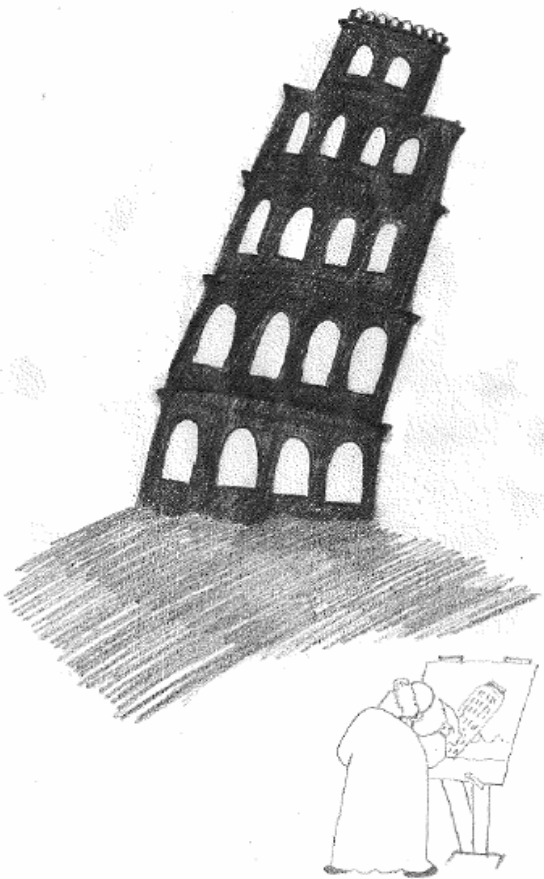


FUNDAMENTAL PROBLEMS IN QUANTUM PHYSICS

DISSIPATIVE MODELS OF SPONTANEOUS WAVE-FUNCTION COLLAPSE

ANDREA SMIRNE & ANGELO BASSI



UNIVERSITÀ
DEGLI STUDI DI TRIESTE



ulm university universität
uulm

In collaboration with Bassano Vacchini, University of Milan

Erice, 24 March 2015

*"They should not have saved the money on
the foundations"*

1. MOTIVATION:

- *Brief introduction to collapse models*
- *Energy divergence in GRW and CSL models*

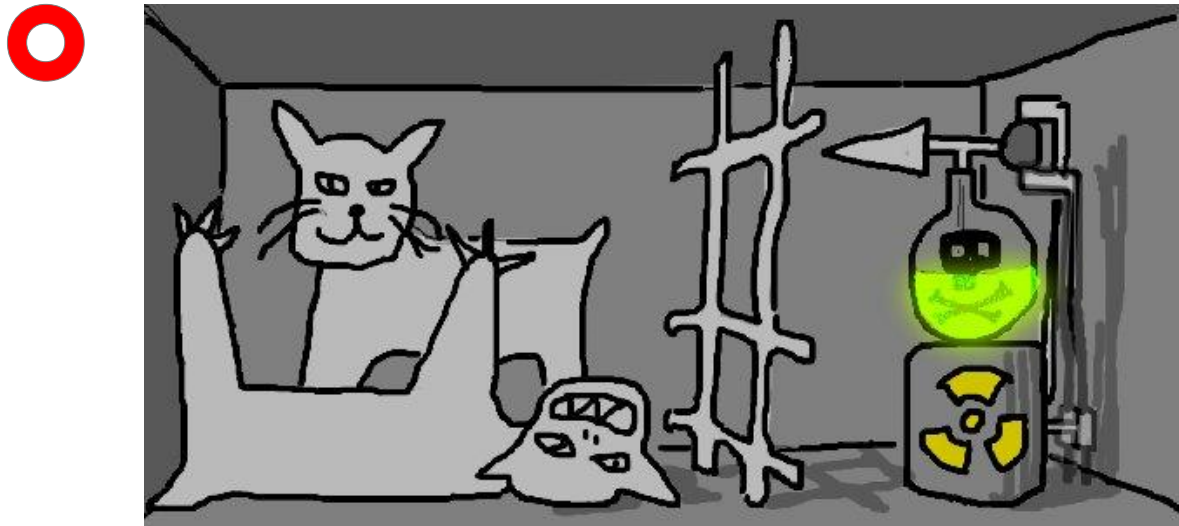
2. DISSIPATIVE COLLAPSE MODELS

- *Momentum-dependent localization operators*
 - *Energy relaxation*
 - *Possible experimental effects*

*1. MOTIVATION:
COLLAPSE MODELS AND
THE ENERGY DIVERGENCE*

Collapse models: challenging the superposition principle

- Unified description of microscopic and macroscopic systems



No superposition of macroscopic systems

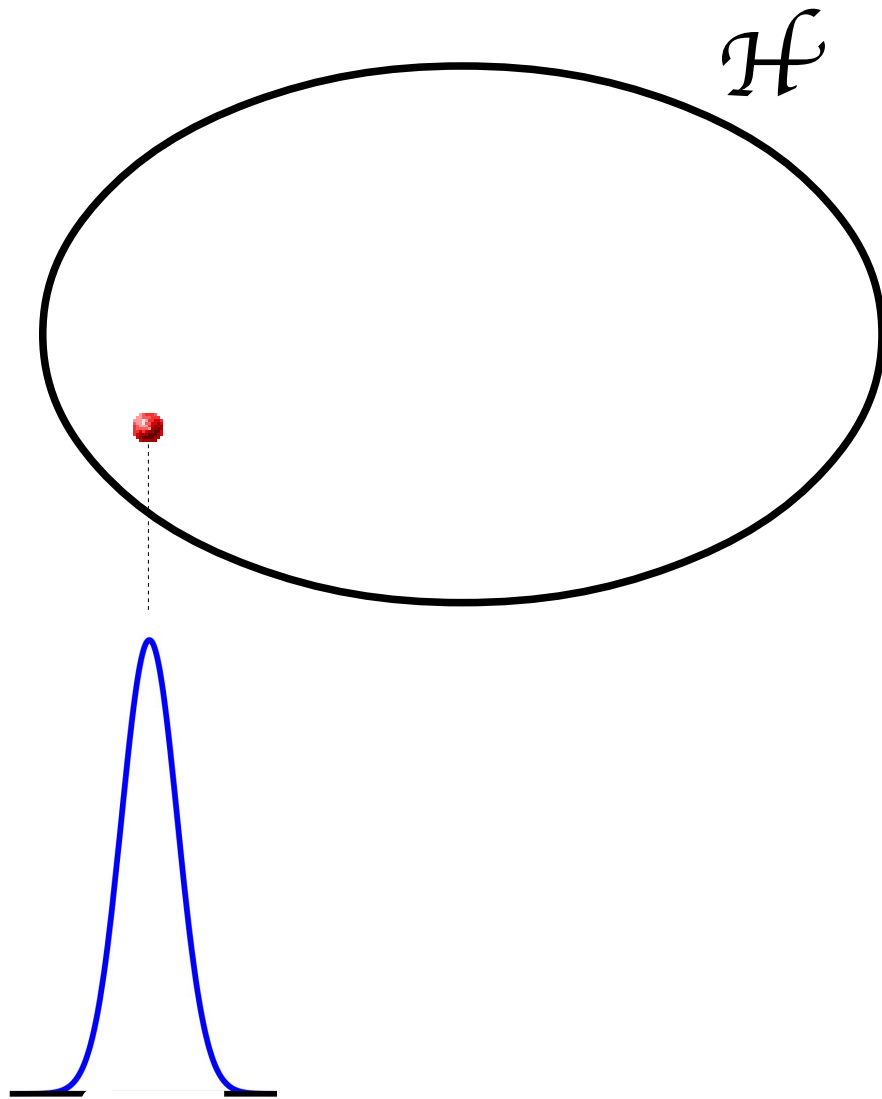
-  Testable predictions different from standard QM

