

Reaction theories – Structure models for light nuclei

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1. General definitions: types of reactions, cross sections, etc.
2. Reaction models (basics)
 1. Single-channel **potential/optical** model (as simple as possible)
 2. **Phase-shift** method
 3. **Generalizations** (Coulomb, numerical calculation, spins, multichannel, absorption)
3. Reaction models (advanced)
 1. The CDCC method (Continuum Discretized Coupled Channel)
 2. Technical aspects: R-matrix, Lagrange meshes
 3. The Eikonal method

4. Structure models for light nuclei
 1. Clustering in nuclei
 2. Non-microscopic models
 3. Microscopic cluster models
5. Recent applications
 1. CDCC ($^{11}\text{Be}+^{64}\text{Zn}$, $^9\text{Be}+^{208}\text{Pb}$, $^7\text{Li}+^{208}\text{Pb}$)
 2. Eikonal (three-body breakup, microscopic eikonal)
6. Nuclear astrophysics: brief overview
7. Conclusion

1. Introduction

General context:

- Two-body systems
- Low energies ($E \lesssim$ Coulomb barrier), few open channels (one)
- Low masses ($A \lesssim 15-20$)
- Low level densities (\lesssim a few levels/MeV)
- Reactions with neutrons AND charged particles

Main questions to be addressed: determine the choice of the method

A. Type of reactions

- Elastic, inelastic, transfer, etc.

B. Energy range

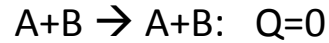
- Partial wave expansion
- Number of open channels \rightarrow influences the absorption

C. Level densities

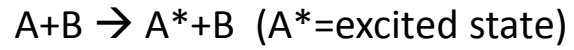
1. Introduction

A. Different types of reactions

1. **Elastic** collision : entrance channel=exit channel



2. **Inelastic** collision ($Q \neq 0$)



etc..

3. **Transfer** reactions



etc...

4. **Radiative capture** reactions

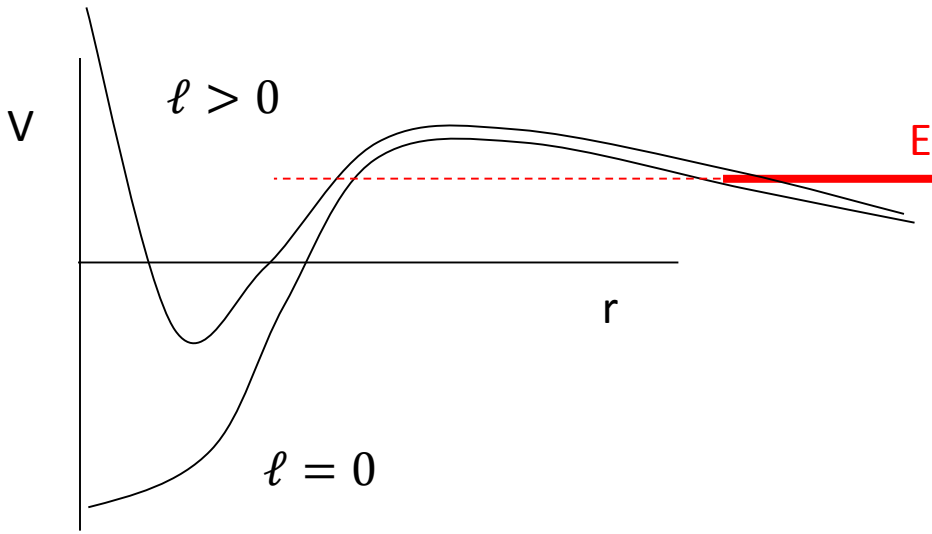


5. **Breakup**: main tool to investigate exotic nuclei

1. Introduction

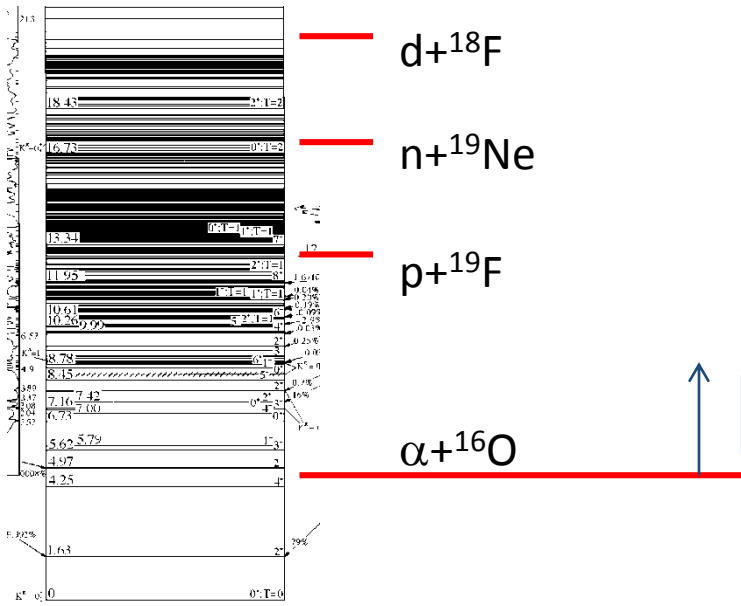
B. Energy

Low energies (\sim Coulomb barrier)



Partial-wave expansion

- Only a few partial waves contribute

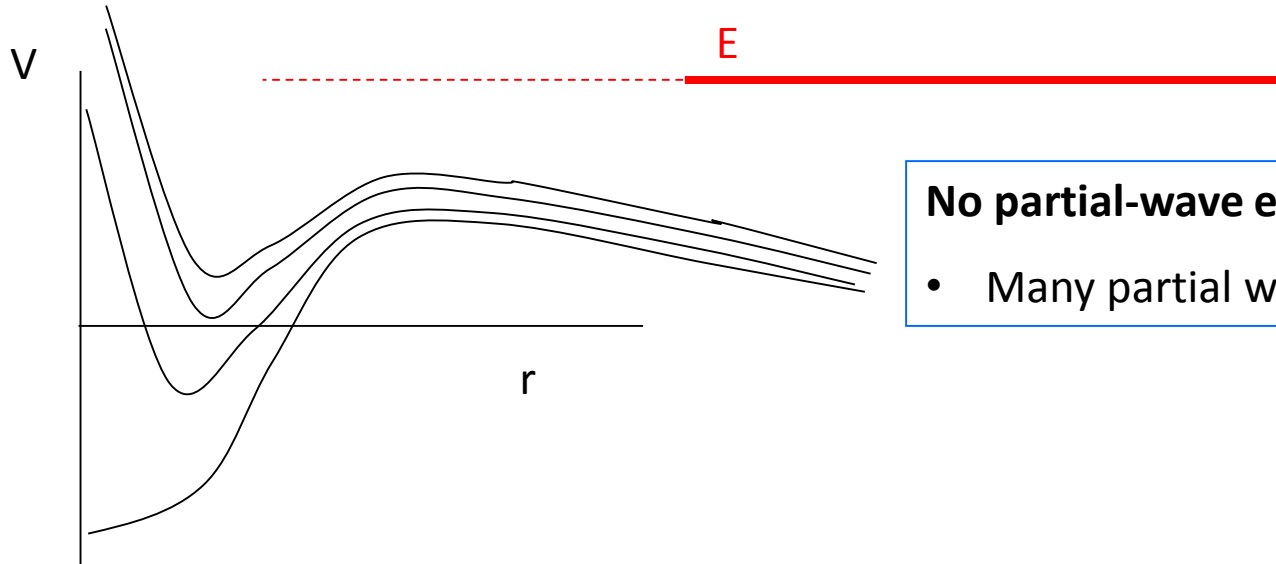


Single-channel calculation (no absorption)

^{20}Ne

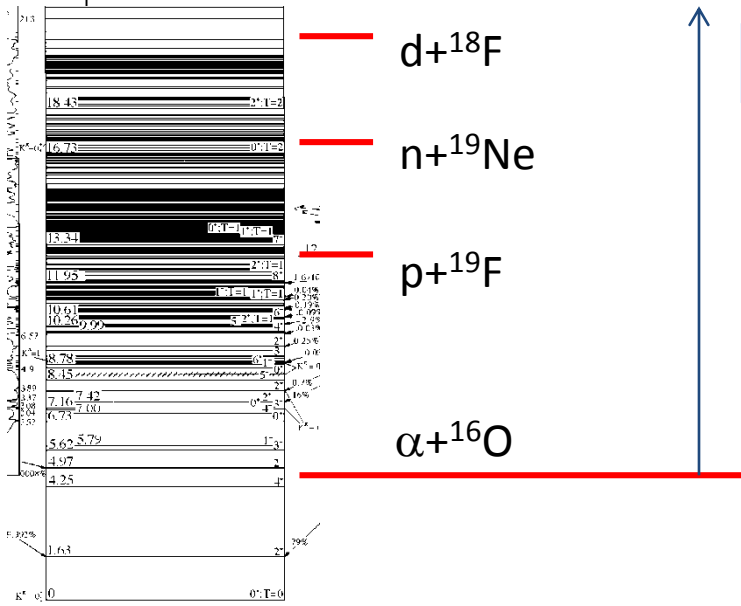
1. Introduction

High energies



No partial-wave expansion (high E)

- Many partial waves

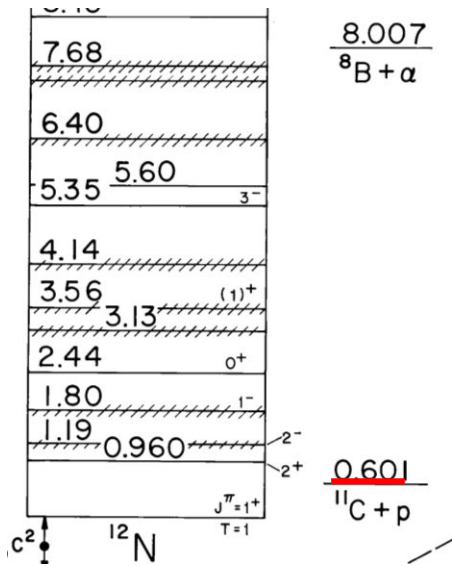


Many open channels \rightarrow absorption important

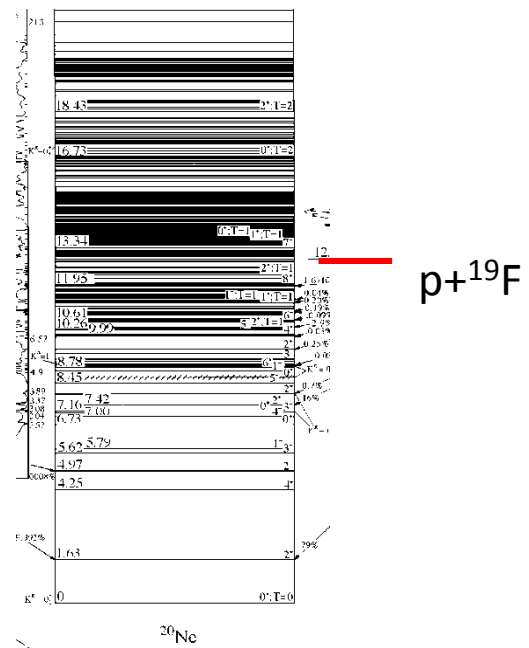
^{20}Ne

1. Introduction

C. Level density



$^{11}\text{C} + p$: **Low** level density (typical of exotic nuclei)

