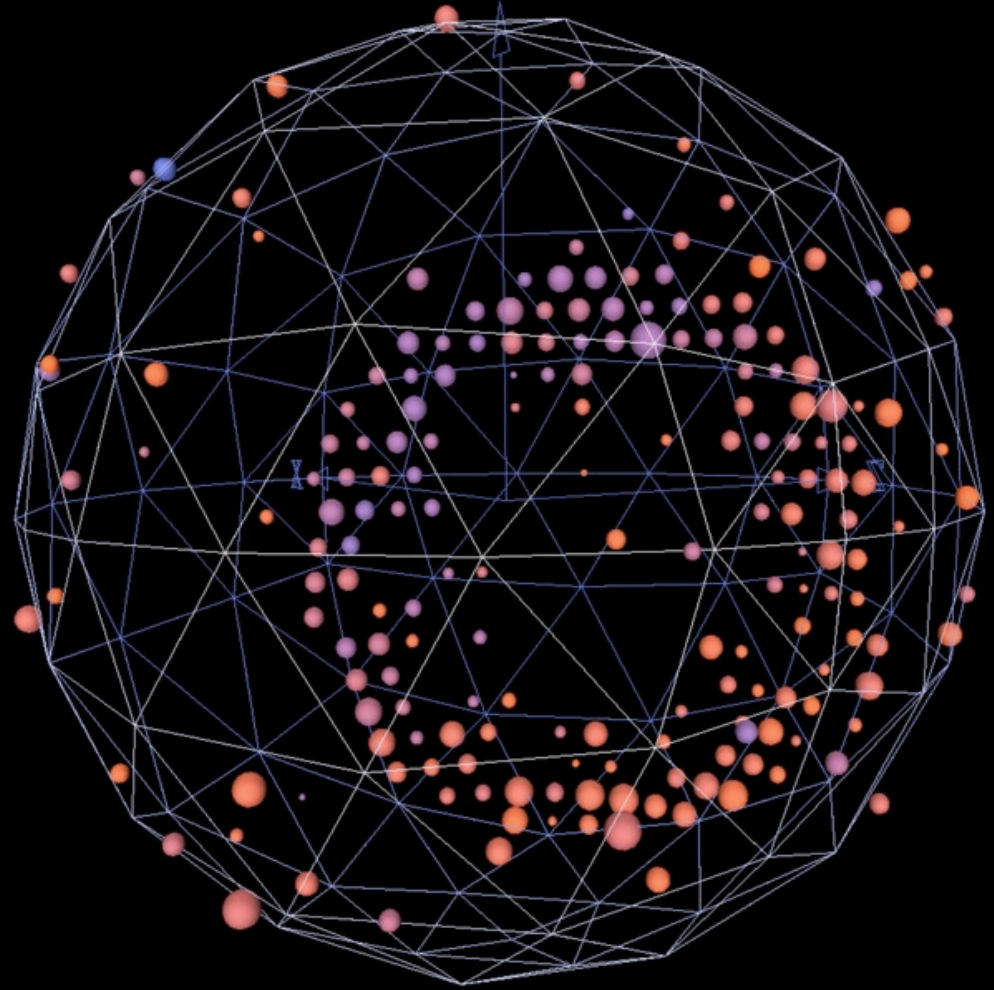


# Neutrinos: Projecting into the Future



**A. Yu. Smirnov**

*Max-Planck Institute for Nuclear Physics,  
Heidelberg, Germany*

*Neutrino telescopes, Venice, March 2015*

# On “predictions”

Unusual discussion between several members of IAC  
(which reflects the present situation in the field)  
about necessity of the talk on predictions of the CP phase

In the past: bad experience with “predictions” of the 1-3 mixing:  
too much theory emphasis on zero (or very small)  $\theta_{13}$

Predictions were largely misleading

Concerning CP: one can not say “model” predicts the phase.  
Already now all possible values of  $\delta_{CP}$  from 0 to  $2\pi$  are predicted

Theorists should wait (20 years ?) till  $\delta_{CP}$  is measured with  
good enough precision, this may help them to construct a model  
which may predict something new...

Complete failure of THEORY, its uselessness !

$\delta_{CP}$  is one of few unknown yet parameters for which  
predictions still can be done.

What then we will understand prove?

# ...continued

Zero (small) 1-3 was supported by TBM and flavor symmetries

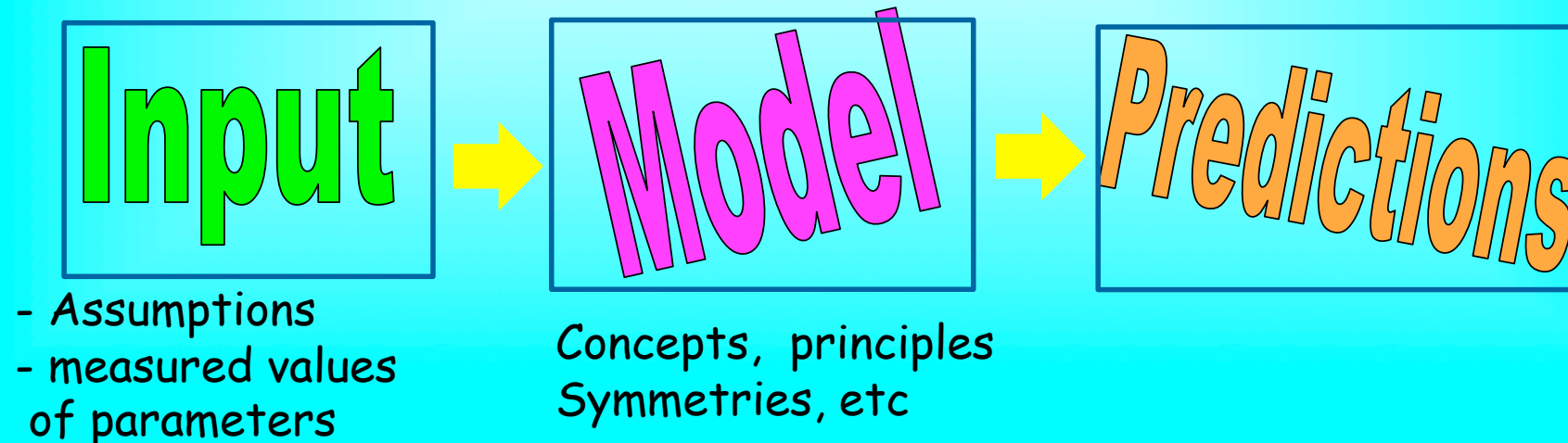
This was appreciated/supported by large EXPERIMENTAL community that wanted to do neutrino factories

Even in the past there were a spectrum of predictions (see compilation in C. Albright, Mu Chum Chen hep-ph/0608137).

Then discussion went to philosophical and moral issues of

What is the meaning of predictions  
What is the role of input, assumptions  
What is the role of theory in general etc. etc.

Quality and number  
of assumptions,  
honesty...



# Projecting into the Future

Extrapolate progress and advances we made in recent years  
previous experience, lessons, etc.

Starting point also to check if I can trust myself

**Neutrino -2008: Where are we?  
Where are we going?**

XXIII Int conference on  
Neutrino physics and Astrophysics,  
Christchurch, New Zealand, May 2008

*0810.2668 [hep-ph]*

7 years later  
a number of statements are still valid



# Content:

1. 1-3 mixing. Impact

2. Solar neutrinos

3. CP violation

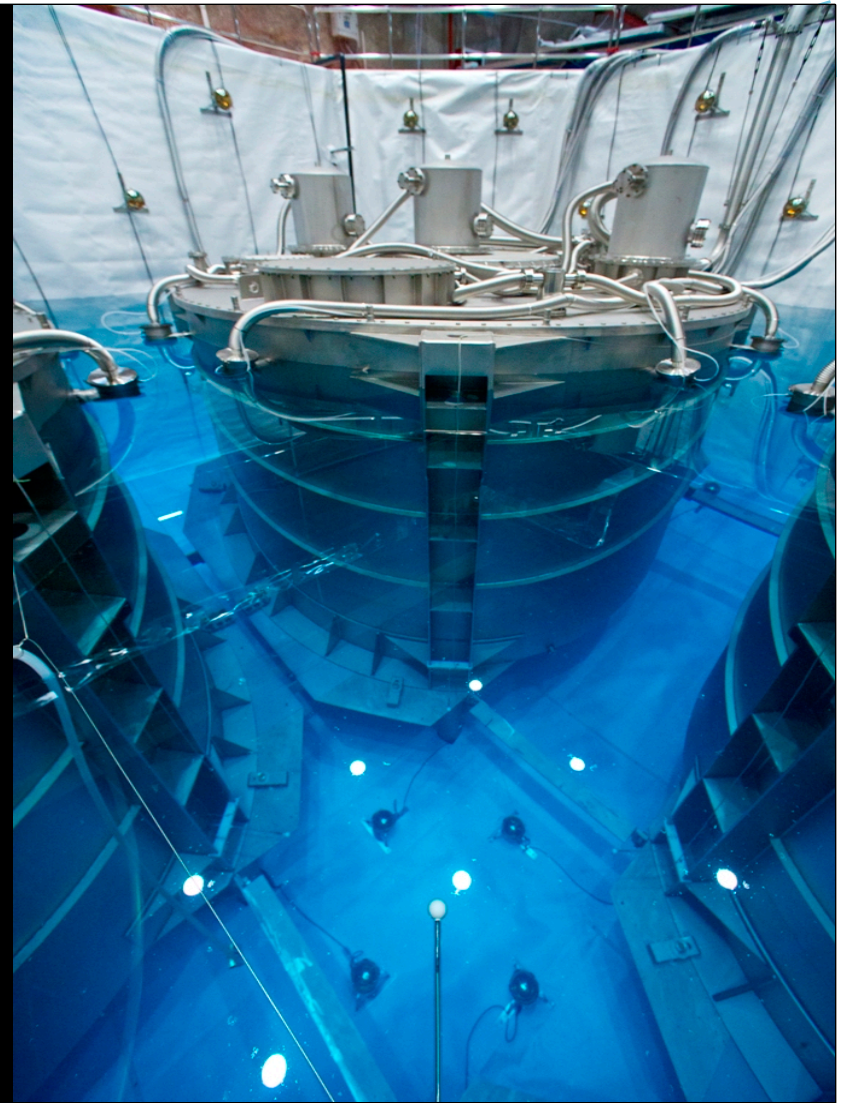
4. Projecting into the future

Three stories  
and some guesses

# Neutrino map



# 1-3 mixing Impact



# My (successful?) story of the 1-3 mixing

end of 90ies

L. A. Mikaelyan: any chance/reason that  $\theta_{13}$  is close to the CHOOZ upper bound?

A.S.: Yes, this is very plausible

Reference to  $\sin^2\theta_{13} = O(1) \frac{\Delta m_{21}^2}{\Delta m_{32}^2}$   
0.75

