

Oscillation Research with Cosmics in the Abyss

KM3NeT Collaboration



ORCA overview

Antoine Kouchner (APC)
Catania, 05/12/2012

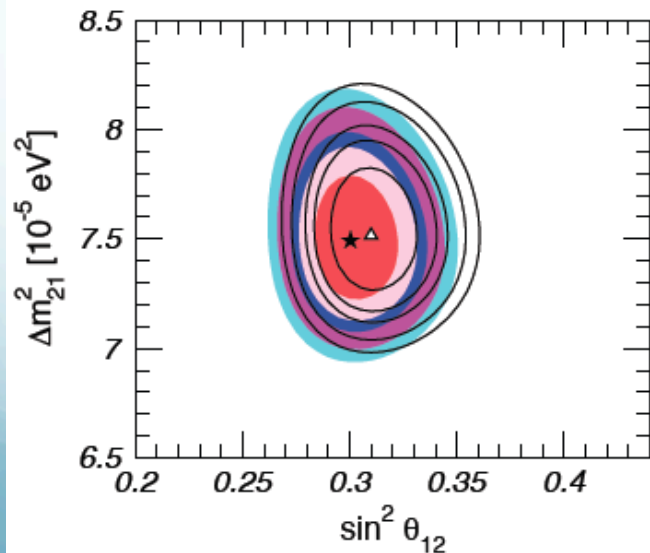
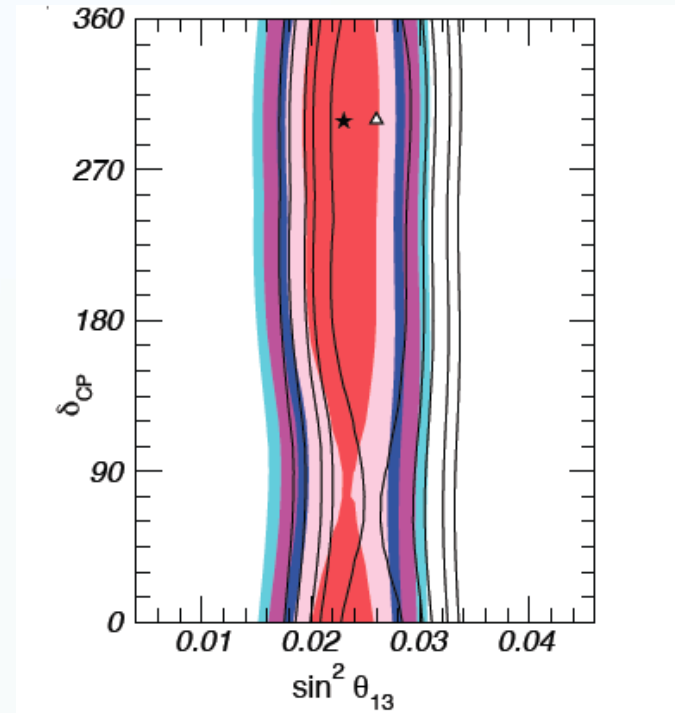
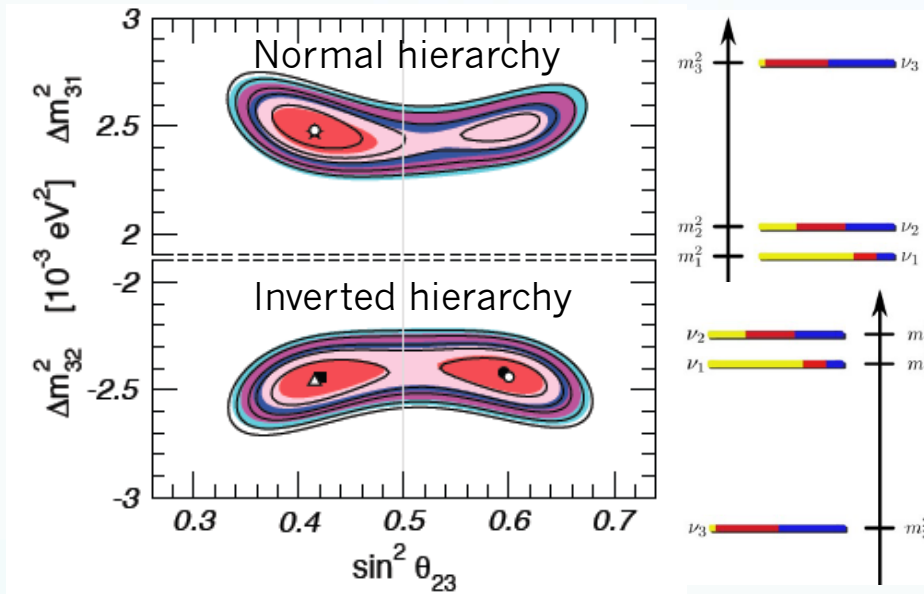