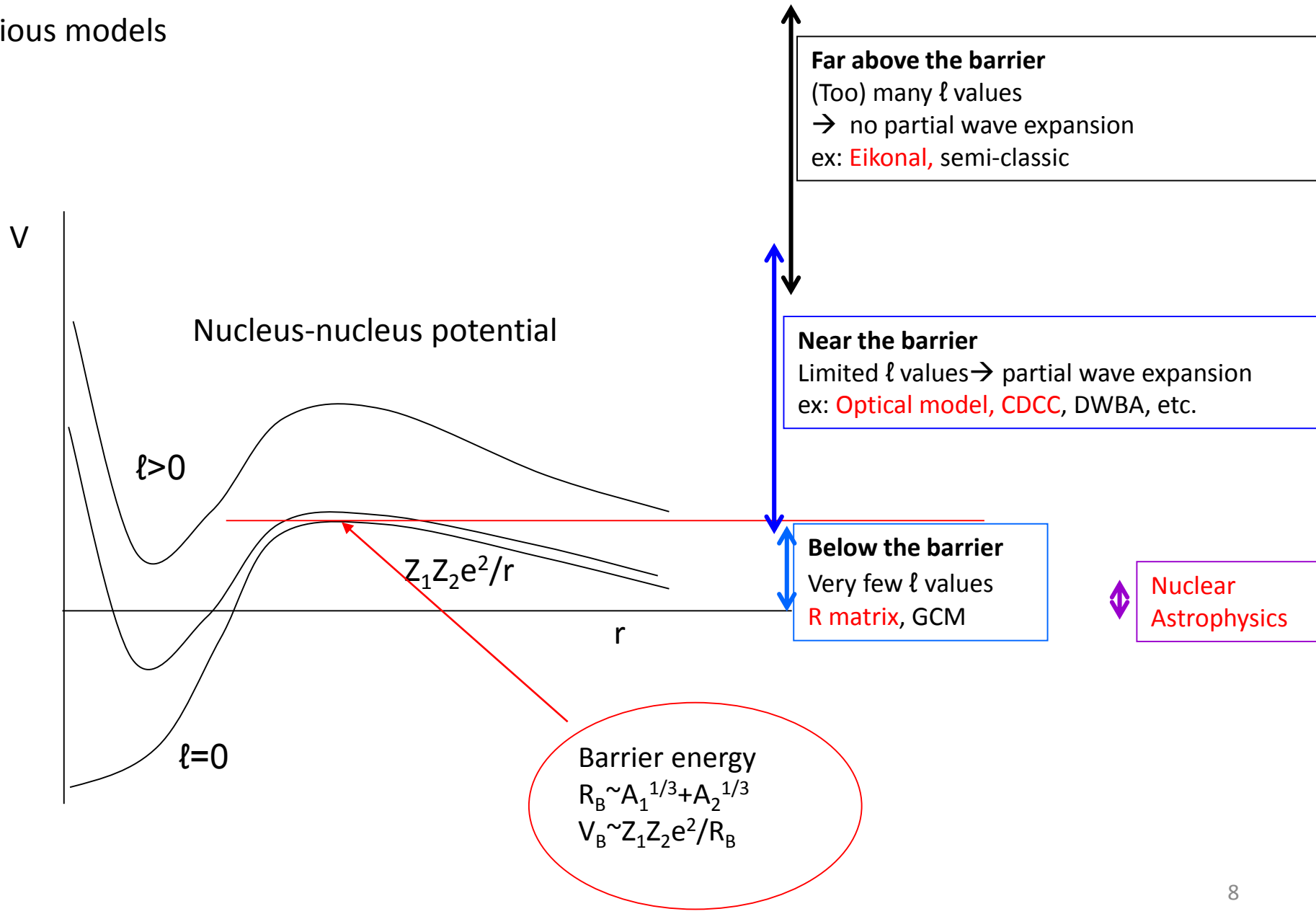


$^{19}\text{F} + p$: **High** level density (typical of stable nuclei)

➔ different models

1. Introduction

Various models

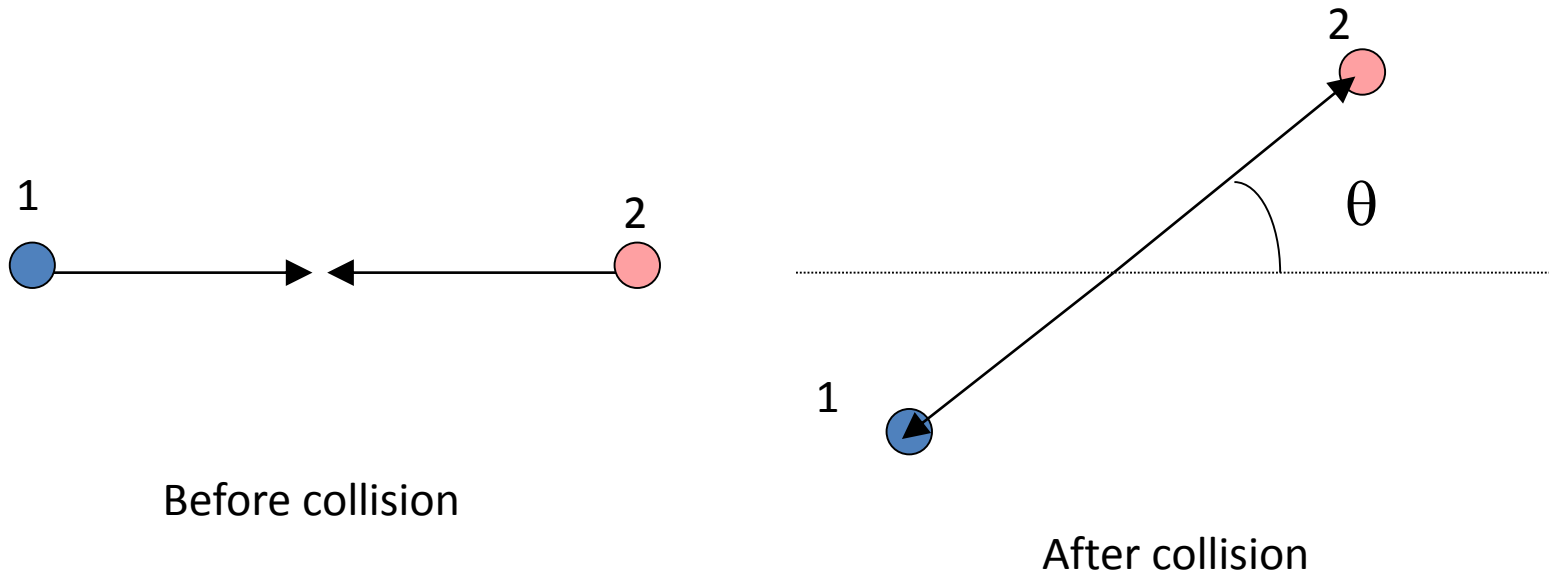


⬅ Nuclear Astrophysics

2. Single-channel potential/optical model

2. Single-channel optical model

Scheme of the collision (elastic scattering)



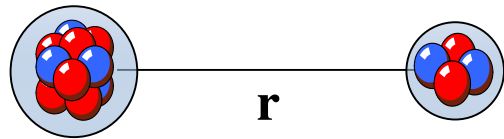
Center-of-mass system

2. Single-channel optical model

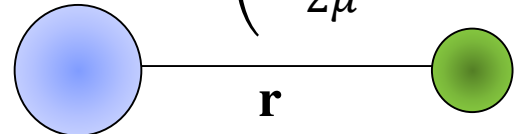
A. Definitions

Schrödinger equation: $H\Psi(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_A) = E\Psi(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_A)$ with $E > 0$: scattering states

- A-body equation (microscopic models) $H = \sum_i T_i + \frac{1}{2} \sum_{i,j} V_{ij}(\mathbf{r}_i - \mathbf{r}_j)$



- Optical model: internal structure of the nuclei is neglected
the particles interact by a **potential**
absorption simulated by the imaginary part = **optical potential**

$$H\Psi(\mathbf{r}) = \left(-\frac{\hbar^2}{2\mu} \Delta + V(\mathbf{r}) \right) \Psi(\mathbf{r}) = E\Psi(\mathbf{r})$$


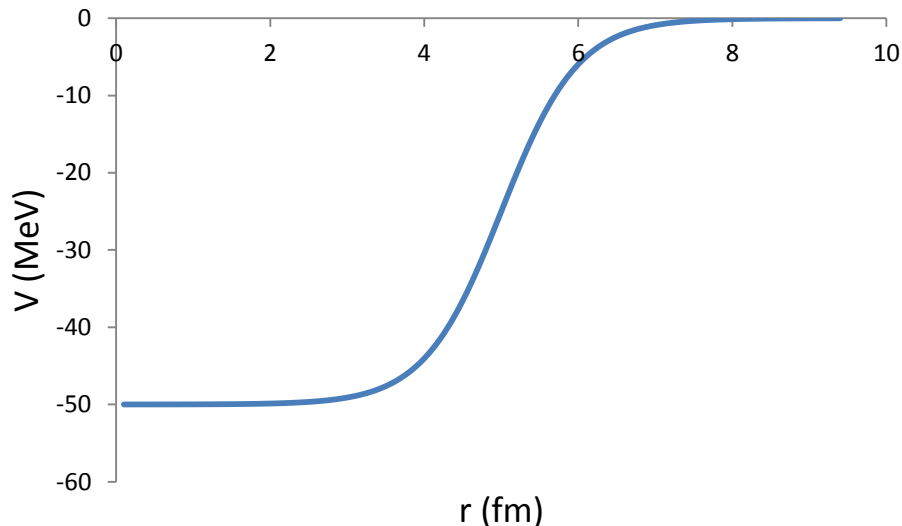
- Additional assumptions: elastic scattering
no Coulomb interaction
spins zero

2. Single-channel optical model

Two contributions to the optical potential: nuclear $V_N(r)$ and Coulomb $V_C(r)$

Typical nuclear potential: $V_N(r)$ (short range, attractive)

- examples: Gaussian $V_N(r) = -V_0 \exp(-(r/r_0)^2)$
Woods-Saxon: $V_N(r) = -\frac{V_0}{1+\exp(\frac{r-r_0}{a})}$
- parameters are fitted to experiment
- no analytical solution of the Schrödinger equation



Woods-Saxon potential
 r_0 = range (\sim sum of the radii)
 a = diffuseness (~ 0.5 fm)

Figure: $V_0=50$ MeV, $r_0=5$ fm, $a = 0.5$ fm

2. Single-channel optical model

Coulomb potential: long range, repulsive

- « point-point » potential : $V_C(r) = \frac{Z_1 Z_2 e^2}{r}$

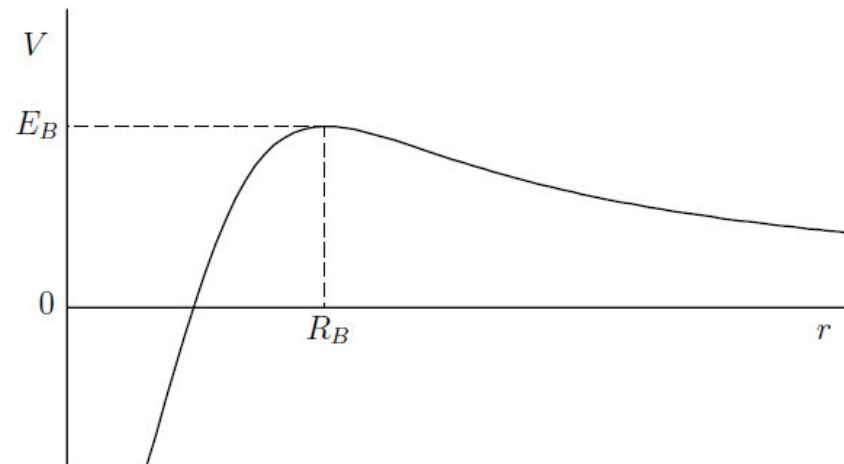
- « point-sphere » potential : (radius R_C)

$$V_C(r) = \frac{Z_1 Z_2 e^2}{r} \text{ for } r \geq R_C$$

$$V_C(r) = \frac{Z_1 Z_2 e^2}{2R_C} \left(3 - \left(\frac{r}{R_C} \right)^2 \right) \text{ for } r \leq R_C$$

Total potential: $V(r) = V_N(r) + V_C(r)$: presents a maximum at the Coulomb barrier

- radius $r = R_B$
- height $V(R_B) = E_B$



2. Single-channel optical model

B. General solution

$$H\Psi(\mathbf{r}) = \left(-\frac{\hbar^2}{2\mu}\Delta + V(\mathbf{r}) \right) \Psi(\mathbf{r}) = E\Psi(\mathbf{r})$$

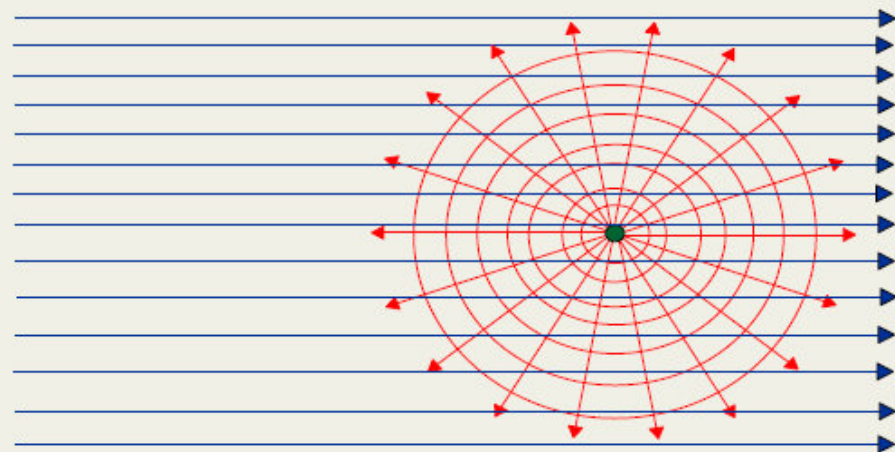
with $\Psi(\mathbf{r}) = \Phi(r) + \Psi_{scatt}(r)$ ($\Phi(\mathbf{r})$ corresponds to $V(r)=0$)

At large distances : $\Psi(\mathbf{r}) \rightarrow A \left(e^{i\mathbf{k}\cdot\mathbf{r}} + f(\theta) \frac{e^{ikr}}{r} \right)$ (with z along the beam axis)

where: k =wave number: $k^2 = 2mE/\hbar^2$

A =amplitude (scattering wave function is not normalized)

