

# Current experiments on $0\nu\beta\beta$ in Germanium GERDA and MAJORANA

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9<sup>th</sup> April, 2014

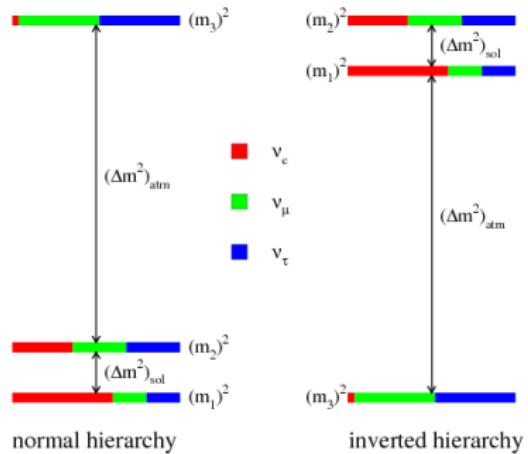


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- 3 The GERDA and MAJORANA experiments
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  - The GERDA experiment
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# Some open questions we try to shed light on

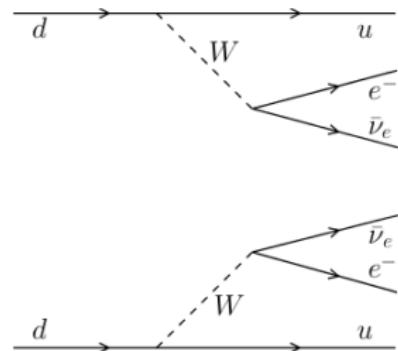
- What is the mass of the neutrinos?
- Normal or inverted neutrino mass hierarchy?
- Are neutrinos Majorana or Dirac particles?
- What physics is there beyond the Standard Model (SM)?



# Ingredients for the $0\nu\beta\beta$

$$\mathcal{N}(A, Z) \rightarrow \mathcal{N}(A, Z+2) + 2e^- + 2\nu_e$$

- Second order decay:  $\beta$  decay forbidden but lower energetic state exists
- Allowed in the SM experimentally observed in ca. 10 isotopes



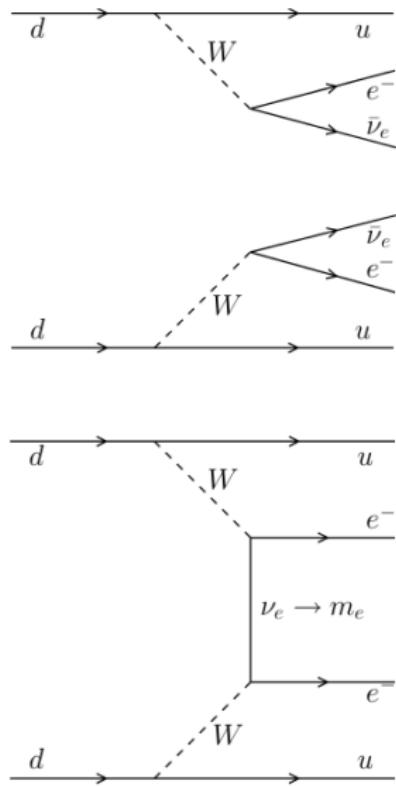
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$$\mathcal{N}(A, Z) \rightarrow \mathcal{N}(A, Z+2) + 2e^-$$

- Lepton number violating  $\rightarrow$  requires a  $\Delta L = 2$  operator
- Can be a Majorana mass but also any other  $\Delta L = 2$  process
- E.g. Higgs triplet, SUSY which gives an important connection to LHC and lepton flavor violation



# Ingredients for the $0\nu\beta\beta$

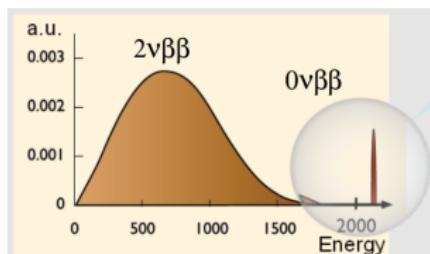
Life time of  $0\nu\beta\beta$ :  $(T_{1/2}^{0\nu})^{-1} = G_{0\nu}^{(0)} g_A^4 |M_{0\nu}|^2 \left(\frac{\langle m_{\beta\beta} \rangle}{m_e}\right)^2$

eff. Majorana  $\nu$  mass:

$$\langle m_{\beta\beta} \rangle = |U_{e1}|^2 m_1 + |U_{e2}|^2 m_2 \cdot e^{i\Phi^2} + |U_{e3}|^2 m_3 \cdot e^{i\Phi^3}$$

