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# Anomalous dynamical back-action in interferometers

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# Basic theory behind dynamic back-action



Gravity wave detectors  
(LIGO, Virgo, GEO,...)



Harmonically suspended gram-scale mirrors



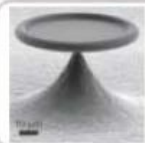
Mirror coated AFM-cantilevers



Micromirrors



SiN<sub>3</sub> membranes



Optical microcavities



CPW-resonators coupled to nano-resonators

*T. J. Kippenberg et al.,  
Science* **321**, 1172 (2008);

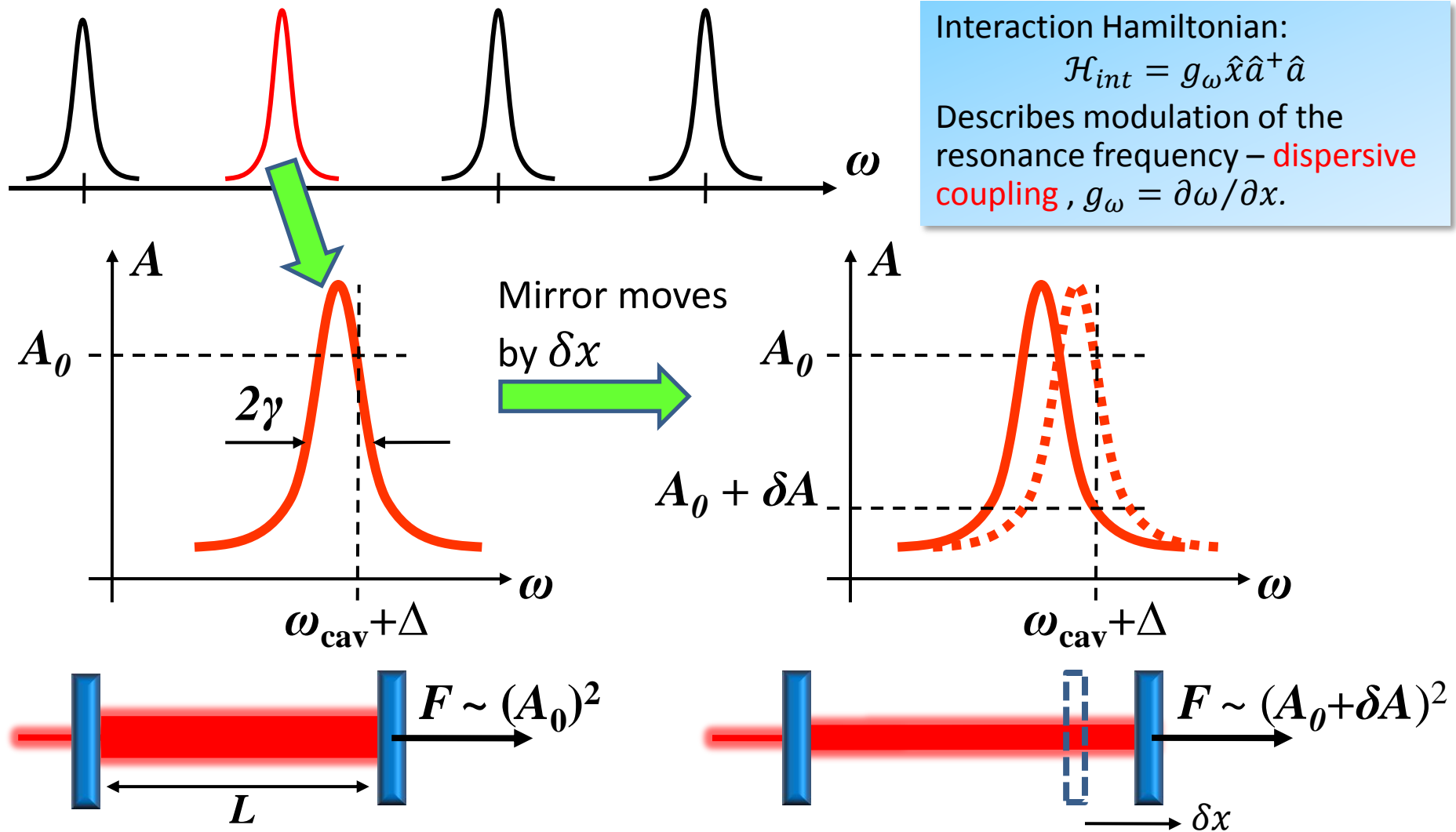
- Light wave in an optical apparatus interacts with a mechanical probe (test mass) via radiation pressure.
- Imposes measurement back-action on the probe.
- Back-action noise due to quantum fluctuations of electromagnetic field. Causes SQL together with measurement shot noise.
- Dynamic back-action due to motion of the probe: test mass moves → redistribution of energy → ponderomotive force alters dynamics of the test mass.

- *V.B. Braginsky, A.B. Manukin, Sov. Phys. JETP* **25**, 653 (1967);
- *V.B. Braginsky et al., Sov. Phys. JETP* **31**, 829 (1970).

- Effective Fabry-Perot cavity in optomechanics with micro-and nano-oscillators (topologies of ‘movable mirror’, ‘membrane-in-the-middle’, etc.).
- Scaling law for gravitational-wave detectors: noise and dynamics of interferometers operated on **dark port** are equivalent to those of a Fabry-Perot cavity.

- *A. Buonanno, Y. Chen, Phys. Rev. D* **67**, 062002 (2003).

# Basic theory behind dynamic back-action



Ponderomotive force:  $\delta F(\Omega) \sim A_0 \delta A = -\mathcal{K}(\Omega) \delta x = -\delta x [K(\Omega) - 2i\Omega\Gamma(\Omega)]$

# Canonical dynamic back-action

- Complex optical spring:

$$\mathcal{K}(\Omega) = \frac{2\omega_0\mathcal{E}}{L^2} \frac{\Delta}{\Delta^2 + (\gamma - i\Omega)^2}$$

- Real optical spring (rigidity):

$$\begin{aligned} K(\Omega) &= \Re[\mathcal{K}(\Omega)] = \\ &= \frac{2\omega_0\mathcal{E}}{L^2} \frac{\Delta(\Delta^2 + \gamma^2 - \Omega^2)}{|\Delta^2 + (\gamma - i\Omega)^2|^2} \end{aligned}$$

- Crosses zero once, if  $\Omega < \gamma$  (GWD)
- Crosses zero thrice, if  $\Omega > \gamma$  (OM)

- Optical damping:

$$\begin{aligned} \Gamma(\Omega) &= -\Im[\mathcal{K}(\Omega)]/2\Omega \\ &= -\frac{2\omega_0\mathcal{E}}{L^2} \frac{\Delta\gamma}{|\Delta^2 + (\gamma - i\Omega)^2|^2} \end{aligned}$$

