

# Latest results from the OPERA experiment



A. Paoloni (INFN – LNF)  
 on behalf of the OPERA collaboration

XVI International Workshop on Neutrino Telescopes

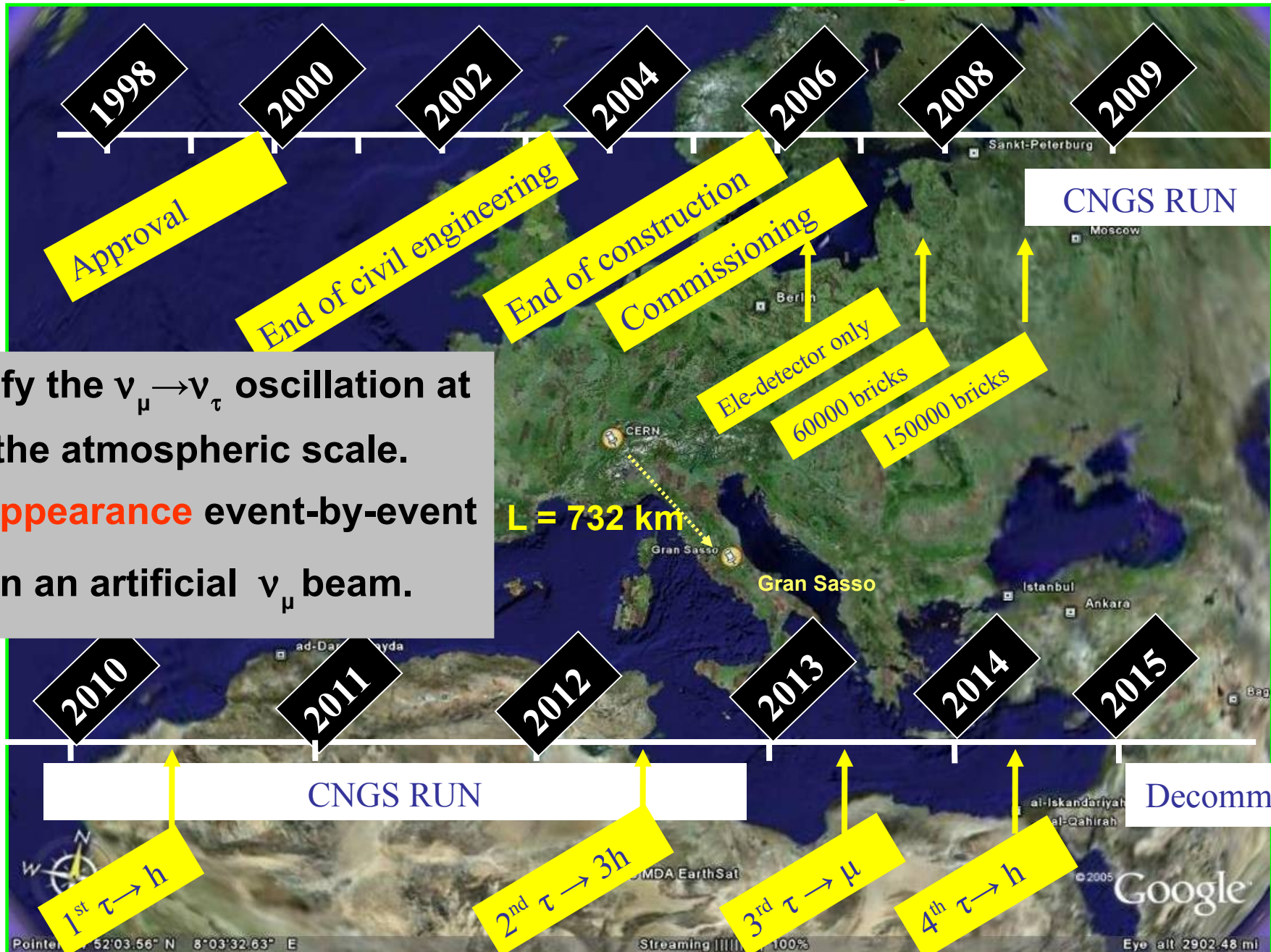
Venezia, 3 March 2015



140 physicists, 11 countries, 28 institutions

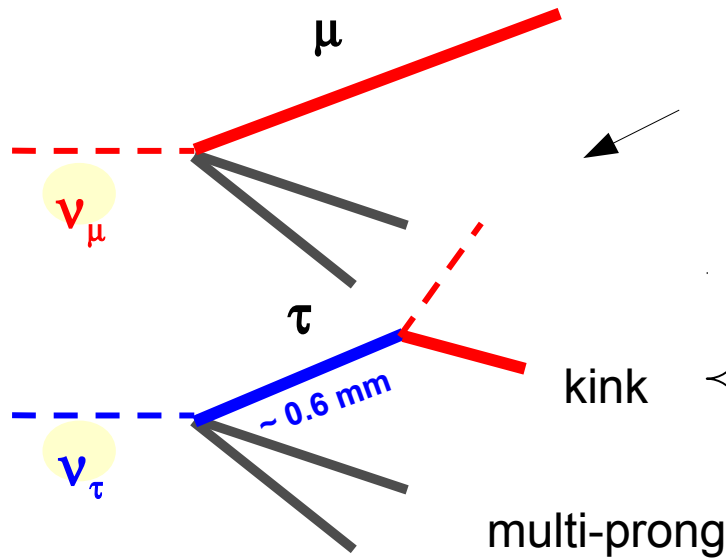
Belgium IIHE-ULB Brussels 	Italy Bari Bologna Frascati L'Aquila, LNGS Naples Padova Rome Salerno 	Russia INR RAS Moscow LPI RAS Moscow ITEP Moscow SINP MSU Moscow JINR Dubna 
Croatia IRB Zagreb 	France LAPP Annecy IPHC Strasbourg 	Switzerland Bern 
Germany Hamburg 	Japan Aichi Toho Kobe Nagoy Nihon 	Turkey METU, Ankara 
Israel Technion Haifa 	Korea Jinju 	

# The OPERA project



Verify the  $\nu_{\mu} \rightarrow \nu_{\tau}$  oscillation at the atmospheric scale.  
 $\nu_{\tau}$  appearance event-by-event in an artificial  $\nu_{\mu}$  beam.

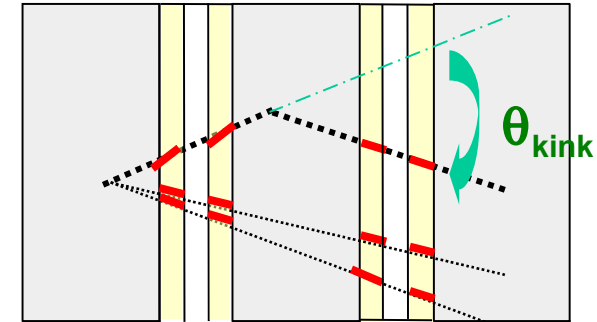
# The $\nu_\tau$ detection technique



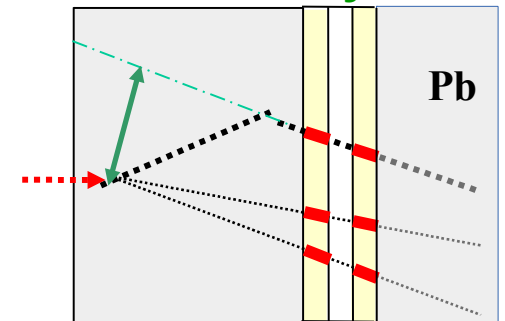
Detect a few  $\nu_\tau^{CC}$  from the bulk of  $\nu_\mu^{CC}$

$\tau^- \rightarrow \mu^- \nu_\tau \nu_\mu$	17 %
$\tau^- \rightarrow e^- \nu_\tau \nu_e$	18 %
$\tau^- \rightarrow h^- \nu_\tau n(\pi^0)$	50 %
$\tau^- \rightarrow \pi^+ \pi^- \pi^- \nu_\tau n(\pi^0)$	14 %

“long” decays: kink

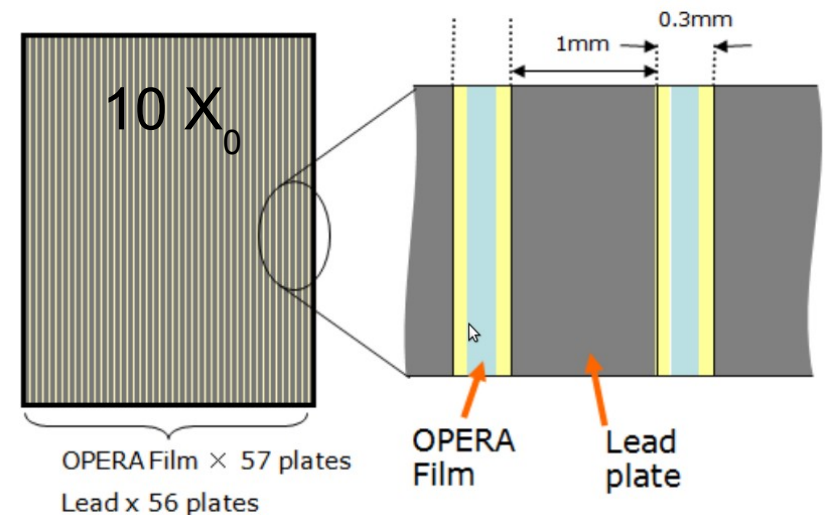
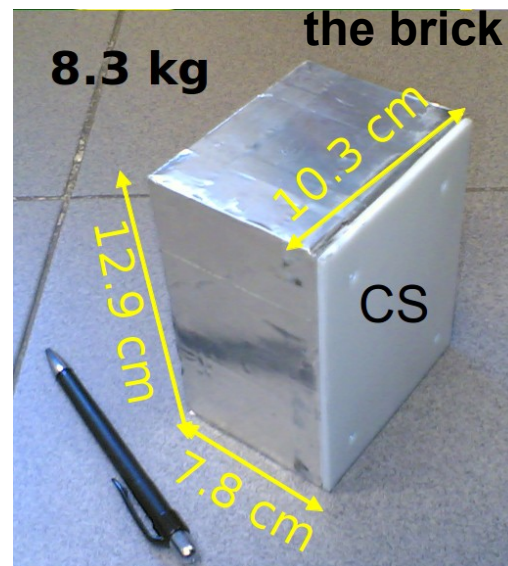


“short” decays: I.P.

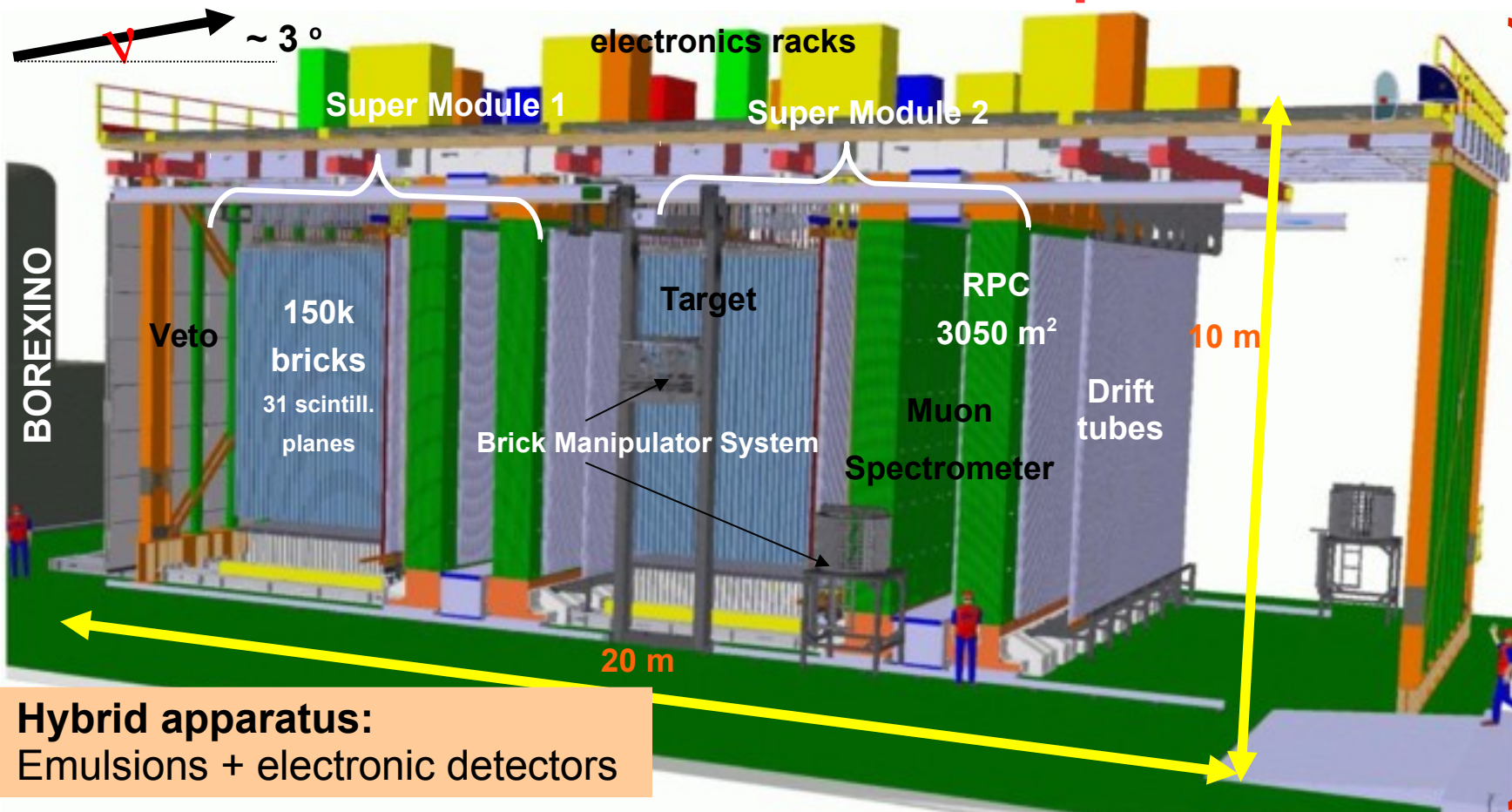


Modular detector of “Emulsion Cloud Chambers” (or bricks)  
Reconciles the needs for:

