

A_N in lepton-proton collisions

Alexei Prokudin

Anselmino, Boglione, D'Alesio, Melis, Murgia, AP (2014)

Gamberg, Kang, Metz, Pitonyak, AP (2014 to appear)

June 12, 2014

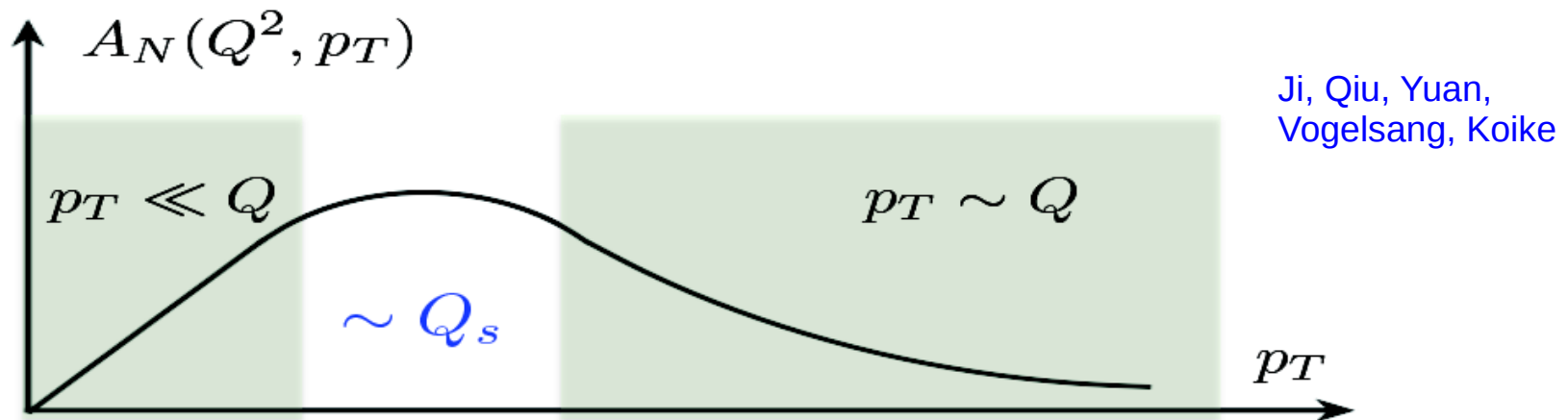
Collinear vs TMD factorization

Two types of factorization

$$Q_1, Q_2, \dots \gg \Lambda_{QCD} \quad \text{Collinear}$$

$$Q_1 \gg Q_2 > \Lambda_{QCD} \quad \text{TMD}$$

- Twist-3 – integration over parton momenta
- TMD – direct information on partonic transverse motion



Intermediate region, both formalisms are applicable and related in SIDIS

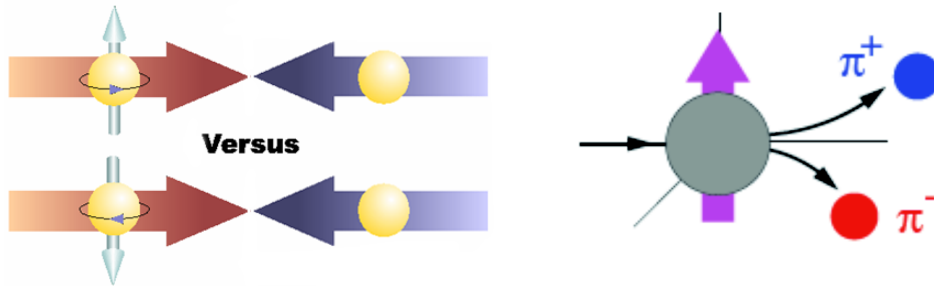
Ji, Qiu, Vogelsang, Yuan (2006) etc

Consistent in the overlap region!

Asymmetry

See talks by Mauro Anselmino, Yuji Koike, Daniel Pitonyak

Consider A_N in hadron hadron collision:



$$A_N = \frac{\sigma^{\uparrow} - \sigma^{\downarrow}}{\sigma^{\uparrow} + \sigma^{\downarrow}}$$

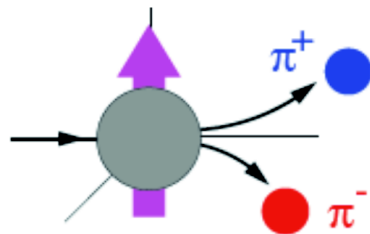
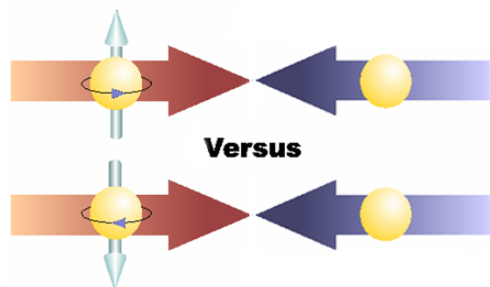
One scale P_T

Sub process qq, gq, gg

Asymmetry

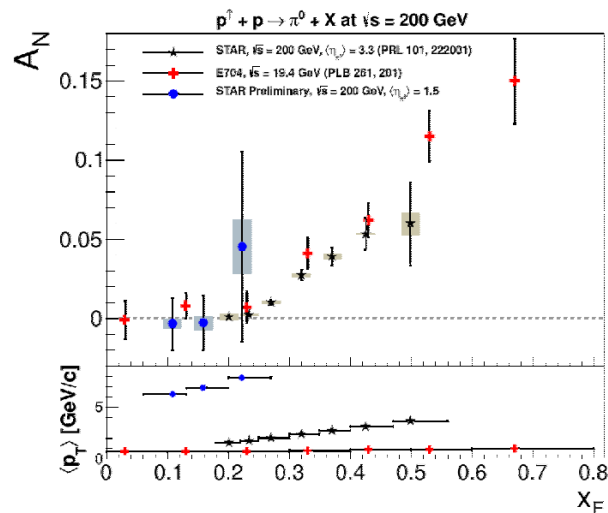
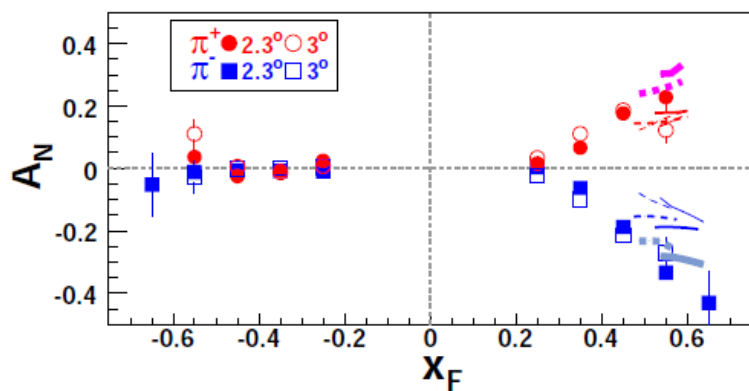
See talk by Les Bland

Consider A_N in hadron hadron collision:



$$A_N = \frac{\sigma^\uparrow - \sigma^\downarrow}{\sigma^\uparrow + \sigma^\downarrow}$$

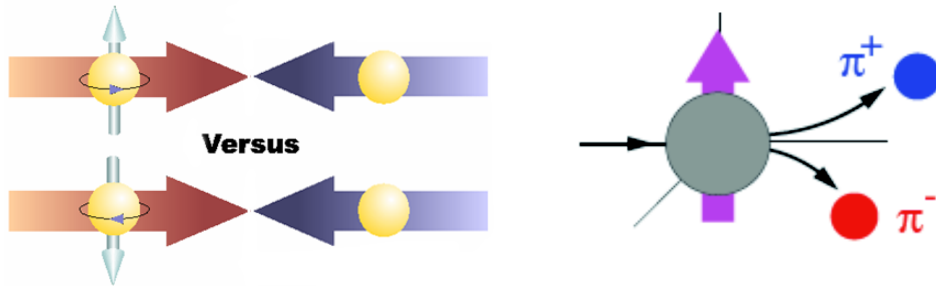
$\sqrt{s} = 62 \text{ GeV}$



RHIC: PHENIX, BRAHMS and STAR

Asymmetry

Consider A_N in hadron hadron collision:



$$A_N = \frac{\sigma^{\uparrow} - \sigma^{\downarrow}}{\sigma^{\uparrow} + \sigma^{\downarrow}}$$

TMD phenomenology

[See talk by Mauro Anselmino](#)

Sivers, Collins effects etc

[Anselmino, D'Alesio, Murgia, Boglione etc](#)

Twist-3 phenomenology

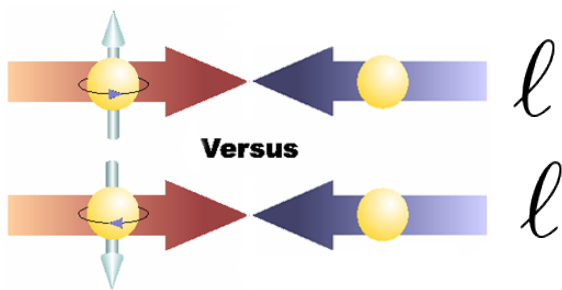
[See talks by Yuji Koike, Daniel Pitonyak](#)

Effects in distribution and fragmentation

[Vogelsang, Yuan, Koike, Metz, Pitonyak, Kang etc](#)

