# Alain Coc CSNSM

**Primordial Nucleosynthesis** 

(Centre de Sciences Nucléaires et de Sciences de la Matière, Orsay)

- 1. Standard Big-Bang Model and Nucleosynthesis
- 2. Nuclear Physics aspects
- 3. Beyond the Standard Model(s)







FACULTÉ DES SCIENCES D'ORSAY

# Beyond the Standard Model(s)

- 1. Non standard nucleosynthesis (Inhomogeneous BBN, relic particles, mirror neutrons)
- 2. Non standard expansion (extra Neff, Tensor-Scalar gravity)
- 3. Variation of constants (in stars, BBN,....)

#### Inhomogeneous BBN

Popular in the 80's in attempts to obtain  $\Omega_B = 1$  (inflation models)

- 1) High and low baryonic density regions (QCD phase transition) with same initial n/p
- 2) Neutron diffusion out of high density regions
- 3) Nucleosynthesis with neutron back diffusion



Inhomogeneous BBN

#### Need and extended network as for CNO



Does not help for the lithium problem: more depletion needed

# Relic particles acting on BBN

#### □ Supersymmetry

- Lightest Supersymmetric Particle, stable, Dark Matter candidate
- > Next to LSP, unstable (e.g. gravitino) could affect BBN, e.g.:
  - Hadronic decay (e.g. neutron injection)
  - Electromagnetic decay (e.g. D destruction after BBN)
  - Negatively charged relics (e.g. stau) ⇒ bound states with nuclei

## BBN catalysis by charged heavy relics

- Heavy long lived relic particles with negative charge (X<sup>-</sup>) could form bound states with nuclei [Pospelov 2006]
- $\succ$  Lower Coulomb barrier  $\Rightarrow$  higher cross section
- $\succ$  Enable X<sup>-</sup> transfer reactions
- Exotic physics but reaction rates from Nuclear Physics theory (quantum 3-body [Kamikura+ 2009])

```
({}^{4}\text{He}X^{-})+D \rightarrow {}^{6}\text{Li}+X^{-} faster than
{}^{4}\text{He}+D \rightarrow {}^{6}\text{Li}+\gamma
```

[Pospelov 2006, Hamaguchi et al. 2007]

The stau (superpartner of the  $\tau$  lepton) could be the X<sup>-</sup> if it is the next to lightest supersymmetric particle [*Cyburt et al. 2006*]

Affect also other BBN reactions



Within a range of X<sup>-</sup> lifetime and relic abundances, it becomes possible to obtain the observed (?) <sup>6</sup>Li and <sup>7</sup>Li abundances [Kusakabe+ 2007,2008, 2013; Jedamzik 2008,...; Cyburt+ 2012..]



#### BBN with long lived stau

LSP = gravitino, NLSP = stau [*Cyburt*+ 2012]



# BBN and (SuperSymmetric) relics decay

[Jedamzik+; Kawasaki+; Cyburt+]

- > NLSP  $\rightarrow$  LSP + n/p +  $\gamma$  + ...
- After LHC, still a solution for <sup>7</sup>Li [Cyburt+ 2013]
- Non thermal n/p equilibrium spectra peak at a few GeV >> Gamow
- Larger uncertainties in crosssections than in spectra









Low <sup>7</sup>Li/H  $\Rightarrow$  High D/H

#### **BBN** and gravitino decay

LSP = neutralino, NLSP = gravitino [*Cyburt*+ 2013]



Late neutron injection alleviate the Li problem

□ Late time injection alleviate the Li problem at the expense of (harmless) D overproduction [Jedamzik 2004; Coc+ 2007; Albornoz Vásquez+ 2012]

**Due to higher neutron abundance at late time:**  ${}^{7}\text{Be}(\mathbf{n},p){}^{7}\text{Li}(p,\alpha){}^{4}\text{He}$ 

□ Need extra (thermalized) neutron source

- Nuclear ? Not likely (extended network)
- ► Exotic ?
  - Dark matter decay
  - Dark matter annihilation
  - Mirror neutrons

#### Dark matter neutron injection

Extra source of neutrons from Dark Matter?

 $\succ \text{Dark Matter decay:} \qquad \chi \rightarrow n + \dots$ 

 $\lambda_{\rightarrow n} \propto \lambda_0 \exp(-t/\tau_{\chi})$ 

➢ Dark Matter annihilation:  $\chi$ + $\chi$  → n + ....

- non resonant:  $\lambda_{\rightarrow n} \propto \lambda_0 a(t)^{-3}$
- resonant  $\lambda_{\rightarrow n} \propto \lambda_0 a(t)^{-3} \exp(-E_R/kT)$ (dilution  $a(t)^{-3} \propto (T/T_C)^3$ )

[Albornoz Vásquez et al. 2012; Pospelov et al. in preparation]

# Dark matter injection of thermal neutrons

□ Thermalization of neutrons on shorter time-scale than

- ✓ Expansion rate
- ✓ Neutron lifetime
- Energy loss
  - $\checkmark$  From multiple scattering rather than single collision
- □ Negligible spallation
  - ✓ No <sup>6</sup>Li overproduction by spallation reactions:
    - 1.  $n + {}^{4}\text{He} \rightarrow {}^{3}\text{He} + 2n$
    - 2.  ${}^{4}\text{He} + {}^{3}\text{He} \rightarrow {}^{6}\text{Li} + p$

Achievable for  $M\chi$  in the 1 to 30 GeV range



- 1. Neutron injection at constant rate
- 2. Neutron injection from decay with  $\tau_{\chi} = 40 \text{ mn}$



Alleviates the <sup>7</sup>Li problem at the expense of D

[Albornoz Vásquez+ 2012]



