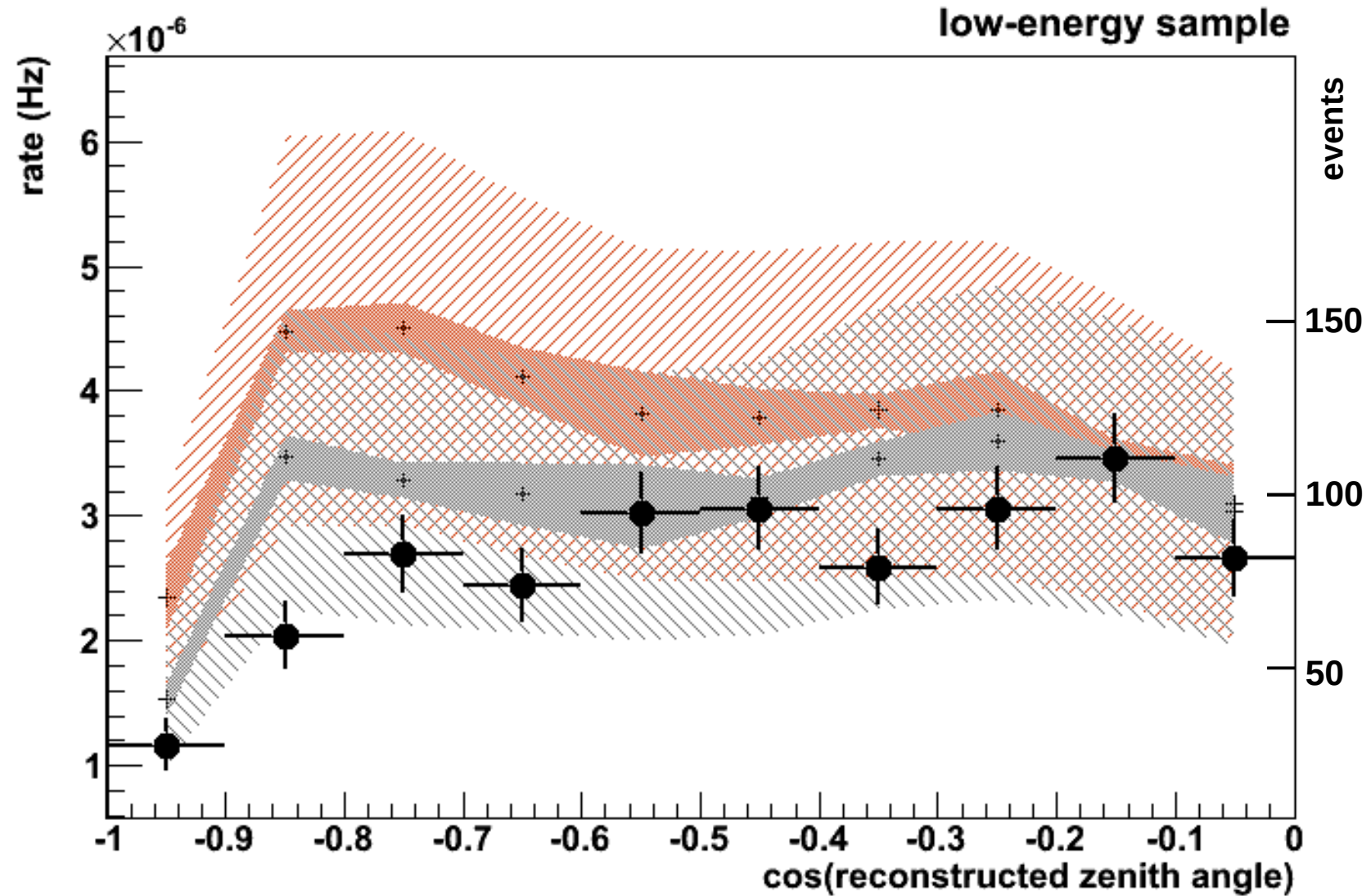
# IceCube DeepCore ice Cherenkov





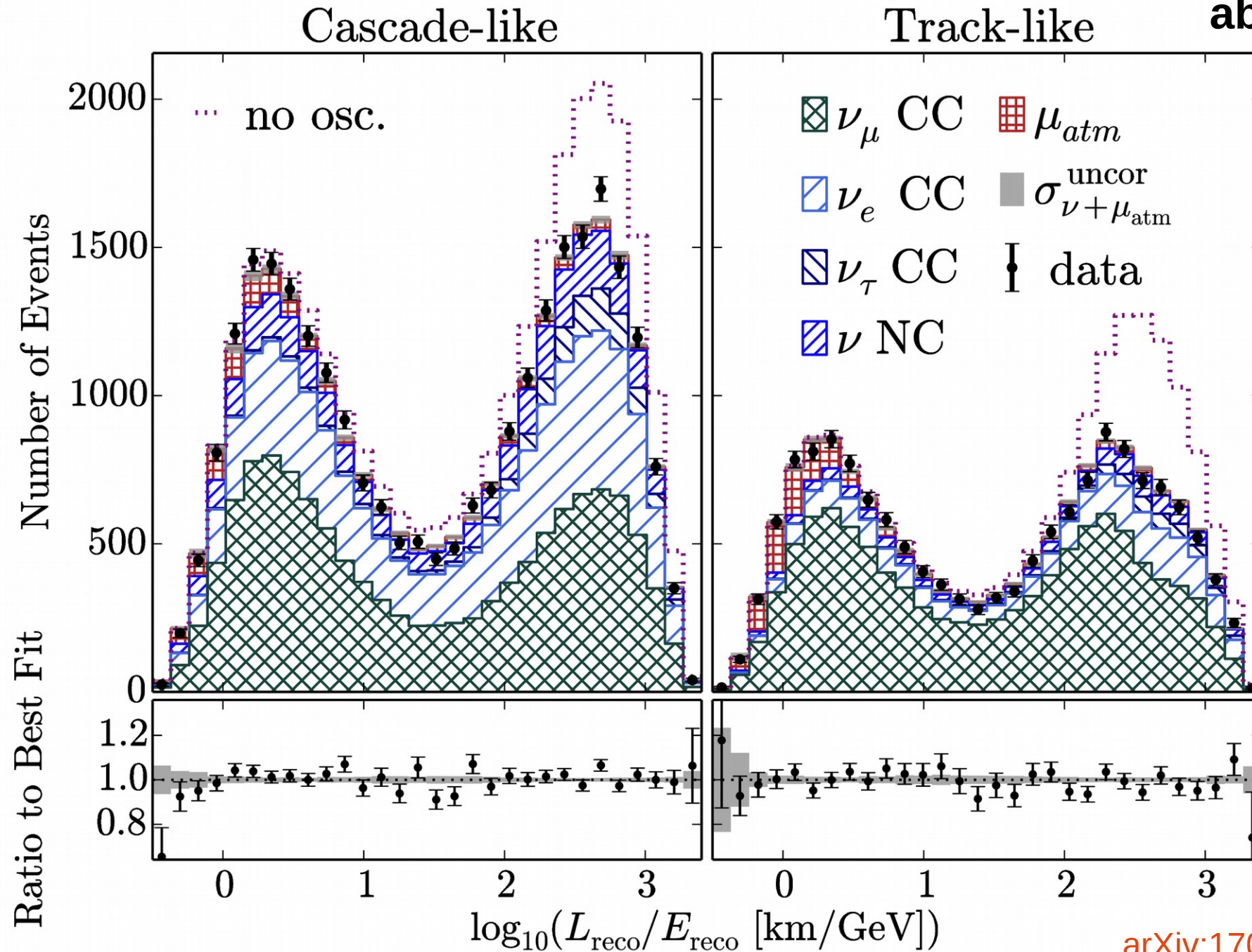
# IceCube DeepCore

first publication on oscillations in 2013

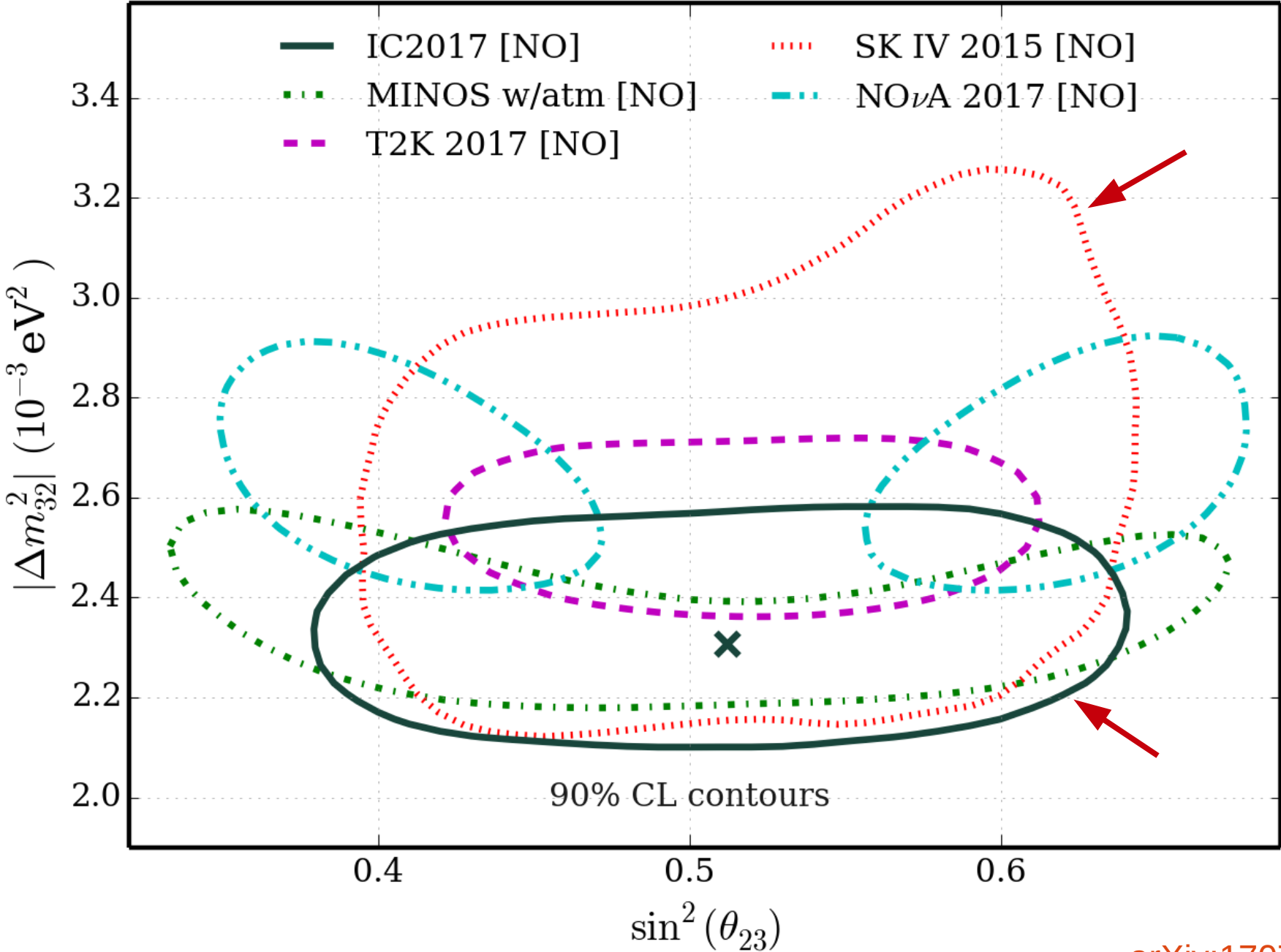


# IceCube DeepCore

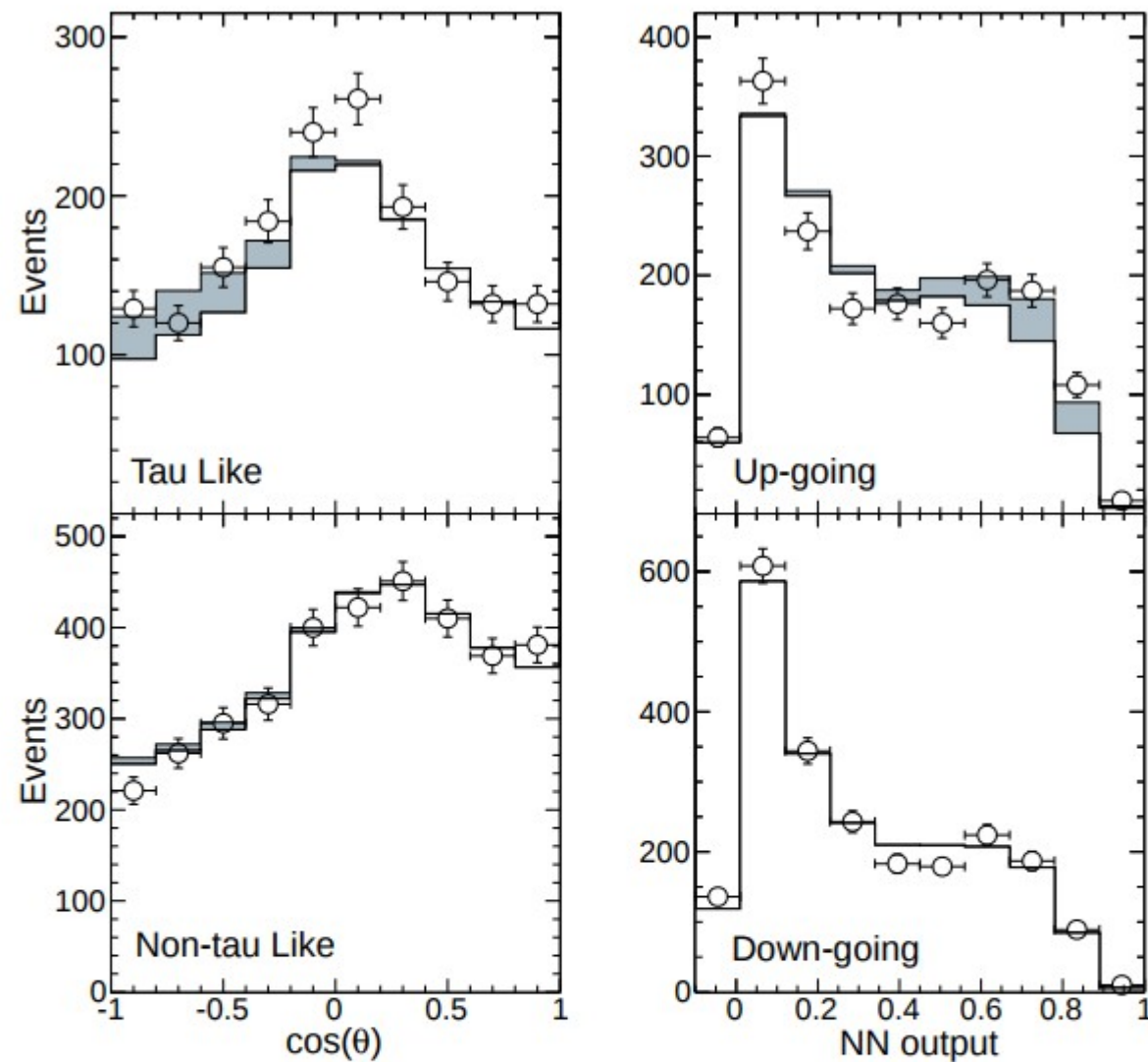
about 4 years later



# standard oscillations



# $\nu_\tau$ appearance in Super-Kamiokande



**NuTau CC scaling**

$1.42 \pm 0.35$  (stat)

$+0.14 -0.12$  (syst).

FIG. 3. Fit results showing projections in the NN output and zenith angle distribution for taulike ( $NN > 0.5$ ), upward-going [ $\cos(\theta) < -0.2$ ], nontaulike ( $NN < 0.5$ ), and downward-going [ $\cos(\theta) > 0.2$ ] events for both the two-dimensional PDFs and data. The PDFs and data sets have been combined from SK-I through SK-III in this figure. The fitted tau signal is shown in gray.

