

Re-writing Nuclear Physics textbooks: 30 years of radioactive ion beam physics

Basic concepts in nuclear reaction theory

Antonio M. Moro



Universidad de Sevilla, Spain

July 14, 2015

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Bibliography

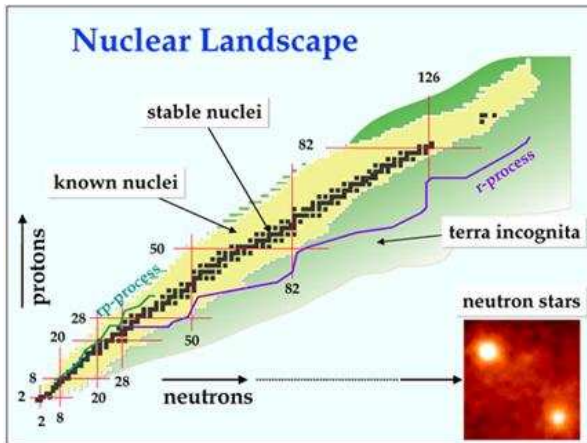
General scattering theory:

- *Quantum collision theory*, C.J. Joachain.

Scattering theory applied to nuclear reactions:

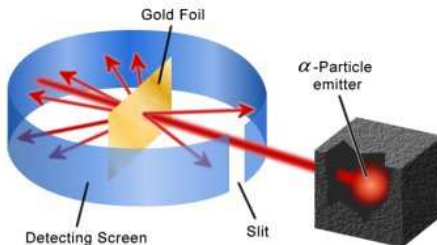
- *Introduction to nuclear reactions*, G.R. Satchler.
- *Direct Reactions*, G.R. Satchler.
- *Direct Nuclear Reactions*, N. Glendenning.
- *Nuclear reactions for astrophysics*, I.J. Thompson and F.M. Nunes.
- *Quantum scattering theory and direct nuclear reactions*, course notes by A.M.M.

Unstable nuclei and the limits of stability

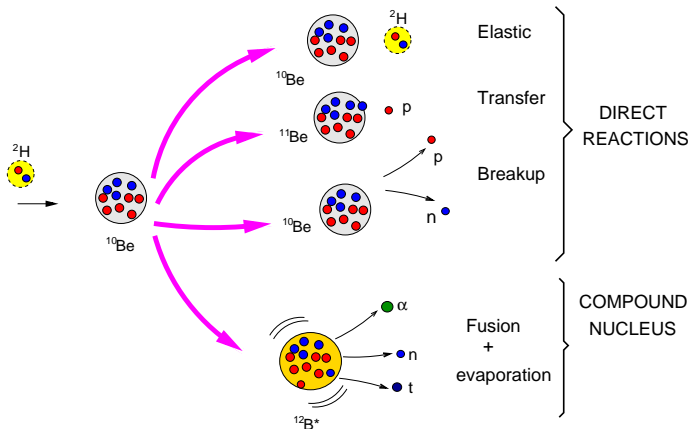


Motivation of reaction theory

- ⇒ The aim of reaction theory is to provide a mathematical description of quantum scattering experiments, in order to extract information on the **structure** of the colliding nuclei and on their mutual interaction **dynamics**.
- ⇒ The first experiment of this kind was the α scattering experiment by Rutherford, who lead to the proposal of his celebrated model of the atom and the subsequent formula for the angular dependence of the scattered α particles.



Types of reactions: direct vs. compound nucleus processes



Direct versus compound reactions

DIRECT: elastic, inelastic, transfer,...

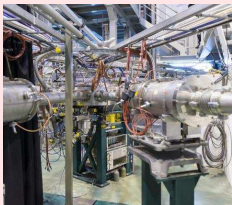
- “fast” collisions (10^{-21} s).
- only a few modes (degrees of freedom) involved
- small momentum transfer
- angular distribution asymmetric about $\pi/2$ (peaked forward)

COMPOUND: complete, incomplete fusion.