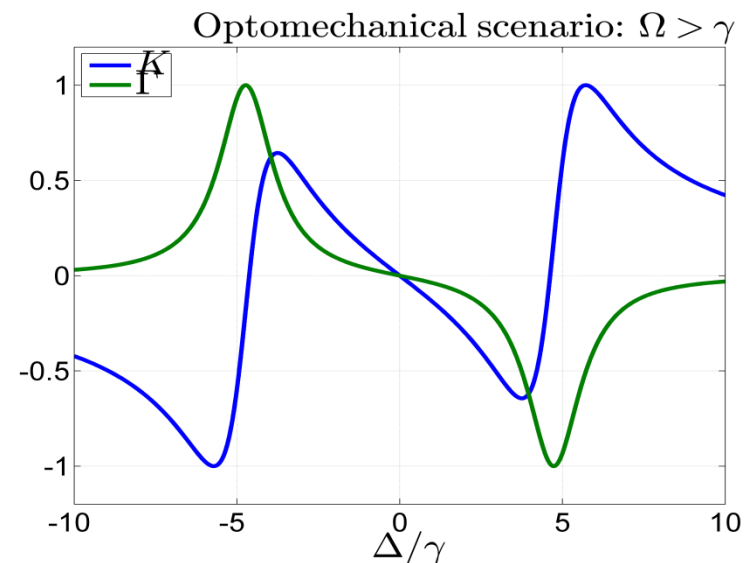
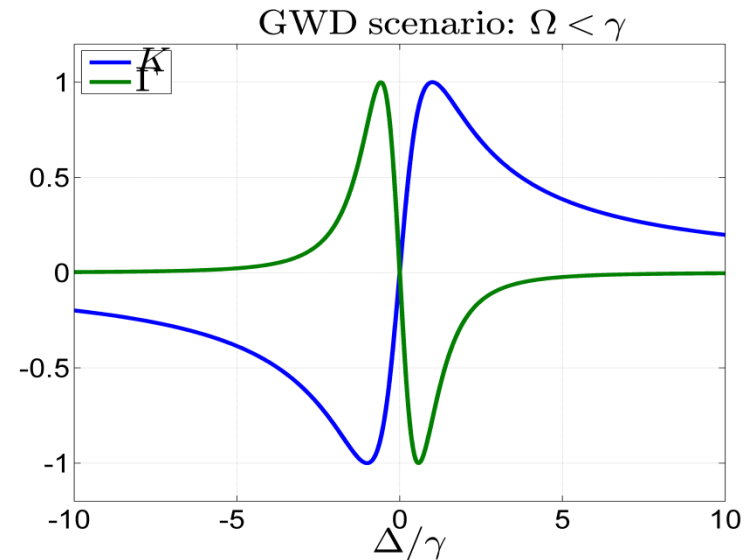
- Crosses zero only once

Both vanish on resonance,  $\Delta = 0$ .

*V.B. Braginsky et al., Phys. Lett. A **232**, 340 (1997);*

*F.Ya. Khalili, Phys. Lett. A **288**, 251 (2001);*

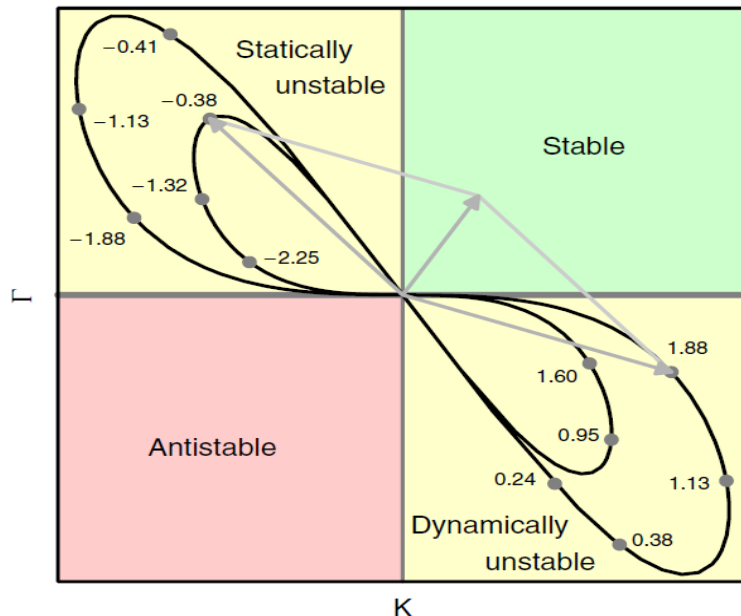
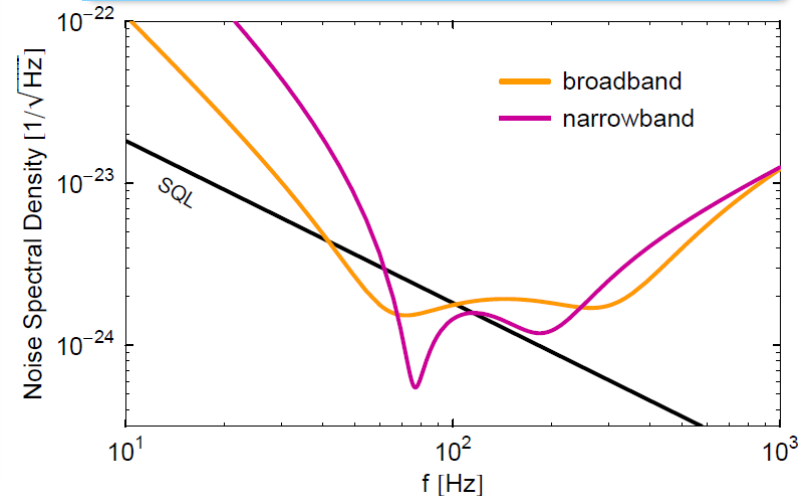
*A. Buonanno, Y. Chen, Phys. Rev. D **65**, 042001 (2002).*



# Canonical dynamic back-action in GWDs

- Optical spring  $K$  allows reduction of quantum noise in certain freq. band via modifying dynamics of the test masses.  
 Free mass:  $\mathcal{S}_F^{SQL} = 2\hbar m \Omega^2$ ,  
 Oscillator:  $\mathcal{S}_F^{SQL} = 2\hbar m (\Omega^2 - \omega_m^2)$ .
- Optical spring makes signal amplification rather than back-action noise cancelation – much more tolerant to optical losses.

*H. Mueller-Ebhardt, PhD thesis, 2009.*



- A single-carrier optical spring, as a set of  $K$  and  $\Gamma$ , is unstable for any detuning. Feedback/control needed for stabilization.
- Two laser drives can create stable spring.
  - *H. Rehbein et al., PRD 78, 062003 (2008);*
  - *T. Corbitt et al., PRL 98, 150802 (2007).*
- Broadband reduction of quantum noise via dual-carrier negative optical inertia.
  - *F. Khalili et al., PRD 83, 062003 (2011).*

# Canonical dynamic back-action in GWDs

- Optical spring  $K$  allows reduction of quantum noise in certain freq. band via mod

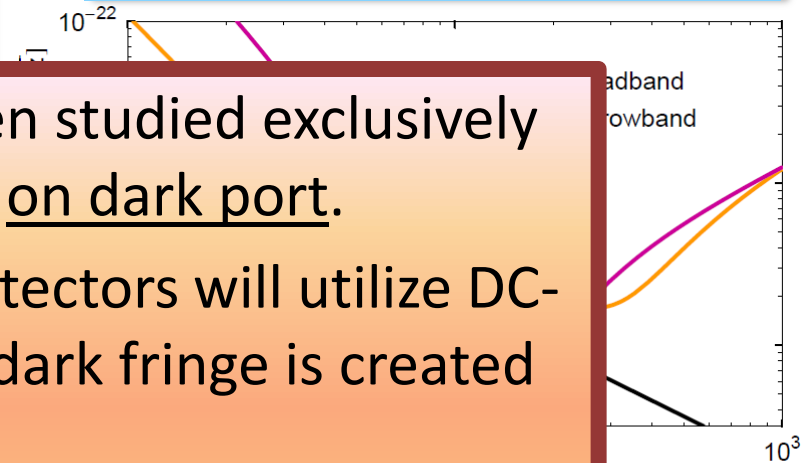
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*H. Mueller-Ebhardt, PhD thesis, 2009.*



- So far, optical spring has been studied exclusively in interferometers operated on dark port.
- Although already 2<sup>nd</sup>-gen detectors will utilize DC-readout, where offset from dark fringe is created on purpose.
- Nobody has considered if new effects rise in an interferometer operated off dark fringe.
- Quite unexpectedly, a micromechanical model shed light upon physics in large-scale interferometers operated off dark port.