$f(\theta)$ =scattering amplitude (length)



Incoming plane wave

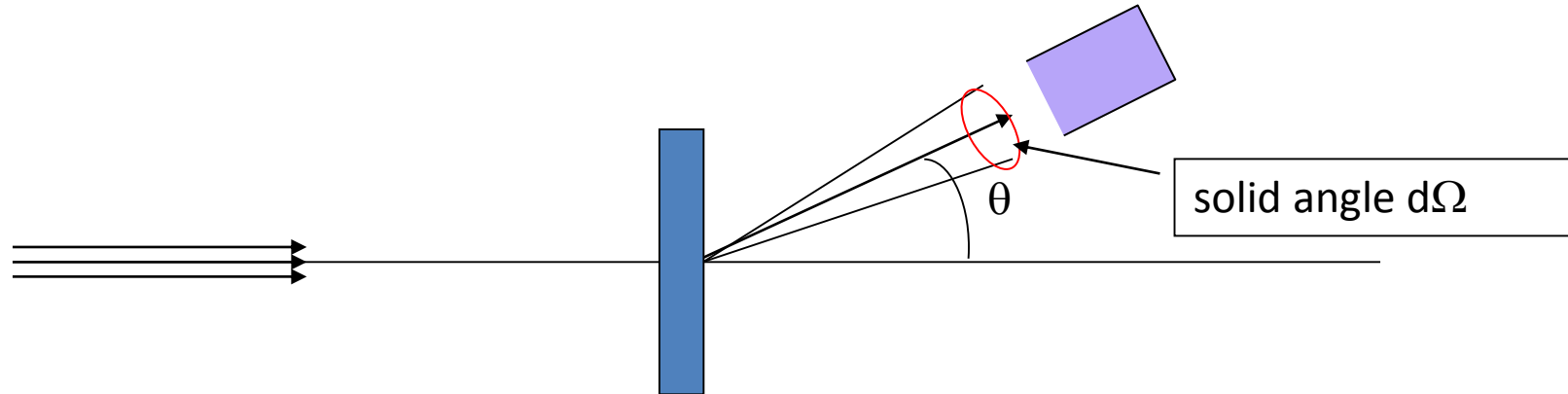
$$\Phi(\mathbf{r} \rightarrow \infty) = A e^{ikz}$$

$$\Psi_{scatt}(\mathbf{r} \rightarrow \infty) = A f(\theta) \frac{e^{ikr}}{r}$$

Outgoing spherical wave

2. Single-channel optical model

C. Cross sections



Cross section:
$$\frac{d\sigma}{d\Omega} = |f(\theta)|^2, \sigma = \int \frac{d\sigma}{d\Omega} d\Omega$$

- Cross section obtained from the asymptotic part of the wave function
General problem for scattering states: the wave function must be known up to large distances
- “**Direct**” problem: determine σ from the potential
- “**Inverse**” problem : determine the potential V from σ
- **Angular distribution**: E fixed, θ variable
- **Excitation function**: θ variable, E fixed,

2. Single-channel optical model

Main issue: determining the scattering amplitude $f(\theta)$ (and wave function $\Psi(\mathbf{r})$)

- *Method 1: partial wave expansion*: $\Psi(\mathbf{r}) = \sum_{lm} \Psi_l(r) Y_l^m(\theta, \phi)$
 - Must be determined for each partial wave $l \rightarrow$ **phase-shift method**
 - At low energies, few partial waves
 - $f(\theta)$ determined by the long-range part of $\Psi(\mathbf{r})$
- *Method 2: Formal theory- Lippman-Schwinger equation*

$$f(\theta) = -\frac{2\mu}{4\pi\hbar^2} \int \exp(-ikr' \cos \theta) V(\mathbf{r}') \Psi(\mathbf{r}') d\mathbf{r}'$$

- equivalent to the Schrödinger equation
- $V(\mathbf{r})$ has a short range $\rightarrow \Psi(\mathbf{r})$ is not necessary at large distances
- approximations: valid if $V(r)$ is small or E is large
 - Born approximation : $\Psi(\mathbf{r}) = \exp(i\mathbf{k} \cdot \mathbf{r})$
 - **Eikonal approximation** $\Psi(\mathbf{r}) = \exp(i\mathbf{k} \cdot \mathbf{r}) \hat{\Psi}(\mathbf{r})$

3. Phase-shift method: potential model

3. Phase-shift method: potential model

- Goal: solving the Schrodinger equation

$$\left(-\frac{\hbar^2}{2\mu} \Delta + V(\mathbf{r}) \right) \Psi(\mathbf{r}) = E\Psi(\mathbf{r})$$

with a partial-wave expansion

$$\Psi(\mathbf{r}) = \sum_{\ell, m} \frac{u_{\ell}(r)}{r} Y_{\ell}^m(\Omega_r) Y_{\ell}^{m*}(\Omega_k)$$

- Simplifying assumptions
 - neutral systems (no Coulomb interaction)
 - spins zero
 - single-channel calculations \rightarrow elastic scattering
- Generalizations briefly illustrated in the next section

3. Phase-shift method: Definition, cross section

- The wave function is expanded as

$$\Psi(\mathbf{r}) = \sum_{\ell, m} \frac{u_{\ell}(r)}{r} Y_{\ell}^m(\Omega_r) Y_{\ell}^{m*}(\Omega_k)$$

- This provides the Schrödinger equation for each partial wave ($\Omega_k = 0 \rightarrow m = 0$)

$$-\frac{\hbar^2}{2\mu} \left(\frac{d^2}{dr^2} - \frac{\ell(\ell+1)}{r^2} \right) u_{\ell} + V(r)u_{\ell} = E u_{\ell}$$

- Large distances : $r \rightarrow \infty, V(r) \rightarrow 0$

$$u_{\ell}'' - \frac{\ell(\ell+1)}{r^2} u_{\ell} + k^2 u_{\ell} = 0 \quad \text{Bessel equation} \rightarrow u_{\ell}(r) = r j_{\ell}(kr), r n_{\ell}(kr)$$

- Remarks

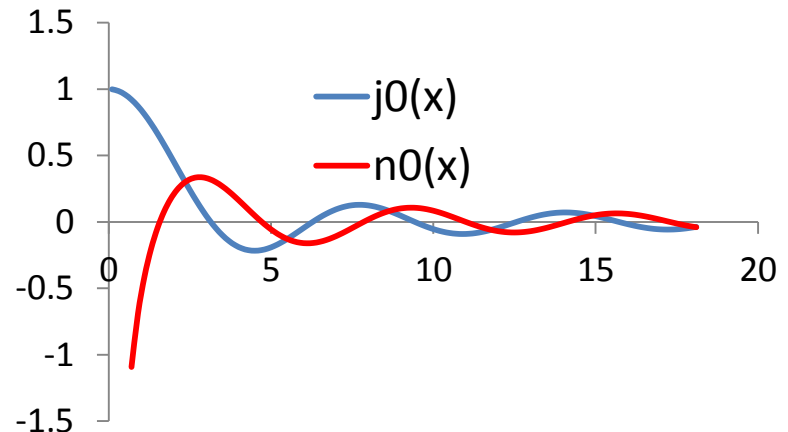
- must be solved for all l values
- at low energies: few partial waves in the expansion
- at small r : $u_{\ell}(r) \rightarrow r^{\ell+1}$

3. Phase-shift method: Definition, cross section

For small x :
$$j_l(x) \rightarrow \frac{x^l}{(2l+1)!!}$$
$$n_l(x) \rightarrow -\frac{(2l-1)!!}{x^{l+1}}$$

For large x :
$$j_l(x) \rightarrow \frac{1}{x} \sin(x - l\pi/2)$$
$$n_l(x) \rightarrow -\frac{1}{x} \cos(x - l\pi/2)$$

Examples: $j_0(x) = \frac{\sin x}{x}$, $n_0(x) = -\frac{\cos x}{x}$



At large distances: $u_\ell(r)$ is a linear combination of $rj_\ell(kr)$ and $rn_\ell(kr)$

$$u_\ell(r) \rightarrow C_l r (j_\ell(kr) - \tan \delta_\ell \times n_\ell(kr))$$

With $\delta_\ell = \text{phase shift}$ (information about the potential):

If $V=0 \rightarrow \delta_\ell = 0$

3. Phase-shift method: Definition, cross section

Derivation of the elastic cross section

- Identify the asymptotic behaviours

$$\Psi(\mathbf{r}) \rightarrow A \left(e^{i\mathbf{k}\cdot\mathbf{r}} + f(\theta) \frac{e^{ikr}}{r} \right)$$

$$\Psi(\mathbf{r}) \rightarrow \sum_{\ell} C_{\ell} (j_{\ell}(kr) - \tan \delta_{\ell} \times n_{\ell}(kr)) Y_{\ell}^0(\Omega_r) \sqrt{\frac{2\ell+1}{4\pi}}$$

- Provides coefficients C_{ℓ} and scattering amplitude $f(\theta)$

$$f(\theta, E) = \frac{1}{2ik} \sum_{\ell=0}^{\infty} (2\ell + 1) (\exp(2i\delta_{\ell}(E)) - 1) P_{\ell}(\cos \theta)$$

$$\frac{d\sigma(\theta, E)}{d\Omega} = |f(\theta, E)|^2$$

- Integrated cross section (neutral systems only)

$$\sigma = \frac{\pi}{k^2} \sum_{\ell=0}^{\infty} (2\ell + 1) \sin^2 \delta_{\ell}$$

- In practice, the summation over ℓ is limited to some ℓ_{max}



3. Phase-shift method: Definition, cross section

$$\frac{d\sigma(\theta, E)}{d\Omega} = |f(\theta, E)|^2 \text{ with } f(\theta, E) = \frac{1}{2ik} \sum_{\ell=0}^{\infty} (2\ell + 1)(\exp(2i\delta_{\ell}(E)) - 1) P_{\ell}(\cos \theta)$$

→ **factorization** of the dependences in E and θ

low energies: small number of ℓ values ($\delta_{\ell} \rightarrow 0$ when ℓ increases)

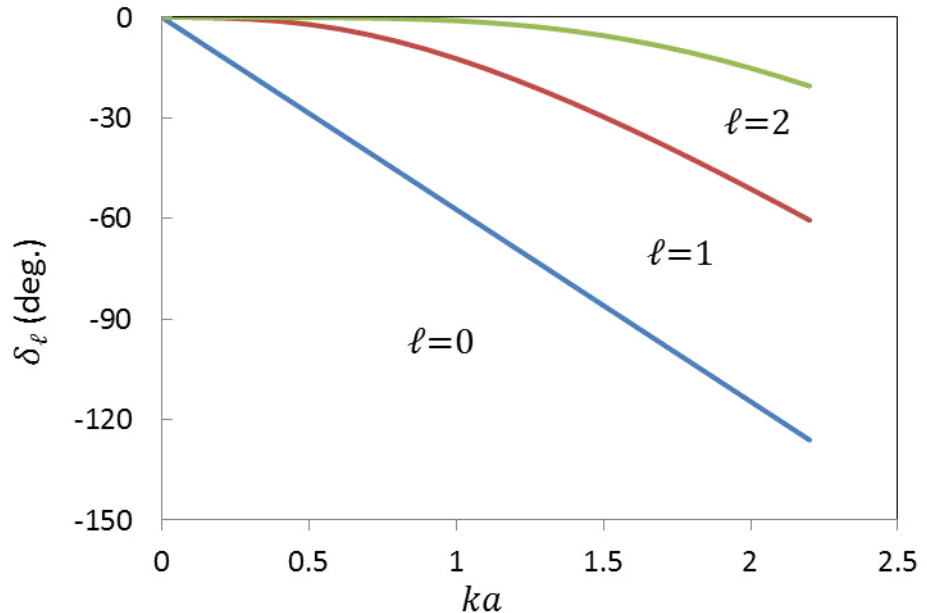
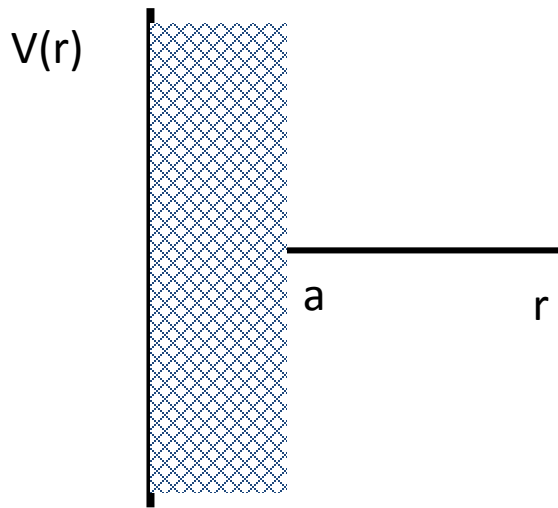
high energies: large number (→ alternative methods)