A. Bassi & G.C. Ghirardi, Phys. Rep. 2003

A. Bassi, K. Lochan, S. Satin, T.P. Singh & H. Ulbricht, Rev. Mod. Phys. 2013

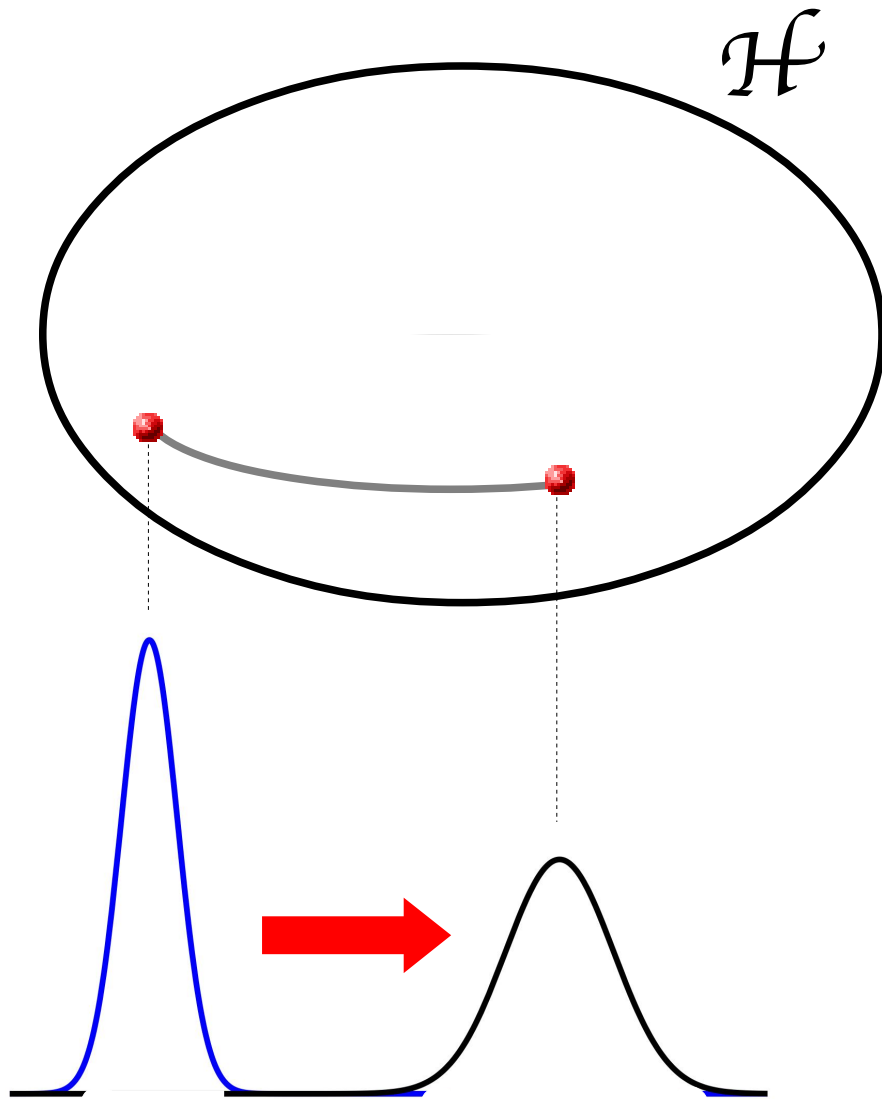
M. Arndt & K. Hornberger, Nat. Phys. 2014

