

# Theta dependence in Holographic QCD

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- *FB, Aldo L. Cotrone, Roberto Sisca, JHEP 1508 (2015) 090*
- *Lorenzo Bartolini, FB, Stefano Bolognesi, Aldo L. Cotrone, Andrea Manenti (in progress)*

# Plan

- The  $\theta$ -angle in Yang-Mills and QCD
- Holographic Yang-Mills at finite  $\theta$ -angle
- Holographic QCD at finite  $\theta$ -angle

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- The  $\theta$ -angle in Yang-Mills and QCD
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Effects of the  $\theta$  parameter interesting but challenging.

# The $\theta$ -angle in Yang-Mills

- Euclidean Lagrangian

$$\mathcal{L}_\theta = \frac{1}{2g_{YM}^2} \text{Tr} F_{\mu\nu} F^{\mu\nu} - \frac{i}{32\pi^2} \theta \epsilon^{\mu\nu\rho\sigma} \text{Tr} F_{\mu\nu} F_{\rho\sigma}$$

- $\theta$  term breaks P,T and hence CP. Due to instantons: non perturbative.
- $\theta$  multiplies topological charge density, whose 4d integral is integer.
- Physics periodic under  $\theta \rightarrow \theta + 2\pi$
- Effects: vacuum structure, CP violating effects in QGP [Kharzeev et al], mass and interactions of  $\eta'$  meson in QCD [Witten-Veneziano], cosmology (axions)
- Challenging on the Lattice (sign problem: imaginary term)
- Can get results only for few terms in expansion around  $\theta \approx 0$ .

# Lattice results

[Pisa Lattice QCD group: Bonati, D'Elia, Vicari et al; years 2006-present]

- Ground state energy density

$$f(\theta) - f(0) = \frac{1}{2} \chi_g \theta^2 \left[ 1 + \bar{b}_2 \frac{\theta^2}{N_c^2} + \bar{b}_4 \frac{\theta^4}{N_c^4} + \mathcal{O}(\theta^6) \right] \quad \chi_g = f''(\theta)|_{\theta=0} \neq 0 \text{ topological susceptibility}$$

$$\bar{b}_2 \approx -0.2 \text{ (from } N_c=3, \dots, 6 \text{ data)} \quad |b_4| < 0.001 \quad (N_c=3)$$

- String tension

$$T_s(\theta) = T_s(0) \left[ 1 + \bar{s}_2 \frac{\theta^2}{N_c^2} + \mathcal{O}(\theta^4) \right], \quad \bar{s}_2 \approx -0.9 \text{ (from } N_c=3, \dots, 6 \text{ data)}$$

- Lowest  $0^{++}$  glueball mass

$$M_{0^{++}}(\theta) = M_{0^{++}}(0) \left[ 1 + g_2 \theta^2 + \mathcal{O}(\theta^4) \right], \quad g_2 \approx -0.06(2), \quad (N_c = 3)$$

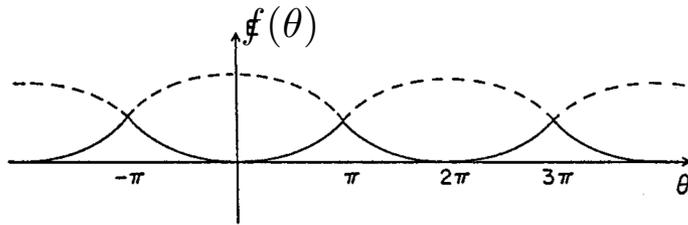
- Deconfinement temperature

$$T_c(\theta) = T_c(0) \left[ 1 + R_\theta \theta^2 + \mathcal{O}(\theta^4) \right], \quad R_\theta \approx -0.0175(7), \quad (N_c = 3)$$

