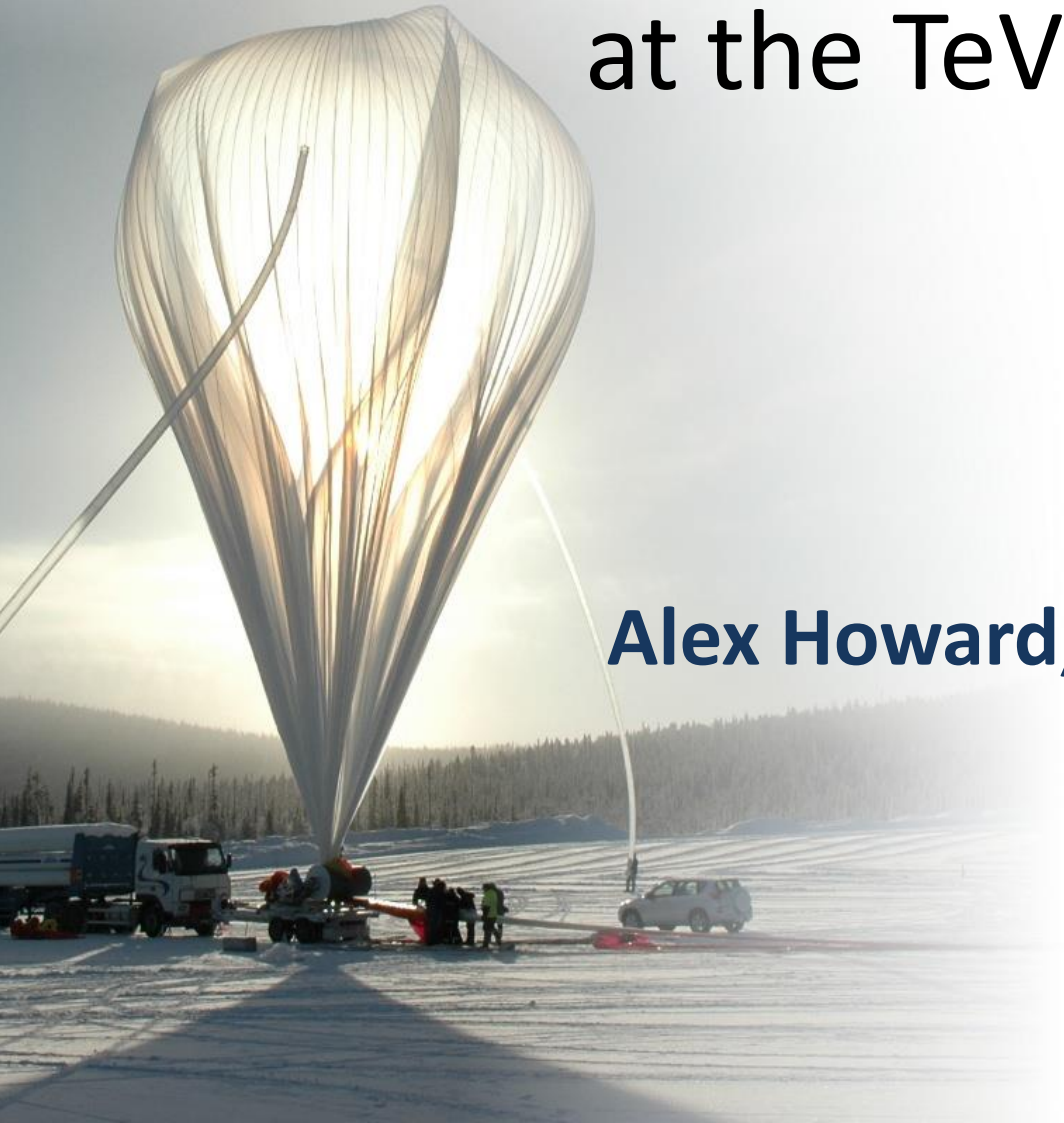


A Balloon Borne Spectrometer for Measuring Positron/Electron Spectra at the TeV Scale

Alex Howard, ETH Zurich



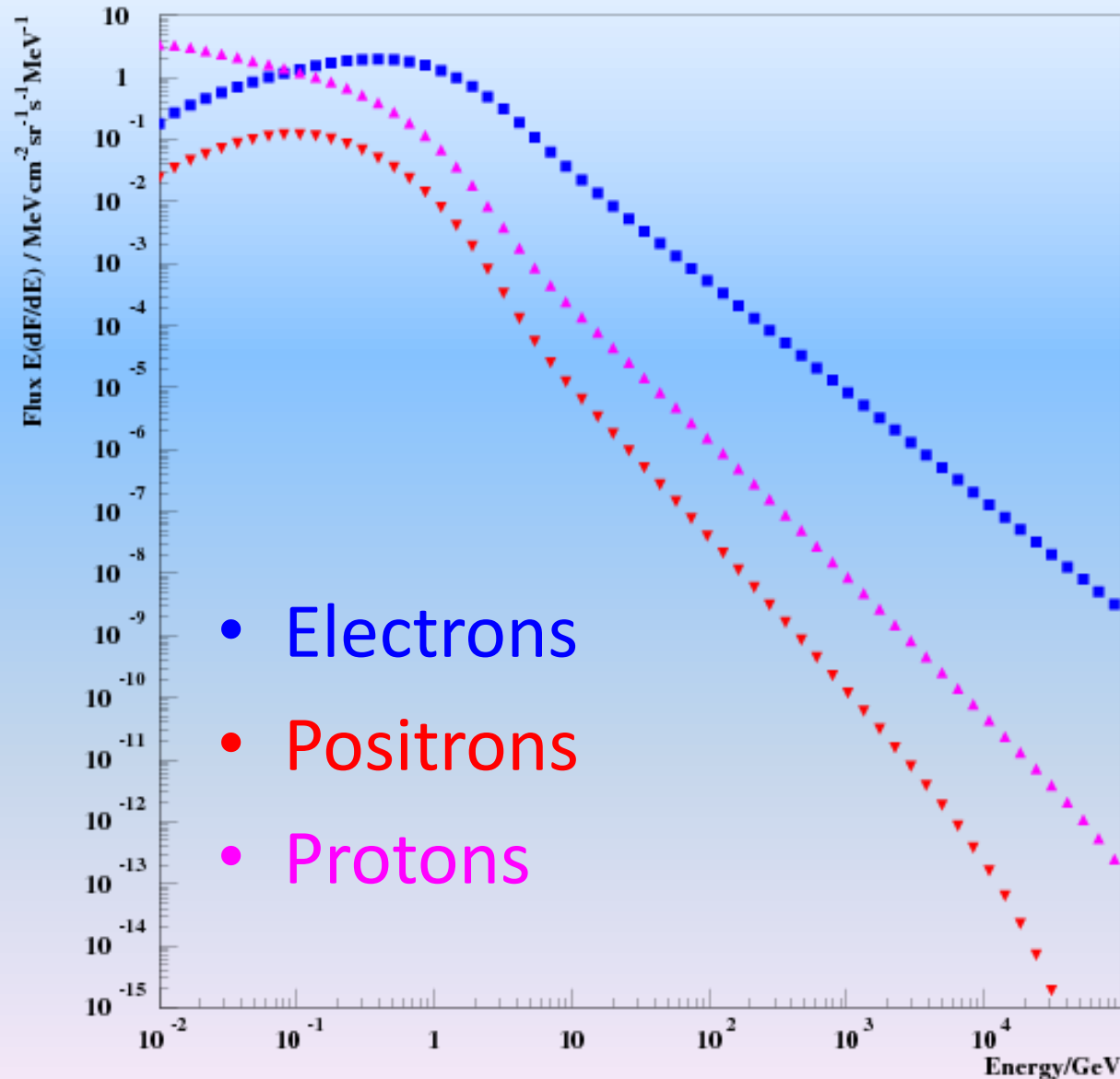
Overview

1. Why measure positrons up to 1 TeV?
2. Positron-Electron Balloon Spectrometers
3. Design concepts
4. Prototype work – Tracker/PERDAIX
5. Novel SiPM read-out
6. ECAL design and testbeam
7. Projected performance
8. Summary and Conclusions

Our Understanding (GALPROP)

- GALPROP is a numerical code for calculating the propagation of relativistic charged particles and the diffuse emissions produced during their propagation. The GALPROP code incorporates as much realistic astrophysical input as possible together with latest theoretical developments.
- <http://galprop.stanford.edu/>

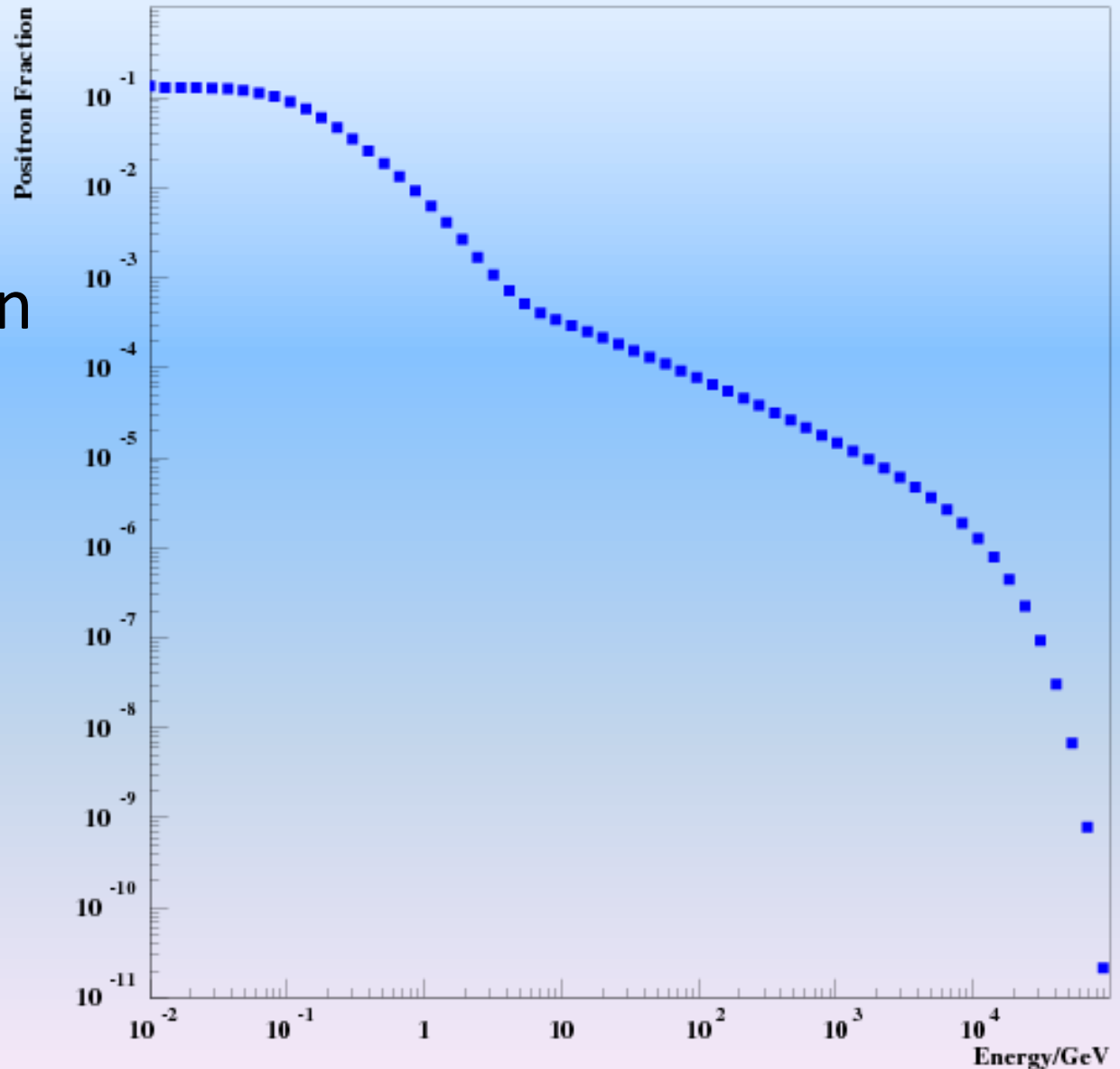
GALPROP: Cosmic Ray Fluxes

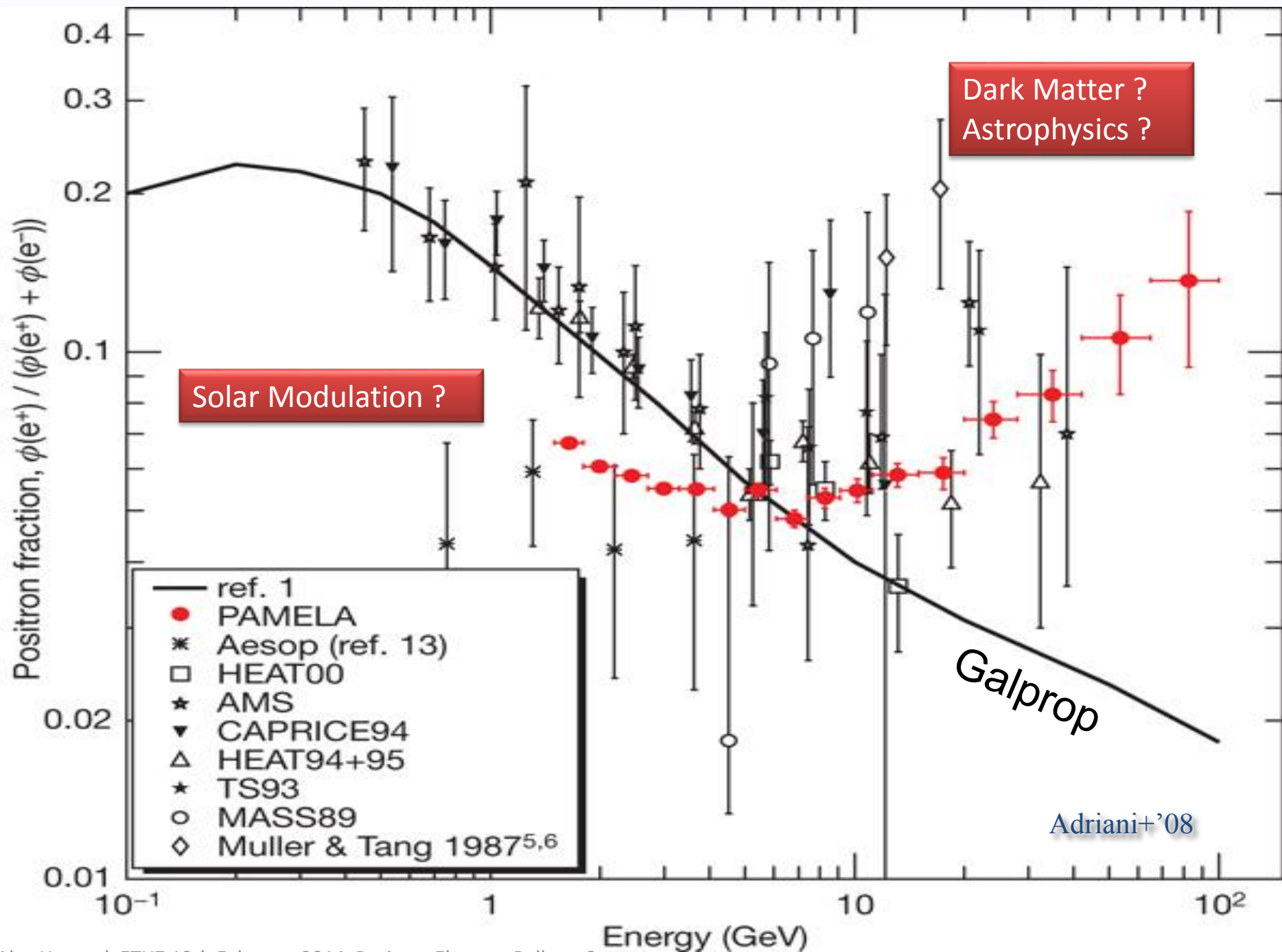


**Spectra drop
steeply with
increasing energy**

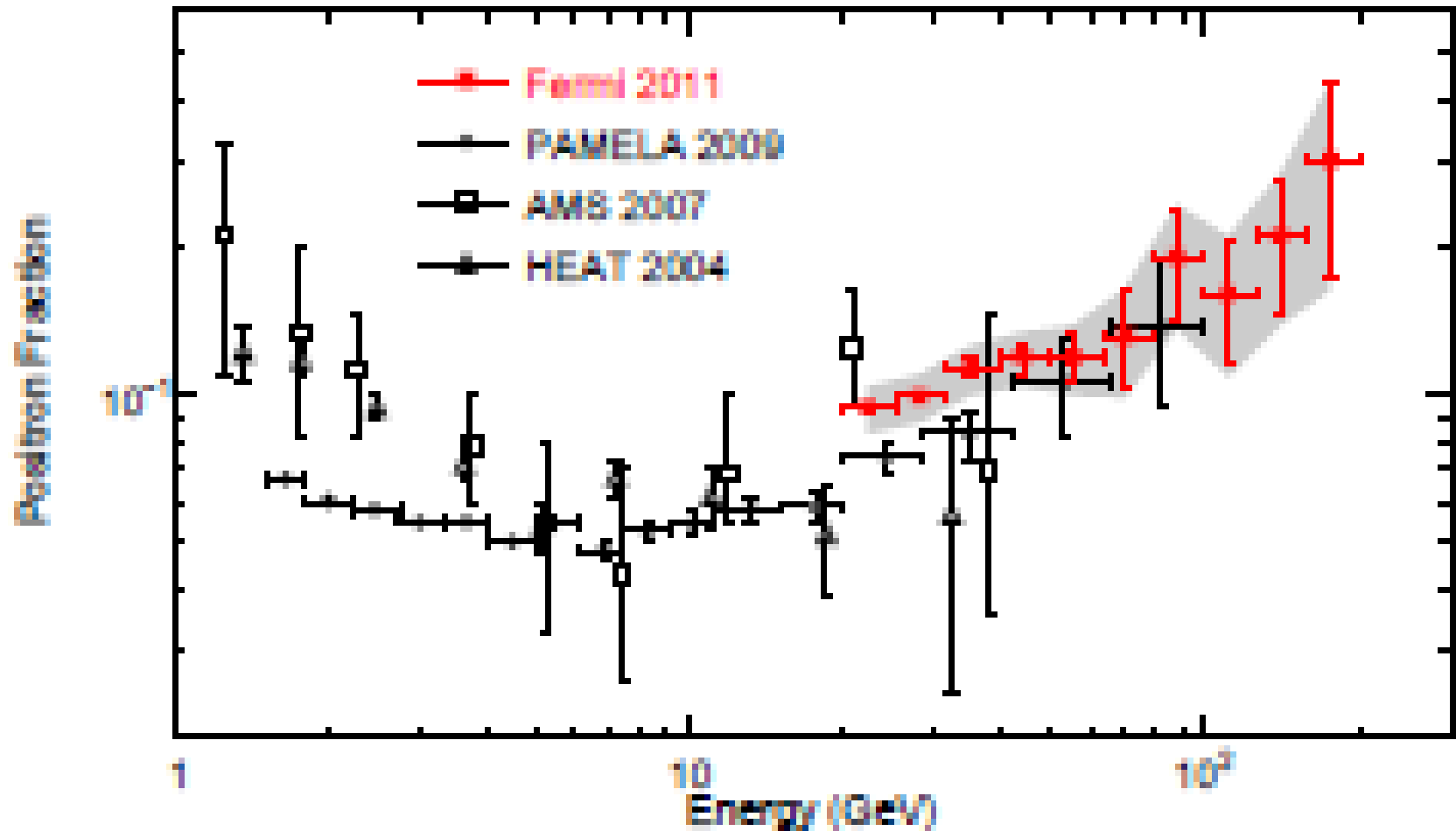
Positron Fraction

- Positron fraction decreases even more rapidly





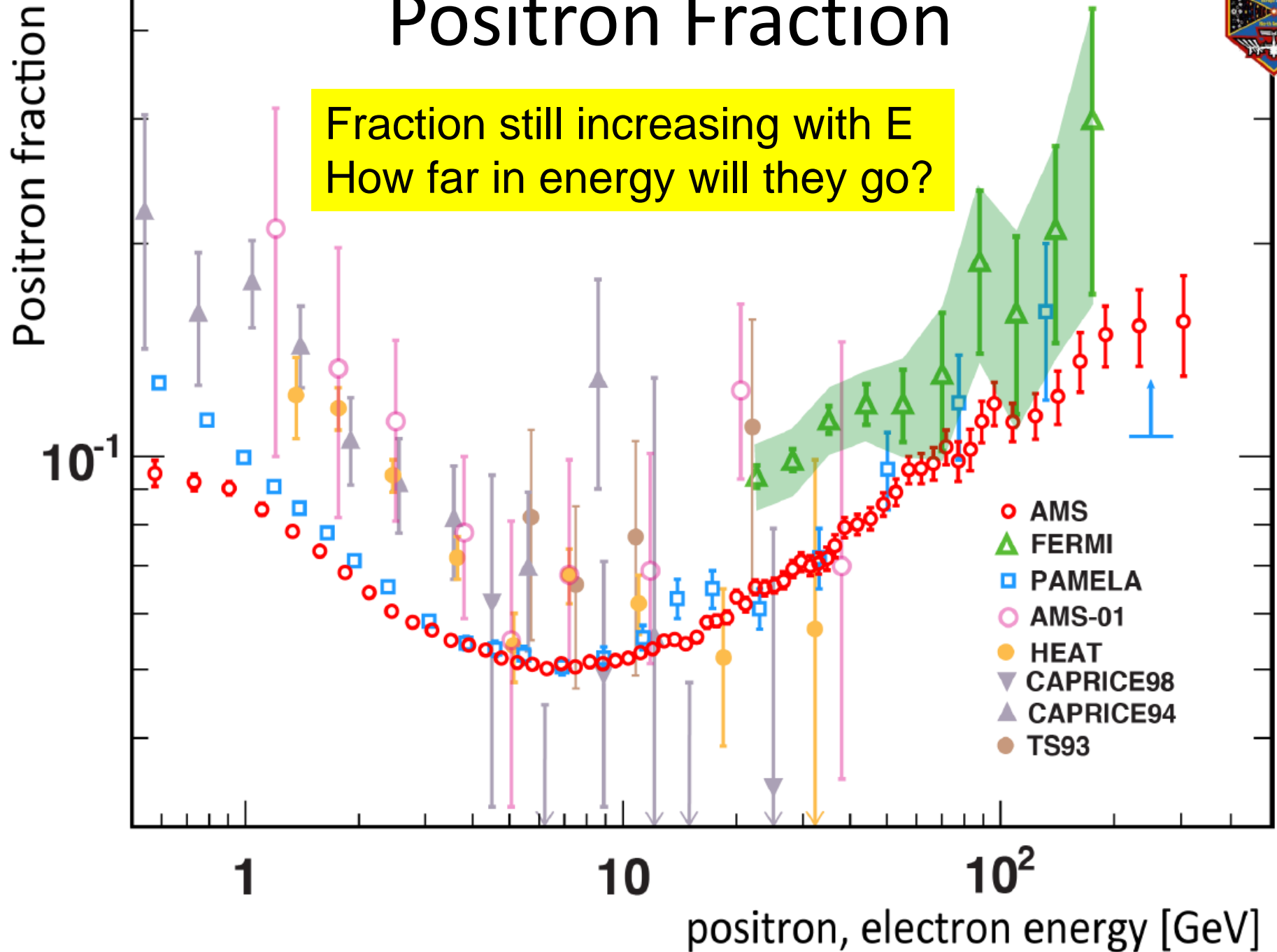
FERMI-LAT (inferred charge)



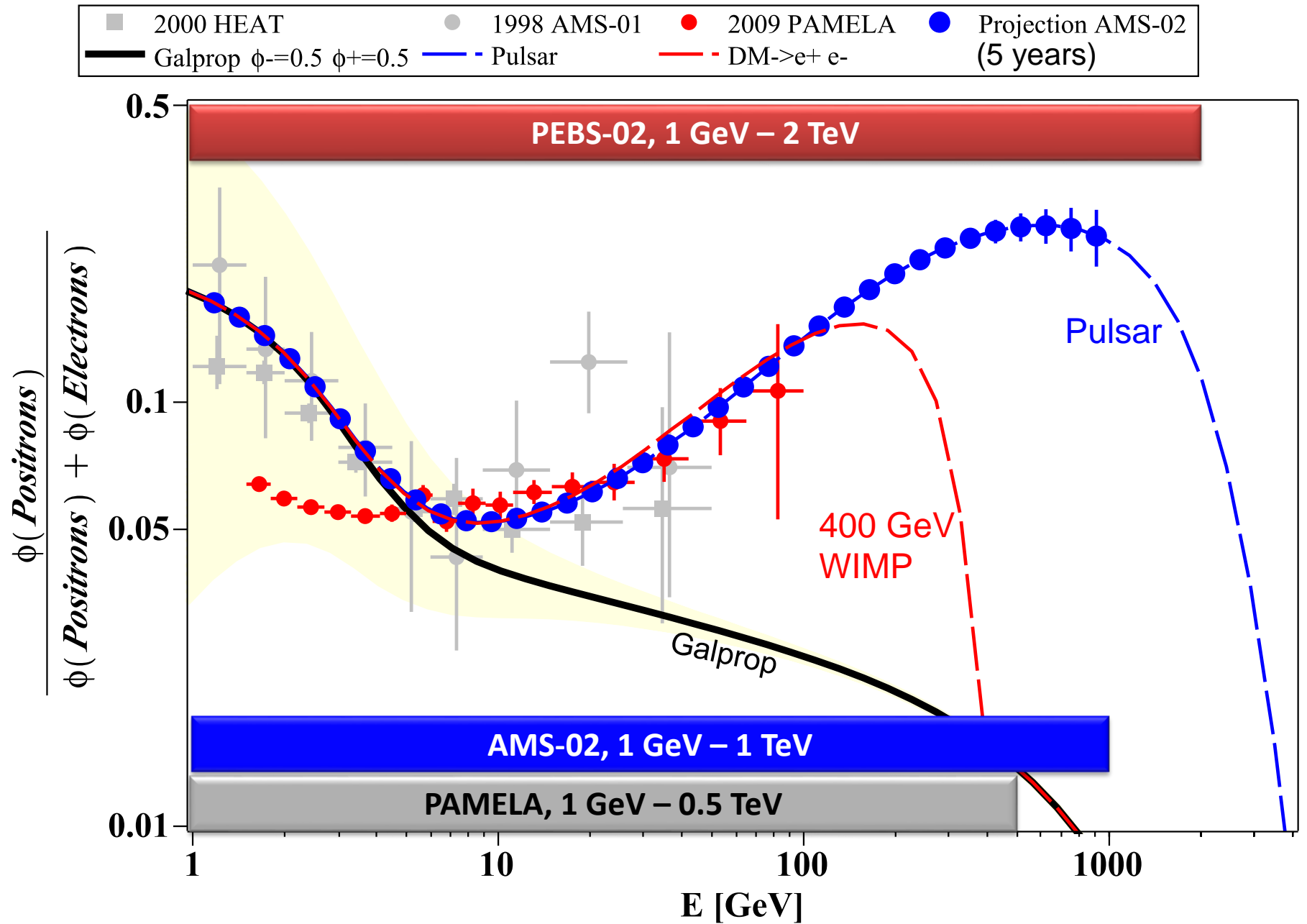
arXiv:1109.0521v1 [astro-ph.HE] 2 Sep 2011