[ALL PRELIMINARY]

Outline

- What is it about?
 - Introduction
 - Akhmedov et al. paper
- First achievements with ANTARES
- Organization of ORCA
- Working lines
 - Systematics and sensitivity studies (Veronique)
 - First simulation chains
 - Algorithmic Aspects (direction and energy) (Agata, Apostolos)
 - Global fit approach (Aart)
- Outlook

Status



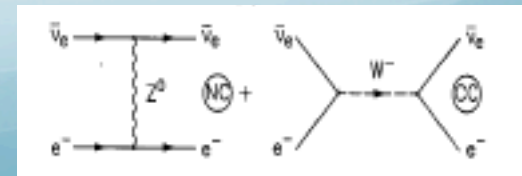
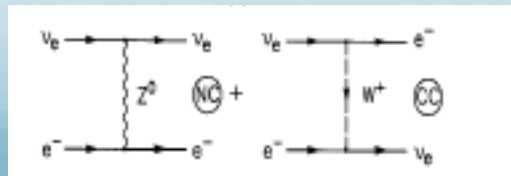
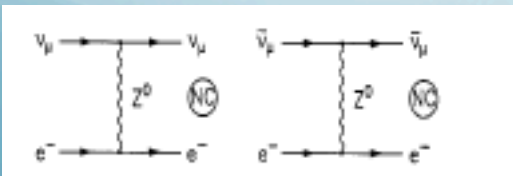
- All parameters are measured to fair precision except for the **mass hierarchy** and the CP phase.

Long baseline experiments

- « Standard approach » : probe $\nu_\mu \leftrightarrow \nu_e$ governed by Δm^2_{13}

$$P_{\mu e} = \sin^2 \theta_{23} \boxed{\sin^2 2\theta_{13}} \sin^2 \left(\frac{\Delta m^2_{13} L}{4E} \right) + \text{“subleading”}$$

- Insensitive to the sign of Δm^2_{13} at leading order.
- Matter effects (MSW) come to the rescue
- Earth density variations also affect the oscillations
- Different effect for neutrinos and antineutrinos
- Atmospheric neutrinos: effect measurable $\sigma(\nu) \approx 2 \sigma(\bar{\nu})$