GRW model: wave-function localization



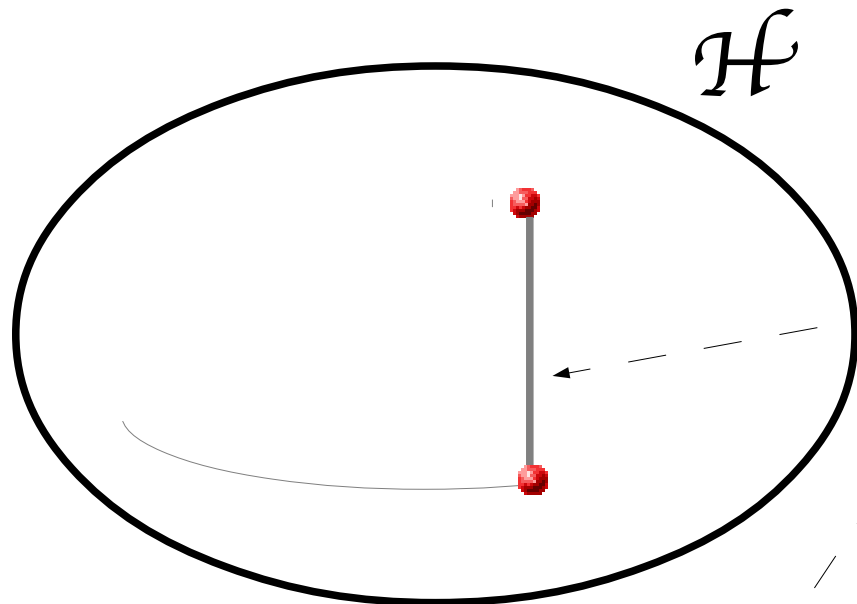
○ Usual Schrödinger evolution

GRW model: wave-function localization



○ Usual Schrödinger evolution

GRW model: wave-function localization

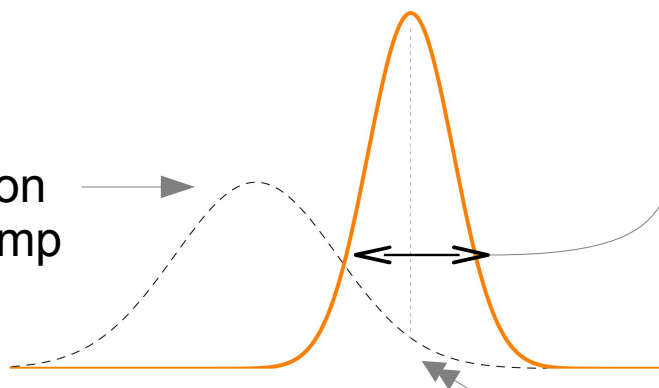


○ Usual Schrödinger evolution

○ Instantaneous jump

$$L_y(\hat{X}) = (\pi r_c^2)^{-1/4} e^{-\frac{(\hat{X} - y)^2}{2r_c^2}}$$

Wave function before the jump



Localization width

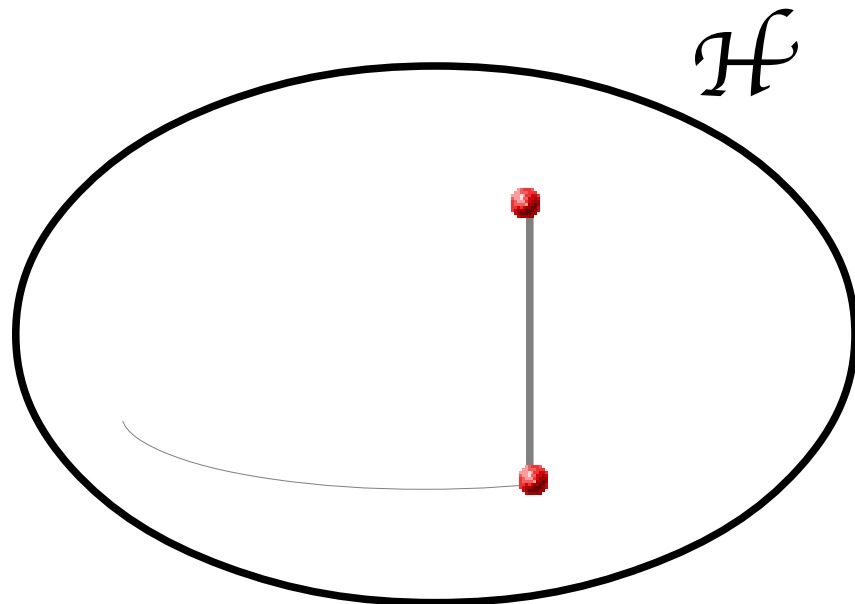
r_c



New parameter

Localization position y

GRW model: wave-function localization

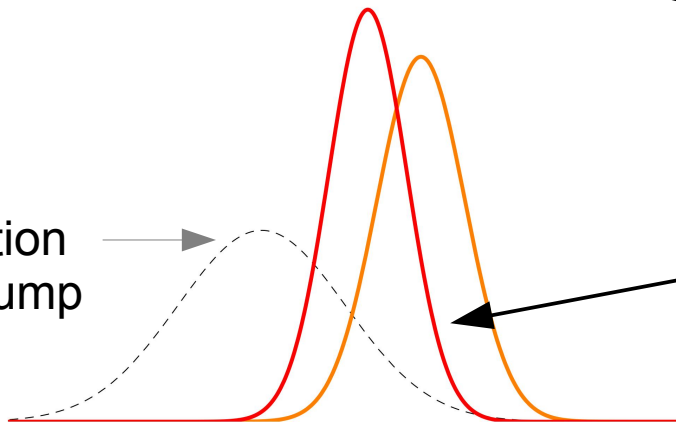


○ Usual Schrödinger evolution

○ *Instantaneous* jump

$$L_y(\hat{X}) = (\pi r_c^2)^{-1/4} e^{-\frac{(\hat{X} - y)^2}{2r_c^2}}$$

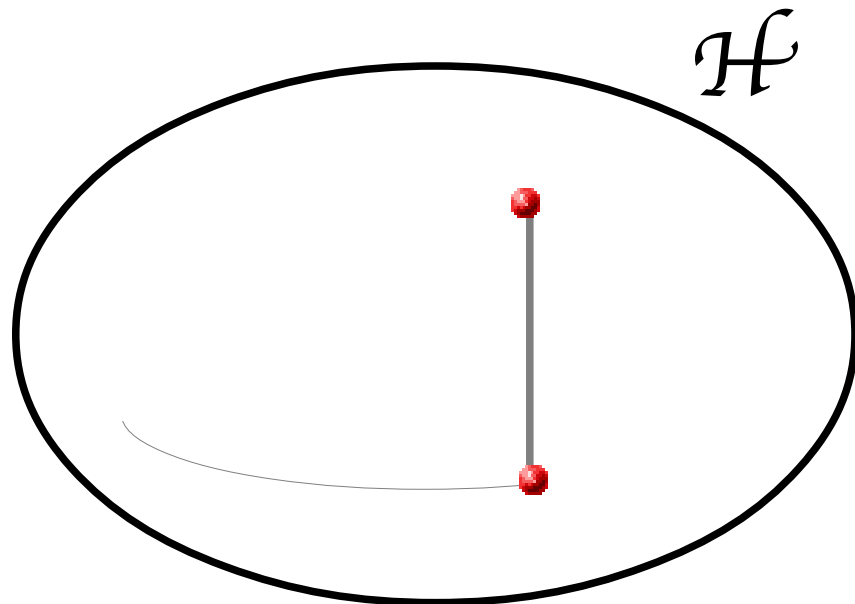
Wave function
before the jump



Wave function
localization!!

$$|\psi(t)\rangle \longrightarrow |\psi_y(t)\rangle \equiv \frac{L_y(\hat{X})|\psi(t)\rangle}{\|L_y(\hat{X})|\psi(t)\rangle\|}$$

GRW model: wave-function localization



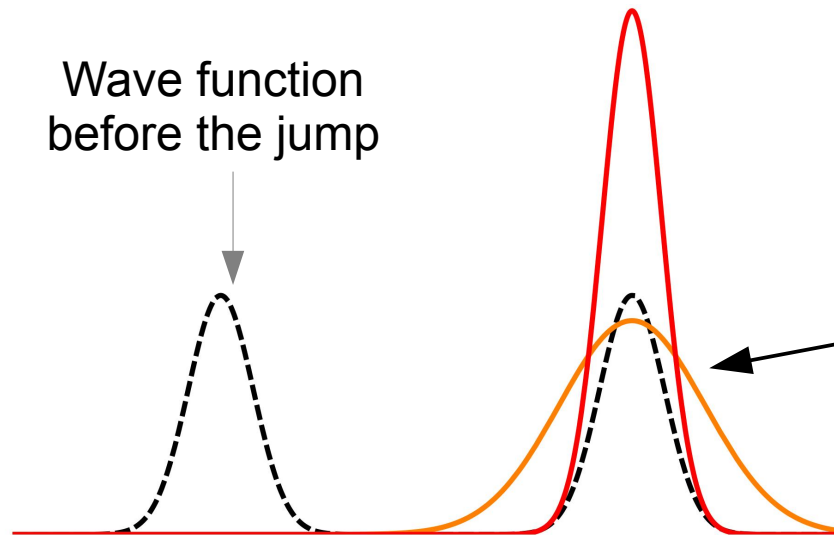
○ Usual Schrödinger evolution

○ Instantaneous jump

$$L_y(\hat{X}) = (\pi r_c^2)^{-1/4} e^{-\frac{(\hat{X} - y)^2}{2r_c^2}}$$

Wave function
before the jump

Destruction of the
superposition



$$|\psi(t)\rangle \longrightarrow |\psi_y(t)\rangle \equiv \frac{L_y(\hat{X})|\psi(t)\rangle}{\|L_y(\hat{X})|\psi(t)\rangle\|}$$

Distribution of the jumps

- Probability distribution of the localization position:

$$p(y) = \|L_y(\hat{X})|\psi(t)\rangle\|^2$$

➔ Dynamical derivation of the Born's rule

- Poisson time-distribution of the jumps

- Localization rate for one nucleon

$$\lambda = 10^{-16} \text{ s}^{-1}$$



New parameter

Microscopic systems are NOT affected by the localization mechanism!

- Localization rate for an N-particle system c.o.m.

$$\lambda_{macro} = N \lambda$$



Amplification mechanism: macroscopic systems are strongly affected !

