

# Effective theory for quantum gravity and inflation

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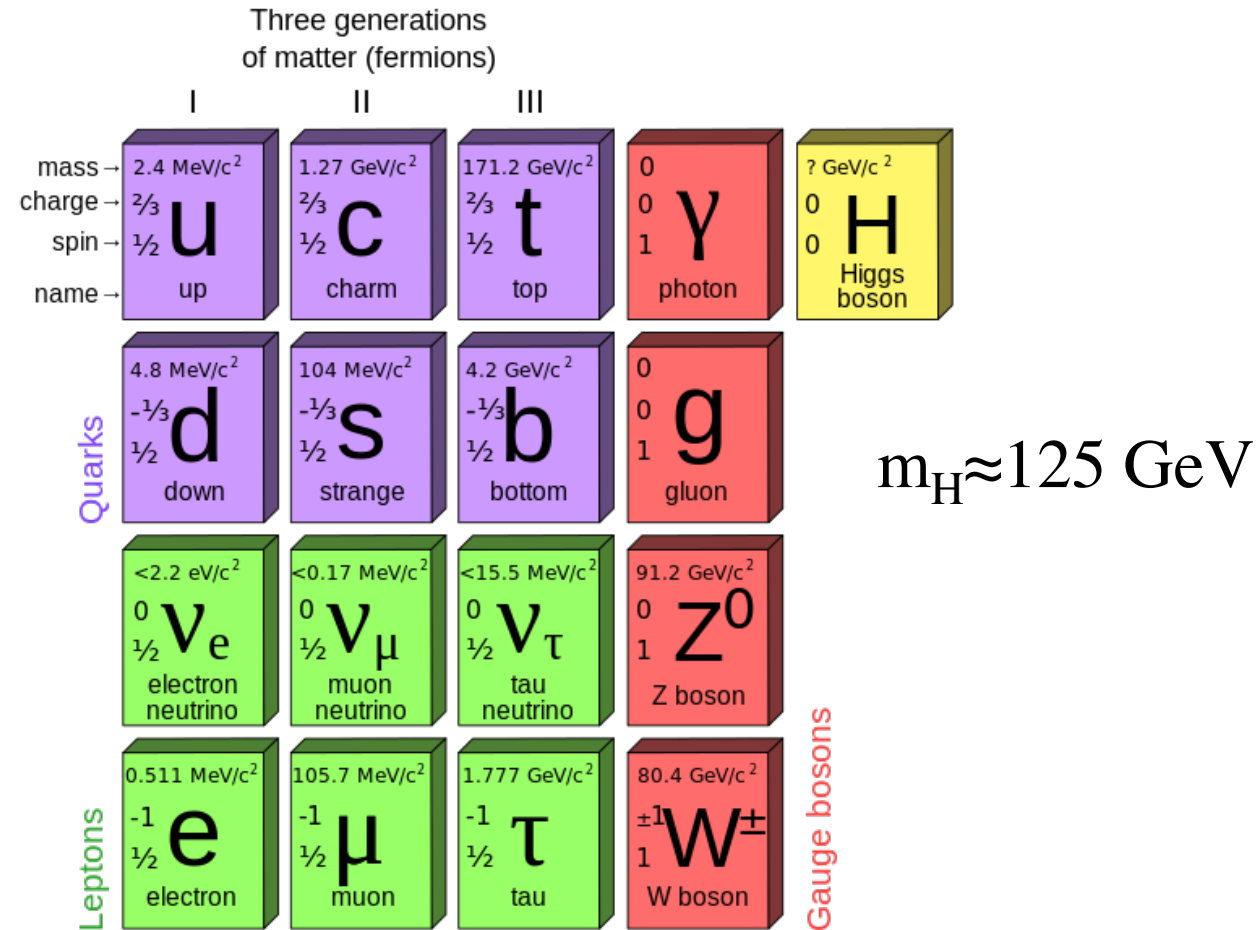
# Introduction

- Quantum gravity is tough because of the lack of experimental guidance.
- It is crucial to find a way to make the link to data.
- Effective field theory techniques are a conservative tools to probe poorly known theories.
- In particular we can probe the symmetries of quantum gravity:
  - is there an approximate shift symmetry which prevents these higher dimensional operators?
  - Are Lorentz invariance and CPT invariance valid symmetries at the Planck scale?
- Inflation might helps us to probe whether space-time is quantized and also whether general relativity is a purely classical theory or whether it needs to be quantized.

# Outline

- Definition of the effective theory for quantum gravity
- Application of this effective action to Higgs inflation
- Could Higgs inflation be a complete model of the world?
- Quantum gravity and chaotic inflation and  $\phi^4$  inflation after BICEP2
- Quantum gravity and Grand Unification.

# The standard model is finally complete!



However no sign of new physics

So let's try to be minimalist!

## Effective theory approach

- We can describe any theory of quantum gravity below the Planck scale using effective field theory techniques.
- Making simple assumptions about the symmetry and particle content we get

$$S = \int d^4x \sqrt{-g} \left[ \left( \frac{1}{2} M^2 + \xi H^\dagger H \right) \mathcal{R} - \Lambda_C^4 + c_1 \mathcal{R}^2 + c_2 \mathcal{R}_{\mu\nu} \mathcal{R}^{\mu\nu} + \mathcal{L}_{SM} + \mathcal{O}(M_\star^{-2}) \right]$$

- Let's try to explain as much of our universe with this as possible.
  - Collider physics (e.g. LHC) ✓
  - Expansion of the universe ✓
  - Dark matter (right handed neutrinos or Planck size primordial BHs) ✓?
  - Inflation ?
    - Starobinsky inflation
    - Higgs inflation

## Quick review of Higgs inflation

- Since we know of one scalar field in nature it is natural to try to describe inflation with it.
- The SM Higgs potential

$$V(H) = \lambda \left( H^\dagger H - \frac{v^2}{2} \right)^2$$

is not flat enough!

