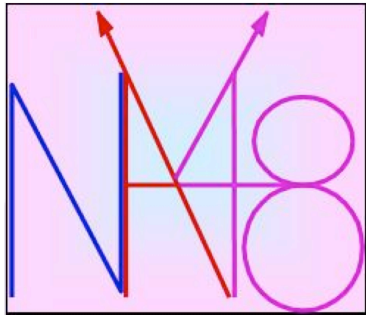


IFAE 2013 – Cagliari, Italy – 4 April 2013

# Review on kaon physics



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# Outline

- Recent results on kaon physics (2012)
  - NA48/NA62 results:
    - Form factors of  $K^\pm e3$  and  $K^\pm \mu3$  decays
    - Update on  $K^+ \rightarrow e^+ \nu \gamma$  ( $Ke2\gamma$ )
    - The  $K^\pm \rightarrow \pi^\pm \gamma \gamma$  decay
  - LHCb result:
    - New limit on  $K_s \rightarrow \mu^+ \mu^-$
  - KLOE results:
    - The  $K^\pm \rightarrow \pi^\pm \gamma \gamma$  decay
    - New limit on the BR of the  $K^0_s \rightarrow \pi^0 \pi^0 \pi^0$  decay
- Future for kaon physics
  - KLOE-2
  - Measurement of BR  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  at NA62
  - KOTO @ JPARC and ORKA @ FNAL

Thanks to  
E. De Lucia

# Recent results (2012) from NA48 and NA62

# NA48 and NA62

1997: $K_L+K_S$
1998: $K_L+K_S$
1999: $K_L+K_S$   $K_S$ HI
2000: $K_L$ only   $K_S$ HI
2001: $K_L+K_S$   $K_S$ HI
2002: $K_S$ /Hyperons
2003: $K^++K^-$
2004: $K^++K^-$
2007: $K_{e2}^+/K_{\mu2}^+$ tests
2008: $K_{e2}^+/K_{\mu2}^+$ tests
2007-2013: Design & construction
2014-2016: Data Taking

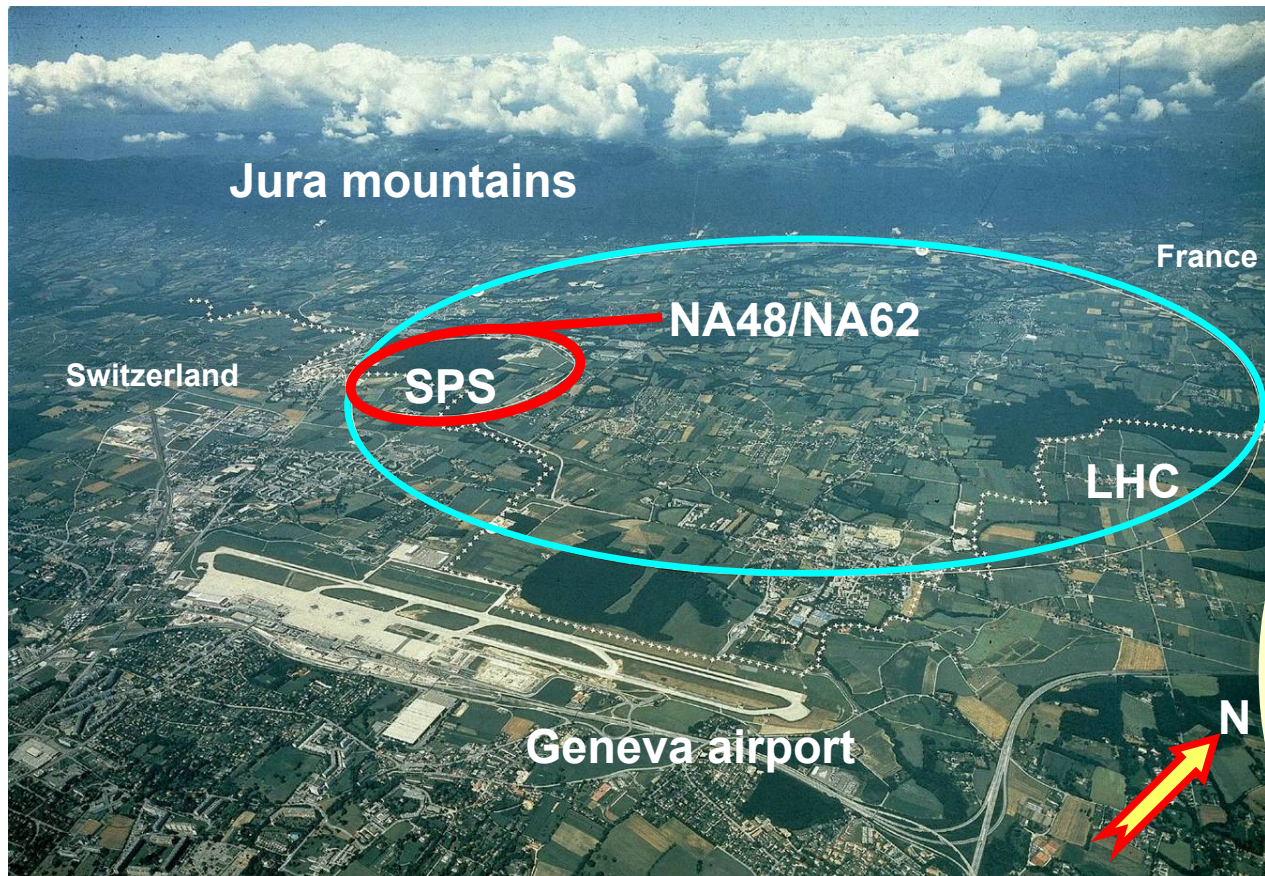
**NA48**  
Discovery  
of direct  
CPV

**NA48/1**

**NA48/2**

**NA62**  
 $R_K$  phase

**NA62**  
golden  
 $K^+ \rightarrow \pi \nu \bar{\nu}$



**NA62:** Birmingham, Bristol, CERN, Dubna, Fairfax, Ferrara, Florence, Frascati, Glasgow, IHEP Protvino, INR Moscow, Liverpool, Louvain-la-Neuve, Mainz, Merced, Naples, Perugia, Pisa, Rome I, Rome II, Saclay, San Luis Potosí, SLAC, Sofia, TRIUMF, Turin

# The $K^+$ semileptonic decays

- $K \rightarrow \pi l \nu(K_{l3})$  decays provide the **most accurate** and **theoretically cleanest** way to access  $|V_{us}|$  :

$$\Gamma(K_{l3}(\gamma)) = \frac{C_K^2 G_F^2 m_K^5}{192\pi^3} S_{EW} |V_{us}|^2 |f_+(0)|^2 I_K^l(\lambda_{+0}) (1 + \delta_{SU(2)}^l + \delta_{EM}^l)^2$$

## Experimental Inputs:

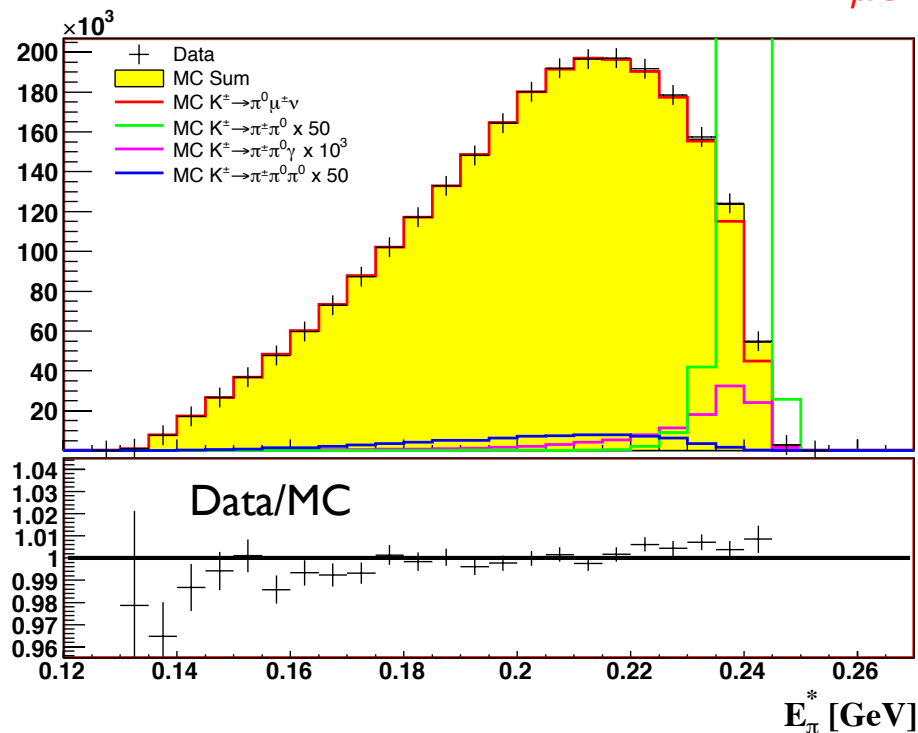
- $\Gamma(K_{l3}(\gamma))$  Branching ratios and kaon lifetimes
- $I_K^l(\lambda_{+0})$  Phase space integral depends on the form factors

## Theory Inputs:

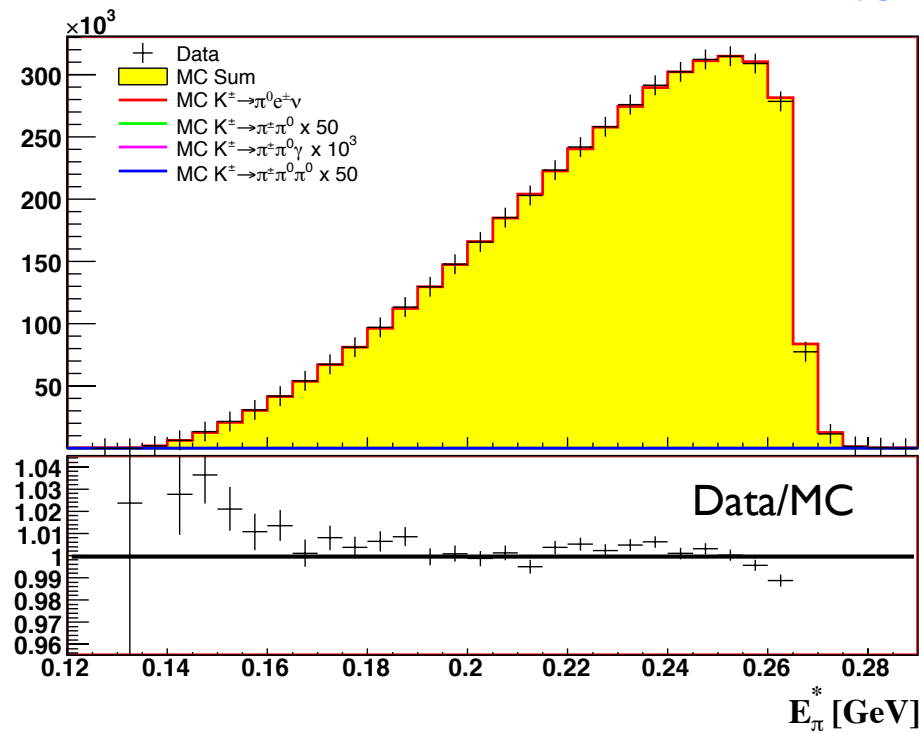
- $S_{EW}$  Universal short distance EW corrections ( $1.0232 \pm 0.0003$ )
- $f_+(0)$  Form factor at zero momentum transfer
- $\delta_{SU(2)}^l$  Form factor correction for isospin breaking (ch. mode only)
- $\delta_{EM}^l$  Long distance EM effects

# Data – MC comparison

- Pion energy in the kaon rest frame:  $K_{\mu 3}^{\pm}$



- Pion energy in the kaon rest frame:  $K_{e 3}^{\pm}$



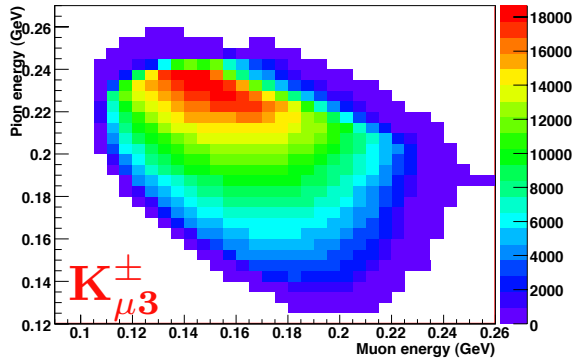
# Form factors fitting procedure

To extract the form factors a fit to the Dalitz plot density is performed:

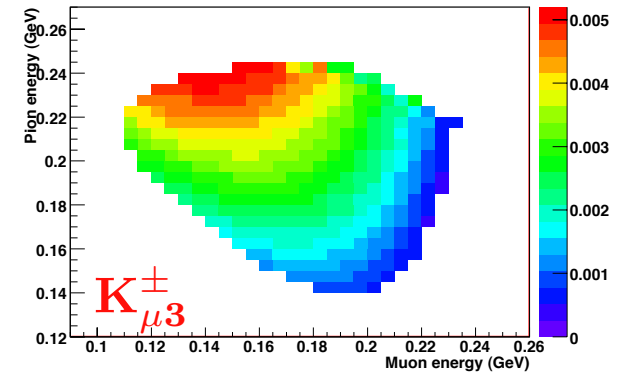
$$\rho(E_l^*, E_\pi^*) = \frac{d^2 N(E_l^*, E_\pi^*)}{dE_\mu^* dE_\pi^*} \propto Af_+^2(t) + Bf_+(t)(f_0 - f_+) \frac{m_K^2 - m_\pi^2}{t} + C \left[ (f_0 - f_+) \frac{m_K^2 - m_\pi^2}{t} \right]^2$$

- $E_l^*$  and  $E_\pi^*$  are the energy of the lepton and of the pion in the kaon rest frame
- A, B and C are kinamatical terms
- The fit is performed in cells of 5x5 MeV<sup>2</sup>
- Cells which are outside or crossing the border of the physical region of the Dalitz plot are not used in the fit.

reconstructed data dalitz plot

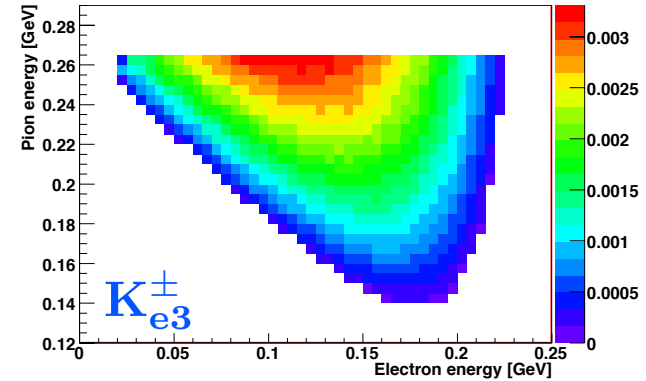
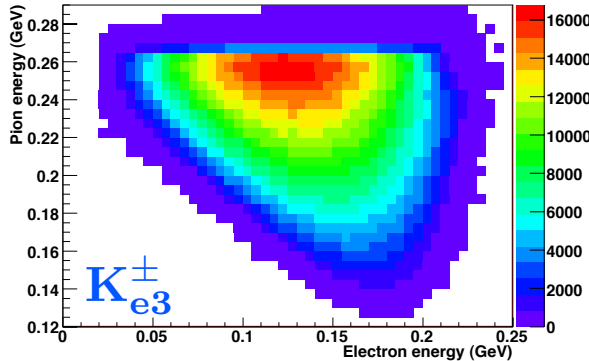


corrected dalitz plot



Applied corrections:

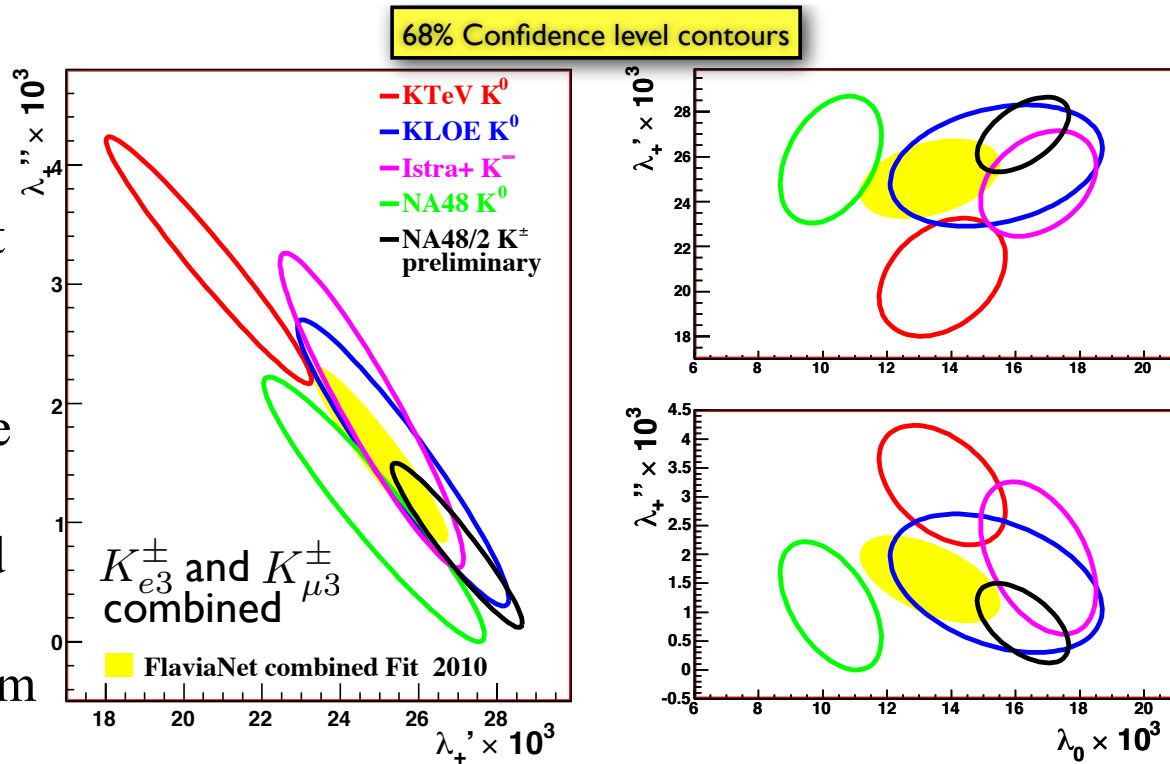
- Background subtraction
- Acceptance
- Radiative corrections



# Preliminary results: combined

Quadratic ( $\times 10^{-3}$ )	$\lambda'_+$	$\lambda''_+$	$\lambda_0$
$K_{\mu 3}^\pm K_{e 3}^\pm$ combined	$26.98 \pm 1.11$	$0.81 \pm 0.46$	$16.23 \pm 0.95$
Pole (MeV/c <sup>2</sup> )	$m_V$		$m_S$
$K_{\mu 3}^\pm K_{e 3}^\pm$ combined	$877 \pm 6$		$1176 \pm 31$

- Experimental situation:  $K_{l3}^0$  results from **KLOE**, **KTeV** and **NA48**,  $K_{l3}^-$  from **ISTRA+**
- NA48/2 is the first measurement with both  $K_{e3}^\pm$  and  $K_{\mu 3}^\pm$
- NA48/2 preliminary result with high precision - very competitive with the other results. Offers the smallest error with the combined result.
- The results for  $K_{e3}$  and  $K_{\mu 3}$  from NA48/2 are in good agreement