#### Experimental installation search for n-n' oscillation and some members of PNPI-ILL-PTI collaboration

© Serebrov in International workshop on particle physics with slow neutrons (2008)



#### Mirror matter

□ Mirror matter (noted with a prime "´" or "M")

- > Postulated to restore global Parity symmetry [Lee & Yang 1956]
- Same particles but opposite parity, almost only gravitational interaction with ordinary matter, Dark Matter candidate [Berezhiani+ 1996,...; Foot+ 1997,....; Ciarcelluti+ 2008,....]
- Microphysics (including nuclear physics) identical in both sectors
- > But different cosmologies  $(T \neq T \text{ and } \eta \neq \eta)$  due to inflation

 $L=L_{\rm G}(e,u,d,\varphi,\ldots)+L_{\rm G}(e\,\dot{},u\,\dot{},d\,\dot{},\varphi\,\dot{},\ldots)+L_{\rm mix}$ 

- Neutral particles (e.g. neutrons) could oscillate between the two worlds
- Experimental search of neutron oscillations (at ILL, Grenoble,  $\tau_{osc} > 414$  s [Serebrov+ 2008])

Thermodynamics in the Standard Model

Cosmological distances  $\propto R \equiv (1+z)^{-1}$  (z = redshift)

Rate of expansion  $\propto$  (radiation energy density)<sup>1/2</sup>

$$(1) \frac{1}{R} \frac{\mathrm{d}R}{\mathrm{d}t} \propto \sqrt{\rho_{\mathrm{e}/\nu}^{rad}(T)} \propto \sqrt{g_{*}^{\mathrm{e}/\nu}(T)} T^{2}$$

$$\mathbf{g}_{*}^{\mathcal{B}^{\nu}} = 2 + \frac{7}{8} \left( 2 \times \frac{N_{\nu}}{T} \right)^{4} + 2 \times 2 \right)$$

$$T_v = T \text{ for } T >> 1 \text{ MeV}$$

 $R^3 q^{e}(T) T^3 = Cste$ 

 $R^3 T_v^3 = Cste$ 



$$g_*, q_* =$$
spin factors



 $(1+2+3) \Rightarrow \rho_{\rm b}(t) \propto \Omega_{\rm b} R^{-3}(t), T(t) \text{ and } T_{\rm v}(t)$ 

#### **Thermodynamics with Mirror Matter**

('/(xT)

Increased radiation density  $\rho_{e\gamma\nu} \rightarrow \rho_{e\gamma\nu} + \rho_{e\gamma\nu}$  in 1 but BBN (<sup>4</sup>He) limits

(4) 
$$\Delta N_{\rm eff} \equiv \frac{\rho'(T')}{\frac{7}{8}a_{\rm R}T_{\nu}^4} \le 1.22$$

Need a lower temperature in M-world:  $T \sqrt[7]{/T_v} = x < 1$ , a constant while  $T \sqrt[7]{/T} \approx x$  for the photon temperatures

- $x \preceq 0.65 \text{ from BBN} (4 \& 5)$
- But no BBN constraint on η ´:
   i.e. allows DM = Mirror
   Matter



#### **BBN** in the Mirror World

Depending on  $x \leq 0.65$ and  $\eta$  values,  $a \neq BBN$ in the M-World [e.g. *Ciarcelluti PhD*]:

- $\neq$  <sup>4</sup>He<sup> $\prime$ </sup> abundance
- $\neq$  Stellar evolution
- and
- $\neq$  M-neutron (n<sup>'</sup>) abundance! O But for low  $\eta$  'values O



#### Neutron oscillations in vacuum

Only neutral particles can interact, non-gravitationally, between the two worlds: neutrinos (sterile-neutrinos[*e.g.* Foot+1996]), photons (millicharged particles[Foot 2012]), neutrons ( $L_{mix}$ ).

Off-diagonal terms in the mass matrix allows oscillations:

$$n \propto e^{-t/ au_{
m n}} \cos^2(t/ au_{
m osc})$$

$$M = \begin{pmatrix} m - \frac{i}{2\tau_{n}} & \frac{1}{\tau_{osc}} \\ \frac{1}{\tau_{osc}} & m - \frac{i}{2\tau_{n}} \end{pmatrix}$$

To allow for late time neutron injection:

- n´ abundance remains high, i.e. low  $\eta$ ´
- Oscillation time  $\tau_{osc} \sim 1000$  s, i.e. BBN time scale



- Same isotopes (with ´), same cross sections, 3 parameters:
- Temperature ratio  $x = T^{T}/T$
- Baryonic density η ´≠η
- $\succ$  Oscillation time  $\tau_{osc}$
- ➡ Excess mirror neutrons can oscillate to normal neutrons i.e. n´→n
- ➡ Destroy excess <sup>7</sup>Be
- with τ<sub>osc</sub> compatible with experiments (> 414 s [Serebrov+ 2008])

At the expense of a higher D/H



Time (s)

## Mirror Matter can reconcile BBN with observations



Mirror Matter can reconcile BBN with observations



 $D/H = (3.8, 4.0, 4.2, 4.4, 4.6) \times 10^{-5}$ 

## Dark Matter = Mirror Matter : no help for <sup>7</sup>Li

When  $\Omega_b / \Omega_b \approx 5$  to identify Dark Matter with Mirror Matter, mirror neutrons are too scarce





Dark Matter = Mirror Matter ? [Foot 2010; 2013]

**Photons M-photons interactions** 

$$\mathcal{L}_{\rm mix} = \frac{\varepsilon}{2} F_{\mu\nu} F^{\mu\nu} \quad (\varepsilon \sim 10^{-9})$$

⇒ M-charged particles seen as millicharged ( $\varepsilon$ e) particles ⇒ M-nuclei (A´,Z´) can scatter off ordinary nuclei (A,Z) with a Rutherford cross-section reduced by  $\varepsilon^2$  and *recoil detected!* 

