

# Nonlocal infrared modifications of gravity and dark energy

Michele Maggiore



**UNIVERSITÉ  
DE GENÈVE**

---

**FACULTÉ DES SCIENCES**  
Département de physique théorique

Cortona 2014

# the general idea: modify GR in the infrared using non-local terms

- motivation: explaining DE

IR modification  $\rightarrow$  mass term?

- (local) massive gravity: Fierz-Pauli, dRGT, bigravity
  - significant progresses (ghost-free), still open issues

see talk by Hassan

- our approach: mass term as coefficient of non-local terms

some sources of inspiration:

- $\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} - \frac{1}{2}m_\gamma^2 A_\mu A^\mu$  is equivalent to

$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu} \left( 1 - \frac{m_\gamma^2}{\square} \right) F^{\mu\nu} \quad (\text{Dvali 2006})$$

duality between locality and gauge-invariance for massive theories

- degravitation  $\left( 1 - \frac{m^2}{\square} \right) G_{\mu\nu} = 8\pi G T_{\mu\nu}$  (Arkani-Hamed, Dimopoulos, Dvali and Gabadadze 2002)

we can introduce a mass parameter without breaking the gauge-invariance of the theory

## different possible implementations of the idea

- $G_{\mu\nu} - m^2(\square^{-1}G_{\mu\nu})^T = 8\pi GT_{\mu\nu}$  (M. Jaccard,MM, E. Mitsou 2013)

however, instabilities in the cosmological evolution

(S.Foffa,MM, E. Mitsou 2013)

- $G_{\mu\nu} - m^2(g_{\mu\nu}\square^{-1}R)^T = 8\pi GT_{\mu\nu}$  (MM 2013)

nice cosmological properties ( $w_{\text{DE}}=-1.04$ ).

- last twist 
$$S_{\text{NL}} = \frac{1}{16\pi G} \int d^4x \sqrt{-g} \left[ R - m^2 R \frac{1}{\square^2} R \right]$$

(MM and M.Mancarella 2014)

- **Conceptual aspects**

- effective classical theory vs fundamental nonlocal theories
- absence of ghosts MM 2013;
- degrees of freedom S. Foffa, MM and E. Mitsou 2013
- no vDVZ discontinuity A. Kehagias and MM, 2014

- **Cosmological consequences**

- background evolution. Prediction for  $w_{DE}$   
MM 2013; MM and M.Mancarella 2014
- cosmological perturbations and comparison with data  
Y. Dirian, S. Foffa, N. Khosravi, M. Kunz, MM 1403.6068

## Non-local QFT or classical effective equations?

- we have  $\square_{\text{ret}}^{-1}$  directly in the EoM (rather than in the solution). This EoM cannot come from the variation of a Lagrangian. E.g.

$$\begin{aligned} \frac{\delta}{\delta\phi(x)} \int dx' \phi(x') (\square^{-1}\phi)(x') &= \frac{\delta}{\delta\phi(x)} \int dx' dx'' \phi(x') G(x', x'') \phi(x'') \\ &= \int dx' [G(x, x') + G(x', x)] \phi(x') \end{aligned}$$

- we can replace  $\square^{-1} \rightarrow \square_{\text{ret}}^{-1}$  after the variation, as a formal trick to get the EoM from a Lagrangian.

Deser-Waldron 2007,  
Barvinski 2012

However, any connection to the QFT described by this Lagrangian is lost.

EoMs involving  $\square_{\text{ret}}^{-1}$  emerge from a classical or a quantum averaging of a more fundamental (local) QFT