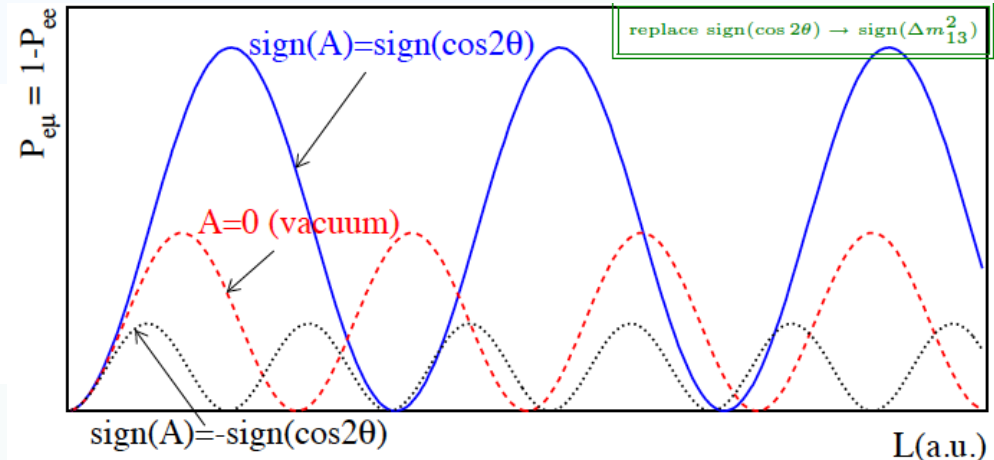


(Constant density) Matter effects

$$P_{\mu e} \simeq P_{e\mu} \simeq \sin^2 \theta_{23} \sin^2 2\theta_{13}^{\text{eff}} \sin^2 \left(\frac{\Delta_{13}^{\text{eff}} L}{2} \right),$$

$$\sin^2 2\theta_{13}^{\text{eff}} = \frac{\Delta_{13}^2 \sin^2 2\theta_{13}}{(\Delta_{13}^{\text{eff}})^2},$$

$$\Delta_{13}^{\text{eff}} = \sqrt{(\Delta_{13} \cos 2\theta_{13} - A)^2 + \Delta_{13}^2 \sin^2 2\theta_{13}},$$



$A \equiv \pm\sqrt{2}G_F N_e$ is the matter potential. $\left\{ \begin{array}{l} >0 \text{ for neutrinos} \\ <0 \text{ for anti-neutrinos} \end{array} \right.$

Requirements:

$\Delta_{13} \sim A$ matter potential must be significant not overwhelming
 L large enough – matter effects are absent near the origin

Matter resonance: $A \rightarrow \Delta_{13} \cos 2\theta_{13}$

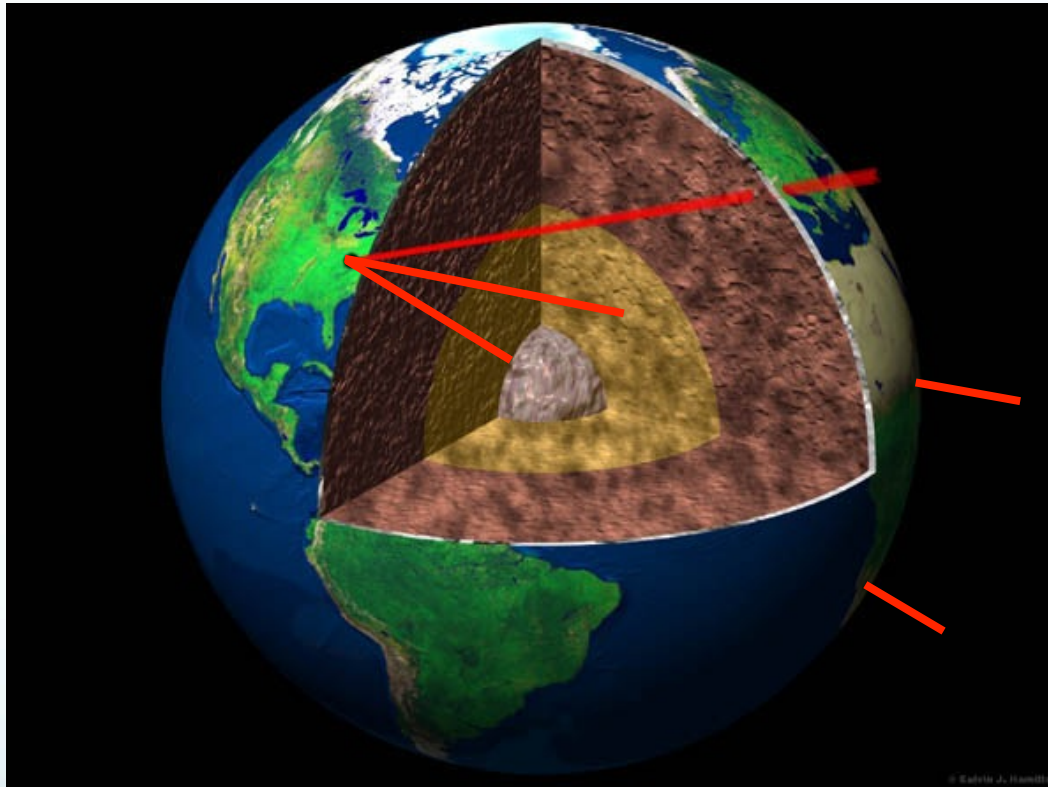
In this case:

- Effective mixing maximal
- Effective osc. frequency minimal

Resonance energy:

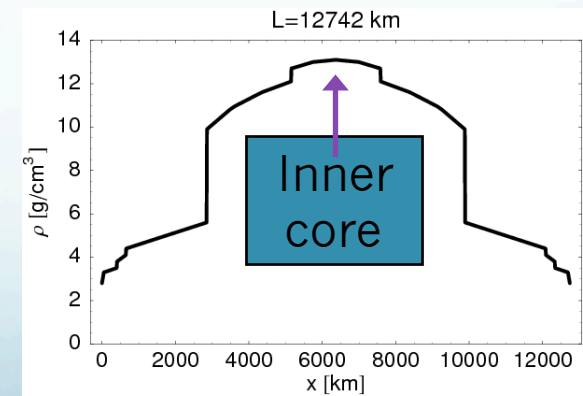
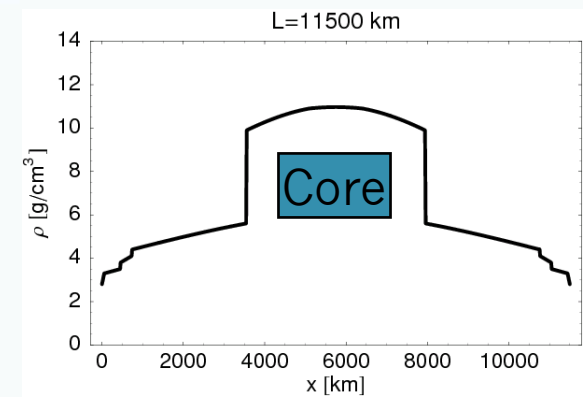
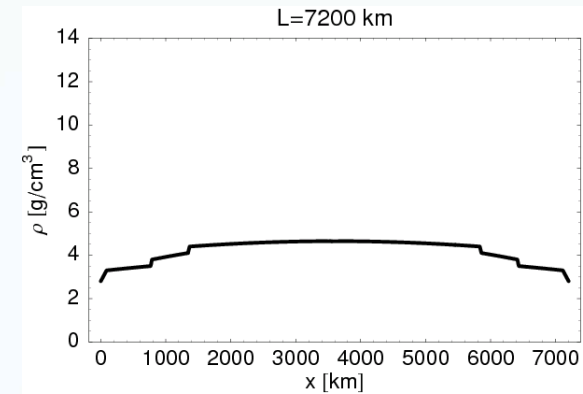
$$E_{\text{res}} [\text{GeV}] \sim 13\,200 \cos 2\theta \frac{\Delta m^2 [\text{eV}^2]}{\rho [\text{g/cm}^3]}$$

Earth density profile



For ν_μ appearance:

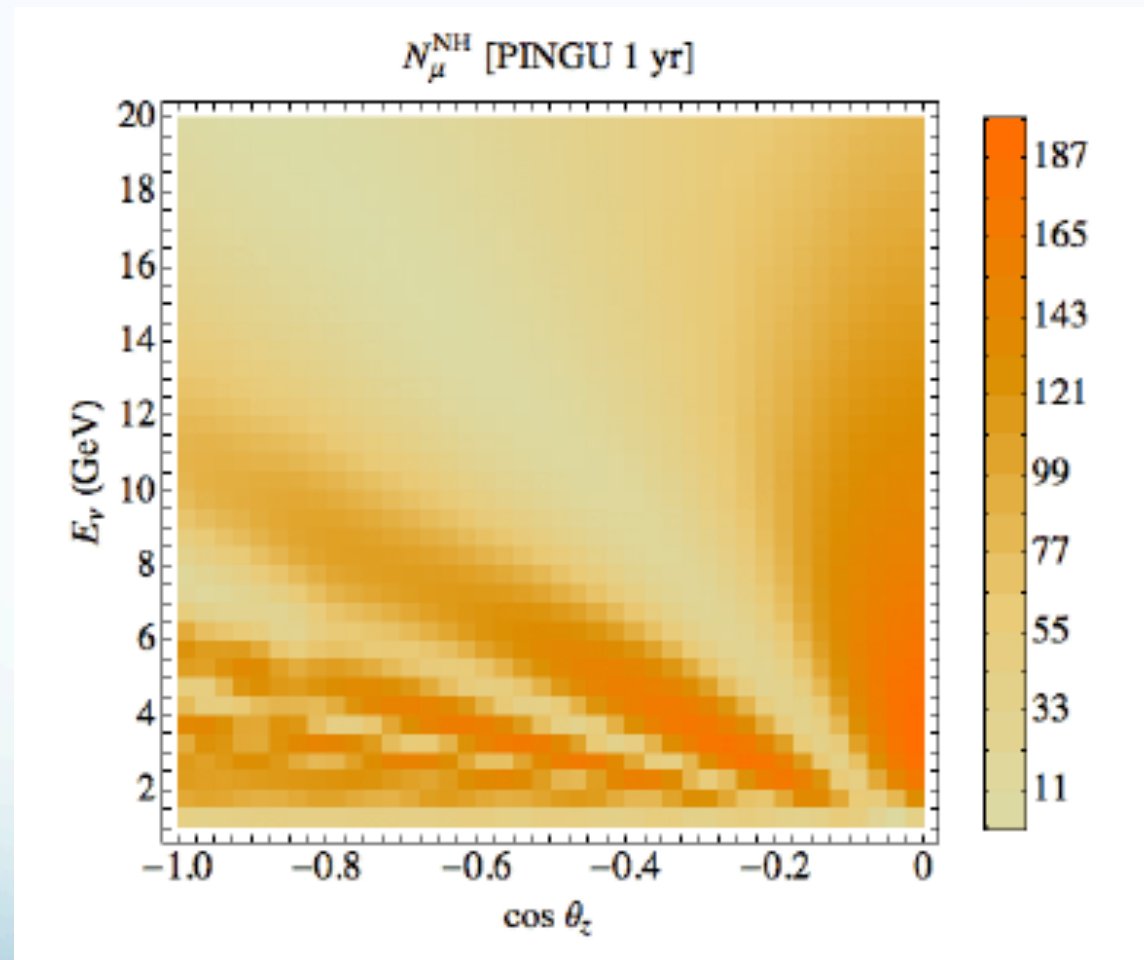
- $\rho \sim 4.7 \text{ g/cm}^3$ (Earth's mantle): $E_{\text{res}} \sim 7 \text{ GeV}$
- $\rho \sim 10.8 \text{ g/cm}^3$ (Earth's outer core): $E_{\text{res}} \sim 3 \text{ GeV}$



(PREM: Preliminary Reference Earth Model)

Akhmedov-Razzaque-Smirnov paper

[hep-ph > arXiv:1205.7071](https://arxiv.org/abs/1205.7071) (v4)

