

BBN and sterile neutrinos: A mini-review

F. L. Villante – Univ. AQ and INFN-LNGS

OUTLINE

- The physics of BBN
- Active-Sterile oscillations in early universe
- Is there evidence for non standard physics from BBN?

The physics of BBN

The abundances of ${}^4\text{He}$, D, ${}^3\text{He}$, ${}^7\text{Li}$ produced by BBN depends on the following quantities:

- Baryon density

$$\eta \equiv \frac{n_B}{n_\gamma} \quad \Omega_B h^2 = 3.7 \times 10^7 \eta$$

- Hubble expansion rate

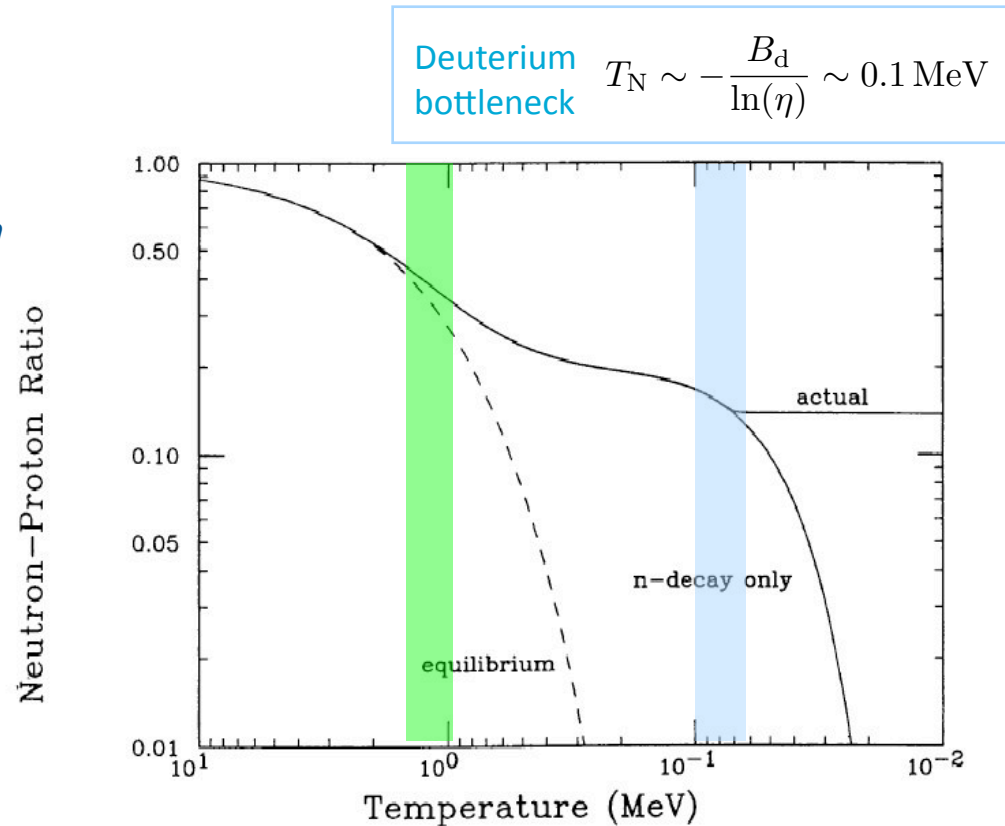
$$H \simeq g_\star^{1/2} G_N^{1/2} T^2$$

$$g_\star = 10.75 + \frac{7}{4} (N_\nu - 3)$$

$\Gamma_W = \text{Weak rate } (v_e + n \leftrightarrow p + e)$

$$\frac{H}{\Gamma_W} = 1 \quad \longrightarrow$$

$$\text{Weak interaction freeze-out} \quad T_W \sim 1 \text{ MeV} \cdot (g_\star/10.75)^{1/6}$$



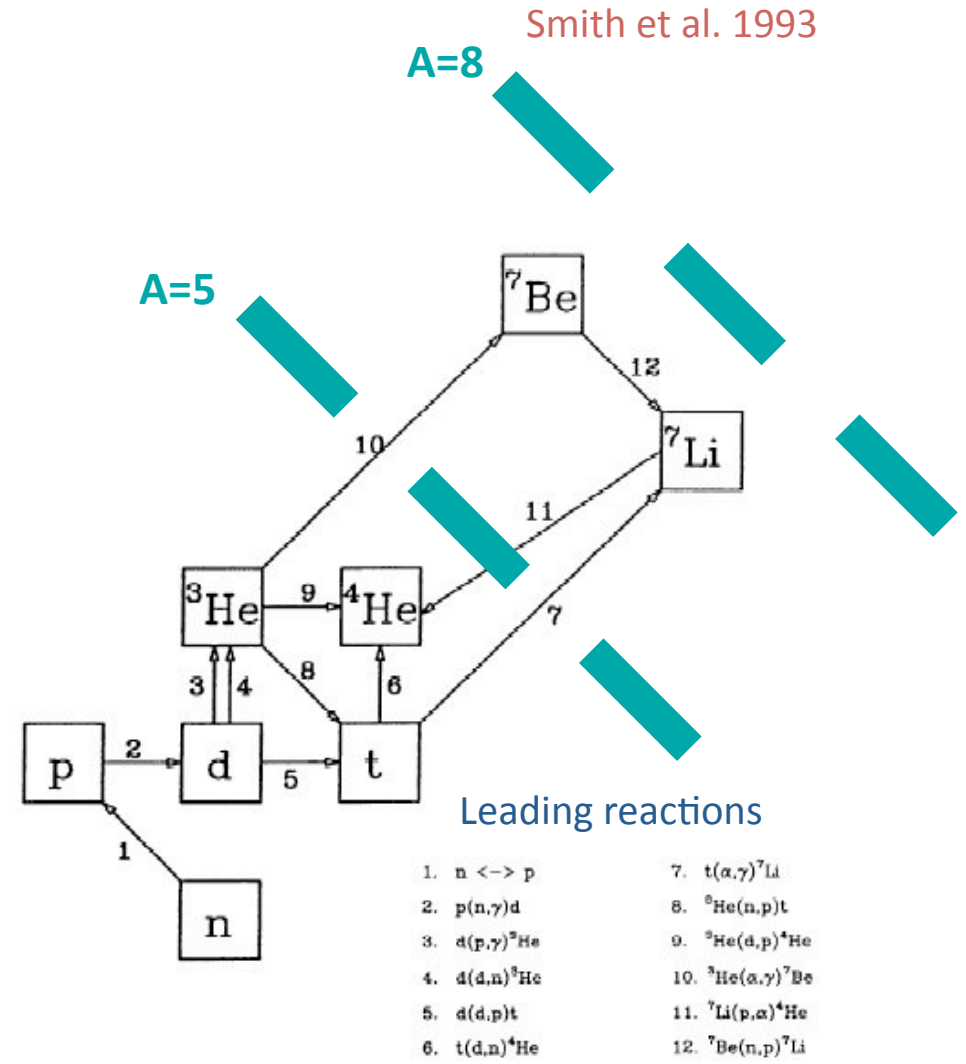
The Physics of BBN

Essentially all neutrons which survive till the onset of BBN are used to build ${}^4\text{He}$:

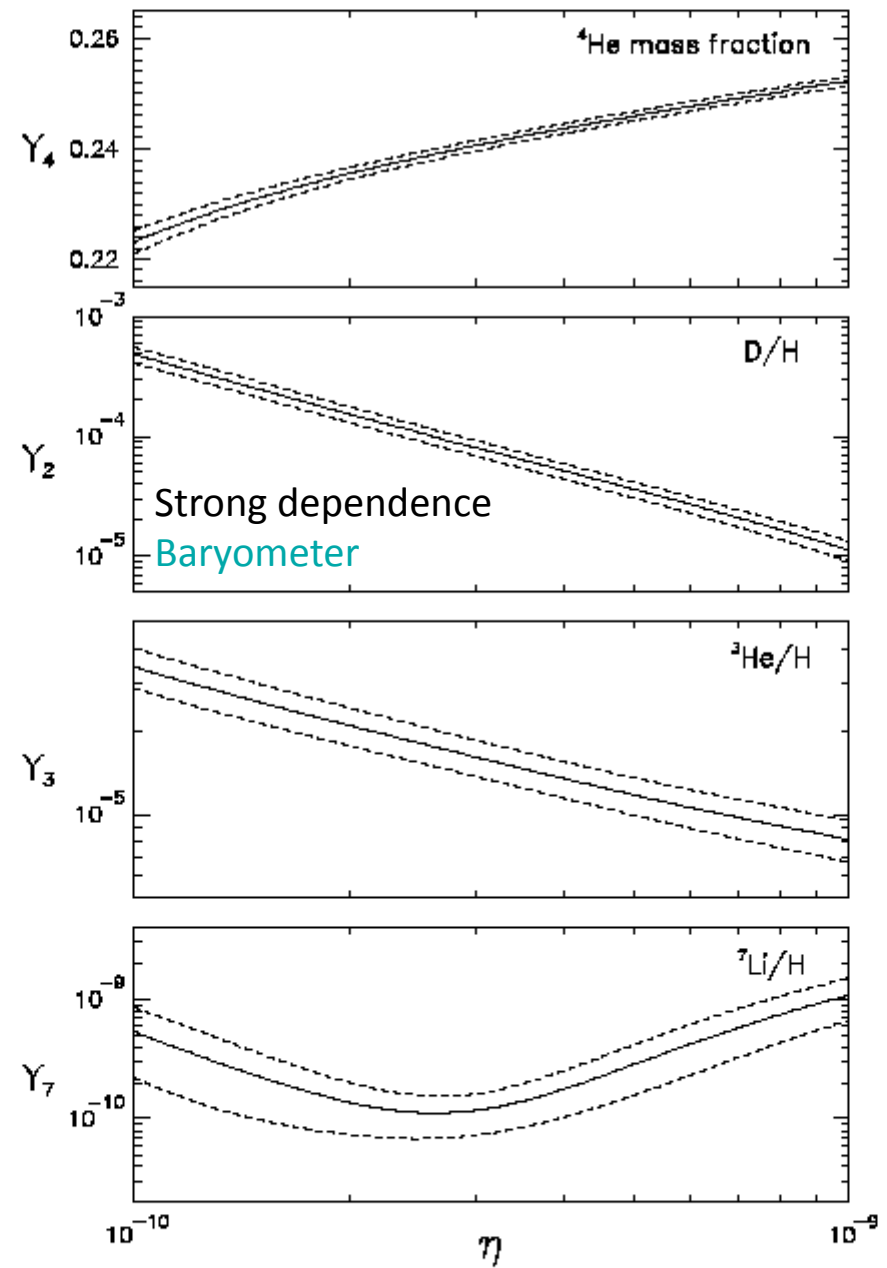
$$Y_p = \frac{2(n/p)}{1 + (n/p)} \simeq 0.25$$

The abundance of D , ${}^3\text{He}$, ${}^7\text{Li}$ is determined by a complex nuclear reaction network.

No stable nuclei with $A=5$ or $A=8$
 → No heavy nuclei are produced.



Light element abundances $Y_i(\eta) \pm 2\sigma_i(\eta)$



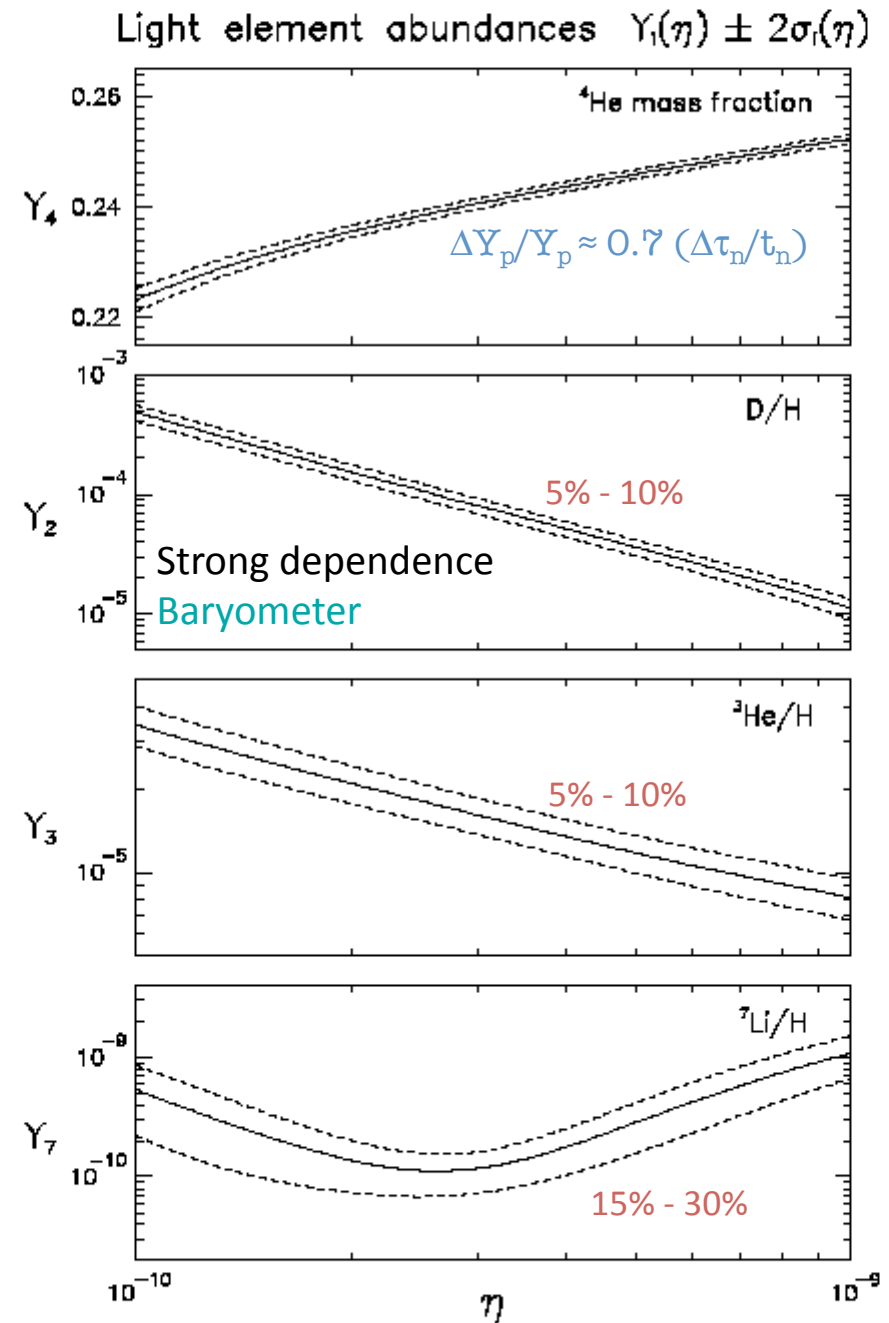
Accuracy and Uncertainties ...

