

GLOBAL ANALYSIS OF NEUTRINO OSCILLATION DATA

Concha Gonzalez-Garcia

(YITP Stony Brook & ICREA U. Barcelona)

Recent Developments in Neutrino Physics and Astrophysics

Gran Sasso, Sept 2017



Global fit to neutrino
oscillation data

<http://www.nu-fit.org>



GLOBAL ANALYSIS OF NEUTRINO DATA

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Determination of 3ν Lepton Flavour Parameters
Matter Potential/Non-standard Neutrino Interactions

Neutrinos in the Standard Model

The SM is a gauge theory based on the symmetry group

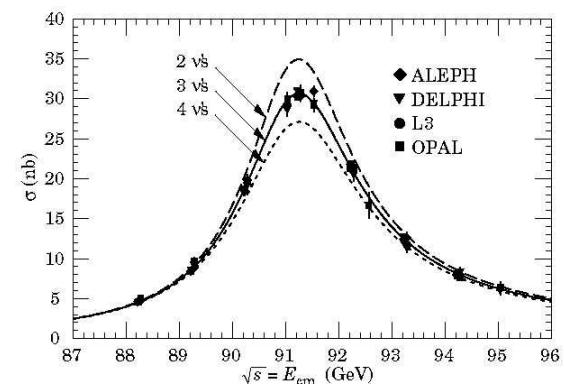
$$SU(3)_C \times SU(2)_L \times U(1)_Y \Rightarrow SU(3)_C \times U(1)_{EM}$$

With three generation of fermions

$(1, 2)_{-\frac{1}{2}}$	$(3, 2)_{\frac{1}{6}}$	$(1, 1)_{-1}$	$(3, 1)_{\frac{2}{3}}$	$(3, 1)_{-\frac{1}{3}}$
$\begin{pmatrix} \nu_e \\ e \end{pmatrix}_L \begin{pmatrix} u^i \\ d^i \end{pmatrix}_L$		e_R	u_R^i	d_R^i
$\begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix}_L \begin{pmatrix} c^i \\ s^i \end{pmatrix}_L$		μ_R	c_R^i	s_R^i
$\begin{pmatrix} \nu_\tau \\ \tau \end{pmatrix}_L \begin{pmatrix} t^i \\ b^i \end{pmatrix}_L$		τ_R	t_R^i	b_R^i

There is no ν_R

Three and only three



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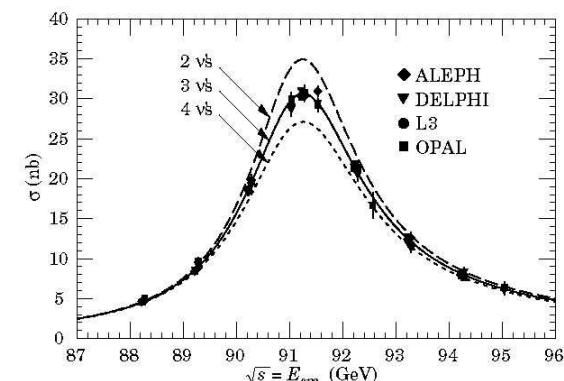


Accidental global symmetry: $B \times L_e \times L_\mu \times L_\tau$ (hence $L = L_e + L_\mu + L_\tau$)



ν strictly massless

Three and only three



- By 2017 we have observed with high (or good) precision:

- * Atmospheric ν_μ & $\bar{\nu}_\mu$ disappear most likely to ν_τ (**SK, MINOS, ICECUBE**)
- * Accel. ν_μ & $\bar{\nu}_\mu$ disappear at $L \sim 300/800$ Km (**K2K, T2K, MINOS, NO ν A**)
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All this implies that L_α are violated

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- The *starting* path:

Precise determination of the low energy parametrization

The New Minimal Standard Model

- Minimal Extension to allow for LFV \Rightarrow give Mass to the Neutrino

* Introduce ν_R AND impose L conservation \Rightarrow Dirac $\nu \neq \nu^c$:

$$\mathcal{L} = \mathcal{L}_{SM} - M_\nu \overline{\nu_L} \nu_R + h.c.$$

* NOT impose L conservation \Rightarrow Majorana $\nu = \nu^c$

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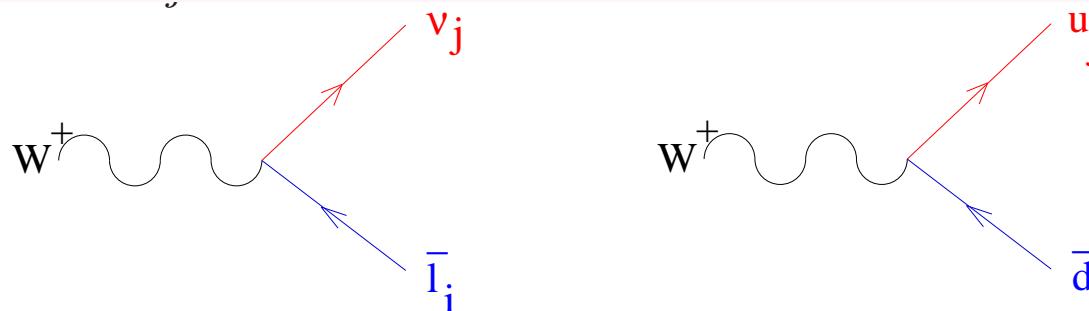
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- The charged current interactions of leptons are not diagonal (same as quarks)

$$\frac{g}{\sqrt{2}} W_\mu^+ \sum_{i,j} (U_{\text{LEP}}^{ij} \bar{\ell}^i \gamma^\mu L \nu^j + U_{\text{CKM}}^{ij} \bar{U}^i \gamma^\mu L D^j) + h.c.$$



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- In general for $N = 3 + s$ massive neutrinos U_{LEP} is $3 \times N$ matrix

$$U_{\text{LEP}} U_{\text{LEP}}^\dagger = I_{3 \times 3} \quad \text{but in general} \quad U_{\text{LEP}}^\dagger U_{\text{LEP}} \neq I_{N \times N}$$

- U_{LEP} : $3 + 3s$ angles + $2s + 1$ Dirac phases + $s + 2$ Majorana phases

ν Mass Oscillations in Vacuum

- If neutrinos have mass, a weak eigenstate $|\nu_\alpha\rangle$ produced in $l_\alpha + N \rightarrow \nu_\alpha + N'$

is a linear combination of the mass eigenstates ($|\nu_i\rangle$) : $|\nu_\alpha\rangle = \sum_{i=1}^n U_{\alpha i} |\nu_i\rangle$

- After a distance L it can be detected with flavour β with probability

$$P_{\alpha\beta} = \delta_{\alpha\beta} - 4 \sum_{j \neq i} \text{Re}[U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*] \sin^2 \left(\frac{\Delta_{ij}}{2} \right) + 2 \sum_{j \neq i} \text{Im}[U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*] \sin(\Delta_{ij})$$

$$\frac{\Delta_{ij}}{2} = \frac{(E_i - E_j)L}{2} = 1.27 \frac{(m_i^2 - m_j^2)}{\text{eV}^2} \frac{L/E}{\text{Km/GeV}}$$

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- When osc between 2- ν dominates:

$$P_{\alpha\alpha} = 1 - P_{osc} \quad \text{Disappear}$$

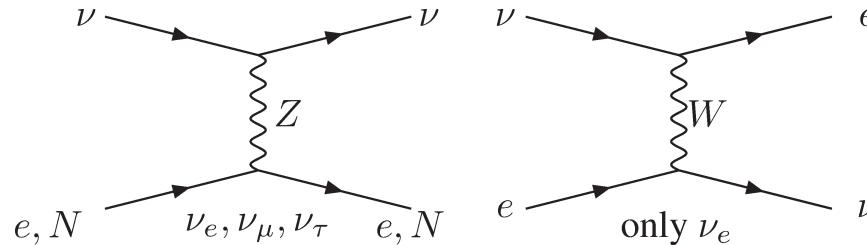
$$P_{osc} = \sin^2(2\theta) \sin^2 \left(1.27 \frac{\Delta m^2 L}{E} \right) \quad \text{Appear}$$

\Rightarrow No info on sign of Δm^2 and θ octant

Matter Effects

- If ν cross matter regions (Sun, Earth...) it interacts *coherently*

– But Different flavours
have different interactions :



\Rightarrow Effective potential in ν evolution : $V_e \neq V_{\mu, \tau} \Rightarrow \Delta V^\nu = -\Delta V^{\bar{\nu}} = \sqrt{2}G_F N_e$

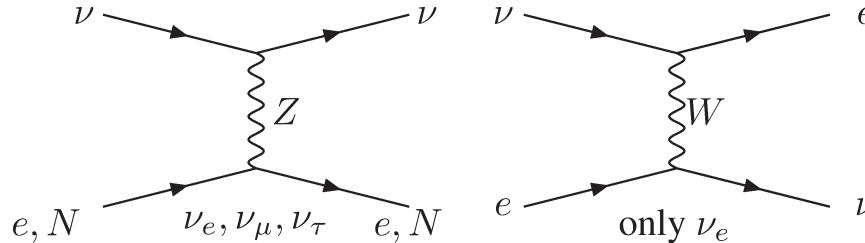
$$-i \frac{\partial}{\partial x} \begin{pmatrix} \nu_e \\ \nu_X \end{pmatrix} = \left[\begin{pmatrix} V_e - V_X - \frac{\Delta m^2}{4E} \cos 2\theta & \frac{\Delta m^2}{4E} \sin 2\theta \\ \frac{\Delta m^2}{4E} \sin 2\theta & V_X + \frac{\Delta m^2}{4E} \cos 2\theta \end{pmatrix} \right] \begin{pmatrix} \nu_e \\ \nu_X \end{pmatrix}$$

\Rightarrow Modification of mixing angle and oscillation wavelength (MSW)

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\Rightarrow Modification of mixing angle and oscillation wavelength (MSW)

- Mass difference and mixing in matter:

$$\Delta m_m^2 = \sqrt{(\Delta m^2 \cos 2\theta - 2E\Delta V)^2 + (\Delta m^2 \sin 2\theta)^2}$$

$$\sin(2\theta_m) = \frac{\Delta m^2 \sin(2\theta)}{\Delta m_{mat}^2}$$

\Rightarrow For solar ν' s in adiabatic regime

$$P_{ee} = \frac{1}{2} [1 + \cos(2\theta_m) \cos(2\theta)]$$

Dependence on θ octant

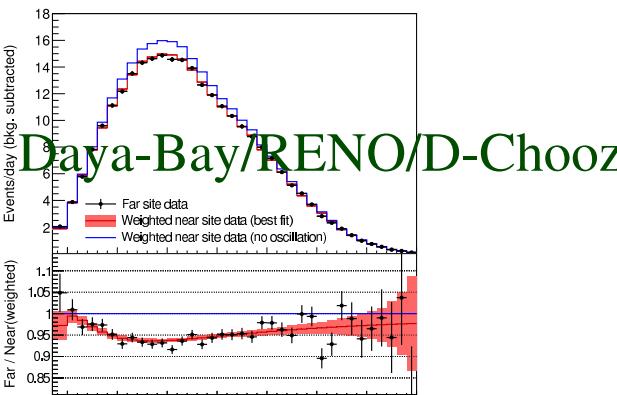
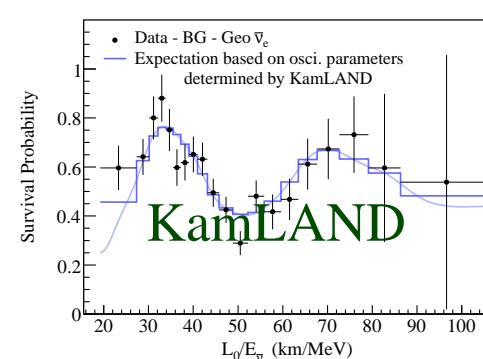
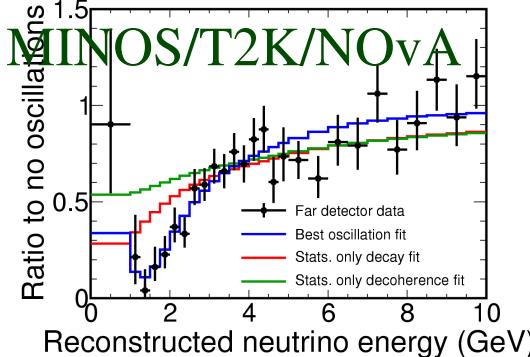
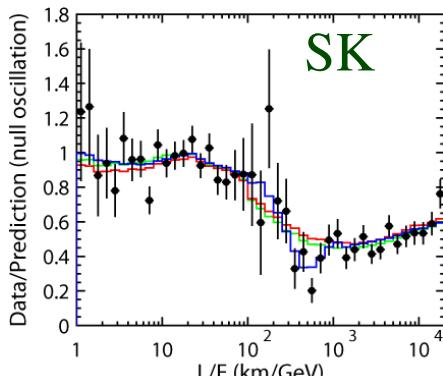
\Rightarrow In LBL terrestrial experiments

Dependence on sign of Δm^2
and θ octant

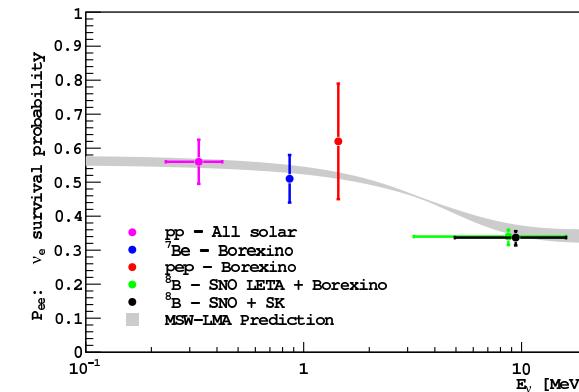
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- Confirmed Vacuum oscillation L/E pattern with 2 frequencies



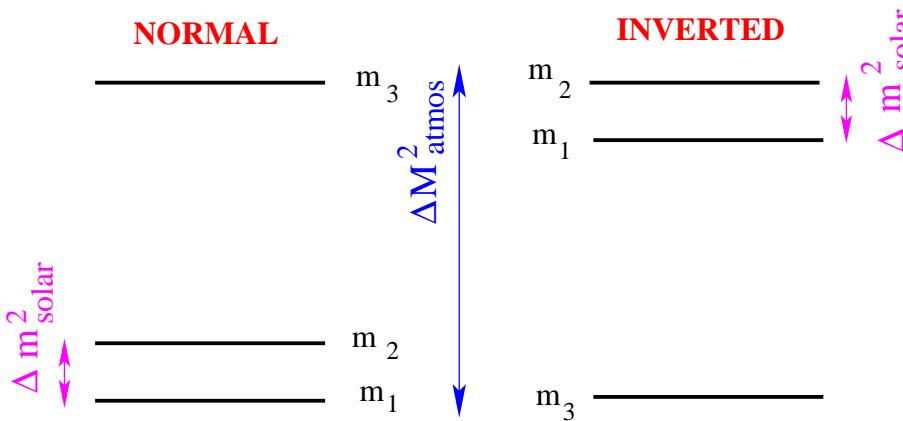
MSW conversion in Sun



3 ν Flavour Parameters

- For 3 ν 's : 3 Mixing angles + 1 Dirac Phase + 2 Majorana Phases

$$U_{\text{LEP}} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{i\delta_{\text{CP}}} \\ 0 & 1 & 0 \\ -s_{13}e^{-i\delta_{\text{CP}}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{21} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} e^{i\eta_1} & 0 & 0 \\ 0 & e^{i\eta_2} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

