



# Status and Perspectives of $\theta$ -13 Experimental Measurements

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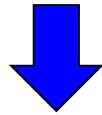


**BOREX10, Sep. 4-7, 2017, Gran Sasso**

# Neutrino Mixing

In a 3- $\nu$  framework

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$



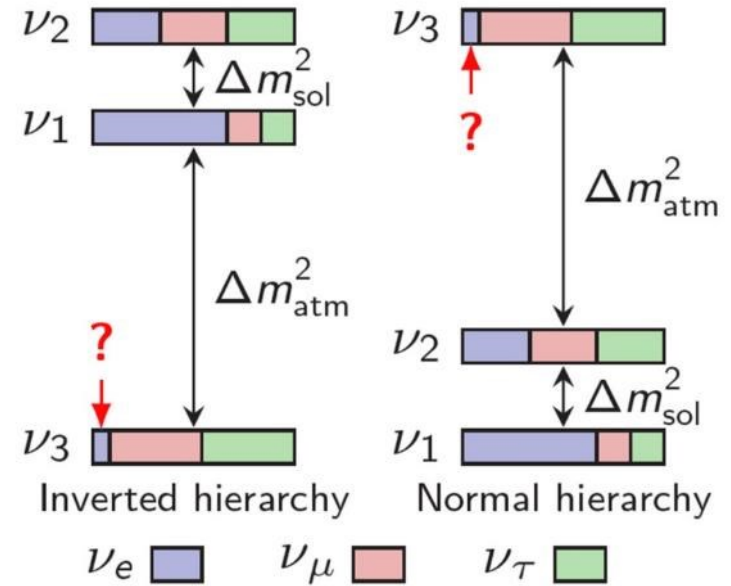
$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13} \\ 0 & e^{-i\delta} & 0 \\ -s_{13} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} e^{i\rho} & 0 & 0 \\ 0 & e^{i\sigma} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$\theta_{23} \sim 45^\circ$   
Atmospheric  
Accelerator

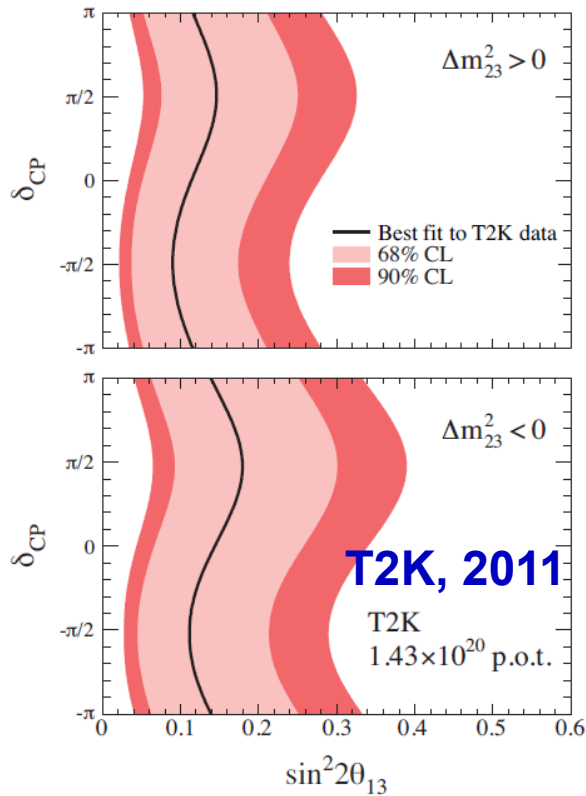
$\theta_{13} = ?$   
Reactor  
Accelerator

$\theta_{23} \sim 34^\circ$   
Solar  
Reactor

$0\nu\beta\beta$



# Measuring $\theta_{13}$



## Reactor (disappearance)

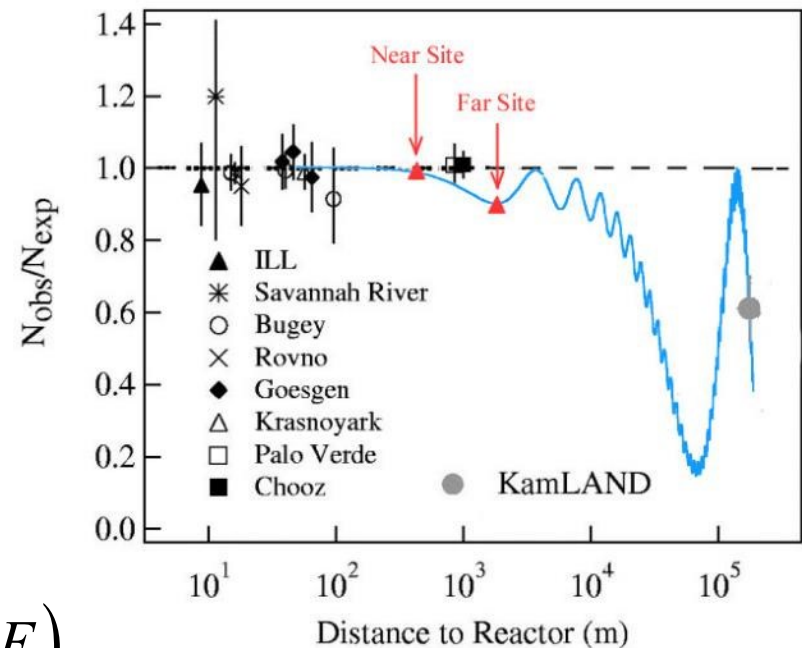
Clean in physics, only related to  $\theta_{13}$   
 Large statistics, clean signal  
 Precision measurement

$$P_{\bar{\nu}_e \rightarrow \bar{\nu}_e} \approx 1 - \sin^2 2\theta_{13} \sin^2 \left( \Delta m_{31}^2 L / 4E \right) - \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 \left( \Delta m_{21}^2 L / 4E \right)$$

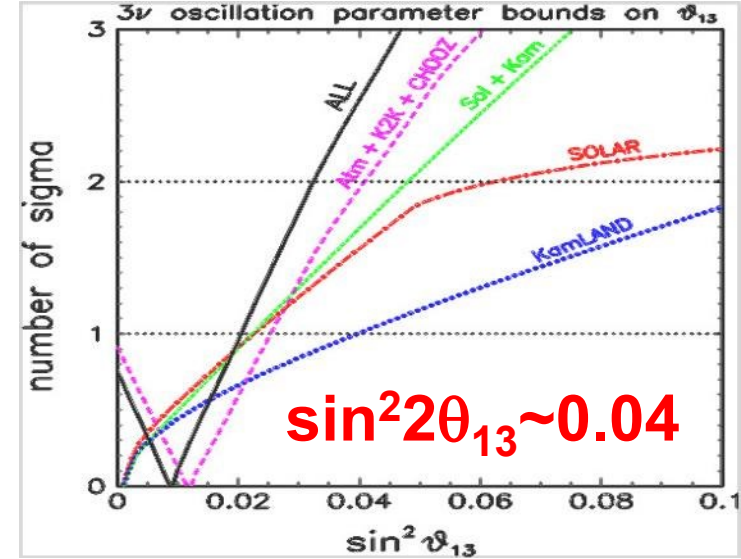
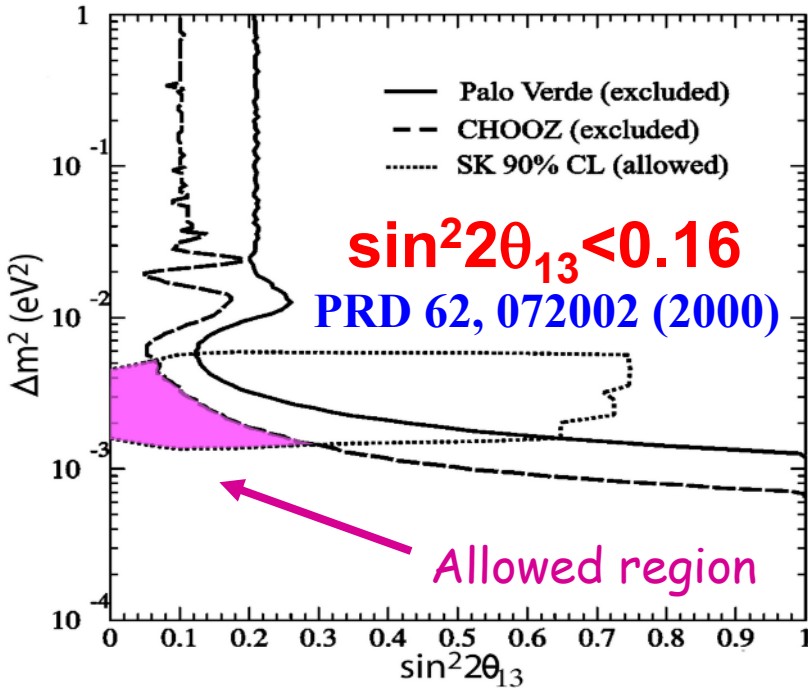
## Accelerator (appearance)

Related with CPV and matter effect

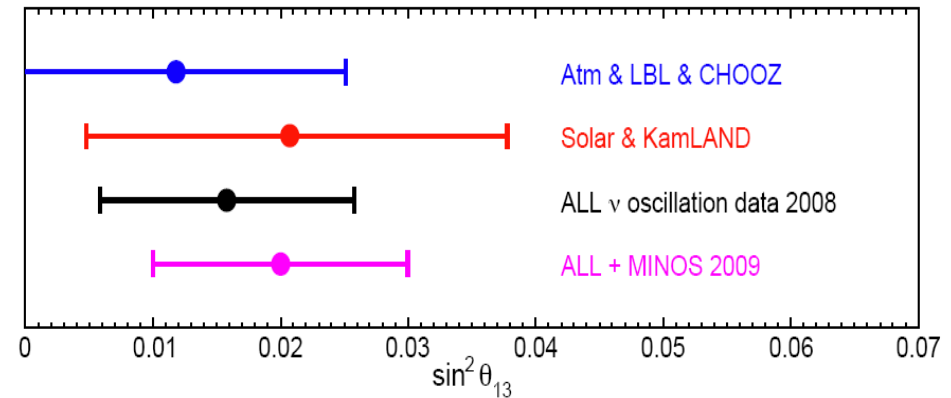
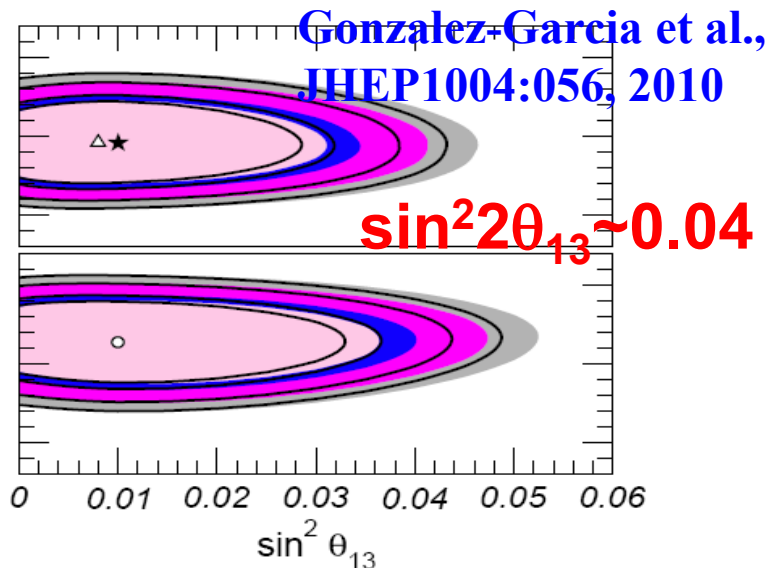
$$P_{\nu_\mu \rightarrow \nu_e} = \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 \left( \Delta m_{31}^2 L / 4E \right) + (\text{CPV term}) + (\text{matter term}) + \dots$$



# How large is $\theta_{13}$ ?



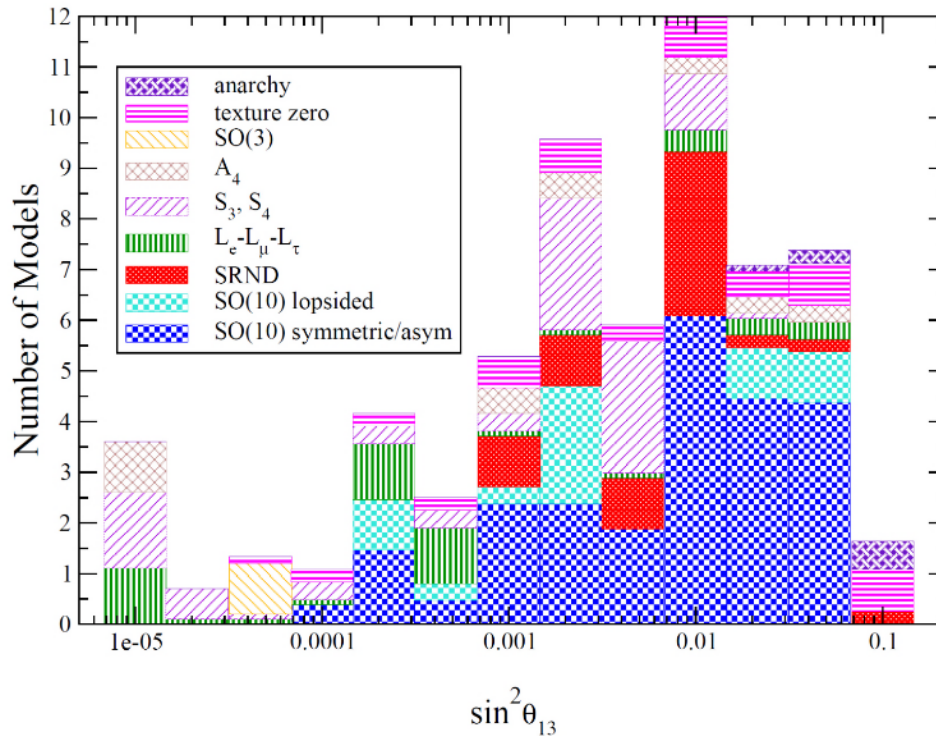
Fogli et al., hep-ph/0506307



$\sin^2 2\theta_{13} \sim 0.08$ , non-zero  $2\sigma$   
Fogli et al., J.Phys.Conf.Ser.203:012103 (2010)

# Measure $\sin^2 2\theta_{13}$ to 0.01

Predictions of All 63 Models



Phys. Rev. D74 (2006) 113006

**Gateway to CP phase and Mass Hierarchy:**  
if  $\sin^2 2\theta_{13}$  is too small (e.g.  $< 0.01$ ), current accelerator technology can not measure CP and MH  
→ Neutrino Factory, beta beam, ...

