



# Nested Autonomy: A Robust Operational Paradigm for Adaptive and Collaborative Ocean Acoustic Sensing



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Erice, October 18-21, 2013



# Outline

- Introduction
- Nested Autonomy Paradigm
- Tutorial Example
- Environmental Ocean Acoustics
- Model-based Adaptive Autonomy
- Field Examples
- Summary

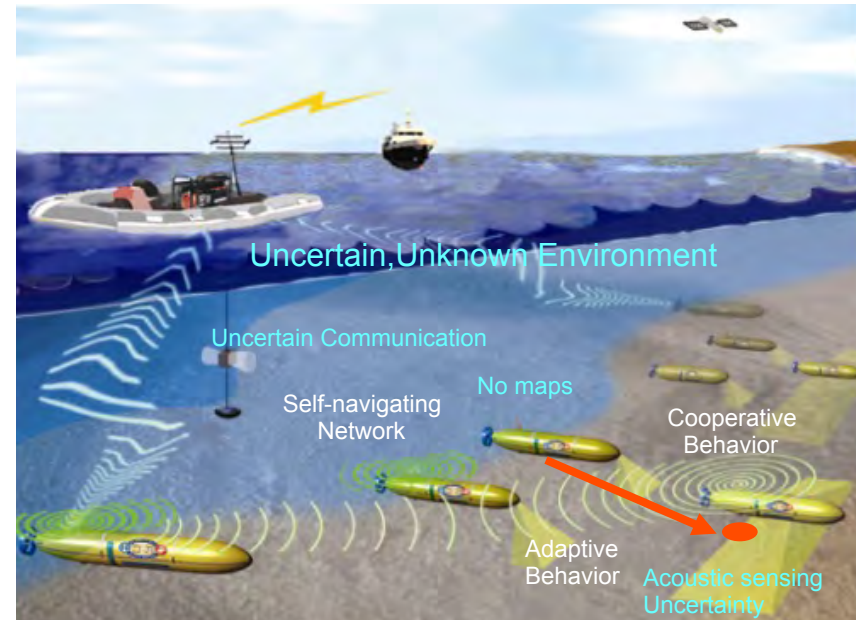


# Ocean Sensing Systems Paradigm Shift

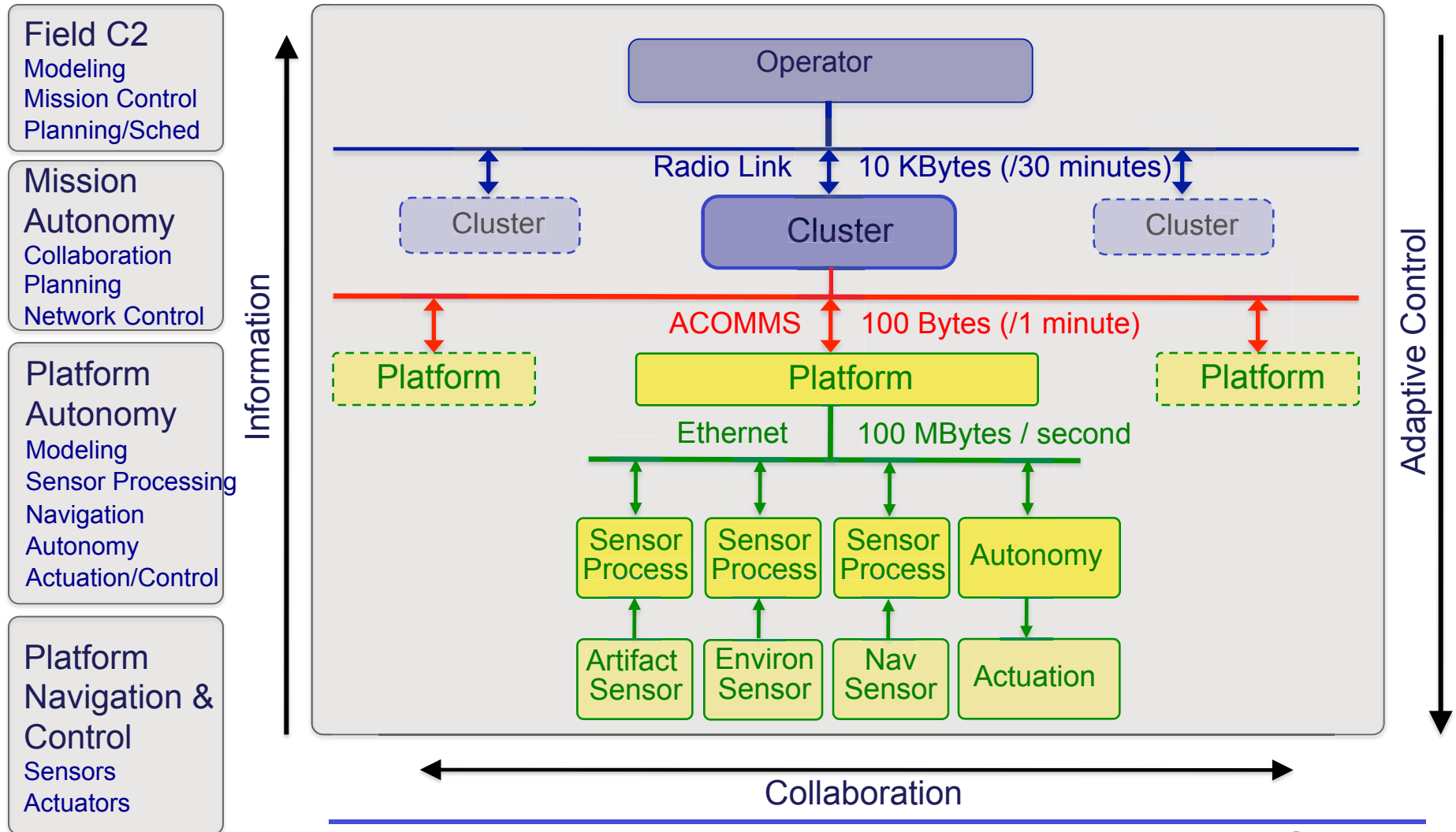
Platform-centric Sensing Systems



Net-centric, Distributed Autonomous Sensing Systems



## Nested Autonomy



# Nested Autonomy Paradigm

## Objective

- Autonomy system capable of **adapting to the environmental and tactical situation** to achieve mission objectives, **without** being dependent on continuous **connectivity with operators**.
- Autonomy system which takes advantage of **communication windows** to exploit **collaboration** with other network nodes for enhanced mission performance

## Platform Autonomy

### Integrated Sensing, Modeling and Control

- **Automated processing** of sensor data for detection, classification, localization and tracking of tactical or environmental event
- Data-driven **modeling** for forecasting of tactical and environmental situation
- Intelligent **decision-making** based on **situational awareness**, adaptive and collaborative **strategies (behaviors)**, and **learning**, to adapt to forecast for enhanced performance



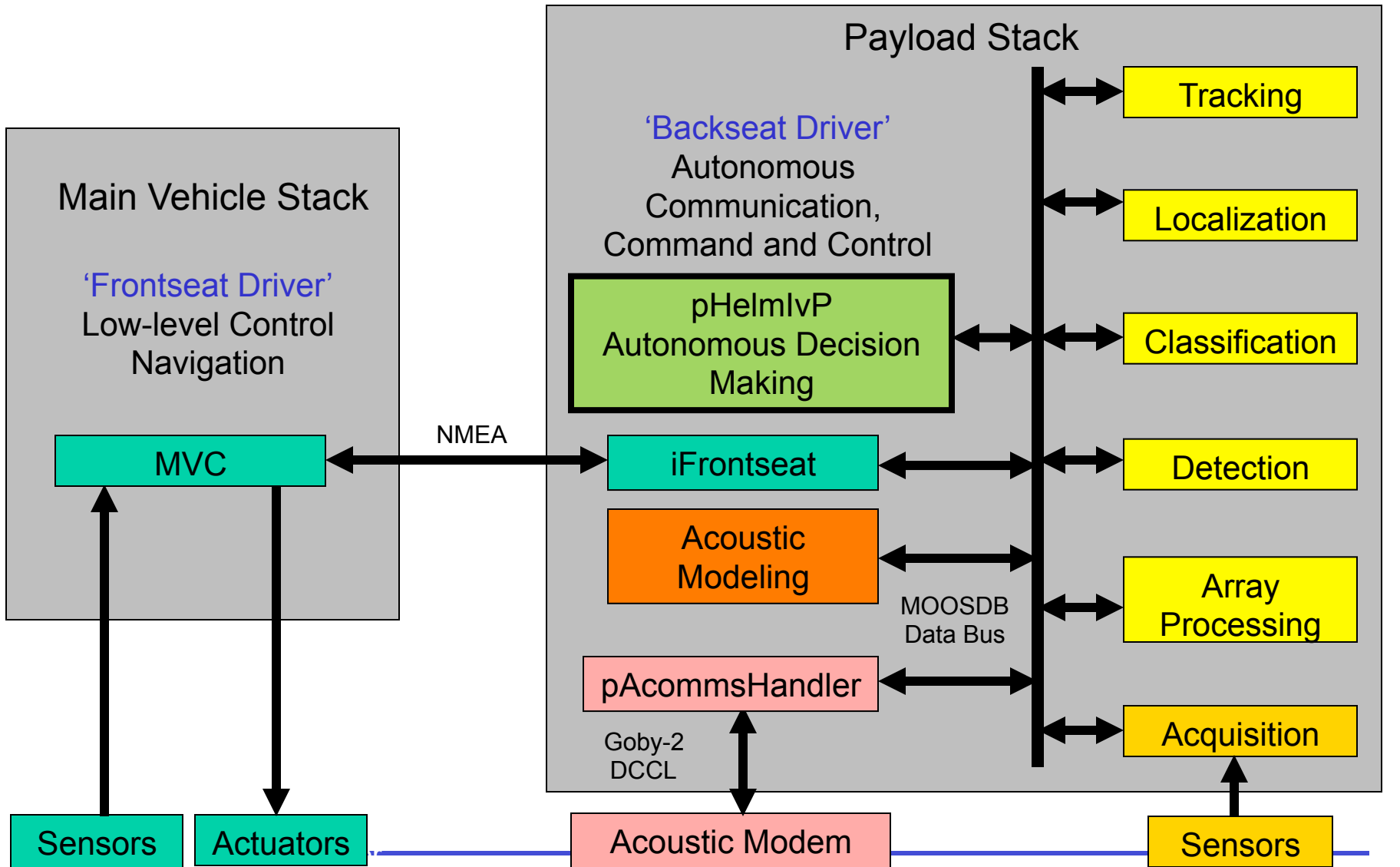
# Platform Autonomy Components

- Platform Helm (“Captain of the Ship”)
  - Command and control platform maneuvers for optimally achieving mission objectives as devised by Mission Autonomy and C2 (“Chief Scientist”), while maintaining platform safety and preparedness.
- Sensor Data Management (“Sonar Officer”)
  - Configure sensor systems in accordance with mission directives from Mission Autonomy and C2.
  - Coordinate sensor operation with other platform systems (communication, propulsion, actuators).
  - Process and interpret sensor data for real-time support of decision making by the Helm
  - Prepare sensor system reports for decision for communication to the Helm and MA
- Communication (“Radio Officer”)
  - Package and prioritize outgoing communication for available communication channels
  - Handle and distribute incoming communication to Helm and Sensor system.
- Platform Mobility (“Helmsman – Engine Room”)
  - Converts speed, heading and depth commands to rudder and propulsion commands
  - Performs basic platform navigation
  - Manufacturer dependent





## Payload Autonomy Architecture



# Nested Autonomy Tutorial Example

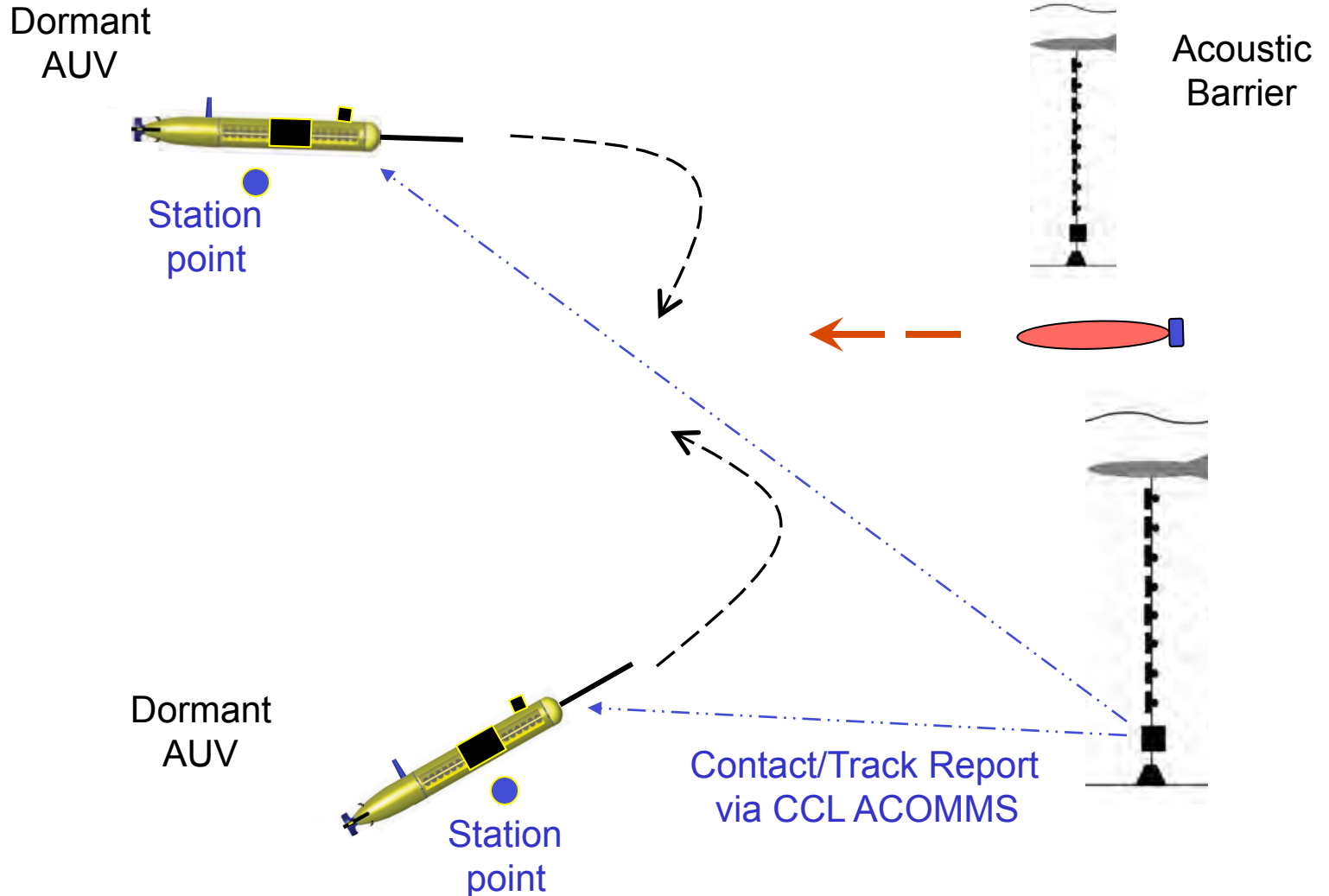
## Port Entry Surveillance





# Port Surveillance CONOPS

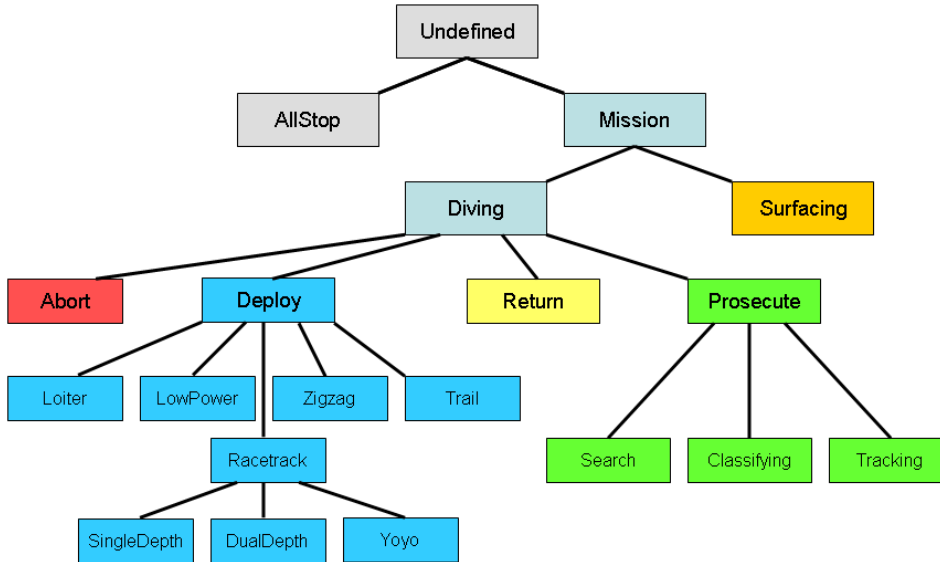
## Collaborative, Adaptive DCLT



# Nested Autonomy with MOOS-IvP

## Concept of Operations

### Hierarchical Mode Structure

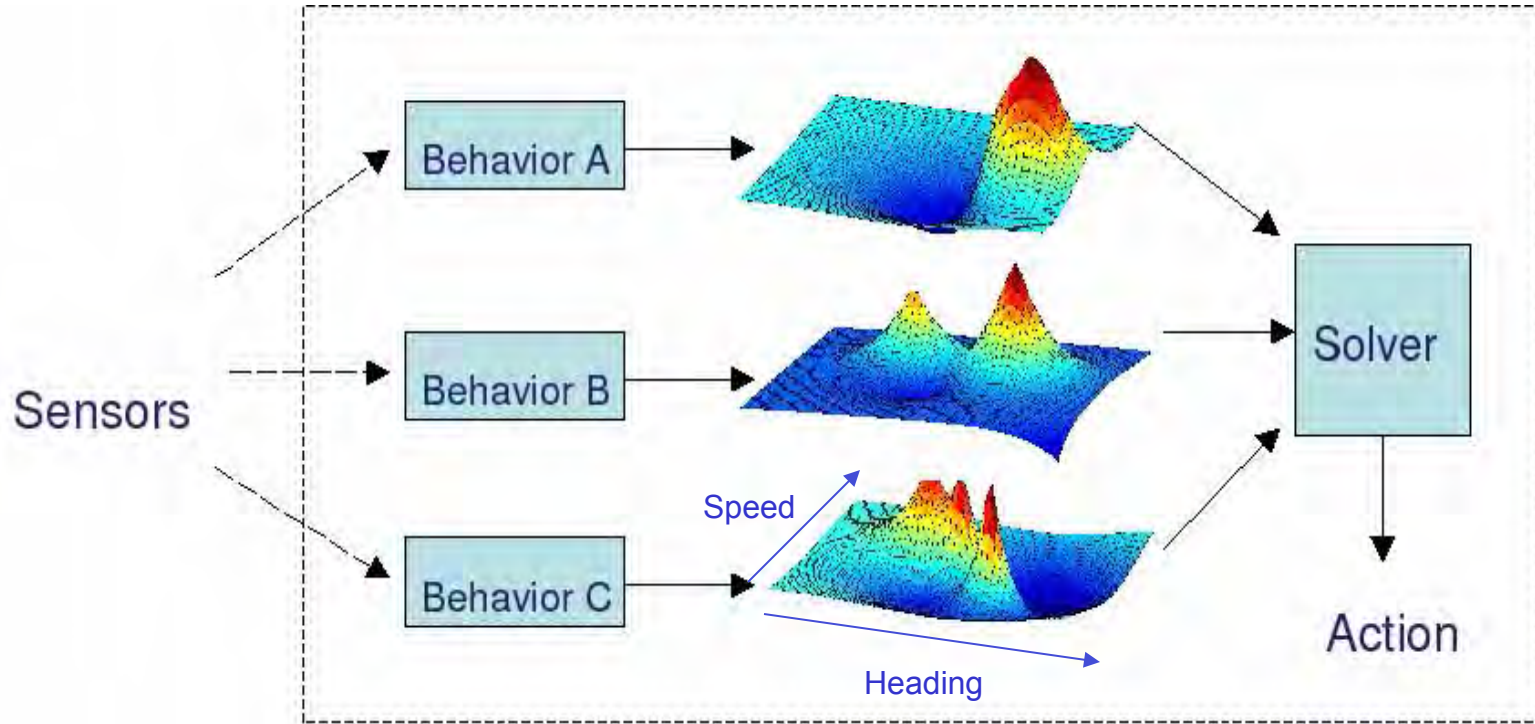


- **Mission-defined Autonomy System**
  - Hierarchical Mode Structure
  - No programmed sequencing
  - Modes and behaviors perpetual until actively changed by mission planning and control infrastructure
- **Autonomy Modes**
  - Contains behavior set for Speed, Heading and Depth
  - ‘Perpetual’ until transitioned
  - Mode transitions
    - Onboard Mission Planning and Control
    - C2 through ACOMMS
- **Behaviors**
  - Mode defined
    - Mission objectives
    - Safety
  - Dynamic Configuration
    - Parameter updates
    - Spawned behaviors
      - Collision avoidance
      - Collaborative sensing



# IvP-Helm

## Multi-Objective Optimization



### Behavior Examples – Search

- TowHeading – Minimize noise interference
- CloseRange – Approach predicted target track
- TurnMemory – Protect towed array
- GotoDepth – Optimal detection depth

### Behavior Examples – Tracking

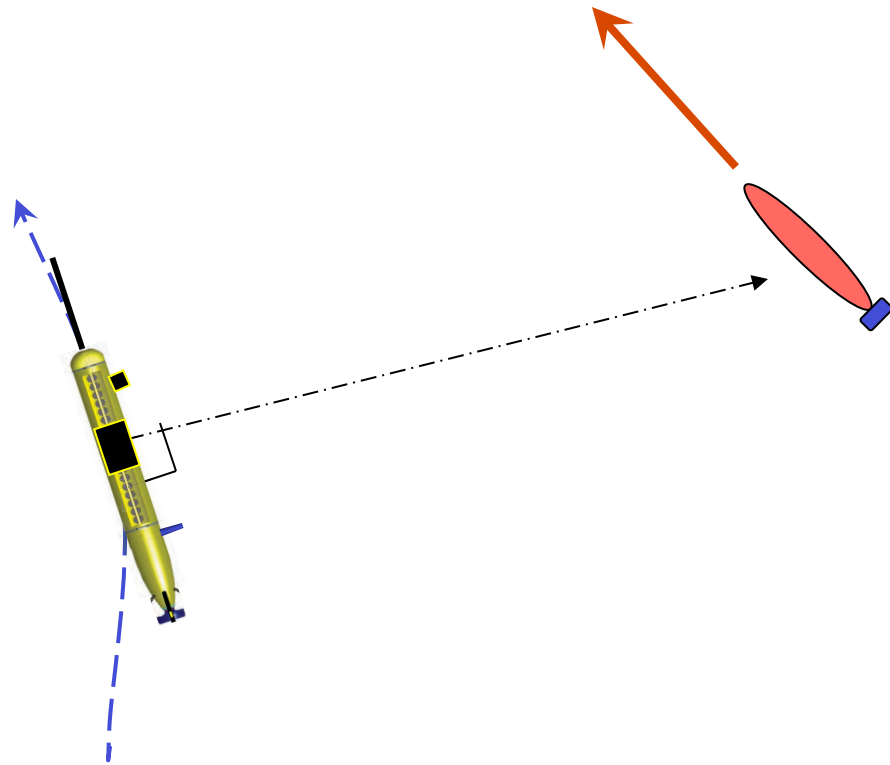
- ArrayTurn – Break L/R ambiguity
- ArrayAngle – Optimize target tracking
- TurnMemory – Protect towed array