Sensitivity:

$$T_{1/2}^{0\nu} \propto a\epsilon \sqrt{\frac{Mt}{\sigma B}}$$



$$Q_{\beta\beta} = 2039 \text{ keV}$$

# Ingredients for the $0\nu\beta\beta$

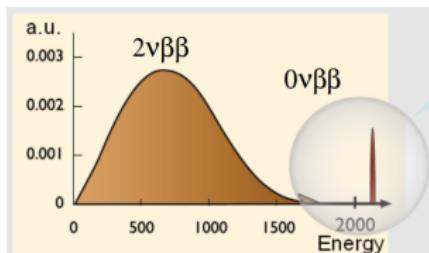
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K.K. claim 2004 [Phys.Lett. B586 198]

- 71.7 kg yr
- $28.75 \pm 6.86$  signal events
- $T_{1/2}^{0\nu} = 1.9^{+0.37}_{-0.23} \cdot 10^{25}$  yr



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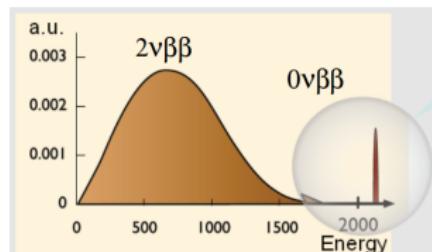
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K.K. claim 2006 [Mod Phys Lett A21]

→ Ann. Phys. 525 (2013) 269

- Missing efficiency of PSD
  - Uncertainty on signal counts smaller than poissonian
- $T_{1/2}^{0\nu}$  central value and errors incorrect

# Why using Germanium-76?

Zoo of double beta isotopes:



## Pros

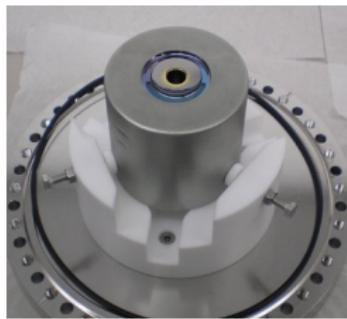
- Source and detector at the same time  
→ detection efficiency about 90%
- Established detector technology
- High purity detectors have a low intrinsic background
- Energy resolution is extraordinary, about  $\approx 2\%$
- Pulse shape analysis for background reduction using PPC or BEGe geometry
- Can test K.K. claim without depending on the matrix element

## Cons

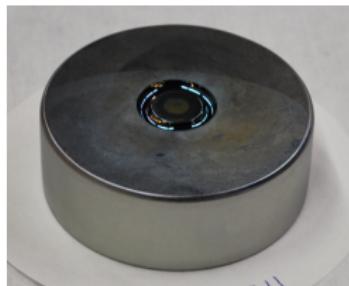
- Rather long and costly process to get a large active detector mass
- Natural abundance of  $^{76}\text{Ge}$  is  $\approx 8\%$  → has to be enriched
- Q-value of 2039 keV is below  $^{208}\text{Tl}$  line of 2.6 MeV → background from Compton scattered gammas