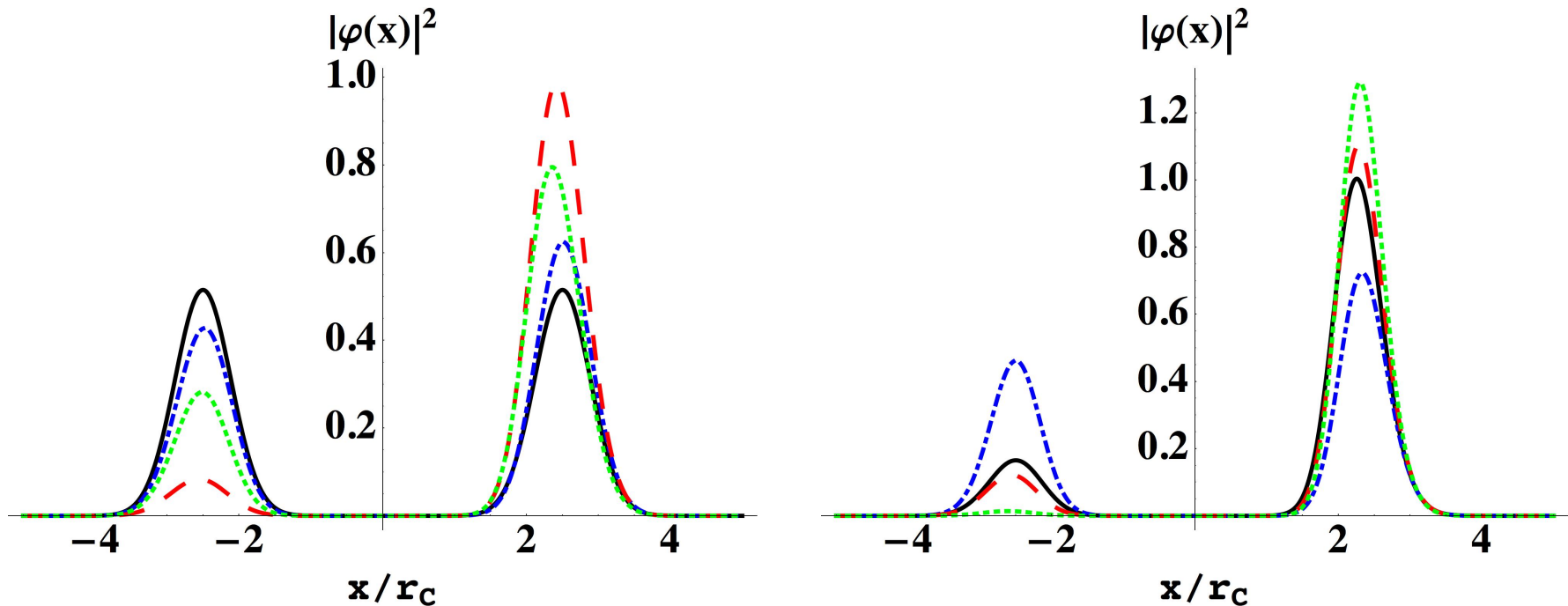
CSL model: SDE and localization

$$d|\varphi_t\rangle = \left[-\frac{i}{\hbar} \hat{H} dt + \frac{\sqrt{\gamma}}{m_0} \int d\mathbf{y} [\hat{M}(\mathbf{y}) - \langle M(\mathbf{y}) \rangle_t] dW_t(\mathbf{y}) - \frac{\gamma}{2m_0^2} \int d\mathbf{y} [\hat{M}(\mathbf{y}) - \langle M(\mathbf{y}) \rangle_t]^2 dt \right] |\varphi_t\rangle$$

G.C. Ghirardi, P. Pearle, and A. Rimini, Phys. Rev. A 1990

• Smearred mass density operator $\hat{M}(\mathbf{y}) = \sum_j m_j \int \frac{d\mathbf{x}}{(\sqrt{2\pi}r_C)^3} e^{-\frac{|\mathbf{y}-\mathbf{x}|^2}{2r_C^2}} \hat{\psi}_j^\dagger(\mathbf{x}) \hat{\psi}_j(\mathbf{x})$

It also applies to identical particles !!



CSL model: SDE and localization

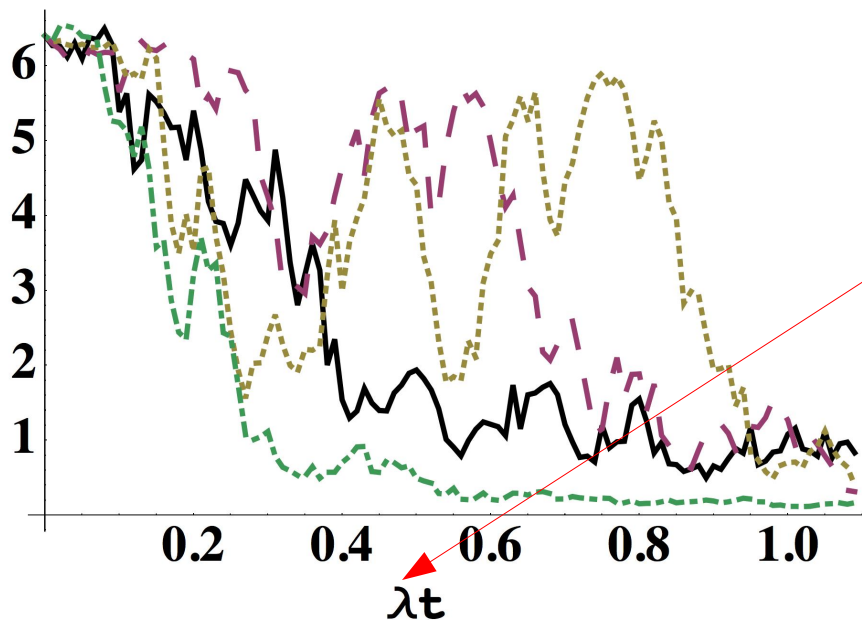
$$d|\varphi_t\rangle = \left[-\frac{i}{\hbar} \hat{H} dt + \frac{\sqrt{\gamma}}{m_0} \int d\mathbf{y} [\hat{M}(\mathbf{y}) - \langle M(\mathbf{y}) \rangle_t] dW_t(\mathbf{y}) - \frac{\gamma}{2m_0^2} \int d\mathbf{y} [\hat{M}(\mathbf{y}) - \langle M(\mathbf{y}) \rangle_t]^2 dt \right] |\varphi_t\rangle$$

G.C. Ghirardi, P. Pearle, and A. Rimini, Phys. Rev. A 1990

Smearred mass density operator $\hat{M}(\mathbf{y}) = \sum_j m_j \int \frac{d\mathbf{x}}{(\sqrt{2\pi}r_C)^3} e^{-\frac{|\mathbf{y}-\mathbf{x}|^2}{2r_C^2}} \hat{\psi}_j^\dagger(\mathbf{x}) \hat{\psi}_j(\mathbf{x})$

It also applies to identical particles !!

