

# Quantum scale invariance and naturalness

Mikhail Shaposhnikov

## Topical Workshop in Frascati: Rethinking Naturalness

19 December 2014

- M.S., D. Zenhäusern, Phys. Lett. B **671** (2009) 162
- M.S., D. Zenhäusern, Phys. Lett. B **671** (2009) 187
- J. García-Bellido, J. Rubio, M.S., D. Zenhäusern, Phys. Rev. D **84** (2011) 123504
- J. García-Bellido, J. Rubio, M.S., Phys. Lett. (2012)
- F. Bezrukov, G. K. Karananas, J. Rubio and M.S., Phys. Rev. D **87**, 096001 (2013)
- R. Armillis, A. Monin and M.S., JHEP **1310**, 030 (2013)
- A. Monin and M. S., Phys. Rev. D **88**, 067701 (2013)
- F. Bezrukov, J. Rubio and M.S., ArXiv:1412.3811

# Outline

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- Classical scale invariance ?
- Exact quantum scale invariance
- Naturalness and quantum scale invariance
- The minimal model - scale invariant  $\nu$ MSM
- Cosmology and phenomenology of the minimal model
- Conclusions

# Classical scale invariance?

# Why scale invariance?

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If the mass of the Higgs boson is put to **zero** in the SM, the Lagrangian has a wider symmetry: it is scale and conformally invariant:

**Dilatations** - global scale transformations ( $\sigma = \text{const}$ )

$$\Psi(x) \rightarrow \sigma^n \Psi(\sigma x) ,$$

$n = 1$  for scalars and vectors and  $n = 3/2$  for fermions.

It is tempting to use this symmetry for solution of the hierarchy problem

# Different approaches

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- Lagrangian is invariant at the classical level, and scale symmetry is broken by quantum corrections a'la Coleman-Weinberg

Meissner, Nicolai; Iso, Okada, Orikasa; Boyle, Farnsworth, Fitzgerald, Schade; Salvio, Strumia

Simplest theory realising this idea, without gravity Iso, Okada, Orikasa :  $SU(3) \times SU(2) \times U(1) \times U(1)_{B-L}$ : Standard Model, one extra vector field - gauging of  $B - L$ , one extra complex scalar field  $\chi$  with lepton number 2, 3 right-handed neutrinos.

## Attractive features:

- Coleman-Weinberg mechanism works (it does not work in the SM)
- Quantisation of electric charges comes from requirement of cancellation of anomalies
- Phenomenology is OK: neutrino masses are generated, dark matter candidate, baryogenesis, possibilities for inflation

Main problem: inclusion of gravity in a scale-invariant way, to be consistent with the starting idea **Iso, Kengo, MS**

Simplest possibility – non-minimal coupling of  $\chi$  to Ricci scalar,  $|\chi|^2 R$  requires  $\langle \chi \rangle \sim M_P$ , and thus the small couplings to the SM fields, and thus to cosmological moduli problem: light scalar that have no time to setup in the Coleman-Weinberg ground state

More complicated theory, **Salvio, Strumia** - gravity with higher derivatives.

Attractive features:

- Renormalisable theory
- Coleman-Weinberg mechanism works (it does not work in the SM)
- Inflation

Main problem: ghost in the gravitational sector,

$$M_{gh} \simeq 3 \times 10^{10} \text{ GeV} \ll M_P$$

the theory is unstable



# Exact quantum scale invariance

# Quantum scale invariance

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Common lore: quantum scale invariance does not exist, divergence of dilatation current is not-zero due to quantum corrections:

$$\partial_\mu J^\mu \propto \beta(g) G_{\alpha\beta}^a G^{\alpha\beta a},$$

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Known exceptions - not realistic theories like N=4 SYM

Does not make any sense to talk about it?

# Toy model

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Classically scale-invariant Lagrangian

$$\mathcal{L} = \frac{1}{2}(\partial_\mu h)^2 + \frac{1}{2}(\partial_\mu \chi)^2 - V(\varphi, \chi)$$

Potential (  $\chi$  - “dilaton”,  $\varphi$  - “Higgs”):

$$V(\varphi, \chi) = \frac{\lambda}{4} \left( h^2 - \frac{\alpha}{\lambda} \chi^2 \right)^2 + \beta \chi^4,$$

$\beta < 0$  : vacuum is unstable

$\beta = 0$  : flat direction,  $h^2 = \frac{\alpha}{\lambda} \chi^2$ . Choice of parameters:

$\alpha \sim \left( \frac{M_W}{M_P} \right)^2 \sim 10^{-32}$ , to get the Higgs-Planck hierarchy correctly.

# Standard reasoning

Dimensional regularisation  $d = 4 - 2\epsilon$ ,  $\overline{MS}$  subtraction scheme:

mass dimension of the scalar fields:  $1 - \epsilon$ ,

mass dimension of the coupling constant:  $2\epsilon$

Counter-terms:

$$\lambda = \mu^{2\epsilon} \left[ \lambda_R + \sum_{k=1}^{\infty} \frac{a_k}{\epsilon^k} \right] ,$$

$\mu$  is a dimensionful parameter!!

One-loop effective potential along the flat direction:

$$V_1(\chi) = \frac{m_H^4(\chi)}{64\pi^2} \left[ \log \frac{m_H^2(\chi)}{\mu^2} - \frac{3}{2} \right] ,$$



**Result:** explicit breaking of the dilatation symmetry. Dilaton acquires a nonzero mass due to radiative corrections.

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**Idea:** Replace  $\mu^{2\epsilon}$  by combinations of fields  $\chi$  and  $h$ , which have the correct mass dimension:

$$\mu^{2\epsilon} \rightarrow \chi^{\frac{2\epsilon}{1-\epsilon}} F_\epsilon(x) ,$$

where  $x = h/\chi$ .  $F_\epsilon(x)$  is a function depending on the parameter  $\epsilon$  with the property  $F_0(x) = 1$ .

Zenhäusern, M.S

Englert, Truffin, Gastmans, 1976

# Example of computation

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“Natural choice”, to be explained below:

$$\mu^{2\epsilon} \rightarrow [\omega^2]^{\frac{\epsilon}{1-\epsilon}}, (\xi_\chi \chi^2 + \xi_h h^2) \equiv \omega^2$$

Potential:

$$U = \frac{\lambda_R}{4} [\omega^2]^{\frac{\epsilon}{1-\epsilon}} [h^2 - \zeta_R^2 \chi^2]^2,$$

Counter-terms

$$U_{cc} = [\omega^2]^{\frac{\epsilon}{1-\epsilon}} \left[ Ah^2 \chi^2 \left( \frac{1}{\bar{\epsilon}} + a \right) + B \chi^4 \left( \frac{1}{\bar{\epsilon}} + b \right) + Ch^4 \left( \frac{1}{\bar{\epsilon}} + c \right) \right],$$

To be fixed from conditions of absence of divergences and presence of spontaneous breaking of scale-invariance

$$\begin{aligned}
U_1 = & \frac{m^4(h)}{64\pi^2} \left[ \log \frac{m^2(h)}{v^2} + \mathcal{O}(\zeta_R^2) \right] \\
& + \frac{\lambda_R^2}{64\pi^2} [C_0 v^4 + C_2 v^2 h^2 + C_4 h^4] + \mathcal{O}\left(\frac{h^6}{\chi^2}\right),
\end{aligned}$$

where  $m^2(h) = \lambda_R(3h^2 - v^2)$  and

$$C_0 = \frac{3}{2} \left[ 2a - 1 + 2 \log \left( \frac{\zeta_R^2}{\xi_\chi} \right) + \frac{4}{3} \log 2\lambda_R + \mathcal{O}(\zeta_R^2) \right],$$

$$C_2 = -3 \left[ 2a - 3 + 2 \log \left( \frac{\zeta_R^2}{\xi_\chi} \right) + \mathcal{O}(\zeta_R^2) \right],$$

$$C_4 = \frac{3}{2} \left[ 2a - 5 + 2 \log \left( \frac{\zeta_R^2}{\xi_\chi} \right) - 4 \log 2\lambda_R + \mathcal{O}(\zeta_R^2) \right].$$

## Origin of $\Lambda_{QCD}$

Consider the high energy ( $\sqrt{s} \gg v$  but  $\sqrt{s} \ll \chi_0$ ) behaviour of scattering amplitudes on the example of Higgs-Higgs scattering (assuming, that  $\zeta_R \ll 1$ ). In one-loop approximation

$$\Gamma_4 = \lambda_R + \frac{9\lambda_R^2}{64\pi^2} \left[ \log \left( \frac{s}{\xi_\chi \chi_0^2} \right) + \text{const} \right] + \mathcal{O}(\zeta_R^2) .$$

This implies that at  $v \ll \sqrt{s} \ll \chi_0$  the effective Higgs self-coupling runs in a way prescribed by the ordinary renormalization group!

For QCD:

$$\Lambda_{QCD} = \chi_0 e^{-\frac{1}{2b_0\alpha_s}}, \quad \beta(\alpha_s) = b_0\alpha_s^2$$

**Almost trivial statement - by construction:** Quantum effective action is **scale** invariant in all orders of perturbation theory.

