

The nuclear EoS: from experiments to astrophysical observations by the hand of a theoretician

Isaac Vidaña, INFN Catania



**International Workshop on Multi facets of EoS & Clustering
22-25 May 2018, Catania (Italy)**

In this talk ...

I will review different experimental and astrophysical observational constraints of the nuclear EoS as well as some of the phenomenological models & ab-initio theoretical many-body approaches commonly used in its description

Two recent excellent reviews on the topic are



Frogs are copyrighted. Contact the CLP at 1.800.637.8038 or info@clp.mcgill.ca for more information.



Oertel, Hempel, Klahn & Typel, Rev. Mod. Phys. 89, 015007 (2017)

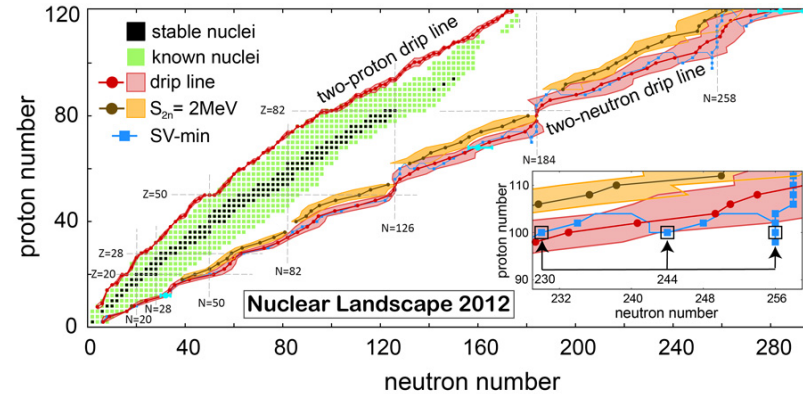


Burgio & Fantina, arXiv:1804.03020 (2018). To appear in the NewCompstar White Book

What do we know to build the nuclear EoS ?

- ✧ Masses, radii & other properties of more than 3000 isotopes
- ✧ Scattering (cf. > 4000 NN data for $E_{\text{lab}} < 350$ MeV)

 J. Erler et al., Nature 486, 509 (2012)

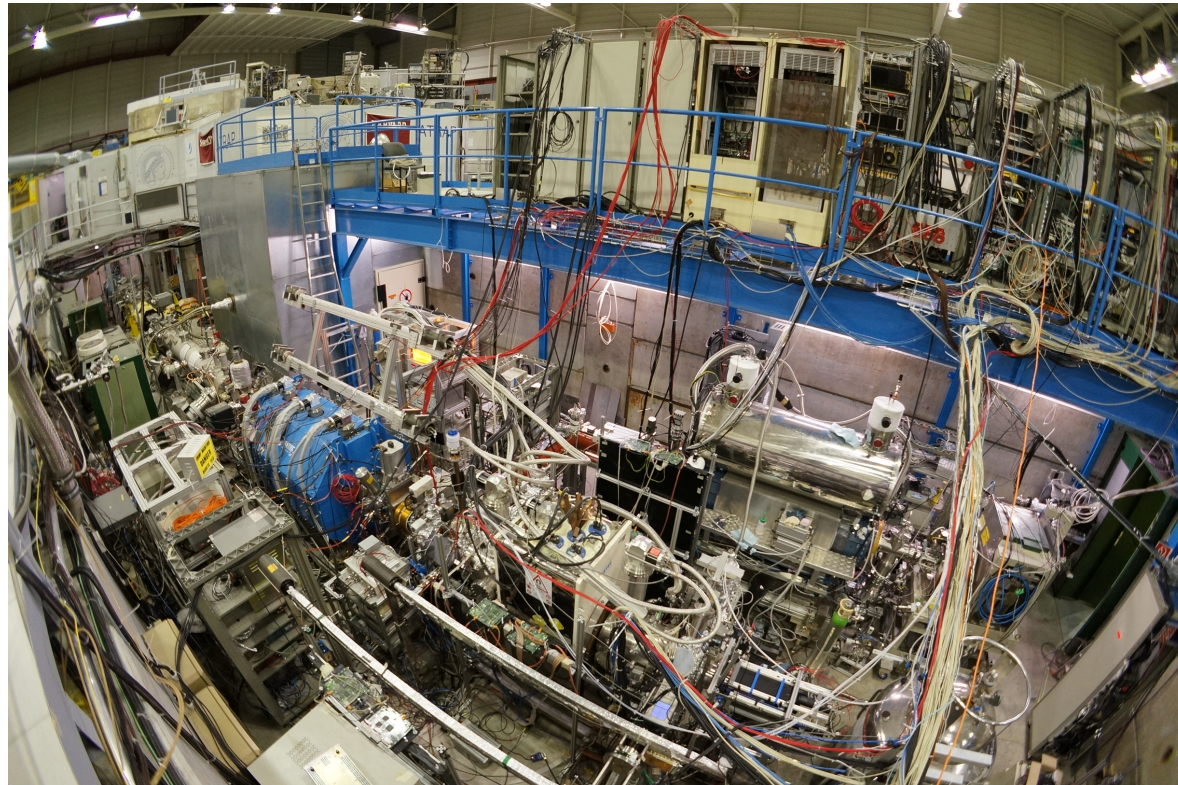


- Around ρ_0 & $\beta=0$ the nuclear EoS can be characterized by a few isoscalar (E_0, K_0, Q_0) & isovector ($E_{\text{sym}}, K_{\text{sym}}, Q_{\text{sym}}$) parameters which can be constrained by nuclear experiments & astrophysical observables

$$\frac{E}{A}(\rho, \beta) = E_0 + \frac{1}{2}K_0x^2 + \frac{1}{6}Q_0x^3 + \left(E_{\text{sym}} + Lx + \frac{1}{2}K_{\text{sym}}x^2 + \frac{1}{6}Q_{\text{sym}}x^3 \right) \beta^2 + \dots, \quad x = \frac{\rho - \rho_0}{3\rho_0}$$

- Extrapolation to high densities should rely on theoretical models to be tested with astrophysical observations

Constraints from Nuclear Physics Experiments



Density Distributions & Nuclear Binding Energies

✧ Density distributions:

(e,e') elastic scattering, hadron probes

$$A = N + Z \rightarrow \infty$$

$$\rho_0 \sim 0.16 \text{ fm}^{-3}$$

✧ Nuclear binding energies:

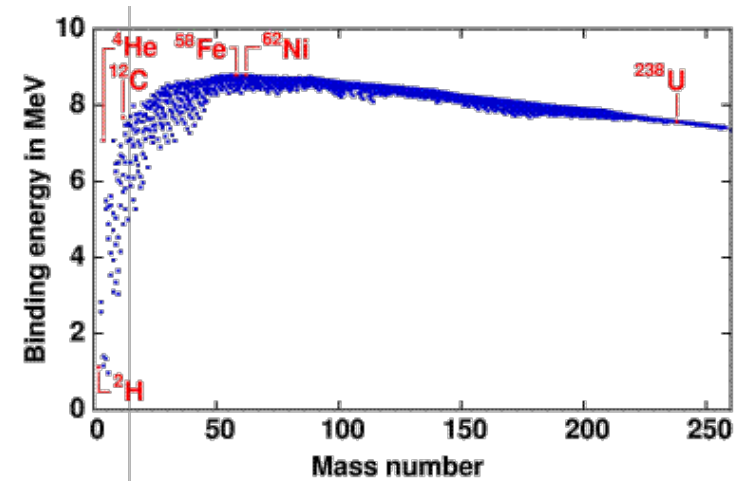
$$B(N, Z) = a_v A + a_s A^{2/3} + a_c \frac{Z^2}{A^{1/3}} + (a_{Av} A + a_{As} A^{2/3}) \frac{(N - Z)^2}{A^2} + \delta a_p A^{-1/2}$$

Measurements of nuclear binding energies allow the identification

$$a_v \Leftrightarrow B_{sat} = -E_0$$

$$a_{Av} \Leftrightarrow E_{sym}$$

(in the limit $A = N + Z \rightarrow \infty$)



Recent fits of binding energies with non-relativistic & relativistic EDF give

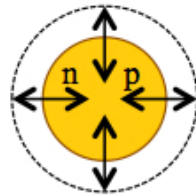
$$\text{SHF models: } B_{sat} = (15.96 \pm 0.31) \text{ MeV}, \quad E_{sym} = (31.2 \pm 6.7) \text{ MeV}$$

$$\text{RMF models: } B_{sat} = (16.13 \pm 0.51) \text{ MeV}, \quad E_{sym} = (33.4 \pm 4.7) \text{ MeV}$$



Nuclear Resonances

✧ ISGMR



$$\Delta L=0$$

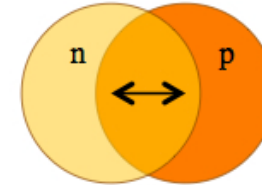
$$\Delta S=0, \Delta T=0$$

K_0 from the measurement of excitation energy E_{ISGMR}
 Typical values in the range $\sim 210 - 270$ MeV



Phys. Rep. 64, 171 (1980); PRC 90, 055203 (2014)

✧ IVGDR



$$\Delta L=1$$

$$\Delta S=0, \Delta T=1$$

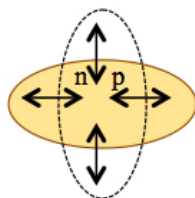
Symmetry energy influences the excitation energies of IVGDR. Their analysis allows to determine E_{sym}

$$23.3 < E_{\text{sym}}(\rho = 0.1 \text{fm}^{-3}) = 24.9 \text{ MeV}$$



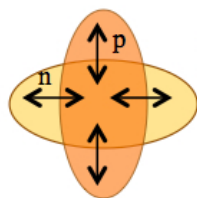
Trippa et al., PRC 77, 061304 (R) (2008)

✧ ISGQR & IVGQR



$$\Delta L=2$$

$$\Delta S=0, \Delta T=0$$



$$\Delta L=2$$

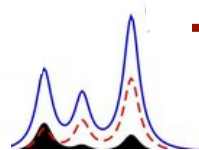
$$\Delta S=0, \Delta T=1$$

Correlation of Δr_{np} with ISGQR & IVGQR excitation energies from which

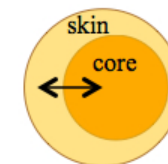
$$\Delta(^{208}\text{Pb}) = 0.14 \pm 0.03 \text{ fm}, \quad L = 37 \pm 18 \text{ MeV}$$



Roca-Maza et al., PRC 87, 037301 (2013)



✧ PDR



Collective oscillation of neutron skin against the core

Sensitive to the symmetry energy. A recent analysis of PDR in ^{68}Ni & ^{132}Sn using RPA models for the dipole response based in Skyrme & RMF give

$$E_{\text{sym}} = 32.3 \pm 1.3 \text{ MeV}, \quad L = 64.8 \pm 15.7 \text{ MeV}$$



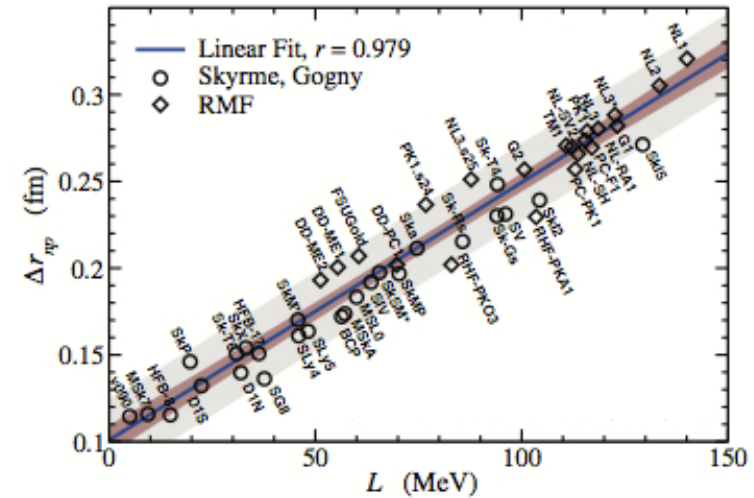
Carbone et al., PRC 81, 041301 (R) (2010)

Neutron Skin Thickness & Electric Dipole Polarizability

✧ Neutron skin thickness Δr_{np}

Accurate measurements of Δr_{np} via **parity-violating electron scattering** or **antiprotonic atom data** can constrain $E_{sym}(\rho)$, particularly L via its **strong correlation** with Δr_{np}

 Viñas et al., EPJA 50, 27 (2014)



✧ Electric dipole polarizability α_D

Information on $E_{sym}(\rho)$ from available data of α_D of ^{68}Ni , ^{120}Sn & ^{208}Pb . **Strong correlation** of $\alpha_D E_{sym}$ with L

