

# DUALS OF LATTICE QCD IN THE PRESENCE OF DYNAMICAL FERMIONS

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# I. Duals of pure gauge lattice models

Dual representations and their significance:

- Map strong coupling (disordered) phase to weak coupling (ordered) phase
- Allow to establish in many cases relevant configurations responsible for phenomena like confinement, mass gap generation, etc.
- Have been used to establish many rigorous results in spin and gauge models

Conventional dual transformations can be defined as a sequence of transformations consisting of the following steps:

1. Fourier expansion of the Boltzmann weight  $\exp(H)$ . This is an essentially character expansion on the group.
2. Exact integration over original degrees of freedom. As a result one obtains a set of constraints on the summation variables (which label representations of the group  $G$  and matrix elements of  $U(x)$ ) in the character expansion.
3. Solution of the constraints in terms of new dual variables.

Other approaches: plaquette formulation, n-link action.

## LGT in $(d + 1)$ -dimensions

$\Lambda = N_t \times L^d$  periodic lattice

$$Z_\Lambda = \int \prod_l dU_l \exp \sum_{x; \mu < \nu} \beta_{\mu\nu} \operatorname{Re} \chi_f(U_p), \quad p = (x; \mu, \nu)$$

$U_p = U_\mu(x)U_\nu(x+\mu)U_\mu^\dagger(x+\nu)U_\nu^\dagger(x)$ ,  $U_l \equiv U_\mu(x) \in U(N_c), SU(N_c)$

$\chi_f(U)$  is the character of the fundamental representation and

$$\beta_{\mu\nu} = \beta \begin{cases} \xi, & \mu, \nu = 1, \dots, d, \\ \xi^{-1}, & \text{if one of } \mu, \nu = 0. \end{cases}$$

$\beta$  is a dimensionless lattice coupling in  $(d + 1)$ -dimensions

$$\beta = \frac{a_s^{d+1-4}}{g^2}, \quad \xi = a_t/a_s$$

Temperature is defined as  $T = (a_t N_t)^{-1}$ .

## Duals of abelian models

### 1. Fourier expansion

$$\prod_p \exp \beta_{\mu\nu} \cos \phi_p = \prod_p \sum_{r_p=-\infty}^{\infty} I_{r_p}(\beta_{\mu\nu}) e^{ir_p \phi_p}$$

### 2. Integration over gauge fields generates constraints on every link

$$\sum_{p \in l} \tilde{r}_p = 0, \tilde{r}_p = \pm r_p$$

3. Solution is given in terms of dual variables and depends on lattice dimension and abelian group. E.g., for  $d = 3$  and  $U(1)$  group:  $r_p = r_x - r_{x+e_n}$ , where  $x$  are centers of original cubes.

$$Z = \sum_{r_x=-\infty}^{\infty} \prod_l I_{r_x - r_{x+e_n}}(\beta_{\mu\nu})$$

## Duals of non-abelian models

1. Fourier expansion

$$\prod_p \exp \beta_{\mu\nu} \operatorname{Re} \chi_f(U_p) = \prod_p \sum_{r_p} C_{r_p}(\beta_{\mu\nu}) \chi_{r_p}(U_p)$$

2. Integration over gauge fields generates a set of non-linear constraints on every link encoded in the Clebsch-Gordan coefficients of the non-abelian group which are triangular conditions on the representations and restrictions on allowed magnetic numbers (matrix elements).

3. Summation over matrix elements can be performed explicitly, and this leads to a representation of the partition function in terms of gauge-invariant  $6j$ -symbols of the group. A solution of triangular conditions remains unknown.

$$Z = \sum_{r_p, r_l} \prod_p C_{r_p}(\beta_{\mu\nu}) \prod_x (6j \text{ links}) \prod_c (6j \text{ cubes})$$