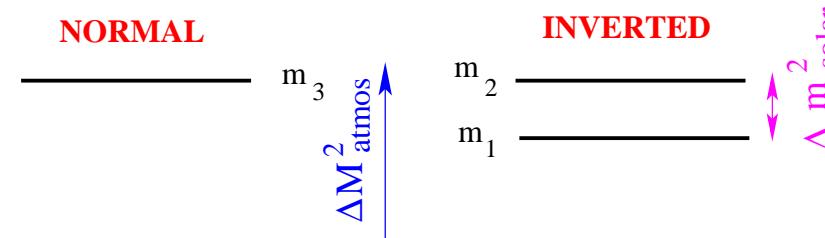


- Two Possible Orderings

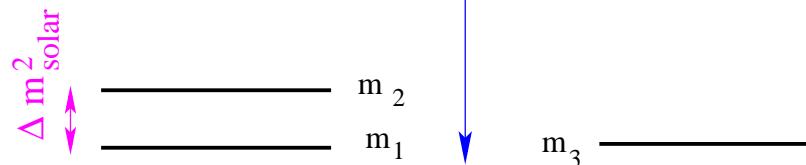
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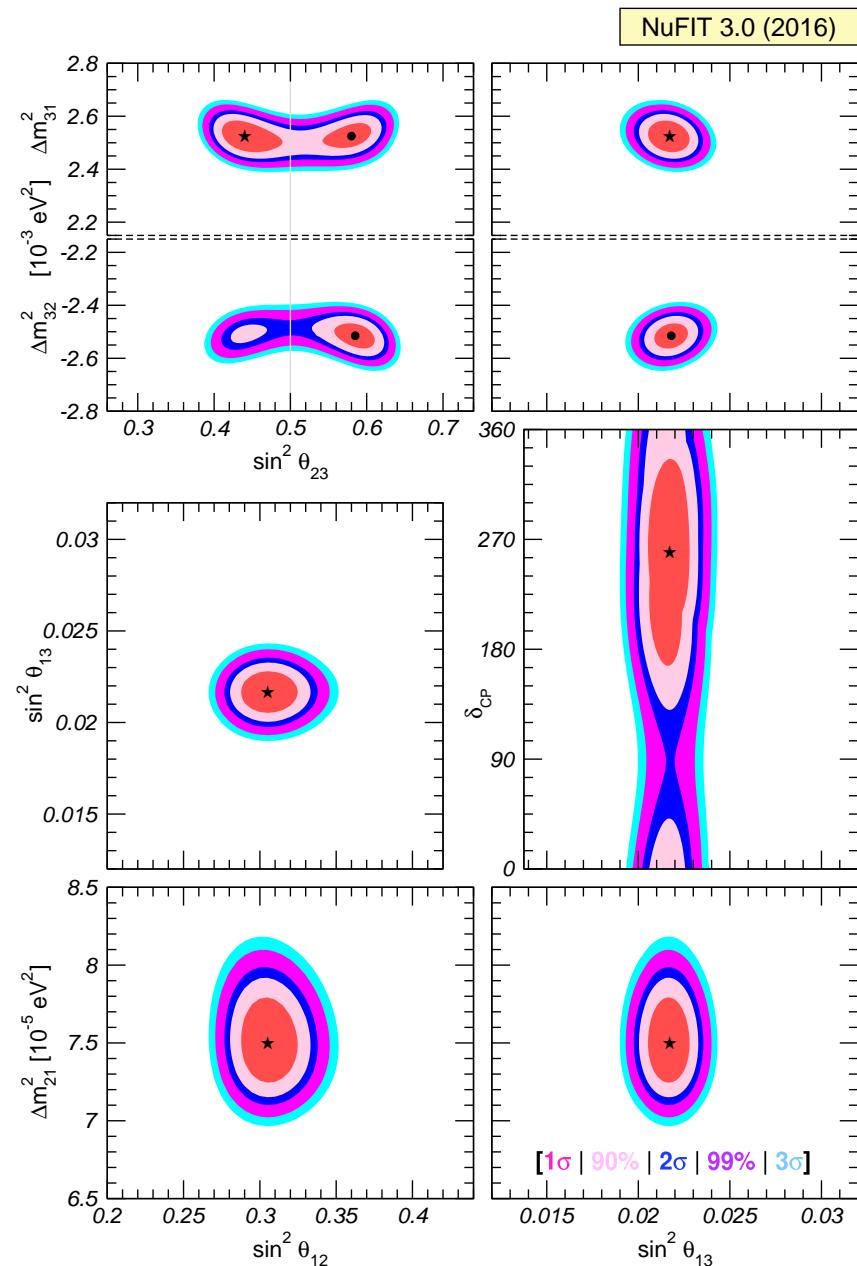
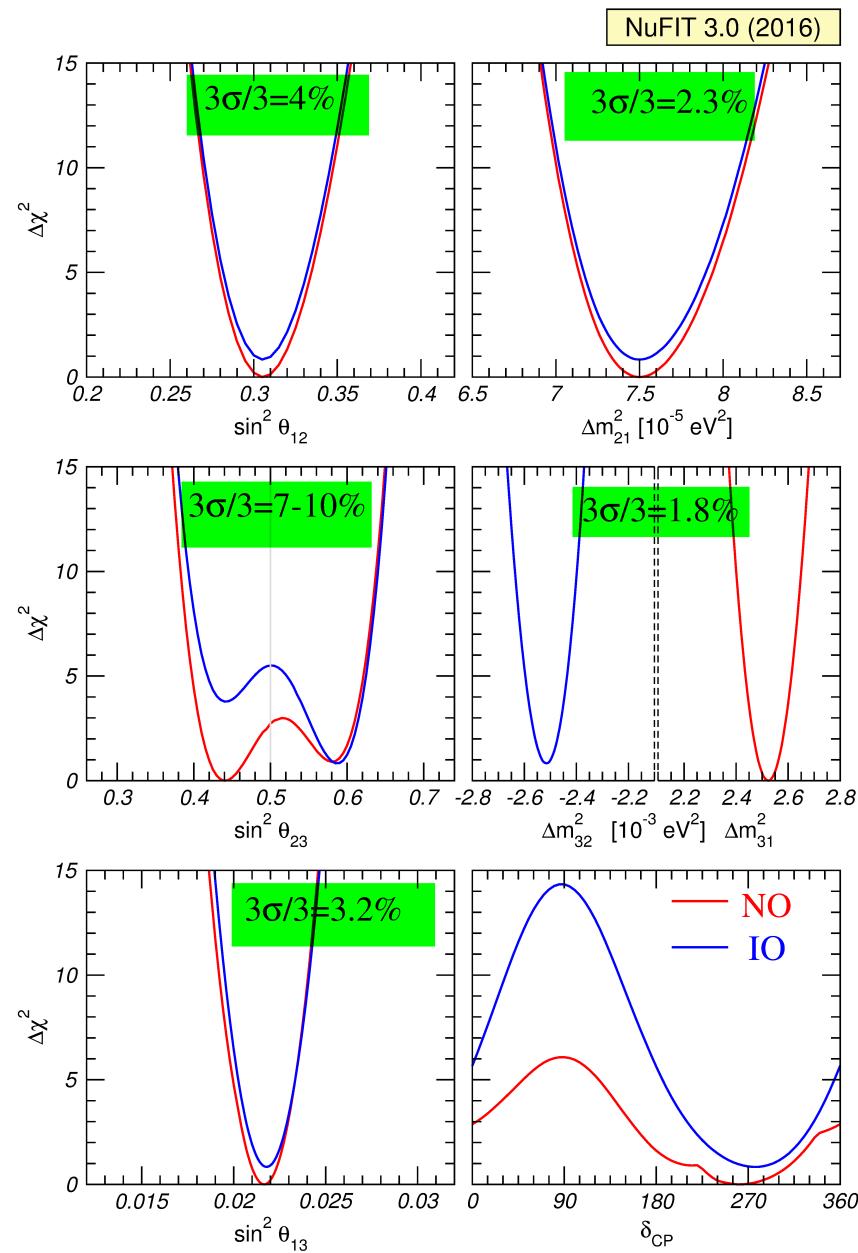
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Experiment	Dominant Dependence	Important Dependence
Solar Experiments	$\rightarrow \theta_{12}$	$\Delta m_{21}^2, \theta_{13}$
Reactor LBL (KamLAND)	$\rightarrow \Delta m_{21}^2$	θ_{12}, θ_{13}
Reactor MBL (Daya Bay, Reno, D-Chooz)	$\rightarrow \theta_{13}$	Δm_{atm}^2
Atmospheric Experiments	$\rightarrow \theta_{23}$	$\Delta m_{\text{atm}}^2, \theta_{13}, \delta_{\text{CP}}$
Acc LBL ν_μ Disapp (Minos, T2K, NOvA)	$\rightarrow \Delta m_{\text{atm}}^2$	θ_{23}
Acc LBL ν_e App (Minos, T2K, NOvA)	$\rightarrow \theta_{13}$	$\delta_{\text{CP}}, \theta_{23}$

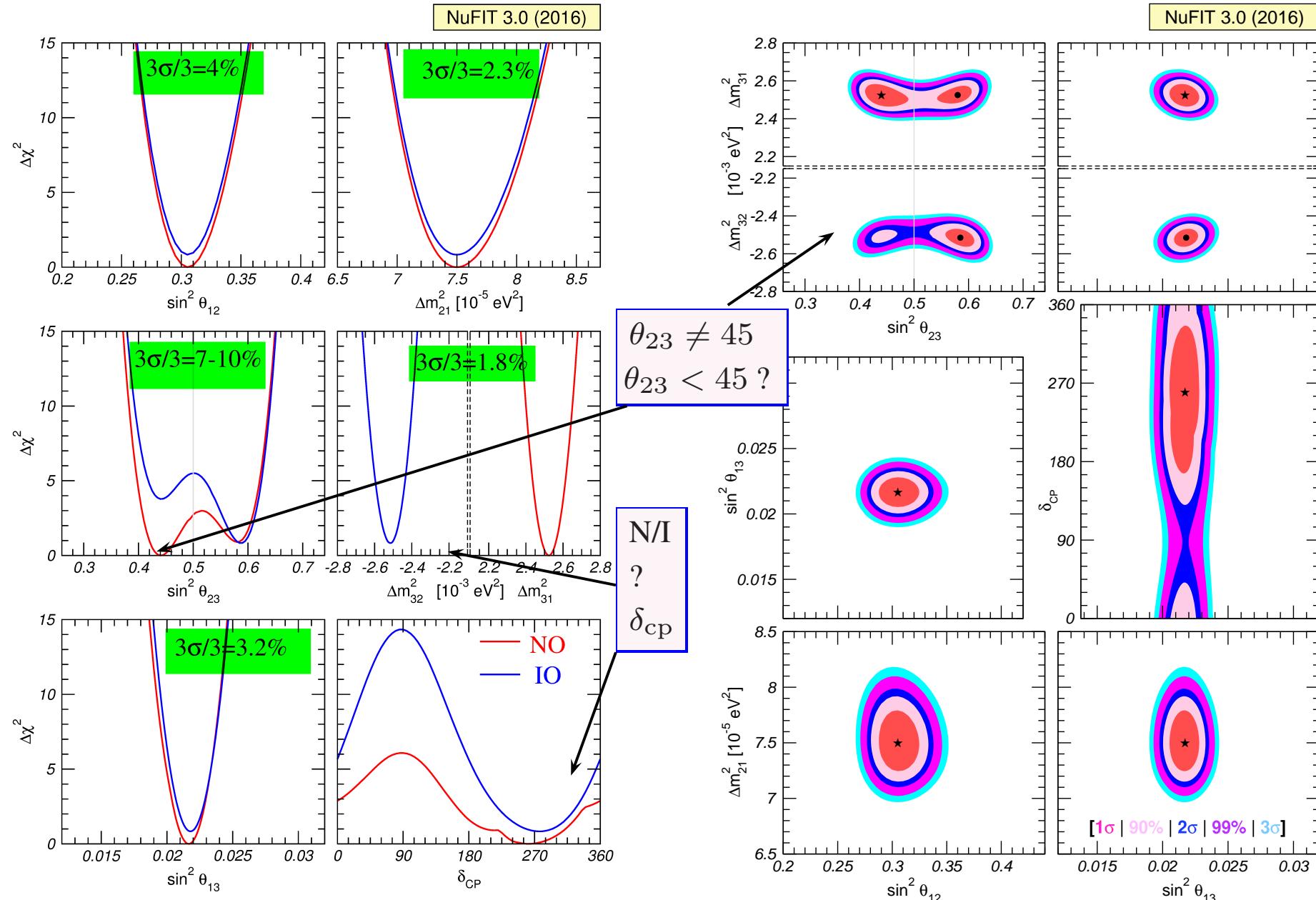
Global 6-parameter fit <http://www.nu-fit.org>

Esteban, Maltoni, Martinez-Soler, Schwetz, MCG-G ArXiv:1611:01514



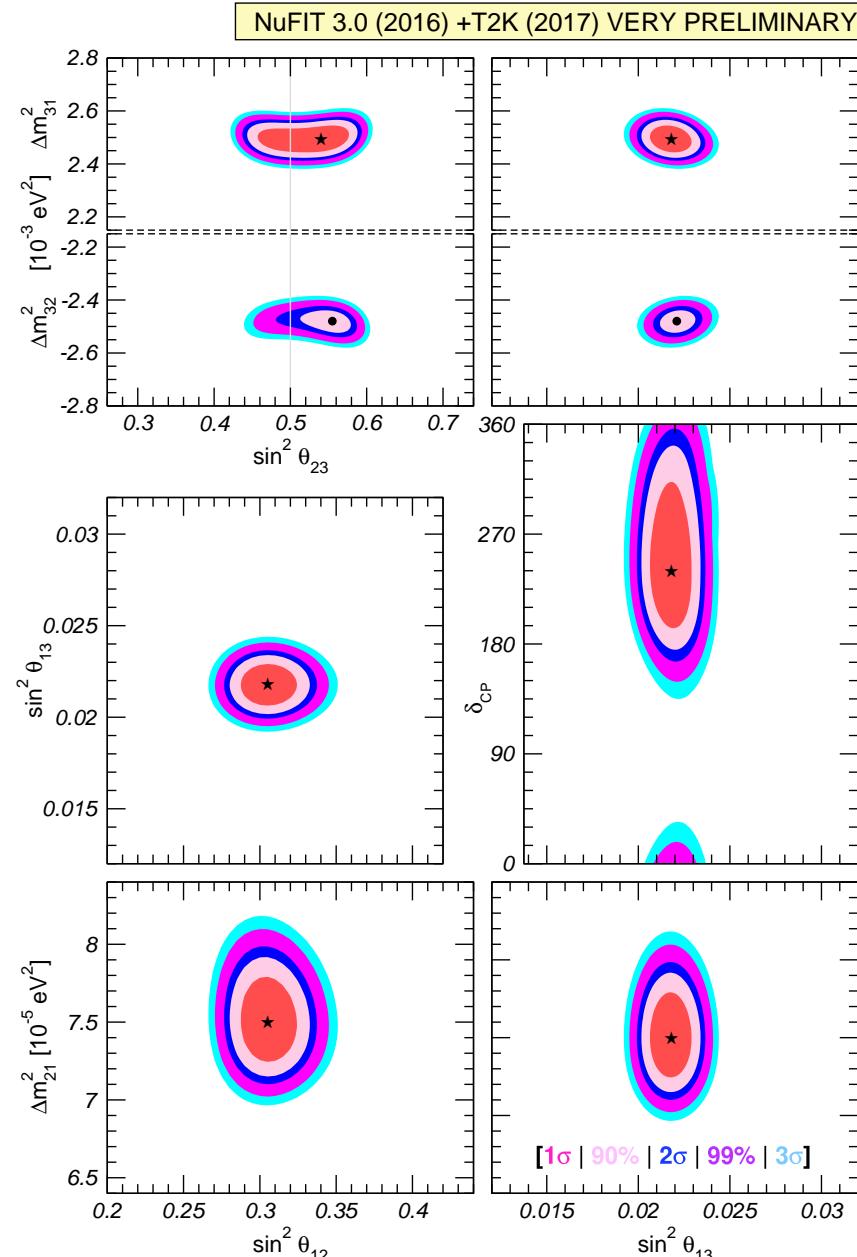
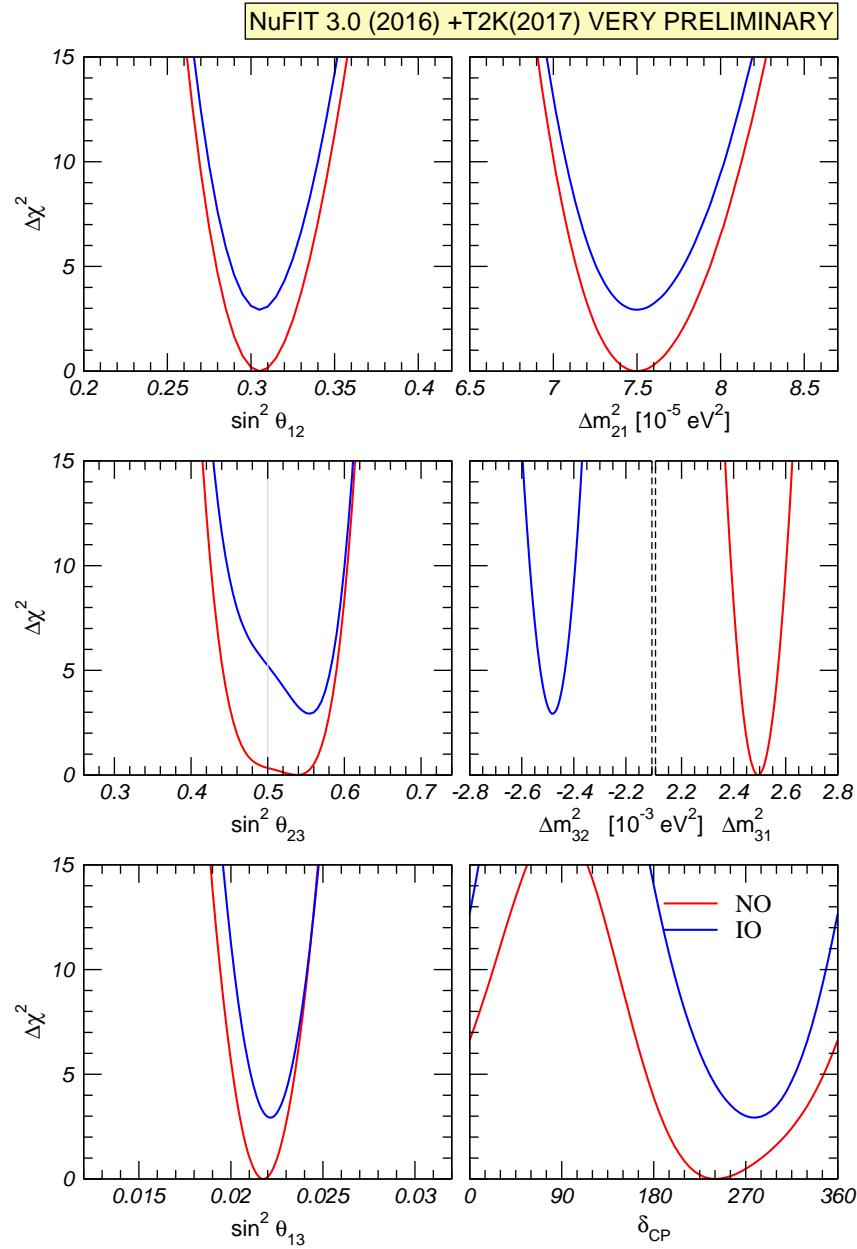
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Esteban, Maltoni, Martinez-Soler, Schwetz, MCG-G effect OF T2K (2017) VERY PRELIMINARY



3 ν Analysis: “12” Sector

- $\Delta m_{13}^2 \gg E/L \Rightarrow P_{ee}^{3\nu} = c_{13}^4 P_{2\nu} + s_{13}^4$

$$i \frac{d}{dt} \begin{pmatrix} \nu_e \\ \nu_a \end{pmatrix} = \left[\frac{\Delta m_{21}^2}{4E} \begin{pmatrix} -\cos 2\theta_{12} & \sin 2\theta_{12} \\ \sin 2\theta_{12} & \cos 2\theta_{12} \end{pmatrix} \pm \sqrt{2} G_F N_e \begin{pmatrix} c_{13}^2 & 0 \\ 0 & 0 \end{pmatrix} \right] \begin{pmatrix} \nu_e \\ \nu_a \end{pmatrix}$$

$$P_{ee} \simeq \begin{cases} \text{Solar High E : } c_{13}^4 \sin^2 2\theta_{12} \\ \text{Solar Low E : } c_{13}^4 \left(1 - \sin^2 2\theta_{12}/2\right) \\ \text{Kam : } c_{13}^4 \left(1 - \sin^2 2\theta_{12} \sin^2 \frac{\Delta m_{21}^2 L}{4E}\right) \end{cases}$$