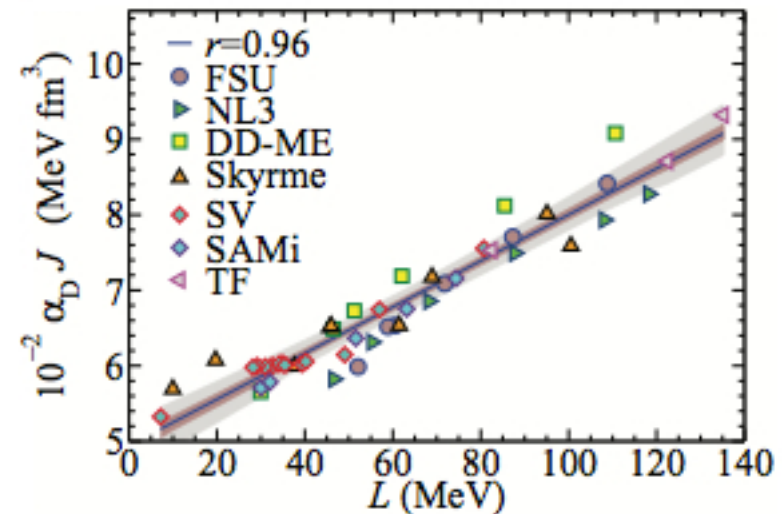
$$E_{sym} = 30 - 35 \text{ MeV}, \quad L = 22 - 66 \text{ MeV}$$

$$\Delta r_{np}(^{68}\text{Ni}) = 0.15 - 0.19 \text{ fm}$$

$$\Delta r_{np}(^{120}\text{Sn}) = 0.12 - 0.16 \text{ fm}$$

$$\Delta r_{np}(^{208}\text{Pb}) = 0.13 - 0.19 \text{ fm}$$

 Roca-Maza et al., PRC 92, 064304 (2015)



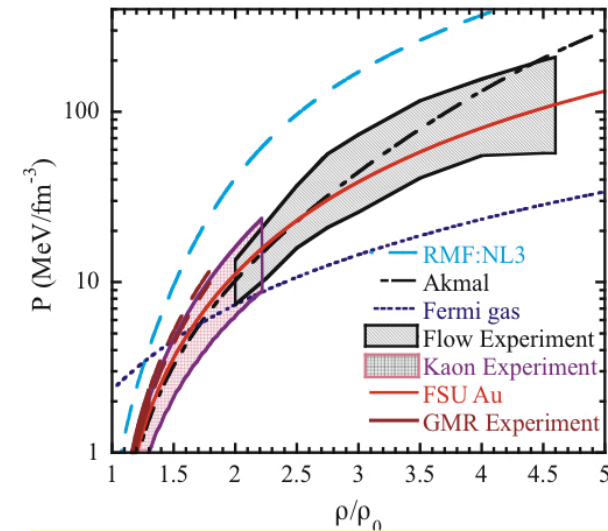
EoS from Heavy Ion Collisions

The analysis of data from HIC requires the use of **transport models** which **do not depend directly on the EoS** but rather on the **mean field** of the participant particles & the **in-medium cross sections** of the relevant reactions

However, there are several transport codes in the market. A natural question arises: **How much the results depend on the transport codes ?**



P. Danielewicz et al., Science 298, 1592 (2002)



Several observables in HIC are sensitive to the nuclear EoS

sub-saturation densities

- ✓ n/p & $t^3\text{He}$ ratios
- ✓ isospin fragmentation & isospin scaling
- ✓ np correlation functions at low rel. mom.
- ✓ isospin diffusion/transport
- ✓ neutron-proton differential flow

supra-saturation densities

- ✓ π^-/π^+ & K^-/K^+ ratios
- ✓ np differential transverse flow
- ✓ nucleon elliptic flow at high trans. mom.
- ✓ n/p ratio of squeezed out nucleons perpendicular to the reaction plane

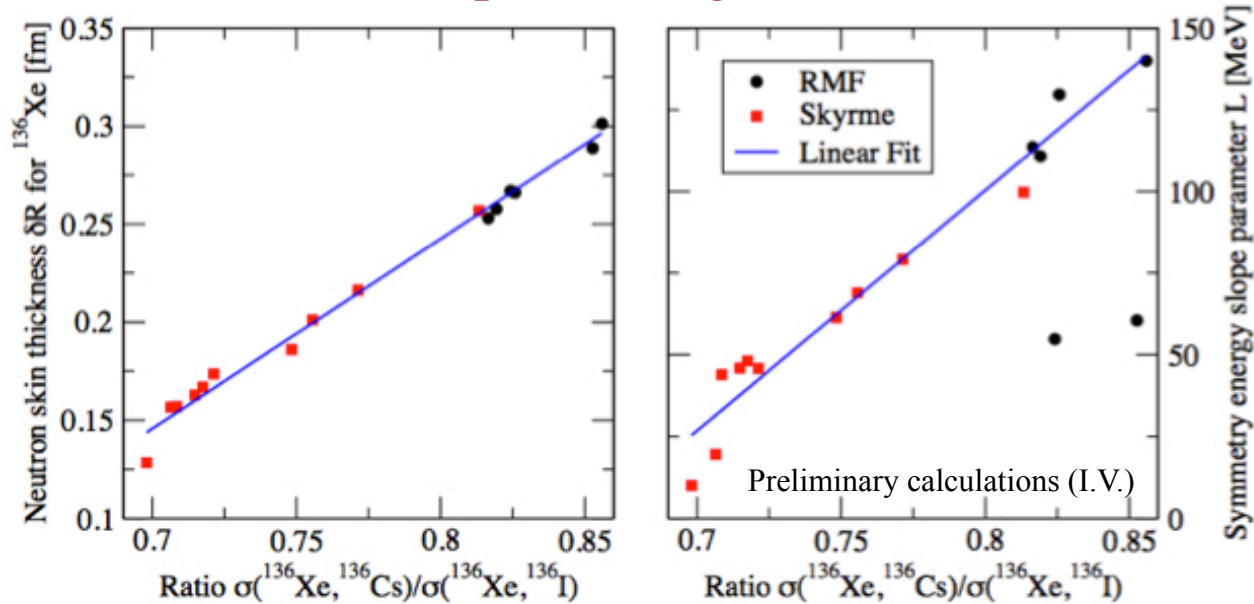
Neutron Skin Thickness & Symmetry Energy from Isobar Charge Exchange Reactions

Accurate measurements of

$$R = \frac{\sigma_{(A_Z, A_{(Z+1)})}}{\sigma_{(A_Z, A_{(Z-1)})}}$$

can be used to extract the **neutron skin thickness** of heavy nuclei & **L**

^{136}Xe on a proton target at 1 GeV/A



Proposal for SuperFRS. Spokesperson: J. Benlliure

Astrophysical Constraints



Neutron Star Masses

NS masses can be inferred directly from **observations of binary systems**

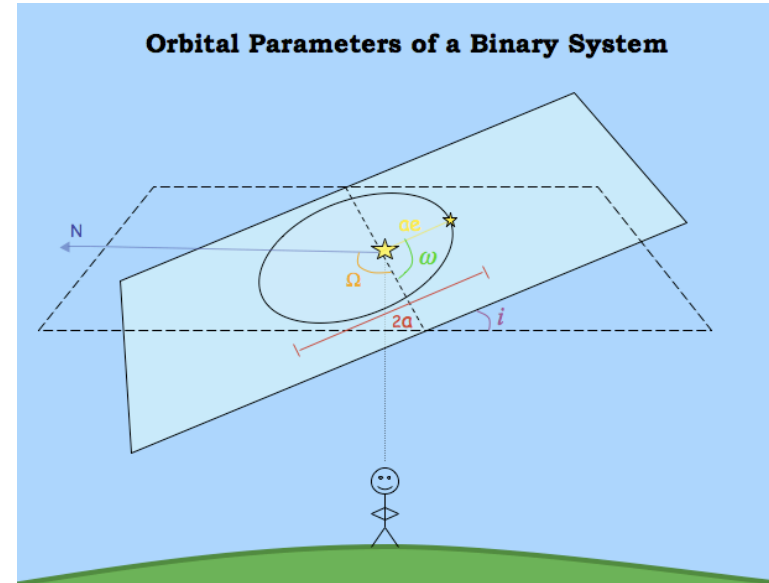
- 5 orbital (Keplerian) parameters can be precisely measured:
 - ✓ Orbital period (P)
 - ✓ Projection of semimajor axis on line of sight ($a \sin i$)
 - ✓ Orbit eccentricity (ϵ)
 - ✓ Time of periastron (T_0)
 - ✓ Longitude of periastron (ω_0)
- 3 unknowns: M_1, M_2, i

Kepler's 3rd law

$$\frac{G(M_1 + M_2)}{a^3} = \left(\frac{2\pi}{P}\right)^2 \rightarrow$$

$$f(M_1, M_2, i) \equiv \frac{(M_2 \sin i)^3}{(M_1 + M_2)^2} = \frac{Pv^3}{2\pi G}$$

mass function



In few cases small deviations from Keplerian orbit due to GR effects can be detected

Measure of at least 2 post-Keplerian parameters



High precision NS mass determination

$$\dot{\omega} = 3T_{\otimes}^{2/3} \left(\frac{P_b}{2\pi} \right)^{-5/3} \frac{1}{1-\varepsilon} (M_p + M_c)^{2/3}$$



Advance of the periastron

$$\gamma = T_{\otimes}^{2/3} \left(\frac{P_b}{2\pi} \right)^{1/3} \varepsilon \frac{M_c (M_p + 2M_c)}{(M_p + M_c)^{4/3}}$$



Time dilation & grav. redshift

$$r = T_{\otimes} M_c$$



Shapiro delay “range”

$$s = \sin i = T_{\otimes}^{-1/3} \left(\frac{P_b}{2\pi} \right)^{-2/3} x \frac{(M_p + M_c)^{2/3}}{M_c}$$



Shapiro delay “shape”

$$\dot{P}_b = -\frac{192\pi}{5} T_{\otimes}^{5/3} \left(\frac{P_b}{2\pi} \right)^{-5/3} f(\varepsilon) \frac{M_p M_c}{(M_p + M_c)^{1/3}}$$



Orbit decay due to GW emission

Recent Measurements of High NS Masses

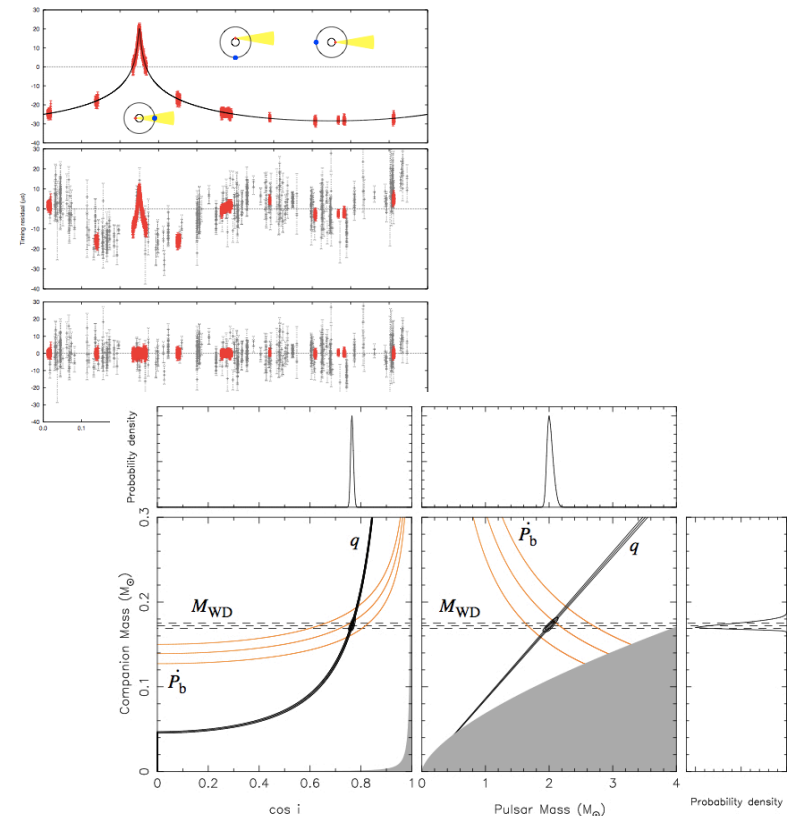
■ PSR J164-2230 (Demorest et al. 2010)

- ✓ binary system ($P=8.68$ d)
- ✓ low eccentricity ($\epsilon=1.3 \times 10^{-6}$)
- ✓ companion mass: $\sim 0.5M_{\odot}$
- ✓ pulsar mass: $M = 1.928 \pm 0.017M_{\odot}$

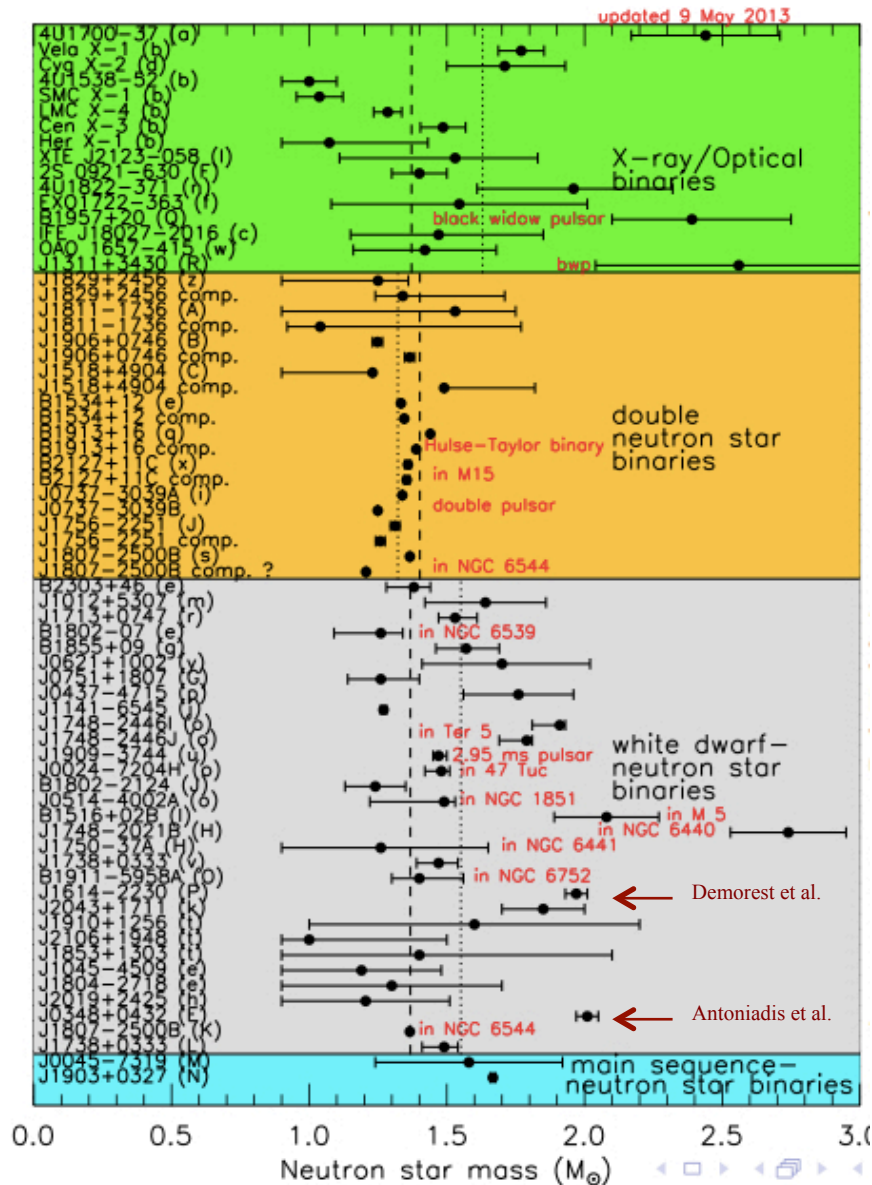
■ PSR J0348+0432 (Antoniadis et al. 2013)