## II. Duals in the strong coupling limit

The Boltzmann weight for fermions

$$B_F[U_l; \bar{\psi}_f^i(x), \psi_f^i(x)] = \prod_{f=1}^{N_f} \exp [S_F + S_m] ,$$

where the Kogut-Susskind action is used.  $S_m$  is the massive term

$$S_m = \frac{m_f}{d+1} \bar{\psi}_f(x) \psi_f(x)$$

and  $S_F$  describes interaction (colour indices are suppressed)

$$S_F = \gamma \frac{\eta_\nu(x)}{2} \left( y_\nu a(l) U_\nu(x) + y_\nu^{-1} b(l) U_\nu^\dagger(x) \right)$$

$$a(l) = \bar{\psi}_f(x) \psi_f(x + e_\nu) , \quad b(l) = \psi_f(x) \bar{\psi}_f(x + e_\nu) .$$

$m_f$  is a mass of the fermion field,  $N_f$  is the number of flavours and

$$\eta_0(x) = \xi^{-1} ; \quad \eta_\nu(x) = (-1)^{x_0+x_1+\dots+x_{\nu-1}} , \quad \nu \neq 0 .$$

The chemical potential  $\mu$  is introduced via  $y_\nu$

$$y_\nu = \begin{cases} \exp(\mu), & \nu = 0 , \\ 1, & \nu = 1, \dots, d . \end{cases}$$

## Integration over fermions and gauge fields

$$\int \prod_l B_F[U_l; \overline{\psi}_f^i(x), \psi_f^i(x)] \prod_x \prod_{f,i} d\overline{\psi}_f^i(x) d\psi_f^i(x) \prod_l dU_l$$

produces what is called sometime dual of the strong coupling QCD. For  $N_f = 1$

$$Z = \sum_{\{k(l)\}=0}^{N_c} \sum_{\{n(l)\}=0}^{N_c} \sum_{\{s(x)\}=0}^{N_c} \prod_l \left[ \gamma \frac{\eta_\nu(x)}{2} \right]^{k(l)+n(l)} \prod_l [y_\nu]^{k(l)-n(l)} \prod_x m^{s(x)} Q[k(l), n(l), s(x); N_c]$$

A set of constraints generated by integration

$$k_l - n_l = 0 \pmod{N_c}, \text{ for } SU(N_c); k_l - n_l = 0, \text{ for } U(N_c)$$

$$s(x) + k(x) = N_c, s(x) + n(x) = N_c; k(x) = \sum_{\nu=1}^d [k_\nu(x) + n_\nu(x - e_\nu)]$$

defines monomer-dimer model for  $U(N_c)$  and monomer-dimer-closed baryon loop model for  $SU(N_c)$  LGT.



### III. Full QCD and its dual: problems and motivations

$$Z_\Lambda = \int \exp \sum_{x; \mu < \nu} \beta_{\mu\nu} \operatorname{Re} \chi_f(U_p)$$

$$\prod_l B_F[U_l; \overline{\psi}_f^i(x), \psi_f^i(x)] \prod_x \prod_{f,i} d\overline{\psi}_f^i(x) d\psi_f^i(x) \prod_l dU_l$$

Formally, the dual of a full QCD is given by

$$Z = \sum_{\{r(p)\}} \sum_{\{k(l)\}=0}^{N_c} \sum_{\{n(l)\}=0}^{N_c} \sum_{\{s(x)\}=0}^{N_c} \prod_p d(r(p)) C[r(p)]$$

$$\prod_l \left[ \gamma \frac{\eta_\nu(x)}{2} \right]^{k(l)+n(l)} \prod_l [y_\nu]^{k(l)-n(l)} \prod_x m^{s(x)} Q[r(p); k(l), n(l), s(x)] ,$$

$$Q[r; k, n, s] = \sum_{i_1 \dots i_{2k}} \sum_{j_1 \dots j_{2n}} F_{j_1 \dots j_{2n}}^{i_1 \dots i_{2k}} [k, n, s] \equiv_{j_1 \dots j_{2n}}^{i_1 \dots i_{2k}} [r] .$$

$F_{j_1 \dots j_{2n}}^{i_1 \dots i_{2k}} [k, n, s] = F$  is the integral over fermion fields

$$F = \int \prod_x \prod_{i=1}^{N_c} d\psi^i(x) d\bar{\psi}^i(x) \prod_x (\sigma(x))^{s(x)} \prod_l [a^{i_1 i_2}(l)]^{k(l)} [b^{j_1 j_2}(l)]^{n(l)}$$

and  $\Xi_{j_1 \dots j_{2n}}^{i_1 \dots i_{2k}} [r] = \Xi$  is the integral over gauge fields

$$\Xi = \int \prod_l dU_l \prod_p \chi_{r(p)}(U_p) \prod_l [U_l^{i_1 i_2}]^{k(l)} [U_l^{j_1 j_2, \dagger}]^{n(l)} .$$

