

Ab-initio calculations of few-nucleon reactions of astrophysical interest

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- Theoretical framework
 - *Ab-initio* methods for few-nucleon systems
 - (Standard) phenomenological approach (PhenAp) vs. Chiral effective field theory (χ EFT)
- Results
 - Some tests:
 - 1 Electromagnetic structure of $A = 2, 3$ nuclei
 - 2 Muon capture on light nuclei
 - $p + p \rightarrow d + e^+ + \nu_e$
 - $p + d \rightarrow {}^3\text{He} + \gamma$
- Outlook

Theoretical framework: *ab-initio* studies

A-nucleon system



Observable X

$$H = T + \sum_{i < j} V_{ij} + \sum_{i < j < k} V_{ijk} \quad \& \quad \text{nuclear currents } j^{EW}$$

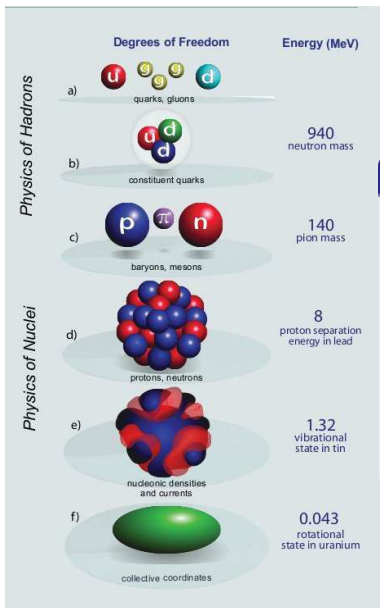
Ab-initio method and *Ab-initio* results

- *Ab-initio* method → obtain X by solving the relevant quantum many-body equations, without any uncontrolled approximation
- controlled approximations are allowed (expansion on a certain basis) → converged results = *ab-initio* results
- comparison of *ab-initio* results obtained with different *ab-initio* methods → **benchmark calculations**
- comparison of *ab-initio* results with data → **test of H and j^{EW}**

FEW ⇔ *ab-initio* methods

W. Leidemann and G. Orlandini, Progr. Part. Nucl. Phys. **68**, 158 (2013)

Phenomenological approach



Nuclear interaction: $V_{NN} + V_{NNN}$

- Until $\simeq 15$ years ago:
phenomenological potentials
 - $V_{NN} + V_{NNN}$ semi-phenomenological
 - V_{NN} with $\simeq 40$ parameters fitted to $A = 2$ data $\rightarrow \chi^2/\text{datum} \simeq 1$
 - V_{NNN} with 2-3 parameters fitted to $B(A = 3, 4)$
- \Rightarrow **no simple connection to QCD**

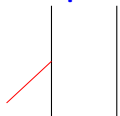
Electroweak currents in the phenomenological approach

EW operators: $\rho^\gamma, \mathbf{j}^\gamma; \rho^{V/A}, \mathbf{j}^{V/A}$ but $\text{CVC} \Rightarrow \rho^V / \mathbf{j}^V \rightarrow \rho^\gamma / \mathbf{j}^\gamma$

- $\mathbf{j}^\gamma \rightarrow$ Current Conservation Relation (CCR) $\rightarrow \mathbf{q} \cdot \mathbf{j}^\gamma \propto [\rho^\gamma, H]$

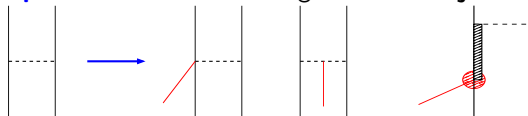
Realistic model

1b operators



CCR with T

2b operators: Meson-exchange currents + $\mathbf{j}^{MD} \perp \mathbf{q}$



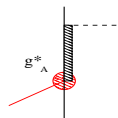
Interplay potential-current: MI

MD

CCR "exact" with AV18/UIX [L.E. Marcucci *et al.*, Phys. Rev. C **72**, 014001 (2005)]

- $\mathbf{j}^A \rightarrow$ no CCR \Rightarrow MD

Largest contribution to $\mathbf{j}^A(\text{MD})$ from



g_A^* fit to observable: $\underline{GT_{exp}}$ of tritium β -decay

Chiral Effective Field Theory (χ EFT)

- QCD \rightarrow quarks and gluons (“high-energy” d.o.f.)
- Nuclear physics \rightarrow nucleons and pions (“low-energy” d.o.f.)
- EFT \rightarrow processes with $E \simeq p \simeq m_\pi \ll \Lambda_{\text{QCD}} \sim 1 \text{ GeV}$
 - ★ “h-e” d.o.f. integrated out \rightarrow contact interactions with “l-e” d.o.f. and **low-energy constants (LECs)** obtained from experiment
 - ★ **perturbative theory**: matrix elements $\propto O(p/\Lambda_{\text{QCD}})^\nu$
- χ **EFT** \rightarrow **EFT with spontaneous breaking of QCD’s χ -symmetry**
- Regularization with cutoff function $\rightarrow \Lambda \simeq 414, 450, 500, 600 \text{ MeV}$

