

# Proposal for a First Level Trigger based on Tracking

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# Main issues for Tracker L1 Trigger



From CMS-DAQ TDR

## What a L1 Tracker Trigger for?

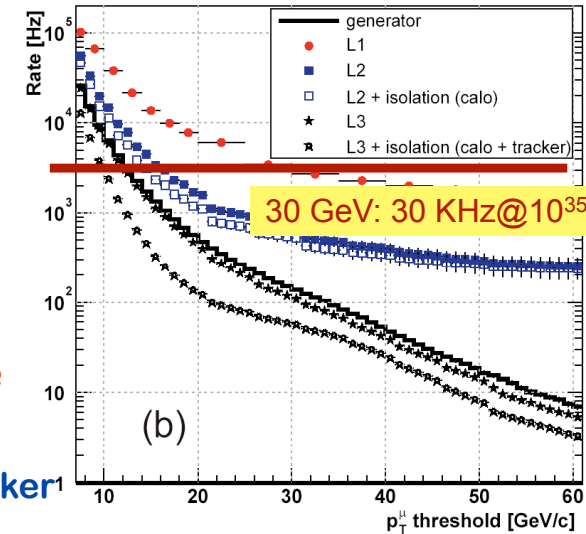
- high  $p_T$  leptons (muons, electrons)
- good jets-primary vertex matching (400 p.v./bx @20 MHz!)

## Implies...

- high efficiency for tracks with relatively large ( $>\sim 10$  GeV)  $p_T$
- medium-good momentum resolution
- good matching with calorimeters

## The key issue for L1 Trigger using the Tracker is the huge data rate

- About 12k primary tracks per bunch crossing (50 ns) in the Tracker<sup>1</sup> volume  $|\eta|<2.5$  ...
    - ...plus any other coming from  $\gamma$  conversions and nuclear interactions
  - Must handle  $O(10)$  MHz  $\text{cm}^{-2}$  at radii larger than 50 cm in the Barrel\*
    - Aim to retain all hits from primary tracks above a given  $p_T$  and few others in order to no compromise the fake rate
    - Want to avoid difficult multi-layer correlation logic on-detector
      - ▶ Local data reduction deemed before going to trigger logic
- ♣ Huge uncertainties - extrapolation from LHC fluence





# Tracking Trigger Proposals in CMS



## Two possible approaches

### Tracker primitives

- Large data rate to handle different philosophies
  - ➔ detector level data reduction using Cluster width(this talk)<sup>1</sup>
  - ➔ detector level data selection using Stacked doublets (UK, see backup)<sup>2</sup> - already funded!

### Selective readout using Mu or Calo information

- See A. Montanari talk<sup>3</sup>

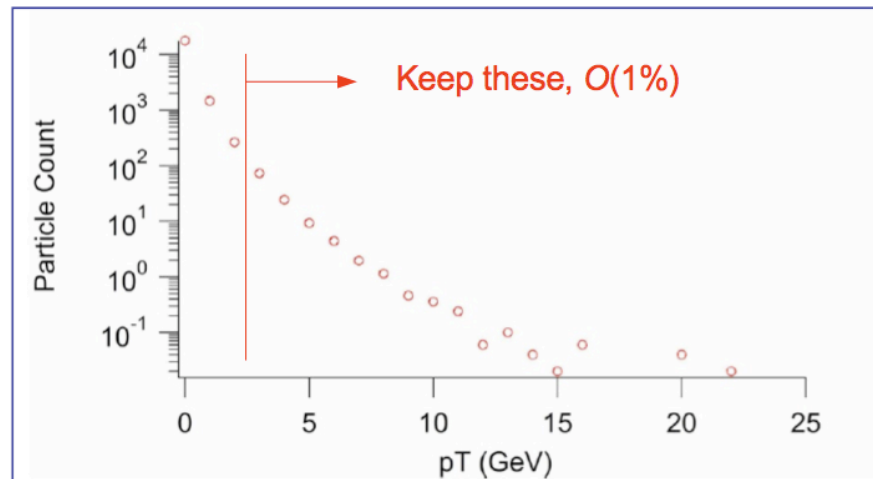
1. F. Palla and G. Parrini, Tracking in the trigger: from the CDF experience to CMS upgrade, PoS(Vertex 2007)03  
G. Barbagli, F. Palla and G. Parrini, Track Momentum Discrimination Using Cluster Width in Silicon Strip Sensors for SLHC, TWEPP-07, Prague 2007 <http://indico.cern.ch/contributionDisplay.py?contribId=80&sessionId=29&confId=11994>
2. J. Jones, G. Hall, C. Foudas, and A. Rose, "A pixel detector for level-1 triggering at SLHC," arXiv:physics/0510228.
3. A. Montanari et al. CMS-IN 2007-058



# Tracking Trigger driving idea



- Select only tracks above a given  $p_T$  since they are very few



## Design considerations:

- Reduce to a minimum Tracker trigger-only layers
  - i.e. same layers for triggers and data
- Limit as much as possible the needed bandwidth and number of channels for trigger
- Keep the system as much flexible as possible to adapt to any SLHC conditions



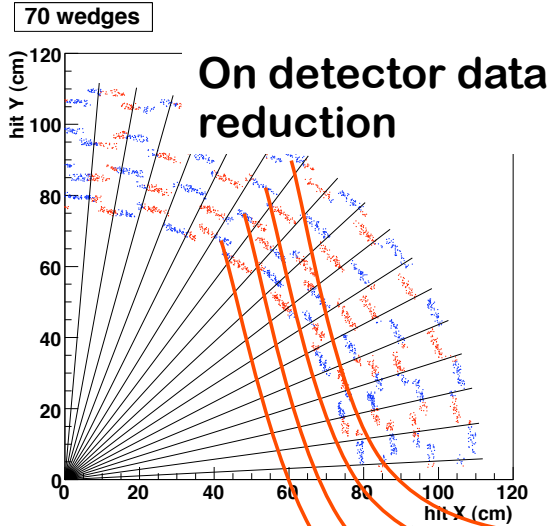
# Trigger working model



- **Subdivide barrel layers into many -  $O(50 \text{ to } 100)$  -  $\varphi$  sectors**
  - Keep data volume limited in each sector
  - Match with the detector sizes. High  $p_T$  tracks well inside (already a momentum discrimination!)
- **Data reduction and transfer (outer layers  $R > 50 \text{ cm}$ )**
  - Use silicon strip detectors
    - no time stamp in synchronous readout
  - Reduce the data rate for Trigger purpose on detector
    - local data reduction using Cluster Width
  - Use very high speed data links  $O(10 \text{ Gbps})$  to limit the no. of links
- **Process the data off detector**
  - Extend and rescale to CMS the CDF approach using Associative Memories (AM)
    - majority of at least 3 layers out of 4 in each trigger sector
    - “compute”  $p_T$
    - match with muons and calorimeters
- **Output of the Trigger**
  - Tracks reconstructed above a given  $p_T$  in each sector



# Off-detector Trigger Logic



Each AM searches in a small  $\Delta\phi$   
and produces a set of tracks above a given  $p_T$

## OFF DETECTOR

1 AM for each enough-small  $\Delta\phi$   
Patterns  
Hits: **position+time stamp**  
All patterns inside a single chip  
N chips for **N overlapping events**  
(identified by the time stamp)

Fast  
data links

Event1  
AMchip1

Event2  
AMchip2

Event3  
AMchip3

• • • • •

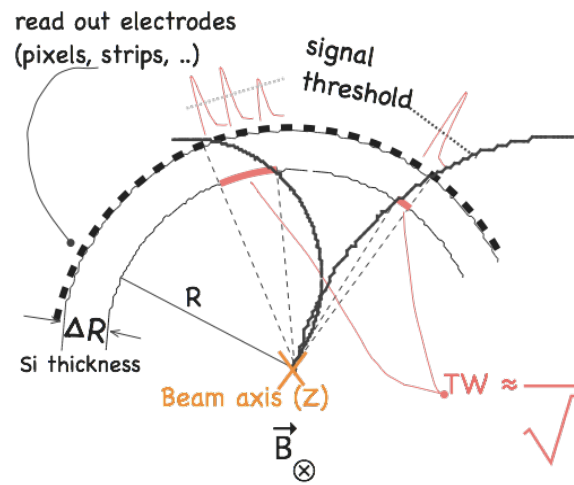
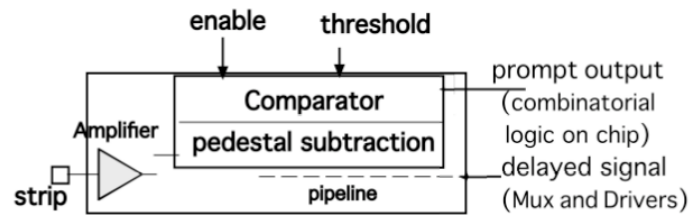
EventN  
AMchipN