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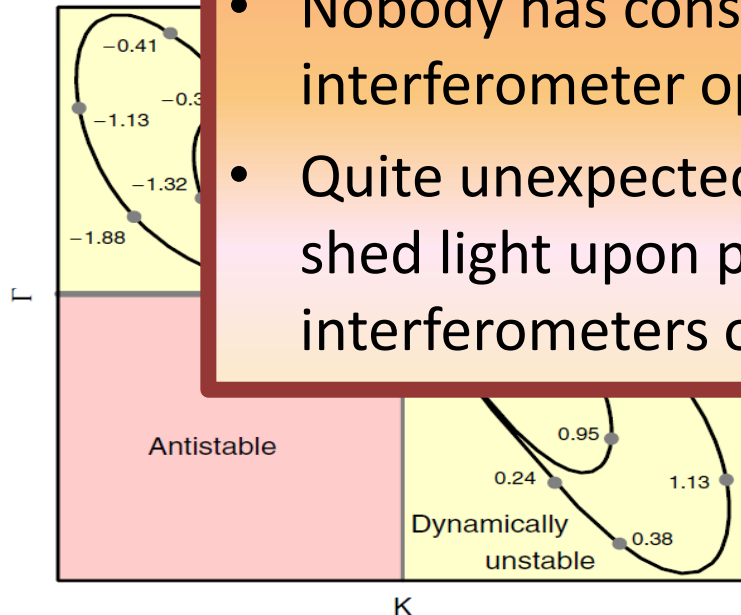
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– *F. Khalili et al., PRD **83**, 062003 (2011).*

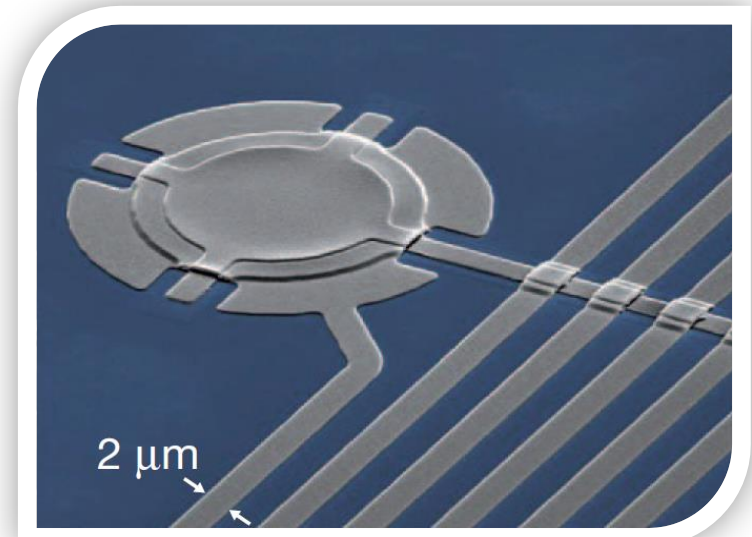
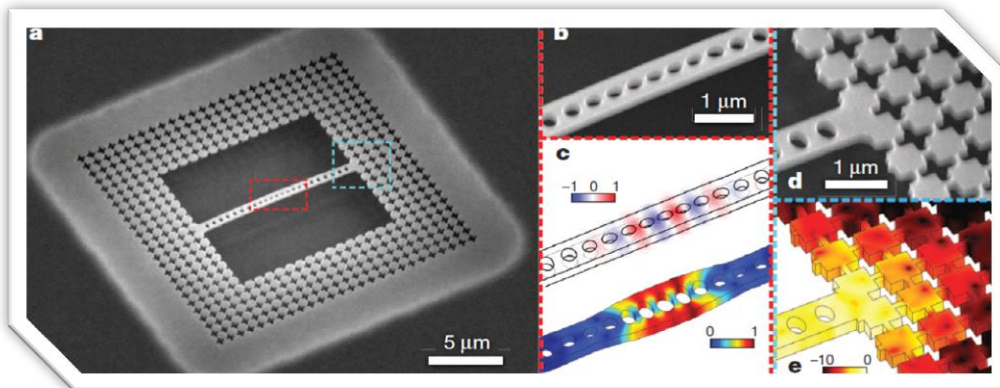
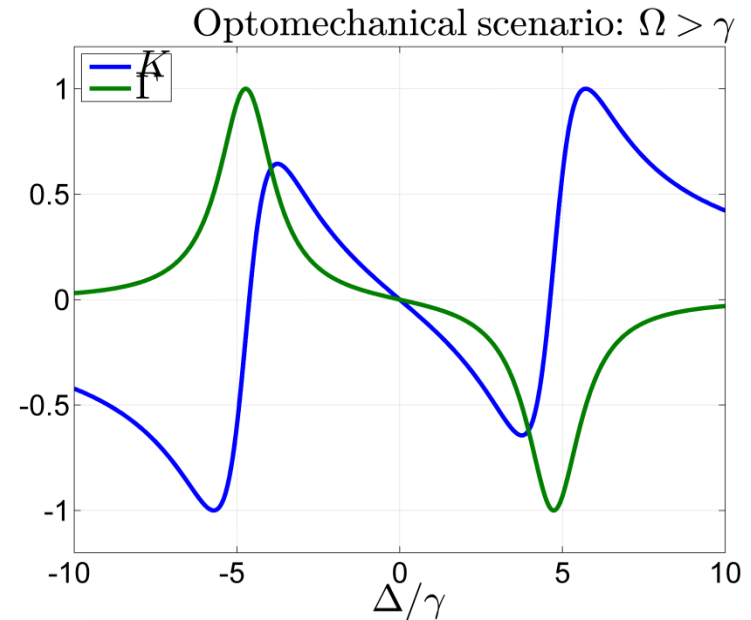




# Canonical dynamic back-action in OM

Optomechanics with micro-/nano-oscillators:

- Shift of mechanical frequency caused by optical spring  $K$  is mostly negligible compared to intrinsic resonance freq.  $\omega_m$ .
- Cooling by optical damping  $\Gamma$ , preferably at deeply resolved sideband,  $\Omega = \omega_m \gg \gamma$ .
  - *I. Wilson-Rae et al., PRL **99**, 093901 (2007);*
  - *F. Marquardt et al., PRL **99**, 093902 (2007).*
- Experiments on resolved-sideband ground-state cooling of micro-oscillators:
  - *J.D. Teufel et al., Nature **475**, 359 (2011);*
  - *J. Chan et al., Nature **478**, 89 (2011);*



# Dissipative coupling in optomechanics

F. Elste, S. Girvin, A. Clerk, PRL **102**, 207209, 2009

- Interaction Hamiltonian:

$$\mathcal{H}_{int} = g_{\omega} \hat{x} \hat{a}^{\dagger} \hat{a} + \frac{g_{\gamma}}{\sqrt{2\gamma}} \hat{x} \int \frac{d\omega}{2\pi} [\hat{a}^{\dagger}(\omega) \hat{a} - \text{h.c.}].$$

Modulation of the resonance frequency – *dispersive coupling* ( $g_{\omega} = \partial\omega/\partial x$ ), and of linewidth – *dissipative coupling* ( $g_{\gamma} = \partial\gamma/\partial x$ ).

