# Inflation, gravity waves & BICEP2

### D. Klemm Dip. di Fisica, Univ. di Milano INFN, Sezione di Milano



### Consiglio di Sezione, 13-06-2014

Plan:

-What is inflation and why do we need it?

-Inflation and gravity waves

-Final remarks: Inflation and the multiverse

"The following should become part of common knowledge..." (J. M. Maldacena, ICTP Trieste, april 3, 2014)

The conventional Big Bang theory requires very fine-tuned initial conditions to allow the universe to evolve to its current state. One of the major achievements of inflation is that it explains the initial conditions of the universe. Via inflation, our universe grew out of generic initial conditions

The conventional Big Bang theory requires very fine-tuned initial conditions to allow the universe to evolve to its current state. One of the major achievements of inflation is that it explains the initial conditions of the universe. Via inflation, our universe grew out of generic initial conditions

### i) Homogeneity/horizon problem:

- In cosmology, one assumes that the universe is spatially homogeneous (no preferred point in space) and isotropic (no preferred spatial direction).

The conventional Big Bang theory requires very fine-tuned initial conditions to allow the universe to evolve to its current state. One of the major achievements of inflation is that it explains the initial conditions of the universe. Via inflation, our universe grew out of generic initial conditions

### i) Homogeneity/horizon problem:

- In cosmology, one assumes that the universe is spatially homogeneous (no preferred point in space) and isotropic (no preferred spatial direction).

### Why is this a good assumption?



From CMB observations (COBE, WMAP, Planck):

Inhomogeneities were much smaller in the past (at last scattering, 380.000 yrs after Big Bang) than today.

From CMB observations (COBE, WMAP, Planck):

Inhomogeneities were much smaller in the past (at last scattering, 380.000 yrs after Big Bang) than today.

 $\Rightarrow$  Inhomogeneities must have been even smaller at yet earlier times.

How do we explain the smoothness of the early universe?

From CMB observations (COBE, WMAP, Planck):

Inhomogeneities were much smaller in the past (at last scattering, 380.000 yrs after Big Bang) than today.

 $\Rightarrow$  Inhomogeneities must have been even smaller at yet earlier times.

How do we explain the smoothness of the early universe?

Moreover:

In the conventional Big Bang picture the early universe consisted of a large number of causally disconnected regions of space.

From CMB observations (COBE, WMAP, Planck):

Inhomogeneities were much smaller in the past (at last scattering, 380.000 yrs after Big Bang) than today.

 $\Rightarrow$  Inhomogeneities must have been even smaller at yet earlier times.

How do we explain the smoothness of the early universe?

Moreover:

In the conventional Big Bang picture the early universe consisted of a large number of causally disconnected regions of space.

Why do these causally separated patches show such similar physical conditions?

• Expansion of universe described by scale factor a(t)Dynamics of a(t) governed by Friedmann eqns. (that follow from Einstein eqns.)

- Expansion of universe described by scale factor a(t)Dynamics of a(t) governed by Friedmann eqns. (that follow from Einstein eqns.)
  - e.g. 1<sup>st</sup> Friedmann:  $H^2 = \frac{\rho}{3} \frac{k}{a^2}$
- $H = \frac{\dot{a}}{a}$  Hubble parameter,  $\rho = \text{energy density in universe}$ k = curvature of spatial geometry of our universe

- Expansion of universe described by scale factor a(t)Dynamics of a(t) governed by Friedmann eqns. (that follow from Einstein eqns.)
  - e.g. 1<sup>st</sup> Friedmann:  $H^2 = \frac{\rho}{3} \frac{k}{a^2}$

 $H = \frac{\dot{a}}{a}$  Hubble parameter,  $\rho = \text{energy density in universe}$ k = curvature of spatial geometry of our universe

A combination of CMB and LSS observations indicates that the spatial geometry of the universe is flat, k = 0.  $k = 0 \Rightarrow \rho = 3H^2 =: \rho_{crit}$ 

Define 
$$\Omega := \frac{\rho}{\rho_{\text{crit}}}$$
 1<sup>st</sup> Friedmann  $\Rightarrow 1 - \Omega = -\frac{k}{(aH)^2}$ 

