

Accidental Peccei-Quinn symmetry in a model of flavour

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① Axions and Flavour

② The Model

- Goals
- Specs
- Accidental PQ Symmetry

Based on work in
[1711.05741 [hep-ph]]
+
work in progress

③ Phenomenology

- Fit to mixing data
- Flavour-violating decays
- Dark matter

Strong CP problem

$$\mathcal{L} \supset \bar{\theta} \frac{g^2}{32\pi^2} G_{\mu\nu} \tilde{G}^{\mu\nu}$$

Physical angle

$$\begin{aligned}\bar{\theta} &= \theta_0 + \arg \det M^q \\ &\lesssim 10^{-10}\end{aligned}$$

from neutron EDM

[Pendlebury et al '15]

Solutions

1. Massless up quark $m_u = 0$
Disfavoured
[PDG '16, Aoki et al '16]
2. Calculable models
[Nelson '84, Barr '84]
Ex: [FB, de Anda, de Medeiros Varzielas, King '15]
3. Peccei-Quinn mechanism
[Peccei, Quinn '77, Weinberg '78, Wilczek '78]

Ingredients in a PQ solution

- $U(1)_{PQ}$ symmetry with chiral anomaly
- Complex scalar field φ
- Spontaneous symmetry breaking as $\varphi \rightarrow \langle \varphi \rangle$

Archetypal “invisible axion” models

KSVZ

$$\mathcal{L} \supset \lambda \varphi \bar{Q} Q$$

- Heavy quarks Q integrated out below scale v_{PQ}
- Chiral anomaly $N_{DW} = 1$
- No tree-level couplings to matter

DFSZ

$$\mathcal{L} \supset \lambda \varphi^2 H_u H_d$$

- Chiral anomaly $N_{DW} = 6$
- Tree-level couplings to matter (since Higgs carries PQ charge)

Accidental PQ symmetry

- From \mathbb{Z}_N with large N
[Babu, Gogoladze, Wang '03, Dias, Pleitez, Tonasse '02, '04]
- In SUSY
[Chun, Lukas '92]
- From gauge symmetry
[Di Luzio, Nardi, Ubaldi '16]

Recent developments

- Flaxion [Ema, Hamaguchi, Moroi, Nakayama '16]
- Axiflavoron [Calibbi, Goertz, Redigolo, Ziegler, Zupan '16]

“A to Z” model of flavour [King '14, King, Di Bari '15]

Key ingredients

- Pati-Salam gauge group
Vertical unification
- A_4 family symmetry
Horizontal unification (of LH fermions)
- \mathbb{Z}_N (family) symmetries
shapes superpotential, forbids dangerous terms
- CSD(4) vacuum alignment
explain large neutrino mixing, Cabibbo angle
- Supersymmetry
resolve hierarchy problem, gauge coupling unification

Pati-Salam $[SU(4)_C \times SU(2)_L \times SU(2)_R]$

- Left-handed fermions in

$$F_i \sim (4, 2, 1)_i = \begin{pmatrix} u_r & u_g & u_b & \nu \\ d_r & d_g & d_b & e \end{pmatrix}_i$$

- Right-handed fermions in

$$F_i^c \sim (\bar{4}, 1, 2)_i = \begin{pmatrix} u_r^c & u_g^c & u_b^c & N^c \\ d_r^c & d_g^c & d_b^c & e^c \end{pmatrix}_i$$

A₄

- Left-handed fermions in triplet

$$F \sim 3 = (F_1, F_2, F_3)$$

- Right-handed fermions in singlets

$$F_1^c, F_2^c, F_3^c \sim 1$$

Constrained sequential dominance (CSD) [King '99, '00, '02]

- SD originally devised for neutrinos:
 - 1) $N_{\text{atm}} \rightarrow$ atmospheric mass m_{ν_3} and mixing $\theta_{23} \sim 45^\circ$
 - 2) $N_{\text{sol}} \rightarrow$ solar mass m_{ν_2} and solar+reactor mixing θ_{12}, θ_{13}
 - 3) N_{dec} , if present, nearly decoupled from theory $\rightarrow m_{\nu_1} \ll m_{\nu_{2,3}}$