Disadvantage: limited to processes with $E \sim 1 - 2 m_\pi$

Advantages

- nuclear force “hierarchy” \rightarrow accurate $V_{NN} + V_{NNN}$ (N3LO/N2LO)
- **consistent framework for interactions + currents** (just add EW field among the d.o.f.)
- possibility to estimate the theoretical uncertainty

	2N force	3N force	4N force
LO		—	—
NLO		—	—
N ² LO			—
N ³ LO			

Similar power counting for the EW currents ($j^\gamma \rightarrow \text{N3LO}$ & $j^A \rightarrow \text{N2LO}$)

$A = 2$ results

	AV18	N3LO	Exp.
B_d (MeV)	2.22457	2.22456	2.224574(9)
a_{n-n} (fm)	-18.487	-18.900	-18.9(4)
$^1a_{n-p}$ (fm)	-23.732	-23.732	-23.740(20)
$^3a_{n-p}$ (fm)	5.412	5.417	5.419(7)

N3LO \equiv N3LO-Idaho

A = 3 bound and low-energy scattering states

Potential	${}^3\text{H}$				${}^3\text{He}$			
	B (MeV)	r_p (fm)	$\langle V \rangle$ (MeV)	P_D (%)	B (MeV)	r_p (fm)	$\langle V \rangle$ (MeV)	P_D (%)
AV18	7.624	1.653	-54.351	8.510	6.925	1.872	-52.610	8.467
AV18+UIX	8.479	1.582	-59.754	9.301	7.750	1.771	-57.961	9.248
N3LO	7.854	1.655	-42.409	6.312	7.128	1.855	-40.917	6.313
N3LO+N2LO	8.474	1.611	-44.956	6.815	7.733	1.794	-43.478	6.818
Exp.	8.482	1.60	-	-	7.718	1.77	-	-

	AV18	AV18+UIX	N3LO	N3LO+N2LO	Exp.
${}^2a_{n-d}$ (fm)	1.248	0.590	1.100	0.675	0.645 ± 0.010
${}^4a_{n-d}$ (fm)	6.346	6.343	6.342	6.342	6.35 ± 0.02
${}^2a_{p-d}$ (fm)	1.134	-0.089	0.876	0.072	-
${}^4a_{p-d}$ (fm)	13.662	13.662	13.646	13.647	-

A. Kievsky *et al.*, J. Phys. G: Nucl. Part. Phys. **35**, 063101 (2008)

M. Viviani *et al.*, Phys. Rev. C **71**, 024006 (2005)

with the **Hyperspherical Harmonics (HH)** method - *ab-initio* method

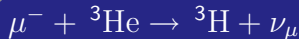
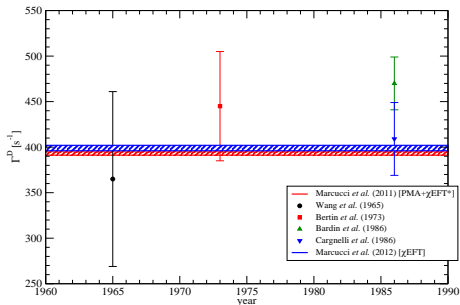
Electromagnetic structure of $A = 2, 3, 4$ nuclei

	PhenAp	χ EFT	Exp.
$r_c(d)$ [fm]	2.119	2.126 \pm 0.004	2.130 \pm 0.010
$\mu(d)$ [n.m.]	0.847	<u>0.8574</u>	0.8574
$Q(d)$ [fm ²]	0.280	0.2836 \pm 0.0016	0.2859 \pm 0.0003
$r_c(^3\text{He})$ [fm]	1.928	1.962 \pm 0.004	1.973 \pm 0.014
$r_m(^3\text{He})$ [fm]	1.909	1.920 \pm 0.007	1.976 \pm 0.047
$\mu(^3\text{H})$ [n.m.]	2.953	<u>2.979</u>	2.979
$\mu(^3\text{He})$ [n.m.]	-2.125	<u>-2.128</u>	-2.128
$r_c(^4\text{He})$ [fm]	1.639	1.663 \pm 0.011	1.681 \pm 0.004

L.E. Marcucci *et al.*, arXiv:1504.05063, submitted to J. Phys. G: Nucl. Part. Phys.

Muon capture on $A = 2, 3$ nuclei

- $\mu^- + d \rightarrow n + n + \nu_\mu \longrightarrow$ capture rate in the doublet hyperfine state Γ^D
- $\mu^- + {}^3\text{He} \rightarrow {}^3\text{H} + \nu_\mu \longrightarrow$ total capture rate Γ_0



$$\Gamma_0(\text{PhenAp}) = 1495(11) \text{ s}^{-1}$$

vs. $\Gamma_0(\chi\text{EFT}) = 1494(21) \text{ s}^{-1}$

vs. $\Gamma_0(\text{exp}) = 1496(4) \text{ s}^{-1}$

L.E. Marcucci *et al.*, Phys. Rev. C **83**, 014002 (2011)

L.E. Marcucci *et al.*, Phys. Rev. Lett. **108**, 052502 (2012)

The proton-proton weak capture reaction

$S(E)$
in χ EFT and
PhenAp

- Energy range 2 keV – 100 keV
- PhenAp or χ EFT + FULL EM interaction
- pp $L \leq 1$ partial waves: 1S_0 + all P -waves