# The $\theta$ -angle in large N Yang-Mills

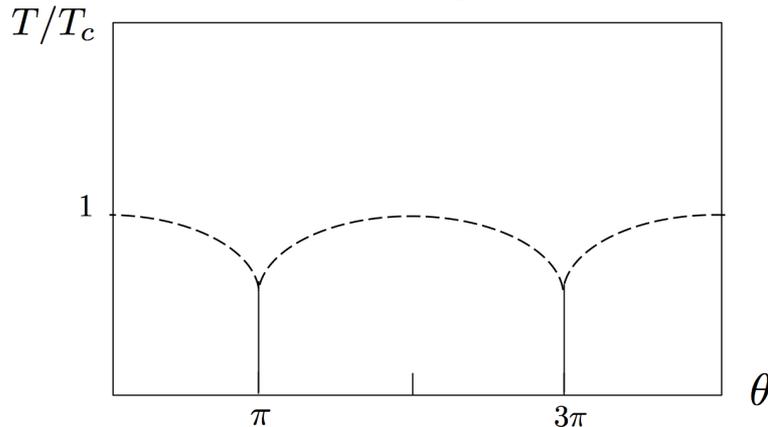
- $\mathcal{L} = \frac{N_c}{2\lambda} \left[ \text{Tr} F^2 - i \frac{\lambda}{8\pi^2} \frac{\theta}{N_c} \text{Tr} F \tilde{F} \right]$  't Hooft limit: must take  $\theta/N$  fixed.

- Scaling with  $\theta/N$  + periodicity in  $\theta$ : multi-branched energy density  $f(\theta)$  [Witten, 1980]



$$f(\theta) = b N^2 \min_k \left( \frac{\theta + 2k\pi}{N} \right)^2 + O(\theta^4/N^4)$$

- At  $\theta=(2k+1)\pi$ : expect first order transitions. CP spontaneously broken
- Expected phase diagram



## Theta term in QCD

$$\mathcal{L} = \frac{1}{4} F_{\mu\nu}^a(x) F_{\mu\nu}^a(x) + \sum_{f=1}^{N_f} \bar{\psi}_f (D + m_f) \psi_f.$$

a) Massless quarks:

$$\psi \rightarrow e^{i\alpha\gamma_5} \psi$$

$$[d\psi][d\bar{\psi}] \rightarrow \exp\left(\frac{-i\alpha g^2 N_f}{32\pi^2} \int d^4x \epsilon_{\mu\nu\rho\sigma} F_{\mu\nu}^a F_{\rho\sigma}^a\right) [d\psi][d\bar{\psi}]$$

$$\theta \rightarrow \theta - 2\alpha N_f$$

- Theta rotated away by chiral rotation. **No theta dependence**

b) Massive quarks:  $m_f \rightarrow e^{2i\alpha} m_f$      $\theta = \theta_q + \arg \det M$

- Neutron Electric Dipole Moment:  $d_n \sim \theta \frac{em_f}{m_n^2} \sim \theta \frac{em_\pi^2}{m_n^3} \approx 10^{-16} \theta e \text{ cm}$
- Experiments:  $|d_n| < 2.9 \times 10^{-26} e \text{ cm}$  so  $|\theta| < 10^{-10}$ . **Strong CP problem.**

## Neutron Electric Dipole Moment in the literature

Compute: 
$$\vec{D}_{n,s} = \int d^3x \vec{x} \langle n, s | J_{\text{em}}^0 | n, s \rangle$$

Year	Approach/model	$c = d_n / (\theta \cdot 10^{-16} e \cdot \text{cm})$
1979	bag model	2.7
1980	ChPT	3.6
1981	ChPT	1
1981	ChPT	5.5
1982	ChPT	20
1984	chiral bag model	3.0
1984	soft pion Skyrme model	1.2
1984	single nucleon contribution	11
1990 [20]	Skyrme model $N_f = 3$	2
1991 [19]	Skyrme model $N_f = 2$	1.4
1991	ChPT	3.3(1.8)
1991	ChPT	4.8
1992	ChPT	-7.2, -3.9
1999	sum rules	2.4(1.0)
2000	heavy baryon ChPT	7.5(3.2)
2004	instanton liquid	10(4)
2007	holographic QCD "hard-wall"	1.08
2015	1502.02295 [hep-lat]	- 3.8 (2)