# $K^+ \rightarrow e^+ \nu \gamma$ (Ke2 $\gamma$ )

$$\frac{d^2\Gamma}{dx dy}(SD) = \frac{m_K^5 \alpha G_F^2 |V_{us}|^2}{64\pi^2} \times [(F_V + F_A)^2 f_{SD+}(x, y) + (F_V - F_A)^2 f_{SD-}(x, y)]$$

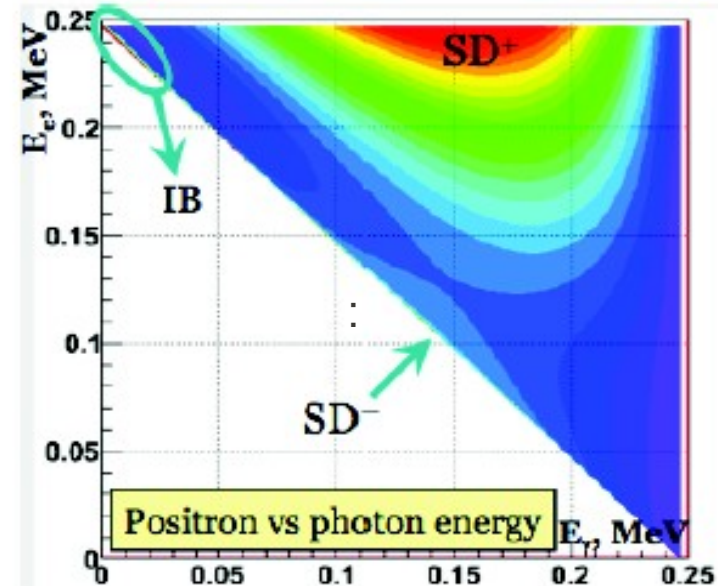
$$x = \frac{2E_\gamma^*}{m_K}, \quad y = \frac{2E_e^*}{m_K}$$

$F_V$  and  $F_A$ : vector and axial Form Factors  
 ChPT  $O(p^4)$ :  $F_V, F_A$  constants  
 ChPT  $O(p^6)$ :  $F_V$  linear dependence from  $x$  [PR D77 (2008) 014004]

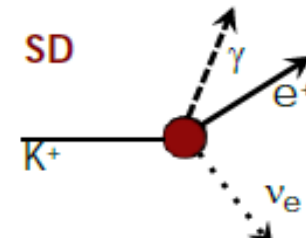
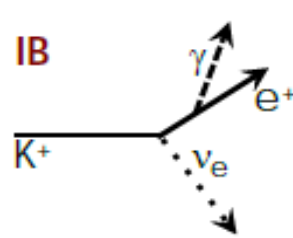
**KLOE 2009:** 1484 events with  $E_\gamma^* > 10$  MeV and  $p_e^* > 200$  MeV/c

~4% accuracy

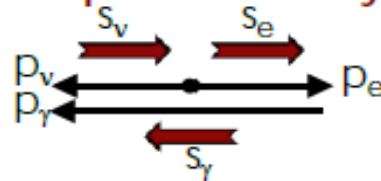
Data suggest a slope for  $F_V$ ,  $\lambda = 0.38 \pm 0.20_{\text{stat}} \pm 0.02_{\text{syst}}$  (can't state  $\lambda \neq 0$  @  $> 2\sigma$ )



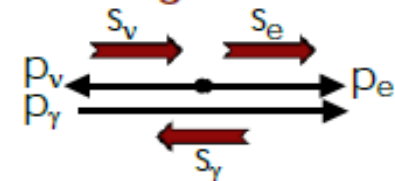
• IFAE 2013 – Cagliari – Italy



**SD+ : positive helicity**

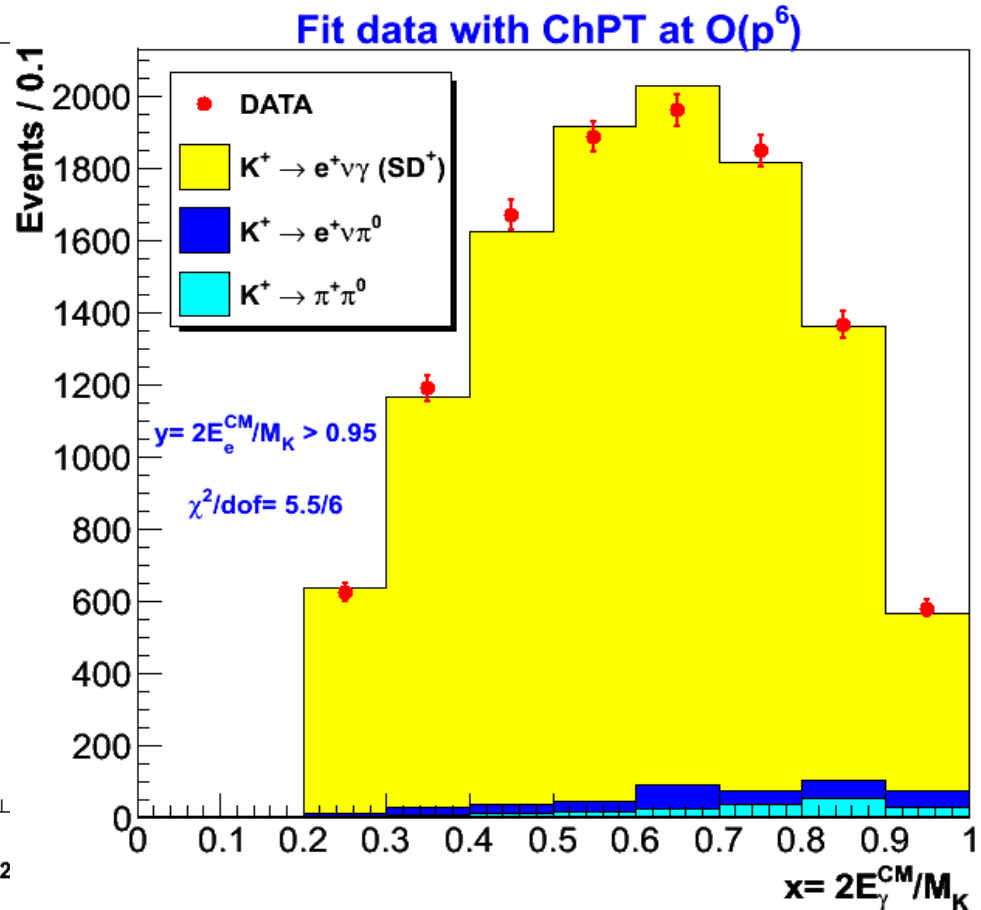
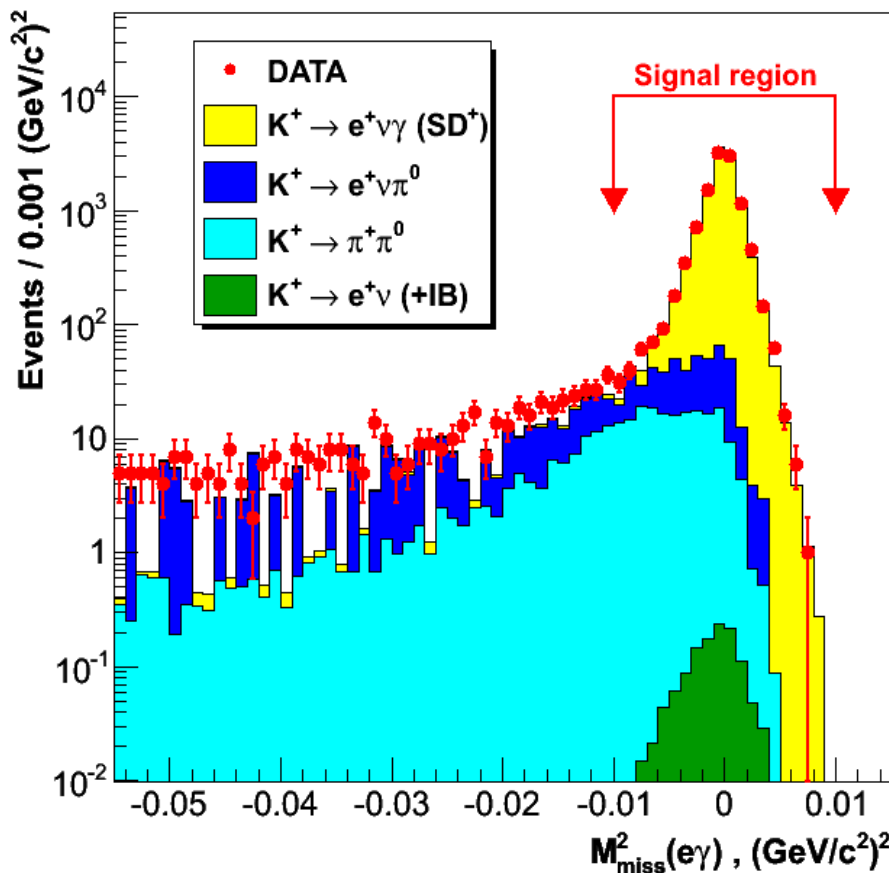


**SD- : negative helicity**



# $K^+ \rightarrow e^+ \nu \gamma$ ( $Ke2\gamma$ )

- ✓ ~10K events with  $p_e^* > 234$  MeV/c and  $E_\gamma^* > 50$  MeV
- ✓ ~7% of acceptance and ~5% of background ( $K^+ \rightarrow e^+ \pi^0 \nu, K^+ \rightarrow \pi^+ \pi^0$ )



# $K^{+-} \rightarrow \pi^{+-}\gamma\gamma$ : introduction

## ChPT description:

Rate and spectrum depend on a single unknown  $O(1)$  parameter  $\hat{c}$ .

Leading contribution at  $O(p^4)$  loop:

**cusplike at  $2\pi$  threshold**

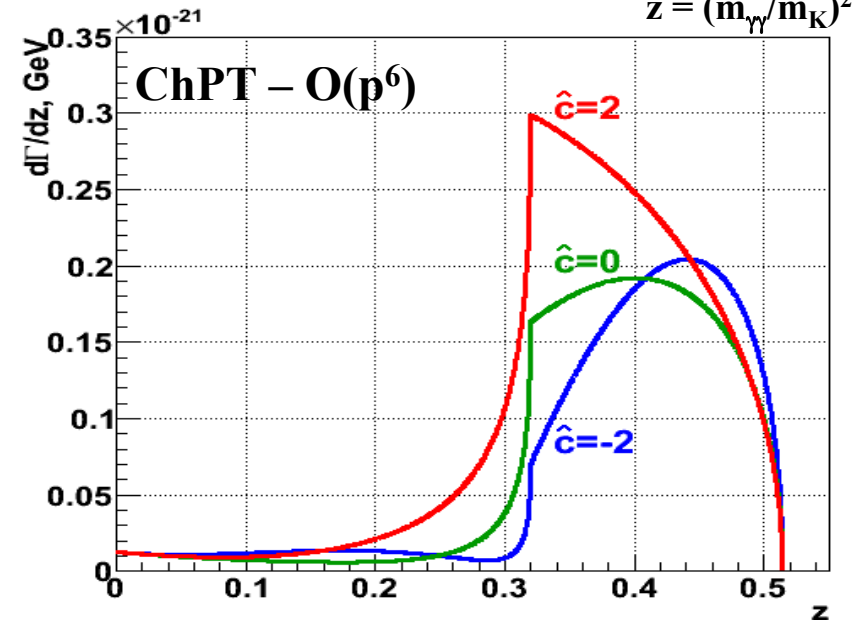
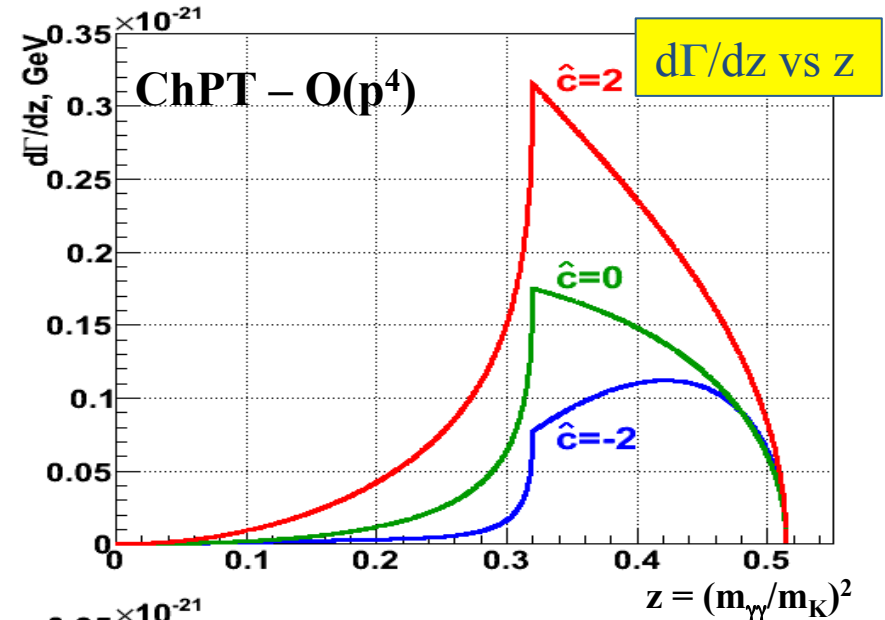
[Ecker, Pich, de Rafael, NPB303 (1988) 665]

$O(p^6)$  “unitary corrections” increase BR at low  $\hat{c}$  and result in a non-zero rate at  $m_{\gamma\gamma} \rightarrow 0$ .

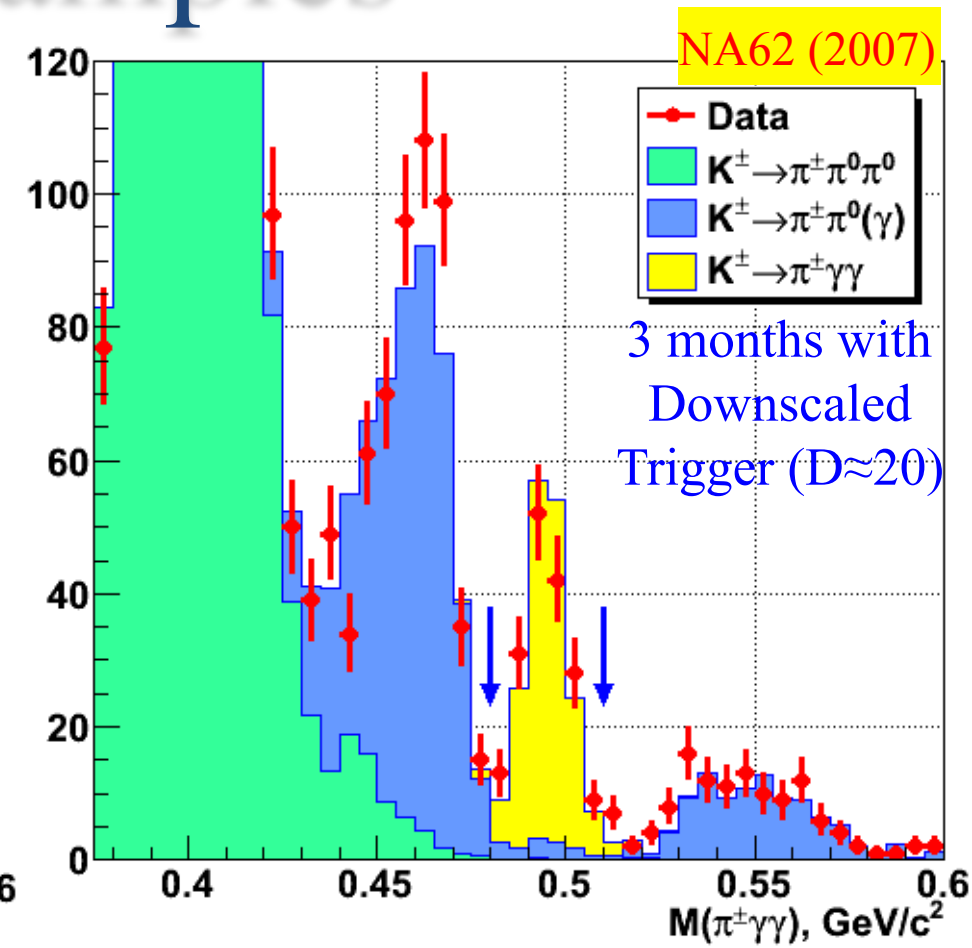
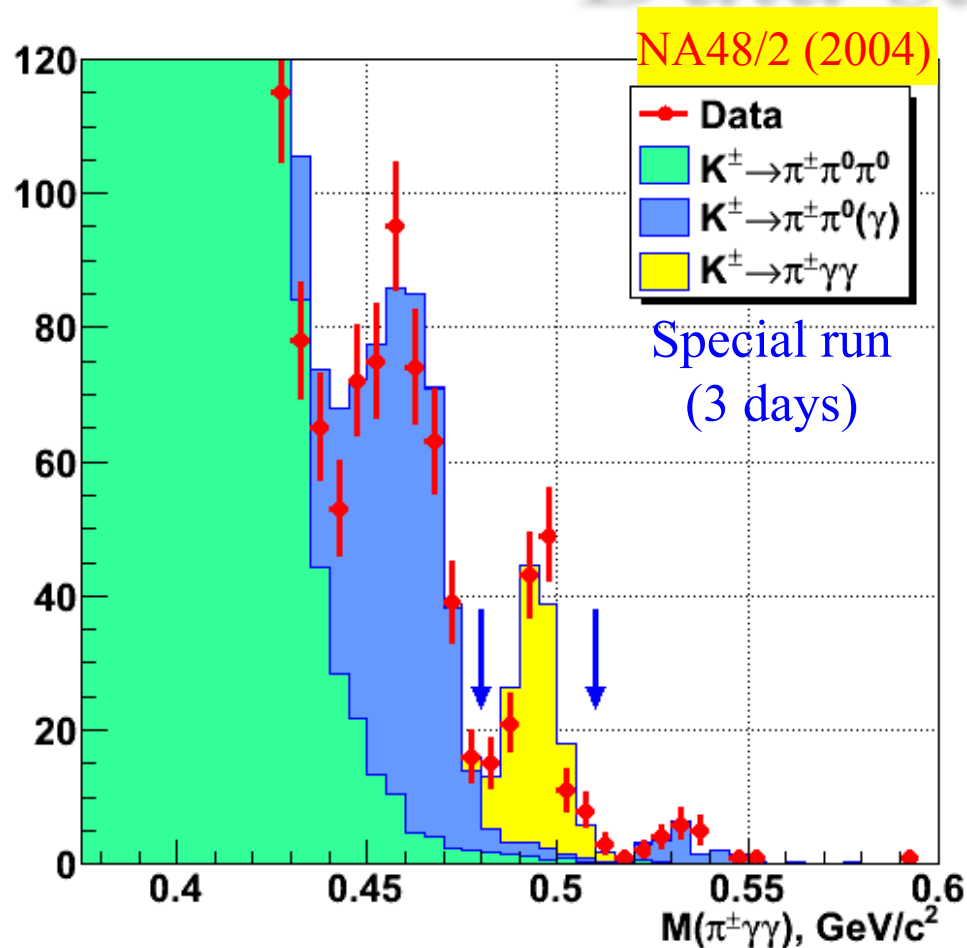
[D’Ambrosio, Portolés, PLB386 (1996) 403]

## Experimental status:

- **BNL E787**: 31 candidates,  $BR = (1.10 \pm 0.32) \times 10^{-6}$ .  
 $O(p^6)$  full kinematic range  
[PRL79 (1997) 4079]
- **NA48/2 (2003-2004)**: in the main data set measurement hindered by low trigger efficiency
- **New strategy**: minimum bias trigger samples from NA48/2 and NA62.



