SIDIS: most recent results and open issues

XIV International Workshop on Hadron Structure and Spectroscopy

Cortona (Italy), 2 - 5 April 2017

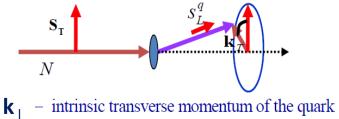
Giulio Sbrizzai – Trieste INFN

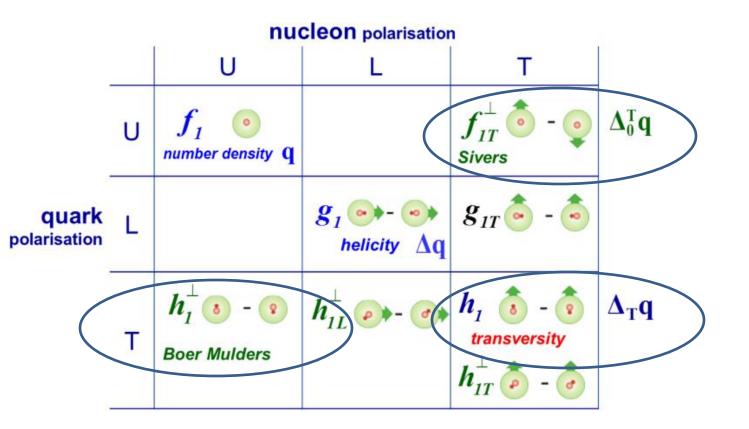
Focusing on a selection of results mainly on transverse momentum dependent effects and transverse spin in SIDIS (and some related open issues)

The structure of the nucleon

there are **8 TMD PDF** at leading twist appear in the nucleon description taking into account the

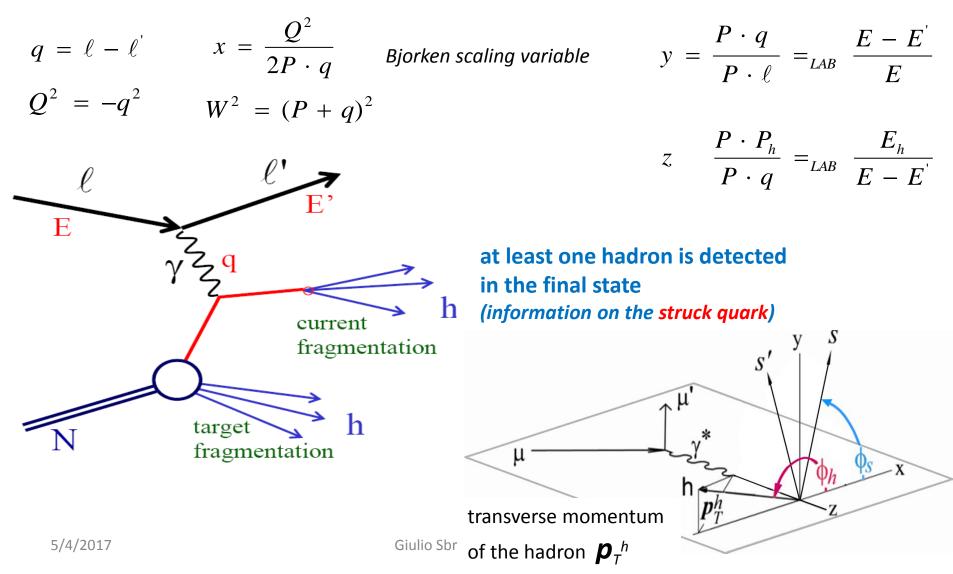
intrinsic transverse momentum of the quark $k_{\!\perp}$





SIDIS: a key process to investigate the structure of the nucleon

lepton interacts with a single constituent of the nucleon $(Q^2>1GeV^2/c^2)$



polarised SIDIS azimuthal cross section

"one photon exchange approximation"

Bacchetta et al. JHEP 0702:093,2007

$$\begin{aligned} \frac{d\sigma}{dx\,dy\,d\psi\,dz\,d\phi_{h}\,dP_{h\perp}^{2}} &= \\ \frac{\alpha^{2}}{xyQ^{2}}\frac{y^{2}}{2(1-\varepsilon)}\left(1+\frac{\gamma^{2}}{2x}\right)\left\{F_{UU,T}+\varepsilon F_{UU,L}+\sqrt{2\varepsilon(1+\varepsilon)\cos\phi_{h}}F_{UU}^{\cos\phi_{h}}\right.\\ &+\varepsilon\cos(2\phi_{h})F_{UU}^{\cos2\phi_{h}}+\lambda_{e}\sqrt{2\varepsilon(1-\varepsilon)\sin\phi_{h}}F_{LU}^{\sin\phi_{h}}\right.\\ &+\left.S_{\parallel}\left[\sqrt{2\varepsilon(1+\varepsilon)\sin\phi_{h}}F_{UL}^{\sin\phi_{h}}+\varepsilon\sin(2\phi_{h})F_{UL}^{\sin2\phi_{h}}\right]+S_{\parallel}\lambda_{e}\left[\sqrt{1-\varepsilon^{2}}F_{LL}+\sqrt{2\varepsilon(1-\varepsilon)\cos\phi_{h}}F_{LL}^{\cos\phi_{h}}\right]\right.\\ &+\left.\left.\left.S_{\perp}\right|\left[\sin(\phi_{h}-\phi_{S})\left(F_{UT,T}^{\sin(\phi_{h}-\phi_{S})}+\varepsilon F_{UT,L}^{\sin(\phi_{h}-\phi_{S})}\right)\right.\\ &+\left.\left.\left.\left.S_{\perp}\right|\left[\sin(\phi_{h}+\phi_{S})F_{UT}^{\sin(\phi_{h}+\phi_{S})}+\varepsilon \sin(3\phi_{h}-\phi_{S})F_{UT}^{\sin(3\phi_{h}-\phi_{S})}\right]\right.\\ &+\left.\left.\left.\left.\left.S_{\perp}\right|\lambda_{e}\left[\sqrt{1-\varepsilon^{2}\cos(\phi_{h})}-\phi_{S}\right)F_{LT}^{\cos(\phi_{h}-\phi_{S})}+\sqrt{2\varepsilon(1-\varepsilon)\cos\phi_{S}}F_{LT}^{\cos\phi_{S}}\right.\right]\right\},\end{aligned}$$

beam polarisation

5/4/2017

polarised SIDIS azimuthal cross section

Bacchetta et al. JHEP 0702:093,2007

Why SIDIS?

all these amplitudes can be **extracted simultaneously** from the same data all the different **TMD PDFs appear** in the cross section and the **different effects** can be **disentangled**

Outline

- Unpolarised SIDIS
 - P_T^h dependent multiplicities
 - azimutal asymmetries
- Transversely polarised SIDIS
 - Sivers asymmetries
 - PTh weighted Sivers asymmetries
 - Collins asymmetries
 - two hadrons asymmetries
 - other 6 asymmetries

Unpolarised SIDIS

hadron multiplicities as function of $(P_T^{h})^2$

- The cross-section dependence from P_{hT} results from:
 - intrinsic k_{\perp} of the quarks
 - p_{\perp} generated in the quark fragmentation
 - Usual assumptions: Gaussian and

COMPASS and HERMES have

results on deuteron and on protons (Hermes only) No COMPASS measurements on p since on NH_3 nuclear effects may be important

 $\langle P_{hT}^2 \rangle = z^2 \langle k_\perp^2 \rangle + \langle p_\perp^2 \rangle$

proton

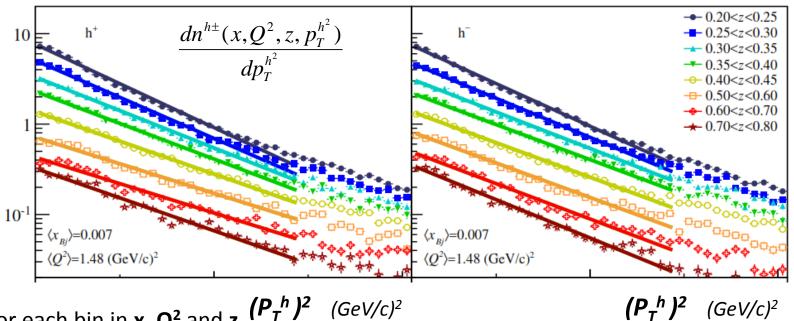
 k_{\perp}

 k_{\perp}

P

COMPASS results on deuteron (2004 data)





 2^2 (GeV/c)²

10-2

for each bin in **x**, \mathbf{Q}^2 and $\mathbf{z} (P_T^h)^2$ fit using

 \rightarrow extract $A \cdot e^{-P_T^{h^2} / \langle P_T^{h^2} \rangle}$

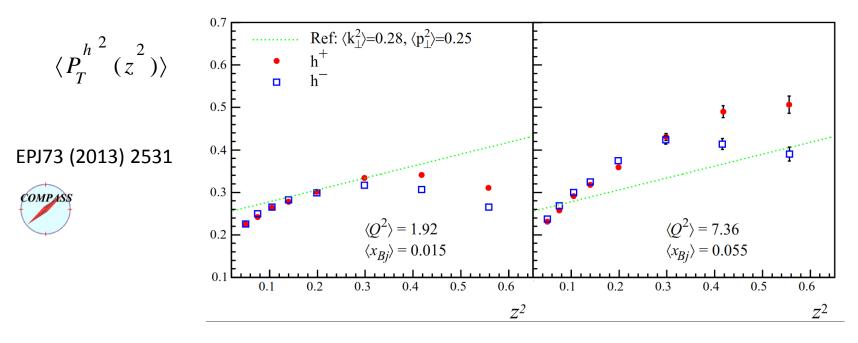
 $(GeV/c)^2$

for $P_{\tau}^{h} < 0.85 \text{ GeV/c}$ to stay away from the region where transverse momentum effects related to gluon radiation become relevant