MM is self interacting and dissipative as ordinary matter  $\neq$  WIMPs

⇒ Different DM halo spatial and velocity distributions:
 ⇒ Compatible with the DAMA, CoGeNT, CRESST-II and CDMS/Si signals and no signals in other experiments according to *Foot 2013 [arXiv:1209.5602v3]*

#### Li or D overproduction

- Late time (low T) extra neutrons needed for <sup>7</sup>Be destruction
- D overproduction by <sup>1</sup>H(n,γ)D at low T
- > At higher *T*, end up in  ${}^{4}\text{He}$
- Post BBN D destruction by astration from a first generation of intermediate mass stars
- More difficult after Cooke+ 2014 D/H observations



# Beyond the Standard Model(s)

- 1. Non standard nucleosynthesis (Inhomogeneous BBN, relic particles, mirror neutrons)
- 2. Non standard expansion (extra Neff, Tensor-Scalar gravity)
- 3. Variation of constants (in stars, BBN,....)

# "Speedup factor"

$$\frac{\dot{a}}{a} \equiv H(t) \rightarrow \xi \times H(t)$$

A change the rate of expansion change the neutron/proton ratio at freezeout of weak rates:

$$\Gamma_{n \leftrightarrow p} \sim G_F^2 T^5 \sim \frac{\dot{a}}{a} = \sqrt{\frac{8\pi G\rho_R}{3}}$$

Equivalent to a constant factor change in  $G_{\rm F}^{-2}$  (~ $\tau_n^2$ ),  $G^{\frac{1}{2}}$  or  $\rho_{\rm R}^{\frac{1}{2}}$  (~ $N_{\rm eff}$ )



 $\xi$  (speed-up factor)



 $N_{\rm eff}$  = "*effective* number of neutrino families"

$$\rho_{\rm R} = \rho_{\gamma}(T) + \frac{N_{\rm eff}}{3} \rho_{\nu}(T_{\nu}) + \rho_{\rm e+e-}(T)$$

$$\rho_{\rm R} = \left(1 + \frac{7}{8} \left(\frac{4}{11}\right)^{4/3} N_{\rm eff}\right) \rho_{\gamma}(T)$$

Change the rate of expansion *H*(*t*) hence the neutron/proton ratio



# Neutrino properties

> (Neutrino families)

Lepton asymmetry or neutrino chemical potential [e.g. Orito et al. 2002]

➢ Neutrino oscillations (lead to flavor equillibration before BBN reduce limits on lepton asymmetry) [Abazajian, Beacom & Bell 2002]

Sterile neutrinos [Smith et al. 2006; Kishimoto, Fuller & Smith 2006]

≻ ...

# Neutrino degeneracy

If neutrinos have a non zero chemical potential  $\mu_v (\xi_v \equiv \mu_v / T), v=e,\mu,\tau$ 

- Shifts n/p ratio at freeze out (ξ<sub>e</sub>):  $v_e + n \leftrightarrow e^- + p$   $N_n/N_p = \exp(-Q_{np}/kT \xi_e)
   \overline{v_e} + p \leftrightarrow e^+ + n$
- > Increase the expansion rate  $N_{eff} > 3$  ( $\xi_e$ ,  $\xi_\mu$  and  $\xi_\tau$ ):

$$\rho_{V\overline{V}} = \frac{1}{2\pi^2\hbar^3} \int \left( \frac{1}{\exp(E/kT - \xi) + 1} + \frac{1}{\exp(E/kT + \xi) + 1} \right) Ep^2 dp$$
$$= \frac{7}{8} a_R T^4 \left( 1 + \frac{30}{7} \left( \frac{\xi}{\pi} \right)^2 + \frac{15}{7} \left( \frac{\xi}{\pi} \right)^4 \right)$$
$$\underbrace{\Delta N_{eff}}$$

# Neutrino degeneracy



Chemical potential  $(\xi_v \equiv \mu_v / T)$  $I = \frac{n_v - n_{\bar{v}}}{\pi^2} = \frac{\pi^2}{(T_v)^3} (\xi_v + \xi^3)^2$ 

$$L_{\nu} = \frac{n_{\nu} - n_{\overline{\nu}}}{n_{\gamma}} = \frac{\pi}{12\varsigma(3)} \left(\frac{T_{\nu}}{T}\right) \left(\xi + \frac{\varsigma}{\pi^2}\right)$$

$$(\xi_{e}, \xi_{\mu}/\xi_{\tau}) = (0,0)$$
  
(-0.05,0)  
(+0.05,0)  
(0,0.7)  
(0.3,2.5)

But neutrino oscillations imply  $\xi_e \approx \xi_\mu \approx \xi_\tau$  and D observations  $|\xi| \le 0.064 [Cooke + 2014]$ 

Decoupling of relativistic relics and N<sub>eff</sub>



Unification of forces and extra dimensions

Kaluza and Klein in the '20 : unify gravitation  $(g_{\mu\nu})$  and electromagnetism  $(A_{\nu})$  by introducing a fifth spatial dimension



Unification of forces  $\Rightarrow$  extra dimensions  $\Rightarrow$  scalar field(s)  $\Rightarrow$  String theories *D*=11

#### Basics of Scalar Tensor theories of Gravitation (I)

Most general theories of gravity include a scalar field beside the metric Mathematically consistent Motivated by superstring Preserve most symmetries of general relativity Useful extension of GR (simple but general enough)