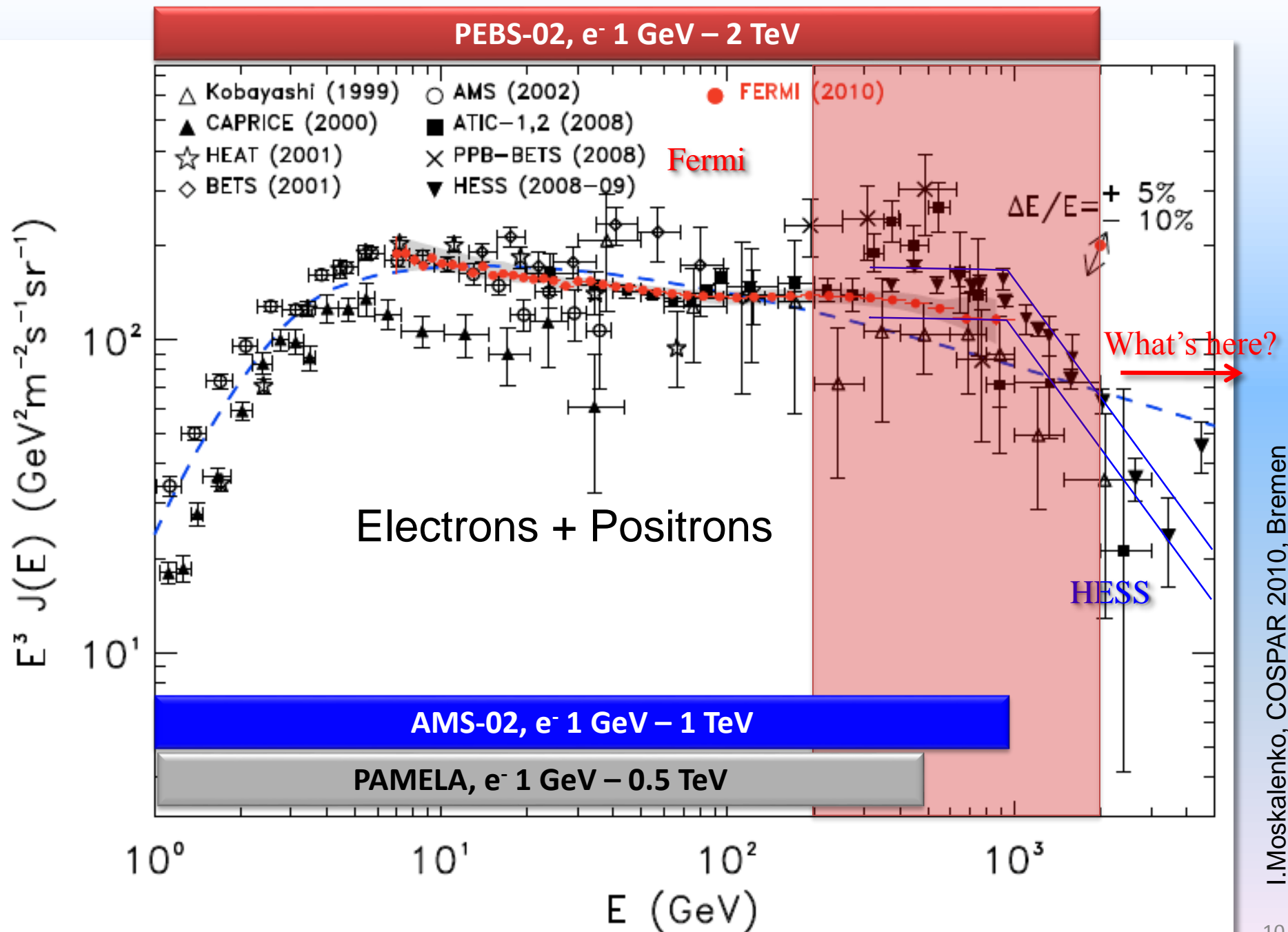
Positron Fraction

Fraction still increasing with E
How far in energy will they go?



Positron Fraction



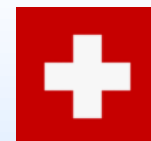


Why balloons?

- Upgrades for cosmic ray space experiments are difficult
- Superconducting magnets are always more difficult than originally anticipated (AMS, LHC, ...).
BESS is a rare exception – access is important...
- To connect cosmic ray experiments with manned space flights is a large risk (AMS 1998→2011).
- With the AMS-02 total cost of ~1500 Million \$ (without Shuttle launch) one could have performed ~150 LDB Balloon experiments in the mean time.
- LDB and hopefully soon ULDB balloon flights offer an ideal and flexible platform for cosmic ray experiments for the coming decade



The (ex)PEBS Collaboration



- Aachen, ETHZ and US groups submitted a proposal to NASA twice (2009, 2010)
- Rated excellent, but no funds available
- Hardware and people available nationally
- Prototype work continuing
- Looking for new collaborators/flight options...

People:

G. Dissertori, W. Lustermann, A. Howard, C. Casella

T. Nakada, G. Haffeli, J-B Mosset, L. Shchutska

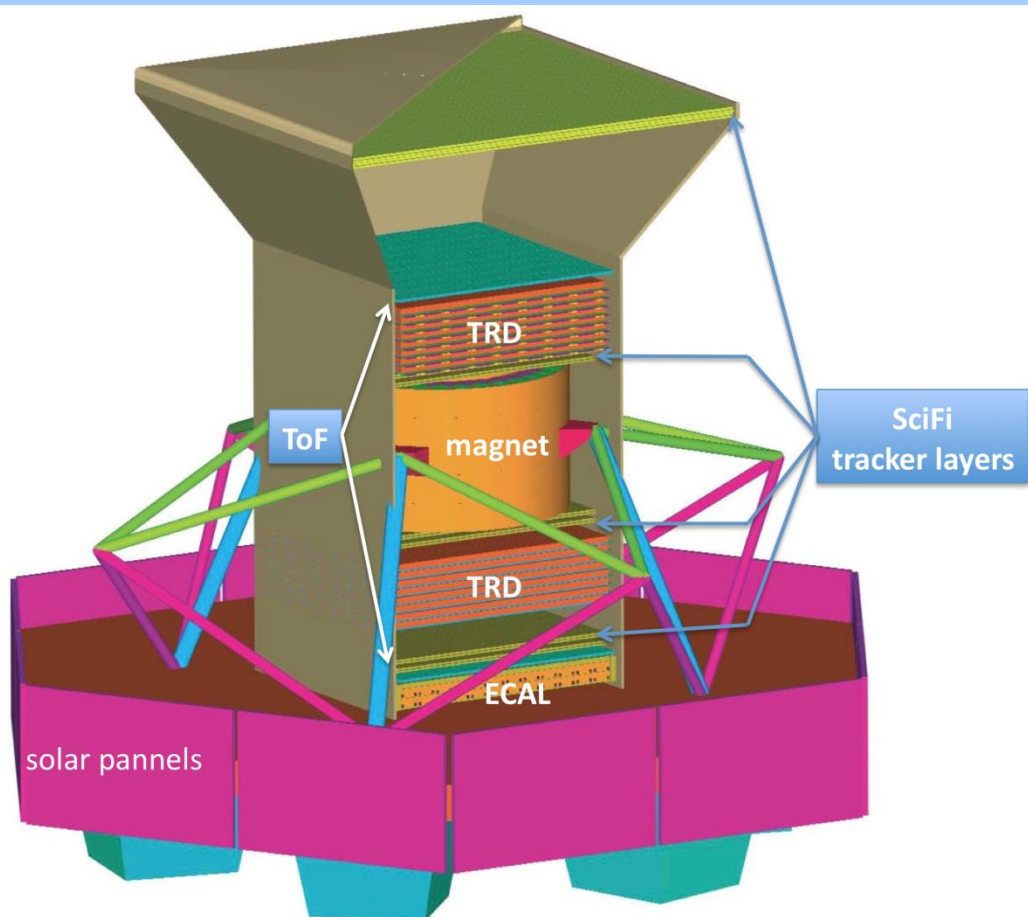
S. Schael, R. Greim, V. Mikhalev...



PEBS Experiment

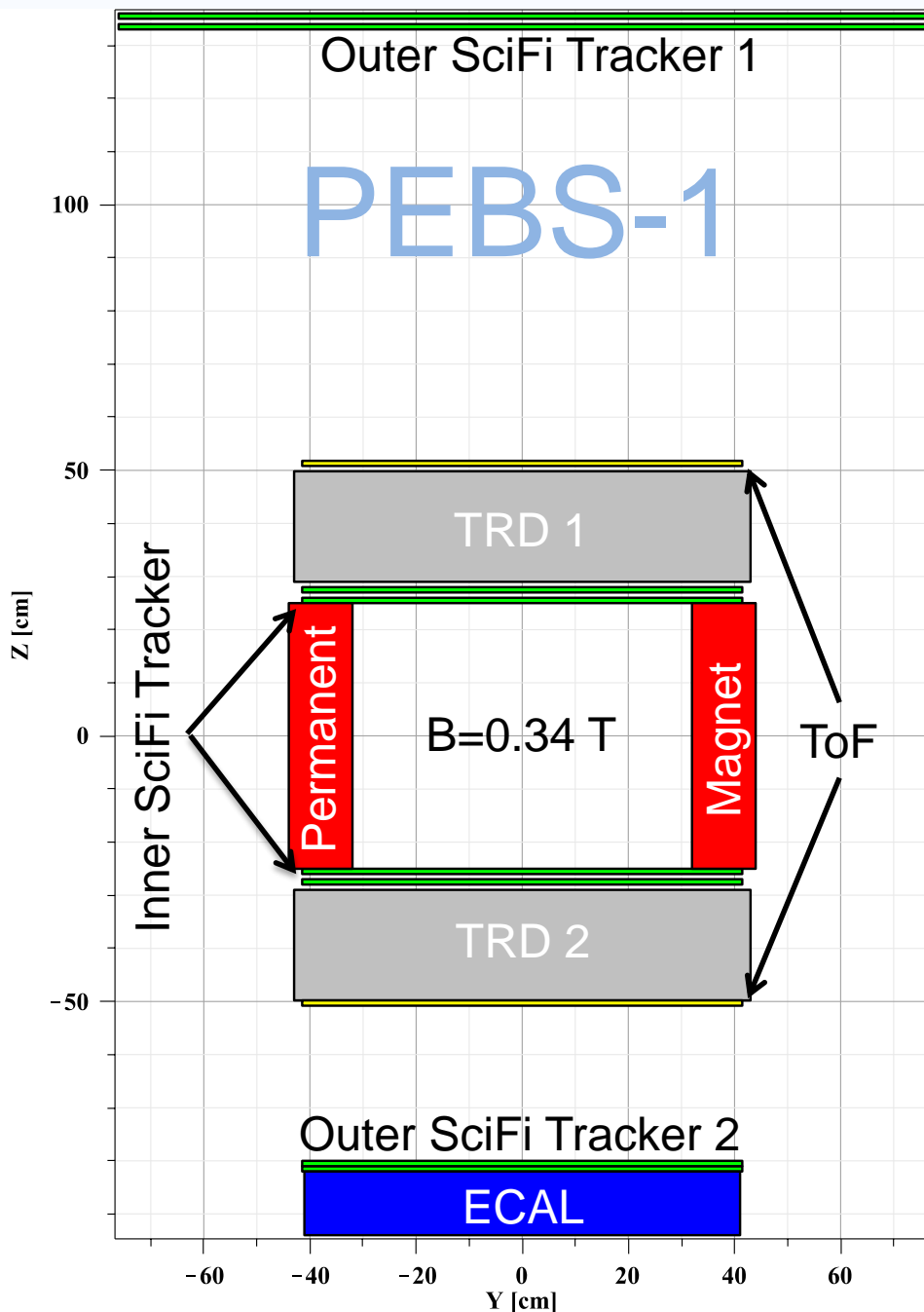


The overall height, including the lower crush pads, is 2.7 m and the overall width is 3.3 m. The total weight of the instrument is 2000 kg.



	e^-	P	e^+	\bar{P}, \bar{D}
TRD				
TOF				
Tracker				
ECAL				

$$\frac{S_p}{p} = 0.0010 \cdot p \oplus 0.038$$

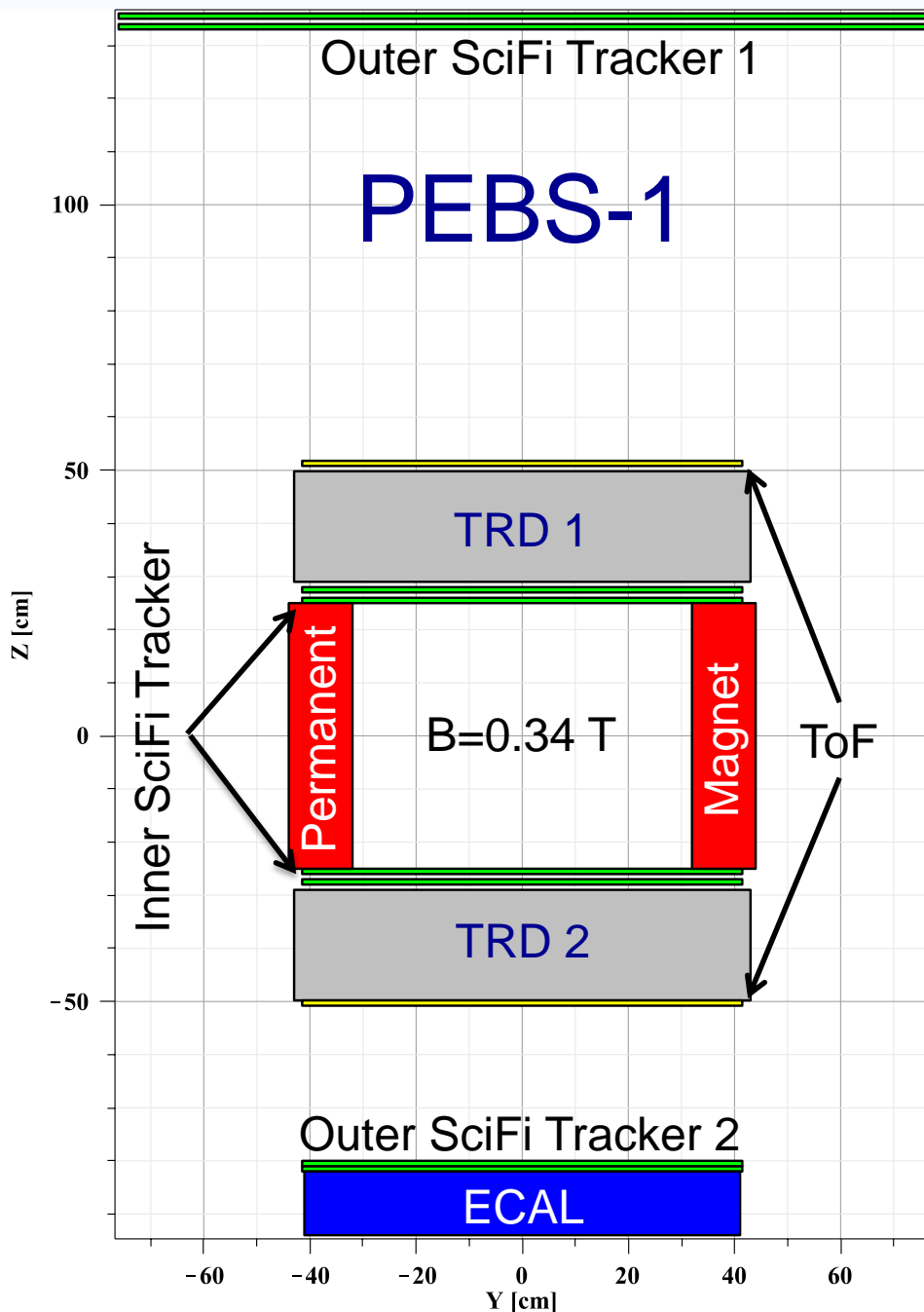


The magnetic spectrometer has a geometrical acceptance of $1200 \text{ cm}^2 \text{ sr}$ for electrons.

The most important contributions to the overall weight are the magnet (890 kg) and the calorimeter (650 kg),

The power consumption is dominated by the 250 W needed for the tracker which has roughly 60,000 individual readout channels.

The lower detector combination of TRD and ECAL, which can be used for the measurement of the combined electron-positron spectrum at high energies, has an acceptance of $6000 \text{ cm}^2 \text{ sr}$.

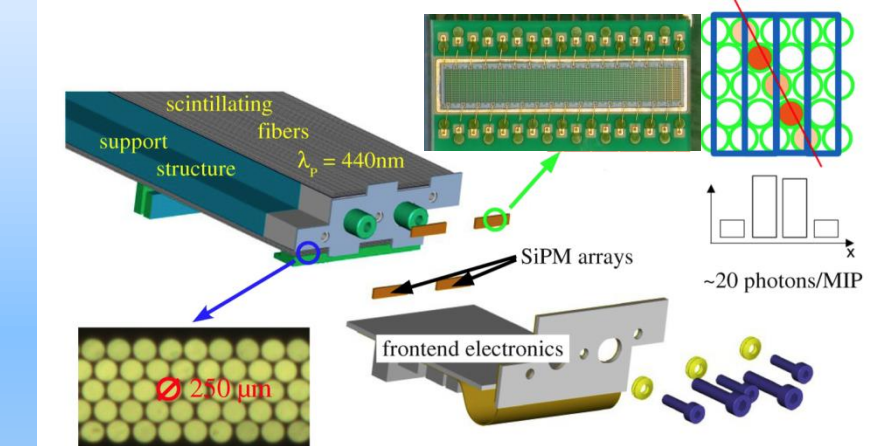


This detector concept can be used as a multi purpose platform for the study of cosmic rays.

Use the flexibility of Balloon Experimentes to:

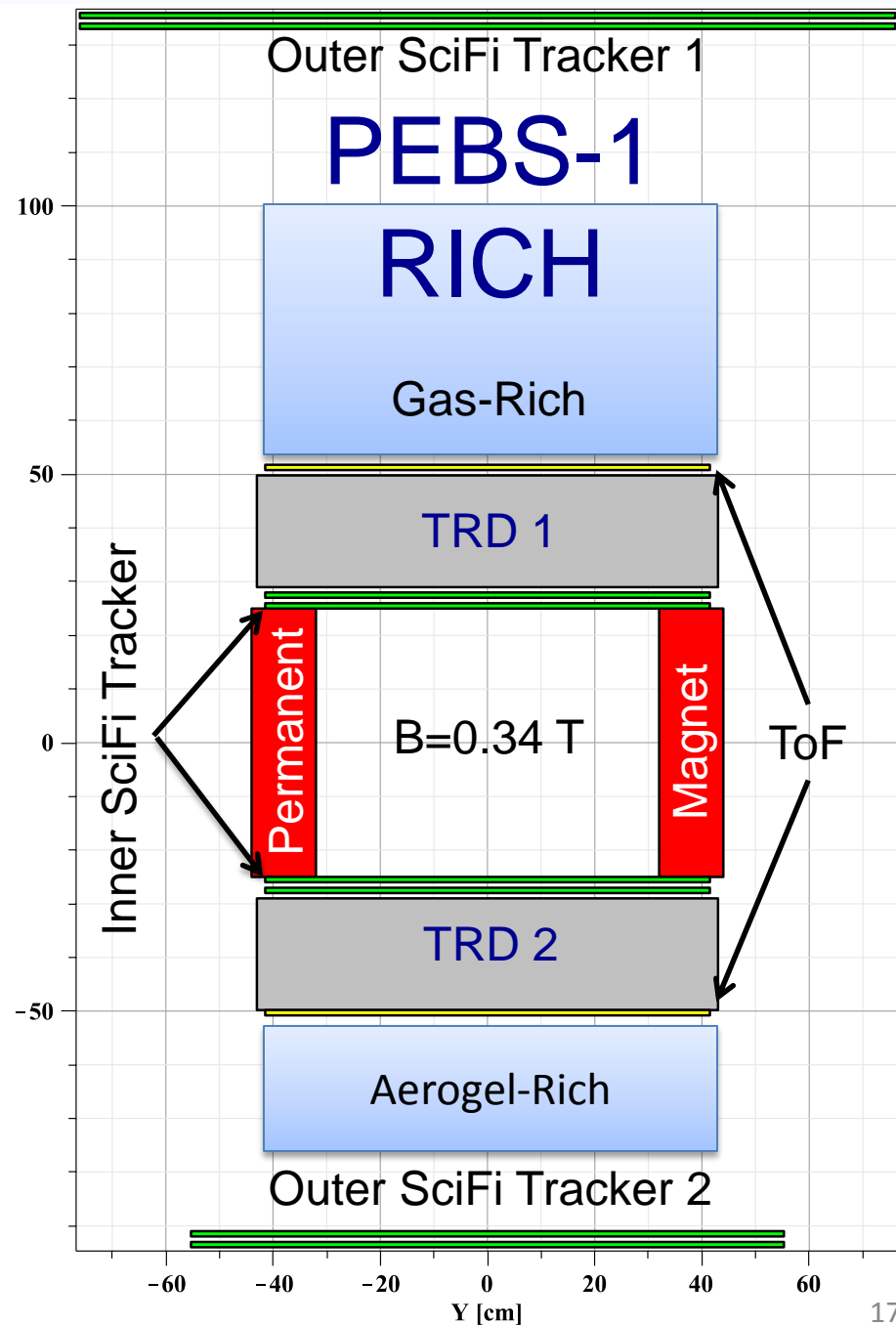
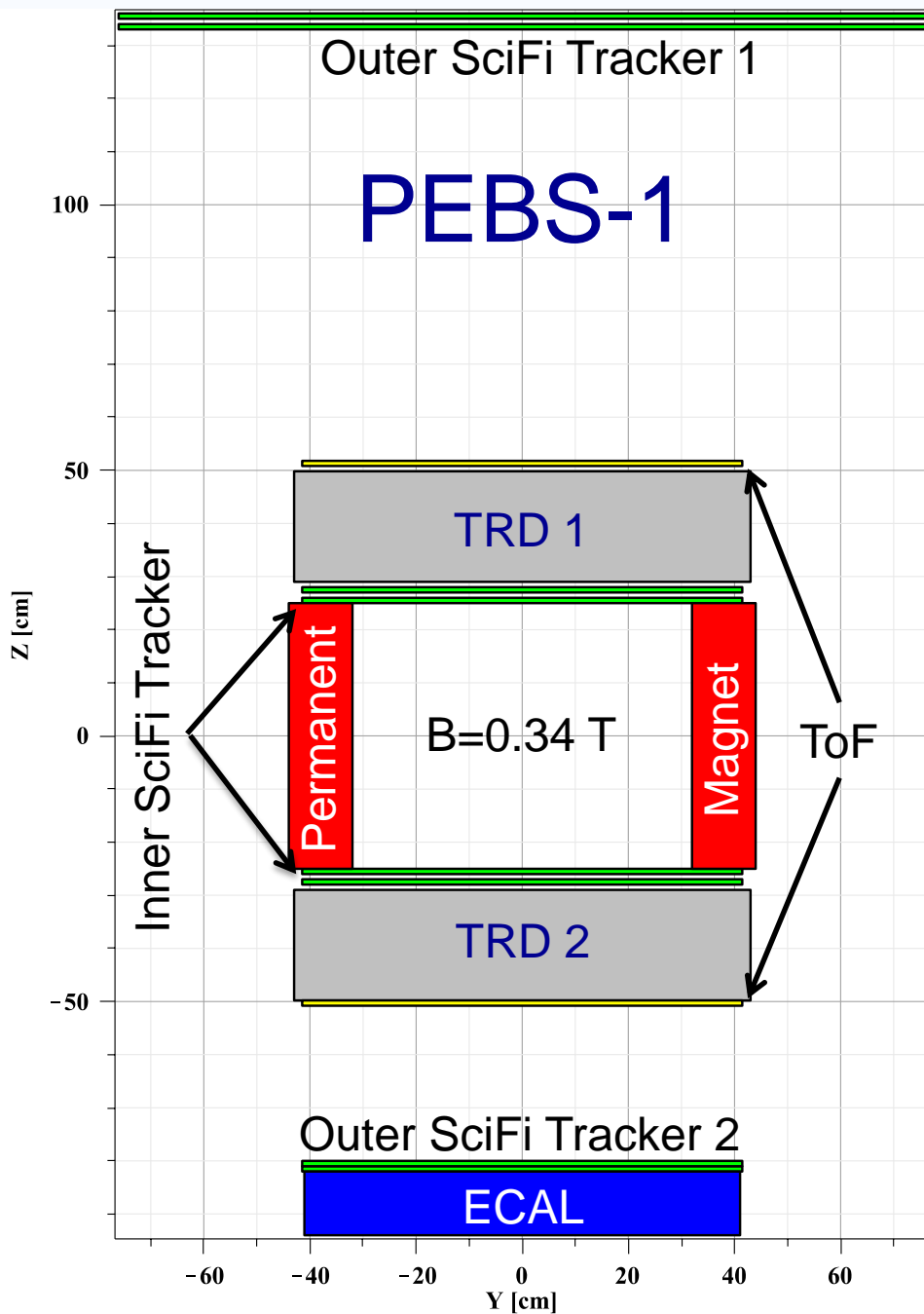
1. optimize the detector for different physics topics
2. continously upgrade the detector performance

