

# Observation of the rare decay $B_s^0 \rightarrow \mu^+ \mu^-$ and search for $B^0 \rightarrow \mu^+ \mu^-$ with the CMS experiment

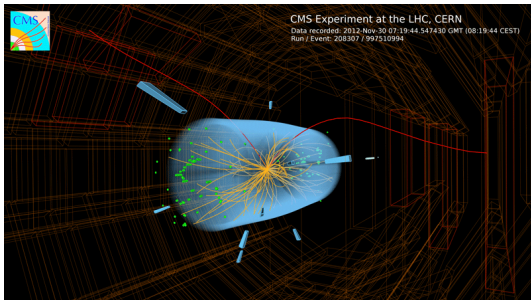
Università degli studi di Siena

Ph.D. thesis in Experimental Physics defended on December the 4th 2013

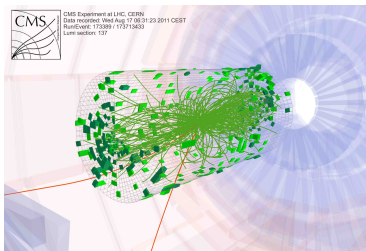
**Luca Martini**

Supervisor Dott. **Fabrizio Palla**

Tutor Dott.sa **Maria Agnese Ciocci**



- ① The  $B_s^0 \rightarrow \mu^+ \mu^-$  and  $B^0 \rightarrow \mu^+ \mu^-$  decays are among the most sensitive channels for the indirect search of new physics
  - ② CMS tracker and muon detectors allow very clean identification and high reconstruction efficiency for muons
  - ③ LHC during 2011 and 2012 produced large samples of  $B_s^0$  and  $B^0$  mesons
- **In this thesis I presented**
    - ① the first measurement of  $\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)$
    - ② the most recent upper limit on  $\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)$
- Performed with the CMS experiment using the full 2011 and 2012 data



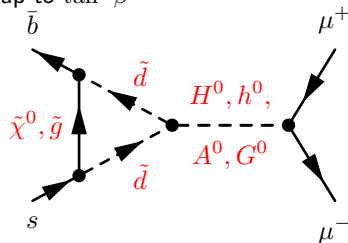
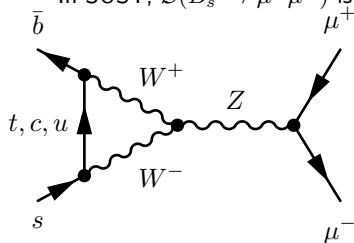
- $B_{(s)}^0 \rightarrow \mu^+ \mu^-$  decays are forbidden at tree level in the SM
  - FCNC, proceed through penguin and box diagrams, helicity suppressed by  $(m_\mu/m_B)^2$ , CKM suppressed
- Among the simplest and cleanest decays to evaluate [arXiv:1311.0903v3]

$$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)_{SM} = (3.66 \pm 0.23) \times 10^{-9}$$

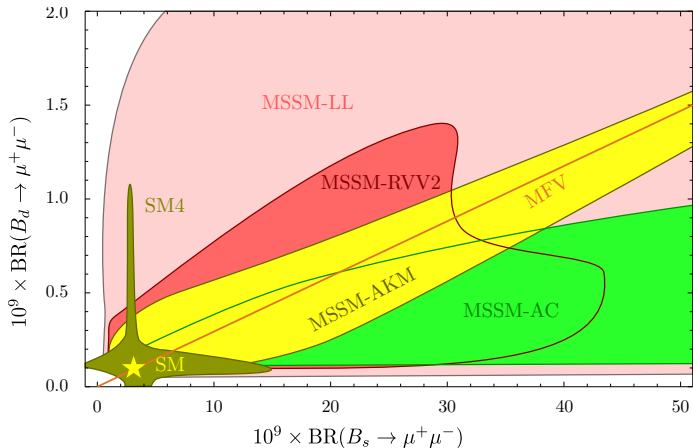
$$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)_{SM} = (1.06 \pm 0.09) \times 10^{-10}$$

- Small values and small uncertainties (dominated by CKM and  $f_B$ ), very sensitive to NP contributions

- In SUSY,  $\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)$  is sensitive up to  $\tan^6 \beta$

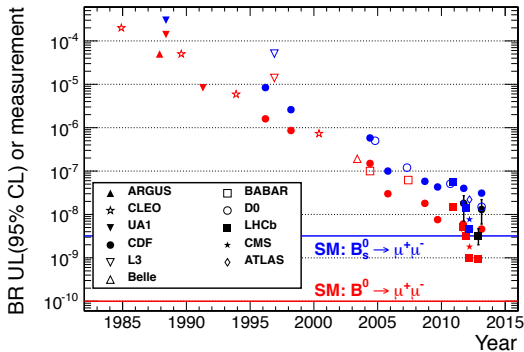


- Different SUSY models allow different BF values

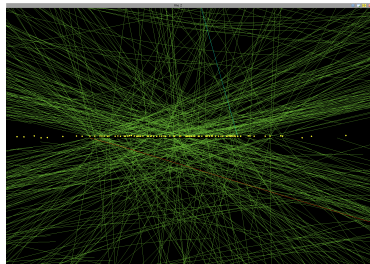
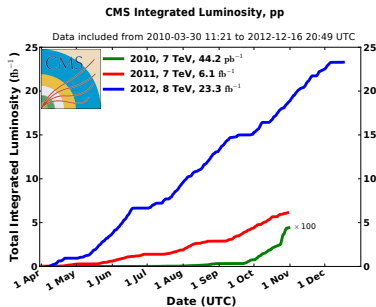


- It is crucial to measure **both**  $\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)$  and  $\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)$

- Many HEP collaborations have published results on the search for  $B_s^0 \rightarrow \mu^+ \mu^-$  or  $B^0 \rightarrow \mu^+ \mu^-$  decays
  - Over the past 30 years, significant progress has been made
- Limiting factor is acquired statistics

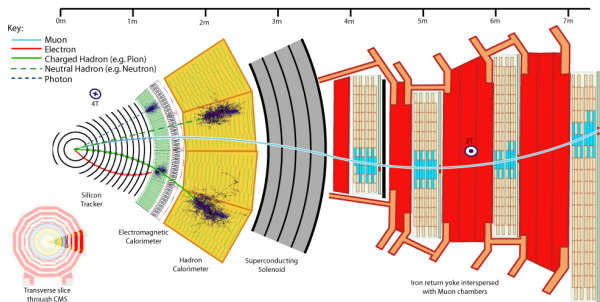


- Proton-Proton collisions,  $\sqrt{s} = 7$  TeV in 2011 and 8 TeV in 2012
- LHC delivered an even-increasing instantaneous luminosity

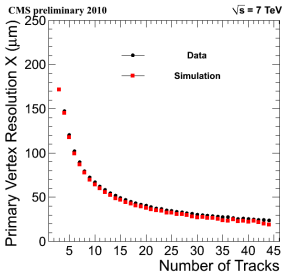
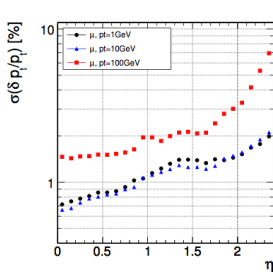
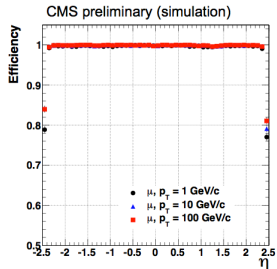