- Unsymmetrized back-action noise spectral density:

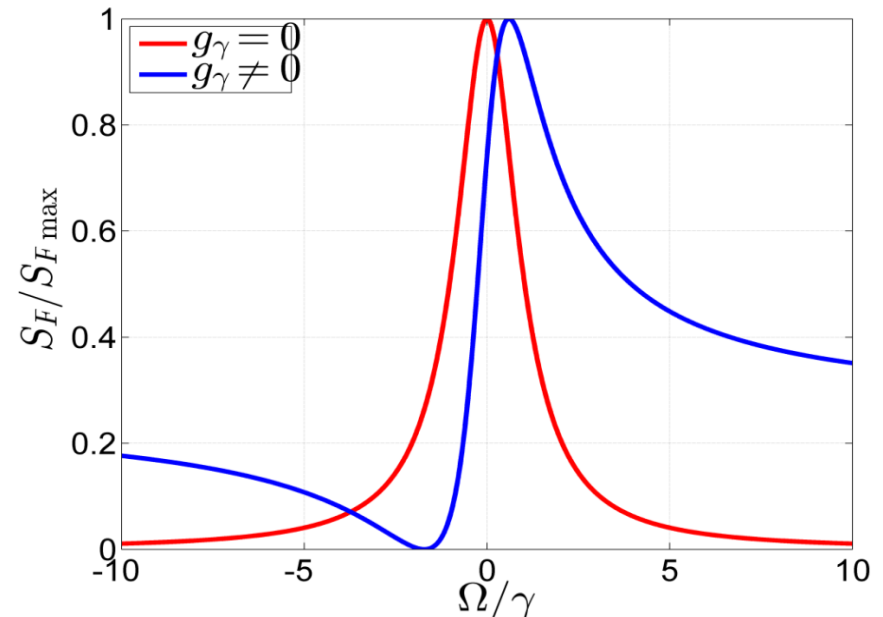
$$S_F(\Omega) \sim g_{\gamma} \frac{[\Omega + 2\Delta - A(g_{\omega}/g_{\gamma})\gamma]^2}{\gamma^2 + (\Delta + \Omega)^2}$$

*Fano resonance*! Interference of white (input) noise and Lorentz-filtered (intracavity) noise.

- Optical damping:

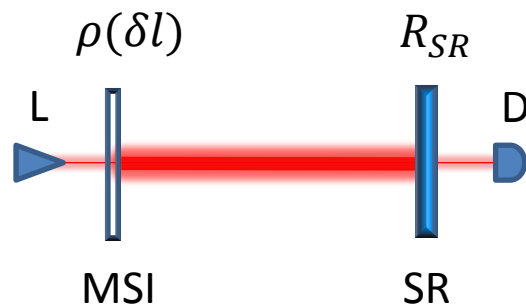
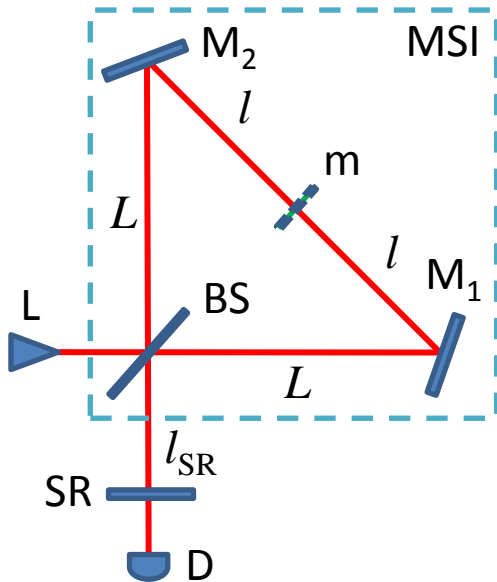
$$\Gamma = x_{\text{ZPF}}^2 [S_F(\omega_m) - S_F(-\omega_m)] / \hbar^2.$$

- Absorption rate  $S_F(-\omega_m)$  vanishes at  $\Delta = \omega_m/2 + A(g_{\omega}/g_{\gamma})\gamma/2$ .
- Ground-state cooling is possible for arbitrary ratio  $\omega_m/\gamma$ . For pure dispersive coupling only if  $\omega_m \gg \gamma$ .





# Michelson-Sagnac interferometer



Michelson-Sagnac interferometer as an effective mirror.

- Reflectance:

$$\rho = R_m(T_{BS}^2 e^{ik\delta l} + R_{BS}^2 e^{-ik\delta l}) + 2iT_m R_{BS} T_{BS},$$

- Transmittance:

$$\tau = -iR_m R_{BS} T_{BS} (e^{ik\delta l} - e^{-ik\delta l}) + T_m (T_{BS}^2 - R_{BS}^2).$$

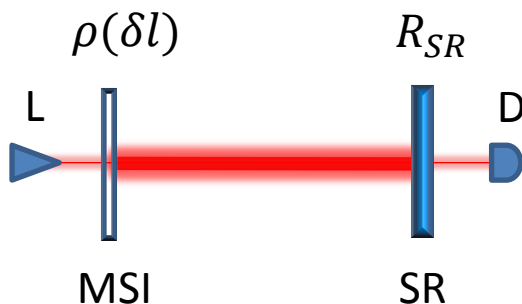
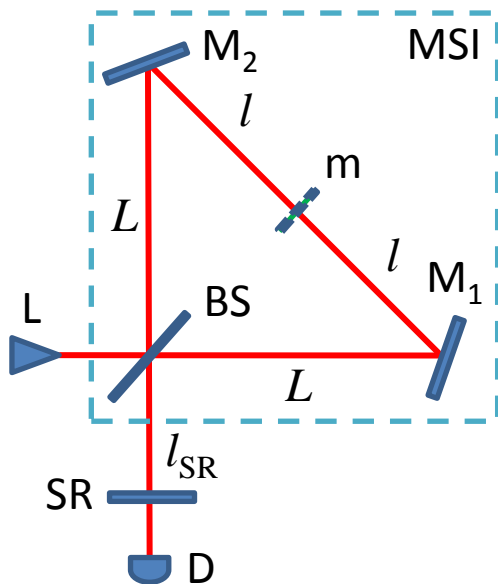
Reflectance/transmittance of the MSI depends on the position of the membrane  $x = \delta l/2$ .

- *K. Yamamoto et al., Phys. Rev. A* **81**, 033849 (2010);
- *D. Friedrich et al., New J. Phys.* **13**, 093017 (2011).

*A. Xuereb, R. Schnabel, K. Hammerer, PRL* **107**, 213604 (2011):

In the effective cavity approach, signal-recycled MSI features strong and tunable dispersive and dissipative couplings. Coupling strengths  $g_\omega$  and  $g_\gamma$  can be varied independently via tuning of beamsplitter imbalance  $|R_{BS}^2 - T_{BS}^2|$ , and membrane position  $x = \delta l/2$ .

# Michelson-Sagnac interferometer



Signal-recycled MSI as an effective cavity.

- Cavity resonance factor ( $\mathcal{L} = L + l + l_{SR}$ ):

$$\frac{1}{1 - R_{SR}\rho e^{2ik\mathcal{L}}} = \frac{1}{1 - R_{SR}|\rho|e^{2i\delta\mathcal{L}/c + i\arg\rho}}.$$

- Detuning of carrier from cavity resonance:

$$\Delta = \delta + \frac{\arg[\rho]^{(\text{off DP})}}{2\mathcal{L}/c},$$

dispersive coupling via  $\arg[\rho(\delta l)]$ .

- Cavity half-linewidth:

$$\gamma = \frac{1 - R_{SR}|\rho|}{2\mathcal{L}/c} \approx \frac{cT_{SR}^2}{4\mathcal{L}} + \frac{c\tau^2}{4\mathcal{L}},$$

dissipative coupling via  $\tau = \tau(\delta l)$ .

- Using transfer matrix approach in freq. domain, calculate fields on the membrane as linear functions of input fields  $\rightarrow$  radiation pressure force  $\rightarrow$  ponderomotive force  $\delta F(\Omega) = -\mathcal{K}(\Omega)x(\Omega)$ .