EPJ73 (2013) 2531



10-1 x_{Bi} COMPASS results on deuteron (2004 data)



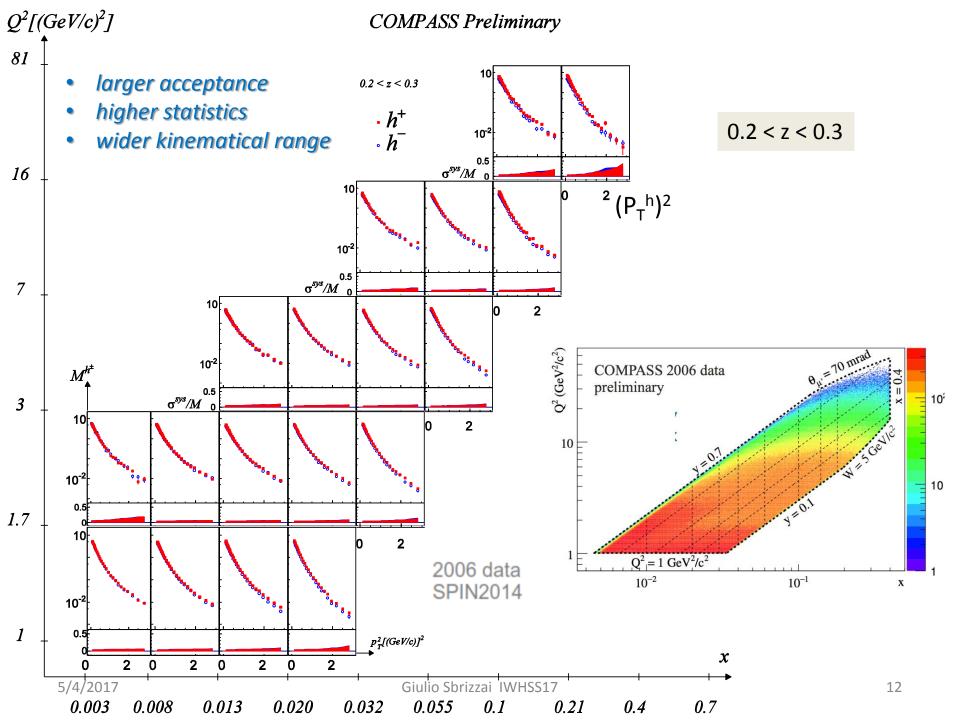
$$\langle P_T^{h^2}(z) \rangle = \langle P_{\perp}^2(z) \rangle + z^2 \langle k_{\perp}^2 \rangle$$

linear dependence on z^2 does not reproduce data effect from fragmentation $P_{\perp}(z)$ (not so easy to calculate...)

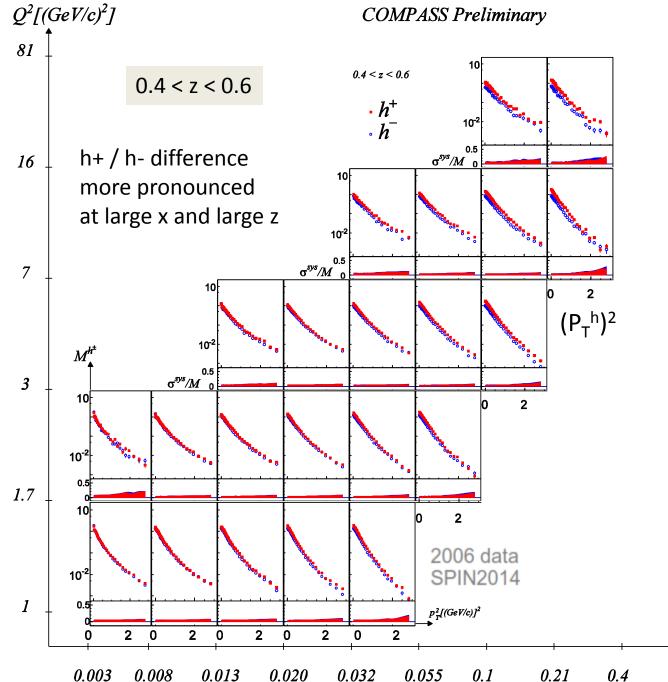
Results given with a 10% uncertainty on the normalization EPJ75 (2015) 94

new results with much better precision from 2006 deuteron data in publication

Giulio Sbrizzai IWHSS17



COMPASS Preliminary



correction for vector meson production and radiative corrections evaluated



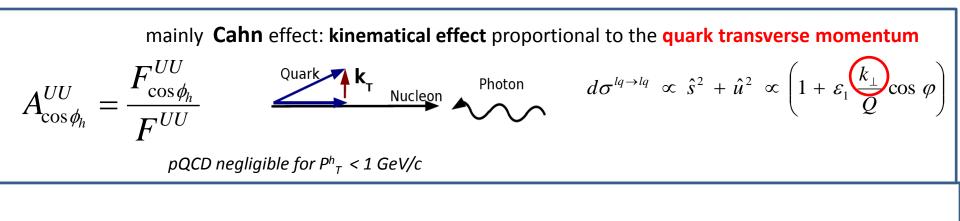
publication on the way

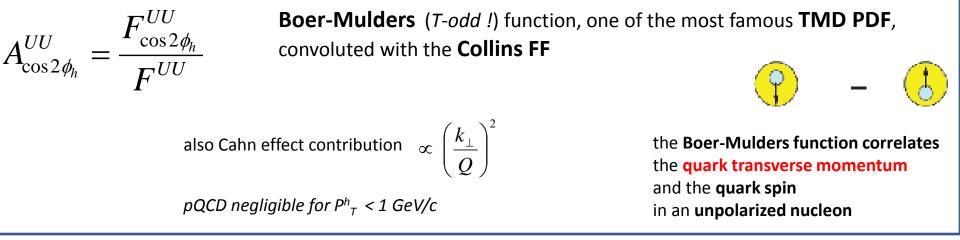
x

0.7

Unpolarised SIDIS

 The azimuthal modulations in the unpolarized cross-sections mainly comes from:

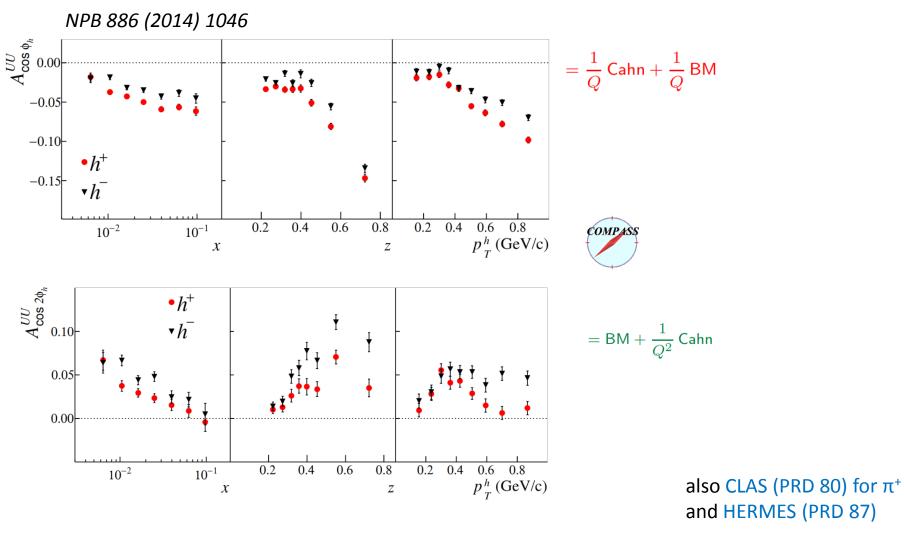




other higher twist effects can contribute...

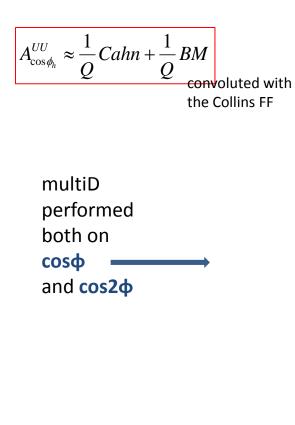
Giulio Sbrizzai IWHSS17

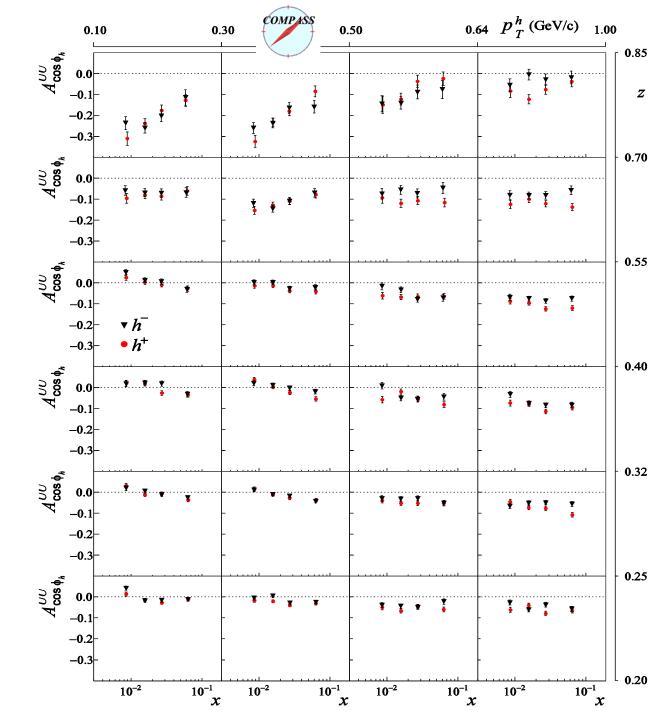
strong kinematic dependencies not understood....