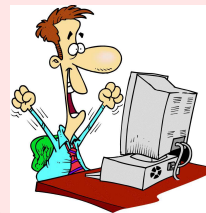
- many degrees of freedom involved
- large amount of momentum transfer
- “loss of memory” \Rightarrow almost symmetric distributions forward/backward

Linking theory with experiments: the cross section

EXPERIMENT

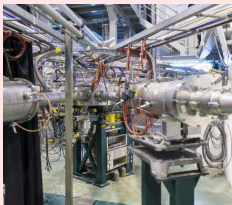


THEORY ($H\Psi = E\Psi$)



Linking theory with experiments: the cross section

EXPERIMENT



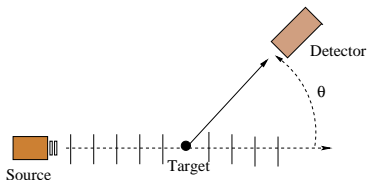
THEORY ($H\Psi = E\Psi$)



CROSS SECTIONS

$$\frac{d\sigma}{d\Omega}, \frac{d\sigma}{dE}, \text{etc}$$

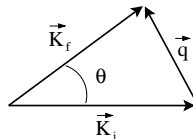
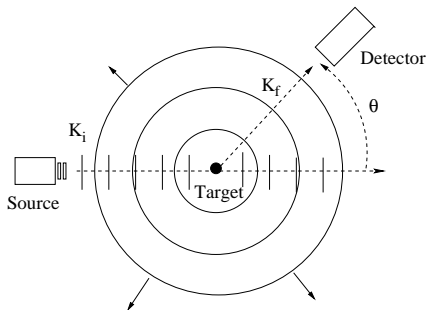
Experimental cross section



$$\Delta I = I_0 n_t \frac{d\sigma}{d\Omega} \Delta\Omega$$

- ΔI : detected particles per unit time in $\Delta\Omega$
- I_0 : incident particles per unit time
- n_t : number of target nuclei per unit surface
- $\Delta\Omega$: solid angle of detector
- $d\sigma/d\Omega$: differential cross section

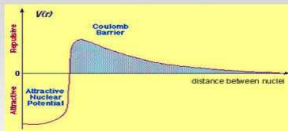
$$\frac{d\sigma}{d\Omega} = \frac{\text{flux of scattered particles through } dA = r^2 d\Omega}{\text{incident flux}}$$



Among the many mathematical solutions of $[H - E]\Psi = 0$ we are interested in those behaving asymptotically as:

$$\Psi_{\mathbf{K}_\alpha}^{(+)} \rightarrow \Phi_\alpha(\xi_\alpha) e^{i\mathbf{K}_\alpha \cdot \mathbf{R}_\alpha} + (\text{outgoing spherical waves})$$

Energy domains



Competition between (attractive) **nuclear** and (repulsive) **Coulomb** interactions give rise to different physics depending on incident energies

A) "Very low" (astrophysical) energies ($\ll 1$ MeV)

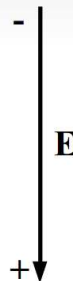
- Dominated by **Coulomb** interaction
- Few open channels
- Eg.: radiative capture: ${}^7\text{Be}(p,\gamma){}^8\text{B}$ (**Solar neutrino problem!**)

B) "Low" energies (Coulomb barrier) (~ 1 - 10 MeV/u)

- Interplay between **Coulomb** and **nuclear**
- Many open channels (inelastic, transfer, breakup...)
- Strong dynamical effects

C) "Intermediate" energies ($\sim 10^2$ - 10^3 MeV)

- Dominated by **nuclear** forces
- Classical-like trajectories
- More violent processes (eg. knock-out)



Elastic scattering

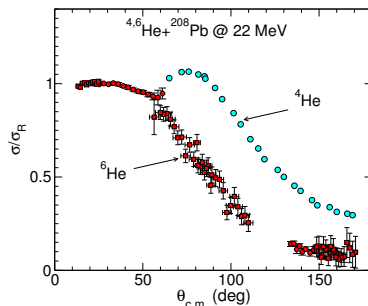
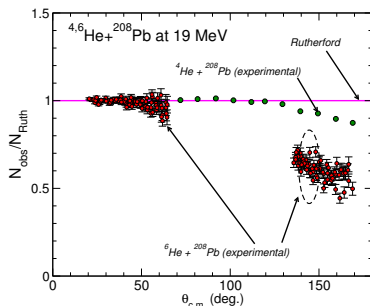
What can we learn by measuring elastic scattering?

- Studying the angular dependence of elastically scattered particles we can infer information on:
 - The interplay between Coulomb and nuclear forces.
 - The presence of non-elastic channels, that will show up as a reduction of the elastic cross section with respect to the case of inert objects (*absorption*).
- From scattering theory, the angular distribution is calculated from the scattering wavefunction as:

$$\Psi^{(+)}(\mathbf{K}, \mathbf{R}) \rightarrow e^{i\mathbf{K}\cdot\mathbf{R}} + f(\theta) \frac{e^{iKR}}{R}$$

$$\frac{d\sigma}{d\Omega} = |f(\theta)|^2$$

Rutherford experiment...100 years later



- ^4He follows Rutherford formula at 19 MeV but not at 22 MeV. Why?
- ^6He drastically departs from Rutherford formula at both energies. Why?