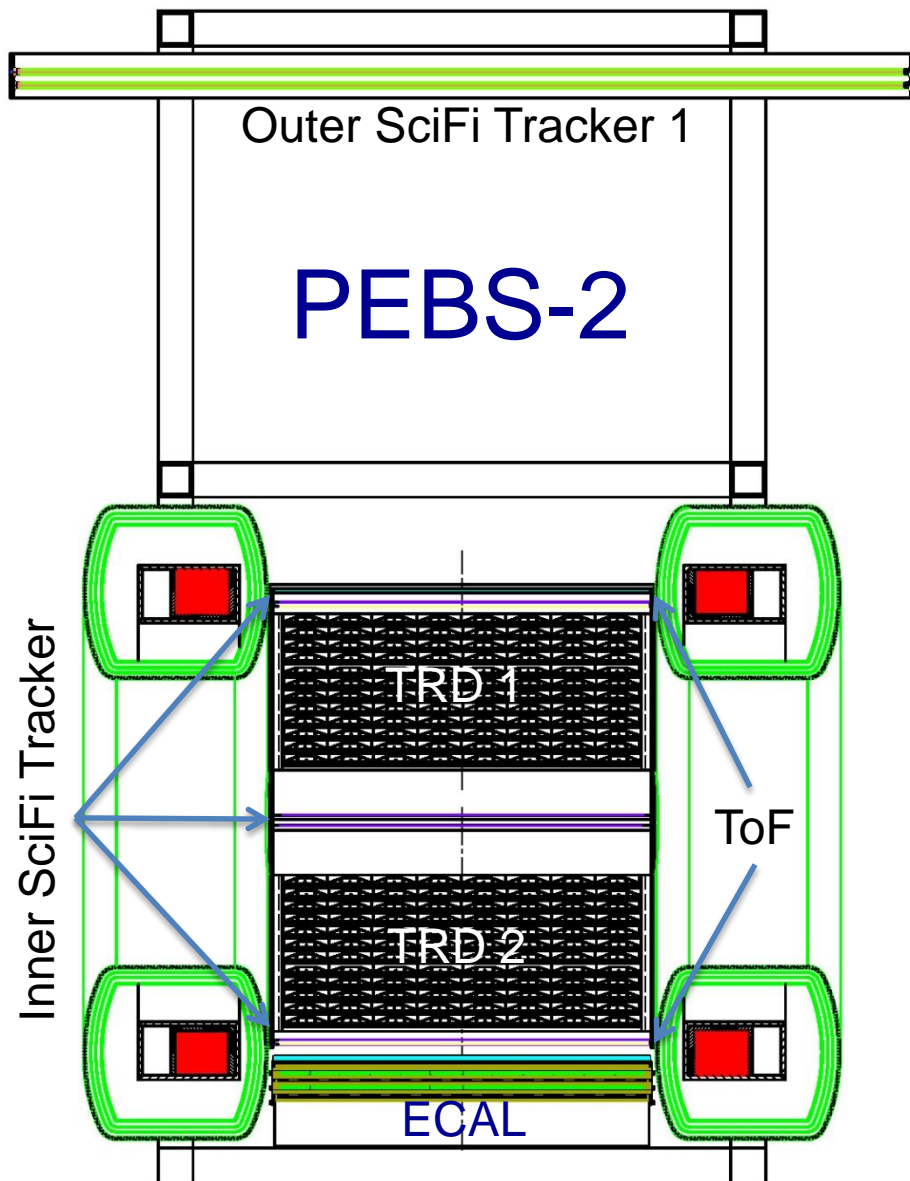
Upgrade the SciFi Tracker



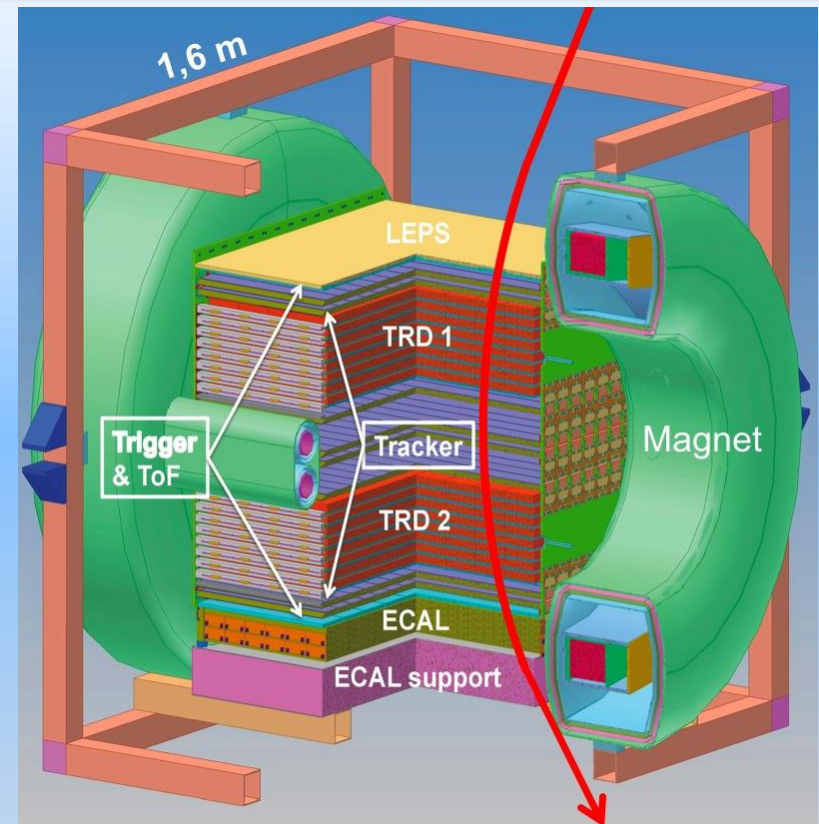
- MDR 1 TeV \rightarrow 2 TeV







Upgrade the Magnet

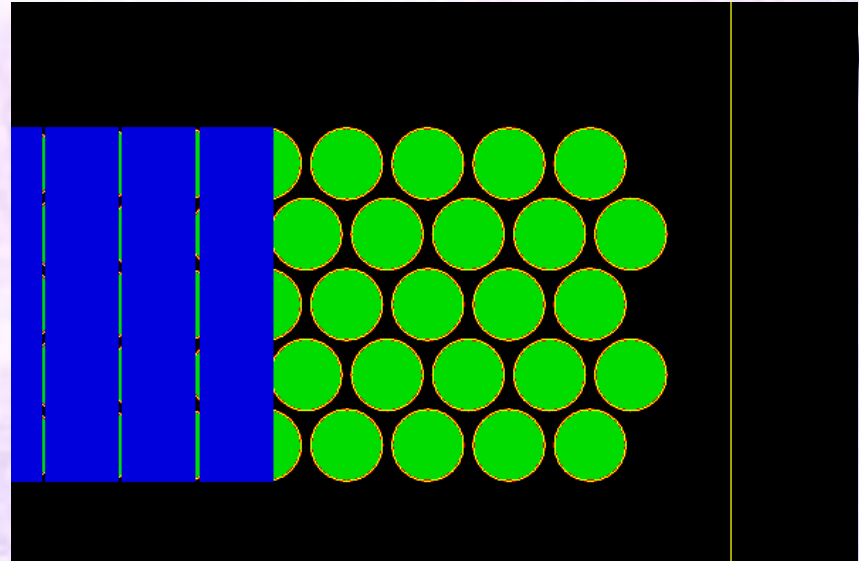
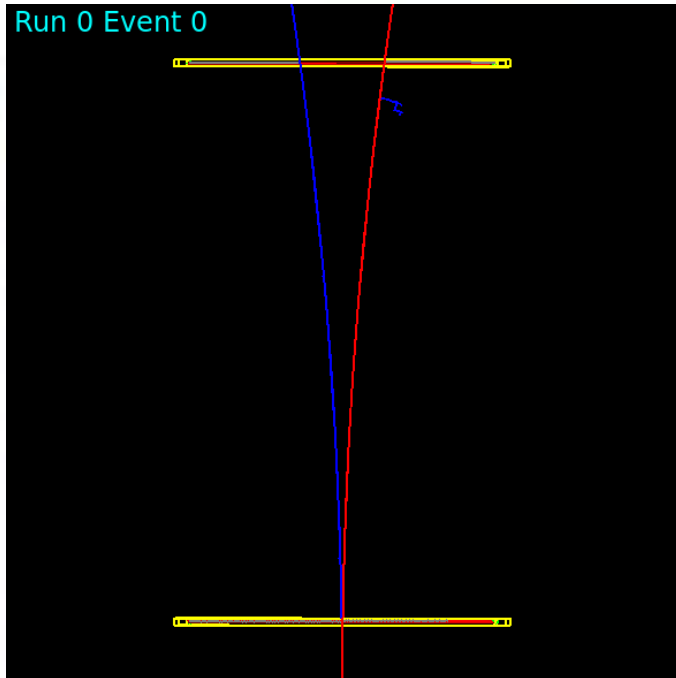


Replace the permanent magnet with a superconducting magnet

B-field:	0.34 T	→ 0.9 T
MDR:	1 TeV	→ 4 TeV
Acceptance:	0.12 m ² sr	→ 0.25 m ² sr
Cost:	0.2 M\$	→ 2 M\$

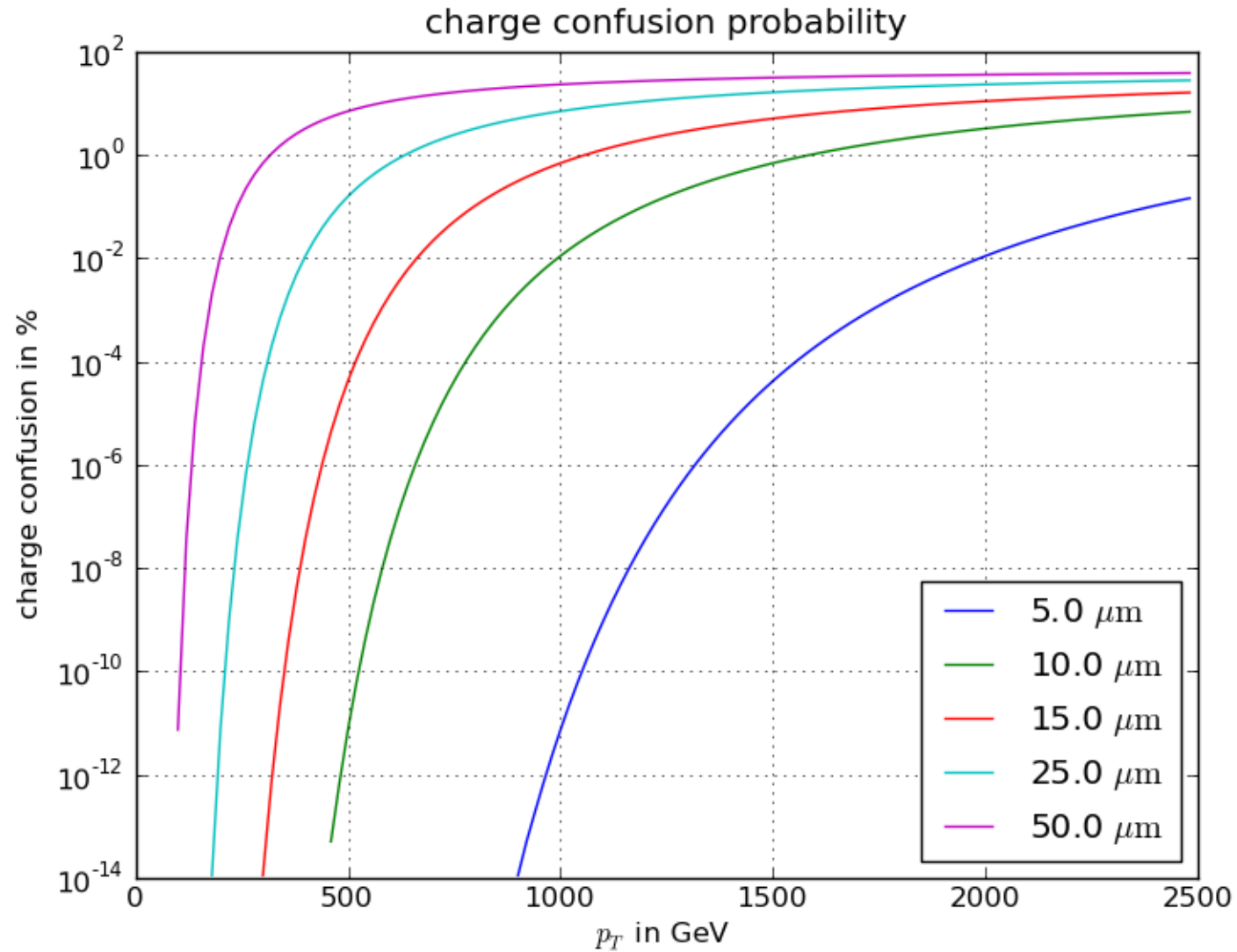
Detector Performance

Run 0 Event 0



- Tracker, magnet, TRD $\rightarrow 10^3$ proton rejection
- ECAL $\rightarrow 10^3$ proton rejection at high energy
- Superconducting magnet – Charge measurement $> \text{TeV}$ (positron/electron)

Silicon tracker?

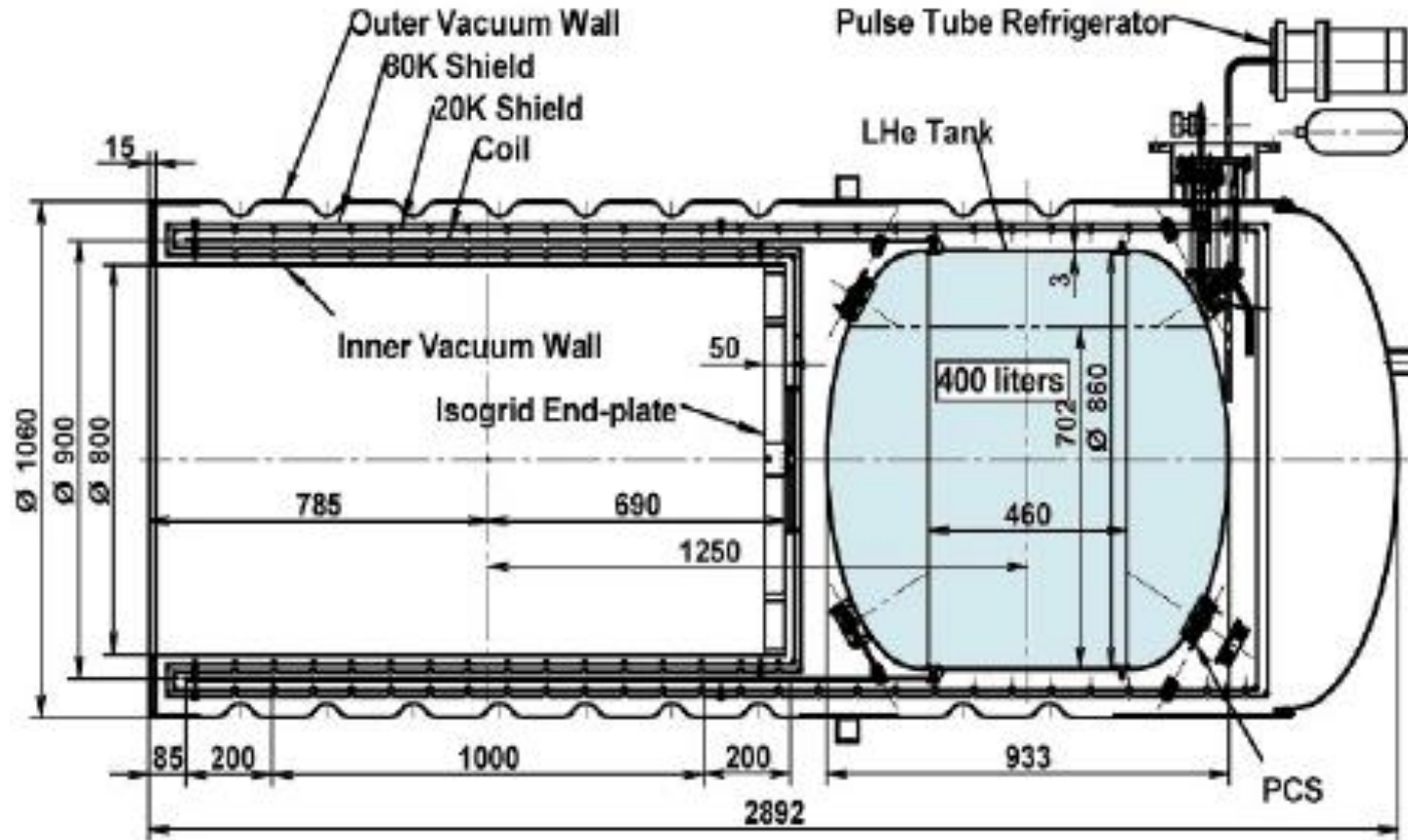


BESS Magnets?

Magnet for Balloon-borne experiment

- BESS/Super Jacee type 4
 - 1 Tesla
 - Radiation length $\sim 0.2 X_0$
 - Life ~ 5 days
- BESS-Polar type 2
 - 0.8 Tesla
 - Radiation length $< 0.1 X_0$
 - Life ~ 30 days

BESS-Polar Magnet



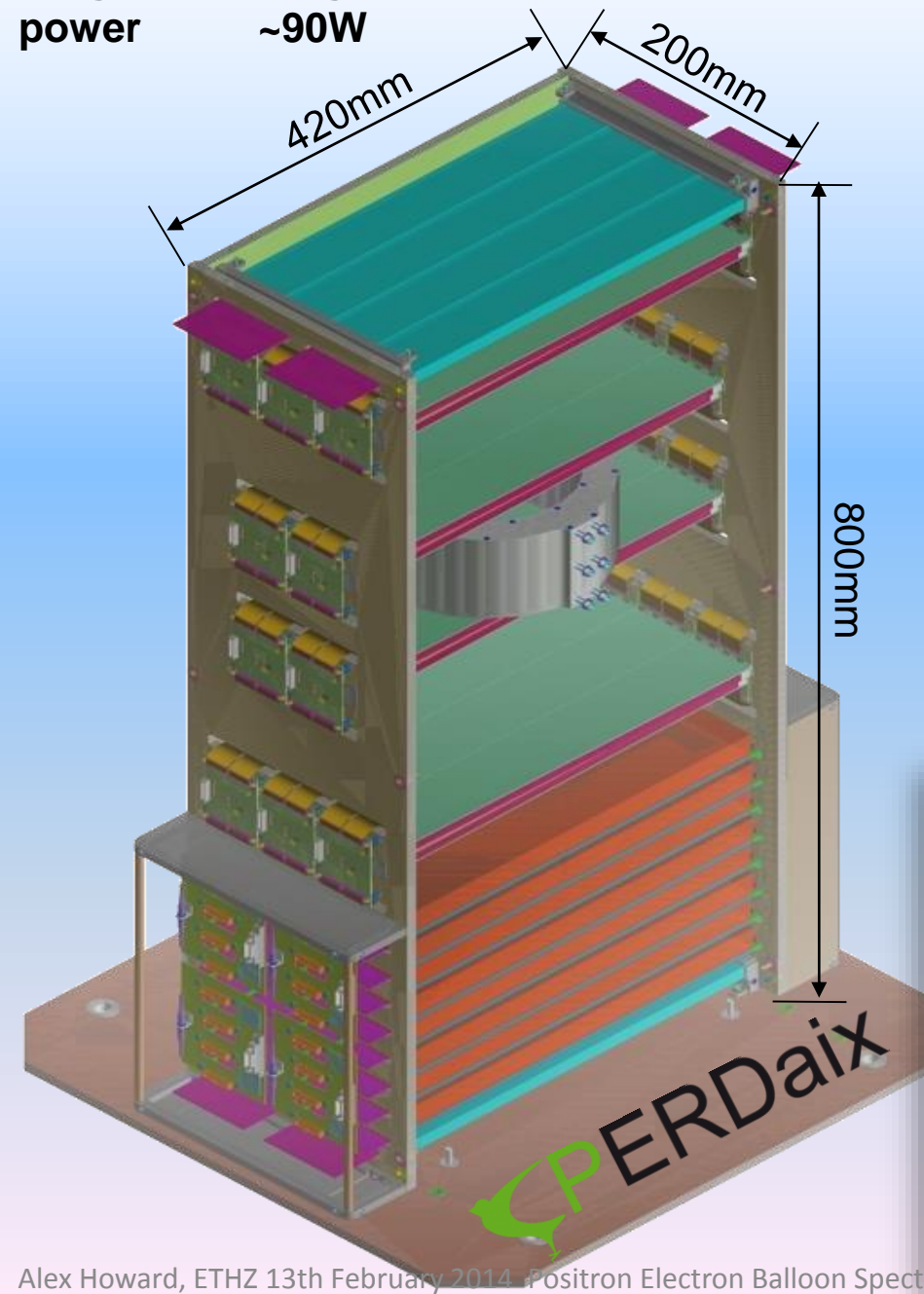
BESS-Polar II (2007)



Fate of BESS-Polar I



Acceptance $\sim 40 \text{ cm}^2\text{sr}$
weight $\sim 40\text{kg}$
power $\sim 90\text{W}$

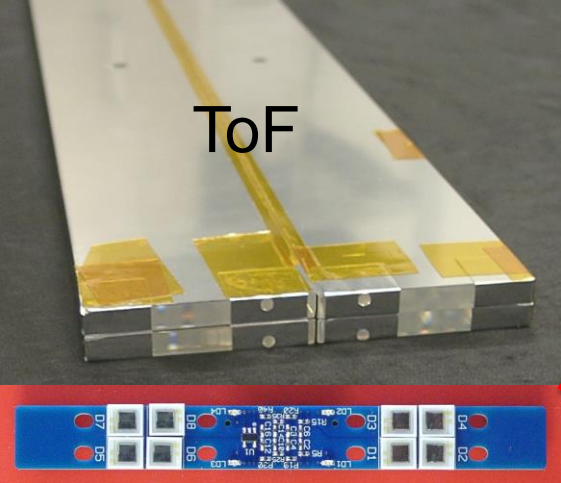


PEBS Prototype: PERDaix

- Proton Electron Radiation Detector Aix-La-Chapelle
- Balloon-borne spectrometer
- Measurement of cosmic rays up to 5 GeV
- First successful balloon flight in October 2010



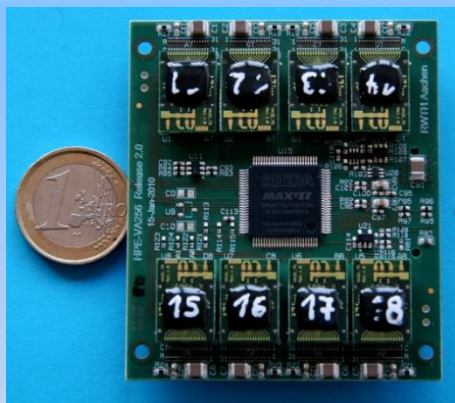
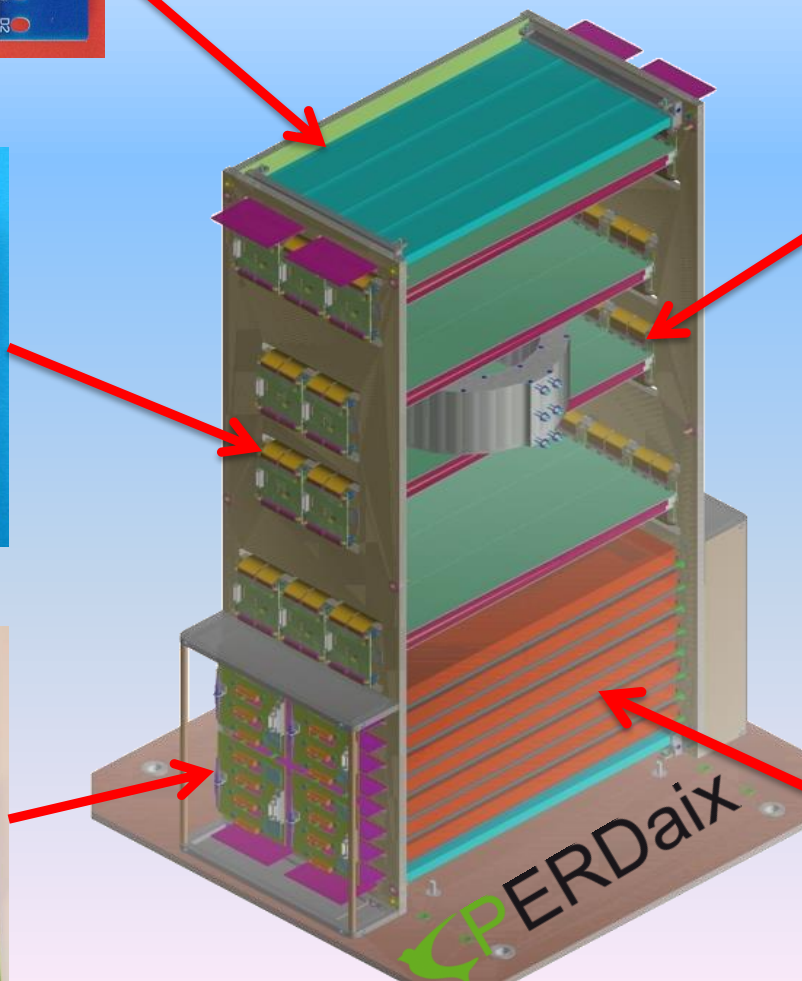
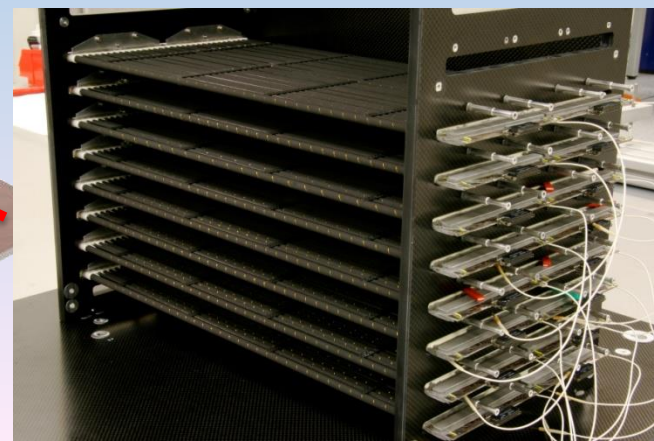
ToF