- Expansion of universe described by scale factor a(t)Dynamics of a(t) governed by Friedmann eqns. (that follow from Einstein eqns.)
  - e.g. 1<sup>st</sup> Friedmann:  $H^2 = \frac{\rho}{3} \frac{k}{a^2}$

 $H = \frac{\dot{a}}{a}$  Hubble parameter,  $\rho = \text{energy density in universe}$ k = curvature of spatial geometry of our universe

A combination of CMB and LSS observations indicates that the spatial geometry of the universe is flat, k = 0.  $k = 0 \Rightarrow \rho = 3H^2 =: \rho_{crit}$ 

Define 
$$\Omega := \frac{\rho}{\rho_{\text{crit}}}$$
 1<sup>st</sup> Friedmann  $\Rightarrow 1 - \Omega = -\frac{k}{(aH)^2}$ 

In standard cosmology:  $(aH)^{-1}$  ('comoving Hubble radius') grows with time  $\Rightarrow |\Omega - 1|$  must diverge with time  $\Rightarrow$  the near-flatness observed today ( $\Omega \approx 1$ ) requires extreme fine-tuning of  $\Omega$  close to 1 in early universe!

E.g.  $|\Omega_{GUT} - 1| \le \mathcal{O}(10^{-55}), |\Omega_{Pl} - 1| \le \mathcal{O}(10^{-61})$ 

 $\Rightarrow$  the near-flatness observed today ( $\Omega \approx 1$ ) requires extreme fine-tuning of  $\Omega$  close to 1 in early universe!

E.g.  $|\Omega_{GUT} - 1| \le \mathcal{O}(10^{-55}), |\Omega_{Pl} - 1| \le \mathcal{O}(10^{-61})$ 

#### iii) Magnetic monopoles:

Grand unified theories (from which the standard model  $SU(3) \times SU(2) \times U(1)$  arose by breaking of a larger symmetry group) predict magnetic monopoles.

Why do we not observe them today?

Way out: Look at 1<sup>st</sup> Friedmann:  $1 - \Omega = -\frac{k}{(aH)^2}$ If  $(aH)^{-1}$  decreases instead of growing with time:  $\rightarrow$  This drives the universe towards flatness! (Rather than away from it) Way out: Look at 1<sup>st</sup> Friedmann:  $1 - \Omega = -\frac{k}{(aH)^2}$ If  $(aH)^{-1}$  decreases instead of growing with time:  $\rightarrow$  This drives the universe towards flatness! (Rather than away from it) Can show:  $\frac{d}{dt}\frac{1}{aH} < 0 \Rightarrow \ddot{a} > 0 \Rightarrow \rho + 3p < 0$ 

 $\Rightarrow$  Need accelerated expansion of the universe ('inflation')

Friedm. eqns.

Way out: Look at 1<sup>st</sup> Friedmann:  $1 - \Omega = -\frac{k}{(aH)^2}$ If  $(aH)^{-1}$  decreases instead of growing with time:  $\rightarrow$  This drives the universe towards flatness! (Rather than away from it) Can show:  $\frac{d}{dt} \frac{1}{aH} < 0 \Rightarrow \ddot{a} > 0 \Rightarrow \rho + 3p < 0$ Friedm. eqns.  $\Rightarrow$  Need accelerated expansion of the universe ('inflation') Note: This solves also problems i) and iii), since: i) light cones are determined by conformal time  $\tau = \int \frac{dt}{a(t)}$ .

Inflation pushes big bang singularity to 'infinite past',  $\tau \to -\infty$ .  $\Rightarrow$  light cones of apparently causally disconnected regions actually intersect at an earlier time (if inflation lasts long enough)





iii) Magnetic monopoles are created before (or during) inflation, so that the rapid expansion thereafter dilutes their density to unobservably low levels.





