



## Gran Sasso Summer Institute 2014

# Lecture #1: Thermonuclear Reactions and Stellar Burning

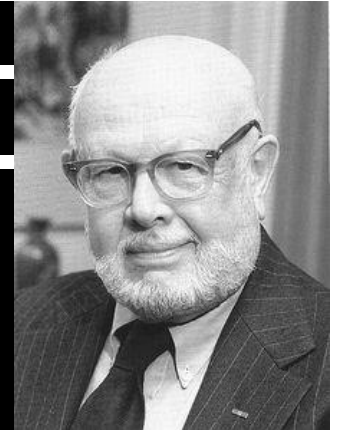
Prof. Christian Iliadis



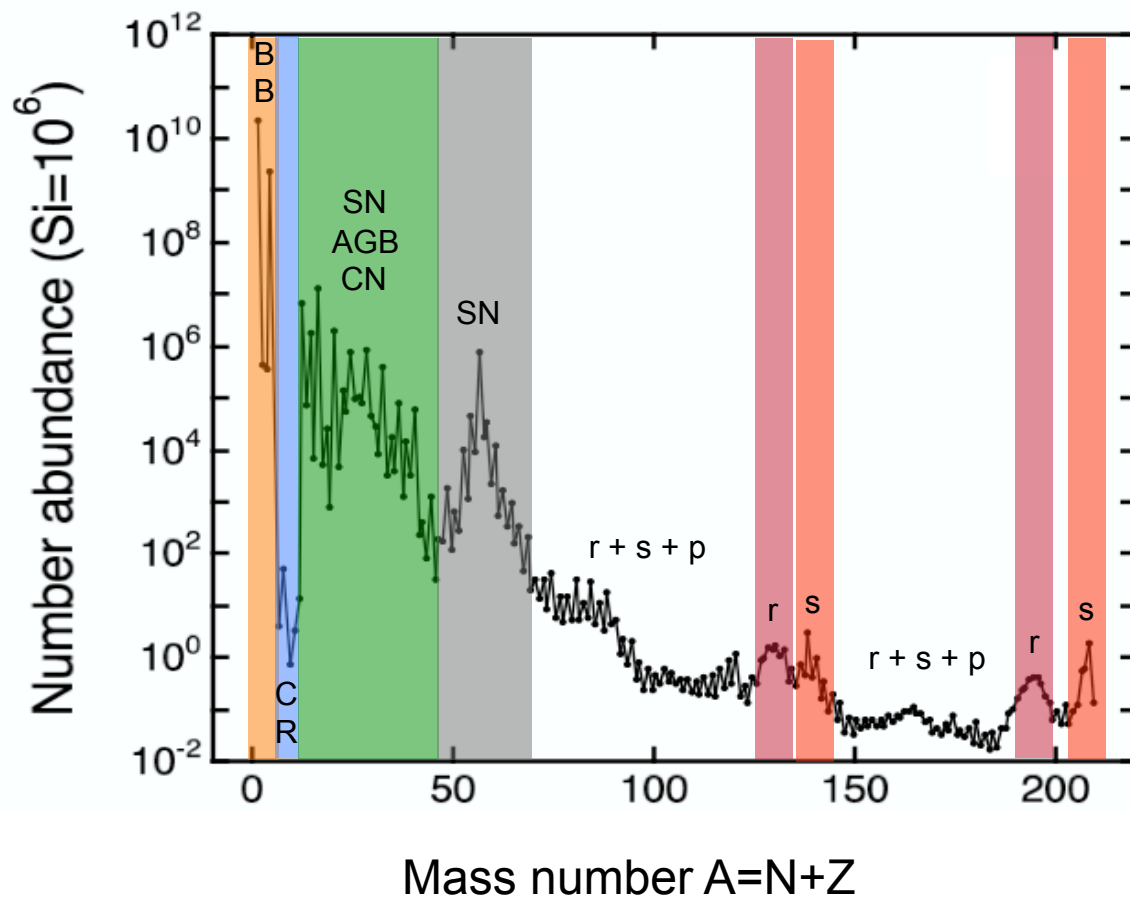
THE UNIVERSITY  
of NORTH CAROLINA  
at CHAPEL HILL



## History: Solar System Abundances



Willy Fowler  
(1911-95)



- Suess & Urey, *Rev. Mod. Phys.* 28, 53 (1956)
- Lodders, Palme & Gail, *Landolt-Boernstein New Series VI/4B* (Springer 2009)

Foundation of modern theory of nuclear astrophysics:

- Burbidge, Burbidge, Fowler and Hoyle, *Rev. Mod. Phys.* 29, 547 (1957)
- Cameron, *Pub. Astron. Soc. Pac.* 69, 201 (1957)

Nobel prize to Willy Fowler (1983)

# Nuclear Reactions

Definition of cross section:

$$\sigma \equiv \frac{\text{(number of interactions per time)}}{\text{(number of incident particles per area per time)} \text{(number of target nuclei within the beam)}} = \frac{N_r}{N_0 N_t}$$

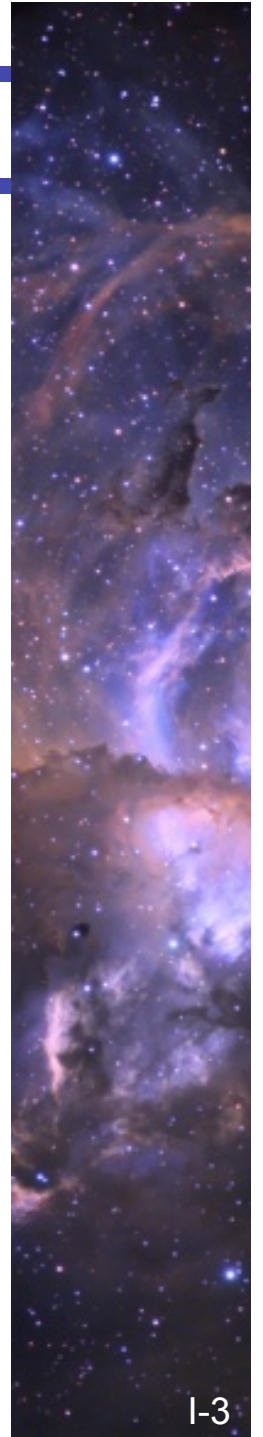
Unit: 1 barn =  $10^{-28}$  m<sup>2</sup>

Example:  ${}^1\text{H} + {}^1\text{H} \rightarrow {}^2\text{H} + \text{e}^+ + \nu$  (first step of pp chain)

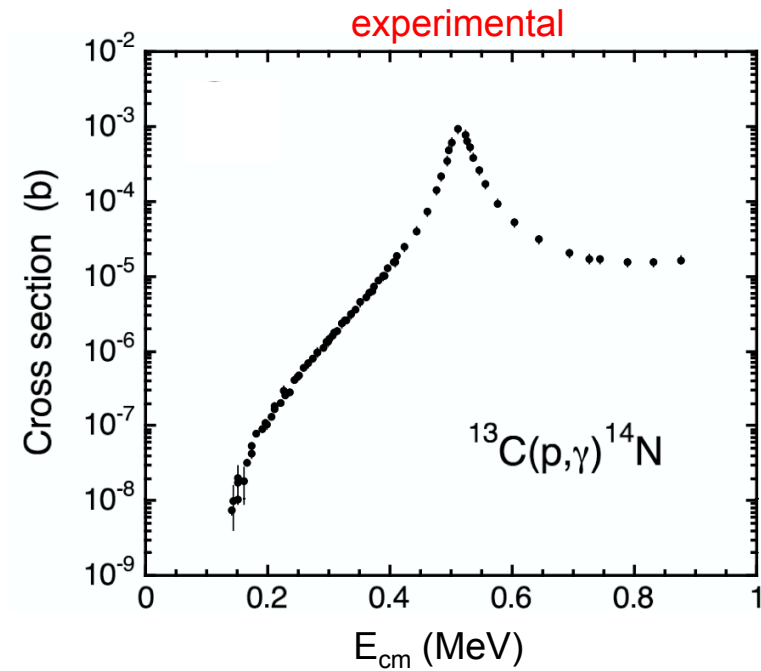
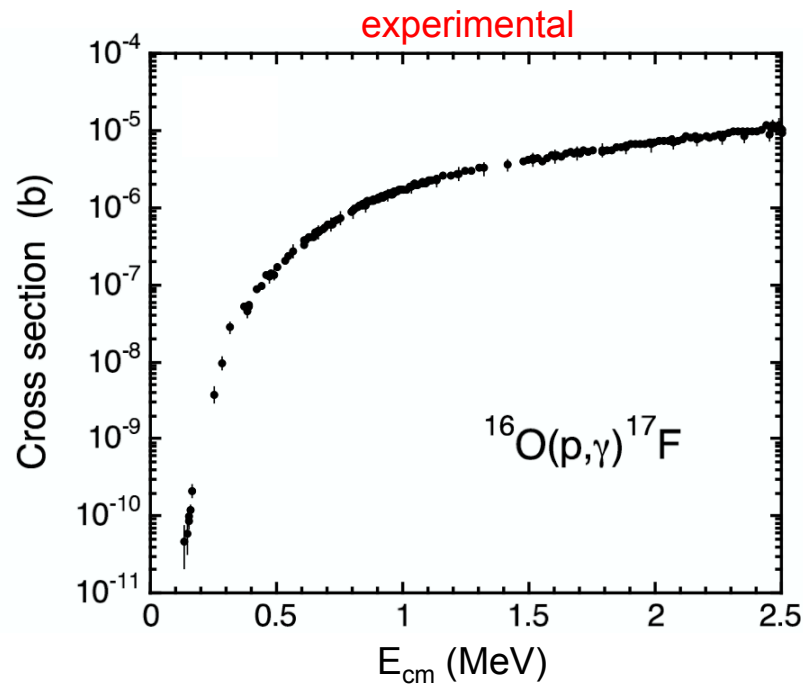
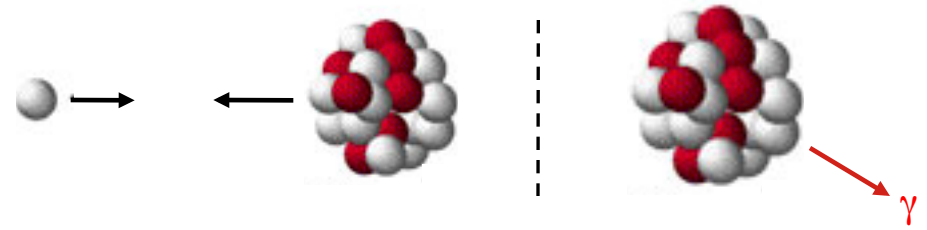
$\sigma_{\text{theo}} = 8 \times 10^{-48}$  cm<sup>2</sup> at  $E_{\text{lab}} = 1$  MeV [ $E_{\text{cm}} = 0.5$  MeV]

1 ampere (A) proton beam ( $6 \times 10^{18}$  p/s) on dense proton target ( $10^{20}$  p/cm<sup>2</sup>)