- Large mass
- $N_\tau \propto (\Delta m^2)^2 M_{\text{target}}$
- Extreme granularity
- $\mu\text{m}$  space resolution

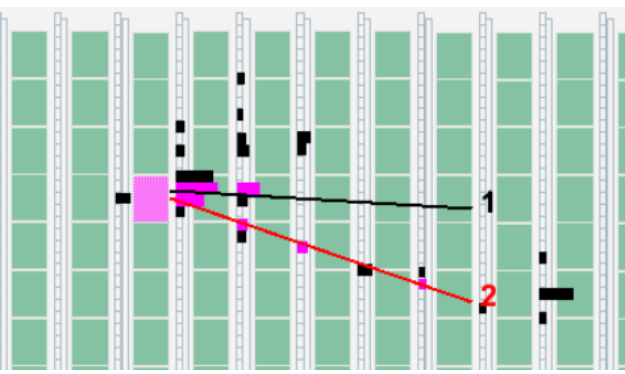


# The OPERA experiment



**Hybrid apparatus:**  
Emulsions + electronic detectors

## “Brick-finding”



+ several ancillary facilities “off-site”:

- **Assembly/disassembly of bricks (LNGS)**
- **Brick Manipulator System (LNGS)**
- **Labelling and X ray marking (LNGS)**
- **Automatised development (LNGS)**
- **Scanning of CS doublets (LNGS+JP)**
- **Scanning bricks (Europe + JP)**

# CERN Neutrinos to Gran Sasso

$\langle E_\nu \rangle$	<b>17 GeV</b>
$L / \langle E_\nu \rangle$	<b>43 km/GeV</b>

The oscillation peak for  $L = 732$  km at  $\sim 1.5$  GeV (similar to NuMI) but the beam is designed to observe  $\tau$  leptons  $\rightarrow$  unbalance at higher energies

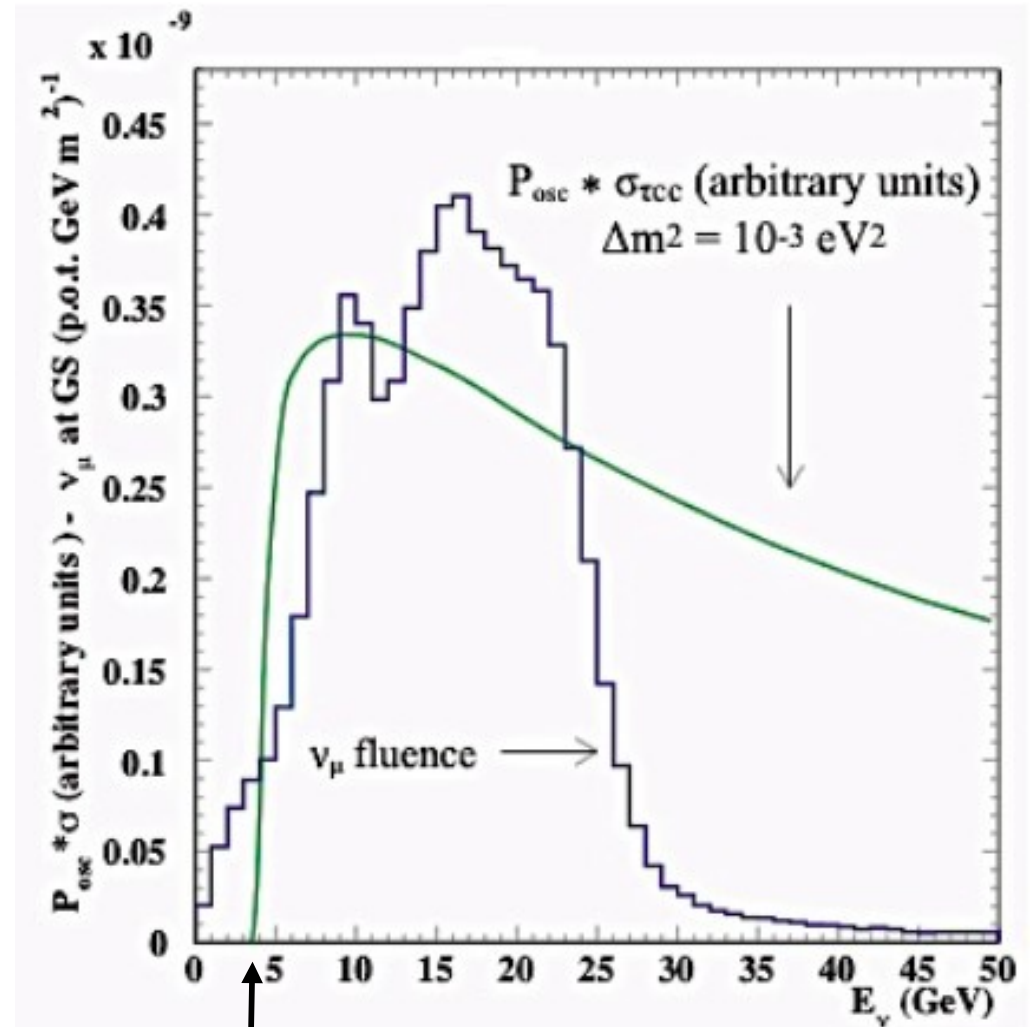
$$N(\tau) \sim \text{Pr}(\nu_\mu \rightarrow \nu_\tau) \times \sigma_{\nu(\tau)\text{CC}}(E) \times \text{flux}$$

Fluxes:

$(\nu_e + \bar{\nu}_e) / \nu_\mu$	<b>0.9 %</b>
$\bar{\nu}_\mu / \nu_\mu$	<b>2.1 %</b>
$\nu_\tau$ prompt (from $D_s$ )	<b>negligible</b>

Interaction rates ( $1.8 \times 10^{20}$  pot):

$\sim 20k \nu_\mu$  CC+NC  
 **$66.4 \nu_\tau$  CC (not efficiency corrected)**



Threshold for  $\tau$  at  $\sim 3.5$  GeV.

# Collected data samples

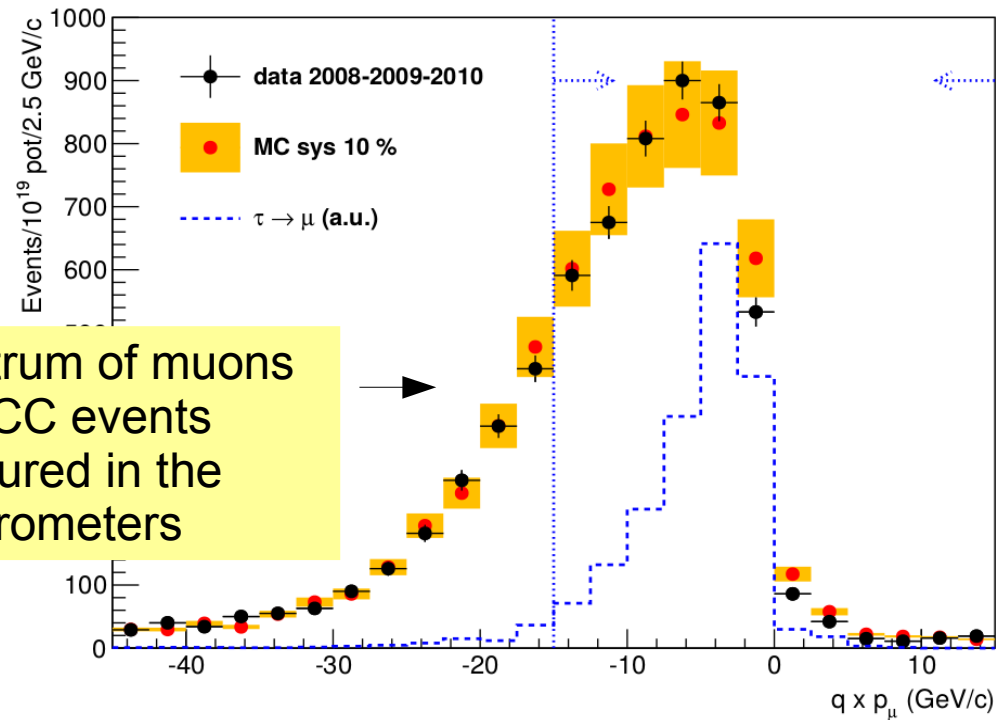
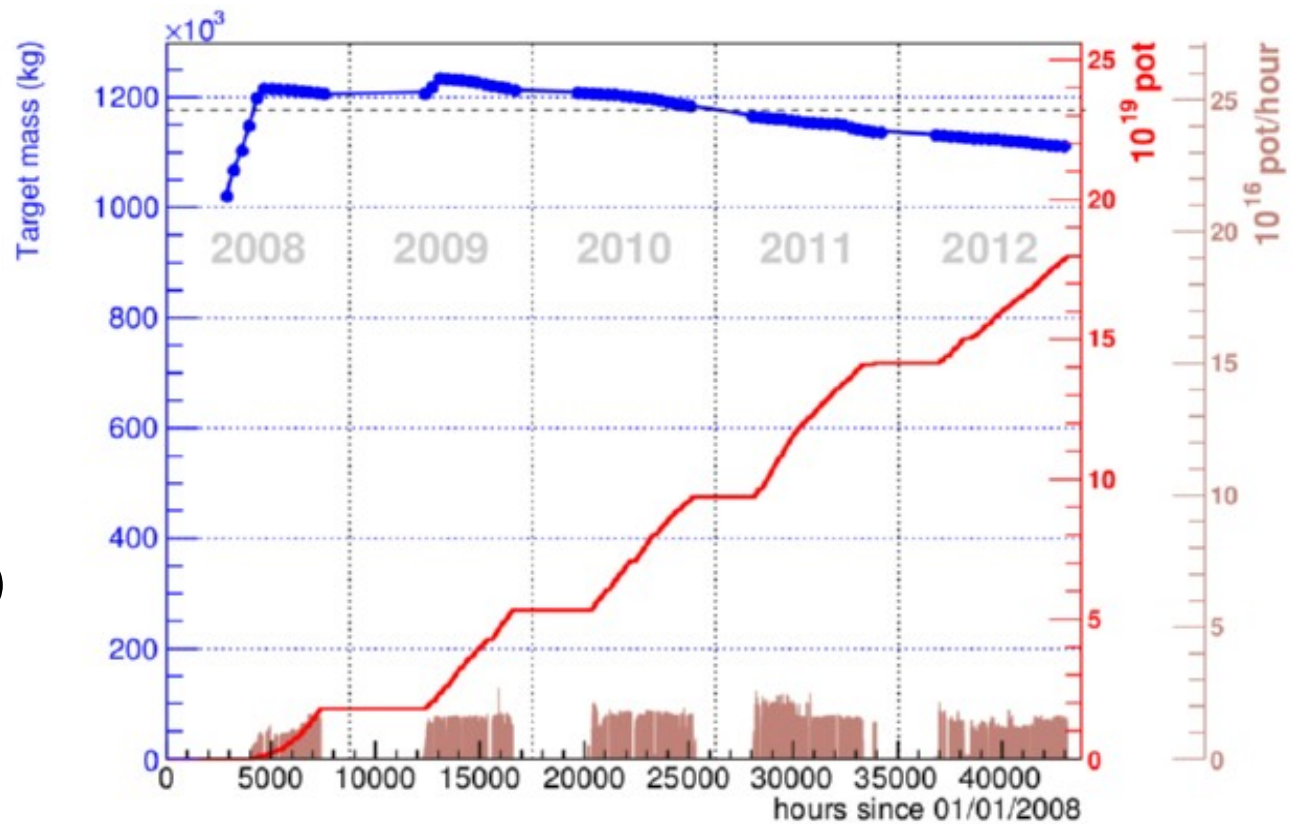
The 5 year long CNGS run has ended in 2012.

