

# Dual-readout Calorimetry in ILC Detectors - *it's not too late*

The point of this 30 minutes is to show that dual-readout calorimeters

- are trivially calibrated with electrons at one energy
- are very nicely Gaussian in their response
- are linear to the highest tested energies
- have excellent hadronic energy resolution
- can be incorporated into a  $4\pi$  collider detector
- provide numerous particle identifications

Even the small DREAM module achieves 4% energy resolution that is Gaussian and linear.

Remaining work for RD52 towards a  $4\pi$  collider detector:

- directly achieve  $\sigma/E \sim 1-2\%$  energy resolution (larger module, etc.)
- demonstrate that a fiber truncated pyramid works
- use SiPM readout (or another B-tolerant photo-converter; psec collab.)

These three items are approved and funded in our DoE proposal

*I will discuss energy resolution, linearity,  
 $4\pi$  geometry, SiPM readout,  $W$ , and particle ID.*

John Hauptman, ILC & more, 16-17 May 2013, Villa del Grumello, Lake Como, Italy

The brief history of excellent hadronic calorimetry (it's short):

**SPACAL:** first “compensating” calorimeter; Pb-scintillating fiber; 20 tonnes; EM resolution not so good; long integration time to achieve compensation

$\sigma/E \sim 30\% / \sqrt{E}$       “no if’s, and’s, or but’s”      (beam module)

**ZEUS:** nearly compensating; U-scintillator;

$\sigma/E \sim 35\% / \sqrt{E}$       (beam module)

**ATLAS:** iron-scintillator

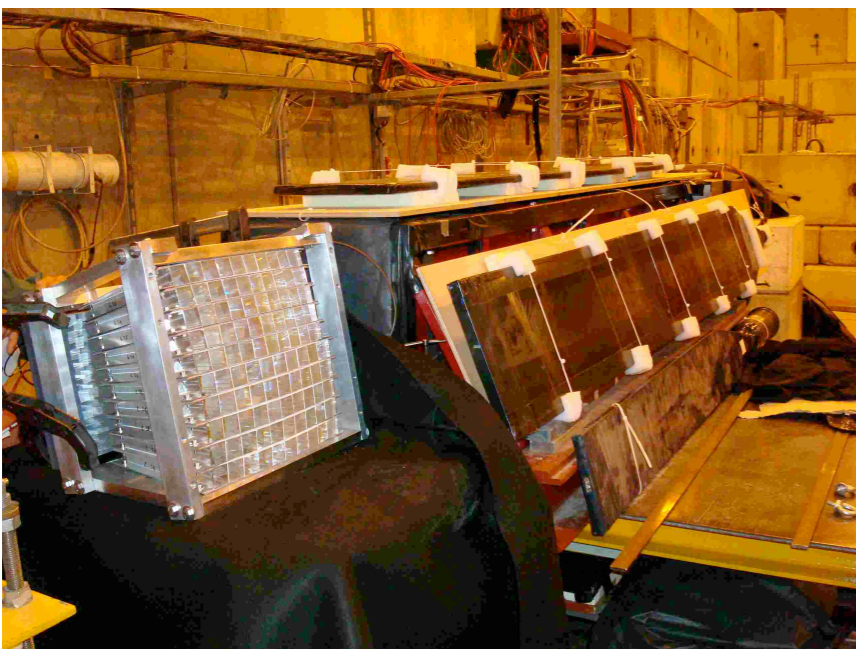
$\sigma/E \sim 50\% / \sqrt{E} \oplus 3\%$       ( $4\pi$  calorimeter)

**DREAM/RD52 modules:**

$\sigma \sim 4\%$  at 200 GeV  $\pi^+$  (leakage limited)      (beam module)

$$\sigma/E \sim 1-2\%$$

BGO dual-readout +  
DREAM fiber calorimeter

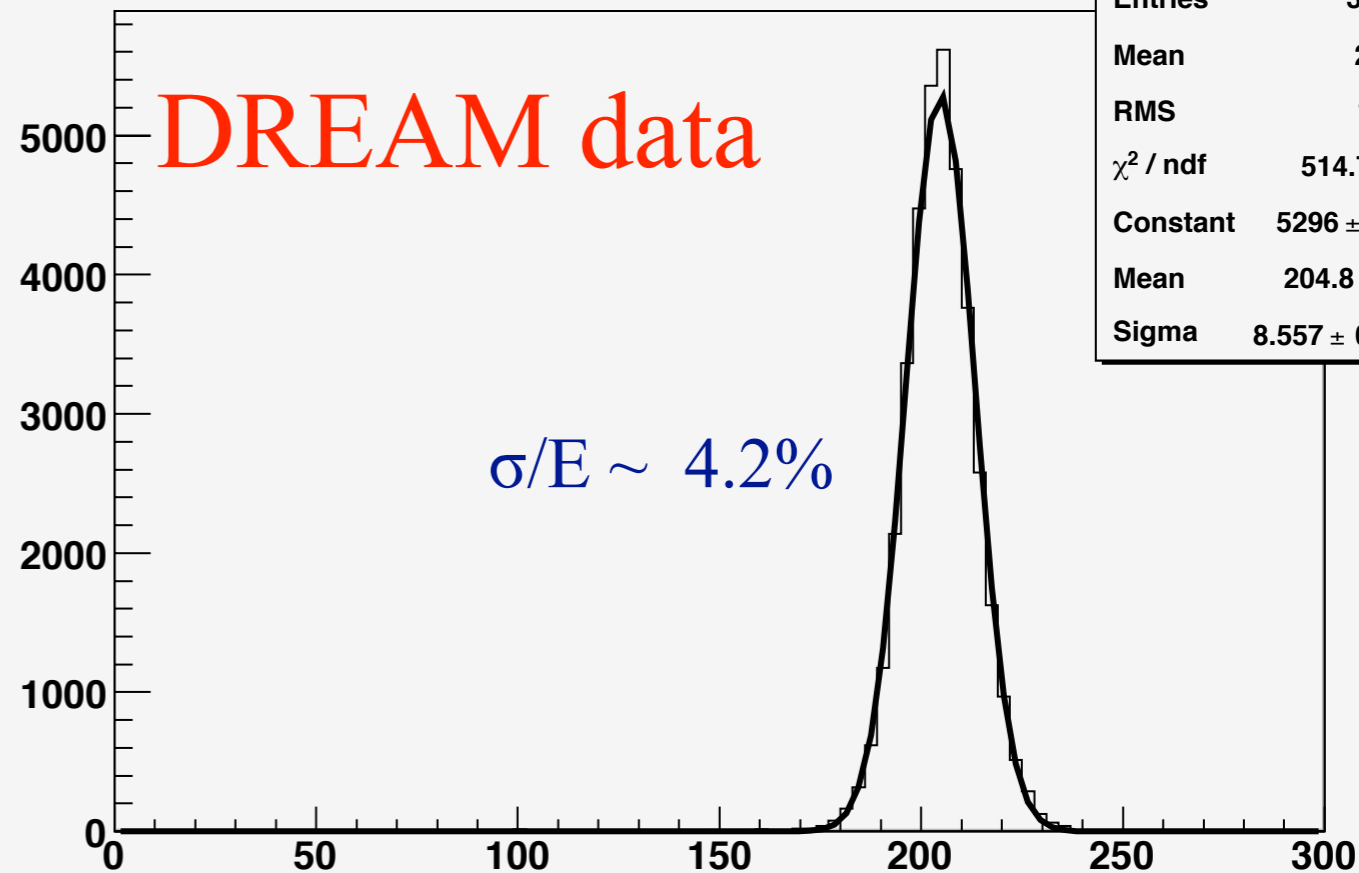


200 GeV  $\pi^+$  CERN

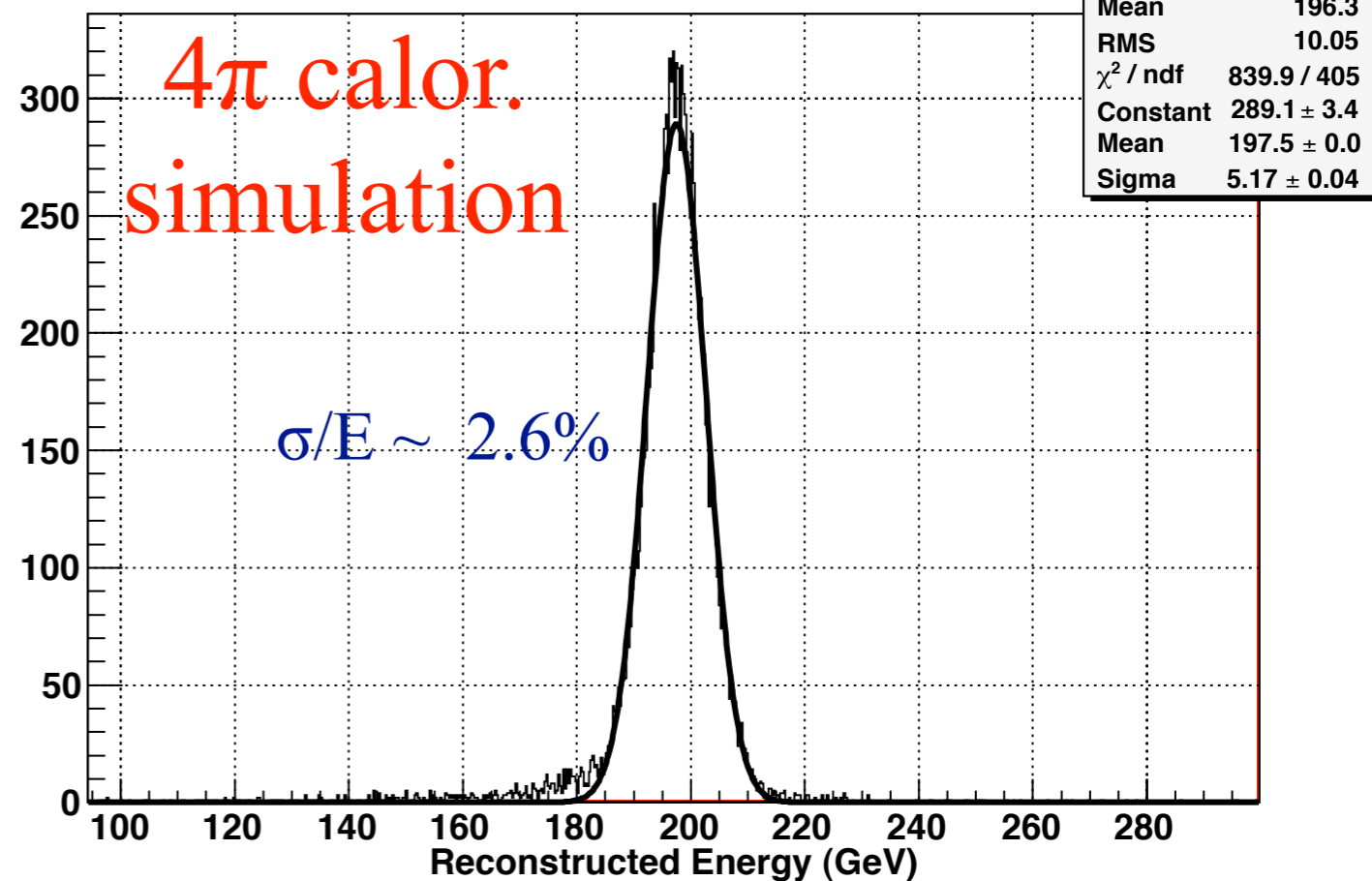
Note: data are *cleaner* than  
simulation: no tails, no background.

Reason: the DREAM is perfectly  
rectilinear; simulation has projective  
towers.

Run 1724 200 GeV  $\pi^+$

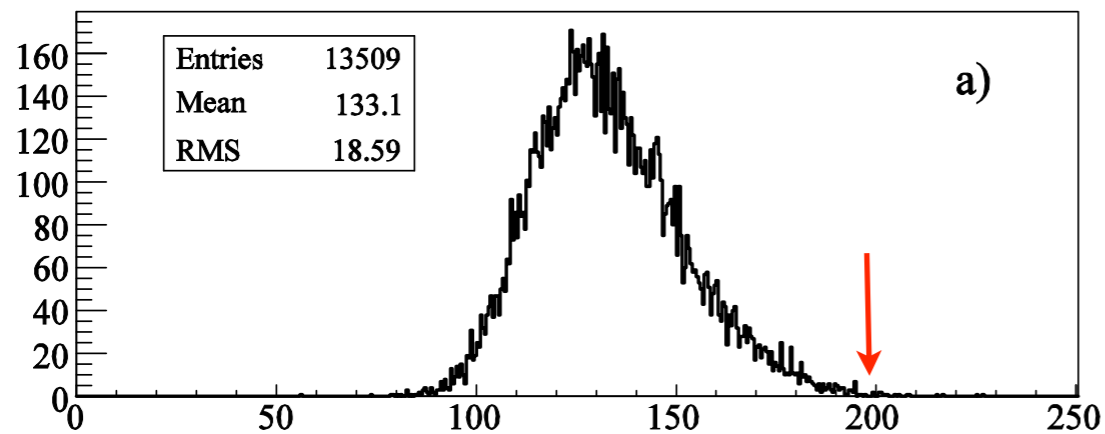


$\pi^+$  at 200 GeV

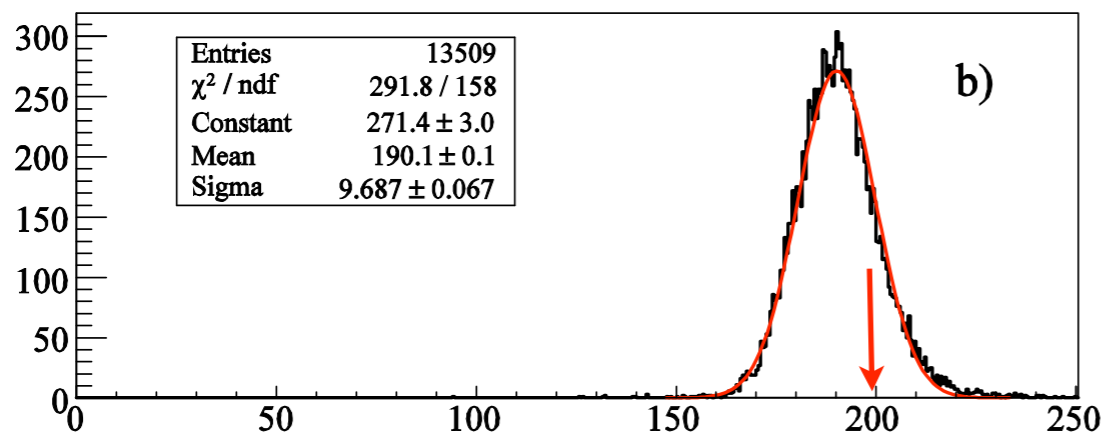


$\sigma/E \sim 1-2\%$

# DREAM data: 200 GeV $\pi^-$ energy response



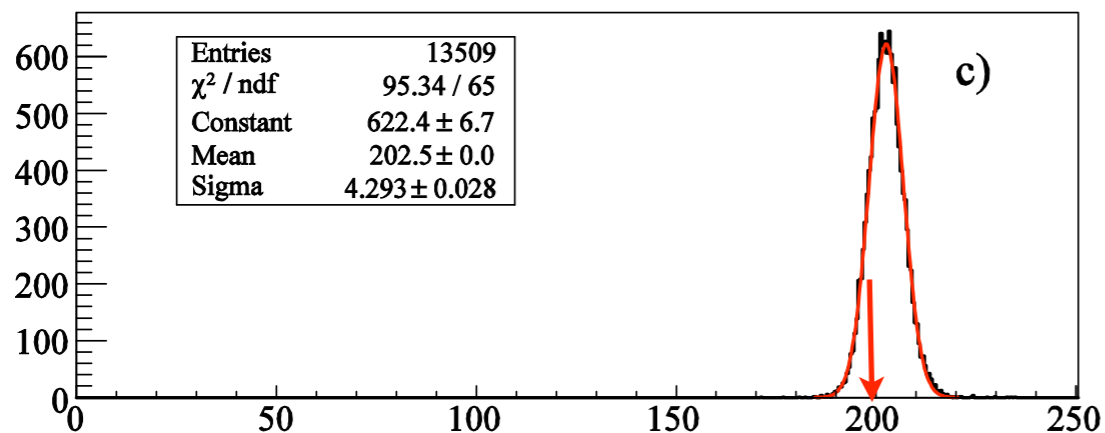
Scintillating (S) fibers only



Dual-readout of S and Cerenkov (C)

$$f_{EM} \propto (C/E_{\text{shower}} - 1/\eta_C)$$

(4% leakage + neutron BE loss fluctuations, and limited by photoelectron statistics in C)



Dual-readout of S and C:

$$f_{EM} \propto (C/E_{\text{beam}} - 1/\eta_C)$$

$$E = S / [f_{EM} + (1-f_{EM})\eta_S]$$

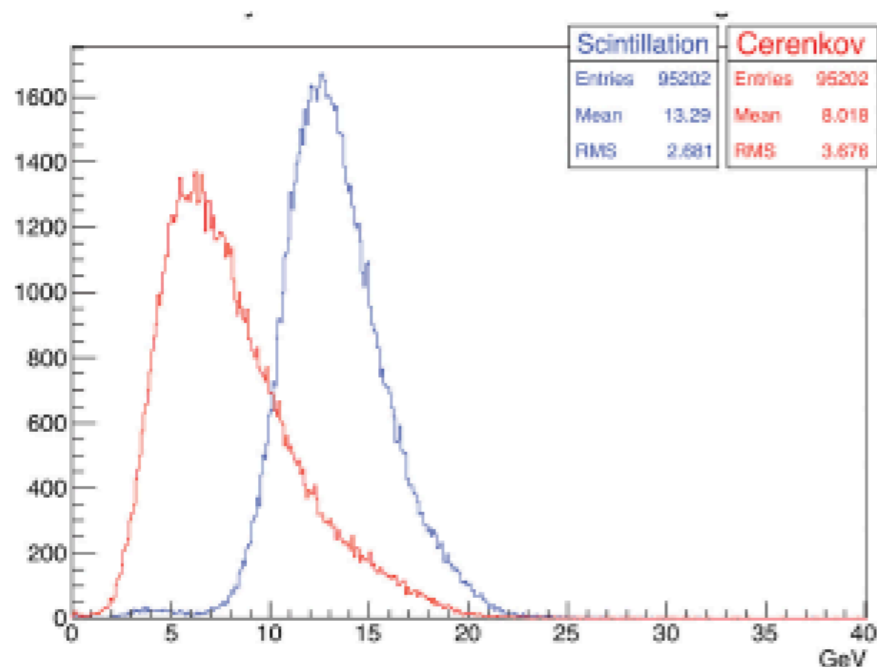
(suppresses leakage and BE fluctuations; optimum for DREAM geometry;  $\sigma/E \sim 20\% / \sqrt{E}$ )

Data NIM A537 (2005) 537.

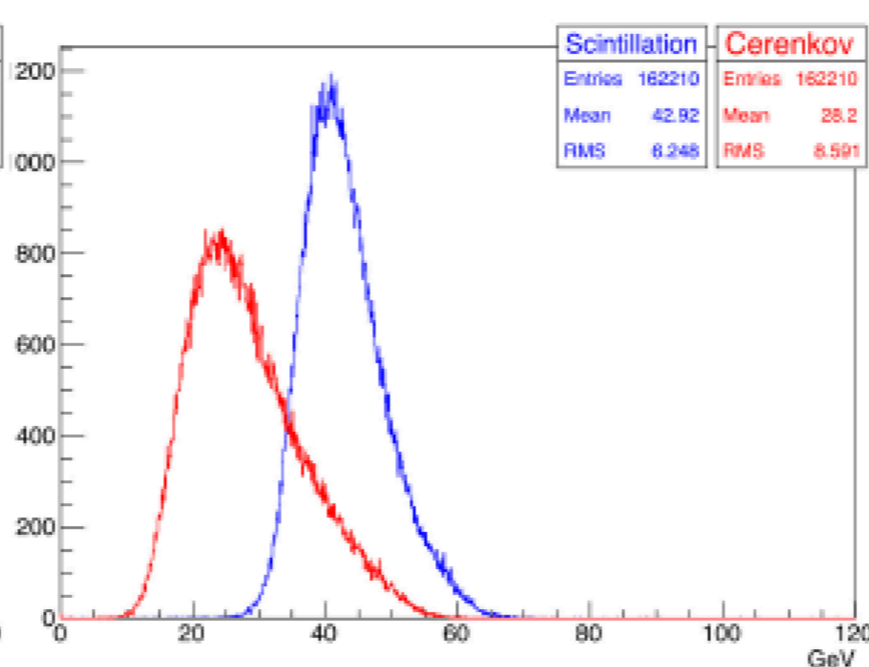
$\sigma/E \sim 1-2\%$

Recent (Dec. 2012) RD52 test data:  
Gaussian, linear (single  $e^-$  calibration)

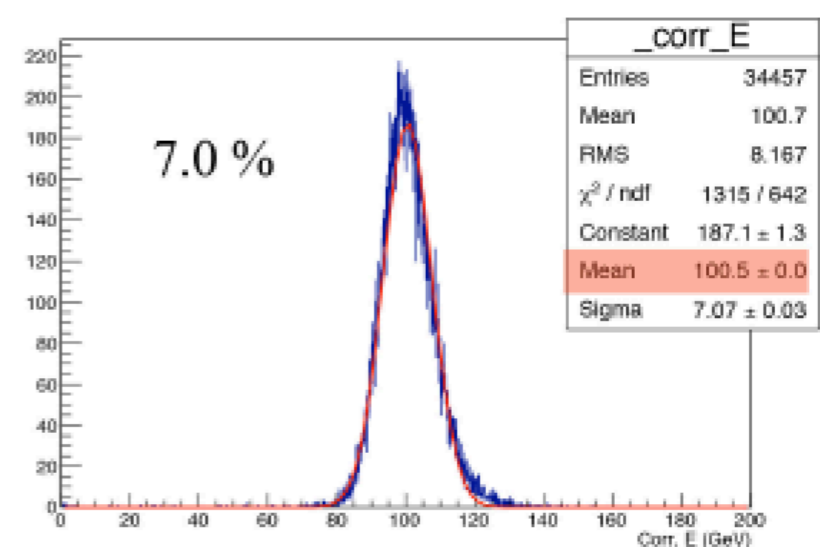
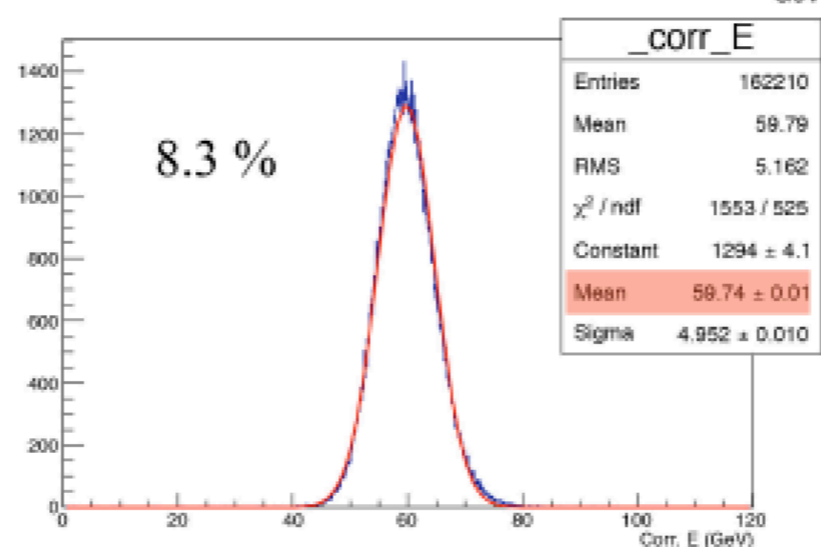
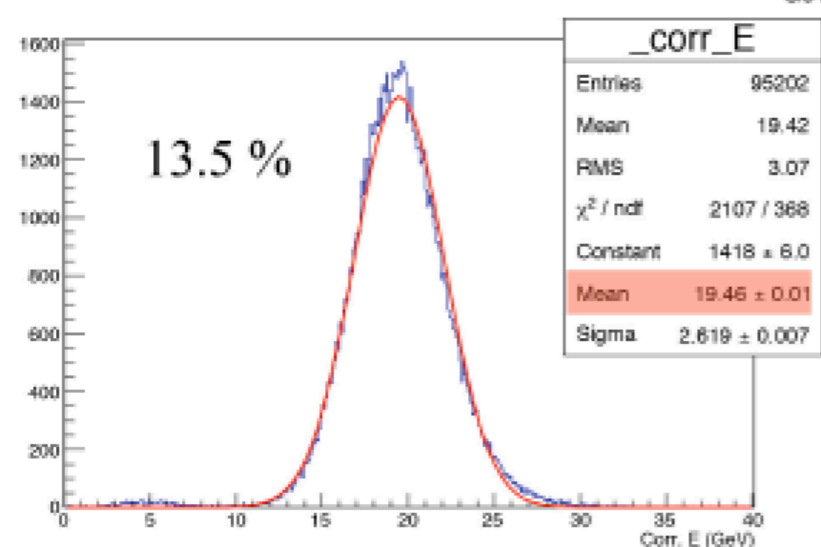
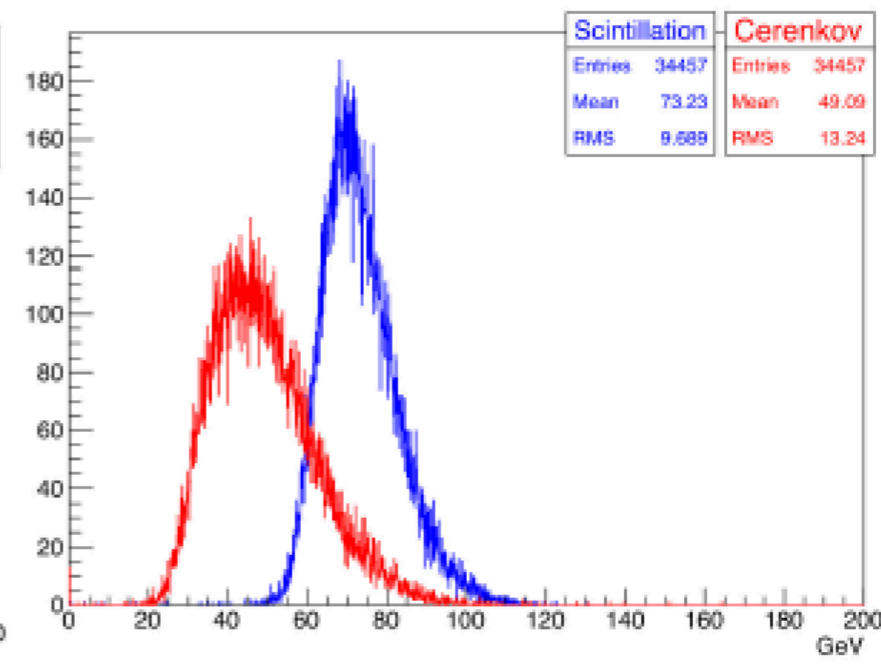
20 GeV  $\pi^-$