Table partially taken from Panagopoulos, Vicari 2008

# Plan

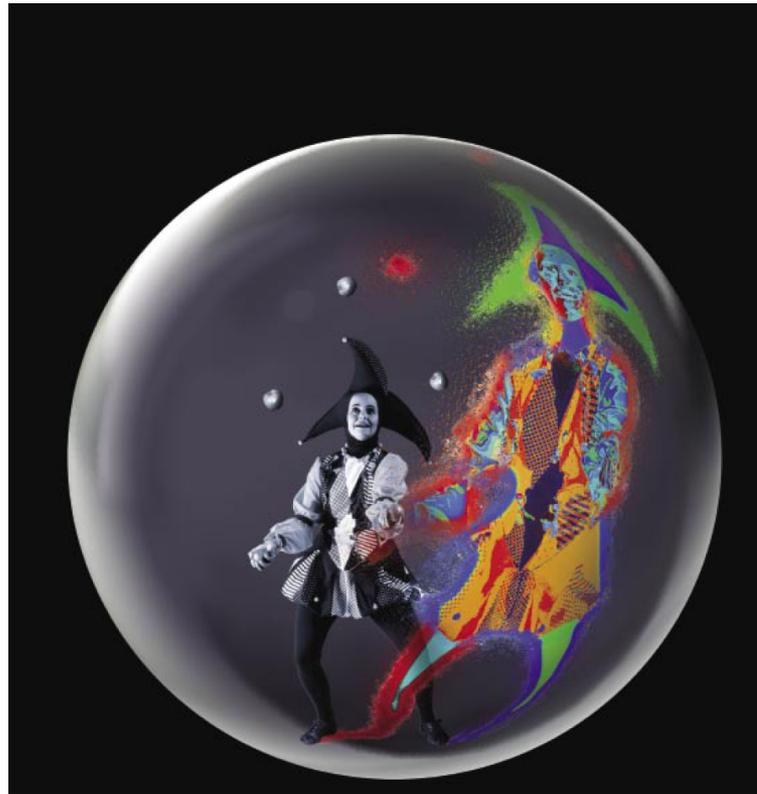
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Exact  $\theta$ -dependence in a large  $N$  Yang-Mills model from holography

# Holography

[Maldacena, 97; Witten; Gubser, Klebanov, Polyakov, 98]

Quantum field theories in  $d$ -dim equivalent to theories of gravity in  $d+1$  dim



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Quantum field theories in  $d$ -dim equivalent to theories of gravity in  $d+1$  dim

- **Hint 1:** **RG flow** in  $d$  = foliation in  $d+1$ ; extra coordinate = RG energy scale.
- **Hint 2:** quantum gravity is holographic. Area law for **black hole entropy**.
- **Hint 3:** Open/closed string duality. **Open** strings: **gauge** fields. **Closed:** **gravitons**.

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- Works as a duality: large  $N$ , strong coupling in QFT = classical gravity
- QFT operator  $\leftrightarrow$  gravity field. E.g.  $T_{\mu\nu} \leftrightarrow g_{\mu\nu}$  ;  $J_\mu \leftrightarrow A_\mu$  ;  $\text{Tr } F^2 \leftrightarrow \phi$
- QFT global symmetry  $\leftrightarrow$  Local symmetry in gravity
- QFT partition function, large  $N$ , strong coupling  $\leftrightarrow \exp[ - S_{\text{gravity}} ]$

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- One-to-one map if dual pairs are embedded in 10d string theory: **top-down** approach
- **Open strings** end on  $p+1$  dim hyperplanes, **D $p$ -branes** ( $D$  = Dirichlet bound. cond.)
- $N$  D3-branes in IR:  $N=4$  SU( $N$ ) susy Yang-Mills: a 3+1 dim conformal FT (**CFT**)
- Dual description: quantum gravity (**closed** string theory) on **Anti-de-Sitter** in 4+1.

## Witten's holographic Yang-Mills [Witten 1998]

- To describe non susy Yang-Mills in 3+1: go beyond AdS/CFT
- Start with a 5d  $SU(N_c)$  supersymmetric Yang Mills theory
- (low energy of open strings attached to  $N_c$  D4-branes)
- Compactify on circle  $S^1_{x_4}$  of radius  $R_4 = 1/M_{KK}$  with antiperiodic fermions.
- (low energy of open strings attached to  $N_c$  D4-branes wrapped on  $S^1_{x_4}$ )
- Low energy: 4d non-susy  $SU(N_c)$  Yang-Mills + adjoint KK modes
- Can add  $\theta$  term to the model, no sign problem.
- To leading order in  $\theta/N_c$  done in [Witten 1998].