- At the cost of a challenging pile-up:  $\langle \mu \rangle_{2011} = 8$  PV,  $\langle \mu \rangle_{2012} = 21$  PV
- pile-up (PU) is the number of primary vertices per bunch crossing

- Cylinder detector with a barrel and two endcap regions covering the region  $|\eta| < 5$
- 3.8 T superconducting solenoid
- Inner full silicon tracker to reconstruct charged particle trajectories and vertices  $|\eta| < 2.5$
- Muon detectors to identify and reconstruct muons with  $p_T \approx 4 \text{ GeV} - 1 \text{ TeV}$  range
- Electromagnetic and Hadronic calorimeters for energy measurements

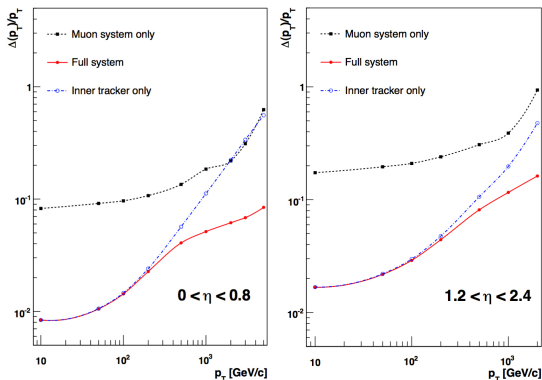


- High efficiency and resolution for track and vertex reconstructions



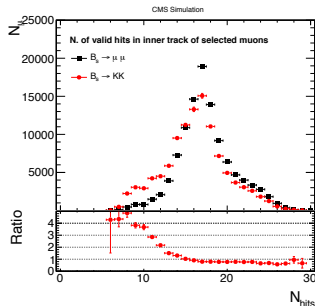
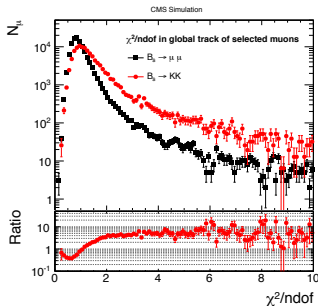


- Two reconstruction algorithms
  - Inside-out from tracker tracks extrapolating to muon system (“Tracker Muon”)
  - Outside-in from muon tracks extrapolating to the tracker system (“Global Muon”)

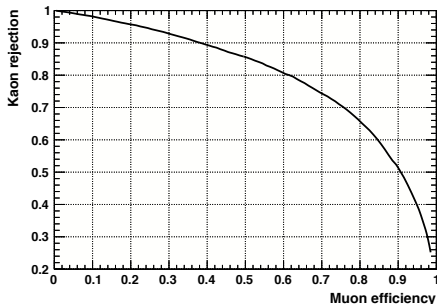


- For  $B_{(s)}^0$  low  $p_T$  muons, the tracker drives the resolution

- Rare backgrounds depend heavily on the “muon misidentification”
- **Kaon, pion and proton tracks wrongly identified as muons due to punch-through and decays-in-flight**
- Starting from well reconstructed CMS “tight” muons, improve the separation with a multivariate analysis, a Boosted Decision Tree (BDT)
- Tracker and muon track parameters used to separate true muons (from  $B_s^0 \rightarrow \mu^+ \mu^-$ ) against fake muons (from  $B_s^0 \rightarrow K^+ K^-$ )
- Examples of input parameters: **Global track fit  $\chi^2$ , Tracker valid hits**



- Improvement of 50% fake rejection against a 90% muon efficiency

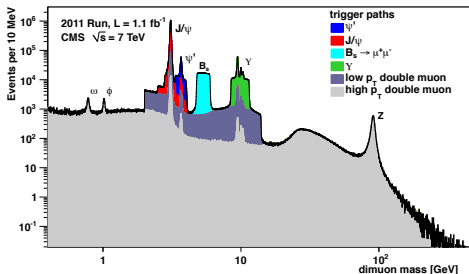


Hadron	Misid. ( $\times 10^{-3}$ )
$\pi$	0.5–1.3
$K$	0.8–2.2
$p$	0.4–1.5

- Misidentification around 1‰
- With an uncertainty of 50%

- Muon efficiencies  $\mu$  measured on data with  $J/\psi \rightarrow \mu^+ \mu^-$  with data-driven methods (*Tag & Probe*)
- Muon misidentification validated on data with:
  - $D^{*+} \rightarrow D^0 \pi^+ \rightarrow K^- \pi^+ \pi^+$  for kaon and pions
  - $B_s^0 \rightarrow J/\psi \phi$ ,  $B^\pm \rightarrow J/\psi K^\pm$  for kaons
  - $\Lambda^0 \rightarrow p \pi^-$  for protons

- CMS uses a two-level trigger to save only the most interesting events from the LHC bunch crossing rate (40 MHz)
    - ① L1 hardware algorithms (Maximum rate < 100 kHz)
    - ② HLT software algorithms (Maximum rate  $\lesssim$  500 Hz)
  - **Designed, validated and maintained optimal trigger paths**
    - ①  $B_s^0$  L1 paths: 2 muons with  $p_T > 3$  GeV and  $|\eta| < 2.2$
    - ②  $B_s^0$  HLT paths: requests on **muon and dimuon  $p_T$  and  $|\eta|$ , invariant mass, vertex probability and decay length**
- High efficient triggers with rates of few Hz

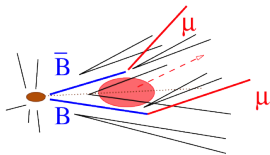


- Given the expected CMS sensitivity, results are given in two ways
  - $\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)$ : counting experiment in the  $B^0$  dimuon mass window
  - $\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)$ : unbinned maximum likelihood fit to the full dimuon mass
- Results extracted using a normalization to  $B^\pm \rightarrow J/\psi K^\pm \rightarrow \mu^+ \mu^- K^\pm$ 
  - to avoid uncertainties on the  $b\bar{b}$  production cross-section and luminosities
  - to cancel at first order many systematic errors

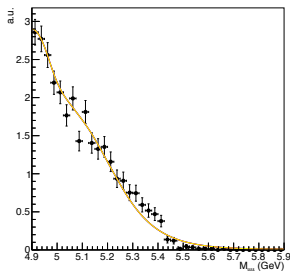
$$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-) = \frac{N_{\text{obs}}^{B_s^0}}{N_{\text{obs}}^{B^\pm}} \times \frac{\epsilon_{B^\pm}}{\epsilon_{B_s^0}} \times \frac{f_u}{f_s} \times \mathcal{B}(B^\pm \rightarrow J/\psi K^\pm) \times \mathcal{B}(J/\psi \rightarrow \mu^+ \mu^-)$$