60 GeV  $\pi^-$



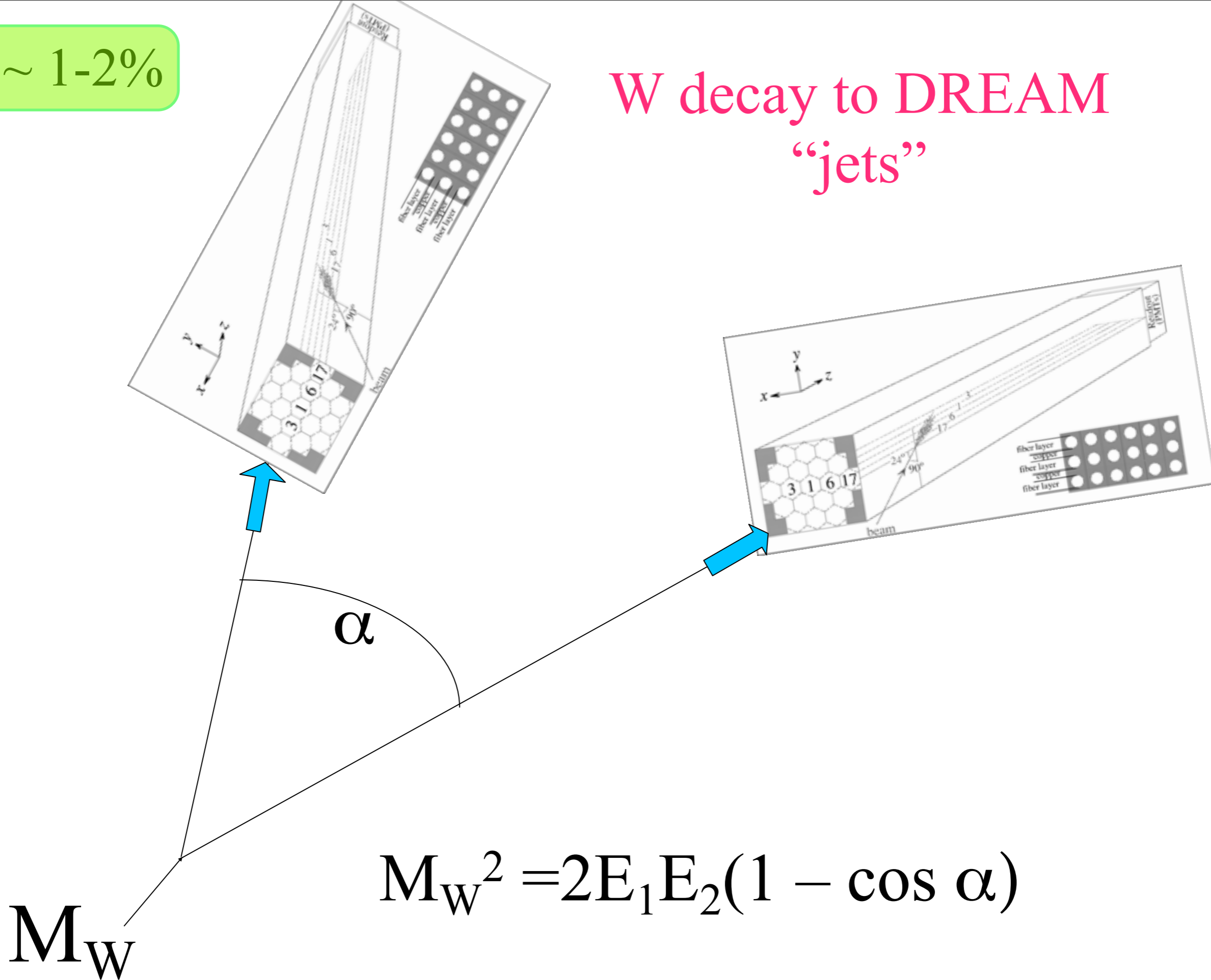
100 GeV  $\pi^-$



Final resolution still dominated by leakage fluctuations

$\sigma/E \sim 1-2\%$

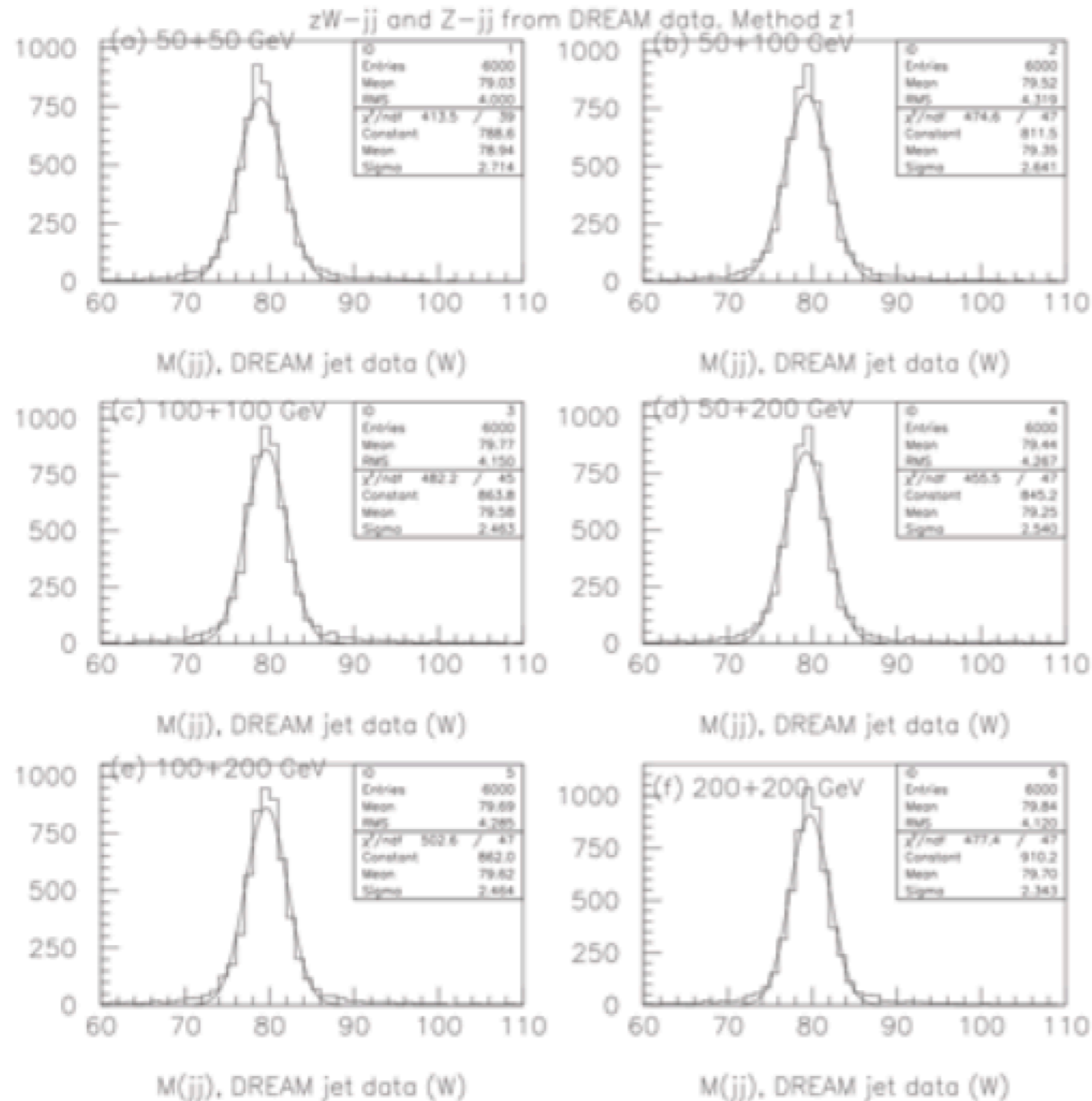
W decay to DREAM  
“jets”



$$\sigma/E \sim 1-2\%$$

$W \rightarrow \text{"jet"} + \text{"jet"}$

(“jets” are DREAM data events)

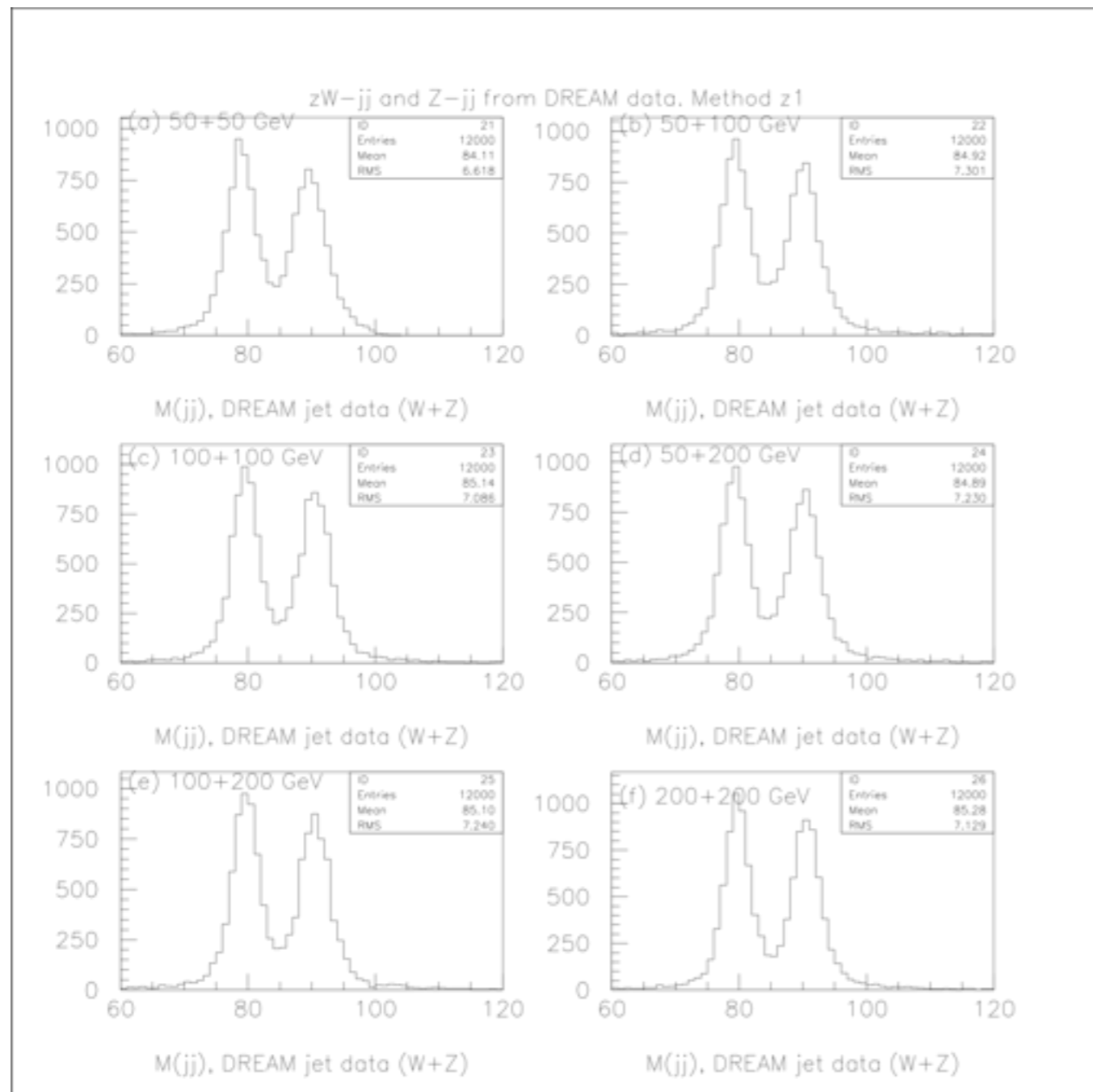


Procedure:

1. sample W Breit-Wigner
2. calculate  $\alpha$
3. align W quarks with beam hodoscope directions
4. take two data events for two beam files, ckmplete with (x,y) spatial and S,C measurement fluctuations
5. calculate and plot di-“jet” mass

$$\sigma/E \sim 1-2\%$$

same for the Z Breit-Wigner: superpose W and Z



beam momentum files: (GeV/c)

50+50

50+100

100+100

50+200

100+200

200+200

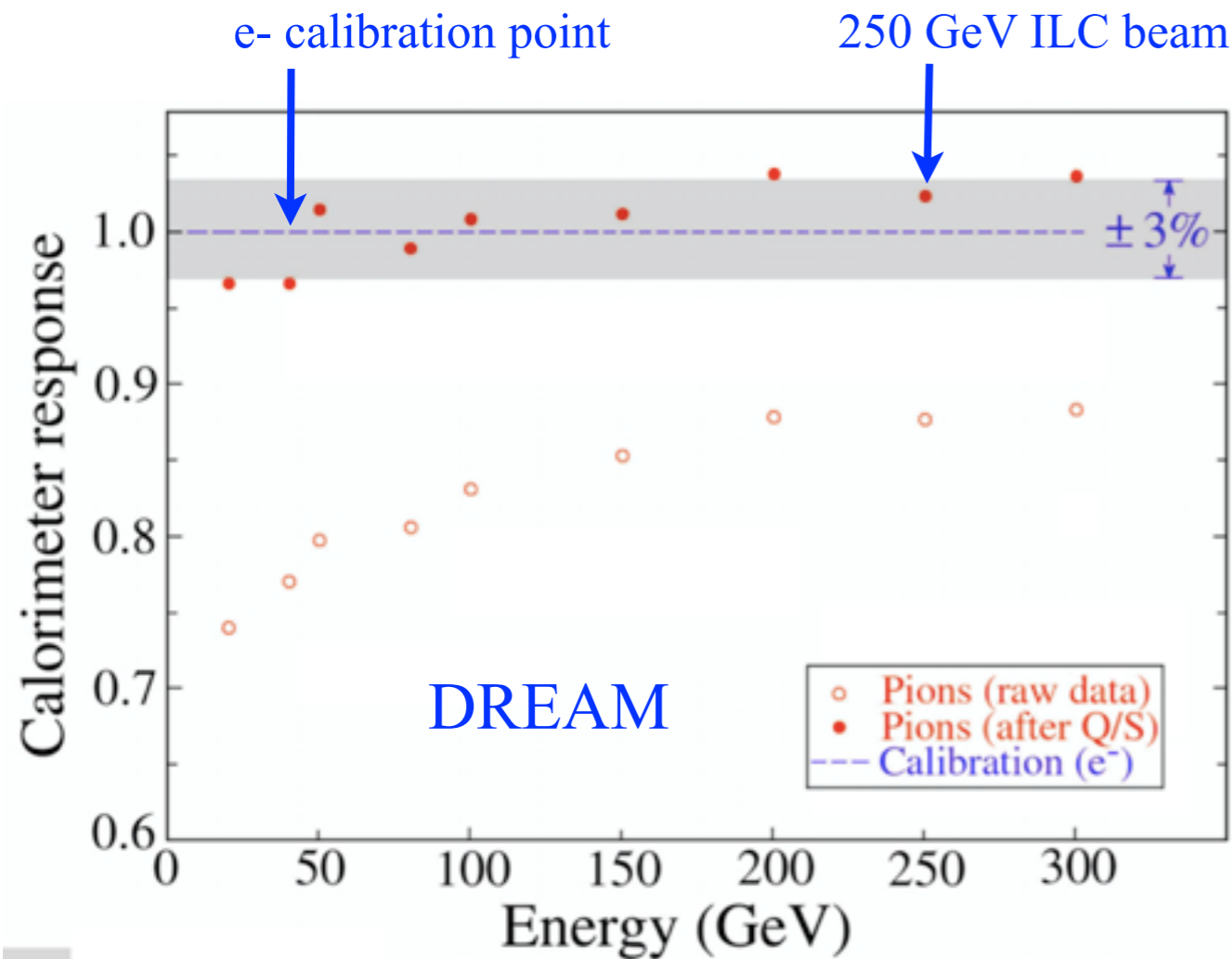
two-jet Mass →

We will directly test the energy resolutions at  $E_{\text{beam}} = 80 \text{ GeV}$  and  $90 \text{ GeV}$  in our next run.

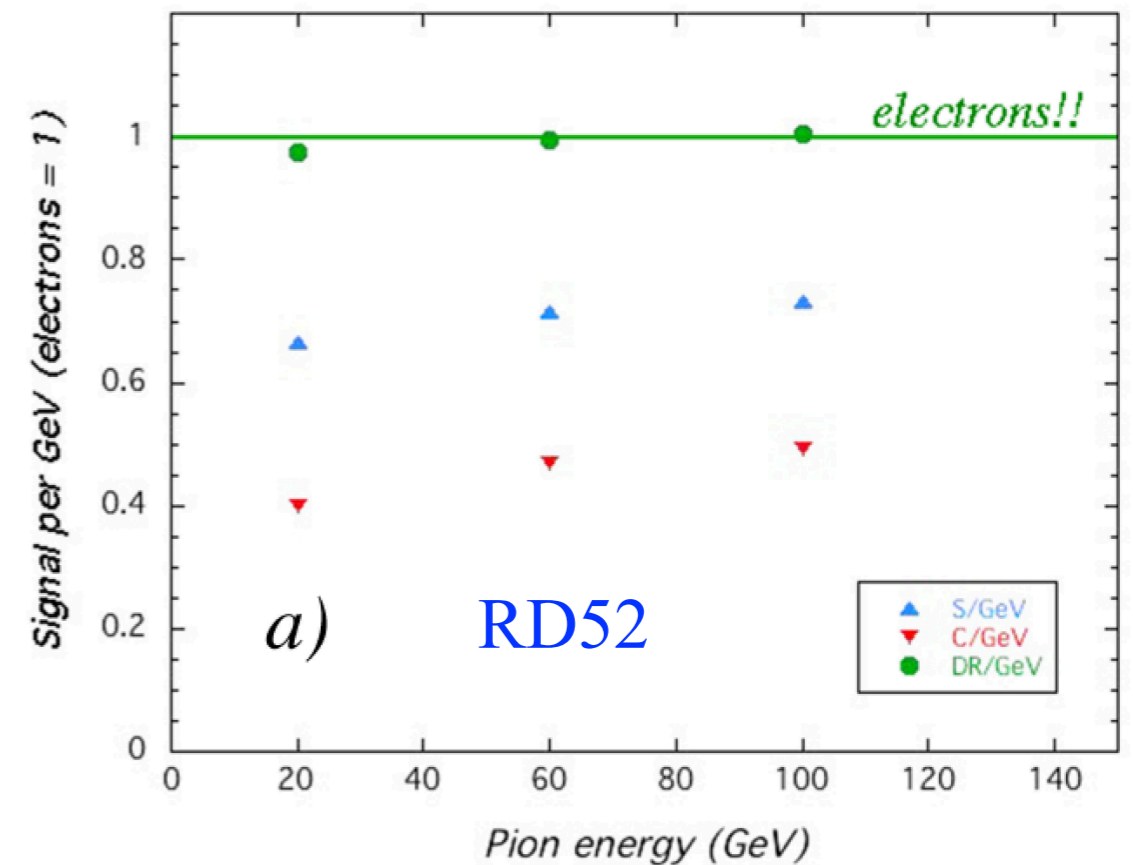


# Linearity

Hadronic energy linearity  
over the whole SPS range, 20-300 GeV/c  
(and, therefore, the whole ILC energy range)



*Electron energy scale well reproduced by DR!!*

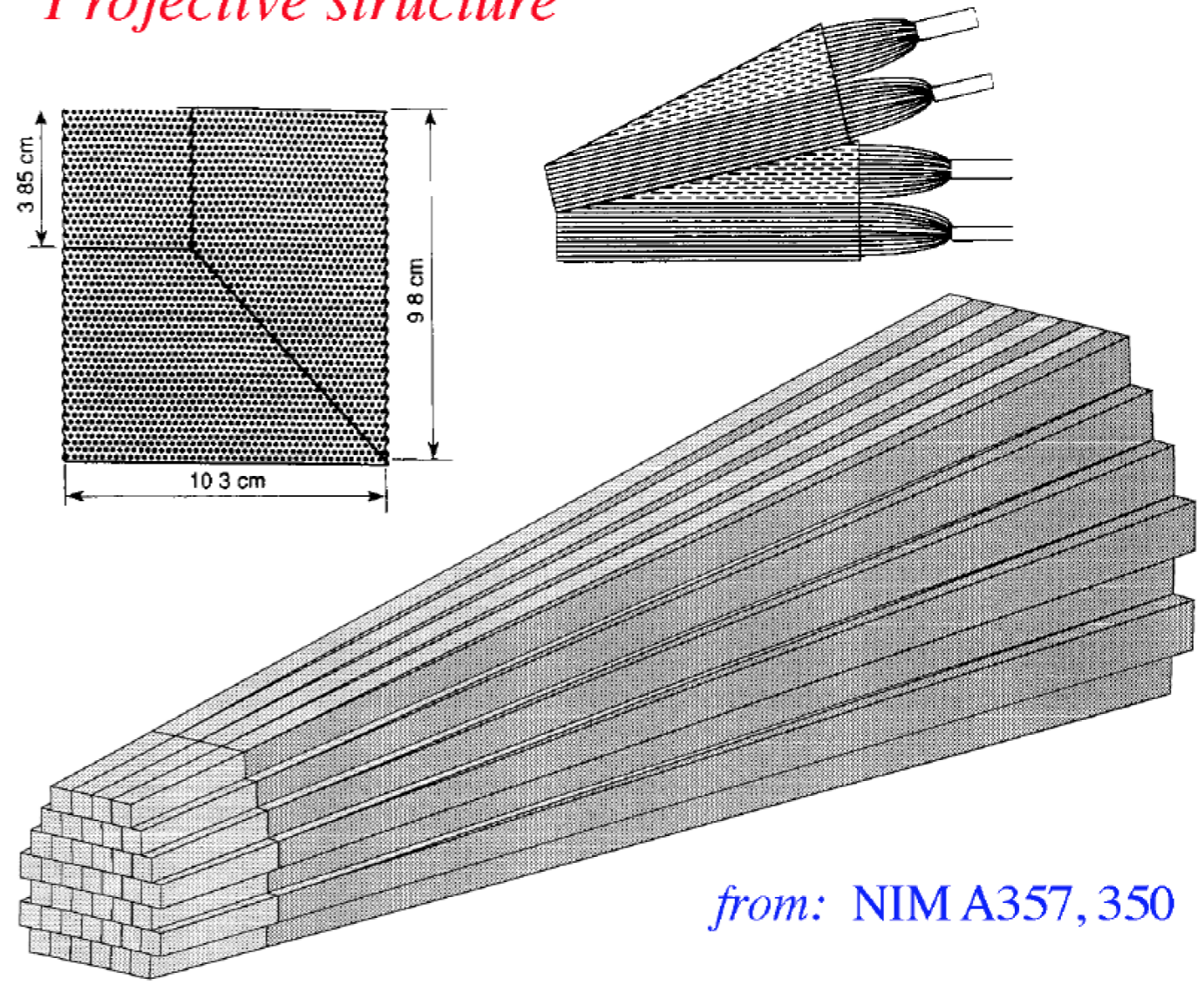


Data NIM A537 (2005) 537.

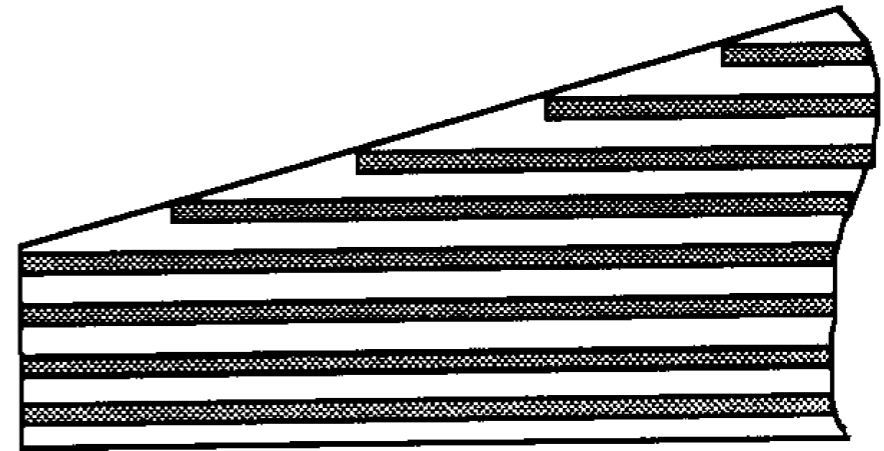
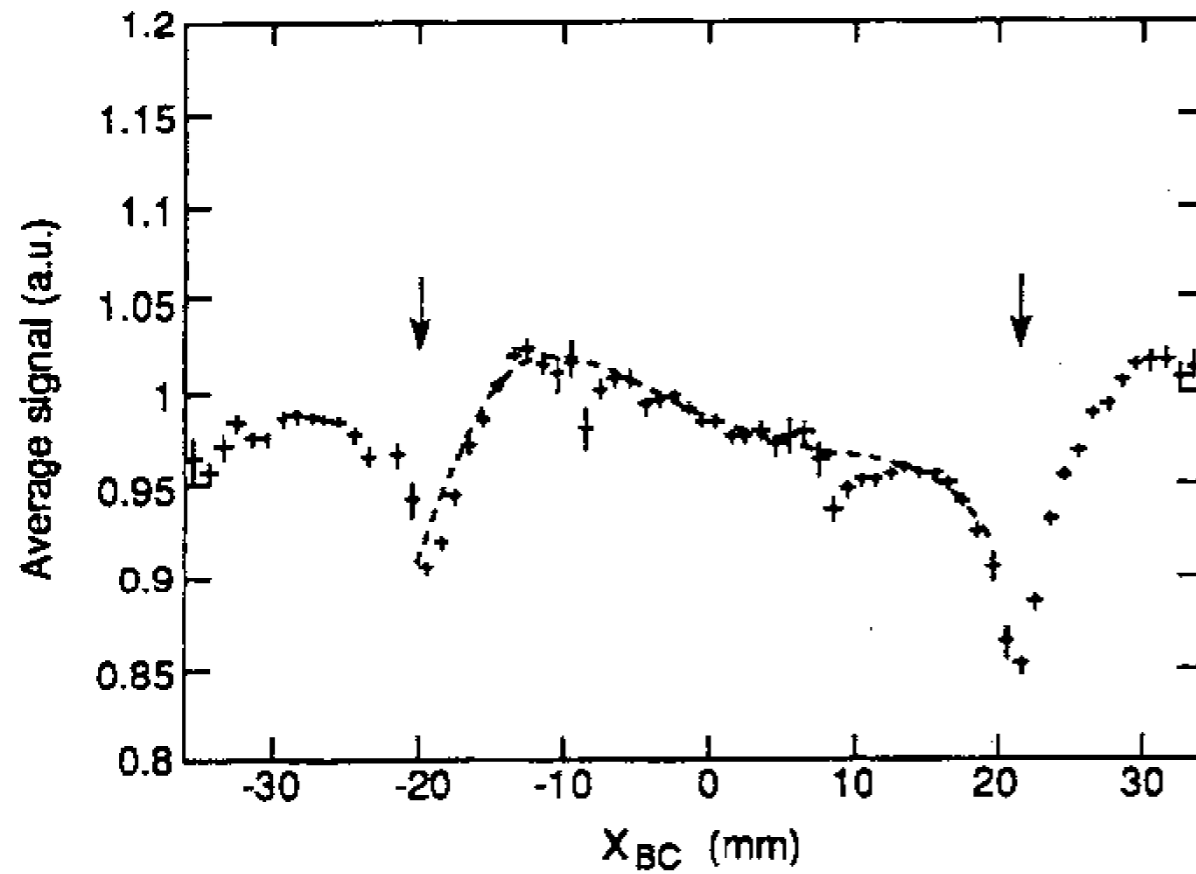
Nov-Dec CERN test 2012