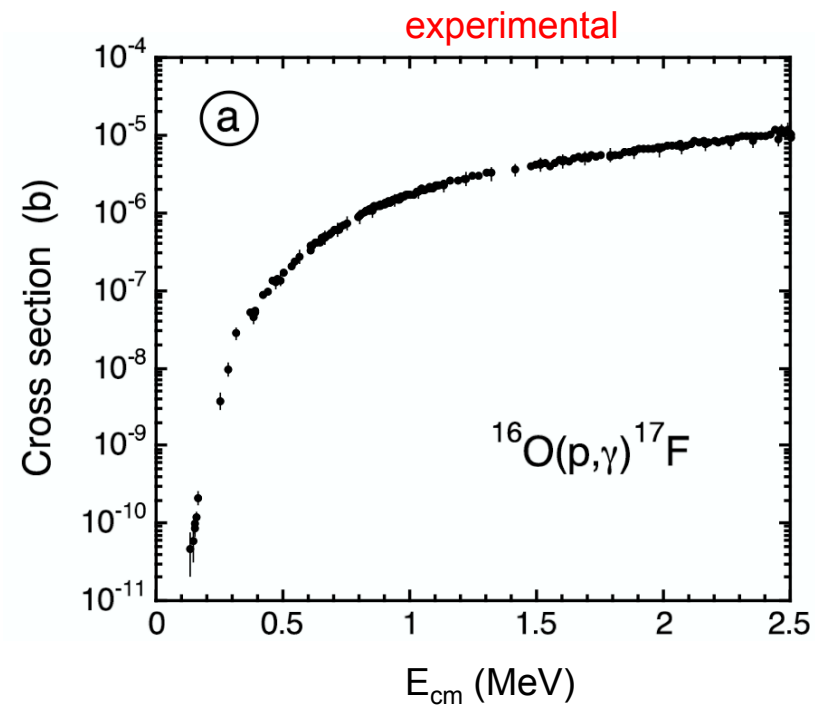
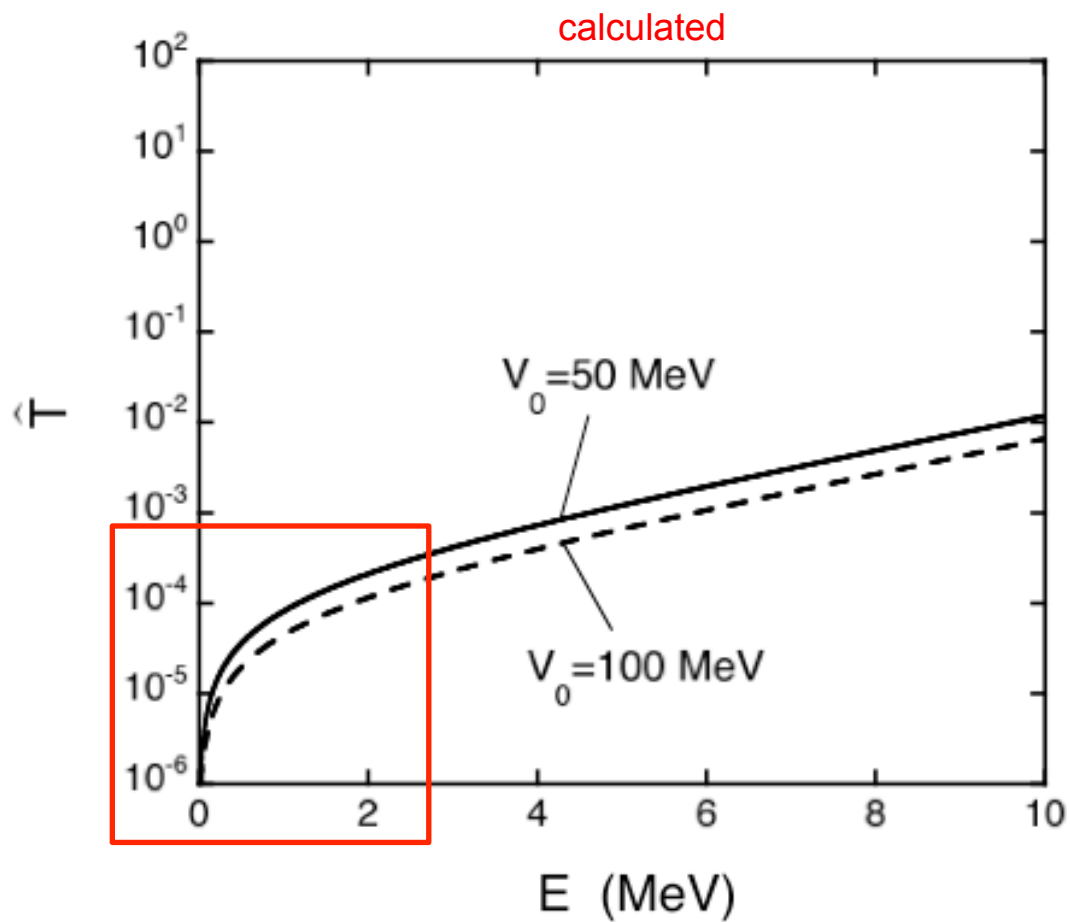
**gives only 1 reaction in 6 years of measurement!**



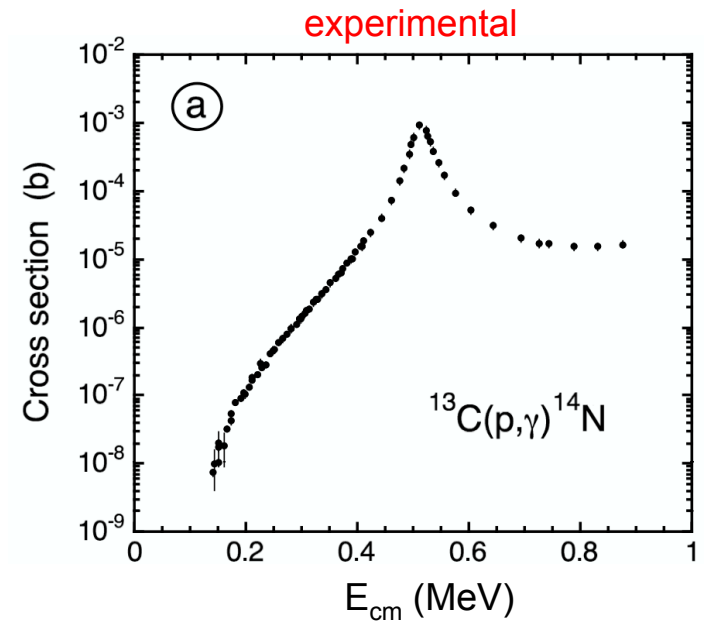
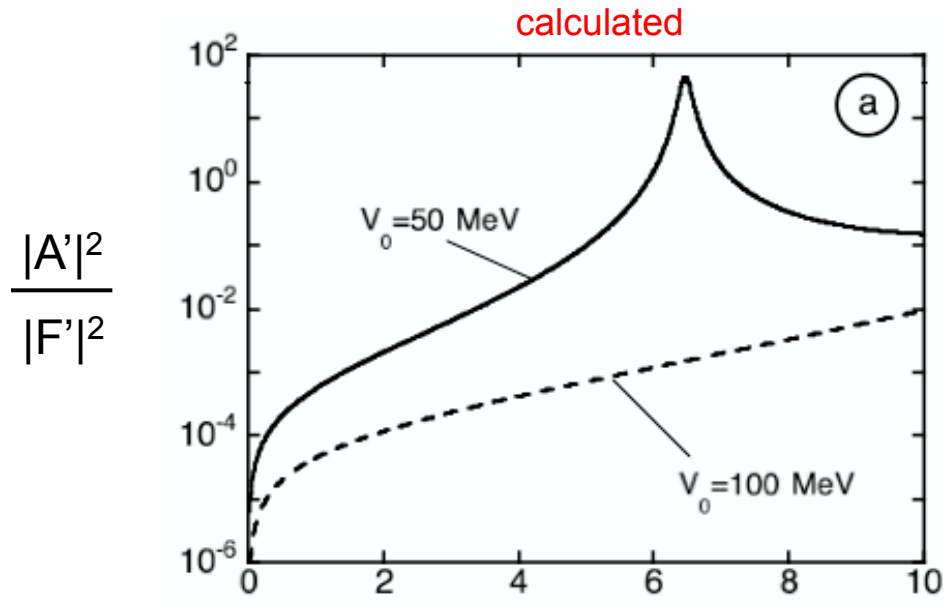
# Cross Sections



- (i) why does the cross section fall drastically at low energies?
- (ii) where is the peak in the cross section coming from?



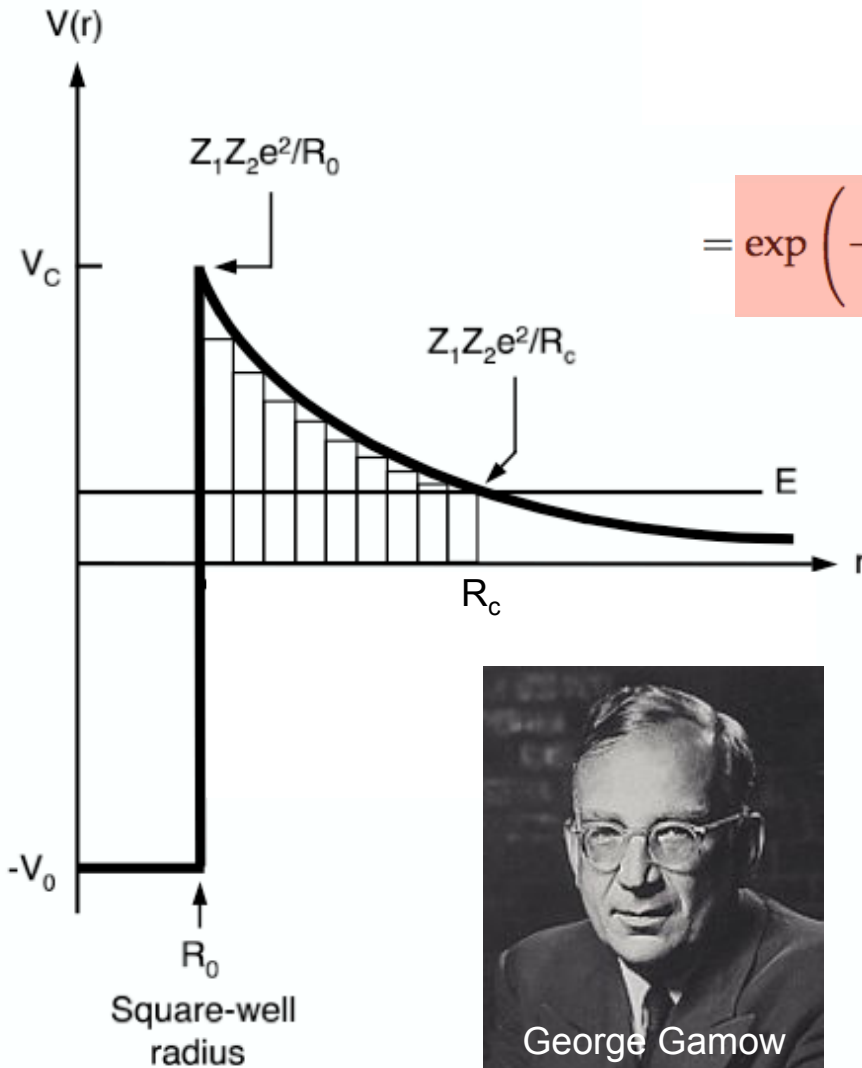
Tunnel effect is the reason for the strong drop in cross section at low energies!