**Less trivial statement** **Gretsch, Monin:** Quantum effective action is **conformally** invariant in all orders of perturbation theory.

The main problem with this construction: theory is **not renormalisable**, one needs to add infinite number of counter-terms.

However:

- For  $\alpha \ll 1$  all counter-terms are suppressed by the dimensionful parameter  $\langle \chi \rangle$
- We get an effective field theory valid up to the energy scale fixed by  $\langle \chi \rangle$
- Gravity is non-renormalisable anyway, and making  $\langle \chi \rangle \sim M_P$  does not make a theory worse

# Hierarchy problem

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For  $\alpha = \beta = 0$  the classical Lagrangian has an extra symmetry :  $\chi \rightarrow \chi + \text{const}$ . Therefore, there are no large perturbative corrections to the Higgs mass: those proportional to  $\chi$  contain necessarily  $\alpha$  or  $\beta$ , those proportional to  $\lambda$  contain only **logs** of  $\chi$ . This construction leads to “natural” hierarchy  $\chi \gg h$ . However, no explanation of why  $\alpha \ll 1$ .



Important ingredient for naturalness: almost exact shift symmetry.

Requirement of the shift symmetry  $\equiv$  requirement of **absence** of heavy particles with sufficiently strong interaction with the Higgs field and the dilaton, e.g.

$$\lambda_h h^2 \phi^2 + \lambda_\chi \chi^2 \phi^2$$

$\lambda_h \sim \lambda_\chi \sim 1$  spoils the argument!

Conjecture: natural theory should not have heavy particles between the Fermi and Planck scales

# Inclusion of gravity

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Planck scale: through non-minimal coupling of the dilaton to the Ricci scalar,

Gravity part

$$\mathcal{L}_G = - (\xi_\chi \chi^2 + \xi_h h^2) \frac{R}{2} ,$$

This term, for  $\xi_\chi \sim 1$ , does break the shift symmetry. However, this is a coefficient in front of graviton kinetic term. Since the graviton stays massless in any constant scalar background, the perturbative computations of gravitational corrections to the Higgs mass in scale-invariant regularisation are suppressed by  $M_P$ . There are no corrections proportional to  $M_P$ !

# Consequences

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- The dilaton is massless in all orders of perturbation theory
- Since it is a Goldstone boson of spontaneously broken symmetry it has only derivative couplings to matter (**inclusion of gravity is essential!**)
- Fifth force or Brans-Dicke constraints are not applicable to it

# Problems

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- What happens beyond perturbation theory?
- What leads to selection of parameter  $\beta = 0 \equiv$  existence of flat direction  $\equiv$  absence of the cosmological constant ?
- Unitarity and high-energy behaviour: What is the high-energy behaviour ( $E > M_{Pl}$ ) of the scattering amplitudes? Is the theory unitary? Can it have a scale-invariant UV completion?

# The minimal model - scale invariant $\nu$ MSM



# Particle content

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Particles of the SM

+

graviton

+

dilaton

+

3 Majorana leptons

## Scale-invariant Lagrangian

$$\mathcal{L}_{\nu\text{MSM}} = \mathcal{L}_{\text{SM}[M \rightarrow 0]} + \mathcal{L}_G + \frac{1}{2}(\partial_\mu \chi)^2 - V(\varphi, \chi) \\ + (\bar{N}_I i \gamma^\mu \partial_\mu N_I - h_{\alpha I} \bar{L}_\alpha N_I \tilde{\varphi} - f_I \bar{N}_I^c N_I \chi + \text{h.c.}) ,$$

Potential (  $\chi$  - dilaton,  $\varphi$  - Higgs,  $\varphi^\dagger \varphi = 2h^2$ ):

$$V(\varphi, \chi) = \lambda \left( \varphi^\dagger \varphi - \frac{\alpha}{2\lambda} \chi^2 \right)^2 + \beta \chi^4 ,$$

Gravity part

$$\mathcal{L}_G = - (\xi_\chi \chi^2 + 2\xi_h \varphi^\dagger \varphi) \frac{R}{2} ,$$

# Roles of different particles

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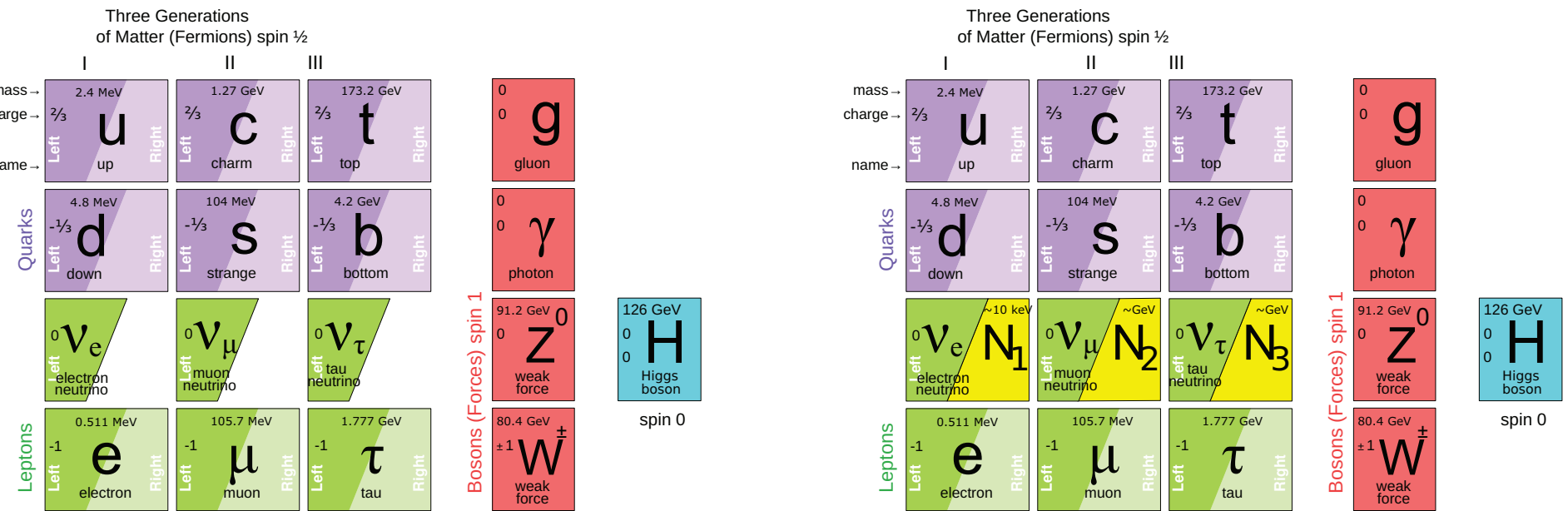
## The roles of dilaton:

- determine the Planck mass
- give mass to the Higgs
- give masses to 3 Majorana leptons
- may lead to dynamical dark energy

## Roles of the Higgs boson:

- give masses to fermions and vector bosons of the SM
- provide inflation

# New physics below the Fermi scale: the $\nu$ MSM



**Role** of  $N_1$  with mass in keV region: dark matter. Search - with the use of X-ray telescopes. Already found? [Bulbul et al.](#), [Boyarsky et al](#)

**Role** of  $N_2, N_3$  with mass in 100 MeV – GeV region: “give” masses to neutrinos and produce baryon asymmetry of the Universe. Search - intensity and precision frontier, SHiP at CERN.

# The couplings of the $\nu$ MSM

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Particle physics part, accessible to low energy experiments: the  $\nu$ MSM. Mass scales of the  $\nu$ MSM:

$$M_I < M_W \text{ (No see-saw)}$$

Consequence: small Yukawa couplings,

$$F_{\alpha I} \sim \frac{\sqrt{m_{atm} M_I}}{v} \sim (10^{-6} - 10^{-13}),$$

here  $v \simeq 174$  GeV is the VEV of the Higgs field,

$m_{atm} \simeq 0.05$  eV is the atmospheric neutrino mass difference.

Small Yukawas are also necessary for stability of dark matter and baryogenesis (out of equilibrium at the EW temperature).