# Geometry

## Projective structure



from: NIM A357, 350

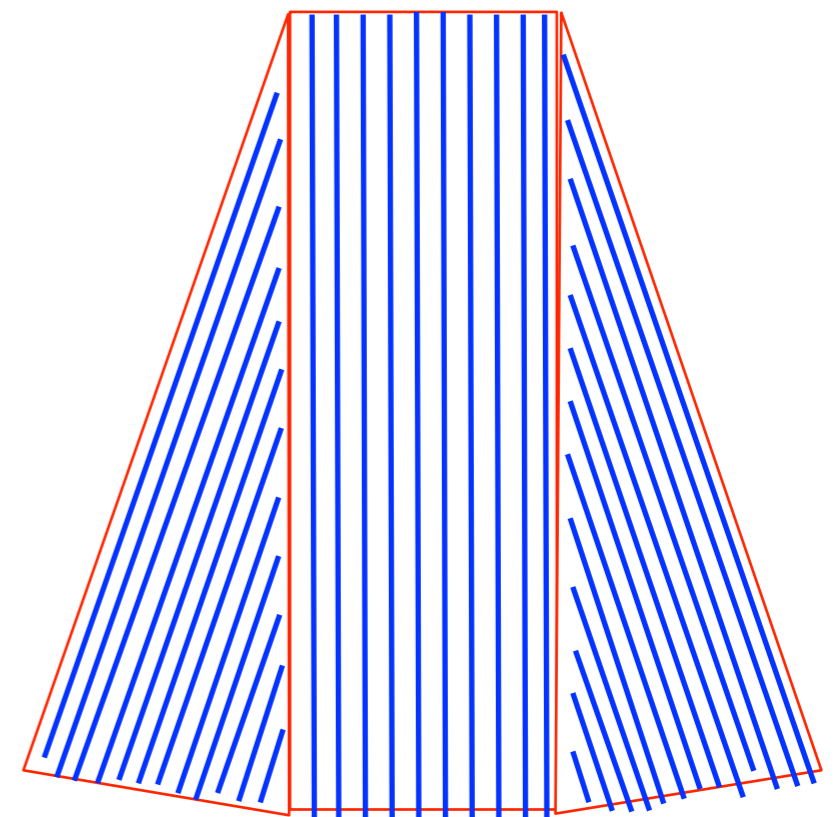
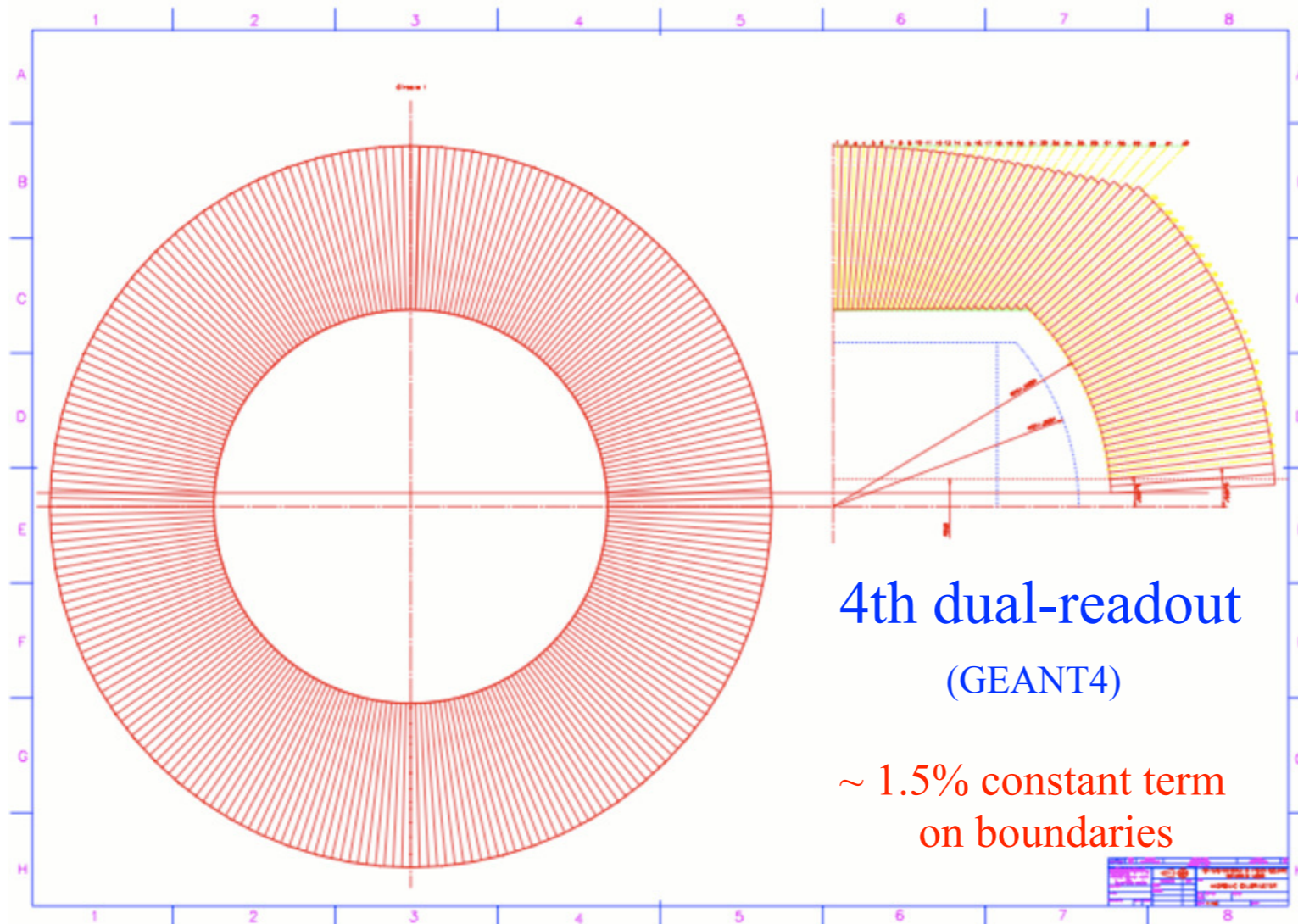


# Geometry

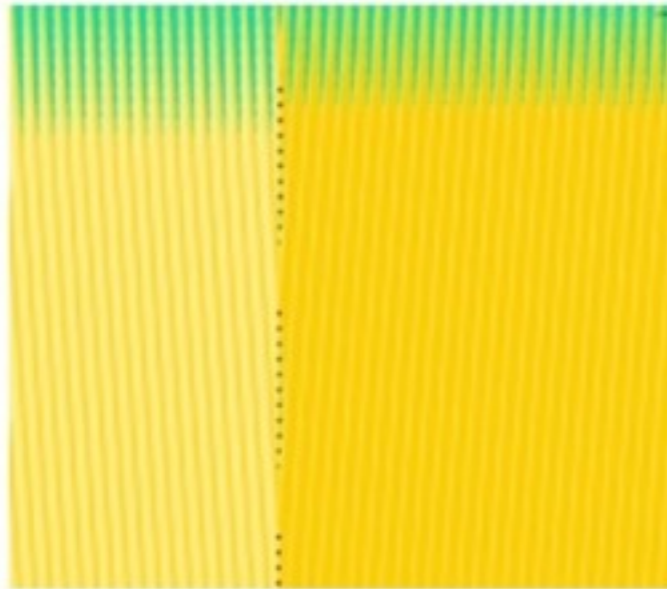
## From rectilinear module to $4\pi$ detector: RD52

(one of the goals of RD52)

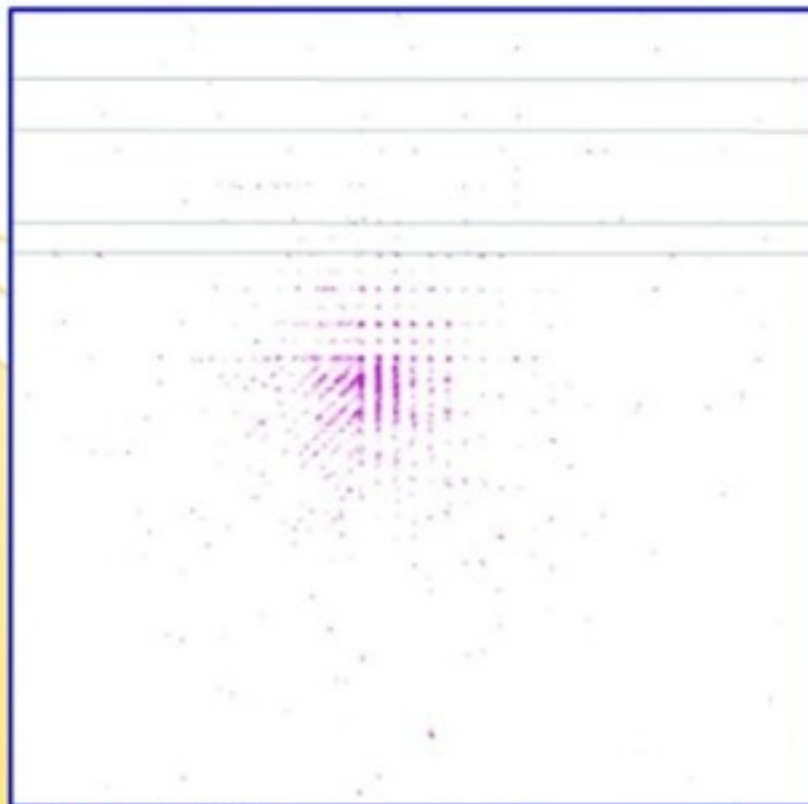
I prefer this fiber arrangement



## Main Source of Constant Term: tower shape

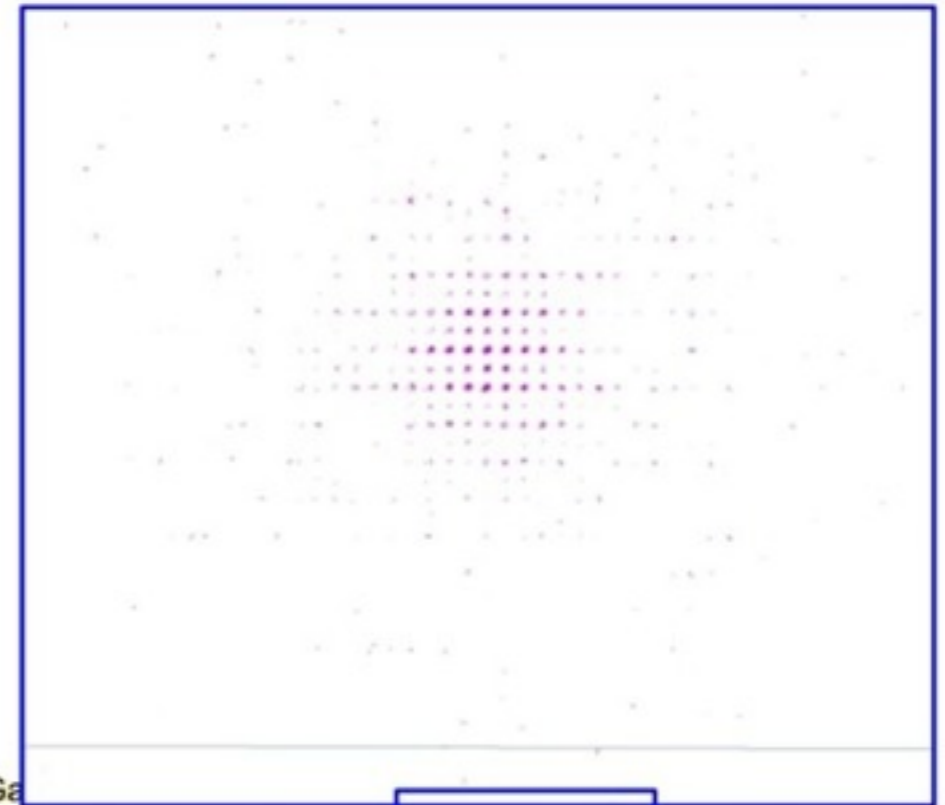


Top view of a 45 GeV  $e^-$  shower



November 16th, 2008

boundar



LCWS08 - C. Ga

cor

# B-field tolerant photo-converter

Best candidate is SiPM: we will test this on a small dual-readout module

- Two solutions:
- (1) silicon wafer with 1-mm SiPMs matched to the (x,y) positions of C fibers; pass-through holes for S fibers to second wafer.
  - (2) S fibers plug into a “light box” that mixes and collects S light; SiPM on edge picks up the light on successive bounces. Similar box gets the C light.

Two SiPM problems:

- (1) noise rate near 1 MHz is a nuisance for calorimeters
- (2) after-pulses look like neutrons

# Pb → Cu → W absorbers with dual-readout

- On RD52, the Pavia group has made 9 dual-readout modules (10cm x 10cm) with Pb absorber by extrusion.
- The Pisa group has made two modules with Cu absorber by milling.
- We are developing means to roll Cu into the RD52 absorber shape.
- Next step is to roll a W-Cu absorber. Funding not secured.

*The RD52 calorimeter*

*December 2012*

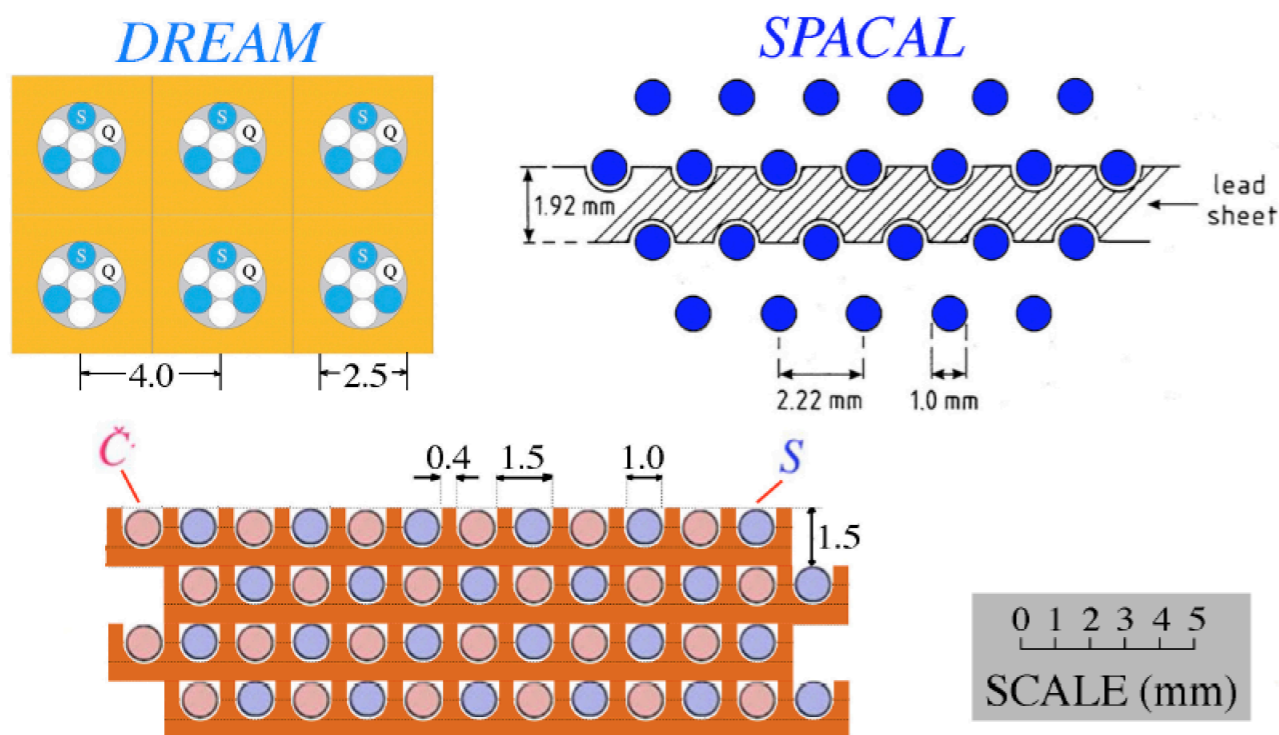
Al 4	Al 3	Cu 4	Cu 3
Al 1	Al 2	Cu 1	Cu 2

T1	T2	T3	T4	T5	T6
T7	T8	T9	T10	T11	T12
T13	T14	T15	T16	T17	T18
T19	T20	T21	T22	T23	T24
T25	T26	T27	T28	T29	T30
T31	T32	T33	T34	T35	T36

# Dual-readout calorimetry

Fiber-impregnated absorber volume

Sampling fraction & frequency



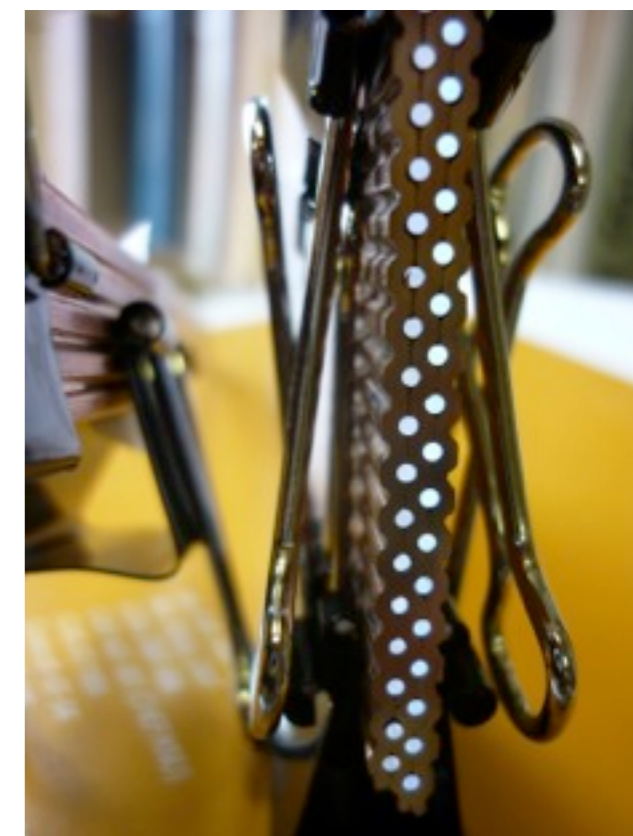
Fiber pattern RD52

Absorber thickness between sampling layers (Moliere radii):

SPACAL 0.071      DREAM 0.099      RD52 0.027

Pure Cu

Cu + Zn(10%)



# Particle Identification

*Measure these objects to 1-2%,  
and you can do anything.*

Scalar (spin=0)	Fermions (spin = $\frac{1}{2}\hbar$ )			Bosons (spin = $1\hbar$ )		
“inertia maker”	2.55 MeV/c <sup>2</sup> <b>u</b> <sup>+2/3</sup> “up”	1.27 GeV/c <sup>2</sup> <b>c</b> <sup>+2/3</sup> “charm”	171.3 GeV/c <sup>2</sup> <b>t</b> <sup>+2/3</sup> “top”	weak force weak charge	electro-magnetic force(QED) electric charge	strong color force(QCD) color charge
125 GeV/c <sup>2</sup> <b>H</b> <sup>0</sup> “Higgs”	5.04 MeV/c <sup>2</sup> <b>d</b> <sup>-1/3</sup> “down”	0.105 GeV/c <sup>2</sup> <b>s</b> <sup>-1/3</sup> “strange”	4.201 GeV/c <sup>2</sup> <b>b</b> <sup>-1/3</sup> “bottom”			0 (exactly) <b>g</b> <sup>0</sup> “gluon”
	0.511 MeV/c <sup>2</sup> <b>e</b> <sup>-</sup> “electron”	0.106 GeV/c <sup>2</sup> <b>μ</b> <sup>-</sup> “muon”	1.777 GeV/c <sup>2</sup> <b>τ</b> <sup>-</sup> “tau”	91.19 GeV/c <sup>2</sup> <b>Z</b> <sup>0</sup> “Z boson”	0 (exactly) <b>γ</b> <sup>0</sup> “photon”	
	1 meV/c <sup>2</sup> <b>ν</b> <sub>e</sub> <sup>0</sup> “e neutrino”	8.8 meV/c <sup>2</sup> <b>ν</b> <sub>μ</sub> <sup>0</sup> “μ neutrino”	50 meV/c <sup>2</sup> <b>ν</b> <sub>τ</sub> <sup>0</sup> “τ neutrino”	80.40 GeV/c <sup>2</sup> <b>W</b> <sup>±</sup> “W boson”		
	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>			
	Generations of quarks and leptons			Boson force carriers		



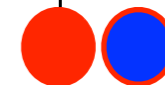
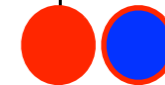
# Particle Identification

● Achieved in test beam data

● Achieved in cosmic mu test data

● Achieved in simulation

ID	Physical measurement	Partons/particles identified	Subsystems used
1	$C$ vs. $S$	$e^\pm$ vs. $\pi^\pm$ vs. $\mu^\pm$	$S$ and $C$
2	$\chi^2 \sim \frac{1}{N} \sum_i^N [(C_i - S_i)/\sigma_i]^2$	EM vs. non-EM vs. "hadronic"	$S_i$ and $C_i$ channels
3	$(S - C)$ vs. $(S + C)$	$\mu$ vs. $\pi$	fiber $S$ and $C$
4	$f_n \sim E_n/E_{\text{shower}}$ (MeV neutrons)	"hadronic" vs. non-"hadronic"	scintillating fibers $S_{pe}(t)$ long-time history
5	$S_{pe}$ time duration	EM vs. non-EM vs. "hadronic"	$S$ fibers time-history
6	$dN/dx$ , specific ionization (cluster counting)	$e - \mu - \pi - K - p$ (few GeV region)	CluCou tracking
7	EM calor + tracking	$e - \gamma$	CluCou tracking + dual-readout calor's
8	$p_{\text{track}} \approx E_{\text{calor}} + p_\mu$	$\mu$ vs. punch-through $\pi$	CluCou, calor, muon
9	$\tau^\pm \rightarrow \rho^\pm \nu \rightarrow \pi^\pm \gamma \gamma$	$\tau$ vs. hadronic debris	BGO and fiber dual-readout, CluCou
10	Time-of-flight (sub-ns)	massive SUSY object	Čerenkov pulses in BGO and fiber calorimeter
11	$W, Z \rightarrow jj$ mass	$W, Z$ vs. QCD $jj$	CluCou, jet finding, dual-readout calor's



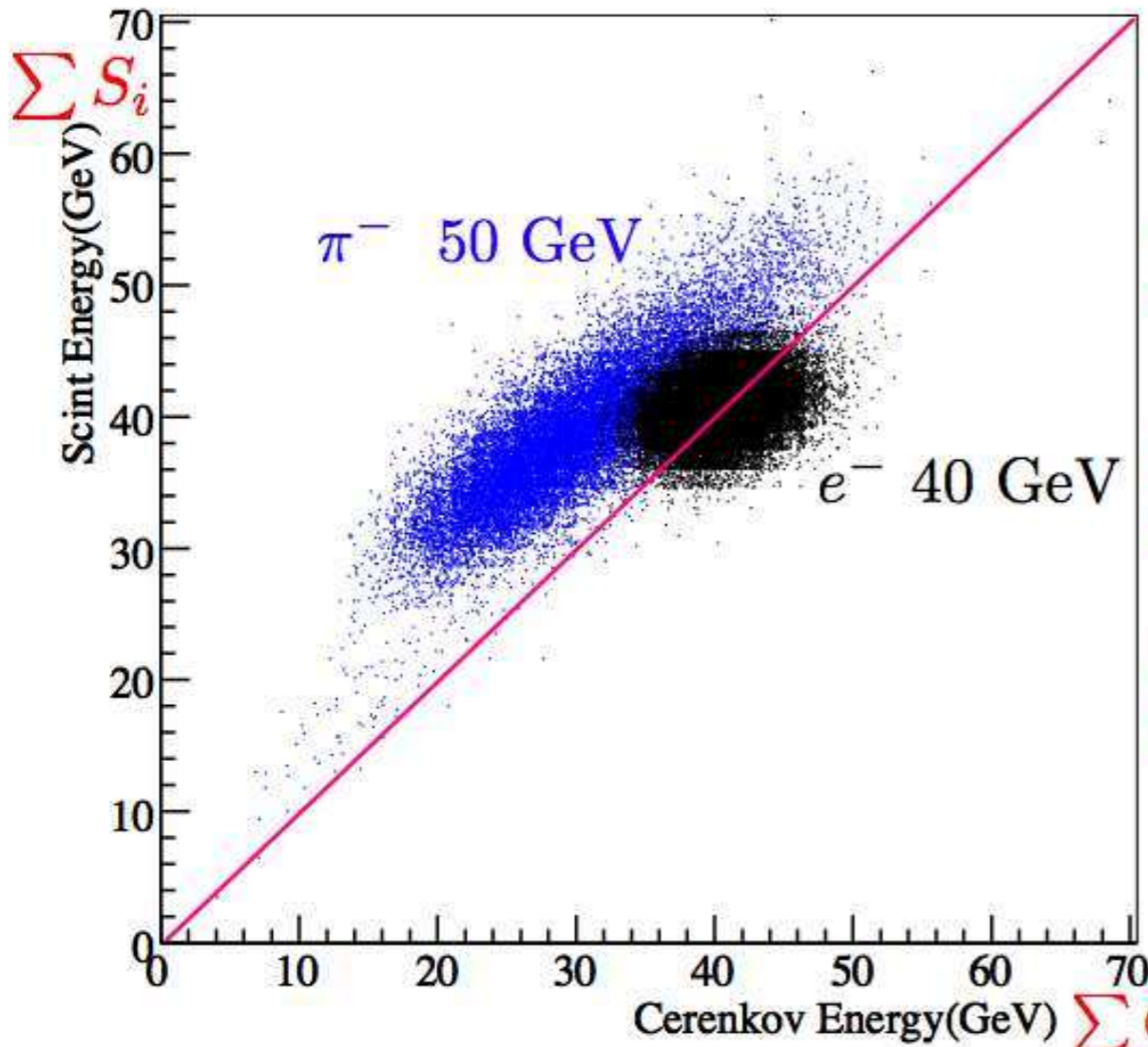
# Basic dual-readout:

# Scintillation vs. Cerenkov

$e-\pi-\mu$  discrimination

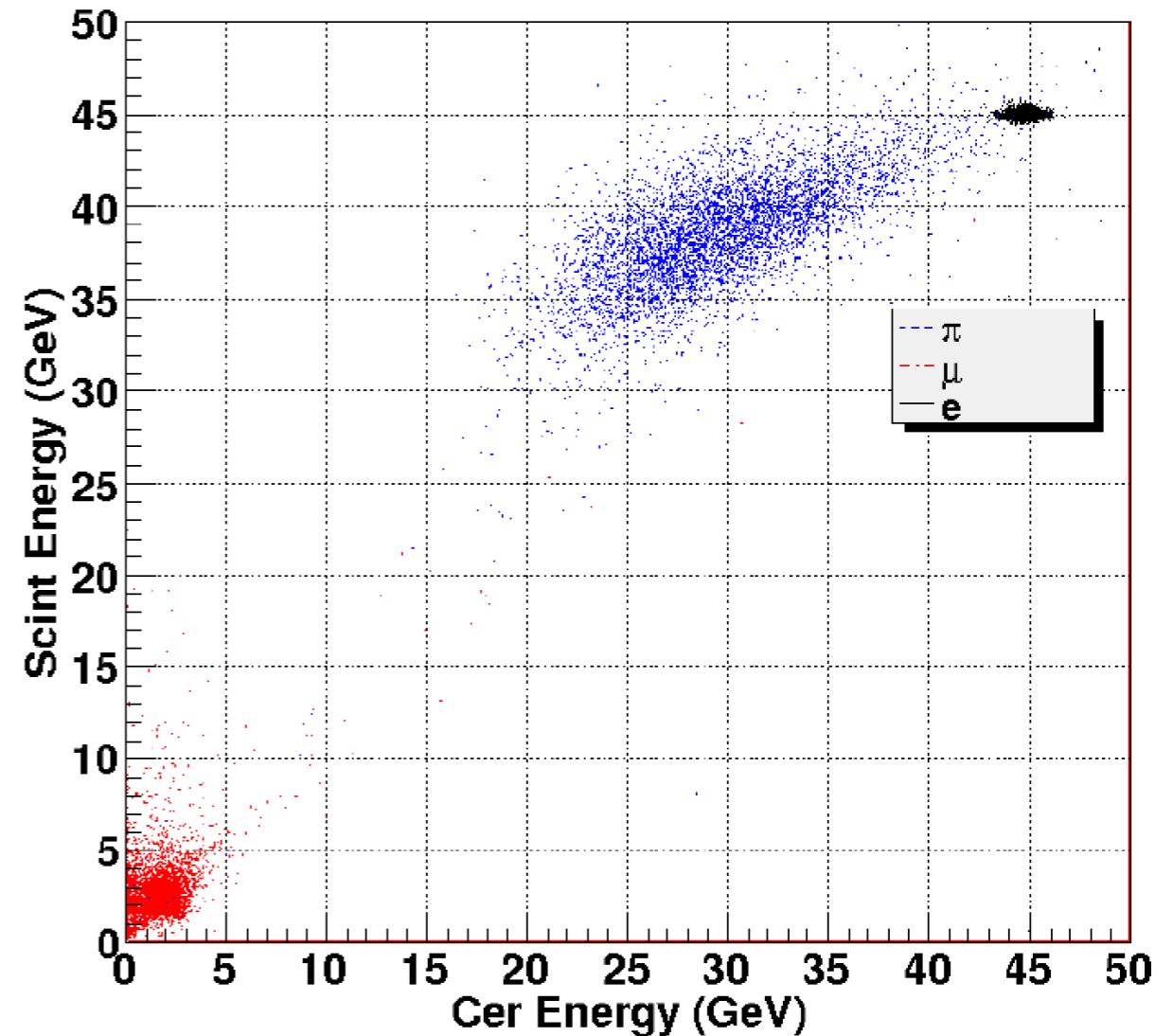
$$S = E [f_{EM} + (1-f_{EM}) \eta_s] \quad \eta_s = (h/e)s$$
$$C = E [f_{EM} + (1-f_{EM}) \eta_c] \quad \eta_c = (h/e)c$$