- Yield ratio — Efficiency ratio — Production ratio
- A **blind analysis**: All selections are fixed without using events from the signal region to avoid biases
- $B_s^0$  signal distributions validated on data using  $B_s^0 \rightarrow J/\psi \phi \rightarrow \mu^+ \mu^- K^+ K^-$

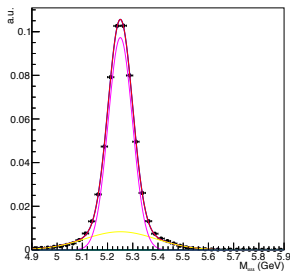
- ① **Combinatorial**, formed by muons coming from  $b$  or  $c$  weak decays,  $q \rightarrow X\mu\bar{\nu}$ . **Studied on side-bands**
- ② **Rare semileptonic** like  $B_s^0 \rightarrow K^- \mu^+ \nu_\mu$ , where a hadron is misidentified as a muon. **Studied on data and MC**
- ③ **Rare peaking** like  $B_s^0 \rightarrow K^+ K^-$ , where both hadrons are misidentified as muons. **Studied on data and MC**
- ④ **Cross-feeding** between  $B_s^0 \rightarrow \mu^+ \mu^-$  and  $B^0 \rightarrow \mu^+ \mu^-$  due to the detector resolution. **Studied on MC**



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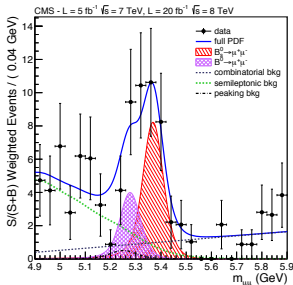


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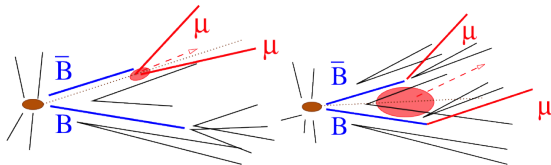




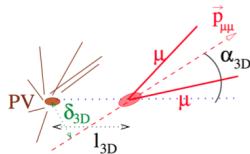
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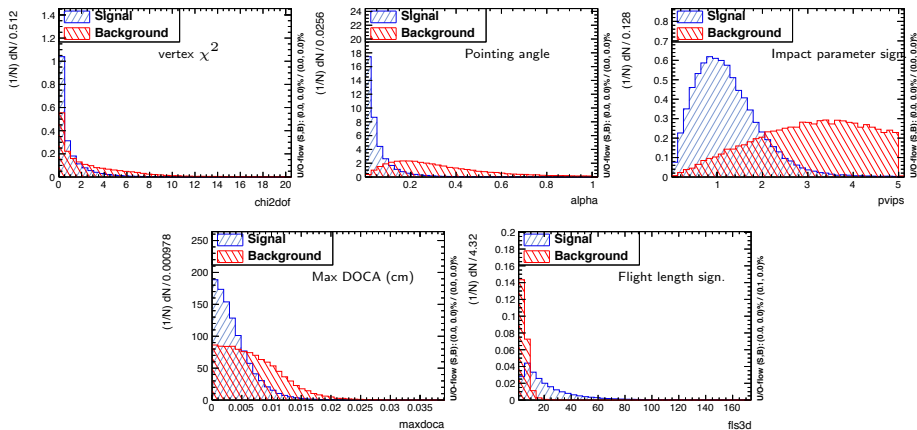
- Combinatorial background is made by combining two uncorrelated muons
  - their secondary vertex is badly reconstructed
  - the candidate is not compatible with coming from an existing PV
  - there are other particles around them (not isolated)



- Variables related to the Secondary Vertex
  - vertex  $\chi^2$
  - pointing angle  $\alpha_{3D}$
  - 3D impact parameter  $\delta_{3D}$
  - distance of closest approach between the 2 muons  $d_{ca}^{max}$
  - decay length  $\ell_{3D}$



- After a loose preselection the variables are given to a BDT training:
  - **Signal** =  $B_s^0 \rightarrow \mu^+ \mu^-$  MC; **Background** = data side-bands
- Large separation between signal and combinatorial background:



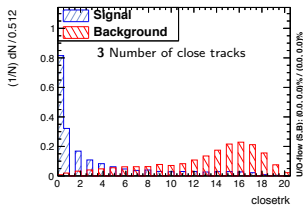
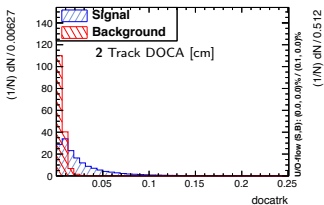
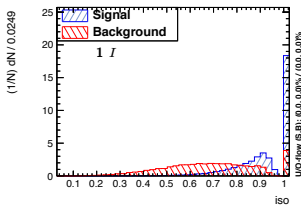
- Separate the signal, which is an isolated candidate, from background events containing tracks from jets

1 Sum over all tracks in a cone around the  $B_{(s)}^0$  or around the two muons:

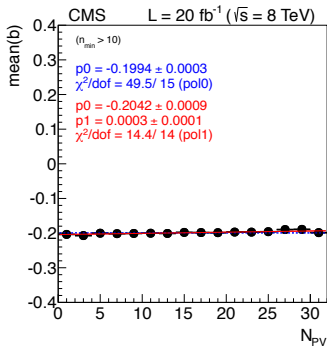
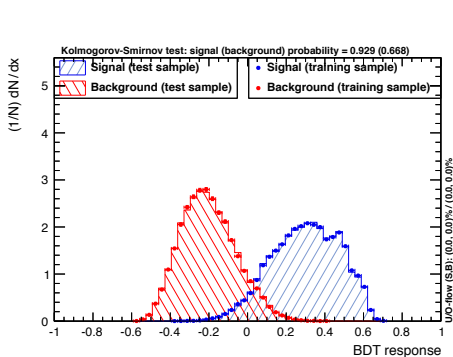
$$I = \frac{p_T(B)}{p_T(B) + \sum_{\text{trk}} p_T}$$

2 Minimum  $d_{ca}^0$  of tracks

3 Number of close tracks

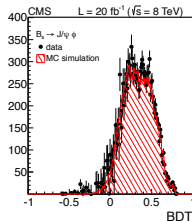
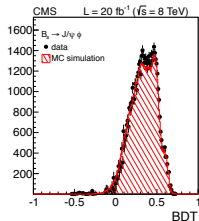
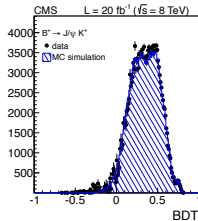
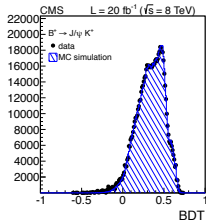


- This is a discovery analysis, figure of merit is the significance:  $\frac{S}{\sqrt{S+B}}$



- No systematic effect nor dependence observed on pile-up and mass**
- Systematic uncertainty taken from the difference of efficiency between data and MC in the **normalization** and **control** samples