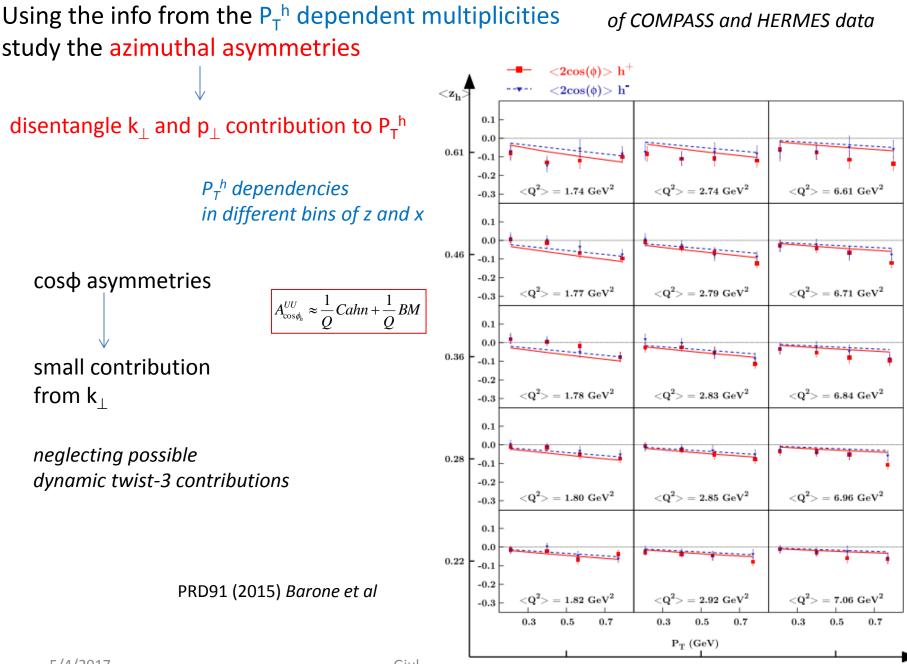
dependencies on z and on P_T^{h} investigated using multiD analysis !

Unpolarised Azimuthal Asymmetries measured from 2004 deuteron data





5/4/2017



5/4/2017

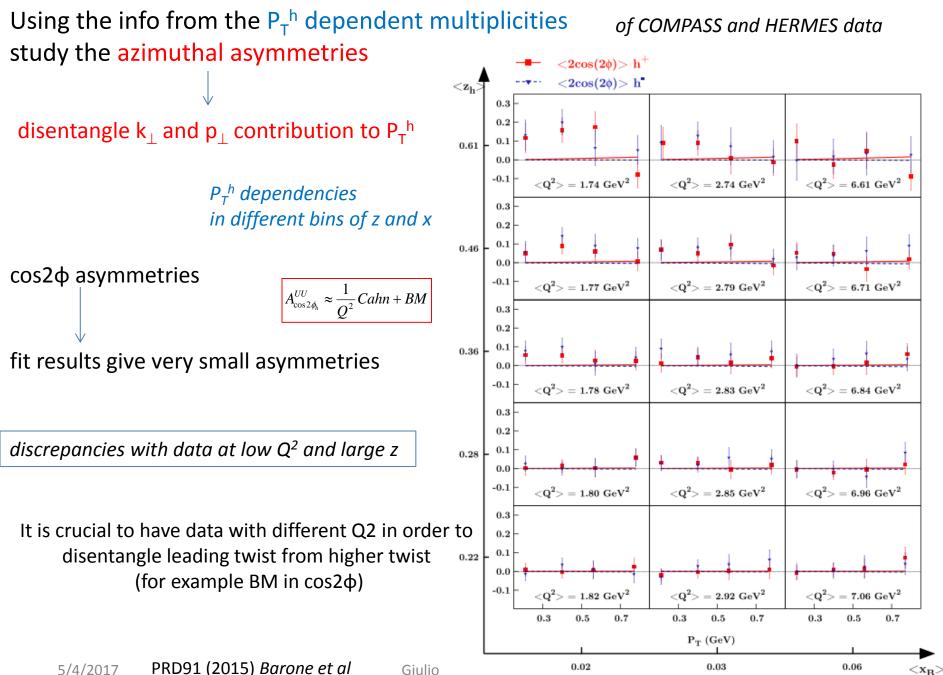
Giul

0.02

0.06

 $\langle x_B \rangle$

0.03



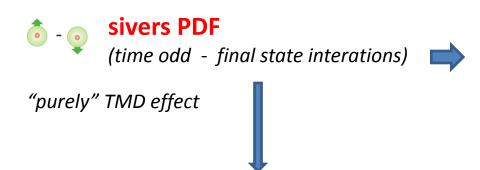
more to come from COMPASS:

- unpolarised LH2 (proton) in parallel to the DVCS measurement (2016-2017)
- work started and the analysis is ongoing on the 2016 data

Polarised SIDIS

 $A_{Siv} \approx \frac{\sum_{q} e_{q}^{2} \cdot f_{1T}^{\perp q}(k_{\perp}^{2}, x) \otimes D_{1q}^{h}(p_{T}^{2}, z)}{\sum_{q} e_{q}^{2} \cdot f_{1}^{q}(k_{\perp}^{2}, x) \otimes D_{1q}^{h}(p_{T}^{2}, z)}$

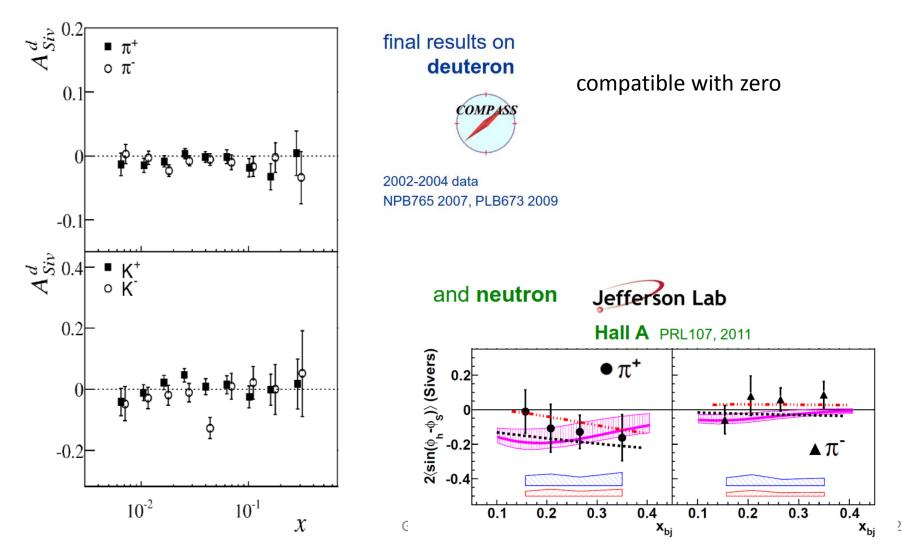
convolution on the intrinsic transverse momentum of the quark



correlation between the nucleon transverse polarisation and the quark transverse momenutm

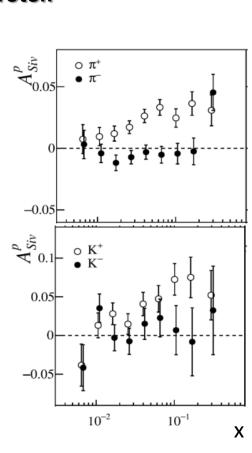
fundamental prediction pQCD sign change between Sivers TMD measured in SIDIS and in Drell-Yan (future measurements from COMPASS)