$1.8 \times 10^{20}$  p.o.t. collected  
80% of the design ( $2.25 \times 10^{20}$ )

1.25 kton initial target mass  
(150 k bricks)

19505 neutrino interactions  
in the emulsion targets.

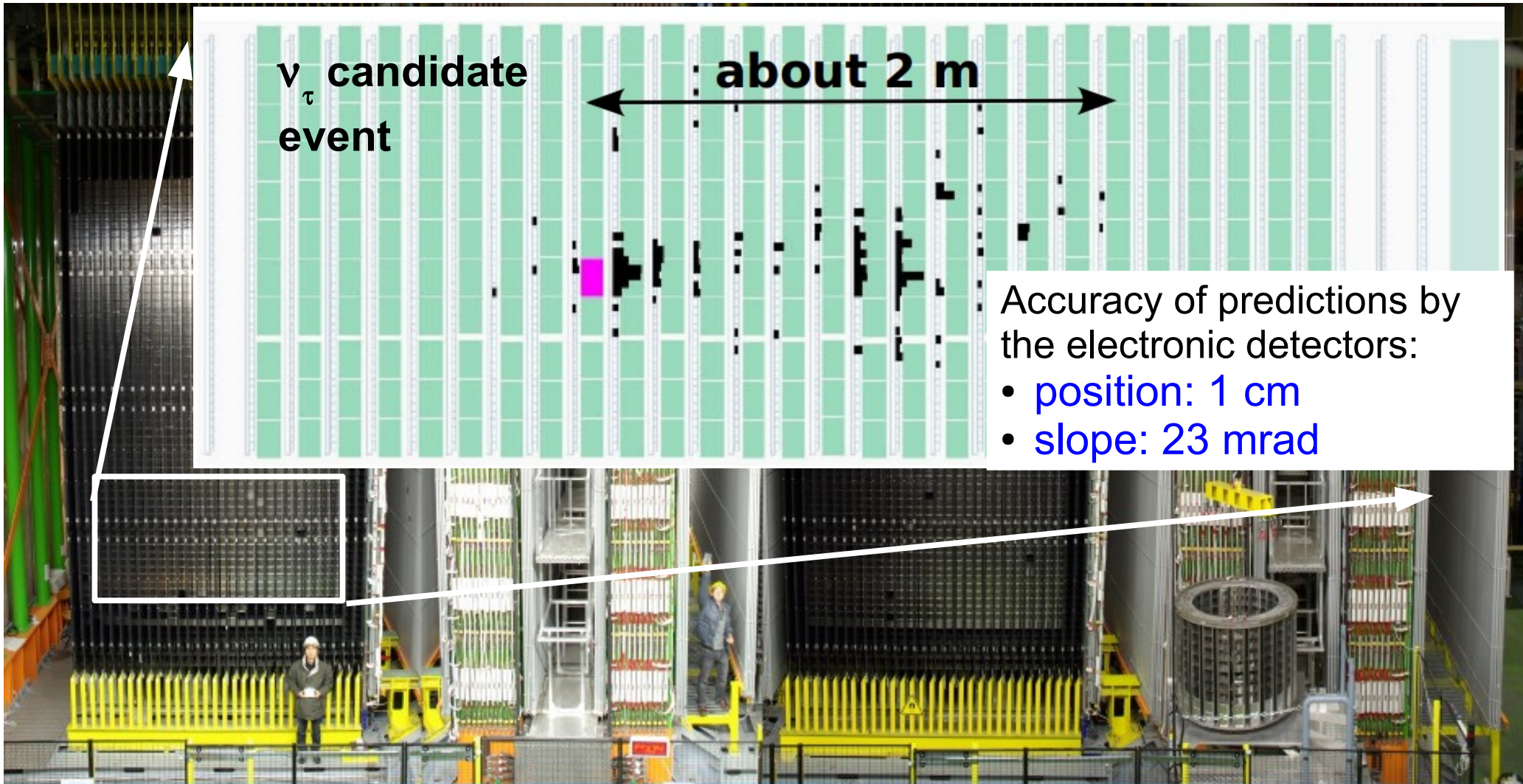
Year	Days	p.o.t. ( $10^{19}$ )	$\nu$ interactions
2008	123	1.74	1698
2009	155	3.53	3693
2010	187	4.09	4248
2011	243	4.75	5131
2012	257	3.86	3923
<b>tot</b>	<b>965</b>	<b>17.97</b>	<b>19505</b>



# Brick finding

OPERA is a hybrid apparatus.

Electronic detectors predictions to locate bricks with neutrino interactions.

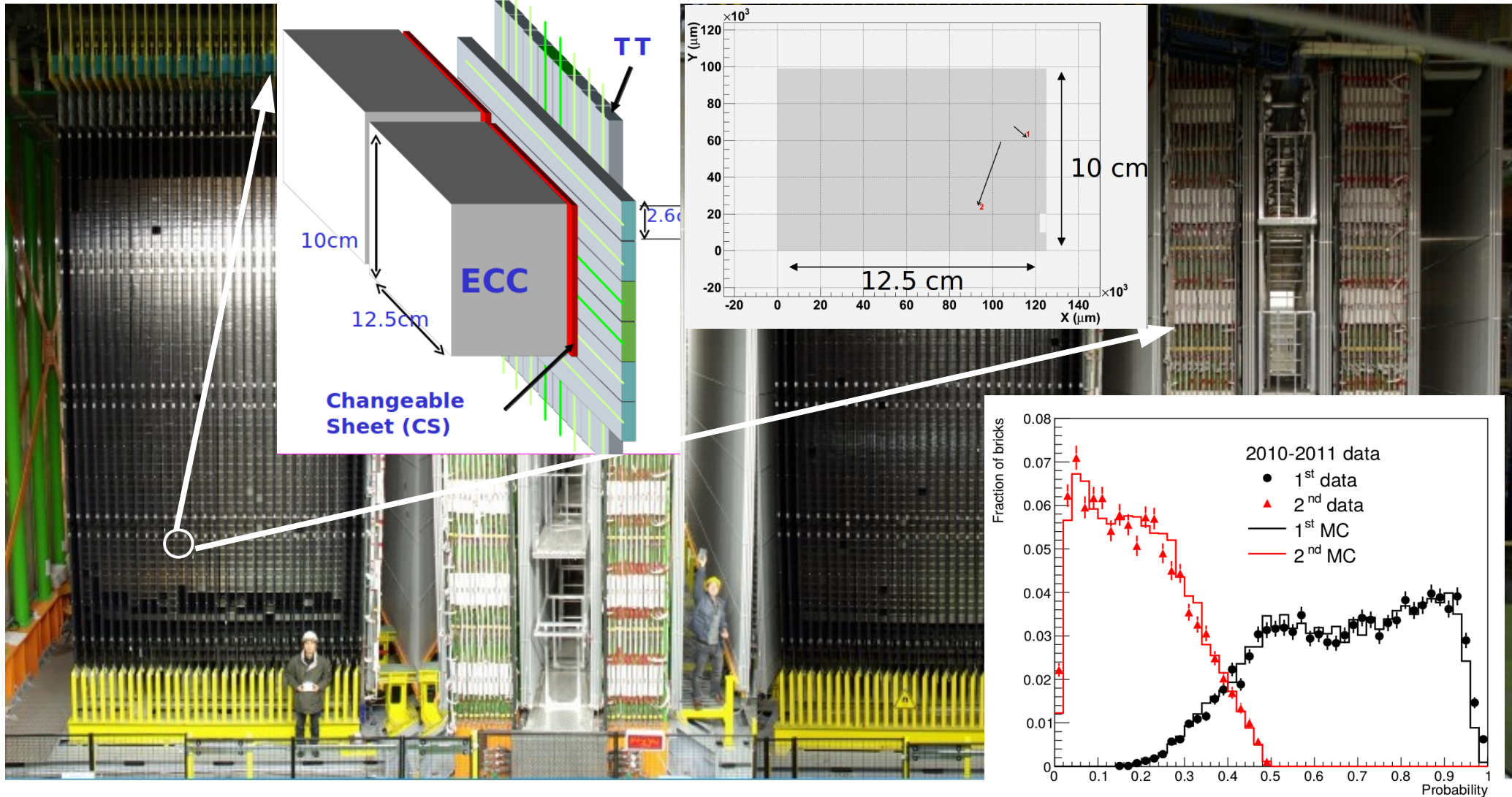


# Brick finding

Changeable Sheets: the “bridge” from the cm scale of electronics detectors to  $\mu\text{m}$  scale of emulsions.

Electronic detector predictions to be confirmed by scanning of CS doublet.

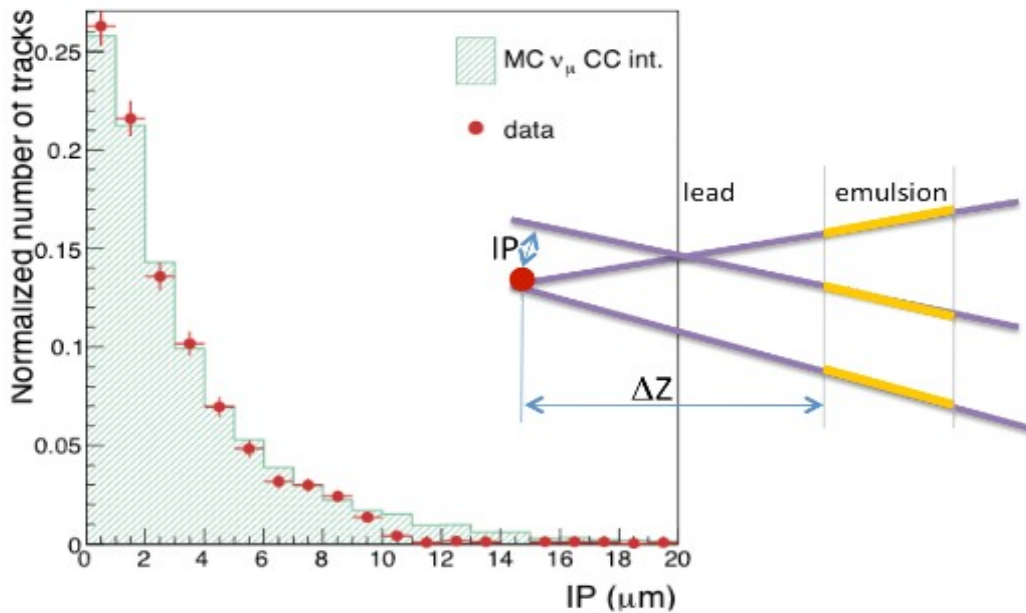
Up to 4 bricks ranked in probability are considered for  $\tau$  research.



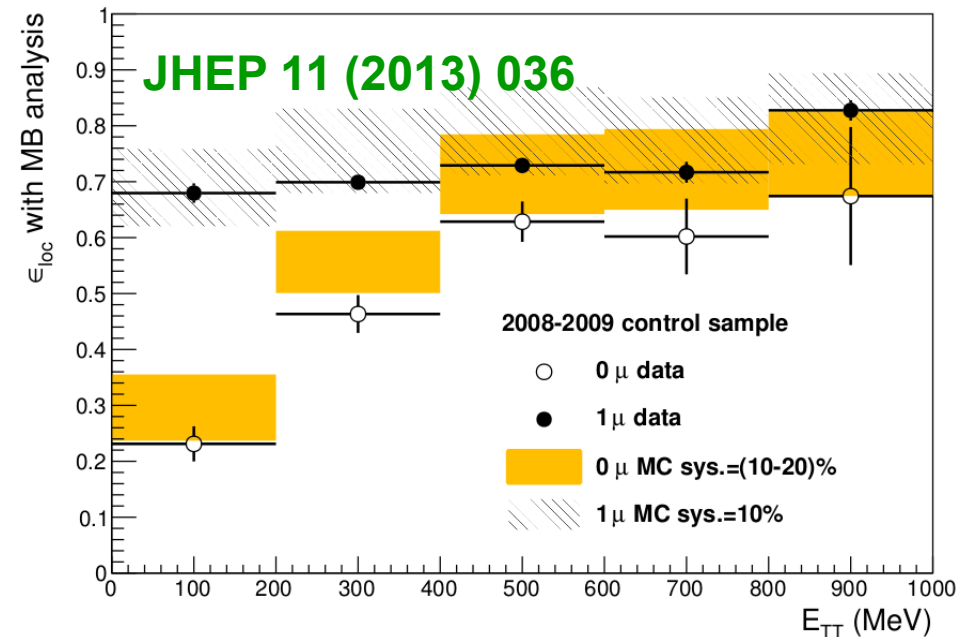
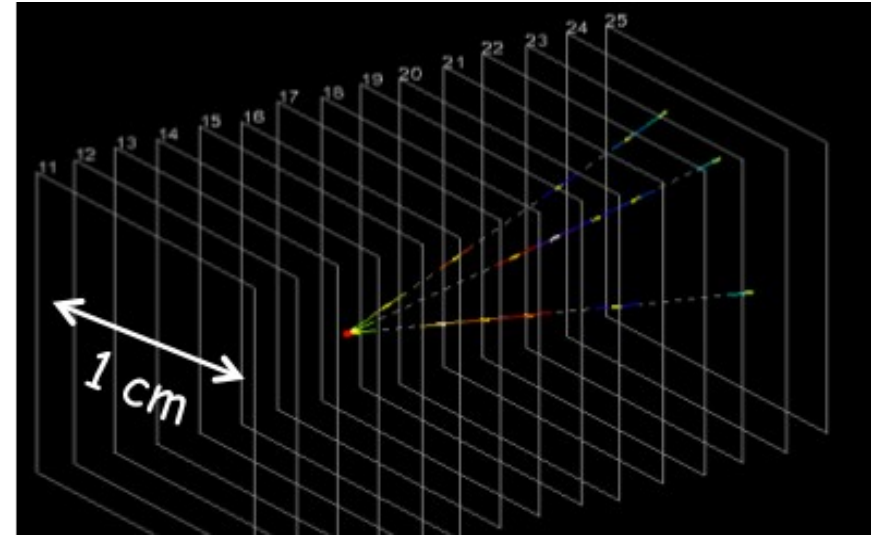


# Vertex location and topology decay search in the brick

Tracks in the CS are followed upstream until a stopping point is found.  
 Vertex reconstruction by apposite algorithms.  
 Search of decay topologies (e.g. large impact parameters IP).