# Tracking Mode

## BHV\_ArrayAngle

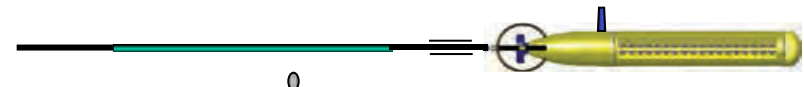
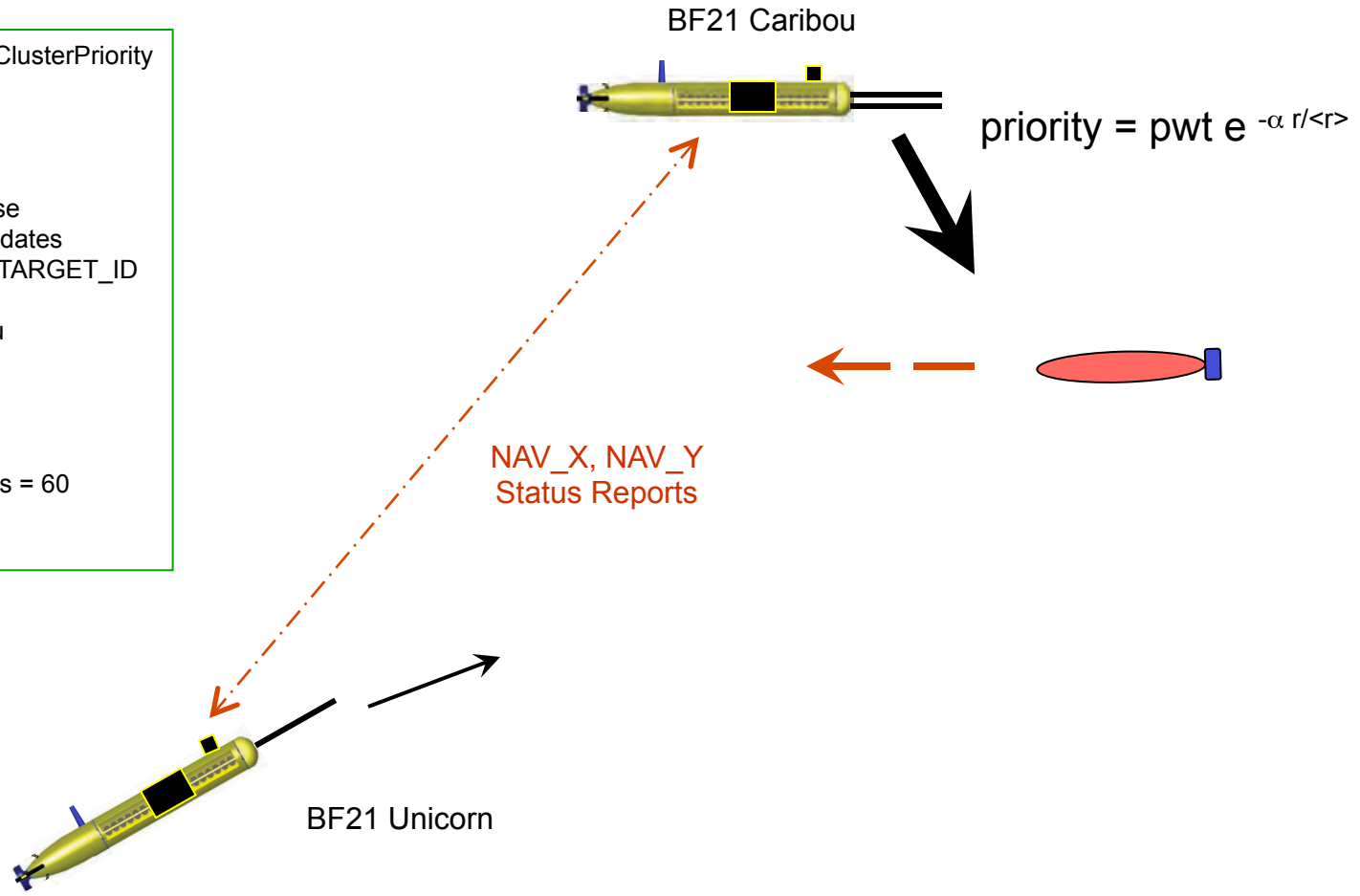
```
Behavior = BHV_HArrayAngle  
{  
  name = track_array_angle  
  pwt = 100  
  width = 60  
  desired_angle = 90  
  condition = MODE == TRACKING  
}
```



# Collaborative Autonomy

## pClusterPriority

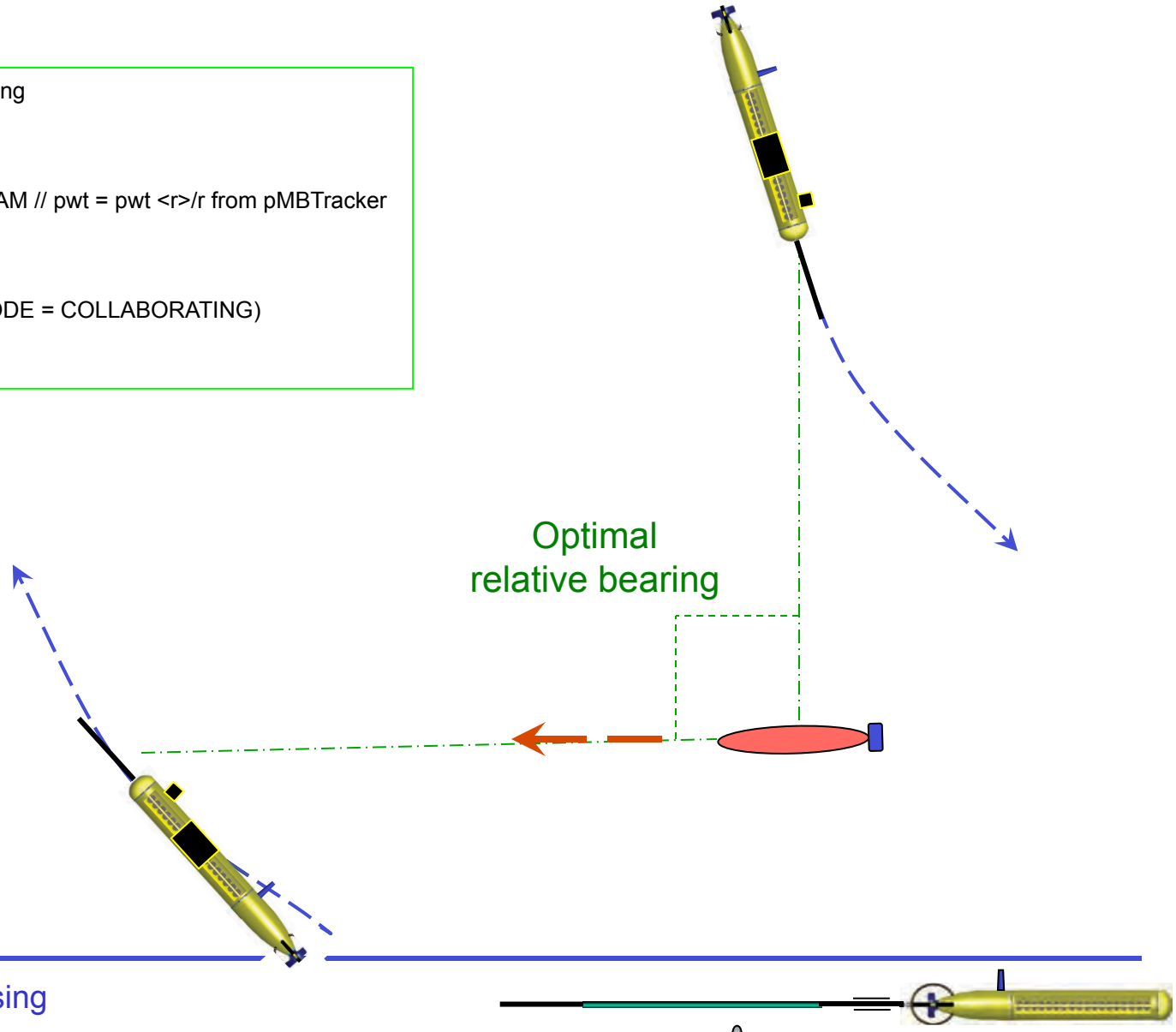
```
ProcessConfig = pClusterPriority  
{  
  AppTick = 4  
  CommsTick = 4  
  
  verbosity = verbose  
  target = target_updates  
  target_updates = TARGET_ID  
  
  ownship = caribou  
  friend = unicorn  
  
  pwt = 100  
  
  max_delay_friends = 60  
}
```



# Collaborative Autonomy

## BHV\_CollaborativeTracking

```
Behavior = BHV_CollaborativeTracking  
{  
  name = 2v_tracking  
  pwt = 140  
  updates = COLLABORATION_PARAM // pwt = pwt <r>/r from pMBTracker  
  position_uncertainty = 1  
  measurement_uncertainty = .017  
  condition = MODE == TRACKING  
  condition = (COLLABORATION_MODE = COLLABORATING)  
}
```



# Nested Autonomy

## Ocean Acoustic Sensing Environmental Ocean Acoustics





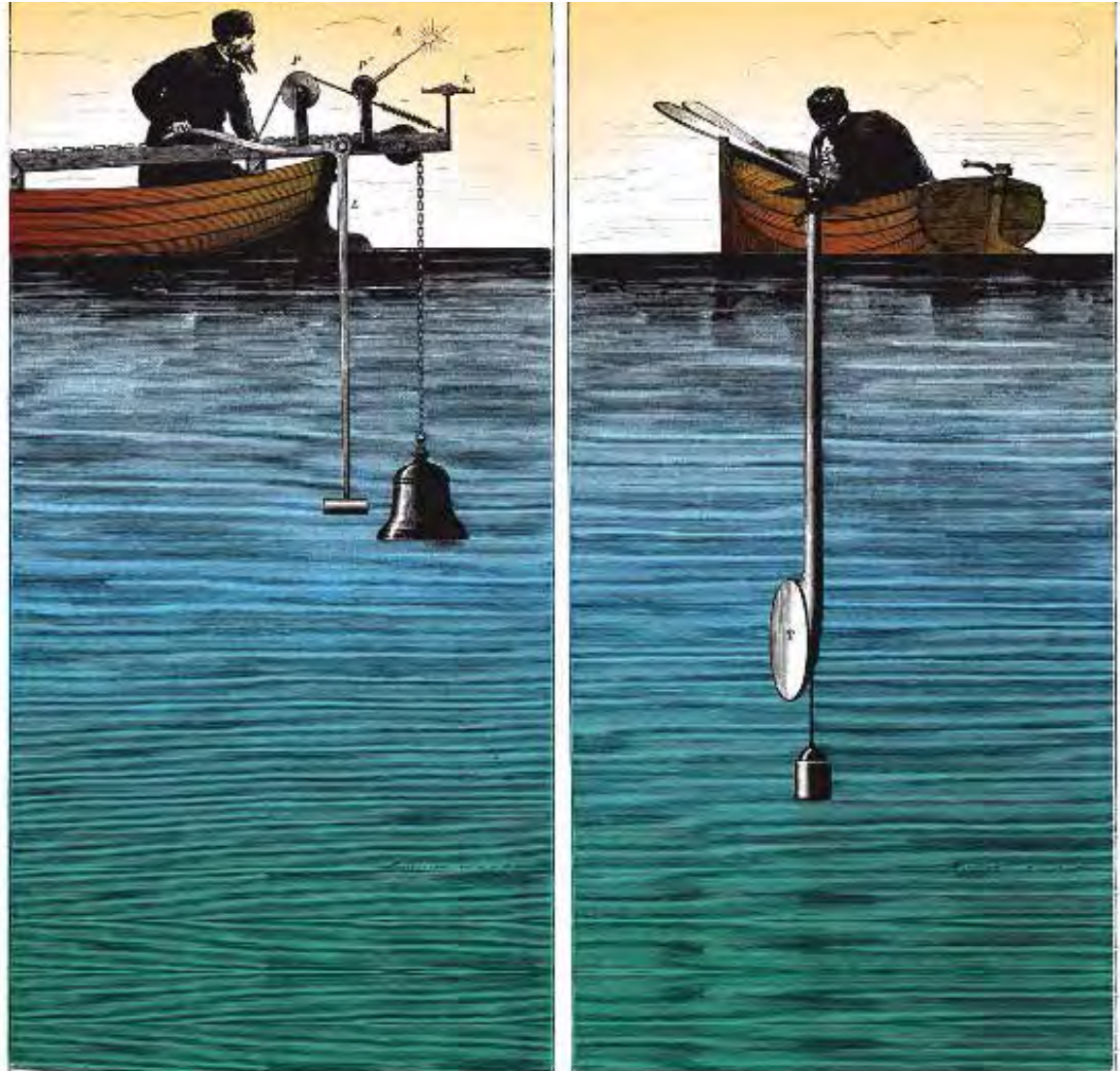
## “Environmental Ocean Acoustics”

- **Understanding** and **Modeling** the generation and propagation of sound in the ocean
  - The ocean is a “thin” sheet with horizontal extend  $\sim 100$  times the vertical, creating a waveguide.
  - Sound speed variability
    - $\sim 10\%$  in vertical
    - $\sim 1\%$  in horizontal for typical propagation ranges
  - Boundary Interaction
    - Bottom an “infinite” acoustic and elastic medium
    - Ice cover in polar regions
    - Surface waves and bubbles
  - Signals and noise
  - Scattering and Reverberation

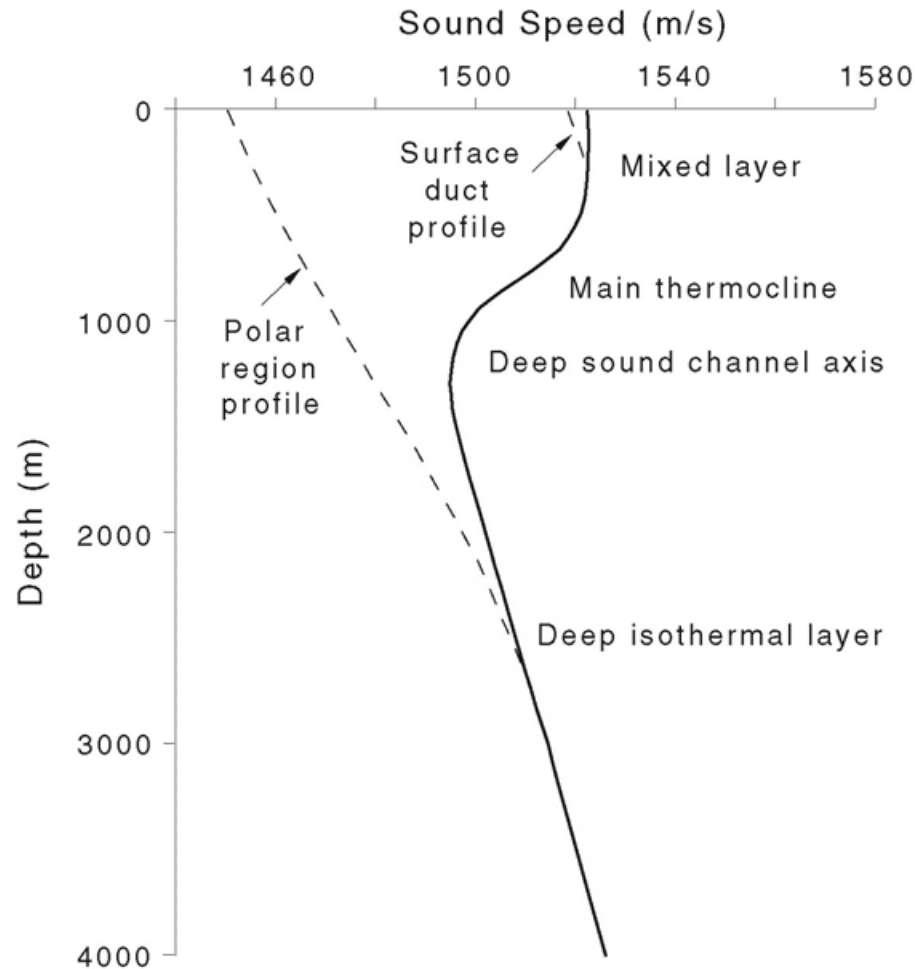


# The Birth of Environmental Ocean Acoustics

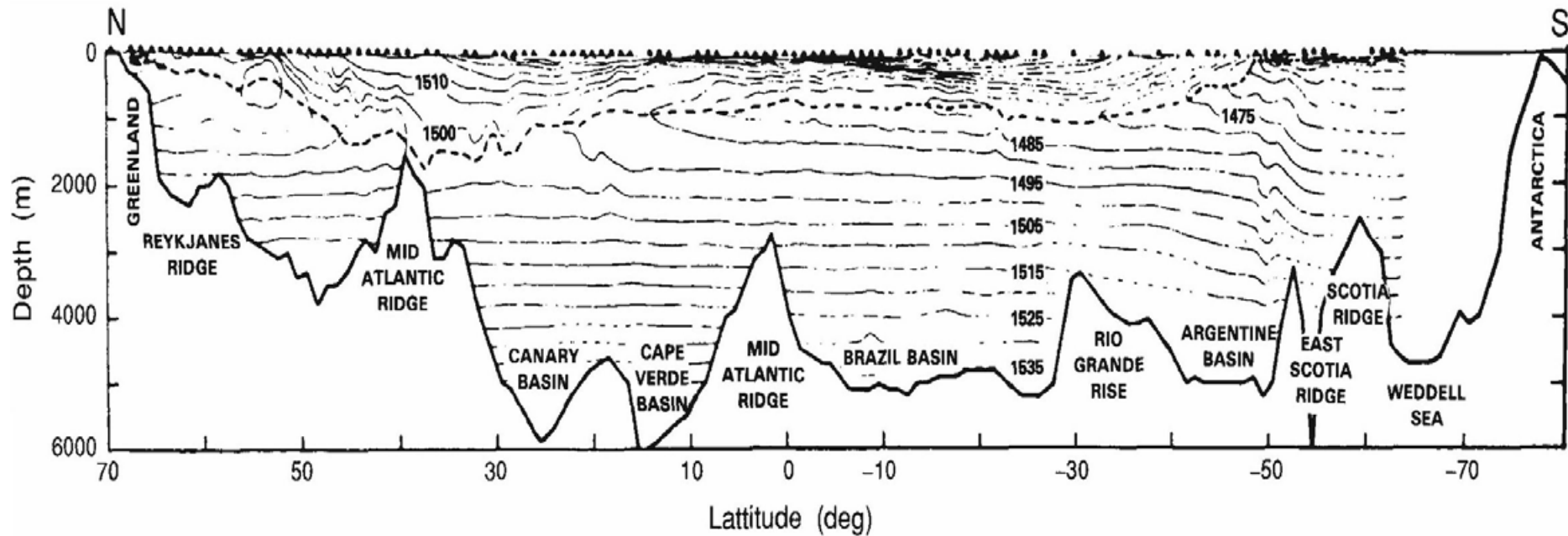
Colladen and Sturm  
Lake Geneva 1826



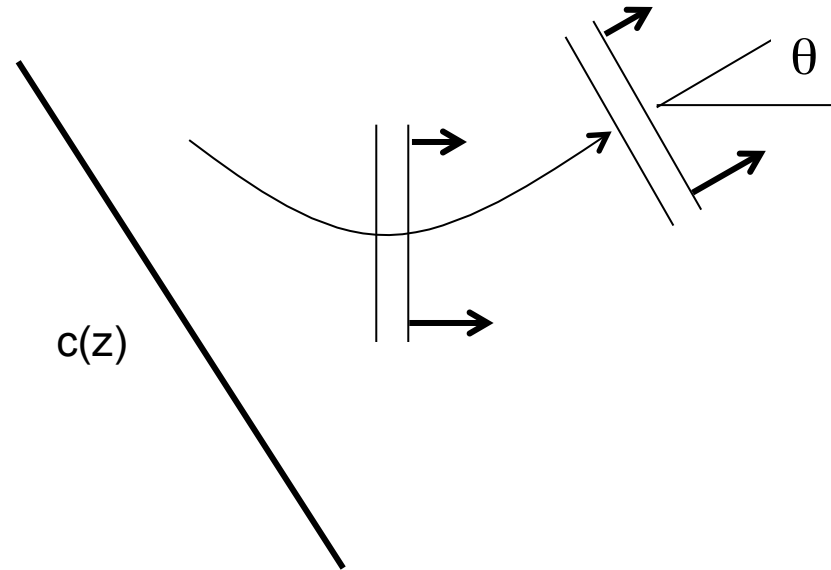
# Generic Sound Speed Profile



# Global Sound Speed Structure



# Sound is Attracted to Low Speed of Sound!!

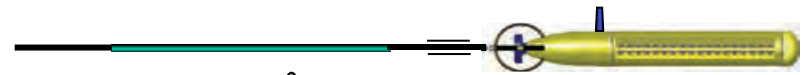
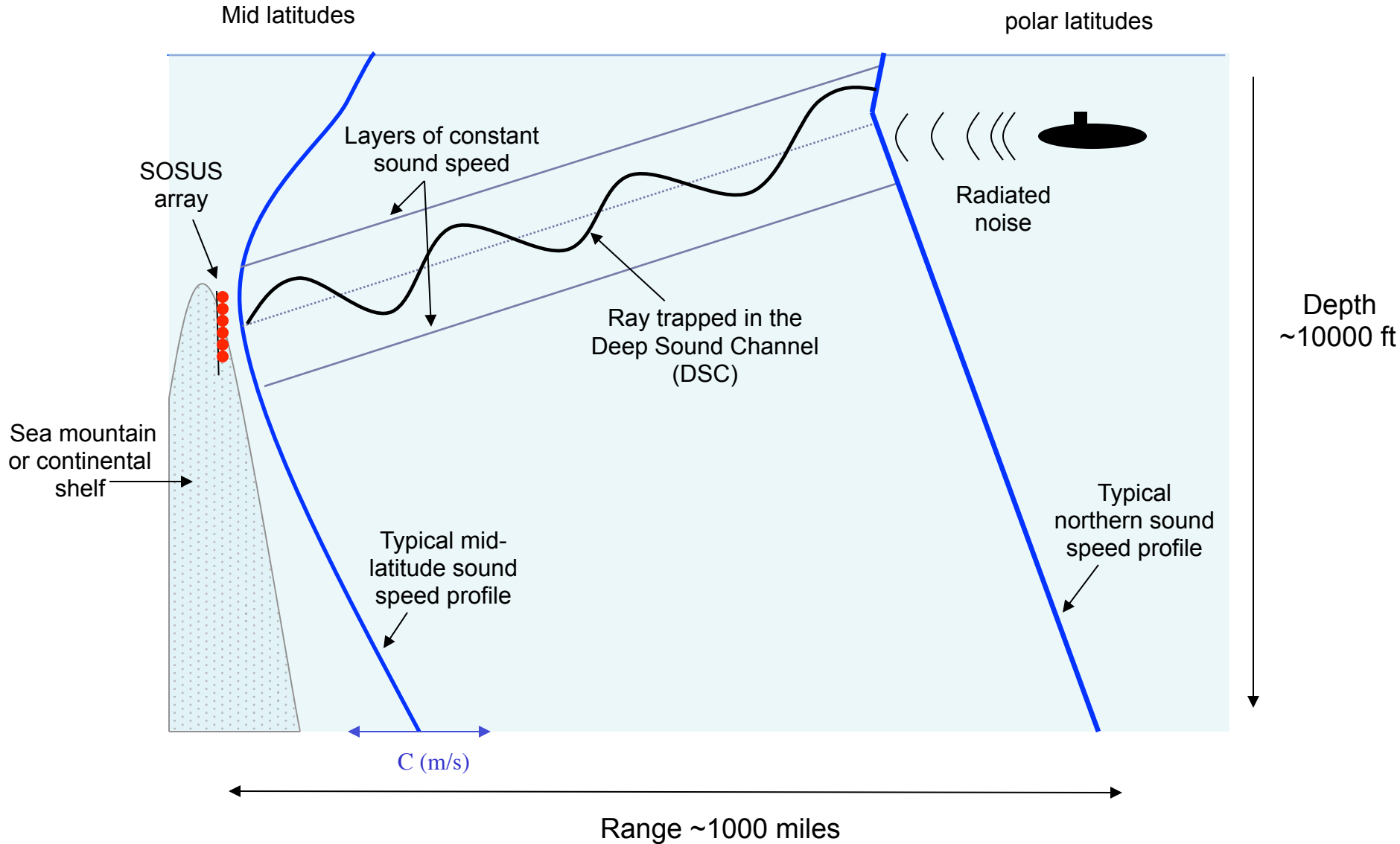