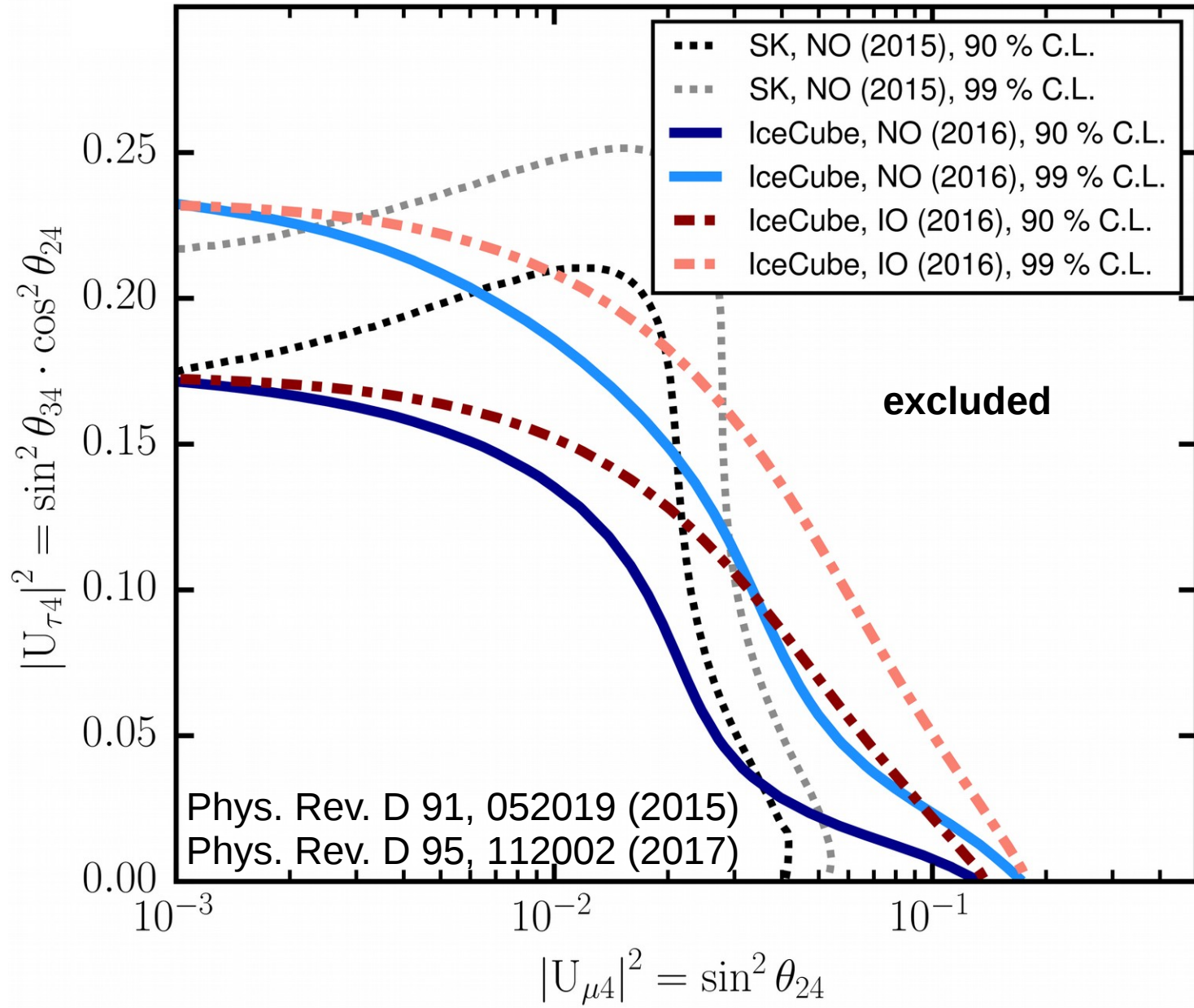
# exotic oscillations

$E_{\nu} \sim \text{GeV}$

$$U \equiv \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix}$$

$$|U_{\mu4}|^2 = \sin^2 \theta_{24},$$

$$|U_{\tau4}|^2 = \cos^2 \theta_{24} \cdot \sin^2 \theta_{34}.$$



# exotic oscillations

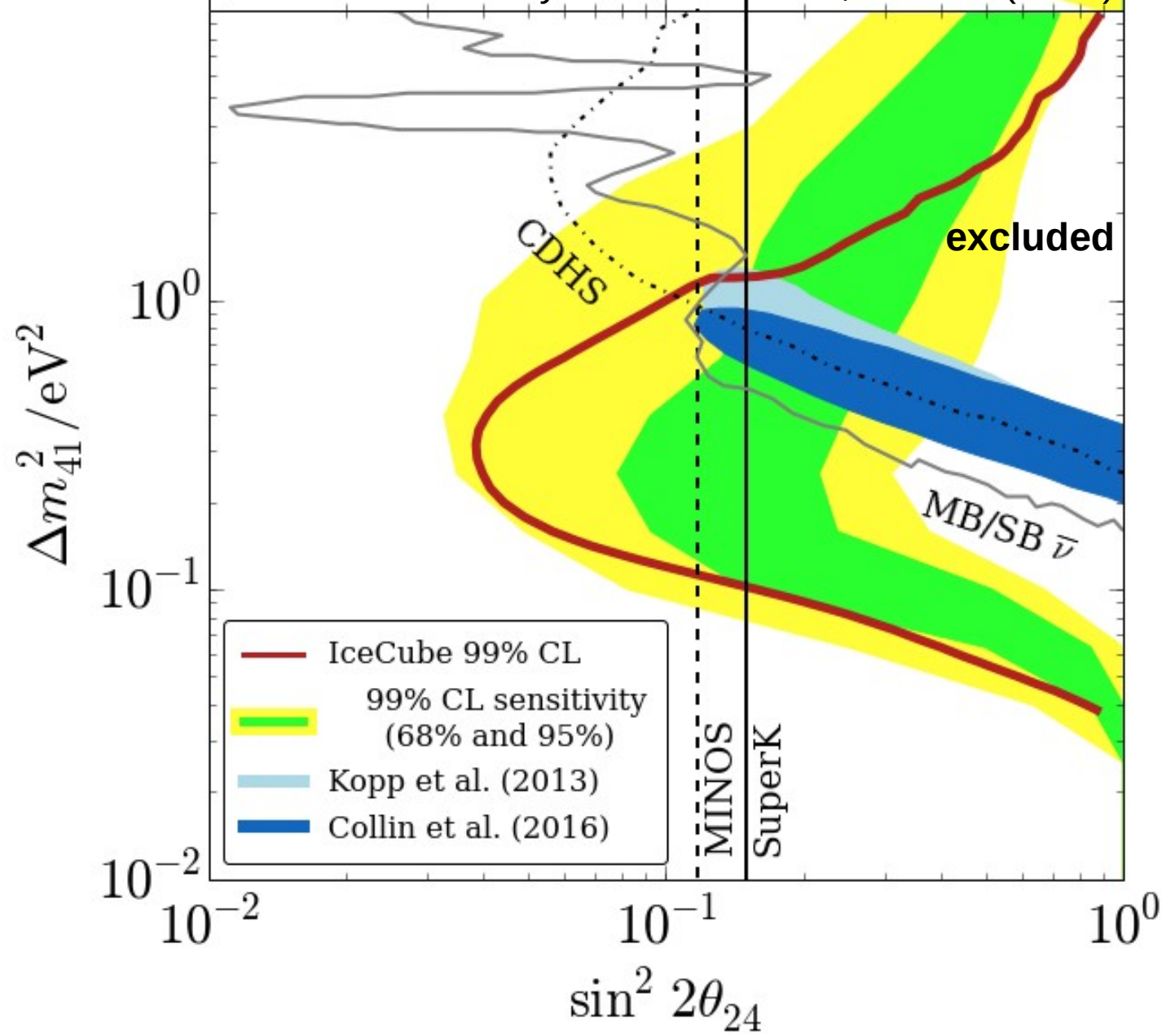
$E_{\nu} \sim \text{TeV}$

$$U \equiv \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix}$$

$$|U_{\mu4}|^2 = \sin^2 \theta_{24},$$

$$|U_{\tau4}|^2 = \cos^2 \theta_{24} \cdot \sin^2 \theta_{34}.$$

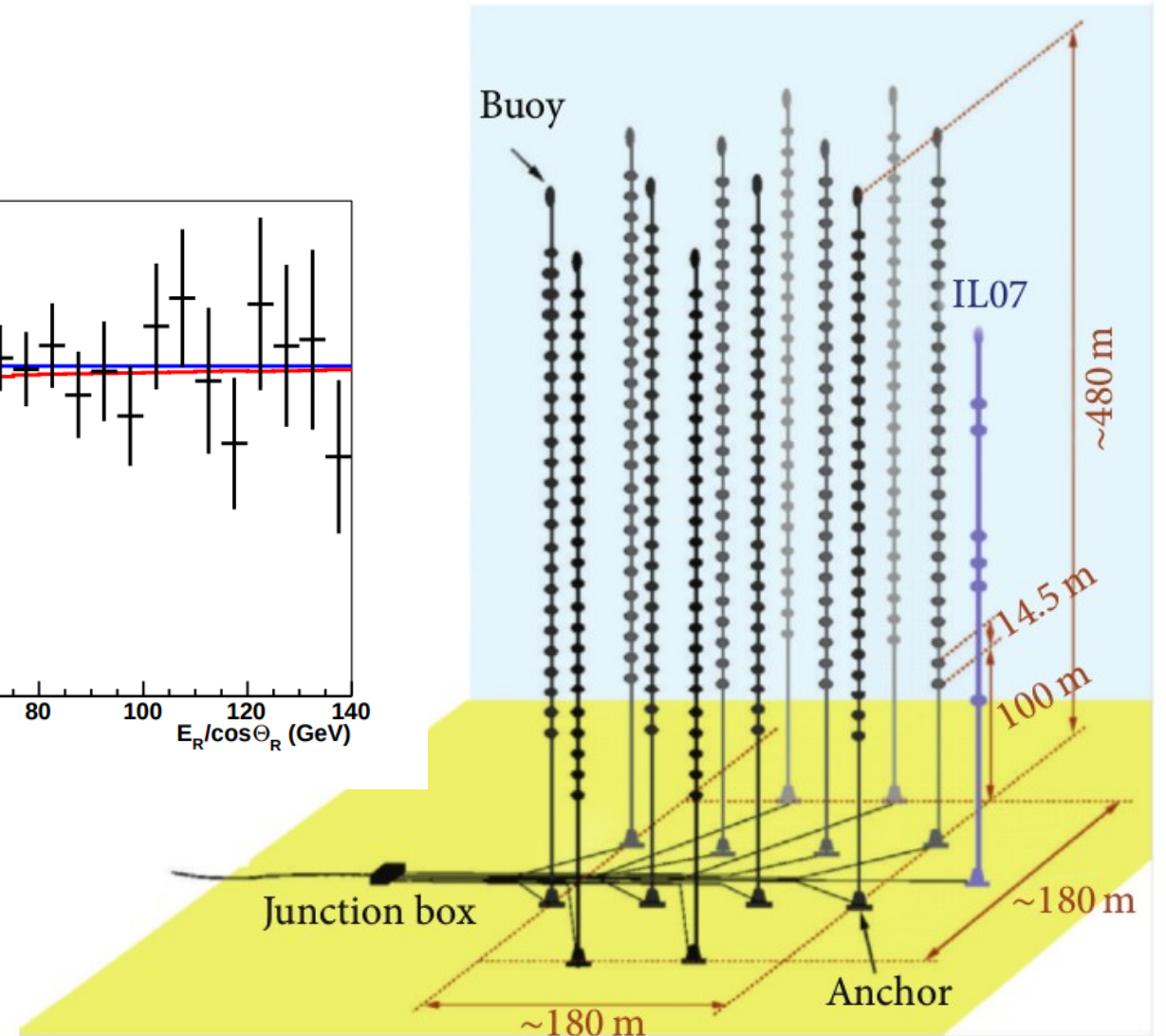
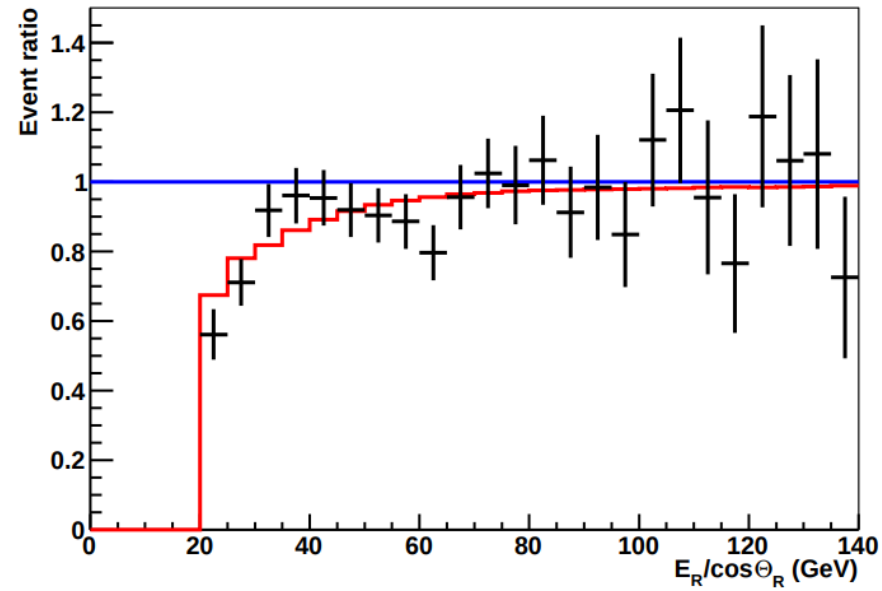
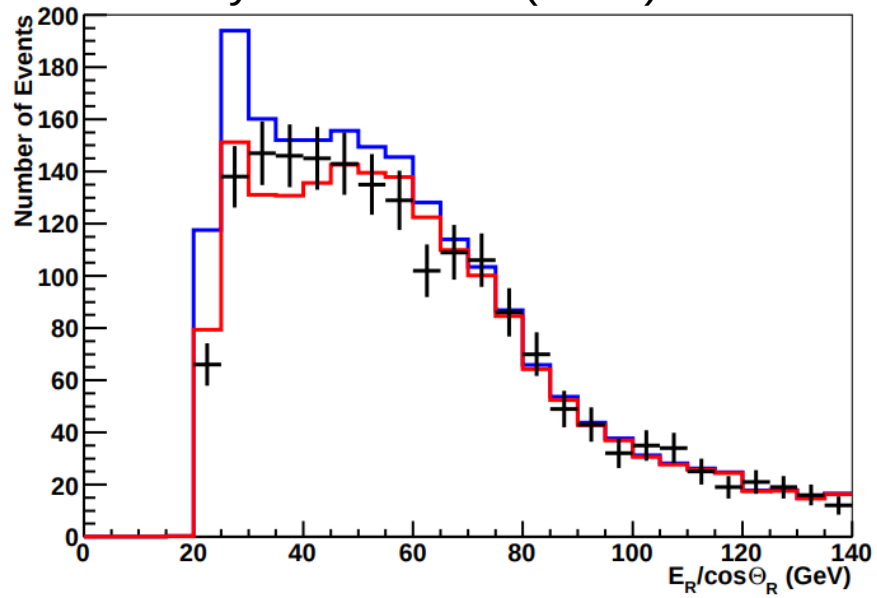
Phys. Rev. Lett. 117, 071801 (2016)



# ANTARES

water Cherenkov

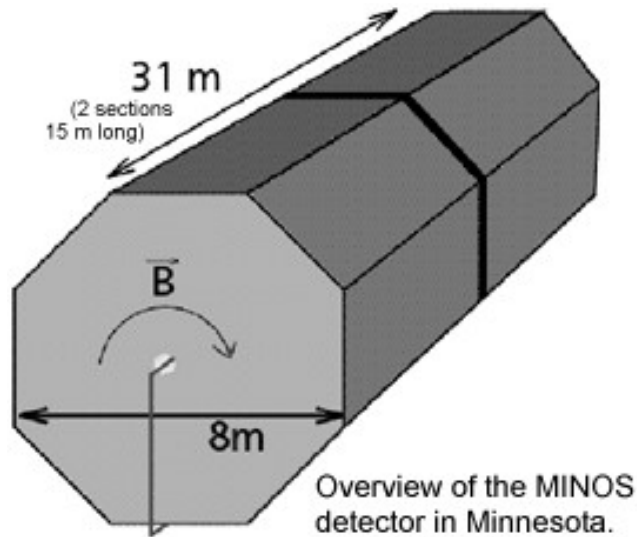
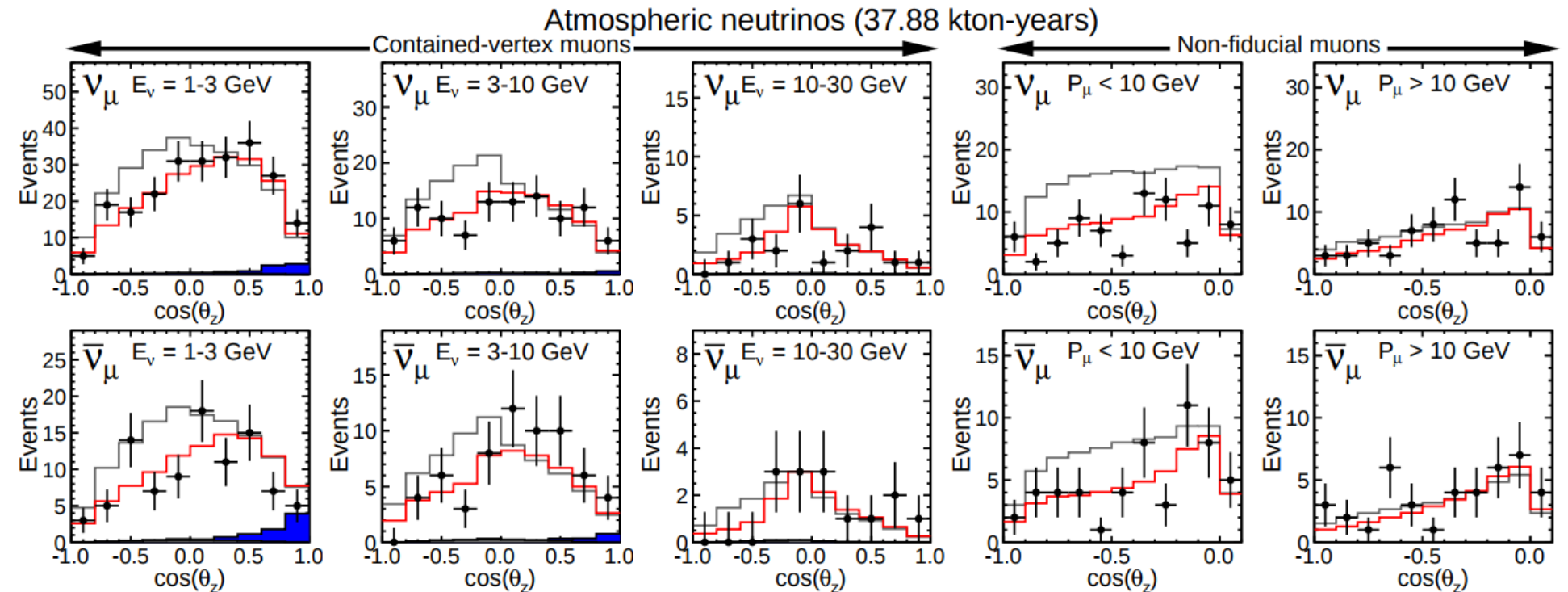
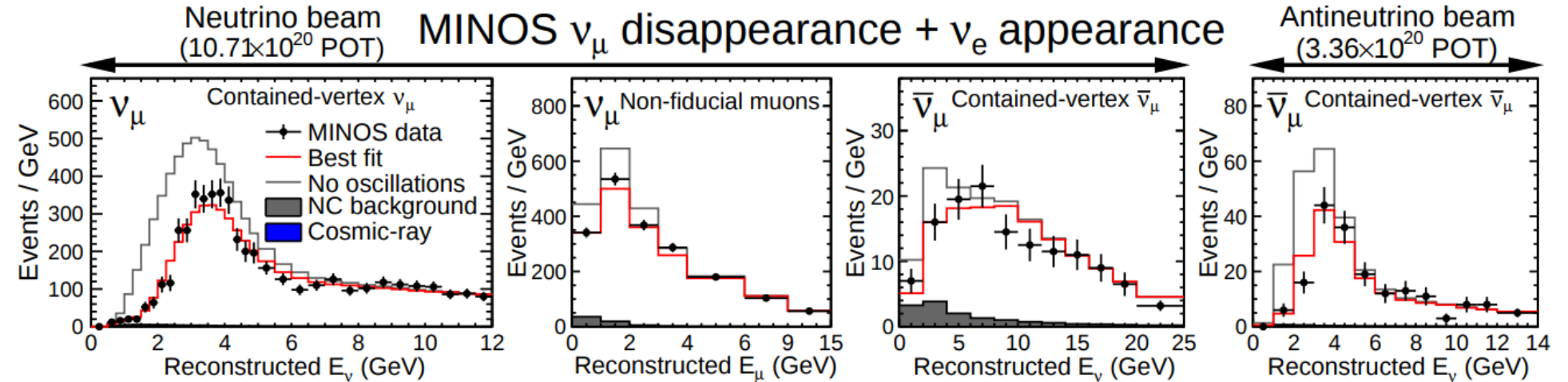
Phys.Lett. B714 (2012)



# MINOS

## magnetized steel & scintillator calorimeter

Nucl.Phys. B908 (2016) 130-150



\*measurement is dominated by beam data



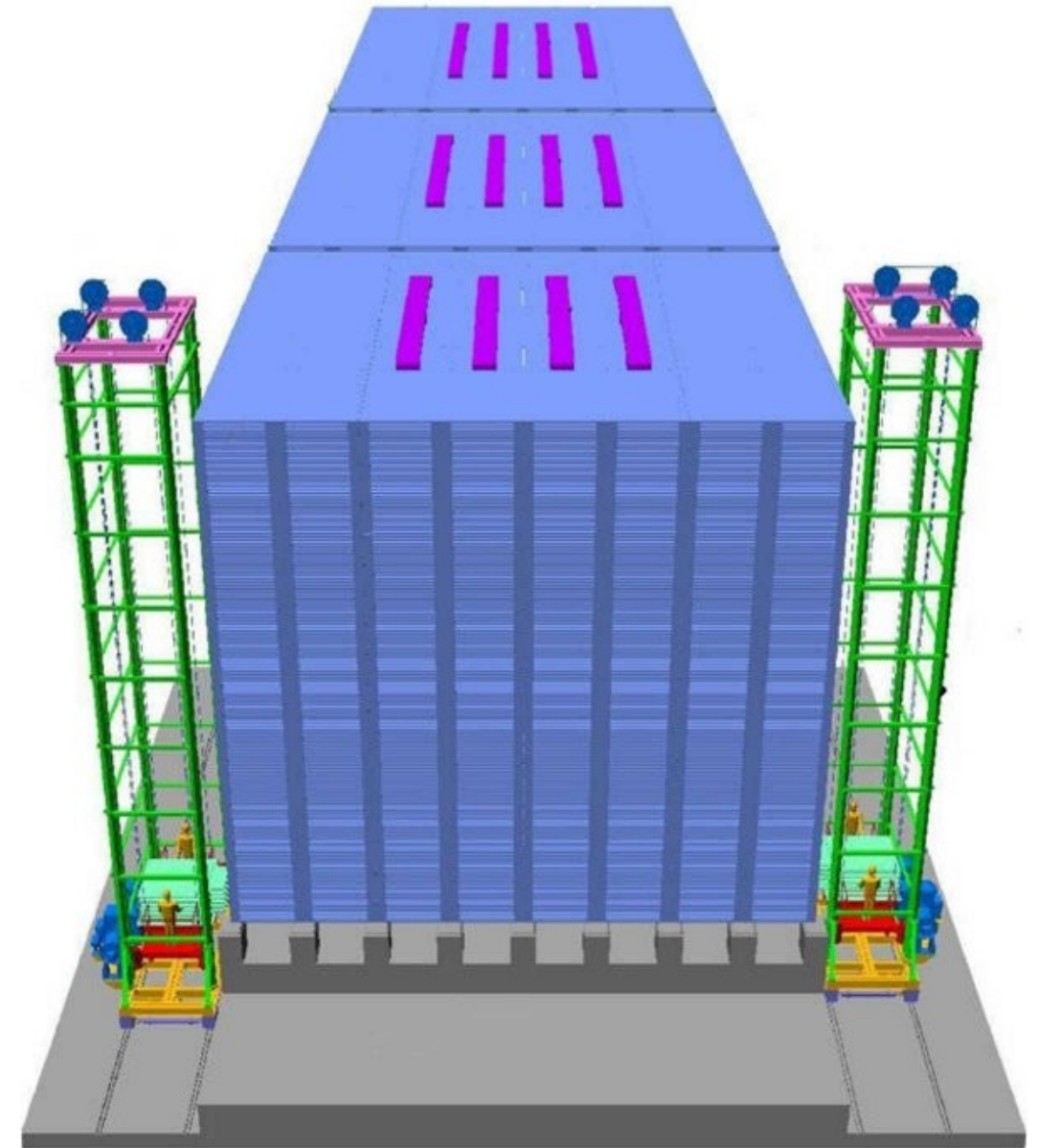
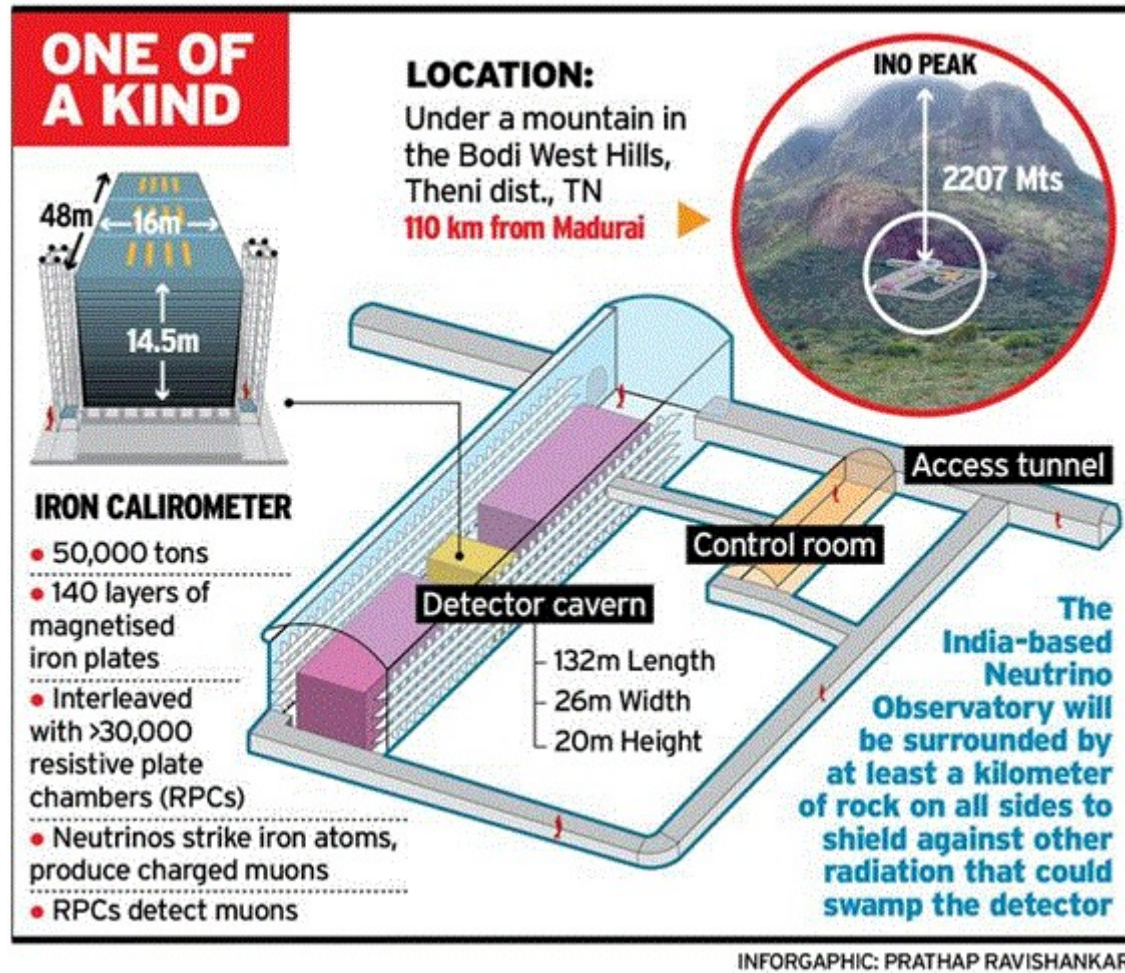
**towards  
the future**

# main interests

- **precision** measurements
- neutrino mass **ordering**
- **CP-violation** in leptons\*

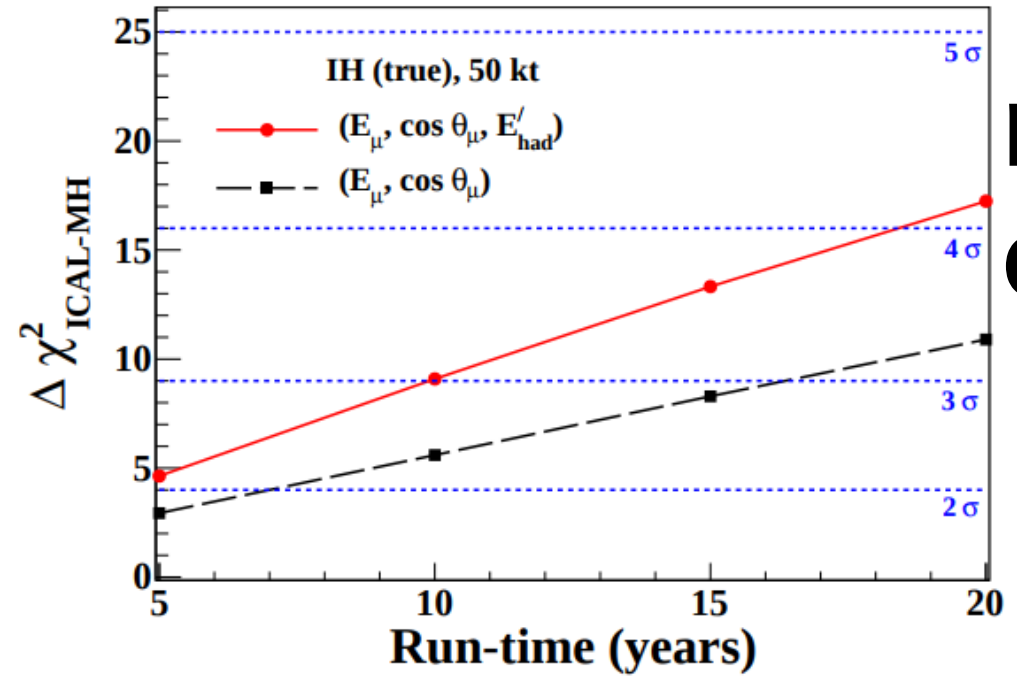
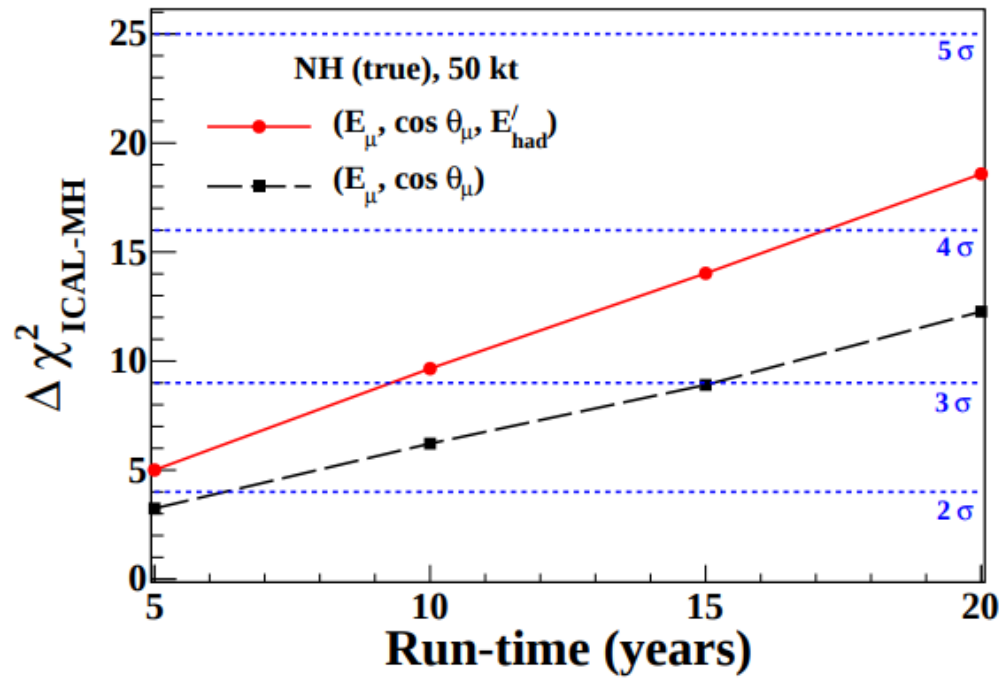
... bigger, better, denser experiments