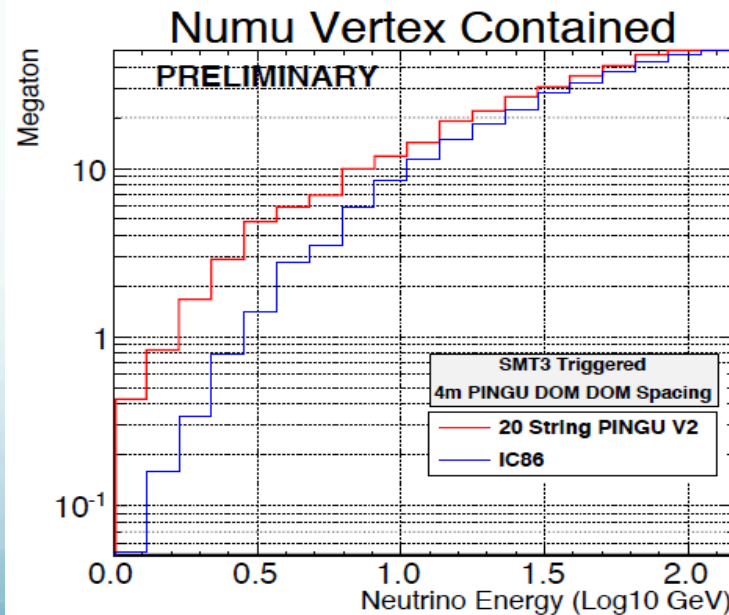
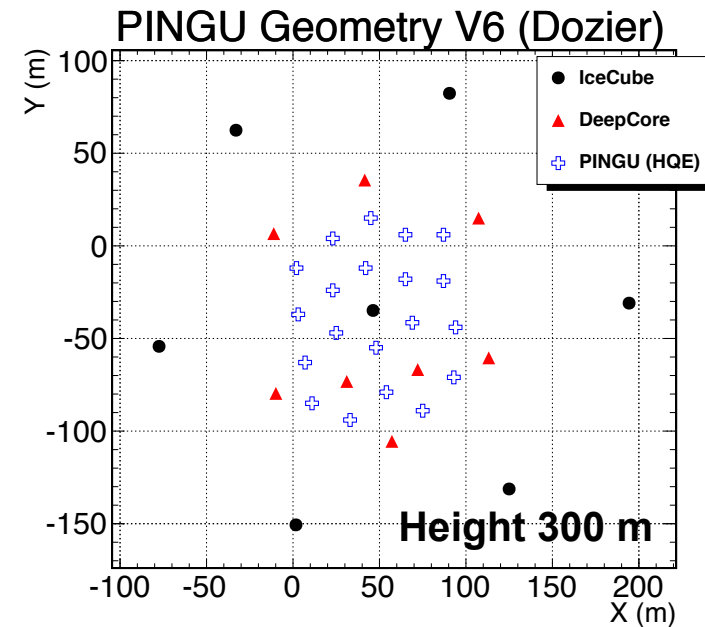


