### Cetacean echolocation and outer space neutrinos

School of Ethology - Ettore Majorana Centre Erice, Sicily — October 18-21 2013

## Marine Mammal Bioacoustics: An overview of sound production and hearing in pinnipeds and cetaceans

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University of California, Santa Cruz Long Marine Laboratory, Santa Cruz, CA



Duke University Marine Laboratory Beaufort, NC

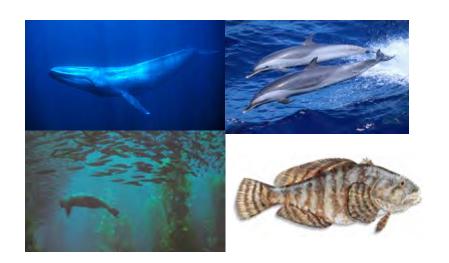




### **Marine Bioacoustics and Noise**

The ocean is a naturally noisy place





Marine animals produce sound and listen for acoustic cues critical in their life history

Humans produce underwater sound either *intentionally* or *incidentally* 



### Lecture Objectives

- 1) Overview of Marine Mammal Bioacoustics
- 2) Introduction to Human Noise Impacts
- 3) Scientific and Conservation Reasons to Listen with Ocean Observing Systems

### Who are the Marine Mammals?



Seals, Sea Lions, Walruses "Pinnipeds"









Dolphins and Porpoises "Toothed Whales"





Great Whales "Baleen Whales"





Manatees Dugongs

Sea Otters Polar Bears





### Marine Mammal Species

**Family Monodontidae** 

Beluga

### Order CARNIVORA Family Otariidae (sea lions, fur seals) Cape fur seal Antarctic fur seal Subantarctic fur seal Guadalupe fur seal New Zealand fur seal South American fur seal Galapagos fur seal Juan Fernandez fur seal Northern fur seal California/Galapagos sea lion Steller's sea lion Australian sea lion Hooker's sea lion South American sea lion Family Odobenidae (walrus) Walrus Family Phocidae (seals) Bearded seal Harbour seal Spotted seal Ringed seal Caspian seal Baikal seal Grey seal Ribbon seal Harp seal Hooded seal Mediterranean monk seal Hawaiian monk seal Southern elephant seal Northern elephant seal Weddell seal Ross seal Crabeater seal Leopard seal Family Mustelidae (weasels and otters) Sea otter Marine otter Family Ursidae (bears) Polar bear

```
Order CETECEA
Suborder ODONTOCETI
Family Physeteridae
        Sperm whale
Family Kogiidae
        Pygmy sperm whale
        Dwarf sperm whalle
Family Ziphiidae (beaked whales)
        Cuvier's beaked whale
        Arnoux's beaked whale
        Baird's beaked whale
        Shepard's beaked whale
        Longman's beaked whale
        Southern bottlenose whale
        Northern bottlenose whale
        Hector's beaked whale
        True's beaked whale
        Gervais' beaked whale
        Sowerby's beaked whale
        Gray's beaked whale
        Pygmy beaked whale
        Andrews' beaked whale
        Spade-toothed whale
        Hubbs' beaked whale
        Ginko-toothed whale
        Steineger's beaked whale
        Strap-toothed whale
        Blainville's beaked whale
Family Plantistidae
        South Asian river dolphin
Family Iniidae
        Amazon river dolphin
Family Lipotidae
```

Chinese river dolphin

La Plata dolphin

Family Pontoporiidae

```
Narwhale
Family Delphinidae (dolphins)
         Commerson's dolphin
         Chilean dolphin
         Heaviside's dolphin
         Hector's dolphin
         Rough-toothed dolphin
         Atlantic humpbacked dolphin
         Indian humpbacked dolphin
         Pacific humpbacked dolphin
         Tucuxi
         Common bottlenose dolphin
         Indian Ocean bottlenose dolphin
         Pan-tropical spotted dolphin
         Atlantic spotted dolphin
         Spinner dolphin
         Clymene dolphin
         Striped dolphin
         Short-beaked common dolphin
         Long-beaked common dolphin
         Fraser's dolphin
         White-beaked dolphin
         Atlantic white-sided dolphin
         Pacific white-sided dolphin
         Dusky dolphin
         Peale's dolphin
         Hourglass dolphin
         Northern right whale dolphin
         Southern right whale dolphin
         Risso's dolphin
         Melon-headed whale
         Pygmy killer whale
         False killer whale
         Killer whale
         Long-finned pilot whale
         Short-finned pilot whale
         Irrawaddy dolphin
```

```
Family Phocoenidae (porpoises)
        Finless porpoise
        Harbour porpoise
        Vaquita
        Burmeister's porpoise
        Spectacled porpoise
        Dall's porpoise
Suborder MYSTICETI
Family Balaenidae (right whales)
        North Atlantic right whale
        North Pacific right whale
        Southern right whale
        Bowhead whale
Family Neobalaenidae
         Pygmy right whale
Family Balaenopteridae (roquals)
        Humpback whale
        Northern minke whale
        Antarctic minke whale
        Pygmy Bryde's whale
        Bryde's whale
        Sei Whales
        Fin whale
        Blue whale
Family Eschrichtiidae
        Grey whale
```

### **Order SIRENA**

Family Trichechidae (manatees)
West Indian manatee
West African manatee
Amazonian manatee
Family Dugongidae (dugongs)
Dugong

