

March 2015



Recent results from  
AMS-02

B.Bertucci  
Università & INFN  
Perugia

Torino, 6 Luglio 2015

May 2011

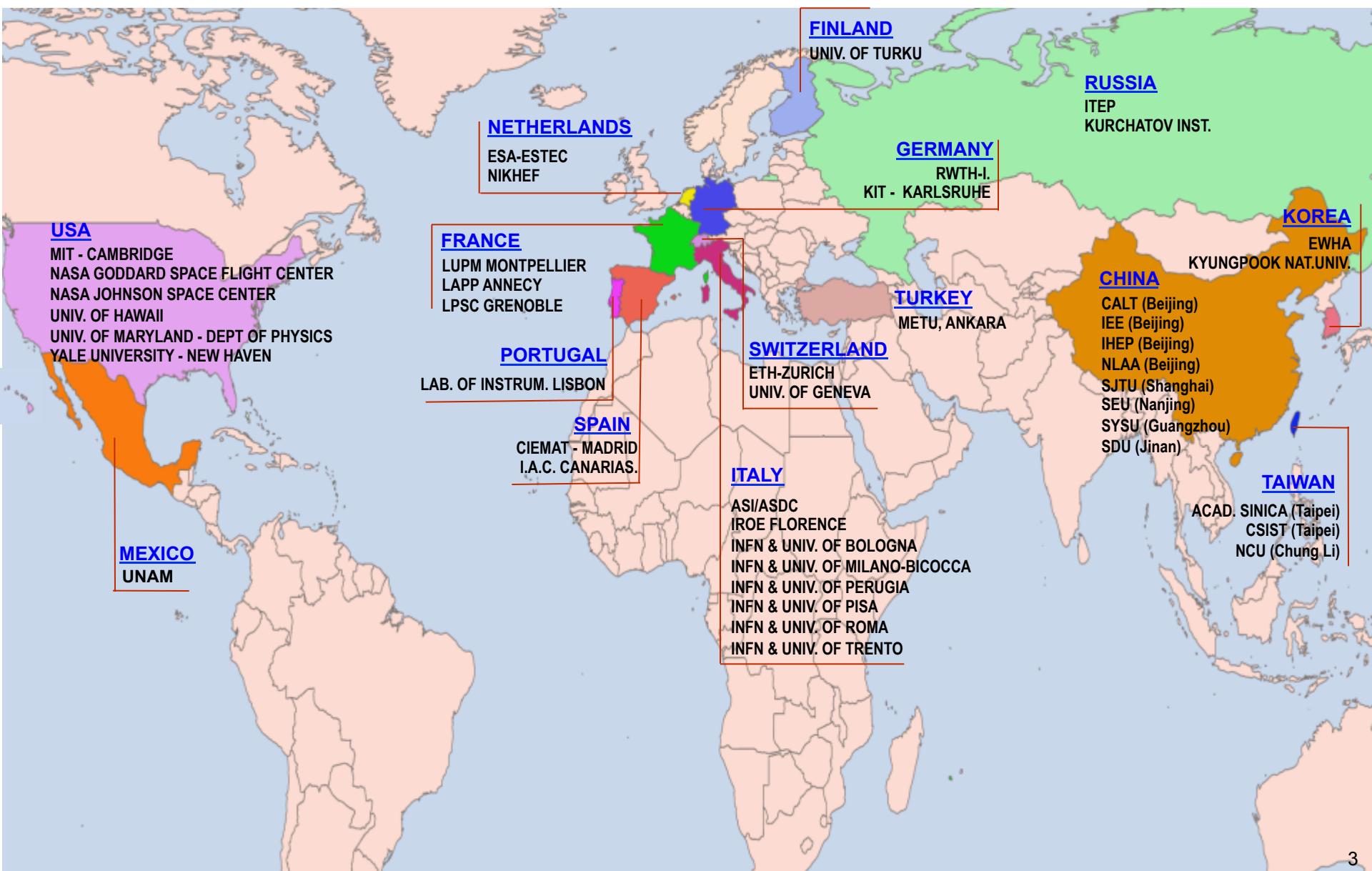


# Outline

- ① AMS in a nutshell
- ② Operation in space
- ③ Recent results



# Alpha Magnetic Spectrometer : 16 Countries, 57 Institutes and 600 Physicists



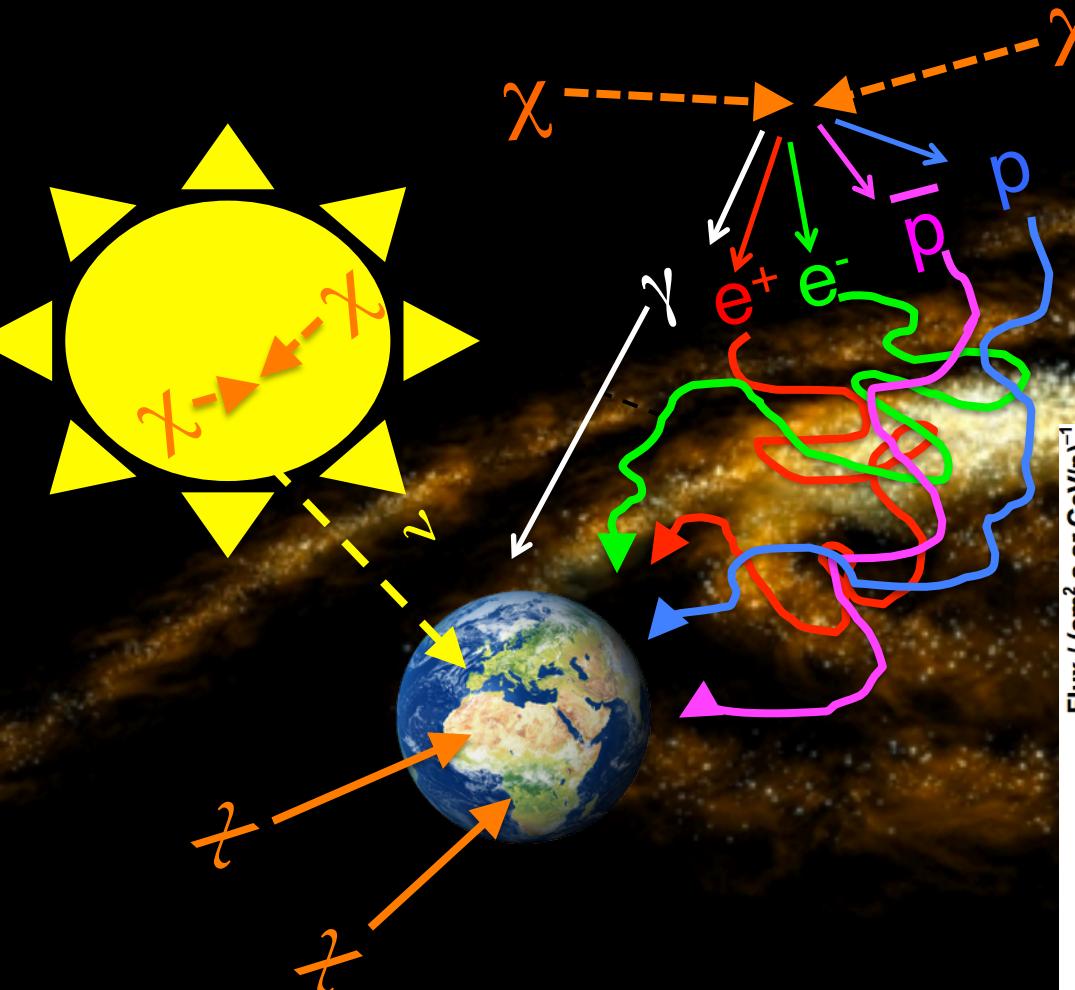
# AMS Objectives

- **Fundamental physics & Antimatter :**
  - Primordial origin (anti-nuclei ?)
  - Exotic sources a.k.a DARK MATTER (positrons, anti-p, anti-D?)
- **The CR composition and energy spectrum**  
(how to understand the beam)
  - Sources & acceleration : Proton and He, electrons
  - Propagation in the ISM: (nuclear and isotopic composition)

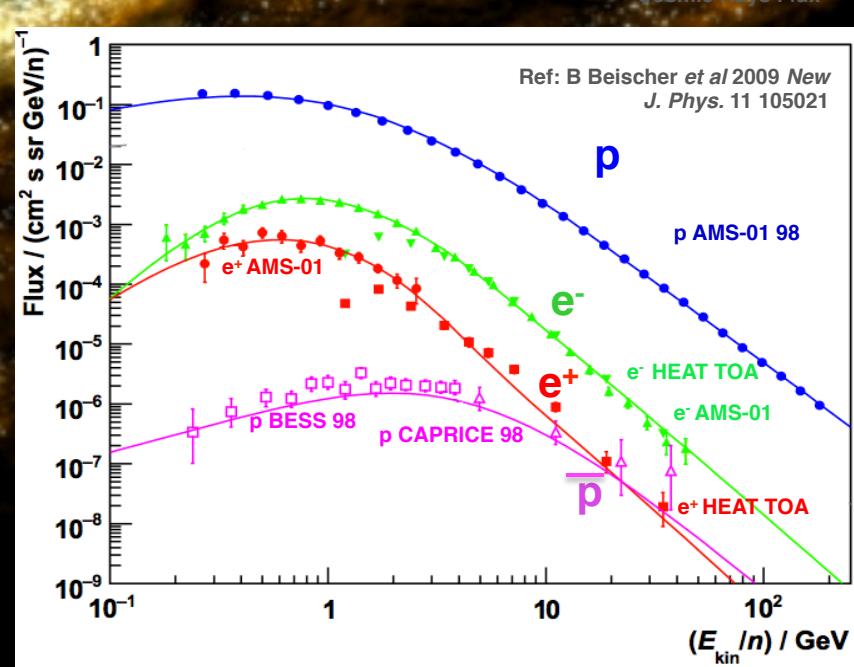
# ANTI-MATTER & DARK MATTER WIMPs & RARE ANNIHILATION

WIMP as the responsible of Dark Matter (?)

Indirect DM search → search for (RARE IN CR) products from their annihilation....

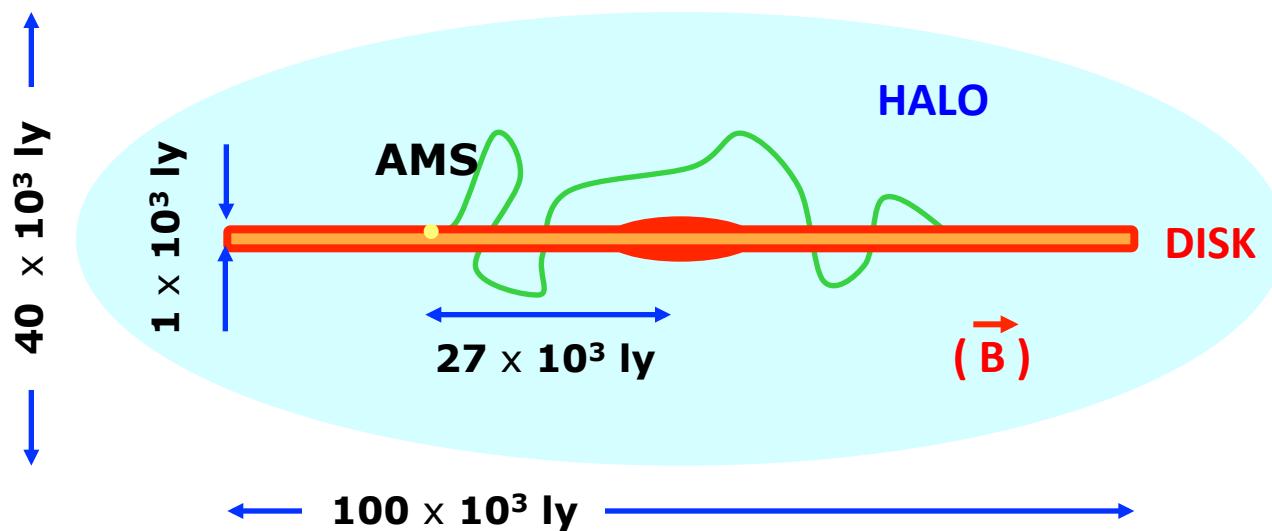
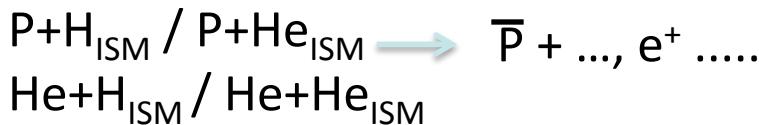


But you should know  
what you expect in the  
ISM !!



# Knowledge of cosmic background

$e^+$ ,  $\bar{p}$  are produced in the CR interactions with the ISM



Diffusion  
Convection  
Reacceleration

Interactions with the Interstellar Medium (ISM):  
• Fragmentation  
• Secondaries  
• Energy loss

Information on Cosmic Ray Interactions and Propagation can be provided by the accurate measurement of nuclear species e.g. B/C



# AMS Objectives

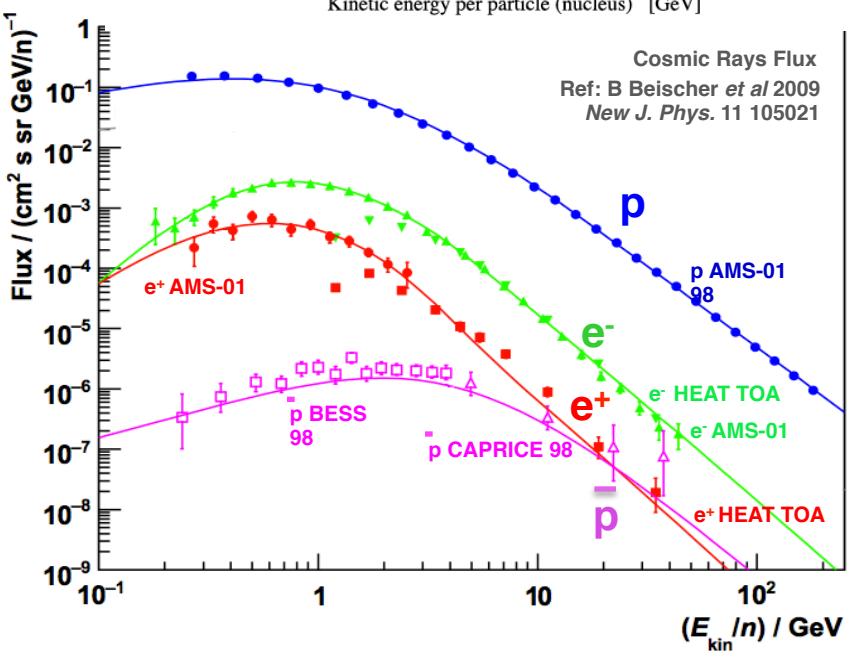
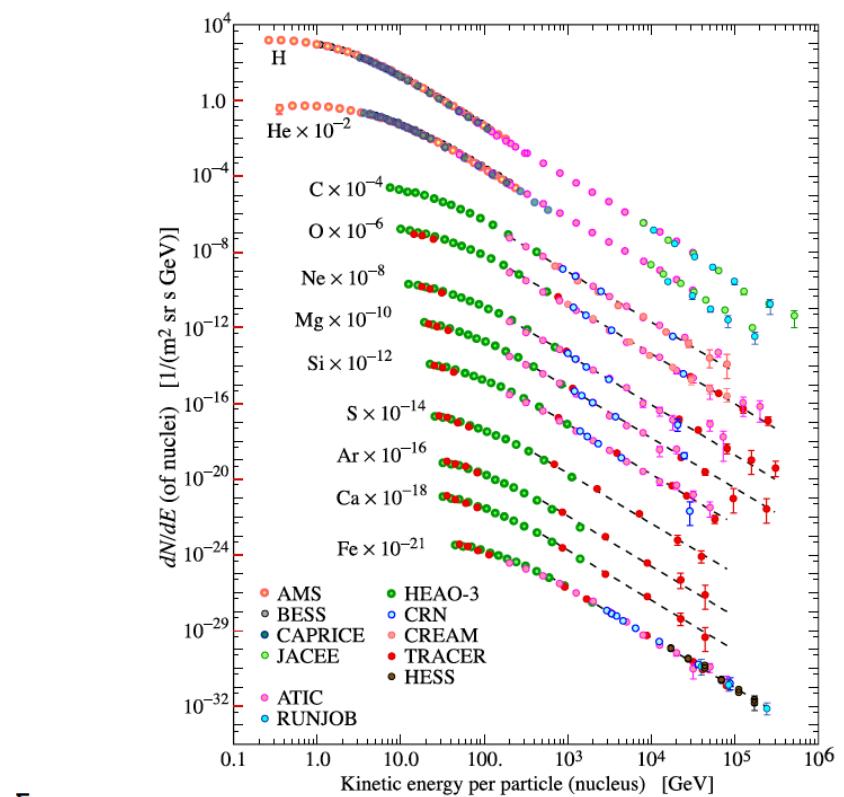
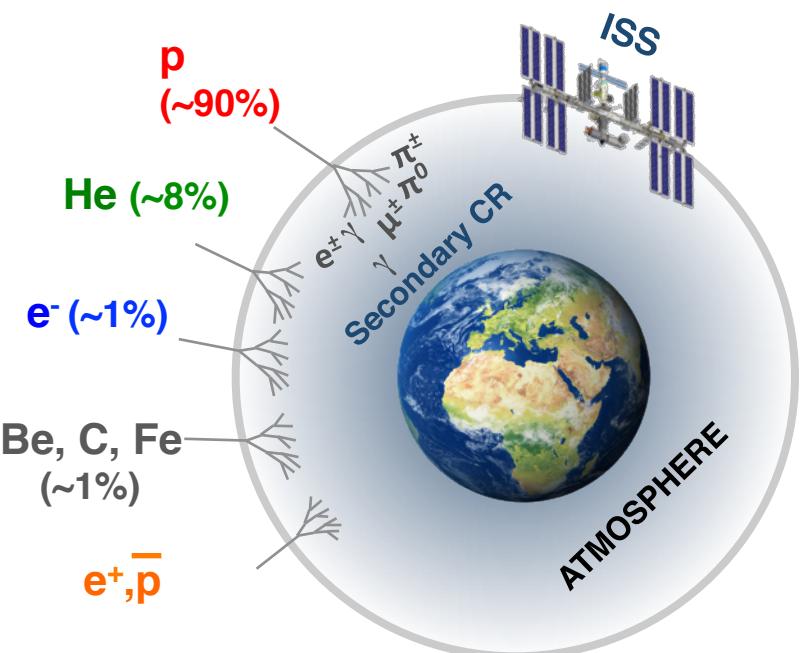
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(how to understand the beam)
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  - Propagation in the ISM: (nuclear and isotopic composition)

**The experimental challenge: hunt rare signals & provide accurate flux measurements to interpret them !**

- **DESIGN** : state of the art detectors providing redundant measurements of particle properties
- **TEST**: test and calibration on ground
- **MONITORING** on ISS : calibration on flight
- Data analysis

# AMS measurements

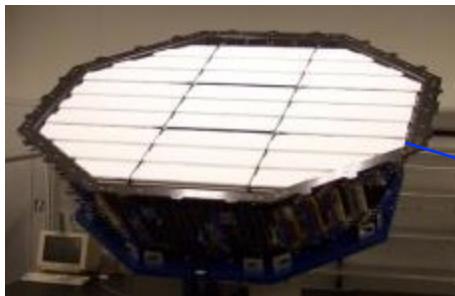
- charged cosmic rays (GV-TV) & their variation in time
- $\gamma$  rays ( $E > 1 \text{ GeV}$ )



# AMS: A TeV precision, multipurpose spectrometer

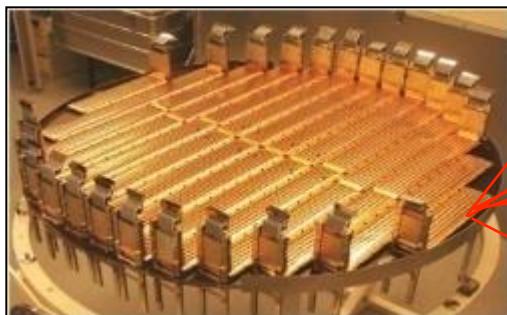
Transition Radiation Detector (TRD)

Identify  $e^+$ ,  $e^-$

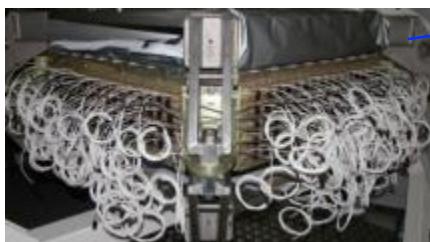


Particles and nuclei are defined by their charge (**Z**) and energy (**E**)

Silicon Tracker  
**Z, P**



Electromagnetic Calorimeter (ECAL)  
**E of  $e^+$ ,  $e^-$ ,  $\gamma$**



Time of Flight (TOF)  
**Z, E**



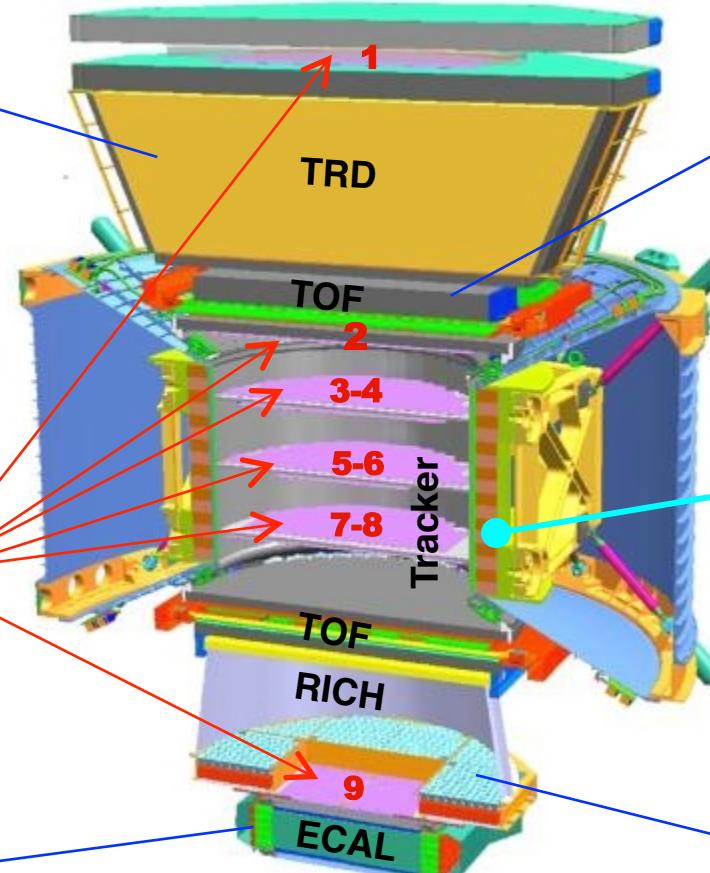
Magnet (0.15 T)  
**+Z**



Ring Imaging Cherenkov (RICH)  
**Z, E**



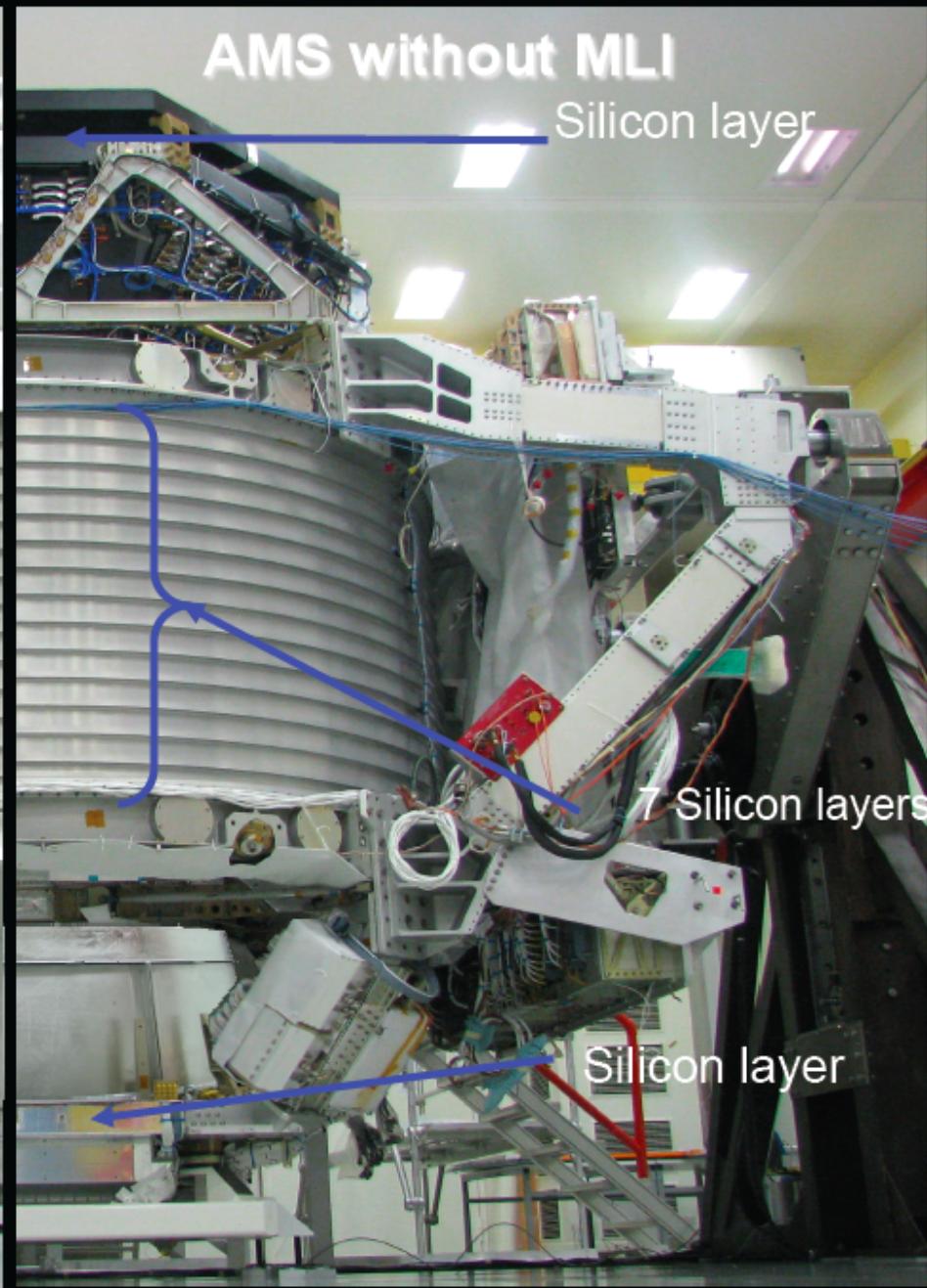
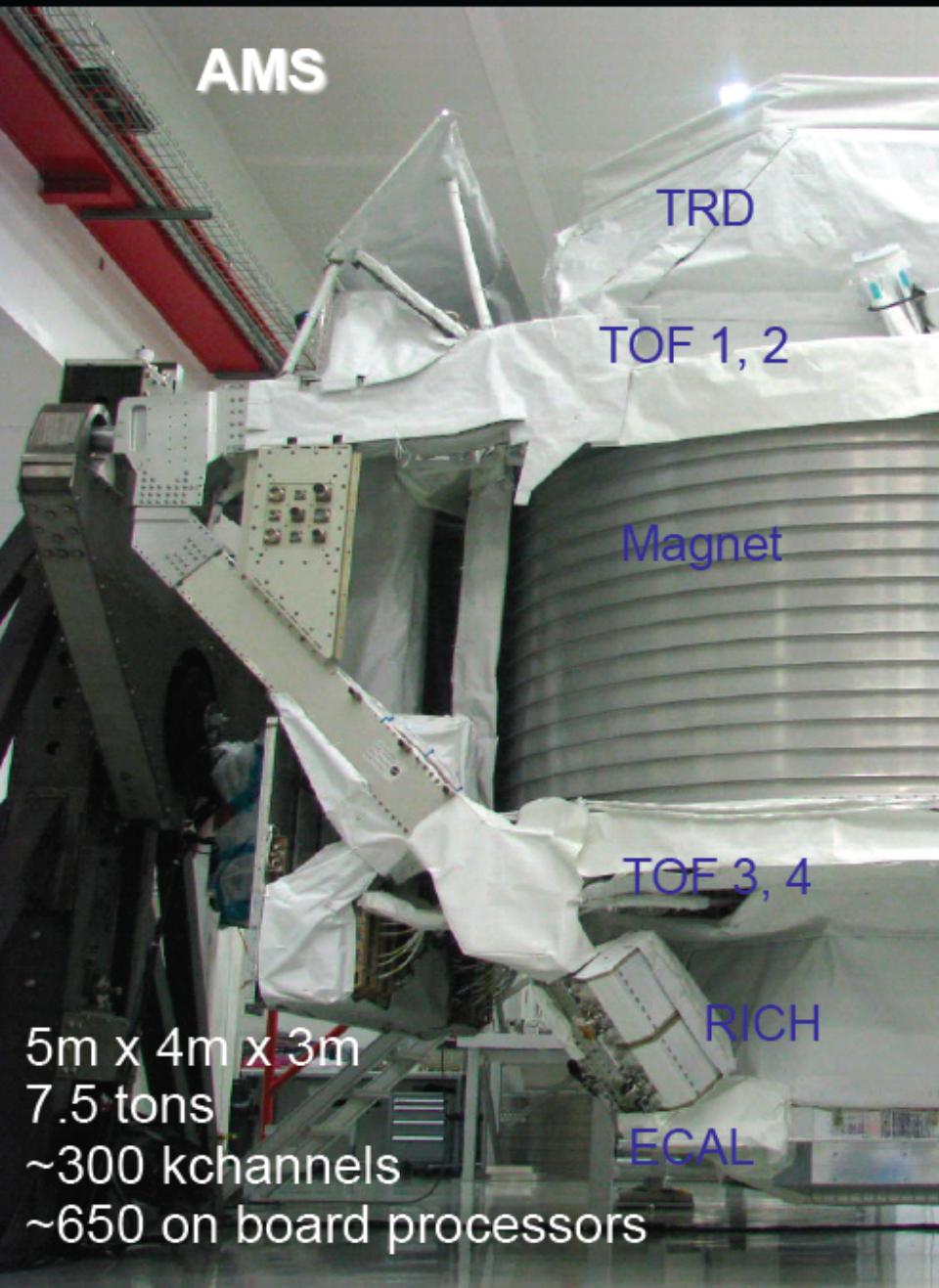
**Z, E** are measured independently by the Tracker, RICH, TOF and ECAL



# Full coverage of anti-matter & CR physics

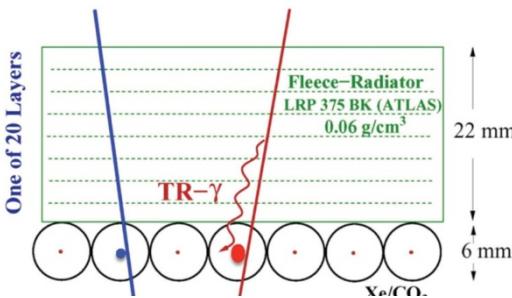


	$e^-$	P	He,Li,Be,..Fe	$\gamma$	$e^+$	$\bar{P}$	$\bar{\text{He}}, \bar{\text{C}}$
TRD							
TOF							
Tracker							
RICH							
ECAL							
Physics example	Cosmic Ray Physics				Dark matter		Antimatter