*E. K. Akhmedov, G.C. Branco, M.N. Rebelo Phys.Rev.Lett. 84 (2000) 3535, [hep-ph/9912205]*

``Naturalness`` : absence of fine tuning of mass matrix  
Connecting solar and atmospheric neutrino sectors

Very small 1-3 mixing would be something special (symmetry)

Dilemma: usual versus special

supported by almost the same relation in the quark and lepton sectors

$$\sin^2\theta_{13} = q \sin^2\theta_{12} \sin^2\theta_{23}$$

*K. Patel, A. Y. S.*

# Prediction from QLC

Quark-Lepton Complementarity

*H. Minakata, A. Yu. S., Phys.Rev.  
D70 (2004) 073009  
hep-ph/0405088*

$$\sin \theta_{13} = -\sqrt{\frac{1}{2}} \sin \theta_C (1 - |V_{cb}| \cos \alpha) + V_{ub}$$

in general:  $\sin \theta_{23}$



CP-phase

$$\frac{1}{2} \sin^2 \theta_C = 2.54 \pm 0.02 \times 10^{-2}$$

*C. Giunti, M. Tanimoto*

$$\sin^2 \theta_{13} = 2.18 \pm 0.10$$
$$2.15 \pm 0.13$$

Global fit, C. Gonzalez-Garcia et al  
Daya Bay (15% smaller,  $3\sigma$ )

Reducing predicted value

1. correction from  $V_{CKM}^+$
2. Non-maximal 2-3 mixing

$$2.54 \rightarrow 2.11$$

$$2.54 \rightarrow 2.28$$

$$\text{for } \sin^2 \theta_{23} = 0.45$$



# Framework

*C. Giunti, M. Tanimoto  
H. Minakata, A Y S  
Z - Z. Xing  
J Harada  
S Antusch , S. F. King  
Y Farzan, A Y S  
M Picariello ,etc.*

$$U_{\text{PMNS}} = U_{\text{CKM}} + U_X$$

where  $U_{\text{CKM}} \sim V_{\text{CKM}}$

has similar hierarchical structure determined (as in Wolfenstein parametrization) by powers of

$$\lambda = \sin \theta_c$$

$U_X$  should be fixed to reproduce correct lepton mixing angles

$$U_{\text{CKM}} \sim I$$

$$U_X \sim U_{\text{TBM}}$$

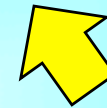
can be always written

Deeper sense: reflects

Quarks and leptons know about each other, Q L unification  
Some additional physics is involved in the lepton sector related to neutrino properties

# PMNS & CKM

$$U_{\text{PMNS}} = U_{\text{CKM}} + U_X$$



From the Dirac matrices  
of charged leptons and  
neutrinos

Related to (any) mechanism  
that explains smallness of  
neutrino mass

New neutrino structure  
Neutrino portal

Two types of new physics and  
partial relations

CKM type new physics

Neutrino new physics

Can be realized in seesaw I

# Another interpretation

$$U_{\text{PMNS}} = U_{\text{CKM}} + U_X$$

$$U_{\text{CKM}} = V_{\text{corr}}$$

Correction due to flavor symmetry breaking

Corrections of the order

$$\lambda = \sin \theta_c$$

Connection to quark sector is more complicated

Mixing matrix in the flavor symmetry limit

$$\text{e.g. } U_X = U_{\text{TBM}}$$

Realized in the residual discrete symmetry approach

"Sum rules" predictions

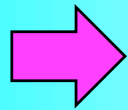
In general: no relations to masses

# Prediction:

*C. Giunti, M. Tanimoto  
H. Minakata, A Y S*

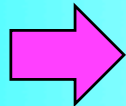
---

If  $U_X = U_{23}(\pi/2) U_{12}$  no 1-3 rotation (or very small)



$$U_{\text{PMNS}} = U_{\text{CKM}}^\dagger U_{23}(\pi/2) U_{12}$$
$$= U_{12}(\theta_c)^\dagger U_{23}(\pi/2) U_{12}$$

permutation - to reduce the lepton mixing matrix to the standard form



$$\sin^2 \theta_{13} \sim \frac{1}{2} \sin^2 \theta_c$$

$$\theta_{13} \sim \sqrt{\frac{1}{2}} \theta_c$$

can be obtained e.g. in the context of

$$U_X = \begin{cases} U_{\text{BM}} \\ U_{\text{TBM}} \\ U_{\text{GR}} \end{cases}$$

QLC (Quark-Lepton Complementarity)

TBM-Cabibbo scheme

Golden ratio (for 12 mixing)

*S. F. King et al*

Agrees well with data - in favor of the approach

# Leptons & quarks

There is no convincing explanation of quark masses and mixing

Can we solve the neutrino mass and mixing problem?

Do efforts make sense?

Yes, if

neutrino mass generation  
and charged lepton and  
quark mass generation  
are independent

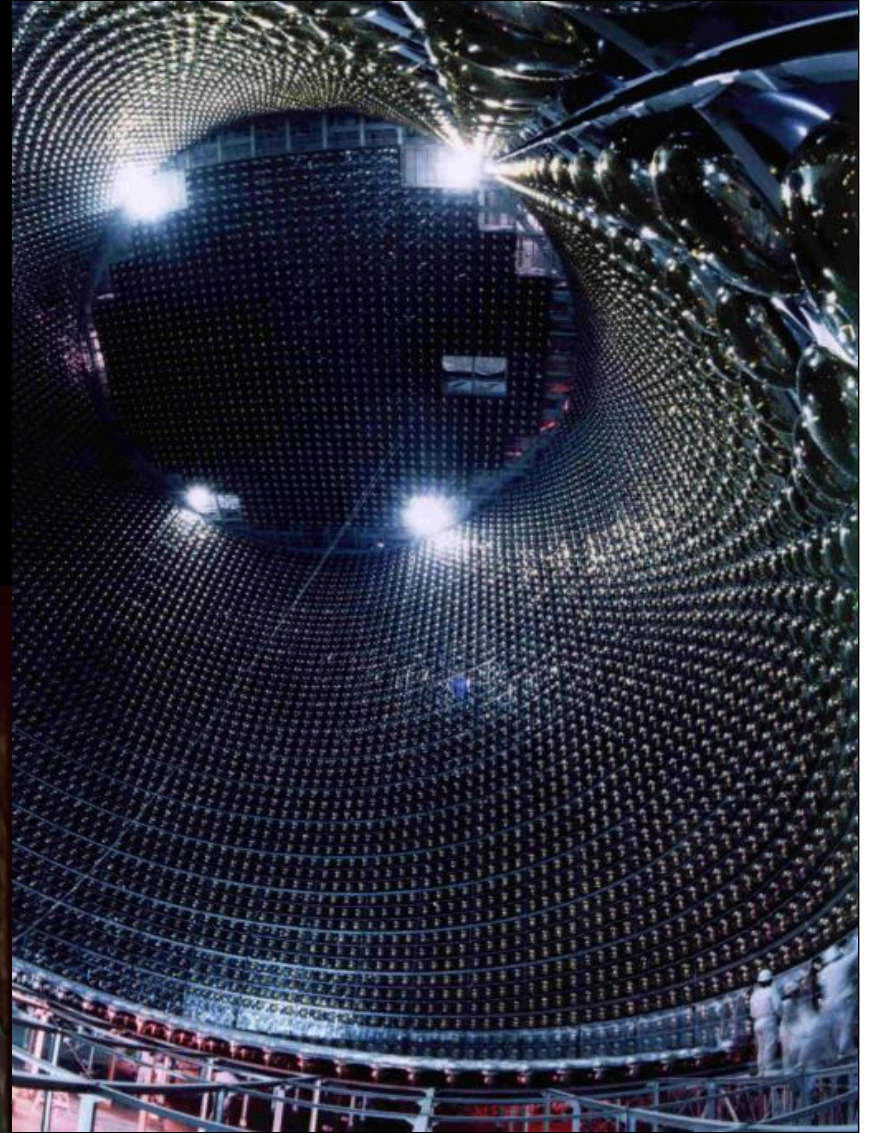
we will try to explain the  
difference of masses  
and mixing of neutrino  
and quarks, and not  
masses and mixing  
completely

we still hope (as it was  
before) that neutrinos  
will uncover something  
simple and insightful  
which will allow to solve  
the quark mass riddle



