

Radio-Guided Surgery  
for tumor resection  
exploiting  $\beta^-$  decays

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# Outline

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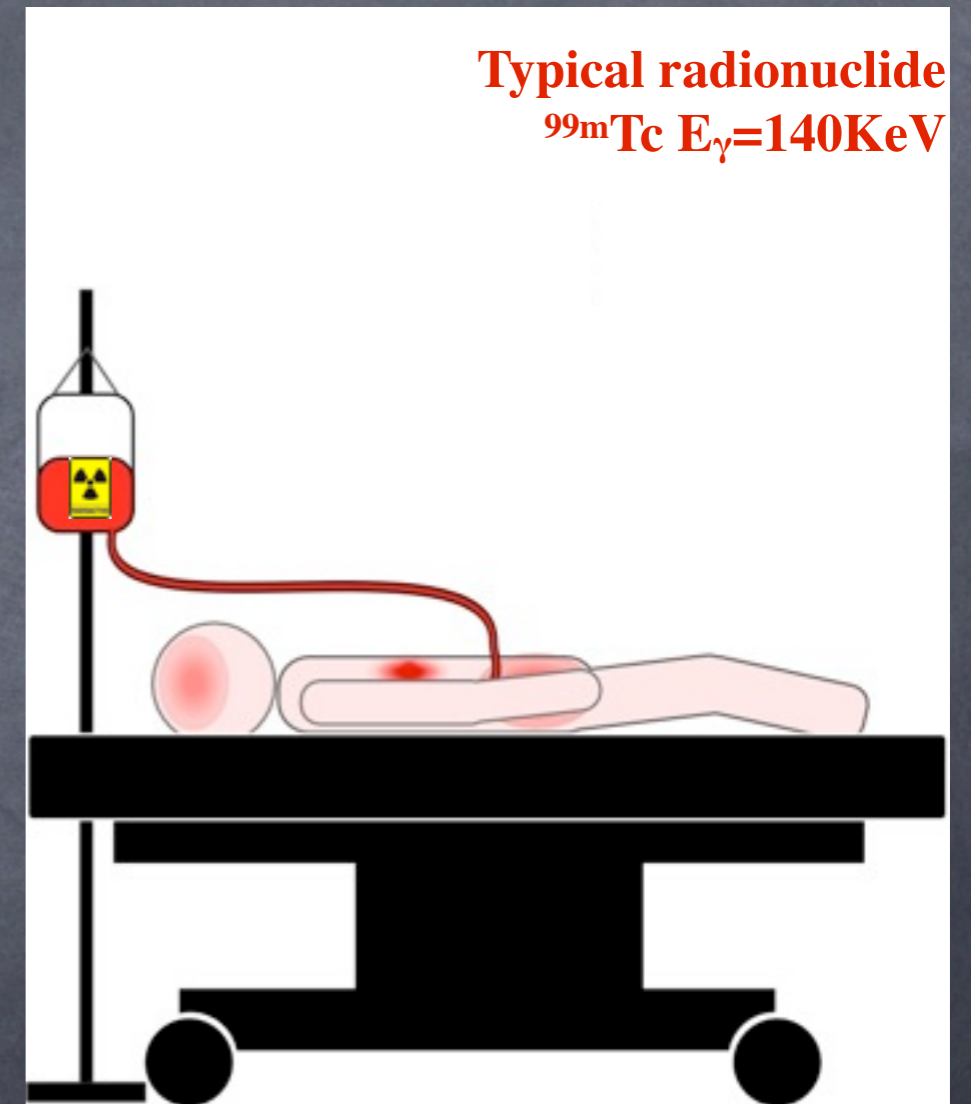
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  - ✓ Identification of clinical case candidates and feasibility study.
  - ✓ Detector development and tests.
  - ✓ First clinical tests with patients.
  - ✓ Predictions for the  **$\beta^-$  RGS.**
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  - ➡ Development of new specific radio-tracer (in progress).

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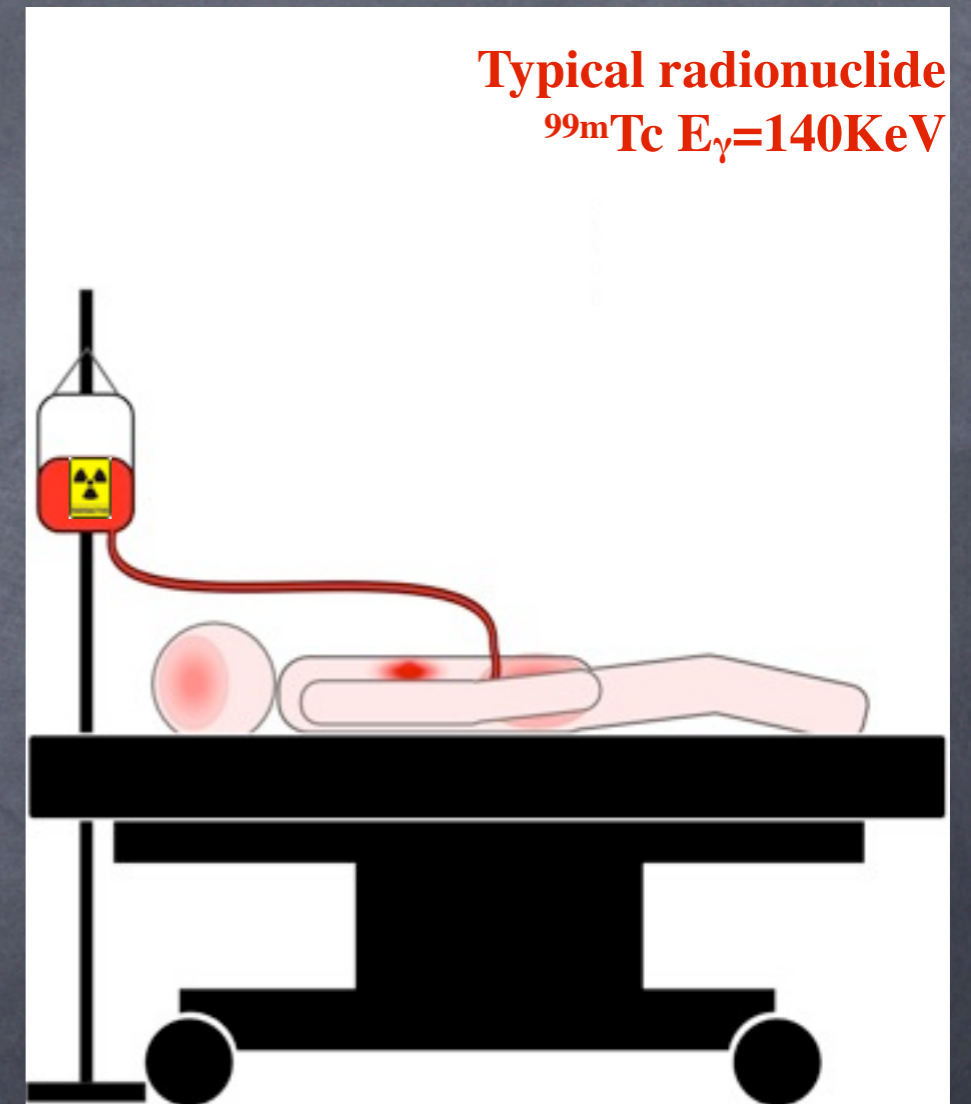
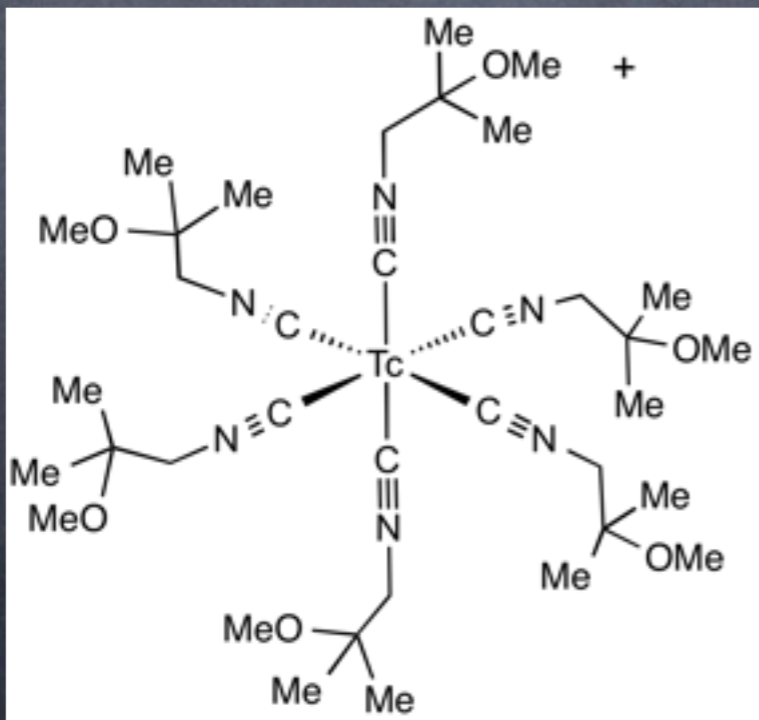
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- Conclusions.

# Radio-Guided Surgery: how does it work?



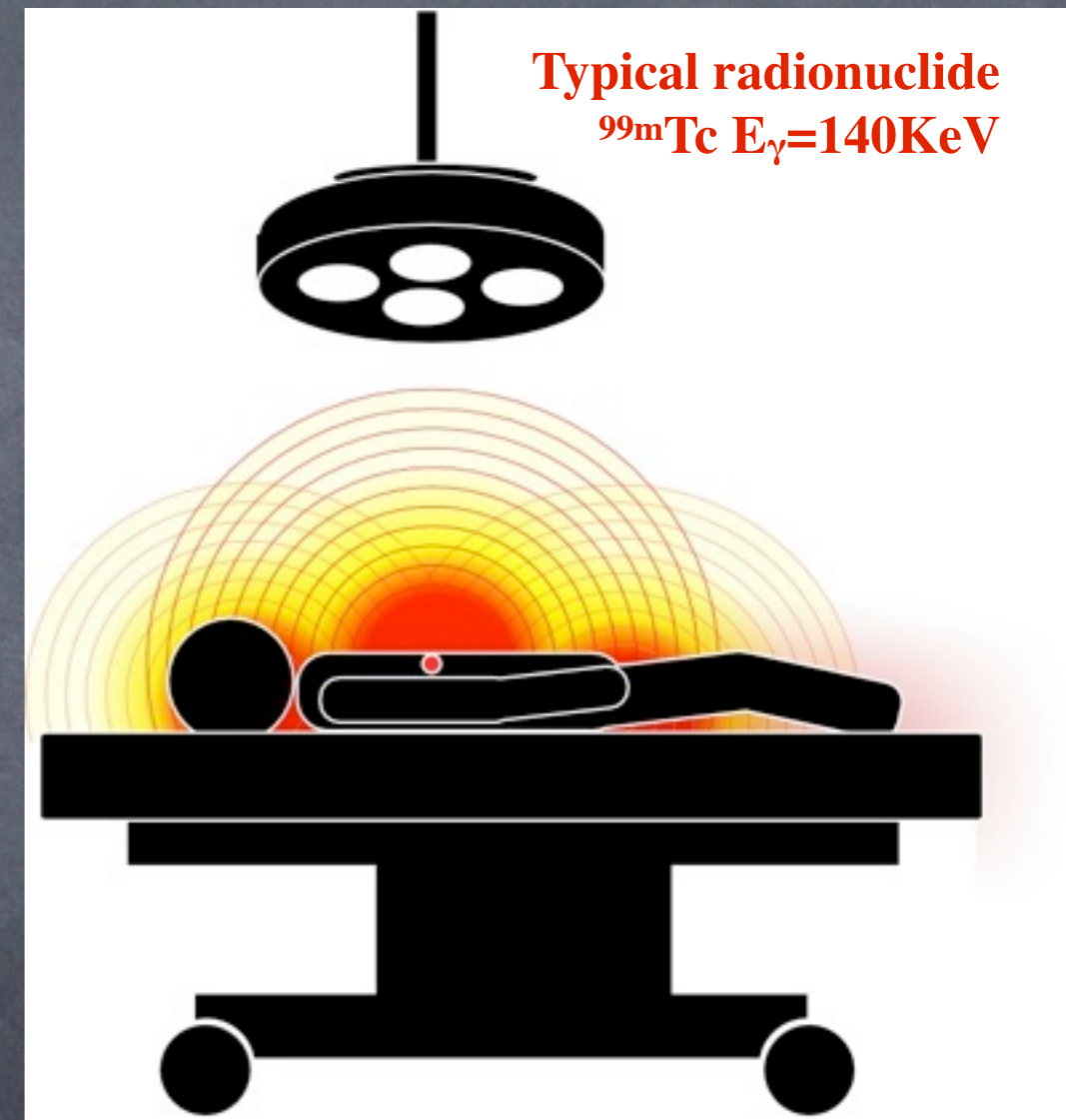
# Radio-Guided Surgery: how does it work?

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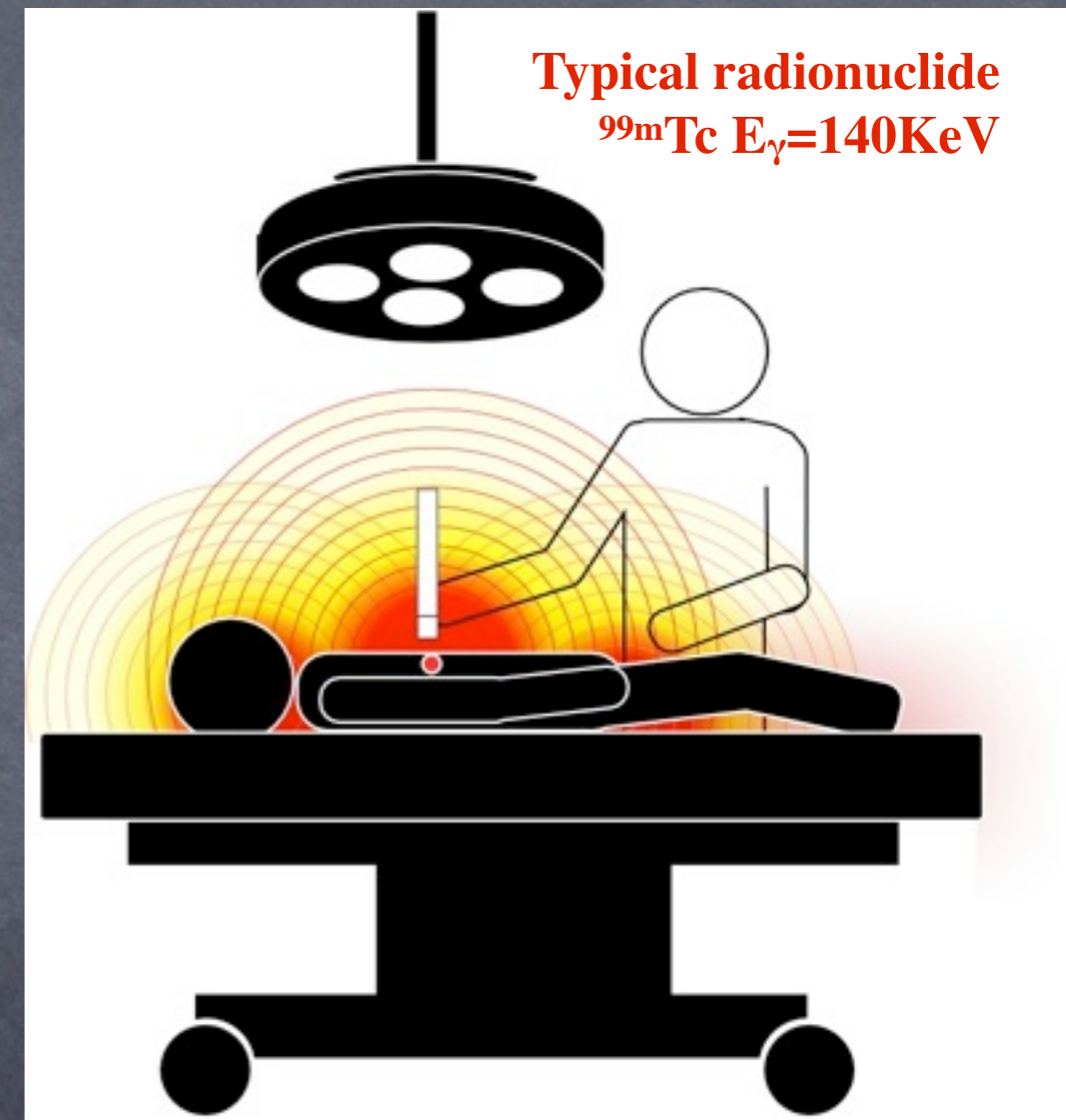
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  - the tumor takes up significantly more than the healthy tissue;
  - is linked to a radio-nuclide that emits particles via nuclear decay.
2. Wait for the drug to diffuse to the margins of the tumor.
3. Start operation
  - Remove the bulk of the tumor
  - Verify with an intraoperative probe that detects the emitted particles the presence of:
    - Tumor residuals
    - Infected lymph node



# Radio-pharmaceutical

Basic concepts of Nuclear Medicine:

Injection of radioactive material inside the patient.



If the emitted particles "**escape**" the patient

→ **Diagnostics**: scintigraphy (SPECT), Positron Emission Tomography (PET), Radio-guided surgery (RGS)

- gamma radiation and  $\beta^+$  decays.
- Low Activity (MBq/kg).
- Life time radionuclide: minutes/hours.

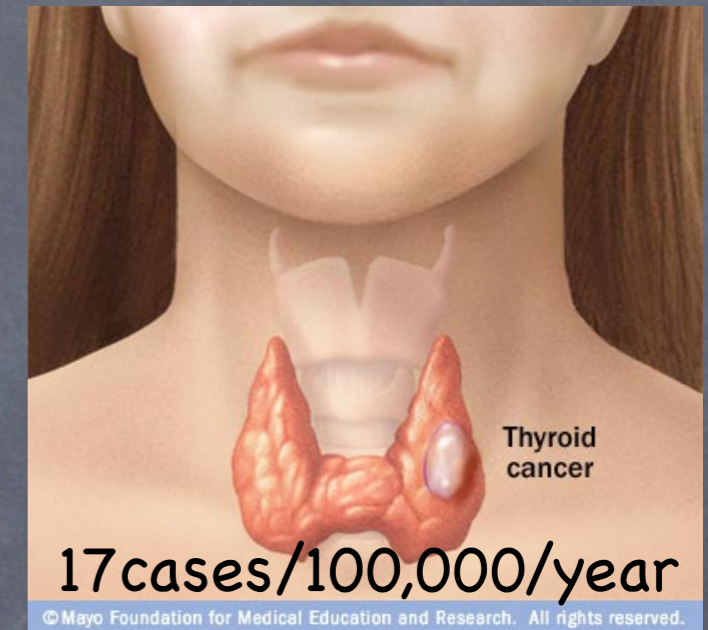
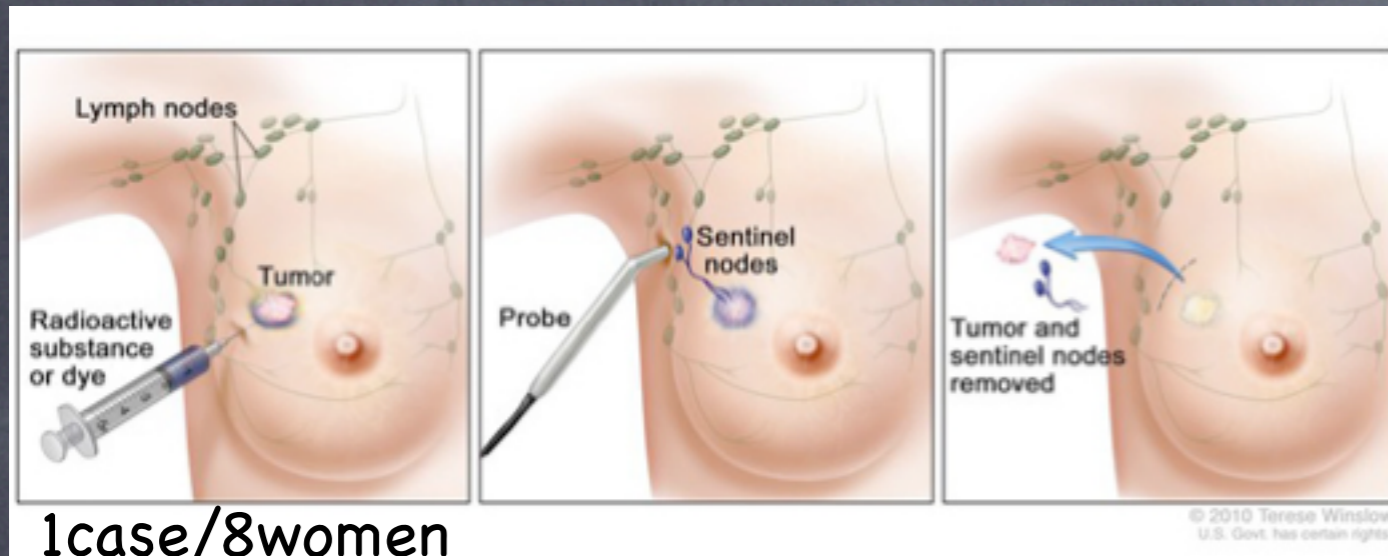
If the emitted particles **interact inside** the patient

→ **Therapy**: Radio-Metabolic Therapy, Brachitherapy

- $\beta^-$  decays.
- High Activity (50-100MBq/kg).
- Life time radionuclide: days.

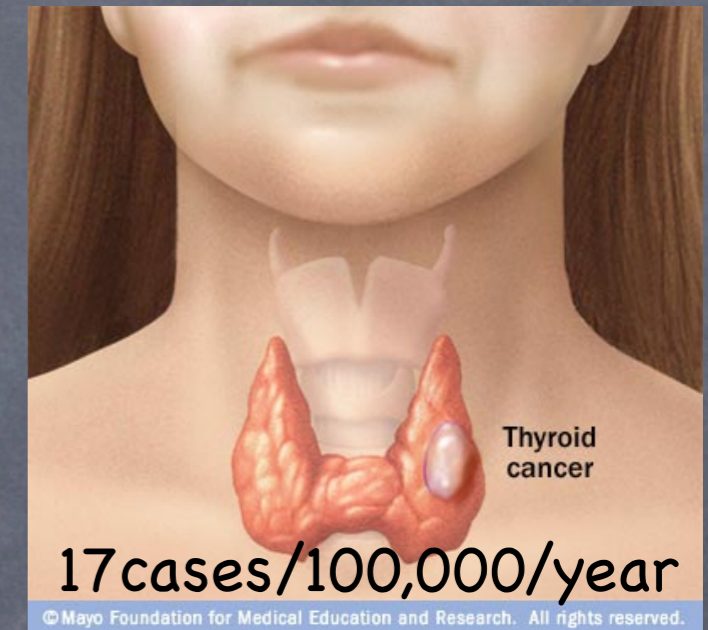
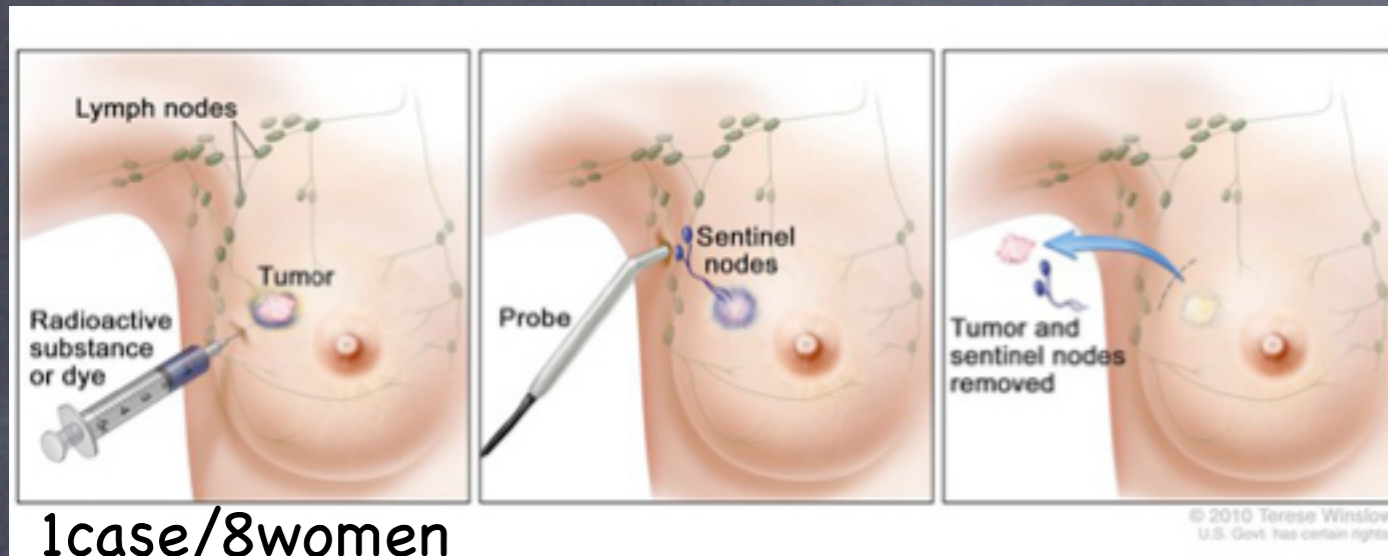
isotope	symbol	Z	$T_{1/2}$	decay	gamma (keV)	positron (keV)
Imaging:						
fluorine-18	$^{18}\text{F}$	9	109.77 m	$\beta^+$	511 (193%)	249.8 (97%) <sup>[15]</sup>
gallium-67	$^{67}\text{Ga}$	31	3.26 d	ec	93 (39%), 185 (21%), 300 (17%)	-
krypton-81m	$^{81\text{m}}\text{Kr}$	36	13.1 s	$\text{IT}$	190 (68%)	-
rubidium-82	$^{82}\text{Rb}$	37	1.27 m	$\beta^+$	511 (191%)	3.379 (95%)
nitrogen-13	$^{13}\text{N}$	7	9.97 m	$\beta^+$	511 (200%)	1190 (100%) <sup>[16]</sup>
technetium-99m	$^{99\text{m}}\text{Tc}$	43	6.01 h	$\text{IT}$	140 (89%)	-
indium-111	$^{111}\text{In}$	49	2.80 d	ec	171 (90%), 245 (94%)	-
iodine-123	$^{123}\text{I}$	53	13.3 h	ec	159 (83%)	-
xenon-133	$^{133}\text{Xe}$	54	5.24 d	$\beta^-$	81 (31%)	0.364 (99%)
thallium-201	$^{201}\text{Tl}$	81	3.04 d	ec	69-83* (94%), 167 (10%)	-
Therapy:						
yttrium-90	$^{90}\text{Y}$	39	2.67 d	$\beta^-$	-	2.280 (100%)
iodine-131	$^{131}\text{I}$	53	8.02 d	$\beta^-$	364 (81%)	0.807 (100%)

# RGS: Clinical Applications



- Many clinical applications:
  - thyroid carcinoma lymph-node recurrence;
  - sentinel-node mapping for breast cancer and melanoma.
- Minimizes the surgical invasiveness.