## Detector geometries - Coax, BEGe, PPC

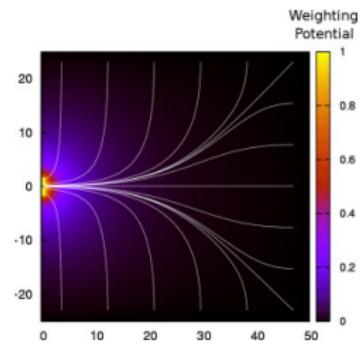
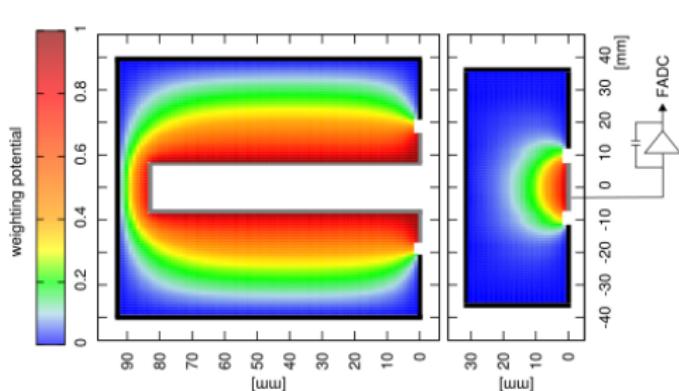
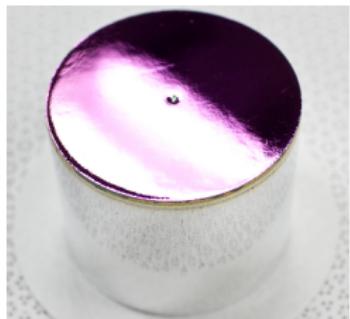
Coax



BEGe

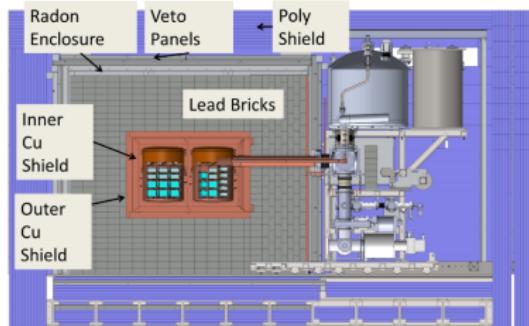


PPC



# Current $0\nu\beta\beta$ Germanium experiments

## MAJORANA DEMONSTRATOR



## GERDA



- Located at SURF (South Dakota, USA)
- 4300 m.w.e
- Status: R&D project under construction

MAJORANA and GERDA are collaborating and are sharing information regarding (hopefully tonne-scale) future experiments.

- Located at LNGS (Abruzzo, Italy)
- 3500 m.w.e.
- Status: Finished Phase I data taking result published last year, currently transition to Phase II

# MAJORANA - R&D goals

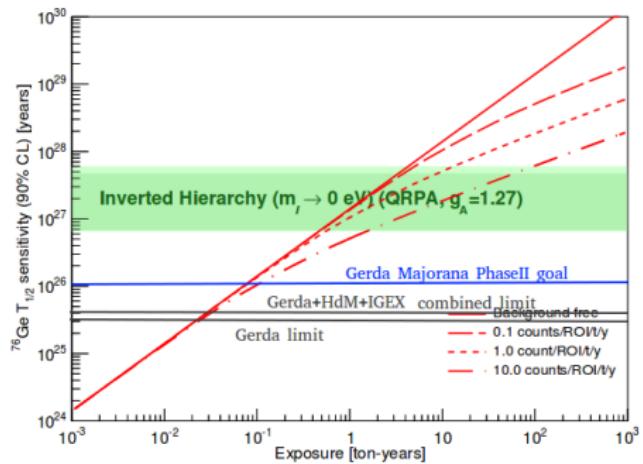
The MAJORANA DEMONSTRATOR is an R&D project for a future tonne-scale Ge experiment

## Technical Goals

- Demonstrate achievability of  $BI \approx 3 \text{ cts}/(\text{ROI t yr})$
- Feasibility of constructing and operating Ge modular detector array
- Optimize processes and costs for future tonne-scale experiment

## Science Goals

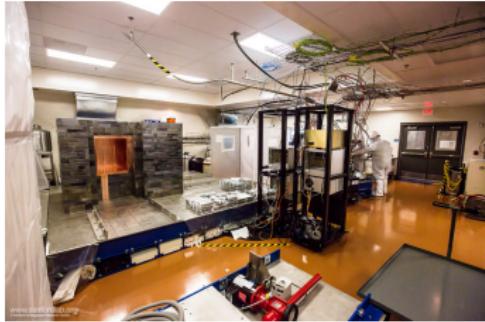
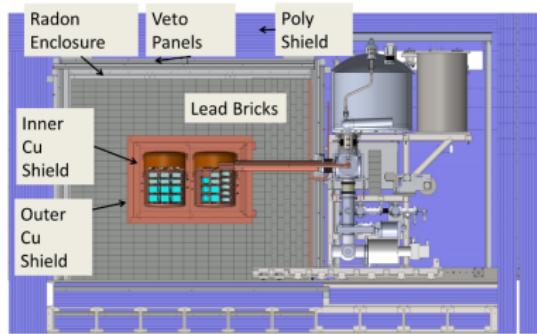
- Exploiting the low energy range to search for Dark Matter and Axions



To be sensitive to the whole inverted hierarchy a tonne-scale experiment is needed!