- The spin 2 graviton field is coupled to the EM tensor  $T_{\mu\nu}$
- The scalar field  $\phi$  is coupled to its trace  $T^{\mu}_{\ \mu}$
- Constrains at z=0 (present),  $z=10^3$  (CMB) and  $z\sim10^8$  (BBN) [see e.g. Damour & Pichon PRD 1999]
- Attracted towards GR [Damour & Nordtvedt PRDL 1993]
Action and field equation

$$R_{\alpha\beta} - \frac{1}{2} g_{\alpha\beta} R = 8\pi G T_{\alpha\beta}$$

$$(\text{see e.g. Landau & Lifchitz T. II})$$

$$\delta S = \delta \left( \int \frac{d^4 x}{16\pi G} \sqrt{-g} R + S_{matter} \right) = 0$$



Basics of Scalar Tensor theories of Gravitation (II)

New action for the gravitational field coupled to matter:



Basics of Scalar Tensor theories of Gravitation (III)

The modified Einstein ( $\Rightarrow$ Friedmann) equation :

$$R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R = 8\pi G T_{\mu\nu} + 2\partial_{\mu} \phi \partial_{\nu} \phi$$

The modified Klein-Gordon equation :

$$\partial^{\mu}\partial_{\mu}\phi = -4\pi \mathbf{G}\alpha(\phi)\mathbf{T}$$

 $T \equiv T^{\mu}_{\mu} \equiv \rho - 3p$  (=0 for radiation)

$$g^{\mu\nu} \rightarrow A^2(\phi) g^{\mu\nu}$$
  $\ln(\mathcal{A}(\phi)) \equiv \overline{\mathcal{A}}(\phi) \equiv \frac{1}{2}\beta \phi^2$ 

1

Parameters :  $\beta$  (attraction towards GR) and  $a_{in} \equiv \ln(A(\phi_{in}))$  (initial value at  $z \sim 10^{12}$ ) Evolution of the scalar component from  $z=10^{12}$  until now



Effect of changing  $\beta$ 

 $\beta = 0.1, 1., 10.$ 



Modification of the expansion rate (H)



#### BBN constraints on Scalar Tensor theories of Gravitation



Coc, Olive, Uzan and Vangioni (2006)



Constraints on Scalar Tensor theories of Gravitation

$$\alpha_0 \equiv \beta \times \phi_{z=0}$$
 and e.g.  $G_{\text{Cavendish}} = G_{\text{bare}}(1 + \alpha_0^2)$ 

Solar System limits on  $\alpha_0$ 

**BBN** limits on  $\alpha_0$ 



#### Constraints on Scalar Tensor theories of Gravitation

The coupling of the scalar field could be different for dark (D) and visible (V) matter [Damour Gibbons & Gundlach, 1990].

Constraints from laboratory and solar system on the visible sector only!

- Determine the region in the  $\beta_V \times \beta_D$  plane with attraction to GR [*Füzfa & Alimi*, 2007]
- Provide limits from BBN on scalar contribution





#### BBN constraints on Scalar Tensor theories of Gravitation







## Beyond the Standard Model(s)

- 1. Non standard nucleosynthesis (Inhomogeneous BBN, relic particles, mirror neutrons)
- 2. Non standard expansion (extra Neff, Tensor-Scalar gravity)
- 3. Variation of constants (in stars, BBN,....)

## Variation of the fundamental constants

Physical theories involve constants

These parameters cannot be determined by the theory that introduces them; we can only measure them.

These arbitrary parameters have to be assumed constant: - *experimental validation* - *no evolution equation* 

**1937** : Dirac develops his *Large Number hypothesis*.

Assumes that the gravitational constant was varying as the inverse of the age of the universe.

$$F_{grav}/F_{elec} = \frac{Gm_em_p}{e^2/4\pi\varepsilon_0} \sim 10^{-40} \sim \frac{H_0e^2/4\pi\varepsilon_0}{m_ec^3} = (t_U/\text{atomic units})^{-1}$$

## Equivalence principle and constants (© J.-Ph. Uzan)

In general relativity, any test particle follow a geodesic, which does not depend on the mass or on the chemical composition

#### Imagine some constants are space-time dependent

- 1- Local position invariance is violated.
- 2- Universality of free fall has also to be violated

Mass of test body = mass of its constituants + binding energy

In Newtonian terms, a free motion implies  $\frac{d\vec{p}}{dt} = m \frac{d\vec{v}}{dt} = \vec{0}$ 

But, now  $\frac{d\vec{p}}{dt} = \vec{0} = m\vec{a} + \frac{dm}{d\alpha}\dot{\alpha}\vec{v}$ 



## Variation of the fundamental constants

#### □ Theoretical motivations from string theories, extra dimensions,..

In string theory, the value of any constant depends on the geometry and volume of the extra-dimensions

- Opens a window the extra-dimensions
- Why do the constants vary so little ?
- Why have the constants the value they have ?
- Related to the equivalence principle and allow tests of GR on astrophysical scales [dark matter/dark energy vs modified gravity debate]

#### □ Claim of an observed variation of the fine structure constant

Very small variations, best studied on cosmological scales, from astronomical observations

See reviews : *J.-P. Uzan in* Rev. Mod Phys. 2003, Living Rev. Relativity 2011; *E. García-Berro, J. Isern & Y.A. Kubishin in* Astron. Astrophys. Rev. 2007

## Possible variation of fine structure constant



□ Claim of an observed variation of the fine structure constant

- $\Delta \alpha / \alpha = (-0.57 \pm 0.10) \times 10^{-5}$  at Keck/Hires [Webb+ 1999; Murphy+ 2003]
- $\Delta \alpha / \alpha = (-0.06 \pm 0.06) \times 10^{-5}$  at VLT [Chand+ 2004]
- Dipole in the spatial distribution ? [King+ 2012]



## **Oklo- a natural nuclear reactor**



It operated 2 billion years (2 Gy) ago, during 200 000 years !!