CSD(n) with two neutrinos:

$$Y^\nu = \begin{pmatrix} 0 & b & * \\ a & nb & * \\ a & (n-2)b & * \end{pmatrix}, \quad M_R \sim \text{diag}(M_{\text{atm}}, M_{\text{sol}}, M_{\text{dec}})$$

$$m^\nu = \nu^2 Y^\nu M_R^{-1} (Y^\nu)^T$$

$$= m_a \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 1 & 1 \end{pmatrix} + m_b \begin{pmatrix} 1 & n & n-2 \\ n & n^2 & n(n-2) \\ n-2 & n(n-2) & (n-2)^2 \end{pmatrix} + m_c \begin{pmatrix} * & * & * \\ * & * & * \\ * & * & * \end{pmatrix}$$

- In unified scenario, CSD is extended to the quarks!
- Consider $n = 4$ [King '13]. With Y^d diagonal,

$$Y^u = Y^\nu = \begin{pmatrix} 0 & b & * \\ a & 4b & * \\ a & 2b & * \end{pmatrix}$$

- To first approximation, Cabibbo angle

$$\theta_{12}^q \approx \frac{Y_{12}^u}{Y_{22}^u} \approx \frac{1}{4}$$

- This is compellingly close to the true value $\theta_{12}^q \approx 0.227$.

- CSD(4) achieved by A_4 triplet flavons ϕ
- Flavons acquire VEVs with particular alignments:

$$\begin{aligned}\langle \phi_1^u \rangle &= v_{\phi_1^u}(0, 1, 1), & \langle \phi_1^d \rangle &= v_{\phi_1^d}(1, 0, 0) \\ \langle \phi_2^u \rangle &= v_{\phi_2^u}(1, 4, 2), & \langle \phi_2^d \rangle &= v_{\phi_2^d}(0, 1, 0)\end{aligned}$$

- Example: first-generation up-type quarks

$$W \supset \frac{(F \cdot \phi_1^u) h_u F_1^c}{M} \rightarrow v_u \frac{v_{\phi_1^u}}{M} (F_1 F_2 F_3) \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix} F_1^c$$

- Alignments can be fixed by A_4 and orthogonality arguments, implemented by a superpotential

Full Yukawa/mass superpotential

$$\begin{aligned}
 W_F^{\text{eff}} = & (F \cdot h_3) F_3^c + \frac{(F \cdot \phi_1^u) h_u F_1^c}{\langle \Sigma_u \rangle} + \frac{(F \cdot \phi_2^u) h_u F_2^c}{\langle \Sigma_u \rangle} \\
 & + \frac{(F \cdot \phi_1^d) h_d F_1^c}{\langle \Sigma_{15}^d \rangle} + \frac{(F \cdot \phi_2^d) h_{15}^d F_2^c}{\langle \Sigma_d \rangle} + \frac{(F \cdot \phi_1^u) h_d F_1^c}{\langle \Sigma_d \rangle} \\
 W_{\text{Maj}}^{\text{eff}} = & \frac{\overline{H^c} \overline{H^c}}{\Lambda} \left(\frac{\xi^2}{\Lambda^2} F_1^c F_1^c + \frac{\xi}{\Lambda} F_2^c F_2^c + F_3^c F_3^c + \frac{\xi}{\Lambda} F_1^c F_3^c \right)
 \end{aligned}$$