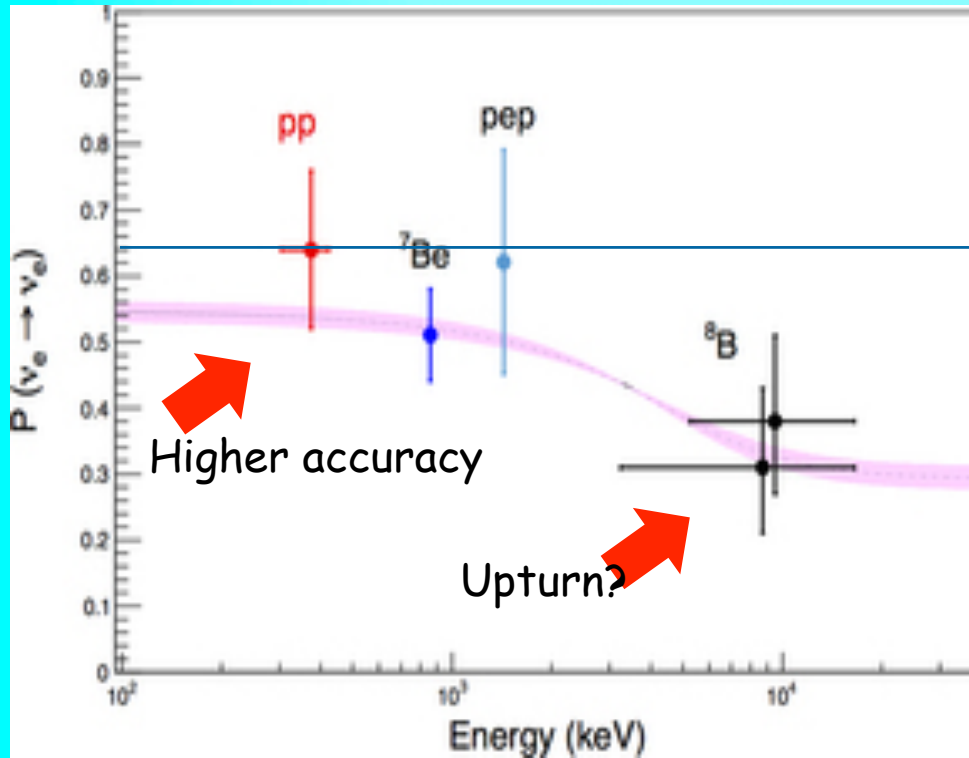


# Solar Neutrinos



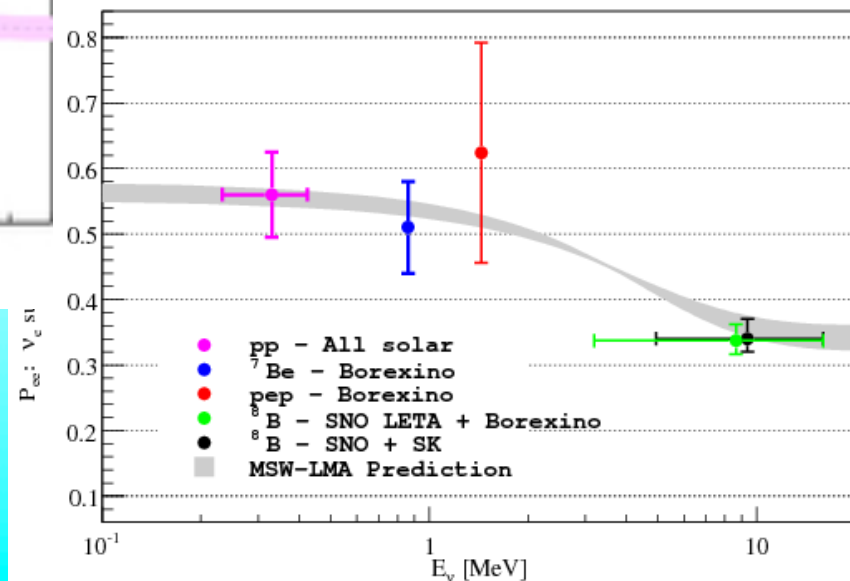
# Solar pp-neutrinos

Neutrinos from the primary  
pp-reactions in the Sun  
BOREXINO Collaboration  
(G. Bellini et al.)  
*Nature* 512 (2014) 7515, 383



Before direct pp  
-measurements

$$\frac{1}{2} \cos^4 \theta_{13} \sin^2 2\theta_{12}$$





# Day-Night effect

First Indication of Terrestrial Matter Effects on Solar Neutrino Oscillation

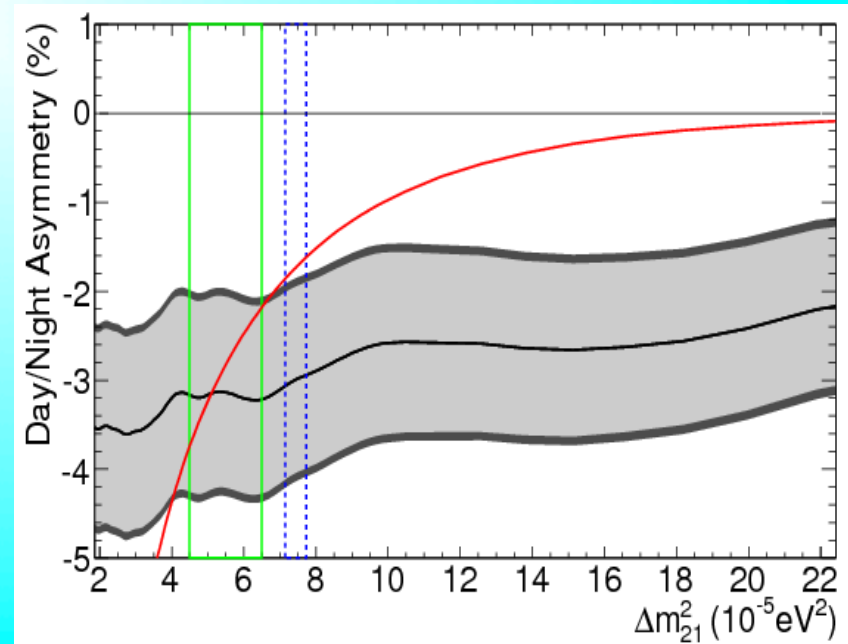
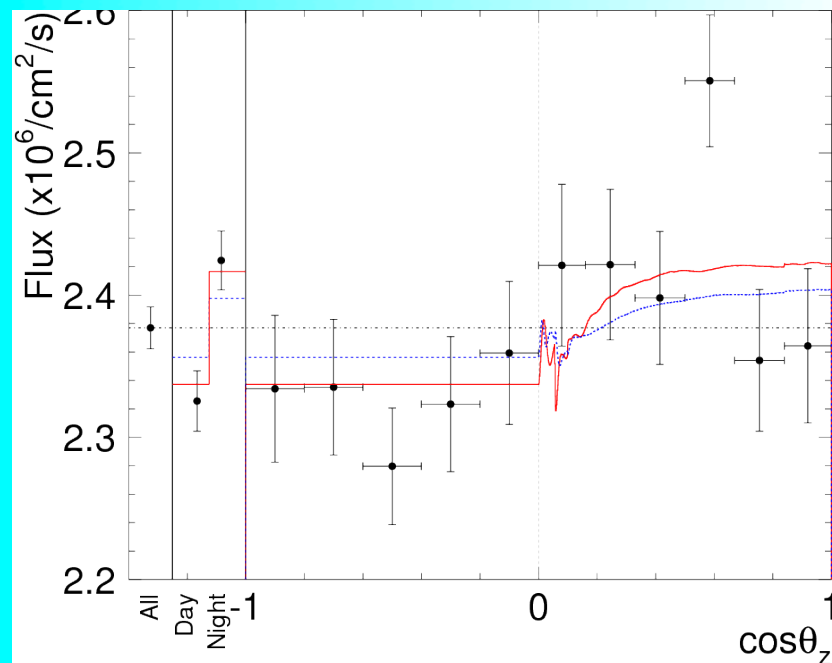
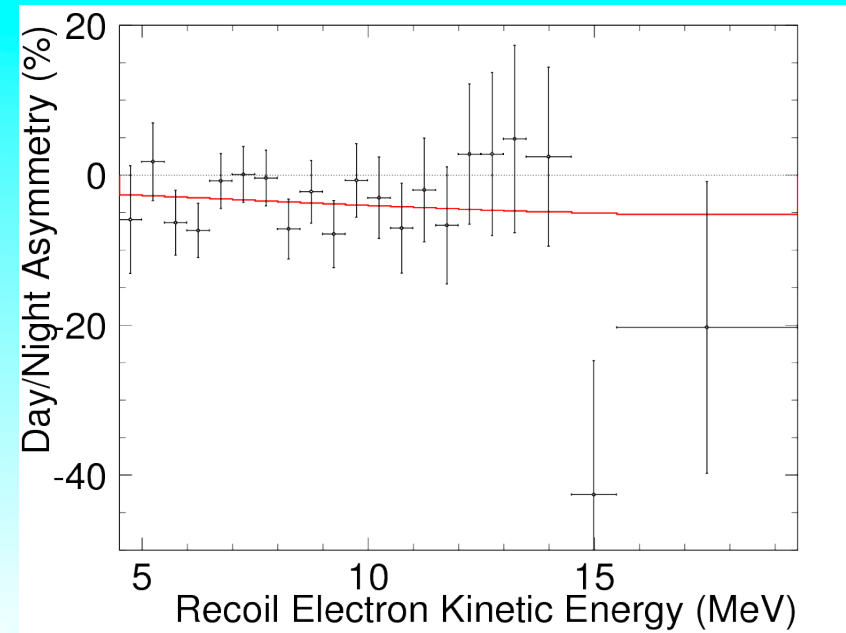
*Super-Kamiokande collaboration*

*(Renshaw, A. et al.)*

*Phys.Rev.Lett. 112 (2014) 091805 arXiv*

*:1312.5176*

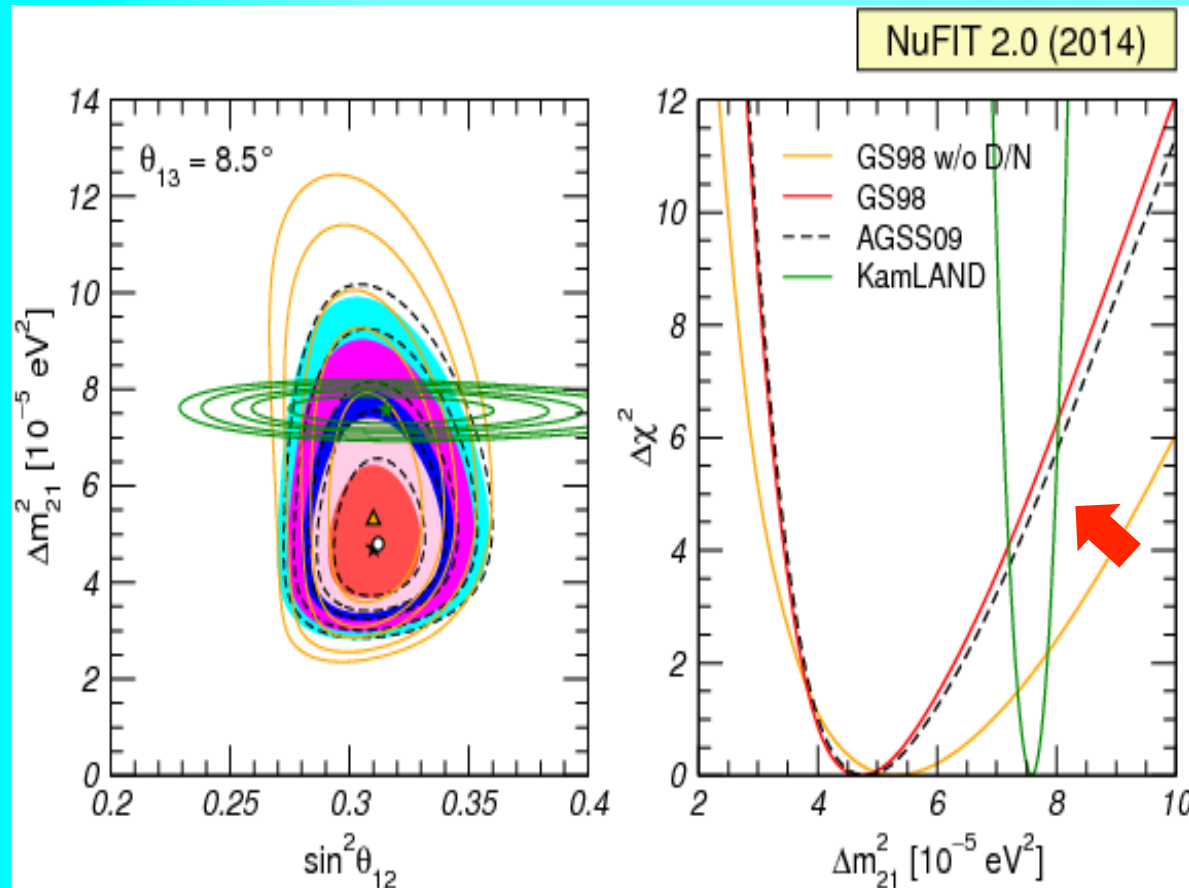
fluctuations?