# INO



-individual particle **tracking**  
-**charge** identification

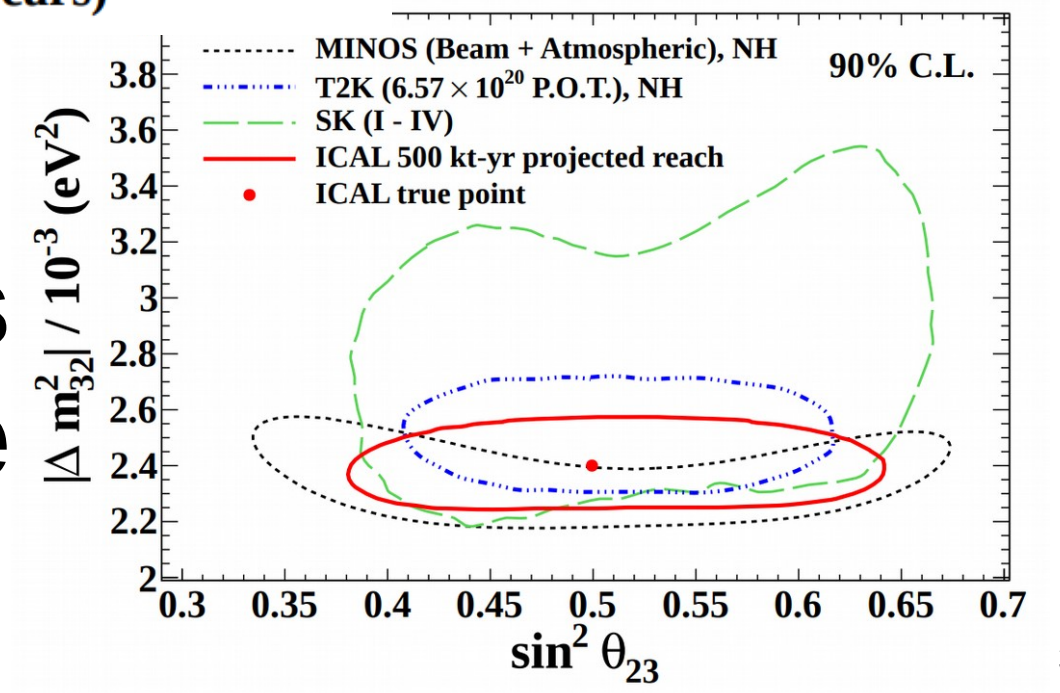
# INO



mass ordering

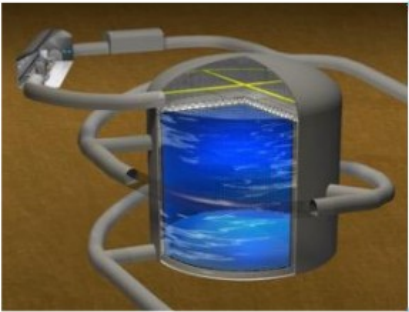
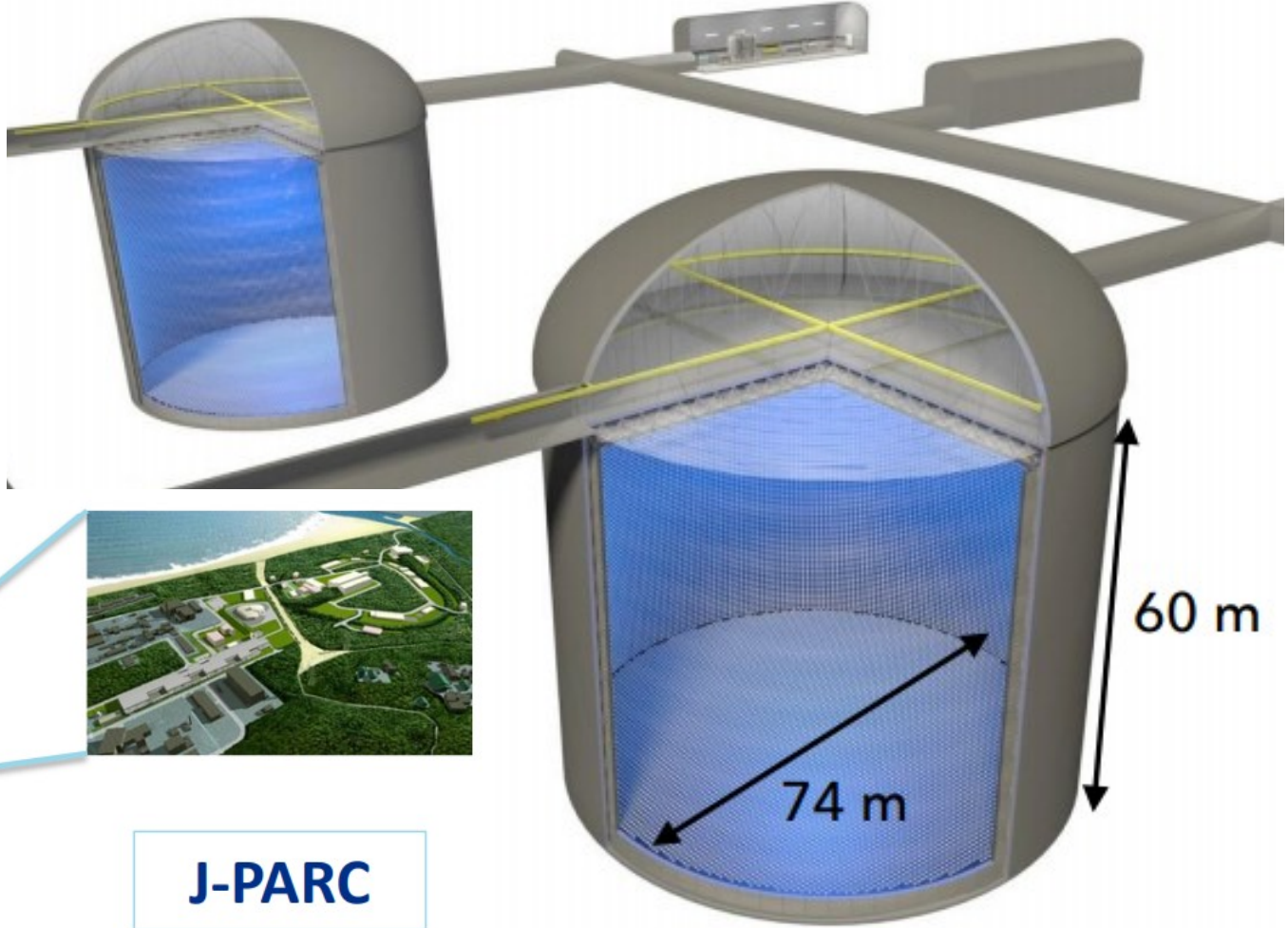
arXiv:1406.3689 [hep-ph]

oscillation parameters after 10 years of run-time



# Hyper-Kamiokande

- 8x Super-Kamiokande's FV / tank
- 260kt mass / tank
- atmospheric+beam nus

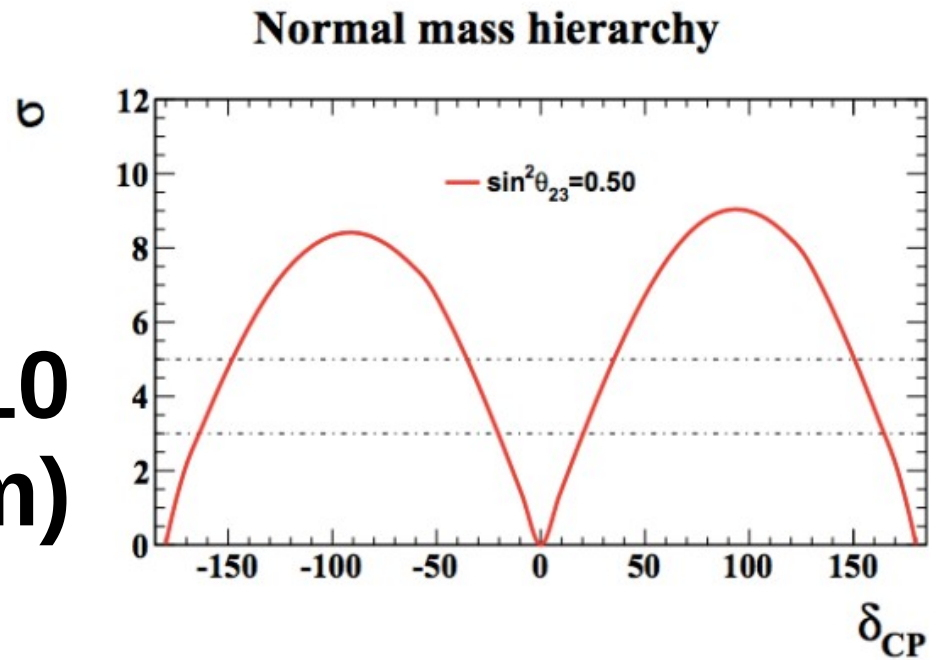
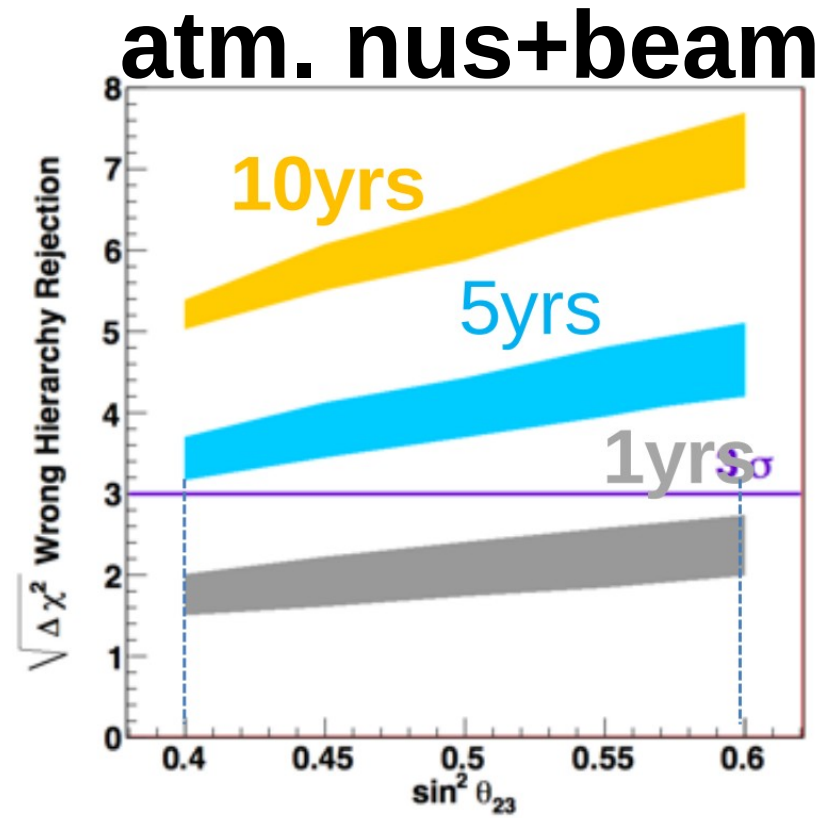
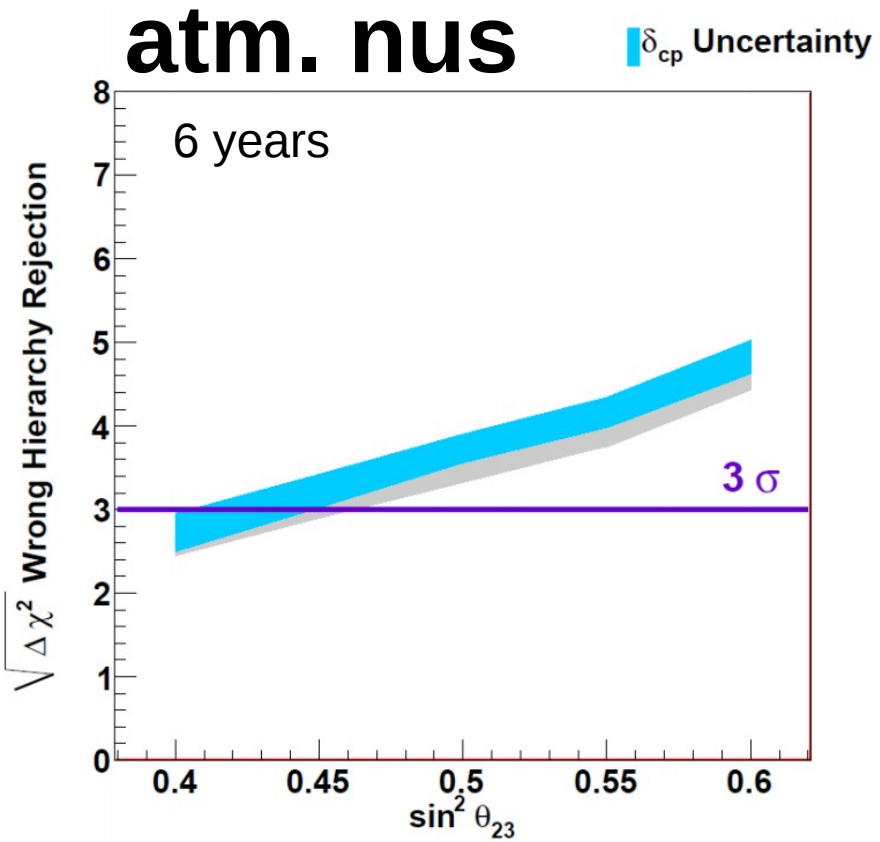


Hyper-K



J-PARC

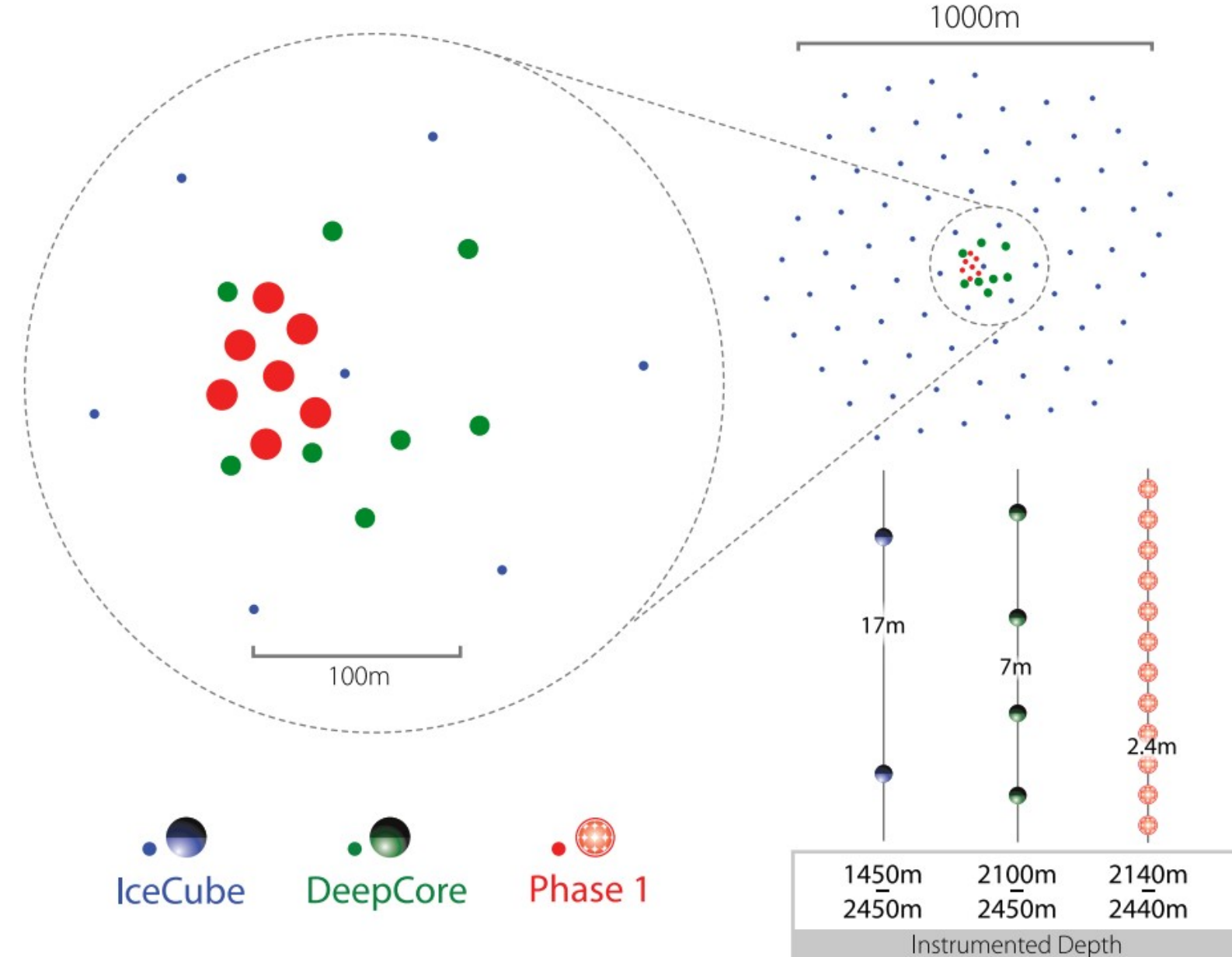
# Hyper-Kamiokande (one tank)



**CP-violation after 10 years (beam)**

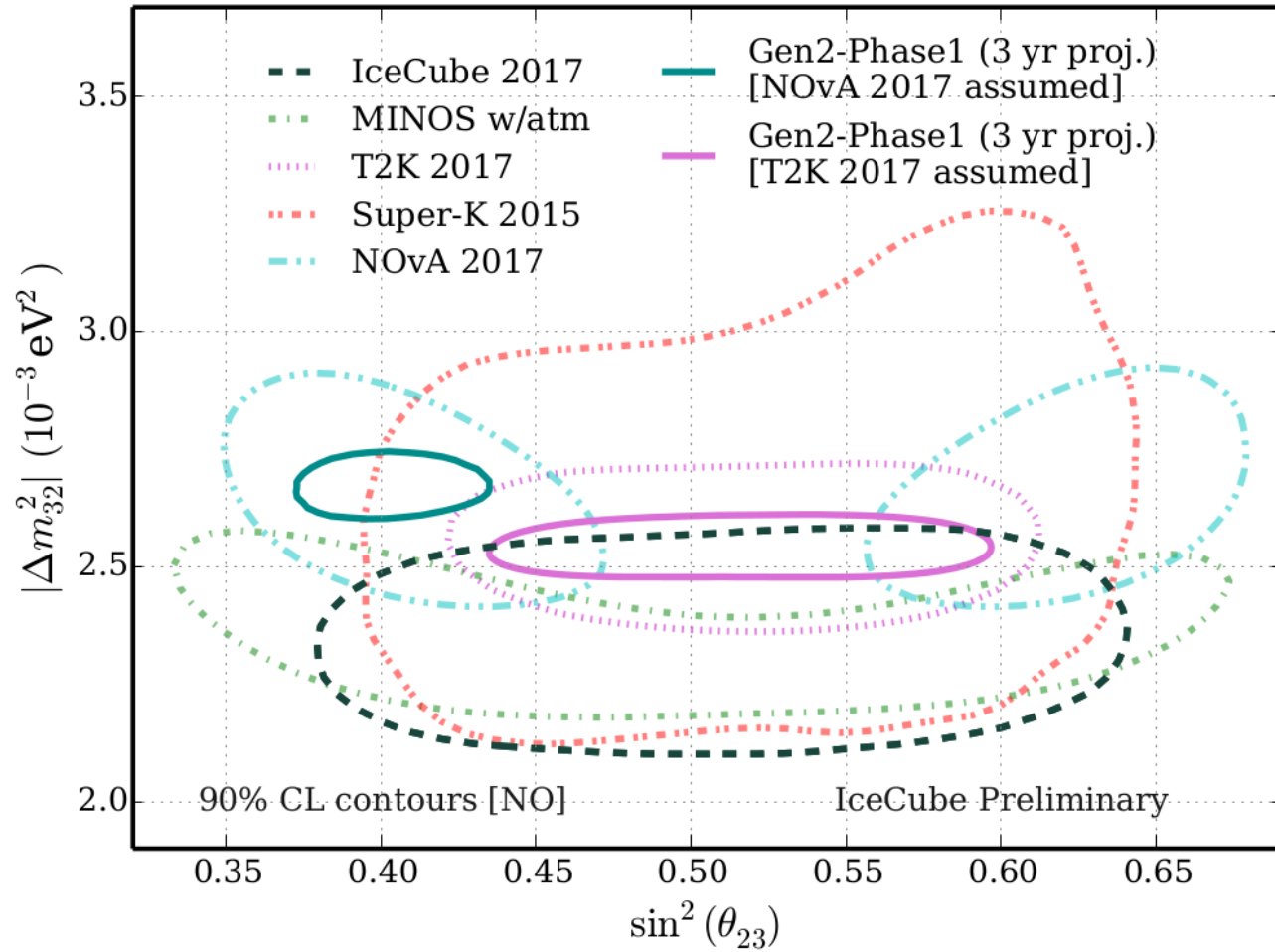
Zsoldos, ICRC2017

# IceCube-Gen2 Phase1



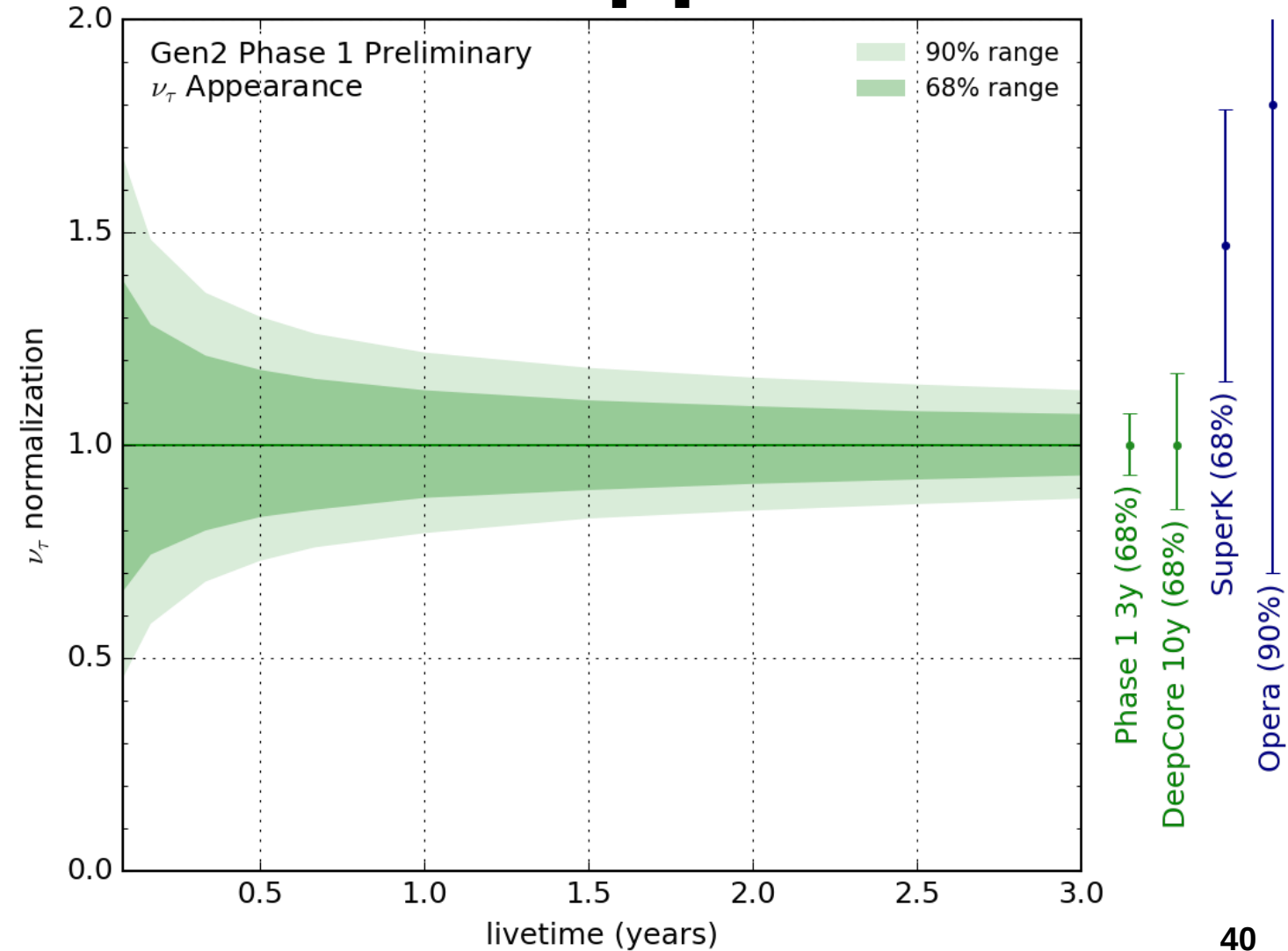
**-DeepCore infill**  
**-lower energy threshold**  
**threshold**