# Data rate reduction on sensor

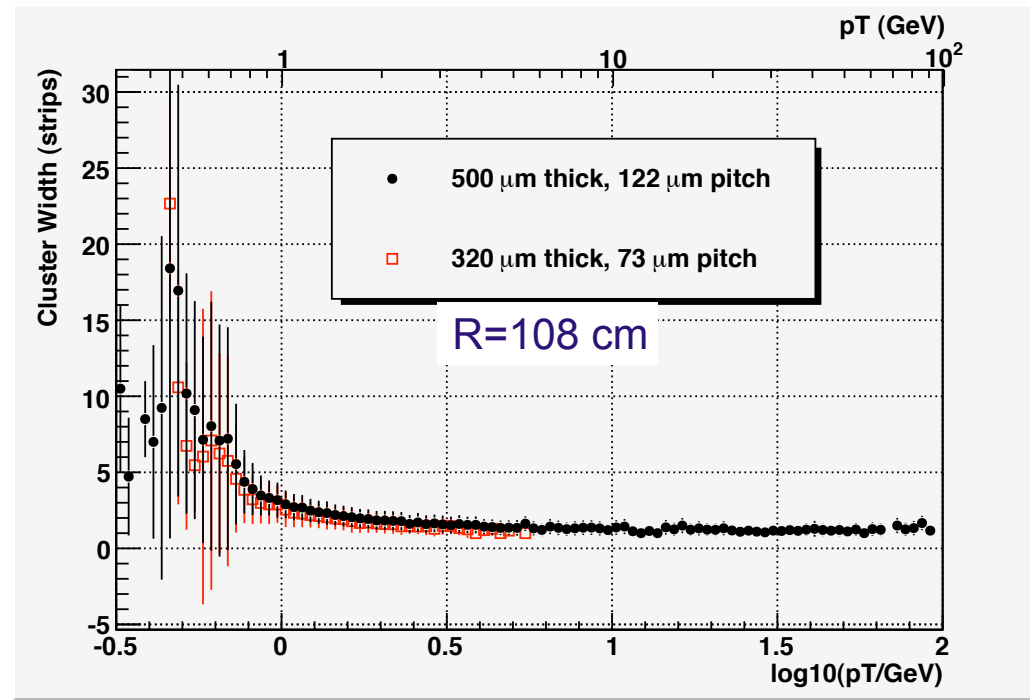


- Select clusters from high  $p_T$  particles using the different cluster width (CW) pattern
- Need a clusterizer ASIC after the FE stage.



R- $\Phi$  plane, "ideal" barrel layer

$$TW \approx \frac{\Delta R}{\sqrt{\left(\frac{pT_{min}}{pT}\right)^2 - 1}} \approx \Delta R \frac{pT_{min}}{pT} = 0.15 B \Delta R \frac{R}{pT}$$



Note scaling applies



# Data rate in Barrel

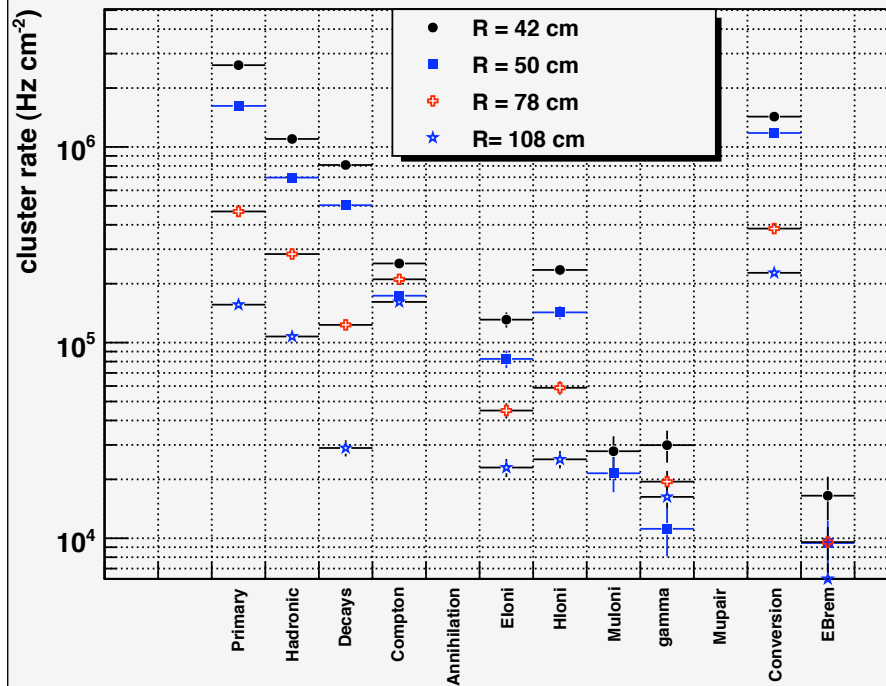


**Large reduction in data rate expected:**

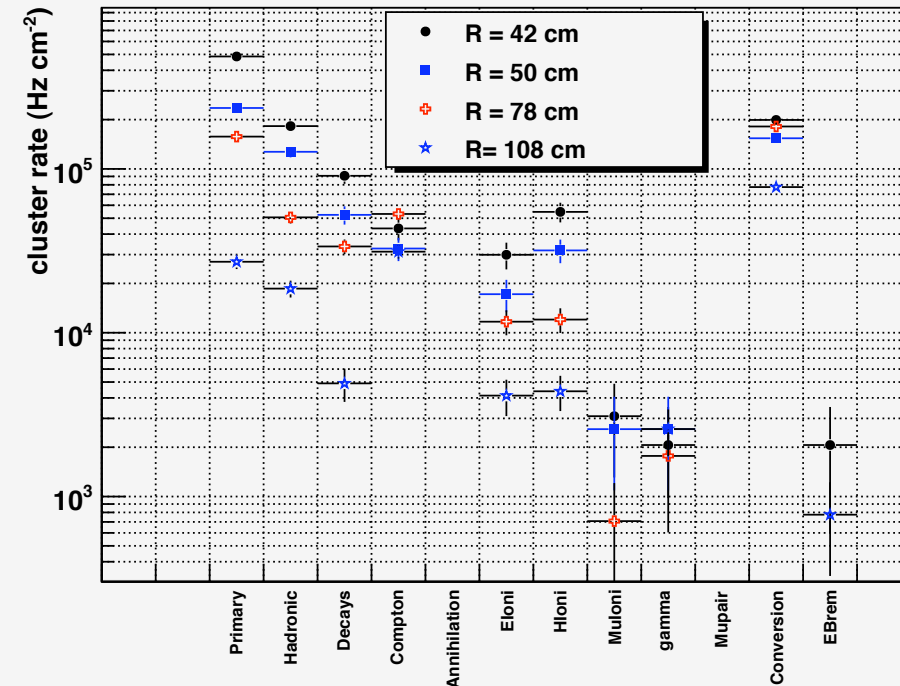
**R=78 cm: 500 kHz cm<sup>-2</sup> (it was 6 MHz cm<sup>-2</sup> hit rate)**