# Transmission Through the Coulomb Barrier

$$\hat{T} = \hat{T}_1 \cdot \hat{T}_2 \cdot \dots \cdot \hat{T}_n \approx \exp \left[ -\frac{2}{\hbar} \sum_i \sqrt{2m(V_i - E)}(R_{i+1} - R_i) \right]$$

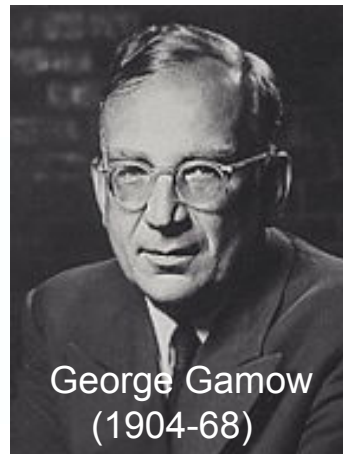
$$\xrightarrow{n \text{ large}} \exp \left[ -\frac{2}{\hbar} \int_{R_0}^{R_c} \sqrt{2m[V(r) - E]} dr \right]$$



$$= \exp \left( -\frac{2\pi}{\hbar} \sqrt{\frac{m}{2E}} Z_0 Z_1 e^2 \left[ 1 + \frac{2}{3\pi} \left( \frac{E}{V_C} \right)^{3/2} \right] + \frac{4}{\hbar} \sqrt{2mZ_0 Z_1 e^2 R_0} \right)$$

[for low energies and zero angular momentum]

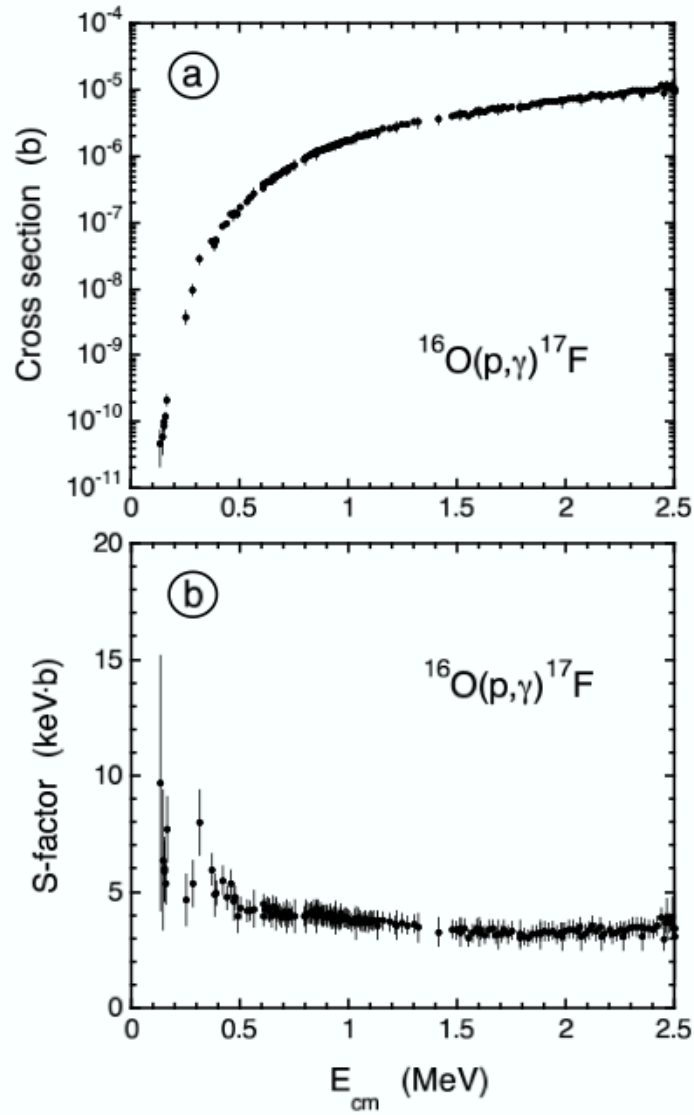
“Gamow factor”  $e^{-2\pi\eta}$



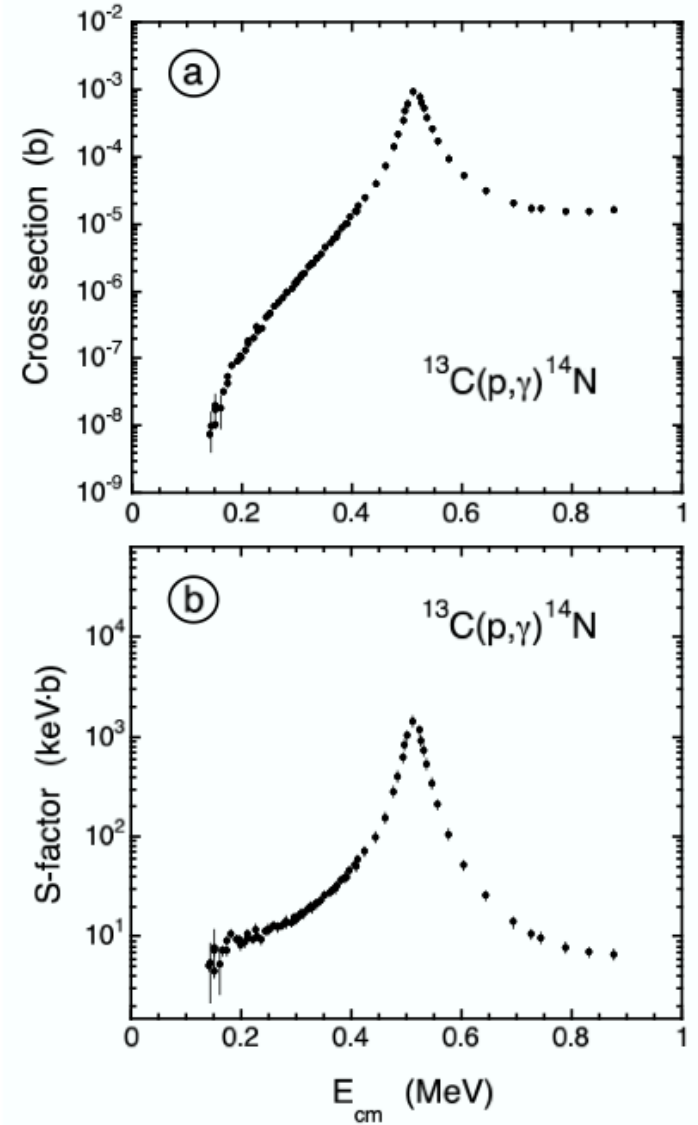
George Gamow  
(1904-68)

# Comparison: S-factors and Cross Sections

cross sections →



S-factors →

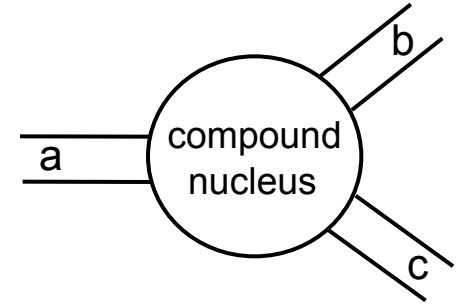
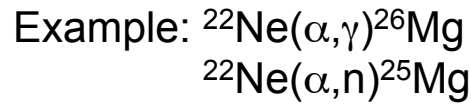




# Formal Reaction Theory: Breit-Wigner Formula



Eugene Wigner  
(1902-95)  
Nobel Prize 1963



$$\sigma_{\text{BW}}(E) = \frac{\lambda^2 (2J + 1)}{4\pi (2j_0 + 1)(2j_1 + 1)} \frac{\Gamma_a \Gamma_b}{(E_r - E)^2 + \Gamma^2/4}$$

de Broglie wavelength

partial widths for incoming and outgoing channel

spin factor

resonance energy

total width

# Thermonuclear Reactions

For a reaction  $0 + 1 \rightarrow 2 + 3$  we find from the definition of  $\sigma$  (see earlier) a “reaction rate”:

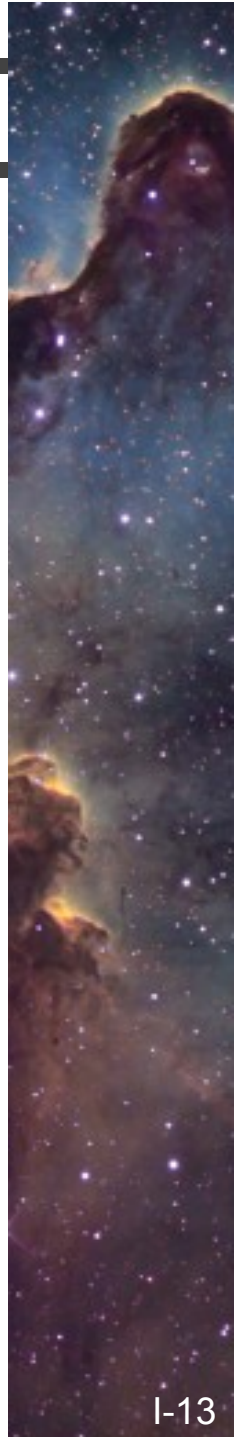
$$r_{01} = N_0 N_1 \int_0^\infty v P(v) \sigma(v) dv \equiv N_0 N_1 \langle \sigma v \rangle_{01}$$

For a stellar plasma: kinetic energy for reaction derives from thermal motion:

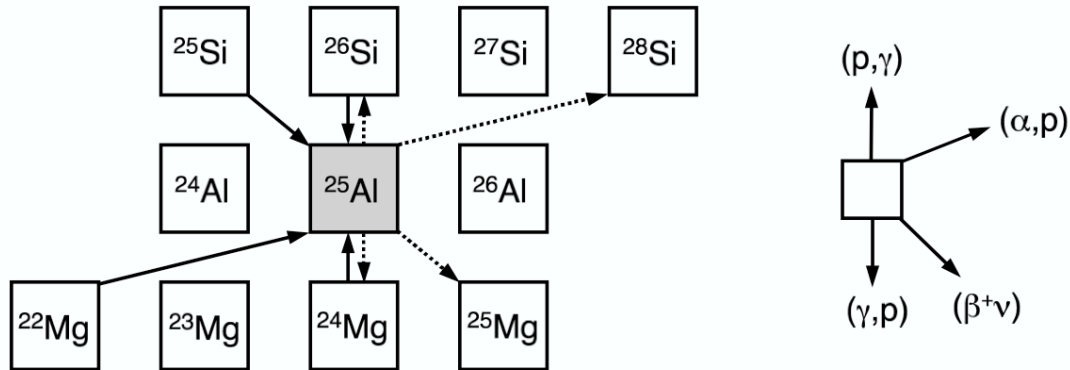
“Thermonuclear reaction”

For a Maxwell-Boltzmann distribution:

$$\langle \sigma v \rangle_{01} = \left( \frac{8}{\pi m_{01}} \right)^{1/2} \frac{1}{(kT)^{3/2}} \int_0^\infty E \sigma(E) e^{-E/kT} dE$$