CMB fluctuations are created by quantum fluctuations  $\delta\phi \sim 60$ e-folds before end of inflation



CMB fluctuations are created by quantum fluctuations  $\delta\phi \sim 60$ e-folds before end of inflation

inflation ends



CMB fluctuations are created by quantum fluctuations  $\delta\phi \sim 60$ e-folds before end of inflation

inflation ends

scalar field oscillates around minimum of potential. Inflationary energy is converted into standard model dof's and hot Big Bang starts

$$\epsilon := \frac{M_{\rm Pl}^2}{2} \left(\frac{V'(\phi)}{V(\phi)}\right)^2 \ll 1$$

(potential nearly constant, such that potential energy dominates over kinetic energy ('slow roll'); needed for negative pressure)

$$\eta := M_{\rm Pl}^2 \frac{V''(\phi)}{V}; \quad |\eta| \ll 1$$

(potential weakly curved, in order for inflation to last long enough to solve horizon problem)

$$\epsilon := \frac{M_{\rm Pl}^2}{2} \left(\frac{V'(\phi)}{V(\phi)}\right)^2 \ll 1$$

(potential nearly constant, such that potential energy dominates over kinetic energy ('slow roll'); needed for negative pressure)

$$\eta := M_{\rm Pl}^2 \frac{V''(\phi)}{V}; \quad |\eta| \ll 1$$

(potential weakly curved, in order for inflation to last long enough to solve horizon problem)

-Inflation was proposed by Alexei Starobinski



 $\epsilon := \frac{M_{\rm Pl}^2}{2} \left(\frac{V'(\phi)}{V(\phi)}\right)^2 \ll 1$ 

(potential nearly constant, such that potential energy dominates over kinetic energy ('slow roll'); needed for negative pressure)

$$\eta := M_{\rm Pl}^2 \frac{V''(\phi)}{V}; \quad |\eta| \ll 1$$

(potential weakly curved, in order for inflation to last long enough to solve horizon problem)

-Inflation was proposed by Alexei Starobinski (1979/80) in the Soviet Union, and simultaneously by Alan Guth (1980/81) in the US.



 $\epsilon := \frac{M_{\rm Pl}^2}{2} \left(\frac{V'(\phi)}{V(\phi)}\right)^2 \ll 1$ 

(potential nearly constant, such that potential energy dominates over kinetic energy ('slow roll'); needed for negative pressure)

$$\eta := M_{\rm Pl}^2 \frac{V''(\phi)}{V}; \quad |\eta| \ll 1$$

(potential weakly curved, in order for inflation to last long enough to solve horizon problem)

-Inflation was proposed by Alexei Starobinski (1979/80) in the Soviet Union, and simultaneously by Alan Guth (1980/81)



in the US.



 $\epsilon := \frac{M_{\rm Pl}^2}{2} \left( \frac{V'(\phi)}{V(\phi)} \right)^2 \ll 1$ 

(potential nearly constant, such that potential energy dominates over kinetic energy ('slow roll'); needed for negative pressure)

$$\eta := M_{\rm Pl}^2 \frac{V''(\phi)}{V}; \quad |\eta| \ll 1$$

(potential weakly curved, in order for inflation to last long enough to solve horizon problem)

-Inflation was proposed by Alexei Starobinski (1979/80) in the Soviet Union, and simultaneously by Alan Guth (1980/81)



in the US.



Further inflation pioneers: Andrei Linde, Andreas Albrecht and Paul Steinhardt

-Take Einstein eqns. sourced by scalar field (inflaton),

$$G_{\mu\nu} = 8\pi G T_{\mu\nu} \,,$$

geometry energy-momentum tensor of inflaton and linearize around background solution  $\phi = \phi(t)$ ,  $ds^2 = -dt^2 + a^2(t)(dx^2 + dy^2 + dz^2)$ .

-Take Einstein eqns. sourced by scalar field (inflaton),

geometry energy-momentum tensor of inflaton  
and linearize around background solution 
$$\phi = \phi(t)$$
  
 $ds^2 = -dt^2 + a^2(t)(dx^2 + dy^2 + dz^2).$   
 $\Rightarrow$  fluctuations  $\delta\phi$ ,  $\delta g_{\mu\nu}$   
1 dof 10 dof's

C

 $-8\pi GT$ 

-Take Einstein eqns. sourced by scalar field (inflaton),

$$G_{\mu\nu} = 8\pi G T_{\mu\nu} \,,$$

geometry energy-momentum tensor of inflaton and linearize around background solution  $\phi = \phi(t)$ ,  $ds^2 = -dt^2 + a^2(t)(dx^2 + dy^2 + dz^2)$ .  $\Rightarrow$  fluctuations  $\delta\phi$ ,  $\delta g_{\mu\nu}$ 1 dof 10 dof's