- But a nonminimal coupling will change the shape of the potential

$$S = - \int d^4x \sqrt{-g} \left( \frac{1}{2} M^2 + \xi H^\dagger H \right) R$$

## Quick review of Higgs inflation

- In Einstein frame the action becomes

$$S_E = \int d^4x \sqrt{-\hat{g}} \left\{ -\frac{M_P^2}{2} \hat{R} + \frac{\partial_\mu \chi \partial^\mu \chi}{2} - U(\chi) \right\}$$

- with

$$U(\chi) = \frac{1}{\Omega(\chi)^4} \frac{\lambda}{4} (h(\chi)^2 - v^2)^2$$

- For small Higgs values  $h \simeq \chi$  and  $\Omega^2 \simeq 1$

the potential is the same as for the initial Higgs one, however for large field values

$$h \gg M_P / \sqrt{\xi}$$

$$h \simeq \frac{M_P}{\sqrt{\xi}} \exp\left(\frac{\chi}{\sqrt{6}M_P}\right)$$

i.e. the potential is exponentially flat

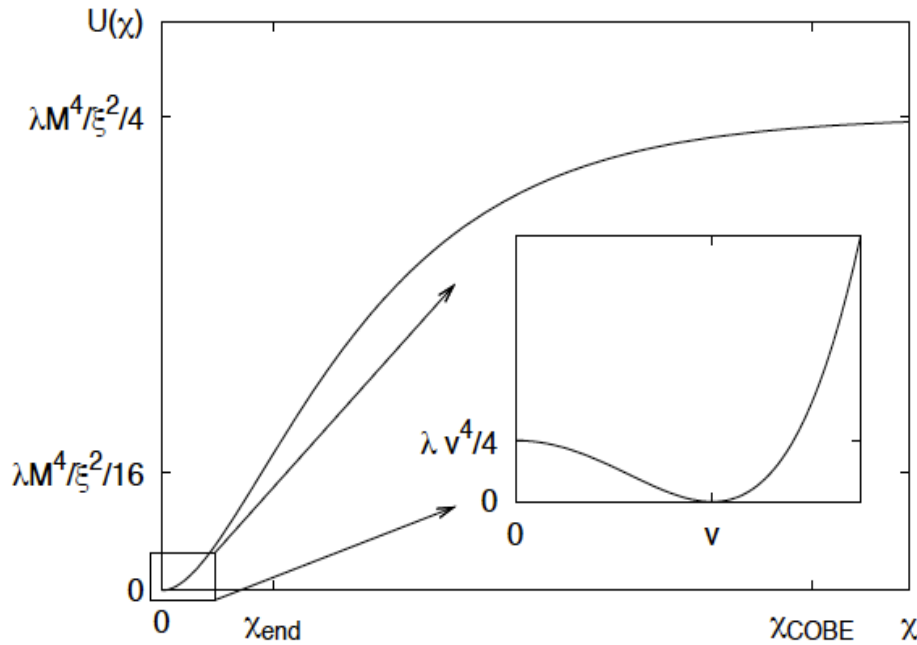


Fig. 1. Effective potential in the Einstein frame.

From 0710.3755  
(Bezrukov & Shaposhnikov)

Standard analysis, slow role parameters:

$$\epsilon = \frac{M_P^2}{2} \left( \frac{dU/d\chi}{U} \right)^2 \simeq \frac{4M_P^4}{3\xi^2 h^4},$$

$$\eta = M_P^2 \frac{d^2U/d\chi^2}{U} \simeq -\frac{4M_P^2}{3\xi h^2},$$

$$\zeta^2 = M_P^4 \frac{(d^3U/d\chi^3)dU/d\chi}{U^2} \simeq \frac{16M_P^4}{9\xi^2 h^4}.$$

Number of e-foldings: 
$$N = \int_{h_{\text{end}}}^{h_0} \frac{1}{M_P^2} \frac{U}{dU/dh} \left( \frac{d\chi}{dh} \right)^2 dh \simeq \frac{6}{8} \frac{h_0^2 - h_{\text{end}}^2}{M_P^2/\xi}$$

$$\xi \simeq \sqrt{\frac{\lambda}{3} \frac{N_{\text{COBE}}}{0.027^2}} \simeq 49000 \sqrt{\lambda} = 49000 \frac{m_H}{\sqrt{2}v} \quad \xi \sim 10^4$$



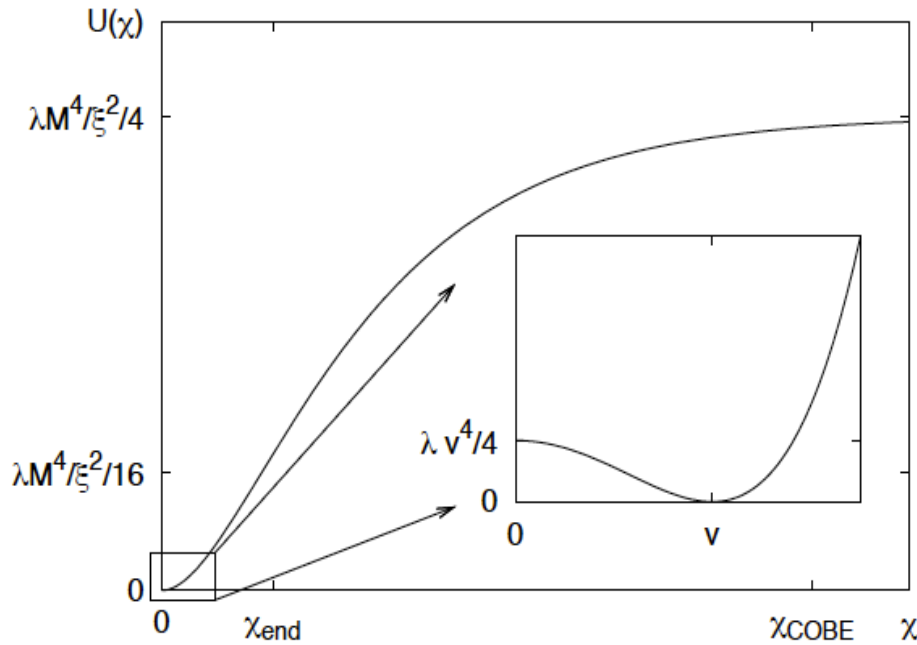


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Absence of a second minimum for the potential beyond SM vacuum:

$$m_h > \left( 129.5 + 1.8 \frac{M_t - 173.2 \text{ GeV}}{0.9 \text{ GeV}} - 0.6 \frac{\alpha_s - 0.1184}{0.0007} \pm 2 \right) \text{ GeV}$$

# What do we know about $\xi$ ?

- Let's consider the SM with a nonminimal coupling to R

$$S = - \int d^4x \sqrt{-g} \left[ \left( \frac{1}{2} M^2 + \xi H^\dagger H \right) R - (D^\mu H)^\dagger (D_\mu H) + \mathcal{L}_{SM} + \mathcal{O}(M_P^{-2}) \right]$$