# Interplay of Many Different Nuclear Reactions in Stellar Plasma

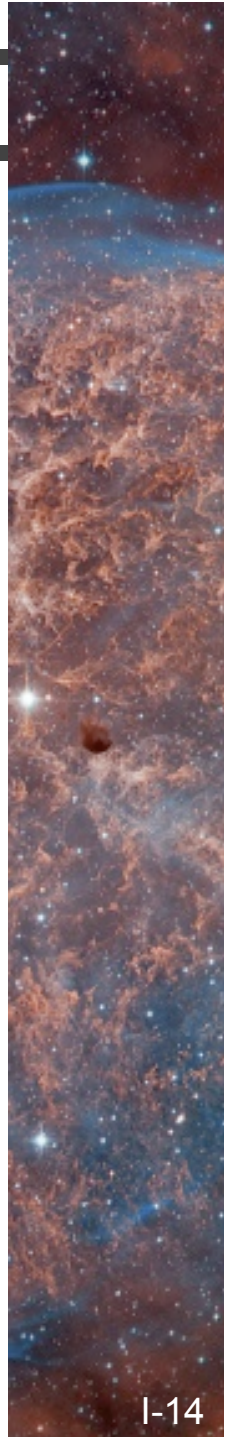


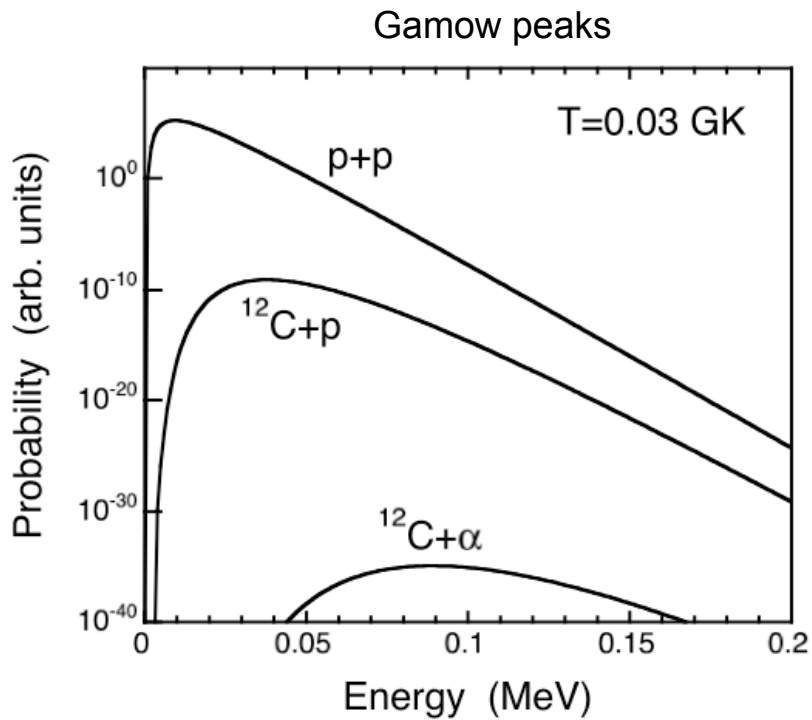
$$\begin{aligned}
 \frac{d(N_{25\text{Al}})}{dt} = & N_{\text{H}}N_{24\text{Mg}}\langle\sigma v\rangle_{24\text{Mg}(p,\gamma)} + N_{4\text{He}}N_{22\text{Mg}}\langle\sigma v\rangle_{22\text{Mg}(\alpha,p)} \\
 & + N_{25\text{Si}}\lambda_{25\text{Si}(\beta^+\nu)} + N_{26\text{Si}}\lambda_{26\text{Si}(\gamma,p)} + \dots \quad \left. \vphantom{\frac{d(N_{25\text{Al}})}{dt}} \right\} \text{production} \\
 - & N_{\text{H}}N_{25\text{Al}}\langle\sigma v\rangle_{25\text{Al}(p,\gamma)} - N_{4\text{He}}N_{25\text{Al}}\langle\sigma v\rangle_{25\text{Al}(\alpha,p)} \\
 - & N_{25\text{Al}}\lambda_{25\text{Al}(\beta^+\nu)} - N_{25\text{Al}}\lambda_{25\text{Al}(\gamma,p)} - \dots \quad \left. \vphantom{\frac{d(N_{25\text{Al}})}{dt}} \right\} \text{destruction}
 \end{aligned}$$

System of coupled differential equations: “nuclear reaction network”

Solved numerically

[Arnett, “Supernovae and Nucleosynthesis”, Princeton University Press, 1996]

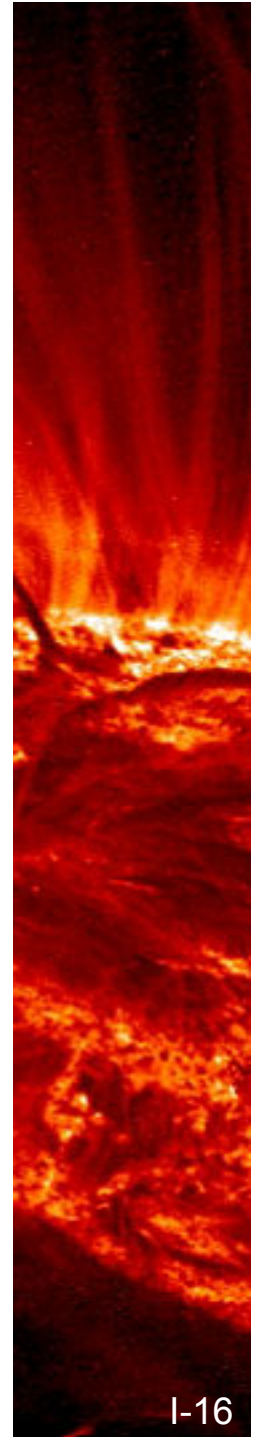




Important aspects:

- (i) Gamow peak shifts to higher energy for increasing charges  $Z_p$  and  $Z_t$
- (ii) at same time, area under Gamow peak decreases drastically

**Conclusion:** for a mixture of different nuclei in a plasma, those reactions with the smallest Coulomb barrier produce most of the energy and are consumed most rapidly



## Special case #2: Reaction Rates for “Narrow” Resonances ( $\Gamma_i$ const over total $\Gamma$ )

Breit-Wigner formula (energy-independent partial widths)

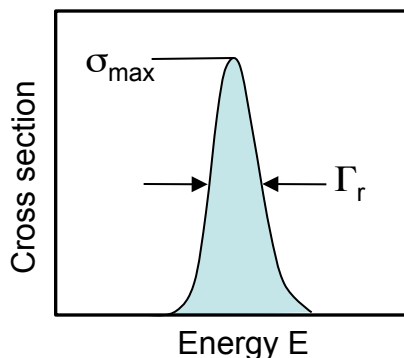
$$N_A \langle \sigma v \rangle = \left( \frac{8}{\pi m_{01}} \right)^{1/2} \frac{N_A}{(kT)^{3/2}} \int_0^\infty E \sigma(E) e^{-E/kT} dE$$

$$= N_A \frac{\sqrt{2\pi} \hbar^2}{(m_{01} kT)^{3/2}} e^{-E_r/kT} \omega \frac{\Gamma_a \Gamma_b}{\Gamma} 2\pi$$

- resonance energy needs to be known rather precisely
- takes into account only rate contribution at  $E_r$

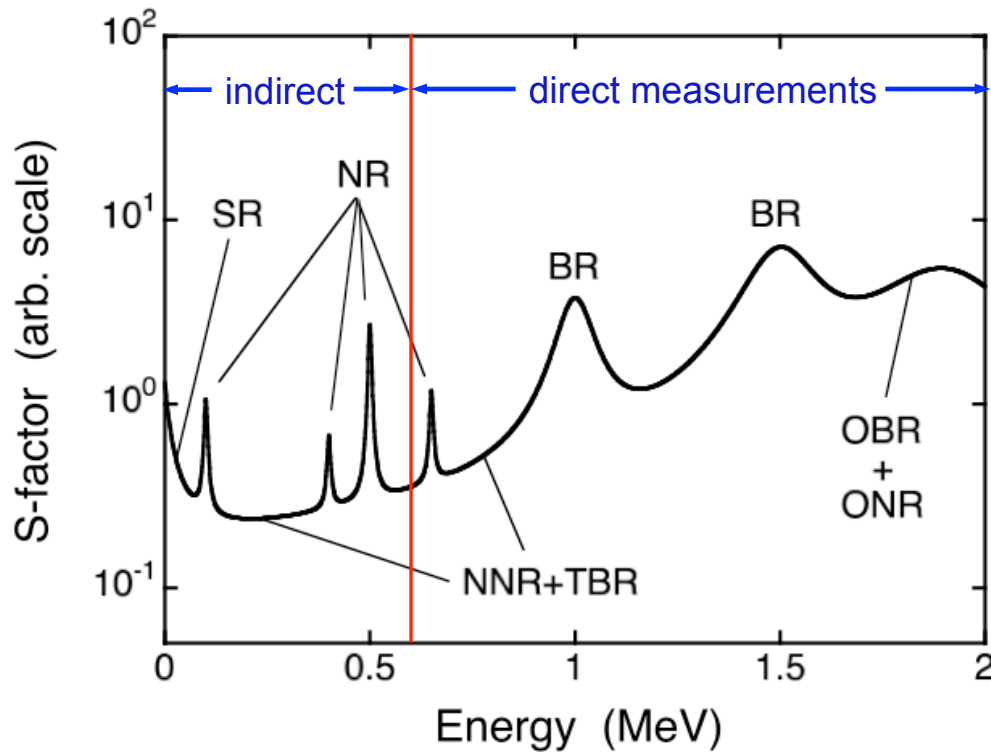
“resonance strength”  $\omega\gamma$ :

- proportional to area under narrow resonance curve
- energy-dependence of  $\sigma$  not important



$$\omega\gamma \propto \sigma_{\max} \cdot \Gamma_r$$

# Total Thermonuclear Reaction Rate



Need to consider:

- non-resonant processes
- narrow resonances
- broad resonances
- subthreshold resonances
- interferences
- continuum

every nuclear reaction represents a special case !

# Stellar Burning Stages

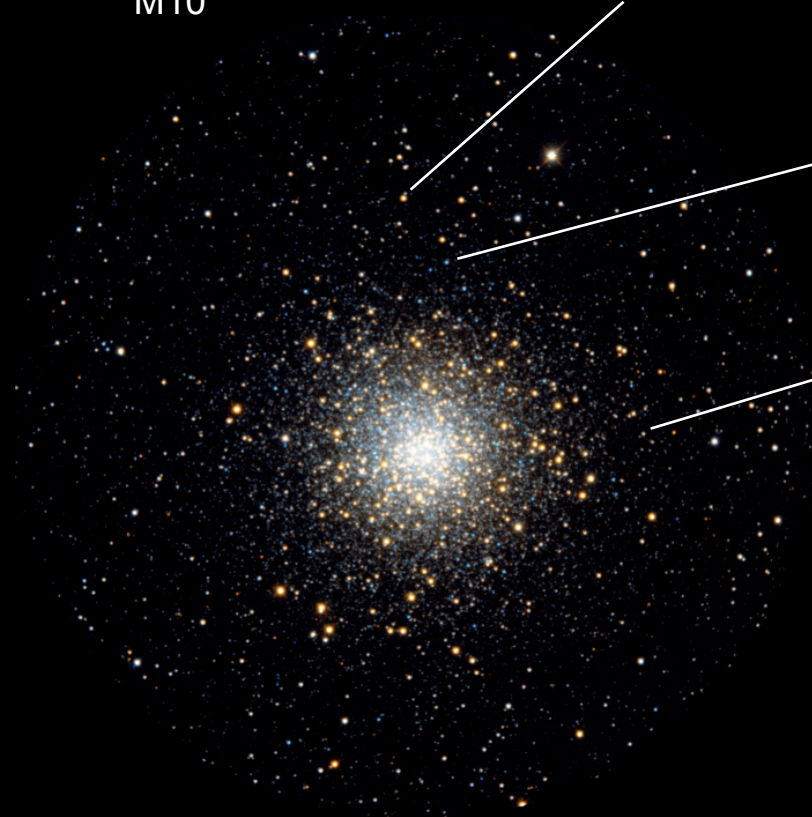
A first impression:

Globular Cluster  
M10

Red giant stars:  
H→He via CNO cycles in  
H shell surrounding He core

Horizontal branch stars:  
He→C, O in core  
H→He in shell

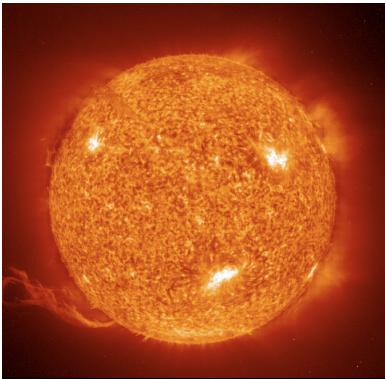
Main sequence stars:  
H→He via pp chains in core