Notes

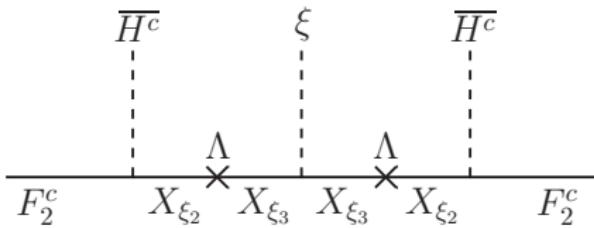
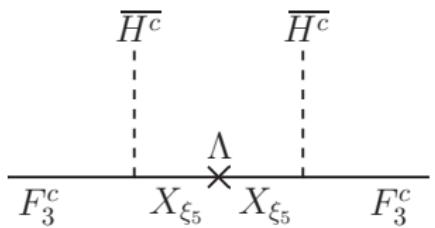
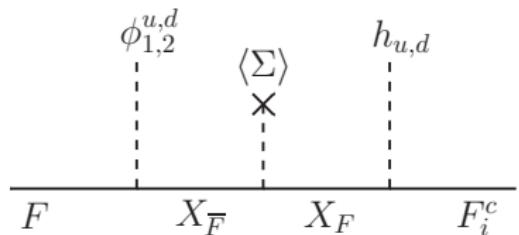
- $\overline{H^c} \sim (4, 1, 2)$ breaks $SU(4)_C \rightarrow SU(3)_C$, generates $RH\nu$ masses
- $\Sigma \sim (1/15, 1, 1) \rightarrow \langle \Sigma \rangle \lesssim M_{\text{GUT}}$
- $\xi \sim (1, 1, 1) \rightarrow \langle \xi \rangle / \Lambda \sim 10^{-5}$

 3rd family

 Up quarks

 Down quarks/charged leptons

Sample diagrams



Field	G_{PS}	A_4	\mathbb{Z}_5	\mathbb{Z}_3	\mathbb{Z}'_5	R	$U(1)_{PQ}$
F	(4, 2, 1)	3	1	1	1	1	0
$F^c_{1,2,3}$	($\bar{4}$, 1, 2)	1	$\alpha, \alpha^3, 1$	$\beta, \beta^2, 1$	$\gamma^3, \gamma^4, 1$	1	-2, -1, 0
H^c	(4, 1, 2)	1	1	1	1	0	0
H^c	($\bar{4}$, 1, 2)	1	1	1	1	0	0
$\phi^u_{1,2}$	(1, 1, 1)	3	α^4, α^2	β^2, β	γ^2, γ	0	2, 1
$\phi^d_{1,2}$	(1, 1, 1)	3	α^3, α	β^2, β	γ^2, γ	0	2, 1
h_3	(1, 2, 2)	3	1	1	1	0	0
h_u	(1, 2, 2)	1''	α	1	1	0	0
h^u_{15}	(15, 2, 2)	1	α	1	1	0	0
h_d	(1, 2, 2)	1'	α^3	1	1	0	0
h^d_{15}	(15, 2, 2)	1'	α^4	1	1	0	0
Σ_u	(1, 1, 1)	1''	α	1	1	0	0
Σ_d	(1, 1, 1)	1'	α^3	1	1	0	0
Σ^d_{15}	(15, 1, 1)	1'	α^2	1	1	0	0
ξ	(1, 1, 1)	1	α^4	β^2	γ^2	0	2

Discrete \mathbb{Z}_N symmetries

- \mathbb{Z}_5

Shaping symmetry of original A to Z model

Ensures CSD(4)

- \mathbb{Z}_3

Ensures PQ symmetry at renormalisable level

Forbids most off-diagonal terms in $Y^{d,e}$ (new!)

- \mathbb{Z}'_5

Protects PQ symmetry to sufficient order

Yukawa and mass matrices

$$Y^u = Y^\nu = \begin{pmatrix} 0 & b & \epsilon_{13}c \\ a & 4b & \epsilon_{23}c \\ a & 2b & c \end{pmatrix} \quad Y^d = \begin{pmatrix} y_d^0 & 0 & 0 \\ By_d^0 & y_s^0 & 0 \\ By_d^0 & 0 & y_b^0 \end{pmatrix}$$

$$Y^e = \begin{pmatrix} -(y_d^0/3) & 0 & 0 \\ By_d^0 & xy_s^0 & 0 \\ By_d^0 & 0 & y_b^0 \end{pmatrix} \quad M_R = \begin{pmatrix} M_1 & 0 & M_{13} \\ 0 & M_2 & 0 \\ M_{13} & 0 & M_3 \end{pmatrix}$$

Neutrino matrix after seesaw,

$$m^\nu = m_a \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 1 & 1 \end{pmatrix} + m_b e^{i\eta} \begin{pmatrix} 1 & 4 & 2 \\ 4 & 16 & 8 \\ 2 & 8 & 4 \end{pmatrix} + m_c e^{i\xi} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