Reaction and interaction cross sections

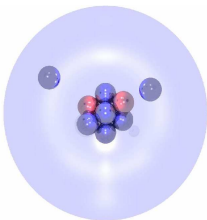
Interaction cross sections

⇒ Interaction cross section:

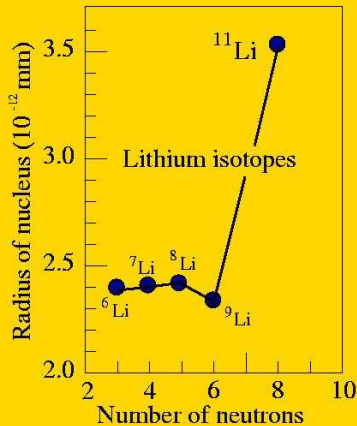
$$\sigma_I = \sigma_{\text{tot}} - \sigma_{\text{inel}} - \sigma_{\text{el}}$$

⇒ Interaction radius:

$$\sigma_I = \pi (R_I^{\text{proj}} + R_I^{\text{targ}})^2$$



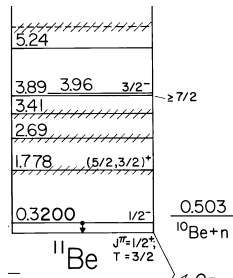
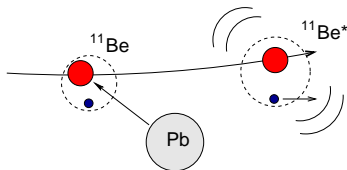
Tanihata et al, Phys. Rev. Lett. 55 (1985) 2676



Inelastic scattering

Inelastic scattering

- Nuclei are not inert or *frozen* objects; they do have an internal structure of protons and neutrons that can be modified (excited) during the collision.
- Quantum systems exhibit, in general, an energy spectrum with bound and unbound levels.

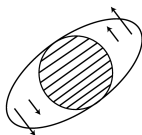


Inelastic scattering

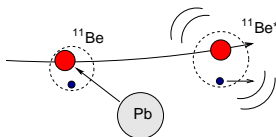
- Direct reactions \rightarrow nuclei make “glancing” contact and separate immediately.
- Energy/momentum transferred from **relative** motion to **internal** motion so the projectile and/or target are left in an excited state.
- Involve small number of degrees of freedom.
- The colliding nuclei preserve their identity: $a + A \rightarrow a^* + A^*$
- Typically, they are peripheral (surface) processes.

Models for inelastic excitations

- 1 **COLLECTIVE:** Involve a collective motion of several nucleons which can be interpreted macroscopically as **rotations** or **surface vibrations** of the nucleus.



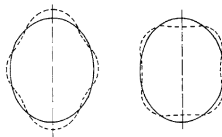
- 2 **FEW-BODY/SIGLE-PARTICLE:** Involve the excitation of a nucleon or cluster.



Types of collective excitations

The nucleons can move inside the nucleus in a coherent (collective) way.

- ➊ **Vibrations** (spherical nuclei): small surface oscillations in shape.

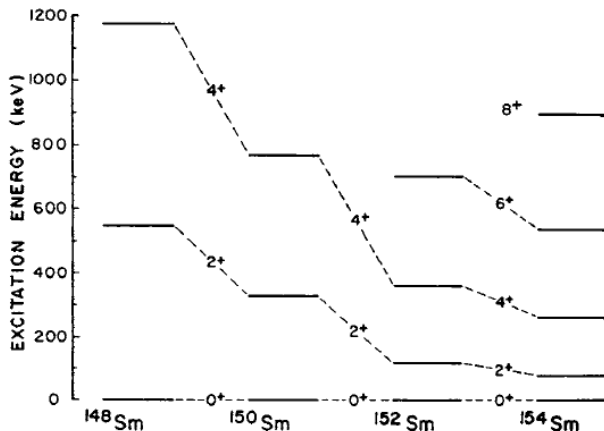


- ➋ **Rotations** (non-spherical nuclei): permanent deformation.
- ➌ **Monopole** (*breathing*) mode: oscillations in the size (radius).
- ➍ **Isovector** excitations (protons and neutrons move out of phase) (eg. giant dipole resonance)

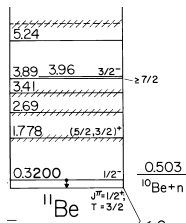
Types of collective excitations

☞ The type of collective motion is closely related to the kind of energy spectrum.

