

# ORCA - $\nu$ Oscillation Research with Cosmics in the Abyss within KM3NeT

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27-28 November KM3NeT-Italia  
Collaboration Meeting

# KM3NeT Strategy

- Multi-site experiment
  - High Energy  $\nu$  astronomy offshore Capo Passero
  - ORCA Neutrino Mass Hierarchy offshore Toulon
- KM3NeT Phase 1 -> funded
  - 24 strings + 8 towers to be installed and operational off-shore Capo Passero in 2015-2016
  - 6 strings for ORCA feasibility study off-shore Toulon ->2016
- KM3NeT Phase 1.5 -> not funded, application for new ESFRI proposal
  - Two blocks of 115 strings ( $1\text{-}2\text{km}^3$ ) Lol to be released in January 2015 see R. Coniglione talk
  - ORCA one block of 115 strings i.e. 4 Mton - Lol in preparation to be released at beginning 2015
- KM3NeT Phase 2 see R. Coniglione talk

# Outline

- Motivation
- Aim of the experiment
- Detector design
- Preliminary telescope performances (only official plots)
- First preliminary results on sensitivity (only official plots)
- Conclusions and perspectives

# Neutrino properties

## Pontecorvo-Maki-Nakagawa-Sakata (PMNS) matrix

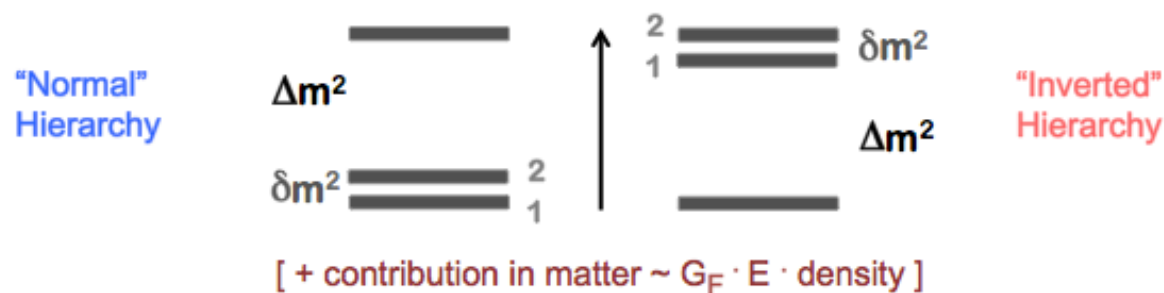
$$U_{\alpha i} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix} \begin{bmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{bmatrix} \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha/2} & 0 \\ 0 & 0 & e^{i\beta/2} \end{bmatrix}$$

[ only if Majorana ]

Mixing angles  $\theta_{23}, \theta_{13}, \theta_{12}$  : known ✓

CP-violat. phase(s)  $\delta (\alpha, \beta)$  : unknown ✗

## Mass-squared spectrum (up to absolute scale)



$\delta m^2, \Delta m^2$  : known ✓

Matter effects (solar  $\nu$ ) : ✓

Hierarchy : unknown ✗

# Neutrino Mass Hierarchy

Current 3 $\nu$  picture in just one slide (with 1-digit accuracy)

Flavors = e  $\mu$   $\tau$



*Terra Cognita:*

$$\begin{aligned} \delta m^2 &\sim 8 \times 10^{-5} \text{ eV}^2 \\ \Delta m^2 &\sim 2 \times 10^{-3} \text{ eV}^2 \\ \sin^2 \theta_{12} &\sim 0.3 \\ \sin^2 \theta_{23} &\sim 0.5 \\ \sin^2 \theta_{13} &\sim 0.02 \end{aligned}$$

*Terra Incognita:*

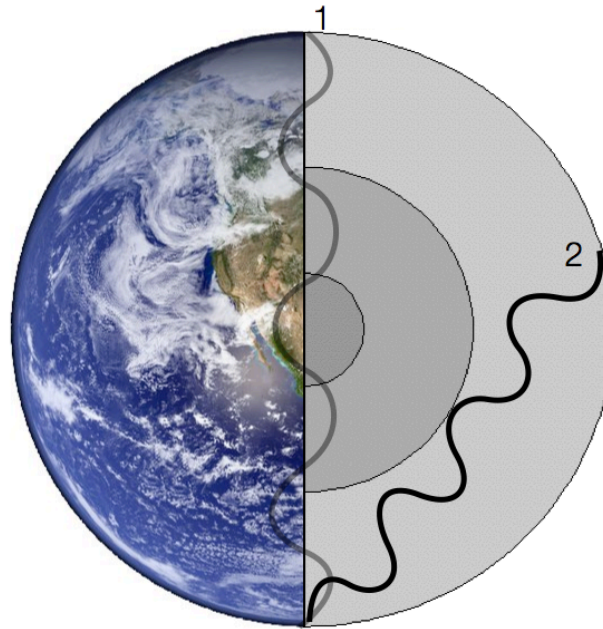
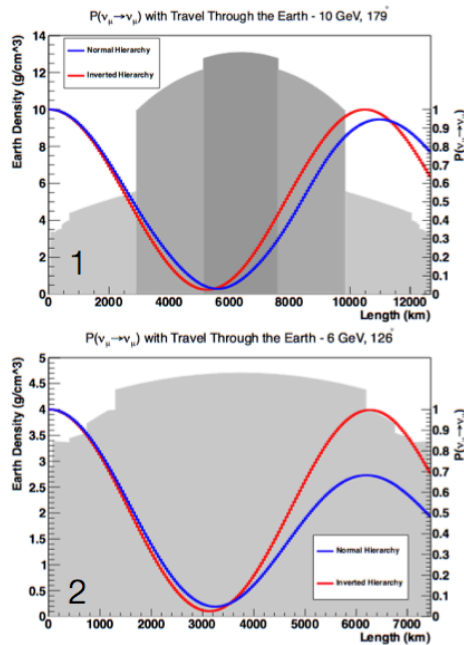
$\delta$  (CP)  
 sign( $\Delta m^2$ )  
 octant( $\theta_{23}$ )  
 absolute mass scale  
 Dirac/Majorana nature

# Method

- Smirnov at Neutrino 2012 => PINGU (IceCube)
- Measuring the Neutrino Mass Hierarchy (NMH) with atmospheric neutrinos in a Mton scale ice/deep sea Cherenkov detector at GeV energy
- MSMW effect on up-going neutrinos passing through Earth modify oscillation pattern allowing to disentangle NMH sign
- Exploit  $\nu_\mu, \nu_e$  oscillation  $P_{\mu e} \leftrightarrow P_{e\mu}$  in atmospheric up-going events

# Measurement of the Neutrino Mass Hierarchy with atmospheric $\nu$

- Broad range of baselines (50 -> 12800 km) and energies (GeV -> O(100 TeV))
- Oscillation signal enhanced at resonance energy in matter



$$P_{e \rightarrow \mu} \approx P_{\mu \rightarrow e} \approx \sin^2 \theta_{23} \sin^2(2\theta_{13}^{\text{eff}}) \sin^2 \left( \frac{\Delta_{13}^{\text{eff}} L}{2} \right)$$