Uses a large PINGU effective volume

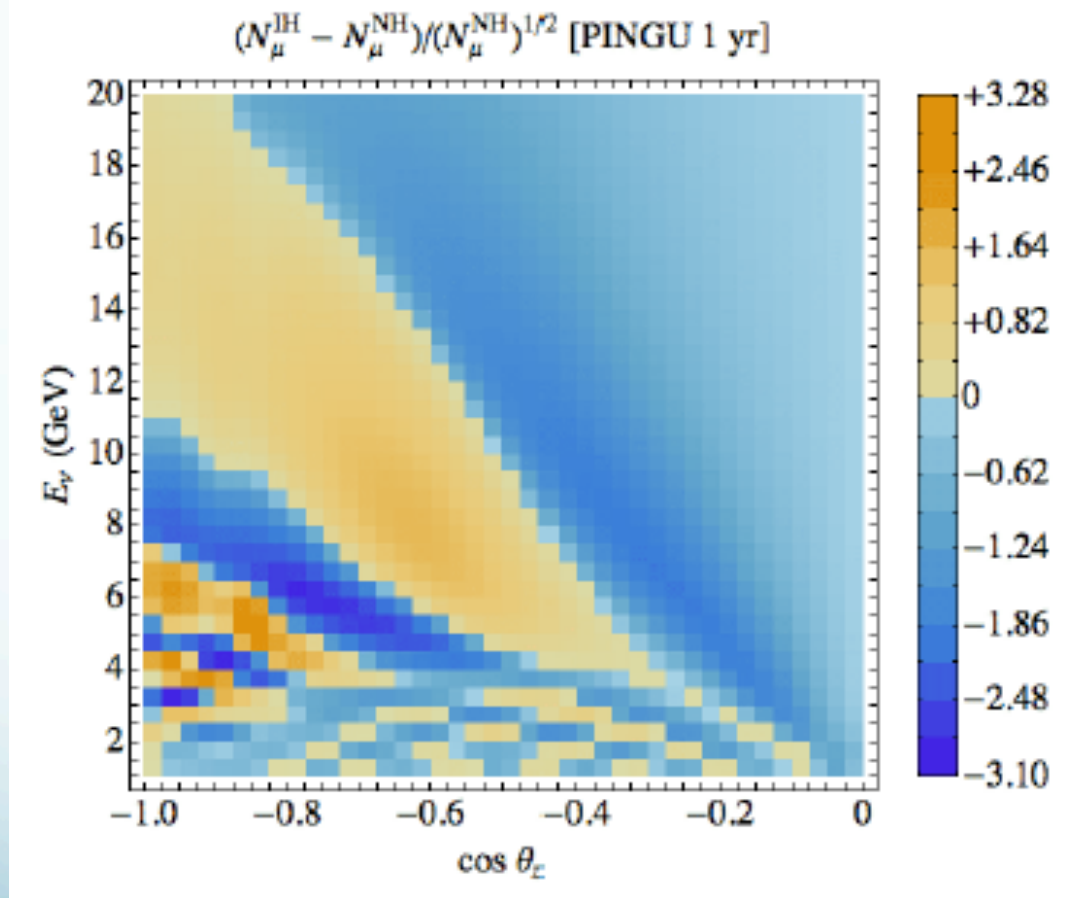


PINGU possible configuration

- Phased IceCube Next-Generation Upgrade
- Add 20 strings in Deep Core region
- Vertical distance between OM~5m
- Expected energy threshold at 1 GeV
- Other configurations under study



ARS: Inverted vs Normal



Perfect resolution

$$S^{tot} = \sqrt{\sum_{ij} S_{ij}^2} = \sqrt{\sum_{ij} \frac{(N_{ij}^{IH} - N_{ij}^{NH})^2}{\sigma_{ij}^2}}$$

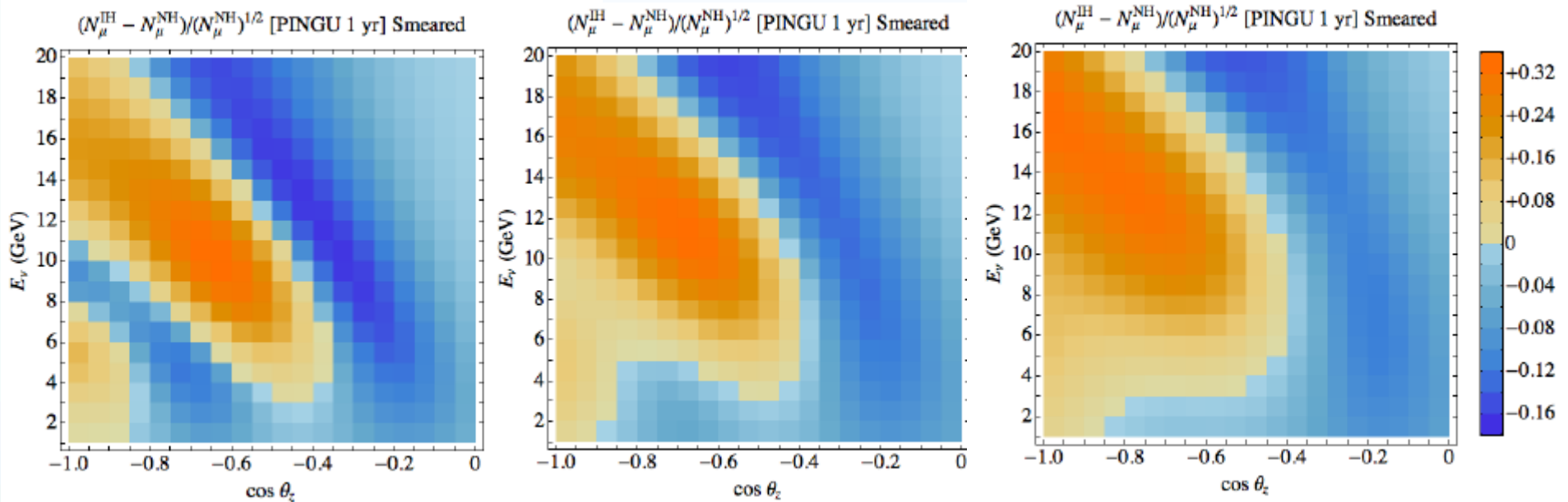
$$\sigma_{ij}^2 = N_{ij}^{NH} + (f N_{ij}^{NH})^2$$