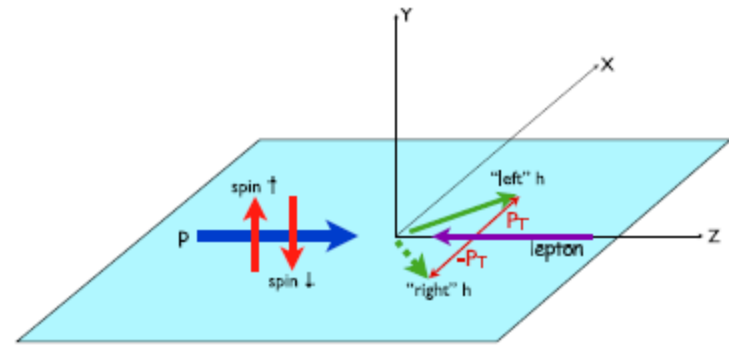
Lepton - Proton

Consider A_N in **lepton** hadron collision:

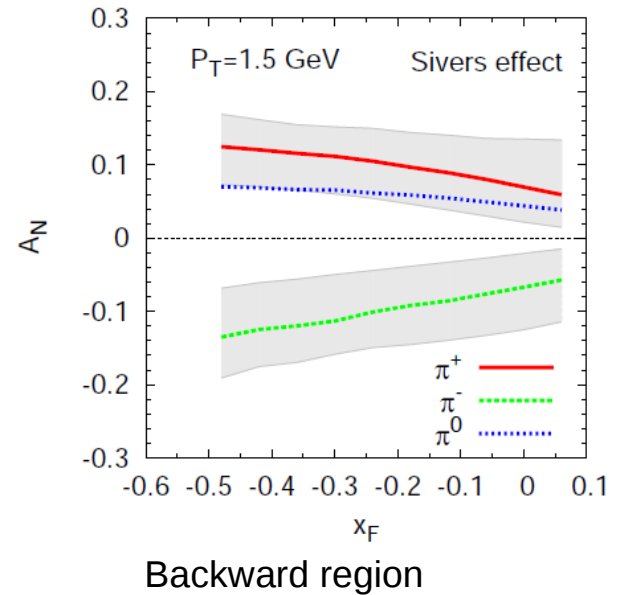


One scale P_T

Sub process lq



Anselmino, Boglione, D'Alesio, Melis, Murgia, AP (2010)



Assuming TMD factorization

Anselmino, Boglione, D'Alesio, Melis, Murgia, AP (2010, 2014)

$$d\sigma^{p\ell \rightarrow hX} = \sum_q f_{q/p}(x, \mathbf{k}_\perp; Q^2) \otimes d\hat{\sigma}^{q\ell \rightarrow q\ell} \otimes D_{h/q}(z, \mathbf{p}_\perp; Q^2)$$

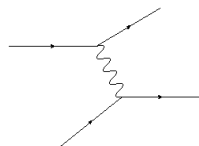
Asymmetry

$$d\sigma^\uparrow - d\sigma^\downarrow = \sum_q \left\{ \begin{aligned} &\Delta^N f_{q/p} \cos \phi_q \otimes d\hat{\sigma} \otimes D_{h/q} \\ &+ h_1^{q/p} \otimes d\Delta\hat{\sigma} \otimes \Delta^N D_{h/q} \cos \phi_C \\ &+ h_{1T}^{\perp q/p} \otimes d\Delta\hat{\sigma} \otimes \Delta^N D_{h/q} \cos(\phi_C - 2\phi_q) \end{aligned} \right\}$$

Sivers x Unpolarised FF

Transversity x Collins FF

Pretzelosity x Collins FF



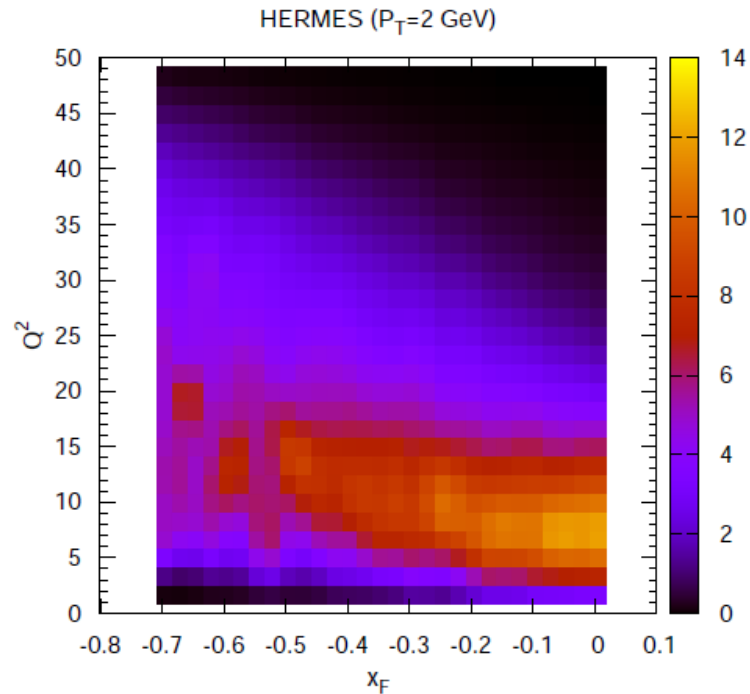
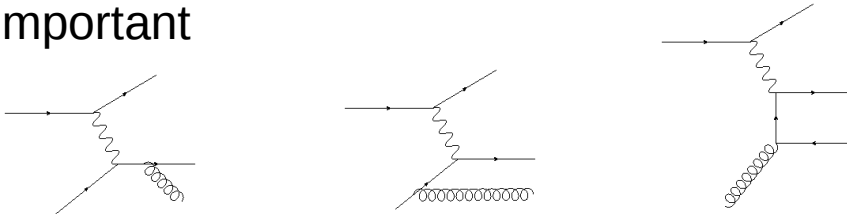
$$d\hat{\sigma} \simeq e_q^2 \frac{\hat{s}^2 + \hat{u}^2}{\hat{t}^2} \quad d\Delta\hat{\sigma} \simeq -e_q^2 \frac{\hat{s}\hat{u}}{\hat{t}^2}$$

Elementary cross-sections

$$\phi_C \equiv \phi_h^H + \phi_{q'}$$

Hard scattering – large $Q^2 \equiv -\hat{t} = -(p_{q'} - p_q)^2 > 1 \text{ (GeV}^2\text{)}$

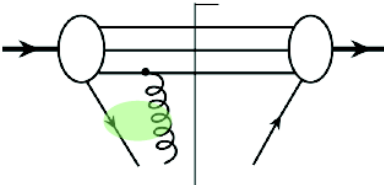
Photo-production might dominate cross section and higher orders will be important



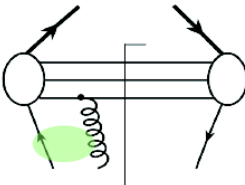
Monte-carlo TMD integration indicates that in backward region Q^2 can be large

Kang, Metz, Qiu, Zhou 2011
 Eguchi, Koike, Tanaka 2007
 Kang, Yuan, Zhou 2010
 Metz, Pitonyak 2013

$$d\sigma = H \otimes f_{a/A(3)} \otimes D_{c/C(2)} \\ + H'' \otimes f_{a/A(2)} \otimes D_{c/C(3)}$$

$$T^{(3)}(x, x, S_{\perp}) \propto$$


A Feynman diagram representing the twist-3 parton distribution function $T^{(3)}(x, x, S_{\perp})$. It shows a central horizontal line representing a parton with a vertical line representing a gluon. The parton line has two vertices, each with an incoming and outgoing arrow. The gluon line has two vertices, each with an incoming and outgoing arrow. A green shaded region is attached to the lower vertex of the gluon line. A vertical bracket is placed above the gluon line.

$$D^{(3)}(z, z) \propto$$


A Feynman diagram representing the twist-3 fragmentation function $D^{(3)}(z, z)$. It shows a central horizontal line representing a parton with a vertical line representing a gluon. The parton line has two vertices, each with an incoming and outgoing arrow. The gluon line has two vertices, each with an incoming and outgoing arrow. A green shaded region is attached to the lower vertex of the gluon line. A vertical bracket is placed above the gluon line.

Kang, Metz, Qiu, Zhou 2011
 Eguchi, Koike, Tanaka 2007
 Kang, Yuan, Zhou 2010
 Metz, Pitonyak 2013

$$\begin{aligned}
 P_h^0 \frac{d\sigma_{UT}}{d^3\vec{P}_h} = & -\frac{8\alpha_{\text{em}}^2}{S} \varepsilon_{\perp\mu\nu} S_{\perp}^{\mu} P_{h\perp}^{\nu} \sum_q e_q^2 \int_{z_{\text{min}}}^1 \frac{dz}{z^3} \frac{1}{S+T/z} \frac{1}{x} \\
 & \times \left\{ -\frac{\pi M}{\hat{u}} D_1^{h/q}(z) \left(F_{FT}^q(x, x) - x \frac{dF_{FT}^q(x, x)}{dx} \right) \left[\frac{\hat{s}(\hat{s}^2 + \hat{u}^2)}{2\hat{t}^3} \right] \right. \\
 & \quad \left. + \frac{M_h}{-x\hat{u} - \hat{t}} h_1^q(x) \left\{ \left(\hat{H}^{h/q}(z) - z \frac{d\hat{H}^{h/q}(z)}{dz} \right) \left[\frac{(1-x)\hat{s}\hat{u}}{\hat{t}^2} \right] \right. \right. \\
 & \quad \left. \left. + \frac{1}{z} H^{h/q}(z) \left[\frac{\hat{s}(\hat{s}^2 + (x-1)\hat{u}^2)}{\hat{t}^3} \right] + 2z^2 \int_z^{\infty} \frac{dz_1}{z_1^2} PV \frac{1}{\frac{1}{z} - \frac{1}{z_1}} \hat{H}_{FU}^{h/q, \mathfrak{S}}(z, z_1) \left[\frac{x\hat{s}^2\hat{u}}{\xi_z \hat{t}^3} \right] \right\} \right\}
 \end{aligned}$$