### **Characterizing Marine Mammal Bioacoustics**

### **Vocal Behavior**







**Behavioral Methods: Trained animals** 

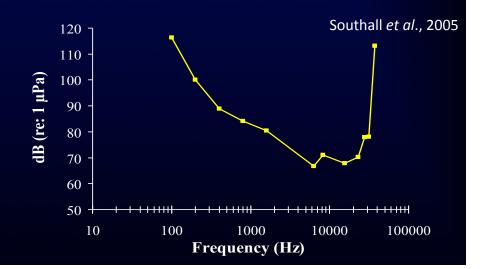
Hearing



Biomechanical Modeling Methods:

**Anatomical (see: Ketten poster)** 

Electrophysiological Methods: (no training)



### Marine Mammal Species

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Family Otariidae (sea lions, fur seals) Ariidae (sea lions, fur seals Cape fur seal Antarctic fur seal Subantarctic fur seal Guadalupe fur seal New Zealand fur seal South American fur seal Galapagos fur seal Juan Fernandez fur seal Northern fur seal California/Galapa Steller's sea lion Australian sea lion Hooker's sea lion South American sea lion

Family Odobenidae (walrus)

Family Phocidae (seals)

Bearded seal

Spotted seal

Caspian seal

Baikal seal Grey seal

Ribbon seal Harp seal Hooded seal

Mediterranean monk seal

Hawaiian monk seal Southern elephant seal Northern ele

Weddell seal Ross seal

Crabeater seal Leopard seal

Family Mustelidae (weasels and otters)

Marine otter

Family Ursidae (bears) Polar bear

### **Order CETECEA Suborder ODONTOCETI**

Family Physeteridae

Sperm whale

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Pygmy sperm whale **Dwarf sperm whalle** 

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Hector's beaked whale True's beaked whale

Gervais' beaked whale Sowerby's beaked whale

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**Family Lipotidae** 

Chinese river dolphin

Family Pontoporiidae

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Beluga

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Heaviside's dolphin

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Rough-toothed dolphin

Atlantic humpbacked dolphin

Indian humpbacked dolphin Pacific humpbacked dolphin

**Tucuxi** 

### Common bottlenose dolphin

Indian Ocean bottlenose dolphi Pan-tropical spotted dolphin

Atlantic spotted dolphin

Spinner dolphin

Clymene dolphin **Striped dolphin** 

Short-beaked common dolphin

Long-beaked common dolphin

Fraser's dolphin

White-beaked dolphin

Atlantic white-sided dolphin Pacific white-sided dolphin

**Dusky dolphin** 

Peale's dolphin

Hourglass dolphin

Northern right whale dolphin Southern right whale dolphin

Risso's dolphin

Melon-headed whale

Pygmy killer whale False killer whale

Killer whale

Long-finned pilot whale Short-finned pilot whale Irrawaddy dolphin

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Finless porpoise

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Bryde's whale

Sei Whales

Fin whale

Blue whale

Family Eschrichtiidae

**Grey whale** 

### **Order SIRENA**

Family Trichechidae (manatees)

West Indian manatee

**West African manatee** 

Amazonian manatee

Family Dugongidae (dugongs)

Dugong

### **Pinniped Vocalizations**



Northern Elephant Seal
Actual Speed



Harbor Seal
Actual Speed



Walrus
Actual Speed



### Pinniped Bioacoustic Parameters

Call Types: Mainly low frequency growls, barks, moans, knocks, click-like calls

**Functionality:** Predominately in **social** interactions; navigation?

### Frequency Range of Sounds:

True seals: 0.1-120 kHz

Sea lions/fur seals: 0.1-8 kHz

**Walrus: 0.1-10 kHz** 

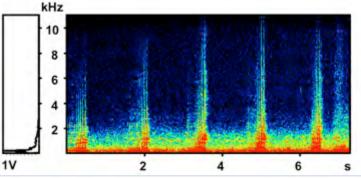
### Frequency Range of Hearing (best):

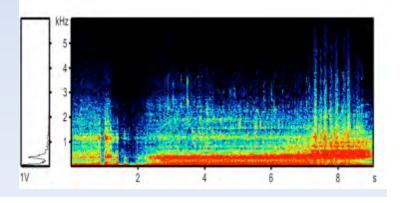
True seals: 0.1-50 kHz (1-40)

Sea lions/fur seals: 0.1-32 kHz (1-12)

Walrus: 0.1-15 kHz (1-12)







### Sound Production in Baleen Whales



Blue Whale: Actual Speed

Blue Whale: 10x Speed

Humpback Whales: Actual Speed



## Baleen Whale Bioacoustic Parameters

Call Types: Mainly low frequency
(some infrasonic) pulsed calls,
more complex song in some
(humpback)

Functionality: Predominately in social interactions; navigation?