# IceCube-Gen2 Phase1

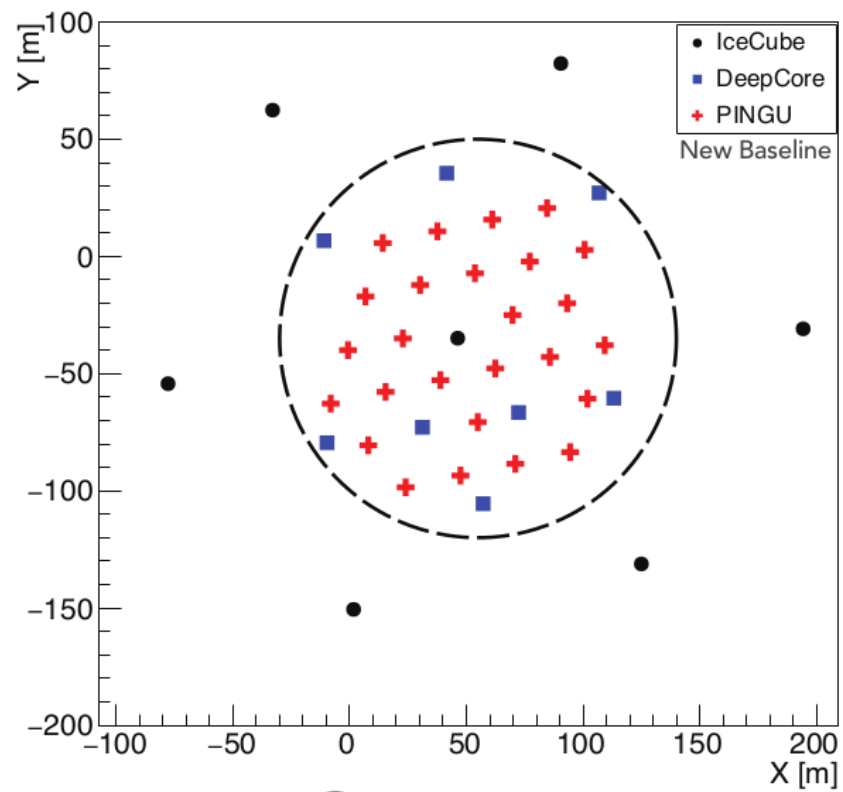


osc. parameters

## nutau appearance



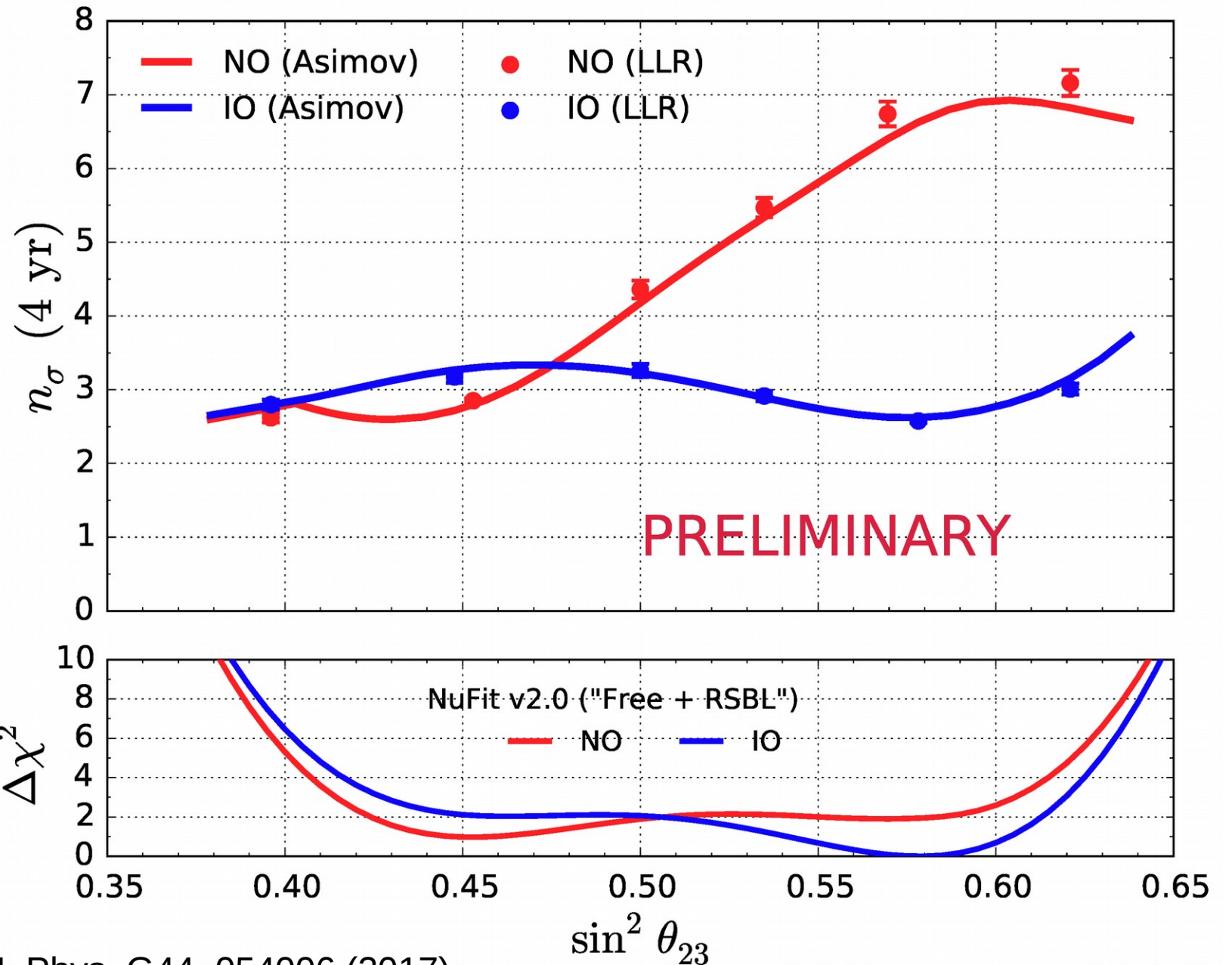


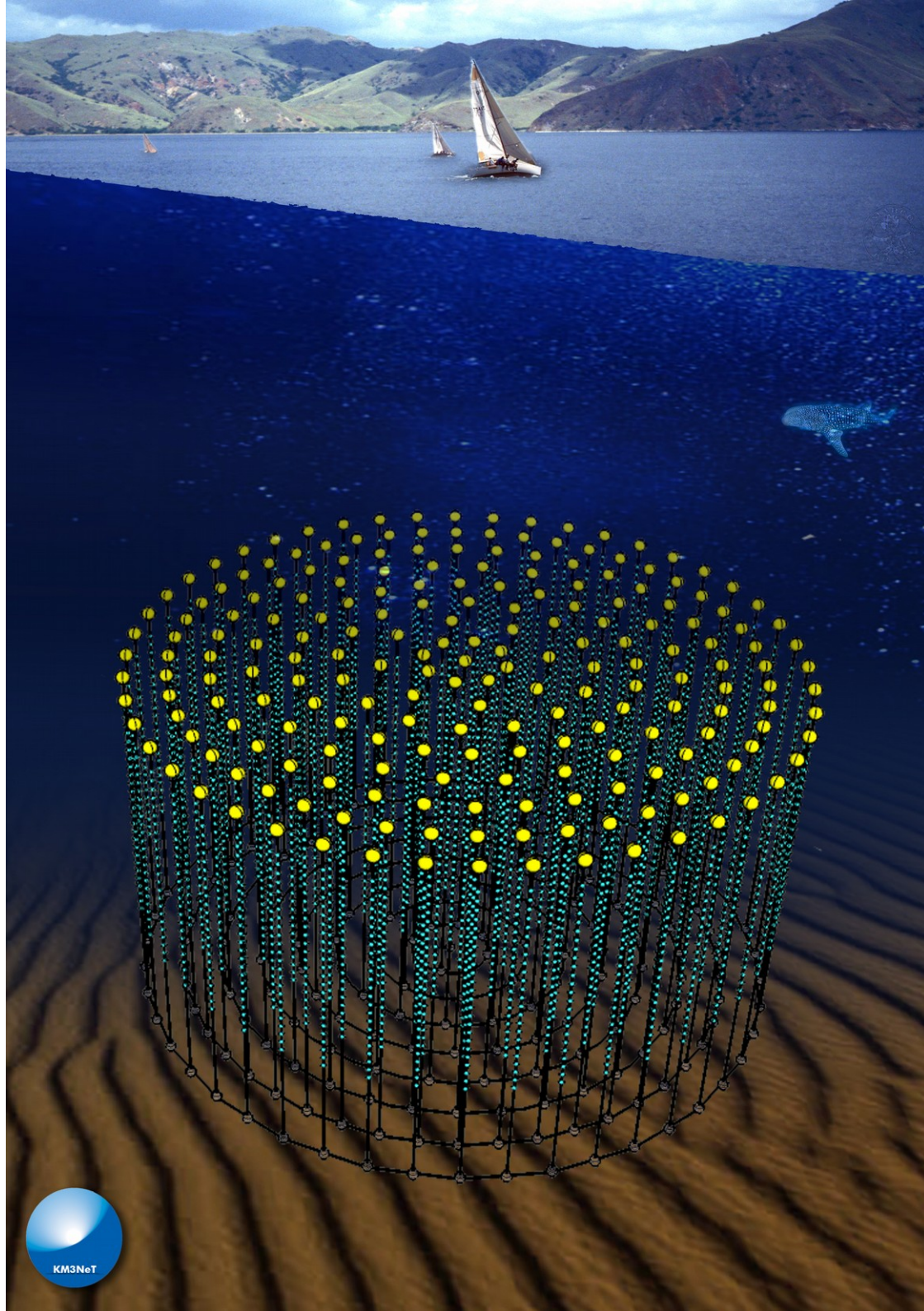


Current

26 strings  
192 DOMs/string  
1.5 m DOM-DOM spacing

## mass ordering

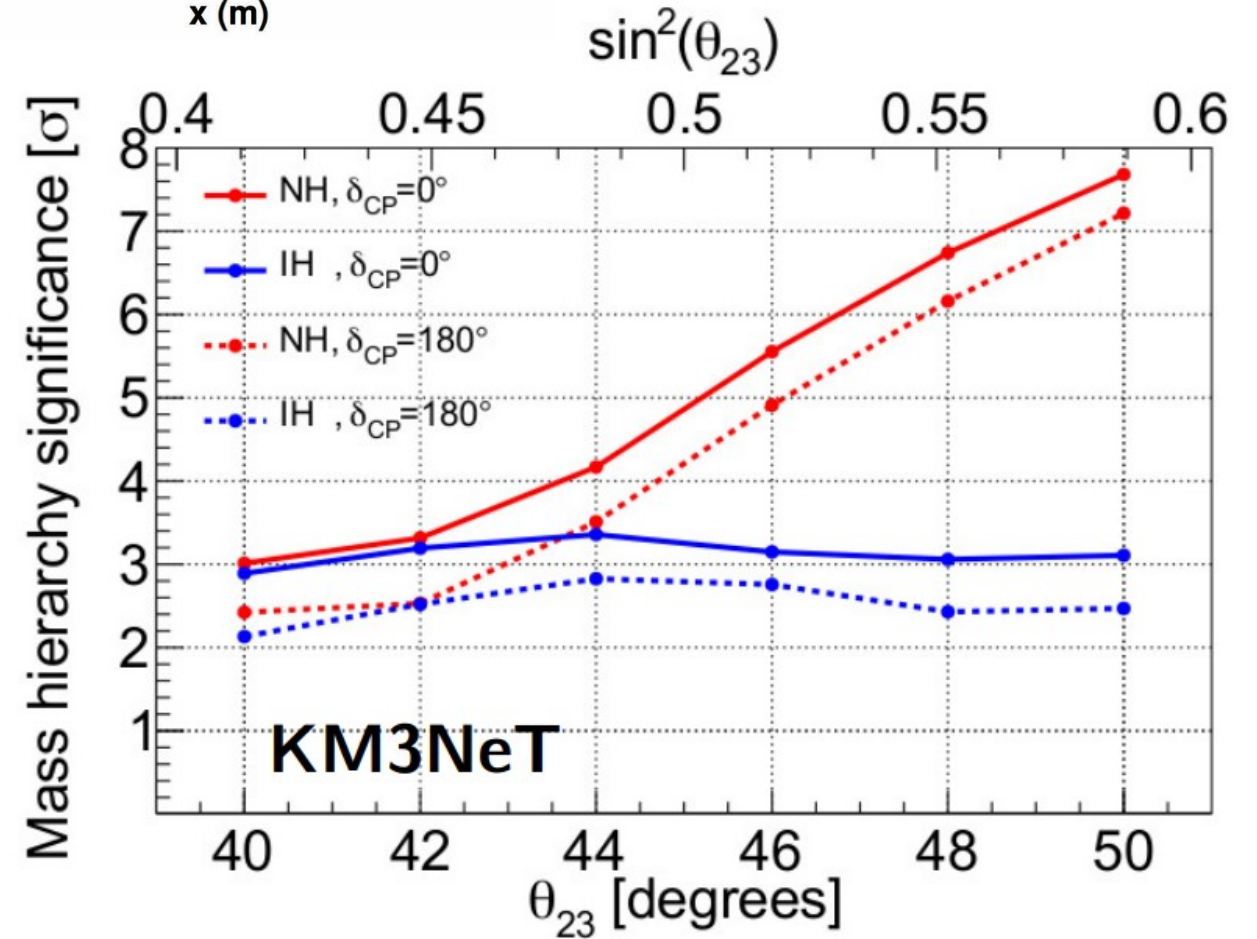
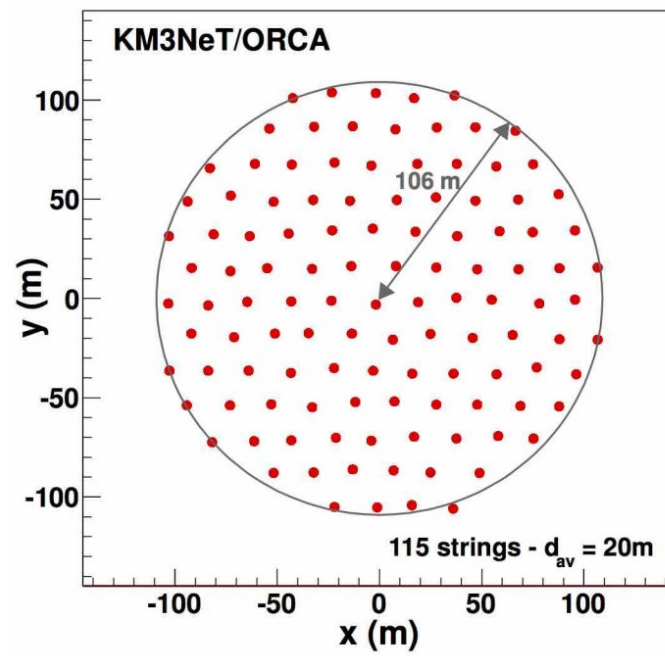




# ORCA

## mass ordering (3y)

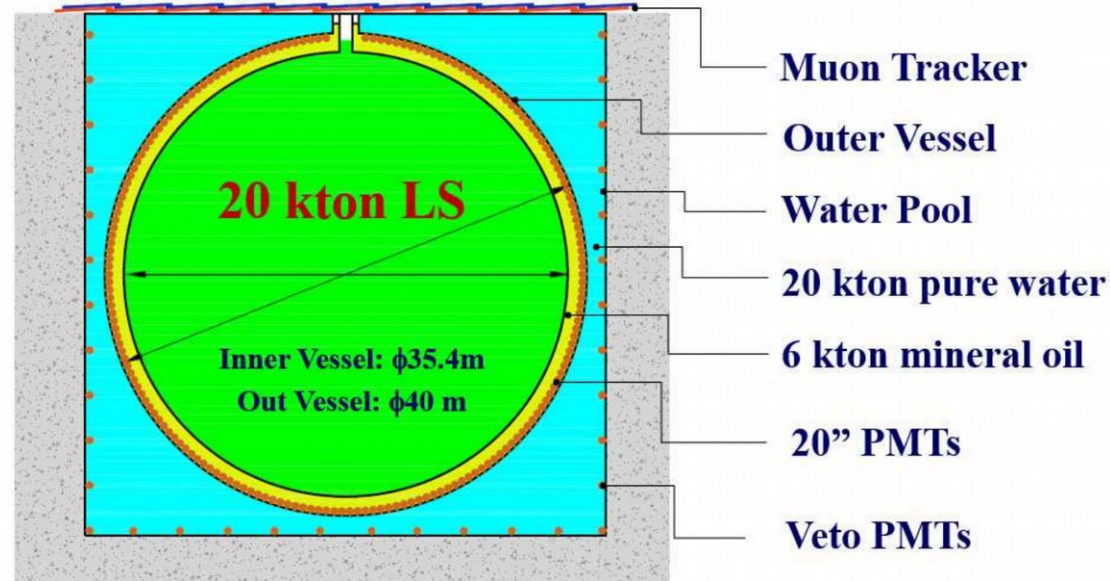
J.Phys. G43 (2016) no.8, 084001



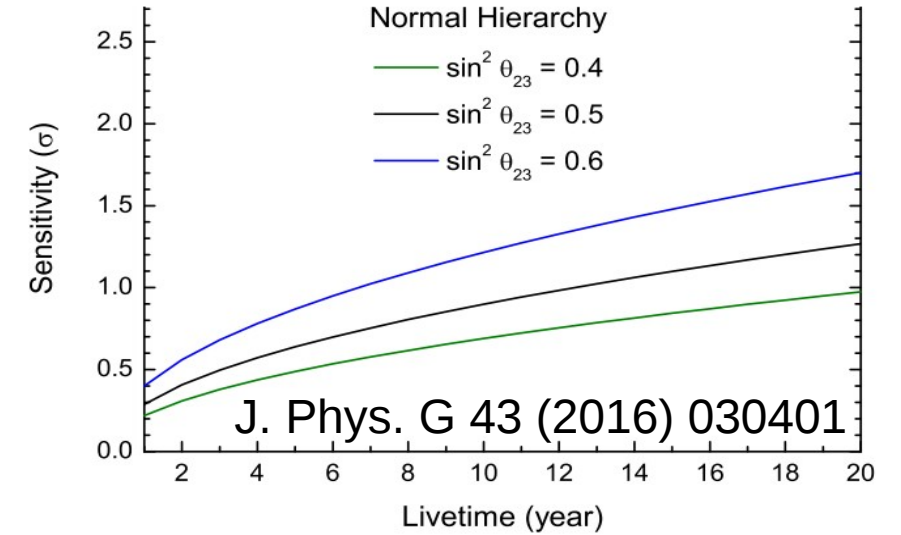
# other experiments

atmospheric  $\nu$  are a secondary measurement

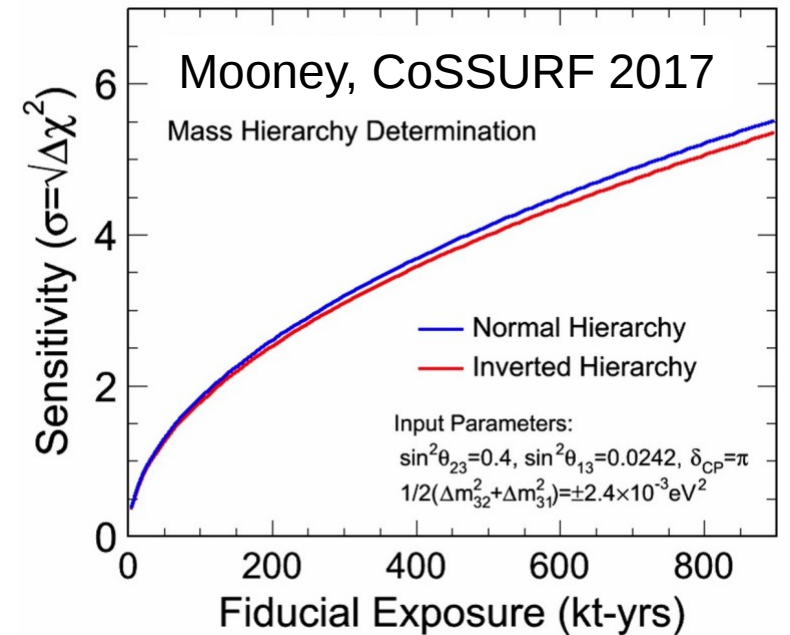
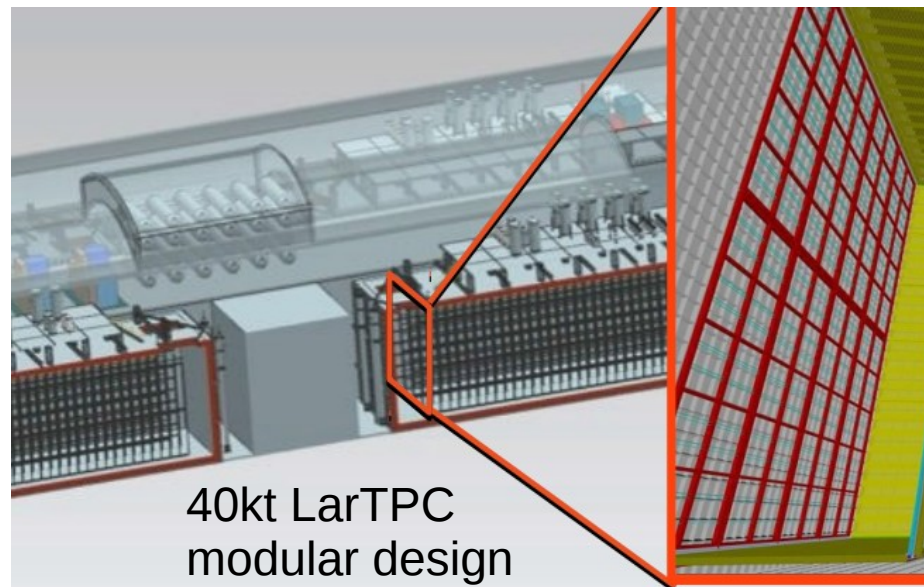
**JUNO**  
mass ordering from  
reactor neutrinos



mass ordering sensitivity from atm.  $\nu$  only



**DUNE**  
CP violation from  
beam neutrinos



**back to  
the present**

# summary & outlook

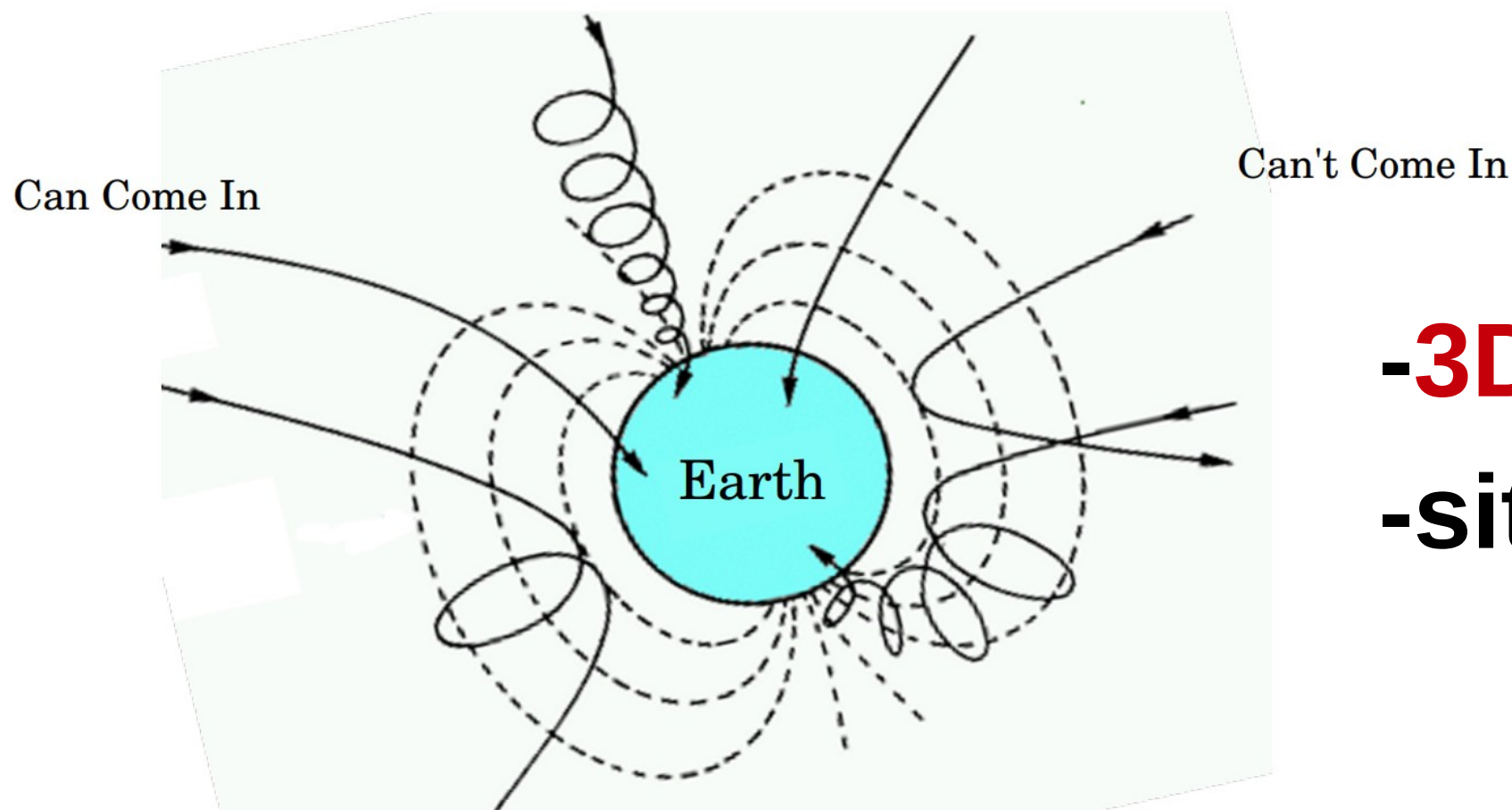
- atm. nus are an **invaluable tool** for neutrino physics
  - very large & unique phase space in **L/E, flavor**
- renewed efforts to **model & understand** atm nus ongoing
  - more data, new software, workshops in last years
- experiments producing **well understood, reliable** results
  - next generation measurements tough, but possible