Accuracy of ${}^4\text{He}$ calculation at the level of 0.1%.

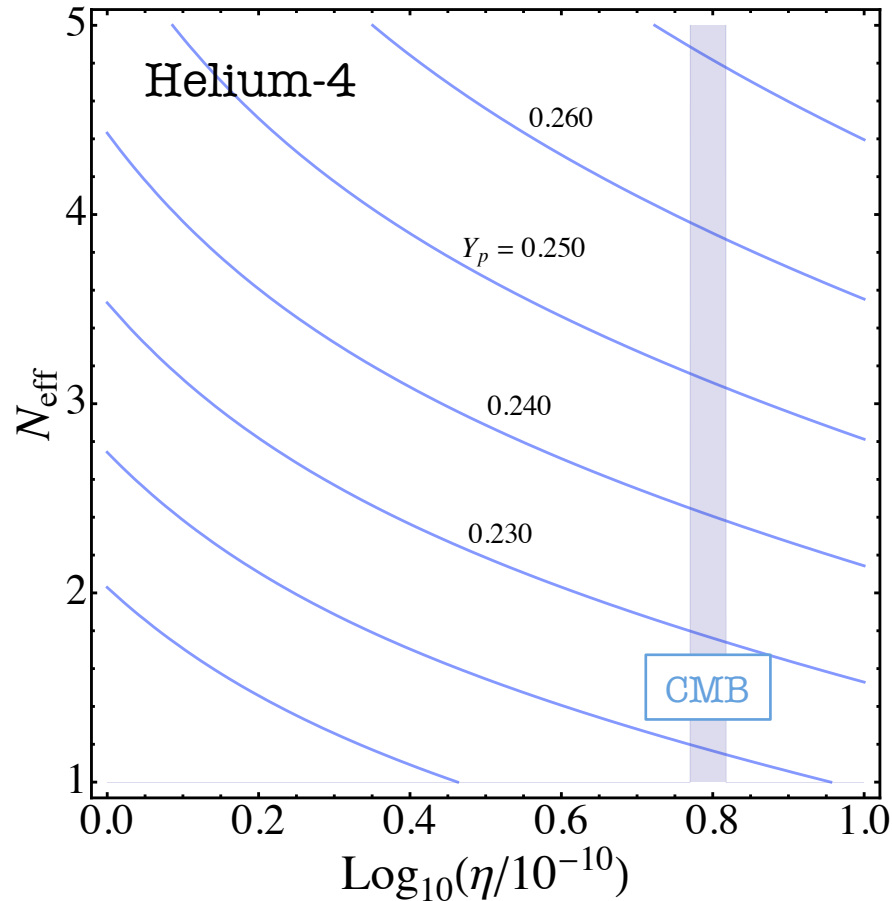
High precision codes (Lopez & Turner 1999, Esposito et al. 1999) take directly into account effects due to :
- zero and finite temperature radiative processes; non equilibrium neutrino heating during e^\pm annihilation; finite nucleon masses; ...

These effects are included “a posteriori” in the “standard” code (Wagoner 1973, Kawano 1992).

Reaction rate **uncertainties** translate into **uncertainties** in theoretical predictions \rightarrow **sub-dominant** with respect to systematic observational errors (see later).

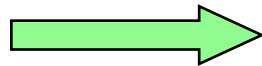


Understanding the dependence on N_ν ...



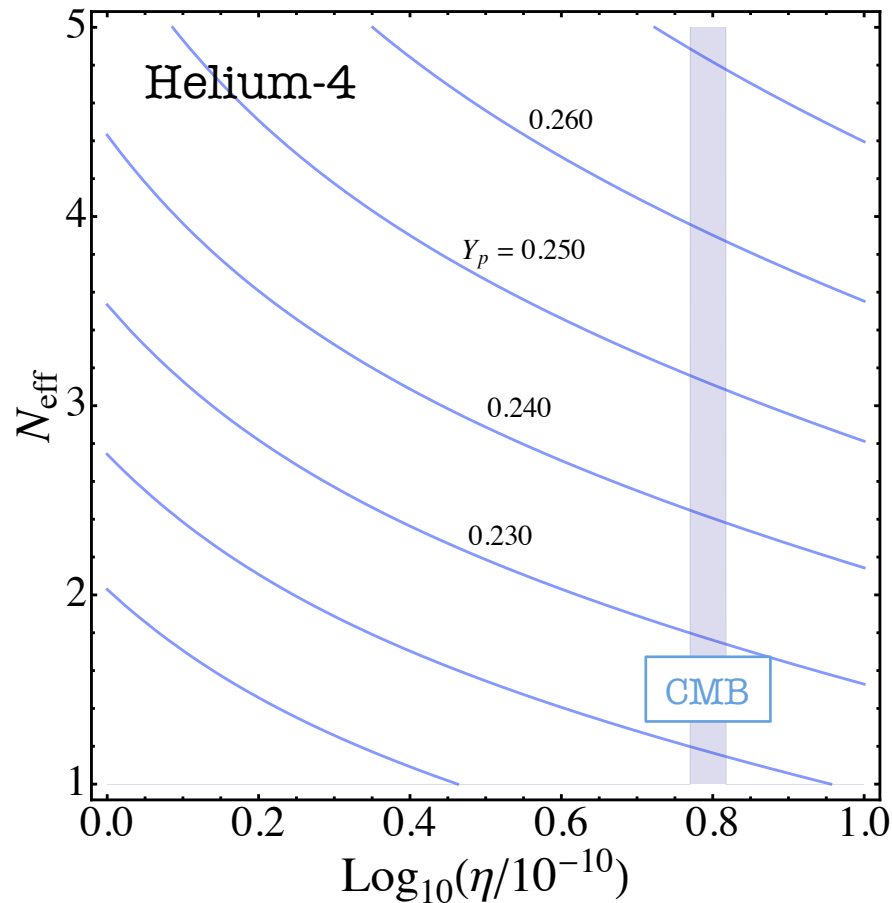
$$Y_p = \frac{2(n/p)}{1 + (n/p)} \simeq 2 \frac{\exp(-\Delta m/T_W)}{1 + \exp(-\Delta m/T_W)} \exp(-t_d/\tau_n)$$

$$\frac{\Gamma_W}{H} \simeq \frac{G_F^2 T_W^3}{g_*^{1/2} G_N^{1/2}} \simeq 1$$



$$\left\{ \begin{array}{l} T_W \sim 1 \text{ MeV} \cdot (g_*/10.75)^{1/6} \\ g_* = 10.75 + \frac{7}{4} (N_\nu - 3) \end{array} \right.$$

Understanding the dependence on N_ν ...



$$\Delta Y_p \sim 0.012 \Delta N_\nu$$

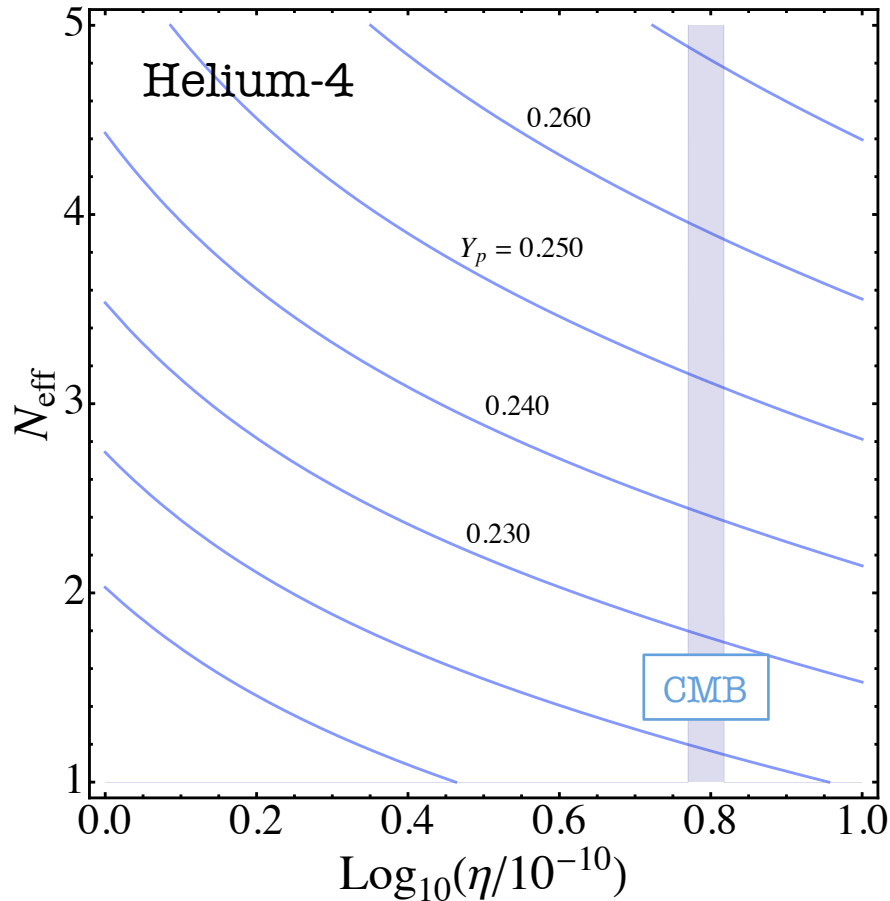
One extra neutrino corresponds to
5% variation of Y_p

Remember that ΔN_ν is an “effective” number.
Helium-4 is sensitive to:

$$\left. \frac{\Gamma_W}{H} \right|_{T \sim 1 \text{ MeV}}$$

- The expansion rate H at $T=1 \text{ MeV}$
→ New light particles, G_N , ξ_i, \dots
- The weak reaction rate Γ_W at $T=1 \text{ MeV}$
→ $G_F(\tau_n)$, ξ_e, \dots

Understanding the dependence on N_ν ...



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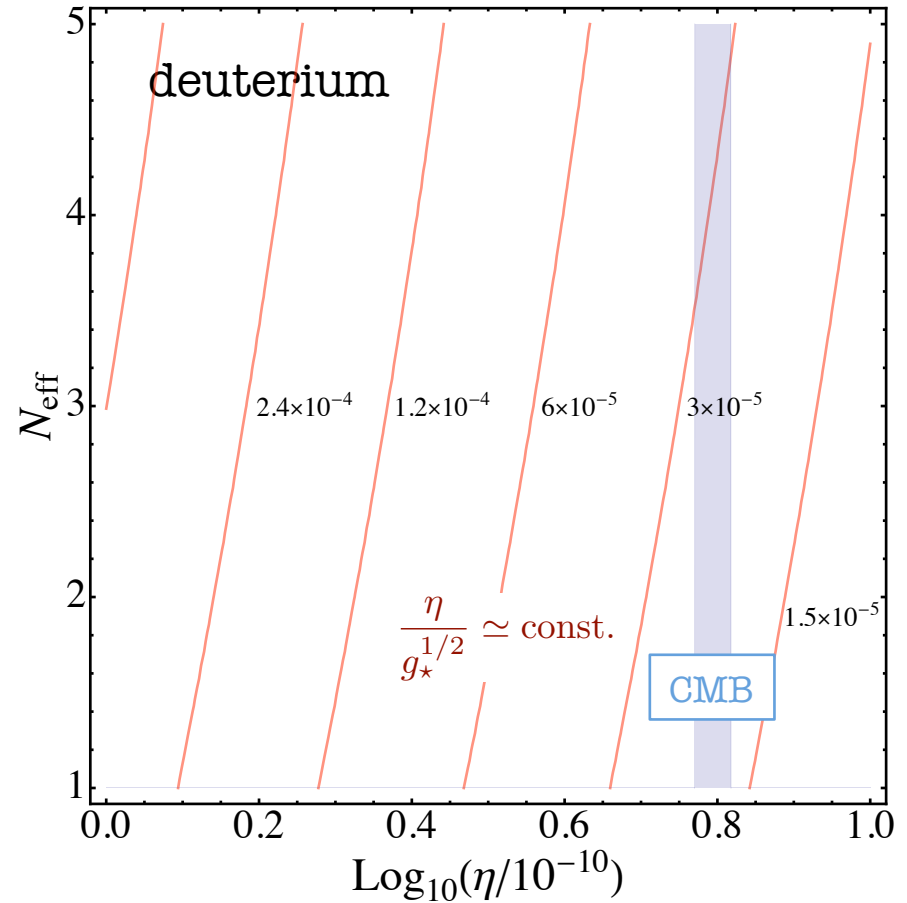
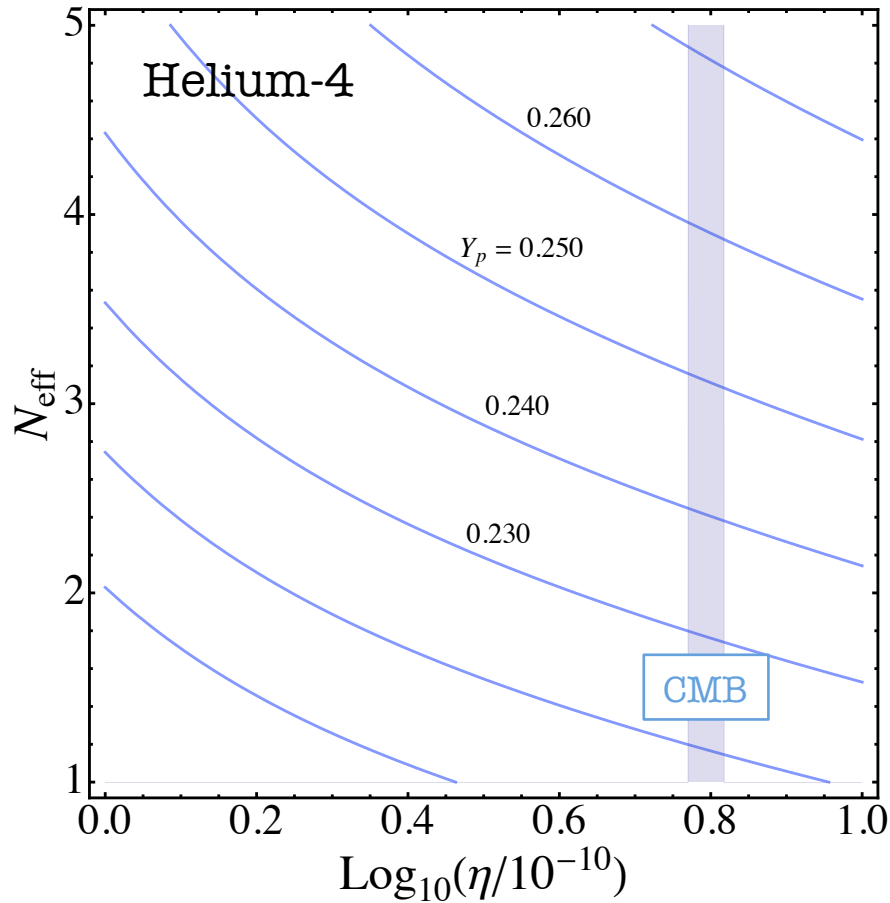
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$$\Delta Y_p / Y_p \approx 0.7 (\Delta \tau_n / t_n)$$