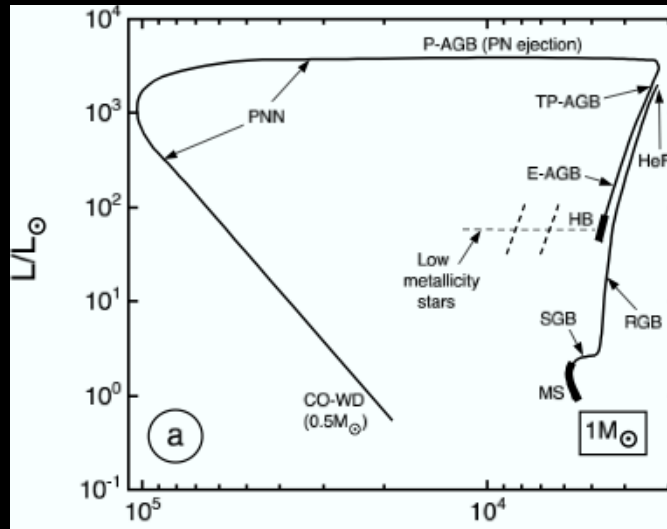
Credner & Kohle, Sternwarte Bonn



# Hertzsprung-Russell Diagram: “Tracks”



Cat's Eye nebula  
(NGC 6543)



Effective surface temperature  $T_{\text{eff}}$  (K)

- heavy lines: major nuclear burning stages in stellar core
- evolution of star mainly determined by its mass:

larger mass:

- larger T and P in core
- faster nuclear energy generation
- larger luminosity
- faster fuel consumption
- shorter lifetime

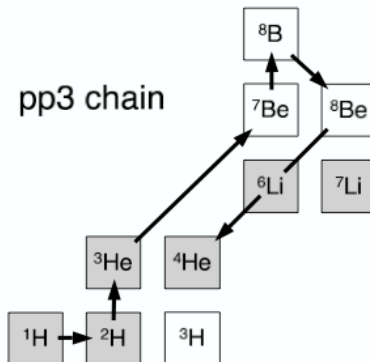
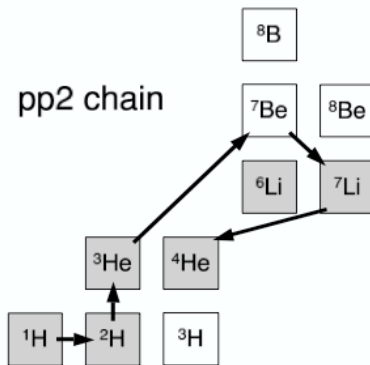
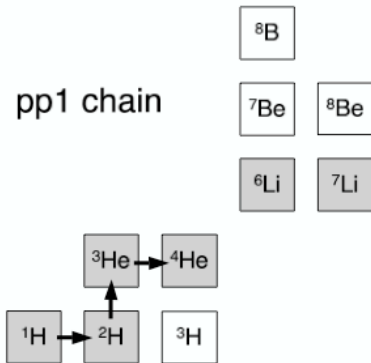
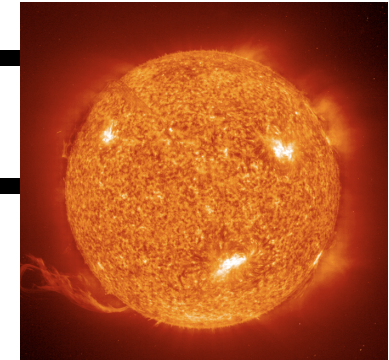
Betelgeuse ( $\alpha$  Orionis)



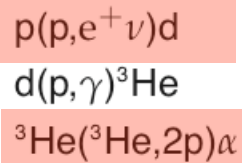


# Hydrostatic Hydrogen Burning:

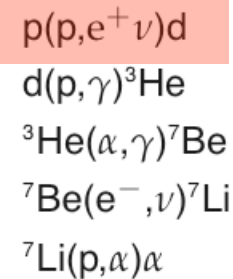
Sun ( $T=15.6$  MK), stellar core ( $T=8-55$  MK), shell of AGB stars ( $T=45-100$  MK)



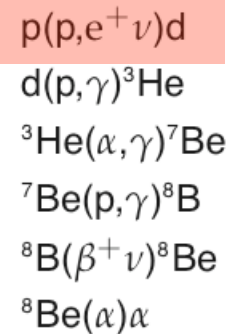
## pp1 chain



## pp2 chain



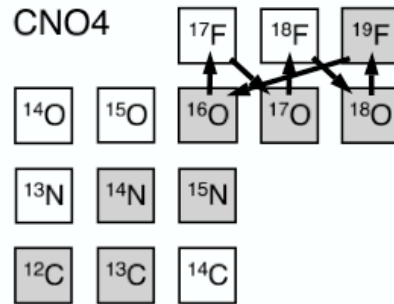
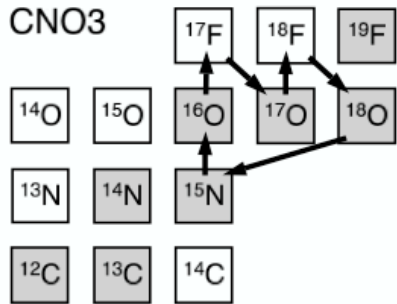
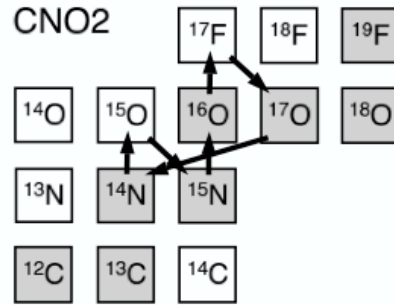
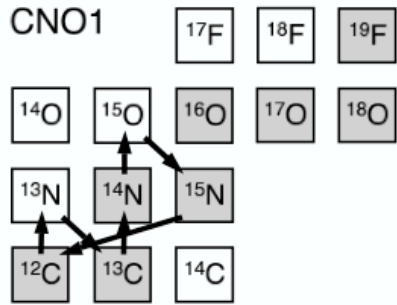
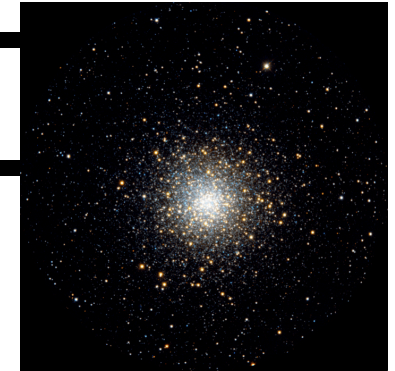
## pp3 chain



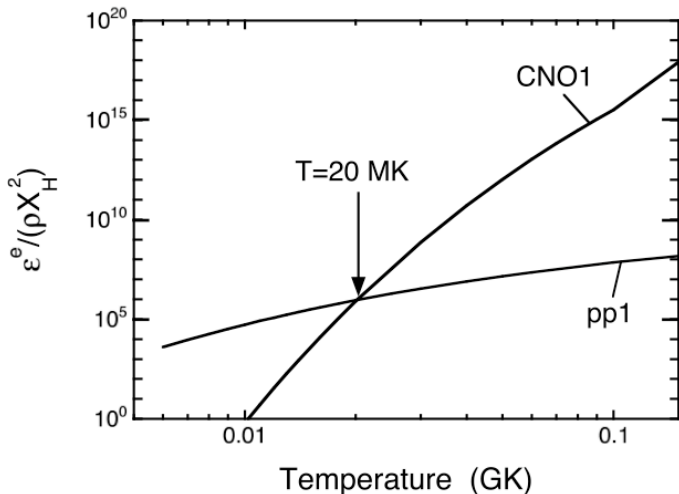
- $4\text{H} \rightarrow ^4\text{He}$  releases 26.7 MeV
- reactions are **non-resonant** at low energies
- $p+p$  [**slowest reaction**] has not been measured
- $d+p$ ,  $^3\text{He}+^3\text{He}$ ,  $^3\text{He}+\alpha$  have been measured recently by LUNA collaboration
- **90% of Sun's energy produced by pp1 chain**

# Hydrostatic Hydrogen Burning:

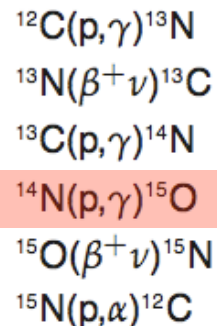
Sun ( $T=15.6$  MK), stellar core ( $T=8-55$  MK), shell of AGB stars ( $T=45-100$  MK)



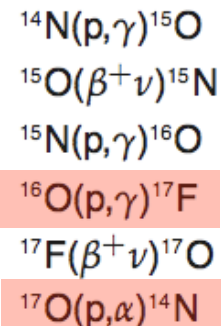
- $^{12}\text{C}$  and  $^{16}\text{O}$  nuclei act as catalysts
- branchings:  $(p,\alpha)$  stronger than  $(p,\gamma)$
- $^{14}\text{N}(p,\gamma)^{15}\text{O}$  **slowest reaction** in CNO1  
has been measured by LUNA/LENA
- solar:  $^{13}\text{C}/^{12}\text{C}=0.01$ ;  
CNO1:  $^{13}\text{C}/^{12}\text{C}=0.25$  (“steady state”)
- $T>20$  MK: CNO1 faster than pp1