Uncertainty  $< 0.6\%$

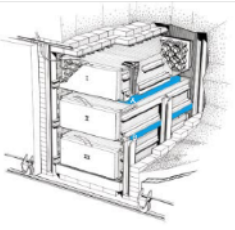
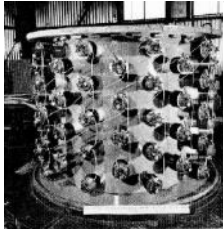


“We recommend, as a high priority, ..., An expeditiously deployed **multi-detector reactor experiment** with sensitivity to  $\bar{\nu}_e$  disappearance down to  **$\sin^2 2\theta_{13}=0.01$** ”  
---- APS Neutrino Study, 2004



# Reactor Neutrino Experiments

Discovery of  $\nu$

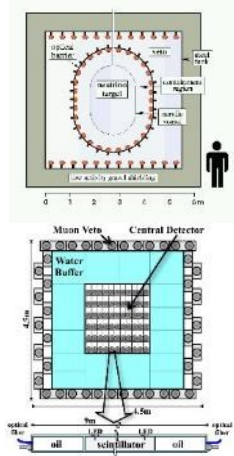


1953, Hanford, 0.3 ton  
1956, Savannah River, 4.2 ton

Early searches for oscillation

1980 Savannah, **YES**  
1980 ILL, **NO**  
1984 Bugey, **YES**  
1986 Gosgen, **NO**  
1995 Bugey-3, **NO**

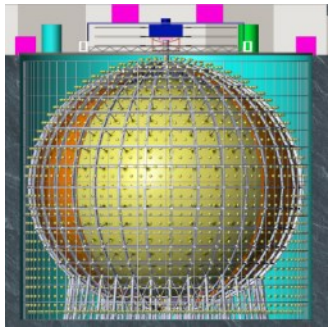
Reactor  $\nu$  spectra  $\sim 2\%$



1997, CHOOZ, 8 ton  
2000, Palo Verde, 12 ton

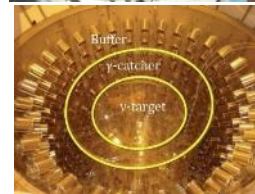
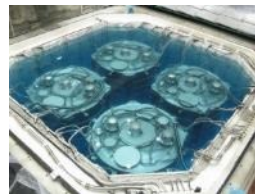
Mass Hierarchy,  
Precision meas.

2020, JUNO, 20 000 ton



Non-zero  $\theta_{13}$

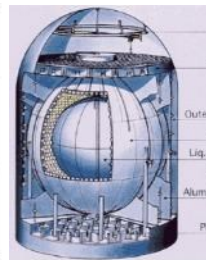
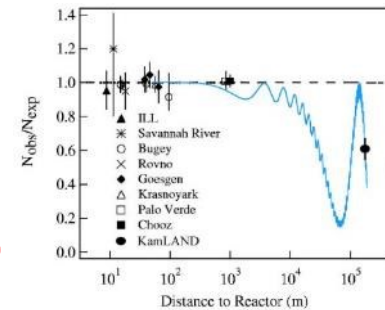
Very short baseline  
exp. for sterile  $\nu$



2012,  
Daya Bay, 160 ton  
Double Chooz, 16 ton  
RENO, 32 ton

$\sin^2 2\theta_{13} < 0.15$

Reactor  $\nu$   
oscillation ( $\theta_{12}$ )



2002, KamLAND, 1000 ton

# Precision Measurement at Reactors

## Major sources of uncertainties:

- ◆ Reactor related ~2%
- ◆ Detector related ~2%
- ◆ Background 1~3%

## Lessons from past experience:

- ◆ Chooz: Good Gd-LS
- ◆ Palo Verde: Better shielding
- ◆ KamLAND: No fiducial cut

**Near-far relative measurement**  
**Mikaelyan and Sinev, hep-ex/9908047**

|                             | CHOOZ        | Near-far         | DYB               |
|-----------------------------|--------------|------------------|-------------------|
| Reaction cross section      | 1.9 %        | 0                | 0                 |
| Energy released per fission | 0.6 %        | 0                | 0                 |
| Reactor power               | 0.7 %        | ~0.1%            | 0.04%             |
| Number of protons           | 0.8 %        | <0.3%            | 0.03%             |
| Detection efficiency        | 1.5 %        | 0.2~0.6%         | 0.2%→0.13%        |
| <b>Combined</b>             | <b>2.7 %</b> | <b>&lt; 0.6%</b> | <b>0.2%→0.14%</b> |

# Proposed Reactor Experiments



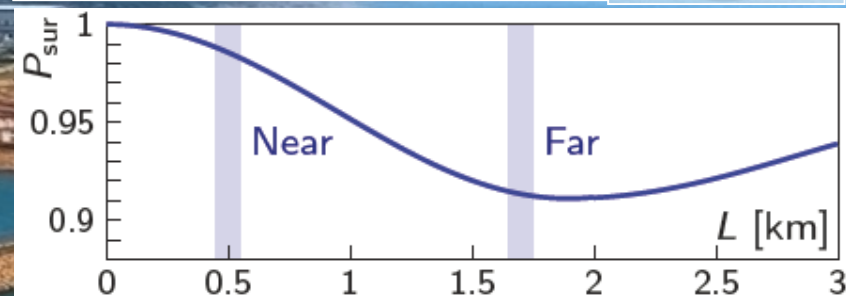
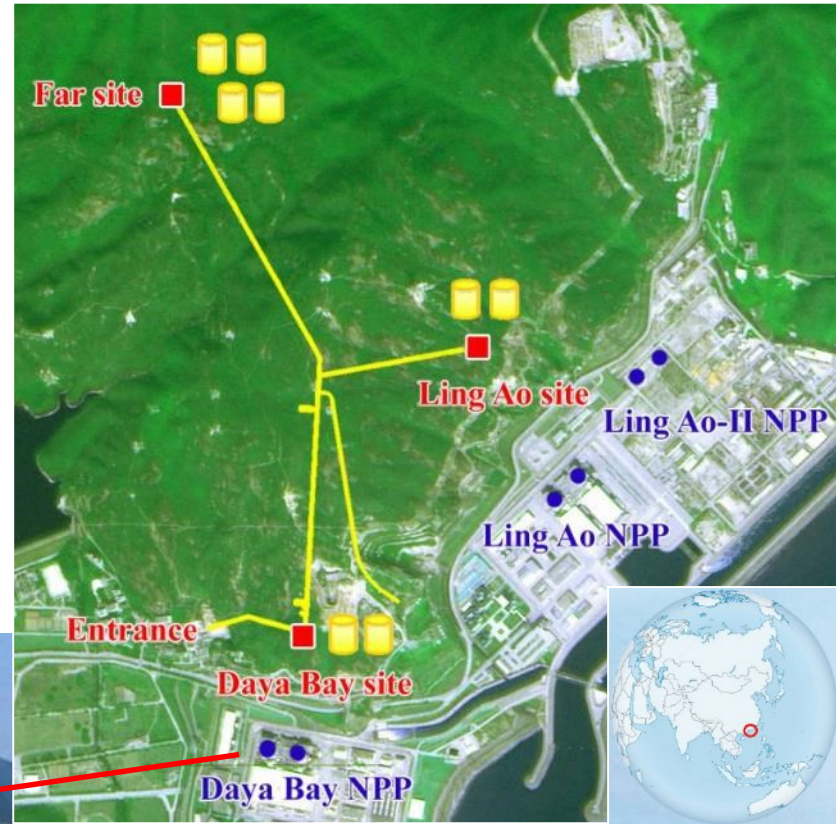
8 proposals in around **2003 (3 implemented)**

- Fundamental parameter
- Gateway to CP and Mass Hierarchy measurements
- Less expensive



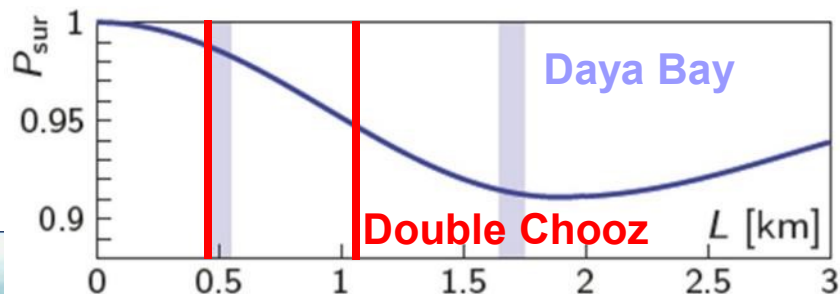
# The Daya Bay Experiment

- 6 reactor cores, 17.4 GW<sub>th</sub>
- Relative measurement
  - 2 near sites, 1 far site
- Multiple detector modules
- Good cosmic shielding
  - 250 m.w.e @ near sites
  - 860 m.w.e @ far site
- Redundancy



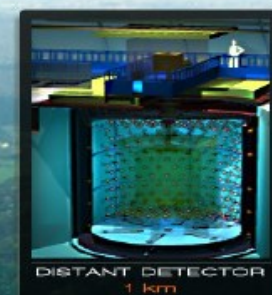


# Double Chooz



## Near Detector

$L = 400\text{m}$   
 $10\text{m}^3$  target  
 120 m.w.e.  
 2013



## Far Detector

$L = 1050\text{m}$   
 $10\text{m}^3$  target  
 300m.w.e.  
 April 2011 ~

- Pioneered reactor experiments after CHOOZ:
  - Experimental concept of using two detectors
  - New detector structure: 4 layers detector
  - Low background (S/N ~ 20, proven by reactor OFF)
  - Stable Gd loaded LS developed

**Chooz Reactors**  
 $4.27\text{GW}_{\text{th}} \times 2 \text{ cores}$



# RENO

16t, 120 MWE

Near Detector

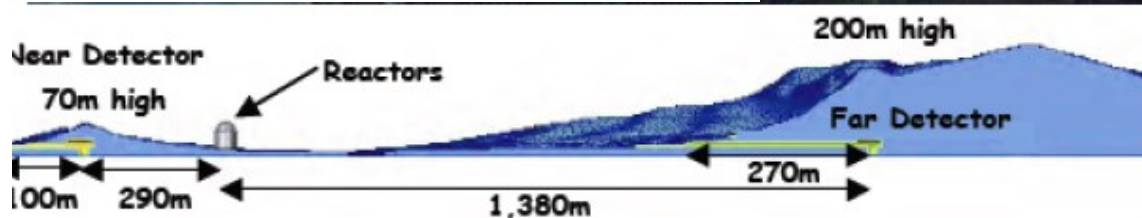
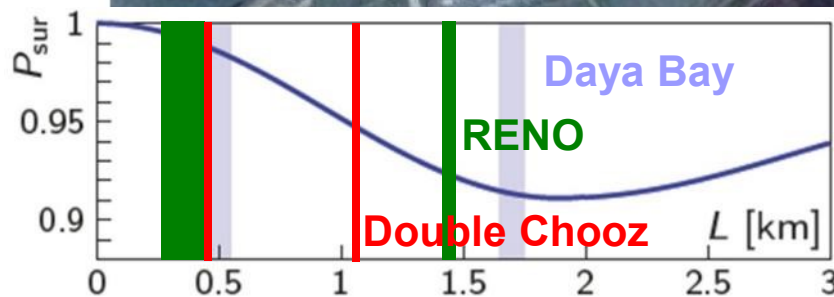
6 cores  
16.5 GW