$(\Delta_t \mathbf{x})^2 / r_C^2$

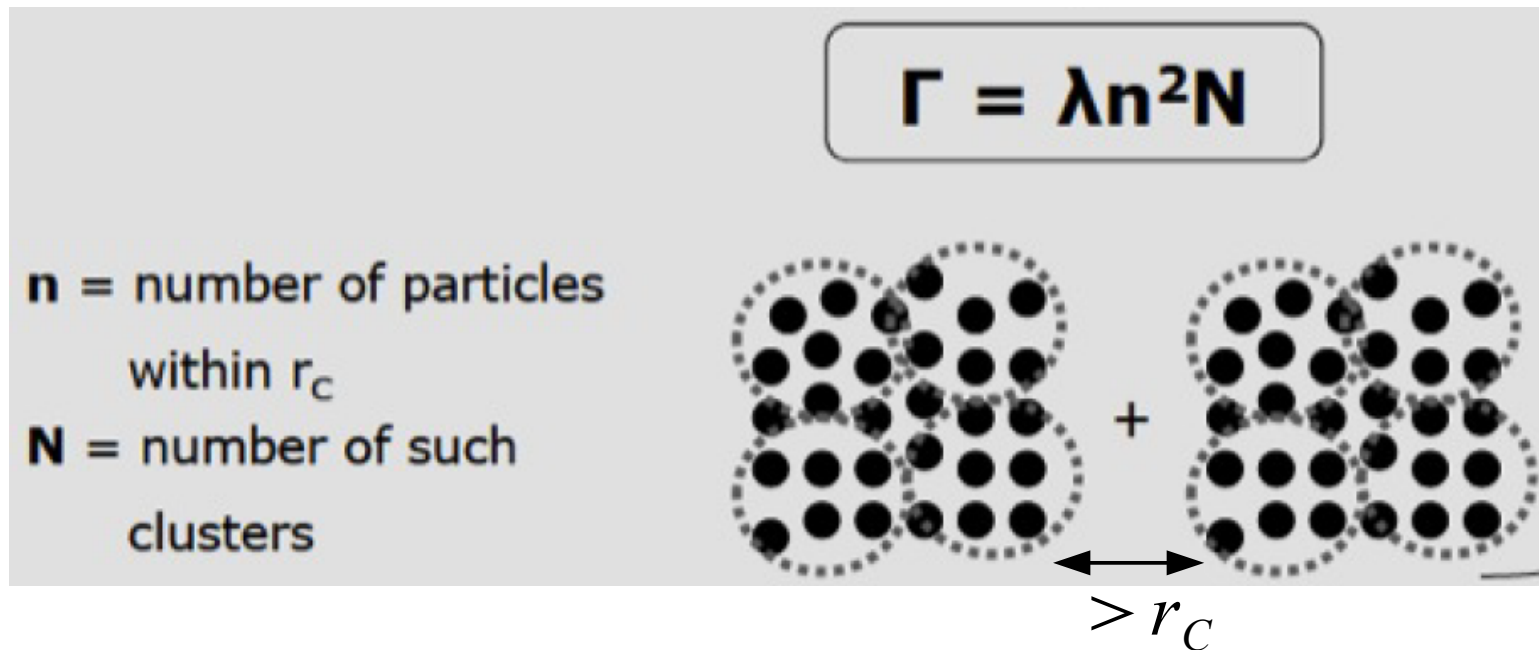


Localization rate

$$\lambda_{\text{CSL}} = \frac{\gamma}{(4\pi r_C^2)^{3/2}}$$

Amplification mechanism in CSL

- Collapse rate for the center of mass of a composite object



→ Quantum properties of microscopic systems (few constituents)
Classical properties of macroscopic systems (many constituents)

Quadratic increase with the number of constituents

Specific feature of the action of the noise on identical particles !!

Energy divergence

The average energy of the system diverges linearly in time, with a rate

$$\xi = \frac{\hbar^2 \lambda}{4Mr_c^2} \approx 10^{-25} \text{ eV s}^{-1}$$

Upper bounds on λ	
Laboratory experiments	Decades above the conventional value
Fullerene diffraction experiments	13
Decay of supercurrents	14
Spontaneous x-ray emission from Ge	6
Proton decay	18
Mirror cantilever interferometric experiment	9
Cosmological data	Decades above the conventional value
Dissociation of cosmic hydrogen	17
Heating of intergalactic medium (IGM)	8
Heating of interstellar dust grains	15

• S. Adler & A. Bassi, Science, 325 (2009)

• S. Adler JPA, 40 (2007)

2nd strongest bound on λ !!

Secular energy increase compatible with experimental data

2. DISSIPATIVE COLLAPSE MODELS

A. Smirne, B. Vacchini & A. Bassi, Phys. Rev. A 90, 062135 (2014)

A. Smirne & A. Bassi, arXiv:1408.6446 (2014)

Master equation

○ Statistical operator $\hat{\rho}(t) \equiv \mathbb{E}[|\psi(t)\rangle\langle\psi(t)|]$

Lindblad equation:
Markovian dynamics

$$\frac{d}{dt}\hat{\rho}(t) = -\frac{i}{\hbar} [\hat{H}, \hat{\rho}(t)] + \lambda \left(\int dy L_y(\hat{X}) \hat{\rho}(t) L_y(\hat{X}) - \hat{\rho}(t) \right)$$

$$= -\frac{i}{\hbar} [\hat{H}, \hat{\rho}(t)] + \lambda \left(\int d\mathbf{Q} e^{\frac{i}{\hbar}\mathbf{Q}\cdot\hat{X}} G(\mathbf{Q}) \rho(t) G(\mathbf{Q}) e^{-\frac{i}{\hbar}\mathbf{Q}\cdot\hat{X}} - \rho(t) \right)$$

Fourier Transform: $L_y(\hat{X}) = \int \frac{d\mathbf{Q}}{(2\pi\hbar)^{3/2}} e^{\frac{i}{\hbar}\mathbf{Q}\cdot(\hat{X}-y)} G(\mathbf{Q})$

Describes also recoil-free
collisional decoherence

• $G(\mathbf{Q}) = \left(\frac{r_c}{\sqrt{\pi\hbar}}\right)^{3/2} \exp\left(-\frac{r_c^2|\mathbf{Q}|^2}{2\hbar^2}\right)$

$M \longrightarrow \infty$



Pure position-decoherence dynamics

NO DISSIPATION

○ To include dissipation

$G(\mathbf{Q}) \longrightarrow G(\mathbf{Q}, \hat{P})$

Dissipative localization operators

$$L_y(\hat{X}, \hat{P}) = \int \frac{d\mathbf{Q}}{(2\pi\hbar)^{3/2}} e^{\frac{i}{\hbar}\mathbf{Q}\cdot(\hat{X}-y)} G(\mathbf{Q}, \hat{P}) \frac{\hbar}{2m\underline{v}_\eta r_C}$$

$$G(\mathbf{Q}, \hat{P}) \propto \exp\left(-\frac{r_C^2}{2\hbar^2} \left| (1+k)\mathbf{Q} + 2k\hat{P} \right|^2\right)$$



New
parameter

$$v_\eta = \frac{4k_B T r_C}{\hbar}$$

Dissipative localization operators

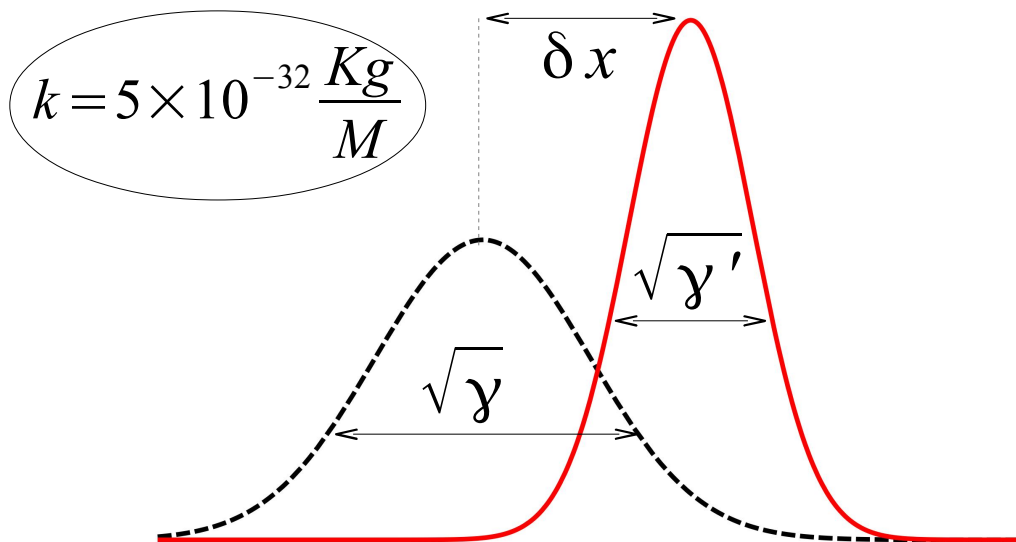
$$L_y(\hat{X}, \hat{P}) = \int \frac{d\mathbf{Q}}{(2\pi\hbar)^{3/2}} e^{\frac{i}{\hbar}\mathbf{Q}\cdot(\hat{X}-y)} G(\mathbf{Q}, \hat{P}) \frac{\hbar}{2m\underline{v}_\eta r_C}$$

$$G(\mathbf{Q}, \hat{P}) \propto \exp\left(-\frac{r_C^2}{2\hbar^2} \left| (1+k)\mathbf{Q} + 2k\hat{P} \right|^2\right)$$



New
parameter

$$v_\eta = \frac{4k_B T r_C}{\hbar}$$



• $\delta x = (1 - f_y)(y - x)$

$$\left(\frac{\gamma}{r_c^2} + 1\right)^{-1} \quad \left(\frac{\gamma}{r_c^2(1-k^2)} + \frac{1-k}{1+k}\right)^{-1}$$