**R=108 cm : 170 kHz cm<sup>-2</sup> (it was 3.6 MHz cm<sup>-2</sup> hit rate)**

Cluster rate **before** CW reduction



Cluster rate **after** CW reduction







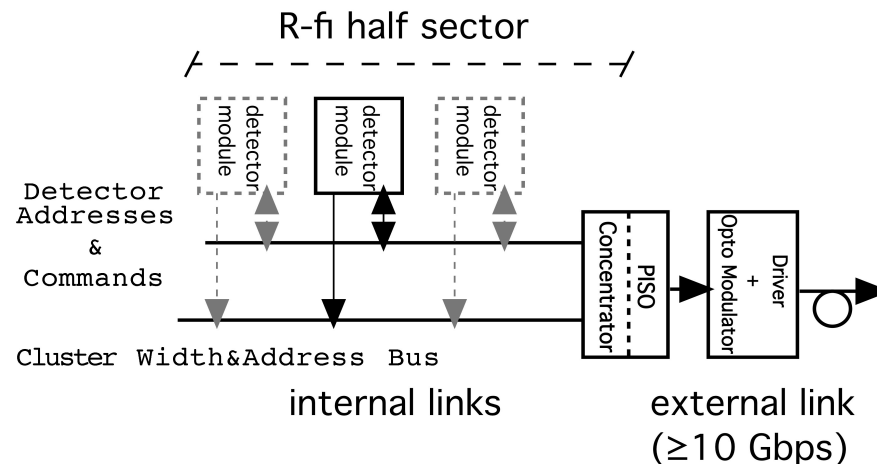
# Routing signals off the Tracker



Even if CW computed with full granularity, for trigger needs a reduced granularity is acceptable

(12 bits for full granularity; 375  $\mu\text{m}$  “effective” pitch=10 bits)

- A module ( $\sim 9 \times 4 \text{ cm}^2$ ) is expected to route on average  $\sim \leq 220$  (70) Mbps at 78 cm (108) radius at full granularity
- Study several connection schemes between each module and the high-speed links “hubs” located at the end of each sector

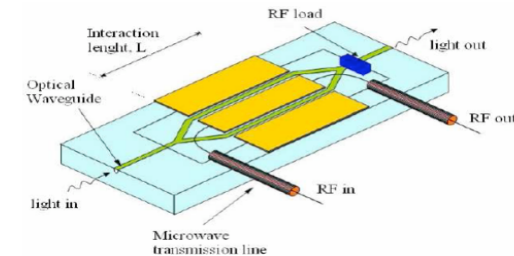
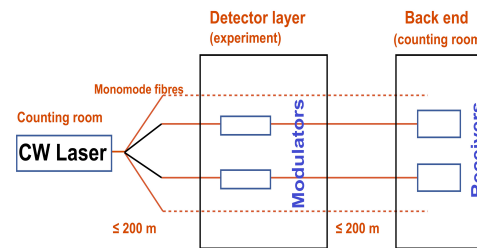


- Internal links can use low power  $\mu$ -twisted pairs (see B. Meyer talk at TWEPP 2008)

→ These kind of links also useful for Phase 1

## Telecommunication/IT standards

Put Laser power outside the detector and use modulators



- Normally uses electro-optical modulators (reaching up to 40 Gbps)
- Need to be tested in high fluence, low temperature and high B field

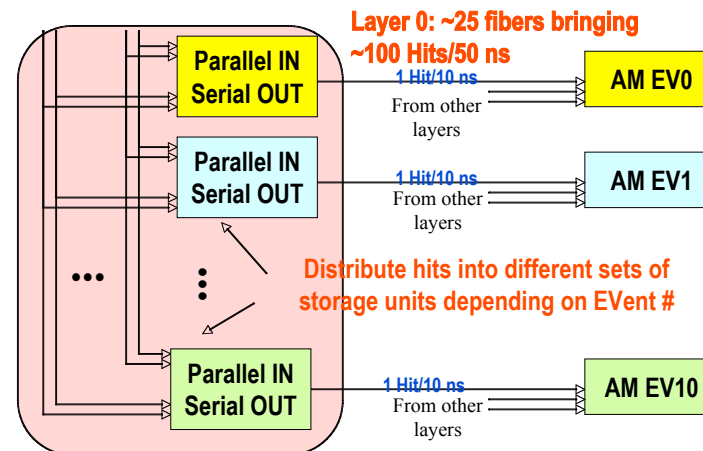
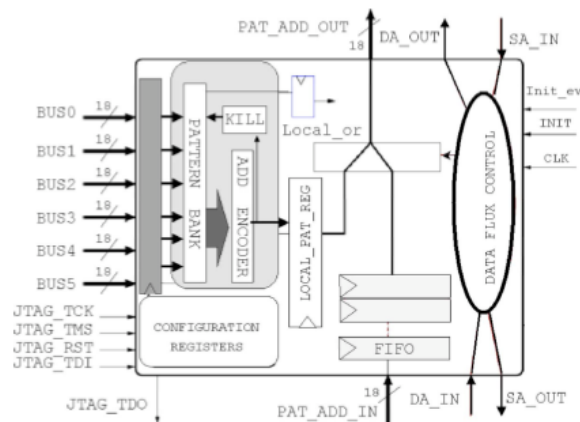
	Size (mm <sup>3</sup> )	Power (mW)	Driving Voltage (V)	Working Temp. (°C)	Magn. Fields Comp.	Availability
<b>LiNbO<sub>3</sub> MZM</b>	≤ 125 x 15 x 10	250 (modulator only)	≈ 5	0 to 70	Good	YES
<b>InP MZM</b>	~ 60 x 13 x 8 (laser included)	250 (modulator only)	≈ 5	0 to 70	Poor	YES
<b>Si MZM</b>	50 x 15 x 8 (estimate)	===	≈ 1	===	Good?	NO
<b>EAM</b>	~ 50 x 30 x 10	≈ 1000 (TEC)	≈ 2.5	-20 to 70 (case)	Good?	YES



# Data switch



- Each AM chip will receive inputs from different layers in the same trigger sector
  - depending on the I/O speed and processing time a single AM chip cannot sustain the bandwidth of a single sector
    - Forecast ~3.6 Gbps (200 MHz x 18 bit) AM/input to be compared with ~10 Gbps of the busiest sector
- Need a “traffic control” switch to distribute the data into different parallel AM engines





# Building $e/\mu/\gamma/\tau$ objects with AM



## Correlation using AM chips is naturally embedded

### Input the AM with

#### L1 Muon primitives

→ Muon: play with isolation cuts

#### L1 Calorimeter primitives

→ Electron

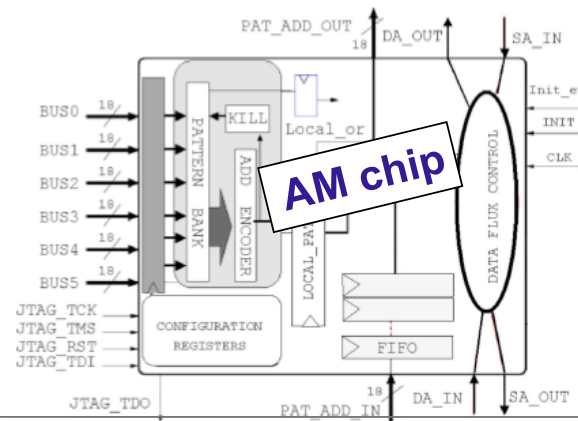
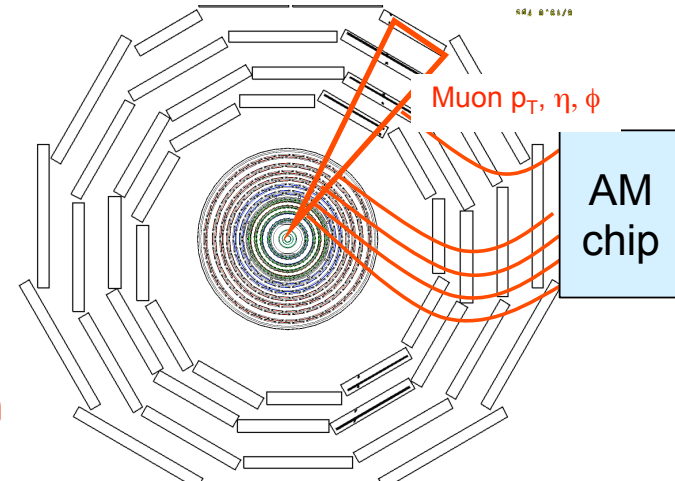
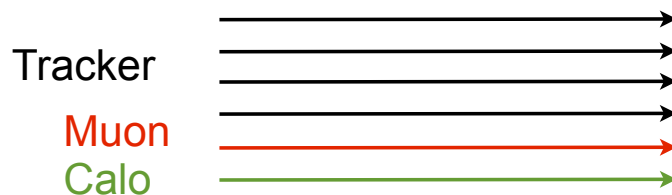
→ Jets

→ Veto of high momentum tracks: **Photon**

→ Single or triple track match: **Tau**

#### Primary vertex correlation (remember 400 primary vertices!)

→ ~O(few) mm precision in z!