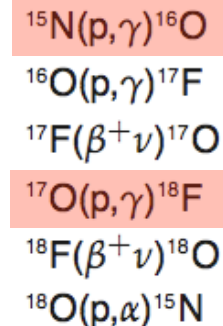
## CNO1



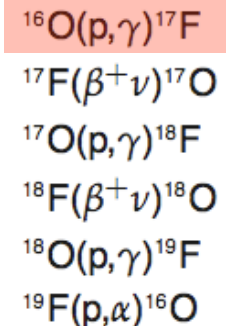
## CNO2



## CNO3

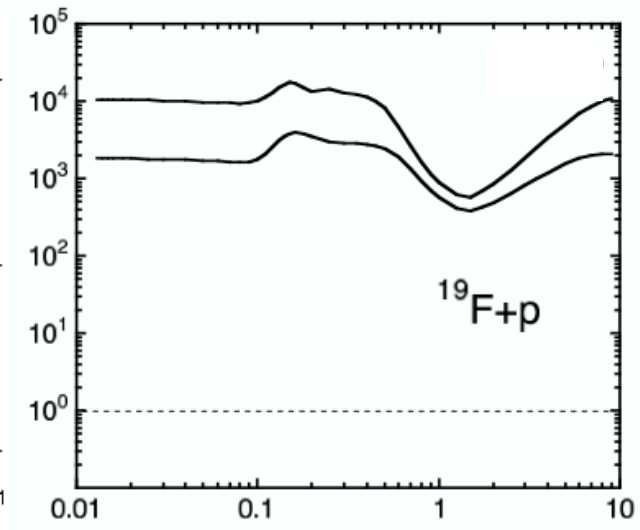
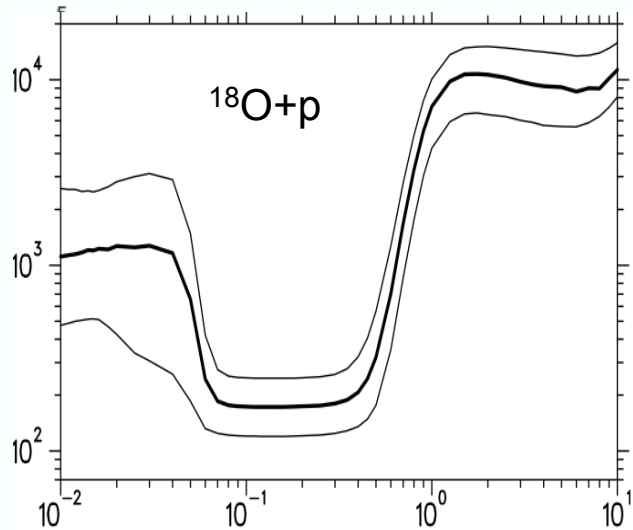
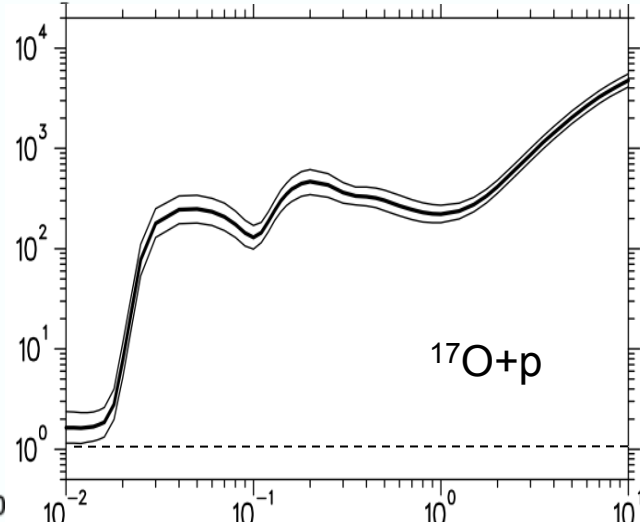
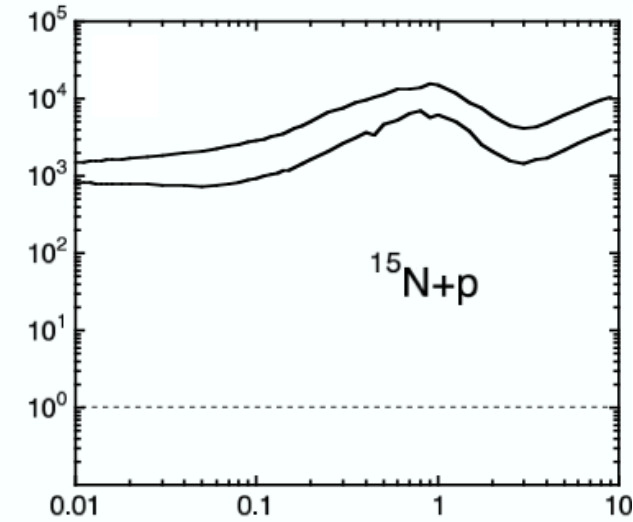


## CNO4



# The Four Reaction Branchings in the CNO Cycles

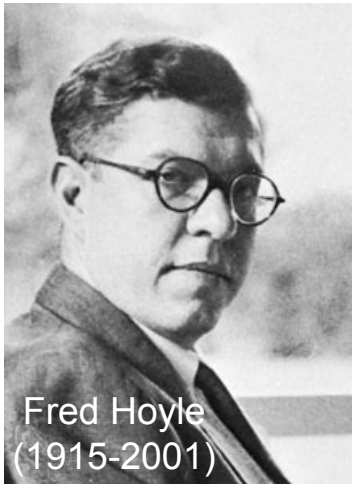
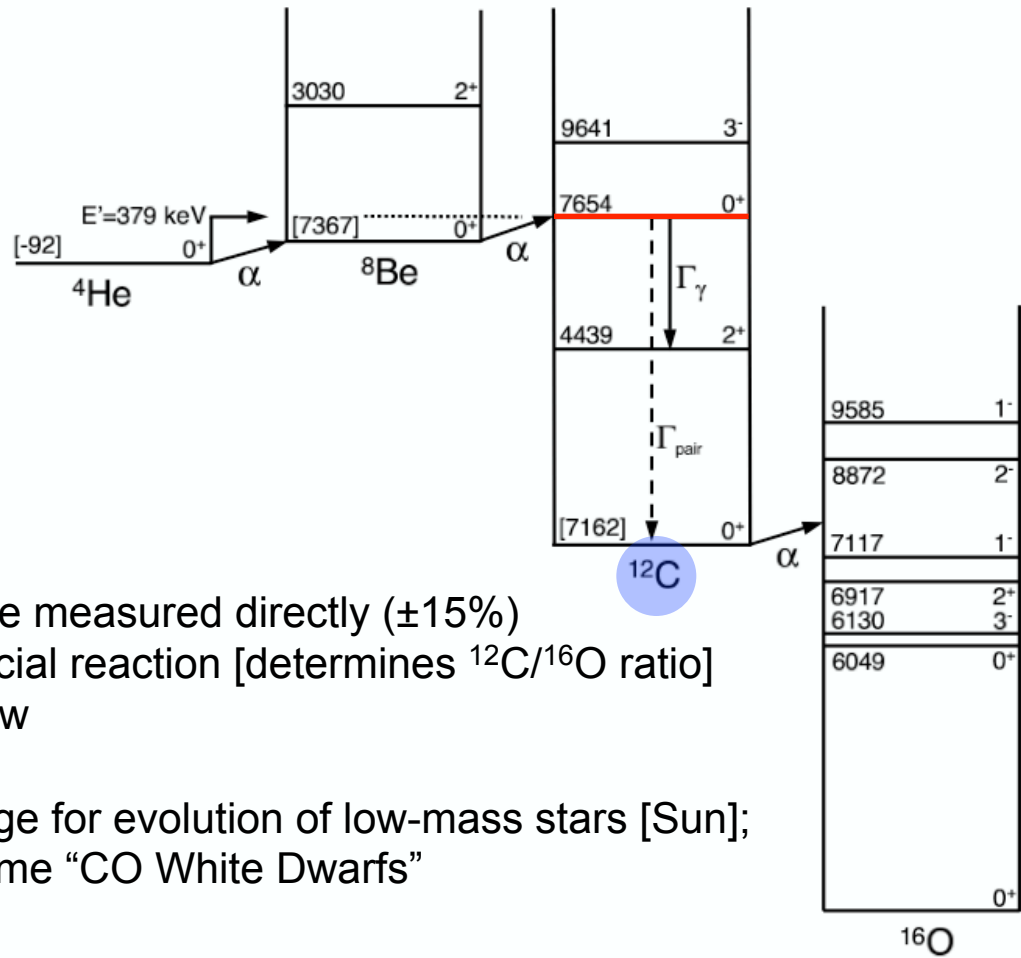
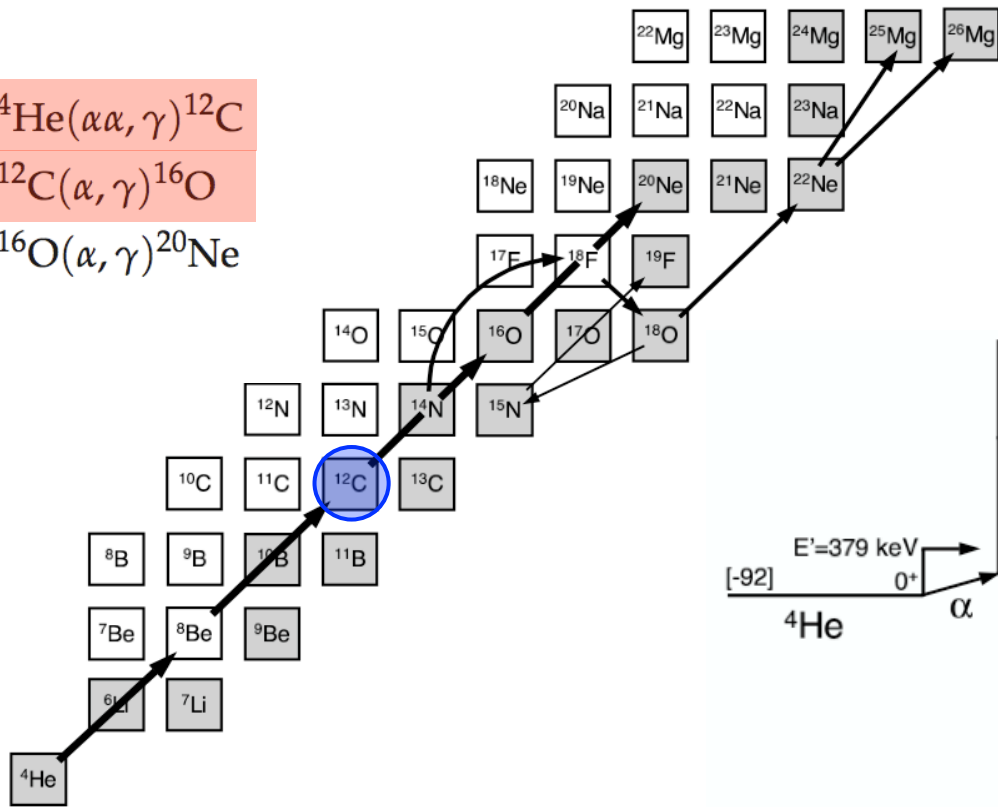
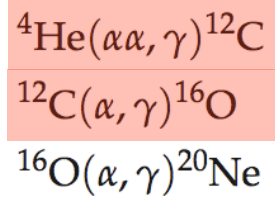
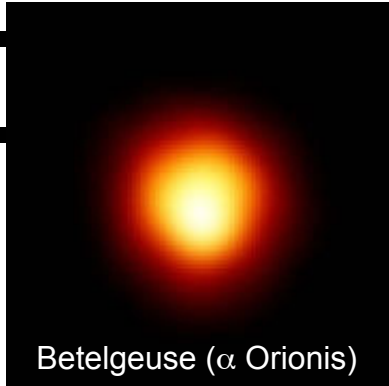
Ratio  $N_A \langle \sigma v \rangle_{\text{pcc}} / N_A \langle \sigma v \rangle_{\text{py}}$



Temperature (GK)