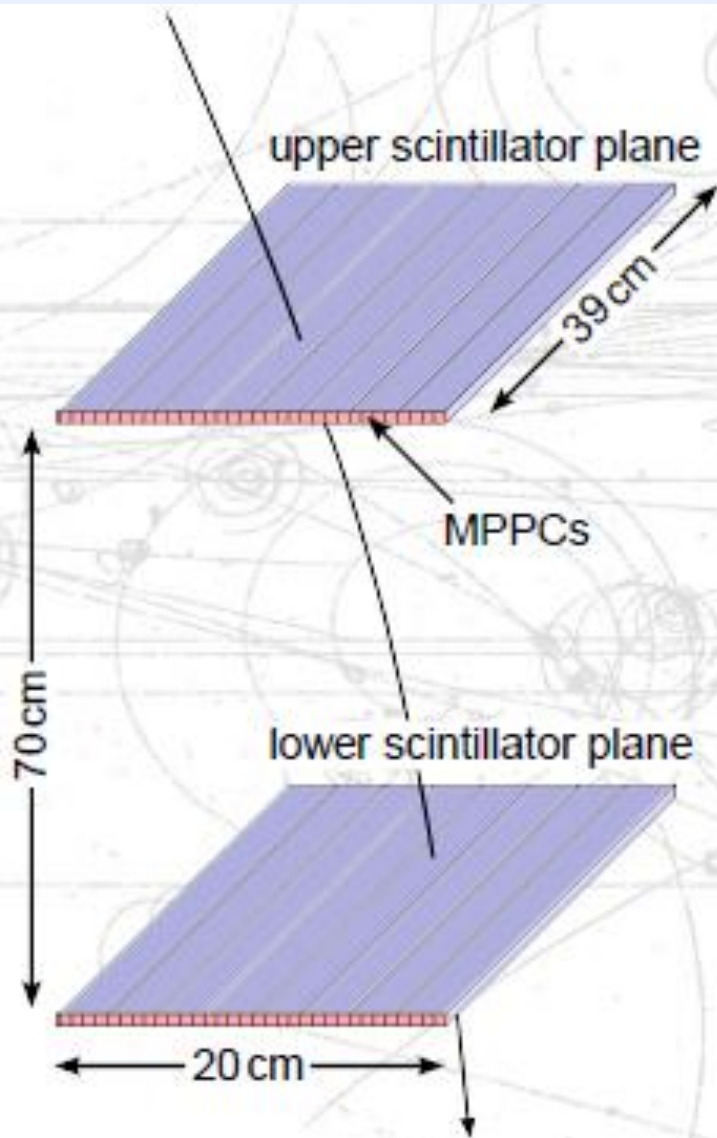
Permanent Magnet



SciFi Tracker



Time of Flight System



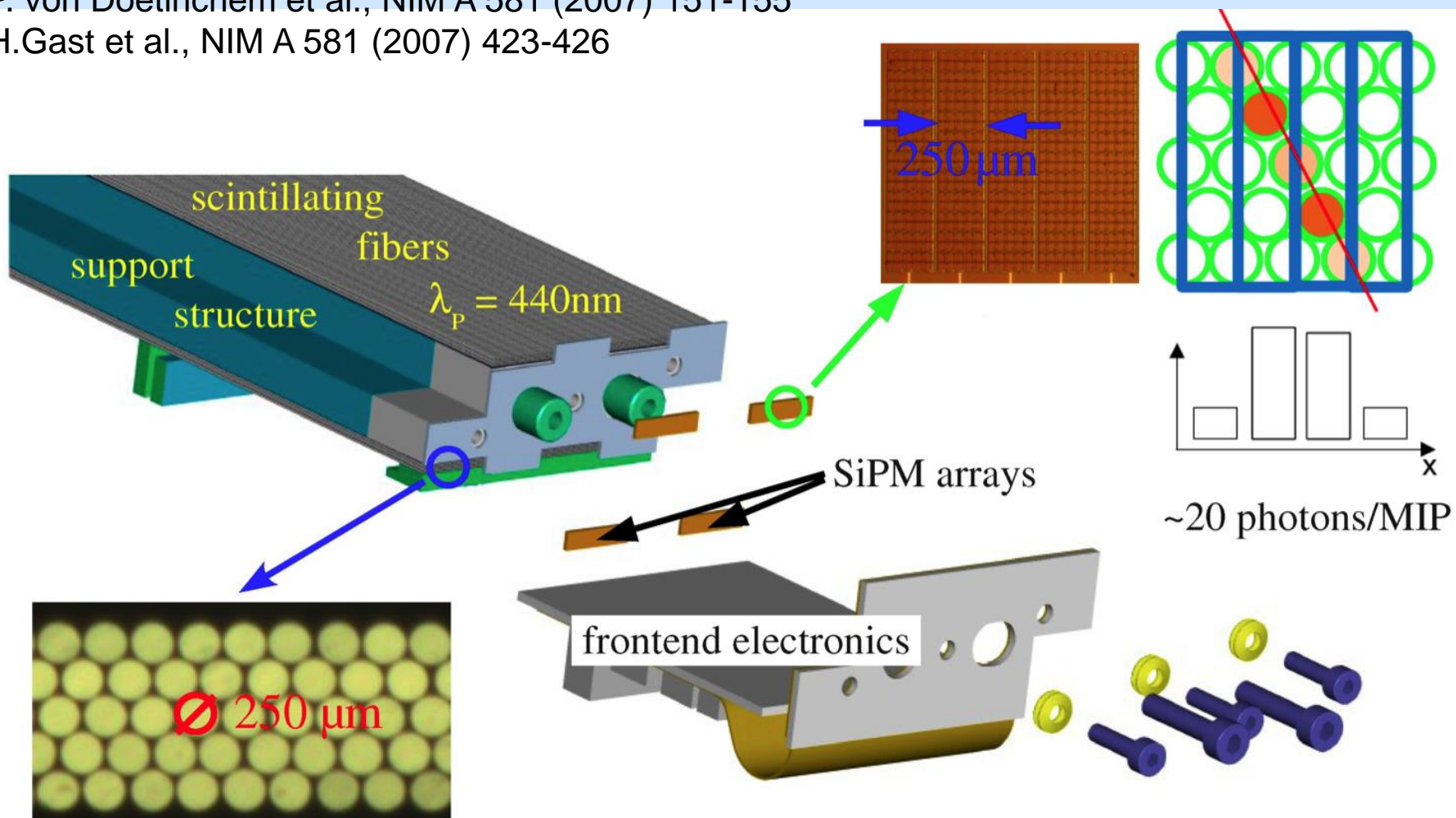
- 2 layers of 8 scintillator bars each read out by silicon photomultipliers
 - main trigger
 - reject upward flying particles
 - measure particle velocity
 - → identify heavy particles
- 300 ps design time resolution
- proton rejection up to 1.5 GeV



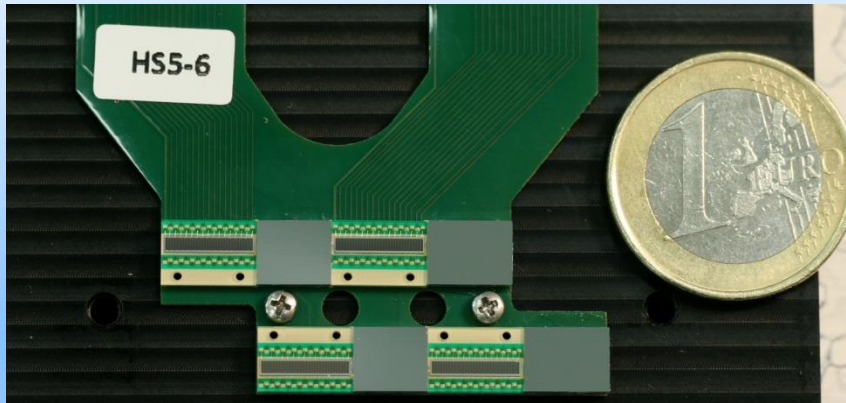
PEBS Scintillating Fiber Tracker

P. von Doetinchem et al., NIM A 581 (2007) 151-155

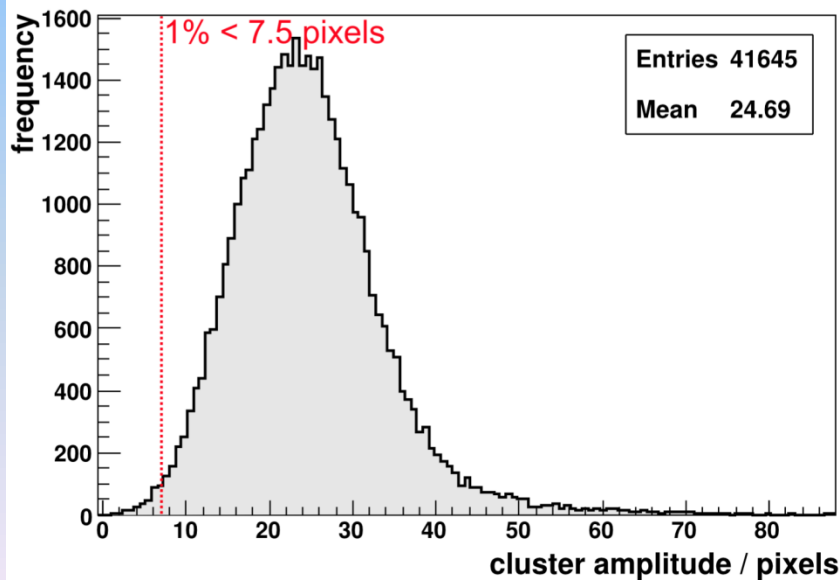
H. Gast et al., NIM A 581 (2007) 423-426



Testbeam 2009: Position Resolution 0.05 mm

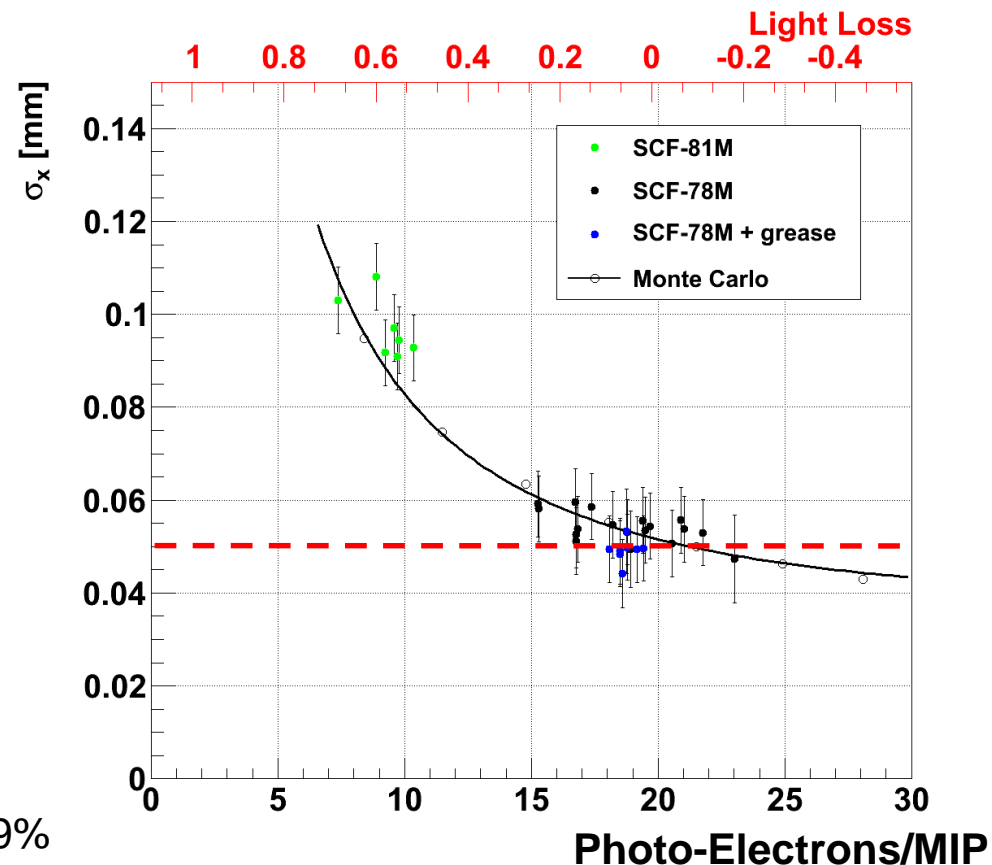


Optical front end hybrid for the SciFi Tracker

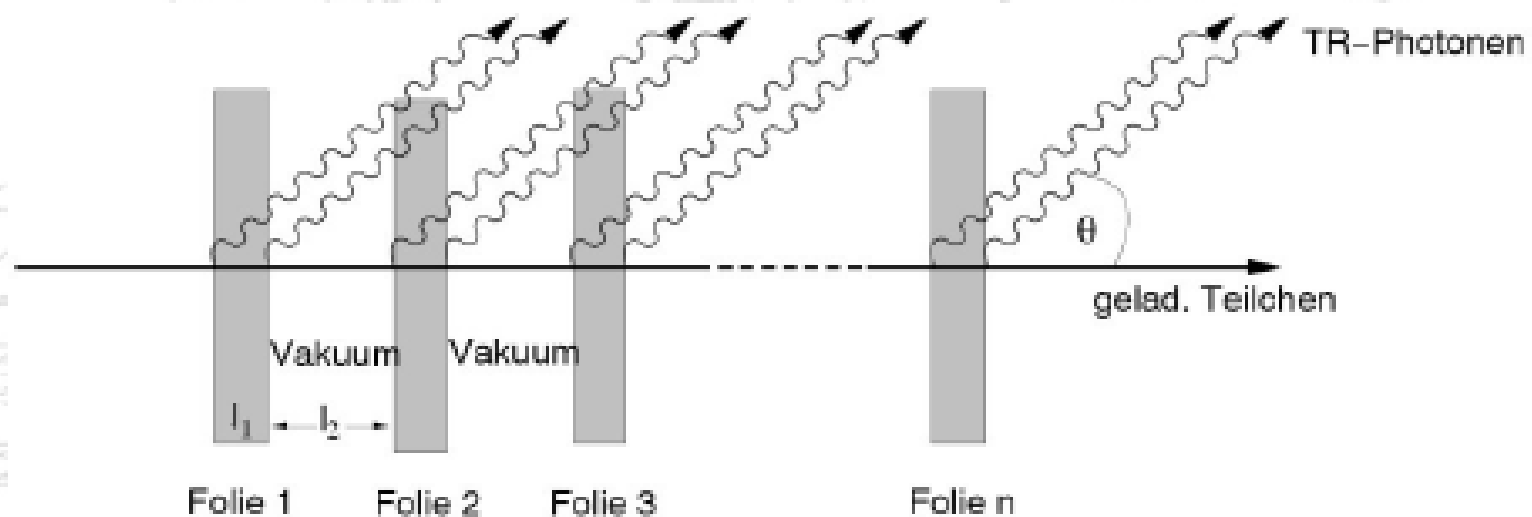


Light yield for a MIP => tracking efficiency > 99%

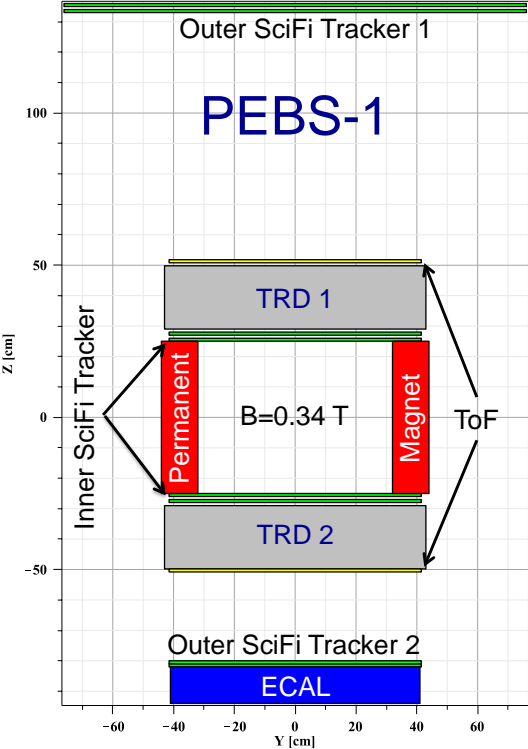
Comparison of position resolution and light yield from 2009 test beam data with the Monte Carlo Simulation for different Kuraray fibers.



Transition Radiation Detector



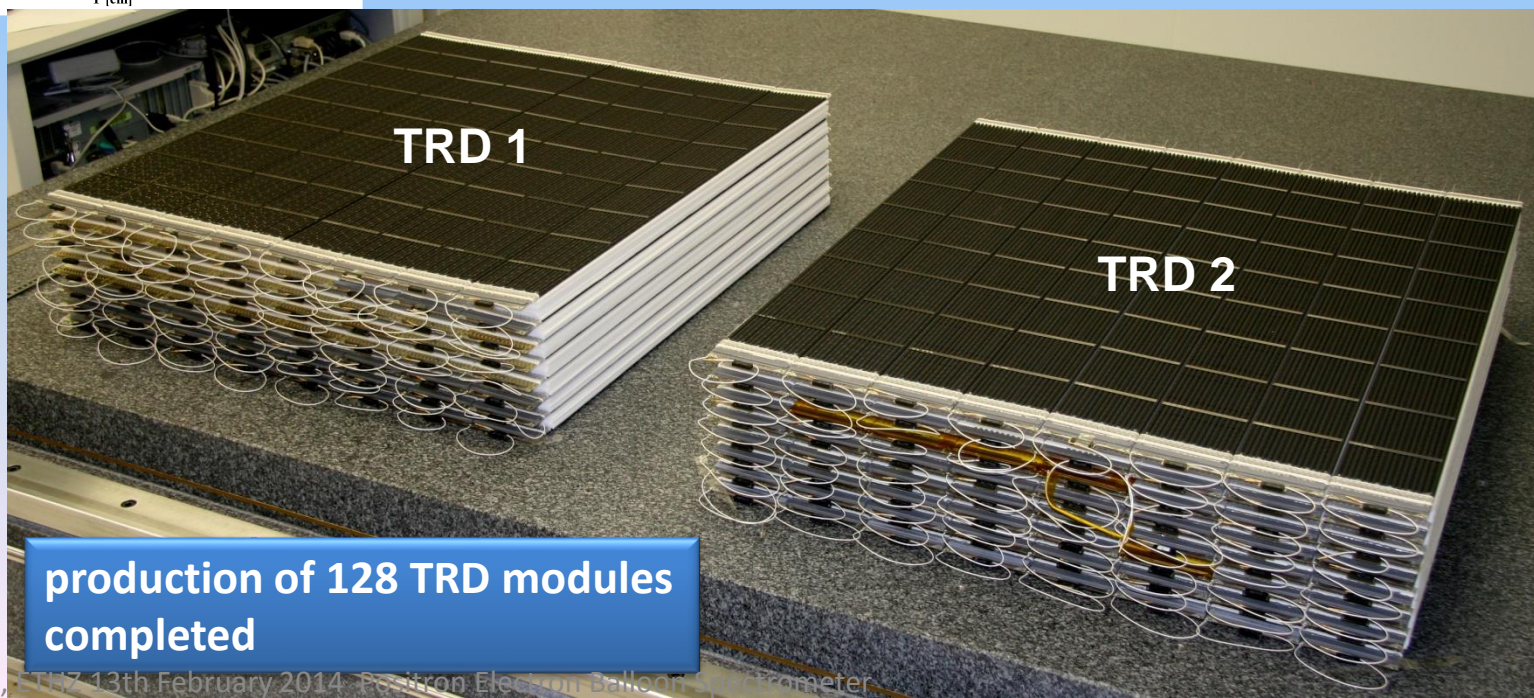
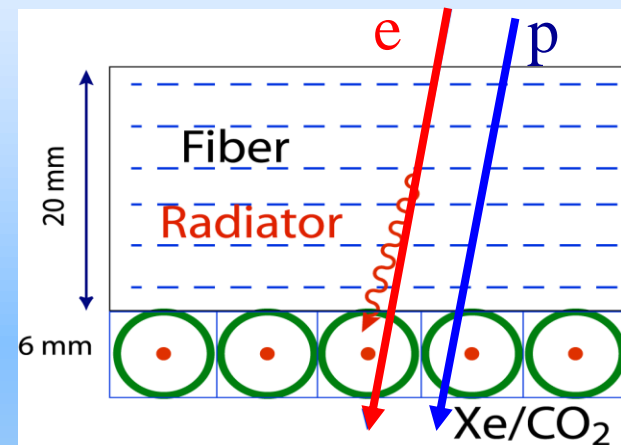
- Charged particles crossing the interface between two media with different indices of refraction produce so-called transition radiation.
 - the energy of the TR-Photons increases with particle velocity
 - once the particle momentum reaches 1000 times the particle mass, TR-photons are produced in the X-Ray spectrum
- The production threshold energies for X-Ray TR-Photons are:
 - ~0.5 GeV for electrons
 - ~100 GeV for muons (the next heavier particle found in cosmic rays)
 - ~1000 GeV for protons



PEBS TRD

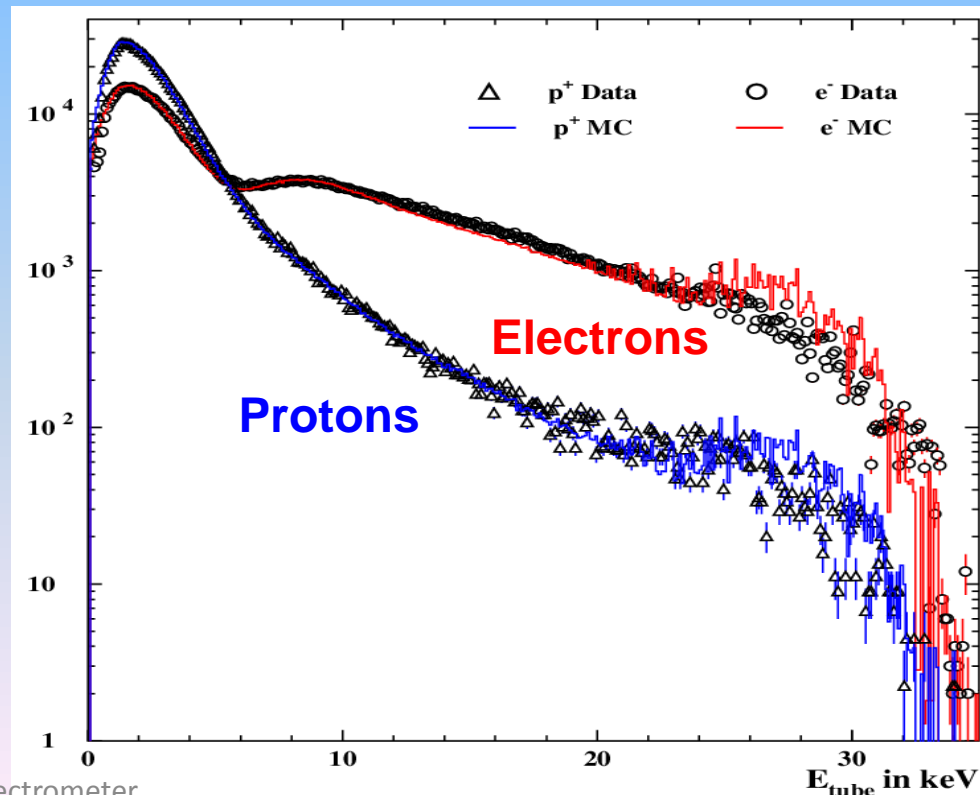
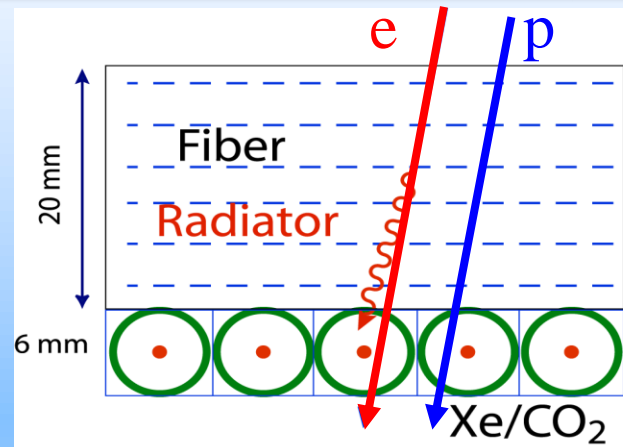
2x8 Layers each existing of:

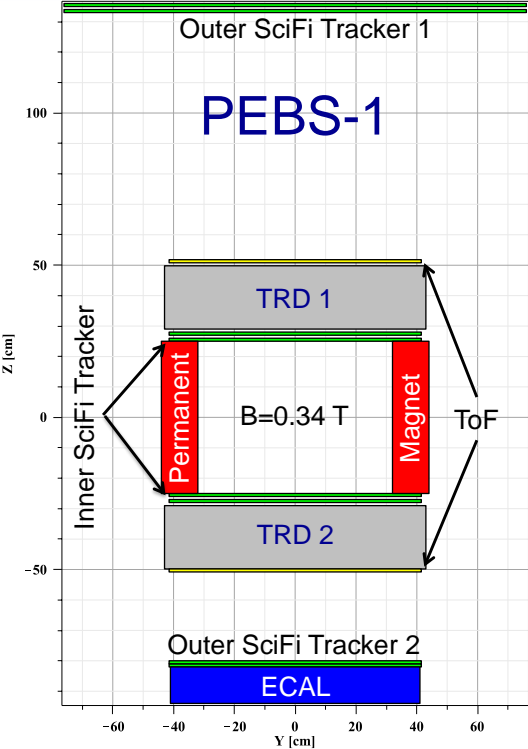
- 20 mm fibre fleece
- Ø 6 mm straw tubes filled with Xe/CO₂ 80%/20%
- HV 1450 V



**production of 128 TRD modules
completed**

TRD Qualification & Performance





PEBS ECAL

sandwich calorimeter for 3D-shower reconstruction

20 layers in total:

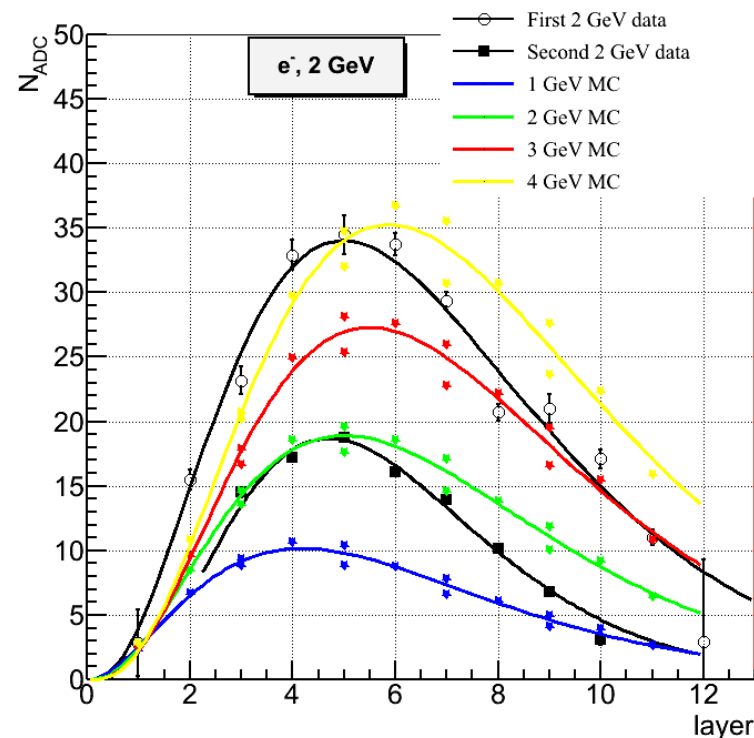
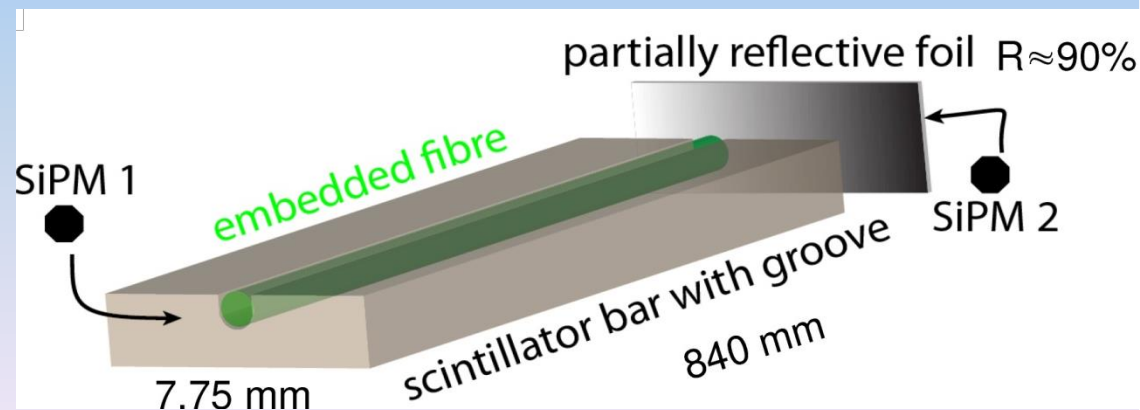
2 mm tungsten +