## DREAM module data



## Cer Energy vs Scint Energy

## 4th simulation

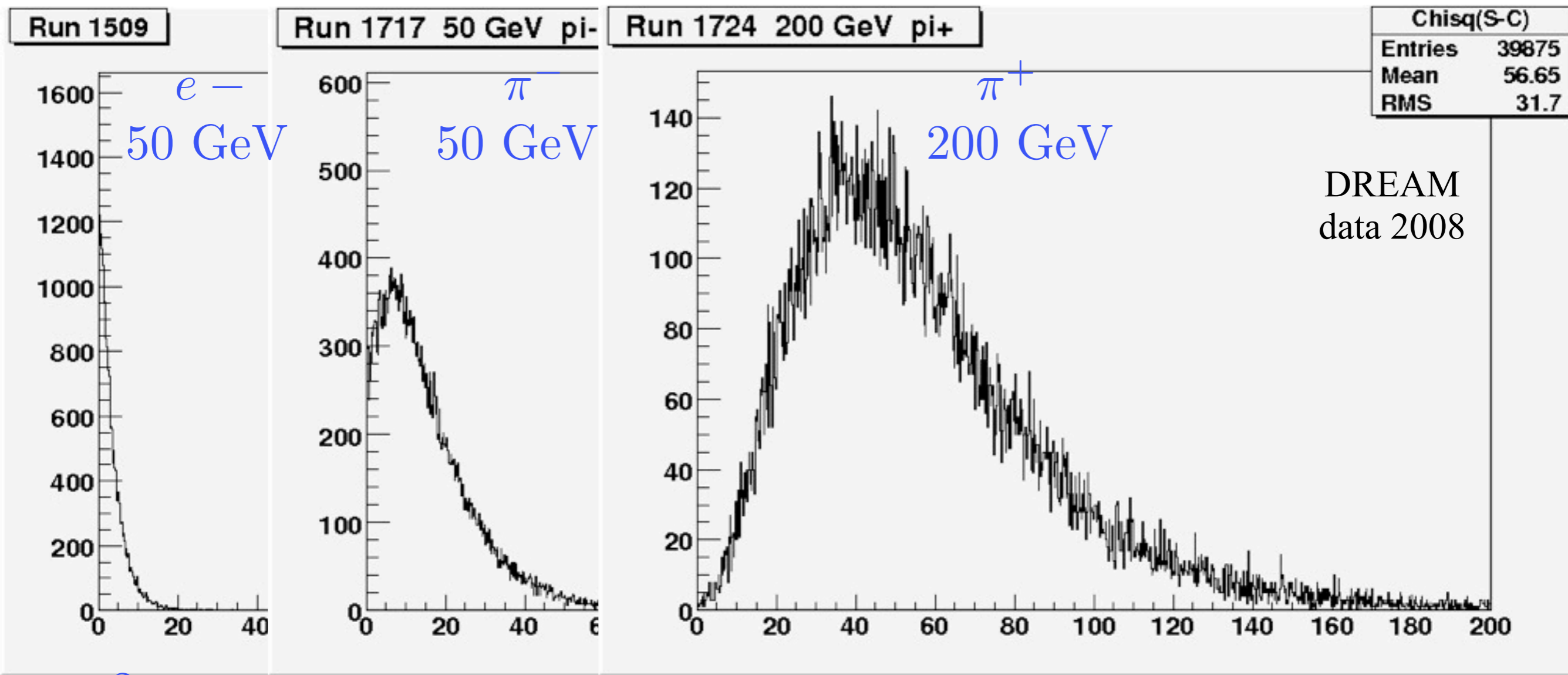


# Dual-readout: (S-C) channel-by-channel

$e-\pi$  discrimination

Chi-squared of S-C fluctuations among the channels of a shower:

$$\chi_{C-S}^2 = \sum \left( \frac{S_k - C_k}{\sigma_k} \right)^2 \approx \sum_k \frac{(S_k - C_k)^2}{0.1(S_k + C_k)}$$



$\chi_{C-S}^2 \rightarrow$

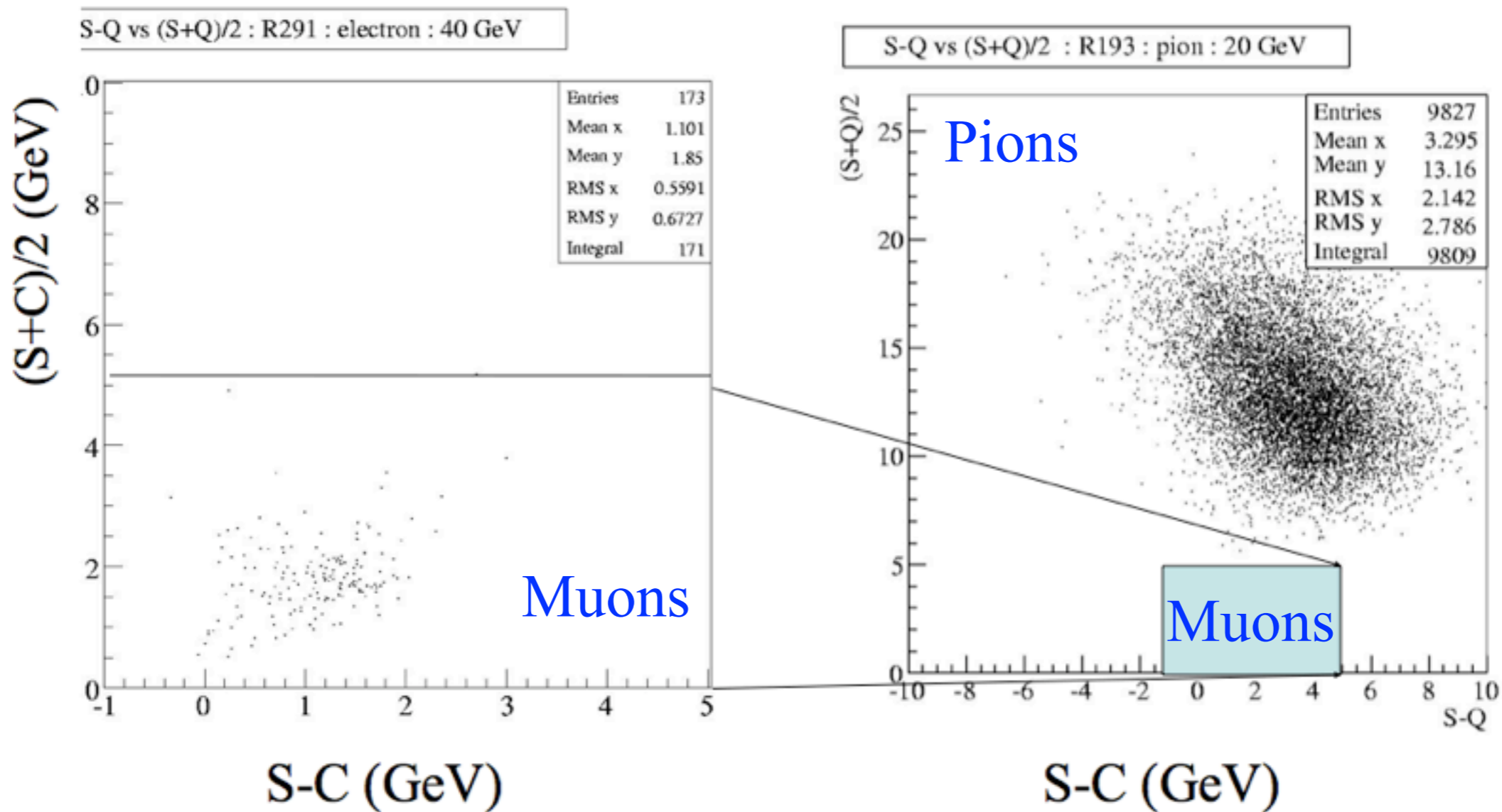
$\chi_{C-S}^2 \rightarrow$

$\chi_{C-S}^2 \rightarrow$

# Dual-readout: unique ID for isolated muons

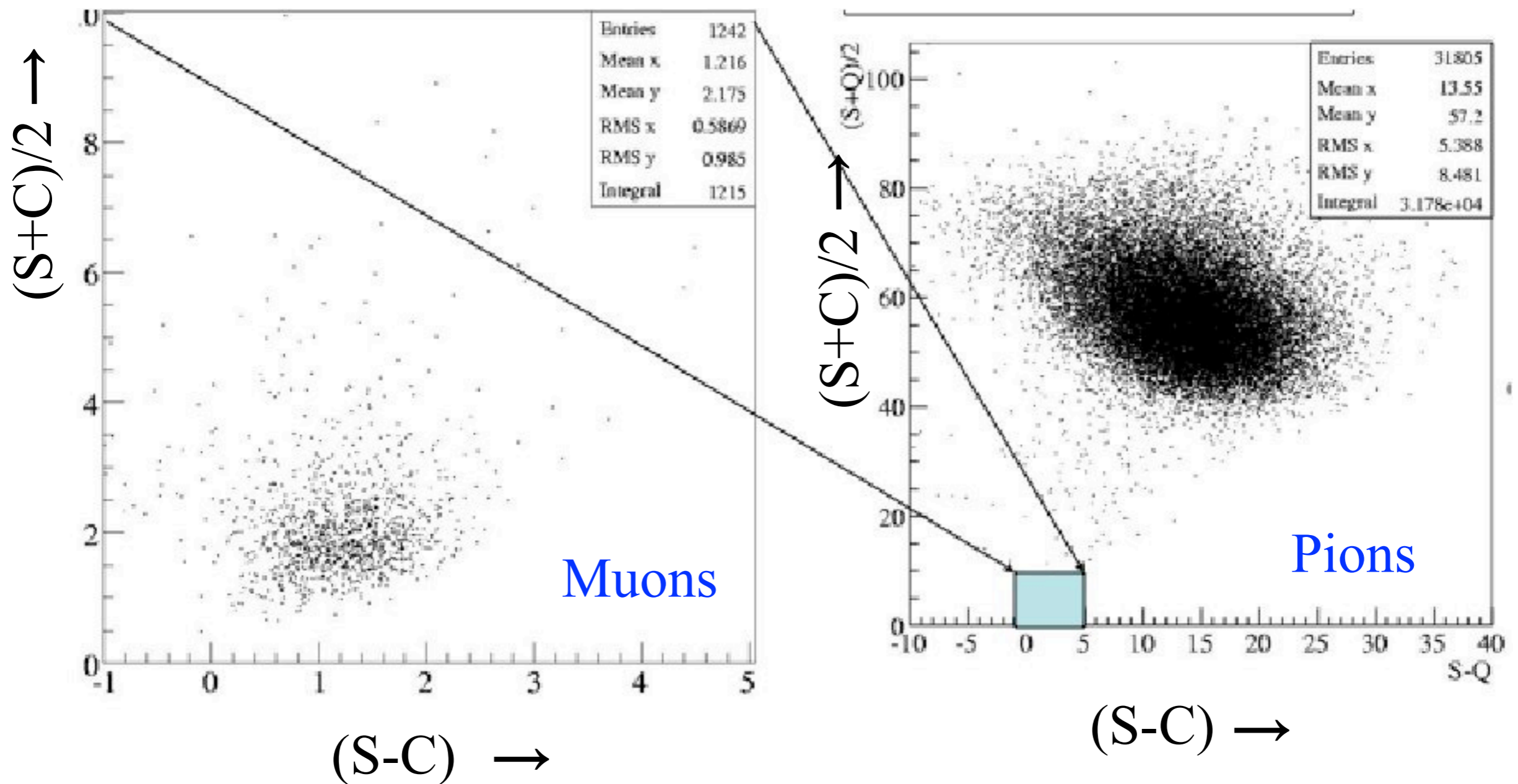
(Cerenkov angle > numerical aperture => zero Cerenkov signal)

## Muons and Pions (20 GeV)



Dual-readout: unique ID for isolated muons

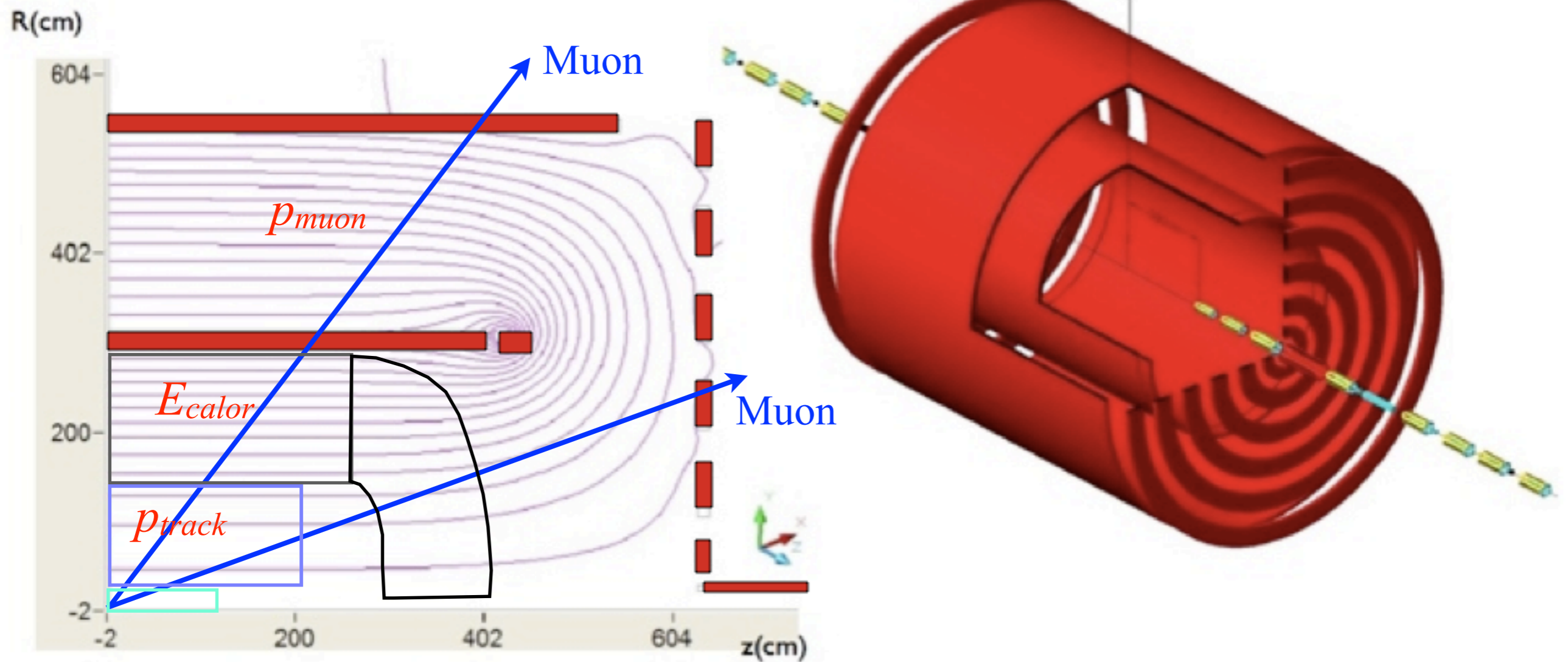
## DREAM module, 80 GeV beam



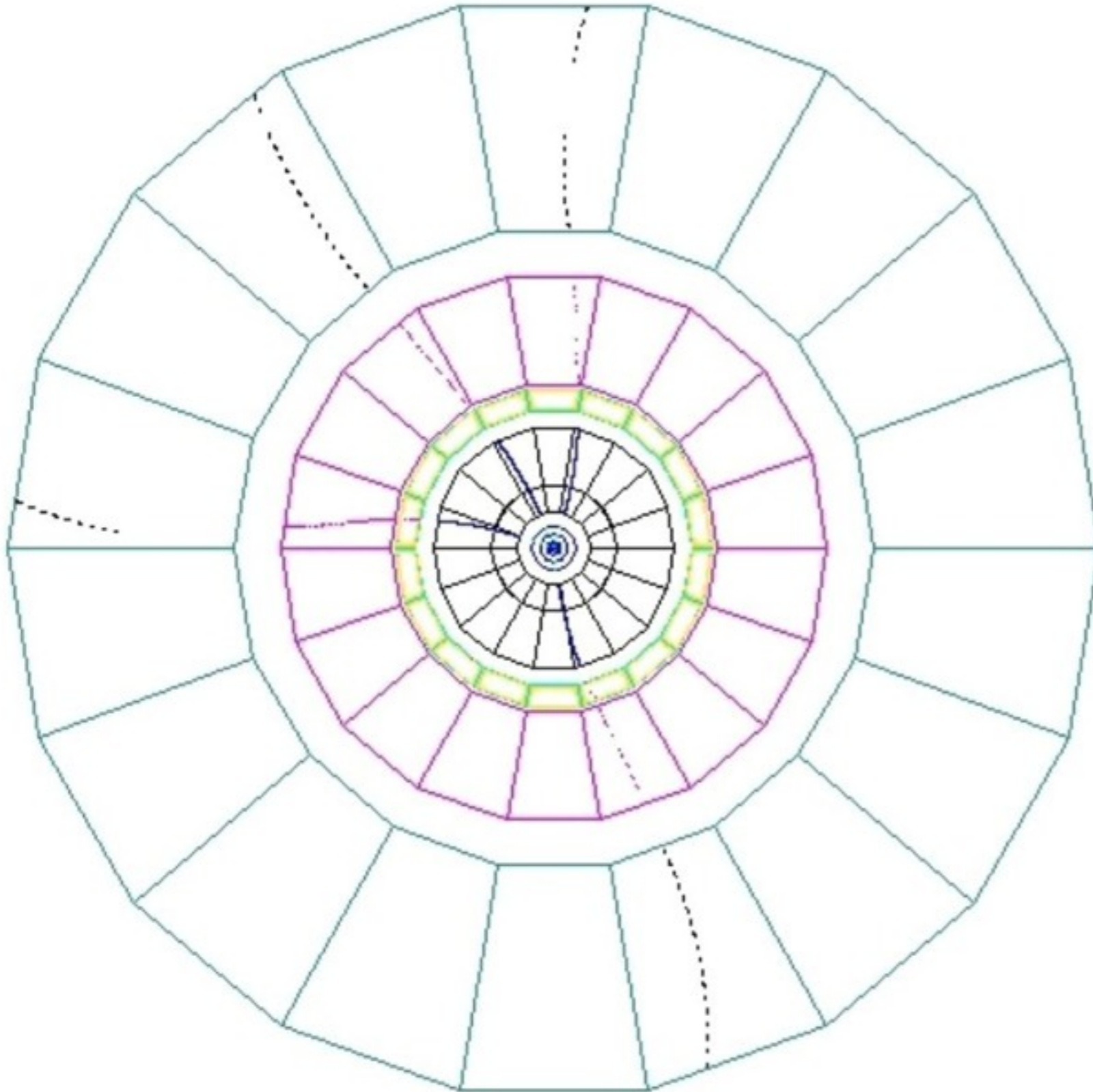
# Muon ID by energy balance

$$p_{track} = E_{calor} + p_{muon}$$

Magnetic field of dual solenoid and wall of coils



Dual-readout: 5 GeV muons are clean with good acceptance



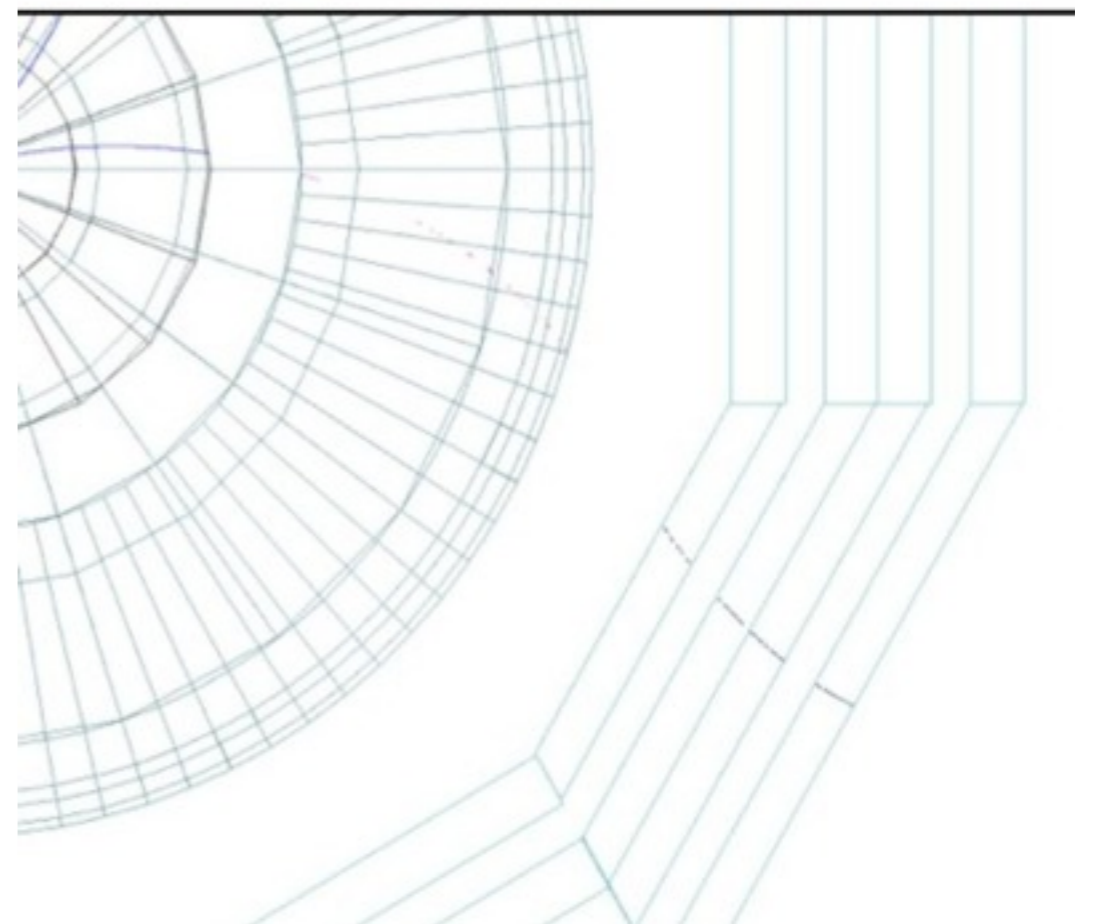
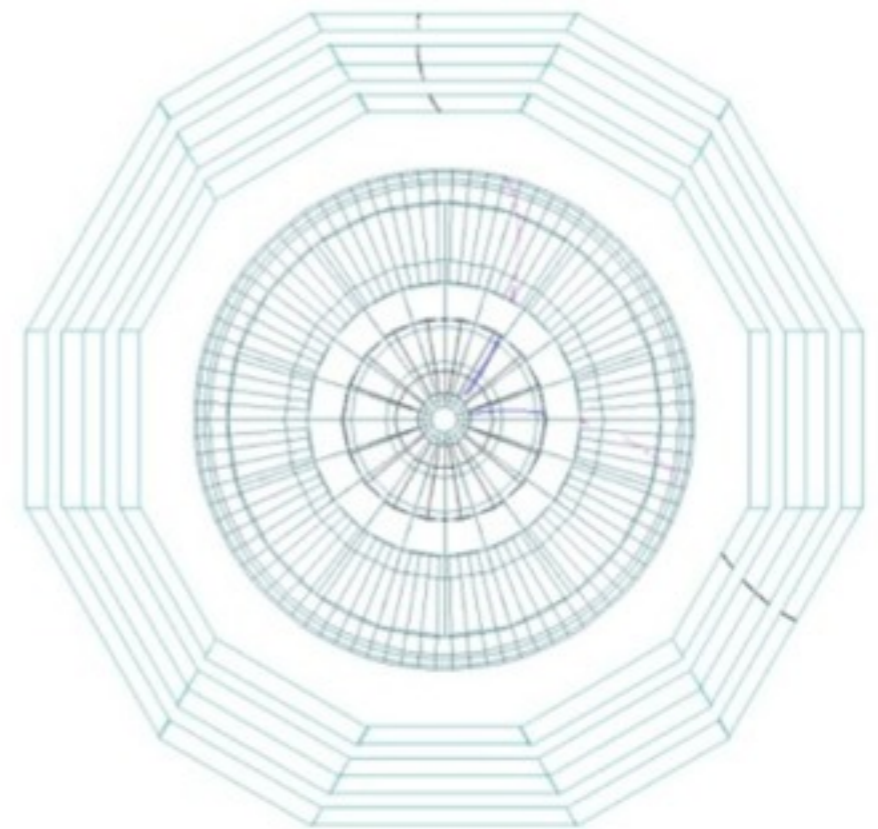
Muons are clean and obvious;  
Acceptance at 5 GeV is good

$\mu^+$  and  $\mu^-$  at 3.5 GeV/c

Muons are easy and obvious  
at 3.5 GeV/c.

We can push the muon  
acceptance down to 1 GeV/c.

