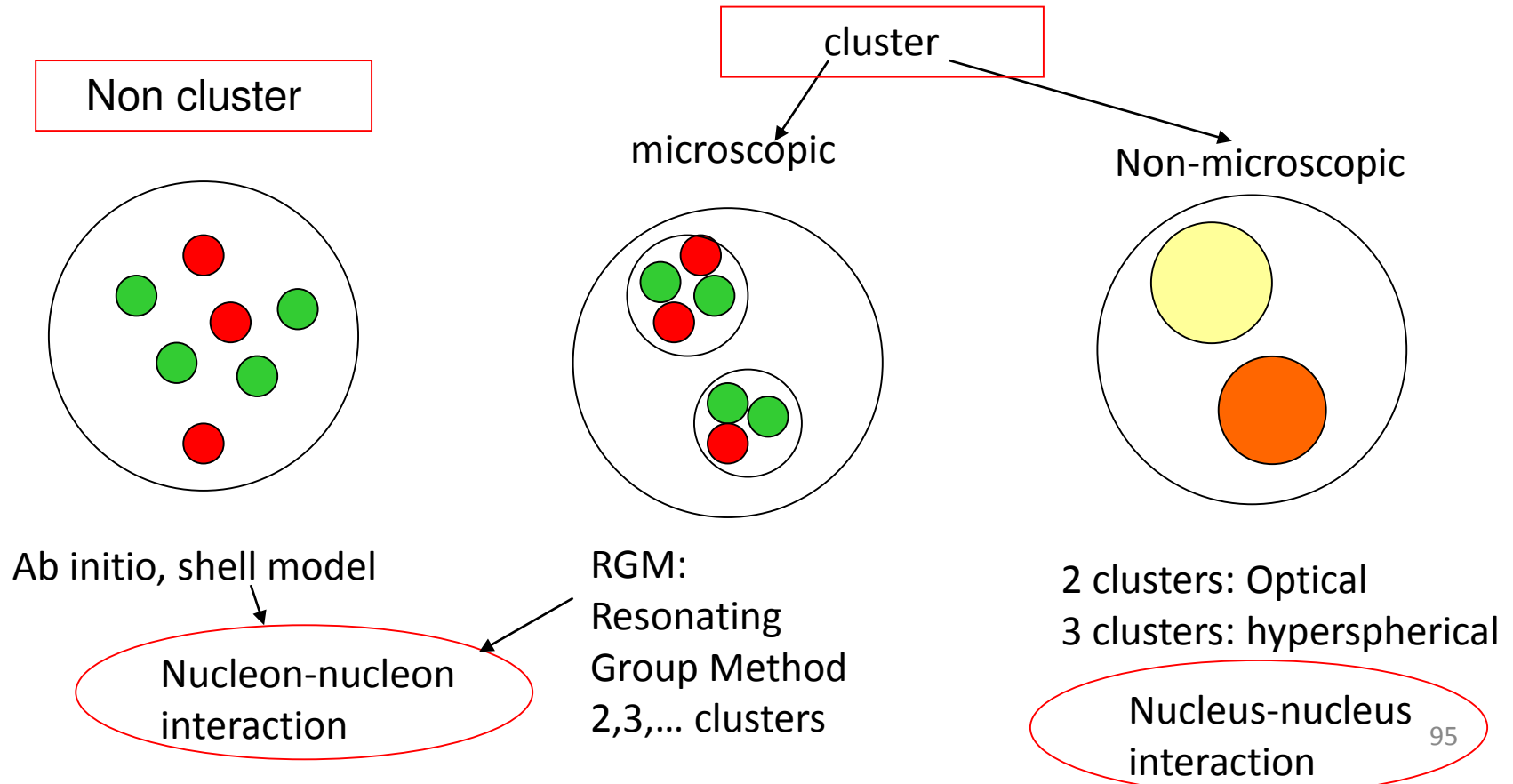


Description of the projectile

1. Introduction
2. Clustering in nuclei
3. Non-microscopic models
4. Microscopic cluster models
5. Applications of microscopic cluster models

1. Introduction

- Reaction processes:
 need for the wave function of the projectile (target very stable)
- From reaction theory:
 can we test the wave function of the projectile with reactions?
- Various types of structure models (stable and **exotic** nuclei)



1. Introduction

Hamiltonian of the nucleus:

$$H = \sum_i T_i + \sum_{j>i} V_{ij} + \sum_{k>j>i} V_{ijk} + \dots$$

with

T_i = kinetic energy of nucleon i

V_{ij} = two-body nucleon-nucleon interaction

- Contains a nuclear part V_{ij}^N : short range
- Contains a coulomb part V_{ij}^C : long range $\sim e^2/r$

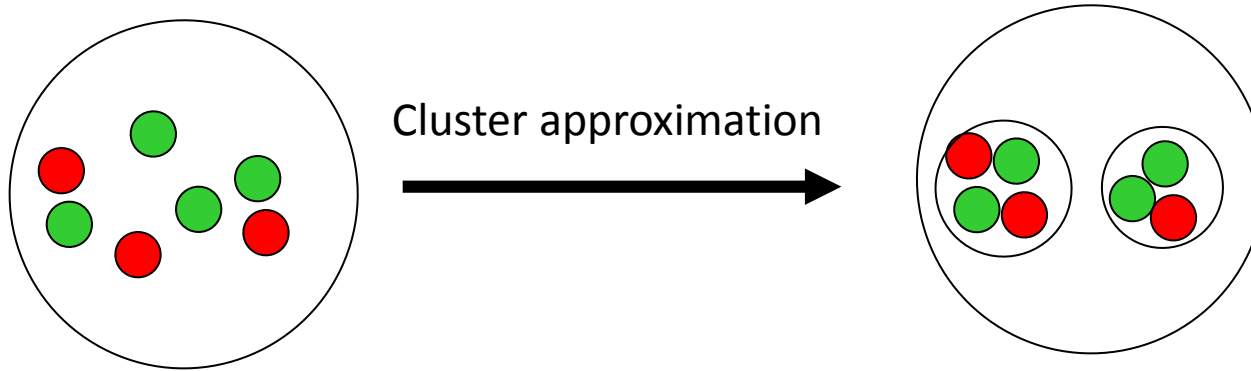
V_{ijk} = three-body interaction (often neglected)

Question: how to solve the Schrödinger equation?

Several techniques:

- Ab initio calculations: provide « exact » solutions
- Shell model
- Cluster approximation

2. Cluster models



- Clustering: well known effect in light nuclei
- Nucleons are grouped in “clusters”

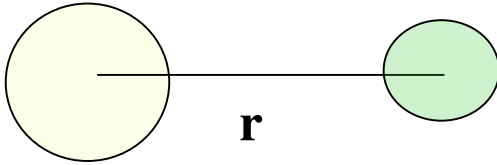
Best candidate: α particle (high binding energy, almost elementary particle)

→ Ikeda diagram: cluster states near a threshold (^8Be , ^{20}Ne , etc.)

- Halo nuclei: special case of cluster states
- Beyond the nucleon level: hypernuclei
quarks
- Well adapted to reactions (not true for the shell model, ab initio models, etc.)
- Possibility to have 3,4,... clusters (example: $^{12}\text{C}=\alpha+\alpha+\alpha$)

3. Non-microscopic models

Two clusters



$$\text{Hamiltonian: } H = T_r + V(r)$$

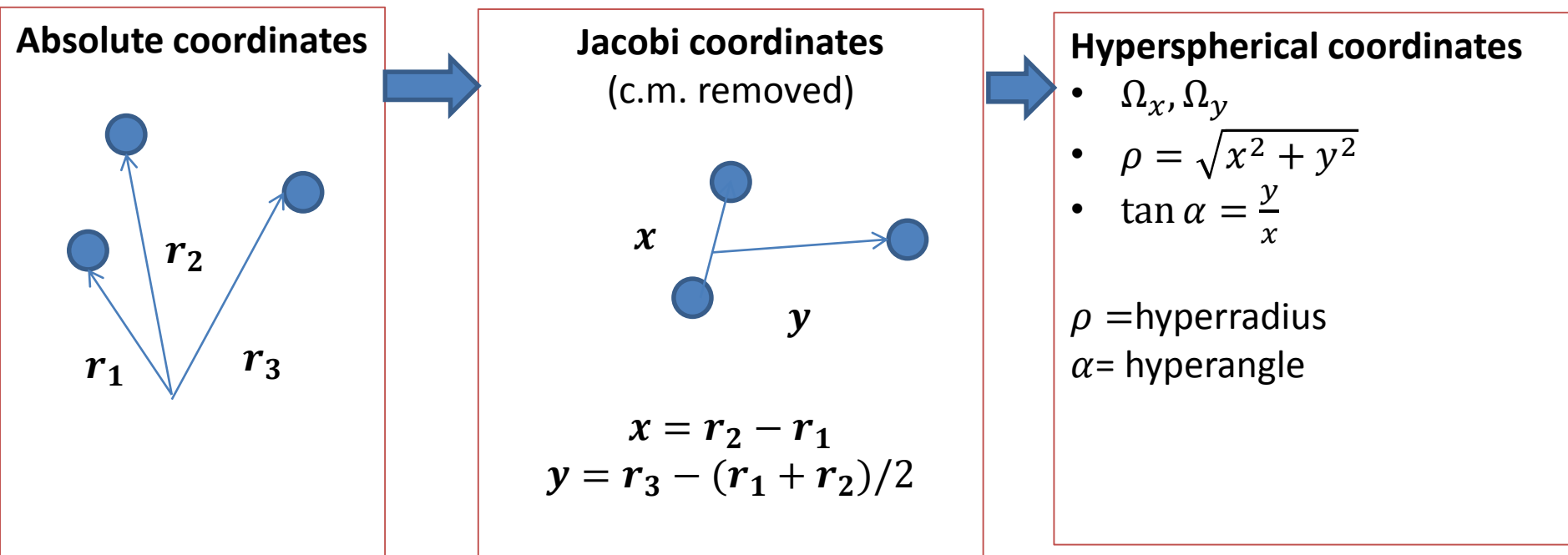
$$\text{Wave function: } \Psi^{\ell m} = g^{\ell}(r)Y_{\ell}^m(\Omega)$$

- Structure of the clusters is neglected
- Pauli principle: **approximated** (appropriate choice of the potential)
- Low relative energies: real potentials (in general)
High relative energies: complex potentials (simulate absorption)
- ☺ Simple
→ Widely used for the description of projectiles
- ☺ Ex: ${}^7\text{Li}=\alpha+t$, ${}^6\text{Li}=\alpha+d$, ${}^{17}\text{F}={}^{16}\text{O}+p$
- ☹ Potential $V(r)$ in general not known
Fitted on data (binding energies, phase shifts)
Obtained from folding
- ☹ Sometimes not adapted to the structure of the nucleus

3. Non-microscopic models

Many nuclei have a **3-body structure** → Three-body problem must be solved

Hamiltonian: $H = T_1 + T_2 + T_3 + V_{12}(|\mathbf{r}_1 - \mathbf{r}_2|) + V_{13}(|\mathbf{r}_1 - \mathbf{r}_3|) + V_{23}(|\mathbf{r}_2 - \mathbf{r}_3|)$



In hyperspherical coordinates: $H = T_\rho + V(\rho, \alpha, \Omega_x, \Omega_y)$

Eigenstates of T_ρ : **hyperspherical functions** $\mathcal{Y}_{K l_x l_y}^L(\alpha, \Omega_x, \Omega_y) = \mathcal{Y}_{K \gamma}^L(\Omega_5)$

known functions (analytical)

extension of spherical harmonics $Y_l^m(\Omega)$ in 2-body problems

K=hypermoment

3. Non-microscopic models

- Schrödinger equation : $H\Psi^{LM} = E\Psi^{LM}$
- The wave function is expanded in hyperspherical harmonics

$$\Psi^{LM}(\rho, \Omega_5) = \sum_{K=0}^{\infty} \sum_{\gamma} y_{K\gamma}^L(\Omega_5) \chi_{K\gamma}^L(\rho)$$

- The radial functions are obtained from a set of coupled differential equations

$$-\frac{\hbar^2}{2m_N} \left(\frac{d^2}{d\rho^2} - \frac{K(K+4)}{\rho^2} \right) \chi_{K\gamma}^L(\rho) + \sum_{K',\gamma'} V_{K\gamma,K'\gamma'}(\rho) \chi_{K'\gamma'}^L(\rho) = E \chi_{K\gamma}^L(\rho)$$

- Potentials $V_{K\gamma,K'\gamma'}(\rho)$ are determined from $V_{12} + V_{13} + V_{23}$
- Two-body potentials V_{ij} contains spurious Pauli forbidden states \rightarrow must be removed
- Equivalent to a standard coupled-channel problem (up to ~ 100 - 200 channels)
- In practice: summation over K is limited to K_{max}

$\chi_{K\gamma}^L(\rho)$ are expanded over a basis (Lagrange basis here)

- General form of the system: identical to all coupled-channel problems

3. Non-microscopic models

Number of channels in 3-body problems

example: $^{11}\text{Li} = ^9\text{Li} + n + n$ (spin of the core neglected, $S_{nn} = 0$ or 1)

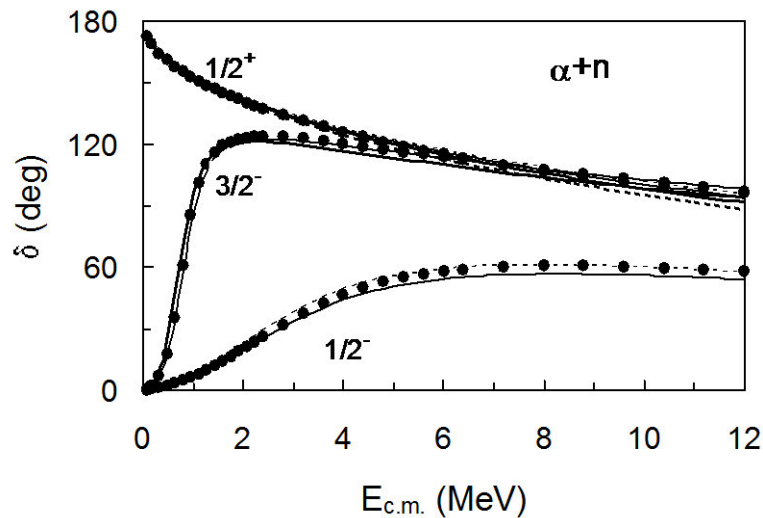
K_{max}	$J = 0^+$	K_{max}	$J = 1^-$
8	15	7	26
12	28	11	57
16	45	15	100
20	66	19	155
24	91	23	222
28	120		
32	153		

3. Non-microscopic models

Example: ${}^6\text{He} = \alpha + n + n$

- $V_{\alpha n}$: Kanada et al., Prog. Theor. Phys. 61 (1979) 1327

fits the experimental α -p phase shifts (gaussians)



- V_{nn} : Minnesota, Nucl. Phys. A286 (1977) 53

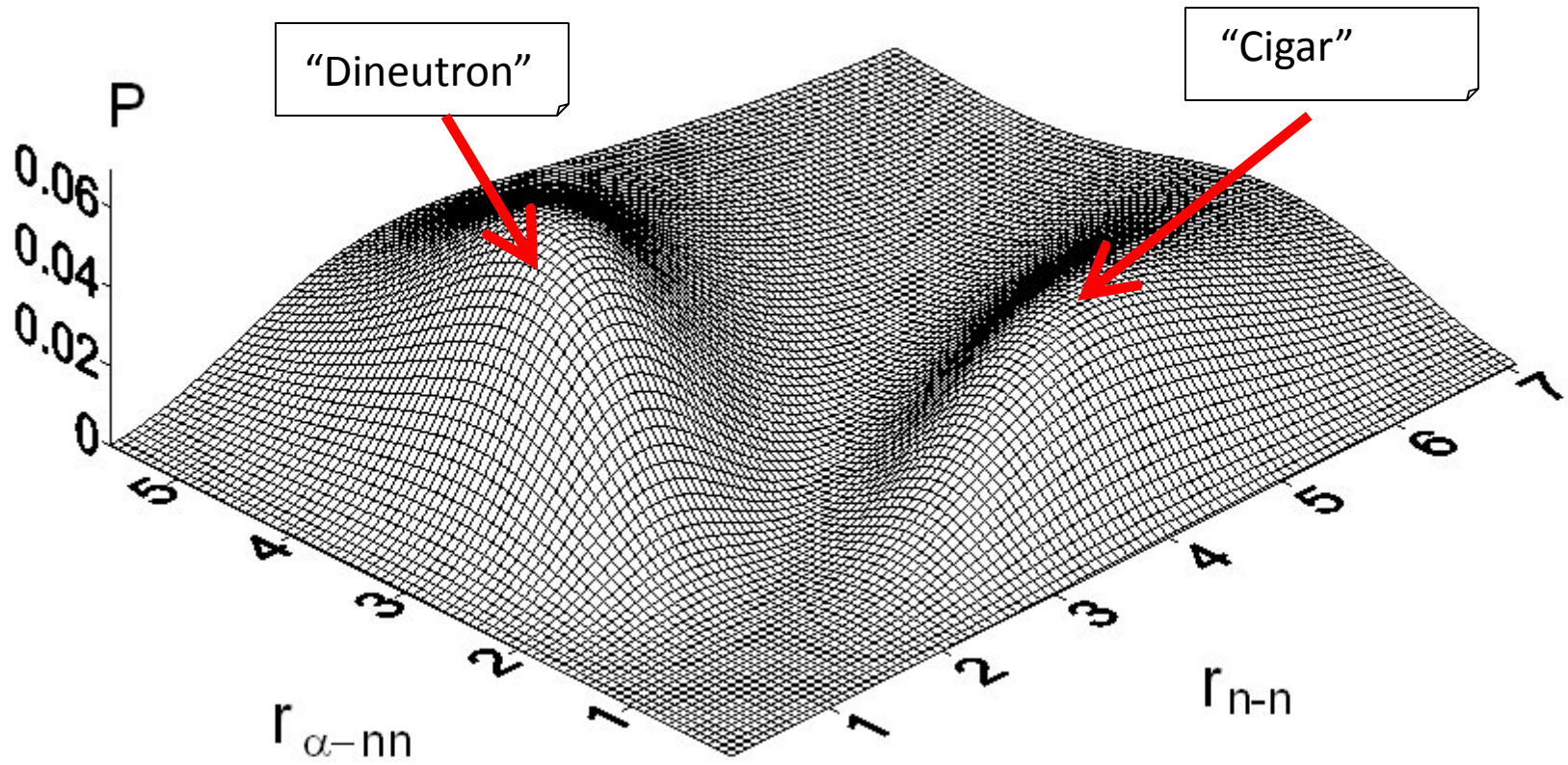
${}^6\text{He}$	Theor.	Exp
Energy	-0.78 MeV	-0.97 MeV
$\sqrt{\langle r^2 \rangle}$	2.42 fm	2.33 ± 0.04 fm

→ 3 body effects?

→ “effective” interactions?