Samarium isotope ratio abnormally low

 $^{149}\text{Sm} + n \rightarrow ^{150}\text{Sm} + \gamma$  resonant absorption at  $E_R = 0.0973 \text{ eV}$ 

Variation of  $\alpha \Rightarrow$  variation of the Coulomb energy in <sup>149</sup>Sm and <sup>150</sup>Sm<sup>\*</sup>  $\Rightarrow$  shift of the resonance energy  $E_R$ 

 $\Delta \alpha / \alpha = (0.5 \pm 1.05) \times 10^{-7}$  over 2 Gy

Damour, Dyson, NPB **480** (1996) 37

Variation of Constants in Meteorites : <sup>187</sup>Re

Peebles & Dicke 1962; Dyson 1972

<sup>187</sup>Re : a very long lived isotope 63% of terrestrial Re

 $^{187}\text{Re} \rightarrow ^{187}\text{Os} + \beta^+ \quad 0.693/\lambda_{\text{Lab}} = 42.3 \times 10^9 \text{ years half-life}$ 

<sup>187</sup>Os  $|_{\text{Now}} = {}^{187}\text{Os} |_{\text{Initial}} + {}^{187}\text{Re}_{\text{Now}} [\exp(\langle \lambda \rangle / (t_{\text{Initial}} - t_{\text{Now}}) - 1] \text{ isochron}$ 

With  $\langle \lambda \rangle$  the *averaged* lifetime over  $t_{\text{Initial}}$ - $t_{\text{Now}} = 4.6 \text{ Gy}$ 

$$\lambda \propto G_F^2 Q_\beta^3 m_e^2 \qquad \qquad \frac{\Delta \lambda}{\lambda} = 3 \frac{\Delta Q_\beta}{Q_\beta} = \frac{3}{Q_\beta} \frac{(Z+1)^2 - Z^2}{A^{1/3}} a_C \frac{\Delta \alpha}{\alpha}$$

$$(\lambda_{\text{Lab}} - \langle \lambda \rangle) / \lambda_{\text{Lab}} = - \qquad \qquad Q_\beta = 2.66 \text{ keV}; a_C = 0.717 \text{ MeV}; Z = 75$$

$$0.016 \pm 0.016$$

$$-24 \times 10^{-7} < \Delta \alpha / \alpha < 8 \times 10^{-7} \text{ over } 4.6 \text{ Gy}$$

Olive et al. 2004

## Variation of Constants in Massive Pop. III stars

#### □Astrophysical context

- > Born within a few  $10^8$  years, typical redshift  $z \sim 10 15$
- $\succ$  First stars were probably very massive : 30  $M_{\odot}$  < M <  $\,$  300  $M_{\odot}$  (but theoretically uncertain)
- $\geq$  Zero metallicity (BBN abundances)  $\Rightarrow$  Very peculiar stellar evolution
- ➢ Observations of metal-poor stars (Pop. II) allow us to investigate the first objects (Pop. III) formed after the Big Bang
- Constraint from C and O observations in Pop. II
- ➤ Learn about the formation of the elements and nucleosynthesis processes, and how the Universe became enriched with heavy elements

# The triple alpha reaction, stellar evolution and variation of fundamental constants

- □ <sup>12</sup>C production and variation of the strong interaction [Rozental 1988]
- C/O in Red Giant stars [Oberhummer et al. 2000; 2001]
  - ≥ 1.3, 5 and 20  $M_{\odot}$  stars, Z=Z<sub>☉</sub> up to TP-AGB
  - $\blacktriangleright$  Limits on effective N-N interaction ( $|\delta_{NN}| < 5 \ 10^{-3}$  and  $|\Delta \alpha / \alpha| < 4 \ 10^{-2}$ )
- C/O in low, intermediate and high mass stars [Schlattl et al. 2004]
  - $\geq$  1.3, 5, 15 and 25  $M_{\odot}$  stars, Z=Z<sub> $\odot$ </sub> up to TP-AGB / SN
  - → Limits on resonance energy shift (-5 <  $\Delta E_R$  < +50 keV)
- □ This study : stellar evolution of massive Pop. III stars
  - > We choose *typical* masses of 15 and 60  $M_{\odot}$  stars
  - > Triple alpha influence in both He and H burning
  - Limits on effective N-N interaction and on fundamental couplings

## Importance of the triple-alpha reaction

**\Box** Helium burning (*T* = 0.2-0.3 GK)

≻ Triple alpha reaction  $3\alpha \rightarrow ^{12}C$ 

> Competing with  ${}^{12}C(\alpha,\gamma){}^{16}O$ 

□ Hydrogen burning ( $T \approx 0.1$  GK)

Slow pp chain (at Z = 0)

≻ CNO with C from  $3\alpha \rightarrow ^{12}$ C

□ Three steps :

 $\succ \alpha \alpha \leftrightarrow {}^{8}\text{Be}$  (lifetime ~ 10<sup>-16</sup> s) leads to an equilibrium

 $\succ$  <sup>8</sup>Be+α→<sup>12</sup>C\* (288 keV, *l*=0 resonance, the "Hoyle state")

 $> {}^{12}C^* \rightarrow {}^{12}C + 2\gamma$ 

□ Resonant reaction unlike e.g.  ${}^{12}C(\alpha,\gamma){}^{16}O$ 

- Sensitive to the position of the "Hoyle state"
- ➤ Sensitive to the variation of "constants"

The "Hoyle state"