290m

1380m

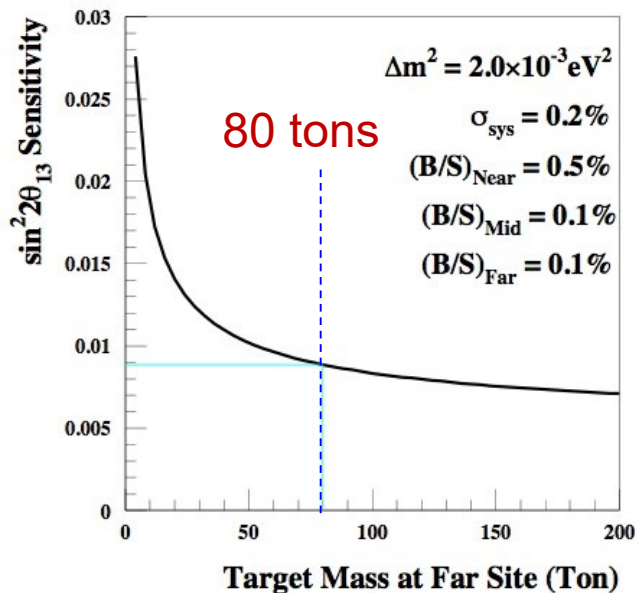
Far Detector

16t, 450 MWE

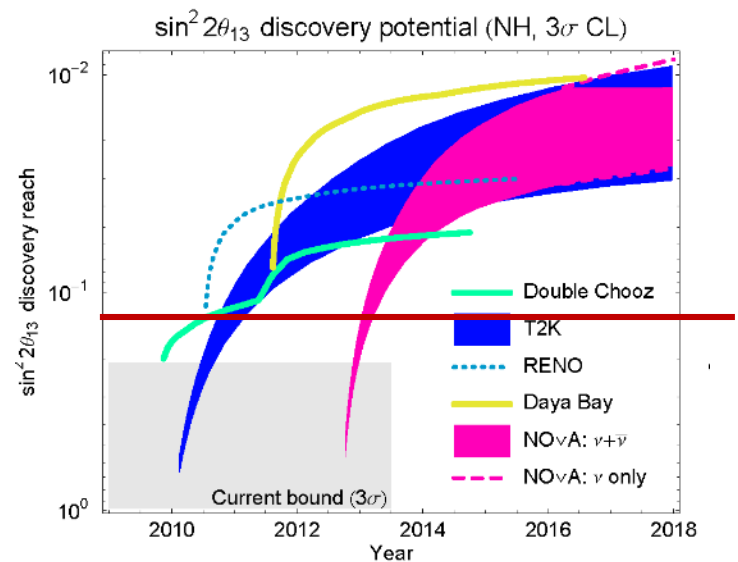


# Three on-going experiments

| Experiment   | Power (GW) | Detector(t)<br>Near/Far | Overburden (MWE) Near/Far | Sensitivity (90%CL) |
|--------------|------------|-------------------------|---------------------------|---------------------|
| Double Chooz | 8.5        | 8 / 8                   | 120 / 300                 | $\sim 0.03$         |
| Daya Bay     | 17.4       | 40 / 80                 | 250 / 860                 | $\sim 0.008$        |
| RENO         | 16.5       | 16 / 16                 | 120 / 450                 | $\sim 0.02$         |



DYB CDR, sensitivity in 3 years

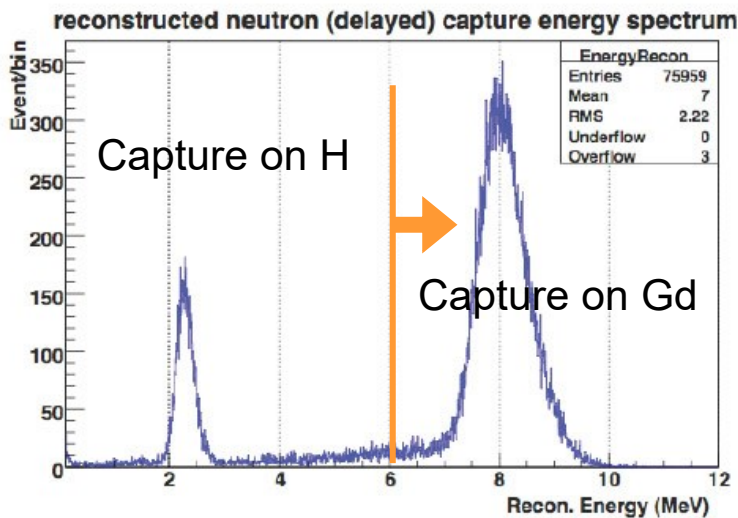
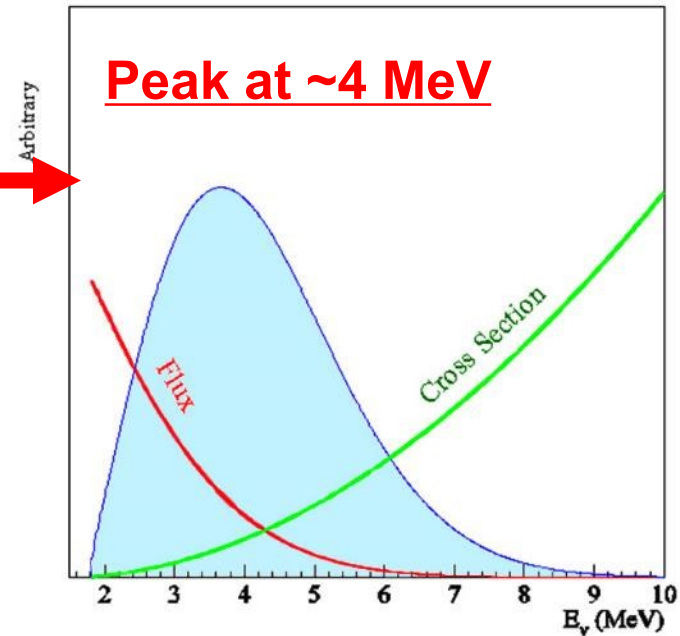
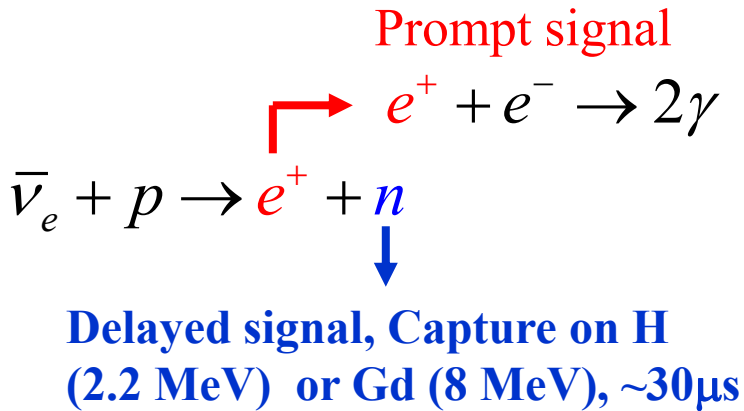


Huber et al. JHEP 0911:044, 2009



# Detecting Reactor Antineutrino

Inverse beta decay



$$E(\bar{\nu}_e) = E_{\text{prompt}} + Q - m_e$$
$$\sim E_{\text{prompt}} + 0.8 \text{ MeV}$$

Major backgrounds:

- ⇒ fast neutron
- ⇒  $^8\text{He}/^9\text{Li}$
- ⇒ accidental coincidence

# Similar Detector Design

## Water

- Shield radioactivity and cosmogenic neutron
- Cherekov detector for muon

## Three-zone neutrino detector

### ⇒ Target: Gd-loaded LS

- 8-20 ton for neutrino
- Well defined target proton

### ⇒ $\gamma$ -catcher: normal LS

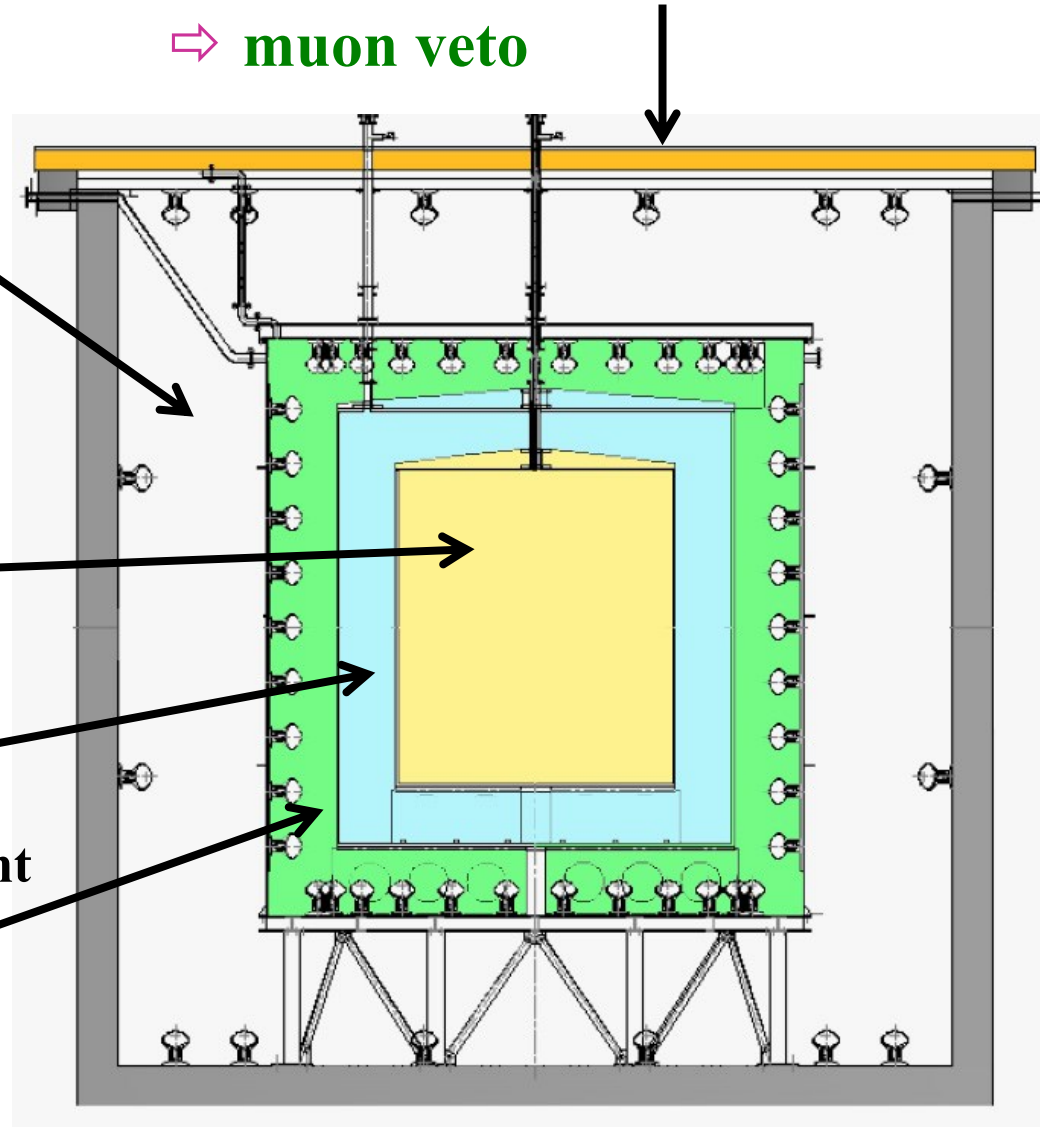
- ~ 10-20t for energy containment

### ⇒ Buffer shielding: oil

- ~ 20-40t for shielding

## RPC or Plastic scintillator

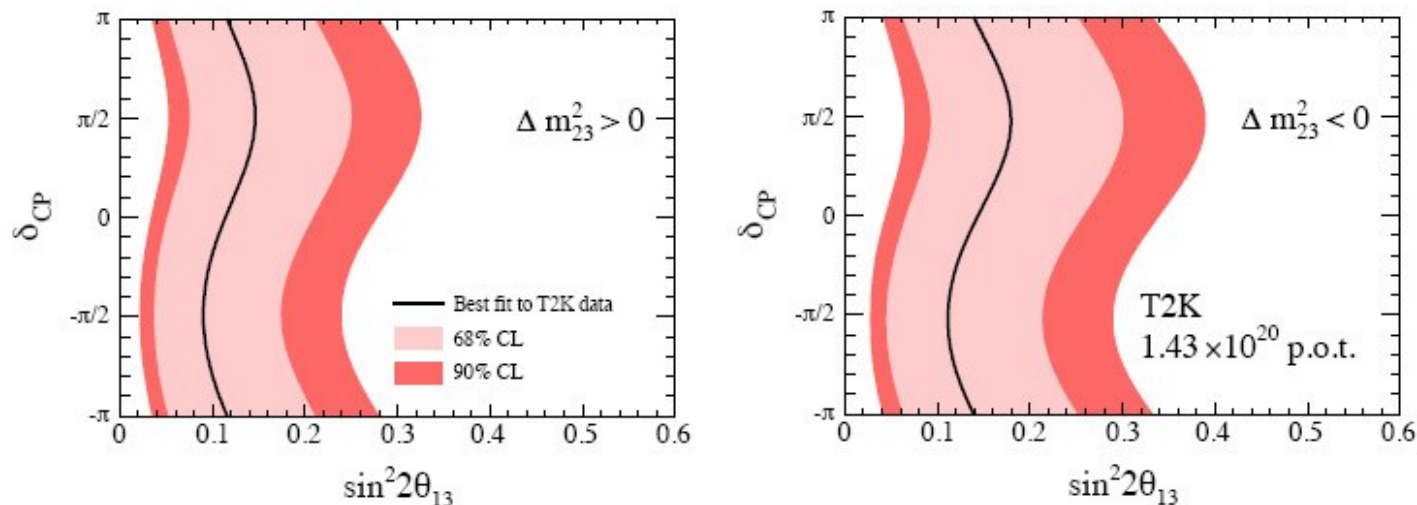
⇒ muon veto



# T2K Indication in 2011

- ◆ 6  $\nu_e$  events,  $1.5 \pm 0.3$  bkg expected. ( $1.43 \times 10^{20}$  POT)
- ⇒  $\theta_{13}$  non-zero probability 99.3% ( $2.5\sigma$  significance)

(assuming  $\Delta m_{23}^2 = 2.4 \times 10^{-3} \text{ eV}^2$ ,  $\sin^2 2\theta_{23} = 1$ )



90% C.L. interval & Best fit point (assuming  $\Delta m_{23}^2 = 2.4 \times 10^{-3} \text{ eV}^2$ ,  $\sin^2 2\theta_{23} = 1$ ,  $\delta_{CP} = 0$ )

$$0.03 < \sin^2 2\theta_{13} < 0.28$$

$$\sin^2 2\theta_{13} = 0.11$$

$$0.04 < \sin^2 2\theta_{13} < 0.34$$

$$\sin^2 2\theta_{13} = 0.14$$

# MINOS in 2011



Results on  
appearance of  
electron-neutrinos  
with  $8.2 \times 10^{20}$  POT

For  $\delta_{CP} = 0$  the allowed values of  
 $2\sin^2(2\theta_{13})\sin^2(\theta_{23})$  at 90% CL are:

0 to 0.12 (normal) central value: 0.04

0 to 0.19 (inverted) central value: 0.08

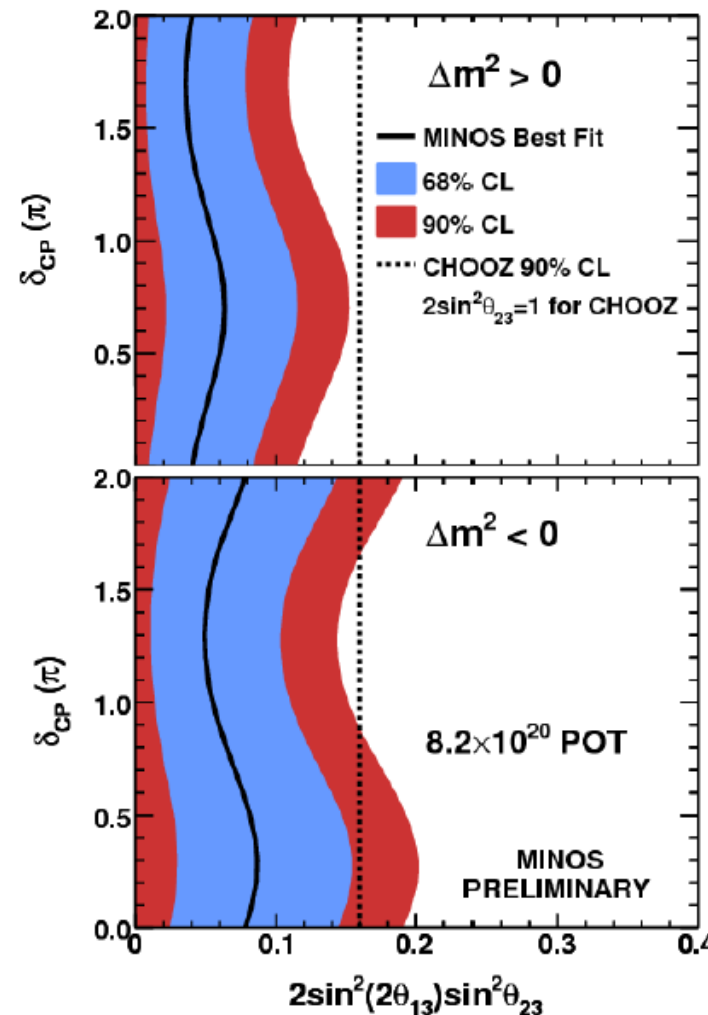
Expected background events:

**$49.5 \pm 2.8$  (syst)  $\pm 7.0$  (stat)**

Observed events in FD data:

**62**

**1.7 $\sigma$  excess above background**

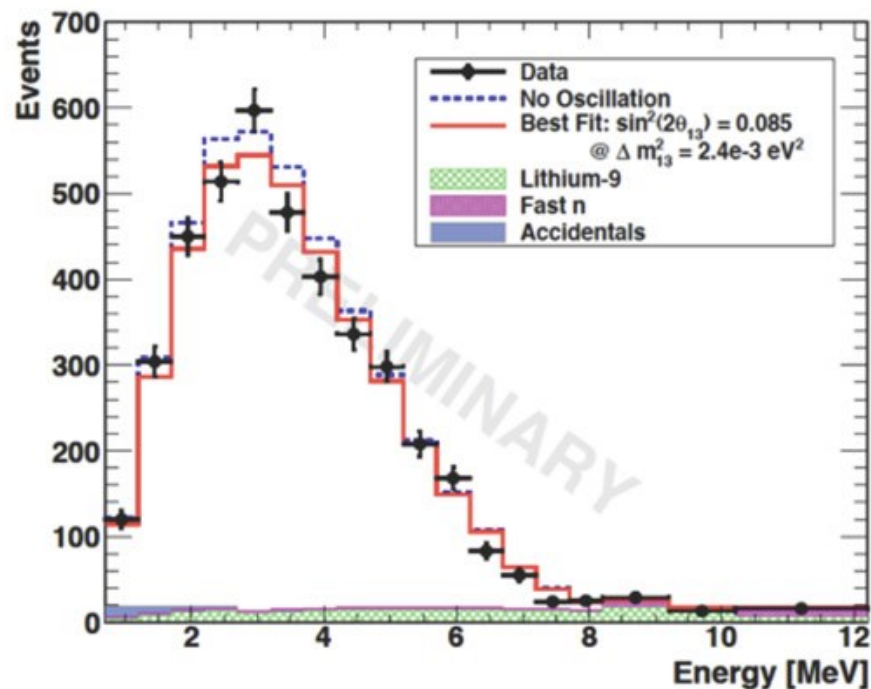
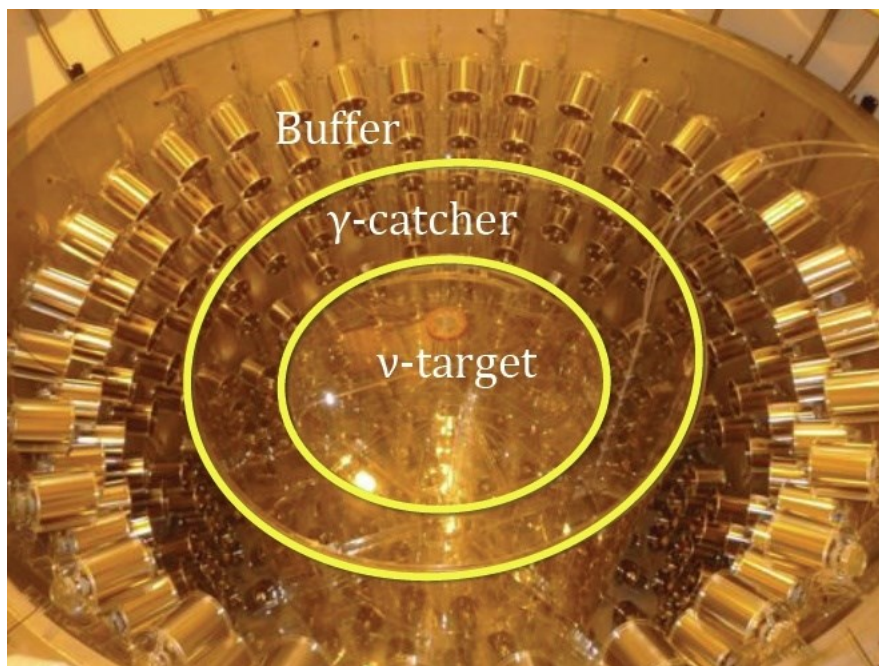


Exclusion limits based on the selected  $\nu_e$   
candidate event distribution.

*Allowed values are in the colored regions*



# Double Chooz's 1<sup>st</sup> Results



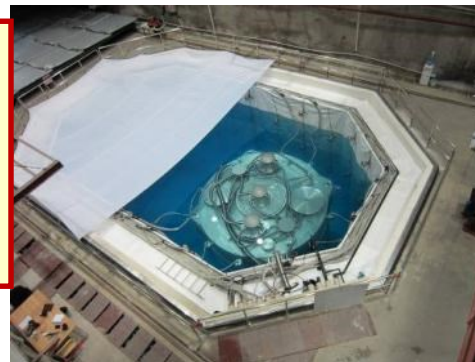
- ◆ Far detector starts data taking at the **beginning of 2011**
- ◆ First results in **Nov. 2011** based on 85.6 days of data, at **lowNu** in Seoul.

$$\sin^2 2\theta_{13} = 0.086 \pm 0.041(\text{Stat}) \pm 0.030(\text{Syst}), \quad 1.7\sigma \text{ for non-zero } \theta_{13}$$

# Daya Bay Results

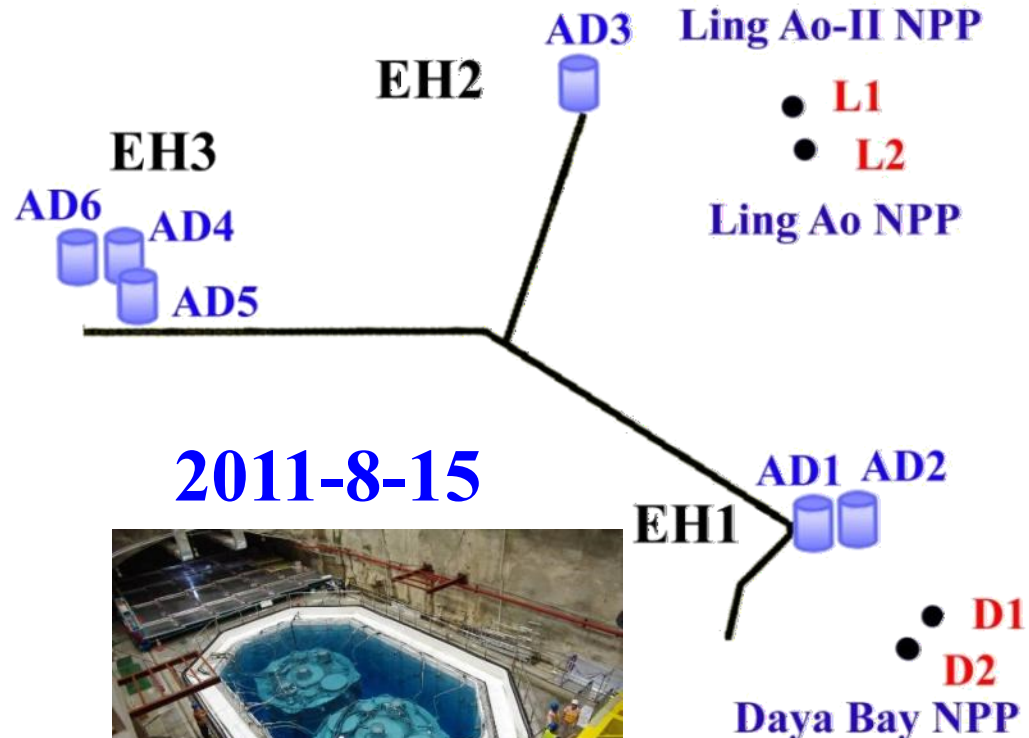
2011-11-5

**Mar. 8, 2012**, with 55 day data  
 $\sin^2 2\theta_{13} = 0.092 \pm 0.016(\text{stat}) \pm 0.005(\text{syst})$   
 **$5.2\sigma$  for non-zero  $\theta_{13}$**

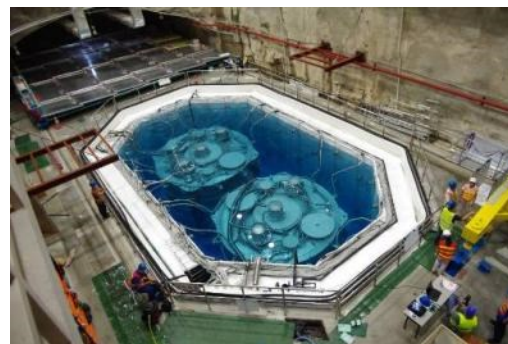


**L3**  
**L4**  
**Ling Ao-II NPP**  
**L1**  
**L2**  
**Ling Ao NPP**

2011-12-24

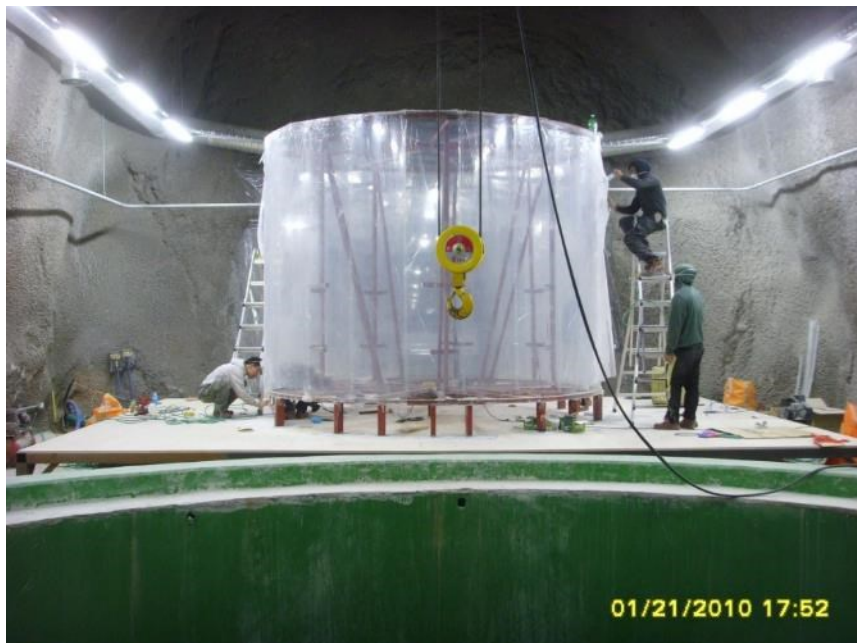


**Blind Strategy:**  
Baselines, reactor power, target mass





# RENO



- ◆ Data taking started on **Aug. 11, 2011**
- ◆ First physics results based on 228 days data taking (up to **Mar. 25, 2012**) released on **April 3, 2012**, revised on April 8, 2012, published on May 11, 2012:

$$\sin^2 2\theta_{13} = 0.113 \pm 0.013(\text{Stat}) \pm 0.019(\text{Syst}), \text{ } 4.9\sigma \text{ for non-zero } \theta_{13}$$

# Three Uncertainty Sources (DYB/RENO)

## ◆ 1. Reactor

- ⇒ Power  $\oplus$  Fission Fraction, a single core:  $\sigma_r \sim 0.8\%$
- ⇒ DC: imperfect location of the near site, cancel to 11% of  $\sigma_r$
- ⇒ DYB: 2 near sites for 6 reactors, cancel to 5% of  $\sigma_r$ , i.e. **0.04%**
- ⇒ RENO: 1 near site for 6 reactors, cancel to 23% of  $\sigma_r$ , i.e. **0.2%**