3. Non-microscopic models

${}^6\text{He}$ wave functions ($S=0$)



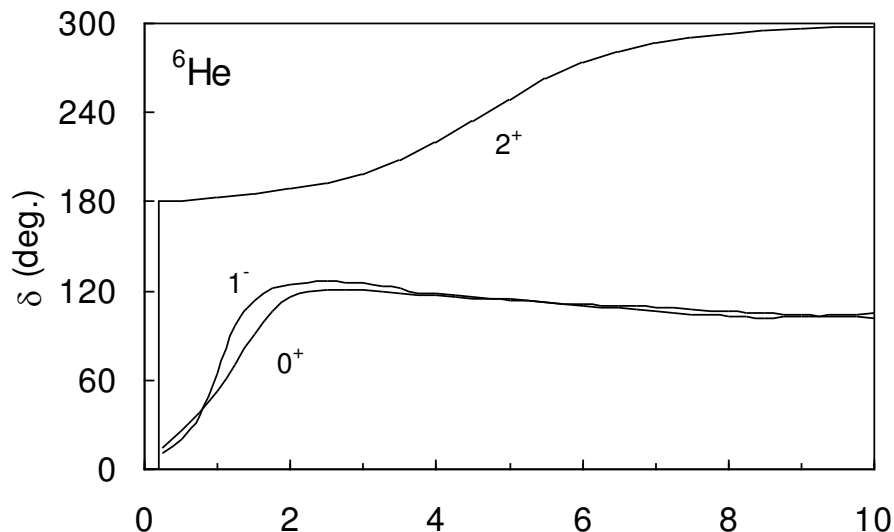
3. Non-microscopic models

Specific problems of 3-body scattering states ($E > 0$)

$$-\frac{\hbar^2}{2m_N} \left(\frac{d^2}{d\rho^2} - \frac{K(K+4)}{\rho^2} \right) \chi_{K\gamma}^L(\rho) + \sum_{K',\gamma'} V_{K\gamma,K'\gamma'}(\rho) \chi_{K'\gamma'}^L(\rho) = E \chi_{K\gamma}^L(\rho)$$

- Many hypermomenta (K-values) → large set for large Kmax (**slow convergence**)
- **Long range of the potentials:** behave as $\sim 1/\rho^3$
 - the asymptotic coulomb behaviour is not reached before ($\sim 500-1000$ fm!)
 - propagation methods are necessary

R-matrix application to 3-body systems: P. D., E. Tursunov, D. Baye, *Nucl. Phys. A* 765 (2006) 370



${}^6\text{He}$

3-body $\alpha+n+n$ phase shifts (+wave functions)

2^+ well known narrow resonance

$0^+, 1^-$: « broad structures »

Break-up cross sections:

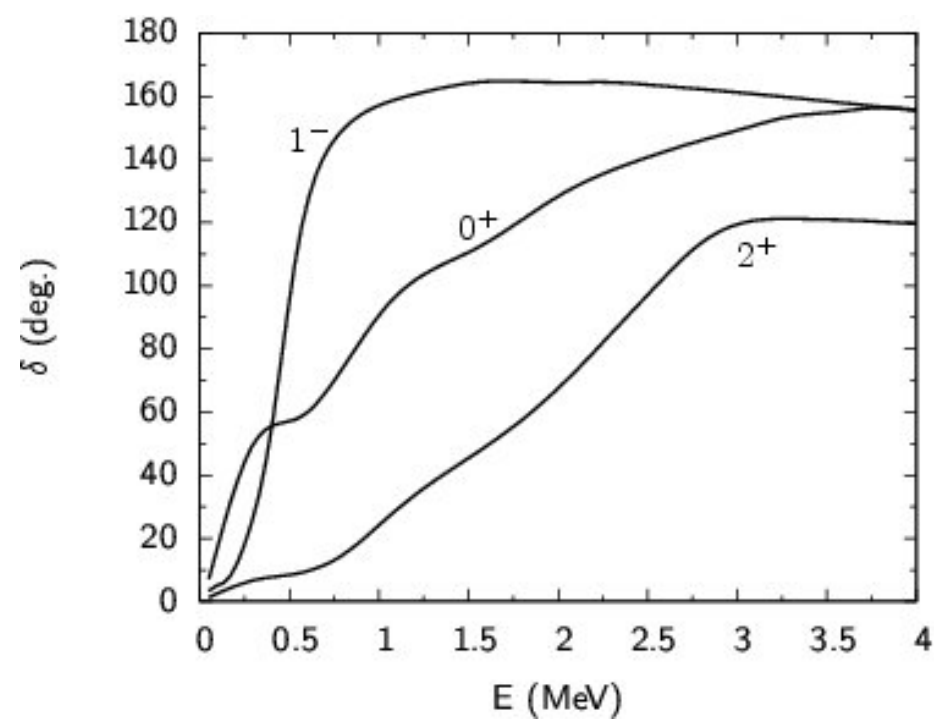
D. Baye, P. Capel, P. D., and Y. Suzuki: *Phys. Rev. C* 79 (2009) 024607

3. Non-microscopic models

Recent work on ^{11}Li

- Ref: E.C.Pinilla, P.D., D. Baye, *Phys. Rev. C* 85 (2012) 054610
- ^{11}Li described by a $^9\text{Li}+n+n$ structure (spin of ^9Li is neglected)

three-body phase shifts



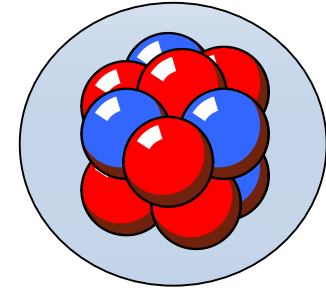
^{11}Li
Narrow 1^- resonance near 0.5 MeV

4. Microscopic models: overview

1. Overview of different models
2. Cluster models

4. Microscopic models: overview

Definition of a microscopic model



- **Wave functions**
 - fully antisymmetric
 - Depend on all nucleon coordinates → complicated many-body problem!
 - Exchange of particles i and j
Pauli principle

$$P_{ij}\Psi(1,2, \dots i, \dots j, \dots A) = -\Psi(1,2, \dots j, \dots i, \dots A)$$

- **Hamiltonian**
 - given by

$$H = \sum_i T_i + \sum_{j>i} V_{ij} + \sum_{k>j>i} V_{ijk} + \dots$$

with

T_i = kinetic energy of nucleon i

V_{ij} = two-body nucleon-nucleon interaction

- Contains a nuclear part V_{ij}^N : short range
- Contains a coulomb part V_{ij}^C : long range $\sim e^2/r$

V_{ijk} = three-body interaction (often neglected)

4. Microscopic models: overview

Main advantages

- Predictive power: in principle there is no parameter
- Coherent description of different processes:
 - spectroscopy (energies, radii, electromagnetic transitions, etc.)
 - scattering (elastic, transfer, radiative capture, etc.)

Main problems

- V_{ij}^N is not exactly known
→ approximations, **effective** NN interactions (adapted to the model)
- The Schrödinger equation may involve many terms ($A(A-1)/2$)
→ cannot be solved exactly
- Difficult to apply to scattering states

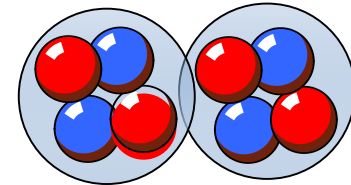
→ various models

- Shell model (and extensions: No-core shell model)
- Fermionic Molecular Dynamics (FMD), Antisymmetrized Molecular Dynamics (AMD)
- **Cluster models: Resonating Group Method (RGM), Generator Coordinate Method (GCM)**

4. Microscopic models: overview

Cluster models

- the A nucleons form « clusters » inside the nucleus
- origin: the α particle is strongly bound \rightarrow keeps its own identity in the nucleus
- typical clusters: strongly bound nuclei (**alpha particle**)
- example : ${}^8\text{Be}=\alpha+\alpha$ - formed of 4 neutrons and 4 protons grouped in 2 α



- **Cluster approximation** $\Psi = \mathcal{A}\phi_1\phi_2g(\rho)$

with

ϕ_1, ϕ_2 = internal wave functions (**input, shell-model**)

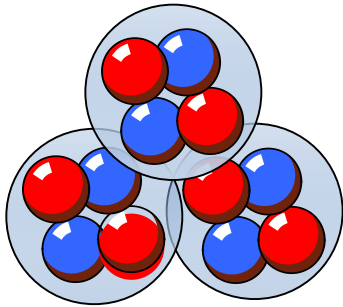
$g(\rho)$ = relative wave function (**output**)

\mathcal{A} = antisymmetrization operator

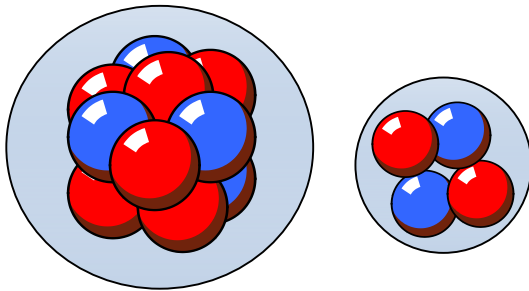
=**Resonating Group Method (RGM)**

- Describes spectroscopy and reactions
 \rightarrow easy access to unbound states (+widths)

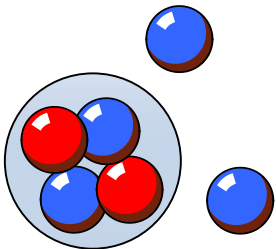
4. Microscopic models: overview



^{12}C described by 3 alphas



^{20}Ne described by $^{16}\text{O} + \alpha$

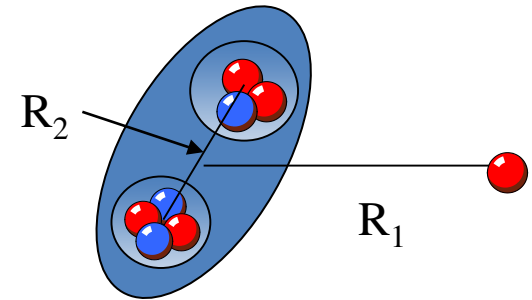


^6He described by $\alpha + n + n$
nucleon = « particular » cluster, numerical techniques identical

4. Microscopic models: cluster models

Extensions

- 3 clusters (or more)
projection more complicated (multidimension)
- p, sd orbitals: many Slater determinants
→ analytical calculations not possible
- Multichannel calculations: $\Psi = \mathcal{A}\phi_1\phi_2g(\rho) + \mathcal{A}\phi_1^*\phi_2^*g^*(\rho) + \dots$
→ core excitations (important in many nuclei)
→ better wave functions
→ inelastic scattering, transfer



5. Applications of microscopic cluster models

2-cluster models

- $^{17}\text{C}/^{17}\text{Na}$ (recent \rightarrow exotic nuclei)

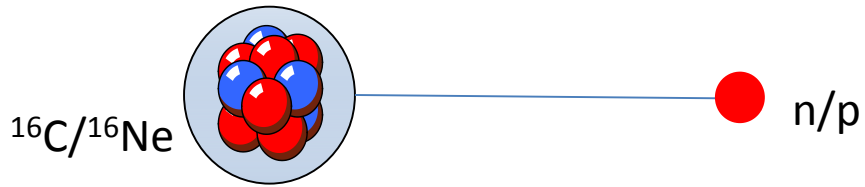
3-cluster models

- $^6\text{He} = \alpha + n + n$
- $^{12}\text{C} = \alpha + \alpha + \alpha$

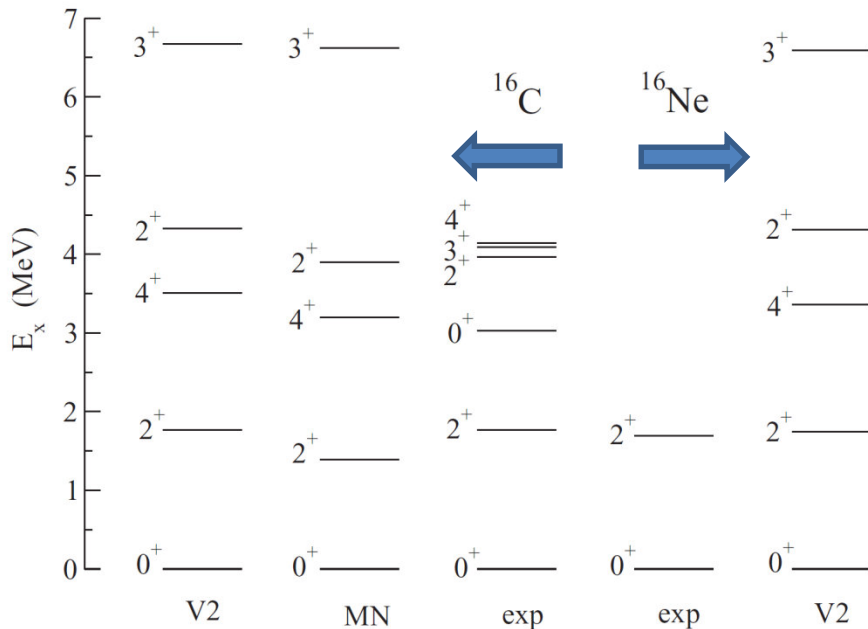
5. Applications of microscopic cluster models: ^{17}C / ^{17}Na

The ^{17}C and ^{17}Na mirror nuclei

- Ref: N. Timofeyuk, P.D. , Phys. Rev. C81 (2010) 051301
- ^{17}Na unstable (no experimental data but ^{19}Na unstable)
- The mirror ^{17}C nucleus is well known \rightarrow test with charge symmetry
- Two-cluster systems: $^{16}\text{C}+n$, $^{16}\text{Ne}+p$



- $^{16}\text{C}/^{16}\text{Ne}$ wave functions: 6 protons (s^2p^4), 10 neutrons (s^2, p^6, sd^2) \rightarrow $15 \times 66 = 990$ SD

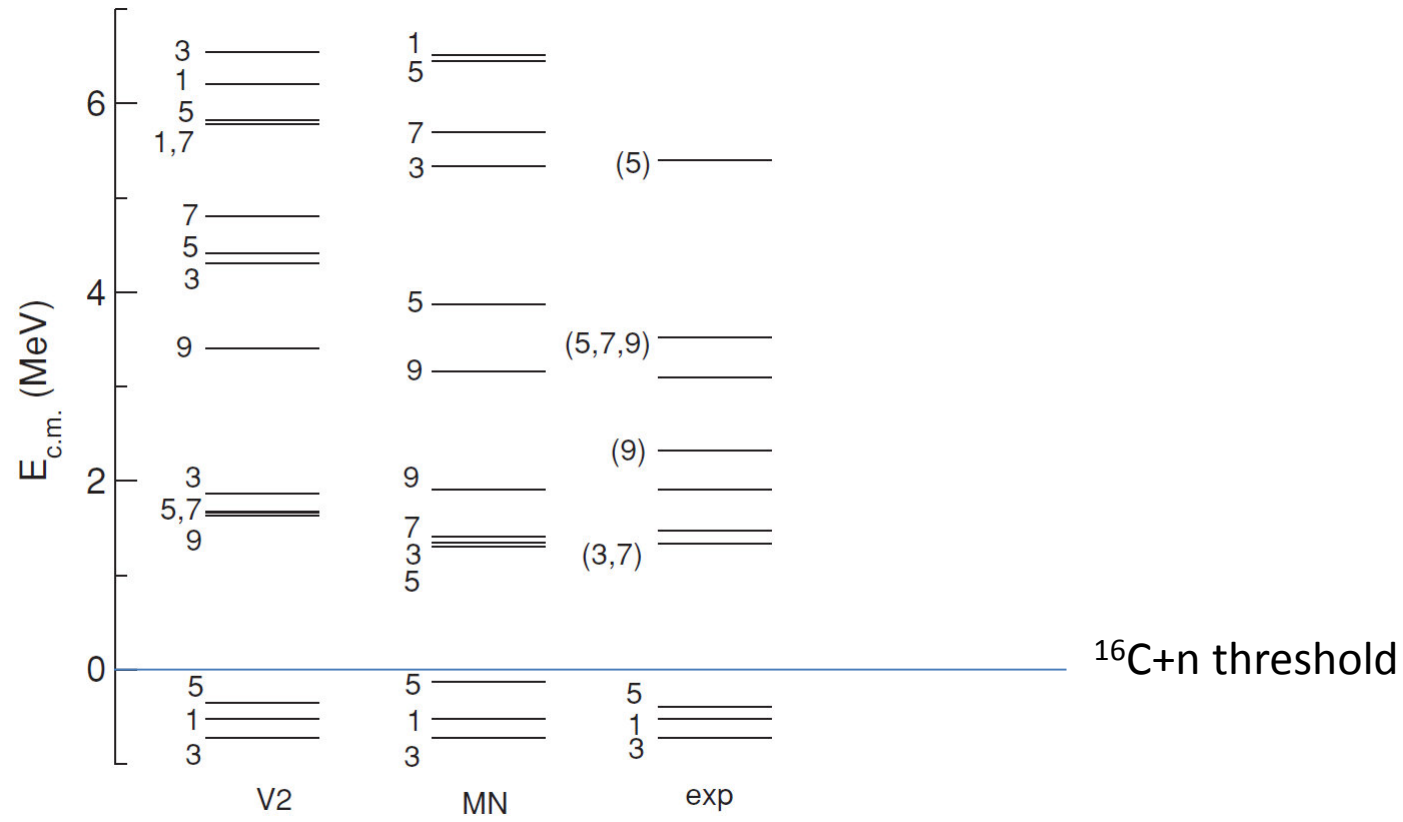


Two NN interactions: MN and V2

5. Applications of microscopic cluster models: ^{17}C / ^{17}Na

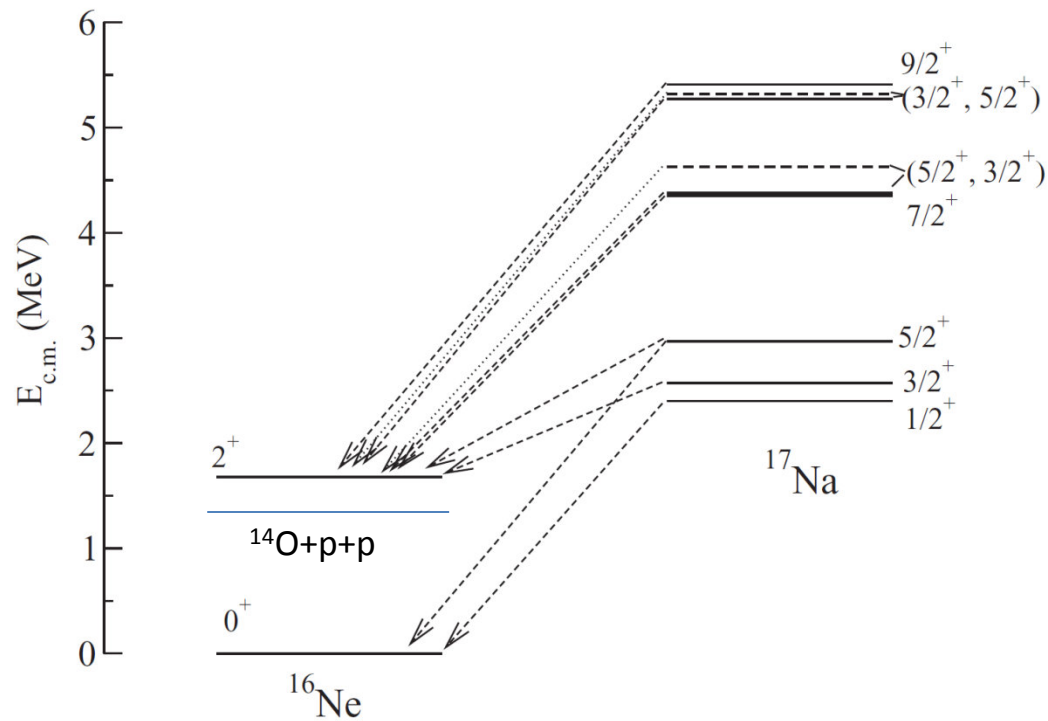
^{17}C spectrum (positive parity)

Two NN interaction V2 and MN (+spin-orbit)



5. Applications of microscopic cluster models: ^{17}C / ^{17}Na

^{17}Na spectrum

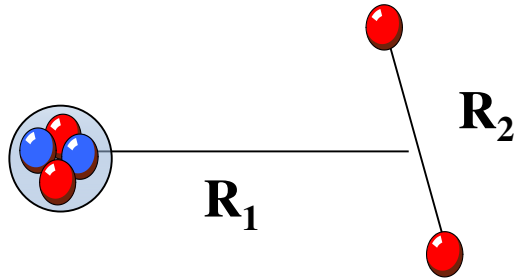


J	E	$\Gamma(0^+)$	$\Gamma(2^+)$
$1/2^+$	2.40	1.36	
$3/2^+$	2.57	0.001	0.024
$5/2^+$	2.97	0.123	0.021
$7/2^+$	4.35	8×10^{-8}	0.025

- all states are unbound \rightarrow importance of continuum
 - ground state: broad ($\ell = 0$) resonance
 - excited states: narrow ($\ell = 2$), important decay to the $^{16}\text{Ne}(2^+) + p$ channel
- \rightarrow 3 proton emitters

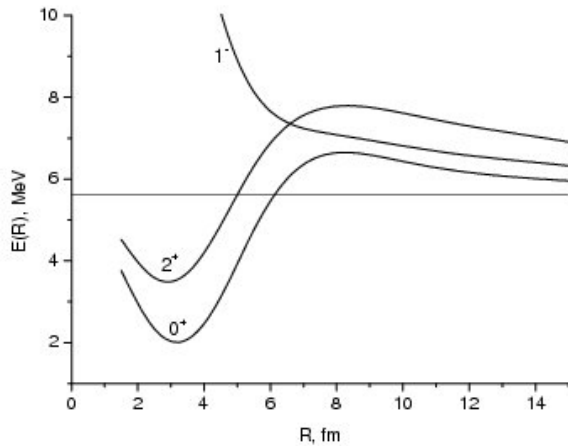
5. Applications of microscopic cluster models: ${}^6\text{He}$ and ${}^6\text{Li}$

S. Korenov, P.D., Nucl. Phys. A740 (2004) 249

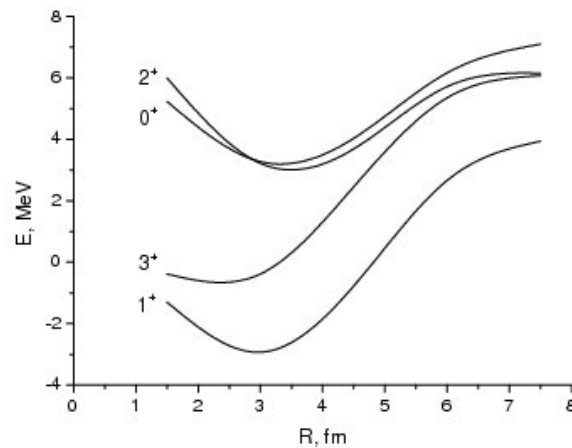


Hyperspherical coordinate:

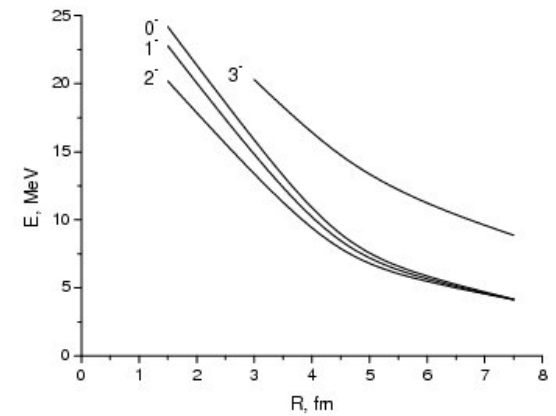
$$R \sim \sqrt{R_1^2 + R_2^2}$$



${}^6\text{He}$

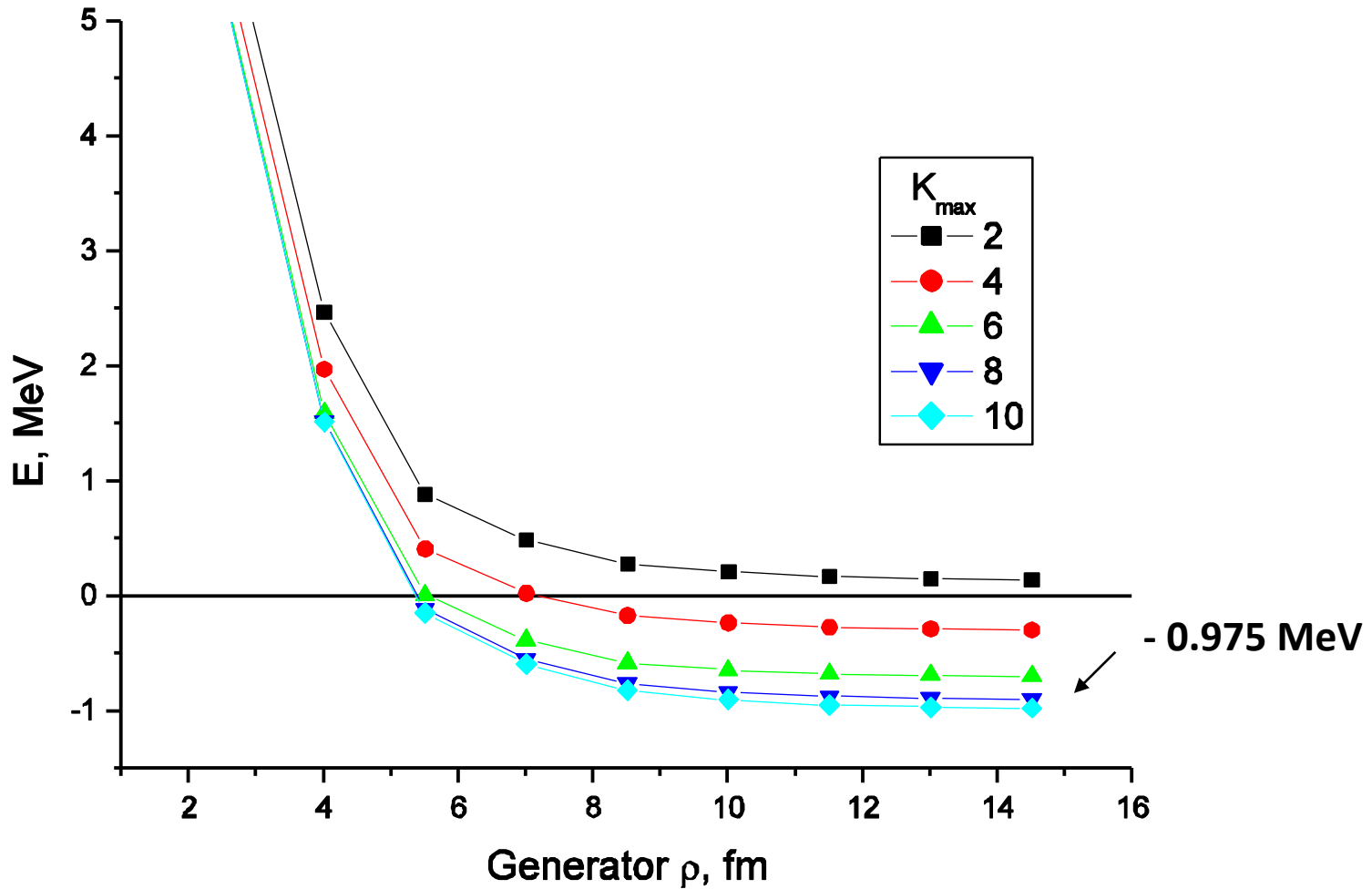


${}^6\text{Li}, \pi=+$



${}^6\text{Li}, \pi=-$

Energy convergence, 0^+



Applications

CDCC

- 2-body: $^{11}\text{Be}+^{64}\text{Zn}$
- 3-body: $^9\text{Be}+^{208}\text{Pb}$
- Microscopic CDCC: $^7\text{Li}+^{208}\text{Pb}$

Eikonal:

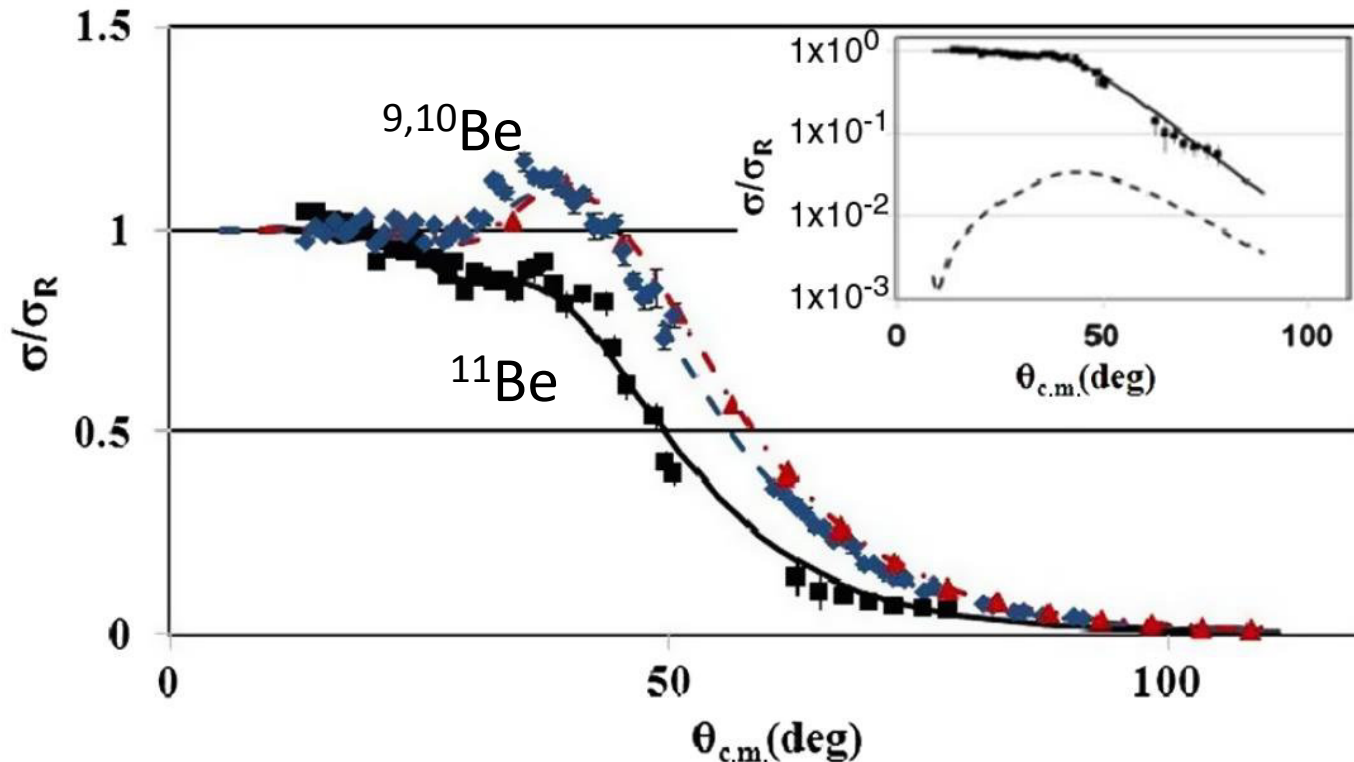
- Three-body breakup
- Microscopic eikonal (elastic scattering)

1. CDCC METHOD WITH 2-BODY PROJECTILES: $^{11}\text{Be}+^{64}\text{Zn}$

$^{9,10,11}\text{Be}+^{64}\text{Zn}$ at 25 MeV:

A. Di Pietro et al., Phys. Rev. Lett. 105, 022701 (2010)

A. Di Pietro et al., Phys. Rev. C85, 054607 (2012)



Important difference between $^{9,10}\text{Be}$ and ^{11}Be : role of the halo structure

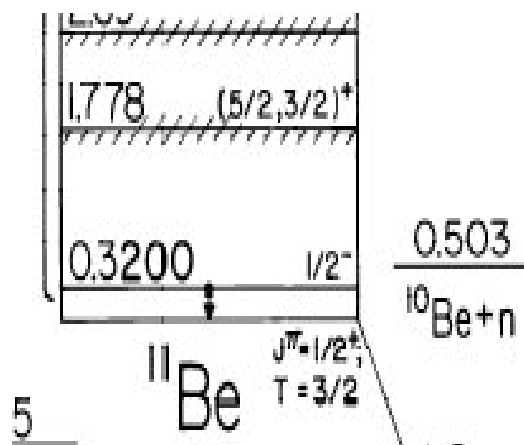
1. CDCC METHOD WITH 2-BODY PROJECTILES: $^{11}\text{Be}+^{64}\text{Zn}$

Recent work: T. Druet, P.D., Eur. J. Phys. 48 (2012) 1

Main goal: to analyse the convergence of the cross sections (elastic, inelastic, breakup)

Conditions of the calculations: 3 potentials

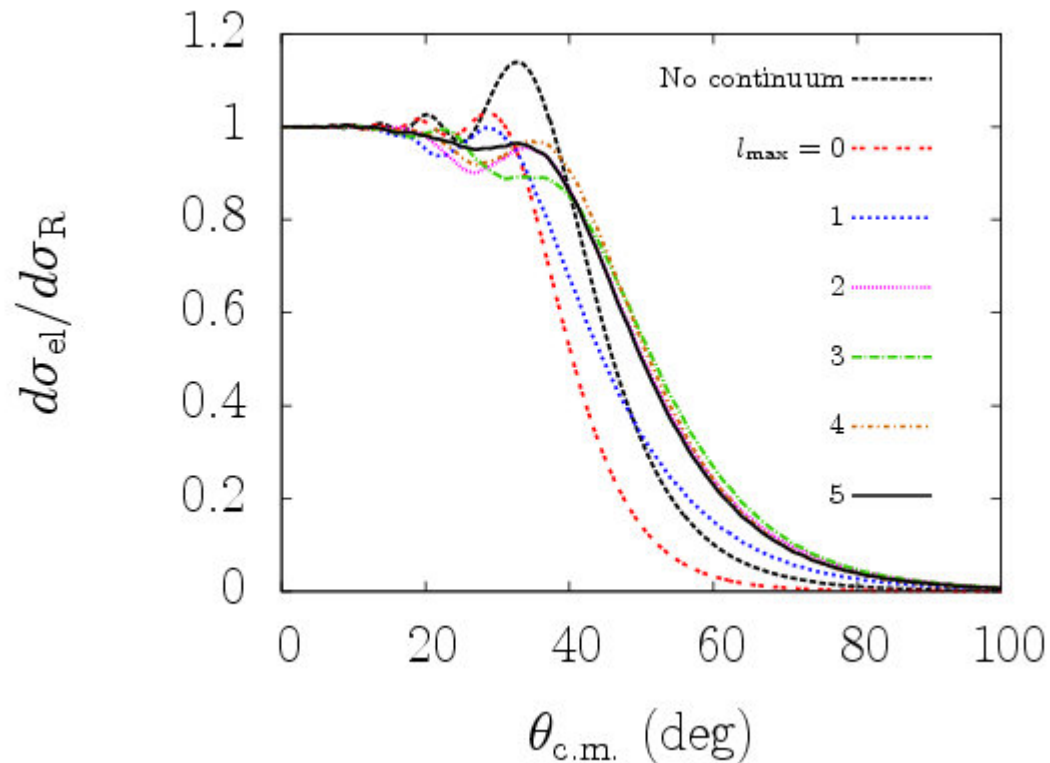
- $^{10}\text{Be}+^{64}\text{Zn}$: optical potential from experiment
- $n+^{64}\text{Zn}$: global parametrization of Koning-Delaroche
- $n+^{10}\text{Be}$: P. Capel et al., PRC 70 (2004) 064605: reproduces bound states and $5/2^+$ resonance



Elastic cross section (data contain inelastic components)

First calculation : no spin-orbit force \rightarrow reduces the size of the system by a factor 2

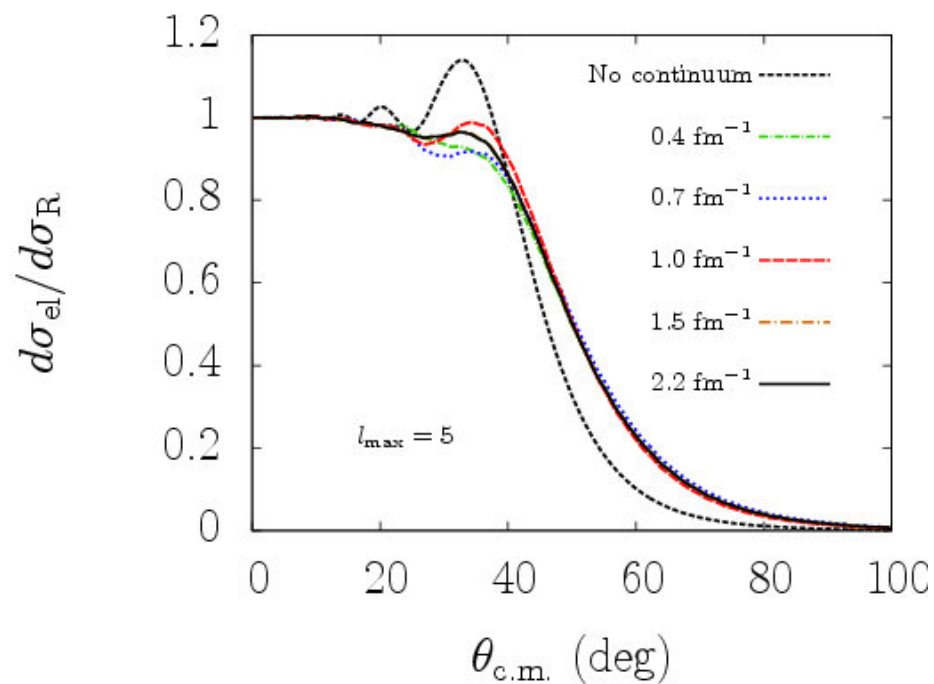
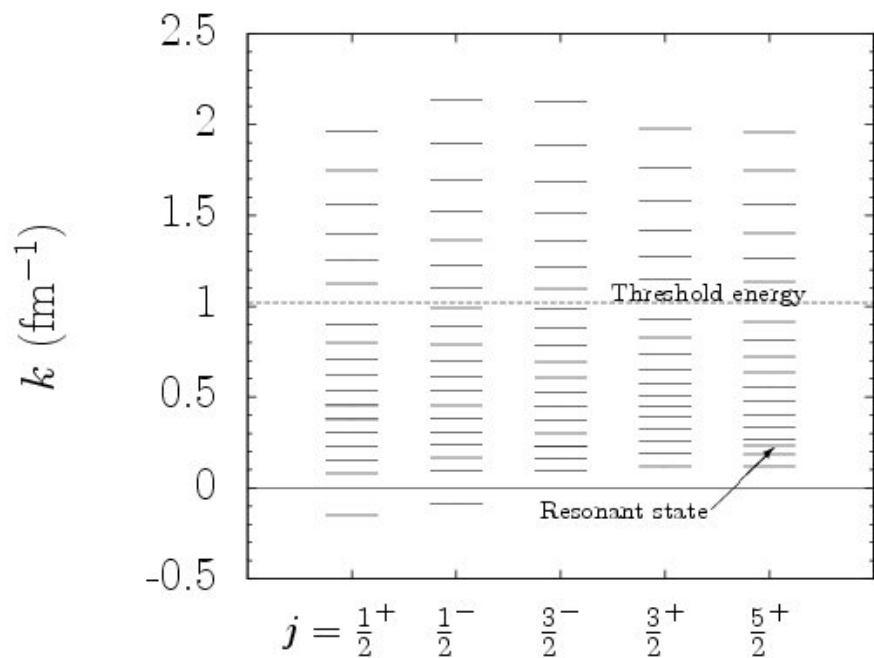
convergence with ℓ ($n+^{10}\text{Be}$ angular momentum)



\rightarrow slow convergence

1. CDCC METHOD WITH 2-BODY PROJECTILES: $^{11}\text{Be}+^{64}\text{Zn}$

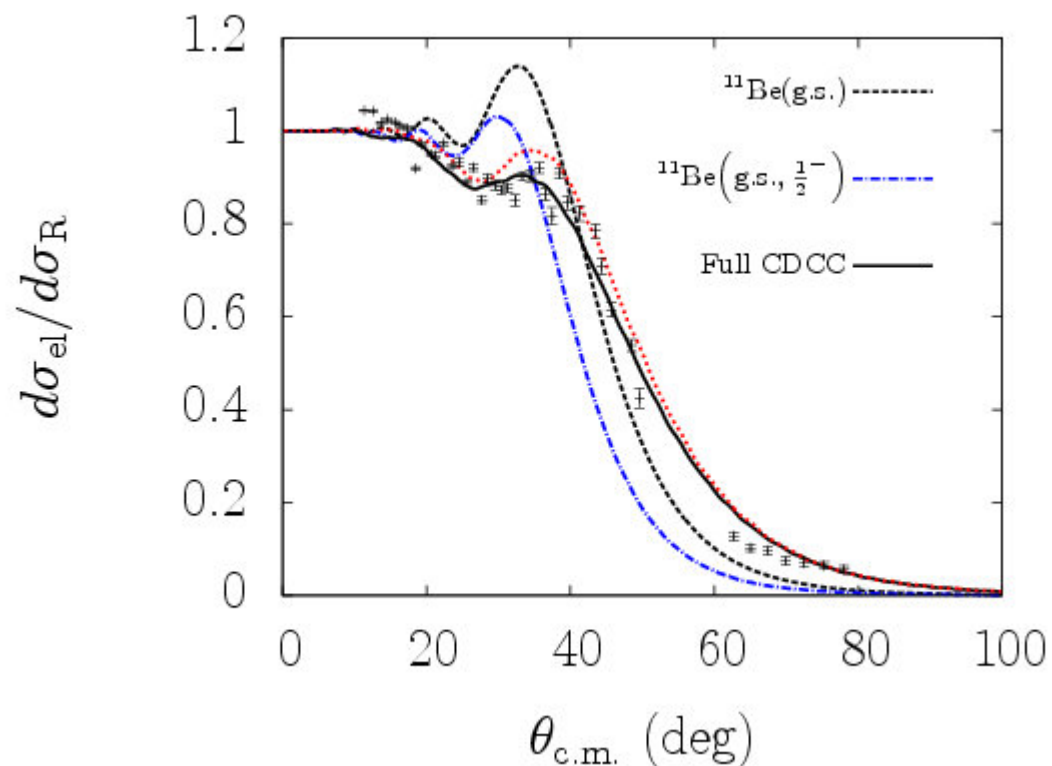
Convergence with the pseudostates



1. CDCC METHOD WITH 2-BODY PROJECTILES: $^{11}\text{Be}+^{64}\text{Zn}$

Comparison with experiment

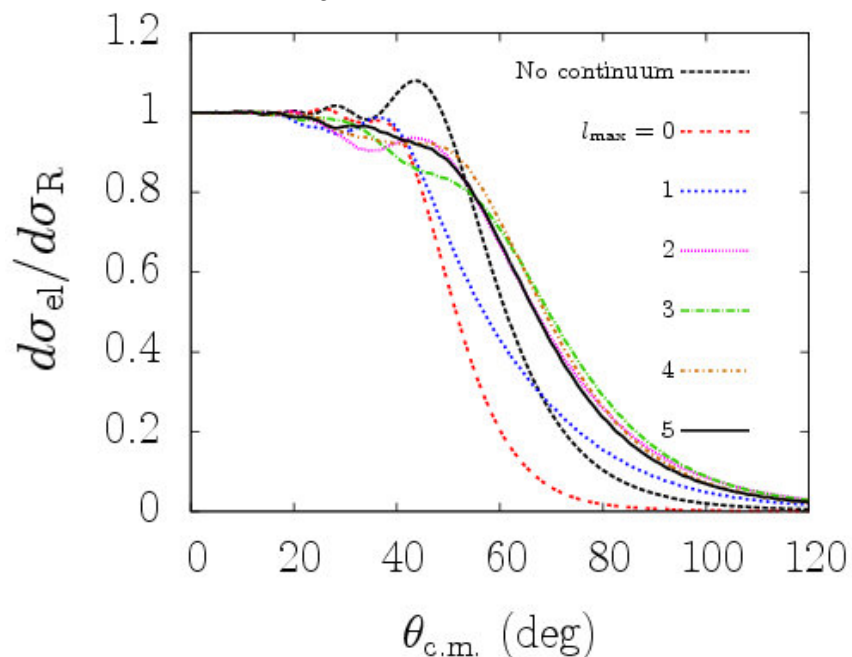
Calculation with $l_{\text{max}}=2$ (small inaccuracy near $\theta \sim 40^\circ$)



→ importance of break-up channels!