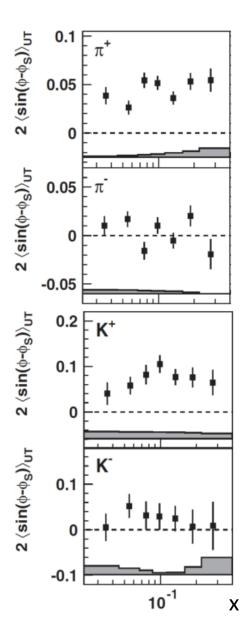
results on polarised deuteron



data on **polarised proton**

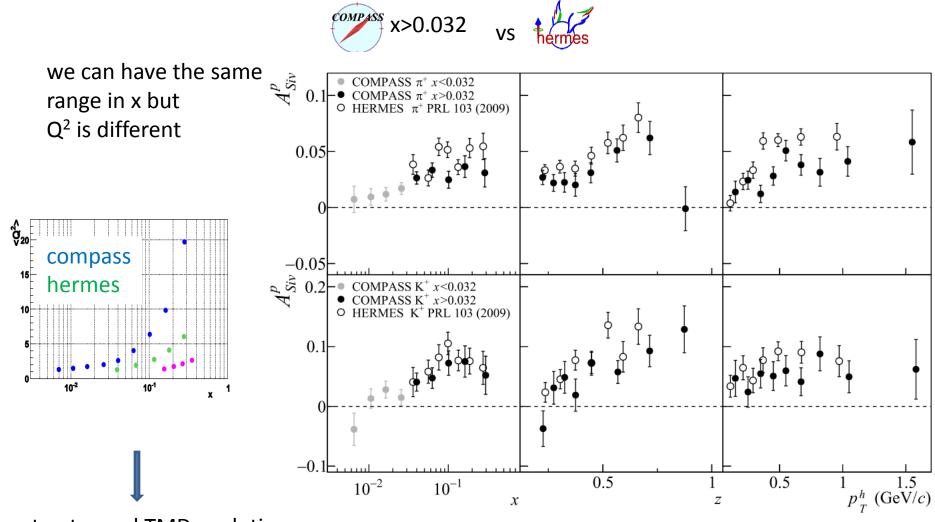






PRL103 2009

clear signal for positive hadrons K asymmetry larger than $\boldsymbol{\pi}$



step toward TMD evolution

5/4/2017

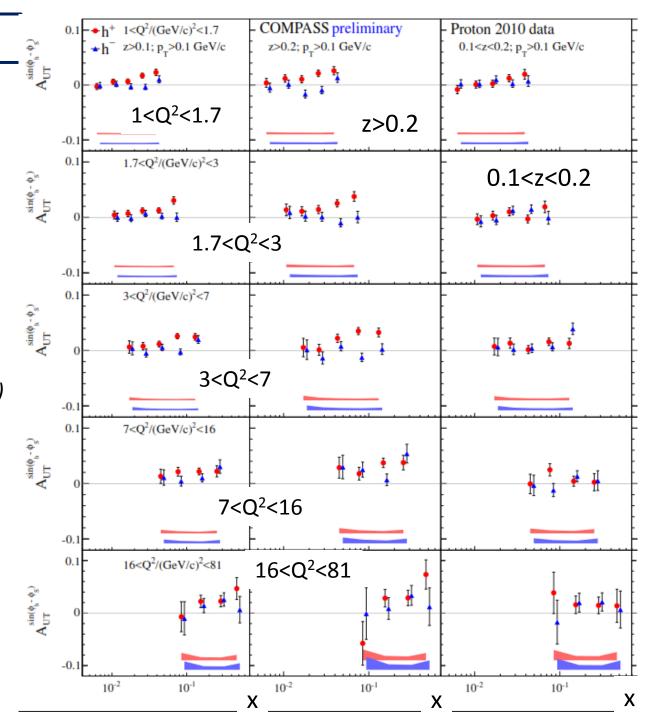
more info from multi dimensional analysis

multi dimensional analysis

COMPASS

no visible Q² dependence

(negative hadrons: asymmetries tend to become different from zero at high Q²)



New measurement: P_T^h - weighted Sivers Asymmetry

P_T^h/zM weighted Sivers Asymmetry

A. Kotzinian and P. J. Mulders, PLB 406 (1997) 373 D. Boer and P. J. Mulders, PRD 57 (1998) 5780 J. C. Collins et al. PRD 73 (2006) 014021

$$f_{1T}^{\perp(1)}(x) = \int d^2k_T \frac{k_T^2}{2M^2} f_{1T}^{\perp}(x, k_T^2)$$

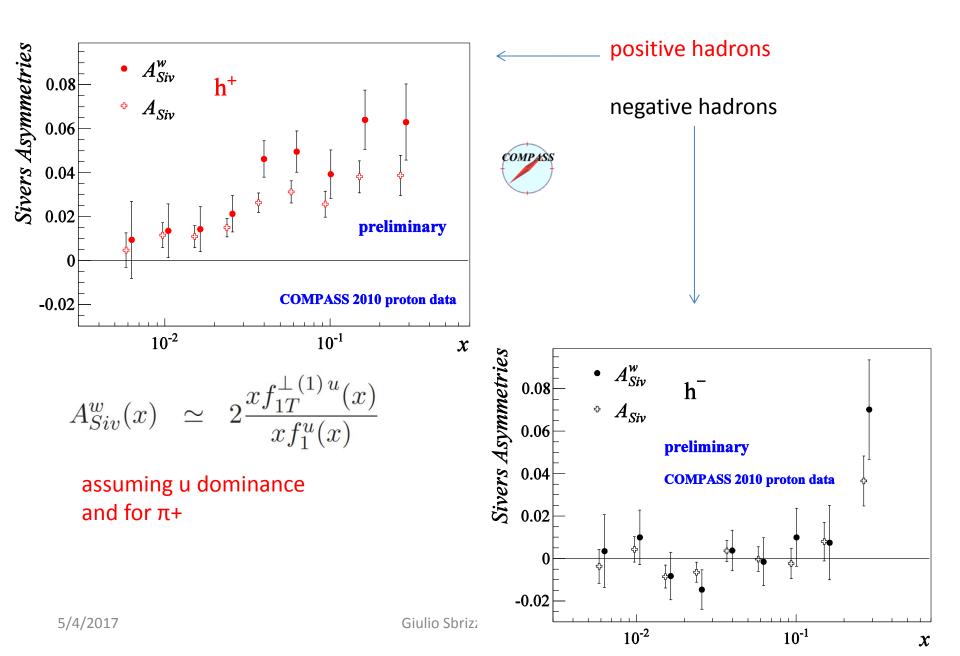
convolution over TM \longrightarrow product of first moment Sivers and FF $A_{Siv}^{w}(x) = 2 \frac{\sum_{q} e_{q}^{2} x f_{1T}^{\perp(1) q}(x) \int_{\Omega_{z}} dz D_{1}^{q}(z)}{\sum_{q} e_{q}^{2} x f_{1}^{q}(x) \int_{\Omega_{z}} dz D_{1}^{q}(z)}$

in a *model independent way* (no assumption on the shape of PDFs and FFs)

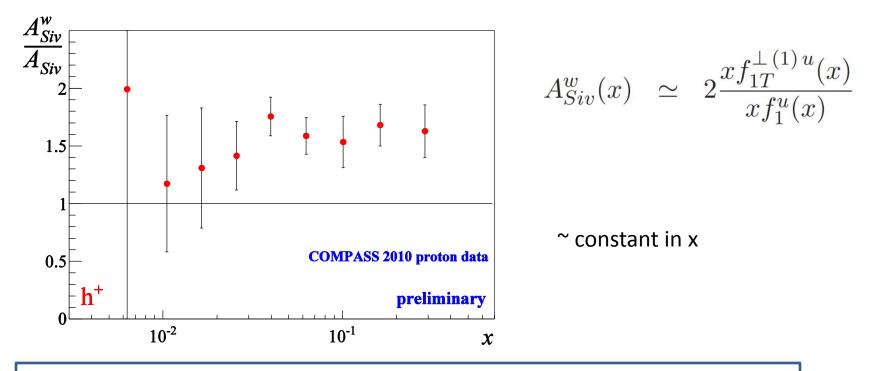
COMPASS data from 2010 polarised proton have been analysed same sample as the one used for the published standard Sivers asymmetries

only spin dependent part of the cross section must be weighted

Final results ● compared with the standard Sivers Asymmetries 中 (PLB 717 (2012) 383)



Ratio between weighted and standard Sivers asymmetries



using **Gaussian** model for the dependence on the intrinsic transverse momenta of the quarks

the standard Sivers asymmetries can be expressed as (u dominance)

$$A_{G}(x) \approx \frac{\pi M}{2} \frac{f_{1T}^{\perp(1)u}(x)}{f_{1}^{u}(x)} \frac{\int z / P_{T,S}^{h} D_{1}^{q}(z) dz}{\int D_{1}^{q}(z) dz} \quad (P_{T,S}^{h})^{2} = \frac{1}{2} \frac{\int D_{1}^{q}(z) dz}{\int D_{1}^{q}(z) dz}$$

5/4/2017

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Sivers intrinsic quark transverse momentum

 $z^{2}k_{\perp S}^{2} + p_{\perp}^{2}$



COMPASS results on the Sivers weighted asymmetries : paper is in preparation

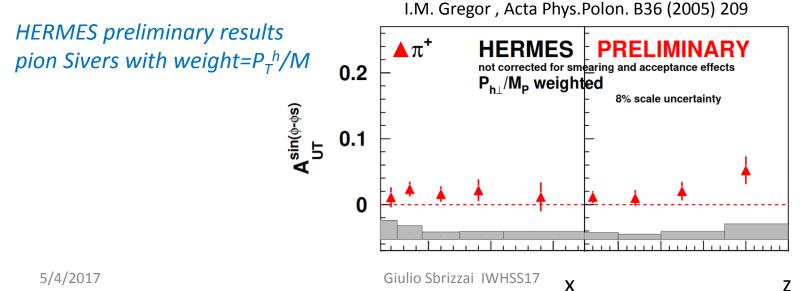
more work done for the paper:

- weighted asymmetries for 0.1<z<0.2
- weighted asymmetries as function of z
- using PTh/M instead of PTh/zM

next to come: Bessel weighted Sivers

Also HERMES previously extracted Sivers (and Collins) weighted asymmetries

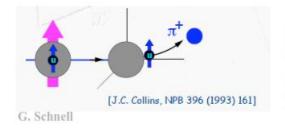




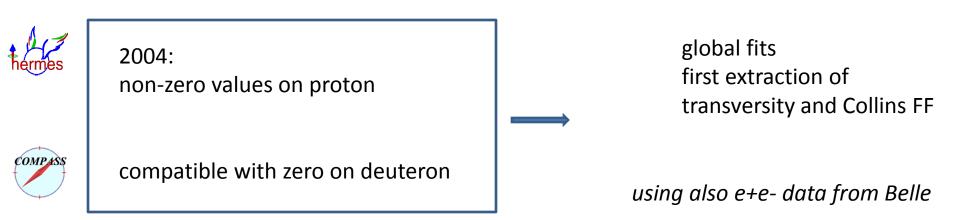
The Collins asymmetry

$$A_{UT}^{\sin(\phi_h + \phi_S - \pi),h} = \frac{\sum_q e_q^2 h_1^q(\mathbf{k}_\perp) \otimes H_1^{\perp q \to h}(\mathbf{p}_\perp)}{\sum_q e_q^2 f_1^q \otimes D_1^{q \to h}}$$

convolution of transversity with Collins FF



correlation between transverse spin of the fragmenting quark and transverse momentum of hadrons