$S(0)$ cumulative
contributions
(in 10^{-23} MeV fm 2)

	1S_0	$\dots + ^3P_0$	$\dots + ^3P_1$	$\dots + ^3P_2$
PhenAp	4.000(3)	4.003(3)	4.015(3)	4.033(3)
χ EFT	4.008(6)	4.011(6)	4.020(6)	4.030(6)

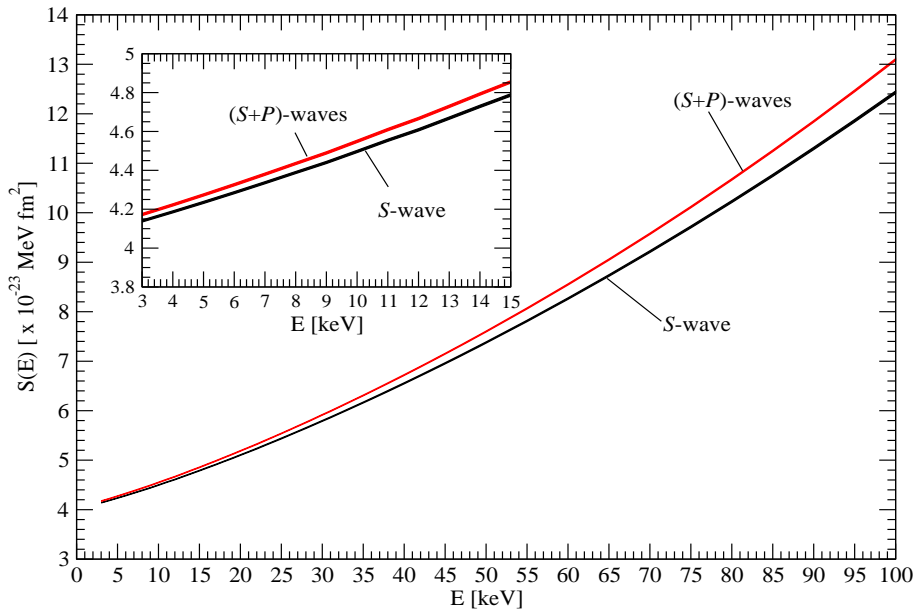
$$S(0) = (4.030 \pm 0.006) \times 10^{-23} \text{ MeV fm}^2$$

vs.

$$S(0)^{\text{SFII}} = (4.01 \pm 0.04) \times 10^{-23} \text{ MeV fm}^2$$

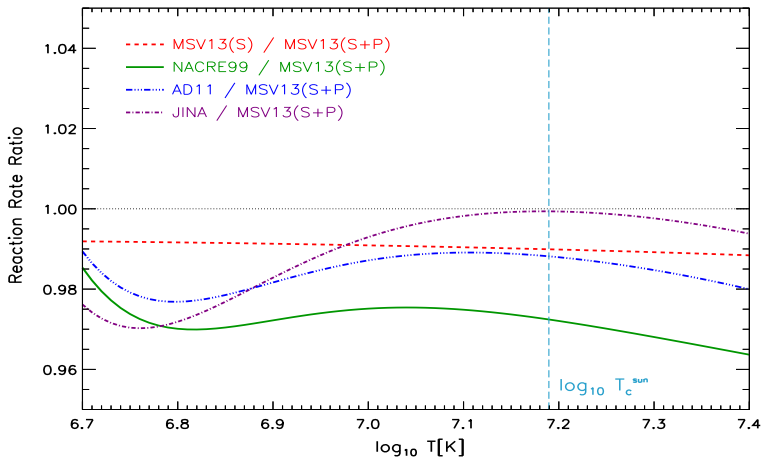
SFII: E.G. Adelberger *et al.*, Rev. Mod. Phys. **83**, 195 (2011)

L.E. Marcucci *et al.*, Phys. Rev. Lett. **110**, 192503 (2013)

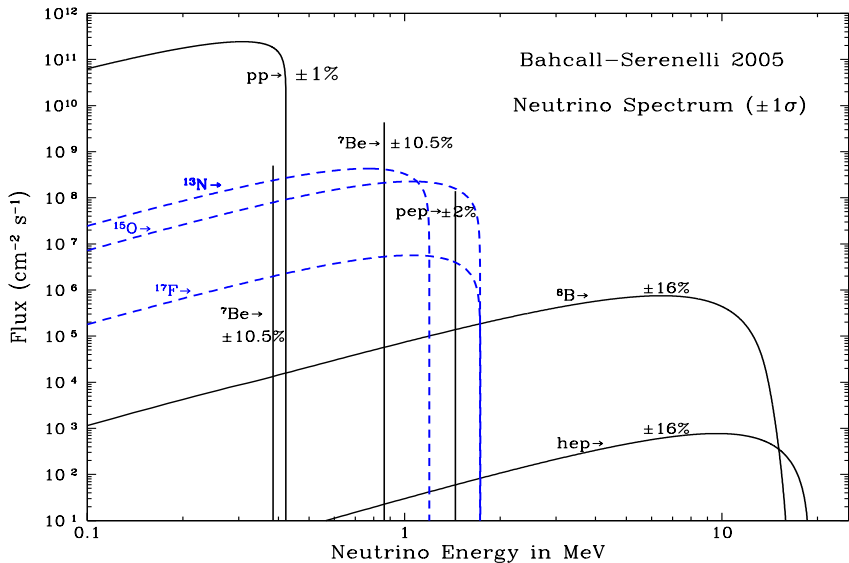


Effects on

- age of mid and old stellar clusters (1-12 Gyr)
- standard solar model predictions