- ✓ binary system ($P=2.46$ h)
- ✓ very low eccentricity
- ✓ companion mass: $0.172 \pm 0.003M_{\odot}$
- ✓ pulsar mass: $M = 2.01 \pm 0.04M_{\odot}$

In this decade NS with $2M_{\odot}$ have been observed by measuring **post-Keplerian parameters** of their orbits



Measured Neutron Star Masses (2018)



updated from Lattimer 2013

Observation of $\sim 2 M_{\odot}$ neutron stars imposes a **very stringent constraint**



Any reliable nuclear EoS should satisfy

$$M_{\max} [EoS] > 2M_{\odot}$$

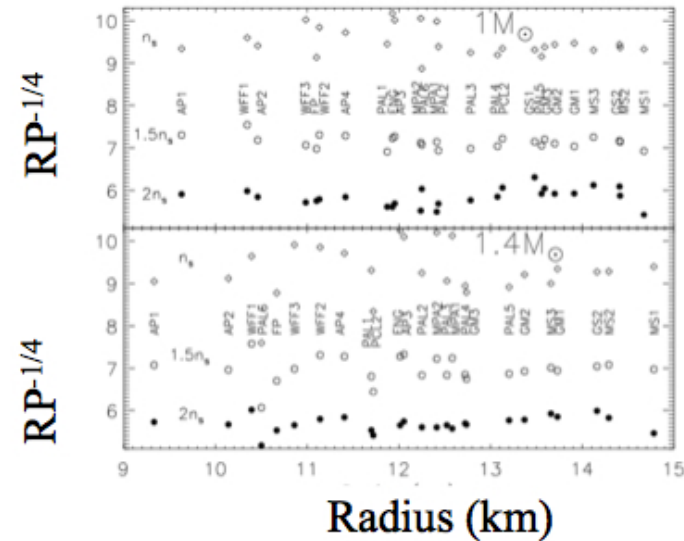
otherwise is rule out

EoS Constraints from Neutron Star Radius

✧ Pressure-radius correlation

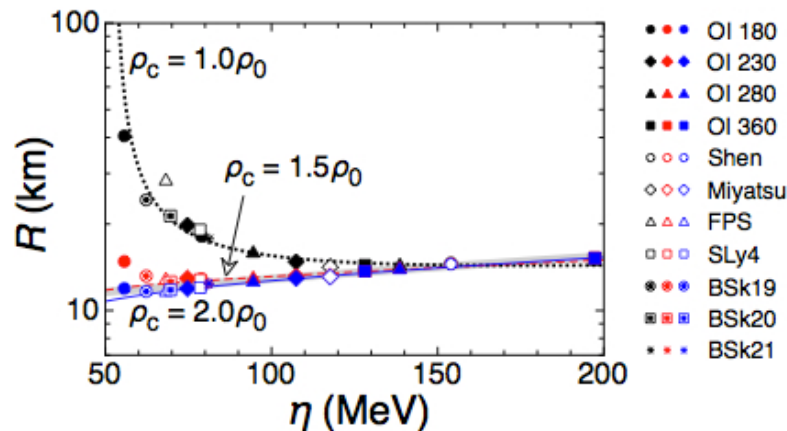
The analysis of this correlation can put stringent constraints in $E_{\text{sym}}(\rho)$

$$P(\rho, \beta) = \frac{\rho^2}{3\rho_0} \left(L\beta^2 + (K_0 + K_{\text{sym}}\beta^2) \frac{\rho - \rho_0}{3\rho_0} + \dots \right)$$



Lattimer & Prakash, ApJ 550, 426 (2001)

✧ Low mass neutron stars



Possible simultaneous measurement of M & R of low mass NS could constrain EoS

$$\eta = (K_0 L^2)^{1/3}$$



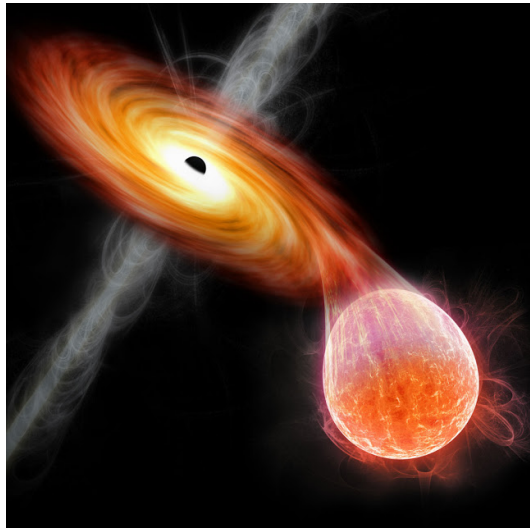
Sotani et al., PTEP 051E018 (2014)

Neutron Star Radii

Radii are **very difficult to measure** because NS:

- ✧ are **very small** (~ 10 km)
- ✧ are **far from us** (e.g., the closest NS, RX J1856.5-3754, is at ~ 400 ly)

A possible way to measure it is to use the **thermal emission of low mass X-ray binaries**:



NS radius can be obtained from

- ✧ **Flux measurement** + Stefan-Boltzmann's law
- ✧ **Temperature** (Black body fit+atmosphere model)
- ✧ **Distance estimation** (difficult)
- ✧ **Gravitational redshift z** (detection of absorption lines)

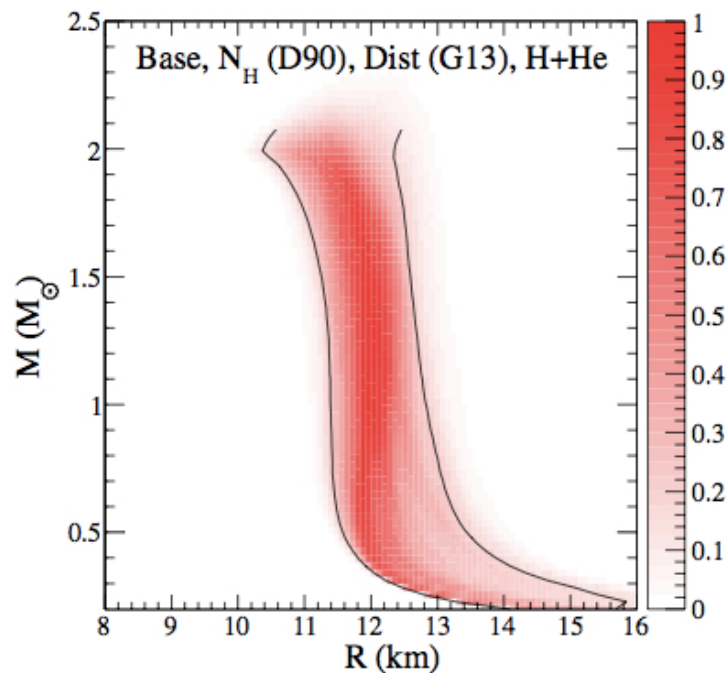
$$R_{\infty} = \sqrt{\frac{FD^2}{\sigma_{SB}T^4}} \rightarrow R_{NS} = \frac{R_{\infty}}{1+z} = R_{\infty} \sqrt{1 - \frac{2GM}{R_{NS}c^2}}$$

Recent Estimations of Neutron Star Radii

The recent analysis of the thermal spectrum from 5 quiescent LMXB in globular clusters **is still controversial**



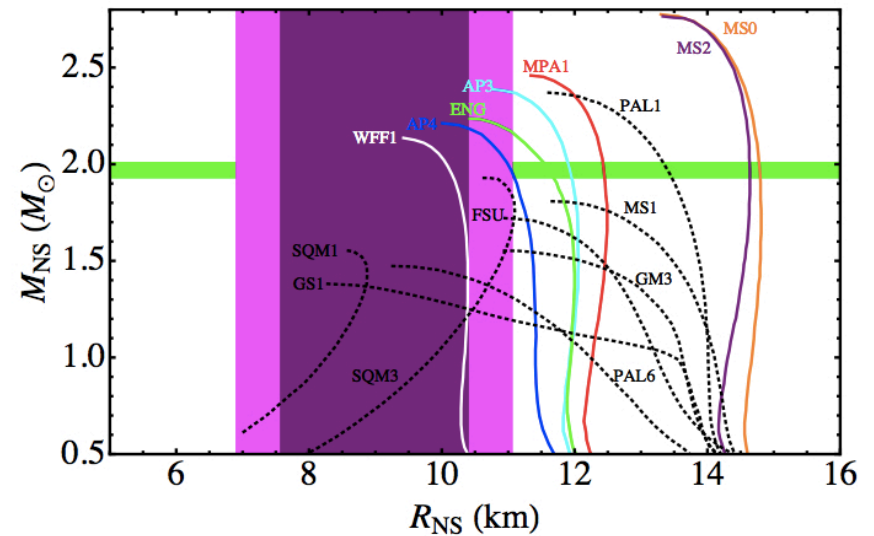
Steiner et al. (2013, 2014)



$$R = 12.0 \pm 1.4 \text{ km}$$



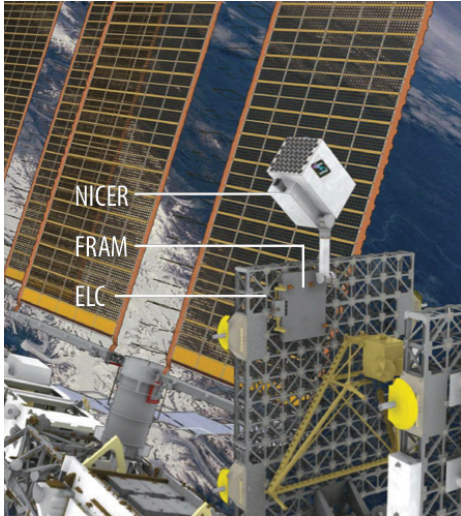
Guillot et al. (2013, 2014)



$$R = 9.1^{+1.3}_{-1.5} \text{ km} \text{ 2013 analysis}$$

$$R = 9.4 \pm 1.2 \text{ km} \text{ 2014 analysis}$$

NICER: Neutron Star Interior Composition Explorer



- ✧ International Space Station (ISS) payload devoted to the study of neutron stars through soft X-ray timing
- ✧ Launched aboard a SpaceX Falcon 9 rocket on June 3rd 2017

✧ Science objectives:

- To resolve the nature of **ultradense matter** at the threshold of collapse to a black hole
- To reveal the **interior composition, dynamic processes & radiation mechanisms** of neutron stars
- To measure **neutron star radii** to 5% precision

Neutron Star Rotation

Rotation of pulsars can be accurately measured. However, pulsars **cannot spin arbitrarily fast**. There is an **absolute maximum (minimum) rotational frequency (period)**

Centrifugal Force = Gravitational Force



Keplerian Frequency Ω_K
(EoS dependent)

Newtonian Gravity

$$P_{\min} = 2\pi \sqrt{\frac{R^3}{GM}} \approx 0.55 \left(\frac{M_{\text{sun}}}{M} \right)^{1/2} \left(\frac{R}{10\text{km}} \right)^{3/2} \text{ ms}$$

General Relativity

$$P_{\min} = 0.96 \left(\frac{M_{\text{sun}}}{M} \right)^{1/2} \left(\frac{R}{10\text{km}} \right)^{3/2} \text{ ms}$$

An **observed frequency above the Ω_k** predicted by a given EoS would **rule out** that model

Fasted pulsar known: PSR J1748-2446ad (P=1.39595482 ms)
cannot allow to put stringent constraints on existing EoS

Thermal Evolution of Neutron Stars

Information, complementary to that from mass & radius, can be also obtained from the measurement of the **temperature (luminosity) of neutron stars**