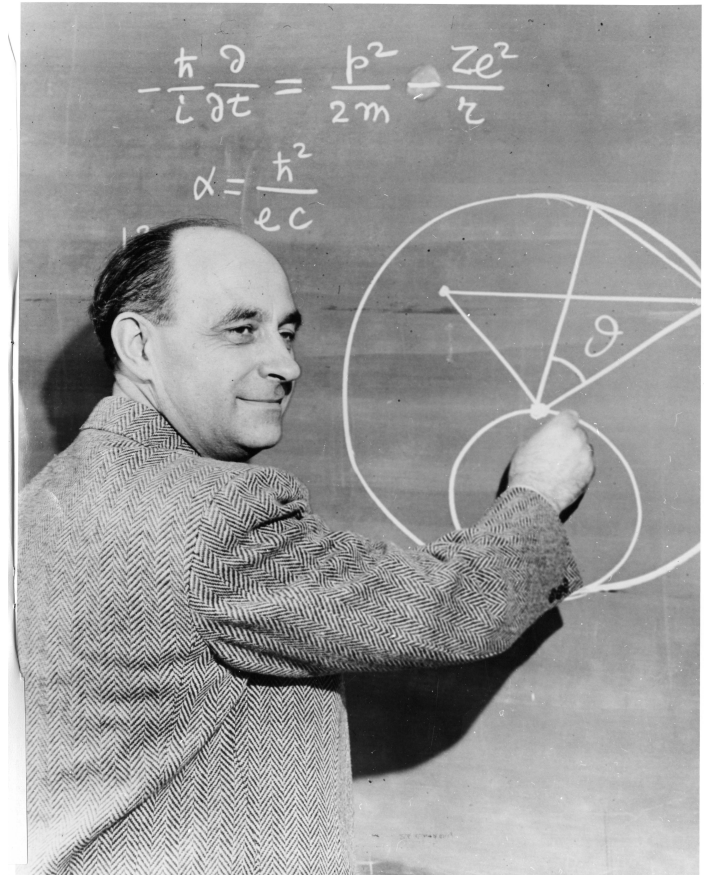
- **classically**, when separating long and short wavelength and integrating out the short wave-length  
(e.g cosmological perturbation theory, or GWs)
- **in QFT**, when computing the effective action that includes the effect of radiative corrections. This provides effective **non-local** field eqs for  $\langle 0 | \hat{\phi} | 0 \rangle, \langle 0 | \hat{g}_{\mu\nu} | 0 \rangle$
- the in-in matrix elements satisfy **non-local** and **retarded** equations

Jordan 1986, Calzetta-Hu 1987

# Our general question: which effective nonlocal theories give a meaningful cosmology?

- **top-down approach:** find the correct fundamental theory (massive gravity, bimetric theory,...?)
- **bottom-up:** find first the correct effective theory
- **e.g. Standard Model vs Fermi theory**
  - start from the fundamental YM theory
  - or understand which terms correctly describe weak interaction at low energies

e.g.  $(\bar{\psi}\psi)^2$ ,  $(\bar{\psi}\gamma_5\psi)^2$ ,  $(\bar{\psi}\gamma_\mu\psi)^2$ ,  
...  $[\bar{\psi}\gamma_\mu(1 - \gamma_5)\psi]^2$ ,





- So, we interpret our non-local eqs as a **classical, effective equation**, derived from a more fundamental local theory by a classical or quantum averaging
- any problem of quantum vacuum stability can only be addressed in this fundamental theory

- the theory  $S_{\text{NL}} = \frac{1}{16\pi G} \int d^4x \sqrt{-g} \left[ R - m^2 R \frac{1}{\square^2} R \right]$  could be the truncation of the correct effective theory

- the theory  $G_{\mu\nu} - m^2 (g_{\mu\nu} \square^{-1} R)^T = 8\pi G T_{\mu\nu}$  could be an example of resummation

- **our general question: which effective nonlocal theories give a meaningful cosmology?**

# Absence of vDVZ discontinuity and of a strong coupling regime

A. Kehagias and MM 2014

- write the eqs of motion of the non-local theory in spherical symmetry:  $U(r)$ ,  $S(r)$ , plus

$$ds^2 = -A(r)dt^2 + B(r)dr^2 + r^2(d\theta^2 + \sin^2\theta d\phi^2)$$

- for  $mr \ll 1$ : low-mass expansion
- for  $r \gg r_s$ : Newtonian limit (perturbation over Minkowski)
- match the solutions for  $r_s \ll r \ll m^{-1}$  (this fixes all coefficients)

- result: for  $r \gg r_s$ 

$$A(r) = 1 - \frac{r_S}{r} \left[ 1 + \frac{1}{3}(1 - \cos mr) \right]$$

$$B(r) = 1 + \frac{r_S}{r} \left[ 1 - \frac{1}{3}(1 - \cos mr - mr \sin mr) \right]$$

for  $r_s \ll r \ll m^{-1}$ : 
$$A(r) \simeq 1 - \frac{r_S}{r} \left( 1 + \frac{m^2 r^2}{6} \right)$$

the limit  $m \rightarrow 0$  is smooth !