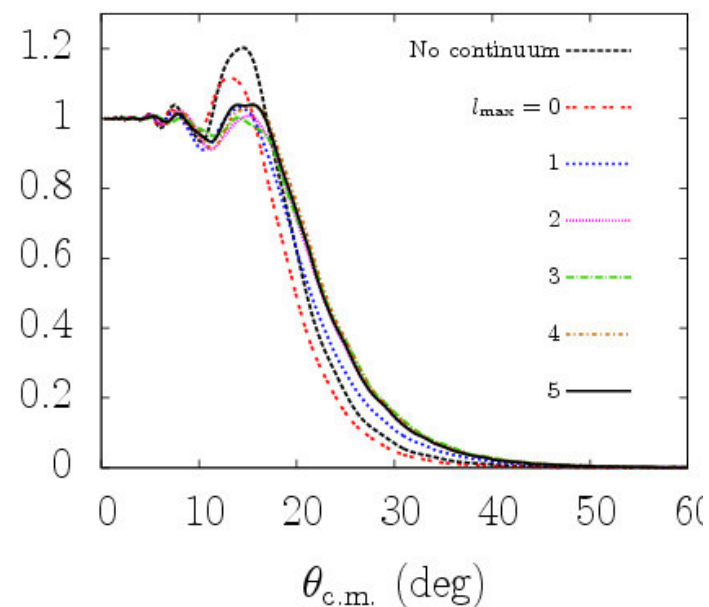
Influence of the collision energy

$E_{\text{cm}} = 20.5 \text{ MeV}$



Slow convergence
 $l_{\text{max}} = 5$ not sufficient

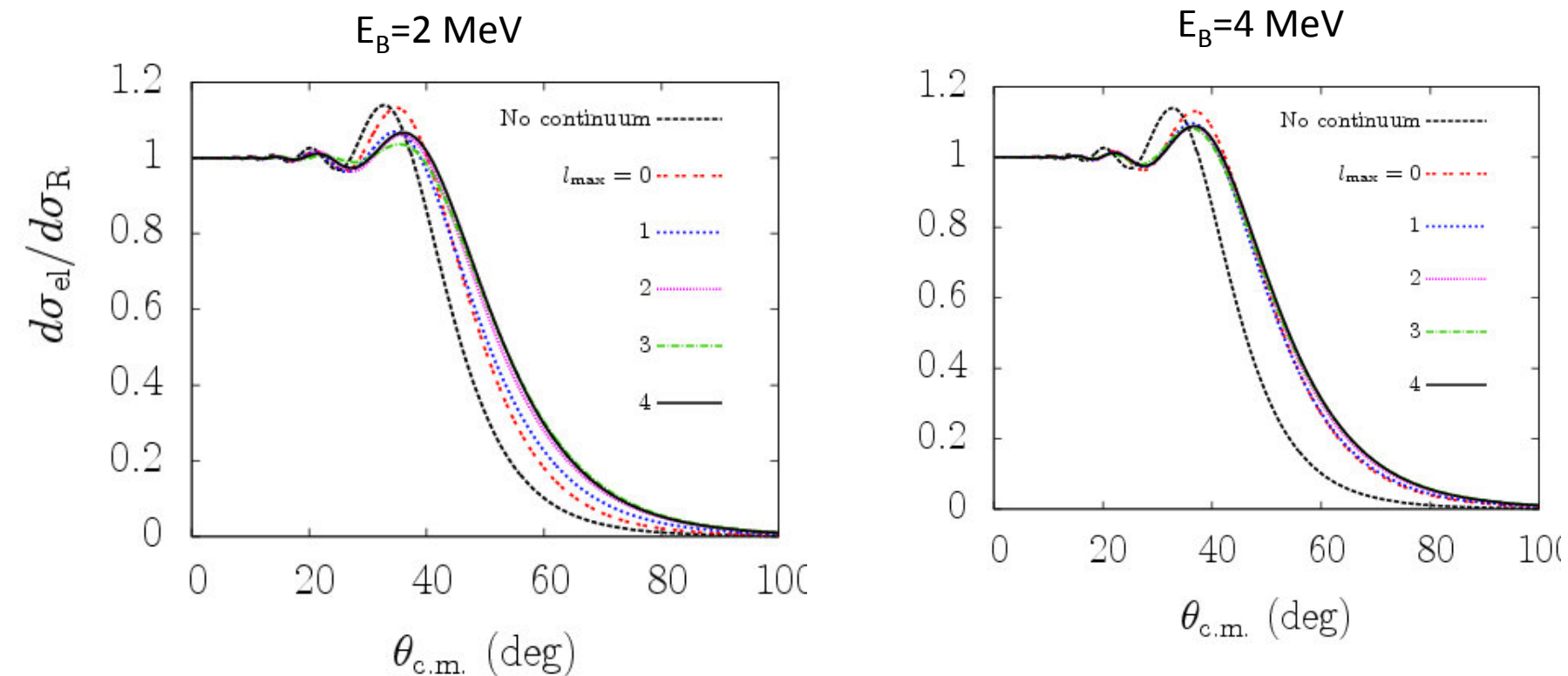
$E_{\text{cm}} = 44 \text{ MeV}$



Fast convergence
 $l_{\text{max}} = 2$ sufficient

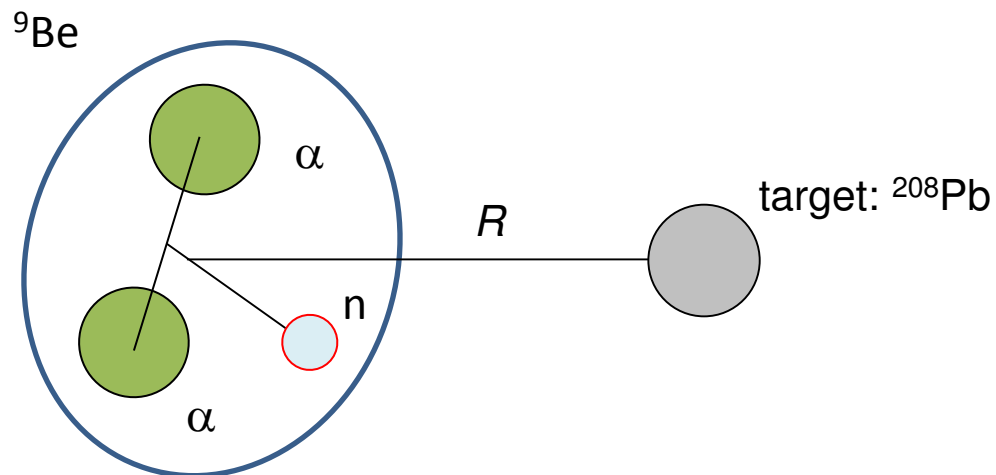
Influence of the binding energy of the projectile (numerical simulation)

Original (experimental) energy: $E_B=0.5$ MeV



→ faster convergence when the binding energy increases

2. CDCC METHOD WITH 3-BODY PROJECTILES: ${}^9\text{Be}+{}^{208}\text{Pb}$

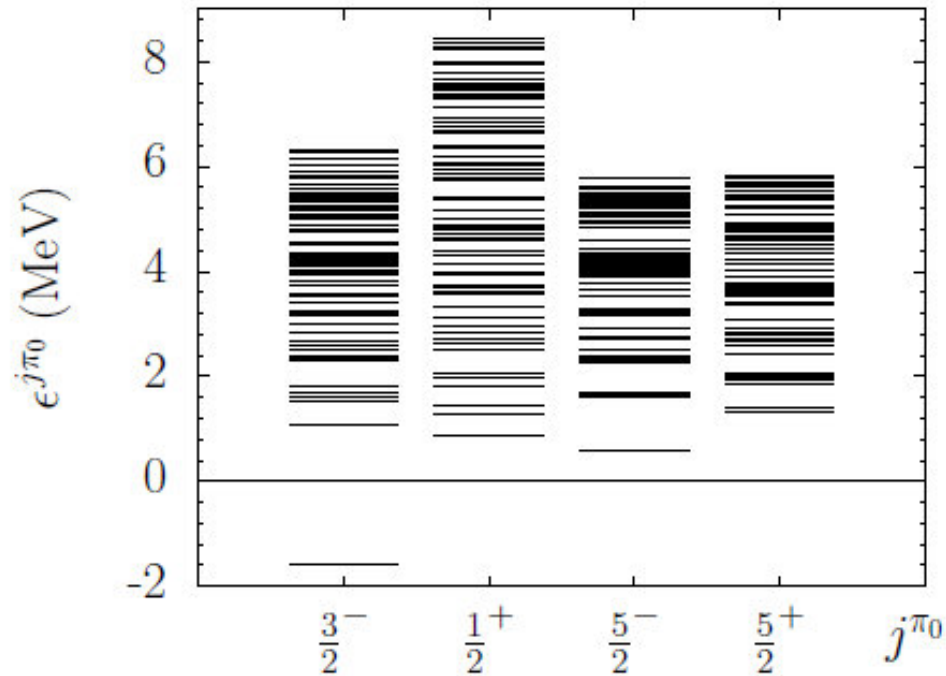


Description of ${}^9\text{Be}=\alpha+\alpha+n$ (preliminary results!)

- $\alpha+\alpha$ potential: Buck et al.
- $\alpha+n$: Kanada et al.

Both reproduce the experimental phase shifts

Discretization of the three-body $\alpha+\alpha+n$ continuum



many pseudostates!

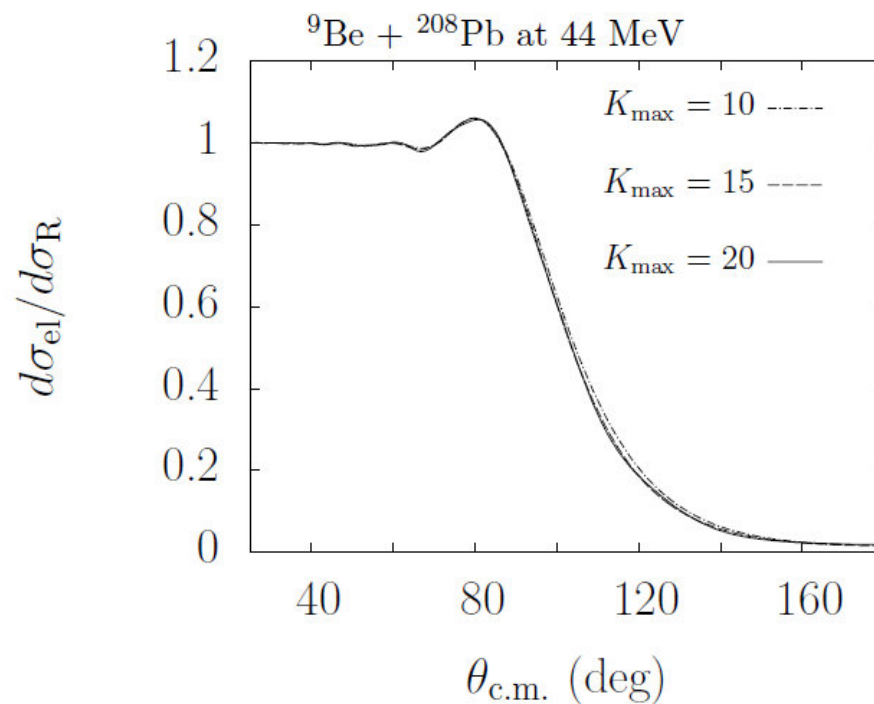
Ground-state of ${}^9\text{Be}$ $J=3/2^-$

Fitted by renormalizing the $\alpha-\alpha$ potential by 0.94
rms radius:

- theory: 2.41 fm
- exp: 2.45 ± 0.01 fm

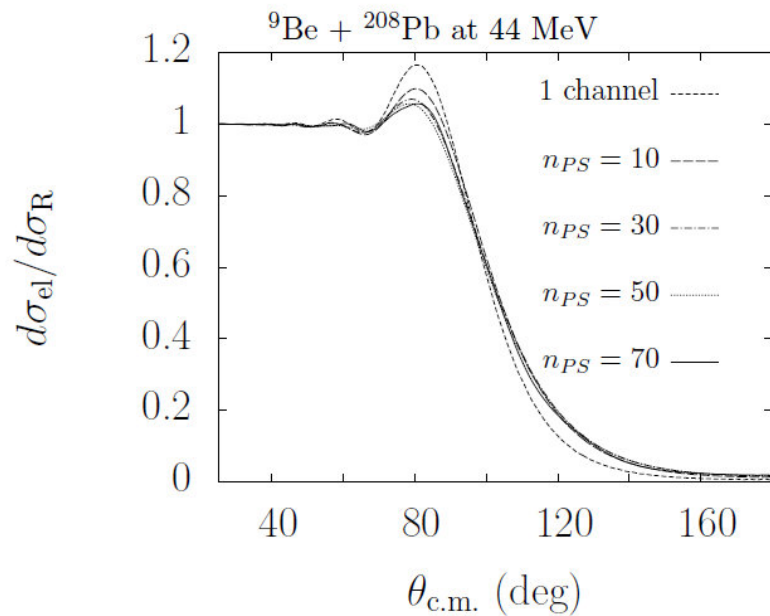
Elastic scattering ${}^9\text{Be}+{}^{208}\text{Pb}$

- $\alpha+{}^{208}\text{Pb}$ and $n+{}^{208}\text{Pb}$ optical potentials taken from literature
- Convergence with
 - K_{max} (typical of 3-body problems)
 - j_{max}
 - discretization

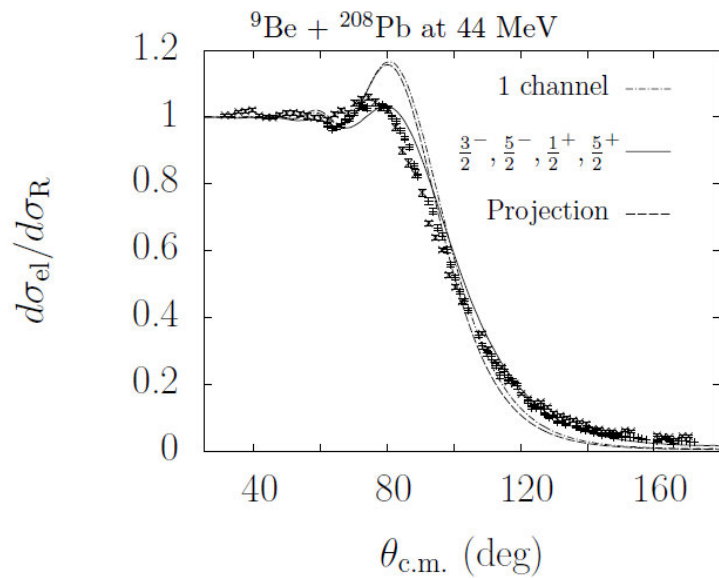


convergence with K_{max}
Binding energy readjusted

2. CDCC METHOD WITH 3-BODY PROJECTILES: ${}^9\text{Be}+{}^{208}\text{Pb}$



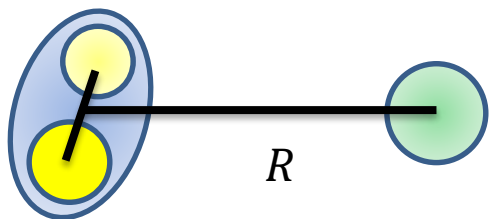
discretization: number of pseudostates
 n_{ps}



convergence with j_{max}

Comparison between both variants

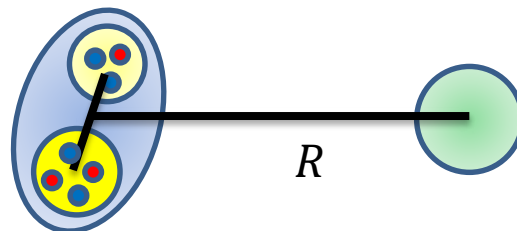
Non-microscopic CDCC



$$H = H_0(r) - \frac{\hbar^2}{2\mu} \Delta_R + V_{ct} \left(-\frac{A_f}{A} r + R \right) + V_{ft} \left(\frac{A_c}{A} r + R \right)$$

- Depends on **nucleus-target** interactions between the core/fragment and the target
- **Approximate wave functions** of the projectile
- Core excitations **difficult** (definition of the potentials?)

Microscopic CDCC



$$H = H_0(r_1 \dots r_A) - \frac{\hbar^2}{2\mu} \Delta_R + \sum_i v(r_i - R)$$

- Depends on a **nucleon-target** interactions (in general well known)
- **Accurate wave functions** of the projectile
- Core excitations « **automatic** »

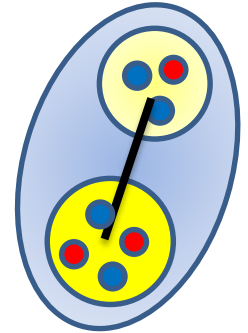
First step: wave functions of the projectile

Solve $H_0 \Phi_k^J = E_k \Phi_k^J$ for several J : ground-state but also additional J values

with $E_k < 0$: physical states

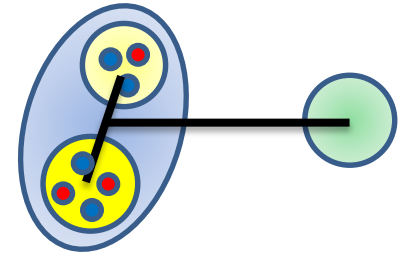
$E_k > 0$: pseudostates (approximation of the continuum)

RGM: $\Phi_k^J = \mathcal{A} \Phi_1 \Phi_2 g_k^J(r)$: combination of Slater determinants



RGM=Resonating Group Method (cluster approximation)

- 2 or 3 cluster models
- Core excitations: $\Phi^J = \sum_i \mathcal{A} \Phi_1^i \Phi_2 g^J(r)$, with $\Phi_1^i =$ excited states of cluster 1
- Example: ${}^{10}\text{Be}+n$
- Well adapted to halo nuclei
- Many RGM wave functions are available

Second step: wave function for projectile + target

$$H = H_0 - \frac{\hbar^2}{2\mu} \Delta_R + \sum_i v(r_i - R)$$

Expansion over projectile states: $\Psi(r_i, R) = \sum_{Jk} \Phi_k^J(r_i) \chi_k^J(R)$

→ Set of coupled equations $\left(-\frac{\hbar^2}{2\mu} \Delta_R + E_k^J - E\right) \chi_k^J(R) + \sum_{J'k'} V_{Jk, J'k'}(R) \chi_{k'}^{J'}(R) = 0$

$$V_{Jk, J'k'}(R) = \langle \Phi_k^J(r_i) \left| \sum_i v(r_i - R) \right| \Phi_{k'}^{J'}(r_i) \rangle$$

- Matrix elements between Slater determinants: standard techniques
- Can be also computed with the densities and folding procedures → tests are possible

3. CDCC METHOD WITH MICROSCOPIC PROJECTILES: ${}^7\text{Li}+{}^{208}\text{Pb}$

- Data: $E_{\text{lab}}=27$ to 60 MeV (Coulomb barrier ~ 35 MeV)
- **Non-microscopic calculation** at 27 MeV:
 - Parkar et al, PRC78 (2008) 021601
 - uses $\alpha-{}^{208}\text{Pb}$ and $t-{}^{208}\text{Pb}$ potentials renormalized by 0.6!

• **Microscopic calculation**

- Ref.: P.D., M. Hussein, Phys. Rev. Lett. 111 (2013) 082701

- ${}^7\text{Li}$ wave functions: include gs, $1/2^-$, $7/2^-$, $5/2^-$ and pseudostates ($E>0$)
Nucleon-nucleon potential: Minnesota interaction
Reproduces ${}^7\text{Li}/{}^7\text{Be}$, $\alpha+{}^3\text{He}$ scattering, ${}^3\text{He}(\alpha,\gamma){}^7\text{Be}$ cross section

- $Q(3/2^-)=-37.0$ e.mb (GCM), -40.6 ± 0.8 e.mb (exp.)
 $B(E2, 3/2^- \rightarrow 1/2^-)=7.5$ e²fm⁴ (GCM), 0.3 ± 0.5 e²fm⁴ (exp)

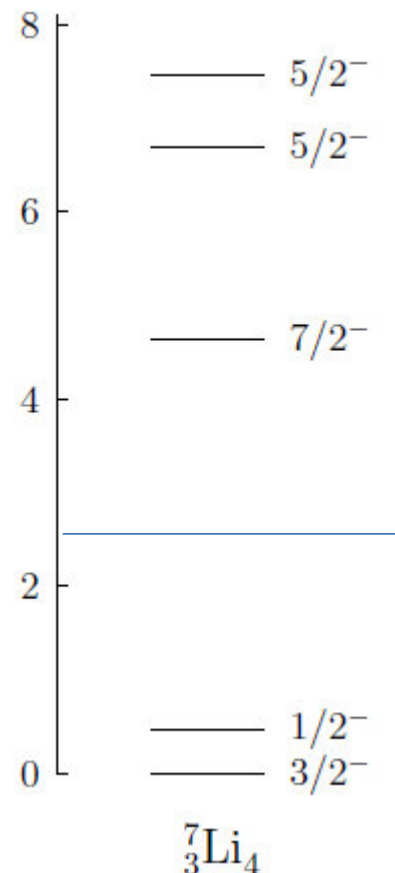
- $n-{}^{208}\text{Pb}$ potential:

local potential of Koning-Delaroche (Nucl. Phys. A 713 (2003) 231)

- $p-{}^{208}\text{Pb}$ potential:

only Coulomb ($E_p=27/7 \sim 4$ MeV, Coulomb barrier ~ 12 MeV)