# MAJORANA - Setup

- Vacuum cryostats surrounded by a compact shield
- Up to 30 kg of enriched Ge detectors PPC geometry (ORTEC)
- Ultra-pure materials e.g. underground electro-formed copper, material screening



# MAJORANA - Experimental phases

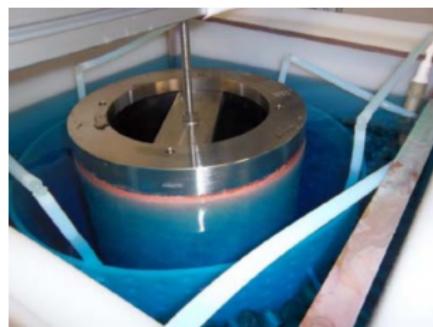
## Three phases

- 1 Prototype cryostat containing 2 strings of natGe BEGe detectors to test and integrate the different components (In use)
- 2 Cryostat 1 with 7 strings of enrGe PPC detectors for the commissioning phase (Summer 2014)
- 3 Cryostat 2 with half natGe and half enrGe completes the setup  
Final setup will contain 30 kg of 86% enrGe (Summer 2015)

# MAJORANA - Status

## Assembly

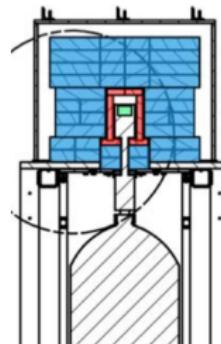
- All components in the lab installed (Shield floor, LN system, assembly table, air bearing system, glove boxes, localized clean space)
- Development of low background front end electronics
- Electro-forming lab in operation since 2011
- ICP-MS (mass spectrometry) sensitivity for U and Th in copper approaching sub  $\mu\text{Bq}/\text{kg}$  level



# MAJORANA - Status

## Detectors

- Of 42.5 kg enrGe 98% transformed into metal
- 20 kg in 33 detectors natGe BEGe type and 20 kg in 23 detectors PPC type in the UG-lab
- MALBEK - detector R&D:  
Customized BEGe detector to study optimal point contact geometry, test DAQ, validate background model, exploit low-energy sensitivity of MJD

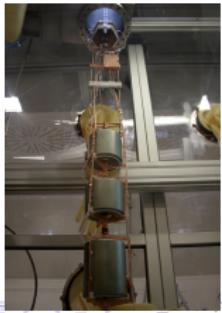


# GERDA Phase I - Goals

- Test K.K.  $0\nu\beta\beta$  claim without depending on the calculation of the matrix element and the any assumption on the  $\Delta L = 2$  operator mediating the decay
  - Higher sensitivity than the Heidelberg-Moscow (HdM) experiment
  - Reach a background index  $BI \approx 10^{-2} \text{cts}/(\text{kg keV yr})$
  - Get an exposure of about 20 kg yr
- Improve the background suppression using pulse shape discrimination techniques
- Developments for Phase II (e.g. veto using scintillation of LAr)

# GERDA - Setup

- Bare Ge detectors (semi-coaxial from HdM and IGEX and BEGe) submerged in 64 m<sup>3</sup> LAr
- Copper lined stainless steel cryostat
- 3 m water shield instrumented with PMTs and used as Water Cerenkov Muon Veto
- Plastic scintillator veto on the roof above the neck (weak spot of the water veto)