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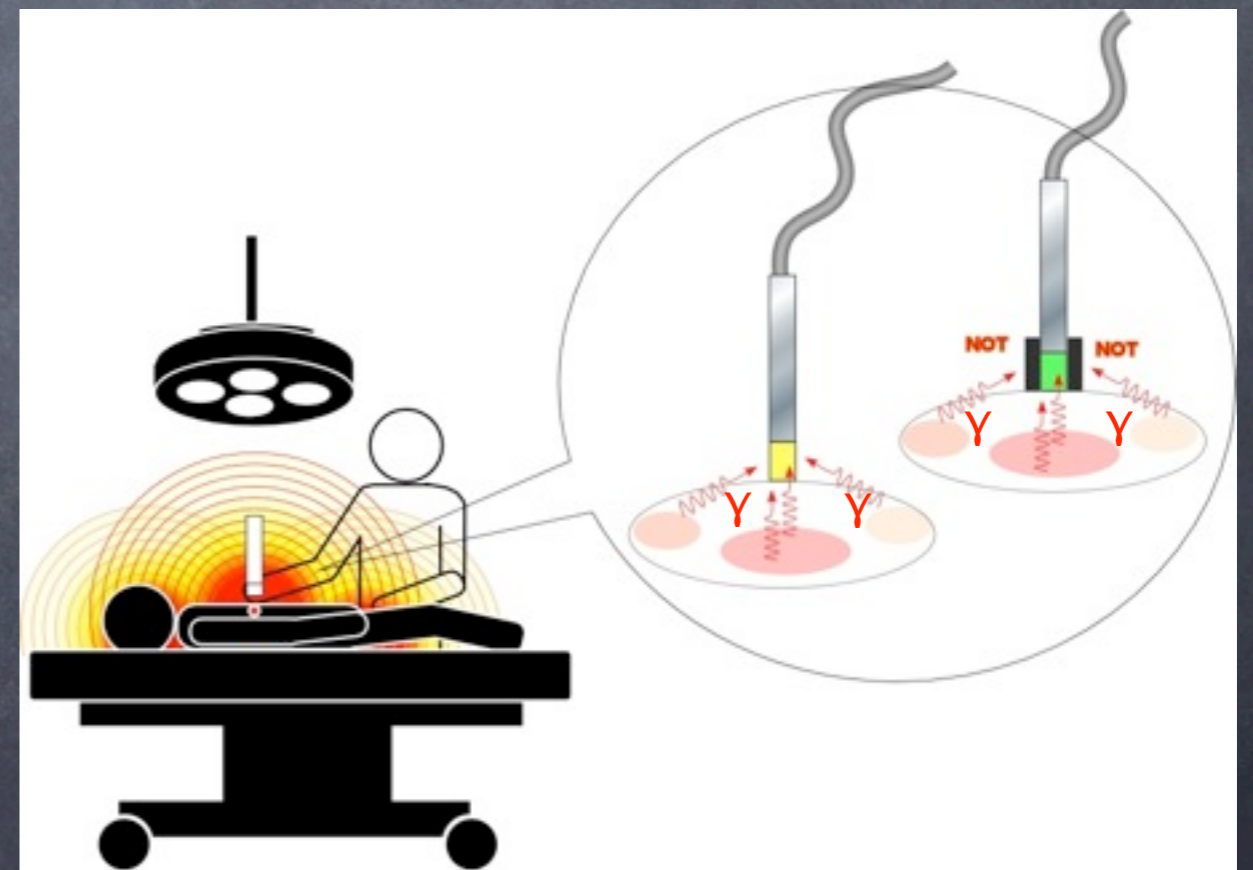
Critical for those tumors where a **complete surgical resection** is the only possible therapy.

# Established Technique

$\gamma$  emitting tracer + GAMMA CAMERA

- Typical radionuclide  $^{99m}\text{Tc}$  ( $E_{\gamma}=140\text{KeV}$ )

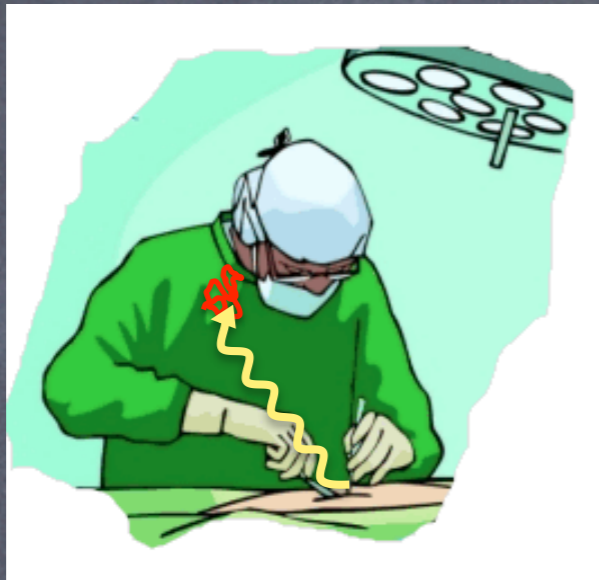
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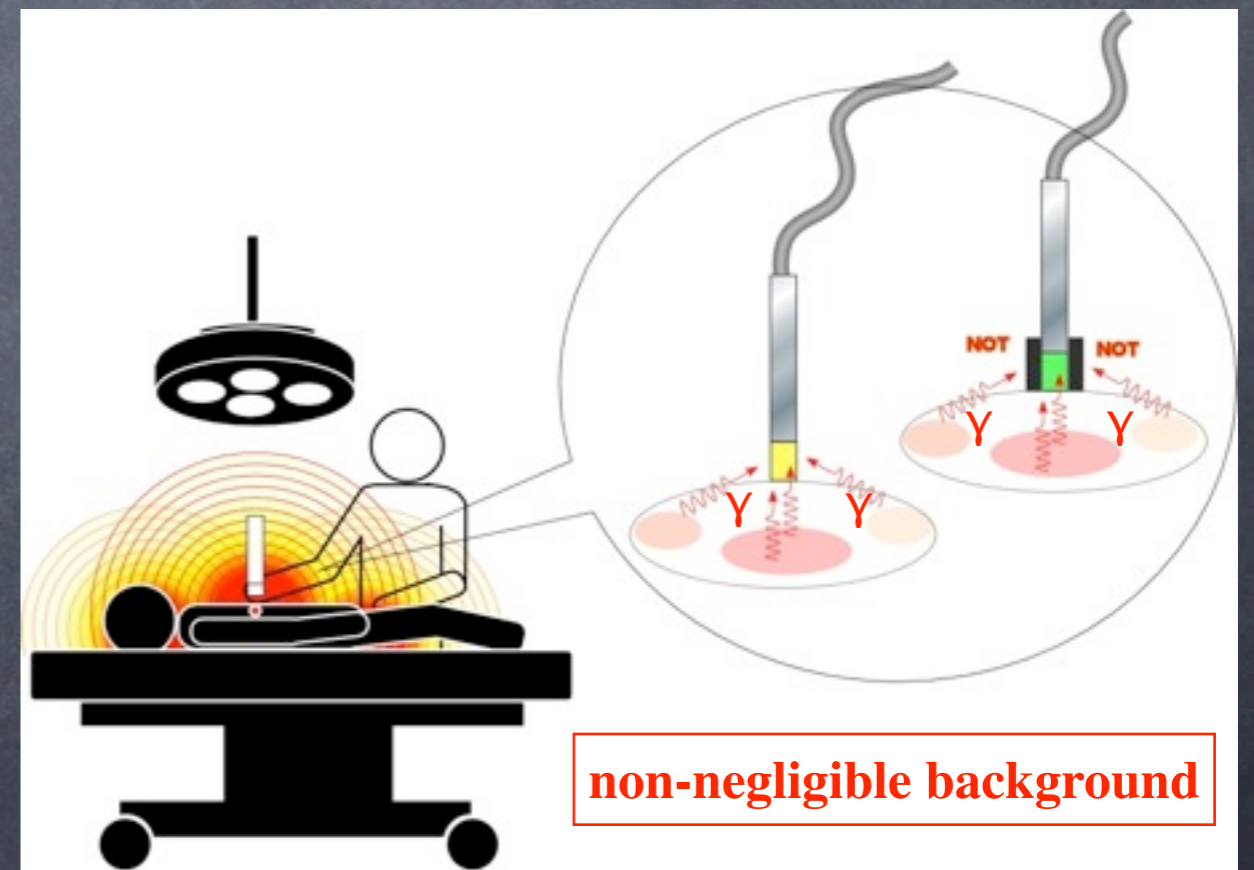
Gamma  
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### CURRENT LIMITS:

Long range of photons involves

- Exposure of medical personnel.
- Uptake in nearby healthy tissue

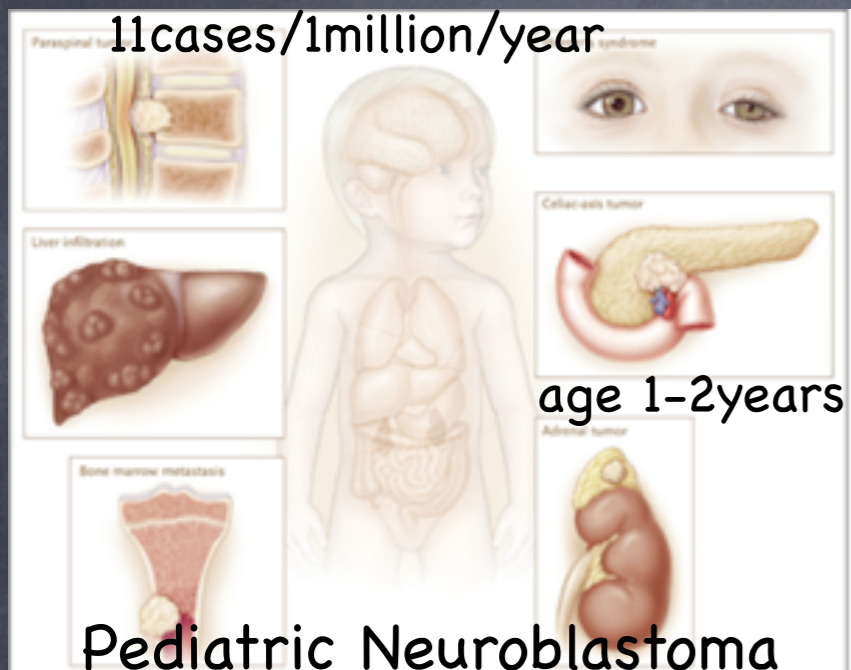
→ non-negligible background.



# RGS would help

The non-negligible background prevents the  $\gamma$  RGS application:

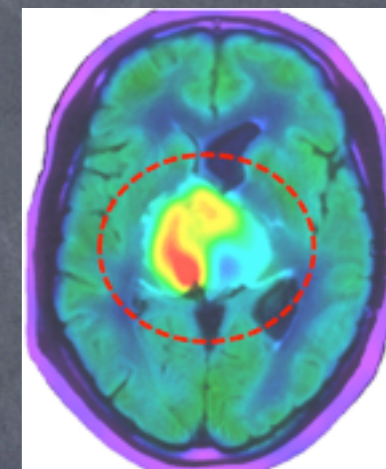
## TUMORS of INFANCY



## BRAIN TUMORS

Despite the resolution of neuronavigation, after the tumor mass removal

- location of the soft cerebral tissue is spoiled;
- brain shape changes with respect to the images acquired before.



## ABDOMINAL TUMORS



RGS is critical for those tumors where a complete surgical resection is crucial both for recurrence-free survival and overall survival.

# Ongoing Studies

$\beta^+$  radio-tracer + PROBE detecting positrons



Beta+  
decays



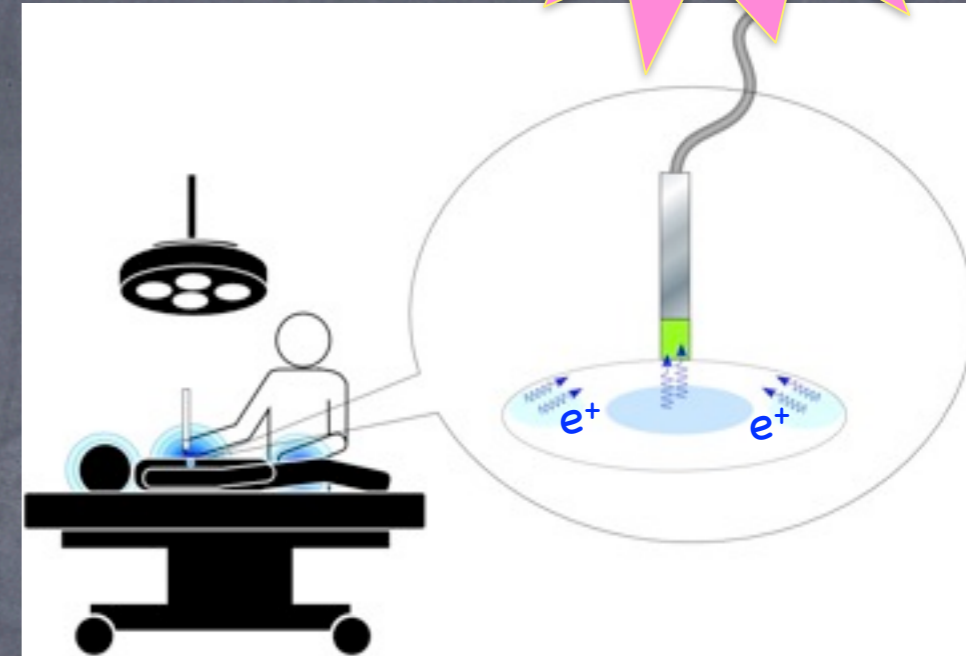
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Beta+  
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## PROS

- ✓ Positrons travel 100 times less than gammas.
- ✓ High spatial resolution and sensitivity  
→ clear delineation of radioactive tissue.
- ✓ PET tracers with known protocols can be used.



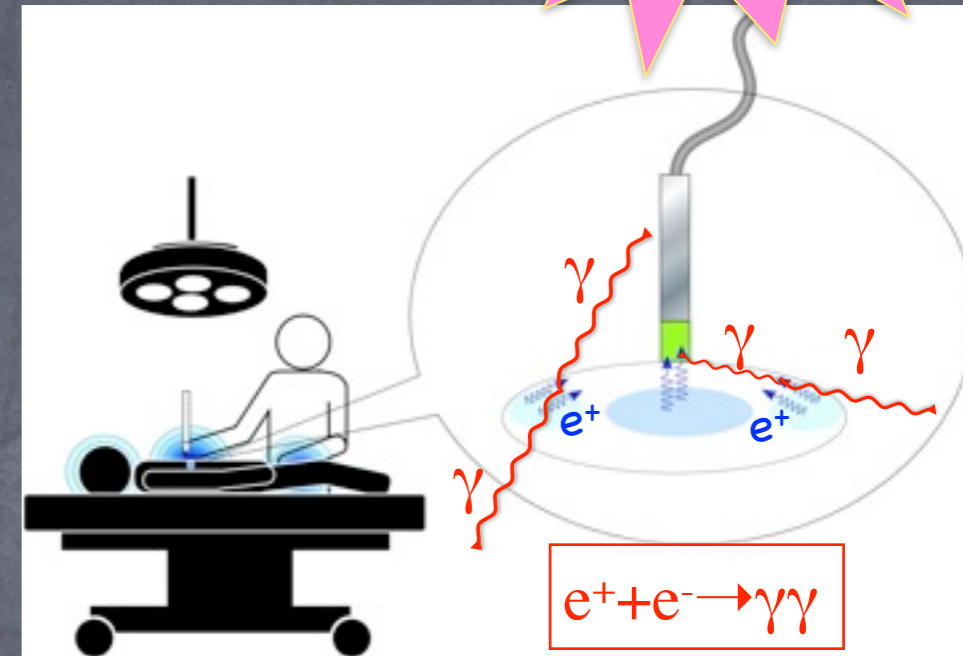
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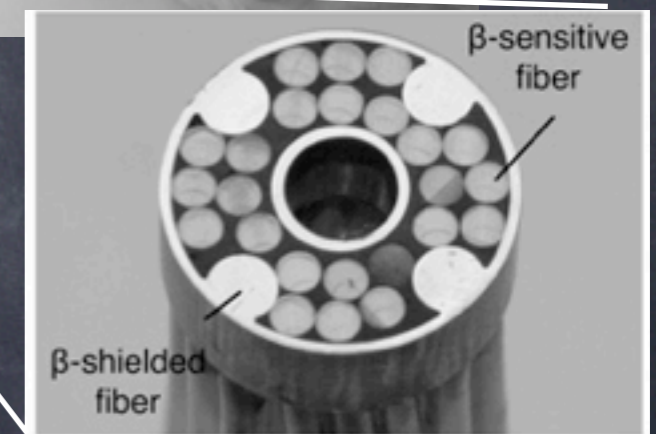
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## CONS

- Limited by gamma background  $e^+ + e^- \rightarrow \gamma\gamma$ 
  - Requires dual-mode devices for background subtraction.
  - Slow real-time performance.
  - Still limited range of applications.



# Our Proposal

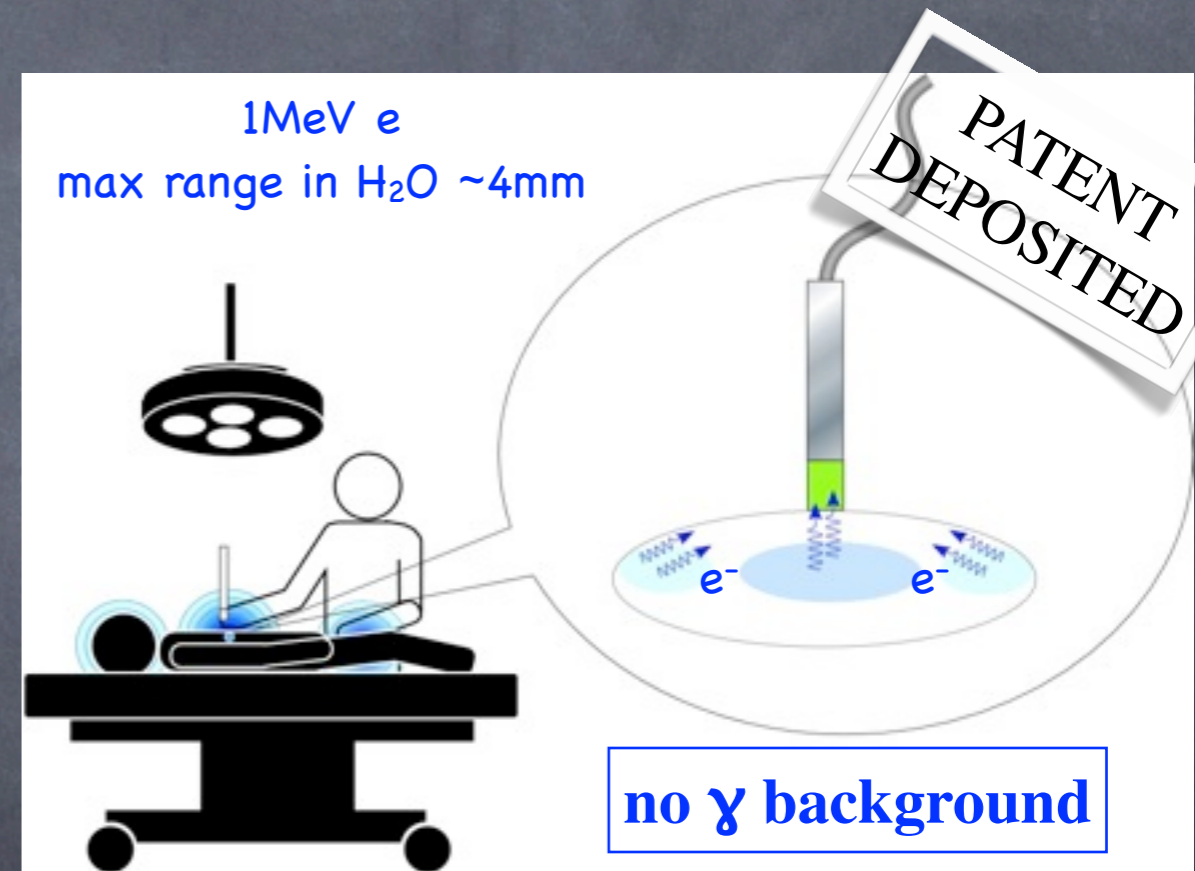
RGS exploiting  $\beta^-$  decays

# $\beta^-$ RGS

$\beta^-$  emitting tracer + PROBE detecting  $e^-$

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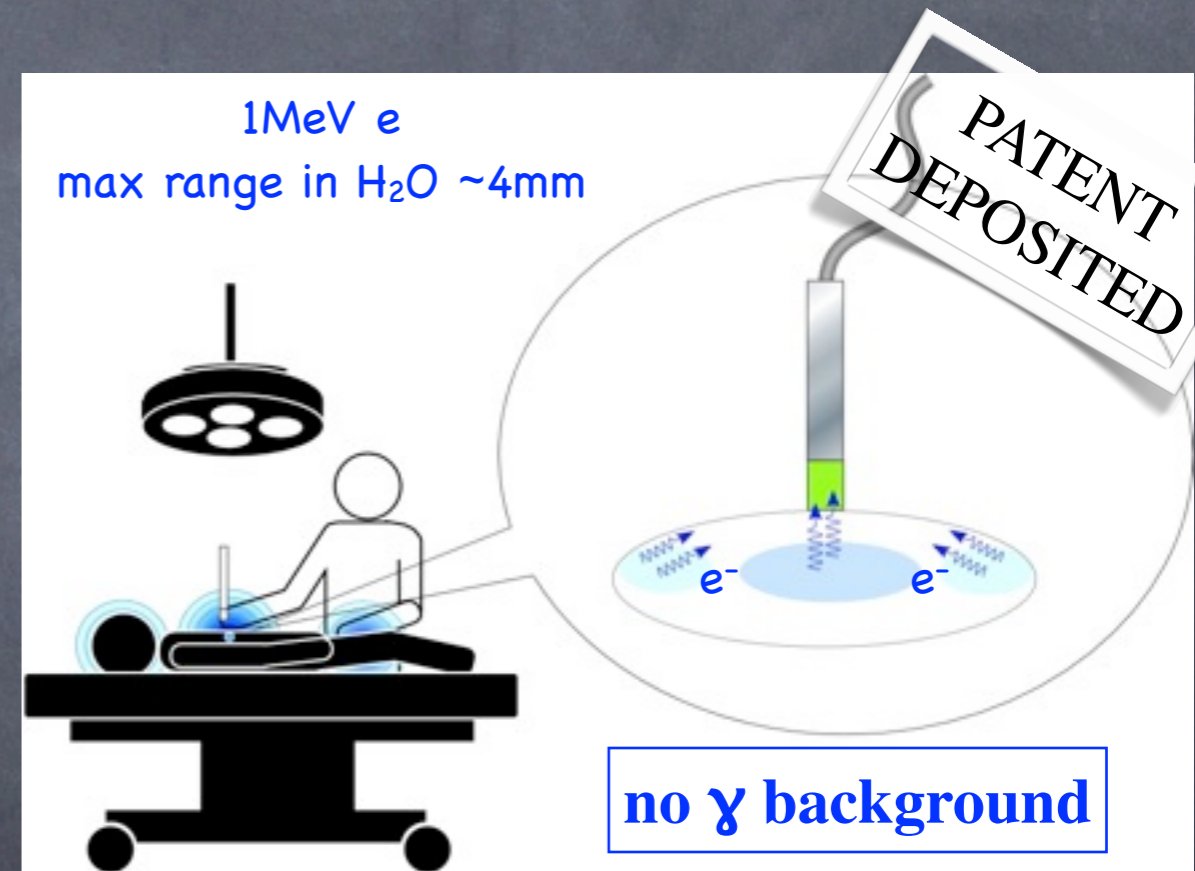
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Beta-decays