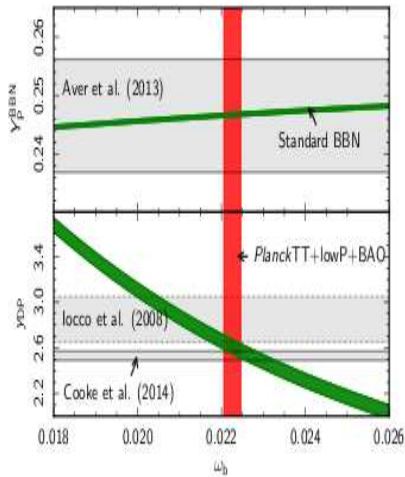
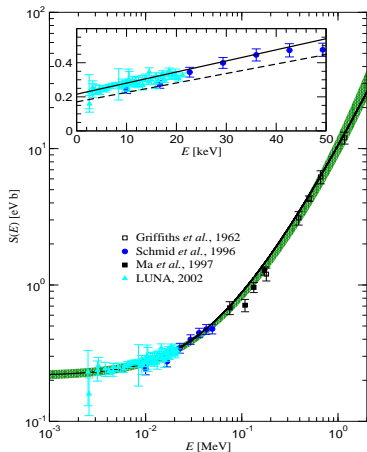
E. Tognelli *et al.*, Phys. Lett. B **742**, 189 (2015)



Neutrino fluxes relative differences

	MSV13(S+P) reference	MSV13(S)	NACRE99	AD11	JINA
		relative differences			
$T_c [10^7 \text{ K}]$	1.54794	-1‰	-3‰	-2‰	-1‰
$\Phi_{pp}^\nu [10^{10}]$	6.020	1‰	2‰	2‰	1‰
$\Phi_{pep}^\nu [10^8]$	1.446	-2‰	-6‰	-2‰	-1‰
$\Phi_{hep}^\nu [10^3]$	8.584	-1‰	-3‰	< 1‰	2‰
$\Phi_{Be-7}^\nu [10^9]$	4.503	-1%	-3%	-1%	-9‰
$\Phi_{B-8}^\nu [10^6]$	3.694	-3%	-7%	-4%	-2%
$\Phi_{N-13}^\nu [10^8]$	2.417	-2%	-6%	-3%	-1%
$\Phi_{O-15}^\nu [10^8]$	1.811	-3%	-8%	-4%	-2%
$\Phi_{F-17}^\nu [10^6]$	3.373	-3%	-8%	-4%	-2%

The $p + d \rightarrow {}^3\text{He} + \gamma$ reaction



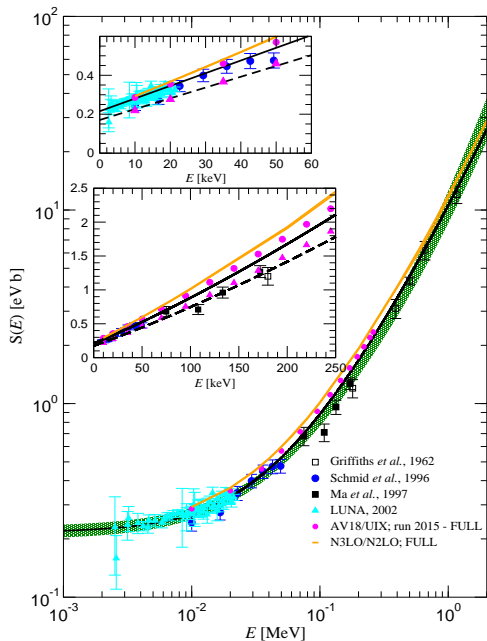
SFII: E.G. Adelberger *et al.*, Rev. Mod. Phys. **83**, 195 (2011)

Planck Collab., arXiv:1501.01589 (2015)

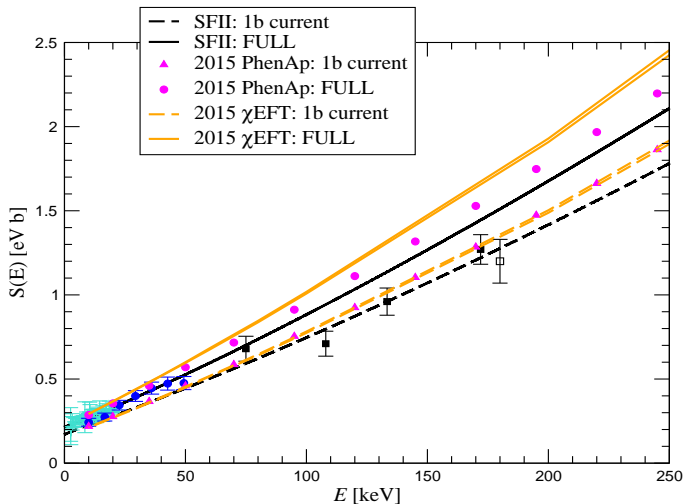
Latest news on the $p + d \rightarrow {}^3\text{He} + \gamma$ reaction