## Witten's holographic Yang-Mills

- **Gravity action** (closed string description)

$$S = \frac{1}{2k_0^2} \int d^{10}x \sqrt{-g} \left[ e^{-2\phi} (\mathcal{R} + 4(\partial\phi)^2) - \frac{1}{2}|F_4|^2 - \frac{1}{2}|F_2|^2 \right]$$

- **Gauge theory action** (open string description, IR)

$$S = \frac{1}{8\pi g_s l_s M_{KK}} \int d^4x \text{Tr} F_{\mu\nu} F^{\mu\nu} - \frac{i}{32\pi^2 l_s} \int_{S_{x^4}} C_1 \int d^4x \epsilon^{\mu\nu\rho\sigma} \text{Tr} F_{\mu\nu} F_{\rho\sigma}$$

$$\boxed{F_2 = d C_1}$$

$$\lambda_4 = g_{YM}^2 N_c = 2\pi g_s l_s M_{KK} N_c$$

$$\boxed{\theta + 2\pi k = \frac{1}{l_s} \int_{S_{x^4}} C_1}$$

- **Holography**: gravity picture dual to gauge theory at  $\lambda_4 \gg 1, N_c \gg 1$

# The gravity solution

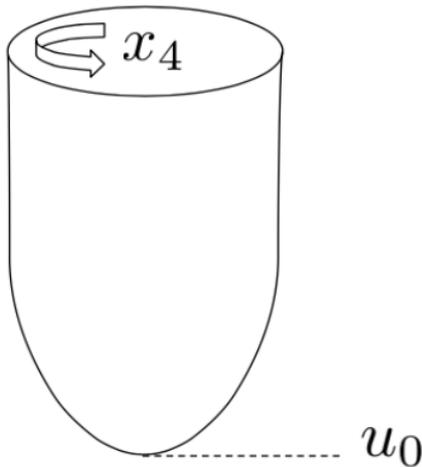
[Barbon, Pasquinucci 99; Dubovsky, Lawrence, Roberts 2011] ( $x_4 \sim x_4 + 2\pi/M_{KK}$ )

$$ds_{10}^2 = \left(\frac{u}{R}\right)^{3/2} \left[ \sqrt{H_0} dx_\mu dx^\mu + \frac{f}{\sqrt{H_0}} dx_4^2 \right] + \left(\frac{R}{u}\right)^{3/2} \sqrt{H_0} \left[ \frac{du^2}{f} + u^2 d\Omega_4^2 \right]$$

$$f = 1 - \frac{u_0^3}{u^3}, \quad H_0 = 1 - \frac{u_0^3}{u^3} \frac{\Theta^2}{1 + \Theta^2}$$

$$\Theta \equiv \frac{\lambda_4}{4\pi^2} \left( \frac{\theta + 2k\pi}{N_c} \right)$$

$$\theta + 2\pi k = \frac{1}{l_s} \int_{S_{x_4}} C_1$$



- $(u, x_4)$  subspace is a cigar
- $g_{00}(u_0) \neq 0$  (regular) : confinement
- KK modes NOT decoupled

$$u_0 = \frac{4R^3}{9} M_{KK}^2 \frac{1}{1 + \Theta^2}$$

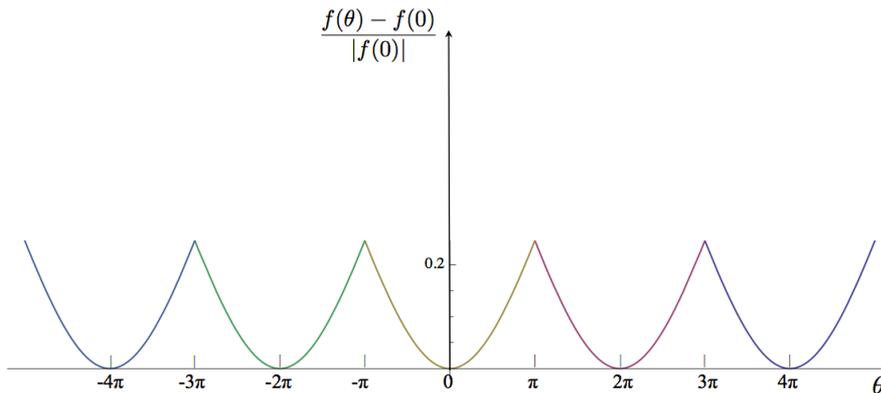
# The ground-state energy density

From holographic relation  $Z = e^{-V_4 f(\theta)} \approx e^{-S_{E \text{ on-shell}}^{\text{ren}}}$