- We can always go from the Jordan frame to the Einstein frame

$$\tilde{g}_{\mu\nu} = \Omega^2 g_{\mu\nu}$$

$$\tilde{g}^{\mu\nu} = \Omega^{-2} g^{\mu\nu}, \quad \sqrt{-\tilde{g}} = \Omega^d \sqrt{-g}.$$

$$R = \Omega^2 \left[ \tilde{R} - 2(n-1)\tilde{\square}\omega - (n-1)(n-2)\tilde{g}^{\mu\nu}\partial_\mu\omega\partial_\nu\omega \right]$$

$$\omega \equiv \ln \Omega, \quad \tilde{\square}\omega = \frac{1}{\sqrt{-\tilde{g}}}\partial_\mu(\sqrt{-\tilde{g}}\tilde{g}^{\mu\nu}\partial_\nu\omega)$$

$$\Omega^2 = (M^2 + 2\xi H^\dagger H) / M_P^2$$

# What do we know about $\xi$ ?

- In the Einstein frame, the action reads

$$S = - \int d^4x \sqrt{-\tilde{g}} \left[ \frac{1}{2} M_P^2 \tilde{R} - \frac{3\xi^2}{M_P^2 \Omega^4} \partial^\mu (H^\dagger H) \partial_\mu (H^\dagger H) - \frac{1}{\Omega^2} (D^\mu H)^\dagger (D_\mu H) + \frac{\mathcal{L}_{SM}}{\Omega^4} \right]$$

- One notices that the Higgs boson kinetic term is not canonically normalized. We need to diagonalize this term.
- Let me now use the unitary gauge

$$H = \frac{1}{\sqrt{2}} (0, h + v)^\top$$

- The Planck mass is defined by

$$(M^2 + \xi v^2) = M_P^2$$

# What do we know about $\xi$ ?

- To diagonalize the Higgs boson kinetic term:

$$\frac{d\chi}{dh} = \sqrt{\frac{1}{\Omega^2} + \frac{6\xi^2 v^2}{M_P^2 \Omega^4}}$$

- To leading order in  $\Omega^{-1}$        $\Omega^2 = (M^2 + 2\xi H^\dagger H)/M_P^2$

$$h = \frac{1}{\sqrt{1 + \beta}} \chi \quad \beta = 6\xi^2 v^2 / M_P^2$$

# What do we know about $\xi$ ?

- The couplings of the Higgs boson to particles of the SM are rescaled! E.g.

$$yh\bar{\psi}\psi \rightarrow \frac{y}{\sqrt{1+\beta}} \chi\bar{\psi}\psi$$

- For a large nonminimal coupling, the Higgs boson decouples from the Standard Model:

$$\xi^2 \gg M_P^2/v^2 \simeq 10^{32}$$

# What do we know about $\xi$ ?

- The decoupling can also be seen in the Jordan frame:

$$g_{\mu\nu} = \bar{g}_{\mu\nu} + h_{\mu\nu}$$

$$\mathcal{L}^{(2)} = -\frac{M^2 + \xi v^2}{8} (h^{\mu\nu} \square h_{\mu\nu} + 2\partial_\nu h^{\mu\nu} \partial^\rho h_{\mu\rho} - 2\partial_\nu h^{\mu\nu} \partial_\mu h^\rho{}_\rho - h^\mu{}_\mu \square h^\nu{}_\nu) \\ + \frac{1}{2} (\partial_\mu h)^2 + \xi v (\square h^\mu{}_\mu - \partial_\mu \partial_\nu h^{\mu\nu}) h.$$

$$h = \frac{1}{\sqrt{1 + \beta}} \chi, \\ h_{\mu\nu} = \frac{1}{M_P} \tilde{h}_{\mu\nu} - \frac{2\xi v}{M_P^2 \sqrt{1 + \beta}} \bar{g}_{\mu\nu} \chi.$$

same renormalization  
factor!

# LHC Bound on $\xi$

- The LHC experiments produce fits to the data assuming that all Higgs boson couplings are modified by a single parameter (arXiv:1209.0040 [hep-ph]):

$$\kappa = 1/\sqrt{1 + \beta}$$

- In the narrow width approximation, one finds:

$$\begin{aligned}\sigma(ii \rightarrow h \rightarrow ff) &= \sigma(ii \rightarrow h) \cdot \text{BR}(h \rightarrow ff) \\ &= \kappa^2 \sigma_{\text{SM}}(ii \rightarrow h) \cdot \text{BR}_{\text{SM}}(h \rightarrow ff).\end{aligned}$$

# LHC Bound on $\xi$

- Current LHC data allows to bound

$$\mu = \sigma / \sigma_{\text{SM}} = \begin{array}{ll} 1.4 \pm 0.3 & \text{ATLAS} \\ 0.87 \pm 0.23 & \text{CMS} \end{array}$$

- Combining these two bounds one gets:

$$\mu = 1.07 \pm 0.18$$

- which excludes

$$|\xi| > 2.6 \times 10^{15} \text{ at the 95\% C.L.}$$



# LHC Bound on $\xi$

- At a 14 TeV LHC with an integrated luminosity of 300 fb<sup>-1</sup>, could lead to an improved bound on the nonminimal coupling:

$$|\xi| < 1.6 \times 10^{15}$$

- while an ILC with a center of mass energy of 500 GeV and an integrated luminosity of 500 fb<sup>-1</sup>, could give

$$|\xi| < 4 \times 10^{14}$$

- It seems tough to push the bound below this limit within the foreseeable future.

Up to what energy scale is Higgs inflation valid?  
Effective theory approach

## Cutoff for the model

- Using the linearized fields, one obtains the kinetic terms for the graviton, the Higgs boson and its pseudo-Goldstone bosons:

$$\begin{aligned} L^{(2)} = & -\frac{M_P(\bar{\phi})^2}{8} (h^{\mu\nu}\square h_{\mu\nu} + 2\partial_\nu h^{\mu\nu}\partial^\rho h_{\mu\rho} - 2\partial_\nu h^{\mu\nu}\partial_\mu h - h\square h) \\ & +\frac{1}{2}(\partial_\mu\phi)(\partial^\mu\phi) + \frac{1}{2}(\partial_\mu\pi^0)(\partial^\mu\pi^0) + (\partial_\mu\pi^+)(\partial^\mu\pi^-) \\ & +\xi\bar{\phi}(\square h - \partial_\lambda\partial_\rho h^{\lambda\rho})\phi, \end{aligned}$$

- We see that the pseudo-Goldstone bosons are canonically normalized, but there is a mixing between the kinetic terms of the graviton and that of the Higgs boson