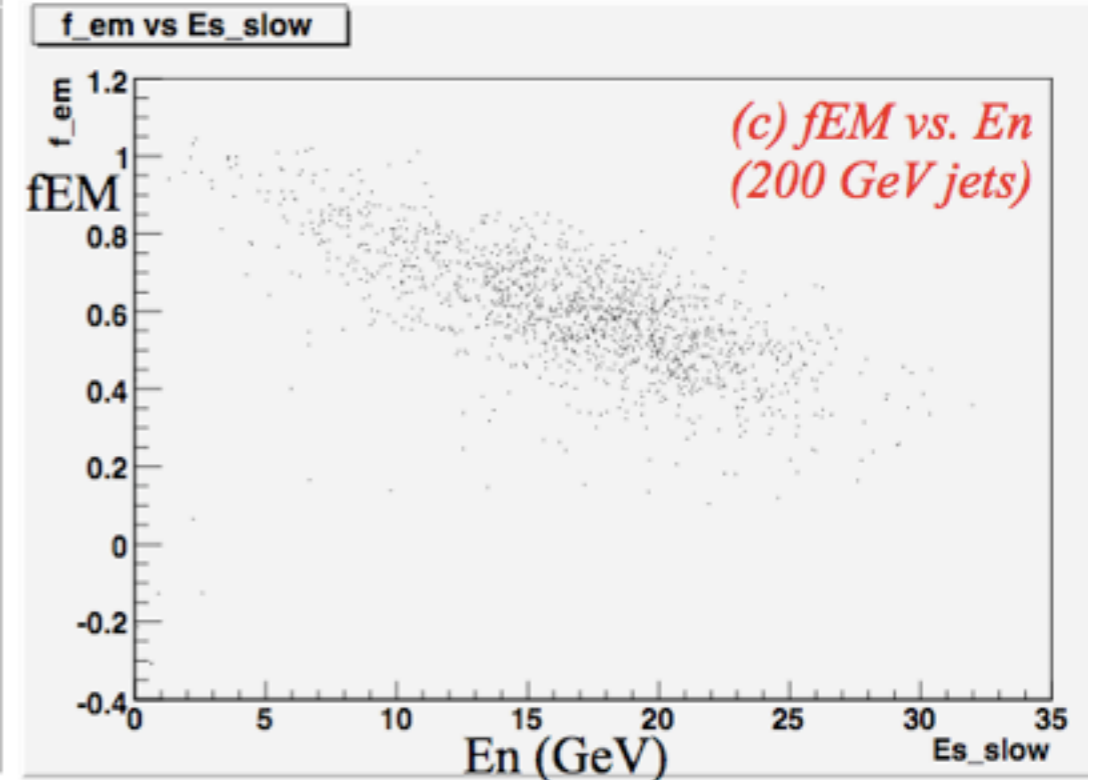
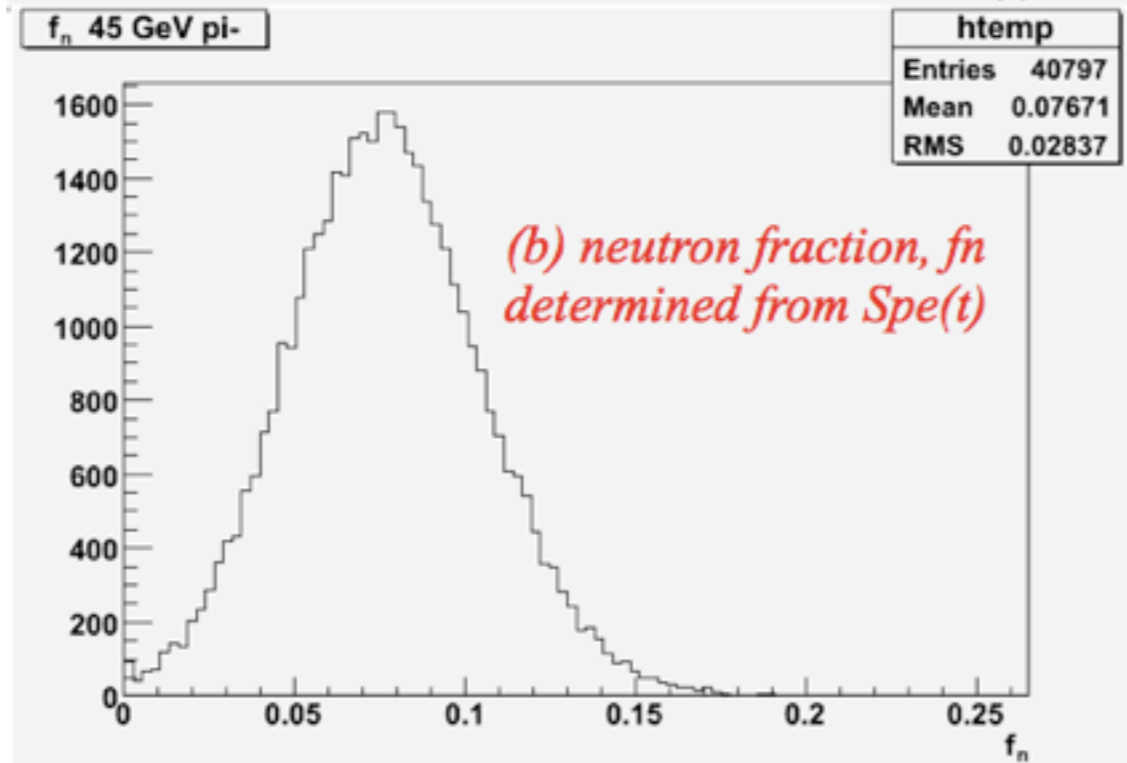
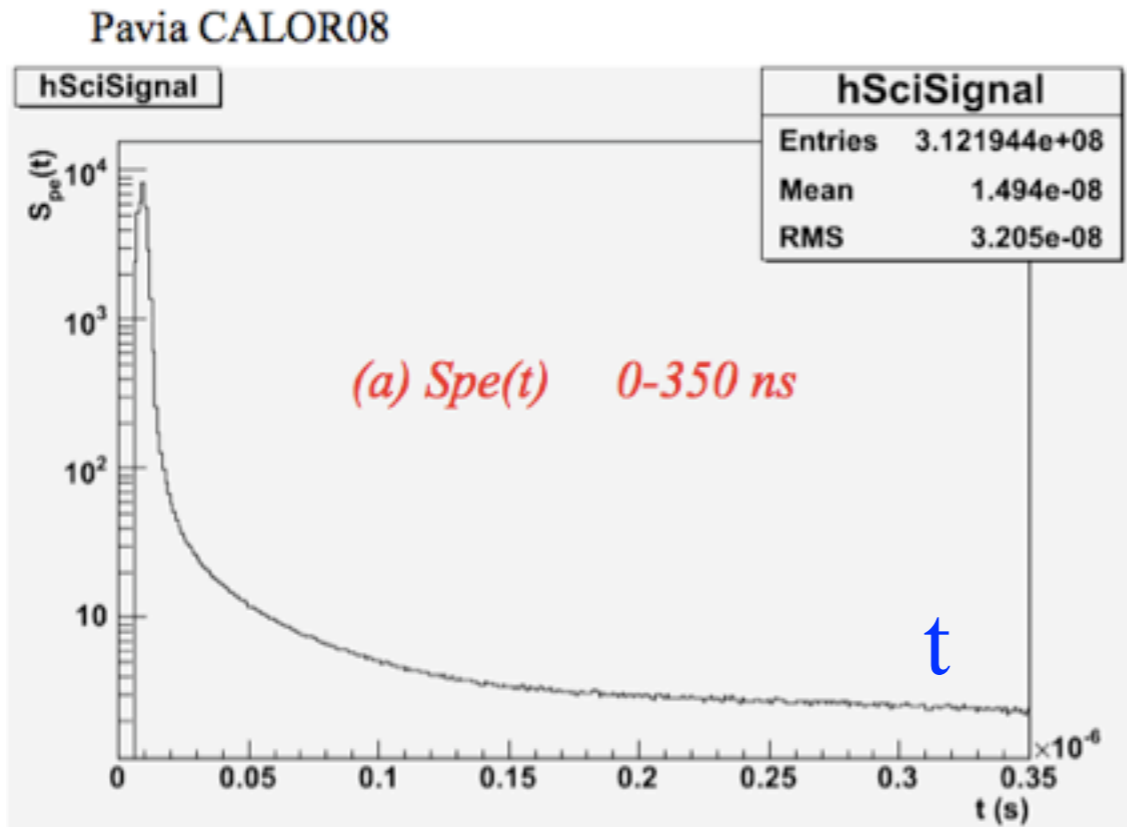
This will require fine  
coordination of CluCou and  
the dual-readout calorimeters.





Dual-readout: neutrons, calculate  $f_n$  from  $S_{pe}(t)$  time-history

$n$  tagging, simulation

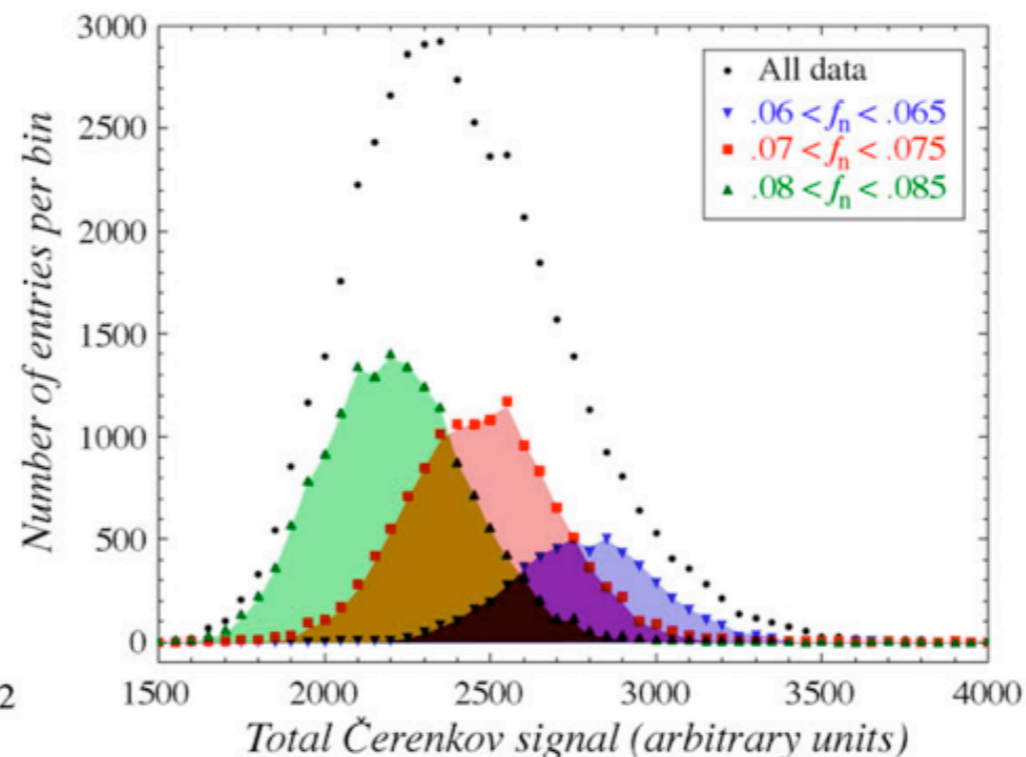
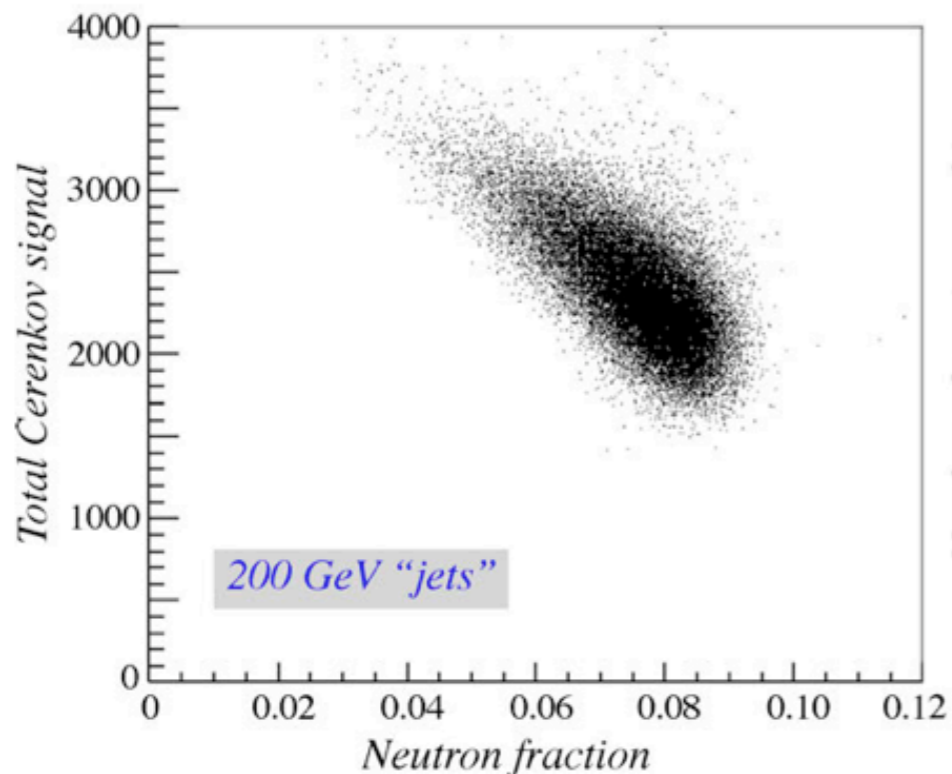
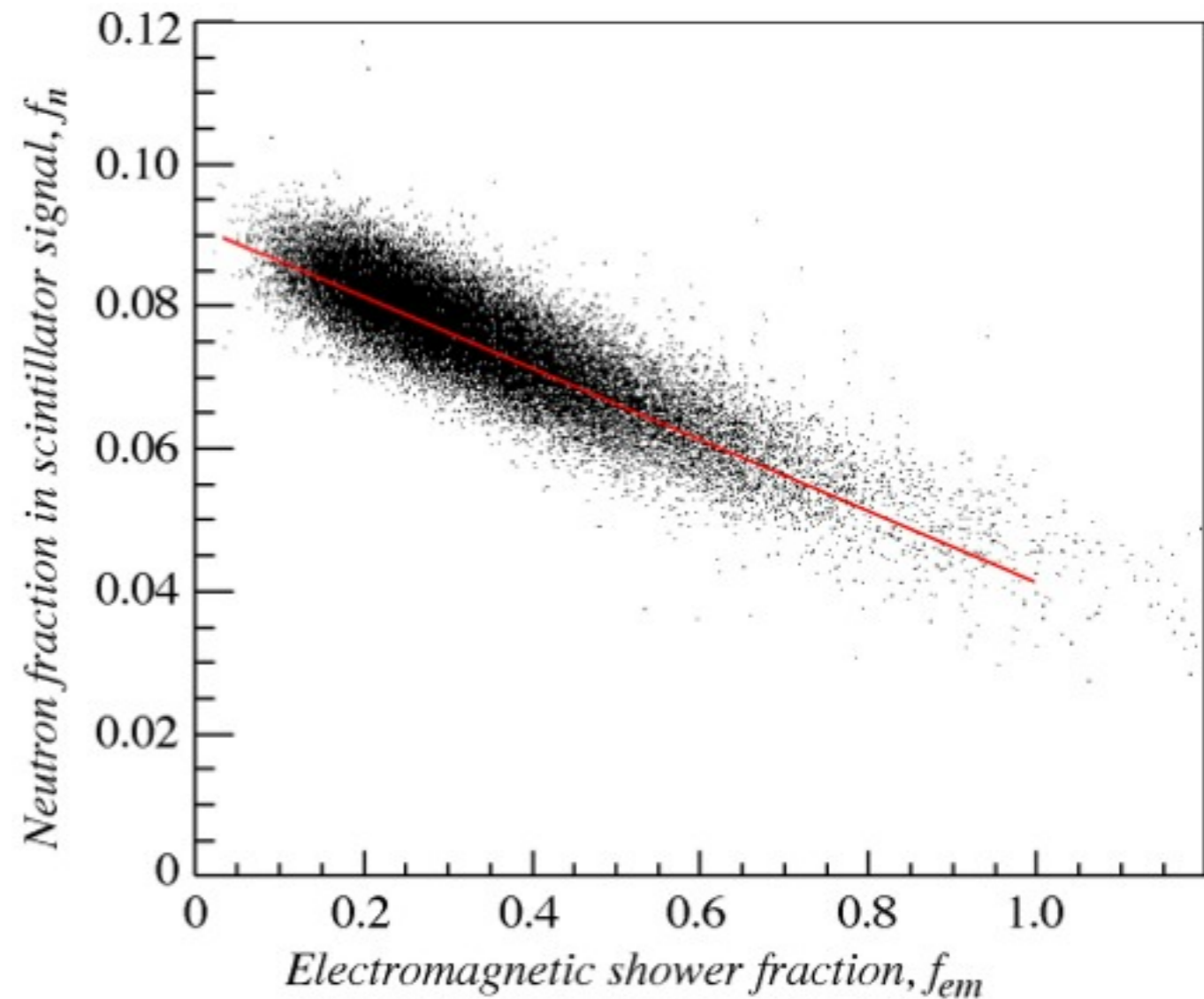


*We have a fair understanding of neutrons in both DREAM data and in the 4th detector.*

# Dual-readout: neutron fraction, $f_n$

$n$  tagging, DREAM data

- measured by time-history of scintillation light (“hadronic” ID)
- anti-correlated with the electromagnetic fraction

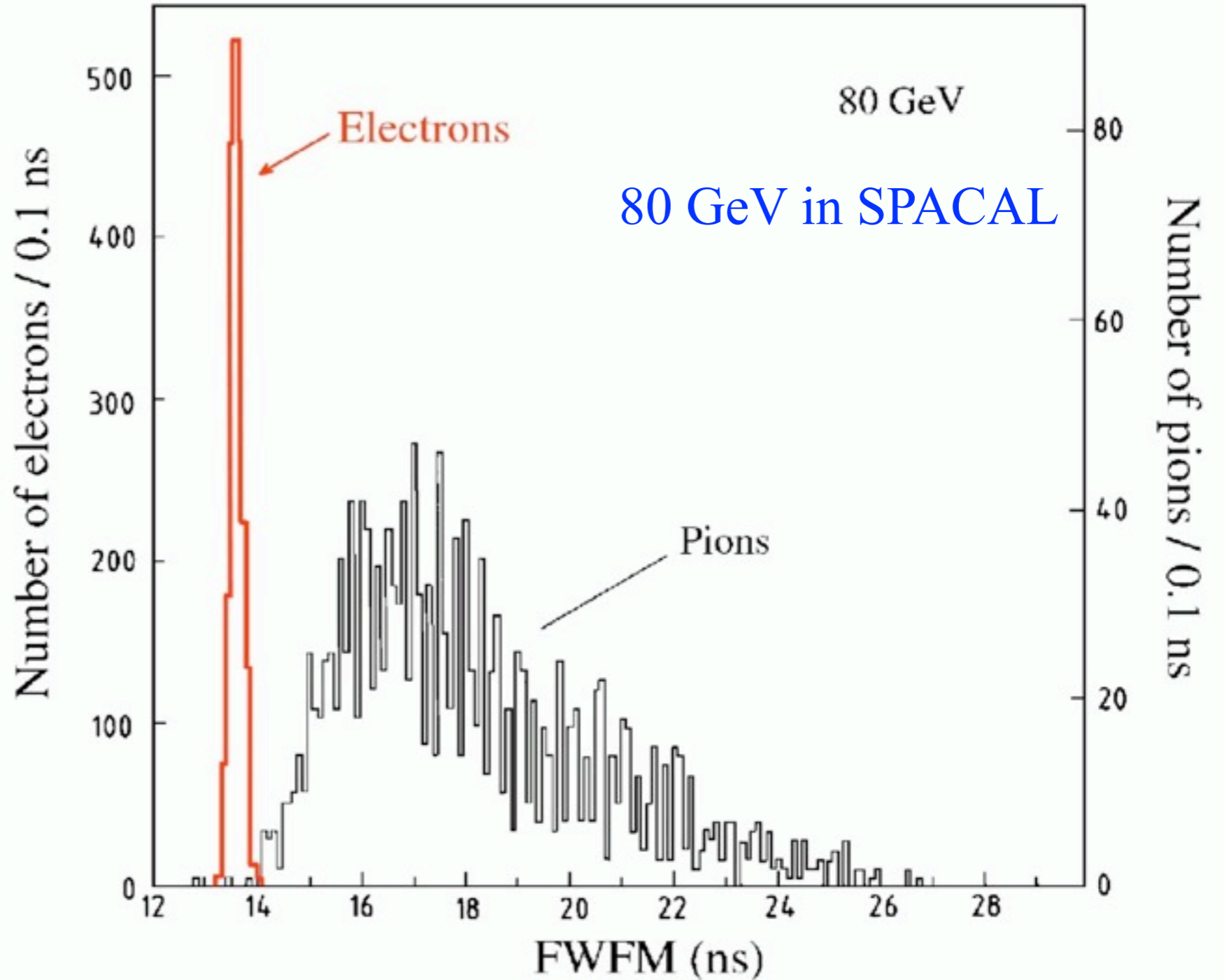


... also use this to improve the hadronic energy resolution.

Dual-readout:

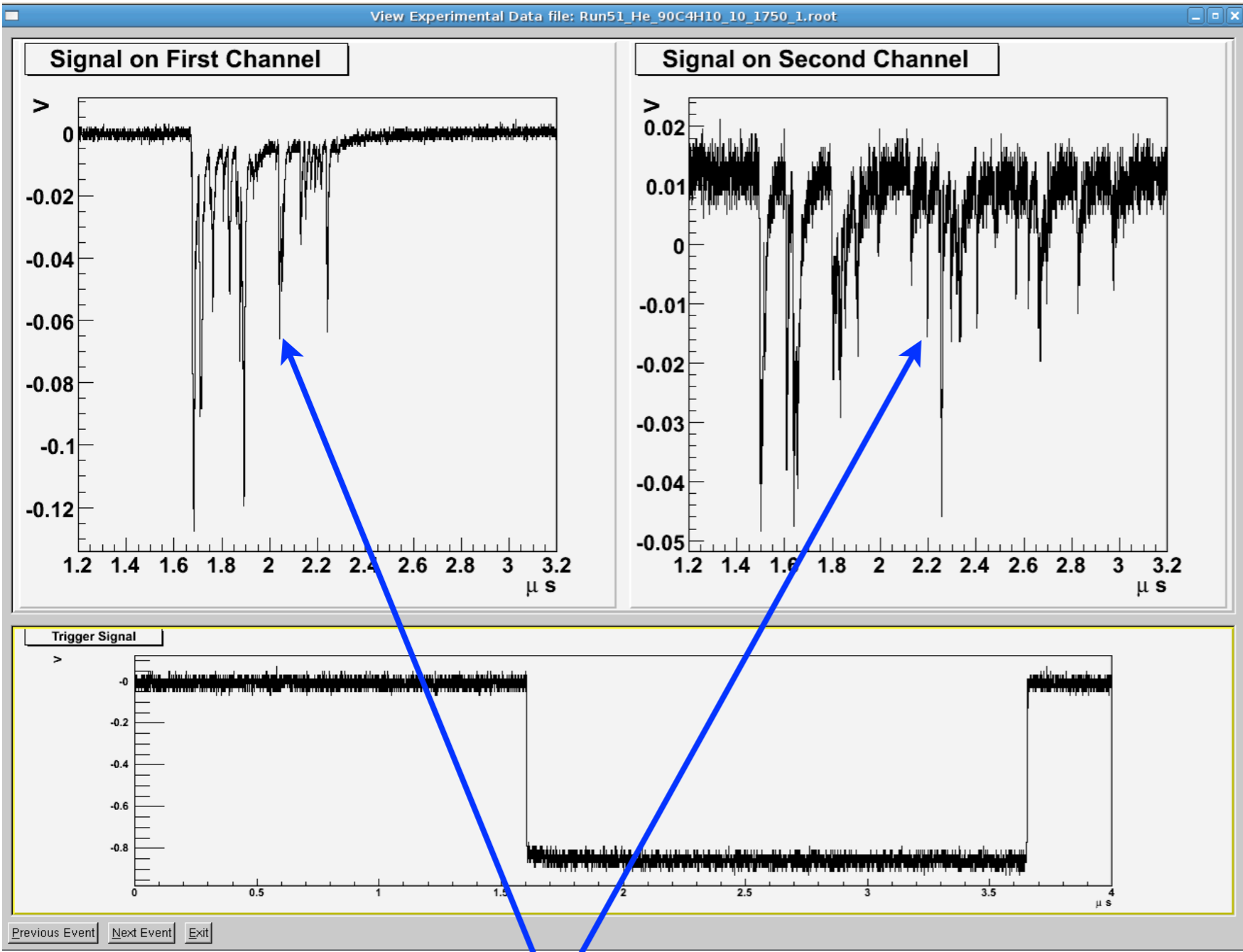
time-history differs for EM and hadronic objects

Distribution of pulse full-width at 1/5-maximum for electrons and pions



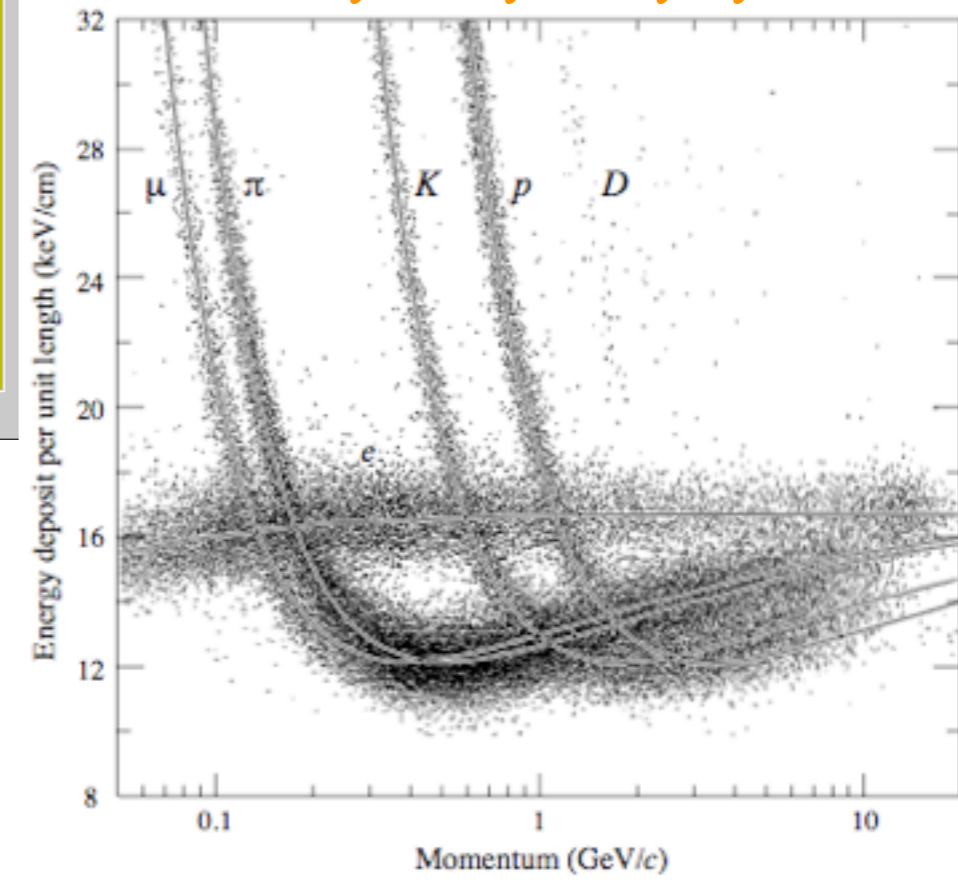
# $dE/dx$ by cluster-finding:

specific ionization resolution  $\sim 3\%$



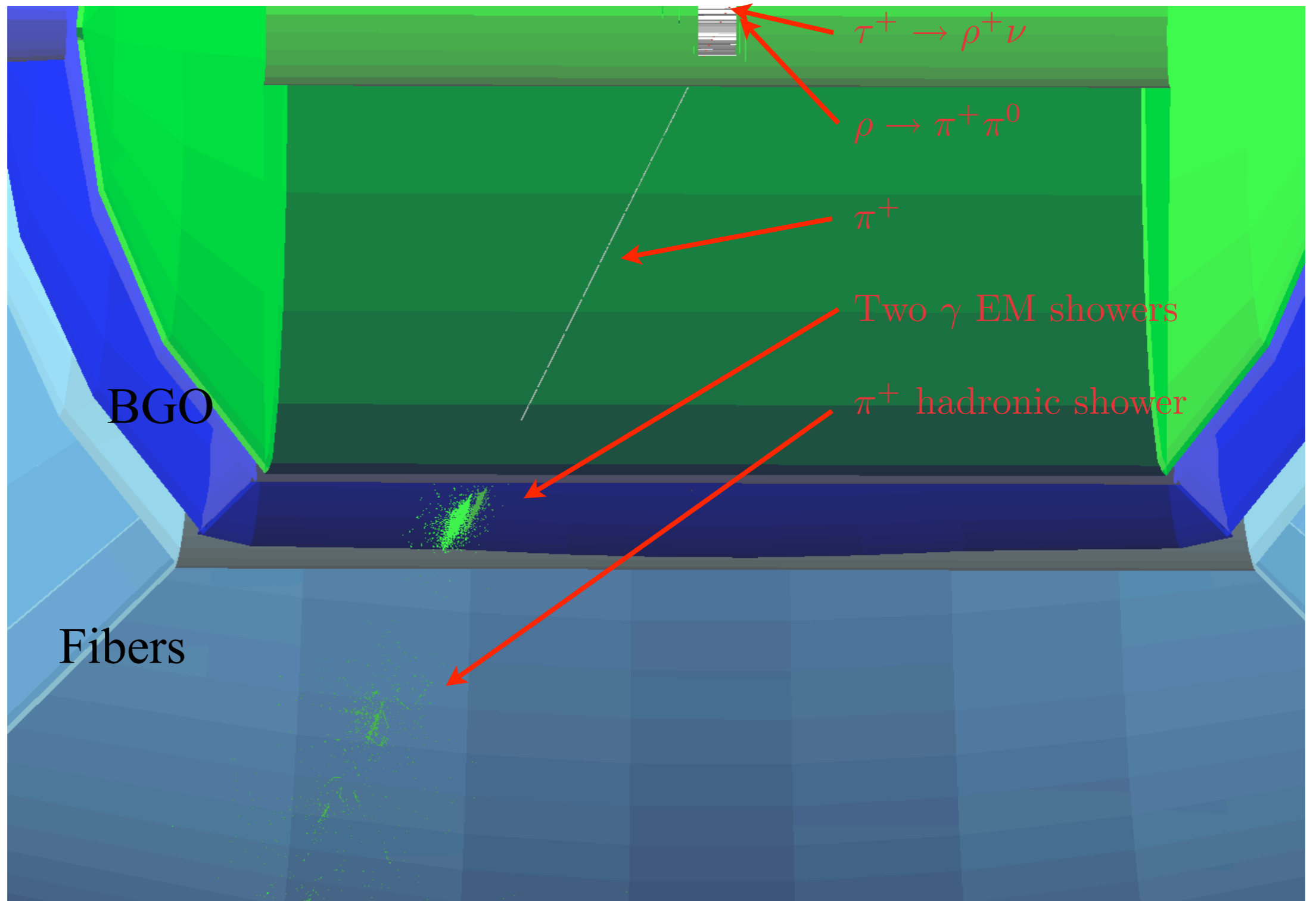
TPC with  $\sim 6\%$   
 $dE/dx$  resolution

This TPC built by Dave Nygren, LBL, in 1970's, analyzed by Gerry Lynch.