Full MC simulation of 0  $\mu$  and 1  $\mu$  samples.  
 Data/MonteCarlo in reasonable agreement.

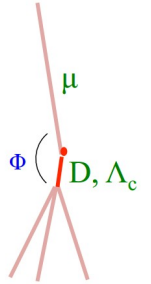


# Validation with the CNGS charm events sample

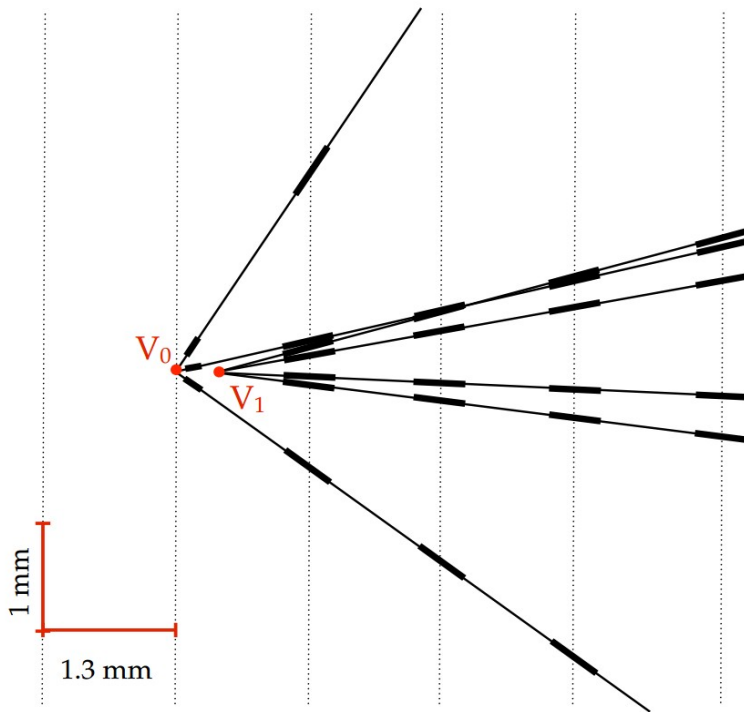
Charmed hadrons produced in  $\nu_{\mu}^{CC}$  events ( $\mu$  at the primary vertex)

Charm and  $\tau$  decays are topologically similar.

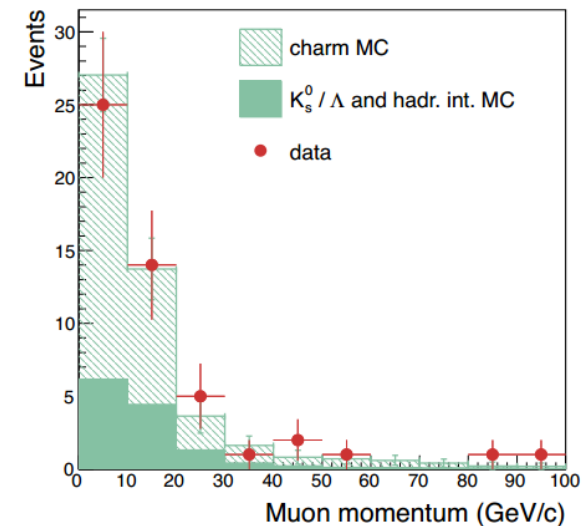
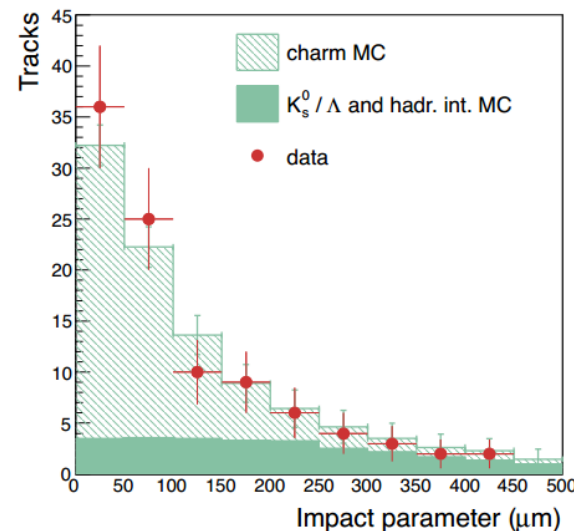
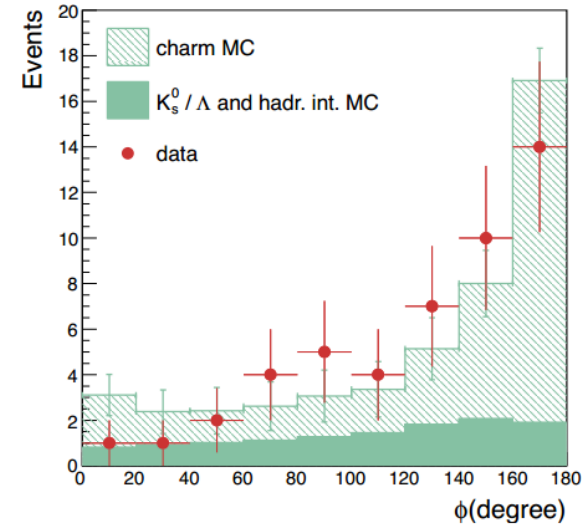
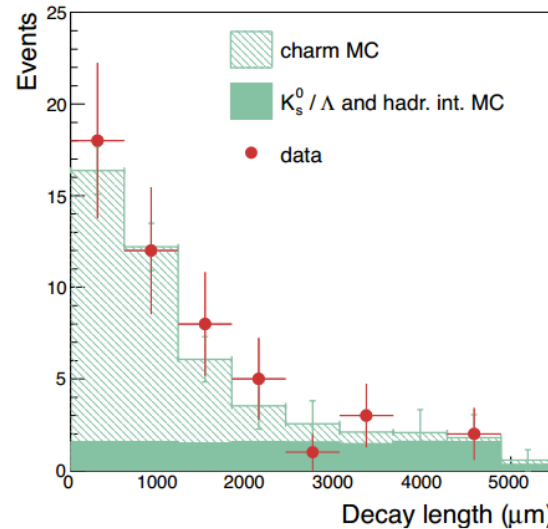
Test for: reconstruction efficiencies, description of kinematic variables, charm background.



2008-2010 data sample:  
 **$54 \pm 4$  expected events**  
**50 observed events**



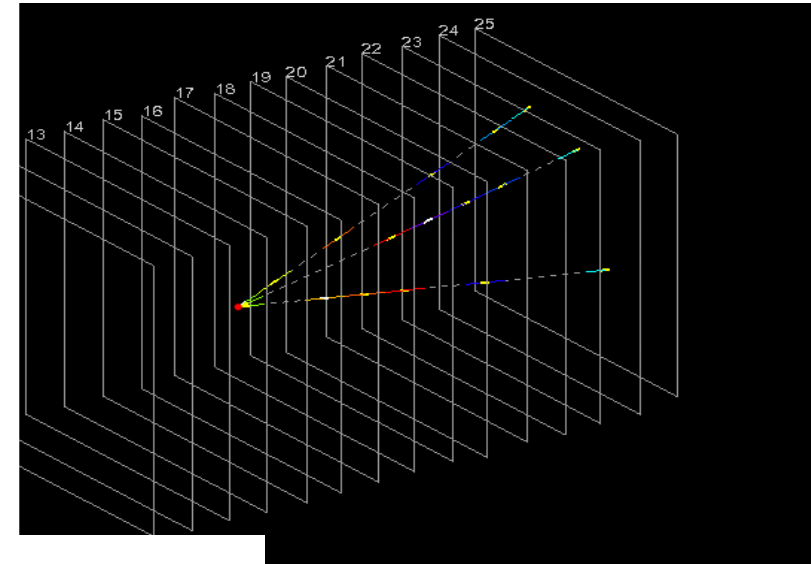
Eur.Phys.J. C74 (2014) 8, 2986



# $\nu_\tau$ candidate identification

The brick is a complete stand-alone detector:

- Neutrino interaction vertex and decay topology reconstruction
- Measurement of charged particles' momenta by Multiple Coulomb Scattering (20-30% resolution)
- $e/\gamma$  separation and energy measurement



**Kinematical cuts to increase S/B ratio:**

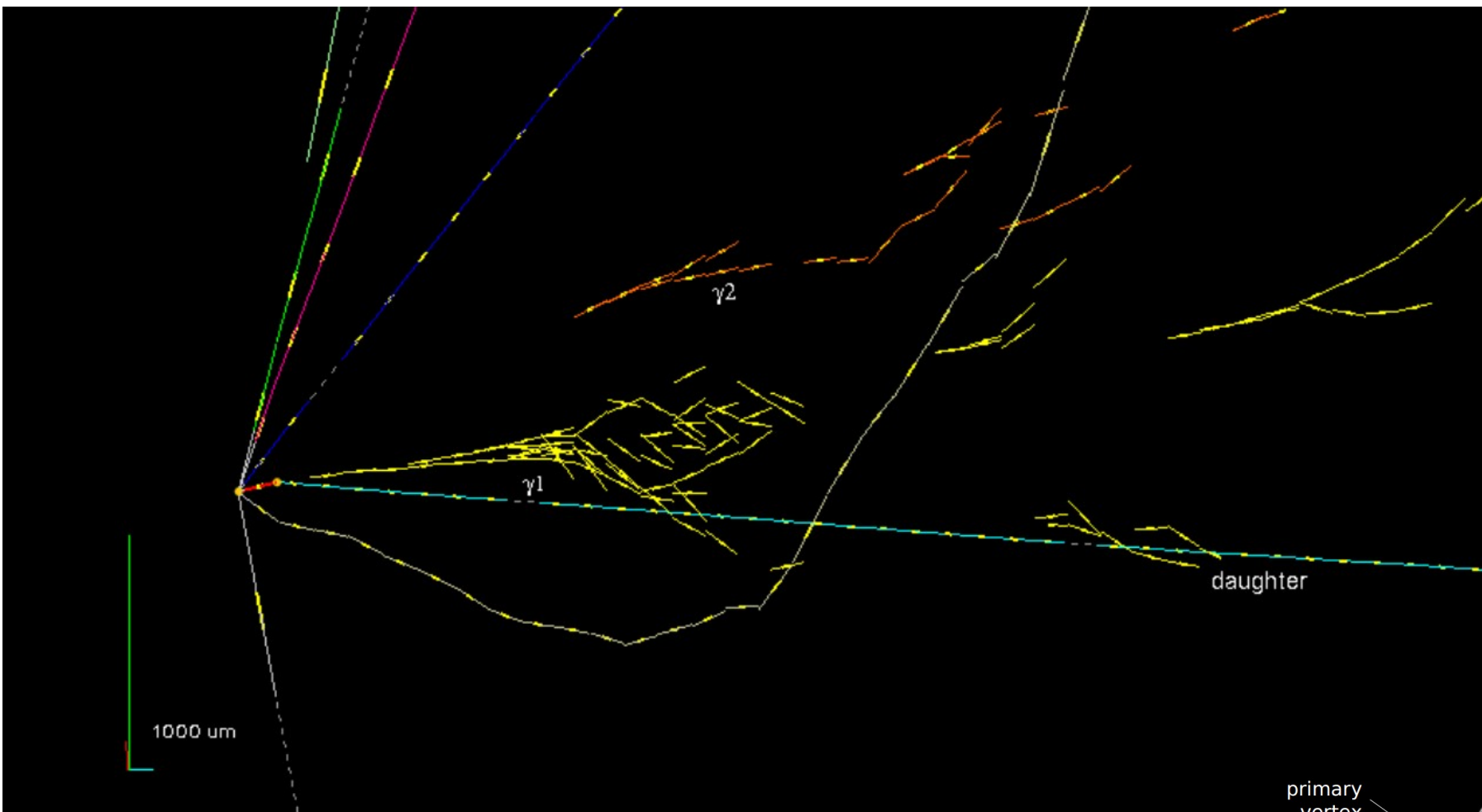
variable	$\tau \rightarrow 1h$	$\tau \rightarrow 3h$	$\tau \rightarrow \mu$	$\tau \rightarrow e$
lepton-tag		No $\mu$ or $e$ at the primary vertex		
$z_{dec}$ ( $\mu\text{m}$ )	[44, 2600]	< 2600	[44, 2600]	< 2600
$p_T^{miss}$ (GeV/c)	< 1*	< 1*	/	/
$\phi_{lH}$ (rad)	> $\pi/2^*$	> $\pi/2^*$	/	/
$p_T^{2ry}$ (GeV/c)	> 0.6(0.3)*	/	> 0.25	> 0.1
$p^{2ry}$ (GeV/c)	> 2	> 3	> 1 and < 15	> 1 and < 15
$\theta_{kink}$ (mrad)	> 20	< 500	> 20	> 20
$m, m_{min}$ ( $\text{GeV}/c^2$ )	/	> 0.5 and < 2	/	/