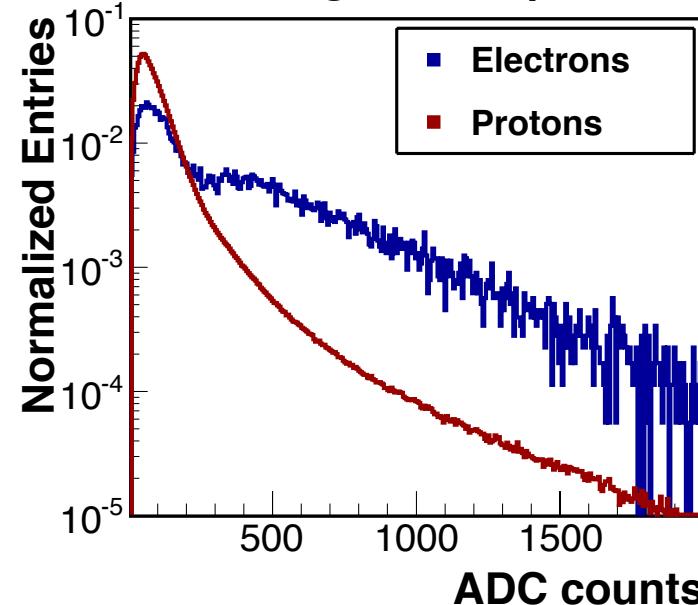


# TRD

**20 layers of fiber fleece radiators interleaved with 80/20 Xe/Co<sub>2</sub> straw tubes.**



TRD - Single tube spectrum

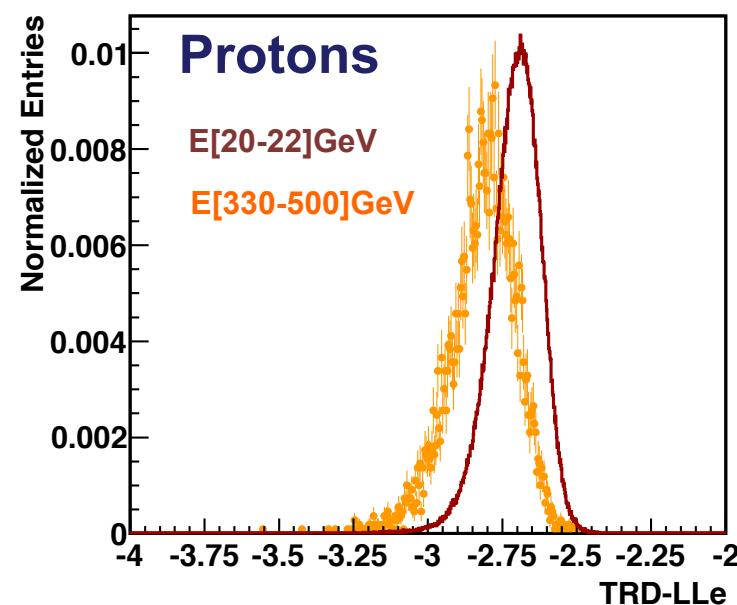
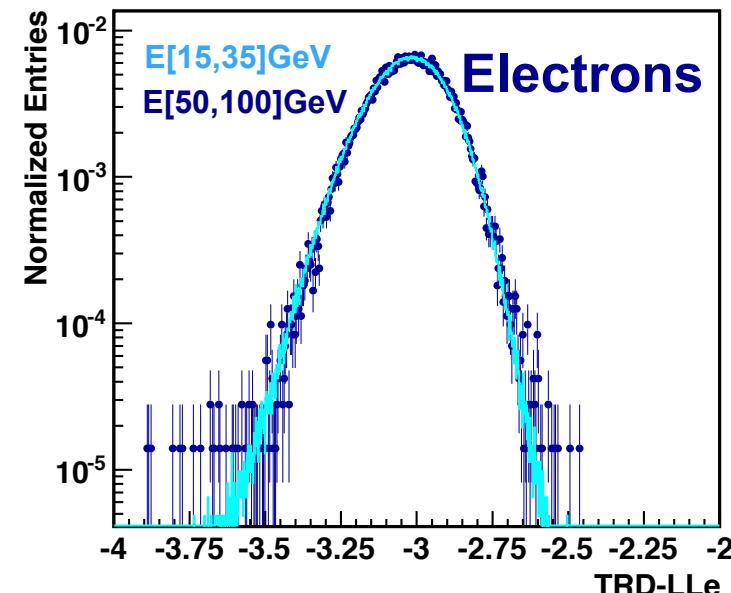


$$P_p = \sqrt[n]{\prod_i^n P_p^{(i)}(A)}$$

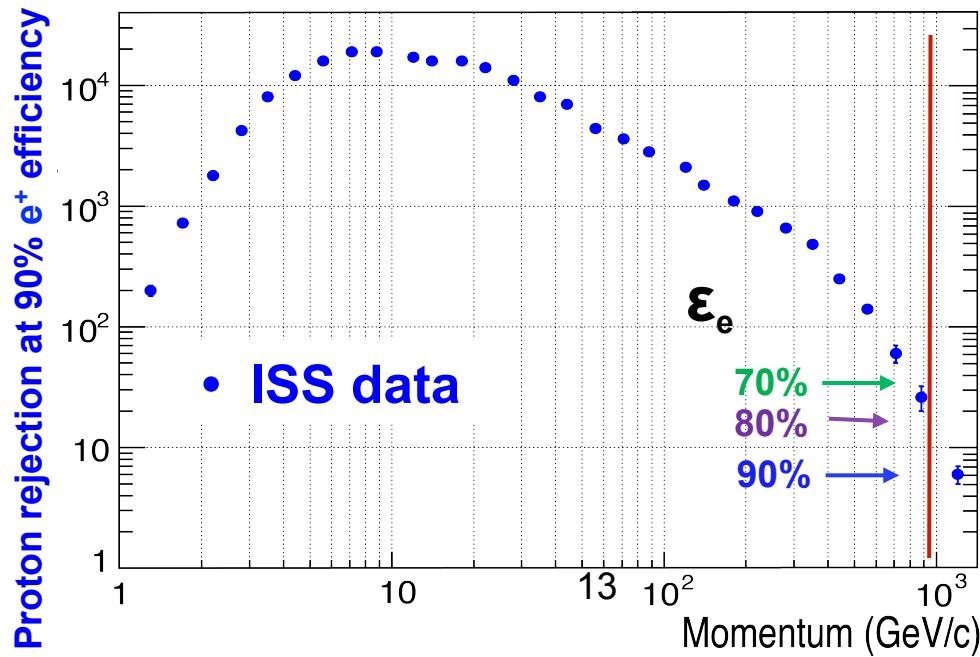
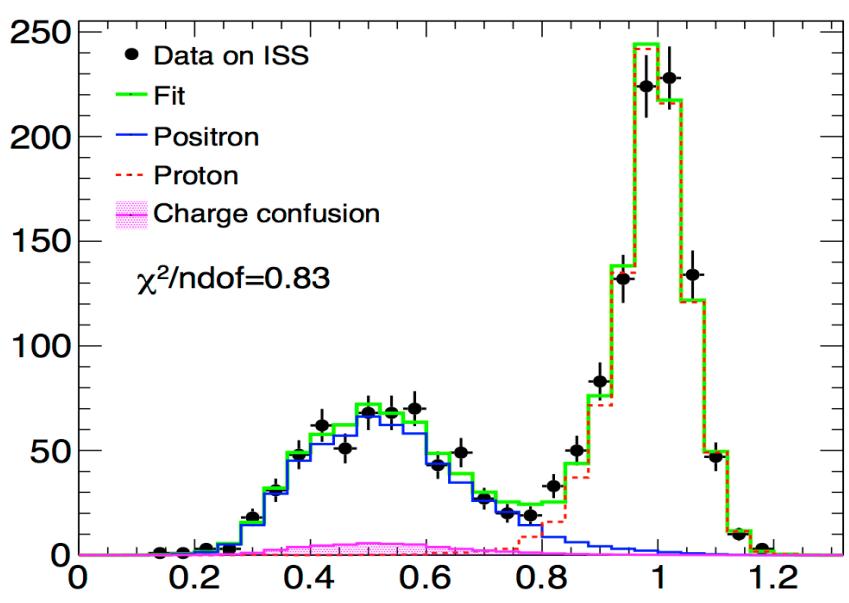
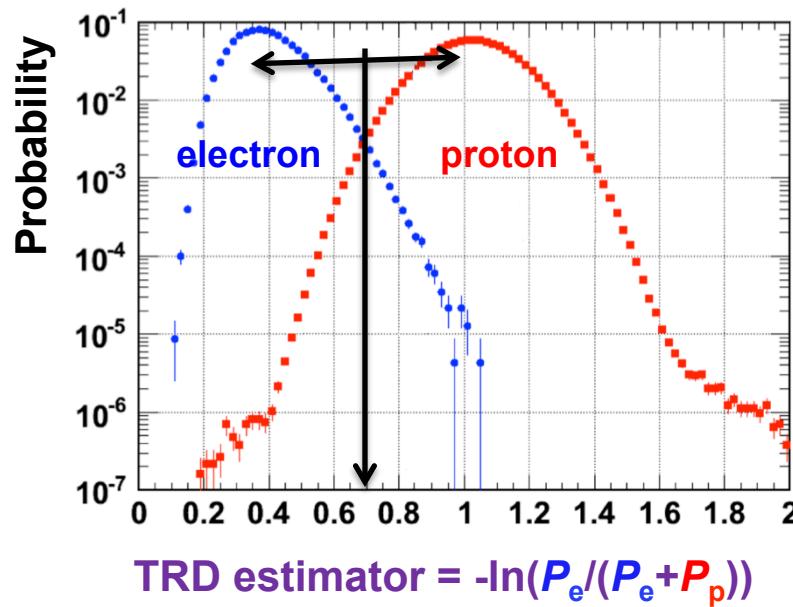
$$P_e = \sqrt[n]{\prod_i^n P_e^{(i)}(A)}$$

TRD-LLe  
=

$$\log_{10}(P_e)$$

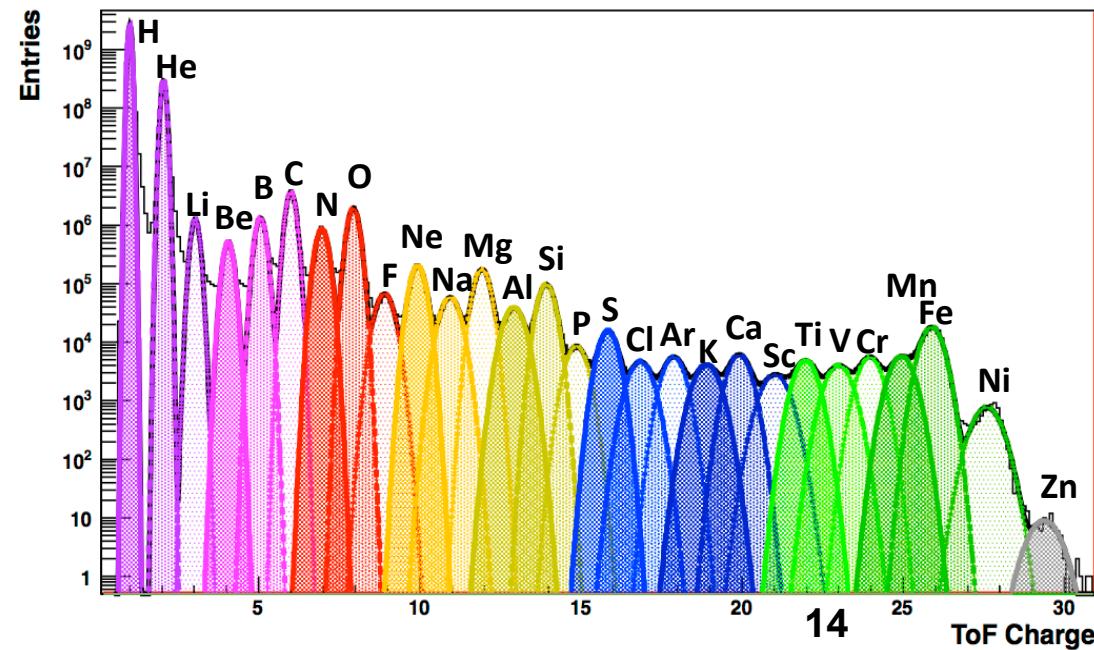
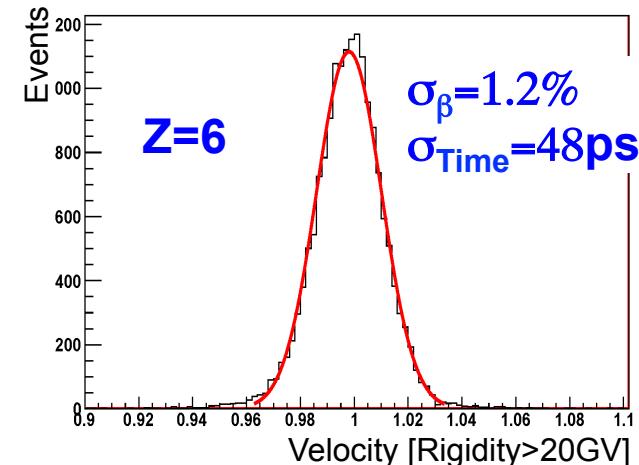
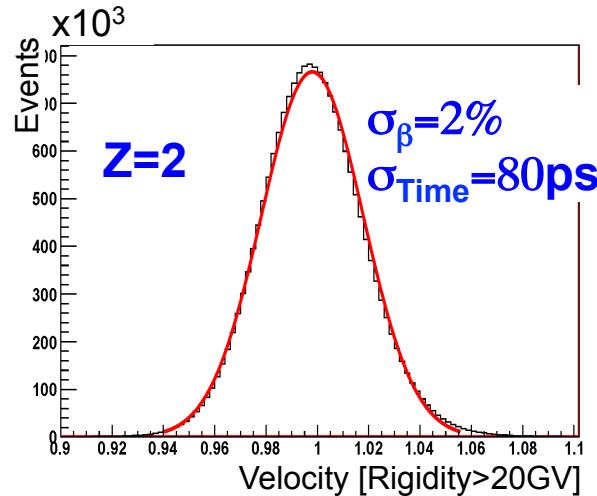
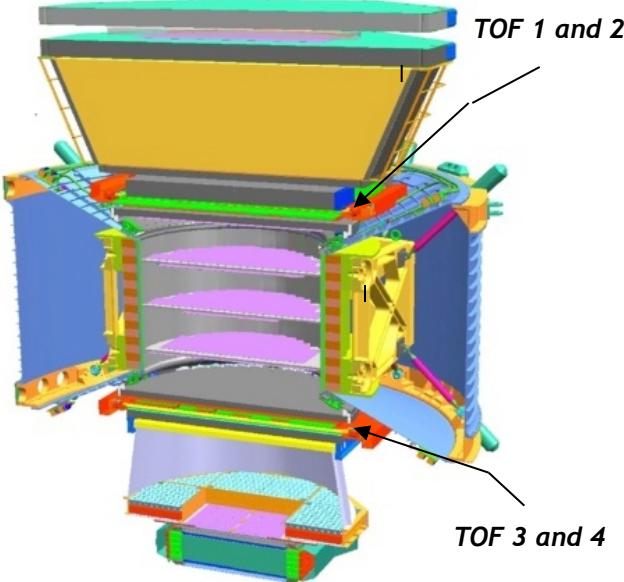


# TRD e/p separation



# Time of Flight System

Measures Velocity and Charge of particles



# Tracker:

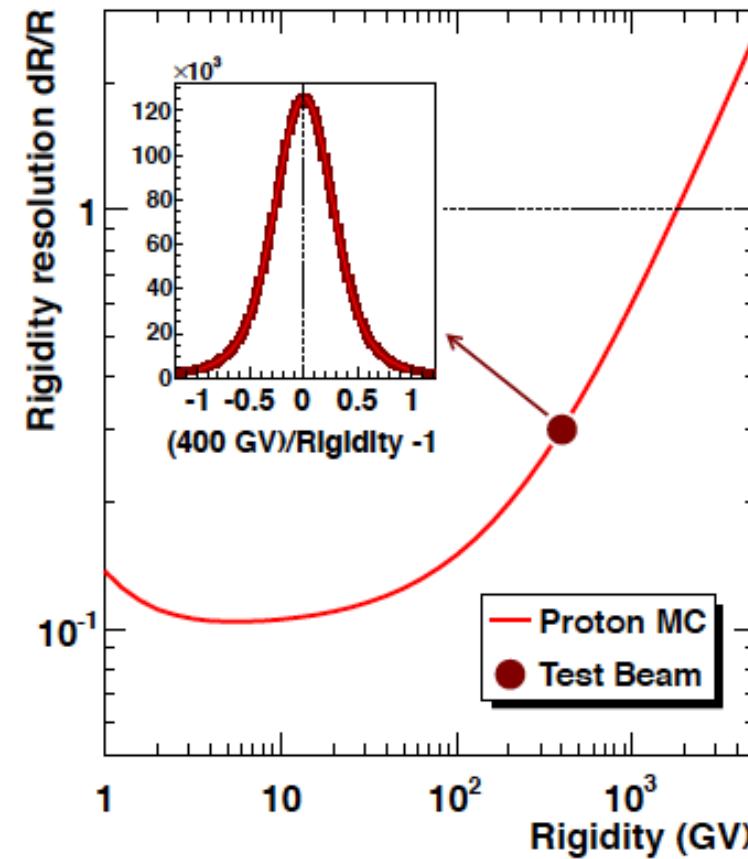
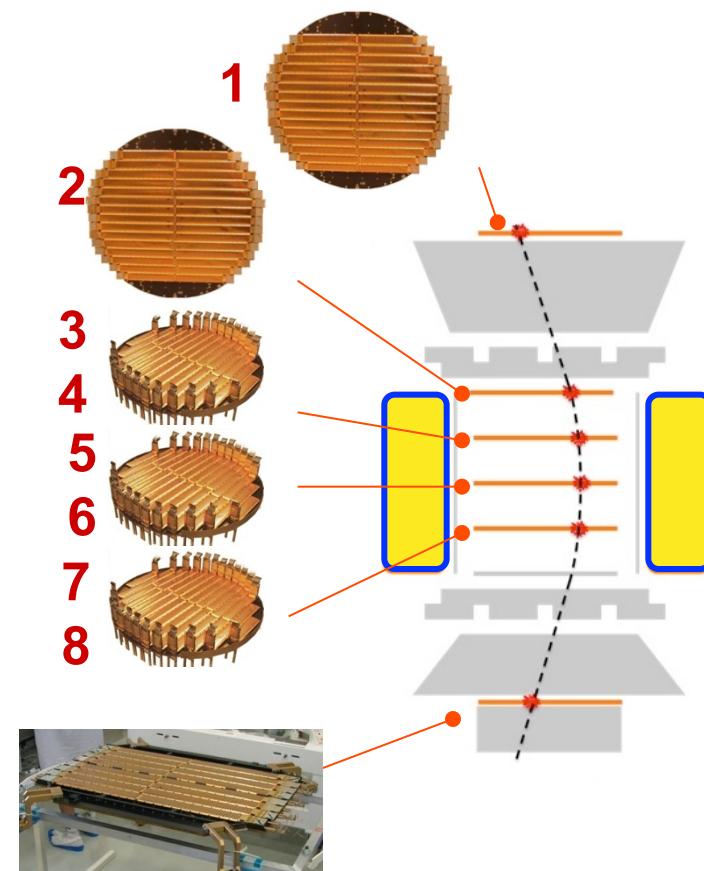
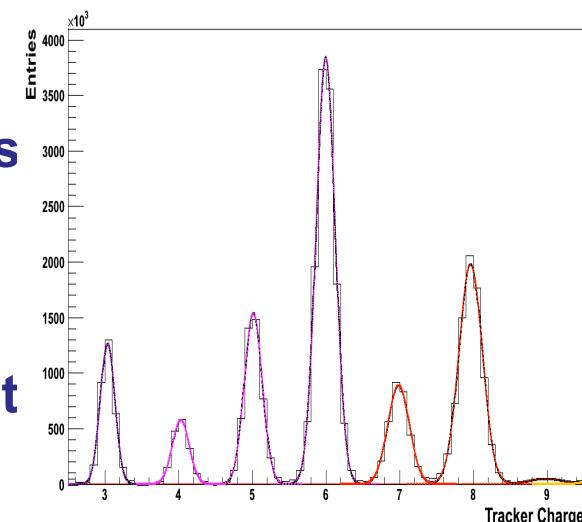
9 layers of double sided silicon microstrip detectors

192 ladders / 2598 sensors/ 200k readout channels

Coordinate resolution  $10 \mu$

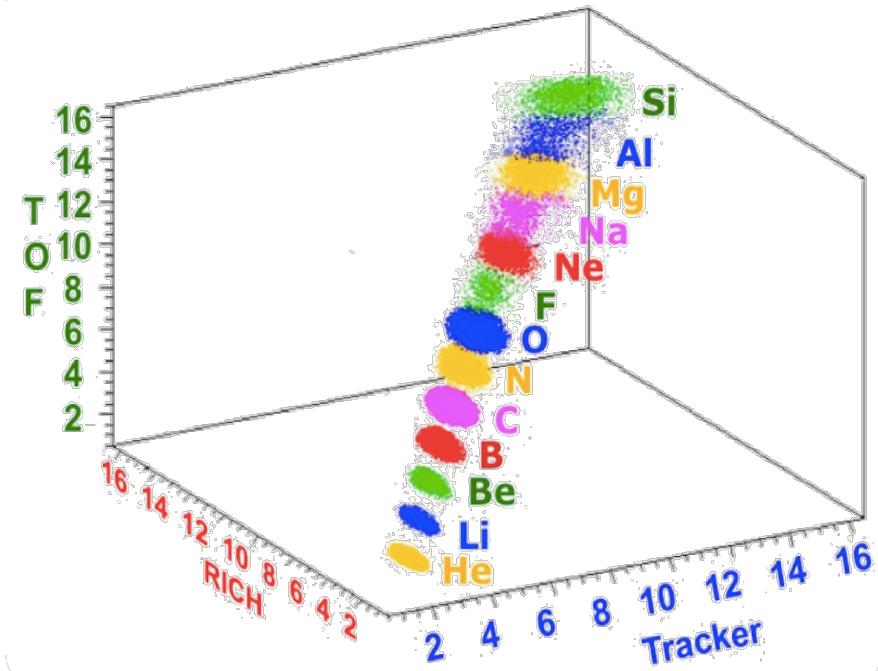
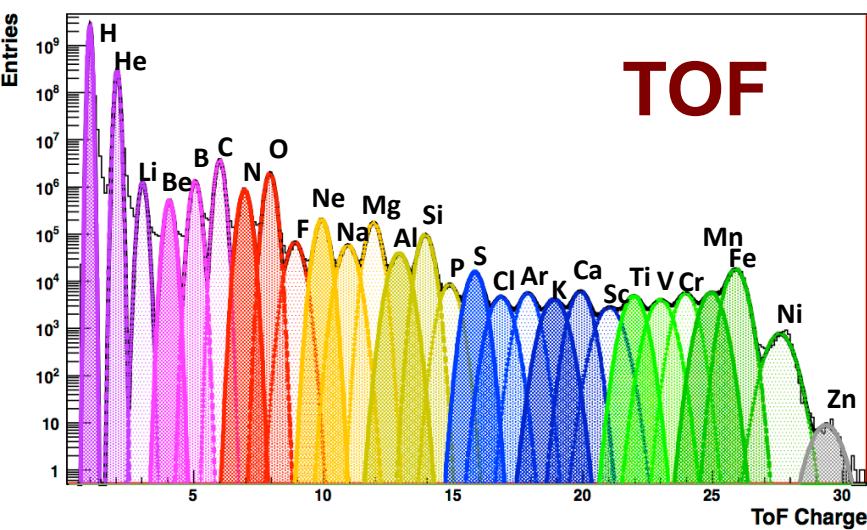
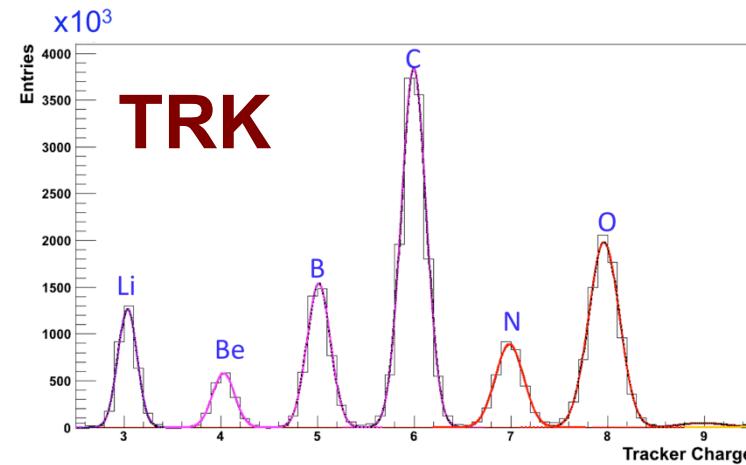
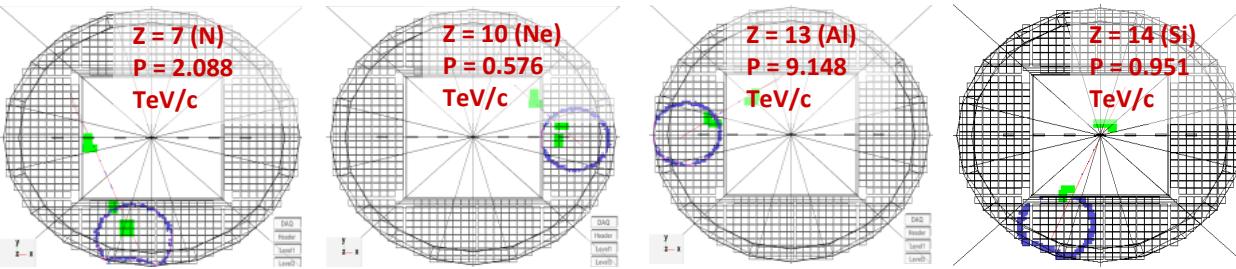
→ 20 –UV Lasers to monitor inner tracker alignment

→ Cosmic rays to monitor outer tracker alignment



# RICH

# Z measurement

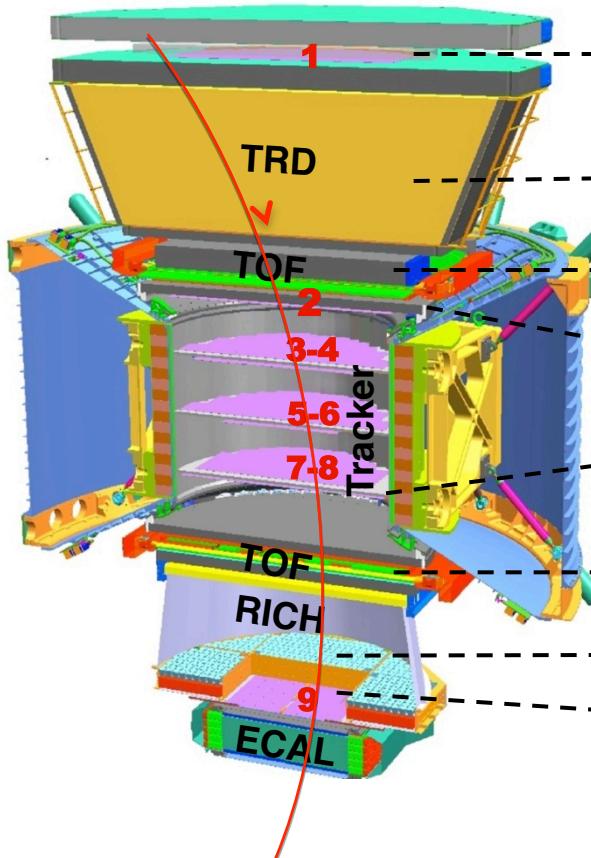




# Particle Charge Measurement

Multiple Independent Measurements of  $|Z|$

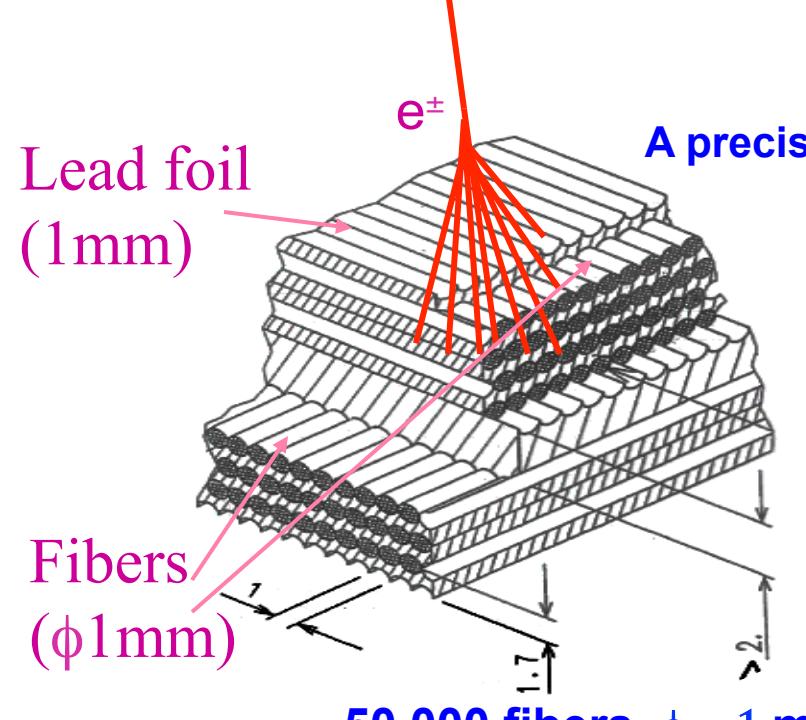
Carbon ( $Z=6$ )  
 $\Delta Z$  (cu)



- |                          |      |
|--------------------------|------|
| 1. Tracker Plane 1       | 0.30 |
| 2. TRD                   | 0.33 |
| 3. Upper TOF (1 counter) | 0.16 |
| 4. Tracker Planes 2-8    | 0.12 |
| 5. Lower TOF (1 counter) | 0.16 |
| 6. RICH                  | 0.32 |
| 7. Tracker Plane 9       | 0.30 |

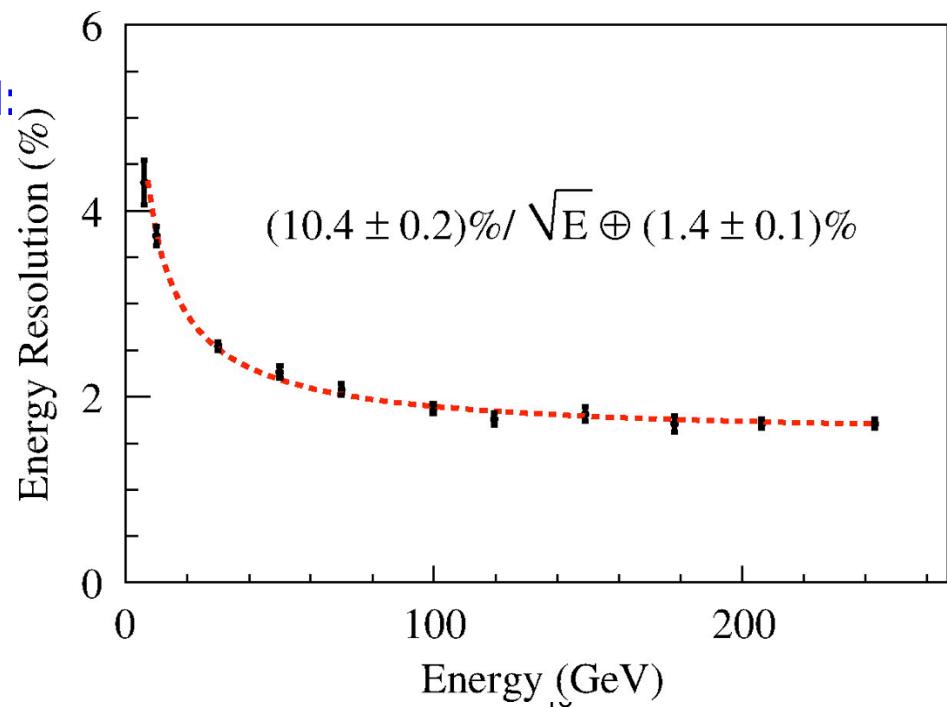
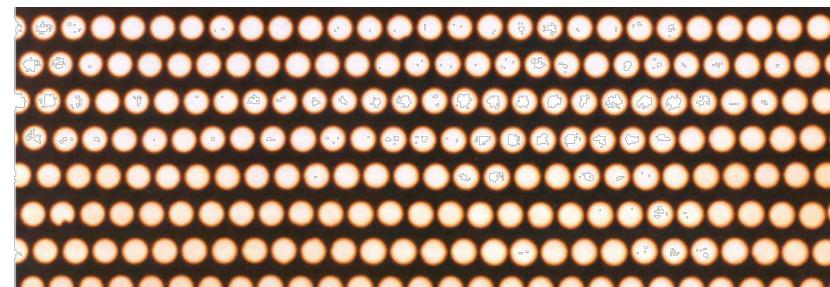
# Calorimeter (ECAL)