## ◆ 2. Detector (DYB side-by-side calibration)

- ⇒ DYB: single detector: 0.2%, statistical cancellation w/ multiple detectors, actual uncertainty:  **$\sim 0.1\%$**
- ⇒ RENO: 0.2%

## ◆ 3. Backgrounds (DC constraint from reactor-off)

- ⇒ DYB: **0.2%** (N), 0.35% (F)
- ⇒ RENO: 0.8% (N)

## ◆ Statistics

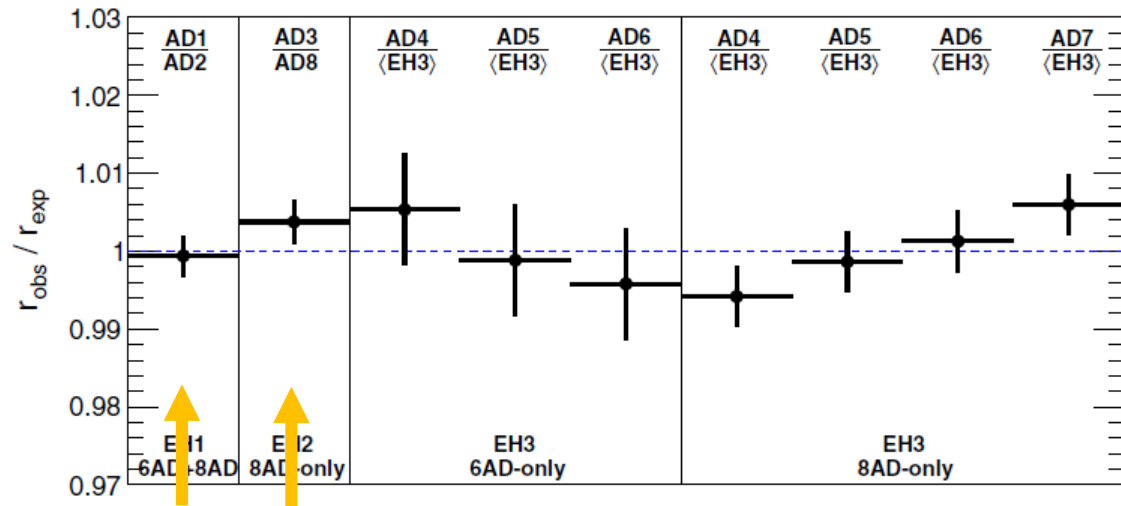
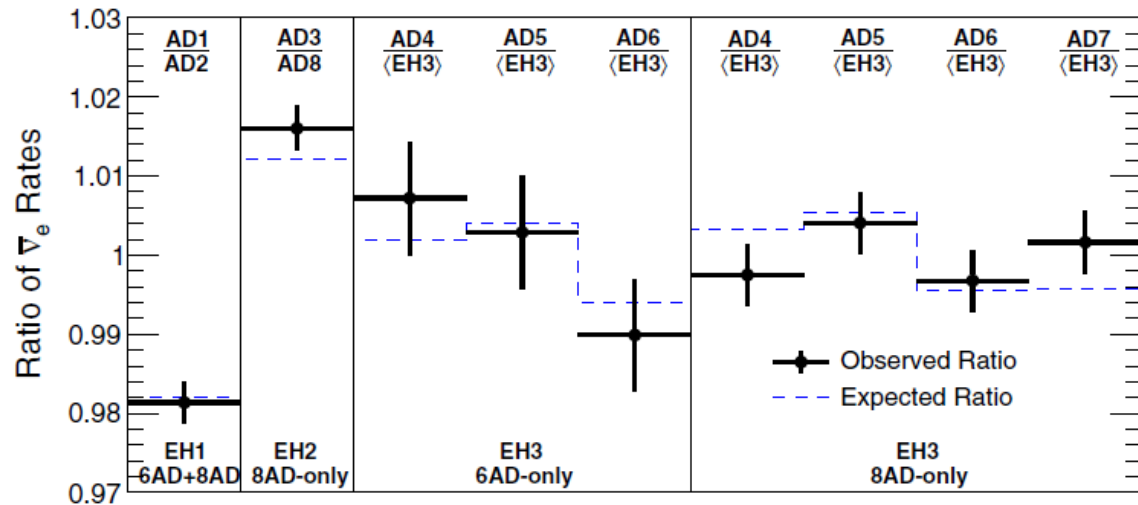
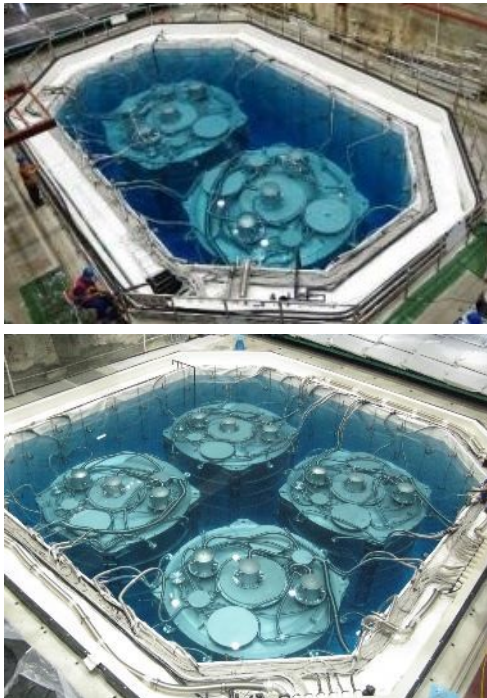
- ⇒ DYB: **1%** for 55 days (0.18% in 2015, and **0.11% in 2020**)
- ⇒ RENO: 0.8%

## ◆ DC was not a near/far experiment until 2015.



# “Measuring” Systematics at DYB

If the detector systematics was estimated correctly, detectors at the same site should have the **consistent event rates** (share the same backgrounds and flux)



**<0.2% <0.4%**

**DYB proposal 0.38%**

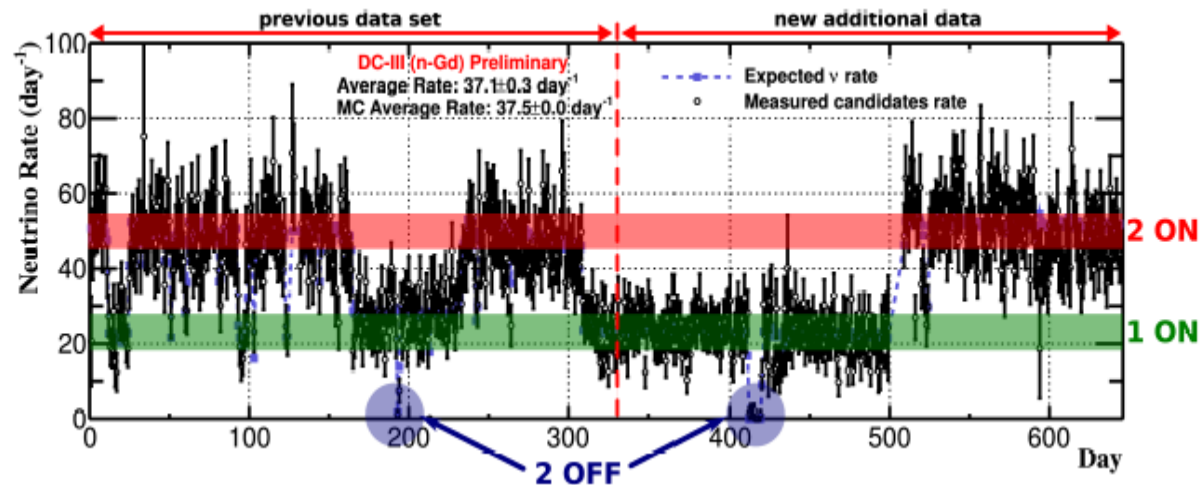
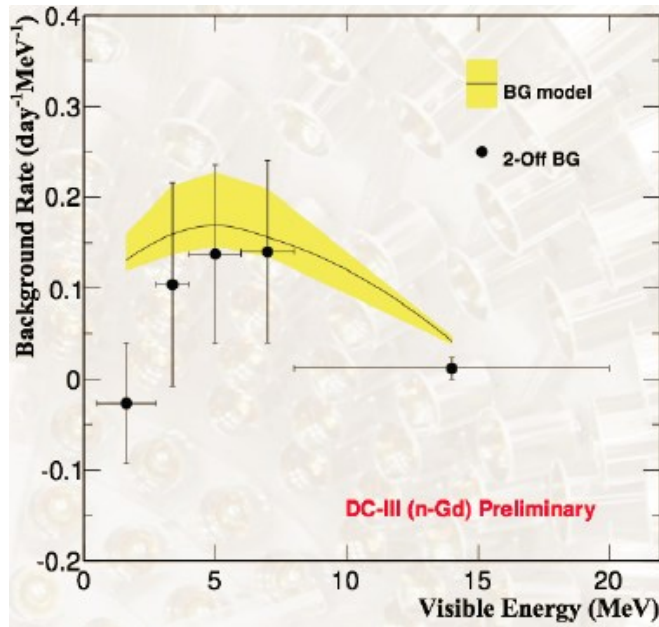
# Backgrounds at DC