0.3 GeV/c in presence of  $\gamma$  in the decay vertex

**For candidate events, Track Follow Down (TFD) procedure:**  
**All reconstructed event tracks followed from brick to brick,**

- to enhance  $\mu$ -identification (99%)
- improve  $\mu/h$  discrimination (range measurement and nuclear interaction detection)

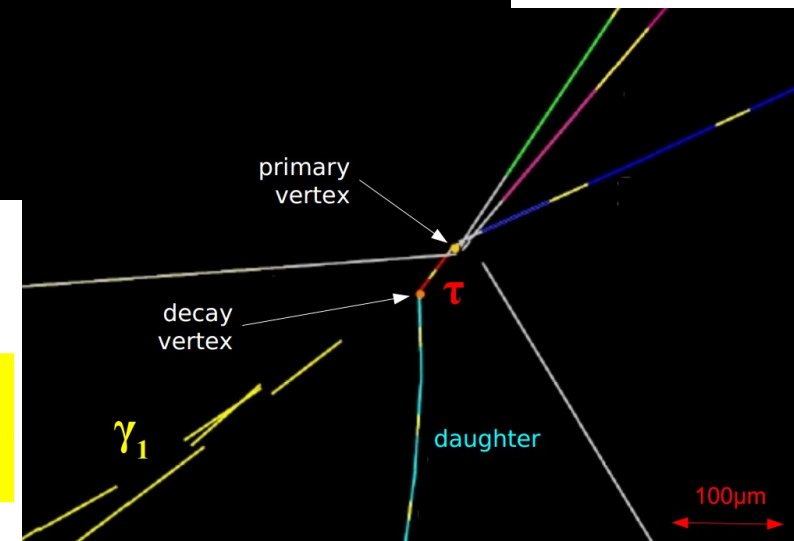
# The 1<sup>st</sup> candidate ( $\tau \rightarrow 1h$ )



$$\tau^- \rightarrow \rho^- + \nu_\tau \quad (\text{B.R.} \sim 25\%)$$

$$\rho^- \rightarrow \pi^0 + \pi^- \quad 640^{+125}_{-80} \text{ (stat.) } ^{+100}_{-90} \text{ (sys.) MeV}/c^2$$

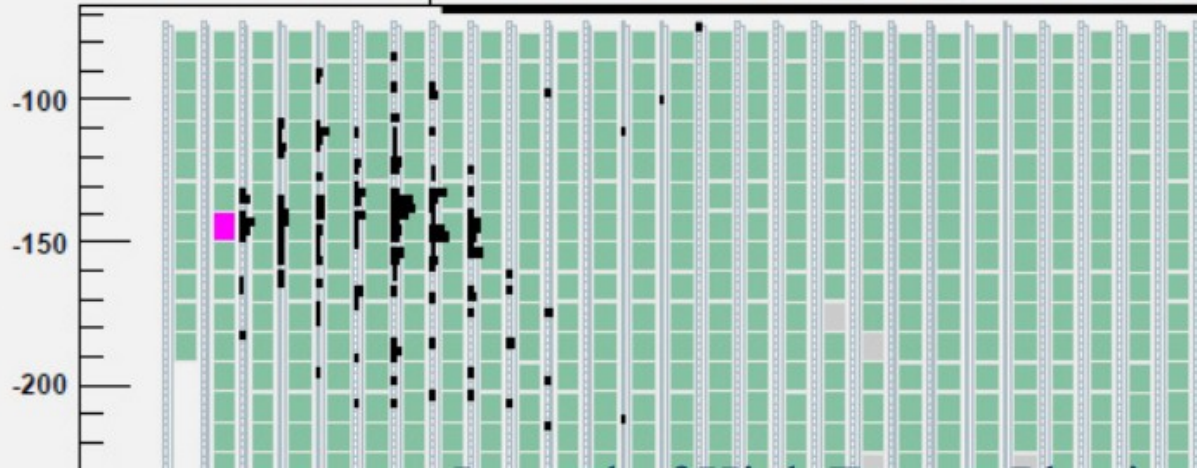
$$\pi^0 \rightarrow \gamma\gamma \quad 120 \pm 20 \text{ (stat.)} \pm 35 \text{ (sys.) MeV}/c^2$$



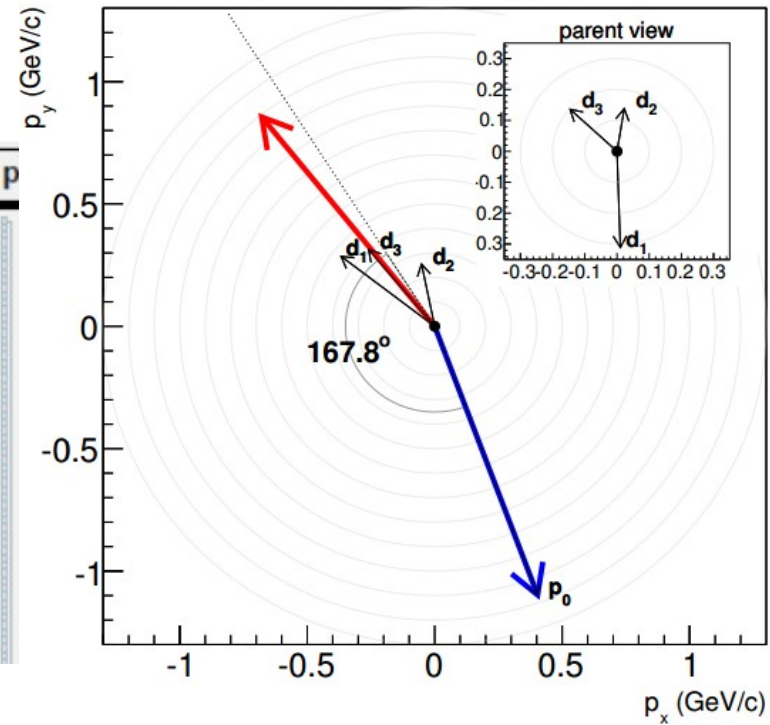
# The 2<sup>nd</sup> candidate ( $\tau \rightarrow 3h$ )

Event: 11113019758, 23 Apr 2011, 07:15 (UTC), YZ p

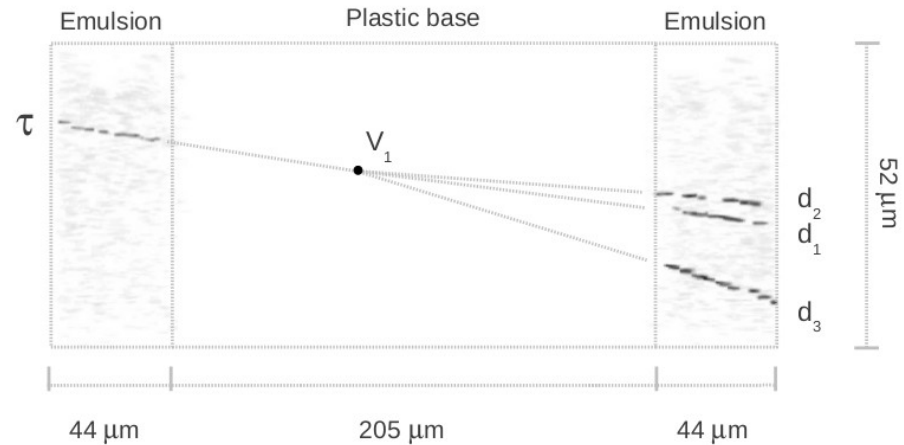
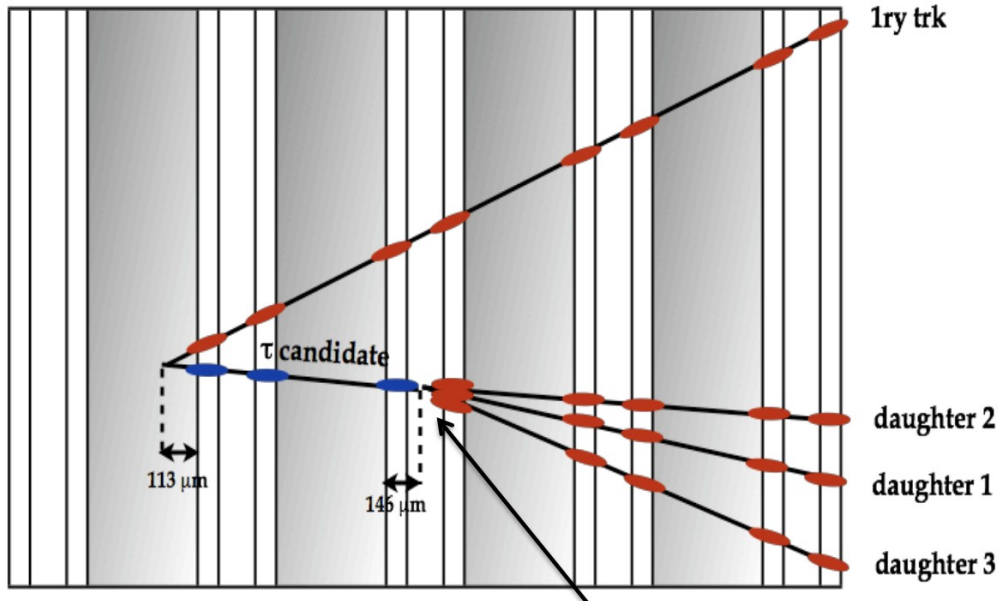
Rows (side view)