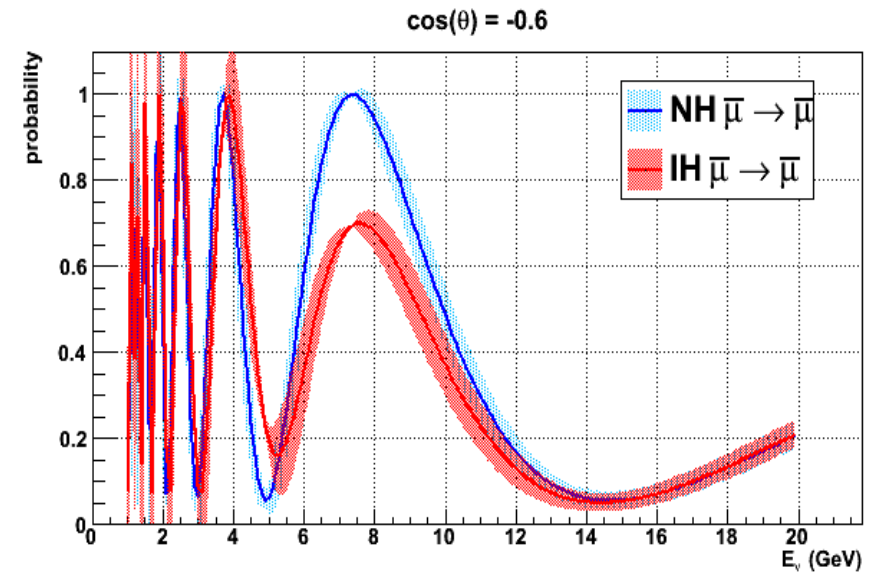
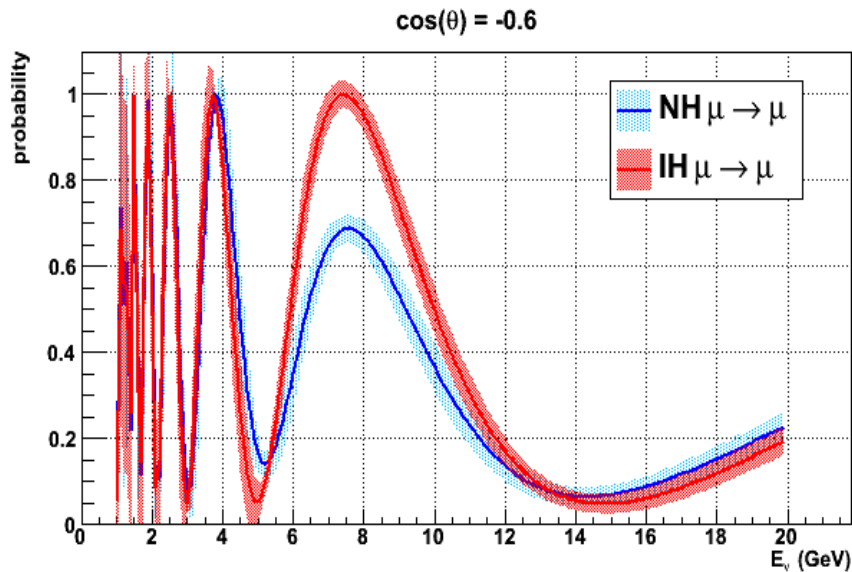
$$\Delta_{13} = \frac{\Delta m_{13}^2}{2E_\nu} \quad \sin^2(2\theta_{13}^{\text{eff}}) = \frac{\Delta_{13}^2 \sin^2(2\theta_{13})}{\Delta_{13}^{\text{eff}2}}$$

$$\Delta_{13}^{\text{eff}} = \sqrt{[\Delta_{13} \cos(2\theta_{13}) - A]^2 + \Delta_{13}^2 \sin^2(2\theta_{13})}$$

$$A = \sqrt{2}G_F N_e \text{ for } \nu \text{ and } A = -\sqrt{2}G_F N_e \text{ for } \bar{\nu}$$

$$E_\nu^{\text{res}} = \pm \frac{\Delta m_{13}^2 \cos(2\theta_{13})}{2\sqrt{2}G_F N_e}$$

# $\nu_\mu$ oscillation probability



neutrinos and anti-neutrinos have opposite behaviour and contributions will cancel if summed, but

- different cross section
- different flux

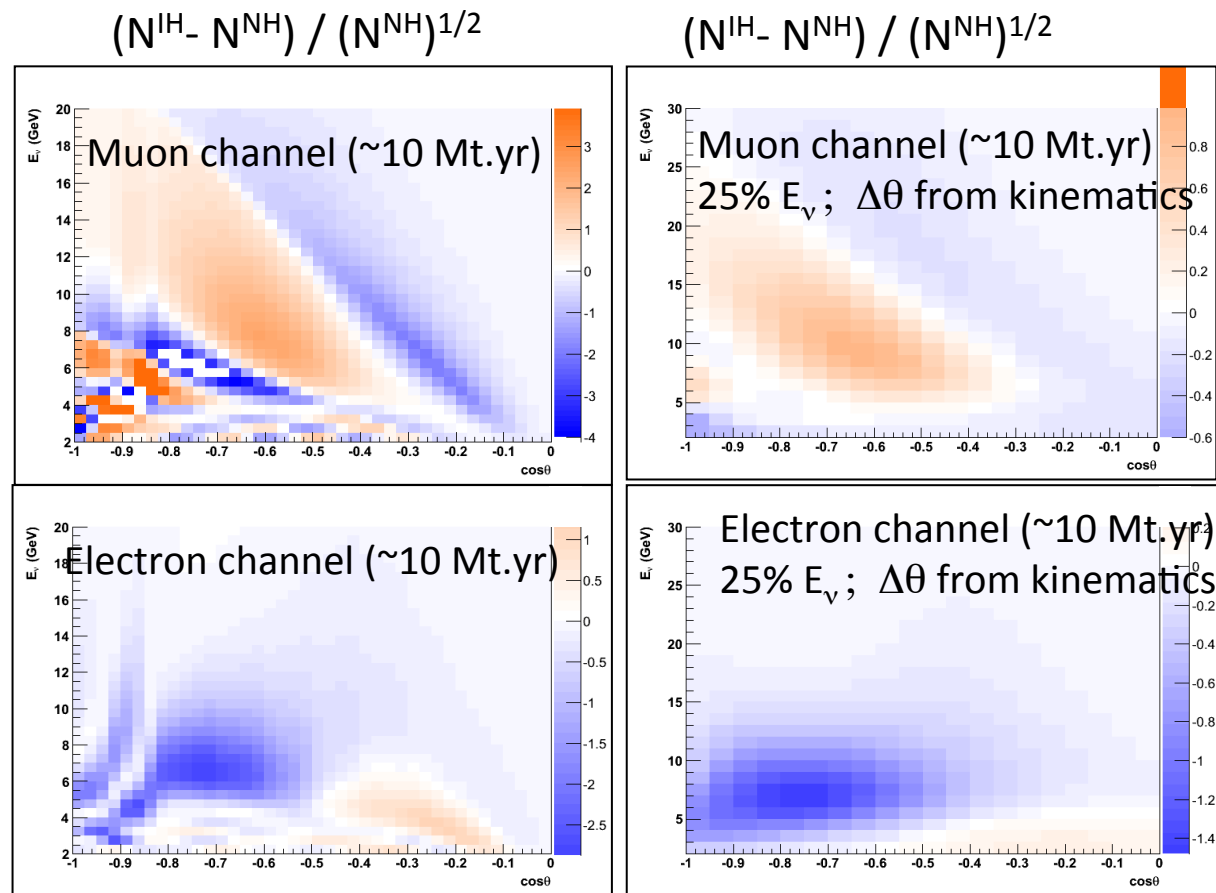


# A very challenging experiments

- Relatively low statistics for energy above 2-3 GeV
- Presence of both neutrinos and anti-neutrinos in the atmospheric flux
  - no magnetic field
  - speculation about experimental access to inelasticity in order to disentangle between neutrinos and anti-neutrinos
- Flavour (mis) identification
- Neutral current vs Cascade events
- Smearing of signal over energies and zenith angles due to experimental resolutions
- Uncertainties due to poor knowledge of
  - neutrino cross sections
  - flux and shape of atmospheric neutrino spectra
  - ...
  - neutrino properties