Two cooling regimes

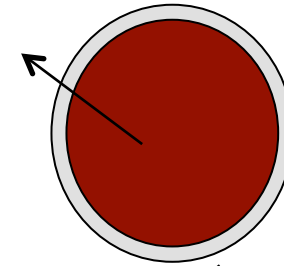
Slow

Low NS mass

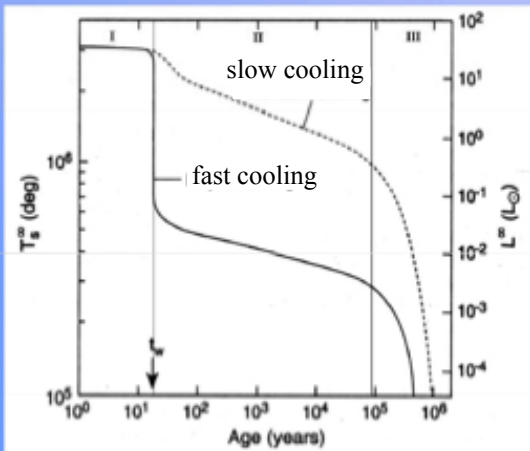
Fast

High NS mass

Core cools by
neutrino emission



Surface photon emission
dominates at $t > 10^6$ yrs



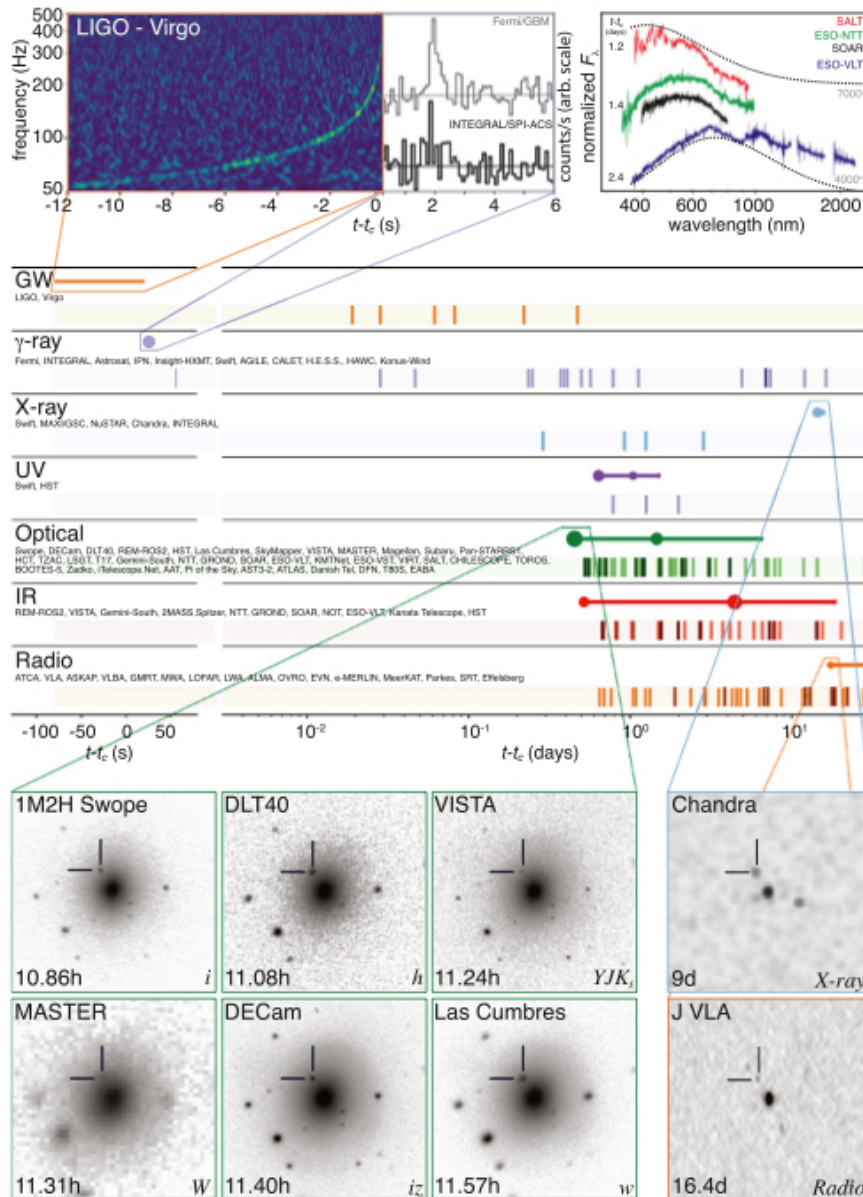
- I. Core relaxation epoch
- II. Neutrino cooling epoch
- III. Photon cooling epoch

$$\frac{dE_{th}}{dt} = C_v \frac{dT}{dt} = -L_\gamma - L_\nu + H$$

- ✓ C_v : specific heat
- ✓ L_γ : photon luminosity
- ✓ L_ν : neutrino luminosity
- ✓ H : “heating”

Strong dependence on the NS
composition & EoS

Multi-messenger Observations of the Event GW170817



LIGO/VIRGO GW detection with associated electromagnetic events observed by over 70 observatories

➤ August 17th 2017 12:41:04 UTC

GW from a BNS merger detected by Adv. LIGO & Adv. VIRGO

➤ + 1.7 seconds

GRB (GRB170817A) detected by FERMI γ -ray Burst Monitor & INTEGRAL

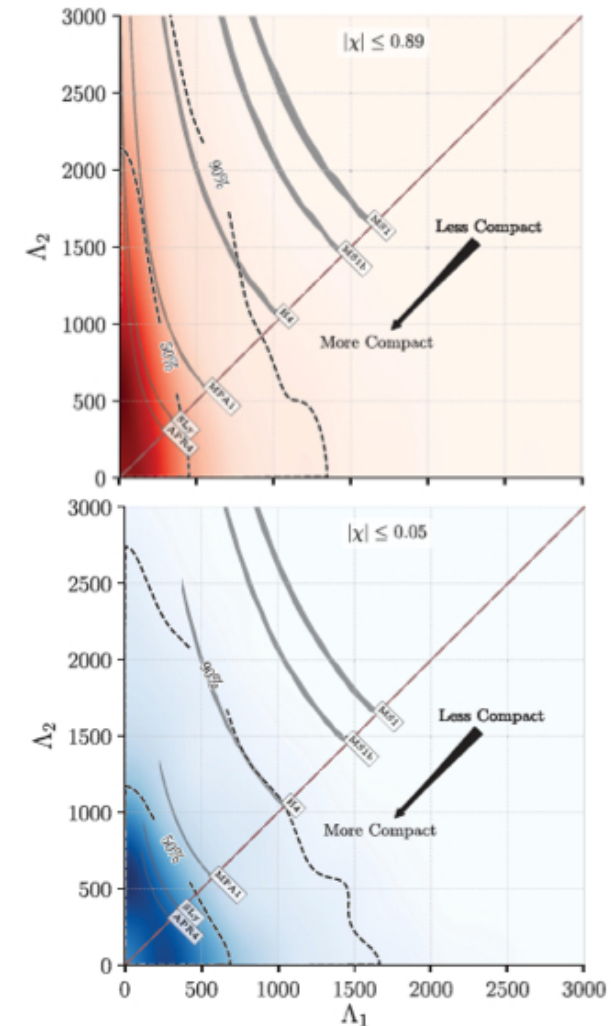
➤ Next hours & days

- New bright source of optical light (SSS17a) detected in the galaxy NGC 4993 in the Hydra constellation (+10h 52m)
- Infrared emission observed (+11h 36m)
- Bright ultraviolet emission detected (+15h)
- X-ray emission detected (+9d)
- Radio emission detected (+16d)

First Analysis & Implications of GW170817

The very first analysis of the event GW170817 seem to indicate:

- ✧ NS radii should be $R < 13$ km or even smaller than 12 km. Some analysis suggest $R < 11$ km \longrightarrow **Constraint on the EoS: those predicting large radii excluded ?**
- ✧ Low value of the upper limit of tidal deformability indicates a **soft EoS**



Other neutron star observables

Other **NS observables** can also help to constraint direct or indirectly the nuclear EoS

✧ Gravitational Redshift:

$$z = \left(1 - \frac{2GM}{c^2 R}\right)^{-1/2} - 1$$

Measurements of z allow to **constraint the ratio of M/R**

✧ Quasi-periodic Oscillations:

QPO in X-ray binaries measure the difference between the NS rot. freq. & the Keplerian freq. of the innermost stable orbit of matter elements in the accretion disk. Their observation & analysis can put **stringent constraints on masses, radii & rotational periods**

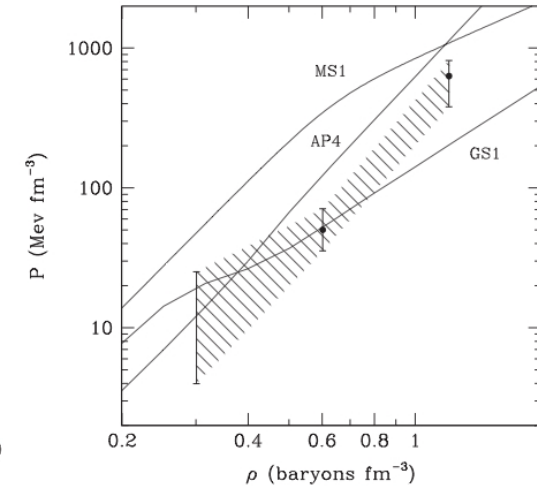
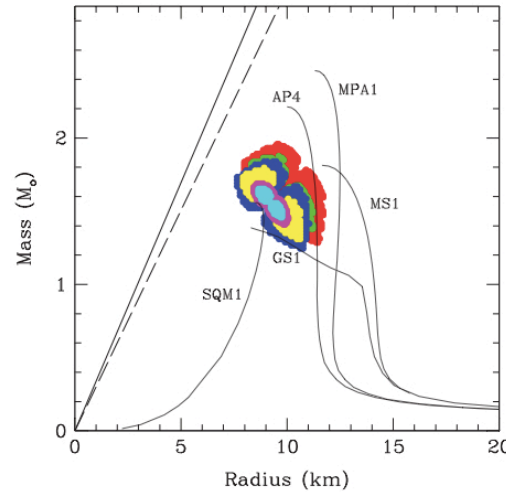
✧ NS moment of inertia:

$$I = \frac{J(\Omega)}{\Omega}; \quad J(\Omega) = \frac{8\pi}{3} \int_0^R dr r^4 \frac{\rho(r) + \varepsilon(r)}{\sqrt{1 - \frac{2M(r)}{r}}} (\Omega - \omega(r)) e^{-\nu(r)}$$

Measurements of I could also **constraint EoS**. But **not measured yet**. Lower bound can be inferred from timing observations of Crab pulsar

Astrophysical determination of the nuclear EoS

- ✧ SLy below ρ_0
- ✧ Piecewise polytropic EoS above ρ_0 from mass-radius relation of 3 type-I X-ray bursts



$$\rho_{i-1} < \rho \leq \rho_i, \quad \varepsilon = \alpha_i \rho + \beta_i \rho^{\Gamma_i}, \quad P = (\Gamma_i - 1) \beta_i \rho^{\Gamma_i}$$

| $\log P_0 (0.37\rho_{\text{ns}})$ | $\log P_1 (1.85\rho_{\text{ns}})$ | $\log P_2 (3.7\rho_{\text{ns}})$ | $\log P_3 (7.4\rho_{\text{ns}})$ |
|-----------------------------------|-----------------------------------|----------------------------------|----------------------------------|
| -0.64 | [0.6-1.4] | $1.70^{+0.15}_{-0.15}$ | $2.8^{+0.1}_{-0.2}$ |

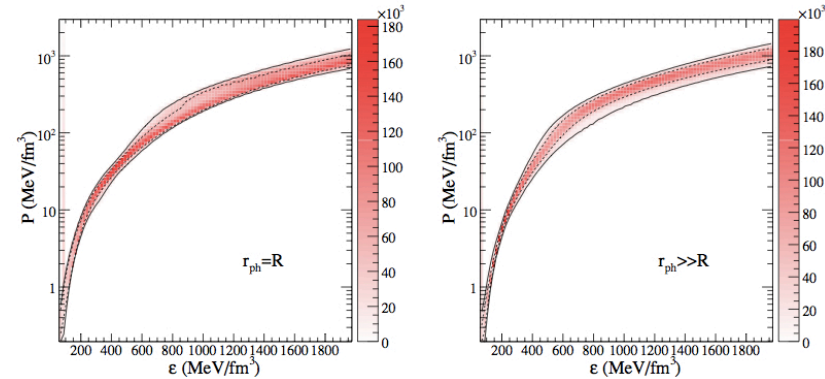


F. Ozel & D. Psaltis, PRD 80, 103003 (2009)
 F. Ozel, G. Baym & T. Guver, PRD 82, 101301(R) (2010)

Astrophysical determination of the nuclear EoS

✧ Nuclear parameters determined in a Bayesian data analysis of:

- 3 type-I X-ray burst
- 3 transient low mass X-ray binaries
- Cooling of 1 isolated NS, RX J1856-3754



Parameters in the range expected from nuclear systematics & lab. experiments

| Quantity | Lower Limit | Upper Limit |
|--------------------------------|--------------|-------------|
| K (MeV) | 180 | 280 |
| K' (MeV) | -1000 | -200 |
| S_v (MeV) | 28 | 38 |
| γ | 0.2 | 1.2 |
| n_1 (fm $^{-3}$) | 0.2 | 1.5 |
| n_2 (fm $^{-3}$) | 0.2 | 2.0 |
| ϵ_1 (MeV fm $^{-3}$) | 150 | 600 |
| ϵ_2 (MeV fm $^{-3}$) | ϵ_1 | 1600 |

$$\epsilon = n_B \left\{ m_B + B + \frac{K}{8} (u-1)^2 + \frac{K'}{162} (u-1)^3 \right. \\ \left. + (1-2x)^2 \left[S_k u^{2/3} + S_p u^\gamma \right] + \frac{3}{4} \hbar c x (3\pi^2 n_b x)^{1/3} \right\} \\ u = \frac{n_B}{n_0}, \quad x = \frac{n_p}{n_B}$$



Building the Nuclear EoS



Approaches to the Nuclear EoS: “Story of Two Philosophies”

Ab-initio Approaches

Based on **two- & three-nucleon realistic interactions** which reproduce scattering data & the deuteron properties. The EoS is obtained by “**solving**” the **complicated many-body problem**

- ✧ **Variational approaches:** FHNC
- ✧ **Diagrammatic methods:** BBG (BHF), SCGF
- ✧ **Monte-Carlo techniques:** VMC, DMC, GMC, AFDMC
- ✧ **RG methods:** $V_{\text{low } k}$
- ✧ **Others:** χ EFT, Lattice Methods, High density perturbative QCD, DS approach

Phenomenological Approaches

Based on **effective density-dependent interactions** with parameters adjusted to reproduce nuclear observables & compact star properties.