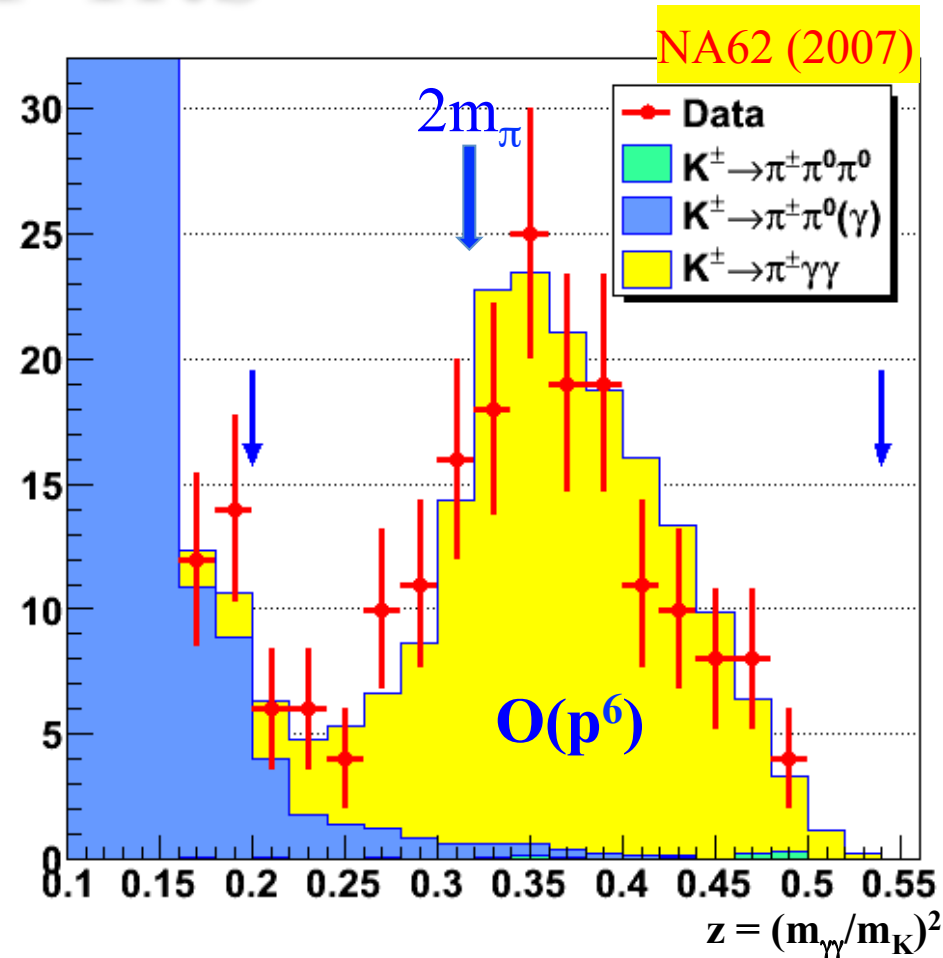
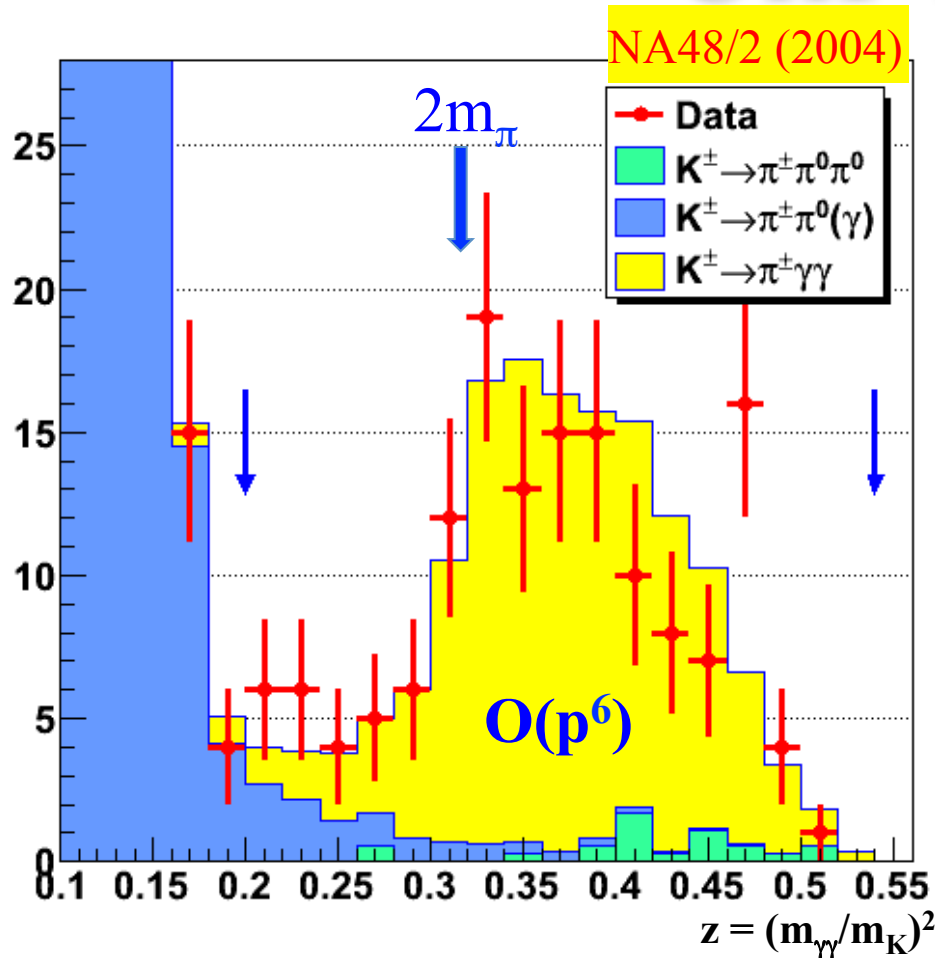
# Data samples



$K_{\pi\gamma\gamma}$ candidates	147
$K_{2\pi(\gamma)}$ background	$11.0 \pm 0.8$
$K_{3\pi}$ background	$5.9 \pm 0.7$
$K_{\pi\gamma\gamma}$ signal	$130 \pm 12$

$K_{\pi\gamma\gamma}$ candidates	175
$K_{2\pi(\gamma)}$ background	$11.1 \pm 1.0$
$K_{3\pi}$ background	$1.3 \pm 0.3$
$K_{\pi\gamma\gamma}$ signal	$163 \pm 13$

# ChPT fits



→ Visible region is above the  $K^{\pm} \rightarrow \pi^{\pm} \pi^0$  peak:  $z > 0.2$ , or  $m_{\gamma} > 220 \text{ MeV}/c^2$

→ Cusp-like behaviour at  $z = (2m_{\pi}/m_K)^2$  is clearly observed.

# Fit results (1)

## PRELIMINARY NA48/2 (2004)

ChPT O(p<sup>4</sup>):

$$\hat{c} = 1.36 \pm 0.33_{\text{stat}} \pm 0.07_{\text{syst}} = 1.36 \pm 0.34$$

ChPT O(p<sup>6</sup>):

$$\hat{c} = 1.67 \pm 0.39_{\text{stat}} \pm 0.09_{\text{syst}} = 1.67 \pm 0.40$$

## PRELIMINARY NA62 (2007)

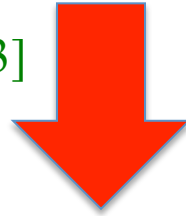
ChPT O(p<sup>4</sup>):

$$\hat{c} = 1.71 \pm 0.29_{\text{stat}} \pm 0.06_{\text{syst}} = 1.71 \pm 0.30$$

ChPT O(p<sup>6</sup>):

$$\hat{c} = 2.21 \pm 0.31_{\text{stat}} \pm 0.08_{\text{syst}} = 2.21 \pm 0.32$$

[D'Ambrosio, Portolés, PLB386 (1996) 403]



## COMBINED

(correlated uncertainties)

ChPT O(p<sup>4</sup>):

$$\hat{c} = 1.56 \pm 0.22_{\text{stat}} \pm 0.07_{\text{syst}} = 1.56 \pm 0.23$$

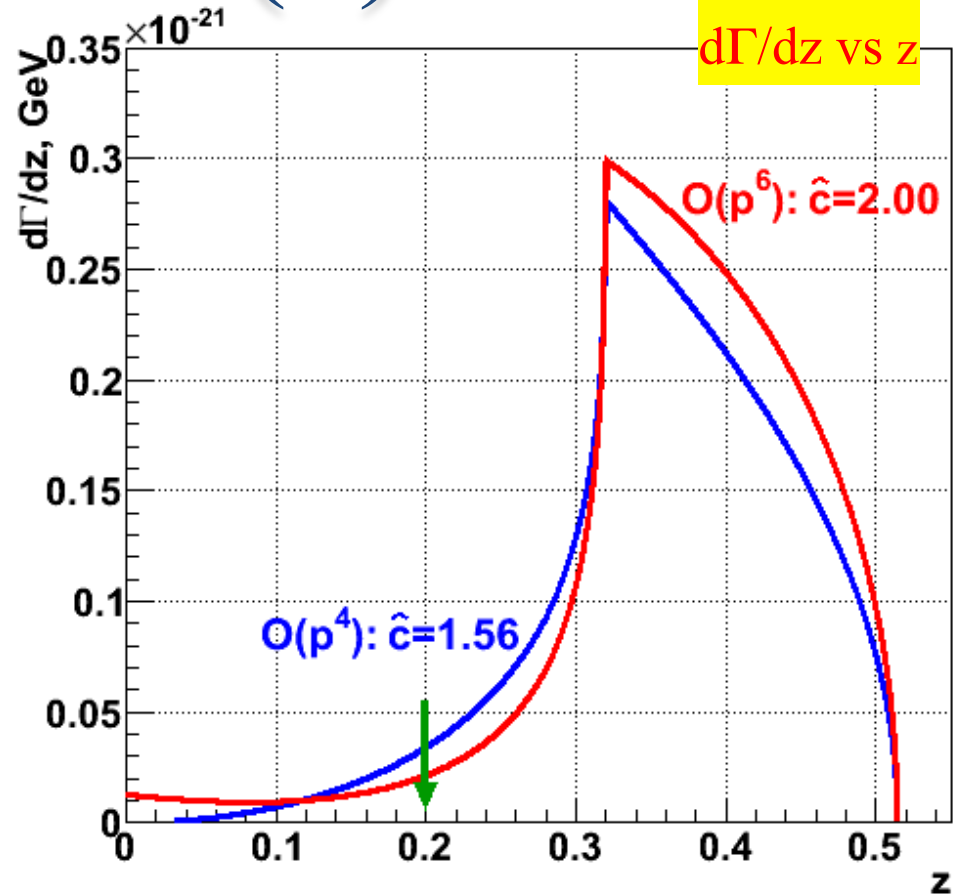
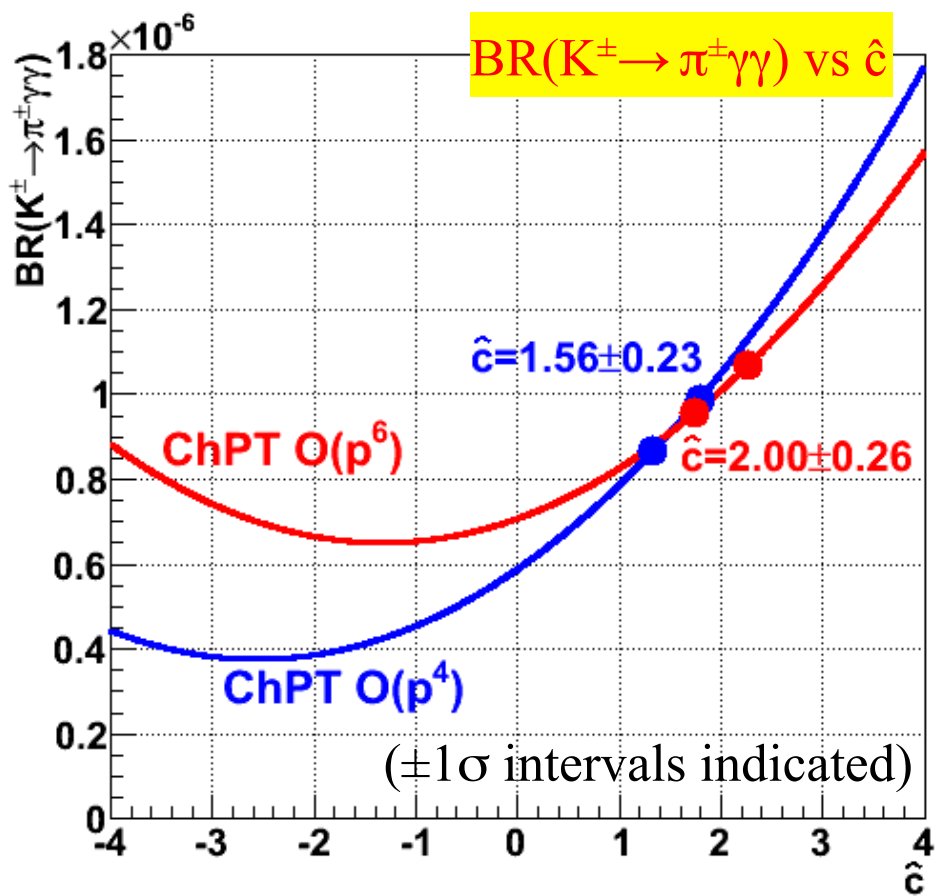
ChPT O(p<sup>6</sup>):

$$\hat{c} = 2.00 \pm 0.24_{\text{stat}} \pm 0.09_{\text{syst}} = 2.00 \pm 0.26$$

$$\text{BR (model dependent): } (1.01 \pm 0.06) \times 10^{-6}$$

From PDG:  $\text{BR} = (1.10 \pm 0.32) \times 10^{-6}$  [PRL79 (1997) 4079]

# Fit results (2)



- Total number of candidates (NA48/2 and NA62): 322
- Background contamination:  $(9 \pm 1)\%$  due to  $K^\pm \rightarrow \pi^\pm \pi^0 (\gamma)$  and  $K^\pm \rightarrow \pi^\pm \pi^0 \pi^0$  with
- Very low systematic uncertainties,  $c$
- ChPT  $O(p^4)$  vs  $O(p^6)$  models cannot be discriminated

# Measurement on K decay from LHCb

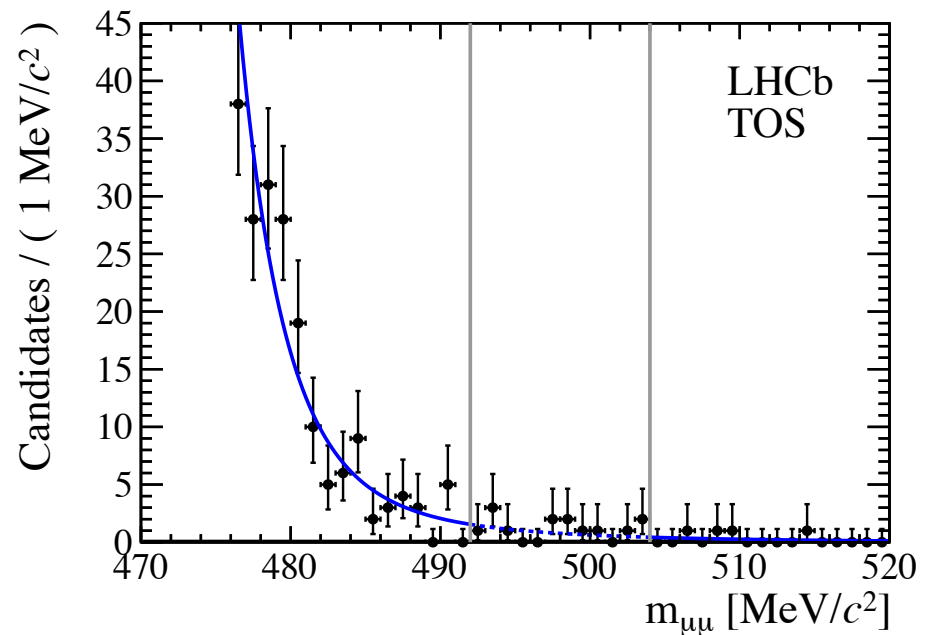
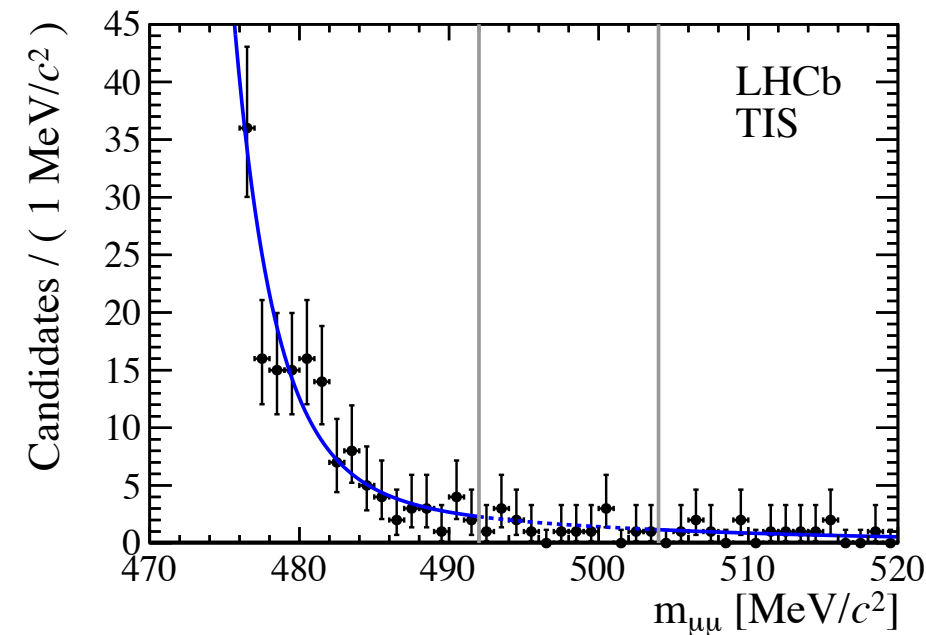


# LHCb: New limit on $K^0_s \rightarrow \mu^+ \mu^-$

Exploiting the  $B^0 \rightarrow \mu^+ \mu^-$  tools both at trigger and at analysis level

FCNC decay, in the Standard Model framework:

$$\Gamma(K_{L,S}^0 \rightarrow \mu^+ \mu^-) = \frac{m_K}{8\pi} \sqrt{1 - \left(\frac{2m_\mu}{m_K}\right)^2} \left[ |A|^2 + \left(1 - \left(\frac{2m_\mu}{m_K}\right)^2\right) |B|^2 \right] \rightarrow \mathcal{B}(K_s^0 \rightarrow \mu^+ \mu^-) = (5.0 \pm 1.5) \times 10^{-12}$$



Published limit from 2011 data at 95 (90)% confidence level:  $\mathcal{B}(K_s^0 \rightarrow \mu^+ \mu^-) < 11(9) \times 10^{-9}$