2 mm scintillator bar + WLS fiber +

2 SiPMs

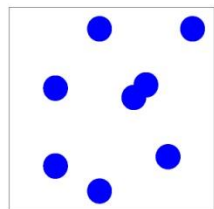
11.4 X_0 in total

Test-Beam 2009

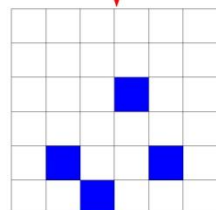


ECAL dynamic range

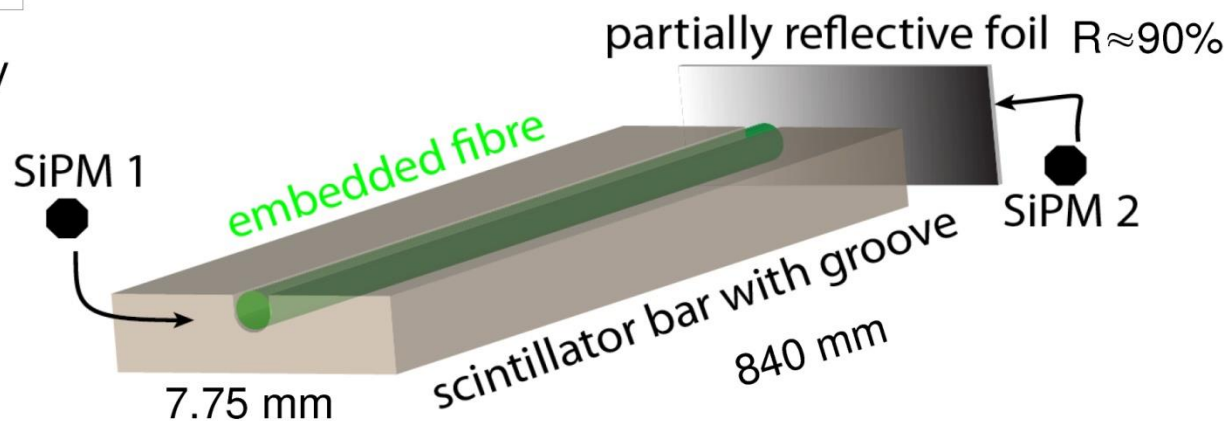
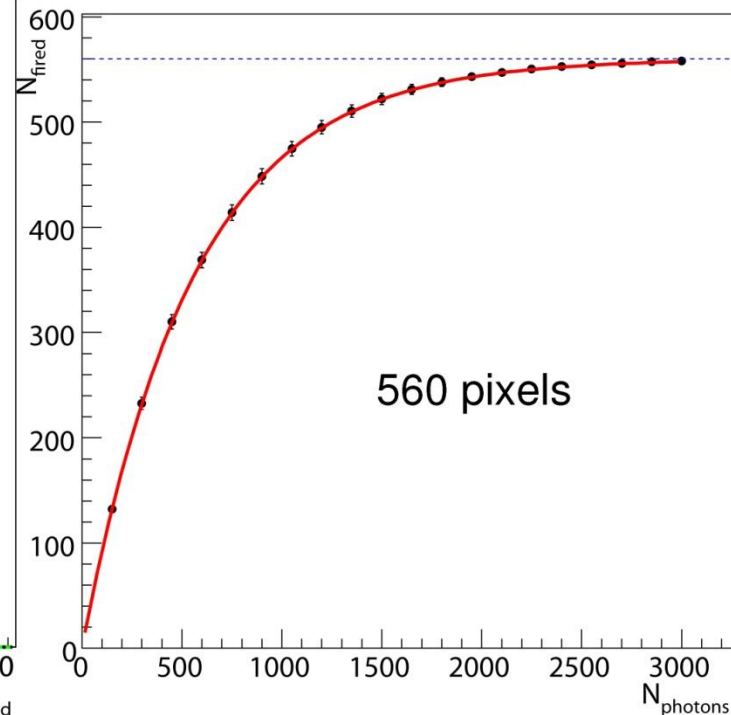
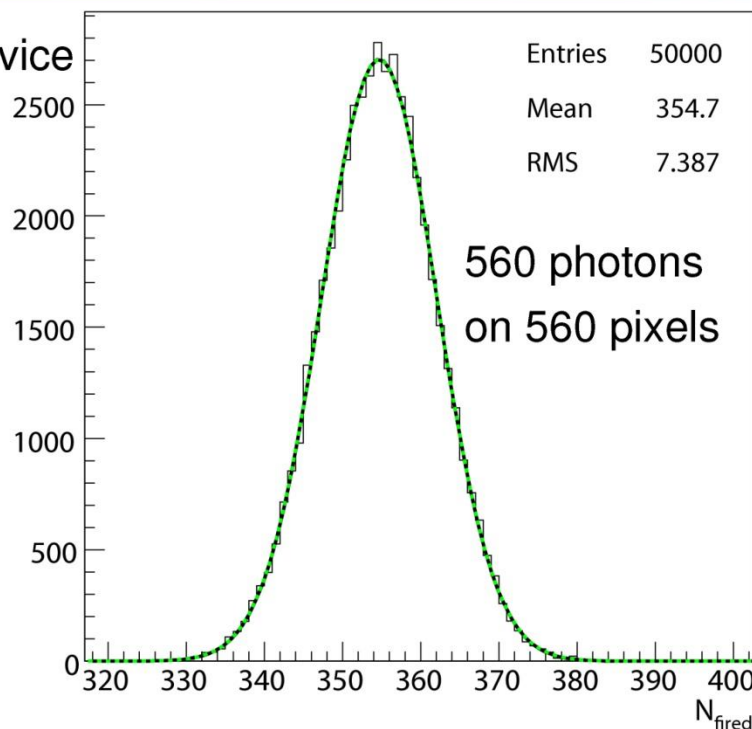
SiPM is pixellated device



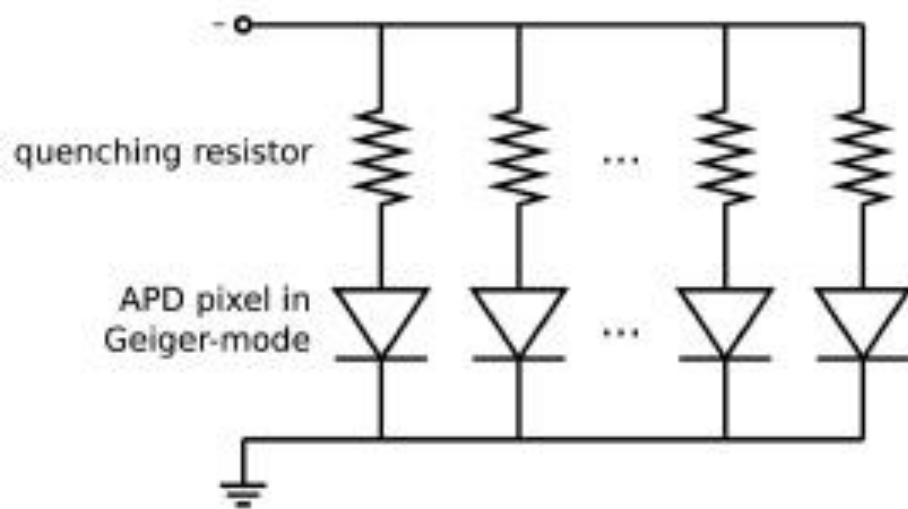
photons at
fibre end



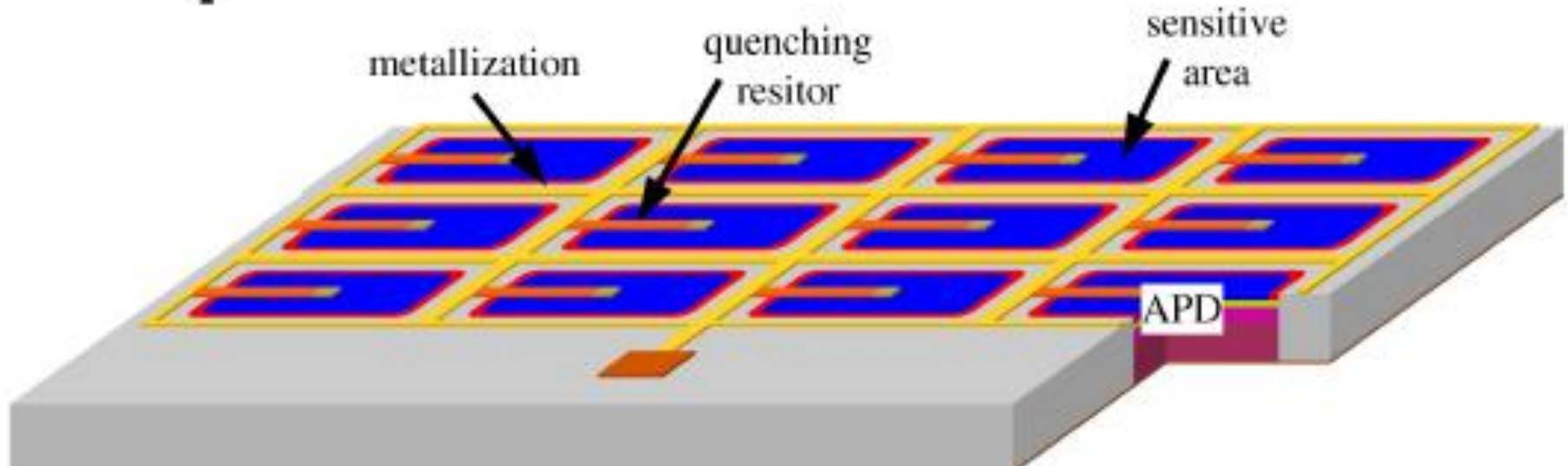
pixel array



Silicon Photomultipliers (Geiger-mode APD)

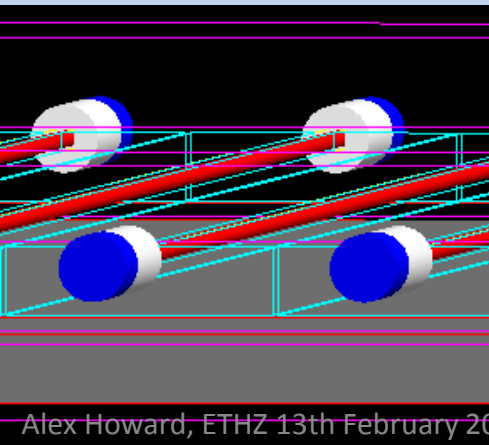
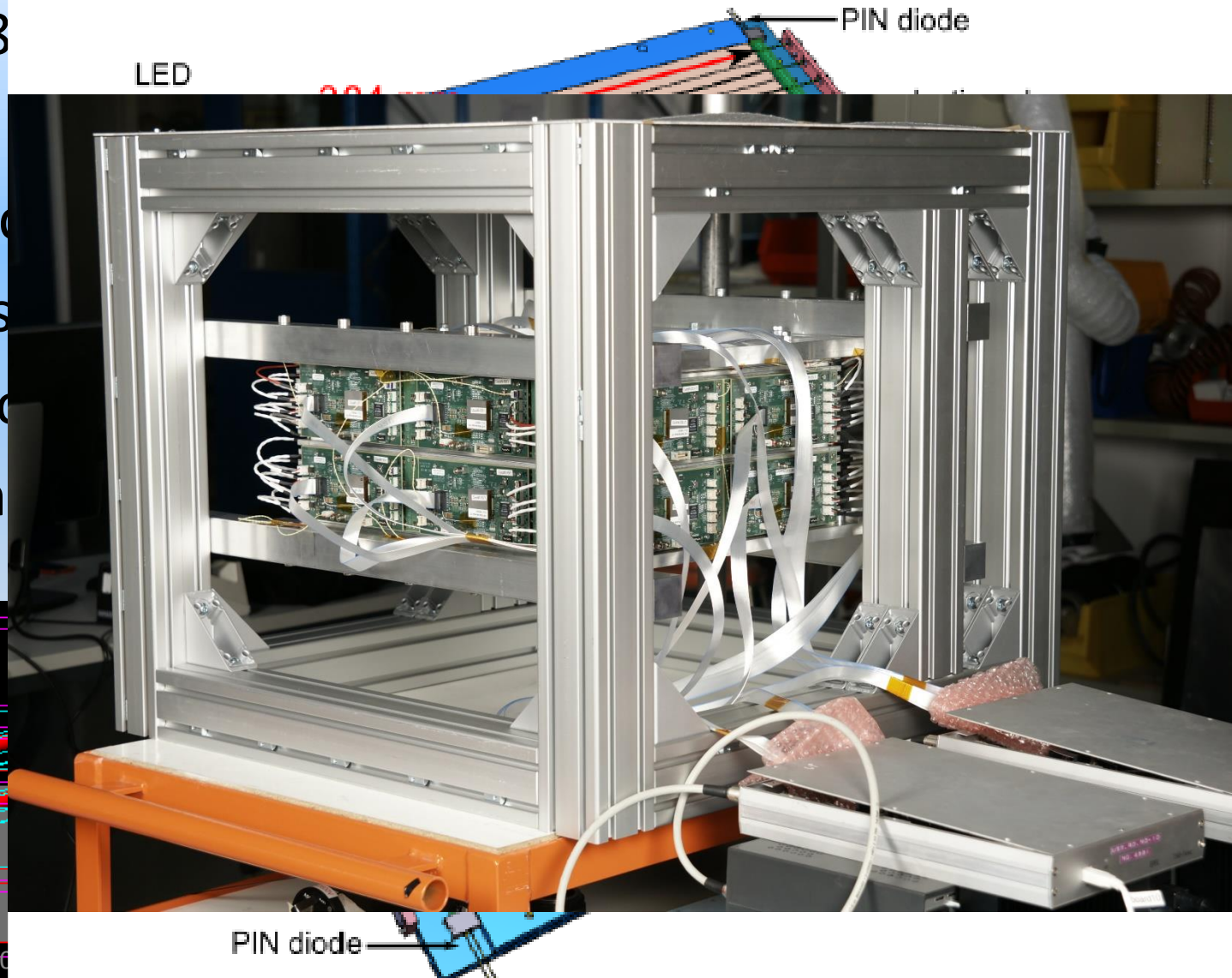


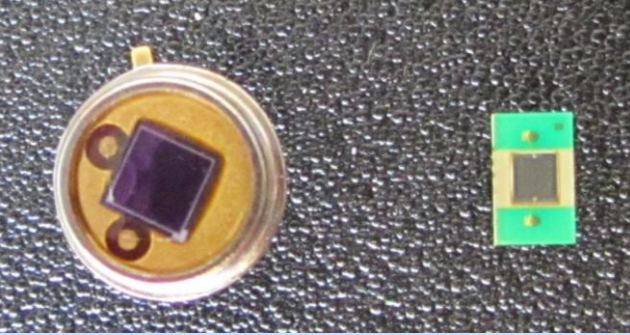
- avalanche photo diodes (APD) operated in Geiger mode
- internal gain $\sim 10^6$, compact in dimension, insensitive to magnetic fields, low bias voltage (< 100 V)
- noise is an issue



ECAL Prototype Testbeam 2012

- New ECAL being constructed
 - Larger (38
 - 16 layers
 - Single elec
 - Two types
 - (Test of slo
 - 22 million





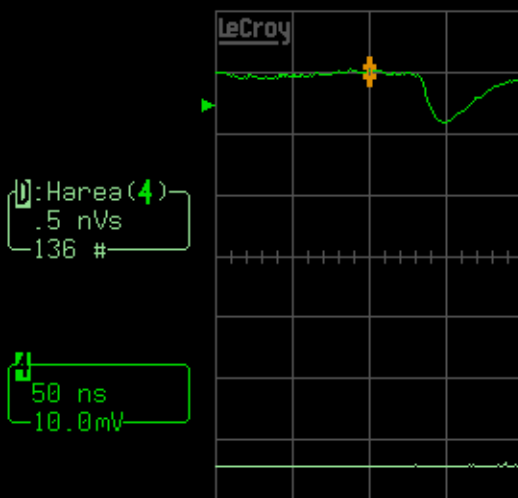
Two Type of SiPMs

- Each end of the wavelength shifting fibre is read-out with different SiPMs
- One side is “standard” MPPC from Hamamatsu (1500 pixels/mm^2) - $G = 5 \times 10^5$
- Second side is using Zecotek MAPDs - $G = 5 \times 10^4$
 - New device
 - $15000 \text{ pixels/mm}^2$
 - Much higher saturation, lower gain
 - Unfortunately also higher noise

Hamamatsu MPPC

- Very clean signals
- $G = 5 \times 10^5$

29-Apr-11
10:21:44



1 sweeps: av

pkpk(4)
mean(4)
sdev(4)
rms(4)
ampl(4)

50 ns

BWL

ampl(4)

8.0 mV

8.0

8.0

1 10 mV AC

2 trig only

3 trig only

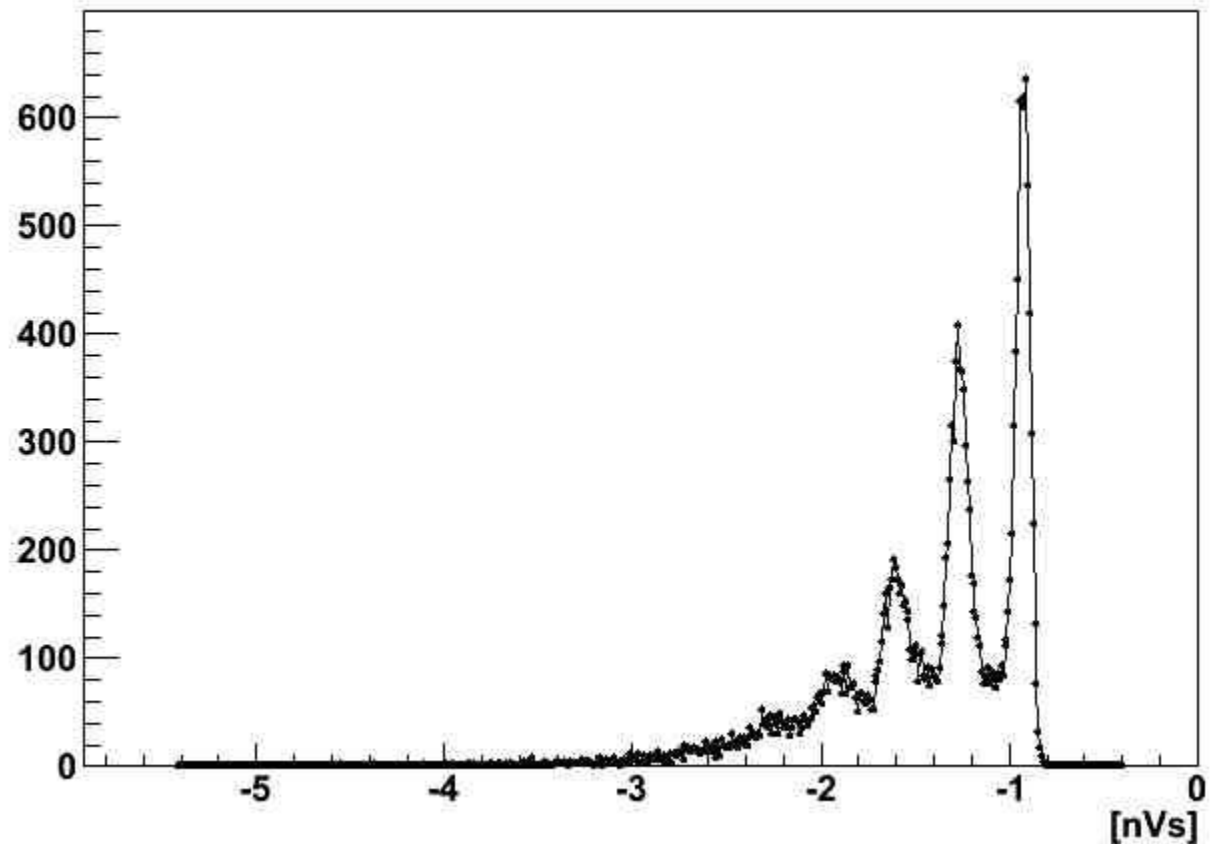
4 Alex Wood, ETHZ 13th February 2014

4 DC -7.8 mV

Positron Electron Balloon Spectrometer STOPPED

4 GS/s

MPPC_DC/STD002.TXT

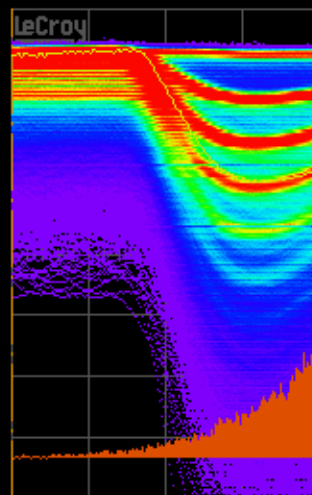


MPPC – LED pulsing

8-Apr-11
13:25:54

1
10 ns
10.0mV
68055 swps

2
Area(1)
.5 nVs
122 #
←0%→0%
in 68051



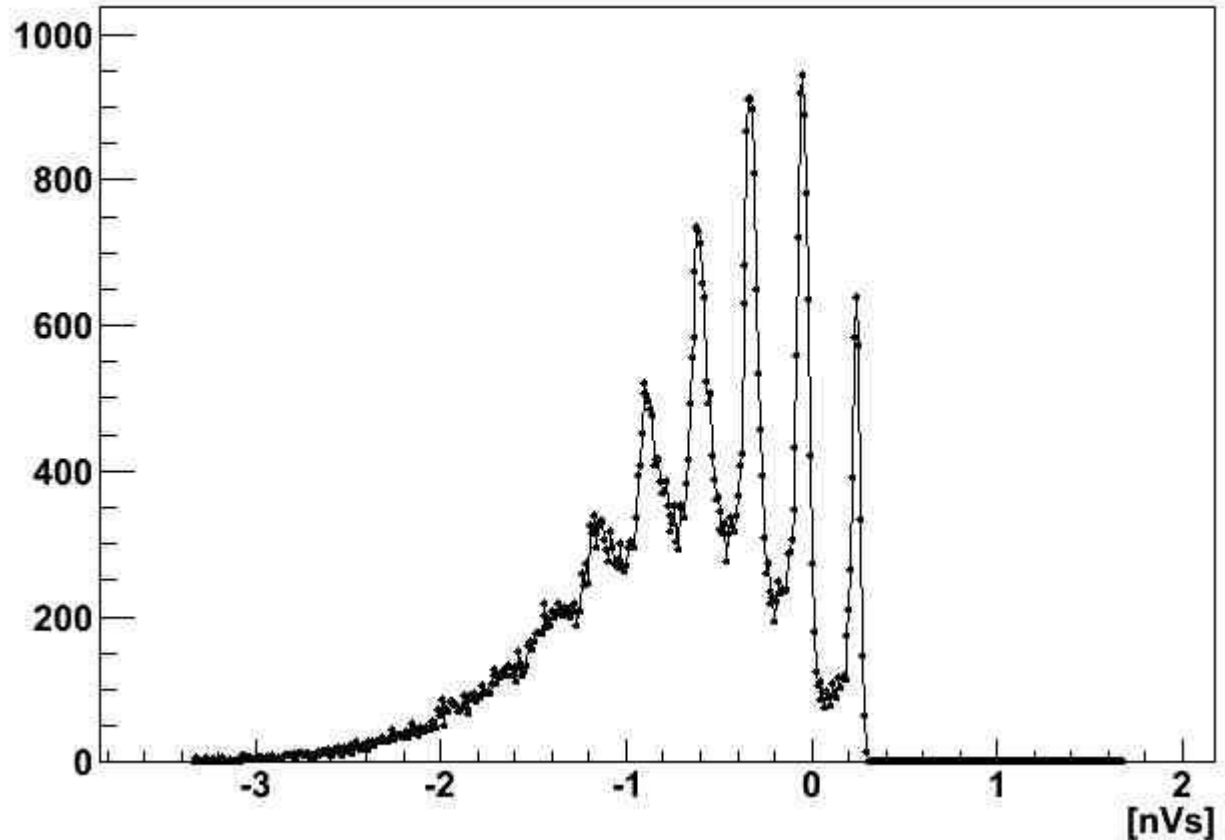
68055 sweeps:

pkpk(1)
mean(1)
sdev(1)
rms(1)
ampl(1)