Understanding the dependence on N_{ν} ...



$$\frac{dY_i}{dt} \propto \eta \sum_{+,-} Y \times Y \times \langle \sigma v \rangle_T$$

$$\frac{dY_i}{dT} \propto \boxed{\frac{\eta}{g_{\star}^{1/2}}} T^{-3} \sum_{+,-} Y \times Y \times \langle \sigma v \rangle_T$$

Active-Sterile neutrino oscillations in the early universe

A. Dolgov, Phys.Rept. 2002

Review and references

A. Dolgov and F.L. Villante, Nucl.Phys.B 2005.
M. Cirelli, et al., Nucl.Phys.B 2005.

3+1 oscillations

Y. Chu and M. Cirelli, Phys.Rev.D74 2006

*(3+1) and lepton
asymmetries*

A.Melchiorri et al., JCAP 2007.

3+2 oscillations

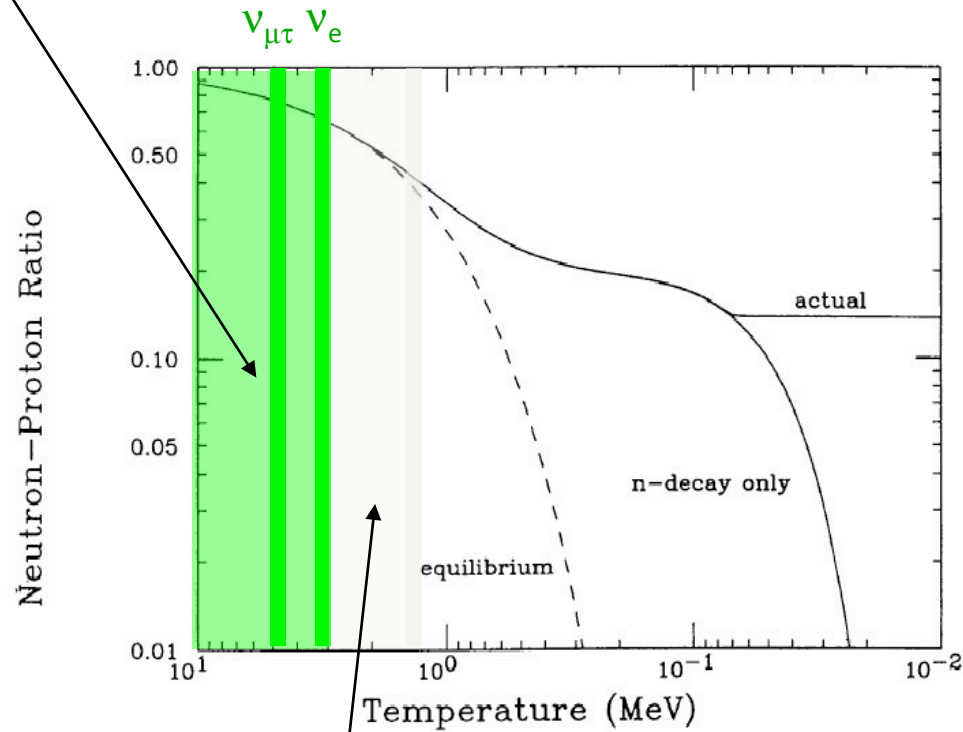
L. Krauss et al., arXiv:1009.4666
J. Hamann et al., Phys.Rev.Lett. 2010
E. Giusarma et al. arXiv:1102.4774

Recent dicussions.

+ many other notable refs

A closer look at relevant epochs for neutrinos:

Kinetic + chemical equilibrium
Ann./creat. neutrino reactions



Kinetic equilibrium
Elastic scattering



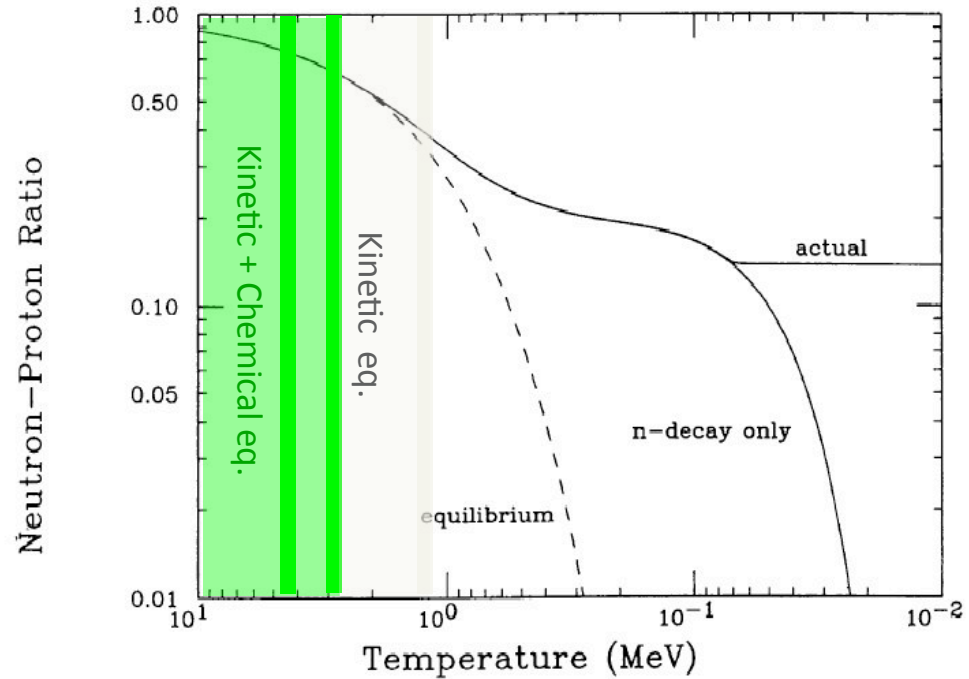
Sterile neutrinos ...

Sterile neutrinos can be brought into equilibrium by oscillations
 → Boost the Universe exp. rate

$\nu_e - \nu_s$ oscillations after chemical decoupling reduce ν_e number density
 → affect n/p interconversion rate.

$\nu_e - \nu_s$ oscillations after kinetic decoupling may produce ν_e spectral distortion
 → affect n/p interconversion rate.

A large lepton asymmetry in the sector of active neutrinos can be generated by MSW-resonance.
 (not considered in the following)



Energy density increase

$$\Delta N_\nu^{\text{BBN}} = \frac{4}{7} \left[\frac{10.75 + (7/4)\Delta N_\nu}{((1 + n_e)/2)^2} - 10.75 \right]$$

ν_e depletion

Neutrino oscillations in the early universe

Described by kinetic equations:

$$\left(\frac{\partial}{\partial t} - \tilde{H} p \frac{\partial}{\partial p} \right) \rho = i [H_0 + V_{\text{eff}}, \rho] + \text{c.b.t.} \quad \rho = \text{neutrino density matrix}$$

Coherence breaking terms due to:

- Annihilation $\nu\nu \leftrightarrow l\bar{l}$ $\nu_i \nu_i \leftrightarrow \nu_\beta \nu_\beta$
- Elastic scattering $\nu l \leftrightarrow \nu l$

$$H_0 = U \frac{M^2}{2p} U^\dagger$$

$$(V_{\text{eff}})_{\text{aa}} = \pm C_1 \eta_a G_F T^3 + C_{2,a} \frac{G_F^2 T^4 E}{\alpha}$$

Generally dominant term in potential

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \nu_s \end{pmatrix} = U \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \nu_4 \end{pmatrix}$$

U = neutrino mixing matrix

Among all the references:
Dolgov, Barbieri 1990

Neutrino oscillations: 1 active + 1 sterile

$$x \equiv m/T$$

$$y \equiv E/T$$

Analytic estimates are possible:

$$\mathcal{H} = \text{neutrino hamiltonian}$$

$$\gamma = \text{neutrino interact. rates}$$

$$Hx \partial_x \rho_{aa} = i\mathcal{H}_{as}(\rho_{as} - \rho_{sa}) - I_{\text{coll}(q)}$$

$$Hx \partial_x \rho_{ss} = -i\mathcal{H}_{as}(\rho_{as} - \rho_{sa})$$

$$Hx \partial_x \rho_{as} = -i [(\mathcal{H}_{aa} - \mathcal{H}_{ss}) - i\gamma_{as}] \rho_{as} + i\mathcal{H}_{as}(\rho_{aa} - \rho_{ss})$$

For $\delta m^2 > 10^{-6} \text{ eV}^2$, sterile neutrino production occurs at “high” temperatures:

$$T_{\text{prod}}^{\nu_s} \sim 10 \text{ MeV} (3/y)^{1/3} (\delta m^2 / \text{eV}^2)^{1/6}$$

Neutrino interaction rates (γ_{as}) are large compared to hubble expansion rate (H)

→ Quasi stationary approximation for off-diagonal components:

$$\rho_{as} = \frac{\mathcal{H}_{as}}{(\mathcal{H}_{aa} - \mathcal{H}_{ss}) - i\gamma_{as}} (\rho_{aa} - \rho_{ss})$$

In presence of “late” resonance ($\mathcal{H}_{aa} - \mathcal{H}_{ss} = 0$) the behaviour of ρ_{as} through resonance can be obtained by saddle-point integration.

Non-resonance case

$m_s > m_a$ in the small mixing angle limit

The rate of ν_s production is:

$$\Gamma_s = (\Gamma_{\text{act}} / 4) \sin^2(2\theta_{\text{matter}})$$

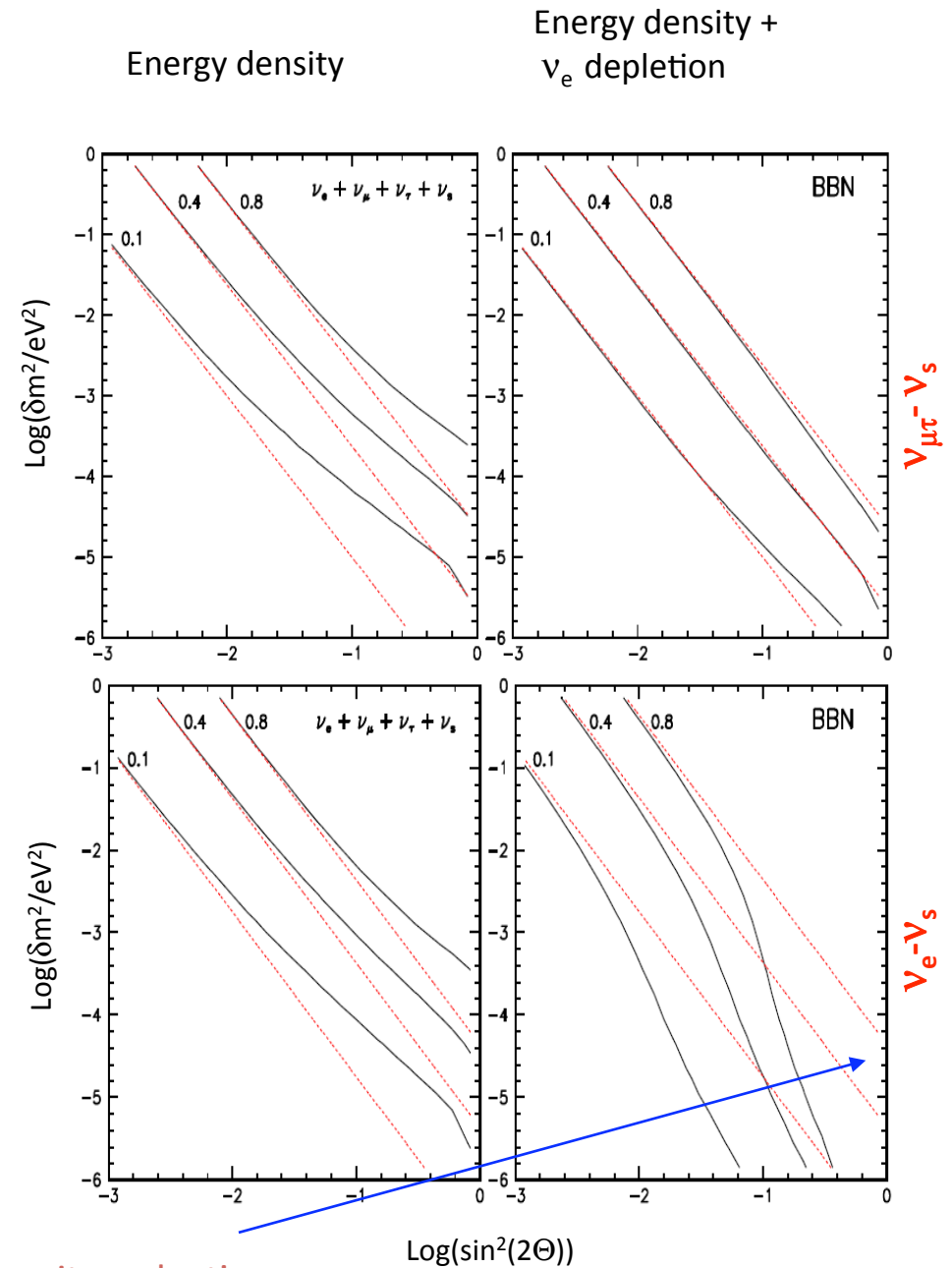
This gives (re-adapted from Dolgov 2001):

$$\Delta N_\nu \simeq 1 - \exp(-M/A_\alpha) \sim M/A_\alpha$$

where:

$$M = \left(\frac{\delta m^2}{\text{eV}^2} \right)^{1/2} \frac{\sin^2(2\theta)}{4}$$

$$\begin{cases} A_e & = & 1.4 \times 10^{-3} & \nu_e - \nu_s \text{ mixing} \\ A_{\mu\tau} & = & 1.0 \times 10^{-3} & \nu_\mu - \nu_s \text{ mixing} \end{cases}$$



ν_e number density reduction.
Relevant effect for small mass differences.

