

Is quantum theory exact? The endeavor for the theory beyond standard quantum mechanics. Second Edition FQT2015

# Electromagnetic characterization of graphene and graphene nanoribbons via ab-initio permittivity simulations

S. Bellucci TH activities coordinator (1999-2003 & 2011-2015)

*NEXT Nanotechnology Laboratory*

**INFN-Laboratori Nazionali Frascati**



Frascati, Sep 23, 2015

# NEXT

Nano Technology Laboratories  
Nanoscience eXperiments for Technology

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*Phd St. Silvia Bistarelli*

*Dr. Cristina Cairone*

*Phd St. Antonino Cataldo*

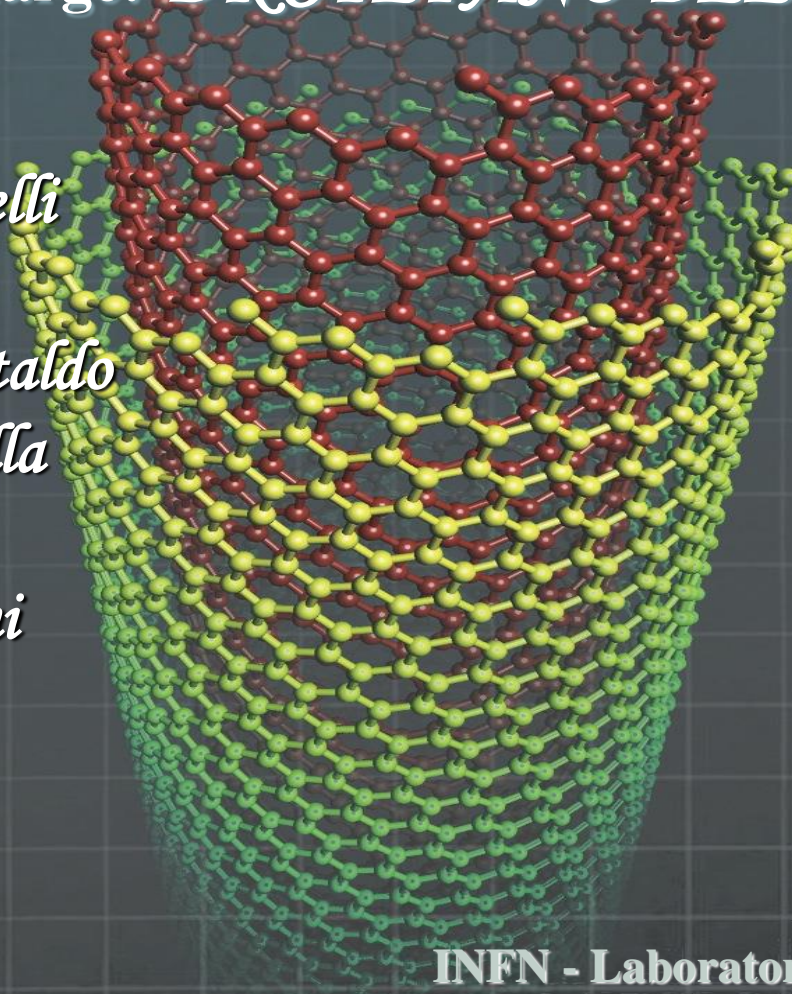
*Ing. Federico Micciulla*

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*Dr. Ilaria Tabacchioni*

*Matteo Mastrucci*

*Roberto Baldini*



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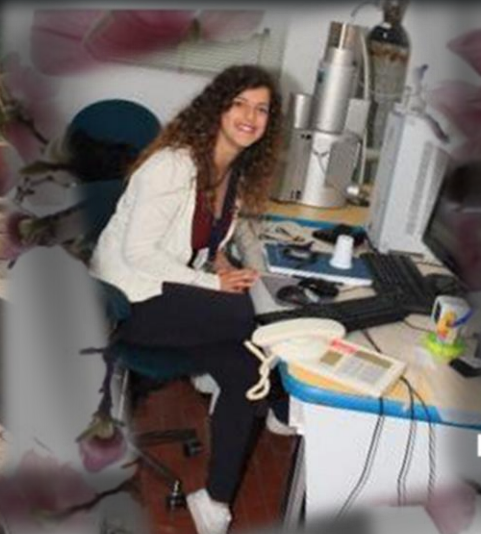


Il MONDO  
della SCUOLA,  
della RICERCA  
e dell'IMPRESA  
insieme per  
l'INNOVAZIONE:

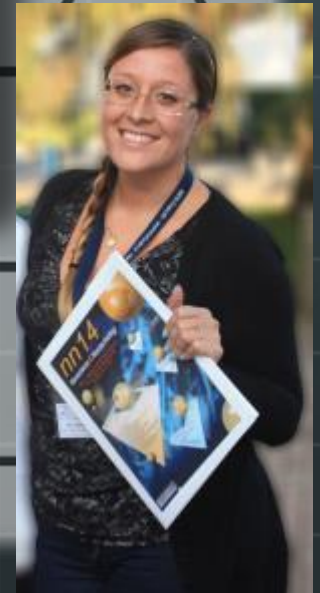
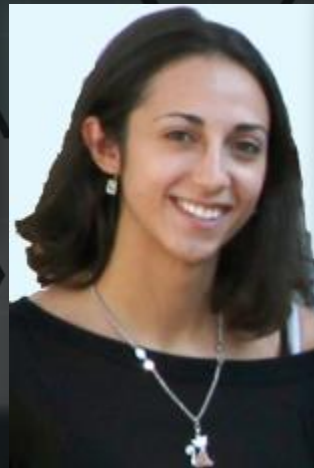
## NANOSCIENZA E NANOTECNOLOGIA



I.T.I.S. "G. Galilei" di Arezzo, 11 e 12 marzo 2013







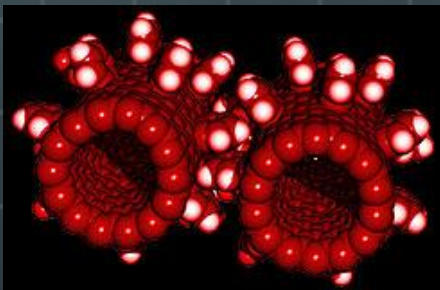
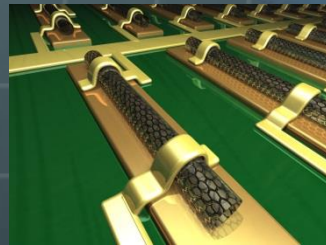
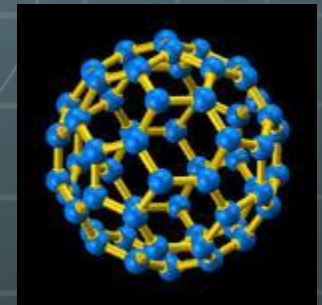
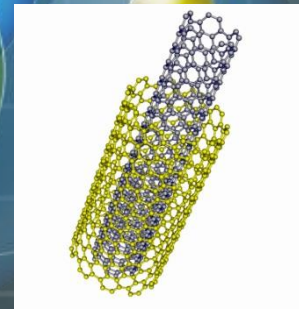


# Nanotechnology

Nanotechnology is the postulated ability to manufacture objects and structures with atomic precision, literally atom by atom.

This would mirror the abilities of living cells, which do exactly the same thing, although based on evolution and not design.

*Simple* applications involve the creation of new and powerful materials, perfect diamond in bulk quantities and a tool to manipulate objects on any scale.



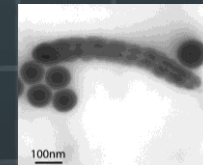
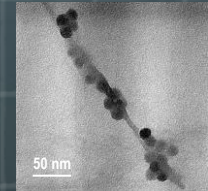
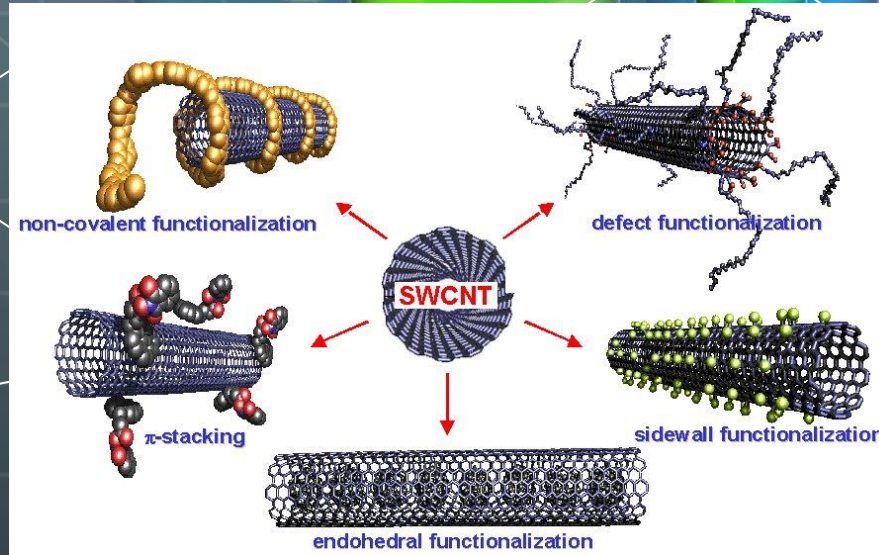
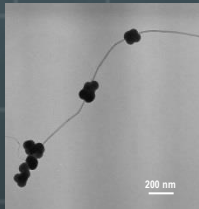
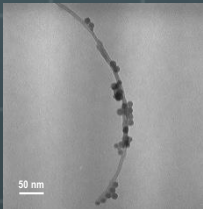
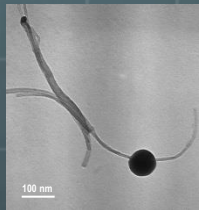
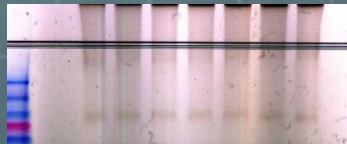
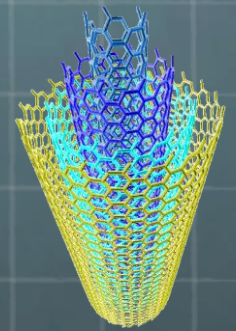
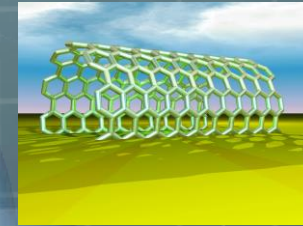
More *advanced* applications would involve massively parallel nanocomputers, self-replication and more or less smart nanodevices able to interact with their surroundings.

# Carbon Nanotubes

Carbon nanotubes, long and thin cylinders of carbon, discovered 24 years ago, though existing for a long time.

They can be thought of a sheet of graphite (a hexagonal lattice of carbon) rolled up into a cylinder.

Carbon nanotubes are characterized by unique structural, mechanical, electrical and chemical properties and they have been widely studied and used in the realization of composite nanomaterials.





# From the 3<sup>rd</sup> lecture by F. Peeters in Prague



## Quantum mechanics

Usually:

$$U = -\frac{Ze^2}{r}$$

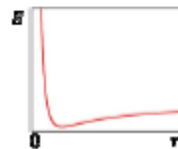
$$K = \frac{p^2}{2m} \sim \frac{\hbar^2}{2mr^2}$$

$$U \propto -\frac{1}{r}$$

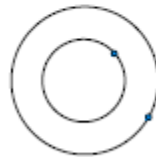
$$K \propto \frac{1}{r^2}$$

$r \rightarrow 0$ :

- $|K| \gg |U|$
- $E = K + U \rightarrow \infty$



stable orbitals



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With relativity:

$$U = -\frac{Ze^2}{r}$$

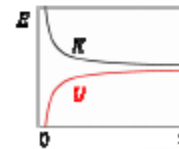
$$K = cp \sim \frac{c\hbar}{r}$$

$$U \propto -\frac{1}{r}$$

$$K \propto \frac{1}{r}$$

$r \rightarrow 0$ :

- $|K| ?? |U|$
- $K + U = ??$



collapsing orbitals



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## GRAPHENE

THE BRIDGE BETWEEN LOW- AND HIGH ENERGY PHYSICS

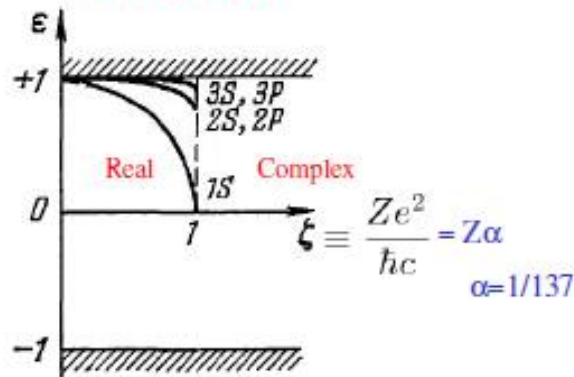
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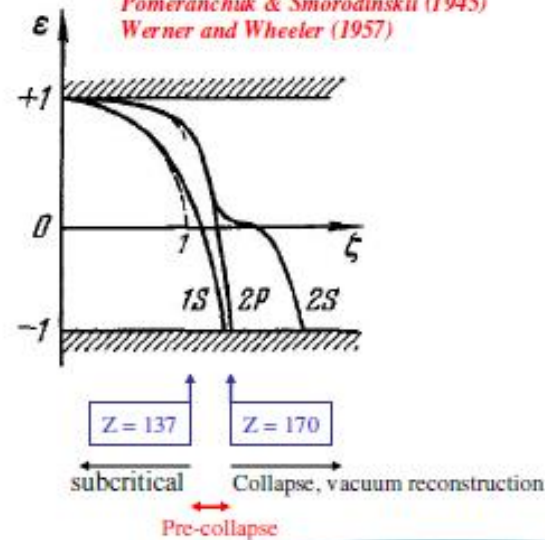
## Atomic collapse

*Dirac (1929)*



The electrons collapse into the nucleus, where they would then eject positrons, which would spiral outward and away.

*Pomeranchuk & Smorodinskii (1945)  
Werner and Wheeler (1957)*



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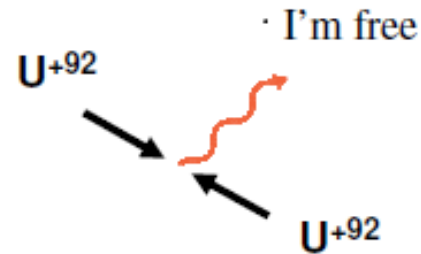
## Experiment

Collision of heavy ions

- Darmstadt experiments (1980s & 1990s)
- Uranium ( $Z = 92$ )
- 3-6 MeV collisions

Signature of atomic collapse

- positron emission



>> Not confirmed <<

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## Graphene

3D atoms:  $\zeta \equiv \frac{Ze^2}{\hbar c} = Z\alpha \rightarrow$  critical value  $Z\alpha \approx 1 \rightarrow Z_c \sim 137$   
 $Z_c >$  existing nuclei

Graphene:  $c \rightarrow v_F$   $\alpha \rightarrow \alpha_{\text{eff}} = \alpha(c/v_F) \approx 2$   
 $\epsilon_0 \rightarrow \epsilon$  (graphene + environment)

$\beta = \alpha_{\text{eff}}(Z/\epsilon) \rightarrow$  critical value  $\beta_c \approx 1 \rightarrow Z_c \approx \epsilon/\alpha_{\text{eff}} \sim 1$

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## Coulomb potential

$$H\Psi = E\Psi,$$
$$H = v_F\boldsymbol{\sigma} \cdot (\mathbf{p} + e\mathbf{A}) + m\sigma_z + V\mathbf{I},$$

$V(r) = -\frac{Z\alpha}{r}$   
 $\alpha = e^2/\epsilon$

Mass term  $\downarrow$   
Coulomb term  $\swarrow$

Zero magnetic field

$$E_{nj} = m \left[ 1 + \left( \frac{Z\alpha}{n + \sqrt{(j + 1/2)^2 - (Z\alpha)^2}} \right)^2 \right]^{-1/2} \quad j = 0, \pm 1, \pm 2, \dots$$

$$j = -1 \text{ and } n = 0 \quad \rightarrow \quad E = m\sqrt{1 - (2Z\alpha)^2} \quad \rightarrow \quad Z < 1/(2\alpha)$$

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## Atomic collapse

$(Z\alpha) > |j + 1/2|$  ➔ Energy is imaginary

When  $r \rightarrow 0$  ➔  $\Psi \propto r^{i\sqrt{(Z\alpha)^2 - (j+1/2)^2}} = e^{i\beta \ln r}$

$$\beta = \sqrt{(Z\alpha)^2 - (j + 1/2)^2}.$$

real part ➔  $\sin(\beta \ln r + \delta)$

close to origin  $r \rightarrow 0$  oscillate with infinite frequency  
solution is singular

The fact is that the problem is physically ill defined.