# Cutoff for the model

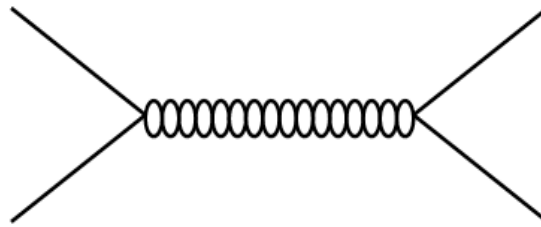
- Diagonalizing this term leads to a rescaling of the couplings of the Higgs boson to all particles of the standard model.
- Also to a rescaling of the non-minimal coupling to R

$$\frac{\xi}{M_P(\bar{\phi}) \left(1 + \frac{6\xi^2\bar{\phi}^2}{M_P^2(\phi)}\right)} \hat{\phi}^2 \square \hat{h}$$

- The coefficient of this operator can be identified as the cutoff of the effective theory. It behaves as
  - $M/\xi$  for small Higgs background field values
  - $\xi\bar{\phi}^2/M$  for intermediate Higgs background field values
  - $\sqrt{\xi\bar{\phi}}$  for large Higgs background field values

# Perturbative Unitarity

- Look at gravitational scattering of the Higgs doublet:



- Expand the amplitude into partial-waves

$$\mathcal{A} = 16\pi \sum_J (2J + 1) a_J d_{\mu, \mu'}^J \quad |\text{Re } a_J| \leq 1/2$$

- From the J=0 partial wave, one gets in today's background, i.e. small Higgs vev, flat spacetime:

$$\Lambda \simeq \bar{M}_P / \xi$$

# Perturbative Unitarity

- From the J=0 partial wave, one gets in today's background, i.e. small Higgs vev, flat:

$$\Lambda \simeq \bar{M}_P / \xi$$

- For  $\xi=10^4$ , unitarity breaks down at  $10^{14}$  GeV
- Do we need new physics? Strong dynamics? Is the potential still flat enough?
- First important observation, the bound is background dependent.
- In inflationary background, one finds

$$\bar{M}_P / \sqrt{\xi}$$

- The tightest bound on  $\xi$  is the one obtained in flat space-time and for a small Higgs vev

## Self-healing of unitarity

- Perturbative unitarity of the S-matrix implies at one-loop:

$$|T^{\text{tree}}|^2 = \text{Im} (T^{1\text{-loop}})$$

- This is called a cutting relation and is a test of unitarity.
- Using partial waves, for the J=0 wave:

$$|a_{0,\text{tree}}|^2 = \text{Im} (a_{0,1\text{-loop}})$$

- Let's verify this relation

## Self-healing of unitarity

- We look at the gravitational scattering between the Higgs boson and its pseudo-Goldstone bosons.
- At tree level

$$A_{\text{tree}} = \frac{8\pi G_N(\bar{\phi})}{s} [s^2 (6\xi_1\xi_2 + \xi_1 + \xi_2) + ut]$$

- where we defined the background dependent Newton's constant:

$$G_N(\bar{\phi}) = \frac{1}{8\pi(M^2 + \xi\bar{\phi}^2)}$$



## Self-healing of unitarity

- At one-loop

$$A_{1\text{-loop}}(\xi_A, \xi_B, \xi) = -\frac{G_N^2(\bar{\phi})}{15} [s^2 F(\xi_A, \xi_B, \xi) - ut] \log(-s)$$

$$F(\xi_A, \xi_B, \xi) = 1 + 10\xi + 5\xi_A + 5\xi_B + 30\xi^2 + 60\xi\xi_A + 60\xi\xi_B + 30\xi_A\xi_B \\ + 180\xi^2\xi_A + 180\xi^2\xi_B + 360\xi\xi_A\xi_B + 1080\xi^2\xi_A\xi_B.$$

- In the standard model

$$A_{1\text{-loop}}(\phi + \phi \rightarrow \pi^i + \pi^i) = A_{1\text{-loop}}(\xi_H, \xi_G, \xi_H) + 3A_{1\text{-loop}}(\xi_H, \xi_G, \xi_G)$$

## Self-healing of unitarity

- We next look at the partial wave decomposition and find

$$a_{0,\text{tree,max}} = \frac{G_N(\bar{\phi}) s}{3} (9\xi_H^2 + 3\xi_H + 27\xi_G^2 + 9\xi_G + 1)$$

- The Im part of the one-loop diagram is

$$\text{Im}(a_{0,1\text{-loop,max}}) = \frac{G_N^2(\bar{\phi}) s^2}{9} (9\xi_H^2 + 3\xi_H + 27\xi_G^2 + 9\xi_G + 1)^2$$

- And we thus verify the Cutkosky cutting relation implied by unitarity:

$$|a_{0,\text{tree,max}}|^2 = \text{Im}(a_{0,1\text{-loop,max}})$$

## Self-healing of unitarity

- We have shown that at one-loop unitarity is restored.
- One can rewrite the  $J=0$  partial wave as:

$$a_0 = a_0^{(1)} \left( 1 + \frac{\text{Re } a_0^{(2)}}{a_0^{(1)}} + i a_0^{(1)} \right)$$

- It is the first term of a geometrical series. If one resums it, one finds:

$$a_0 = \frac{a_0^{(1)}}{1 - \text{Re } a_0^{(2)}/a_0^{(1)} - i a_0^{(1)}}$$

- Which fulfills

$$|a_0|^2 = \text{Im}(a_0)$$

## Self-healing of unitarity

- One can also resum the infinite series of 1-loop polarization diagrams
- 1-loop corrected graviton propagator:

$$iD_{1-loop}^{\alpha\beta\mu\nu} = \frac{i}{2q^2} (1 + 2F_2(q^2)) [L^{\alpha\mu} L^{\beta\nu} + L^{\alpha\nu} L^{\beta\mu} - L^{\alpha\beta} L^{\mu\nu}] - i \frac{F_1(q^2)}{4} L^{\alpha\beta} L^{\mu\nu}$$

- In the large  $\xi$  and  $N$  limits but keeping  $N \xi G_N$  small, I get

$$A_{dressed} = \frac{48\pi G_N(\bar{\phi}) s \xi^2}{1 + \frac{2}{\pi} G_N(\bar{\phi}) s \xi^2 \log(-s/\mu^2)}$$

- The dressed amplitude fulfills exactly

$$|A_{dressed}|^2 = \text{Im}(A_{dressed})$$

## Bounds on the effective action

- We can describe any theory of quantum gravity below the Planck scale using effective field theory techniques:

$$S = \int d^4x \sqrt{-g} \left[ \left( \frac{1}{2} M^2 + \xi H^\dagger H \right) \mathcal{R} - \Lambda_C^4 + c_1 \mathcal{R}^2 + c_2 \mathcal{R}_{\mu\nu} \mathcal{R}^{\mu\nu} + \mathcal{L}_{SM} + \mathcal{O}(M_\star^{-2}) \right]$$