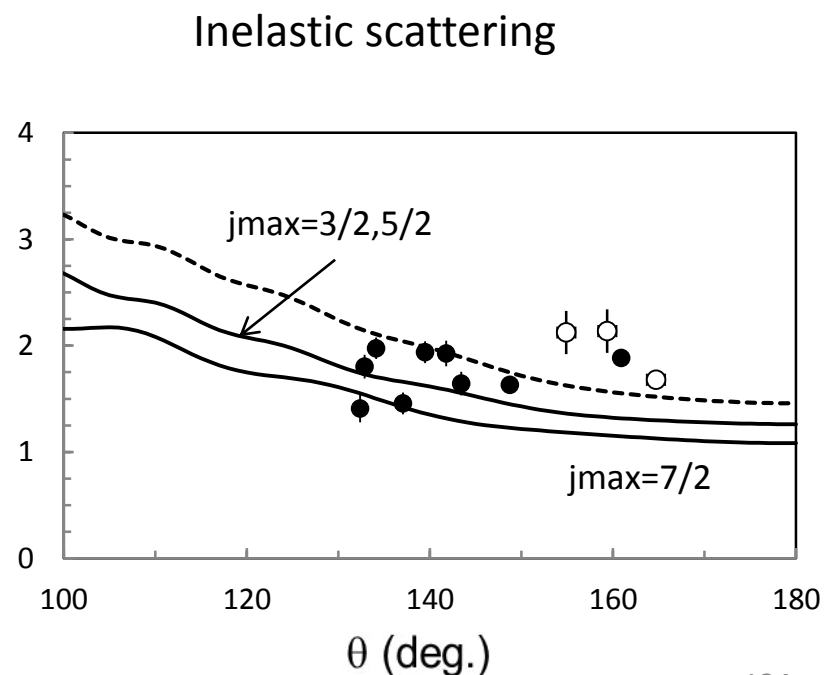
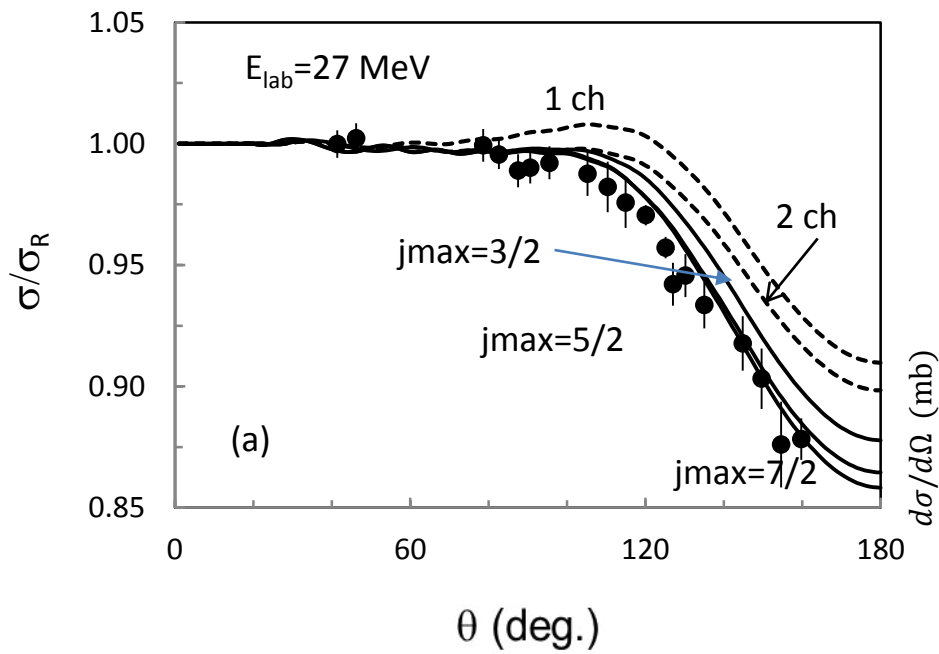
➔ NO PARAMETER



3. CDCC METHOD WITH MICROSCOPIC PROJECTILES: ${}^7\text{Li}+{}^{208}\text{Pb}$

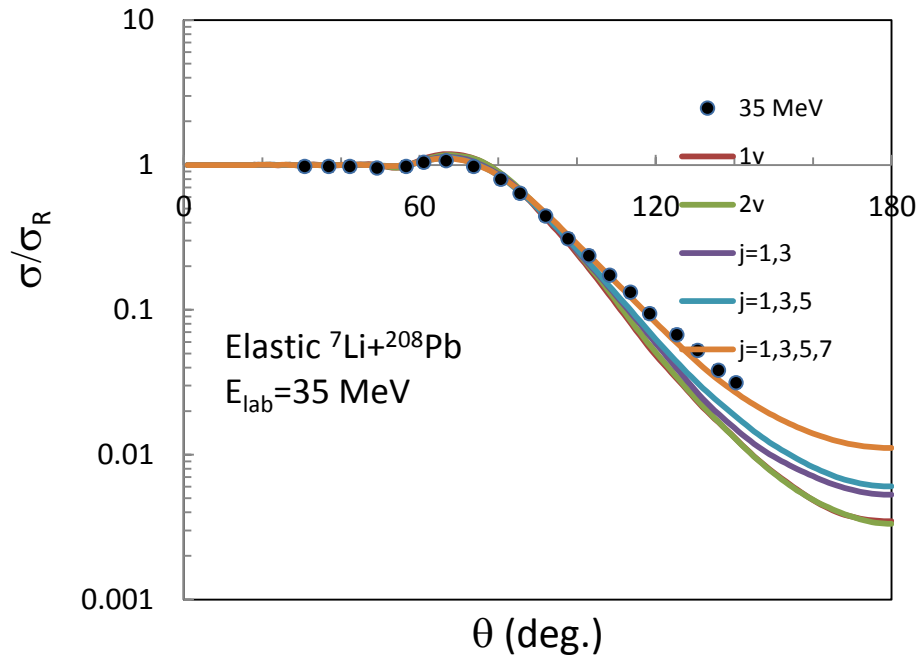
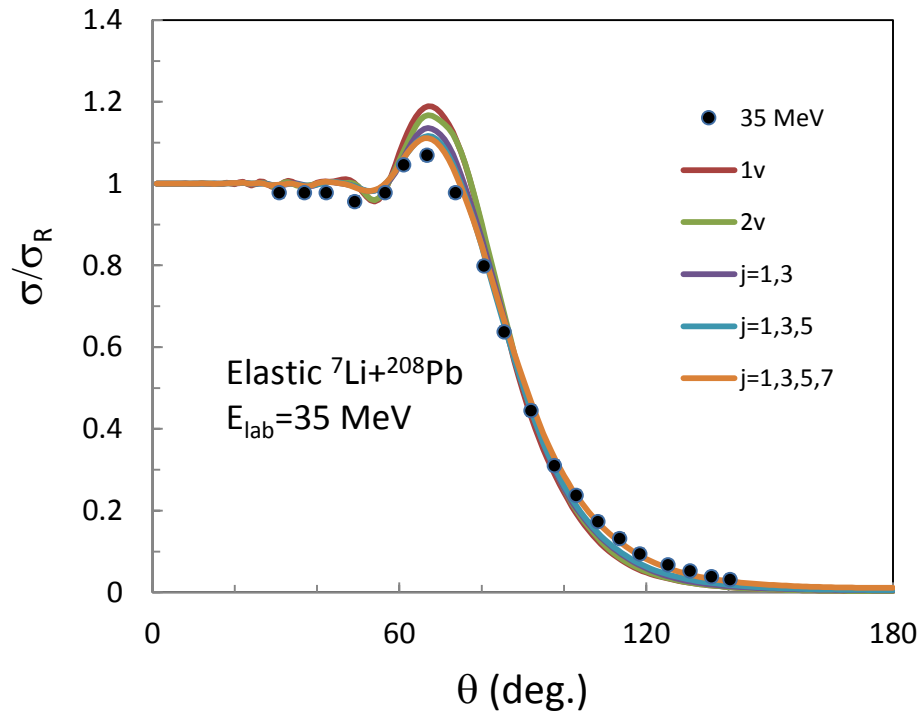
- Convergence test: single-channel: ${}^7\text{Li}(3/2^-) + {}^{208}\text{Pb}$
- two channels: ${}^7\text{Li}(3/2^-, 1/2^-) + {}^{208}\text{Pb}$
- multichannel:
 ${}^7\text{Li}(3/2^-, 1/2^-, \dots) + {}^{208}\text{Pb}$

Elastic scattering at $E_{\text{lab}}=27$ MeV

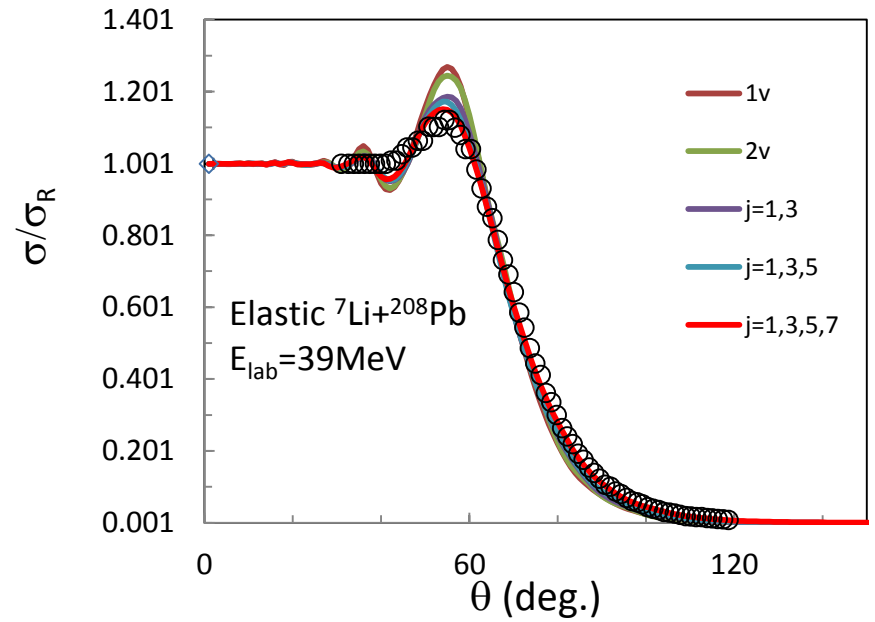
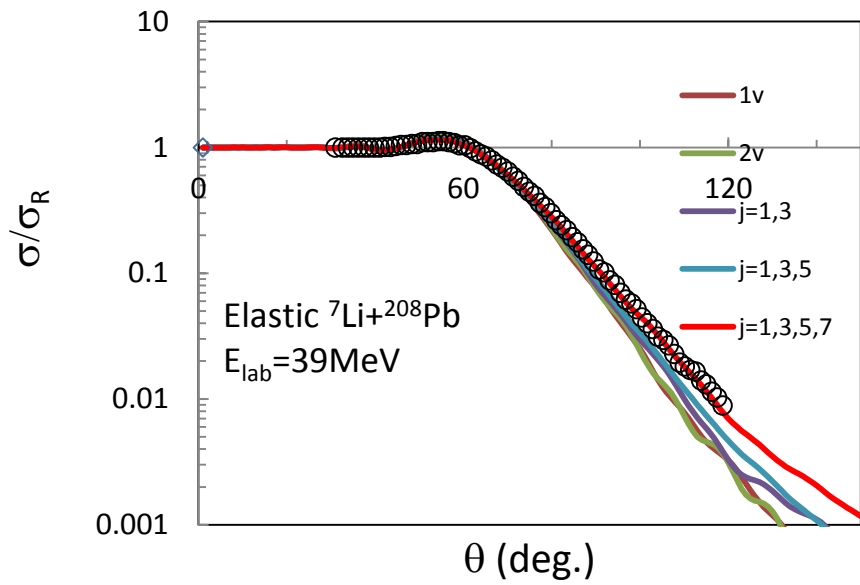


3. CDCC METHOD WITH MICROSCOPIC PROJECTILES: ${}^7\text{Li}+{}^{208}\text{Pb}$

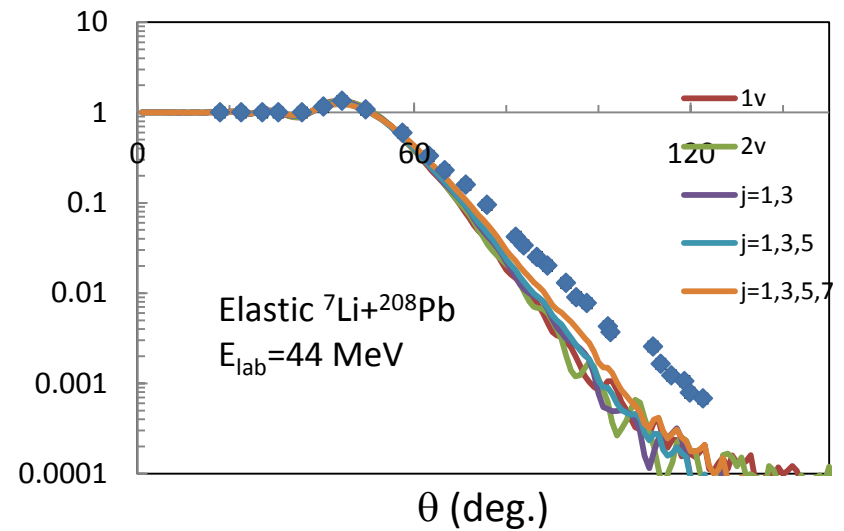
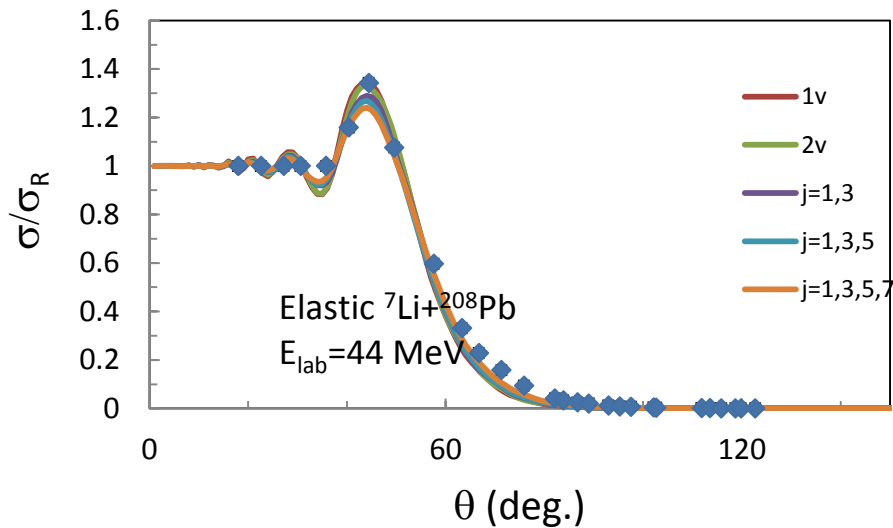
Elab=35 MeV



Elab=39 MeV



Elab=44 MeV



Underestimation at large angles and high energies.

N. Timofeuyk and R. Johnson

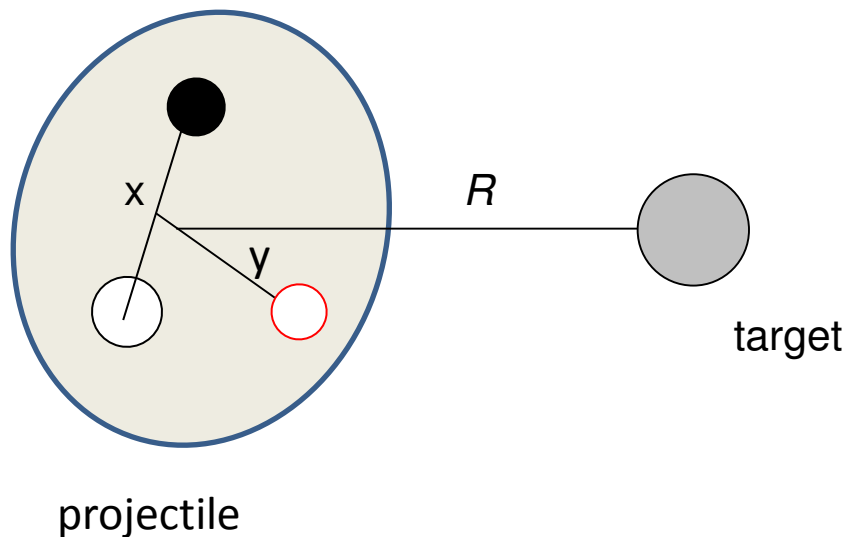
- Phys. Rev. Lett. **110**, 112501
- suggest that the nucleon energy in $A(d,p)$ reaction must be larger than $Ed/2$

→ Similar effect here?

Applications of the eikonal method

4. APPLICATION OF THE EIKONAL METHOD

Three-body breakup cross sections: D. Baye et al., Phys. Rev. C79 (2009) 024607



$$H\Phi(\mathbf{R}, \mathbf{x}, \mathbf{y}) = E\Phi(\mathbf{R}, \mathbf{x}, \mathbf{y})$$

with $H = H_0(\mathbf{x}, \mathbf{y}) + T_R + V_{PT}(\mathbf{R}, \mathbf{x}, \mathbf{y})$

$$\mathbf{R} = (\mathbf{b}, Z), \text{ b=impact parameter}$$

Eikonal approximation $\Phi(\mathbf{R}, \mathbf{x}, \mathbf{y}) = e^{iKZ} \hat{\Phi}(\mathbf{R}, \mathbf{x}, \mathbf{y})$
with $\hat{\Phi}(\mathbf{R}, \mathbf{x}, \mathbf{y}) \approx \Psi_0(\mathbf{x}, \mathbf{y}) \exp\left[-\frac{i}{\hbar v} \int_{-\infty}^Z V_{PT}(\mathbf{b}, \mathbf{Z}', \mathbf{x}, \mathbf{y}) dZ'\right]$

Then: eikonal amplitude

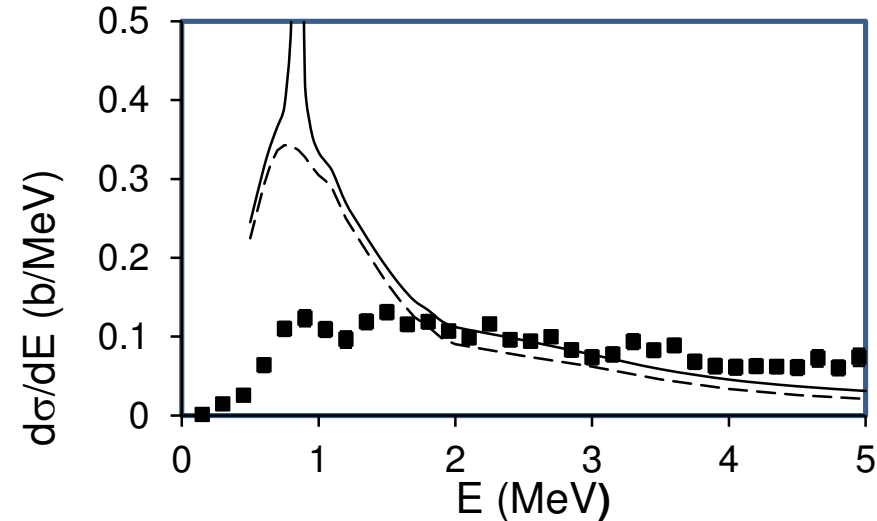
$$T_{fi} = \langle e^{i\mathbf{K}' \cdot \mathbf{R}} \Psi^-(\mathbf{x}, \mathbf{y}) | V_{PT} | \hat{\Phi}(\mathbf{R}, \mathbf{x}, \mathbf{y}) \rangle$$

3-body scattering wave function
(expanded in $J\pi$) \rightarrow **heavy calculations**

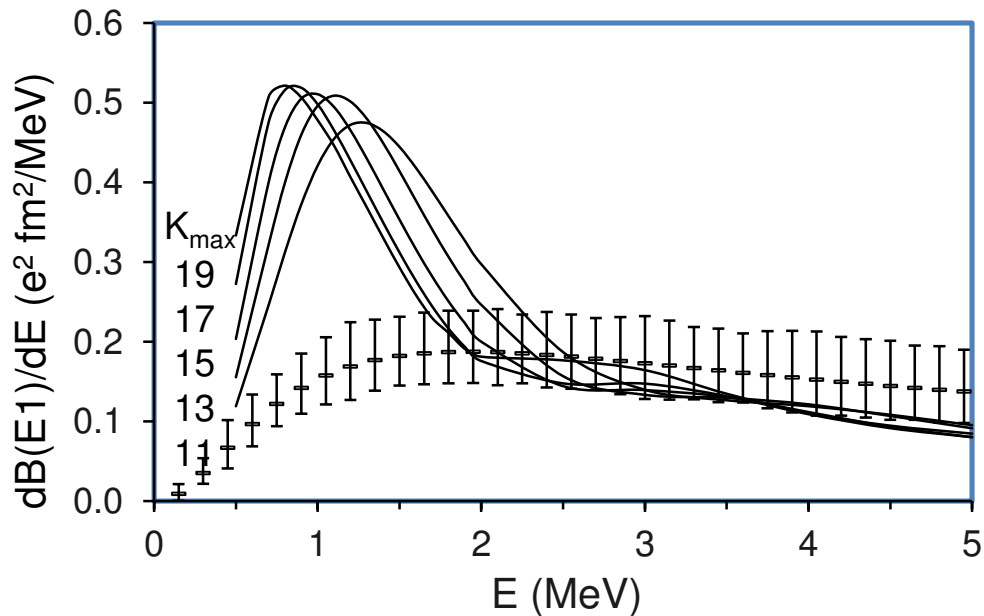
From the eikonal amplitude \rightarrow cross sections (breakup, elastic)

4. APPLICATION OF THE EIKONAL METHOD

Results for ${}^6\text{He}$: D. Baye et al., Phys. Rev. C79 (2009) 024607



${}^6\text{He}+{}^{208}\text{Pb}$ breakup at 240 MeV/A
Data from T. Aumann et al., PRC59 (1999) 1252
E1 dominant (2^+ narrow resonance near 0.9 MeV)

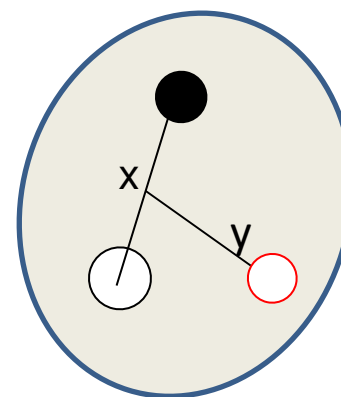
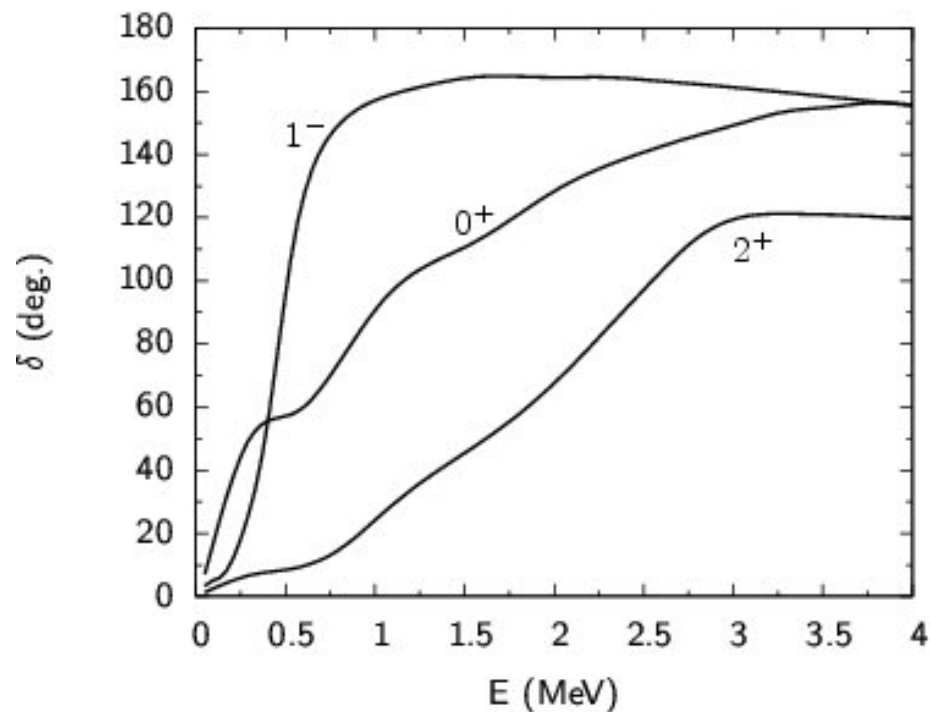


Convergence with K_{max} :
Slow!