# Oscillation pattern and sensitivity in the muon and electron channel

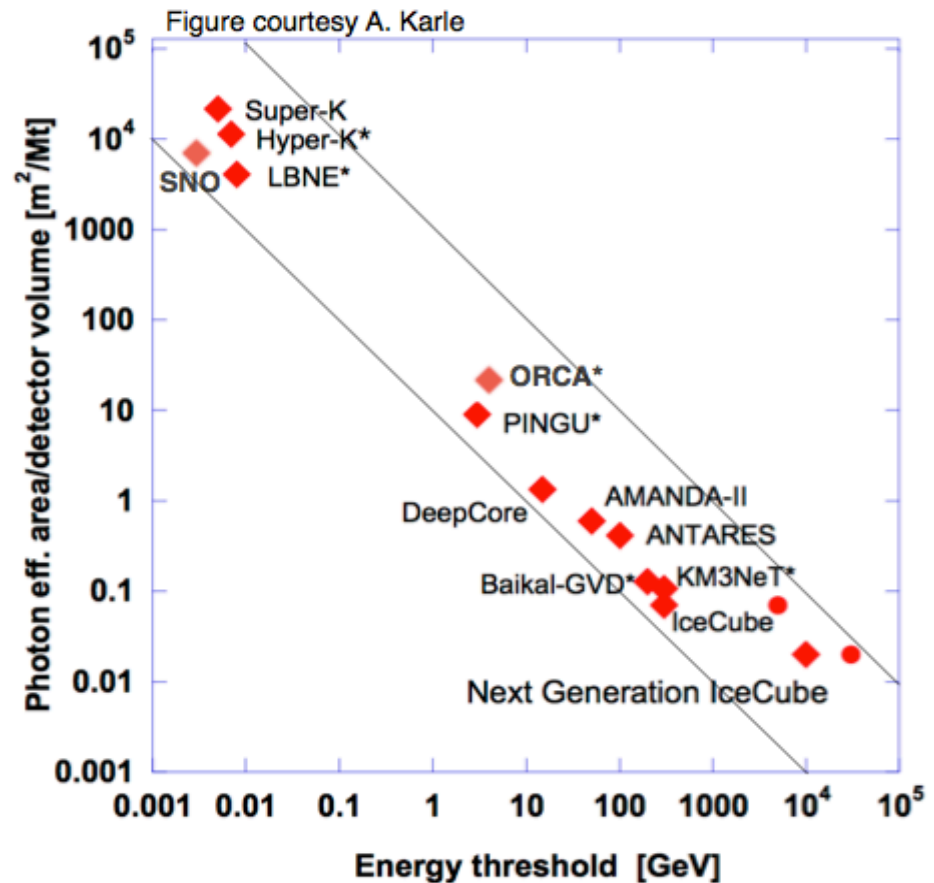
- Sensitivity and detector resolution effects:



- Maximum sensitivity between 5 GeV and 10 GeV

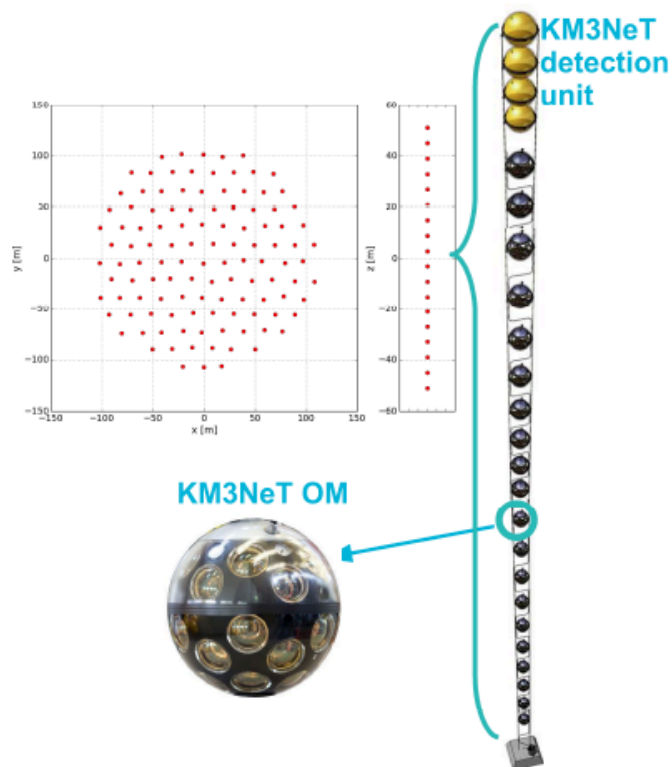
# Detector “granularity”

- ✓ Detection threshold decreases with increasing photocathode area density



# ORCA detector

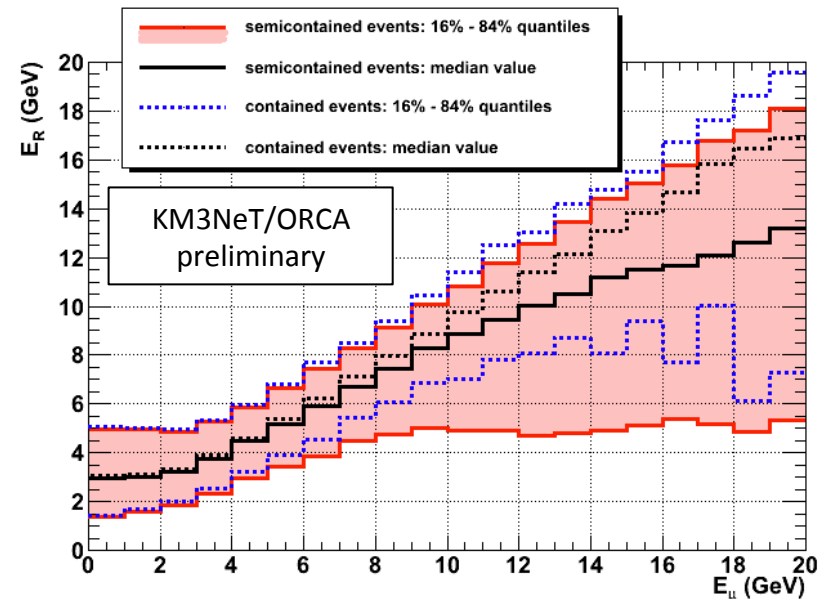
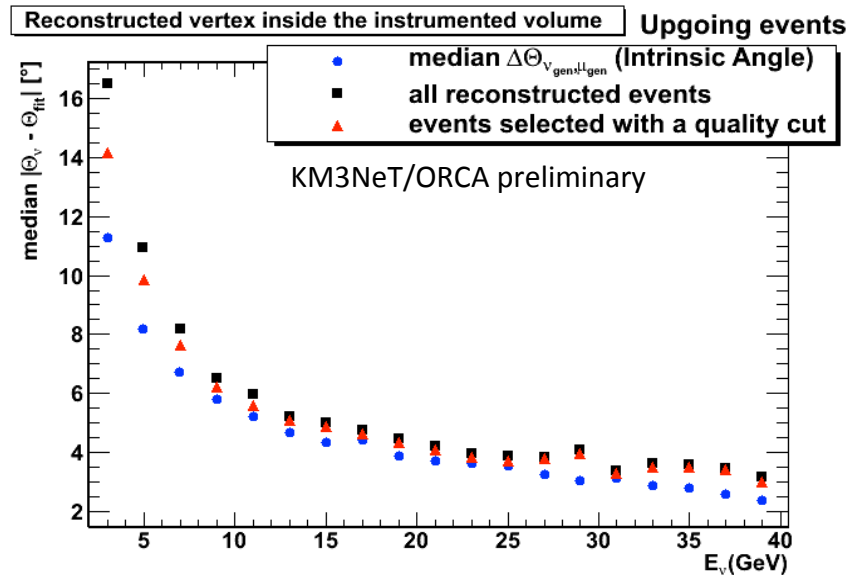
- ORCA is part of the KM3NeT research infrastructure
  - A different detector with same technology, but Mton instead of Gton scale
  - Few GeV signal => more compact detector (75 times denser!)