#### SESSIONS N AND O

#### Phys. Rev. 92 (1953) 1095

N6. A State in C<sup>12</sup> Predicted from Astrophysical Evidence.\* F. HOYLE, Cambridge University AND D. N. F. DUNBAR, W. A. WENZEL, AND W. WHALING, Kellogg Radiation Laboratory, California Institute of Technology.-It is assumed that oxygen and carbon are produced in stars that

have largely exhausted their central hydrogen by the reactions:  $2\text{He}^4 \rightarrow \text{Be}^8$ ;  $\text{Be}^8 + \text{He}^4 \rightarrow \text{C}^{12}$ ;  $\text{C}^{12} + \text{He}^4 \rightarrow \text{O}^{16}$ . The observed cosmic abundance ratio of He:C:O can be made to fit the yields calculated for these reactions if the reaction  $Be^{\delta}(\alpha, \gamma)C^{12}$  has a resonance near 0.31 Mev, corresponding to a level at 7.68 Mev in C<sup>12,1</sup> A level had previously been reported at 7.5 Mev.<sup>2</sup> The 16-in. double-focusing magnetic spectrometer has been used in an analysis of the  $\alpha$ -spectrum from  $N^{14}(d, \alpha)C^{12}$  covering the excitation energy range from 4.4 to 9.2 Mev in C<sup>12</sup>. The level was found at  $7.68 \pm 0.03$  Mev. No other levels were found, although a group 1 percent as strong as the transition to the 4.4-Mev state could have been detected. At  $E_d = 620$  kev,  $\theta_{lab} = 90^\circ$ , the transition to the 7.68-Mev state is 6 percent as strong as that to the state at 4.43 Mev.

\* Assisted in part by the joint program of the U. S. Office of Nava Research and the U. S. Atomic Energy Commission,

<sup>1</sup> F. Hoyle, to appear in the Astrophys. J. <sup>2</sup> See F. Ajzenberg and T. Lauritsen, Revs. Modern Phys. 24, 321 (1952).

Observation of the level at predicted energy [Dunbar, Pixley, Wenzel & Whaling, PR 92] (1953) 649] from  ${}^{14}N(d,\alpha){}^{12}C*$ 

 $\triangleright$  Observation of its decay by  ${}^{12}B(\beta){}^{12}C^* \rightarrow \alpha + {}^{8}Be$  and confirmation of  $J^{\pi}=0^+$  [Cook, Fowler, Lauritsen & Lauritsen PR 107 (1957) 508]

1095



FIG. 15. Energy levels of C<sup>12</sup>: for notation, see Fig. 1.

Ajzenberg & Lauritsen (1952)

## The triple-alpha reaction

- 1. Equilibrium between <sup>4</sup>He and the short lived (~10<sup>-16</sup> s) <sup>8</sup>Be :  $\alpha\alpha \leftrightarrow$  <sup>8</sup>Be
- Resonant capture to the  $(l=0, J^{\pi}=0^+)$ 2. Hoyle state: <sup>8</sup>Be+ $\alpha \rightarrow 1^{12}C^{*}(\rightarrow 1^{12}C + \gamma)$

#### Simple formula used in previous studies

- Saha equation (thermal equilibrium) 1.
- 2. Sharp resonance analytic expression:

$$N_{A}^{2} \langle \sigma v \rangle^{\alpha \alpha \alpha} = 3^{3/2} 6 N_{A}^{2} \left( \frac{2\pi}{M_{\alpha} k_{B} T} \right)^{3} \hbar^{5} \gamma \exp \left( \frac{-Q_{\alpha \alpha \alpha}}{k_{B} T} \right)$$

with 
$$Q_{\alpha\alpha\alpha} = E_R(^8\text{Be}) + E_R(^{12}\text{C})$$
 and  $\gamma \approx \Gamma_{\gamma}$ 

Nucleus

 $\Gamma_{\alpha}$  (eV)

Approximations

- Thermal equilibrium 1.
- 2. Sharp resonance
- 3. <sup>8</sup>Be decay faster than  $\alpha$  capture



### Nuclear microscopic calculations

#### □ Hamiltonian:

$$H = \sum_{i=1}^{A} T(r_i) + \sum_{i < j=1}^{A} (V_{Coul.}(r_{ij}) + V_{Nucl.}(r_{ij}))$$

Where  $V_{Nucl.}(r_{ij})$  is an effective Nucleon-Nucleon interaction

□ Minnesota N-N force [*Thompson et al. 1977*] optimized to reproduce low energy N-N scattering data and  $B_D$  (deuterium binding energy)

 $\Box$   $\alpha$ -cluster approximation for <sup>8</sup>Be<sup>g.s.</sup> (2 $\alpha$ ) and the Hoyle state (3 $\alpha$ ) [*Kamimura 1981*]

#### □ Scaling of the N-N interaction

 $V_{Nucl.}(r_{ij}) \rightarrow (1 + \delta_{NN}) \times V_{Nucl.}(r_{ij})$ 

to obtain  $B_D$ ,  $E_R$ (<sup>8</sup>Be),  $E_R$ (<sup>12</sup>C) as a function of  $\delta_{NN}$ :



 $\Delta B_{\rm D}/B_{\rm D}$ 

## Numerical rate calculation

At "low temperatures", capture via resonance tails [Nomoto et al. 1985] requires numerical integration

➢ Even more important when resonances are shifted upwards with larger widths

- Radiative widths :  $\Gamma_{\gamma}(E) \propto E^{2L+1}$  (with *L*=2 here)
- Charged particle widths :

 $\Gamma_{\alpha}(E) = \Gamma_{\alpha}(E_R) P_L(E,R_C) / P_L(E_R,R_C) \text{ with}$  $P_L(E,R_C) = \propto (F_L^2(\eta,kR_C) + G_L^2(\eta,kR_C))^{-1}$ the penetrability

 $\gamma \equiv \Gamma_{\alpha}(E) \ \Gamma_{\gamma}(E) \ / \ (\Gamma_{\alpha}(E) + \Gamma_{\gamma}(E)) \approx \Gamma_{\gamma}(E)$ if  $\Gamma_{\gamma}(E) << \Gamma_{\alpha}(E)$  in analytic expression