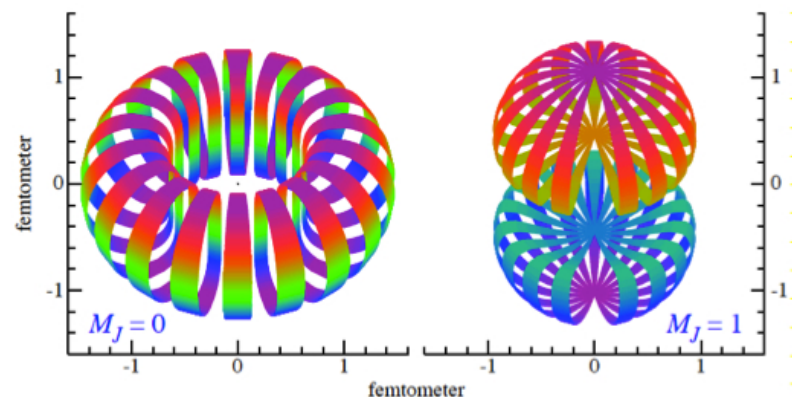
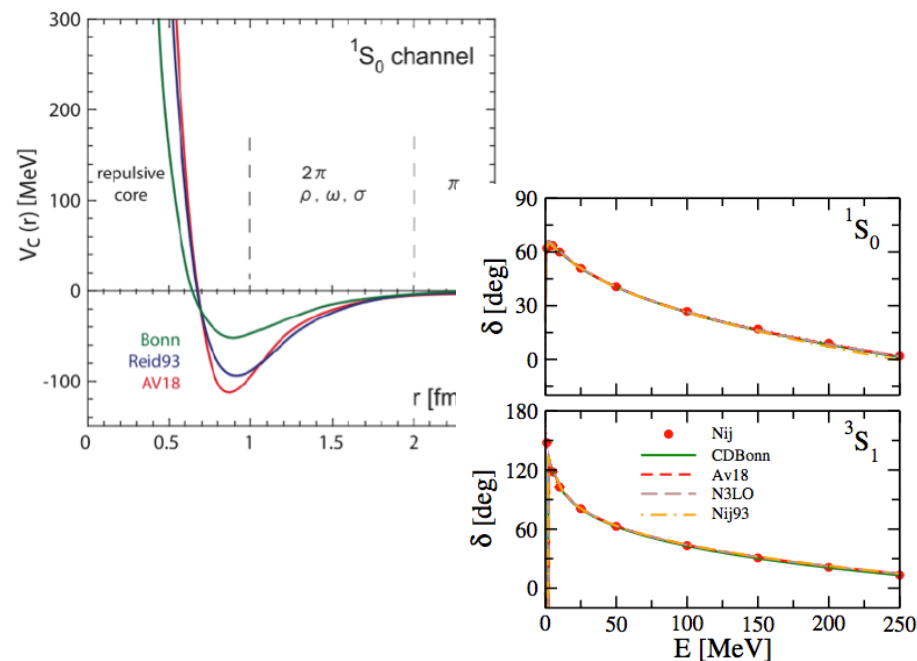
- ✧ **Non-relativistic:** Skyrme & Gogny
- ✧ **Relativistic:** RMF
- ✧ **Other:** QMC, BCPM EDP
- Non-homogeneous matter**
- ✧ **SN approximation models:** Liquid drop models, TF models, Self-consistent models
- ✧ **NSE models:** NSE, Virial EoS, models with in-medium mass shifts

Difficulties of ab-initio approaches

✧ Different NN potentials in the market ...
but all are phase-shift equivalent

✧ Short range repulsion makes any
perturbation expansion in terms of V
meaningless. Different ways of treating
SRC

✧ Complicated channel & operatorial
structure (central, spin-spin, spin-
isospin, tensor, spin-orbit, ...)



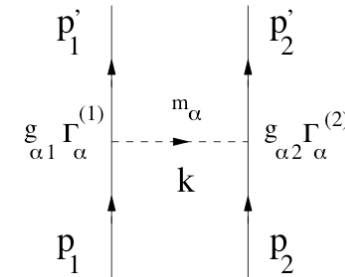
The NN interaction: meson exchange & potential models

✧ Meson Exchange Models:

NN interaction mediated by the **exchange** of different **meson** fields (e.g, Bonn, Nijmegen)

- ✧ scalar: σ, δ $\Gamma_s = 1$
- ✧ pseudoscalar: π, K, η $\Gamma_{ps} = i\gamma^5$
- ✧ vector: ρ, K, ω, ϕ $\Gamma_v = \gamma^\mu, \quad \Gamma_T = \sigma^{\mu\nu}$

$$L = g_M \Gamma_M (\bar{\Psi}_B \Psi_B) \phi_M$$



$$\langle p_1' p_2' | V_M | p_1 p_2 \rangle = \bar{u}(p_1') g_M^{(1)} \Gamma_M^{(1)} u(p_1) \frac{P_M}{(p_1 - p_1')^2 - m_M^2} \bar{u}(p_2') g_M^{(2)} \Gamma_M^{(2)} u(p_2)$$



Machleidt et al., PR. 149, 1 (1987)
Nagels et al., PRD 17, 768 (1978)

✧ Potential Models:

NN interaction is given by the **sum** of several **local operators** (e.g., Urbana, Argonne)

Ex: Local operators of Av18 potential

$$V_{ij} = \sum_{p=1,18} V_p(r_{ij}) O_{ij}^p$$

$$O_{ij}^{p=1,14} = \left[1, (\vec{\sigma}_i \cdot \vec{\sigma}_j), S_{ij}, \vec{L} \cdot \vec{S}, L^2, L^2 (\vec{\sigma}_i \cdot \vec{\sigma}_j), (\vec{L} \cdot \vec{S})^2 \right] \otimes \left[1, (\vec{\tau}_i \cdot \vec{\tau}_j) \right]$$

$$O_{ij}^{p=15,18} = \left[T_{ij}, (\vec{\sigma}_i \cdot \vec{\sigma}_j) T_{ij}, S_{ij} T_{ij}, (\tau_{zi} + \tau_{zj}) \right]$$



Wiringa et al., PRC 51, 38 (1995)

Three-Nucleon Forces

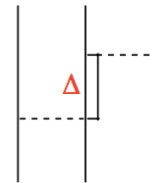
Necessary to:

- ✧ Reproduce the spectra of light nuclei
- ✧ Saturate properly in non-relativistic many-body calculations

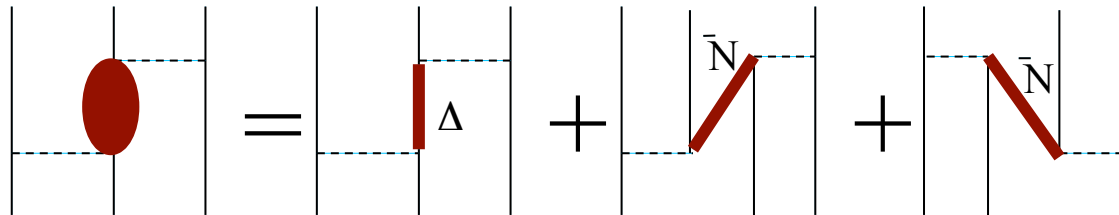
✧ **Urbana-type** $V_{ijk}^{UIX} = V_{ijk}^{2\pi} + V_{ijk}^R$

$V_{ijk}^{2\pi}$: Attractive Fujita-Miyazawa force

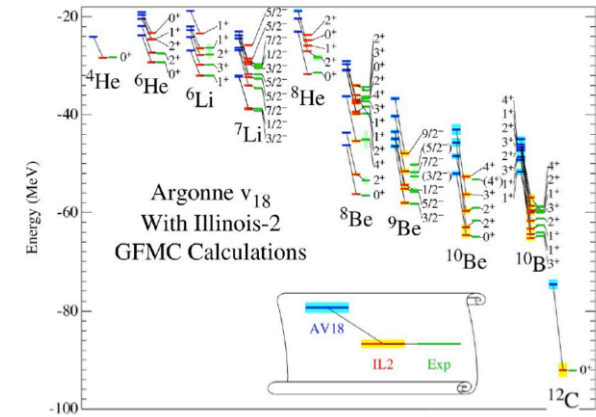
V_{ijk}^R : Repulsive & Phenomenological



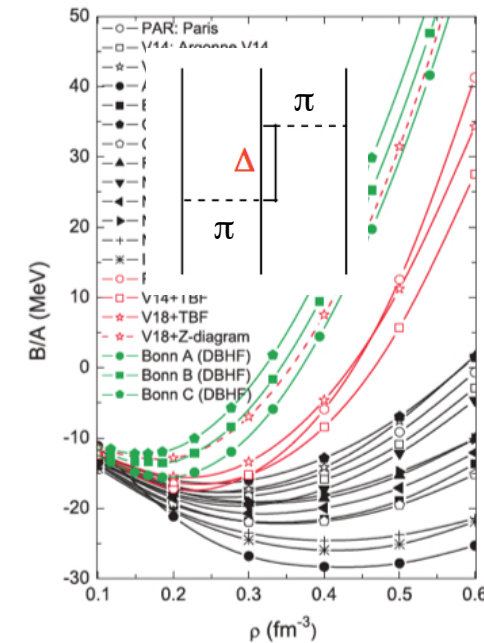
✧ **Microscopic-type**



Problem: NNN is not independent of NN



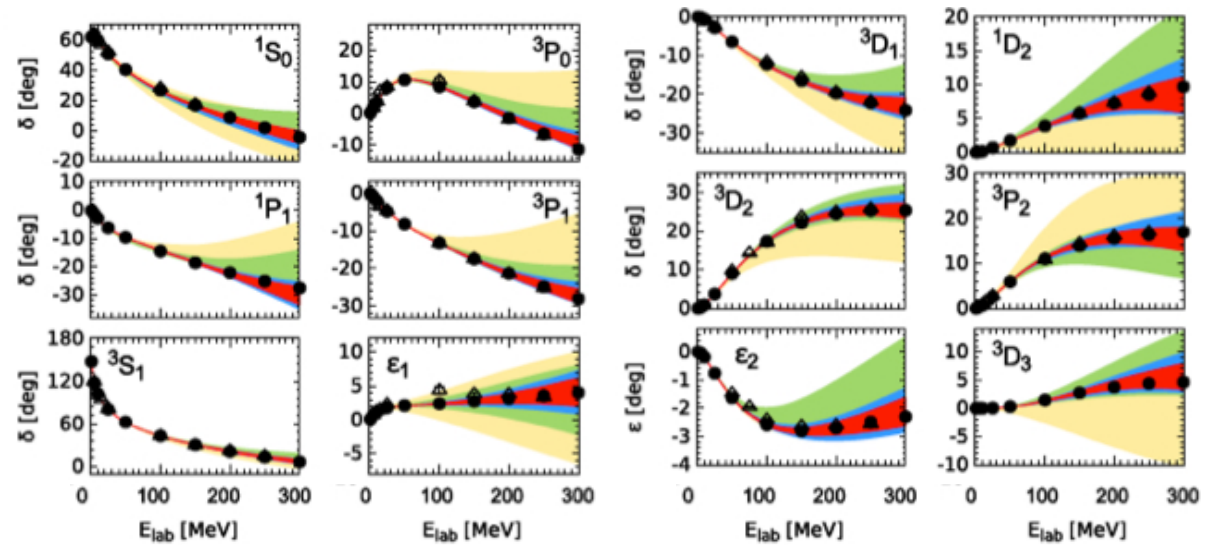
Pieper & Wiringa, ARNPS 51, 53 (2001)



Li et al., PRC 74, 047304 (2006)

The NN interaction: χ EFT forces

| | NN | 3N | 4N |
|-------------------|----|----|----|
| LO | | | |
| NLO | | | |
| N ² LO | | | |
| N ³ LO | | | |



- ✧ Starting point: most general effective chiral Lagrangian that respect required QCD symmetries where π & N (recently also Δ) are the relevant d.o.f. of the theory
- ✧ Systematic expansion in powers of Q/Λ_χ [$Q=m_\pi, k; \Lambda_\chi \sim 1$ GeV]
- ✧ Consistent derivation of 2N, 3N, 4N, ... forces



Weinberg, PLB 251, 288 (1990); NPB 363, 3 (1991)
 Entem & Machleidt, PRC 68, 041001(R) (2003)
 Epelbaum et al., NPA 747, 363 (2005)

Variational Approaches

Based on the
variational principle

$$E \leq \min \left\{ \frac{\langle \Psi_T | \hat{H} | \Psi_T \rangle}{\langle \Psi_T | \Psi_T \rangle} \right\}, \quad |\Psi_T\rangle = \hat{F} |\Phi\rangle, \quad \hat{F} = \prod_{i>j} \sum_p f^{(p)}(r_{ij}) \hat{O}_{ij}^{(p)}$$

correlation operator
uncorrelated w.f.