# Anomalous dynamic back-action in MSI

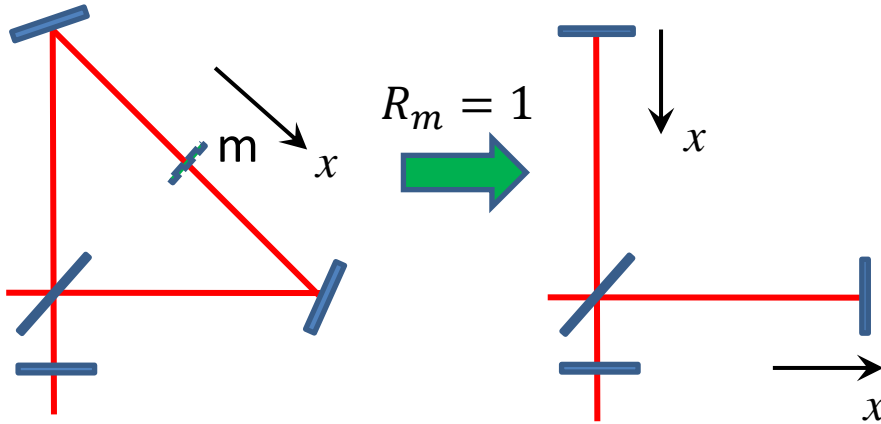
## Notations:

- Offset from dark fringe:  $\xi = \delta l - \delta l_{DP}, \quad \delta l_{DP} = n\lambda_0/2,$
- Linewidth due to SRM transmittance:  $\gamma_{SR} = cT_{SR}^2/4\mathcal{L},$
- Linewidth due to offset from dark port:  $\gamma_m = cR_m^2(k_0\xi)^2/4\mathcal{L},$
- Total half-linewidth:  $\gamma = \gamma_{SR} + \gamma_m,$
- Detuning due to SRM position at dark port:  $\delta_{SR} = \omega_0 - \omega_c,$
- Detuning due to offset from dark port:  $\delta_m = \pm cR_m T_m (k_0\xi)^2/4\mathcal{L},$
- Total detuning:  $\Delta = \delta_{SR} + \delta_m.$

- Complex optical spring (single mode, narrow band, small dark-fringe offset):

$$\mathcal{K}(\Omega) = \frac{4\omega_0 R_m^2 P_{in}}{c\mathcal{L}} \frac{1}{\gamma^2 + \Delta^2} \times \frac{\delta_{SR}[\gamma^2 + \Delta^2 - 4(\gamma\gamma_m + \Delta\delta_m)] + 2i(\gamma_{SR}\delta_m + \gamma_m\delta_{SR})\Omega + \delta_m\Omega^2}{\Delta^2 + (\gamma - i\Omega)^2}.$$

# Michelson-Sagnac → Michelson



- MSI with the 100% reflecting membrane is equivalent to a Michelson interferometer.
- Motion of the membrane corresponds to the differential motion of the end-mirrors.

- Complex optical spring ( $T_m = 0$ , hence  $\delta_m \sim T_m = 0$  and  $\Delta \equiv \delta_{SR}$ ):

$$\mathcal{K}(\Omega) = \frac{4\omega_0 P_{in}}{c\mathcal{L}} \frac{1}{\gamma^2 + \Delta^2} \frac{\Delta(\gamma^2 + \Delta^2 - 4\gamma\gamma_m) + 2i\gamma_m\Delta\Omega}{\Delta^2 + (\gamma - i\Omega)^2}$$

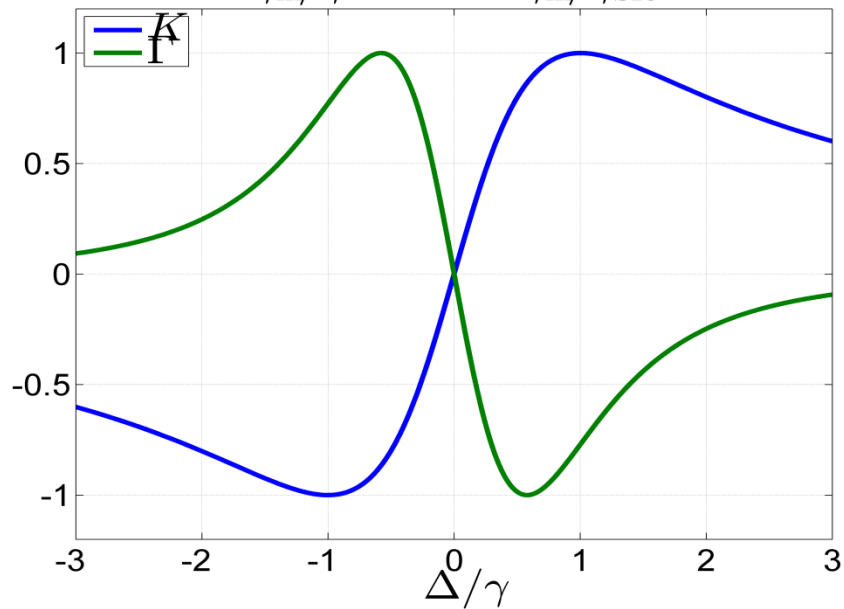
- Optical spring,  $\Omega \rightarrow 0$ :  $K = \Re[\mathcal{K}] = \frac{4\omega_0 P_{in}}{c\mathcal{L}} \frac{\Delta}{\gamma^2 + \Delta^2} \left[ 1 - \frac{4\gamma\gamma_m}{\gamma^2 + \Delta^2} \right]$   
crosses zero thrice, if  $\gamma_m > \gamma/4$ , or equivalently,  $\gamma_m > \gamma_{SR}/3$ .

- Optical damping,  $\Omega \rightarrow 0$ :  $\Gamma = -\Im[\mathcal{K}]/2\Omega = -\frac{4\omega_0 P_{in}}{c\mathcal{L}} \frac{\gamma\Delta}{(\gamma^2 + \Delta^2)^2} \left[ 1 - \frac{\gamma_m}{\gamma} \frac{3\gamma^2 - \Delta^2}{\gamma^2 + \Delta^2} \right]$

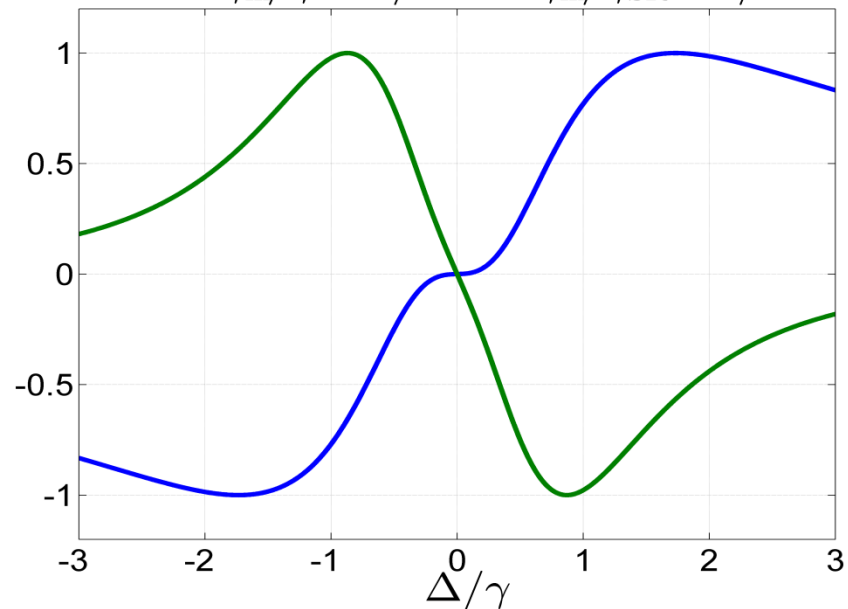
crosses zero thrice, if  $\gamma_m > \gamma/3$ , or equivalently,  $\gamma_m > \gamma_{SR}/2$ .

Both vanish at  $\Delta = 0$ . Intersections of positive/negative regions.