Kang, Metz, Qiu, Zhou 2011
 Eguchi, Koike, Tanaka 2007
 Kang, Yuan, Zhou 2010
 Metz, Pitonyak 2013

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 \end{aligned}$$

“Sivers”

$$\pi F_{FT}^q(x, x) = \int d^2\vec{k}_{\perp} \frac{\vec{k}_{\perp}^2}{2M^2} f_{1T}^{\perp q}(x, \vec{k}_{\perp}^2) \Big|_{\text{SIDIS}}$$

Boer, Mulders, Piljman 2003

Kang, Metz, Qiu, Zhou 2011
 Eguchi, Koike, Tanaka 2007
 Kang, Yuan, Zhou 2010
 Metz, Pitonyak 2013

$$\begin{aligned}
 P_h^0 \frac{d\sigma_{UT}}{d^3\vec{P}_h} = & -\frac{8\alpha_{em}^2}{S} \varepsilon_{\perp\mu\nu} S_{\perp}^{\mu} P_{h\perp}^{\nu} \sum_q e_q^2 \int_{z_{\min}}^1 \frac{dz}{z^3} \frac{1}{S+T/z} \frac{1}{x} \\
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 \end{aligned}$$

“Sivers”

“Collins”

$$\pi F_{FT}^q(x, x) = \int d^2\vec{k}_{\perp} \frac{\vec{k}_{\perp}^2}{2M^2} f_{1T}^{\perp q}(x, \vec{k}_{\perp}^2) \Big|_{\text{SIDIS}}$$

Boer, Mulders, Piljman 2003

$$\hat{H}^{h/q}(z) = z^2 \int d^2\vec{p}_{\perp} \frac{\vec{p}_{\perp}^2}{2M_h^2} H_1^{\perp h/q}(z, z^2\vec{p}_{\perp}^2)$$

Yuan, Zhou 2009
 Kang, Yuan, Zhou 2010
 Metz, Pitonyak 2013

Kang, Metz, Qiu, Zhou 2011
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 \end{aligned}$$

“Sivers”

“Collins”

“Collins-type”

$$\pi F_{FT}^q(x, x) = \int d^2\vec{k}_{\perp} \frac{\vec{k}_{\perp}^2}{2M^2} f_{1T}^{\perp q}(x, \vec{k}_{\perp}^2) \Big|_{\text{SIDIS}}$$

Boer, Mulders, Piljman 2003

$$\hat{H}^{h/q}(z) = z^2 \int d^2\vec{p}_{\perp} \frac{\vec{p}_{\perp}^2}{2M_h^2} H_1^{\perp h/q}(z, z^2\vec{p}_{\perp}^2)$$

Yuan, Zhou 2009
 Kang, Yuan, Zhou 2010
 Metz, Pitonyak 2013

$$H^{h/q}(z) = -2z\hat{H}^{h/q}(z) + 2z^3 \int_z^{\infty} \frac{dz_1}{z_1^2} PV \frac{1}{\frac{1}{z} - \frac{1}{z_1}} \hat{H}_{FU}^{h/q, \mathfrak{S}}(z, z_1)$$

Metz, Pitonyak 2013

See talk by Daniel Pitonyak

See talk by Charlotte Van Hulse

HERMES

Physics Letters B 728 (2014) 183–190

$$d\sigma = d\sigma_{UU}[1 + S_T \sin \psi A_{UT}^{\sin \psi}]$$

$$\sin \psi = \hat{S}_T \cdot (\hat{P}_T \times \hat{k}) \text{ and } \hat{k} = -\hat{p}$$

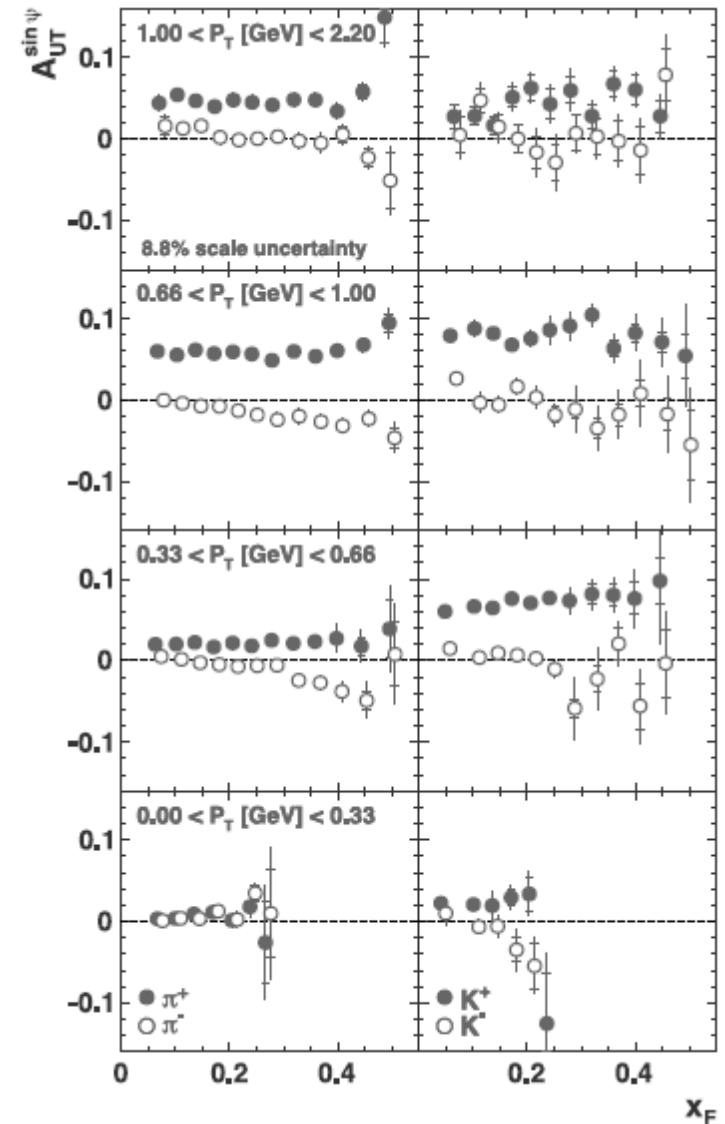
Left \leftrightarrow right interchanged, so that $x_F^H = -x_F$
 positive mean backward hemisphere

$$A_{UT}^{\sin \psi}(x_F, P_T)|_{\text{HERMES}} = A_N^{p \uparrow \ell \rightarrow h X}(-x_F, P_T)$$

We can use only $P_T > 1$ (GeV)

Only one bin $\langle P_T \rangle \simeq 1$ (GeV)

Additional tagged sample with $Q^2 > 1$ (GeV²)

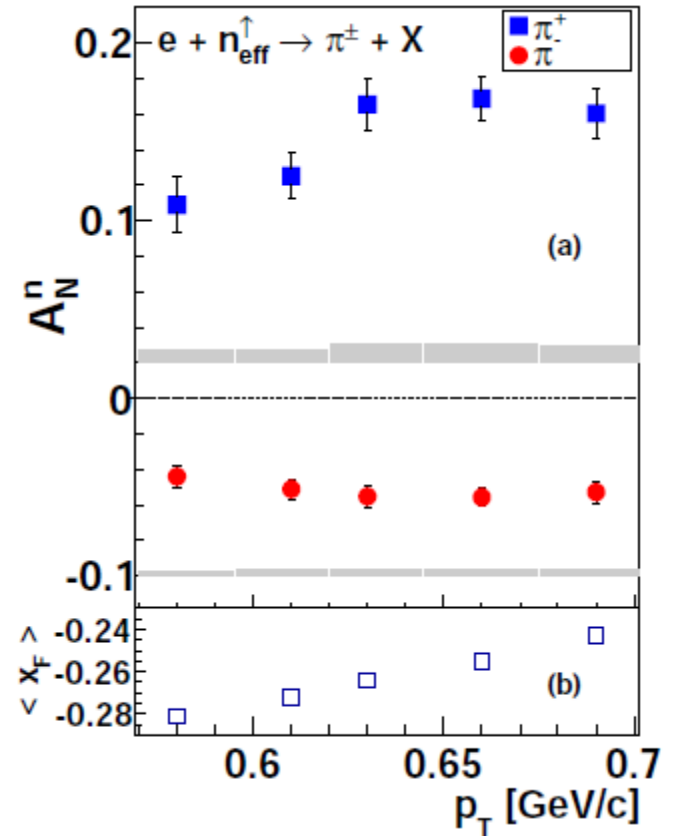


JLab Phys. Rev. C 89, 042201 (2014)

Definition is such that

$$A_N(x_F)|_{\text{JLab}} = -A_N(x_F)$$

We can use only $P_T > 1$ (GeV)



Phenomenology TMD

Anselmino, Boglione, D'Alesio, Melis, Murgia, AP (2014)

Ingredients

Sivers and Collins mechanisms

SIDIS I: Sivers extraction 2005 ([Anselmino et al, 05](#)) with Kretzer FF ([Kretzer 2000](#)),
Transversity and Collins FF extraction 2007 ([Anselmino et al. 07](#))