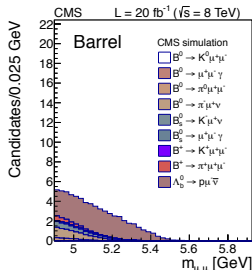
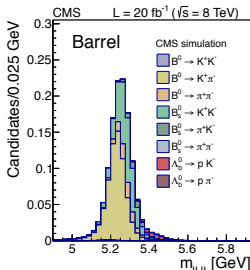
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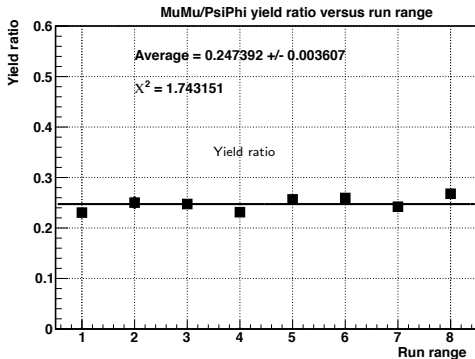
- Rare background normalized to the normalization channel  $B^\pm \rightarrow J/\psi K^\pm$ :

$$N(X) = \frac{\mathcal{B}(X)}{\mathcal{B}(B^\pm \rightarrow J/\psi K^\pm) \times \mathcal{B}(J/\psi \rightarrow \mu^+ \mu^-)} \frac{f_X}{f_u} \frac{\epsilon_X^{\text{ana}}}{\epsilon_{B^\pm}^{\text{ana}}} N_{\text{obs}}^{B^\pm}$$

- Include peaking and semileptonic decays from  $B_s^0$ ,  $B^0$ ,  $\Lambda_b^0$ 
  - Uncertainties enter the likelihood as nuisance parameters

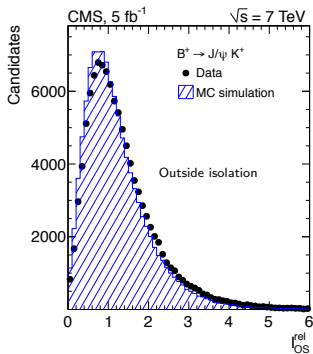
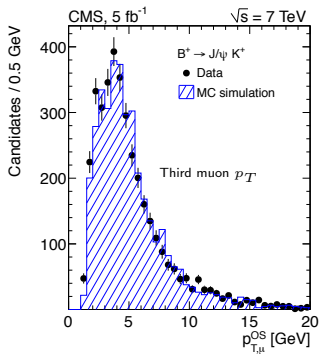


- 1 Yield ratios are stable versus time
- 2 Production mechanisms, which could change acceptance and isolation, are in the right mixture in MC
- 3 Mass scale and resolution studied on data with  $J/\psi$ ,  $\psi(2S)$ ,  $\Upsilon(nS)$

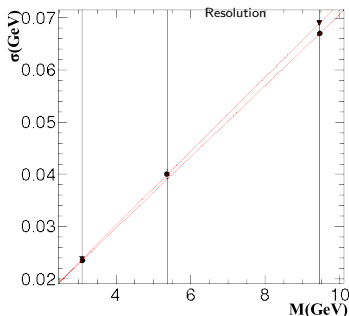




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- $f_s/f_u$  is taken from LHCb\*, in a different  $\eta p_T$  phase space
  - Measure  $B^\pm \rightarrow J/\psi K^\pm / B_s^0 \rightarrow J/\psi \phi$  in  $p_T$  and  $\eta$  bins
  - Results are consistent with flat
  - A further conservative systematic uncertainty of 5% is assigned
- Systematic summary table:

Category	Barrel (%)	Endcap (%)
$f_s/f_u$ : production ratio of $u$ and $s$ quarks	8.0	8.0
acceptance: production processes	3.5	5.0
mass scale and resolution	5.0	5.0
efficiency (signal): data/MC simulation	9.5 - 3.3	7.9 - 2.3
efficiency (normalization): data/MC simulation	0.5 - 2.3	0.5 - 1.1
efficiency (normalization): kaon track efficiency	4.0	4.0
efficiency trigger	3.0	3.0
efficiency muon identification	2.0	2.0
normalization: fit on the pdf	5.0	5.0

\*JHEP 1304 (2013)

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- Simultaneously fit in each data category to extract both  $\mathcal{B}(B_{(s)}^0 \rightarrow \mu^+ \mu^-)$
- Extended likelihood is formed by 5 contributions:

$$L = N_{B_s^0} F_{B_s^0} + N_{B^0} F_{B^0} + N_{\text{comb}} F_{\text{comb}} + N_{\text{peak}} F_{\text{peak}} + N_{\text{semi}} F_{\text{semi}}$$

where

- $N_i$  is the yield
- $F_i$  is the corresponding Probability Distribution Function (pdf)
- The branching fraction is

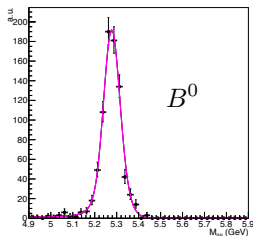
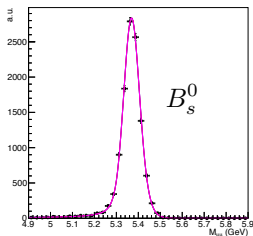
$$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-) = N_{B_s^0} \times K_{B_s^0}$$

where

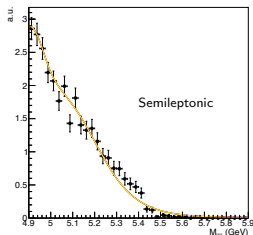
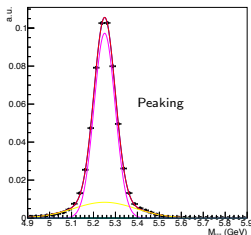
$$K_{B_s^0} = (N_{B^\pm}) \left( \frac{f_s}{f_u} \right) \left( \frac{\epsilon_{B_s^0}}{\epsilon_{B^\pm}} \right) \left( \frac{1}{\mathcal{B}(B^\pm \rightarrow J/\psi K^\pm) \times \mathcal{B}(J/\psi \rightarrow \mu^+ \mu^-)} \right)$$

- Systematic uncertainties added as Gaussian nuisance parameters

- Crystal Ball pdf
- Gaussian core portion and a power-law low-end tail, below a certain threshold
- Takes into account muon energy losses
- Width taken directly from the event mass error
  - Barrel width  $\approx 50$  MeV
  - Endcap width  $\approx 80$  MeV



- Combinatorial pdf = floating first order polynomial
  - Shape studied on SB and on **non BDT muon** data sample
- Peaking pdf = Crystal Ball + Gaussian, which takes into account:
  - 1 Spread due to muon wrong mass assignment to kaons, pions, protons
  - 2 Spread due to different mass values from  $B_s^0$ ,  $B^0$ ,  $\Lambda_b^0$
- Semileptonic pdf described with the Gaussian kernel method
  - pdf is the sum of  $n$  Gaussians where  $n$  is the number of events