## ◆ Direct measurement of backgrounds:

⇒ 7 events in 7.24 days

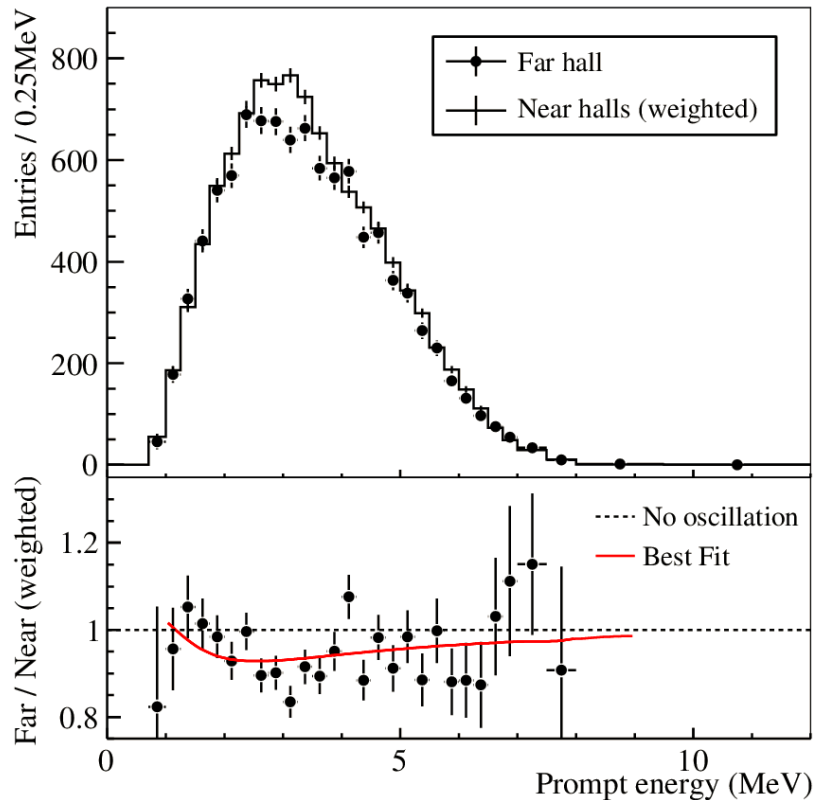
⇒  $12.9^{+3.1}_{-1.4}$  expected

⇒ Tension @  $\sim 2\sigma$  → no room for unknown backgrounds

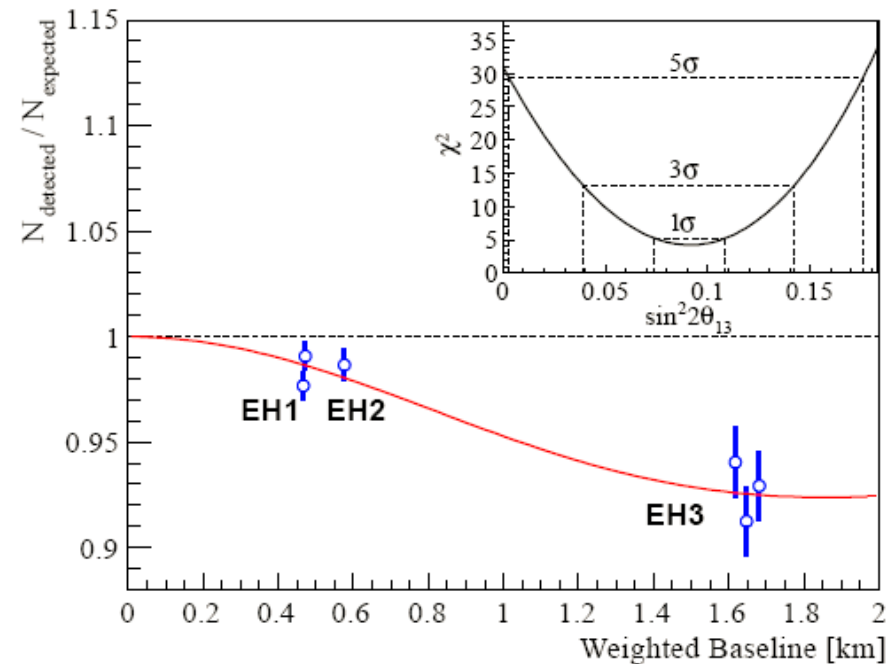


Haser, ICHEP14

# First measurement at Daya Bay



20% precision in  $\sin^2 2\theta_{13}$

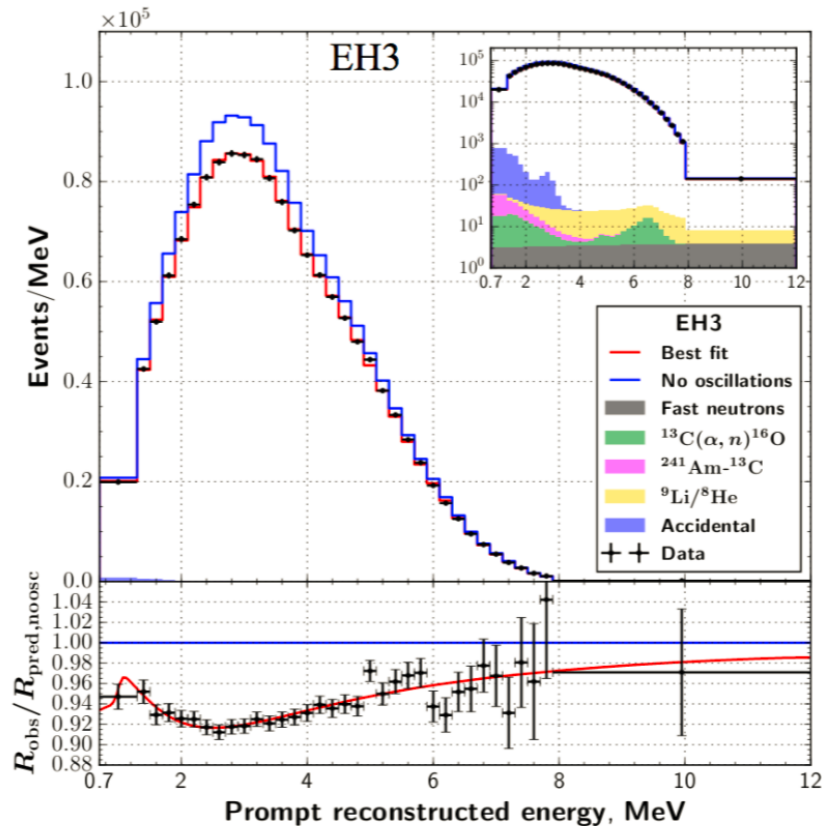


$$R = 0.940 \pm 0.011 (\text{stat}) \pm 0.004 (\text{syst})$$

$$\sin^2 2\theta_{13} = 0.092 \pm 0.016 (\text{stat}) \pm 0.005 (\text{syst})$$

A clear observation of far site deficit with the first 55 days' data.  
5.2  $\sigma$  for non-zero value of  $\theta_{13}$   
Spectral distortion consistent with oscillation.

# Latest Measurement at Daya Bay



$$\sin^2 2\theta_{13} = [8.41 \pm 0.33] \times 10^{-2}$$

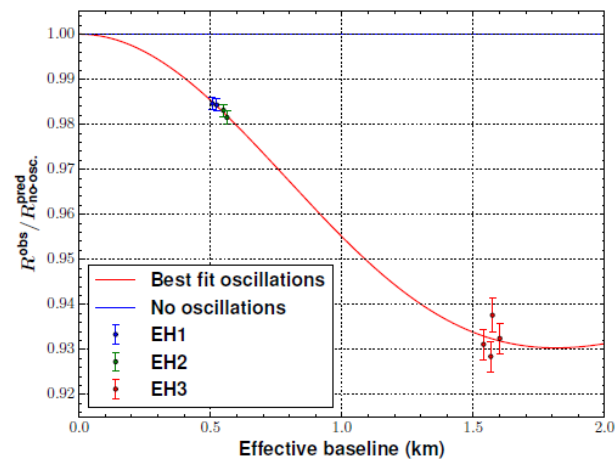
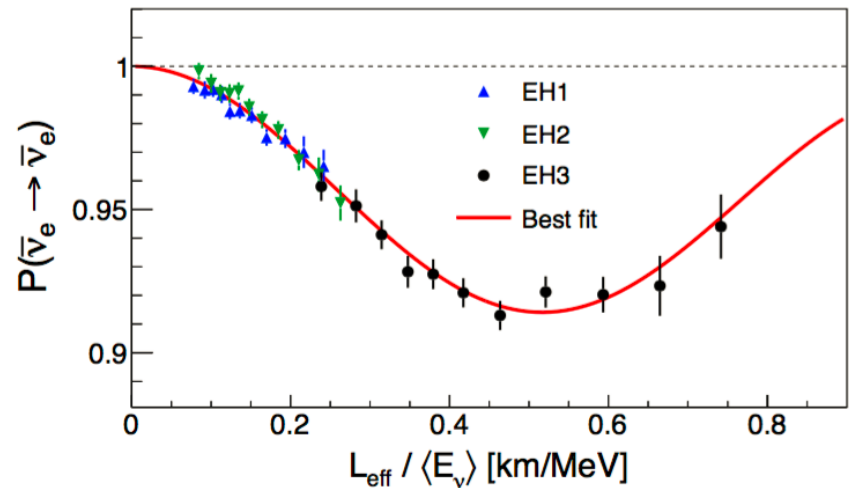
$$\text{NH: } \Delta m_{32}^2 = [2.45 \pm 0.08] \times 10^{-3} \text{ eV}^2$$

$$\text{IH: } \Delta m_{32}^2 = [-2.55 \pm 0.08] \times 10^{-3} \text{ eV}^2$$

1230 days

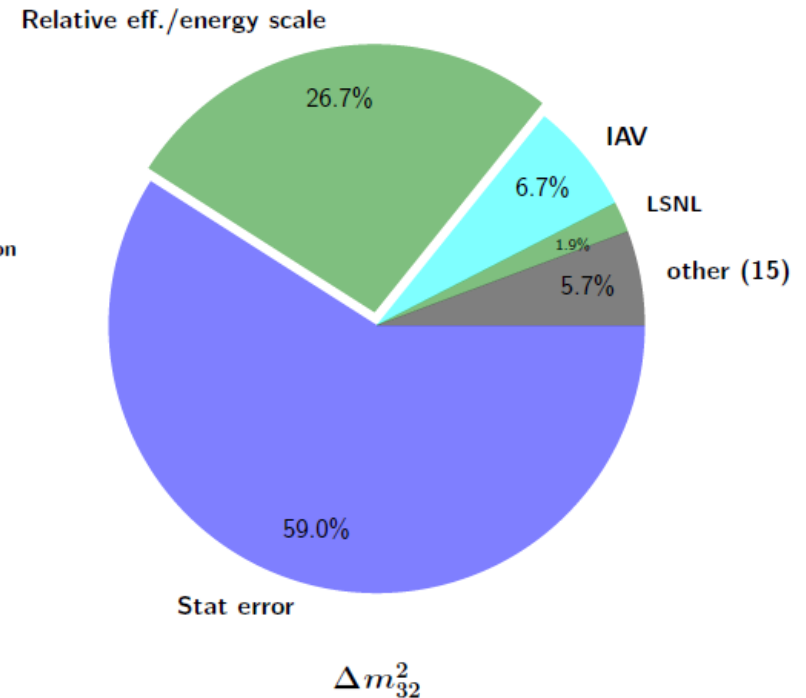
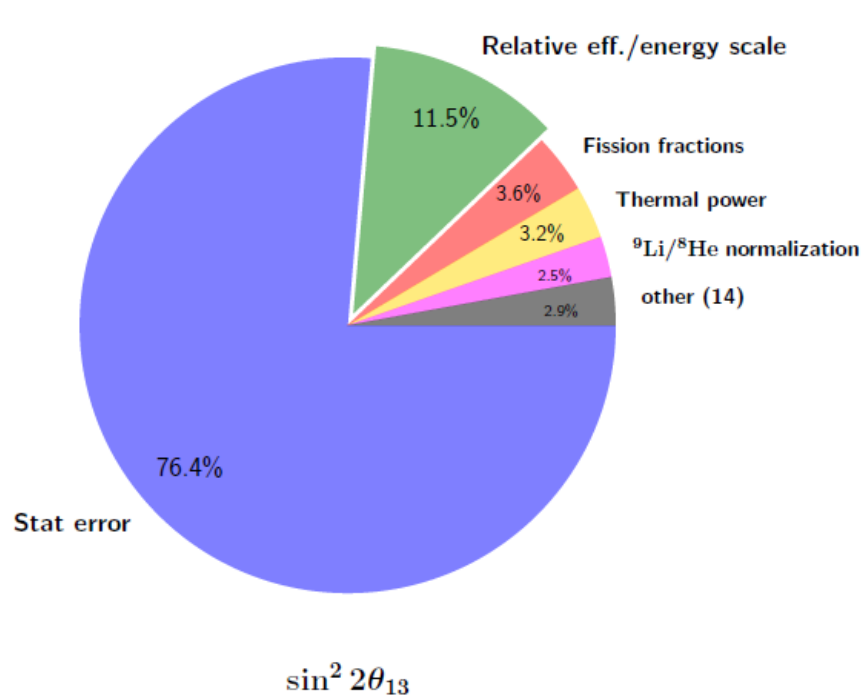
PRD 95, 072006 (2017)

4% precision in  $\sin^2 2\theta_{13}$

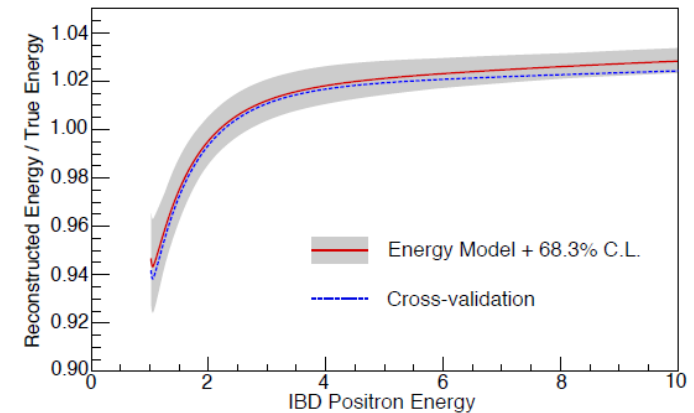




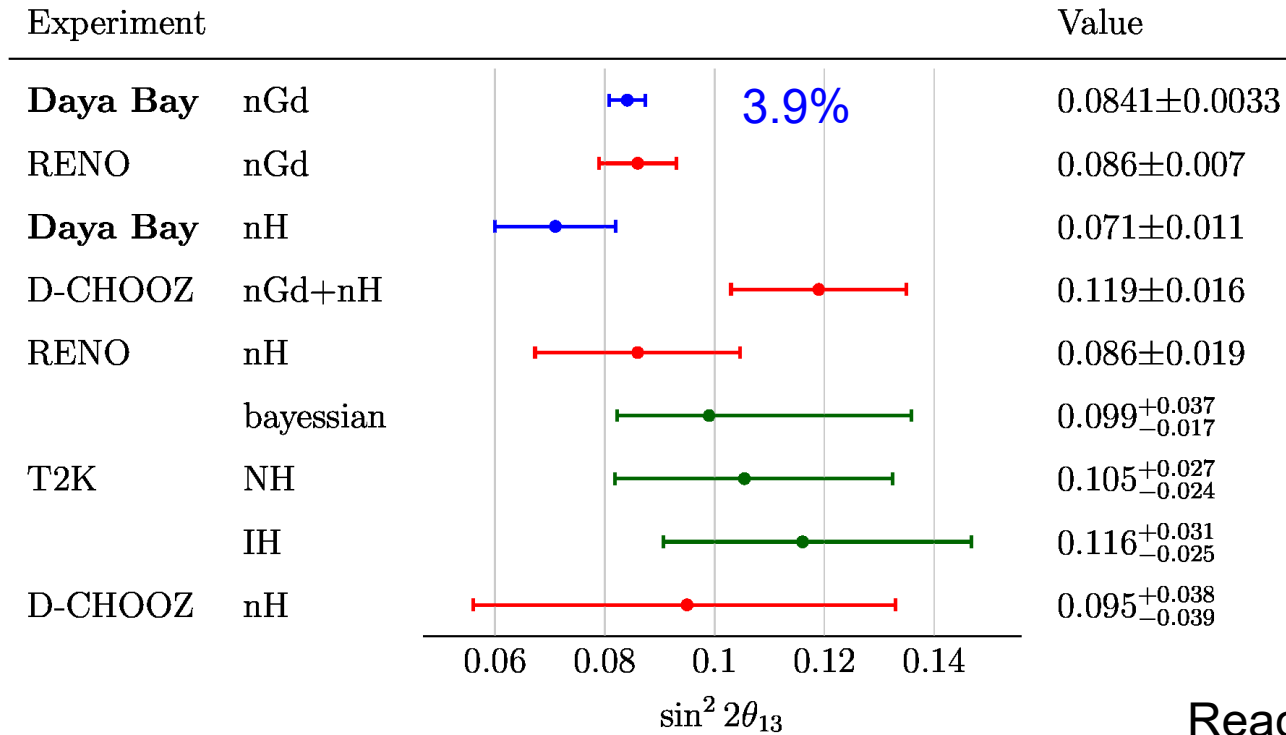
# Current DYB Error Budget



| Statistics    | 0.18% |                     |
|---------------|-------|---------------------|
| Efficiency    | ~0.1% | Single det. 0.14%   |
| Background    | 0.13% | Spectrum constraint |
| Reactor       | 0.04% |                     |
| Non-linearity | ~1%   | Less important      |