Resonance Case

$m_s < m_a$ in the small mixing angle limit

Our analytic results coincide (in the proper limit) with the Landau-Zener description of resonance crossing:

$\nu_\mu - \nu_s$ mixing

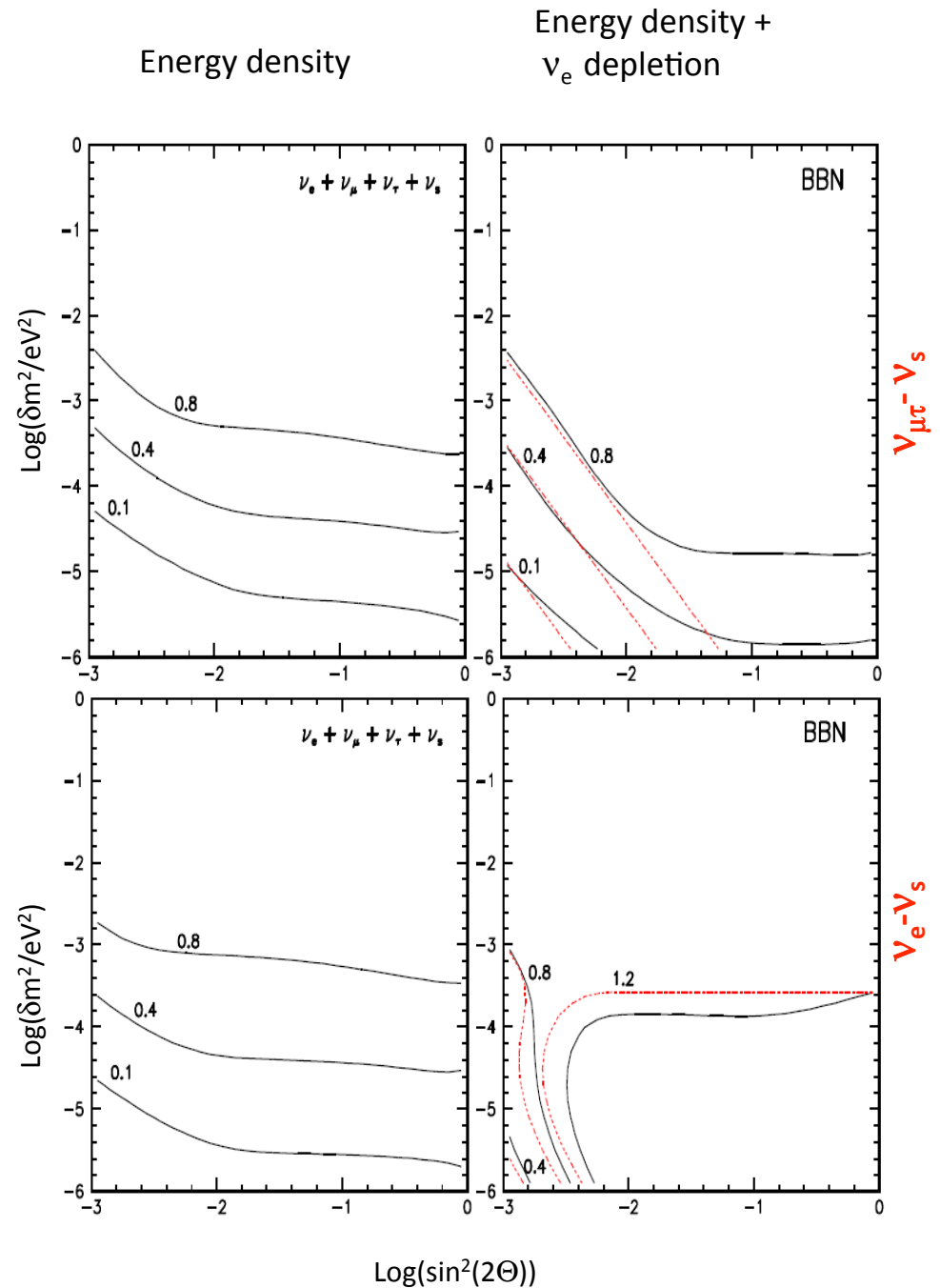
$$(\delta m^2 / \text{eV}^2) \sin^4(2\theta) < 1.9 * 10^{-9} (\Delta N\nu)^2$$

$\nu_e - \nu_s$ mixing

$$(\delta m^2 / \text{eV}^2) \sin^4(2\theta) < 5.9 * 10^{-10} (\Delta N\nu)^2$$

Dolgov and FLV 2004

Our results show **larger effects** respect to previous estimates (e.g. by [Enqvist et al. 1992](#), [Shi et al. 1993](#))



Neutrino oscillations: 3 active + 1 sterile

Active neutrinos are now known to be mixed.

Their mixing should be taken into account together with $\nu_{\text{act}} - \nu_s$ mixing:

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \nu_s \end{pmatrix} = \begin{pmatrix} & & & \eta_1 \\ & U_{ACT} & & \eta_2 \\ & & & \eta_3 \\ \varepsilon_1 & \varepsilon_2 & \varepsilon_3 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \nu_4 \end{pmatrix} \quad \vec{\eta} = -\vec{U}_{ACT} \cdot \vec{\varepsilon}$$

4

3

2

1

$(\delta m^2)_{14} = \text{variable}$

$(\delta m^2)_{23} = (\delta m^2)_{\text{atmo}}$

$(\delta m^2)_{12} = (\delta m^2)_{\text{solar}}$

ν_s mixing with **one** flavour eigenstate (e.g. $\eta_1 \neq 0, \eta_2, \eta_3 = 0$)
 \rightarrow **three** different δm^2 ($\varepsilon_1, \varepsilon_2, \varepsilon_3 \neq 0$), new resonances

ν_s mixing with one mass eigenstate (e.g. $\varepsilon_1 \neq 0, \varepsilon_2, \varepsilon_3 = 0$)
 \rightarrow one δm^2 , oscillation into **mixed** flavours ($\eta_1, \eta_2, \eta_3 \neq 0$)

N.B. Mixing among active neutrinos cannot be rotated away, because BBN is flavour sensitive.

Neutrino oscillations: 3 active + 1 sterile

Problem partially simplified considering that early universe does not distinguish ν_μ and ν_τ

$$\begin{pmatrix} \nu_e \\ \nu_\mu' \\ \nu_\tau' \\ \nu_s \end{pmatrix} = \begin{pmatrix} c_{12} & s_{12} & & \\ -s_{12} & c_{12} & & \\ & & 1 & \\ \varepsilon_1 & \varepsilon_2 & \varepsilon_3 & 1 \end{pmatrix} \begin{pmatrix} \eta_1' \\ \eta_2' \\ \eta_3' \\ 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \nu_4 \end{pmatrix} \quad \vec{\eta}' = -\vec{U}_{ACT}' \cdot \vec{\varepsilon}$$

Analytic estimates: η_3'

$$\begin{aligned} (\delta m_{43}^2 / \text{eV}^2) (2\eta_3')^4 &= 1.74 \cdot 10^{-5} \ln^2(1 - \Delta N_\nu) && \text{for } m_4 \geq m_3 \\ (|\delta m_{43}^2| / \text{eV}^2) (2\eta_3')^4 &= 1.9 \cdot 10^{-9} \ln^2(1 - \Delta N_\nu) && \text{for } m_4 < m_3 \end{aligned}$$

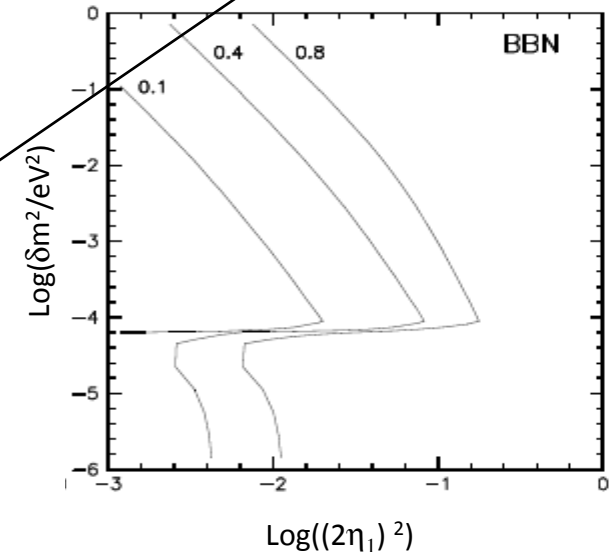
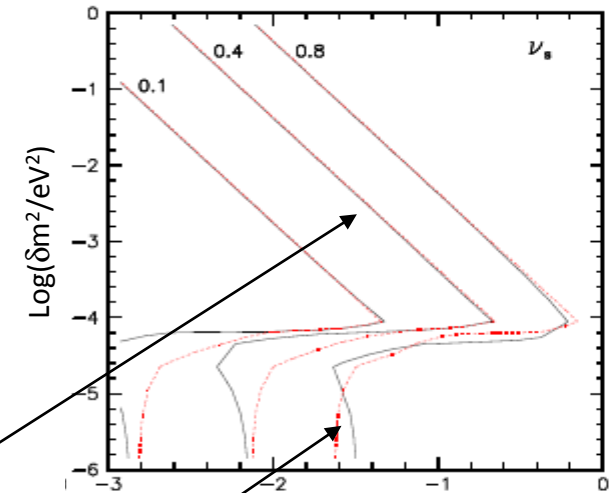
Analytic estimates: η_1' and η_2'

$$\begin{aligned} (\delta m_{41}^2 / \text{eV}^2) (2\eta_1')^4 &= 3.16 \cdot 10^{-5} \ln^2(1 - \Delta N_\nu) \\ (\delta m_{42}^2 / \text{eV}^2) (2\eta_2')^4 &= 1.74 \cdot 10^{-5} \ln^2(1 - \Delta N_\nu) \\ &&& \text{for } m_4 \geq m_2 > m_1 \end{aligned}$$

$$(|\delta m_{42}^2| / \text{eV}^2) [2(\eta_1' \sin(\theta_{12}) + \eta_2' \cos(\theta_{12}))]^4 = 1.9 \cdot 10^{-9} \ln^2(1 - \Delta N_\nu) \quad \text{for } m_2 \geq m_4 > m_1$$

$$\begin{aligned} (|\delta m_{41}^2| / \text{eV}^2) (2\eta_1')^4 &= 5.2 \cdot 10^{-10} \ln^2(1 - \Delta N_\nu) \\ (|\delta m_{42}^2| / \text{eV}^2) (2\eta_2')^4 &= 1.9 \cdot 10^{-9} \ln^2(1 - \Delta N_\nu) \\ &&& \text{for } m_2 > m_1 > m_4 \end{aligned}$$

Complete numerical calculation



3 active + 1 sterile: the case for large δm^2

If m_4 is large, we can assume $\delta m^2_{12} \approx \delta m^2_{13} \approx 0$:

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \nu_s \end{pmatrix} = \begin{pmatrix} 1 & & & \eta_1 \\ & 1 & & \eta_2 \\ & & 1 & \eta_3 \\ \varepsilon_1' & \varepsilon_2' & \varepsilon_3' & 1 \end{pmatrix} \begin{pmatrix} \nu_1' \\ \nu_2' \\ \nu_3' \\ \nu_4 \end{pmatrix} \quad \vec{\eta} = -\vec{\varepsilon}'$$

The relevant parameter is:

$$M = (\delta m_{41}^2 / \text{eV}^2)^{1/2} (|\eta_e|^2 + |\eta_\mu|^2 + |\eta_\tau|^2)$$

$$\Delta N_\nu \simeq 1 - \exp(-M/A) \sim \frac{(\delta m_{41}^2 / \text{eV}^2)^{1/2}}{10^{-3}} (|\eta_e|^2 + |\eta_\mu|^2 + |\eta_\tau|^2)$$

$$\Omega_\nu h^2 \simeq (m_4 / 93.5 \text{eV}) \Delta N_\nu \sim \frac{(\delta m_{41}^2 / \text{eV}^2)}{10^{-1}} (|\eta_e|^2 + |\eta_\mu|^2 + |\eta_\tau|^2)$$

$$\left\{ \begin{array}{l} \Omega_\nu h^2 \sim 0.01 \\ \Delta N_\nu \sim 1 \end{array} \right. \quad \text{cross for:} \quad \delta m_{41}^2 \sim 1 \text{ eV}^2$$

The LSND anomaly interpreted as 3+1

The LSND mixing angle is:

$$\theta_{LSND} \sim \eta_e \eta_\mu$$

$$\theta_{LSND}^{1/2} = \eta_e = \eta_\mu$$

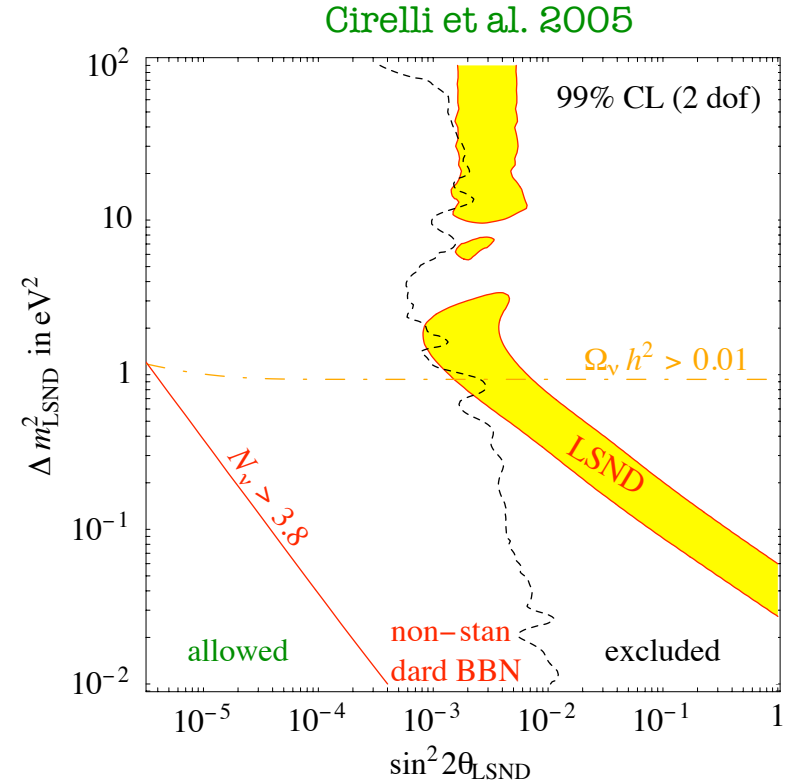
To minimize cosmological effects

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The LSND anomaly interpreted as 3+1

N.B. A relatively large lepton asymmetry can prevent extra neutrino thermalization.