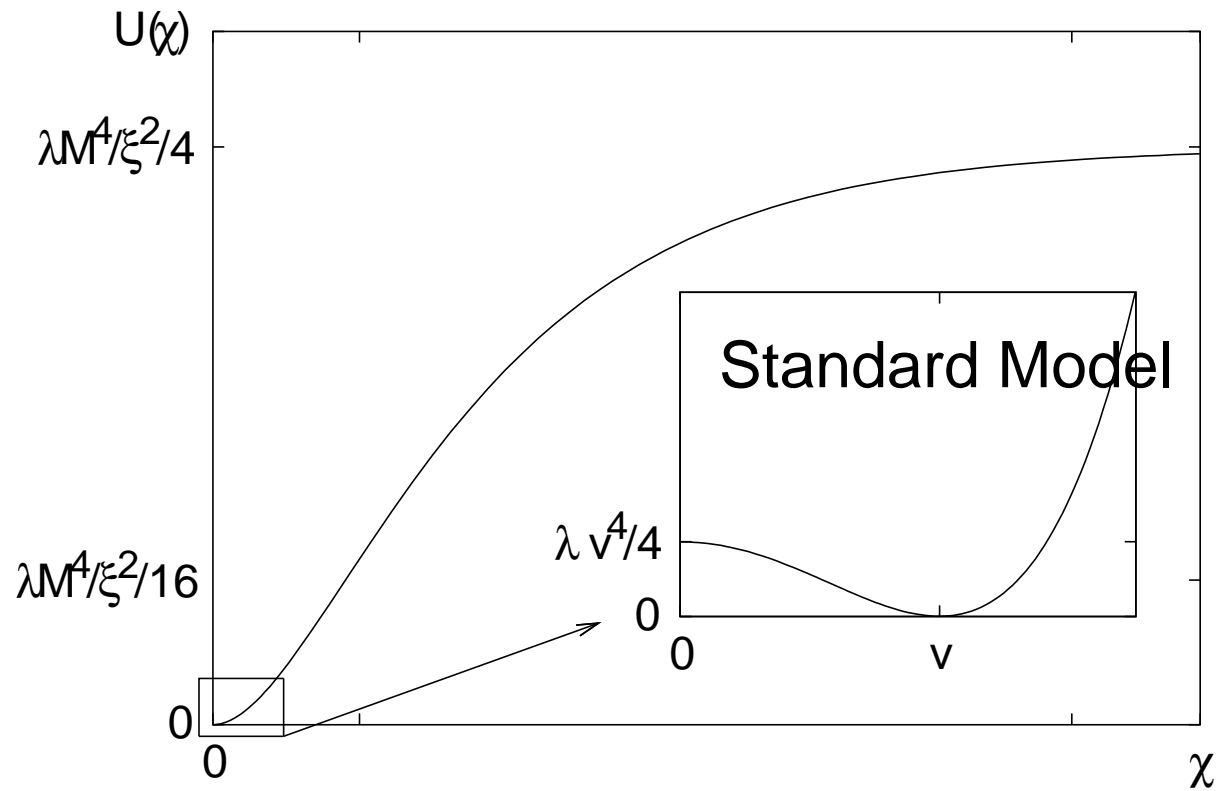
# Cosmology and phenomenology of a minimal model

Chaotic initial condition: fields  $\chi$  and  $h$  are away from their equilibrium values.

Choice of parameters:  $\xi_h \gg 1$ ,  $\xi_\chi \ll 1$

Then - dynamics of the Higgs field is more essential  $\chi \simeq \text{const}$  and is frozen  $\Rightarrow$  Higgs inflation. Denote  $\xi_\chi \chi^2 = M_P^2$ .

# Potential in Einstein frame



$\chi$  - canonically normalized scalar field in Einstein frame.



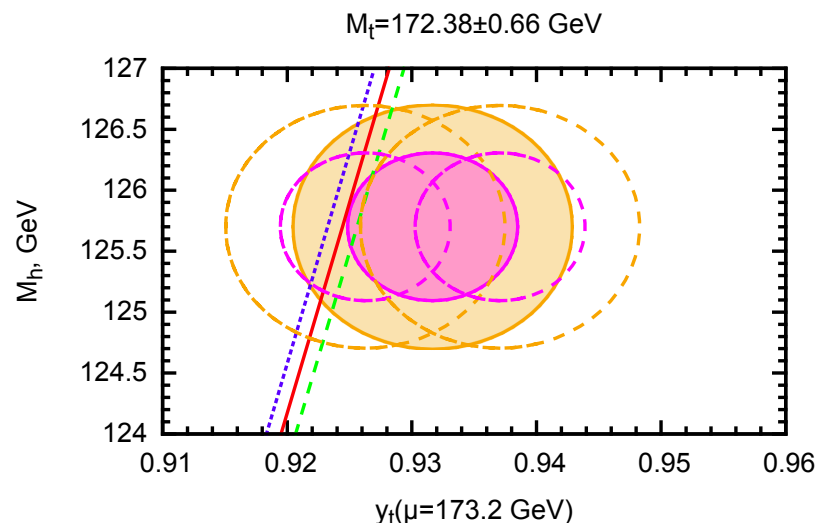
This form of the potential is universal for (Bezrukov, MS)  $y_t(173.2) < y_t^{\text{crit}}$ :

$$y_t^{\text{crit}} = 0.9223 + 0.00118 \left( \frac{\alpha_s - 0.1184}{0.0007} \right) + 0.00085 \left( \frac{M_H - 125.03}{0.3} \right) + 0.0023 \left( \frac{\log \xi}{6.9} \right)$$

$y_t(173.2)$  - top Yukawa coupling in  $\overline{\text{MS}}$ - scheme at  $\mu = 173.2 \text{ GeV}$ ,  $\alpha_s(M_Z)$  - strong coupling

theoretical uncertainty:  $\delta y_t / y_t \simeq 2 \times 10^{-4}$  equivalent to changing of  $M_H$  by  $\sim 70 \text{ MeV}$ , or  $m_t$  by  $\sim 35 \text{ MeV}$  Buttazzo et al

Numerically for  $\xi = 1$ ,  $y_t^{\text{crit}}$  coincides with the metastability bound on the top Yukawa coupling

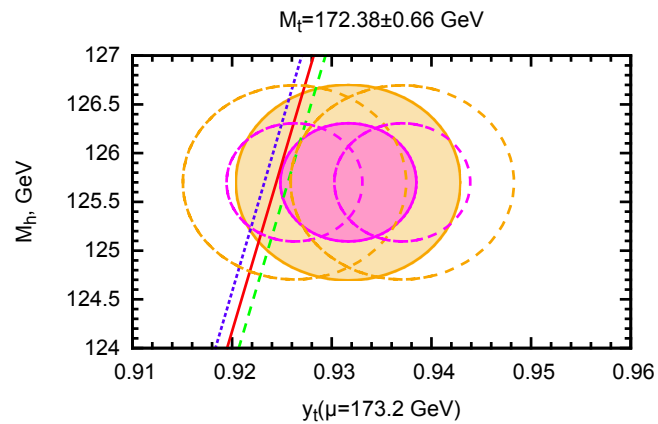


Potential for the Higgs field may be flat at large values of  $h$ : Linde chaotic inflation

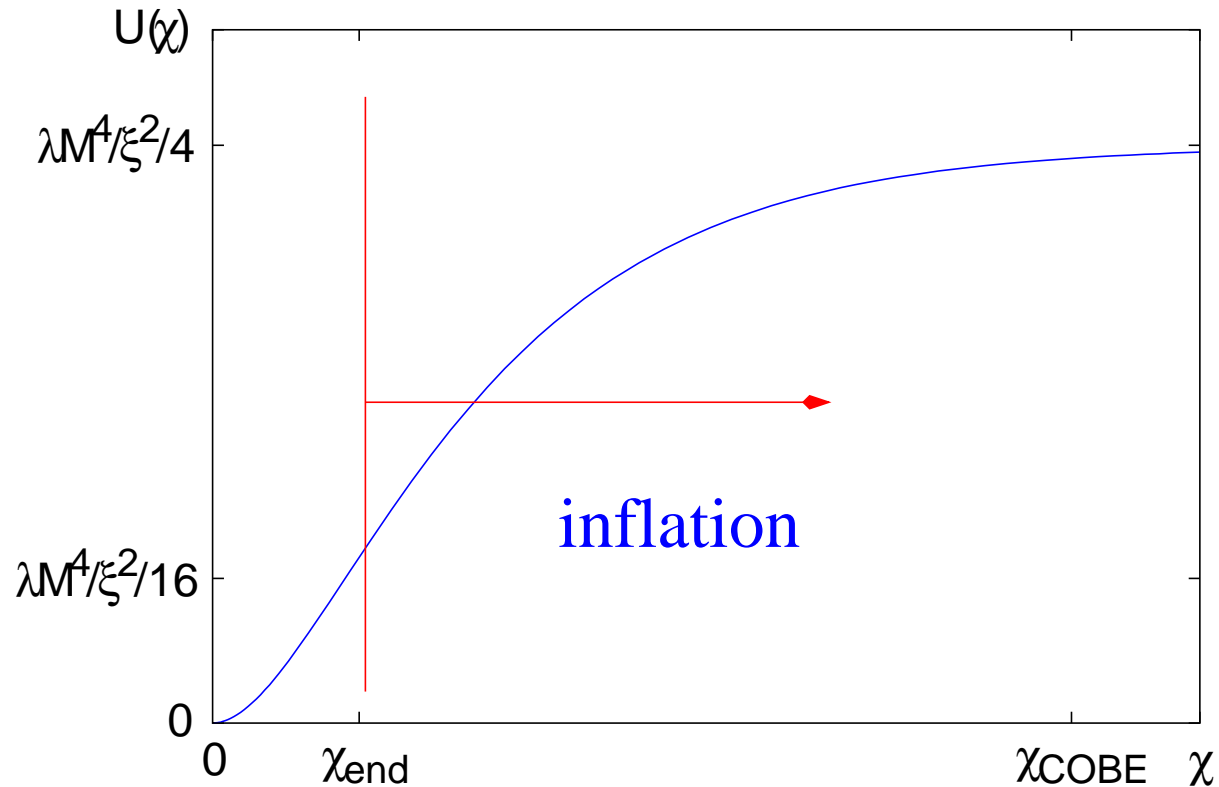
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Inflation, Big Bang - all in the framework of the Standard Model!