By comparison, in massive gravity the same computation gives

$$A(r) = 1 - \frac{4}{3} \frac{r_S}{r} \left( 1 - \frac{r_S}{12m^4 r^5} \right)$$

vDVZ discontinuity

breakdown of linearity below  
 $r_V = (r_S/m^4)^{1/5}$

## Cosmological consequences

- define  $U = -\square^{-1}R$ ,  $S = -\square^{-1}U$
- in FRW we have 3 variables:  $H(t)$ ,  $U(t)$ ,  $W(t)=H^2(t)S(t)$ .  
define  $x=\ln a(t)$ ,  $h(x)=H(x)/H_0$

$$h^2(x) = \Omega_M e^{-3x} + \Omega_R e^{-4x} + \gamma Y(U, U', W, W')$$

$$U'' + (3 + \zeta)U' = 6(2 + \zeta)$$

$$W'' + 3(1 - \zeta)W' - 2(\zeta' + 3\zeta - \zeta^2)W = U$$

$$\gamma = m^2/(9H_0^2) \quad \zeta = h'/h$$

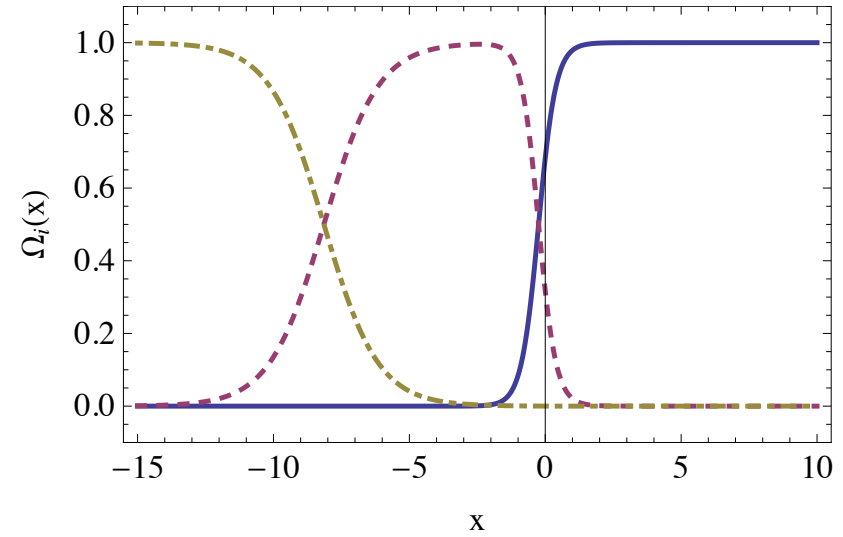
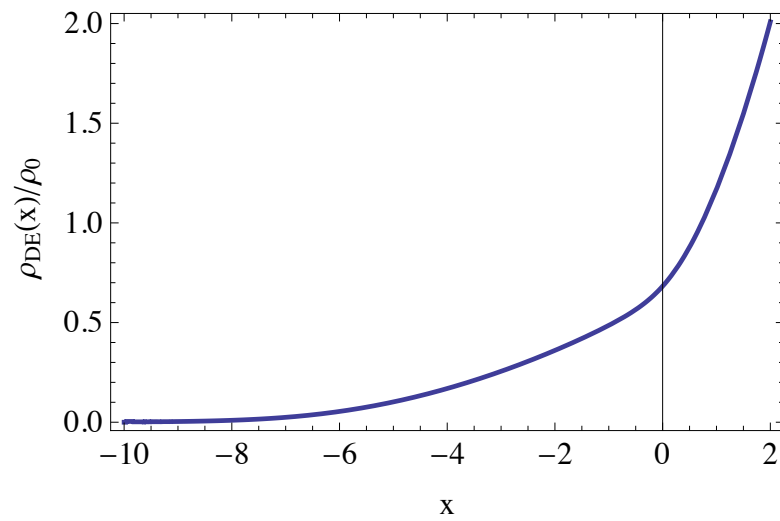
- there is an effective DE term, with

$$\rho_{\text{DE}}(x) = \rho_0 \gamma Y(x) \qquad \rho_0 = 3H_0^2 / (8\pi G)$$

- define  $w_{\text{DE}}$  from  $\dot{\rho}_{\text{DE}} + 3(1 + w_{\text{DE}})H\rho_{\text{DE}} = 0$