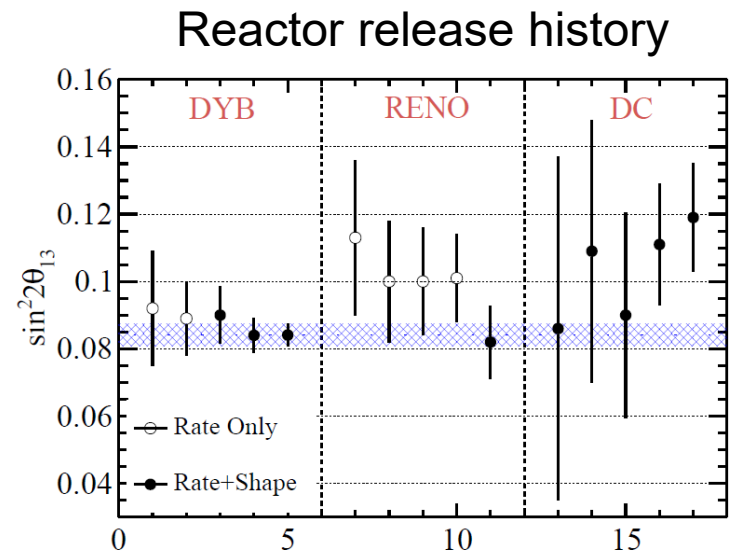


# Global Status in $\theta_{13}$

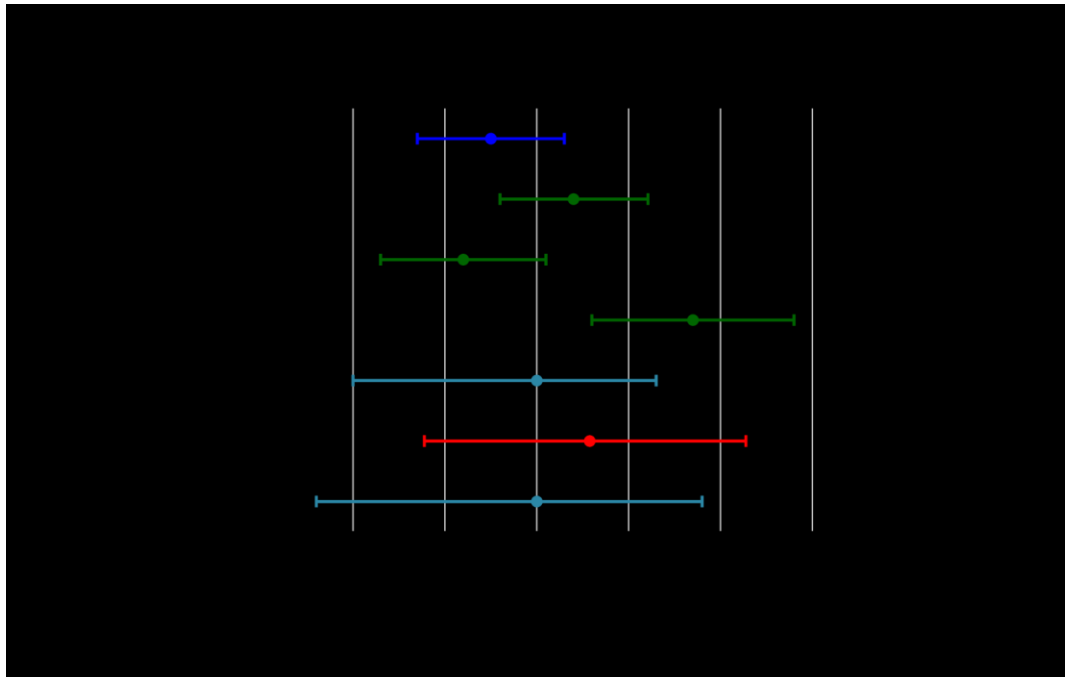


By Maxim Gonchar

By Jie Zhao



# Global Status in Mass Split



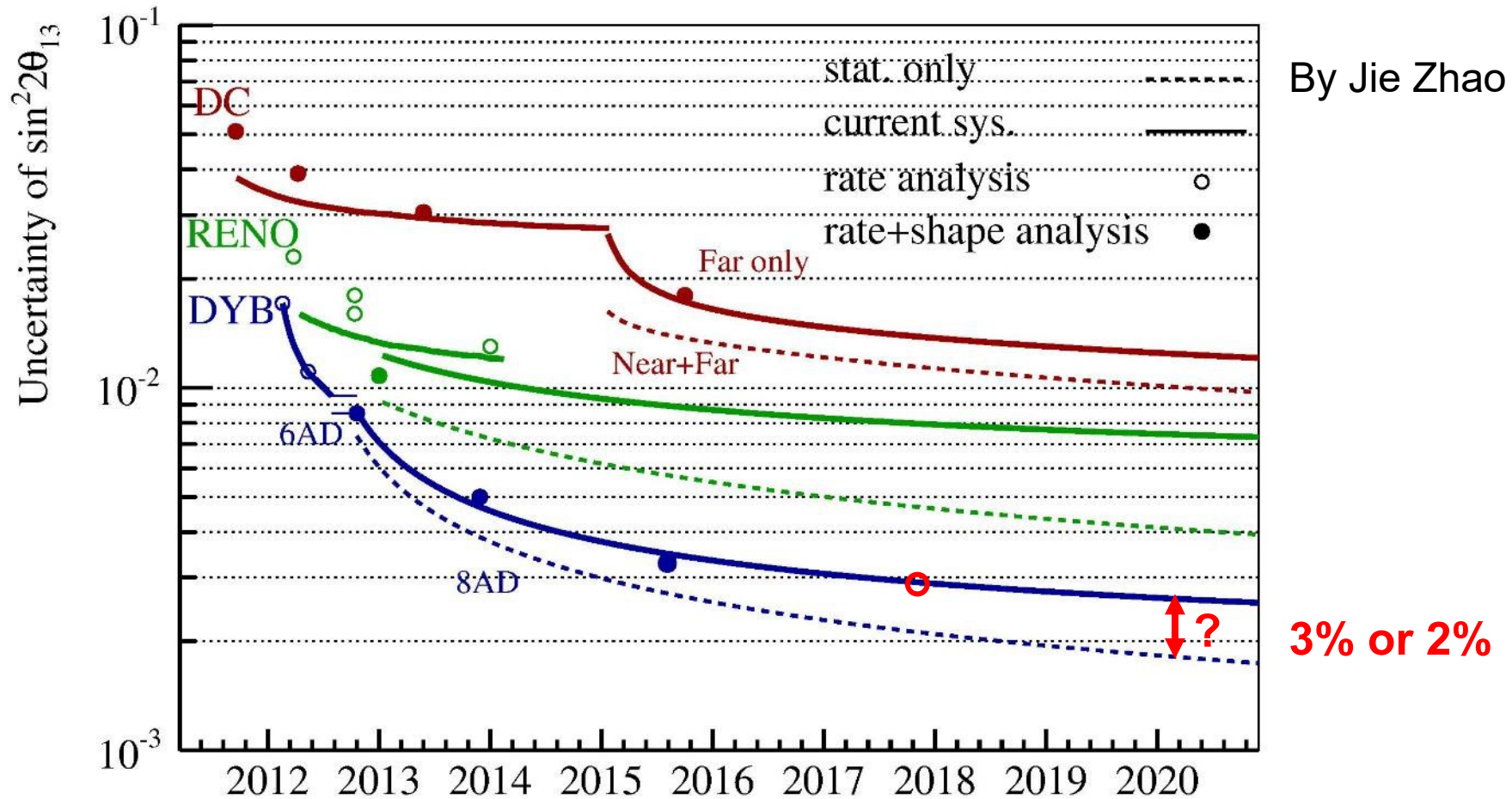
3.2%

| Experiment | Value ( $10^{-3} \text{ eV}^2$ ) |
|------------|----------------------------------|
| Daya Bay   | $2.56 \pm 0.08$                  |
| T2K        | $2.510^{+0.078}_{-0.081}$        |
| MINOS      | $2.48^{+0.11}_{-0.09}$           |
| NO $\nu$ A | $2.72 \pm 0.11$                  |
| RENO       | $2.66^{+0.17}_{-0.18}$           |
| Super-K    | $2.58^{+0.08}_{-0.37}$           |

$|\Delta m_{32}^2|$  (IH,  $10^{-3} \text{ eV}^2$ )

By Maxim Gonchar

# Future Sensitivity



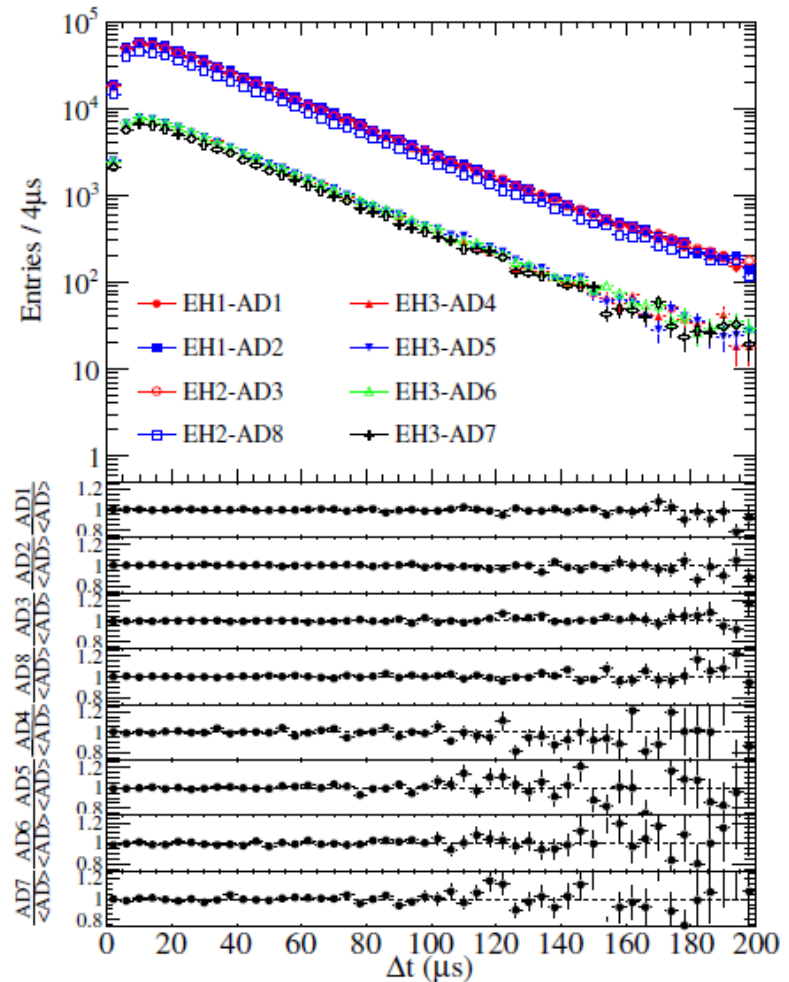
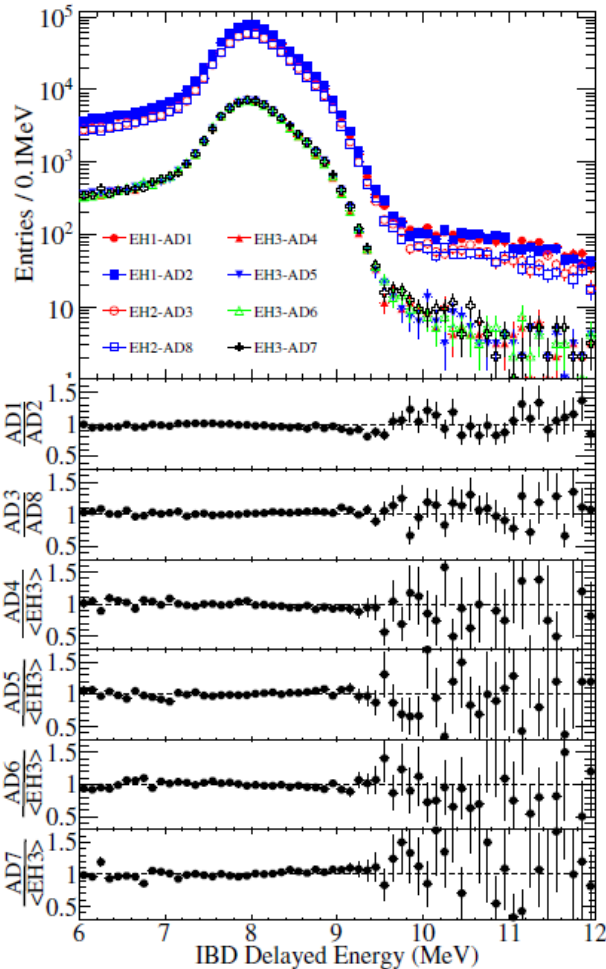
- DYB: running to 2020, 3% precision (1.5x stat. in 2018 summer)
- RENO: operation funding secured until 2019.2
- Double Chooz: at least Jan. 2018



# Efficiencies and Systematics

|                            | Daya Bay 2012 |                 | Daya Bay Now |                  |
|----------------------------|---------------|-----------------|--------------|------------------|
|                            | Corr.         | Uncorr.         | Corr.        | Uncorr.          |
| Target proton              | 0.47%         | 0.03%           | <b>0.92%</b> | 0.03%            |
| Flasher cut                | 0.01%         | 0.01%           | 0.01%        | 0.01%            |
| <b>Delayed energy cut</b>  | <b>0.6%</b>   | <b>0.12%</b>    | <b>0.97%</b> | <b>0.08%</b>     |
| Prompt energy cut          | 0.1%          | 0.01%           | 0.10%        | 0.01%            |
| Multiplicity cut           | 0.02%         | <0.01%          | 0.02%        | 0.01%            |
| Capture time cut           | 0.12%         | 0.01%           | 0.12%        | 0.01%            |
| <b>Gd capture fraction</b> | <b>0.8%</b>   | <b>&lt;0.1%</b> | <b>0.95%</b> | <b>&lt;0.10%</b> |
| Spill-in                   | 1.5%          | 0.02%           | 1.0%         | 0.02%            |
| livetime                   | 0.002%        | <0.01%          | 0.002%       | 0.01%            |
| <b>Total</b>               | <b>1.9%</b>   | <b>0.2%</b>     | <b>1.93%</b> | <b>0.13%</b>     |

# Efficiency Uncertainty



- ◆ Delayed energy cut: energy scale uncert. 0.2% (designed 1%, first 0.5%)
- ◆ Neutron capture time (Gd concentration difference): showed IBD here, studied w/ IBD, spallation n, Am-C, Am-Be, Pu-C sources, likely improved w/ more data.