**backup**

# flux basic inputs

## -geomagnetic **effects**

Can Come In

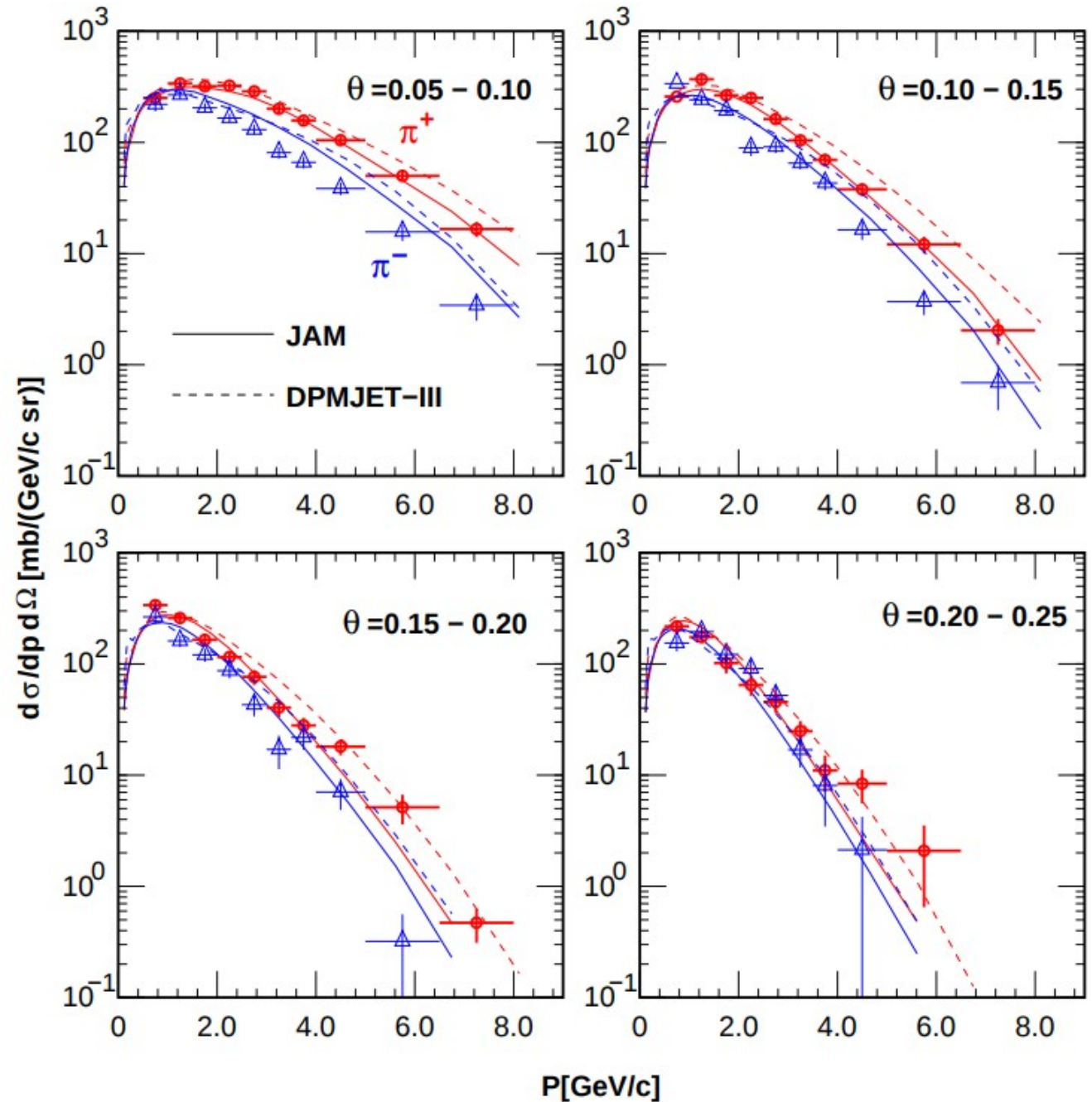


- 3D** calculations
- site-specific**

# flux basic inputs

-hadronic  
interactions  
HARP data

Phys.Rev.D83:123001,2011

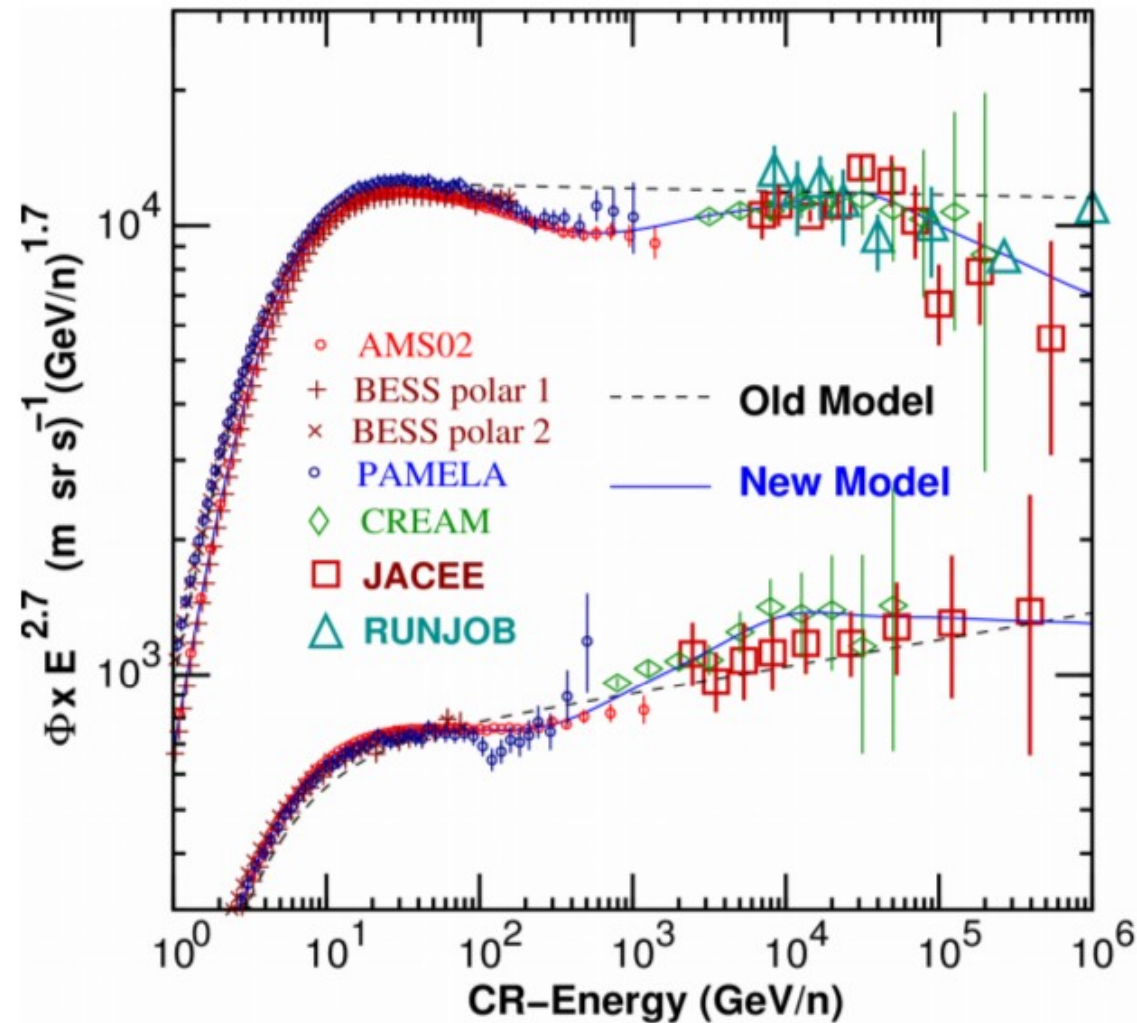




# HKKM CR flux

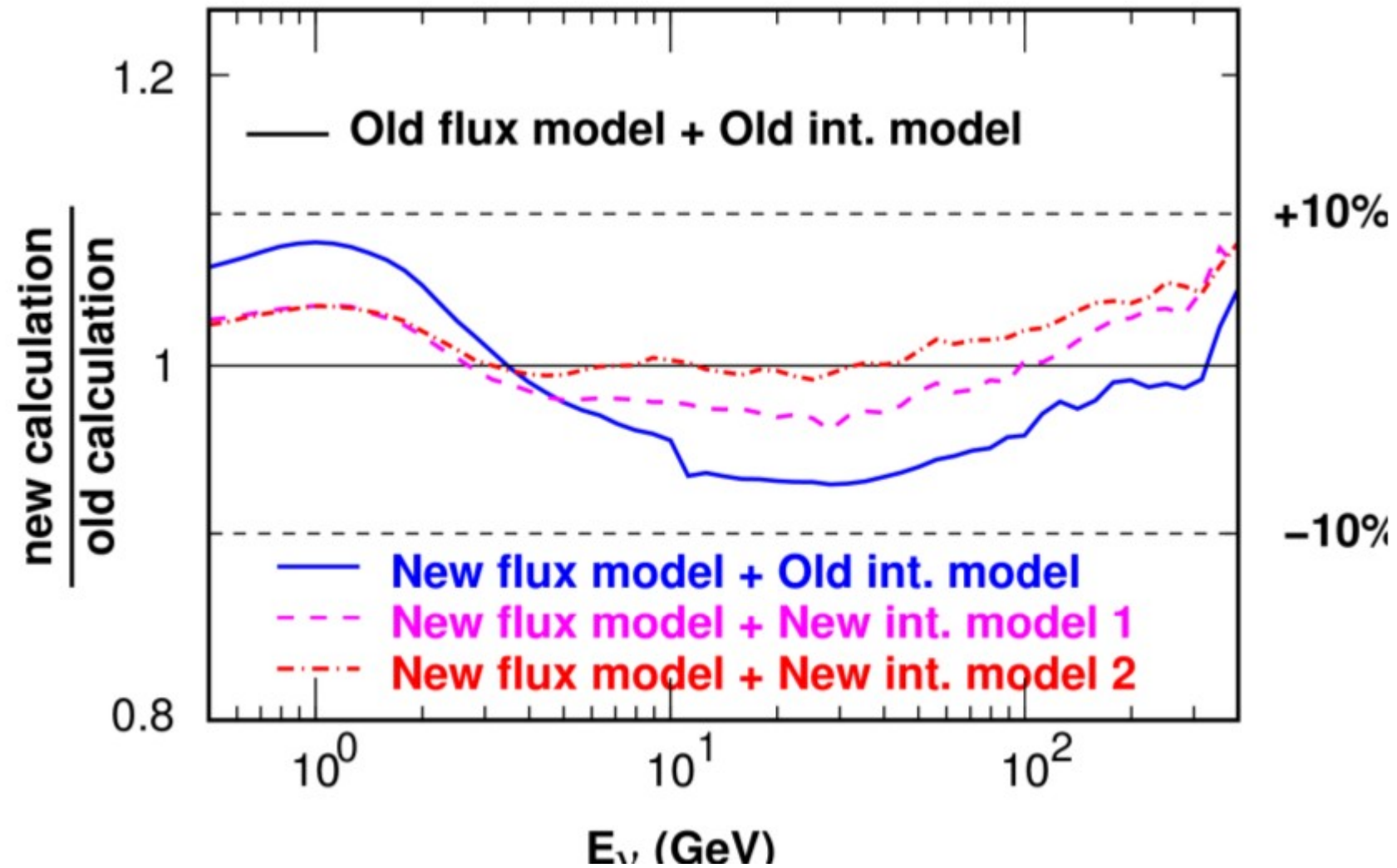
## New Cosmic Ray Model with AMS02 and BESS-polar

Honda  
AtmNuWorkshop 2016



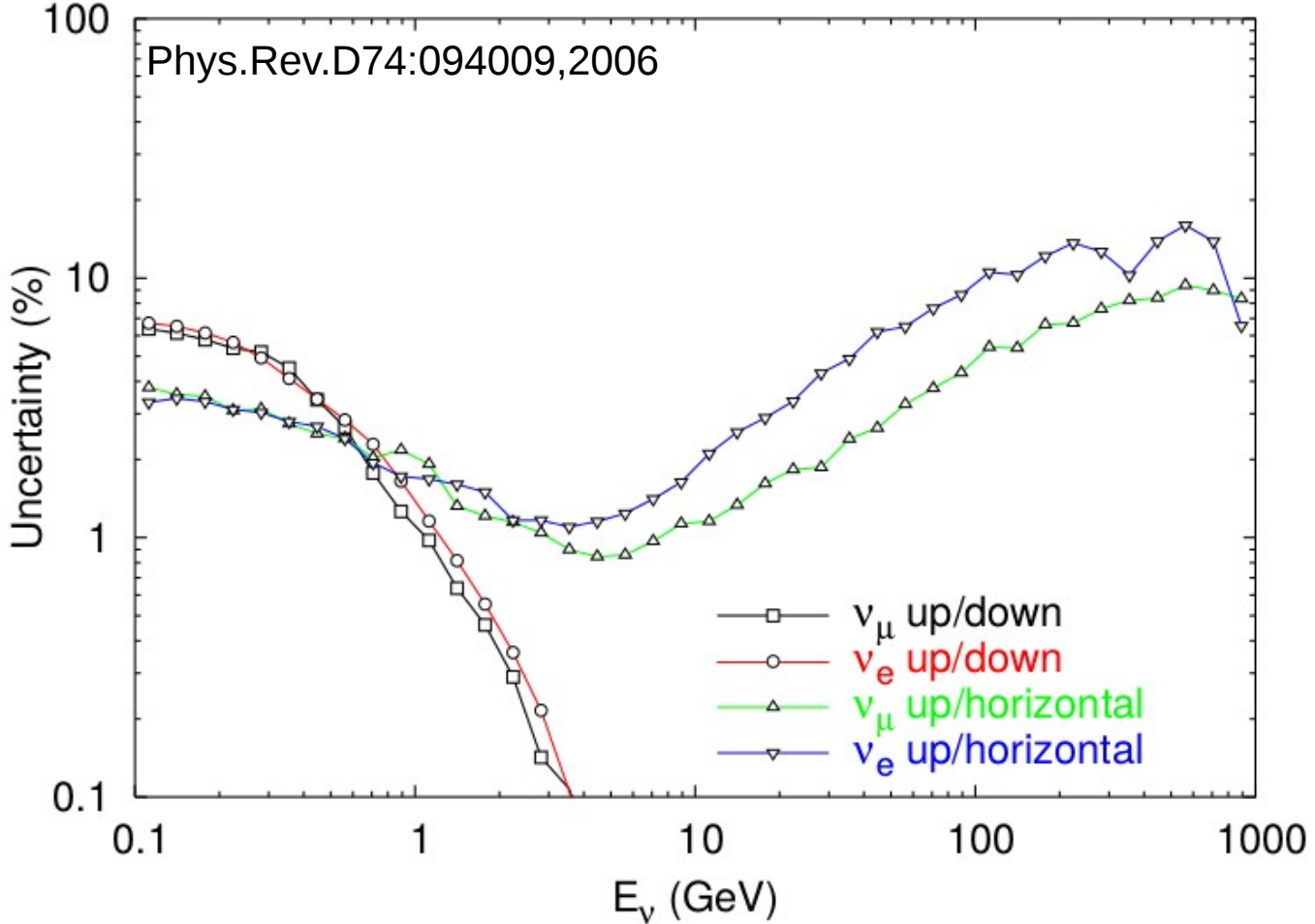
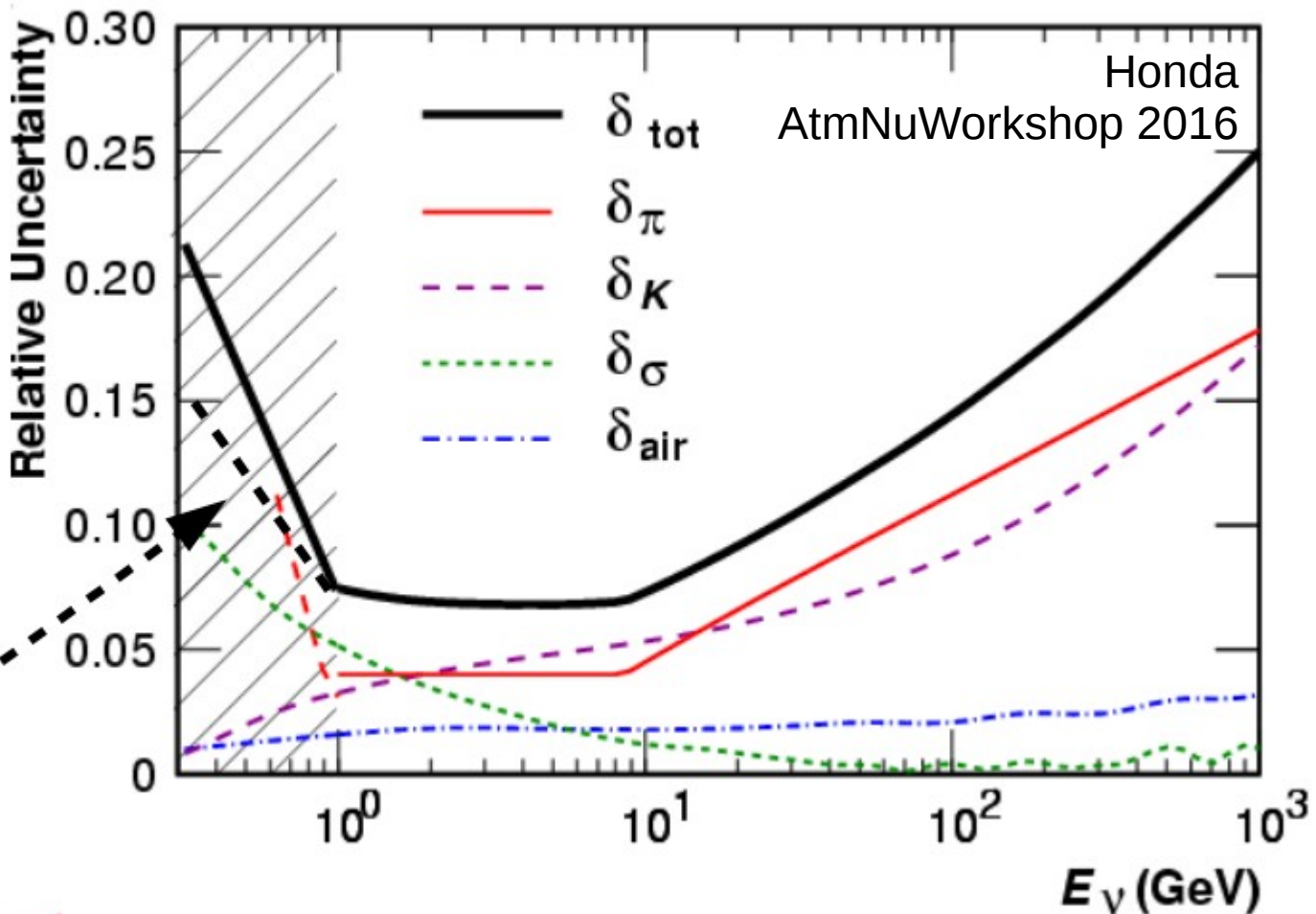
# HKKM neutrino flux updates

Honda  
AtmNuWorkshop 2016



# atmospheric neutrino flux

## -and its **uncertainties**



# parameters accessible

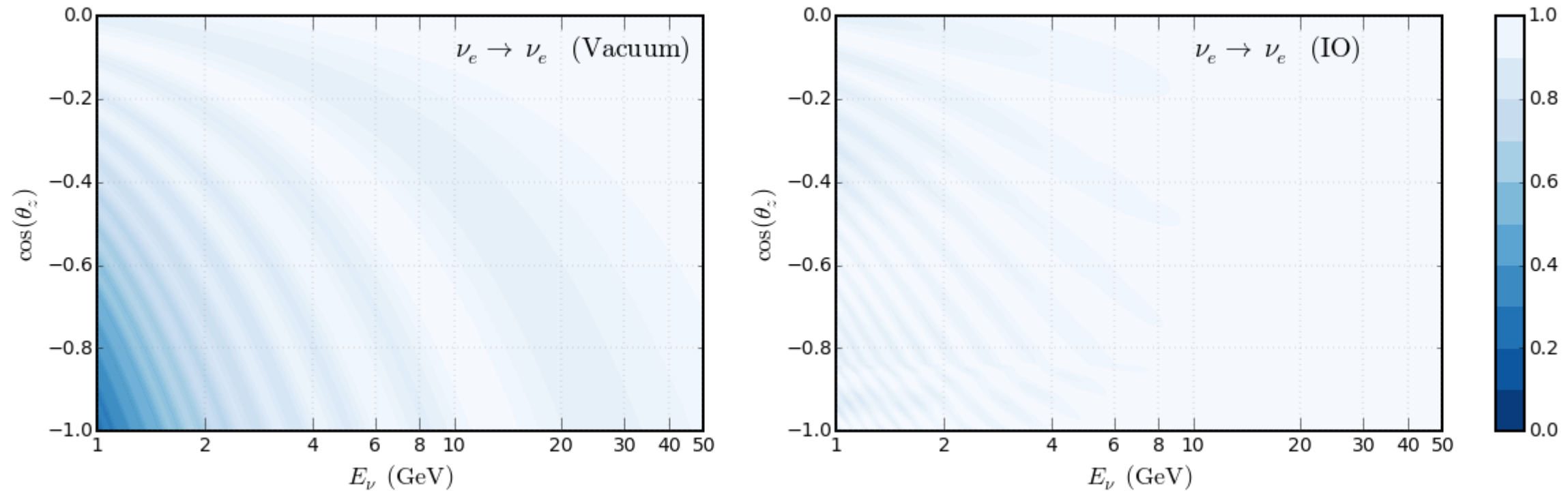
$$P_{\nu_\alpha \rightarrow \nu_\beta}^{2\nu}(L, E) = \sin^2(2\theta) \sin^2\left(\frac{\Delta m^2}{4E} L\right)$$

$$|\Delta m_{\text{large}}^2| \gg |\Delta m_{\text{small}}^2|$$

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha_1/2} & 0 \\ 0 & 0 & e^{i\alpha_2/2} \end{pmatrix}$$

# survival probabilities

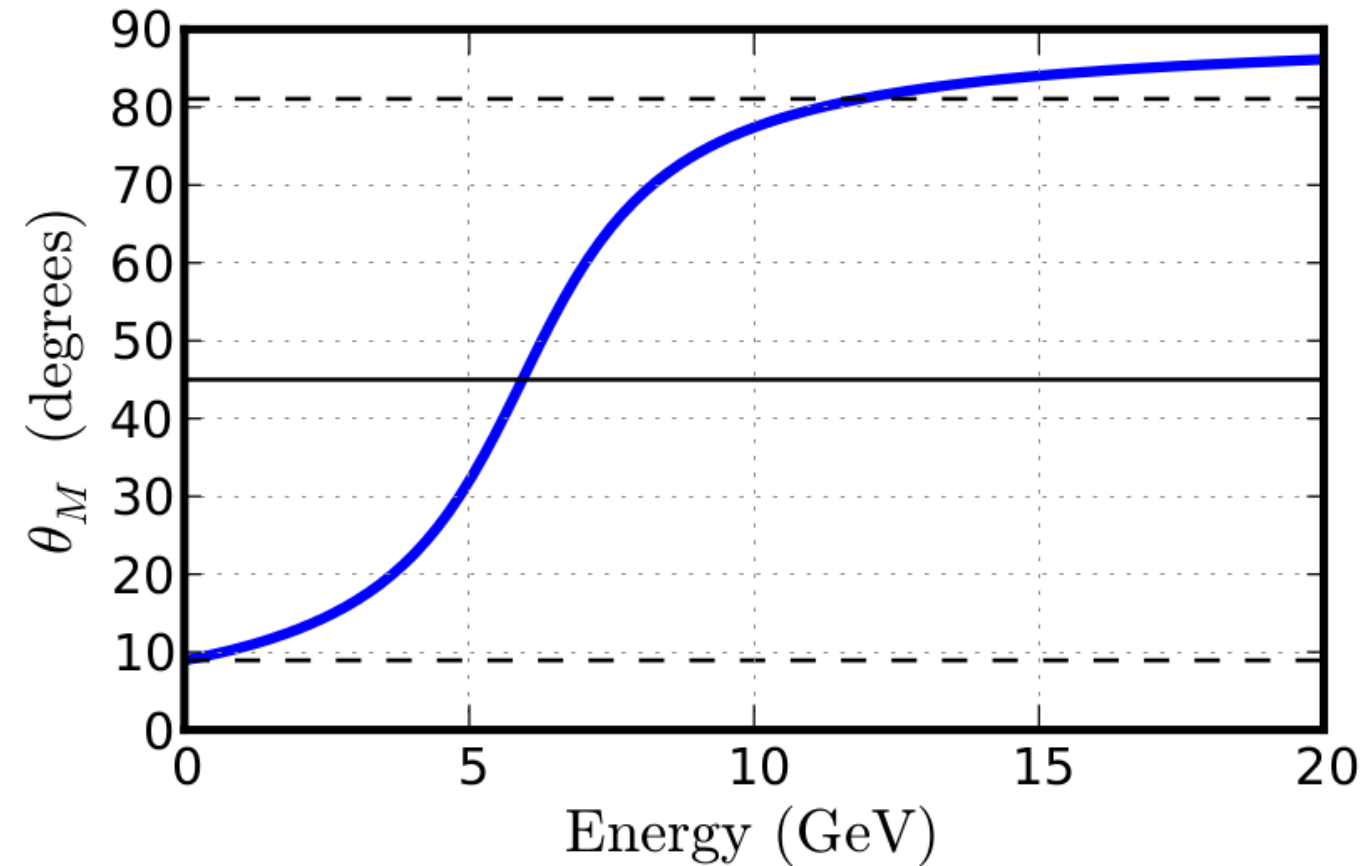
$$P_{\mu e} \simeq \sin^2 \theta_{23} \sin^2 2\theta_{13}^M \sin^2 \left[ \Delta^M \frac{L}{4E} \right],$$



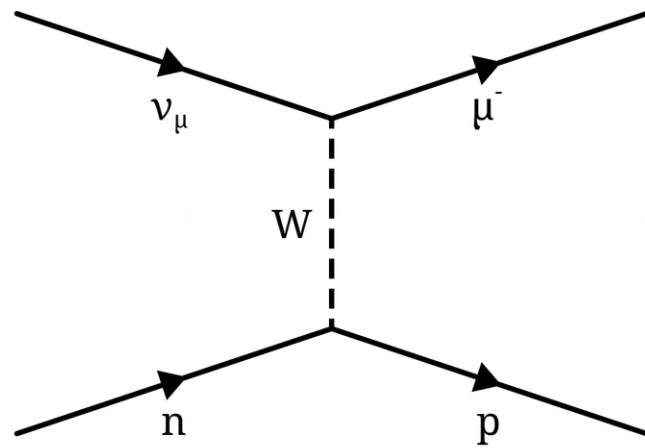
**saturation effect**

# saturation effect on $\theta_{13}$

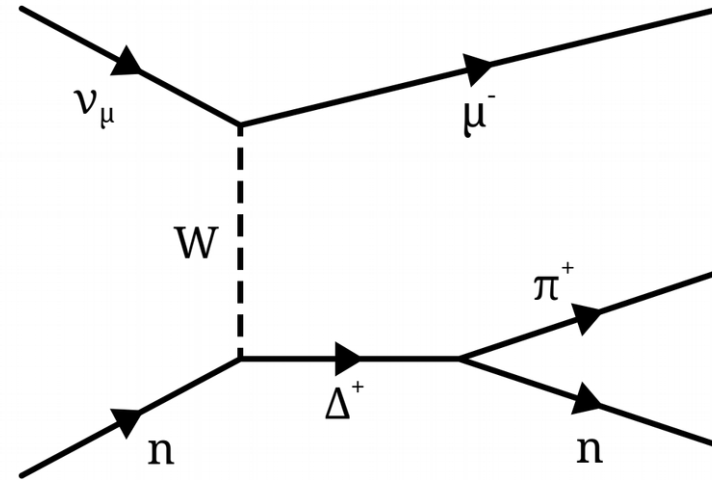
**Figure 3.4:** Effective  $\theta_{13}$  as a function of neutrino energy for an electron number density of 2.5 (blue). Dashed black lines show the value of  $\theta_{13}$  for vacuum, from [4.1](#) and its complement ( $\pi/2 - \theta_{13}$ ). The solid black line indicates maximal mixing. Calculated using Eq. [3.45](#).