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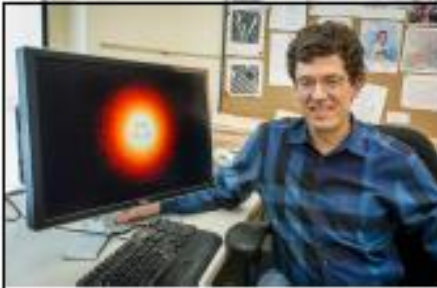
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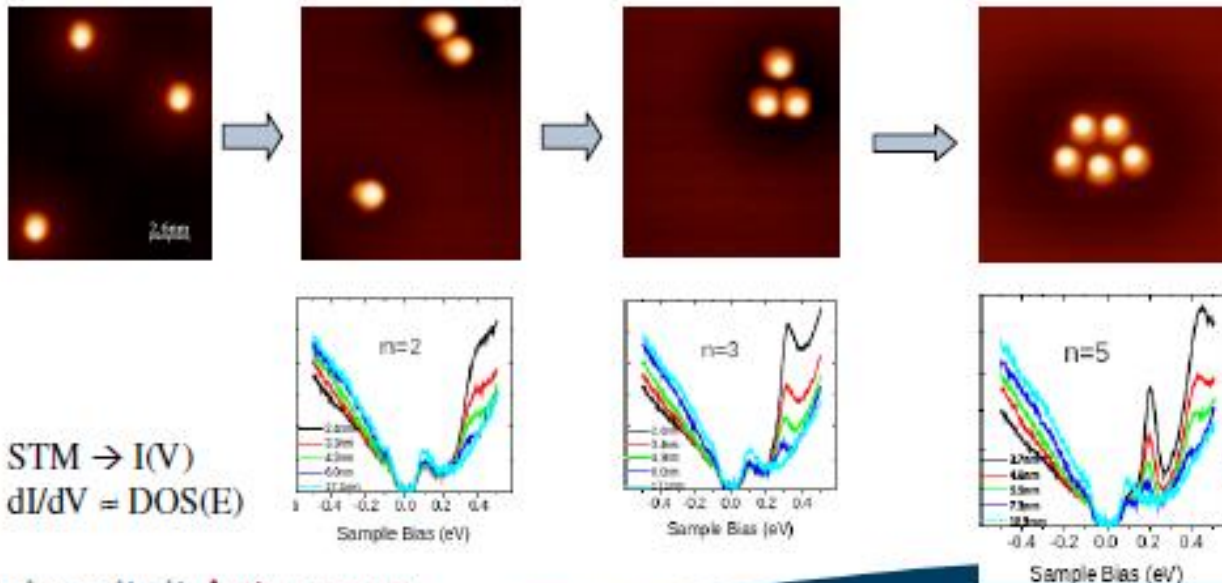
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## Atomic collapse: graphene

Y. Wang *et al*, Science 340, 734 (2013)

Ca Dimers are moveable charge centers (M. Crommie group, Berkeley)



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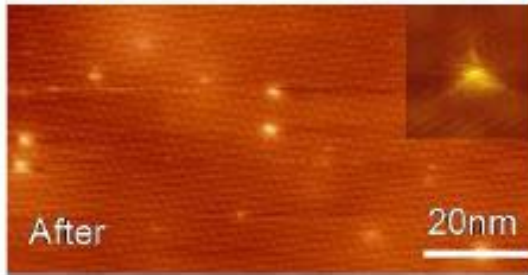
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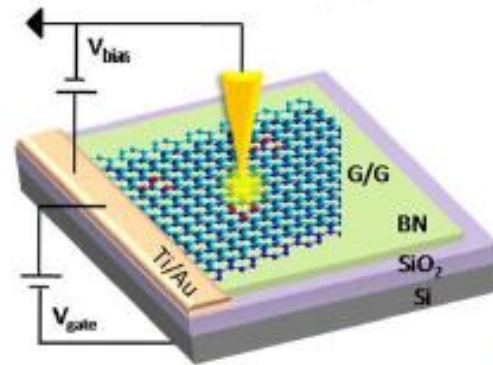
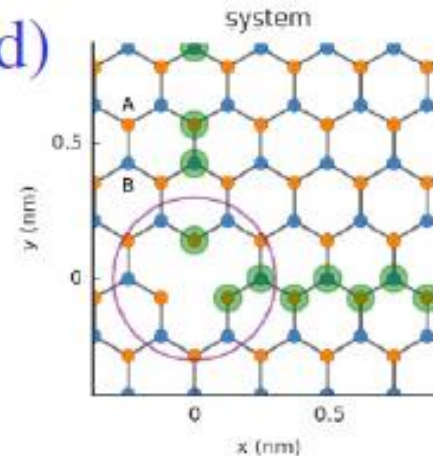


## Vacancy (charged)



Rutgers University  
(Andrei-group)

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# GRAPHENE

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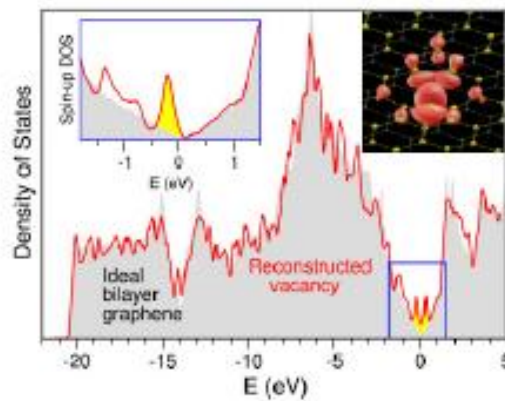


## Defect states in graphene

Y Liu, M Weinert and L Li

→ DFT + STM

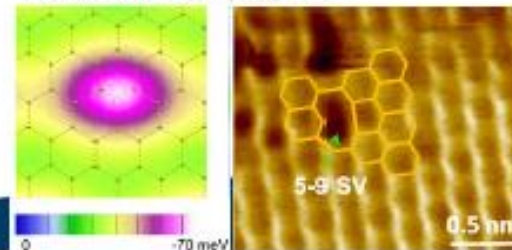
Nanotechnology 26 (2015) 035702



Iso-density of virtual bound state

Vacancy DOS shifted by 0.3 eV in order to align the Fermi energy

Vacancy: - introduces virtual bound state at the vacancy site  
- positive charge  $Q = +1$



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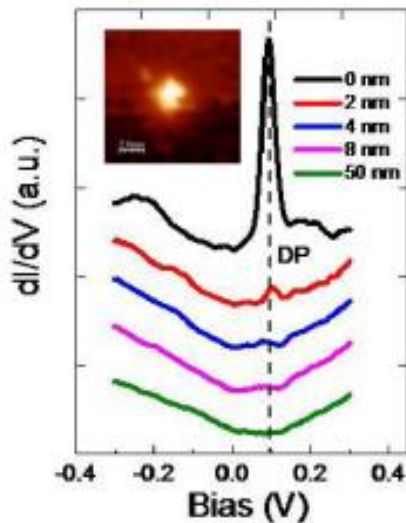
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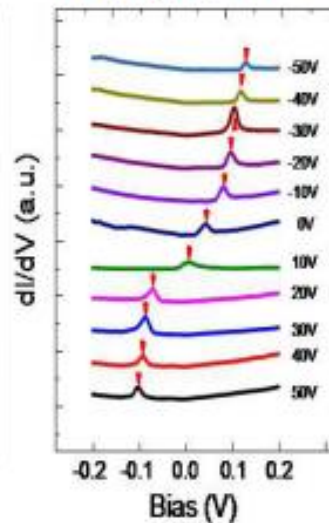
## Vacancy peak

Spatial dependence

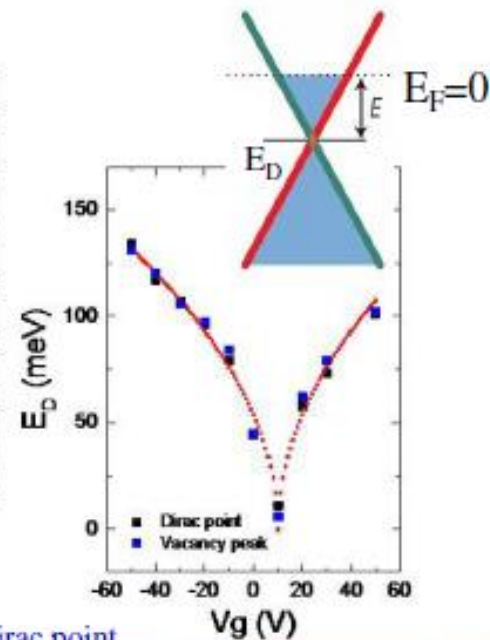


Localized on the vacancy site

Doping dependence



It tracks the Dirac point



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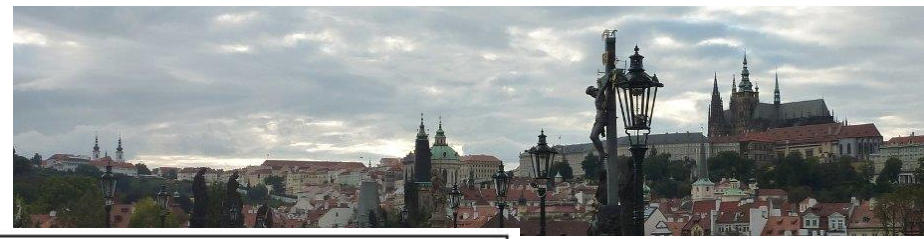
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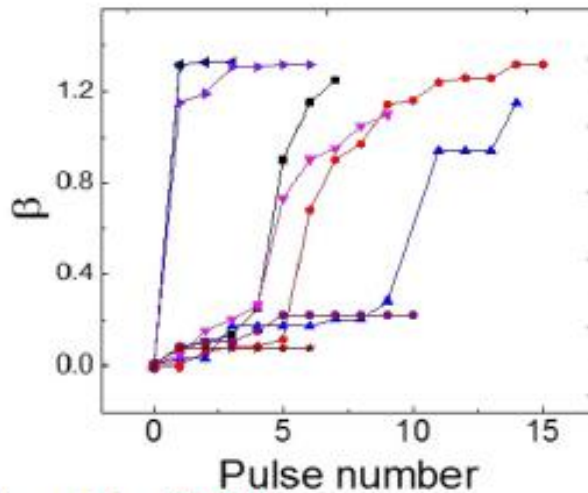
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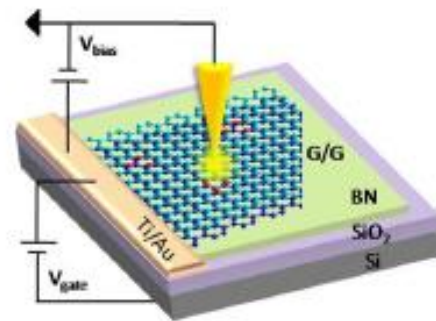


## Charging vacancy in graphene



$$\beta = \alpha(c/v_F)(Z/\epsilon)$$

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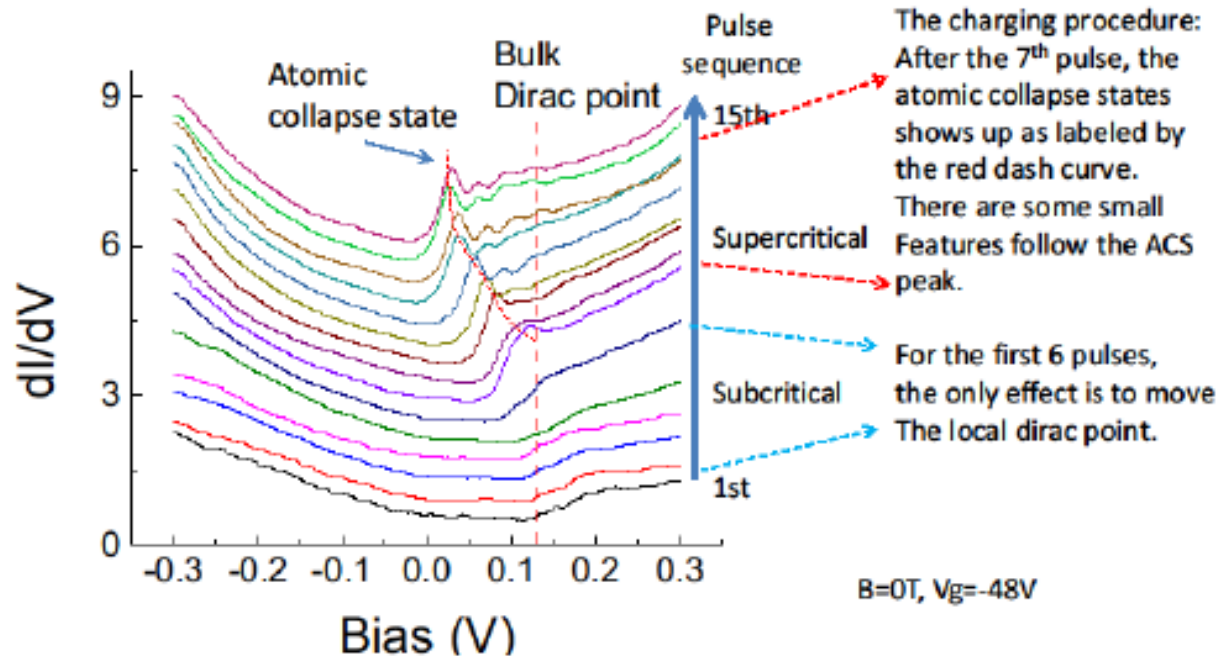
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## Charge the impurity

Throw the electrons in defect by the simply voltage pulsing.

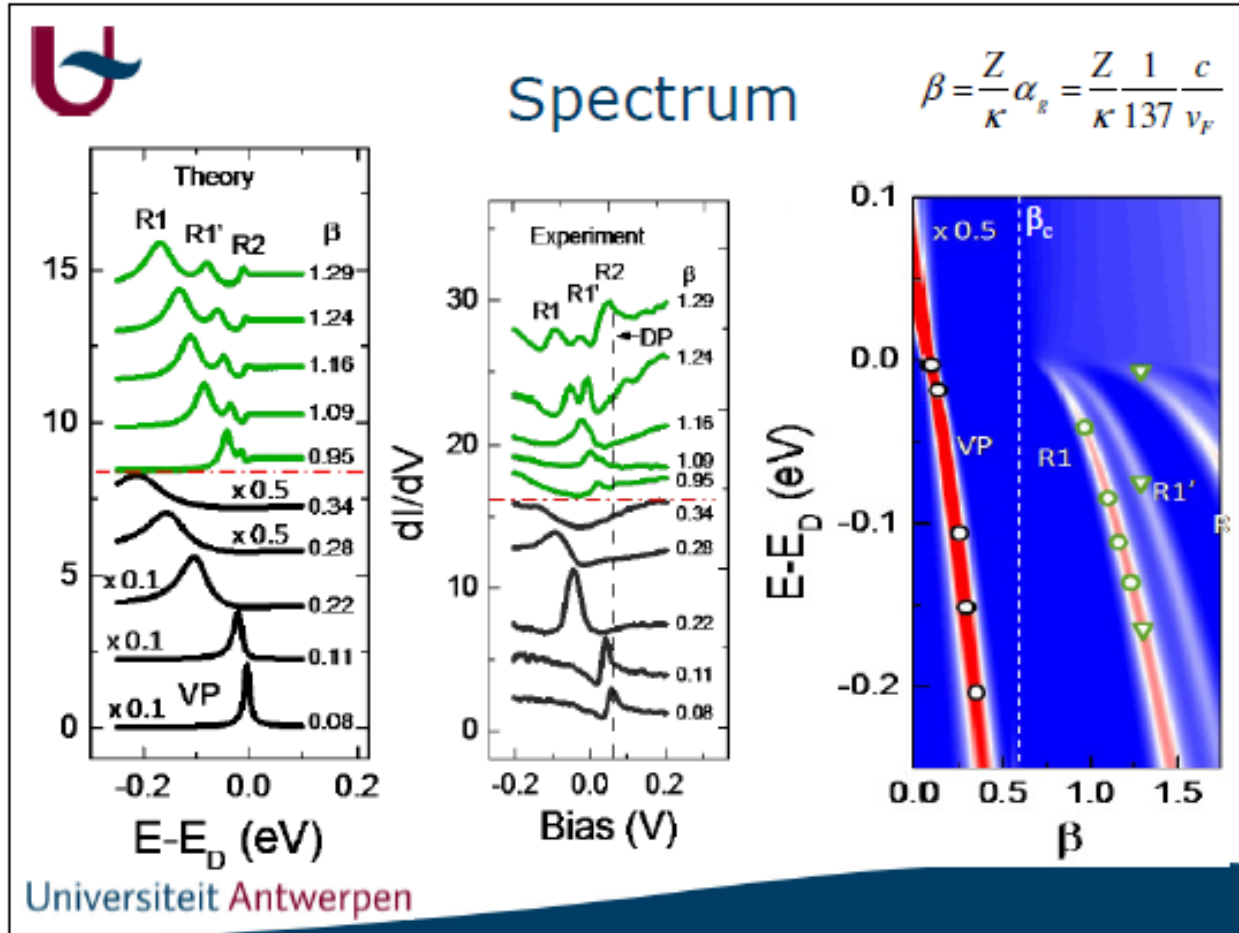


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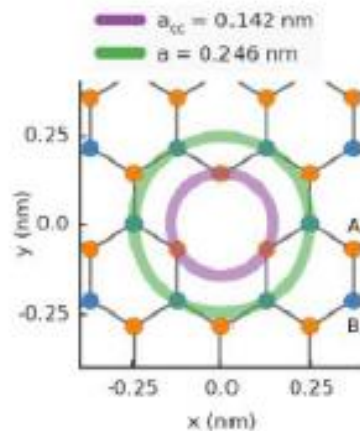
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## Theory

$$H = t \sum_i (a_i^\dagger b_i + H.c.) + \sum_i (V(r_i^A) a_i^\dagger a_i + V(r_i^B) b_i^\dagger b_i).$$