• $y' = g_y^{-1}$

$$\frac{1}{\gamma} + \frac{1}{r_c^2} \quad \frac{(1-k)^2}{\gamma(1+k)^2} + \frac{1}{r_c^2(1+k)^2}$$

Dissipative localization operators

$$L_y(\hat{X}, \hat{P}) = \int \frac{d\mathbf{Q}}{(2\pi\hbar)^{3/2}} e^{\frac{i}{\hbar}\mathbf{Q}\cdot(\hat{X}-y)} G(\mathbf{Q}, \hat{P}) \frac{\hbar}{2m\underline{v}_\eta r_C}$$

$$G(\mathbf{Q}, \hat{P}) \propto \exp\left(-\frac{r_C^2}{2\hbar^2} \left| (1+k)\mathbf{Q} + 2k\hat{P} \right|^2\right)$$



New
parameter

$$v_\eta = \frac{4k_B T r_C}{\hbar}$$

$$\sum_i \frac{m_j}{(2\pi\hbar)^3} \int d\mathbf{P} d\mathbf{Q} \hat{a}_j^\dagger(\mathbf{P} + \mathbf{Q}) e^{-\frac{i}{\hbar}\mathbf{Q}\cdot\mathbf{y}} \exp\left(-\frac{r_C^2}{2\hbar^2} \left| (1+k_j)\mathbf{Q} + 2k_j\mathbf{P} \right|^2\right) \hat{a}_j(\mathbf{P})$$

- Gaussian distribution centered around $\frac{-2P_i k_j}{1+k_j}$ $i=x, y, z$
- The action of the noise suppresses high momenta

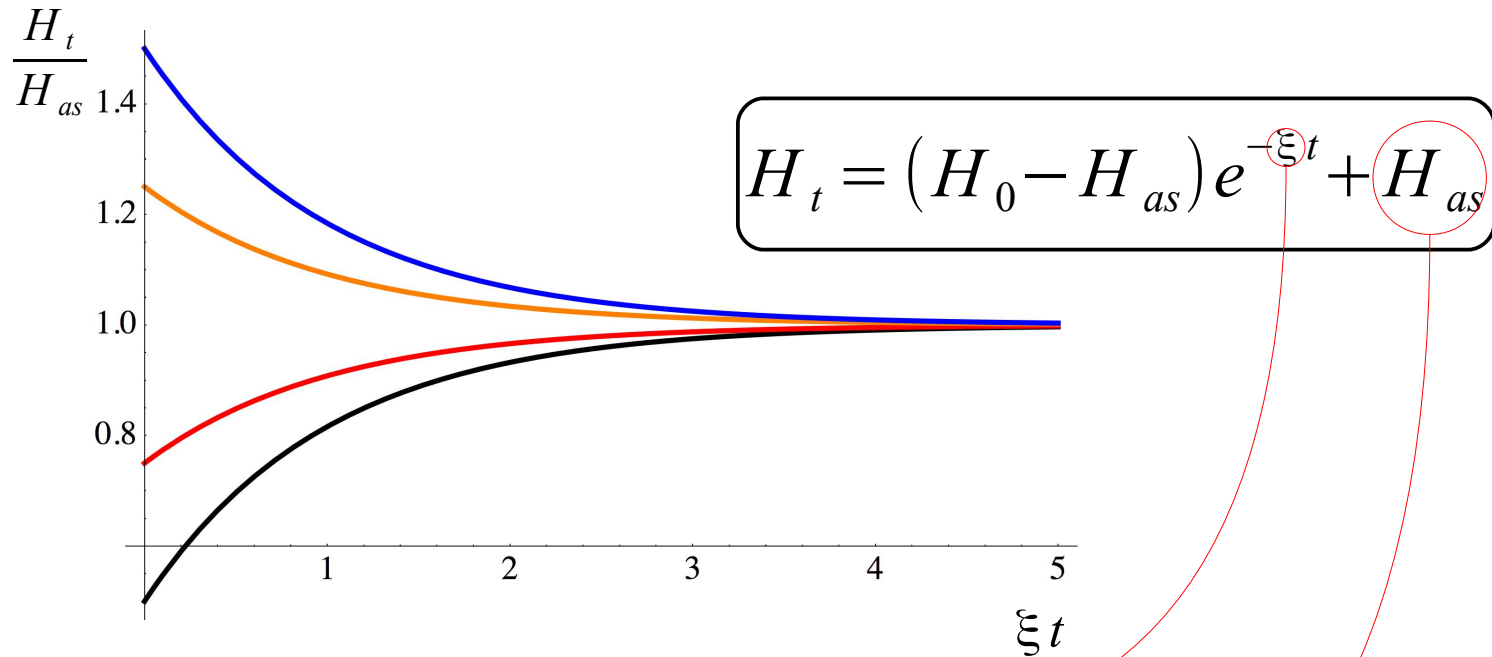
Approach to equilibrium

- Stationary solution in canonical form $\varrho(\hat{\mathbf{P}}) = \left(\frac{\beta}{2m\pi}\right)^{3/2} e^{-\frac{\beta|\hat{\mathbf{P}}|^2}{2m}}$
- Spohn's theorem H.Spohn, Rep. Math. Phys. 10, 189 (1976) & Lett. Math. Phys. 2, 33 (1977)
 - The set $\{L_k, k \in I\}$ is self-adjoint
 - $[A, L_k] = 0 \quad \forall k \quad \longrightarrow \quad A = c\mathbb{1}$
- Relaxing semigroup: ● unique stationary solution
 - $\lim_{\tau \rightarrow \infty} \mathcal{E}_\tau(\rho) = \rho_{ss} \quad \forall \rho$
- Collisional dynamics of a tracer particle in a low density background gas (weak coupling regime)

Energy relaxation

- Mean value of the kinetic energy

$$H_t \equiv \mathbb{E}[\langle \psi(t) | \frac{\hat{P}^2}{2m} | \psi(t) \rangle] = \text{Tr} \left\{ \hat{\rho}(t) \frac{\hat{P}^2}{2m} \right\}$$



● Rate

$$\frac{4 \lambda k}{(1+k)^5} \approx 10^{-20} \text{ s}^{-1}$$

● Asymptotic energy

$$\frac{3 \hbar^2}{16 k m r_c^2} \approx 10^{-4} \text{ eV}$$

Temperature of the noise

- Equipartition of the energy

$$T = \frac{2 H_{as}}{3 k_B} = \frac{\hbar v_{\eta}}{4 k_B r_C}$$

It does not depend on the mass of the system

- Toward a full reestablishment of the energy conservation: energy exchanged between the system and the noise field



The collapse model is still effective even in the presence of a low-temperature noise ($T \approx 1K \longleftrightarrow v \approx 10^5 m/s$)

Amplification mechanism (updated)

○ Center of mass of an N-particle system $|\varphi_t^{(\text{CM})}\rangle$

● Crucial **assumption**: rigid body $\hat{P}_j \approx M_j \hat{P}_T / M_T$

➔ C.o.m. and internal dynamics are **decoupled**

○ SDE (as well as master equation) as for the 1-particle system

$$\hat{\mathbb{L}}^{(\text{CM})}(\mathbf{y}) = \frac{m}{(2\pi\hbar)^3} \int d\mathbf{Q} \mathcal{F}_r(\mathbf{Q}) e^{\frac{i}{\hbar} \mathbf{Q} \cdot (\hat{\mathbf{x}}_{\text{CM}} - \mathbf{y})} \exp\left(-\frac{r_C^2}{2\hbar^2} \left| (1+k)\mathbf{Q} + 2k\hat{\mathbf{P}}_{\text{CM}}/N \right|^2\right)$$