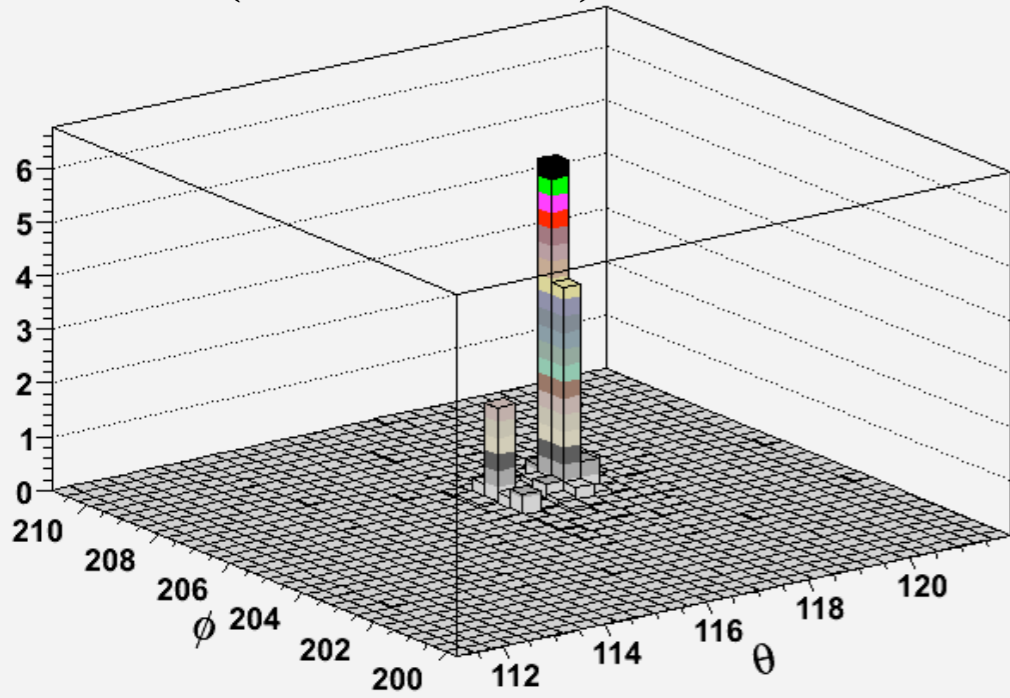
Measured CluCou clusters on two different wires: cluster count is Poisson (no Landau fluctuations), expect 3.5% measurement of specific ionization

# Tau ID with dual-readout

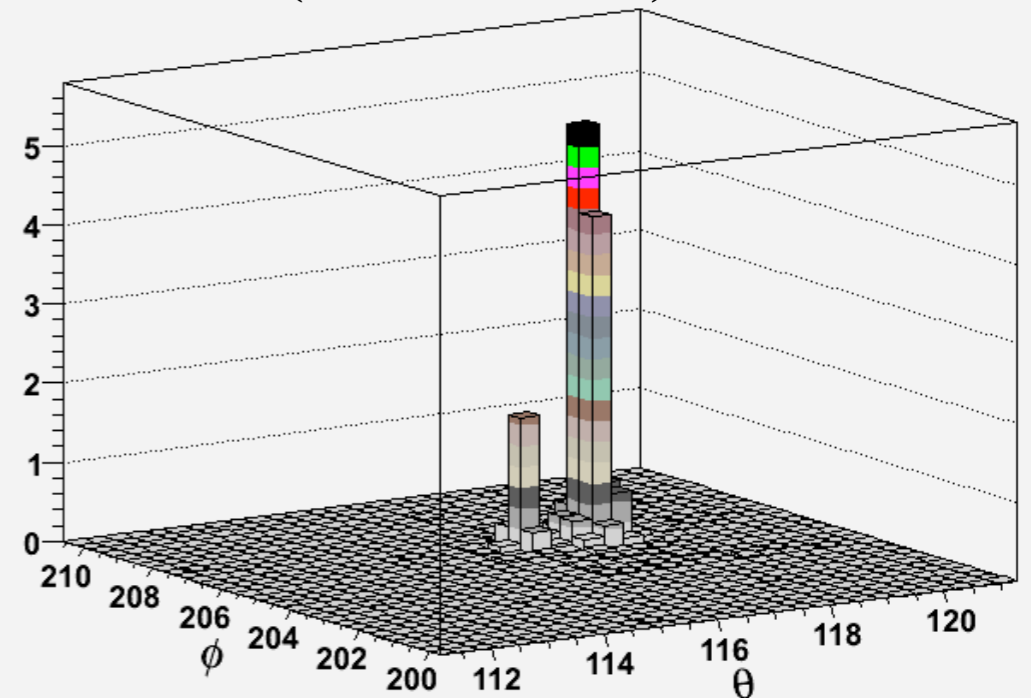


# Tau ID with dual-readout

Scint digits (S in BGO)



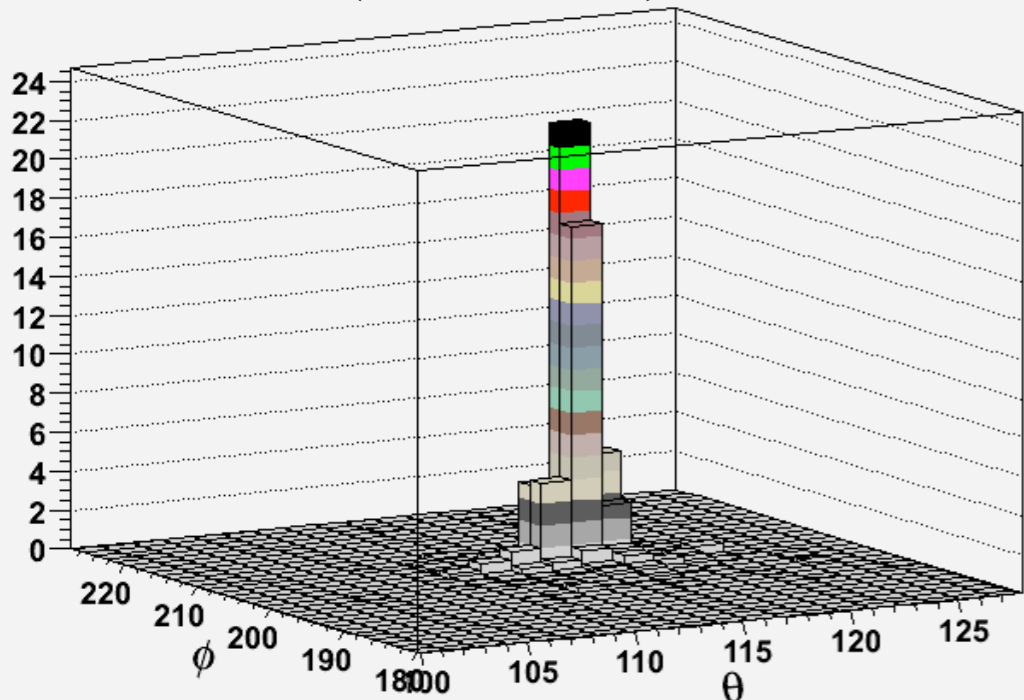
Cerenkov digits (C in BGO)



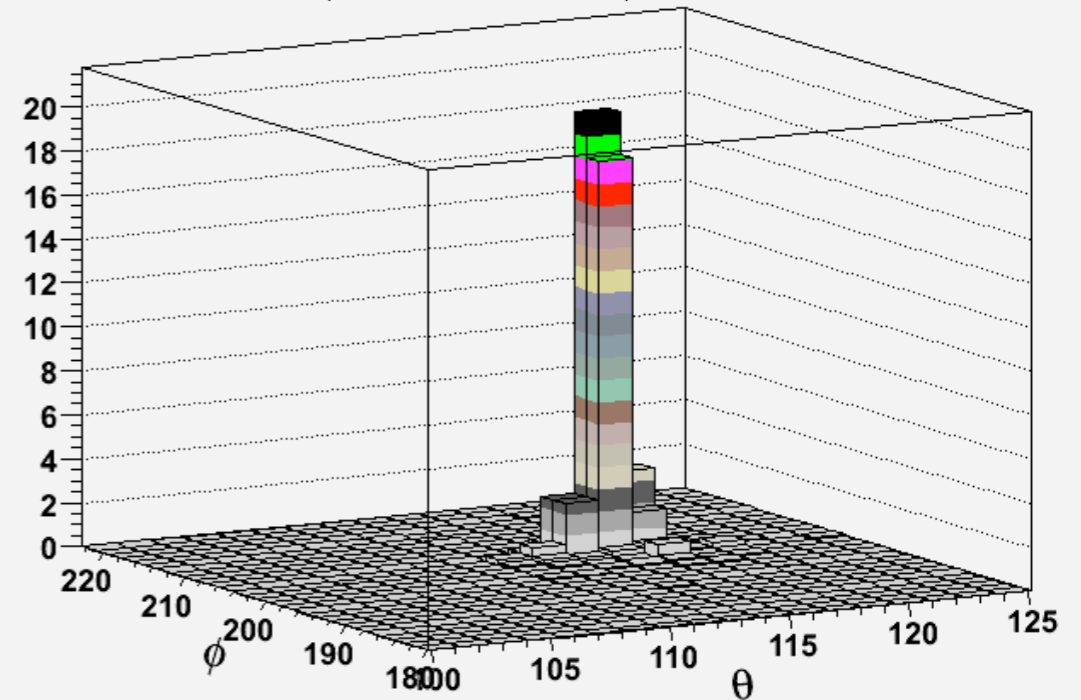
two  
clear  
photons

$S \sim C$   
(EM)

Scint digits (Fiber) (S Fibers)



Cerenkov digits (C Fibers)



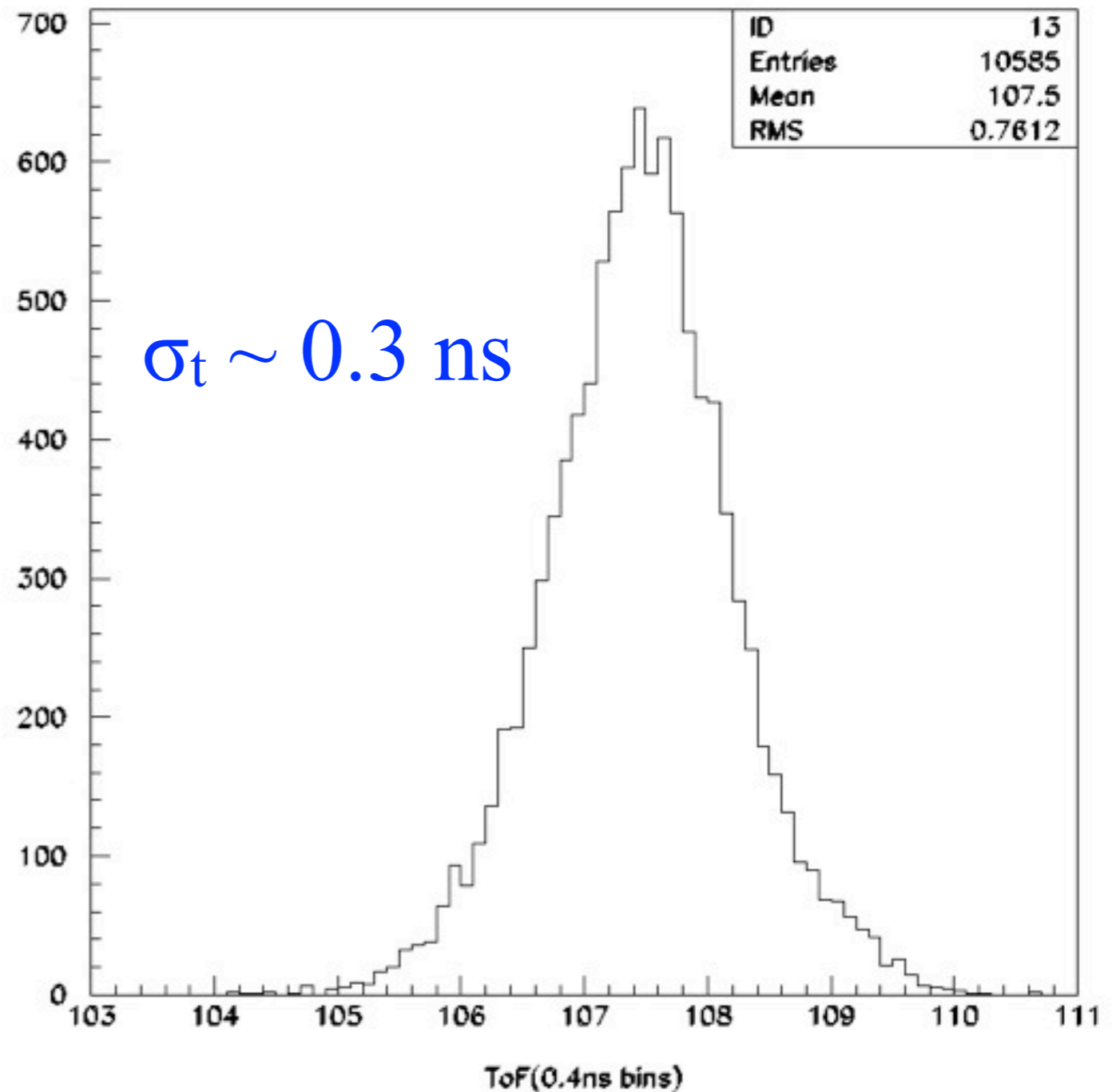
one  
clear  
shower

$S-C$   
fluctuates

# Time-of-flight with Cerenkov light in DREAM fibers

$e^-$  at 50 GeV  
fiber Cerenkov light  
 $\sigma_t \approx 0.30$  ns  
Usable for EM decays  
of massive long-lived  
objects (SUSY, etc.)

We should work on this:  
improve to 0.1ns:  $\Delta z = 2$  cm



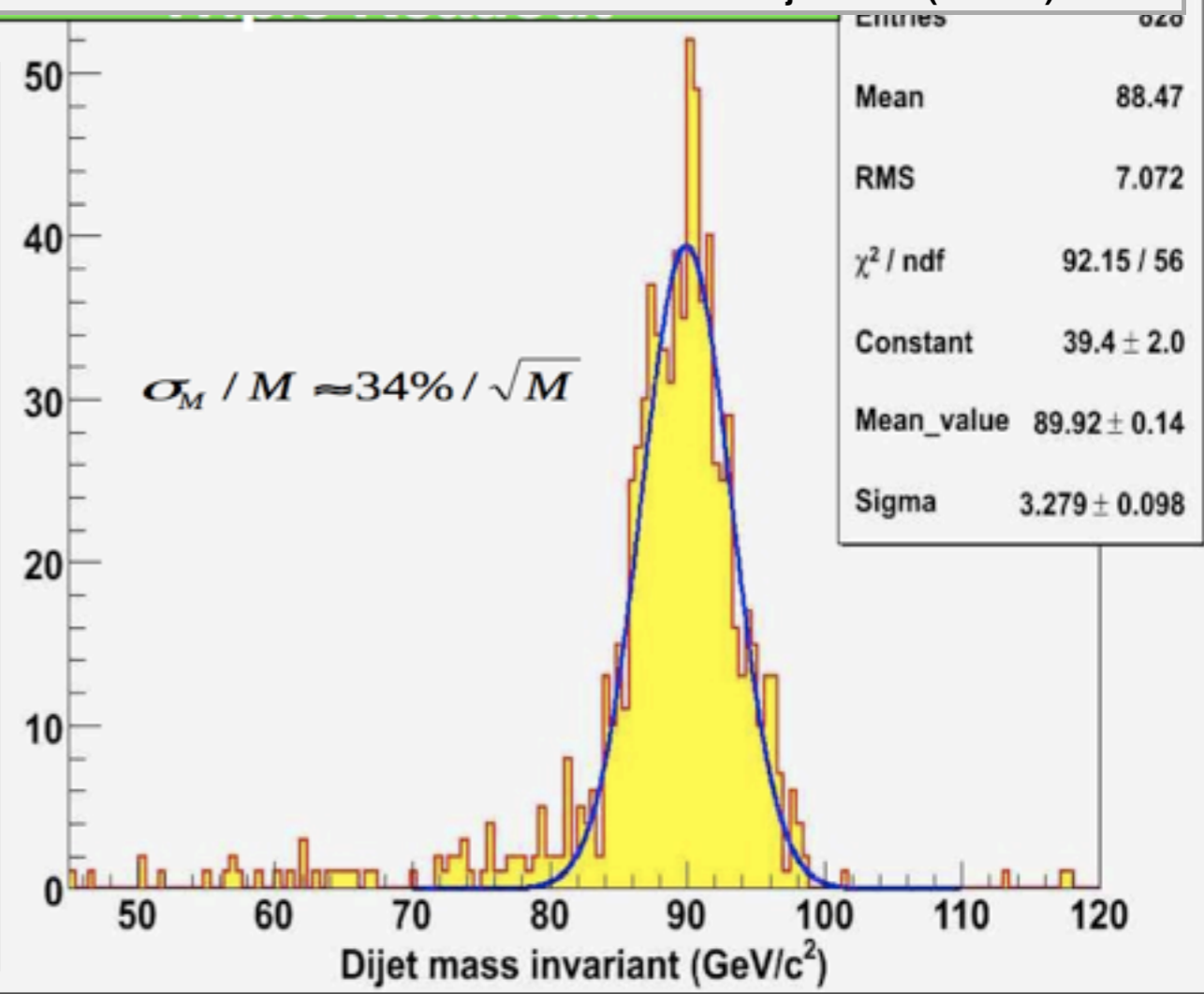
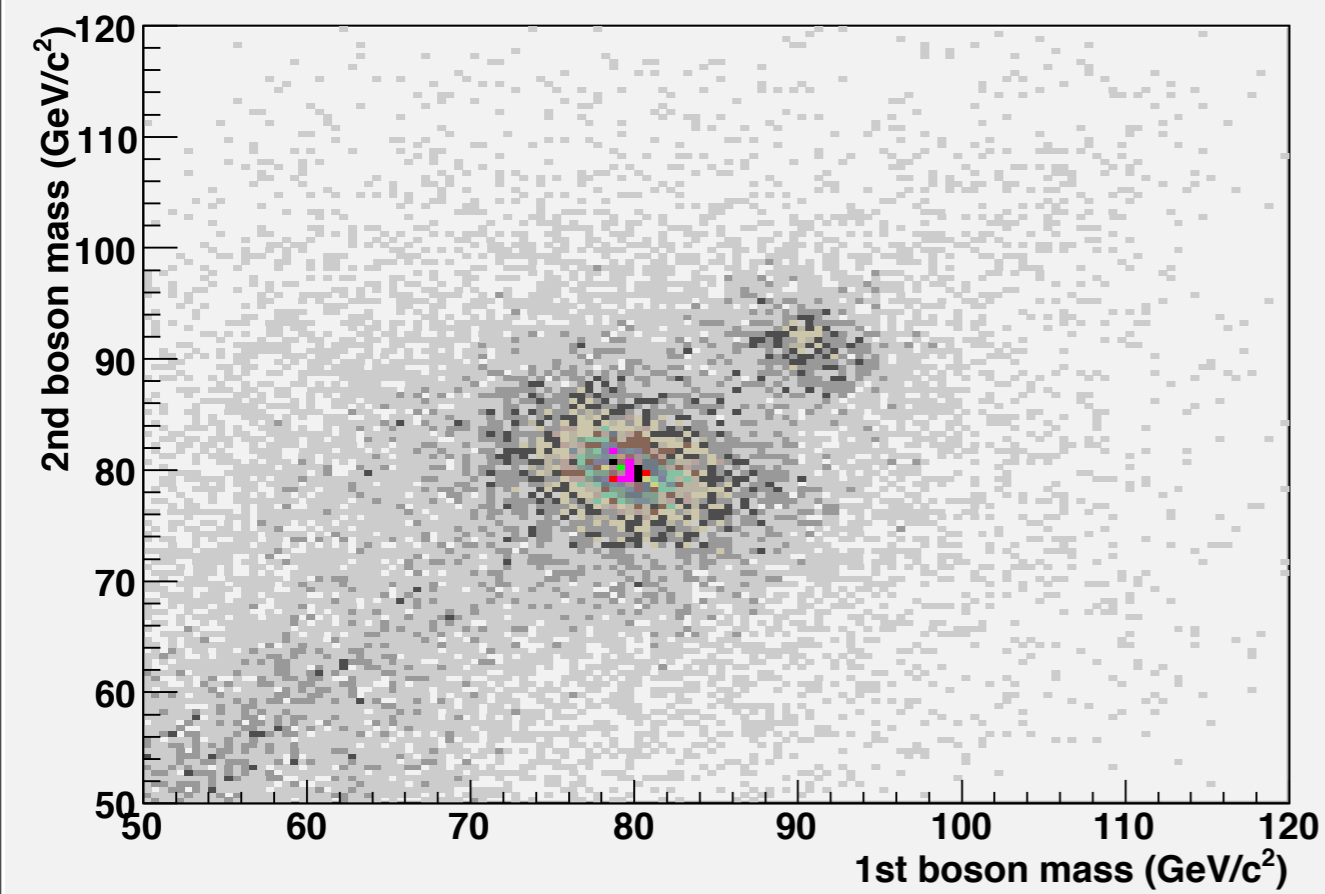
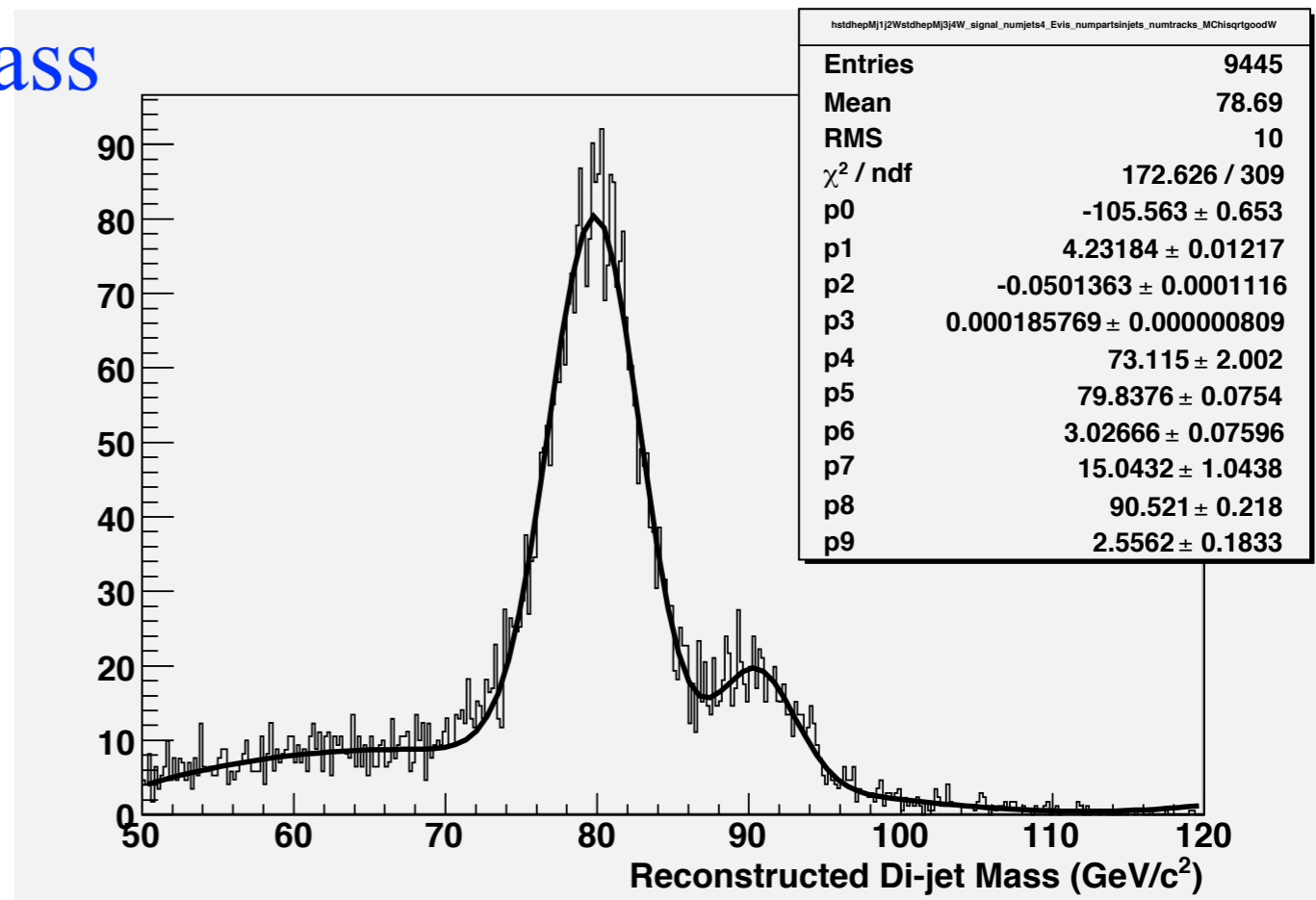
# Dual-readout: *jet-jet* invariant mass

$$W \rightarrow jj$$

$$Z \rightarrow jj$$

$$e^+e^- \rightarrow W^+W^-\nu\bar{\nu}$$

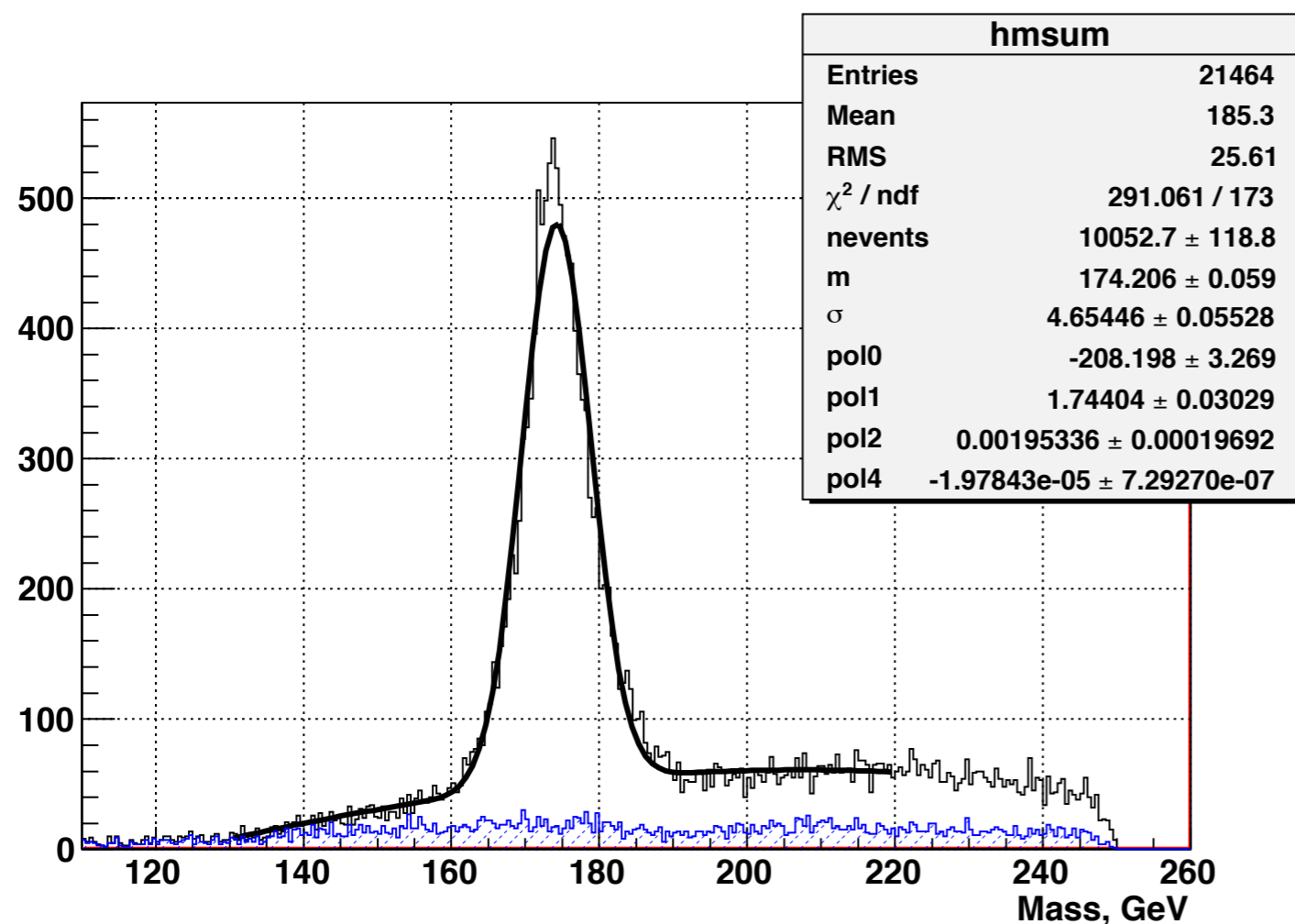
$$e^+e^- \rightarrow Z^0Z^0\nu\bar{\nu}$$