Tight-binding hamiltonian (including next nearest neighbor interactions)



$$V(r) = \begin{cases} -\hbar v_F \frac{\beta}{r_0}, & \text{if } r \leq r_0 \\ -\hbar v_F \frac{\beta}{r}, & \text{if } r > r_0 \end{cases}$$

$r_0 = 0.5 \text{ nm}$

→ Exact numerical solution on a hexagonal sample

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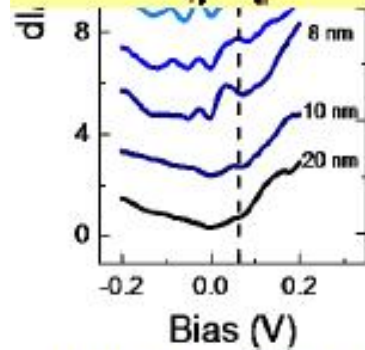
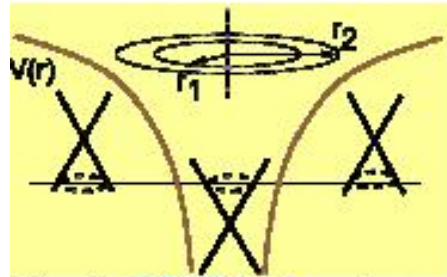
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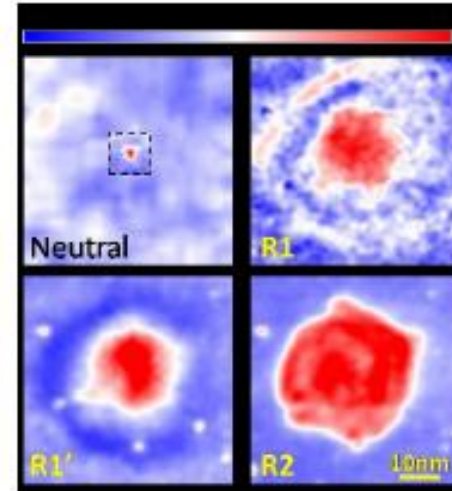
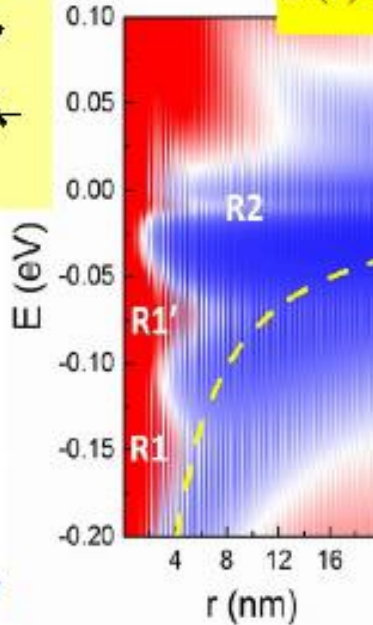
## Spatial dependence



Collapsed states extend far beyond the vacancy site

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$$U(r) = \beta \frac{h v_F}{r} \rightarrow \text{Local Dirac point}$$



Vacuum polarization

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# GRAPHENE

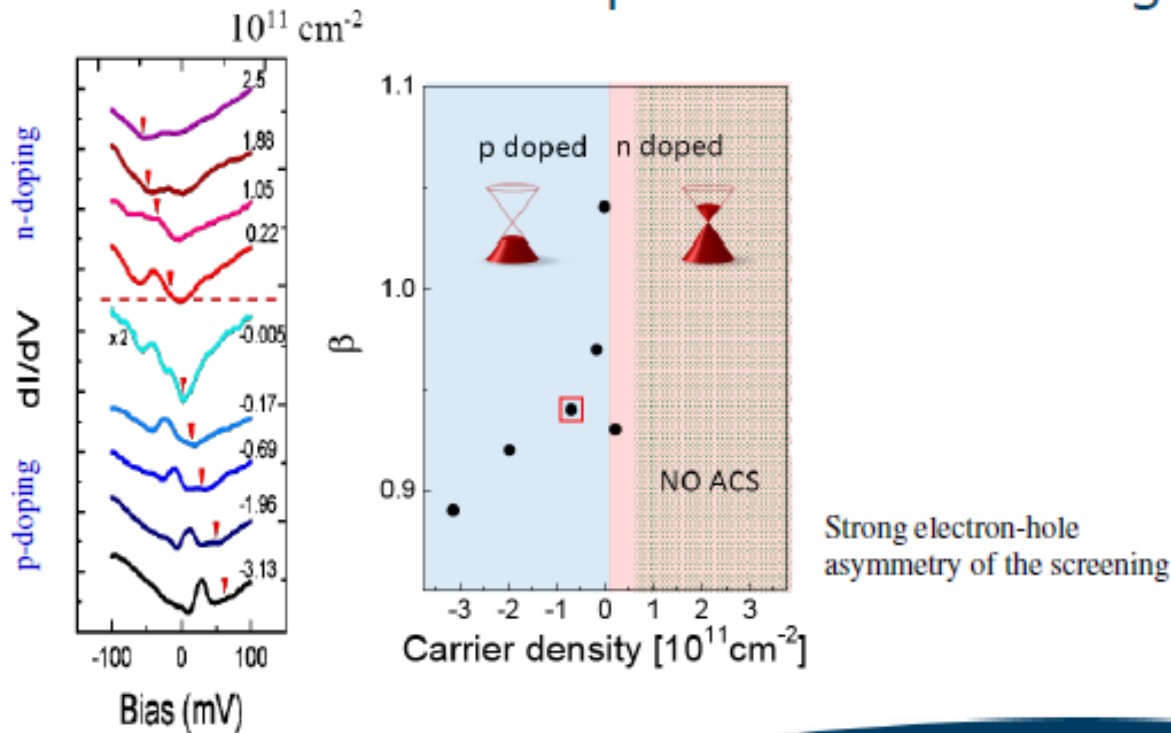
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## Gate dependent screening



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## Conclusions

- Vacancy  $\rightarrow$  charge is tuneable with STM pulses
  - Drive system in critical regime
- Clear observation of atomic collapse states
- **Future work**
  - B-field: tuning of screening  
scaling of atomic collapsed energies
  - Tip induced potential  $\rightarrow$  STM continuous tuning of  $\beta$

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# **INFN is Scientific Partner** in EU project “Graphene-Based Revolutions in ICT And Beyond, **GRAPHENE** Flagship



S. Bellucci,

A. Sindona, D. Mencarelli, L. Pierantoni

**INFN – Laboratori Nazionali Frascati (LNF),  
Italy**

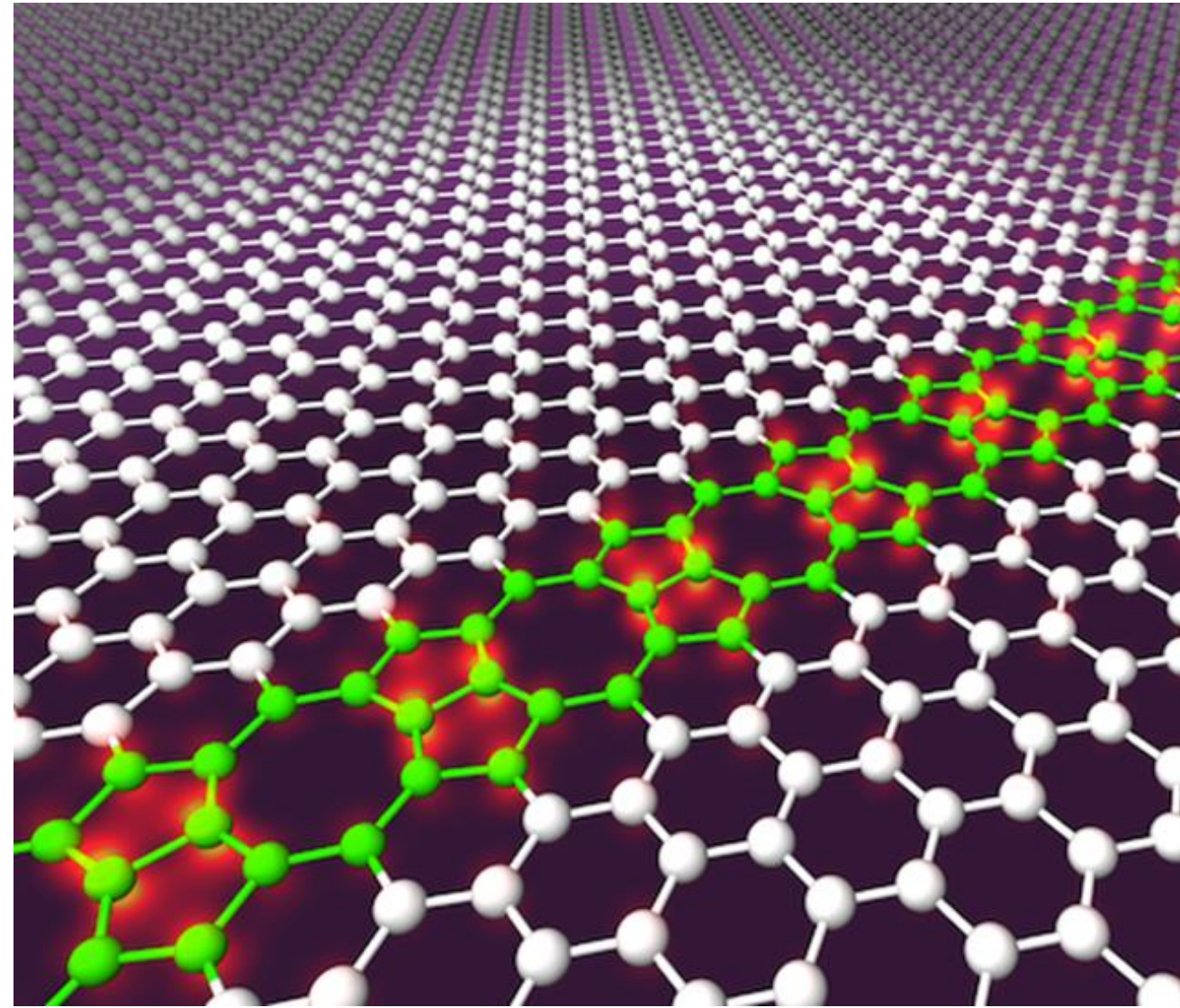
**Univ. Calabria, Cosenza, Italy**

**Università Politecnica delle Marche, Ancona,  
Italy**

[bellucci@Inf.infn.it](mailto:bellucci@Inf.infn.it)



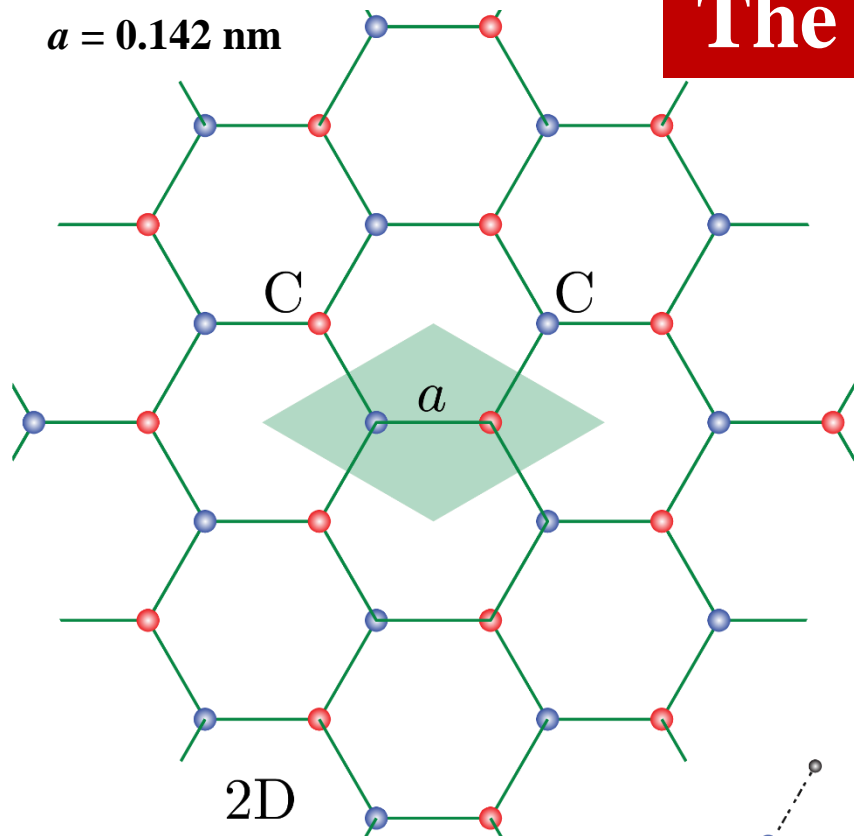
# Graphene and Graphene NanoRibbons



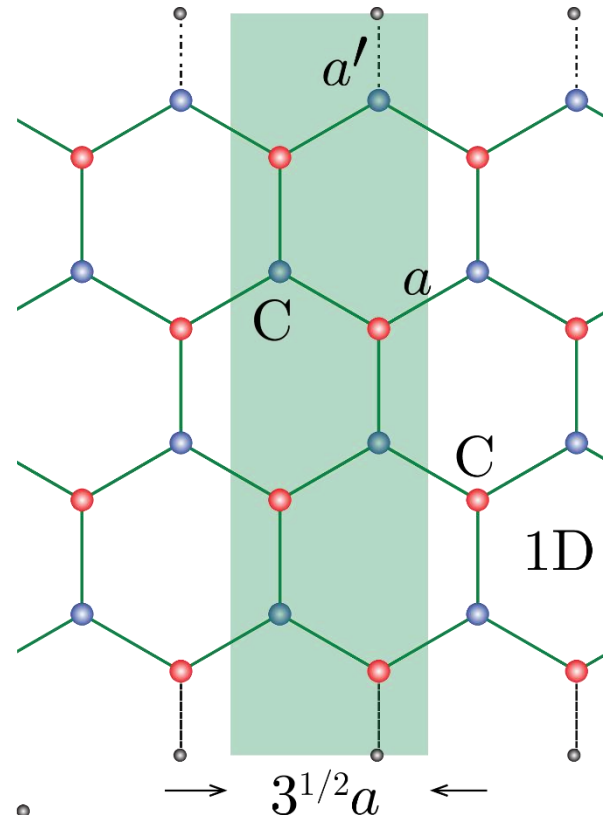
**An Electromagnetic  
Characterization  
through the eyes of  
Linear Response  
Density Functional  
Theory**

# The Geometry

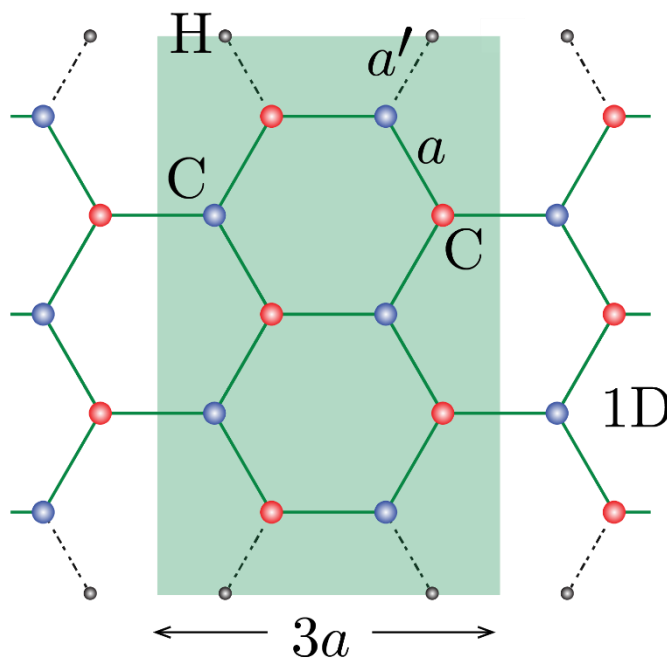
$a = 0.142 \text{ nm}$



An ideal Graphene Sheet....