PQ charges

$$\begin{aligned}
 W_F^{\text{eff}} \sim & (F \cdot h_3) F_3^c + (F \cdot \phi_1^u) h_u F_1^c + (F \cdot \phi_2^u) h_u F_2^c \\
 & \begin{matrix} 0 & 0 & 0 \\ 0 & 2 & 0 \end{matrix} \quad \begin{matrix} -2 \\ 0 \end{matrix} \quad \begin{matrix} 1 & 0 \\ 0 & -1 \end{matrix} \\
 & + (F \cdot \phi_1^d) h_d F_1^c + (F \cdot \phi_2^d) h_{15}^d F_2^c + (F \cdot \phi_1^u) h_d F_1^c \\
 & \begin{matrix} 0 & 2 & 0 \end{matrix} \quad \begin{matrix} -2 \\ 1 \end{matrix} \quad \begin{matrix} 0 & -1 \\ 2 & 0 \end{matrix} \quad \begin{matrix} -2 \\ -2 \end{matrix} \\
 W_{\text{Maj}}^{\text{eff}} \sim & \overline{H^c} \overline{H^c} (\xi \xi F_1^c F_1^c + \xi F_2^c F_2^c + F_3^c F_3^c + \xi F_1^c F_3^c) \\
 & \begin{matrix} 0 & 0 \\ 2 & 2 \end{matrix} \quad \begin{matrix} -2 & -2 \\ 2 & -1 \end{matrix} \quad \begin{matrix} -1 \\ 0 & 0 \end{matrix} \quad \begin{matrix} 2 & -2 \\ -2 & 0 \end{matrix}
 \end{aligned}$$

Notes

- PQ symmetry realised also at renormalisable level
- Higgs sector completely neutral → no GUT-scale PQ breaking
- $U(1)_{PQ}$ assignments unique
- Third family is neutral

Breaking $U(1)_{PQ}$

- $\phi_i^f \rightarrow \langle \phi_i^f \rangle \sim v_{\phi_1^f}$ breaks all discrete symmetries and $U(1)_{PQ}$
- PQ-breaking scale

$$v_{PQ}^2 = (N_a f_a)^2 = \sum_{\phi} x_{\phi}^2 v_{\phi}^2$$

- Dominated by largest VEV: $\langle \phi_2^u \rangle$ (related to charm mass)

Axion

$$a = \frac{1}{v_{PQ}} \sum_{\varphi} x_{\varphi} v_{\varphi} a_{\varphi}$$

Domain wall number

$$N_a \equiv \left| 6x_F + 2 \sum_i x_{F_i^c} \right| = |6(\textcolor{blue}{0}) + 2(-\textcolor{blue}{2} + -\textcolor{blue}{1} + \textcolor{blue}{0})| = 6$$

Protecting the PQ symmetry

Consider terms like

$$\frac{\{\phi\}^n}{M_P^n} W$$

These generate a PQ-breaking axion mass

$$m_*^2 \sim m_{3/2}^2 \frac{V_{PQ}^{n-2}}{M_P^{n-2}}$$

[Holman et al '92]

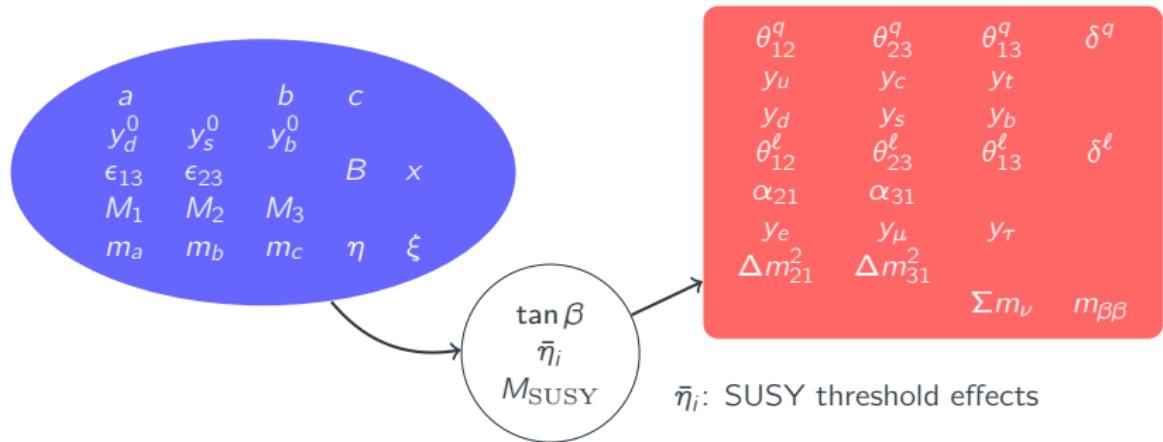
[Kamionkowski, March-Russell '92]
 [Barr, Seckel '92]