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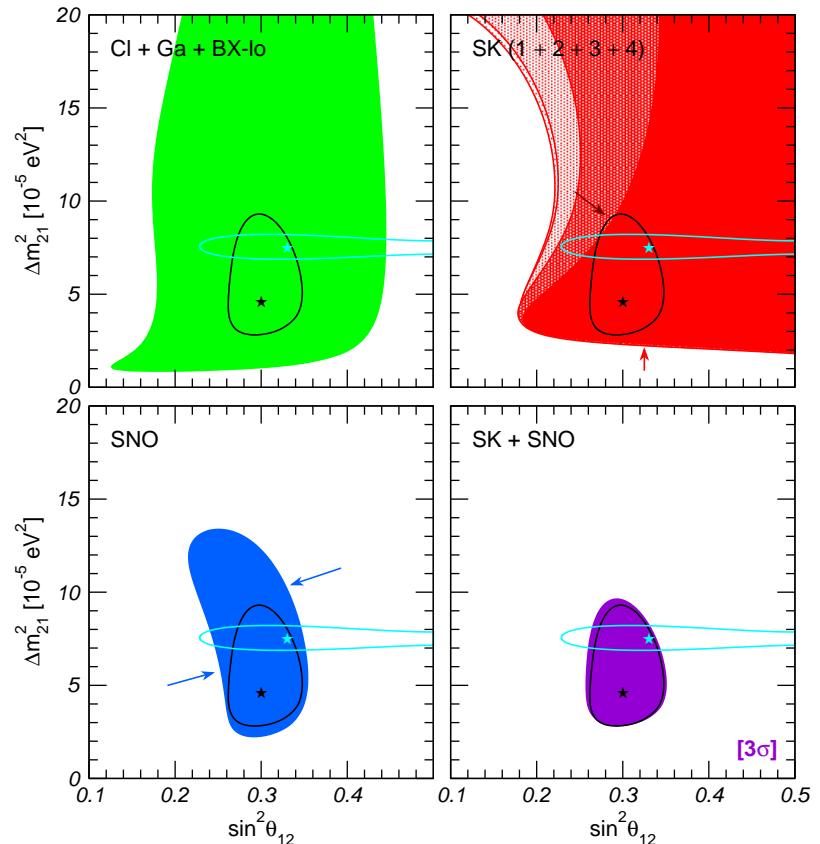
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- * Solar region determined by High E data

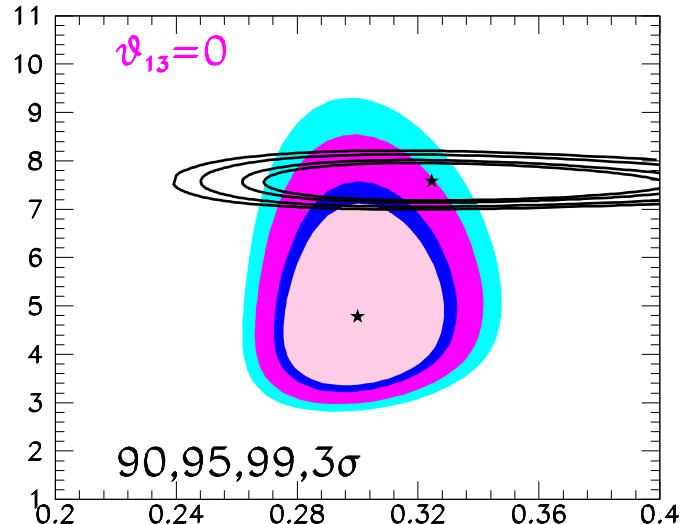
- * Param's
 - θ_{12} SNO most sensitivity
 - Δm_{21}^2 by KamLAND

With $\theta_{13} = 0$



3 ν Analysis: “12” Sector and θ_{13}

- For $\theta_{13} = 0$



- When θ_{13} increases

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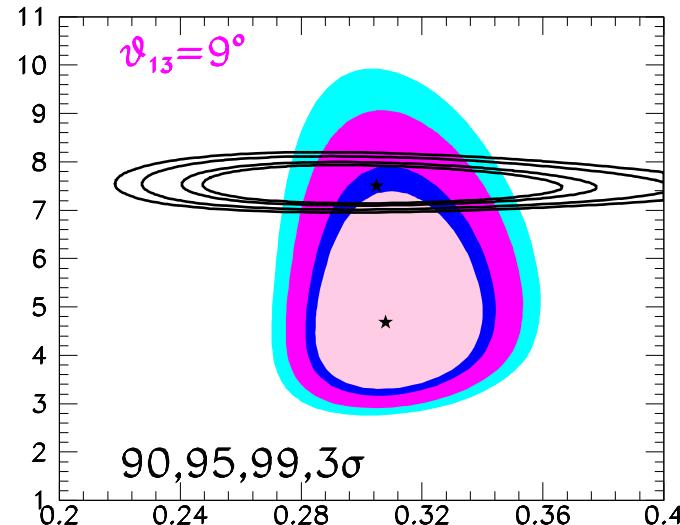
⇒ KamLAND region shifts left

⇒ Solar slight shifts right (due to High E)

$$\sin^2 \theta_{12} = \begin{cases} 0.3 \text{ From Solar} \\ 0.325 \text{ From KLAND} \end{cases}$$

3 ν Analysis: “12” Sector and θ_{13}

- For $\theta_{13} \simeq 9^\circ$

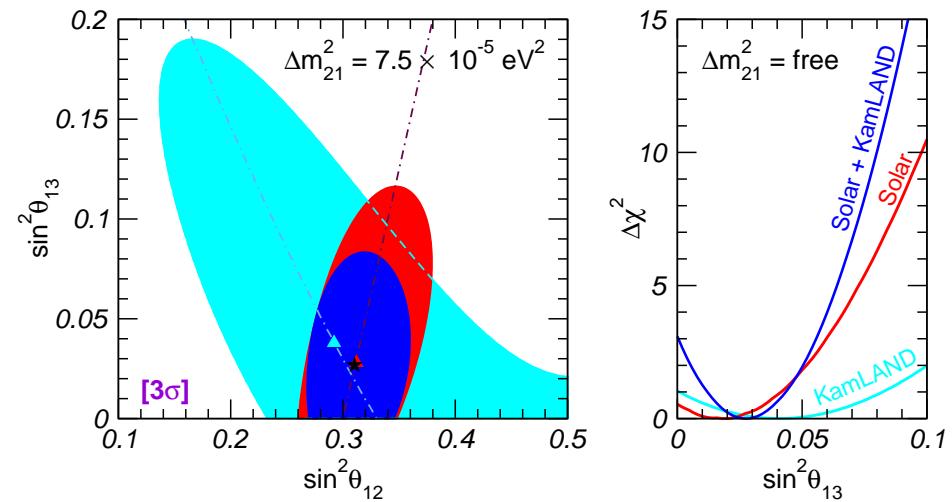


- ⇒ Good match of best fit θ_{12}
 ⇒ Residual tension on Δm_{21}^2

- When θ_{13} increases

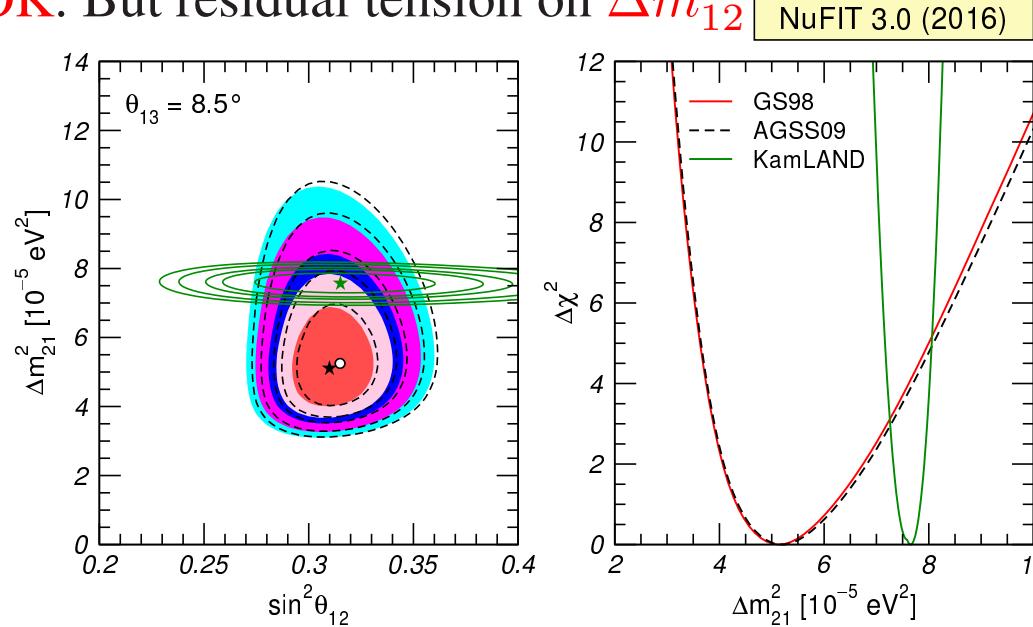
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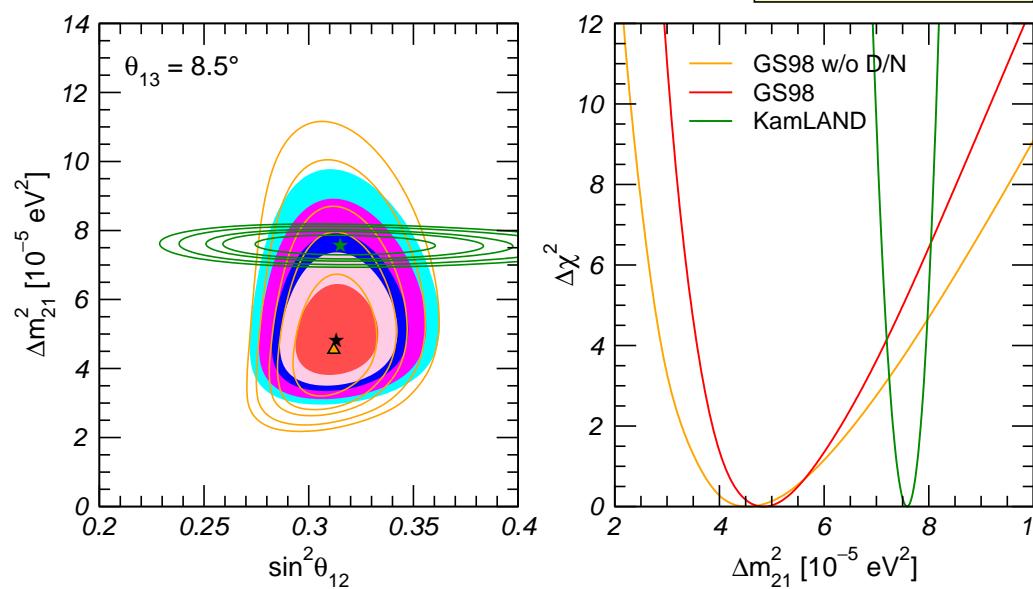
3 ν Analysis: Δm_{21}^2 KamLAND vs SOLAR

For $\theta_{13} \simeq 9^\circ$ θ_{12} OK. But residual tension on Δm_{12}^2

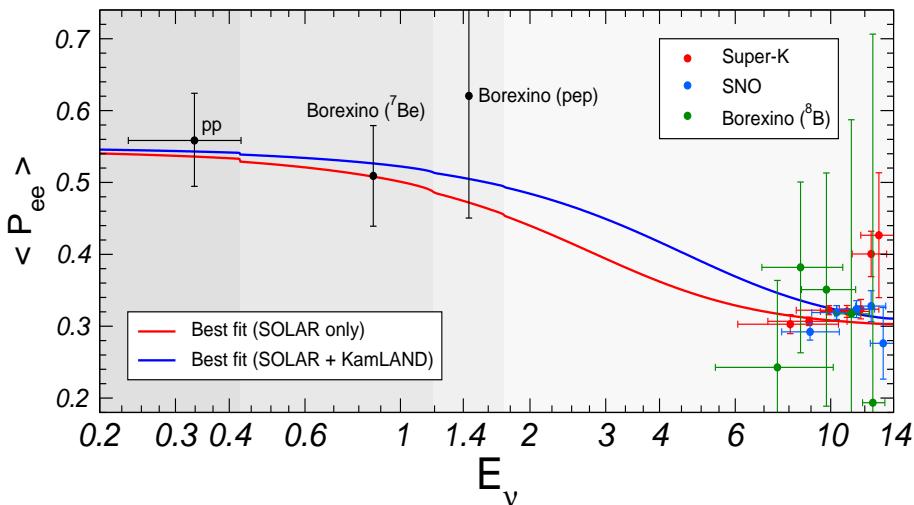


3 ν Analysis: Δm_{21}^2 KamLAND vs SOLAR

For $\theta_{13} \simeq 9^\circ$ θ_{12} OK. But residual tension on Δm_{12}^2



Tension related to: a) “too large” of Day/Night at SK



b) smaller-than-expected
low-E turn up from MSW
at best global fit

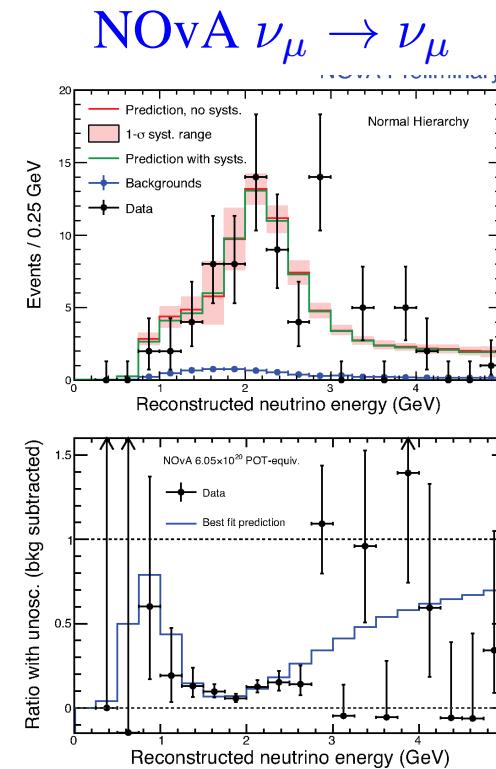
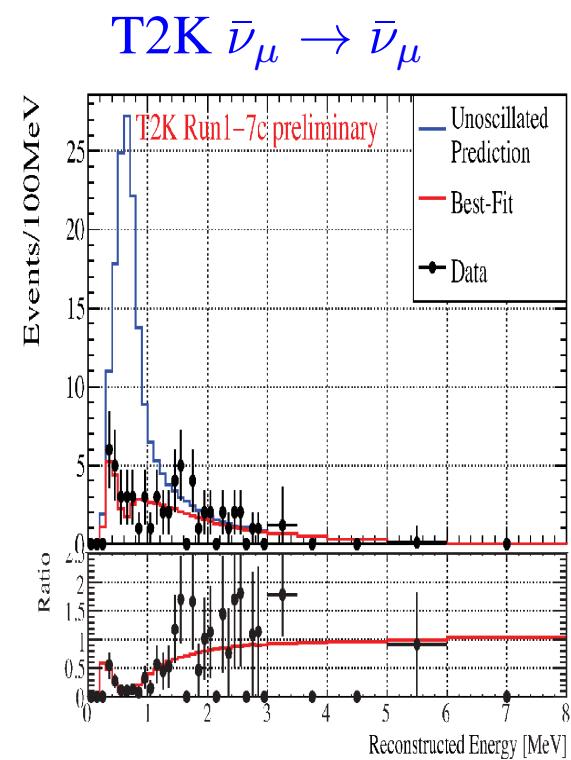
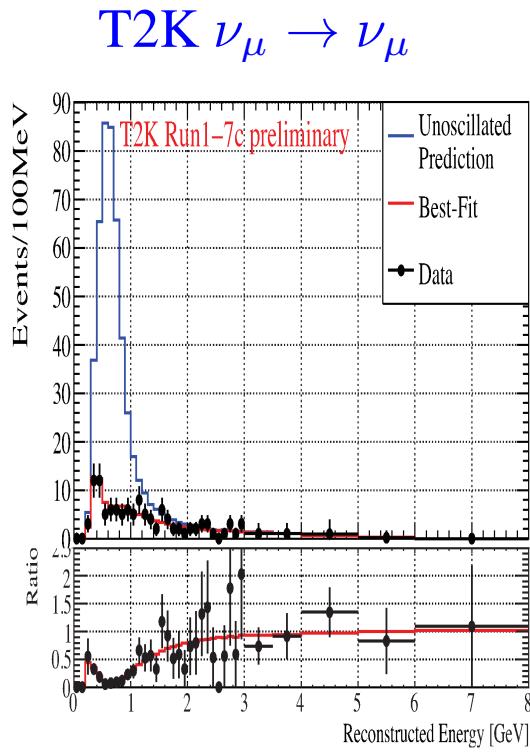
Modified matter potential? More latter ...