- Electroweak symmetry breaking:

$$(M^2 + \xi v^2) = M_P^2 \quad M_P = 2.4335 \times 10^{18} \text{ GeV}$$

- Several energy scales:

- $\Lambda_C \sim 10^{-12}$  GeV cosmological constant
- $M_P$  or equivalently Newton's constant  $G = 1/(8\pi M_P^2)$
- $M_\star$  energy scale up to which one trusts the effective theory

- Dimensionless coupling constants  $\xi, c_1, c_2$  etc

## What values to expect for the coefficients?

- It all depends whether they are truly new fundamental constants or whether the operators are induced by quantum gravitational effects.
  - If fundamental constants, they are arbitrary
  - If induced by quantum gravity we can estimate their magnitude.
- Usually induced dimension four operators are expected to be small

$$\exp(-M_p/\lambda) \quad \lambda \text{ is some low energy scale}$$

- However,  $\xi H^\dagger H \mathcal{R}$  translates into  $\xi H^\dagger H h \square h / M_P^2$  in terms of the graviton  $h$ .  $\mathcal{R}^2$ -type operators lead to  $h \square h h \square h / M_P^4$
- We thus expect the coefficients of these operators to be  $O(1)$ .
- Naturalness arguments would imply  $M_\star \sim \Lambda_C$ . However, there is not sign of new physics at this energy scale.

# What do experiments tell us?

- In 1977, Stelle has shown that one obtains a modification of Newton's potential at short distances from  $R^2$  terms

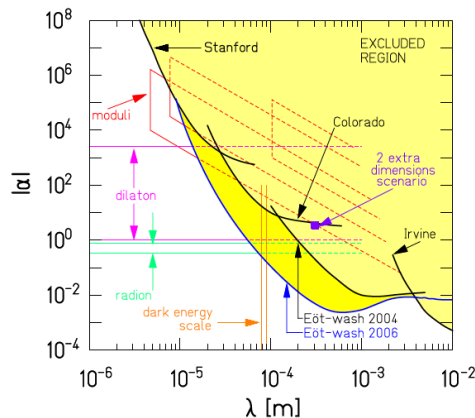
$$\Phi(r) = -\frac{Gm}{r} \left( 1 + \frac{1}{3}e^{-m_0 r} - \frac{4}{3}e^{-m_2 r} \right) \quad m_0^{-1} = \sqrt{32\pi G(3c_1 - c_2)}$$

$$m_2^{-1} = \sqrt{16\pi Gc_2}$$

$$V(r) = -G_N \frac{m_1 m_2}{r} [1 + \alpha \exp(-r/\lambda)]$$

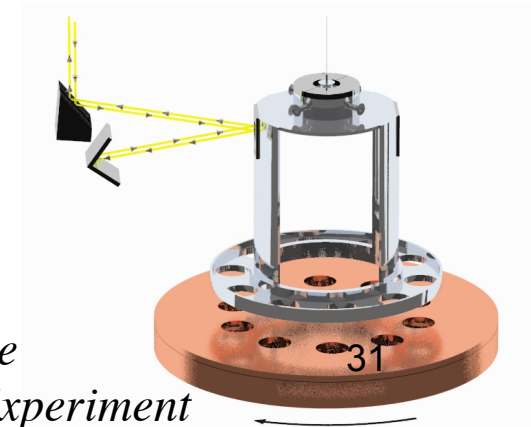
$$c_1 \text{ and } c_2 < 10^{61}$$

xc, Hsu and Reeb (2008)



NB: Bound has improved by 10 order of magnitude since Stelle's paper!

*Schematic drawing of the Eöt-Wash Short-range Experiment*



## Can better bounds be obtained in astrophysics?

- Bounds on Earth are obtained in weak curvature, binary pulsar systems are probing high curvature regime.
- Approximation: Ricci scalar in the binary system of pulsars by  $G M/(r^3 c^2)$  where  $M$  is the mass of the pulsar and  $r$  is the distance to the center of the pulsar.
- But: if the distance is larger than the radius of the pulsar, then the Ricci scalar vanishes. This is a rather crude estimate.



## Can better bounds be obtained in astrophysics?

- Let me be optimistic and assume one can probe gravity at the surface of the pulsar. I take  $r=13.1\text{km}$  and  $M=2$  solar masses.
- I now request that the  $R^2$  term should become comparable to the leading order Einstein-Hilbert term ( $1/2 M_p^2 R$ )
- One could reach bounds of the order of  $10^{78}$  only on  $c_1$  or  $c_2$
- Such limits are obviously much weaker than those obtained on Earth.

# Summary of current status of GR coupled to SM

- We can describe any theory of quantum gravity below the Planck scale using effective field theory techniques:

$$S = \int d^4x \sqrt{-g} \left[ \left( \frac{1}{2} M^2 + \xi H^\dagger H \right) \mathcal{R} - \Lambda_C^4 + c_1 \mathcal{R}^2 + c_2 \mathcal{R}_{\mu\nu} \mathcal{R}^{\mu\nu} + \mathcal{L}_{SM} + \mathcal{O}(M_\star^{-2}) \right]$$

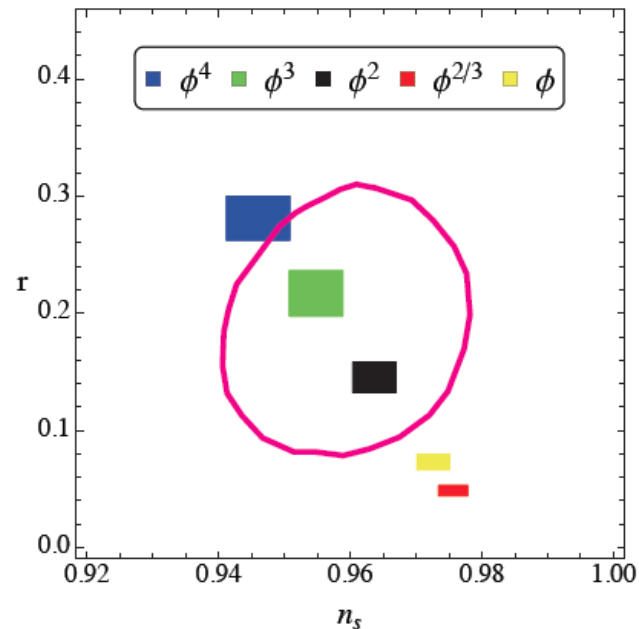
- Planck scale  $(M^2 + \xi v^2) = M_P^2$   $M_P = 2.4335 \times 10^{18}$  GeV
- $\Lambda_C \sim 10^{-12}$  GeV; cosmological constant.
- $M_\star >$  few TeVs from QBH searches at LHC and cosmic rays; energy scale up to which one trusts the effective theory.
- Dimensionless coupling constants  $\xi, c_1, c_2$ 
  - $c_1$  and  $c_2 < 10^{61}$  [xc, Hsu and Reeb (2008)]  
 $R^2$  inflation requires  $c_1 = 5 \times 10^8$  (Faulkner et al. astro-ph/0612569).
  - $\xi < 2.6 \times 10^{15}$  [xc & Atkins, 2013]  
Higgs inflation requires  $\xi \sim 10^4$ .