Snell's Law

$$\frac{\cos \theta (z)}{c(z)} = \text{const}$$



# Adapting Sensor Systems to Environment



# Ocean Acoustic Material Properties

## Sound Speed

$$c = 1449.2 + 4.6T - 0.055T^2 + 0.00029T^3 + (1.34 - 0.01T)(S - 35) + 0.016z.$$

Snell's Law  $\frac{\cos \theta}{c} = \text{constant},$

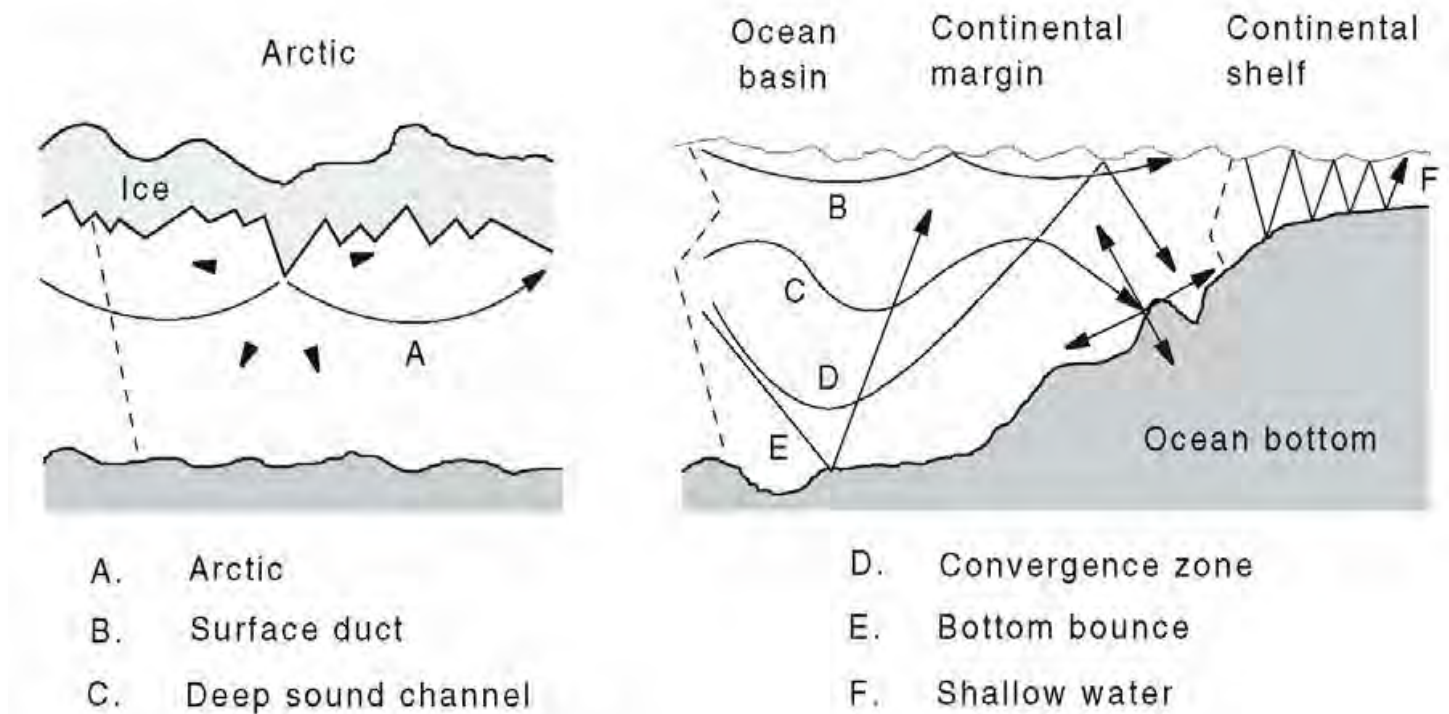
Attenuation  $A = A_0 \exp(-\alpha x),$

$$\alpha(\text{dB}/\text{km}) = 3.3 \times 10^{-3} + \frac{0.11f^2}{1 + f^2} + \frac{43f^2}{4100 + f^2} + 2.98 \times 10^{-4} f^2,$$



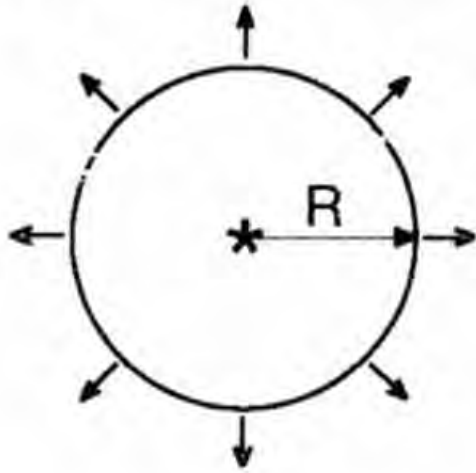


# Ocean Waveguide Propagation Paths



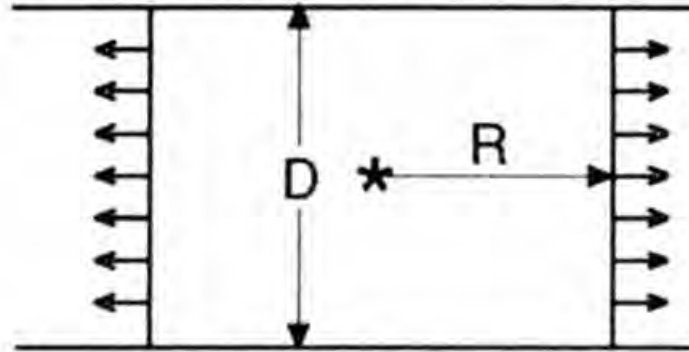
# Geometric Spreading

(a) Spherical spreading



$$I \propto \frac{1}{4\pi R^2}$$

(b) Cylindrical spreading



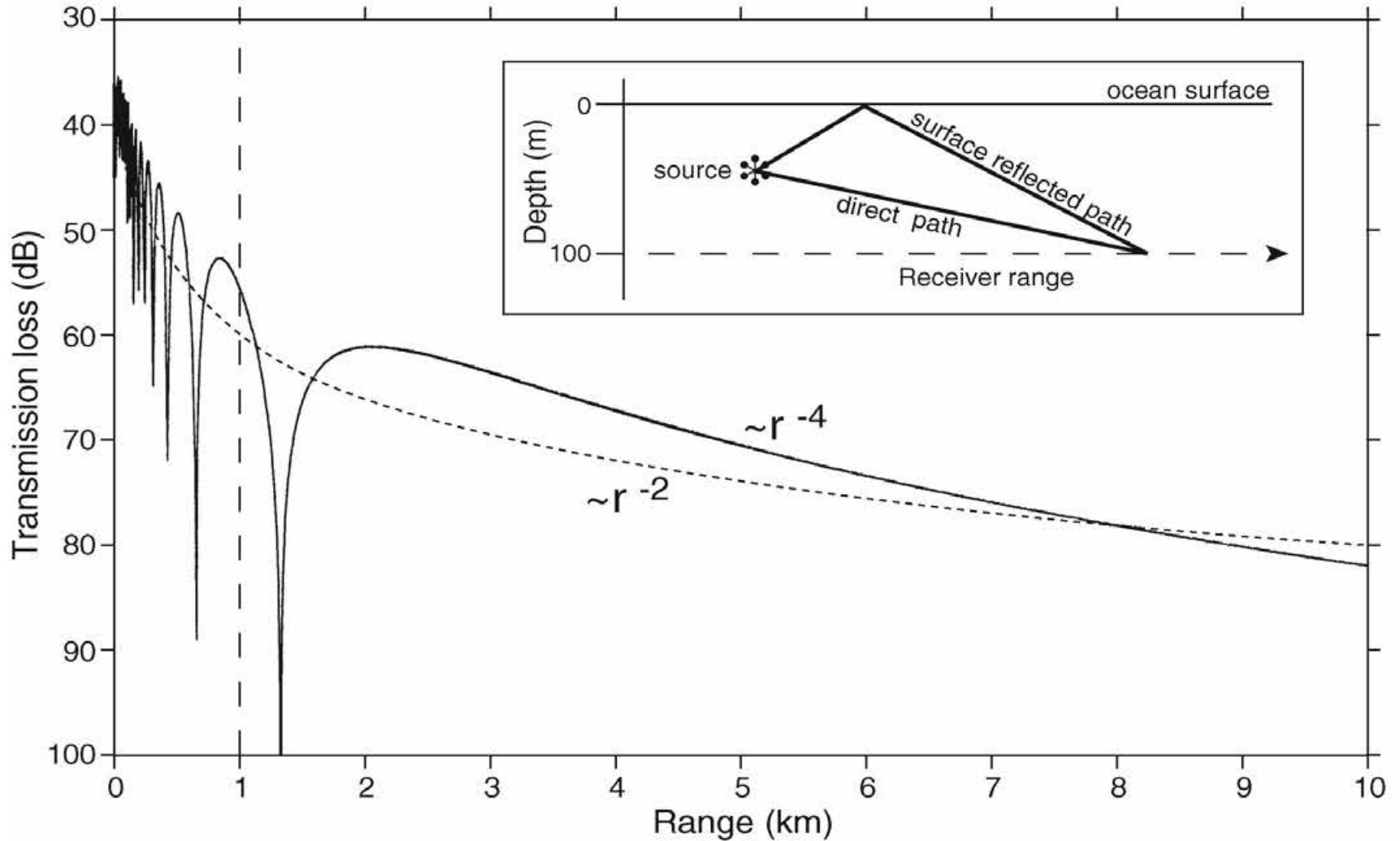
$$I \propto \frac{1}{2\pi RD}$$

## Transmission Loss

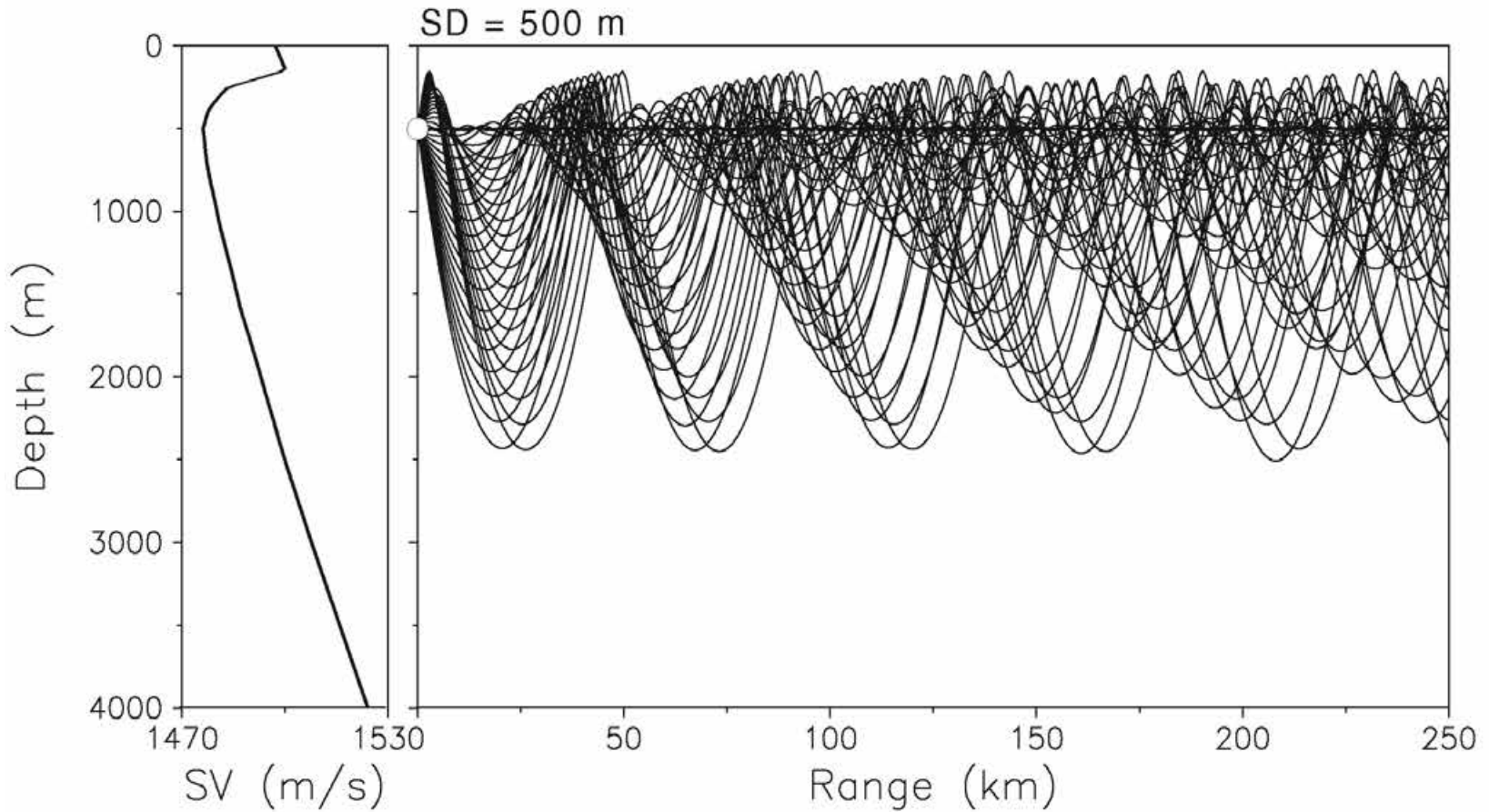
$$TL = 20 \log_{10} \frac{p(r;z)}{p(r=1m)} = 10 \log_{10} \frac{I(r;z)}{I(r=1m)}$$



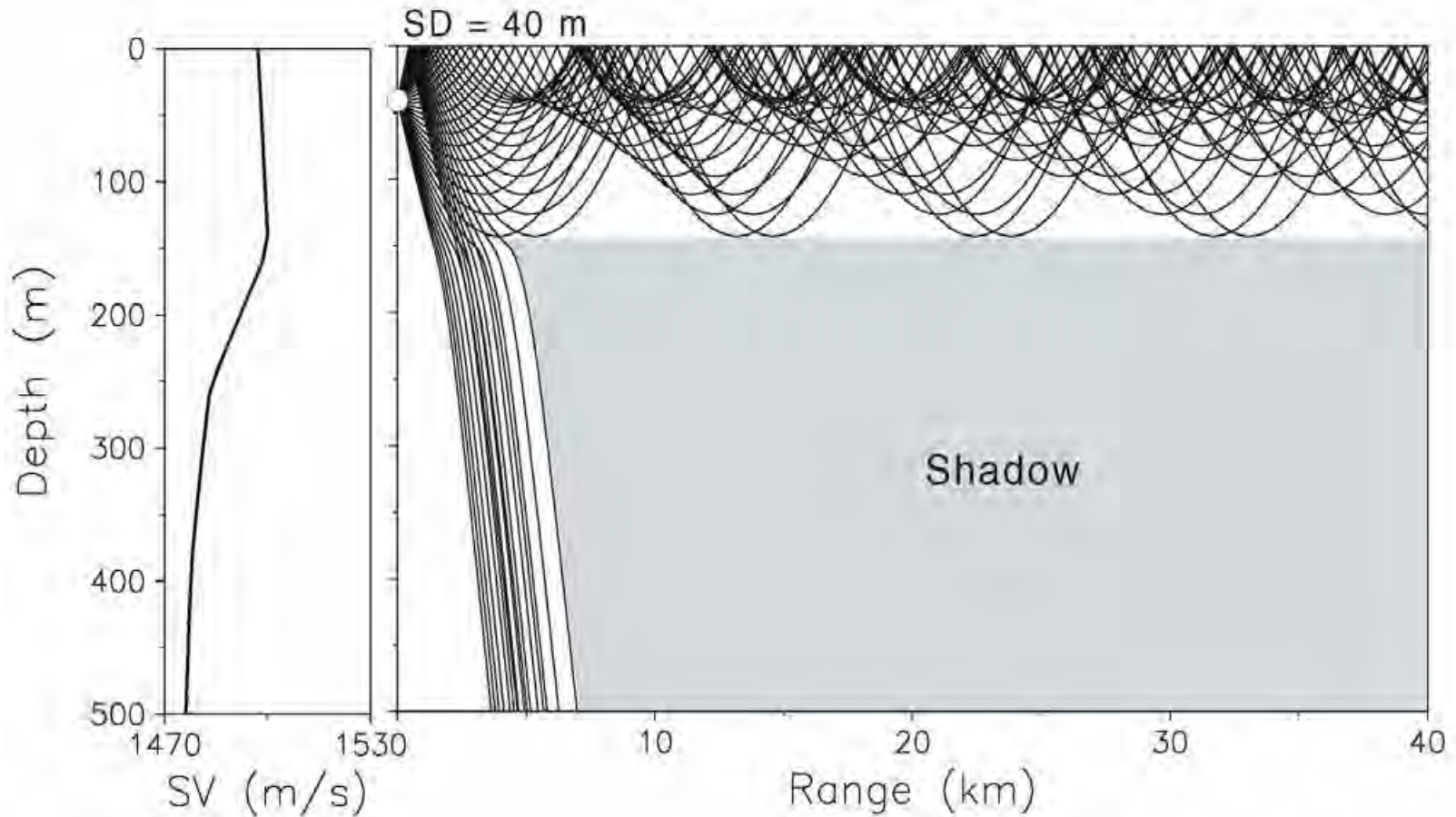
# Ocean Waveguide Boundary Effects Lloyd-Mirror Pattern