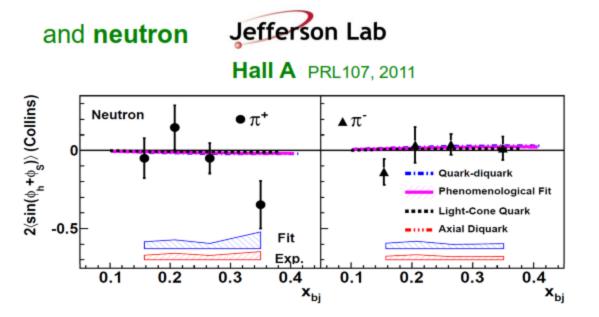


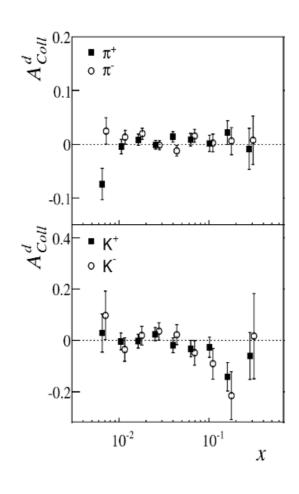
The Collins asymmetry





2002-2004 data NPB765 2007, PLB673 2009



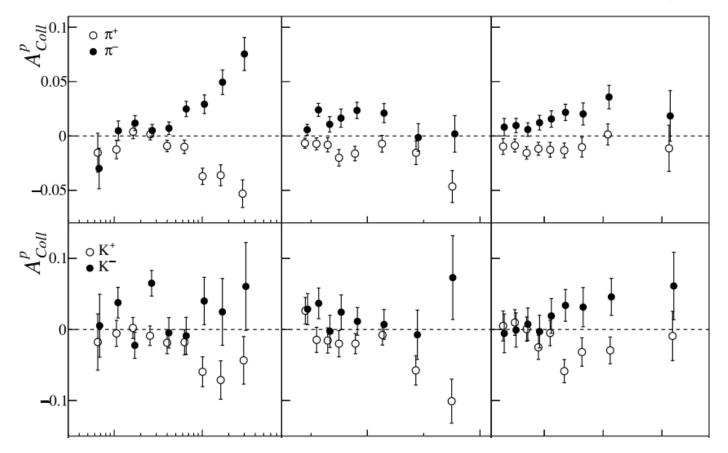


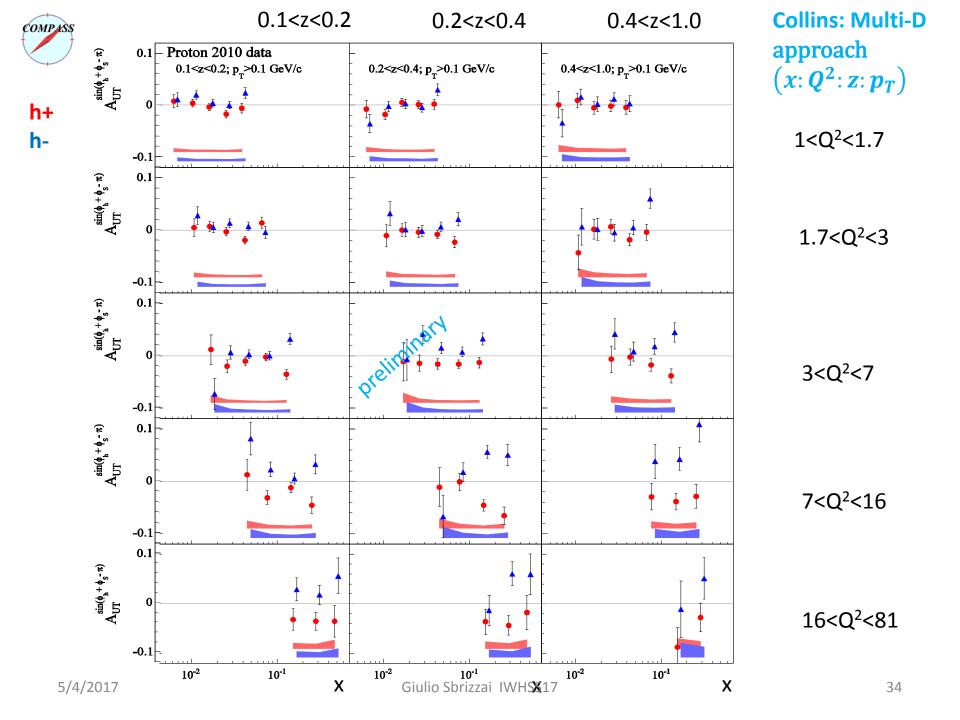
The Collins asymmetry

1d measurements on transversely polarised proton



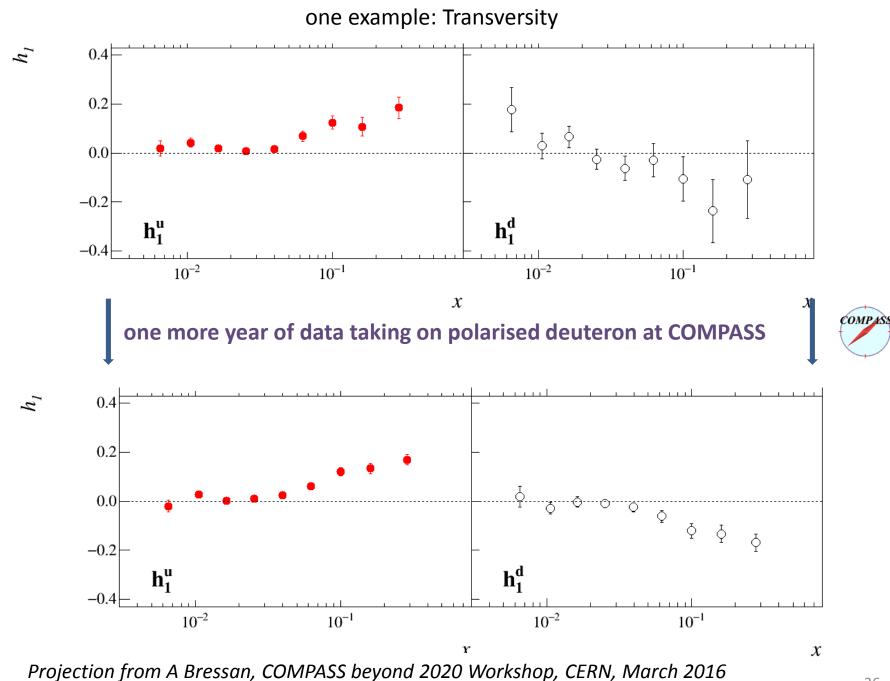
PLB744 2015



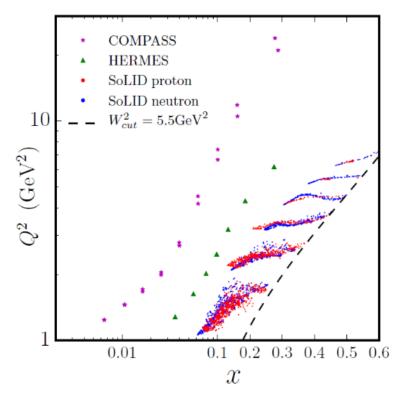


still data on polarised deuteron suffer from statistic (wrt data on polarised proton)

affect the error on the extraction of Transversity and Sivers functions for the d quark (but also other analyses...)



an enormous amount of data will come from JLab12 experiments but in a **different x range!**



Z. Ye et al. JLAB-THY-16-2328

COMPASS:

no competition on precision!

smaller x, factor > 5 in Q^2 , ...

the kinematical region is relevant and new d data coming soon are needed

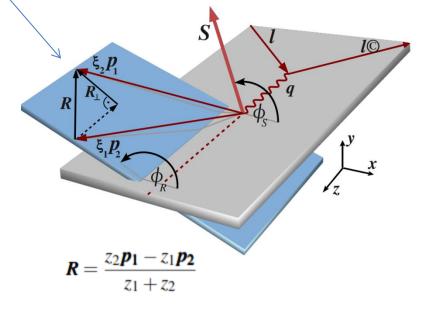
The dihadron asymmetry

it gives rise to another azimuthal asymmetry in the 2h cross section, on an angle ϕ_{RS} defined as φ_R + φ_S – π

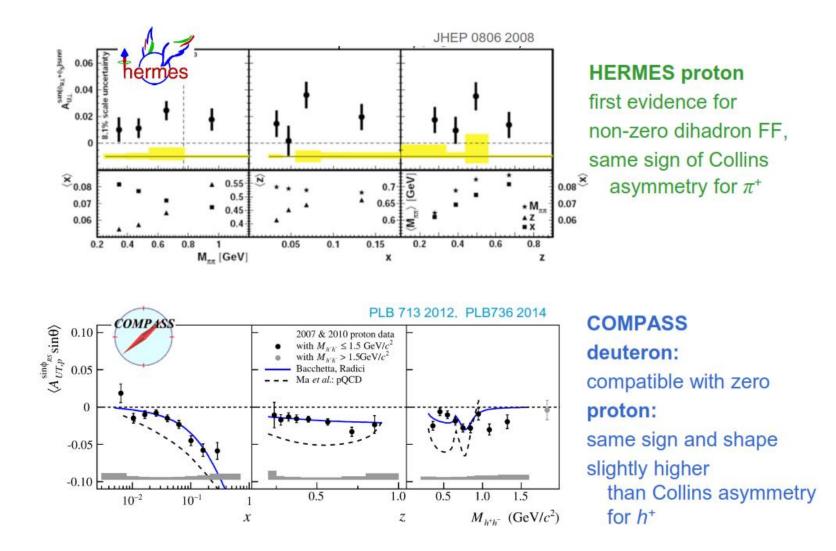
$$A_{h^{+}h^{-}}^{p} \approx \frac{\sum_{q} e_{q}^{2} \cdot h_{1}^{q}(x) \cdot H_{1q}^{2}(z, M_{hh}^{2})}{\sum_{q} e_{q}^{2} \cdot f_{1}^{q}(x) \cdot D_{1q}^{h}(z, M_{hh}^{2})}$$

product of transversity with dihadron FF

$$A_{Coll} \approx \frac{\sum_{q} e_q^2 \cdot h_1^q(k_\perp^2, x) \otimes H_{1q}^{\perp h}(p_\perp^2, z)}{\sum_{q} e_q^2 \cdot f_1^q(k_\perp^2, x) \otimes D_{1q}^h(p_\perp^2, z)}$$