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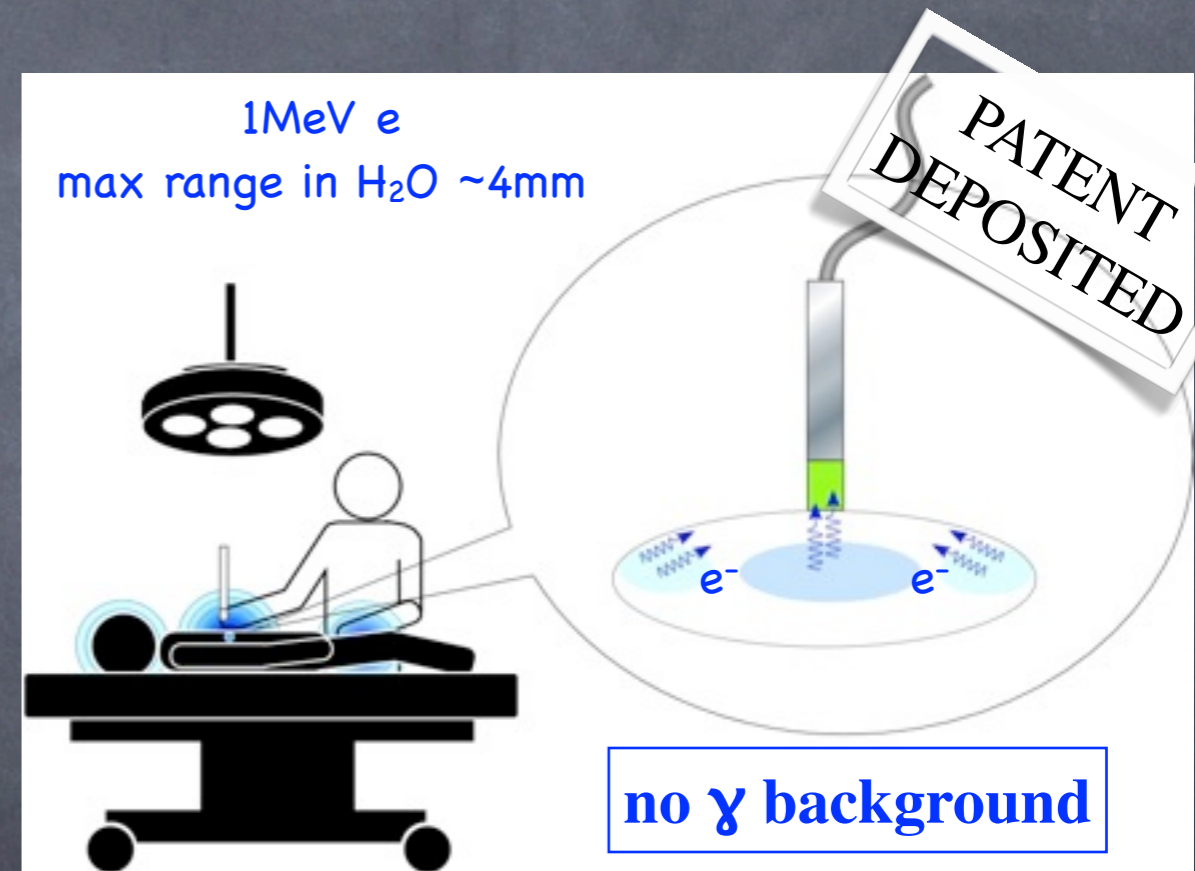
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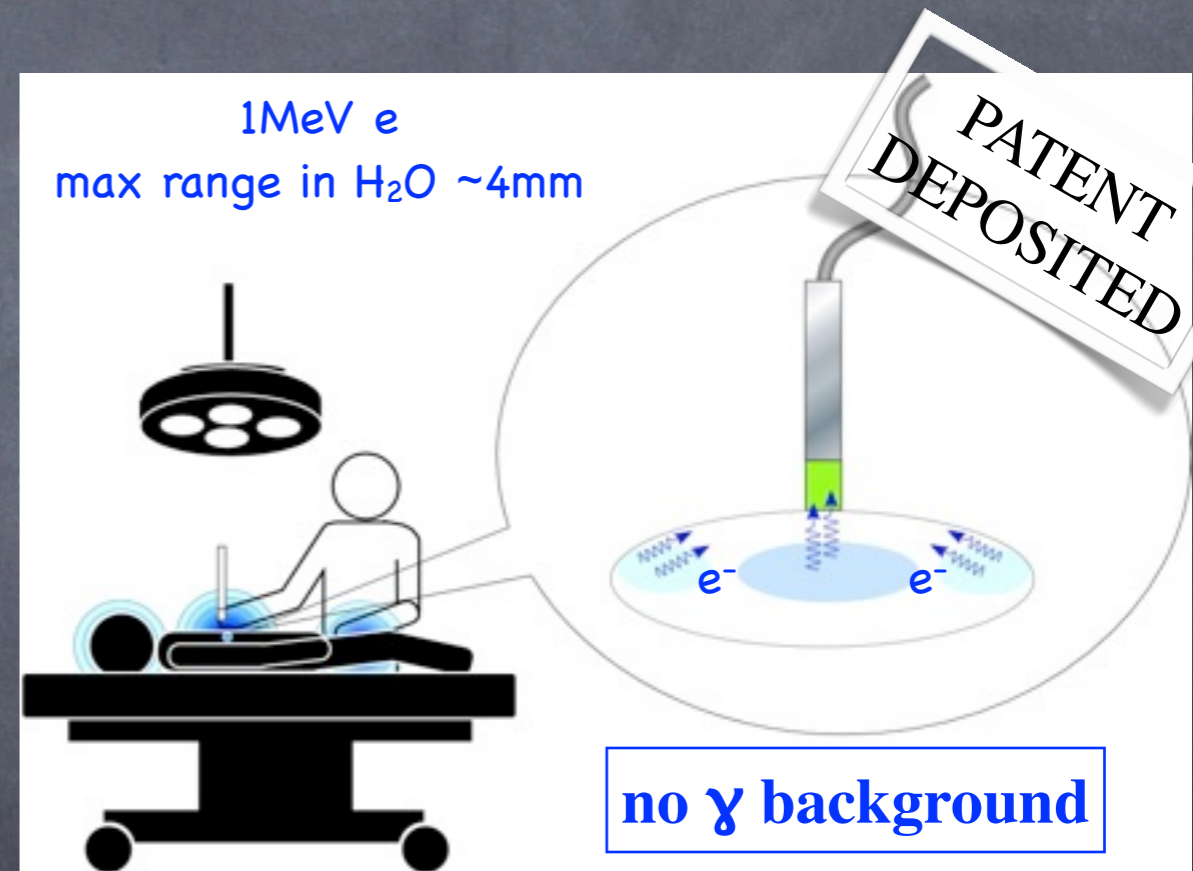
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May extend the technique to cases with a large uptake of nearby healthy organs: **abdominal or brain tumors.**





# Applied Radiation Physics Group



SAPIENZA  
UNIVERSITÀ DI ROMA



ISTITUTO ITALIANO  
DI TECNOLOGIA

Collaborations with **bio-engineers**, **medicinal chemists**, **nuclear medicine physicians**, **oncologists**, **surgeons**:





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Different competences and expertise with wide-ranging specializations are crucial to be able to

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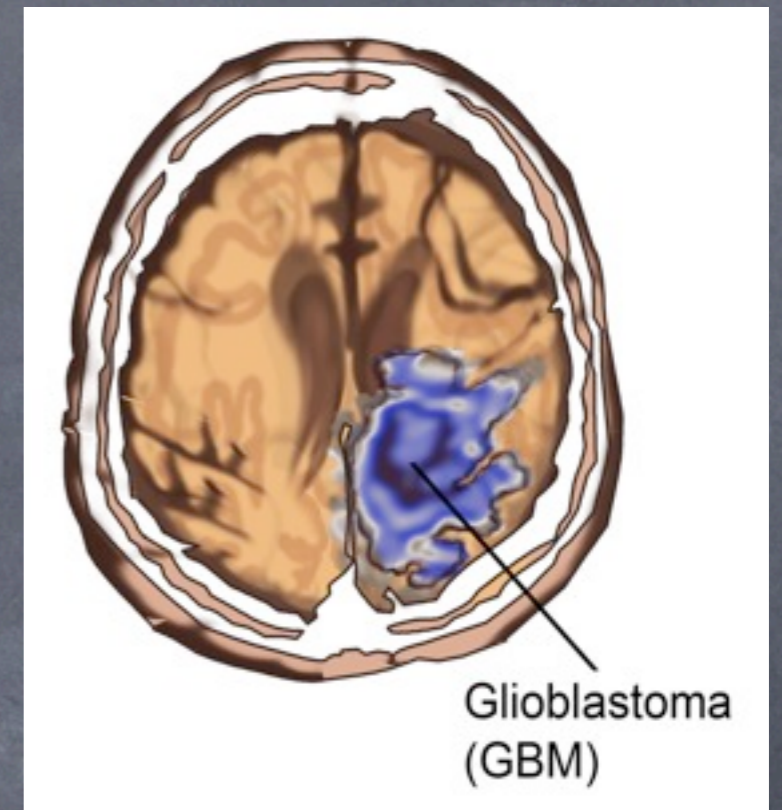
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3. Develop new specific medical **devices**.
4. Perform **pre-clinical** and **clinical tests**.

# Clinical Case Candidates: Brain Tumors

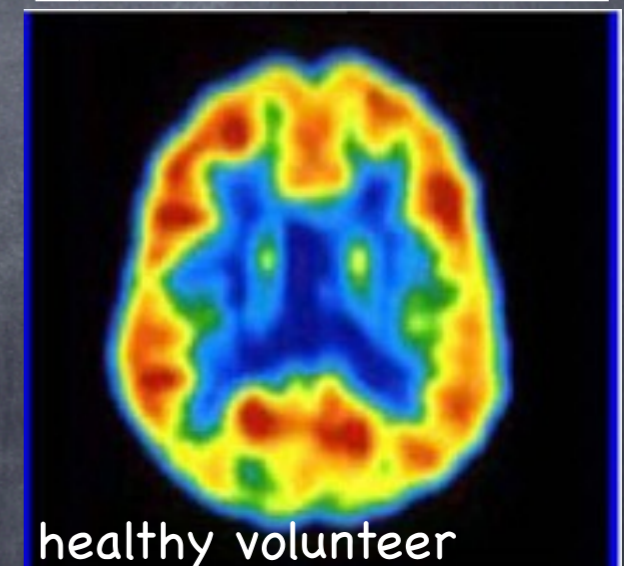


# GLIOBLASTOMA MULTIFORME

- The most common and most aggressive malignant primary brain tumor
  - rare: 2-3 cases/100,000/year in Europe and North America.
- Complete resection of neoplastic cells is crucial to raise the patient outcome.
- FDG (the most common tracer) is useless, due to great glucose avidity of normal brain tissue → very high  $\gamma$  background



<sup>18</sup>F-FDG-PET scan



healthy volunteer

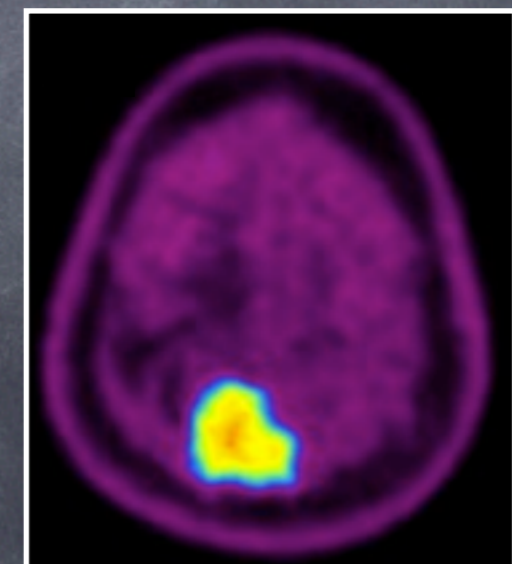
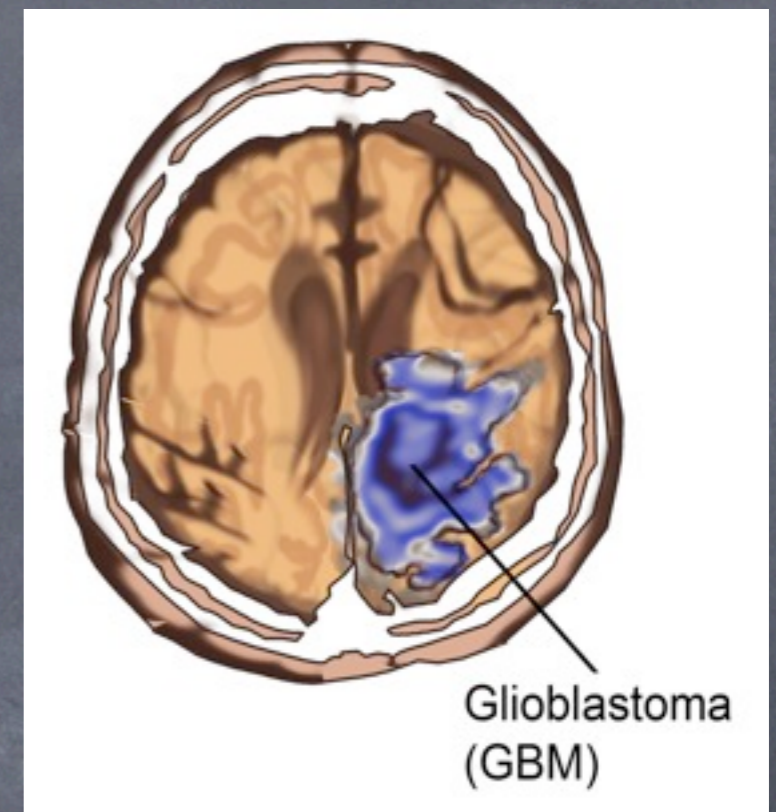
Large uptake in healthy cerebral tissue.

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## ▶ $\beta^-$ RGS

- pure  $\beta^-$  radionuclide  $^{90}\text{Y}$  bounded to DOTATOC (synthetic somatostatine analogue) is much more specific.

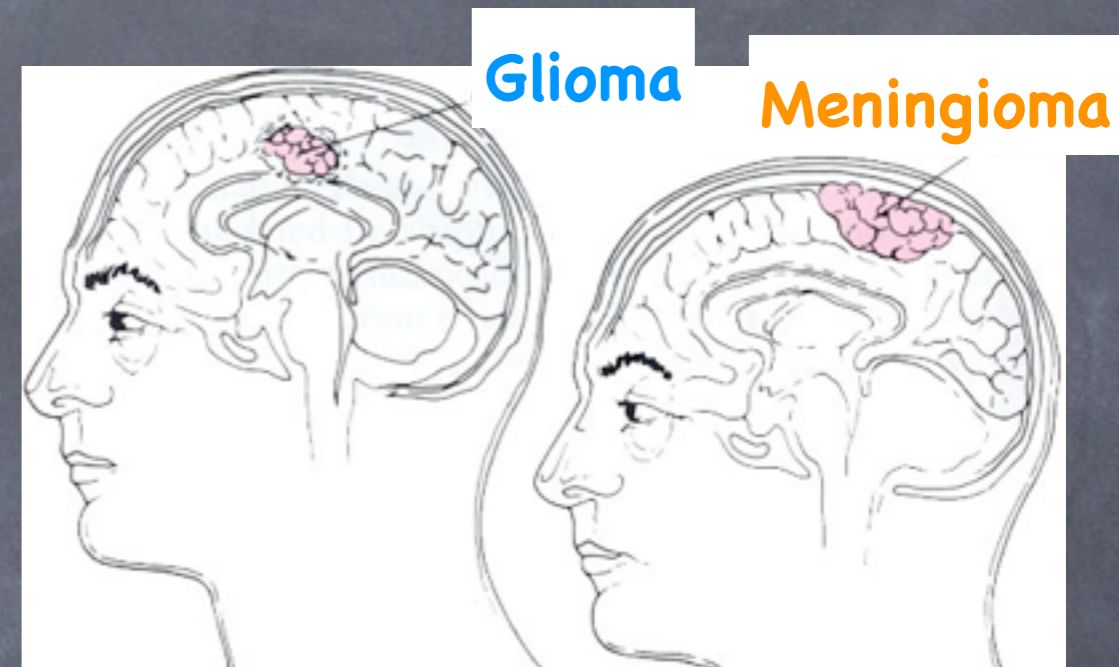


$^{68}\text{Ga}$ -DOTATOC-PET scan

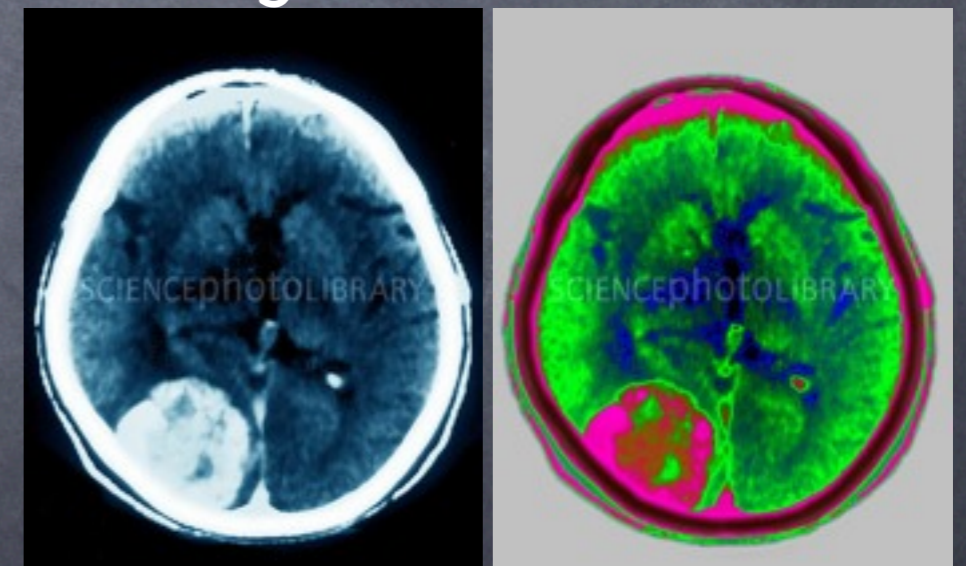


# MENINGIOMA “proof-of-principle”

- Set of tumors arising from the meninges, usually benign
  - 20 cases/100,000/year more frequent in women
- Can cause symptoms depending on the size and location.
- Best candidate to validate the technique
  - large uptake for  $^{90}\text{Y}$ -DOTATOC and well known since it is administrated for radio-metabolic treatment.

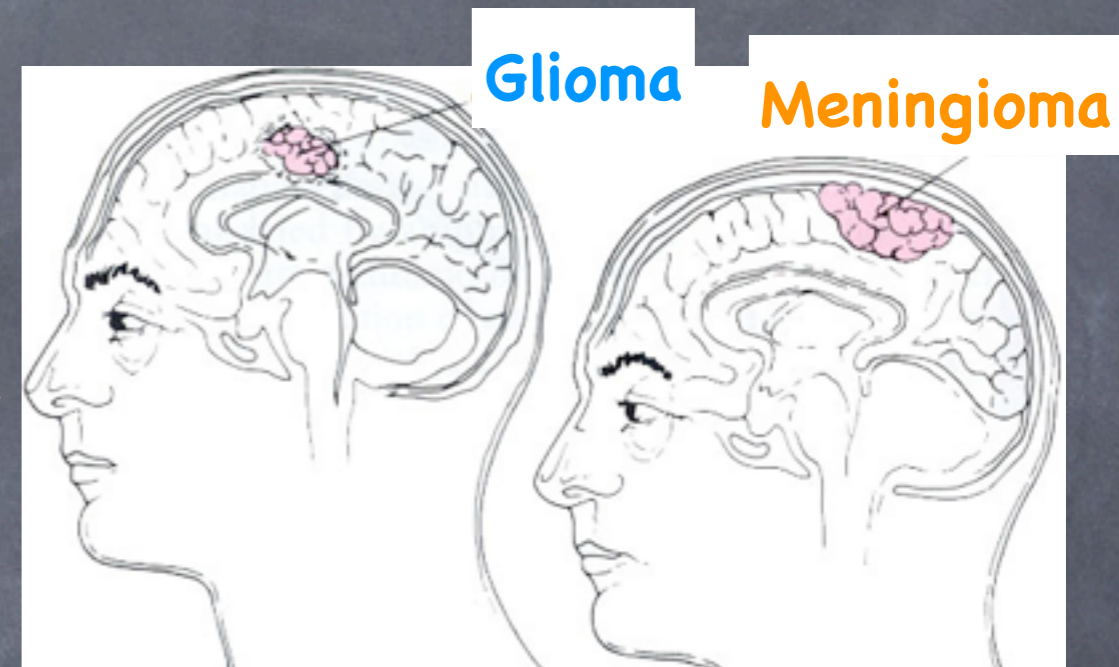


Meningioma: PET-CT scan

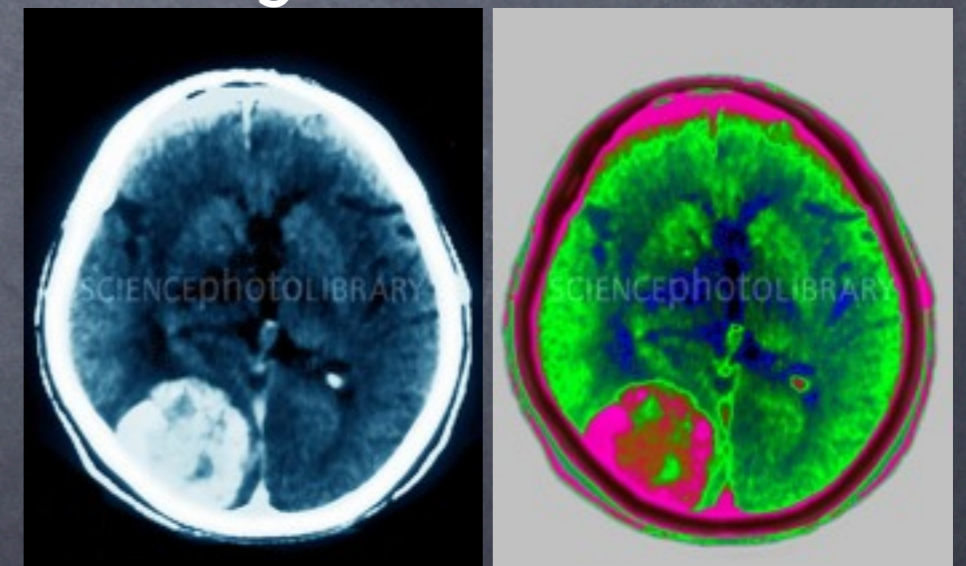


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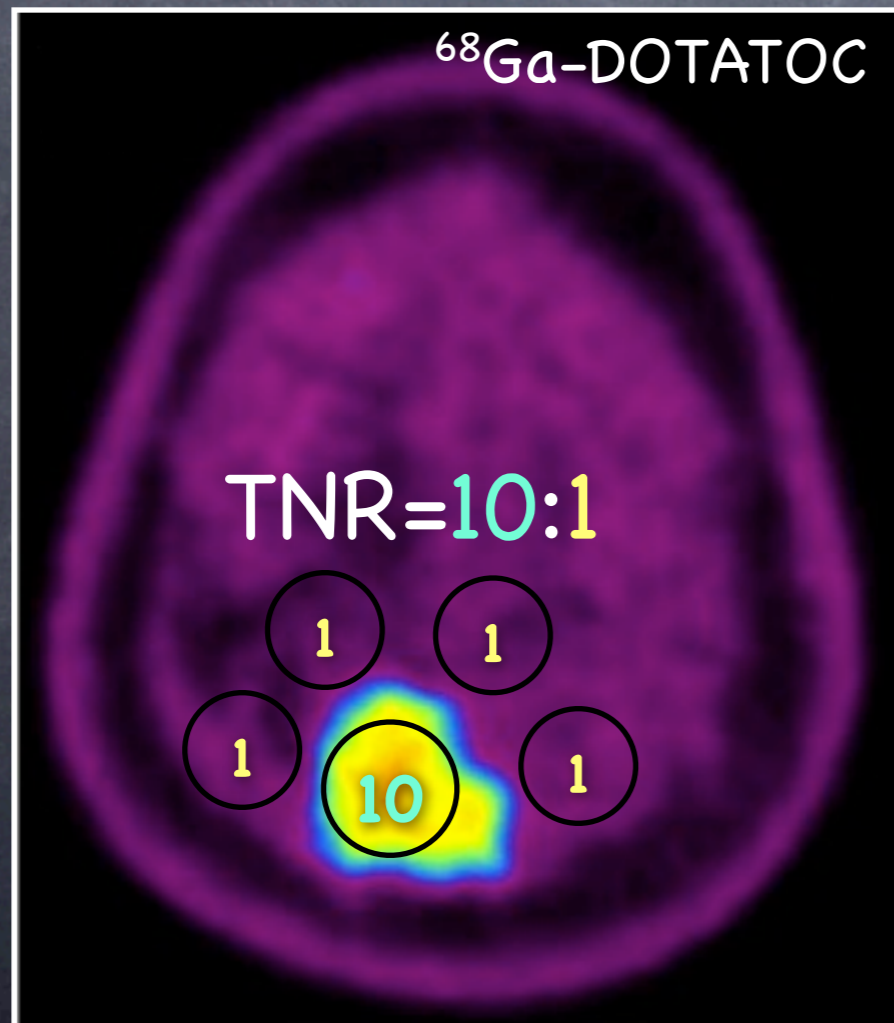


After considering the different tracer uptakes, the results of meningiomas will allow prediction on glioblastoma.