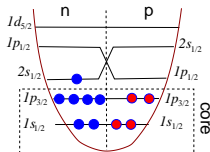
- Rotor: $E_J \propto J(J + 1)$
- Vibrator: $E_J \approx n\hbar\omega$



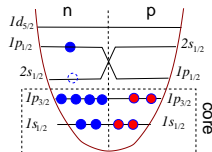
Microscopic description in the IPM: the ^{11}Be case



Ground state ($1/2^+$)



First excited state ($1/2^-$)



- By doing inelastic scattering experiments we *measure* the *response* of the nucleus to an external field (Coulomb, nuclear). This response is related to some structure property of the nucleus.

Example: for a **Coulomb** field:

$$B(E\lambda; i \rightarrow f) = \frac{1}{2I_i + 1} |\langle \Psi_f | \mathcal{M}(E\lambda) | \Psi_i \rangle|^2$$

where $\mathcal{M}(E\lambda, \mu)$ is the electric multipole operator:

$$\mathcal{M}(E\lambda, \mu) \equiv e \sum_i^{Z_p} r_i^\lambda Y_{\lambda\mu}^*(\hat{r}_i)$$

- The structure $\Psi_{i,f}$ can be described in a collective, few-body or microscopic model.

Energy balance for inelastic scattering

- For projectile excitation: $a + A \rightarrow a^* + A$

$$E_{\text{cm}}^i + M_a c^2 + M_A c^2 = E_{\text{cm}}^f + M_a^* c^2 + M_A c^2$$

$$M_{a^*} = M_a + E_x \quad (E_x = \text{excitation energy})$$

- Q -value:

$$Q = M_a c^2 + M_A c^2 - M_a^* c^2 - M_A c^2 = -E_x < 0$$

$$E_{\text{cm}}^f = E_{\text{cm}}^i + Q$$

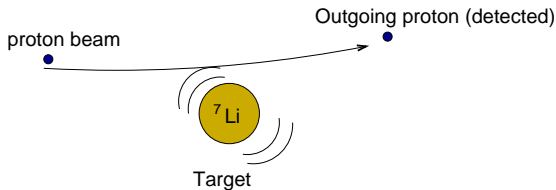
- So

$$E_x = E_{\text{cm}}^i - E_{\text{cm}}^f$$

What do we measure in an inelastic scattering experiment?

☞ In general, one measures the **scattering angle** and **energy** of outgoing particles.

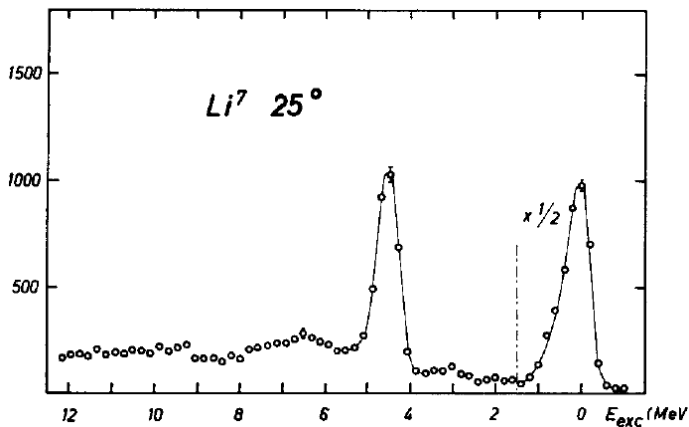
EXAMPLE: $p + {}^7\text{Li} \rightarrow p + {}^7\text{Li}^*$



☞ *Eg. energy and angular distribution of the outgoing protons.*

What do we measure in an inelastic scattering experiment?

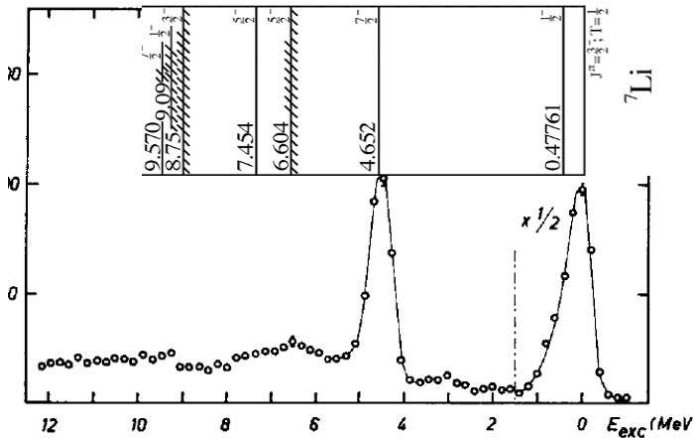
☞ The proton energy carries information on the ${}^7\text{Li}$ excitation spectrum.



Data from Nuclear Physics 69 (1965) 81-102

What do we measure in an inelastic scattering experiment?