- 115 detection units, 20m spacing
- 18 Optical Modules (DOMs) per detection unit
- 6m vertical distance between DOMs
- 31 3" PMTs/DOM
- Instrumented volume 3.75 Mtons
- Estimated cost 40 M€ (conservative)
- Geometry optimisation study ongoing

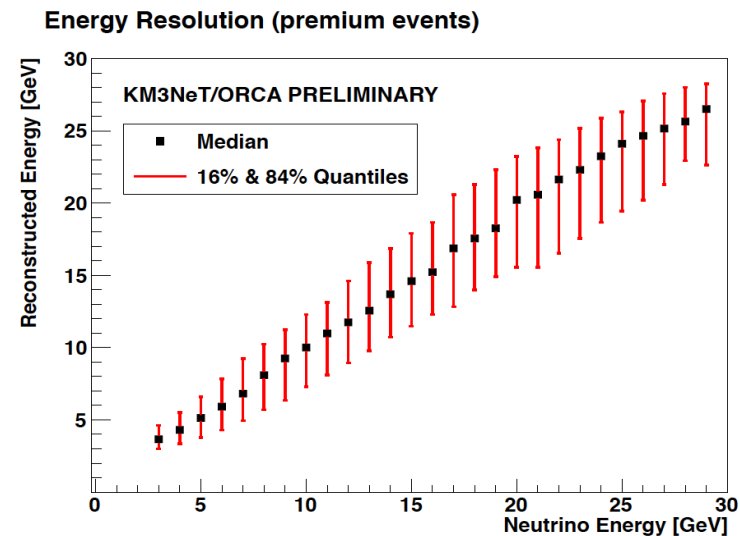
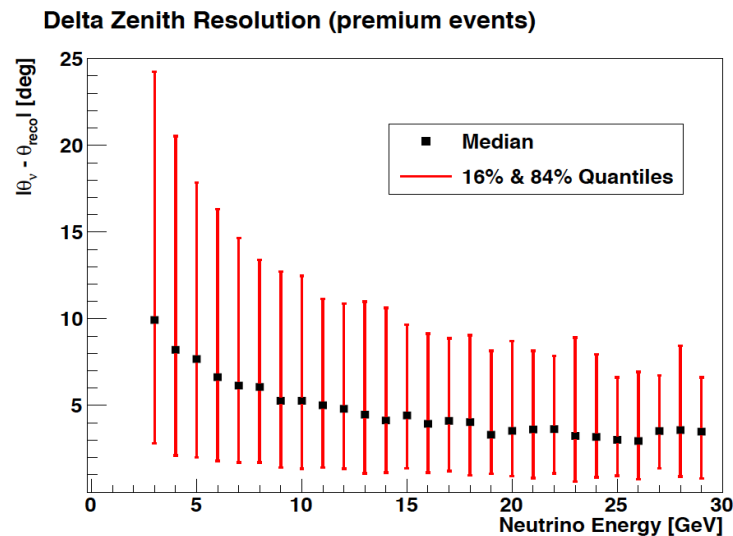
# ORCA resolution: muon channel

- Results obtained with the “reference detector”: 50 strings, 20 DOM, 20 m spaced, 6 m spacing between DOMs
- Resolutions on  $\nu_\mu$  CC events with  $E_\nu > 5$  GeV:
  - Zenith angle resolution: about  $5^\circ$  in the 5-10 GeV energy range
  - Track length as energy estimator, hadronic shower at vertex not included => Energy resolution: better than 35%



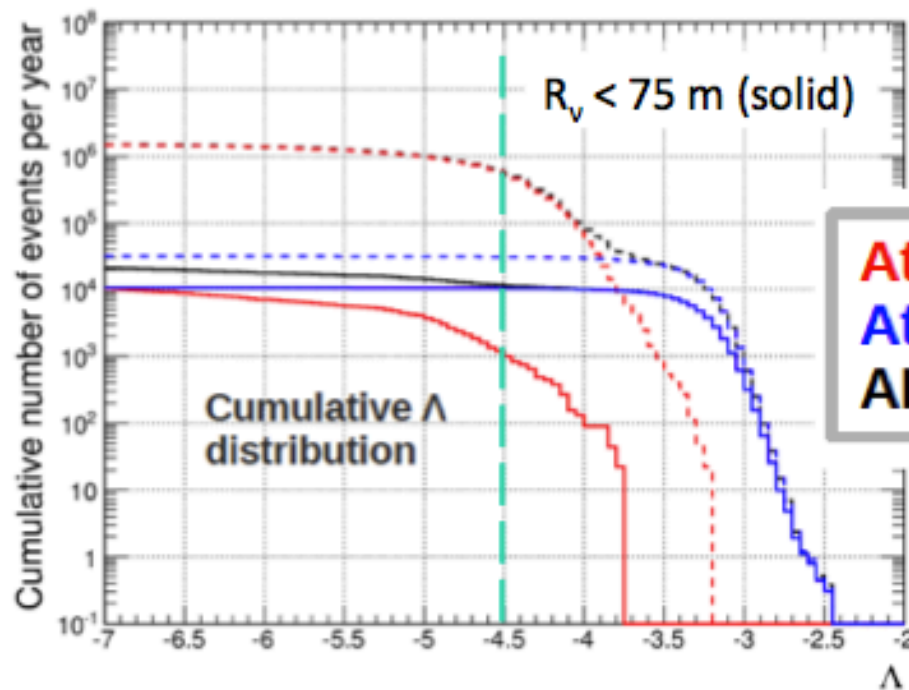
# ORCA resolution: electron channel

- Results obtained with the reference detector: 50 strings, 20 DOM, 20 m spaced, 6 m spacing between DOMs
- Selection of golden events
- Resolutions on  $\nu_e$  CC events with  $E_\nu > 5$  GeV:
  - Zenith angle resolution: better than  $10^\circ$
  - Energy resolution: better than 30%