Y. Chu et al. 2006 – $L_\nu \approx 10^{-4}$ is required to relax conflict with LSND.

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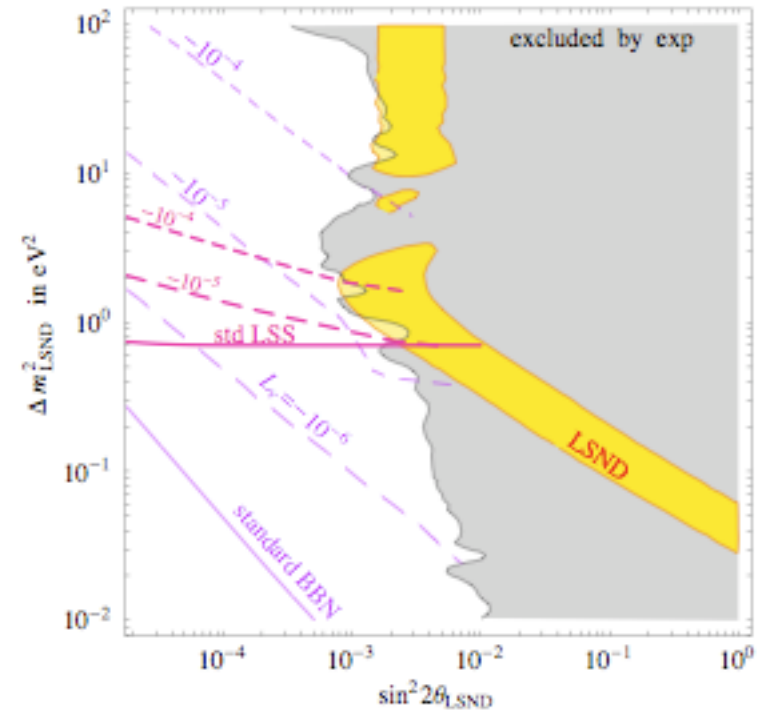
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$$\theta_{\text{LSND}}^{1/2} = \eta_e = \eta_\mu$$

To minimize cosmological effects

Y. Chu and M. Cirelli et al. 2006



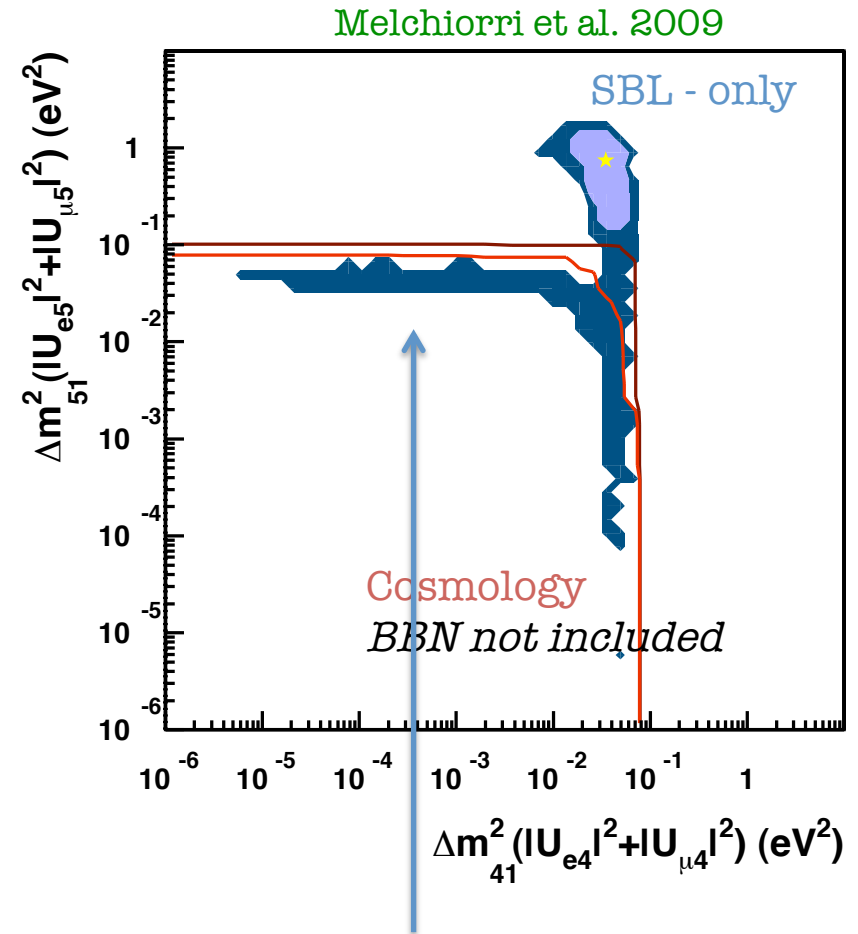
3+2 schemes in cosmology

Melchiorri et al. 2009 considered compatibility of SBL data and cosmology in 3+2 scenarios:

$$U_{\alpha i} = \begin{pmatrix} 0.81 & 0.55 & 0 & \pm|U_{e4}| & \pm|U_{e5}| \\ -0.51 & 0.51 & 0.70 & \pm|U_{\mu 4}| & \pm|U_{\mu 5}| \\ 0.28 & -0.67 & 0.70 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

$$\Delta N_{\nu, h} \simeq 6.6 \times 10^{-3} \sqrt{\frac{\Delta m_{j1}^2}{\text{eV}^2}} \sum_a \frac{g_a}{\sqrt{C_a}} \left(\frac{U_{aj}}{10^{-2}} \right)^2$$

$$\Omega_h h^2 \simeq 7 \times 10^{-5} \left(\frac{\Delta m_{j1}^2}{\text{eV}^2} \right) \sum_a \frac{g_a}{\sqrt{C_a}} \left(\frac{U_{aj}}{10^{-2}} \right)^2$$



Message

In relevant regions of parameter space, extra neutrinos may not fully thermalize.

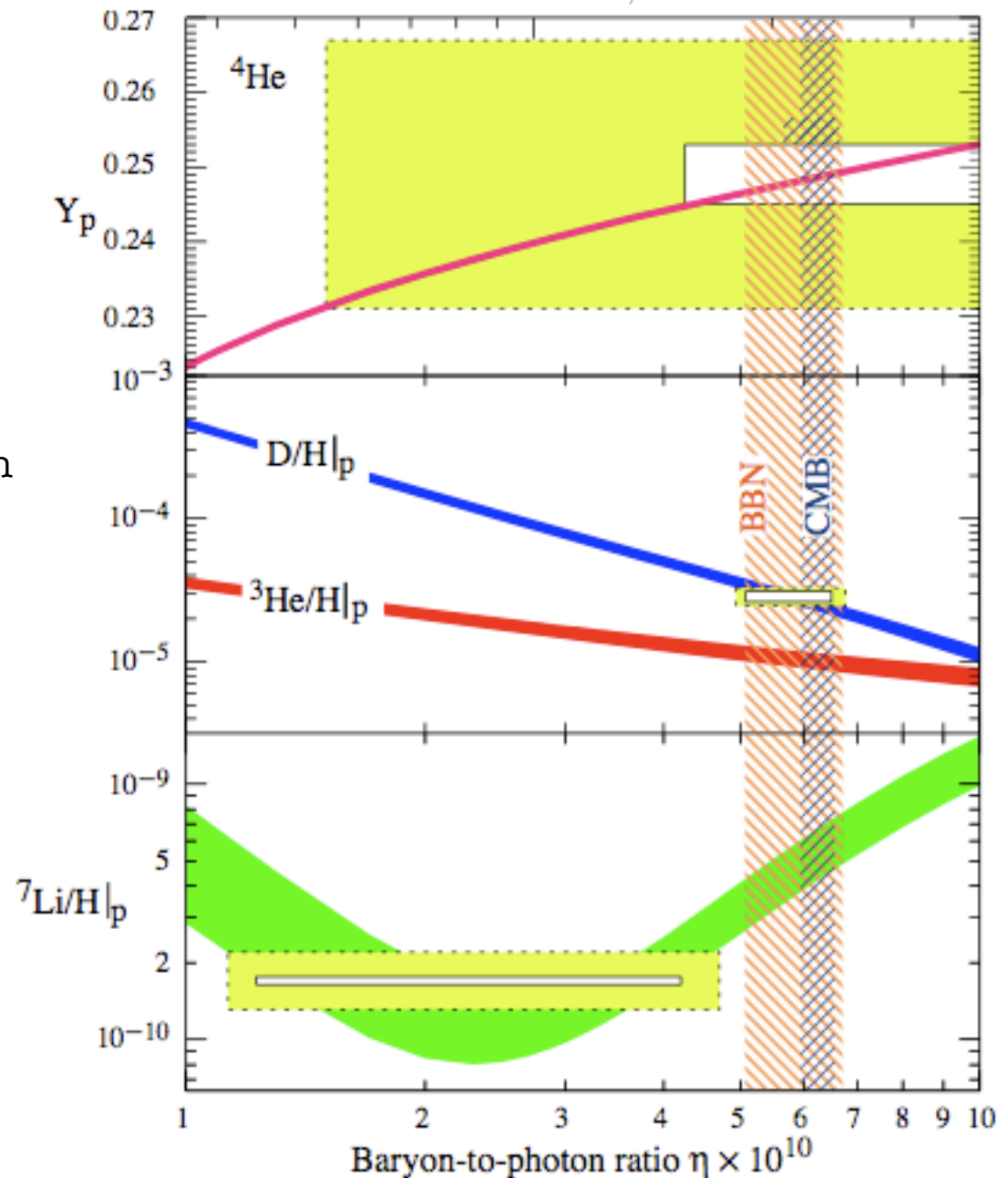
Is there evidence for non standard physics from BBN?

Theory .vs. observations

PDG 2010, Fields and Sarkar

Deuterium – observed in the high resolution spectra of QSO absorption systems at high redshift.

Lithium-7 – Factor 2-3 discrepancy with theoretical predictions. Cannot be cured by extra radiation.

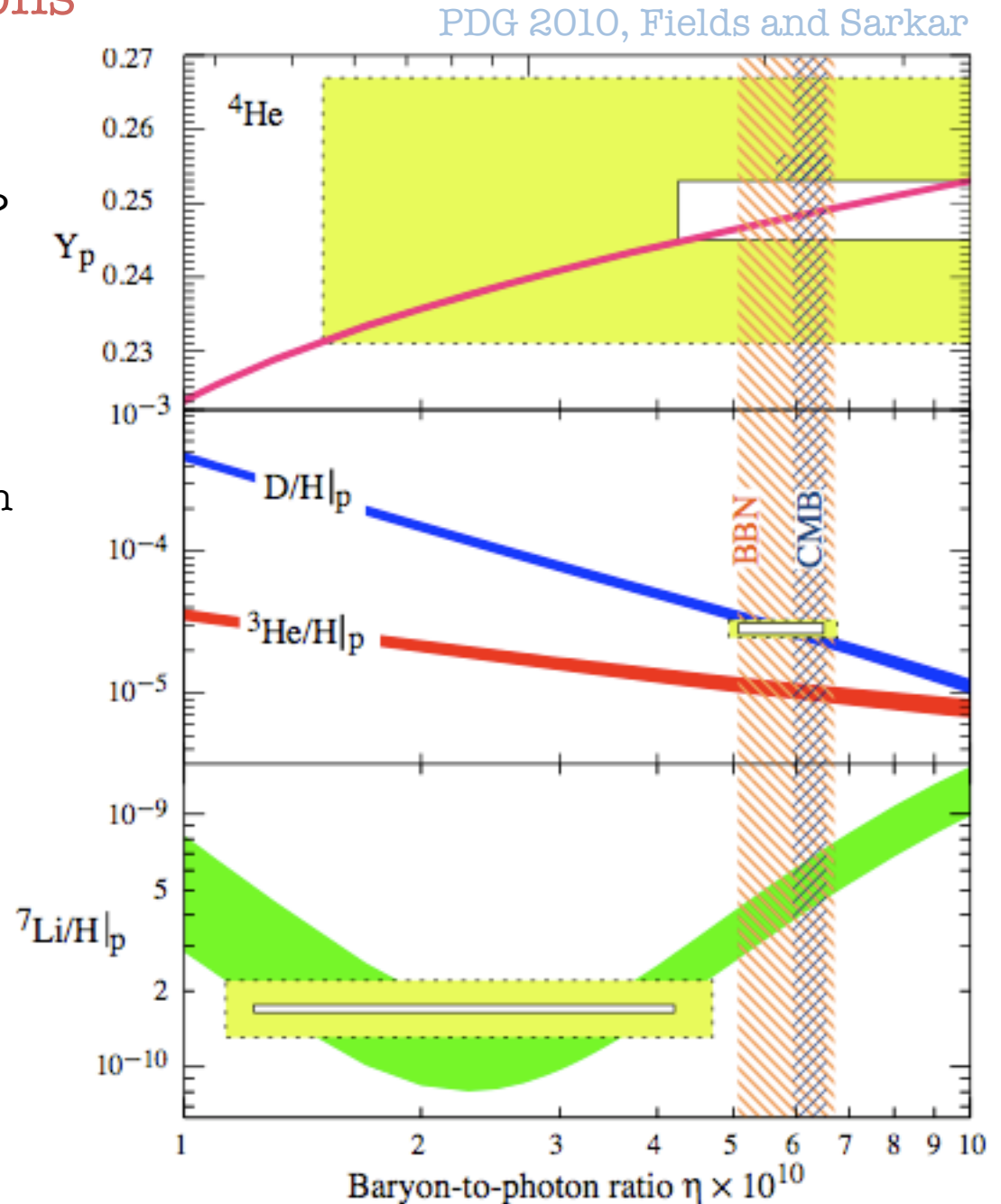


Theory .vs. observations

Is there evidence for extra radiation from Helium-4 data?