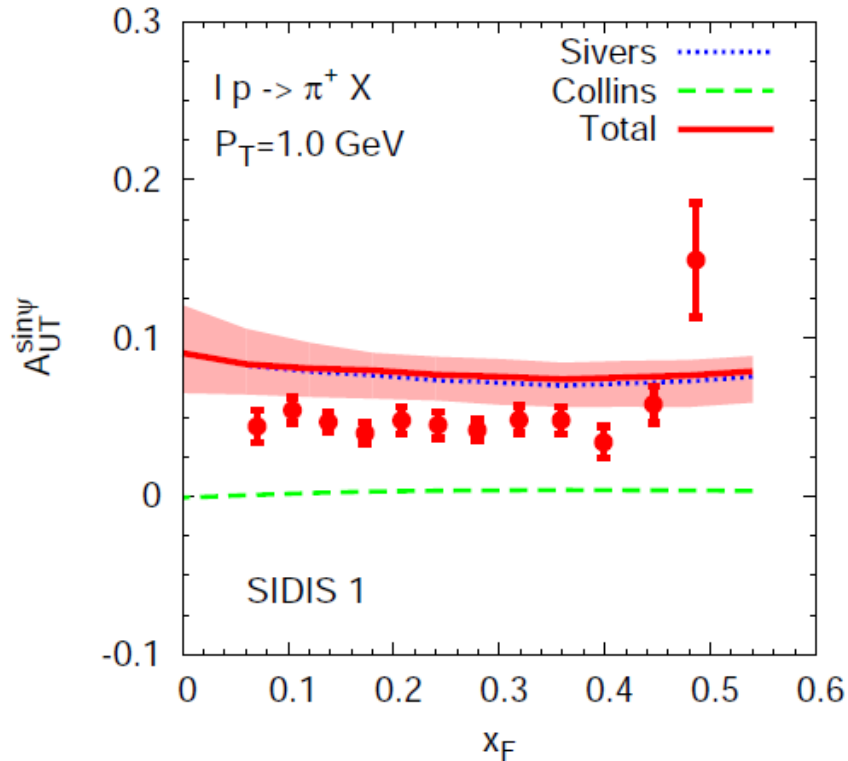
SIDIS II: Sivers extraction 2009 with sea quarks ([Anselmino et al. 09](#))
with DSS FF ([De Florian-Sassot-Stratmann 07](#)),
Transversity and Collins FF extraction 2009 ([Anselmino et al. 09](#))

All uncertainties are estimated in extractions as envelope of parameters that generate the error band (200 copies)

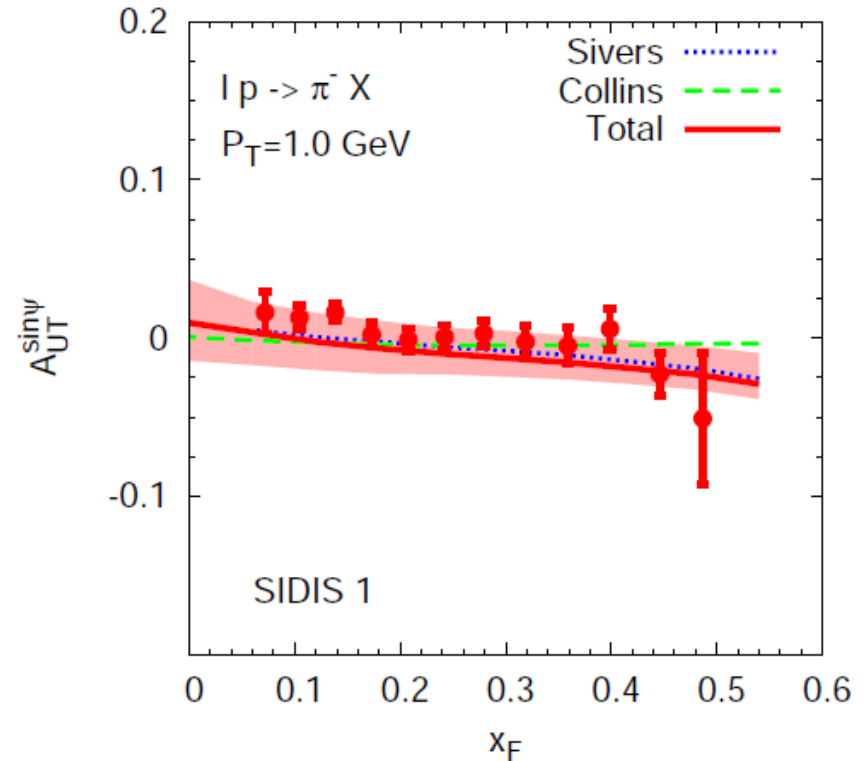
Phenomenology TMD: SIDIS I

Anselmino, Boglione, D'Alesio, Melis, Murgia, AP (2014)

inclusive [backward target hemisph.]



inclusive [backward target hemisph.]



Collins contribution is suppressed, Sivers dominates.

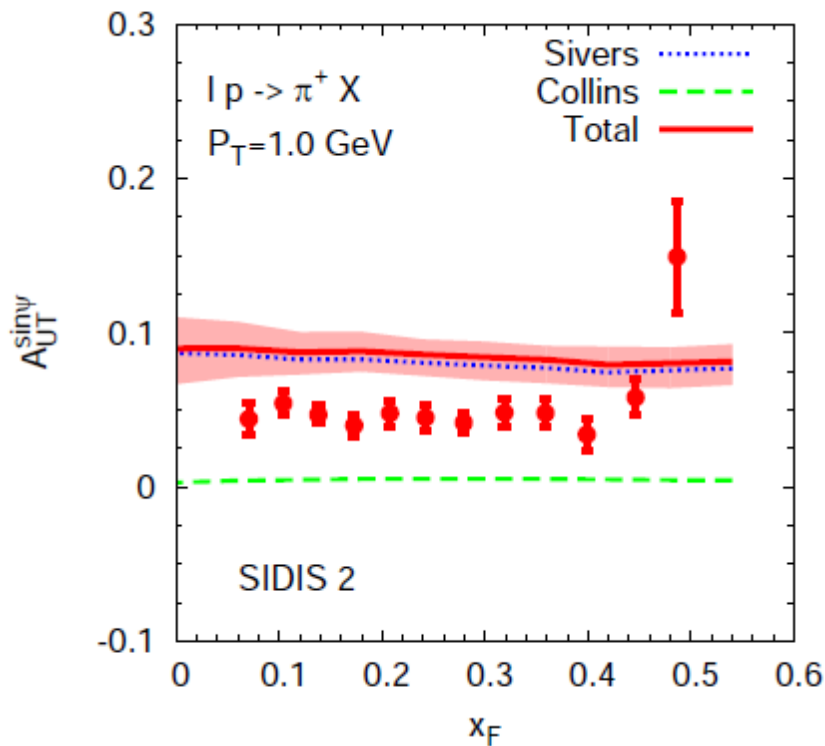
Flat behavior in x_F

Sivers extraction 2005 with Kretzer FF, π^- dominated by u quark and unfavored FF

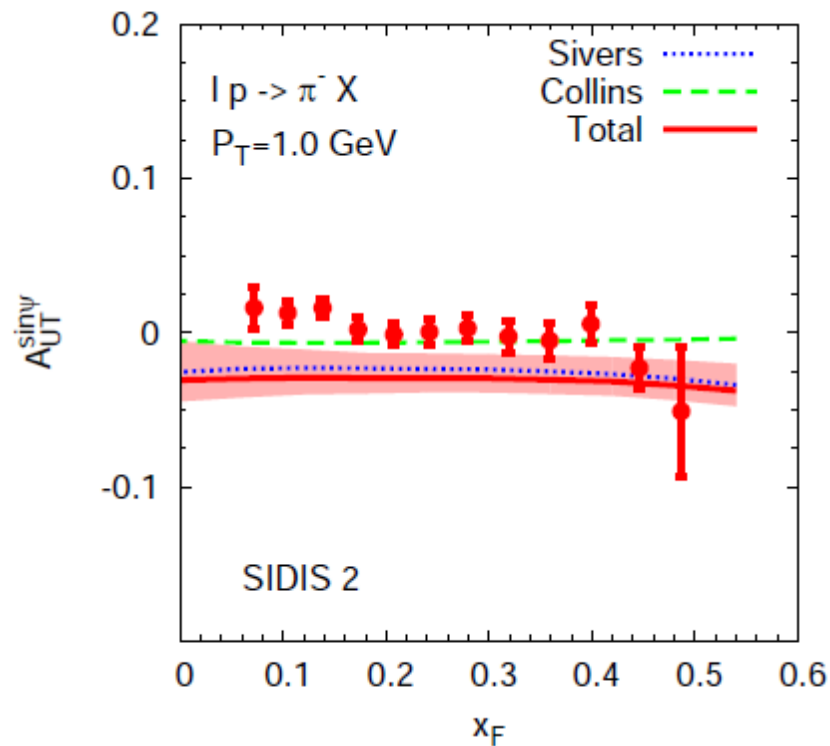
Phenomenology TMD: SIDIS II

Anselmino, Boglione, D'Alesio, Melis, Murgia, AP (2014)

inclusive [backward target hemisph.]



inclusive [backward target hemisph.]



Collins contribution is suppressed, Siverson dominates. π^+ similar to SIDIS I.