**Frequency Range of Sounds:** 

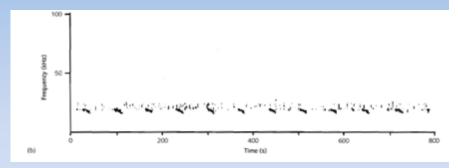
0.01-10 kHz (most low end)

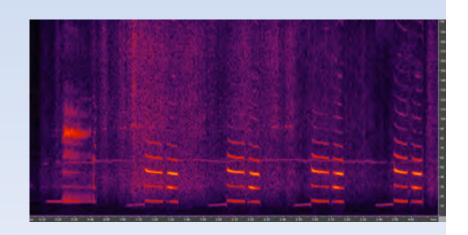
Frequency Range of Hearing (best):

??? (likely very low to mid-freq)

\* please see Ketten poster







### Sound Production in Toothed Whales



Social Calls of Killer Whales: Actual Speed





Baird's Beaked Whale Echolocation Signals: Actual Speed



Harbor Porpoise Echolocation Signals: 1/40x Speed



## Toothed Whale Bioacoustic Parameters



Call Types: Mod-frequency whistles & burst pulses, high-frequency echolocation clicks

Functionality: Whistles in social interactions; Echolocation for foraging and navigation

Frequency Range of Sounds:

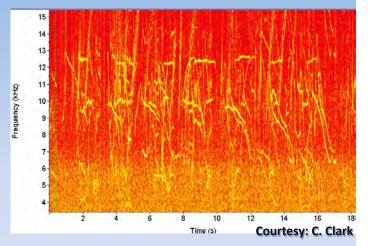
Most Odontocetes: 1-120 kHz

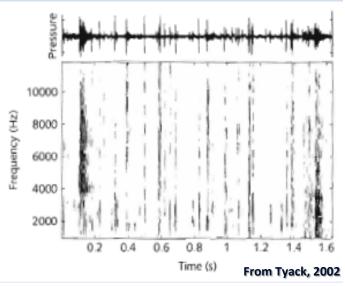
HF specialists: 20-150 kHz

Frequency Range of Hearing (best):

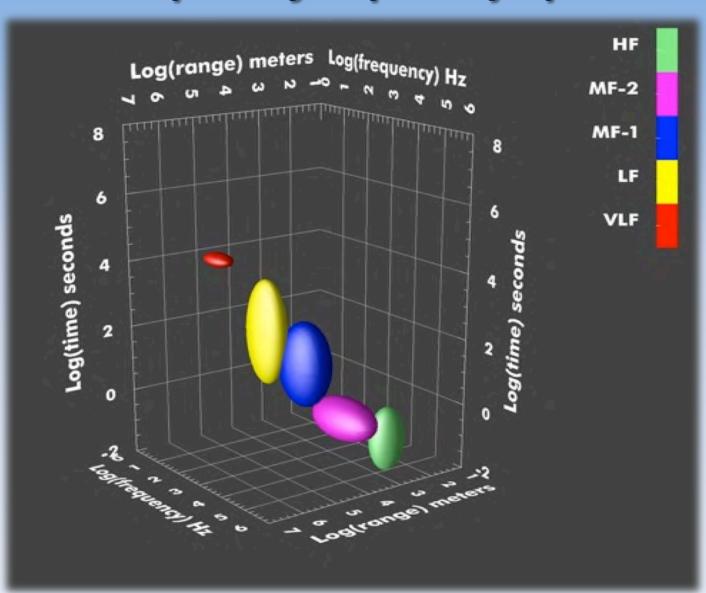
Most Odontocetes: <0.1-120 kHz (10-75)

HF Specialists: 0.1-200 kHz (20-100)





### Marine Mammal Bioacoustics: Time-space-frequency spaces



Courtesy C. Clark

### Natural sources of ocean noise

Wind-generated waves

Earthquakes

Precipitation

Ice

**Space Neutrinos!** 

Invertebrates

Fish

Mammals





### Human sources of ocean noise

Transportation

Dredging and construction

Oil drilling and production

Geophysical surveys

**Active Sonars** 

**Explosions** 

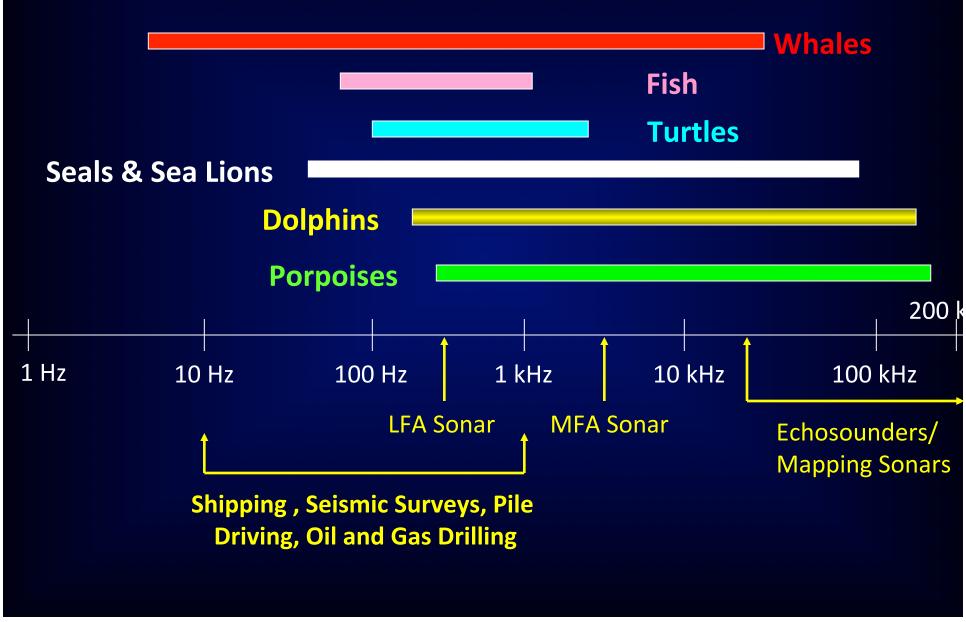
**Communication systems** 

Ocean science studies









## Functional Categories of Ocean Sounds: Impulses Low-frequency (main energy <1 kHz)

- Natural:
  - Lightning
  - Fish
  - Some pinnipeds (walrus) in air and water
- Anthropogenic:
  - Seismic Airguns
  - Pile Driving
  - Explosions

### Functional Categories of Ocean Sounds: Impulses Main energy: Mid (1-10 kHz) & High-freg (>10 kHz)

Main energy: Mid (1-10 kHz) & High-freq (>10 kHz)

- Natural:
  - Some pinnipeds (MF) in air and water
  - Toothed whales!