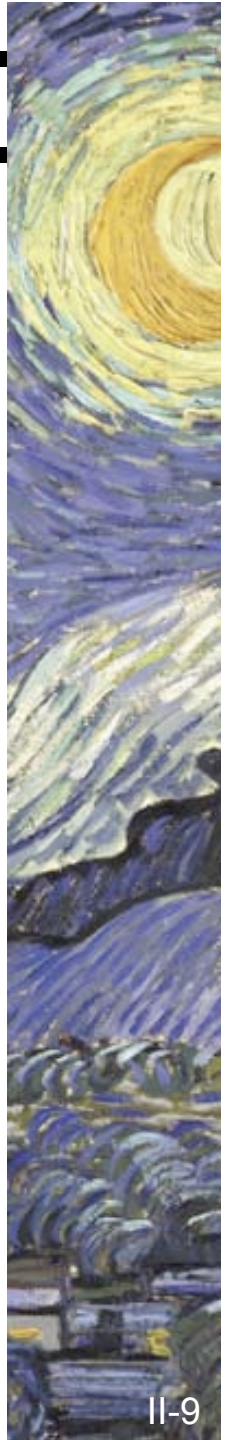
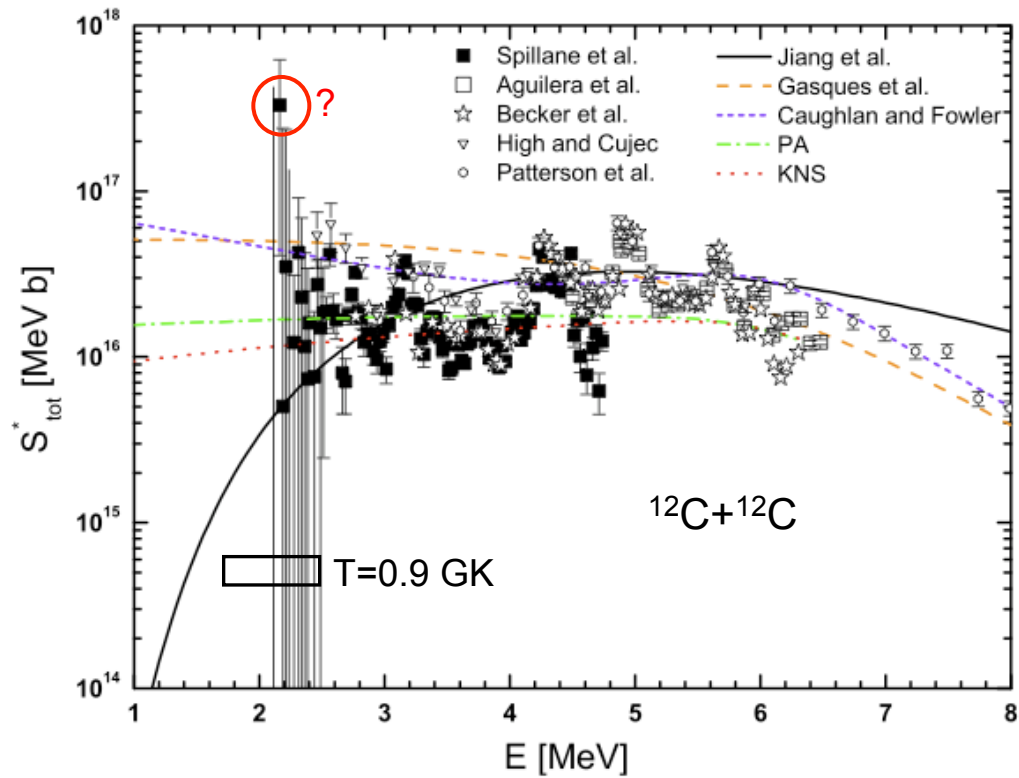
# Helium Burning: Massive stars ( $T=100-400$ MK)



- $3\alpha$  reaction cannot be measured directly ( $\pm 15\%$ )
- $^{12}\text{C}(\alpha, \gamma)^{16}\text{O}$  slow, crucial reaction [determines  $^{12}\text{C}/^{16}\text{O}$  ratio]
- $^{16}\text{O}(\alpha, \gamma)^{20}\text{Ne}$  very slow
- **ashes:**  $^{12}\text{C}$ ,  $^{16}\text{O}$
- last core burning stage for evolution of low-mass stars [Sun]; they eventually become "CO White Dwarfs"

# A Closer Look at $^{12}\text{C}+^{12}\text{C}$

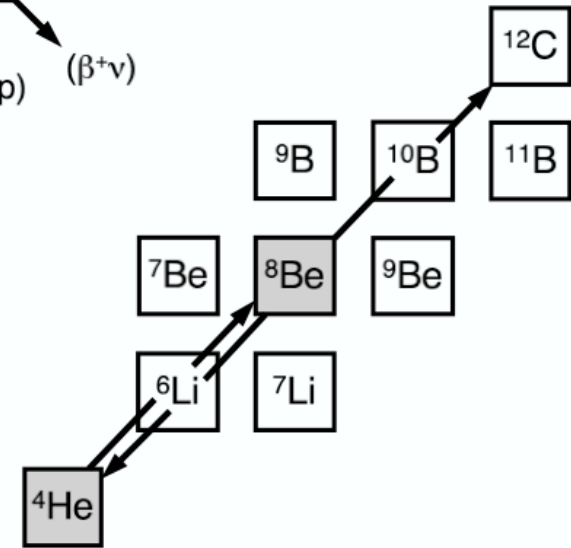
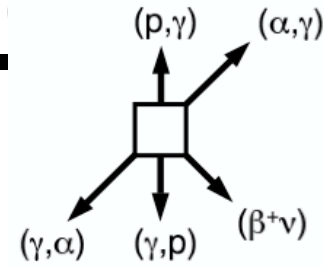
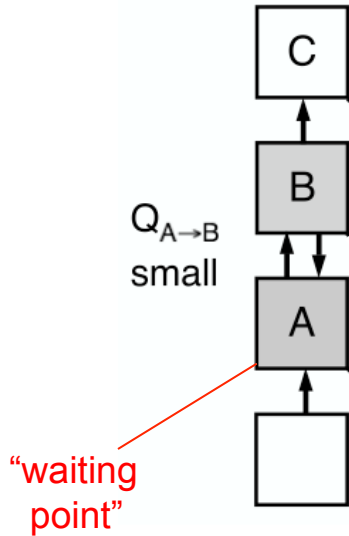
Costantini et al., Rep. Prog. Phys. 72, 086301 (2009)





# Reaction Rate Equilibria: $r = r_{A \rightarrow B} - r_{B \rightarrow A} =$

$$\lambda_1(0) = \rho \frac{X_1}{M_1} N_A \langle \sigma v \rangle_{01}$$



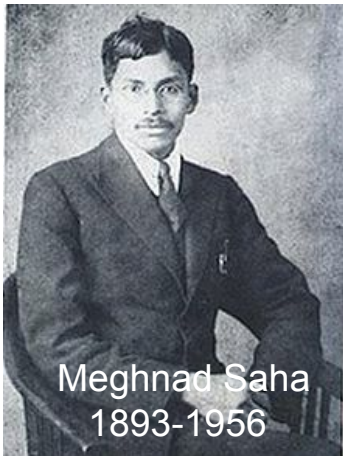
$$Q(\alpha + \alpha \rightarrow {}^8\text{Be}) < 0$$

From Saha statistical equation and reciprocity theorem

$$\begin{aligned} \lambda_{A \rightarrow B \rightarrow C} &= \frac{\lambda_{A \rightarrow B}}{\lambda_{B \rightarrow A}} \lambda_{B \rightarrow C} \\ &= N_a \left( \frac{h^2}{2\pi} \right)^{3/2} \frac{1}{(m_{Aa} kT)^{3/2}} \frac{(2j_B + 1)}{(2j_A + 1)(2j_a + 1)} \\ &\quad \times \frac{G_B^{\text{norm}}}{G_A^{\text{norm}} G_a^{\text{norm}}} e^{Q_{A \rightarrow B}/kT} \lambda_{B \rightarrow C} \end{aligned}$$

independent of reaction rate for A → B!

$$\lambda_{3\alpha} = 0.23673 \frac{(\rho X_\alpha)^2}{T_9^3} e^{-11.6048E'/T_9} \omega \gamma_{8\text{Be}(\alpha, \gamma)} \quad (\text{s}^{-1})$$



Meghnad Saha  
1893-1956

## Silicon Burning: core ( $T=2.8-4.1$ GK)

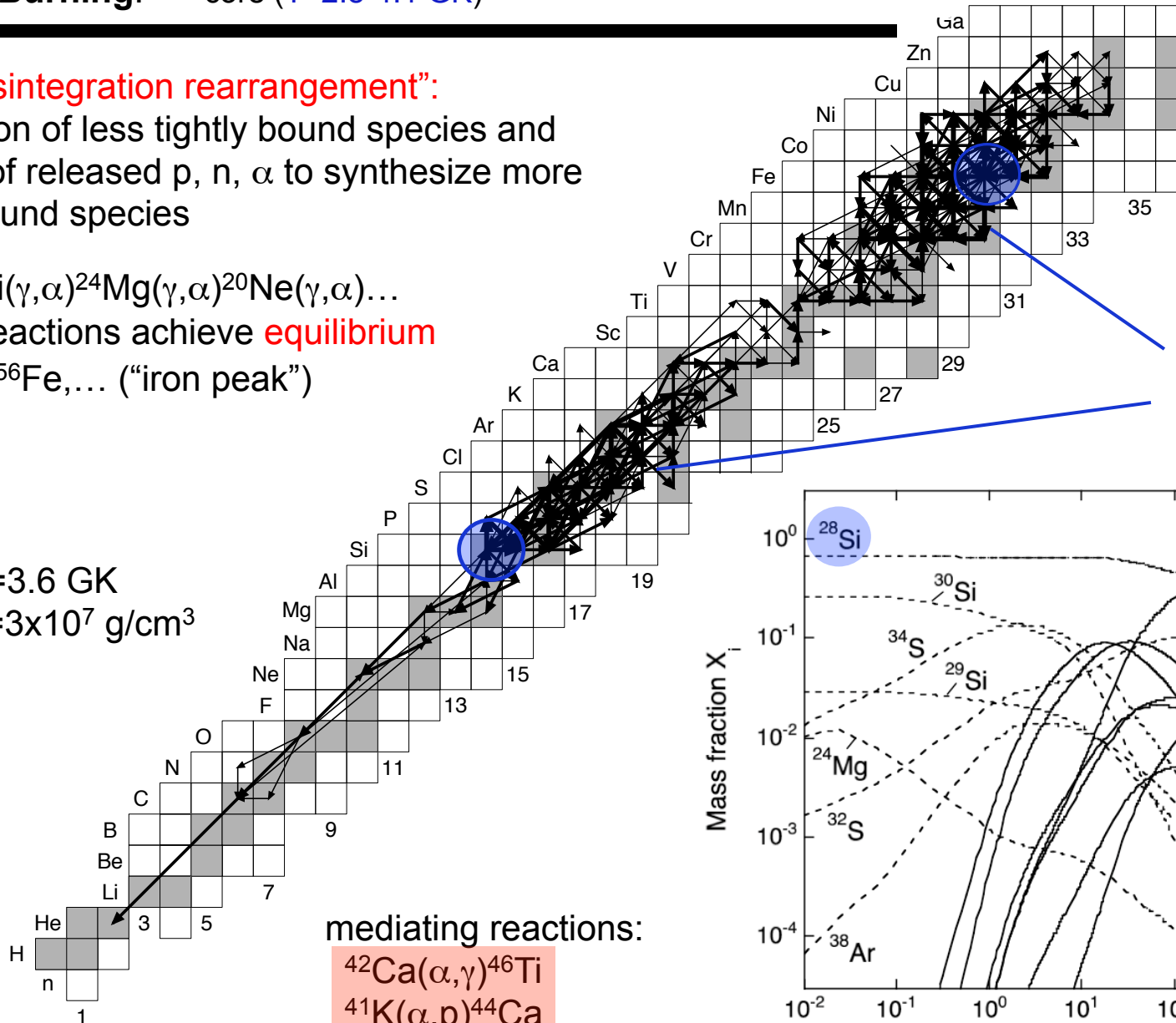
### “Photodisintegration rearrangement”:

destruction of less tightly bound species and capture of released  $p$ ,  $n$ ,  $\alpha$  to synthesize more tightly bound species

start:  $^{28}\text{Si}(\gamma, \alpha)^{24}\text{Mg}(\gamma, \alpha)^{20}\text{Ne}(\gamma, \alpha)\dots$