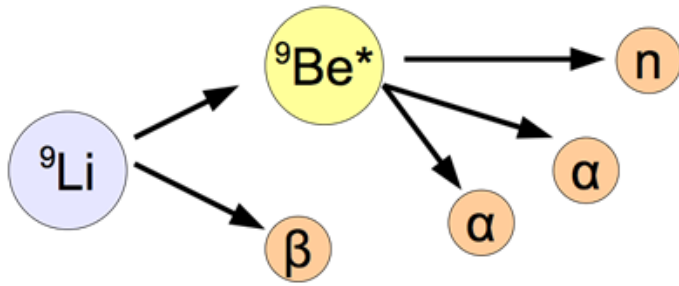
# Backgrounds & Uncertainties

|                                    | Daya Bay 2012 |        | Daya Bay Now |       |
|------------------------------------|---------------|--------|--------------|-------|
|                                    | Near          | Far    | Near         | Far   |
| Accidentals (B/S)                  | 1.4%          | 4.0%   | 1.3%         | 1.6%  |
| $\Delta$ B/S                       | 0.01%         | 0.06%  | 0%           | 0%    |
| Fast neutrons (B/S)                | 0.1%          | 0.06%  | 0.13%        | 0.06% |
| $\Delta$ B/S                       | 0.03%         | 0.02%  | 0.01%        | 0.01% |
| $^8\text{He}/^9\text{Li}$ (B/S)    | 0.4%          | 0.3%   | 0.4%         | 0.3%  |
| $\Delta$ B/S                       | 0.2%          | 0.16%  | 0.12%        | 0.10% |
| $\alpha$ -n (B/S)                  | 0.01%         | 0.05%  | 0.01%        | 0.07% |
| $\Delta$ B/S                       | 0.005%        | 0.025% | 0.005%       | 0.04% |
| Am-C (B/S)                         | 0.03%         | 0.3%   | 0.02%        | 0.05% |
| $\Delta$ B/S                       | 0.03%         | 0.3%   | 0.01%        | 0.03% |
| Total backgrounds(B/S)             | 1.9%          | 4.7%   | 1.8%         | 2%    |
| Total Uncertainties $\Delta$ (B/S) | 0.2%          | 0.35%  | 0.13%        | 0.10% |



# Backgrounds: $^9\text{Li}/^8\text{He}$

- ◆ Cosmic  $\mu$  produced  $^9\text{Li}/^8\text{He}$  in LS  
 $\beta$ -decay + neutron emitter



- **Measurement:**

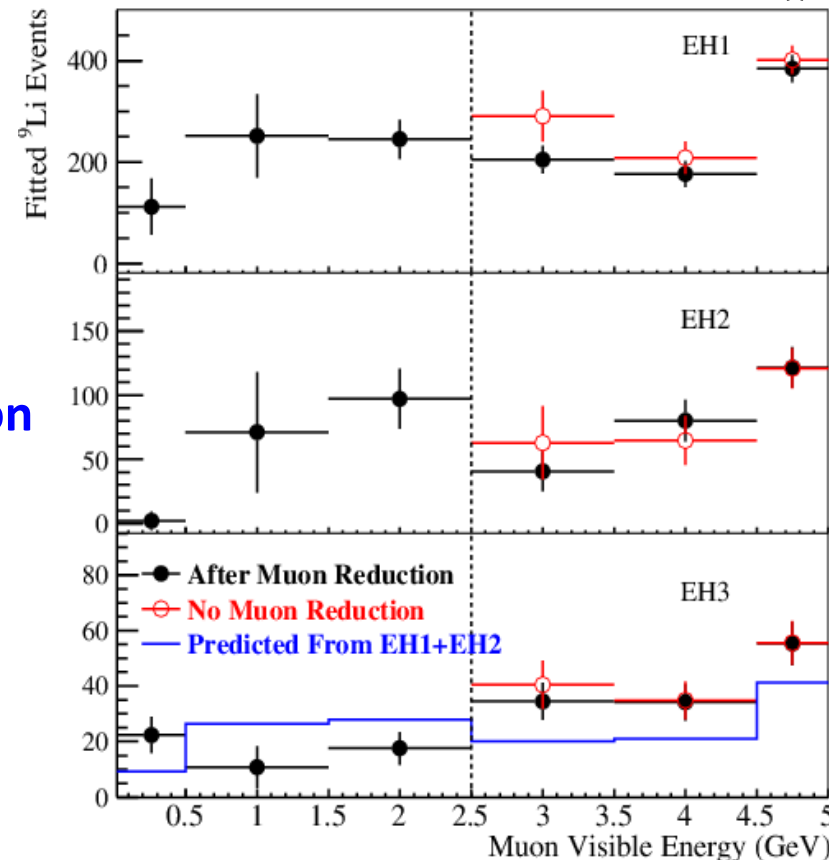
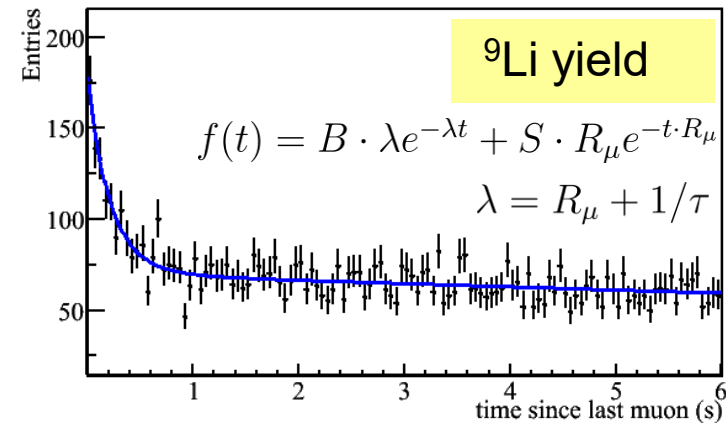
- Time-since-last-muon fit method

B/S uncertainty:  $\sigma_b = \frac{1}{\sqrt{N}} \cdot \sqrt{(1 + \tau R_\mu)^2 - 1}$

- Improve the precision by preparing muon samples w/ and w/o followed neutrons
- Muons with small visible energy also produce  $^9\text{Li}/^8\text{He}$

$\Delta B/B \sim 50\%$  from assigned systematics

$^9\text{Li}/^8\text{He}$  Fit



# Summary

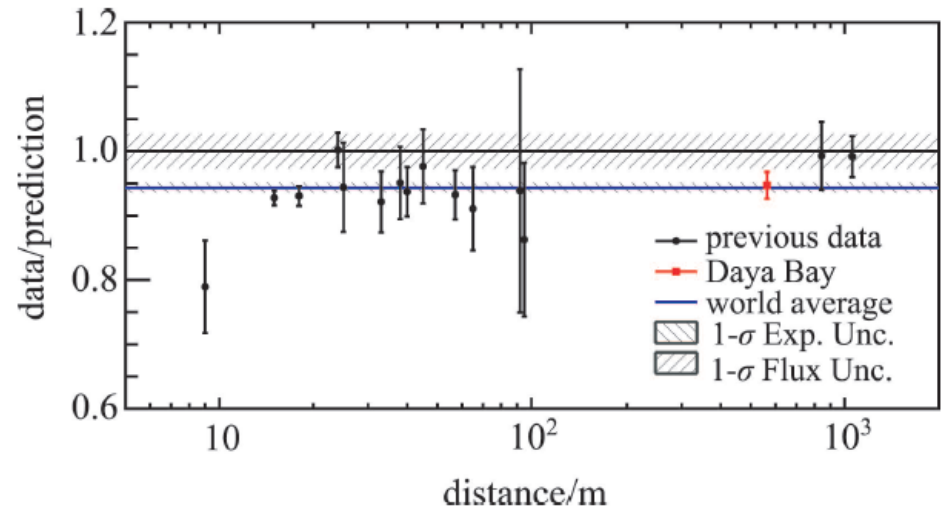
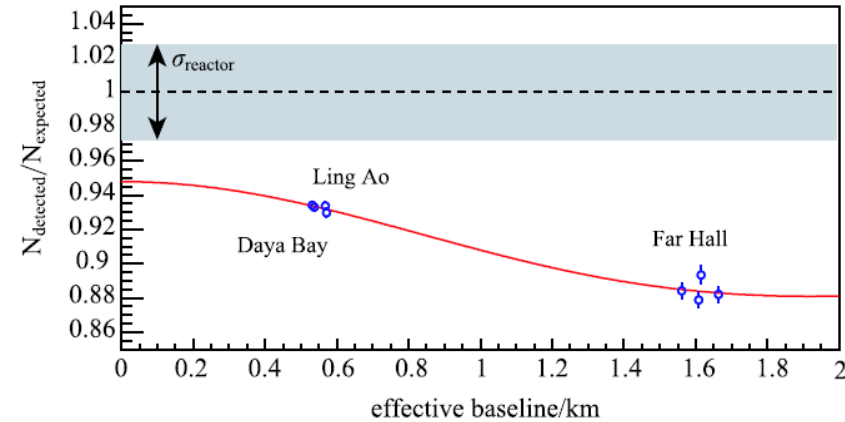
- ◆ **Daya Bay plan to operate until 2020, RENO to 2019, Double Chooz to Jan. 2018**
- ◆ **Daya Bay ultimate precision of  $\sin^2 2\theta_{13}$  will reach  $\sim 3\%$  (statistical precision 0.2%), with likely improvements in efficiency and background uncertainty.**

| Statistics | Efficiency   | Background | Reactor |
|------------|--------------|------------|---------|
| 0.11%      | $\sim 0.1\%$ | 0.13%      | 0.04%   |

- ◆ **One DYB near detector was used for JUNO technology studies since Jan. 2017 (light yield optimization, scintillator optical purification, low background), no impact to  $\theta_{13}$**
- ◆ **Flux and spectrum anomalies and Sterile neutrino studies**

# Thanks !

# Daya Bay Absolute Rate Measurement



Chin. Phys. C41, 013002 (2017)

- ⇒ **Data/(Huber+Mueller):**  $0.946 \pm 0.020$
- ⇒ **Past global average:**  $0.942 \pm 0.009$
- ⇒ **Data/(ILL+Vogel):**  $0.992 \pm 0.021$

| contribution         | uncertainty  |
|----------------------|--------------|
| statistics           | 0.1%         |
| oscillation          | 0.1%         |
| reactor              | 0.9%         |
| detection efficiency | <b>1.93%</b> |
| total                | 2.1%         |

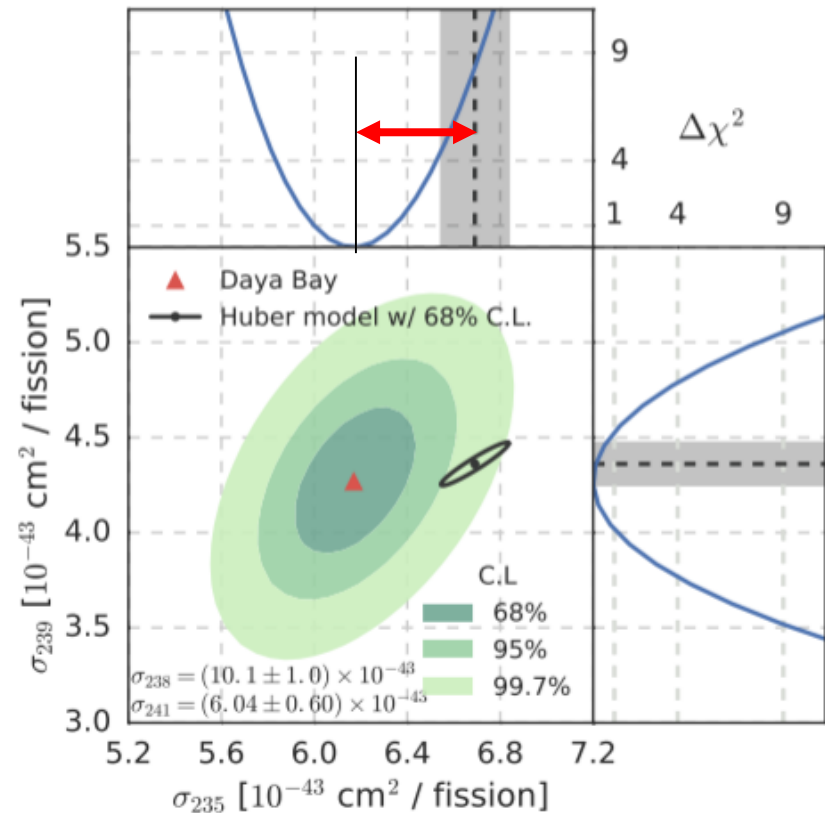


Special calibration  
in Jan. 2017

**Stay tuned**

# Daya Bay Fuel Evolution

- ◆ Combined fit for major fission isotopes  $^{235}\text{U}$  and  $^{239}\text{Pu}$
- ◆  $\sigma_{235}$  is 7.8% lower than Huber-Mueller model (2.7% meas. uncertainty)
- ◆  $\sigma_{239}$  is consistent with the prediction (6% meas. uncertainty)
- ◆  $2.8\sigma$  disfavor equal deficit (H-M model & sterile hypothesis)



PRL118, 251801 (2017)



# Reactor Anomaly (Spectrum)

- ◆ 5 MeV Bump
- ◆ Not due to energy non-linearity
- ◆ Not due to sterile  $\nu$
- ◆ Possibly due to forbidden decays (PRL112: 2021501; PRL114:012502)