We require $m_*^2/m_a^2 < 10^{-10}$, where

$$m_a^2 \approx m_\pi^2 \frac{f_\pi^2}{f_a^2}$$

To protect our solution, we forbid all PQ-violating terms like $\{\phi\}^n$ up to $n = 7$ (or $\dim = 10$)!

Fitting to quark and lepton mixing data



Simple MCMC

- Minimise χ^2 to find best fit

$$\chi^2 = \sum_i \left(\frac{P(x_i) - \mu_i}{\sigma_i} \right)^2$$

- Calculate 95% credible intervals (hpd)

Measured values run up to M_{GUT} (assuming MSSM) [Antusch, Maurer '13]

Leptons

Observable	Data		Model	
	Central value	1σ range	Best fit	Interval
$\theta_{12}^\ell / {}^\circ$	33.57	$32.81 \rightarrow 34.32$	32.88	$32.72 \rightarrow 34.23$
$\theta_{13}^\ell / {}^\circ$	8.460	$8.310 \rightarrow 8.610$	8.611	$8.326 \rightarrow 8.882$
$\theta_{23}^\ell / {}^\circ$	41.75	$40.40 \rightarrow 43.10$	39.27	$37.35 \rightarrow 40.11$
$\delta^\ell / {}^\circ$	261.0	$202.0 \rightarrow 312.0$	242.6	$231.4 \rightarrow 249.9$
$y_e / 10^{-5}$	1.004	$0.998 \rightarrow 1.010$	1.006	$0.911 \rightarrow 1.015$
$y_\mu / 10^{-3}$	2.119	$2.106 \rightarrow 2.132$	2.116	$2.093 \rightarrow 2.144$
$y_\tau / 10^{-2}$	3.606	$3.588 \rightarrow 3.625$	3.607	$3.569 \rightarrow 3.643$
$\Delta m_{21}^2 / 10^{-5} \text{ eV}^2$	7.510	$7.330 \rightarrow 7.690$	7.413	$7.049 \rightarrow 7.762$
$\Delta m_{31}^2 / 10^{-3} \text{ eV}^2$	2.524	$2.484 \rightarrow 2.564$	2.540	$2.459 \rightarrow 2.616$
m_1 / meV			0.187	$0.022 \rightarrow 0.234$
m_2 / meV			8.612	$8.400 \rightarrow 8.815$
m_3 / meV			50.40	$49.59 \rightarrow 51.14$
$\sum m_i / \text{meV}$		< 230	59.20	$58.82 \rightarrow 60.19$
α_{21}			10.4	$-38.0 \rightarrow 70.1$
α_{31}			272.1	$218.2 \rightarrow 334.0$
$m_{\beta\beta} / \text{meV}$			1.940	$1.892 \rightarrow 1.998$

We set $\tan\beta = 5$, $M_{\text{SUSY}} = 1 \text{ TeV}$ and $\bar{\eta}_b = -0.24$

Quarks

Observable	Data		Model	
	Central value	1σ range	Best fit	Interval
$\theta_{12}^q / {}^\circ$	13.03	12.99 → 13.07	13.04	12.94 → 13.11
$\theta_{13}^q / {}^\circ$	0.1471	0.1418 → 0.1524	0.1463	0.1368 → 0.1577
$\theta_{23}^q / {}^\circ$	1.700	1.673 → 1.727	1.689	1.645 → 1.753
$\delta^q / {}^\circ$	69.22	66.12 → 72.31	68.85	63.00 → 75.24
$y_u / 10^{-6}$	2.982	2.057 → 3.906	3.038	1.098 → 4.957
$y_c / 10^{-3}$	1.459	1.408 → 1.510	1.432	1.354 → 1.560
y_t	0.544	0.537 → 0.551	0.545	0.530 → 0.558
$y_d / 10^{-5}$	2.453	2.183 → 2.722	2.296	2.181 → 2.966
$y_s / 10^{-4}$	4.856	4.594 → 5.118	4.733	4.273 → 5.379
y_b	3.616	3.500 → 3.731	3.607	3.569 → 3.643