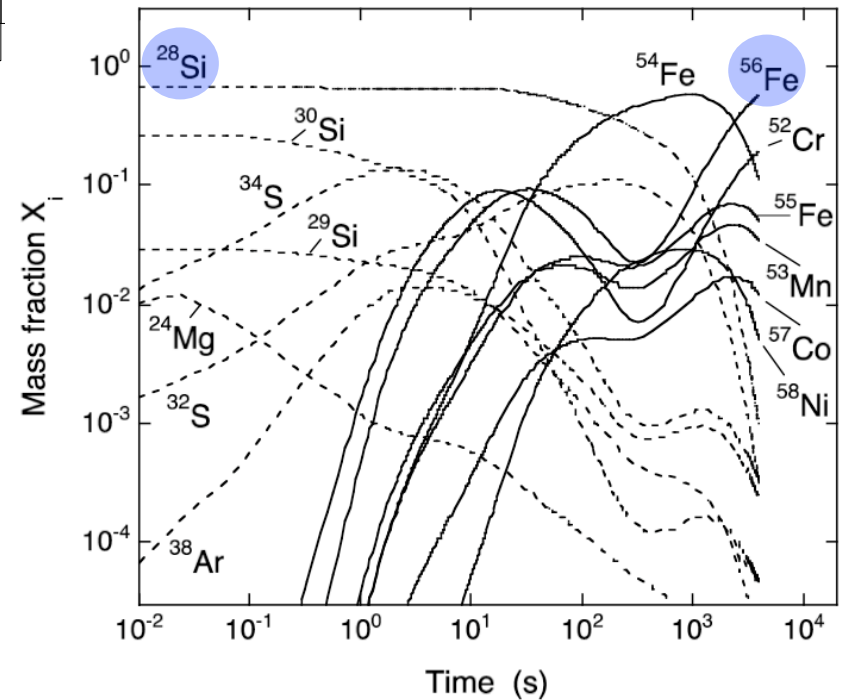
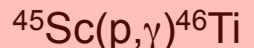
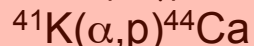
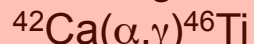
- many reactions achieve **equilibrium**
- ashes:  $^{56}\text{Fe}$ ,... (“iron peak”)

$T=3.6$  GK  
 $\rho=3 \times 10^7$  g/cm<sup>3</sup>

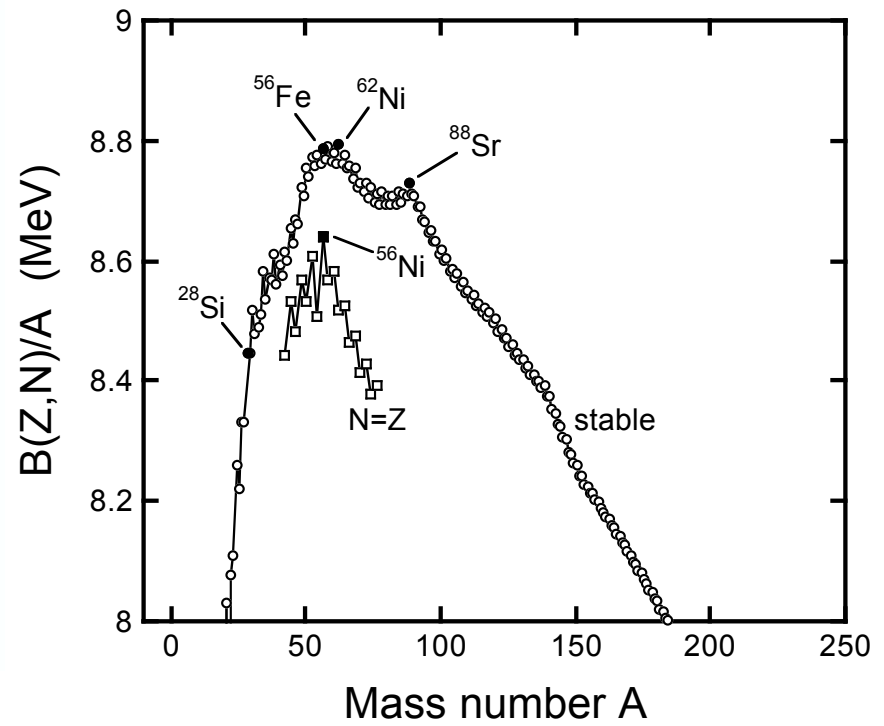
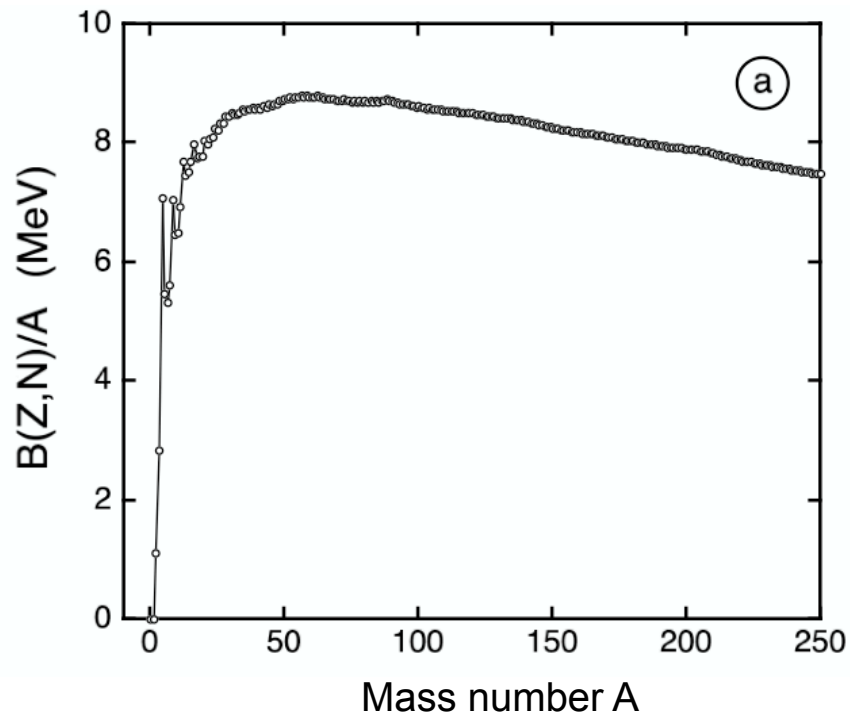


“quasi equilibrium clusters”

mediating reactions:

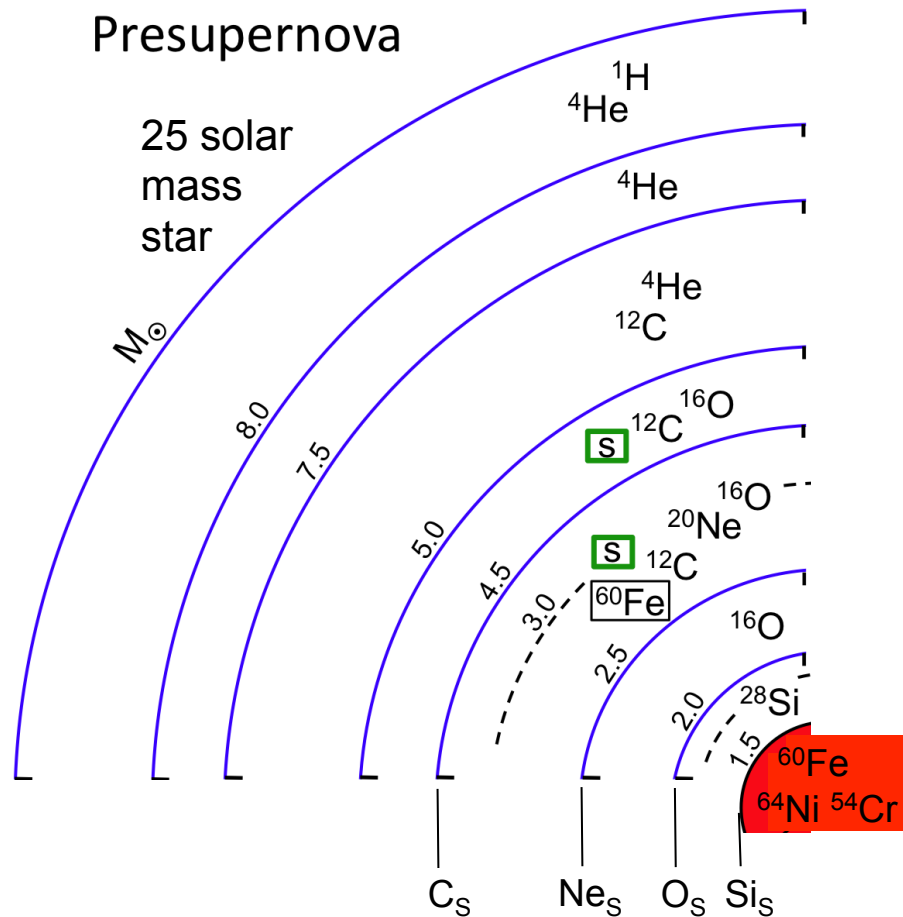


# Experimental binding energies per nucleon





# Onion Shell Structure of Massive Star at Instant Before Core Collapse



- we discussed burning in the core
- burning also takes place in thin regions (burning shells) at the interface of different compositional layers
- each burning shell migrated outward to the position indicated by blue lines

25 $M_{\text{sol}}$ , solar metallicity star:  
 $\eta=0.13$   
 $T_c=5.5e^9$  K  
 $\rho_c=1.6e^9$  g/cm<sup>3</sup>

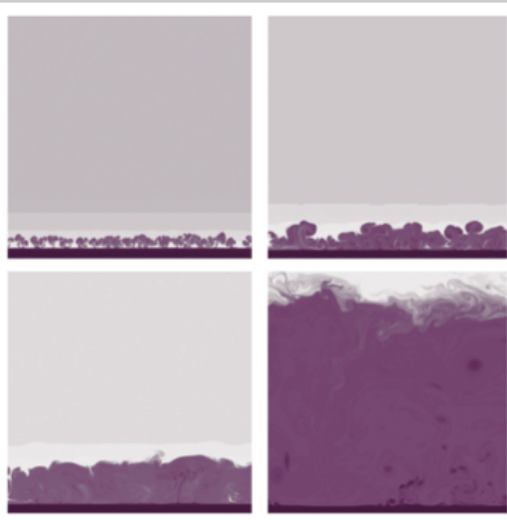
J. Jose & C. Iliadis, "The Unfinished Quest for the Origin of the Elements",  
 Rep. Prog. Phys. 74, 096901 (2011)

## Recent review article

ISSN 0034-4885

# Reports on Progress in Physics

Volume 74 Number 9 September 2011



Jose & Iliadis, "Nuclear Astrophysics: the Unfinished Quest for the Origin of the Elements", Reports on Progress in Physics 74, 096901 (2011)

- (i) Why do predictions of helioseismology disagree with those of the standard solar model?
- (ii) What is the solution to the lithium problem in Big Bang nucleosynthesis?
- (iii) What do the observed light-nuclide and s-process abundances tell us about convection and dredge-up in massive stars and AGB stars?
- (iv) What are the production sites of the  $\gamma$ -ray emitting radioisotopes  $^{26}\text{Al}$ ,  $^{44}\text{Ti}$  and  $^{60}\text{Fe}$ ?
- (v) What is the origin of about 30 rare and neutron-deficient nuclides beyond the iron peak (p-nuclides)?
- (vi) What causes core-collapse supernovae to explode?
- (vii) What is the extent of neutrino-induced nucleosynthesis ( $\nu$ -process)?
- (viii) What is the extent of the nucleosynthesis in proton-rich outflows in the early ejecta of core-collapse supernovae ( $\nu p$ -process)?
- (ix) What are the sites of the r-process?
- (x) What causes the discrepancy between models and observations regarding the mass ejected during classical nova outbursts?
- (xi) Which are the physical mechanisms driving convective mixing in novae?
- (xii) What are the progenitors of type Ia supernovae?
- (xiii) What is the nucleosynthesis endpoint in type I x-ray bursts? Is there any matter ejected from those systems?
- (xiv) What is the impact of stellar mergers on Galactic chemical abundances?
- (xv) What are the production and acceleration sites of Galactic cosmic rays?