### Calculated rates compared to NACRE

Rates

#### Rates / NACRE



"A compilation of charged-particle induced thermonuclear reaction rate", Angulo et al. 1999

# The ${}^{12}C(\alpha,\gamma){}^{16}O$ reaction

#### > In competition with $3\alpha \rightarrow {}^{12}C$ during He burning



> Negligible effect expected

## Influence on HR diagram (15 $M_{\odot}$ )



#### Composition at the end of core He burning

# ➤The standard region: Both <sup>12</sup>C and <sup>16</sup>O are produced.

#### **The <sup>16</sup>O region:**

The  $3\alpha$  is slower than  ${}^{12}C(\alpha,\gamma){}^{16}O$ resulting in a higher  $T_C$  and a conversion of most  ${}^{12}C$  into  ${}^{16}O$ 

#### **The <sup>24</sup>Mg region:**

With an even weaker  $3\alpha$ , a higher  $T_C$  is achieved and  ${}^{12}C(\alpha,\gamma){}^{16}O(\alpha,\gamma){}^{20}Ne(\alpha,\gamma){}^{24}Mg$ transforms  ${}^{12}C$  into  ${}^{24}Mg$ 

#### **>** The <sup>12</sup>C region:

The  $3\alpha$  is faster than  ${}^{12}C(\alpha,\gamma){}^{16}O$  and  ${}^{12}C$  is not transformed into  ${}^{16}O$ 

Final stage : core of 3.55-3.84  $M_{\odot}$  composed of <sup>24</sup>Mg, <sup>16</sup>O or <sup>12</sup>C according to  $\delta_{NN}$  or  $B_D$ 



## Variation of constants in BBN

Deuterium binding energy  $(B_D)$ , neutron lifetime  $(\tau_n)$ , neutronproton mass difference  $(Q_{np})$ , electron mass  $(m_e)$  all *precisely known* from *present day* laboratory experiments.

#### These values could have been different at the epoch of BBN.

Because of variation of fundamental parameters as the Higgs vacuum expectation value (v), the "Yukawa couplings" (h's), QCD energy scale ( $\Lambda$ ), fine structure constant ( $\alpha_{em}$ )

We limit ourselves to the effect on  $n \leftrightarrow p$  and  $n(p,\gamma)D$  cross sections as

> the <sup>4</sup>He abundance is essentially determined by the  $n \leftrightarrow p$  weak rates,

- > n(p, $\gamma$ )D is the starting point of BB nucleosynthesis and
- > difficult to determine the effects on other reactions

## Variation of fundamental couplings in BBN

•  $\rho_R$  and hence *H* (slightly) depend on  $m_e$  (e+e- annihilation)

 $m_e = h_e v$  ( $v \equiv$  Higgs field v.e.v.;  $h \equiv$  Yukawa couplings)

• weak rates depend on  $G_F$ ,  $Q_{np}$  and  $m_e$   $G_F = 1/\sqrt{2}v^2$ 

 $Q_{np} = Cste \alpha_{em} \Lambda_{QCD} + (h_d - h_u) v [Gasser \& Leutwyler, 1982]$ 

n(p,γ)D cross section depend mostly on B<sub>D</sub> [Dmitriev, Flambaum & Webb 2004; Carrillo-Serrano+ 2013; Berengut+ 2013]

## Links between the N-N interaction and $\alpha_{em}$

[Coc, Nunes, Olive, Uzan, Vangioni 2007]

- 1. Effective (Minnesota) N-N interaction:  $\Delta B_D / B_D \approx 5.77 \times \delta_{NN}$
- 2.  $\omega$  and  $\sigma$  meson exchange potential model  $\leftrightarrow B_D$  [Flambaum & *Shuryak 2003*]
- 3.  $\omega$  and  $\sigma$  meson properties  $\leftrightarrow \Lambda_{QCD}$  and (u, d,) s quark masses
- 4. From  $\alpha_{em}(M_{GUT}) \sim \alpha_s(M_{GUT})$ :  $\Lambda_{QCD} \leftrightarrow \alpha_{em}$  and c, b, t quark masses
- 5. With  $m_q = hv$  relations between *h* (Yukawa coupling), *v* (Higgs vev) and  $\alpha_{em}$  [*Campbell & Olive (1995); Ellis et al. 2002*]

$$\frac{\Delta B_D}{B_D} = -\left[6.5(1+S) - 18R\right] \frac{\Delta \alpha_{em}}{\alpha_{em}} \sim -1000 \frac{\Delta \alpha_{em}}{\alpha_{em}}$$

Assuming  $R \sim 30$  and  $S \sim 200$ , typical but model dependent values


# Links between the N-N interaction and $\alpha_{em}$

From an  $\omega$  and  $\sigma$  exchange potential model [Flambaum & Shuryak 2003]:

$$\frac{\Delta B_D}{B_D} = -48 \frac{\Delta m_\sigma}{m_\sigma} + 50 \frac{\Delta m_\omega}{m_\omega} + 6 \frac{\Delta m_N}{m_N} \left( -7 \frac{\Delta \Lambda}{\Lambda} \right) \qquad \Longrightarrow \qquad \frac{\Delta B_D}{B_D} = 18 \frac{\Delta \Lambda}{\Lambda} - 17 \left[ \frac{\Delta h_s}{h_s} + \frac{\Delta v}{v} \right]$$

 $(m_x = h_x v \text{ with } v \text{ the Higgs field vev, } h_x \text{ the Yukawa coupling,}$ and assuming  $\Delta h_x / h_x$  independent of x = u, d, s, c, b, t, ...)  $\Delta m_s/m_s$  dominant