General properties of the phase shifts

1. The phase shift (and all derivatives) are continuous functions of E
2. The phase shift is known within $n\pi$: $\exp 2i\delta = \exp(2i(\delta + n\pi))$
3. Levinson theorem
 - $\delta_{\ell}(E = 0)$ is arbitrary
 - $\delta_{\ell}(0) - \delta_{\ell}(\infty) = N\pi$, where N is the number of bound states in partial wave ℓ
 - Example: $p+n$,
 - $\ell = 0$: $\delta_0(0) - \delta_0(\infty) = \pi$ (bound deuteron)
 - $\ell = 1$: $\delta_1(0) - \delta_1(\infty) = 0$ (no bound state for $\ell = 1$)

3. Phase-shift method: example

- Example: hard sphere (radius a)
- continuity at $r = a \rightarrow j_\ell(ka) - \tan \delta_\ell \times n_\ell(ka) = 0 \quad \rightarrow \tan \delta_\ell = \frac{j_\ell(ka)}{n_\ell(ka)}$
 $\rightarrow \delta_0 = -ka$



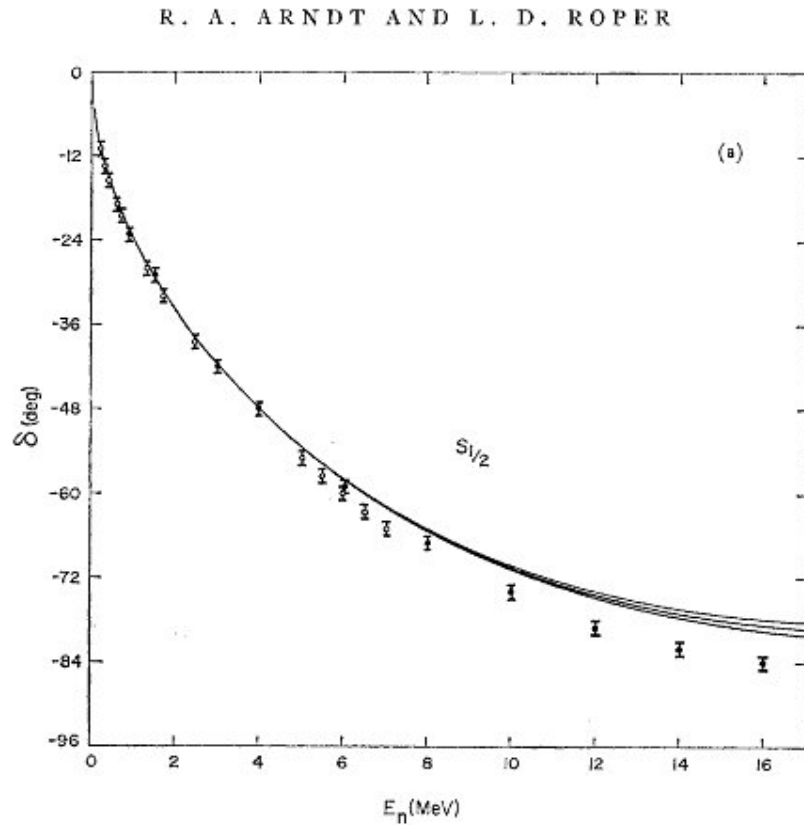
At low energies: $\delta_\ell(E) \rightarrow -\frac{(ka)^{2\ell+1}}{(2\ell+1)!!(2\ell-1)!!}$, in general: $\delta_\ell(E) \sim k^{2\ell+1}$

➔ Strong difference between $\ell = 0$ (no barrier) et $\ell \neq 0$ (centrifugal barrier)

3. Phase-shift method: example

example : $\alpha+n$ phase shift $\ell = 0$

consistent with the hard sphere ($a \sim 2.2$ fm)

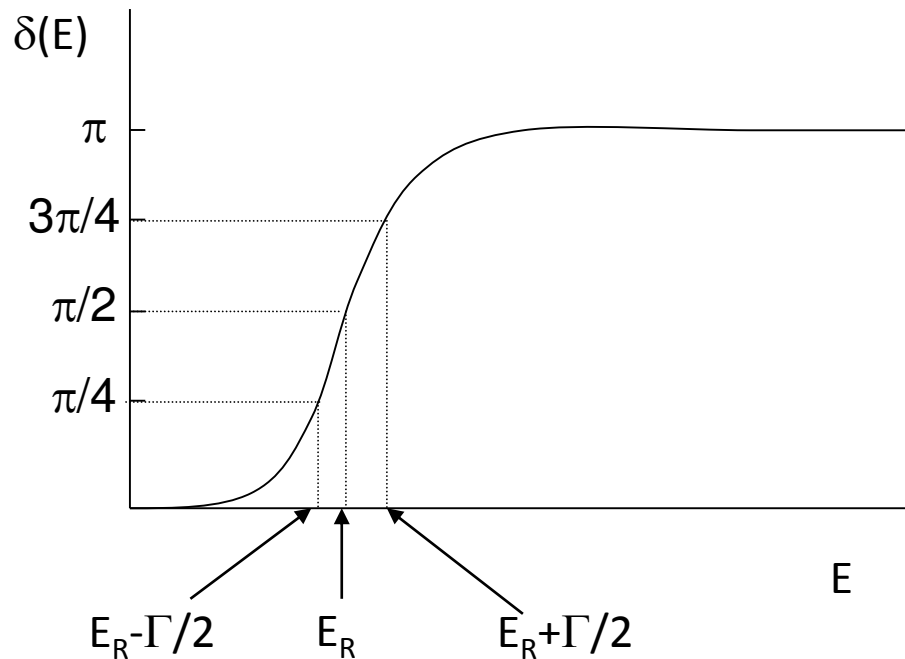


3. Phase-shift method: resonances

Resonances: $\delta_R(E) \approx \text{atan} \frac{\Gamma}{2(E_R - E)}$ = Breit-Wigner approximation

E_R = resonance energy

Γ = resonance width



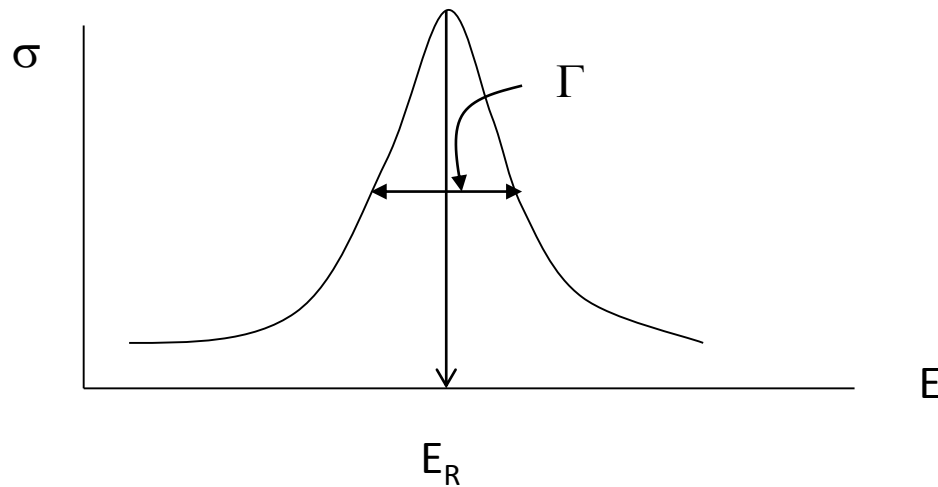
- Narrow resonance: Γ small
- Broad resonance: Γ large
- Bound states: $\Gamma = 0$ ($E_R < 0$)

3. Phase-shift method: resonances

Cross section

$$\sigma(E) = \frac{\pi}{k^2} \sum_{\ell} (2\ell + 1) |\exp(2i\delta_{\ell}) - 1|^2 \quad \text{maximum for } \delta = \frac{\pi}{2}$$

Near the resonance: $\sigma(E) \approx \frac{4\pi}{k^2} (2\ell_R + 1) \frac{\Gamma^2/4}{(E_R - E)^2 + \Gamma^2/4}$, where ℓ_R = resonant partial wave

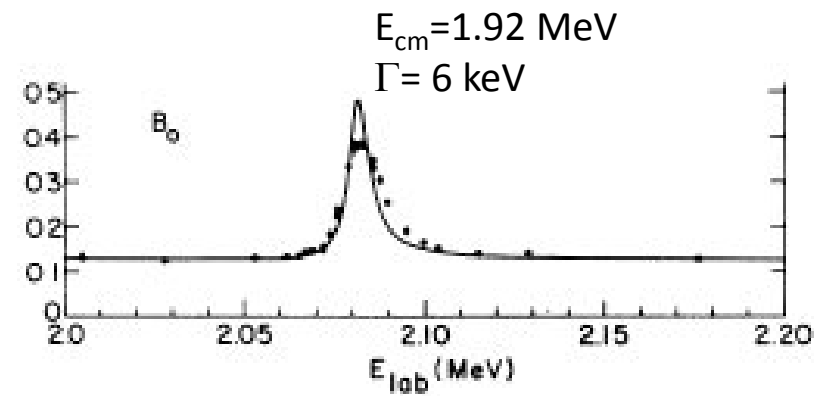
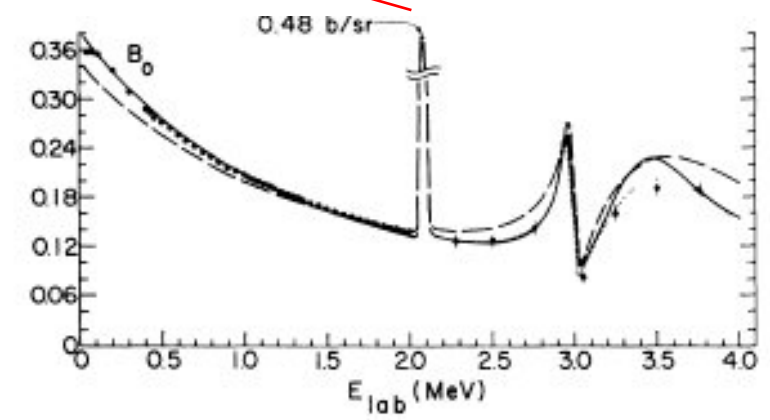
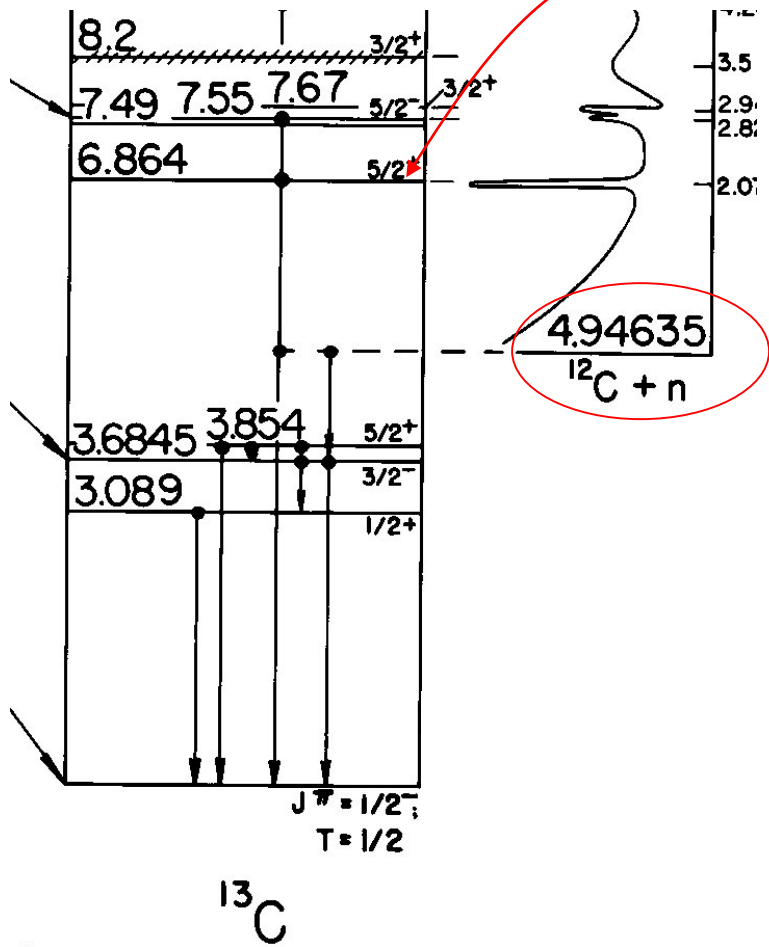


In practice:

- Peak not symmetric (Γ depends on E)
- « Background » neglected (other ℓ values)
- Differences with respect to Breit-Wigner