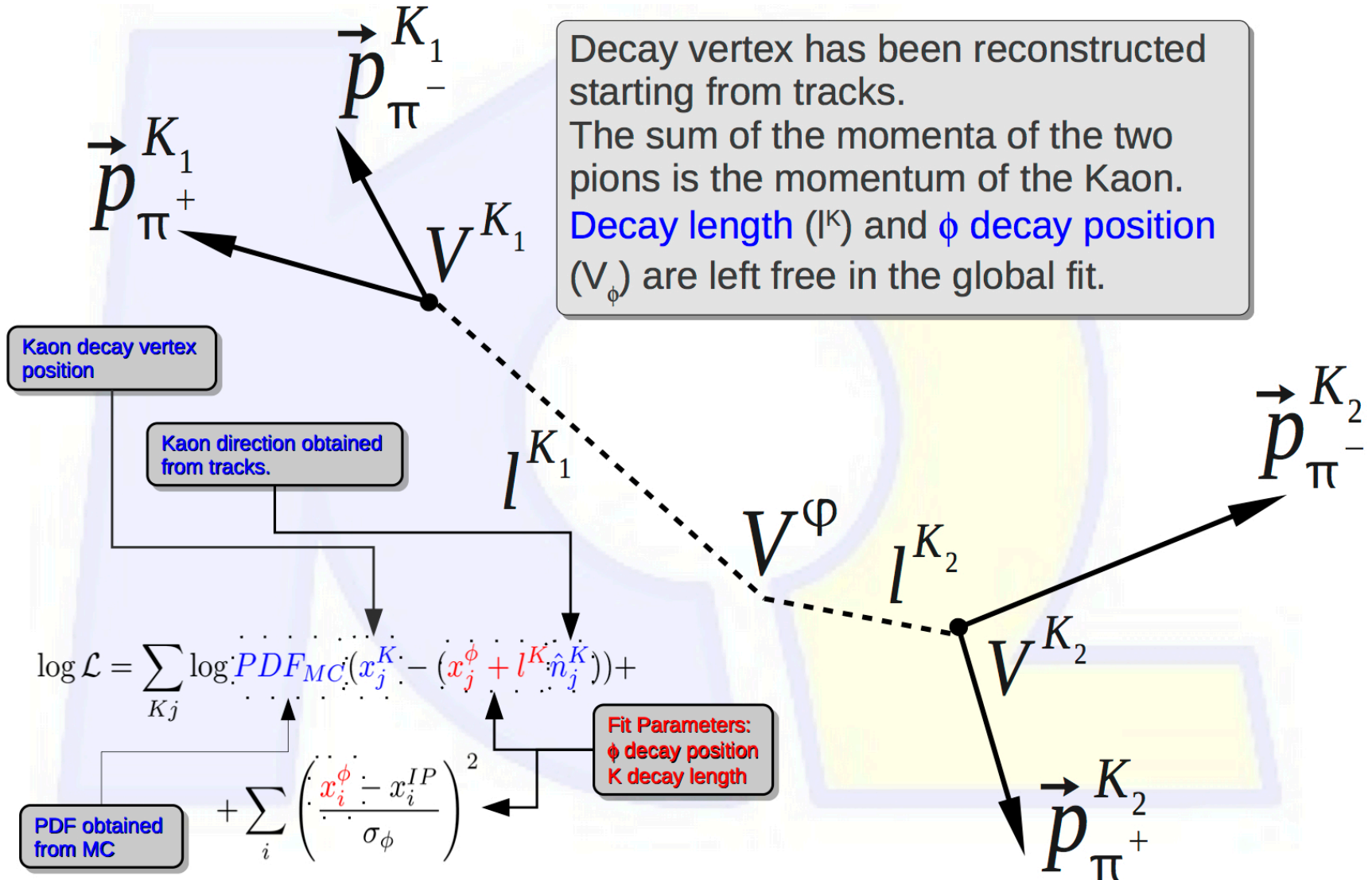
30 times better than previous limit!

[JHEP 1301 (2013) 090, arXiv:1209.4029v3]

# KLOE

# Search for CPT violation

Looking for  $\Phi \rightarrow K_S + K_L$ ,  $K_S$  and  $K_L$  then decaying in the same final state ( $\pi^+\pi^-$ )




# Search for CPT violation

$$I(f_1, f_2; \Delta t) = \frac{\Gamma_S^1 \Gamma_S^2}{2\Gamma} e^{-\Gamma|\Delta t|} \left[ |\eta_1|^2 e^{\frac{\Delta\Gamma}{2}\Delta t} + |\eta_2|^2 e^{-\frac{\Delta\Gamma}{2}\Delta t} - 2\Re(\eta_1 \eta_2 e^{-i\Delta m \Delta t}) \right]$$

$$\eta_1 = \eta_{\pm} = \varepsilon_K - \delta(\vec{p}_{K^1})$$

$$\eta_2 = \varepsilon_K - \delta(\vec{p}_{K^2})$$

$\delta_K$  is the CPT violation parameter in the Kaon system. According to the Standard Model Extension (Kostelecky) and anti-CPT theorem, CPT violation should appear together with Lorentz Invariance breaking  **Direction dependent modulation**

Preliminary results:

$$\Delta \mathbf{a}_0 = (-6.2 \pm 8.2_{\text{stat}} \pm 3.3_{\text{sys}}) 10^{-18} \text{ GeV}$$

$$\Delta \mathbf{a}_x = (3.3 \pm 1.6_{\text{stat}} \pm 1.5_{\text{sys}}) 10^{-18} \text{ GeV}$$

$$\Delta \mathbf{a}_y = (-0.7 \pm 1.3_{\text{stat}} \pm 1.5_{\text{sys}}) 10^{-18} \text{ GeV}$$

$$\Delta \mathbf{a}_z = (-0.7 \pm 1.0_{\text{stat}} \pm 0.3_{\text{sys}}) 10^{-18} \text{ GeV}$$

Really complex analysis:

For details see A. De Santis:  
“CPT&Lorentz invariance violation  
at KLOE”, DISCRETE2012

# The $K_S \rightarrow \pi^0\pi^0\pi^0$ decay

- For the  $|K_S\rangle \rightarrow 3\pi$  decay modes:

$$\eta_{000} = \frac{\langle \pi^0\pi^0\pi^0 | H | K_S \rangle}{\langle \pi^0\pi^0\pi^0 | H | K_L \rangle} = \varepsilon + \varepsilon'_{000}$$

- Previous measurements of  $\eta_{000}$ :

SND (direct search) :

$$\text{BR}(K_S \rightarrow 3\pi^0) < 1.4 \cdot 10^{-5}$$

NA48 (interference measurement):

$$\text{BR}(K_S \rightarrow 3\pi^0) < 7.4 \cdot 10^{-7}$$

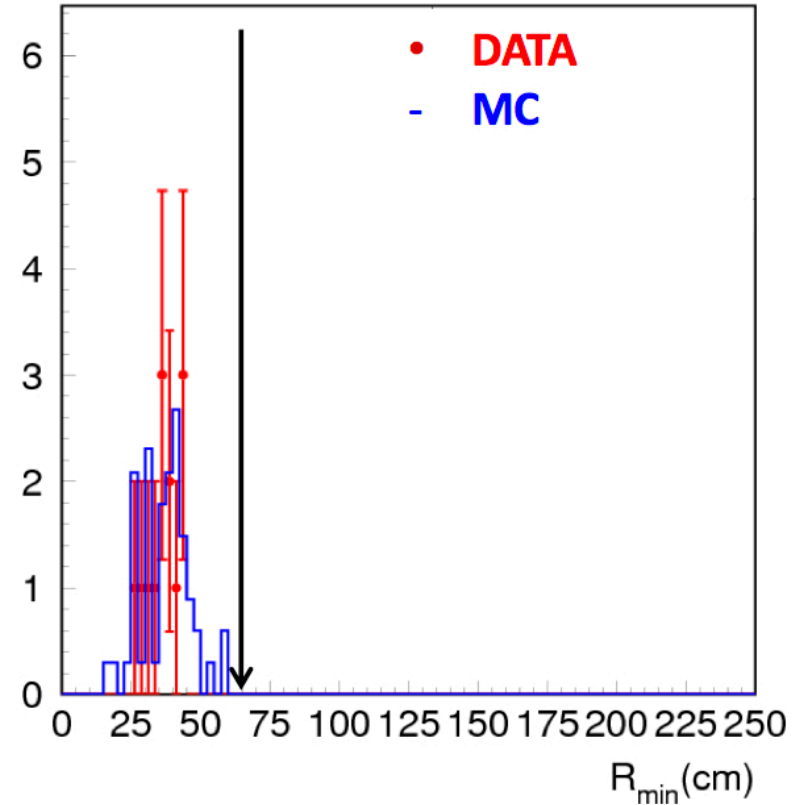
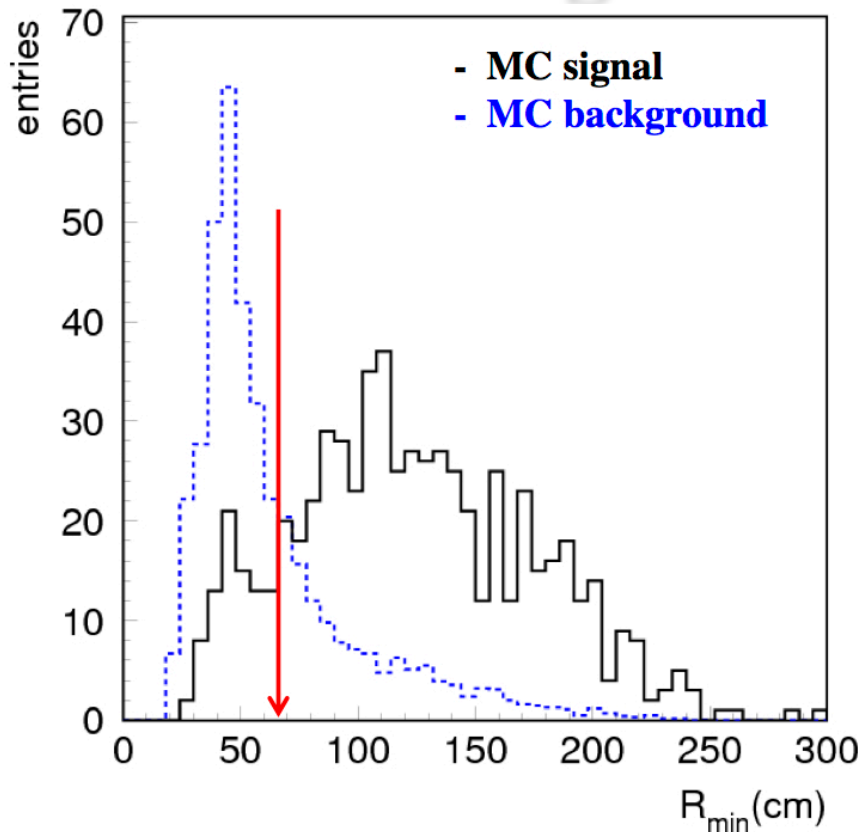
**KLOE**

$$\text{BR}(K_S \rightarrow 3\pi^0) < 1.2 \cdot 10^{-7}$$

**Standard Model prediction:**

$$\text{BR}(K_S \rightarrow 3\pi^0) = 1.9 \cdot 10^{-9}$$

# The $K_S \rightarrow \pi^0\pi^0\pi^0$ decay



0 event found in signal region, expected from signal + background: 0

**➔ 90% Confidence Level:**

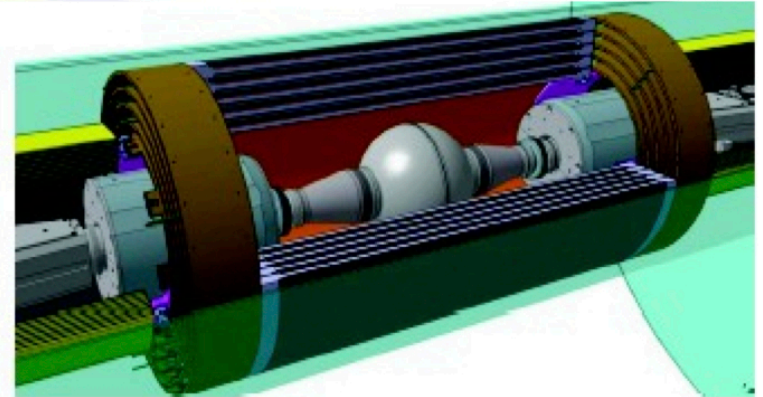
$$BR(K_S \rightarrow 3\pi^0) = \frac{N_{3\pi}/\epsilon_{3\pi}}{N_{2\pi}/\epsilon_{2\pi}} \times BR(K_S \rightarrow 2\pi^0) < 2.64 \times 10^{-8} \quad | \quad \eta_{000} = \sqrt{\frac{\tau_L BR(K_S \rightarrow 3\pi^0)}{\tau_S BR(K_L \rightarrow 3\pi^0)}} < 0.0088$$

# KLOE-2

# Upgrade on detector

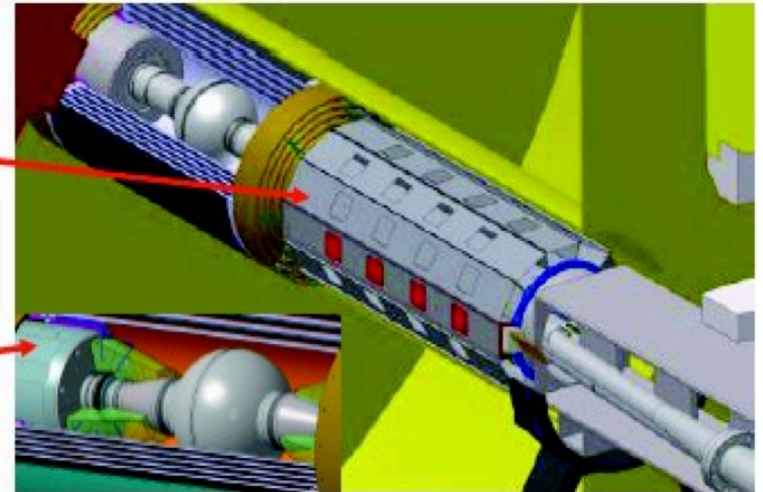
## INNER TRACKER

- 4 layers of cylindrical triple GEM
- Better vertex reconstruction near IP
- Larger acceptance for low  $p_t$  tracks



## QCALT

- W + scintillator tiles + SiPM/WLS
- Low-beta quadrupoles: coverage for  $K_L$  decays



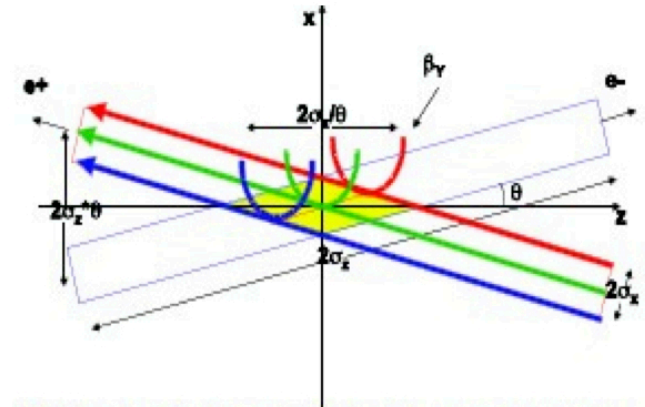
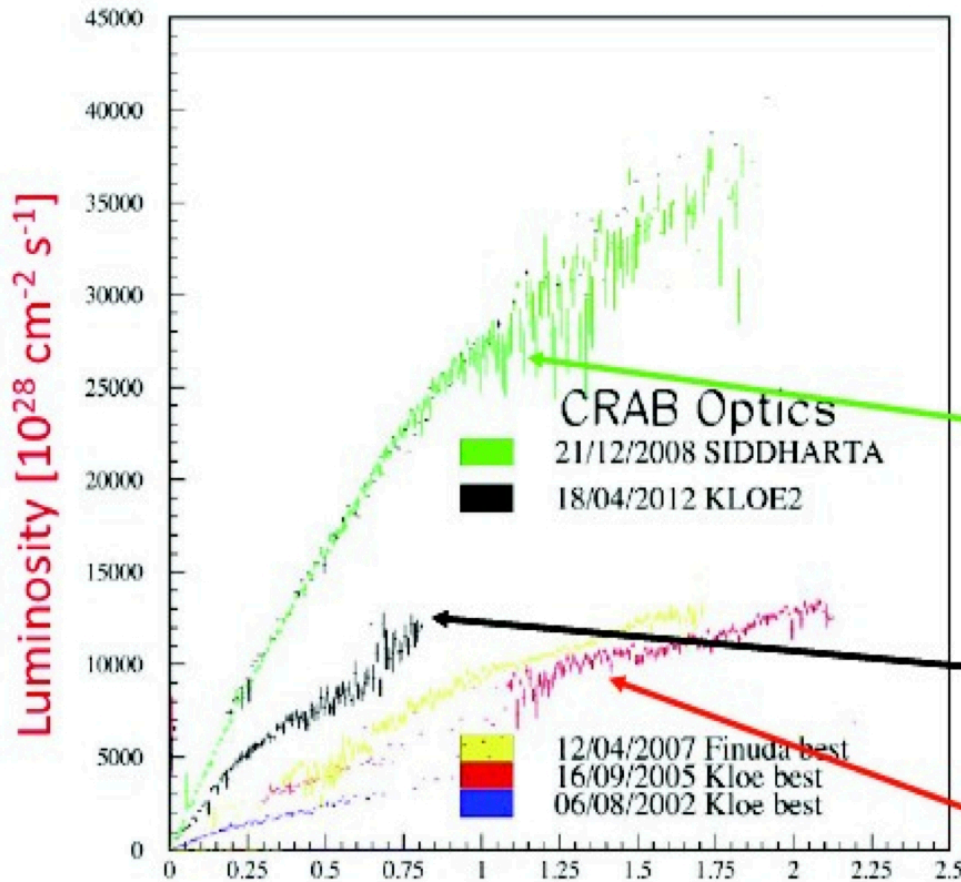
## CCALT

- LYSO + APD
- Increase acceptance for  $\gamma$ 's from IP ( $21^\circ \rightarrow 10^\circ$ )



# Upgrade on collider

## Crabbed waist scheme at DAΦNE



Crabbed waist is realized with a sextupole in phase with the IP in X and at  $\pi/2$  in Y

**NEW COLLISION SCHEME:**  
 Large Piwinski angle  
 Crab-Waist compensation SXTs

Present commissioning phase  
 New coll. scheme + KLOE det.