# Consistency

Solar data vs KamLAND

About  $2\sigma$  discrepancy



$1\sigma$ , 90%,  $2\sigma$ , 99% and  $3\sigma$  CL (for 2 dof) allowed regions.

Full - GS98 model, bf - blackstar;  
Dashed - AGSS09 model (bf - white dot),  
Green - KamLAND;  
Orange - GS98 model, without the D-N from SK.

$\Delta \chi^2$  dependence on  $\Delta m_{21}^2$  for the same four analysis after marginalizing over  $\theta_{12}$ .

fixed  $\theta_{13} = 8.5^\circ$

Very light sterile neutrino?

*p de Holanda, AYS*

A. Suzuki: CPT violation? *K. Fujikawa, A. Tureanu, 1409.8023 [hep-ph]*

Non-local interactions in Nu portal  $\rightarrow$  CPT violation  $\rightarrow$  nu-antineu mass splitting



# Oscillations of Be neutrinos in the Earth

$$\Gamma_{Be} = 1.6 \text{ keV} \quad E = 862.27 \text{ keV}$$

$$\Gamma_{Be} / E = 1.86 \cdot 10^{-3}$$

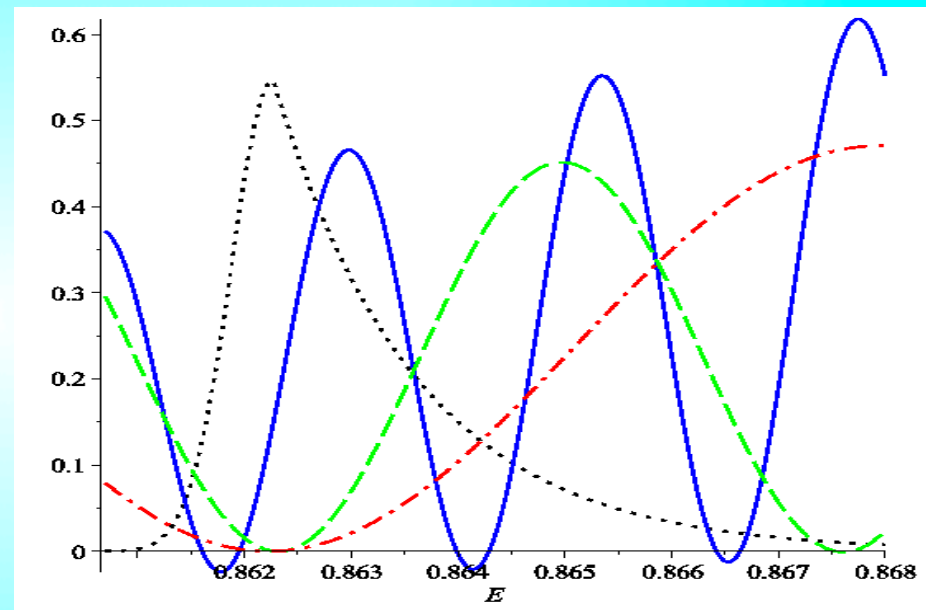
$$\Gamma_{Be} \sim \Delta E_T$$

Oscillatory period in the energy scale

$$\Delta E_T = E l_\nu / L = E \frac{l_\nu}{2 R_E \cos \eta}$$

Depending on nadir angle  $\eta$  level of averaging changes

*A. N. Ioannisian, A.Y.S. ,  
D. Wyler, to appear*



BOREXINO:

$$A_{DN} = 0.001 \pm 0.012 \text{ (stat)} \pm 0.007 \text{ (syst)}$$

# Main features

Oscillations of mass eigenstates - pure matter effect

$$\varepsilon = \frac{2VE}{\Delta m_{21}^2} = 2.4 \cdot 10^{-3} \quad (\rho/2.7 \text{ g cm}^{-3})$$

$$l_m = l_\nu = 28.5 \text{ km}$$

$$l_m = l_\nu [1 + c_{13}^2 \cos 2\theta_{12} \varepsilon + \dots] = 28.5 \text{ km}$$

Variations

$$A_P = (P - P_D)/P_D = -c_{13}^2 f(\Delta m_{21}^2, \theta_{12}, \theta_{13}) \frac{1}{2} \int_0^L dx V(x) \sin \phi_{x \rightarrow L}^m$$