-However: We can reduce effective number of dof's by using the 4 coordinate transformations (gauge freedom of general relativity) Moreover, Einstein's eqns. contain 4 nondynamical eqns. (constraints), which can be used to express some of the fields in terms of the others

-Take Einstein eqns. sourced by scalar field (inflaton),

$$G_{\mu\nu} = 8\pi G T_{\mu\nu} \,,$$

geometry energy-momentum tensor of inflaton and linearize around background solution  $\phi = \phi(t)$ ,  $ds^2 = -dt^2 + a^2(t)(dx^2 + dy^2 + dz^2)$ .  $\Rightarrow$  fluctuations  $\delta\phi$ ,  $\delta g_{\mu\nu}$ 1 dof 10 dof's

-However: We can reduce effective number of dof's by using the 4 coordinate transformations (gauge freedom of general relativity) Moreover, Einstein's eqns. contain 4 nondynamical eqns. (constraints), which can be used to express some of the fields in terms of the others

 $\Rightarrow 1 + 10 - 4 - 4 = 3$  effective degrees of freedom:

1 scalar  $\delta \phi$ 

2 tensor  $h_{ij}$  (i, j = 1, 2, 3)  $\frac{\partial h_{xj}}{\partial x} + \frac{\partial h_{yj}}{\partial y} + \frac{\partial h_{zj}}{\partial z} = 0$  (transverse) -3  $h_{xx} + h_{yy} + h_{zz} = 0$  (traceless) -1  $\frac{-1}{2}$  OK  $\Rightarrow h^s, s = \pm (2 \text{ helicities of graviton})$  1 scalar  $\delta \phi$ 

 $\begin{array}{ll} 2 \ \text{tensor} \ h_{ij} \ (i,j=1,2,3) & \qquad 6 \ \text{dof's} \\ \\ \frac{\partial h_{xj}}{\partial x} + \frac{\partial h_{yj}}{\partial y} + \frac{\partial h_{zj}}{\partial z} = 0 \quad (\text{transverse}) & -3 \\ \\ h_{xx} + h_{yy} + h_{zz} = 0 \quad (\text{traceless}) & \frac{-1}{2} \\ \hline & 2 & \text{OK} \\ \\ \Rightarrow h^s, s = \pm (2 \ \text{helicities of graviton}) \\ \end{array}$ 

These are free fields (since we linearized Einstein eqns.  $\Rightarrow$  quadratic action for  $\delta\phi$ ,  $h^s$ )  $\Rightarrow$  Have collection of harmonic oscillators  $\delta\phi_{\vec{k}}(t)$ ,  $h^s_{\vec{k}}(t)$ (Fourier transforms of  $\delta\phi(\vec{x},t)$ ,  $h^s(\vec{x},t)$ ) 1 scalar  $\delta\phi$ 

 $\begin{array}{ll} 2 \text{ tensor } h_{ij} \ (i,j=1,2,3) & \qquad 6 \text{ dof's} \\ \frac{\partial h_{xj}}{\partial x} + \frac{\partial h_{yj}}{\partial y} + \frac{\partial h_{zj}}{\partial z} = 0 \quad (\text{transverse}) & -3 \\ h_{xx} + h_{yy} + h_{zz} = 0 \quad (\text{traceless}) & \frac{-1}{2} & \frac{1}{2} & \text{OK} \\ \Rightarrow h^s, s = \pm (2 \text{ helicities of graviton}) \\ -\text{Quantize } \delta \phi, h^s \end{array}$ 

These are free fields (since we linearized Einstein eqns.  $\Rightarrow$  quadratic action for  $\delta\phi$ ,  $h^s$ )  $\Rightarrow$  Have collection of harmonic oscillators  $\delta\phi_{\vec{k}}(t)$ ,  $h^s_{\vec{k}}(t)$ (Fourier transforms of  $\delta\phi(\vec{x},t)$ ,  $h^s(\vec{x},t)$ ) -Compute power spectrum  $\langle 0|\delta\phi_{\vec{k}}(t)\delta\phi_{\vec{k}'}(t)|0\rangle$ , and similar for  $h^s_{\vec{k}}(t)$ 

vacuum state

The true merit of inflation is that it provides a theory of inhomogeneities in the universe, which can explain the observed structures. These inhomogeneities (e.g. in CMB) arise from vacuum fluctuations  $\delta\phi$  of the inflaton, which are blown up to macroscopic scales by the exponential expansion of the universe during inflation