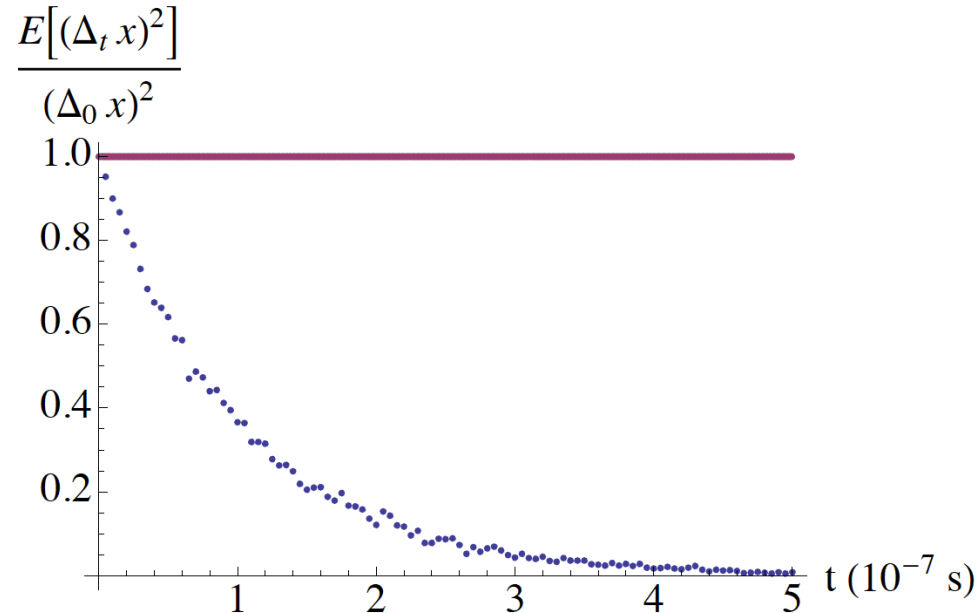
$\mathcal{F}_r(\mathbf{Q}) = \sum_j \exp\left(\frac{i}{\hbar} \sum_{j'} \Lambda_{jj'} \mathbf{Q} \cdot \mathbf{r}_{j'}\right)$ Determines the specific features of localization in the CSL model

○ The wavefunction localization is left practically unchanged

$$\Gamma = \lambda n^2 N$$

Localization vs dissipation

- Stochastic average of the position variance



- Finite asymptotic value of position and momentum variance

- Spherical rigid body of radius $R \gg r_C$

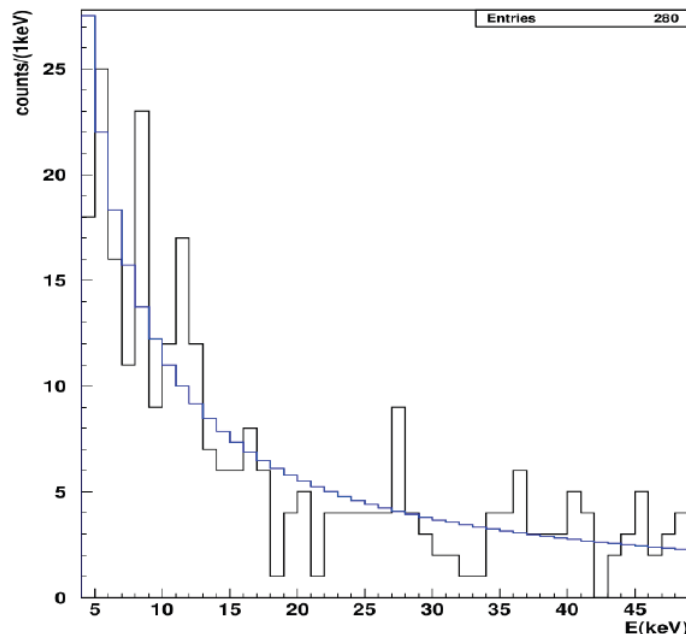
Localization rate $\rightarrow \frac{\Gamma}{\chi} \approx 10^4 N^2 \left(\frac{R}{r_C} \right)^2$

Dissipation rate $\rightarrow \chi$

Possible experimental effects

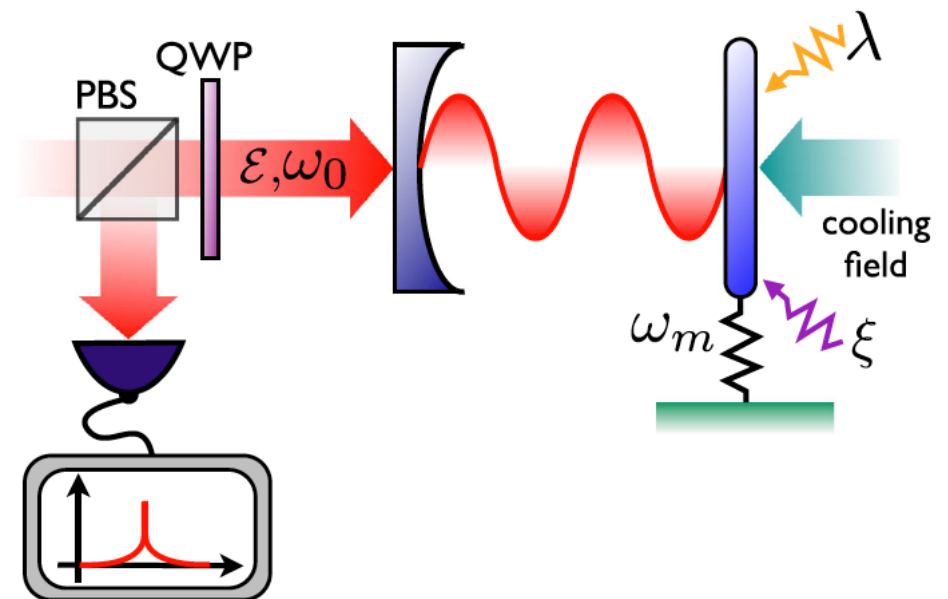
- Cosmological data: bounds to collapse parameters due to secular energy increase have to be reconsidered
- Interferometric experiments: stable against dissipative (long-time) corrections
- Dissipation could play a relevant role in:

X-ray emission



Donadi, Bassi, Deckert, Ann. Phys. 340, 70 (2014)
Curceanu et. al Int. J. Quant. Inf. 12, 1560012 (2014)

Optomechanical systems



Bahrami, Paternostro, Bassi, Ulbricht,
Phys. Rev. Lett. 112, 210404 (2014)

Conclusions and outlooks

- We have extended the GRW and CSL models by means of position and **momentum dependent** localization operators, which induce **energy relaxation** to a finite asymptotic value
- Introduction of a **new parameter**, related to the temperature T of the noise. Effective models also at $T \approx 1 K$
- **Realistic** unified framework for micro and macro systems: energy conservation principle, ***non-Markovianity***,...
- Main goal: development of a first-principle ***underlying theory***, fixing the features of the collapse noise