- Anthropogenic:
  - Seismic Airguns
  - Pile Driving
  - Chirp Sonars

## Functional Categories of Ocean Sounds: Continuous Signals Low-frequency (<1 kHz)

- Natural:
  - Waves
  - Precipitation
  - Earthquakes
  - Fish
  - Invertebrates
  - Most pinnipeds
  - Most baleen whales
  - Few toothed whales

- Anthropogenic:
  - Shipping!
  - Drilling
  - Dredging marine construction
  - LFA sonar

### Functional Categories of Ocean Sounds: Continuous Signals

Mid (1-10 kHz) & High-frequency (>10 kHz)

- Natural:
  - Some pinnipeds (mainly MF)
  - Baleen whales (MF)
  - Toothed whales
  - Some precipitation
- Anthropogenic:
  - Military sonar systems (MFA)
  - Navigational and mapping sonars
  - Underwater modems/communication systems

### Effects of Noise on Marine Life



- Interference with Communication
  - Auditory masking
  - Temporary or permanent hearing damage
- Behavioral Responses
  - Orientation, increased alertness, vocal changes
  - Effects on feeding, social activity, risk of predation
  - Habitat abandonment: temporary or *permanent*
- Physiological Effects (stress, DCS)
- Stranding causing injury or death

Generally Increasing Severity

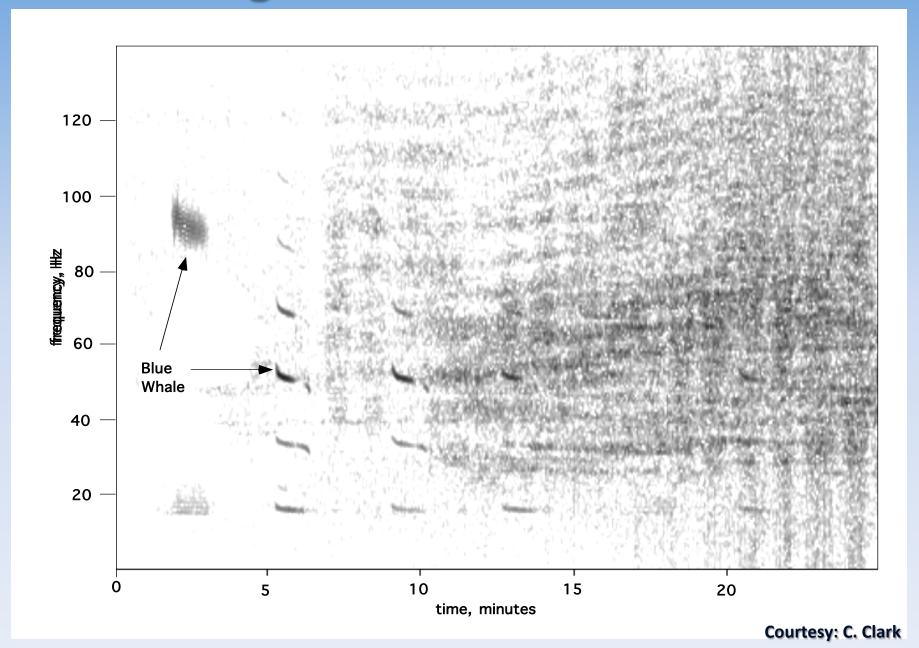
but

Generally Decreasing Occurrence

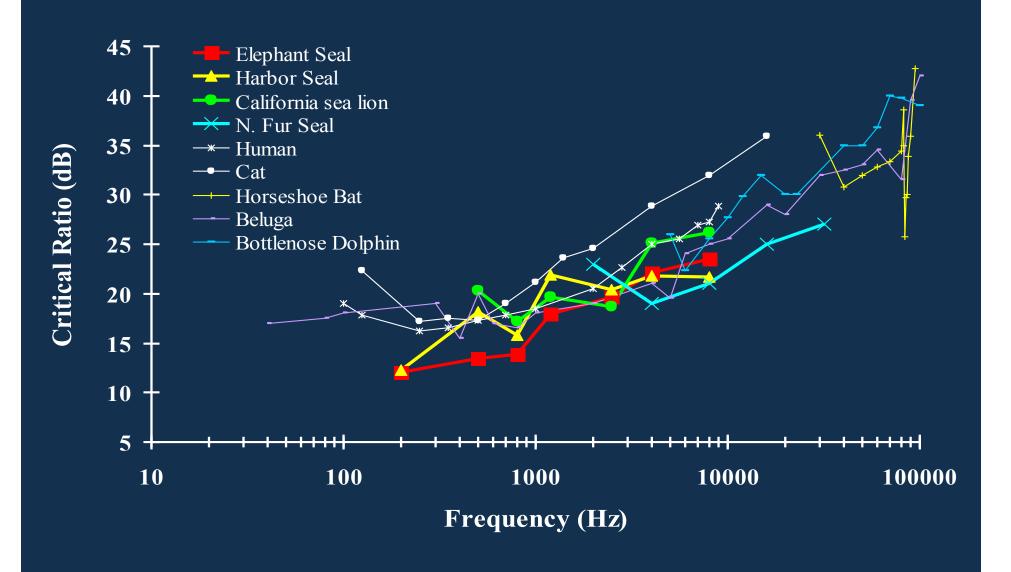




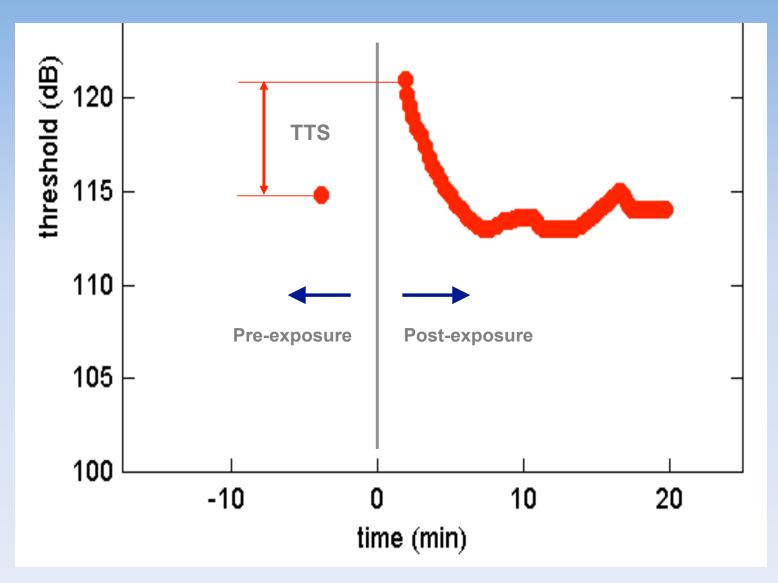
### **Masking of Vocal Communication**



### **Critical Ratios of Selected Mammals**



### **Temporary Threshold Shift (TTS)**



### Conventional regulatory view of ocean sound

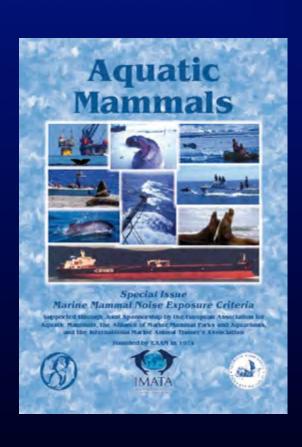
Single sound source

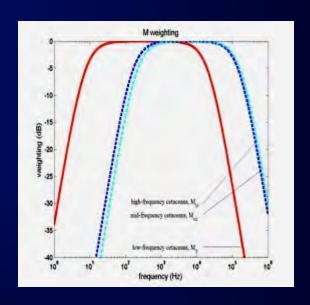


2-D sound "isopleths" uniform for all species across frequency



### MARINE MAMMAL NOISE EXPOSURE CRITERIA: INITIAL SCIENTIFIC RECOMMENDATIONS Southall et al. (2007)

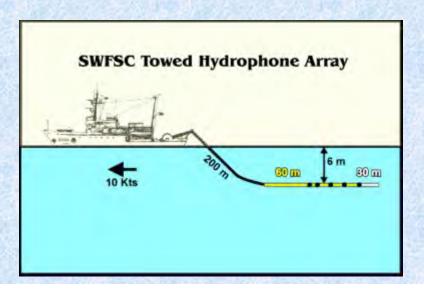


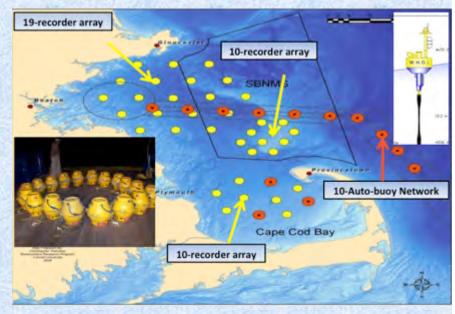


- \* Quantitative dual-criteria for direct auditory injury
- \* Severity scaling metric for behavior based on vital rates