10 ns BWL

1 10 mV AC
2 trig only
3 20 mV 500
4 Alex Howard, ETHZ 13th February 2011

MPPC_LED/04_Integral_Hama_LEDtrg.txt.TXT



9.88mV	1.23	49.85	6.69
17.9mV	1.2	73.7	11.1

Card Flpy HDD

4 GS/s

Store to Flpy

Size 1440K

Free 1034K

Directory

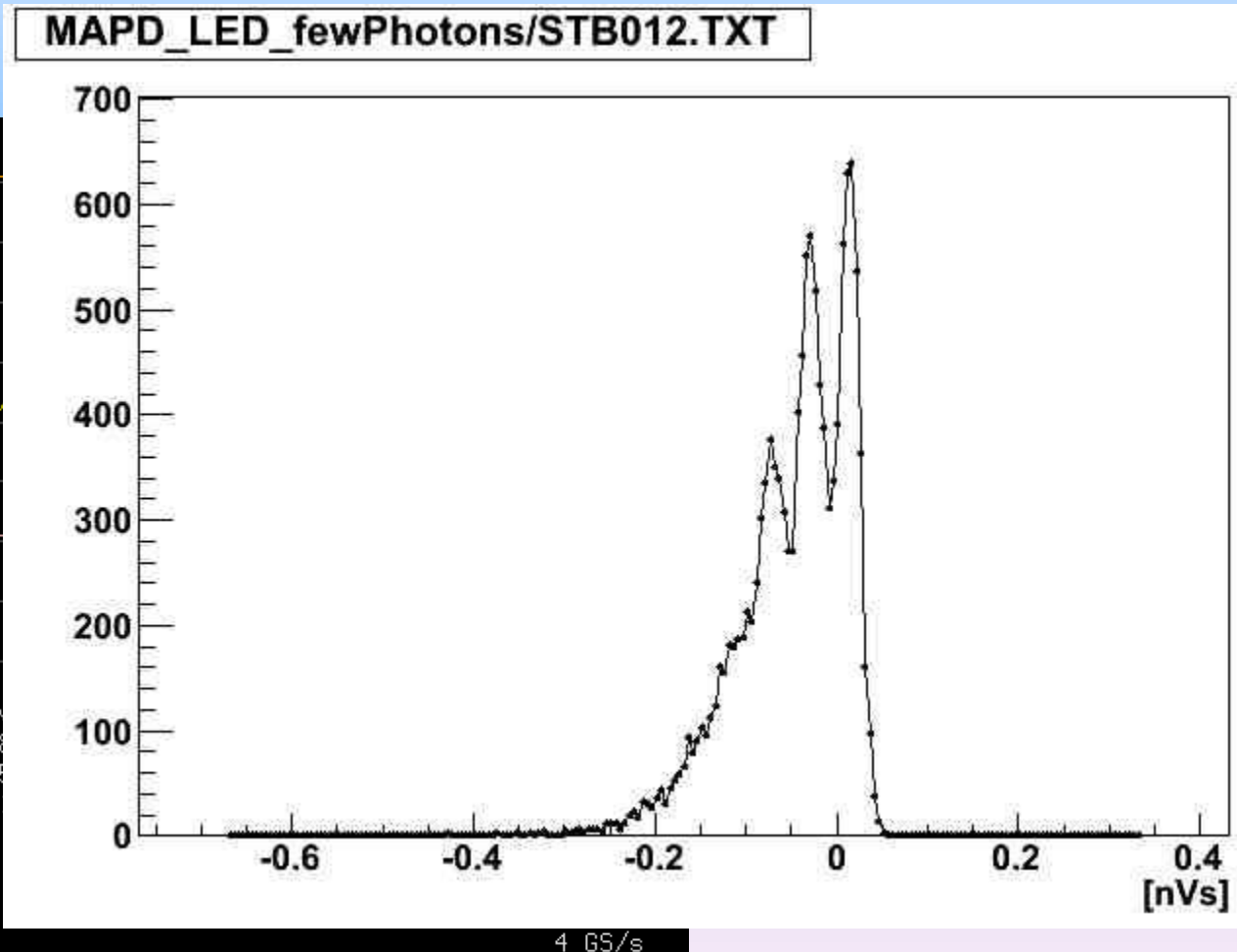
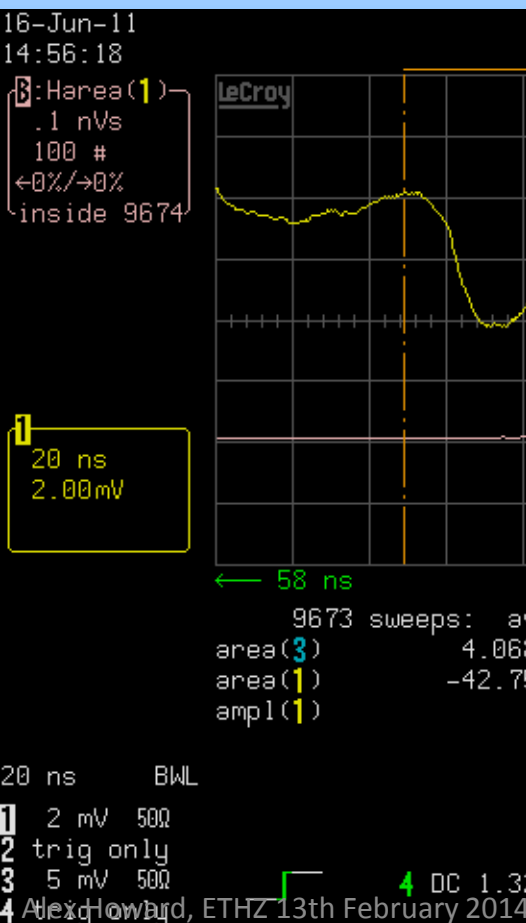
LECRON

Positron Electron Balloon Spectrometer

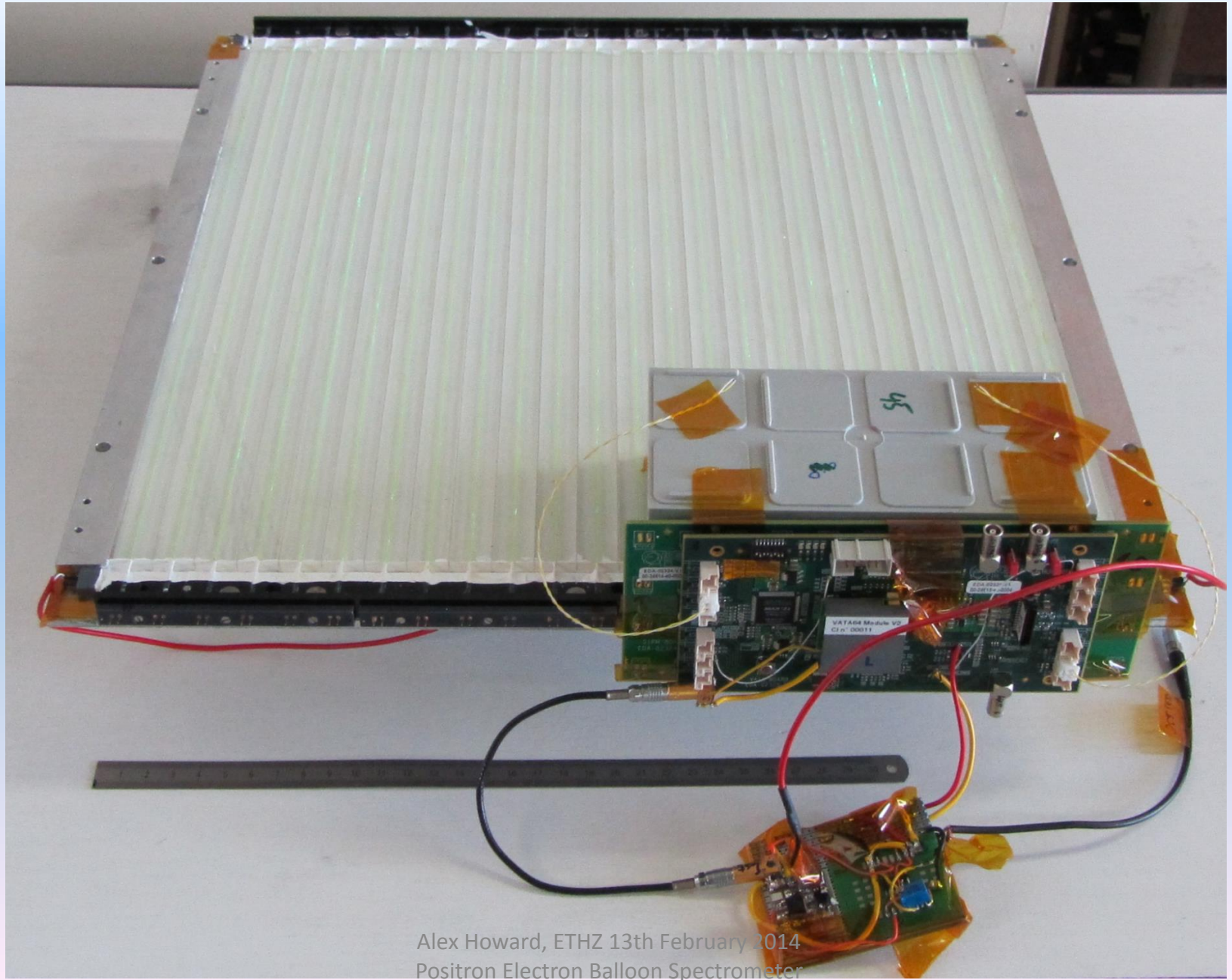
NORMAL

Zecotek MAPDs

- Noisier, Requires LED to see single photons
- $G = 5 \times 10^4$



Cosmic Muon Test

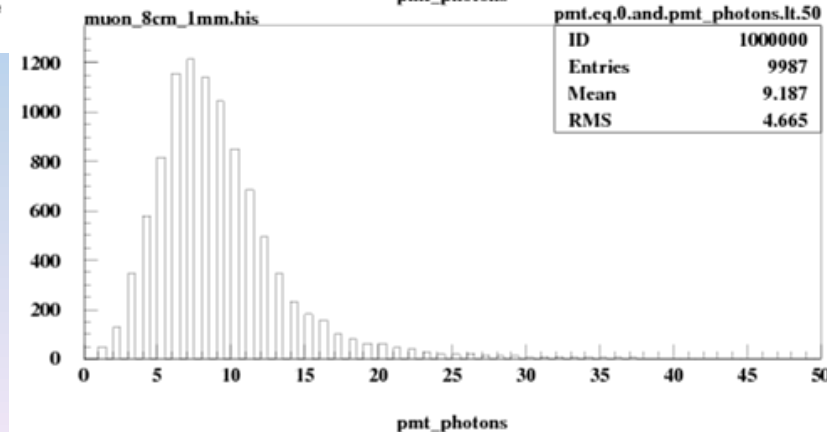
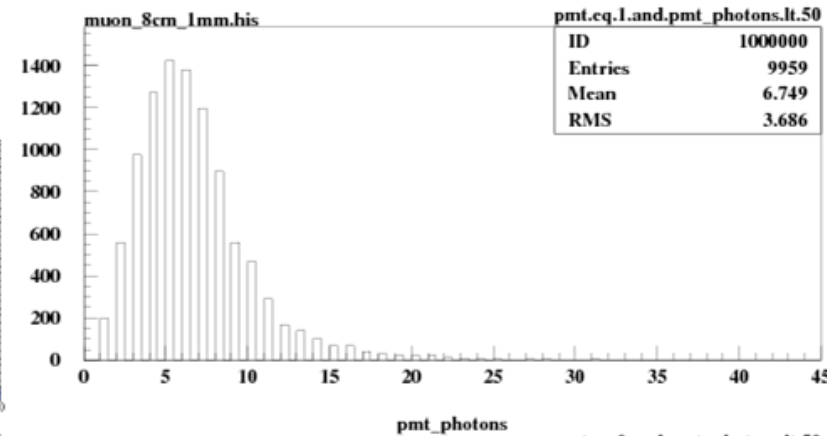
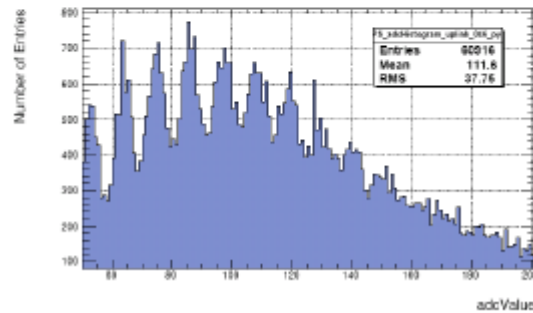
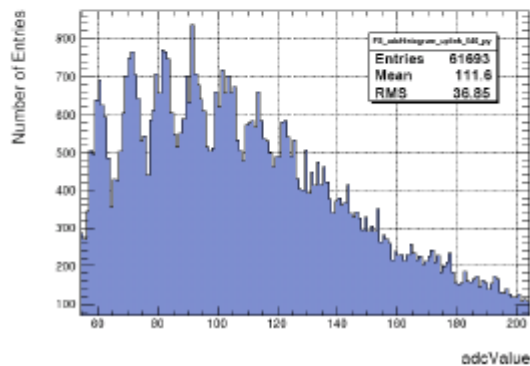


Alex Howard, ETHZ 13th February 2014
Positron Electron Balloon Spectrometer

Muon (MIP) Response

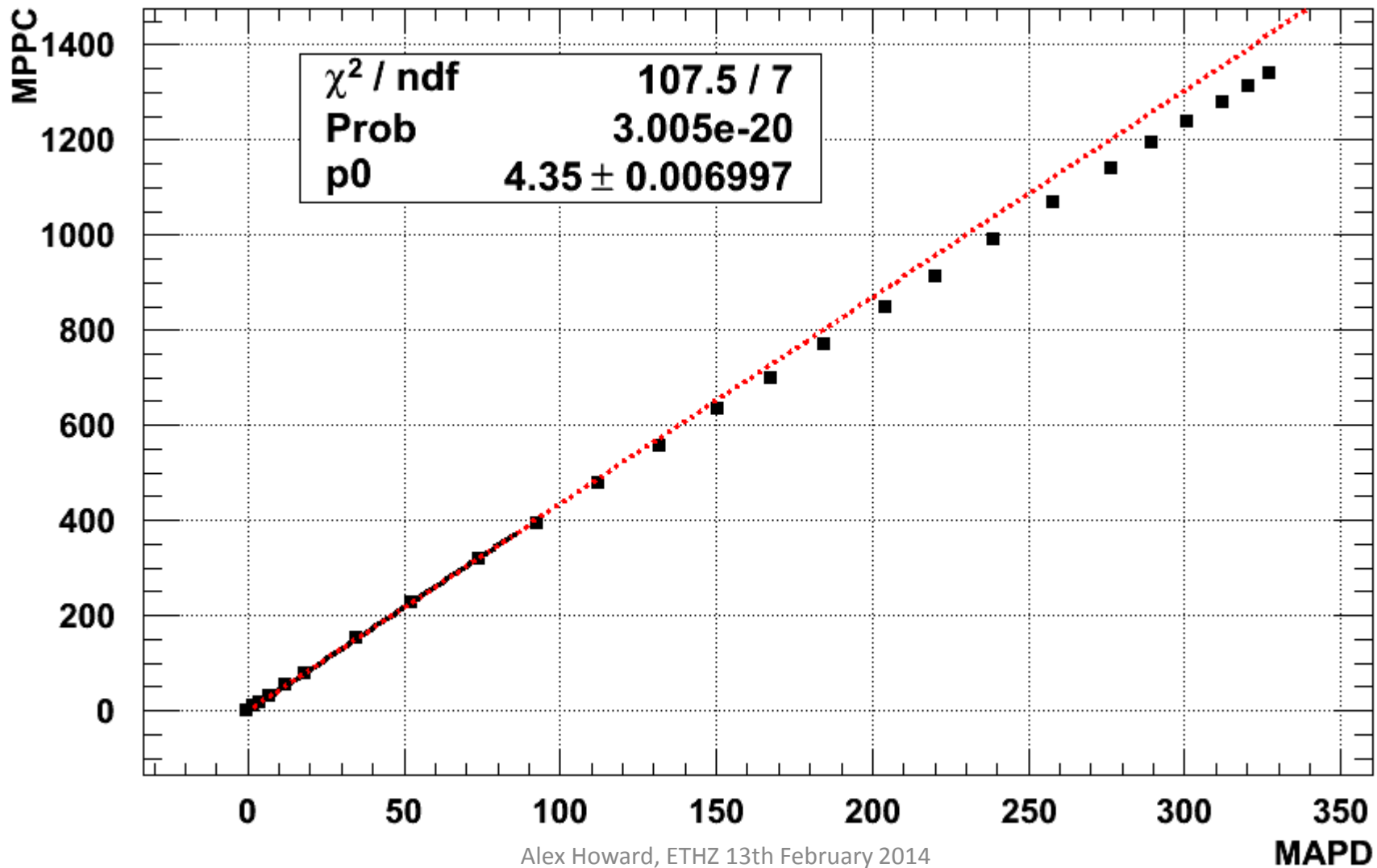
Run 23.12. 1M

Ch13 is opposite Ch8, gain is
10ADC/PE, max @9PE



MPPC vs. MAPD

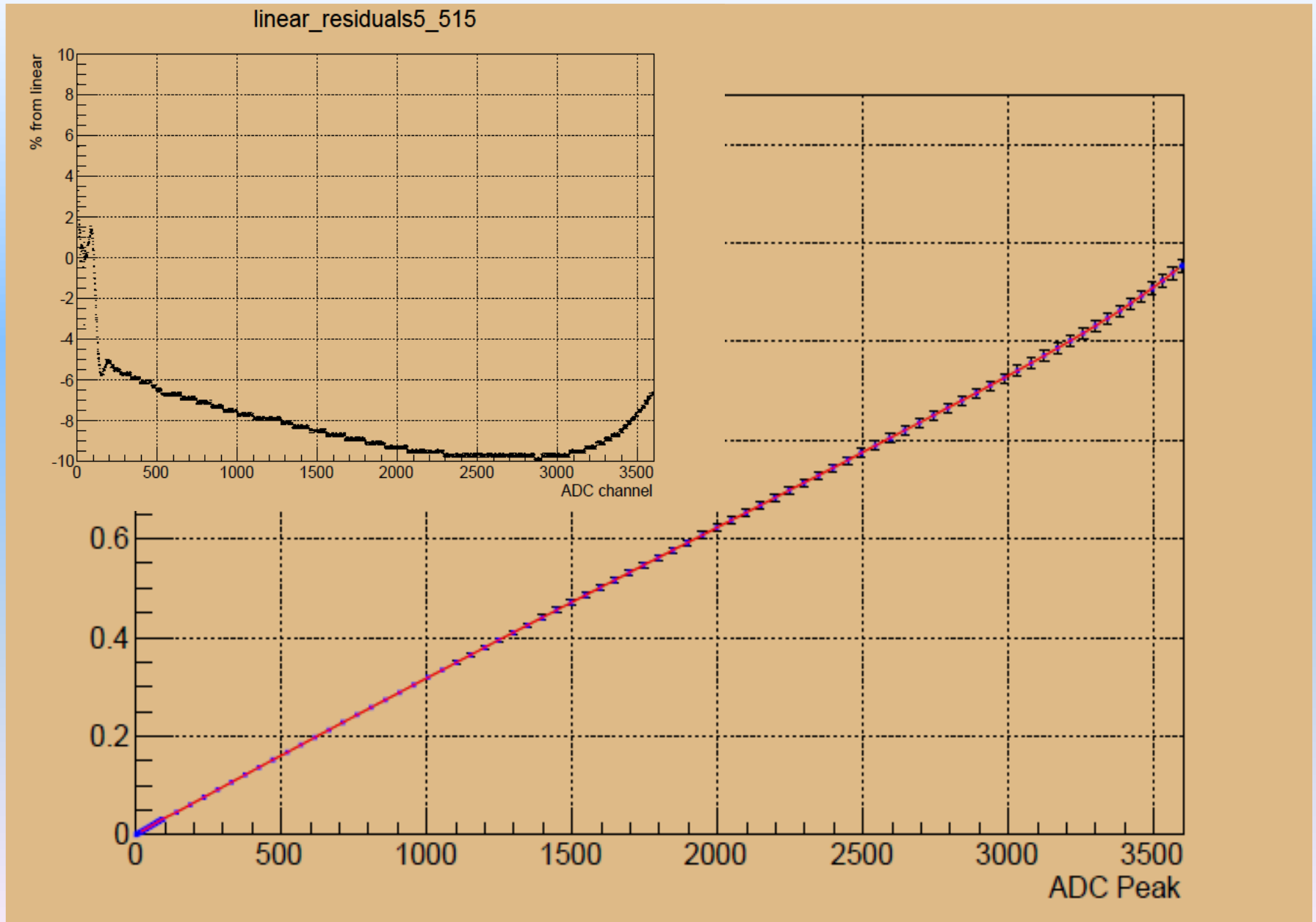
- MAPD does not saturate compared to MPPC



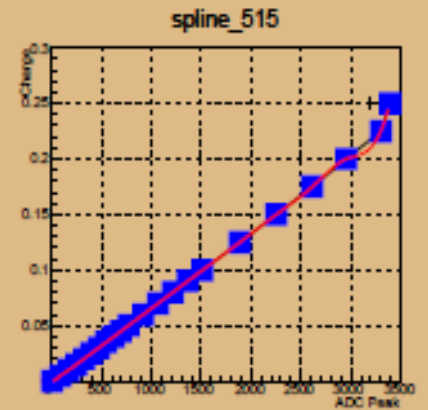
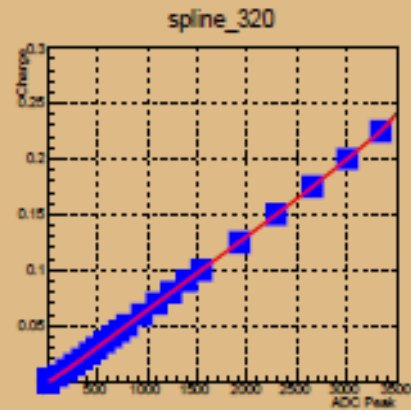
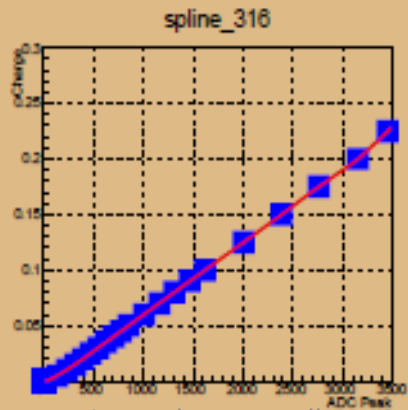
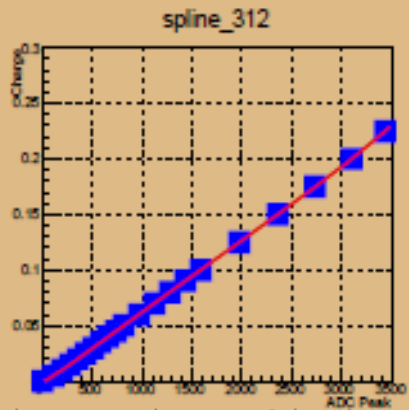
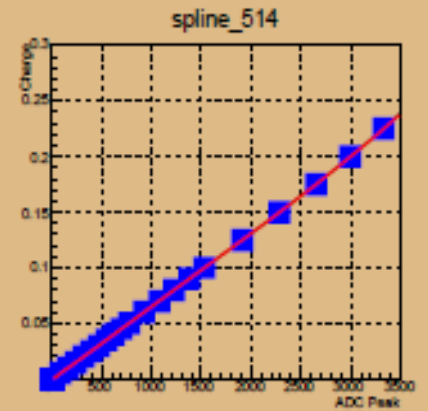
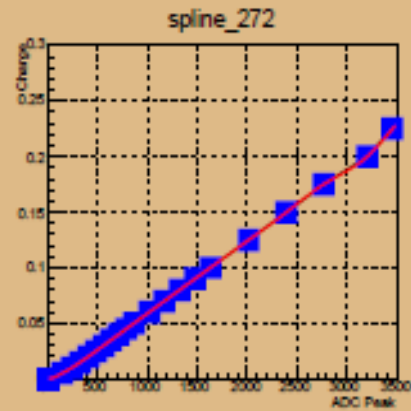
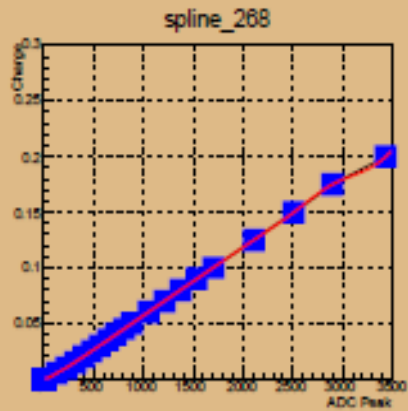
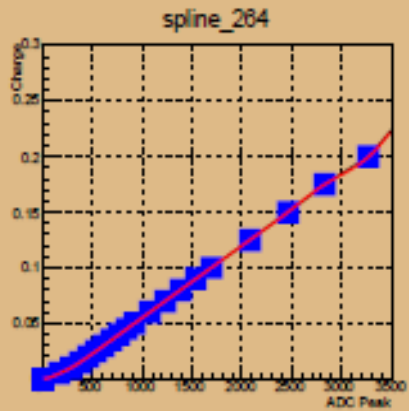
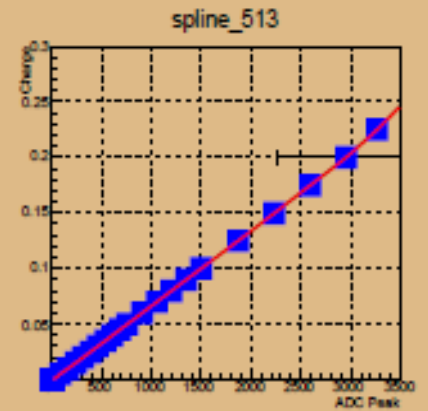
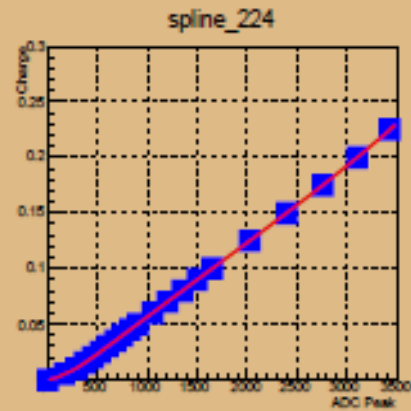
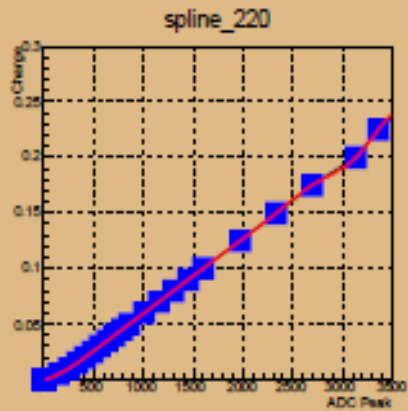
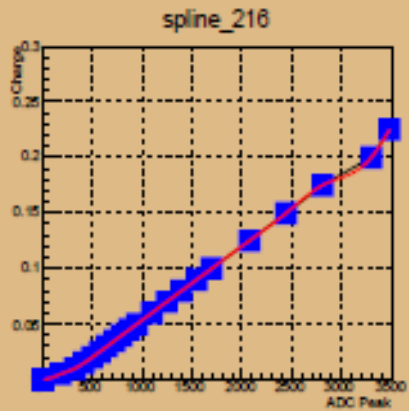
Amplifier non-linearity