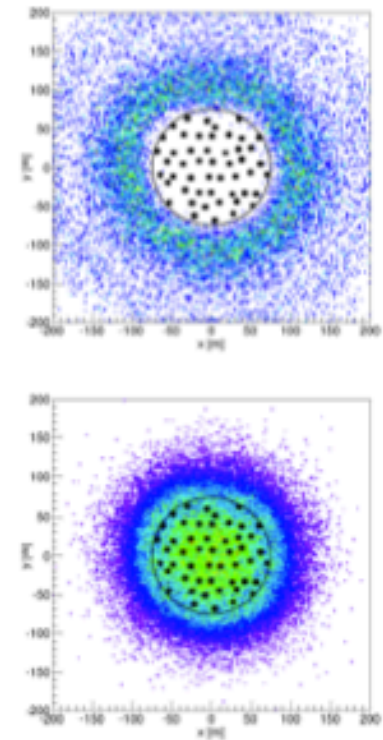


# Atmospheric muon rejection

- No active veto needed



Atms.  $\mu$   
Atms.  $\nu$   
ALL MC

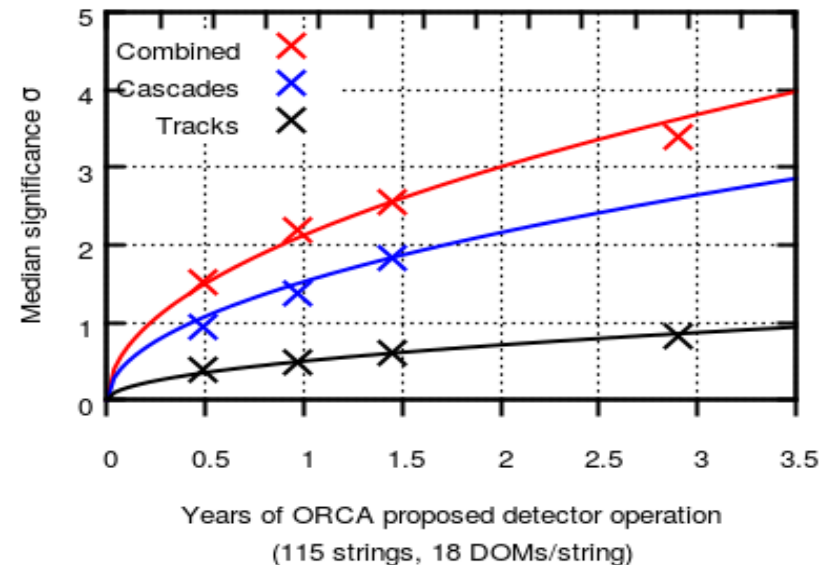


NB: Based on simulations with a reference detector of 50 lines

# ORCA sensitivity

## Very Preliminary results!!!

- Goal: Neutrino Mass Hierarchy determined at  $3\sigma$  level after about 3 years of data taking with 115 detection units
- Fit  $\theta_{23}$ ,  $\Delta M^2$  and  $\delta$
- $1^\circ$  octant assumed for  $\theta_{23}$
- Fit on oscillation parameters
- State of art E- $\theta$  resolution included
- Channel misidentification tracks vs cascades included, but not optimal
- NC not included
- Analysis very preliminary, a lot of work in progress

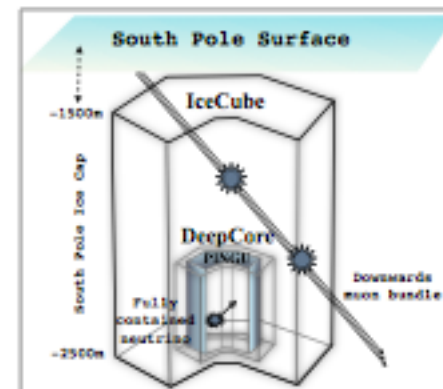
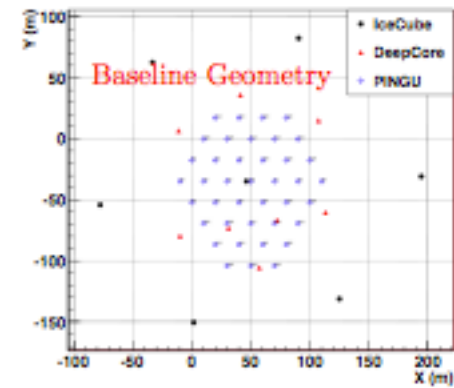
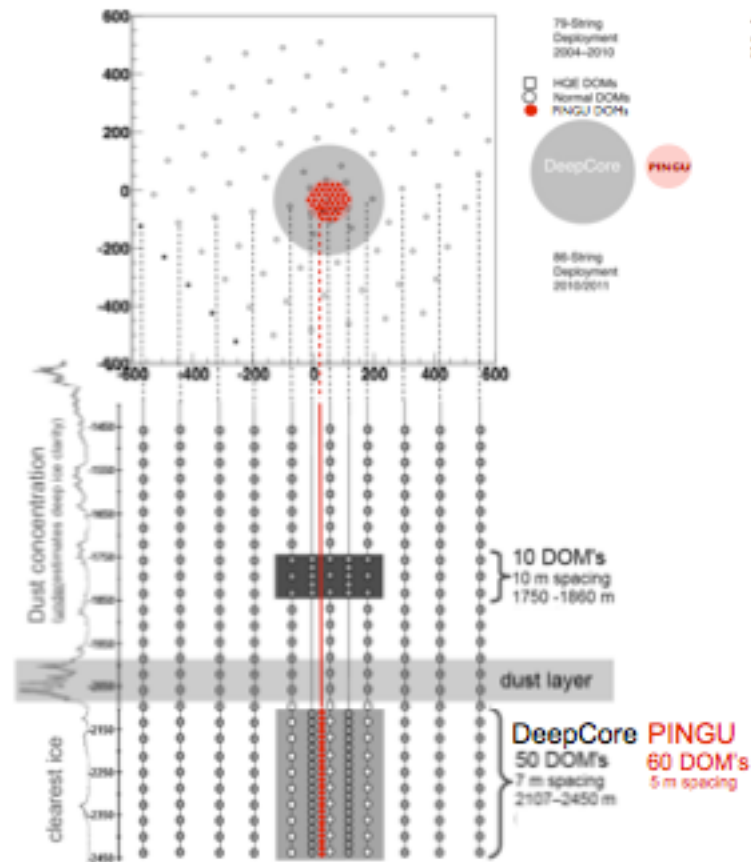




# Comparison ORCA-PINGU

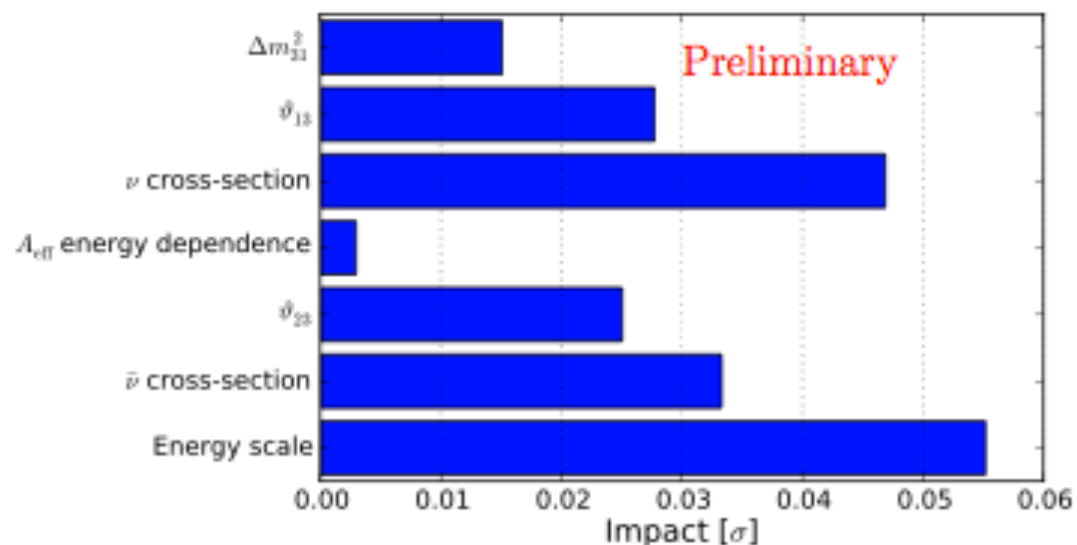
- Caveat: direct comparison not straight forward, different methods and ingredients
- A very accurate comparison in progress with a common work in the two collaboration, final results expected soon