Old collision scheme

$$I^+ \cdot I^- \cdot \frac{N_{\text{harmonic}}}{N_{\text{bunches}}} \text{ [A}^2\text{]}$$

max. expected at KLOE-2 :  $L_{\text{int}} \sim 20 \text{ pb}^{-1}/\text{day} \times 200 \text{ dd}/\text{year} = 4 \text{ fb}^{-1} / \text{year}$

# Perspectives

For details see [Eur.Phys.J. C68 (2010) 619-681 (arXiv:1003.3868)]

Among all: VUS extraction

NOW					KLOE-2				
		% err	BR	$\tau$		% err	BR	$\tau$	
$K_L e3$	0.2163(6)	0.26	0.09	<b>0.20</b>		0.20	0.09	<b>0.13</b>	
$K_L \mu3$	0.2166(6)	0.29	0.15	<b>0.18</b>		0.21	0.10	<b>0.13</b>	
$K_S e3$	0.2155(13)	0.61	<b>0.60</b>	0.03	•••	0.32	<b>0.30</b>	0.03	
$K^\pm e3$	0.2160(11)	0.52	<b>0.31</b>	0.09		0.47	<b>0.25</b>	0.05	
$K^\pm \mu3$	0.2158(14)	0.63	<b>0.47</b>	0.08		0.48	<b>0.27</b>	0.05	

# The golden channels:

$$K^+ \rightarrow \pi^+ \nu \bar{\nu}$$

$$K^0 \rightarrow \pi^0 \nu \bar{\nu}$$

# Ultra rare kaon decays & CKM

The Unitarity Triangle describes in the  $(\rho, \eta)$  plane the CKM matrix

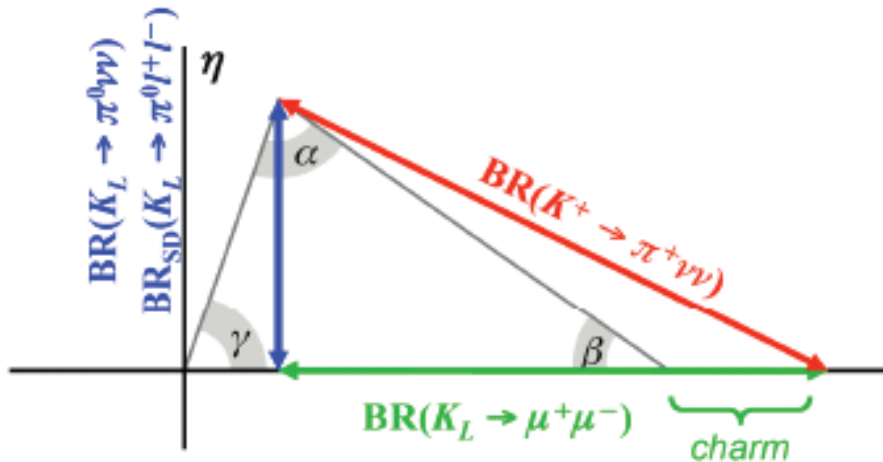
$$\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} = \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\bar{\rho} - i\bar{\eta}) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \bar{\rho} - i\bar{\eta}) & -A\lambda^2 & 1 \end{pmatrix}$$

The “Standard” Unitarity Triangle

$$V_{ub}^* V_{ud} + V_{cb}^* V_{cd} + V_{tb}^* V_{td} = 0$$

The “Kaon” Unitarity Triangle

$$V_{us}^* V_{ud} + V_{cs}^* V_{cd} + V_{ts}^* V_{td} = 0$$



$$K^+ \rightarrow \pi^+ \nu \bar{\nu}$$

$$|V_{ts}^* V_{td}|$$

$$K_L \rightarrow \pi^0 \nu \bar{\nu}$$

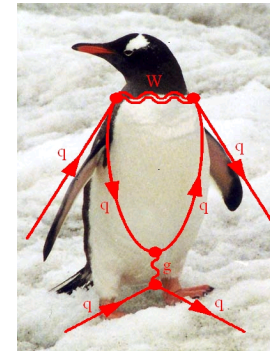
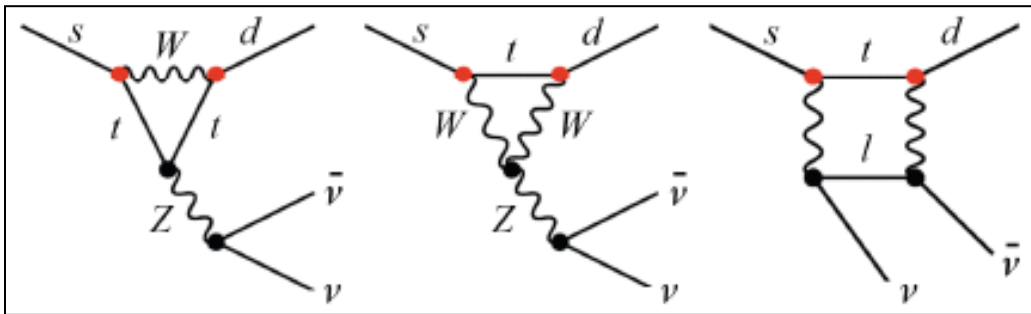
$$\text{Im}(V_{ts}^* V_{td}) \propto \eta$$

the holy grail

Alternative way to measure the Unitarity Triangle parameters with  
smaller theoretical uncertainty

# In the Standard Model...

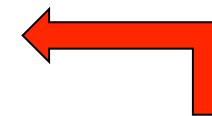
- FCNC process forbidden at tree level  $\longrightarrow$  room for NP up to 10xSM
- Short distance contribution dominated by Z penguin and W box diagrams
- “Super-clean” theoretically
  - hadronic matrix element can be extracted from measured quantities(Ke3)
- Very small BR due to the CKM top coupling
  - $A \sim (m_t/m_W)^2 |V_{ts}^* V_{td}| \approx \lambda^5$
- Measurement of  $|V_{td}|$  complementary to those from B-B mixing and  $B \rightarrow \rho\gamma$
- $\delta BR/BR=10\%$   $\longrightarrow$   $\delta|V_{td}|/|V_{td}|=7\%$ .



BR $\times 10^{10}$	SM Prediction	Experiments
$K^+ \rightarrow \pi^+ \nu \bar{\nu}$	$0.781 \pm 0.075 \pm 0.029$ [1]	$1.73^{+1.15}_{-1.05}$ [2]
$K^0 \rightarrow \pi^0 \nu \bar{\nu}$	$0.243 \pm 0.039 \pm 0.006$ [1]	$< 260$ (@90% CL) [3]*

[1] Brod, Gorbahn, Stamou: PRD83(2011) 034030, arXiv 1009.0947  
 [2] BNL E787/E949: PRL101 (2008) 191802, arXiv 0808.2459  
 [3] KEK E391a: PR D81 (2010) 072004, arXiv 0911.4789

\*Grossman-Nir limit smaller

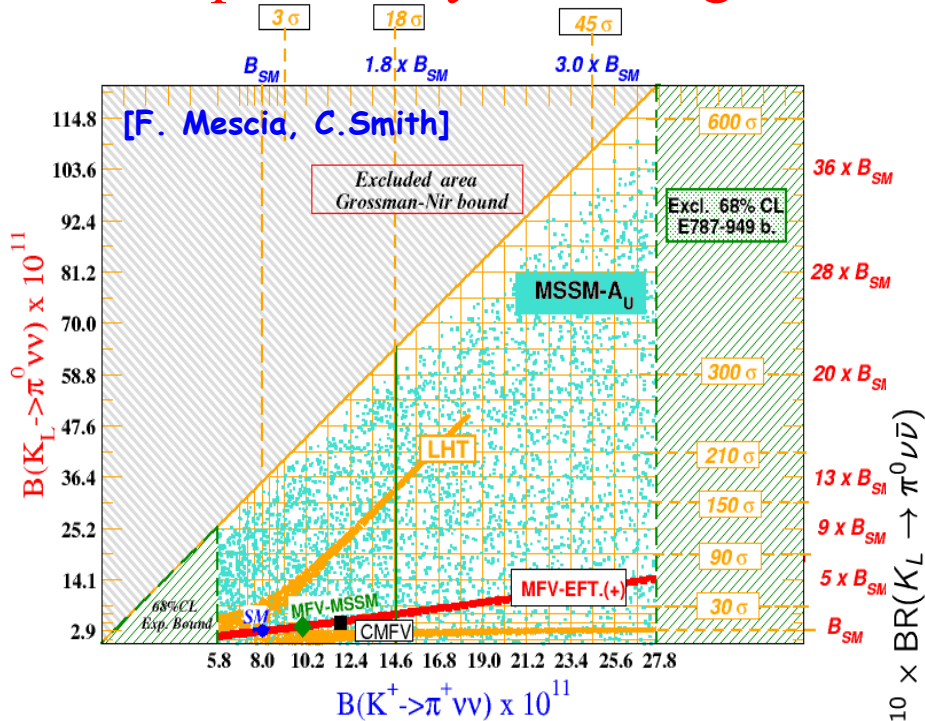


7 events: twice as large as, but still consistent with SM expectation

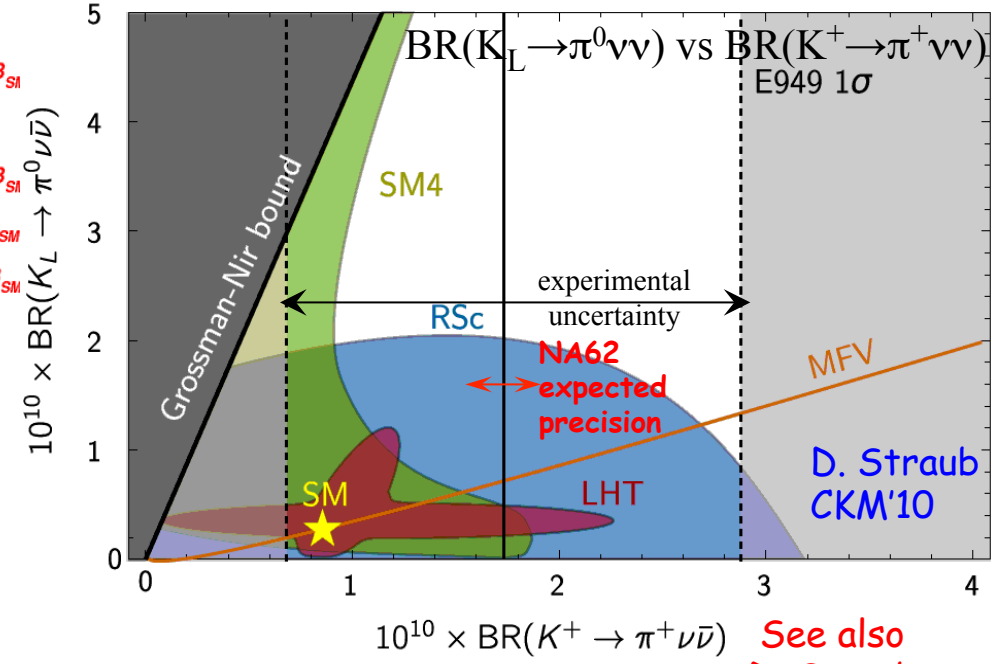
# ...and beyond Standard Model

Several SM extensions predict sizable deviations for the BR

→ possibility to distinguish among different models



Chargino /  $H^\pm$  loops (MSSM at low/large  $\tan\beta$ ),  
 R-parity violation (non MFV), enhanced EW Penguins,  
 Little Higgs, extra dimensions, 4th generation, .....



Concrete NP models predicting high deviations from MFV  
*Randall-Sudrum,*  
*Littlest Higgs with T-parity,*  
*SM 4<sup>th</sup> generation*

(hep-ph/0906.5454, hep-ph/0812.3803,  
 hep-ph/0604074, hep-ph/1302.4651)

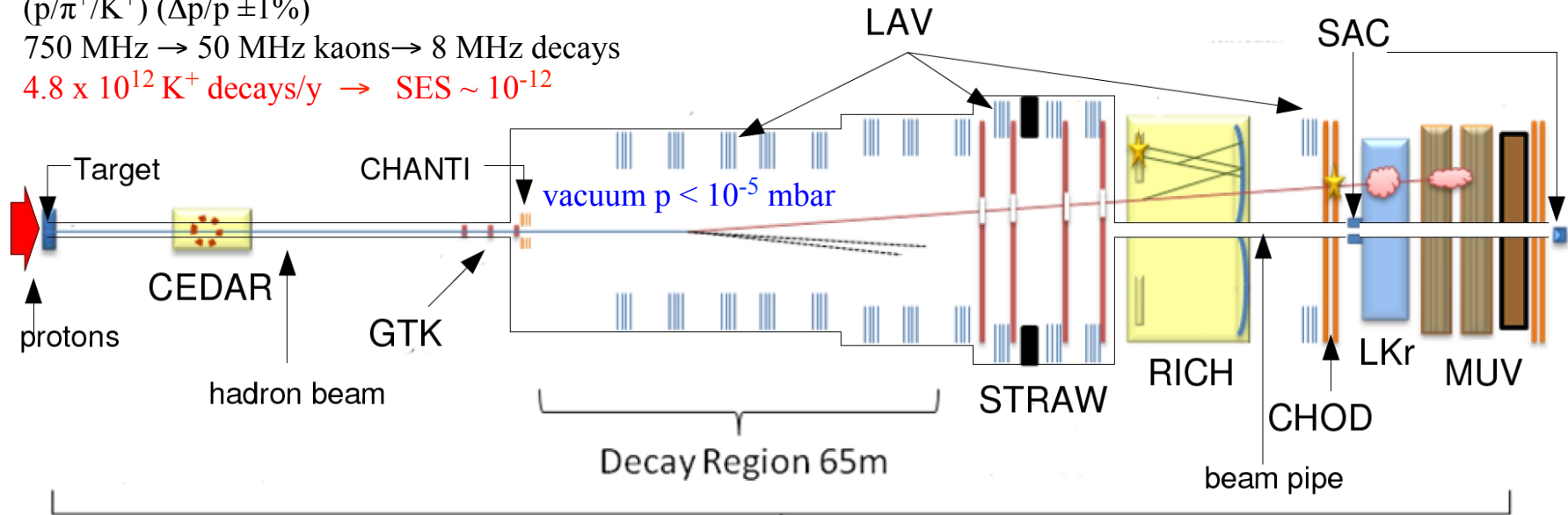
See also  
 D. Straub  
 Moriond QCD 2013

# Next NA62 phase

# Experiment layout & sensitivity

- 400 GeV/c SPS primary protons
- 75 GeV/c kaons unseparated hadron beam
- $(p/\pi^+/K^+)$  ( $\Delta p/p \pm 1\%$ )
- 750 MHz  $\rightarrow$  50 MHz kaons  $\rightarrow$  8 MHz decays
- $4.8 \times 10^{12}$   $K^+$  decays/y  $\rightarrow$  SES  $\sim 10^{-12}$

Target:  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$



Total Length 270m

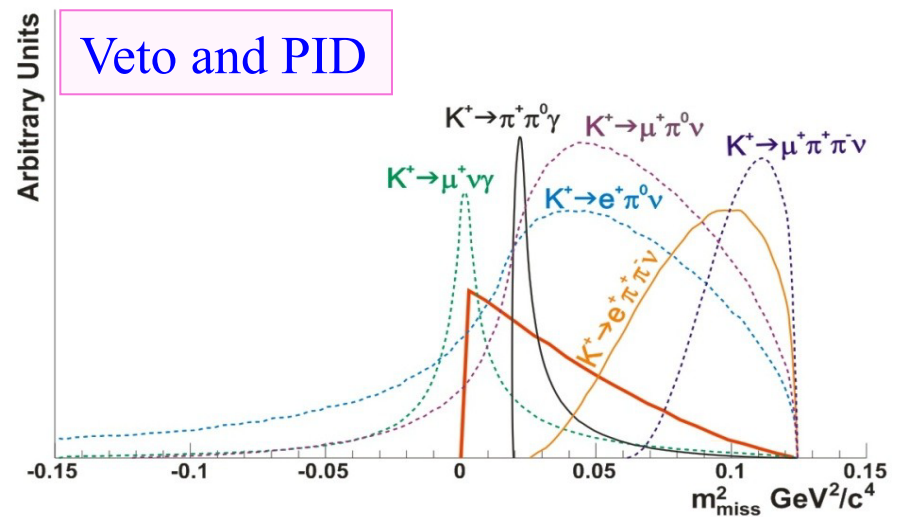
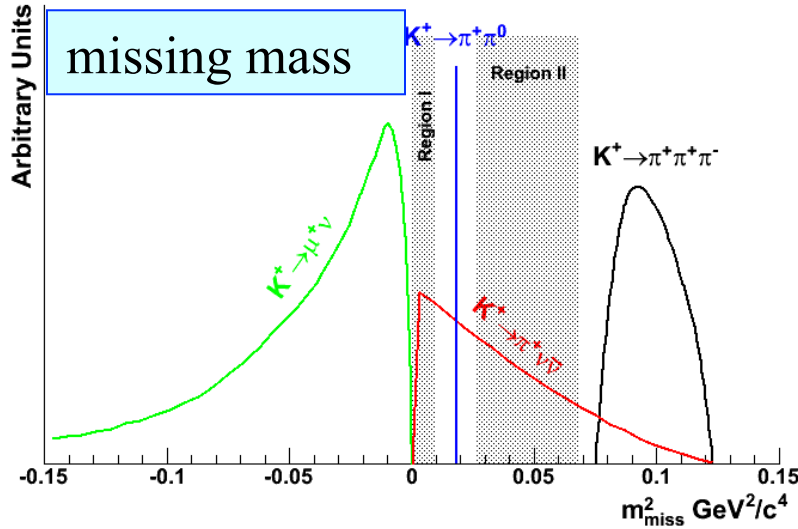
Signal	45 evt/y
$K^+ \rightarrow \pi^+ \pi^0$	4.3%
$K^+ \rightarrow \mu^+ \nu$	2.2%
$K^+ \rightarrow \pi^+ \pi^+ \pi^-$	$< 4.5\%$
$K^+ \rightarrow \pi^+ \pi^0 \gamma$	$\sim 2\%$
$K^+ \rightarrow \mu^+ \nu \gamma$	0.7%
total background	$< 13.5\%$



# Background and kinematics

92% Bkg separated from signal by kinematic cuts

8% not separated



$m^2_{\text{miss}} = (P_K - P_\pi)^2$  defines low bkg signal regions separated by  $K^+ \rightarrow \pi^+ \pi^0$

extend in the signal region kinematics doesn't help

- ✓ high resolution  $m^2_{\text{miss}}$  reconstruction
- ✓ measure precisely kaon and pion momenta
- ✓ keep multiple scattering as low as possible

- ✓ Suppress  $K^+ \rightarrow \pi^+ \pi^0$  background
- ✓ Reject offline decays with  $\gamma$
- ✓  $K^+$  identification in the had beam
- ✓  $10^{-3}$   $\pi$ - $\mu$  separation

Gigatracker (Kaon)  
Straw chambers (pion)

Photon veto system  
Particle Identification

# NA62: Experimental principles

❖ Goal → 10% precision Branching Ratio measurement

❖ O(100)  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  events in two years of data taking

## → Statistics

- BR(SM)  $\sim 7.8 \times 10^{-11}$
- Acceptance: 10%
- K decays:  $10^{13}$

## → Systematics

- $\geq 10^{12}$  background rejection
- $\leq 10\%$  precision on background measurement

**Kaon intensity & signal efficiency**

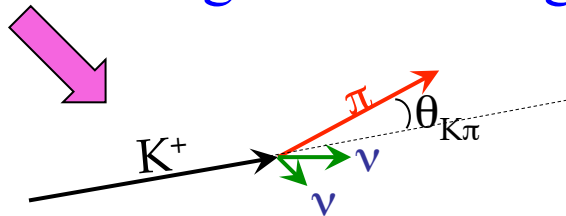
**Signal purity & detector redundancy**

High momentum  $K^+$  beam

Decay in-flight technique

Very challenging experiment

Weak signature for signal decay



Huge background →

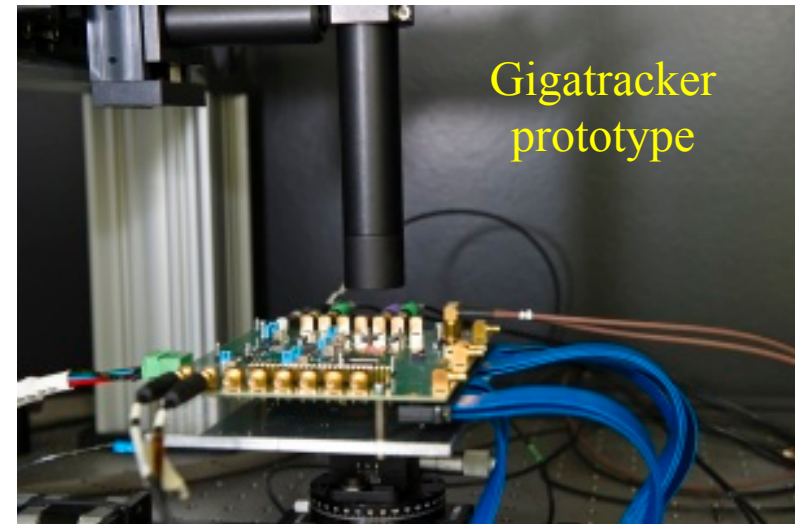
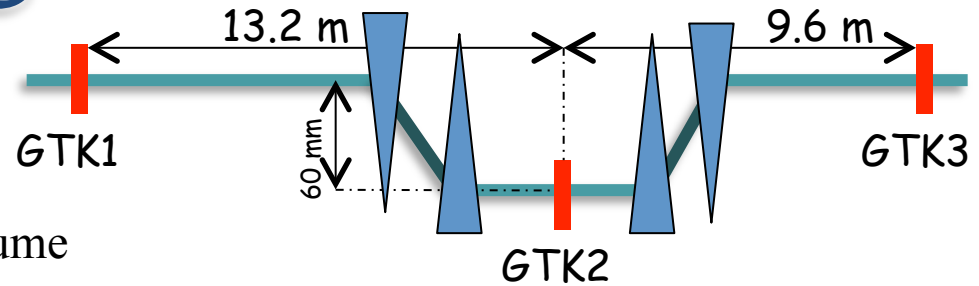
$$m_{\text{miss}}^2 = (P_K - P_\pi)^2$$