# Deep Ocean Waveguide Propagation SOFAR Channel Propagation Norwegian Sea

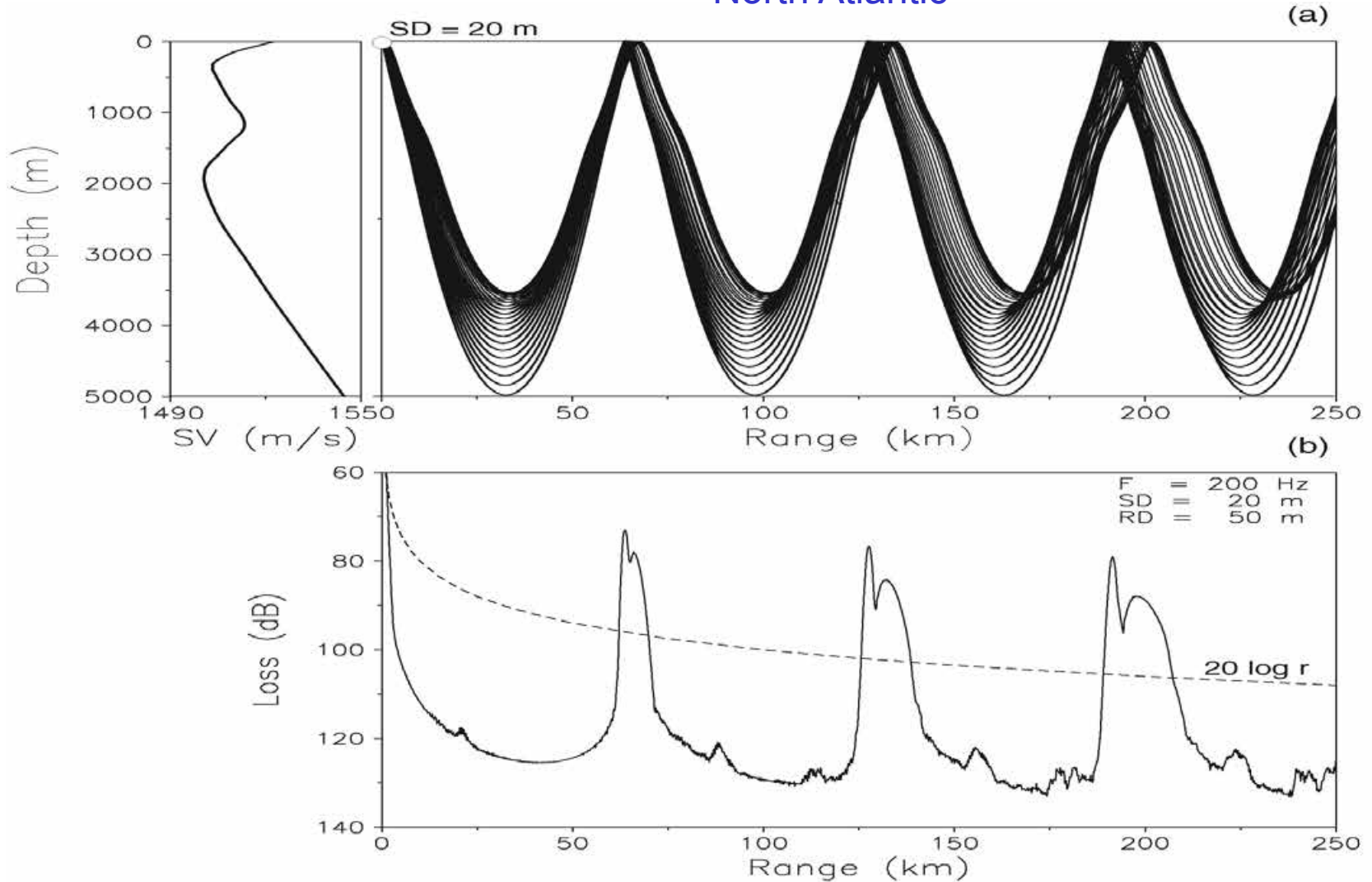


# Deep Ocean Waveguide Propagation Surface Duct Propagation Norwegian Sea

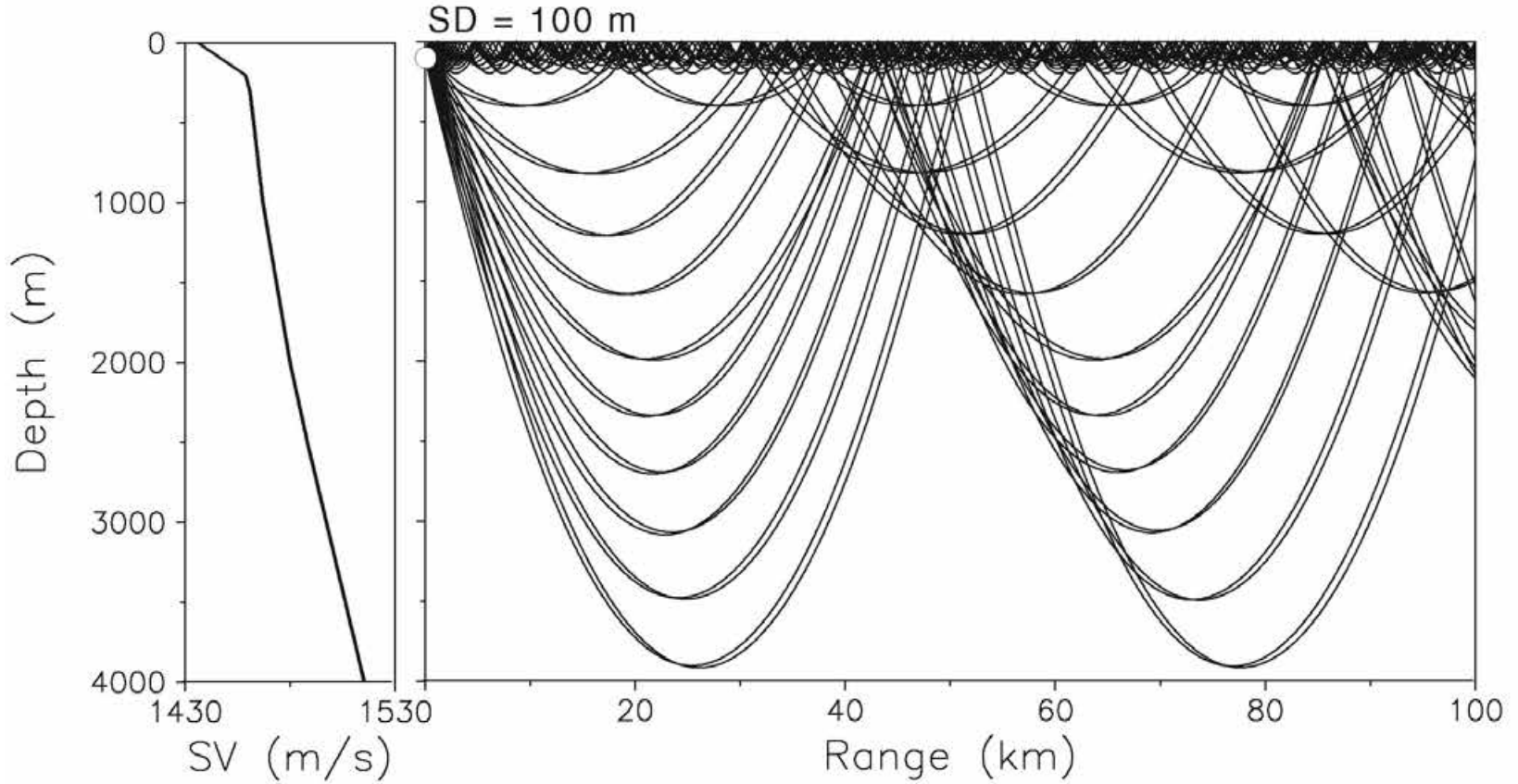




# Deep Ocean Waveguide Propagation Convergence Zone Propagation North Atlantic

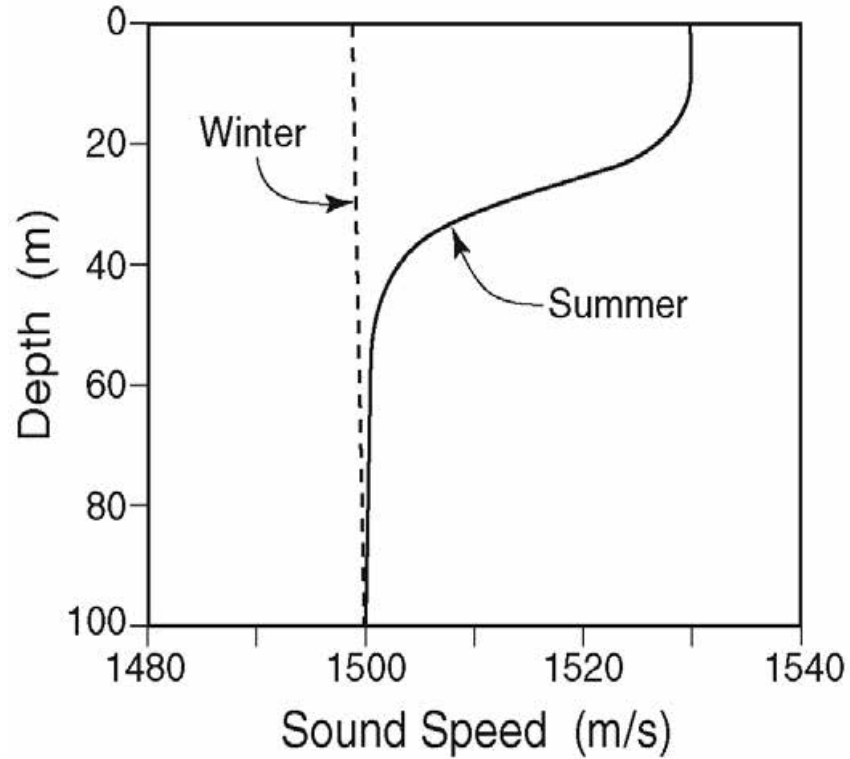


# Deep Ocean Waveguide Propagation Polar Environments Arctic Ocean

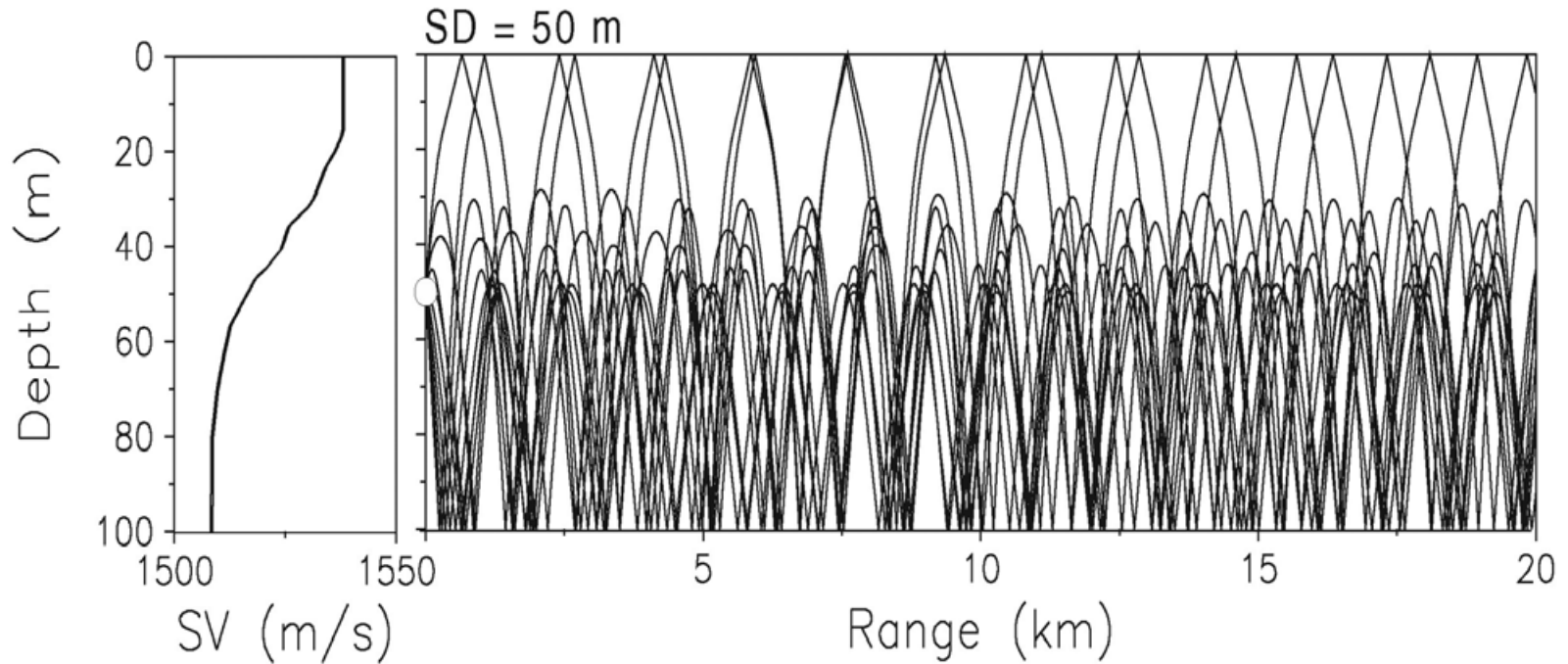




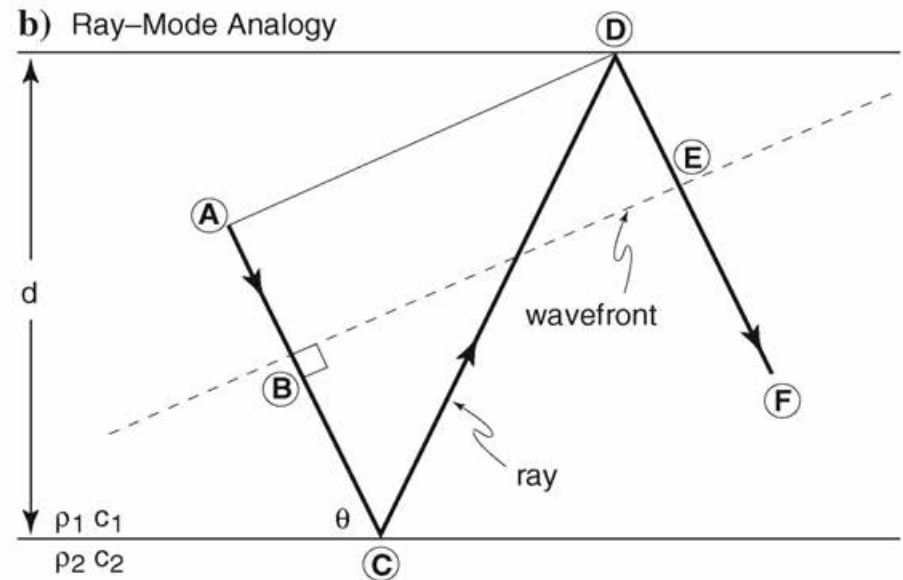
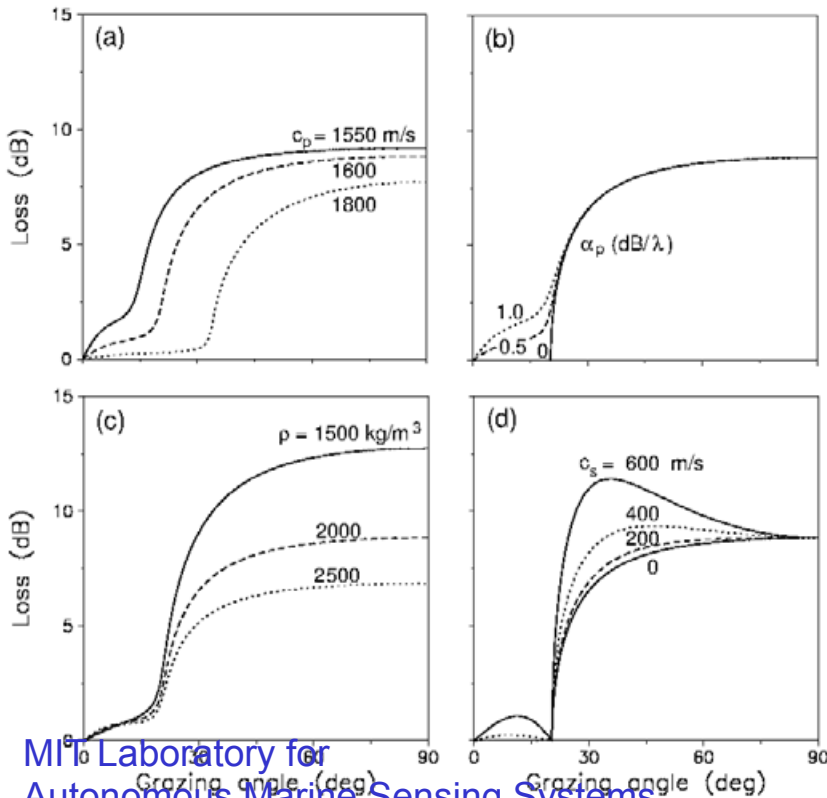
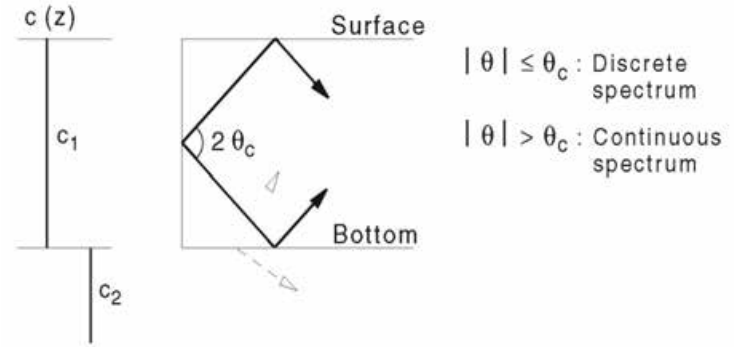
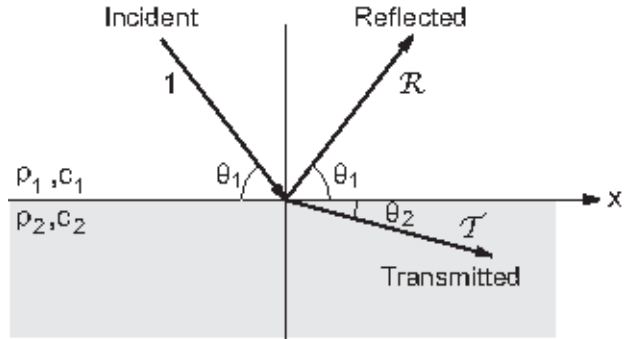
# Shallow Water Seismo-Acoustics



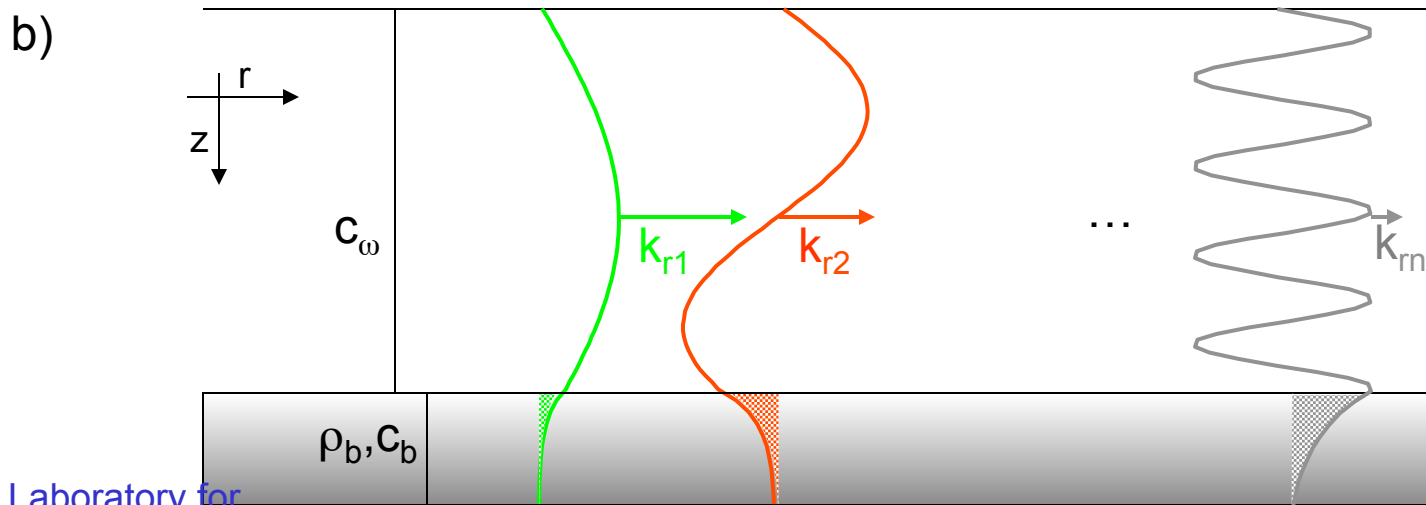
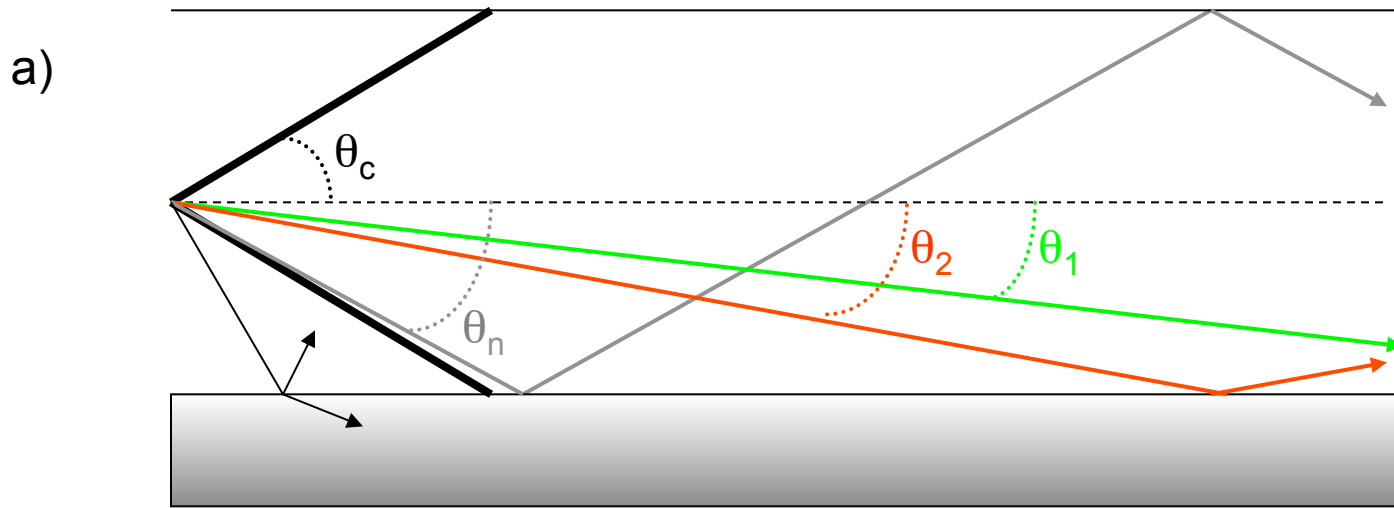
# Shallow Water Propagation Mediterranean Summer



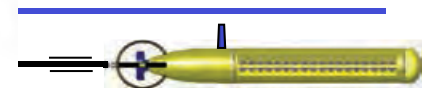
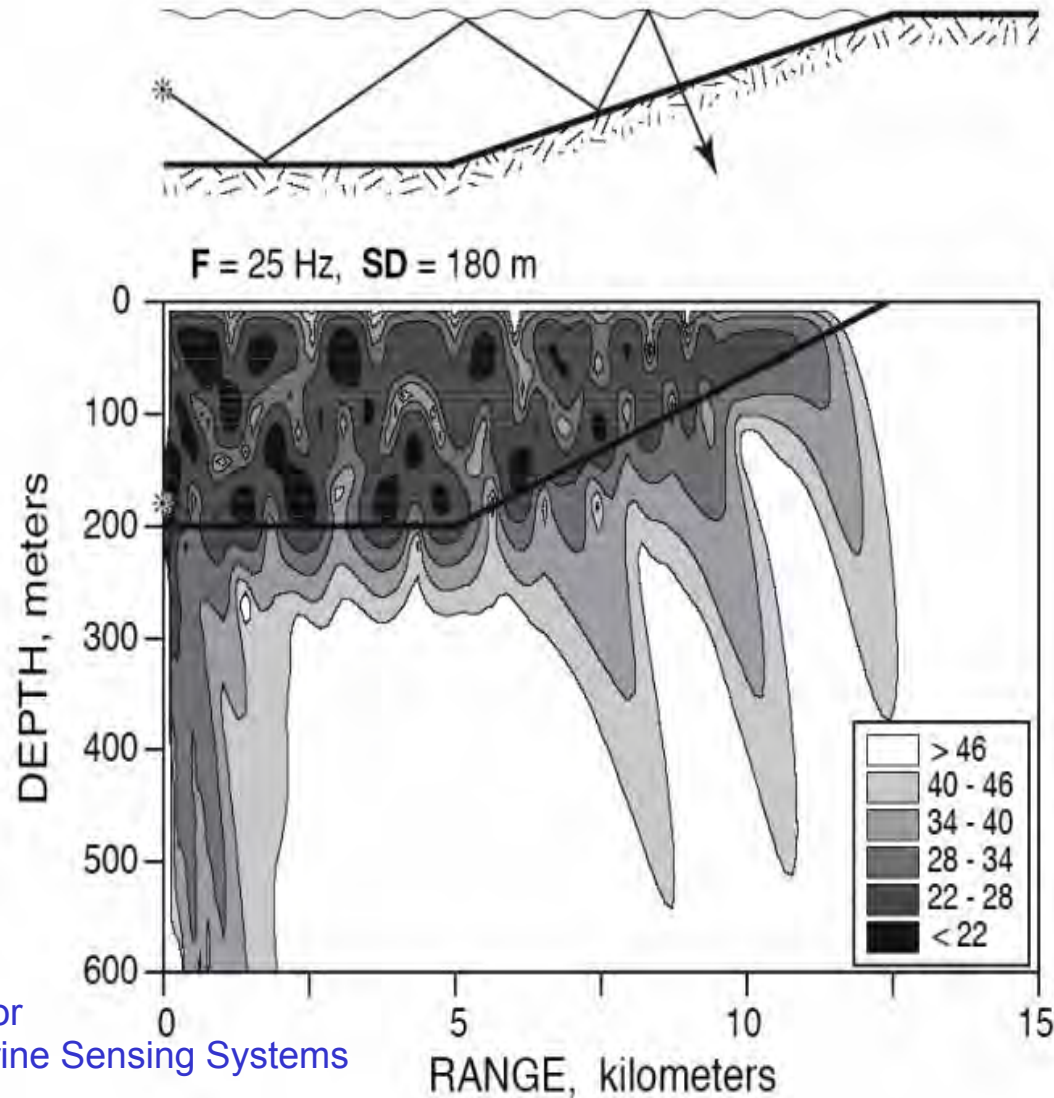
# Shallow Water Acoustics Bottom Interaction