Dual-readout:

# 6-jet invariant mass. top fit results



Mass  $\sim 174.206 \pm 0.059$  GeV

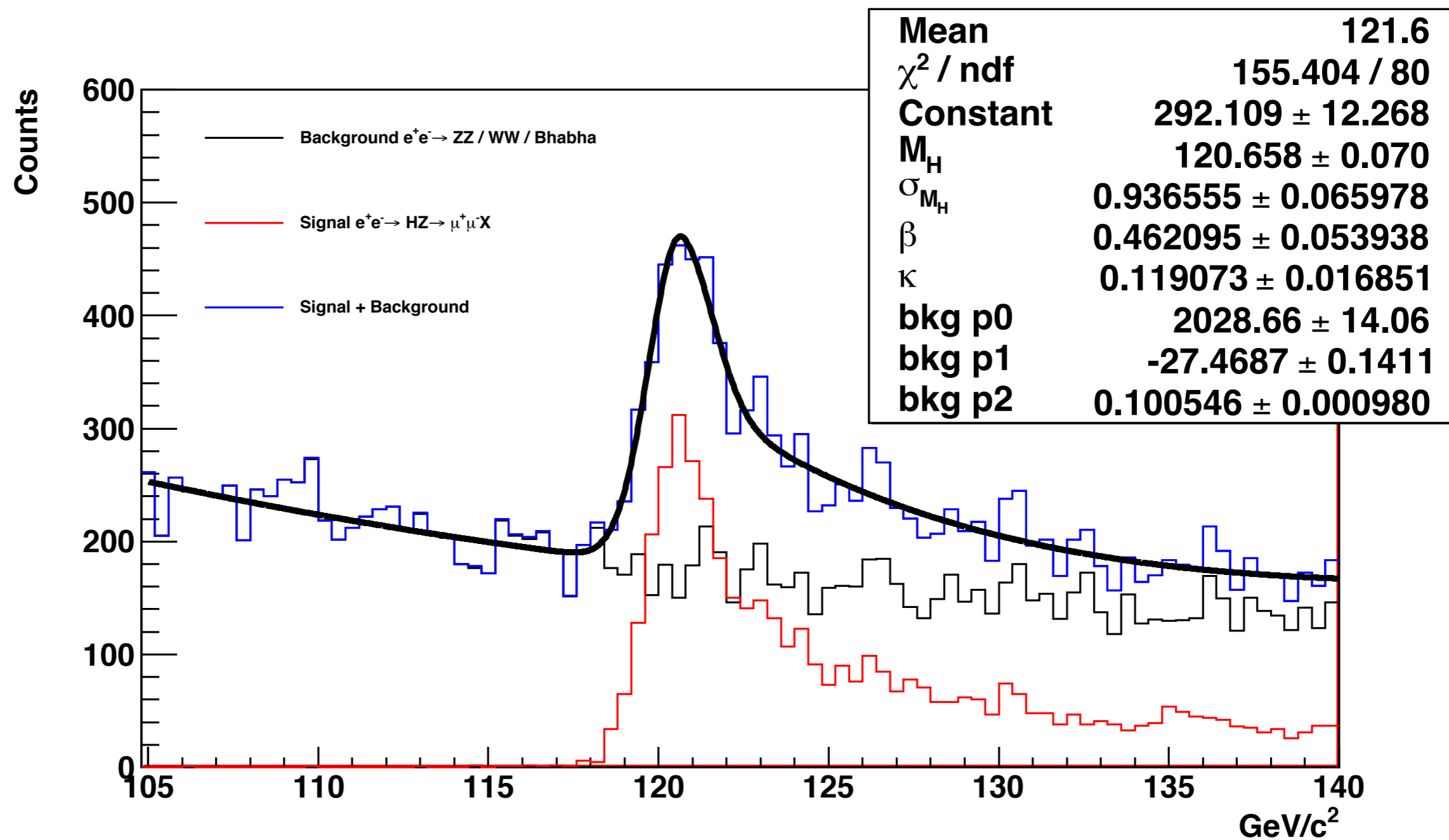
$\sigma_M \sim 4.65 \pm 0.055$  GeV

The experimental resolution of the mass 2.7% corresponds to

$$\sigma_M/M \sim 35\% / \sqrt{M}$$

which is very close to the expectations based on the DREAM dual-readout energy resolutions.

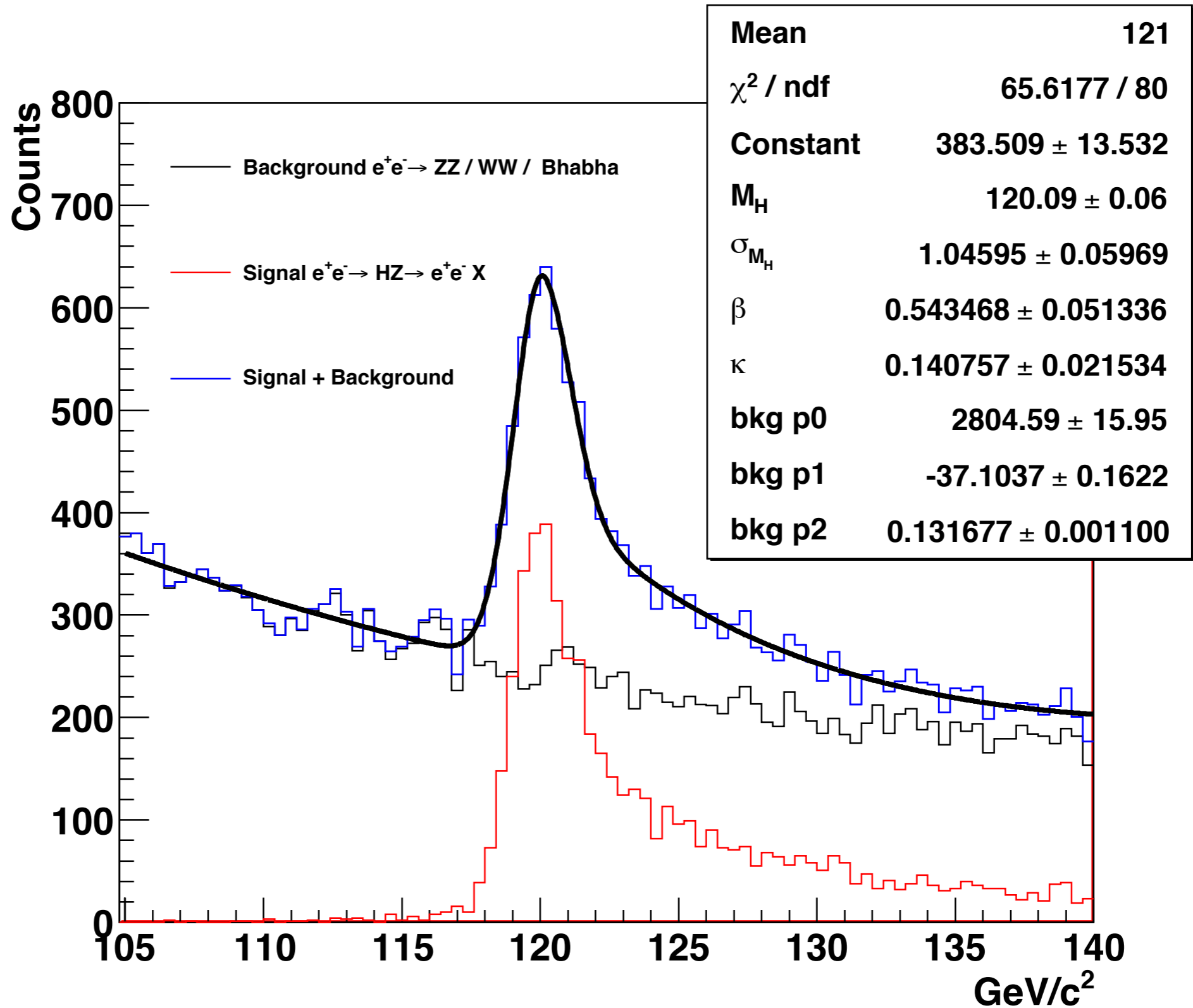
The overall top efficiency (probability to end up in the peak) is only 16% in this hurried study for the LoI. Needs more work on 6-jet selections and ... *b*-tagging.



# Dual-readout & CluCou tracker:

# $e^+e^-$ invariant mass

EM calorimeter really helps: sharper signal and lower backgrounds



# Summary

(1) Dual-readout calorimeters are rich in particle ID measurements

- *Leptons:  $e, \mu, \tau$  (dual-readout) & neutrino (subtraction)*
- *Quarks:  $u, d, s$  &  $t \rightarrow Wb$  (dual-readout)  $c, b$  (tagging)*
- *Bosons:  $H, W, Z$ , and gamma (dual-readout)*
- *Hadrons:  $\pi^0$  (by mass), charged  $\pi, K, p$  (by  $dE/dx$ )*

(2) Dual-readout calorimeters can be incorporated into  $4\pi$  detectors

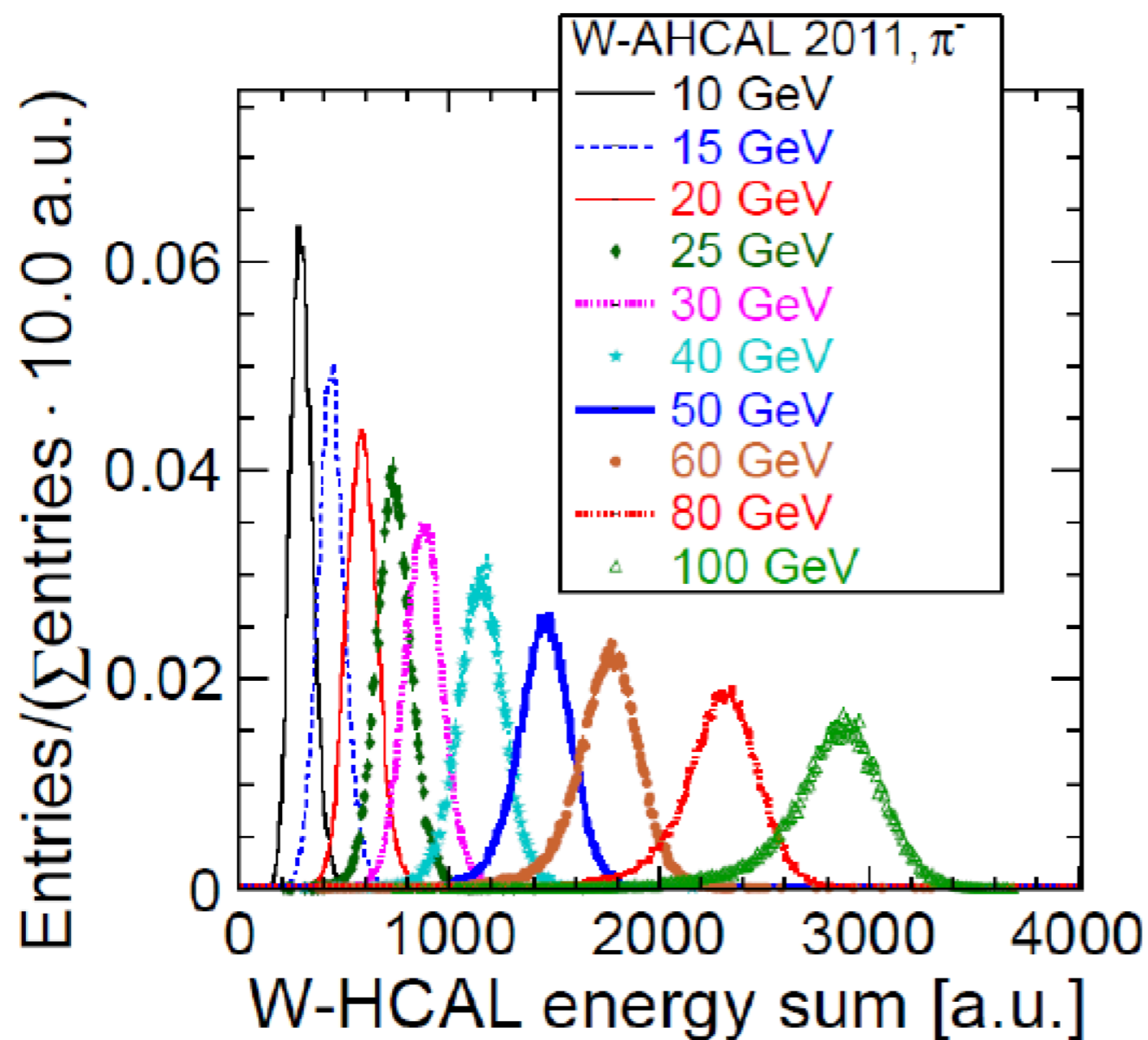
(3) Dual-readout calorimeters would do good physics

Extras and spares (9)

# Why I don't believe the CALICE calorimeters are going to work at a collider



## CALICE W-AHCAL Test Beam 2011



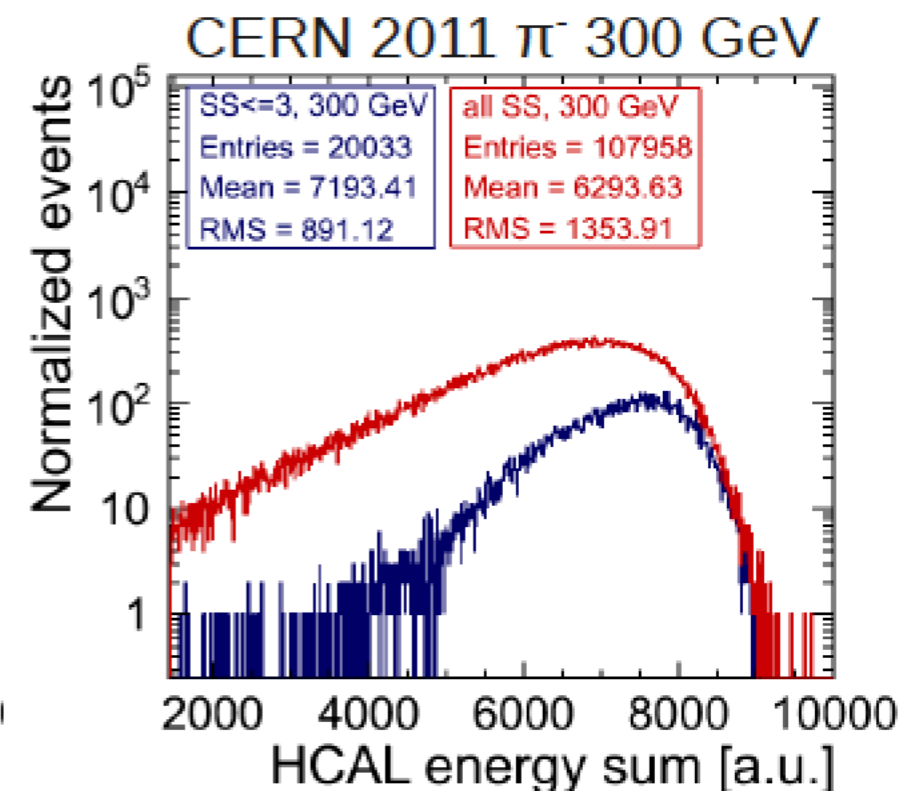
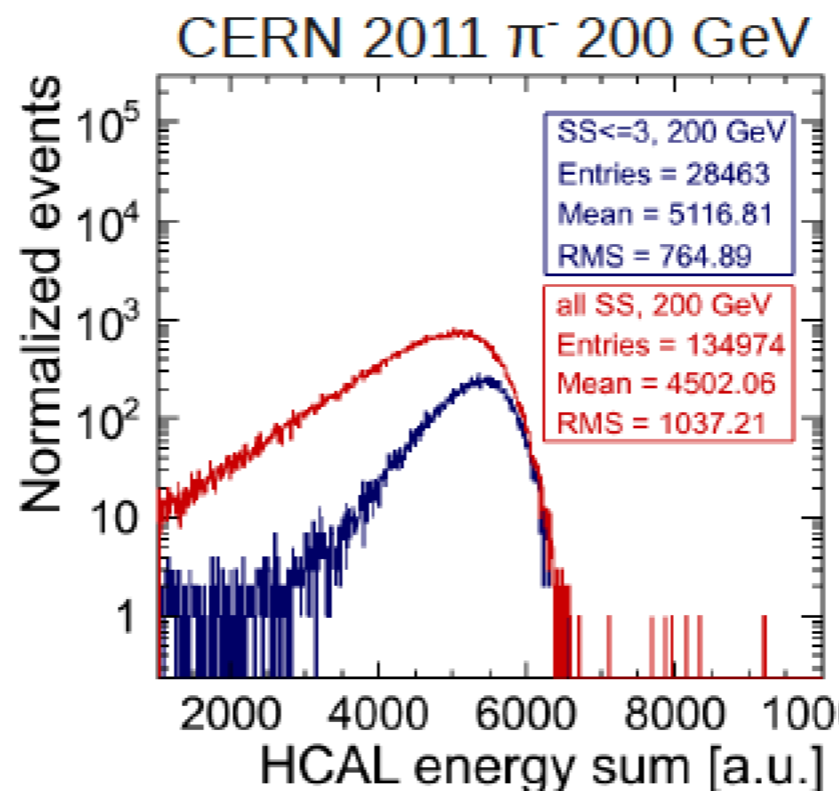
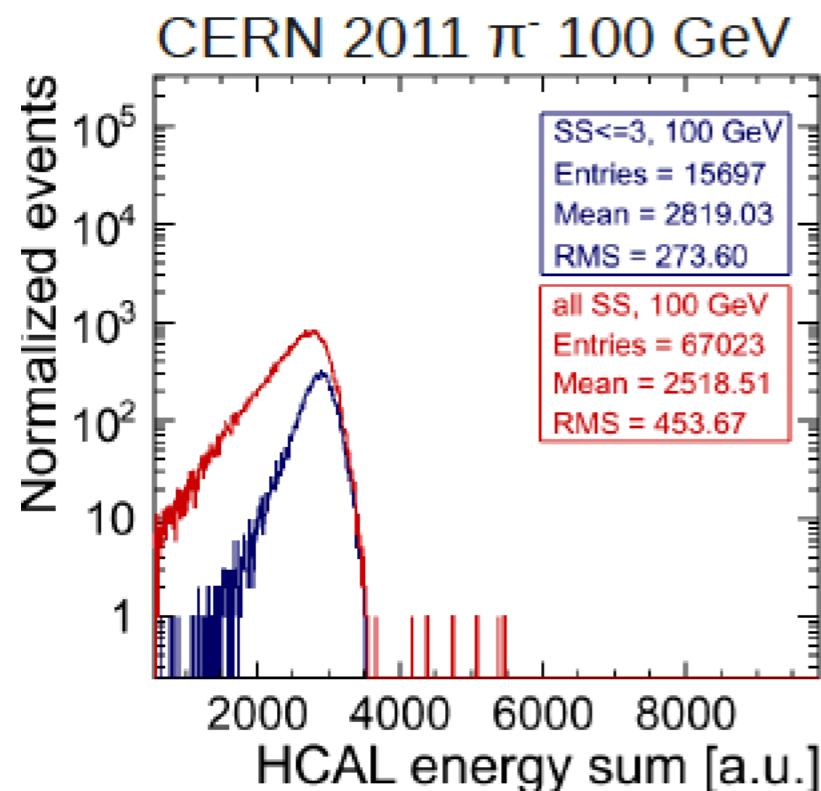
- W-AHCAL of 38 layers
- Only very small leakage effects are visible in W-AHCAL up to highest presented energies (here:  $p_{\text{beam}} \leq 100$  GeV)
  - Selected only those events in which the shower starts in the first three layers of the W-AHCAL
- Leakage effects for very high energies  $p_{\text{beam}} > 100$  GeV or for events with late shower start

LCD Note 2013-002



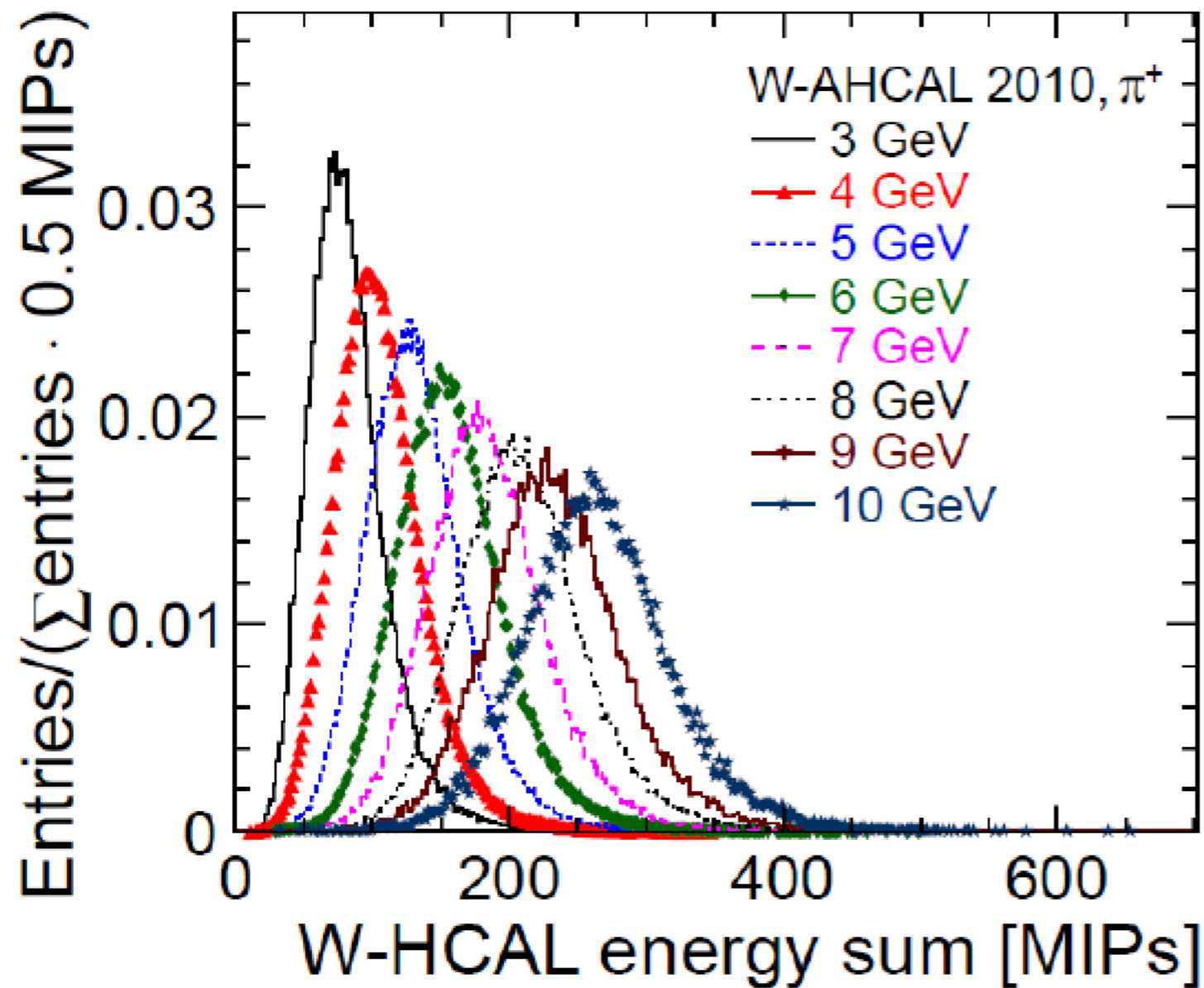
# W-AHCAL at High Energies

- Leakage effects grow
  - with increasing energy and
  - when accepting all showers no matter in which layer the shower starts
- W-AHCAL: Shower start  $\leq 3$
- W-AHCAL: All shower start layers