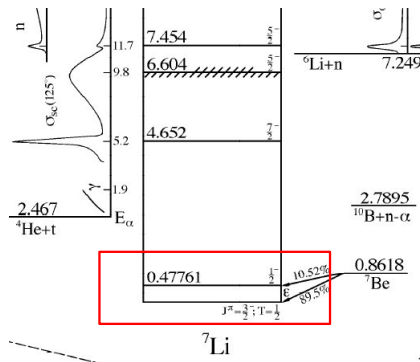
☞ The proton energy carries information on the ${}^7\text{Li}$ excitation spectrum.



What information do we get from an inelastic scattering experiment?

- The proton energy spectrum shows peaks which correspond to the states of the target (${}^7\text{Li}$)
- The heights of peak (\sim cross section) are different for each state \Rightarrow not all states are populated with the same probability.
- Some peaks are narrow, other are broad. Why?...
- Above a certain excitation energy, the spectrum becomes continuous and structureless.

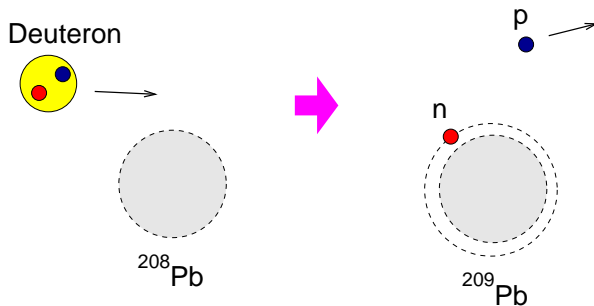
What information do we get from an inelastic scattering experiment?



Transfer reactions

Transfer reactions

Example: $d + {}^{208}\text{Pb} \rightarrow p + {}^{209}\text{Pb}$



Transfer reactions: Q -value considerations

Consider: $a + A \rightarrow b + B$

- Energy balance (in CM frame):

$$E_{\text{cm}}^i + M_a c^2 + M_A c^2 = E_{\text{cm}}^f + M_b c^2 + M_B c^2$$

- Q_0 value:

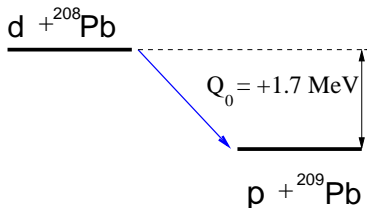
$$Q_0 = M_a c^2 + M_A c^2 - M_b c^2 - M_B c^2$$

$$E_{\text{cm}}^f = E_{\text{cm}}^i + Q_0$$

- $Q_0 > 0$: the system gains kinetic energy (exothermic reaction)
- $Q_0 < 0$: the system loses kinetic energy (endothermic reaction)

Transfer reactions: Q -value considerations

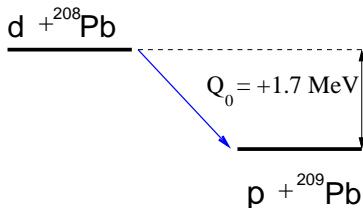
Example: $d + {}^{208}\text{Pb} \rightarrow p + {}^{209}\text{Pb}$



$$Q_0 = M_d c^2 + M({}^{208}\text{Pb})c^2 - M_p c^2 - M({}^{209}\text{Pb})c^2 = +1.7 \text{ MeV}$$

Transfer reactions: Q -value considerations

Example: $d + {}^{208}\text{Pb} \rightarrow p + {}^{209}\text{Pb}$

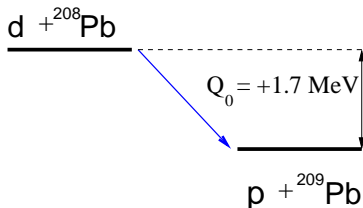


$$Q_0 = M_d c^2 + M({}^{208}\text{Pb})c^2 - M_p c^2 - M({}^{209}\text{Pb})c^2 = +1.7 \text{ MeV}$$

☞ $Q_0 > 0$: the outgoing proton will gain energy with respect to the incident deuteron.

Transfer reactions: Q -value considerations

Example: $d + {}^{208}\text{Pb} \rightarrow p + {}^{209}\text{Pb}$



$$Q_0 = M_d c^2 + M({}^{208}\text{Pb})c^2 - M_p c^2 - M({}^{209}\text{Pb})c^2 = +1.7 \text{ MeV}$$

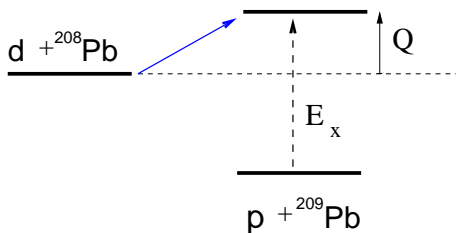
☞ $Q_0 > 0$: the outgoing proton will gain energy with respect to the incident deuteron.

