First-principles simulations at the nanoscale (and towards the exascale) using QUANTUM ESPRESSO

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– Typeset by $\ensuremath{\mathsf{FoilT}}_E\!\mathrm{X}$ –

Quantum simulation of matter at the nanoscale

Nanoscale: phenomena happening on a scale of lengths up to a few tens of nm. Basic theoretical tools:

- Density-Functional Theory (DFT) (P. Hohenberg, W. Kohn, and L. Sham, 1964-65)
- Pseudopotentials (J.C. Phillips, M.L. Cohen, M. Schlüter, D. Vanderbilt and many others, 1960-2000)
- Car-Parrinello and other iterative techniques (SISSA 1985, and many other places since)

Sometimes referred to as *The Standard Model* of materials science.

the saga of time and length scales



the saga of time and length scales



size vs. accuracy



At the nanoscale: new materials

Most common atomic configurations in amorphous CdTeO_x, x = 0.2; Phys. Rev. **B** 79, 014205 (2009).



At the nanoscale: new devices



Organic-inorganic semiconductor heterojunction, phtalocyanine over TiO_2 anatase surface; Chem. Mater. **21**, 4555 (2009).

At the nanoscale: nanocatalysis



Cobalt-base catalyser for water splitting: J. Am. Chem. Soc. 135, 15353 (2013)

At the nanoscale: biological systems



Metal- β -amyloid interactions; Metallomics **4**, 156 (2012).

Towards the exascale: massive parallelization



... still not forgetting smaller machines! In the figure, Nicola Marzari's smartphone running QUANTUM ESPRESSO



First-principles simulations

Time-dependent Schrödinger equation for nuclei $\mathbf{R} \equiv \{\vec{R}_I\}$ and electrons $\mathbf{r} \equiv \{\vec{r}_i\}$:

$$i\hbar\frac{\partial\hat{\Phi}(\mathbf{r},\mathbf{R};t)}{\partial t} = \left(-\sum_{I}\frac{\hbar^{2}}{2M_{I}}\nabla_{\vec{R}_{I}}^{2} - \sum_{i}\frac{\hbar^{2}}{2m}\nabla_{\vec{r}_{i}}^{2} + V(\mathbf{r},\mathbf{R})\right)\hat{\Phi}(\mathbf{r},\mathbf{R};t)$$

Born-Oppenheimer (or adiabatic) approximation, valid for $M_I >> m$:

$$\hat{\Phi}(\mathbf{r},\mathbf{R};t) \simeq \Phi(\mathbf{R})\Psi(\mathbf{r}|\mathbf{R})e^{-i\hat{E}t/\hbar}$$

Problem splits into an *electronic problem depending upon nuclear positions*:

$$\left(-\sum_{i}\frac{\hbar^2}{2m}\nabla_{\vec{r}_i}^2 + V(\mathbf{r},\mathbf{R})\right)\Psi(\mathbf{r},\mathbf{R}) = E(\mathbf{R})\Psi(\mathbf{r},\mathbf{R})$$

and a nuclear problem under an effective interatomic potential $E(\mathbf{R})$, typically treated as classical, with forces on nuclei: $\mathbf{F}_I = -\nabla_{\vec{R}_I} E(\mathbf{R})$.

Density-Functional Theory

Transforms the many-electron problem into an equivalent problem of (fictitious) non-interacting electrons, the *Kohn-Sham equations*:

$$H\phi_v \equiv \left(-\frac{\hbar^2}{2m}\nabla_{\vec{r}}^2 + V_{\mathbf{R}}(\vec{r})\right)\phi_v(\vec{r}) = \epsilon_v\phi_v(\vec{r})$$

The effective potential is a *functional* of the charge density:

$$V_{\mathbf{R}}(\vec{r}) = -\sum_{I} \frac{Z_{I} e^{2}}{|\vec{r} - \vec{R}_{I}|} + v[n(\vec{r})], \qquad n(\vec{r}) = \sum_{v} |\phi_{v}(\vec{r})|^{2}$$

(Hohenberg-Kohn 1964, Kohn-Sham 1965). Exact form is unknown, but simple approximate forms yielding very accurate (ground-state) results are known.