Higgs inflation:  $y_t < y_t^{\text{crit}}$



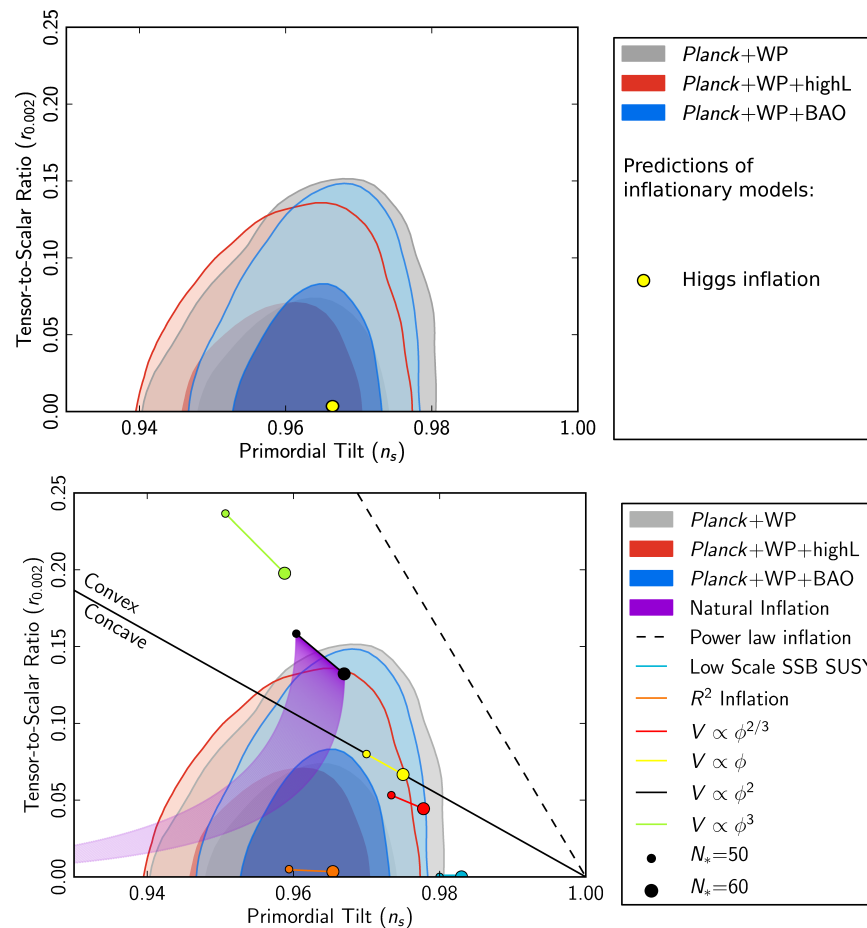
Stage 1: Higgs inflation,  $h > \frac{M_P}{\sqrt{\xi}}$ , slow roll of the Higgs field



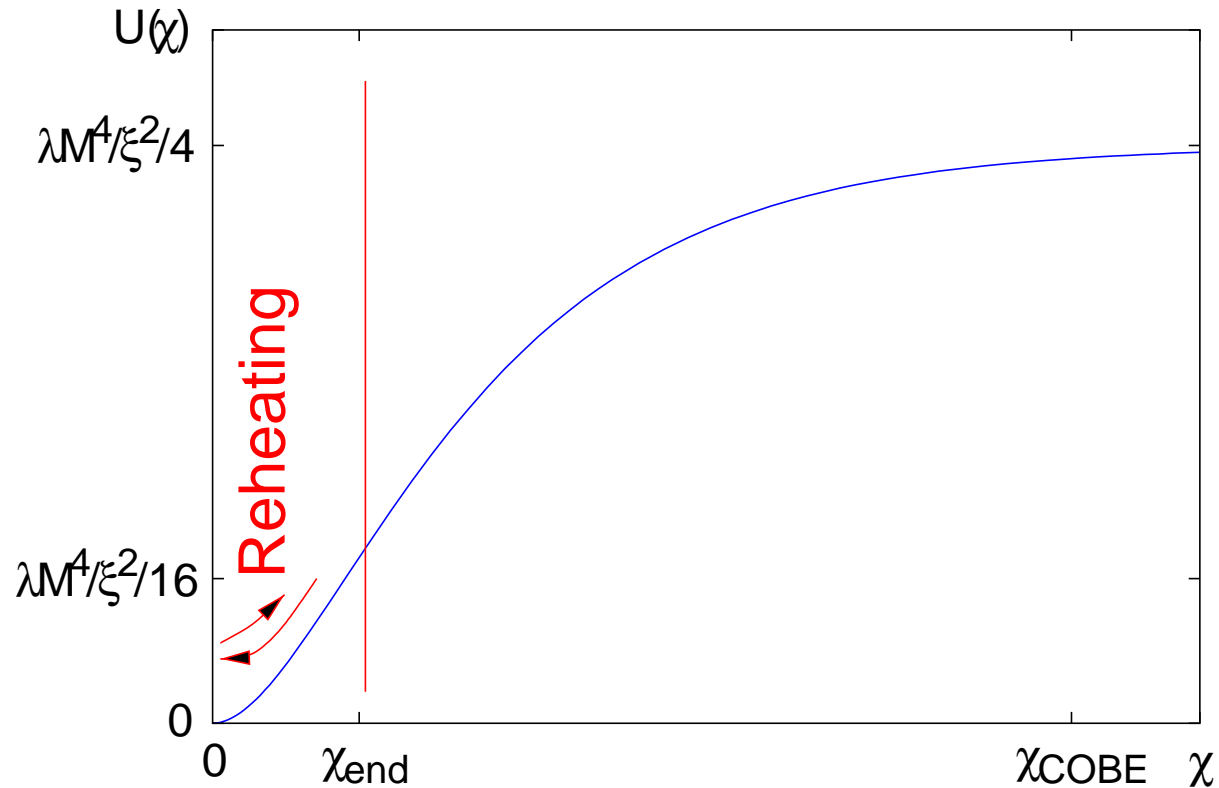
- Makes the Universe flat, homogeneous and isotropic
- Produces fluctuations leading to structure formation: clusters of galaxies, etc

# CMB parameters - spectrum and tensor modes, $\xi \gtrsim 1000$

$$n_s = 0.97, \quad r = 0.003$$

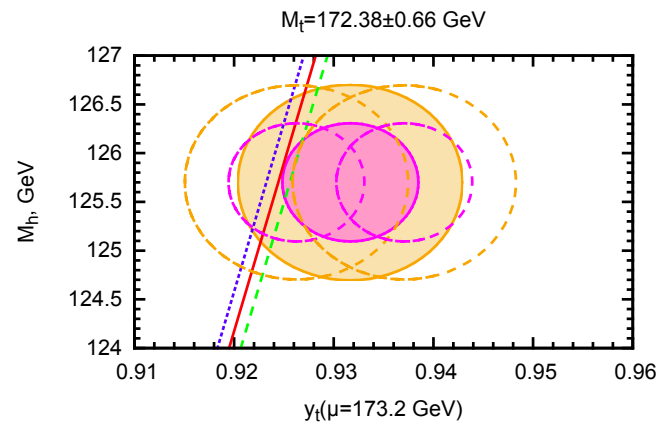


## Stage 2: Big Bang, $\frac{M_P}{\xi} < h < \frac{M_P}{\sqrt{\xi}}$ , Higgs field oscillations



- All particles of the Standard Model are produced
- Coherent Higgs field disappears
- The Universe is heated up to  $T \propto M_P/\xi \sim 10^{14}$  GeV