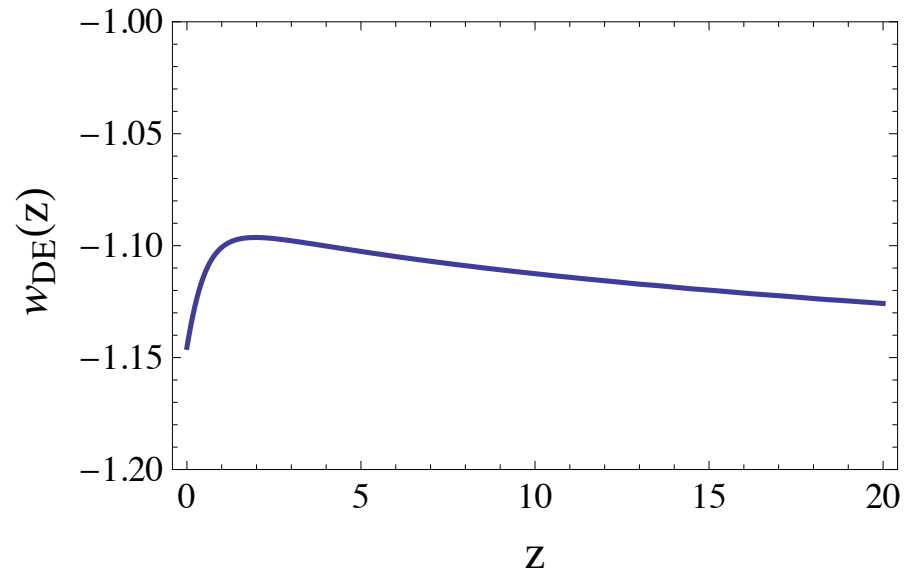
- the model has the same number of parameters as  $\Lambda$ CDM, with  $\Omega_\Lambda \leftrightarrow \gamma$ .

- results:



- Fixing  $\gamma = 0.0089..$  ( $m=0.28 H_0$ ) we reproduce  $\Omega_{DE}=0.68$

- having fixed  $\gamma$  we get a pure prediction for the EOS:



fit  $w(a)=w_0+(1-a) w_a$

in the region  $0 < z < 1.6$

$w_0 = -1.144, \quad w_a = 0.084$

on the phantom side !

general consequence of  $\dot{\rho}_{\text{DE}} + 3(1 + w_{\text{DE}})H\rho_{\text{DE}} = 0$

together with  $\rho > 0$  and  $d\rho/dt > 0$

# Cosmological perturbations

Y. Dirian, S. Foffa, N. Khosravi, M. Kunz, MM  
1403.6068

- well-behaved?
- consistent with structure formation?
  - Deser-Woodard nonlocal model ruled out at the  $8\sigma$  level by the comparison with structure formation

Dodelson and Park 1310.4329

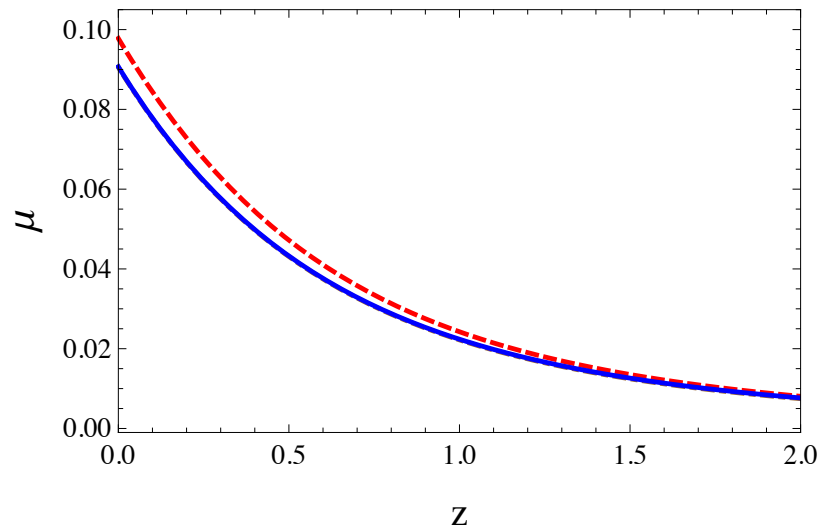
- Bayesian model comparison with  $\Lambda$ CDM



- the perturbations are well-behaved and differ from  $\Lambda$ CDM at a few percent level