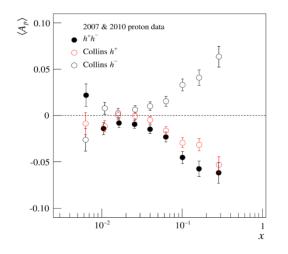
The dihadron asymmetry



Interesting studies comparing with the Di-hadron Transverse Spin Asymmetries

- Collins asymmetry for h+ and for h- : *"mirror symmetry"*
- dihadron asymmetry vs Collins asymmetry:

only somewhat larger



analysis of the single hadron and di-hadron asymmetries performed on a common data sample (2010 transversely polarised proton)

derive the formalism to describe the two asymmetries together

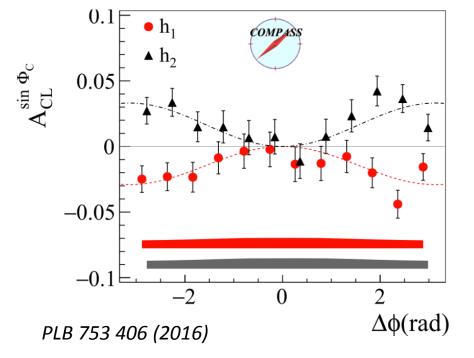


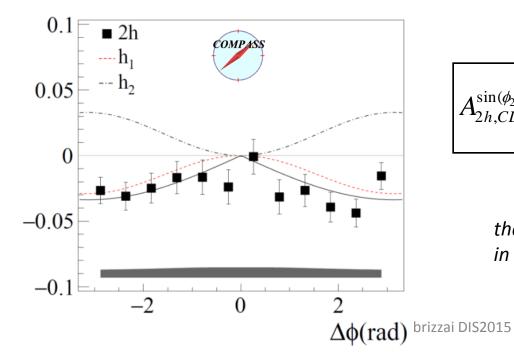
the general expression for the $~~lN \rightarrow l' h^+ h^- X~~$ cross section

$$\frac{d\sigma^{h^{+}h^{-}}}{d\phi_{h^{+}}d\Delta\phi d\phi_{S}} = \sigma_{U}^{h^{+}h^{-}} + S_{T} \cdot \left[\left(\sigma_{1C}^{h^{+}h^{-}} + \sigma_{2C}^{h^{+}h^{-}} \cos \Delta\phi \right) \sin(\phi_{h^{+}} + \phi_{S} - \pi) + \dots \right]$$

$$\Delta\phi = \phi_{h^{+}} - \phi_{h^{-}}$$
A.Kotzinin

A.Kotzininan PRD91 (2015) 054001



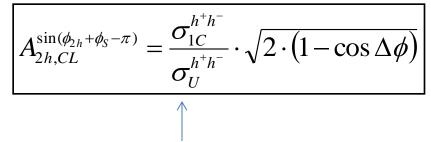


measured Collins asymmetries

$$A_{2CL}^{\sin(\phi_{h^{-}}+\phi_{S}-\pi)} = -\frac{\sigma_{1C}^{h^{+}h^{-}}}{\sigma_{U}^{h^{+}h^{-}}} \cdot (1 - \cos \Delta \phi)$$
$$A_{1CL}^{\sin(\phi_{h^{+}}+\phi_{S}-\pi)} = \frac{\sigma_{1C}^{h^{+}h^{-}}}{\sigma_{U}^{h^{+}h^{-}}} \cdot (1 - \cos \Delta \phi)$$

data described assuming mirror asymmetry !

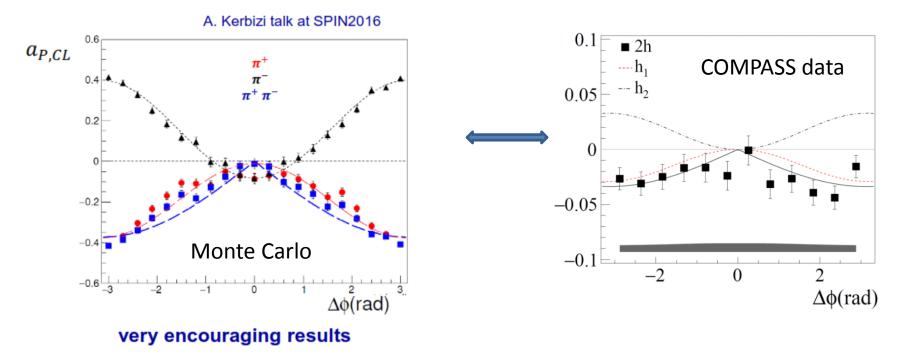
$$\sigma_{1C}^{h^+h^-} = -\sigma_{2C}^{h^+h^-}$$



the same quantity which appear in the single hadron asymmetry Interesting studies comparing with the Di-hadron Transverse Spin Asymmetries

new: preliminary results from a Monte Carlo code for transversely polarized quark jet based on the string fragmentation and including, for the first time, the ³P₀ mechanism → X.Artru

1h and 2h a_p obtained as COMPASS asymmetries from the same sample of generated events



Other transverse spin dependent asymmetries

$$+ |\mathbf{S}_{\perp}| \left[\sin(\phi_{h} - \phi_{S}) \left(F_{UT,T}^{\sin(\phi_{h} - \phi_{S})} + \varepsilon F_{UT,L}^{\sin(\phi_{h} - \phi_{S})} \right) \right]$$

$$+ \varepsilon \sin(\phi_{h} + \phi_{S}) F_{UT}^{\sin(\phi_{h} + \phi_{S})} + \varepsilon \sin(3\phi_{h} - \phi_{S}) F_{UT}^{\sin(3\phi_{h} - \phi_{S})} \right]$$

$$+ \sqrt{2\varepsilon(1+\varepsilon)} \sin\phi_{S} F_{UT}^{\sin\phi_{S}} + \sqrt{2\varepsilon(1+\varepsilon)} \sin(2\phi_{h} - \phi_{S}) F_{UT}^{\sin(2\phi_{h} - \phi_{S})} \right]$$

$$+ |\mathbf{S}_{\perp}| \lambda_{e} \left[\sqrt{1-\varepsilon^{2}} \cos(\phi_{h} - \phi_{S}) F_{LT}^{\cos(\phi_{h} - \phi_{S})} + \sqrt{2\varepsilon(1-\varepsilon)} \cos\phi_{S} F_{LT}^{\cos\phi_{S}} \right]$$

$$+ \sqrt{2\varepsilon(1-\varepsilon)} \cos(2\phi_{h} - \phi_{S}) F_{LT}^{\cos(2\phi_{h} - \phi_{S})} \right]$$

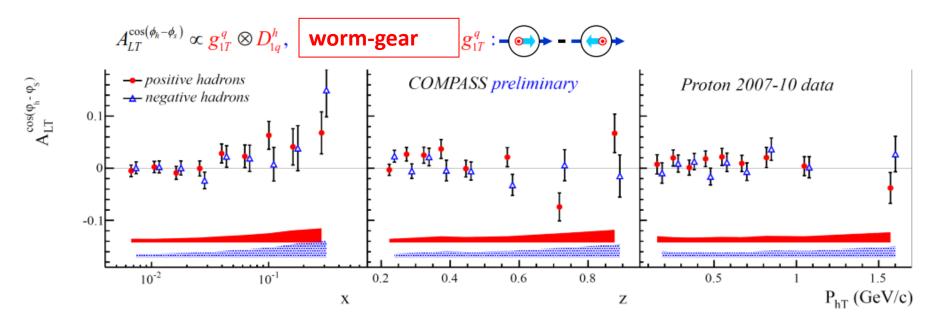
$$+ \sqrt{2\varepsilon(1-\varepsilon)} \cos(2\phi_{h} - \phi_{S}) F_{LT}^{\cos(2\phi_{h} - \phi_{S})} \right]$$

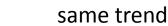
$$worm-gear$$

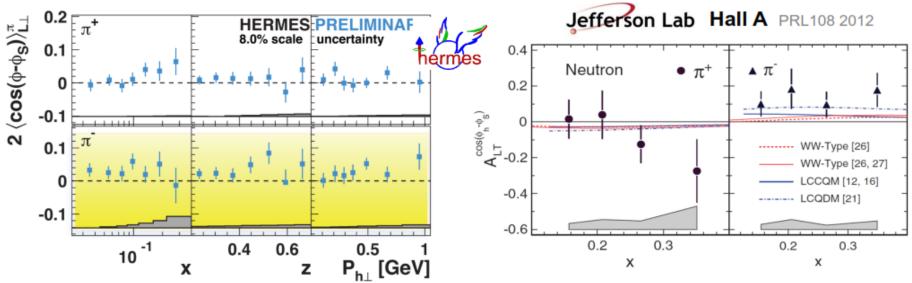
higher twist effects

all of them (and more ...) have been measured on p / d / n by COMPASS, HERMES, JLab

preliminary results for multidimensional analysis also produced at COMPASS and HERMES (SPIN2014)