Deuterium – observed in the high resolution spectra of QSO absorption systems at high redshift:

Lithium-7 – Factor 2-3 discrepancy with theoretical predictions. Cannot be cured by extra radiation.



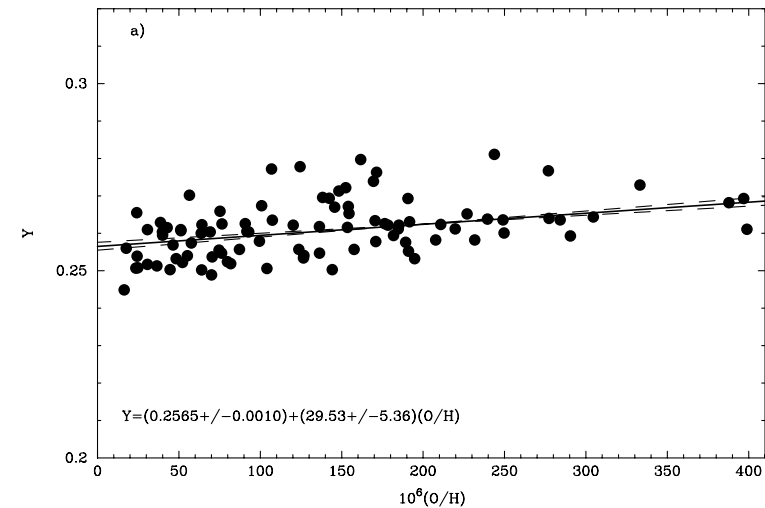
Observations: Helium-4

Y_p is determined by extrapolating to $Z=0$ the (Y,Z) relation or by averaging Y in extremely metal poor objects (N and O used as metallicity tracers). In particular:

- ✓ ^4He is observed in clouds of ionized hydrogen (HII regions).
- ✓ The most metal poor HII regions are in Dwarf Blue Compact Galaxies (BCGs).

Present situation:

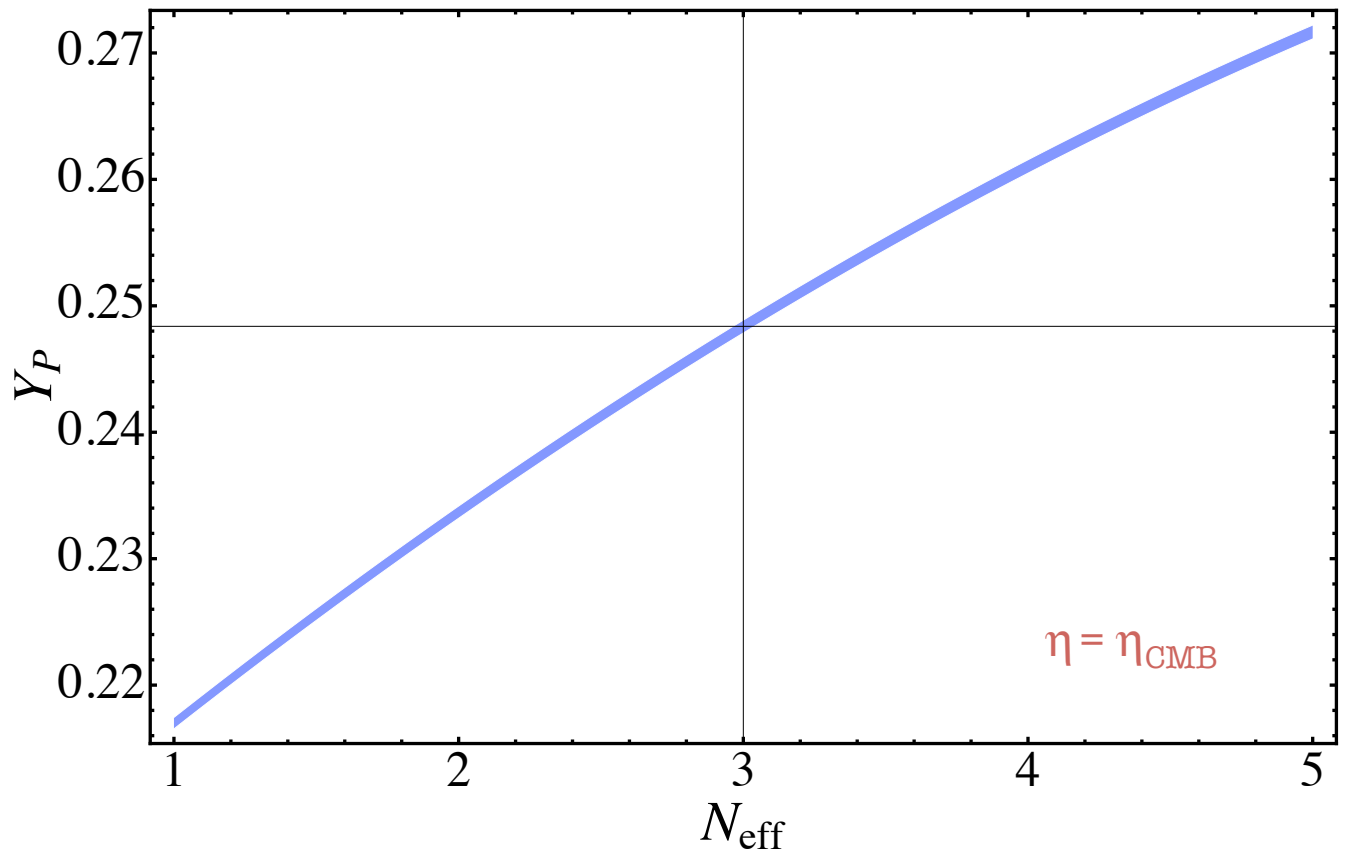
- ✓ Statistical uncertainties at the level of 1% (or less ...)
- ✓ Systematic uncertainties at the level of 2% (or more ...)
- ✓ Several physical mechanism acting in HII regions still not completely understood (ionization correction factor, underlying stellar absorption, temperature structure ...).



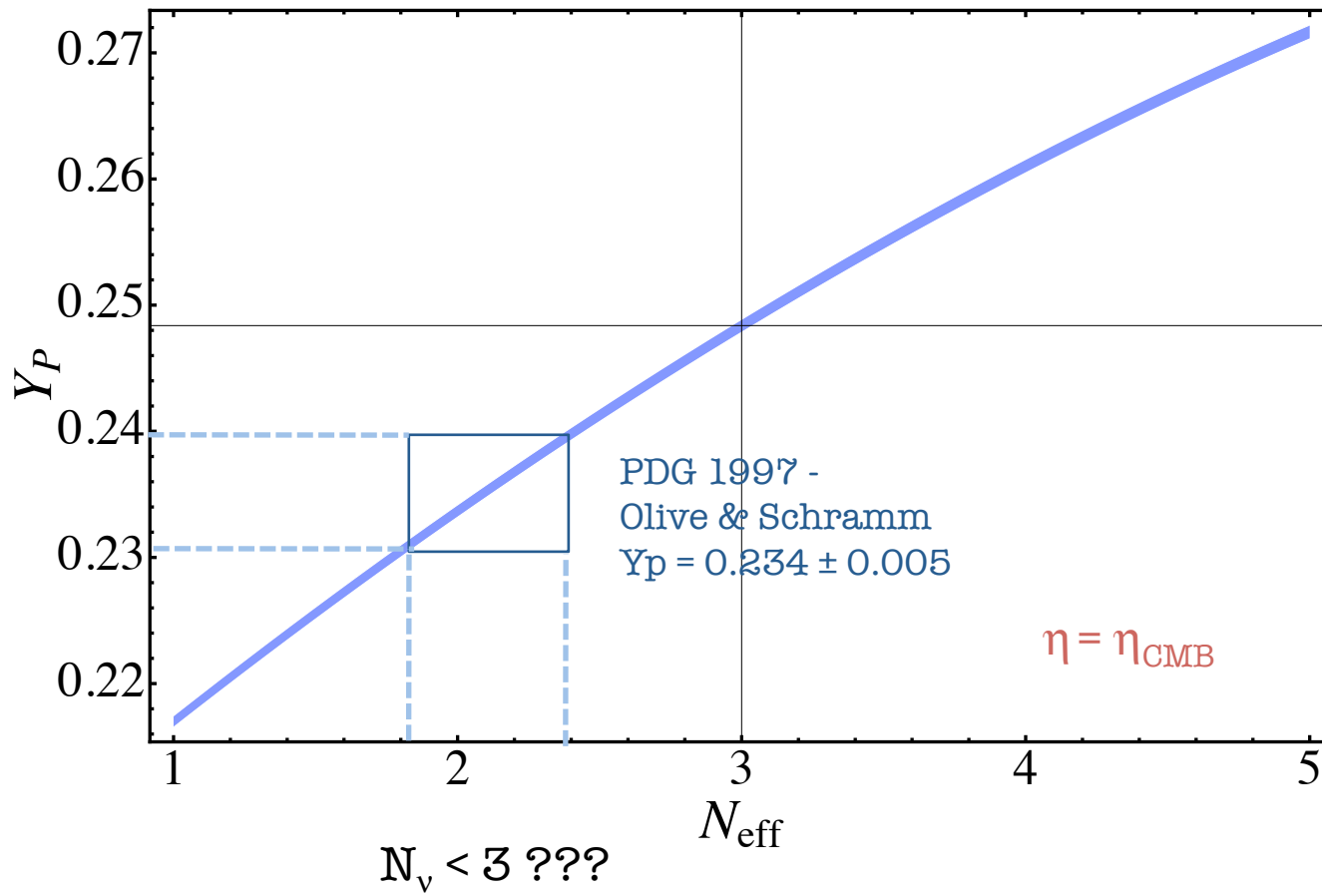
Compilation of Y_p determinations

Y_p		
0.244 ± 0.002	Izotov et al. 1998	Regression using 45 BCGs – O/H
0.245 ± 0.001		N/H
0.235 ± 0.003	Olive et al. 1997	Regression using 62 BCGs
0.238 ± 0.002	Fields and Olive 1998	Re-analysis (update) of Olive et al. 1997
0.2345 ± 0.0026	Peimbert et al 2000	HII regions of the Small Magellanic Cloud
0.2384 ± 0.0025	Peimbert et al 2001	Average of the 5 most metal poor BCGs
0.239 ± 0.002	Luridiana et al 2003	5 metal poor HII regions
0.249 ± 0.009	Olive et al. 2004	Re-analysis of a subsample of Izotov et al. 1998
0.2472 ± 0.0012	Izotov et al. 2007	Regression using 86 extra-galactic HII regions
0.2516 ± 0.0011		
0.2474 ± 0.0028	Peimbert et al 2007	5 metal poor extra-galactic HII regions
0.2565 ± 0.001 ± 0.005	Izotov et al. 2010	86 Low metallicity HII regions
0.256 ± 0.0108	Aver et al. 2010 (a)	better treat. of syst. Err. (reanalysis of Olive et al. 2004)
0.256 + 0.0032 -0.0108		only positive slopes in the regression
0.2609 ± 0.0117	Aver et al. 2010 (b)	MCMC analysis of stat. and syst. uncertainties
0.2573 + 0.0033 – 0.0088		only positive slopes in the regression
< 0.2631 (95 %)	Mangano et al. 2011	Upper limit – no regression.

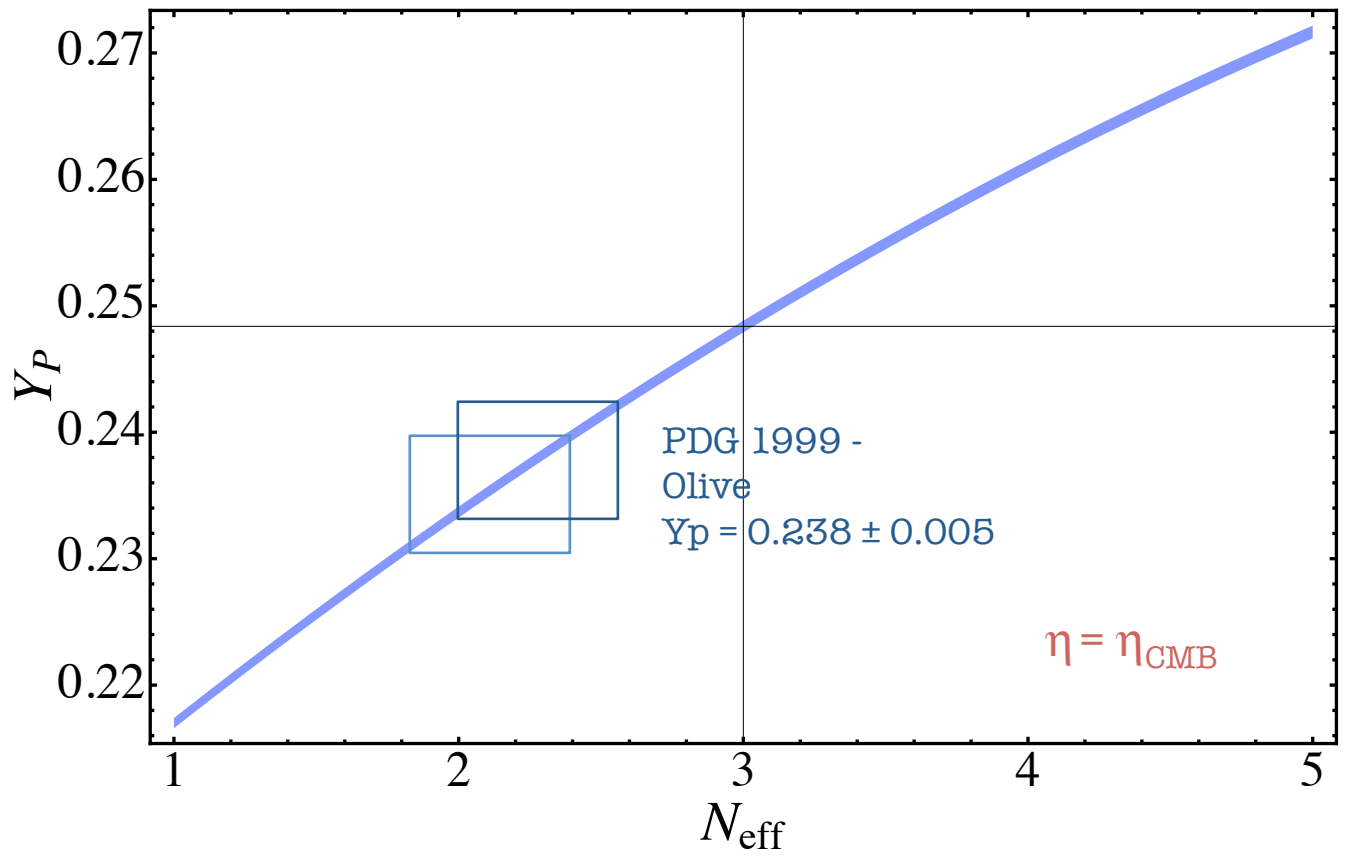
The “time evolution” of the primordial helium ...