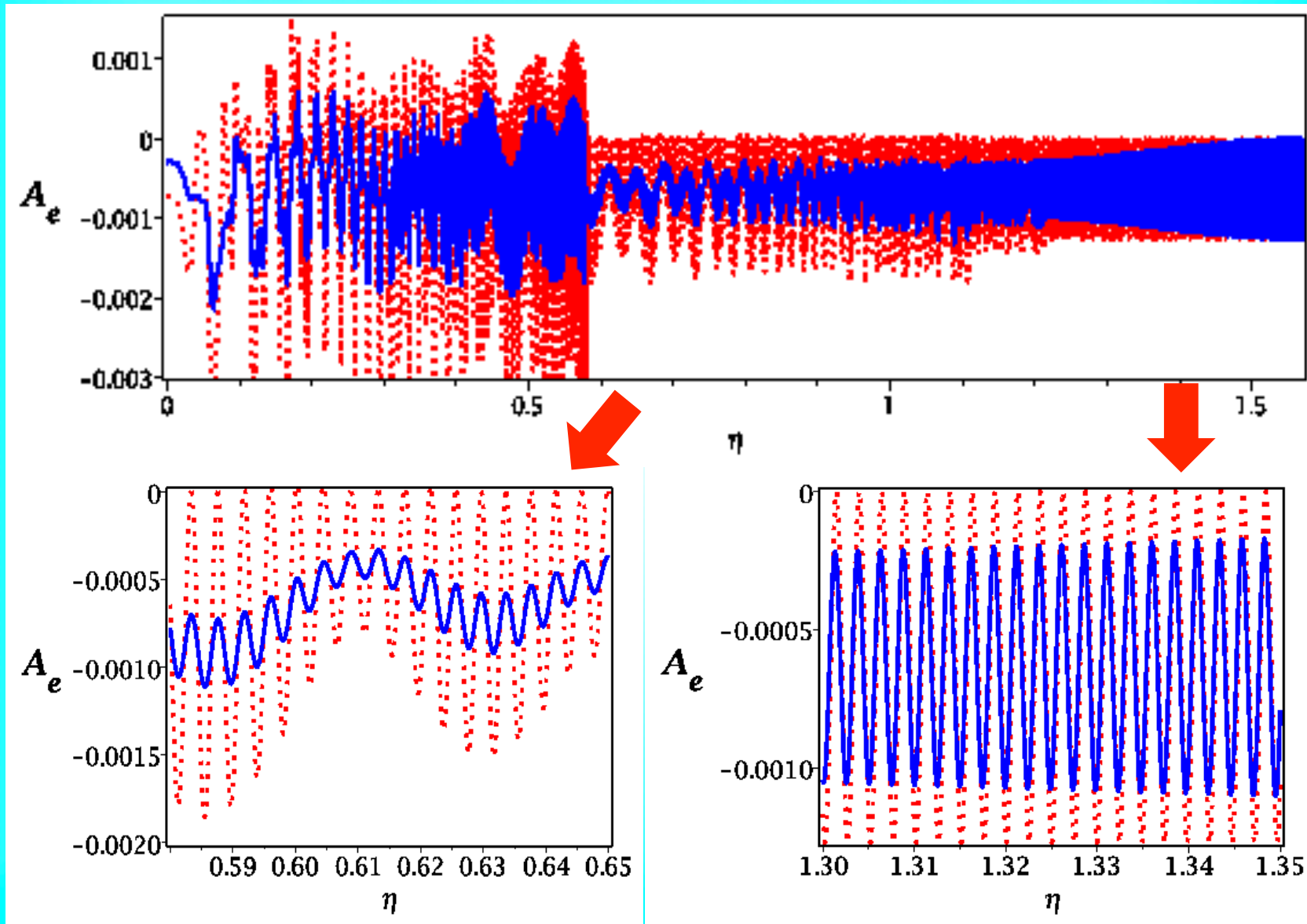
$$f = 0.43$$

Constant density

$$A_P = -c_{13}^2 \varepsilon f \sin^2 \frac{1}{2} \Delta_m L$$

$$\Delta_m = 2\pi / l_m$$

# Variations of the Be flux



# Measurements

Establishing oscillations, matter effect

Quasi-periodic variations during night

Determination of the line width

Precision measurements of  $\Delta m_{21}^2$

Tomography of the Earth interior

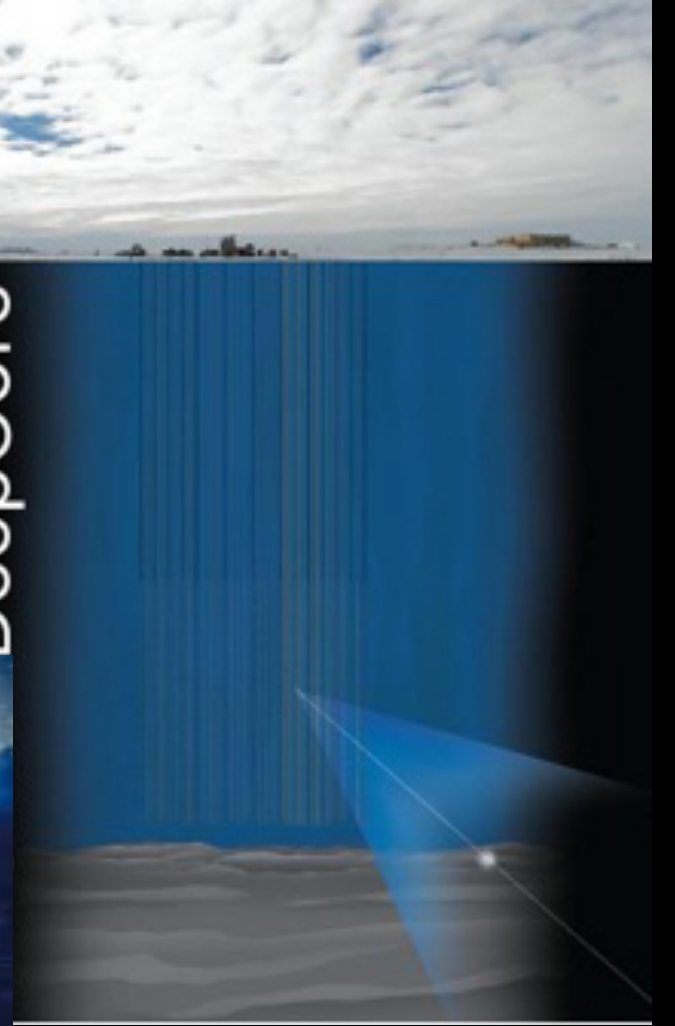
- Small scale structures, at the surface (mountains, oceans, ..)
- Non-sphericity of the Earth
- Density jumps in the mantle,
- Shape of the core,,,

Searches for sterile neutrinos

especially for  $\Delta m_{10}^2 \sim 10^{-7} \text{ eV}^2$      $\sin^2 2\theta_s \sim 10^{-2}$

# CP-violation

DeepCore





# Leptonic unitarity triangle

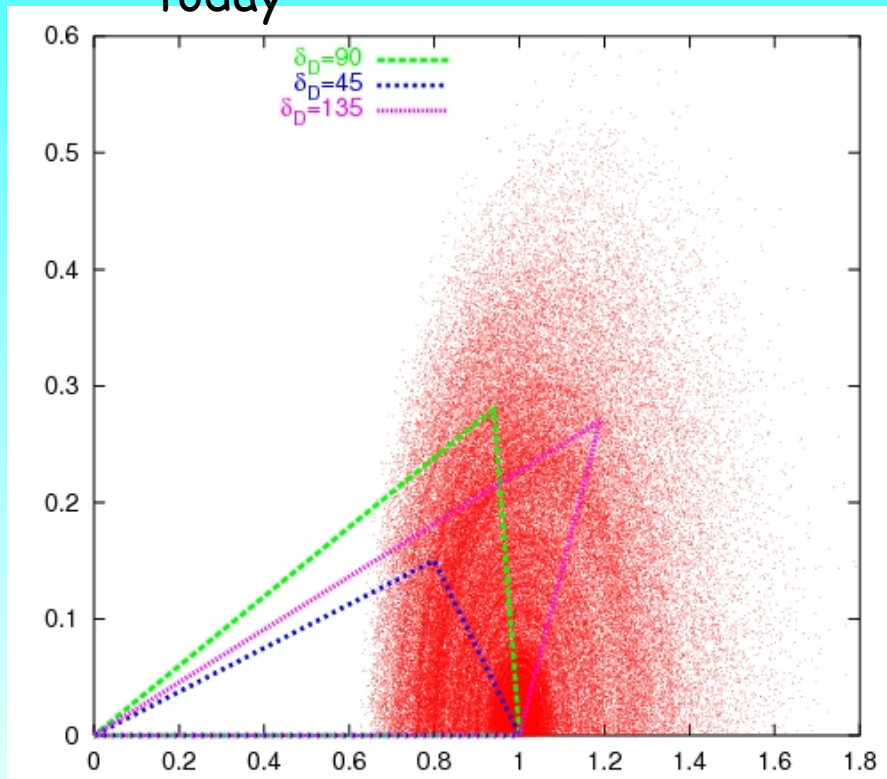
$e\mu$  - triangle

$$\sin \theta_{13} = 0.15$$

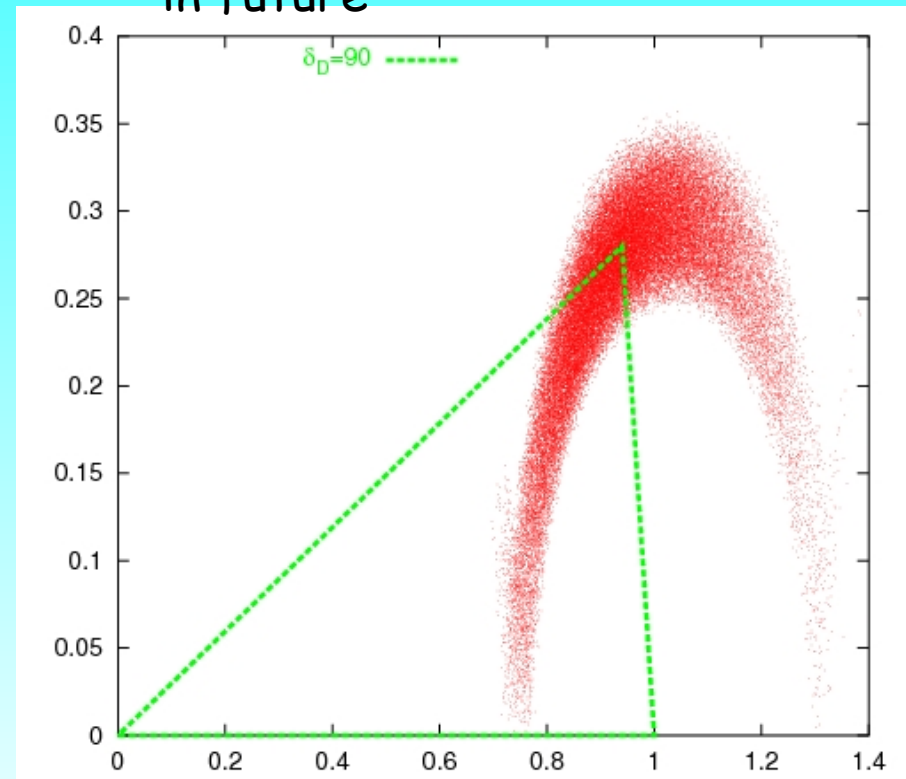
after Double Chooze, Daya Bay,  
JPARK T2K, NOvA

Neutrino 2008 *Y. Farzan, AYS*

today



in future



- illustration
- method to measure  $\delta$ , test of unitarity?

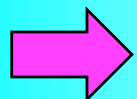
# CP-phase and the framework

$$U_{PMNS} \sim V_{CKM}^\dagger U_X$$

If the only source  
of CP violation

No CPV

*B. Dasgupta, A.S.*



$$\sin\theta_{13} \sin\delta_{CP} = (-\cos\theta_{23}) \sin\theta_{13}^q \sin\delta_q$$

$$\sin\delta_{CP} \sim \frac{\lambda}{s_{13}} \sim \lambda^2 \sim 0.046$$

$$\delta_q = 1.2 \pm 0.08 \text{ rad}$$

$$\delta_{CP} \sim -\delta \text{ or } \pi + \delta$$

$$\text{where } \delta = (s_{13}^q / s_{13}) c_{23} \sin\delta_q$$

Implications

If the phase  $\delta_{CP}$  deviates substantially from 0 or  $\pi$ , new sources of CPV beyond CKM

New sources may have specific symmetries which lead to particular values of  $\delta_{CP}$  e.g.  $-\pi/2$

# In general

*B. Dasgupta, A Y.S. ,  
Nucl.Phys. B884 (2014) 357  
1404.0272 [hep-ph]*

any value of the phase can be obtained

Also taking  $U_x$  from seesaw

In contrast to quarks for Majorana neutrinos the RH rotation that diagonalizes  $m_D$  becomes relevant and contributes to PMNS

## CPV from $U_R$

In the LR symmetric basis

minimal extension is the L- R symmetry:

$$U_R = U_L \sim V_{CKM}^*$$

and no CPV in  $M_R$

Seesaw can enhance this small CPV effect,  
so that resulting phase in PMNS is large

# Measuring CP-phase

## Global fit

T2K + NOvA  
+ reactors

J-PARC-SK

750 kw upgrade

at  $2-3\sigma$

## Dedicated experiments

J-PARC-HK

LBNF

ESS

European  
spallation  
source Lund

$3\pi/2$  from 0

$\sim 5-7\sigma$

result in 2030 - 2035

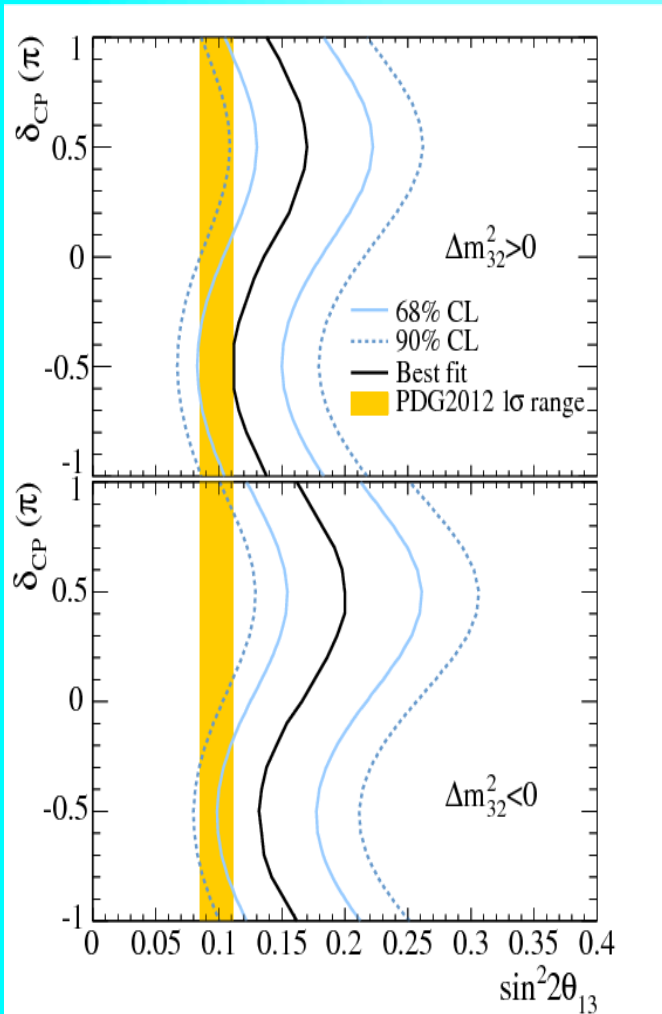
O(1) bln US\$

In this connection

DETAILS

# Before dedicated experiments?

Marginalized over 2-3 mixing



PDG (reactors)