$$f(\Theta) = -\frac{2N_c^2 \lambda_4}{37\pi^2} \frac{M_{KK}^4}{(1 + \Theta^2)^3}$$

$$\Theta \equiv \frac{\lambda_4}{4\pi^2} \left( \frac{\theta + 2k\pi}{N_c} \right)$$

$$f(\theta) = \min_k f(\Theta)$$



Expected structure explicitly realized

$$f(\theta) - f(0) = \frac{1}{2} \chi_g \theta^2 \left[ 1 + \bar{b}_2 \frac{\theta^2}{N_c^2} + \bar{b}_4 \frac{\theta^4}{N_c^4} + \mathcal{O}(\theta^6) \right]$$

$$\chi_g = \frac{\lambda_4^3 M_{KK}^4}{4(3\pi)^6} \quad \bar{b}_2 = -\frac{\lambda_4^2}{8\pi^4}, \quad \bar{b}_4 = \frac{5\lambda_4^4}{384\pi^8}$$

- Qualitative agreement with Lattice
- Prediction:  $\bar{b}_4 > 0$  (it would be nice to check it on the lattice)

## Other observables

- String tension (from rectangular Wilson loop)

$$T_s = \frac{2\lambda_4}{27\pi} M_{KK}^2 \frac{1}{(1 + \Theta^2)^2}$$

$$T_s = \frac{2\lambda_4}{27\pi} M_{KK}^2 \left( 1 - \frac{\lambda_4^2}{8\pi^4} \frac{\theta^2}{N_c^2} + \frac{3\lambda_4^4}{256\pi^8} \frac{\theta^4}{N_c^4} + \mathcal{O}(\theta^6) \right)$$

- Light scalar glueball mass

$$M(\Theta) = \frac{M(\Theta = 0)}{\sqrt{1 + \Theta^2}}$$

$$M(\theta) = M(\theta = 0) \left( 1 - \frac{\lambda_4^2}{32\pi^4} \frac{\theta^2}{N_c^2} + \frac{3\lambda_4^4}{2048\pi^8} \frac{\theta^4}{N_c^4} + \mathcal{O}(\theta^6) \right)$$

- Again: qualitative agreement with Lattice. Prediction on subleading coefficients

# Finite temperature

Two possible gravity solutions, with Euclidean time circle of length  $1/T$

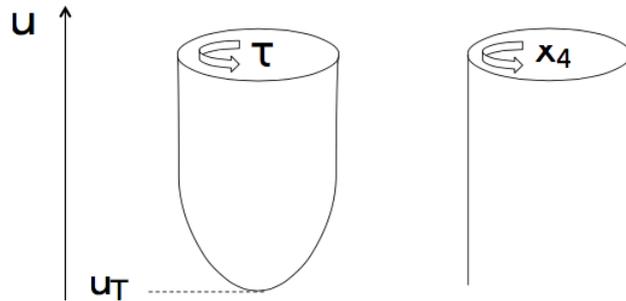
$$ds^2 = \left(\frac{u}{R}\right)^{3/2} \left[ \tilde{f}(u) dx_0^2 + dx_a dx^a + dx_4^2 \right] + \left(\frac{u}{R}\right)^{-3/2} \left[ \frac{du^2}{\tilde{f}(u)} + u^2 d\Omega_4^2 \right],$$