A precision, 3-D measurement of the directions and energies of gammas and electrons up to 1 TeV



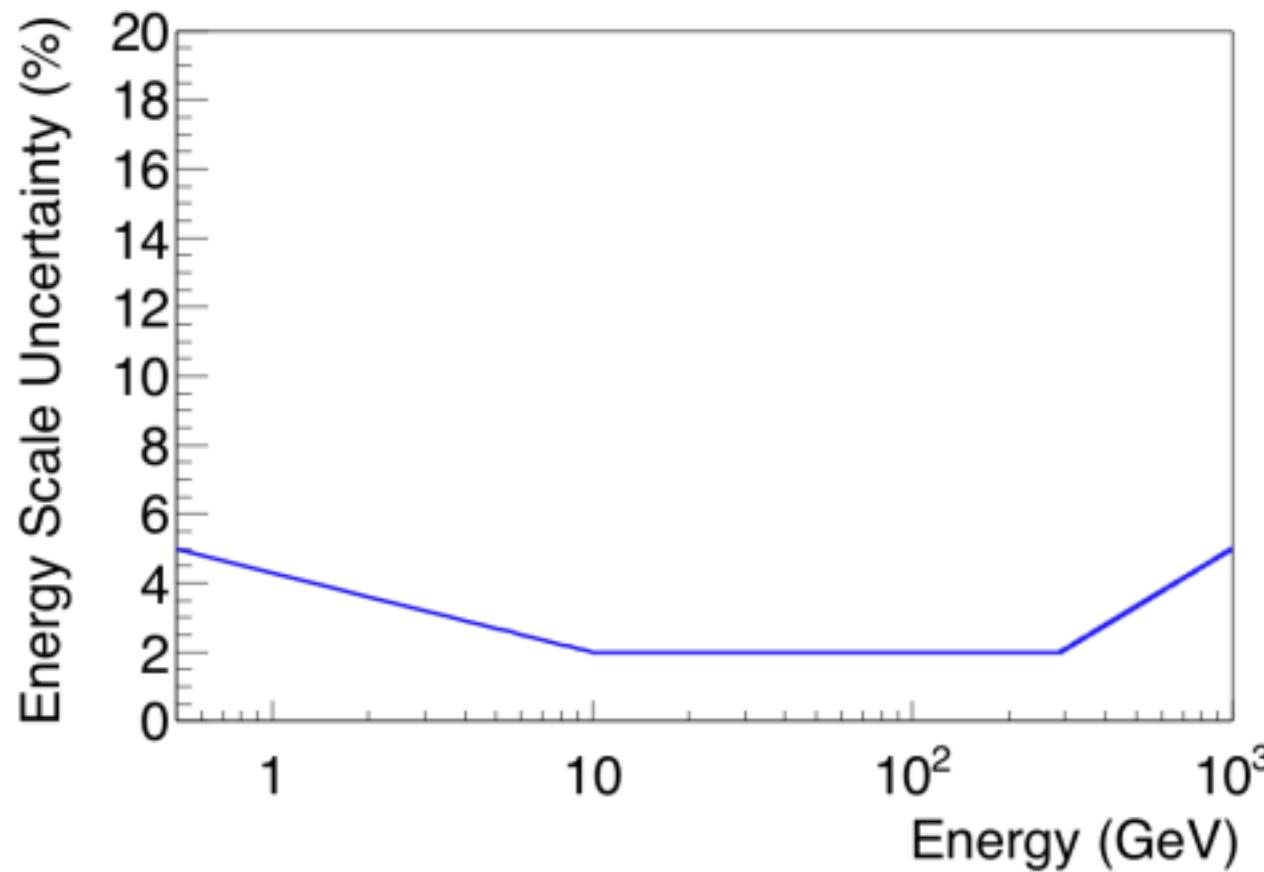
50,000 fibers,  $\phi = 1\text{ mm}$

distributed uniformly Inside 600 kg of lead:

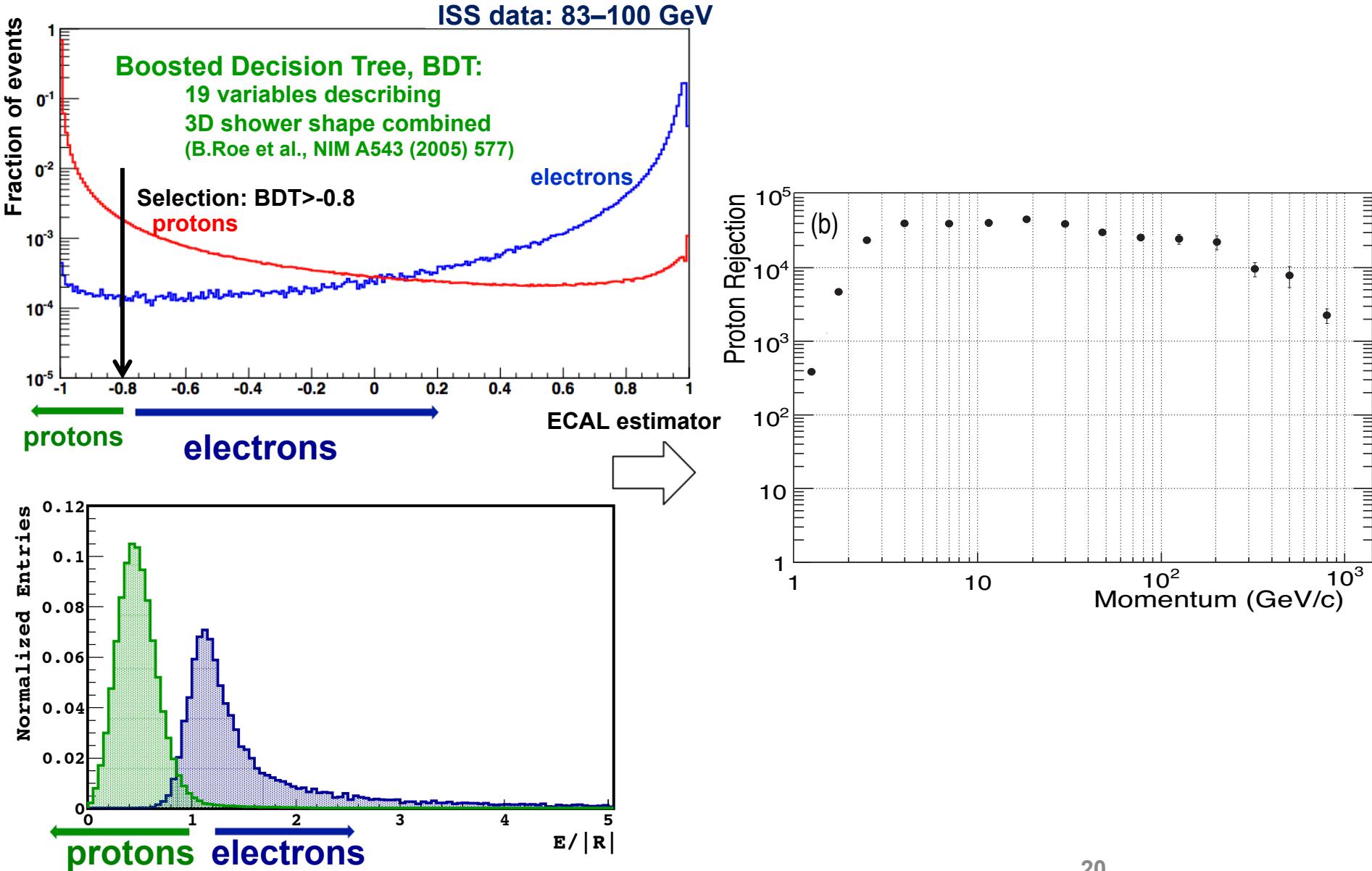


# Absolute Energy Scale for $e^\pm$ (at the top of AMS)

Verified using MIPs and  $E/p$ ; compared to the test beam.  
In the test beam range (10-290 GeV) the uncertainty is 2%.  
It increases to 5% at 0.5 GeV and 1 TeV.



# e/p separation with ECAL+trk



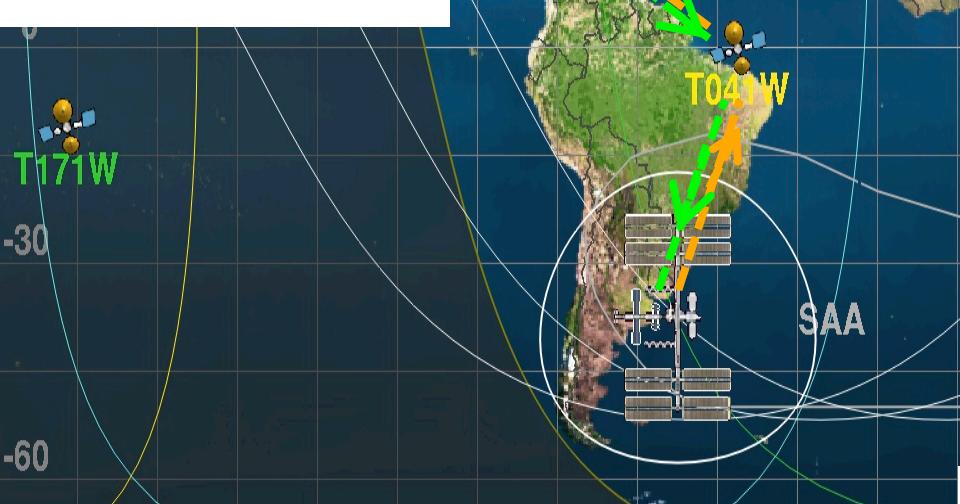
# **AMS-Operation**

# From space to ground..

LAT = -37.6 INC = 51.6  
ALT = 230.0 D/N: 0:45:57  
LON = -52.5 BETA = 67.3

# The Mission Control Center (MCC) @ JSC opera l'ISS,

# NASA APO (T. Martin) rappresenta AMS nel planning at JSC.



SGMT = 215/125

## Per operare AMS, Il POCC interagisce con Marshall

## Il Payloads Operation and Integration Center (POIC), Marshall Space Flight Center, opera gli esperimenti sulla ISS.

ll con Houston ed ISS  
crew.

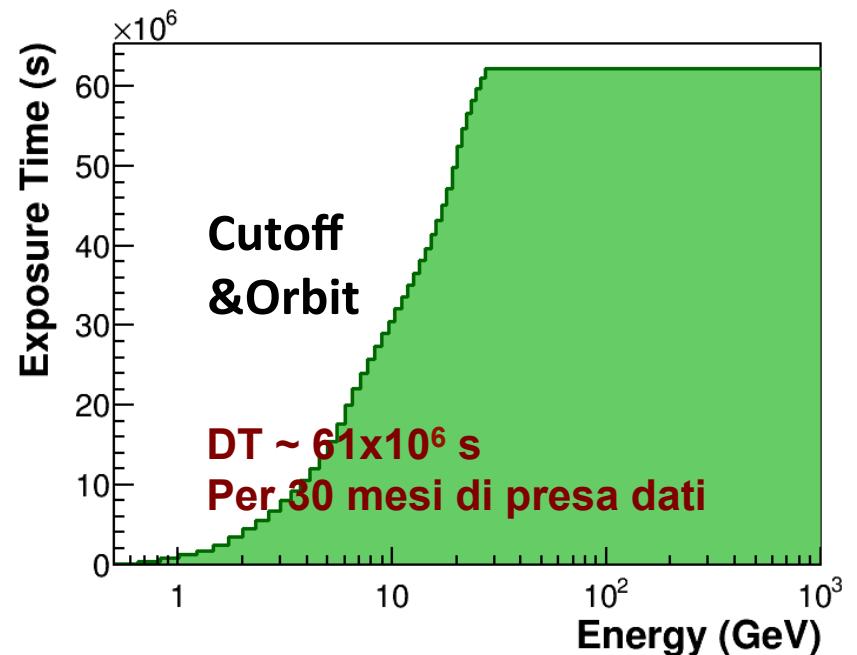
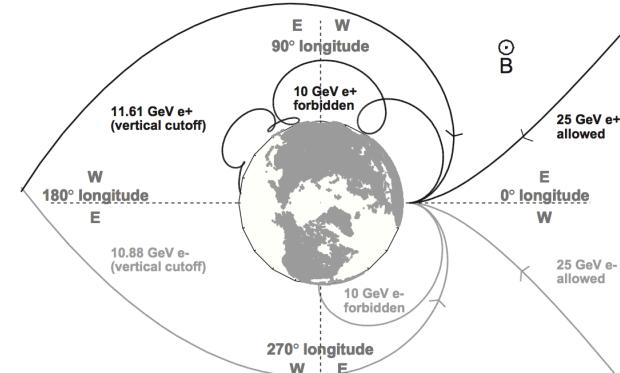
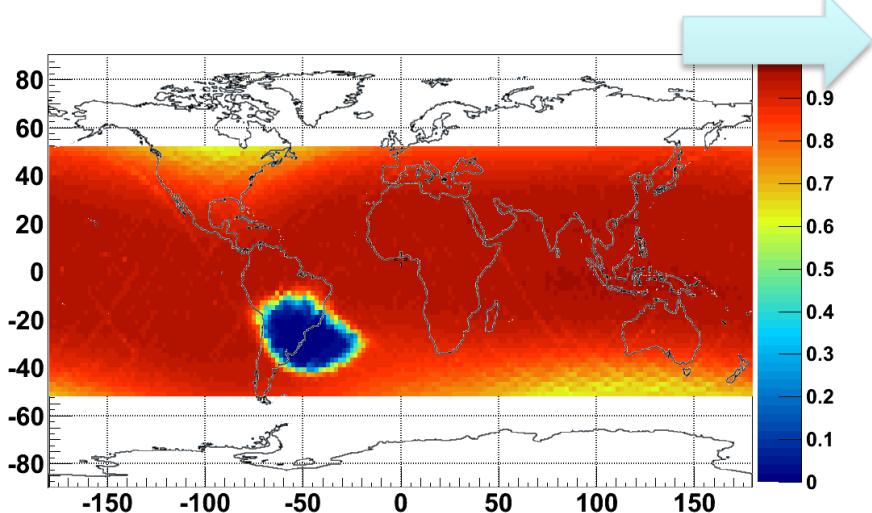
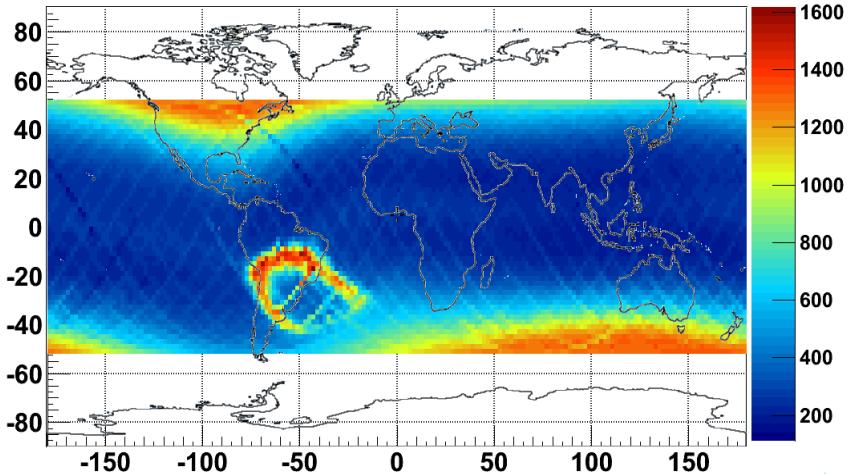
Ku-band High Rate (down)  
AMS Events <10Mbit/s>  
AMS Monitoring: 30 Kbit/s  
Duty Cycle: 50-85%

S-band Low Rate  
AMS Commanding: 1 Kbit/s (up)  
*AMS No Ku: 10 bits/s (down)*  
Duty Cycle: 75-95%

### Comm Predictions:

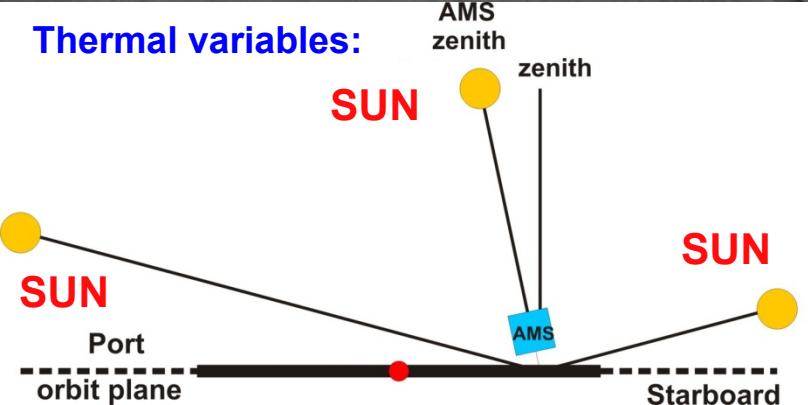
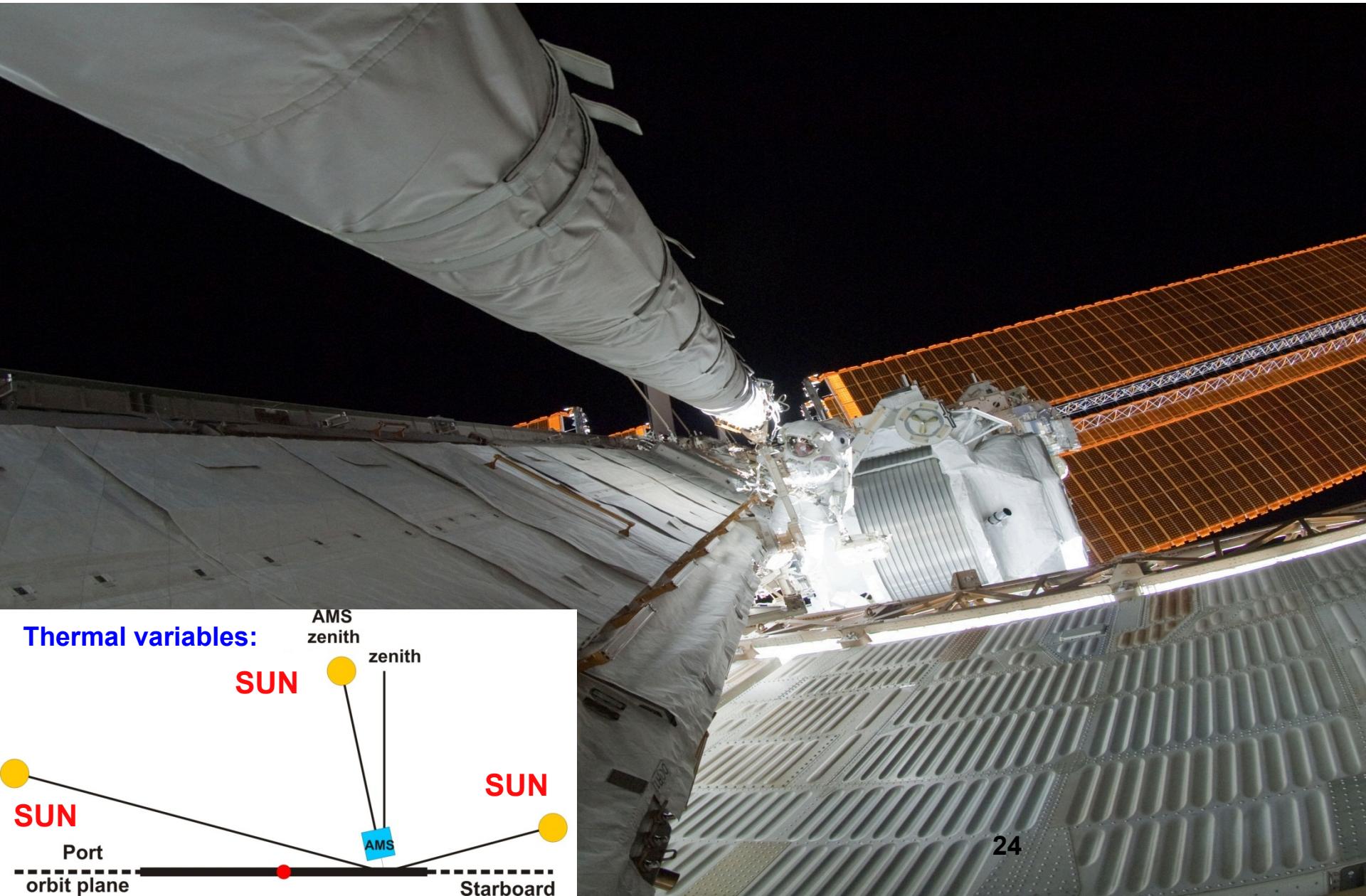
# Orbital DAQ parameters

$\langle \text{Acquisition rate} \rangle \approx 600 \text{ Hz}$



Average life time fraction  $T_{\text{exp}}/3 \text{ years} = 80.6 \%$

# The Thermal environment



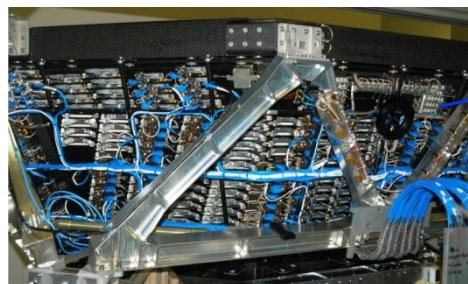
# AMS Flight Electronics for Thermal Control

TRD

24 Heaters

8 Pressure Sensors

482 Temperature Sensors

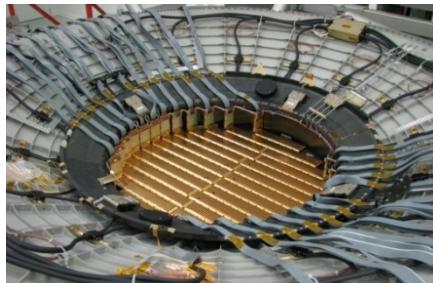


Silicon Tracker

4 Pressure Sensors

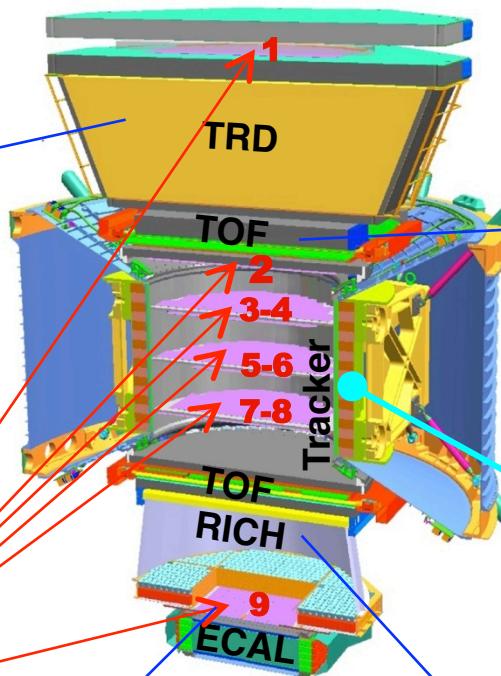
32 Heaters

142 Temperature Sensors



ECAL

80 Temperature Sensors



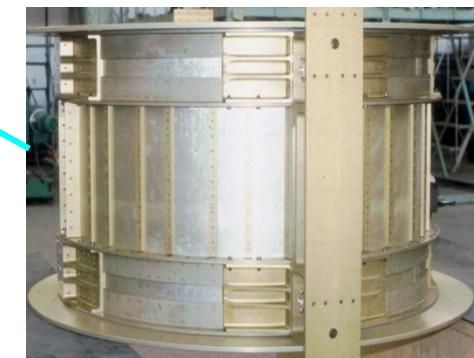
TOF & ACC

64 Temperature Sensors



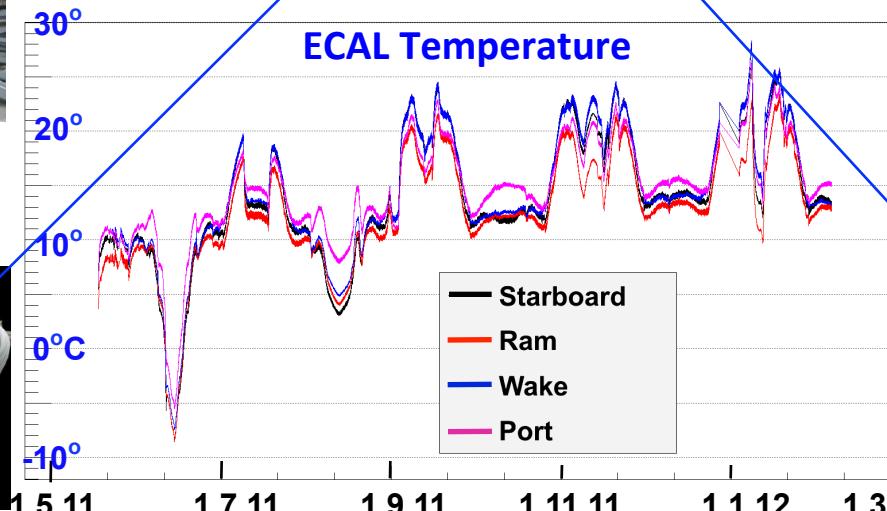
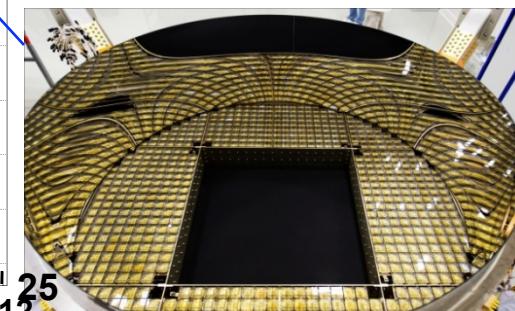
Magnet

68 Temperature Sensors

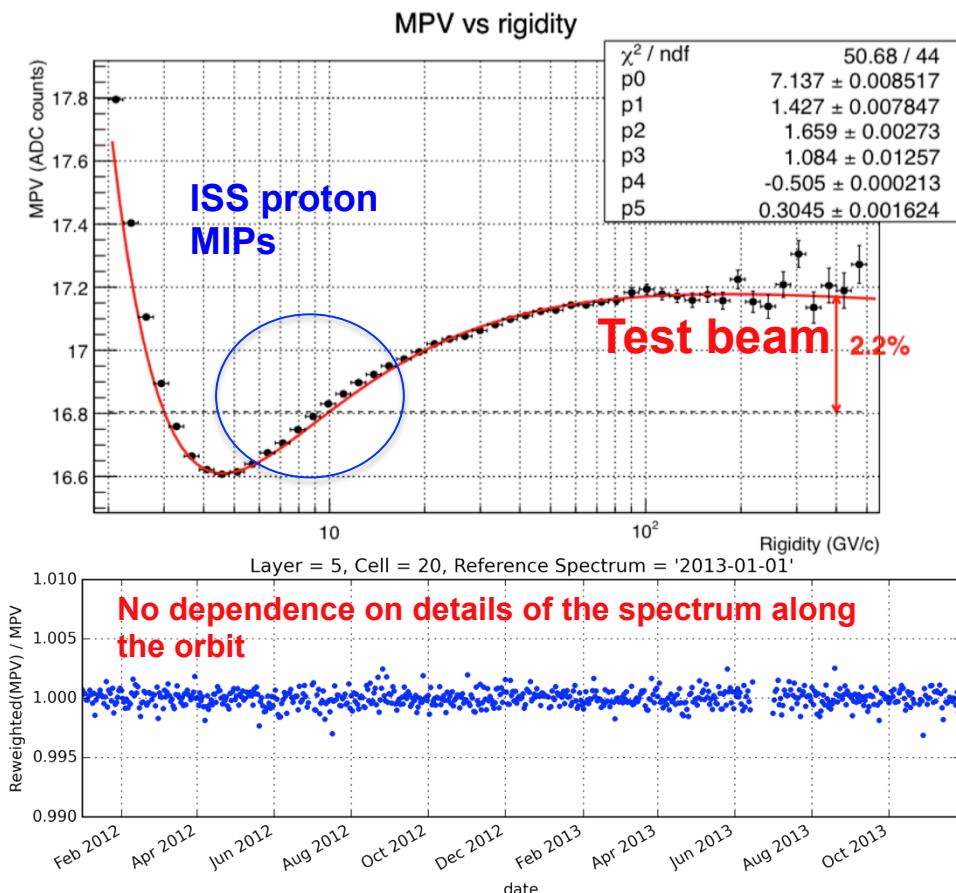
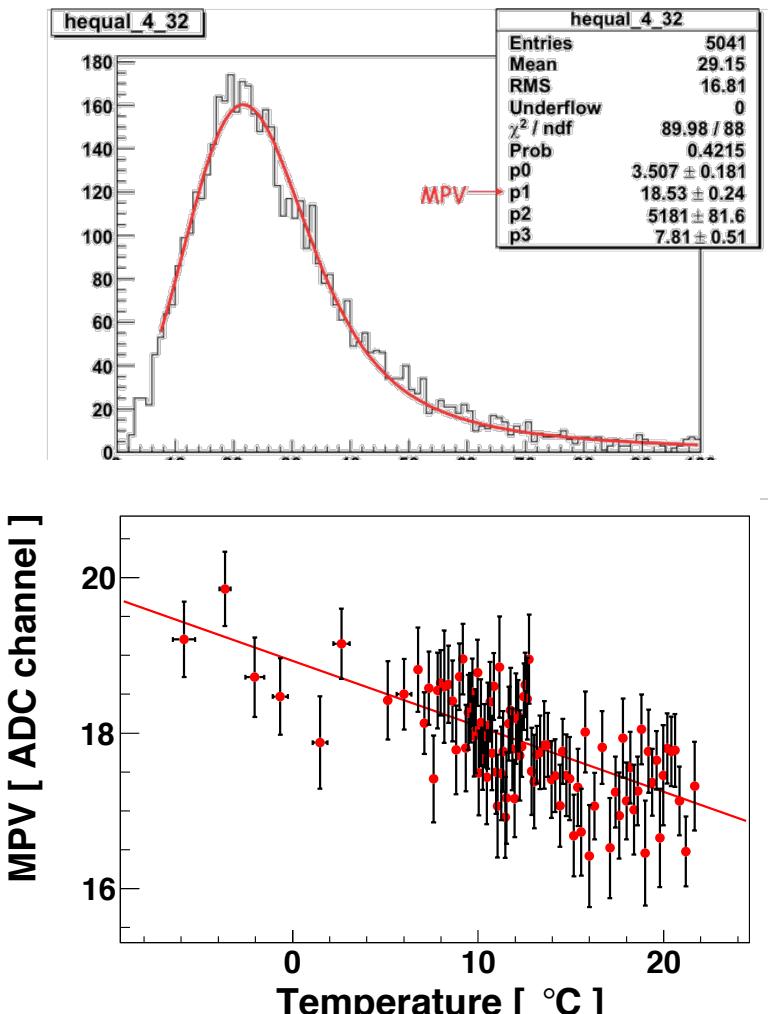