# Critical Higgs inflation: $y_t \approx y_t^{\text{crit}}$

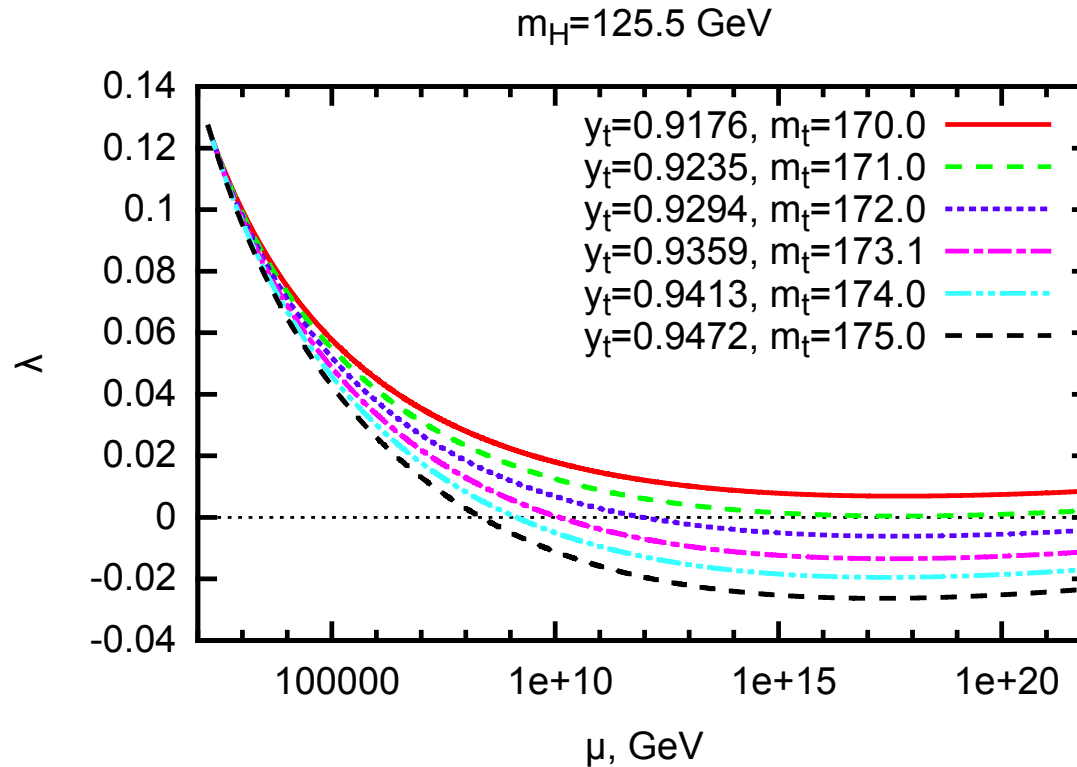




## Bezrukov, MS

For  $y_t$  very close to  $y_t^{\text{crit}}$  : critical Higgs inflation - tensor-to-scalar ratio can be large,  $\xi \sim 10$

Behaviour of  $\lambda$ :



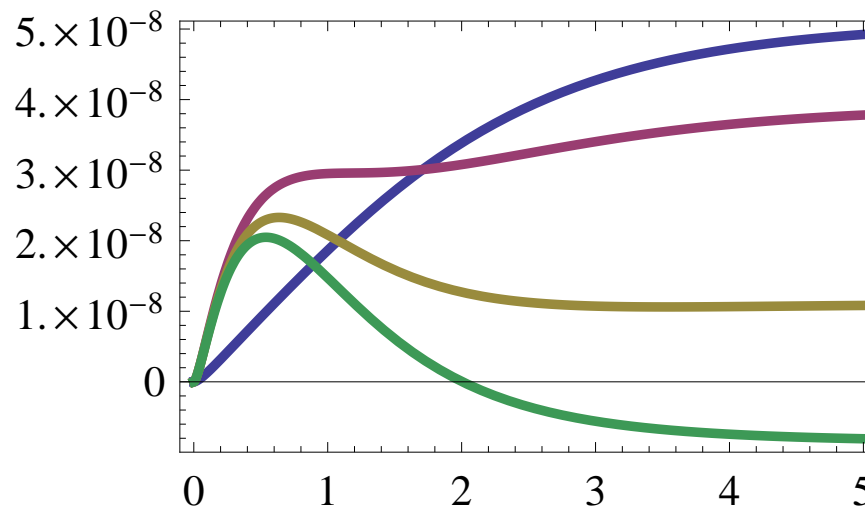
# Effective potential

$$U(\chi) \simeq \frac{\lambda(z')}{4\xi^2} \bar{\mu}^4, \quad z' = \frac{\bar{\mu}}{\kappa M_P}, \quad \bar{\mu}^2 = M_P^2 \left( 1 - e^{-\frac{2\chi}{\sqrt{6}M_P}} \right)$$

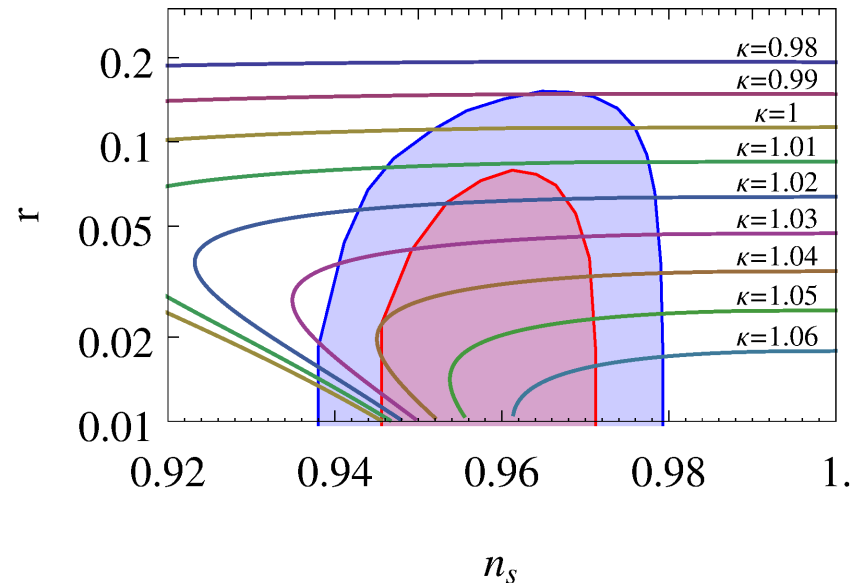
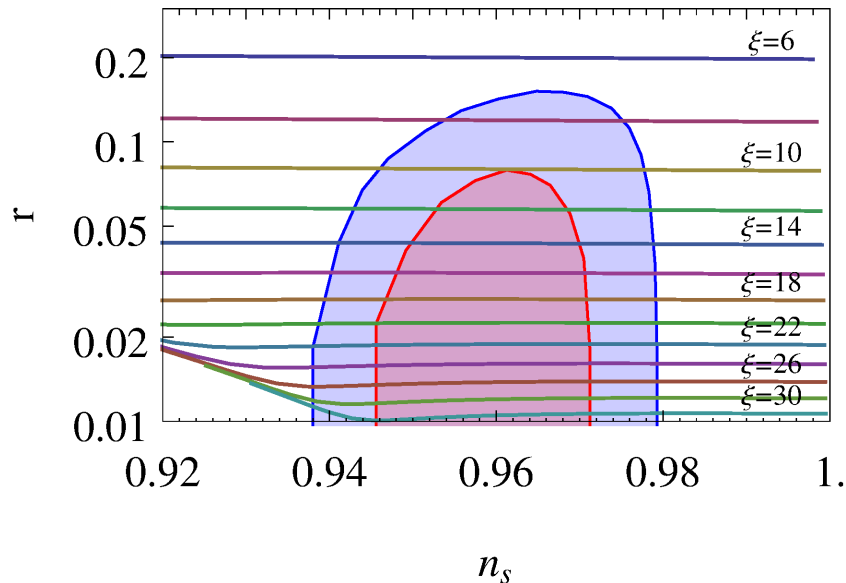
The parameter  $\mu$  that optimises the convergence of the perturbation theory is related to  $\bar{\mu}$  as

$$\mu^2 = \alpha^2 \frac{y_t(\mu)^2}{2} \frac{\bar{\mu}^2}{\xi(\mu)}, \quad \alpha \simeq 0.6$$

Behaviour of effective potential for  $\lambda_0 \simeq b/16$ :



# The inflationary indexes

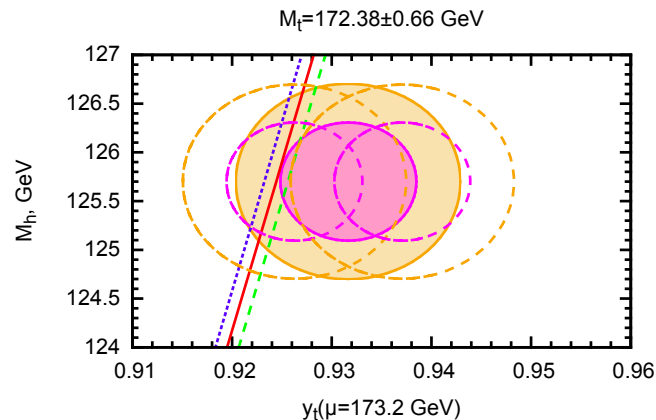


$r$  can be large! **BICEP 2?**

see also [Hamada, Kawai, Oda and Park](#)

Critical Higgs inflation only works if **both** Higgs and top quark masses are close to their experimental values.