# What this proposal will study - I



- **On-detector data reduction using Cluster Width**
  - Strip sensor design and locations (see later) (INFN + others)
  - Data formatting and estimate of the detector bandwidth (INFN + others)
  - Clusterization ASIC dimensioning
    - a first FPGA prototype foreseen on the first year (INFN + Lyon), followed by conceptual ASIC, first in 0.35 um technology; possibly followed in lower pitch submicron technology (Lyon)
  - Validation of the method by using real p-p LHC collision data as well as a dedicated test beam (INFN + others)
- **INFN will be leading the studies**
  - Already actively working on system design and validation
    - Pisa and Florence
  - Expect to contribute to the FPGA for studying the clusterization logic
    - Florence



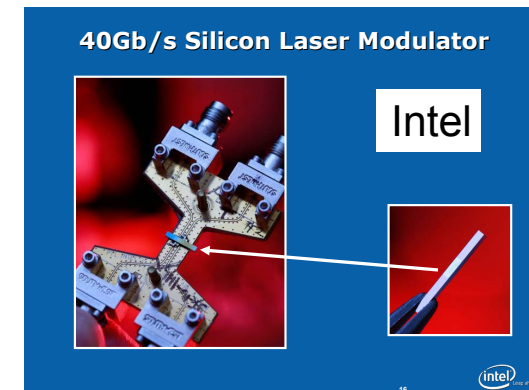
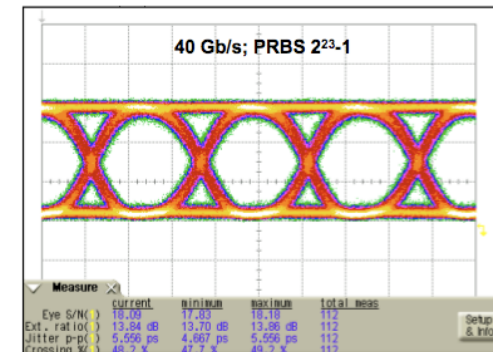
# What this proposal will study - II



## Data shipment off-detector using high speed links (INFN + others)

- Procurement of MZM and drivers
- First choice will be LiNbO<sub>3</sub> modulators from several vendors (Avanex, JDSU or Photline)
  - If Si-based modulators will be available (Intel) they will also be procured
- Irradiation at several facilities followed by qualification
  - Univ. Massachusetts Lowell facility (proton, neutron)
  - Labec Florence (proton)
- High magnetic field and low temperature qualification
- Want to study modifications with Companies, possibly also to be performed at ICFO Labs.
- Some funds (2009 only) already granted by CSN5 to Florence within the DACEL II in 2008
- CNR Florence and ICFO Barcelona have expertise to test and qualify MZM

AVANEX





# What this proposal will study - III



## Organization and dimensioning of the Trigger logic

### Dimensioning of the number of patterns and AM chips (INFN + others)

- depends upon the (coarse) pitch segmentation, the number of layers and detectors in a sector, the minimum pT threshold and the number of sectors

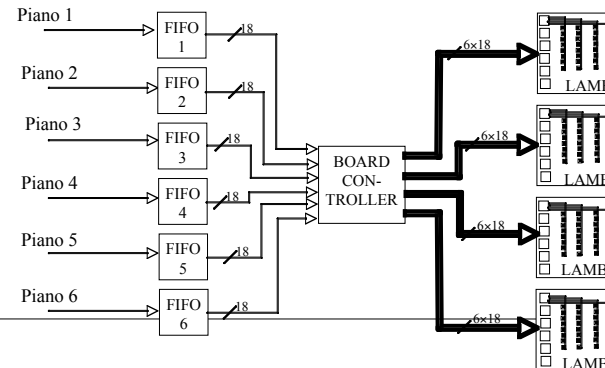
### Dimensioning of the Switch (INFN + others)

- Will depend upon the effective speed of AM chips
- Need to follow the development of the new chips by FTK collaboration (outside CMS)

### Want to develop a prototype switch and study the best architecture to distribute clusters to the AM chips (Boston)

- based on FPGA and high-speed LVDS links
- Profit of recent developments from SLIM5 collaboration, as well as of past experience in D0 (Boston, Brown)

<http://www.pi.infn.it/slim5/>





# Sensors and Layers



## Use silicon strip detectors

Want to study the effects of several parameters:

- pitch and thickness
- material substrate (p or n bulk will influence the Lorentz angle)
- strip length
- Electronics front end coupling (AC vs DC), noise and cross talk
- Optimize radial distance

## Preliminary studies done with a modified Strawman A\*:

Detection layers located at radii: 78, 87, 97, 108 cm (current last 4 TOB)

290  $\mu\text{m}$  active thickness, 91.5  $\mu\text{m}$  pitch (97 and 108 cm layers) and 122  $\mu\text{m}$  pitch (78 and 87 cm layers), n-type bulk, 4.65 cm strips length, AC coupling, 3% inter-strip couplings

- no Lorentz angle compensation
- 12192 mini-modules, 7.96 M channels

\*Some dimensions constrained by the Strawman A approach

## Tracking Trigger offers INFN a leading opportunity

To consolidate expertise on Simulation (see A. Tricomi talk) and Sensors (see A. Messineo)