RICH

96 Temperature Sensors

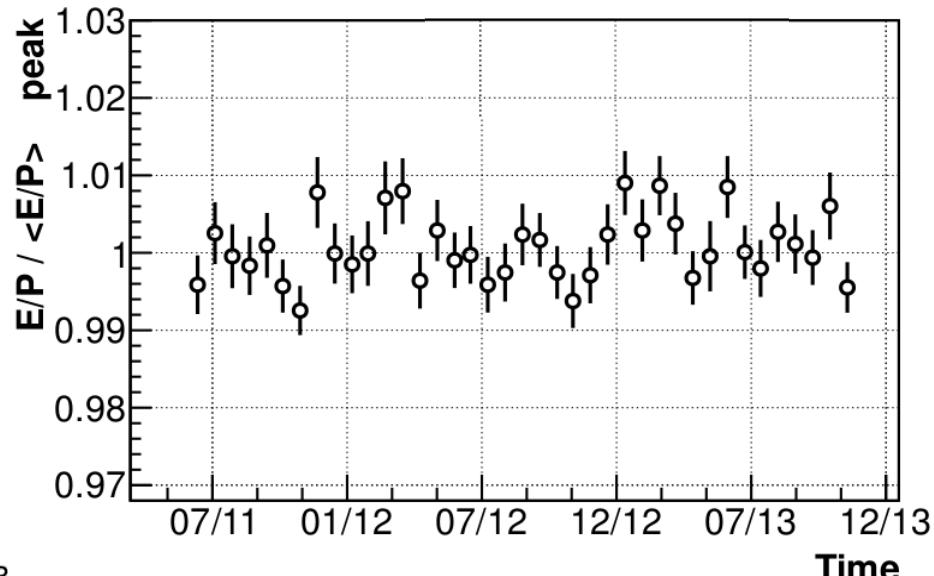
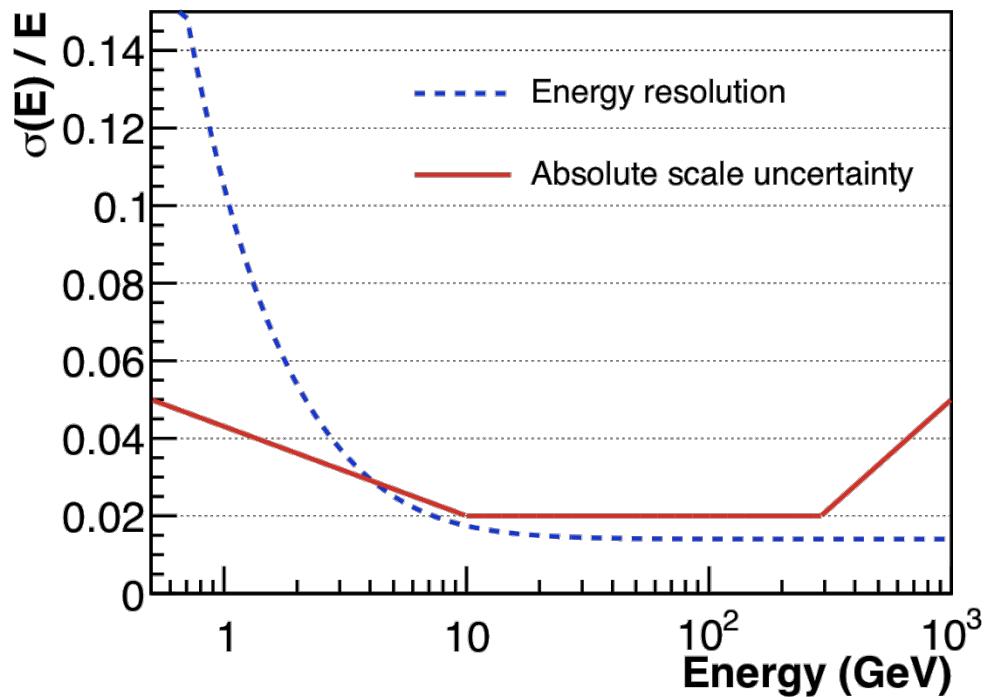


# Response depending on time/temperature : Equalization of the electronic gains → per cell → Daily with protons



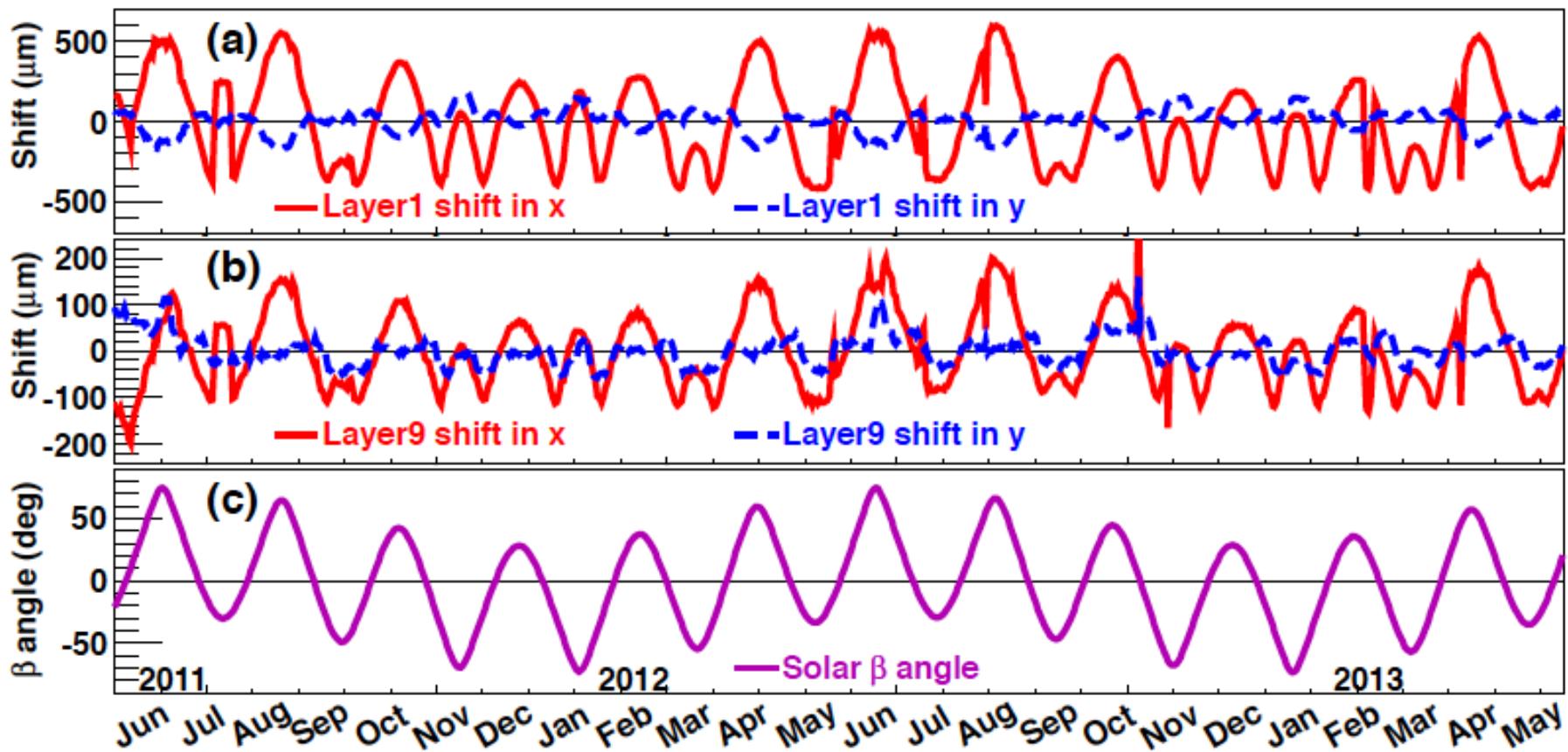
- Normally, equalization in flight is performed with proton MIPs
- In 24h (= 16 orbits = 64 runs) ~2000 MIPs/day/cell
- Use He MIPs for problematic cells (3 days..)

# Time independent behaviour after calibration:

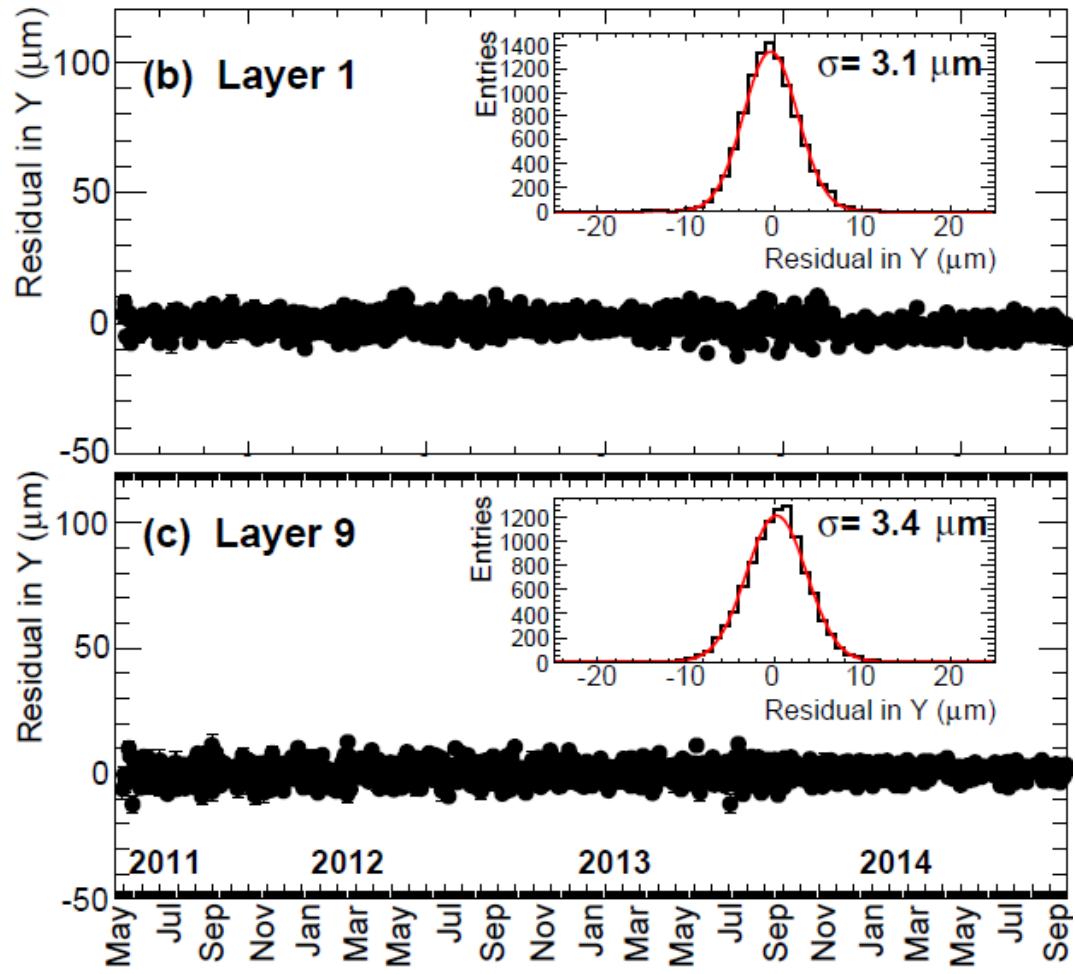
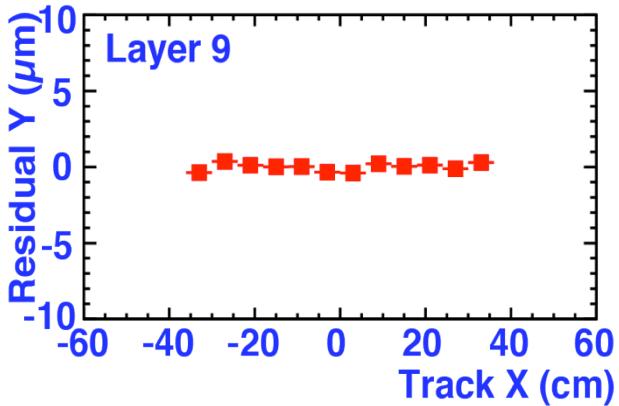
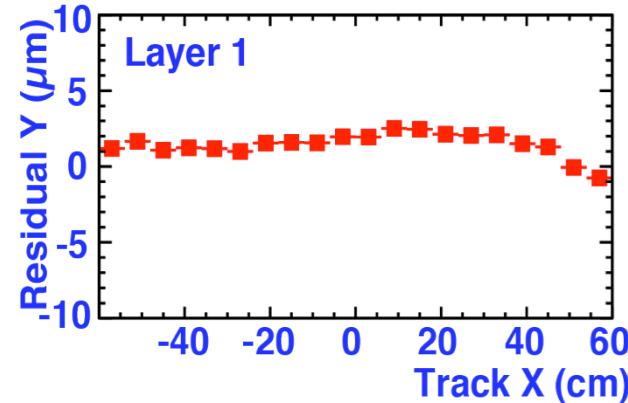


Energy scale & resolution used in e+/e- analyses  
No time dependence within calibration accuracy  
→ Still improving ...

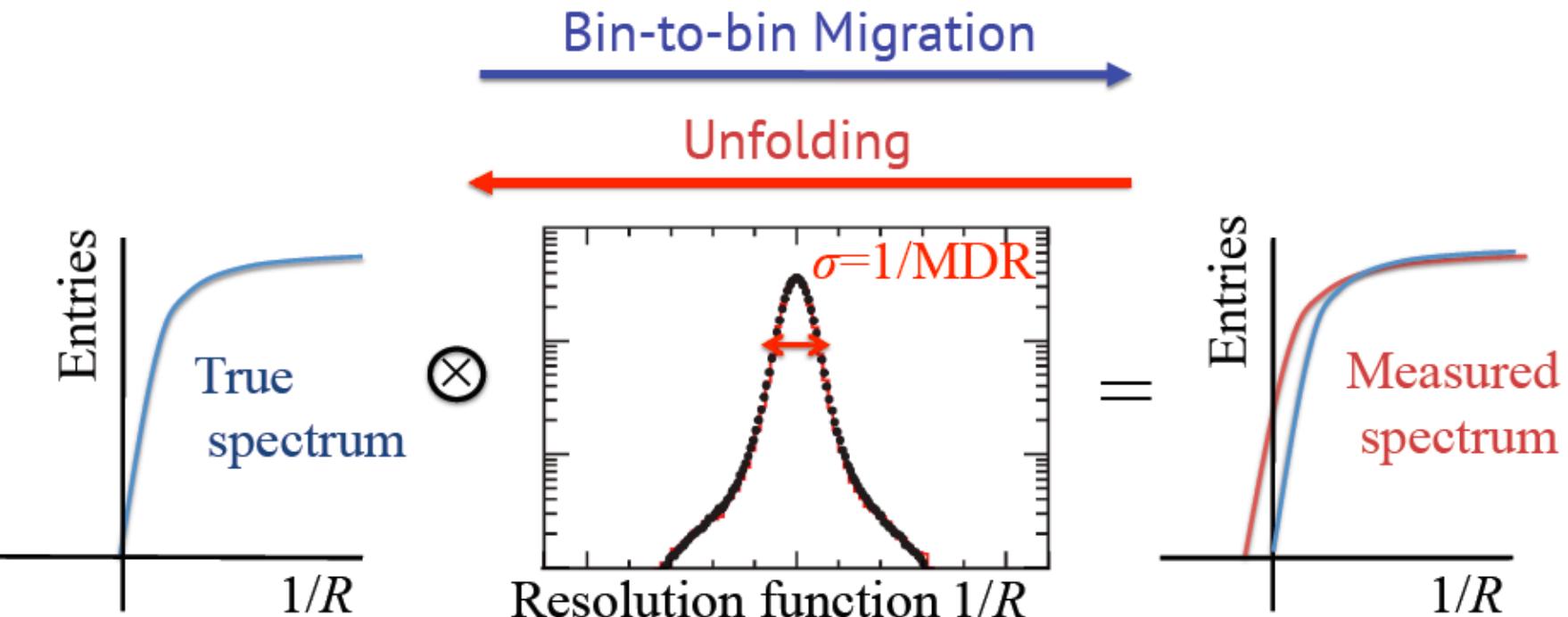
# Seasonal effects on Tracker



# Post-alignment accuracy



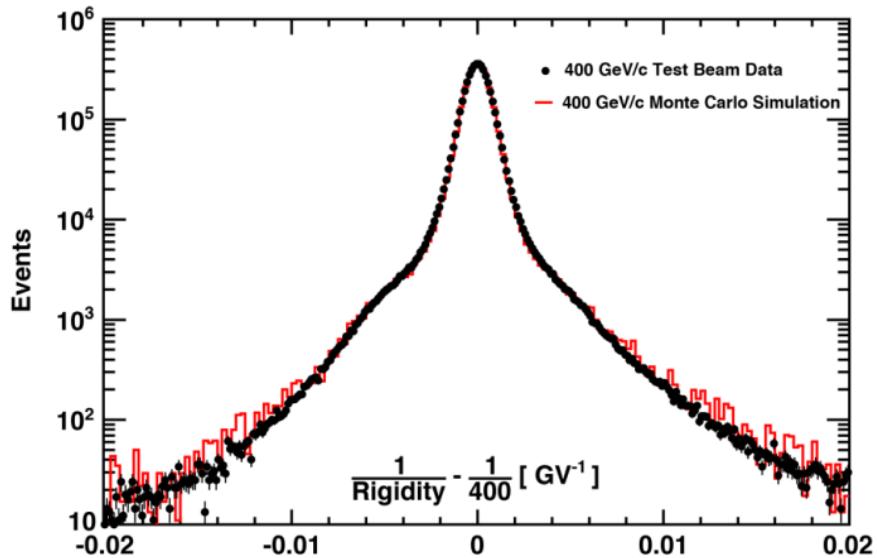
# Can we trust the alignment ? A key point for p, He, nuclear spectra...



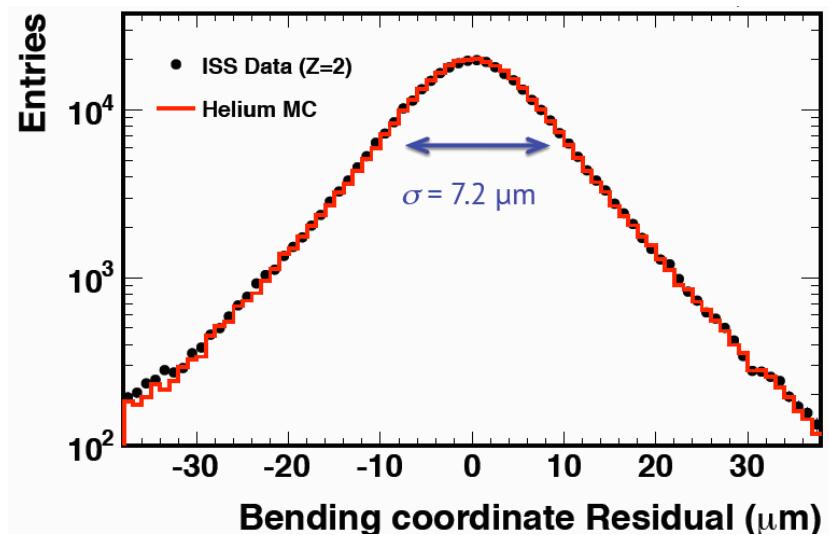
- **Tracker resolution:** verified from residual distributions (ISS-Data/TB for protons, ISS-Data/MC for p and nuclei)
- **Rigidity scale:** verified from E/R in  $e^+e^-$  samples
- *Consistency of flux results for different regions in the tracker*
- *Consistency of flux results for different lever arms in the tracker*

# Different kind of crosschecks...

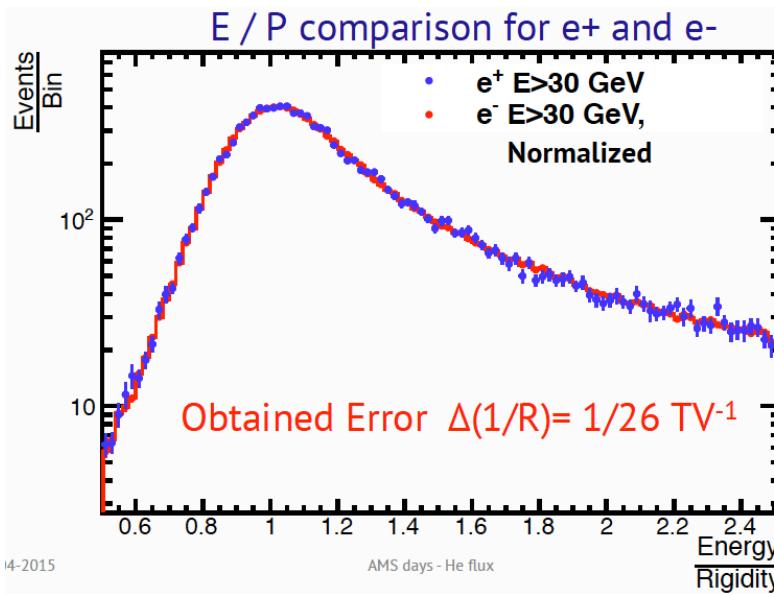
P - Data-beam test



He – ISS Data/MC

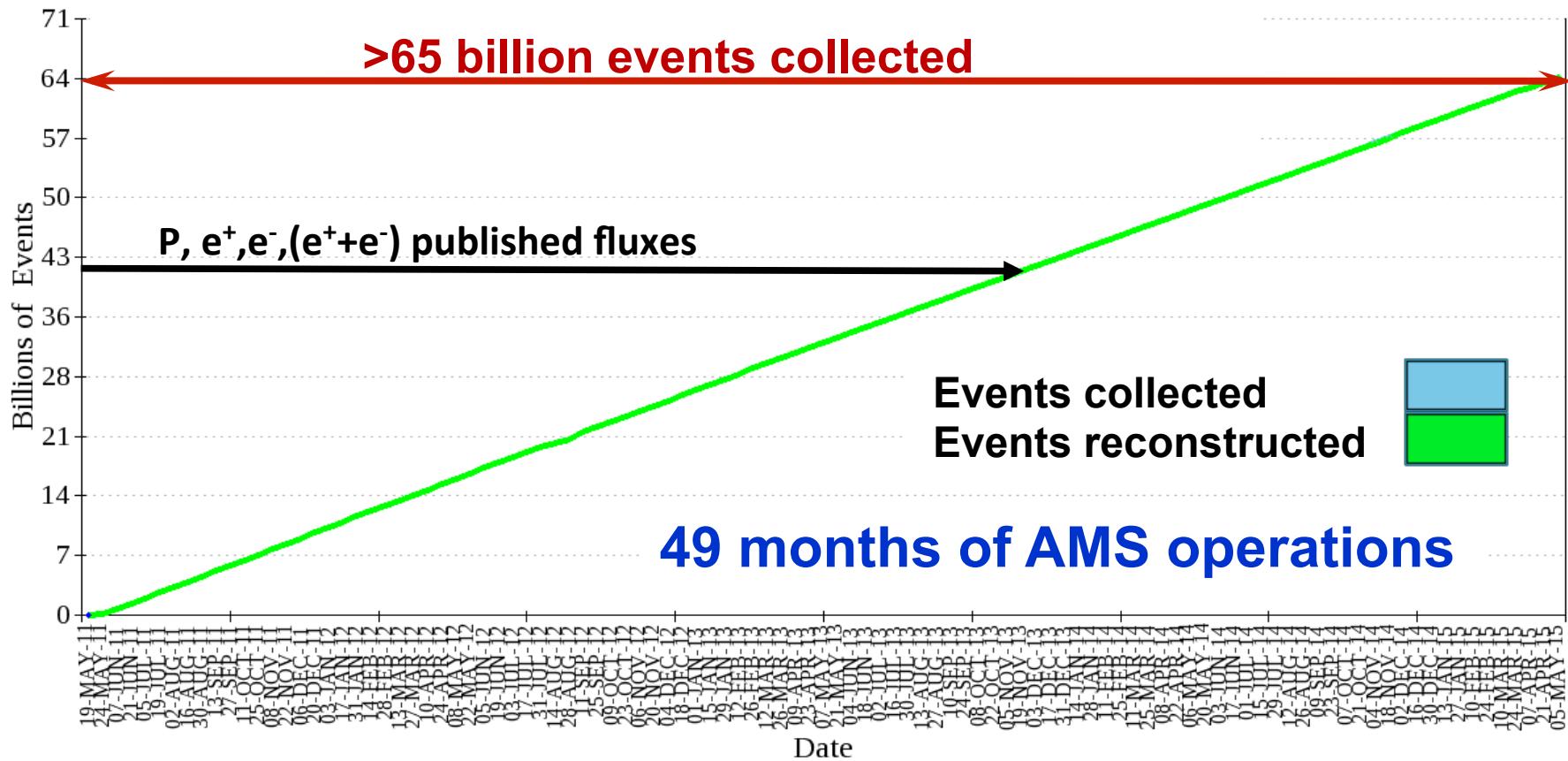


E / P comparison for e+ and e-



# Data analysis & Results

# Statistics



# Results

## “Rare” channels:

- ✓ **Positron fraction  $e^+/(e^++e^-)$  (0.5 GeV- 500 GeV)**  
*PRL, 110, 141102 (2013), PRL 113, 121101 (2014)*
- ✓  **$e^+$  (0.5-500 GeV) ,  $e^-$  flux (0.5 – 700 GeV)**  
*PRL 113, 121102 (2014)*
- ✓  **$e^++e^-$  flux (0.5-1 TeV)**  
*PRL 113, 221102 , (2015)*
- ✓ **pbar/p (450 GV) [ status report]**

**40 months of data:  $\approx 290k$  pbar**

**30 months of data  
 $\approx 10^6 e^- / 5 \cdot 10^5 e^+$**

## Nuclear components:

- ✓ **Proton flux (1 GV – 1.8 TV)**  
*PRL, 114, 171103 , (2015)*
- ✓ **Helium flux (2GV -3TV, He/P ratio)** [submission to PRL in the next weeks]
- ✓ **B,C,Li,O,...ongoing analyses**

**30 months of data  
 $\approx 30 \cdot 10^7 p / 5 \cdot 10^7 He$**

**40 months of data  
 $\approx 2 \cdot 10^6 B / 7 \cdot 10^6 C / 5 \cdot 10^5 Li ..$**

## CR fluxes time dependence (solar modulation effects):

- ✓ **Proton**
- ✓  **$e^+/e^-/e^+/(e^++e^-)$**

**...ongoing analyses**

# **Electron/Positron measurements**

***(simplified analysis flow..)***

**Step 1:**

**clean Z=1 event selection traversing all AMS**

**Step 2 :**

**efficient  $e^\pm$  selection from the p background**

**Step 3 :**

**$e^+/e^-$  separation (charge confusion effects)**

**Step 4 :**

**Normalization: acceptance and energy resolution effects**

**A minimum of two independent analyses (yes data and detector are the same !) carried in parallel as internal crosscheck before data release.**

# Step 1: clean Z=1 event selection traversing all AMS

## DAQ:

- efficient data periods (no SAA, TRD gas refills, AMS z-axis more than  $40^\circ$  w.r.t. local zenith)

## Geomagnetic effects:

$E > 1.20$  max geomagnetic cutoff

## TRD:

- Minimum 8 hits used for e/p identification
- $|Z| = 1$

## TOF:

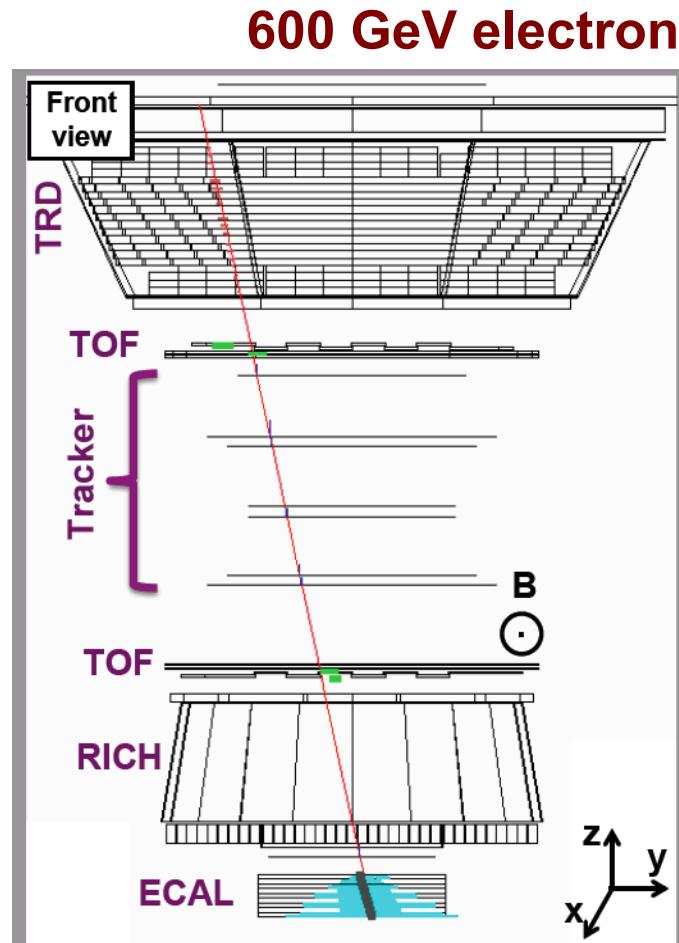
- relativistic down-going particle ( $\beta > 0.83$ )

## TRACKER:

- $|Z| = 1$
- track/ECAL matching to define fiducial volume

## ECAL:

- Shower axis within the fiducial volume
- Not MIP in the first  $5X_0$
- Electromagnetic shape of the shower (ECAL estimator)

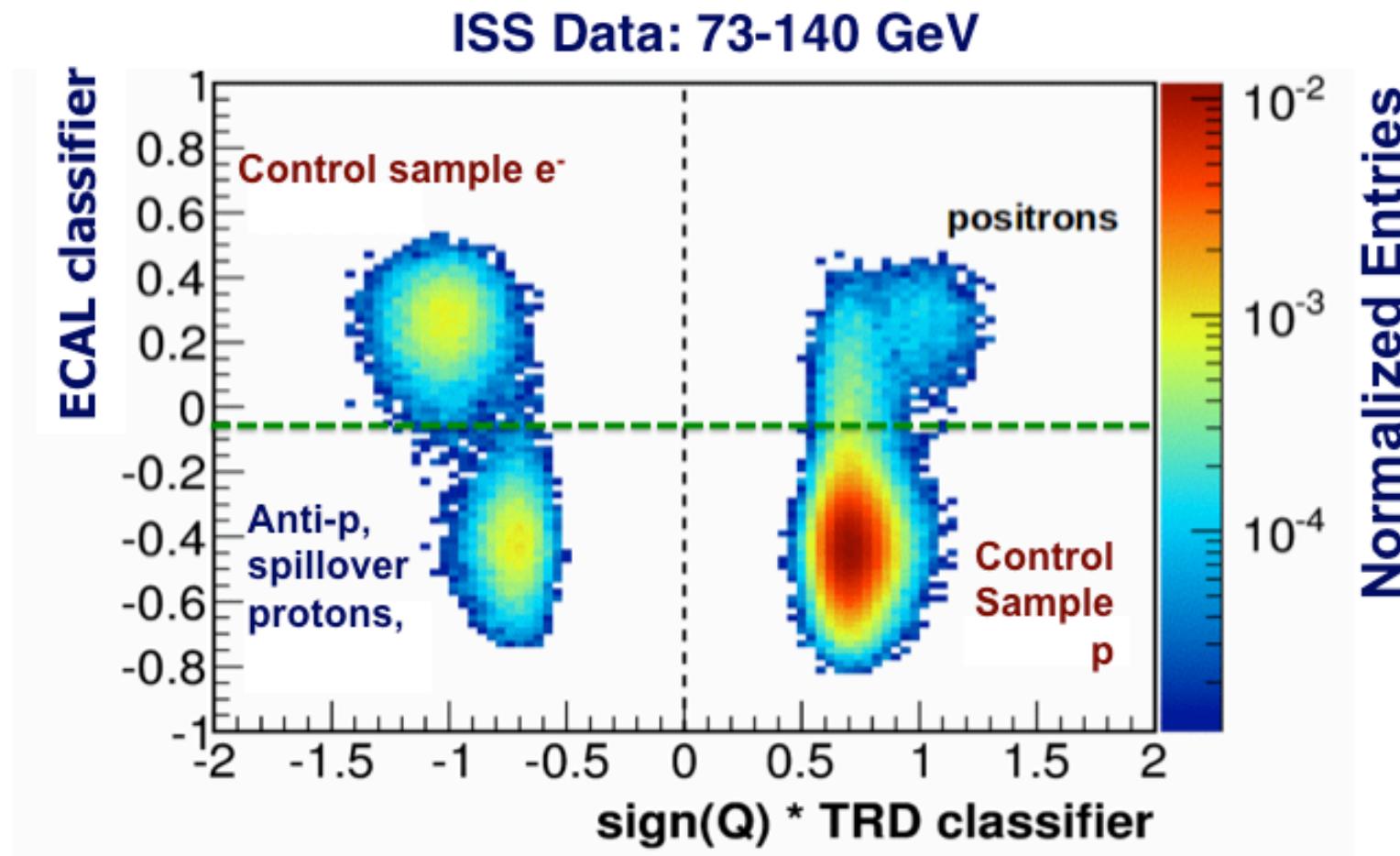


## Step 2: efficient $e^\pm$ selection from p background

- a) Define a clean sample of electrons/protons based on Tracker/ECAL detectors in order to study the TRD signals for electrons/protons
- b) Define a clean sample of electron/protons based on TRD/Tracker detectors in order to study the ECAL signals for electrons/protons
- c) Efficiently select a sample of ISS data enriched in  $(e^++e^-)$  signal based on ECAL
- d) Measure the number of  $e^+/e^-$  by a fit of the TRD classifier distribution of the selected sample to the reference distributions in TRD for signal and background