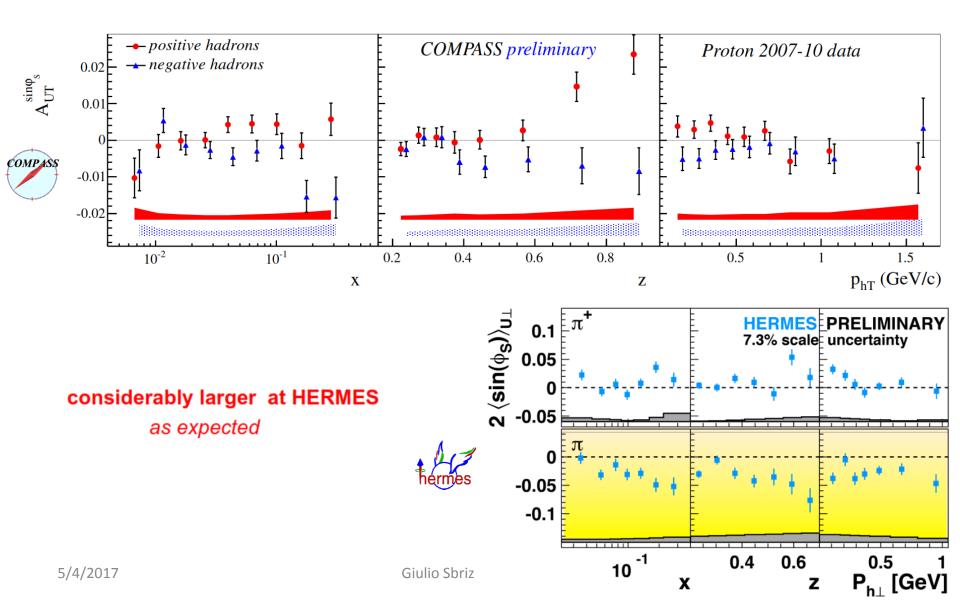


 $A_{UT}^{\sin(\phi_s)} \propto Q^{-1} \left(h_1^q \otimes H_{1q}^{\perp h} + f_{1T}^{\perp q} \otimes D_{1q}^h + \dots \right)$

twist 3 related to Collins and Sivers

in WW approximation

compatible with zero on deuteron, but on proton signal different from zero



Other results: on longitudinal spin azimuthal asymmetries: Other results: on longitudinal spin azimuthal asymmetries:

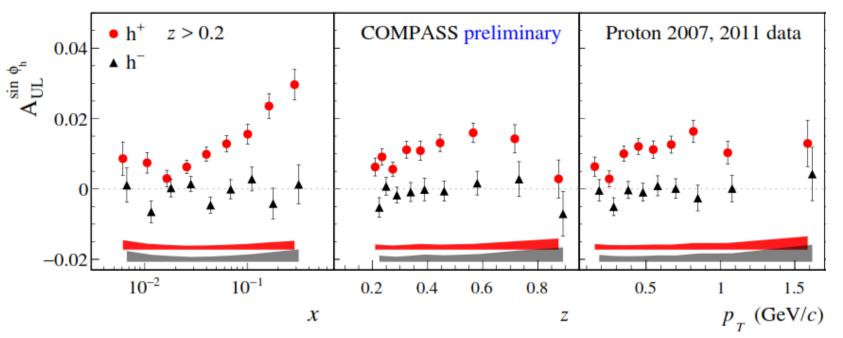
on deuteron *EPJC70 (2010)* results compatible with zero within statistical accuracy

and on proton

(first shown at SPIN2016 B.Parsamyan)



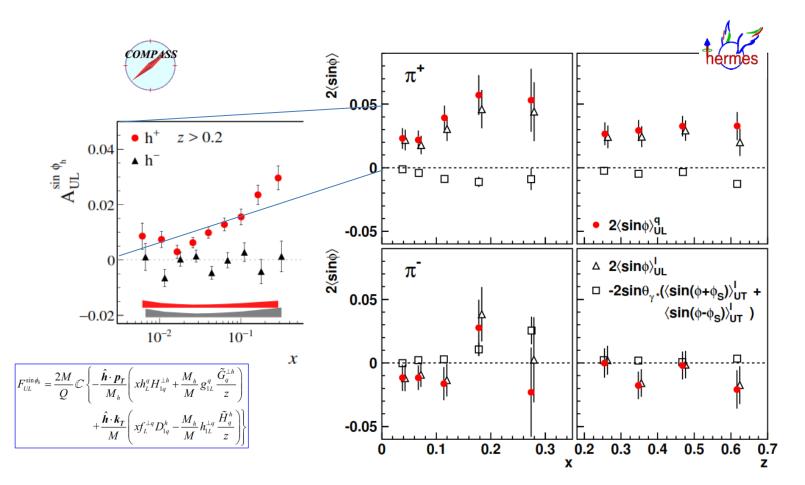
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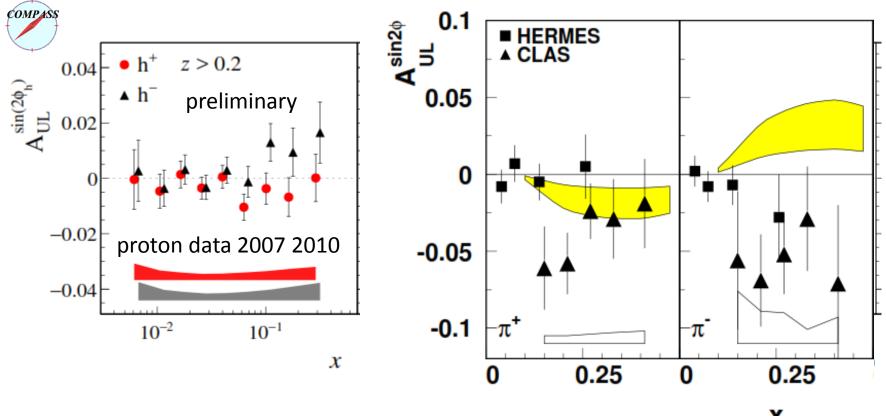
longitudinal spin azimuthal asymmetries on proton

higher twists contributions

smaller signal than in hermes PLB 622 (2015)



longitudinal spin azimuthal asymmetries on proton



X

Conclusions

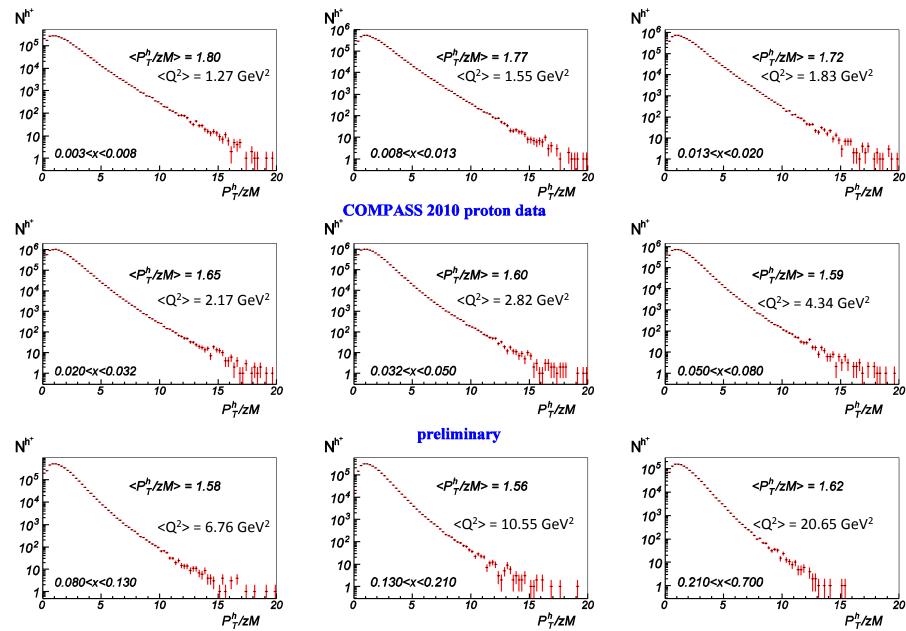
- many SIDIS results, not all easy to explain some interesting analysis still going on
- new data on unpolarised SIDIS coming from COMPASS LH2 in parallel to DVCS (2016 -2017)
- more data on deuteron are really needed soon....
 one more year on transversely polarised deuteron COMPASS beyond 2020
- new data from JLab12 and EIC...

SPARES



The P_T^h/zM distributions for each bin of x

positive hadrons not acceptance corrected





Gluon Sivers

submitted to PLB

from high-P_T^h two hadrons pairs

three different contributions:

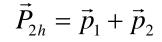
hight P_T^h hadrons pairs enhance contribution from PGF

Neural Network Monte Carlo to tag different processes on COMPASS data

PGF

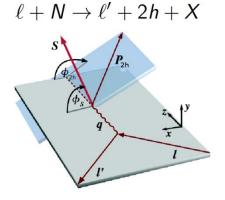
photon-gluon fusion (PGF)

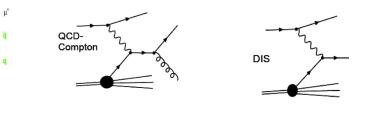
PGF



$$\phi = \phi_{2h} - \phi_S$$

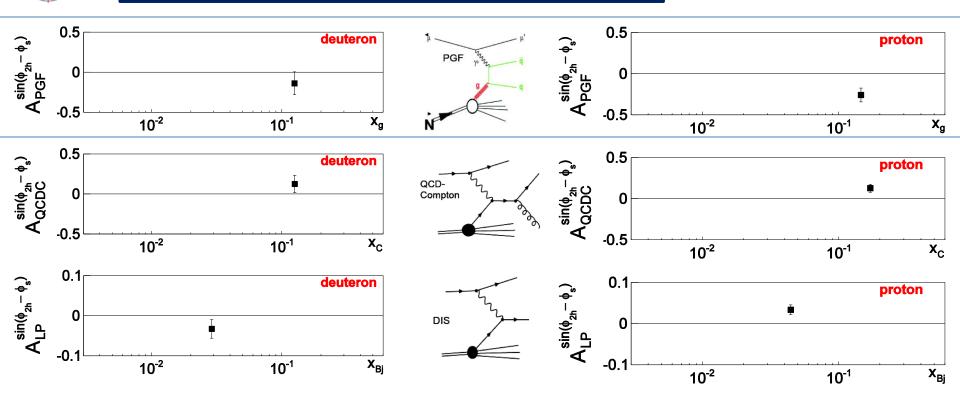
 φ_{2h} correlated to φ_{gluon}







Gluon Sivers from high- P_T^h two hadrons pairs



Limited precision on deuteron. More data needed.