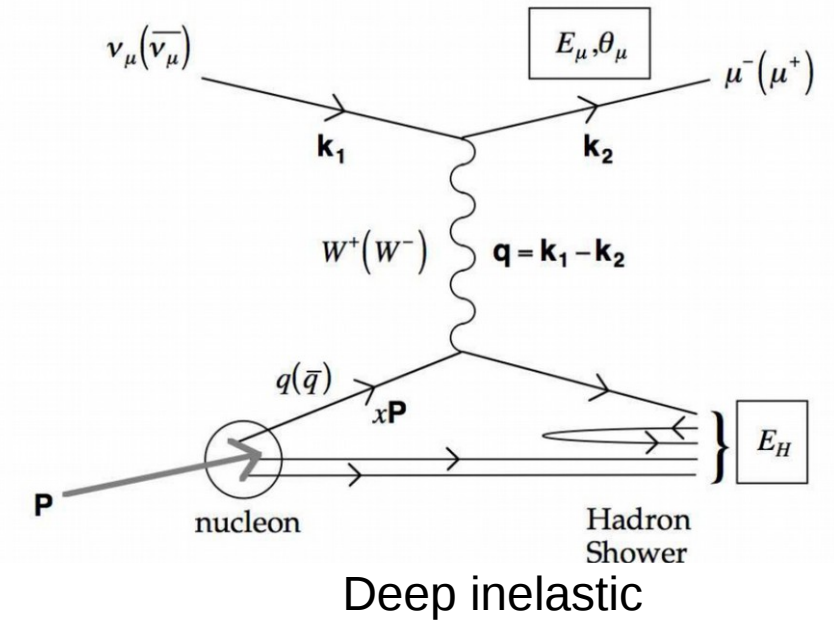
# event signature & energy



Quasi-elastic



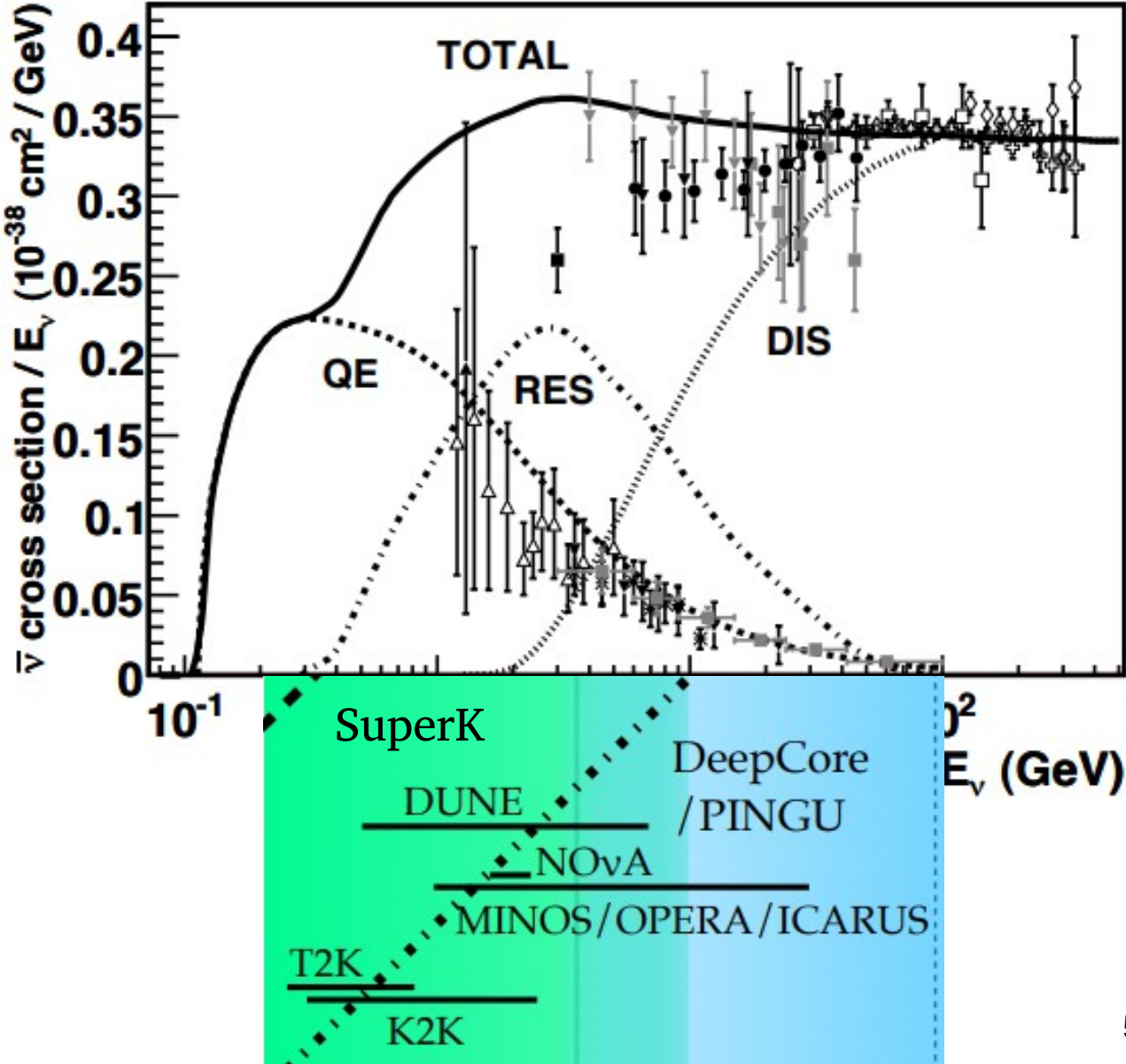
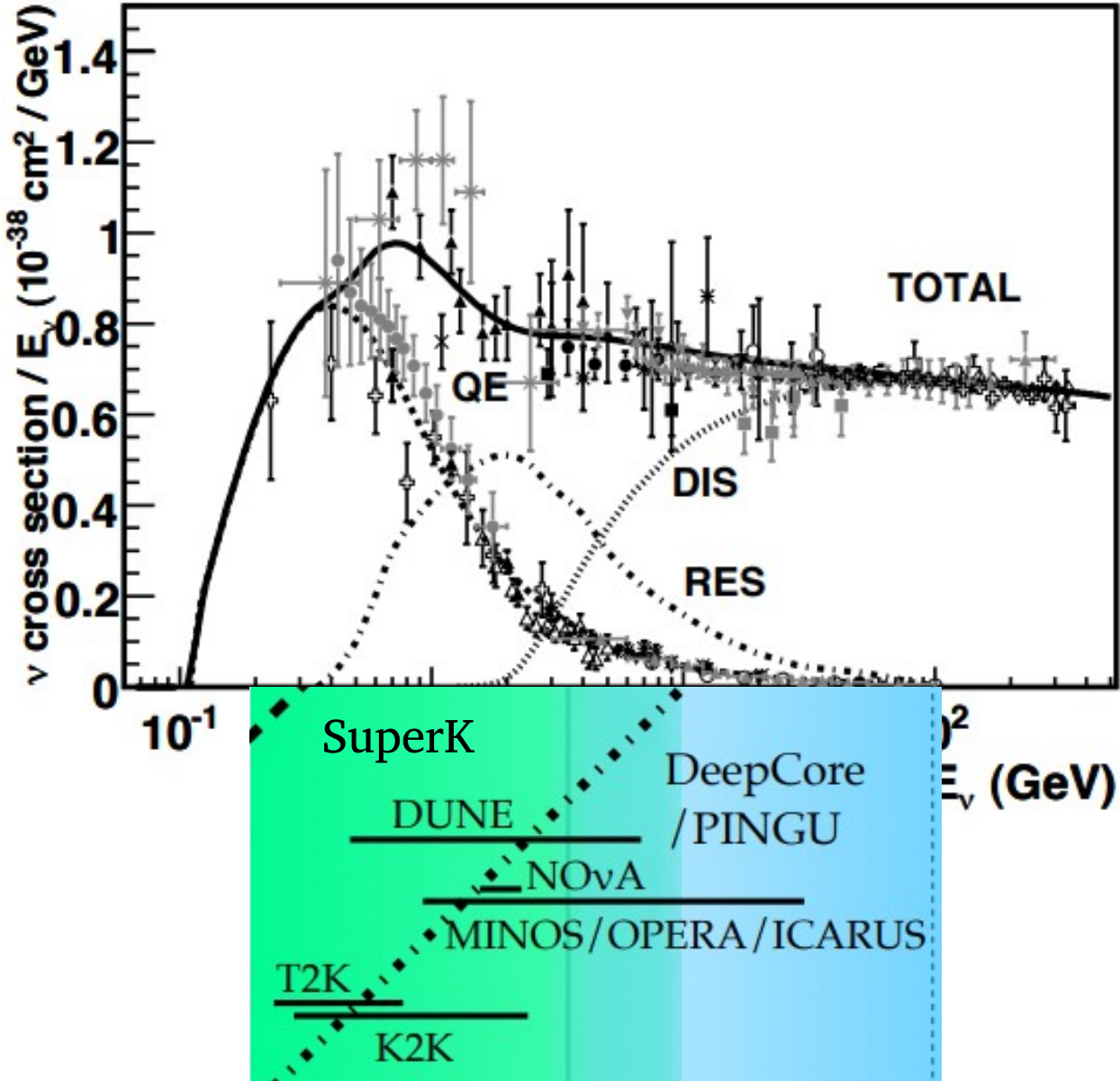
Resonance single-pion



- particle (ring) counting
- Cherenkov light emission
- ionization

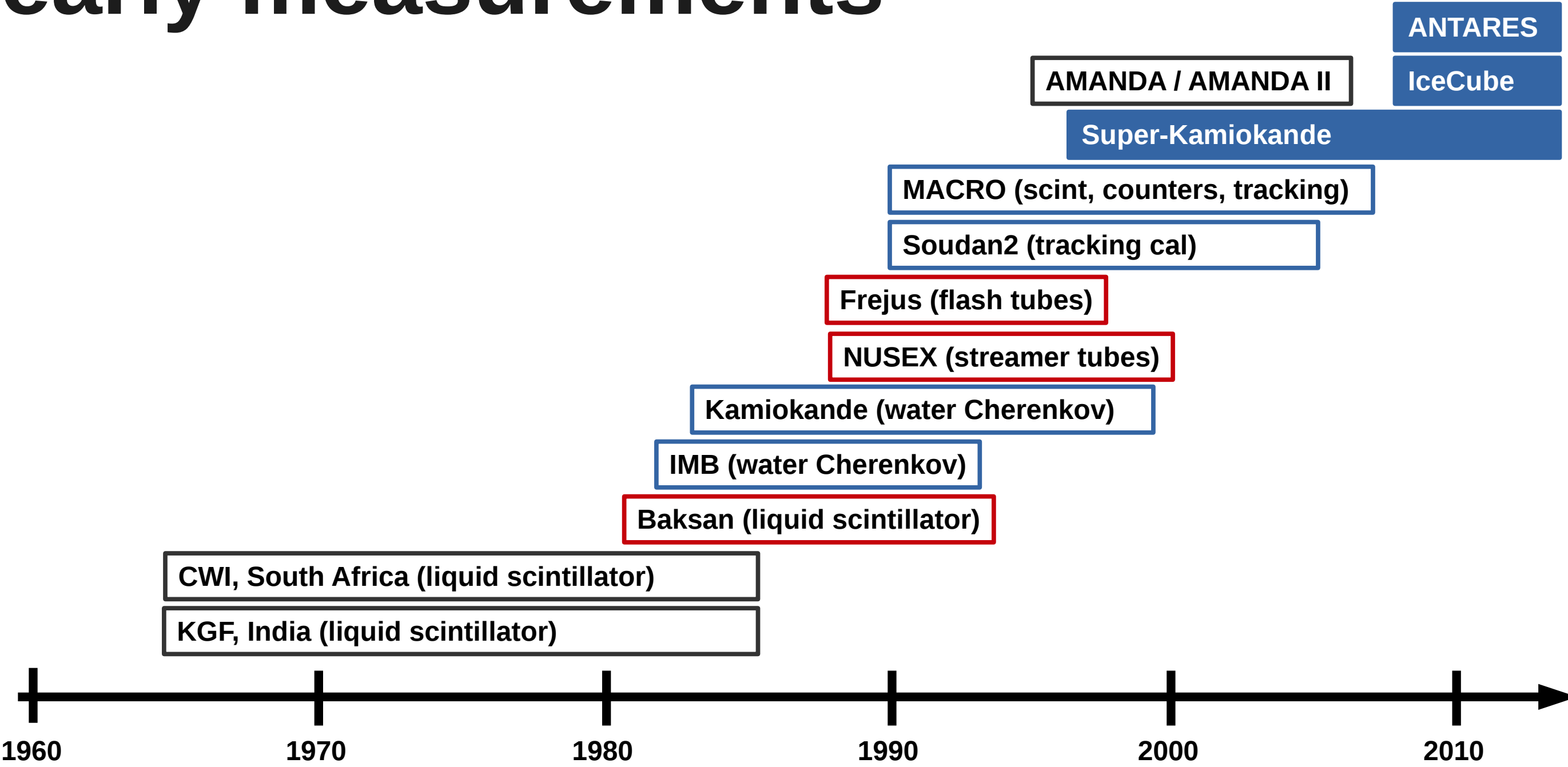
# relevant interactions

Rev. Mod. Phys. 84, 1307 (2012)



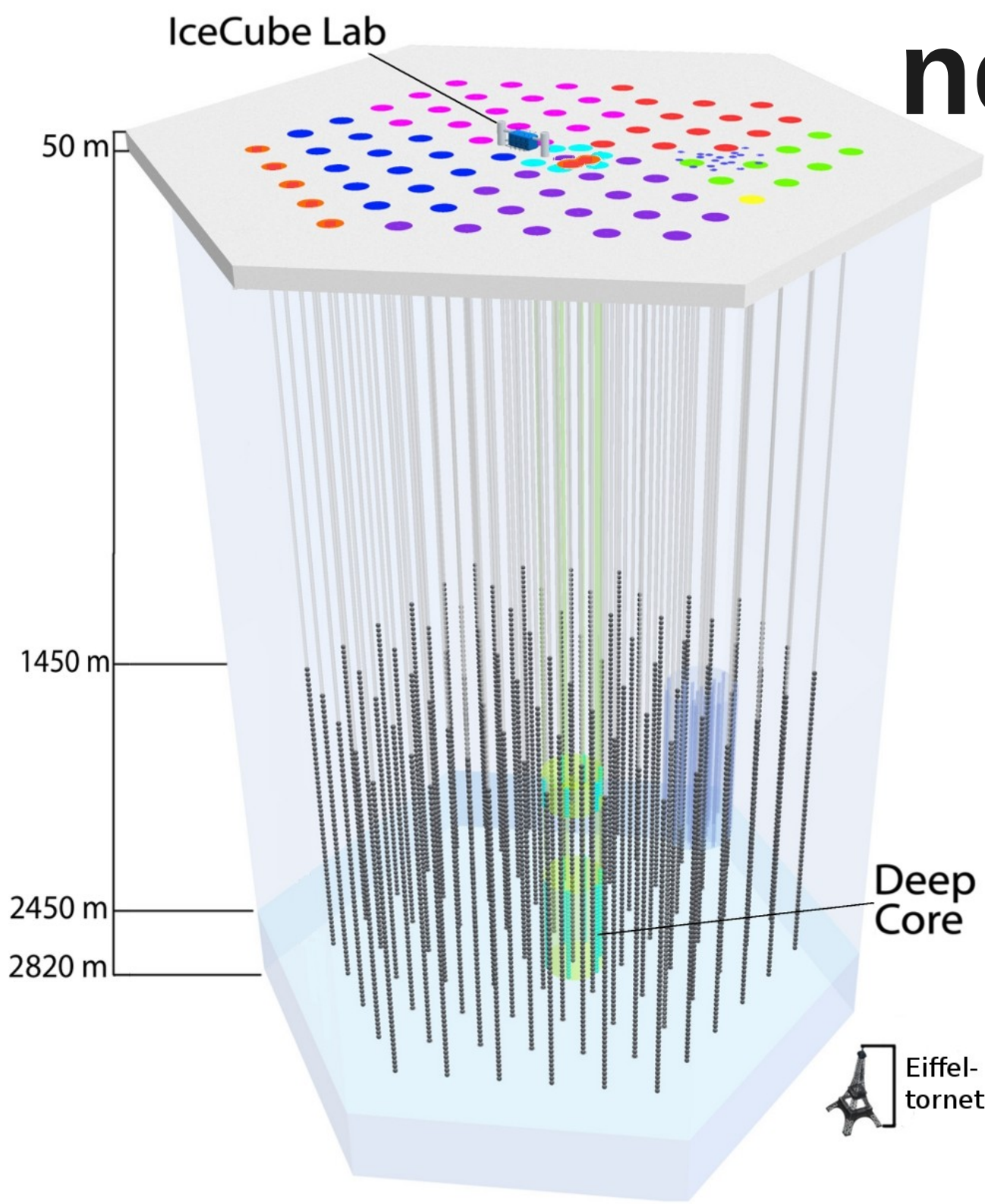


# early measurements



\*take dates with caution – list is incomplete

# neutrinos in IceCube



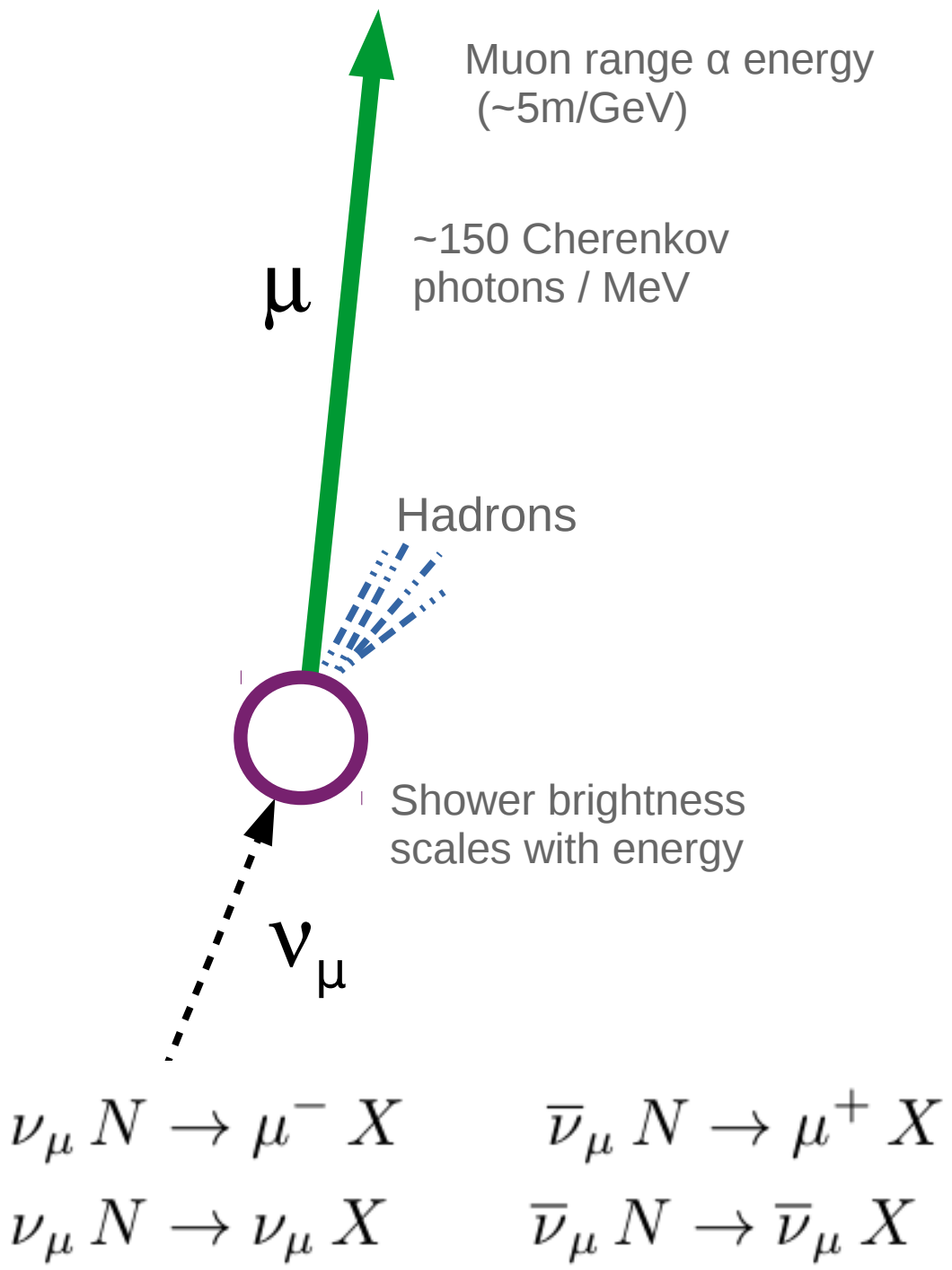
signature **extension** and **brightness** scales with neutrino **energy**

The **lowest energy** neutrinos are seen by the **DeepCore** sub-array ( $E \geq 10 \text{ GeV}$ )

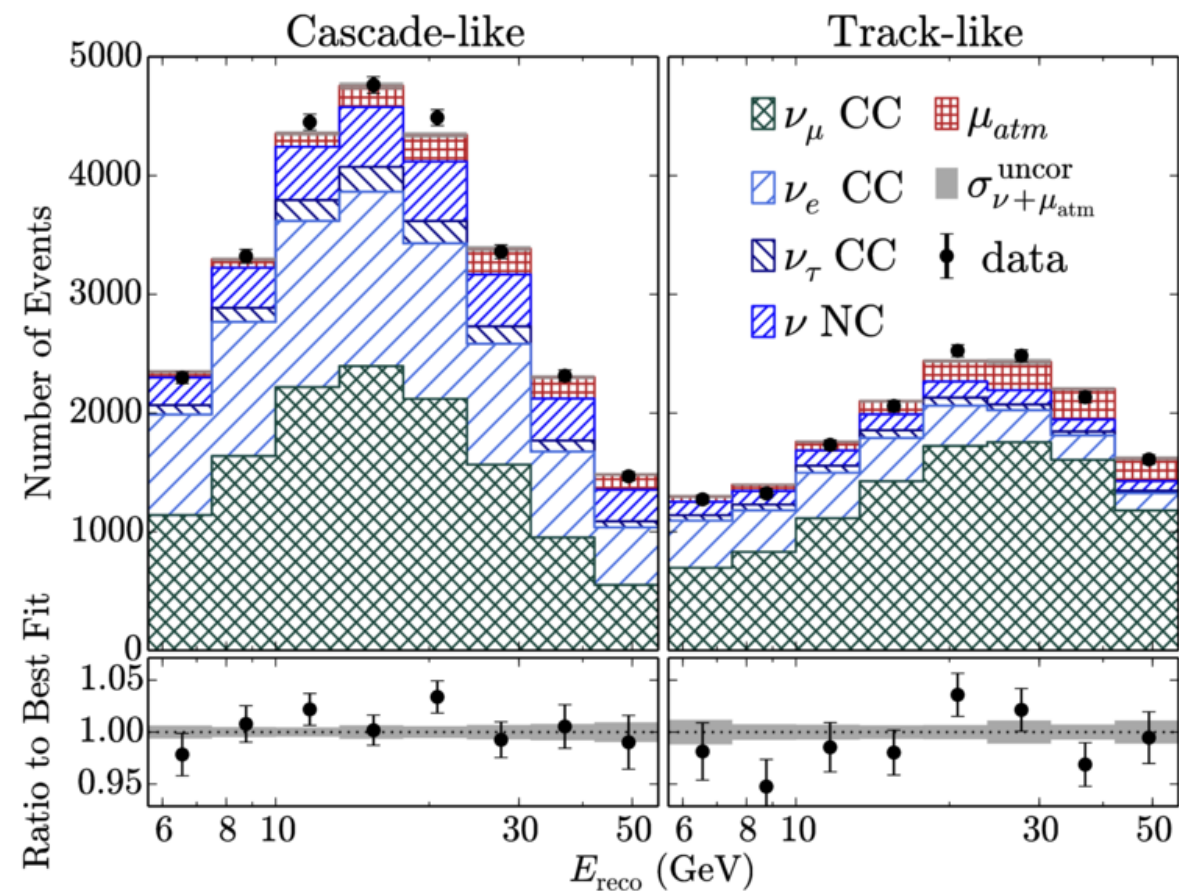
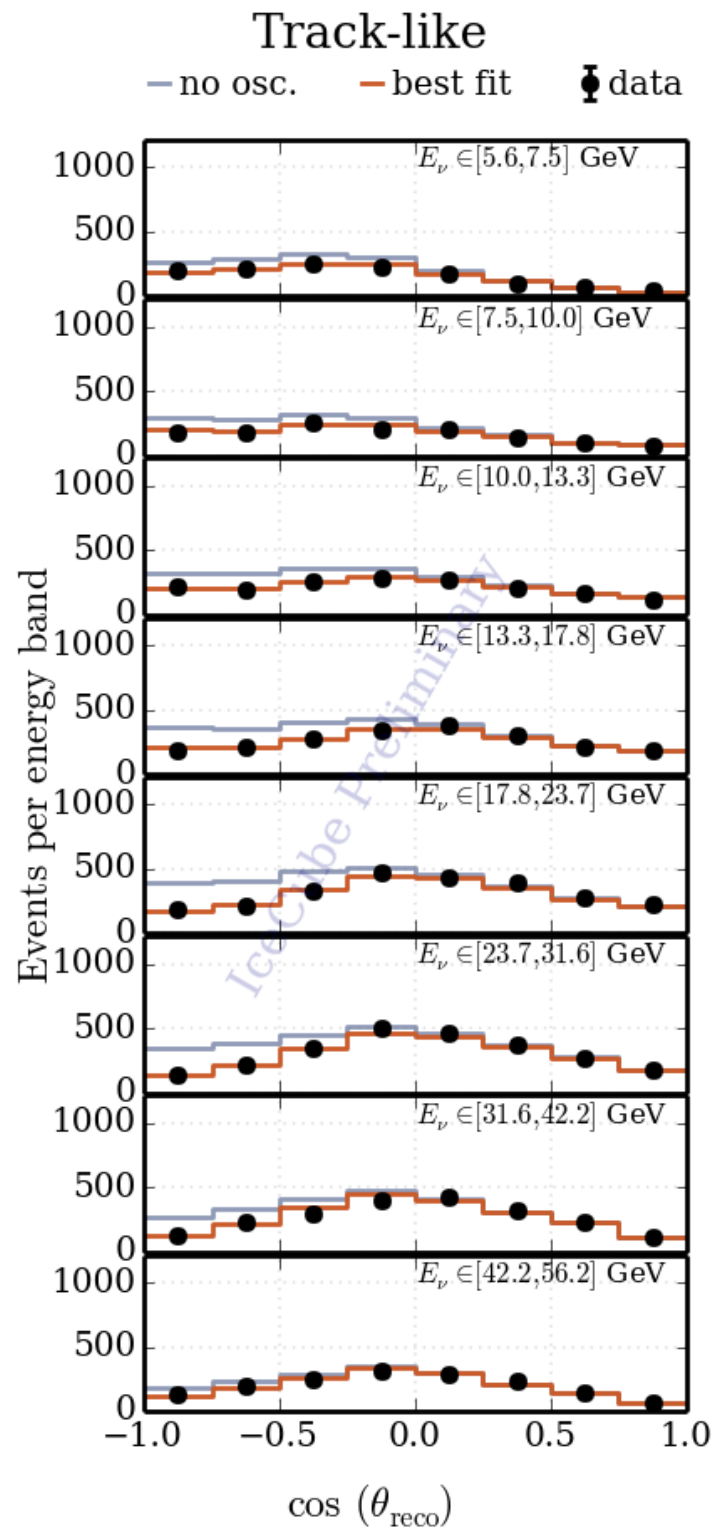
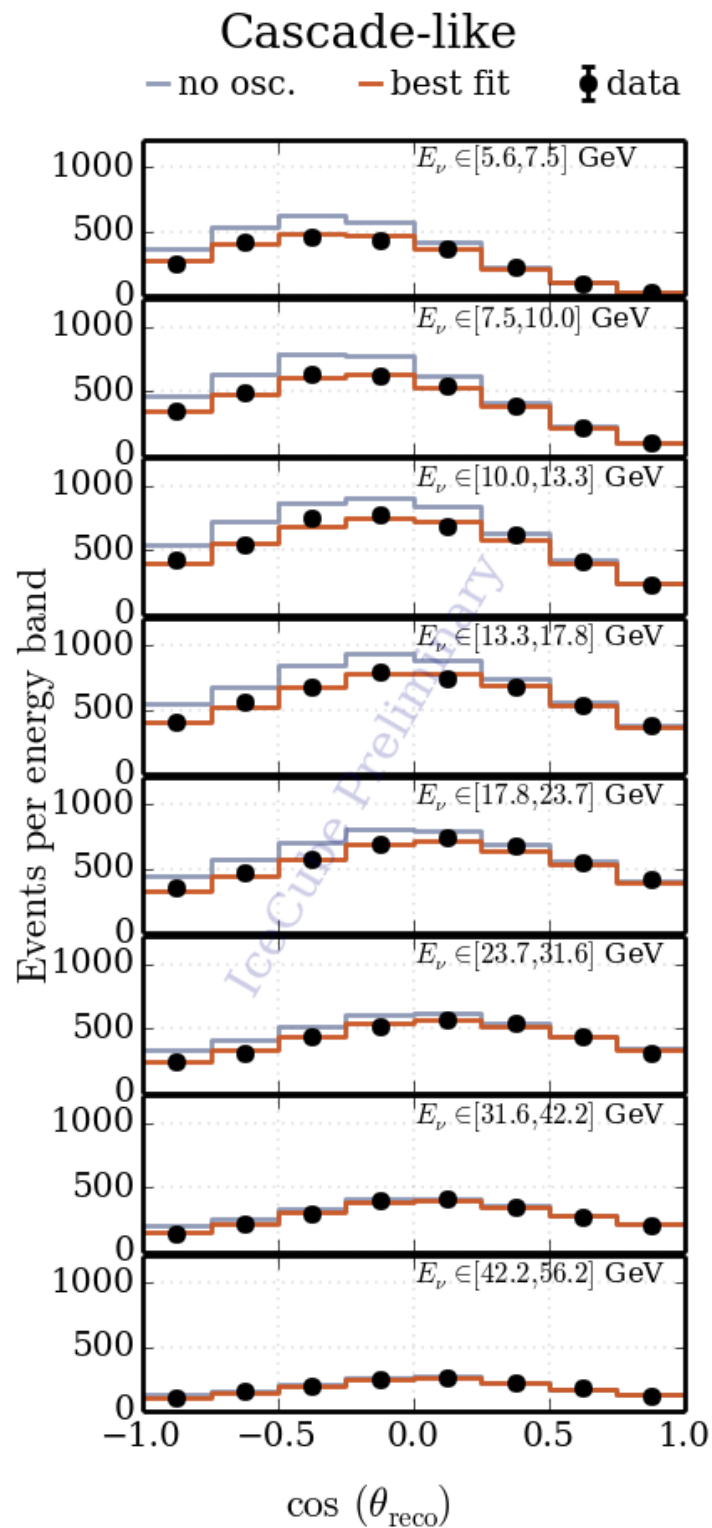
# detection principle