Decay	BR
$\mu^+ \nu$ ( $K_{\mu 2}$ )	63.5%
$\pi^+ \pi^0$ ( $K_{\pi 2}$ )	20.7%
$\pi^+ \pi^+ \pi^-$	5.6%
$\pi^0 e^+ \nu$ ( $K_{e 3}$ )	5.1%
$\pi^0 \mu^+ \nu$ ( $K_{\mu 3}$ )	3.3%

# Tracking detectors

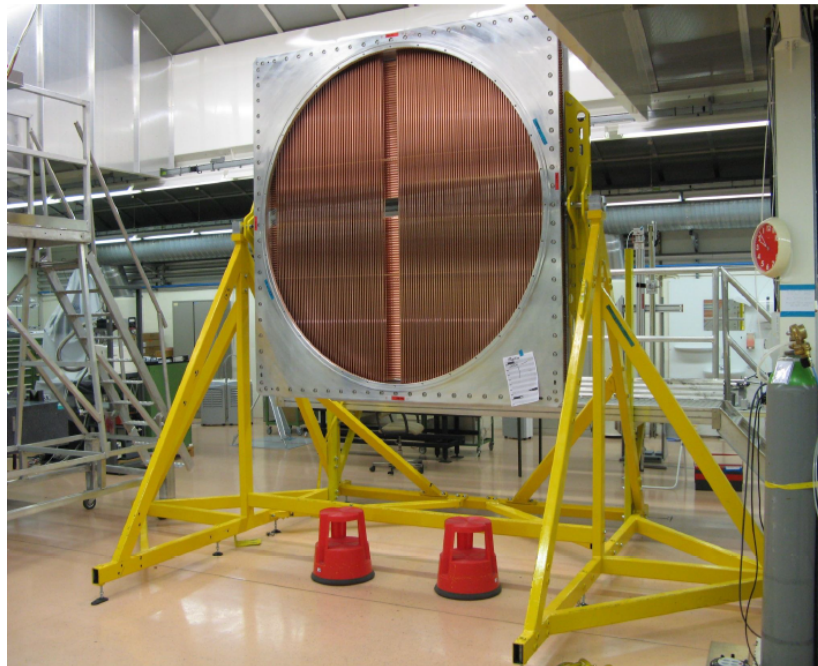
## Gigatracker (800 MHz environment)

- measurement of time, coordinates and momentum of individual particles
- three Si-pixel station before the decay volume
- $\sigma(t) \sim 150$  ps on single track (test beam)



## Straw chamber spectrometer

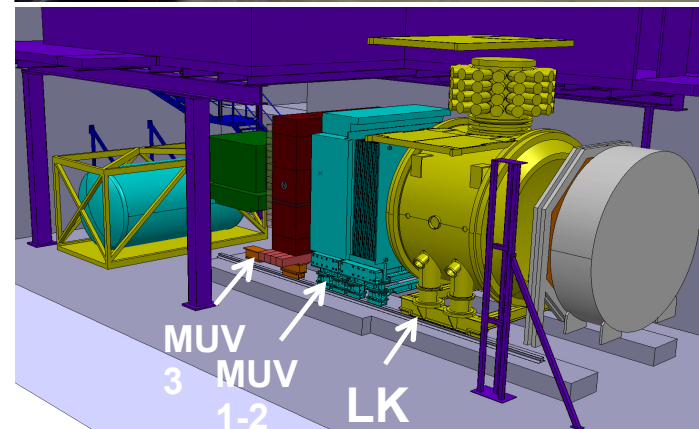
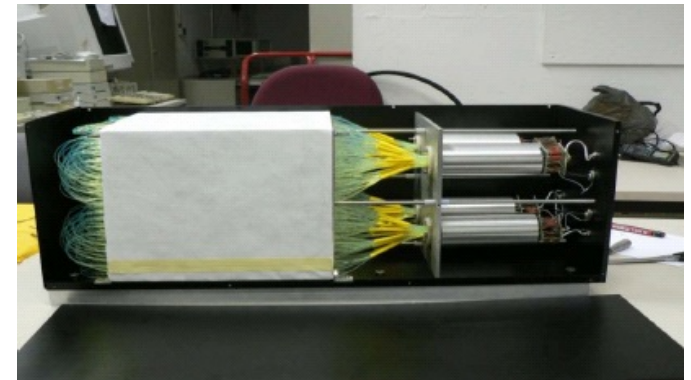
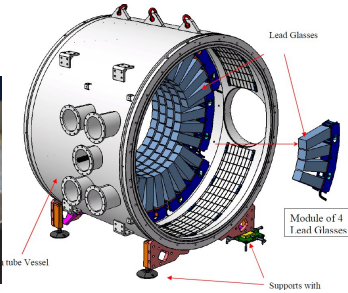
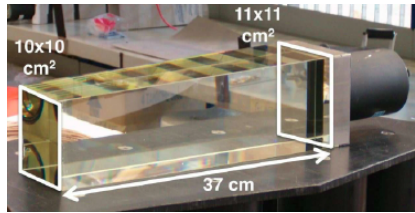
- measurement of coordinates and momentum of charged particles originating from decay
- 4 chambers + magnet
- $\sigma(P_\pi)/P_\pi \sim 0.3\% \oplus 0.007\% \times P_\pi$  (GeV/c)
- $\sigma(dX/dZ)/(dX/dZ) \sim 45\text{-}15$   $\mu\text{rad}$



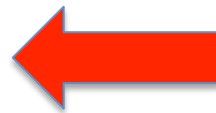
# Vetos

Photon Veto System - several subsystems, among them:

- Large angle (8.5-50 mrad) Lead glass blocks
  - Inefficiency  $< 10^{-4}$  for  $100 \text{ MeV} < E_\gamma < 35 \text{ GeV}$
- (1- 8.5 mrad) Liquid Krypton Calorimeter
  - Inefficiency  $< 10^{-5}$  for  $E_\gamma > 10 \text{ GeV}$
- Small angle ( $< 1 \text{ mrad}$ ) “shashlyk” calorimeters
  - Inefficiency  $< 10^{-3}$  for  $E_\gamma > 10 \text{ GeV}$



Muon detectors:

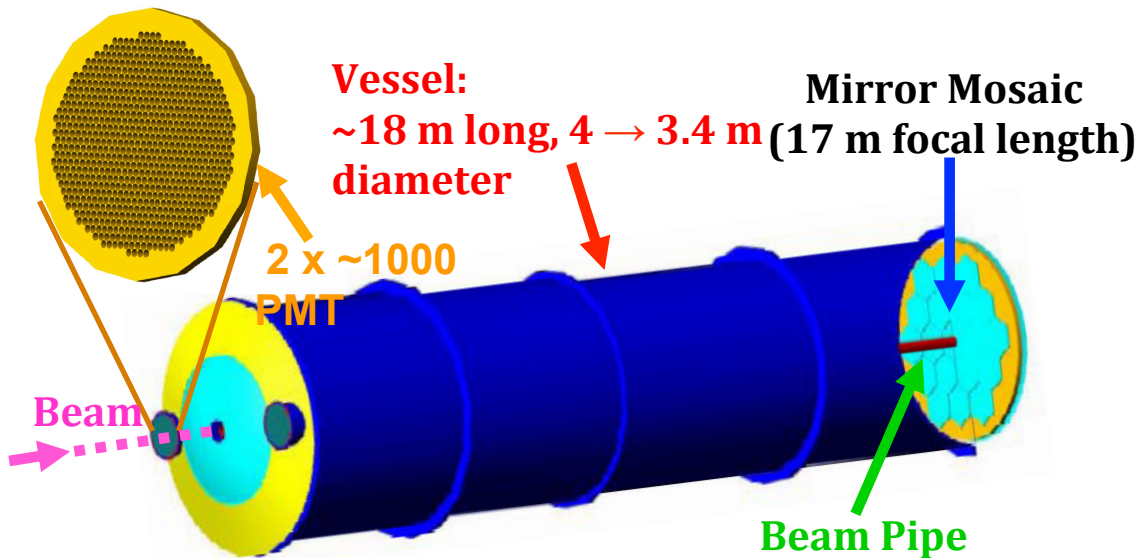
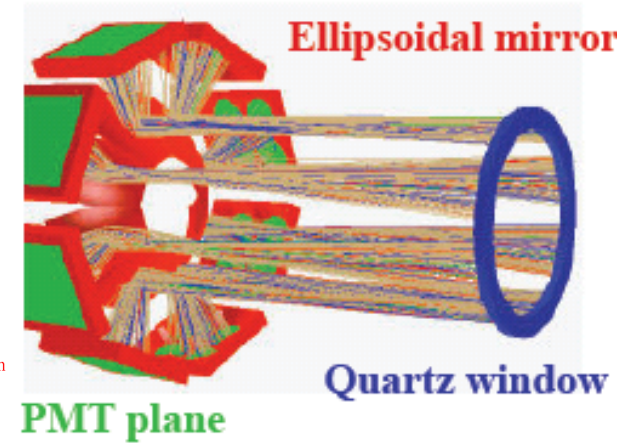
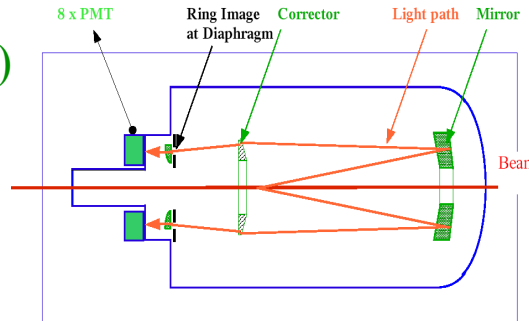


- 3 planes MUV 1,2,3 + iron
- MUV 1+MUV 2 reach a factor of  $10^6$  in muon rejection
- MUV 3 for trigger purposes

# PID detectors

## CEDAR - Differential Cherenkov counter

- Filled with Hydrogen gas
- Positive identification of Kaons in a 800 MHz hadron beam
- Excellent time resolution  $O(100 \text{ ps})$
- Sustain rate  $O(\text{MHz}/\text{mm}^2)$



volume  $\sim 200 \text{ m}^3$

## RICH – Ring Imaging Cherenkov counter:

- Filled with Neon at atm pressure
- Separate  $\pi$ - $\mu$  in  $15 < p < 35 \text{ GeV}/c$  with a  $\mu$  suppression factor better than  $5 \times 10^{-3}$
- Measure pion crossing time with a resolution  $< 100 \text{ ps}$
- Provide a L0 trigger for charged tracks

# KOTO & ORKA

# Koto @ JPARC and ORKA @ FNAL

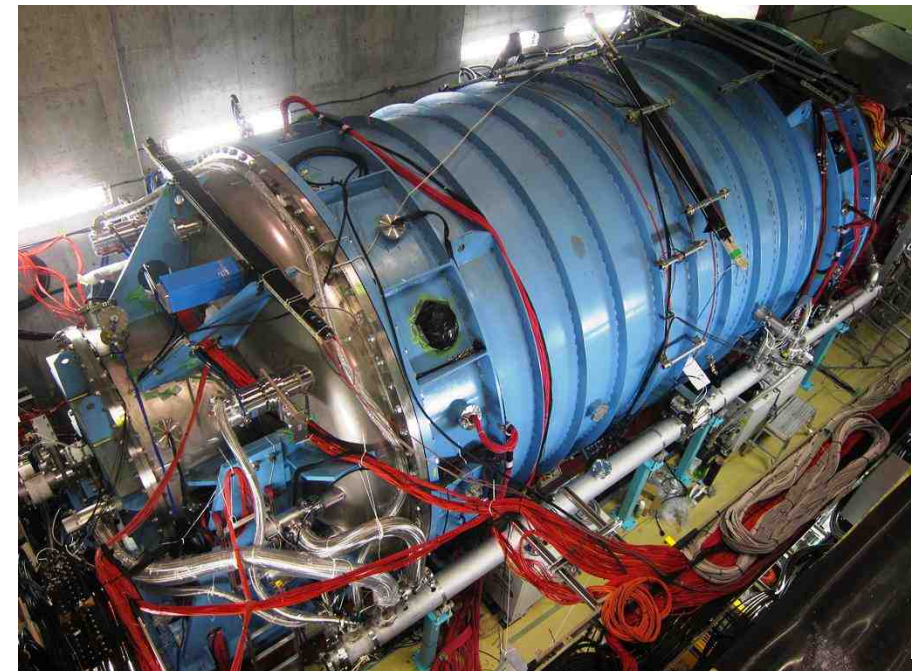
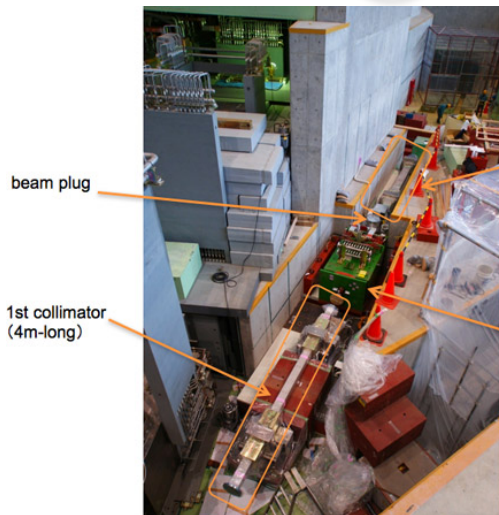
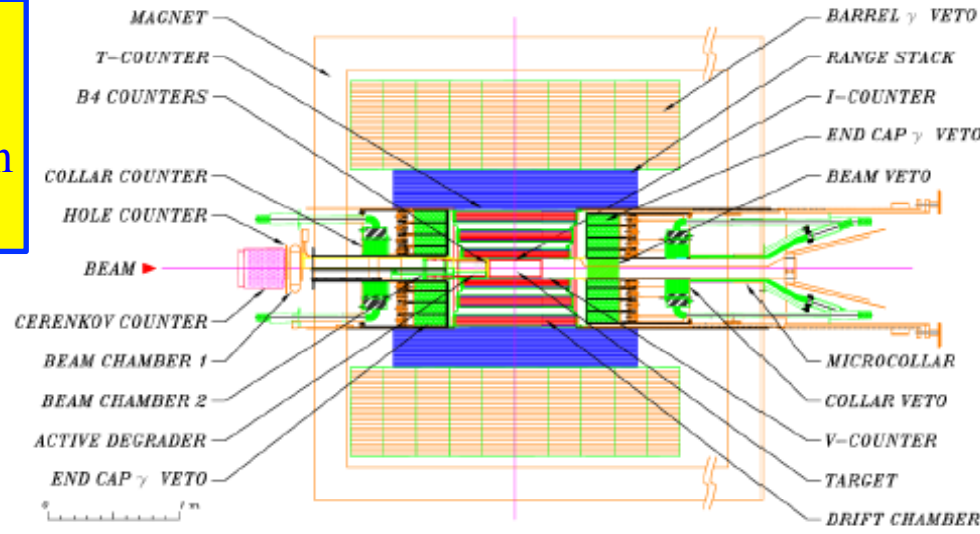


Beam line finalized and tested, first run starting now

2nd collimator (4.5+0.5m)  
sweeping magnet

[NIM A664 (2012) 264]

## ORKA proposal @ FNAL:



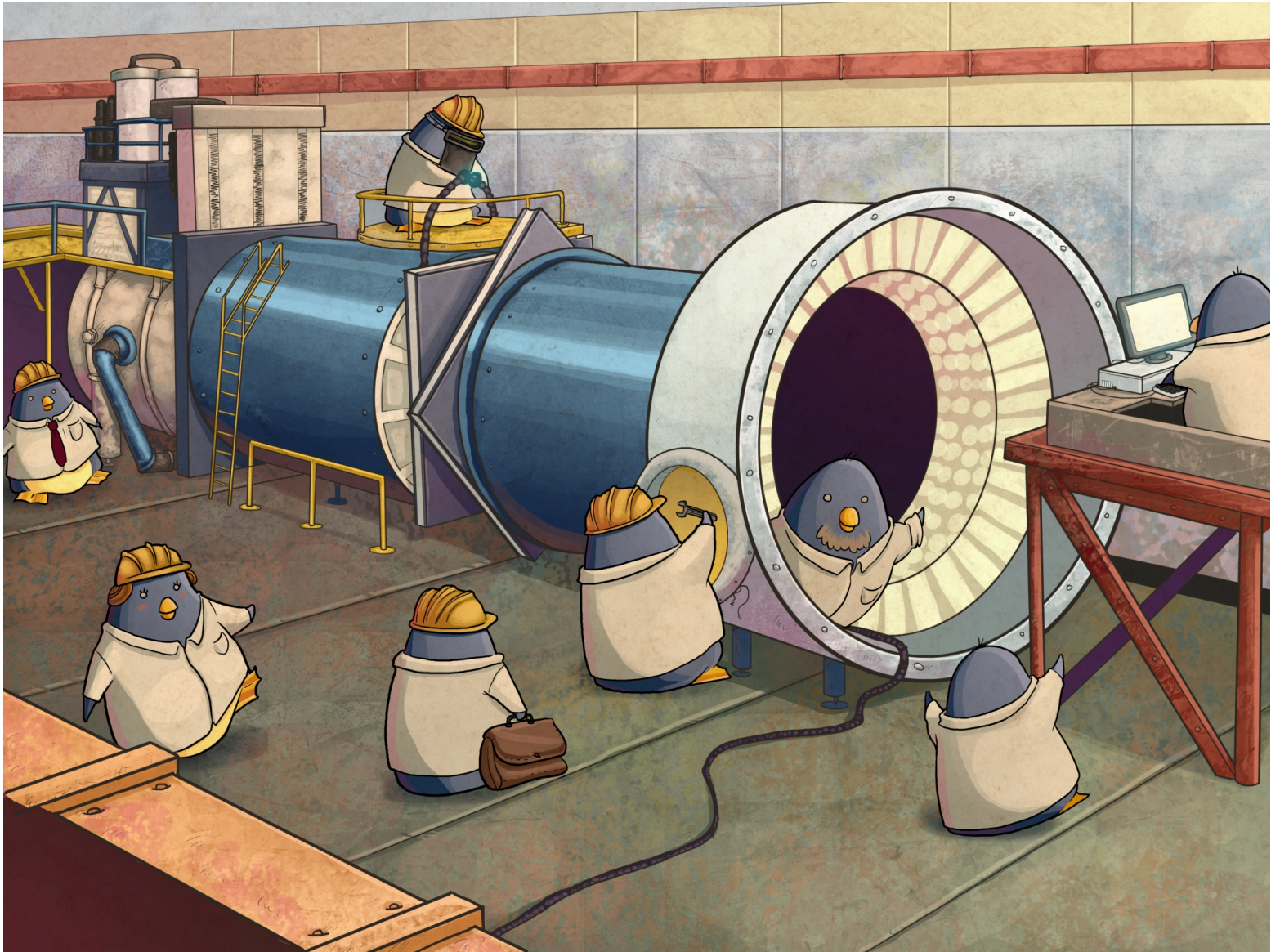
Goals	NA62 CERN	ORKA FNAL MI	Proj.X
Events/yr	40	200	340
S/N	5	5	5
Precision	10%	5%	3%

Goals	KOTO * J-PARC	Proj.X
Events/yr	~1	"200"
S/N	~1	5-10
Precision		5%

\* J-PARC plans a phase II to reach higher sensitivity.