# Living beyond the edge: Higgs inflation and vacuum metastability, $y_t > y_t^{\text{crit}}$

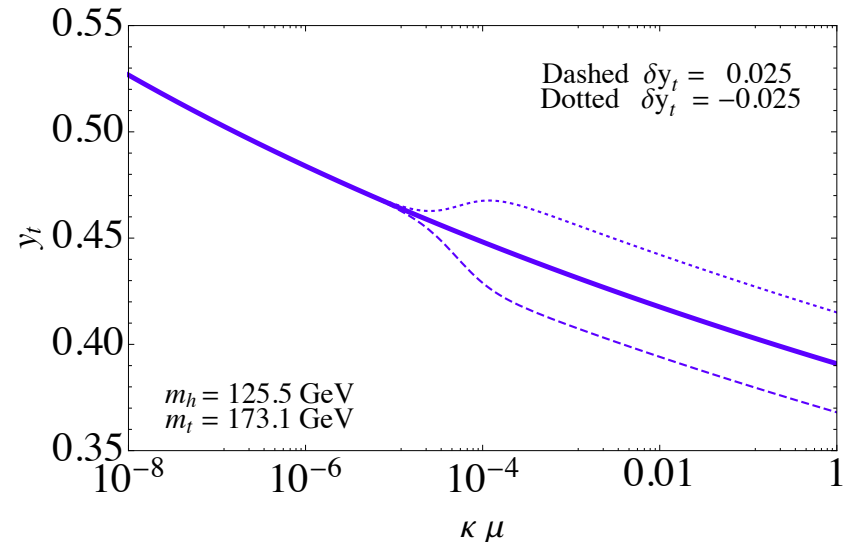
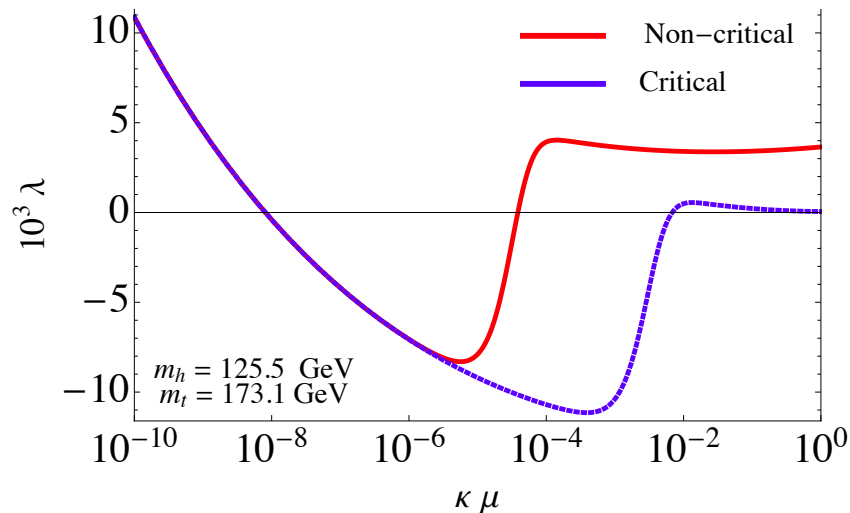


## Bezrukov, Rubio, MS

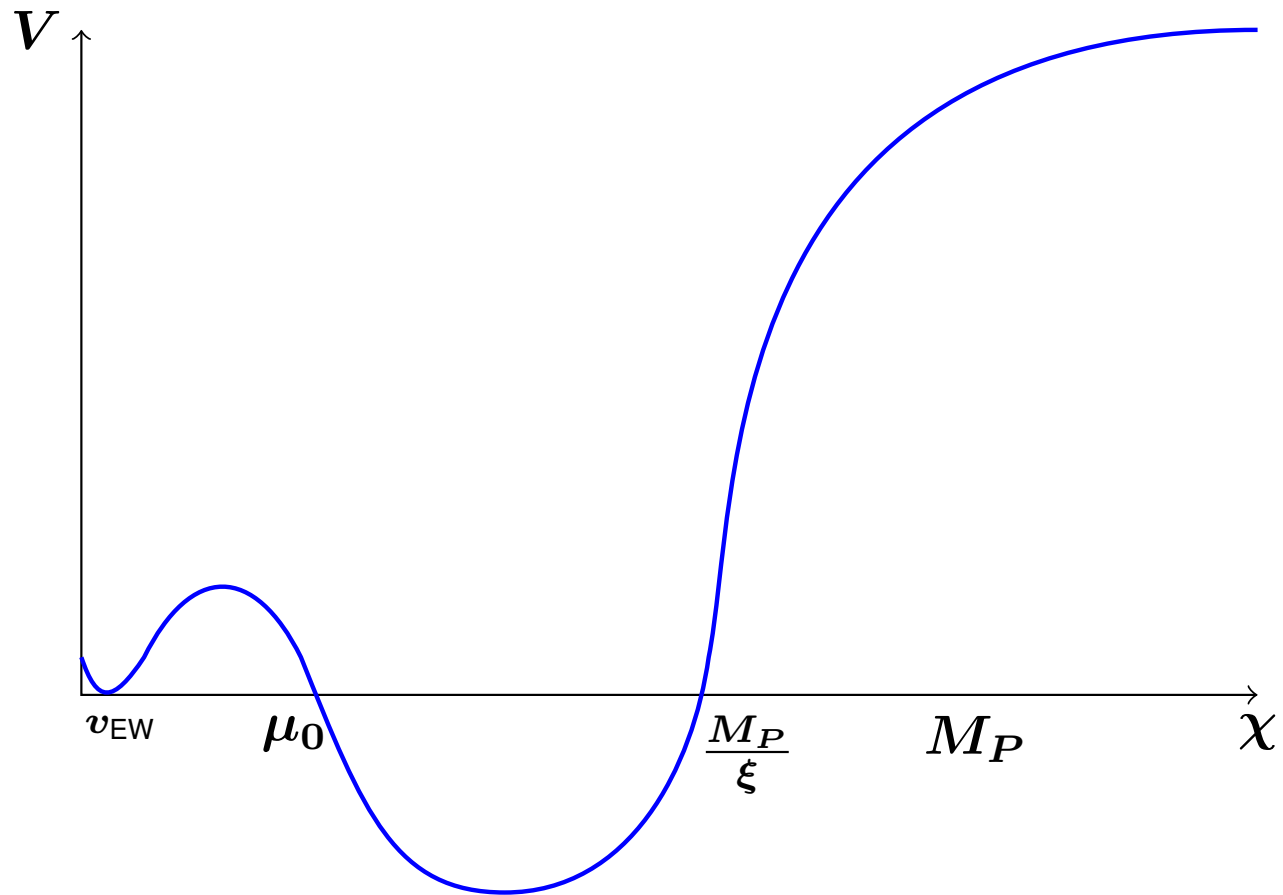
Renormalisation of the SM coupling constants at the scale  $M_P/\xi$ :  
“jumps” of  $\lambda$  and  $y_t$  controlled by UV completion of the SM, which  
cannot be found from low-energy observables of the SM

## Bezrukov, Magnin, MS., Sibiryakov

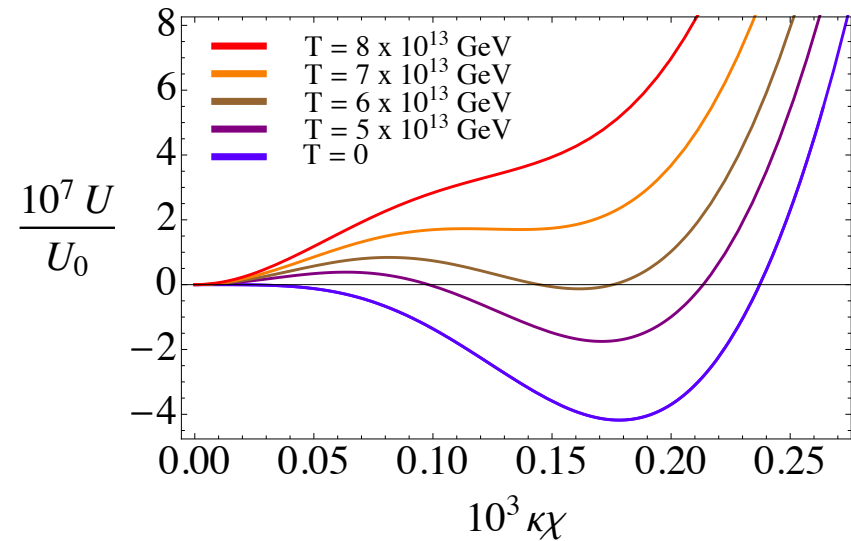
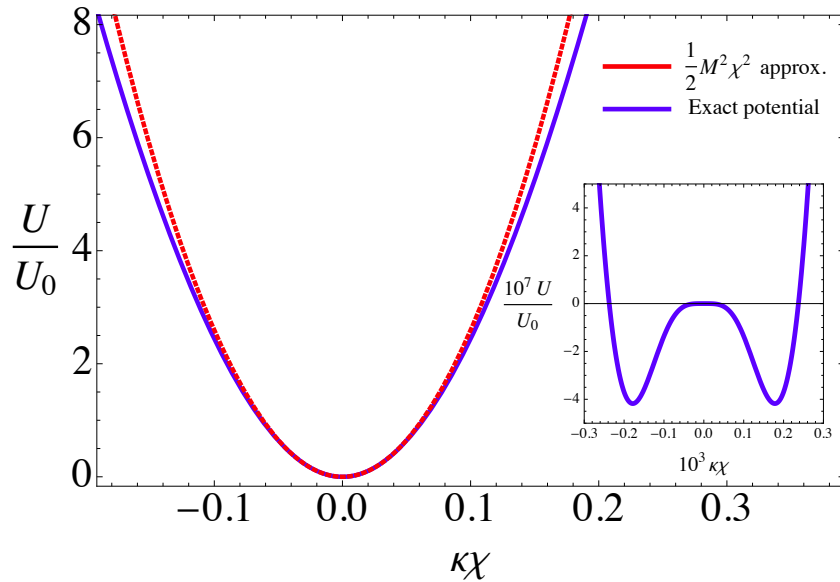
$\lambda(M_P/\xi)$  is small due to cancellations between fermionic and bosonic  
loops:  $\delta\lambda$  can be of the order of  $\lambda$