neutrino **interacts** with ice nuclei via “deep inelastic scattering”

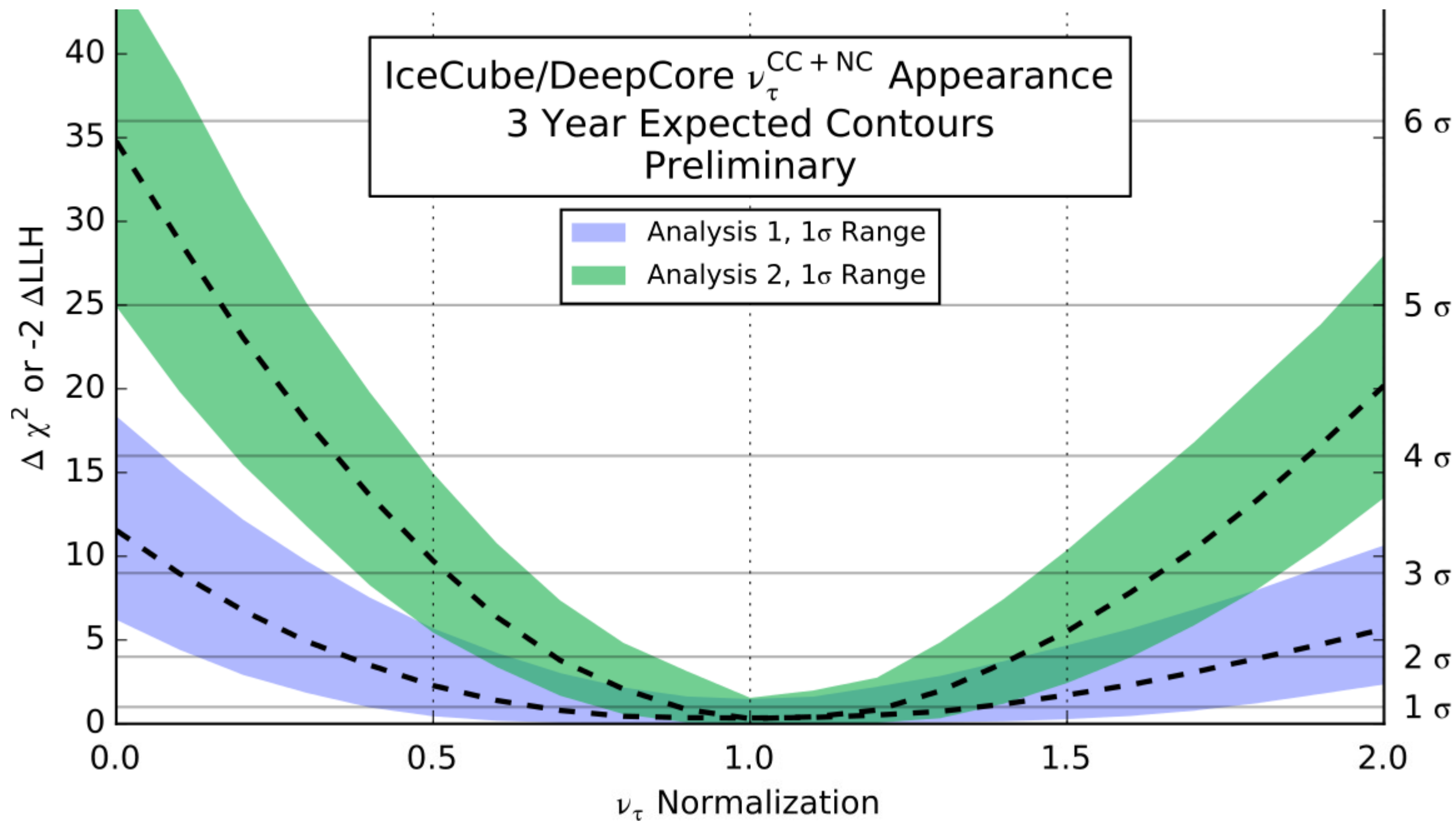
**secondary charged particles produced as a result**

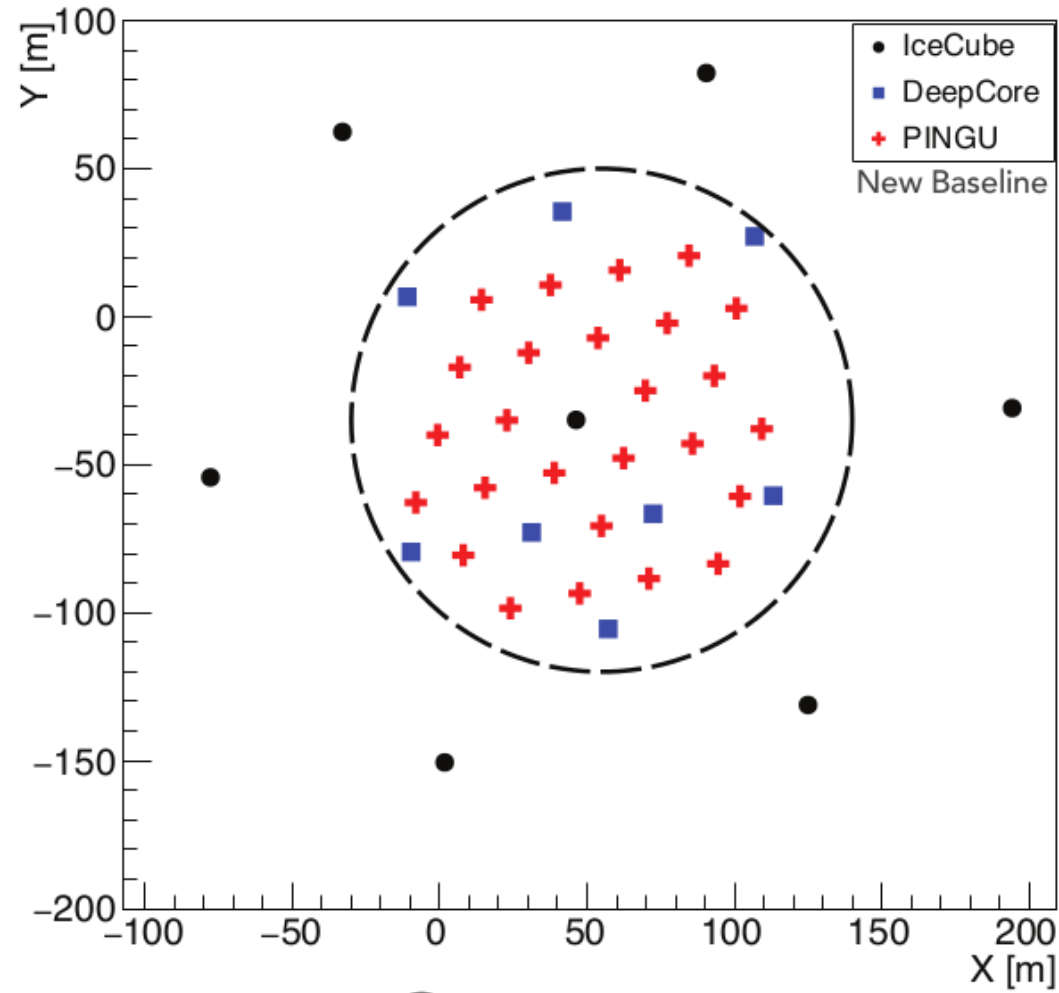


# IceCube DeepCore



# Tau appearance in IceCube



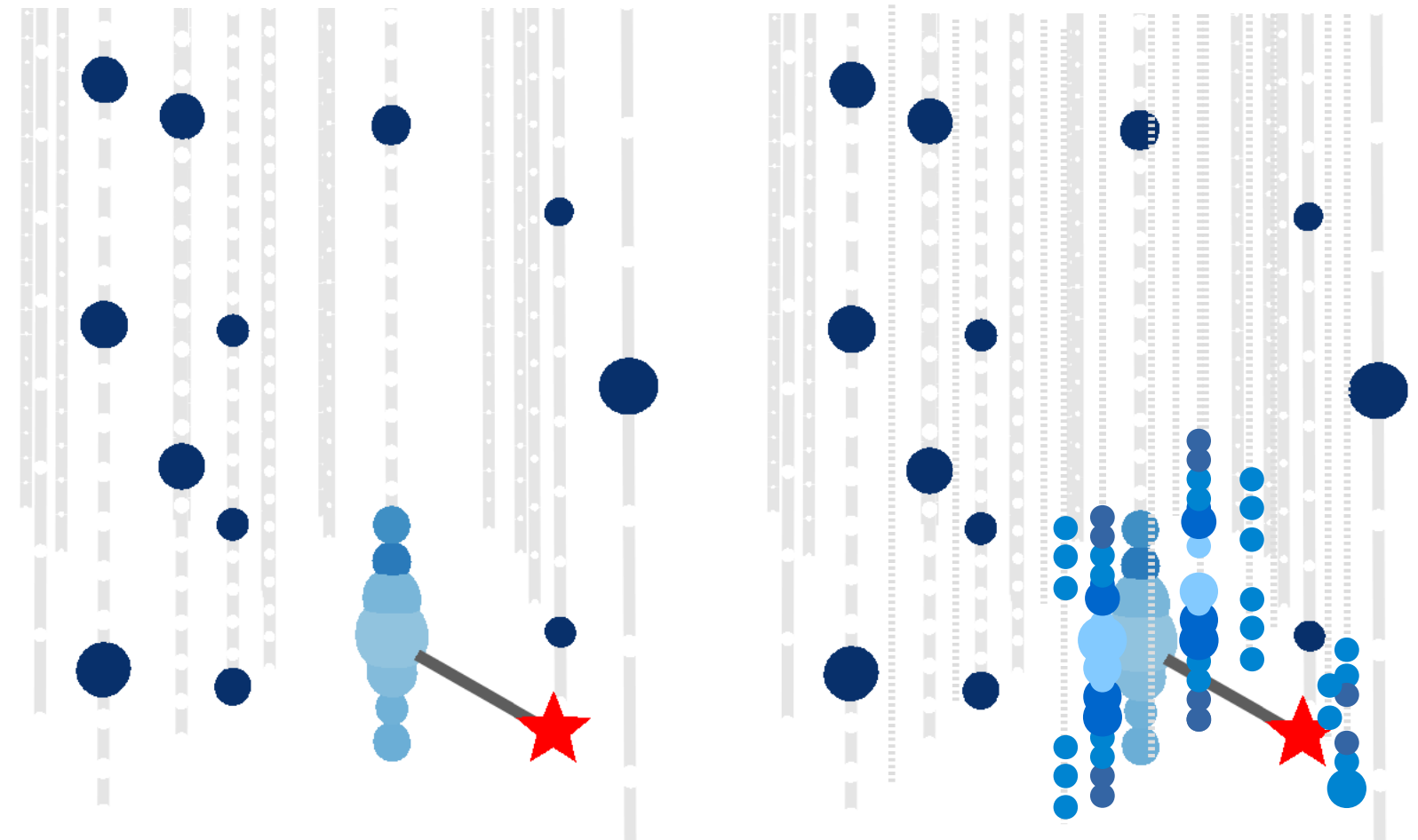


Current

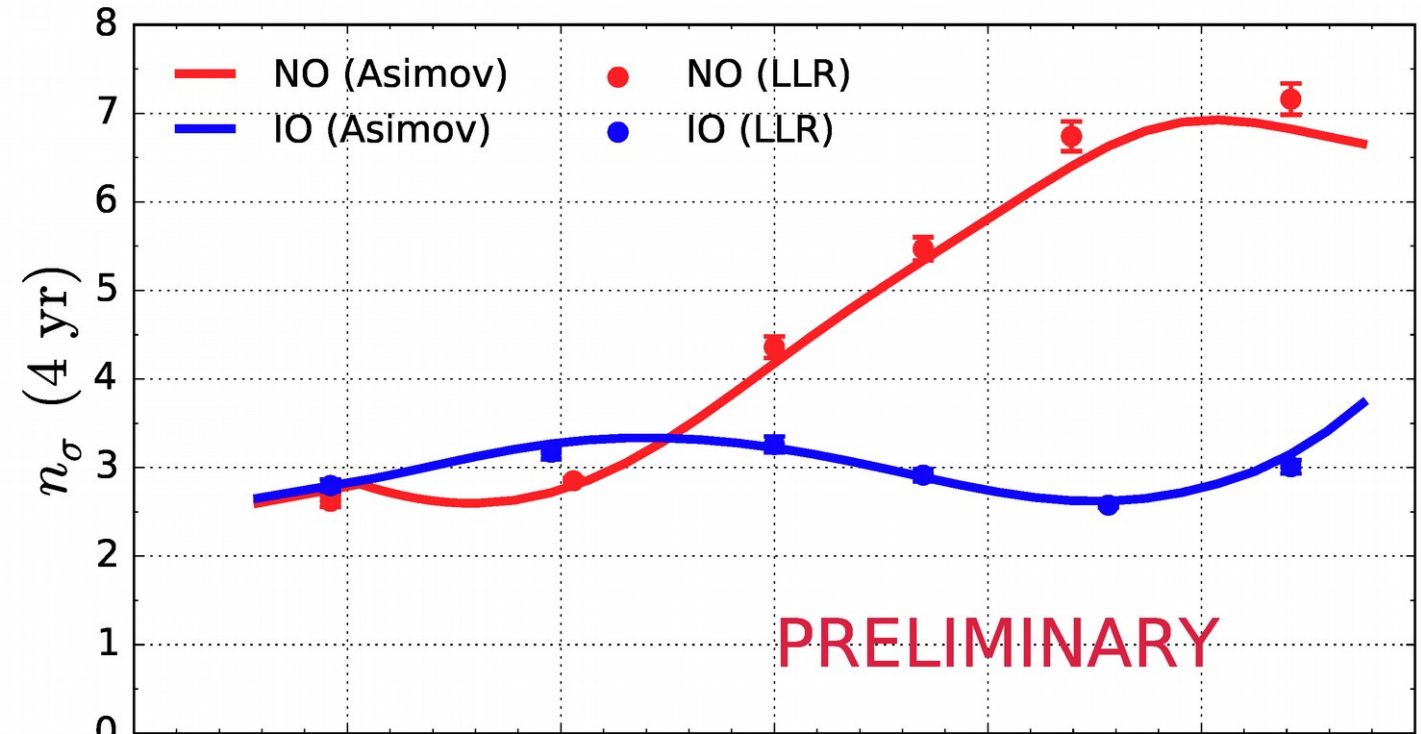
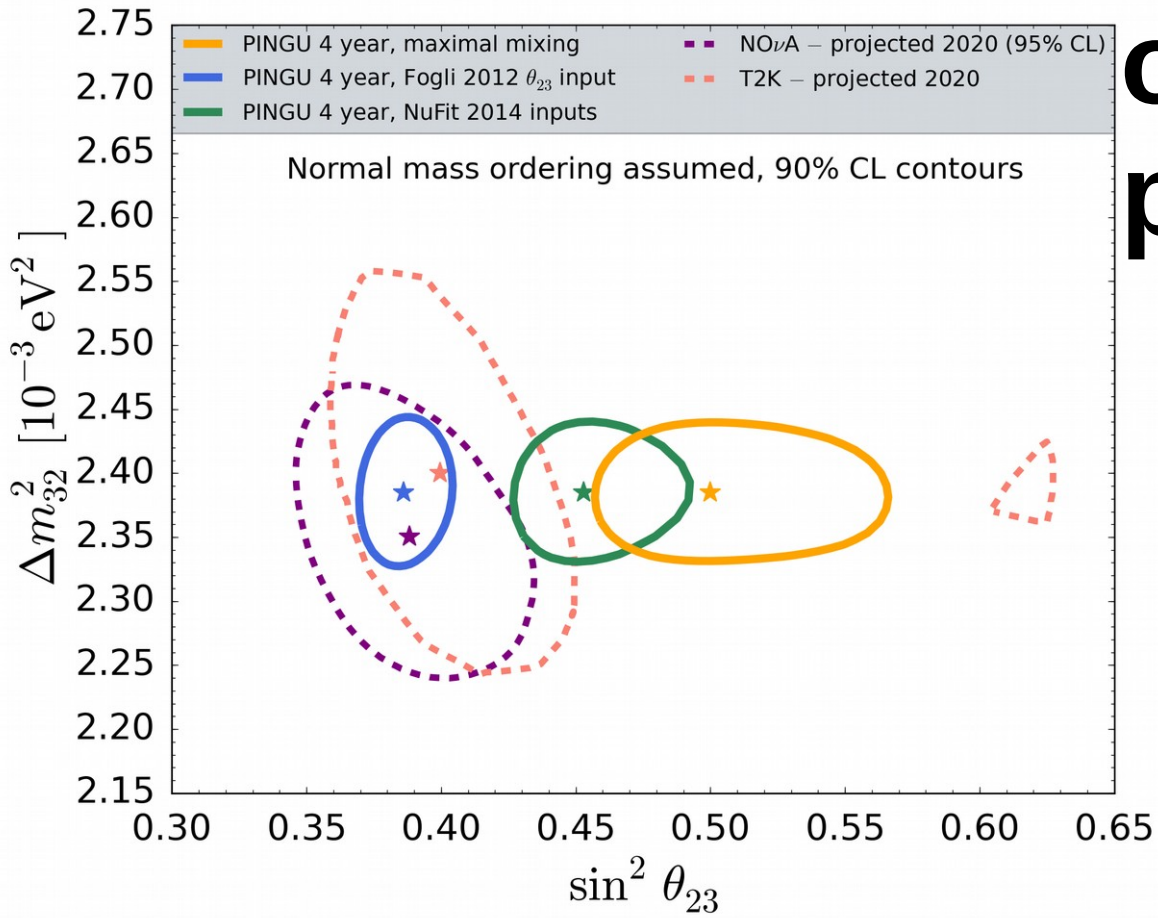
26 strings  
192 DOMs/string  
1.5 m DOM-DOM spacing

-DeepCore infill

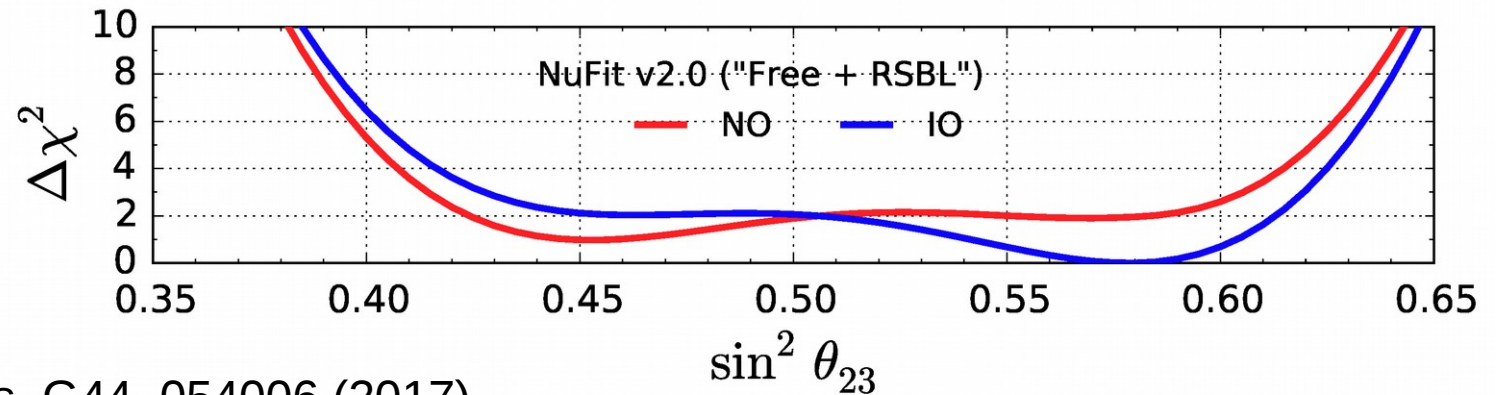
-**lower** energy threshold



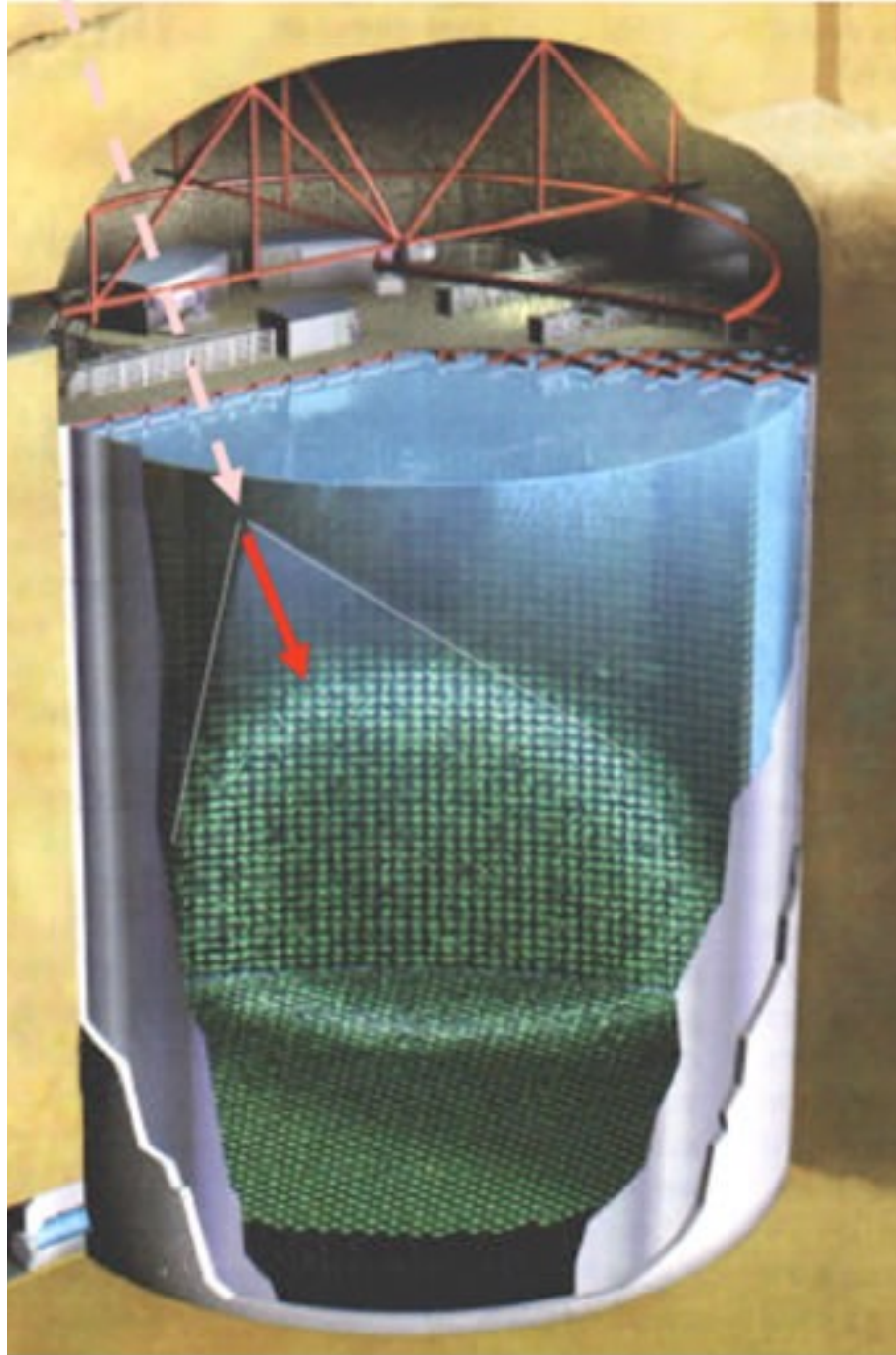
## oscillation parameters



## mass ordering



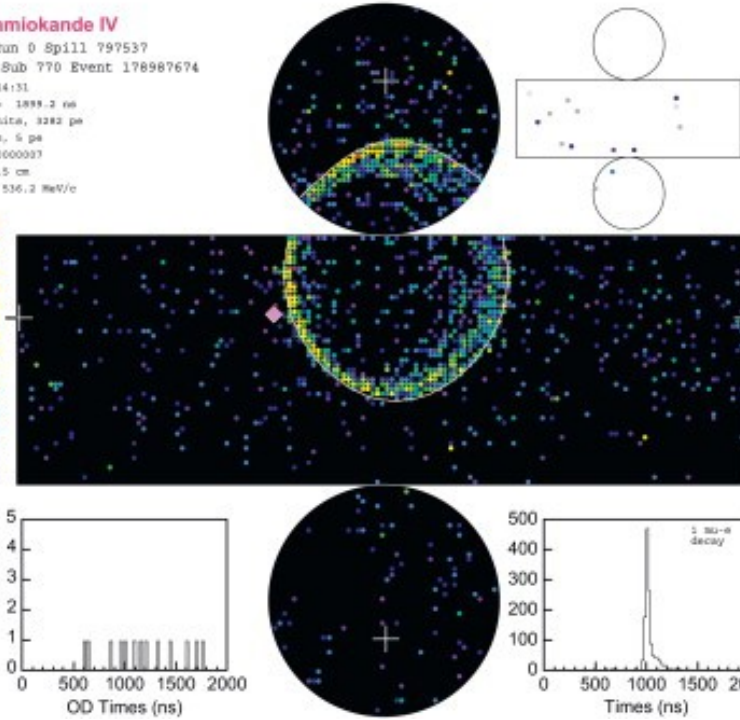
# Super-Kamiokande



a

**Super-Kamiokande IV**  
 T2K Beam Run 0 Spill 797537  
 Run 66776 Sub 770 Event 178987674  
 10-05-11:12:14:31  
 T2K beam dt = 1899.2 ns  
 inner: 1332 hits, 3282 pe  
 Outer: 6 hits, 5 pe  
 Trigger: 0x86000037  
 D\_wall: 1136.5 cm  
 $\mu$ -like,  $p = 536.2$  MeV/c