# Quantum Gravity and models of inflation

- Effective action for the inflaton:

$$S = \int d^x \sqrt{-g} \left( \frac{\bar{M}_P^2}{2} R + f(\phi) F(R, R_{\mu\nu}) + g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi + V_{ren}(\phi) + \sum_{n=5}^{\infty} c_n \frac{\phi^n}{\bar{M}_P^{n-4}} \right)$$

$$V_{ren} \supset v^3 \phi + m^2 \phi^2 + \lambda_3 \phi^3 + \lambda_4 \phi^4$$



Predictions for various polynomial forms of  $V_{ren}$  with  $N \in [50, 60]$ .

The pink circle corresponds to the 95% CL from BICEP2.

# Quantum Gravity and models of inflation

- In inflationary models, one often focuses on one specific term and one sets the remaining Wilson coefficients to zero (or advocate a shift symmetry).
- However in quantum field theory, with the exception of dimension three and four operators higher dimensional operators will be generated by quantum corrections.
- The Wilson coefficients of dimension 3&4 operators can be tiny as seen before, however those of higher dimensional operators are expected to be order unity.

# Quantum Gravity effects on chaotic inflation (CI)

- We consider the potential

$$V(\tilde{\phi}) = \bar{M}_P^4 \left( \tilde{m}^2 \tilde{\phi}^2 + c_n \tilde{\phi}^n \right),$$

- with

$$\tilde{\phi} = \phi / \bar{M}_P, \quad \tilde{m} = m / \bar{M}_P.$$

- For illustration let's take the dimension 6 operator

$$c_6 = \alpha_m \tilde{m}^2 \rightarrow V(\tilde{\phi}) = \bar{M}_P^4 \tilde{m}^2 \tilde{\phi}^2 \left( 1 + \alpha_m \tilde{\phi}^4 \right)$$

- Effective theory is valid if

$$|\alpha_m| \tilde{\phi}^4 < 1$$

# Quantum Gravity effects on chaotic inflation (CI)

- The higher-dimensional operator term modifies the slow-roll conditions:

$$\epsilon = \frac{1}{16\pi} \left( \frac{V'(\tilde{\phi})}{V(\tilde{\phi})} \right)^2 = \frac{1}{4\pi} \frac{1}{\tilde{\phi}^2} \left( \frac{1 + 3\alpha_m \tilde{\phi}^4}{1 + \alpha_m \tilde{\phi}^4} \right)^2 = \epsilon_{CI} + \frac{\alpha_m \tilde{\phi}^4}{\pi \tilde{\phi}^2} + \mathcal{O}(\alpha_m \tilde{\phi}^4)^3$$

with the usual CI parameter given by  $\epsilon_{CI} = 1/(4\pi\tilde{\phi}^2)$

- The second slow-roll parameter, which is zero in usual CI, reads

$$\eta = \frac{1}{8\pi} \left( \frac{V''(\tilde{\phi})}{V(\tilde{\phi})} - \frac{1}{2} \left( \frac{V'(\tilde{\phi})}{V(\tilde{\phi})} \right)^2 \right) \simeq \frac{5}{2\pi\tilde{\phi}^2} (\alpha_m \tilde{\phi}^4).$$

# Quantum Gravity effects on chaotic inflation (CI)

- The condition for the end of inflation is modified;

$$\tilde{\phi}_E^2 = \frac{1}{4\pi} \left( 1 + \frac{\alpha_m}{4\pi} \right)$$

- The number of e-foldings

$$N = 2\sqrt{\pi} \int_{\tilde{\phi}_E}^{\tilde{\phi}_I} \frac{1}{\sqrt{\epsilon}} = 2\pi\tilde{\phi}_I^2 \left( 1 - \frac{2\alpha_m\tilde{\phi}_I^4}{3} \right) - \frac{1}{2} - \frac{5\alpha_m}{48\pi^2}$$

- value of the field at the beginning of inflation with with N e-foldings,

$$\tilde{\phi}_N^2 \simeq \tilde{\phi}_{N,CI}^2 + \frac{N^3}{12\pi^3} \alpha_m \simeq \frac{N}{2\pi} \left( 1 + \frac{N^2\alpha_m}{6\pi^2} \right) \quad \tilde{\phi}_{N,CI}^2 = \frac{1+2N}{4\pi}$$

# Quantum Gravity effects on chaotic inflation (CI)

- The convergence of the effective theory implies

$$|\alpha_m| \tilde{\phi}_N^4 \simeq \frac{N^2 |\alpha_m|}{4\pi^2} \lesssim 1 \rightarrow |\alpha_m|^{EFT} \lesssim 2 \times 10^{-2}$$

- NB: for values of  $\alpha_m$  close to this bound, and negative, cancellations could lead to a value of the field below the Planck mass:

$$\phi < \bar{M}_P \text{ for } N \simeq 60$$

- while there is no simultaneous cancellation in the potential:

$$V_N \simeq \frac{\tilde{m}^2 N}{2\pi} \left( 1 + \frac{5}{3} \frac{N^2 \alpha_m}{4\pi^2} \right)$$



# Quantum Gravity effects on chaotic inflation (CI)

- The scalar power spectrum is affected as well:

$$P_{\mathcal{R}}^{1/2} = \frac{4\sqrt{24\pi}}{3} \frac{V(\tilde{\phi}_N)^{3/2}}{V'(\tilde{\phi}_N)} \simeq P_{\mathcal{R},CI}^{1/2} \left( 1 - \frac{5}{6} \frac{N^2 \alpha_m}{4\pi^2} \right)$$