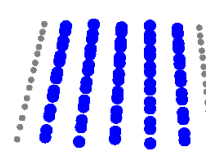
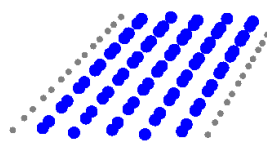
$a = 0.142 \text{ nm}$   
 $a' = 0.1 \text{ nm}$



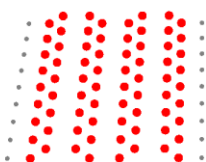
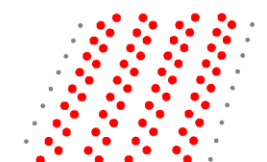
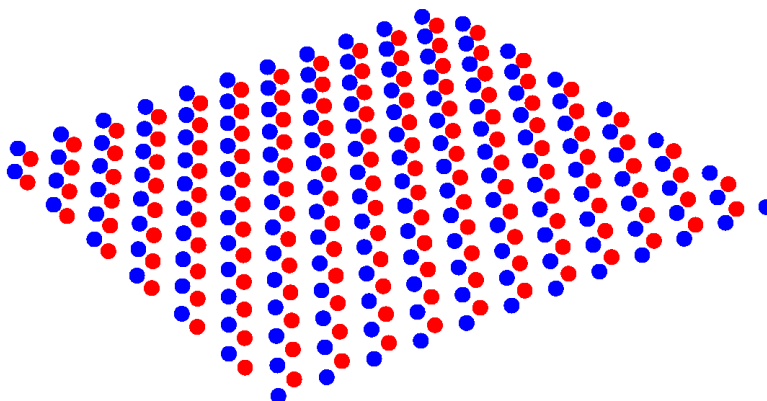
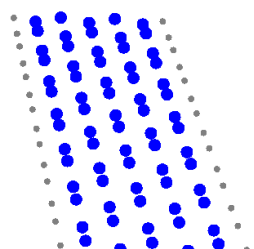
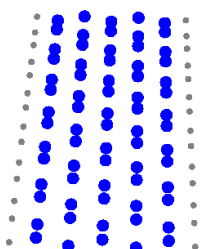
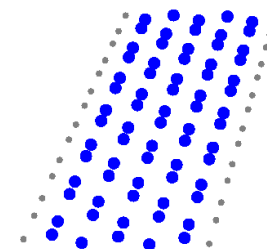
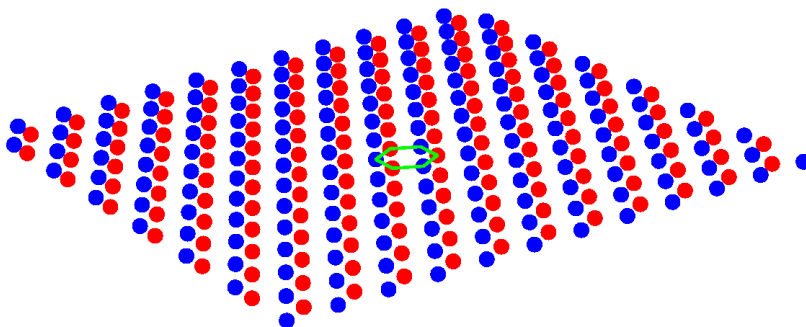
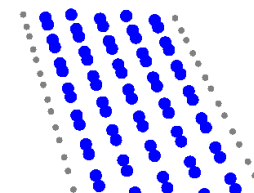
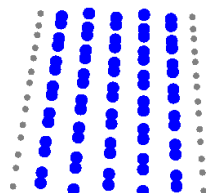
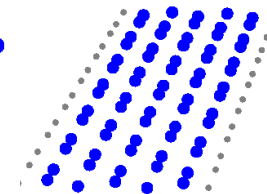
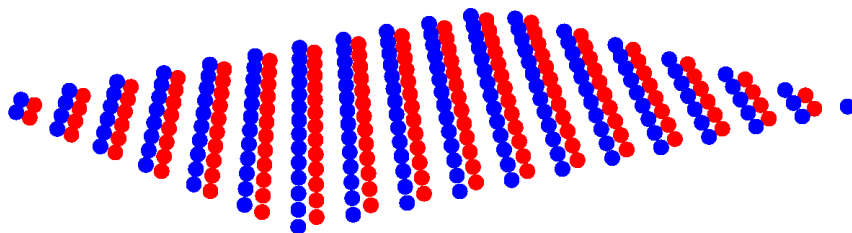
... and two simple Armchair and Zig-Zag Graphene Ribbons (Edges passivated by Hydrogen atoms)



Imagine we replicate the structures and obtain the following 3D Crystals

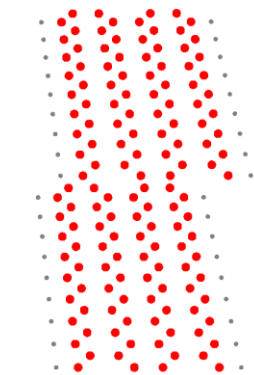
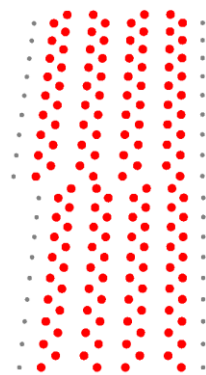
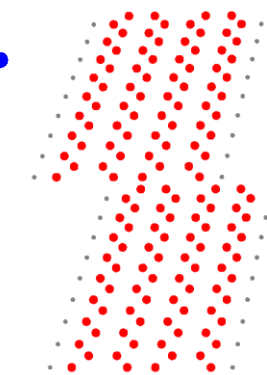


Armchair  
GNR



Zig-Zag  
GNR

Graphene



## Electron Density

$$n(\mathbf{r}) = \sum_{bk}^{occ} f_{bk} |\psi_{bk}(\mathbf{r})|^2$$

FD Statistics

Then.... we perform a 3D Density Functional

→  
Bloch's Theorem

## Plane Wave (PW) DFT

$$\psi_{bk}(\mathbf{r}) = \sum_{\mathbf{G}} \frac{b_{\mathbf{k}+\mathbf{G}}}{\sqrt{V}} e^{i(\mathbf{k}+\mathbf{G})\cdot\mathbf{r}}$$

## Initial Guess

$$n_0(\mathbf{r}) \rightarrow \{b_{\mathbf{k}+\mathbf{G}}^0\} \rightarrow \sum_{\mathbf{G}} |b_{\mathbf{k}+\mathbf{G}}^0|^2 = 1$$

$b$  = band index

$\mathbf{k}$  = wave vector in the 1<sup>st</sup> BZ

$\mathbf{G}$  = reciprocal lattice vectors

## Effective Kohn-Sham (KS) Potential

$$V_{KS}^0(\mathbf{r}) = V_{ext}(\mathbf{r}) + \int d^3r' \frac{n_0(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} + \frac{\delta E_{xc}}{\delta n_0(\mathbf{r})}$$

Atomic Nuclei

Exchange and Correlation

Converged?

No: Start

$$b_{\mathbf{k}+\mathbf{G}}^0 = b_{\mathbf{k}+\mathbf{G}}^1 \text{ Back}$$

Yes

$$n(\mathbf{r}) = n_1(\mathbf{r})$$

$$\varepsilon_{bk} = \varepsilon_{bk}^1$$

$$\psi_{bk} = \psi_{bk}^1$$

Ground State Properties: Total Energy, Forces, Stresses

KS Equations

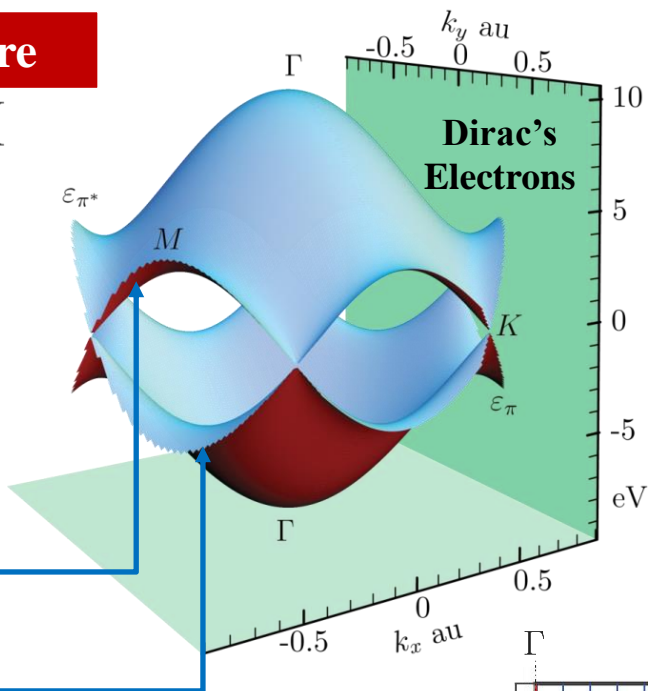
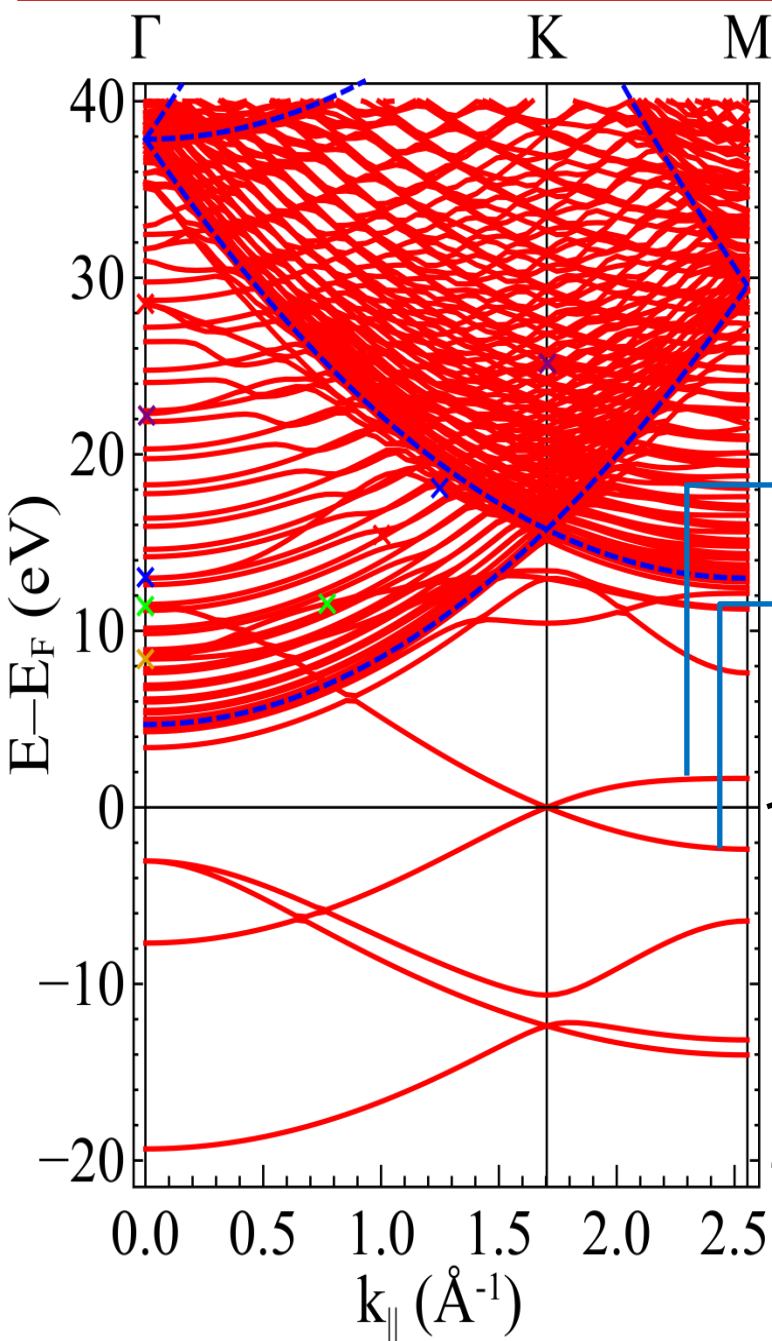
$$n_1(\mathbf{r}) \leftarrow \sum_{\mathbf{G}} |b_{\mathbf{k}+\mathbf{G}}^1|^2 = 1 \leftarrow \{b_{\mathbf{k}+\mathbf{G}}^1\}$$

New electron density

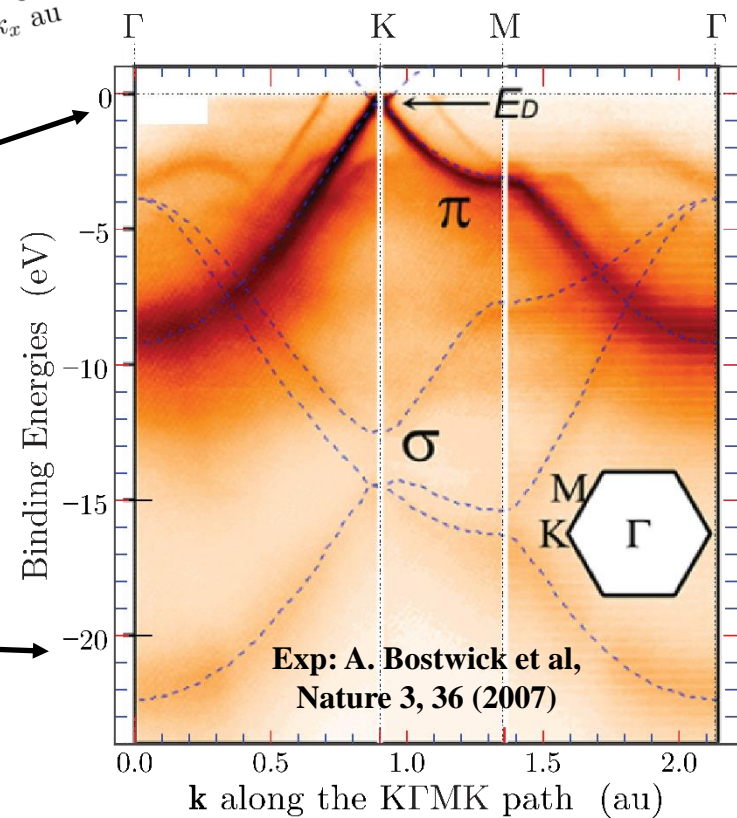
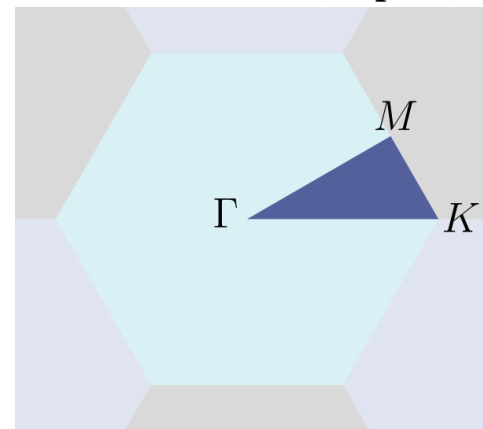
$$\left( -\frac{\nabla^2}{2} + V_{KS}^0(\mathbf{r}) \right) \psi_{bk}^1(\mathbf{r}) = \varepsilon_{bk}^1 \psi_{bk}^1(\mathbf{r})$$



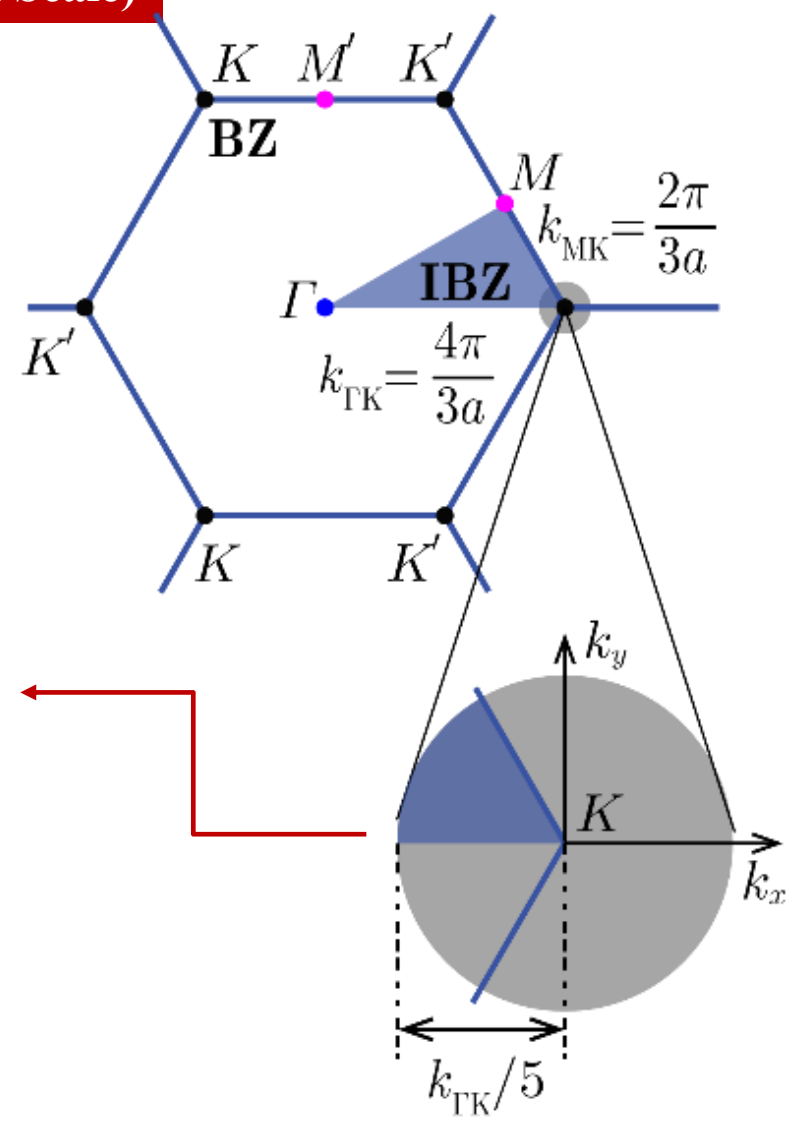
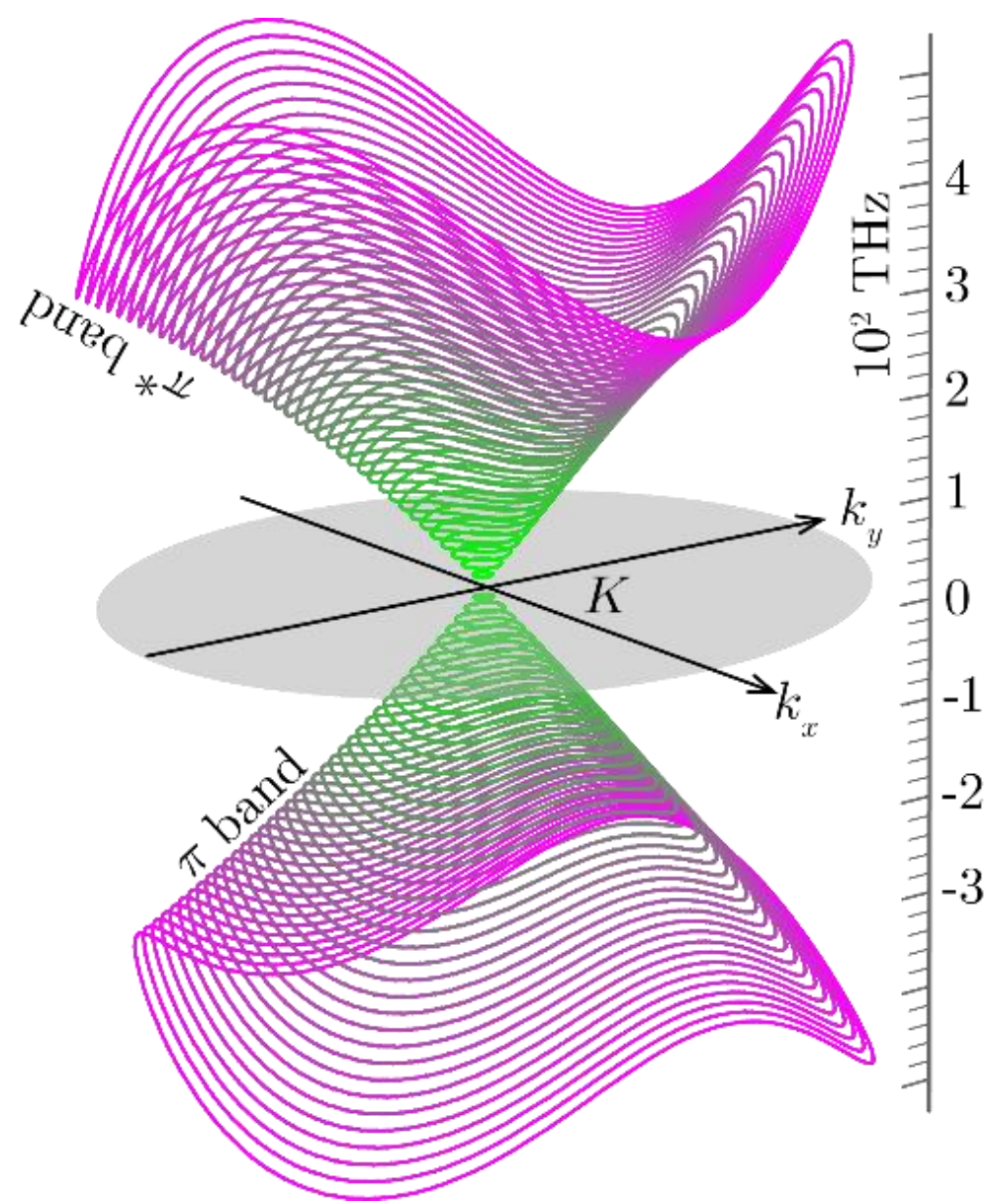
# Graphene: Full electronic structure