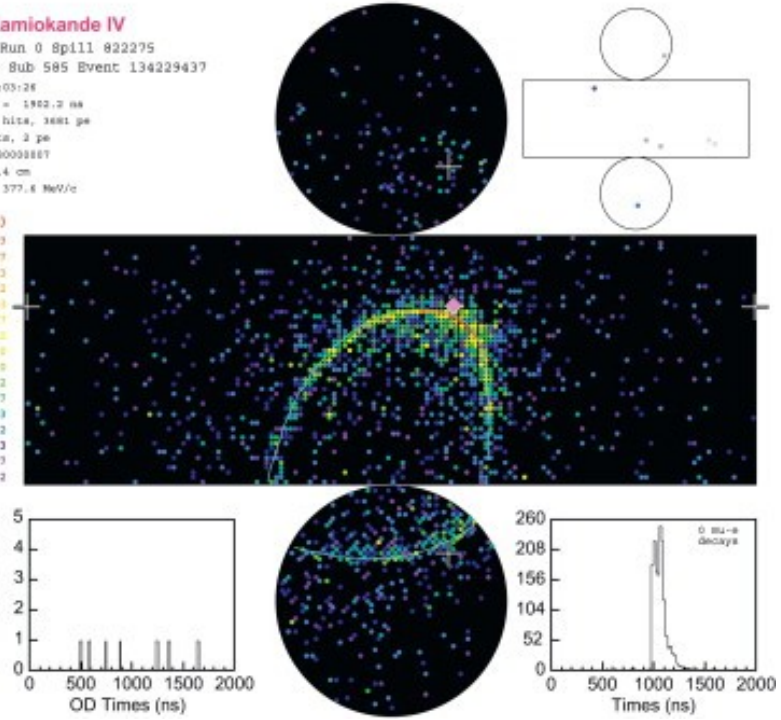
Charge (pe)  
 \* >26.7  
 \* 23.3-26.7  
 \* 20.2-23.3  
 \* 17.3-20.2  
 \* 14.7-17.3  
 \* 12.0-14.7  
 \* 10.0-12.0  
 \* 8.0-10.0  
 \* 6.2- 8.0  
 \* 4.7- 6.2  
 \* 3.3- 4.7  
 \* 2.3- 3.3  
 \* 1.3- 2.2  
 \* 0.7- 1.3  
 \* 0.2- 0.7  
 \* = 0.2



b

**Super-Kamiokande IV**  
 T2K Beam Run 0 Spill 822275  
 Run 66778 Sub 585 Event 134229437  
 10-05-12:21:05:28  
 T2K beam dt = 1902.2 ns  
 inner: 1400 hits, 3681 pe  
 Outer: 2 hits, 2 pe  
 Trigger: 0x80008867  
 D\_wall: 814.4 cm  
 $e$ -like,  $p = 377.6$  MeV/c

Charge (pe)  
 \* >26.7  
 \* 23.3-26.7  
 \* 20.2-23.3  
 \* 17.3-20.2  
 \* 14.7-17.3  
 \* 12.0-14.7  
 \* 10.0-12.0  
 \* 8.0-10.0  
 \* 6.2- 8.0  
 \* 4.7- 6.2  
 \* 3.3- 4.7  
 \* 2.3- 3.3  
 \* 1.3- 2.2  
 \* 0.7- 1.3  
 \* 0.2- 0.7  
 \* = 0.2





# MINOS far detector

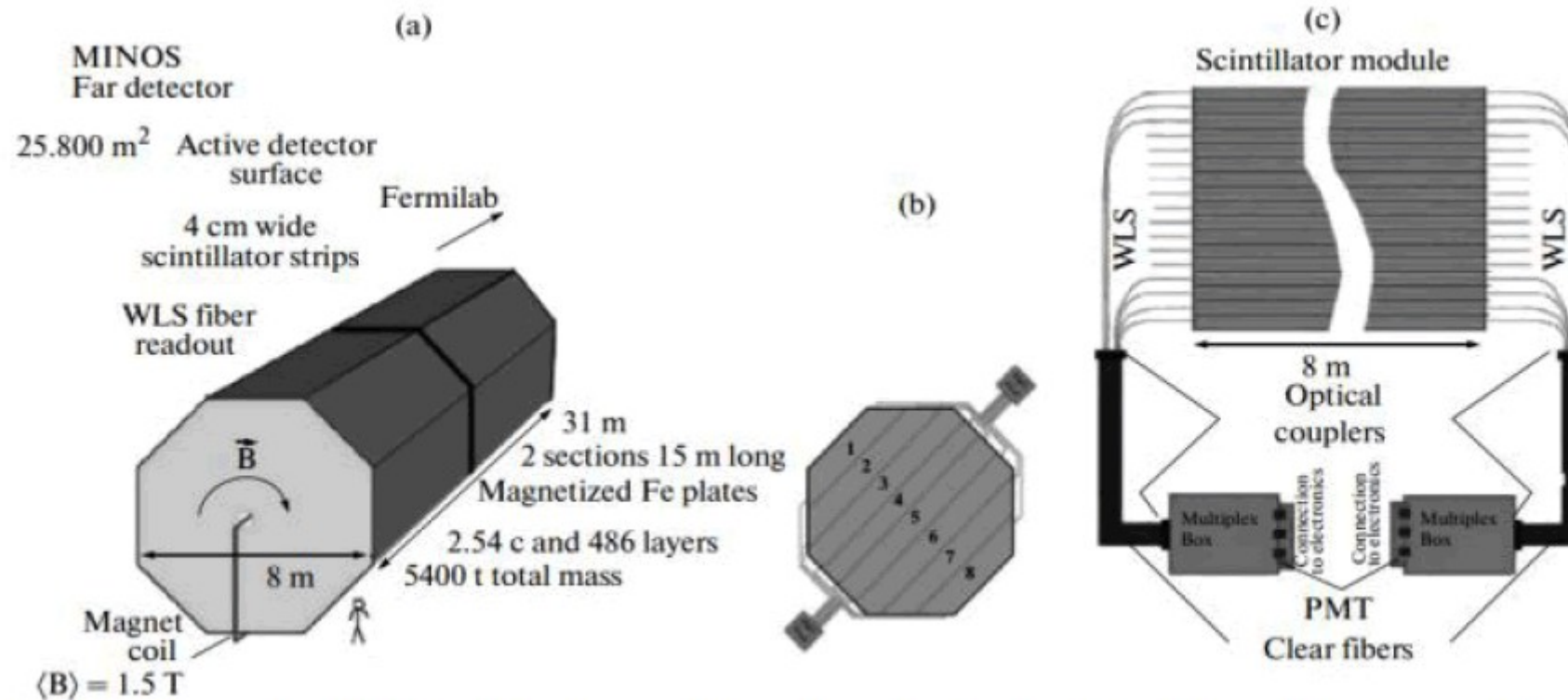
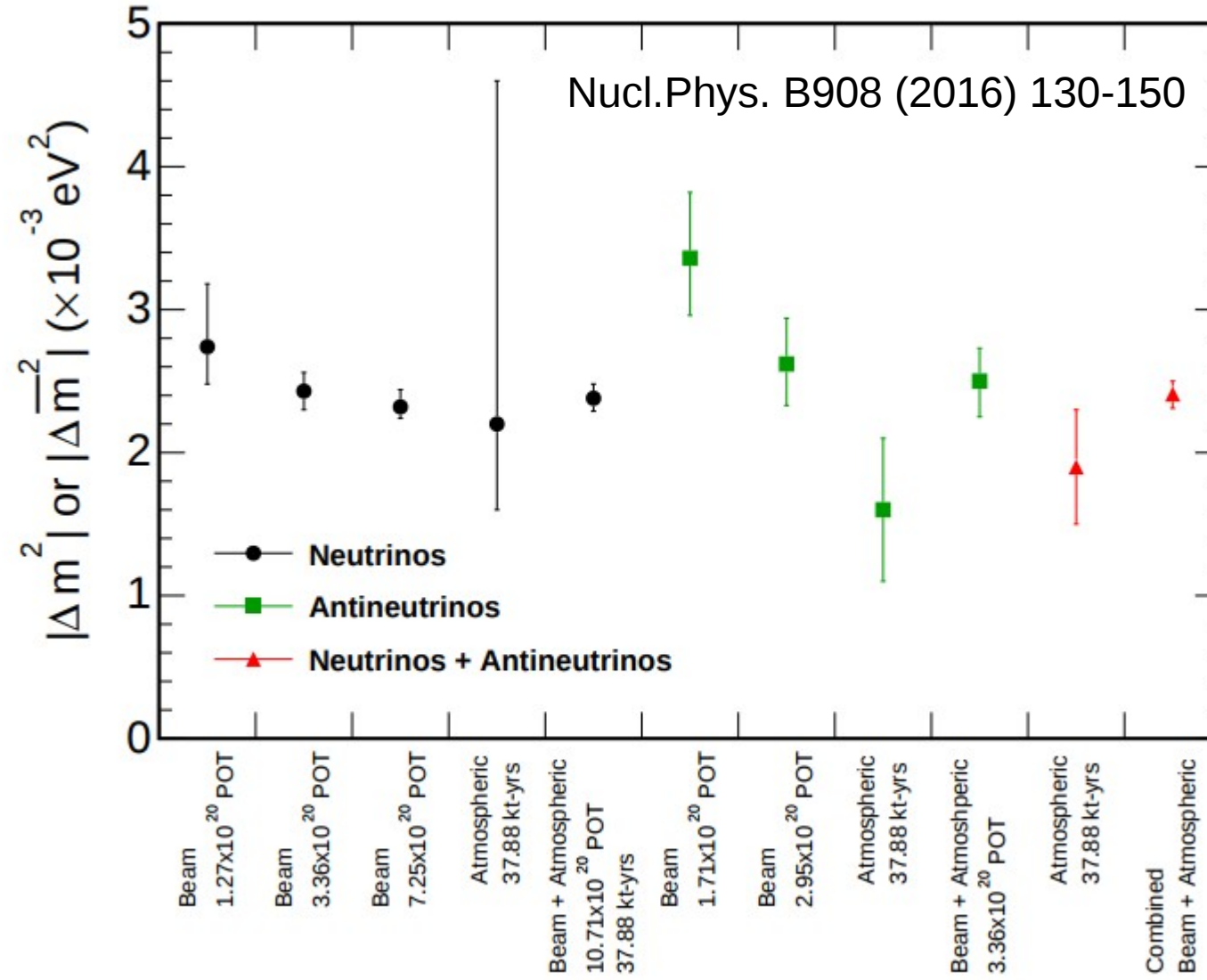
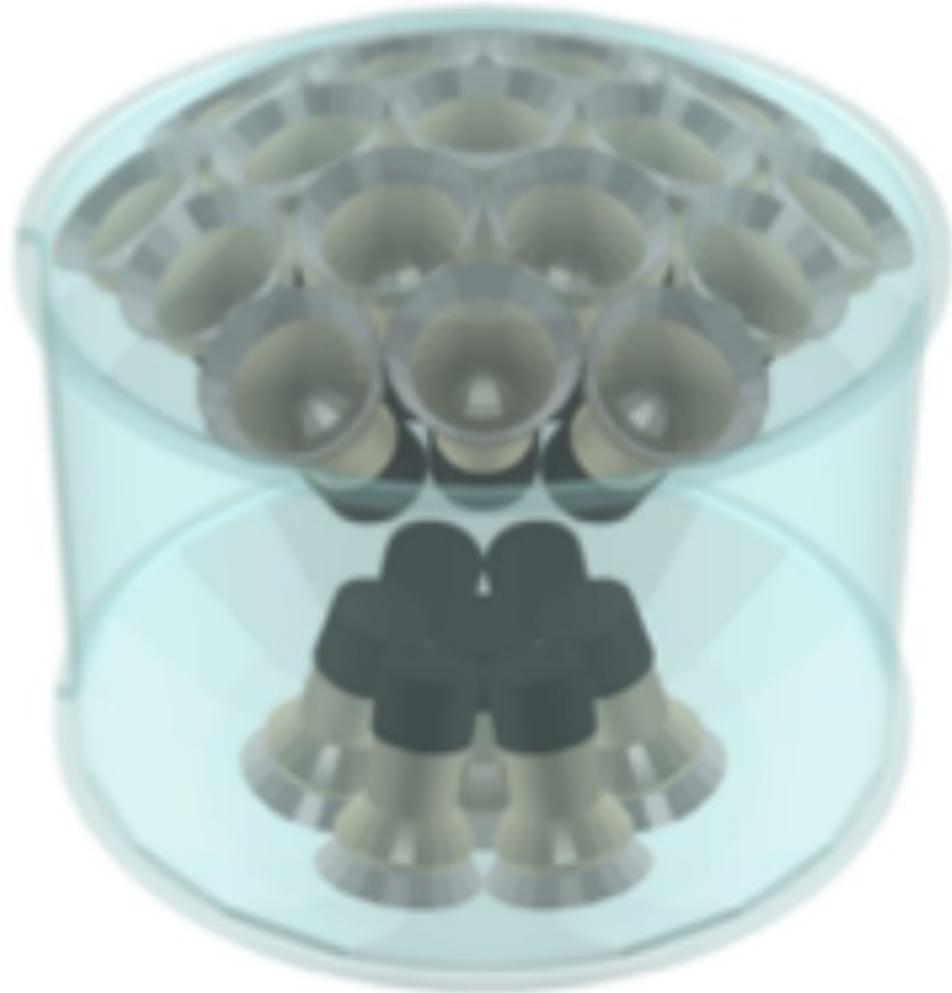


Figure 9: Schematic view of the MINOS far detector (a), a scintillation plane with 8 modules (b), and the scintillation strip readout scheme (c).

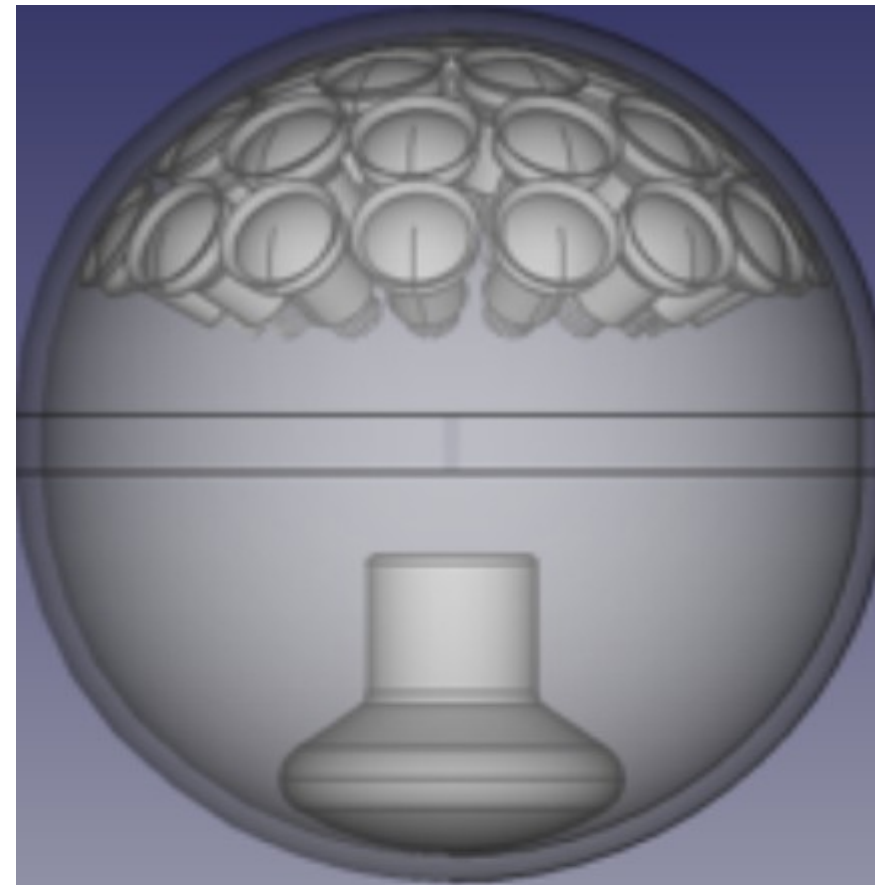


# segmented modules **proposed**

## NuPRISM



## HyperKamiokande



# VLVNTs vs beam experiments

TABLE 1: Qualitative comparison of experiments measuring the atmospheric neutrino oscillation parameters. The table is divided into detector and flux characteristics. Note that the far detector of T2K is Super-Kamiokande but uses accelerator neutrinos. Detector performances taken from [4, 9, 38, 43, 49, 83, 95]. Expected neutrino events quoted from published results of  $\nu_\mu$  disappearance at analysis level (note that for VLVNTs this number can vary significantly depending on the studied range in energy, zenith angle, and topology). COH refers to coherent pion production. For details on the other interaction channels and energy ranges see Figure 8.

Parameter	VLVNT		SK	MINOS, T2K, and NOvA
	ANTARES	DeepCore		
Instrumentation density ( $\text{m}^{-3}$ )	$9.1 \times 10^{-5}$ OMs	$2.3 \times 10^{-5}$ DOMs	0.2 OMs	15 channels
Detector (far)	Cherenkov light over tens of meters		Cherenkov rings	Trackers/calorimeters
$E_\nu$ resolution	$50\% \pm 22\%$	25% at 20 GeV	3% at 1 GeV	10–15% at 10 GeV
$\theta_\nu$ resolution	$3^\circ$ at 20 GeV	$8^\circ$ at 20 GeV	2–3°	—
Particle ID capabilities	Muon/no muon in interaction		$e, \mu, \pi$ (rings)	Individual particles, charge
Source of neutrinos	Atmosphere: mix of $\nu_e, \bar{\nu}_e, \nu_\mu,$ and $\bar{\nu}_\mu$			Accelerator: $\nu_\mu/\bar{\nu}_\mu$ modes
Baseline	10–12700 km			300–800 km
Flux determination	Atm. $\nu$ models, self-fit		+top/down ratios	Near/far detector
Neutrino flux	10–100 GeV		Few MeV–few GeV	Few GeV
Energy range	DIS		QE	QE, RES, COH, and DIS
Main interaction channel	DIS		QE	QE, RES, COH, and DIS
$\nu$ events expected with osc.	530	1800	2000	30 (T2K), 900 (MINOS)
and without osc. (per year)	660	2300	2300	120 (T2K), 1050 (MINOS)

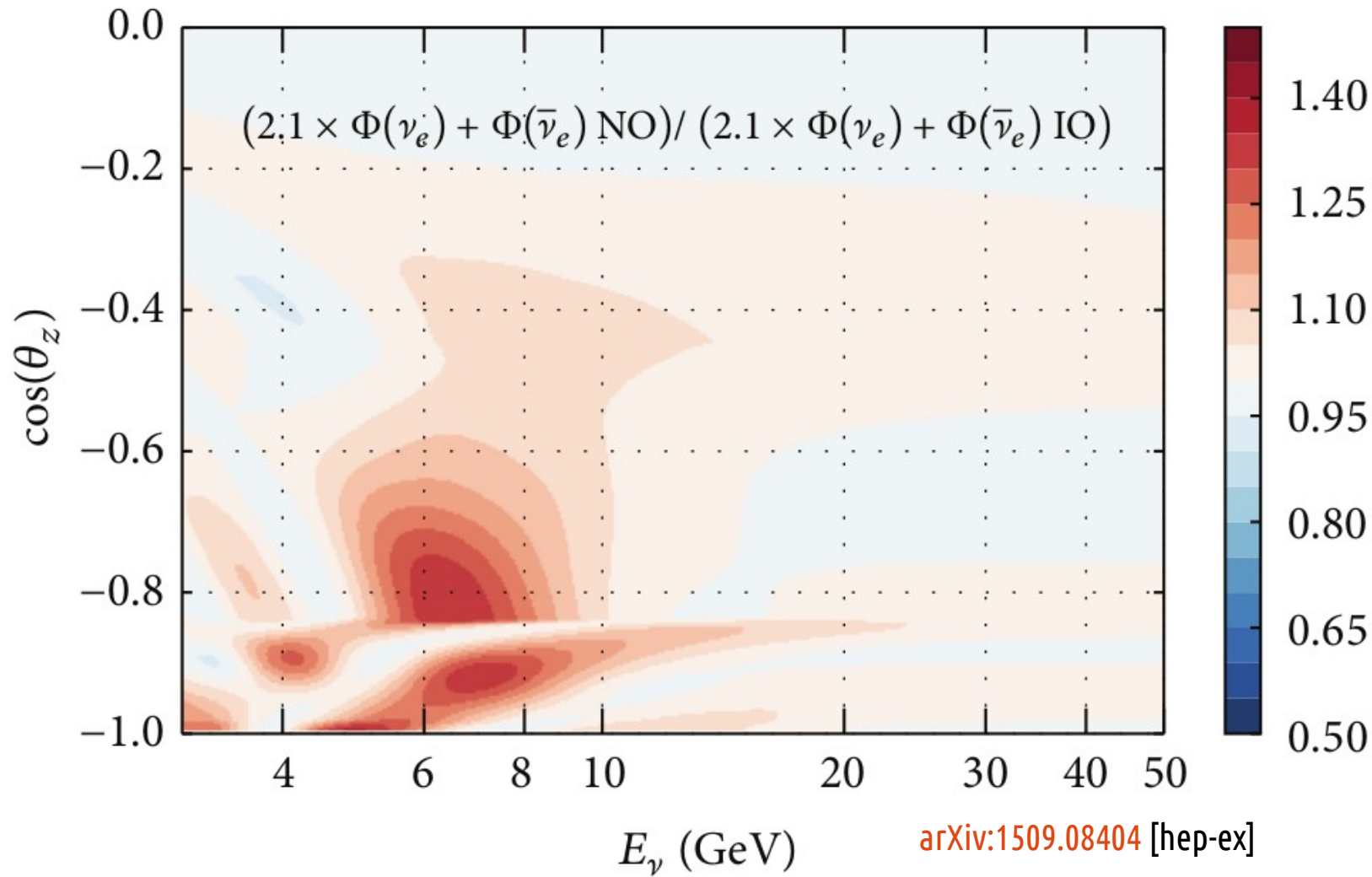


FIGURE 5: Expected interaction rate of electron neutrinos and antineutrinos predicted by a NO over the rate predicted assuming an IO. Using the oscillation parameters in [3]. Because of the flux ratio  $\nu_\mu/\bar{\nu}_\mu$  and the cross section difference, estimated to be 2.1 times larger for neutrinos than antineutrinos, more electron neutrino interactions are expected for a NO.