# CALICE W-AHCAL Test Beam 2010



- Test beam with W-AHCAL at CERN PS at energies from 1 to 10 GeV
- W-AHCAL of 30 layers
- Clear pion peak at all energies in HCAL-only
- By selection, shower fully contained in W-AHCAL
  - Select events with shower start in very first W-AHCAL layers ( $\leq 3$ )

CALICE Analysis Note 036

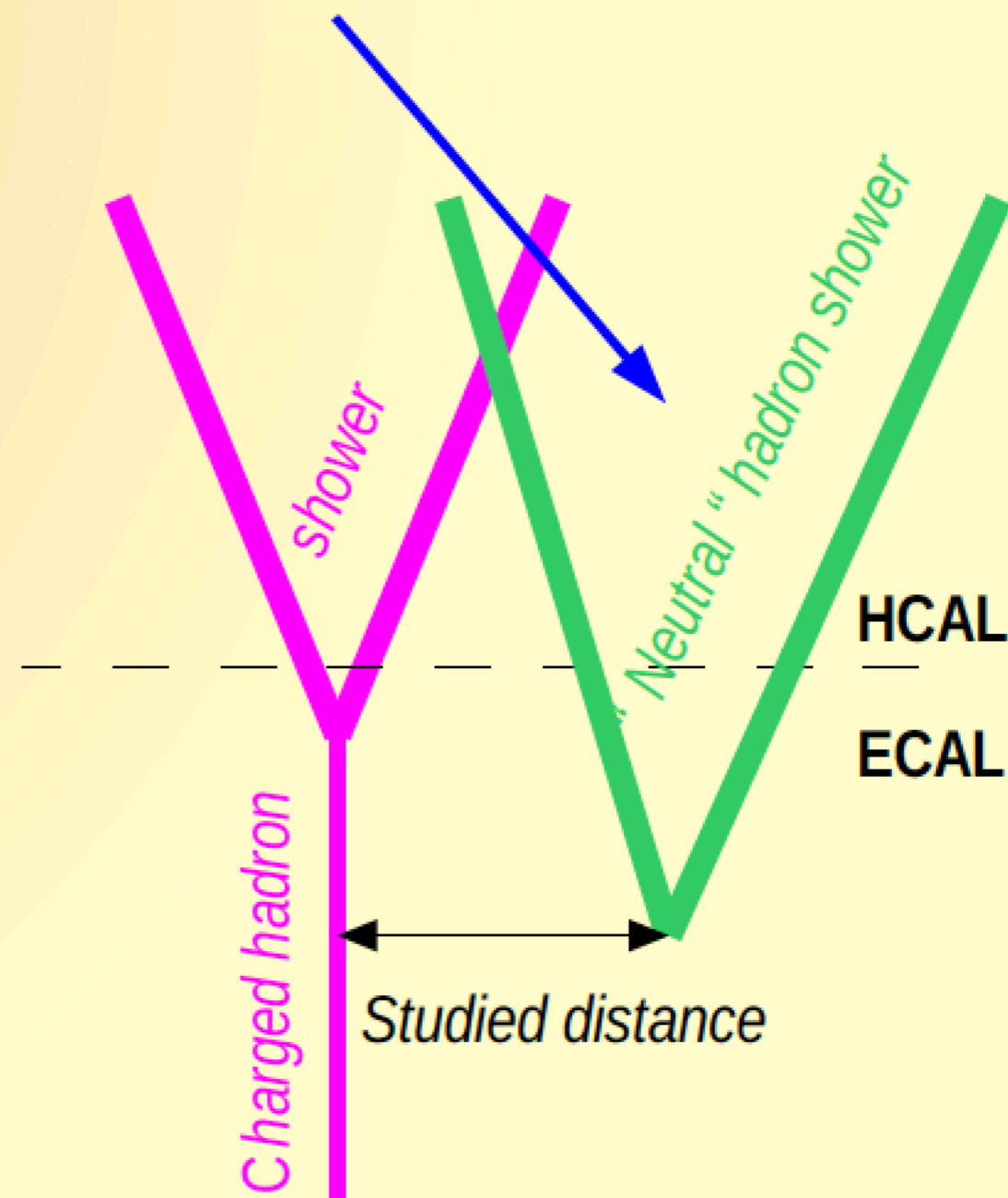
[https://edms.cern.ch/file/1224616/1/can\\_note\\_14June2012.pdf](https://edms.cern.ch/file/1224616/1/can_note_14June2012.pdf)





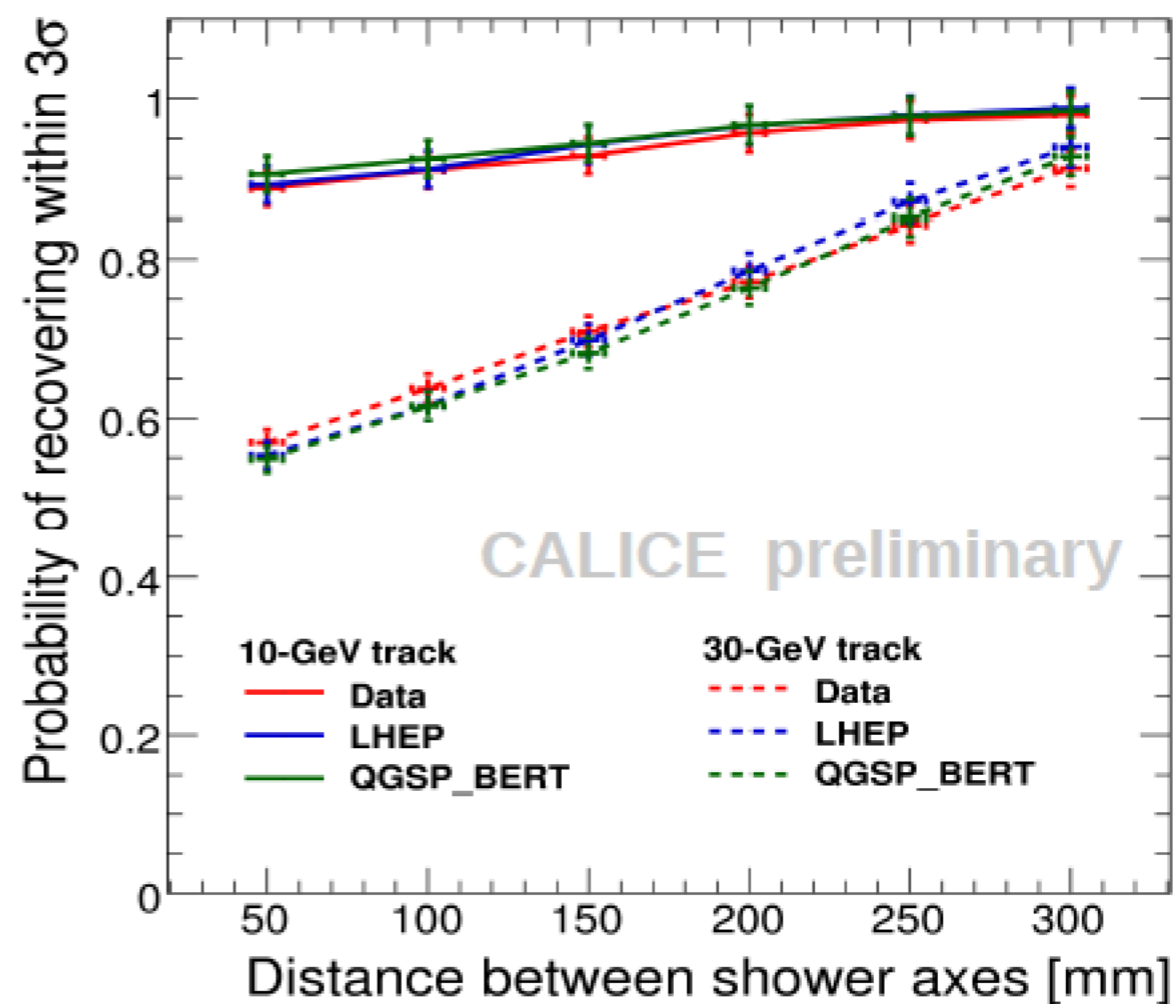
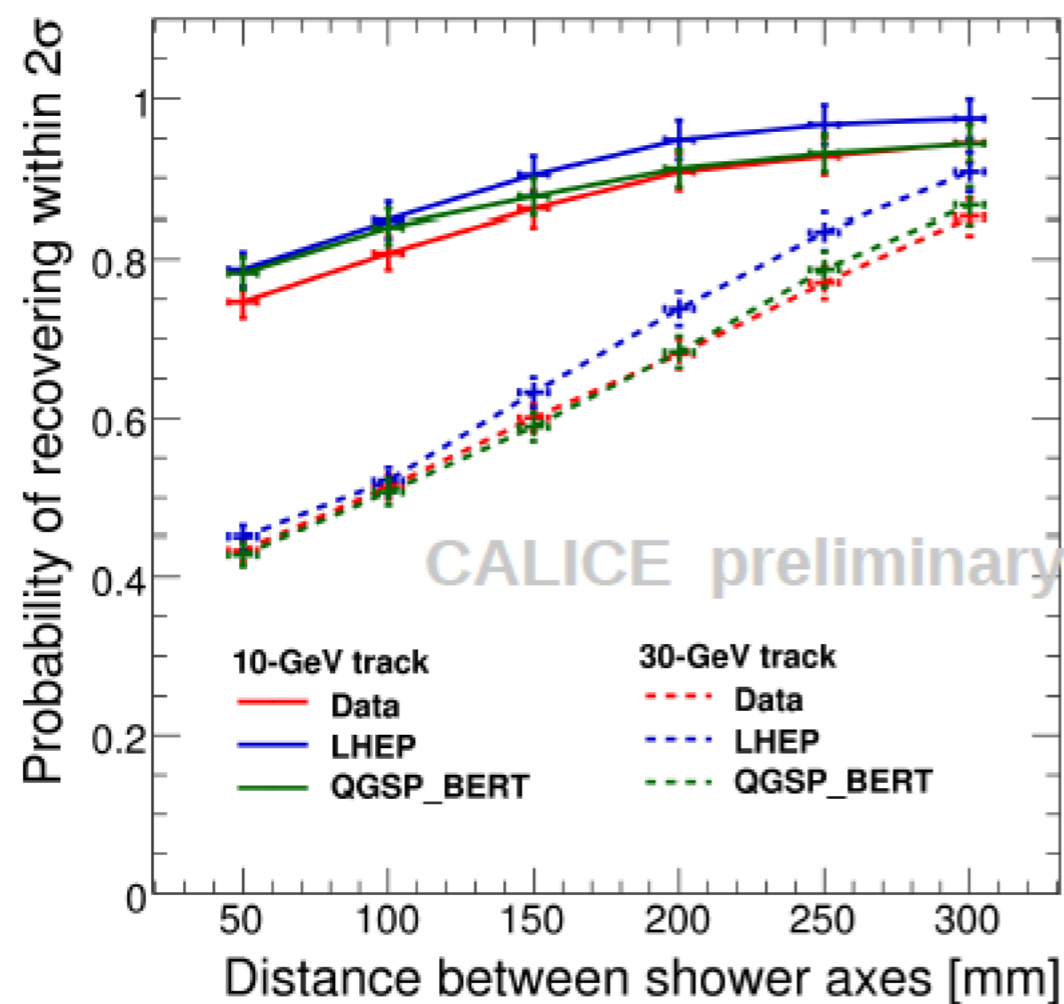
For one of the two events we move all hits at a studied transverse distance from their original position, keeping only hits placed behind the shower start. This event emulates a neutral hadron (e.g. neutron or  $K^0_L$ ) shower

- To test PandoraPFA, we overlaid two events from different runs
- We used showers with 95% energy containment in ECAL and HCAL
- Beam smearing was corrected: every event was moved to zero XY position before mixing
- We mapped hits of both events to the top octant of the Large Detector Concept (LDC) geometry
- The structure of the CALICE prototype and the LDC are reasonably similar, the existing difference does not simplify the task for the program to disentangle showers
- We studied distances between showers from 5 cm to 30 cm, typical for a 100 GeV jet

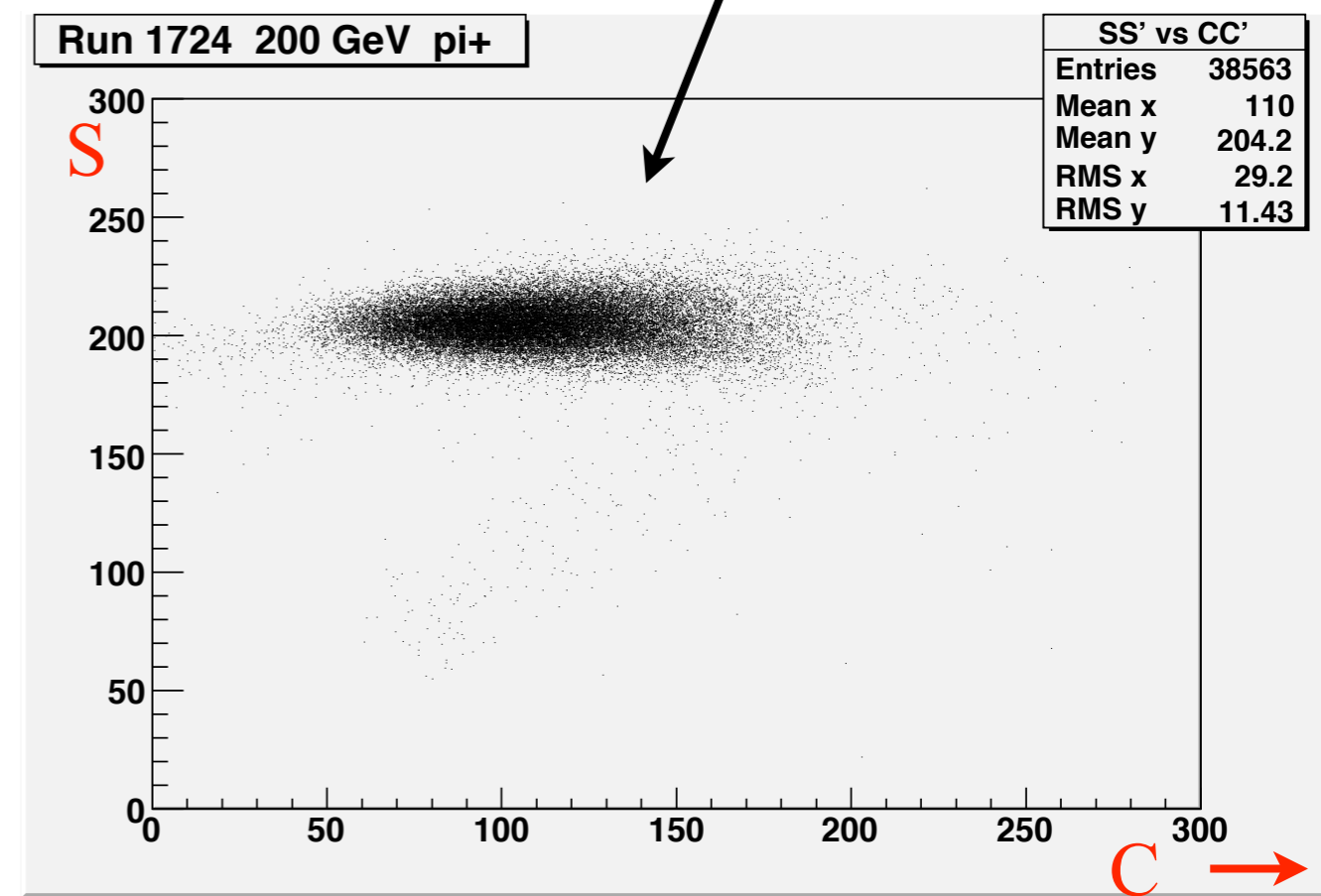
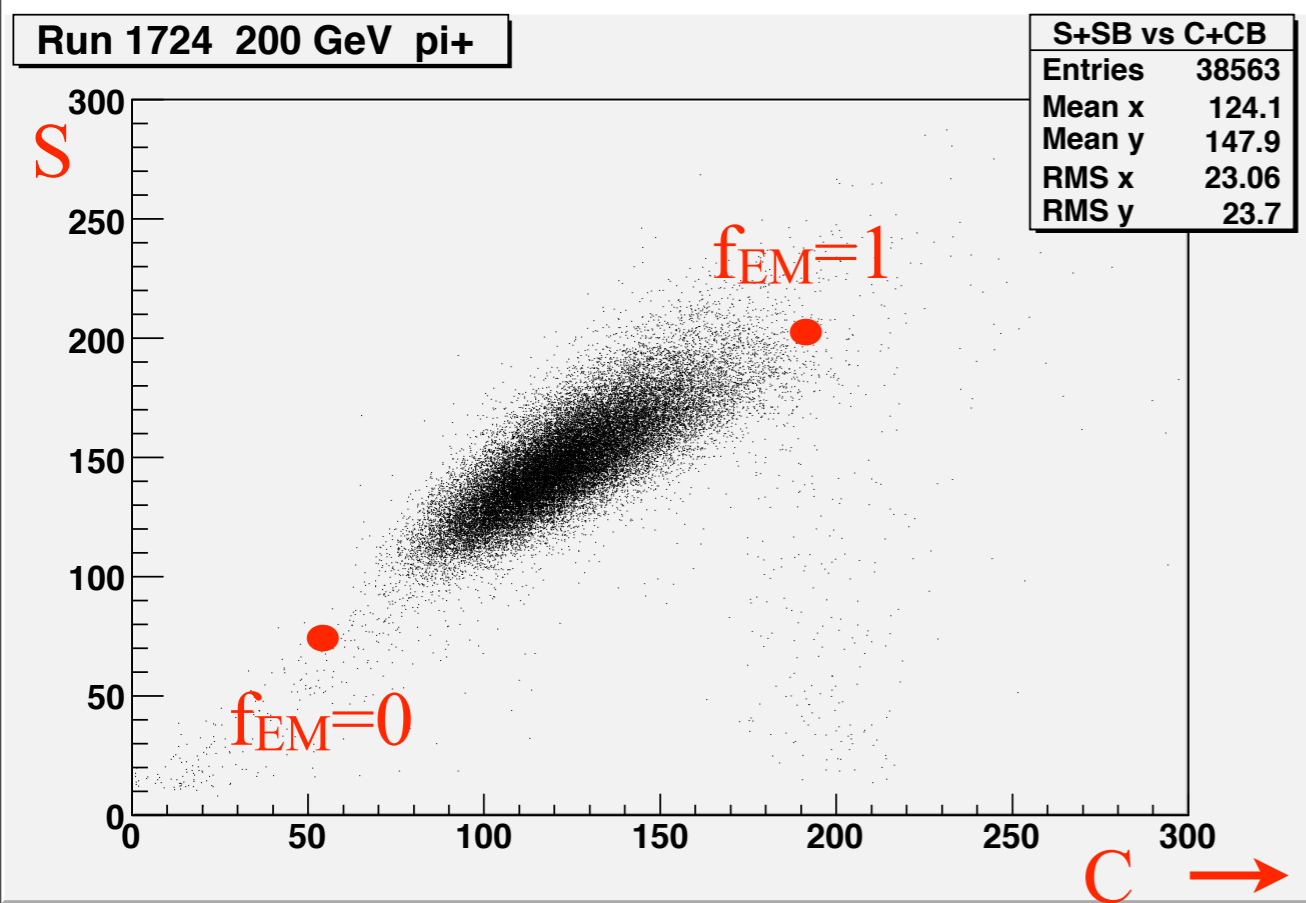




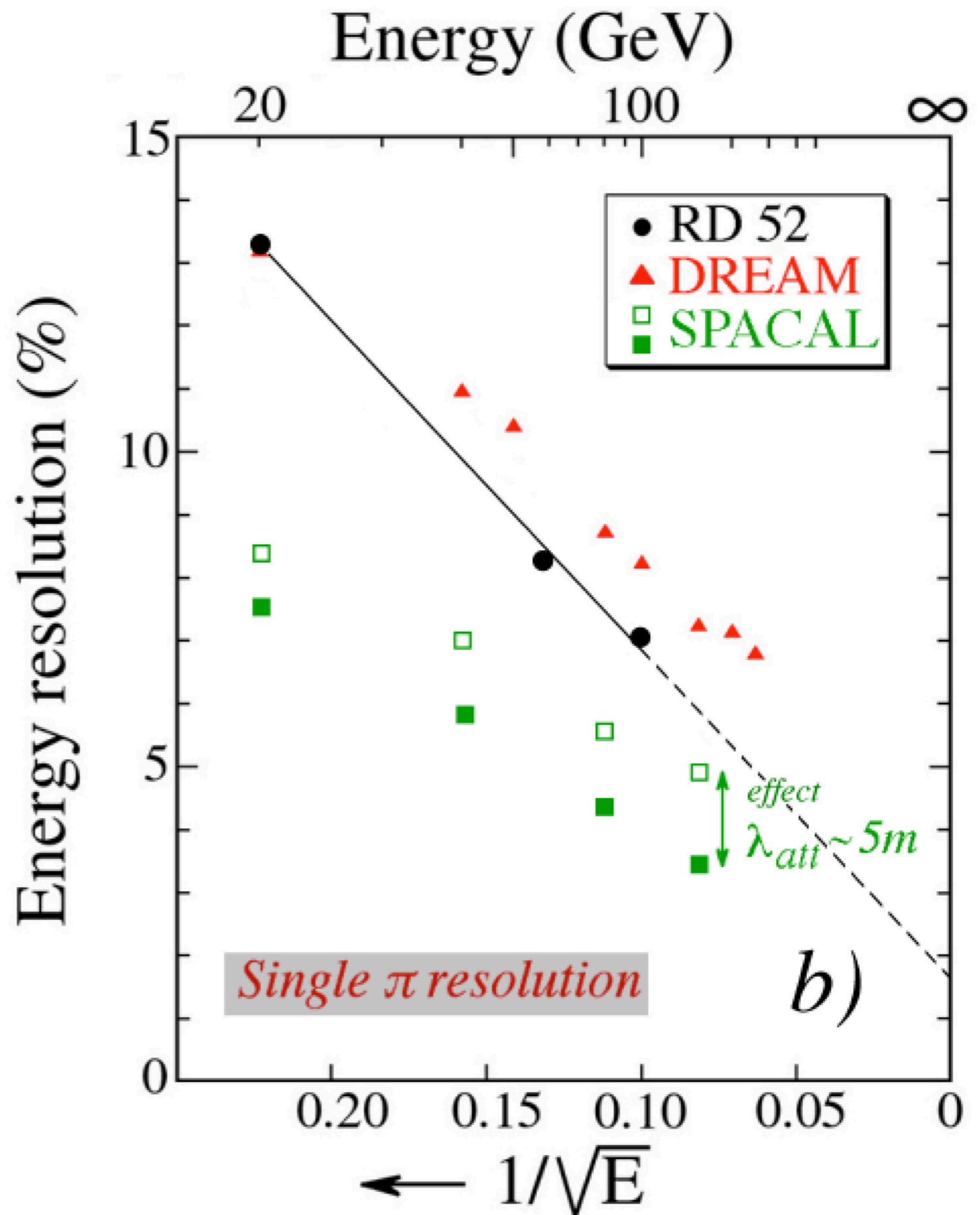
The probability to recover the 10 GeV neutral hadron energy within 2 (left) and 3 (right) standard deviations from its real energy versus the distance from the charged 10 GeV (continuous line) and 30 GeV (dashed line) pion for test beam data (red) and for both LHEP (blue) and QGSP\_BERT (green) physics lists



Dual-readout in the **BGO+DREAM** configuration for 200 GeV  $\pi^+$ . Measuring C allows a simple rotation of this figure, which achieves “compensation”.

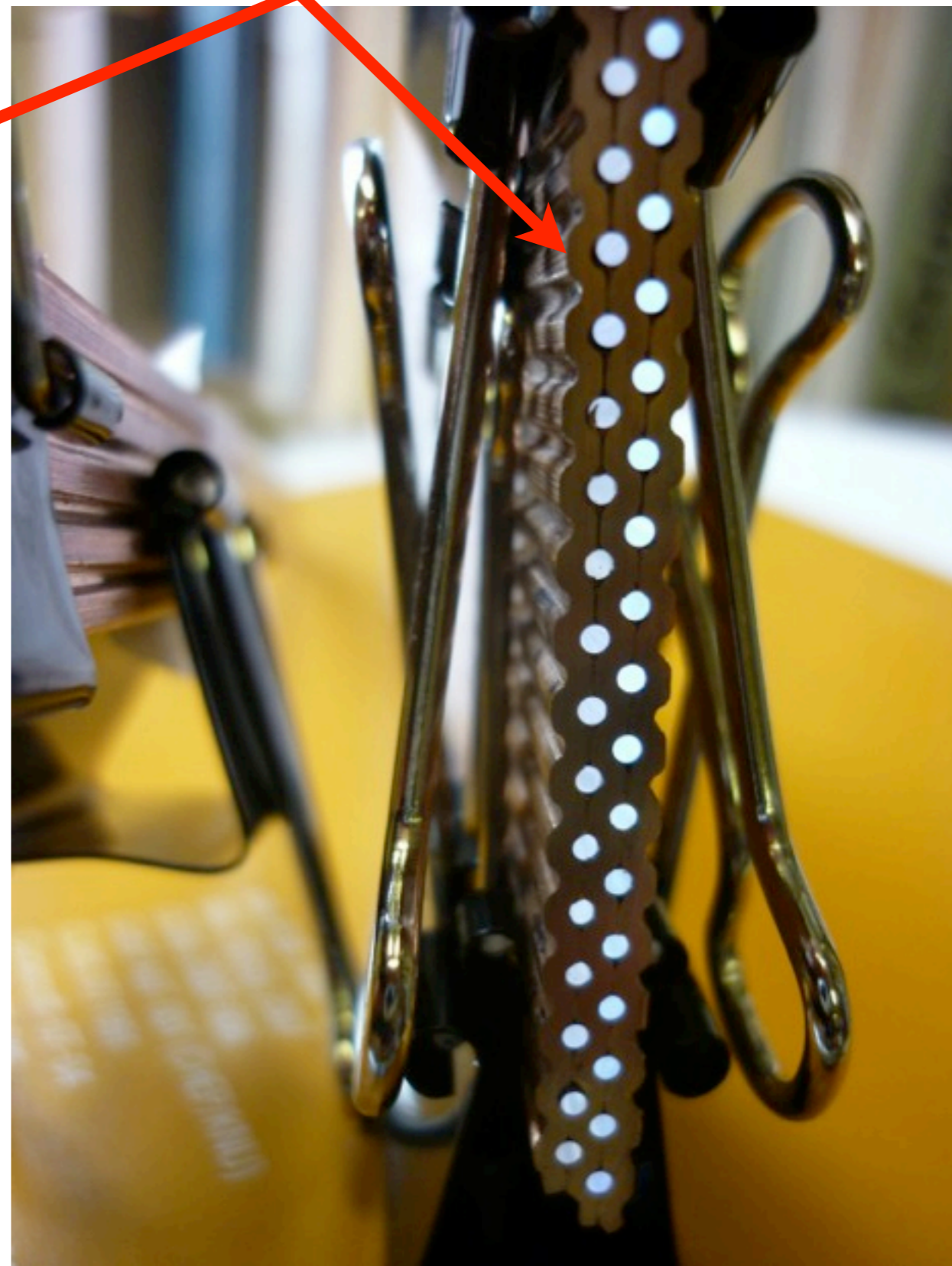


Why 1-2%  
hadron energy  
resolution at  
high energies is  
foreseen



# Cu absorber with dual-readout

Pure Cu, Cu + Zn(10%)



# Geometry

## From rectilinear module to $4\pi$ detector:

RD1, M. Livan, CERN-PPE/93-22 (Feb. 1993)