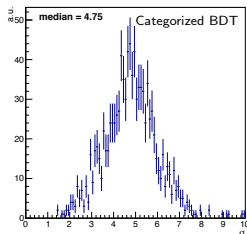
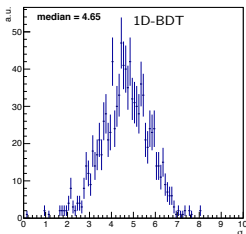
- Invariant mass distributions are sub-divided in bins of the BDT distribution
- Binning chosen to have the same event yield in each bin
- Total likelihood is the product of all independent categories  $L_i$  and of all constraints  $L_i^{\text{constr}}$

$$L_{\text{tot}} = \prod_{i=0}^{11} L_i L_i^{\text{constr}}$$

- Final likelihood studied with MC toy experiments
  - Show no significant bias on the BF evaluation

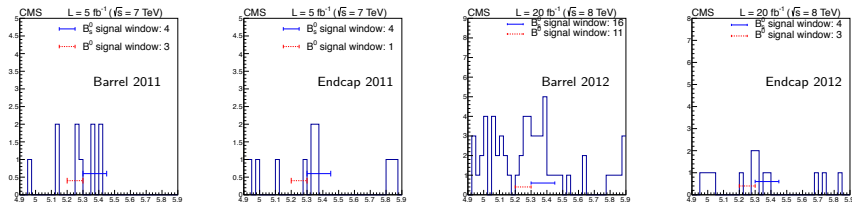


- **Null Hypothesis**  $\mathcal{L}_0: \mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-) = 0$ .
- **Alternative Hypothesis**  $\mathcal{L}_1: \mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)$  is let floating.
- The significance is evaluated with the profile likelihood ratio test



- Expected significance higher for the Categorized BDT  $\rightarrow$  chosen method

- After the final choice of all selections, the analysis is “unblinded”
- Results on the searches can be extracted



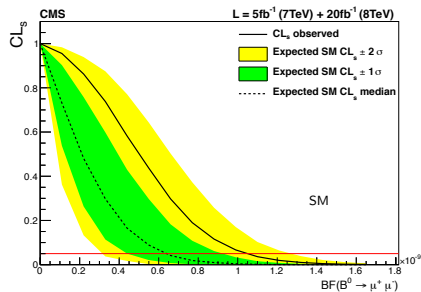
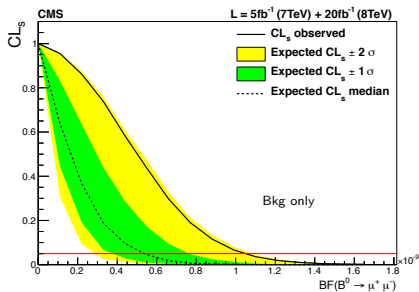
Category	$N_{B^0}^{\text{exp}}$	$N_{B^0}^{\text{obs}}$	$N_{B_s}^{\text{exp}}$	$N_{B_s}^{\text{obs}}$	$N_{B^\pm}^{\text{obs}}$
Barrel 2011	$1.3 \pm 0.8$	3	$3.6 \pm 0.6$	4	$(71.2 \pm 4.1) \times 10^3$
Endcap 2011	$1.5 \pm 0.6$	1	$2.6 \pm 0.5$	4	$(21.4 \pm 1.1) \times 10^3$
Barrel 2012	$7.9 \pm 3.0$	11	$17.9 \pm 2.8$	16	$(309 \pm 16) \times 10^3$
Endcap 2012	$2.2 \pm 0.8$	3	$5.1 \pm 0.7$	4	$(69.3 \pm 3.5) \times 10^3$

# $\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)$ upper limit

Binned results

- Not significant excess in the  $B^0 \rightarrow \mu^+ \mu^-$  mass window
- But more than expected events, corresponding to  $1.9 \sigma$

$$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) \leq 1.1 \times 10^{-9} \quad \text{at 95\% CL}$$



# $\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)$ branching fraction

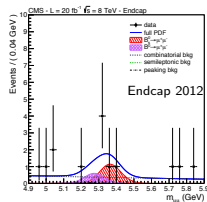
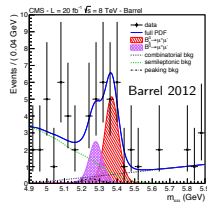
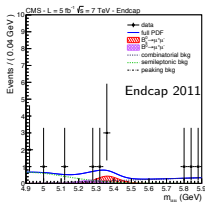
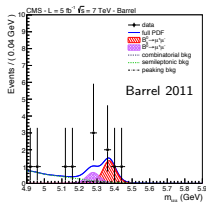
Unbinned results

- Fits performed with both 1D-BDT and categorized BDT methods
- Categorized BDT fit results:

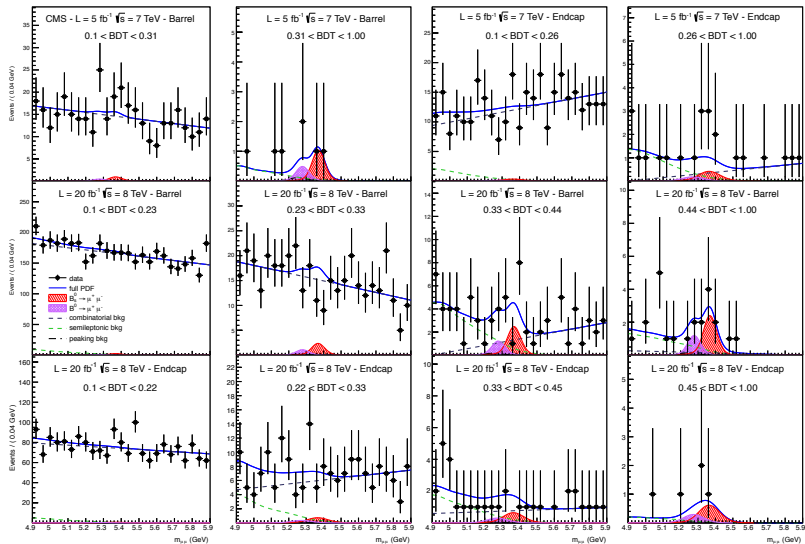
$$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-) = (3.0_{-0.9}^{+1.0}) \times 10^{-9} \quad [\text{SM} : (3.46 \pm 0.18) \times 10^{-9}]$$

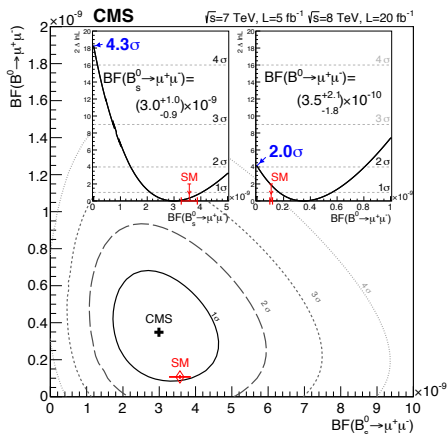
$$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) = (3.5_{-1.8}^{+2.1}) \times 10^{-10} \quad [\text{SM} : (1.07 \pm 0.10) \times 10^{-10}]$$

- 1D-BDT fits:



# Categorized BDT fit results





$$\text{sign}(\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)) = 4.3 \sigma$$

$$\text{sign}(\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)) = 2.0 \sigma$$