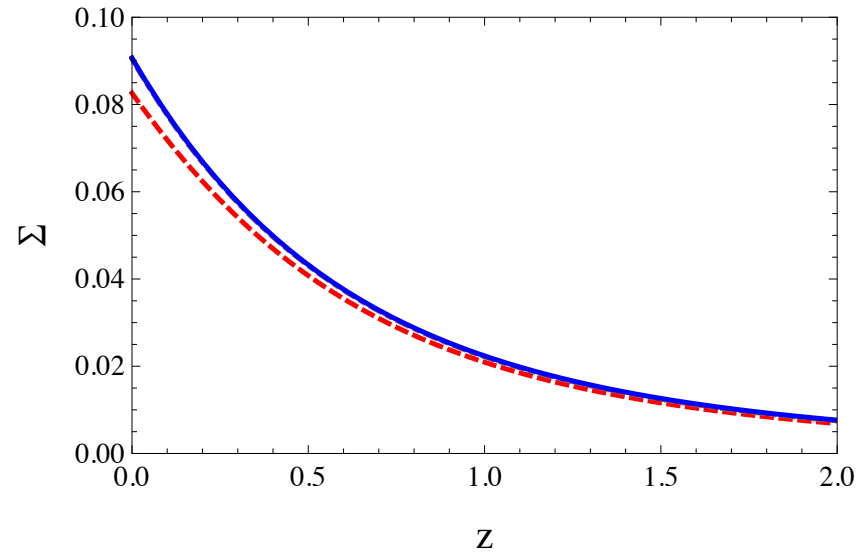
$$\Psi = [1 + \mu(a; k)]\Psi_{\text{GR}}$$

$$\Psi - \Phi = [1 + \Sigma(a; k)](\Psi - \Phi)_{\text{GR}}$$



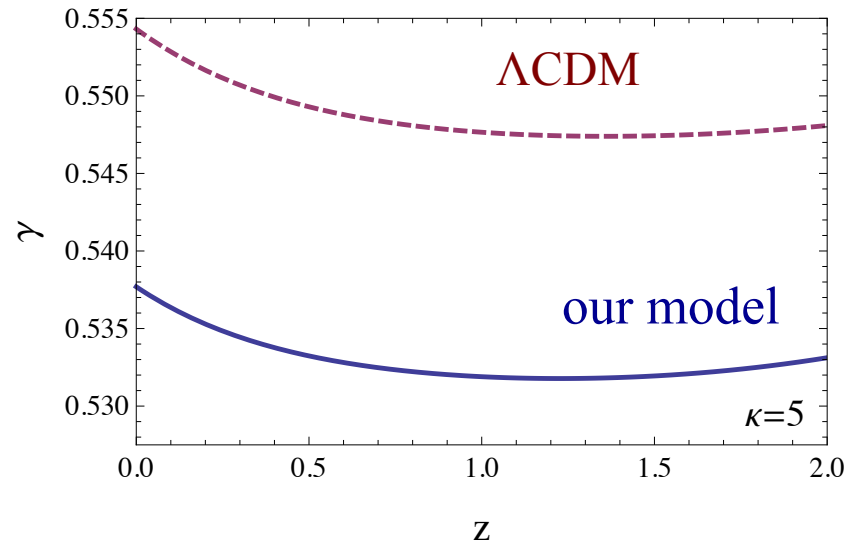
- deviations at  $z=0.5$  of order 4%
- consistent with data: CFHTLenS gives  $\Delta\Psi/\Psi=0.05\pm 0.25$   
(Simpson et al 1212.3339)

Lensing: again  
 deviations at 4% level



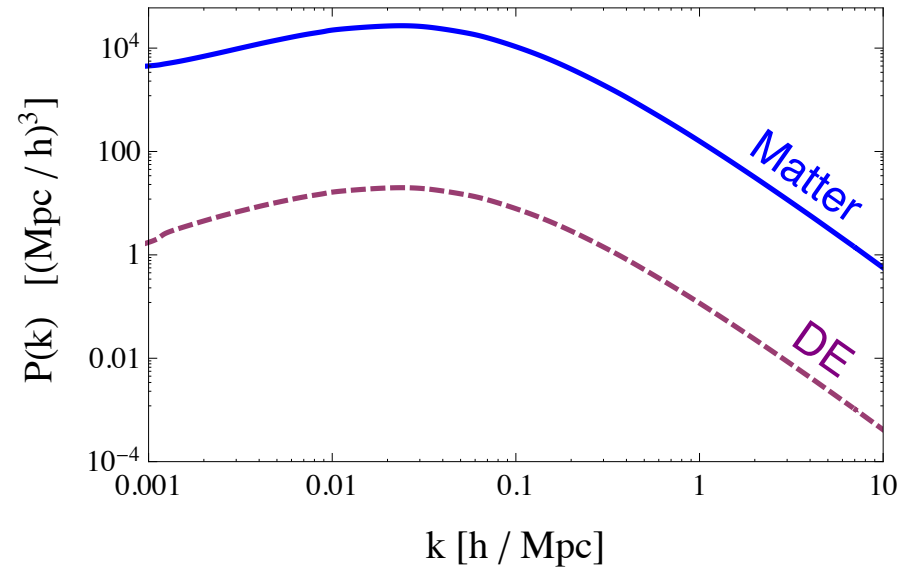
growth index:

$$\frac{d \log \delta_M(a; k)}{d \ln a} = [\Omega_M]^{\gamma(z; k)}$$

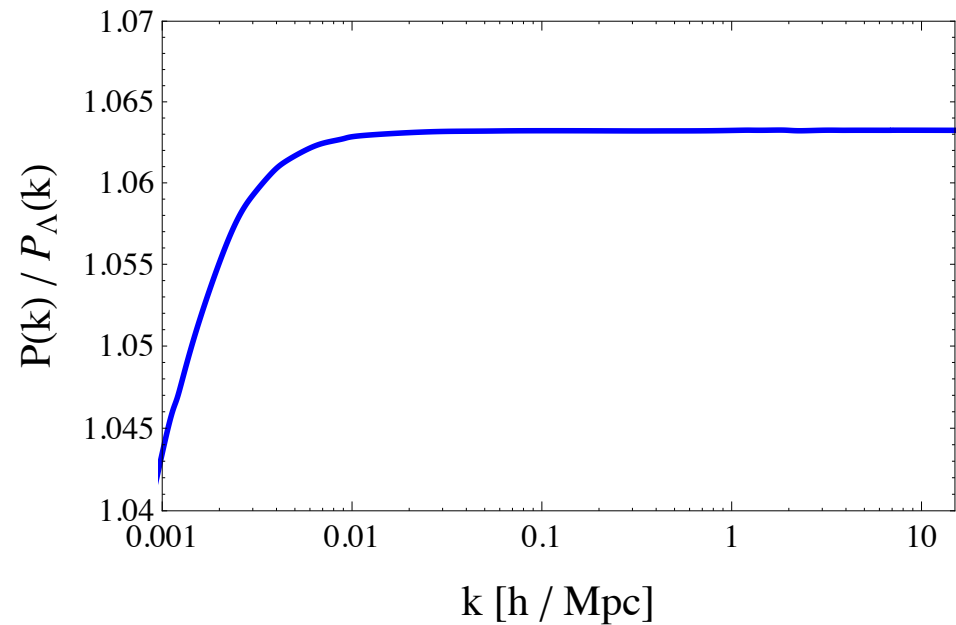


- linear power spectrum

DE clusters but its linear power spectrum is small compared to that of matter



matter power spectrum compared to  $\Lambda$ CDM



# Comparison with $\Lambda$ CDM

- A caveat: this is not wCDM!
- for the model  $G_{\mu\nu} - m^2(g_{\mu\nu}\square^{-1}R)^T = 8\pi GT_{\mu\nu}$  (MM 2013)  
the perturbations have been recently computed and compared them to CMB, BAO, SNIa and growth rate data

Nesseris and Tsujikawa 1402.4613

- If  $h_0 > 0.70$  the data strongly support this nonlocal model over  $\Lambda$ CDM
- If  $0.67 < h_0 < 0.70$  the two models are statistically comparable

(however, CMB studied using the shift parameter, rather than a full Boltzmann code)

- for the model

$$S_{\text{NL}} = \frac{1}{16\pi G} \int d^4x \sqrt{-g} \left[ R - m^2 R \frac{1}{\square^2} R \right]$$

we find that

- structure formation: statistically equivalent to  $\Lambda$ CDM with present data
- SNIa: fit to the JLA data gives equivalent  $\chi^2$
- CMB: full Boltzmann code analysis under way

# Conclusions

- we have an interesting IR modification of GR
- and testable predictions
  - $w(0)=-1.14$  + a full prediction for  $w(z)$ 
    - DES  $\Delta w=0.03$  (stage IV+Planck  $\Delta w=0.01$ )
    - EUCLID  $\Delta w=0.01$
  - $\mu(a) = \mu_s a^s \rightarrow \mu_s = \mathbf{0.09}, s = \mathbf{2}$ 
    - Forecast for EUCLID,  $\Delta\mu=0.01$
  - $\Sigma(z)$ : lensing deviations at a few %
  - $\gamma = \mathbf{0.53}$

Thank you!