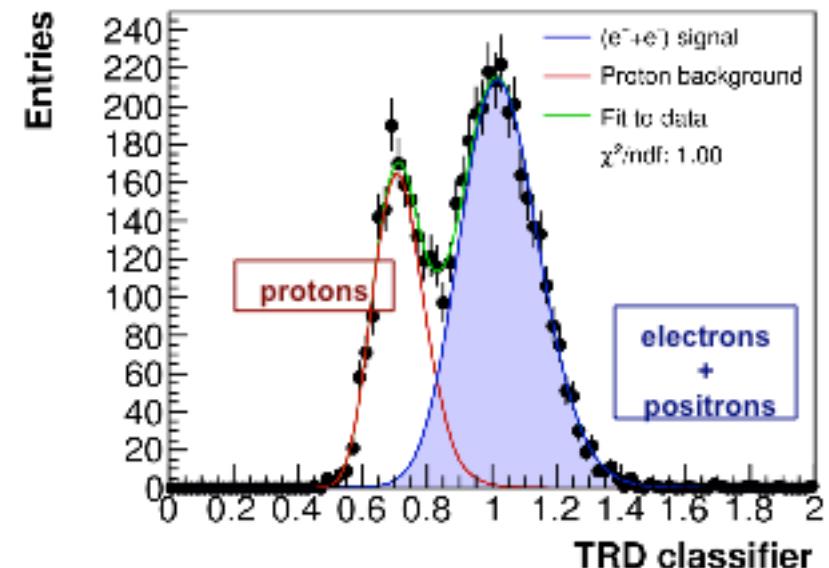
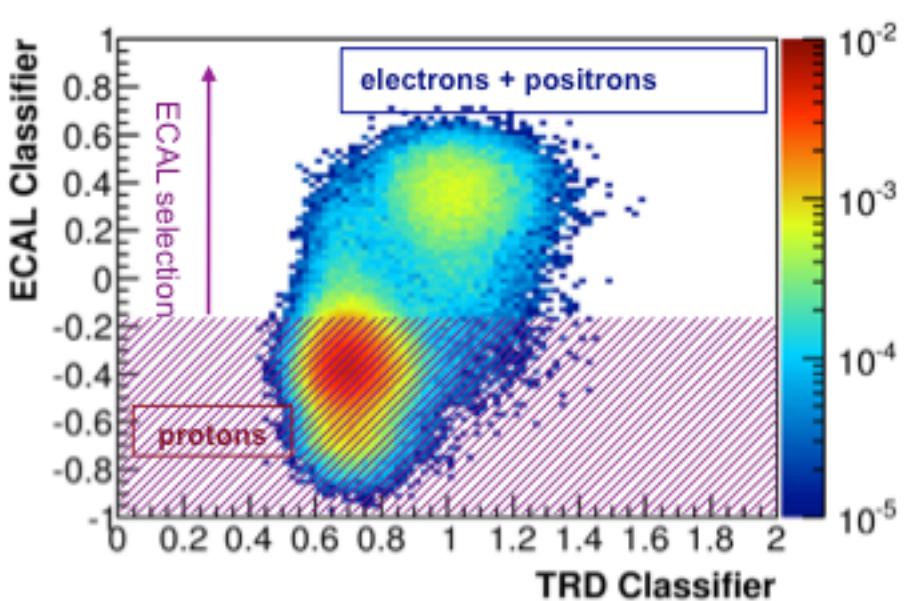
*Following examples from  $e^++ e^-$  measurement, but similar logic in other measurements: each analysis has its own optimization.*

## Step 2: e/p separation : ( $e^+ + e^-$ ) flux measurement



# Step 2: e/p separation : ( $e^+ + e^-$ ) flux measurement

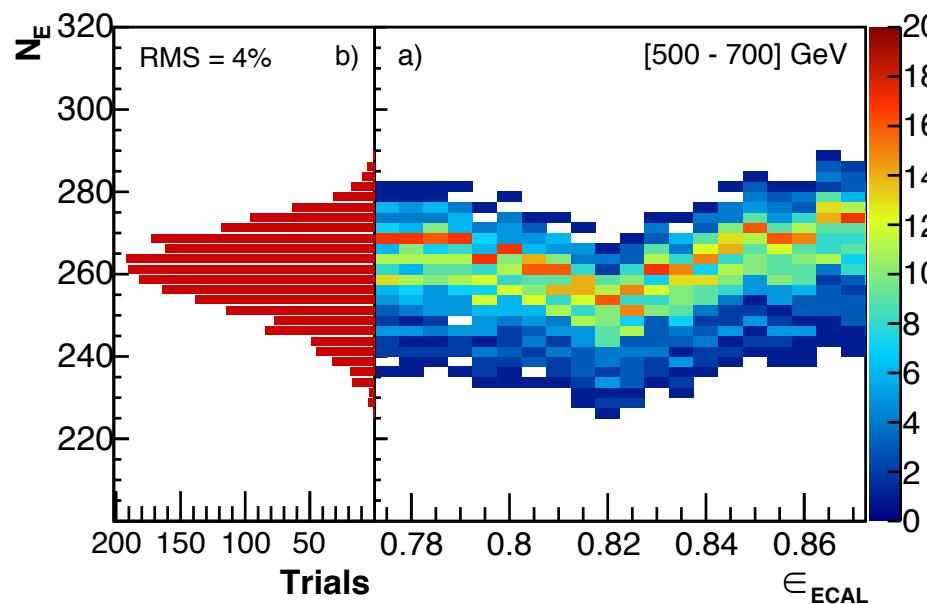
Reference spectra for the signal and the background are fitted to data as a function of the TRD classifier for different cuts on the ECAL BDT estimator



Measurement is performed for the cut on the ECAL classifier that minimizes the overall statistical + systematic uncertainty ( $\rightarrow \epsilon_{\text{BDT}}$ )

# Step 2: e/p separation : ( $e^+ + e^-$ ) flux measurement

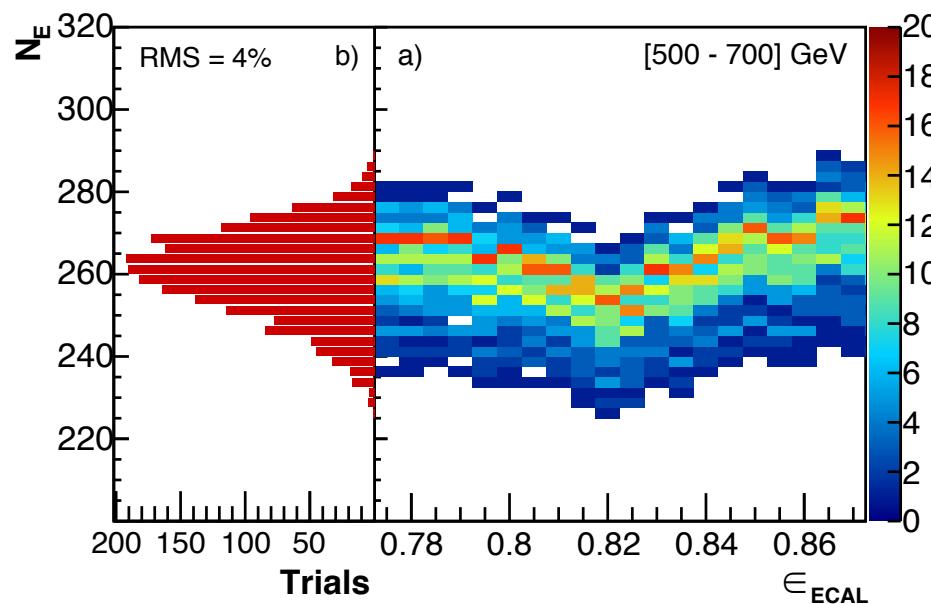
- Dominating systematic uncertainties on  $N_{e^+ + e^-}$  {
- Knowledge of the TRD reference distributions
  - Stability of the fit result for different background levels, e.g. ECAL classifier cuts



The analysis was repeated 2000 times in each energy bin varying the ECAL classifier cut and different values of selection cuts used to construct the templates and the stability of the results verified within a 5% window in ECAL classifier cut efficiency

## Step 2: e/p separation : ( $e^+ + e^-$ ) flux measurement

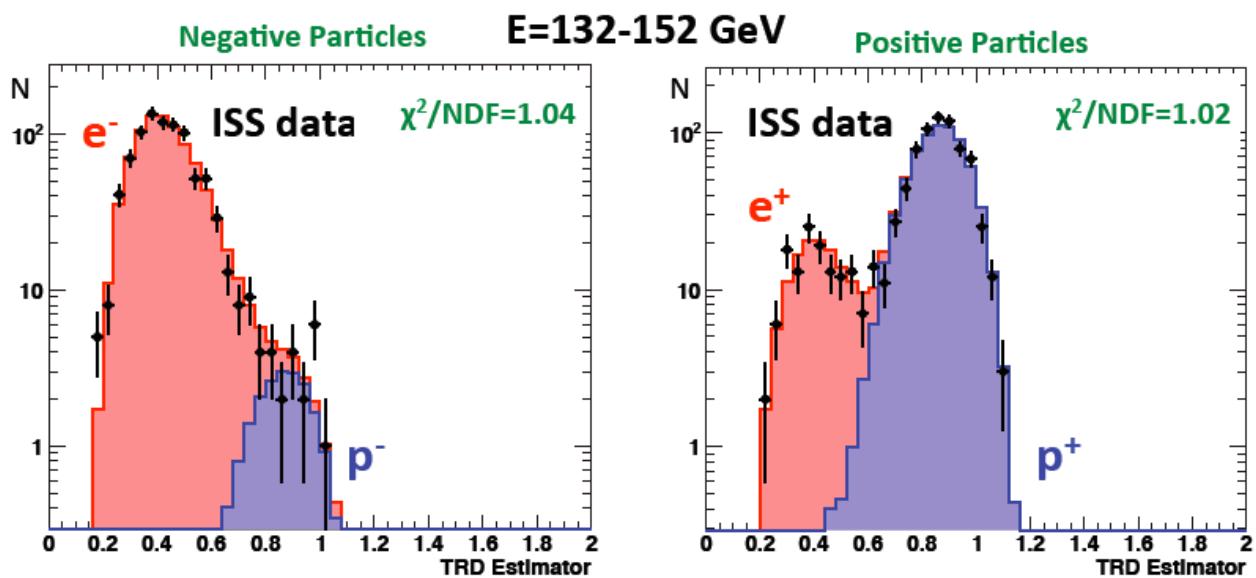
The RMS of the  $N_e$  has been used as systematics uncertainty, the effect of purely statistical contributions were taken into account and subtracted estimated from a dedicated simulation.



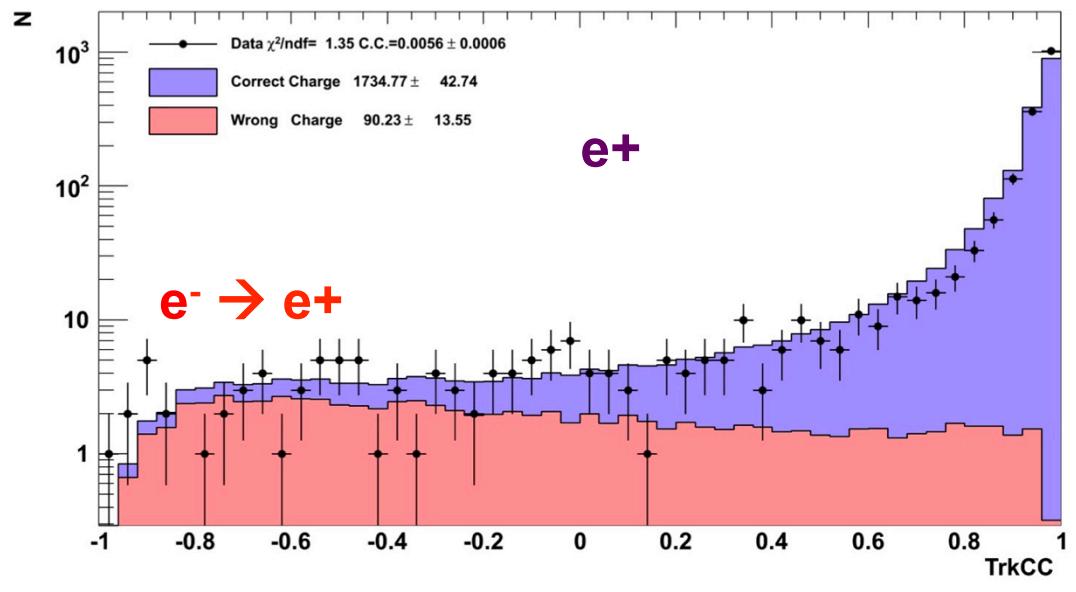
Negligible contribution to the measurement error below  $\approx 200$  GeV  
Dominant source of systematic error at higher energies ( $> 500$  GeV)

# e+/e- fluxes

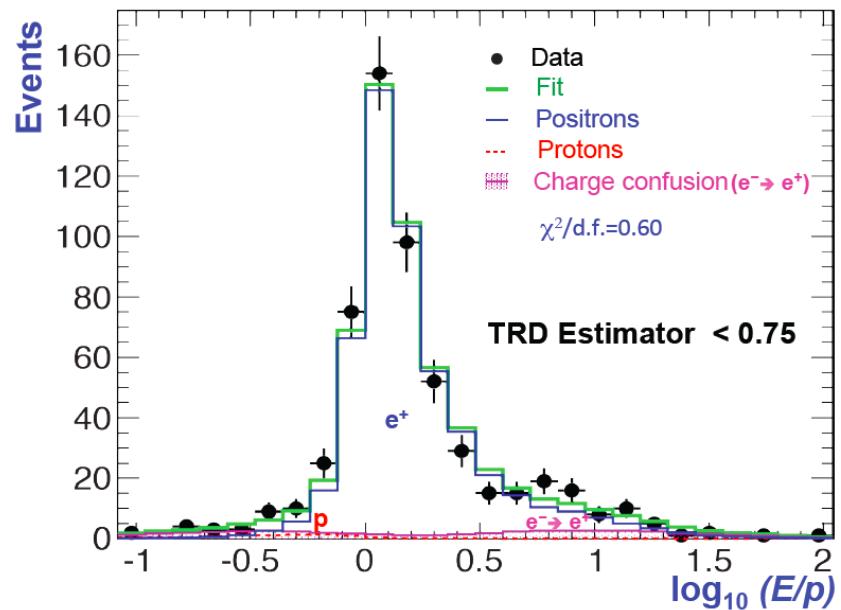
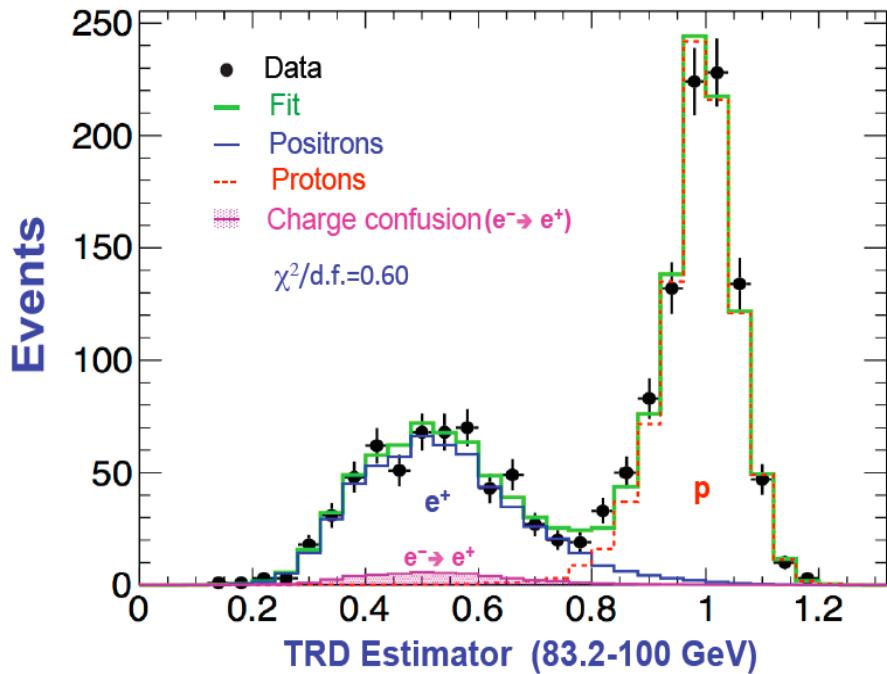
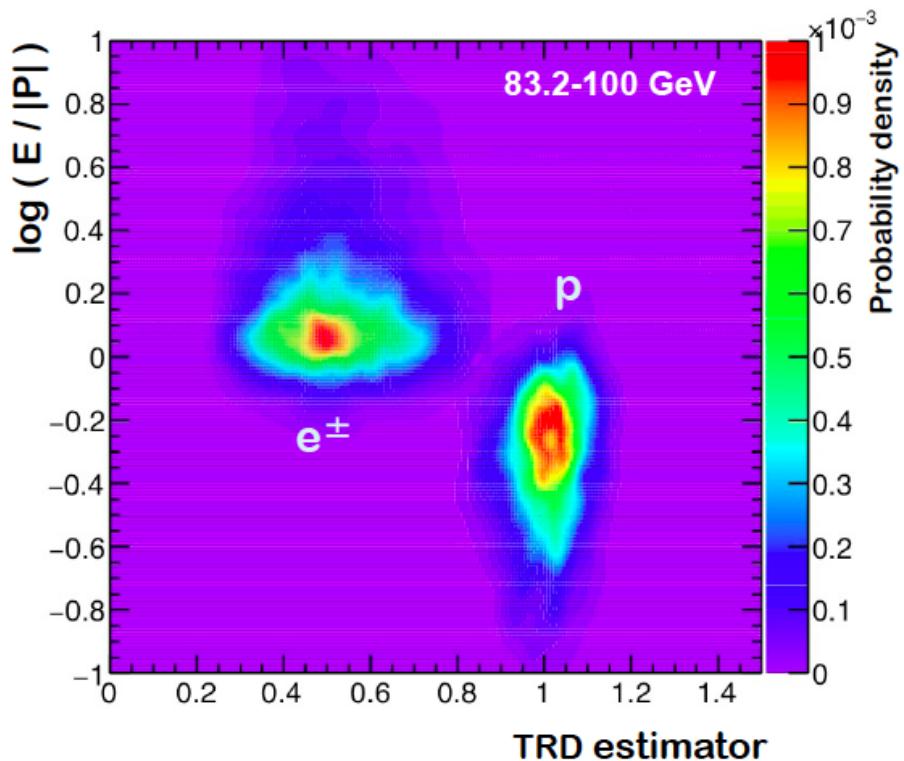
Step 2: separate  $e^\pm$  with a fit to reference e/p distribution in TRD Estimator



Step 3: Define a TrkCC estimator by means of a BDT based on track quality parameters & activity in the detector: extract charge confused events from fit of ISS data to reference distribution for good and cc events



# Positron fraction



**Number of Charge confused events evaluated taking reference distribution of interacting events in  $\log(E/P) - \text{TRD}$  plane and leaving background events to fluctuate within their statistical uncertainty.**

## Step 4: normalization and acceptance effects

**Flux**  $\Phi(E, E + \Delta E) = \frac{\mathbf{N}(E, E + \Delta E)}{\mathbf{A}(E)\epsilon_{trig}\mathbf{T}(E)\Delta E}$

**e<sup>-</sup>/e<sup>+</sup>/(e<sup>+</sup>+e<sup>-</sup>) fluxes:**

trigger efficiency measured from data

Acceptance:  $A_{eff}(E) = A_{geom} \times \epsilon_{sel} \times (1 + \delta)$

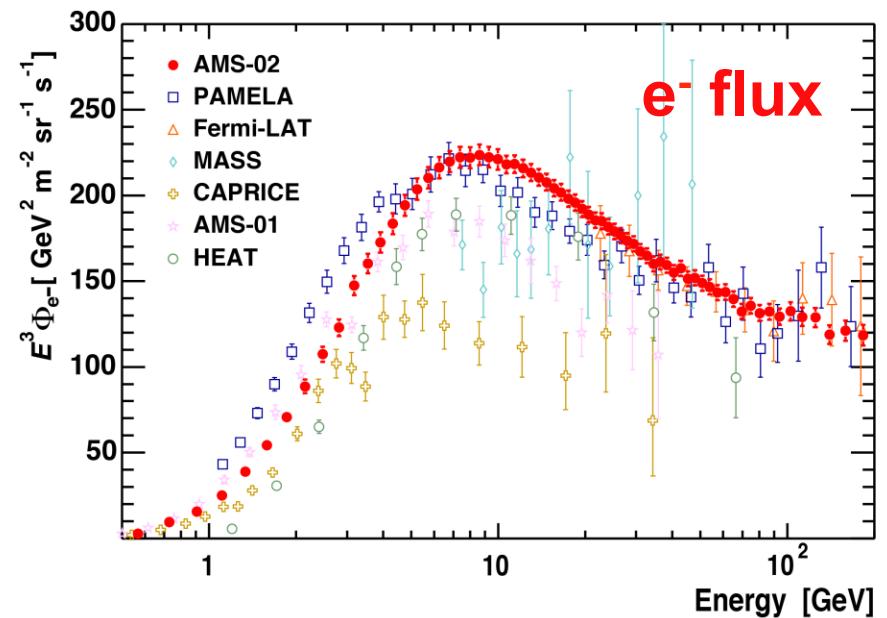
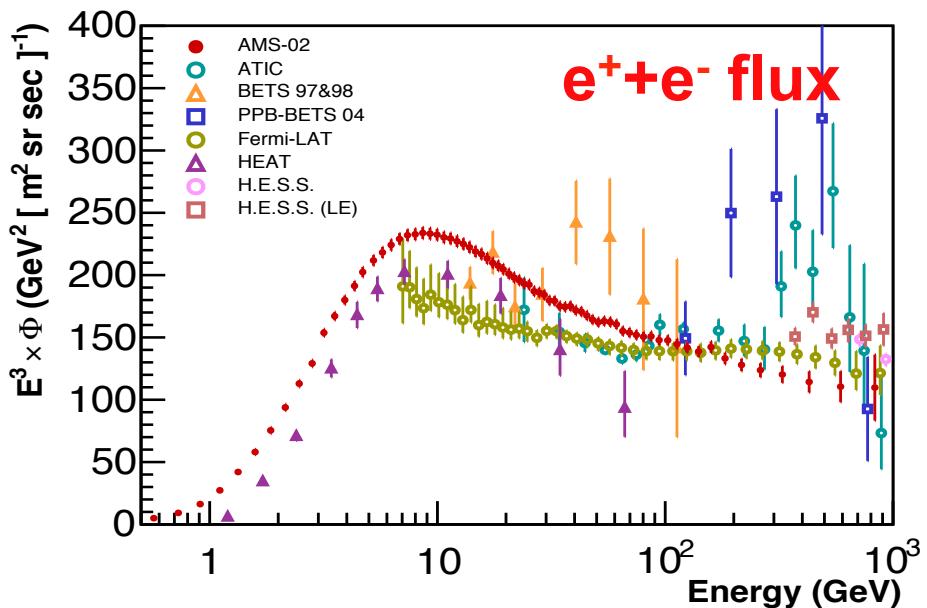
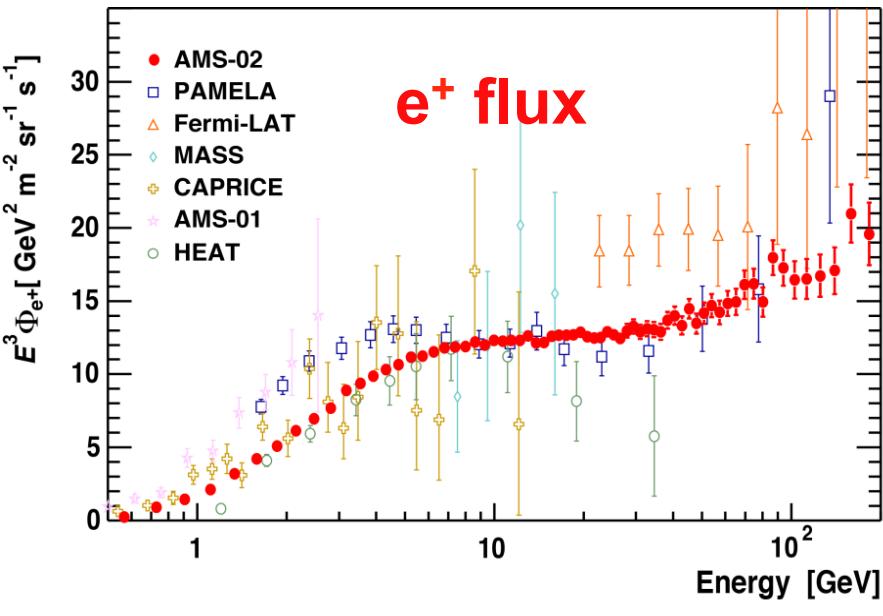
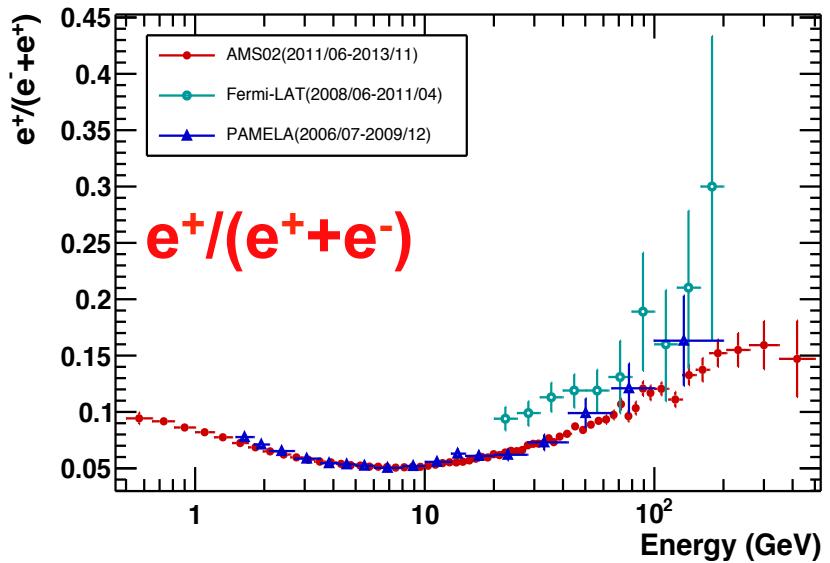
- $A_{geom}$ : geometrical acceptance from MC
- $\epsilon_{sel}$ : selection efficiency from MC
- $(1 + \delta)$ : correction coming from ISS/MC selection efficiency comparison .