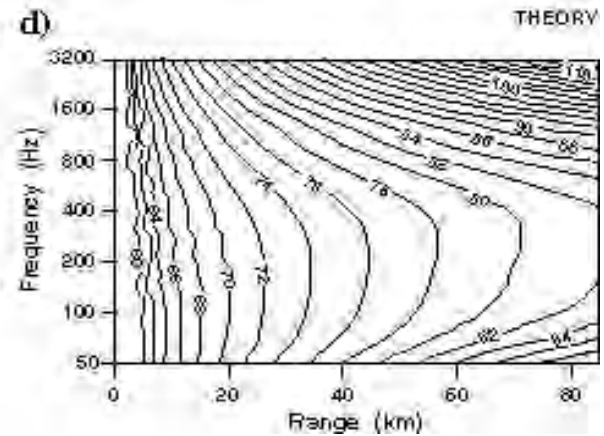
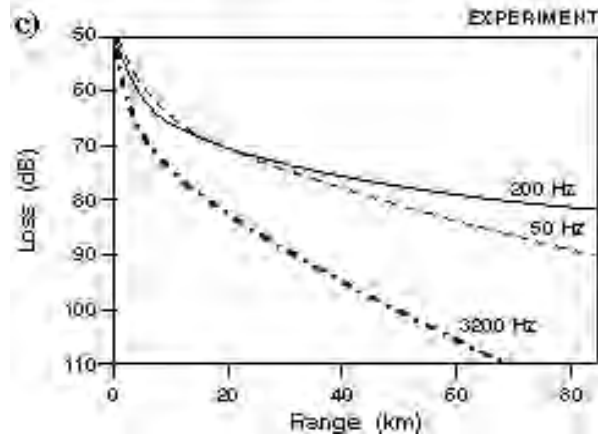
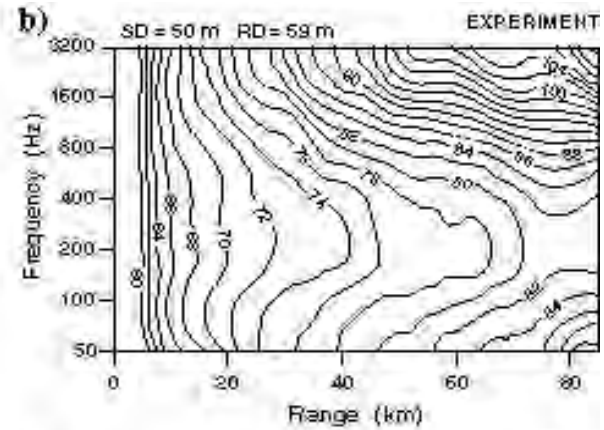
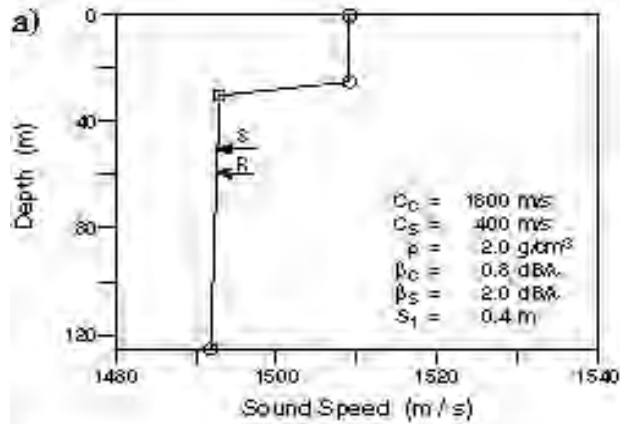
# Shallow Water Propagation Ray-Mode Analogy



# Shallow Water Acoustics Mode Cutoff

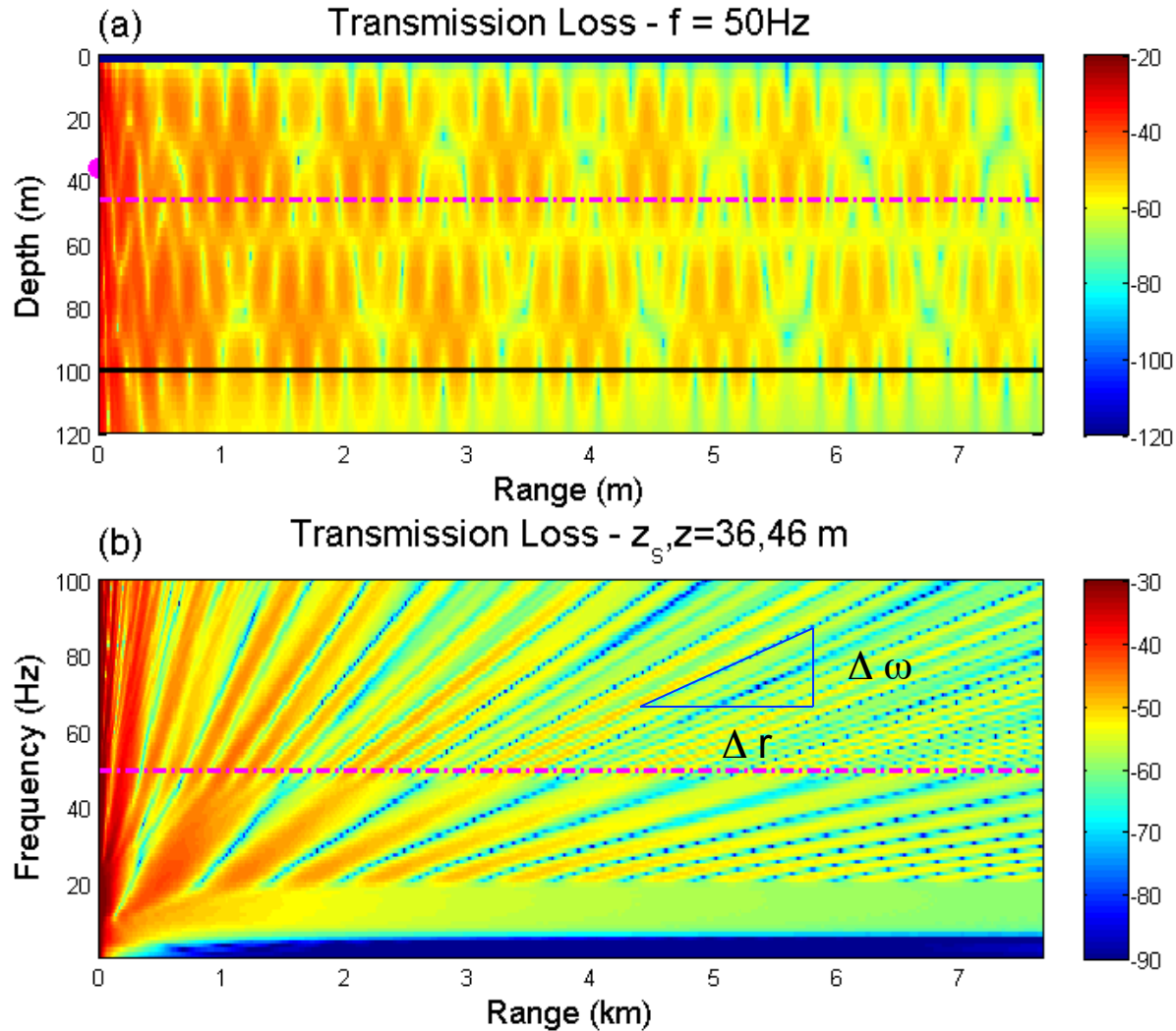


## OPTIMUM FREQUENCY CURVES





# Shallow Water Propagation Modal Interference





# Waveguide Invariants

## Taylor Expansion for Group of Modes

$$s_{gn} = s_g + \frac{ds_g}{ds_p}(s_{np} - s_p),$$

## Frequency-Range TL Maxima

$$\frac{\Delta r}{\Delta \omega} = -\frac{r ds_g}{\omega ds_p}.$$

## Waveguide Invariant

$$\frac{1}{\beta} \equiv -\frac{ds_g}{ds_p} = -\left(\frac{v}{u}\right)^2 \frac{du}{dv},$$

$$\frac{\Delta \omega}{\Delta r} = \beta \frac{\omega}{r},$$

$$\frac{\omega}{\omega_0} = \left(\frac{r}{r_0}\right)^\beta.$$

## Ideal Waveguide

$$k_{rn} \equiv \omega/v_n = k \cos \theta_n = (\omega/c) \cos \theta_n$$

## Phase and Group velocity

$$v_n = \frac{\omega}{k_r} = \frac{c}{\cos \theta_n}$$

$$u_n = c \cos \theta_n$$

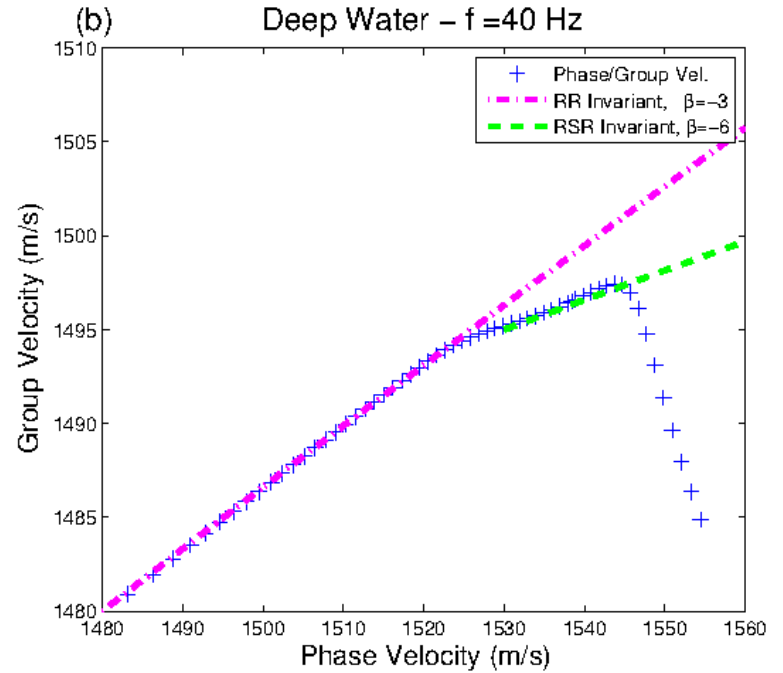
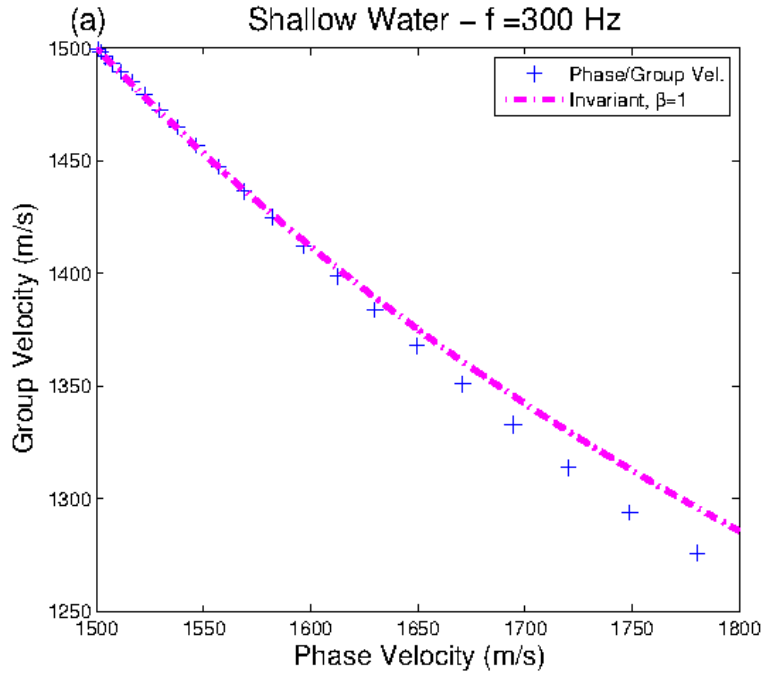
$$\beta = \cos^2 \theta.$$

## Small grazing angles

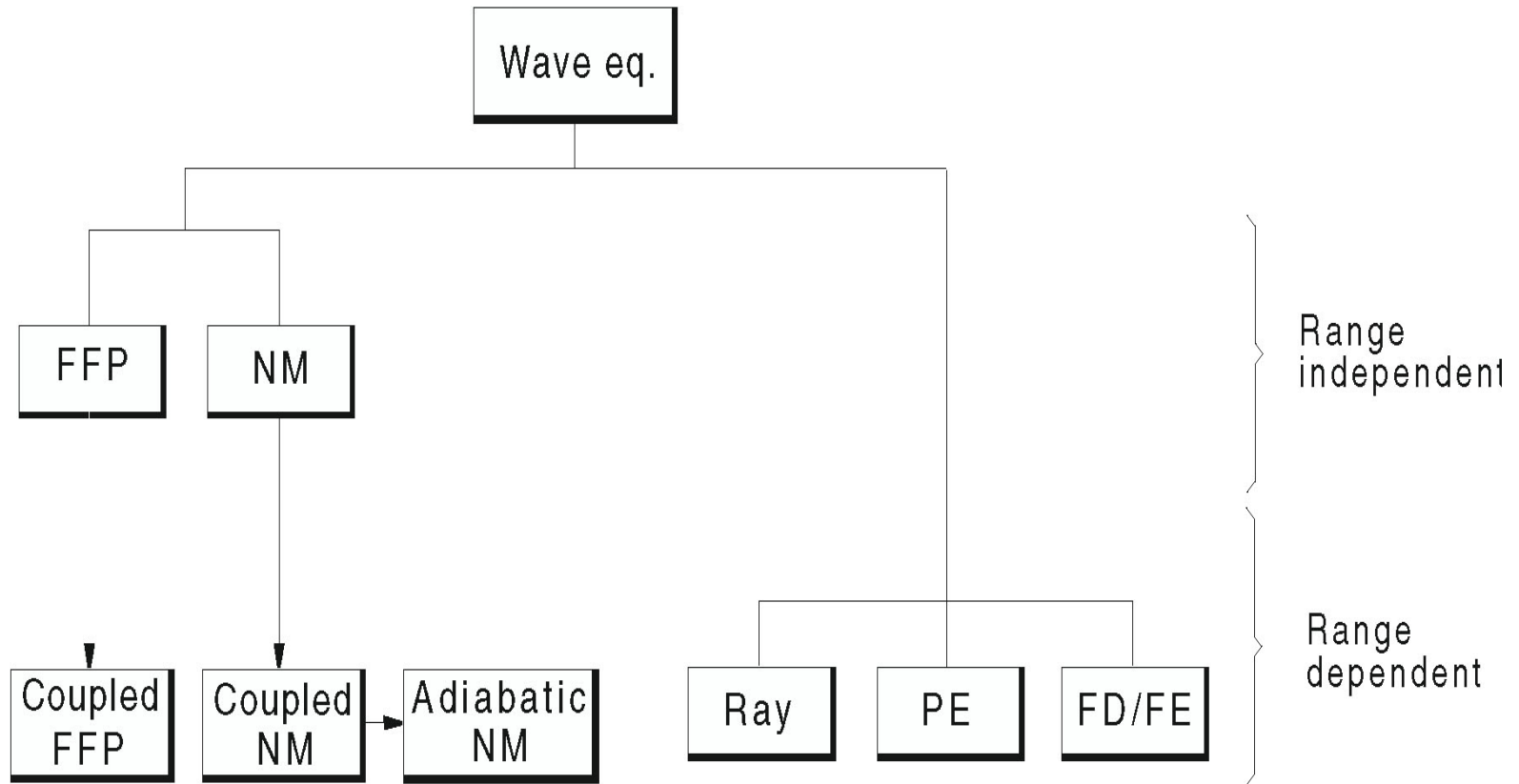
$$\beta \approx 1$$



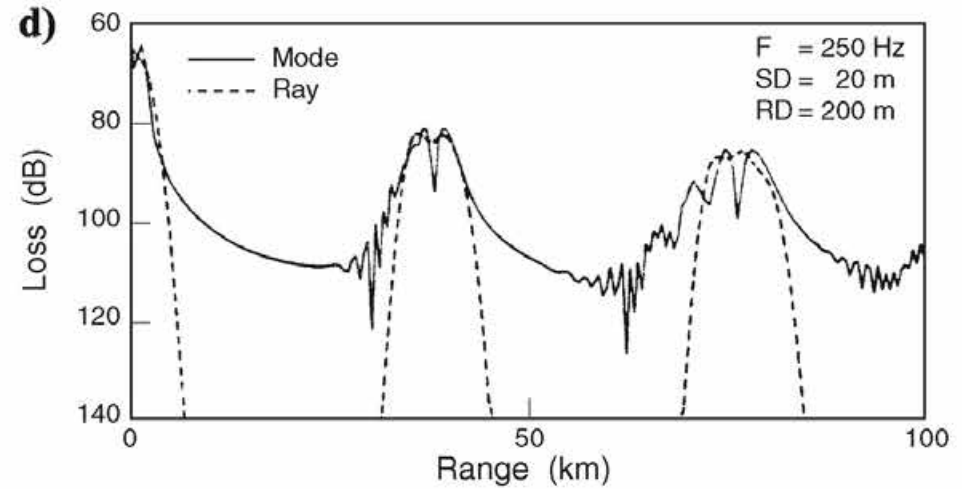
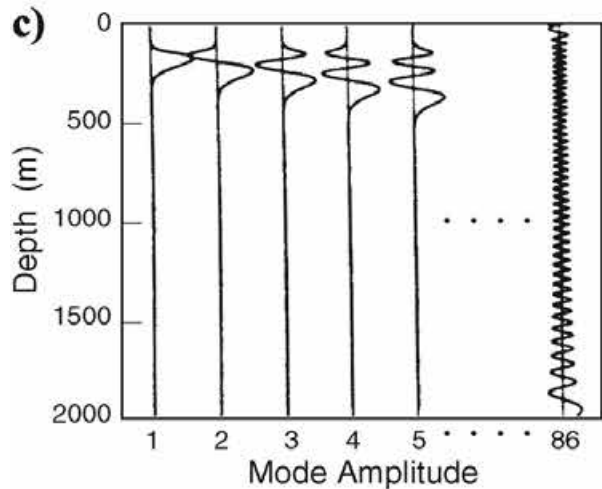
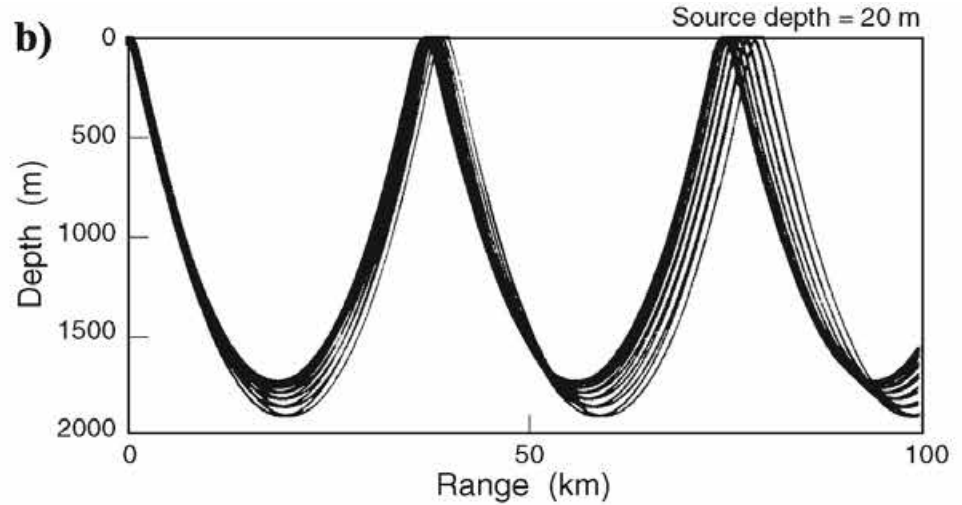
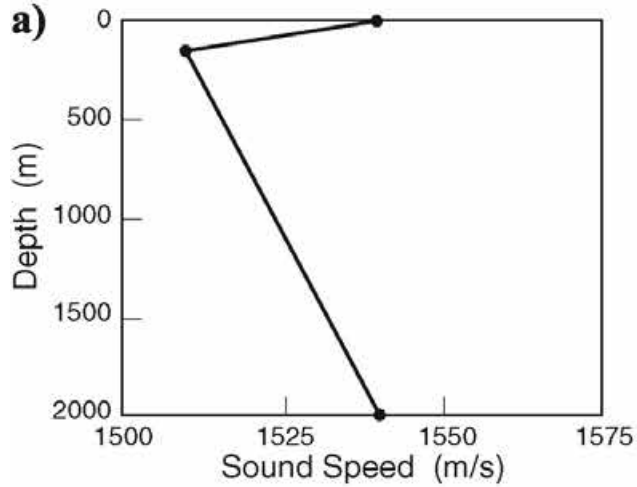
# Waveguide Invariants



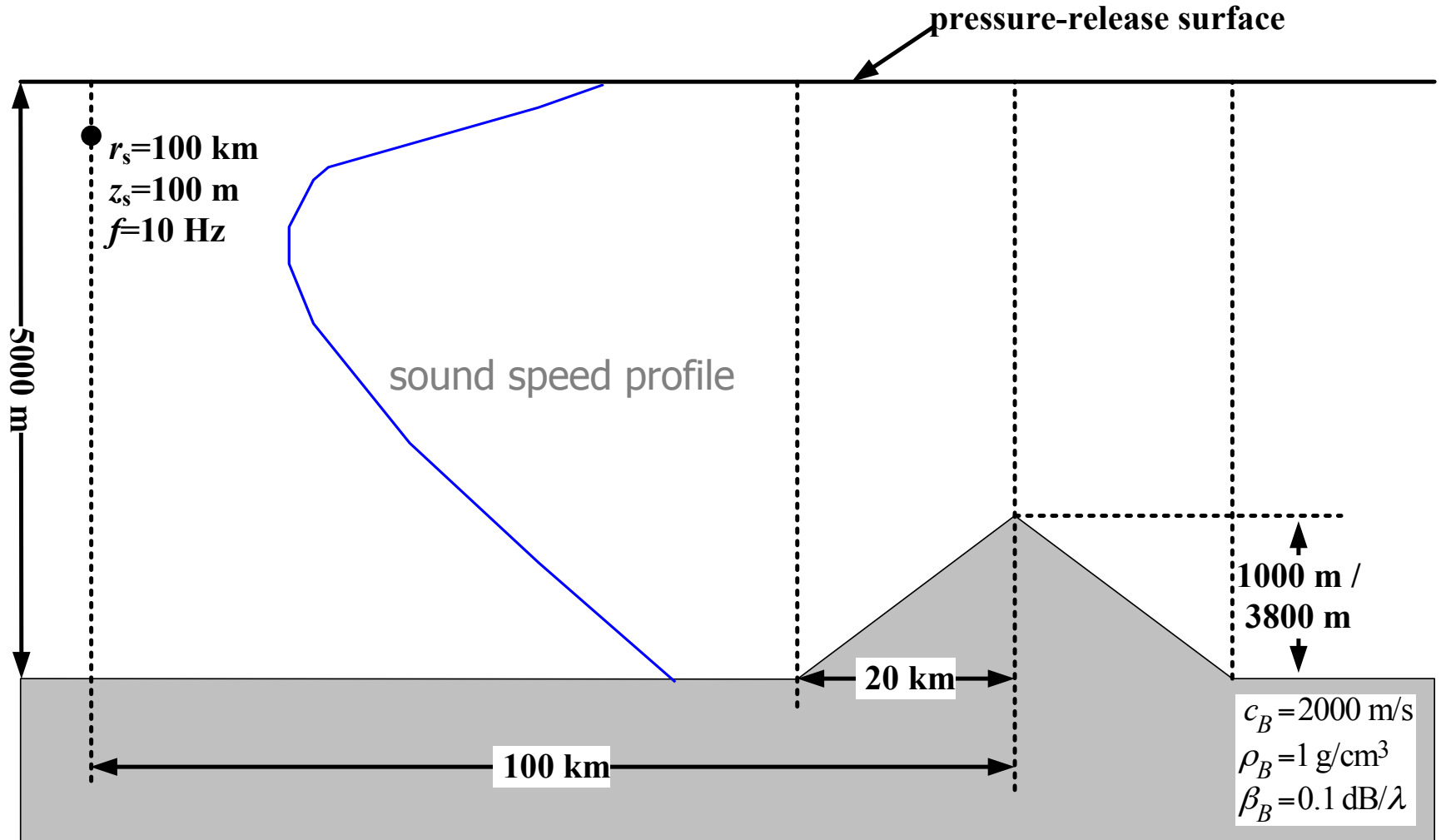
# Hierarchy of Underwater Acoustic Propagation Models