### Advancing Field Technologies and Methods to Address Behavioral Impacts







MORE DETAILS COMING HERE IN P. TYACK AND B. GISINER TALKS ON MONDAY



## Biological and Behavioral Response Studies of Marine Mammals in Southern California (SOCAL-BRS - 2010-2015)

www.socal-brs.org







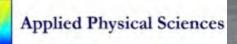






Photo taken under U.S. NMFS permit # 14534





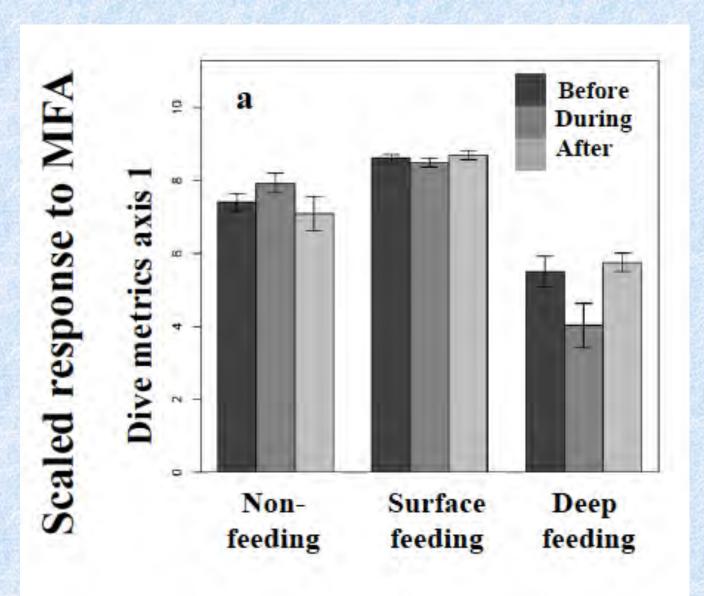




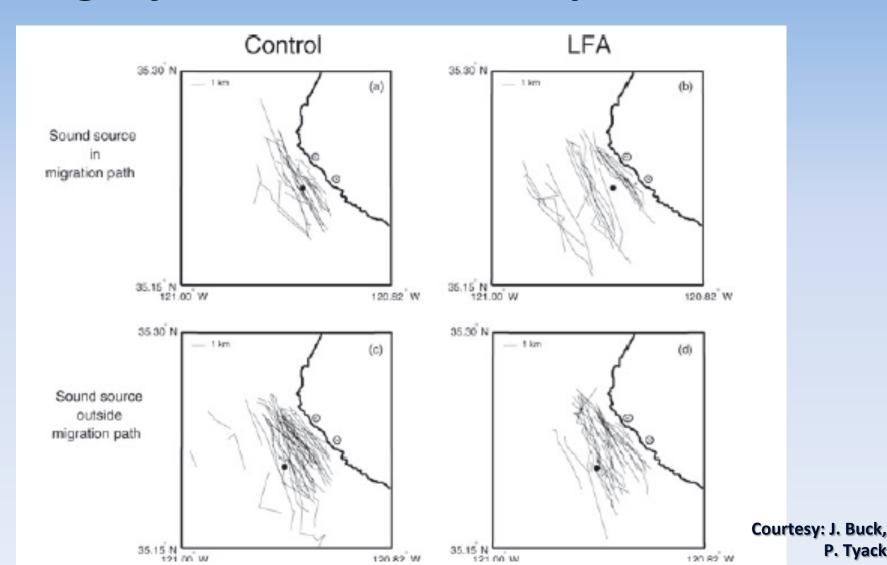


Institute of Marine Sciences an Organized Research Unit at UC Santa Cruz

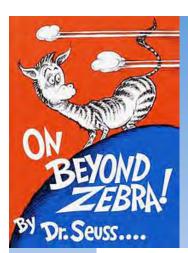
### Controlled exposure experiments with blue whales and MFA: behavioral state context



### Controlled exposure experiments (CEEs) with grey whales and LFA: spatial context



P. Tyack



## On beyond thresholds...

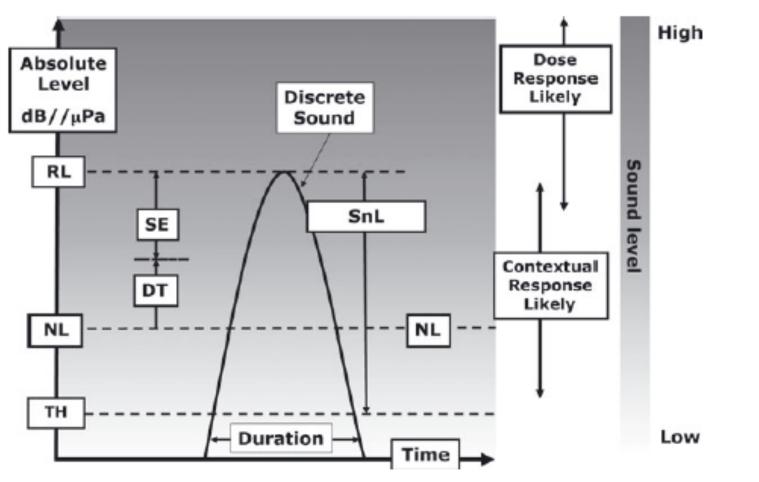
### Conservation Biology

**\*** 

Conservation Practice and Policy

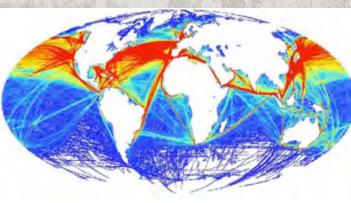
A New Context-Based Approach to Assess Marine Mammal Behavioral Responses to Anthropogenic Sounds

W.T. ELLISON,\* B.L. SOUTHALL,†‡ C.W. CLARK,§ AND A.S. FRANKEL\*



PARADIGM SHIFT: Concern, research, and management are broadening from severe, acute effects to non-lethal but chronic, interacting ones on realistic time/space scales