...then OKA and TREK

# Summary: stay tuned on penguins!



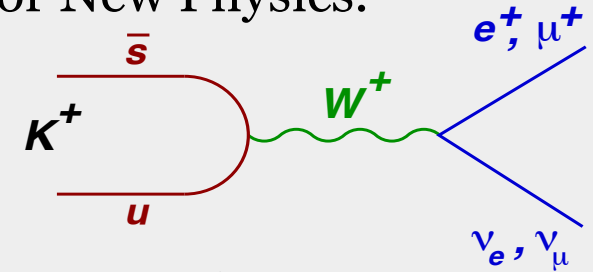


# SPARES

# R<sub>K</sub> measurement in NA62

A precise measurement of the ratio R<sub>K</sub> of K<sup>±</sup> → l<sup>±</sup>ν<sub>l</sub> (K<sub>l2</sub>) leptonic decays provides a stringent test of SM and indirect search for New Physics.

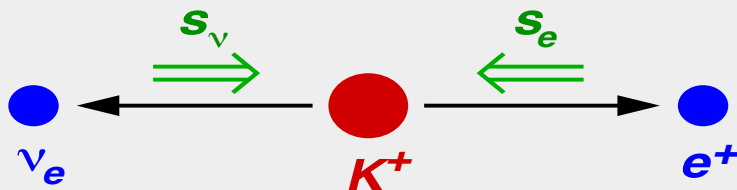
- Hadronic uncertainties cancel in the ratio K<sub>e2</sub>/K<sub>μ2</sub>
- SM prediction: excellent sub-permille accuracy



R<sub>K</sub> is sensitive to lepton flavour violation and its SM expectation:

$$R_K = \frac{\Gamma(K^\pm \rightarrow e^\pm \nu)}{\Gamma(K^\pm \rightarrow \mu^\pm \nu)} = \frac{m_e^2}{m_\mu^2} \cdot \left( \frac{m_K^2 - m_e^2}{m_K^2 - m_\mu^2} \right)^2 \cdot (1 + \delta R_K^{\text{rad. corr.}})$$

**Helicity suppression: f ~ 10<sup>-5</sup>**



Helicity suppression of R<sub>K</sub> might enhance sensitivity to non-SM effects to an experimentally accessible level.

Radiative correction (few %) due to K<sup>±</sup> → e<sup>±</sup>νγ (IB) process, by definition included into R<sub>K</sub>  
 [V.Cirigliano, I.Rosell JHEP 0710:005 (2007)]

$$R_K^{\text{SM}} = (2.477 \pm 0.001) \times 10^{-5}$$

Phys. Rev. Lett. 99 (2007) 231801

# Measurement strategy

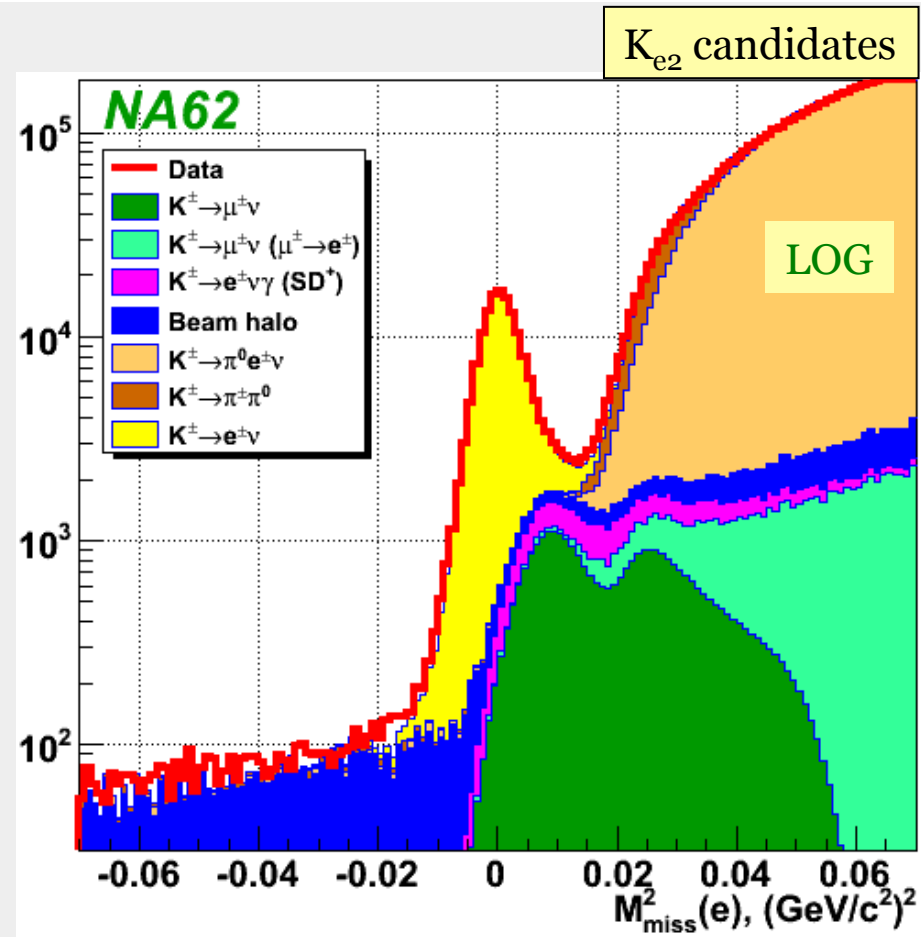
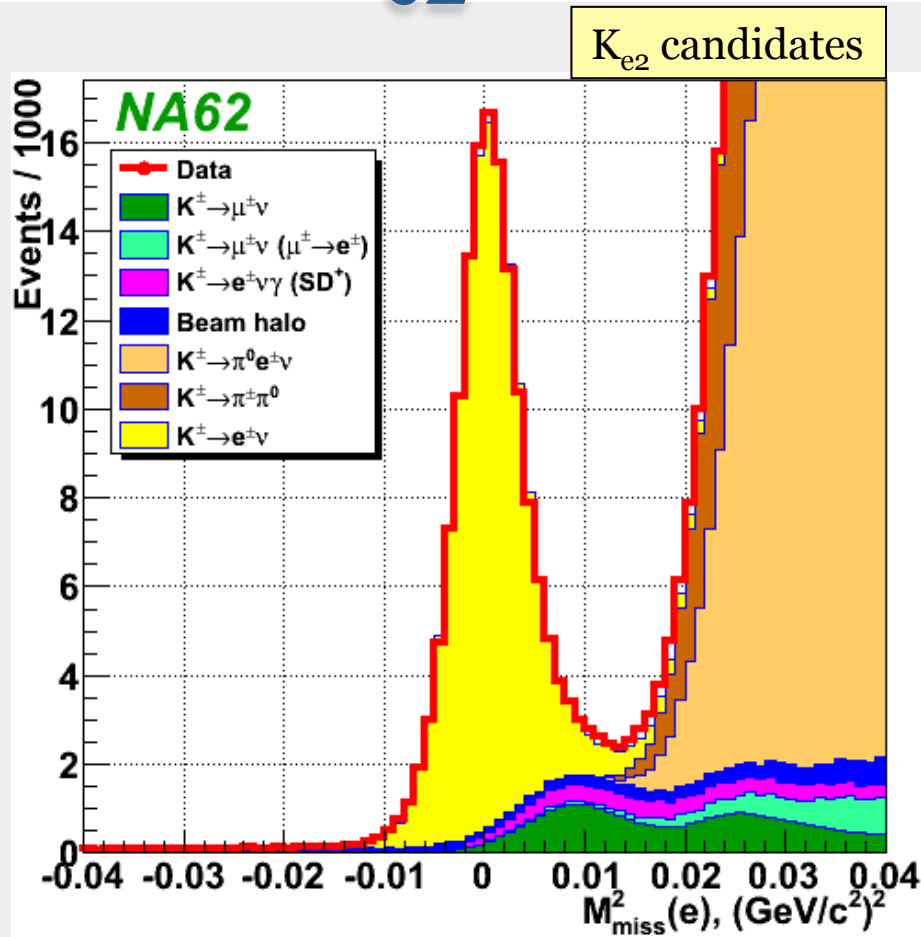
- (1)  $K_{e2}/K_{\mu2}$  candidates are collected concurrently:
  - analysis does not rely on kaon flux measurement;
  - several systematic effects cancel in the ratio (at first order);
- (2) MC simulations used to a limited extent:
  - Geometrical part of the acceptance correction and bkg estimation;
- (3) PID, trigger, readout efficiencies and beam halo bkg measured directly from data;

**Counting experiment - analysis in 10 lepton momentum bins:**  
 (due to strong momentum dependence of backgrounds and event topology)

$$R_K = \frac{1}{D} \frac{\overbrace{N(K_{e2}) - N_B(K_{e2})}^{\text{Signal events}}}{\overbrace{N(K_{\mu2}) - N_B(K_{\mu2})}^{\text{Background events}}} \frac{\overbrace{f_{\mu} \cdot A(K_{\mu2}) \cdot \varepsilon(K_{\mu2})}^{\text{Particle ID eff}}}{\overbrace{f_e \cdot A(K_{e2}) \cdot \varepsilon(K_{e2})}^{\text{Geometrical acceptance}}} \frac{1}{\overbrace{f_{LKR}}^{\text{Trigger efficiency}}} \frac{1}{\overbrace{f_{LKR}}^{\text{Global LKr readout eff}}}$$

$K_{\mu2}$  downscaling       $K_{\mu2}$  downscaling       $K_{\mu2}$  downscaling       $K_{\mu2}$  downscaling       $K_{\mu2}$  downscaling

# $K_{e2}$ : NA62 full data set



145,958  $K^+ \rightarrow e^+ \nu$  candidates.  
 Positron ID efficiency:  $(99.28 \pm 0.05)\%$ .  
 $B/(S+B) = (10.95 \pm 0.27)\%$ .

Proposal (CERN-SPSC-2006-033):  
 $\sim 150\text{k}$  candidates

cf. KLOE: 13.8K candidates ( $K^+$  and  $K^-$ ),  $\sim 90\%$  electron ID efficiency, 16% bkg

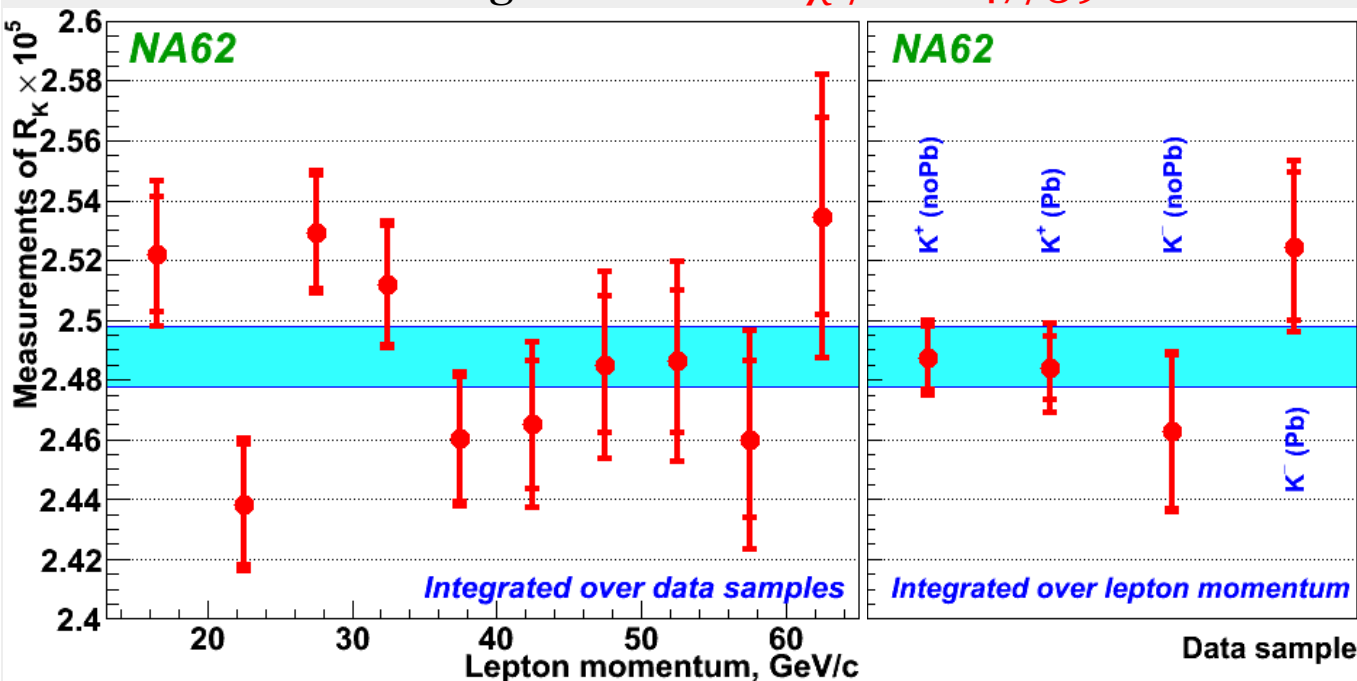
# NA62 result

$$R_K = (2.488 \pm 0.007_{\text{stat}} \pm 0.007_{\text{syst}}) \times 10^{-5}$$

$$= (2.488 \pm 0.010) \times 10^{-5}$$

**Uncertainties**  
**0.4% tot precision**

Fit over 40 measurements (4 data samples x 10 momentum bins) including correlations:  $\chi^2/\text{ndf}=47/39$ .



Independent measurements in lepton momentum bins

(systematic errors included, partially correlated)

NA62 result (40% data set):  $R_K = (2.487 \pm 0.013) \times 10^{-5}$  [PLB698 (2011) 105]

Source	$\delta R_K \times 10^5$
Statistical	0.007
$K_{\mu 2}$	0.004
$\text{BR}(K_{e2\gamma} \text{ SD}^+)$	0.002
Beam halo	0.002
$K^\pm \rightarrow \pi^0 e^\pm \nu$ , $K^\pm \rightarrow \pi^\pm \pi^0$	0.003
Matter Composition	0.003
Acceptance	0.002
DCH alignment	0.001
Electron ID	0.001
LKr readout inef	0.001
1-track trigger	0.001
<b>Total</b>	<b>0.010</b>

# Physics motivation

$K_{l3}$  decays are described by **two form factors**  $f_{\pm}(t)$ , and the **matrix element** can be written as:

$$M = \frac{G_F}{2} V_{us} (f_+(t) (P_K + P_\pi)^\mu \bar{u}_l \gamma_\mu (1 + \gamma_5) u_\nu + f_-(t) m_l \bar{u}_l (1 + \gamma_5) u_\nu)$$

$t = q^2$  is the square of the four-momentum transfer to the lepton neutrino system

$f_-(t)$  can only be measured in  $K_{\mu 3}$  decays because of  $m_e \ll m_K$

$f_+(t)$  is the **vector form factor** and  $f_0(t)$  the **scalar form factor** with:

$$f_0(t) = f_+(t) + \frac{t}{(m_K^2 - m_\pi^2)} f_-(t)$$

$f_+(0)$  cannot be measured directly, therefore the form factors are normalised to  $f_+(0)$ :

$$\bar{f}_+(t) = \frac{f_+(t)}{f_+(0)} \qquad \bar{f}_0(t) = \frac{f_0(t)}{f_+(0)}$$

# Form Factor Parametrizations

Parametrizations using **physical quantities** are called **class 1** parametrizations. They depend on free parameters with a physical meaning.

## Pole parametrization:

Assumes the exchange of vector and scalar resonances  $K^*$  with spin-parity  $1^-/0^+$  and masses  $m_V/m_S$ ,  $f_+(t)$  can be described by  $K^*(892)$ , for  $f_0(t)$  no obvious dominance is seen:

$$\bar{f}_{+,0}(t) = \frac{m_{V,S}^2}{m_{V,S}^2 - t}$$

Parametrizations without a **physical meaning** are called **class 2** parametrizations. They require more free parameters and are expansions in the momentum transfer.

## Linear and quadratic parametrization:

$$\bar{f}_{+,0}(t) = \left[ 1 + \lambda_{+,0} \frac{t}{m_\pi^2} \right] \quad \text{Linear}$$

$$\bar{f}_{+,0}(t) = \left[ 1 + \lambda'_{+,0} \frac{t}{m_\pi^2} + \frac{1}{2} \lambda''_{+,0} \left( \frac{t}{m_\pi^2} \right)^2 \right] \quad \text{Quadratic}$$

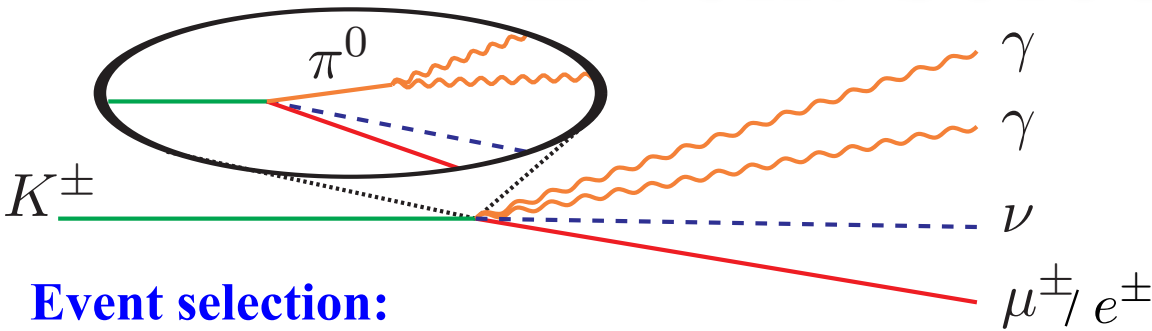
**Correlations!**

No sensitivity to  $\lambda_0''$



$\bar{f}_0(t)$  linear

# Event selection



## Event selection:

- **1 good track**
  - Muon identified by muon veto and E/p
  - Electron identified by E/p
- **1 good  $\pi^0 \rightarrow \gamma\gamma$** 
  - Pion mass cut:  $|m_{\gamma\gamma} - m^{\text{PDG}}(\pi^0)| > 10 \text{ MeV}$