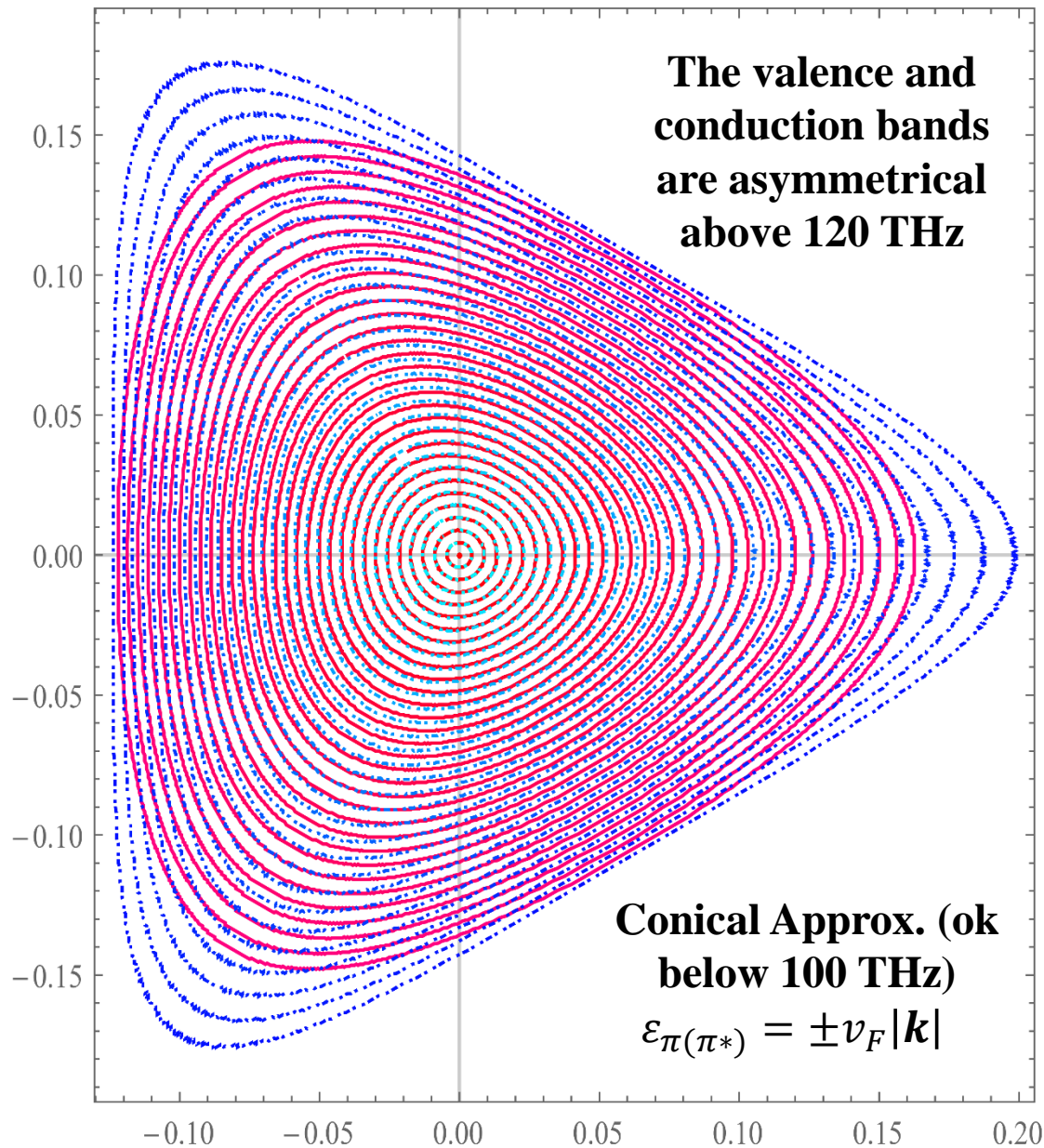
### Brillouin ZONE (k Space)



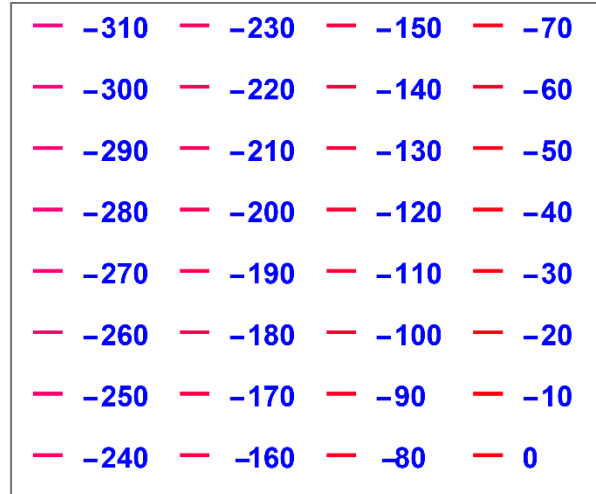
# Graphene...zooming at the Dirac points (on the THz Scale)



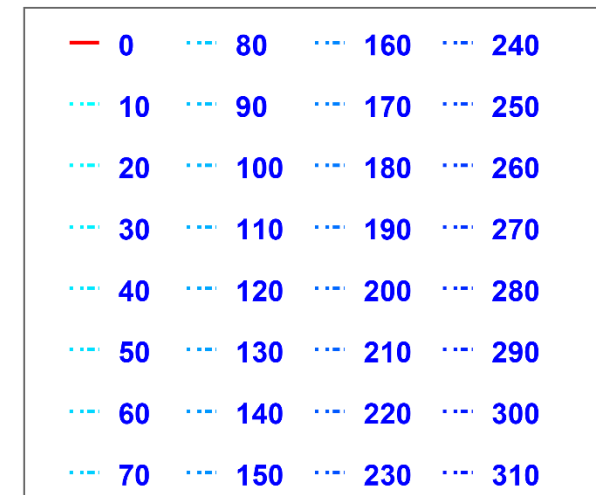
# Graphene...zooming at the Dirac points (on the THz Scale)



Conduction Band (THz)



Valence Band (THz)





## Time Dependent DFT (Linear Response, RPA )

- Consider applying a small external electric field of wave-vector  $\mathbf{q}$  and frequency  $\nu$
- The electric **displacement** and **conduction current** responses to the probing field are controlled by the **permittivity** and **conductivity** matrices

$$\epsilon_{\mathbf{G}\mathbf{G}'}(\mathbf{q}, \nu_{\pm})$$

$$= \epsilon_0 \delta_{\mathbf{G}\mathbf{G}'} - \epsilon_0 \sum_{\mathbf{G}''} \mathbf{v}_{\mathbf{G}\mathbf{G}''}(\mathbf{q}) \chi_{\mathbf{G}''\mathbf{G}'}^0(\mathbf{q}, \nu_{\pm})$$

$$\sigma_{\mathbf{G}\mathbf{G}'}(\mathbf{q}, \nu_{\pm})$$

$$= i h \nu \epsilon_0 \sum_{\mathbf{G}''} \mathbf{v}_{\mathbf{G}\mathbf{G}''}(\mathbf{q}) \chi_{\mathbf{G}''\mathbf{G}'}^0(\mathbf{q}, \nu_{\pm})$$

## DENSITY-DENSITY RESPONSE (LR THEORY)

$$\chi_{\mathbf{G}\mathbf{G}'}^0(\mathbf{q}, \nu) = \frac{2}{\Omega} \sum_{\mathbf{k}, n, n'} \frac{(f_{\epsilon_{n\mathbf{k}}} - f_{\epsilon_{n'\mathbf{k}+\mathbf{q}}}) \rho_{nn'}^{\mathbf{k}\mathbf{q}}(\mathbf{G}) \rho_{nn'}^{\mathbf{k}\mathbf{q}}(\mathbf{G}')^*}{h\nu_{\pm} + \epsilon_{n\mathbf{k}} - \epsilon_{n'\mathbf{k}+\mathbf{q}}}$$

All the basic ingredients, apart from  $\tau$  (phenomenological relaxation time) that enters  $\nu_{\pm} = \nu \pm j/\tau$ , are computed by DFT, i.e.,

- the one-electron energies  $\epsilon_{n\mathbf{k}}$  and  $\epsilon_{n'\mathbf{k}+\mathbf{q}}$ ;
- the one-electron occupation factors  $f_{\epsilon_{n\mathbf{k}}}$  and  $f_{\epsilon_{n'\mathbf{k}+\mathbf{q}}}$ ;
- the one-electron wave-functions  $\psi_{n\mathbf{k}}(\mathbf{r}) = \frac{1}{\sqrt{\Omega}} \sum_{\mathbf{G}} c_{n\mathbf{k}+\mathbf{G}} e^{j(\mathbf{k}+\mathbf{G})\cdot\mathbf{r}}$ ,
  - which appear in  $\rho_{nn'}^{\mathbf{k}\mathbf{q}}(\mathbf{G}) = \sum_{\mathbf{G}''} c_{n\mathbf{k}+\mathbf{G}'}^* c_{n'\mathbf{k}+\mathbf{q}+\mathbf{G}+\mathbf{G}''}$ ;

The electron-electron interaction ( $\mathbf{v}_{\mathbf{G}\mathbf{G}'}$  in reciprocal space) is part of the TD extension of DFT

## Time Dependent DFT (Linear Response- 3D Coulomb Potential)

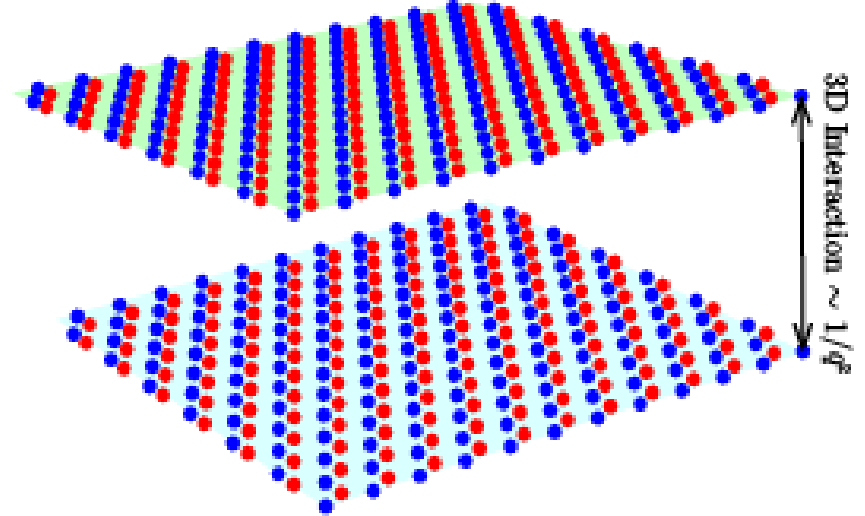
- Consider applying a small external electric field of wave-vector  $\mathbf{q}$  and frequency  $\nu$
- The electric **displacement** and **conduction current** responses to the probing field are controlled by the **permittivity** and **conductivity** matrices

$$\epsilon_{\mathbf{G}\mathbf{G}'}(\mathbf{q}, \nu) = \epsilon_0 \delta_{\mathbf{G}\mathbf{G}'} - \sum_{\mathbf{G}''} \sum_{\mathbf{k}} \sum_{n, n'} \frac{\epsilon_0 v_{\mathbf{G}\mathbf{G}''}(\mathbf{q}) \rho_{nn'}^{\mathbf{k}\mathbf{q}}(\mathbf{G}'') (f_{\epsilon_{n\mathbf{k}}} - f_{\epsilon_{n' \mathbf{k}+\mathbf{q}}}) \rho_{nn'}^{\mathbf{k}\mathbf{q}}(\mathbf{G}')^*}{\Omega (h\nu_{\pm} + \epsilon_{n\mathbf{k}} - \epsilon_{n' \mathbf{k}+\mathbf{q}})}$$

$$\sigma_{\mathbf{G}\mathbf{G}'}(\mathbf{q}, \nu) = ih\nu_{\pm} \sum_{\mathbf{G}''} \sum_{\mathbf{k}} \sum_{n, n'} \frac{\epsilon_0 v_{\mathbf{G}\mathbf{G}''}(\mathbf{q}) \rho_{nn'}^{\mathbf{k}\mathbf{q}}(\mathbf{G}'') (f_{\epsilon_{n\mathbf{k}}} - f_{\epsilon_{n' \mathbf{k}+\mathbf{q}}}) \rho_{nn'}^{\mathbf{k}\mathbf{q}}(\mathbf{G}')^*}{\Omega (h\nu_{\pm} + \epsilon_{n\mathbf{k}} - \epsilon_{n' \mathbf{k}+\mathbf{q}})}$$

### Electron-Electron interaction (3D)

$$v_{\mathbf{G}\mathbf{G}'}(\mathbf{q}) = \frac{e^2 \delta_{\mathbf{G}\mathbf{G}'}}{\epsilon_0 |\mathbf{q} + \mathbf{G}|^2} \xrightarrow[\mathbf{G}=\mathbf{G}'=0]{\text{Unwanted effect}}$$



### Macroscopic Average

**Inverse Permittivity Tensor**

$$\epsilon_{\alpha\alpha}(q, \nu)^{-1} = [\epsilon_{\mathbf{G}\mathbf{G}'}(q\mathbf{u}_{\alpha}, \nu)^{-1}]_{\mathbf{G}=\mathbf{G}'=0}$$

**Resistivity Tensor**

$$\rho_{\alpha\alpha}(q, \nu) = [\sigma_{\mathbf{G}\mathbf{G}'}(q\mathbf{u}_{\alpha}, \nu)^{-1}]_{\mathbf{G}=\mathbf{G}'=0}$$