Convention is

$$[P^{i_1 i_2}]^k = P^{i_1 i_2} P^{i_3 i_4} \dots P^{i_{2k-1} i_{2k}} .$$

Rewriting the character as a sum over matrix elements one obtains

$$\Xi = \left( \prod_p \sum_{m_i} \right) \prod_l G(l) , \quad i = 1, \dots, 4 ,$$

where the one-link integral is given by

$$G(l) = \int dU U_{r_1}^{m_1 n_1} \dots U_{r_{2(d-1)}}^{m_{2(d-1)} n_{2(d-1)}} \dagger [U^{i_1 i_2}]^{k(l)} [U^{j_1 j_2, \dagger}]^{n(l)} .$$

- Can one perform summations over all matrix (colour) indices locally and express partition function in terms of gauge invariant objects? Equivalently: is a dual representation a theory with a local interaction between gauge invariant objects?
- Can one replace, and if yes - how, the complicated  $6j$ -symbols with something more manageable? Equivalently: can a dual representation be really useful?
- In case of positive answers to above questions: what kind of non-perturbative physics can be studied using dual form of QCD?
- Sign problem at  $\mu \neq 0$ : can it be made more treatable or even solved within some version of the dual formulation?

## IV. Dual transformations for $U(N)$ LGT

The main idea is to replace the conventional character expansion of the Boltzmann weight with the Taylor expansion

$$\exp \beta \operatorname{Re} \chi_f(U_p) = \sum_{r=0}^{\infty} \frac{\beta^r}{r!} (\operatorname{Re} \chi_f(U_p))^r$$

All previous formulae remain valid but one link integral becomes

$$G(l) = \int dU U^{m_1 n_1} \dots U^{m_r n_r \dagger} [U^{i_1 i_2}]^{k(l)} [U^{j_1 j_2, \dagger}]^{n(l)} .$$

Only matrices in fundamental representation appear in the integrand.

$$\int dU \prod_{k=1}^{r_1} U^{i_k j_k} \prod_{n=1}^{r_2} U^{m_n l_n^*} = \delta_{r_1, r_2} \sum_{\tau, \sigma \in S_r} W g^N(\tau^{-1} \sigma) \prod_{k=1}^r \delta_{i_k, m_{\sigma(k)}} \delta_{j_k, l_{\tau(k)}} .$$

$S_r$  - group of permutations of  $r$  elements.  $W g^N(\sigma)$  - Weingarten function which depends only on the length of cycles of  $\sigma$ .

$$Wg^N(\sigma) = \frac{1}{r!} \sum_{\lambda} \frac{d(\lambda)}{J_{\lambda}^1(1^N)} \cdot \chi_{\lambda}(\sigma)$$

$d(\lambda), \chi_{\lambda}(\sigma)$  - dimension and character of  $S_r$ .

### Example: Simple integral

$$\int dU (\text{Tr}U)^s (\text{Tr}U^*)^q = \delta_{s,q} \sum_{\lambda} d^2(\lambda) .$$

### Example: 2D model in the large volume limit

$$Z = [z_0]^{Np} , \quad z_0 = \det I_{i-j}(\beta), \quad 1 \leq i, j \leq N .$$

$$z_0 = \sum_{k=0}^{\infty} \frac{(\frac{\beta}{2})^{2k}}{(k!)^2} \sum_{\lambda} d^2(\lambda), \quad \lambda_1 \geq \dots \geq \lambda_N, \quad \sum_{i=1}^N \lambda_i = k$$

### Example: One-link integral in the strong coupling limit

$$\sum_{k=0}^N (\eta_{\nu}/2)^{2k} \frac{1}{(k!)^2} (\sigma_x \sigma_{x+e_{\nu}})^k \sum_{\tau \in S_k} Wg^N(\tau)$$

## Application of the Weingarten formula

1. Unlike  $nj$ -symbols of  $U(N)$ , properties of  $Wg^N(\sigma)$  (general form, recurrence relations, asymptotics) are known.
2. In abelian case one recovers the conventional dual model.
3. The constraint  $r_1 = r_2$  is essentially abelian one. One solves the constraint by introducing genuine dual variables, like in  $U(1)$  model. No other constraints are generated.
4. For  $U(N)$ , in any dimension, summation over group (matrix) indices is factorized in every lattice site and can be done locally. Dual theory is a theory with only local interaction.
5. Last property holds also in the presence of fermions.