- **New measurement** scheduled by the **LUNA Collab.** @ LNGS in the energy range of interest for BBN
- New calculation in **PhenAP**
- New calculation in χ **EFT**

PRELIMINARY RESULTS



PRELIMINARY RESULTS: zoom for $E = 0 - 250$ keV



E_{cm} [keV]	Δ -PhenAp*	j^{MEC}	Δ - χ EFT
35	+8 %	+24 %	+4 %
70	+8 %	+20 %	+5 %
95	+8 %	+18 %	+6 %
120	+8 %	+17 %	+6 %
145	+7 %	+16 %	+6 %
170	+7 %	+16 %	+6 %
195	+7 %	+16 %	+6 %
220	+7 %	+15 %	+7 %
245	+6 %	+15 %	+7 %

- Δ -PhenAp* \equiv $|2015\text{-PhenAp} - \text{SFII}|/\text{SFII}$
- Δ - χ EFT \equiv $|2015\text{-}\chi\text{EFT} - 2015\text{-PhenAp}|/2015\text{-PhenAp}$

LUNA experiment at 3-6 % accuracy can discriminate!!

WARNING: STILL PRELIMINARY (χ EFT) RESULTS

“Reasonably” near future

- $A = 4$ radiative and weak captures: $p + {}^3\text{H} \rightarrow {}^4\text{He} + \gamma$ & $p + {}^3\text{He} \rightarrow {}^4\text{He} + e^+ + \nu_e$ (the *hep* reaction)
- ν -scattering on light nuclei ($A = 2 - 4$)
- μ -capture on ${}^3\text{He}$ in other channels: $\mu^- + {}^3\text{He} \rightarrow n + d + \nu_\mu$ & $\mu^- + {}^3\text{He} \rightarrow n + n + p + \nu_\mu$ (with Faddeev method)
- $A = 6$ as a 3-body system: $\alpha + d \rightarrow {}^6\text{Li} + \gamma$
- “Our” *ab-initio* method above breakup-threshold: first steps in E. Garrido *et al.*, Phys. Rev. C **90**, 1 (2014)

Less near future

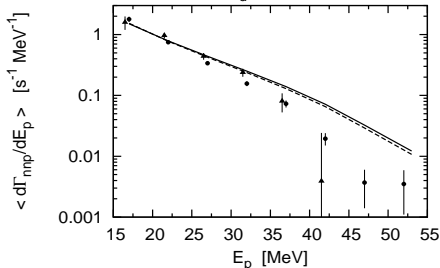
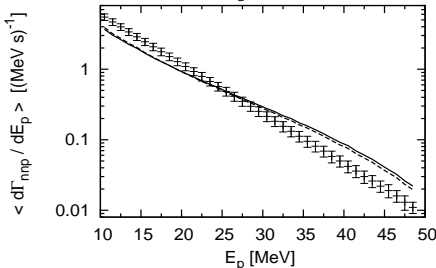
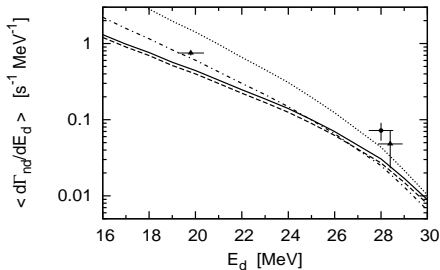
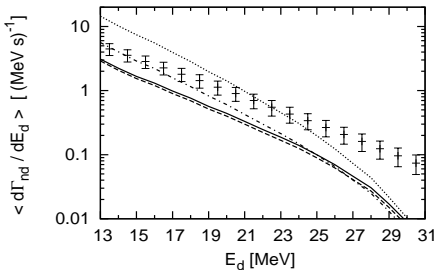
- “Our” *ab-initio* method for $A > 4$ systems (especially $A = 5, 6$) with realist interactions
- Start to work with other methods (for instance GFMC)



Only 1b + Faddeev equation method [J. Golak *et al.*, Phys. Rev. C **90**, 024001 (2014)]

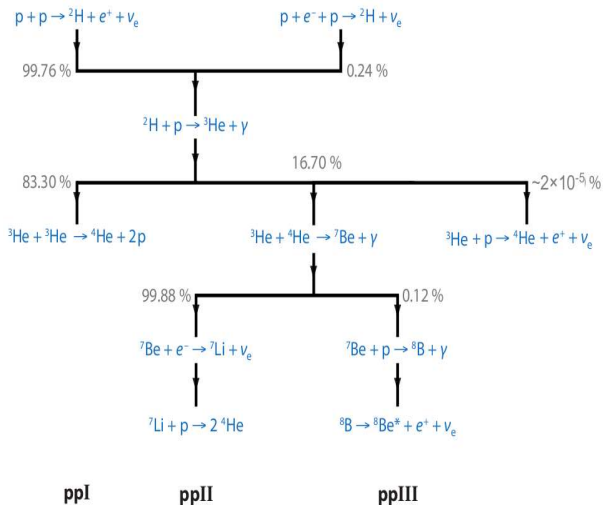
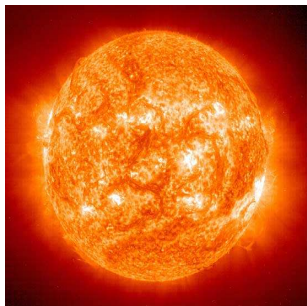
Bystritsky *et al.*, Phys. Rev. A **69**, 012712 (2004)