# Higgs potential



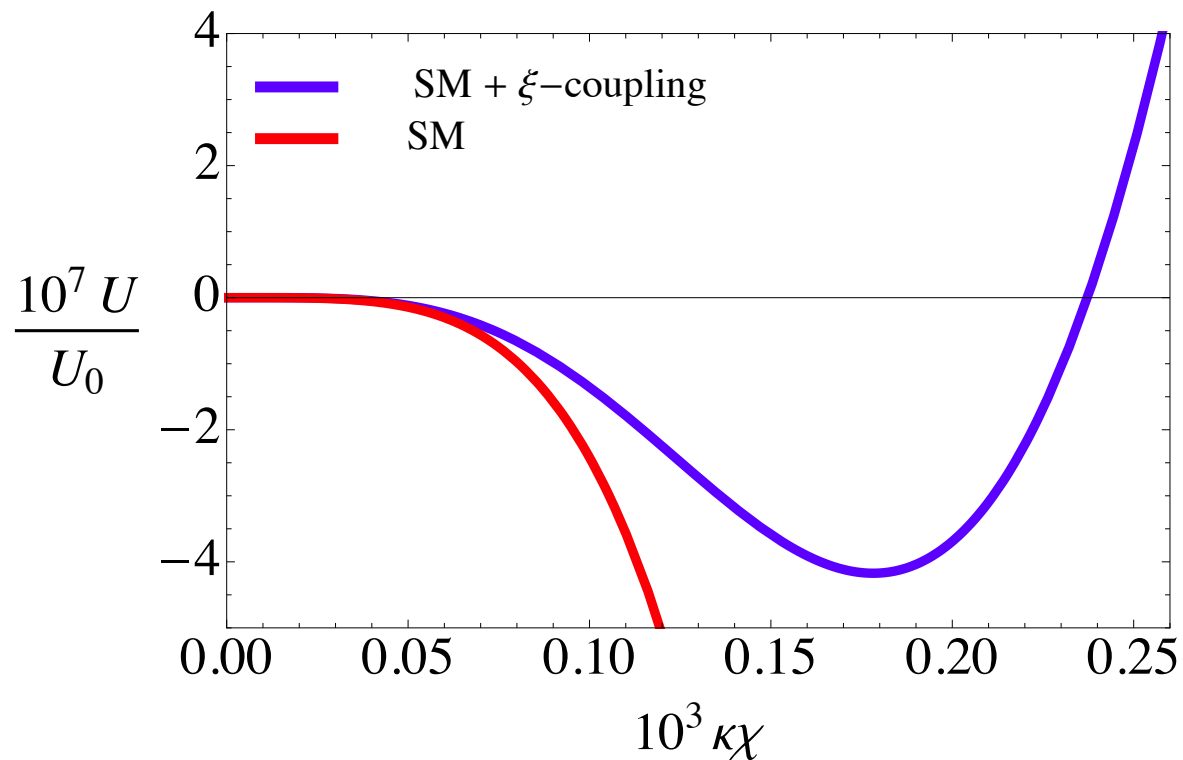
# Symmetry restoration



Reheating temperature  $T_R \simeq 2 \times 10^{14}$  GeV  $>$   $T_+ \simeq 7 \times 10^{13}$  GeV,  
 $T_c = 6 \times 10^{13}$  GeV

# (Meta) stability of false vacuum

Computation for SM: Espinosa, Giudice, Riotto





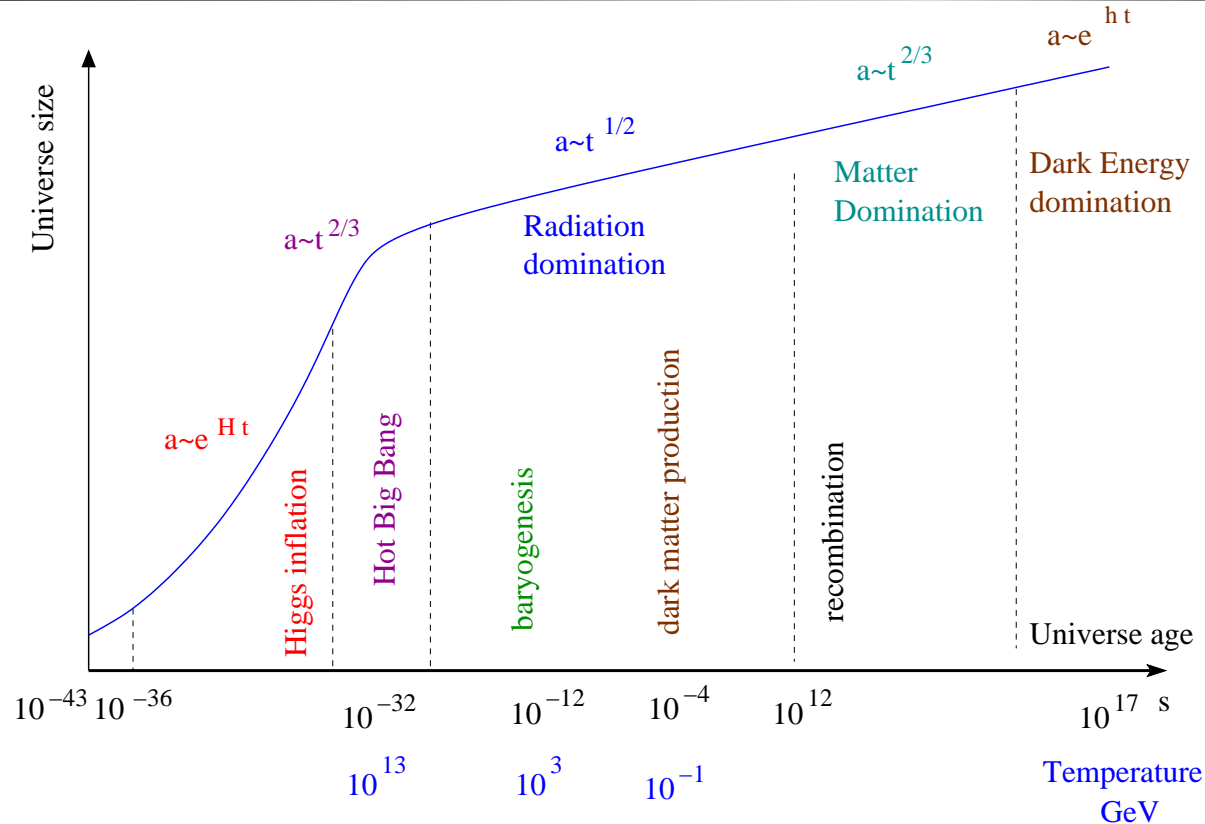
Predictions for critical indexes  $n_s$  and  $r$  are the same as for non-critical Higgs inflation

$$n_s = 0.97, \quad r = 0.003$$

Critical Higgs inflation at  $y_t > y_t^{\text{crit}}$ ?

Critical Higgs inflation : small  $\xi \sim 10$  - the depth of the large Higgs value vacuum is comparable with the energy stored in the Higgs after inflation: the required reheating temperature is too large,  $T_+ \simeq 10^{16}$  GeV and cannot be achieved.

# History of the Universe



The problems on neutrino masses, baryon asymmetry of the Universe, and of Dark Matter can all be solved by particles lighter than the Electroweak scale: 3 RH neutrinos

# Conclusions

● Quantum scale-invariance leads to:

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  - Higgs mass is stable against radiative corrections (scale symmetry + approximate shift symmetry  $\chi \rightarrow \chi + \text{const}$ )
  - The massless sector of the theory contains dilaton, which has only derivative couplings to matter
  - All observational drawbacks of the SM can be solved by new physics below the Fermi scale

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- Why eventual cosmological constant is zero (or why  $\beta = 0$ )?

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- Find heavy neutral leptons  $N_{2,3}$  - responsible for neutrino masses and baryogenesis: SHiP

# Back up slides

# Self-consistency of Higgs inflation

# Large $\xi$ - bad or good?

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Sibiryakov, '08; Burgess, Lee, Trott, '09; Barbon and Espinosa, '09

Tree amplitudes of scattering of scalars **above electroweak vacuum** hit the tree unitarity bound at energies

$$E > \Lambda \sim \frac{M_P}{\xi}$$

The typical energy scale at inflation is

$$\frac{M_P}{\sqrt{\xi}} \gg \Lambda$$

Does it mean that the Higgs inflation is inconsistent?

# Self-consistency of Higgs inflation

---

A way to proceed:

Bezrukov, Magnin, M.S., Sibiryakov; Ferrara, Kallosh, Linde,  
A. Marrani, Van Proeyen

- Take the non-zero Higgs field background, and define the region of applicability of perturbation theory. This region is background dependent.
- Compare the background dependent “cutoff” with the different energy scales important for inflation and for subsequent evolution of the Universe.