# GERDA Phase I - Data taking and Data Cuts

## Data taking (November 2011 - May 2013)

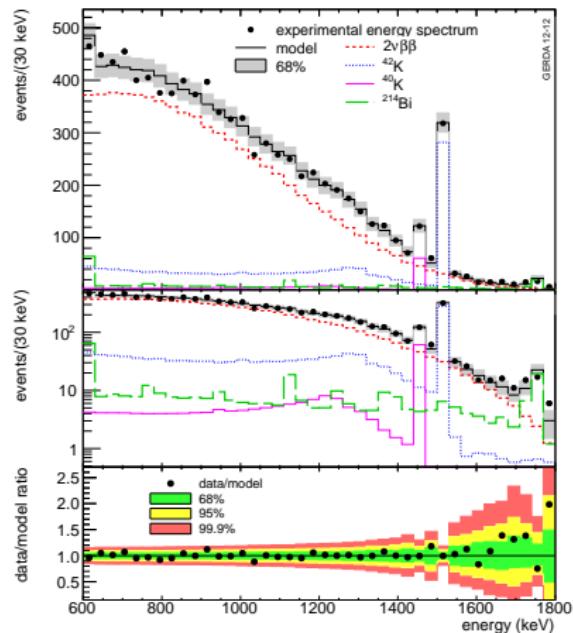
- Weekly  $^{228}\text{Th}$  energy calibration and test pulser stability monitoring
- Duty cycle 88%
- In total 3 coaxial natGe, 8 coaxial enrGe and 5 BEGe enrGe detectors
- Total physics mass: 14.6 kg (coaxial) + 3.0 kg (BEGe)

## Energy reconstruction and cuts

- Energy is reconstructed with a semi Gaussian filter which is fast and robust
- Detectors with high leakage current and high instabilities were excluded from data analysis
- Cuts: Coincidence with muons, signal in more than one detector and events within 1 ms in one detector (BiPo cut)

# GERDA Phase I - $2\nu\beta\beta$ result

- First 5.04 kg yr were used to evaluate the half-life of the  $2\nu\beta\beta$  decay
- Data from 6 refurbished semi-coaxial enrGe detectors from the HdM and IGEX experiments
- Binned maximum likelihood approach
- Model contains  $2\nu\beta\beta$ ,  $^{42}\text{K}$  (LAr)  $^{40}\text{K}$   $^{214}\text{Bi}$  (holders)
- Fit region from 600 – 1800 keV
- $2\nu\beta\beta$  half-life important for understanding of  $0\nu\beta\beta$  (e.g. nuclear matrix element)



$$T_{1/2}^{2\nu\beta\beta} = 1.84^{+0.14}_{-0.10} \cdot 10^{21} \text{ y}$$

The GERDA Collaboration et al 2013 J.Phys.G:  
Nucl.Part.Phys.40 035110 doi:10.1088/0954-3899/40/3/035110

# GERDA Phase I - $0\nu\beta\beta$ result

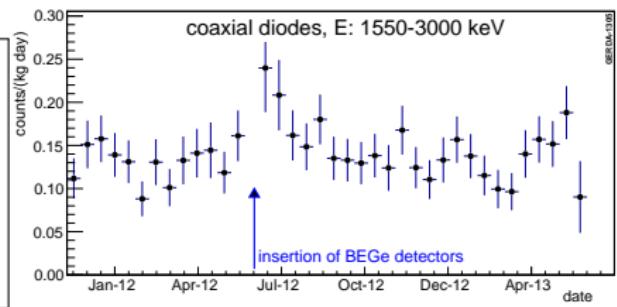
## Data selection

- Not considered: natGe, 2 coaxial enrGe (high leakage current), 1 BEGe (instabilities)
- Data split in 3 different sets

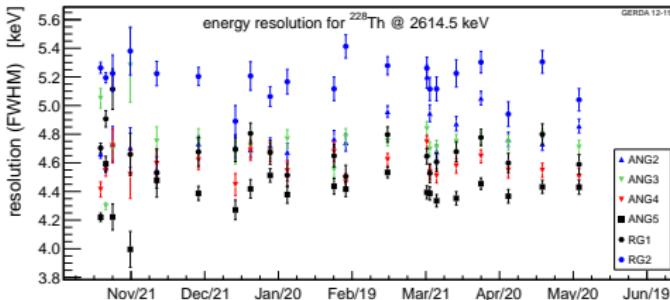
**BEGe** 2.4 kg yr (all BEGe data)