For a transfer reaction, the Q value is just the difference in binding energies of the transferred particle/cluster in the initial and final nuclei:

$$Q_0 = \varepsilon_b(f) - \varepsilon_b(i) = 3.936 - 2.224 = +1.7 \text{ MeV}$$

Transfer reactions: Q -value considerations

If the transfer leads to an excited state, the Q -value will change, and hence the kinetic energy of the outgoing nuclei.



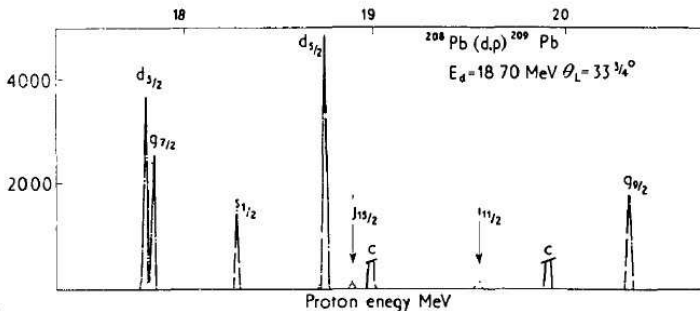
Energy balance:

$$E_{\text{cm}}^f = E_{\text{cm}}^i + Q = E_{\text{cm}}^i + Q_0 - E_x$$

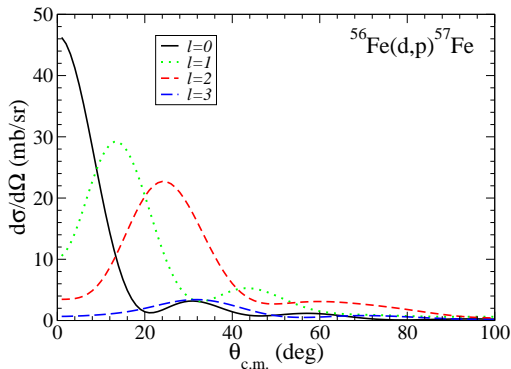
☞ If we know Q_0 we can infer the excitation energies (E_x) measuring the final kinetic energy of outgoing fragments.

What we do observe in a transfer experiment?

Example: $d + {}^{208}\text{Pb} \rightarrow p + {}^{209}\text{Pb}$

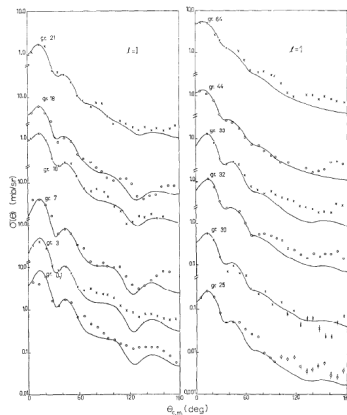
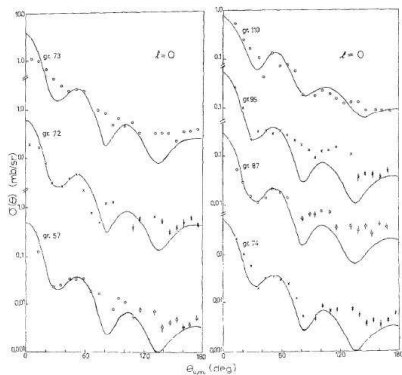


- ☞ The proton energy spectrum shows some peaks which reflect the excitation energy spectrum of the residual nucleus (${}^{209}\text{Pb}$).
- ☞ The population probability will depend on the [reaction](#) dynamics and on the [structure](#) properties of these states.

Transfer example: $^{56}\text{Fe}(\text{d},\text{p})^{57}\text{Fe}$ Selectivity of ℓ :

Transfer example: $^{56}\text{Fe}(\text{d},\text{p})^{57}\text{Fe}$

Selectivity of ℓ :

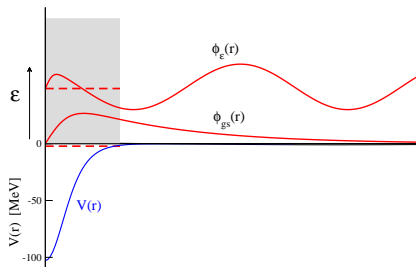
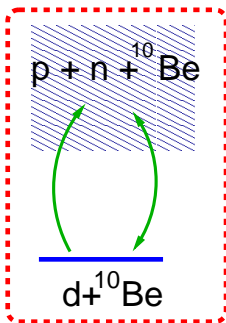