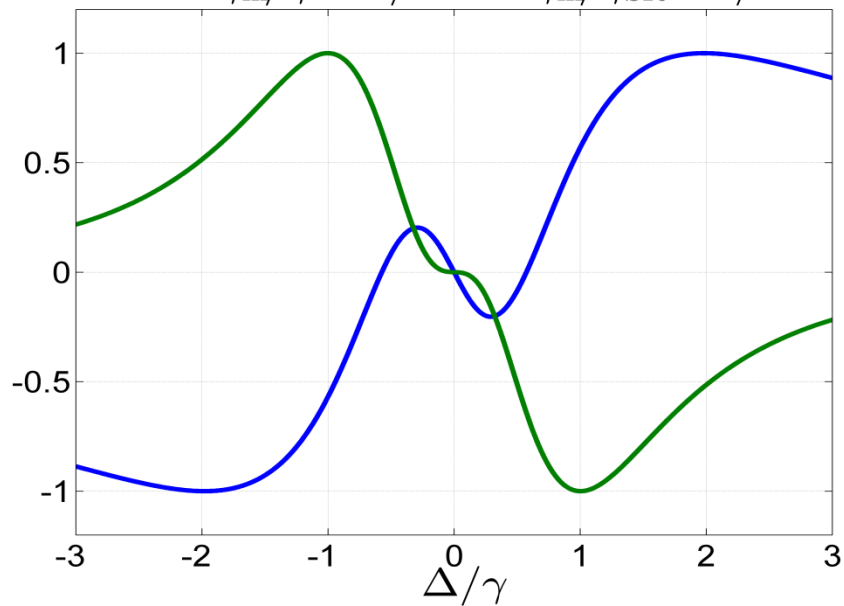
$$\gamma_m/\gamma = 0 \quad \text{or} \quad \gamma_m/\gamma_{\text{SR}} = 0$$



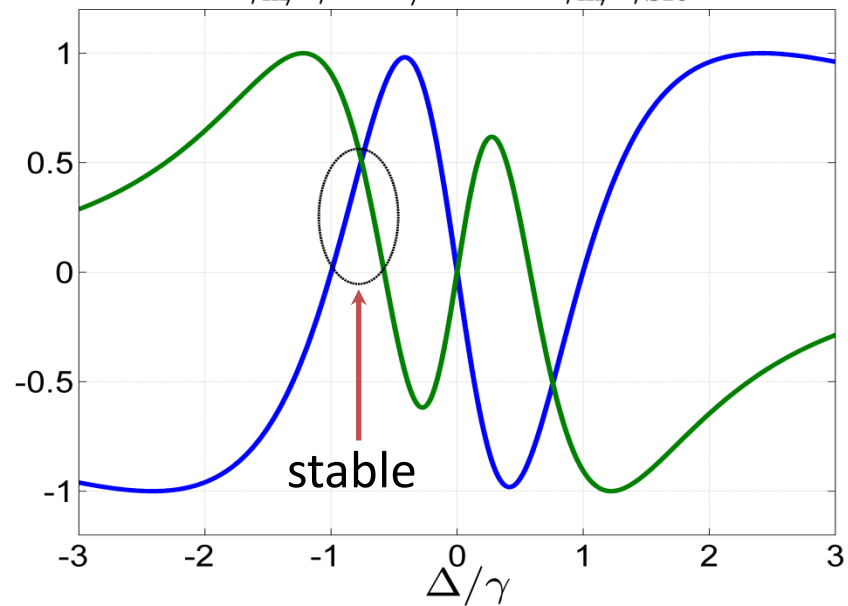
$$\gamma_m/\gamma = 1/4 \quad \text{or} \quad \gamma_m/\gamma_{\text{SR}} = 1/3$$



$$\gamma_m/\gamma = 1/3 \quad \text{or} \quad \gamma_m/\gamma_{\text{SR}} = 1/2$$



$$\gamma_m/\gamma = 1/2 \quad \text{or} \quad \gamma_m/\gamma_{\text{SR}} = 1$$

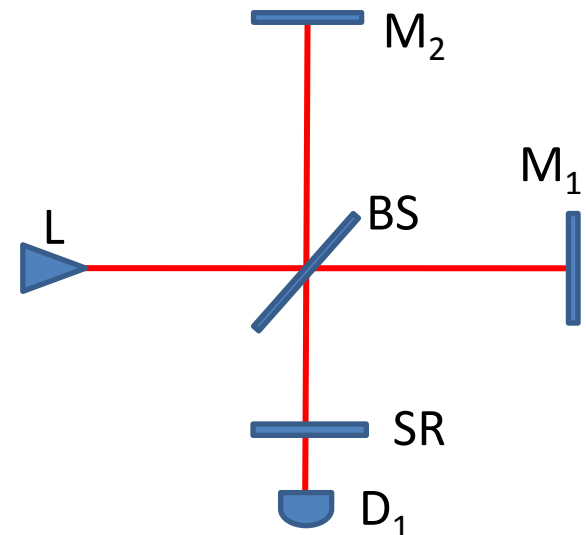
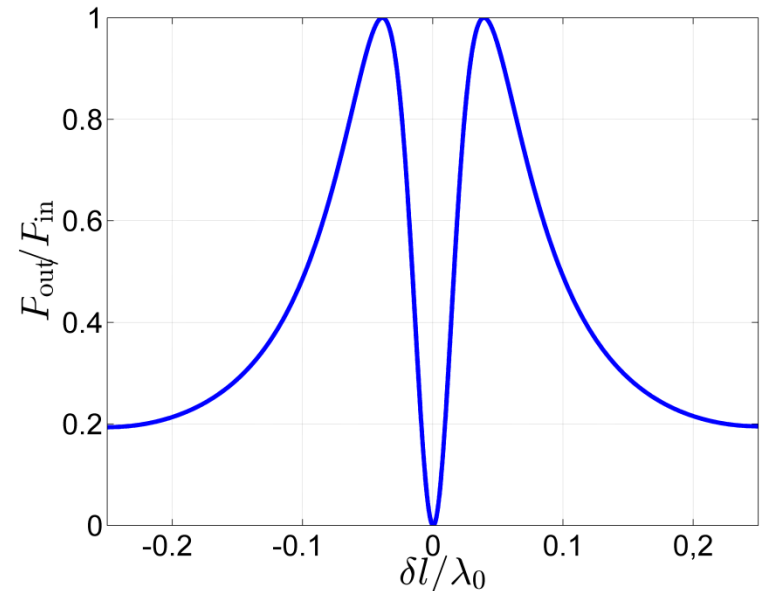


# Anomalous dynamic back-action in GWDs

- Problem: regimes with  $\gamma_m \sim \gamma_{SR}$ , or equivalently,  $\delta l \sim \lambda_0/\mathcal{F}$  (large offset from dark fringe) correspond to large values of transmitted power!
- DC readout operates at much lower offsets.

For anomalous optical spring to be manifest, some changes in the topology will be required. Possible solutions:

- Large dark fringe offset  $\rightarrow$  common mode leaks into detector port, differential mode leaks into laser port. Perform detection in laser port.
- Use intracavity topologies – optical bars/levers, local readout.
  - V.B. Braginsky et al., *PLA* **232**, 340 (1997);
  - F.Ya. Khalili, *PLA* **298**, 308 (2002);
  - H. Rehbein et al., *PRD* **76**, 062002 (2007).