Recent work on ^{11}Li

- Ref: E.C.Pinilla, P.D., D. Baye, *Phys. Rev. C* 85 (2012) 054610
- ^{11}Li described by a $^9\text{Li}+n+n$ structure (spin of ^9Li is neglected)

First step: three-body phase shifts and wave functions

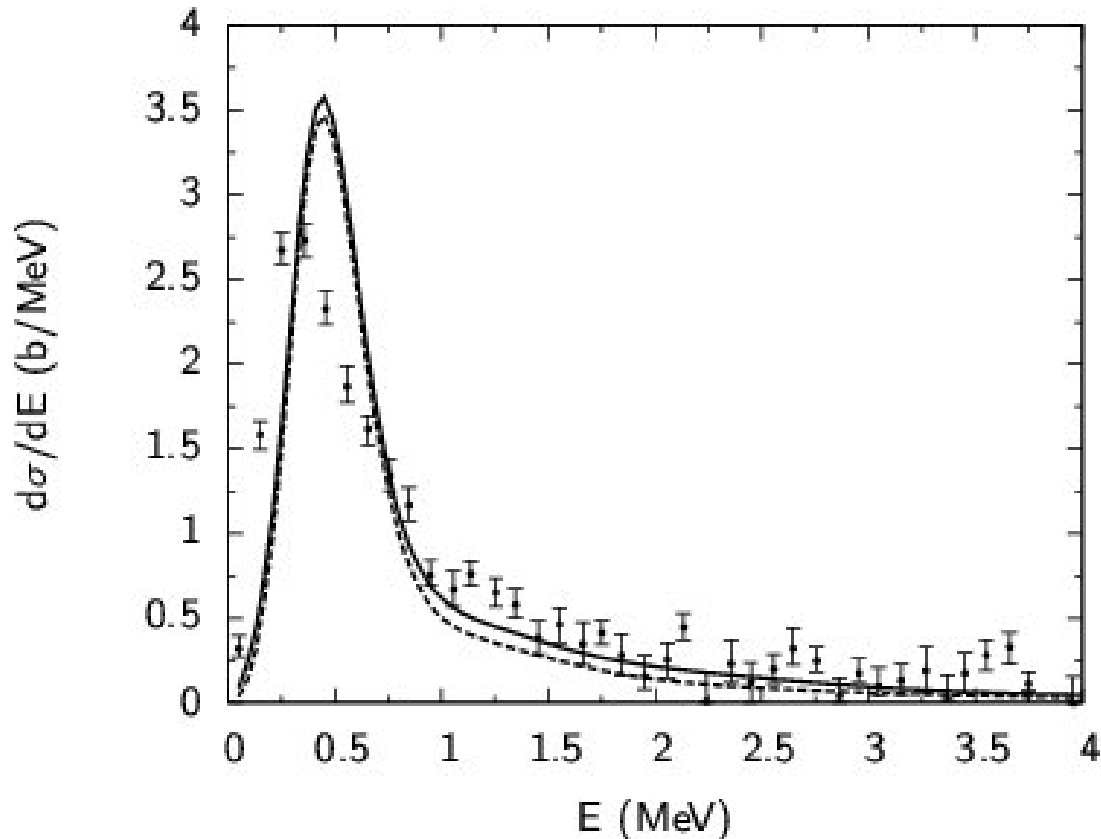


^{11}Li

Narrow 1^- resonance near 0.5 MeV

Second step : Breakup of ^{11}Li on ^{208}Pb @ 70 MeV/A

- E.C.Pinilla, P.D., D. Baye, *Phys. Rev. C* 85 (2012) 054610
- Exp. data from T. Nakamura et. al, *Phys. Rev. Lett.* 252502 (2006).



- Direct calculation of the BU cross section (no E1 distribution is needed)
- Heavy calculation
- E1 contribution strongly dominant

4. APPLICATIONS OF THE EIKONAL METHOD: microscopic eikonal

Microscopic description of the projectile using the eikonal method

E. C. Pinilla and P. Descouvemont, Phys. Lett. B 686 , 124 (2010)

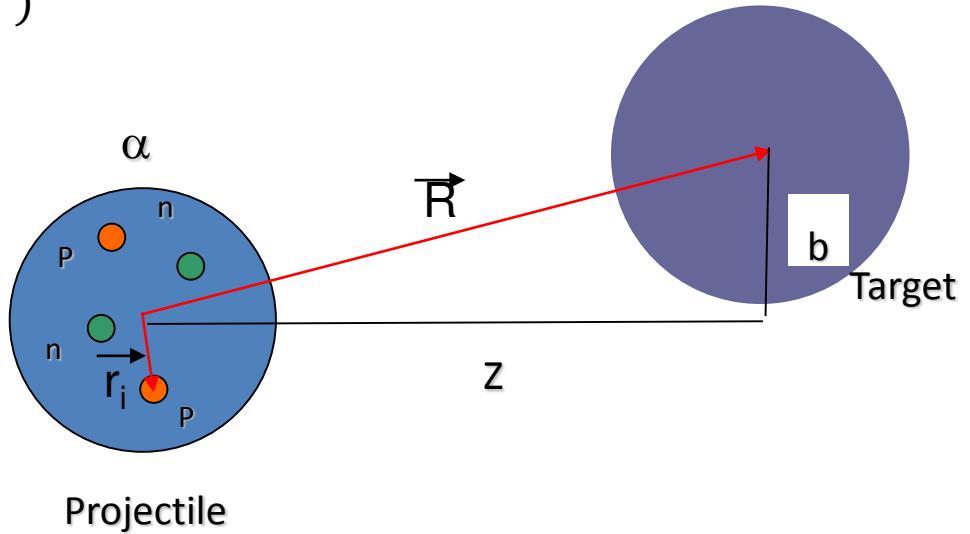
$$\text{Total Hamiltonian } H = H_0(\mathbf{r}_i) + T_R + \underbrace{\sum_i v(\mathbf{r}_i - \mathbf{R})}_{\text{Nucleon-target interaction}}$$

Hamiltonian of the projectile
 $H_0 \Phi_0(\mathbf{r}_i) = E_0 \Phi_0(\mathbf{r}_i)$

Eikonal approximation
 $\Psi = \Phi_0(\mathbf{r}_i) e^{ikZ} \hat{\Phi}(\mathbf{r}_i, \mathbf{b}, Z)$

Then
$$\hat{\Phi}(\mathbf{r}_i, \mathbf{b}, Z) = \exp\left(-\frac{i}{\hbar} \sum_i \int_{-\infty}^Z v(\mathbf{r}_i - \mathbf{R}) dZ'\right)$$

(symmetric \rightarrow Ψ remains antisymmetric)



General definition of the scattering amplitude

$$f(\theta) = ik \int_0^\infty db b J_0(qb) e^{i\chi_c(b)} \underbrace{\left[1 - \langle \Phi_0 | \exp\left(-\frac{i}{\hbar} \sum_i \int_{-\infty}^\infty v(\mathbf{r}_i - \mathbf{R}) dZ\right) | \Phi_0 \rangle \right]}_{S(b)} + f_c(\theta)$$

α particle: simple wave function (angular momentum 0+, all orbitals $\varphi_0(r_i)$ centred at the origin)

$$S(b) = \prod_{i=1}^4 S_j(b) \quad S_i(b) = \langle \varphi_0 | \exp\left(-\frac{i}{\hbar v} \int_{-\infty}^\infty dZ V_{i\Gamma}^N(b, Z, \mathbf{r}_i)\right) | \varphi_0 \rangle$$

With

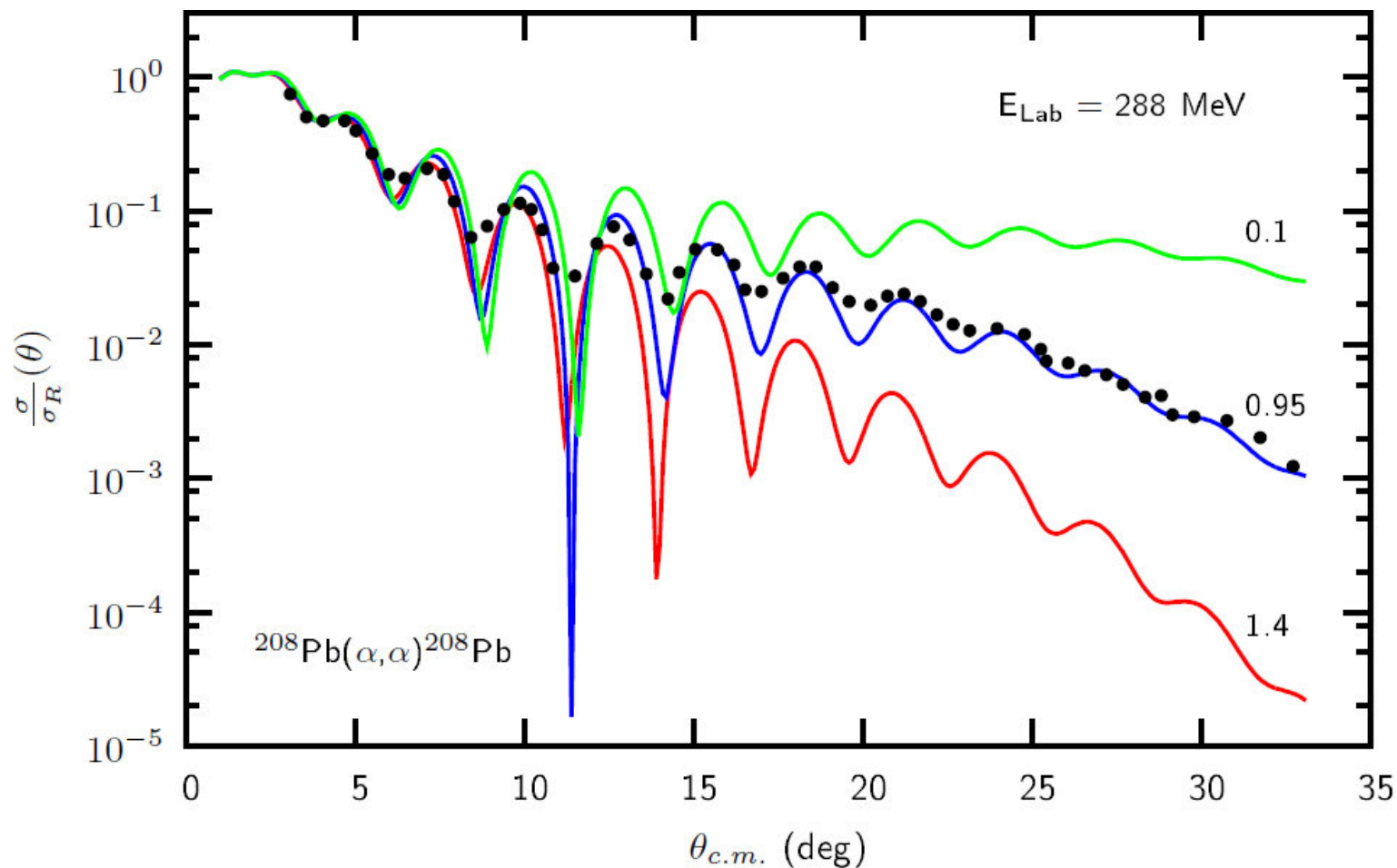
$$S_i(b) = (B^3 \pi^{3/2})^{-1} \int_{-\infty}^\infty dx_i \int_{-\infty}^\infty dy_i \int_{-\infty}^\infty dz_i e^{-\frac{1}{B^2}(x_i^2 + y_i^2 + z_i^2)} e^{-\frac{i}{\hbar v} \int_{-\infty}^\infty dZ V_{i\Gamma}^N(b, Z, \mathbf{r}_i)}$$

Cluster wave functions: angular momentum projection of Φ_0 is necessary \rightarrow multiple integrals

4. APPLICATIONS OF THE EIKONAL METHOD: microscopic eikonal

Elastic cross section of $\alpha + {}^{208}\text{Pb}$ at 288 MeV for different oscillator parameters B

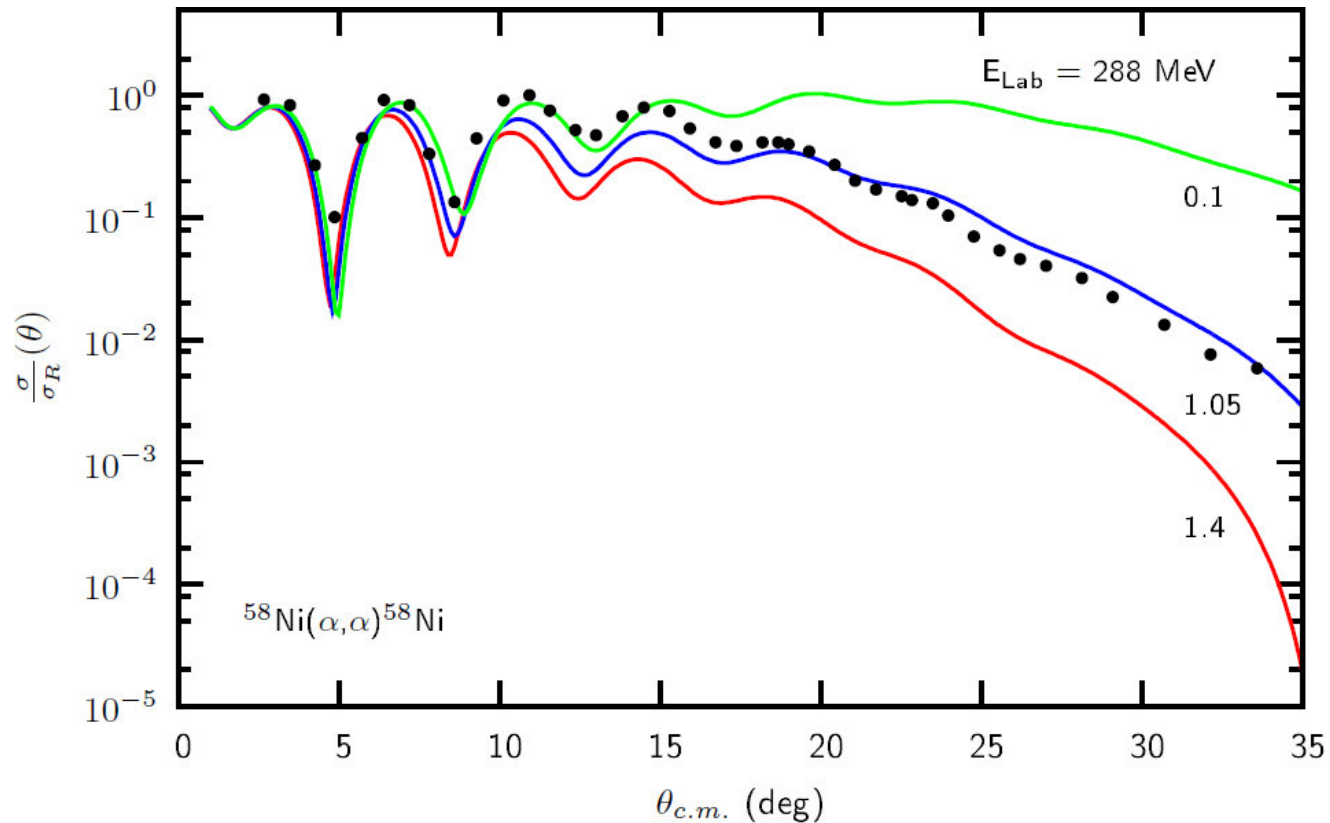
Nucleon-nucleus optical potentials: Koning and Delaroche, Nucl. Phys. A 713, 231 (2003).



- Strong dependence on the oscillator parameter.
- The form for $B = 0.1 \text{ fm}$ is far from the experimental data.
- A good B is 0.95 fm .

4. APPLICATIONS OF THE EIKONAL METHOD: microscopic eikonal

Elastic cross section of $\alpha+^{58}\text{Ni}$ at 288 MeV for different oscillator parameters B



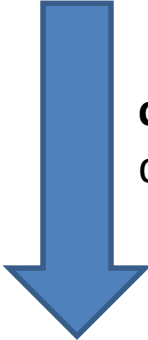
Future projects:

- cluster calculations $\Phi_0 = \mathcal{A}\Phi_1\Phi_2g(\rho)$
- Must be projected on angular momentum
- $S(b) = \langle \Phi_0 | \exp(-\frac{i}{\hbar} \sum_i \int_{-\infty}^{\infty} v(\mathbf{r}_i - \mathbf{R}) dZ) | \Phi_0 \rangle$ is more complicated

Nuclear astrophysics: brief overview

Types of reactions: general definitions valid for all models

Type	Example	Origin
Transfer	${}^3\text{He}({}^3\text{He}, 2\text{p})\alpha$	Strong
Radiative capture	${}^2\text{H}(\text{p}, \gamma){}^3\text{He}$	Electromagnetic
Weak capture	$\text{p}+\text{p} \rightarrow \text{d}+ \text{e}^+ + \nu$	Weak



**cross section
decreases**

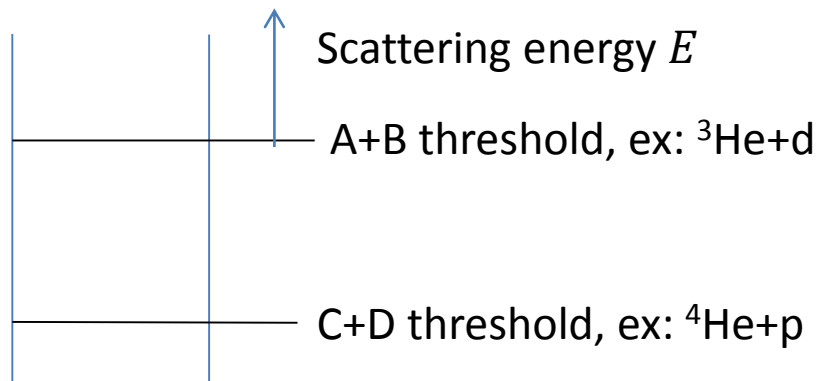
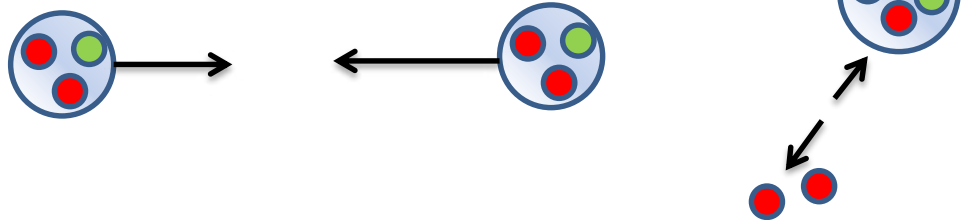
Nuclear astrophysics: brief overview

- Transfer:** $A+B \rightarrow C+D$ (σ_t , strong interaction, example: ${}^3\text{He}(d,p){}^4\text{He}$)

$$\sigma_{t,c \rightarrow c'}(E) = \frac{\pi}{k^2} \sum_{J\pi} \frac{2J+1}{(2I_1+1)(2I_2+1)} |U_{cc'}^{J\pi}(E)|^2$$

$U_{cc'}^{J\pi}(E)$ = collision matrix (obtained from scattering theory \rightarrow various models)
 c, c' = entrance and exit channels

Transfer reaction:
Nucleons are transferred



Compound nucleus, ex: ${}^5\text{Li}$

Nuclear astrophysics: brief overview

- **Radiative capture** : $A+B \rightarrow C+\gamma$ (σ_C , electromagnetic interaction, example: $^{12}\text{C}(p,\gamma)^{13}\text{N}$)