**silver coax** 1.3 kg yr (20 days from insertion of BEGes)

**golden coax** 17.9 kg yr (everything else from coaxial)



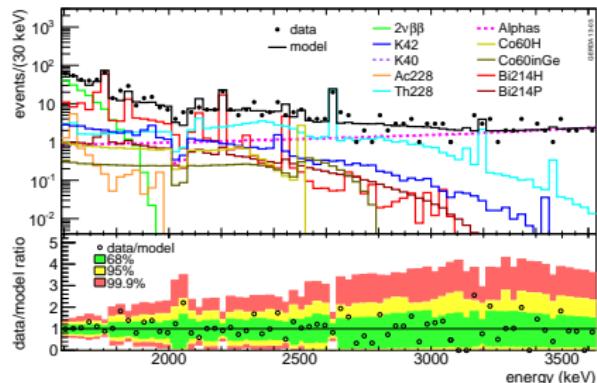
- FWHM: Coaxial 4.8 keV@ $Q_{\beta\beta}$   
BEGes 3.2 keV@ $Q_{\beta\beta}$   
ca. 10% improvement of resolution with CUSP filter



# GERDA Phase I - $0\nu\beta\beta$ result

## Data analysis

- All three data sets analyzed unsummed and with independent background and resolution
- Blind analysis: 40 keV window around  $Q_{\beta\beta} \rightarrow$  number, energy and waveform unavailable before freezing of parameters
- Validated background model and PSD before unblinding
- Analysis of unblinded data with frozen parameters



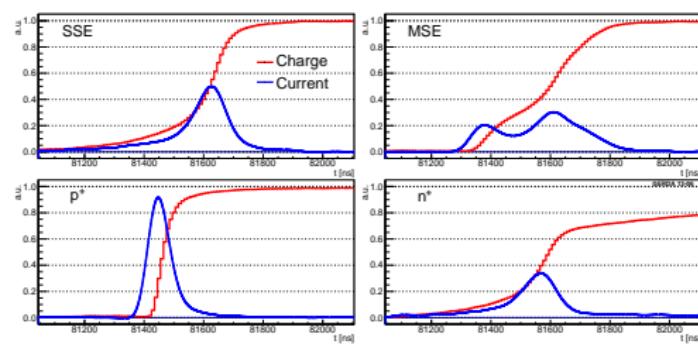
## Background model

- Flat around  $Q_{\beta\beta}$  (1930 keV-2190 keV) apart from lines at 2104 keV & 2119 keV

# GERDA Phase I - $0\nu\beta\beta$ result

## BEGe PSD

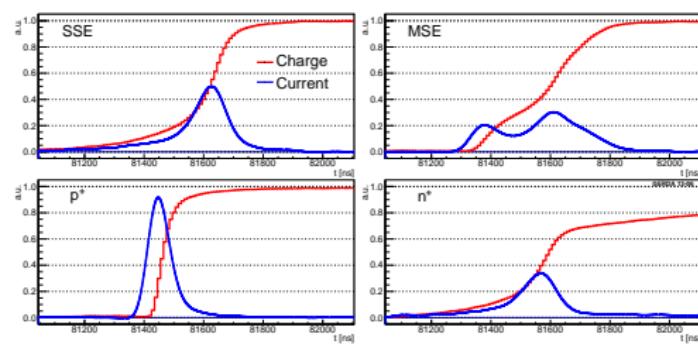
- A/E vs. Energy cut accepts  $0.92 \pm 0.02$  signal-like and rejects 80% background
- A/E parameter has an exponential time drift which was corrected



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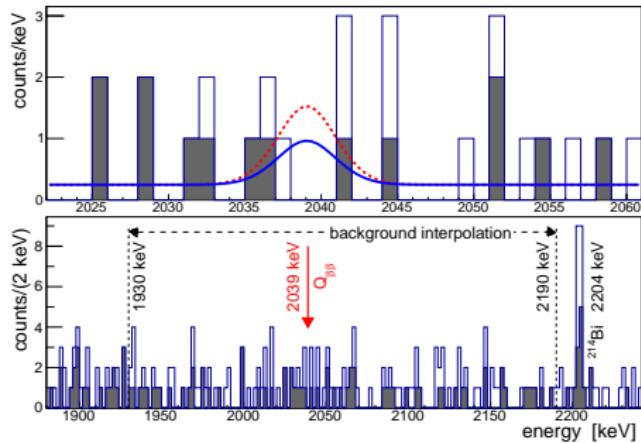
## Coaxial PSD