From 
$$\alpha_{em}(M_{GUT}) \sim \alpha_s(M_{GUT})$$
:  

$$\Lambda = \mu \left(\frac{m_c m_b m_t}{\mu^3}\right)^{2/27} \exp\left(-\frac{2\pi}{9\alpha_s(\mu)}\right) \qquad \Rightarrow \qquad \frac{\Delta\Lambda}{\Lambda} = R \frac{\Delta\alpha_{em}}{\alpha_{em}} + \frac{2}{27} \left[3\frac{\Delta v}{v} + \frac{\Delta h_c}{h_c} + \frac{\Delta h_b}{h_b} + \frac{\Delta h_t}{h_t}\right]$$

Following Campbell & Olive (1995); Ellis et al. 2002:

$$\frac{\Delta v}{v} = S \frac{\Delta h_t}{h_t} \text{ with } S \sim 200 \text{ (model dependent) and } \frac{\Delta h}{h} = \frac{1}{2} \frac{\Delta \alpha_{em}}{\alpha_{em}} \text{ (dilaton)}$$

$$\frac{\Delta B_D}{B_D} = -\left[6.5(1+S) - 18R\right] \frac{\Delta \alpha_{em}}{\alpha_{em}} \sim -1000 \frac{\Delta \alpha_{em}}{\alpha_{em}} \qquad \text{(but could be much different)}$$

# Variation of fundamental couplings and BBN

#### Individual variations

#### $\mathbf{m}_{\mathbf{e}}, \mathbf{B}_{\mathbf{D}}, \mathbf{Q}_{\mathbf{n}\mathbf{p}}$ and $\mathbf{G}_{\mathbf{F}}$ variations



#### Coupled variations



• Set limits on variations of fundamental couplings

•  $\exists$  solution compatible with <sup>4</sup>He, <sup>3</sup>He, D and <sup>7</sup>Li

# Cross sections / fundamental parameters



[Berengut, Flambaum & Dmitriev 2010]



*Carrillo-Serrano*+ 2013 with a different  $n(p,\gamma)D$  cross section dependence with  $B_D$ 

Cheoun, Kajino, Kusakabe & Mathews 2011 with different ground / excited states dependence

Constrains on the variations of the fundamental constants

$$\Delta B_D / B_D \approx 5.77 \times \delta_{NN}$$

$$\Delta B_D / B_D \approx -1000 \times \Delta \alpha / \alpha$$

(Our nuclear model)

(Model dependent but typical value)



 $\succ$  Quasars (0.5 < z < 3) :  $|\Delta \alpha / \alpha|$  < 10<sup>-5</sup> [*Chand et al.* (2004)]

▶ Pop. I (z≈0) |  $\delta_{NN}$  | < 5 10<sup>-3</sup> and  $|\Delta \alpha / \alpha|$  < 4 10<sup>-2</sup> [*Oberhummer et al. 2000*]

# $^{3}$ He(d,p) $^{4}$ He and $^{3}$ H(d,n) $^{4}$ He and the A=5 gap

- <sup>5</sup>He and <sup>5</sup>Li respectively unbound by 0.798, 1.69 MeV compared to the 0.092 MeV of <sup>8</sup>Be
- > No stable A=5 nor even a two steps process like  $3-\alpha$
- > Calculated  $\Delta E_R$  function of  $\delta_{NN}$  for broad analog 3/2+ resonances
- Single pole R-matrix with  $\Delta E_R(\delta_{NN})$
- > Weak sensitivity of S(E) to  $\Delta E_R(\delta_{NN})$  variations



# The 3- $\alpha$ reaction in BBN and the A=8 gap

#### <sup>4</sup>He( $\alpha\alpha,\gamma$ )<sup>12</sup>C reaction rate function of N-N interaction:



T = 0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9, 1.0 GK

But density lower (3 body reaction) and timescale shorter than in stars!



However, *well known* that a stable <sup>8</sup>Be would bridge the A=8 gap!

# The triple-alpha with a stable <sup>8</sup>Be

<sup>8</sup>Be bound by 10, 50 or 100 keV ( $\delta_{NN}$ =0.0083, 0.0116, 0.0156) <sup>4</sup>He( $\alpha,\gamma$ )<sup>8</sup>Be<sup>bound</sup> cross-section in continuity with unbound one [*Baye & Descouvemont 1985*]



# $^{8}\text{Be}^{\text{bound}}(\alpha,\gamma)^{12}\text{C from}$ sharp resonance formula

 $\mathbf{T}=0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9, 1.0 \; \mathrm{GK}$ 



# CNO production with a stable <sup>8</sup>Be

#### [*Coc*, *Goriely*+ 2012]

#### [Coc, Descouvemont+ 2012]



<sup>8</sup>Be 10, 50 and 100 keV bound



<sup>8</sup>Be stable for N-N interaction higher by 0.75% But  ${}^{4}\text{He}(\alpha,\gamma){}^{8}\text{Be}(\alpha,\gamma){}^{12}\text{C still}$ too slow

**CNO** production in **BBN** 



→ <sup>4</sup>He(αα,γ)<sup>12</sup>C or <sup>4</sup>He(α,γ)<sup>8</sup>Be(α,γ)<sup>12</sup>C only: CNO/H < 2 × 10<sup>-21</sup>
 → With the full network : CNO/H =(0.5-3) × 10<sup>-15</sup>

# Conclusions

□ SBBN is now a parameter free model, that can be used to probe of the physics of the early Universe

- Exotic particles (supersymmetric, neutrinos, mirror,....)
- > Theory for Gravity (quantum gravity, extra-dimensions,...)
- Variation of fundamental couplings : nuclear physics involved in several tests (BBN, 3-alpha and stellar evolution, meteorites)
- □ Non Standard models can solve the lithium problem..... at the expense of the "deuterium problem"