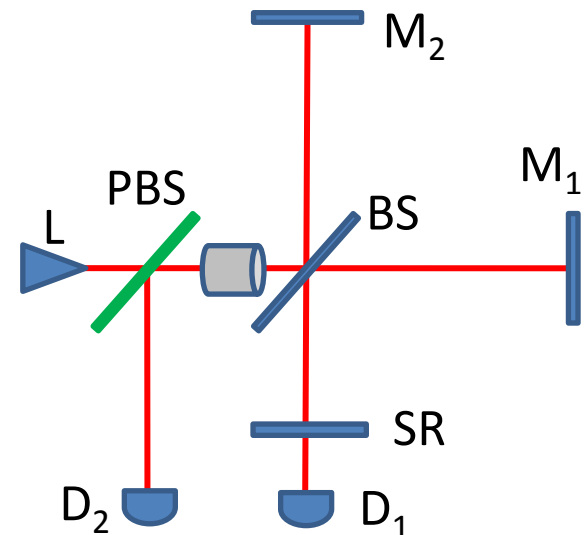
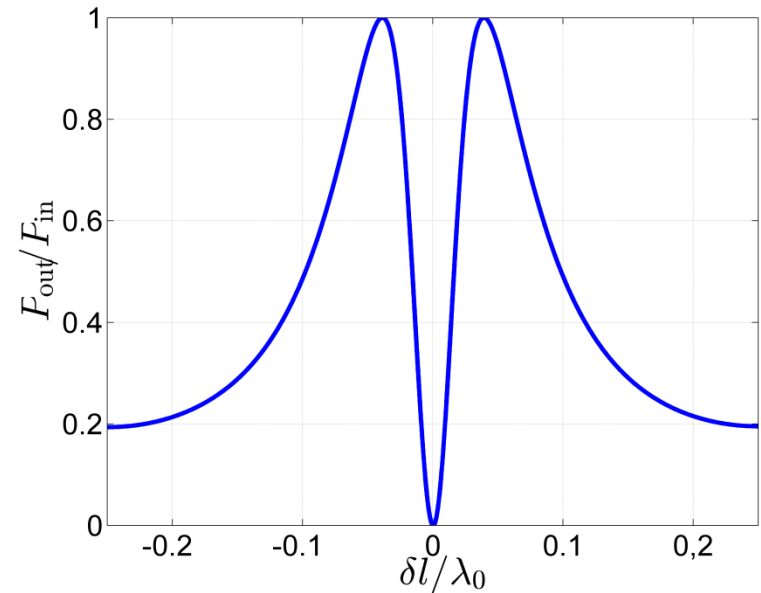


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# Anomalous dynamic back-action in MSI

## Notations:

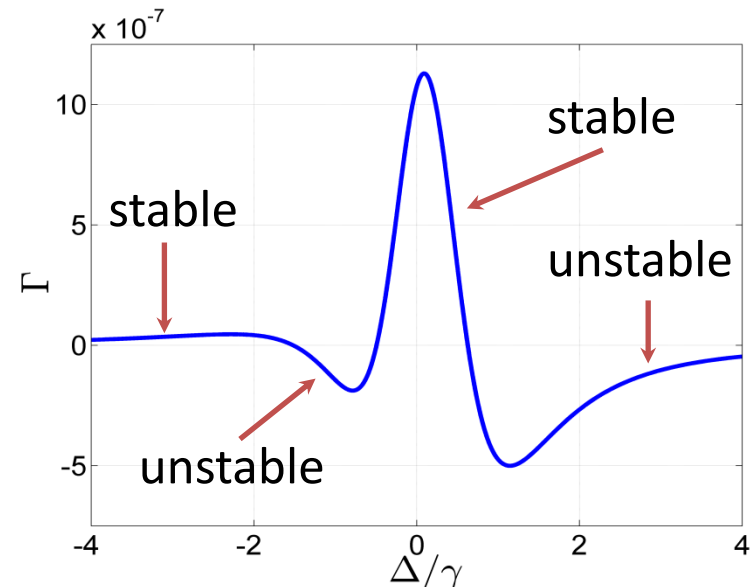
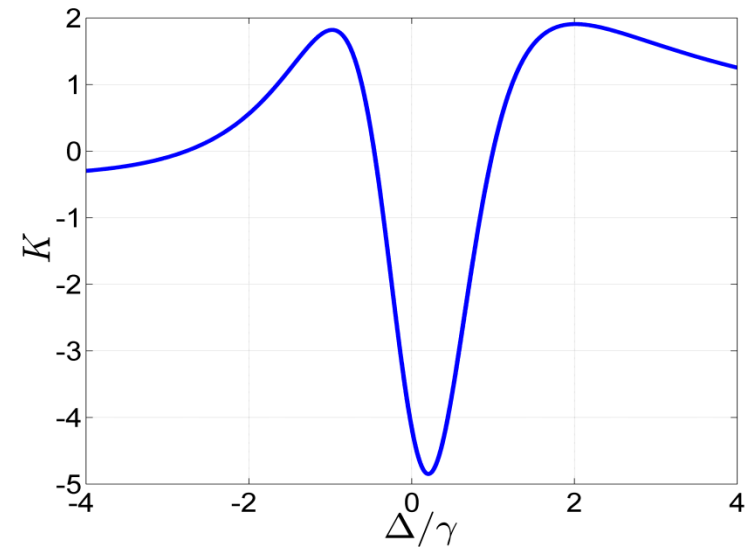
- Offset from dark fringe:  $\xi = \delta l - \delta l_{DP}, \quad \delta l_{DP} = n\lambda_0/2,$
- Linewidth due to SRM transmittance:  $\gamma_{SR} = cT_{SR}^2/4\mathcal{L},$
- Linewidth due to offset from dark port:  $\gamma_m = cR_m^2(k_0\xi)^2/4\mathcal{L},$
- Total half-linewidth:  $\gamma = \gamma_{SR} + \gamma_m,$
- Detuning due to SRM position at dark port:  $\delta_{SR} = \omega_0 - \omega_c,$
- Detuning due to offset from dark port:  $\delta_m = \pm cR_m T_m (k_0\xi)^2/4\mathcal{L},$
- Total detuning:  $\Delta = \delta_{SR} + \delta_m.$

- Complex optical spring (single mode, narrow band, small dark-fringe offset):

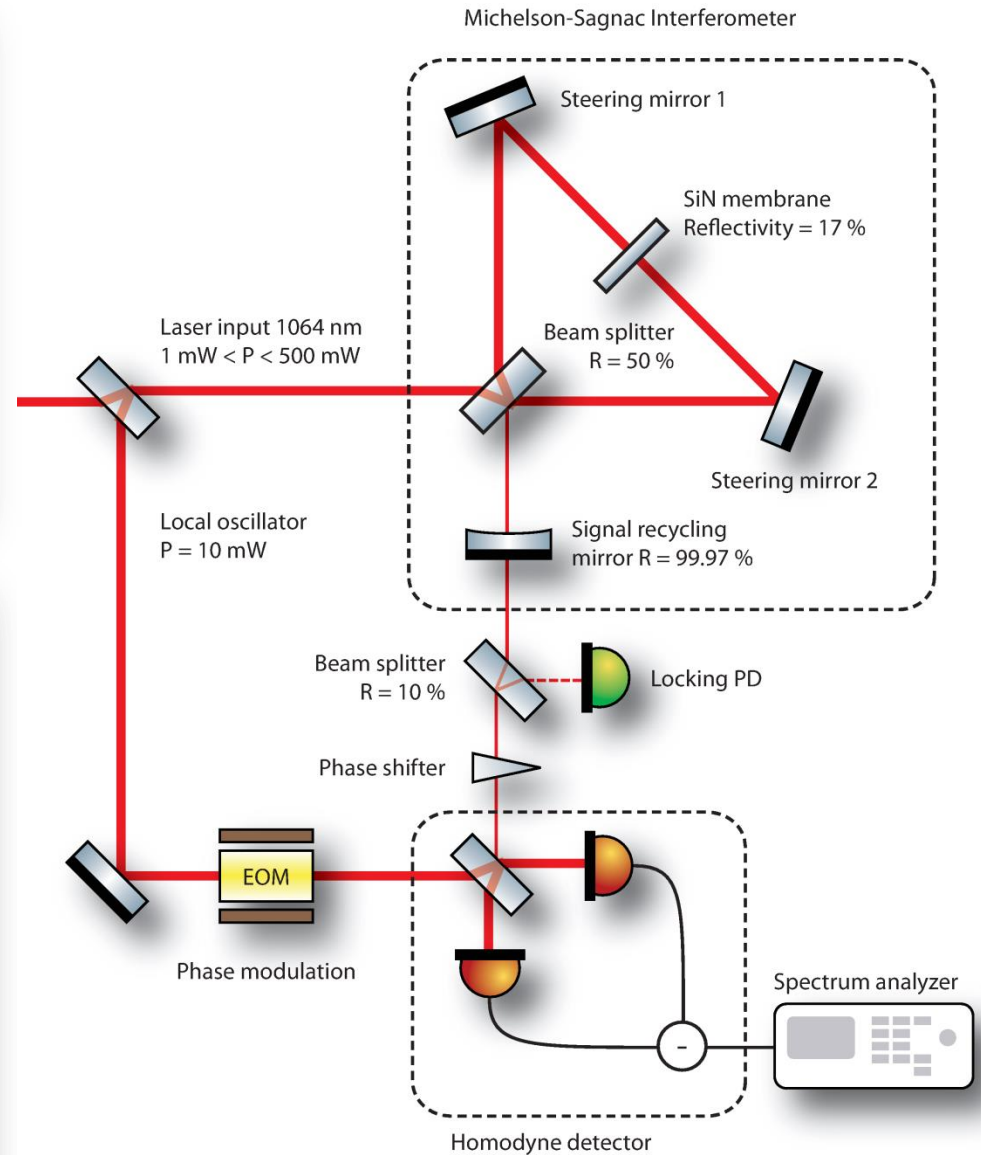
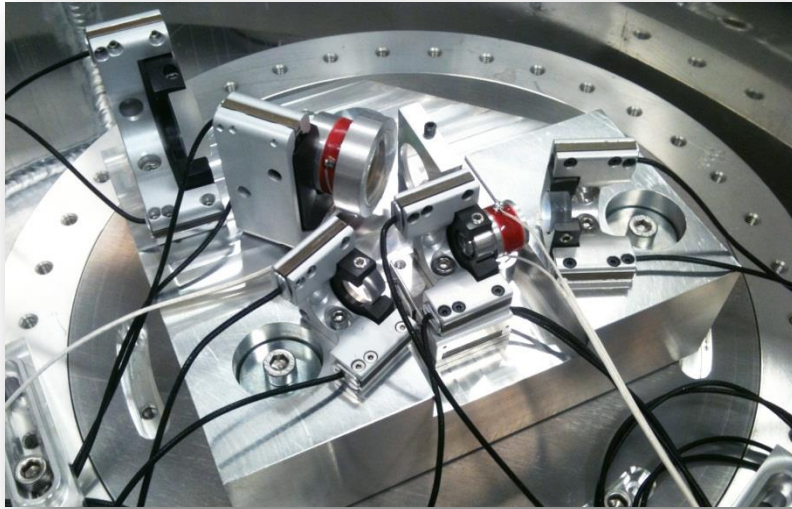
$$\mathcal{K}(\Omega) = \frac{4\omega_0 R_m^2 P_{in}}{c\mathcal{L}} \frac{1}{\gamma^2 + \Delta^2} \times \frac{\delta_{SR}[\gamma^2 + \Delta^2 - 4(\gamma\gamma_m + \Delta\delta_m)] + 2i(\gamma_{SR}\delta_m + \gamma_m\delta_{SR})\Omega + \delta_m\Omega^2}{\Delta^2 + (\gamma - i\Omega)^2}.$$