3. Phase-shift method: resonances

Example: $n+^{12}\text{C}$



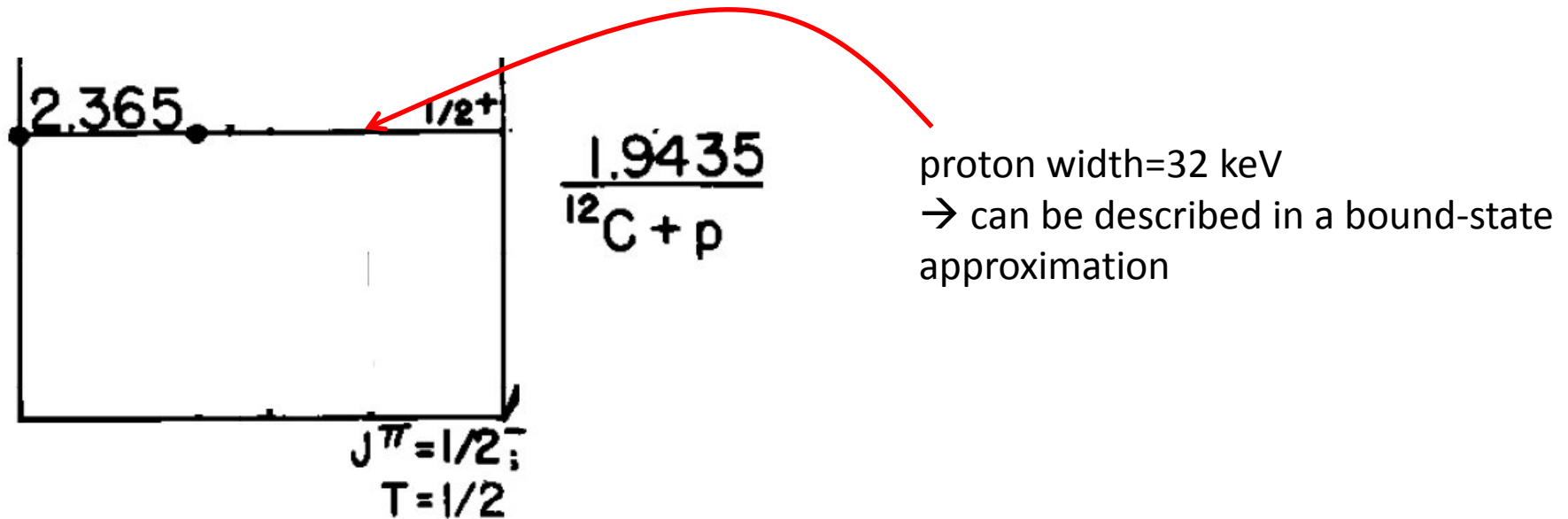
Comparison of 2 typical times:

- a. Lifetime of the resonance: $\tau_R = \hbar/\Gamma \approx \frac{197}{3.10^{23} \times 6.10^{-3}} \approx 1.1 \times 10^{-19} \text{ s}$
- b. Interaction time without resonance: $\tau_{NR} = d/v \approx 5.2 \times 10^{-22} \text{ s} \rightarrow \tau_{NR} \ll \tau_R$

3. Phase-shift method: resonances

Narrow resonances

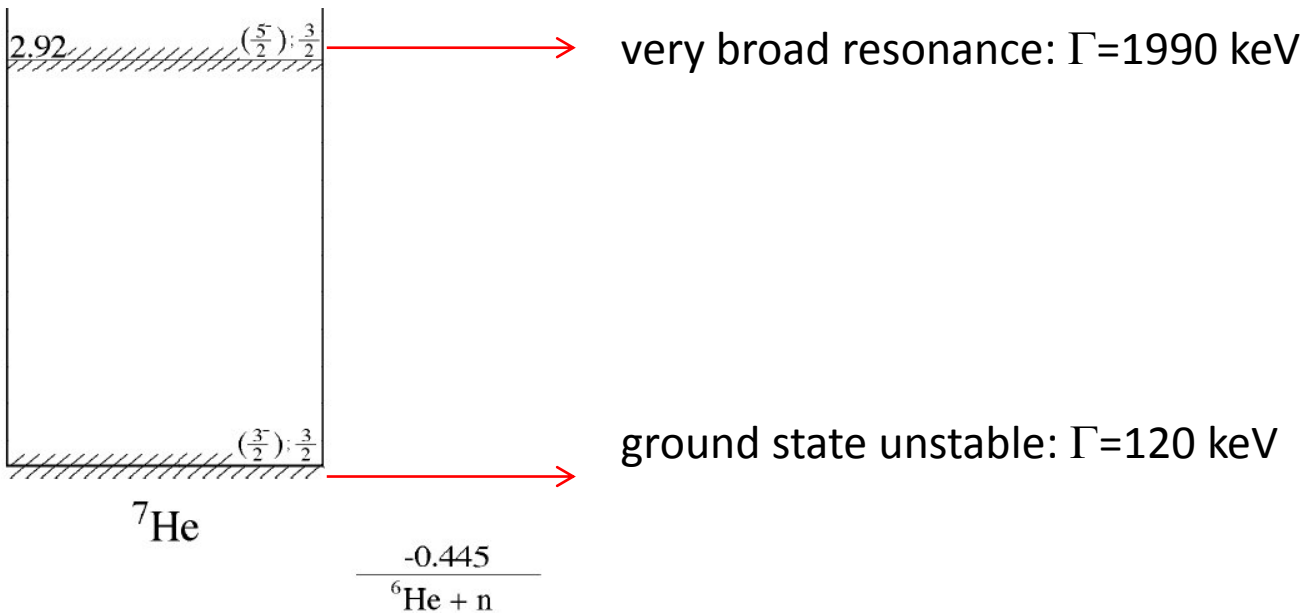
- Small particle width
- long lifetime
- can be approximetly treated by *neglecting the asymptotic behaviour of the wave function*



3. Phase-shift method: resonances

Broad resonances

- Large particle width
- Short lifetime
- *asymptotic behaviour of the wave function is important*
 - rigorous scattering theory
 - bound-state model complemented by other tools (complex scaling, etc.)

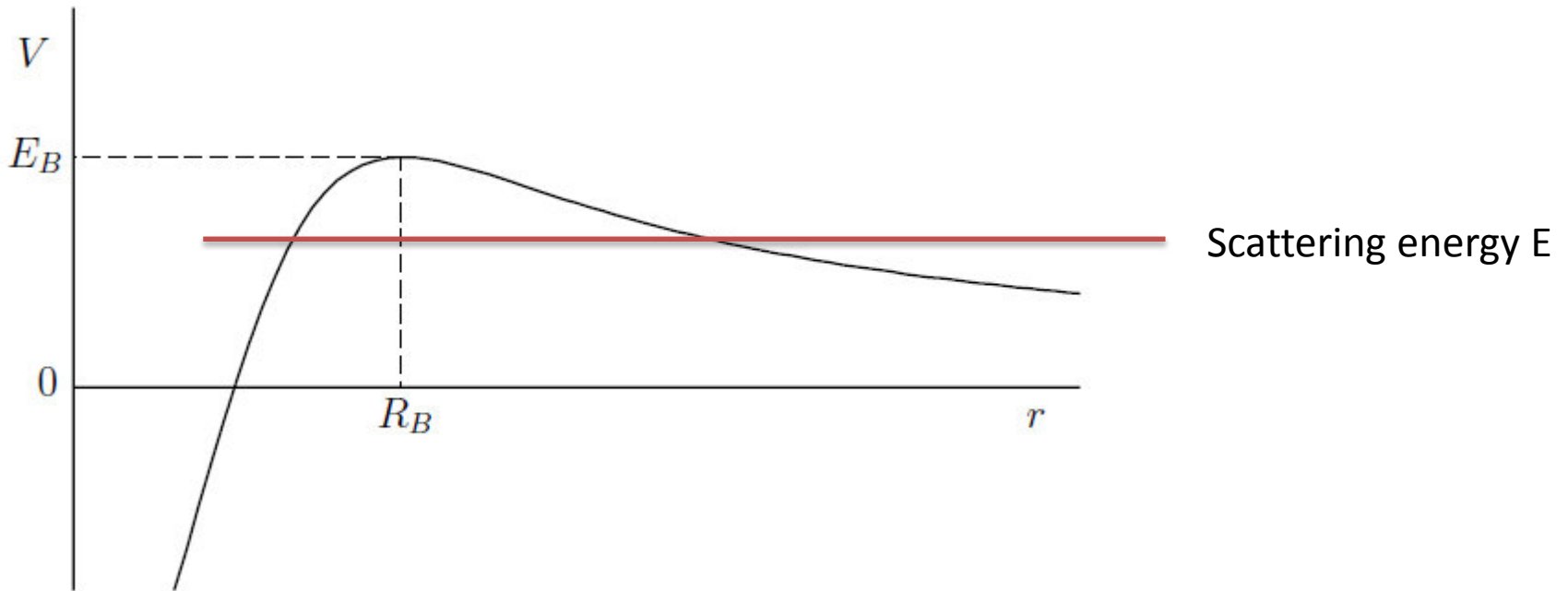


4. Generalizations

- Extension to charged systems
- Numerical calculation
- Optical model (high energies \rightarrow absorption)
- Extension to multichannel problems

4. Generalizations

Generalization 1: charged systems



$E \gg E_B$: weak coulomb effects (V negligible with respect to E)

$E < E_B$: strong coulomb effects (ex: nuclear astrophysics)

4. Generalizations

A. Asymptotic behaviour

Neutral systems

$$\left(-\frac{\hbar^2}{2\mu} \Delta + V_N(r) - E \right) \Psi(\mathbf{r}) = 0$$

$$\Psi(\mathbf{r}) \rightarrow \exp(i\mathbf{k} \cdot \mathbf{r}) + f(\theta) \frac{\exp(ikr)}{r}$$

Charged systems

$$\left(-\frac{\hbar^2}{2\mu} \Delta + V_N(r) + \frac{Z_1 Z_2 e^2}{r} - E \right) \Psi(\mathbf{r}) = 0$$

$$\Psi(\mathbf{r})$$

$$\rightarrow \exp(i\mathbf{k} \cdot \mathbf{r} + i\eta \ln(\mathbf{k} \cdot \mathbf{r} - kr))$$

$$+ f(\theta) \frac{\exp(i(kr - \eta \ln 2kr))}{r}$$

$$\eta = \frac{Z_1 Z_2 e^2}{\hbar v}$$

- Sommerfeld parameter
- « measurement » of coulomb effects
- Increases at low energies
- Decreases at high energies

4. Generalizations

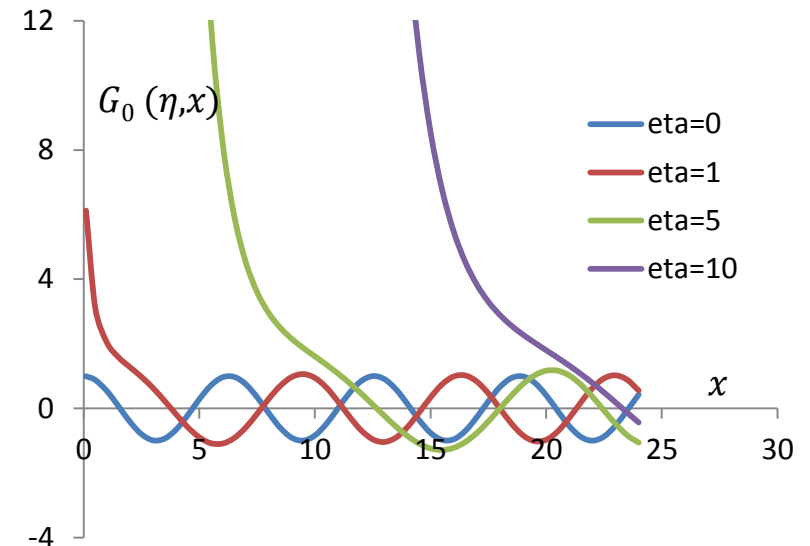
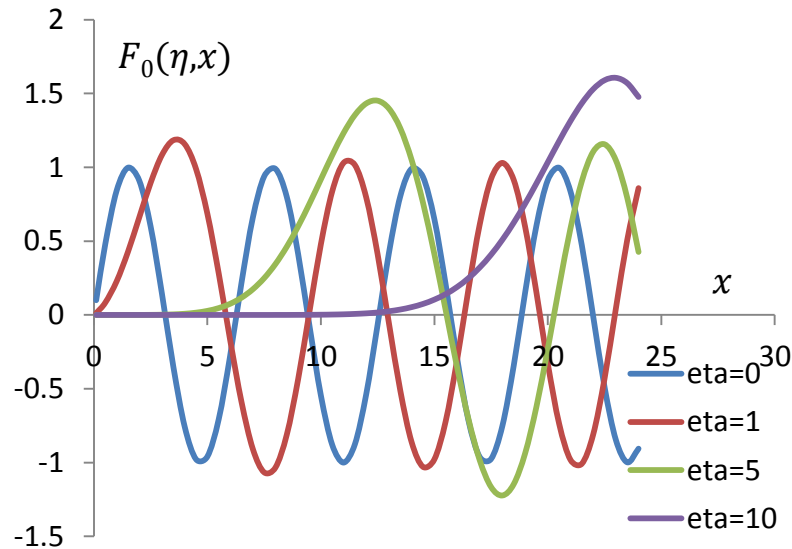
B. Phase shifts with the coulomb potential