# Partition function of pure gauge $U(N)$ theory

## 3D model

$$Z_\Lambda = \sum_{r_x, k_l} \prod_l \frac{\beta^{2k_l + |r_x - r_{x+e_n}|}}{k_l! (k_l + |r_x - r_{x+e_n}|)!}$$
$$\sum_{\tau_p, \sigma_p} \prod_p W g^N(\tau_p^{-1} \sigma_p) \prod_c (\text{group factor})$$

group factor = symmetric function  $P_\mu(1) = N^{|\mu|}$

$|\mu|$  - the number of cycles in combined permutations  $\sigma_p, \tau_p, p \in c$ .

## 4D model

$$Z_\Lambda = \sum_{r_l, k_p} \prod_p \frac{\beta^{2k_p + |r_{l_1} + r_{l_2} - r_{l_3} - r_{l_4}|}}{k_p! (k_p + |r_{l_1} + r_{l_2} - r_{l_3} - r_{l_4}|)!}$$
$$\sum_{\tau_c, \sigma_c} \prod_c W g^N(\tau_c^{-1} \sigma_c) \prod_h (\text{group factor})$$

## 2D $U(N)$ LGT with fermions

Partition function of two-dimensional  $U(N)$  LGT with one flavor of the Kogut-Susskind fermions at finite temperature and non-zero chemical potential takes the form

$$Z = \sum_{\{r(p)\}=-\infty}^{\infty} \sum_{\{t(p)\}=0}^{\infty} \sum_{\{k(l)\}=0}^{N_c} \sum_{\{n(l)\}=0}^{N_c} \sum_{\{s(x)\}=0}^{N_c} \sum_{\{\tau_l, \sigma_l\} \in S_z(l)}$$

$$\prod_p \frac{(\beta/2)^{2t(p)+|r(p)|}}{t_p!(t_p + |r(p)|)!} \prod_l \left[ \frac{\eta_\nu(x)}{2} \right]^{k(l)+n(l)} [y_\nu]^{k(l)-n(l)} W g^N(\tau_l^{-1} \sigma_l)$$

$$\prod_x m^{s(x)} \times (\text{constraints}) \times \prod_x (\text{group factor}).$$



- Gauge (fermion) integration produces constraint on every link (site)

$$\prod_l \delta \left( r(p) - r(p') + k(l) - n(l) \right) \quad p, p' \text{ have common link } l,$$

$$\prod_x \delta \left( s(x) + k(x) - N \right) \delta \left( s(x) + n(x) - N \right) ,$$

$$k(x) = \sum_{\nu=1}^d [k_\nu(x) + n_\nu(x - e_\nu)] , n(x) = \sum_{\nu=1}^d [n_\nu(x) + k_\nu(x - e_\nu)] .$$

- Permutation group  $S_z(l)$  is fixed by

$$z(l) = t(p) + t(p') + \frac{1}{2} \left( |r(p)| + r(p) + |r(p')| - r(p') \right) + k(l) .$$

- Group factor arises after summation over all matrix (colour) indices

$$\text{Group factor} = N^{|\mu|}$$

with  $|\mu|$  - the number of cycles in combined permutations  $\sigma_l, \tau_l, l \in x$ .

## V. Problems and Perspectives

- LGT at large  $N$ : large  $N$  asymptotics of Weingarten function is known.
- Confinement problem and mass gap generation: expectation values.
- Group factor in the theory with dynamical fermions.
- Dual weight with non-zero chemical potential.
- Extension to  $SU(N)$  models. One link integrals include terms proportional to unit anti-symmetric tensor on the group (= baryon states).
- Possibility of numerical simulations.