# Applicability of $\beta^-$ RGS

Diagnostic imaging exams allow us to quantify the uptake to the tracer from the **tumor** with respect to the **healthy tissue** around the lesion.

Meningioma: PET exam



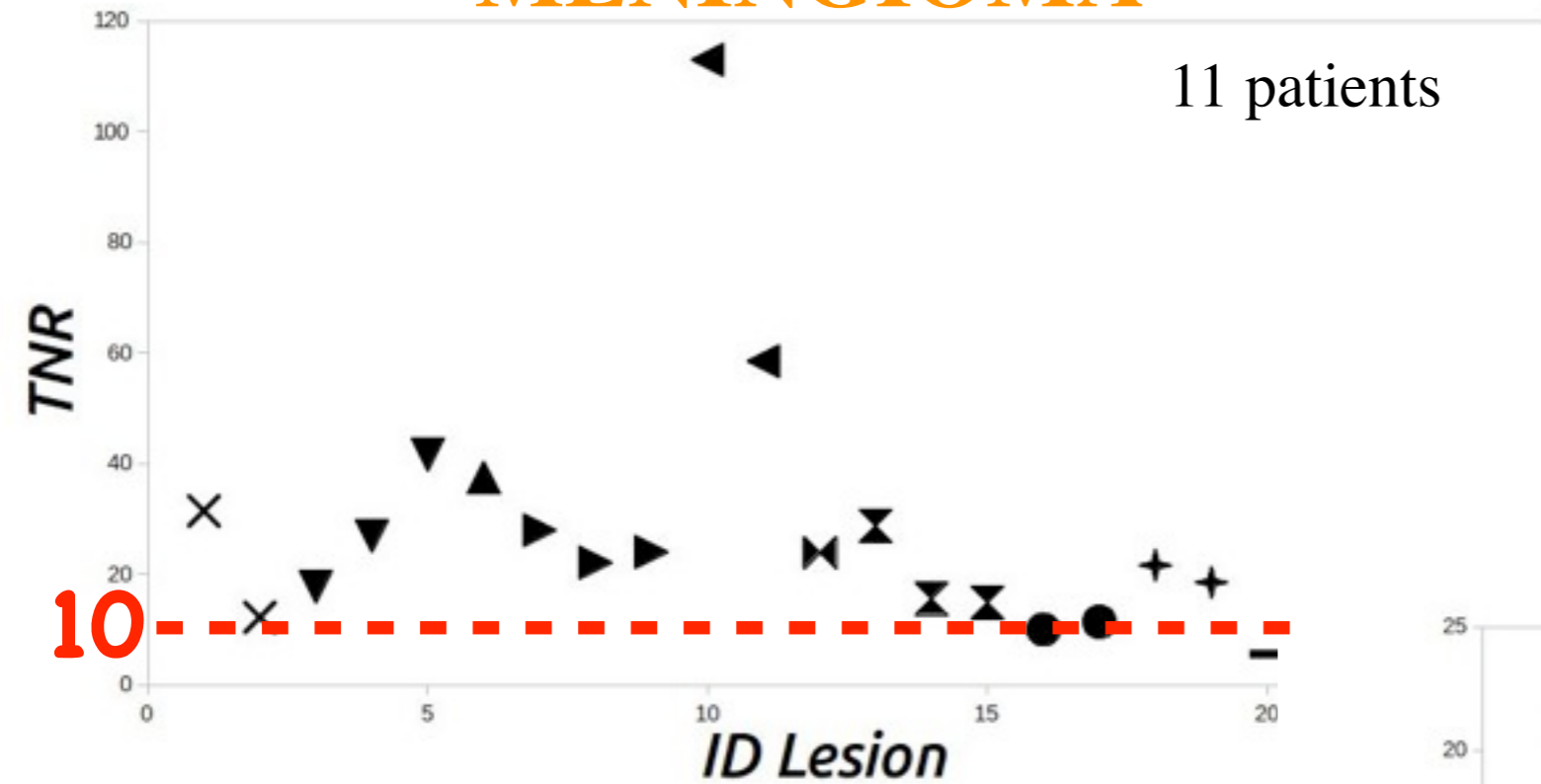
**TNR:**  
Target-to-Noise  
specific activity Ratio

## DICOM

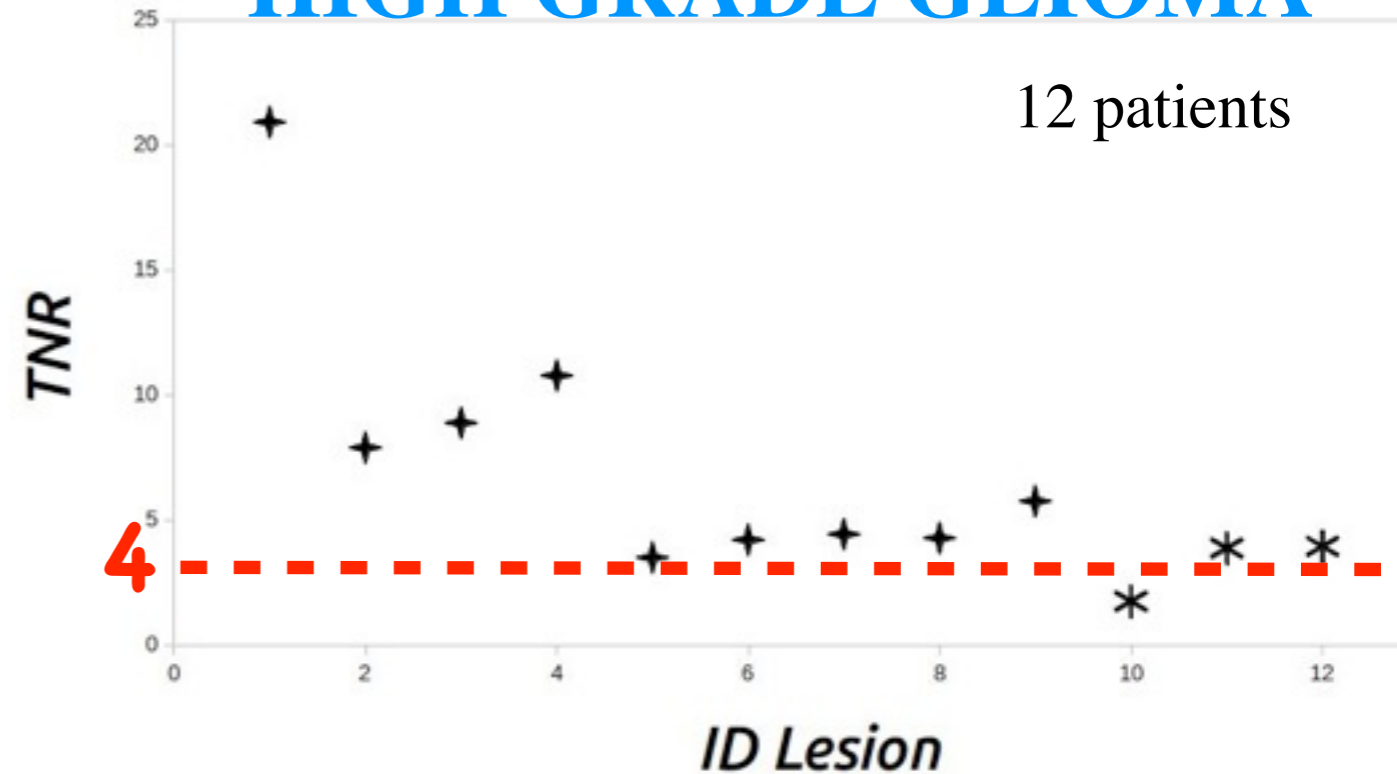
**Digital Imaging and Communications in Medicine** is a standard for handling, storing, printing, and transmitting information in medical imaging. **DICOM data object** consists of patient's attributes (name, ID, etc.) and special attributes containing the image pixel data (e.g. activity per voxel).

# Target-to-Noise Ratio

## MENINGIOMA



## HIGH GRADE GLIOMA

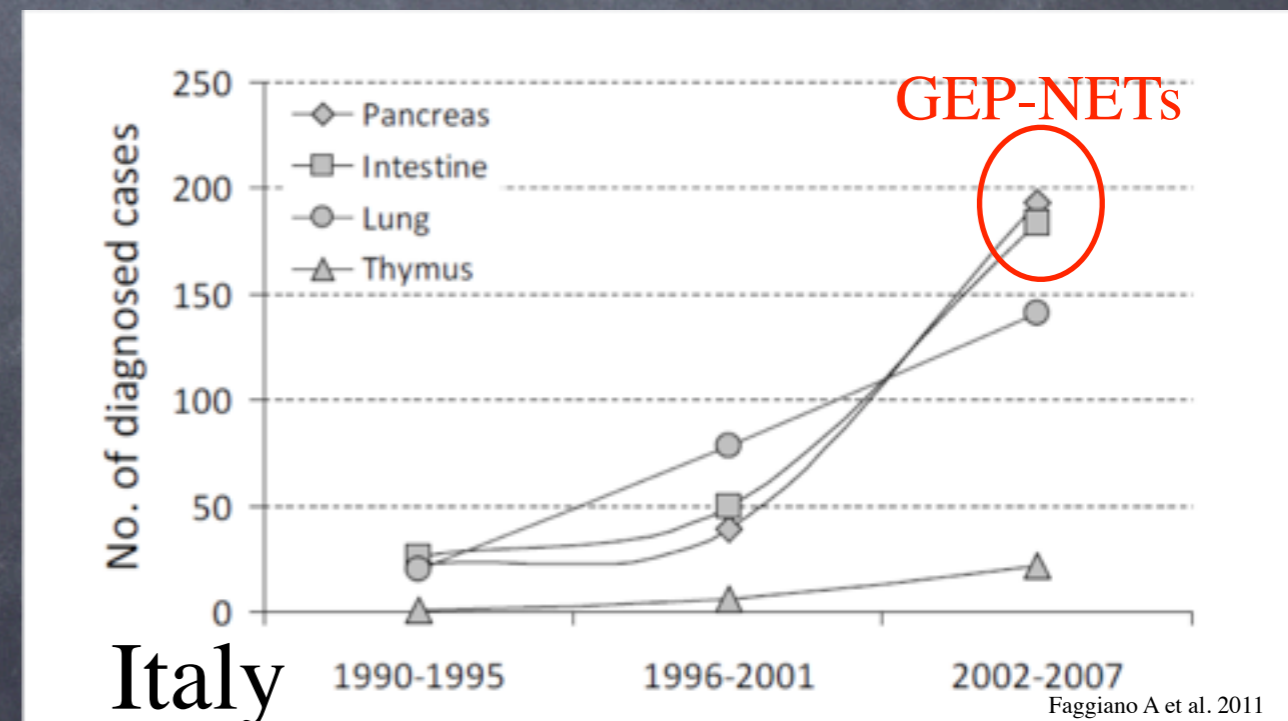
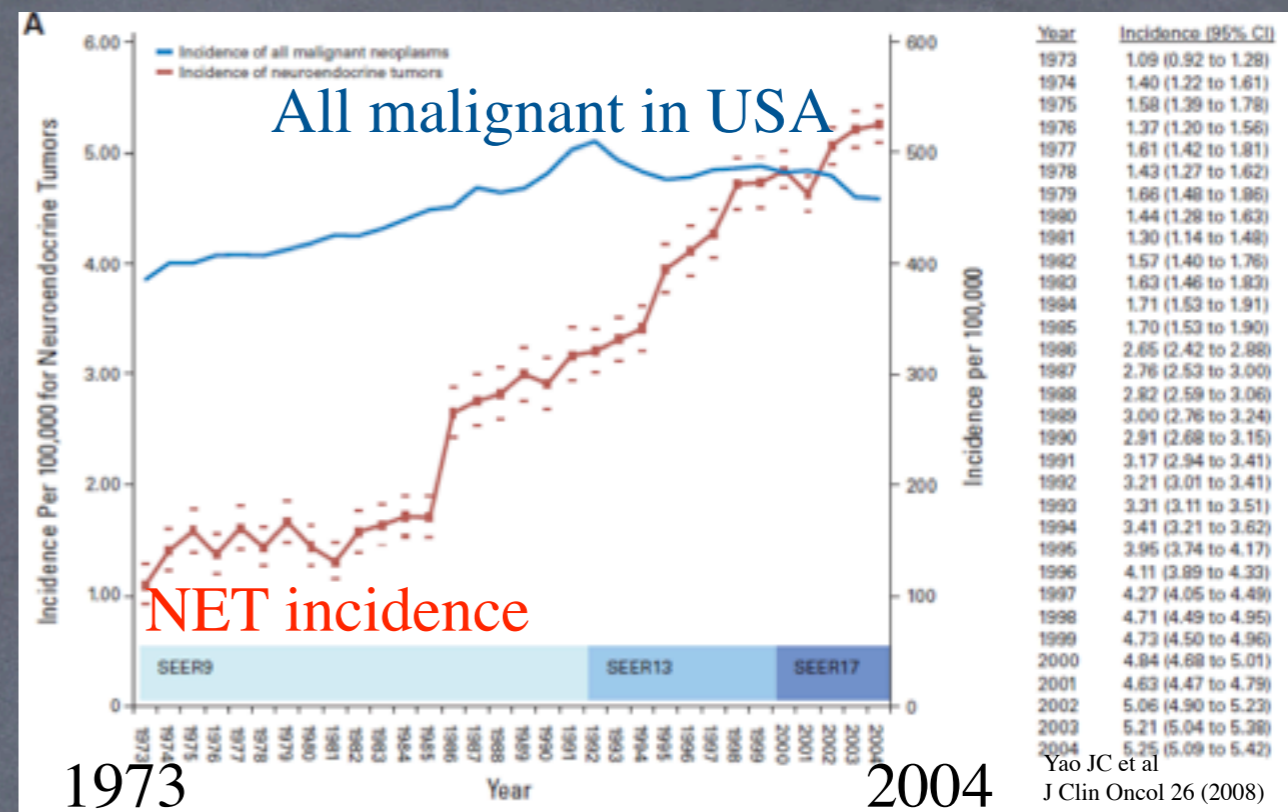


# Clinical Case Candidates: Abdominal Tumors



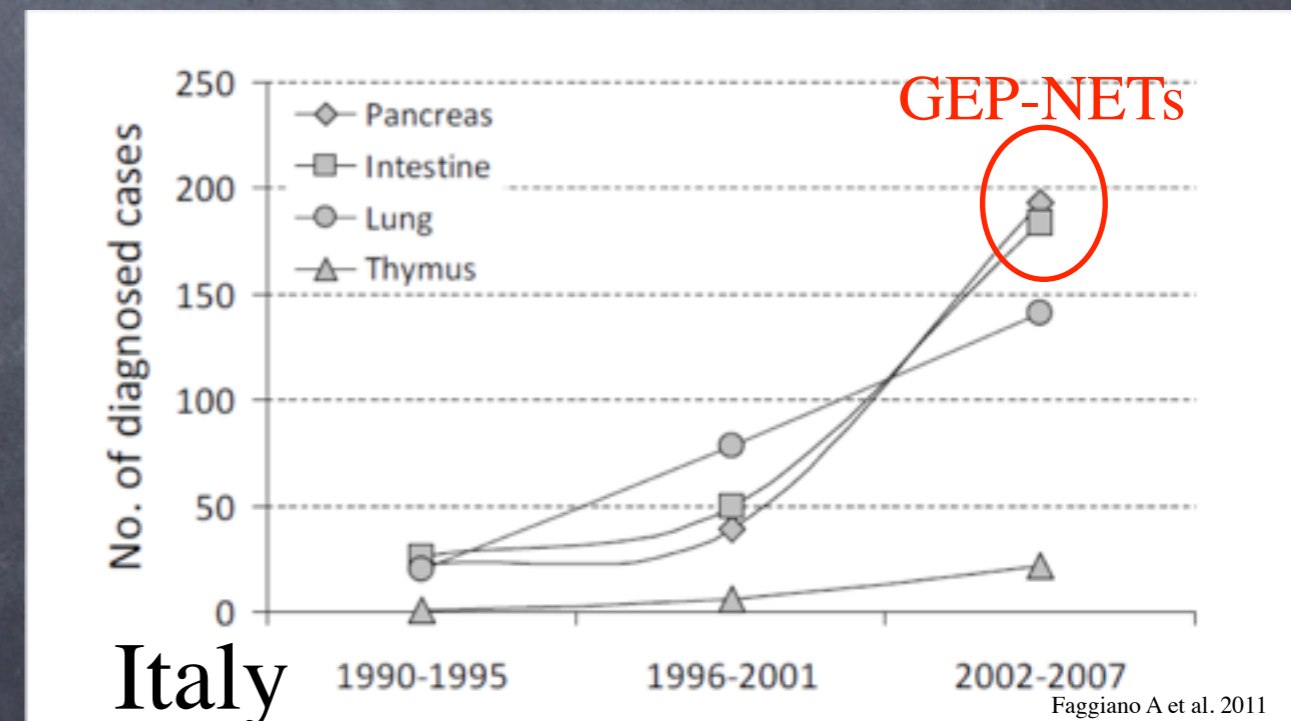
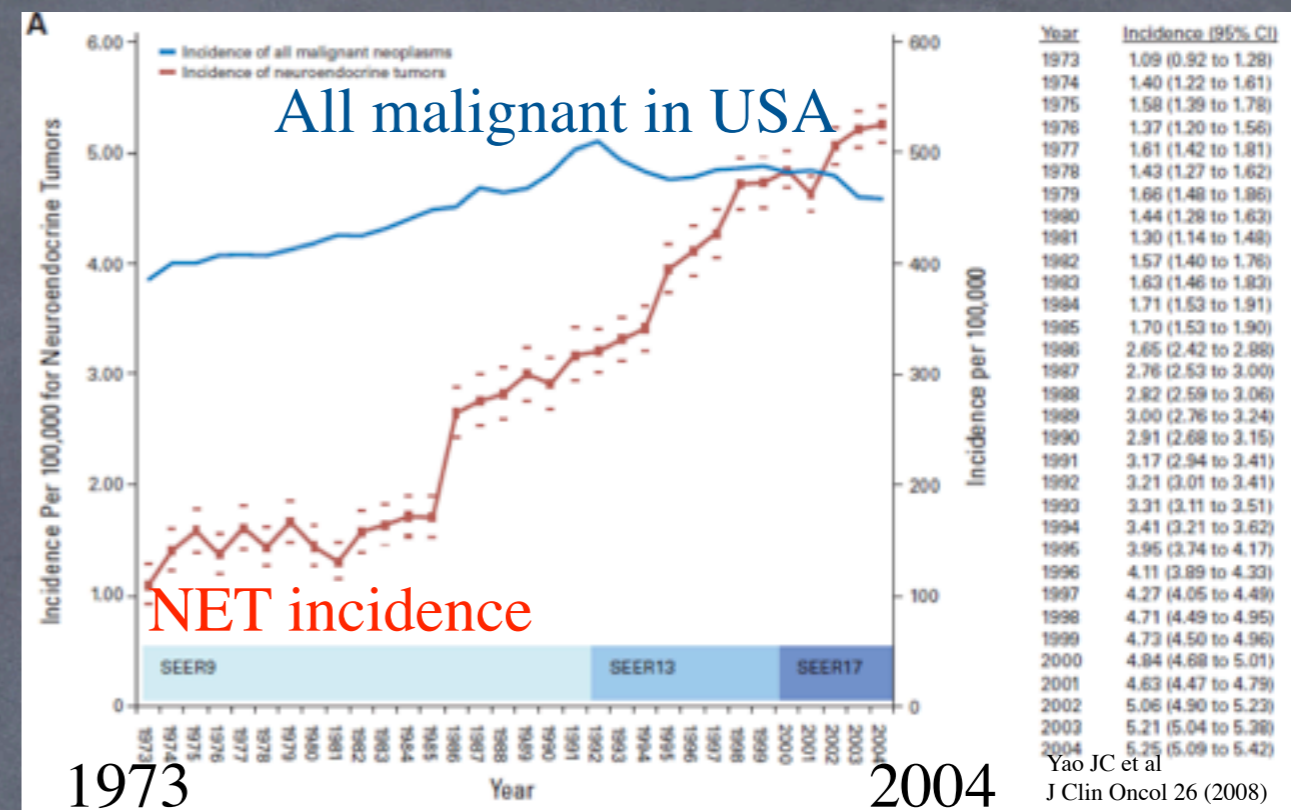
# Neuroendocrine Tumors

- NETs arise from cells of the endocrine (hormonal) and nervous systems; commonly occur in the intestine but also in the rest of the body.
- Rare but incidence increases over time
  - >5 cases/100,000/year (USA 2004).
- Surgery is the main treatment.
- Radio-marked by  $^{90}\text{Y}$ -DOTATOC.



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- Surgery is the main treatment.
- Radio-marked by  $^{90}\text{Y}$ -DOTATOC.
- $\beta$ -RGS would allow research and detection of
  - Non visible liver metastases and involved lymph nodes from NETs.
  - Gastro-entero-pancreatic neuroendocrine tumors (GEP-NET) difficult to be localized except by symptoms
    - pancreatic insulinoma;
    - colorectal bowel cancer.