To increase expertise on analogue and digital electronics

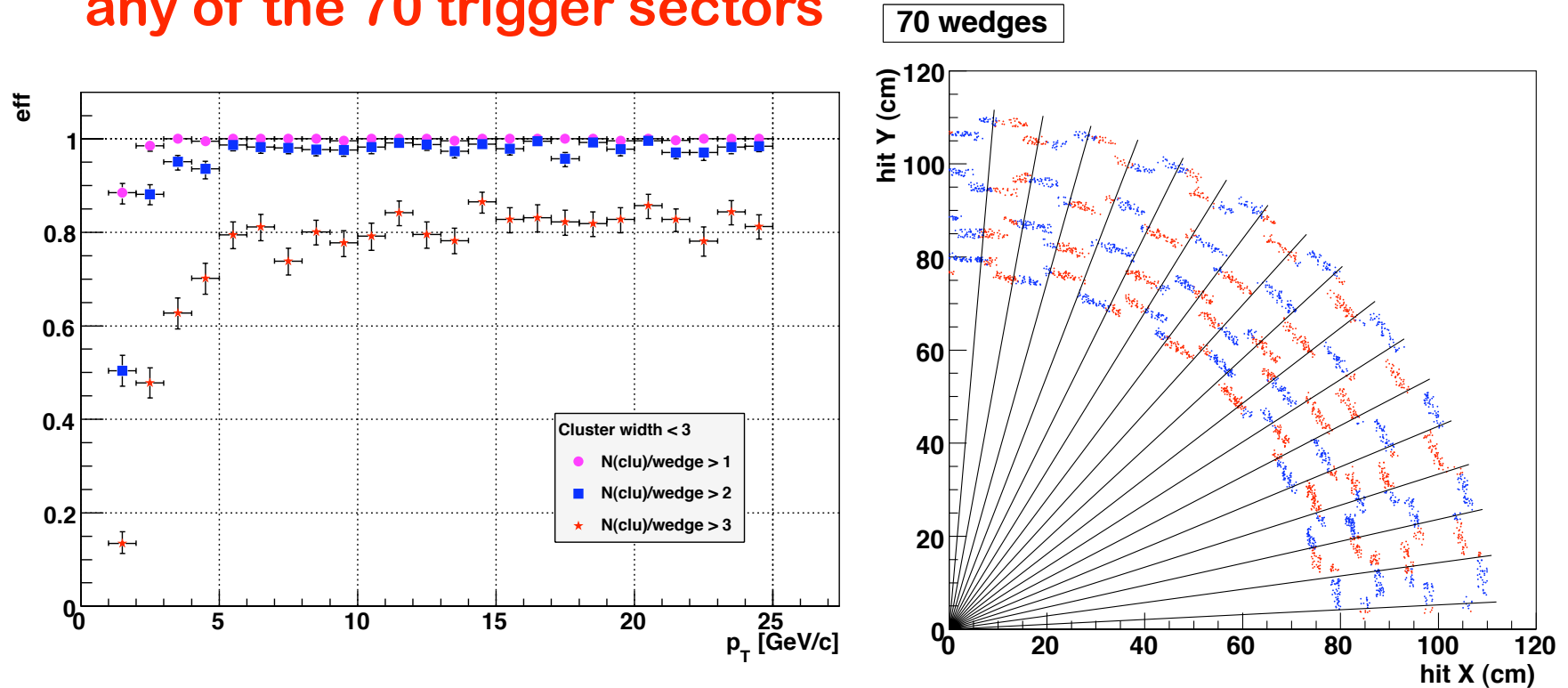




# Some (very) preliminary results



## Fraction of muons with minimum number of clusters in any of the 70 trigger sectors



- APV at the borders are shared between sectors
- Majority of 3 layers per sector give ~100% efficiency above  $p_T \sim 5$  GeV/c



# Job sharing



Institute\Item	Cluster width Simulation & Validation	AM chip, off-detector trigger processors and switch	Links	Electronics
Lyon IN2P3	X	X		X
Bari INFN	X			X
Florence INFN/CNR	X		X	X
Pisa INFN	X	X		
Barcelona ICFO			X	
Boston University		X		
University of Minnesota			X	
Brown University	X	X	X	

**Work plan to be accomplished in 3 years  
(see full table in the backup slides)**



# Financial plan for INFN



<b>Item</b>	<b>Cost (k€)</b>
<b>Modulators + drivers</b>	<b>40</b>
<b>FPGA + electronics</b>	<b>20</b>
<b>Equipments for test beams</b>	<b>30</b>
<b>Mechanics</b>	<b>20</b>
<b>Consumables</b>	<b>40</b>
<b>Total (3 years)</b>	<b>150</b>



# BACKUP



# UK Project funding approved



## UK Upgrade Project “WPI”

### “Tracker and Level-1 Track Trigger: Design and Optimisation”

- ▶ Areas of work
  - ▶ Tracker layout studies and optimisation
  - ▶ Track trigger primitives generation and optimisation
  - ▶ L1 trigger upgrade – tracking algorithms
  - ▶ L1 trigger upgrade – requirements and physics performance
- ▶ Project scope and schedule
  - ▶ Project duration 2.5 years: 1/1/09 until 30/6/11
  - ▶ STFC authorises us to deploy ~14SY of effort over this period (plus students)
    - ▶ Of which ~10SY is new effort; can aim to make a step change in rate of progress in some areas
- ▶ Organisation
  - ▶ Four ‘sub packages’ coordinated by experienced collaborators
  - ▶ Recruitment of new postdocs to start immediately (candidates?)

1

CMSUK SLHC Kickoff – 3-Nov-08

Dave.Newbold@cern.ch



# Timeline



Item\Year	Year 1	Year 2	Year 3
<b>Cluster width Simulation &amp; Validation</b>	LHC collision data analysis. Tracker layout geometry and basic characteristics of CW algorithms. Sensor and strip dimensions versus radius. Determination of the data rate and data reduction efficiency.	Trigger performances on benchmark processes. Experimental set up to verify the CW method and to study charge sharing effects.	Trigger performances on benchmark processes. Test beam measurements and analyses
<b>AM chip and off-detector trigger processors and switch</b>	Dimensioning of the patterns per AM chip. Evaluate existing R&D projects, testing existing hardware if appropriate. Test board design to test timing performance. Preliminary system design, including tentative choice of bus and communications standards with system.	Trigger efficiencies and trigger sectors dimensioning. Design and fabricate prototype PCBs to demonstrate key features of system design. Design firmware for system operation.	Dimensioning of the system using existing solutions for AM chips. Fabricate updated prototypes if required. Evaluate operation of system using prototype detector in available test benches.
<b>Links</b>	Commercial opto-link devices survey and the GBT project adaptability. Plan of the experimental tests on external MZM devices and drivers.	Tests on MZM and drivers. Summary: project of a custom device.	Validation of the custom device. Proposal of link system.
<b>Electronics</b>	On detector electronics: architecture requirements and survey of existing solutions and projects. First detector prototype assembly. Telescope procurement. Clustering FPGA and ASIC conceptual design.	Test beam and result analysis. Tests on prototype board (FPGA) of discrimination algorithm. ASIC first submission Electronics and detector prototype survey. New detector prototypes assembly	Solutions for silicon data connection to optical links. ASIC second submission Test beam and result analysis.



# Alternative Stacked layers (UK)

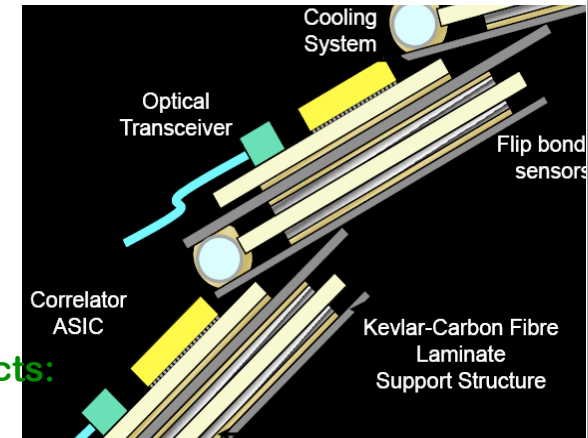


## Sensor and module

- sensor pitch (60-120 $\mu\text{m}$  in  $r-\phi$  and  $\sim 1$  mm  $z$ )
- Tight mechanical structure

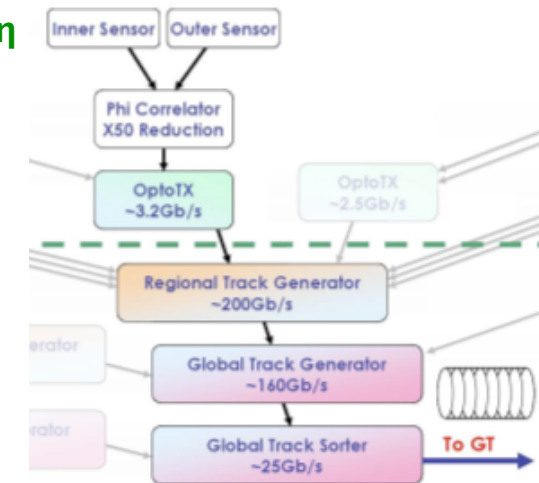
## Data reduction logic on detector:

- Correlator ASIC between two sensors in the stack
  - run on full resolution  $r-\phi$  and  $z$  correlations
  - need to compensate for Lorentz angle and misalignment effects:  
need calibration constants to be uploaded



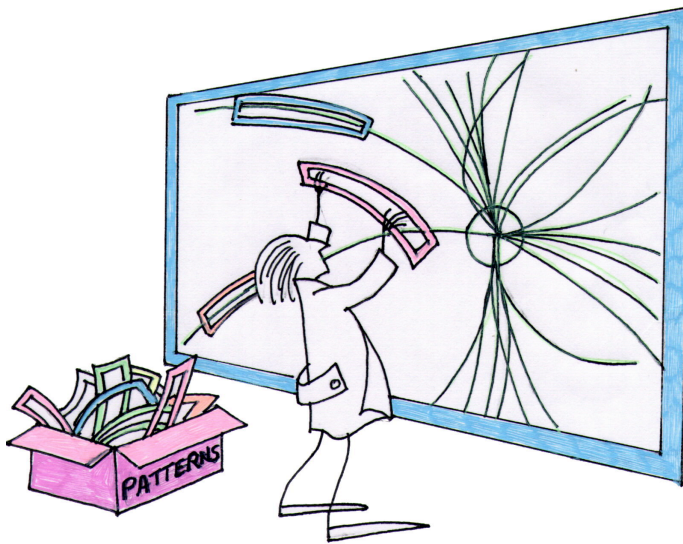
## Off detector:

- Regional Track Generator - FPGA based
  - projects track stubs from outer to inner super-layers in several  $\eta$  regions.
- Global Track Generator
  - builds up tracks and computes  $pT$
  - applies a further cut in  $pT$
- Global Track Sorter
  - holds tracks and forward them to the Global Trigger



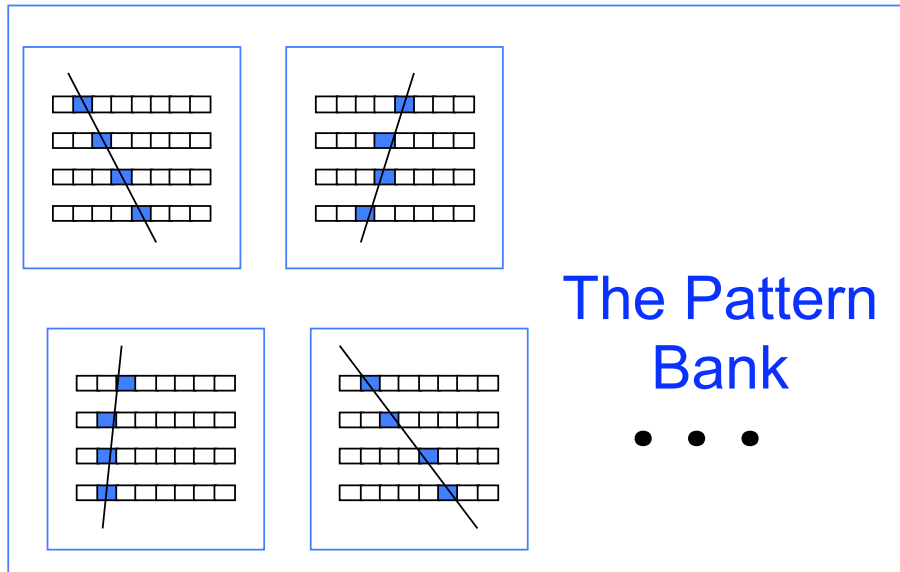
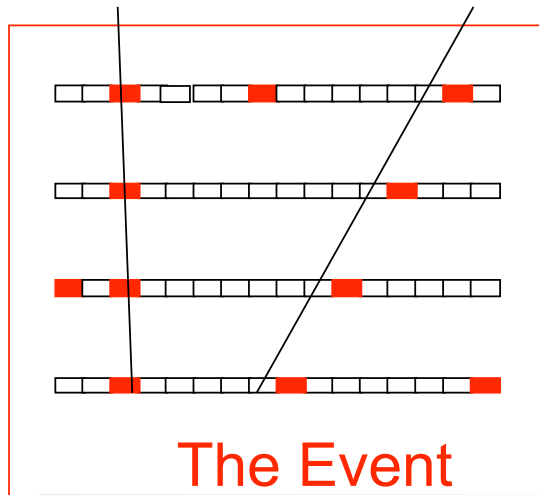


# Pattern matching in CDF (M. Dell'Orso, L. Ristori – 1985)



The **pattern bank** is flexible set of pre-calculated patterns:

- can account for misalignment
- changing detector conditions
- beam movement
- ...







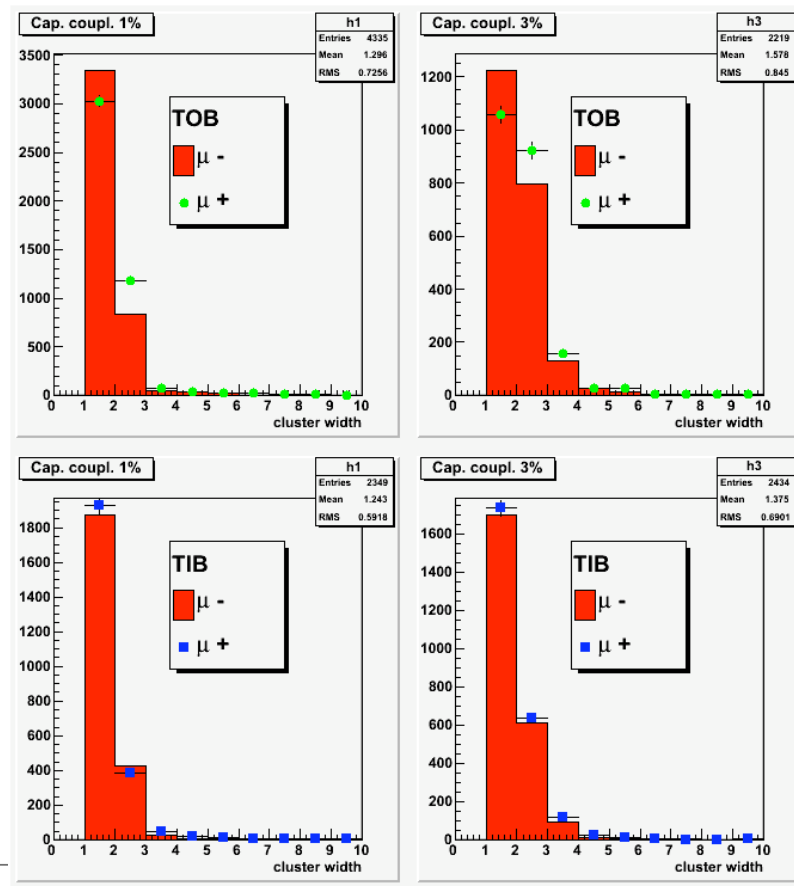
# Cap. couplings and Lorentz angle



## Cluster width for muons above 10 GeV/c

- clear effect due to capacitive couplings and Lorentz angle
- Note the TIB is compensated

1% CC



3 % CC



# Data rate in Barrel - I



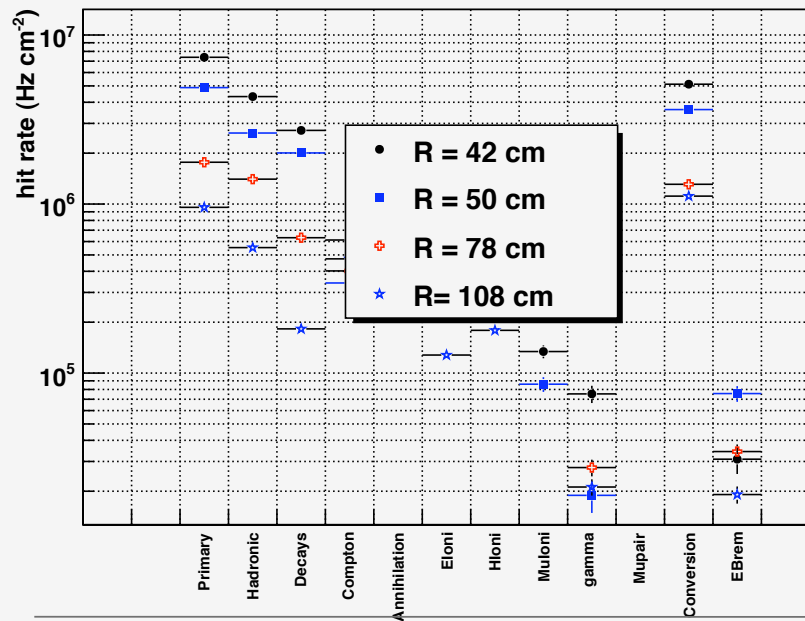
**Huge data rate (at  $10^{35} \text{ cm}^{-2} \text{ s}^{-1}$ )**

