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Globally conditioned causality in estimating directed brain-heart interactions through joint MRI and RR series analysis

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Is the "Autonomic" autonomous?





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Brain correlates of autonomic modulation: Combining heart rate variability with fMRI

Vitaly Napadow,^{a,b,*} Rupali Dhond,^{a,b} Giulia Conti,^{c,d,e} Nikos Makris,^f Emery N. Brown,^{c,d} and Riccardo Barbieri^{c,d}







Causality is challenging:





Challenges in fMRI \rightarrow ANS causality

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 SNR in fMRI, time-resolution

Challenges in fMRI \rightarrow ANS causality

Causality is challenging:



 SNR in fMRI, time-resolution

 Time-varying signal of ANS activity

Challenges in fMRI \rightarrow ANS causality

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 SNR in fMRI, time-resolution

- Time-varying signal of ANS activity
- High redundancy in brain signals

7T fMRI

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- Nine healthy volunteers (age 28 ± 3)
- 7 Tesla MRI with simultaneous physiological signal acquisition (1 kHz sampling of cardiac pulsations)
- Single-shot 2D EPI readout
- TR =1.5 s, Voxel 1.8 mm³, whole brain coverage which minimized aliasing in ANS frequency bands
- Slice timing, motion correction, co-registration to MNI space, physiological noise correction (high-pass filtering at 0.01Hz and removal of 2nd ord. RETROICOR regressors)
- Average BOLD signal extracted in 117 regions of interest (ROIs) with the Automated Anatomical Labeling (AAL) atlas.

 SNR in fMRI, time-resolution

Time-varying signal of ANS activity





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SNR in fMRI, time-resolution

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7T fMRI with physiological signals



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SNR in fMRI, time-resolution

Time-varying signal of ANS activity

NMMMMMMMM

SNR in fMRI, time-resolution

 Time-varying signal of ANS activity

• Probability of next R-wave:

 $f(t | \mathbf{H}_{t}, \xi(t)) = \left[\frac{\xi_{0}(t)}{2\pi(t - u_{N(t)})^{3}}\right]^{\frac{1}{2}} \times \\ \times \exp\left\{-\frac{1}{2}\frac{\xi_{0}(t)[t - u_{N(t)} - \mu_{RR}(t, \mathbf{H}_{t}, \xi(t))]^{2}}{\mu_{RR}(t, \mathbf{H}_{t}, \xi(t))^{2}(t - u_{N(t)})}\right\}$

Model RR interval:

 $\mu_{\mathrm{RR}}(t,\mathsf{H}_{t},\boldsymbol{\xi}(t)) = \mathrm{RR}_{N(t)-1} + \gamma_{0}(t) + \sum_{i=1}^{p} \gamma_{1}(i,t) \Delta \mathrm{RR}_{i} + \grave{\mathsf{Q}}(t)$

SNR in fMRI, time-resolution

 Time-varying signal of ANS activity

Challenges in fMRI \rightarrow ANS causality

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• Analytical power spectrum from parameters

SNR in fMRI, time-resolution

 Time-varying signal of ANS activity

Challenges in fMRI \rightarrow ANS causality

MAMAAAAAAAAAA

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- Analytical power spectrum from parameters
- Time varying estimation of:
 - HF (parasimpathetic)
 - LF (sympathetic + parasympathetic)
 - Sympathovagal balance (LF/HF)

SNR in fMRI, time-resolution

- Time-varying signal of ANS activity
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SNR in fMRI, time-resolution

Time-varying signal
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Time-varying signal of ANS activity







 $h_{now} = F(h_{before}) + G(x_{before}) + (smaller noise)$





 $h_{now} = F(h_{before}) + I(\gamma_{before}) + (noise)$



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$$H_{\rm HF}(t) = \sum_{q=1}^{Q} b'_{q} H_{\rm HF}(t-q) + \sum_{p=1}^{P} \sum_{\substack{k=1\\k\neq j}}^{117} a'_{k,p} x_{k}(t-p) + \varepsilon'_{t}$$

AT S

$$H_{\rm HF}(t) = \sum_{q=1}^{\infty} b_q H_{\rm HF}(t-q) + \sum_{p=1}^{I} \sum_{\substack{k=1\\k\neq j}}^{III} a_{k,p} x_k(t-p) + \sum_{p=1}^{I} a_j x_j(t-p) + \varepsilon_t$$

$$h_{now} = F(h_{before}) + I(y_{before}) + (noise)$$

$$h_{now} = F(h_{before}) + I(\gamma_{before}) + G(\chi_{before}) + (smaller noise)$$

$$H_{\rm HF}(t) = \sum_{q=1}^{Q} b'_{q} H_{\rm HF}(t-q) + \sum_{p=1}^{P} \sum_{\substack{k=1\\k\neq j}}^{117} a'_{k,p} x_{k}(t-p) + \varepsilon'_{t}$$

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$$h_{now} = F(h_{before}) + I(\gamma_{before}) + (noise)$$

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$$h_{now} = F(h_{before}) + I(\gamma_{before}) + G(x_{before}) + (smaller noise)$$

$$117 \text{ anatomically labelled region of interest}$$

$$H_{HF}(t) = \sum_{q=1}^{Q} b'_{q} H_{HF}(t-q) + \sum_{p=1}^{117} a'_{k,p} x_{k}(t-p) + \varepsilon'_{t}$$