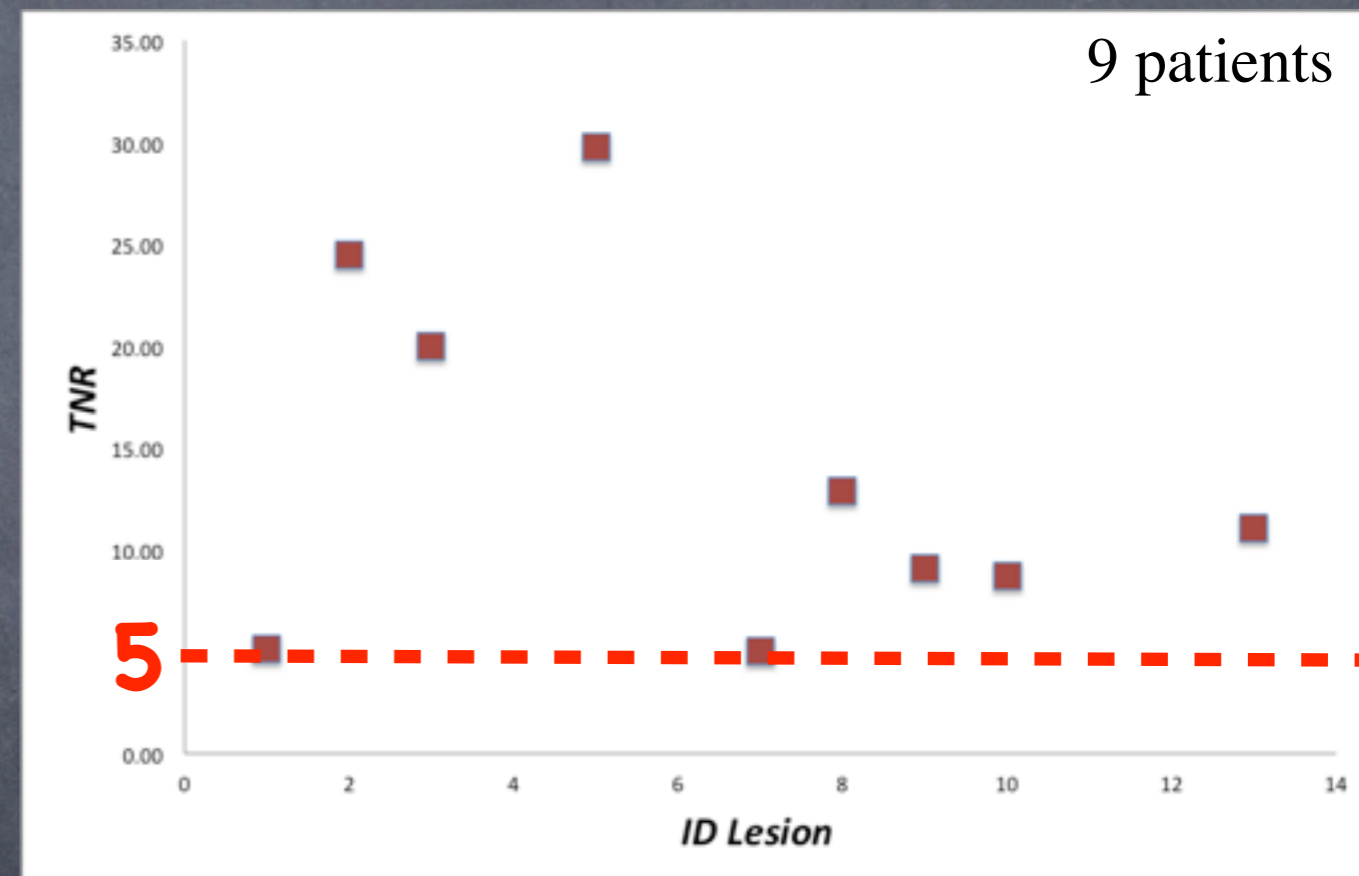
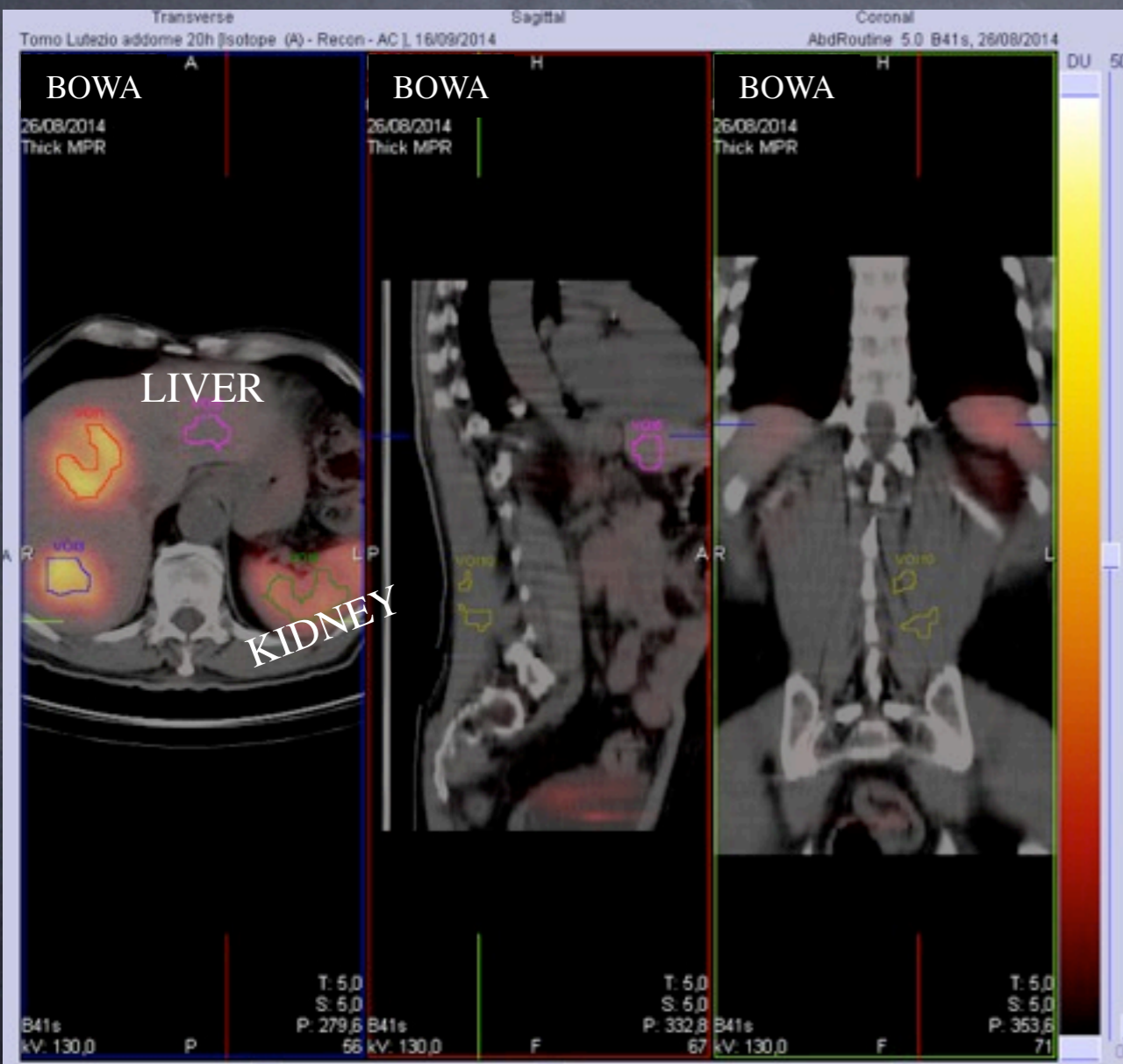


# Applicability to NETs

Patients affected by hepatic metastases from NETs

$^{177}\text{Lu}$ -DOTATOC-SPECT scan

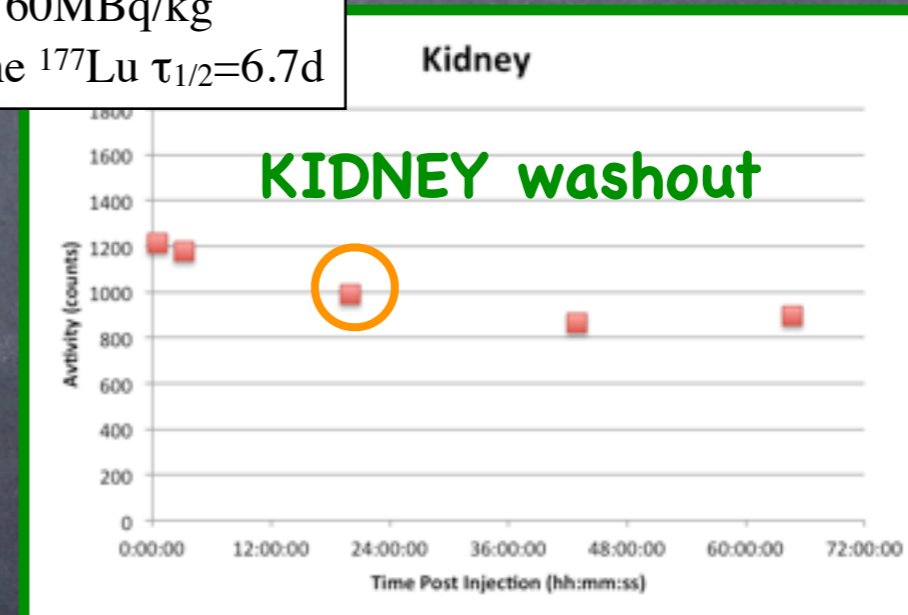
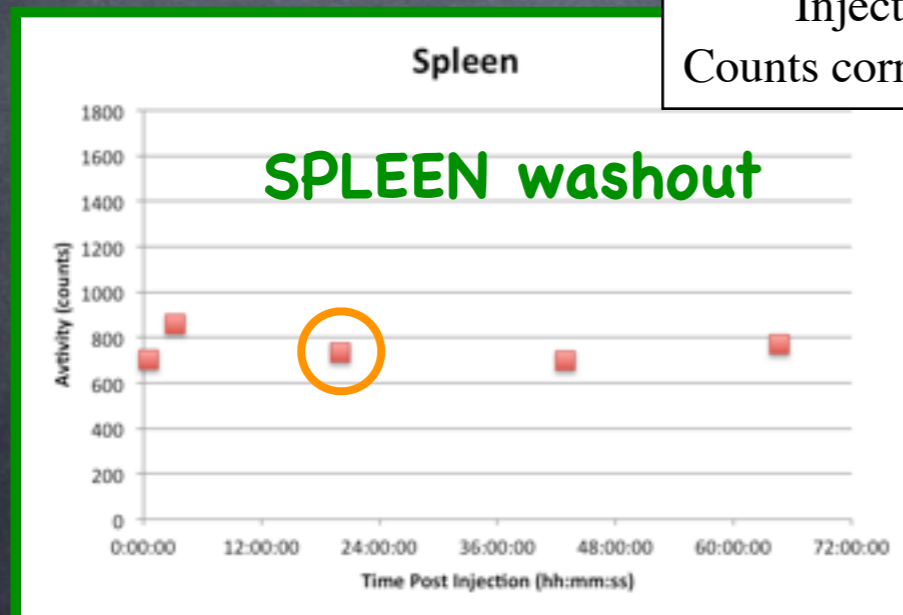
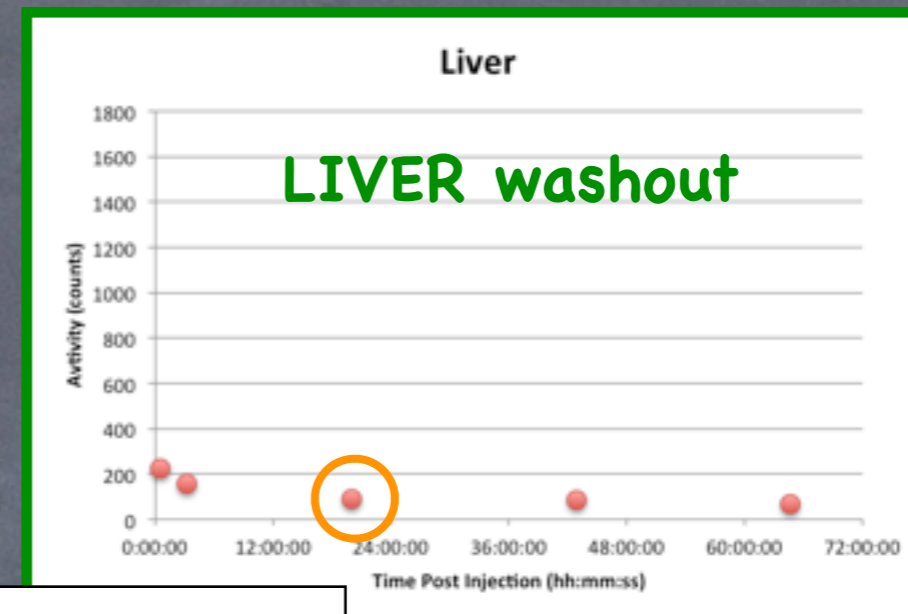
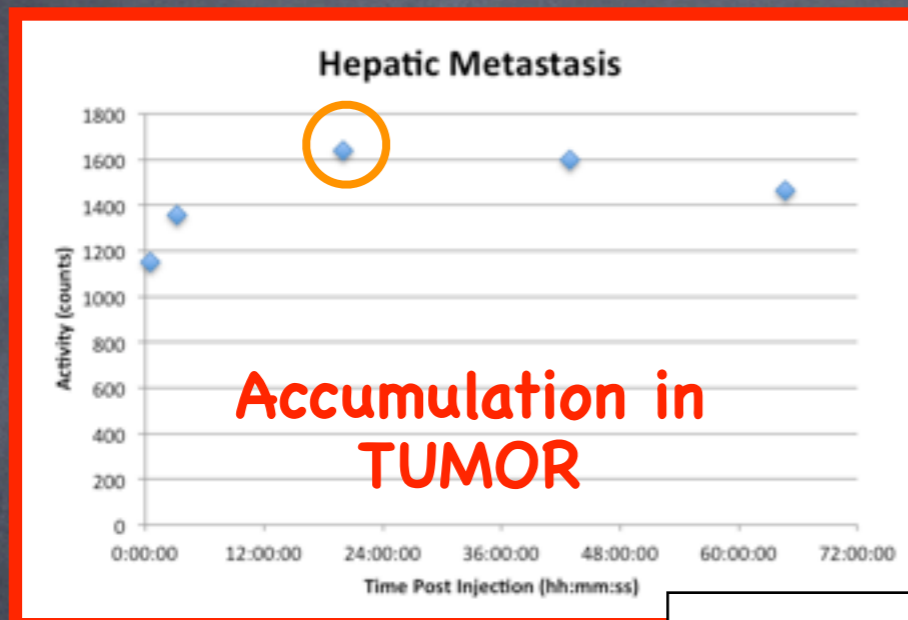
NET Target-to-Noise Ratio





# TNR: Evolution in Time

Study on the radio-tracer accumulation in tumor and washout from the healthy organs for 72h after injection:



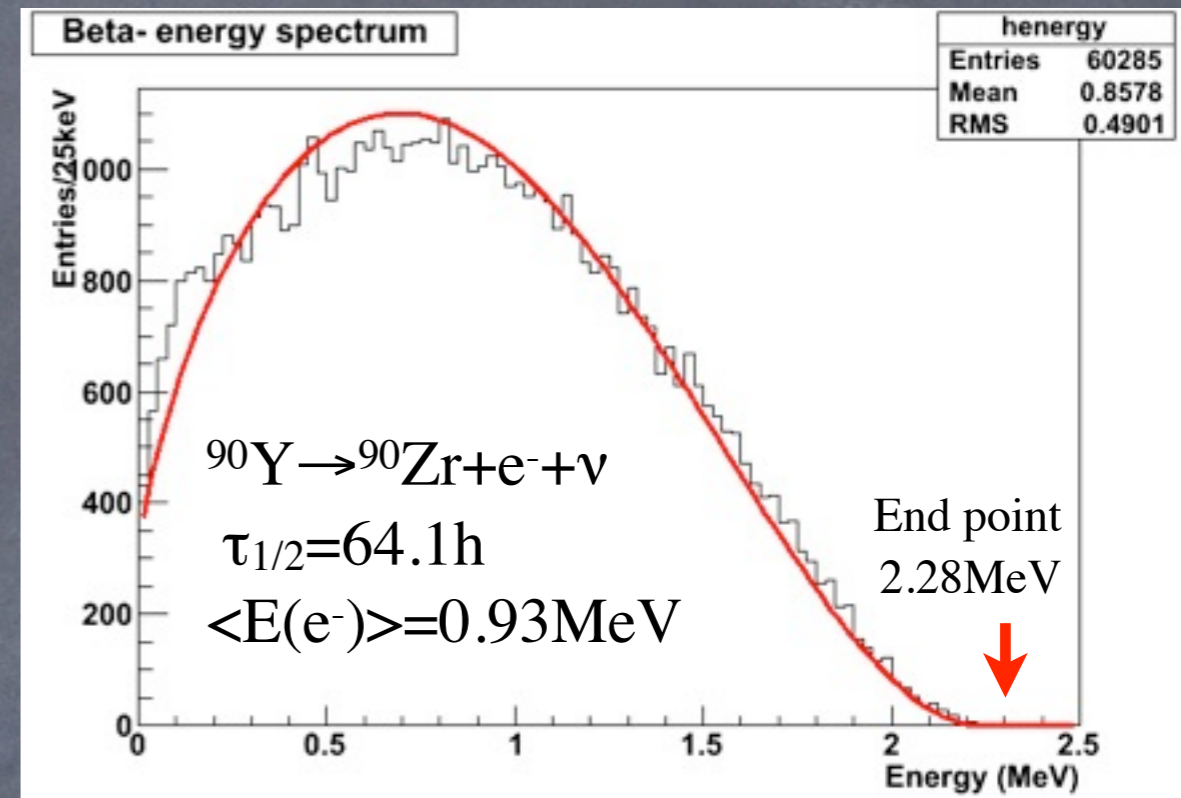
Injected Activity 60MBq/kg  
Counts corrected for the  $^{177}\text{Lu}$   $\tau_{1/2}=6.7\text{d}$

TNR is maximized after 24h → best moment for RGS

# Intraoperative $\beta$ - Probe

# Detector Development

- Requirements:
  - maximal reduction of injected activity
    - high sensitivity and fast response;
  - handy tool for the surgeon
    - compactness and small size.



# Detector Development

- Requirements:

- maximal reduction of injected activity

- ▶ high sensitivity and fast response;

- handy tool for the surgeon

- ▶ compactness and small size.

- Our best candidate: **para-terphenyl**

- Mechanically robust (non-hygroscopic)

- Light material (low  $\rho=1.24\text{g/cm}^3$ )

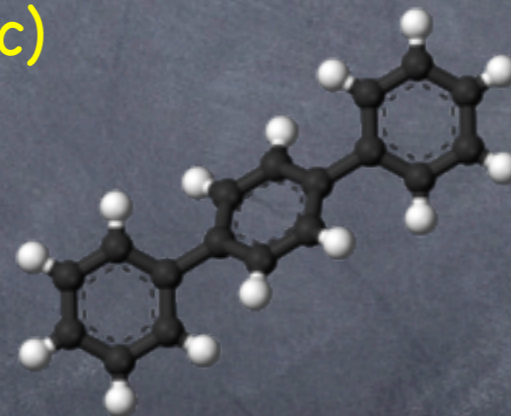
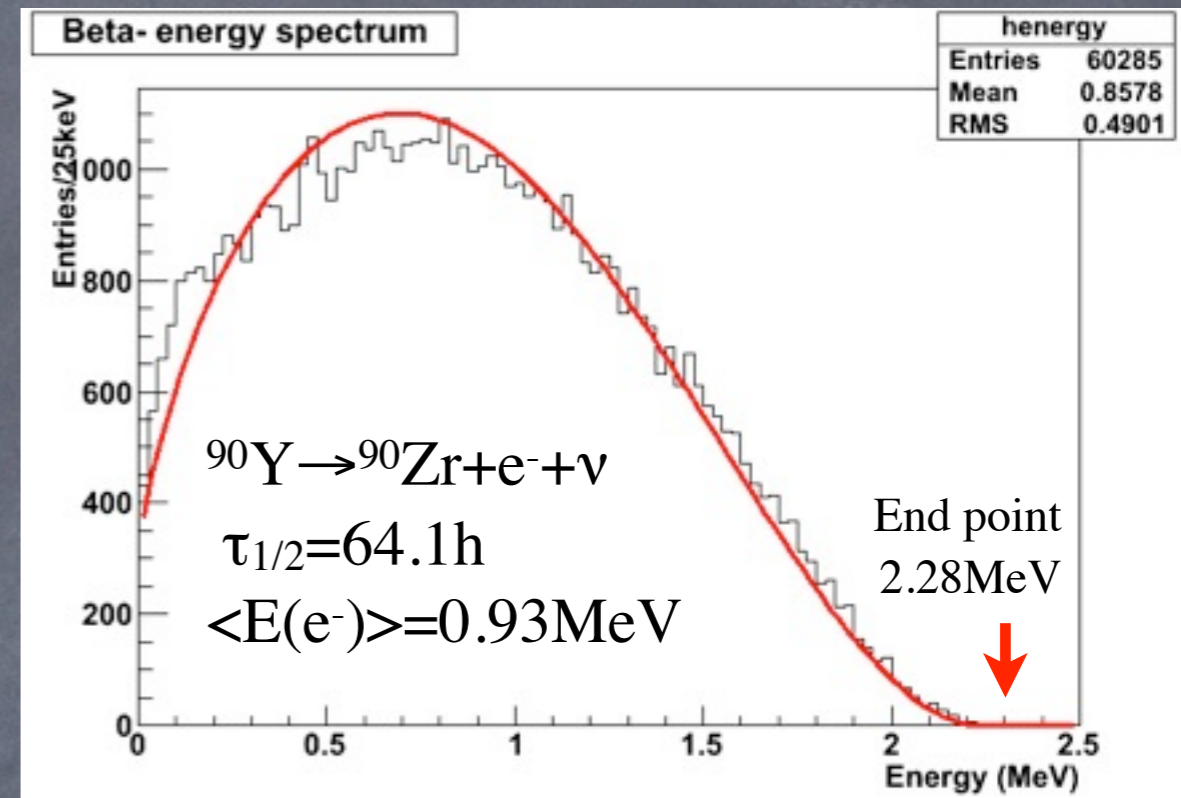
- scarce sensitivity to  $\gamma$ .

- High signal

- Light Yield 3.5 times larger than typical organic scintillators.

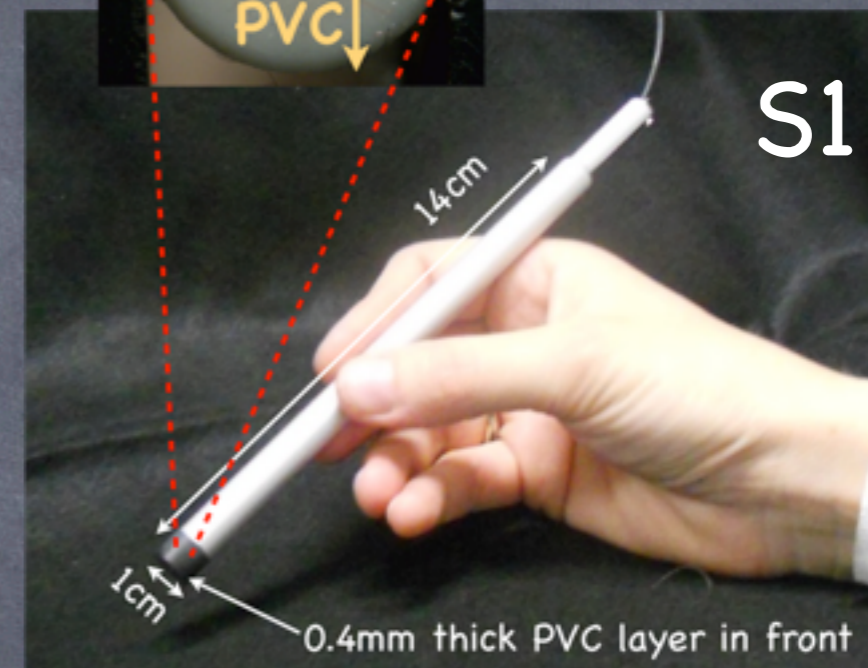
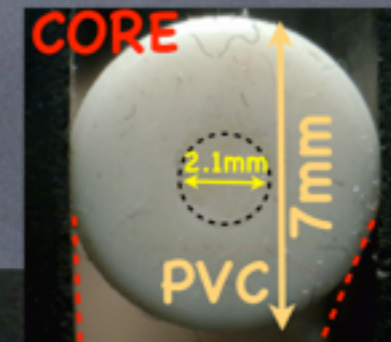
- suitable for detection of non-penetrating low energy radiation

- Light Attenuation Length  $\lambda \sim 5\text{ mm}$



aromatic  
hydrocarbon  
isomer  
 $\text{C}_{18}\text{H}_{14}$

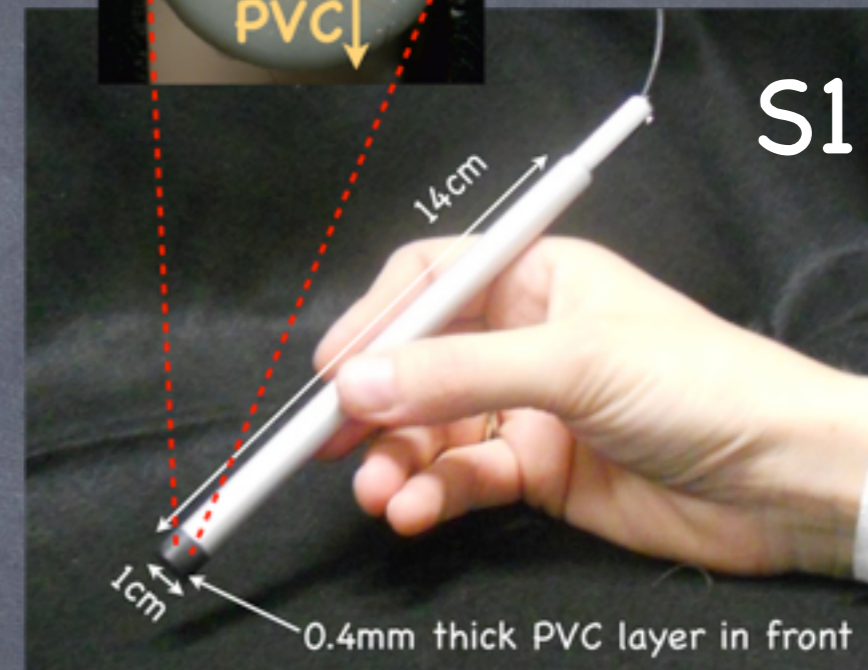
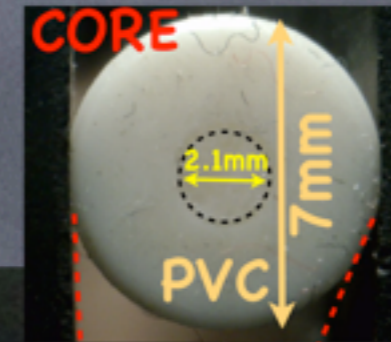
# $\beta$ - Probe Prototypes



Compatible with a standard sterile covering of sub-millimetric film for surgical environment.