## Time Dependent DFT (Linear Response- 3D Coulomb Potential)

- Consider applying a small external electric field of wave-vector  $\mathbf{q}$  and frequency  $\nu$
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$$\epsilon_{\mathbf{G}\mathbf{G}'}(\mathbf{q}, \nu) = \epsilon_0 \delta_{\mathbf{G}\mathbf{G}'} - \sum_{\mathbf{G}''} \sum_{\mathbf{k}} \sum_{n, n'} \frac{\epsilon_0 v_{\mathbf{G}\mathbf{G}''}(\mathbf{q}) \rho_{nn'}^{\mathbf{k}\mathbf{q}}(\mathbf{G}'') (f_{\epsilon_{nk}} - f_{\epsilon_{n' \mathbf{k}+\mathbf{q}}}) \rho_{nn'}^{\mathbf{k}\mathbf{q}}(\mathbf{G}')^*}{\Omega (h\nu_{\pm} + \epsilon_{nk} - \epsilon_{n' \mathbf{k}+\mathbf{q}})}$$

$$\sigma_{\mathbf{G}\mathbf{G}'}(\mathbf{q}, \nu) = ih\nu_{\pm} \sum_{\mathbf{G}''} \sum_{\mathbf{k}} \sum_{n, n'} \frac{\epsilon_0 v_{\mathbf{G}\mathbf{G}''}(\mathbf{q}) \rho_{nn'}^{\mathbf{k}\mathbf{q}}(\mathbf{G}'') (f_{\epsilon_{nk}} - f_{\epsilon_{n' \mathbf{k}+\mathbf{q}}}) \rho_{nn'}^{\mathbf{k}\mathbf{q}}(\mathbf{G}')^*}{\Omega (h\nu_{\pm} + \epsilon_{nk} - \epsilon_{n' \mathbf{k}+\mathbf{q}})}$$

## Electron-Electron interaction (2D) .... $\mathbf{G}=(\mathbf{g}, G_z)$ and $\mathbf{G}'=(\mathbf{g}', G_z')$

$$v_{\mathbf{G}\mathbf{G}'}^{2D}(\mathbf{q}) = v_{\mathbf{G}\mathbf{G}'}(\mathbf{q}) - \frac{e^2 \delta_{\mathbf{g}\mathbf{g}'}}{\epsilon_0} \frac{1 - e^{-L|\mathbf{q}+\mathbf{g}|}}{|\mathbf{q} + \mathbf{g}|L} \frac{|\mathbf{q} + \mathbf{g}|^2 - G_z G_z'}{(|\mathbf{q} + \mathbf{g}|^2 + G_z^2)(|\mathbf{q} + \mathbf{g}|^2 + G_z'^2)}$$

**2D Cut-Off**  $\begin{matrix} G_z, G_z' \rightarrow 0 \\ \mathbf{g}, \mathbf{g}' \rightarrow 0 \end{matrix} \longrightarrow \frac{e^2 L}{2\epsilon_0 q}$  The cut-off allows only in-plane electrons to interact with one another

## Macroscopic Average

**Inverse Permittivity Tensor**  $\epsilon_{\alpha\alpha}(q, \nu)^{-1} = [\epsilon_{\mathbf{G}\mathbf{G}'}(q\mathbf{u}_{\alpha}, \nu)^{-1}]_{\mathbf{G}=\mathbf{G}'=0}$

**Resistivity Tensor**  $\rho_{\alpha\alpha}(q, \nu) = [\sigma_{\mathbf{G}\mathbf{G}'}(q\mathbf{u}_{\alpha}, \nu)^{-1}]_{\mathbf{G}=\mathbf{G}'=0}$



## Observables

$$\text{Re}[\epsilon_{\alpha\alpha}(q, \nu)] = 0 \quad \longleftrightarrow$$

**Plasmon Resonances**

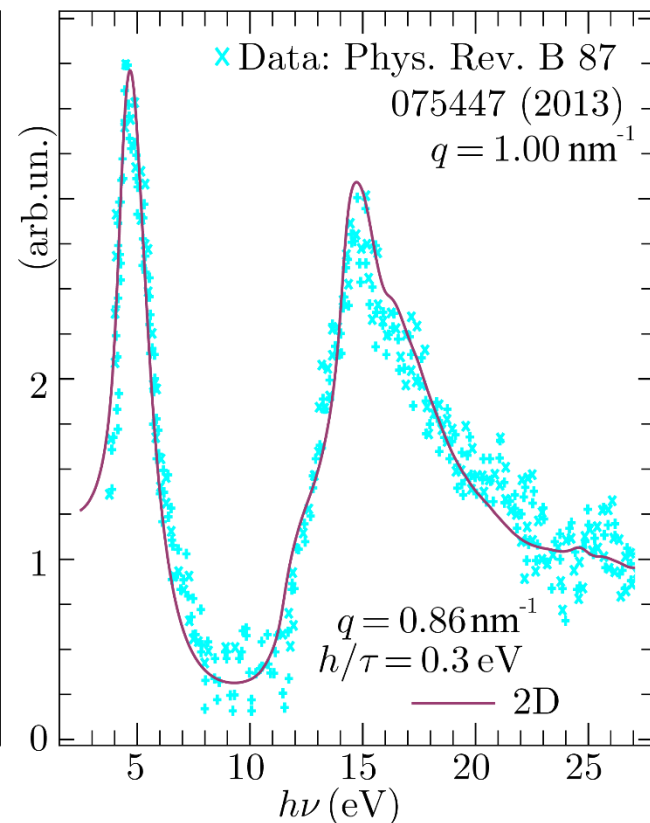
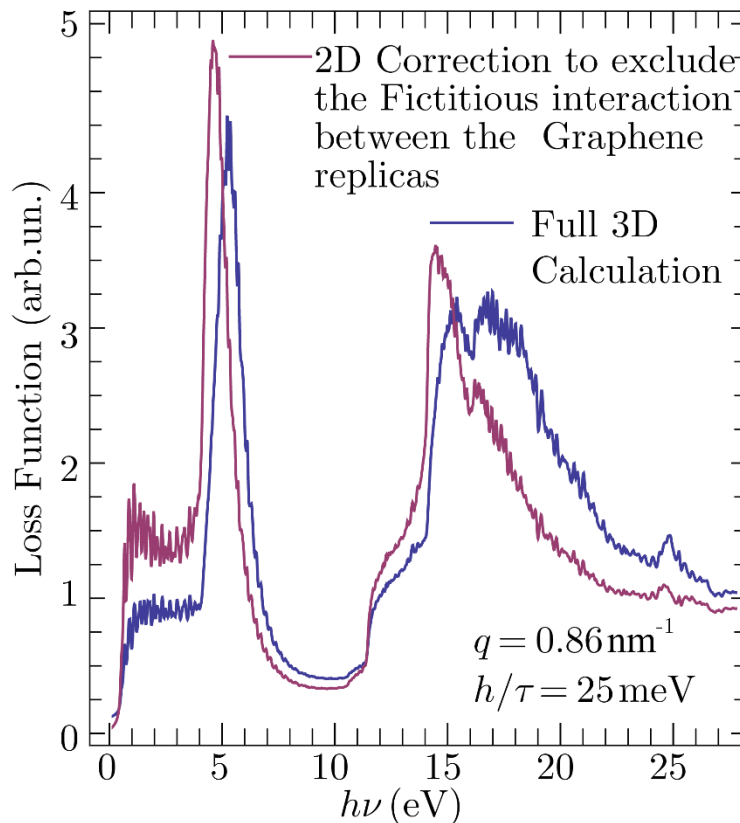
$$\text{Im}[\epsilon_{\alpha\alpha}(q, \nu)] \quad \longleftrightarrow$$

**Absorption Spectrum**

$$-\text{Im}[\epsilon_{\alpha\alpha}(q, \nu)^{-1}] \quad \longleftrightarrow$$

**Loss Function (Plasmon Spectrum)**

## Application to Electron Energy Loss Data



$$L \text{Re}[\rho_{\alpha\alpha}(q, \nu)] \quad \longleftrightarrow$$

**Surface Resistance**

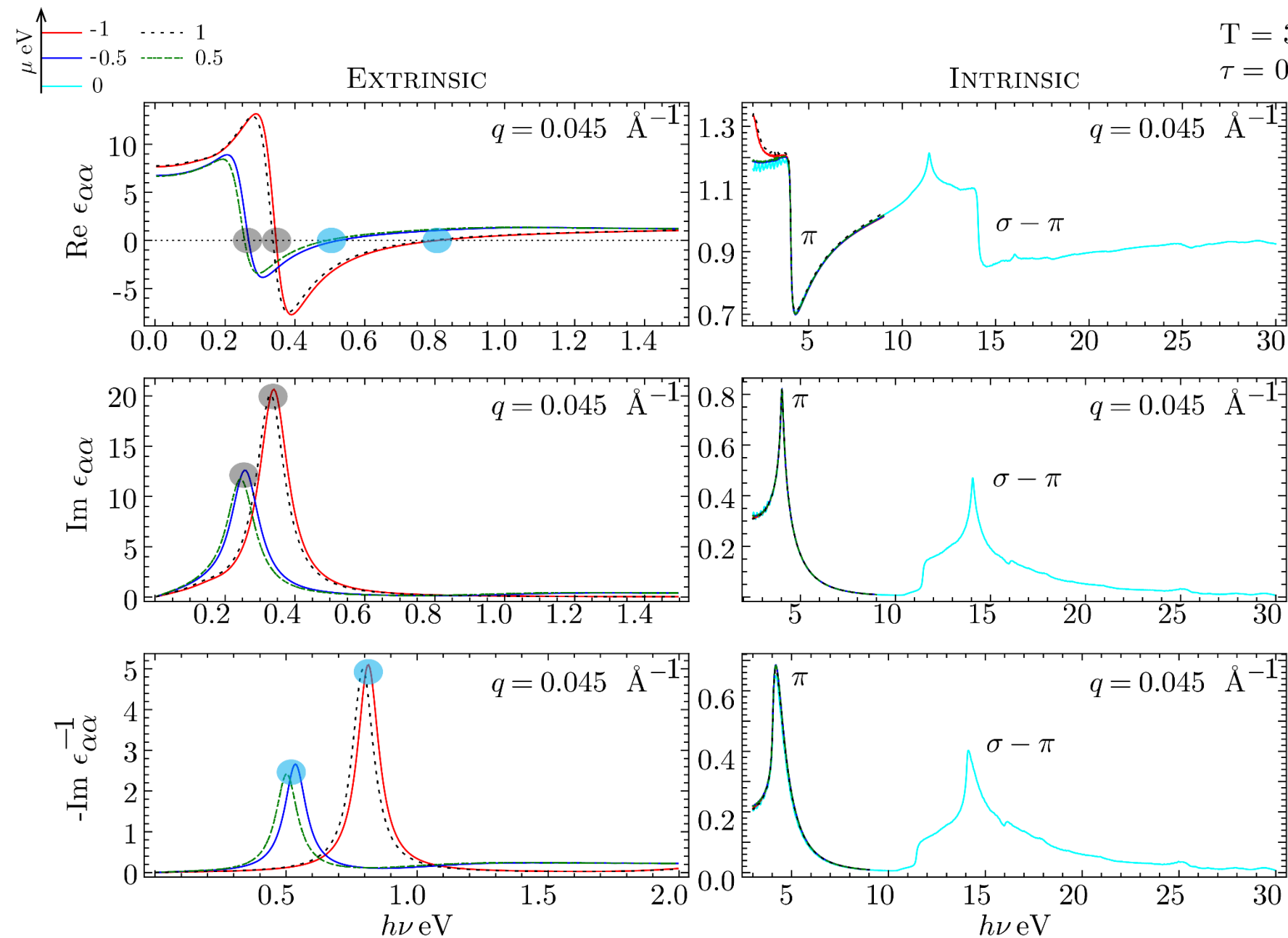
$$L \text{Im}[\rho_{\alpha\alpha}(q, \nu)] \quad \longleftrightarrow$$

**Surface Reactance**

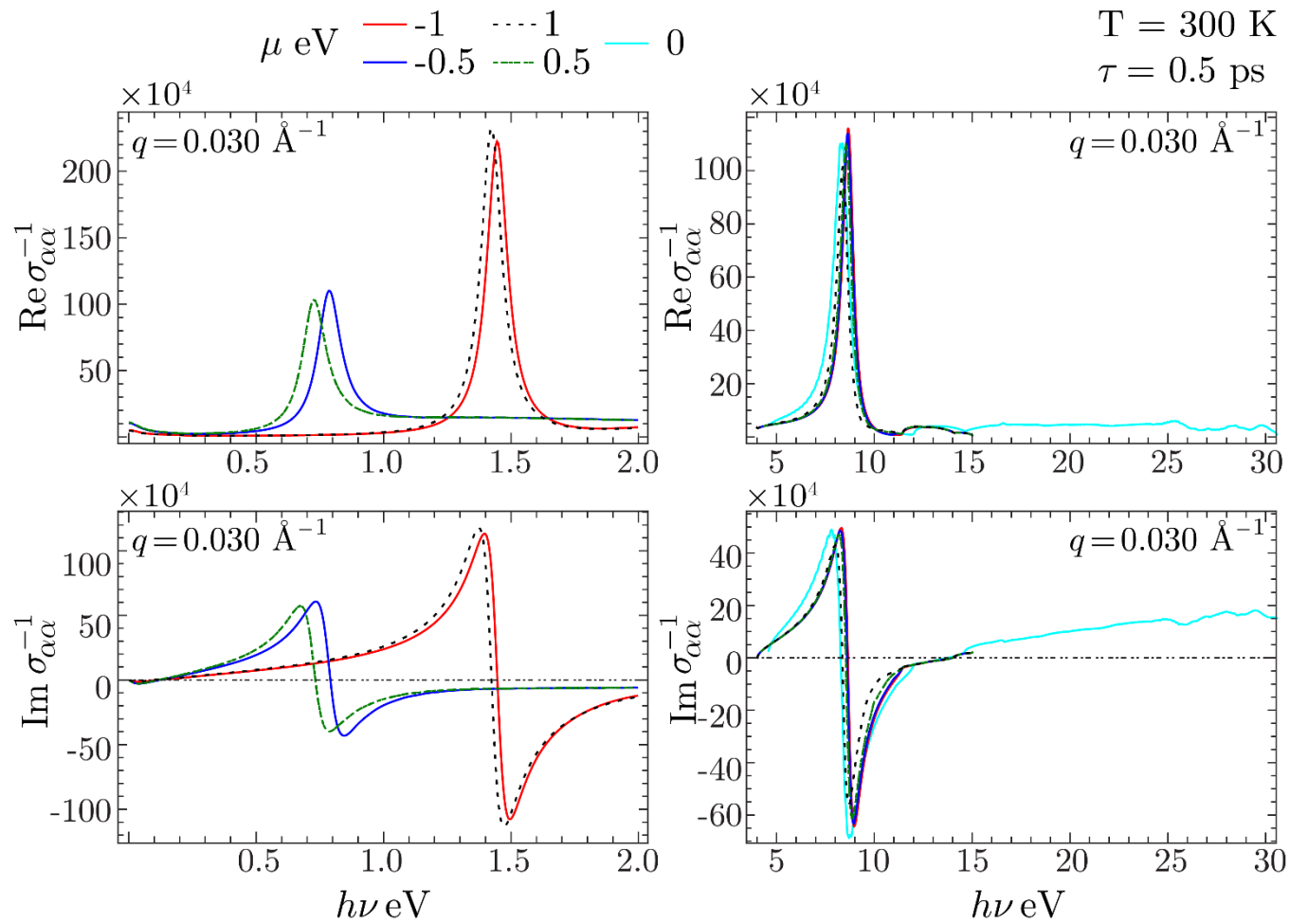
# Permittivity (Room T)

T = 300 K

$\tau = 0.5\text{ps}$



# Resistivity (Room T)





# Dirac-Cone Approximation & Optical Limit

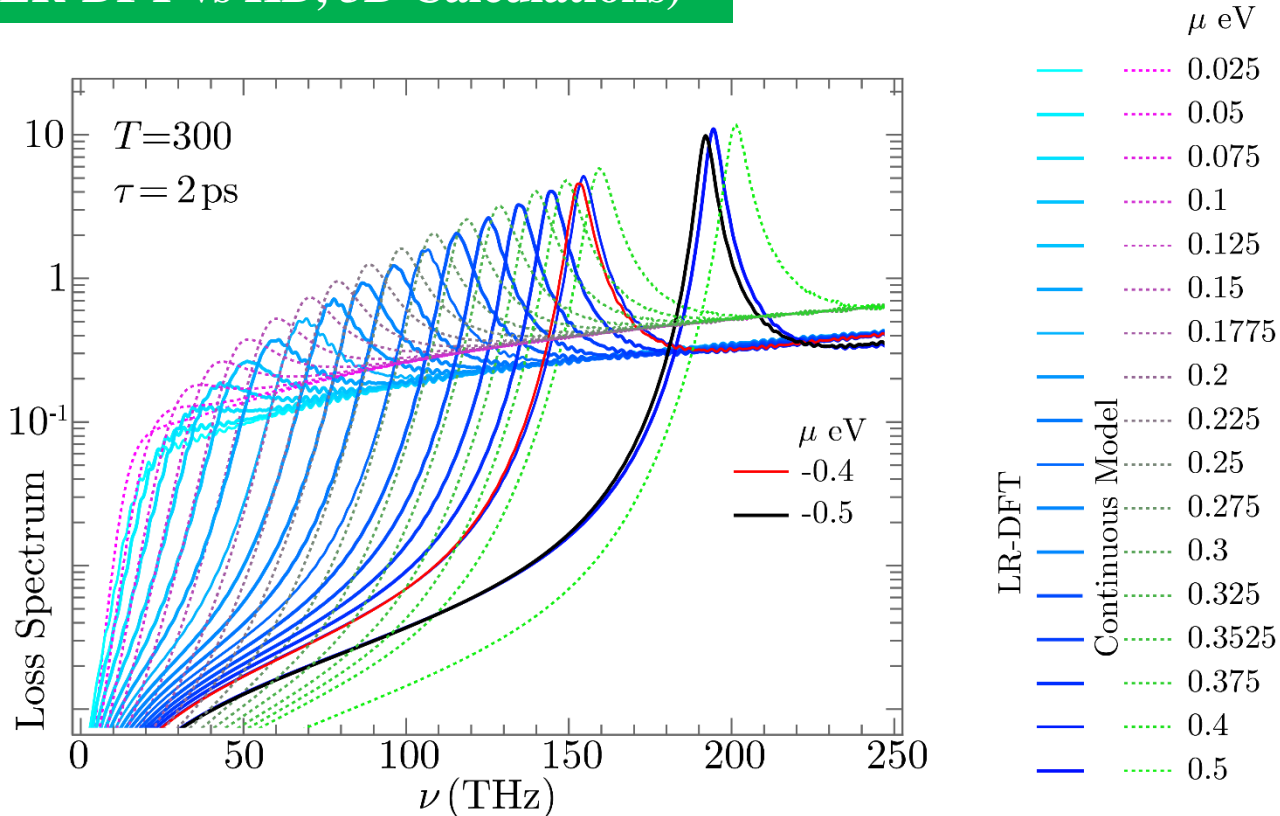
$$\epsilon_{\alpha\alpha}(q \rightarrow 0, v_{\pm}) = \epsilon_0 - \frac{e^2}{L\pi} \int_0^{\infty} \frac{d\varepsilon}{h^2 v_{\pm}^2} \left( \varepsilon \frac{\partial}{\partial \varepsilon} + \frac{1}{h^2 v_{\pm}^2 - 4\varepsilon^2} \right) (f_{\varepsilon} - f_{-\varepsilon})$$