## J-PARK (beam upgrade)

p.o.t.  $6 \cdot 10^{20} \rightarrow 7.8 \cdot 10^{21}$   
by 2018

## Super-Kamiokande

13 times higher  
statistics in  
few years



sensitivity to the CP-violating phase  $\delta_{CP}$   
at 90% C.L. or better over  
 $-115^\circ < \delta_{CP} < -60^\circ$  for NH  
 $+50^\circ < \delta_{CP} < +130^\circ$  for IH  
if  $\theta_{23} = 45^\circ$

*T2K Collaboration (K. Abe, et al.).  
arXiv:1409.7469 [hep-ex]*

## NOVA

further substantial  
improvements

Distinguishing  $-\pi/2$  from 0 at  $> 3\sigma$  level?

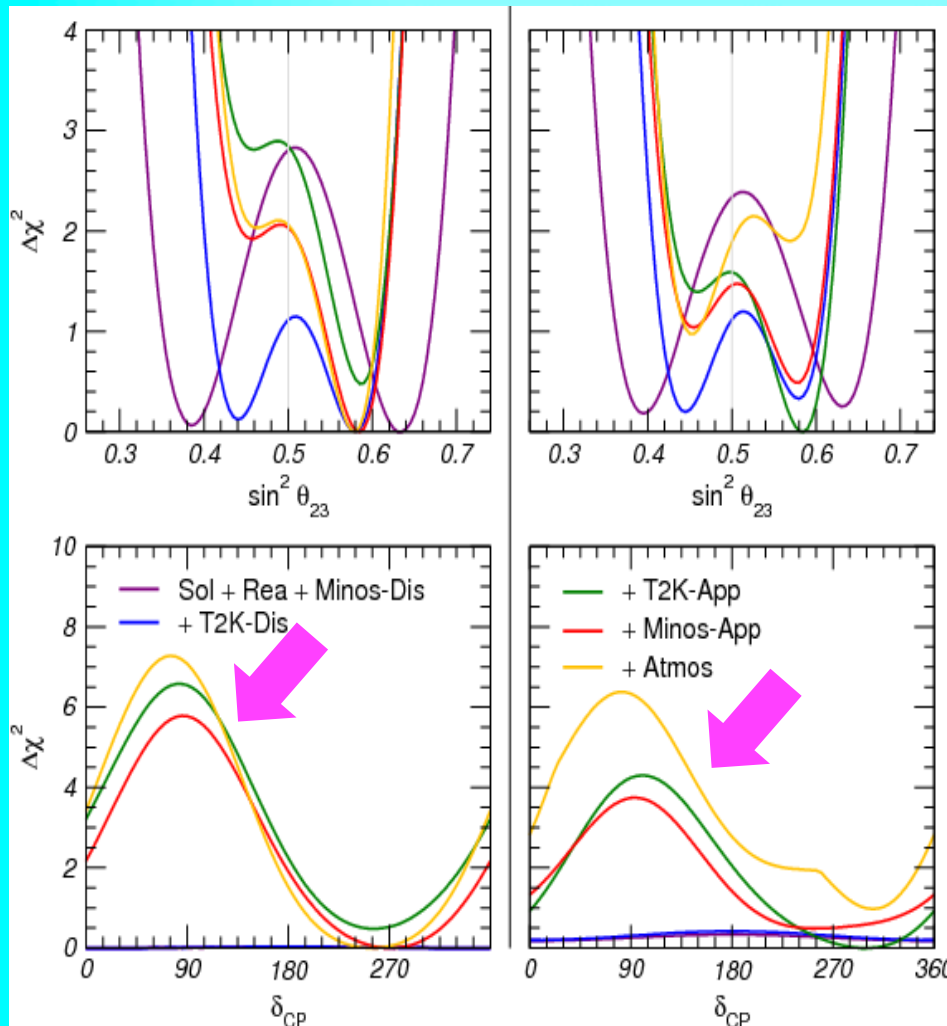


# CP-phase and 2-3 mixing

M.C. Gonzalez-Garcia, M. Maltoni,  
T. Schwetz, JHEP 1411 (2014)  
052,1409.5439 [hep-ph]

Inverted

Normal



Contribution of different sets of experimental results to the determination of the mass ordering, the octant of  $\theta_{23}$  and of the CP violating phase.

Genesis of determination

Solar  
Reactors  
MINOS dis

+ T2K - Dis

+ T2K-App

+ MINOS-App

+ Atmospheric

# Ice Cube Deep Core

100 GeV

10 - 15 GeV

1 - 3 GeV

Oscillation  
Research with  
Cosmics with the  
Abyss

0.2 - 0.5 GeV

Few Mtons in  
sub-GeV range

0.01 GeV

## PINGU

*arXiv:1401.2046*

Mass hierarchy

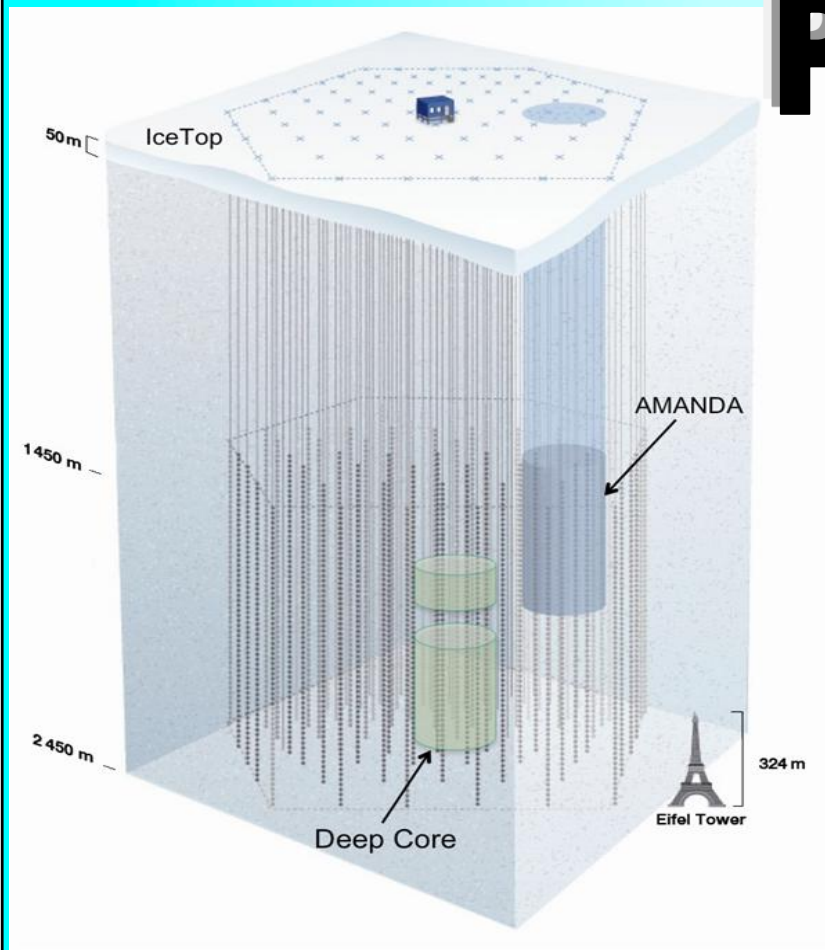
Precision  
IceCube  
Next  
Generation  
Upgrade

Super-PINGU  
-ORCA

*S. Razzaque, A.Y.S.  
1406.1407 hep-ph*

Megaton-scale  
Ice  
Cherenkov  
Array

## MICA



# Distinguishability for CP

Quick estimator (metric) of discovery potential

*E. Kh. Akhmedov,  
S. Razaque, A. Y. S.  
arXiv: 1205.7071*

For each energy-zenith  
angle bin  $ij$   
relative CP-difference

$$S_{ij} = \frac{N_{ij}^{\delta} - N_{ij}^{\delta=0}}{\sqrt{N_{ij}^{\delta=0}}}$$

no fluctuations

If is true value  $\rightarrow N_{ij}^{\delta}$  corresponds to ``true'' value of events  
 $\rightarrow N_{ij}^{\delta=0}$  ``measured'' number of events