# $\beta$ - Probe Prototypes

- Core: cylindrical scintillator of p-terphenyl
  - S1 d=2.1mm, h=1.7mm
  - S4 d=5mm, h=3mm
  - SiPM d=10mm, h=3mm



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- Light detector:
  - optical fiber connected to a photo-multiplier tube
  - PMT Hamamatsu H10721-210
  - directly coupled to a Silicon photo-multiplier
  - SiPM sensL B-series 10035



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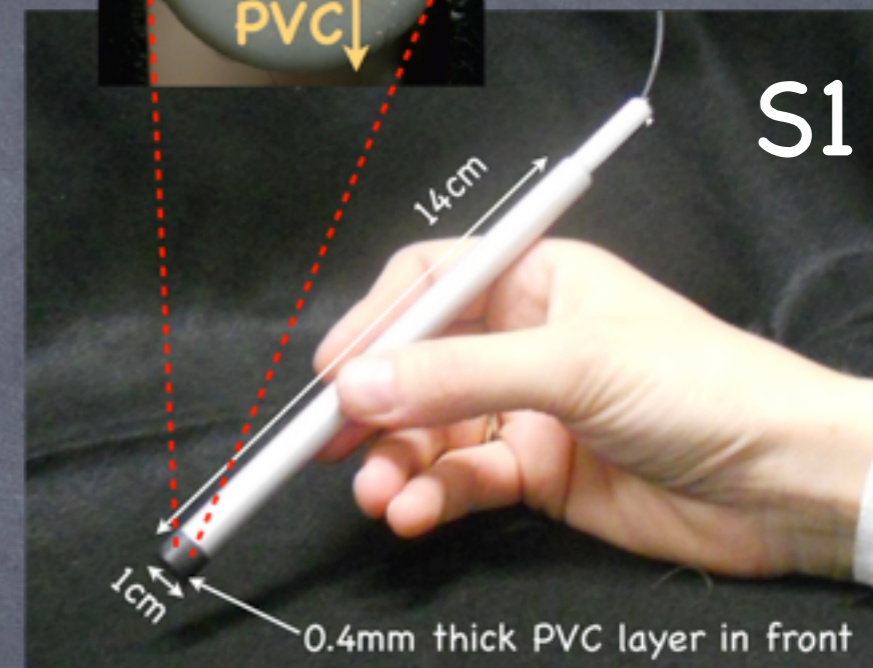
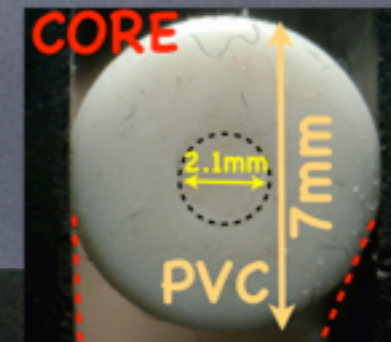
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- PMT Hamamatsu H10721-210
- directly coupled to a Silicon photo-multiplier
- SiPM sensL B-series 10035

- Probe mechanical:

- Entrance window: 10 $\mu$ m Al sheet ensures the light-tightness.
- Tip: PVC ring to be shielded against radiation from the sides;
- Body: a thin aluminum body for easy handling;
- Mass: 50-150g



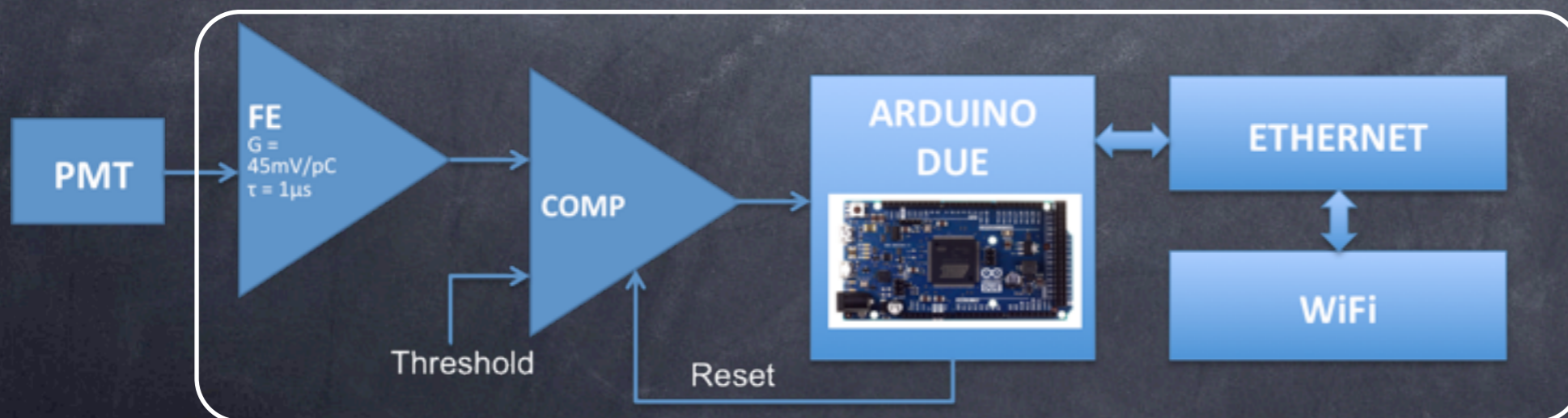
Compatible with a standard sterile covering of sub-millimetric film for surgical environment.



# Electronics Read-out

Electronics read-out is portable and customized to match the surgeon needs

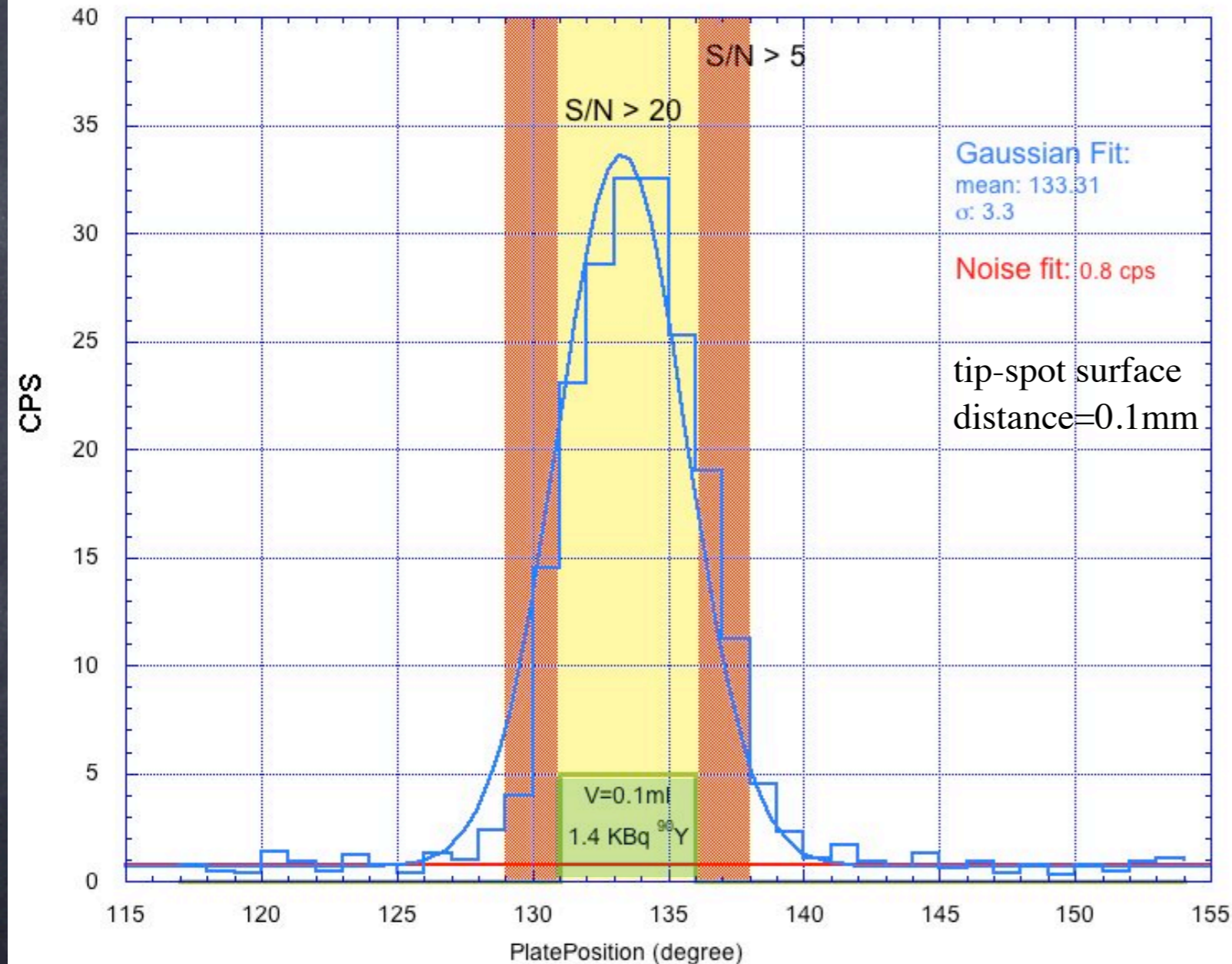
- time to take a decision  $\sim 1s$ ;
- acoustic and visual alarm;
- wireless data transfer;
- user interface available both for PC or tablet.



# Performance of the Probe

## Sensitivity to active spot

HOT SPOT SCAN (S4, th=75mV)

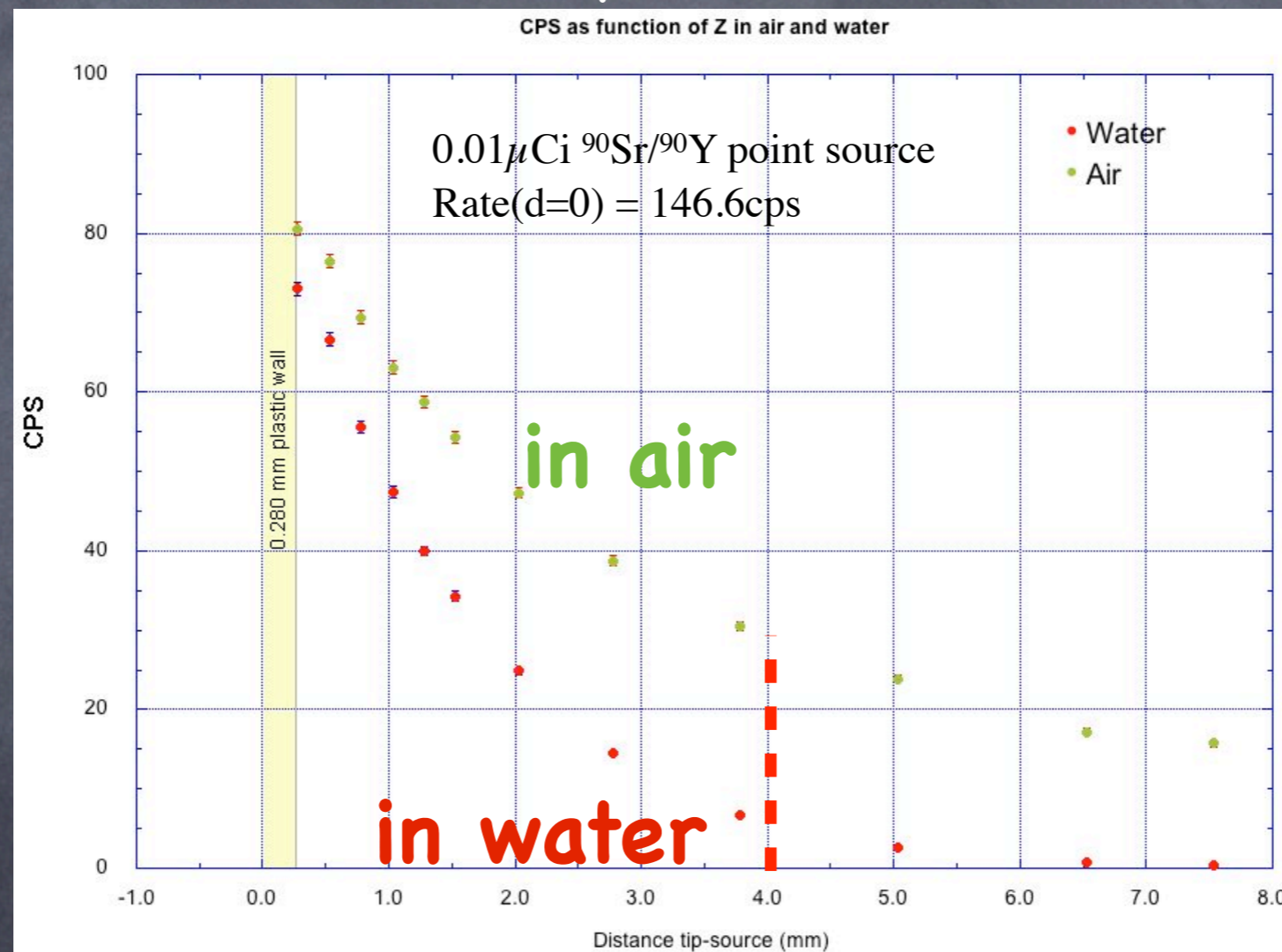


- Sensitivity to  $\beta$ :
  - $3.8 \cdot 10^5$  counts/s/MBq
  - $\epsilon_{\beta}=40\%$   $E_{\beta}>300\text{keV}$
- Good sensitivity to active spot of  $V<0.1\text{ml}$ .
- Very directional and local view.

# Performance in water

Probe in water to test the sensitivity in environment equivalent to the body

Sensitivity vs tip-source distance



→ sensitive until to 4mm depth (=maximal path of 1MeV electron in water).

# Photon Transparency

GOAL: evaluation of the  $\gamma$  background from Bremsstrahlung

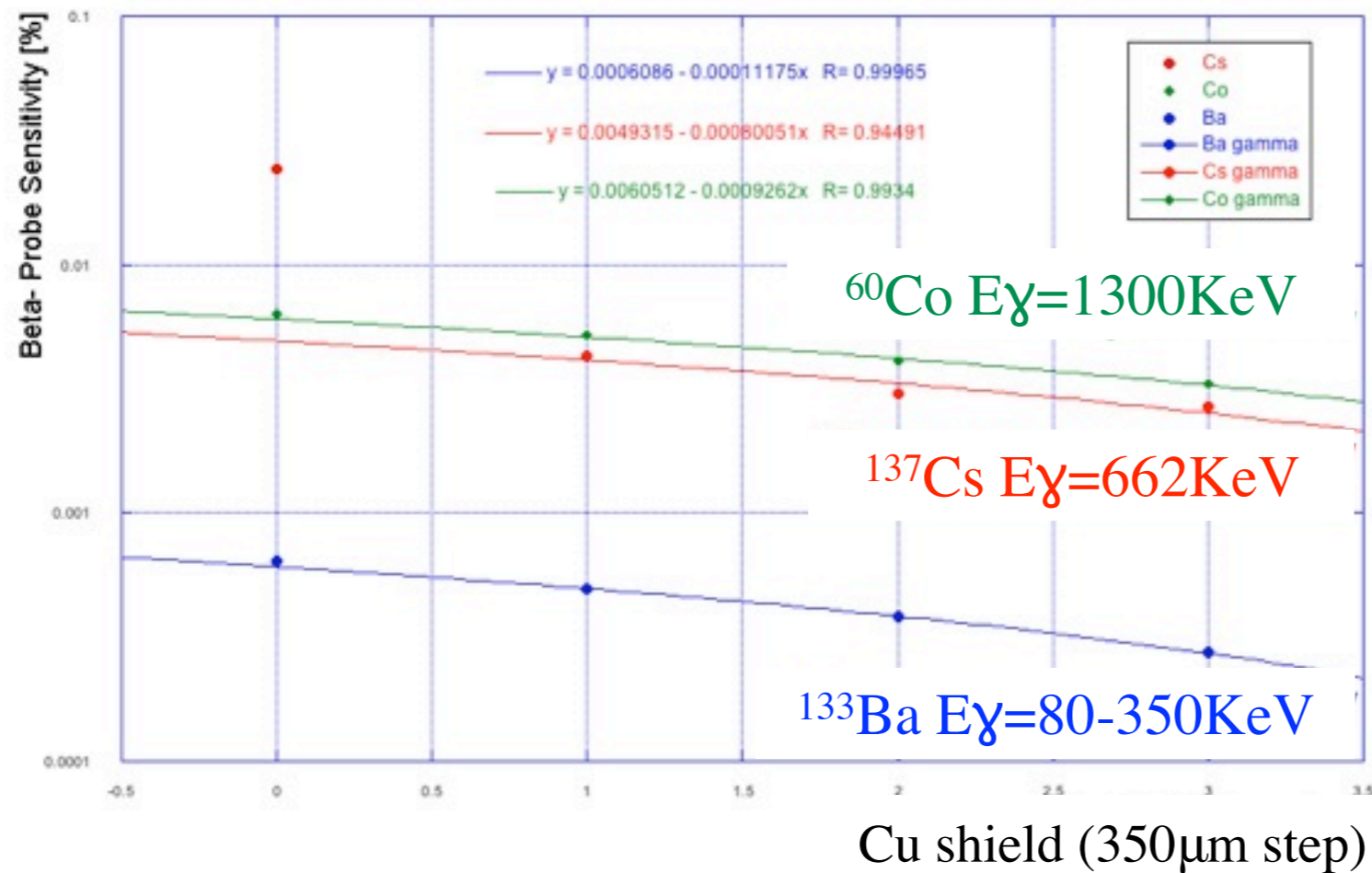
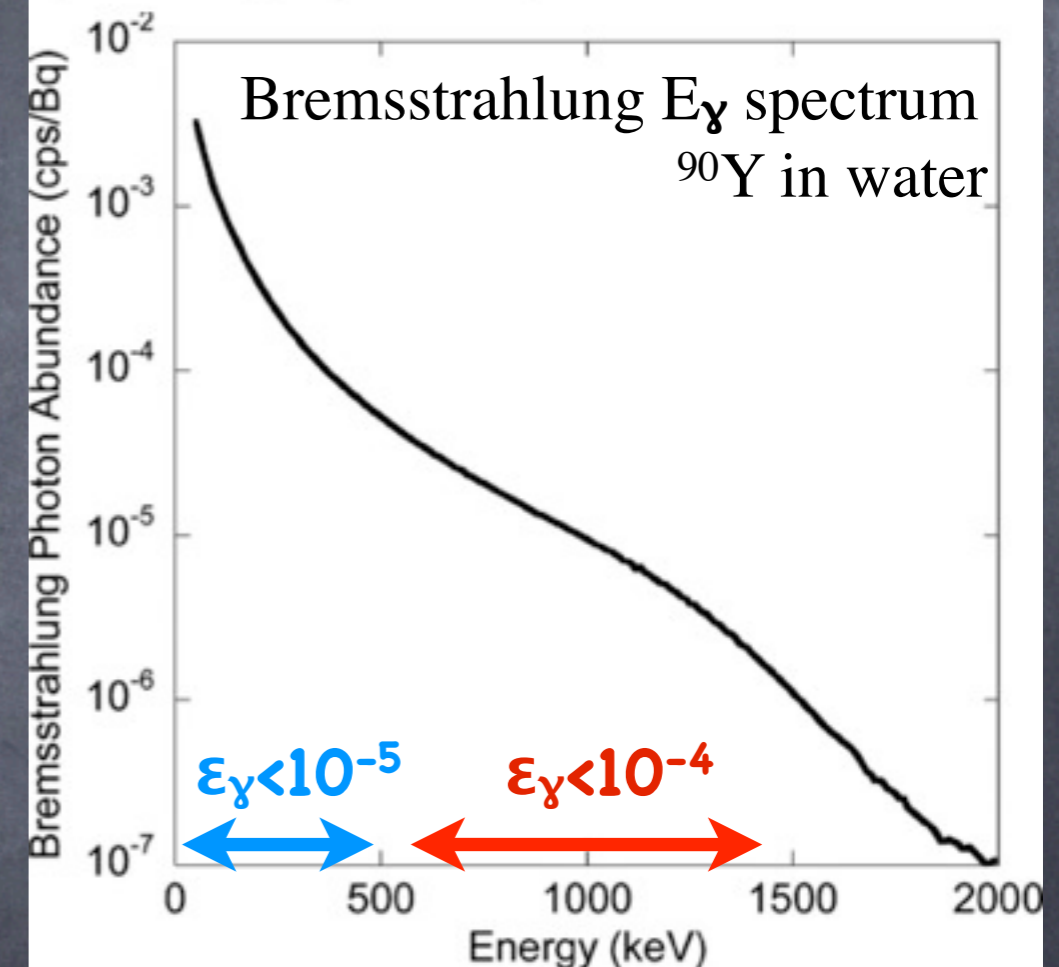


Figure 1 from Xing Rong et al 2012 Phys. Med. Biol. 57 3711



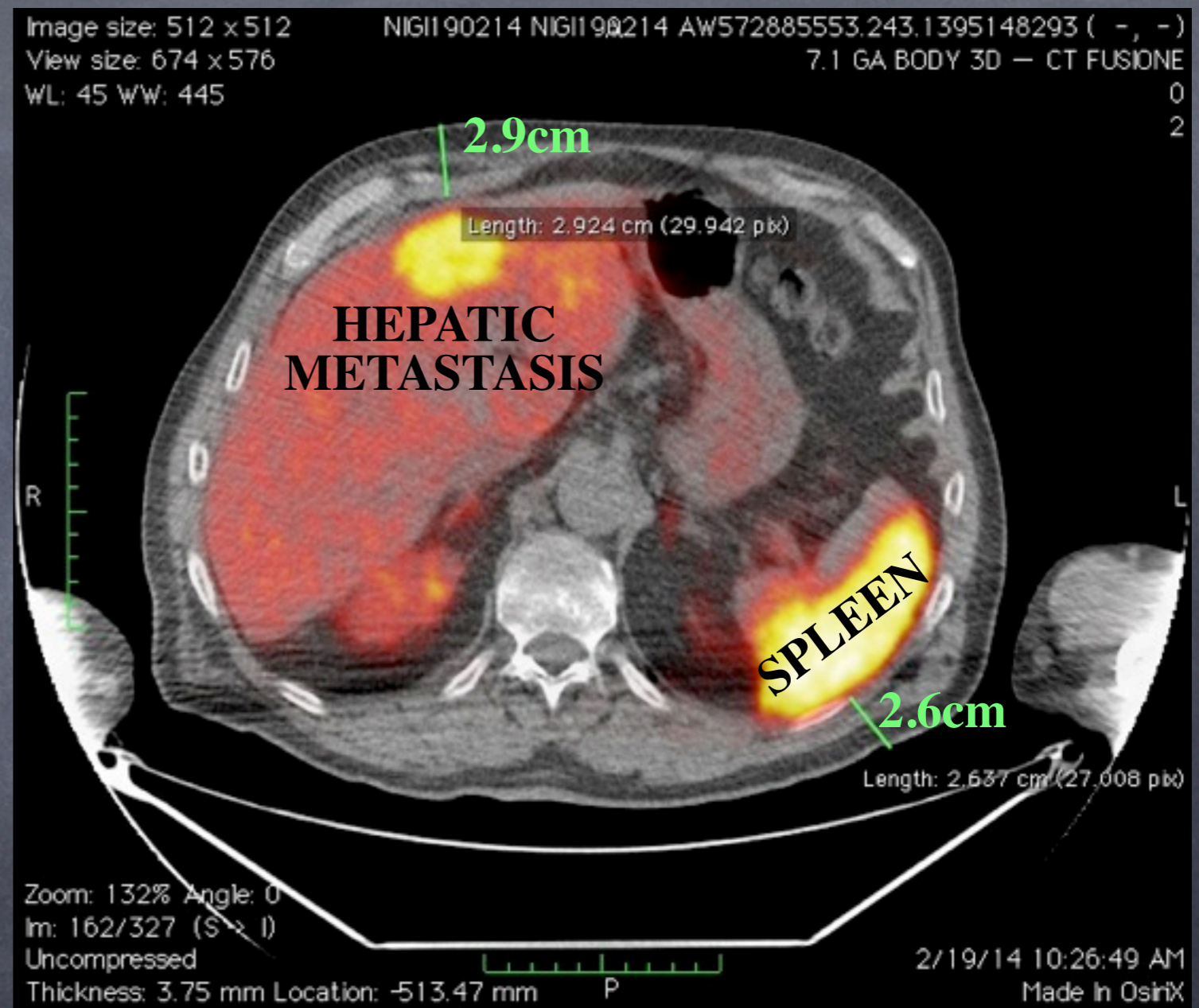
➔ Very low sensitivity to photons

# Patient

also in a real case of Bremsstrahlung background

## NIGI

- Injected activity (24h before)
- $^{90}\text{Y}$ -DOTATOC 54mCi
- 21MBq/kg therapeutic treatment
- Lesion of interest:
  - **Hepatic metastasis from neuroendocrine tumor**