**Use clusters instead of hits to first decrease the rate**

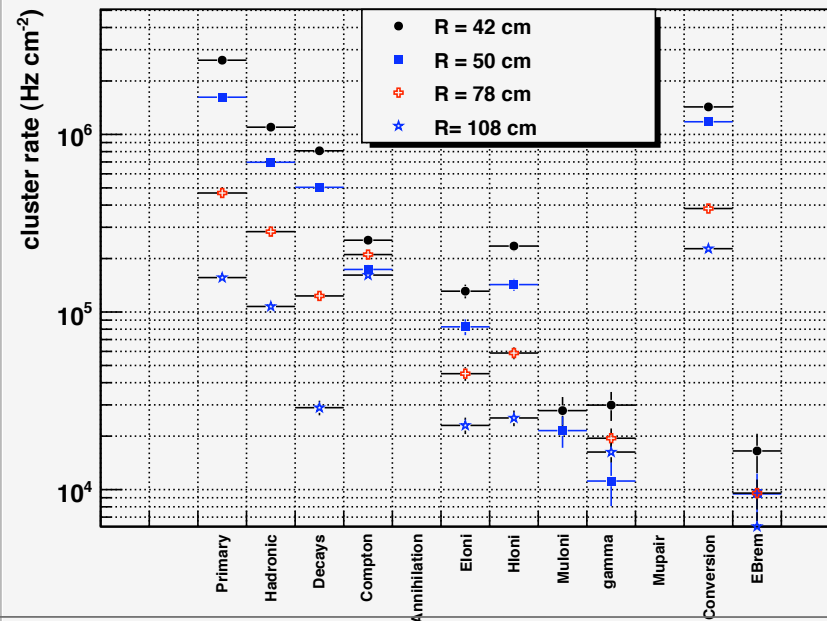
- **R=42 cm: clusters (hits)  $\sim 7$  (22)  $\text{MHz cm}^{-2}$**
- **R=78 cm: clusters (hits)  $\sim 1.6$  (6)  $\text{MHz cm}^{-2}$**
- **R=108 cm: clusters (hits)  $\sim 0.7$  (3.6)  $\text{MHz cm}^{-2}$**

(Note: if no zero-suppression applied (DIGI) rates are a factor 10 larger)

HITS



CLUSTERS



## ICFO Optoelectronics group

### 15 Members:

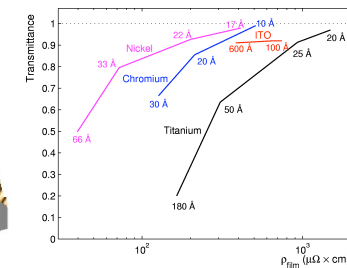
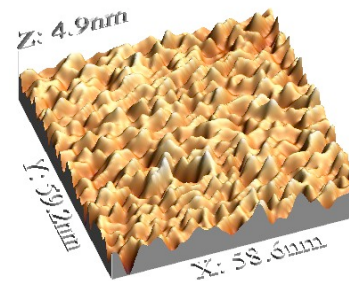
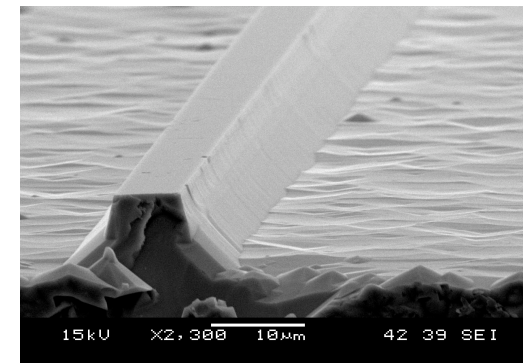
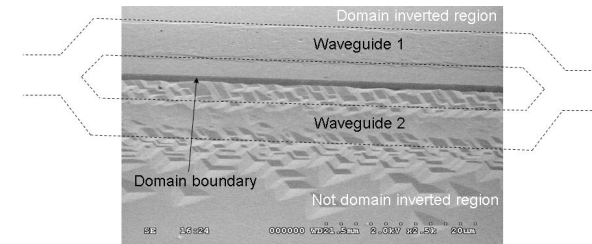
1 Group leader, 4 post-docs, 6 PhD students, 4 Research engineers

### Research topics:

- Micro- and nano-engineered electro-optics (EO) and acousto-optics devices
- Ultra-thin metal films for transparent electrodes
- Photonic crystal fibers (PCF) and nanowire devices

### Ongoing projects:

- Ultra low voltage and broad band integrated EO modulator (Ministry of Research)
- Quantum transceiver (European Space Agency)
- High temperature PCF sensor (European Space Agency)
- Head up display for car safety (Ficosa, Seat, AD Telecom)
- 3D liquid crystal cell for display (AD Telecom)



Examples of fabrication of low voltage Modulators and ultra thin metal films Done at ICFO



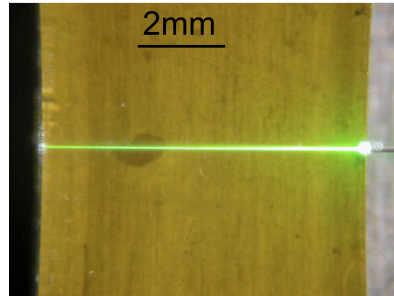
# Materials and Devices for Photonics - MDF Group

Activities on glass planar waveguides, fibres and microcavities for telecom and sensing

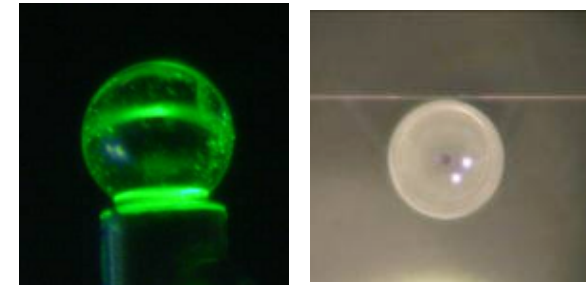
## People

- 5 researchers
- 1 post doc
- 1 PhD student
- 1 graduate student
- 2 technicians

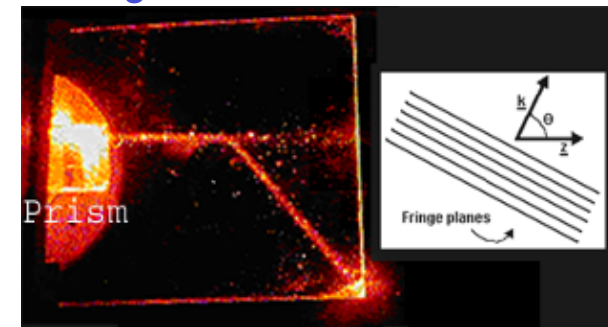
contact: [s.pelli@ifac.cnr.it](mailto:s.pelli@ifac.cnr.it)



Channel waveguide in  $\text{Er}^{3+}$ -doped glass



High Q microcavities



Waveguide gratings

## Main research topics

- Rare earth doped oxide glasses and glass ceramics, **photorefractive** film and polymers.
- Planar **waveguides** by ion-exchange, UV imprinting, ion implantation; waveguide and fibre **gratings**; waveguide **lasers** and **amplifiers**.
- **High Q** Whispering Gallery Modes (WGMs) **microcavities**.

## Fabrication facilities

- **Class 100** (and class 1000) **clean room** with Mask Aligners, RF Sputtering, Reactive Ion Etching, Spinner, Profilometer.

## Characterization labs

- Laser sources including Ar, Ti:Sapphire, Nd-YAG, KrF excimer; semiconductor tunable lasers in S & C band and pump lasers for optical amplification.
- Commercial and in-house developed **test equipment for waveguides and fibres characterization**, **microcavities** analysis, materials spectroscopy.



Class 100 clean room



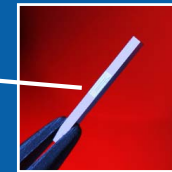
# Silicon based modulators



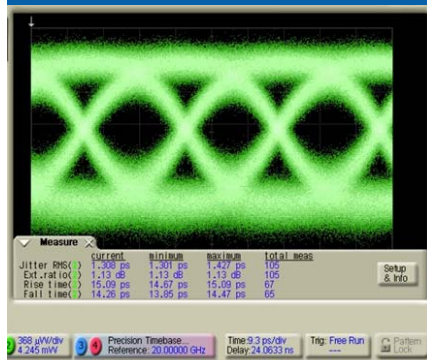
## Extremely attractive

- reduced power consumption and dimensions
- possibility to embed in the readout chip
- available in ~5 years ?