- TMVA neural network with 2 layers of 51 and 50 nodes
- Input: time when pulse reaches 1%, 3% ... 99% of maximum
- Two independent cross check analysis performed
- Cuts 45% of background  $\rightarrow$  all rejected by at least one cross check method, 90% by all 3 methods
- Efficiency for DEP  $0.90^{+0.05}_{-0.09}$  crosscheck with  $2\nu\beta\beta$  efficiency  $0.85 \pm 0.02$

# GERDA Phase I - $0\nu\beta\beta$ result

## Final result

- Exposure  $\rightarrow 21.6 \text{ kg yr}$
- Phase I golden + PSD:  
 $BI = (11 \pm 2) \cdot 10^{-3} \text{ cts}/(\text{keV kg yr})$
- med. sens.  $T_{1/2}^{0\nu\beta\beta} > 2.4 \cdot 10^{25} \text{ yr}$
- In ROI  $Q_{\beta\beta} \pm 5 \text{ keV}$ : **7 events**  
 6 coaxial 1 BEGe - in agreement  
 with expected  $5.1 \pm 0.5$
- PSD classifies 3 coaxial and  
 1 BEGe as background
- Limit (using PSD) disfavours  
 K.K. 2004 claim strongly

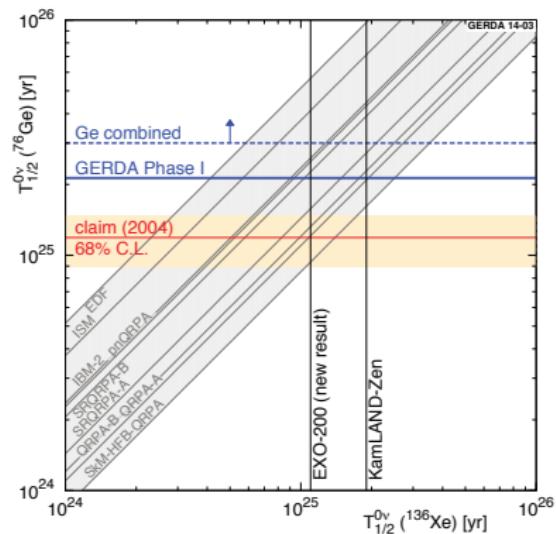


$$T_{1/2}^{0\nu\beta\beta} > 2.1 \cdot 10^{25} \text{ yr } 90\% \text{ C.L.}$$

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PRL 111, 122503 (2013)

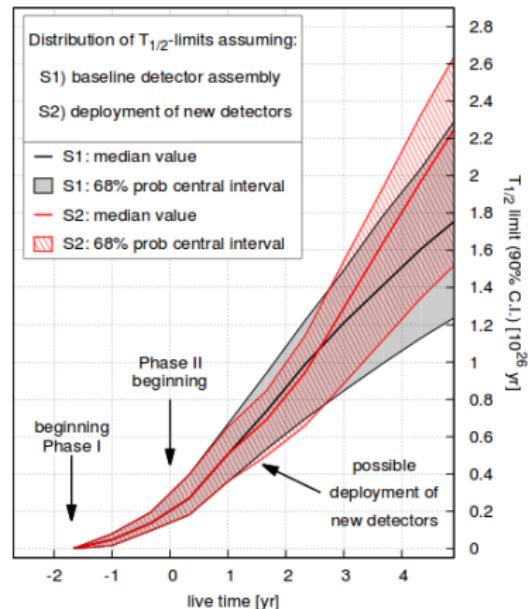
PHYSICAL REVIEW LETTERS

week ending  
20 SEPTEMBER 2013

 Results on Neutrinoless Double- $\beta$  Decay of  $^{76}\text{Ge}$  from Phase I of the GERDA Experiment