3 ν Analysis: θ_{23}

- Best determined in ν_μ and $\bar{\nu}_\mu$ disappearance in LBL

$$P_{\mu\mu} \simeq 1 - (c_{13}^4 \sin^2 2\theta_{23} + s_{23}^2 \sin^2 2\theta_{13}) \sin^2 \left(\frac{\Delta m_{31}^2 L}{4E} \right) + \mathcal{O}(\Delta m_{21}^2)$$

- At osc maximum $\sin^2 \left(\frac{\Delta m_{31}^2 L}{4E} \right) = 1 \Rightarrow P_{\mu\mu} \simeq 0$ for $\theta_{23} \simeq \frac{\pi}{4}$

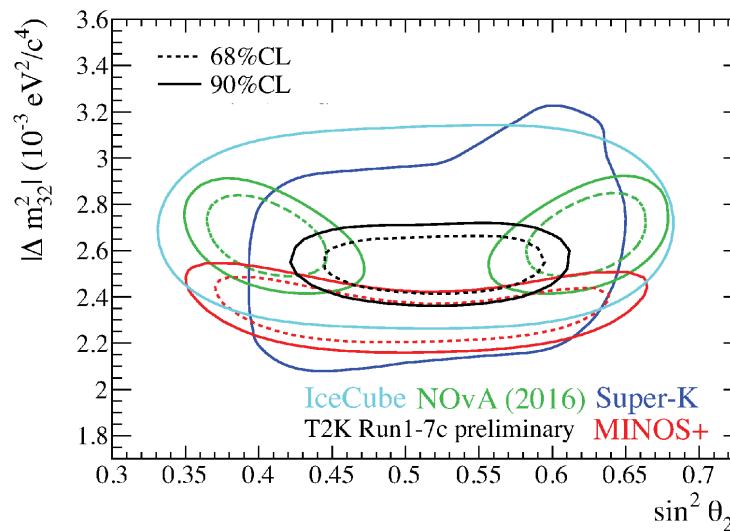


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- Allowed regions by the different experiments:



In making this figure θ_{13} is constrained by prior from reactor data

Caution: Not the same using θ_{13} reactor prior than combining with reactor results
(because of Δm_{32}^2 in reactors)

Δm_{23}^2 in LBL vs Reactors

- At LBL determined in ν_μ and $\bar{\nu}_\mu$ disappearance spectrum

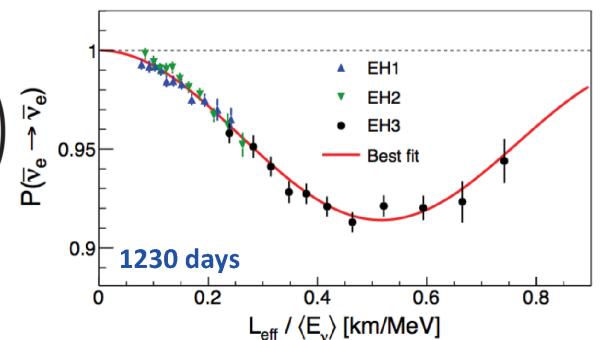
$$P_{\mu\mu} \simeq 1 - (c_{13}^4 \sin^2 2\theta_{23} + s_{23}^2 \sin^2 2\theta_{13}) \sin^2 \left(\frac{\Delta m_{31}^2 L}{4E} \right) + \mathcal{O}(\Delta m_{21}^2)$$

- At MBL Reactors (Daya-Bay, Reno, D-Chooz) determined in $\bar{\nu}_e$ disapp spectrum

$$P_{ee} \simeq 1 - \sin^2 2\theta_{13} \sin^2 \left(\frac{\Delta m_{ee}^2 L}{4E} \right) - c_{13}^4 \sin^2 2\theta_{12} \sin^2 \left(\frac{\Delta m_{21}^2 L}{4E} \right)$$

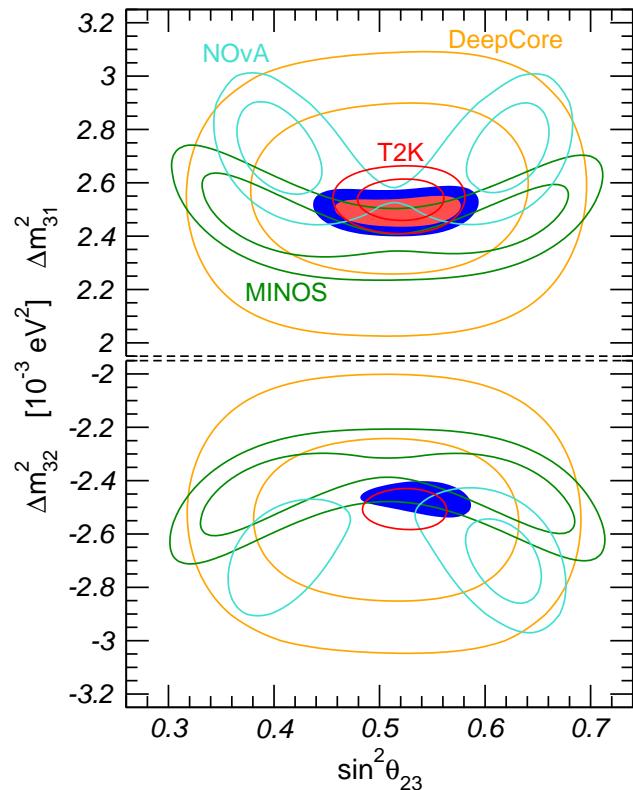
$$\Delta m_{ee}^2 \simeq |\Delta m_{32}^2| \pm c_{12}^2 \Delta m_{21}^2 \simeq |\Delta m_{32}^2| \pm 0.05 \times 10^{-3} \text{ eV}^2$$

Nunokawa,Parke,Zukanovich (2005)

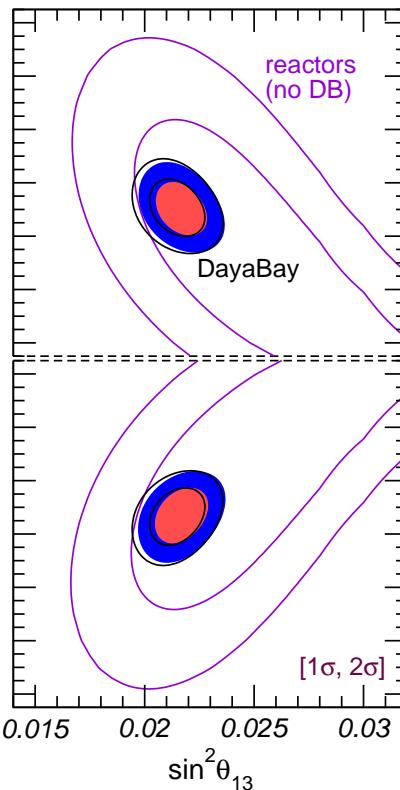


Δm_{23}^2 in LBL vs Reactors: Consistency

- At LBL determined in ν_μ and $\bar{\nu}_\mu$ disappearance spectrum
- At MBL Reactors (Daya-Bay, Reno, D-Chooz) determined in $\bar{\nu}_e$ disapp spectrum

LBL ν_μ disappearanceREAC $\bar{\nu}_e$ disappearance

Sept (2017) PRELIM

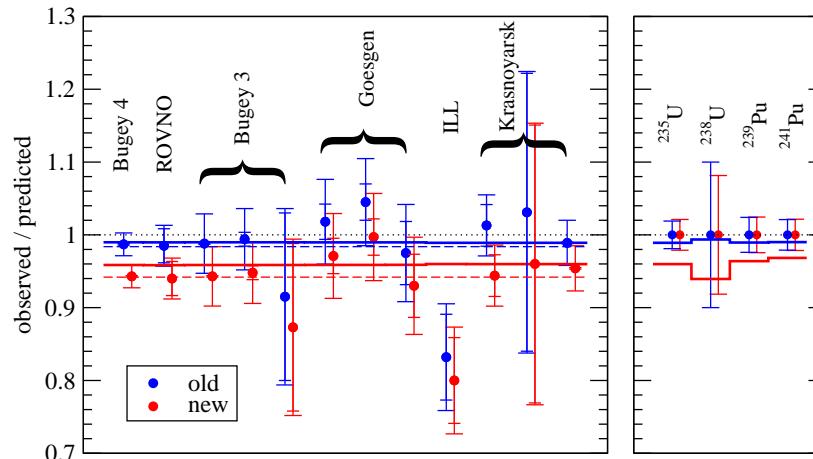


- Consistent values of $|\Delta m_{32}^2|$
- Hint for non-maximal θ_{23} driven by NO ν A and MINOS
- T2K (2017) slight fav $\theta_{23} > 45^\circ$

3 ν Analysis: Reactor Flux anomaly and θ_{13}

- The reactor $\bar{\nu}_e$ fluxes was recalculated about 6 yrs ago
T.A. Mueller et al., [arXiv:1101.2663].; P. Huber, [arXiv:1106.0687].

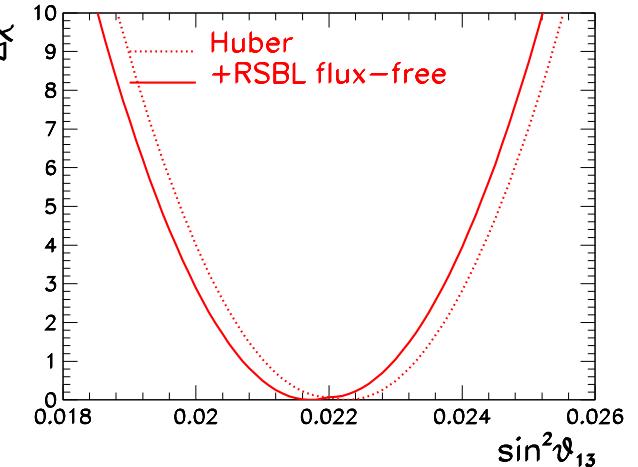
- Both found higher fluxes $\sim 3.5\%$
 \Rightarrow *negative* reactor experiments
 at short baselines (RSBL) indeed
 observed a deficit



- For 3ν analysis a consistent approach (T. Schwetz et. al. [arXiv:1103.0734]):
 – Fit oscillation parameters and reactor fluxes simultaneously
 – Use calculated fluxes (a) or RSBL data (b) as priors

Difference at $\lesssim 0.3\sigma$ level

$$\chi^2_{min,a} - \chi^2_{min,b} \sim 7$$



Leptonic CP Violation

- Leptonic CP $\Rightarrow P_{\nu_\alpha \rightarrow \nu_\beta} \neq P_{\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta}$:

$$P_{\nu_\alpha \rightarrow \nu_\beta} - P_{\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta} \propto J \quad \text{with} \quad J = \text{Im}(U_{\alpha 1} U_{\alpha 2}^* U_{\beta 2} U_{\beta 1}^*) = J_{\text{LEP,CP}}^{\max} \sin \delta_{\text{CP}}$$

$$J_{\text{LEP,CP}}^{\max} = \frac{1}{8} c_{13} \sin^2 2\theta_{13} \sin^2 2\theta_{23} \sin^2 2\theta_{12}$$

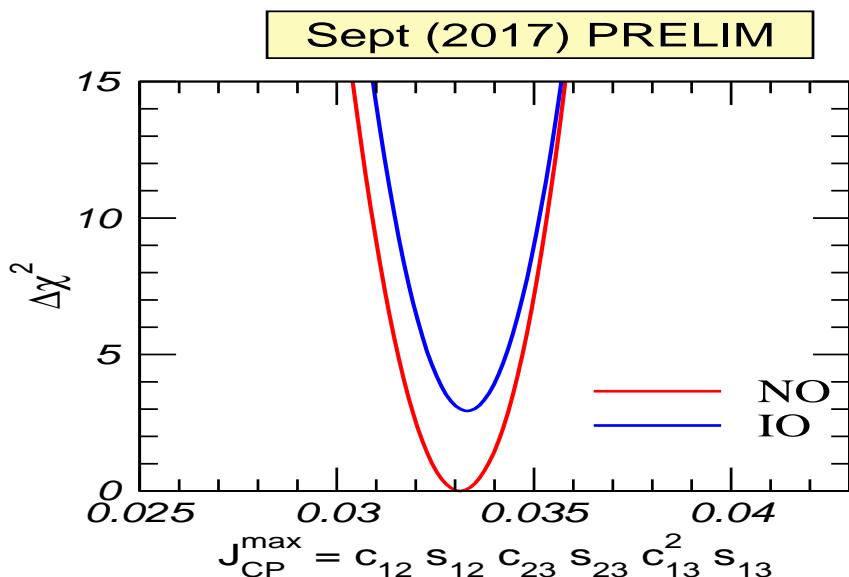
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$$J_{\text{LEP,CP}}^{\max} = \frac{1}{8} c_{13} \sin^2 2\theta_{13} \sin^2 2\theta_{23} \sin^2 2\theta_{12}$$

- Maximum Allowed Leptonic CPV:



$$J_{\text{LEP,CP}}^{\max} = (3.29 \pm 0.07) \times 10^{-2}$$

to compare with

$$J_{\text{CKM,CP}} = (3.04 \pm 0.21) \times 10^{-5}$$

\Rightarrow Leptonic CPV may be largest CPV
in New Minimal SM

if $\sin \delta_{\text{CP}}$ not too small

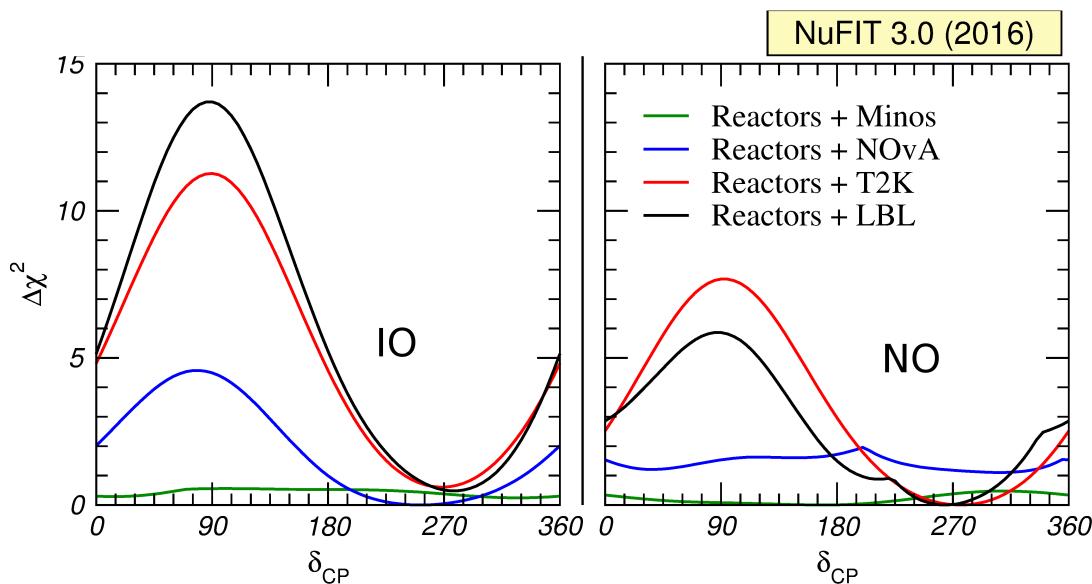
Leptonic CP Phase

- Leptonic CPV Phase: Mainly from $\nu_\mu \rightarrow \nu_e$ in LBL (complicated by matter effects)

$$P_{\mu e} \simeq s_{23}^2 \sin^2 2\theta_{13} \left(\frac{\Delta_{31}}{B_\mp} \right)^2 \sin^2 \left(\frac{B_\mp L}{2} \right) + 8 J_{\text{LEP,CP}}^{\max} \frac{\Delta_{12}}{V_E} \frac{\Delta_{31}}{B_\mp} \sin \left(\frac{V_E L}{2} \right) \sin \left(\frac{B_\mp L}{2} \right) \cos \left(\frac{\Delta_{31} L}{2} \pm \delta_{\text{CP}} \right)$$

$$\Delta_{ij} = \frac{\Delta m_{ij}^2}{2E} \quad B_\pm = \Delta_{31} \pm V_E \quad J_{\text{LEP,CP}}^{\max} = \frac{1}{8} c_{13} \sin^2 2\theta_{13} \sin^2 2\theta_{23} \sin^2 2\theta_{12}$$

Before T2K (2017)



- Best fit $\delta_{\text{CP}} \sim 270^\circ$
- CP conserv at 70% (NO), 97% (IO)
- Driven by “fluctuation” in T2K

Mass hierarchy	ν_e		$\bar{\nu}_e$	
	Normal	Inverted	Normal	Inverted
$\delta_{\text{CP}} = -\pi/2$	28.8	25.5	6.0	6.5
$\delta_{\text{CP}} = 0$	24.2	21.2	6.9	7.4
$\delta_{\text{CP}} = \pi/2$	19.7	17.2	7.7	8.4
$\delta_{\text{CP}} = \pm\pi$	24.2	21.6	6.8	7.4
Data	32		4	

⇒ One concluded :
Significance may not grow soon

Leptonic CP Phase:T2K 2017

Accumulated 14.7×10^{20} protons-on-target (POT) in neutrino mode and 7.6×10^{20} POT in antineutrino mode - full data set presented here

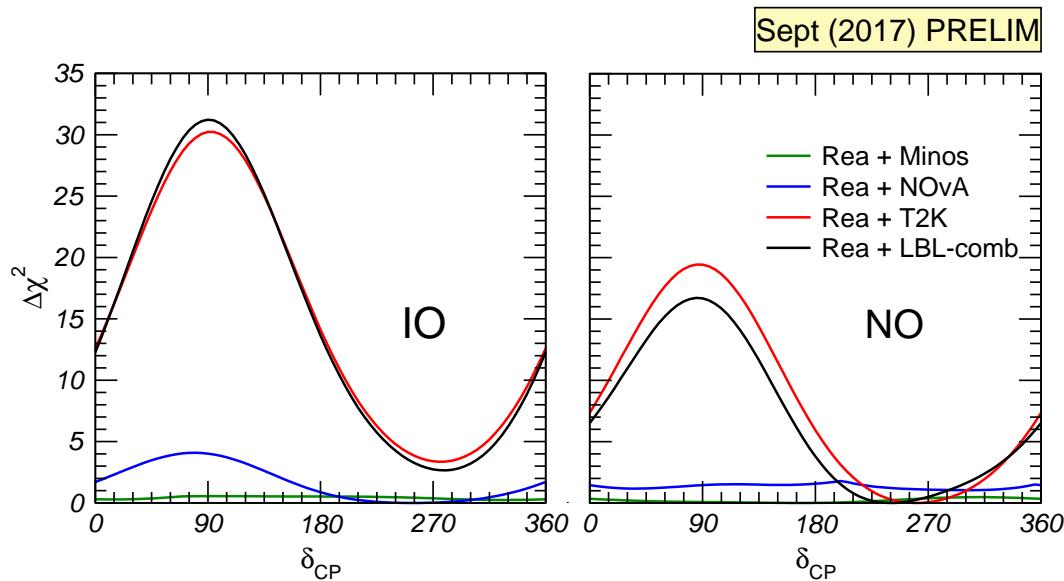
- 29% of the approved T2K POT

	Sample	Predicted Rates				Observed Rates
		$\delta_{cp} = -\pi/2$	$\delta_{cp} = 0$	$\delta_{cp} = \pi/2$	$\delta_{cp} = \pi$	
ν_e	CCQE 1-Ring e-like FHC	73.5	61.5	49.9	62.0	74
	CC1 π 1-Ring e-like FHC	6.92	6.01	4.87	5.78	15
$\overline{\nu}_e$	CCQE 1-Ring e-like RHC	7.93	9.04	10.04	8.93	7
	CCQE 1-Ring μ -like FHC	267.8	267.4	267.7	268.2	240
ν_μ	CCQE 1-Ring μ -like RHC	63.1	62.9	63.1	63.1	68