CNGS transverse-plane view

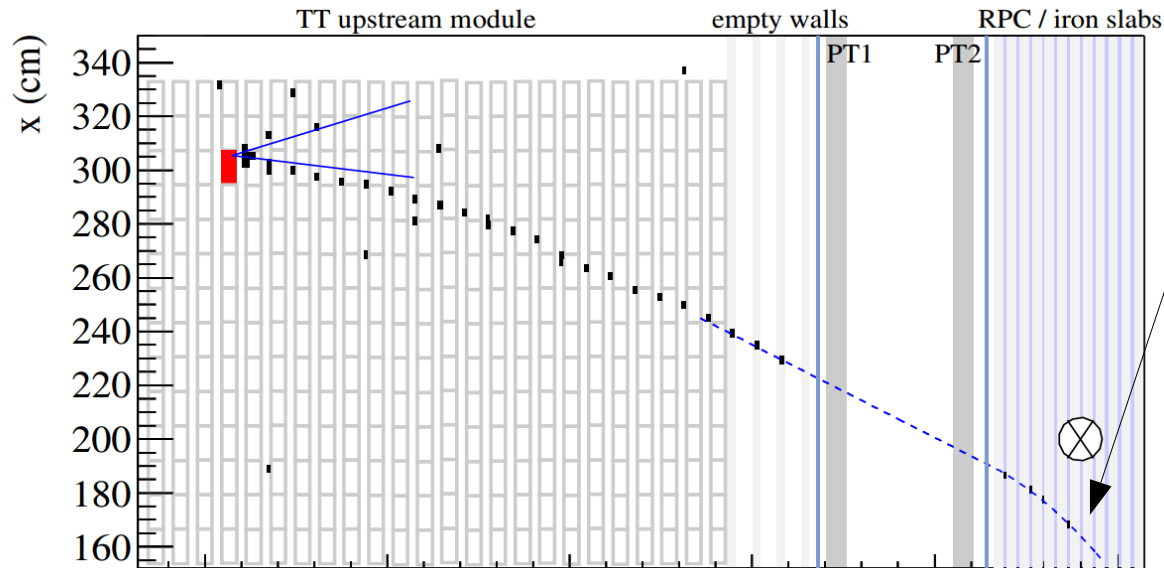
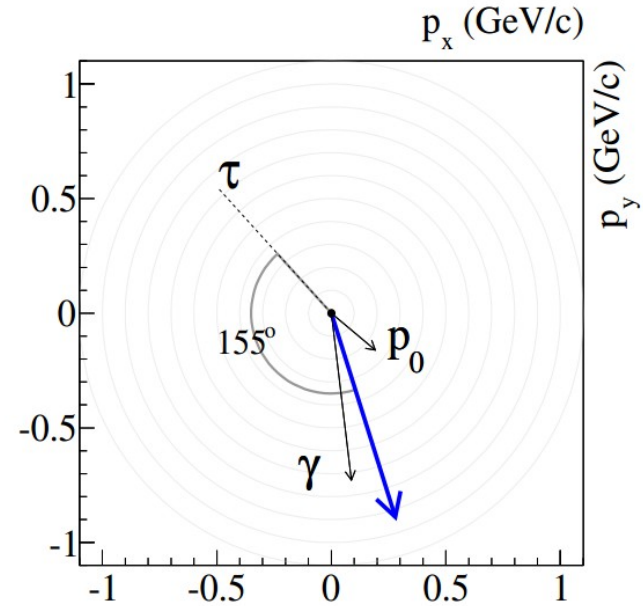
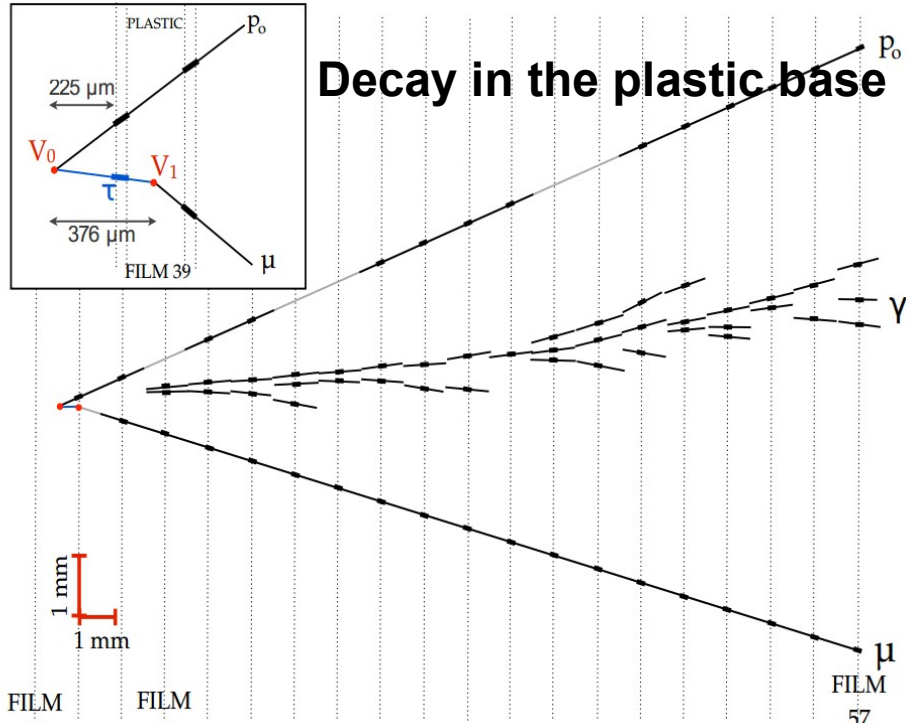


300  $\mu\text{m}$  1 mm 300  $\mu\text{m}$

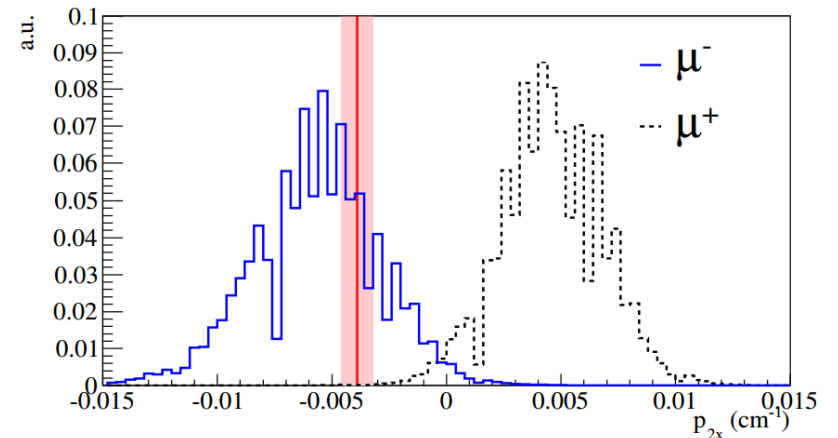


# The 3<sup>rd</sup> candidate ( $\tau \rightarrow \mu$ )

Phys. Rev. D 89 (2014) 051102(R)



**Negative charge of daughter muon measured by bending in the iron with RPC detectors**



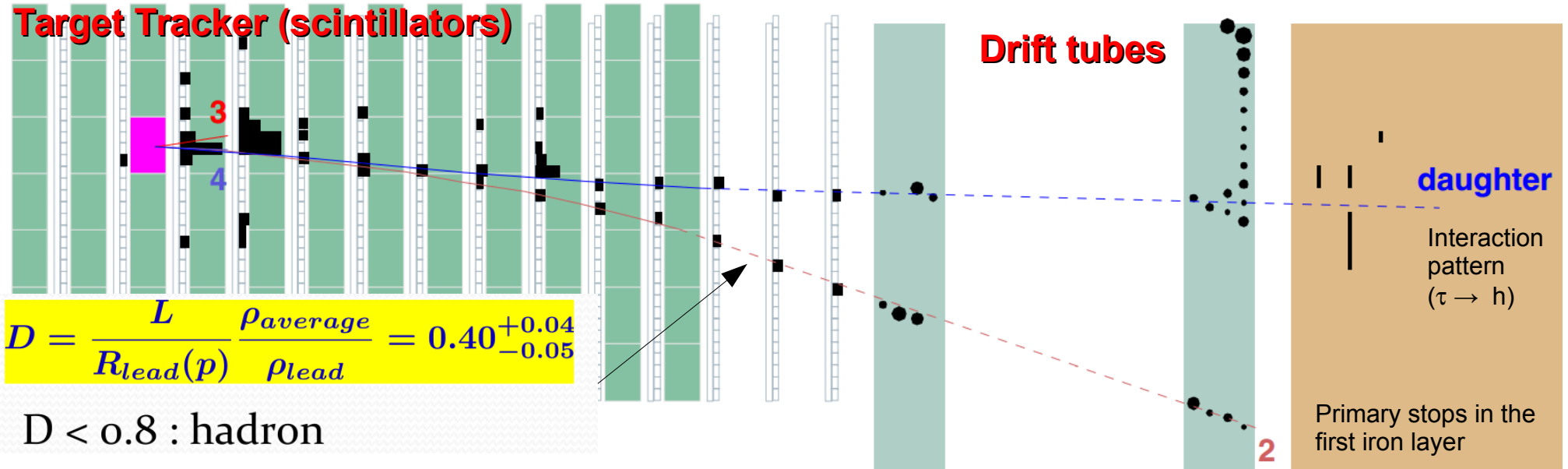
**First measurement of lepton charge in appearance mode.**

# The 4<sup>th</sup> candidate ( $\tau \rightarrow 1h$ )

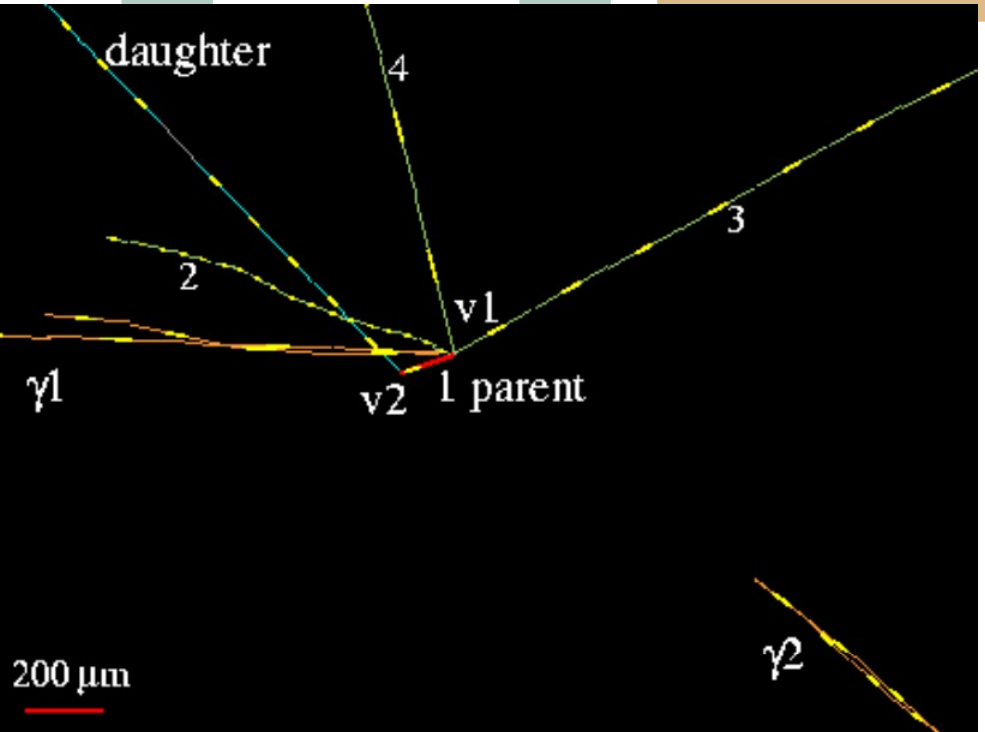
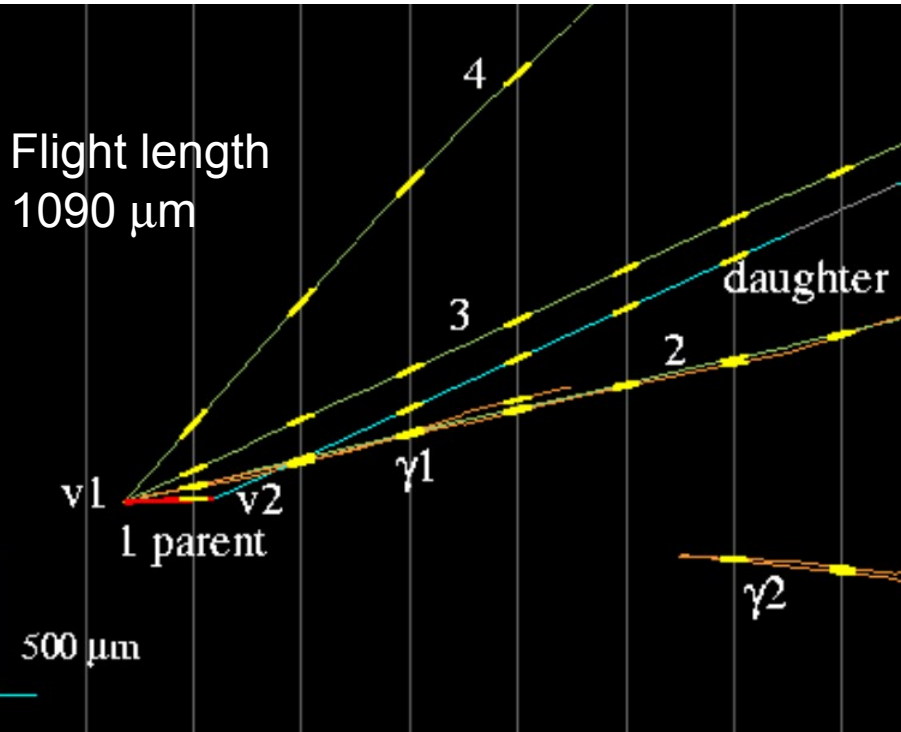
PTEP 2014 (2014) 10, 101C01

Target Tracker (scintillators)

Drift tubes



Flight length  
1090  $\mu\text{m}$



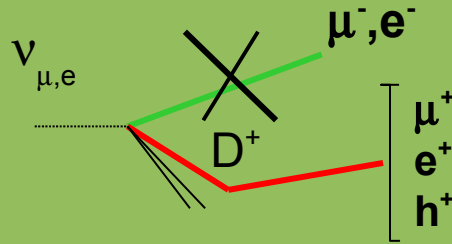
# $\nu_{\mu} \rightarrow \nu_{\tau}$ background characterization

Monte Carlo simulation **benchmarked on control samples**.

In order of decreasing relevance

## CC with charm production

(all channels) IF the primary lepton is not identified and the daughter charge is not (or incorrectly) measured

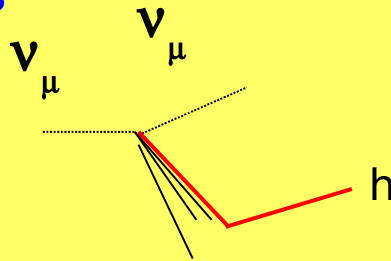


MC tuned on CHORUS data (cross section and fragmentation functions), validated with measured OPERA charm events.