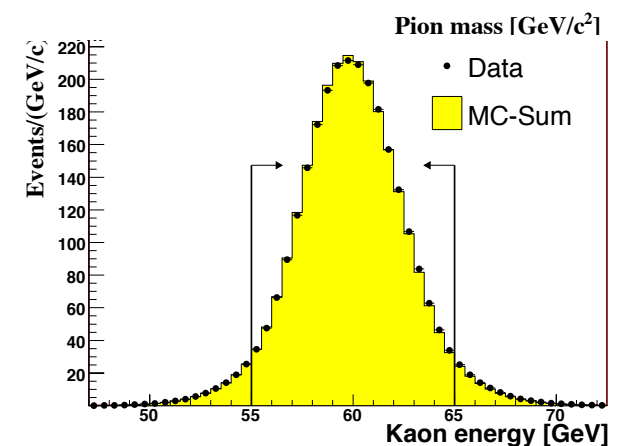
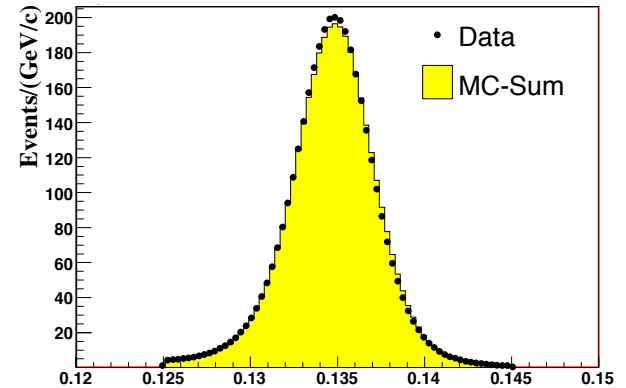
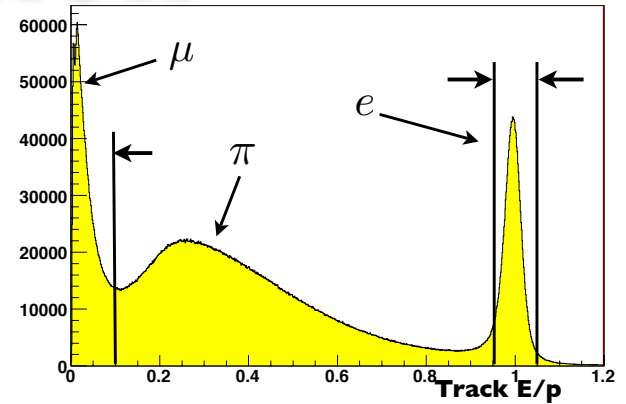
## Event reconstruction:

- LKr clusters and muon track consistent in time
- Missing mass cut using  $K^\pm$  hypothesis
 
$$M^2_{K_{l3}} = (P_K - P_l - P_{\pi^0})^2 < 10 \text{ MeV}^2$$
- Kaon energy reconstructed under the assumption of a missing undetected neutrino within the range of:

$$55 \text{ GeV} < E_\pm < 65 \text{ GeV}$$

$2.5 \times 10^6$   $K_{\mu 3}^\pm$  events selected

$4.0 \times 10^6$   $K_{e 3}^\pm$  events selected





# $\pi^+\pi^0$ background

To  $K^\pm_{\mu 3}$ :

$K^\pm \rightarrow \pi^\pm \pi^0$  with  $\pi \rightarrow \mu$  can fake the signal

Without suppression,  $K^\pm \rightarrow \pi^\pm \pi^0$  background at the level of 20%

Cut in the **invariant  $\pi^\pm \pi^0$  mass** and the **transverse momentum** of the pion:

→ Background contamination reduced to 0.5%

→ about 24% of  $K^\pm_{\mu 3}$  events are lost

Background is well localized in the Dalitz plot

To  $K^\pm_{e 3}$ :

Pion with  $E/P > 0.95$  can fake a  $K^\pm_{e 3}$  decay

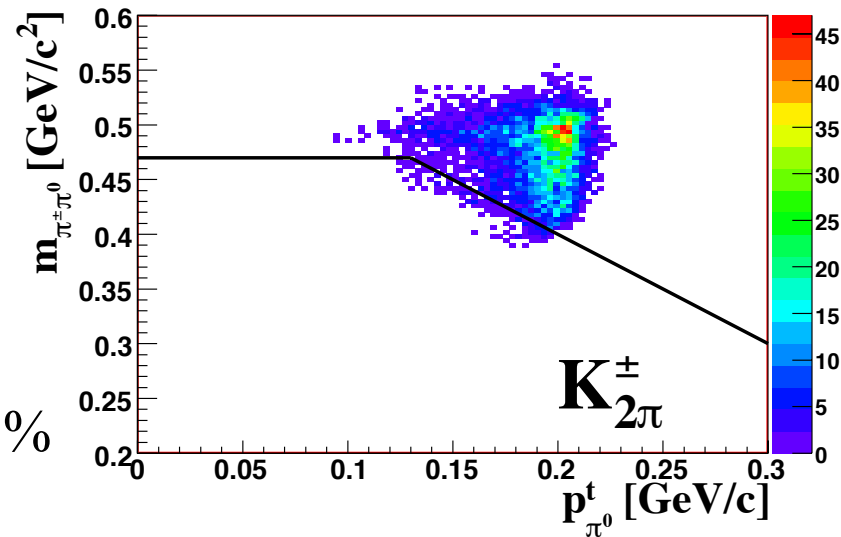
Cut in the transverse momentum of the event:

$$p^T_{\text{event}} > 0.02 \text{ GeV}/c$$

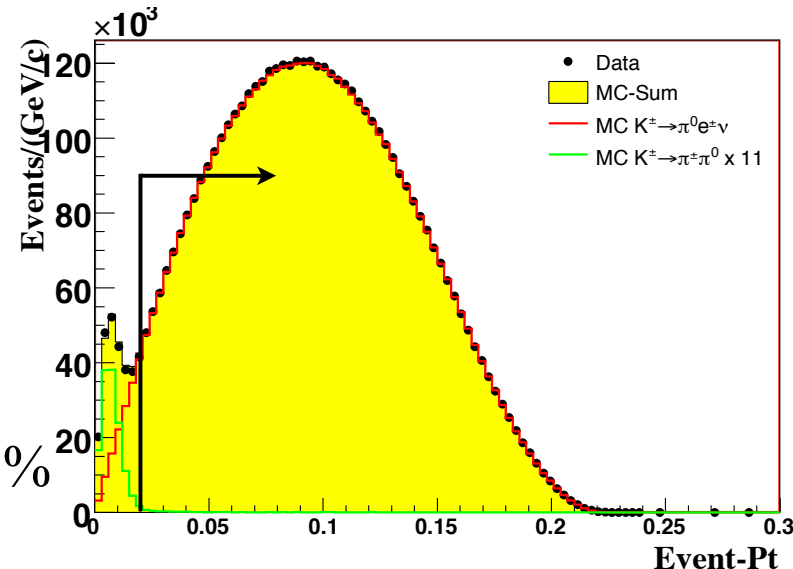
→ Background contamination reduced to  $< 0.1\%$

→ about 3% of  $K^\pm_{e 3}$  events are lost

Pion-Pt vs inv PiPi-Mass



Event transverse momentum



# Radiative corrections

The  $K_{l3}$  decay rate including first order radiative corrections can be written as:

$$\Gamma_{K_{l3}} = \Gamma_{K_{l3}}^0 + \Gamma_{K_{l3}}^1 = \Gamma_{K_{l3}}^0 (1 + 2\delta_{EM}^{Kl})$$

Simulation code provided by KLOE  
author C. Gatti, *EPJ C45 (2006) 417*

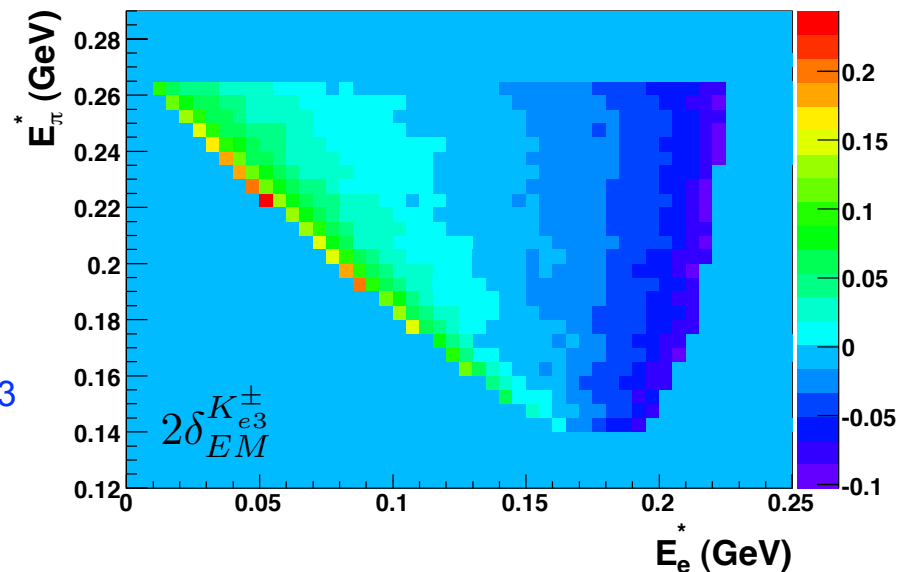
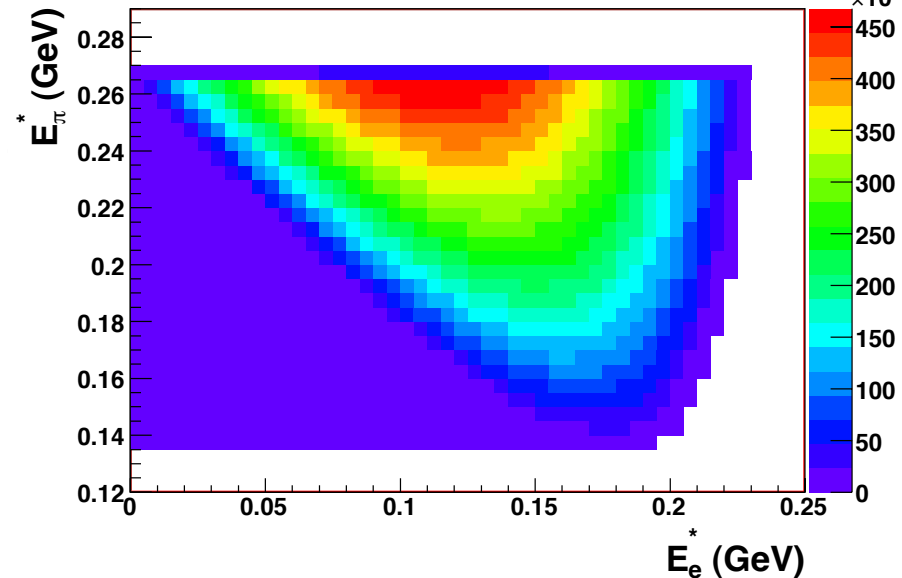
Parameters used for the normalization:  
(*JHEP 11 (2008) 006*)

Mode	$\delta_{EM}^{Kl3}$ (%)
$K_{\mu 3}^{\pm}$	$0.008 \pm 0.125$
$K_{e 3}^{\pm}$	$0.050 \pm 0.125$

For  $K_{e 3}^{\pm}$  the effects on the acceptance are bigger with respect to  $K_{\mu 3}^{\pm}$

- ~10% effect on the Dalitz plot slope for  $K_{e 3}^{\pm}$
- Percent effect on slope for  $K_{\mu 3}^{\pm}$

Dalitz Plot Ke3 radiative



# Systematic checks

$K_{\mu 3}^{\pm}$	$\Delta\lambda'_+$	$\Delta\lambda''_+$ $\times 10^{-3}$	$\Delta\lambda_0$	$\Delta m_V$ MeV/c <sup>2</sup>	$\Delta m_S$ MeV/c <sup>2</sup>
Kaon Energy	$\pm 0.1$	$\pm 0.0$	$\pm 0.3$	$\pm 1$	$\pm 8$
Vertex	$\pm 1.0$	$\pm 0.5$	$\pm 0.1$	$\pm 2$	$\pm 7$
Bin size	$\pm 0.8$	$\pm 0.4$	$\pm 0.7$	$\pm 3$	$\pm 10$
Energy scale	$\pm 0.3$	$\pm 0.1$	$\pm 0.1$	$\pm 0$	$\pm 1$
Acceptance	$\pm 0.2$	$\pm 0.1$	$\pm 0.3$	$\pm 2$	$\pm 5$
$K_{2\pi}$ background	$\pm 1.7$	$\pm 0.5$	$\pm 0.6$	$\pm 3$	$\pm 0$
2nd Analysis	$\pm 0.1$	$\pm 0.1$	$\pm 0.2$	$\pm 2$	$\pm 5$
FF input	$\pm 0.3$	$\pm 0.8$	$\pm 0.1$	$\pm 7$	$\pm 3$
Systematic	$\pm 2.2$	$\pm 1.1$	$\pm 1.0$	$\pm 9$	$\pm 16$
Statistical	$\pm 3.0$	$\pm 1.1$	$\pm 1.4$	$\pm 8$	$\pm 31$

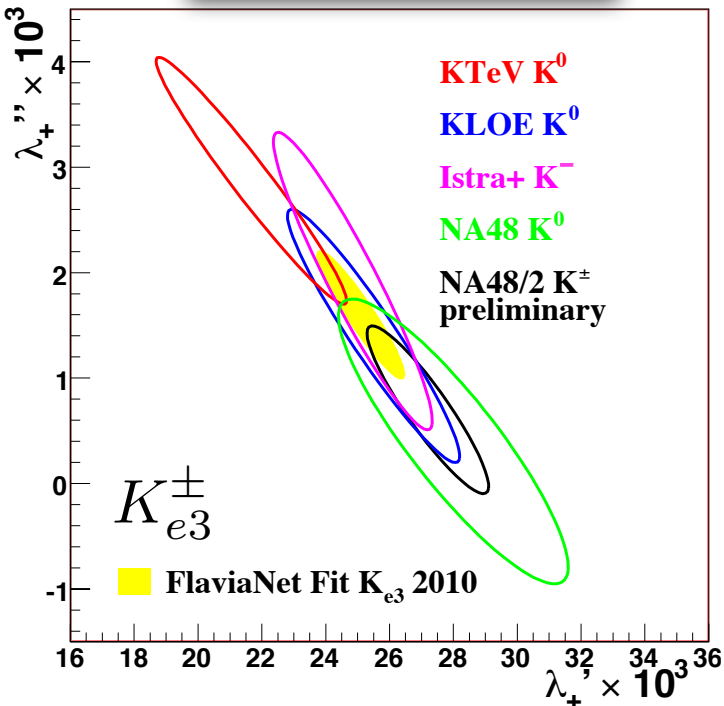
$K_{e3}^{\pm}$	$\Delta\lambda'_+$ $\times 10^{-3}$	$\Delta\lambda''_+$ $\times 10^{-3}$	$\Delta m_V$ MeV/c <sup>2</sup>
Kaon Energy	$\pm 0.3$	$\pm 0.1$	$\pm 6$
Vertex	$\pm 0.2$	$\pm 0.1$	$\pm 0$
Bin size	$\pm 0.0$	$\pm 0.1$	$\pm 2$
Energy scale	$\pm 0.1$	$\pm 0.0$	$\pm 0$
Acceptance	$\pm 0.2$	$\pm 0.0$	$\pm 3$
2nd Ana	$\pm 0.9$	$\pm 0.4$	$\pm 1$
FF input	$\pm 0.4$	$\pm 0.0$	$\pm 1$
Sytematic	$\pm 1.1$	$\pm 0.4$	$\pm 7$
Statistical	$\pm 0.7$	$\pm 0.3$	$\pm 3$

$K_{\mu 3}^{\pm}$  is dominated by statistics,  $K_{e3}^{\pm}$  is dominated by the systematics

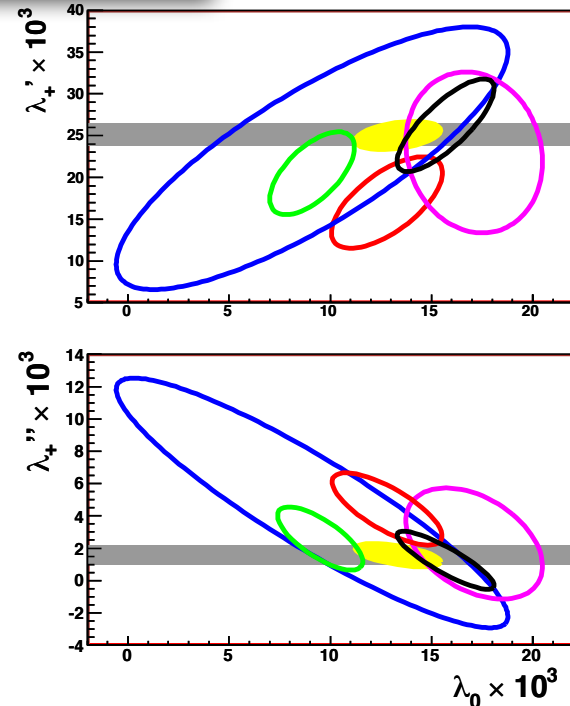
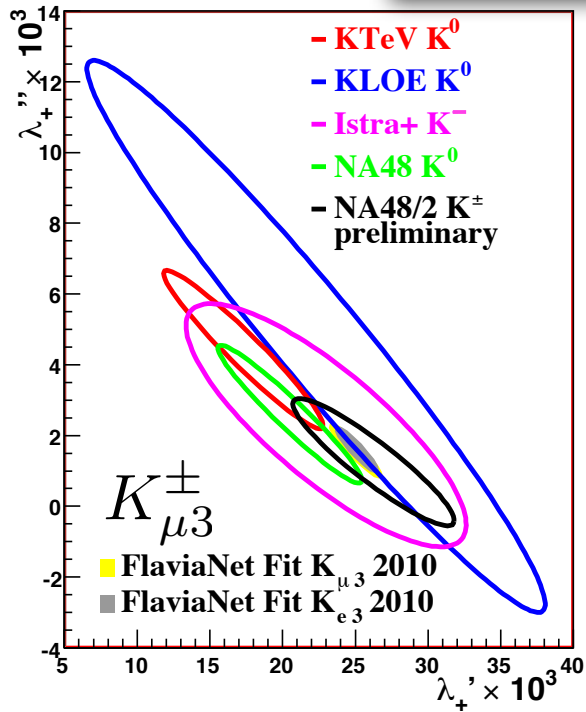
# Preliminary results

Quadratic ( $\times 10^{-3}$ )	$\lambda'_+$	$\lambda''_+$	$\lambda_0$
$K_{\mu 3}^\pm$	$26.3 \pm 3.0_{\text{stat}} \pm 2.2_{\text{syst}}$	$1.2 \pm 1.1_{\text{stat}} \pm 1.1_{\text{syst}}$	$15.7 \pm 1.4_{\text{stat}} \pm 1.0_{\text{syst}}$
$K_{e3}^\pm$	$27.2 \pm 0.7_{\text{stat}} \pm 1.1_{\text{syst}}$	$0.7 \pm 0.3_{\text{stat}} \pm 0.4_{\text{syst}}$	
Pole (MeV/c <sup>2</sup> )	$m_V$		$m_S$
$K_{\mu 3}^\pm$	$873 \pm 8_{\text{stat}} \pm 9_{\text{syst}}$		$1183 \pm 31_{\text{stat}} \pm 16_{\text{syst}}$
$K_{e3}^\pm$	$879 \pm 3_{\text{stat}} \pm 7_{\text{syst}}$		

68% Confidence level contours



68% Confidence level contours



# Grossman-Nir bound

## Grossman-Nir bound (1997)

- Since the two processes are determined by the imaginary part and the absolute value of the same coupling, a simple model-independent bound is obtained.

$$B(K_L \rightarrow \pi^0 \nu \bar{\nu}) < 4.4 B(K^+ \rightarrow \pi^+ \nu \bar{\nu})$$

Present experimental bounds

$B(K^+ \rightarrow \pi^+ \nu \bar{\nu})$	$B(K_L \rightarrow \pi^0 \nu \bar{\nu})$
$(1.47^{+1.30}_{-0.89}) \cdot 10^{-10}$	$< 2.1 \cdot 10^{-7}$
BNL E949	KEK E391a

The GN bound can be violated if lepton flavor violation exists.