The background dependent “cutoff” - nothing unusual: Fermi interaction - “cutoff” does depend on the Higgs field.



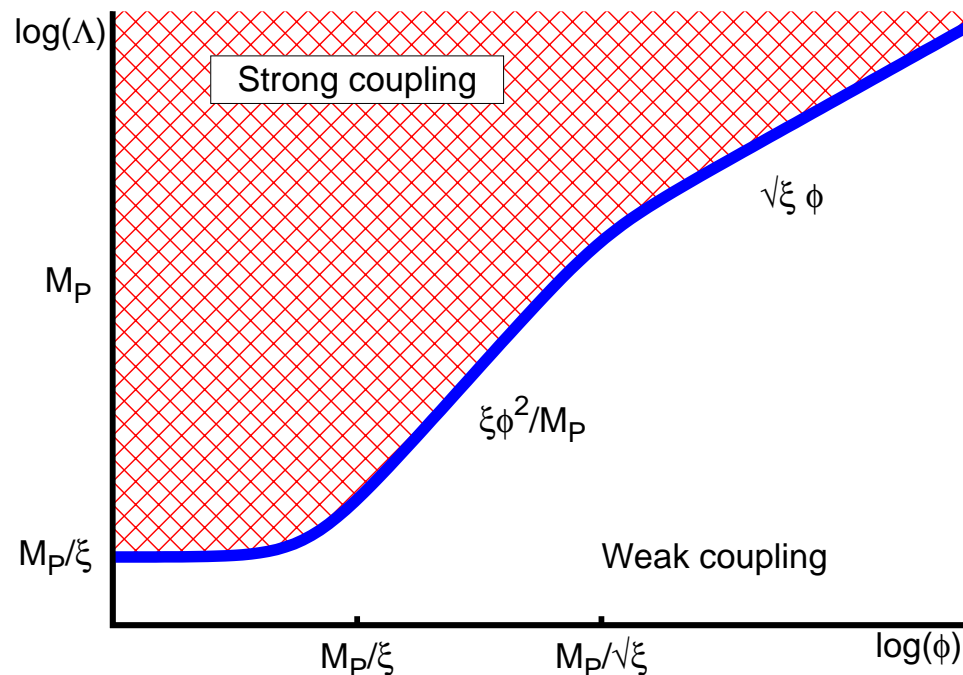
# Dynamical cutoff

---

Computation for the Higgs-gravity part of the SM:

$$\Lambda(h) \simeq \begin{cases} \frac{M_P}{\xi} & , \quad \text{for } h \lesssim \frac{M_P}{\xi} , \\ \frac{h^2 \xi}{M_P} & , \quad \text{for } \frac{M_P}{\xi} \lesssim h \lesssim \frac{M_P}{\sqrt{\xi}} , \\ \sqrt{\xi} h & , \quad \text{for } h \gtrsim \frac{M_P}{\sqrt{\xi}} . \end{cases}$$

# Higgs-dependent cutoff



Cutoff is higher than the relevant dynamical scales throughout the whole history of the Universe, including the inflationary epoch and reheating!!

The Higgs-inflation is self-consistent.

# Higgs inflation: radiative corrections

# Main assumptions

---

- The SM is a valid effective theory up to the Planck scale, i.e. its Lagrangian can only be modified by higher dimensional operators suppressed by  $M_P$
- The quadratic divergences are ignored (the valid procedure at small energies). Technically, this corresponds to the use of the minimal subtraction scheme.

# Effective theory of Higgs inflation

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Theory is non-renormalizable (as any theory with gravity).

Let's add to **all** counter-terms necessary to make it finite with all possible constant parts having the same structure as counter-terms.

The procedure must respect the classical symmetries of the theory (scale invariance in Jordan frame = shift symmetry in Einstein frame).

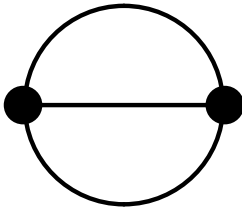
Technically - use dimensional regularisation and  $\overline{\text{MS}}$  subtraction procedure.

Starting Lagrangian:

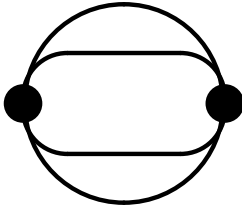
$$L = \frac{(\partial_\mu \chi)^2}{2} - U(\chi)$$

where  $U(\chi)$  has at large fields the generic form

$$U(\chi) = U_0 \left( 1 + \sum_{n=1}^{\infty} u_n e^{-n\chi/M} \right)$$

$$\frac{U_0 u_n}{M^3} e^{-n\bar{\chi}/M} \quad \text{---} \quad \text{---} \quad \frac{U_0 u_m}{M^3} e^{-m\bar{\chi}/M}$$


$$\propto \frac{1}{\epsilon} \cdot \frac{U_0^2}{M^8} u_n u_m (\partial_\mu \bar{\chi})^2 e^{-(n+m)\bar{\chi}/M} ,$$

$$\frac{U_0 u_n}{M^4} e^{-n\bar{\chi}/M} \quad \text{---} \quad \text{---} \quad \frac{U_0 u_m}{M^4} e^{-m\bar{\chi}/M}$$


$$\propto \frac{1}{\epsilon} \cdot \frac{U_0^2}{M^8} u_n u_m \left( \frac{(\partial^2 \bar{\chi})^2}{M^2} + \frac{(\partial \bar{\chi})^4}{M^4} \right) e^{-(n+m)\bar{\chi}/M}$$

Effective action, incorporating radiative corrections:

$$L = f^{(1)}(\chi) \frac{(\partial_\mu \chi)^2}{2} - U(\chi) + f^{(2)}(\chi) \frac{(\partial^2 \chi)^2}{M^2} + f^{(3)}(\chi) \frac{(\partial \chi)^4}{M^4} + \dots$$

where dots stand for terms with more derivatives. The coefficient functions are (formal) series in the exponent,

$$f^{(i)}(\chi) = \sum_{n=0}^{\infty} f_n^{(i)} e^{-n\chi/M}$$

Important: asymptotic shift symmetry  $\chi \rightarrow \chi + \text{const}$  in Einstein frame (or scale invariance in the Jordan frame).



# Physics of the “jump” of the constants

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Top quark Yukawa interaction in the Einstein frame:

$$L_t = \frac{y_t}{\sqrt{2}} \bar{t} t F(\chi), \quad F(\chi) = \frac{h}{\Omega},$$

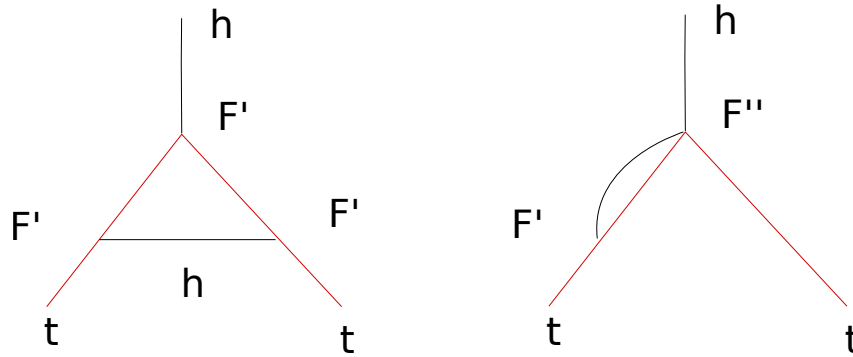
Here  $\Omega^2 = 1 + \xi h^2/M_P^2$  is the conformal factor, and the canonically normalised field  $\chi$  is related to the Higgs field  $h$  via

$$\frac{dh}{d\chi} = \frac{\Omega^2}{\sqrt{\Omega^2 + \xi(6\xi + 1)h^2/M_P^2}}.$$

In the background field  $\chi$  coupling of the top to  $\chi$  is proportional to  $dF/d\chi = F'$ .

Counter-term:

$$y_t \rightarrow y_t + \frac{y_t^3}{16\pi^2} \left( \frac{3}{\epsilon} + C_t \right) F'^2$$



$$F'(\chi) = \begin{cases} 1 & \text{for } h \lesssim M_P/2\sqrt{6}\xi \\ 0 & \text{for } h \gtrsim M_P/2\sqrt{6}\xi \end{cases}$$

For  $h \lesssim h^*$   $C$  is absorbed into definition of low energy coupling and is not observable:

$$y_t^{\text{phys}} = y_t + \frac{y_t^3}{16\pi^2} C_t .$$

For  $h \gtrsim h^*$  contribution from  $F'$  disappears: determines a jump of the coupling around  $h^*$

Finite parts of counter-terms:

Top Yukawa

$$y_t(\mu) \rightarrow y_t(\mu) + \delta y_t [F'^2 - 1] ,$$

Higgs self-coupling

$$\lambda(\mu) \rightarrow \lambda(\mu) + \delta\lambda \left[ \left( F'^2 + \frac{1}{3} F'' F \right)^2 - 1 \right] ,$$