We set $\tan\beta = 5$, $M_{\text{SUSY}} = 1 \text{ TeV}$ and $\bar{\eta}_b = -0.24$

Input parameters

Parameter	Value	Parameter	Value
$a / 10^{-5}$	$1.246 e^{4.047i}$	m_a / meV	3.646
$b / 10^{-3}$	$3.438 e^{2.080i}$	m_b / meV	1.935
c	-0.545	m_c / meV	1.151
$y_d^0 / 10^{-5}$	$3.053 e^{4.816i}$	η	2.592
$y_s^0 / 10^{-4}$	$3.560 e^{2.097i}$	ξ	2.039
$y_b^0 / 10^{-2}$	3.607		
$\epsilon_{13} / 10^{-3}$	$6.215 e^{2.434i}$		
$\epsilon_{23} / 10^{-2}$	$2.888 e^{3.867i}$		
B	$10.20 e^{2.777i}$		
x	5.880		

Recall

$$v_{PQ}^2 = (N_a f_a)^2 = \sum_{\phi} x_{\phi}^2 v_{\phi}^2$$



This is dominated by $v_{\phi_2^u}$, giving

$$f_a \approx \frac{v_{\phi_2^u}}{N_a} = \frac{|b| \langle \Sigma_u \rangle}{\lambda_{2u} N_a}$$

- b is known from fit

Ex 1: $\langle \Sigma \rangle = M_{\text{GUT}}$, $\lambda_{2u} = 1$

- $\langle \Sigma \rangle \sim M_{\text{GUT}}$
 $(\simeq 2 \times 10^{16} \text{ GeV})$
- $\lambda_{2u} = \mathcal{O}(1)$ coupling

$$f_a \approx 1.1 \times 10^{13} \text{ GeV}$$

Ex 2: $\langle \Sigma \rangle = 0.1 M_{\text{GUT}}$, $\lambda_{2u} = 2$

$$f_a \approx 5 \times 10^{11} \text{ GeV}$$

f_a is close to cosmological upper bound!

Axion couplings to matter

- In “SUSY” basis, $(Y_{a,0}^f)_{ij} = x_j (Y^f)_{ij}$, e.g. $Y_{a,0}^d = \begin{pmatrix} 2y_d^0 & 0 & 0 \\ 2By_d^0 & y_s^0 & 0 \\ 2By_d^0 & 0 & 0 \end{pmatrix}$
- Rotate to mass basis. To leading order, we get

$$Y_a^u = \begin{pmatrix} -\frac{1}{2}a & -\frac{1}{4\sqrt{17}}a & 0 \\ \frac{\sqrt{17}}{4}a & 4b & 0 \\ -\frac{3}{2}a & -\frac{8}{\sqrt{17}}b & 0 \end{pmatrix} \quad Y_a^d = \begin{pmatrix} 2y_d^0 & 0 & 0 \\ -By_d^0 & y_s^0 & 0 \\ -2By_d^0 & 0 & 0 \end{pmatrix}$$

$$Y_a^e = \begin{pmatrix} -2y_d^0/3 & 0 & 0 \\ -By_d^0 & xy_s^0 & 0 \\ -2By_d^0 & 0 & 0 \end{pmatrix}$$

Off-diagonal elements lead to flavour violation!