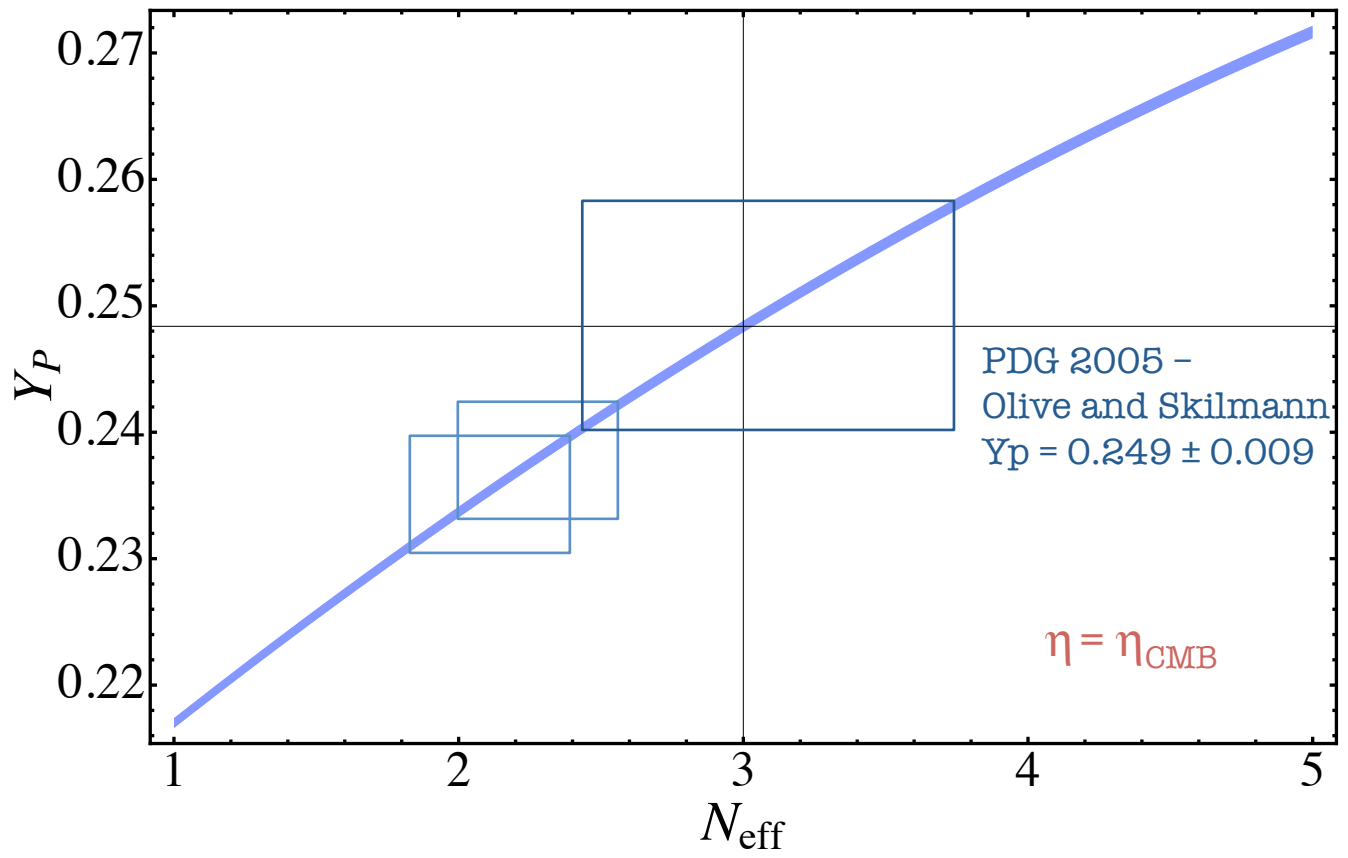
The “time evolution” of the primordial helium ...



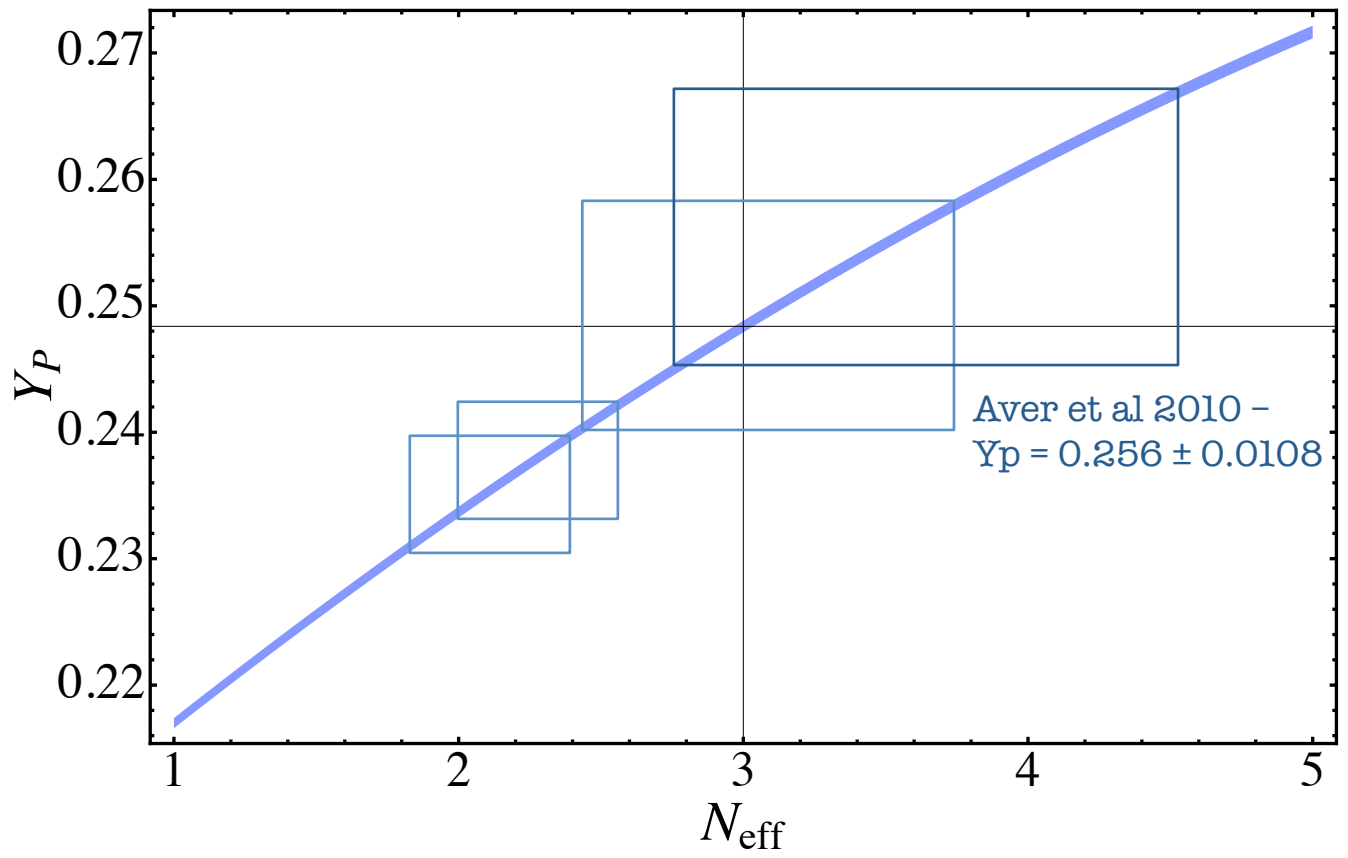
The “time evolution” of the primordial helium ...



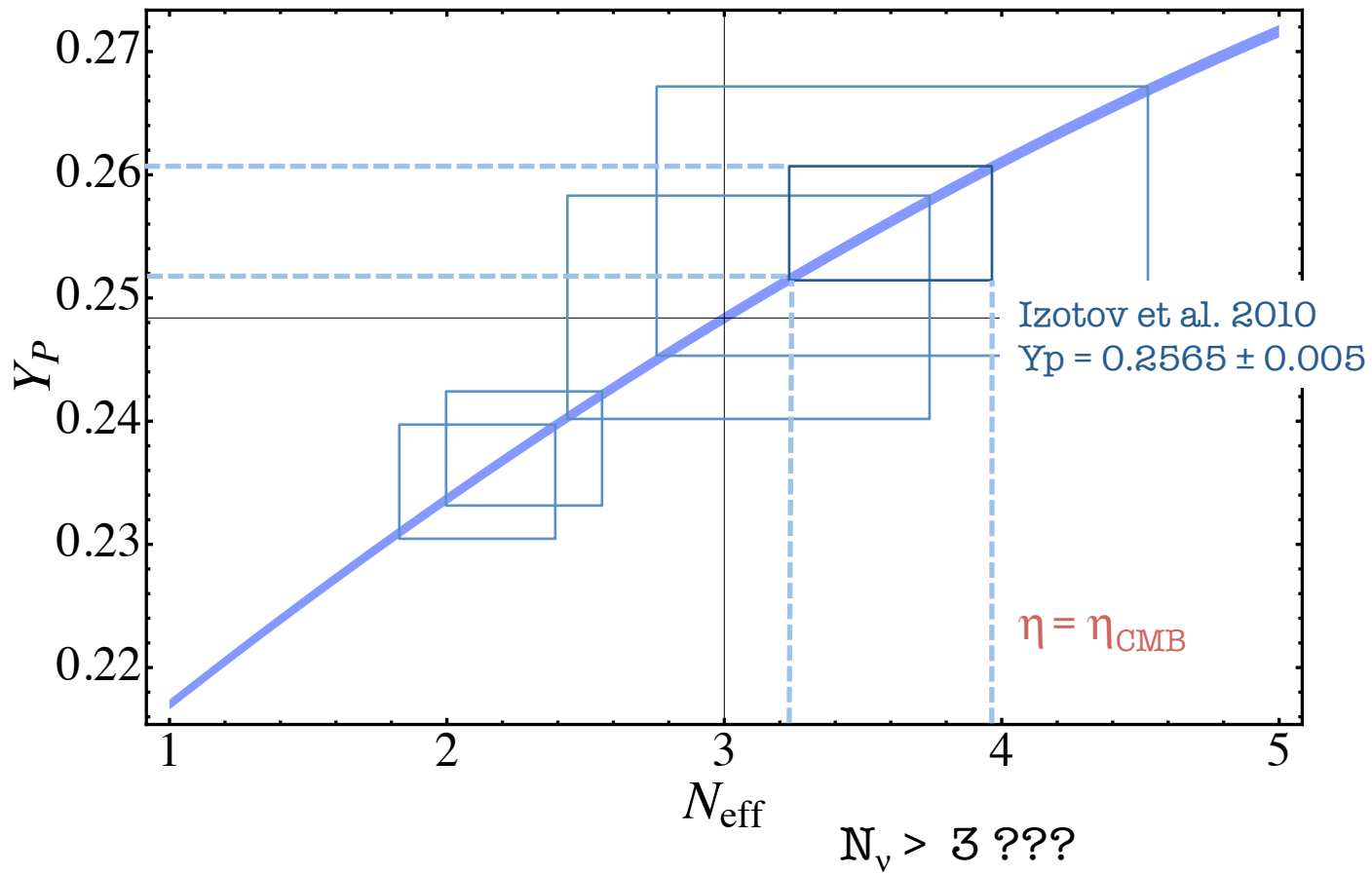
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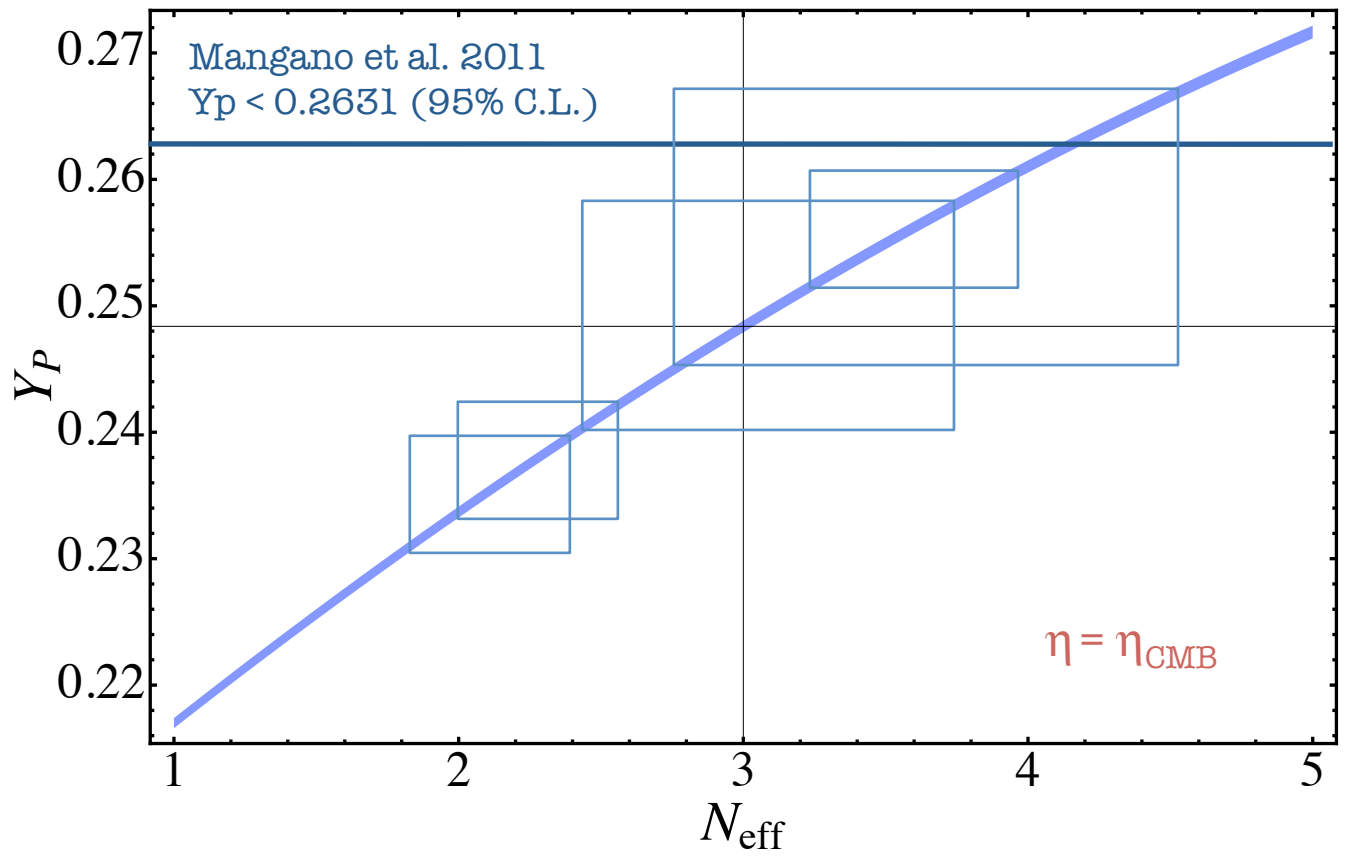
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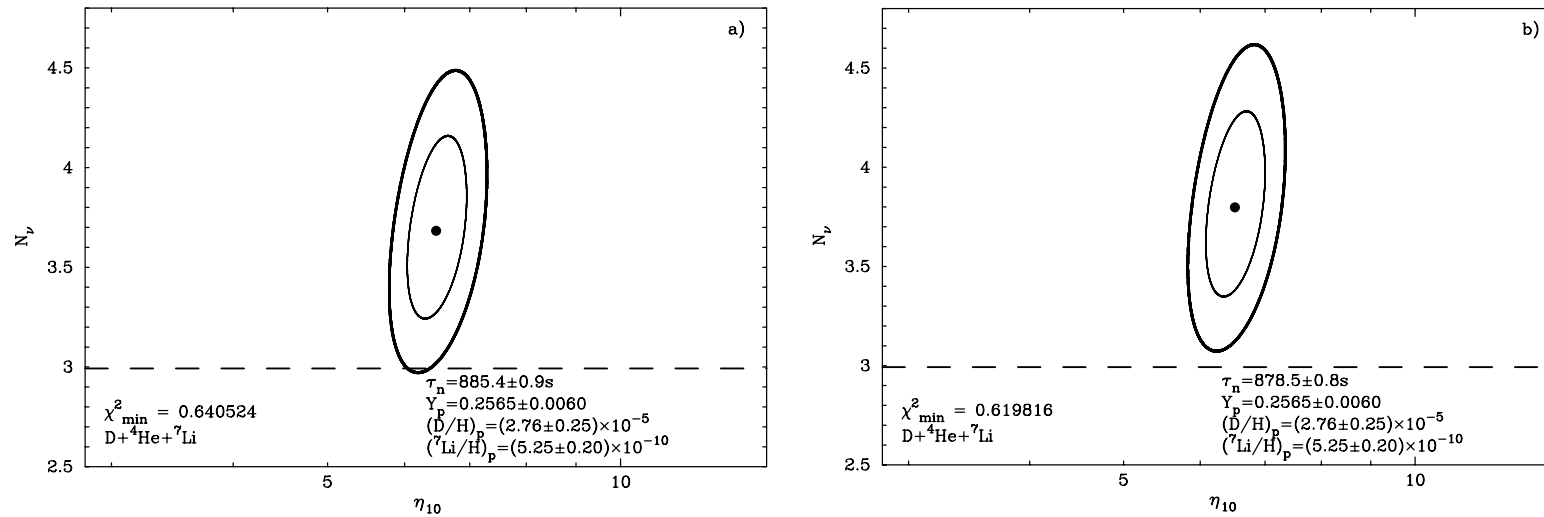