Flat behavior in x_F

Siverson extraction 2009 with DSS FF, π^- dominated by d quark and favored FF

Phenomenology twist-3

Gamberg, Kang, Metz, Pitonyak, AP (to appear)

Ingredients

$F_{FT}^q(x, x)$ related to Sivers, Sivers extraction 2009 with sea quarks ([Anselmino et al. 09](#))

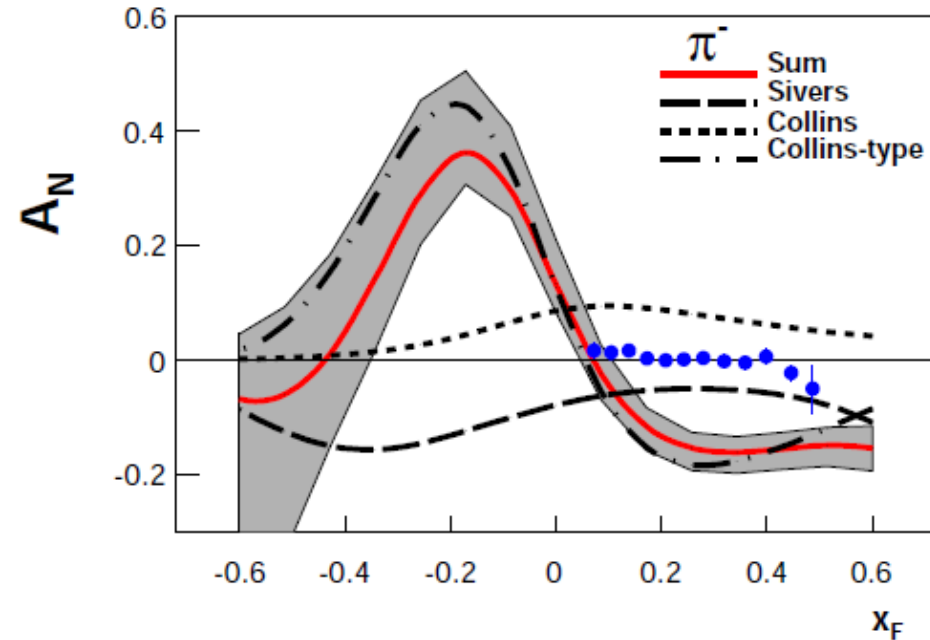
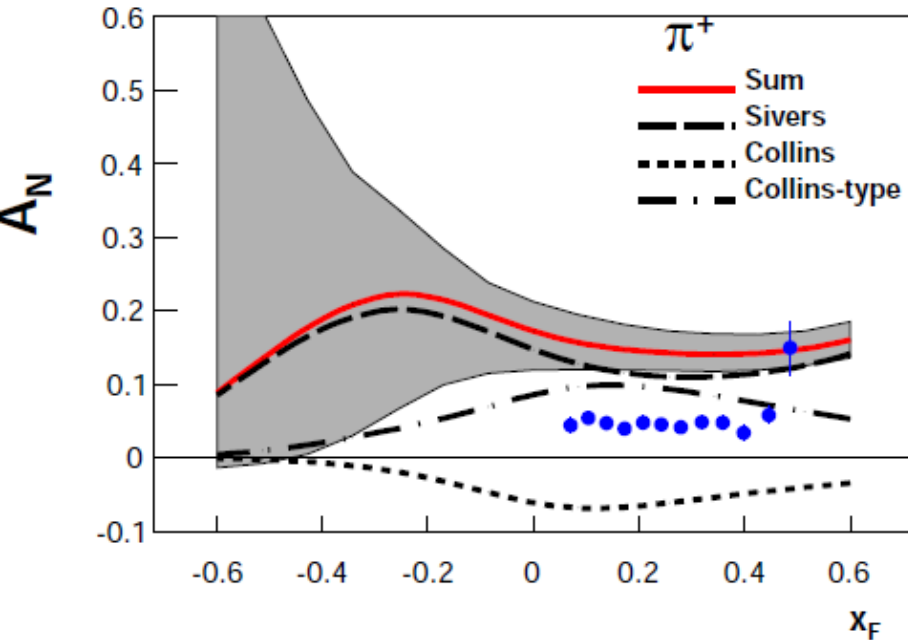
$\hat{H}^{h/q}(z)$ related to Collins FF, Transversity and Collins FF extraction 2013
([Anselmino et al. 13](#))

$H^{h/q}(z), \hat{H}_{FU}^{h/q, \mathcal{S}}(z, z_1)$ functions from PP, ([Kanazawa, Koike, Metz, Pitonyak 14](#))

All uncertainties are estimated in extractions as envelope of parameters that generate the error band only for Sivers and Collins

Phenomenology: twist-3

Gamberg, Kang, Metz, Pitonyak, AP (to appear)



Collins contribution is suppressed, Siverts dominates for π^+

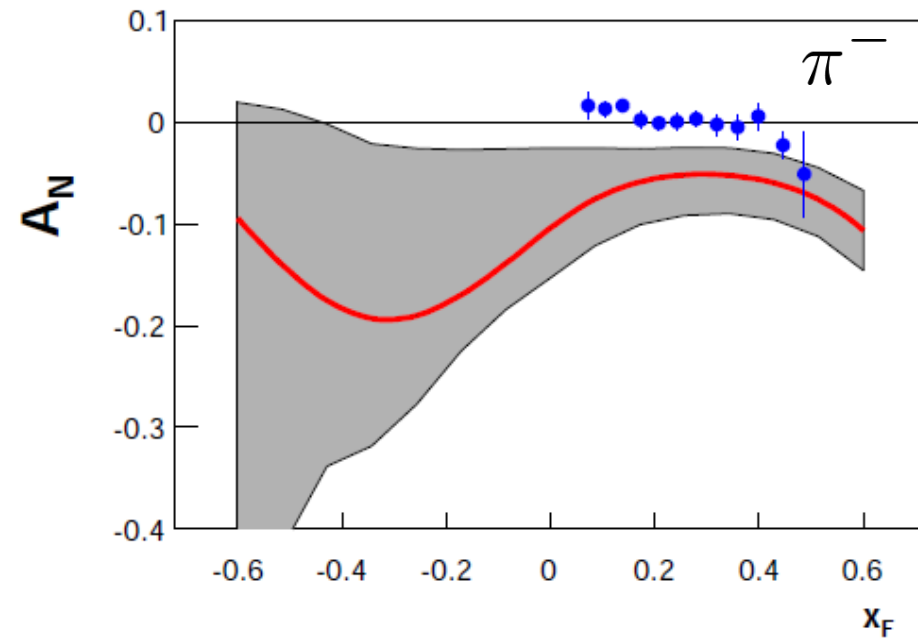
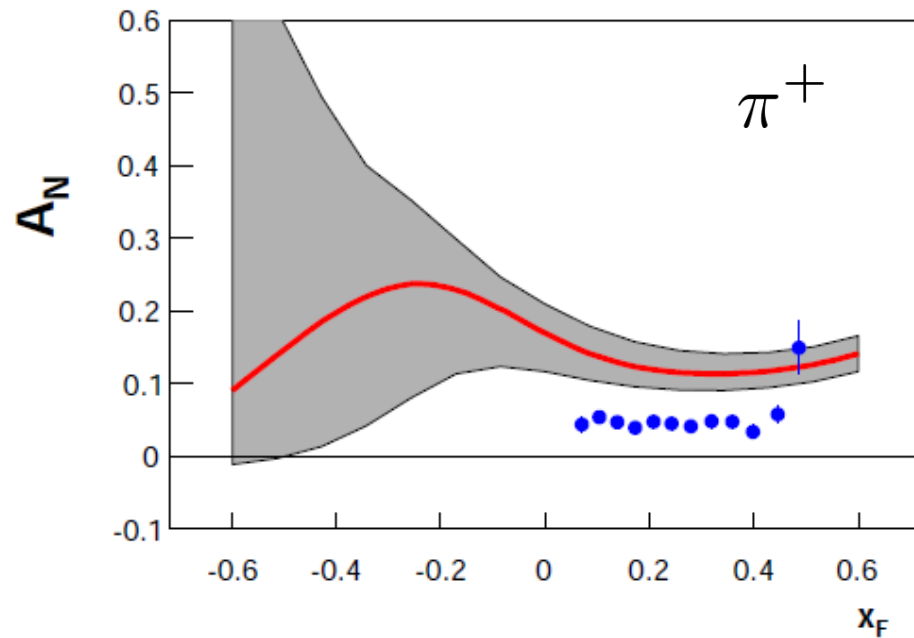
Siverts extraction 2009 with DSS FF. π^- dominated by “Collins-type” contribution.