The true merit of inflation is that it provides a theory of inhomogeneities in the universe, which can explain the observed structures. These inhomogeneities (e.g. in CMB) arise from vacuum fluctuations  $\delta\phi$  of the inflaton, which are blown up to macroscopic scales by the exponential expansion of the universe during inflation

 $h^s_{\vec{k}}(t) \to \text{gravity waves}$ 

What was measured by BICEP2 (and hopefully soon by Planck) are not directly these gravity waves, but their imprint on the CMB

The true merit of inflation is that it provides a theory of inhomogeneities in the universe, which can explain the observed structures. These inhomogeneities (e.g. in CMB) arise from vacuum fluctuations  $\delta\phi$  of the inflaton, which are blown up to macroscopic scales by the exponential expansion of the universe during inflation

 $h^s_{\vec{k}}(t) \to \text{gravity waves}$ 

What was measured by BICEP2 (and hopefully soon by Planck) are not directly these gravity waves, but their imprint on the CMB

- tensor to scalar ratio:  $r = \frac{\text{amplitude of tensor fluctuations}}{\text{amplitude of scalar fluctuations}}$ 

r is a direct measure of the energy scale of inflation (i.e., potential V of inflaton), since one can show that

$$V^{1/4} \sim \left(\frac{r}{0.01}\right)^{1/4} \cdot 10^{16} \,\mathrm{GeV}$$

BICEP2:  $r \sim 0.2$  (Planck: r < 0.11 at 95% confidence)  $\Rightarrow V^{1/4} \sim 2 \cdot 10^{16} \text{ GeV} = \text{susy GUT scale}$ (Dimopoulos/Raby/Wilczek '81)  $\rightarrow \text{coincidence}$ ? BICEP2:  $r \sim 0.2$  (Planck: r < 0.11 at 95% confidence)  $\Rightarrow V^{1/4} \sim 2 \cdot 10^{16} \text{ GeV} = \text{susy GUT scale}$ (Dimopoulos/Raby/Wilczek '81)  $\rightarrow \text{coincidence}$ ?

- Measuring r can also be used to rule out/confirm certain inflaton potentials  $V(\phi)$  (from which r can be computed) predicted by string theory

## V) Final Remarks: Inflation and the multiverse

`It's hard to build models of inflation that don't lead to a multiverse. It's not impossible, so I think there's still certainly research that needs to be done. But most models of inflation do lead to a multiverse, and evidence for inflation will be pushing us in the direction of taking the idea of a multiverse seriously.'

Alan Guth

## V) Final Remarks: Inflation and the multiverse

`It's hard to build models of inflation that don't lead to a multiverse. It's not impossible, so I think there's still certainly research that needs to be done. But most models of inflation do lead to a multiverse, and evidence for inflation will be pushing us in the direction of taking the idea of a multiverse seriously.'

#### Alan Guth

`It's possible to invent models of inflation that do not allow a multiverse, but it's difficult. Every experiment that brings better credence to inflationary theory brings us much closer to hints that the multiverse is real.'

Andrei Linde

## V) Final Remarks: Inflation and the multiverse

`It's hard to build models of inflation that don't lead to a multiverse. It's not impossible, so I think there's still certainly research that needs to be done. But most models of inflation do lead to a multiverse, and evidence for inflation will be pushing us in the direction of taking the idea of a multiverse seriously.'

#### Alan Guth

`It's possible to invent models of inflation that do not allow a multiverse, but it's difficult. Every experiment that brings better credence to inflationary theory brings us much closer to hints that the multiverse is real.'

#### Andrei Linde

(When the universe grew exponentially in the first tiny fraction of a second after the Big Bang, some parts of space-time expanded more quickly than others. This could have created `bubbles' of space-times that then developed into other universes.)