The “time evolution” of the primordial helium ...



Is there evidence for non standard BBN ($N_\nu > 3$)?

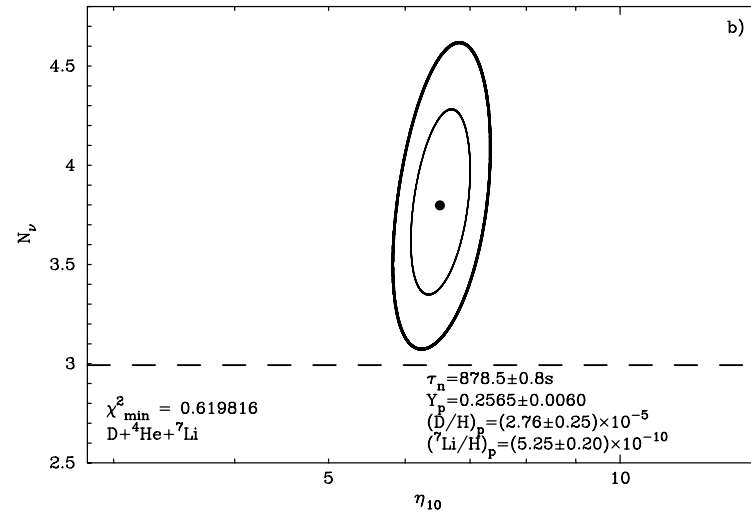
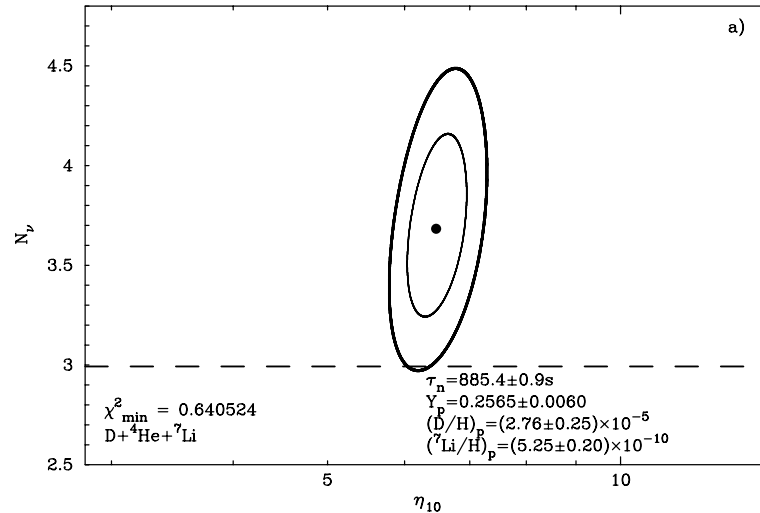
Izotov et al. 2010



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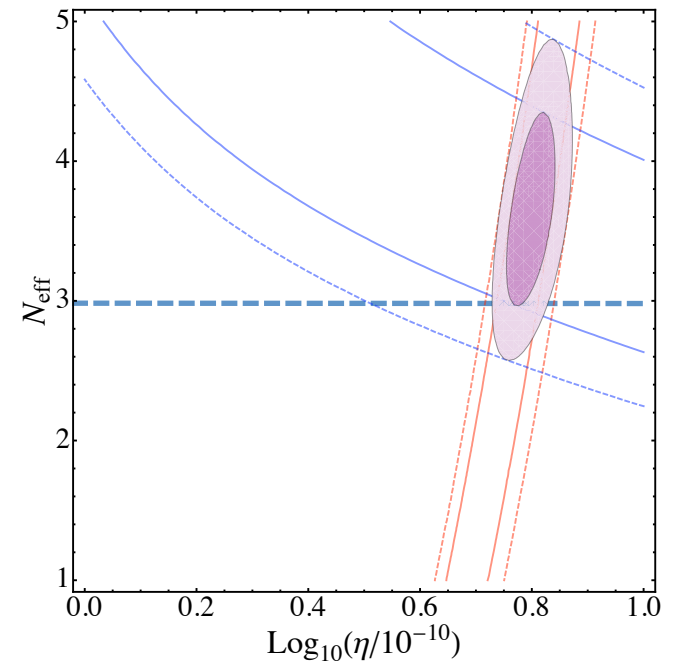


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I am unable to reproduce their analysis:

$$1\sigma \rightarrow (68.3 \text{ C.L.}) \rightarrow \chi^2 - \chi^2_{\min} = 2.3 \quad (\text{not } 1!)$$

$$2\sigma \rightarrow (95.4 \text{ C.L.}) \rightarrow \chi^2 - \chi^2_{\min} = 6.2 \quad (\text{not } 2.7!)$$



Are two extra neutrinos allowed?

By requiring that primordial helium is less than what observed in astrophysical systems (no regression), [Mangano & Serpico 2011](#), obtain:

$$Y_p < 0.2631 \text{ (95 \%)}$$

[Mangano et al. 2011](#)

Consistent with [Aver et al 2010\(a\)](#) and [Aver et al 2010 \(b\)](#) when only positive slope is allowed in the regression:

$$Y_p = 0.2573 + 0.0033 - 0.0088$$

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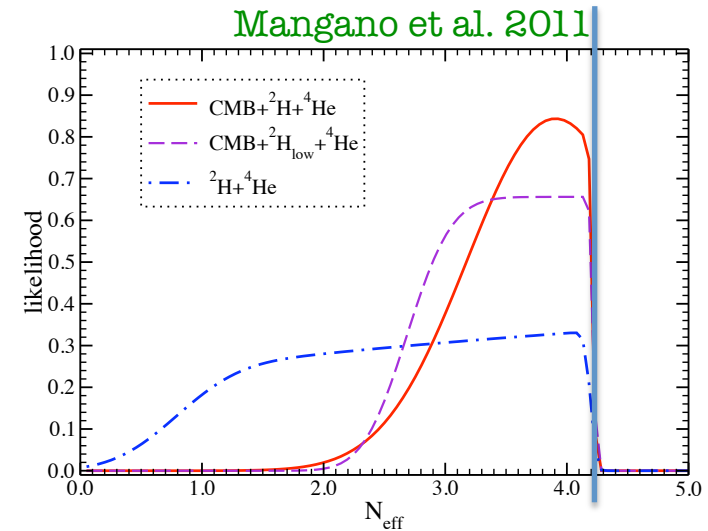
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$N_\nu < 4.2$ at 95% (C.L.)

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*Is there a **robust** upper limit on N_ν ?*

$N_\nu < 4.2$ (95 C.L.) [Mangano et al. 2011](#)

No constraints for $3+1$ scenarios

May be significant for $3+2$ scenarios

Additional slides

Theoretical uncertainties

Reaction rate uncertainties translate into uncertainties in theoretical predictions:

Monte-Carlo evaluation of uncertainties

Krauss & Romanelli 90,

Smith et al 93,

Kernan & Krauss 94

Semi-analytical evaluation of the error matrix

Fiorentini et al 98

Lisi et al. 00

Re-analysis of nuclear data

Nollet & Burles 00, Cyburt et al 01,

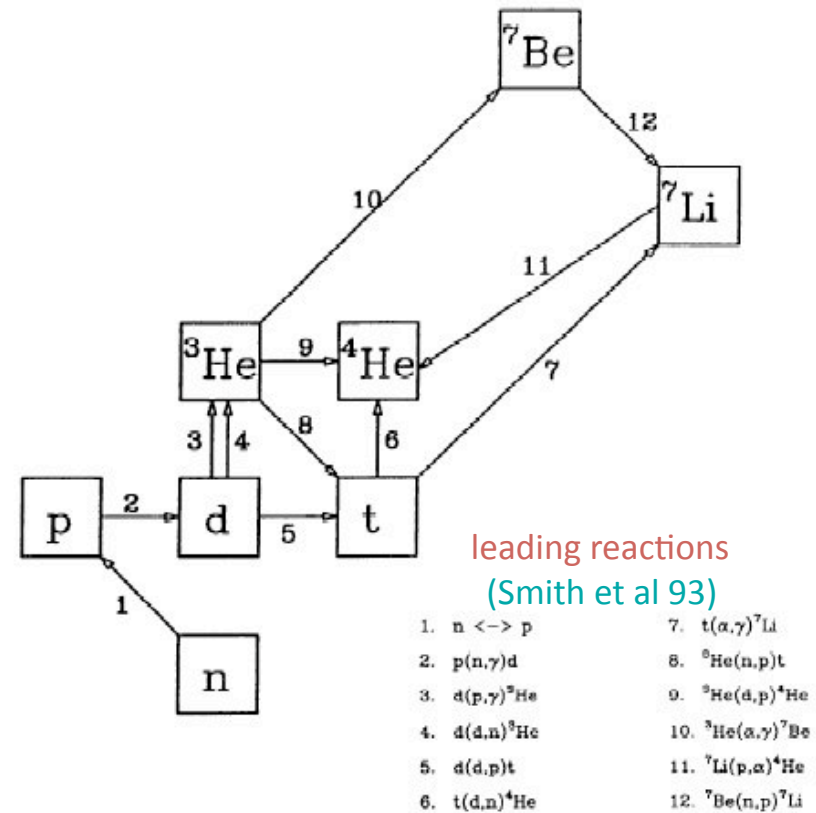
Descouvemont et al. 04, Cyburt et al. 04,

Serpico et al. 04

Recent new data and compilations

NACRE Coll. Database

LUNA: $D(p,\gamma)^3\text{He}$, $^3\text{He}(\alpha,\gamma)^7\text{Be}$



Sub-leading reactions

(see Serpico et al. 04)