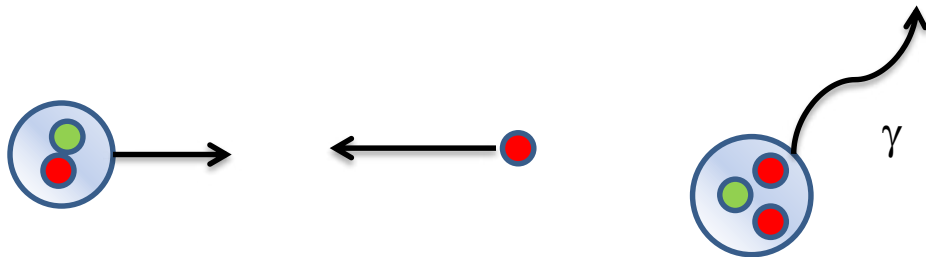
$$\sigma_C^{J_f \pi_f}(E) \sim \sum_{\lambda} \sum_{J_i \pi_i} k_{\gamma}^{2\lambda+1} |\langle \Psi^{J_f \pi_f} \| \mathcal{M}_{\lambda} \| \Psi^{J_i \pi_i}(E) \rangle|^2$$

$J_f \pi_f$ = final state of the compound nucleus C

$\Psi^{J_i \pi_i}(E)$ = initial scattering state of the system (A+B)

$\mathcal{M}_{\lambda\mu}$ = electromagnetic operator (electric or magnetic): $\mathcal{M}_{\lambda\mu} \sim e r^{\lambda} Y_{\lambda}^{\mu}(\Omega_r)$

Capture reaction:
A photon is emitted



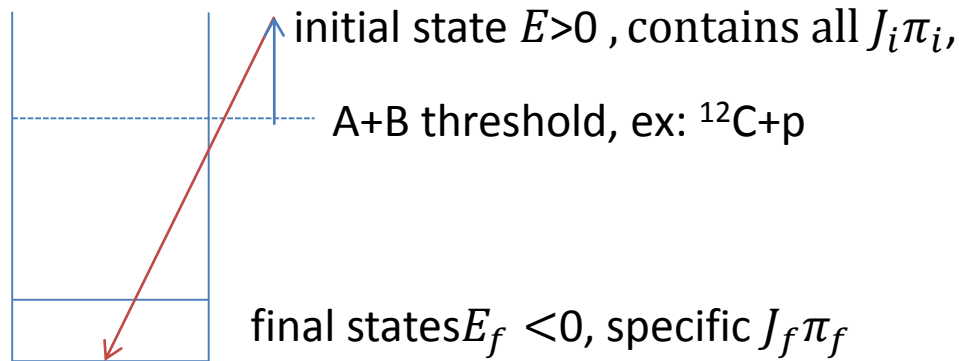
Long wavelength approximation:

Wave number $k_{\gamma} = E_{\gamma}/\hbar c$, wavelength: $\lambda_{\gamma} = 2\pi/k_{\gamma}$

Typical value: $E_{\gamma} = 1 \text{ MeV}$, $\lambda_{\gamma} \approx 1200 \text{ fm} \gg$ typical dimensions of the system (R)

$\rightarrow k_{\gamma} R \ll 1 =$ **Long wavelength approximation**

Nuclear astrophysics: brief overview



$$\sigma_c^{J_f \pi_f}(E) \sim \sum_{J_i \pi_i} \sum_{\lambda} k_{\gamma}^{2\lambda+1} |\langle \Psi^{J_f \pi_f} \| \mathcal{M}_{\lambda} \| \Psi^{J_i \pi_i}(E) \rangle|^2$$

- $k_{\gamma} = (E - E_f)/\hbar c =$ photon wave number
- In practice
 - Summation over λ limited to 1 term (often E1, or E2/M1 if E1 is forbidden)

$$\frac{E2}{E1} \sim (k_{\gamma} R) \ll 1 \quad (\text{from the long wavelength approximation})$$

- Summation over $J_i \pi_i$ limited by selection rules

$$|J_i - J_f| \leq \lambda \leq J_i + J_f$$

$$\pi_i \pi_f = (-1)^{\lambda} \text{ for electric, } \pi_i \pi_f = (-1)^{\lambda+1} \text{ for magnetic}$$

Nuclear astrophysics: brief overview

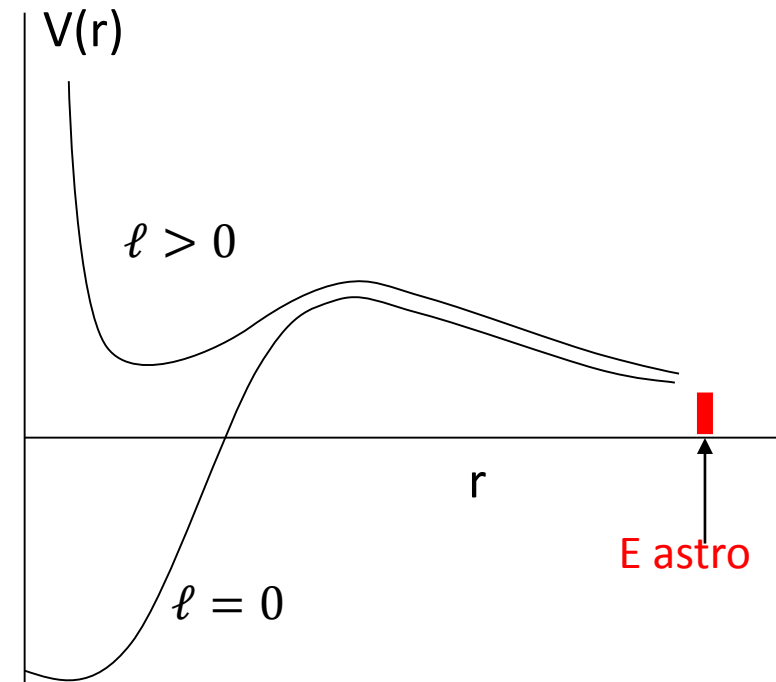
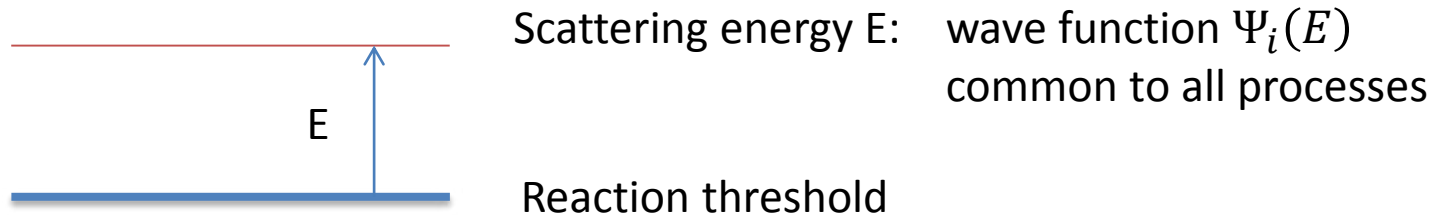
- **Weak capture** ($p+p \rightarrow d+n+e^-$): tiny cross section \rightarrow no measurement (only calc.)

$$\sigma_W^{J_f \pi_f}(E) \sim \sum_{J_i \pi_i} |\langle \Psi^{J_f \pi_f} \| O_\beta \| \Psi^{J_i \pi_i}(E) \rangle|^2$$

- Calculations similar to radiative capture
 - $O_\beta =$ Fermi ($\sum_i t_{i\pm}$) and Gamow-Teller ($\sum_i t_{i\pm} \sigma_i$) operators
-
- **Fusion**: similar to transfer, but with many output channels
 - \rightarrow statistical treatment
 - \rightarrow optical potentials

Nuclear astrophysics: brief overview

General properties



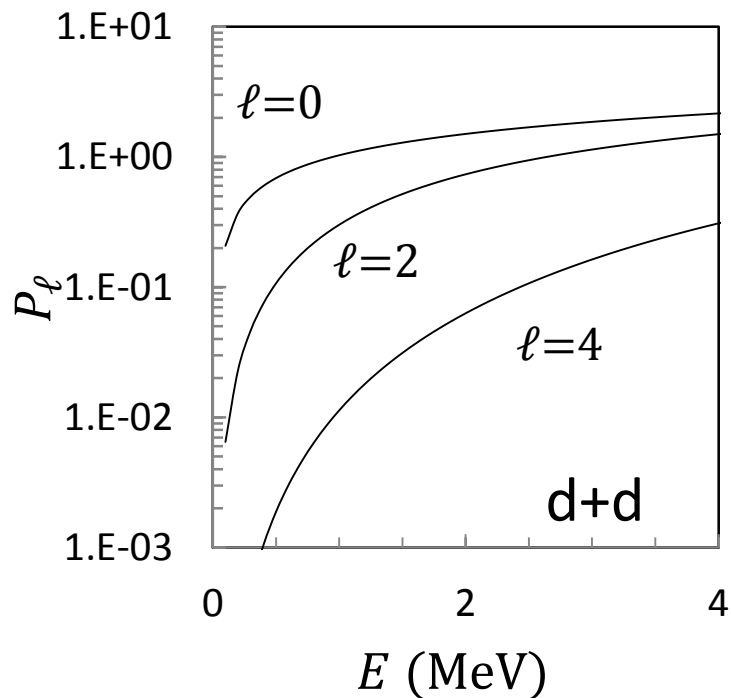
- Cross sections dominated by **Coulomb** effects
Sommerfeld parameter $\eta = Z_1 Z_2 e^2 / \hbar v$
- Coulomb functions at low energies
 $F_\ell(\eta, x) \rightarrow \exp(-\pi\eta) \mathcal{F}_\ell(x),$
 $G_\ell(\eta, x) \rightarrow \exp(\pi\eta) \mathcal{G}_\ell(x),$
- Coulomb effect: strong E dependence : $\exp(2\pi\eta)$
neutrons: $\sigma(E) \sim 1/v$
- Strong ℓ dependence
Centrifugal term: $\sim \frac{\hbar^2 \ell(\ell+1)}{2\mu r^2}$
 \rightarrow stronger for nucleons ($\mu \approx 1$) than for α ($\mu \approx 4$)

Nuclear astrophysics: brief overview

General properties: specificities of the entrance channel → **common to all reactions**

- All cross sections (capture, transfer) involve a summation over ℓ : $\sigma(E) = \sum_{\ell} \sigma_{\ell}(E)$
- The partial cross sections $\sigma_{\ell}(E)$ are proportional to the penetration factor

$$P_{\ell}(E) = \frac{ka}{F_{\ell}(ka)^2 + G_{\ell}(ka)^2} \quad (a = \text{typical radius})$$



Consequences

- $\ell > 0$ are often negligible at low energies
- $\ell = \ell_{min}$ is dominant (often $\ell_{min} = 0$)
- For $\ell = 0$, $P_0(E) \sim \exp(-2\pi\eta)$

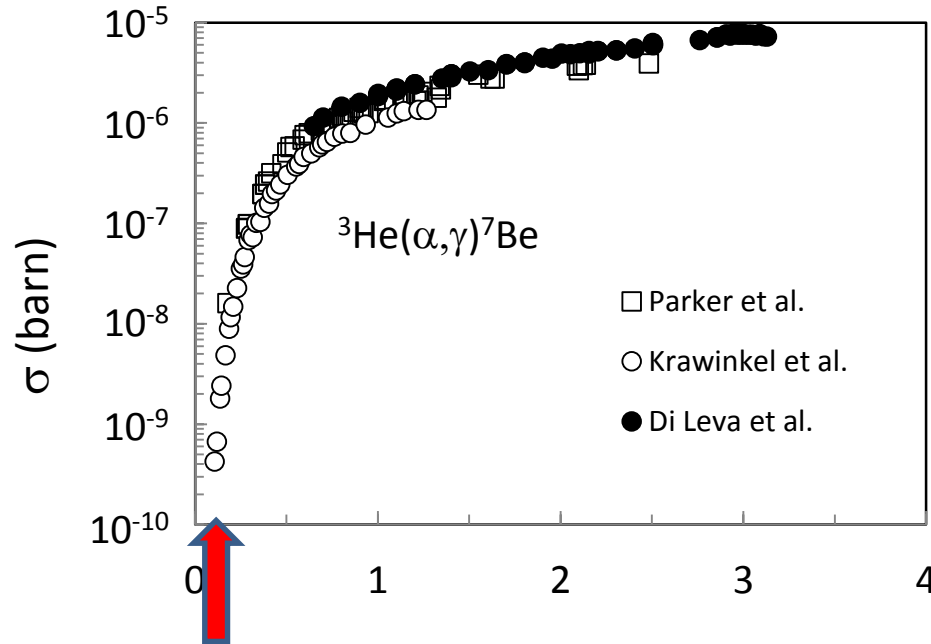
Astrophysical S factor: $S(E) = \sigma(E)E \exp(2\pi\eta)$ (Units: $E \cdot L^2$: MeV-barn)

- removes the coulomb dependence → only nuclear effects
- weakly depends on energy → $\sigma(E) \approx S_0 \exp(-2\pi\eta) / E$ (any reaction at low E)

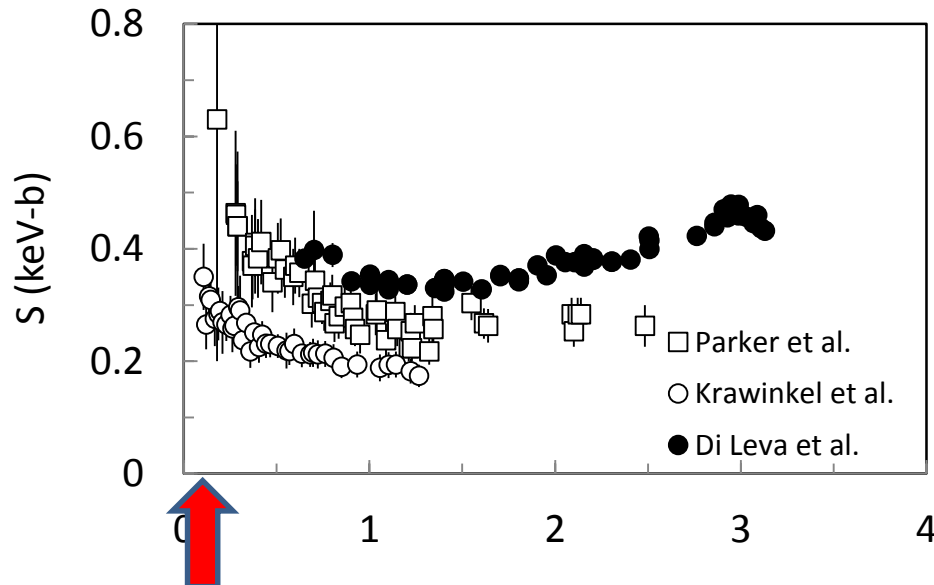
Nuclear astrophysics: brief overview

non resonant: $S(E) = \sigma(E)E \exp(2\pi\eta)$

Example: ${}^3\text{He}(\alpha, \gamma){}^7\text{Be}$ reaction



- Cross section $\sigma(E)$ Strongly depends on energy
- Logarithmic scale



S factor

- Coulomb effects removed
- Weak energy dependence
- Linear scale

Nuclear astrophysics: brief overview

Resonant cross sections: Breit-Wigner form

$$\sigma_R(E) \approx \frac{\pi}{k^2} \frac{(2J_R + 1)}{(2I_1 + 1)(2I_2 + 1)} \frac{\Gamma_1(E)\Gamma_2(E)}{(E_R - E)^2 + \Gamma^2/4}$$

- J_R, E_R =spin, energy of the resonance
- Valid for any process (capture, transfer)
- Valid for a single resonance → several resonances need to be added (if necessary)

- Γ_1 =Partial width in the **entrance** channel (strongly depends on E, ℓ)
 $\Gamma_1(E) = 2\gamma_1^2 P_\ell(E)$ with γ_1^2 =reduced width (does not depend on E)
 $P_\ell(E) \sim \exp(-2\pi\eta)$

A resonance at low energies is always narrow (role of $P_\ell(E)$)

- Γ_2 =Partial width in the **exit** channel (weakly depends on E, ℓ)
 - Transfer: $\Gamma_2(E) = 2\gamma_2^2 P_{\ell_f}(E + Q)$ (in general $Q \gg E \rightarrow P_{\ell_f}(E + Q)$ almost constant)
 - Capture: $\Gamma_2(E) \sim (E - E_f)^{2\lambda+1} B(E\lambda) \rightarrow$ weak energy dependence

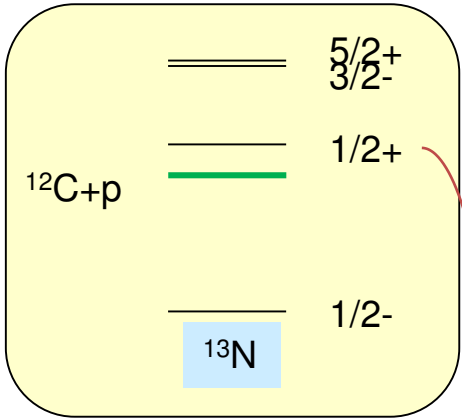
- S factor near a resonance $S(E) = \sigma(E)E \exp(2\pi\eta)$

$$S_R(E) \sim \frac{\gamma_1^2 \Gamma_2}{(E_R - E)^2 + \Gamma^2/4} P_\ell(E) \exp(2\pi\eta)$$

Almost constant

→ Simple estimate at low E (at the Breit-Wigner approximation)

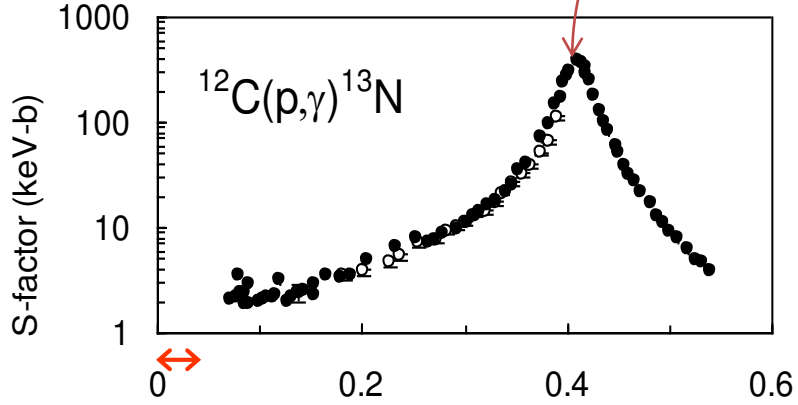
Nuclear astrophysics: brief overview



$$S_R(E) \sim \frac{\gamma_1^2 \Gamma_2}{(E_R - E)^2 + \Gamma^2/4} P_\ell(E) \exp(2\pi\eta)$$

$$\sim \frac{\gamma_1^2 \Gamma_2}{(E_R - E)^2 + \Gamma^2/4}$$

- For $\ell = 0$: $P_0(E) \exp(2\pi\eta) \sim \text{constant}$
- For $\ell > 0$, $P_\ell(E) \ll P_0(E)$
 $\rightarrow \ell > 0$ resonances are suppressed

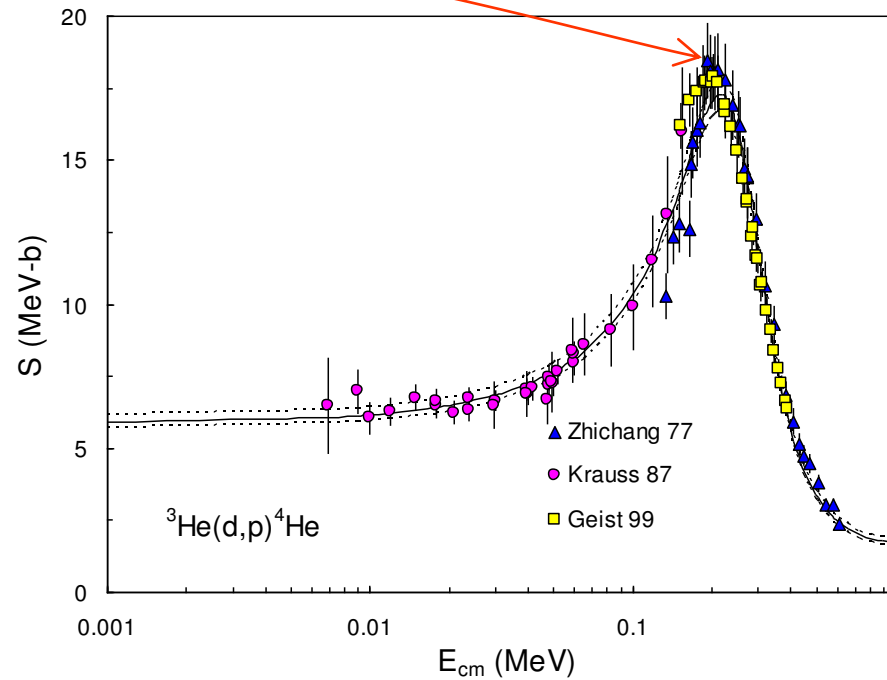
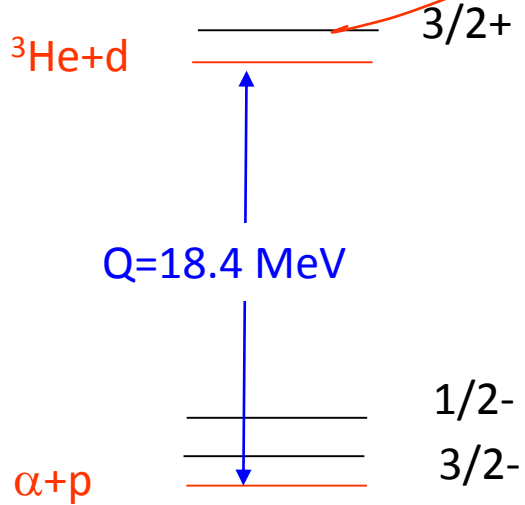