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$$x_{i}(t) = \sum_{\substack{p=1 \ k\neq j}}^{P} \sum_{\substack{k=1 \ k\neq j}}^{117} a'_{k,p} x_{k}(t-p) + \varepsilon'_{t}$$
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1

$$\begin{aligned} x_{i}(t) &= \sum_{\substack{p=1 \ k\neq j \\ k\neq j}}^{P} \sum_{\substack{k=1 \\ k\neq j}}^{117} a_{k,p}' x_{k}(t-p) + \varepsilon_{t}' \\ x_{i}(t) &= \sum_{\substack{p=1 \ k\neq j}}^{Q} \sum_{\substack{k=1 \\ k\neq j}}^{P} a_{k,p} x_{k}(t-p) + \varepsilon_{t} \end{aligned}$$

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Different network tested for generation of synthetic data

$$x_{i}(t) = \sum_{\substack{p=1 \ k \neq j}}^{P} \sum_{\substack{k=1 \ k \neq j}}^{117} a_{k,p}' x_{k}(t-p) + \varepsilon_{t}'$$

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Different network tested for generation of synthetic data

Duffing oscillator

$$\dot{x}_i = y + k_{i,j}(x_j - x_i)$$

$$\dot{y}_i = \delta y_i - \beta x_i - \alpha x^3 - \gamma \cos(\omega_i t + \phi_i)$$

$$x_{i}(t) = \sum_{\substack{p=1 \ k \neq j \\ k \neq j}}^{P} \sum_{\substack{k=1 \\ k \neq j}}^{117} a_{k,p}' x_{k}(t-p) + \varepsilon_{t}'$$

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Example signals

Least connected
Most connected

$$x_{i}(t) = \sum_{\substack{p=1\\k\neq j}}^{P} \sum_{\substack{k=1\\k\neq j}}^{117} a_{k,p}' x_{k}(t-p) + \varepsilon_{t}'$$

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$$\dot{y}_i = \delta y_i - \beta x_i - \alpha x^3 - \gamma \cos(\omega_i t + \phi_i)$$
Example signals



$$x_{i}(t) = \sum_{\substack{p=1 \ k \neq j \\ k \neq j}}^{P} \sum_{\substack{k=1 \\ k \neq j}}^{117} a_{k,p}' x_{k}(t-p) + \varepsilon_{t}'$$

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Different network tested for generation of synthetic data AUC increase: GCGC vs GC 0.15 0.10 Duffing oscillator roc area 05 20 0.00⁽ 10 $\dot{x}_i = y + k_{i,j}(x_j - x_i)$ 10 regressive order $\dot{y}_i = \delta y_i - \beta x_i - \alpha x^3 - \gamma \cos(\omega_i t + \phi_i)$ densitv Correlation **Bivariate GC** Globally Conditioned GC ROC curves True Causality Matrix _ biv GC - GC-GC

$$\begin{aligned} x_{i}(t) &= \sum_{p=1}^{P} \sum_{\substack{k=1\\k\neq j}}^{117} a_{k,p}' x_{k}(t-p) + \varepsilon_{t}' \\ x_{i}(t) &= \sum_{p=1}^{P} \sum_{\substack{k=1\\k\neq j}}^{117} a_{k,p} x_{k}(t-p) + \sum_{p=1}^{P} a_{j,p} x_{j}(t-p) + \varepsilon_{t} \end{aligned}$$

Statistics of the residuals

$$\frac{(\varepsilon' - \varepsilon)}{\varepsilon} \frac{\text{DOF}' - \text{DOF}}{\text{DOF}} \sim \frac{\chi^2_{DOF' - DOF}}{\chi^2_{DOF}} \sim \text{F-distrib}$$

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#sub.

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#sub.

$$\frac{(\varepsilon' - \varepsilon)}{\varepsilon} \frac{\text{DOF}' - \text{DOF}}{\text{DOF}} \sim \frac{\chi^2_{DOF' - DOF}}{\chi^2_{DOF}} \sim \text{F-distrib}$$

$$\begin{aligned} x_{i}(t) &= \sum_{\substack{p=1\\k\neq j}}^{P} \sum_{\substack{k=1\\k\neq j}}^{117} a_{k,p}' x_{k}(t-p) + \varepsilon_{t}' \\ x_{i}(t) &= \sum_{\substack{p=1\\k\neq j}}^{P} \sum_{\substack{k=1\\k\neq j}}^{117} a_{k,p}' x_{k}(t-p) + \sum_{\substack{p=1\\p=1}}^{P} a_{j,p}' x_{j}(t-p) + \varepsilon_{t} \end{aligned}$$

$$\underbrace{\left(\varepsilon'-\varepsilon\right)}_{\varepsilon} \underbrace{\text{DOF}'-\text{DOF}}_{\text{DOF}} \sim \frac{\chi^2_{\text{DOF}'-\text{DOF}}}{\chi^2_{\text{DOF}}} \sim \text{F-distrib}$$

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The group-wise statistics

$$\frac{(\varepsilon' - \varepsilon)}{\varepsilon} \frac{\text{DOF}' - \text{DOF}}{\text{DOF}} \sim \frac{\chi^2_{DOF' - DOF}}{\chi^2_{DOF}} \sim \text{F-distrib}$$

F-distrib

Group-wise median tested again median of F-distrib (no assumptions about the simmetry of sample distribution)







Bivariate GC strenght (brain-brain)



Average GC strenght (brain-brain)









Average GC strenght (brain-brain)
































Conclusions

- High SNR and SMS multiband enable GC applications in joint fMRI ANS studies
- Globally conditioned GC successfully disentangles high redundancy between locally aggregated brain signals.
- We show novel, directed brain-heart interaction which can be interpreted in terms of central modulation of ANS outflow

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