# PINGU inside IceCube

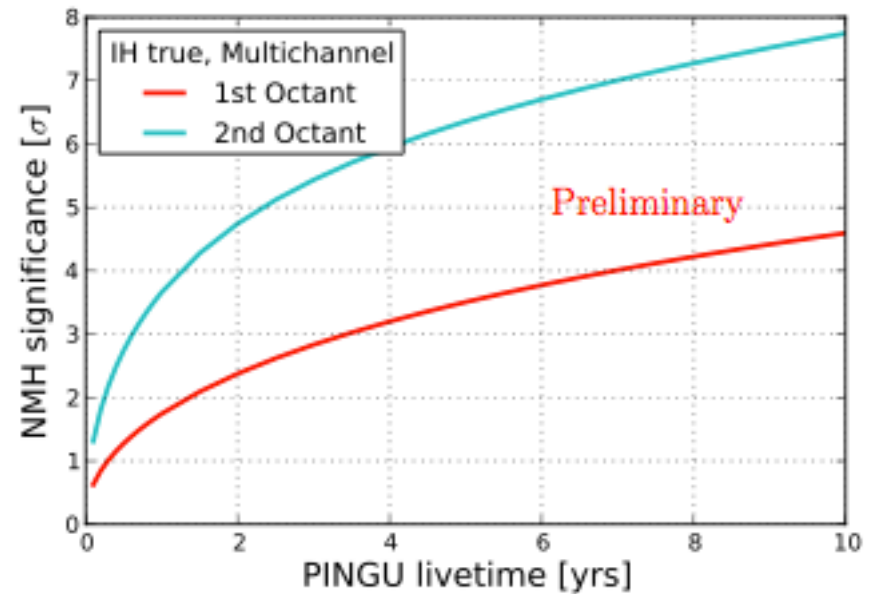
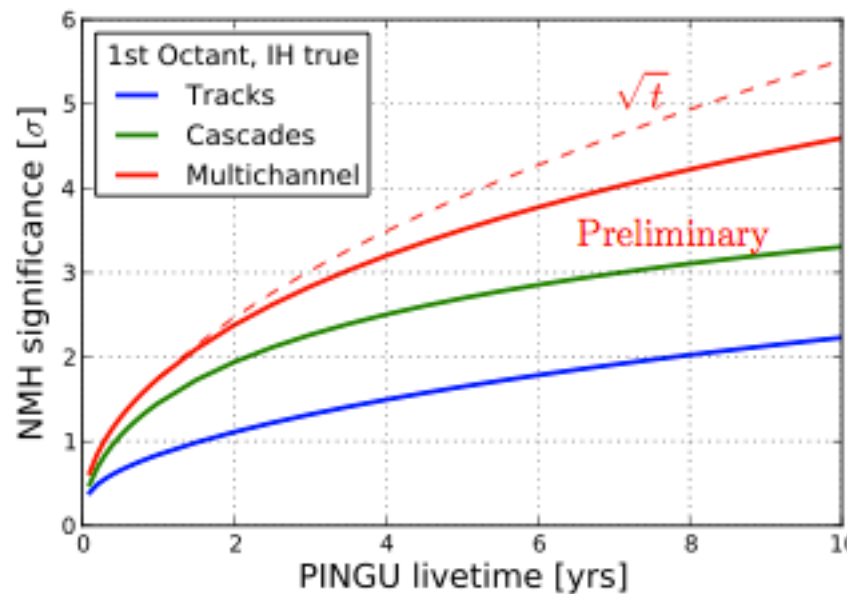


# Systematic uncertainties

- Estimates by PINGU collaboration

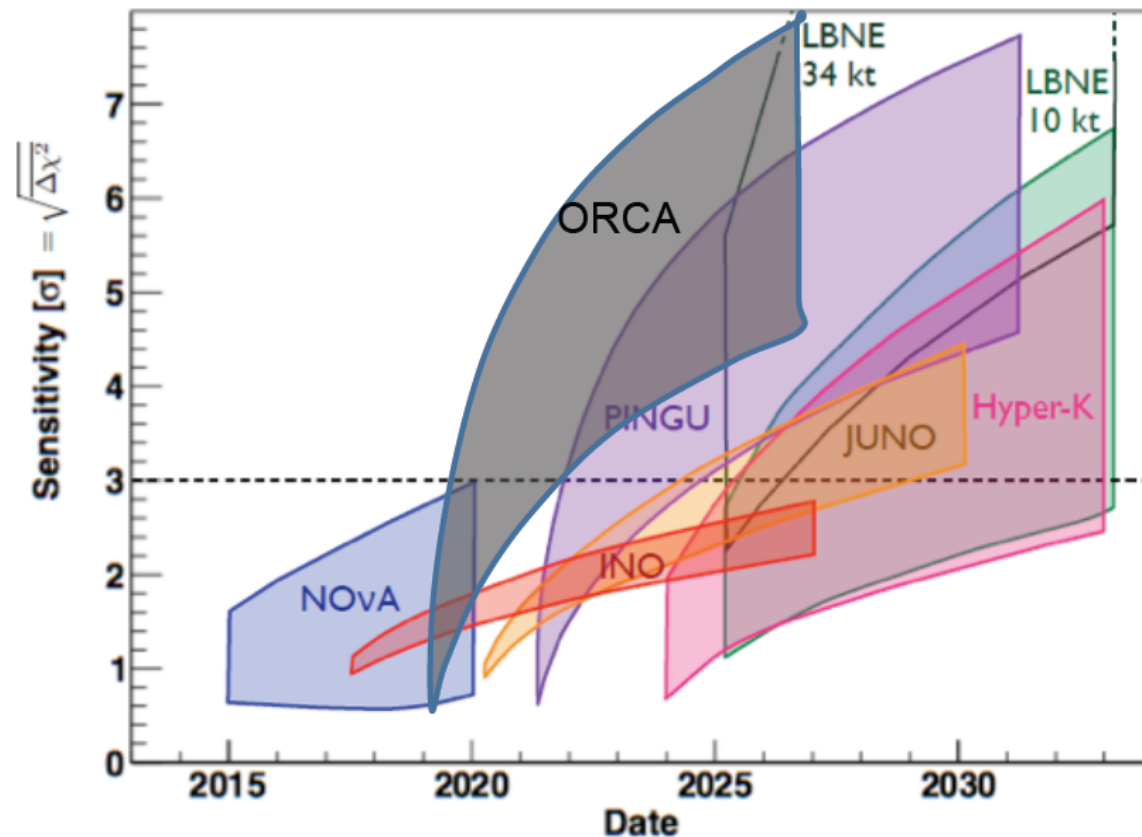


# PINGU significance for NMH



# Sensitivity of NMH experiments

- We wouldn't like to show this plot, but other people do....