Kuhn *et al.*, Phys. Rev. C **50**, 1771 (1994)

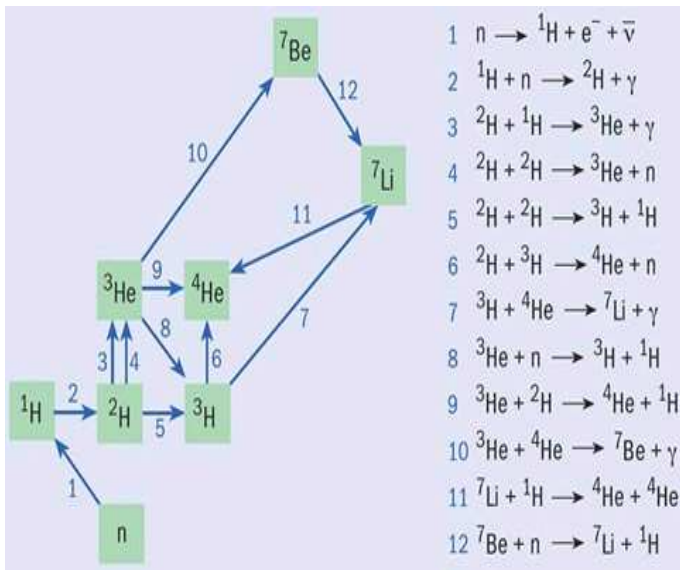


SPARES

The pp -chain



The Big-Bang Nucleosynthesis



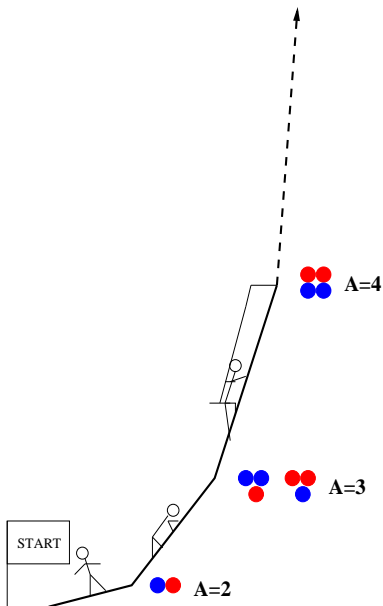
Total cross-sections $\sigma(E) \rightarrow$ astrophysical S -factor $S(E)$

$$\begin{aligned}
 S(E) &= E \sigma(E) \exp(2\pi\eta); & \eta &= \frac{Z_1 Z_2 \alpha}{v_{rel}} \\
 r_{12} &= \frac{n_1 n_2}{1 + \delta_{12}} \langle \sigma v_{rel} \rangle \\
 \langle \sigma v_{rel} \rangle &\propto \int_0^\infty E \sigma(E) \exp\left(-\frac{E}{kT}\right) dE \\
 &\rightarrow \int_0^\infty S(E) \exp\left(-2\pi\eta - \frac{E}{kT}\right) dE
 \end{aligned}$$

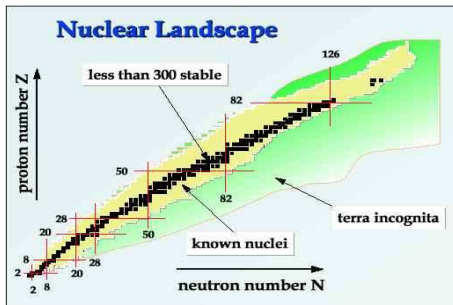
Typically:

- $E_0 =$ Gamow peak energy (\simeq few keV)
- $S(E) \rightarrow$ Taylor expansion $\Rightarrow S(0), S'(0), S''(0)$, etc. etc. etc.

Why few-nucleon and how few are few?



Few-nucleon systems \leftrightarrow *ab-initio* methods
 $A \leq 12 \rightarrow A \leq 4$



ideal “laboratory” to test H

The Hyperspherical Harmonics (HH) method

Bound states

$$\Psi^{JJ_z} = \sum_{\mu} c_{\mu} \Psi_{\mu}$$

- $\Psi_{\mu} \rightarrow$ known functions (spin-isospin HH functions)
- Rayleigh-Ritz var. principle: $\delta_c \langle \Psi^{JJ_z} | H - E | \Psi^{JJ_z} \rangle = 0$
 \Rightarrow Solve for E and c_{μ}