**Highest  $\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)$   
significance up-to-date!**

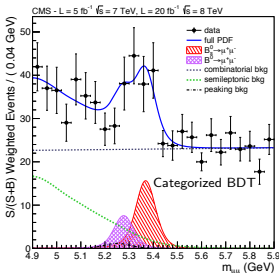
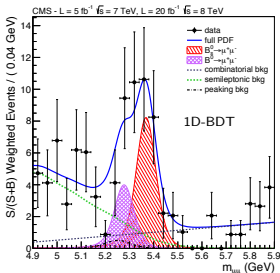
- The significance, given no  $\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)$  nor  $\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)$  decays:

$$\text{sign}(\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-) \cap \mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)) = 4.7 \sigma$$

# $\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)$ measured!

$$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-) = (3.0_{-0.8}^{+0.9}(\text{stat.})_{-0.4}^{+0.6}(\text{syst.})) \times 10^{-9}$$

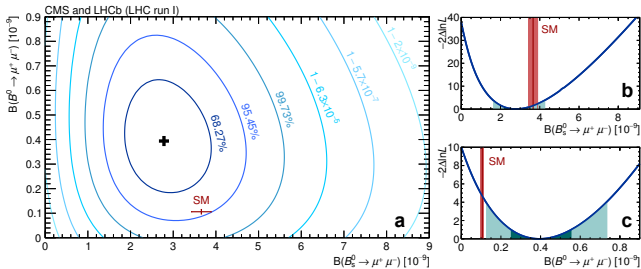
- Fully compatible with the SM expectation
- Statistically limited
- Measured significance ( $4.3\sigma$ ) lower than median expected ( $4.8\sigma$ )
  - Measured BF slightly lower than SM BF
- $\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)$  compatible with upper limit
  - $\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) \leq 1.1 \times 10^{-9}$  at 95% CL
  - CMS upper limit still ten times SM expectation



- Also the LHCb collaboration reports measurements of the  $B_s^0 \rightarrow \mu^+ \mu^-$  decay ( $4\sigma$ ), and the  $B^0 \rightarrow \mu^+ \mu^-$  decay ( $2\sigma$ ) [Phys.Rev.Lett. 111 (2013)]
- After a preliminary combination [CMS-PAS-BPH-13-007], a full combination of the likelihoods has been performed and results published on Nature [Nature 522, 68–72 (04 June 2015)]:

$$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-) = (2.8_{-0.6}^{+0.7}) \times 10^{-9} \quad (6.2\sigma)$$

$$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) = (3.9_{-1.4}^{+1.6}) \times 10^{-10} \quad (3.2\sigma)$$





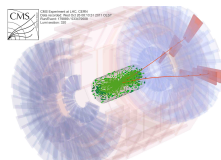
- LHC Run II is beginning its physics data taking this year
  - We expect to get a hundred of  $\text{fb}^{-1}$  at  $\sqrt{s} = 13 \text{ TeV}$  with an inst. lumi. doubled w.r.t. Run I
  - A challenging environment, with  $\langle \mu \rangle = 50 \text{ PV}$  and high trigger rates
  - CMS will nevertheless continue the studies of  $\mathcal{B}(B_{(s)}^0 \rightarrow \mu^+ \mu^-)$  with improved trigger and offline analyses
- $\mathcal{B}(B_{(s)}^0 \rightarrow \mu^+ \mu^-)$  is a CMS flagship
- The main focus will move to the search for  $B^0 \rightarrow \mu^+ \mu^-$ :

---

$\mathcal{L}(\text{fb}^{-1})$	$\delta\mathcal{B}/\mathcal{B}(B_s^0)$	$\delta\mathcal{B}/\mathcal{B}(B^0)$	$B^0$ sign.	$\delta \frac{\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)}{\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)}$
100 (2015–2017)	15%	66%	0.5–2.4 $\sigma$	71%

---

- In this thesis I presented the first measurement of  $\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)$  and the most recent upper limit of  $\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)$  using the 2011-2012 CMS data
- These results were published by the CMS collaboration on *Phys.Rev.Lett.* 111 (2013)
- $B_s^0 \rightarrow \mu^+ \mu^-$  BF measurement, with a relative uncertainty of 30%, is the most precise up-to-date and it is in agreement and at the same level of precision of the LHCb result
- Both results are fully compatible with the SM predictions
- Allow to set stringent limits on new physics parameters
- High precision measurements will be the key for the indirect searches of new physics in the next future



BACK UP

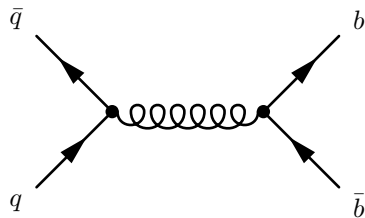
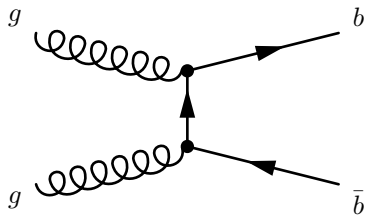


- $\bar{b}s$   $0^-$  bound-state
- $B_s^0$  is a flavor eigenstate, not a mass eigenstate
  - oscillates between  $B_s^0$ - $\bar{B}_s^0$  before decaying
  - important for comparing experimental and theoretical values
- mass  $\approx 5.4$  GeV
  - relatively low invariant mass to detect
- long decay length  $c\tau \approx 450$   $\mu\text{m}$ 
  - possible to measure the distance from the production (primary) vertex to the decay (secondary) vertex

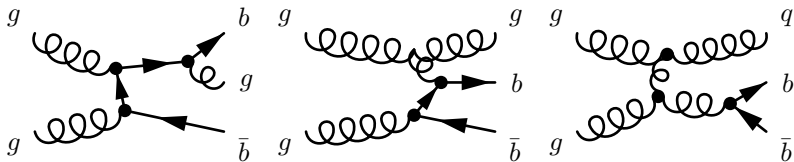
$$\mathcal{B}_{SM}^0 (B_s^0 \rightarrow \mu^+ \mu^-) = \tau (B_s^0) \frac{G_F^2}{\pi} \left( \frac{\alpha}{4\pi \sin^2 \theta_w} \right)^2 F_{B_s^0}^2 m_\mu^2 \times \\
 \times m_{B_s^0} \sqrt{1 - 4 \frac{m_\mu^2}{m_{B_s^0}^2}} |V_{tb}^* V_{ts}|^2 Y^2(x_t)$$

- life time
- Gauge and CKM constants and short-distance function
  - with QCD NLO corrections
- Hadronic matrix element
- chiral suppression

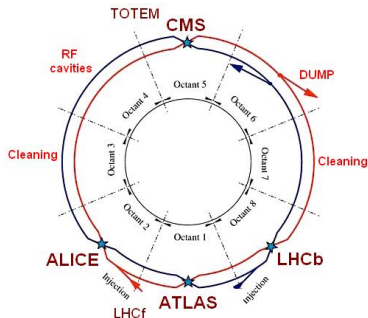
- Pair creation



- Pair creation, Flavor Excitation, Gluon Splitting



- the LHC is a two-ring superconducting accelerator and collider installed at CERN
- 4 main detectors installed
  - CMC (general purpose)
  - Atlas (general purpose)
  - LHCb (*B*-Physics)
  - Alice (Heavy ions)