# Conclusions and perspectives

- ORCA enforce the KM3NeT case extending the physics case to low energy
  - Focus on Neutrino Mass Hierarchy, but also for Dark Matter indirect search, SuperNovae neutrinos, ... are expected to be promising even if not investigated yet
- Competition with PINGU
- Lol to be released at beginning 2015
- Demonstrator made of 6 strings to be deployed in Toulon in 2016 allow to validate technology and prove feasibility approved in the KM3NeT IB

BACK UP

# Sensitivity studies

## Global Fit Approach

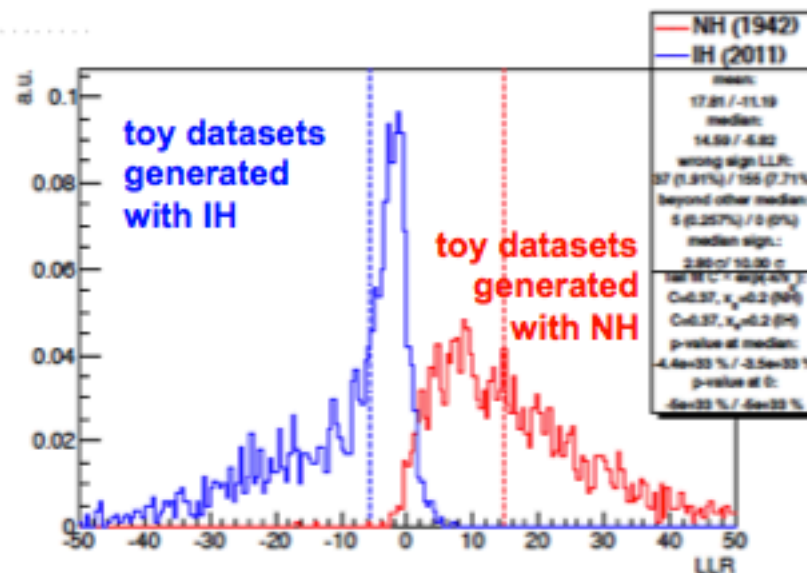
The performance of ORCA for the determination of the NMH is assessed by means of a likelihood ratio test:

$$\Delta \log(L^{\max}) = \sum_{\text{bins}} \log P(\text{data} | \hat{\theta}^{\text{NH}}, \text{NH}) - \log P(\text{data} | \hat{\theta}^{\text{IH}}, \text{IH})$$

$\hat{\theta}^{\text{H}} =$  maximum-likelihood estimates for the  $\Delta m^2$ 's and angles using both data and constraints from global fit.  
 nb: constraints are different for H=IH and H=NH

- 1) fit mixing parameters assuming NH
- 2) fit mixing parameters assuming IH
- 3) compute  $\Delta \log L = \log(L(\text{NH})/L(\text{IH}))$

$\theta_{23}$ ,  $\Delta m^2$  and  $\delta_{\text{CP}}$  can be fitted from data.





# Sensitivity studies

Global fit approach to the mass hierarchy discrimination:

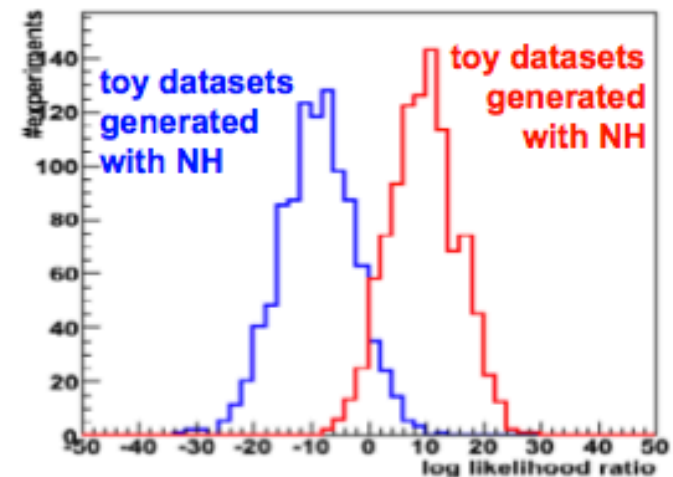
likelihood ratio test *with nuisance parameters*

( $\rightarrow$  deal with degeneracies by fitting)

- 1) fit mixing parameters assuming NH
- 2) fit mixing parameters assuming IH
- 3) compute

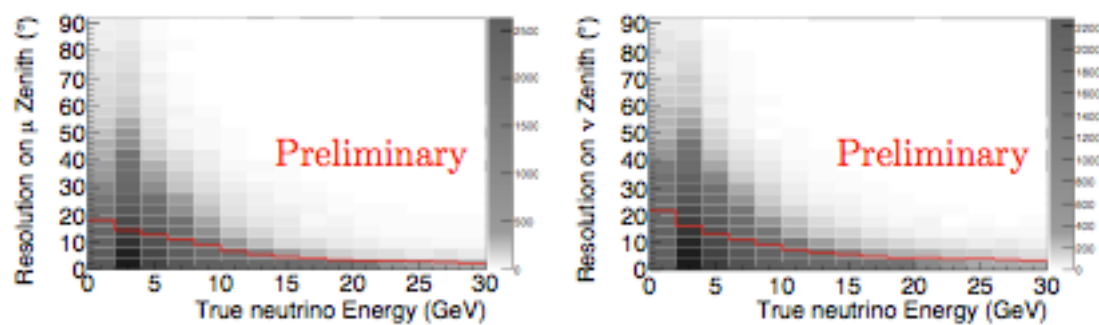
$$\Delta \log(L^{\max}) = \sum_{\text{bins}} \log P(\text{data} | \hat{\theta}^{\text{NH}}, \text{NH}) - \log P(\text{data} | \hat{\theta}^{\text{IH}}, \text{IH})$$

$\hat{\theta}^{\text{H}} =$  maximum-likelihood estimates for the  $\Delta m^2$ 's and angles using both data and constraints from global fit.  
nb: constraints are different for H=IH and H=NH



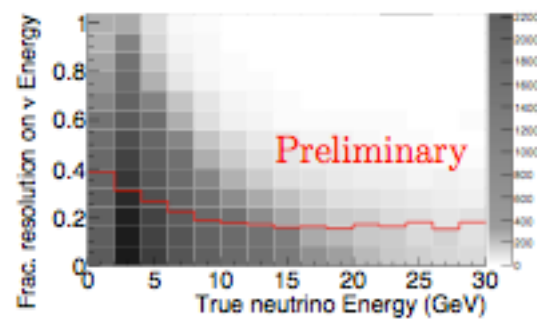
(example shown is for 10 Mt\*yr)

$\nu_\mu$



(a)  $|\theta_{\mu,\text{true}} - \theta_{\mu,\text{reco}}|$  vs.  $E_{\nu,\text{true}}$ .

(b)  $|\theta_{\nu,\text{true}} - \theta_{\nu,\text{reco}}|$  vs.  $E_{\nu,\text{true}}$ .



(c)  $|E_{\nu,\text{reco}} - E_{\nu,\text{true}}|/E_{\nu,\text{true}}$  vs.  $E_{\nu,\text{true}}$ .