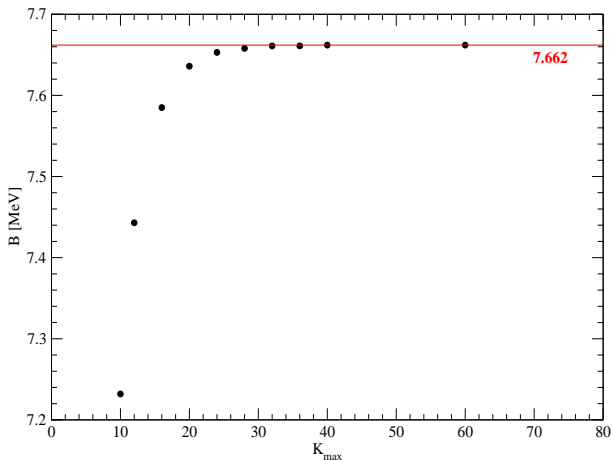
Scattering states

$$\Psi_{LSJ} = \Psi_{core}^{LSJ} + \Psi_{asym}^{LSJ}$$

- $\Psi_{core}^{LSJ} = \sum_{\mu} c_{\mu} \Psi_{\mu}$
- $\Psi_{asym}^{LSJ} \propto \Omega_{LS}^R + \sum_{L'S'} R_{LL',SS'} \Omega_{L'S'}^I$
- Kohn var. principle: $[R_{LL',SS'}] = R_{LL',SS'} - \langle \Psi_{L'S'J} | H - E | \Psi_{LSJ} \rangle$
 $\Rightarrow R_{LL',SS'} \rightarrow$ phase-shifts and mixing angles \rightarrow scatt. length

Convergence of the method

$B(^3\text{H})$ with first 3 spin-isospin channel – N3LO




History


- *Park et al.* in heavy-baryon χ PT (HB χ PT)
→ since \simeq 1995
- \mathbf{j}^γ • *Pastore et al.* in time-ordered perturbation theory (TOPT)
→ since 2009
- *Kölling et al.* with the unitary transform method
→ in parallel since 2009
- \mathbf{j}^A • *Park et al.* in HB χ PT → since \simeq 2000
- *Baroni et al.* in TOPT → work in progress

To be remarked:

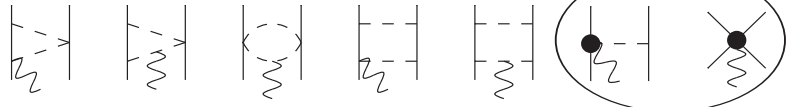
- *Park et al.* currents ready **BEFORE** the χ EFT potentials
⇒ “hybrid” χ EFT
- *Park et al.*: only available FULL set

Power counting for \mathbf{j}^γ

$\mathcal{O}(Q^{-2})$  $\mathbf{j}^{(-2)} \propto [e_N(1)(\mathbf{p}'_1 + \mathbf{p}_1) + i\mu_N(1)\sigma_1 \times \mathbf{q}] \times \delta(\mathbf{p}'_2 - \mathbf{p}_2) + 1 \leftrightarrow 2$

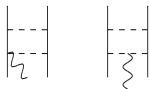
$\mathcal{O}(Q^{-1})$  "standard" one - pion - exchange

$\mathcal{O}(Q^0)$  ■ = relativistic corrections

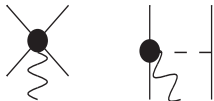
$\mathcal{O}(Q^1)$ 

- Similar results between *Pastore et al.* and *Kölling et al.*
- Differences with *Park et al.*

for the box-diagrams

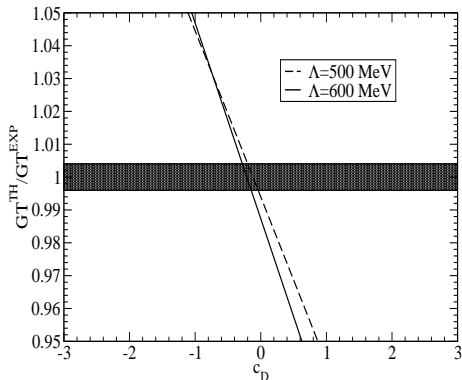
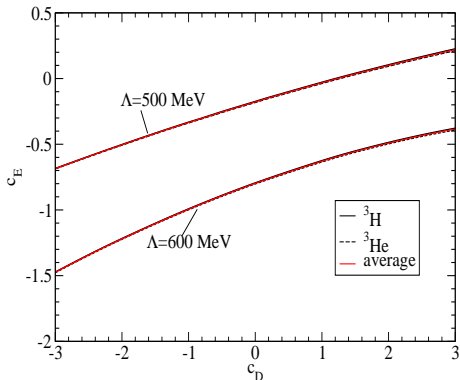


for the terms



Park et al. χ EFT EM currents should be reconsidered

- LECs fitted to selected **EM observables** [σ_{np} , μ_d , $\mu^{S/V}(A=3)$]



⇒ $\{c_D; c_E\}_{MAX}$ and $\{c_D; c_E\}_{MIN}$

Model	Λ [MeV]	c_D	c_E	$B(^4\text{He})$ [MeV]	$^2a_{nd}$ [fm]
N3LO/N2LO*	500	1.0	-0.029	28.36	0.675
N3LO/N2LO	500	-0.12	-0.196	28.49	0.666
N3LO/N2LO	600	-0.26	-0.846	28.64	0.696
Exp.				28.30	0.645(10)

Marcucci *et al.*, PRL **108**, 052502 (2012); Viviani *et al.*, PRL **111**, 172302 (2013)

