

# Scale vs. Conformal Invariance in Holography with Higher Derivative Corrections

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Firenze, 15 aprile 2015

Based on work in progress with Kostas Skenderis

Related work includes

[Polchinski (1988); Osborn (1991); Dorigoni, Rychkov (2009); de Boer, Kulaxizi, Parnachev (2009); Luty, Polchinski, Rattazzi (2012); Dymarsky, Komargodski, Schwimmer, Theisen (2013); Nakayama (2011, 2013); Bzowski, Skenderis (2014); Dymarsky, Farnsworth, Komargodski, Luty, Prilepina (2014)...]

- There are real-world critical phenomena about which we do not know if the corresponding fixed point is conformally or "only" scale invariant
- Do CFTs exhaust all possible second order phase transitions?

- Field Theory Formulation
- Holographic Approach

# Dilatation and Virial currents

In a **CFT** the conserved current associated to a conformal Killing vector  $\xi^\mu$  is given by  $j^\mu = T_\nu^\mu \xi^\nu$ . Dilatation current

$$j^\mu = T_\nu^\mu x^\nu.$$

In a **scale invariant theory** the stress-energy tensor is not traceless. The dilatation current is

$$j^\mu = T_\nu^\mu x^\nu + V^\mu,$$

with

$$0 = \partial_\mu j^\mu = T_\mu^\mu + \partial_\mu V^\mu.$$

The virial current  $V^\mu$  is not conserved.

## Criterion

If

$$V^\mu = \partial^\mu L,$$

where  $L$  is a **local** operator, then the stress-energy tensor can be improved and made traceless. Thus in this case the theory is conformal.

## Scaling anomaly

In 4d scale invariant theory allows more general anomaly when coupled to the background metric:

$$T_{\mu}^{\mu} = aE_4 - cWeyl^2 + eR^2.$$

Presence of the  $R^2$  term in the trace anomaly is a clear signal of a scale but not conformally invariant field theory.

Under the assumptions of locality and [unitarity](#):

- In 2D scale invariance implies conformal invariance ([[Polchinski \(1988\)](#)]).
- In 4D there are strong arguments that scale invariance implies conformal invariance [[Dymarsky, Komargodski, Schwimmer, Theisen \(2013\)](#)]
- In higher even dimensions or in odd dimensions - answer unknown



The natural questions are:

- Can we use holography to prove "scale  $\Rightarrow$  conformal"?
- Can we construct examples of scale but not conformally invariant theories?
- What is the holographic dual of the virial current?

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- $R^2$  anomaly in Einstein-Hilbert gravity?
- **NO!** Analysis by [Henningson, Skenderis (1998) ] demonstrated that no  $R^2$  anomaly may appear if the gravitational sector is described by Einstein-Hilbert gravity.

Generic gravitational theories with the higher derivative corrections are believed to be dual to **non-unitary** field theories. There are known examples of non-unitary scale invariant theories [Riva, Cardy (2005); El-Showk, Nakayama, Rychkov (2011)]. **Can one construct a holographic example of non-unitary scale invariant theory using  $R^2$  type corrections?**

$$S = \frac{1}{16\pi G_{d+1}} \int d^{d+1}x \sqrt{-G} \left[ R + \frac{d(d-1)}{L^2} + L^2(\lambda_1 R_{abcd} R^{abcd} + \lambda_2 R_{ab} R^{ab} + \lambda_3 R^2) \right].$$

Our goal here is to perform the Fefferman-Graham type analysis of this theory

As clarified in [Skenderis, Taylor, van Rees (2009)] and emphasized in [Smolic, Taylor (2013)] the higher derivative terms in the action generically lead to the new degrees of freedom. These have to be taken care of when setting up the variational problem. Imposing  $\delta g = 0$  at the boundary of AdS is not enough to set the variational problem. Other sources have to be fixed as well.

Looking for a solution of the form

$$ds^2 = dr^2 + e^{2r/l} \eta_{ij},$$

we find a fourth order characteristic equation for the radius of AdS  $l$ . The solution is

$$\frac{4}{l^2} = \frac{1 \pm \sqrt{1 - 64\lambda}}{8\lambda L^2}$$

with

$$\lambda = \frac{d-3}{8(d-1)} \left( \lambda_1 + \frac{d}{2} \lambda_2 + \frac{d(d+1)}{2} \lambda_3 \right).$$

The smaller root is continuously connected to the pure AdS solution of Einstein's gravity. A priori the other mode may lead to nonunitarity and possibly to a scale (but not conformal) anomaly.

We parametrize the metric as

$$ds^2 = dr^2 + e^{2r/l} g_{ij}(x, r) dx^i dx^j,$$
$$g_{ij}(x, r) = g_{(0)ij}(x, r) + e^{-2r/l} g_{(2)ij}(x, r) + e^{-4r/l} g_{(4)ij}(x, r) + \dots$$



At the next to leading order we find

$$g(\lambda_i)(l^2 R + 2(d-1)\text{tr}(g_{(2)})) = 0,$$

If

$$g(\lambda_i) = 0 \implies \text{Degeneracy.}$$

In non-degenerate cases we get

$$g_{(2)ij} = \frac{1}{d-2} \left( \frac{R_{(0)}}{2(d-1)} g_{(0)ij} - R_{(0)ij} \right)$$

which is the same as in the Einstein-Hilbert gravity!

## Some of the results

For the  $\text{tr}(g_{(4)})$  we obtain the equation (in the non-degenerate case)

$$f(\lambda_i) \left[ \text{tr}(g_{(2)}^2) - 4\text{tr}(g_{(4)}) \right] - \frac{l^2 L^2}{d-1} \lambda_1 \text{Weyl}_{(0)}^2 = 0$$

This corresponds to a shift in the  $c$  coefficient of the (conformal) trace anomaly:

$$\langle T_\mu^\mu \rangle = aE_4 - c\text{Weyl}^2, \quad a \neq c.$$

Interestingly only the  $Riem^2$  term in the action contributes to this shift [see also Nojiri, Odintsov (1999); Blau, Gava, Narain (1999); Schwimmer, Theisen (2003)].

Chern-Simons gravity in 5D is a special Lovelock type gravity ( $-4\lambda_1 = -4\lambda_3 = \lambda_2 = \lambda_*$ ) such that the two AdS vacua degenerate ( $\lambda = 1/64$ ).

The coefficients

$$g_{(2)ij}, \quad \text{tr}(g_{(4)}), \quad \nabla^j g_{(4)ij}$$

are not determined by the near-boundary analysis!

What is the holographic interpretation of this phenomenon?

- There are solutions in CS gravity involving arbitrary undetermined functions [J.T. Wheeler (1986); Charmousis, J.-F. Dufaux (2002); ...]
- There are no standard perturbative expansion around general solutions
- From the Hamiltonian point of view CS gravity is a 'degenerate' and 'irregular' system.

# Conclusions and Open Questions

- The asymptotic structure of solutions in gravity with higher curvature corrections is very rich
- In the non-degenerate cases only conformal field theories are realized.
- Degenerate cases represent a challenge on its own
- Variational problem in the presence of higher curvature corrections?
- What is the holographic dual of a virial current?