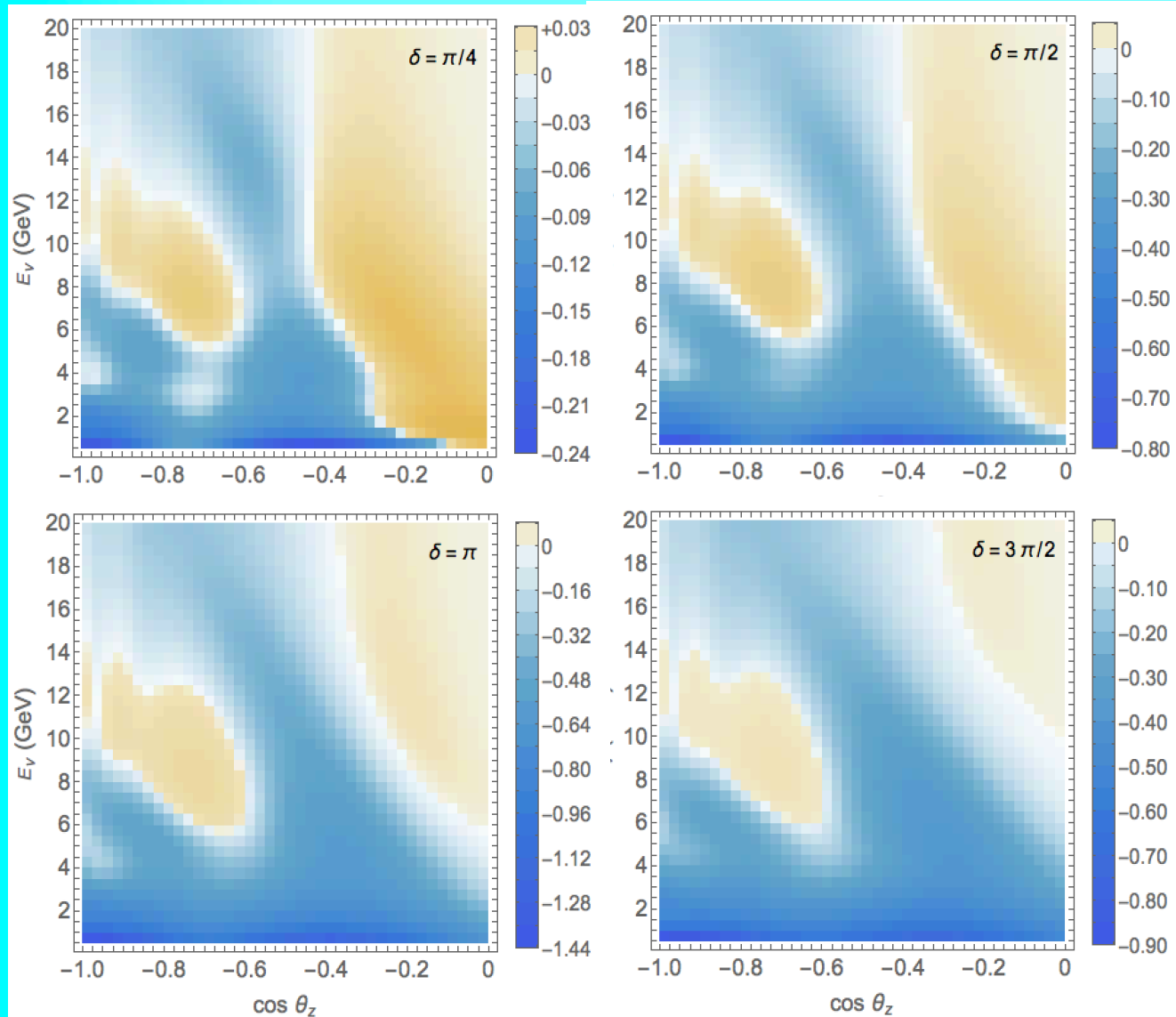
$|S_{ij}|$  - distinguishability of different values of CP-phase

Total distinguishability

$$S^{\text{tot}} = [\sum_{ij} S_{ij}^2]^{1/2}$$

# S-distributions

After smearing over  
neutrino energy and  
direction



$\nu_\mu$  - CC events  
(track + cascade)

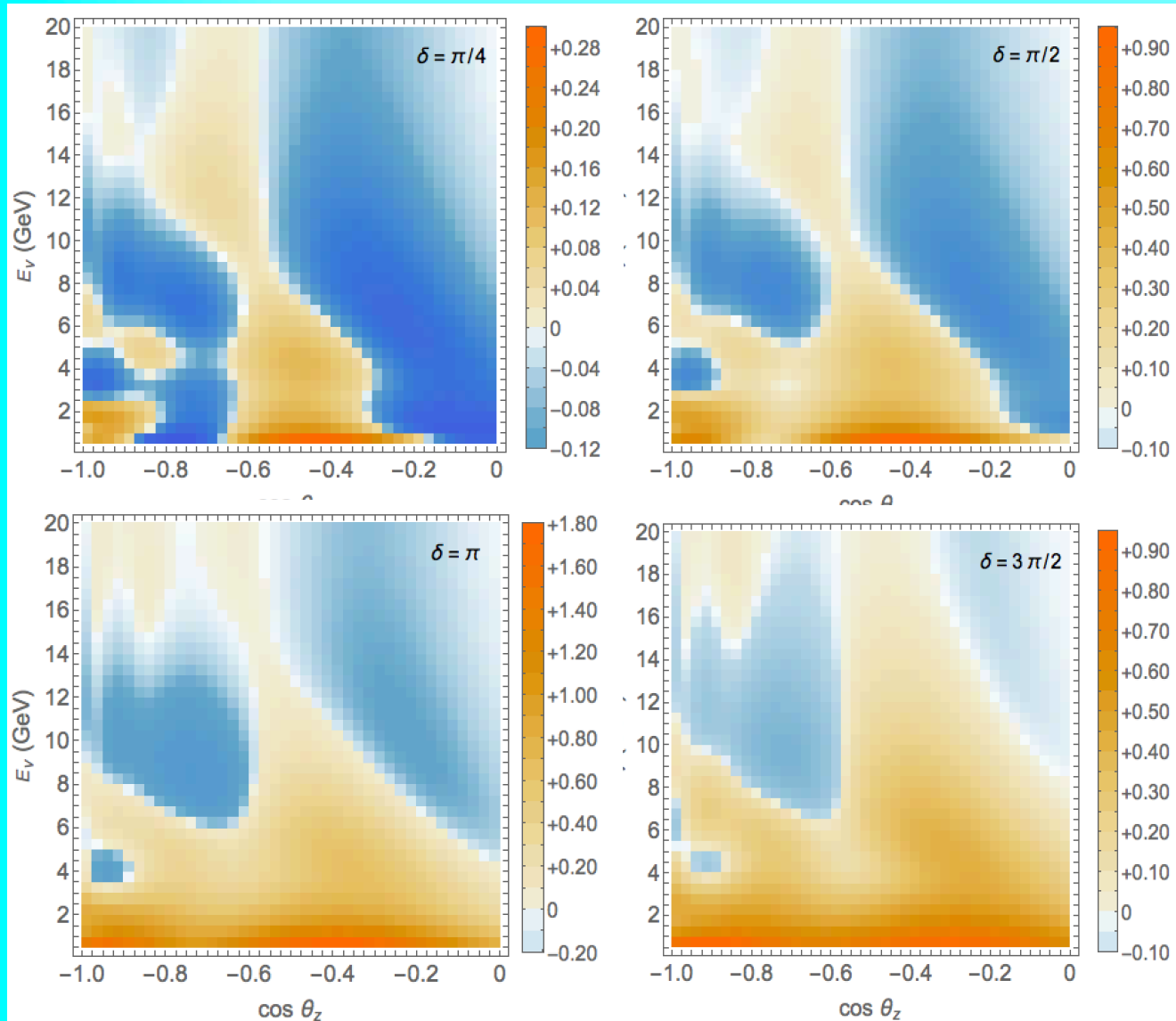
S-distributions  
for different  
values of  $\delta$

Super PINGU  
1 year

*S. Razzaque, A.Y.S.*  
*arXiv: 1406.1407 v2*  
*hep-ph*

# S-distributions

After smearing over  
neutrino energy and  
direction



$\nu_e$  - CC events  
(cascades)