Nuclear transition operators in χ EFT at N3LO

$$\text{Weak operators: } \rho^{(V/A)}, \mathbf{j}^{(V/A)}$$
$$\text{CVC} \Rightarrow \rho^V / \mathbf{j}^V \rightarrow \rho^\gamma / \mathbf{j}^\gamma$$

Park *et al.*, PRC **67**, 055206 (2003)
Song *et al.*, PRC **79**, 064002 (2009)

→

only available χ EFT weak transition operators

- **One-body operators:** NRR of $j_i^\mu \rightarrow O(1/m^2)$
- **Two-body $\rho^{(A)}$:** soft π -exchange dominant
- **Two-body $\rho^{(V)}$ = 0** at N3LO
- **Two-body $\mathbf{j}^{(V)}$:** CVC \rightarrow EM current:
 $1\pi + 1\pi C + 2\pi + \text{CT} \rightarrow$ **two LECs (g_{4S} & g_{4V})** \Rightarrow
from $\mu(^3\text{H} - ^3\text{He})$
- **Two-body $\mathbf{j}^{(A)}$:** $1\pi + \text{CT} \rightarrow$ **one LEC (d_R)** \Rightarrow
from GT_{exp} of tritium β -decay

Gaussian regulator $\rightarrow \Lambda \simeq 500 - 800$ MeV

Nuclear transition operators in PMA

- One-body operators: NRR of $j_i^\mu \rightarrow O(1/m^2)$
- Two-body $\rho^{(V)}$ and $\mathbf{j}^{(V)}$: CVC \rightarrow EM operators (MEC+ $\mathbf{j}^{(V)}(\Delta)$)

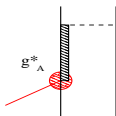
	$\mu(^3\text{H})$	$\mu(^3\text{He})$
1b	2.5745	-1.7634
Full	2.9525	-2.1299
Exp.	2.9790	-2.1276

$$\text{AV18+UIX} \\ \Rightarrow \text{Full} = 1\text{b} + 2\text{b} + 3\text{b} \quad [1]$$

[1] Marcucci *et al.*, PRC **72**, 014001 (2005)

- Two-body $\rho^{(A)}$: PCAC + low-energy theorem \rightarrow π -exchange and short-range terms
- Two-body $\mathbf{j}^{(A)}$: π - and ρ -exchange, $\pi\rho$ mechanism, and $\mathbf{j}^{(A)}(\Delta)$

Largest contribution to $\mathbf{j}^{(A)}(\Delta)$ from



g_A^* fit to observable: GT_{exp} of tritium β -decay

The proton-proton weak capture reaction

$S(E)$
in χ EFT and
PhenAp

- Energy range 2 keV – 100 keV
- PhenAp [AV18] or χ EFT [N3LO] + FULL EM interaction
- pp $L \leq 1$ partial waves: 1S_0 + all P -waves

$S(0) - ^1S_0$
(in 10^{-23} MeV fm²)

	$V_{nucl} + V_{Coul}$	$V_{nucl} + V_{EM}$
PhenAp-IA	3.99	3.96
PhenAp-FULL	4.03	4.00
χ EFT(500)-IA	3.96	3.94
χ EFT(500)-FULL	4.03	4.01
χ EFT(600)-IA	3.94	3.93
χ EFT(600)-FULL	4.01	4.01

- agreement with $S^{\text{SFII}}(0) = 4.01(1 \pm 0.009) \times 10^{-23}$ MeV fm²
- $V_{EM} - V_{Coul} \rightarrow \leq 1$ % effect
- agreement PMA- χ EFT
- very small cutoff dependence (≤ 1 %)

SFII: Adelberger *et al.*, RMP **83**, 195 (2011)

Cumulative contributions to $S(0)$

	1S_0	$\dots + ^3P_0$	$\dots + ^3P_1$	$\dots + ^3P_2$
PMA	4.000(3)	4.003(3)	4.015(3)	4.033(3)
χ EFT(500)	4.008(5)	4.011(5)	4.020(5)	4.030(5)
χ EFT(600)	4.007(5)	4.010(5)	4.019(5)	4.029(5)

- P -waves contribution to $S(0) \simeq 1\%$
- theoretical uncertainty very small
- very small cutoff dependence ($< 1\%$)

$$S(0) = 4.03(1 \pm 0.006) \times 10^{-23} \text{ MeV fm}^2$$

vs.

$$S(0)^{\text{SFII}} = 4.01(1 \pm 0.009) \times 10^{-23} \text{ MeV fm}^2$$

Age determination in stellar cluster (I)

- **Stellar cluster**: stars with **same** age, **same** chemical composition and **same** distance from Earth, but **different masses** \Rightarrow from the same starting nebula
- Age determined through the luminosity of the point corresponding to the **central H-exhaustion** (T_0 for Sun-like stars or DC for larger mass stars)
- Stars in clusters **older than ~ 10 Gyr** \rightarrow **pp chain** during the MS phase
- Stars **close to the T_0 phase in younger clusters** \rightarrow **CNO-cycle** (and small contribution from pp chain)

\Rightarrow **pp reaction efficiency is CRUCIAL**

Age determination in stellar cluster (II)