# $\beta$ - Probe on Patients

GOAL: first look to the real Bremsstrahlung background and cross-check with a commercial  $\gamma$ -probe

SiPM prototype



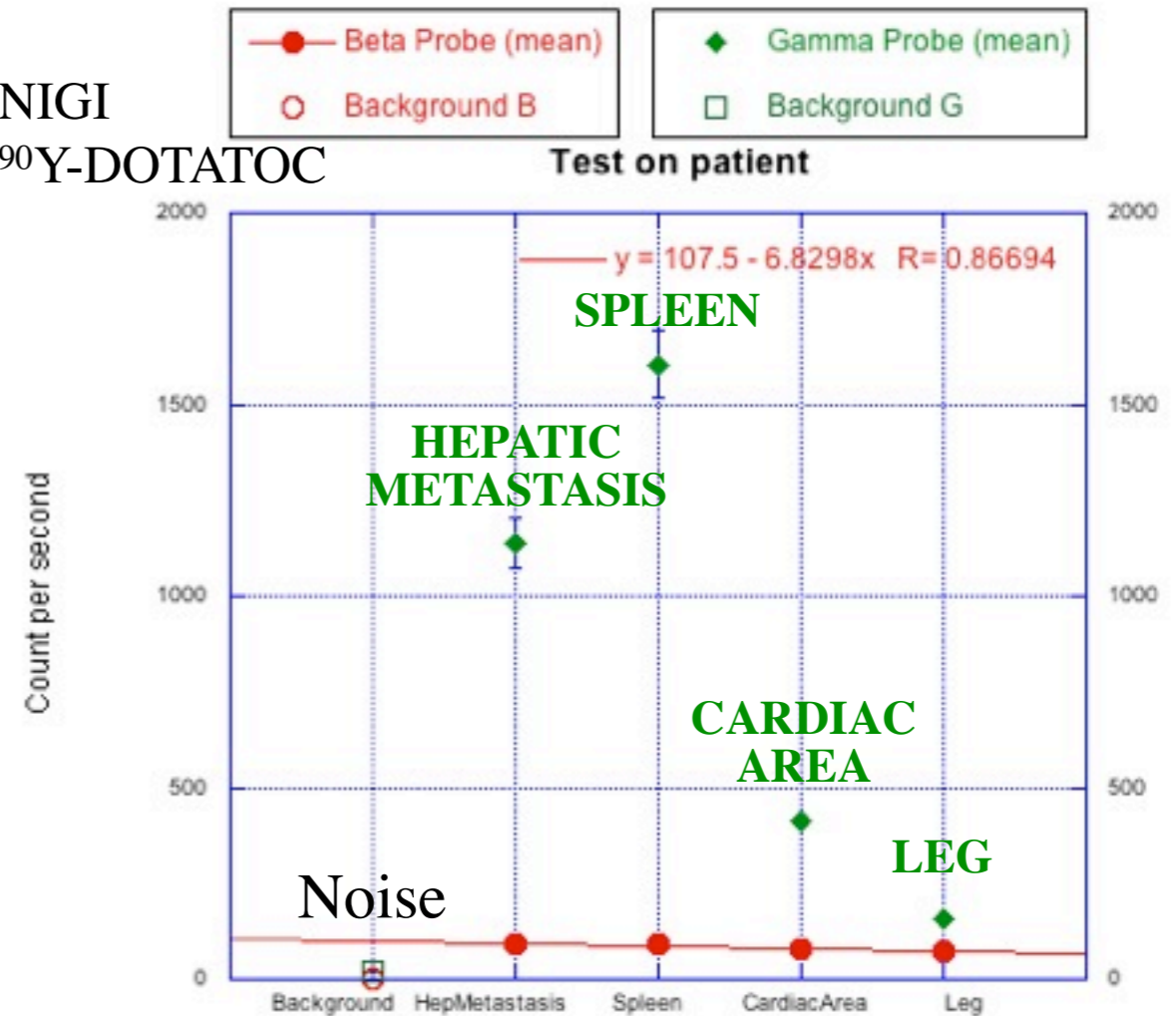
VS

Neoprobe® GDS  
Gamma Detection Probe



Comparable sensible area  
 $\sim 1\text{cm}^2$

NIGI  
 $^{90}\text{Y}$ -DOTATOC



Not surgery, probes outside the body!

- ✓ Detected activity is related to electrons emitted in superficial tissue.
- ✓ Verified transparency to photons from Bremsstrahlung.
- ✓ Uptake by healthy organs around the lesion does not affect the count rate.

# Personnel Exposure

**PATIENT:  $^{90}\text{Y}$ -DOTATOC Activity for therapy, after 24h: ~500MBq**

Direct reading dosimeter ( $\Delta t=15\text{min}$ ) close to the patient:

	Distance from patient	Skin Dose [ $\mu\text{Sv}$ ]	Depth Dose [ $\mu\text{Sv}$ ]
Doc1	10cm	29	2
Doc2	1.5m	3	0
Doc3	1.5m	2	0

Indication that exposure in the surgical environment and with activity for diagnostic will be almost negligible.

# Realization of "Ad-hoc" Phantoms



# Phantom Factory

GOAL: Phantoms simulating tumor remnant pattern embedded in healthy tissue.

PHANTOM SAMPLES

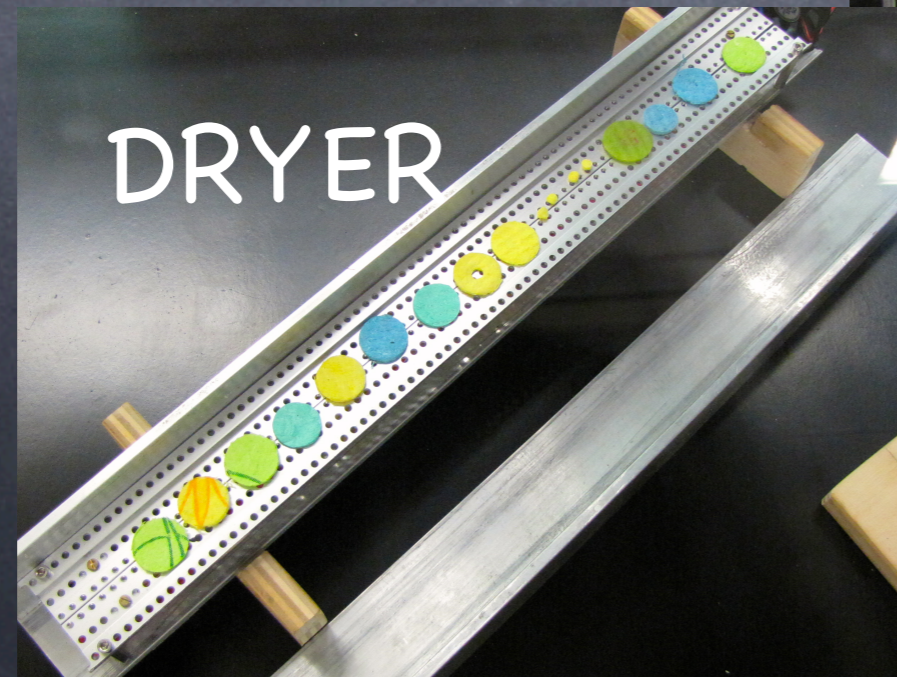
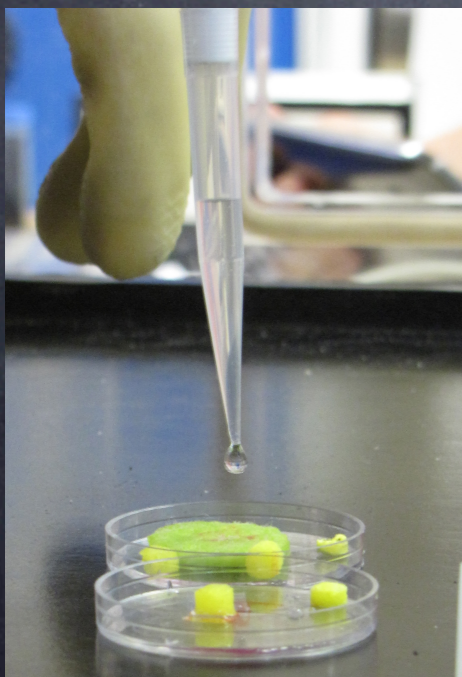
$\beta^-$  decays allow to realize a clean and radio-protected box in INFN lab.



kitchen  
sponge



$^{90}\text{Y}$  in saline solution with different dilutions



DRYER

Procedure and its  
reproducibility well tested.

# "Ad-hoc" Phantoms (I)

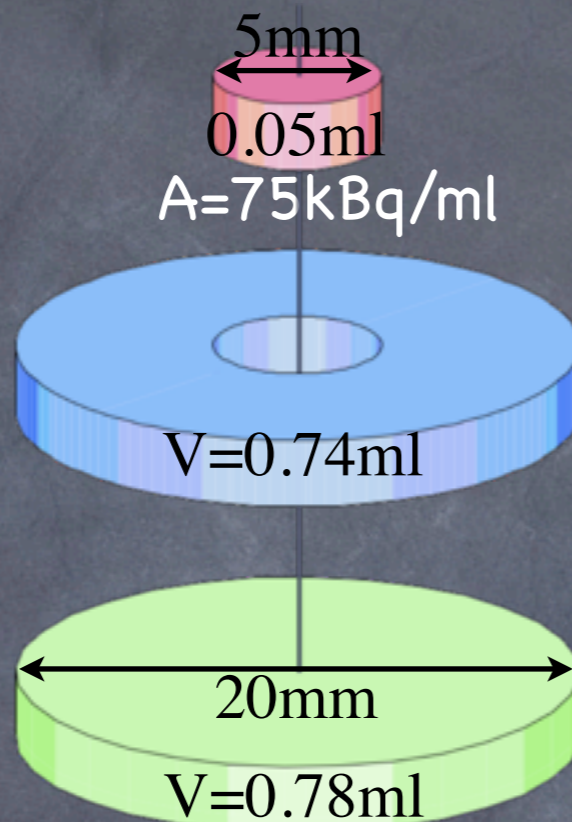
To simulate tumor remnant embedded in healthy tissue.

**Tumor residual**

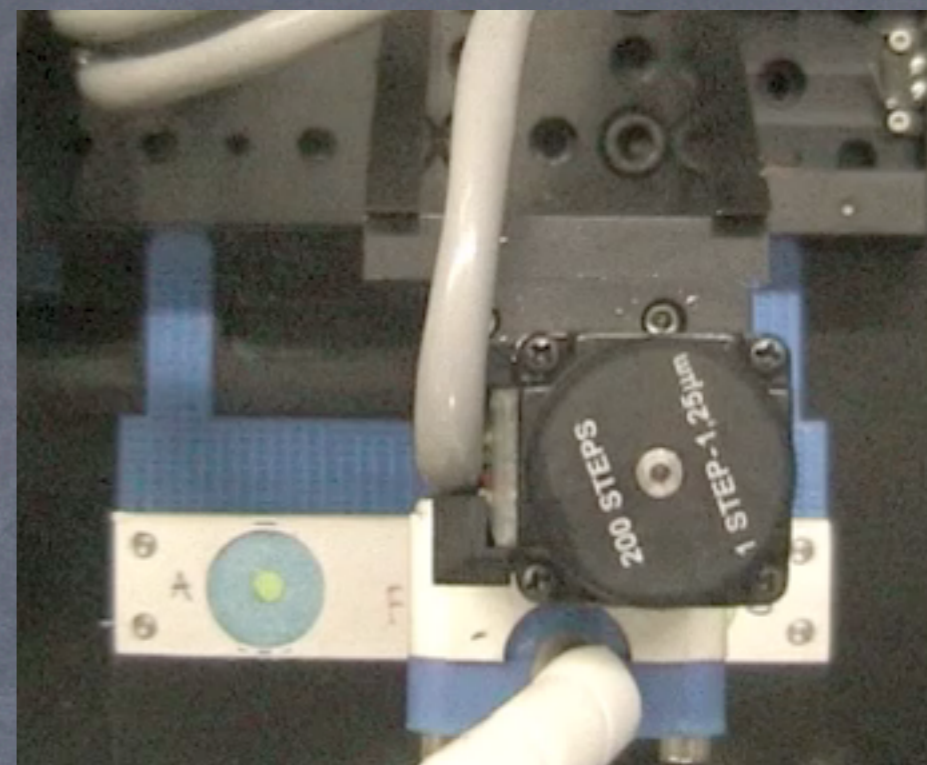
**$V=0.05\text{ml}$**

**embedded in**

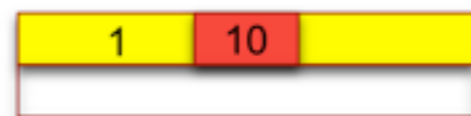
**tissue with  $A/10$**



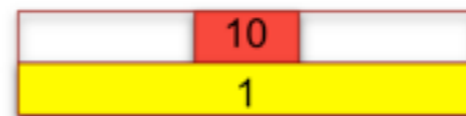
Motorized scans with S4-Probe



Isolated Residual



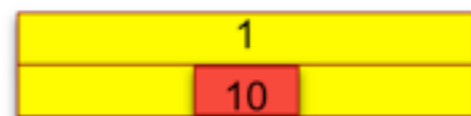
Embedded Residual



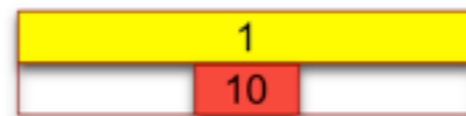
Over Background



Complete Inclusion



Hidden&Inclusion

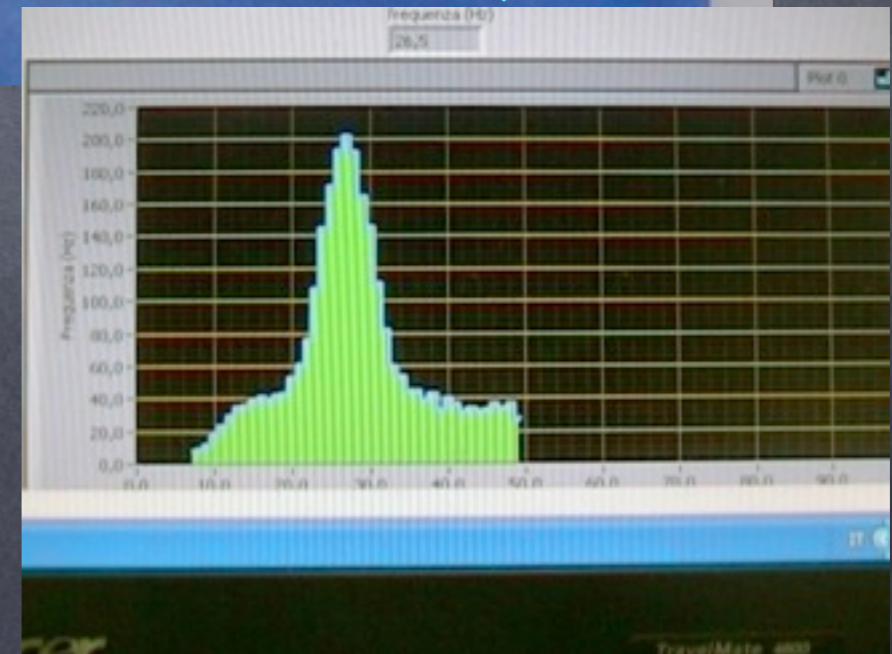
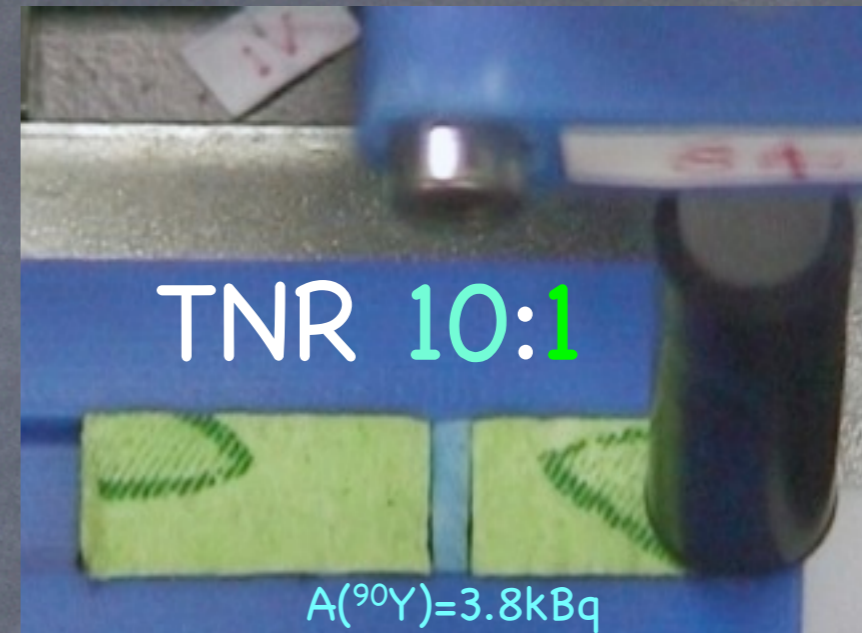
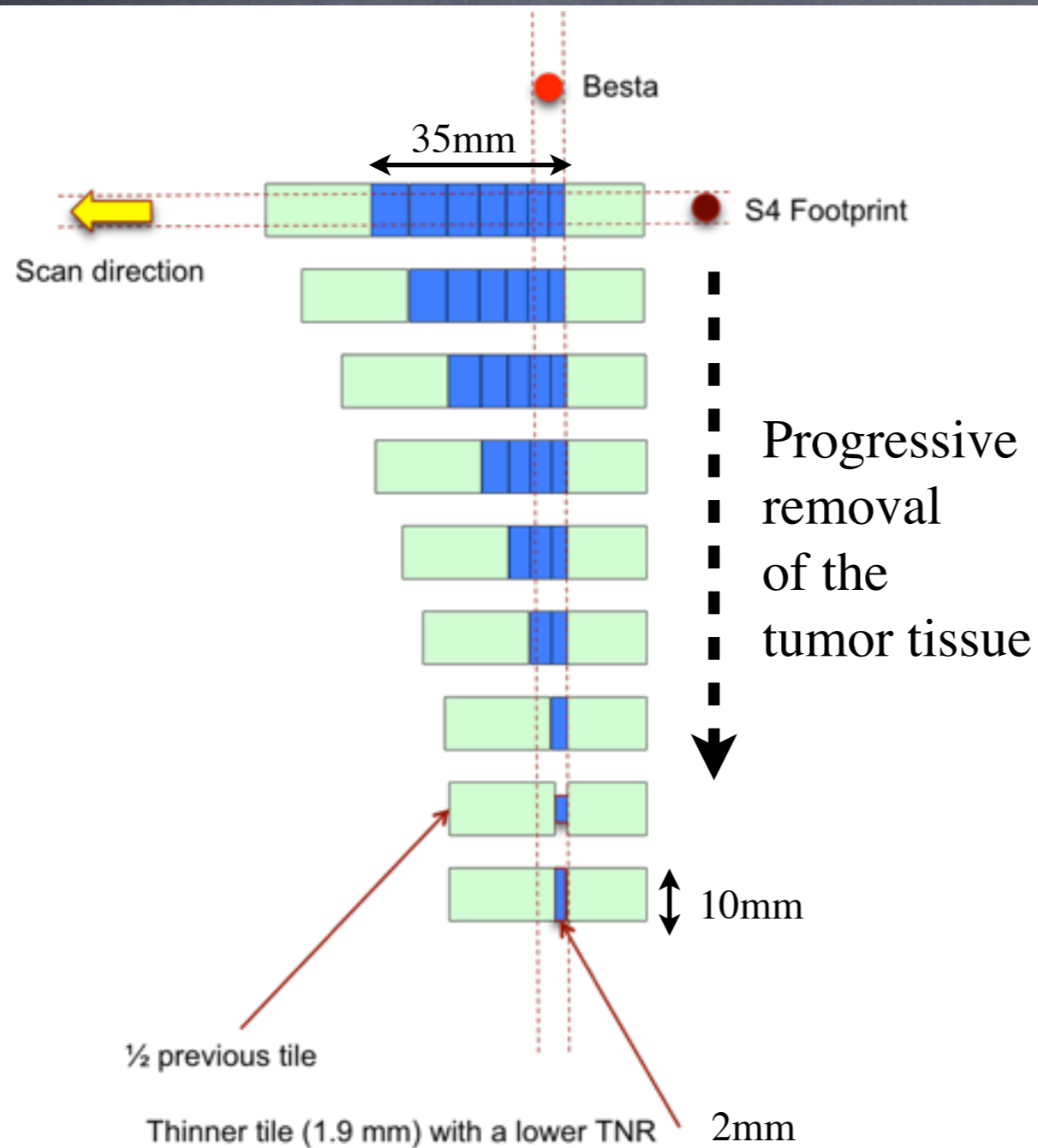


Hidden Residual

Possible configurations of tumor residual embedded in healthy tissue.

# "Ad-hoc" Phantoms (II)

To test the discovery potential of the  $\beta^-$  probe in a "surgical cavity" after tumorous bulk removal



Counts stored in 10s per position

# Human Factor

To include the human factor in the test colleagues were asked to simulate the surgeon:



Phantoms simulating tumor remnants embedded in healthy tissue with different TNRs

All people required at least 4-5 seconds per position to take a decision.

# Next Steps for $\beta^-$ Probe

- Optimization of the light collection to increase the probe sensitivity.
- Integration with surgical system:
  - surgical devices (e.g. aspirator, scalpel);
  - endoscopic and/or laparoscopic tools;
  - minimally invasive robotic "da Vinci" Surgical System;
- Multichannel probe for higher spatial resolution and directionality.