# Anomalous dynamic back-action in MSI

- Optical spring (usually small):
  - Non-zero on resonance – shift of mechanical freq. on resonance,
  - Two positive and negative regions.
- Optical damping:
  - Non-zero on resonance – cooling/heating of the membrane on resonance,
  - Two stable and unstable regions – new regimes of cooling/heating.
- Line shapes of spring and damping can be tuned via several parameters:
  - Offset from dark fringe,
  - SR transmittance,
  - Membrane transmittance,
  - Beamsplitter imbalance.



# Membrane experiment @ AEI

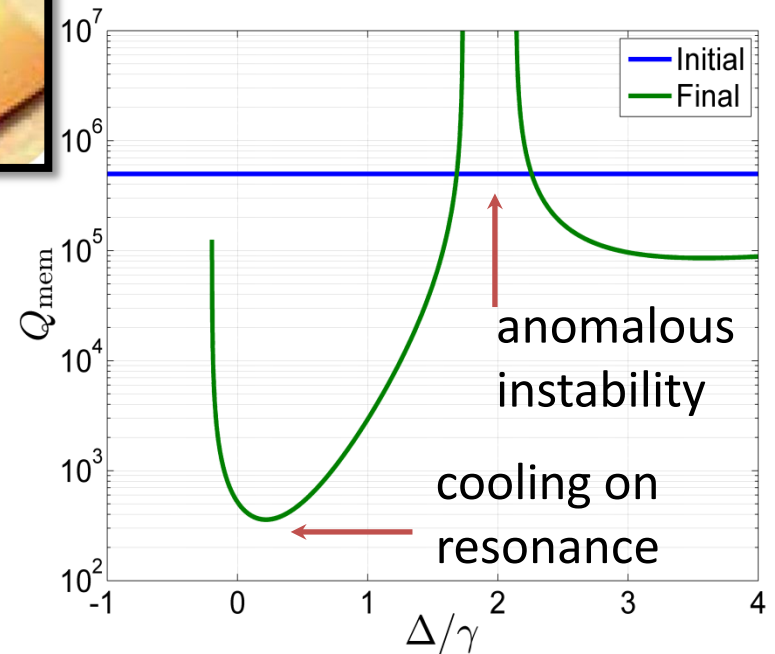


# Membrane experiment @ AEI

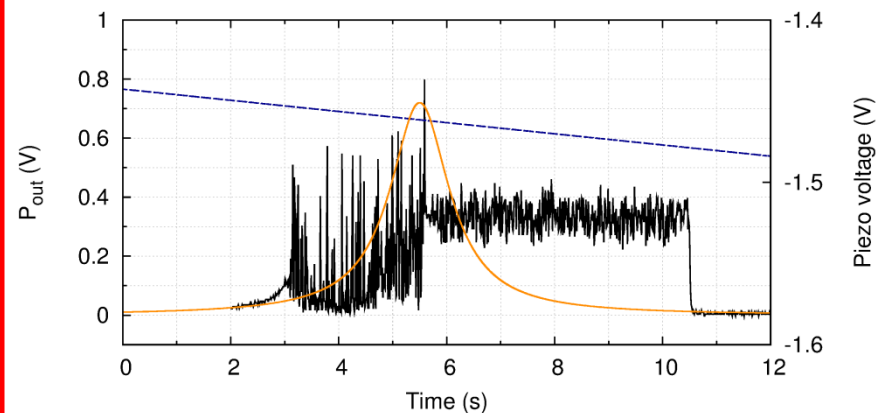


## Parameters:

- Cavity length  $\mathcal{L} = 8.7$  cm
- Carrier wavelength  $\lambda_0 = 1064$  nm
- Input power  $P_{in} = 1 \div 500$  mW
- Membrane power reflect.  $R_m^2 = 0.17$
- SRM power transmit.  $T_{SR}^2 = 3 \times 10^{-4}$
- Optical losses  $3 \div 5 \times 10^{-3}$
- Membrane mass  $m = 80$  ng
- Fundamental freq.  $f_m = 130$  kHz
- Intrinsic Q-factor  $Q = 5 \times 10^5$



- Anomalous instability region confirmed.
- Limit cycle observed.
- Cooling on resonance?



# Summary and conclusions

- Interferometers operated off dark port feature:
  - Anomalous dynamic back-action and violation of scaling law,
  - Strong dissipative coupling in the sense of cavity optomechanics.
- For optomechanics with micro- and nano-mechanical oscillators:
  - Optical damping acquires non-zero value on resonance – cooling/heating of the oscillator on resonance,
  - Additional stability/instability region – another regime of cooling/heating,
  - Shift of the mechanical frequency on resonance.
- For gravitational-wave detectors:
  - Intersecting regions of positive/negative values of optical spring and damping. Problem of control in DC-readout schemes?
  - Stable optical spring for a single carrier (some changes in topology needed),
  - Optical inertia with a single carrier? (to be explored),
- Power recycling and arm cavities? (under investigation),
- QND games? (to be explored),
- Emergence of anomalous instability confirmed experimentally,
- Available at: [arXiv:1212.6242 \[quant-ph\]](https://arxiv.org/abs/1212.6242).



**Thank you for your  
attention!**