Density-Functional Theory II

The total energy is also a functional of the charge density:

$$\begin{split} E \Rightarrow E[\{\phi\}, \mathbf{R}] &= -\frac{\hbar^2}{2m} \sum_{v} \int \phi_v^*(\vec{r}) \nabla^2 \phi_v(\vec{r}) d\vec{r} + \int V_{\mathbf{R}}(\vec{r}) n(\vec{r}) d\vec{r} + \\ &+ \frac{e^2}{2} \int \frac{n(\vec{r}) n(\vec{r'})}{|\vec{r} - \vec{r'}|} d\vec{r} d\vec{r'} + E_{xc}[n(\vec{r})] + \sum_{I \neq J} \frac{e^2}{2} \frac{Z_I Z_J}{|\vec{R}_I - \vec{R}_J|} \end{split}$$

Kohn-Sham equations arise from the minimization of the energy functional:

$$E(\mathbf{R}) = \min_{\phi} E[\{\phi\}, \mathbf{R}], \qquad \int \phi_i^*(\vec{r}) \phi_j(\vec{r}) d\vec{r} = \delta_{ij}$$

Hellmann-Feynman theorem holds. Forces on nuclei:

$$\vec{F}_I = -\nabla_{\vec{R}_I} E(\mathbf{R}) = -\int n(\vec{r}) \nabla_{\vec{R}_I} V_{\mathbf{R}}(\vec{r}) d\vec{r}$$

The tricks of the trade

- expanding the Kohn-Sham orbitals into a suitable *basis* set turns DFT into a multi-variate minimization problem, and the Kohn-Sham equations into a *non-linear matrix eigenvalue problem*
- the use of *pseudopotentials* allows one to ignore chemically inert core states and to use *plane waves*
- plane waves are *orthogonal* and the *matrix elements* of the Hamiltonian are usually easy to calculate; the *completeness* of the basis is easy to check
- plane waves allow to efficiently calculate *matrix-vector products* and to solve the Poisson equation using *Fast Fourier Transforms* (FFTs)

Accuracy vs. Approximations

Theoretical approximations / limitations of DFT:

- the Born-Oppenheimer approximation
- DFT functionals (LDA, GGA, ...)
- pseudopotentials
- no easy access to excited states and/or quantum dynamics

Numerical approximations / limitations:

- finite/limited size/time
- finite basis set
- differentiation / integration / interpolation

Requirements on effective software for quantum simulations at the nanoscale

- Challenging calculations stress the limits of available computer power: software should be **fast and efficient**
- Diffusion of first-principle techniques among non-specialists requires software that is **easy to use and** (reasonably) **error-proof**
- Introducing innovation requires new ideas to materialize into new algorithms through codes: software should be **easy to extend and to improve**
- Complex problems require a mix of solutions coming from different approaches and methods: software should be **interoperable with other software**
- Finaly, scientific ethics requires that **results should be reproducible** and **algorithms susceptible of validation**

The quantum $\operatorname{ESPRESSO}$ distribution

QUANTUM ESPRESSO stands for *Quantum opEn-Source Package for Research* in <u>Electronic Structure</u>, <u>Simulation</u>, and <u>Optimization</u>

QUANTUM ESPRESSO is a *distribution* (an integrated suite) of software for atomistic calculations based on electronic structure, using density-functional theory, a plane-wave basis set, pseudopotentials. Freely available under the terms of the GNU General Public License

The main goals of $\ensuremath{\mathrm{QUANTUM}}\xspace$ ESPRESSO are

- *innovation* in methods and algorithms
- *efficiency* on modern computer architectures

A great effort is also devoted to *user friendliness* and to the formation of a *users'* and developers' community

QUANTUM ESPRESSO contributors



QUANTUM ESPRESSO receives contributions from many individuals and partner institutions in Europe and worldwide. Who "owns" QUANTUM ESPRESSO?

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- Ecole Polytechnique Fédérale de Lausanne (EPFL)
- International Centre for Theoretical Physics (ICTP), Trieste
- Consiglio Nazionale delle Ricerche (IOM-CNR), Italy
- CINECA supercomputing center, Bologna
- University of North Texas
- Duke University



Development

The distribution is maintained as a single SVN (Subversion) tree. Available to everyone anytime via anonymous access.

- Web site: http://www.quantum-espresso.org
- Developers' portal: http://www.qe-forge.org

Mailing list (public):

- pw_forum@pwscf.org: for general discussions
- qe_developers@qe-forge.org: used by developers for technical discussions
- qe_commits@qe-forge.org: used by developers, receives commit messages

Developers' community: ge-forge

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Recently Registered Projects

(2014-12-04) <u>QE-FermiSurfer interface</u> (2014-11-13) <u>DFPT-tetra</u> (2014-07-10) <u>Unfold</u> (2014-01-13) <u>thermo_pw</u> (2013-10-01) <u>DFPT+U</u> (2013-04-04) <u>Frozen Density Embedding</u> (2013-03-14) <u>ASE interface for QE</u> (2013-02-22) <u>MiniDFT</u> (2013-01-15) <u>QE-tutorial</u> (2012-11-22) <u>Third order DFPT</u>

Top Downloads

(810,791) <u>Quantum ESPRESSO</u> (58,530) <u>WanT</u> (13,980) <u>Yambo</u> (4,613) <u>PSilbrary</u> (3,096) <u>QE-GPU</u> (1,523) <u>QE-GIPAW</u> (977) <u>phIGEMM</u> (838) <u>Quasiharmonic Approximation</u> (831) <u>DMFT</u> (822) <u>GRID lattice dynamics</u>

Browse Project Topics

Quantum ESPRESSO related (23) Classical Simulation (2) Density-Functional Theory calculation (15) Many-Body calculation (5) Libraries (2) Utilities (3) Visualization tools (2)

Support and Docs

Local Support Project

Currently 45 public projects, 570 registered users, 66 QE developers registered (not all of them active, though!)