Reduced by "track follow down", procedure and large angle scanning

## Hadronic interactions

Background for  $\tau \rightarrow h$

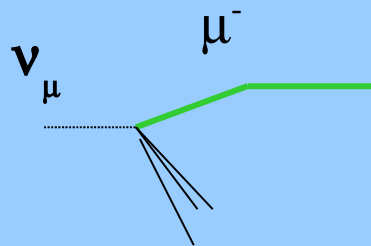


FLUKA + pion test beam data

Reduced by large angle scanning and nuclear fragment search

## Large angle muon scattering

Background for  $\tau \rightarrow \mu$



Measurements in the literature (Lead form factor), simulations and dedicated test-beams (in progress)



# $\nu_\tau$ analysis results

Status of the analysis:

The presented results correspond

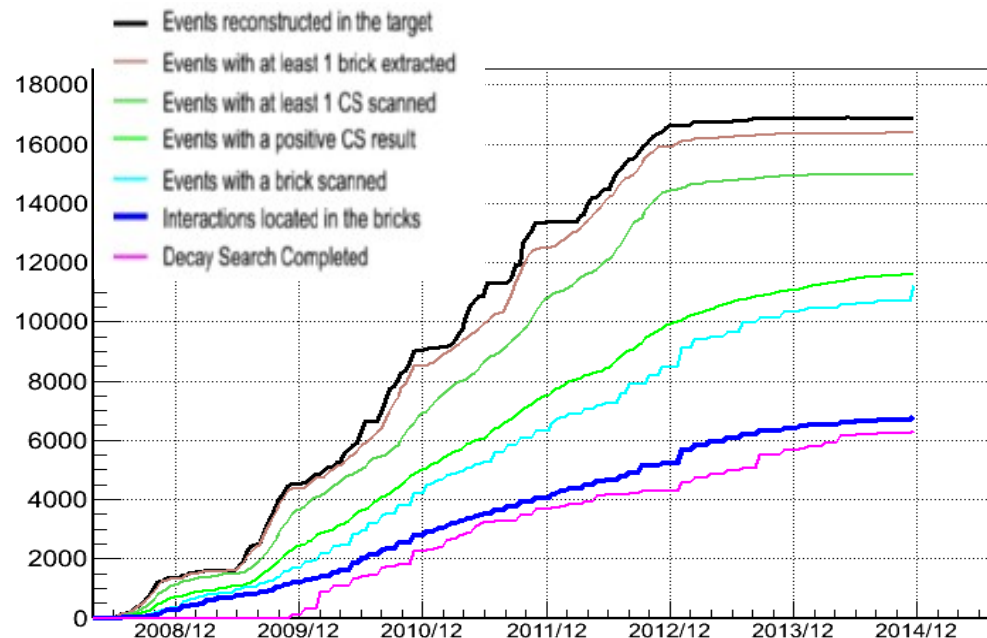
to about 70% of the total sample

2008-2009: 1<sup>st</sup> and 2<sup>nd</sup> brick completed

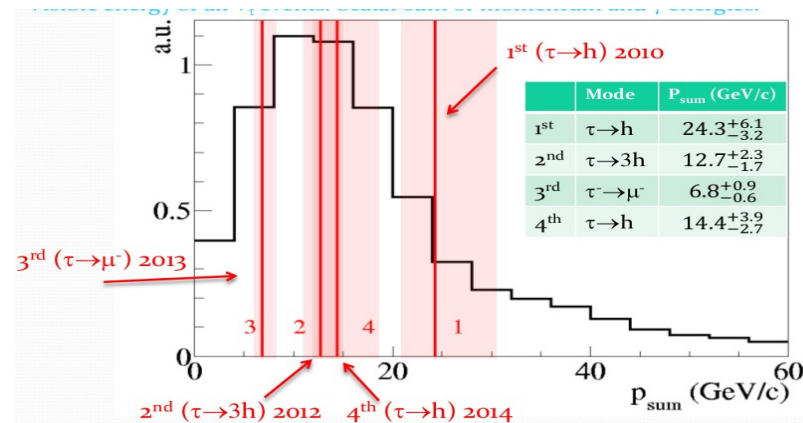
2010-2012: 1<sup>st</sup> brick completed

Analysis in progress.

Updates in summer conferences.



Decay channel	Expected signal $\Delta m_{23}^2 = 2.32 \text{ meV}^2$	Total background	Observed
$\tau \rightarrow h$	$0.41 \pm 0.08$	$0.033 \pm 0.006$	2
$\tau \rightarrow 3h$	$0.57 \pm 0.11$	$0.155 \pm 0.030$	1
$\tau \rightarrow \mu$	$0.52 \pm 0.10$	$0.018 \pm 0.007$	1
$\tau \rightarrow e$	$0.62 \pm 0.12$	$0.027 \pm 0.005$	0
<b>Total</b>	<b><math>2.11 \pm 0.42</math></b>	<b><math>0.233 \pm 0.041</math></b>	<b>4</b>

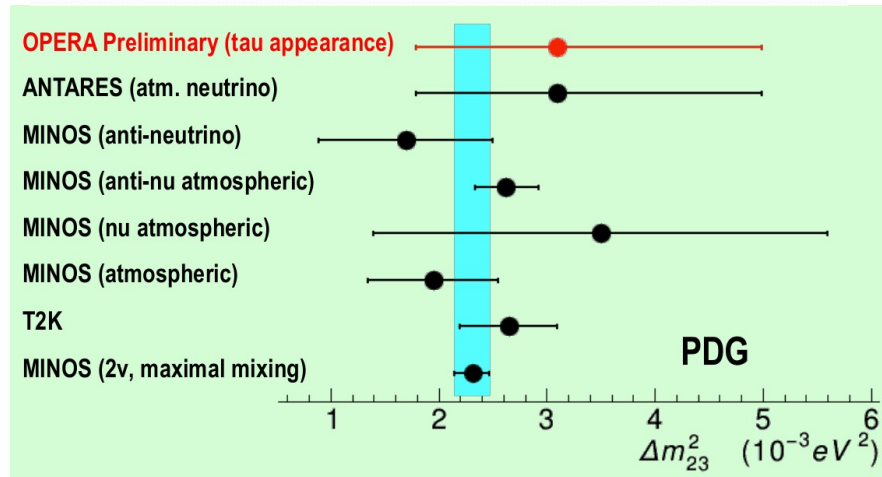


**3 hadronic + 1 muonic candidates observed**

**Exclusion of null hypothesis:  $4.2 \sigma$**

$$p\text{-value} = 1.03 \times 10^{-5}$$

- Fisher combination of single channel p-value
- Likelihood ratio



# $\nu_\mu \rightarrow \nu_\tau$ : Preliminary

## results on sterile $\nu$

standard

$$P(\nu_\mu \rightarrow \nu_\tau) = 4 |U_{\mu 3}|^2 |U_{\tau 3}|^2 \sin^2 \frac{\Delta_{31}}{2}$$

(normal hierarchy)

exotic

$$+ 4 |U_{\mu 4}|^2 |U_{\tau 4}|^2 \sin^2 \frac{\Delta_{41}}{2}$$

$$+ 2 \Re [U_{\mu 4}^* U_{\tau 4} U_{\mu 3} U_{\tau 3}^*] \sin \Delta_{31} \sin \Delta_{41}$$

$$- 4 \Im [U_{\mu 4}^* U_{\tau 4} U_{\mu 3} U_{\tau 3}^*] \sin^2 \frac{\Delta_{31}}{2} \sin \Delta_{41}$$

$$+ 8 \Re [U_{\mu 4}^* U_{\tau 4} U_{\mu 3} U_{\tau 3}^*] \sin^2 \frac{\Delta_{31}}{2} \sin \frac{\Delta_{41}}{2}$$

$$+ 4 \Im [U_{\mu 4}^* U_{\tau 4} U_{\mu 3} U_{\tau 3}^*] \sin \Delta_{31} \sin \frac{\Delta_{41}}{2}$$

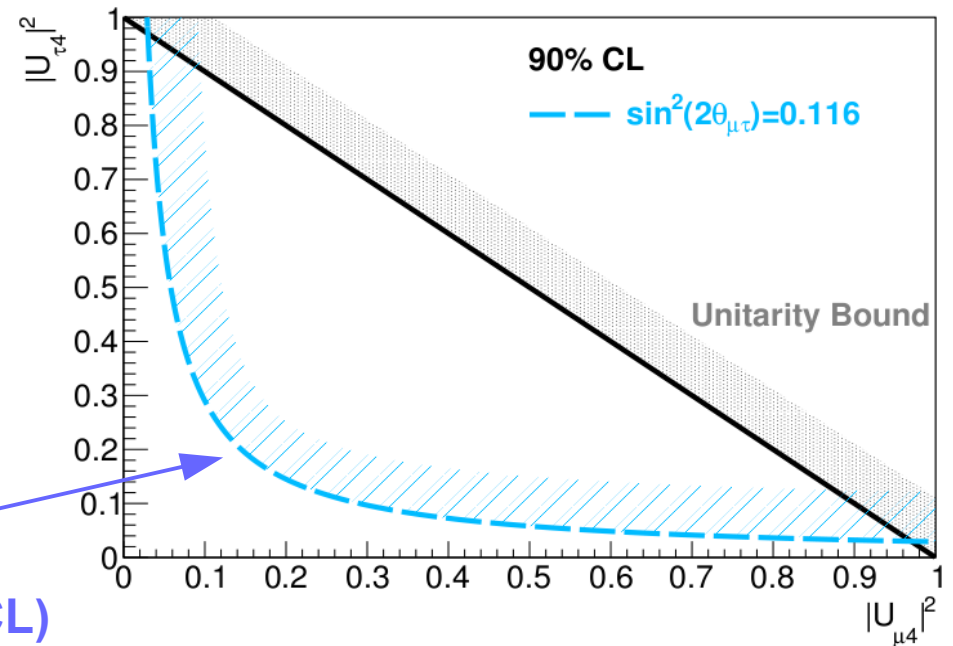
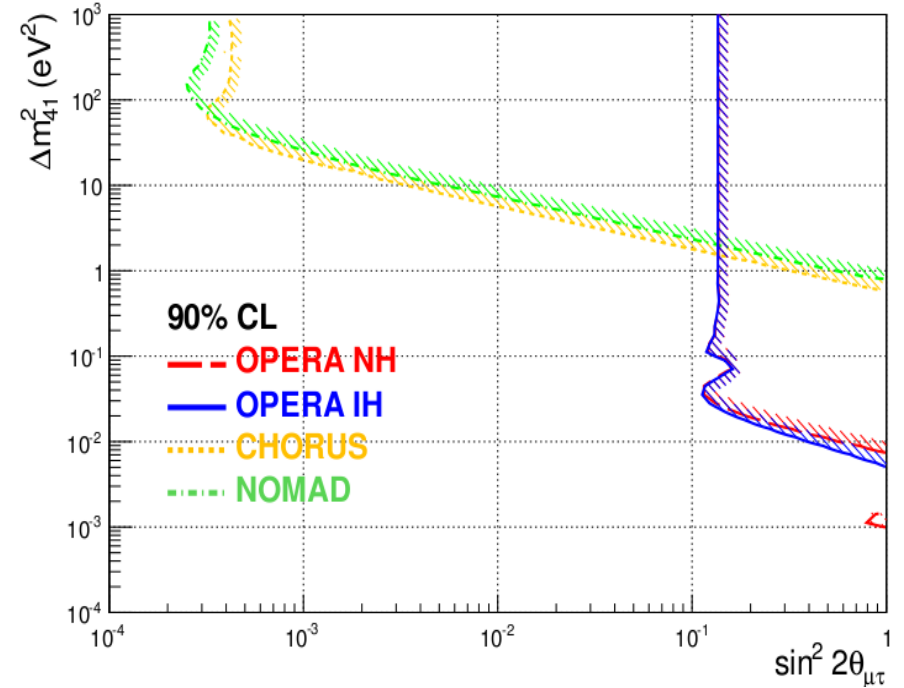
$$\Delta_{ij} = \frac{\Delta m_{ij}^2 L}{2 E}$$

Interference term

@  $\Delta m_{41}^2 > 1 \text{ eV}^2$  (3+1 model)