$\nu_e$

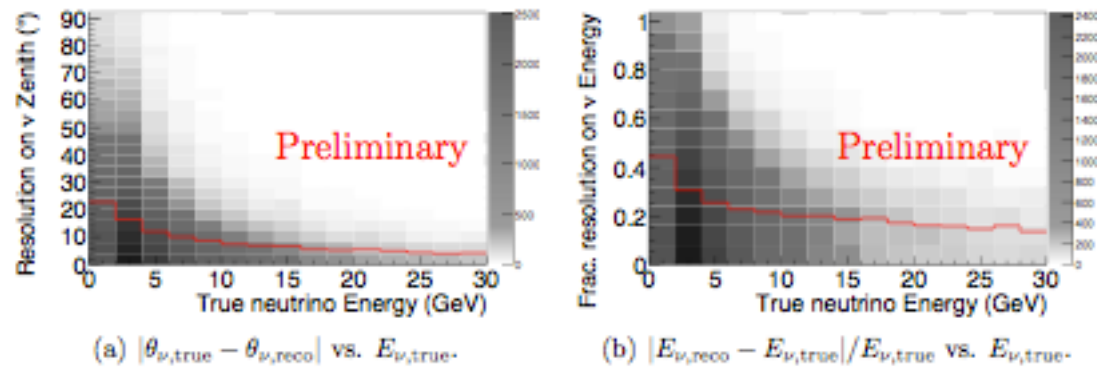


Figure 8: Zenith angle and fractional energy resolutions for  $\nu_e$  events with reconstructed vertices within the PINGU fiducial volume. The red line indicates the median value in each bin. The gray scale indicates number of simulated events in each bin. The resolutions for  $\nu_\tau$  and NC events are similar.

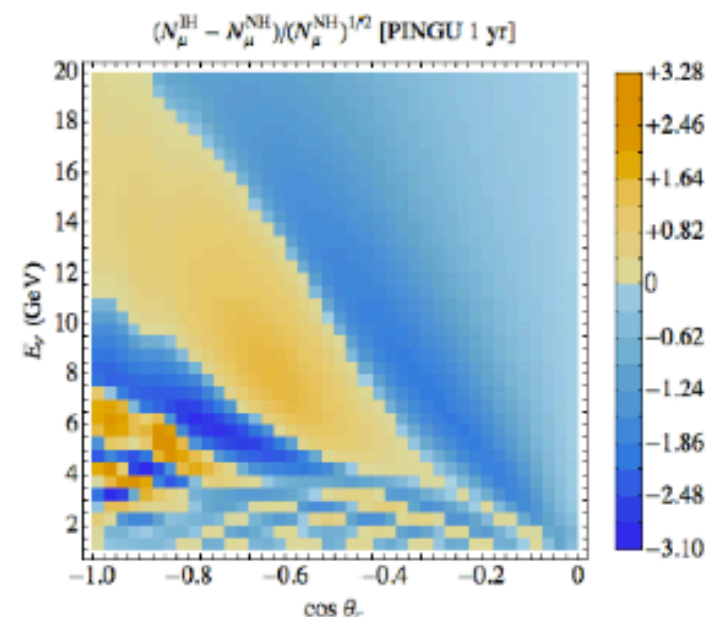
# Method

Possible strategy for the determination of NMH:  
probe  $\nu_e \leftrightarrow \nu_\mu$  oscillation in presence of matter  
effects which allow to resolve the sign of  $\Delta m^2_{13}$

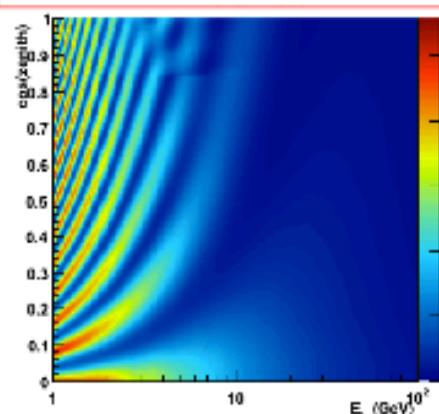
The oscillation enhancement is maximal

at resonance energy: 
$$E_\nu^{\text{res}} = \pm \frac{\Delta m^2_{13} \cos(2\theta_{13})}{2\sqrt{2}G_F N_e}$$

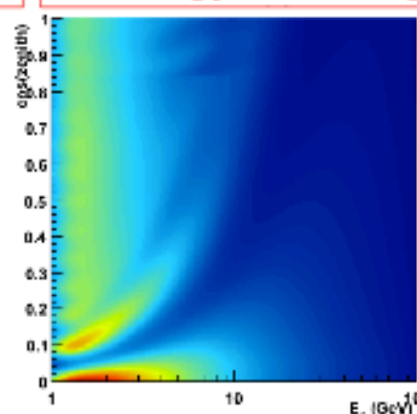
Eres ~ few GeV at Earth density



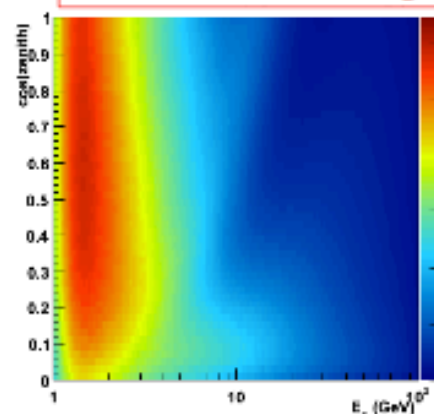
atm.  $\nu_\mu$  neutrino rate NH



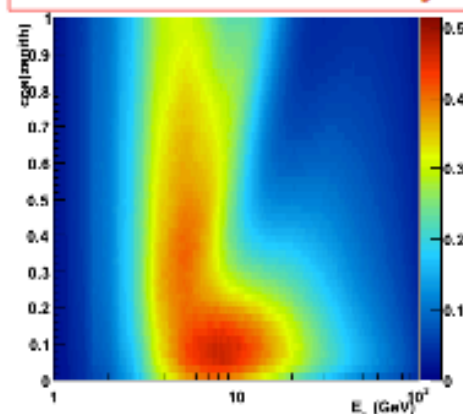
+ energy smearing



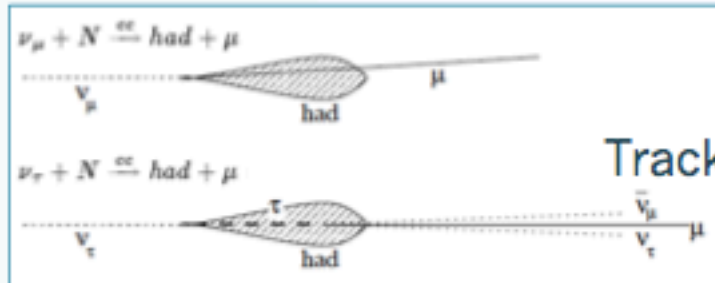
+zenith smearing



+detection efficiency



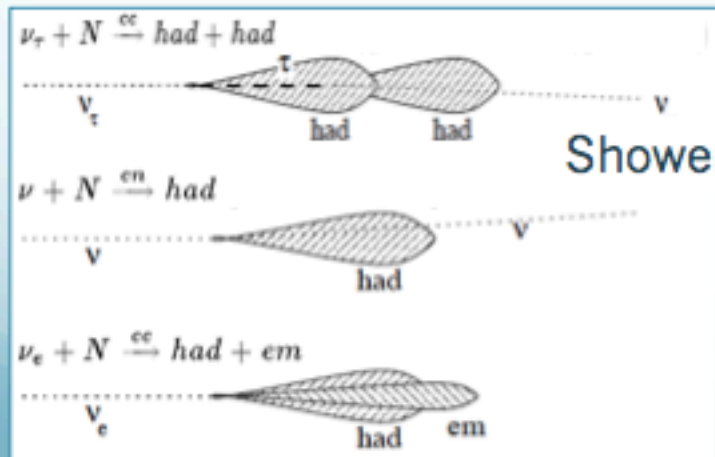
# Event topologies



Track-like

Track-like contains both a cascade and one track

Not to scale



Shower-like

No track is identified