- In $^{12}\text{C}(p,\gamma)^{13}\text{N}$:
- Resonance $1/2^+$: $\ell = 0$
 - Resonances $3/2^-$, $5/2^+$ $\ell = 1, 2 \rightarrow$ negligible

- Note: BW is an approximation
- Neglects background, external capture
 - Assumes an isolated resonance
 - Is more accurate near the resonance energy

Nuclear astrophysics: brief overview

${}^3\text{He}(d,p){}^4\text{He}$: isolated resonance in a transfer reaction



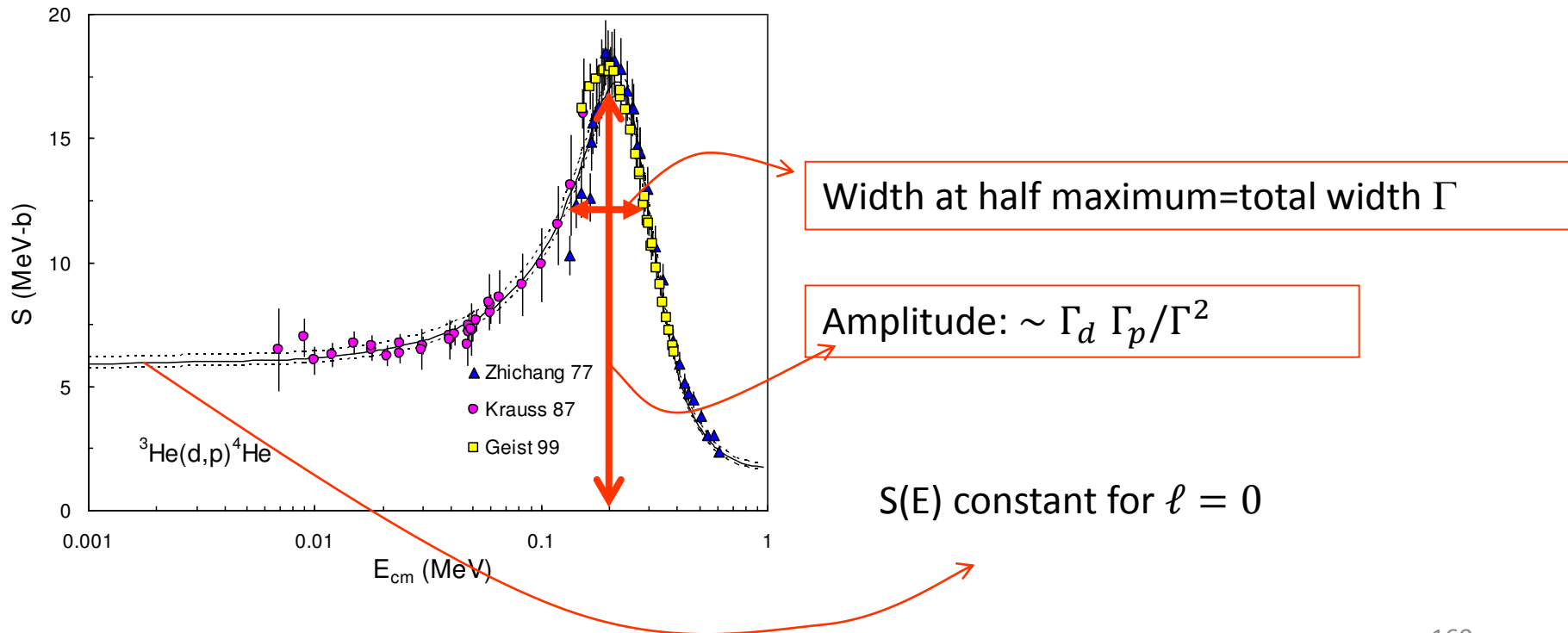
$3/2^+$ resonance:

- Entrance channel: spin $S=1/2, 3/2$, parity $+$ $\rightarrow \ell = 0, 2$
- Exit channel: spin $S=1/2$, parity $+$ $\rightarrow \ell = 1$

Nuclear astrophysics: brief overview

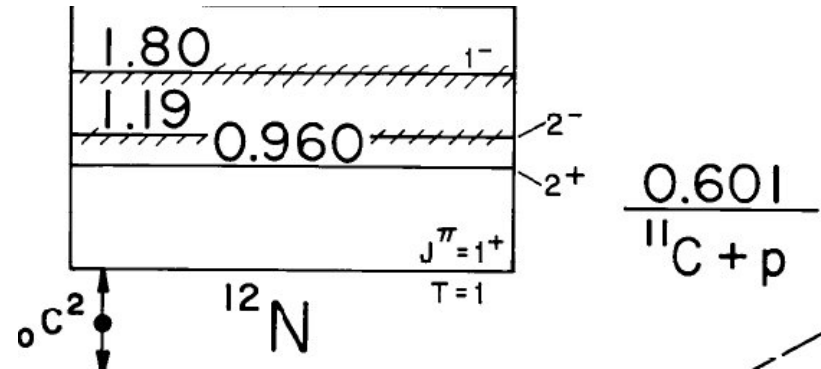
Breit Wigner approximation

$$\sigma_{dp}(E) \approx \frac{\pi}{k^2} \frac{(2J_R + 1)}{(2I_1 + 1)(2I_2 + 1)} \frac{\Gamma_d(E)\Gamma_p(E)}{(E_R - E)^2 + \Gamma^2/4}$$



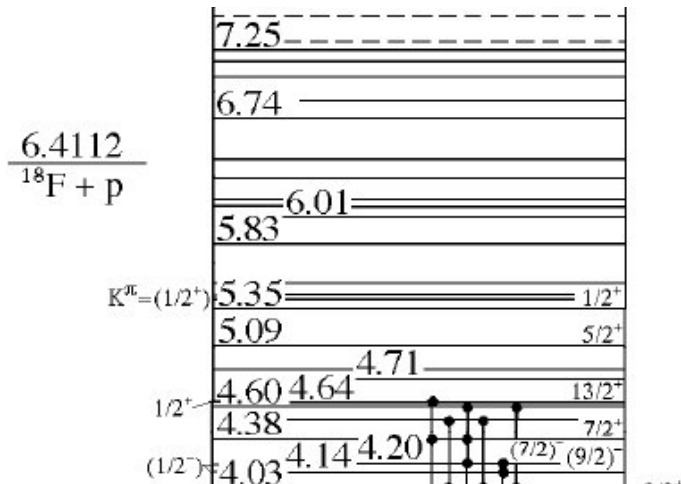
Nuclear astrophysics: brief overview

Selection of the main resonances



$^{11}\text{C}(p,\gamma)^{12}\text{N}$ (spin $^{11}\text{C}=3/2^-$)

- Resonance 2^- : $\ell = 0$, E1
- Resonance 2^+ : $\ell = 1$, E2/M1
→ negligible



$^{18}\text{F}(p,\alpha)^{15}\text{O}$ (spin $^{18}\text{F}=1^+$)

- Many resonances
- Only $\ell = 0$ resonances are important
→ $J = 1/2^+, 3/2^+$ only

→ In general a small number of resonances play a role

Nuclear astrophysics: brief overview

Many different situations

- *Transfer cross sections (strong interaction)*

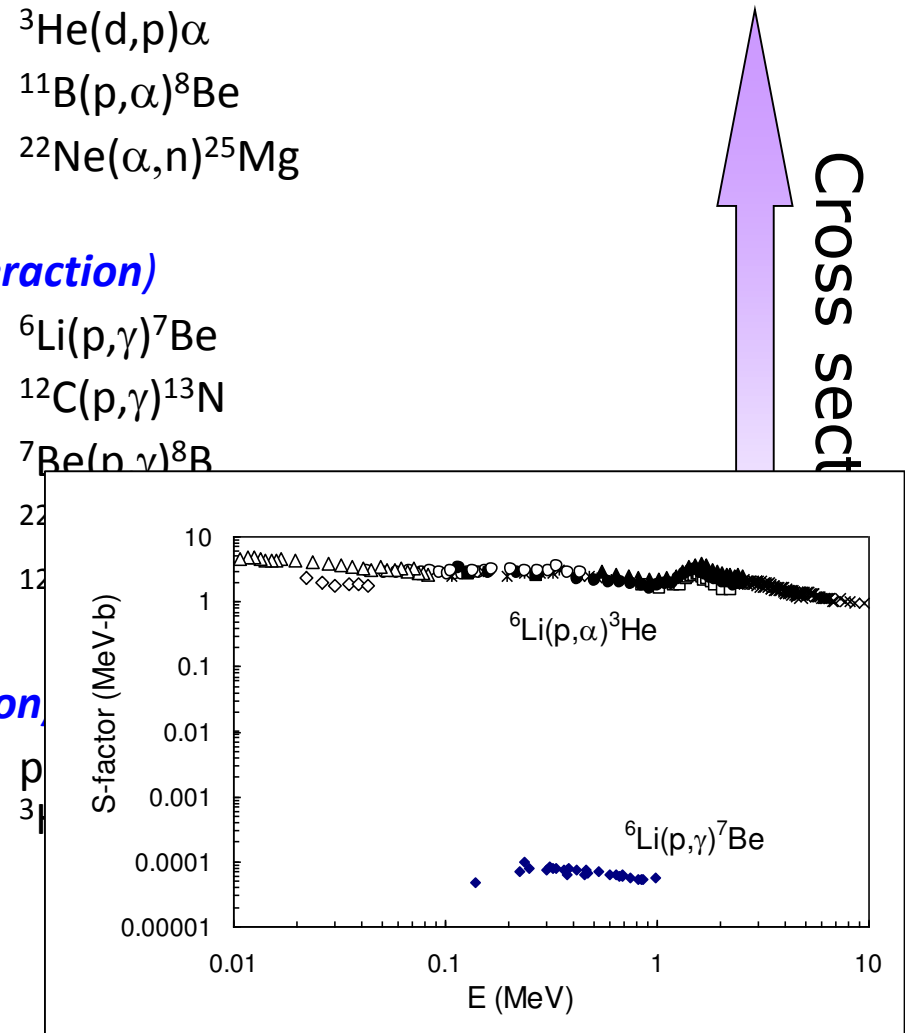
- Non resonant: ${}^6\text{Li}(p,\alpha){}^3\text{He}$
- Resonant, with $l_R=l_{\min}$: ${}^3\text{He}(d,p)\alpha$
- Resonant, with $l_R>l_{\min}$: ${}^{11}\text{B}(p,\alpha){}^8\text{Be}$
- Multiresonance: ${}^{22}\text{Ne}(\alpha,n){}^{25}\text{Mg}$

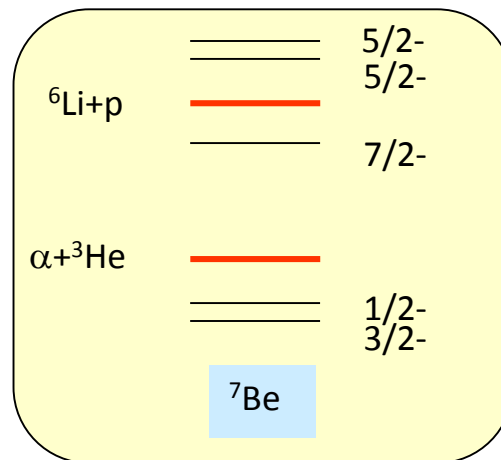
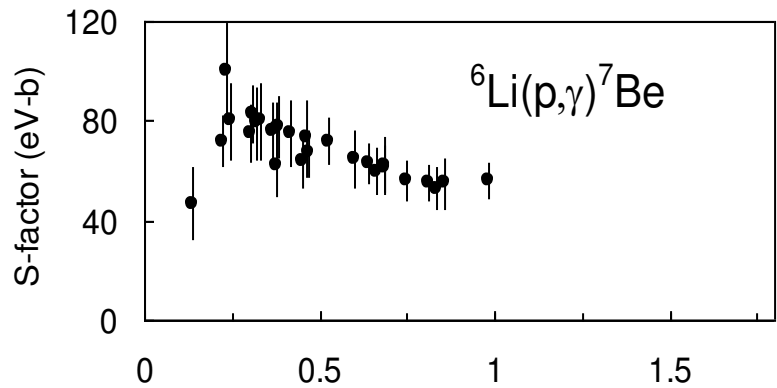
- *Capture cross sections (electromagnetic interaction)*

- Non resonant: ${}^6\text{Li}(p,\gamma){}^7\text{Be}$
- Resonant, with $l_R=l_{\min}$: ${}^{12}\text{C}(p,\gamma){}^{13}\text{N}$
- Resonant, with $l_R>l_{\min}$: ${}^7\text{Be}(n,\gamma){}^8\text{B}$
- Multiresonance:
- Subthreshold state:

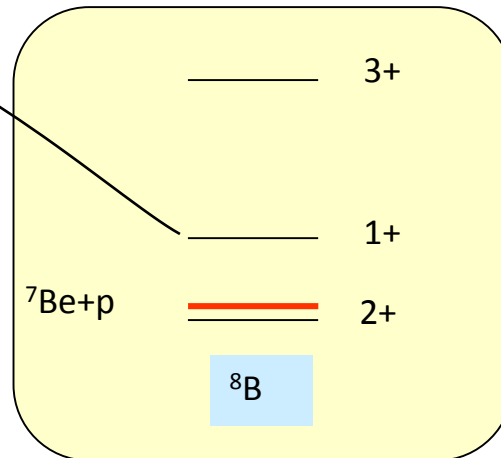
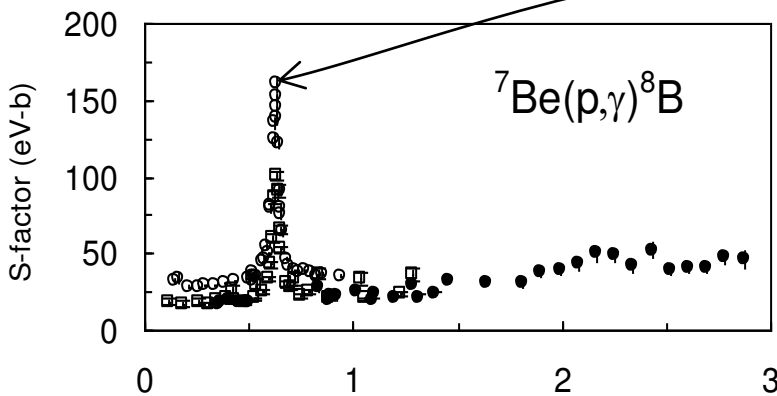
- *Weak capture cross sections (weak interaction)*

- Non resonant

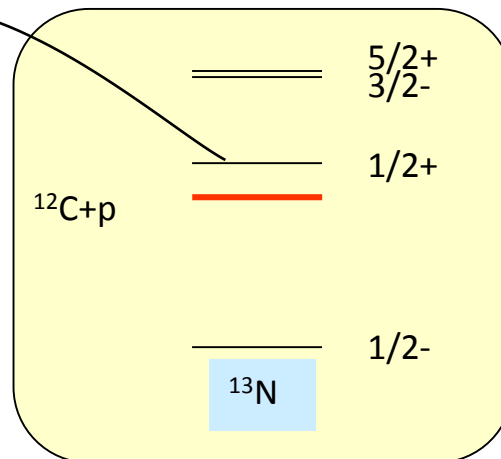
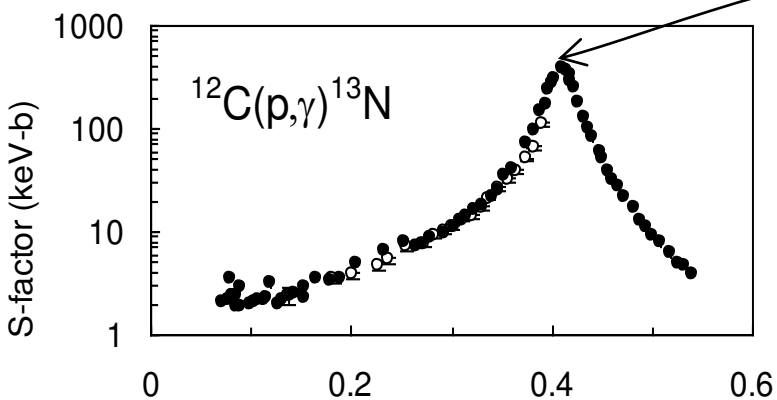




Non resonant

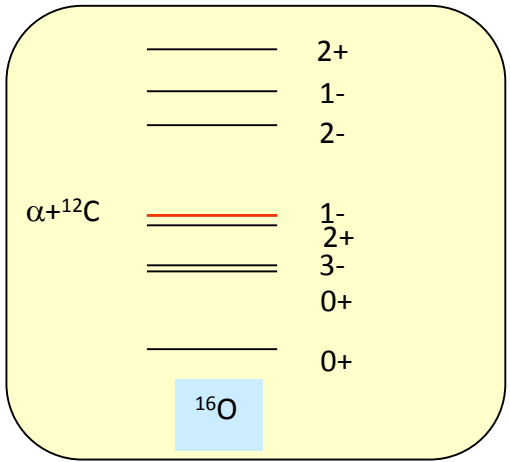
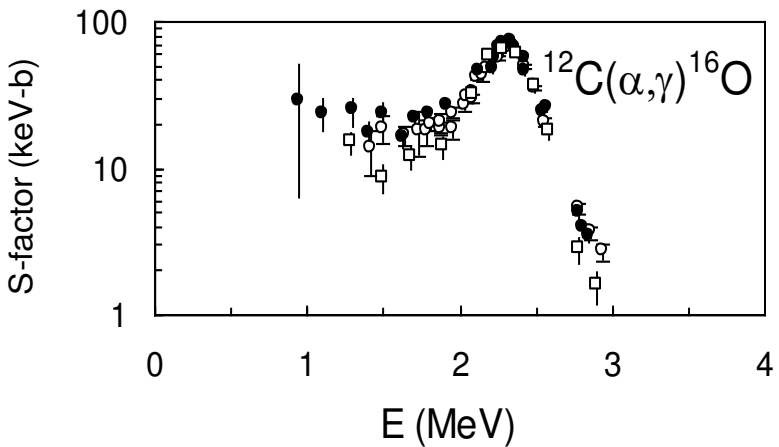


Resonant
 $\ell_{\min}=0, E1$
 $\ell_R=1, M1$

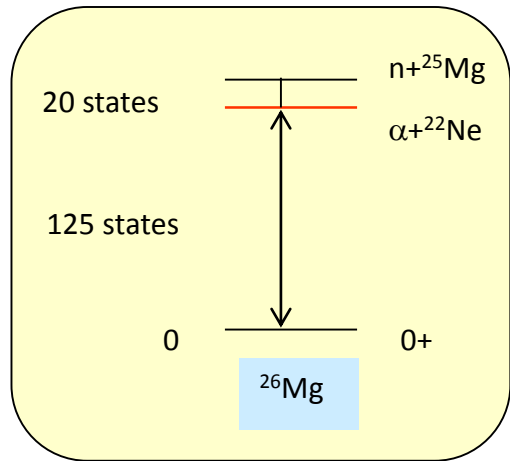
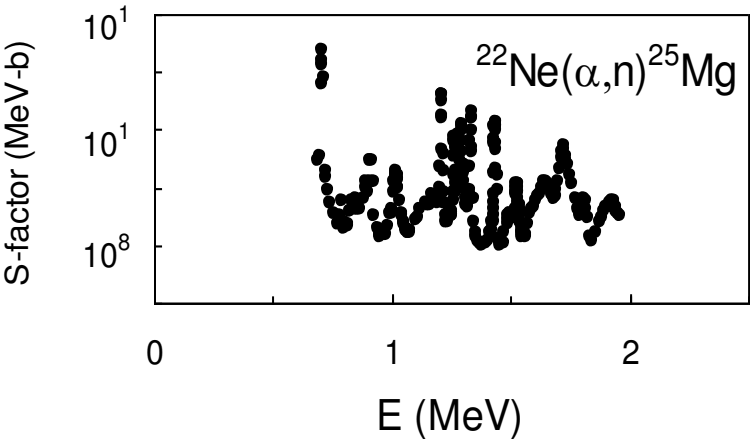


Resonant
 $\ell_{\min}=0$
 $\ell_R=0$

Nuclear astrophysics: brief overview



Subthreshold states 2^+ , 1^-



Multiresonant
General situation for heavy nuclei

Nuclear astrophysics: brief overview

Theoretical methods: Many different cases → no “unique” model!

Model	Applicable to	Comments	
Potential/optical model	Capture Fusion	<ul style="list-style-type: none">• Internal structure neglected• Antisymmetrization approximated	Light systems Low level densities
<i>R</i> -matrix	Capture Transfer	<ul style="list-style-type: none">• No explicit wave functions• Physics simulated by some parameters	
DWBA	Transfer	<ul style="list-style-type: none">• Perturbation method• Wave functions in the entrance and exit channels	
Microscopic models	Capture Transfer	<ul style="list-style-type: none">• Based on a nucleon-nucleon interaction• <i>A</i>-nucleon problems• Predictive power	
Hauser-Feshbach	Capture Transfer	<ul style="list-style-type: none">• Statistical model	Heavy systems
Shell model	Capture	<ul style="list-style-type: none">• Only gamma widths	

Conclusion

Reactions with exotic nuclei require

- Accurate scattering theory: CDCC / eikonal
- Accurate description of the projectile → microscopic models

Open questions/outlook

- Predictive power?
- Reducing the number of channels in CDCC → stochastic methods?
- Excitations of the target?
- Choice of nucleus-target or nucleon-target interaction?
- Absorption?
- Probably many others!

Nuclear astrophysics

- Many reaction rates are needed
 - Many different types of reactions!
- No systematics!