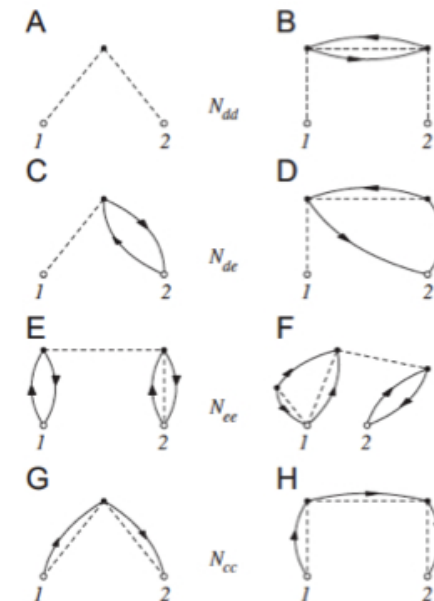
✧ Radial functions $f^{(p)}(r_{ij})$ are determined through functional minimization of the energy obtained using techniques like **FHNC** or **VMC**

FHNC: Integral equation method where the energy is evaluated by summing up series of **clusters diagrams** associated with the distribution functions of the many-body w.f. However:

- ✓ The **sum is incomplete**. Some topologies & operatorial structures are difficult to include (e.g., elementary diagrams)
- ✓ **Spin-orbit** correlations cannot be chained and are usually evaluated at the three-body cluster level

Second order perturbative corrections calculated in the **CBF** framework can be added.

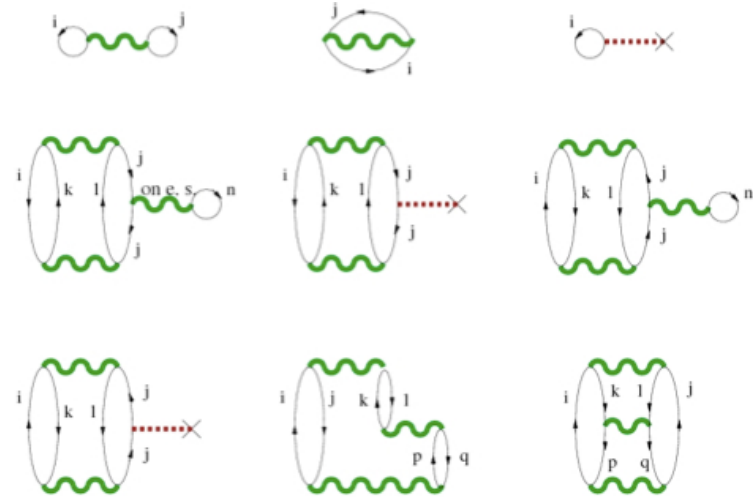
Few nodal diagrams in FHNC



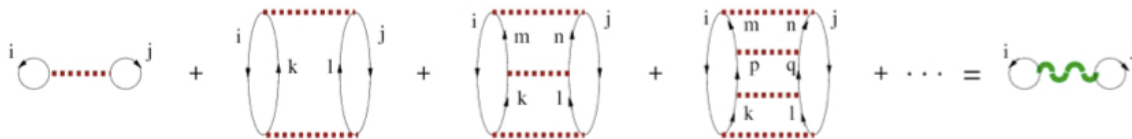
Diagrammatic Approaches: BBG theory

Ground state energy of nuclear matter evaluated in terms of the **hole-line expansion** (perturbative diagrams grouped according to the **number of independent hole lines**.)

- ✓ Hole-line expansion = **expansion in ρ**
- ✓ Contribution of diagram with **h hole-lines** to $E/A \propto \rho^{h-1}$
- ✓ Nuclear matter is a **dilute system** $c / r_0 < 1$



✧ Hole-line expansion derived by means of **Brueckner's reaction matrix** (G-matrix)



$$G(\omega) = V + V \frac{Q}{\omega - E - E' + i\eta} G(\omega)$$

✧ **BHF approximation**: leading term of the hole-line

$$E_{BHF} = \sum_{i \leq A} \langle \alpha_i | K | \alpha_i \rangle + \frac{1}{2} \text{Re} \left[\sum_{i, j \leq A} \langle \alpha_i \alpha_j | G(\omega) | \alpha_i \alpha_j \rangle \right]$$



Infinite summation of **two-hole line** diagrams



Day, Rev. Mod. Phys. 39, 719 (1967)

Diagrammatic Approaches: SCGF formalism

Energy obtained from the **Galitskii-Migdal-Koltum** (GMK) sum rule

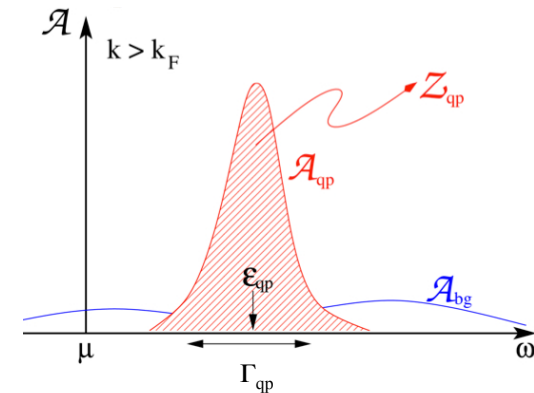
$$E = \frac{v}{\rho} \int \frac{d^3k}{(2\pi)^3} \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} \frac{1}{2} \left\{ \frac{\hbar^2 k^2}{2m} + \omega \right\} A(\vec{k}, \omega) f(\omega)$$

s. p. spectral function

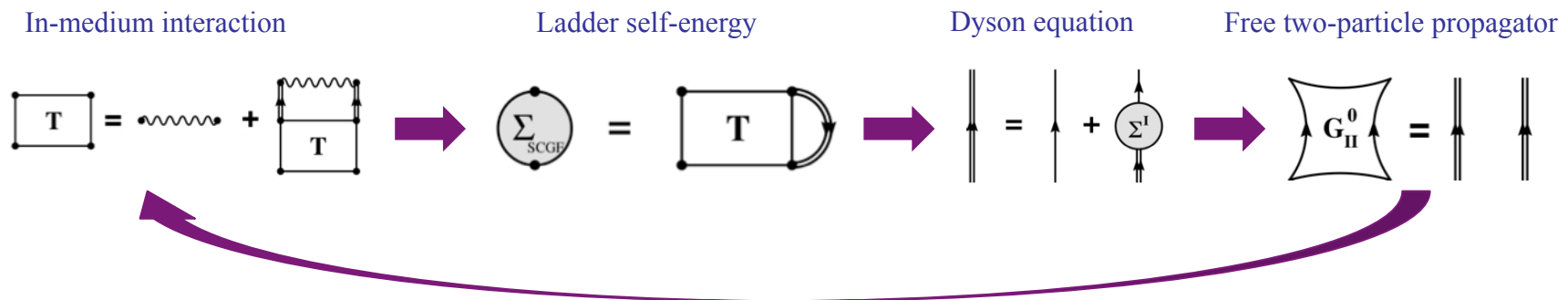
FD distribution

✧ Spectral function

$$A(\vec{k}, \omega) = \frac{-2 \operatorname{Im} \Sigma(\vec{k}, \omega)}{\left[\omega - \frac{\hbar^2 k^2}{2m} - \operatorname{Re} \Sigma(\vec{k}, \omega) \right]^2 + \left[\operatorname{Im} \Sigma(\vec{k}, \omega) \right]^2}$$



✧ Self-consistent computation scheme



Quantum Monte-Carlo Techniques

✧ VMC:

Evaluate energy & other observables using the **Metropolis method**

$$\langle \hat{O} \rangle = \frac{\sum_i \langle \Psi(\vec{R}_i) | \hat{O} | \Psi(\vec{R}_i) \rangle / W(\vec{R}_i)}{\sum_i \langle \Psi(\vec{R}_i) | \Psi(\vec{R}_i) \rangle / W(\vec{R}_i)}$$



Wiringa et al., PRC 62, 014001 (2000)

✧ DMC:

Model a diffusion process rewriting the **Schoedinger equation** in **imaginary time**

$$i \frac{\partial}{\partial t} |\Psi\rangle = \hat{H} |\Psi\rangle \Rightarrow -\frac{\partial}{\partial \tau} |\Psi\rangle = \hat{H} |\Psi\rangle$$



Anderson, J. Chem. Phys. 63, 1499 (1975)

✧ GFMC:

Sample a **trial wave function** by evaluating **path integrals** of the form

$$|\Psi(\tau)\rangle = \prod \exp\left[-(\hat{H} - E_0)\Delta\tau\right] |\Psi_v\rangle$$

$$|\Psi(\tau)\rangle \xrightarrow{n \rightarrow \infty} |\Psi_0\rangle$$



Carlson et al., PRC 68, 025802 (2003)

✧ AFDMC:

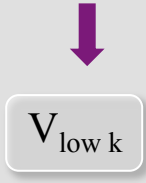
Rewrite Green's function in order to change the quadratic dependence on spin & isospin operators to a linear one by introducing **Hubbard-Stratonovich auxiliary fields**



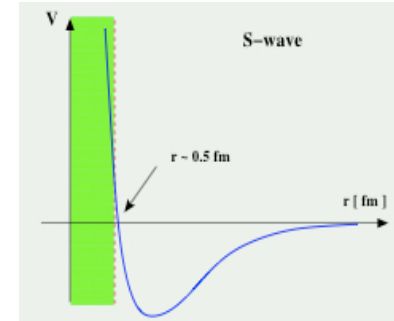
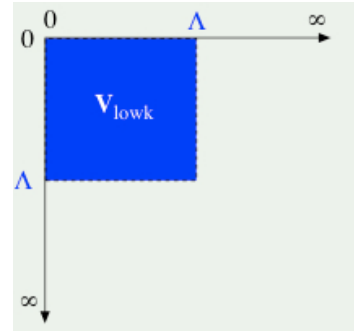
Gandolfi et al., PRC 79, 054005 (2009)

Low momentum NN interaction

Idea: start from a realistic NN interaction & integrate out the high momentum components



- ✓ phase shift equivalent
- ✓ energy independent
- ✓ softer (no hard core)
- ✓ hermitian



Modified Lippmann-Schwinger Equation

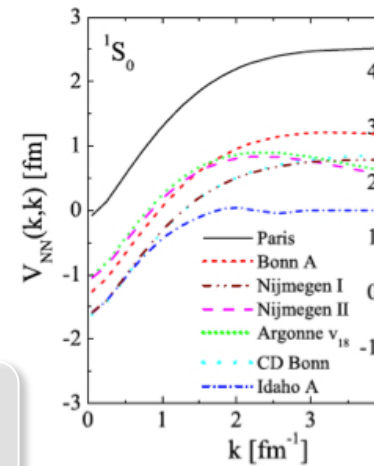
$$T(k',k;E_k) = V_{lowk}(k',k) + \frac{2}{\pi} P \int_0^\Lambda dq q^2 V_{lowk}(k',q) \frac{1}{E_k - H_0(q)} T(q,k;E_k)$$

demanding

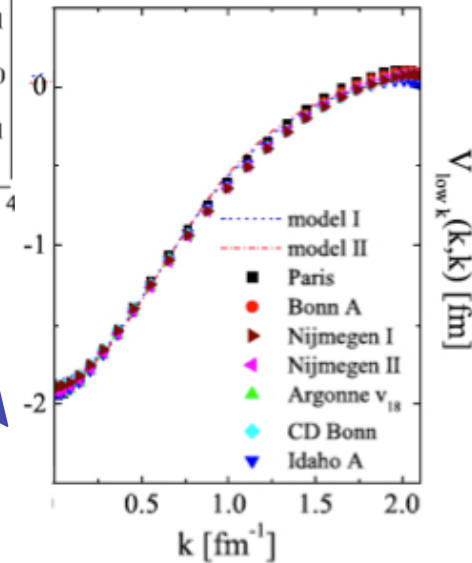
$$\frac{dT}{d\Lambda} = 0$$

Renormalization Group Flow Equation

$$\frac{d}{d\Lambda} V_{lowk}(k',k) = -\frac{2}{\pi} \frac{V_{lowk}(k',\Lambda)T(\Lambda,k;\Lambda^2)}{E_k - H_0(\Lambda)}$$



V_{lowk} evolved potentials



Other ab-initio approaches

✧ χ EFT:

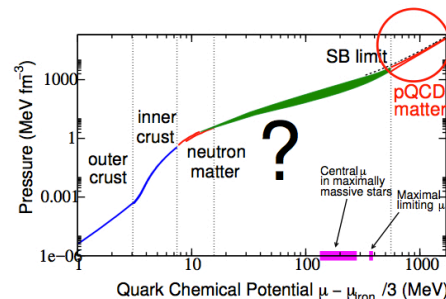
Chiral forces are usually used with standard many-body techniques. Recently, some effort have been devoted to develop an **effective field theory directly for nuclear matter**



Kaiser et al., NPA 697, 255 (2002); NPA 724, 47 (2003)

✧ High density Perturbative QCD:

Recent efforts aim to account for all **second-order $O(\alpha_s^2)$ effects** in an expansion of the **thermodynamic pressure of deconfined QCD**.