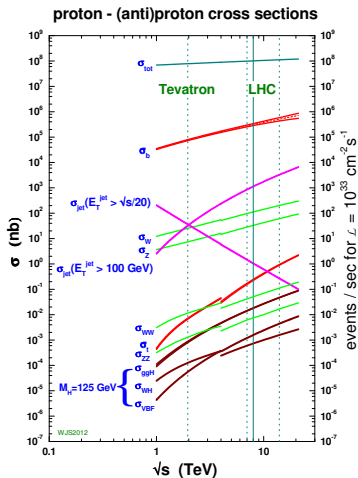




- the rate of produced events is

$$n_{\text{event}} = \mathcal{L} \sigma_{\text{event}}$$

- $\sigma_{\text{event}}$  is the production cross-section
- $\mathcal{L}$  depends only on collider parameters: number of particles per bunch, number of bunches, revolution frequency, bunch widths, ...
- at regime  $\mathcal{L} = 1.0 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$

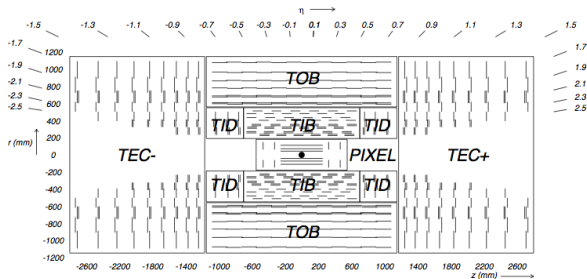


CMS uses a right-handed coordinate system centered at the nominal IP:

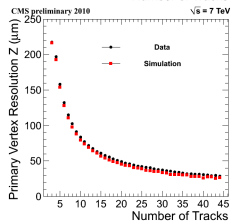
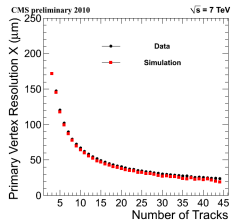
- the  $y$ -axis points vertically upward, orthogonal to the LHC plane;
- the  $x$ -axis points radially inward toward the center of the LHC;
- the  $z$ -axis points along the beam direction toward the Jura mountains.

The radial distance from the IP is defined as  $r = \sqrt{x^2 + y^2}$ . The azimuthal angle  $\phi$  is measured from the  $x$ -axis in the  $xy$  plane. The polar angle  $\theta$  starts from the  $z$ -axis, and the pseudorapidity variable is related to  $\theta$  as  $\eta = -\ln \tan(\theta/2)$ .

- Full silicon technology
- 3 layers of pixel
  - $100\ \mu\text{m} \times 150\ \mu\text{m}$  pixel cell size
- 10 layers of strips
  - Thickness 320–500  $\mu\text{m}$ , pitch 80–180  $\mu\text{m}$
- High redundancy, low occupancy
- Allow to have at least 9 hits ensuring high efficiency and good resolution

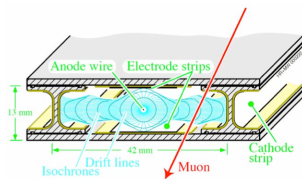
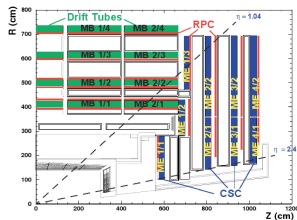


- PV: vertex finding (in  $z$ ) and vertex fitting (in 3D)
  - iterative Kalman filter
  - minimizes the squared distances of all tracks from vertex position
- SV: least mean squared minimization with Lagrange multipliers for the hypothesis under study
  - e.g.  
 $B_s^0 \rightarrow J/\psi\phi \rightarrow \mu^+\mu^-K^+K^-$ :
  - 4 final states
  - two muons coming from  $J/\psi$
  - two kaons coming from  $\phi$
  - $B_s^0$  momentum pointing towards the PV



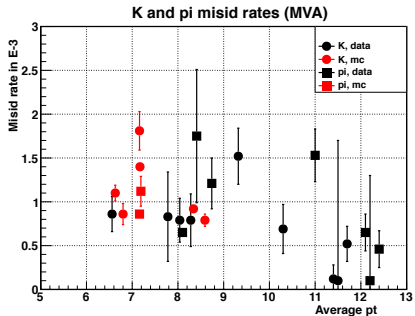
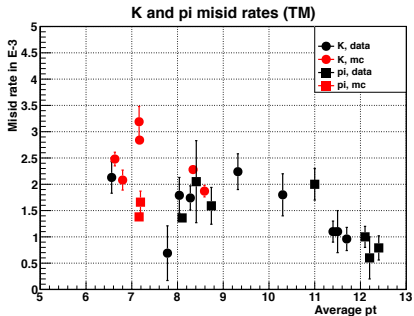
- Starting from the reconstructed hits:
  - ① seed generation
    - triplet of hits or two hits plus a vertex constraint
  - ② pattern recognition
    - combinatorial Kalman filter, iterative, takes successive detection layers, updating the track parameters for each added hit
  - ③ ambiguity resolution
    - to avoid double counting, remove tracks with shared hits
  - ④ final track fit
    - final construction with all hits.
    - cuts on  $\chi^2$ , impact parameter, hits
    - tracks passing the tightest selection are labeled *highPurity*

- Muon identification and reconstruction
- 3 gas detectors:
  - ① Drift tubes (barrel)
    - 4 muon stations containing 12 chambers to measure  $r\phi$  and  $z$
  - ② Cathode strip chambers (endcaps)
    - In a higher flux environment, high segmentation and radiation resistance, 4 layers to measure the  $r\phi$  plane
  - ③ Resistive plate chambers ( $|\eta| < 1.6$ )
    - Lower spatial resolution but better timing, crucial for triggering on the right bunch crossing



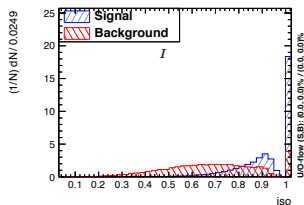
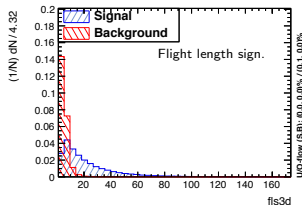
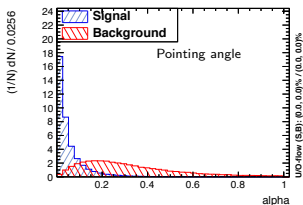
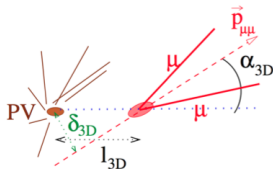
- All selections are fixed without using events from the signal region to avoid biases
- $B_s^0$  signal distributions validated on data using the Control Sample (CS)  
 $B_s^0 \rightarrow J/\psi\phi \rightarrow \mu^+\mu^-K^+K^-$
- Background level and resolution depend strongly on muon  $\eta$ 
  - data are split in “barrel” and “endcap” categories:
    - barrel = both muons with  $|\eta| < 1.4$
    - endcap = otherwise