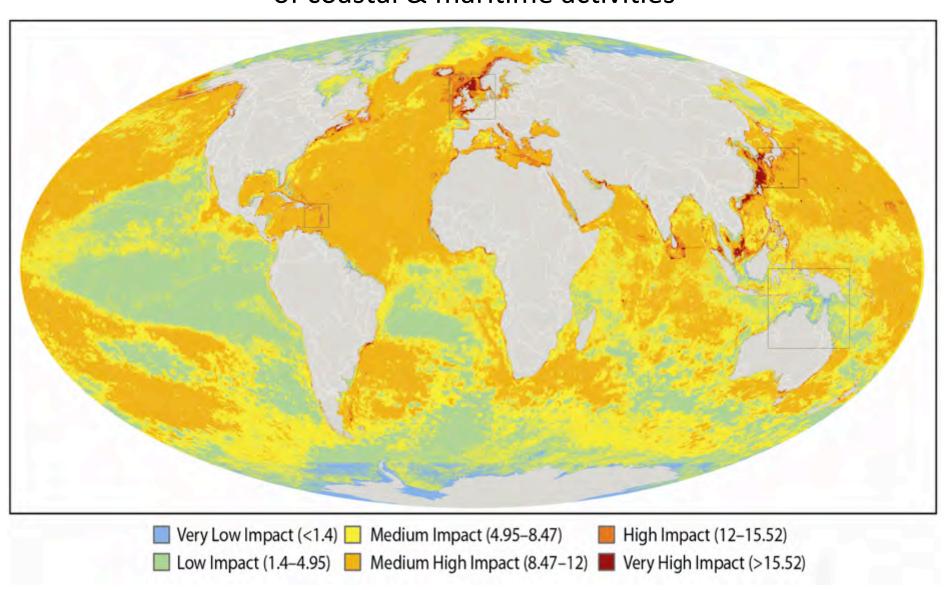






### **Collective impact of all uses:**

Majority of surface oceans now experience high impact from mix of coastal & maritime activities



### Scientific and Conservation Reasons to Listen with Ocean Observing Systems

### Report of the 2006 NOAA National Passive Acoustics Workshop

Developing a Strategic Program Plan for NOAA's Passive Acoustics Ocean Observing System (PAOOS) Woods Hole, Massachusetts, 11–13 April 2006

March 2007

Sofie Van Parijs Brandon Southall

**Conveners and Workshop Co-chairs** 

**2007-2009:** Proposals for GoMex PAM Get Typical Government Response

2010



# Efforts to Integrate PAM into the U.S. Integrated Ocean Observing System

### OCEAN ACOUSTIC MONITORING IN IOOS: TOOLS FOR MEASURING NATURAL SYSTEMS AND HUMAN IMPACTS

B. Southall<sup>1</sup>, B. Dushaw<sup>2</sup>, S. Moore<sup>3</sup>, B. Howe<sup>4</sup>, C. Clark<sup>5</sup>, J. Gedamke<sup>6</sup>, P. Worcester<sup>7</sup>

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 National Oceanic and Atmospheric Administration, Seattle, WA, USA: Sue.Moore@noaa.gov
 University of Hawai'i, Manoa, Honolulu, HI: bhowe@hawaii.edu
 Cornell University, Laboratory of Ornithology, Ithaca, NY, USA: cwc2@cornell.edu
 National Oceanic and Atmospheric Administration, Silver Spring, MD, USA: Jason. Gedamke@noaa.edu
 Scripps Institution of Oceanography, San Diego, CA, USA: pworcester@ucsd.edu

### "Addressing the Effects of Human-Generated Sound on Marine Life:

An Integrated Research Plan for U.S. Federal Agencies"

A Report of the Joint Subcommittee on Ocean Science & Technology (JSOST)

~ INTERAGENCY TASK FORCE ON ANTHROPOGENIC SOUND AND THE MARINE ENVIRONMENT ~

Contributing Federal Agencies (in alphabetical order):

Marine Mammal Commission (MMC)

Minerals Management Service (MMS)

National Oceanic and Atmospheric Administration (NOAA)

National Science Foundation (NSF)

U.S. Army Corps of Engineers (ACE)

U.S. Coast Guard (USCG)

U.S. Department of Defense, U.S. Navy (USN)

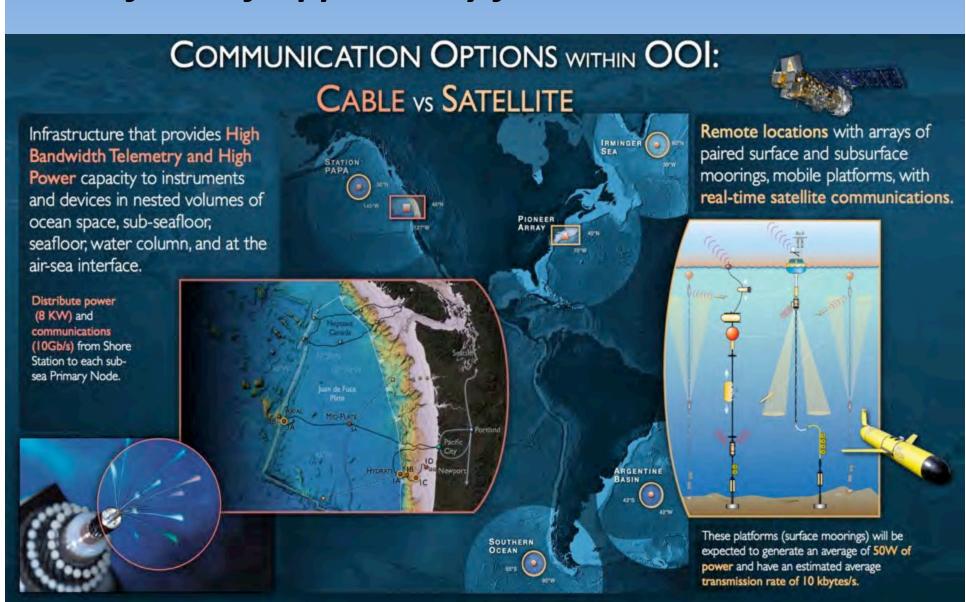
U.S. Department of Energy (DOE)