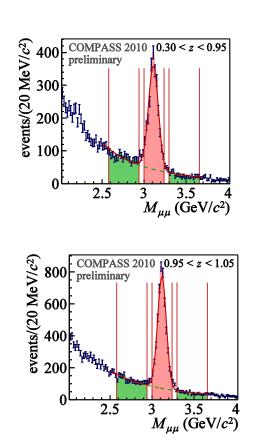
The results for the LP compatible with single hadron measurements

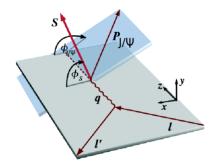
Gluon Sivers from J/ψ

 $\mu^+ + N \rightarrow \mu^+ + J/\Psi + X \rightarrow 2\mu^+ + \mu^- + X$



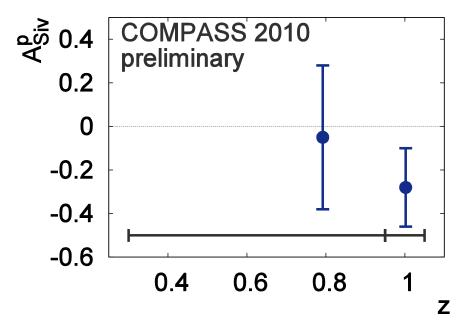
from 2010 transversely polarised proton data in two z bins 0.30<z<0.95 and 0.95<z<1.05





$$\mathbf{P}_{J/\Psi} = \mathbf{p}_{\mu^+} + \mathbf{p}_{\mu^-}$$
$$\phi_{\mu^+\mu^-} = \phi_{J/\Psi} = \phi_g$$

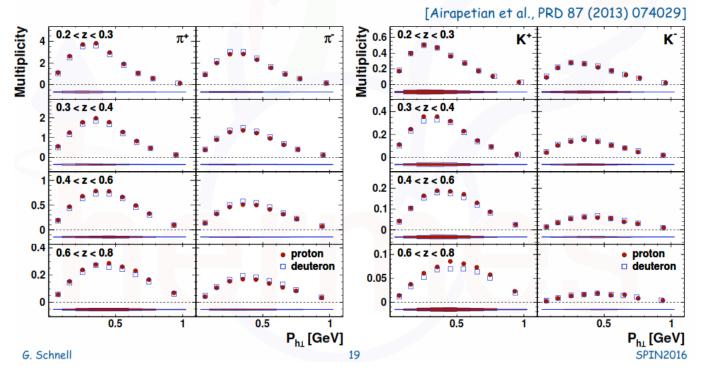
$$\phi = \phi_{\mu^+\mu^-} - \phi_S$$

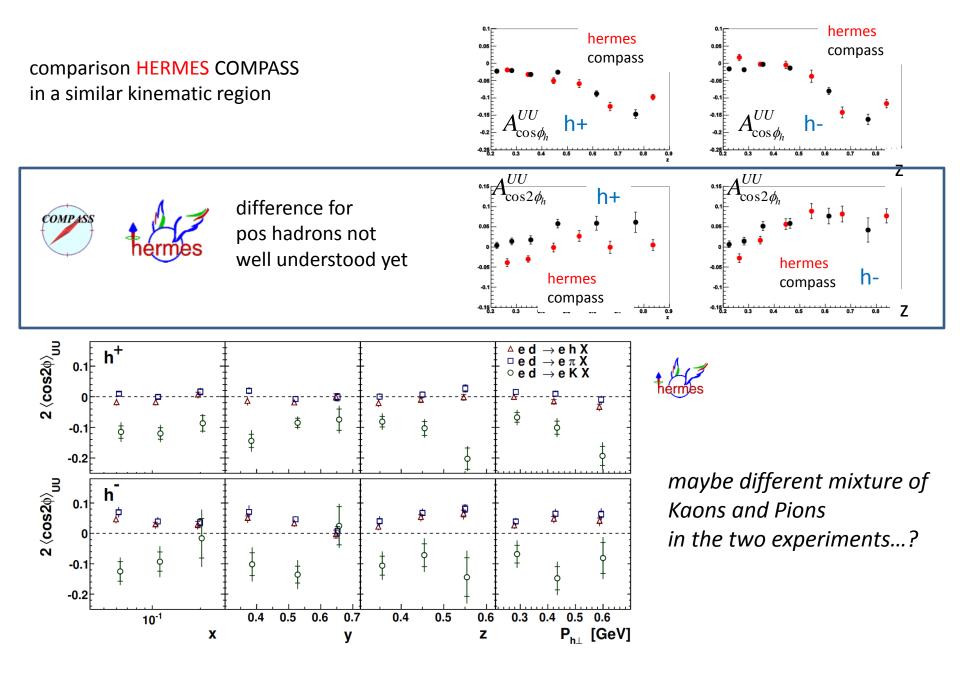


HERMES

Transverse momentum dependence

- multi-dimensional analysis allows going beyond collinear factorization
- flavor information on transverse momenta via target variation and hadron ID



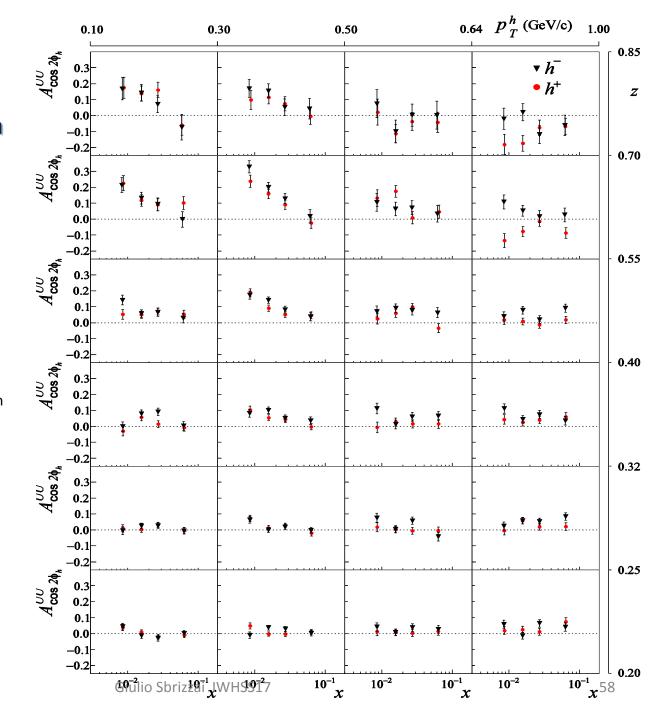


Unpolarised Azimuthal Asymmetries measured from 2004 deuteron data

$$A_{\cos 2\phi_h}^{UU} \approx \frac{1}{Q^2} Cahn + BM$$

convoluted with the Collins FF

multiD performed both on cos¢ and cos2¢ ____

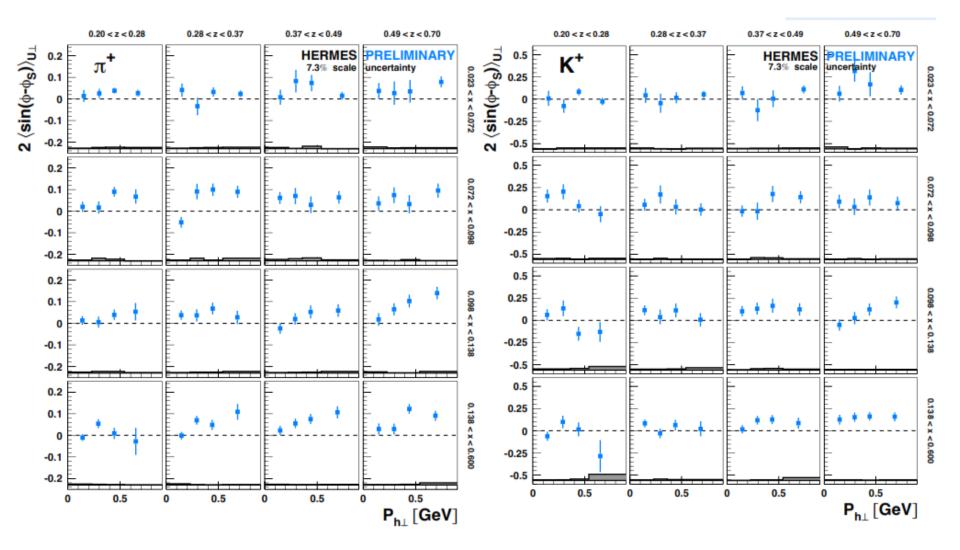


5/4/2017



on identified hadrons

Gunar Schnell SPIN 2016



preliminary results produced for all TSA, useful also to compare with 1d results

5/4/2017



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