Kaon decays: $K^+ \rightarrow \pi^+ a$

$$\mathcal{L}_{asd} = i \frac{a}{N_a f_a} [\text{Re}(m_{21}^d) \bar{s} \gamma_5 d + \text{Im}(m_{21}^d) \bar{s} d]$$

Decay rate [Ema, Hamaguchi, Moroi, Nakayama '16]

$$\Gamma(K^+ \rightarrow \pi^+ a) = \frac{m_K^3}{32\pi v_{PQ}^2} \left(1 - \frac{m_\pi^2}{m_K^2}\right)^3 \left| \frac{m_{21}^d}{m_s - m_d} \right|^2$$

Experimental limit on branching fraction [Adler et al '08]

$$\text{Br}(K^+ \rightarrow \pi^+ a) \lesssim 7.3 \times 10^{-11} \Rightarrow N_a f_a \gtrsim 2.3 \times 10^{10} \text{ GeV}$$

Future sensitivity from NA62 experiment should improve limit by approx. an order of magnitude.

Other meson channels

- B decays to pions (allowed) and kaons (forbidden?)
- D decays

$$Y_a^d = \begin{pmatrix} 2y_d^0 & 0 & 0 \\ -By_d^0 & y_s^0 & 0 \\ -2By_d^0 & 0 & 0 \end{pmatrix}$$

Charged lepton flavour violation

- $\mu \rightarrow e\gamma$
- $\mu \rightarrow e$ conversion
- $\mu \rightarrow 3e$

Axion mass [di Cortona, Hardy, Pardo Vega, Villadoro '16]

$$m_a = 5.70(6)(4) \left(\frac{10^{12} \text{ GeV}}{f_a} \right) \mu\text{eV}$$

Axionic dark matter density

$$\Omega_a \approx \left(\frac{6 \mu\text{eV}}{m_a} \right)^{7/6}$$

The A to Z model naturally predicts a very light axion ($f_a \sim 10^{12} \text{ GeV}$), and significant contribution to dark matter

May be in range of ADMX

- A to Z: a rather complete model of quarks and leptons
Now with axions!
 - Renormalisable, breaking to MSSM
 - Sheds light on the flavour puzzle
 - All quark-lepton masses and mixings fitted
- Strong CP problem solved
- Axion and flavour scales are linked!
- Potentially rich axion phenomenology
 - Flavour violating decays
 - Dark matter

Backup slides

$$W_{\text{driving}} = P_{1,2}^{u,d} (\bar{\phi}_{1,2}^{u,d} \phi_{1,2}^{u,d} - M^2) + P_\xi (\bar{\xi} \xi - M^2),$$

Field	G_{PS}	A_4	\mathbb{Z}_5	\mathbb{Z}_3	\mathbb{Z}'_5	R	$U(1)_{PQ}$
$\phi_{1,2}^u$	(1, 1, 1)	3	α^4, α^2	β^2, β	γ^2, γ	0	2, 1
$\phi_{1,2}^d$	(1, 1, 1)	3	α^3, α	β^2, β	γ^2, γ	0	2, 1
ξ	(1, 1, 1)	1	α^4	β^2	γ^2	0	2
$\bar{\phi}_{1,2}^u$	(1, 1, 1)	3	α, α^3	β, β^2	γ^3, γ^4	0	-2, -1
$\bar{\phi}_{1,2}^d$	(1, 1, 1)	3	α^2, α^4	β, β^2	γ^3, γ^4	0	-2, -1
$\bar{\xi}$	(1, 1, 1)	1	α	β	γ^3	0	-2

Yukawa matrices can be diagonalised by bi-unitary matrices $V_{L,R}^{u,d}$, $U_{L,R}^e$

$$Y^{u,\text{diag}} = V_L^u Y^u (V_R^u)^\dagger,$$

$$Y^{d,\text{diag}} = V_L^d Y^d (V_R^d)^\dagger,$$

$$Y^{e,\text{diag}} = U_L^e Y^e (U_R^e)^\dagger.$$

We transform the fields by

$$Q \rightarrow (V_L^u)^\dagger Q,$$

$$d^c \rightarrow (V_R^d)^\dagger d^c,$$

$$u^c \rightarrow (V_R^u)^\dagger u^c.$$

Then $Y^u \rightarrow Y^{u,\text{diag}}$, $Y^d \rightarrow V_{\text{CKM}} Y^{d,\text{diag}}$, where $V_{\text{CKM}} = V_L^u (V_L^d)^\dagger$.