$$\tilde{f}(u) = 1 - \frac{u_T^3}{u^3}.$$

- black hole solution
- $g_{00}(u_T) = 0$  : deconfinement
- no theta dependence

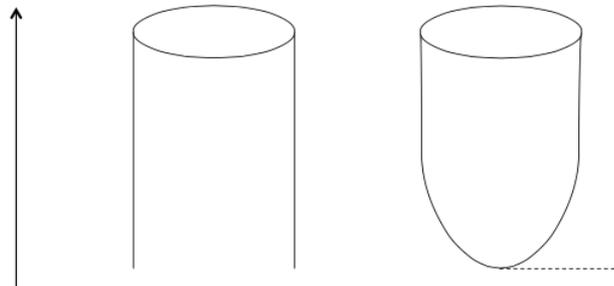
$T > T_c$

$$C_1 \sim \theta dx_4, \quad F_2 = 0 \quad [(u, x_4) : \text{cylinder}]$$



$T < T_c$

- Euclidean version of  $T=0$  one
- Theta-dependence
- Confinement



# Deconfinement temperature

Compare the free energy densities of confined and deconfined phase

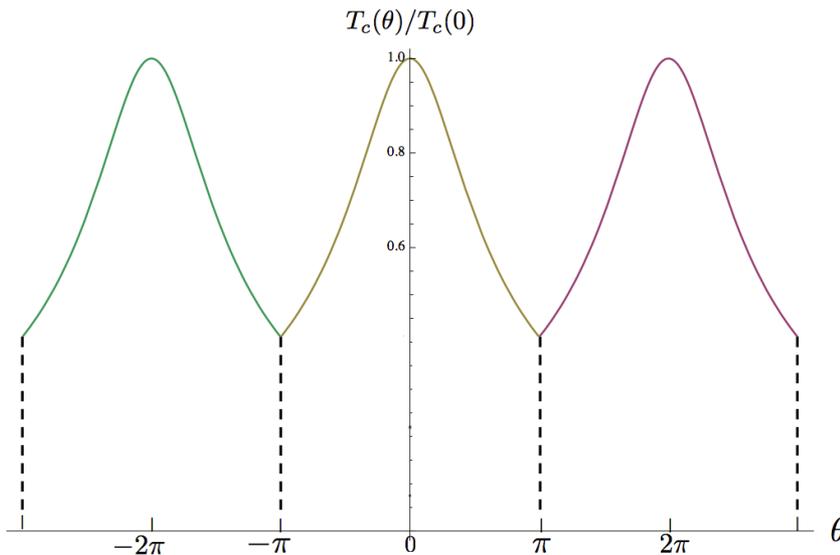
$$f = -p = -\frac{2N_c^2 \lambda_4}{3^7 \pi^2} \frac{M_{KK}^4}{(1 + \Theta^2)^3} \equiv \frac{f(0)}{(1 + \Theta^2)^3}$$

$$f_{dec} = -p_{dec} = -\frac{1}{6} \frac{256 N_c^2 \pi^4 \lambda_4}{729 M_{KK}^2} T^6$$

$$T_c(\Theta) = \frac{M_{KK}}{2\pi} \frac{1}{\sqrt{1 + \Theta^2}}$$

$$T_c(\theta) = \frac{M_{KK}}{2\pi} \left[ 1 - \frac{\lambda_4^2}{32\pi^4 N_c^2} \theta^2 + \frac{3\lambda_4^4}{2048\pi^8 N_c^4} \theta^4 + \mathcal{O}(\theta^6) \right]$$

$$T_c(\theta)_{lat} = T_c(0)_{lat} [1 - R_\theta \theta^2 + \mathcal{O}(\theta^4)] , \quad R_\theta = 0.0175(7)$$



Cusps: tri-critical points  
 Colored: deconf. first order transition  
 Dashed: CP-breaking first order transition

Phase diagram as expected

# Plan

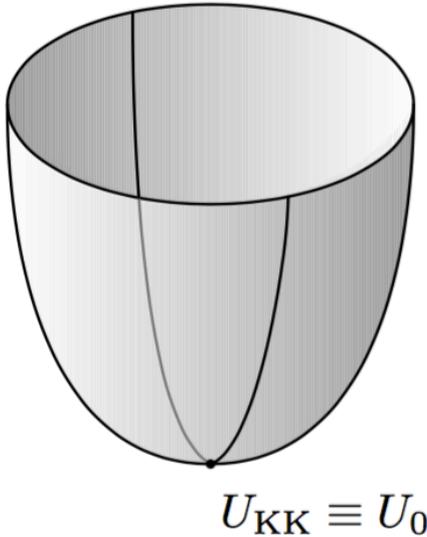
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**Work in progress**

$\theta$ -dependence in Witten's model + quarks: Witten-Sakai-Sugimoto model

Holographic computation of Neutron Electric Dipole Moment

# Theta term in Witten-Sakai-Sugimoto



**Quenched flavors:**  $N_f$  probe D8-anti-D8-branes  
 On D8-anti-D8 branes:  $U(N_f) \times U(N_f)$  gauge theory  
 This corresponds to QFT chiral symmetry  
**Chiral symm. breaking** = joining of the two branches  
 Flavors are massless