Error bands are underestimated as error on $\hat{H}_{FU}^{h/q}$ is not included

Phenomenology: twist-3

Gamberg, Kang, Metz, Pitonyak, AP (to appear)

$\hat{H}_{FU}^{h/q} = 0$. allows for a comparison with TMD results directly

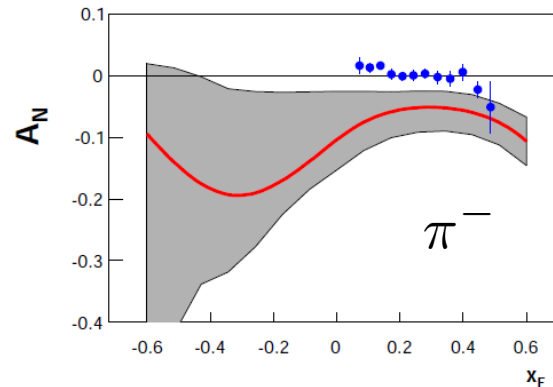
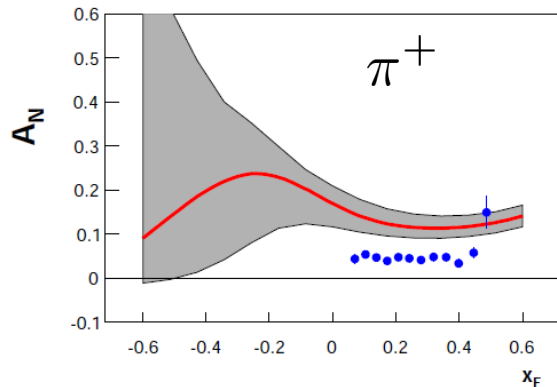


Collins contribution is not suppressed, Sivers dominates. $\pi^+\pi^-$ similar to TMD

Phenomenology: comparison

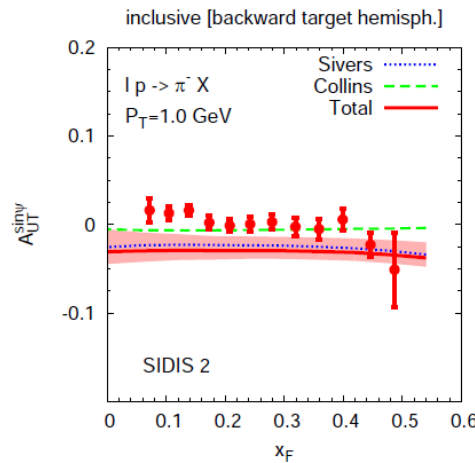
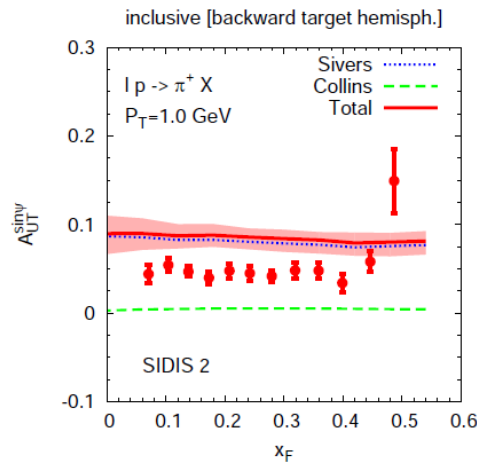
Gamberg, Kang, Metz, Pitonyak, AP (to appear)

$\hat{H}_{FU}^{h/q} = 0$ allows for a comparison with TMD results



twist-3

Anselmino, Boglione, D'Alesio, Melis, Murgia, AP (2014)



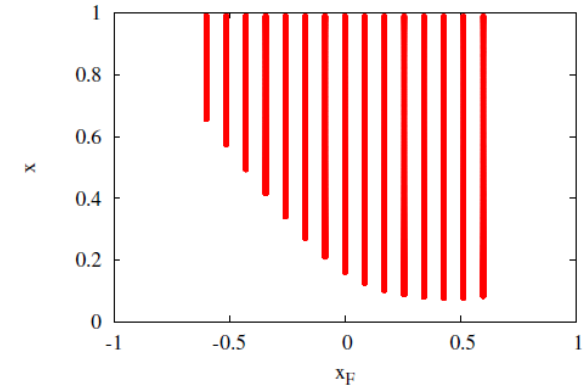
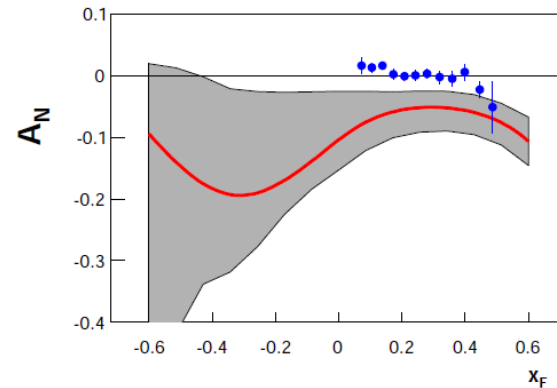
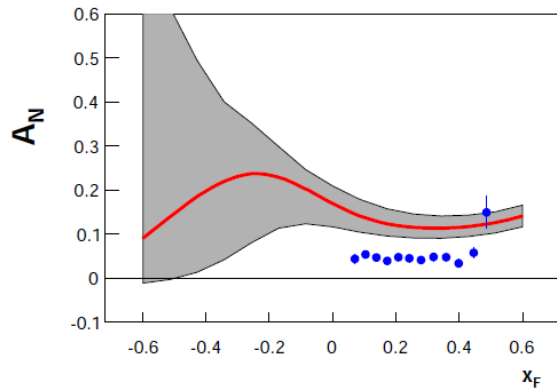
TMD

Same signs of asymmetry. Twist-3 is bigger in absolute value. Same behavior in x_F

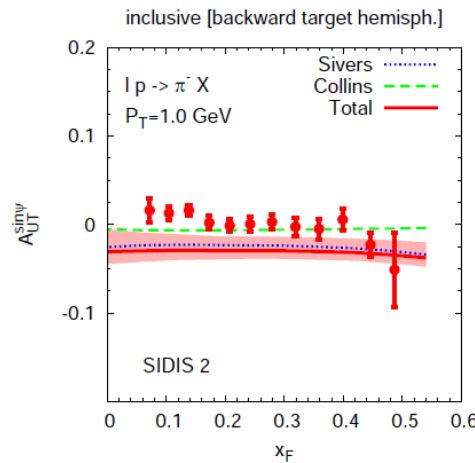
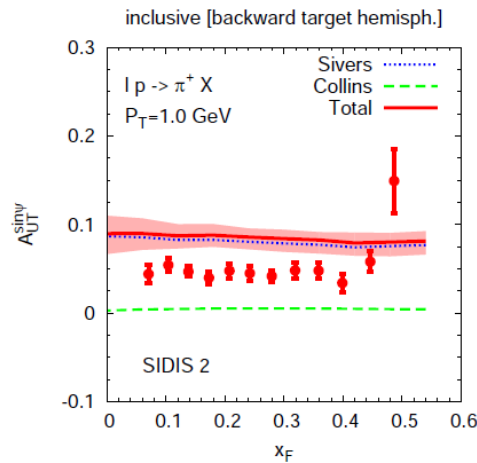
Phenomenology: twist-3

Gamberg, Kang, Metz, Pitonyak, AP (to appear)

$\hat{H}_{FU}^{h/q} = 0$ allows for a comparison with TMD results



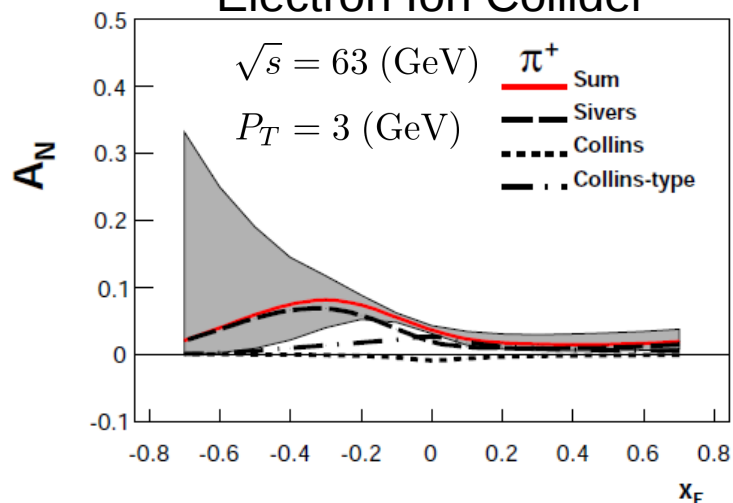
Anselmino, Boglione, D'Alesio, Melis, Murgia, AP (2014)



TMD

Same signs of asymmetry. Twist-3 is bigger in absolute value. Same behavior in x_F

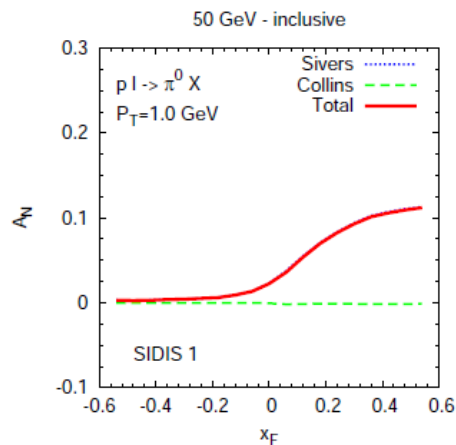
Electron Ion Collider



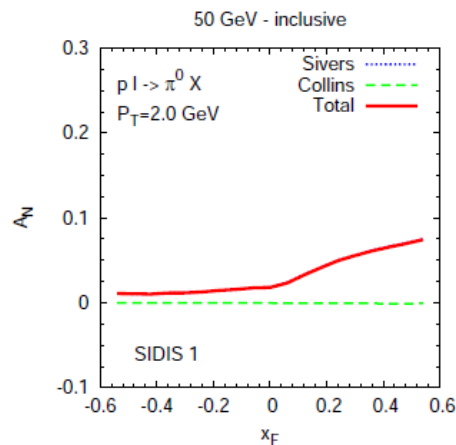
Gamberg, Kang, Metz, Pitonyak, AP (to appear)

Forward region $x_F < 0$

Anselmino, Boglione, D'Alesio, Melis, Murgia, AP (2014)