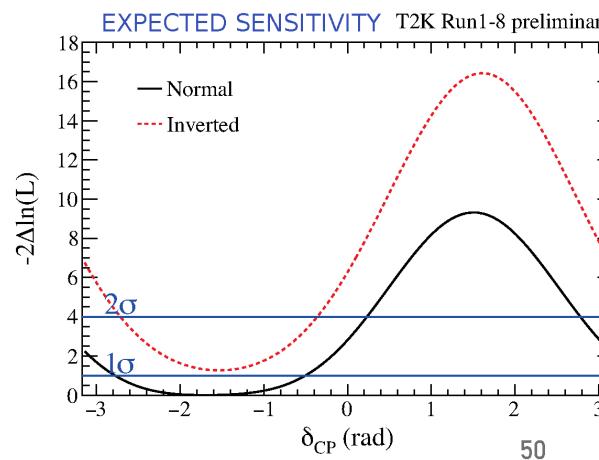
M. Hartz, KeK colloquim, August 2017

Leptonic CP Phase

Including T2K (2017) PRELIMINARY



- Best fit at $\delta_{\text{CP}} \sim 240^\circ$
 - CP conserv at 95% (NO)
 - 15° – 160° disfavoured at $\Delta\chi^2 > 9$
 - Still more than expected sensitiv in T2K
 - $-\chi^2_{\text{min,IO}} - \chi^2_{\text{min,NO}} \simeq 3$



Leptonic CP Violation

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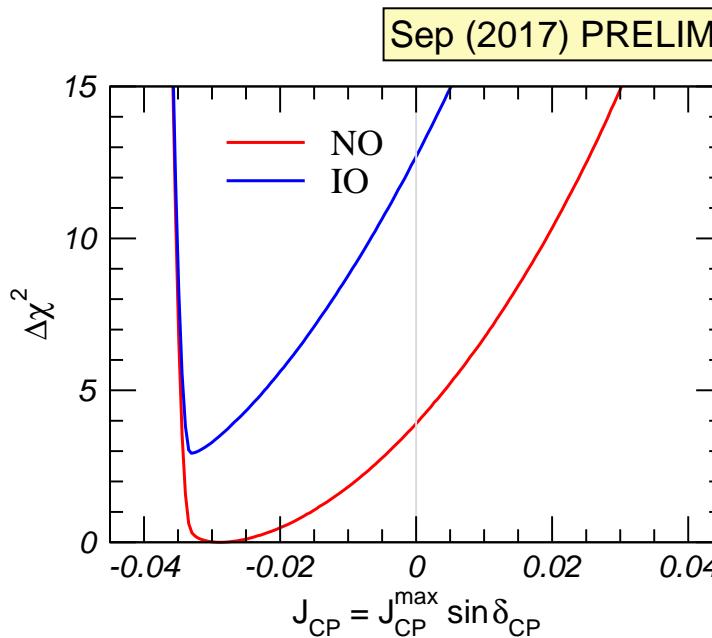
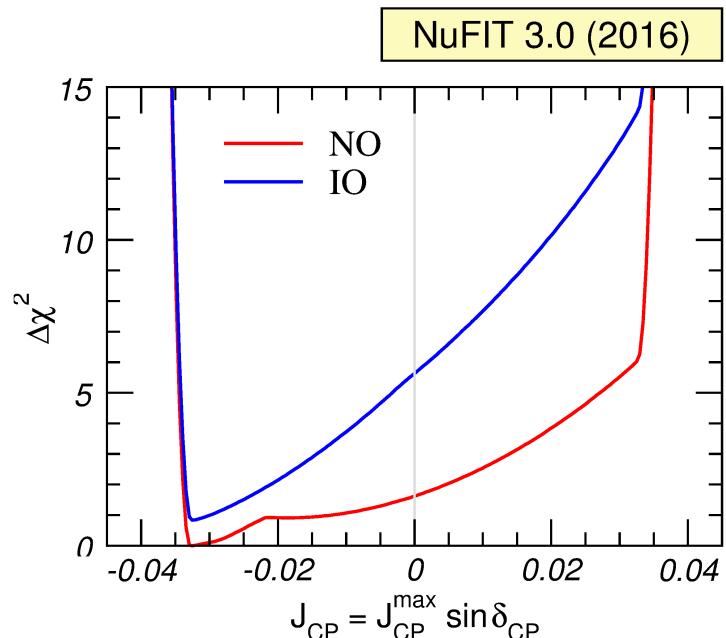
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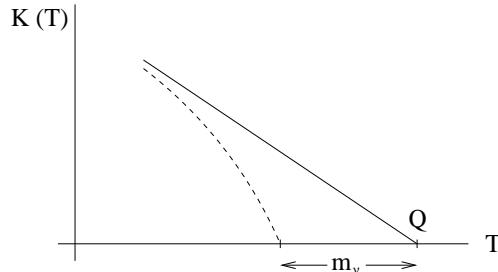
$$\Delta_{ij} = \frac{\Delta m_{ij}^2}{2E} \quad B_\pm = \Delta_{31} \pm V_E \quad J_{\text{LEP,CP}}^{\max} = \frac{1}{8} c_{13} \sin^2 2\theta_{13} \sin^2 2\theta_{23} \sin^2 2\theta_{12}$$

- Leptonic Jarlskog Invariant : Best fit $J_{\text{LEP,CP}} = -0.030$



Neutrino Mass Scale

Single β decay : Dirac or Majorana ν mass modify spectrum endpoint

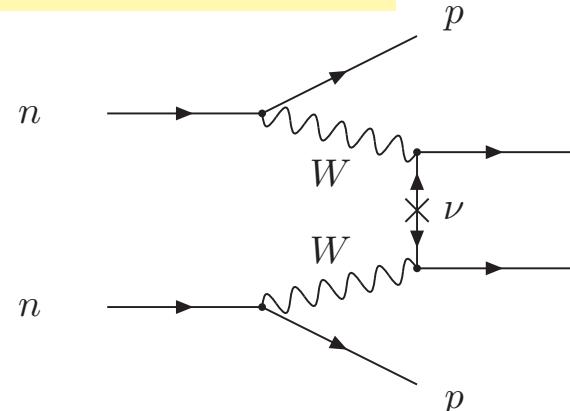


$$m_{\nu_e}^2 = \sum m_j^2 |U_{ej}|^2 = \begin{cases} \text{NO : } m_\ell^2 + \Delta m_{21}^2 c_{13}^2 s_{12}^2 + \Delta m_{31}^2 s_{13}^2 \\ \text{IO : } m_\ell^2 + \Delta m_{21}^2 c_{13}^2 s_{12}^2 - \Delta m_{31}^2 c_{13}^2 \end{cases}$$

Present bound: $m_{\nu_e} \leq 2.2$ eV (at 95 % CL)

Katrin (20XX???) Sensitivity to $m_{\nu_e} \sim 0.2$ eV

ν -less Double- β decay: \Leftrightarrow Majorana ν' s



If m_ν only source of ΔL $T_{1/2}^{0\nu} = \frac{m_e}{G_{0\nu} M_{\text{nucl}}^2 m_{ee}^2}$

$$\begin{aligned} m_{ee} &= \left| \sum U_{ej}^2 m_j \right| \\ &= \left| c_{13}^2 c_{12}^2 m_1 e^{i\eta_1} + c_{13}^2 s_{12}^2 m_2 e^{i\eta_2} + s_{13}^2 m_3 e^{-i\delta_{CP}} \right| \\ &= f(m_\ell, \text{order, maj phases}) \end{aligned}$$

Present Bounds: $m_{ee} < 0.06 - 0.76$ eV

COSMO for Dirac or Majorana
 m_ν affect growth of structures

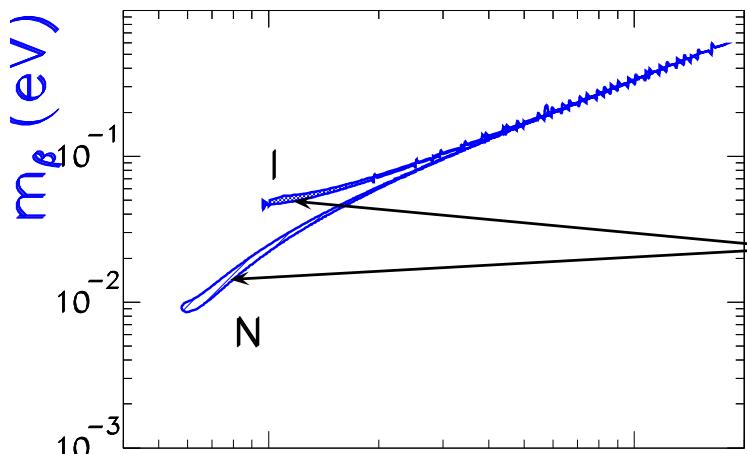
$$\sum m_i = \begin{cases} \text{NO : } \sqrt{m_\ell^2} + \sqrt{\Delta m_{21}^2 + m_\ell^2} + \sqrt{\Delta m_{31}^2 + m_\ell^2} \\ \text{IO : } \sqrt{m_\ell^2} + \sqrt{-\Delta m_{31}^2 - \Delta m_{21}^2 - m_\ell^2} + \sqrt{-\Delta m_{31}^2 - m_\ell^2} \end{cases}$$

Neutrino Mass Scale: The Cosmo-Lab Connection

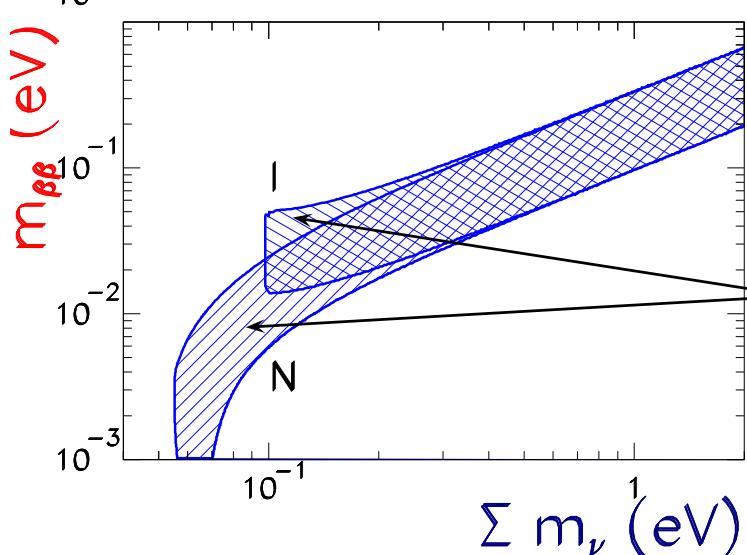
Global oscillation analysis

⇒ Correlations m_{ν_e} , m_{ee} and $\sum m_\nu$
(Fogli et al (04))

Nufit (95%)



Width due to range in oscillation parameters very narrow
Lower bound on $\sum m_i$ depends on ordering



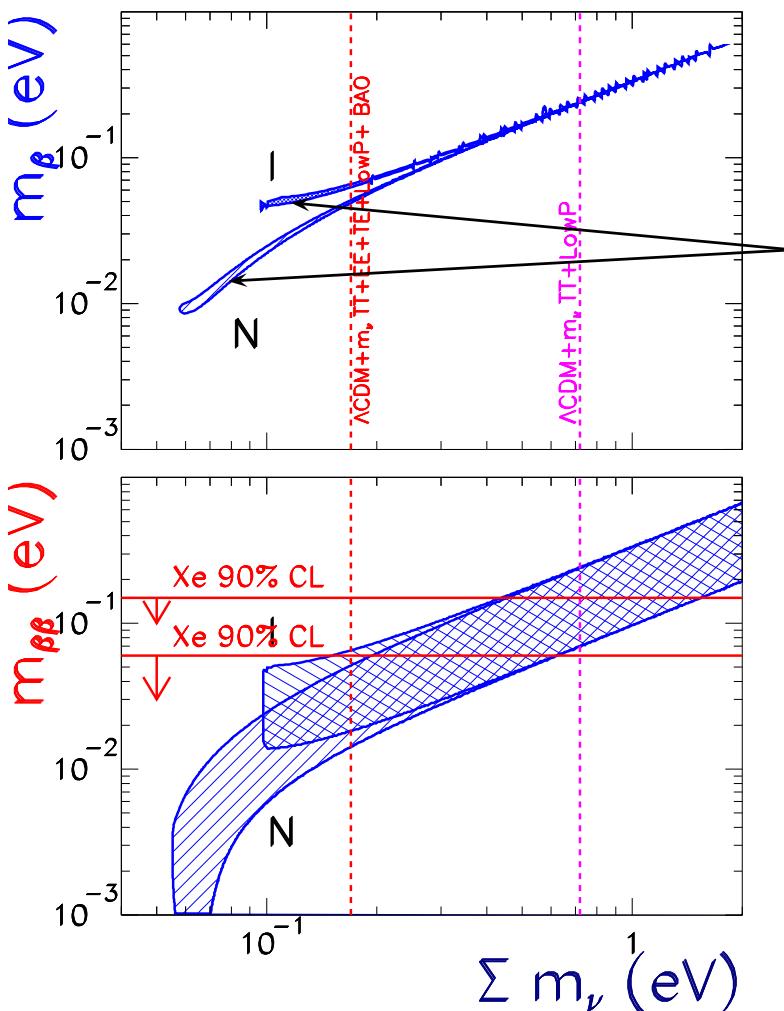
Wide band due to unknown Majorana phases ⇒
Possible Det of Maj phases?

Neutrino Mass Scale: The Cosmo-Lab Connection

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⇒ Correlations m_{ν_e} , m_{ee} and $\sum m_i$
(Fogli et al (04))

Nufit (95%)



Lower bound on $\sum m_i$ depends on ordering

Precision determination/bound of $\sum m_i$ can give information on ordering ?

Hannestad, Schwetz 1606.04691, Simpson et al 1703.03425, Capozzi et al 1703.04471 ...

Or much ado about nothing?

Cosmo data will only add to N/I likelihood
when accuracy on $\sum m_\nu$ better than 0.02 eV
(to see a 2σ N/I difference between 0.06 and 0.1)

Hannestad, Schwetz 1606.04691

Beyond 3 ν Oscillations?

- Three-flavour oscillation scenario very robust
- Most extensions: VLI, Non-Unitarity, CPT viol, eV sterile neutrinos . . .
Only allowed to be subdominant
- What about non-standard interactions?

- Including non-standard neutrino NC interactions with fermion f

$$\mathcal{L}_{\text{NSI}} = -2\sqrt{2}G_F \varepsilon_{\alpha\beta}^{fP} (\bar{\nu}_\alpha \gamma^\mu L \nu_\beta) (\bar{f} \gamma_\mu P f), \quad P = L, R$$

- In flavour basis $\vec{\nu} = (\nu_e, \nu_\mu, \nu_\tau)^T$ the neutrino evolution eq.:

$$i \frac{d}{dx} \vec{\nu} = H^\nu \vec{\nu} \quad \text{with} \quad H^\nu = H_{\text{vac}} + H_{\text{mat}} \quad \text{and} \quad H^{\bar{\nu}} = (H_{\text{vac}} - H_{\text{mat}})^*$$

$$H_{\text{mat}} = \sqrt{2}G_F N_e(r) \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} + \sqrt{2}G_F N_e(r) \begin{pmatrix} \varepsilon_{ee} & \varepsilon_{e\mu} & \varepsilon_{e\tau} \\ \varepsilon_{e\mu}^* & \varepsilon_{\mu\mu} & \varepsilon_{\mu\tau} \\ \varepsilon_{e\tau}^* & \varepsilon_{\mu\tau}^* & \varepsilon_{\tau\tau} \end{pmatrix}$$

$$\varepsilon_{\alpha\beta}(r) \equiv \sum_{f=ued} \frac{N_f(r)}{N_e(r)} \varepsilon_{\alpha\beta}^{fV} \Rightarrow 3\nu \text{ evolution depends on 6 (vac) + 8 per } f \text{ (mat)}$$

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\Rightarrow Parameters degeneracies (some well-known but being rediscovered lately ...)

In particular CPT \Rightarrow invariance under simultaneously:

$$\theta_{12} \leftrightarrow \frac{\pi}{2} - \theta_{12}, \quad (\varepsilon_{ee} - \varepsilon_{\mu\mu}) \rightarrow -(\varepsilon_{ee} - \varepsilon_{\mu\mu}) - 2,$$

$$\Delta m_{31}^2 \rightarrow -\Delta m_{32}^2, \quad (\varepsilon_{\tau\tau} - \varepsilon_{\mu\mu}) \rightarrow -(\varepsilon_{\tau\tau} - \varepsilon_{\mu\mu}),$$

$$\delta \rightarrow \pi - \delta, \quad \varepsilon_{\alpha\beta} \rightarrow -\varepsilon_{\alpha\beta}^* \quad (\alpha \neq \beta),$$

Matter Potential/NSI in ATM and LBL

- Weakest constraints when

2 equal eigenvalues of H_{mat}

Friedland, Lunardini, Maltoni 04

- General parametrization for this case

$$H_{\text{mat}} = Q_{\text{rel}} U_{\text{mat}} D_{\text{mat}} U_{\text{mat}}^\dagger Q_{\text{rel}}^\dagger$$

$$\left\{ \begin{array}{l} Q_{\text{rel}} = \text{diag} (e^{i\alpha_1}, e^{i\alpha_2}, e^{-i\alpha_1 - i\alpha_2}) , \\ U_{\text{mat}} = R_{12}(\varphi_{12}) R_{13}(\varphi_{13}) , \\ D_{\text{mat}} = \sqrt{2} G_F N_e(r) \text{diag}(\varepsilon, 0, 0) \end{array} \right.$$