**Positron Fraction** 
$$\frac{\phi(e^+)}{\phi(e^-) + \phi(e^-)} = \frac{\mathbf{N}_{e^+}}{\mathbf{N}_{e^+} + \mathbf{N}_{e^-} \frac{\mathbf{A}_{e^-}}{\mathbf{A}_{e^+}}}$$

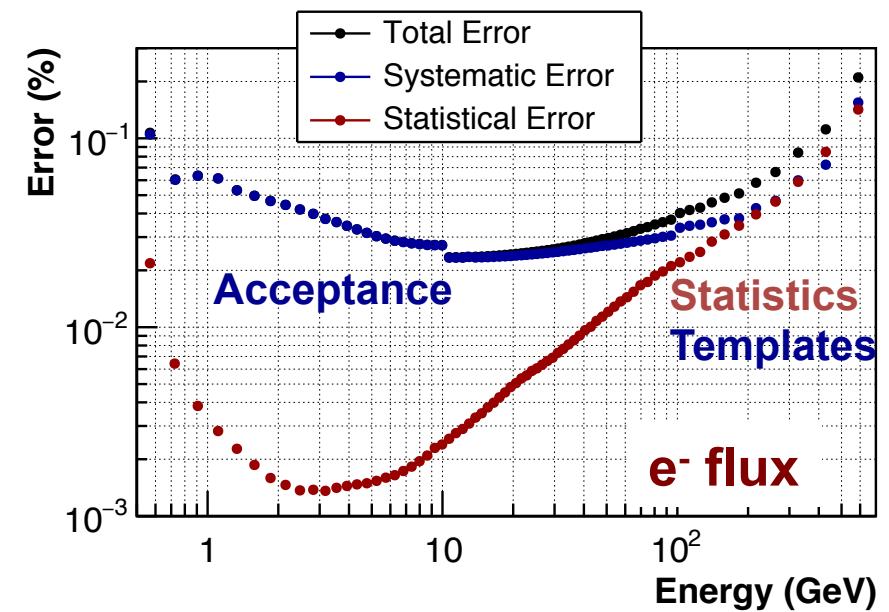
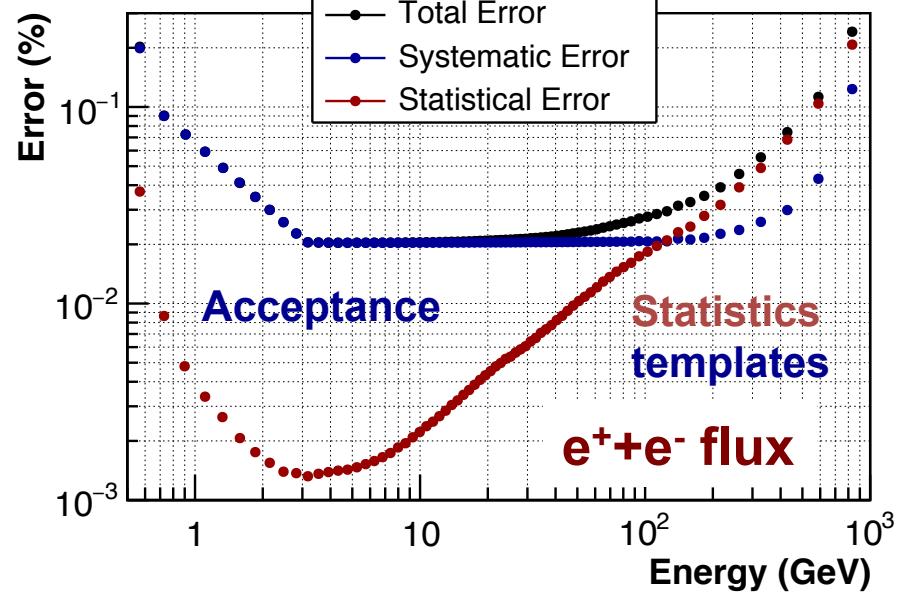
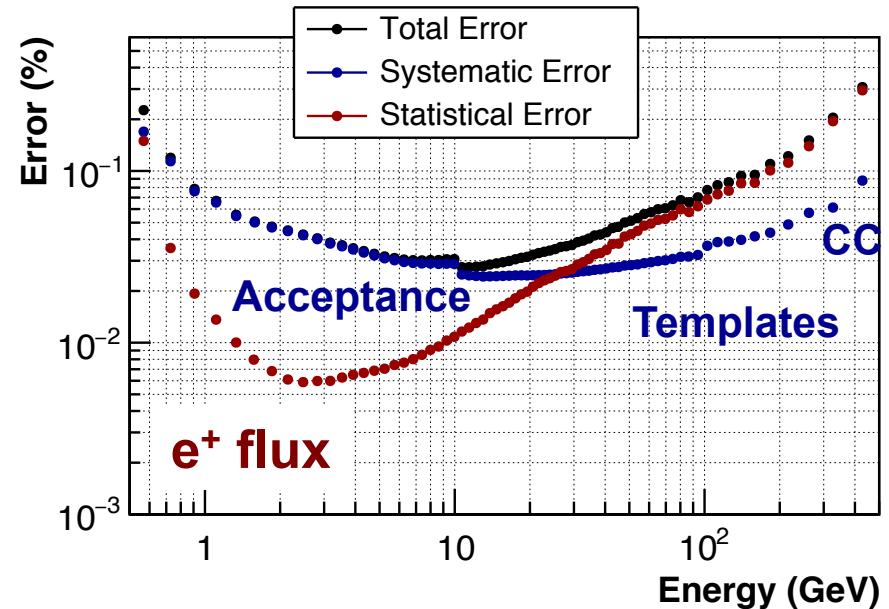
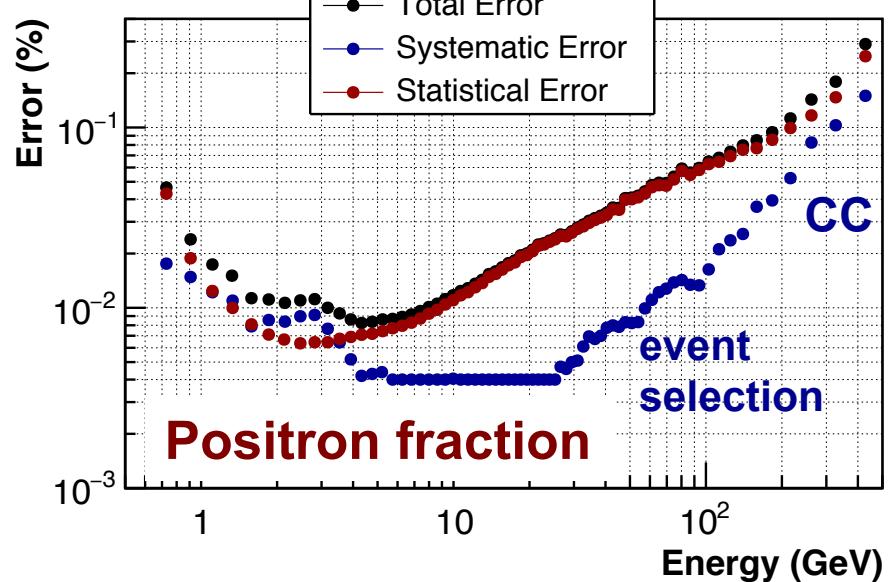
only e+/e- acceptance ratio matters, small asymmetry at low energy

**Energy resolution effects:** binning optimized to have a small effect below few GeV, minor source of uncertainty.

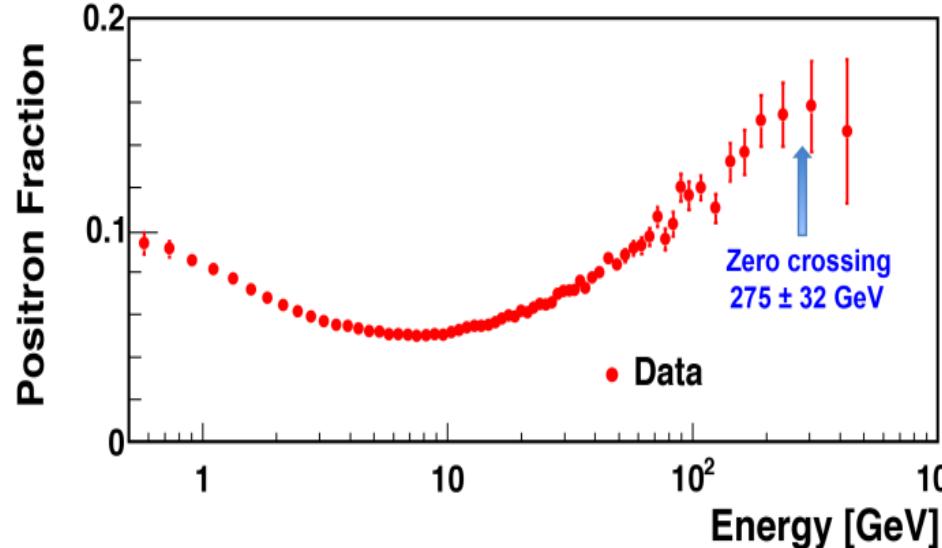
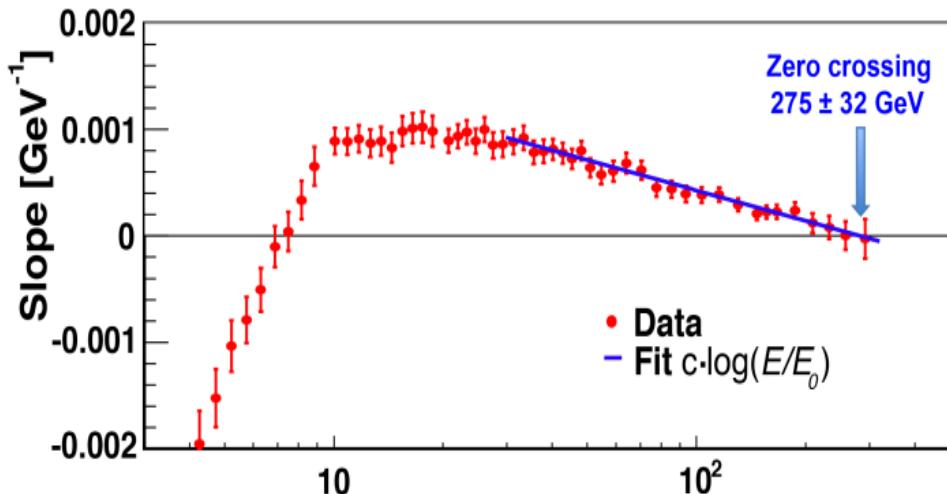
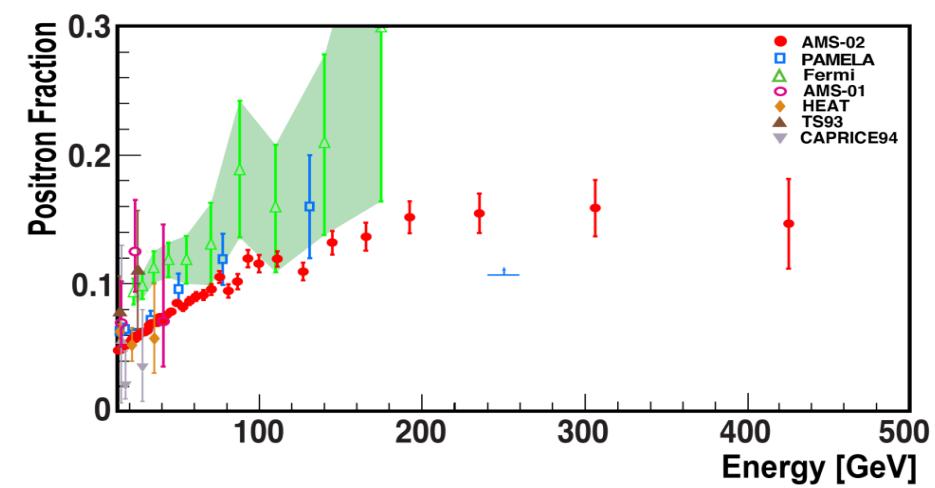
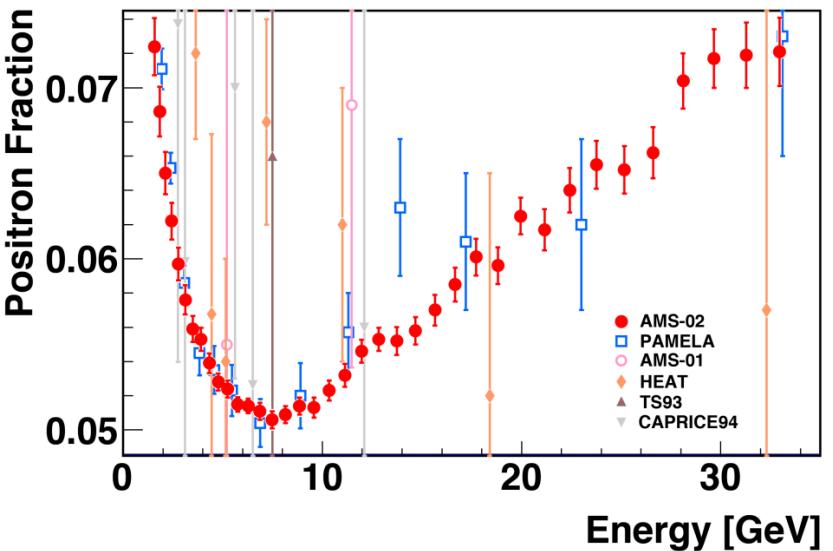
# Results



# Measurement errors

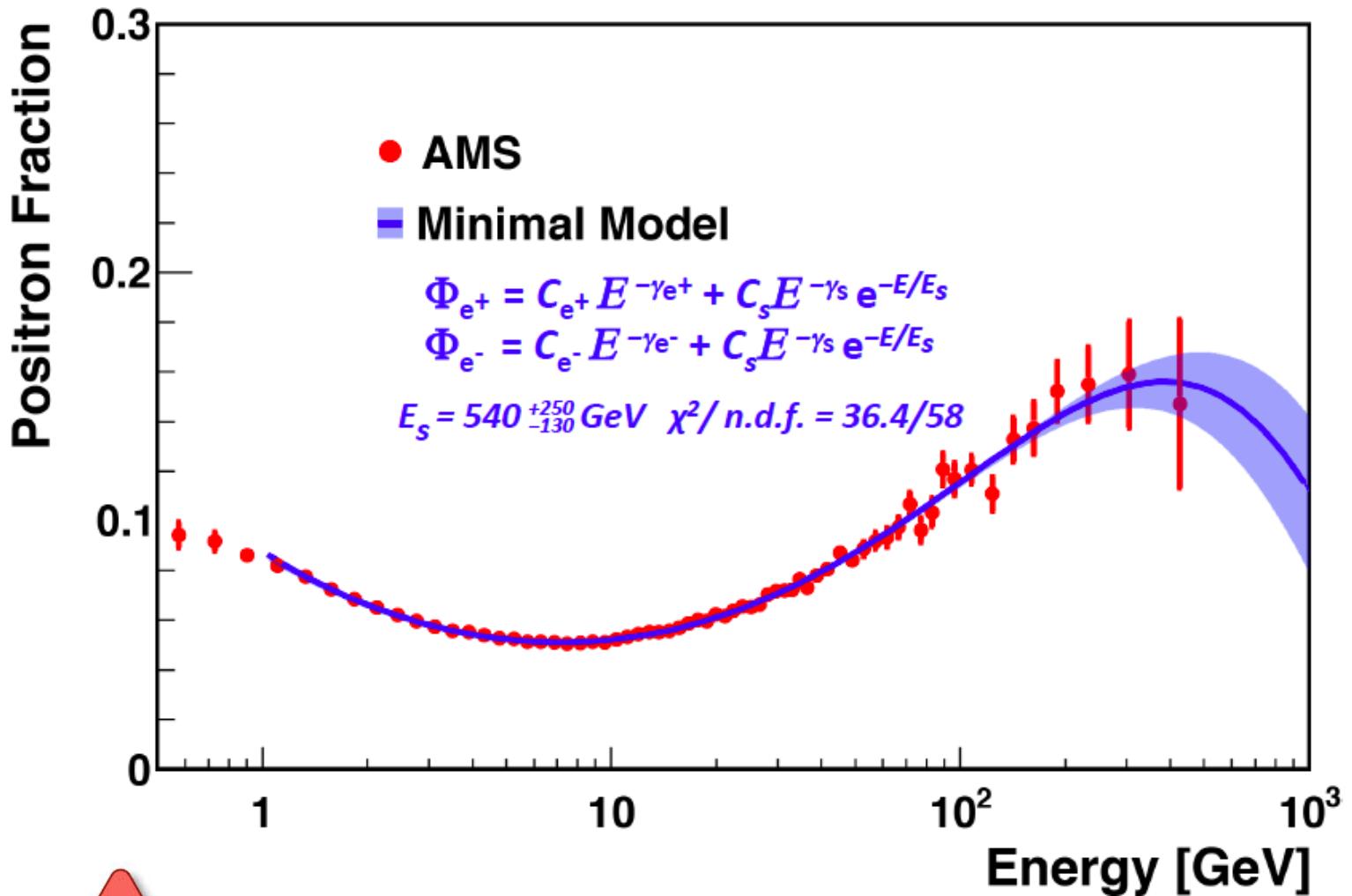


# Positron fraction





# Effective description of data..



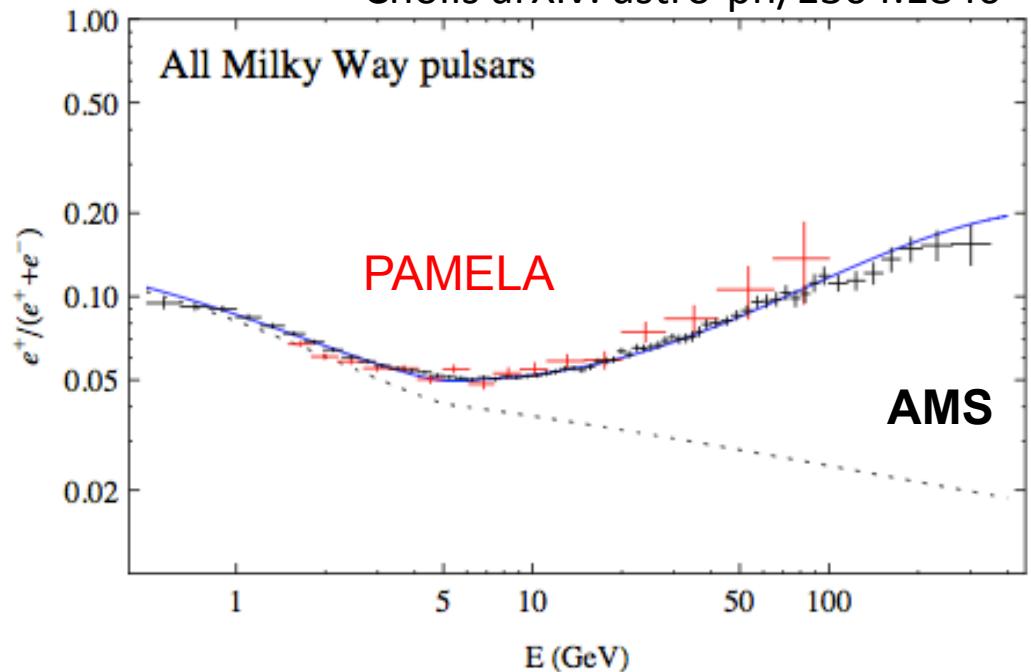
IT IS NOT A REAL MODEL !



# What is AMS observing?

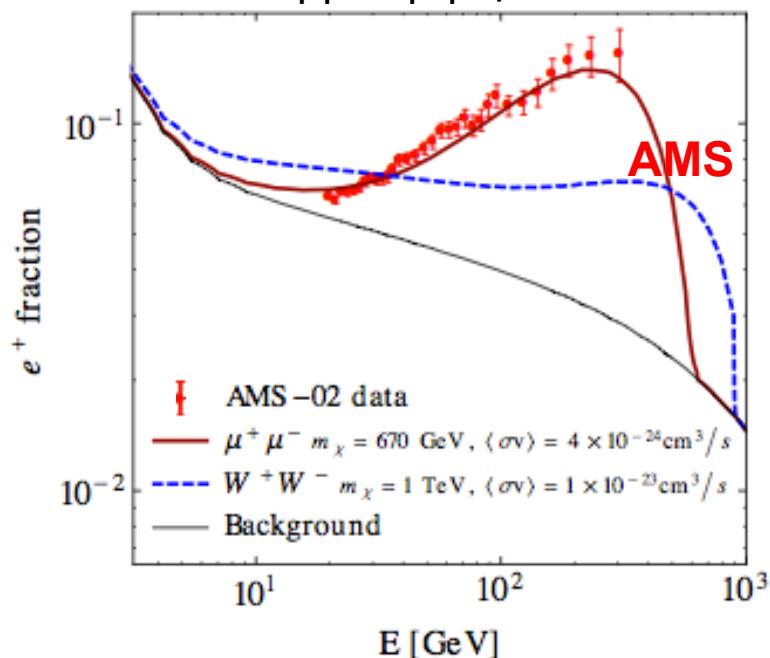
## Astrophysical objects

Cholis arXiv: astro-ph/1304.1840



## Dark Matter

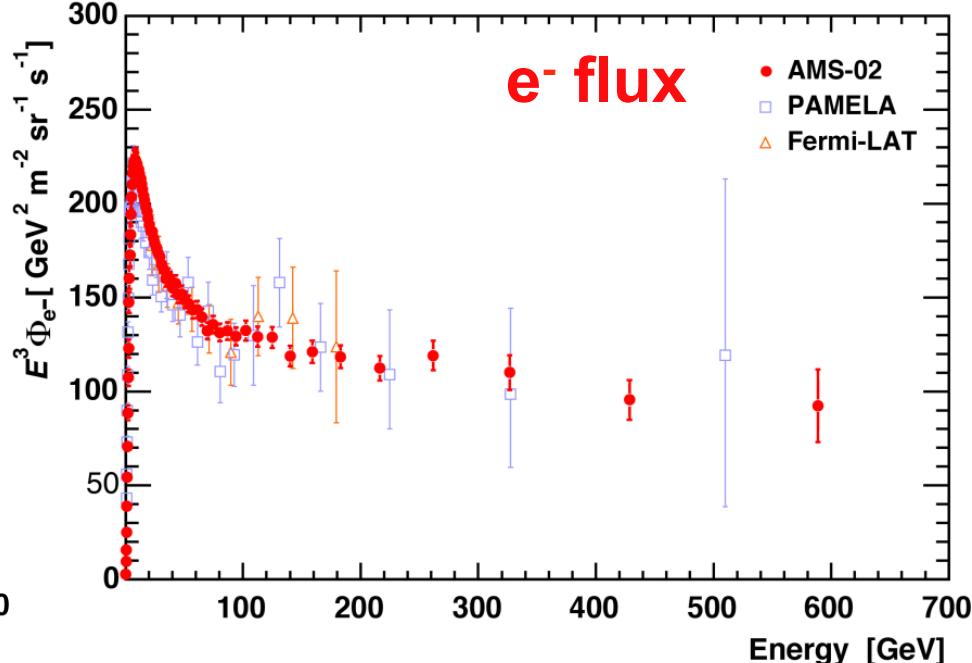
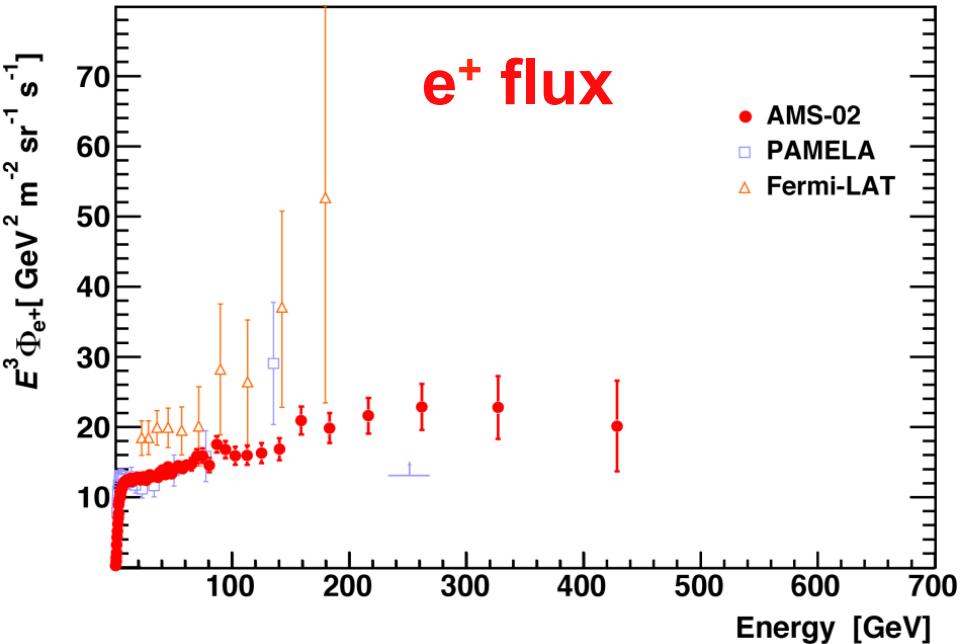
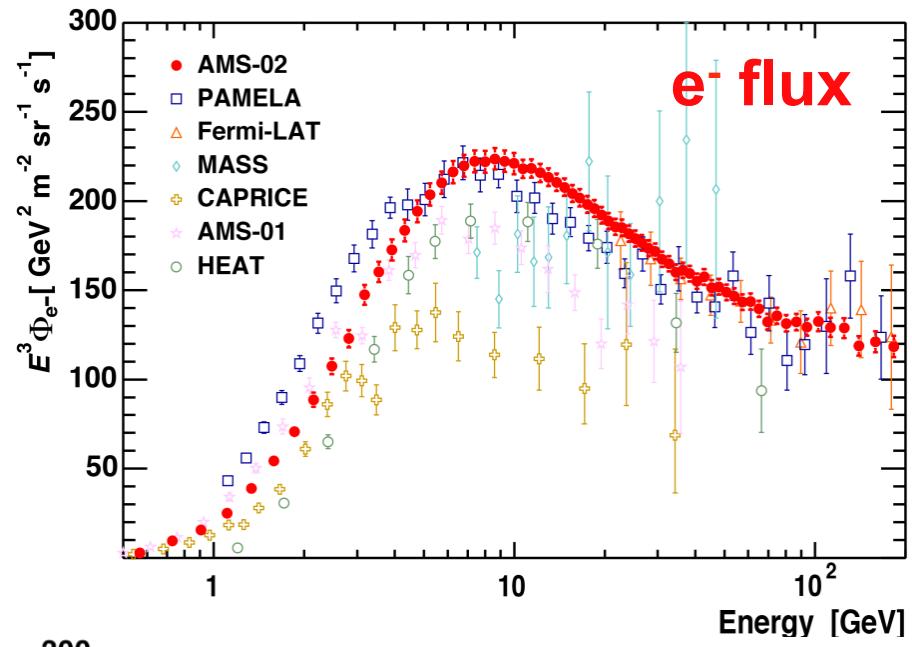
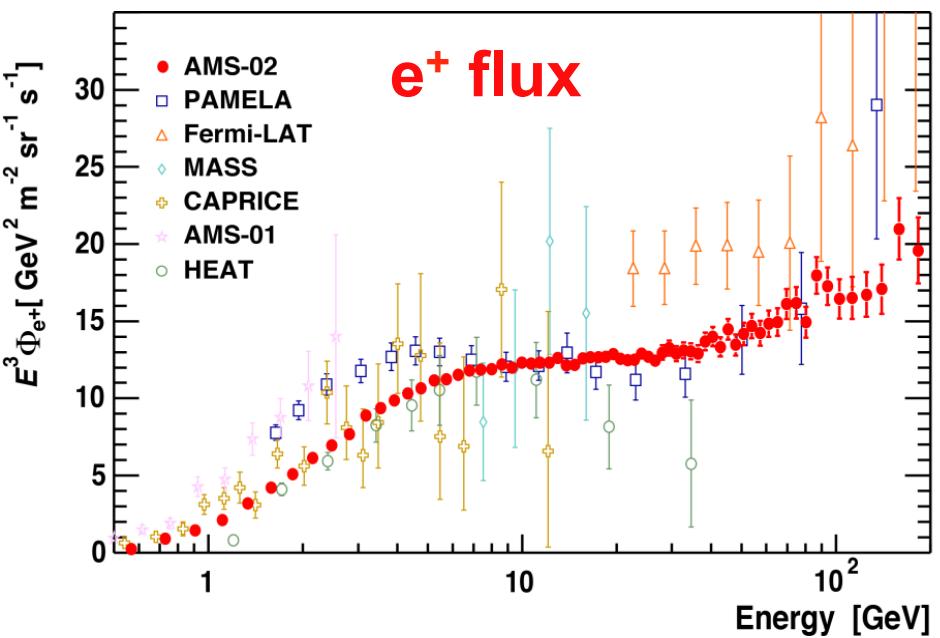
Kopp hep-ph/1304.1184



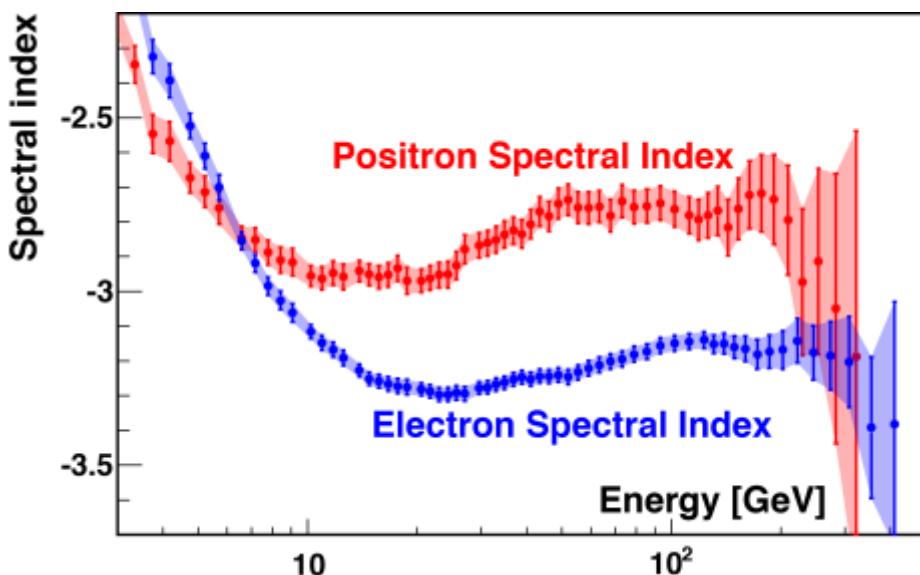
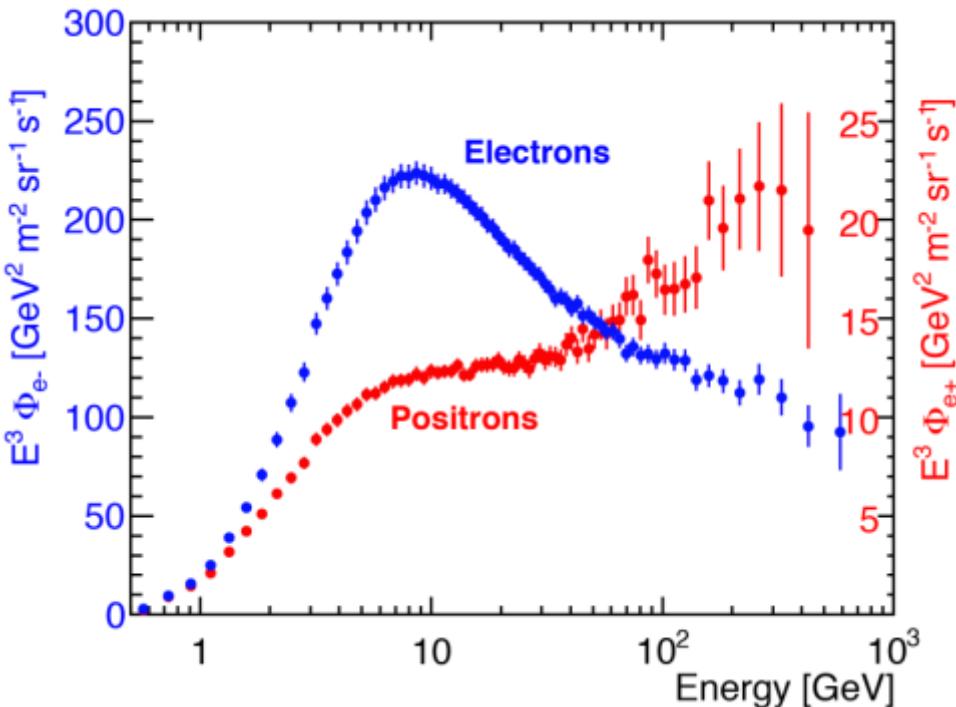
Different energy behavior of the positron fraction:

- **Pulsars predictions:**
  - slow fall at high energies
  - anisotropic positron flux
- **Dark Matter prediction:**
  - steeper fall at high energies
  - isotropic positron flux

# Electron/positron fluxes



# Electron/positron fluxes

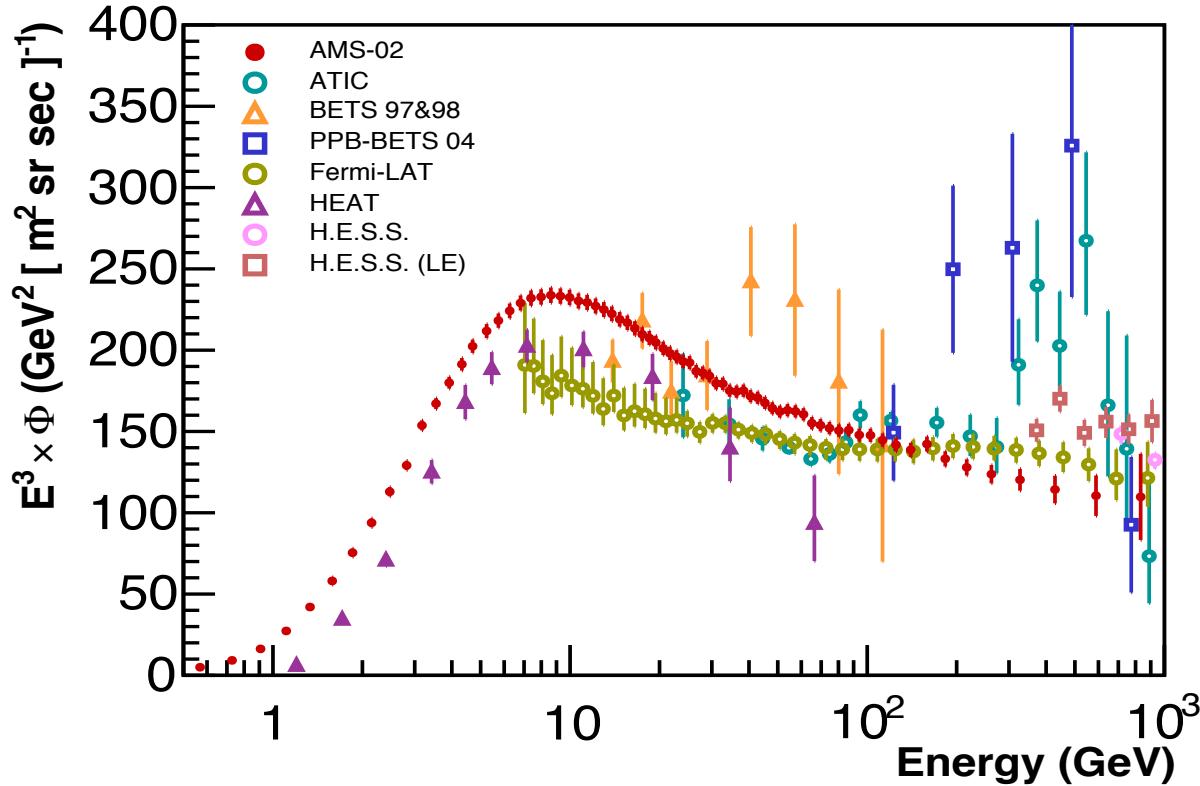


## Observations:

1. Both spectra cannot be described by single power laws.
2. The spectral indices of electrons and positrons are different.
3. Both change their behavior at  $\sim 30\text{GeV}$ .