S-distributions  
for different  
values of  $\delta$

Super PINGU  
1 year

*S. Razzaque, A.Y.S.  
arXiv: 1406.1407 v2  
hep-ph*

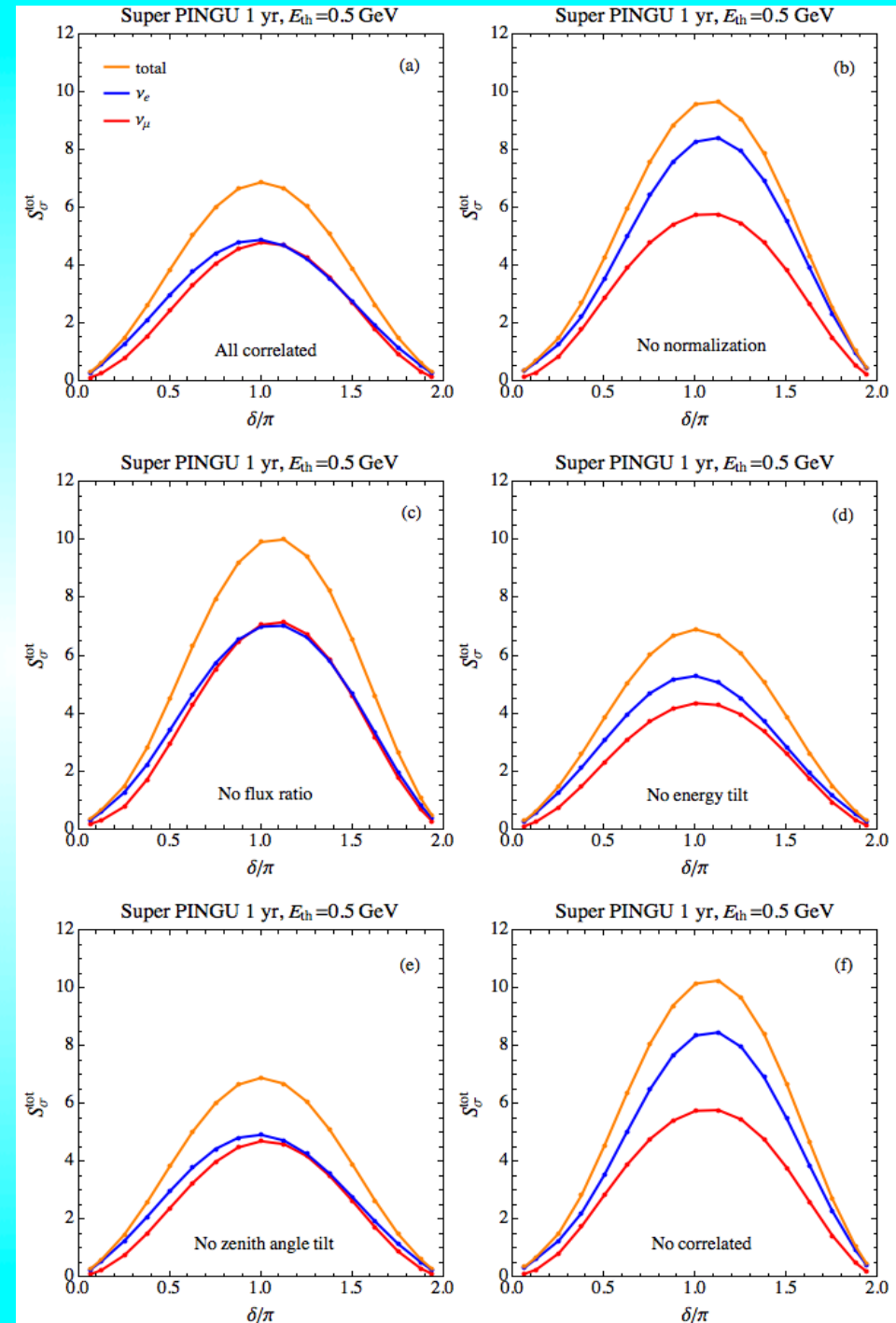


# Sensitivity of SuperPINGU

Effect of correlated systematic errors

Flavor misidentification can further reduce distinguishability by factor 1.5 - 2

Still  $S_{\sigma} \sim 3-4$  for  $\delta = \pi$  after 4 years of exposure



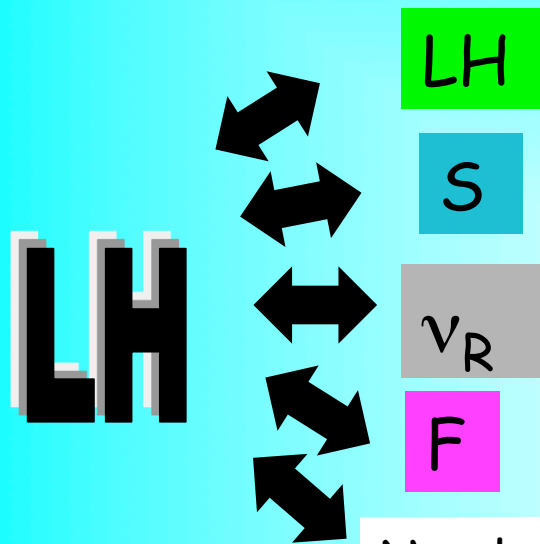
# Neutrino Portal

Prospects  
in theory



LH

# Neutrino Portal



Non-local interactions

Interactions which violated fundamental symmetries

Singlet of SM symmetry group  
 $SU(3) \times SU(2) \times U(1)$

$$\frac{1}{\Lambda^{n(F) - 3/2}} L H F$$

F is the fermionic operator

Singlet of symmetry group of hidden sector

# A GUT scenario

SO(10) GUT + hidden sector + flavor symmetries

16

$u_r, u_b, u_j, \nu$   
 $d_r, d_b, d_j, e$

$u_r^c, u_b^c, u_j^c, \nu^c$   
 $d_r^c, d_b^c, d_j^c, e^c$

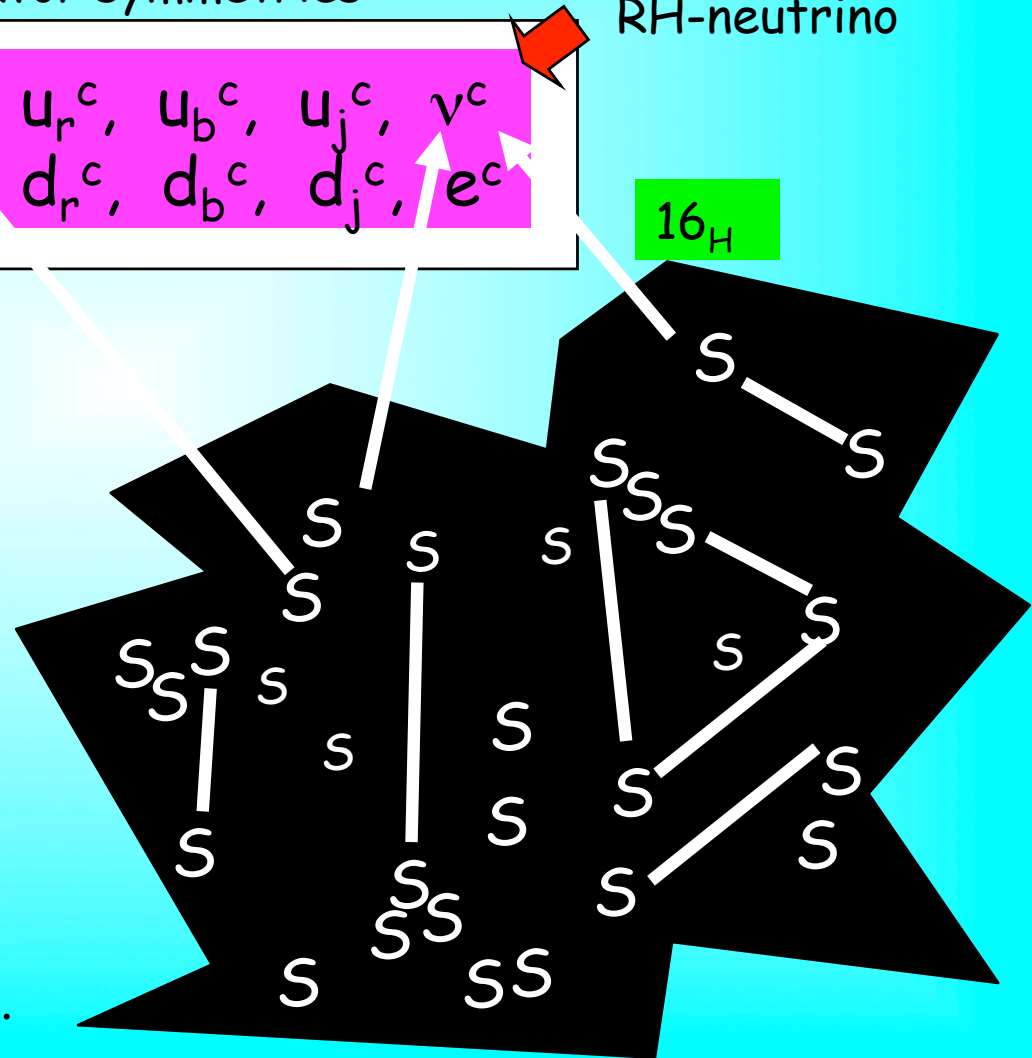
RH-neutrino

16<sub>H</sub>

(Double) seesaw →  
smallness of neutrino  
mass,  
can realize framework

$$U_{\text{PMNS}} = U_{\text{CKM}} + U_X$$

Flavor symmetries at very  
high scales, above GUT  
Symmetries in S-sector



Proton decay...

# Projecting into the Future





# Sterile Neutrinos

Checks of existence of 1 eV steriles is the must.  
... May be by smaller prize, smaller numbers of experiments.  
If results are negative?  
Where are the IceCube results on sterile?

Searches for new neutrino states (sterile, partially sterile) will continue anyway. Goal -upper bounds on mixing as function of mass. For 1 eV bound on mixing at the level  $\sin^2 \theta_{aS} < 10^{-3}$  is important to exclude substantial influence on the the 3v picture .

7 keV sterile : further checks of the 3.5 keV line,  
Does not play any role in generation of masses of light neutrinos.  
Probably not a right handed component but some new fermion on the top of 3 RH neutrinos

# LHC 14

Tests of the low scale mechanisms of neutrino mass generation

Discovery of almost any kind of new physics will have impact on neutrino physics

No new physics result is possible

## LFV-processes

Chance to see something ?

## Dedicated experiments

like SHiP

## Double beta decay searches

Are and will be of the highest priority

# Neutrinos and Dark Universe

Active area of  
research

## Connection: Neutrinos - Dark matter

will be further explored

Also possible connections to Dark radiation, Dark energy

Neutrinos as probe of Dark Universe:

High energy cosmic neutrinos,  
Relic supernova neutrinos

Very light sector  
which may include

- new scalar bosons, majoron, axions,
- new fermions (sterile neutrinos, partially sterile),
- new gauge bosons (e.g. Dark photons)
- gravitinos

## Neutrinos and Hidden/Dark Sector

Interaction via neutrino portal

New experimental techniques for low energy physics

# Mass hierarchy

Should not miss chance with PINGU, ORCA, probably with JUNO, RENO50

Implications for SN neutrinos and  $\beta\beta 0\nu$  decay, for cosmology and atmospheric neutrinos for theory.

Knowledge of H facilitates determination of  $\delta$

# 2-3 mixing

Accuracy of  $\sin^2\theta_{23}$  better than 0.05 to test various relation is required

# Multimegaton atmospheric neutrino detectors with low threshold

Enormous physics/discovery potential

Also searches for sterile neutrinos, non-standard interactions, violation of fundamental symmetries

# CP violation

J-PARK-SK, NOvA: accumulation of evidence for  $-90$  deg.?

Next: LBNF, ESS?

A possibility to measure the phase using multi-megaton scale atmospheric neutrino detectors should be explored

Specific values like  $0, \pi, \pi/2$  may have more straightforward implications (still not unique)

$\pm \pi/2$  can be related (by symmetry) with maximal 2-3 mixing, quasi-degeneracy of mass states ...

## Majorana vs. Dirac

Comparison with quark phase will be interesting  
Even in unification approach they can be very different.  
Substantial deviation of  $\delta_{CP}$  from  $0, \pi$ ,  
will testify for new sources of CP in lepton sector



# Solar Neutrinos

Day-night asymmetry

To be further studied

Upturn of spectrum

Precise measurements of the pp-neutrino flux

CNO neutrinos

Earth matter effect on Be neutrinos

Seasonal variations of Boron neutrinos in Antarctica (MICA)

# Supernova neutrinos

Hopefully a signal will arrive soon

Partially... although knowledge of 1-3 mixing simplifies many things

Still role collective effects in neutrino oscillations is not completely understood...

Lepton asymmetry in emission ?

# Theory

After 1-3 symmetry or no symmetry behind the lepton mixing and masses. Symmetry: accidental or real with new structures?

Inverted mass hierarchy, degenerate spectrum, special values of CP phase would testify for symmetry

Some new realizations of flavor symmetries?

Grand Unification, high (GUT) scale seesaw, additional hidden sector (at GUT-Planck scale) flavor symmetries at high scales - still appealing scenario

Other possibilities: scales on new physics, mechanisms of neutrino mass generation, etc. are possible

No simple solution is expected and different type of new physics (e.g. CKM new physics and neutrino new physics) can be involved

New experimental input is needed for further progress!

**Backup**