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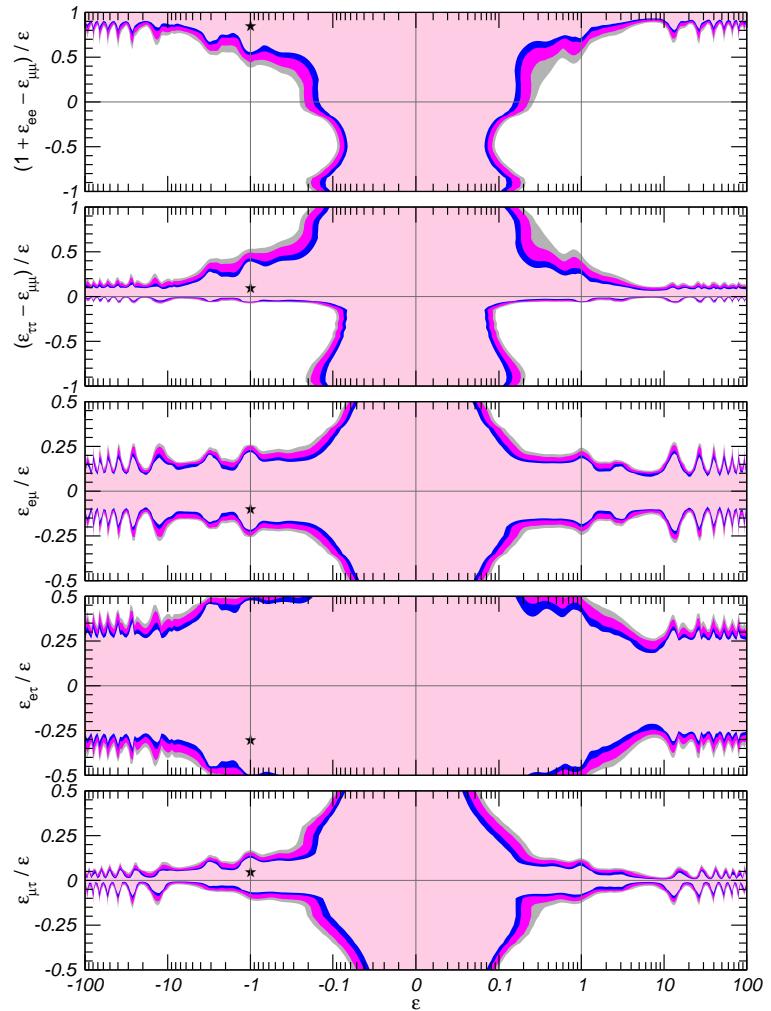
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So

$$\begin{aligned} \varepsilon_{ee} - \varepsilon_{\mu\mu} &= \varepsilon (\cos^2 \varphi_{12} - \sin^2 \varphi_{12}) \cos^2 \varphi_{13} - 1 \\ \varepsilon_{\tau\tau} - \varepsilon_{\mu\mu} &= \varepsilon (\sin^2 \varphi_{13} - \sin^2 \varphi_{12} \cos^2 \varphi_{13}) \\ \varepsilon_{e\mu} &= -\varepsilon \cos \varphi_{12} \sin \varphi_{12} \cos^2 \varphi_{13} e^{i(\alpha_1-\alpha_2)} \\ \varepsilon_{e\tau} &= -\varepsilon \cos \varphi_{12} \cos \varphi_{13} \sin \varphi_{13} e^{i(2\alpha_1+\alpha_2)} \\ \varepsilon_{\mu\tau} &= \varepsilon \sin \varphi_{12} \cos \varphi_{13} \sin \varphi_{13} e^{i(\alpha_1+2\alpha_2)} \end{aligned}$$

No bound on ε from ATM+LBL



Matter Potential/NSI in Solar and KamLAND

- In $|\Delta m_{31}^2| \rightarrow \infty$: $P_{ee} = c_{13}^4 P_{\text{eff}} + s_{13}^4$

$$H_{\text{mat}}^{\text{eff}} = \sqrt{2}G_F N_e(r) \begin{pmatrix} c_{13}^2 & 0 \\ 0 & 0 \end{pmatrix} + \sqrt{2}G_F \sum_f N_f(r) \begin{pmatrix} -\varepsilon_D^f & \varepsilon_N^f \\ \varepsilon_N^{f*} & \varepsilon_D^f \end{pmatrix}$$

$$\begin{aligned} \varepsilon_D^f &= c_{13}s_{13}\text{Re} \left[e^{i\delta_{\text{CP}}} \left(s_{23} \varepsilon_{e\mu}^{fV} + c_{23} \varepsilon_{e\tau}^{fV} \right) \right] \\ &\quad - \left(1 + s_{13}^2 \right) c_{23}s_{23}\text{Re} \left(\varepsilon_{\mu\tau}^{fV} \right) \\ &\quad - \frac{c_{13}^2}{2} \left(\varepsilon_{ee}^{fV} - \varepsilon_{\mu\mu}^{fV} \right) \\ &\quad + \frac{s_{23}^2 - s_{13}^2 c_{23}^2}{2} \left(\varepsilon_{\tau\tau}^{fV} - \varepsilon_{\mu\mu}^{fV} \right) \end{aligned}$$

$$\begin{aligned} \varepsilon_N^f &= c_{13} \left(c_{23} \varepsilon_{e\mu}^{fV} - s_{23} \varepsilon_{e\tau}^{fV} \right) \\ &\quad + s_{13}e^{-i\delta_{\text{CP}}} \left[s_{23}^2 \varepsilon_{\mu\tau}^{fV} - c_{23}^2 \varepsilon_{\mu\tau}^{fV*} \right. \\ &\quad \left. + c_{23}s_{23} \left(\varepsilon_{\tau\tau}^{fV} - \varepsilon_{\mu\mu}^{fV} \right) \right] \end{aligned}$$

Matter Potential/NSI in Solar and KamLAND

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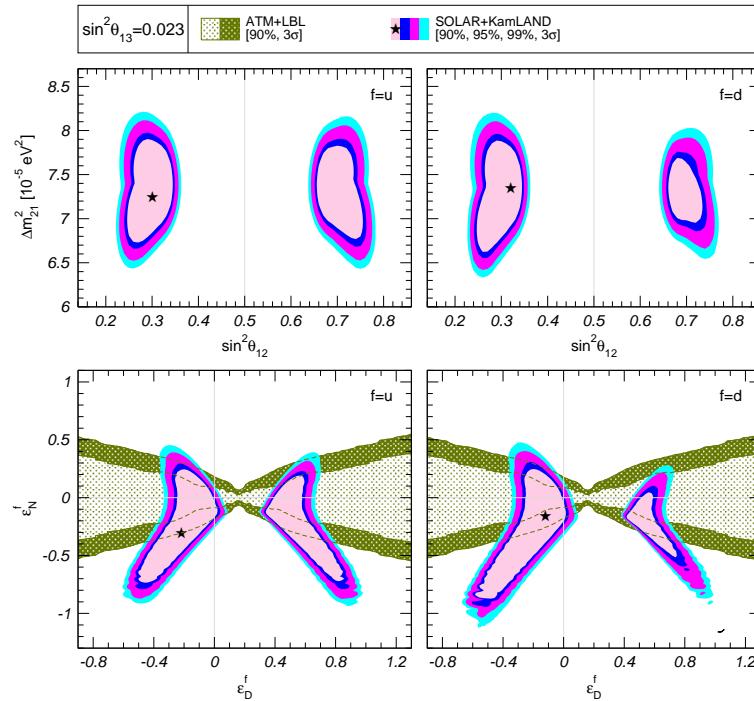
$$H_{\text{mat}}^{\text{eff}} = \sqrt{2}G_F N_e(r) \begin{pmatrix} c_{13}^2 & 0 \\ 0 & 0 \end{pmatrix} + \sqrt{2}G_F \sum_f N_f(r) \begin{pmatrix} -\varepsilon_D^f & \varepsilon_N^f \\ \varepsilon_N^{f*} & \varepsilon_D^f \end{pmatrix}$$

$$\begin{aligned} \varepsilon_D^f &= c_{13}s_{13}\text{Re} \left[e^{i\delta_{\text{CP}}} \left(s_{23} \varepsilon_{e\mu}^{fV} + c_{23} \varepsilon_{e\tau}^{fV} \right) \right] \\ &- \left(1 + s_{13}^2 \right) c_{23}s_{23}\text{Re} \left(\varepsilon_{\mu\tau}^{fV} \right) \\ &- \frac{c_{13}^2}{2} \left(\varepsilon_{ee}^{fV} - \varepsilon_{\mu\mu}^{fV} \right) \\ &+ \frac{s_{23}^2 - s_{13}^2 c_{23}^2}{2} \left(\varepsilon_{\tau\tau}^{fV} - \varepsilon_{\mu\mu}^{fV} \right) \end{aligned}$$

$$\begin{aligned} \varepsilon_N^f &= c_{13} \left(c_{23} \varepsilon_{e\mu}^{fV} - s_{23} \varepsilon_{e\tau}^{fV} \right) \\ &+ s_{13}e^{-i\delta_{\text{CP}}} \left[s_{23}^2 \varepsilon_{\mu\tau}^{fV} - c_{23}^2 \varepsilon_{\mu\tau}^{fV*} \right. \\ &\quad \left. + c_{23}s_{23} \left(\varepsilon_{\tau\tau}^{fV} - \varepsilon_{\mu\mu}^{fV} \right) \right] \end{aligned}$$

- LMA-D ($\theta_{12} > \frac{\pi}{4}$) allowed

Miranda et al hep-ph/0406280



M.C G-G, M.Maltoni 1307.3092

NSI: Bounds/Degeneracies from/in Oscillation data

M.C G-G, M.Maltoni 1307.3092

		90% CL	
Param.	best-fit	LMA	LMA-D
$\varepsilon_{ee}^u - \varepsilon_{\mu\mu}^u$	+0.298	[+0.00, +0.51]	$\oplus [-1.19, -0.81]$
$\varepsilon_{\tau\tau}^u - \varepsilon_{\mu\mu}^u$	+0.001	[-0.01, +0.03]	[-0.03, +0.03]
$\varepsilon_{e\mu}^u$	-0.021	[-0.09, +0.04]	[-0.09, +0.10]
$\varepsilon_{e\tau}^u$	+0.021	[-0.14, +0.14]	[-0.15, +0.14]
$\varepsilon_{\mu\tau}^u$	-0.001	[-0.01, +0.01]	[-0.01, +0.01]

- Bounds $\mathcal{O}(1 - 10\%)$
- Except $\varepsilon_{ee}^{q,V} - \varepsilon_{\mu\mu}^{q,V}$

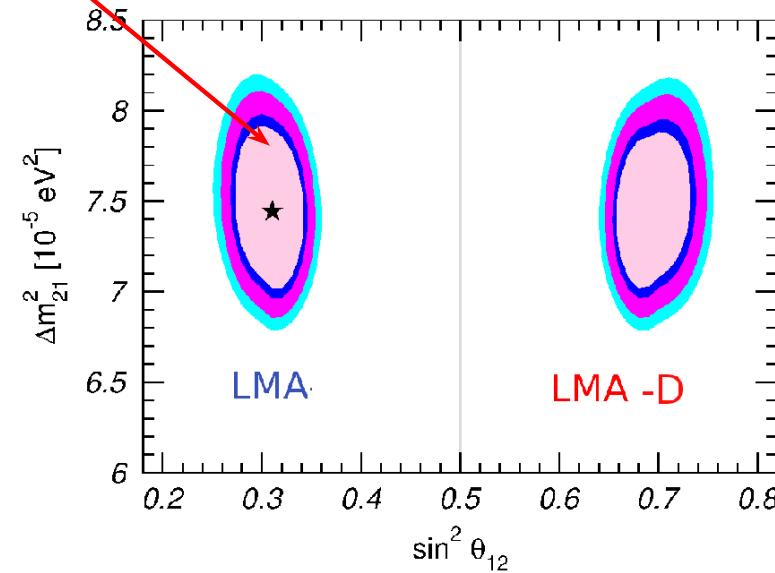
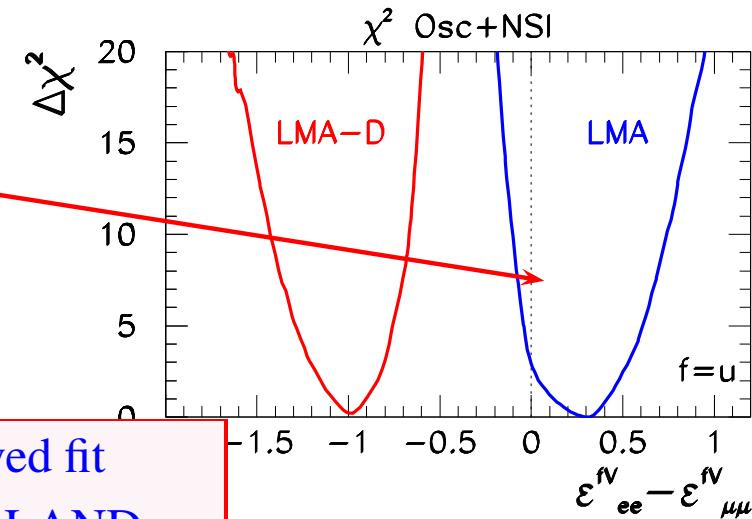
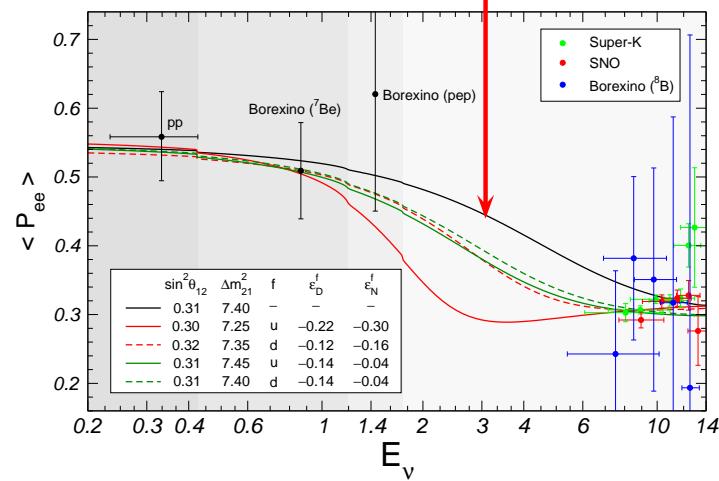
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- Bounds $\mathcal{O}(1 - 10\%)$
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LMA: Improved fit
to Solar+KamLAND



NSI: Bounds/Degeneracies from/in Oscillation data

M.C G-G, M.Maltoni 1307.3092

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Degenerate solution LMA-D ($\theta_{12} > 45^\circ$)

Miranda, Tortola, Valle, hep-ph/0406280

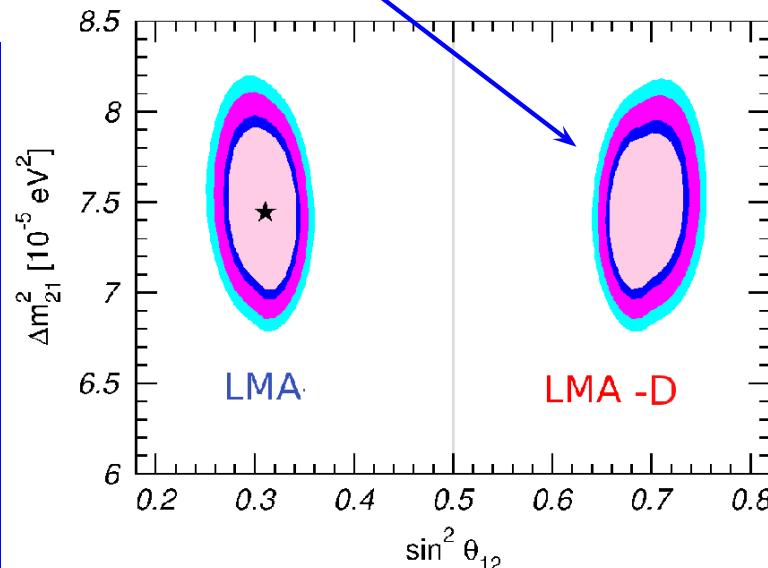
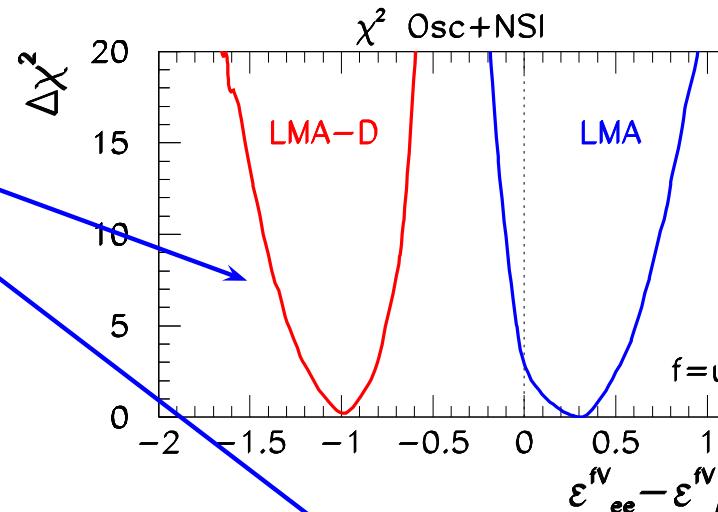
Cannot be resolved with osc-experiments

Requires NC scattering experiments

Coloma et al 1701.04828

Requires NSI $\sim G_F$ (light mediators?)