$P_T = 1 \text{ GeV}$



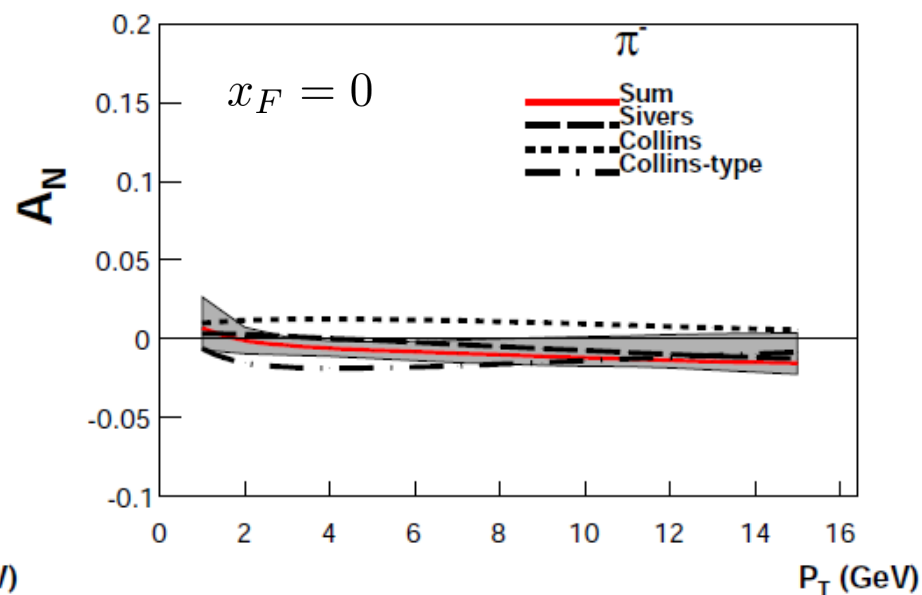
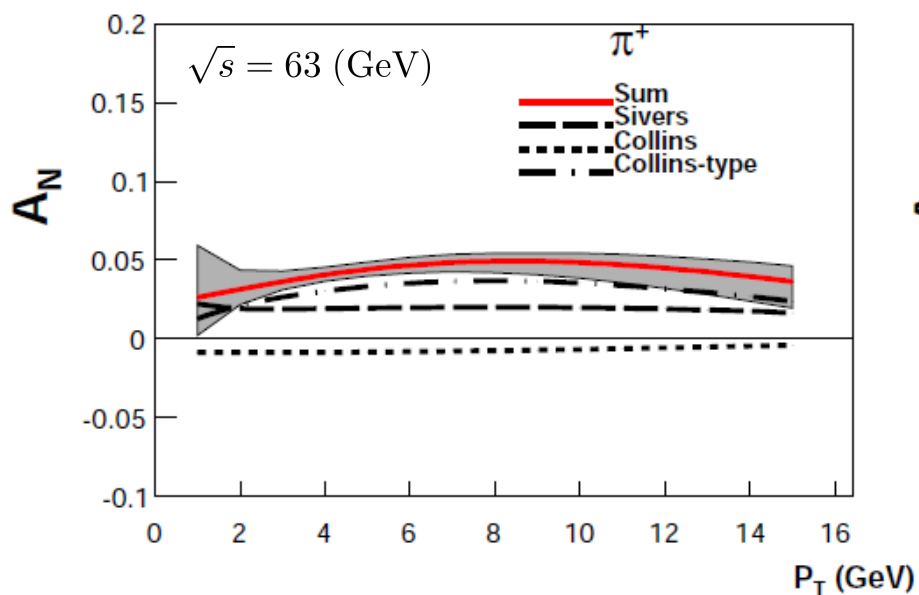
$P_T = 2 \text{ GeV}$

$p \uparrow$ along $+Z_{cm}$, i.e. forward region $\equiv x_F > 0$
 behaviour similar to A_N in $p \uparrow p \rightarrow \pi X$

Same signs of asymmetry in both formalisms

Gamberg, Kang, Metz, Pitonyak, AP (to appear)

Electron Ion Collider



Similar behavior to PP, [see talk by Daniel Pitonyak](#)

Conclusions

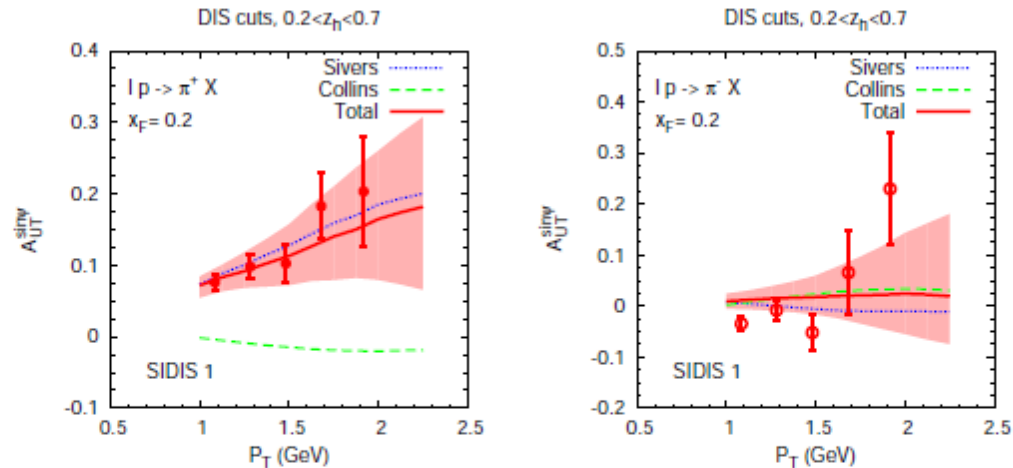
- AN in $Ip \rightarrow h X$ is considered in TMD and twist-3 approaches
- Similar size and sign of asymmetry in both approaches
- Role of new “Collins-like” contributions to be investigated further
- NLO corrections are to be investigated and cross-section experimental measurements are very welcome

Back up slides

HERMES: DIS sample

Anselmino, Boglione, D'Alesio, Melis, Murgia, AP (2014)

lepton-tagged - SIDIS 1

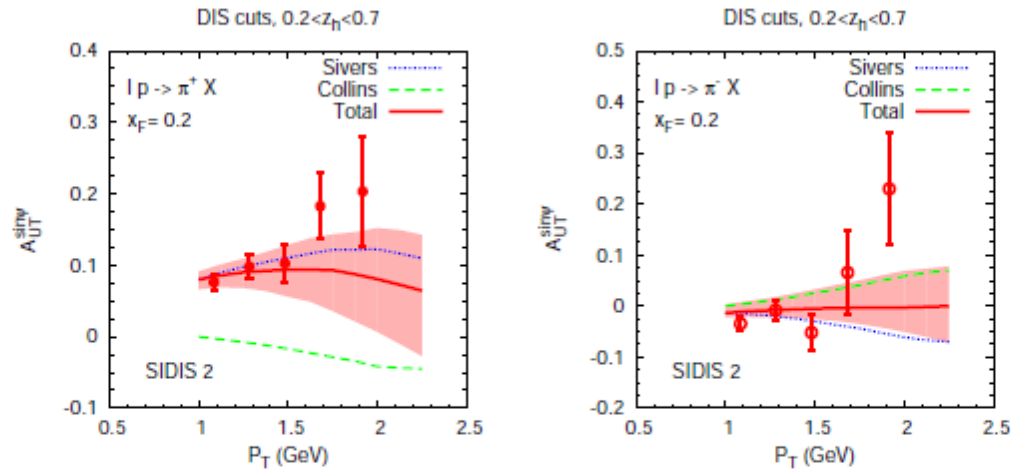


- Collins effect only partially suppressed (Collins phase picks to -1)
- Sivers effect sizeable (cancelation in π^- due to the large role of up quark)

HERMES: DIS sample

Anselmino, Boglione, D'Alesio, Melis, Murgia, AP (2014)

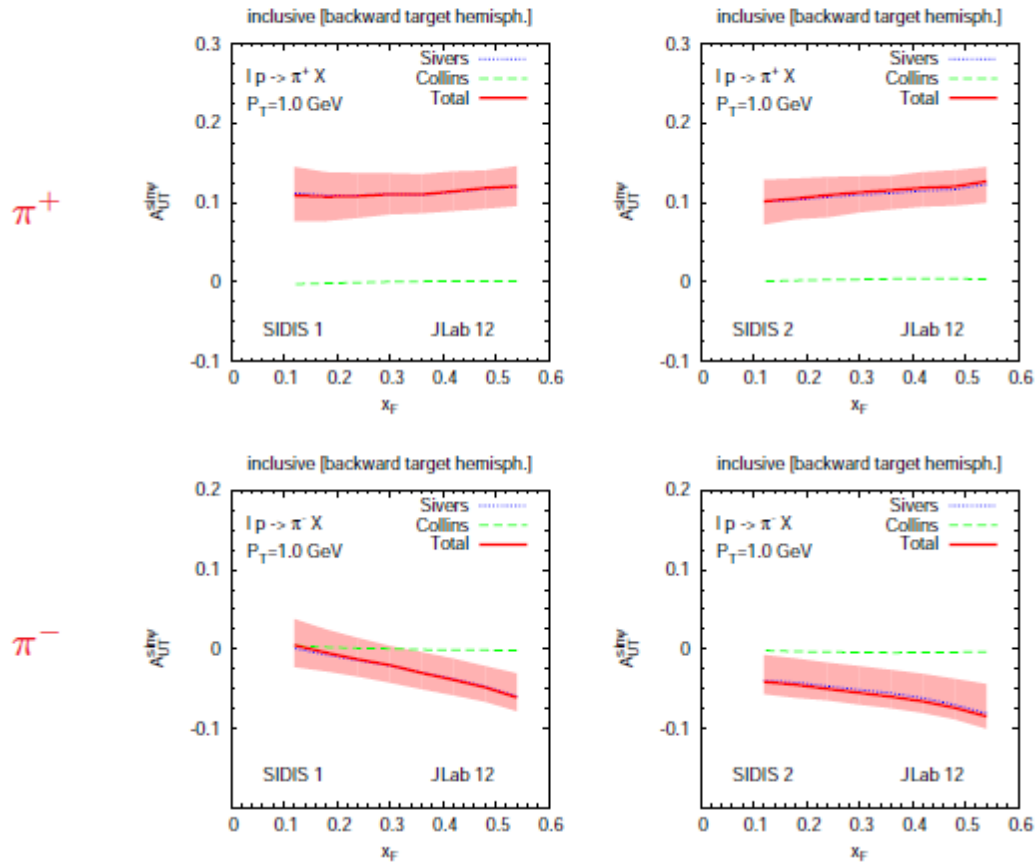
lepton-tagged - SIDIS 2



- Collins effect: larger w.r.t. SIDIS 1 (transversity unsuppressed at large x)
- Sivers effect: no cancelation in π^- (same large x behaviour of up and down quarks)