# Degrees of freedom

$$S_{\text{NL}} = \frac{1}{16\pi G} \int d^4x \sqrt{-g} \left[ R - m^2 R \frac{1}{\square^2} R \right]$$

- define  $U = -\square^{-1}R$ ,  $S = -\square^{-1}U$
- the eqs.  $\square U = -R$ ,  $\square S = -U$

do not describe radiative d.o.f !

$$-\square^{-1}R = U_{\text{hom}}(x) - \int d^4x' \sqrt{-g(x')} G(x; x') R(x')$$

The homogeneous solution is fixed by the definition of i.e. by the def of the non-local theory.

It is not a free Klein-Gordon field !



- linearize the eqs of motion. Scalar sector:

$$h_{00} = 2\Psi, \quad h_{0i} = 0, \quad h_{ij} = 2\Phi\delta_{ij}$$

$$\nabla^2 [\Phi - (m^2/6)S] = -4\pi G\rho$$

$$\Phi - \Psi - (m^2/3)S = -8\pi G\sigma$$

$$(\square + m^2)U = -8\pi G(\rho - 3P)$$

$$\square S = -U$$

**$\Phi$  and  $\Psi$  remain non-radiative!**

In contrast, in massive gravity with FP mass term  $(\square - m^2)\Phi = 0$  and with generic mass there is a  $(\square\Phi)^2$  in the action (ghost)

**U and S are non-radiative despite the KG operator.**

**No radiative d.o.f. in the scalar sector !**

- beyond the scalar sector: linearizing the eq of motion

$$\mathcal{E}^{\mu\nu,\rho\sigma} h_{\rho\sigma} - \frac{d-1}{d} m^2 P^{\mu\nu} P^{\rho\sigma} h_{\rho\sigma} = -16\pi G T^{\mu\nu}$$

$$P^{\mu\nu} \equiv \eta^{\mu\nu} - \frac{\partial^\mu \partial^\nu}{\square}$$

the corresponding matter-matter interaction is

$$\begin{aligned} & \tilde{T}_{\mu\nu}(-k) \frac{1}{2k^2} (\eta^{\mu\rho} \eta^{\nu\sigma} + \eta^{\mu\sigma} \eta^{\nu\rho} - \eta^{\mu\nu} \eta^{\rho\sigma}) \tilde{T}_{\rho\sigma}(k) \\ & + \frac{1}{6} \tilde{T}(-k) \left( \frac{1}{k^2} - \frac{1}{k^2 - m^2} \right) \tilde{T}(k) \end{aligned}$$

- no vDVZ discontinuity!
- For  $m=O(H_0)$ , solar system test easily passed. Corrections are  $O(m^2/k^2) = 10^{-30}$  for  $k=(1 \text{ a.u})^{-1}$ .
- massless graviton + extra contribution to  $\tilde{T}_{\mu\nu}(-k)\tilde{D}^{\mu\nu\rho\sigma}(k)\tilde{T}_{\rho\sigma}(k)$

$$\frac{1}{d(d-1)}\tilde{T}(-k)\left[-\frac{i}{k^2}-\frac{i}{(-k^2+m^2)}\right]\tilde{T}(k)$$

these are the contribution of U and S and do not correspond to a radiative dof. In a quantum treatment there are no creation/annihilation operators associated to them

## A fake ghost in massless GR

$$S_{\text{EH}}^{(2)} = \frac{1}{2} \int d^{d+1}x h_{\mu\nu} \mathcal{E}^{\mu\nu, \rho\sigma} h_{\rho\sigma}$$

$$h_{\mu\nu} = h_{\mu\nu}^{\text{TT}} + \frac{1}{2}(\partial_\mu \epsilon_\nu + \partial_\nu \epsilon_\mu) + \frac{1}{d} \eta_{\mu\nu} s$$

$$S_{\text{EH}}^{(2)} = \frac{1}{2} \int d^{d+1}x \left[ h_{\mu\nu}^{\text{TT}} \square (h^{\mu\nu})^{\text{TT}} - \frac{d-1}{d} s \square s \right]$$

$$S_{\text{int}} = \frac{\kappa}{2} \int d^{d+1}x h_{\mu\nu} T^{\mu\nu} = \frac{\kappa}{2} \int d^{d+1}x \left[ h_{\mu\nu}^{\text{TT}} (T^{\mu\nu})^{\text{TT}} + \frac{1}{d} s T \right]$$

$$\square h_{\mu\nu}^{\text{TT}} = -\frac{\kappa}{2} T_{\mu\nu}^{\text{TT}}, \quad \square s = \frac{\kappa}{2(d-1)} T$$