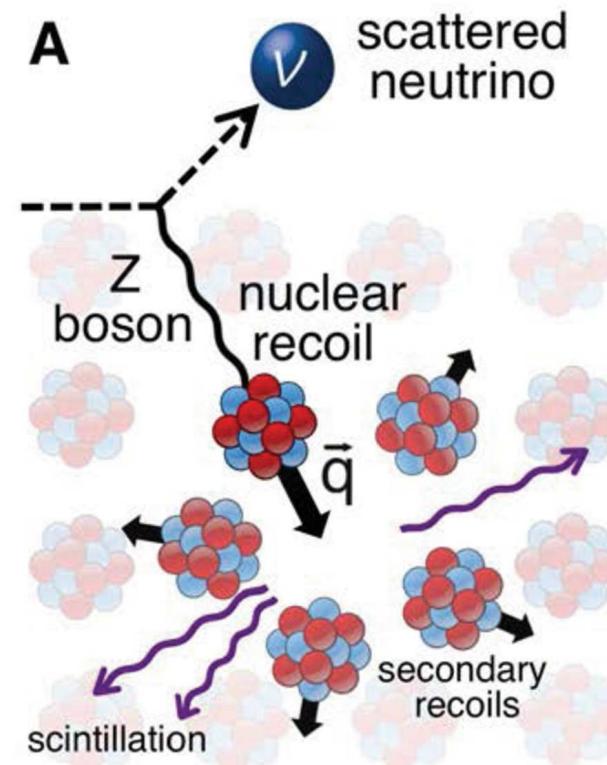
Farzan 1505.06906, and Shoemaker 1512.09147



COHERENT EXPERIMENT

Science 2017 [ArXiv:1708.01294]

- observation of coherent neutrino-nucleus scattering at 6.7σ at CsI[Na] detector
- neutrinos from stopped pion source at Oak Ridge NL
- 142 events observed, in agreement with Standard Model



NSI: Combination with COHERENT data

Coloma, MCGG, Maltoni,Schwetz ArXiv:1708.02899

- COHERENT has detected for first time Coherent νN scattering [1708.01294](#):
142($1 \pm 0.28(\text{sys})$) observed events over a steady bck of 405
136(SM) + 6($1 \pm 0.25(\text{sys})$) beam-on bck) expected
- In presence of NSI: $N_{\text{NSI}}(\varepsilon) = \gamma [f_{\nu_e} Q_{we}^2(\varepsilon) + (f_{\nu_\mu} + f_{\bar{\nu}_\mu}) Q_{w\mu}^2(\varepsilon)]$

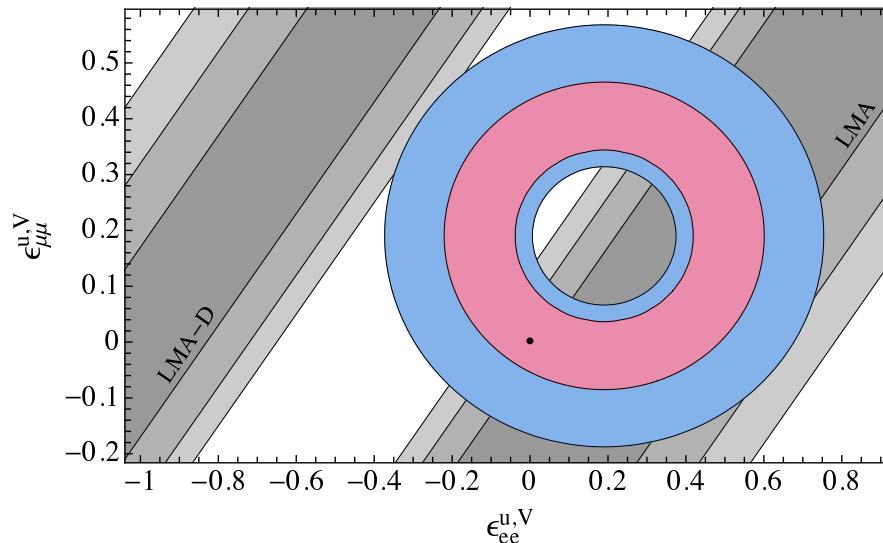
$$Q_{w\alpha}^2 \propto [Z(g_p^V + 2\varepsilon_{\alpha\alpha}^{u,V} + \varepsilon_{\alpha\alpha}^{d,V}) + N(g_n^V + \varepsilon_{\alpha\alpha}^{u,V} + 2\varepsilon_{\alpha\alpha}^{d,V})]^2 + \sum_{\beta \neq \alpha} [Z(2\varepsilon_{\alpha\beta}^{u,V} + \varepsilon_{\alpha\beta}^{d,V}) + N(\varepsilon_{\alpha\beta}^{u,V} + 2\varepsilon_{\alpha\beta}^{d,V})]^2$$

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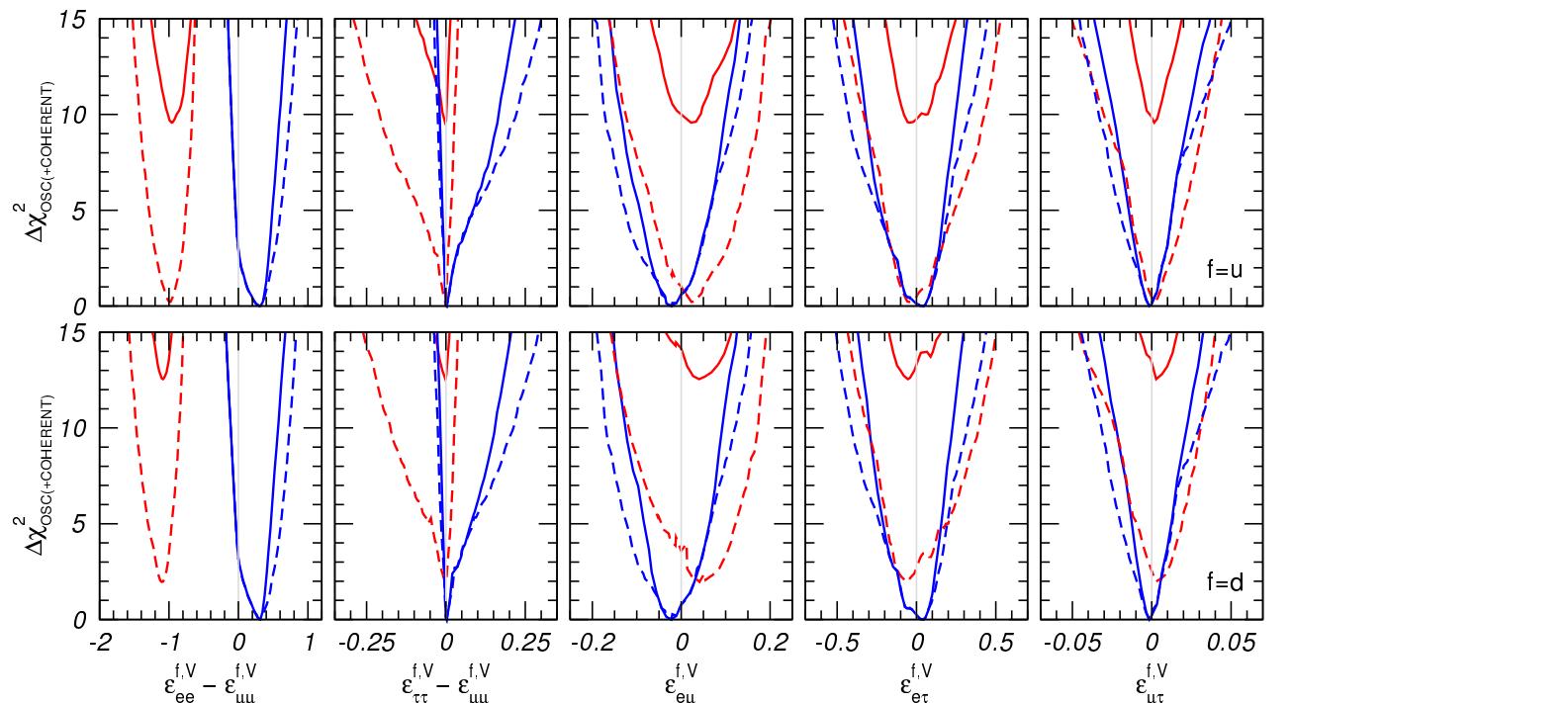
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- Impact on LMA-D: Allowed COHERENT region vs LMA-D required range



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- OSCILLATION + COHERENT \Rightarrow LMA-D excluded at more than 3.1 (3.6) σ

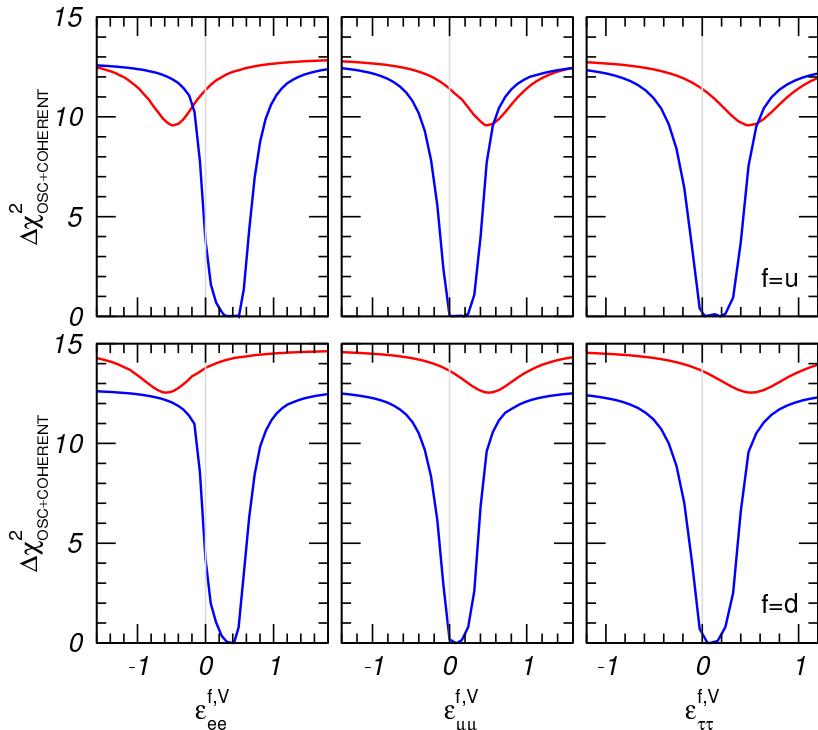


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- OSCILLAT+COHERENT bounds on NSI's



	$f = u$	$f = d$
$\epsilon_{ee}^{f,V}$	[0.028, 0.60]	[0.030, 0.55]
$\epsilon_{\mu\mu}^{f,V}$	[-0.088, 0.37]	[-0.075, 0.33]
$\epsilon_{\tau\tau}^{f,V}$	[-0.090, 0.38]	[-0.075, 0.33]
$\epsilon_{e\mu}^{f,V}$	[-0.073, 0.044]	[-0.07, 0.04]
$\epsilon_{e\tau}^{f,V}$	[-0.15, 0.13]	[-0.13, 0.12]
$\epsilon_{\mu\tau}^{f,V}$	[-0.01, 0.009]	[-0.009, 0.008]

Summary

- 3ν oscillation:

Robust description of all confirmed oscillation data

CP phase: $\sin \delta_{\text{CP}} < 0$ favoured

CP conservation excluded at 2σ CL

Hint for NO emerging but still only $\Delta\chi^2 = 3$

- Non-standard neutrino NC interactions:

Before august possible degeneracies in ordering and octact determination

New COHERENT results able to exclude LMA-Dark degeneracy

Bounds of OSC+COHERENT at the few % level.

Thank You

Thank You
Happy Birthday!!



Gauge Inv NSI and Lepton Mixing Non-Unitarity

- Take ν_L mixed with m HEAVY states $U_{\text{LEP}} = (K_{l,3 \times 3}, K_{h,3 \times m})$ Schechter, Valle (1980)
And $U_{\text{LEP}} U_{\text{LEP}}^\dagger = I_{3 \times 3}$ but in general $U_{\text{LEP}}^\dagger U_{\text{LEP}} \neq I_{(3+m) \times (3+m)}$
- If m states are heavy ($M \gg E_\nu$) oscillations measure $K_{L,3 \times 3}$ (not unitary)
or equivalently $K_l \simeq (I + \epsilon)U(\theta_{ij}, \delta, \eta_i)$

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Flavour Changing Neutral Currents \equiv NSI's

- But this unitarity violation \Rightarrow Flavour Violation in Charged Lepton Processes
Universality Violation of Charge Current ...

- Constraints on these processes limit leptonic unitarity violation to

$$|K_l K_l^\dagger| = \begin{pmatrix} 0.9979 - 0.9998 & < 10^{-5} & < 0.0021 \\ < 10^{-5} & 0.9996 - 1.0 & < 0.0008 \\ < 0.0021 & < 0.0008 & 0.9947 - 1.0 \end{pmatrix} \quad \text{Antusch et al ArXiv:1407.6607}$$

or equivalently $|\epsilon_{\alpha j}| \leq \text{few} \times 10^{-3} \Rightarrow$

Too small to have effect in oscillations Blennow et al 1609.08637

Unless NP is not that heavy (specific models Farzan 1505.06906, and Shoemaker

1512.09147)

1512.09147)

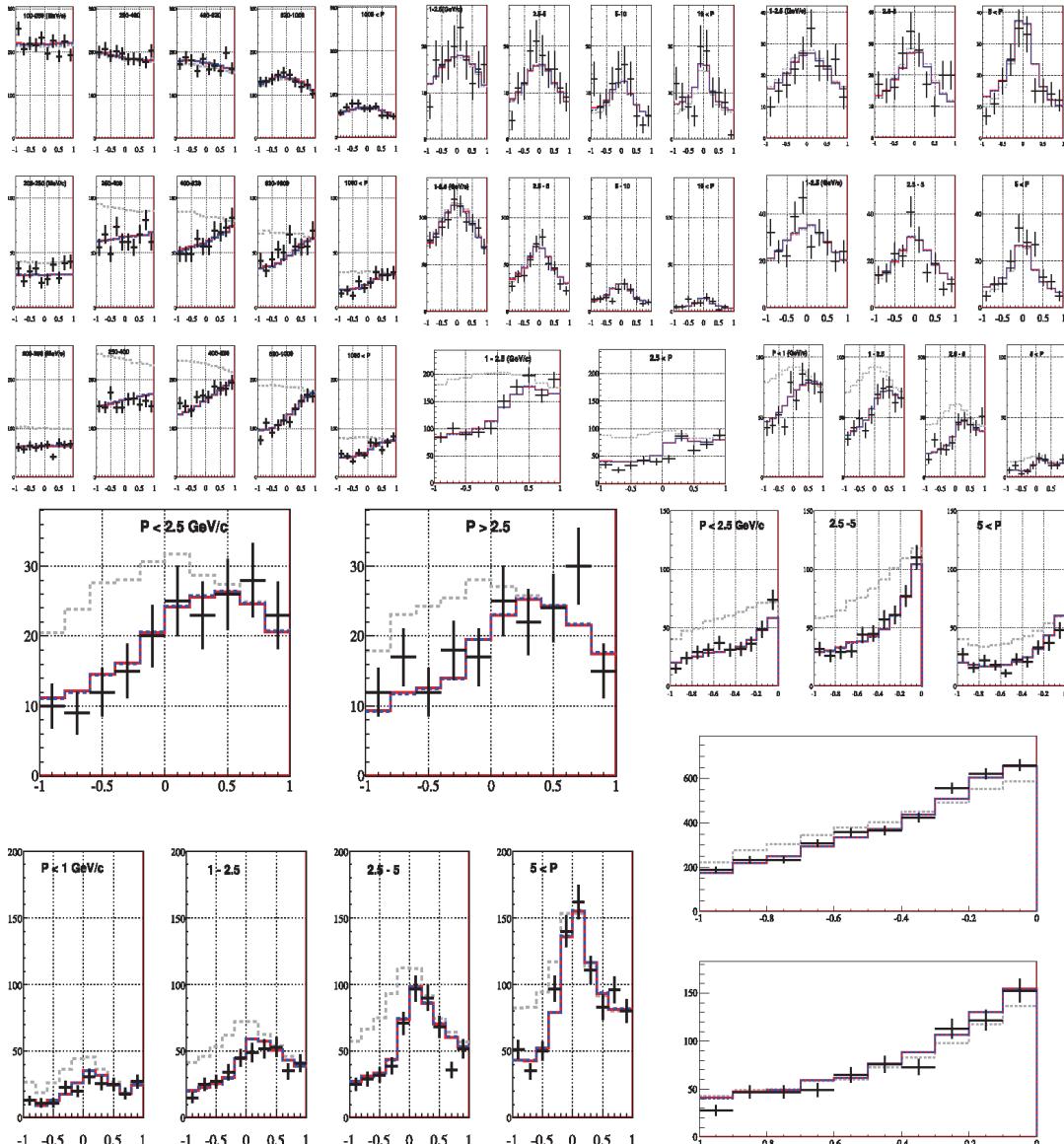
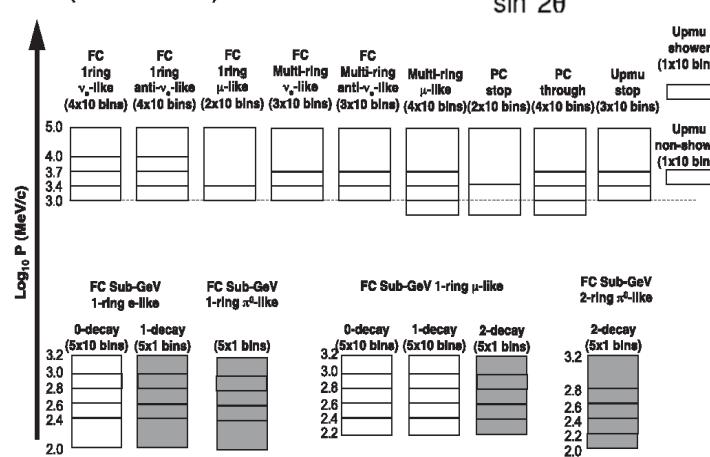
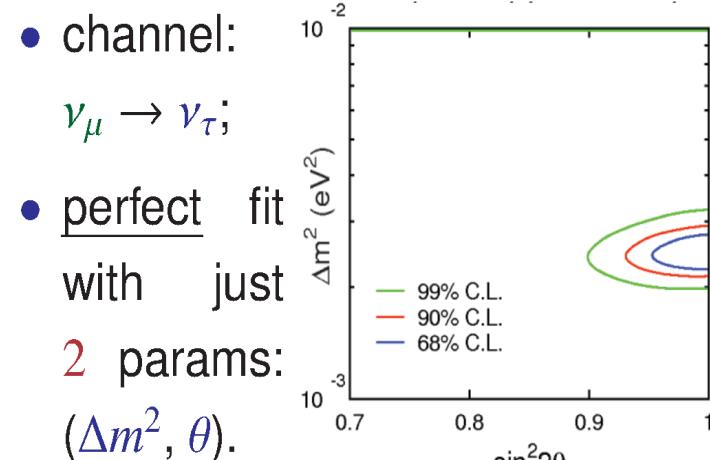
Atmospheric neutrinos: getting the most from SK data

- SK(1–4) data: 480 580 bins defined by flavor, charge, topology, momentum, . . . ;

- channel:

$$\nu_\mu \rightarrow \nu_\tau;$$

- perfect fit with just 2 params: $(\Delta m^2, \theta)$.