Definition	Invariant mass range (GeV)
overall mass window	[4.9, 5.9]
$B^0 \rightarrow \mu^+\mu^-$ signal window	[5.2, 5.3]
$B_s^0 \rightarrow \mu^+\mu^-$ signal window	[5.3, 5.45]
blind window	[5.2, 5.45]
side-band windows	[4.9, 5.2] $\cup$ [5.45, 5.9]



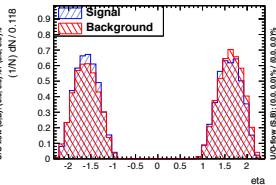
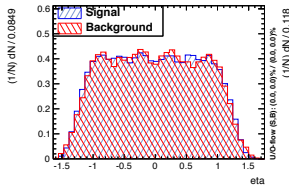


- Combinatorial background is made by combining two uncorrelated muons
  - their secondary vertex is badly reconstructed
  - not compatible with coming from an existing primary vertex
  - there are other particles around them (not isolated)
- These features are all used to separate signal from bkg with a multivariate analysis (BDT) with 10 variables



- The two muon tracks are combined to form the  $B$  candidate

Definition	Invariant mass range (GeV)
overall mass window	[4.9, 5.9]
$B^0 \rightarrow \mu^+ \mu^-$ signal window	[5.2, 5.3]
$B_s^0 \rightarrow \mu^+ \mu^-$ signal window	[5.3, 5.45]
blind window	[5.2, 5.45]
side-band windows	[4.9, 5.2] $\cup$ [5.45, 5.9]



- The number of entries in each mass range is a random variable satisfying Poissonian statistics
  - mass range: [4.9, 5.2, 5.3, 5.45, 5.9] GeV
- total yield is a sum of Poissonian variables
  - comb + rare + signals
- the expected number of reconstructed decays is

$$\nu_i = \frac{\mathcal{B}_{\text{SM}}(B_{(s)}^0 \rightarrow \mu^+ \mu^-)}{\mathcal{B}(B^\pm \rightarrow J/\psi K^\pm) \times \mathcal{B}(J/\psi \rightarrow \mu^+ \mu^-)} \frac{f_s}{f_u} \frac{\epsilon_{B_s^0}}{\epsilon_{B^\pm}} N_{\text{obs}}^{B^\pm}$$

- the likelihood is the product of all Poissonians times the constraints (bifurcated Gaussians) for systematics

- Yield is a sum of Poissonian variables: comb. + rare + signals
- Likelihood is the product of all Poissonians times Gaussian constraints
- Upper limit extracted with the CL<sub>S</sub> method

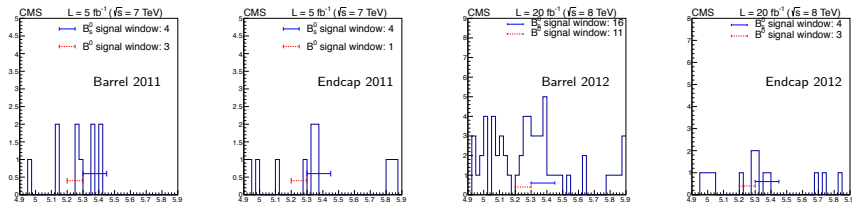
		$N_{\text{signal}}^{\text{exp}}$	$N_{\text{total}}^{\text{exp}}$
2011	$B^0$ Barrel	$0.27 \pm 0.03$	$1.3 \pm 0.8$
	$B_s^0$ Barrel	$2.97 \pm 0.44$	$3.6 \pm 0.6$
	$B^0$ Endcap	$0.11 \pm 0.01$	$1.5 \pm 0.6$
	$B_s^0$ Endcap	$1.28 \pm 0.19$	$2.6 \pm 0.5$
2012	$B^0$ Barrel	$1.00 \pm 0.10$	$7.9 \pm 3.0$
	$B_s^0$ Barrel	$11.46 \pm 1.72$	$17.9 \pm 2.8$
	$B^0$ Endcap	$0.30 \pm 0.03$	$2.2 \pm 0.8$
	$B_s^0$ Endcap	$3.56 \pm 0.53$	$5.1 \pm 0.7$

- $B^0 \rightarrow \mu^+ \mu^-$  BF expected UL:

$$6.3_{-2.0}^{+2.7} \times 10^{-10} \quad \text{SM}$$

$$5.4_{-1.6}^{+2.3} \times 10^{-10} \quad \text{Background only}$$

- After the final choice of all selections, the analysis is “unblinded”
- Results on the searches can be extracted



Category	$N_{B^0}^{\text{exp}}$	$N_{B^0}^{\text{obs}}$	$N_{B_s}^{\text{exp}}$	$N_{B_s}^{\text{obs}}$	$N_{B^\pm}^{\text{obs}}$
Barrel 2011	$1.3 \pm 0.8$	3	$3.6 \pm 0.6$	4	$(71.2 \pm 4.1) \times 10^3$
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# $\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)$ branching fraction

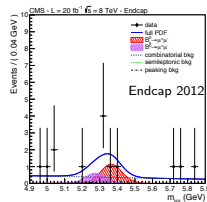
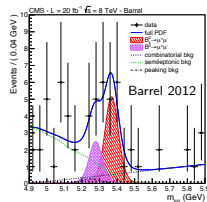
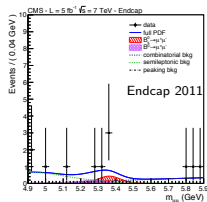
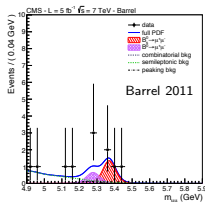
Unbinned results

- Fits performed with both 1D-BDT and categorized BDT methods
- Categorized BDT fit results:

$$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-) = (3.0_{-0.9}^{+1.0}) \times 10^{-9} \quad [\text{SM} : (3.66 \pm 0.23) \times 10^{-9}]$$

$$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) = (3.5_{-1.8}^{+2.1}) \times 10^{-10} \quad [\text{SM} : (1.06 \pm 0.09) \times 10^{-10}]$$

- 1D-BDT fits:



- $\mathcal{B}(B_{(s)}^0 \rightarrow \mu^+ \mu^-)$  are dominated by statistical uncertainty
- More data will improve the measurements
  - the LHC will deliver hundred and thousand of  $\text{fb}^{-1}$  to CMS at  $\sqrt{s} \approx 14 \text{ TeV}$

$\mathcal{L}(\text{fb}^{-1})$	$\delta\mathcal{B}/\mathcal{B}(B_s^0)$	$\delta\mathcal{B}/\mathcal{B}(B^0)$	$B^0$ sign.	$\delta \frac{\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)}{\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)}$
100 (2015–2017)	15%	66%	0.5–2.4 $\sigma$	71%
300 (2019–2021)	12%	45%	1.3–3.3 $\sigma$	47%
3000 (2023–)	12%	18%	5.4–7.6 $\sigma$	21%

- CMS upgrades on improved muon and tracker trigger capabilities are essential
- The most challenging aspects will be the trigger rates and the pile-up
  - cope with up to  $\langle \mu \rangle = 140$  PVs