Users' community: factoids

- About 1800 registered users for the pw_forum mailing list
- An average of \sim 10 messages a days on pw_forum
- latest version (5.1.1) downloaded almost 20000 [*] times



- + 30 Schools or tutorials since 2002, attended by \sim 1200 users
- 3 developers' schools since 2013, latest in January 2015

[*] this number is likely inflated by bots, failed downloads, etc.

Schools and tutorial using QUANTUM ESPRESSO



More: Penn State, June 2014; University of Tokyo, April 2014; Pune, July 2014. Next: Cordoba, September 2015

QUANTUM ESPRESSO: a modular and open-source software project for quantum simulations of materials

Paolo Giannozzi^{1,2}, Stefano Baroni^{1,3}, Nicola Bonini⁴, Matteo Calandra⁵, Roberto Car⁶, Carlo Cavazzoni^{7,8}, Davide Ceresoli⁴, Guido L Chiarotti⁹, Matteo Cococcioni¹⁰, Ismaila Dabo¹¹, Andrea Dal Corso^{1,3}, Stefano de Gironcoli^{1,3}, Stefano Fabris^{1,3}, Guido Fratesi¹², Ralph Gebauer^{1,13}, Uwe Gerstmann¹⁴, Christos Gougoussis⁵, Anton Kokalj^{1,15}, Michele Lazzeri⁵, Layla Martin-Samos¹, Nicola Marzari⁴, Francesco Mauri⁵, Riccardo Mazzarello¹⁶, Stefano Paolini^{3,9}, Alfredo Pasquarello^{17,18}, Lorenzo Paulatto^{1,3}, Carlo Sbraccia^{1,†}, Sandro Scandolo^{1,13}, Gabriele Sclauzero^{1,3}, Ari P Seitsonen⁵, Alexander Smogunov¹³, Paolo Umari¹ and Renata M Wentzcovitch^{10,19}

Cited approx. 3300 times since publication



Technical characteristics (coding)

- 380000+ Fortran-95 lines, with various degrees of sophistication (i.e. use of advanced f95 features) no "dusty decks" any longer
- use of standard library routines (lapack, blas, fftw) to achieve portability Machine-optimized libraries can (should) (*must!*) be used if available
- C-style preprocessing options allow to keep a single source tree for all architecturesi (GPUs excepted) from PC's to BG's (BlueGene)
- various parallelization levels via MPI calls or OpenMP directives, hidden into calls to a few routines – almost unified serial and parallel versions; parallel code can (usually) be written without knowing the details of how parallelism works.

XML-based data file format

Data format for easy data exchange between different codes:

- a *directory* instead of a single file
- a *formatted* 'head' file contains structural data, computational details, and links to files containing large datasets
- *binary* files for large datasets, one large record per file

Implementation tool: iotk toolkit, a lightweight library. Advantages:

- *efficient*: exploits the file system and binary I/O
- extensible: based on "fields" introduced by XML syntax
 <field> ... </field>
- *easy* to read, write, and understand

What can $\operatorname{QUANTUM}$ $\operatorname{ESPRESSO}$ do?

- Structural modeling (equilibrium structures of molecules, crystal, surfaces)
- Linear response functions (vibrational and dielectric properties); some non-linear ones (third-order force constants and dielectric response, non-resonant Raman)
- Chemical reactivity and transition-path sampling (Nudged Elastic Band, NEB)
- Dynamical modeling (ab-initio molecular dynamics, Car-Parrinello MD)
- Computational microscopy (simulation of STM images)
- Quantum (ballistic) transport

Advanced $\operatorname{QUANTUM}$ $\operatorname{ESPRESSO}$ capabilities

- several "beyond-DFT" methods: DFT+U, meta-GGA, hybrid functionals, nonlocal van-der-Waals functionals
- free-energy sampling (metadynamics, with PLUMED plugin)
- computational spectroscopy
 - lattice and molecular vibrations: Raman, Infrared, Neutrons
 - magnons and spin excitations
 - photoemission (with Many-Body Perturbation Theory, MBPT)
 - optical/UV absorption (Time-dependent DFT, MBPT)
 - NMR chemical shifts
 - X-ray spectra, core level shifts

Computer requirements of quantum simulations

Quantum simulations are both CPU *and* RAM-intensive. Actual CPU time and RAM requirements depend upon:

- size of the system under examination: As a rule of thumb, $CPU \propto N^{2\div3}$, RAM $\propto N^2$, where N = number of atoms in the unit cell (or supercell)
- *kind of system:* type and arrangement of atoms, influencing the number of plane waves, of Kohn-Sham orbitals, of **k**-points (in periodic systems) needed...
- *desired results:* computational effort increases from simple self-consistent (single-point) calculation to structural optimization to reaction pathways, molecular-dynamics simulations, ...