H.M. Sen Gupta et al, Nucl. Phys. A160, 529 (1971)

Breakup reactions

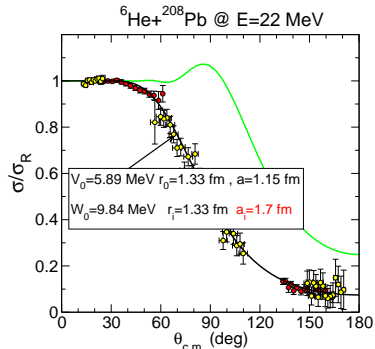
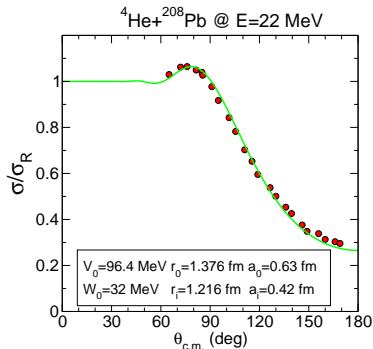
Breakup reactions

- Direct processes of the form: $a + A \rightarrow b + x + A$
- Can be interpreted (and modelled) as an inelastic excitation to the continuum spectrum.



- Important for weakly-bound nuclei (eg. halo nuclei)

Influence of breakup on elastic scattering

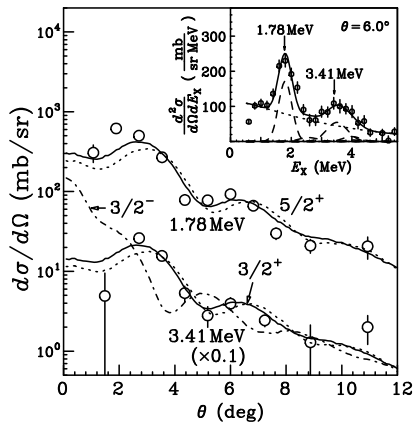
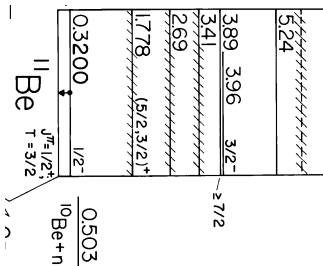
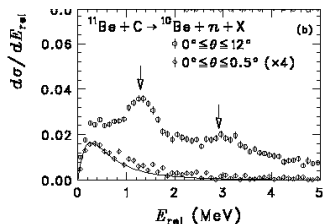


- $^4\text{He} + ^{208}\text{Pb}$ shows typical Fresnel pattern → *strong absorption*
- $^6\text{He} + ^{208}\text{Pb}$ shows a prominent reduction in the elastic cross section due to the flux going to other channels (mainly break-up)
- $^6\text{He} + ^{208}\text{Pb}$ requires a large imaginary diffuseness → *long-range absorption*

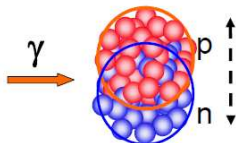
Extracting information from the continuum with breakup reactions

Example: Populating resonances by “inelastic scattering” in $^{11}\text{Be} + ^{12}\text{C}$

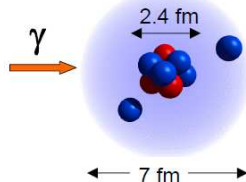
Fukuda et al, Phys. Rev. C70 (2004) 054606



Coulomb response of halo nuclei

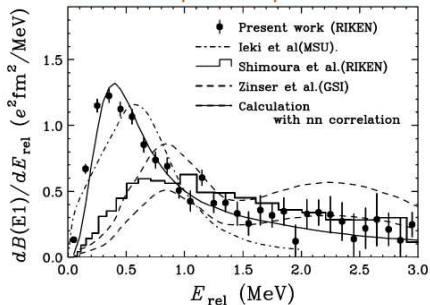


(Giant) electric Dipole excitation \rightarrow 10-20 MeV



${}^6\text{He}$ (2n, 1 MeV) ${}^{11}\text{Be}$ (1n, 0.5 MeV)
 ${}^{11}\text{Li}$ (2n, 0.5 MeV) ${}^{14}\text{Be}$ (2n, ~1 MeV)