Acknowledgments



Angelo Bassi

University of Trieste

*Fundamental Problems in Quantum Physics
(Action MP 1006)*



Markus Arndt

University of Vienna

*Testing quantum superposition
in a mass range so far unexplored*



I.S. BELL

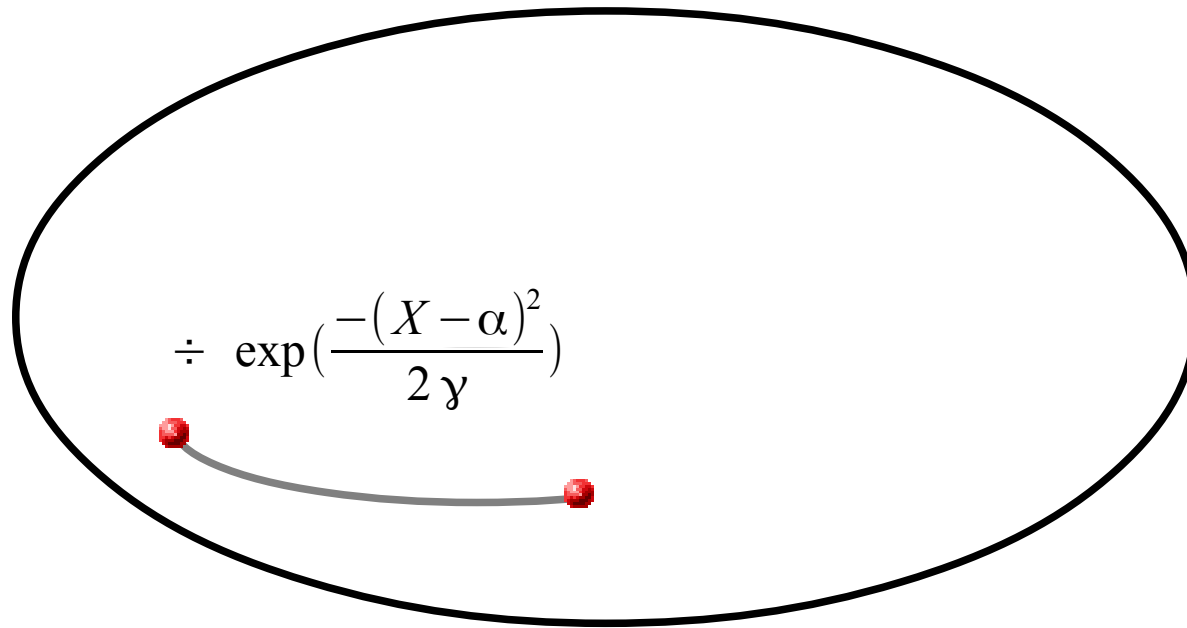
Nino Zanghì

Università di Genova



UNIVERSITÀ
DEGLI STUDI DI TRIESTE

Energy divergence

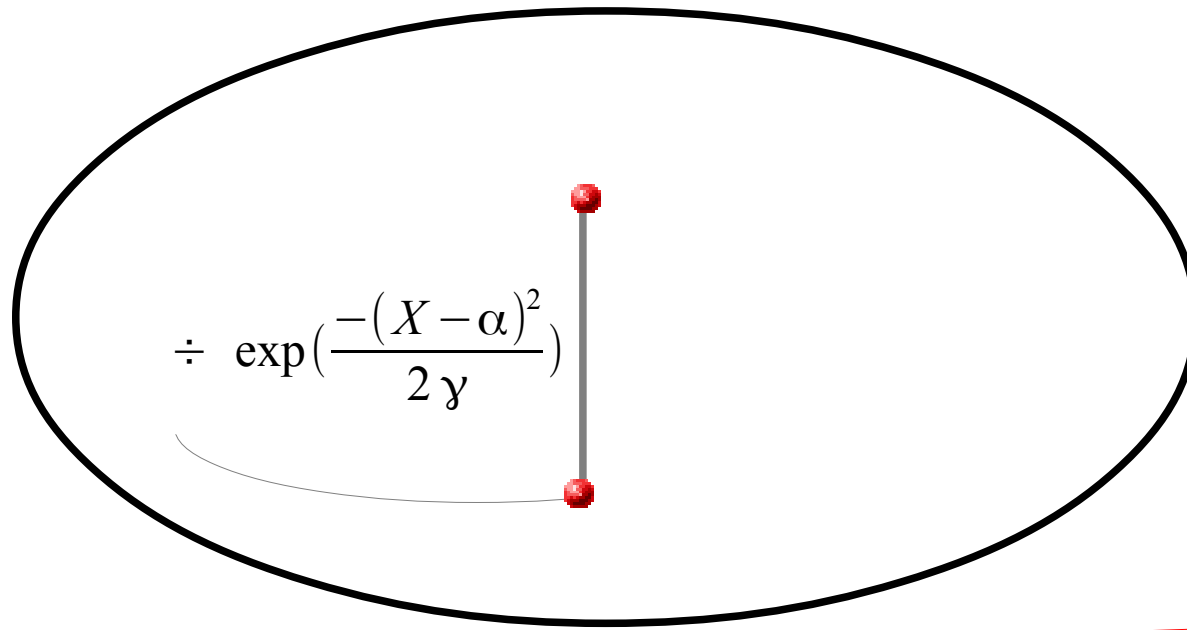


• $\Re(\gamma)$ increases

• $\Im(\gamma) \neq 0$

$\langle P \rangle_t$ constant

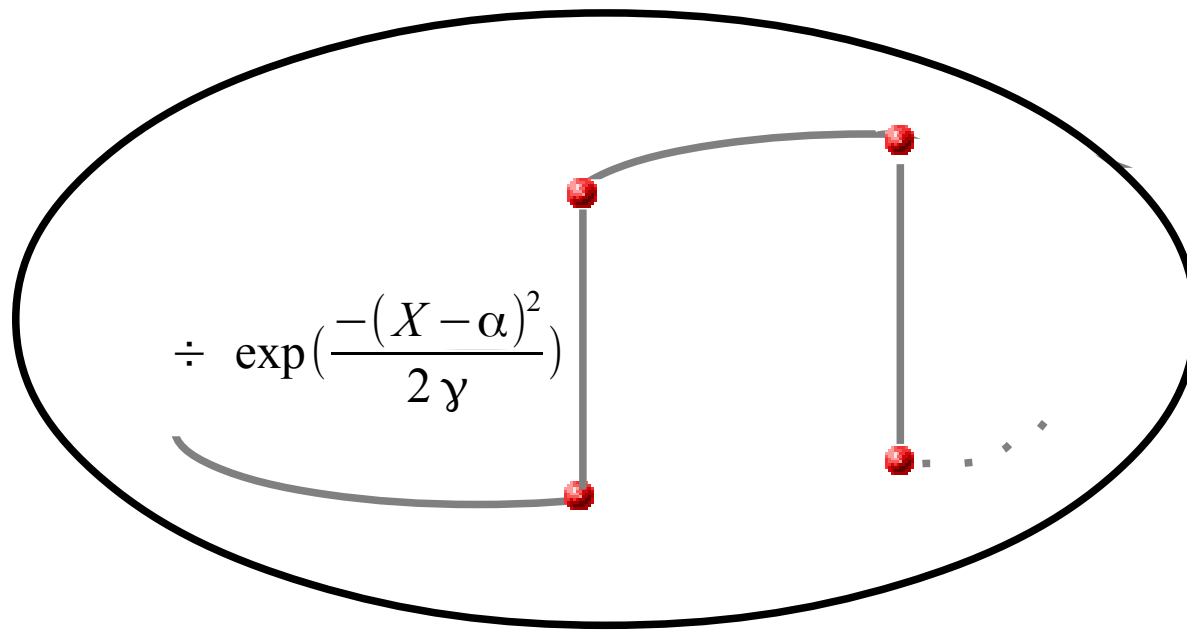
Energy divergence



$\bullet \quad \Im(\gamma) \neq 0$

$$\langle P \rangle \longrightarrow \langle P \rangle + c \Im(\gamma) (\langle X \rangle - y)$$

Energy divergence



● $\Im(\gamma) \neq 0$

$$\langle P \rangle \longrightarrow \langle P \rangle + c \Im(\gamma) (\langle X \rangle - y)$$

Different spatial distributions of the jumps



$$\langle P \rangle_t \longrightarrow \pm \infty$$

$$\langle H \rangle_t = ((\Delta_t P)^2 + \langle P \rangle_t^2) / (2M)$$

The average energy of the system diverges linearly in time, with a rate

$$\xi = \frac{\hbar^2 \lambda}{4Mr_c^2} \approx 10^{-25} \text{ eV s}^{-1}$$