[ The rise in the positron fraction from 20 GeV is due to an excess of positrons, not the loss of electrons (the positron flux is harder) ]

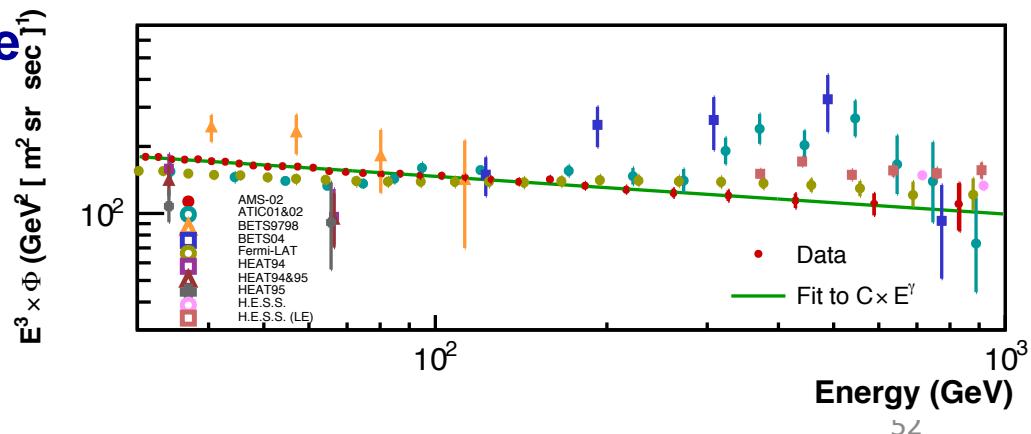
# electron + positron flux



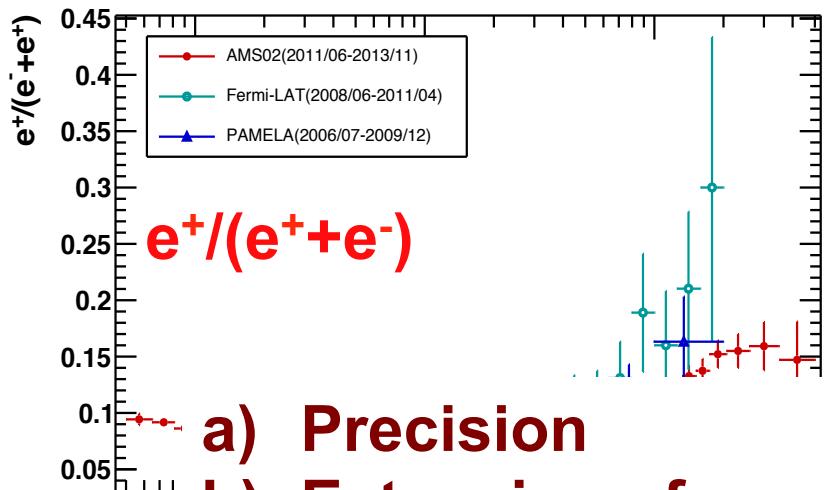
**A single power law describes the spectrum for  $E > 30.2$  GeV**

$$d \log (\Phi) / d \log (E) =$$

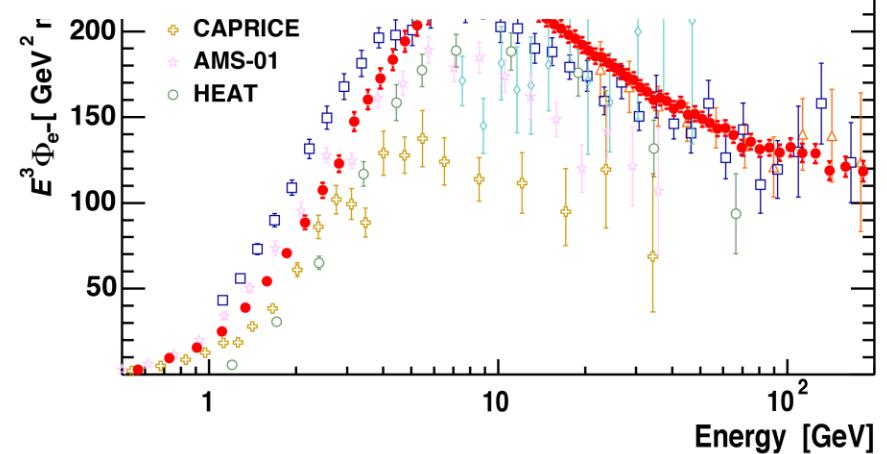
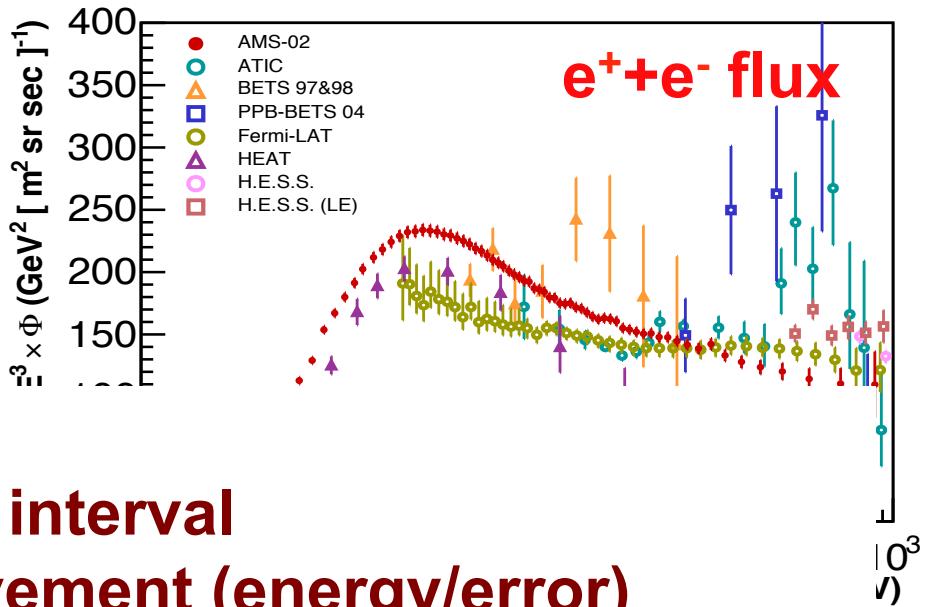
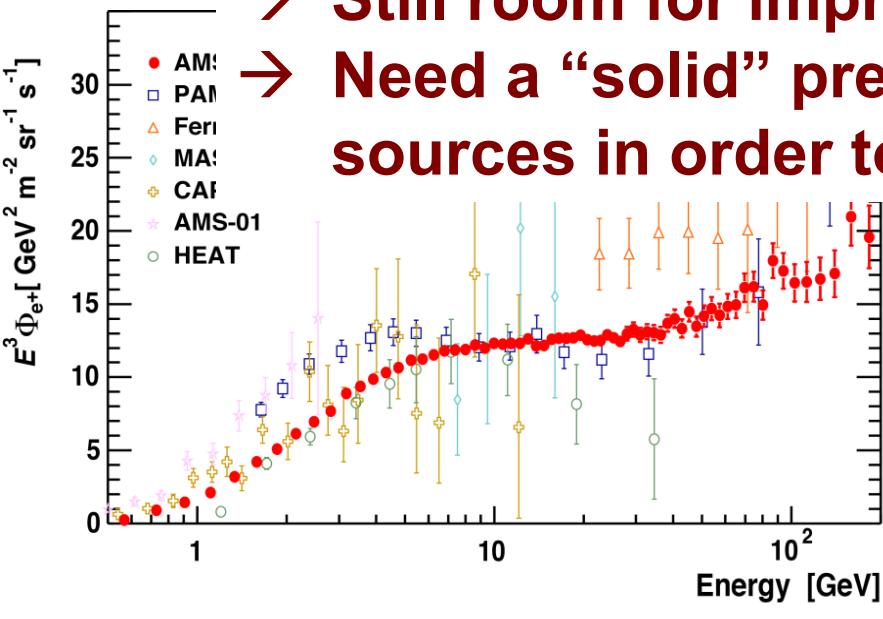
$$-3.170 \pm 0.008 \text{ (stat+sys)} \pm 0.008 \text{ (E scale)}$$



# Results



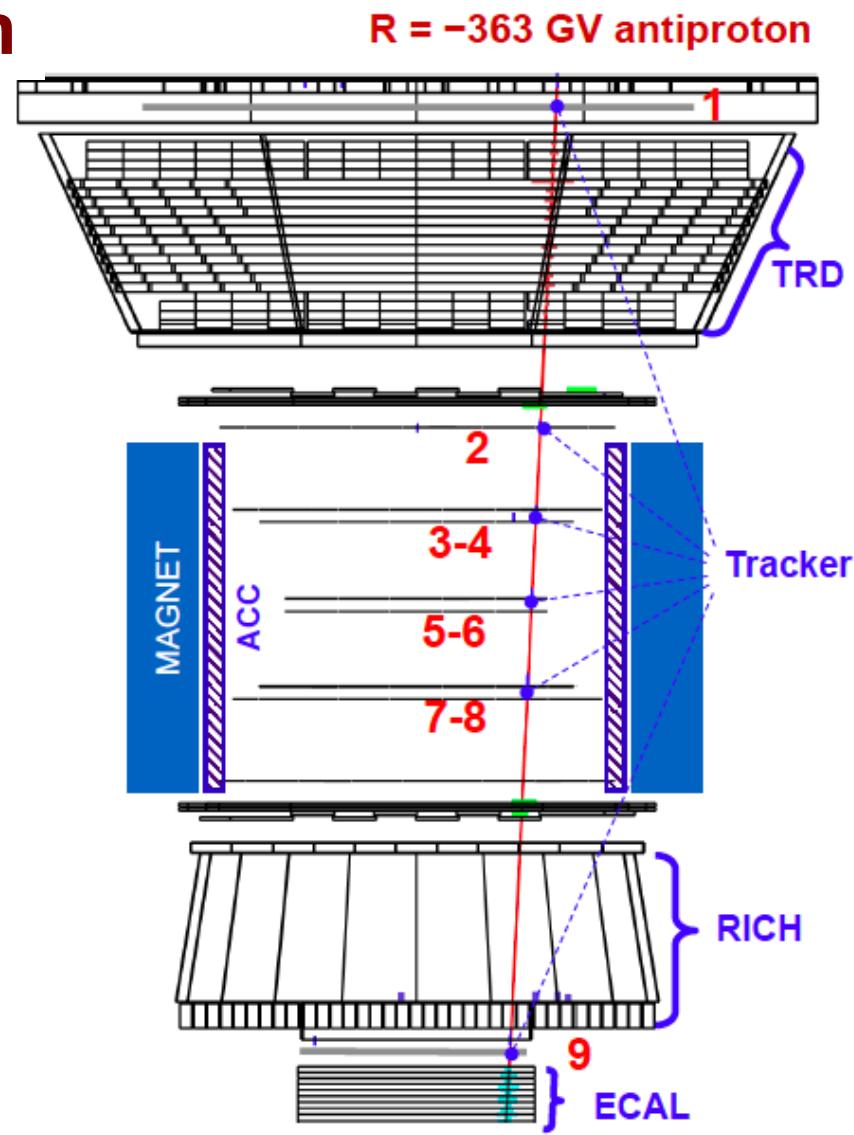
- a) Precision
- b) Extension of energy interval
- Still room for improvement (energy/error)
- Need a “solid” prediction for “standard” sources in order to constraint new ones !



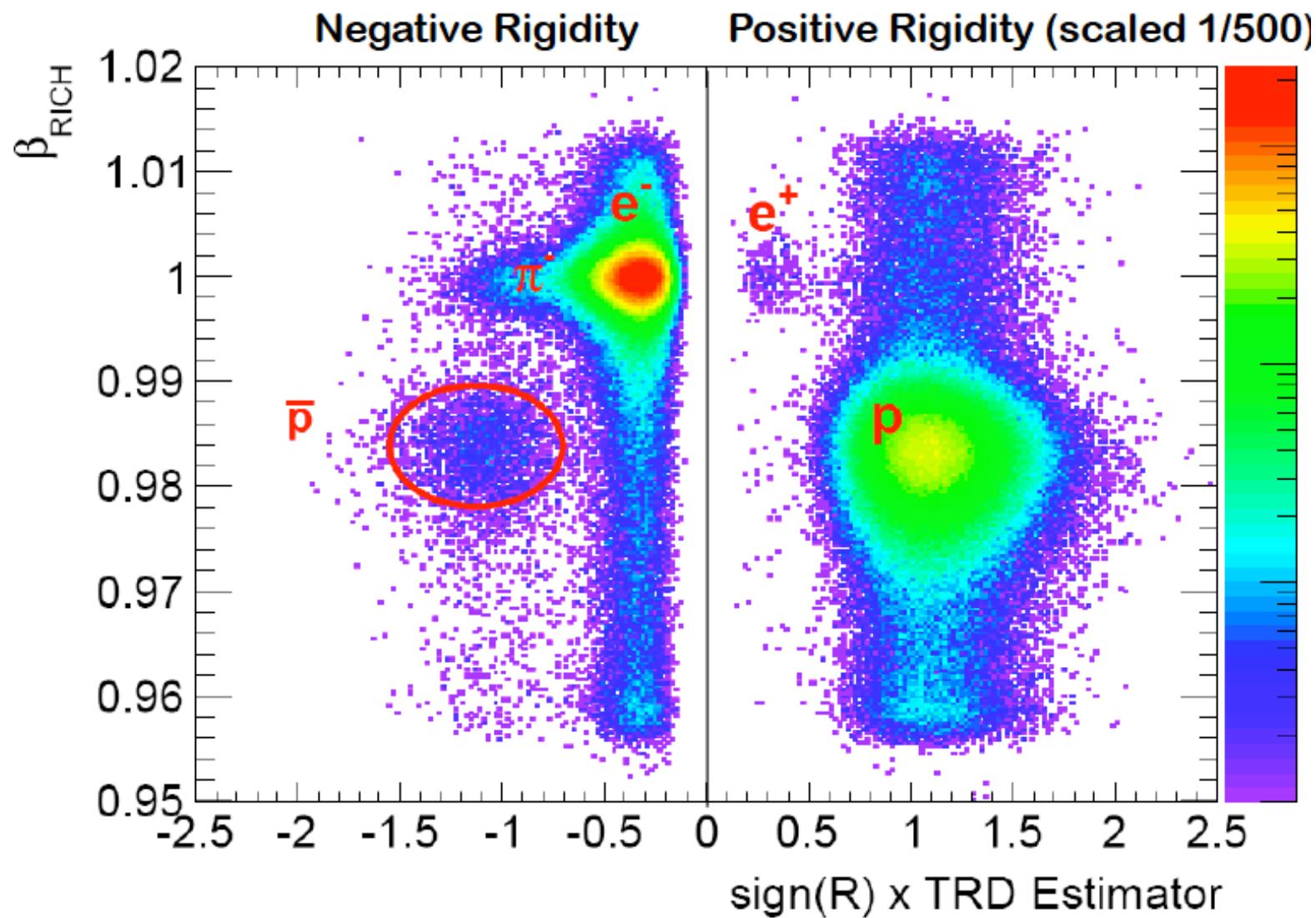
# Pbar/p measurement: status report

## Step 1: Event Selection

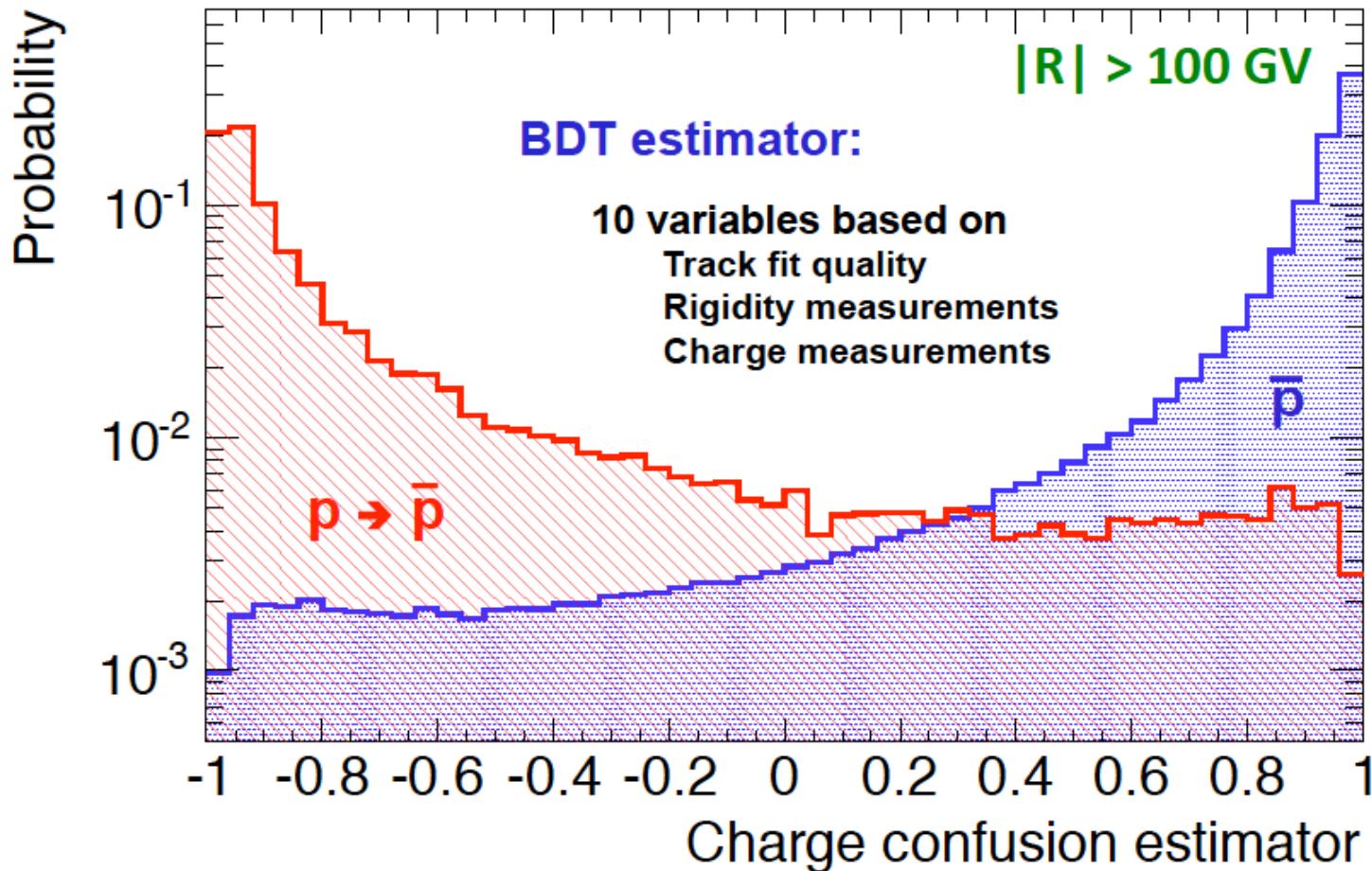
- DAQ:
  - livetime > 50% (no SAA)
- Geomagnetic cutoff: $|R| > 1.2 \cdot \text{max cutoff}$
- TOF:
  - downgoing particle
  - $\beta > 0.3$
- TRD:  
at least 12 hits
- TRACKER:
  - Track quality
  - $0.8 < |Q| < 1.2$
- ECAL:
  - Hadron shower shape  
(both MIP / showering events are analyzed)



## Step 2: at low energy p/e/ $\pi$ background rejection



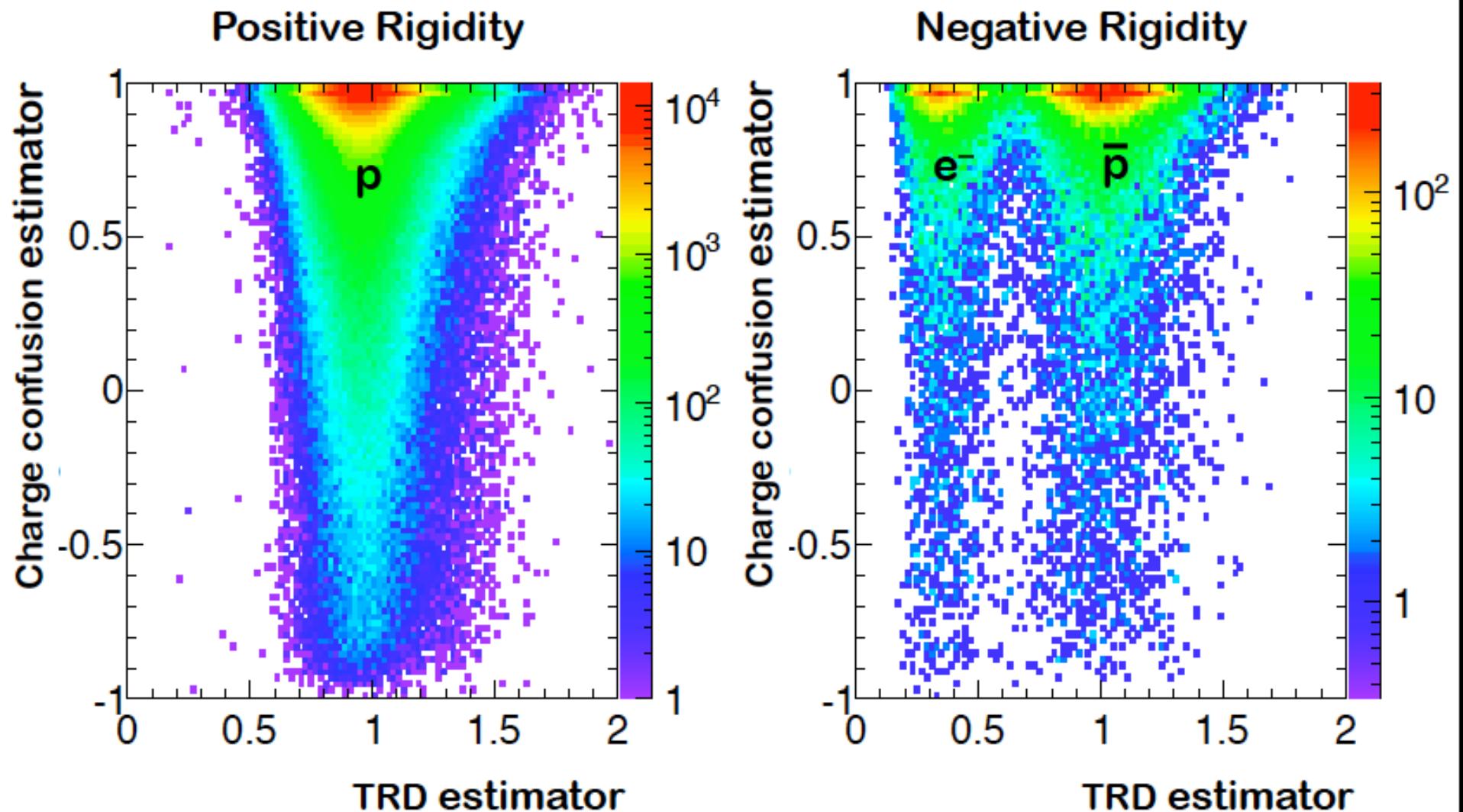
## Step 3: at high energy fight against charge confused protons



Different estimators are being evaluated within independent analyses:

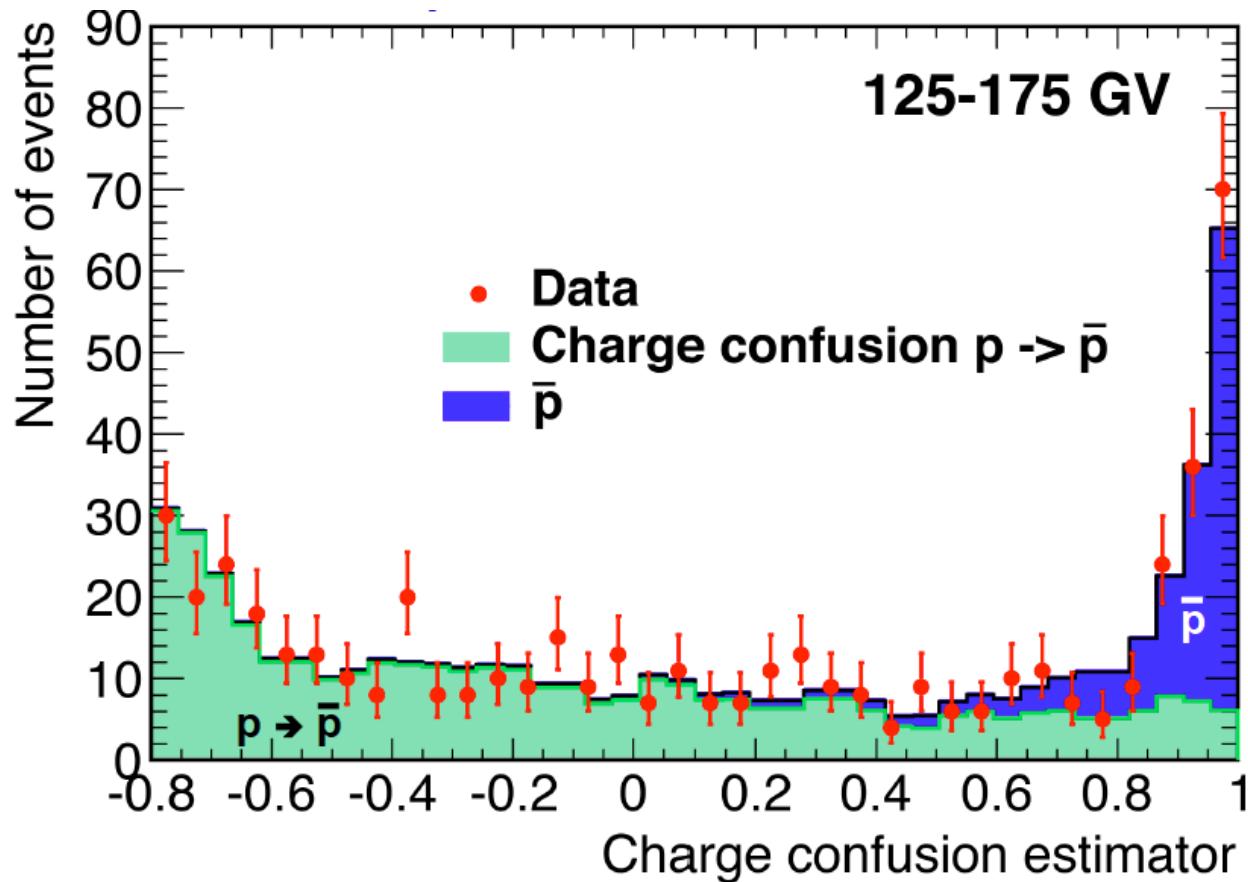
- Different set of variables
- Different statistical methods to combine them
- Different trainings for the BDT

# Step 3: at high energy fight against charge confused protons



Most of the  $e^-$  background easily removed by TRD estimator

## Step 3: at high energy fight against charge confused protons



Number of anti-protons evaluated from the fit to the reference distributions for well reconstructed protons (from ISS data) and from charge confused protons (from MC)

# Systematic uncertainties

## Selection:

Vary the selection cuts (in analysis and to define the TRD templates) and perform the full analysis.

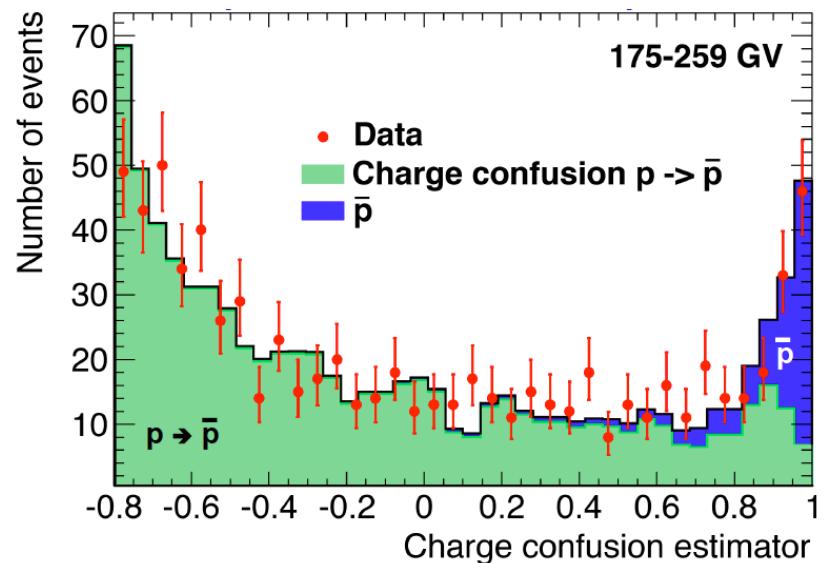
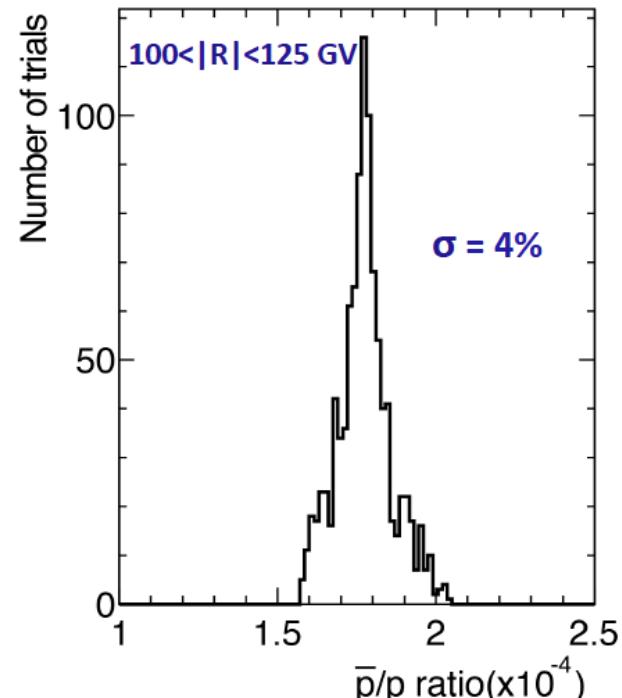
## Charge confusion:

Shapes of the reference spectra: detailed verification of DATA/MC agreement

## Acceptance asymmetry & bin-to-bin migration effects

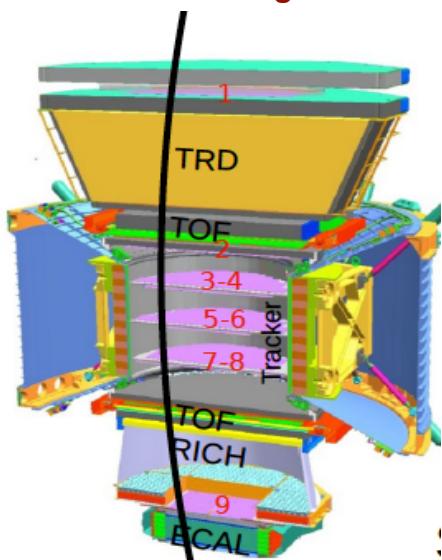
Bin-to-bin migration effects not really relevant to optimized binning / tracker resolution