## Kubo-Drude Formula

$$v_{\pm} = v \pm \frac{i}{\tau}$$

$$\sigma_{\alpha\alpha}(q \rightarrow 0, \omega_{\pm}) = \frac{je^2}{\pi\hbar L} \int_0^{\infty} \frac{d\varepsilon}{h v_{\pm}} \left( \varepsilon \frac{\partial}{\partial \varepsilon} + \frac{h^2 v_{\pm}^2}{h^2 v_{\pm}^2 - 4\varepsilon^2} \right) (f_{\varepsilon} - f_{-\varepsilon})$$

## Permittivity (LR-DFT vs KD, 3D Calculations)



# Dirac-Cone Approximation & Optical Limit

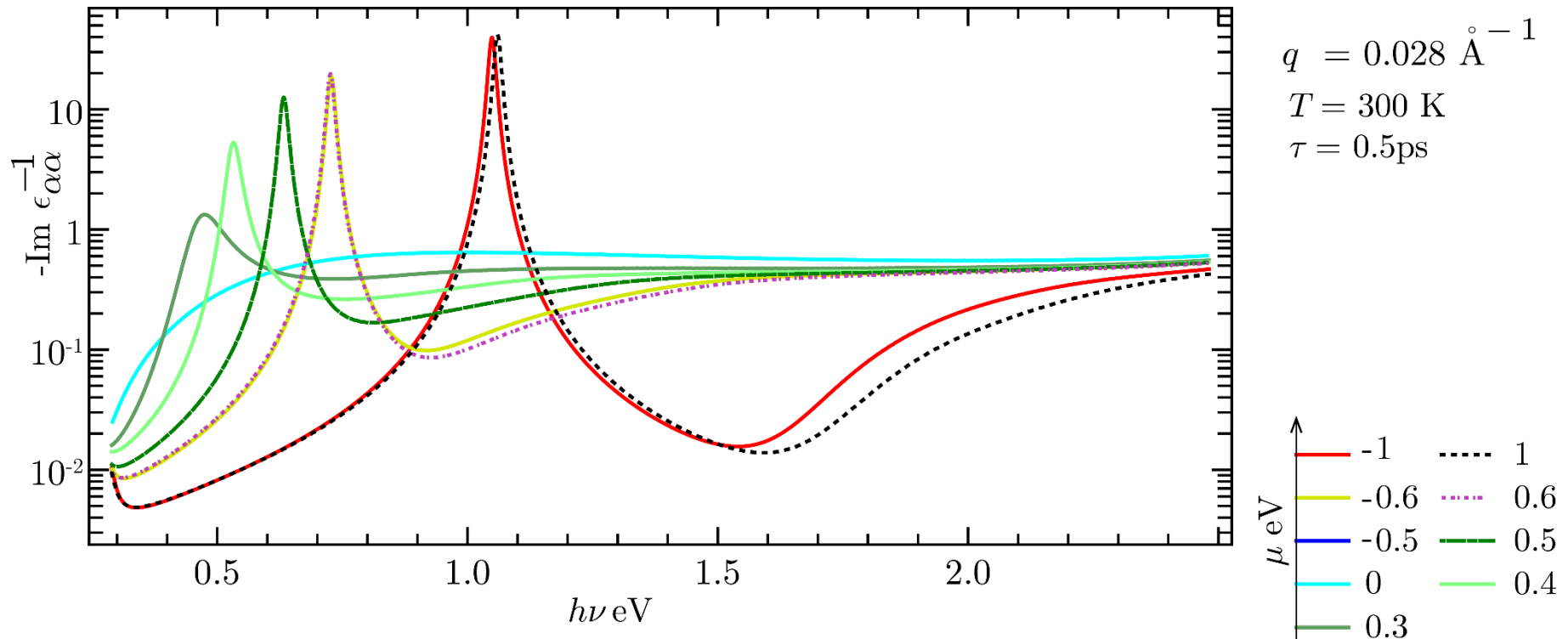
$$\epsilon_{\alpha\alpha}(q \rightarrow 0, v_{\pm}) = \epsilon_0 - \frac{e^2}{L\pi} \int_0^{\infty} \frac{d\varepsilon}{h^2 v_{\pm}^2} \left( \varepsilon \frac{\partial}{\partial \varepsilon} + \frac{1}{h^2 v_{\pm}^2 - 4\varepsilon^2} \right) (f_{\varepsilon} - f_{-\varepsilon})$$

## Kubo-Drude Formula

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## Permittivity (LR-DFT, 2D Calculations)



# Conductivity-Resistivity (LR-DFT vs KD)

$$\sigma_{\alpha\alpha}(q \rightarrow 0, \omega_{\pm}) = \frac{je^2}{\pi\hbar L} \int_0^{\infty} \frac{d\varepsilon}{h\nu_{\pm}} \left( \varepsilon \frac{\partial}{\partial \varepsilon} + \frac{h^2\nu_{\pm}^2}{h^2\nu_{\pm}^2 - 4\varepsilon^2} \right) (f_{\varepsilon} - f_{-\varepsilon})$$

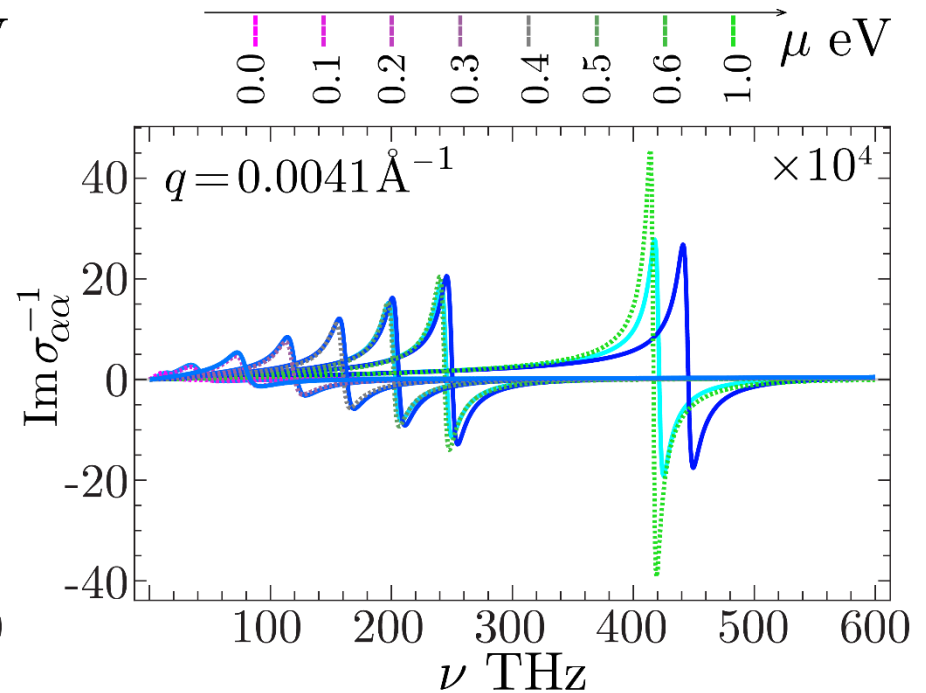
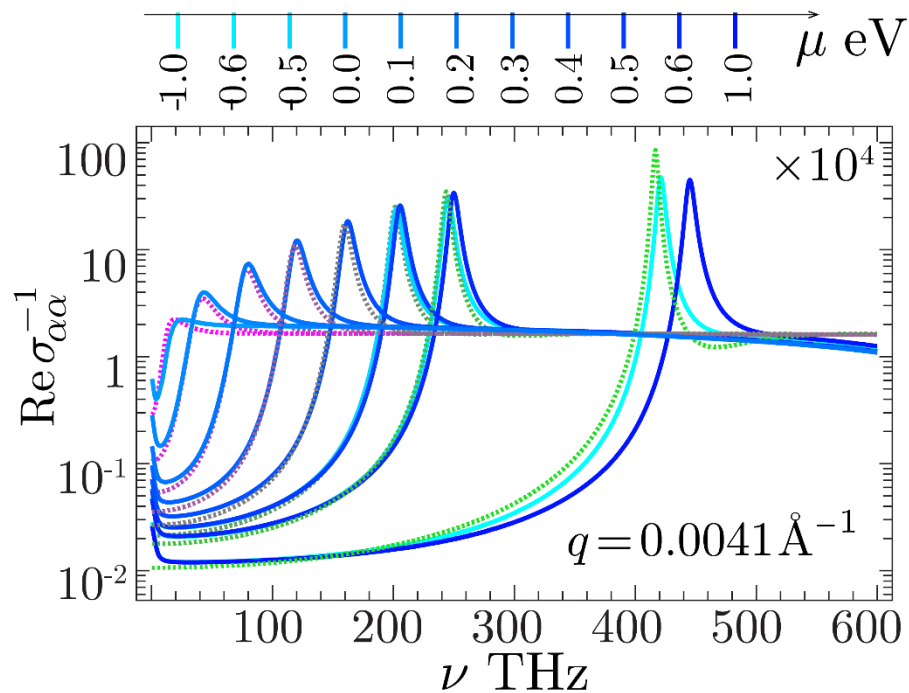
**Kubo-Drude Formula**

**LR-DFT**

$$\sigma_{\mathbf{G}\mathbf{G}'}(\mathbf{q}, \nu) = ih\nu_{\pm} \sum_{\mathbf{G}''} \sum_{\mathbf{k}} \sum_{n,n'} \frac{\varepsilon_0 v_{\mathbf{G}\mathbf{G}''}(\mathbf{q}) \rho_{nn'}^{\mathbf{k}\mathbf{q}}(\mathbf{G}'') (f_{\varepsilon_{n\mathbf{k}}} - f_{\varepsilon_{n'\mathbf{k}+\mathbf{q}}}) \rho_{nn'}^{\mathbf{k}\mathbf{q}}(\mathbf{G}')^*}{\Omega (h\nu_{\pm} + \varepsilon_{n\mathbf{k}} - \varepsilon_{n'\mathbf{k}+\mathbf{q}})}$$

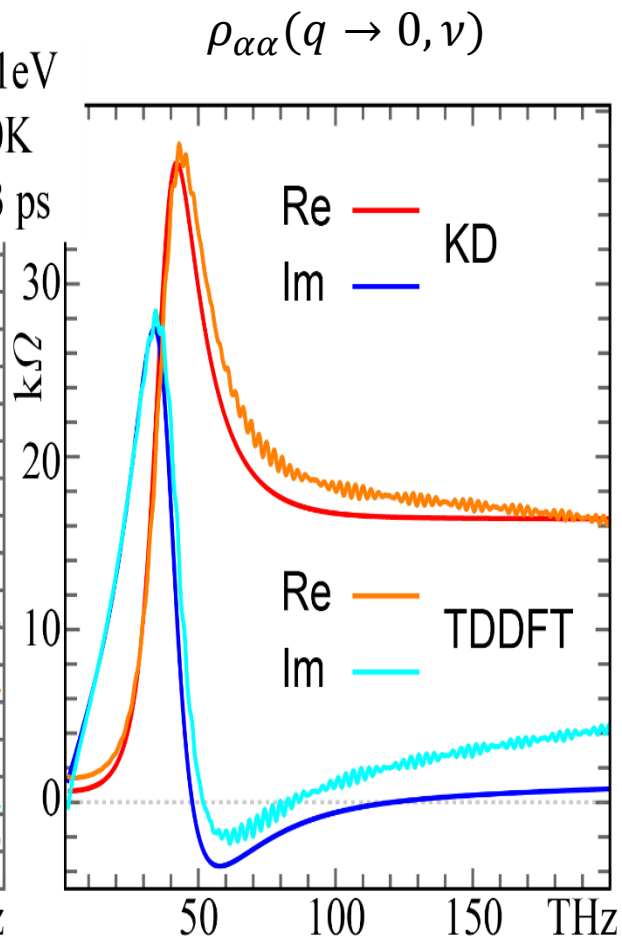
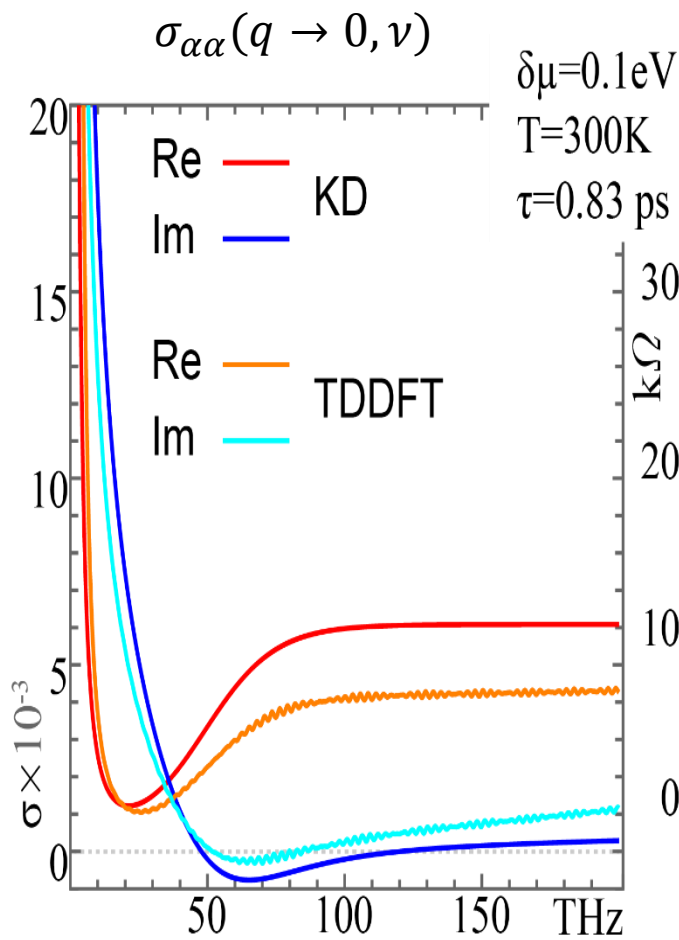
LR-DFT