Neutral system: $\left(\frac{d^2}{dr^2} - \frac{\ell(\ell+1)}{r^2} + k^2\right) R_\ell = 0$

Bessel equation : solutions $j_\ell(kr), n_\ell(kr)$

Charged system: $\left(\frac{d^2}{dr^2} - \frac{\ell(\ell+1)}{r^2} - 2\frac{\eta k}{r} + k^2\right) R_\ell = 0:$

Coulomb equation: solutions $F_\ell(\eta, kr), G_\ell(\eta, kr)$



4. Generalizations

- Incoming and outgoing functions (complex)

$$I_\ell(\eta, x) = G_\ell(\eta, x) - iF_\ell(\eta, x) \rightarrow e^{-i(x - \frac{\ell\pi}{2} - \eta \ln 2x + \sigma_\ell)}: \text{incoming wave}$$

$$O_\ell(\eta, x) = G_\ell(\eta, x) + iF_\ell(\eta, x) \rightarrow e^{i(x - \frac{\ell\pi}{2} - \eta \ln 2x + \sigma_\ell)}: \text{outgoing wave}$$

- Phase-shift definition

- neutral systems : $R_\ell(r) \rightarrow rA(j_\ell(kr) - \tan \delta_\ell n_\ell(kr))$

- charged systems: $R_\ell(r) \rightarrow A(F_\ell(\eta, kr) + \tan \delta_\ell G_\ell(\eta, kr))$
 $\rightarrow B(\cos \delta_\ell F_\ell(\eta, kr) + \sin \delta_\ell G_\ell(\eta, kr))$
 $\rightarrow C(I_\ell(\eta, kr) - U_\ell O_\ell(\eta, kr))$

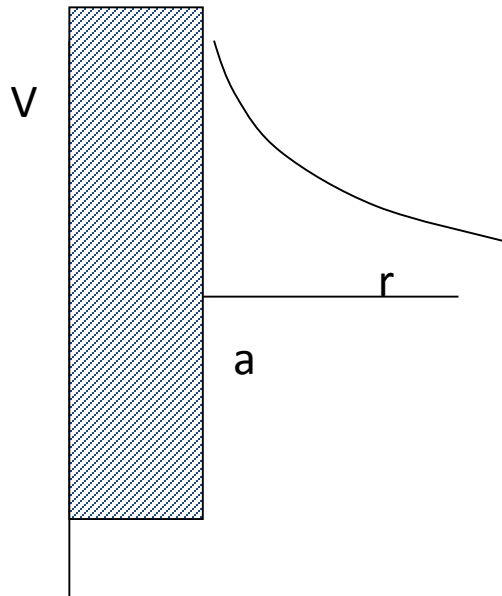
3 equivalent definitions (amplitude is different)

Collision matrix (=scattering matrix)

$$U_\ell = e^{2i\delta_\ell} : \text{module } |U_\ell| = 1$$

4. Generalizations

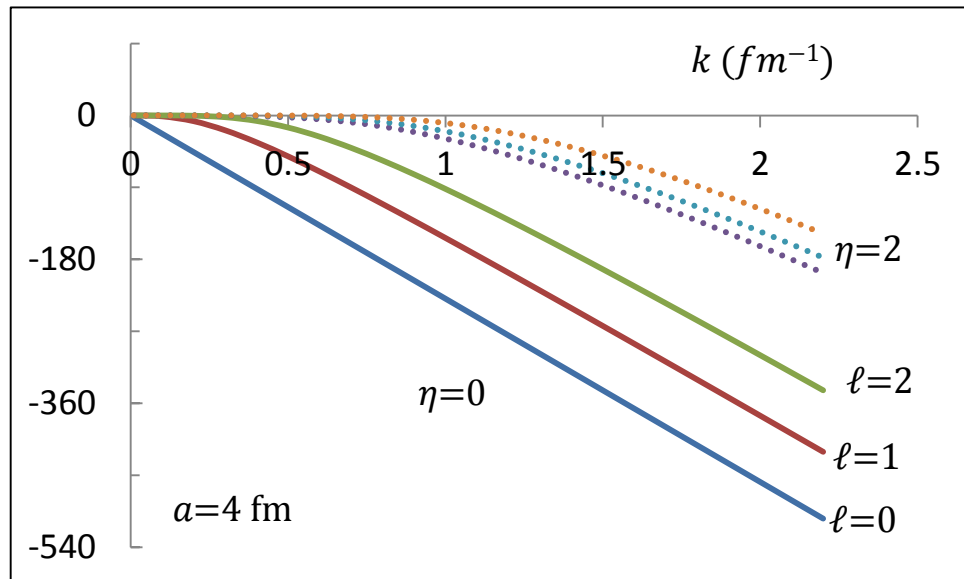
Example: hard-sphere potential



$$V(r) = \frac{Z_1 Z_2 e^2}{r} \text{ for } r > a$$

$$\infty \text{ for } r < a$$

$$\text{phase shift: } \tan \delta_\ell = -\frac{F_\ell(\eta, ka)}{G_\ell(\eta, ka)}$$



4. Generalizations

C. Rutherford cross section

For a Coulomb potential ($V_N = 0$):

- scattering amplitude : $f_c(\theta) = -\frac{\eta}{2k \sin^2 \theta/2} e^{2i(\sigma_0 - \eta \ln \sin \theta/2)}$
- Coulomb phase shift for $\ell = 0$: $\sigma_0 = \arg \Gamma(1 + i\eta)$

We get the Rutherford cross section:

$$\frac{d\sigma_C}{d\Omega} = |f_c(\theta)|^2 = \left(\frac{Z_1 Z_2 e^2}{4E \sin^2 \theta/2} \right)^2$$

- Increases at low energies
- Diverges at $\theta = 0 \rightarrow$ no integrated cross section

4. Generalizations

D. Cross sections with nuclear and Coulomb potentials

- The general definitions

$$f(\theta) = \frac{1}{2ik} \sum_{\ell=0}^{\infty} (2\ell + 1) (\exp(2i\delta_{\ell}) - 1) P_{\ell}(\cos \theta)$$

$$\frac{d\sigma}{d\Omega} = |f(\theta)|^2$$

are still valid

- *Problem* : very slow convergence with ℓ
→ separation of the nuclear and coulomb phase shifts

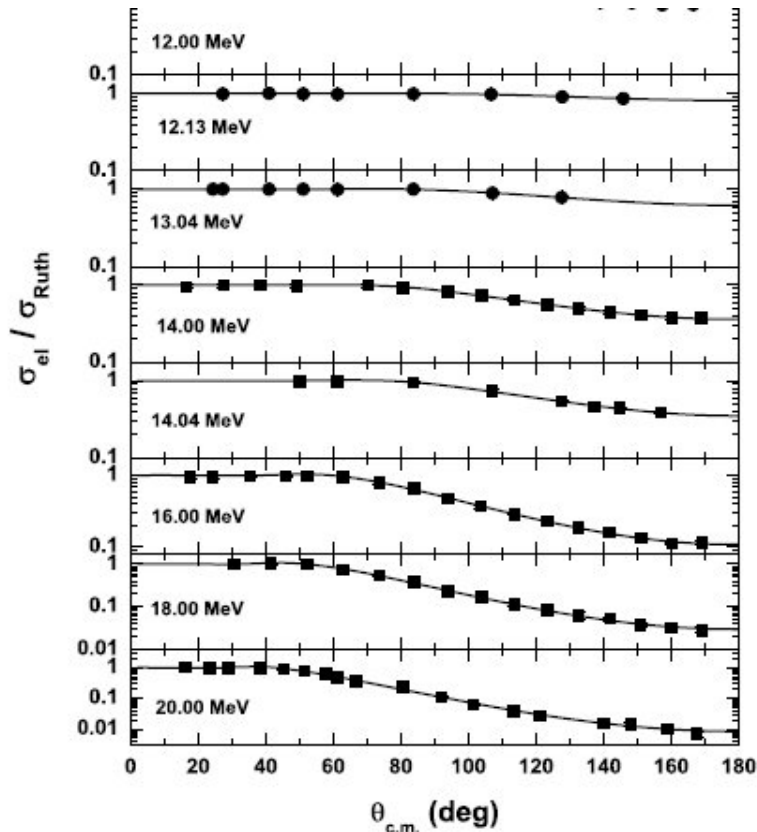
$$\begin{aligned}\delta_{\ell} &= \delta_{\ell}^N + \sigma_{\ell} \\ \sigma_{\ell} &= \arg \Gamma(1 + \ell + i\eta)\end{aligned}$$

- Scattering amplitude $f(\theta)$ written as $f(\theta) = f^C(\theta) + f^N(\theta)$
 - $f^C(\theta) = \frac{1}{2ik} \sum_{\ell=0}^{\infty} (2\ell + 1) (\exp(2i\sigma_{\ell}) - 1) P_{\ell}(\cos \theta) = -\frac{\eta}{2k \sin^2 \theta/2} e^{2i(\sigma_0 - \eta \ln \sin \theta/2)}$
→ analytical
 - $f^N(\theta) = \frac{1}{2ik} \sum_{\ell=0}^{\infty} (2\ell + 1) \exp(2i\sigma_{\ell}) (\exp(2i\delta_{\ell}^N) - 1) P_{\ell}(\cos \theta)$
→ converges rapidly

4. Generalizations

Total cross section: $\frac{d\sigma}{d\Omega} = |f(\theta)|^2 = |f^C(\theta) + f^N(\theta)|^2$

- Nuclear term dominant at 180°
- Coulomb term coulombien dominant at small angles \rightarrow used to normalize experiments
- Coulomb amplitude strongly depends on the angle $\rightarrow \frac{d\sigma/d\Omega}{d\sigma_C/d\Omega}$
- Integrated cross section $\int \frac{d\sigma}{d\Omega} d\Omega$ is not defined



System ${}^6\text{Li}+{}^{58}\text{Ni}$

- $E_{cm} = \frac{58}{64} E_{lab}$

- Coulomb barrier

$$E_B \sim \frac{3 * 28 * 1.44}{7} \sim 17 \text{ MeV}$$

- Below the barrier: $\sigma \sim \sigma_C$

- Above E_B : σ is different from σ_C

4. Generalizations

Generalization 2: numerical calculation

For some potentials: analytic solution of the Schrödinger equation

In general: no **analytical solution** → **numerical approach**

$$-\frac{\hbar^2}{2\mu} \frac{d^2}{dr^2} u_\ell(r) + (V(r) - E) u_\ell(r) = 0$$

with:
$$V(r) = V_N(r) + \frac{Z_1 Z_2 e^2}{r} + \frac{\hbar^2 \ell(\ell + 1)}{2\mu r^2}$$

$$u_\ell(r) \rightarrow F_\ell(kr, \eta) \cos \delta_\ell + G_\ell(kr, \eta) \sin \delta_\ell$$

Numerical solution : discretization N points, with mesh size h

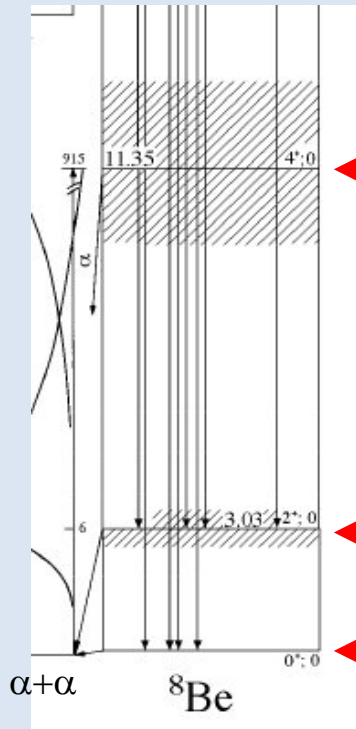
- $u_l(0) = 0$
- $u_l(h) = 1$ (or any constant)
- $u_l(2h)$ is determined numerically from $u_l(0)$ and $u_l(h)$ (Numerov algorithm)
- $u_l(3h), \dots, u_l(Nh)$
- for large r: matching to the asymptotic behaviour → phase shift

Bound states: same idea (but energy is unknown)