- Unfortunately during the testbeam we found the amplifier had significant non-linearity
- Different slope at low amplitude and transition, with some channels chaotic
- Required precise charge injection and calibration across the full dynamic range
- Analysis ongoing, so only un-corrected data will be presented → worse energy resolution
- Shower shape, topology distorted
- Rejection factors need more work and M/C

Amplifier Calibration



Sample Channels



Testbeam Energy Measurement – MPPC and Low Energy (0.5 GeV, 2.5 GeV)

Use the longitudinal profile of EM-showers to be **robust to leakage**

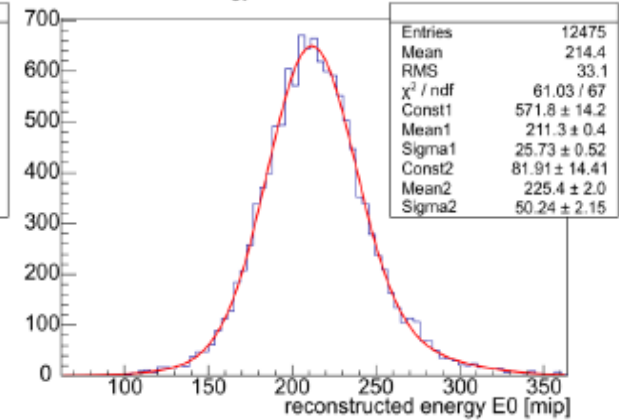
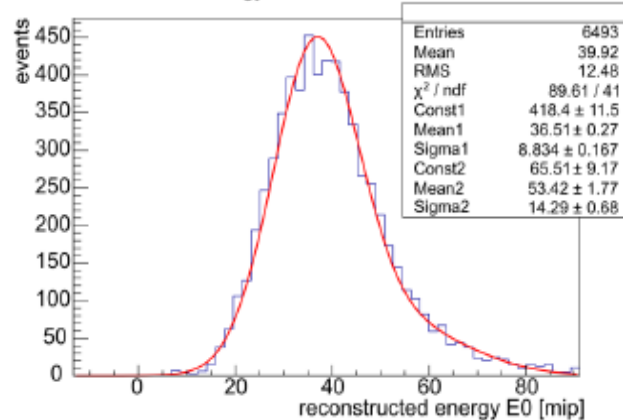
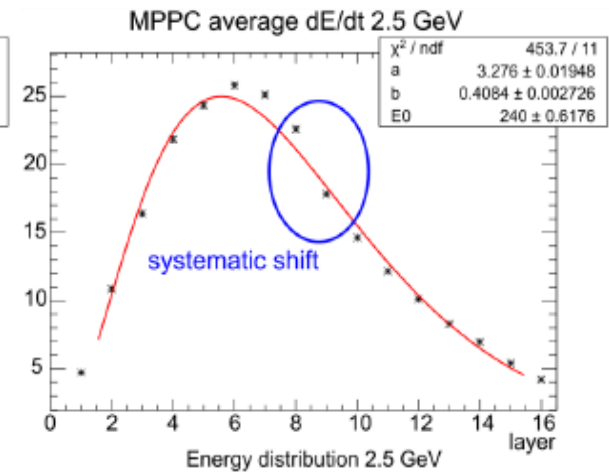
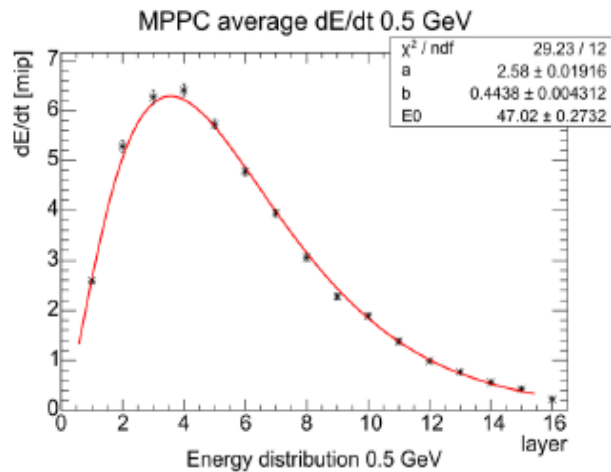
Need Monte Carlo to use **last layer** => compensate for **no backscattering**

$$\frac{dE}{dt} = E_0 b \frac{(bt)^{a-1} e^{-bt}}{\Gamma(a)}$$

Systematic shift is a first sign of **amplifier non-linearity**

Double Gaussian energy distribution

Asymmetry at low energies due to **poor constraints** on E_0
=> must use 1st layer

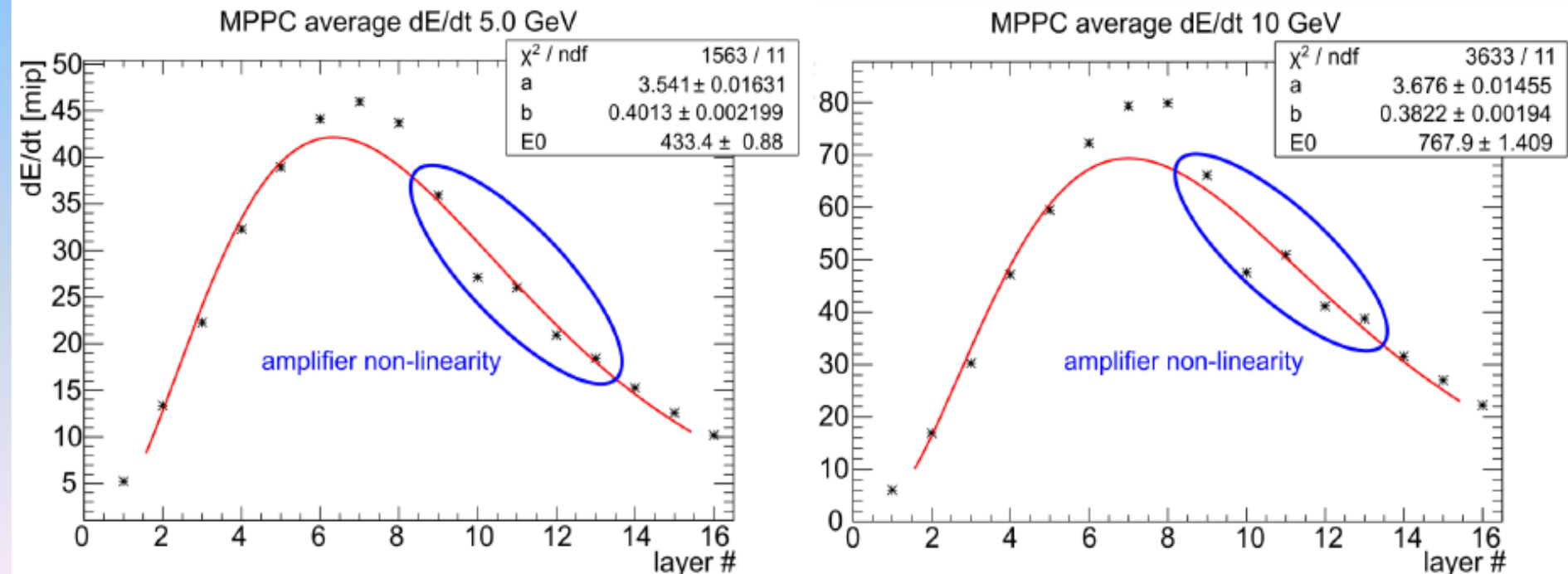


Amplifier Non-Linearity

Fluctuations are **not due to calibration** because it should produce a constant ratio

Layers 1-8 have a better performance

Previously known that non-linearity is **more severe for layers 9-16**



Energy Resolution

- $6\% + 17\%/ \sqrt{E}$ (MPPC) and $6\% + 20\%/ \sqrt{E}$ (MAPD)

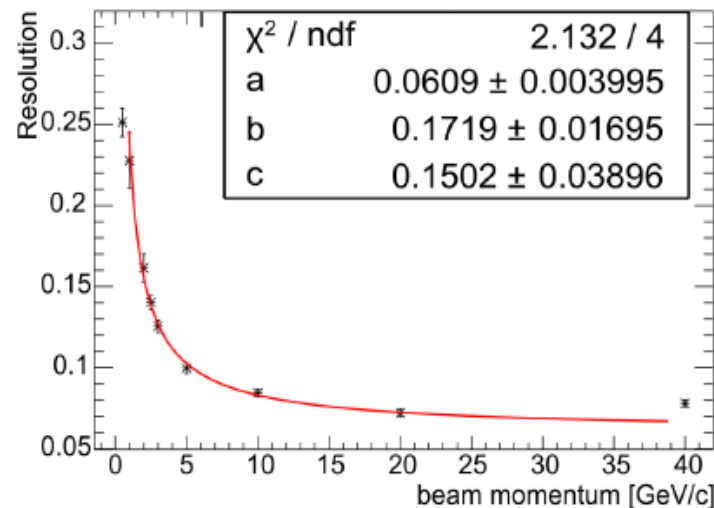
Positive results:

- Dual SiPM readout allows measurement of 0.5-180 GeV positrons => **no saturation**
- Use **MPPC** at low energies => **good resolution**
- Based on MPPC results the **calibration method works**
- At high energies measurements are typically limited by particle flux! => **large acceptance**

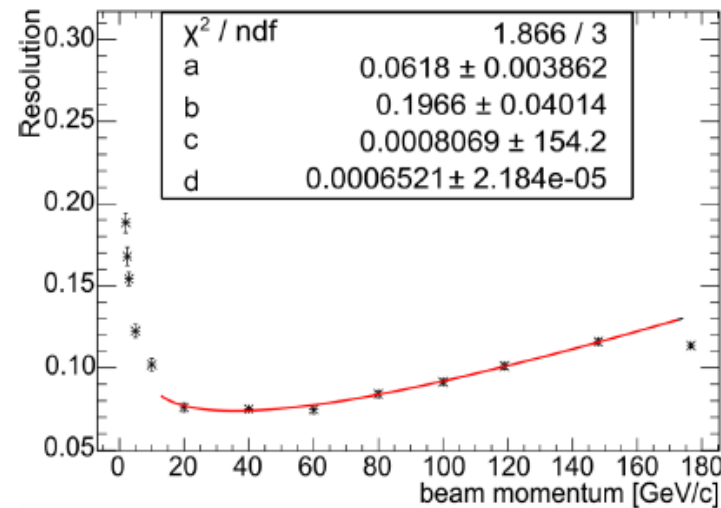
Needs improvement:

- Need **amplifier and temperature calibration** => improve resolution of both SiPMs
- **MAPDs** working at SPS but **broken at PS** may need a different calibration method
- WLS fiber has **large variations in light capture efficiency** => **revise gluing technique**

MPPC resolution



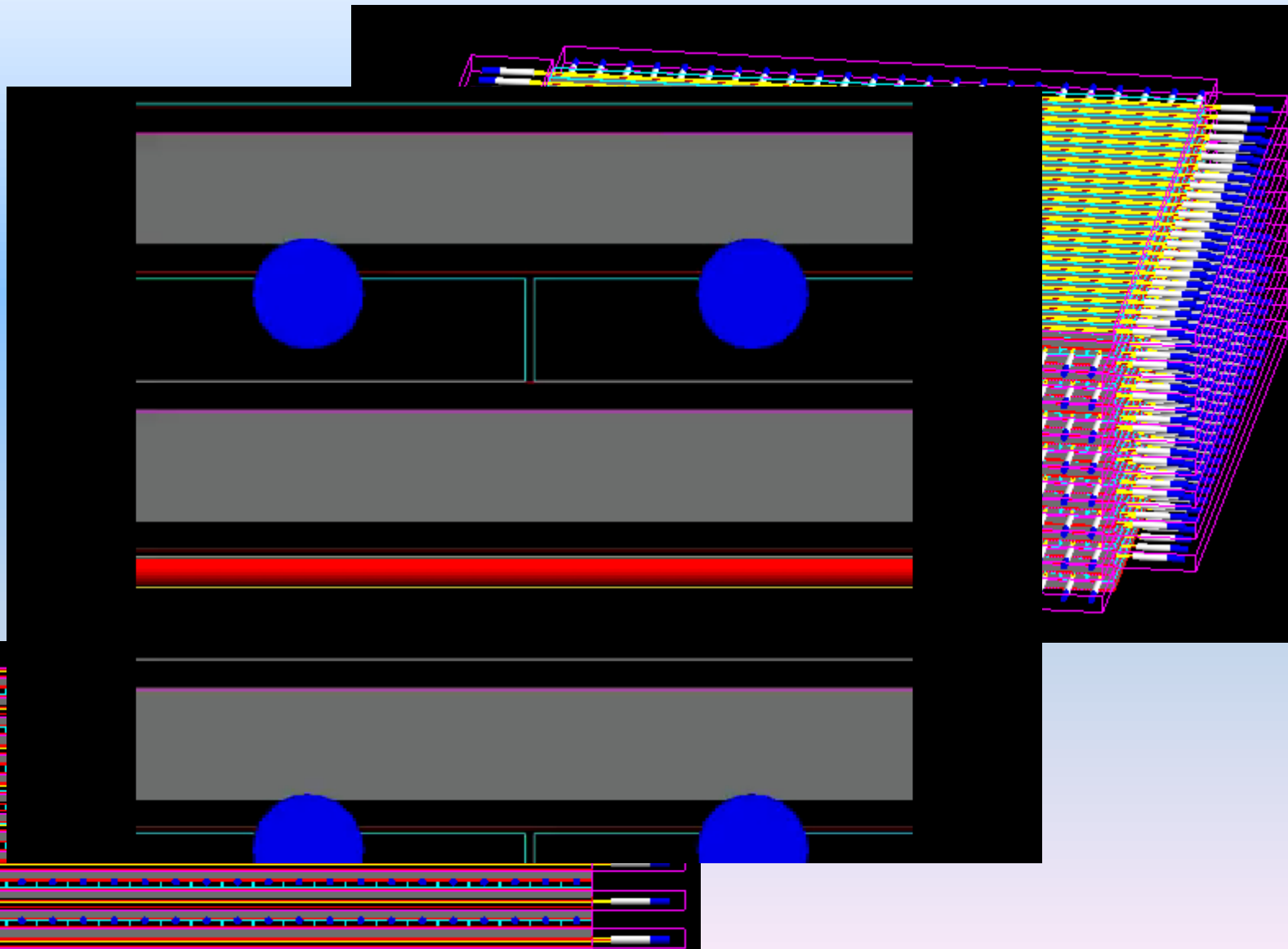
MAPD resolution



Proton Rejection Factors

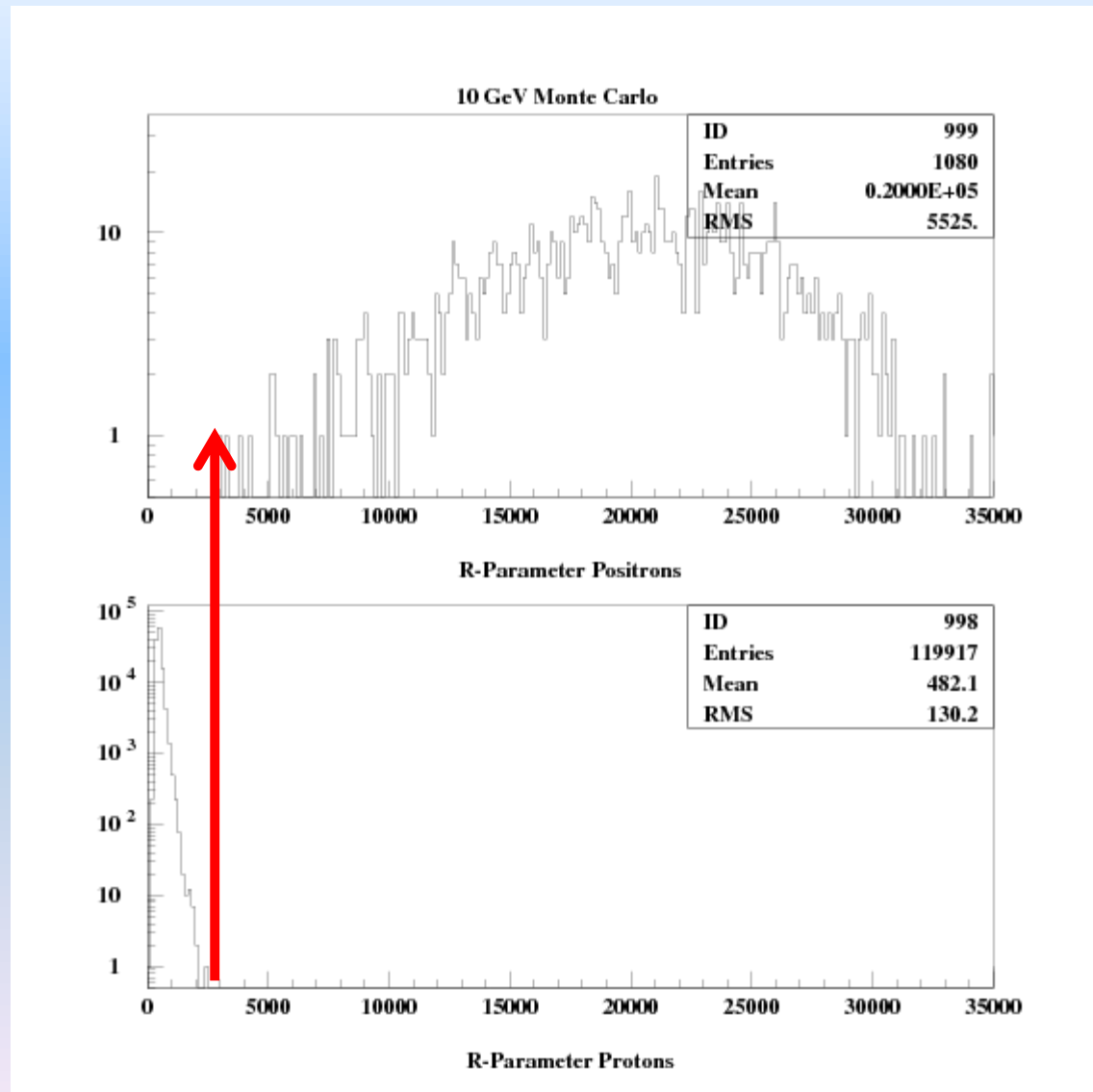
- R-parameter:
 $\sum (\text{bar_signal} \times \text{layer})$
within two Moliere radii and up to the shower maximum
- PAMELA used this approach
- AMS use a Boosted Decision Tree
- We have been exploring various techniques
 - Difficult to be transparent as any higher energy proton might generate a fake signal
 - Proton flux is up to a million times larger at high energy

Geant4 – Full ECAL Prototype



M/C Positrons vs. Protons

- Monte Carlo of test beam set-up
- 120000 protons do not give an electron-like signal
- @10 GeV
- Precise high energy studies compared to data ongoing

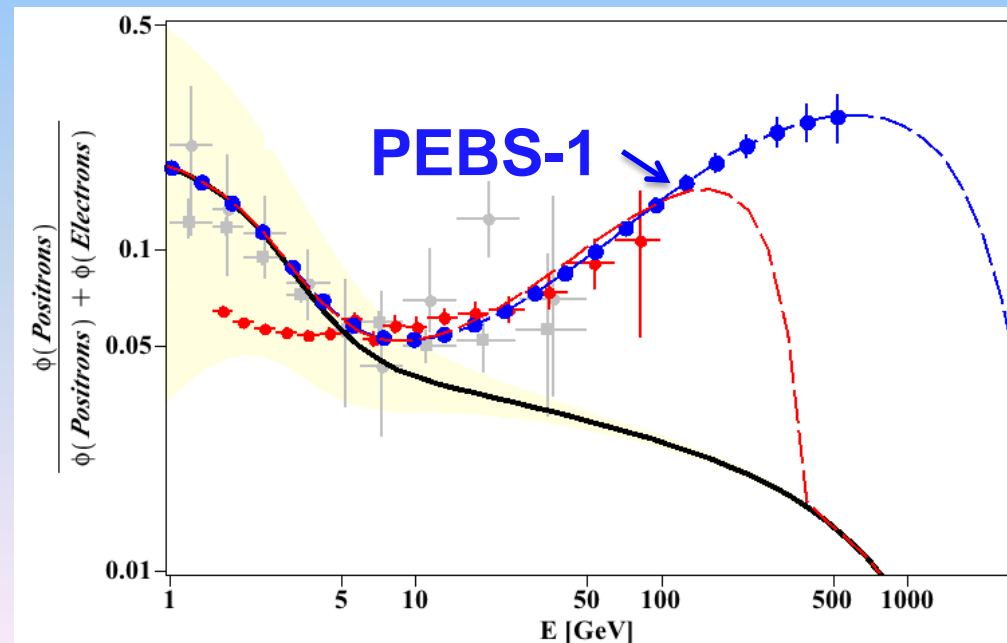
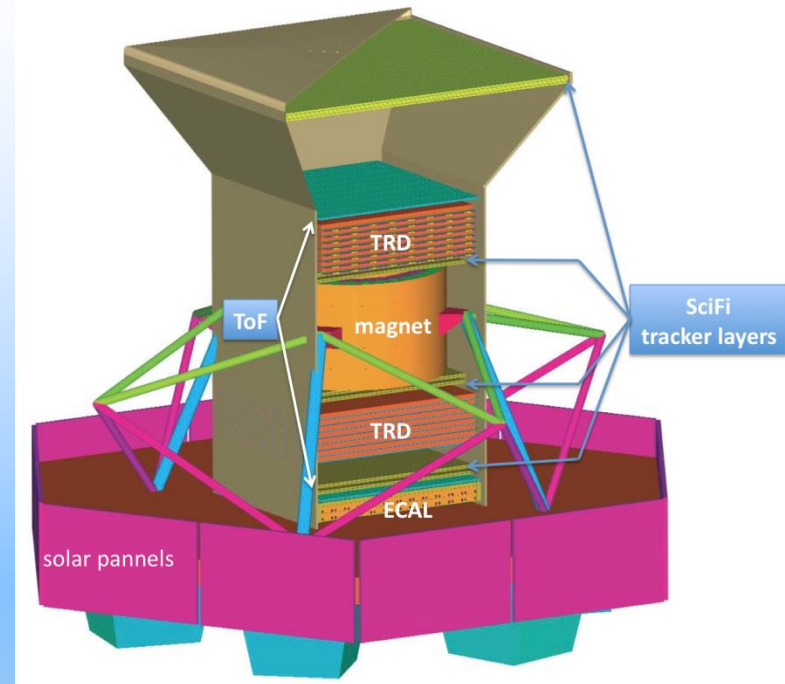


ECAL Status

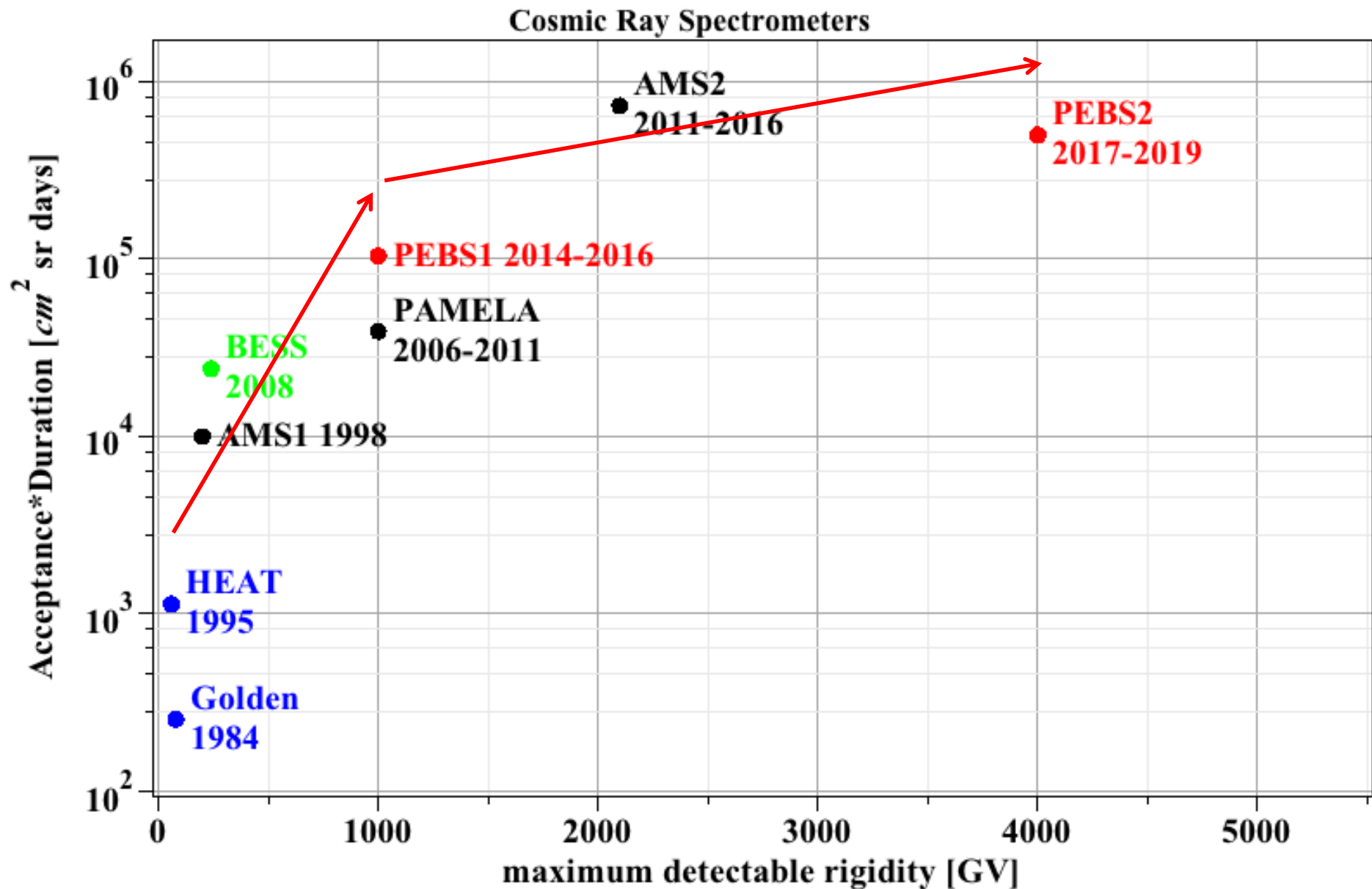
- Non-linearity of amplifier problematic, but now determined and correction implemented
- Proton rejection should exceed 10^3 (M/C)
- Energy resolution slightly below expectation:
6% + 17%/√E (MPPC) and 6% + 20%/√E (MAPD)
- Two SiPM read-out gives full dynamic range from MIPs up to several thousand without evidence of saturation!
- Would be a viable ECAL for a small scale PEBS
- Analysis still ongoing

PEBS Summary

- A dedicated balloon experiment could provide a competitive measurement of the cosmic ray electron & positron flux.
- The spectrometer is based on a scintillating fiber tracker with SiPM readout and a permanent magnet.
- The proton rejection of $\sim 10^6$ can be achieved by a combination of ToF, TRD, ECAL and Tracker.
- Key parameters:
Acceptance: $\sim 1200 \text{ cm}^2 \text{ sr}$
Weight: $\sim 2000 \text{ kg}$
Power: $\sim 900 \text{ Watt}$
- R&D Phase:
ongoing – testbeam successful...
- Construction Phase:
Detector components exist
- **First PEBS Flight: 201?**



Bigger and Better?