- where

$$P_{\mathcal{R},CI}^{1/2} = 2\sqrt{\frac{2}{3\pi}} N \tilde{m}$$

- The usual limit on the inflaton mass:

$$\tilde{m} \simeq 4 \times 10^{-7} \rightarrow m \sim 10^{12} \text{ GeV.}$$

# Quantum Gravity effects on chaotic inflation (CI)

- Finally one obtains the spectral index

$$n_s - 1 = (n_s - 1)_{CI} \left( 1 - \frac{5}{3} \frac{N^2 \alpha_m}{4\pi^2} \right)$$

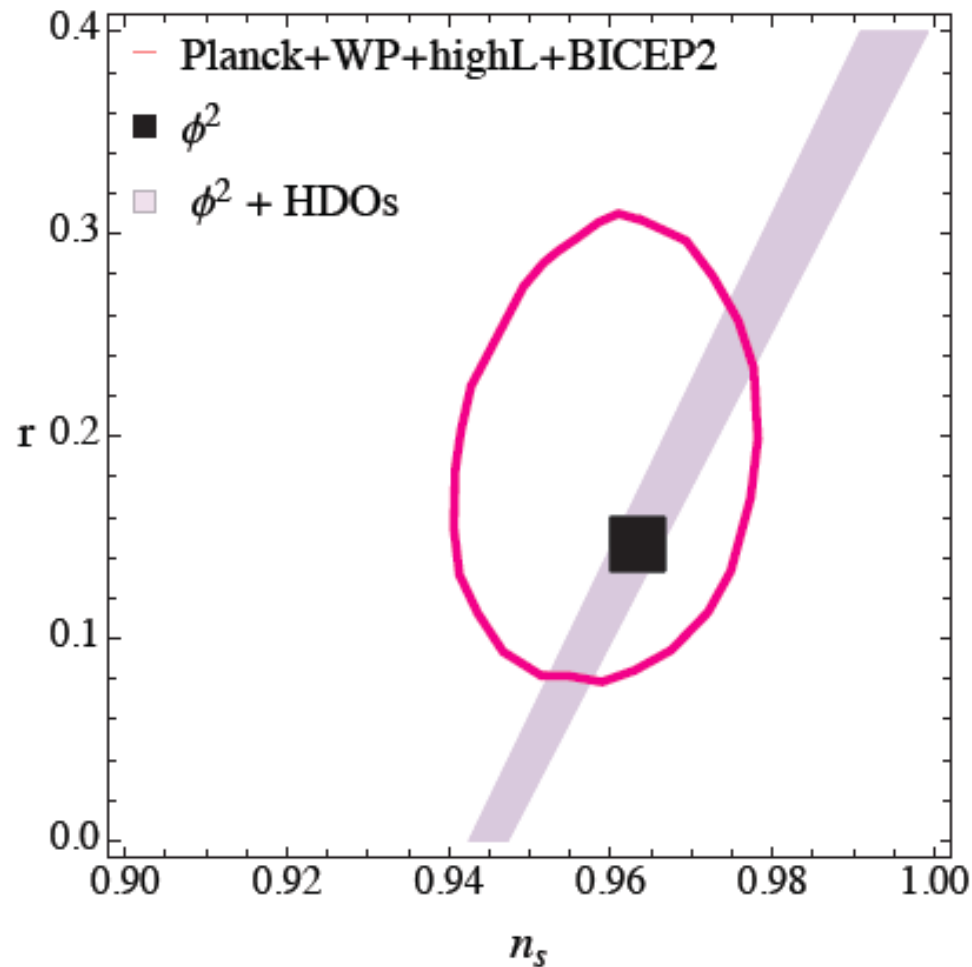
- And the tensor-to-scalar ratio

$$r = r_{CI} \left( 1 + \frac{10}{3} \frac{N^2 \alpha_m}{4\pi^2} \right)$$

- Which are constrained by BICEP2

$$\alpha_m^{BICEP2} \in [-2, 3] \times 10^{-3}$$

# Quantum Gravity effects on chaotic inflation (CI)



Effect of quantum gravity is quite dramatic.

$$\alpha_m^{BICEP2} \in [-2, 3] \times 10^{-3}$$

$$c_6 \lesssim 10^{-9}$$

# Quantum Gravity effects on $\phi^4$ inflation

Same calculation as before:

$$V(\tilde{\phi}) = \bar{M}_P^4 \left( \lambda \tilde{\phi}^4 + c_n \tilde{\phi}^n \right)$$

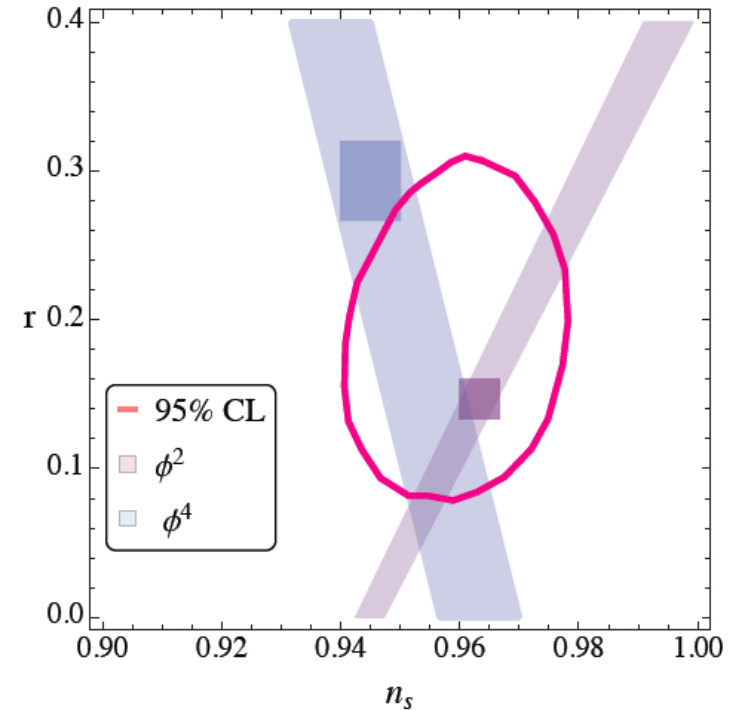
$$c_6 = \alpha_\lambda \lambda \rightarrow V(\tilde{\phi}) = \bar{M}_P^4 \lambda \tilde{\phi}^4 \left( 1 + \alpha_\lambda \tilde{\phi}^2 \right)$$

$$|\alpha_\lambda|^{EFT} \lesssim 0.06$$

$$\epsilon = \epsilon_{\phi^4} (1 + \alpha_\lambda \tilde{\phi}^2)$$

$$\eta = \eta_{\phi^4} (1 + 5\alpha_\lambda \tilde{\phi}^2/2)$$

$$\alpha_\lambda^{BICEP} \in [-0.06, 0] \rightarrow c_6 < 10^{-15}$$



The effect of higher-dimensional operators on  $\phi^4$  and  $\phi^2$  potentials shown in blue and purple respectively.