KD



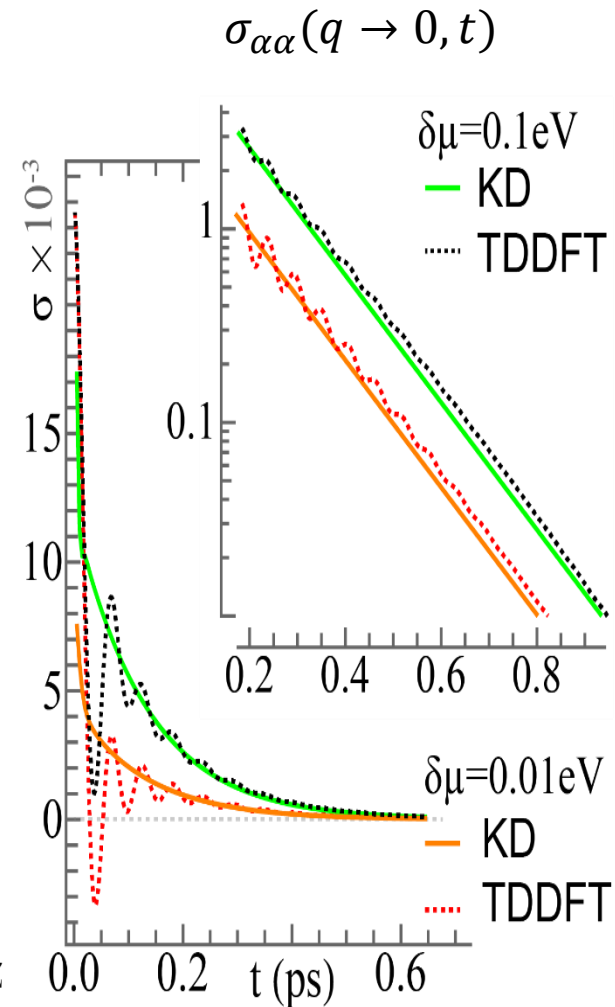


## Conductivity vs Frequency



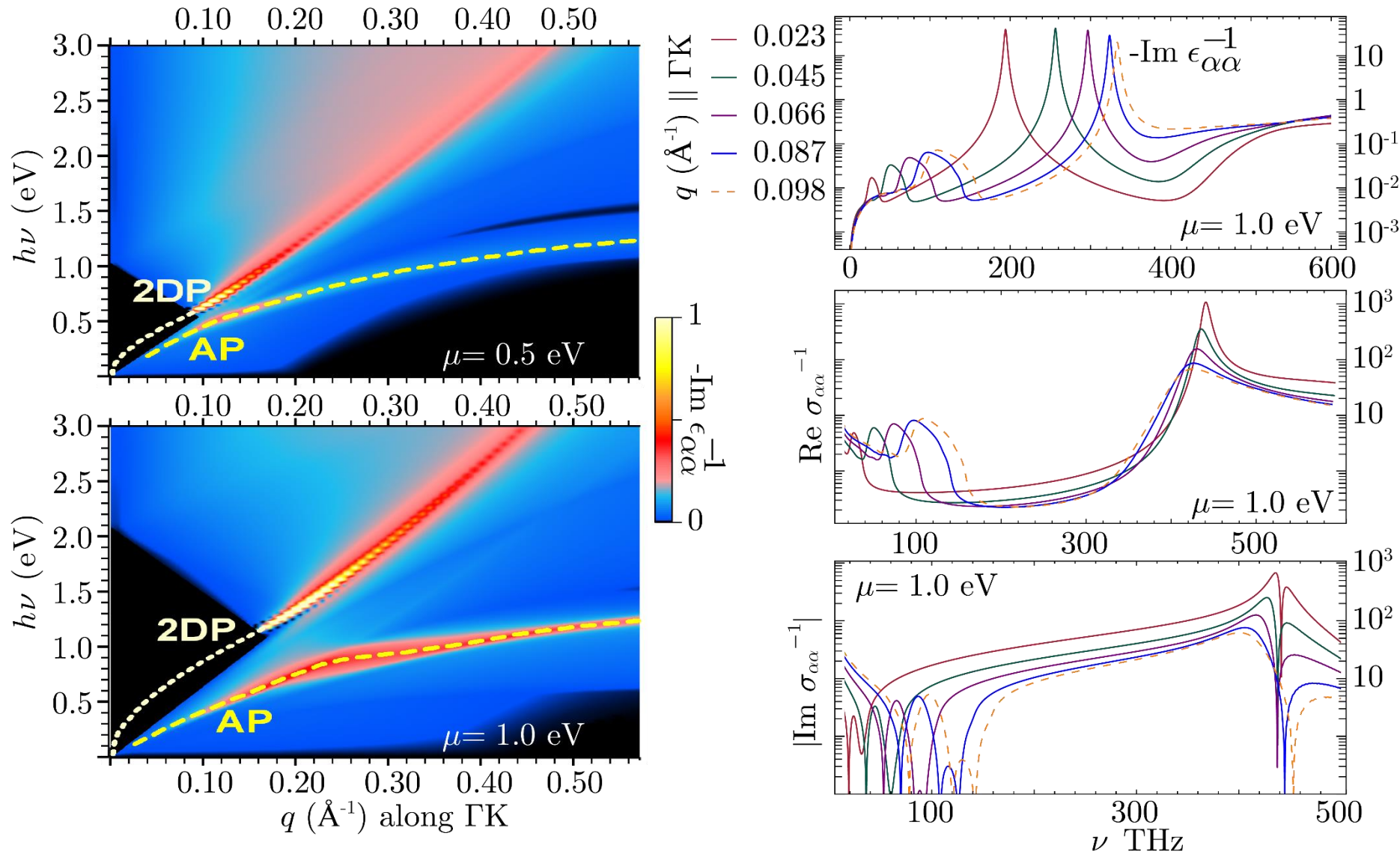
## Resistivity vs Frequency

## Conductivity vs Time



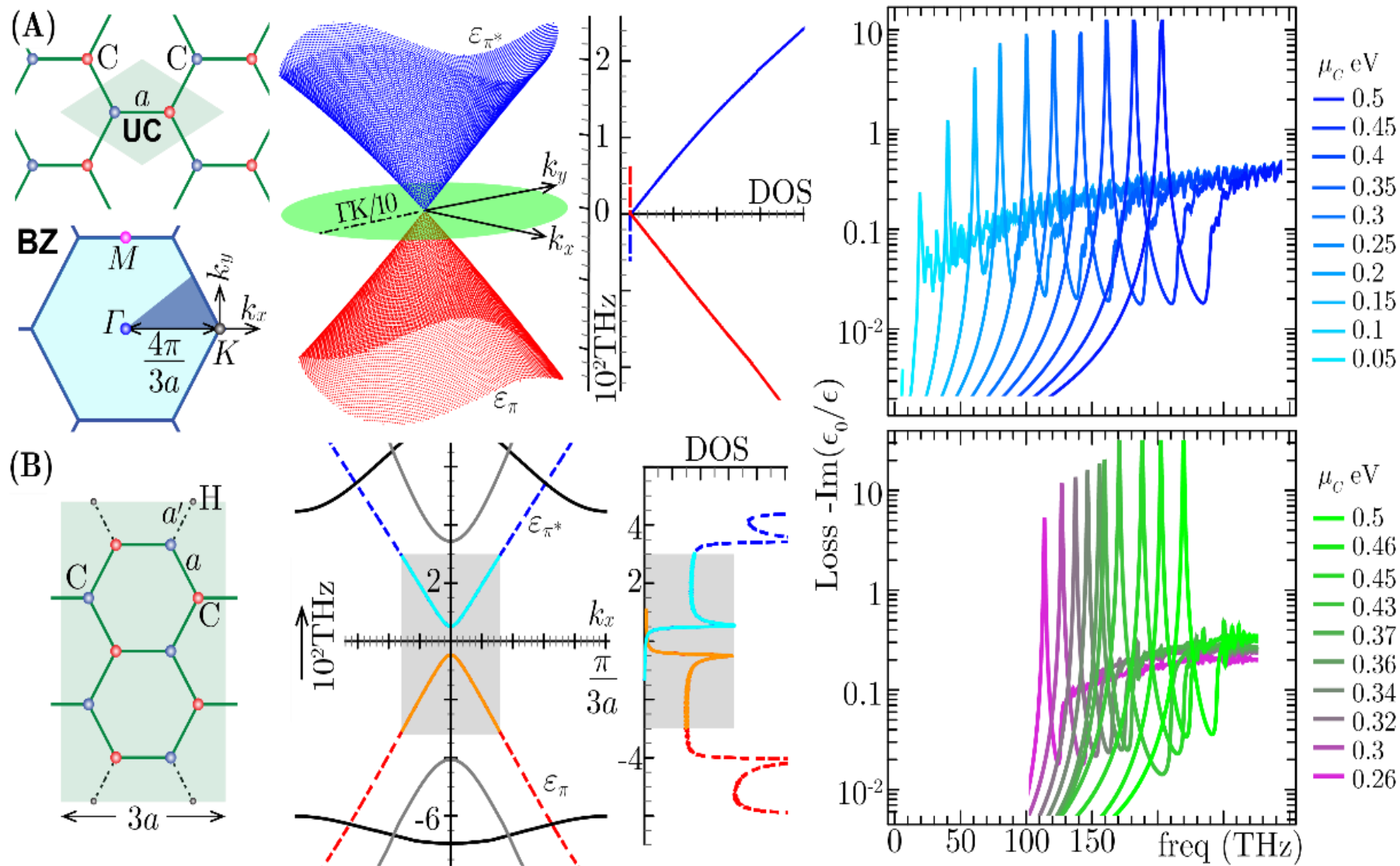
# Acoustic Plasmon (?)

The two modes of collective oscillation due to coexistence of carriers moving with two distinct Fermi velocities:



- (i) in one mode~(2DP) the two types of carriers oscillate in phase with one another (conventional 2D plasmon)
- (ii) in the other mode~(AP) an acoustic is predicted to occur with the two types of carriers oscillating out of phase.

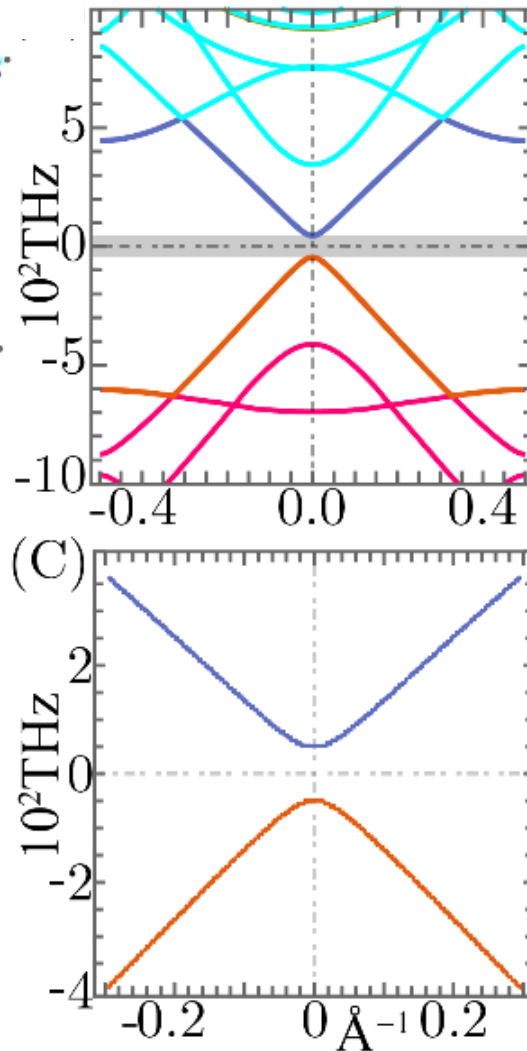
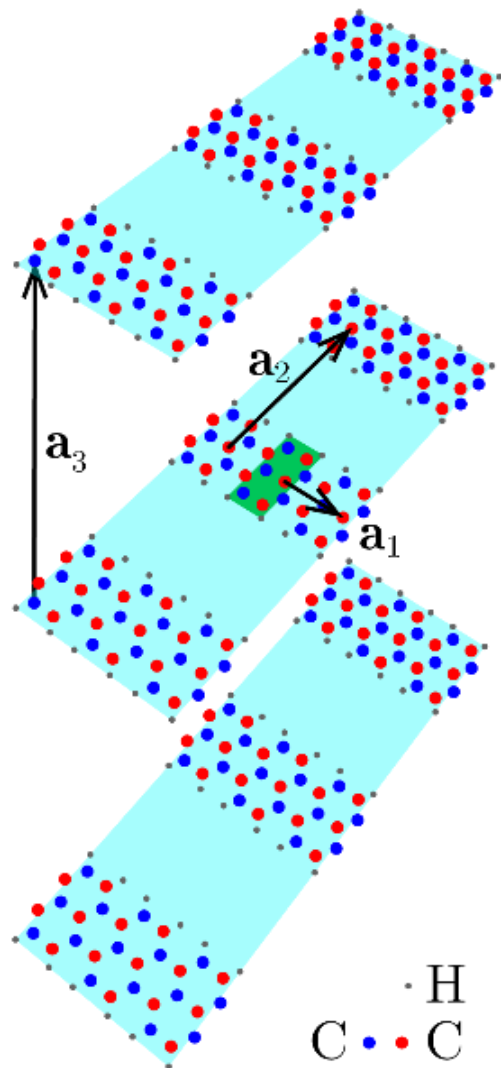
# Permittivity response of graphene vs an armchair nanoribbon



**Only LR-DFT can be used (no conical approx.)**



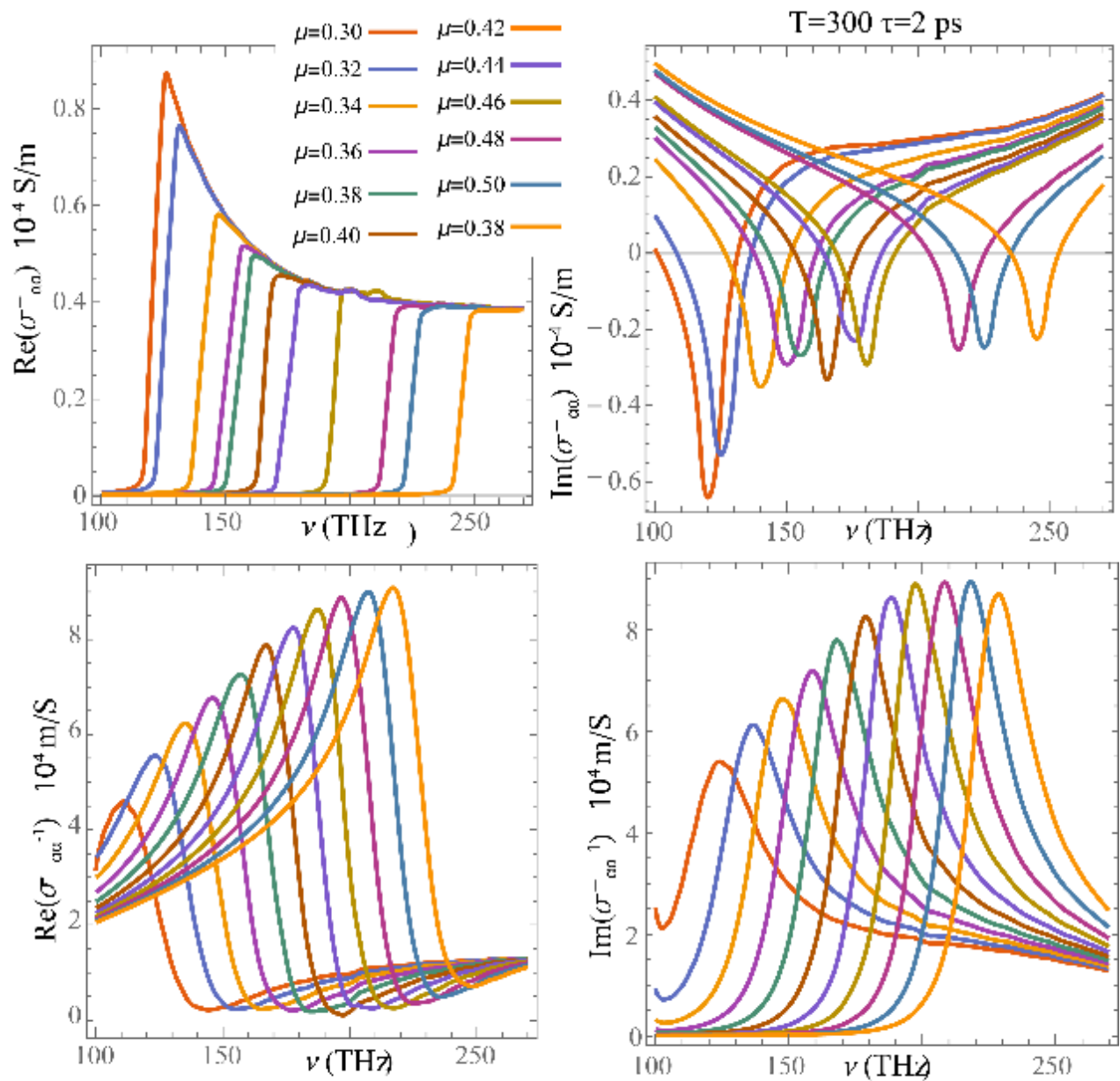
# Armchair nanoribbon (5,5)



Real space geometry, band structure, and dispersion relations for the  $\pi$  and  $\pi^*$  electronic bands in a pristine (5,5) GNR

Only LR-DFT can be used (no conical approx.)

# Armchair nanoribbon (5,5) -> Conductivity/Resistivity



**Only LR-DFT can be used (no conical approx.)**

- We have presented advanced tools to study the linear electromagnetic response of graphene and graphene-like materials on the THz scale.
- Starting from an atomistic point of view, we have defined an ab initio approach in which the ground state properties of the material, i.e., energies, occupations, and one-electron wave-functions are computed by plane-wave DFT.
- These information are plugged in the relations of linear response theory to predict the EM response of the system, in the optical limit .
- Although several permittivity simulations have been performed, following similar guidelines, on pristine graphene on the eV scale, here we have defined a procedure to properly sample the electronic structure on the THz scale.
- At the same time, we have tested the reliability of the widely-used KD approach, operating in the same frequency range.
- Upon comparison of DFT-results with those obtained by the KD formulation, some significant differences have been pointed out. Nevertheless the KD formula seems to reasonably capture the main quantum features of graphene for EM applications. However, the proposed ab initio tool can be feasibly adapted to describe graphene-like systems with a more complex electronic structure than graphene, such as graphene multilayers, nano-ribbons, or nanotubes.
- More importantly, it has the potential to properly account for the role of metal contacts and substrate contacts. This is the object of current and future work.





**Thanks  
for your attention!**