Gauge field on D8 gives meson tower:

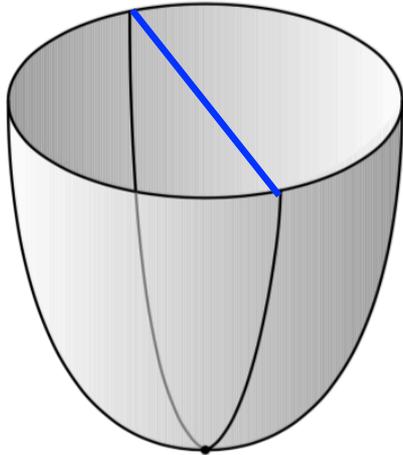
- $A_z$  : Goldstone bosons
- $A_\mu$  : (axial) vector mesons

$F_2$  mixes with  $U(1)_A$  gauge field on D8

$$\tilde{F}_{(2)} = dC_{(1)} + \text{Tr}(\mathcal{A}) \wedge \delta(y) dy \quad \delta_\Lambda C_{(1)} = \text{Tr}(\Lambda) \delta(y) dy, \quad \delta_\Lambda \mathcal{A} = i[\Lambda, \mathcal{A}] - d\Lambda$$

Corresponds to  $\theta \rightarrow \theta - 2\alpha N_f$  under  $\psi \rightarrow e^{i\alpha\gamma_5} \psi$  **No  $\theta$  dependence.**

# Mass term in Witten-Sakai-Sugimoto



$$U_{\text{KK}} \equiv U_0$$

Worldsheet instanton

[Aharony-Kutasov; Hashimoto-Hirayama-Lin\_hee 2008]

$$S_{\text{mass}} = c \int d^4x \text{Tr} \mathcal{P} \left[ M \exp \left( -i \int_{-\infty}^{\infty} \mathcal{A}_z dz \right) + \text{c.c.} \right]$$

$$c = \frac{1}{3^{9/2} \pi^3} g_{\text{YM}}^3 N_c^{3/2} M_{\text{KK}}^3 \mathcal{N}^{-1} \quad \mathcal{A} = \hat{A} \frac{\mathbb{1}}{\sqrt{2N_f}} + A^a T^a$$

- Vacuum solution:  $\int \hat{A}_z dz \sim \theta$ , for  $M = m_q \mathbf{1}$
- **Ground-state energy density** (from on-shell action)

$$F(\theta) - F(0) = N_f m_q \Sigma [1 - \cos(\theta/N_f)] \quad , \quad \Sigma = \frac{\langle \bar{\psi} \psi \rangle}{N_f}$$

- Same result as from chiral Lagrangian since

$$\mathcal{L}_{\text{mass}} \sim \Sigma \text{Tr} [e^{i\theta/N_f} M U + \text{c.c.}] \quad , \quad U \sim \exp \left( -i \int \mathcal{A}_z dz \right)$$

## Baryons in Witten-Sakai-Sugimoto

- At **large N**, baryons as **solitons** of chiral Lagrangian ( $M \approx N$ ): **Skyrmions**
- In holographic setup, much in the same way, they are **instantons on D8-branes action** [Hata,Sakai,Sugimoto,Yamato. 2007]

$$\begin{aligned}
 S_{\text{bulk+D8}} = & -\kappa \int d^4x dz \left( \frac{1}{2} h(z) \text{Tr} F_{\mu\nu} F^{\mu\nu} + k(z) \text{Tr} F_{\mu z} F^{\mu}_z \right) + \\
 & -\frac{\kappa}{2} \int d^4x dz \left( \frac{1}{2} h(z) \widehat{F}_{\mu\nu} \widehat{F}^{\mu\nu} + k(z) \widehat{F}_{\mu z} \widehat{F}^{\mu}_z \right) + \\
 & + \frac{N_c}{24\pi^2} \int \left[ \omega_5^{SU(N_f)}(A) + \frac{3}{\sqrt{2N_f}} \widehat{A} \text{Tr} F^2 + \frac{1}{2\sqrt{2N_f}} \widehat{A} \widehat{F}^2 \right]
 \end{aligned}
 \quad \kappa \approx \lambda N_c$$