**Acceptancy asymmetry → xsections !**



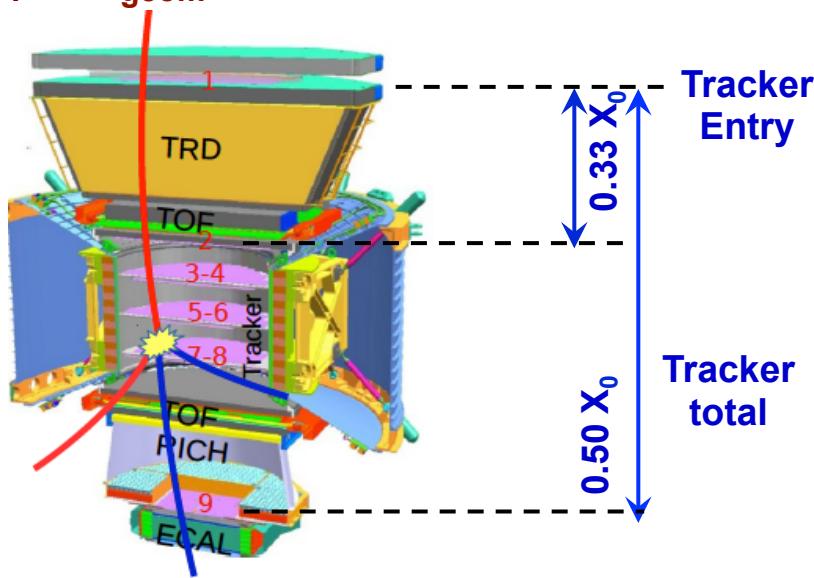
For a “Geantino”  $\sigma = 0$

$$A = A_{\text{geom}}$$

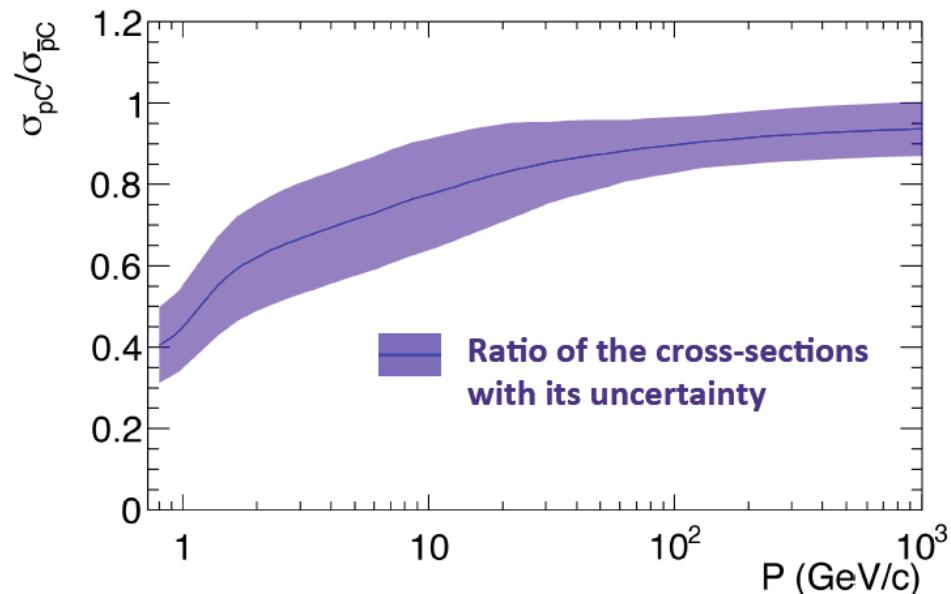


For a real particle  $\sigma_{\text{inel}} \neq 0$

$$A_P = A_{\text{geom}} \times \text{Survival Probability}$$



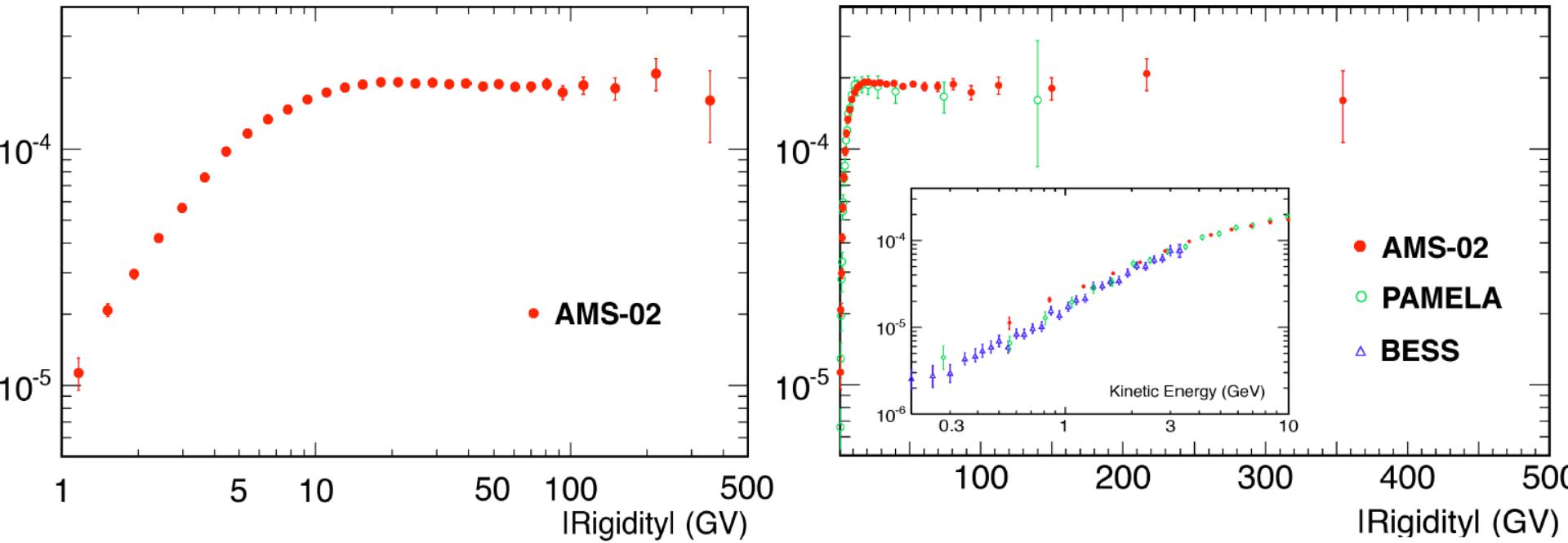
SP is different for p/anti-p due to different  $\sigma$  with detector material (C, Al):



The effective uncertainty on A due to the knowledge of  $\sigma$  depends on the amount of traversed material:

$$\text{SP} \propto e^{-\alpha\sigma}$$

# Status of pbar/p measurement



Statistical and systematic uncertainties @ [175-259] GV : pbar/p ratio  $2 \times 10^{-4}$

Statistical error: 14.4%

Systematic error: 7.3%

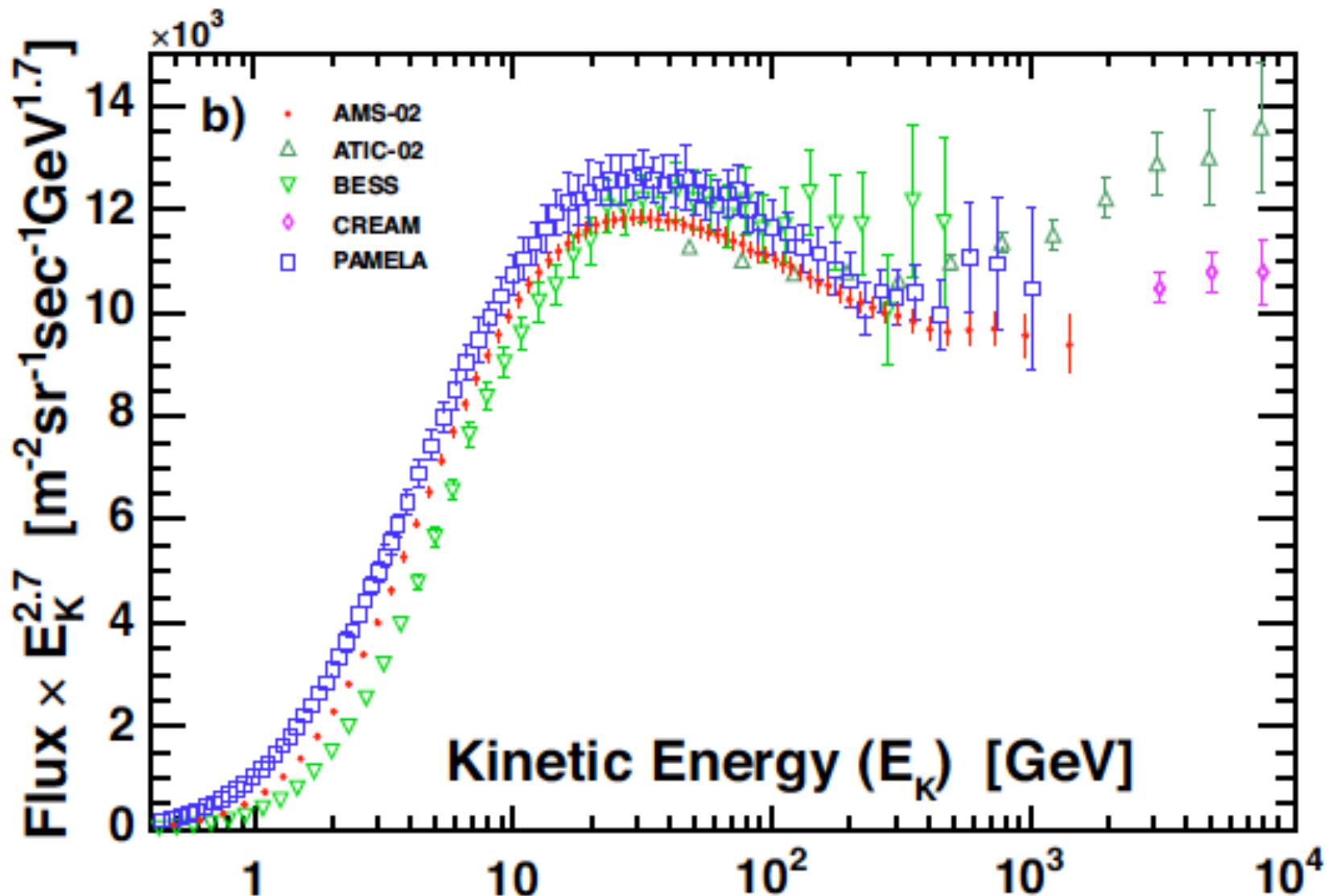
Acceptance 1.4%

Selection 2.1%

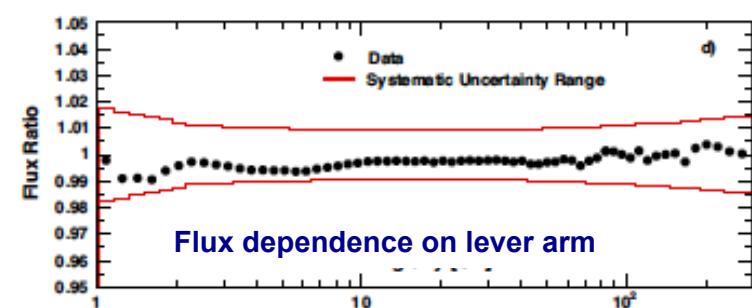
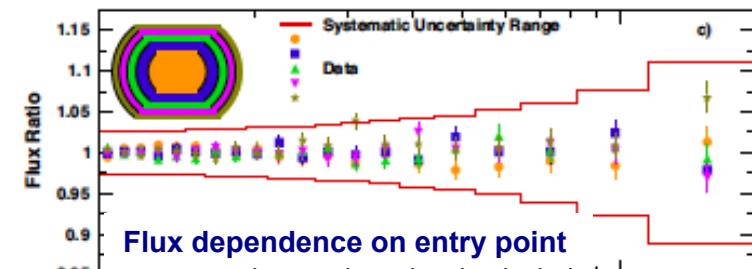
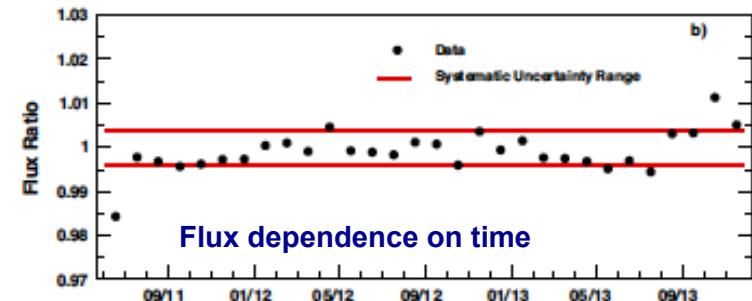
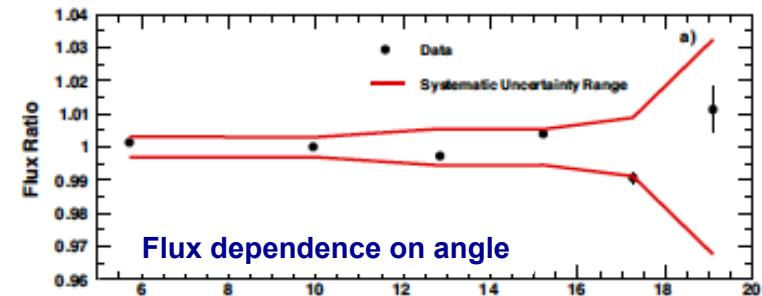
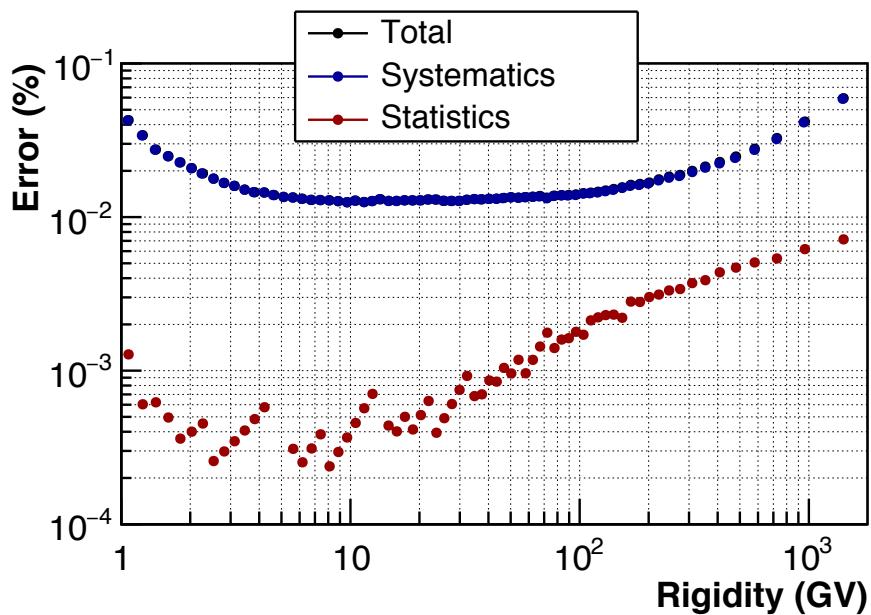
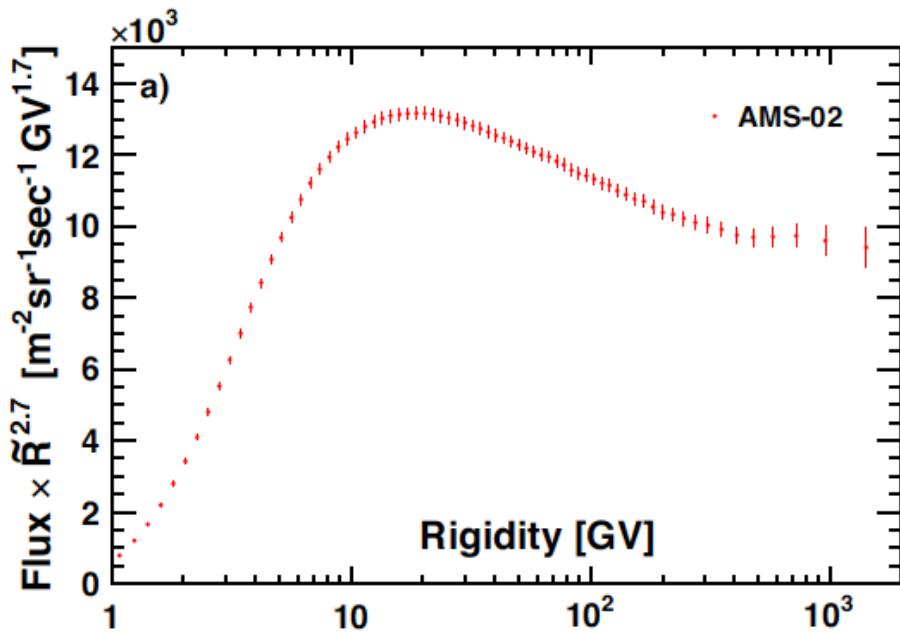
Ref. spectra 6.9%

Total error:  $\approx 16\%$

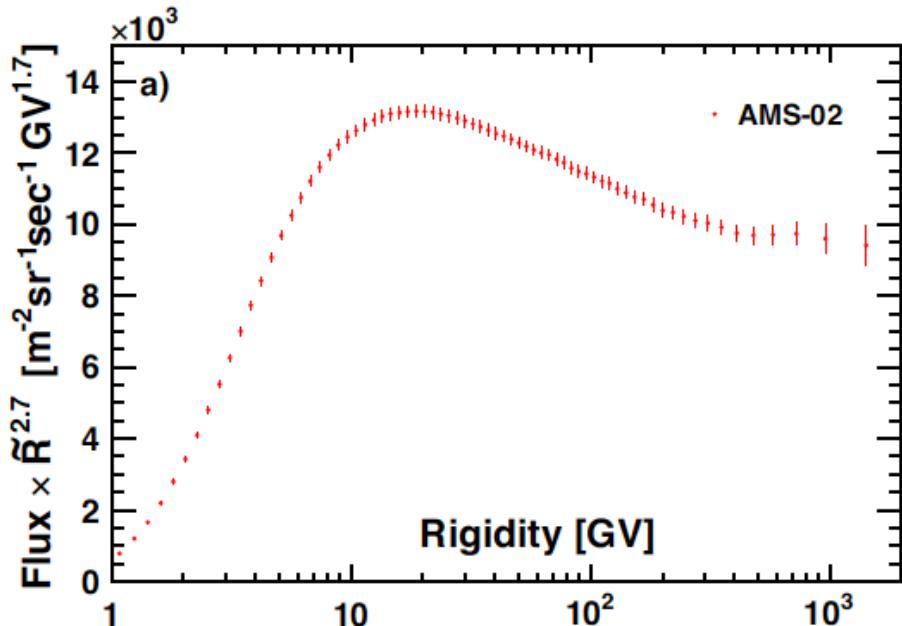
# Nuclear fluxes: proton



# Nuclear fluxes: proton



# Nuclear fluxes: proton

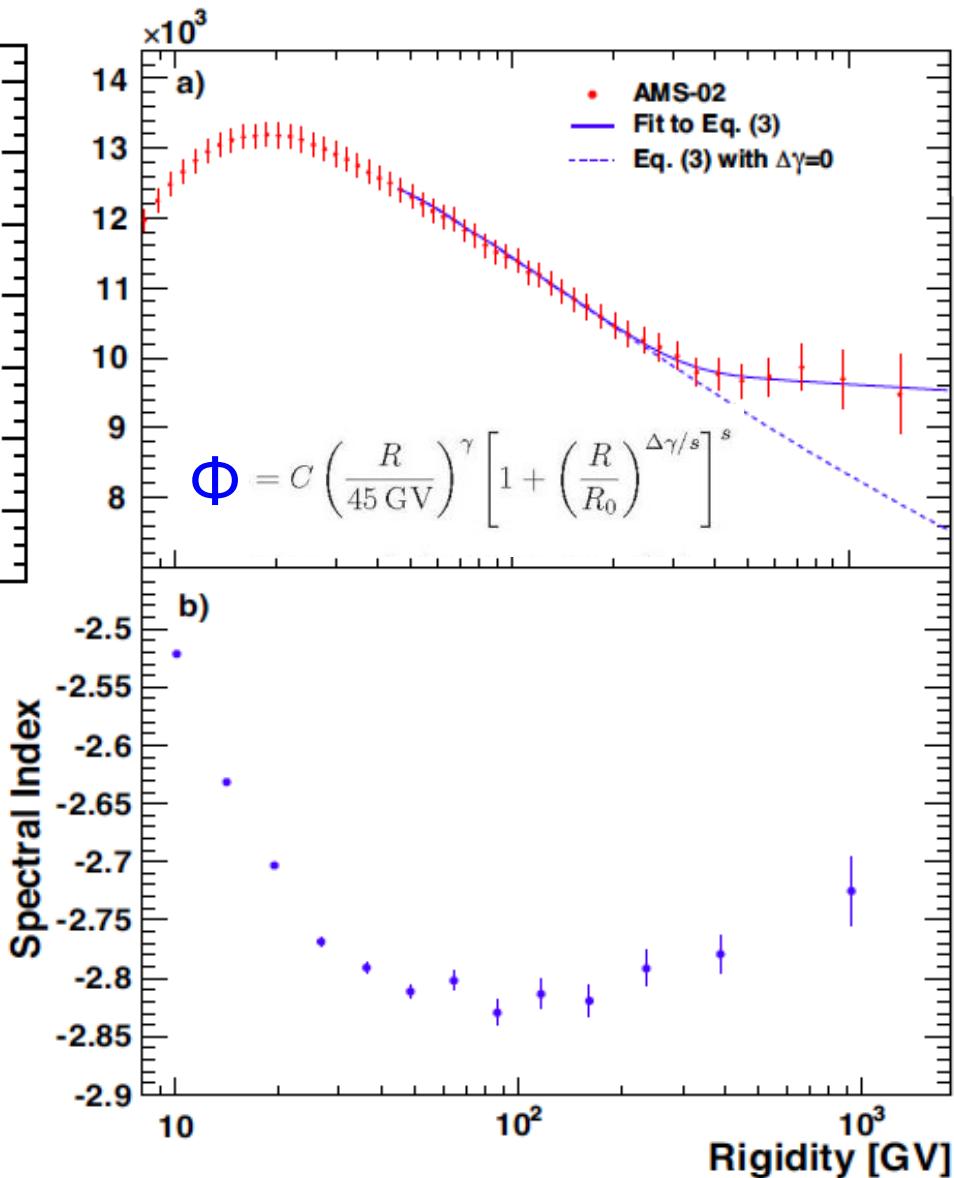


$$\gamma = -2.849 \pm 0.002 + 0.004/-0.003$$

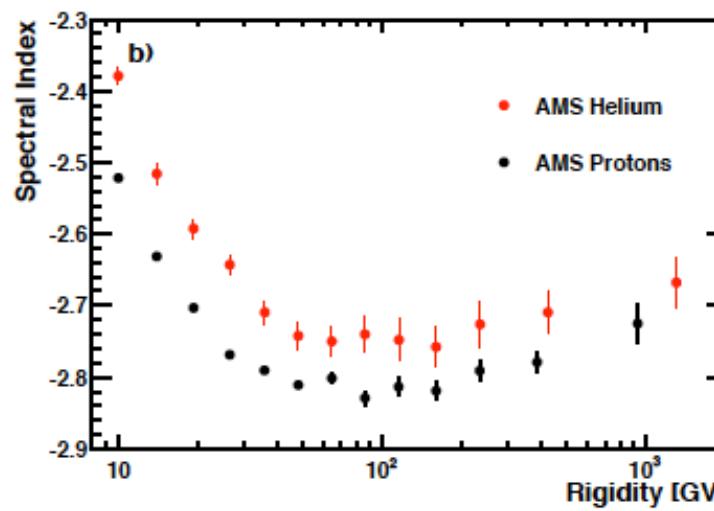
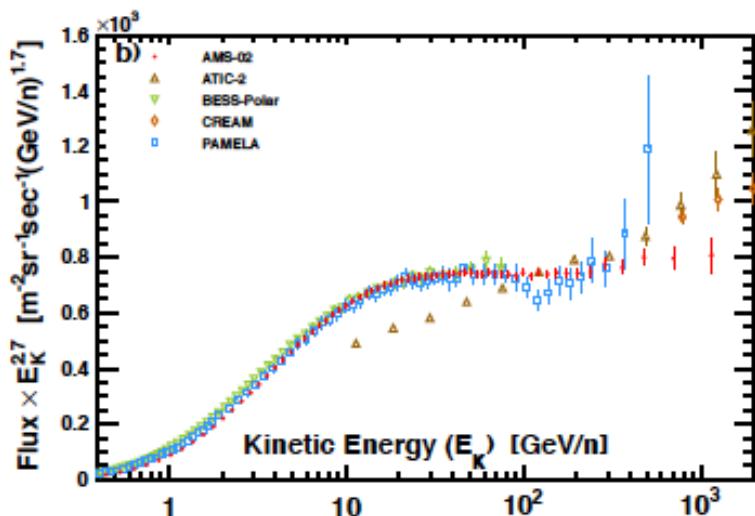
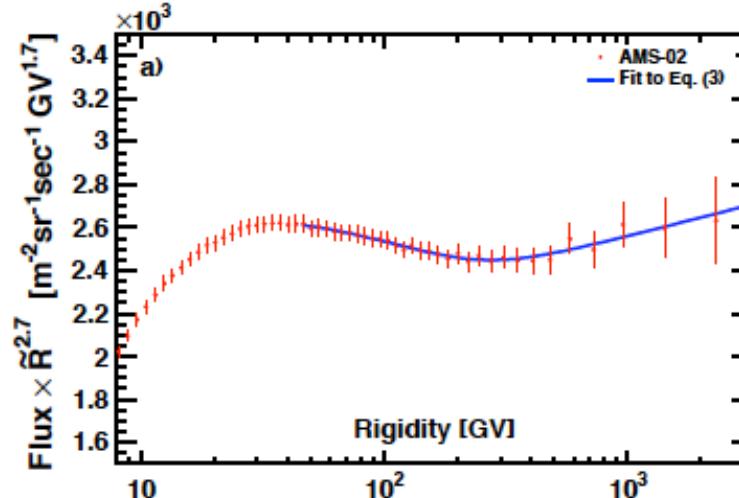
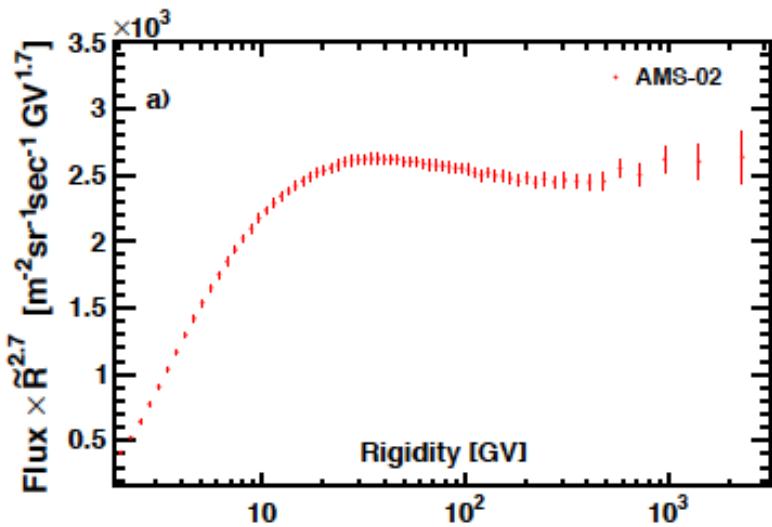
$$s = 0.024 +0.020/-0.013+0.027/-0.016$$

$$\Delta\gamma = 0.133 + 0.032/-0.021 +0.046/-0.030$$

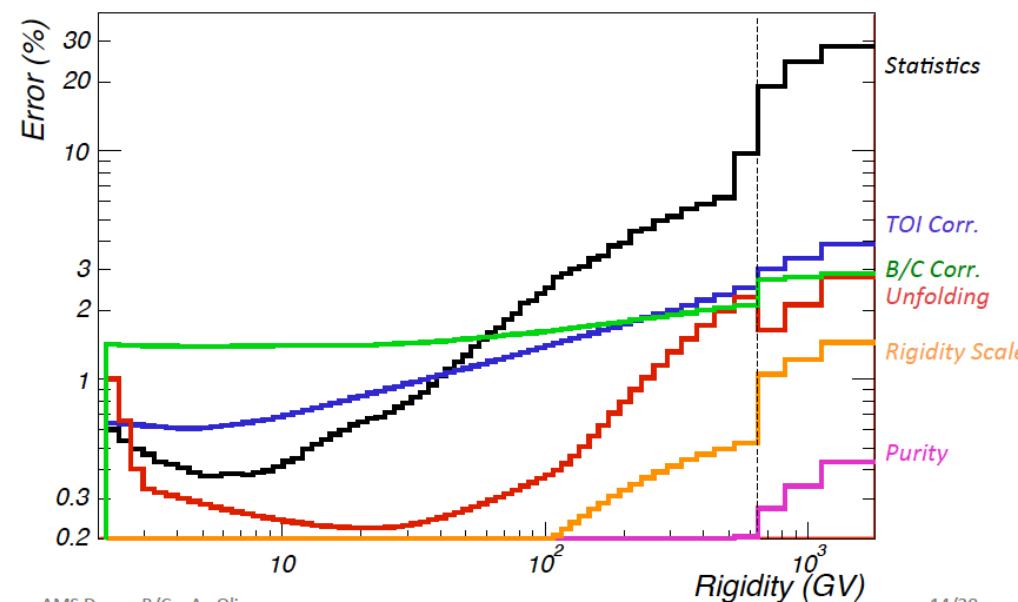
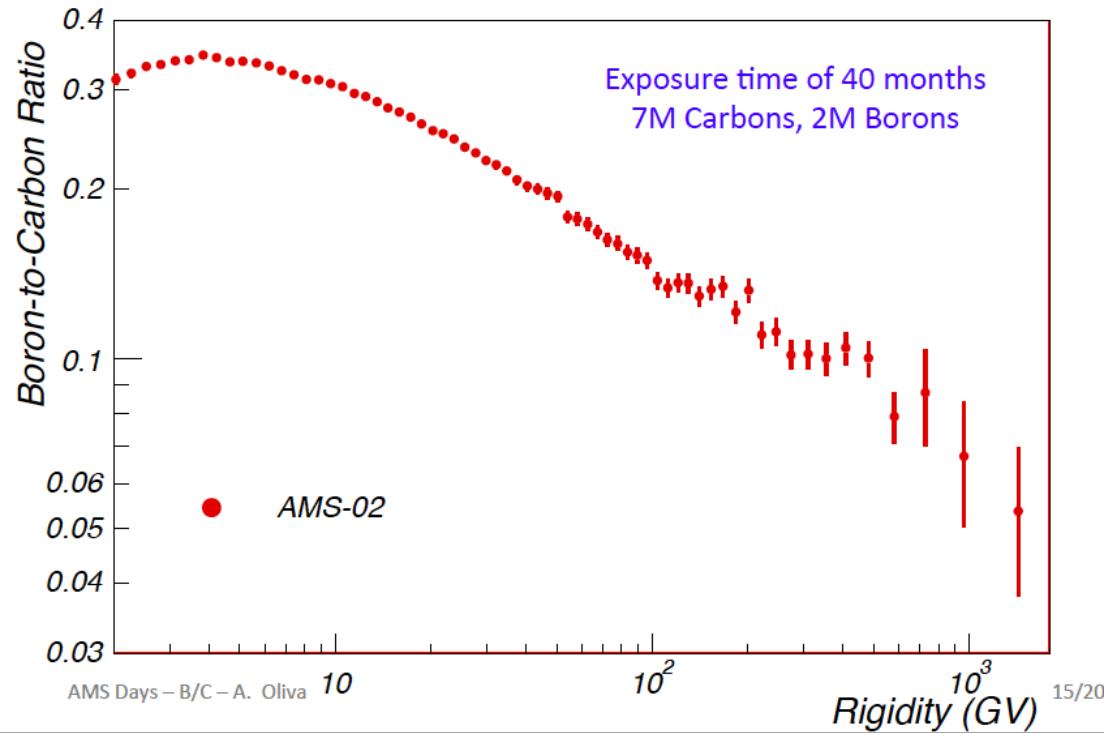
$$R_0 = 336 +68/-44 + 66/-28 \text{ GV}$$



# Nuclear fluxes: He....(coming soon!)



# B/C Ratio



# Summary..

The era of “precision” CR measurement has started ...

- ✓ Thanks to the high collected statistics and detector performances AMS has extended the energy interval and the of  $e^+/e^-/\text{anti-p}$  and details can be studied with unprecedented accuracy
- ✓ Detailed spectral behaviour of nuclear CR ( P, He, B, C...) in the GV-TV ridigity range are also studied in AMS: tight constraints on models for origin and propagation models
- ✓ Looking for exotic sources with AMS-02 (and to plan future CR spectrometers) requires a detailed knowledge of “standard” CR



**Thanks for your attention ...  
and (most important)  
to Fiorenza for organizing this workshop !**