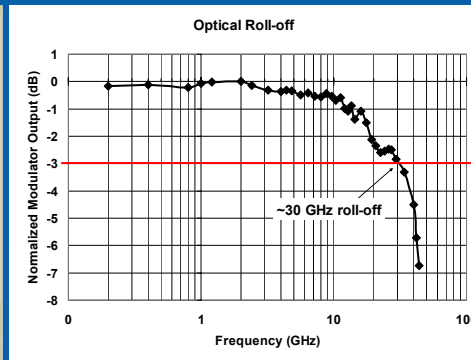
## 40Gb/s Silicon Laser Modulator



## 40Gb/s Data Transmission Results presented at IPNRA



40Gb/s Data Transmission

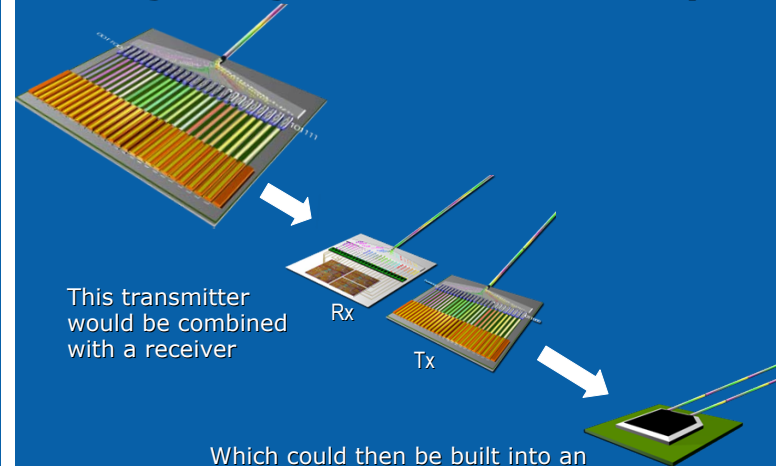


Optical 3 dB roll off ~30 GHz

**Worlds Fastest Silicon Laser Modulator**



## Integrating into a Tera-scale System



This transmitter would be combined with a receiver

Which could then be built into an integrated, silicon photonic chip!!





# A proposed silicon module layout



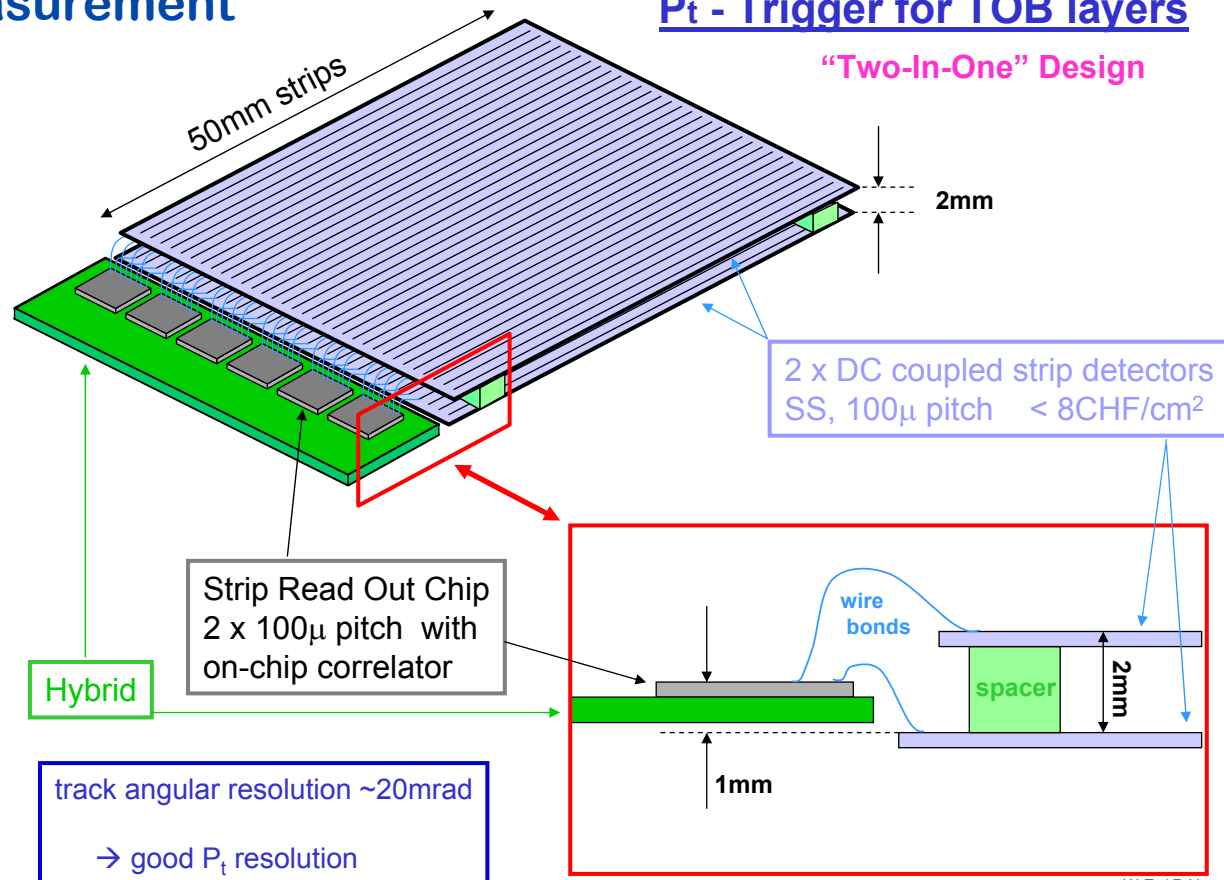
## Two step approach

1. Cluster width local reduction
2. Track stub measurement

W. Erdmann  
R. Horisberger

### P<sub>t</sub> - Trigger for TOB layers

“Two-In-One” Design



W.E./R.H.



# Associative memories evolution



## Long history

- 1990: Full custom VLSI chip - 0.7  $\mu\text{m}$  (INFN-Pisa), 128 patterns/chip: high pattern density, not easy design
- FPGA approach 1998: easier design but fewer density
- A good compromise is the standard cell approach currently used for the SVT CDF upgrade: J. Adelman et al., Nuclear Science Symposium, 2005 IEEE, vol. 1, 2005, p. 603.
  - 0.18 $\mu\text{m}$  (INFN-Pisa), 5000 patterns/chip, 6 buses input lines, 50 MHz/bus, 18 bits/bus
  - produced by UMC (Taiwan) - design time ~8 months + 2 months production

## Forecast for 2013:

- 90 or 65 nm technology would allow higher density pattern
- Factor 4 higher clock speeds achievable
- All in all: allow to reach ~30K patterns/chip with 200 MHz/bus speed

# Who is proposing FTK & schedule

FTK

## University of Chicago

E. Brubaker, M. Dunford, A. Kapliy, Y.K. Kim, M. Shochet, K. Yorita

## Laboratori Nazionali di Frascati

A. Annovi, M. Berretta, P. Laurelli, G. Maccarrone, A. Sansoni

## Harvard University

M. Franklin, J. Gumaraes da Costa, C. Mills, M. Morii, J. Oliver

## University of Illinois

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## Dipartimento di Fisica e Istituto Nazionale Fisica Nucleare Pisa

V. Cavasinni, F. Crescioli, M. Dell'Orso, T. Del Prete, A. Dotti, P. Giannetti, G. Punzi, C. Rosta, F. Sarri, I. Vivarelli, G. Volpi

## Istituto Nazionale Fisica Nucleare Roma 1

M. Rescigno

- **R&D Proposal to work on TDR**: presented in July. Approved **Feb 2008**
- 1 year to **produce the TDR** (2008)
- 3 years to **build the system** (2009-2011)
- first **data taking** with baseline LHC ( $\sim$  when lumi  $10E34 \text{ cm}^{-2}\text{s}^{-1}$ )
- **upgrade for SLHC** with possible **extension @ level 1**



# Feeding FTK @ 50KHz event rate

FTK

ATLAS Pixels + SCT

Divide into  $\phi$  sectors

Allow a small overlap for full efficiency

6 buses 40MHz/bus

7+4 Logical Layers:  
full  $\eta$  coverage

$\sim 350\text{MHz}$  cluster/layer  
( $10E34 \text{ cm}^{-2}\text{s}^{-1}$  lum, 50kHz ev.)

ATLAS-TDR-11

10  $\phi$  sectors