4. Generalizations

Example: $\alpha+\alpha$

Experimental spectrum of ${}^8\text{Be}$

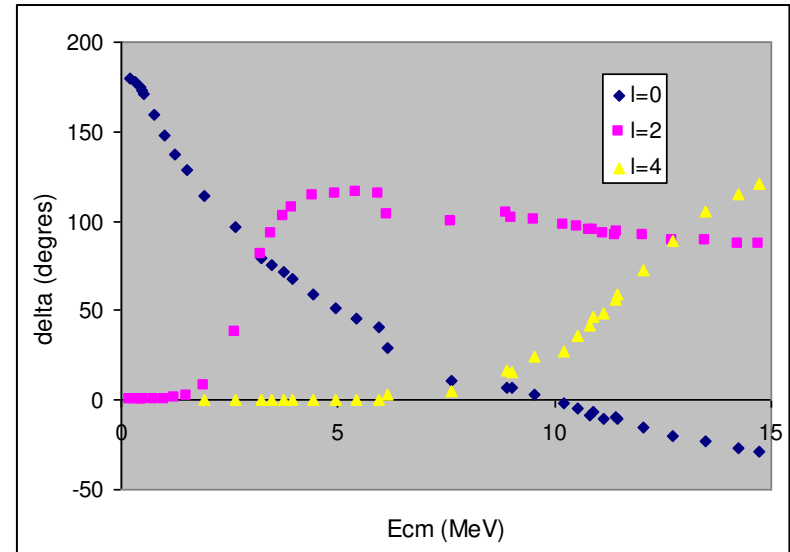


4^+
 $E \sim 11 \text{ MeV}$
 $\Gamma \sim 3.5 \text{ MeV}$

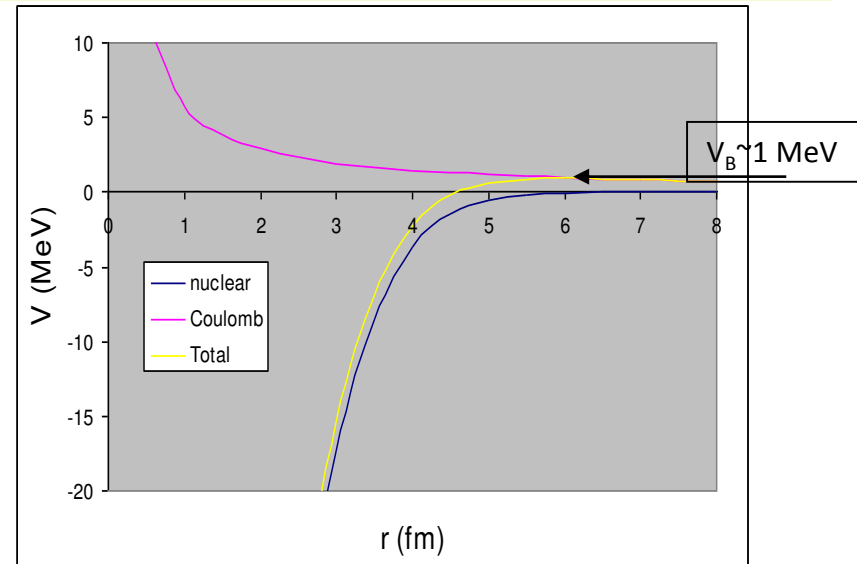
2^+
 $E \sim 3 \text{ MeV}$
 $\Gamma \sim 1.5 \text{ MeV}$

0^+
 $E = 0.09 \text{ MeV}$
 $\Gamma = 6 \text{ eV}$

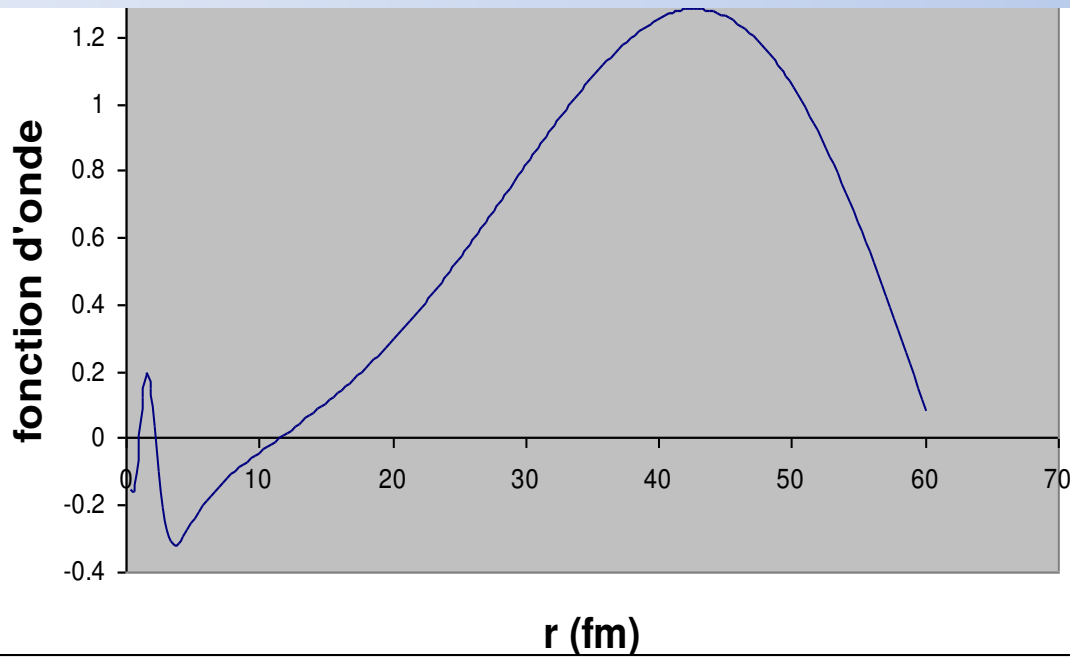
Experimental phase shifts



Potential: $V_N(r) = -122.3 \cdot \exp(-(r/2.13)^2)$



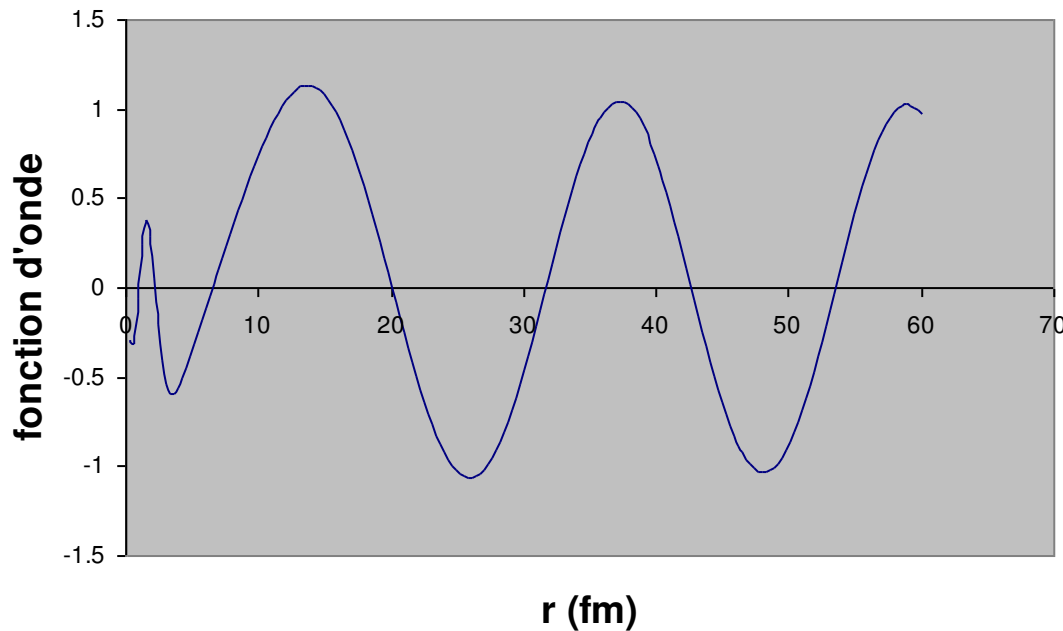
4. Generalizations



$\alpha + \alpha$ wave function for $\ell = 0$

$E = 0.2$ MeV

- $E < E_B$
- Small amplitude for r small



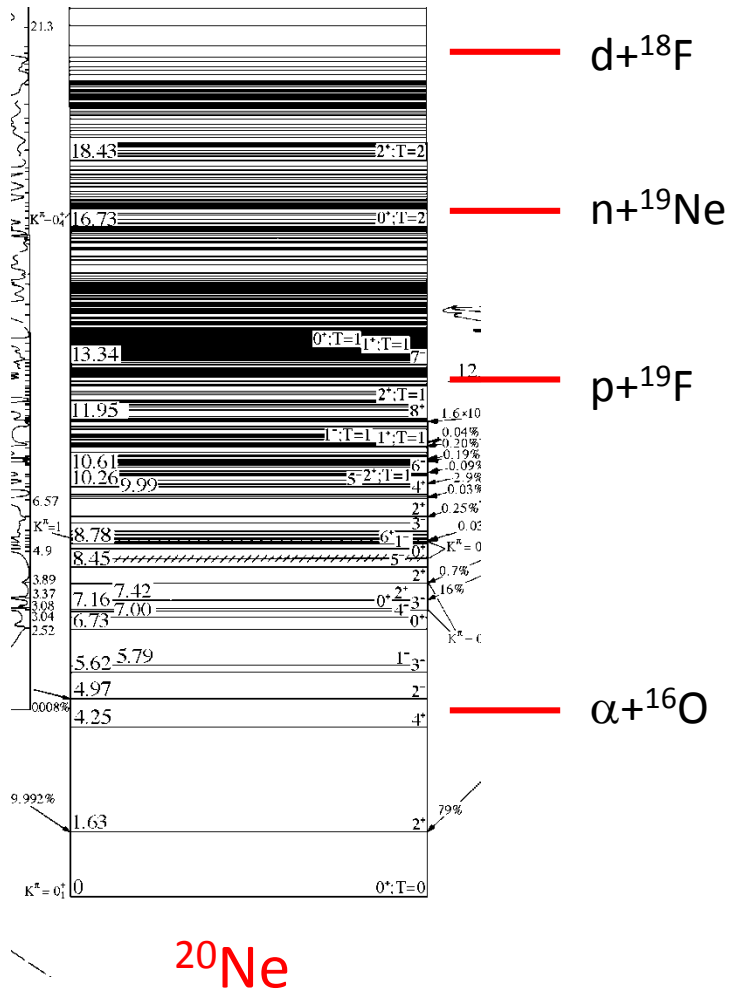
$E = 1$ MeV

- $E \approx E_B$

4. Generalizations

Generalization 3: complex potentials

Goal: to simulate absorption channels



High energies:

- many open channels
- strong absorption
- potential model extended to **complex** potentials (« optical »)

Phase shift is complex: $\delta = \delta_R + i\delta_I$
 collision matrix: $U = \exp(2i\delta) = \eta \exp(2i\delta_R)$
 where $\eta = \exp(-2\delta_I) < 1$

Elastic cross section

$$\frac{d\sigma}{d\Omega} = \frac{1}{4k^2} \left| \sum_{\ell} (2\ell + 1) (\eta_{\ell} \exp(2i\delta_{\ell}) - 1) P_{\ell}(\cos\theta) \right|^2$$

Reaction cross section:

$$\sigma = \frac{\pi}{k^2} \sum_{\ell} (2\ell + 1) (1 - \eta_{\ell}^2)$$

4. Generalizations

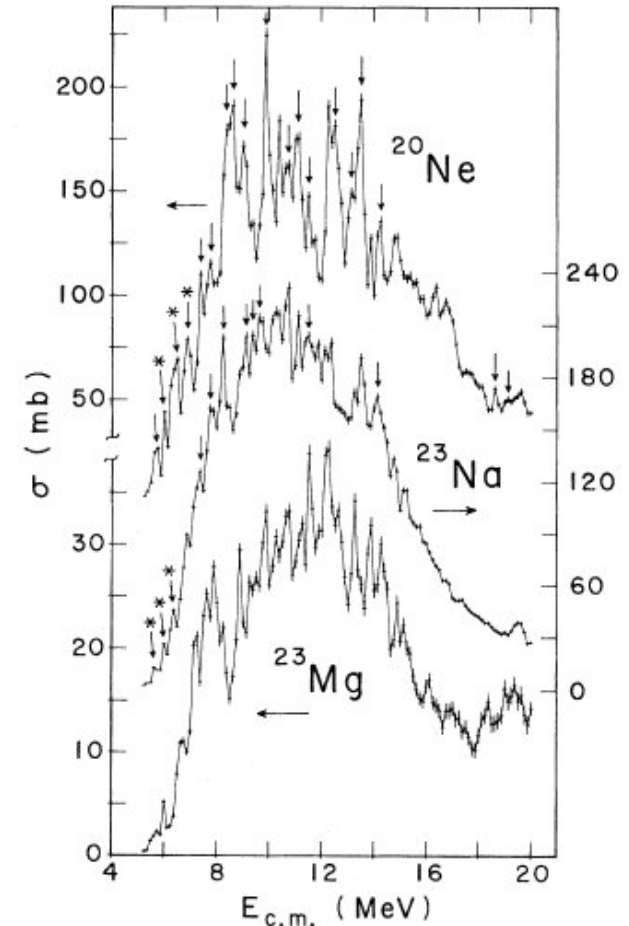
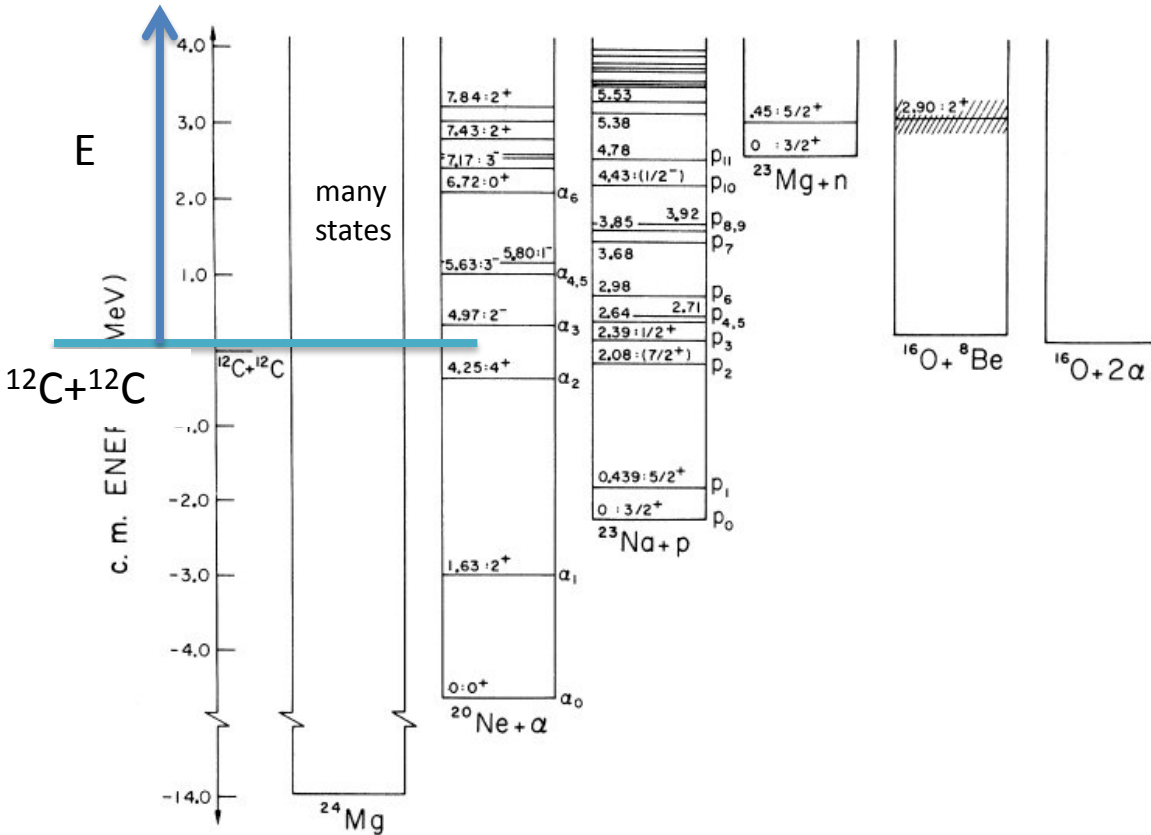
In astrophysics, optical potentials are used to compute fusion cross sections

Fusion cross section: includes many channels

Example: $^{12}\text{C}+^{12}\text{C}$: Essentially $^{20}\text{Ne}+\alpha$, $^{23}\text{Na}+p$, $^{23}\text{Mg}+n$ channels

→ absorption simulated by a complex potential $V = V_R + iW$

experimental cross section
Satkowiak et al. PRC 26 (1982) 2027



4. Generalizations

Origin of the complex potential

- Time-dependent Schrödinger equation: $i\hbar \frac{\partial \Psi}{\partial t} = H\Psi = (T + V)\Psi$

is equivalent to (**real** potential): $\frac{\partial \rho}{\partial t} + \text{div } \mathbf{J} = 0$, with $\mathbf{J} = \frac{1}{\mu} \text{Re} (\Psi^* \mathbf{p}\Psi)$

→ constant current \mathbf{J}

for a **complex** potential: $V = V_R + iV_I$

$$\frac{\partial \rho}{\partial t} + \text{div } \mathbf{J} = \frac{2}{\hbar} V_I \rho$$

→ $V_I < 0$ simulates absorption (inelastic, transfer, etc) not explicitly included

Simple interpretation

- Let us assume a constant potential $V = -V_0$
 - wave function=plane wave $\Psi \sim \exp(ik_0 r) \sim \exp(i\sqrt{\frac{2\mu(E+V_0)}{\hbar^2}} r) \rightarrow |\Psi|^2 = 1$
- For a complex potential $V = -V_0 - iW_0$ (W_0 small)
 - wave function $\Psi \sim \exp(ik_0 r) \exp(-k_I r): \rightarrow |\Psi|^2 \sim \exp(-2k_I r)$
 - incoming particles « disappear » (=absorption)

4. Generalizations

Generalization 4 : system with spins (multichannel)

- Allows to deal with inelastic, transfer, etc..
- Phase shift (single-channel) \rightarrow collision (scattering) matrix

A. Quantum numbers

- Good quantum numbers: total angular momentum J and parity π
- Additional indices
 - **Channel α** defined by 2 nuclei with spins I_1, I_2 et parités π_1, π_2
 - **Channel spin $I = I_1 + I_2$**
 - **Relative angular momentum ℓ**

$$\text{with } \begin{aligned} J &= I + \ell \\ \pi &= \pi_1 \pi_2 (-1)^\ell \end{aligned}$$

Examples:

1) $\alpha+n$: $I_1=0, I_2=1/2 \rightarrow I=1/2, \ell = |J - \frac{1}{2}|$ or $J + \frac{1}{2}$: channel number = 1

2) $p+n$: $I_1=I_2=1/2 \rightarrow I = 0$ or 1 : channel number depends on J

4. Generalizations

3) Reaction ${}^6\text{Li} + p \rightarrow {}^3\text{He} + \alpha$

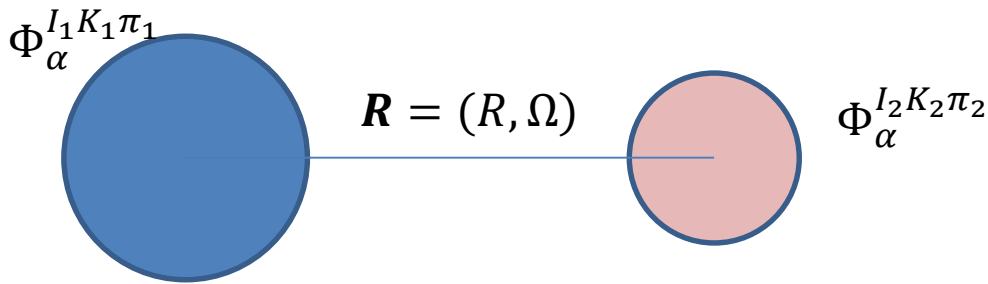
- channel 1: ${}^6\text{Li} + p$, $\text{spin}({}^6\text{Li}) I_1=1^+$, $\text{spin}(p) I_2=1/2^+$
- channel 2: ${}^3\text{He} + \alpha$, $\text{spin}({}^3\text{He})=1/2^+$, $\text{spin}(\alpha)=0^+$

$J\pi$	channel $\alpha = 1$	channel $\alpha = 2$	$\alpha I \ell$
$1/2^+$	$I = 1/2, \ell = 0$ $I = 3/2, \ell = 2$	$I = 1/2, \ell = 0$	3 values
$1/2^-$	$I = 1/2, \ell = 1$ $I = 3/2, \ell = 1$	$I = 1/2, \ell = 1$	3 values
$3/2^+$	$I = 1/2, \ell = 2$ $I = 3/2, \ell = 0, 2$	$I = 1/2, \ell = 2$	4 values
$3/2^-$	$I = 1/2, \ell = 1$ $I = 3/2, \ell = 1, 3$	$I = 1/2, \ell = 1$	4 values

→ Size of the collision matrix is: 3x3 or 4x4

4. Generalizations

B. Coupled-channel wave functions



1. Internal wave functions of nuclei 1 and 2 : $\Phi_{\alpha}^{I_1 K_1 \pi_1}$ and $\Phi_{\alpha}^{I_2 K_2 \pi_2}$
2. Coupling of the projectile+target spins: $I = I_1 \oplus I_2$

$$\Phi_{\alpha}^{IK\pi_1\pi_2} = \sum_{K_1 K_2} \langle I_1 K_1 I_2 K_2 | IK \rangle \Phi_{\alpha}^{I_1 K_1 \pi_1} \Phi_{\alpha}^{I_2 K_2 \pi_2} = [\Phi_{\alpha}^{I_1 \pi_1} \otimes \Phi_{\alpha}^{I_2 \pi_2}]^{IK}$$

3. Channel function is defined by ($J = \ell \oplus I$)

$$\varphi_{\alpha I \ell}^{JM\pi}(\Omega) = [\Phi_{\alpha}^{I\pi_1\pi_2} \otimes Y_{\ell}(\Omega)]^{JM}$$

4. Total wave function for given J and π :

$$\Psi^{JM\pi} = \sum_{\alpha I \ell} u_{\alpha I \ell}^{J\pi}(r) \varphi_{\alpha I \ell}^{JM\pi}(\Omega)$$

4. Generalizations

4. Total wave function for given J and π : $\Psi^{JM\pi} = \sum_{\alpha I \ell} u_{\alpha I \ell}^{J\pi}(r) \varphi_{\alpha I \ell}^{JM\pi}(\Omega)$

5. Radial functions $u_{\alpha I \ell}^{J\pi}(r)$ are obtained from a set of coupled equations

- Single-channel:
$$-\frac{\hbar^2}{2\mu} \left(\frac{d^2}{dr^2} - \frac{\ell(\ell+1)}{r^2} \right) u_\ell + V(r)u_\ell = E u_\ell$$

With $u_\ell(r) \rightarrow I_\ell(\eta, kr) - U_\ell O_\ell(\eta, kr)$
 $U_\ell = \exp(2i\delta_\ell) = \text{« matrix » } 1 \times 1$

- Multichannel

$$-\frac{\hbar^2}{2\mu} \left(\frac{d^2}{dr^2} - \frac{\ell(\ell+1)}{r^2} \right) u_{\alpha I \ell}^{J\pi} + \sum_{\alpha' I' \ell'} V_{\alpha I \ell, \alpha' I' \ell'}^{J\pi}(r) u_{\alpha' I' \ell'}^{J\pi} = E u_{\alpha I \ell}^{J\pi}$$

with $u_{\alpha I \ell}^{J\pi}(r) \rightarrow I_\ell(r) \delta_{\alpha\omega} - U_{\alpha I \ell, \alpha' I' \ell'}^{J\pi} O_{\ell'}(r)$

Collision matrix provides cross sections (several J values are necessary)

4. Generalizations

Comments on the multichannel system

$$-\frac{\hbar^2}{2\mu} \left(\frac{d^2}{dr^2} - \frac{\ell(\ell+1)}{r^2} \right) u_{\alpha I \ell}^{J\pi} + \sum_{\alpha' I' \ell'} V_{\alpha I \ell, \alpha' I' \ell'}^{J\pi}(r) u_{\alpha' I' \ell'}^{J\pi} = E u_{\alpha I \ell}^{J\pi}$$

- Standard form of many scattering theories (CDCC, folding, microscopic, 3-body, etc.)
- Theories differ by the calculation of the potentials
- Diagonal and non-diagonal potentials $V_{\alpha I \ell, \alpha' I' \ell'}^{J\pi}(r)$
 - non-diagonal $V_{\alpha I \ell, \alpha' I' \ell'}^{J\pi}(r) \rightarrow 0$ for large r
 - diagonal $V_{\alpha I \ell, \alpha I \ell}^{J\pi}(r) \rightarrow \frac{Z_p Z_t e^2}{r}$ for large r
- Main problems
 - Sometimes: more than 100 channels are included
 - Long range of the potential \rightarrow numerical difficulties
- Numerical resolution can be time consuming
 - 2 main methods: Numerov (+ improvements)
 - R-matrix method

4. Generalizations

C. Cross sections in a multichannel formalism

One channel: $\frac{d\sigma}{d\Omega} = |f(\theta)|^2$

$$f(\theta) = \frac{1}{2ik} \sum_{\ell=0}^{\infty} (2\ell + 1) (\exp(2i\delta_{\ell}) - 1) P_{\ell}(\cos \theta)$$

Multi channel: $\frac{d\sigma}{d\Omega} (\alpha \rightarrow \alpha') = \sum_{K_1 K_2 K'_1 K'_2} |f_{K_1 K_2, K'_1 K'_2}(\theta)|^2$

$$f_{K_1 K_2, K'_1 K'_2}(\theta) = \sum_{J\pi} \sum_{l, l'} \dots U_{\alpha l \ell, \alpha' l' \ell'}^{J\pi} Y_{l'}(\theta, 0)$$

Collision matrix

- generalization of d: $U_{ij} = \eta_{ij} \exp(2i\delta_{ij})$
- determines the cross section

With: $K_1 K_2$ = spin orientations in the entrance channel

$K'_1 K'_2$ = spin orientations in the exit channel