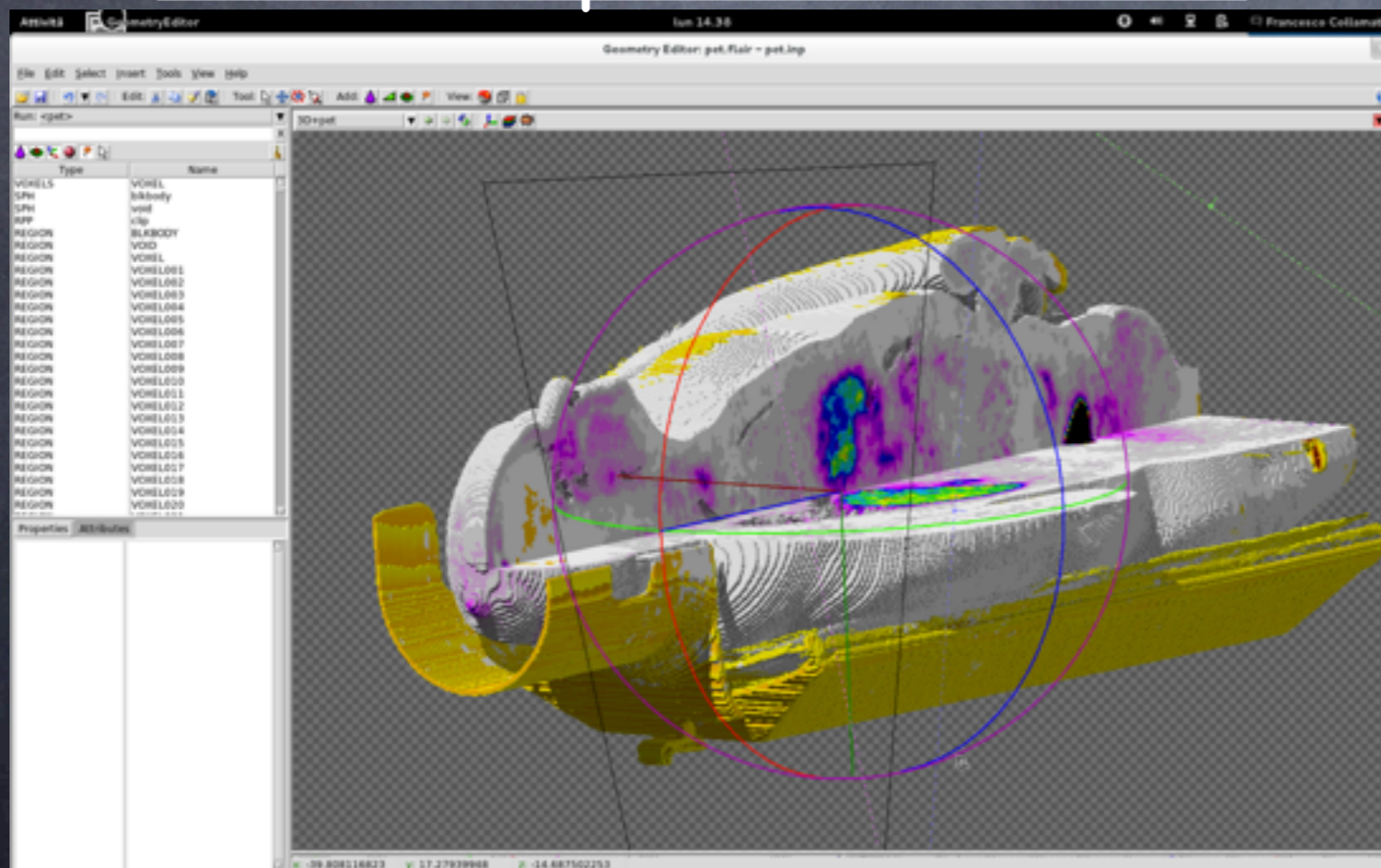
Predictions for  
the  $\beta^-$  RGS

# Monte Carlo Simulation

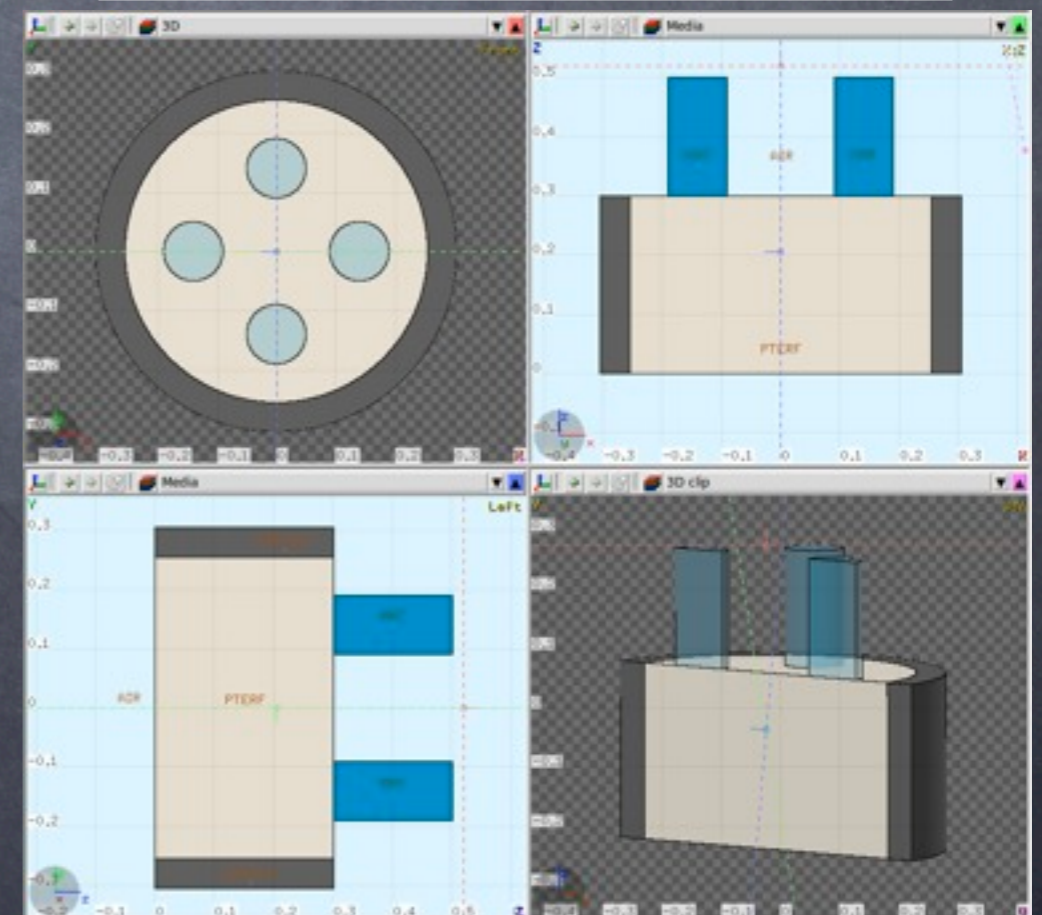
Simulation using FLUKA code allows us to achieve:

- **Probe** prototype's geometry and detector shielding optimization.
- Estimation of the potentialities of  **$\beta^-$  RGS**.
- Predictions of **dose delivered** to surgeon.

DICOM imported in FLUKA



Probe S4 simulation



# Expected Performance

Including in FLUKA simulation

- ✓ TNR from PET/SPECT DICOM images
- ✓ performance of the probes measured in lab tests

we can predict performance of the  $\beta^-$  RGS:

- $t_{\text{min}}^{\text{probe}}$  minimum time needed by the probe to identify a 0.1ml tumor residual after administration of 3MBq/kg of radio-tracer
  - 0.1ml is the minimal residual well identified by diagnostic imaging  
→ useful as reference during further clinical tests
  - 3MBq/kg is comparable with activity for diagnostic (PET exam).

at 95% C.L.

- > Probability of False Positive  $FP < 1\%$ ;
- > Probability of False Negative  $FN < 5\%$ .



# Predictions for $\beta^-$ RGS

# Predictions for $\beta^-$ RGS

## MENINGIOMA

TNR > 10

Good sensitivity to residuals

< 0.1 ml within **1s** with an administered activity smaller than those for PET-scans (**< 3 MBq/kg**).

## Medical Team Exposure

Equivalent dose for surgeon	$\beta^-$ -RGS ( $^{90}\text{Y}$ ) <small>FLUKA simulation</small>	$\gamma$ -RGS ( $^{99\text{m}}\text{Tc}$ )
hands	< 1 $\mu\text{Sv/h}$	24 $\mu\text{Sv/h}$
total body	< 0.1 $\mu\text{Sv/h}$	6 $\mu\text{Sv/h}$

# Predictions for $\beta^-$ RGS

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## HIGH GRADE GLIOMA

TNR > 4

At least **5-6s** to detect residuals < 0.1 ml with administered activity of **3 MBq/kg**.

To reduce the time, increase of the probe sensitivity would allow to avoid a larger activity administration.

# Predictions for $\beta^-$ RGS

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## HEPATIC METASTASIS from NET

TNR > 5

At least **4s** to detect residuals < 0.1ml with administered activity of **1MBq/kg**.

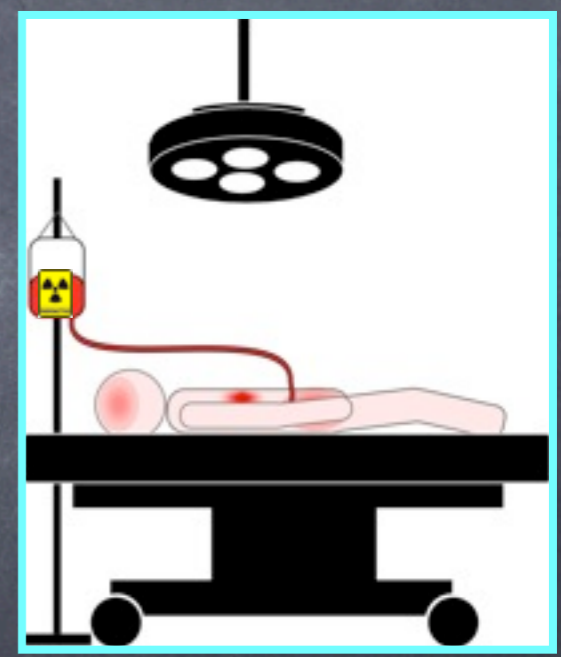
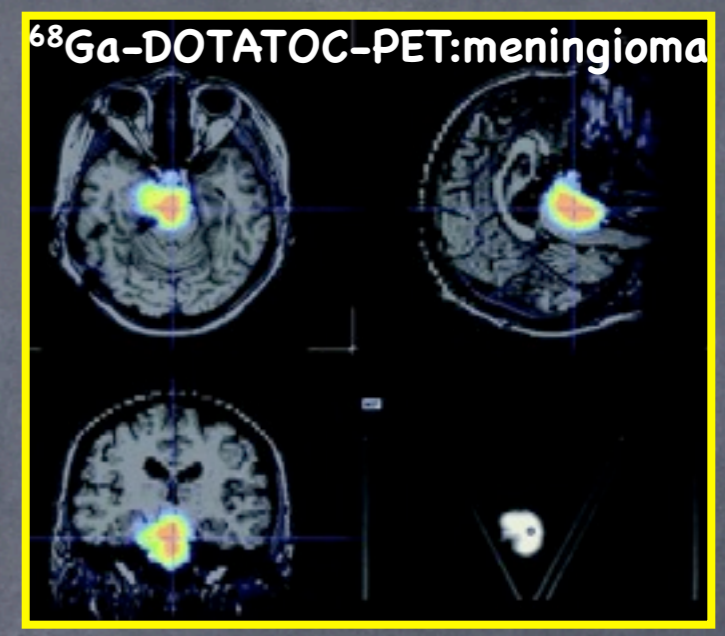
# NEXT STEP: "Ex-vivo" Tests



Authorized by  
Ethic Commitment

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To validate the whole chain of the technique we are going to perform "ex-vivo" test on volunteer patients affected by meningioma:

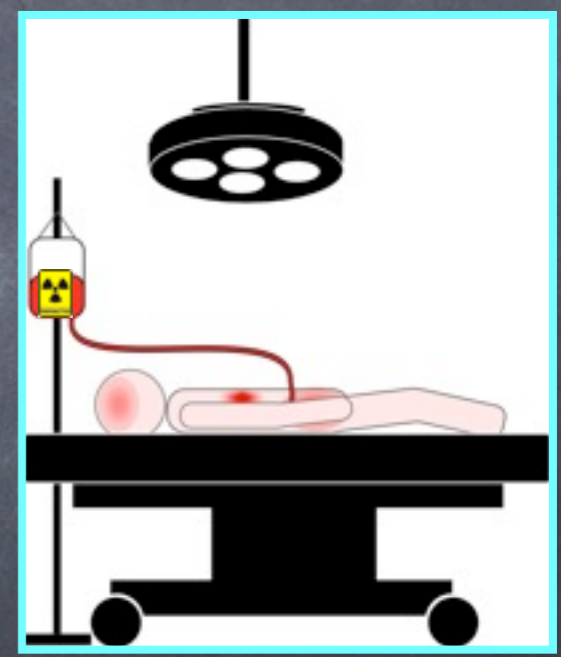
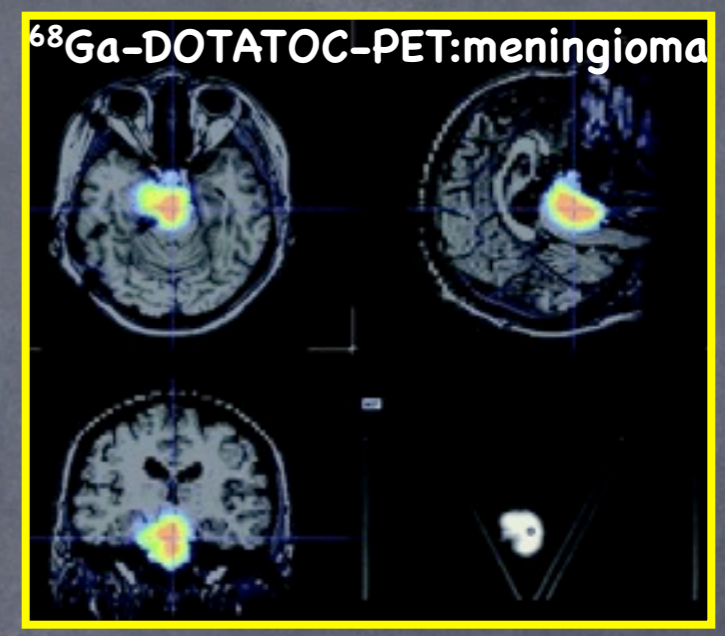


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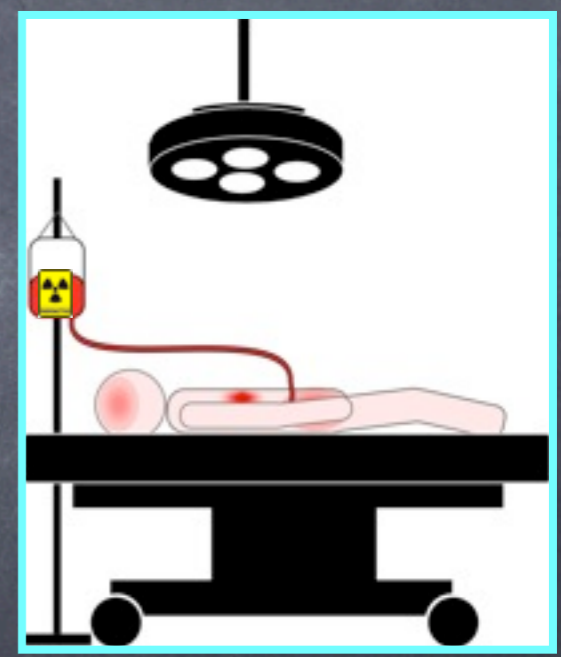
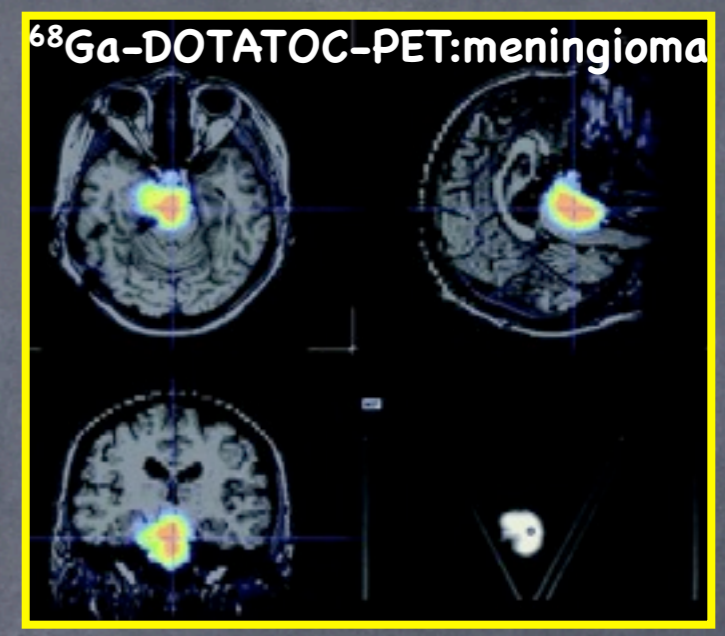


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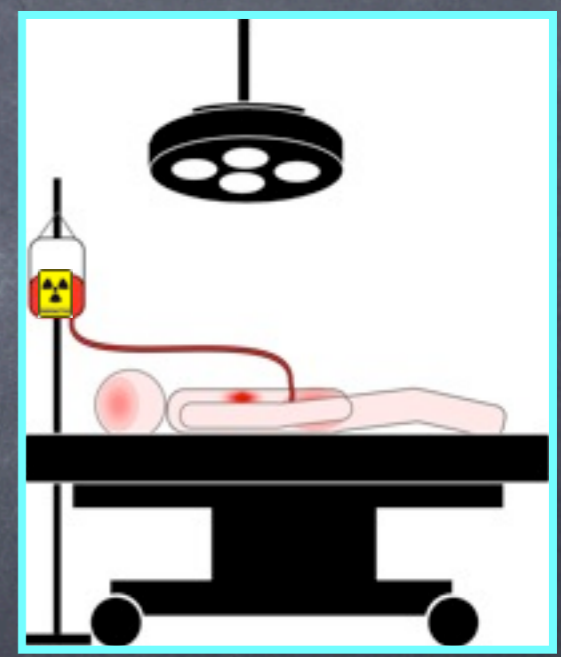
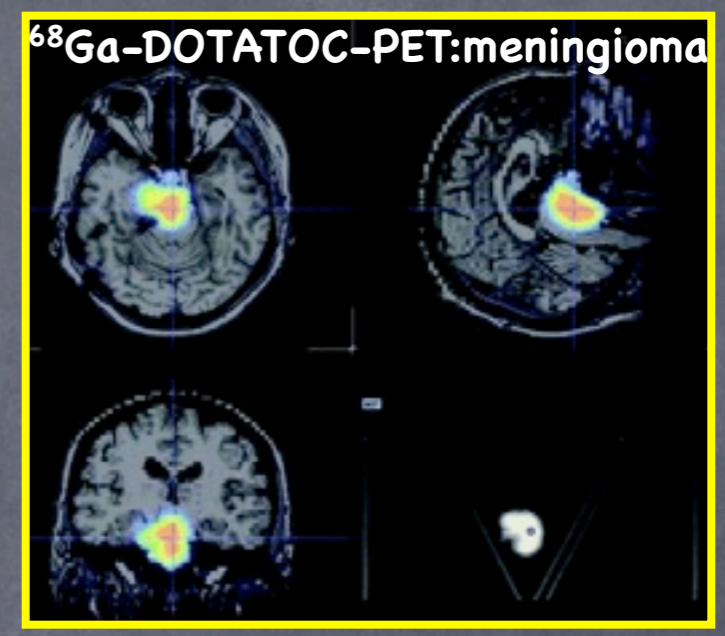


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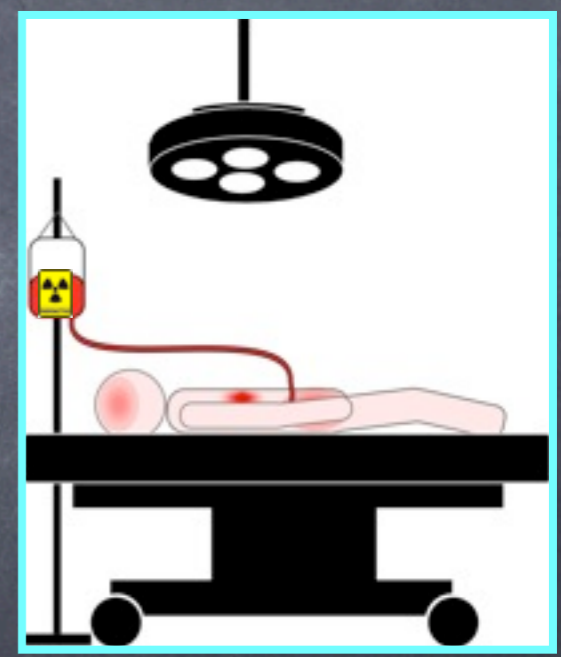
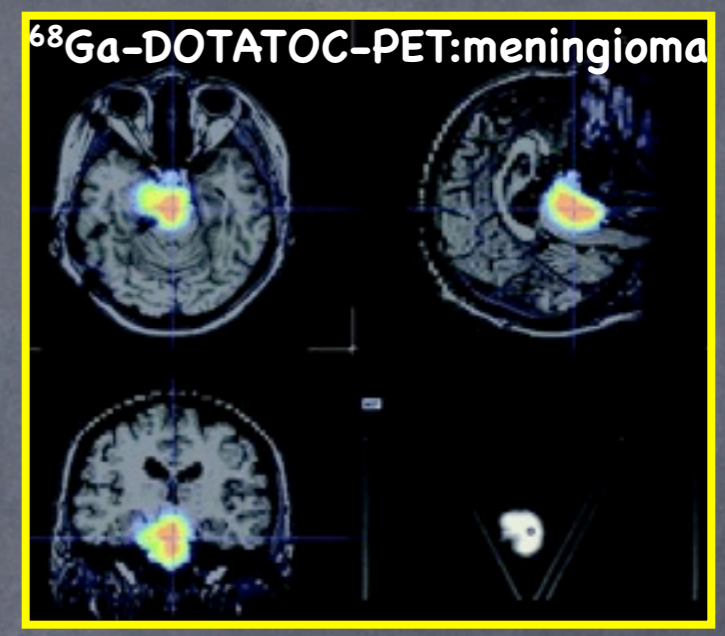


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3. During surgery, extraction of small portions from both tumor and healthy tissue (normal prevention already present in protocols).
4. Measurement of the activity of "ex-vivo" specimens with the  $\beta^-$  probe prototype and comparison with the anatomo-pathological tests for cross-check.



# NEXT STEP: Developments In Radio-Chemistry



SAPIENZA  
UNIVERSITÀ DI ROMA



# New Radio-Tracers

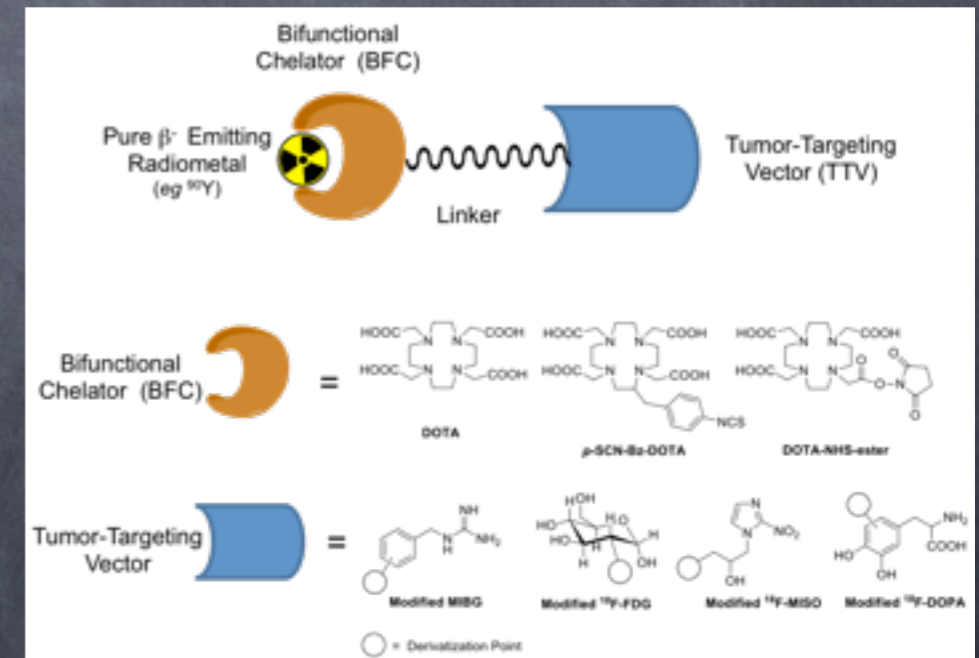
To extend the range of application for  $\beta^-$  RGS

- Collaboration with chemists and biomedical engineer to develop new tracers has just started

➔ To evaluate the possibility of replacing radio-nuclide in PET/SPECT tracer with  $^{90}\text{Y}$  (or suitable  $\beta^-$  emitters)

- e.g. Is it possible to append  $^{90}\text{Y}$  to MIBI or sestamibi commonly marked with  $^{99\text{m}}\text{Tc}$  for SPECT scans?

Isotope	1/2-life	Pure?
$^{90}\text{Y}$	64h	Y
$^{89}\text{Sr}$	50d	Y
$^{49}\text{Sc}$	1hr	Y
$^{31}\text{Si}$	2hr	Y
$^{65}\text{Zn}$	1hr	Y
$^{42}\text{K}$	12h	N
$^{153}\text{Sm}$	46h	N
$^{131}\text{I}$	8d	N



# Possible $\beta$ -RGS Candidates

- Parathyroid disease and micro-metastases from Head&Neck cancer:
  - Treatment: parathyroidectomy (less invasive than traditional surgery) to remove the abnormal gland.
  - $\gamma$  radio-tracer for RGS:  $^{99m}\text{Tc}$ -sestamibi
- Pheochromocytoma (2-8/million/year) and Paraganglioma (rare): neuroendocrine tumor originating from neural crest tissue
  - Treatment: surgical resection with the affected adrenal gland(s)
  - $\gamma$  radio-tracer for imaging:  $^{123}\text{I}/^{131}\text{I}$ -MIBG or  $^{99m}\text{Tc}$ -sestamibi
- Neuroblastoma (11/million/year): the most common extracranial solid tumor in childhood, originates in the adrenal medulla or the paraspinal sites where sympathetic nervous system tissue is present
  - Treatment: generally curable but aggressive therapy has to be considered in children
  - $\gamma$  radio-tracer for imaging:  $^{123}\text{I}/^{131}\text{I}$ -MIBG

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- Low background from  $\beta^-$  decays allows to extend the RGS to abdominal and brain tumors with obvious positive implications for patients.
- Very interdisciplinary activity.
- Project in prototyping and proof-of-principle stage.
- Next steps
  - "ex-vivo" tests on meningioma cases;
  - PROBE: different solutions for different clinical applications;
  - development of new specific radio-tracers (and radionuclides).

# Master and PhD students are welcome

## Contacts

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[silvio.morganti@roma1.infn.it](mailto:silvio.morganti@roma1.infn.it)
- Dott. Elena Solfaroli Camillocci  
[elena.solfaroli@roma1.infn.it](mailto:elena.solfaroli@roma1.infn.it)