U.S. Department of State (DOS)

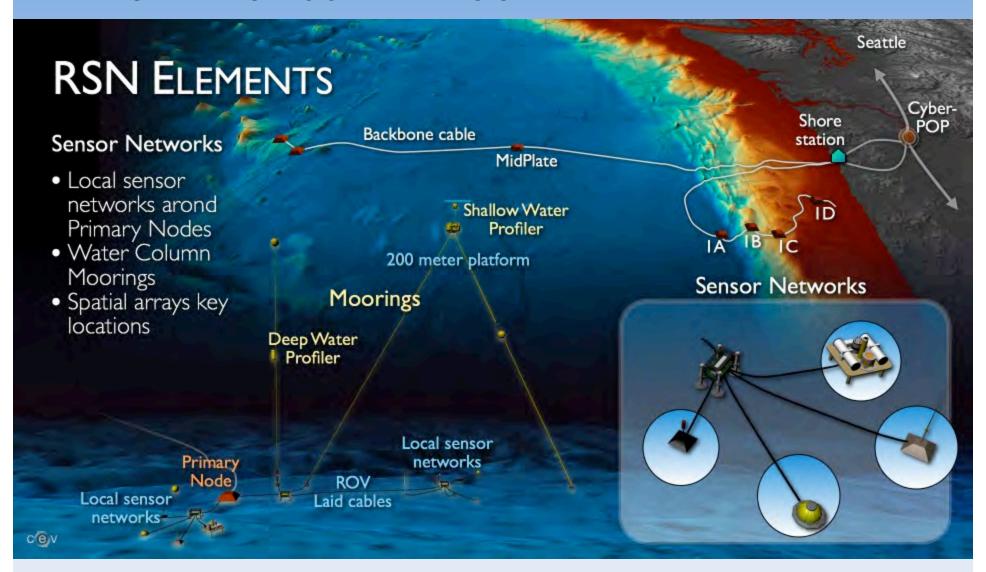
U.S. Fish and Wildlife Service (FWS)



### Cabled Observatory - Regional Scaled Nodes (RSN): A Platform of Opportunity for Acoustic Observation

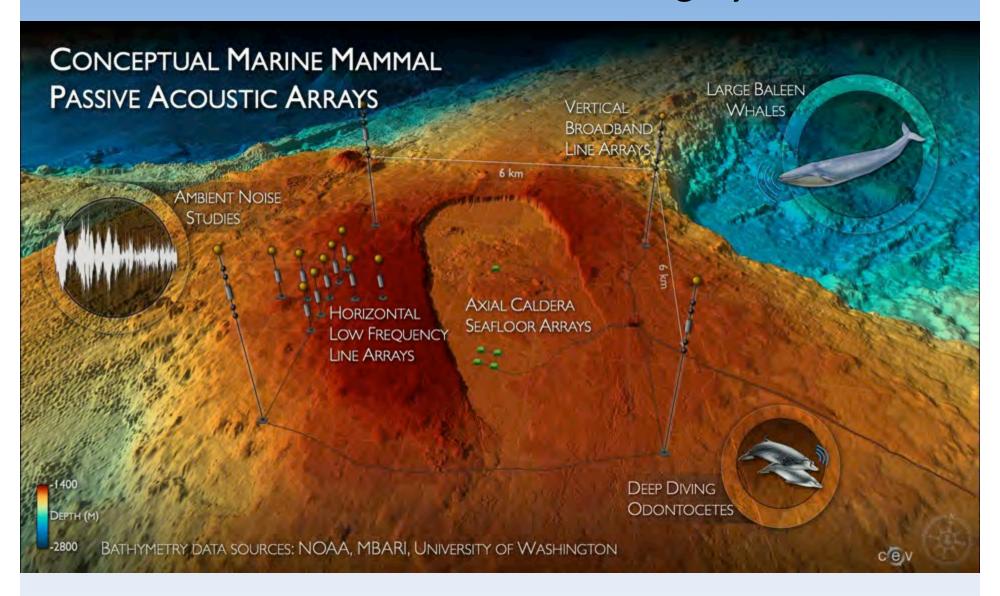


### Networked RSN sensors-interdisciplinary studies A Platform of Opportunity for Acoustic Observation



### **NAIAD Conceptual Design:**

Passive Acoustic Monitoring Systems





### **Closing Observations**



- 1) The ocean is filled with natural and human sounds
- 2) But, there are frequency, time, and spatial distinctions among marine mammals and other sound sources
- 3) Effects of noise on marine life is an active area of interest and evolving technology
- 4) Cross-disciplinary partnerships integrating skills and technologies using observing systems critically needed





- \* Ettore Majorana Foundation and Centre for Science Conservation
  - \* Conference organizers and Sponsors
- \* Gianni Pavan, Peter Tyack, Colleen Reichmuth
- \* Office of Naval Research and U.S. Navy Living Marine Resources Program