CPU time mostly spent in FFT and linear algebra RAM mostly needed to store Kohn-Sham states

Typical computational requirements

Basic step: self-consistent ground-state DFT electronic structure.

- Simple crystals, small molecules, up to \sim 50 atoms CPU seconds to hours, RAM up to 1-2 Gb: may run on single PC
- Surfaces, larger molecules, complex or defective crystals, up to a few hundreds atoms CPU hours to days, RAM up to 10-20 Gb: *requires PC clusters or conventional parallel machines*
- Complex nanostructures or biological systems CPU days to weeks or more, RAM tens to hundreds Gb: *massively parallel machines*

Main factor pushing towards parallel machines is the large CPU requirements — but the need to distribute RAM may also be a strong driving factor.

Parallelization of QUANTUM ESPRESSO

Several *parallelization levels* are implemented; most of them require *fast* interprocess communications.

Scalability of realistic calculations on up to tens of thousands cores, using mixed MPI-OpenMP parallelization, has been demonstrated.

Careful optimization of nonscalable RAM and computations required! Scalability strongly depends upon the kind and size of system!



CP Scalability on BG/Q, 1532-atom porphyrin-functionalized carbon nanotube (data from paper appearing in next slide)

Summary of parallelization levels

N. Varini et al. / Computer Physics Communications 184 (2013) 1827-1833



MPI Communicators Hierarchy

Summary of parallelization levels (2)

group	distributed quantities	communications	performances
image	NEB images, phonon modes	very low	linear CPU scaling, fair to good load balancing:
pool	' k-points	low	does not distribute RAM almost linear CPU scaling, fair to good load balancing; may distribute some RAM
bands plane- wave	Kohn-Sham orbitals PW, G -vector coefficients R -space FFT arrays	high s, high	improves scaling good CPU scaling, good load balancing, distributes most RAM
task linear- algebra	FFT on electron states subspace hamiltonians and constraints matrices	high very high	improves load balancing improves scaling, distributes more RAM
OpenMP	FFT, libraries	intra-node	extends scaling on multicore machines

Importance of collaboration with computing centers



S. Corni, A. Calzolari, G. Cicero, C. Cavazzoni, A. Catellani and R. Di Felice

$\operatorname{QUANTUM}$ ESPRESSO on GPU's

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GPU-accelerated Quan	tum ESPRESSO				
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😲 🕼 branch: master 🗸	QE-GPU / +			≁ Pulse	
Tested yet but I wuold not	suggest to use it because of the old-fash	i			
fspiga authored on Oct 27, 2014			latest commit 5d5c24bf33	III Graphs	
GPU	Fix for NVIDIA cuda-proxy		4 months ago	HTTPS clone UBI	
e-patches	Patches generated for 14.03.0		10 months ago	https://github.com/fs;	
	Ready for v14.06.0 8 months ago You ca		You can clone with HTTPS or		
License	Uniformed name convention across the	e entire package	a year ago		
	Updated README		4 months ago	↓ Download ZIP	
RELEASE_NOTES	Tested yet but I wuold not suggest to u	use it because of the old-fashi	4 months ago		

QE-GPU: GPU-Accelerated Quantum ESPRESSO

Quantum ESPRESSO is an integrated suite of Open-Source computer codes for electronic-structure calculations and materials modeling at the nanoscale.

The aim of QE-GPU is to create a "plugin-like" component for the standard Quantum ESPRESSO package that allows to exploit the capabilities of NVIDIA GPU graphics cards in order to allow materials scientists to do better and fast science. GPU acceleration is currently available for the Plane-Wave Self-Consistent Field (PWscf) code and the energy barriers and reaction

Perspectives and Outlook

- More packages for advanced methodologies
- Better-structured distribution, with interfaces to external codes and to python scripting
- Porting to new architectures: hybrid CPU-GPUs, Intel Xeon Phi
- Towards the exascale (really!): communication-reducing and latency-hiding algorithms, parallelization everywhere



Credits

- Thanks to all people whose slides and pictures I borrowed
- Thanks to all people who contributed to QUANTUM ESPRESSO
- ...and thanks to you all