# Model Consistency Normal Modes and Rays

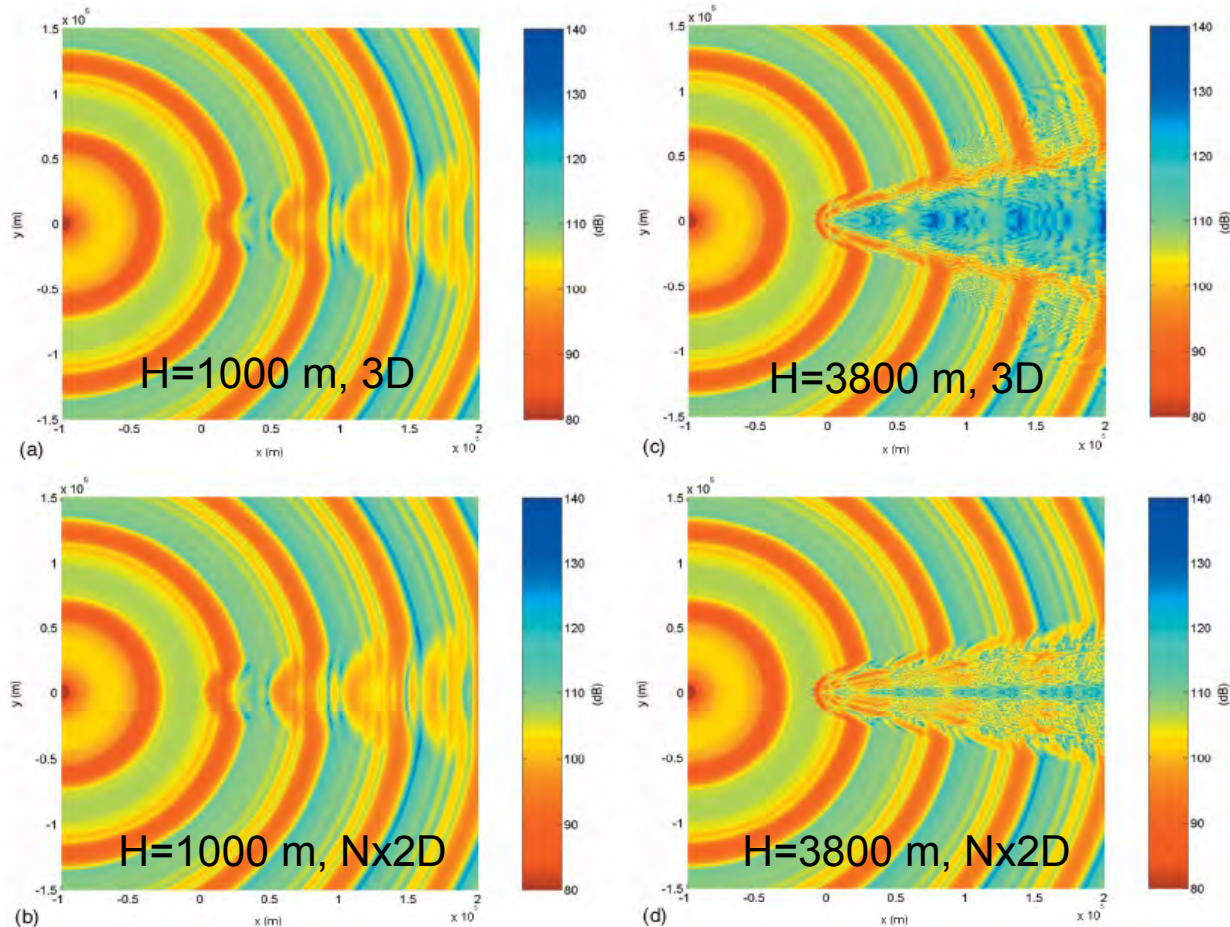


# 3D Propagation Around Conical Seamount



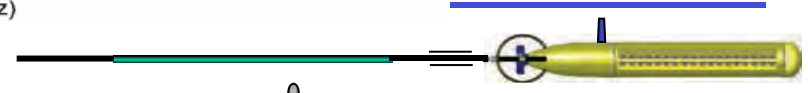
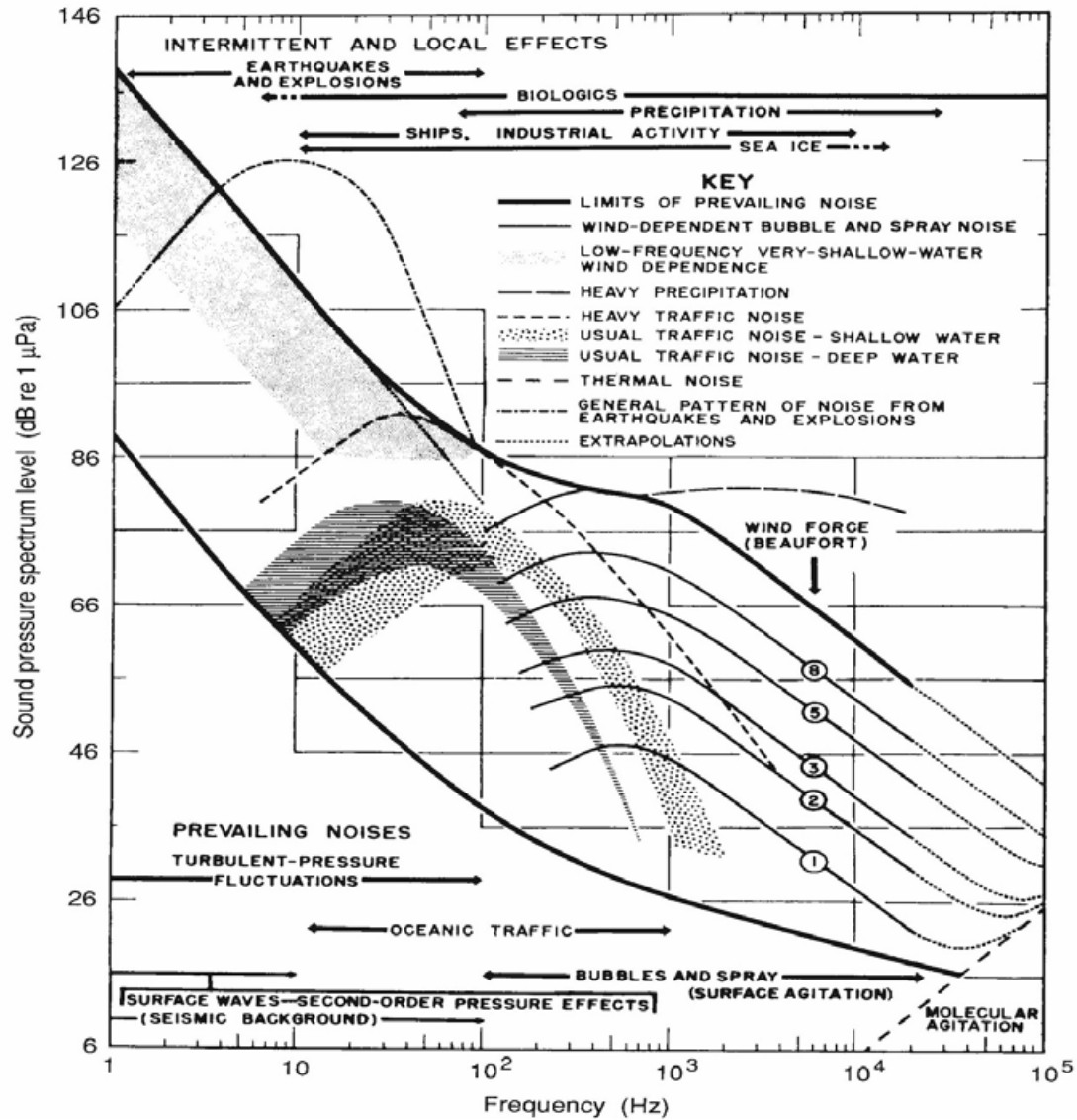
# Comparison between 3D and Nx2D solutions

TL in the horizontal plane at depth 300 m. The source frequency is 10 Hz.



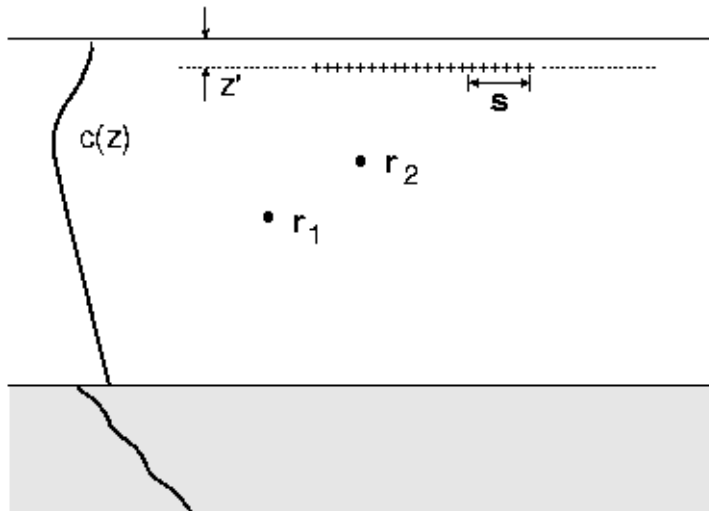


# AMBIENT NOISE SPECTRA Wenz Curves





## Helmholtz Equation - Horizontal Source Distribution



$$(\nabla^2 + k^2) \phi_\omega(\mathbf{r}, z) = -S_\omega(\mathbf{r}') \delta(z - z'),$$

*Solution*

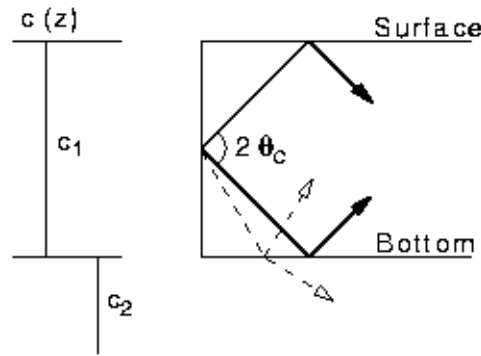
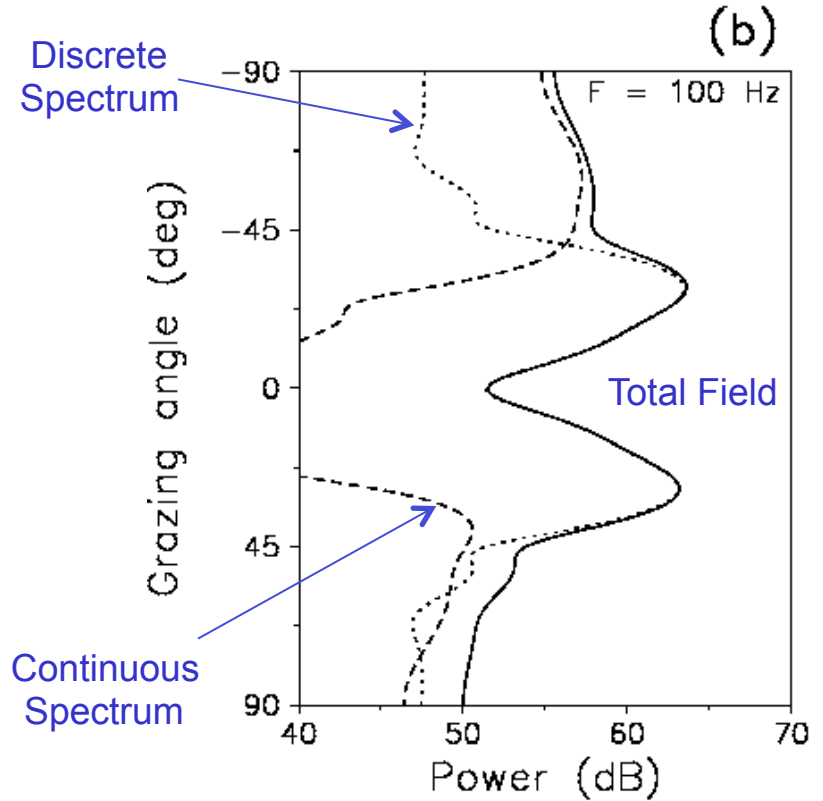
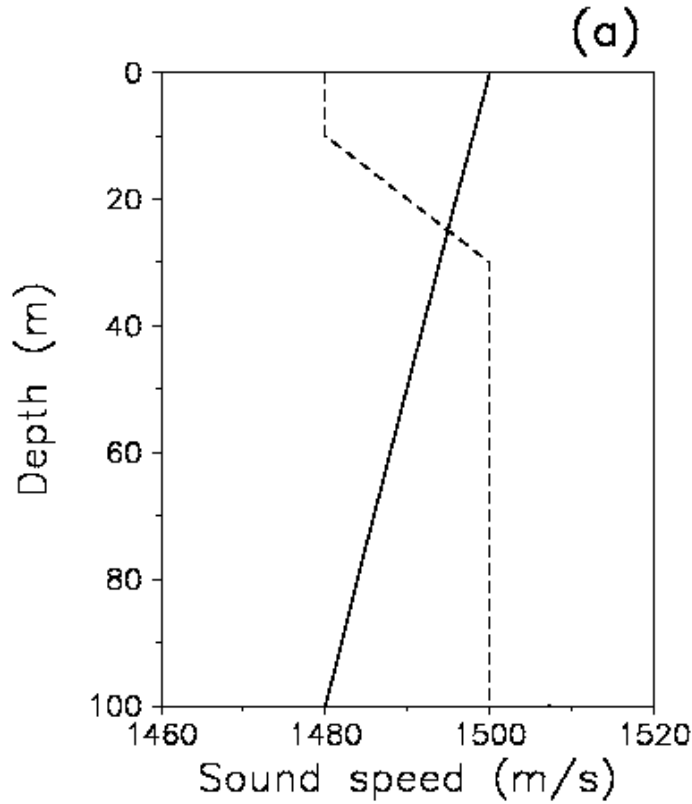
$$\phi(\mathbf{r}, z) = \int S(\mathbf{r}') g(\mathbf{r}, \mathbf{r}'; z, z') d^2\mathbf{r}',$$

*Green's function*

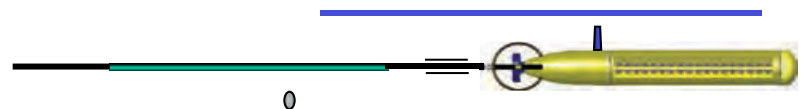
$$(\nabla^2 + k^2) g(\mathbf{r}, \mathbf{r}'; z, z') = -\delta^2(\mathbf{r} - \mathbf{r}') \delta(z - z'),$$



# Surface Noise in a Stratified Ocean



$|\theta| \leq \theta_c$  : Discrete spectrum  
 $|\theta| > \theta_c$  : Continuous spectrum

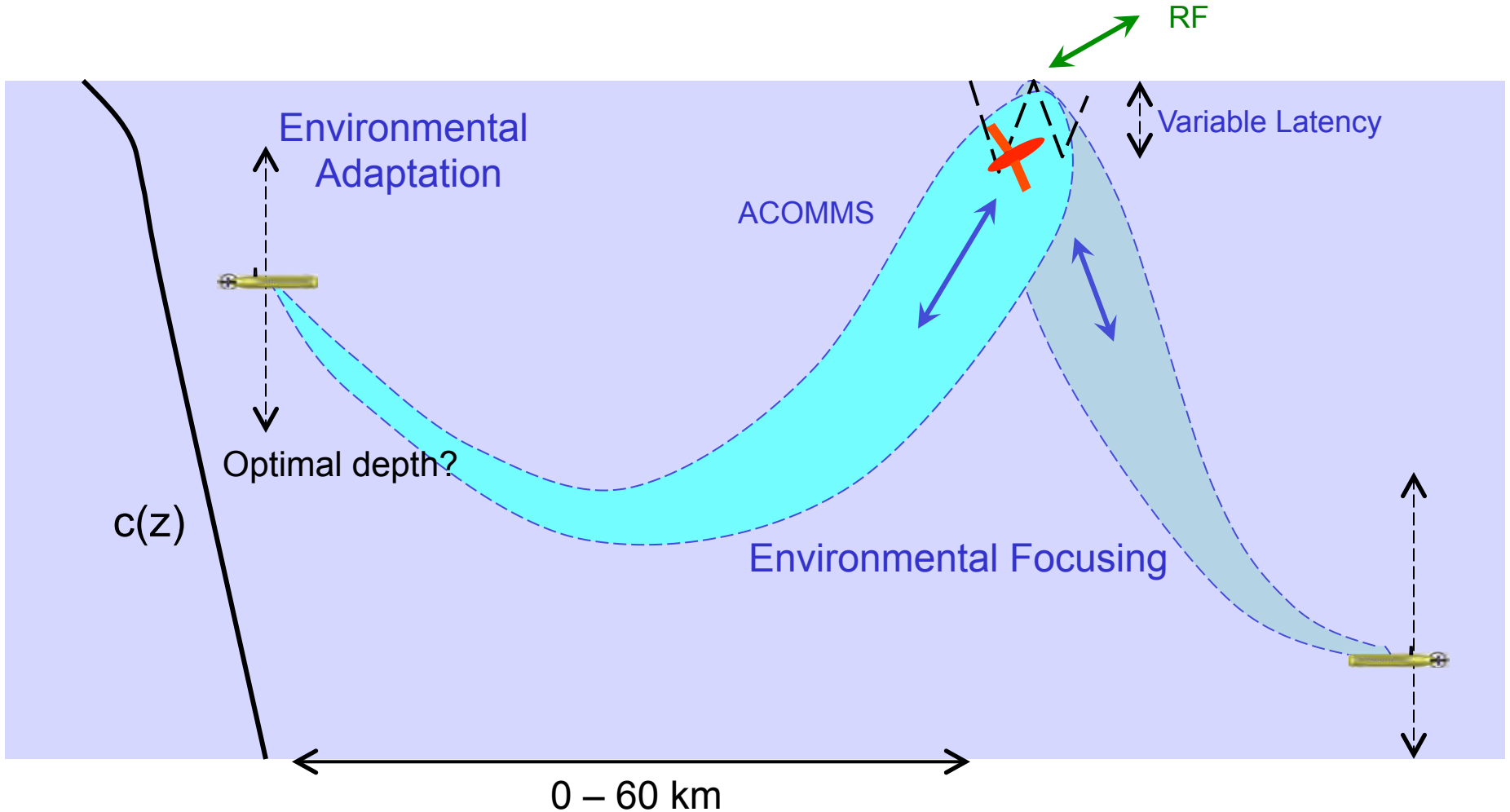


# Nested Autonomy

## Model-Based Environmental Acoustic Adaptation

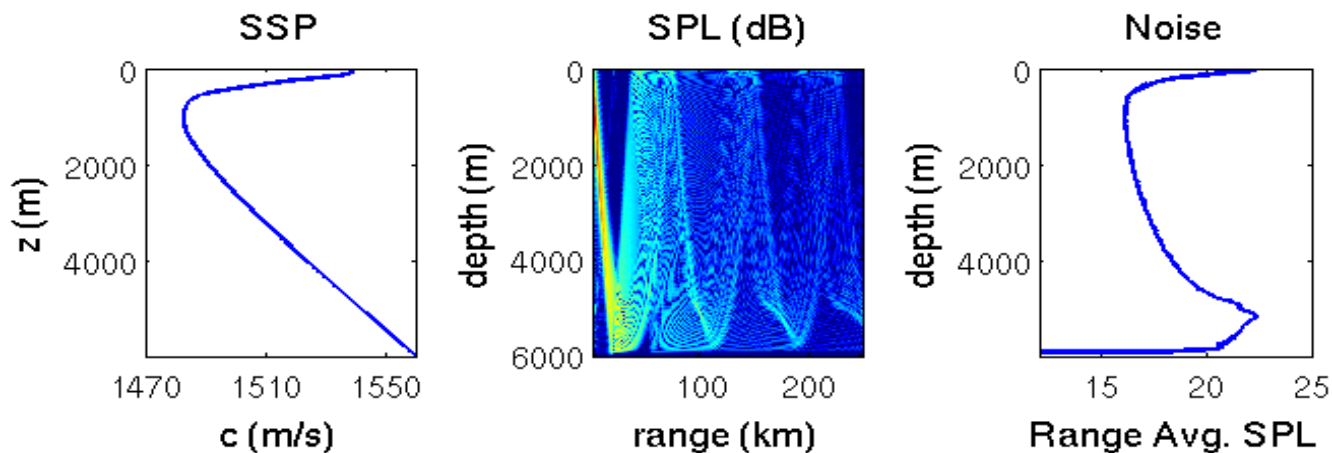


# Deep Ocean Sensing and Communication Environmental Adaptation

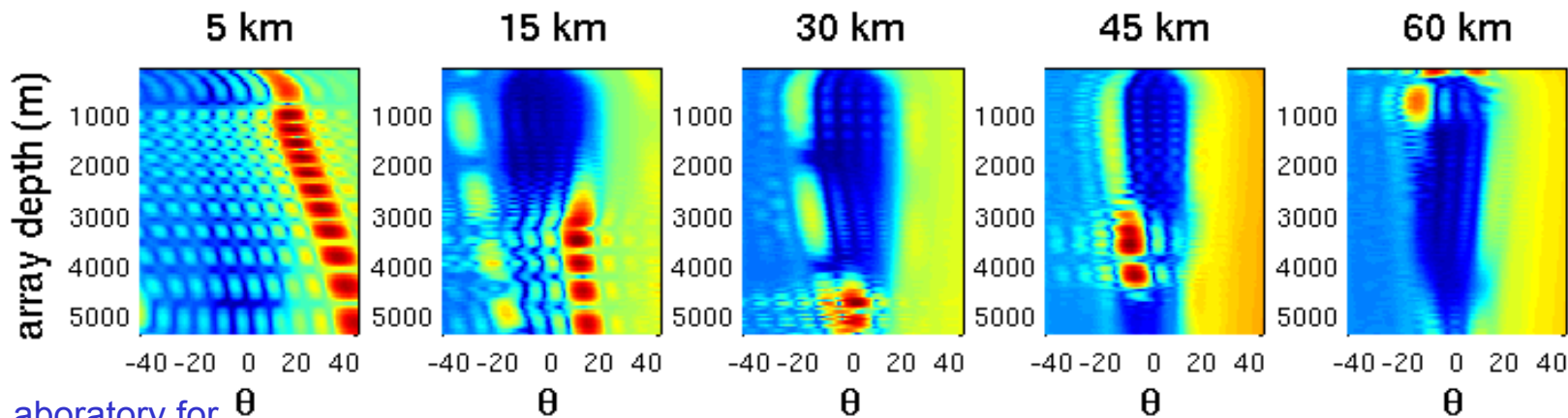


# Extending Sonar Range through Depth Adaptation

## Full Angular Spectrum Signal and Noise Modeling



## RAP Path Extension by Vertical Mobility

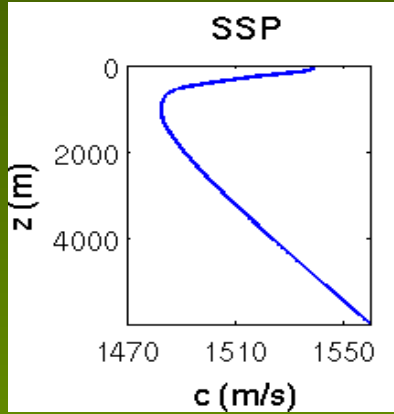


# Model-based Environmental Adaptation

## CONOPS

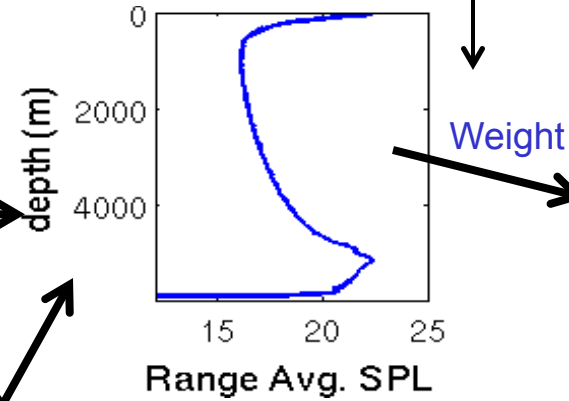
Power, Coverage, Mission mode, Operational Constraints