Physics Prospective

- PEBS **scalable design** allows a further increase in the geometric acceptance
- Able to use **superconducting** magnet
- Very high saturation threshold enables measurement of **TeV scale energies**
- Need a **long duration balloon flight**
- Balloon flight is complementary with AMS02 => **different area of the sky**
- May distinguish between pulsar and Dark Matter models!

Pulsar

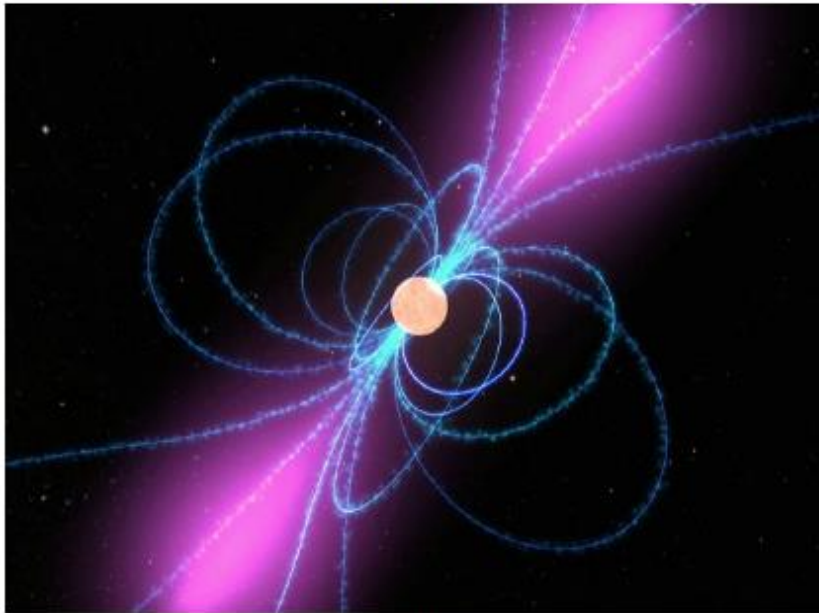


Image courtesy: artist's impression, NASA

Dark Matter

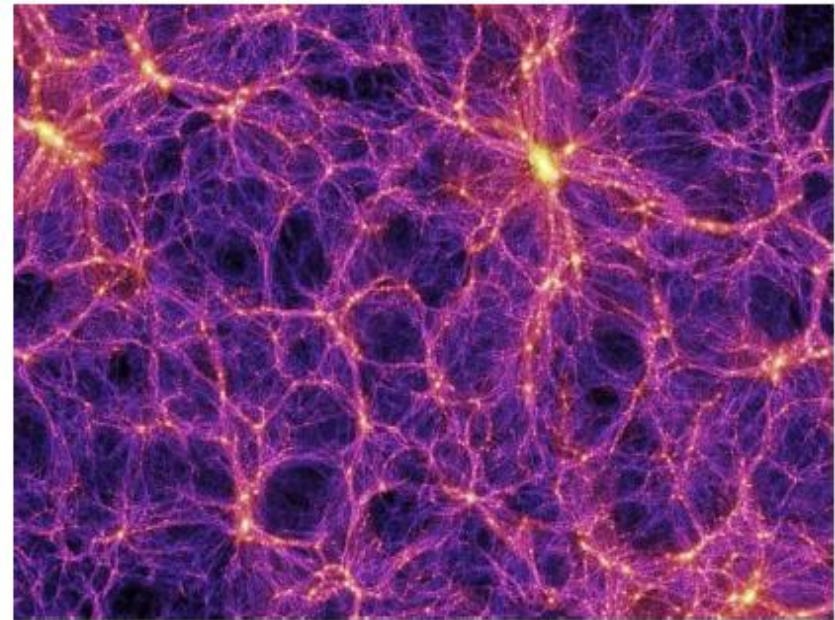


Image courtesy: Volker Springel Millennium simulation

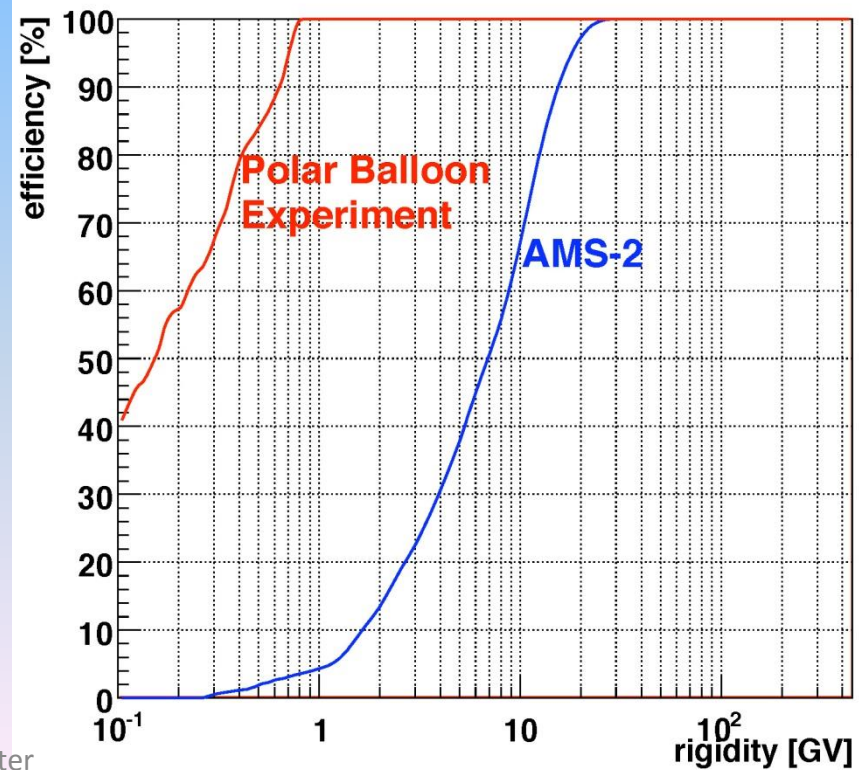
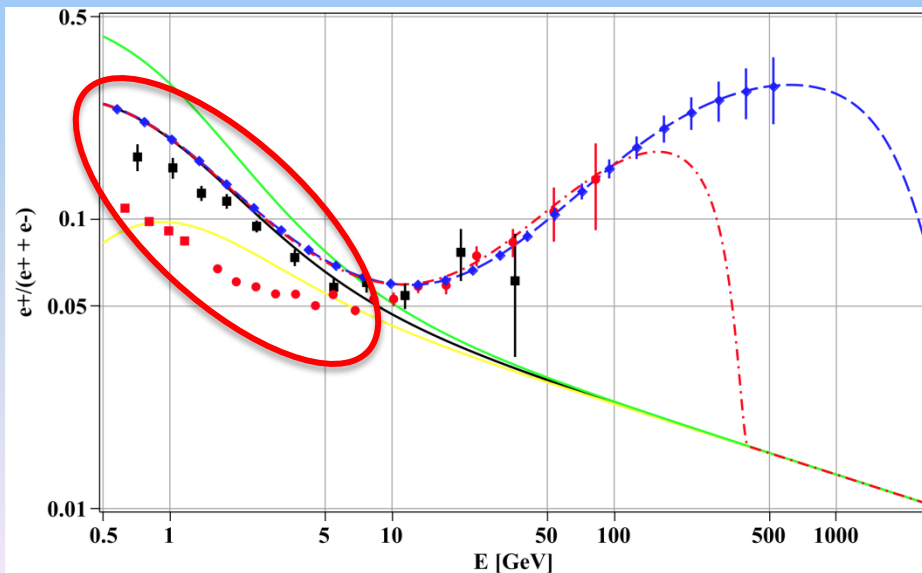
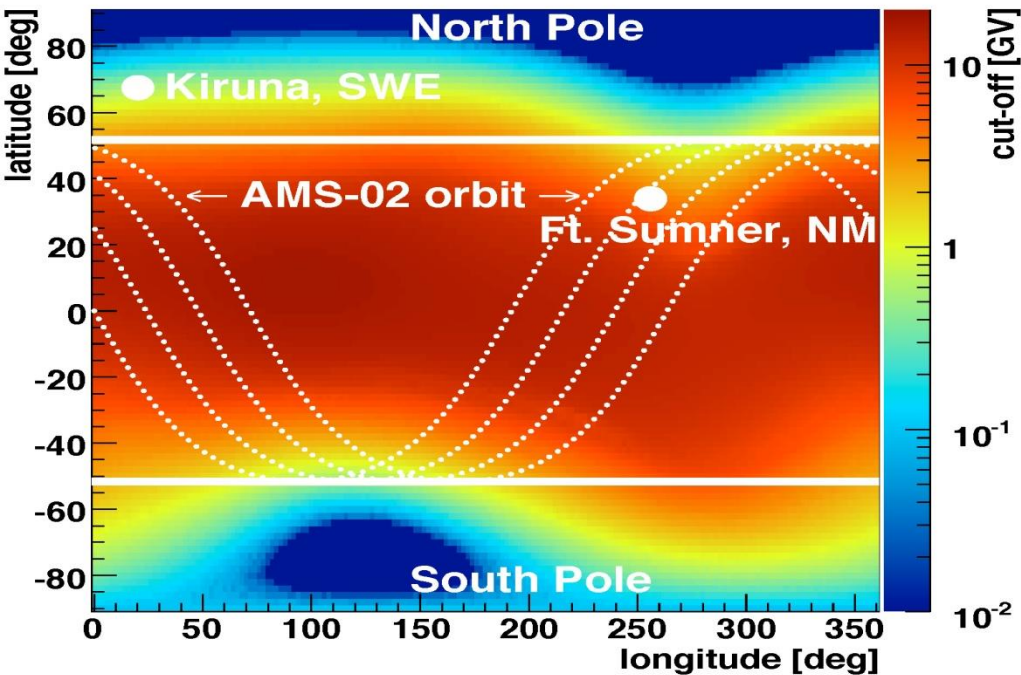
Conclusions

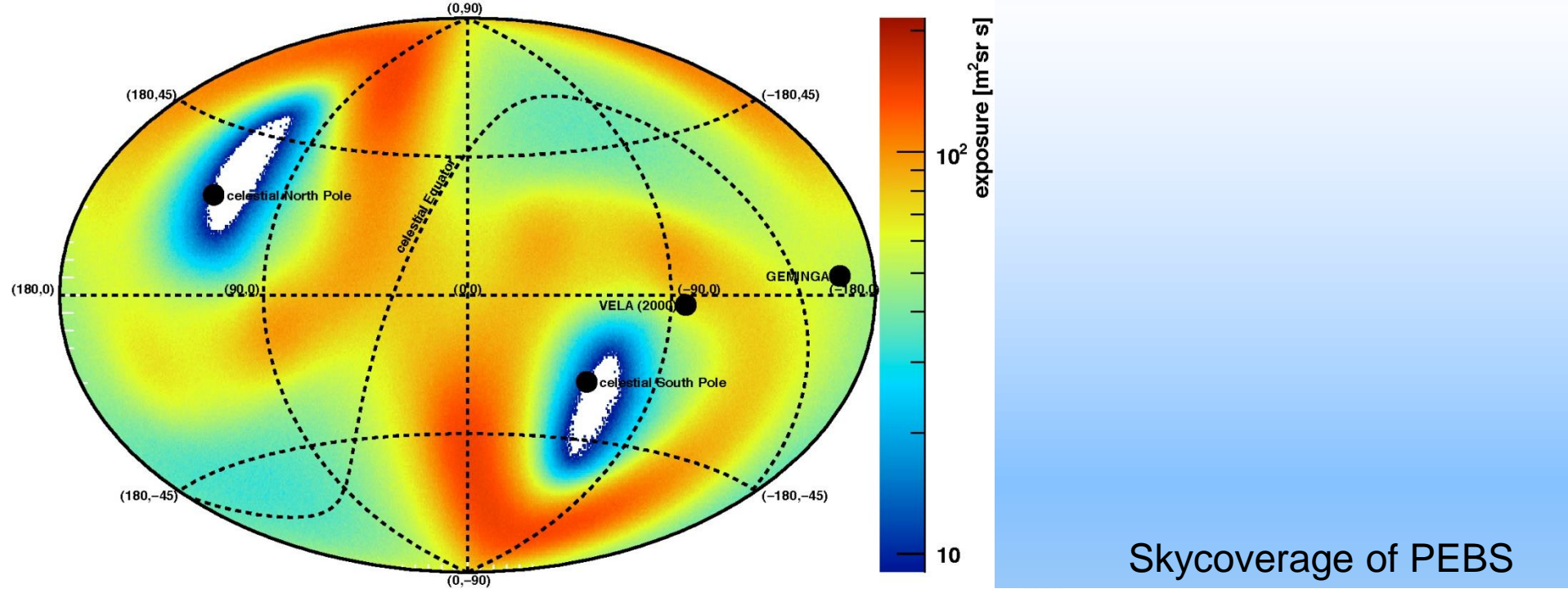
- PAMELA first measured a discrepancy in the positron fraction at high energy
- FERMI-LAT confirmed, AMS recently extended spectrum
- Dark Matter annihilation could be one explanation
- Balloon borne spectrometers offer a very good way to extend the measured spectrum up to the TeV scale
- A novel ECAL has been developed using two types of SiPMs
 - $6\% + 17\%/ \sqrt{E}$ (MPPC) and $6\% + 20\%/ \sqrt{E}$ (MAPD)
- Could be an exciting time for balloon measurements...

Thank you for your attention!

Back Up Slides

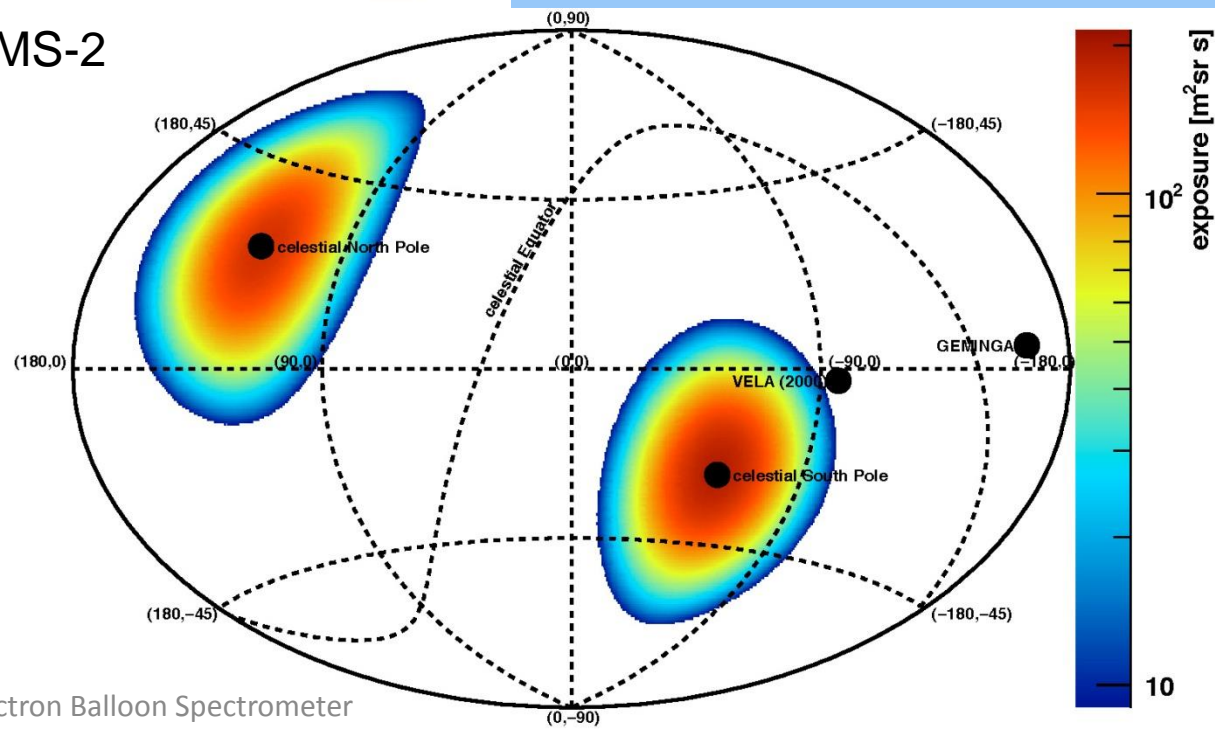
Geomagnetic-Cutoff





Skycoverage of PEBS

Skycoverage of AMS-2

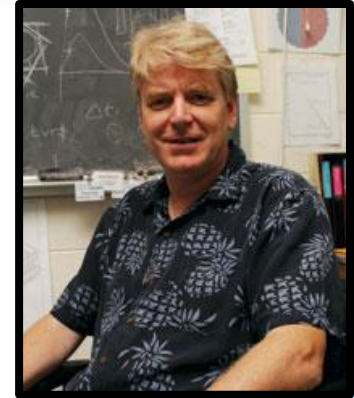


(NNH09ZDA001N)
**ASTROPHYSICS RESEARCH AND ANALYSIS PROGRAM
(APRA-2009)
PANEL EVALUATION**

Proposal No.: 09-APRA09-0085

PI/Institution: Swordy, Simon P / University of Chicago

Proposal Title: The Positron Electron Balloon Spectrometer (PEBS)



Overall Summary of Evaluation:

This research is important in the search for indirect dark matter annihilation signals and evidence of nearby cosmic-ray sources, and in constraining propagation models in the 1 to 500 GeV energy range. The proposed investigation has the potential to resolve a number of questions that have been raised by recently reported anomalies (relative to standard cosmic ray models) in the all-electron spectrum and the positron fraction at high energies. Only with a concerted experimental effort on the scale of that proposed can one expect to definitively answer the question of whether these anomalies are due to systematic errors in what are clearly very difficult measurements, or whether they represent the signature of new, important physical phenomena.

This state of the art instrument will cover a wide energy range, and it would have the needed proton rejection for making background-free observations of electron and positron spectra in a very important energy range. The AMS-2 experiment, scheduled for launch to the Space Station in early 2011, will also address these objectives with a comparable complement of sensors. **The collecting power of AMS-2 will be smaller, but it will have a substantially longer exposure, and it will not be subject to backgrounds from secondary particles produced in the atmosphere. Consistent results from these two experiments could conclusively settle the present questions about the positron and electron spectra below 1 TeV.**

OVERALL ADJECTIVAL RATING: Excellent

Large Size, Short Duration

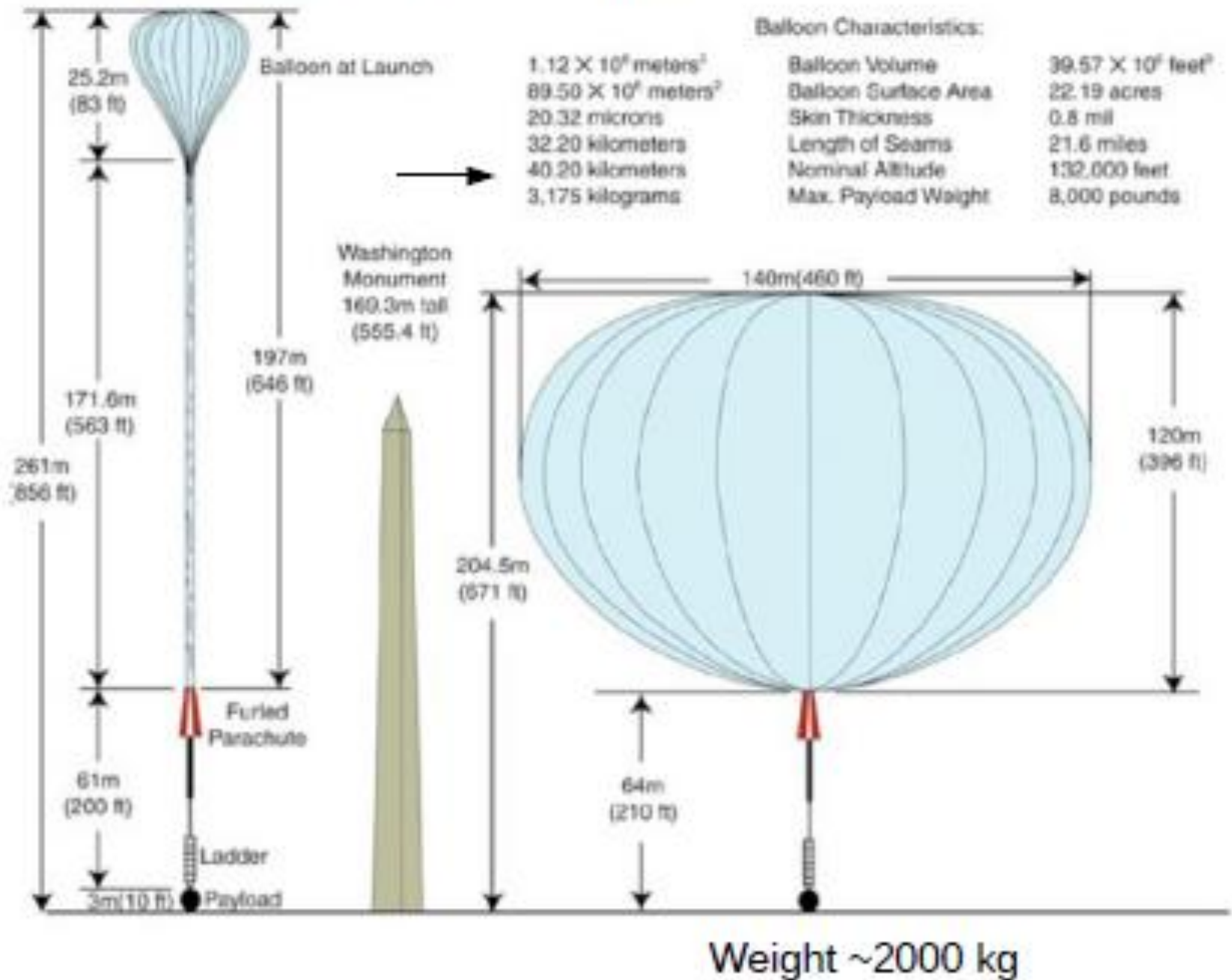
Cosmic Ray Experiments

	Acceptance [cm ² sr]	Duration [days]	Electron Identification
PAMELA	20	1000	Spectrometer + ECAL
AMS-2:	850	1000	Spectrometer + ECAL + TRD
PEBS-1	1000	10	Spectrometer + ECAL + TRD
ATIC-2:	1500	20	ECAL
PEBS-2	3000	40	Spectrometer + ECAL + TRD
PEBS-1	7500	10	ECAL + TRD
FERMI	25000	2000	ECAL

25/01/10

5353

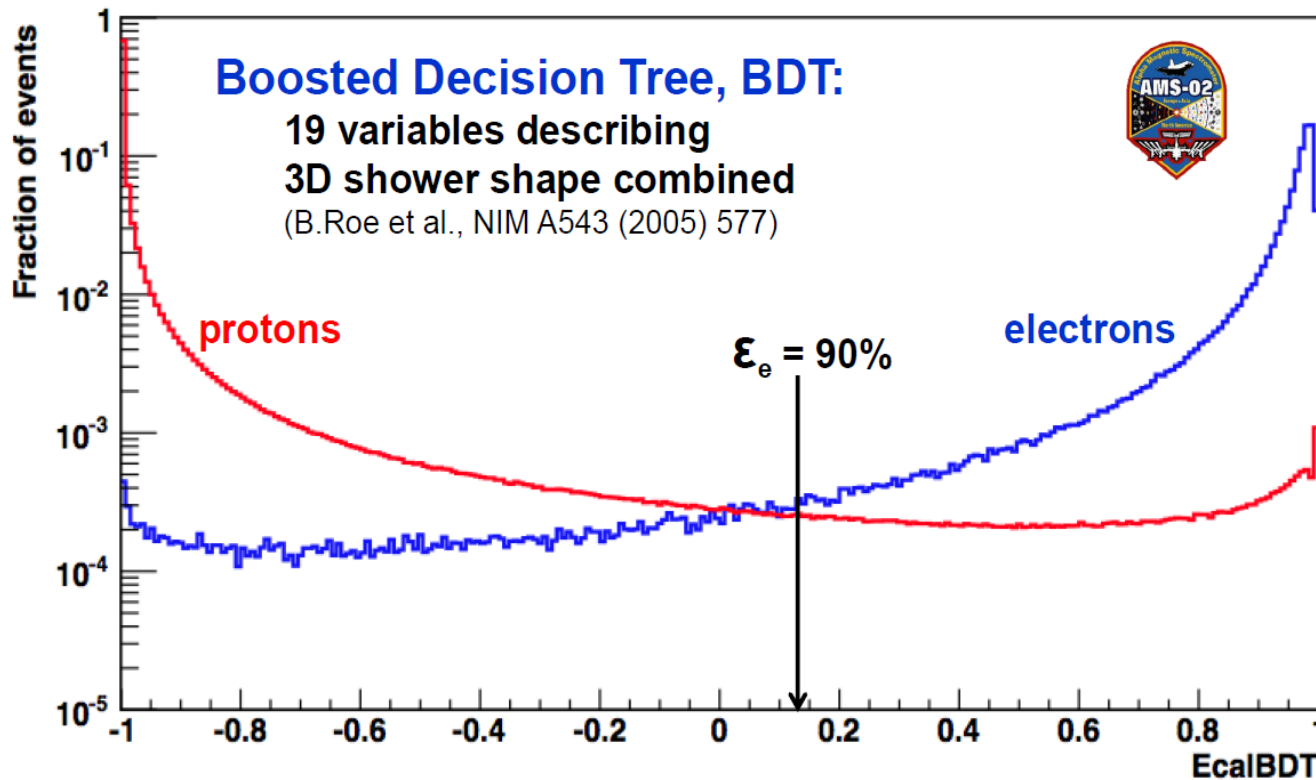
PEBS: Balloon-borne experiment



AMS BDT Rejection Analysis

Separation of protons and electrons with ECAL

ISS data: 83–100 GeV



8 January 2013

Positron fraction

8