- BPST-like instanton ( $N_f=2$ )

$$A_M^{\text{cl}} = -if(\xi)g\partial_M g^{-1}, \quad \widehat{A}_0^{\text{cl}} = \frac{1}{8\pi^2 a} \frac{1}{\xi^2} \left[ 1 - \frac{\rho^4}{(\rho^2 + \xi^2)^2} \right], \quad A_0^{\text{cl}} = \widehat{A}_M = 0$$

- Small size:  $\rho^2 \sim 1/\lambda$
- **Spectrum** (baryon states) from **quantization of collective coordinates**
- Quantizing  $SU(2) \times SU(2)$  global parameters **get spin and isospin**
- **Neutron: state with  $s=1/2, I=-1/2$ .**

# Baryons in Witten-Sakai-Sugimoto

Adding mass and theta term

$$S_{\text{mass}} = c \int d^4x \text{Tr} \mathcal{P} \left[ M \exp \left( -i \int_{-\infty}^{\infty} \mathcal{A}_z dz \right) + \text{c.c.} \right]$$

$$S_{\text{kin}} = -\frac{\chi g}{2} \int d^4x \left( \theta + \sqrt{\frac{N_f}{2}} \int_{-\infty}^{\infty} dz \hat{A}_z \right)^2$$

- To first order in mass, **baryon states do not receive  $O(\theta)$  corrections**
- New instanton solution to leading order in mass and theta

$$\hat{A}_z^{\text{mass}} = \frac{1}{1+z^2} u(r)$$

$$u(r) = \frac{cm_q \theta}{\kappa} \int_0^\infty dr' u_G(r, r') \left( 1 + \cos \frac{\pi}{\sqrt{1 + \rho^2/r'^2}} \right)$$

$$A_{\text{mass}}^0 = W(r, z) (\vec{x} - \vec{X}) \cdot \vec{\tau}$$

$$h(z) \left( \partial_r^2 W(r, z) + \frac{4}{r} \partial_r W(r, z) + \frac{8\rho^2}{(\xi^2 + \rho^2)^2} W(r, z) \right) + \partial_z(k(z)) \partial_z W(r, z) =$$

$$= \frac{27\pi}{\lambda} \frac{\rho^2}{(\xi^2 + \rho^2)^2} \frac{1}{r} \frac{u'(r)}{1+z^2} \equiv \mathcal{F}(r, z)$$

## NEDM in Witten-Sakai-Sugimoto

$$J_{\text{em}}^0 \sim F^{0z}|_{\text{boundary}} \quad \vec{D}_{n,s} = \int d^3x \vec{x} \langle n, s | J_{\text{em}}^0 | n, s \rangle$$

$$\vec{D}_{n,s} = \frac{8\pi}{9} \int_0^\infty dr r^4 \kappa [k(z) \partial_z W(r, z)]_{z \rightarrow -\infty}^{z \rightarrow \infty} \langle s | \vec{\sigma} | s \rangle = -\vec{D}_{p,s}$$

$$d_n = \frac{8\pi}{9} \int_0^\infty dr r^4 \kappa [k(z) \partial_z W(r, z)]_{z \rightarrow -\infty}^{z \rightarrow \infty}$$

- Fitting parameters with  $f_\pi = 92$  MeV,  $m_\pi = 135$  MeV,  $m_\rho = 776$  MeV yields

$$d_n = 0.74 \cdot 10^{-16} \theta \text{ e cm}$$

- Fitting with  $M_N = 940$  MeV instead than with  $m_\rho$  yields

$$d_n = 0.72 \cdot 10^{-16} \theta \text{ e cm}$$

- Holographic result takes into account contribution from whole meson tower

## Conclusions and perspectives

- Theta dependence in large N Yang-Mills and QCD from holography
- Qualitative agreement with Lattice Yang-Mills at small theta
- Predictions for generic theta
- Yang-Mills phase diagram shows qualitative agreement with expected one
- Also computed: entanglement entropy, 't Hooft loop (oblique confinement)
- Derived theta dependence of energy density for QCD model with massive flavors
- Computed Neutron Electric Dipole Moment.
- Also computed: CP-breaking nucleon-nucleon-pion coupling.
- Future: Consider  $m_u$ - $m_d$  corrections. Study the model with  $N_f = 2+1$  flavors

Thank you