### Mission Manager

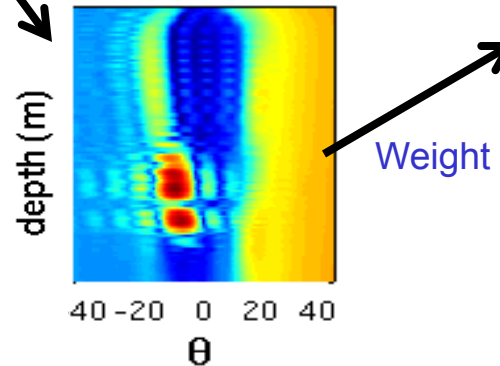


- Platform Mode
- Weights
- Array geometry
- Target Cues
- Target DCLT
- Passive
  - Active

### Ambient Noise

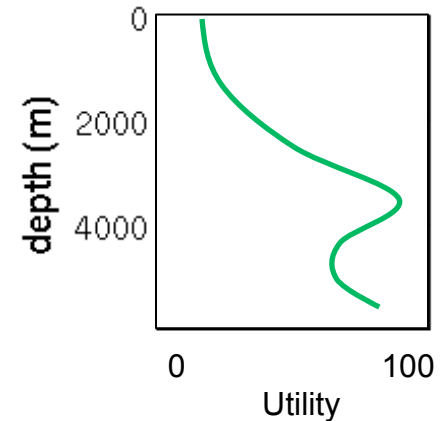


### Target @ 45 km



### IVP-Helm

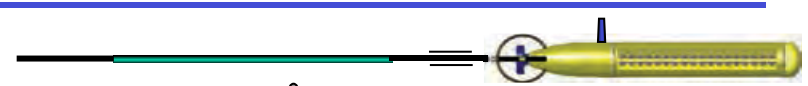
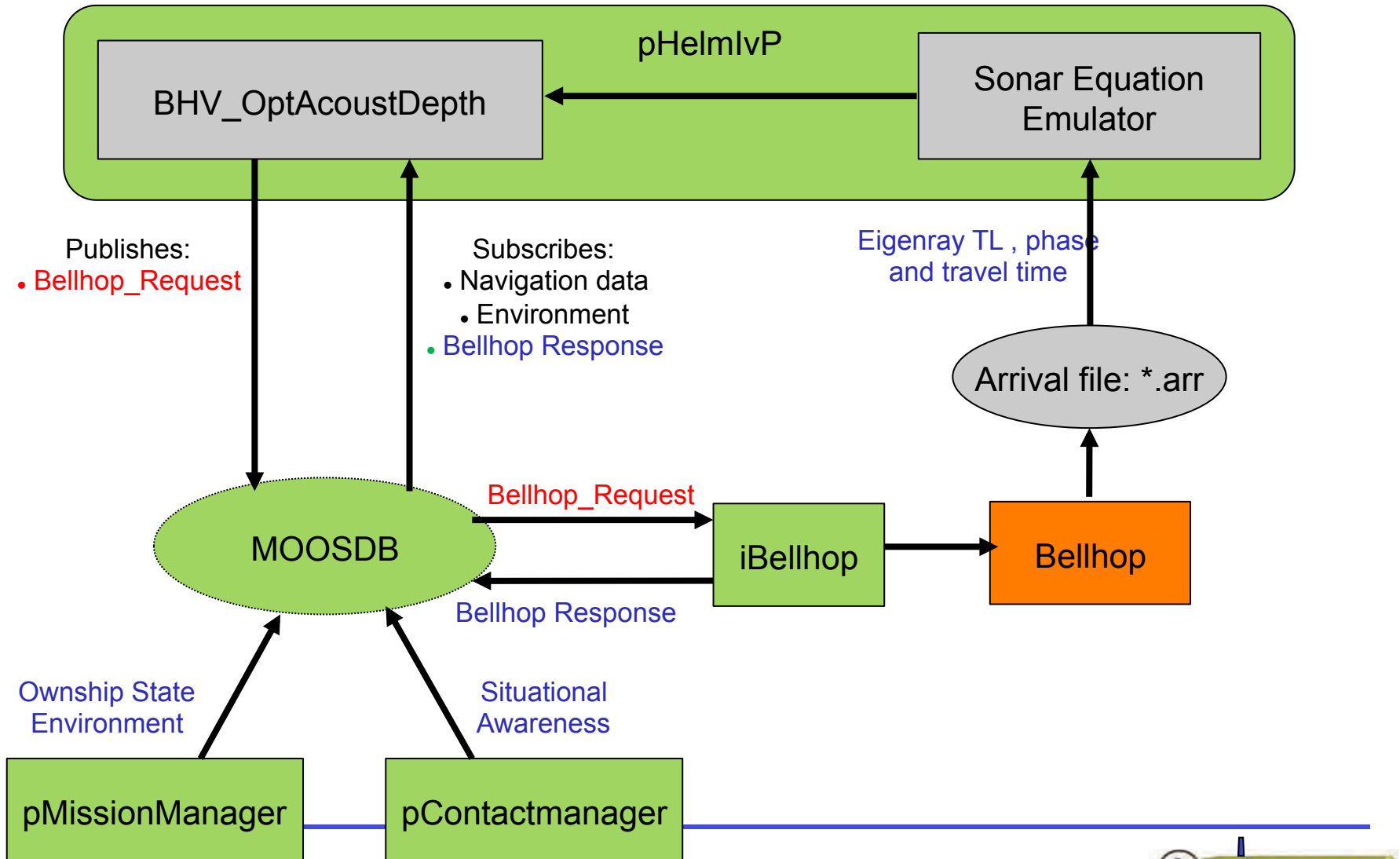
#### Depth Objective Function



DESIRED\_DEPTH

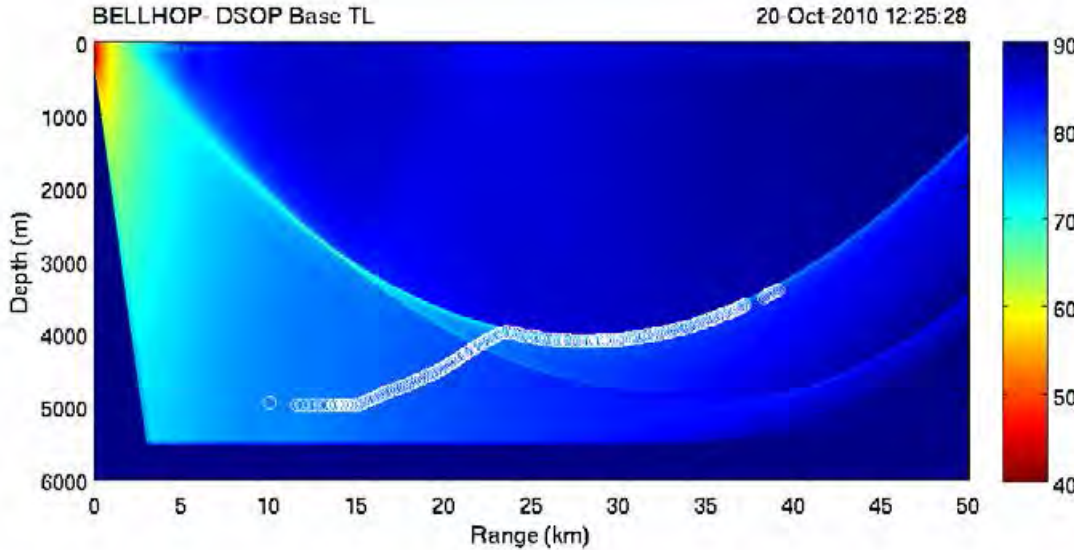


# Embedded Environmental Modeling





# Acoustic Tracking with Depth Adaptation



Transmission Loss

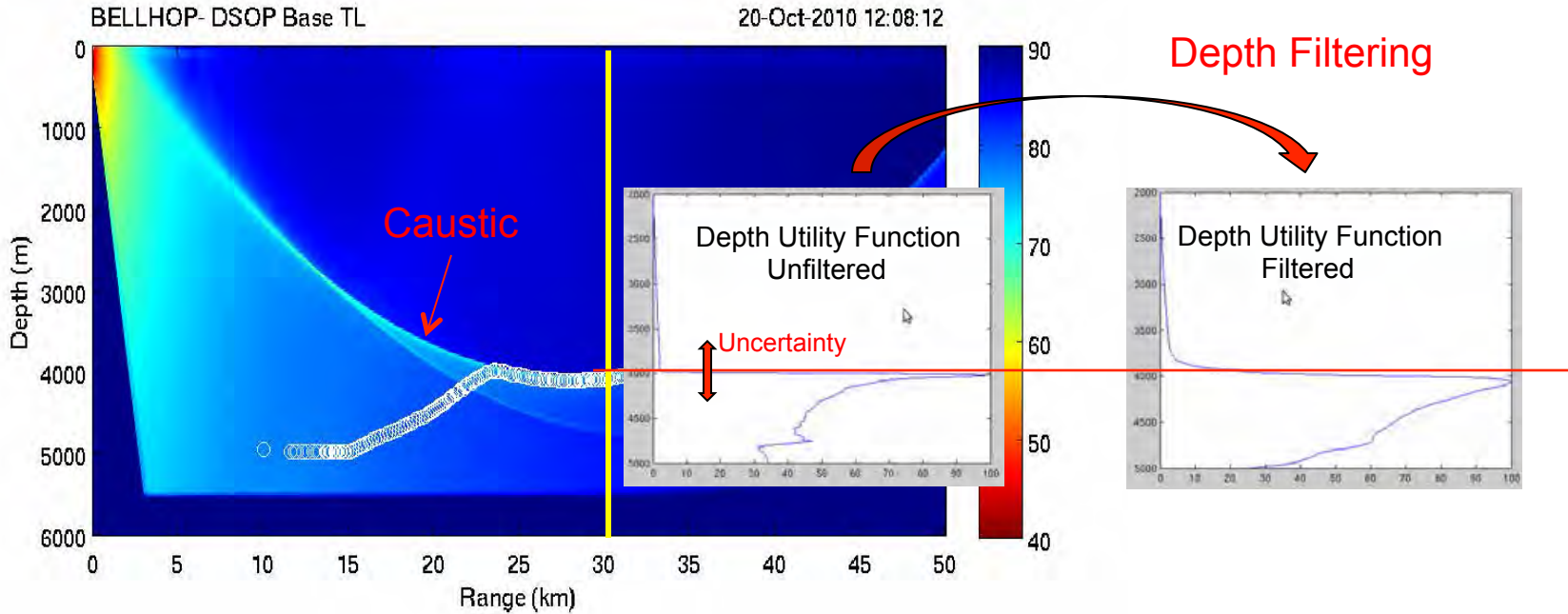
Source depth: 200 m  
Source speed: 16 kn  
Frequency: 800-1000 Hz

- Prosecute CONOPS
  - Detection: Dive to depth with **maximum predicted signal excess (SE)**, align broadside to surveillance bearing, level, fire sonar, drift and adapt to target cue for next ping.
  - Tracking: After detection, maintain depth and track source in bearing and range until it moves out towards  $\frac{1}{2}$  CZ, then change depth dynamically to **depth with max SE for** forecast of source track.



# Hold-at-Risk Autonomy

## Robust Model-based Adaptation



- Depth-filtering of utility function
  - Avoid non-symmetric caustics – Must stay on ‘good side’
  - Filtering consistent with statistics of environmental acoustics



# Nested Autonomy

## Field Deployment Examples



# GLINT'08 – '10

## Generic Littoral Interoperable Network Technology





## Autonomous Sensing Network

Modem on a Rope  
MOR

Shore Lab  
Topside



Alliance  
Topside



Wireless Ethernet (HyperLan)

Leonardo  
Topside



RF

50 kbps @ 2.4GHz

Gateway  
Buoy

Kayaks



Acoustic Comms

80-5400 bps @ 25 kHz

REMUS



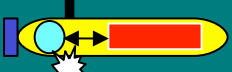
Leonardo  $\mu$ Modem

Alliance  $\mu$ Modem

BF21



IVER-II

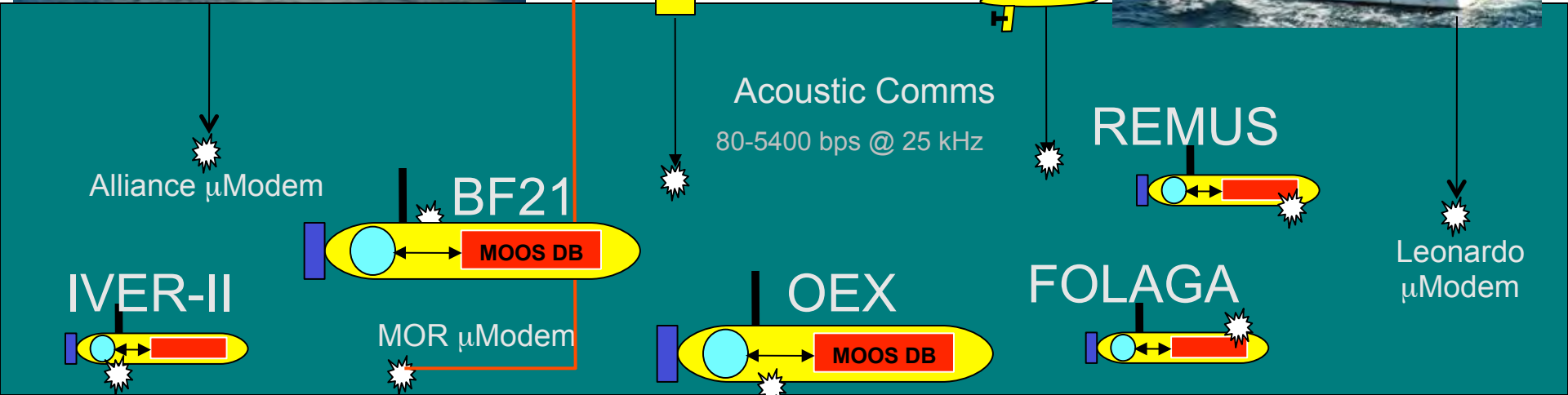


MOR  $\mu$ Modem

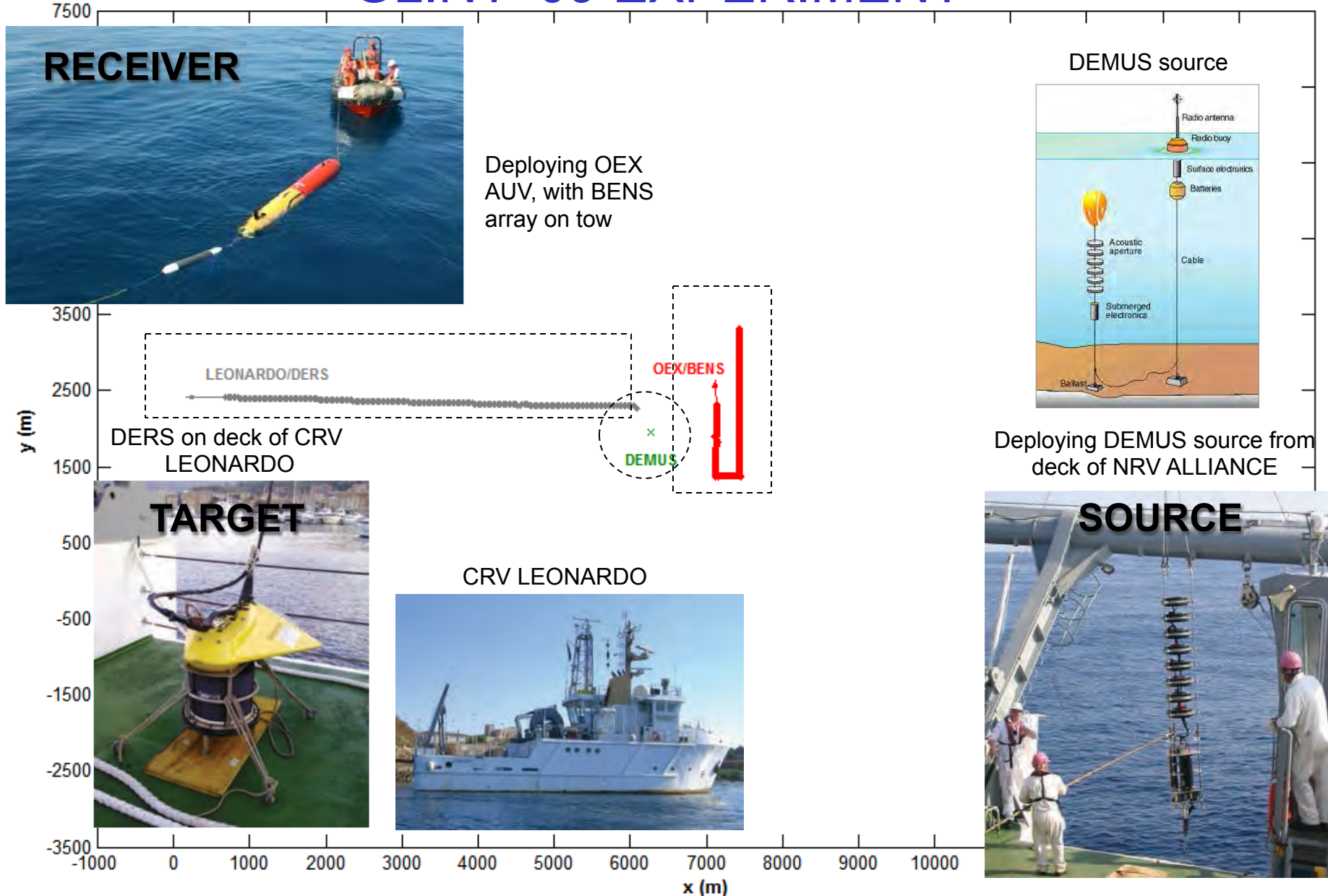
OEX



FOLAGA

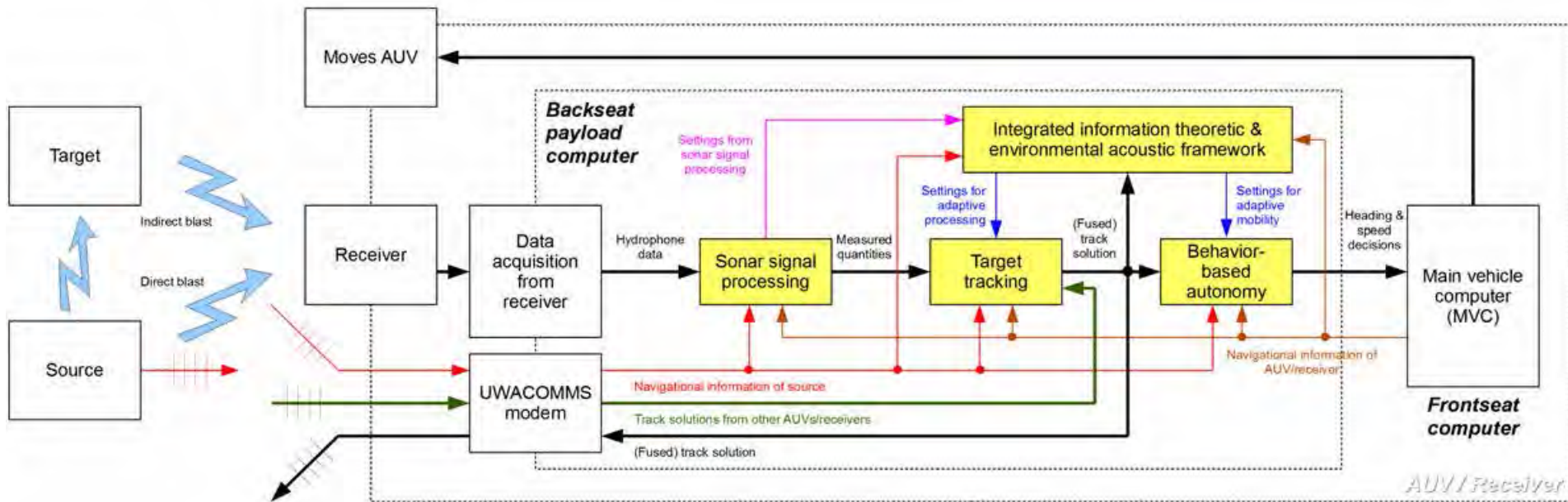


# Multistatic-Active target Tracking GLINT '09 EXPERIMENT



# Integrated, Adaptive Multistatic Processing and Control Framework

Raymond Lum (PhD 2/12)