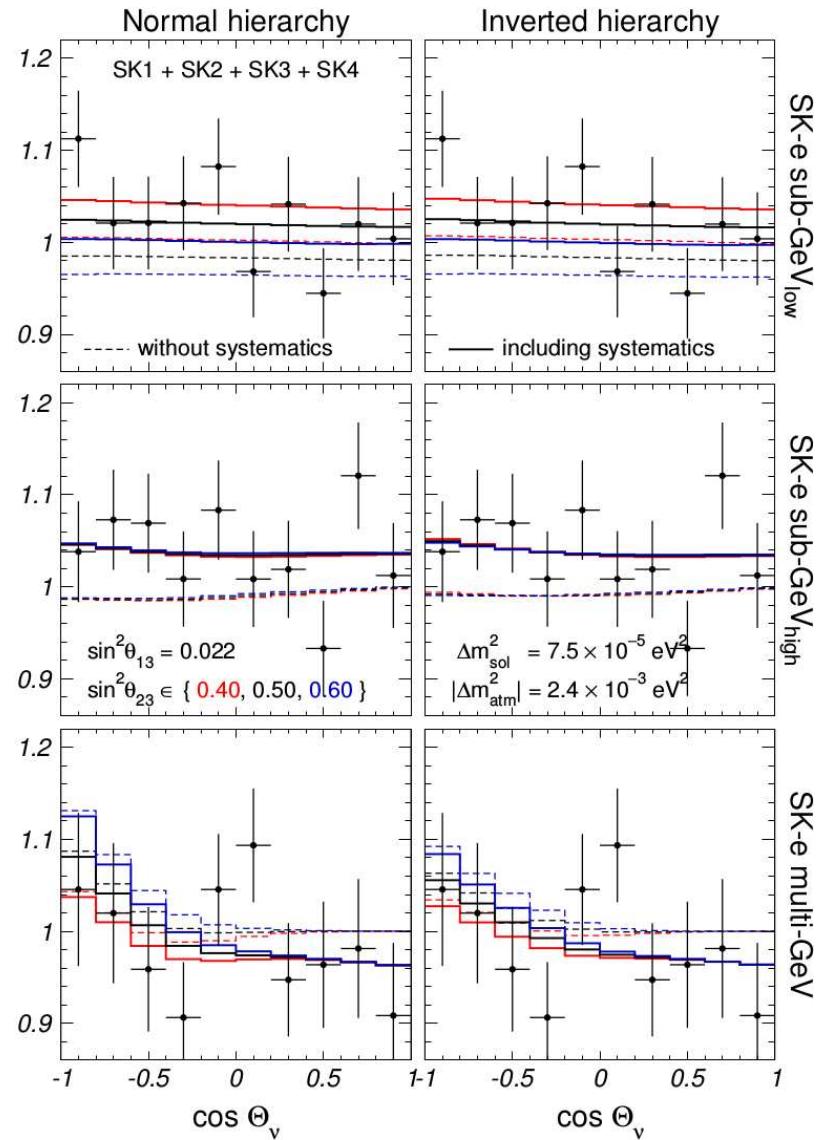


3 ν Analysis: Ordering, δ_{CP} in ATM

- For $\theta_{31} \neq 0$ ATM sensitivity to
octant θ_{23} & ordering & δ_{CP}

$$\begin{aligned}\frac{N_e}{N_e^0} - 1 \simeq & (\bar{r} c_{23}^2 - 1) P_{2\nu}(\Delta m_{21}^2, \theta_{12}) \quad [\Delta m_{21}^2 \text{ term}] \\ & + (\bar{r} s_{23}^2 - 1) P_{2\nu}(\Delta m_{31}^2, \theta_{13}) \quad [\theta_{13} \text{ term}] \\ & - 2\bar{r} s_{13} s_{23} c_{23} \operatorname{Re}(A_{ee}^* A_{\mu e}) \quad [\delta_{CP} \text{ term}]\end{aligned}$$

$$\bar{r} \equiv \Phi_\mu^0 / \Phi_e^0 \simeq 2(\text{subG}), 2.6\text{--}4.6(\text{multiG})$$

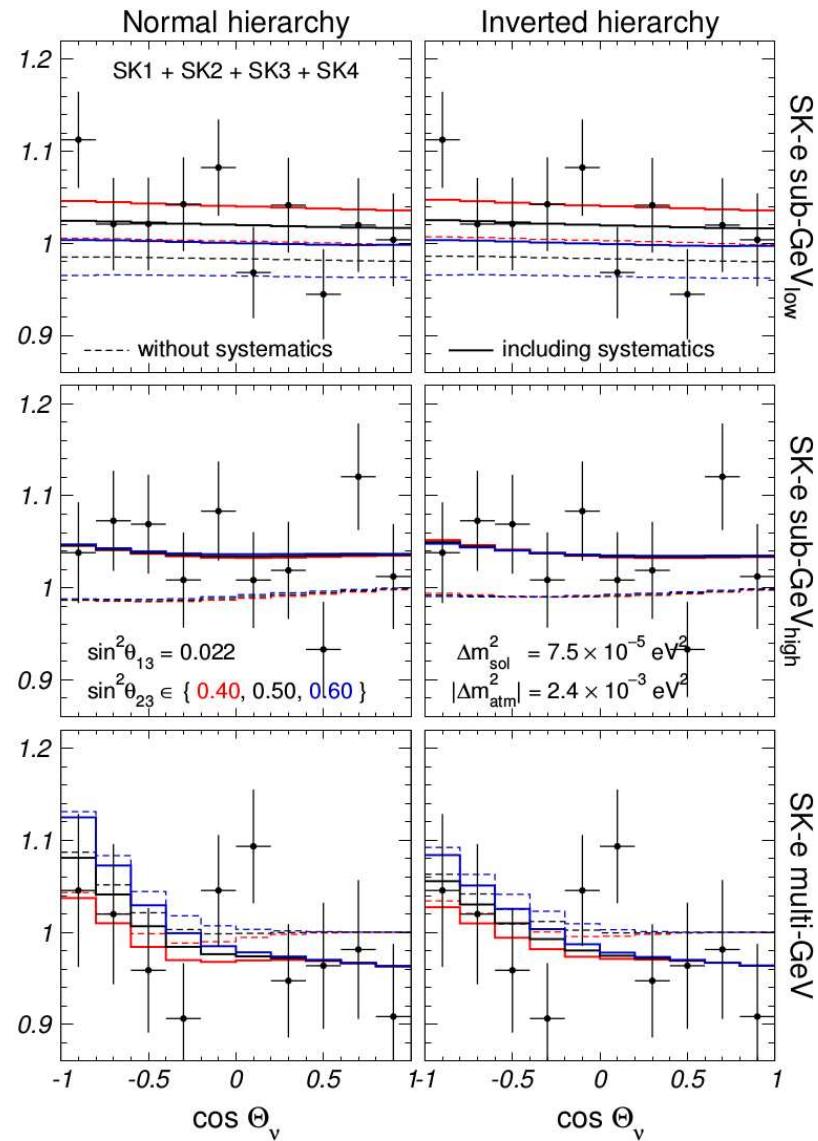
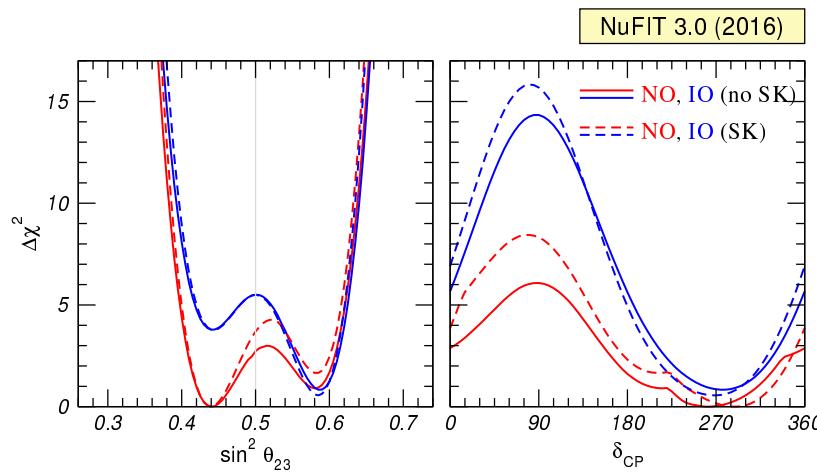


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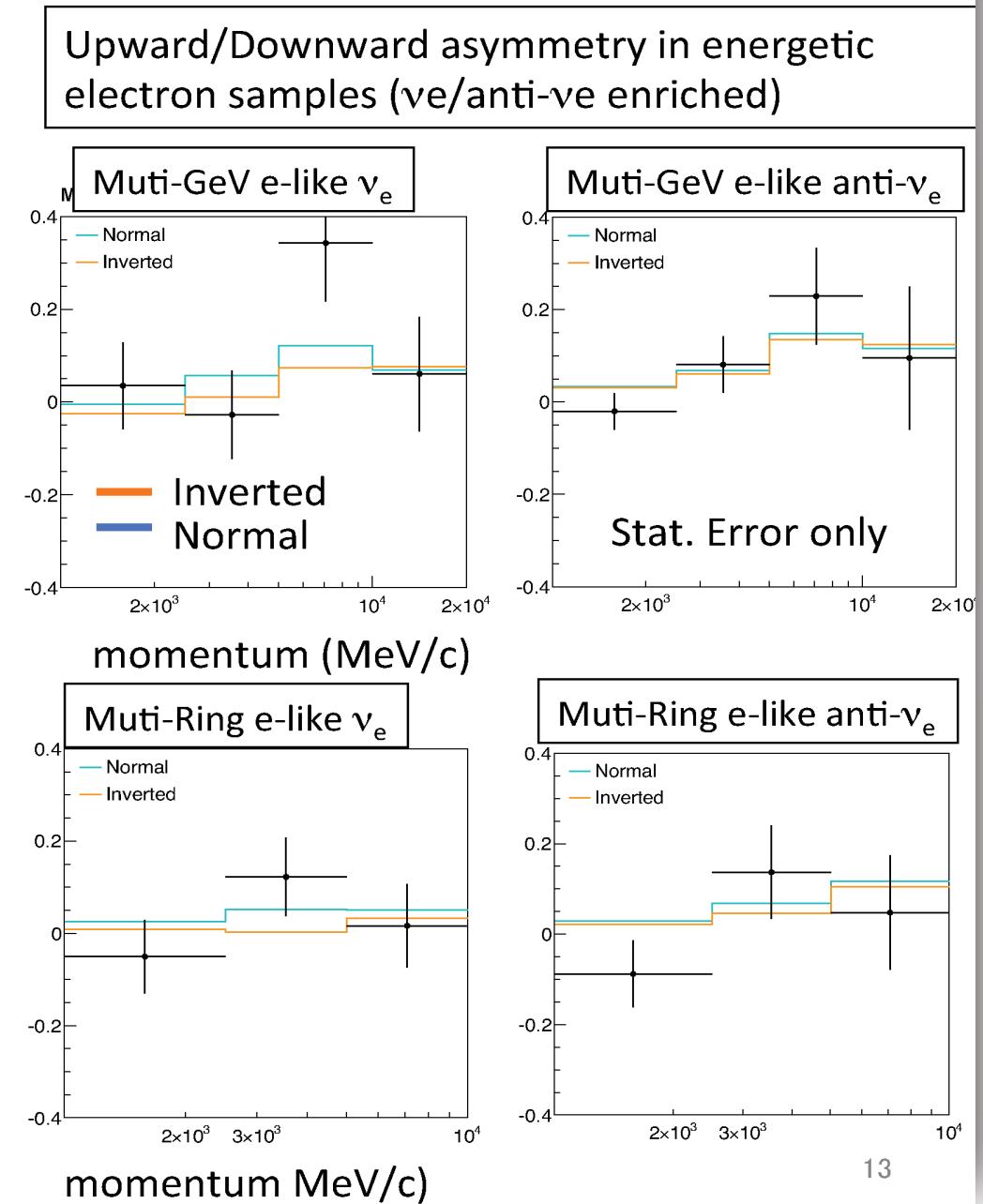
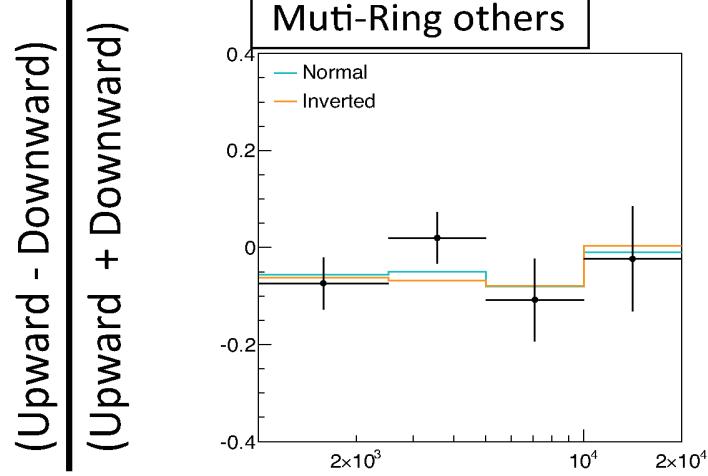
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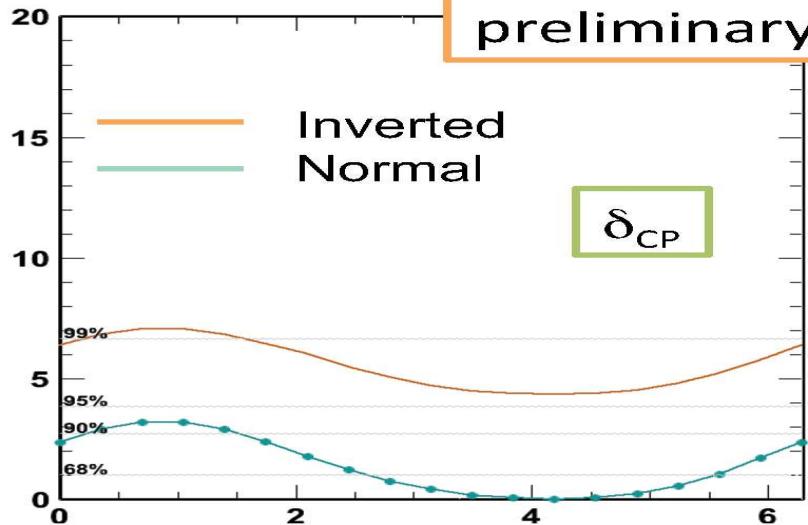
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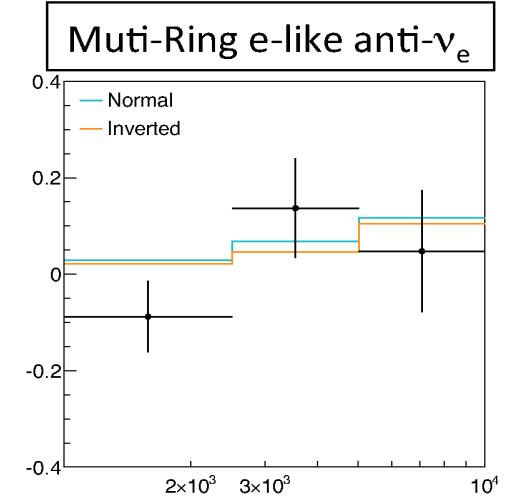
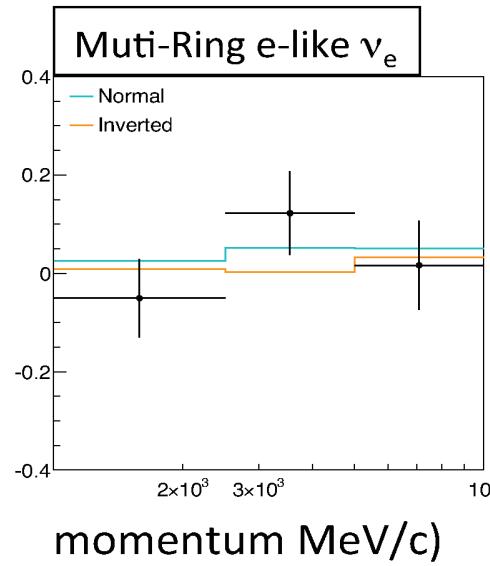
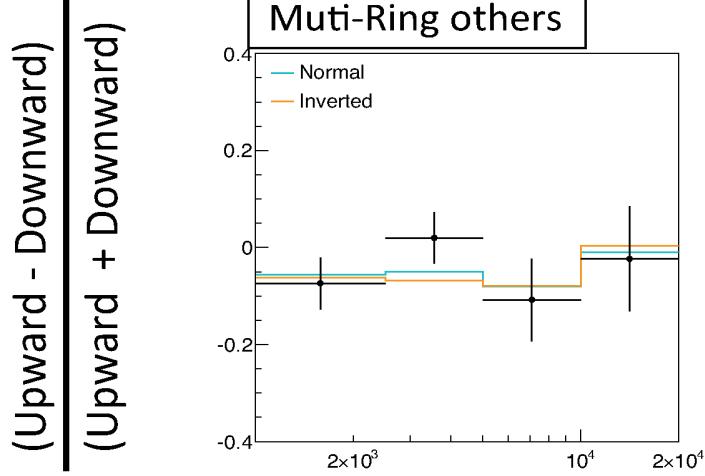
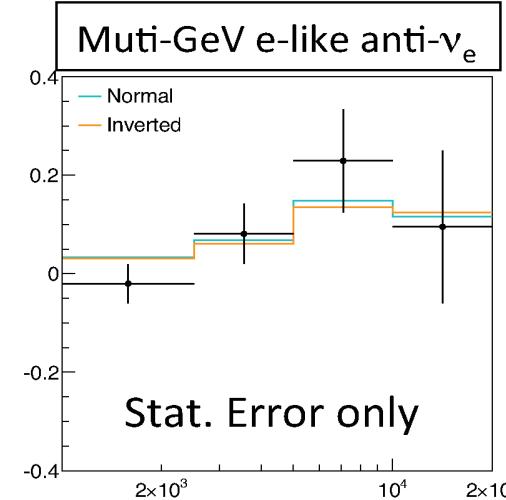
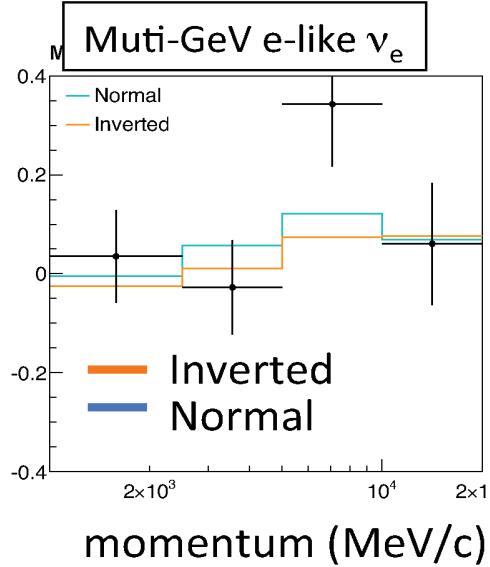
- **Normal hierarchy favored at:**
 - $\chi^2_{\text{NH}} - \chi^2_{\text{IH}} = \textcolor{red}{-4.3}$
(-3.1 expected)
- Driven by excess of upward-going e-like events:
 - Primarily in SK-IV data
 - consistent with the effects of θ_{13} driven ν oscillation.





- consistent with the effects of θ_{13} driven ν oscillation.

Upward/Downward asymmetry in energetic electron samples (ve/anti-ve enriched)



13