Kurkela et al., APJ 789, 127 (2014)

✧ Lattice methods:

Applied to light & medium mass nuclei and dilute neutron matter up to $\sim \rho_0/10$. No calculations of denser systems

e.g., Nuclear Lattice EFT

- ✓ **Nucleons:** point-like residing on lattice sites
- ✓ **Interactions:** EFT nuclear forces. Represented on lattice as insertions on nucleon world lines



Lee, Prog. Part. Nucl. Phys. 63, 117 (2009)

✧ Dyson-Schwinger approach:

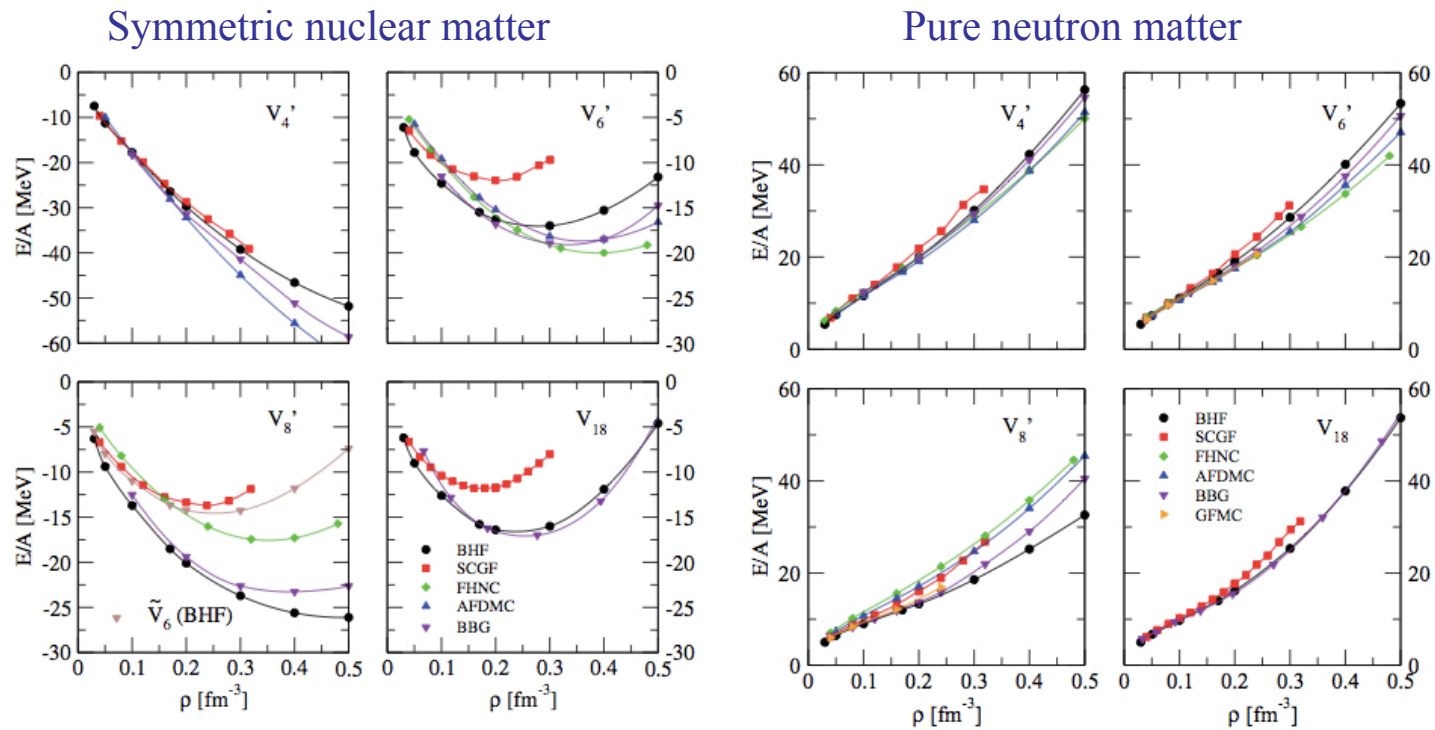
Non-perturbative approach to analyze QCD. Starting point: **QCD partition function** from which **integral DS equations** are derived for the n-point Schwinger functions of the theory. **Recently used to compute the EoS of dense homogeneous quark matter in the deconfined phase**



Chen et al., PRD 78, 116015 (2008)

A comparison of some ab-initio approaches

Compare different many-body techniques using the same NN interaction (Argonne family) to find the sources of discrepancies & ultimately determine “systematic error” associated to the nuclear EoS predicted by many-body theory



Tensor & spin-orbit and their in-medium treatment are at the heart of most of the observed discrepancies



Phenomenological Models: Skyrme & Gogny interactions

✧ Skyrme interactions:

Effective **zero-range** density dependent interaction

$$\begin{aligned} \hat{V}(\vec{r}_1, \vec{r}_2) = & t_0 (1 + x_0 \hat{P}_\sigma) \delta(\vec{r}_{12}) + \frac{t_1}{2} (1 + x_1 \hat{P}_\sigma) \left[\hat{k}' \delta(\vec{r}_{12}) + \delta(\vec{r}_{12}) \hat{k}^2 \right] \\ & + t_2 (1 + x_2 \hat{P}_\sigma) \hat{k}' \delta(\vec{r}_{12}) \hat{k} + \frac{t_3}{6} (1 + x_3 \hat{P}_\sigma) \rho^\alpha(\vec{R}_{12}) \delta(\vec{r}_{12}) \\ & + iW_0 (\hat{\sigma}_1 + \hat{\sigma}_2) \left[\hat{k}' \times \delta(\vec{r}_{12}) \hat{k} \right] \end{aligned}$$

Evaluation of the energy density in the **HF approximation** yields for nuclear matter a **simple EDF** in **fractional powers of the number densities**. Many parametrizations exist



Skyrme, Nucl. Phys. 9, 615 (1959)

✧ Gogny interactions:

Effective **finite-range** density dependent interaction

$$\begin{aligned} \hat{V}(\vec{r}_1, \vec{r}_2) = & \sum_{j=1,2} \exp\left(-\frac{r_{12}^2}{\mu_j^2}\right) \left(W_j + B_j \hat{P}_\sigma - H_j \hat{P}_\tau - M_j \hat{P}_\sigma \hat{P}_\tau \right) \\ & + t_0 (1 + x_0 \hat{P}_\sigma) \rho^\alpha(\vec{R}_{12}) \delta(\vec{r}_{12}) \\ & + iW_0 (\hat{\sigma}_1 + \hat{\sigma}_2) \left[\hat{k}' \times \delta(\vec{r}_{12}) \hat{k} \right] \end{aligned}$$

Due to the **finite-range** terms the evaluation of the energy density is **numerically more involved**. Less number of parametrizations in the market



Brink & Boeker, NPA 91, 1 (1967)

Phenomenological Models: Relativistic Mean Field Models

Based in **effective Lagrangian densities** where the interaction is modeled by **meson exchanges**

$$L = L_{nuc} + L_{mes} + L_{int} + L_{nl}$$

$$L_{nuc} = \sum_{i=n,p} \bar{\psi}_i (\gamma_\mu i\partial^\mu - m_i) \psi_i$$

$$L_{mes} = \frac{1}{2} (\partial^\mu \sigma \partial_\mu \sigma - m_\sigma^2) + \frac{1}{2} (\partial^\mu \vec{\delta} \partial_\mu \vec{\delta} - m_\sigma^2) - \frac{1}{4} G_{\mu\nu} G^{\mu\nu} + \frac{1}{2} m_\omega^2 \omega_\mu \omega^\mu - \frac{1}{4} H_{\mu\nu} H^{\mu\nu} + \frac{1}{2} m_\omega^2 \vec{\rho}_\mu \cdot \vec{\rho}^\mu$$

$$L_{int} = - \sum_{i=n,p} \bar{\psi}_i \left[\gamma_\mu (g_\omega \omega^\mu + g_\rho \vec{\tau} \cdot \vec{\rho}^\mu) + g_\sigma \sigma + g_\delta \vec{\tau} \cdot \vec{\delta} \right] \psi_i$$

$$L_{nl} = -\frac{A}{3} \sigma^3 - \frac{B}{4} \sigma^4 + \frac{C}{4} (\omega_\mu \omega^\mu)^2 + D (\omega_\mu \omega^\mu) (\vec{\rho}_\mu \cdot \vec{\rho}^\mu)$$

Nucleon & meson equations of motion are derived from the Lagrangian density and usually self-consistently solved in the **mean field approximation** where mesons are treated as **classical fields** and **negative-energy states** of nucleons are **neglected**



Boguta & Bodmer, NPA 292, 413 (1977)

Serot & Walecka, Adv. Nuc. Phys. 16, 1 (1986)

Other phenomenological models

✧ Quark Meson Coupling model:

Closely related with the RMF. Nucleons are considered a **bound states of quarks** which couple with mesons in the surrounding medium



Downum et al., Phys. Lett. B 638, 455 (2006)

✧ Barcelona-Catania-Paris-Madrid EDF:

EDF constructed by parametrizing BHF results obtained with realistic NN interactions. The addition of appropriate surface & spin-orbit contributions proves an excellent description of finite nuclei



Baldo et al., PRC 87, 064305 (2013)

✧ Other:

- ✓ Density-dependent separable model (SMO)
- ✓ Three-range Yukawa (M3Y) interactions

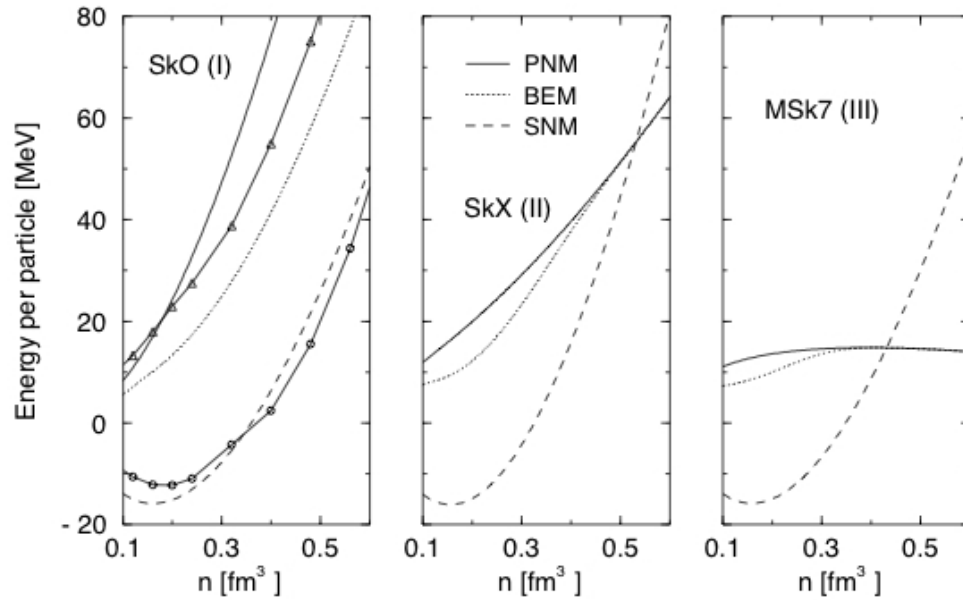


Rikovska Stone, PRC 65, 064312 (2002)
Nakada, PRC 68, 014316 (2003)

A comparison of phenomenological models

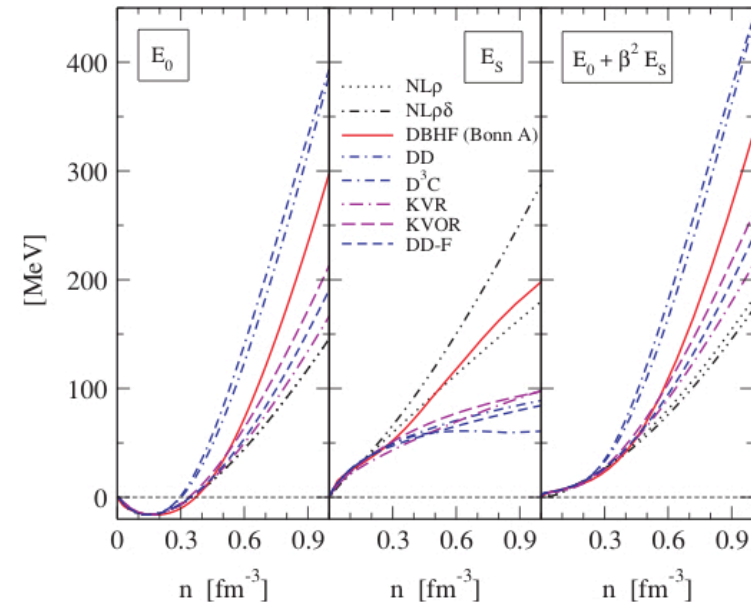
Proliferation of phenomenological models predicting different SM & NM EoS

Skyrme



J. R. Stone et al., PRC 68, 034324 (2003)

RMF



T. Klahn et al., PRC 74, 035802 (2006)

Recently M. Dutra et al., (PRC 90, 055203 (2014)) have analyzed 263 parametrizations of 7 different types of RMF imposing constraints from SM, PNM & Symmetry Energy and its derivatives. Similar analysis was done for 240 Skyrme forces by M. Dutra et al., (PRC 85, 035201 (2012)). In both cases **a few number of parametrizations passed the stringent tests imposed**

EoS for non-homogeneous nuclear matter

Non-uniform nuclear matter is present in the **NS crust and SN cores** (low ρ , low T). Till now only **two types of phenomenological approaches** have been used to describe it:

Single-nucleus approximation models

Composition of matter is assumed to be made of **one representative heavy nucleus** (the one energetically favored) + light nuclei (α particles) or unbound nucleons

- ✓ (Compressible) Liquid-Drop models
- ✓ (Extended) Thomas-Fermi models
- ✓ Self-consistent mean-field models

Nuclear Statistical Equilibrium models

Composition of matter is assumed to be a **statistical ensemble** of different nuclear species and nucleons in thermodynamical equilibrium

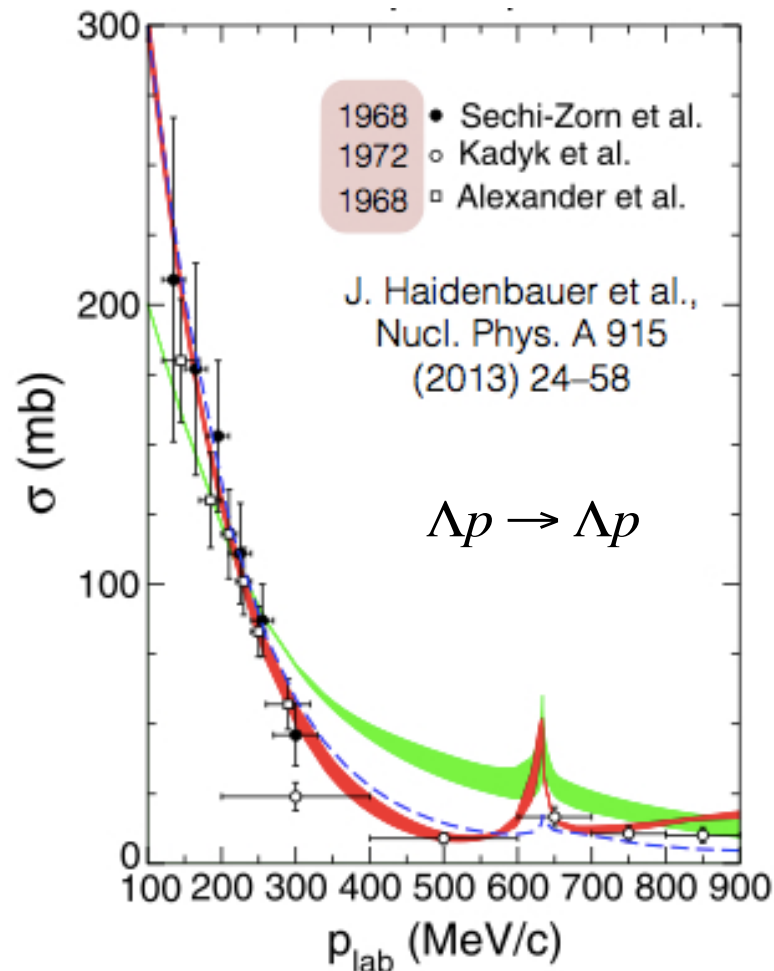
- ✓ (Extended) NSE
- ✓ Virial EoS
- ✓ Models with in-medium mass shifts

Hyperons: the strange ingredients of the nuclear EoS



What do we know to include hyperons in the nuclear EoS ?

Unfortunately, much less than in the pure nucleonic sector to put stringent constraints on the YN & YY interactions



- Very few YN scattering data due to short lifetime of hyperons & low intensity beam fluxes
 - ~ 35 data points, all from the 1960s
 - 10 new data points, from KEK-PS E251 collaboration (2000)
- No YY scattering data exists

(cf. > 4000 NN data for $E_{\text{lab}} < 350$ MeV)

Hypernuclear Physics

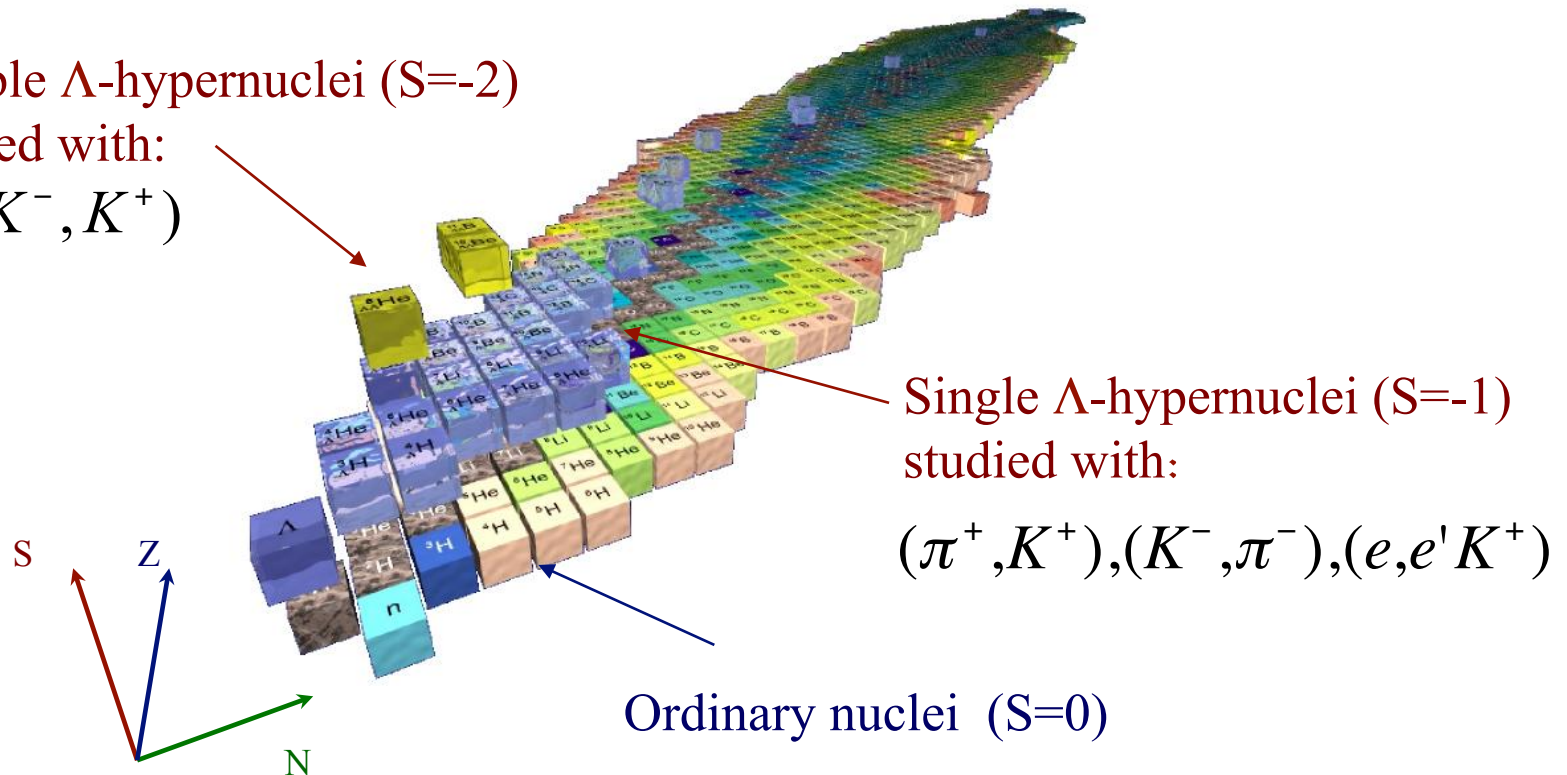
Goal: Relate hypernuclear observables with the bare YN & YY interactions

- 41 single Λ -hypernuclei \rightarrow Λ N attractive ($U_{\Lambda}(\rho_0) \sim -30$ MeV)
- 3 double- Λ hypernuclei \rightarrow weak $\Lambda\Lambda$ attraction ($\Delta B_{\Lambda\Lambda} \sim 1$ MeV)
- Very few Ξ -hypernuclei \rightarrow Ξ N attractive ($U_{\Xi}(\rho_0) \sim -14$ MeV)
- Ambiguous evidence of Σ -hypernuclei \rightarrow Σ N repulsive ($U_{\Sigma}(\rho_0) > +15$ MeV) ?

Double Λ -hypernuclei ($S=-2$)

studied with:

(K^-, K^+)



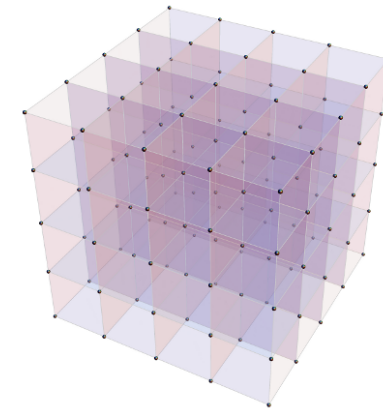
Unfortunately, there are always problems ...



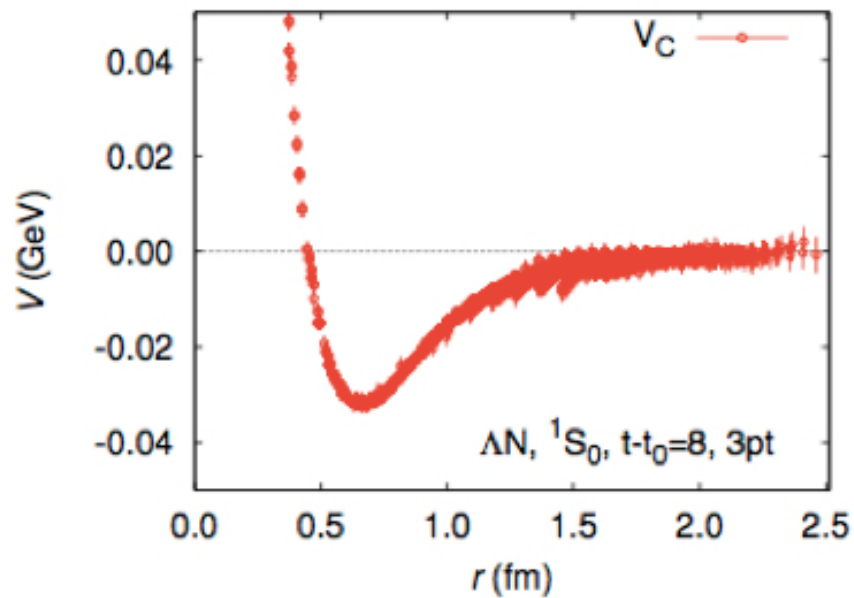
- ✧ Limited amount of scattering data not enough to fully constrain the bare YN & YY interactions → **Strategy**: start from a **NN model** & **impose $SU(3)_f$** constraints to build YN & YY (e.g., Juelich & Nijmegen models)
- ✧ Bare YN & YY is not easy to derive from hypernuclei. Hyperons in nuclei are not free but **in-medium**. Hypernuclei provide **effective hyperon-nucleus interactions**
- ✧ Amount of experimental data on hypernuclei is not enough to constrain the uncertainties of phenomenological models. Parameters are most of the times **arbitrarily chosen**
- ✧ Ab-initio hypernuclear structure calculations with bare YN & YY interactions exists but are less accurate than phenomenological ones due to the **difficulties to solve the very complicated nuclear many-body problem**

Lattice QCD

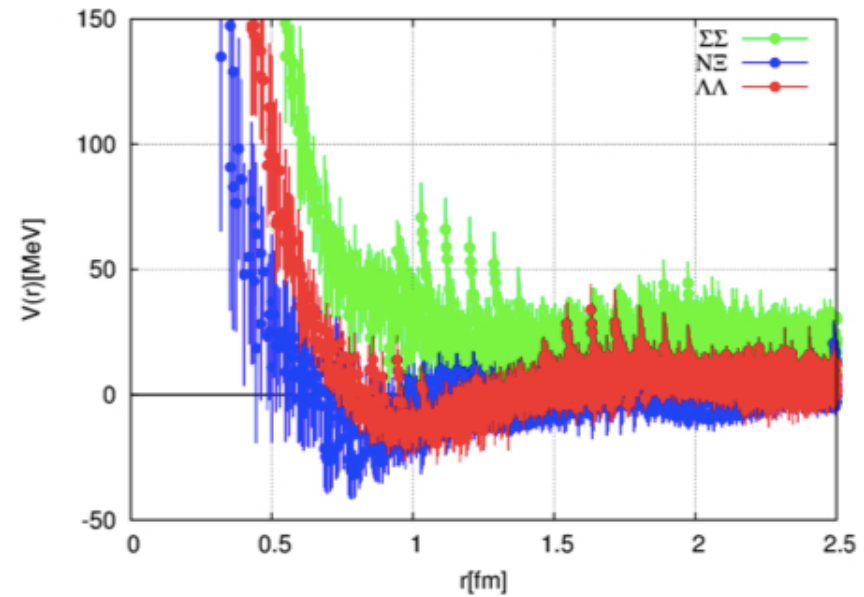
Lattice QCD calculations can provide the much required YN , YY & hyperonic TBFs



ΛN ($I=0$) 1S_0 ($m_\pi=570$ MeV)



$\Lambda\Lambda$, $N\Xi$ & $\Sigma\Sigma$ ($I=0$) 1S_0 ($m_\pi=145$ MeV)



The final message of this talk



- ✧ Major experimental, observational & theoretical advances on understanding the nuclear EoS have been done in the last decades & will be done in the near future
- ✧ The isoscalar part of the nuclear EoS is rather well constrained
- ✧ Why the isovector part is less well constrained is still an open question whose answer is probably related to our limited knowledge of the nuclear force and, particularly, of its spin & isospin dependence

- ✧ You for your time & attention
- ✧ The organizers for their invitation