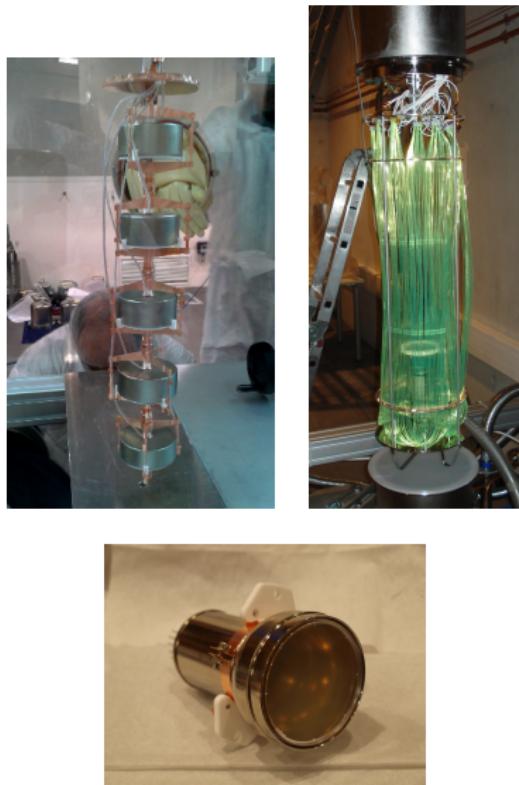
# GERDA Phase II - Goals

- Improve the sensitivity  $T_{1/2}^{0\nu} \propto a\epsilon\sqrt{\frac{Mt}{\sigma B}}$
- Achieve  $B = 10^{-3}$  cts/(keV kg yr)
- Augment the detector mass  $M$
- Accumulate  $Mt \approx 100$  kg yr of data
- Explore  $T_{1/2}^{0\nu\beta\beta} > 10^{26}$  yr
- Find  $0\nu\beta\beta$  decay!



# GERDA Phase II - Current status

- Data taking stopped in July last year
- Preparations for Phase II
  - Augmented detector mass → 30 BEGe detectors ready
  - Exchange the cryostat lock → space for new detectors
  - New front end electronics and cabling → improved radio purity and lower Rn emanation
  - Use LAr scintillation light as veto → hybrid solution PMTs and fibers+SiPMs
  - Start commissioning ≈ second half 2014



# Summary

## MAJORANA

- Majorana still under construction
- Completion of experimental setup in **summer 2015**

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## GERDA

- Gerda background goal achieved  $B \approx 10^{-2} \text{cts}/(\text{kg keV yr})$
- Phase I result of Gerda strongly disfavours K.K. claim

Gerda only:  $T_{1/2}^{0\nu\beta\beta} > 2.1 \cdot 10^{25} \text{ yr}$  90% C.L.

Gerda+HdM+IGEX:  $T_{1/2}^{0\nu\beta\beta} > 3.0 \cdot 10^{25} \text{ yr}$  90% C.L.

- Gerda currently in transition to Phase II
- Similar physics goals of Majorana and Gerda
  - $B \approx 10^{-3} \text{cts}/(\text{kg keV yr})$
  - Explore  $T_{1/2}^{0\nu\beta\beta} > 10^{26} \text{yr}$

THANK YOU FOR YOUR ATTENTION!

# GERDA - 0nbb events (L. Pandola)

data set	detector	energy [keV]	date	PSD passed	ANN	A/E	Cut Threshold
golden	ANG 5	2041.8	18-Nov-2011 22:52	no	0.344		0.366
silver	ANG 5	2036.9	23-Jun-2012 23:02	yes	0.518		0.366
golden	RG 2	2041.3	16-Dec-2012 00:09	yes	0.682		0.364
BEGe	GD32B	2036.6	28-Dec-2012 09:50	no		0.750	0.965÷1.070
golden	RG 1	2035.5	29-Jan-2013 03:35	yes	0.713		0.372
golden	ANG 3	2037.4	02-Mar-2013 08:08	no	0.205		0.345
golden	RG 1	2041.7	27-Apr-2013 22:21	no	0.369		0.372

data set	$\mathcal{E}[\text{kg}\cdot\text{yr}]$	$\langle \epsilon \rangle$	bkg	BI <sup>†)</sup>	cts	Expected from background only
without PSD						
golden	17.9	$0.688 \pm 0.031$	76	$18 \pm 2$	5	3.3
silver	1.3	$0.688 \pm 0.031$	19	$63^{+16}_{-14}$	1	0.8
BEGe	2.4	$0.720 \pm 0.018$	23	$42^{+10}_{-8}$	1	1.0
with PSD						
golden	17.9	$0.619^{+0.044}_{-0.070}$	45	$11 \pm 2$	2	2.0
silver	1.3	$0.619^{+0.044}_{-0.070}$	9	$30^{+11}_{-9}$	1	0.4
BEGe	2.4	$0.663 \pm 0.022$	3	$5^{+4}_{-3}$	0	0.1

<sup>†)</sup> in units of  $10^{-3}$  cts/(keV·kg·yr).