Anselmino, Boalione, D'Alesio, Melis, Murgia, AP (2014)

Predictions: JLab 12



Predictions: COMPASS

Anselmino, Boglione, D'Alesio, Melis, Murgia, AP (2014)

Anselmino, Boglione, D'Alesio, Melis, Murgia, AP (2014)

Statistical error band

$$\chi^2 = \sum_{i=1}^N \left(\frac{y_i - F(x_i; \mathbf{a})}{\sigma_i} \right)^2$$

- N measurements y_i at known points x_i , with variance σ_i^2 .
- $F(x_i; \mathbf{a})$ depends *non-linearly* on M unknown parameters a_i .
- Best fit: $\chi_{\min}^2 \rightarrow \mathbf{a}_0$

Error band: all sets of parameters such that $\chi^2(\mathbf{a}_j) \leq \chi_{\min}^2 + \Delta\chi^2$

- $\Delta\chi^2 = 1 \leftrightarrow 1-\sigma$: small errors, uncorrelated parameters, linearity, χ^2 parabolic
- $\Delta\chi^2$: fixed according to the coverage probability

$$P = \int_0^{\Delta\chi^2} \frac{1}{2\Gamma(M/2)} \left(\frac{\chi^2}{2} \right)^{(M/2)-1} \exp\left(-\frac{\chi^2}{2}\right) d\chi^2$$

P = probability that true set of parameters falls inside the M -hypervolume

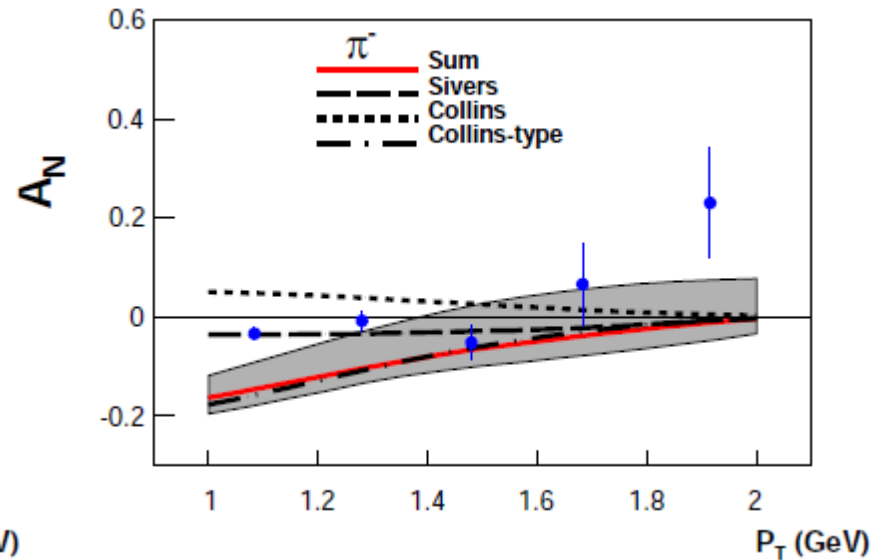
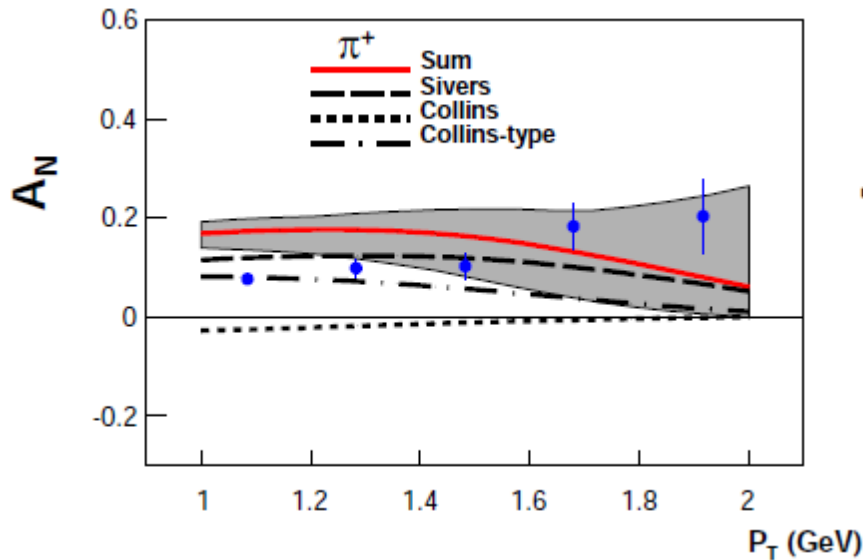
$$[P = 0.68 \leftrightarrow 1-\sigma, P = 0.95 \leftrightarrow 2-\sigma]$$

HERMES: DIS sample

Gamberg, Kang, Metz, Pitonyak, AP (to appear)

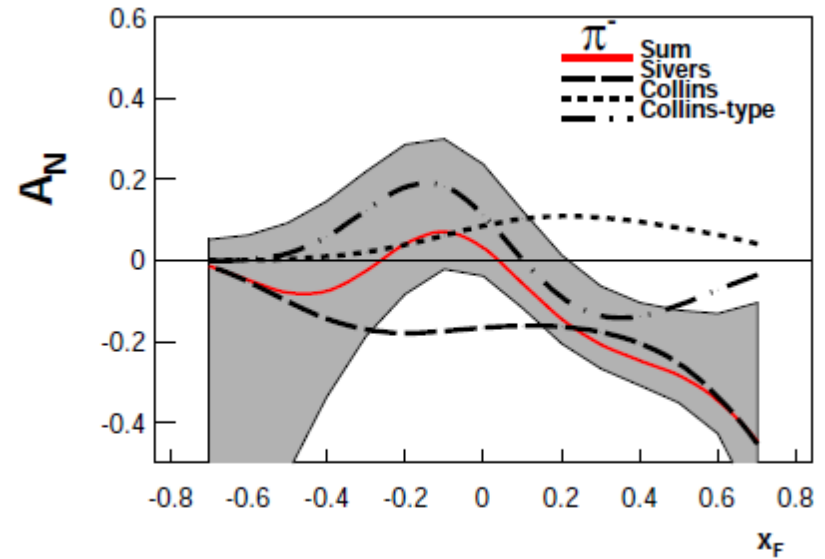
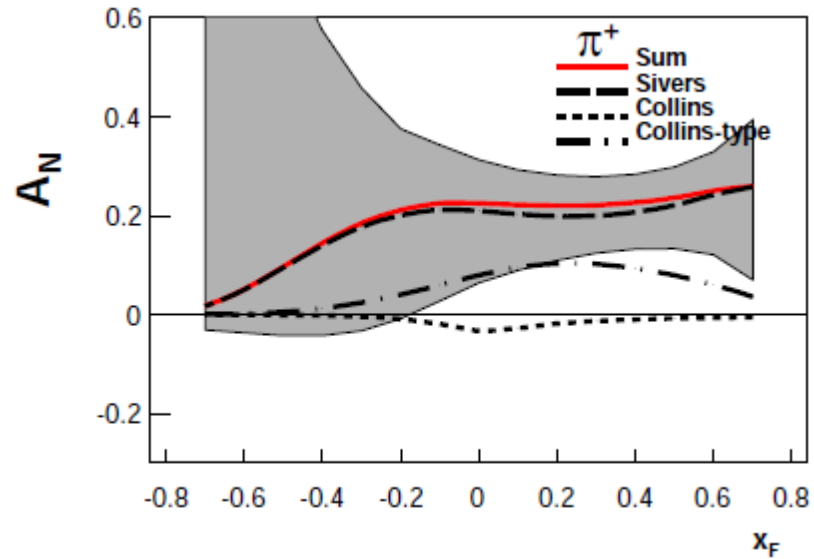
$$P_h^0 \frac{d\sigma_{UU}}{d^3\vec{P}_h} = \frac{2\alpha_{\text{em}}^2}{S} \sum_q e_q^2 \int_{z_{\text{min}}}^1 \frac{dz}{z^2} \frac{1}{S+T/z} \frac{1}{x} f_1^q(x) D_1^{h/q}(z) \left[\frac{\hat{s}^2 + \hat{u}^2}{\hat{t}^2} \right]$$

$$0.2 < z < 0.7$$



Predictions: JLab

Gamberg, Kang, Metz, Pitonyak, AP (to appear)



Predictions: COMPASS

Gamberg, Kang, Metz, Pitonyak, AP (to appear)