Electric dipole response – ${}^{11}\text{Li}$

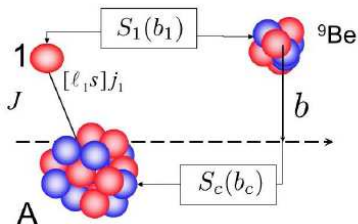


T. Nakamura et al., PRL (2006) in press

Knockout reactions

Knockout reactions

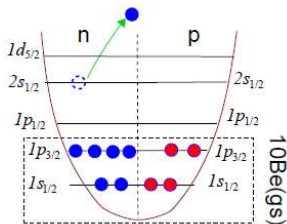
- Fast-moving projectile on a (typically) light target.
- One nucleon suddenly removed (absorbed) due to its interaction with the target.
- The remaining nucleons remain unchanged and is detected.
- The momentum of the core is traced back to that of the removed nucleon because in the rest frame of the projectile $\vec{P} = 0$



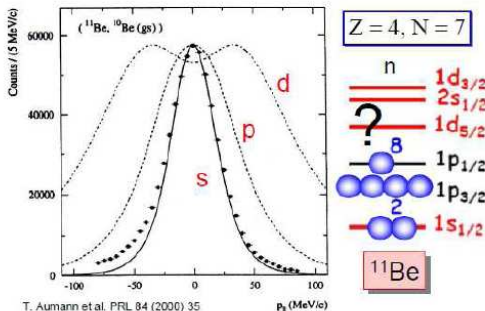
$$\vec{P} = \vec{p}_c + \vec{p}_1 = 0$$

Knockout reactions

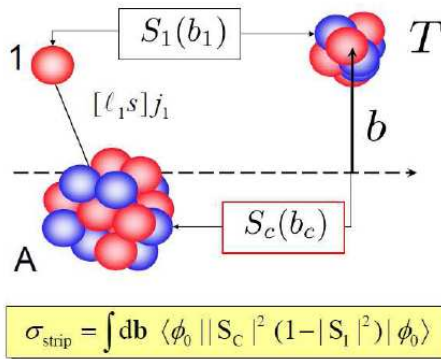
- The shape is determined by the orbital angular momentum ℓ .
- The magnitude is determined by the amount of $s_{1/2}$ (spectroscopic factor)



Residue momentum $^{11}\text{Be} \rightarrow ^{10}\text{Be}$ – halo case



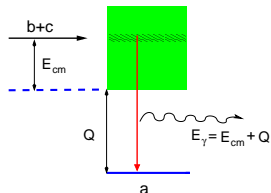
Knockout reactions



- $|S_c(b_c)|^2$ = probability of survival of the core.
- $1 - |S_1(b_1)|^2$ = probability of absorption of the neutron.

Radiative capture

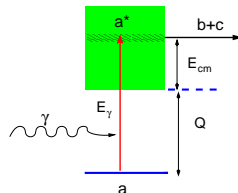
Radiative capture

Radiative capture: $b + c \rightarrow a + \gamma$  \Rightarrow Related by detailed balance:

$$\sigma_{E\lambda}^{(rc)} = \frac{2(2J_a + 1)}{(2J_b + 1)(2J_c + 1)} \frac{k_\gamma^2}{k^2} \sigma_{E\lambda}^{(phot)} \quad (\hbar k_\gamma = E_\gamma/c)$$

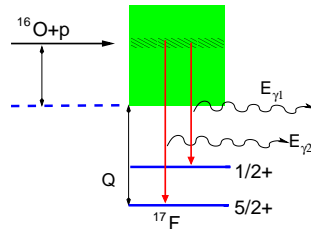
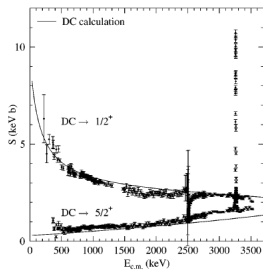
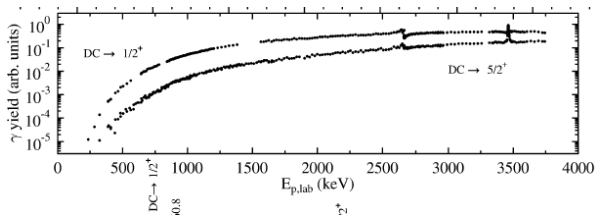
 \Rightarrow Astrophysical S-factor:

$$S(E_{c.m.}) = E_{c.m.} \sigma_{E\lambda}^{(rc)} \exp[2\pi\eta(E_{c.m.})]$$

Photo-absorption: $a + \gamma \rightarrow b + c$ 

Example: $p+^{16}\text{O} \rightarrow ^{17}\text{F} + \gamma$

Morlock, PRL79, 3837 (1997)



Implications in astrophysics: the r-process

⇒ Most neutron-rich isotopes of elements heavier than nickel are produced, by the beta decay of very radioactive matter synthesized during the so-called **r process**.