## Key algorithms

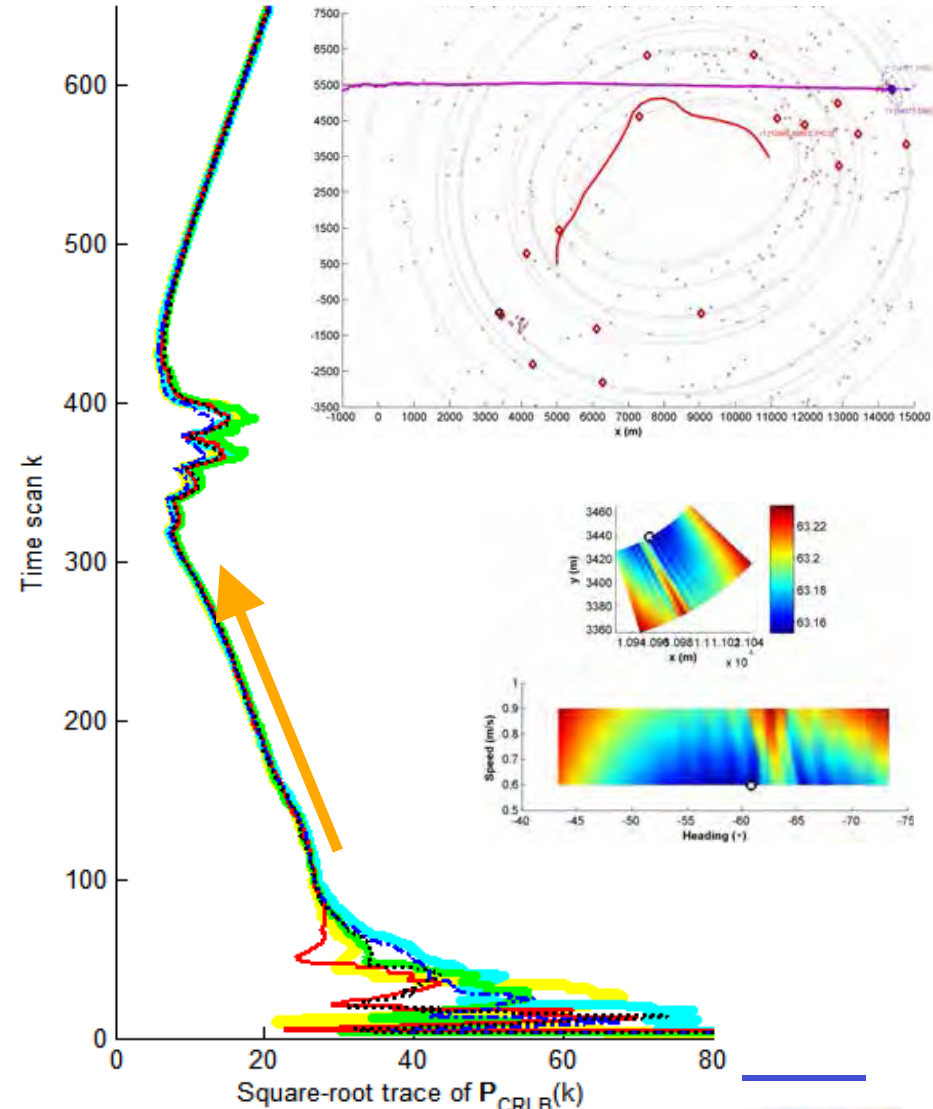
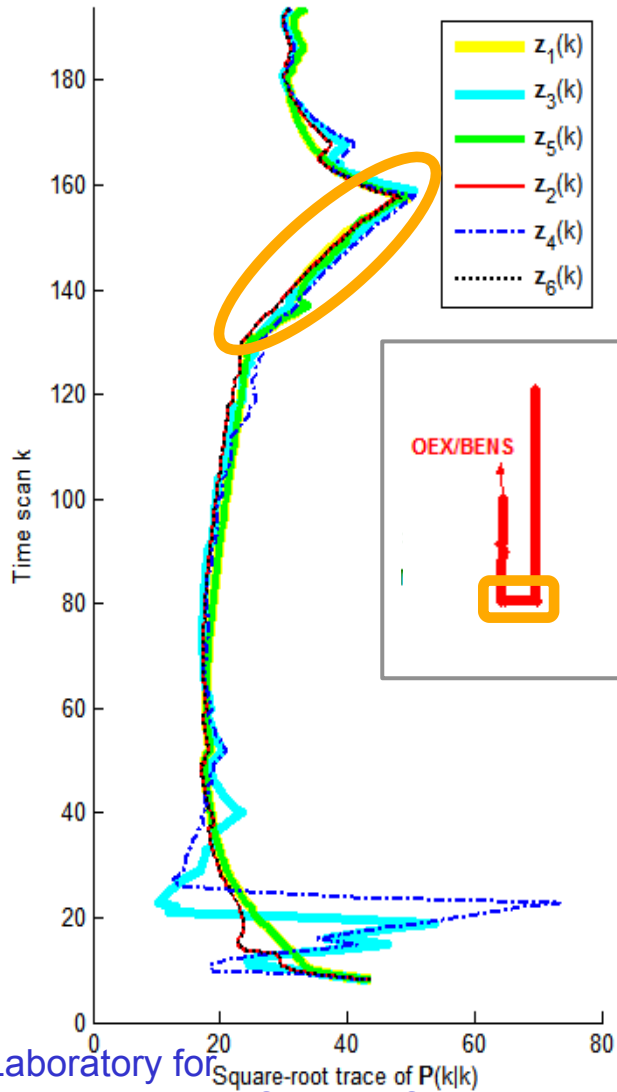
- Sonar signal processing
- Target tracking
- Behavior-based autonomy
- Integrated information theoretic & environmental acoustic framework



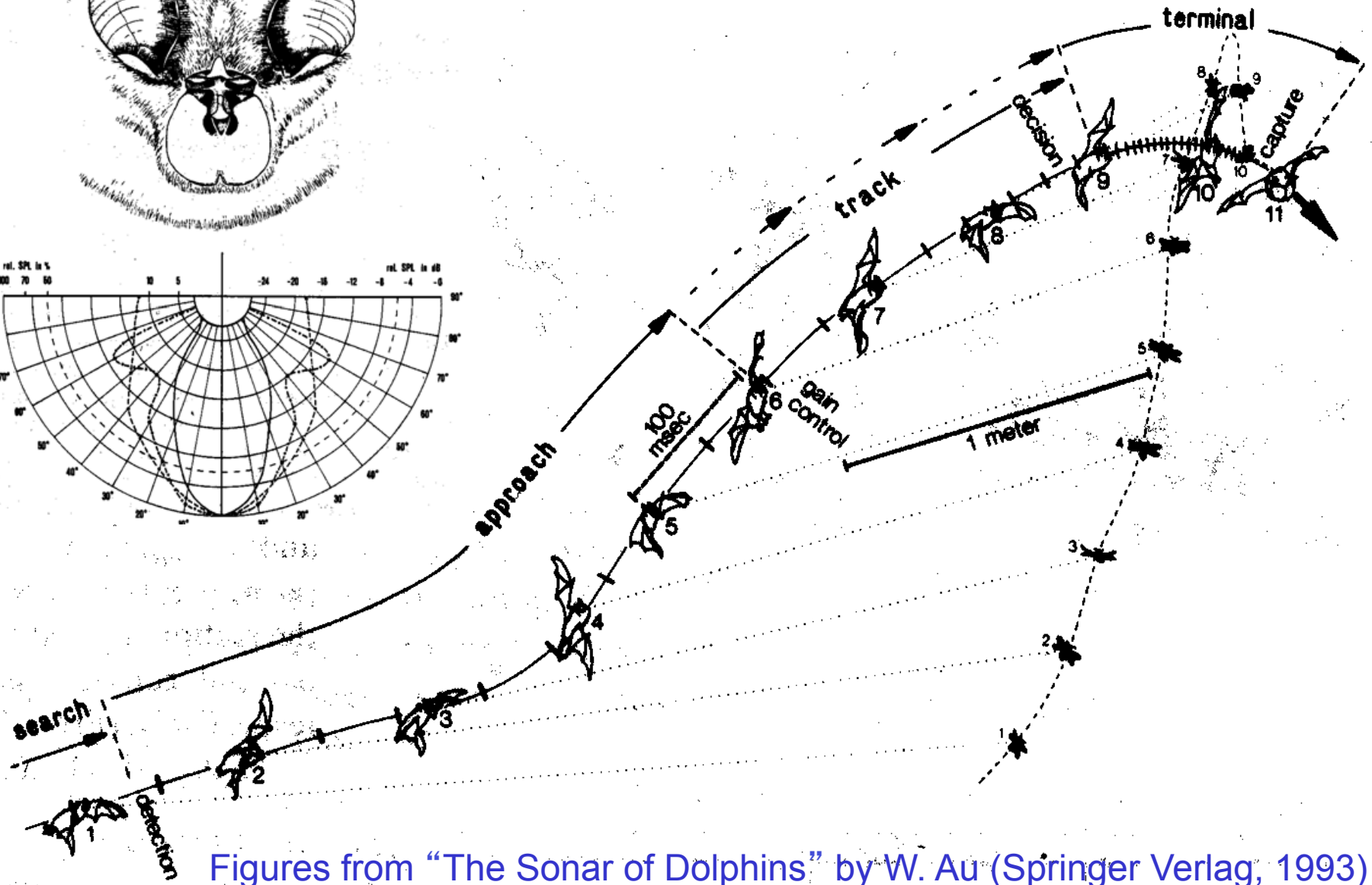
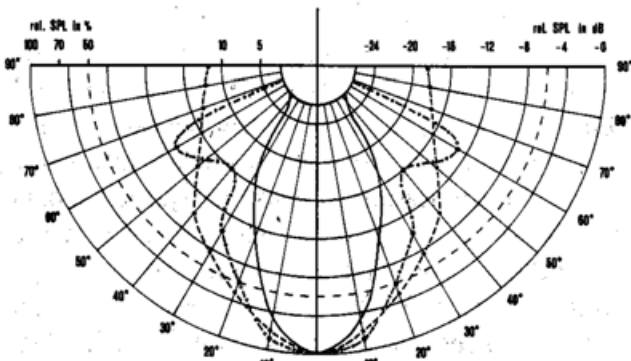


# Performance Evaluation

Racetrack Tracking Error Covariance Adaptive



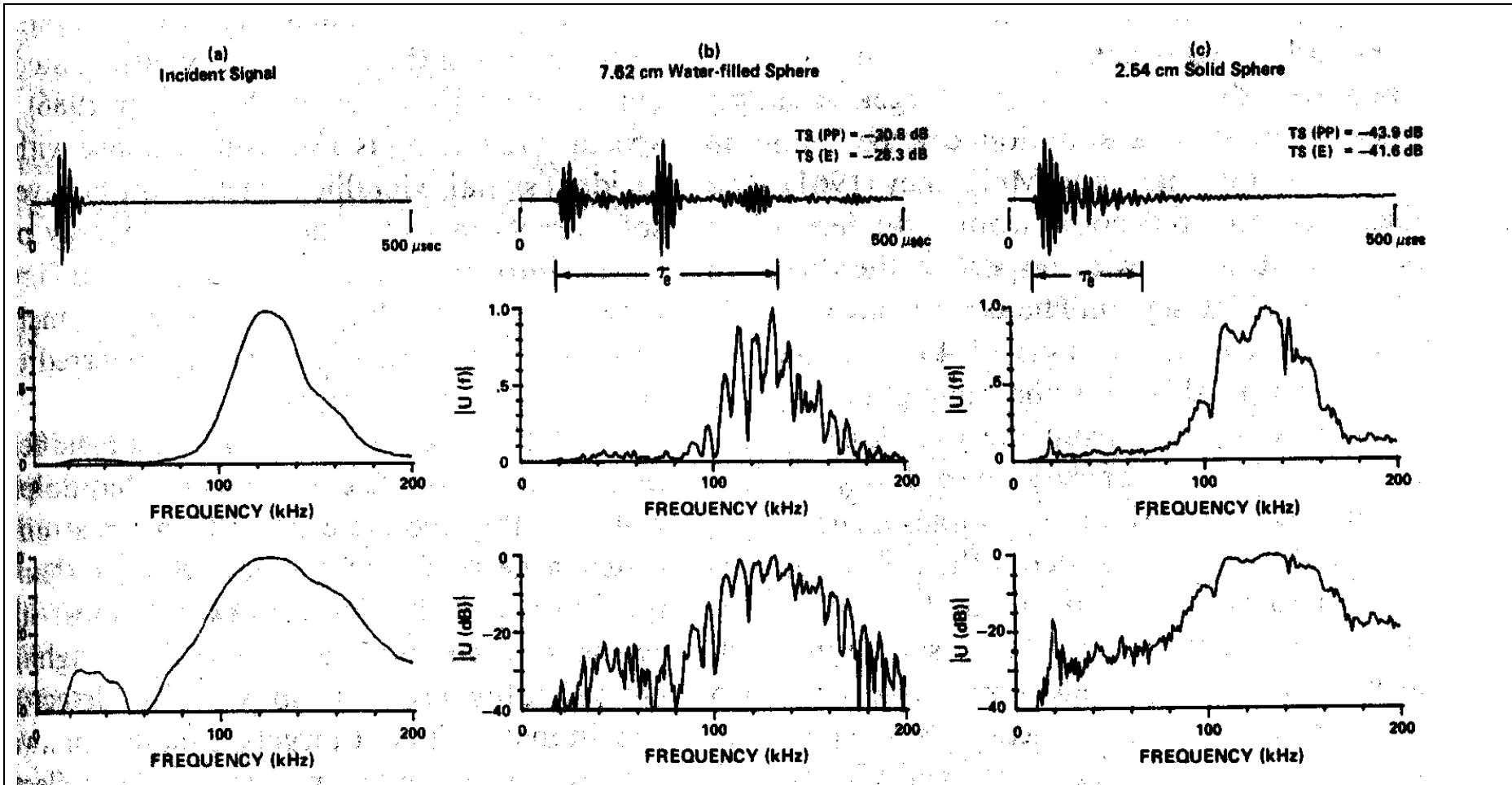
# The Sonar of Bats



Figures from "The Sonar of Dolphins" by W. Au (Springer Verlag, 1993)



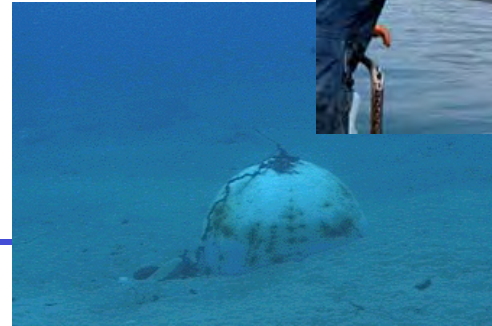
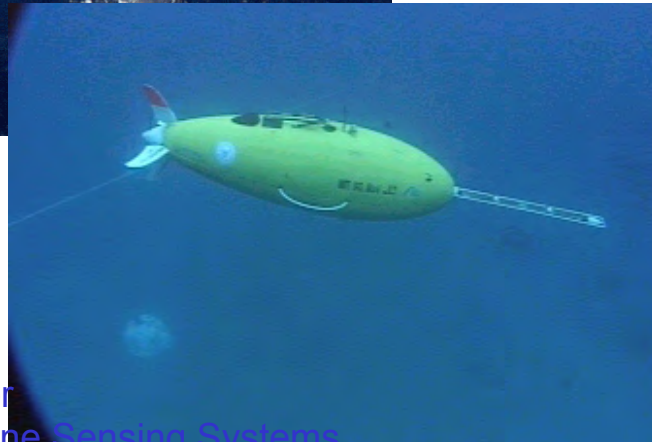
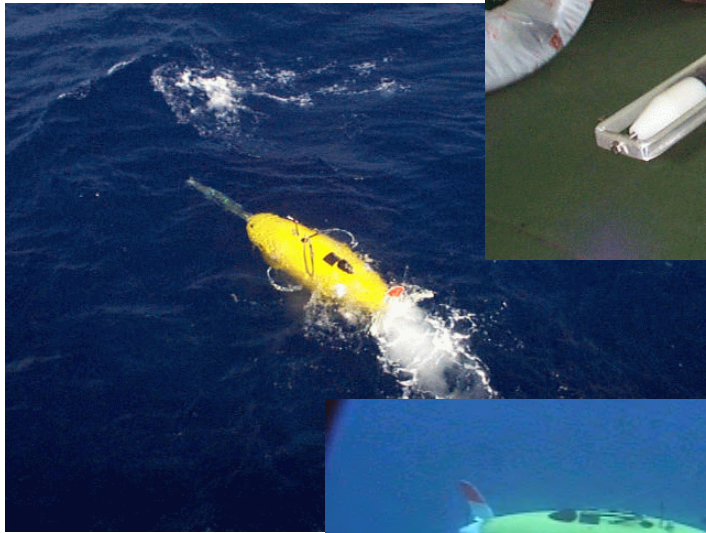
# Dolphin Sonar Reflection from Objects



Figures from “The Sonar of Dolphins” by W. Au (Springer Verlag, 1993)



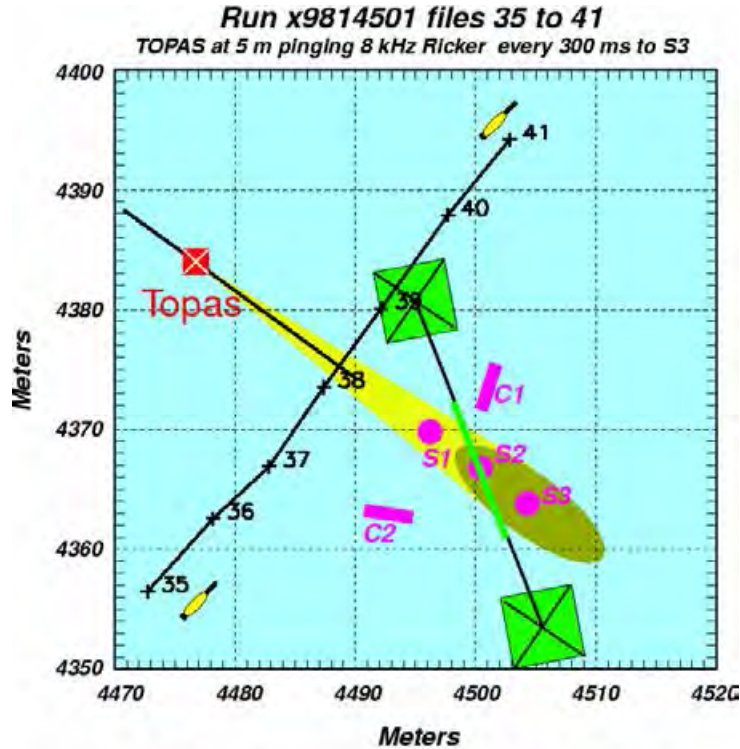
## Odyssey II Bi-static Receiver Platform



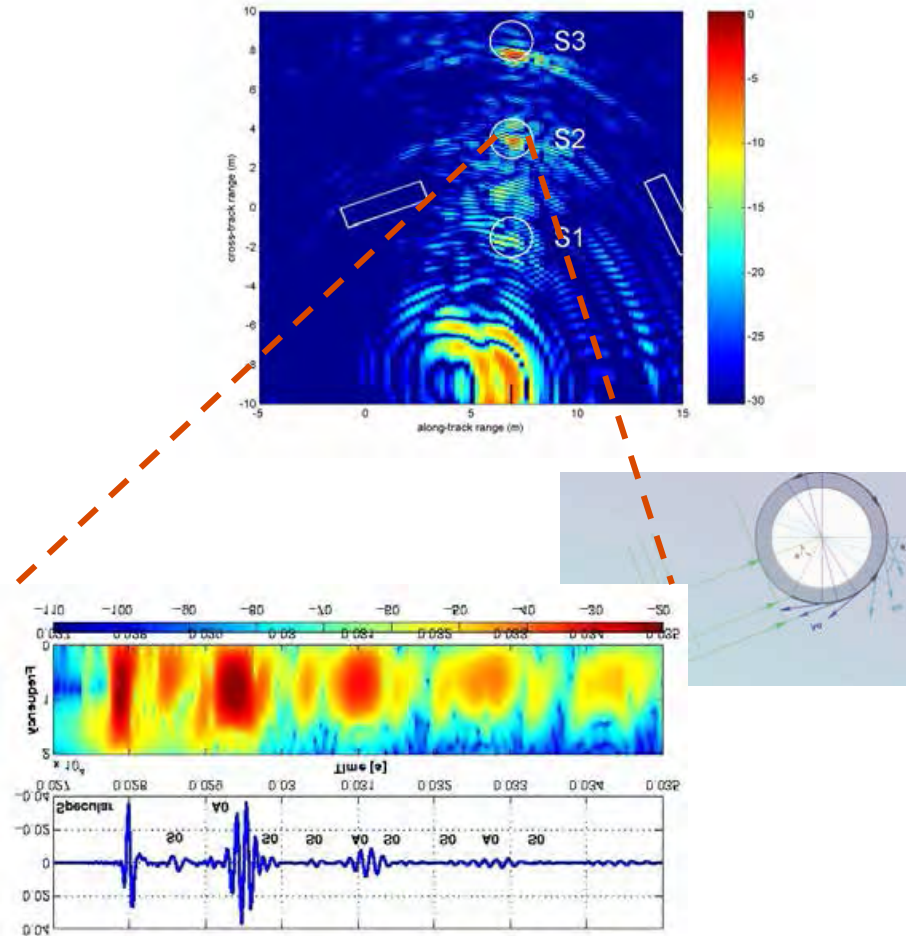
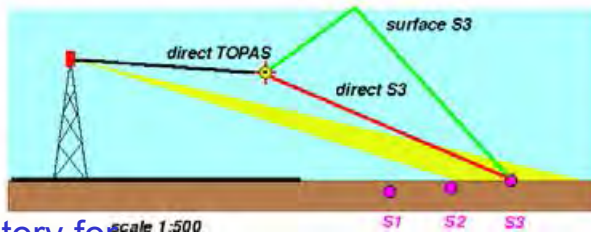
# GOATS' 98 Experiment Automated, Bistatic SAS Imaging



## Super-critical Insonification



AUV range from TOPAS 16m, depth 5m





# Summary

- Intelligent autonomy is crucial to the performance of distributed undersea sensing systems
  - Adaptation and collaboration may compensate for less capable sensing capabilities
  - Communication channel capacity many orders of magnitude lower than for air-and land-based systems
  - Full integration of sensing, modeling, and control required so mission can be accomplished with no or intermittent communication
  - Behavior-based autonomy key enabler for integrated sensing, modeling and control.
  - MOOS-IvP is an open-source, highly portable autonomy software supporting advanced, behavior-based, adaptive and collaborative autonomy.
  - High-fidelity acoustic simulation linked with autonomy system is a key tool for development of distributed autonomy



# Waveguide Invariants

## Frequency Perturbation

$$\omega + \Delta\omega$$

## Range Perturbation

$$r + \Delta r$$

## TL Contour Slope

$$\Delta f / \Delta r$$

## TL and Intensity

$$TL(r, z, \omega) = 10 \log_{10}(I(r, z, \omega))$$

$$\Delta f / \Delta r = \frac{1}{2\pi} \Delta\omega / \Delta r$$

## Frequency-Range TL Maxima

$$\zeta I(r; \omega) = \frac{\partial}{\partial \omega} \zeta I + \frac{\partial}{\partial r} \zeta I r = 0$$

$$\frac{\Delta\omega}{\Delta r} = -\frac{\partial I / \partial r}{\partial I / \partial \omega}$$

## Modal Sum Intensity

$$I(r, z; \omega) \propto \left( \sum_n B_n^2 + 2 \sum_{m \neq n} B_m B_n \cos[(k_{rm} - k_{rn})r] \right),$$

## Range-dependent Mode Amplitudes

$$B_{m,n} = r^{-1/2} A_{m,n}$$

## Partial Derivatives

$$\frac{\partial I}{\partial r} = -\omega \sum_{m,n} B_n B_m (s_{pm} - s_{pn}) \sin((k_{rm} - k_{rn})r),$$

$$\frac{\partial I}{\partial \omega} = -r \sum_{m,n} B_n B_m (s_{gm} - s_{gn}) \sin((k_{rm} - k_{rn})r),$$

## Modal Phase and Group Slowness

$$s_{pn} = 1/v_n = k_n/\omega$$

$$s_{gn} = 1/u_n = \partial k_n / \partial \omega$$