The darker boxes corresponds to potentials without higher-dimensional operators, and the pink circle is the area of 95% CL from BICEP2.

# Grand unification through gravitational effects

[xc, Hsu and Reeb (2008,2010)]

- Generically speaking there are many dimension five operators:

$$\mathcal{L} = \frac{c_i}{4M_{Pl}} H_i^{ab} G_{\mu\nu}^a G^{b\mu\nu}$$

- Modified unification condition:

$$\alpha_G = (1 + \epsilon_1) \alpha_1(M_X) = (1 + \epsilon_2) \alpha_2(M_X) = (1 + \epsilon_3) \alpha_3(M_X) . \quad \epsilon_1 = \frac{\epsilon_2}{3} = -\frac{\epsilon_3}{2} = \frac{\sqrt{2}}{5\sqrt{\pi}} \frac{c\eta}{\sqrt{\alpha_G}} \frac{M_X}{\hat{M}_{Pl}}$$

- Unification without supersymmetry can easily be obtained.
- Unification scale is typically quite high and potentially close to the Planck mass.
- No problem with proton decay.
- Nice feature of non-SUSY unification: avoid Landau pole above the unification scale.

# Yukawa couplings

- Dimension 5 terms in SU(5)

$\Psi$  and  $f$  are fermion fields  
in **10** and **5** respectively  
scalar fields in the  
**24** and **5** representations

$$\begin{aligned} \mathcal{O}_5 &= \frac{a_1}{\hat{\mu}_*} \{ \phi_{mn} \bar{f}^{mk} H_k^l \Psi_l^n \} \\ &+ \frac{a_2}{\hat{\mu}_*} \{ \phi_{mn} H^{mk} \bar{f}_k^l \Psi_l^n \} \\ &+ \frac{a_3}{\hat{\mu}_*} \varepsilon^{mnpql} \{ \Psi_{mn} \Psi_{pq} H_k \phi_l^k \}, \end{aligned}$$

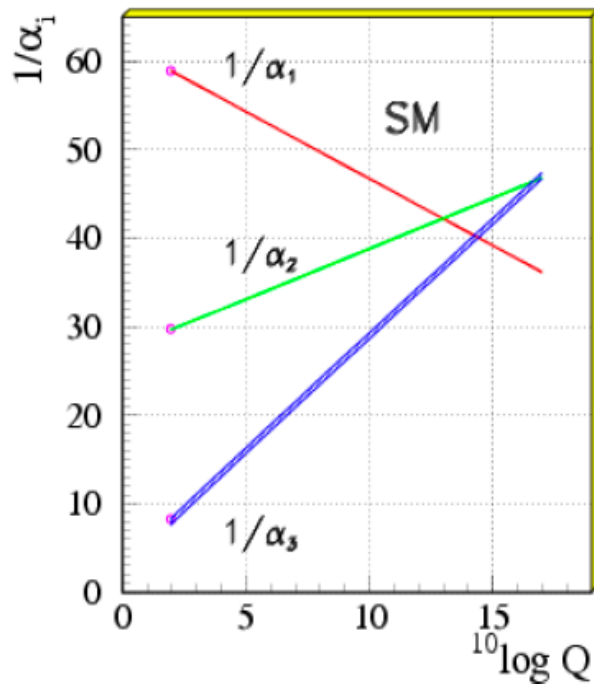
- New unification condition:

$$m_d(M_X) \left[ 1 + \frac{3}{2} \zeta_1 - \zeta_2 \right] = m_e(M_X) \left[ 1 + \frac{3}{2} \zeta_1 + \frac{3}{2} \zeta_2 \right]$$

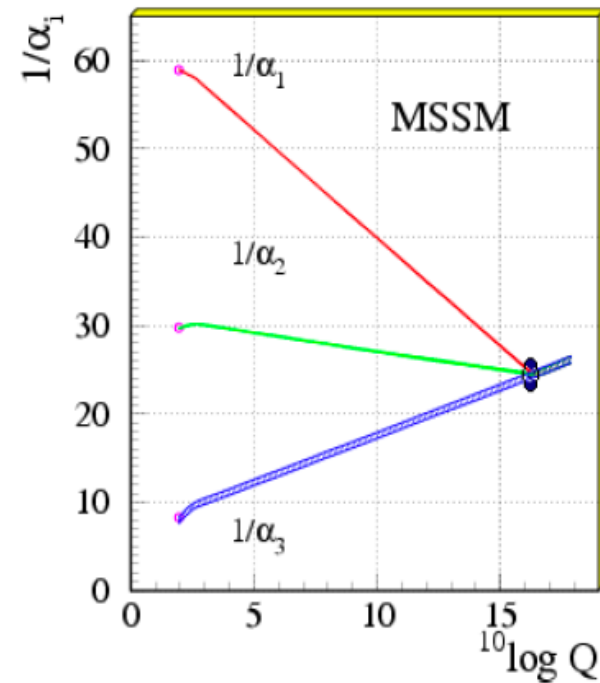
$$\zeta_i = \frac{-2\sqrt{2} M_X}{5G_d g_u \bar{M}_{Pl}} a_i \eta$$

# Unification of the couplings of the Standard Model?

One of LEP's most impressive result



**Standard Model  
does not work**



**But the minimal  
Supersymmetric (SUSY)  
Standard Model works  
beautifully**

This is not quite correct because of quantum gravity!

# Quantum Gravity and GUT

- Quantum gravity can help to unify the gauge couplings and Yukawa couplings.
- It spoils predictions done using low energy data.
- LEP does not favor SUSY unification: Extrapolation from low energy data is too naïve.
- If no BSM is discovered, gravity induced unification should be taken very seriously
- Impossible to make any prediction without knowing the full details of the unification group and symmetry breaking pattern.



# Conclusions

- We have discussed a conservative effective action for quantum gravity within several frameworks
  - Standard model
  - Inflationary models
  - Grand Unified Models.
- We have seen that the effects of quantum gravity can be huge in inflationary models and in grand unified theories.
- They are relatively modest within the standard model (as expected).
- It's tough to probe QG using low energy experiments while if BICEP2 is correct, we have a good chance of testing the symmetries of quantum gravity.

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Thanks for your attention!