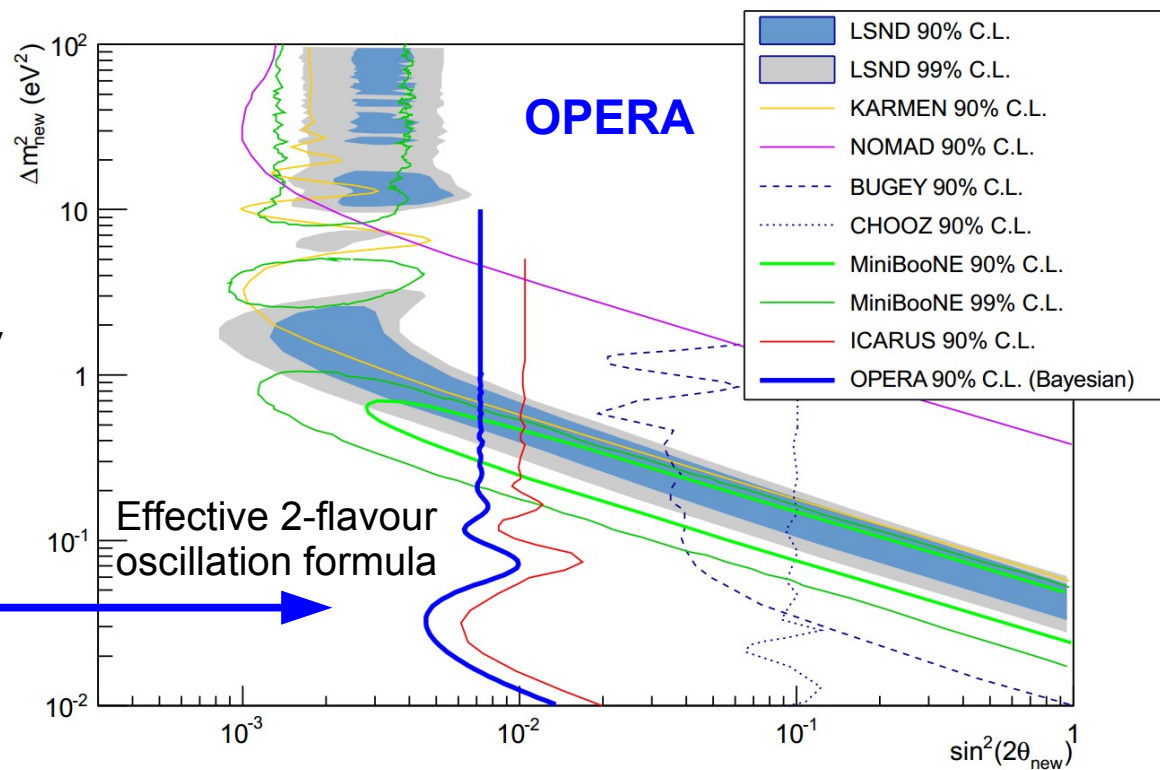
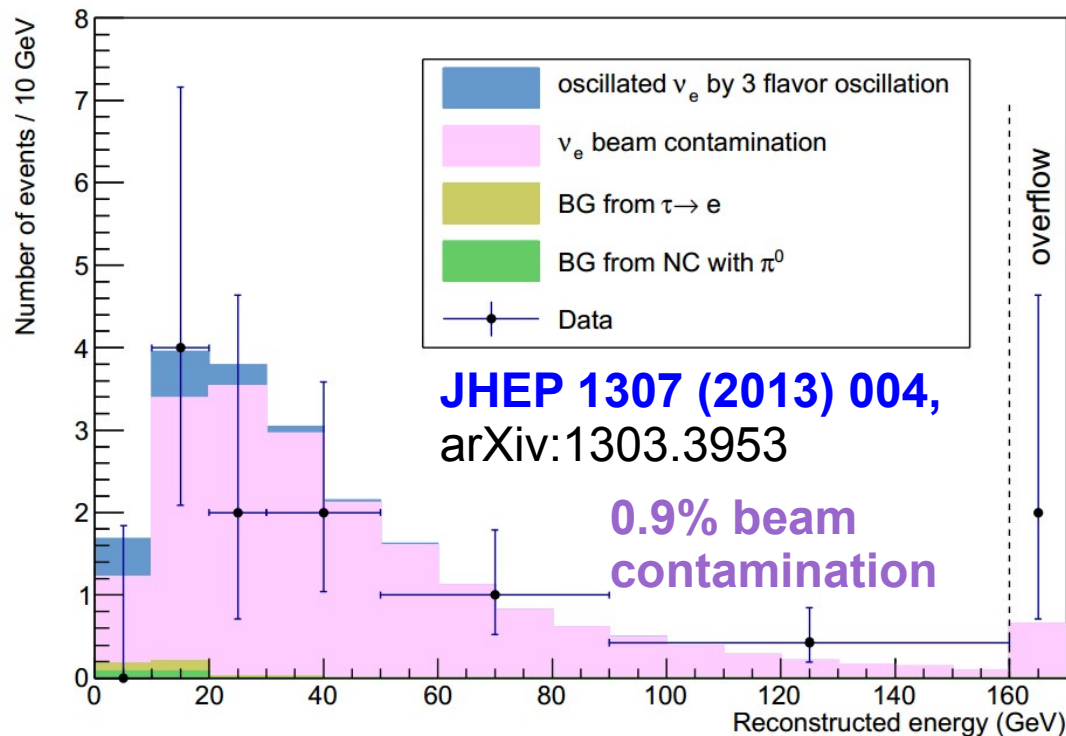
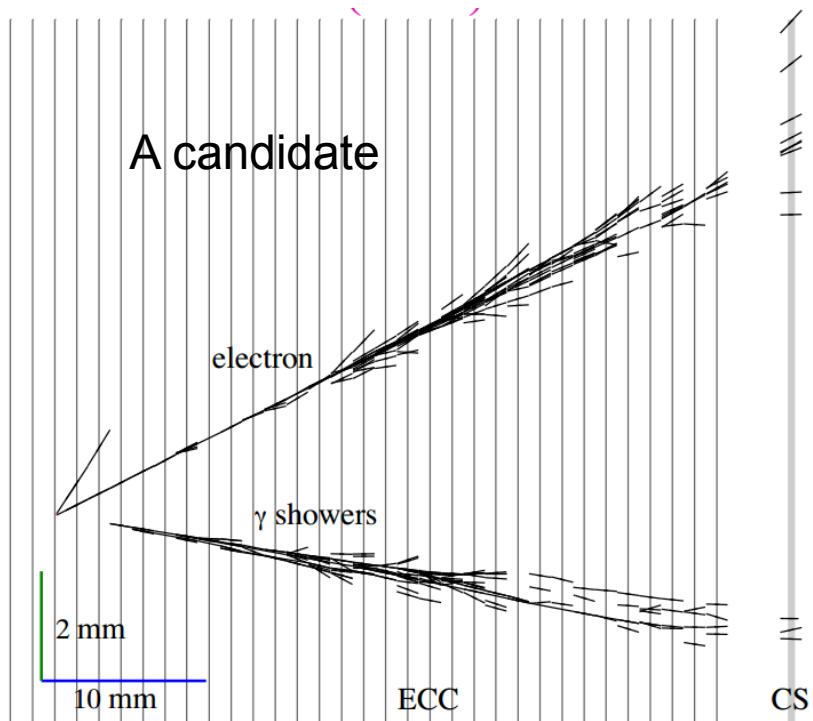
$4 |U_{\mu 4}|^2 |U_{\tau 4}|^2 = \sin^2 (2\theta_{\mu\tau}) < 0.116$  (90% CL)

Profile likelihood on  $\tau$  number



$$\nu_{\mu} \rightarrow \nu_e$$

2008+2009  $0\nu$  interactions: 505 events  
 (~30% of the final sample)

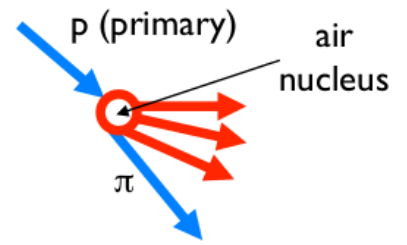


	E < 20 GeV	
$\nu_e$ candidates	19	4
Background	$19.8 \pm 2.8$ (sys.)	4.6

$\sin^2 2\theta_{\text{NEW}} < 7.2 \times 10^{-3}$  (90% CL)

$\sin^2 2\theta_{13} < 0.44$  (90% CL) (standard 3v)

# Cosmic rays: $R = N_{\mu^+} / N_{\mu^-}$

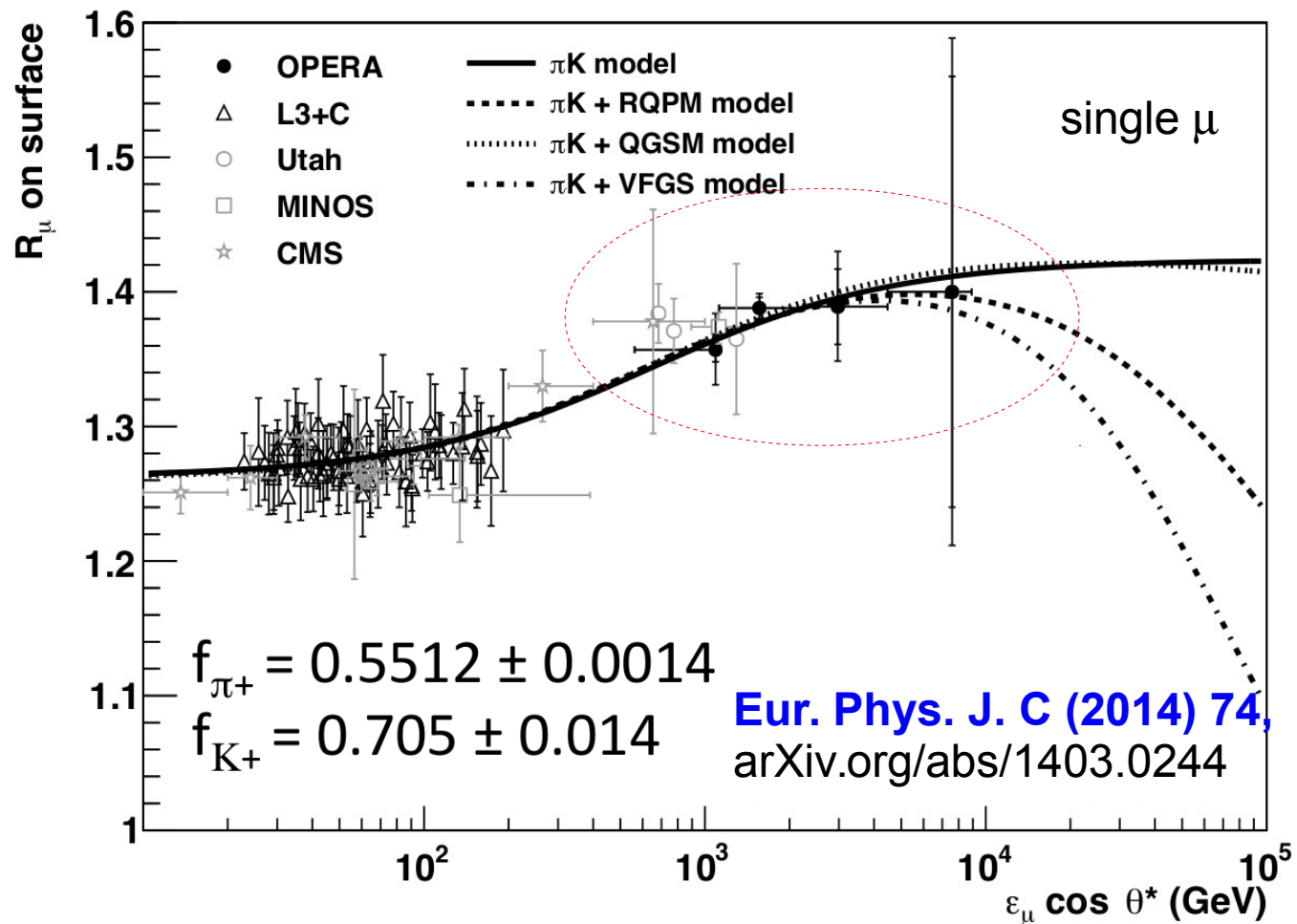


- Highest-E region reached!
- opposite magnet polarities runs → lower systematics
- Strong reduction of the charge ratio for multiple muon events

$1 \mu$   $1.377 \pm 0.006$   
 Multi- $\mu$   $1.098 \pm 0.023$

- Results compatible with a simple  $\pi$ -K model
- No significant contribution of the prompt component up to  $E_{\mu} \cos \theta^* \sim 10$  TeV
- Validity of Feynman scaling in the fragmentation region up to  $E_{\mu} \sim 20$  TeV ( $E_N \sim 200$  TeV)

$$\phi_{\mu^{\pm}} \propto \frac{a_{\pi} f_{\pi^{\pm}}}{1 + b_{\pi} \mathcal{E}_{\mu} \cos \theta / \epsilon_{\pi}} + R_{K\pi} \frac{a_K f_{K^{\pm}}}{1 + b_K \mathcal{E}_{\mu} \cos \theta / \epsilon_K}$$



# Conclusions

- $1.8 \times 10^{20}$  pot by CNGS from 2008-12 (80% of design).
- 4  $\nu_\tau$  candidates so far with a 0.23 event background.
- No oscillation hypothesis excluded at  $4.2 \sigma$ .
- Study on  $\nu_\mu \rightarrow \nu_e$  sub-dominant oscillation channel.
- Sterile neutrino: limits on  $|U_{\mu 4}|^2 |U_{\tau 4}|^2$  from  $\nu_\tau$  appearance results.
- Cosmic ray physics: atmospheric  $\mu^+/\mu^-$  in the highest energy region to date.

## OPERA posters at XVI International Workshop on Neutrino Telescopes:

“Search for sterile neutrino mixing in the muon neutrino to tau neutrino appearance channel with the OPERA detector” - M. Tenti

“Appearance of rare physics phenomena in the OPERA neutrino experiment” - M. Roda

“The Muon-Tracking-System of the OPERA experiment” - B. Buttner

“Energy measurement of electromagnetic showers for the detection of the  $\tau \rightarrow e$  channel in the OPERA search for neutrino oscillations” - B. Hosseini