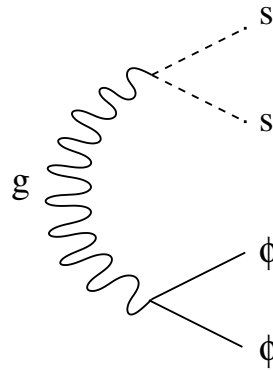
It looks as if there are many more propagating d.o.f

Furthermore  $s$  seems a ghost !

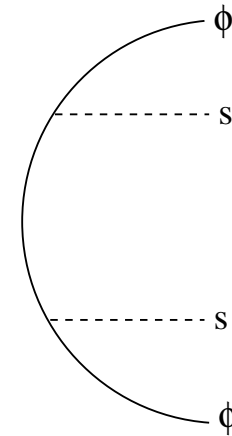
S. F. Hassan, R. A. Rosen, and A. Schmidt-May 2012

- the contribution of  $s$  is not canceled by the helicity-0 component of  $h_{\mu\nu}^{\text{TT}}$  !

Evident if we look at  
vac  $\rightarrow$   $ss\phi\phi$  diagrams



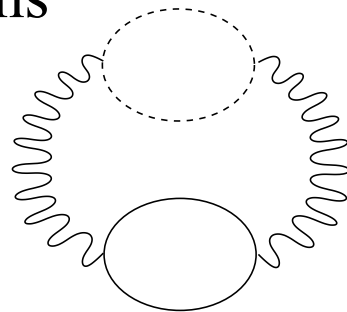
(a)



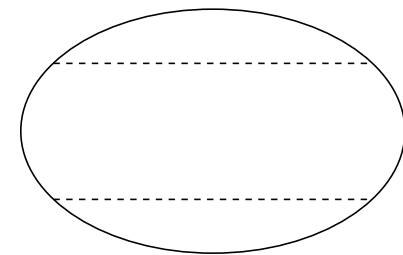
(b)

More subtle in vac to vac graphs

$$-\frac{i}{k^2 - i\epsilon} + \frac{i}{k^2 + i\epsilon}$$



(a)



(b)

- the origin of the problem is that  $s$  is a non-local function of  $h_{\mu\nu}$  :

$$s = \left( \eta^{\mu\nu} - \frac{1}{\square} \partial^\mu \partial^\nu \right) h_{\mu\nu} = P^{\mu\nu} h_{\mu\nu}$$

- example:  $\nabla^2 \phi = \rho$

$$\tilde{\phi} \equiv \square^{-1} \phi \quad \square \tilde{\phi} = \nabla^{-2} \rho \equiv \tilde{\rho}$$

it looks as if we have generated a dynamical dof!

**However, the solution of the homogeneous eq are spurious!**

the same happens for  $s$ :  $s$  is non-radiative, and we must discard the solutions of the homogeneous eq  $\square s = 0$

- at the quantum level, no annihilation/creation operators associated to it;  $s$  cannot be put on the external lines (otherwise, the vacuum in GR would decay!)

- the same happens in our non-local theory. The extra term in

$$\begin{aligned}\mathcal{L}_2 &= \frac{1}{2} h_{\mu\nu} \mathcal{E}^{\mu\nu,\rho\sigma} h_{\rho\sigma} - \frac{d-1}{2d} m^2 (P^{\mu\nu} h_{\mu\nu})^2 \\ &= \frac{1}{2} \left[ h_{\mu\nu}^{\text{TT}} \square (h^{\mu\nu})^{\text{TT}} - \frac{d-1}{d} s (\square + m^2) s \right]\end{aligned}$$

is just a mass term for  $s$  ! However, it remains a non-radiative field, as in GR, and we must discard the plane-wave solutions of

$$(\square + m^2) s = \frac{\kappa}{2(d-1)} T ,$$

again, no propagating dof associated to  $s$ , and no issue of quantum vacuum decay !