Uncorrelated systematics

$S=45.5\sigma$ (f=0%)
 $S=28.9\sigma$ (f=5%)
 $S=18.8\sigma$ (f=10%)

In 5 years

ARS: Inverted vs Normal



$\sigma E=2$ GeV, $\sigma\theta= 11.25^\circ$

$S=16.3\sigma$ (f=0%)
 $S=11\sigma$ (f=5%)
 $S=7.2\sigma$ (f=10%)

$\sigma E=3$ GeV, $\sigma\theta= 15^\circ$

$S=10.4\sigma$ (f=0%)
 $S=7\sigma$ (f=5%)
 $S=4.5\sigma$ (f=10%)

$\sigma E=4$ GeV, $\sigma\theta= 22.5^\circ$

$S=7.2\sigma$ (f=0%)
 $S=4.5\sigma$ (f=5%)
 $S=3.0\sigma$ (f=10%)

5 years

Mass hierarchy measurements

From J. Brunner

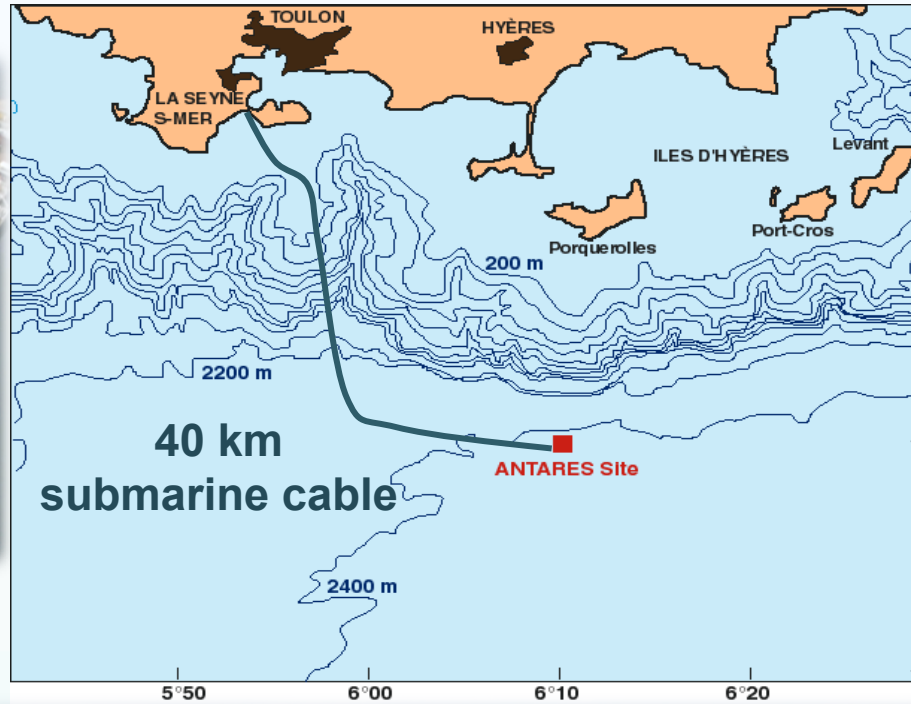
Project	Neutrino source	Detector	Goal	Problem
NOvA	LBL 810 km	14 kt tracking calorimeter	2σ for some values of δ ; 2020	Parameter degeneracy
Daya Bay II Reno II	Reactor 60 km	50 kt liquid scintillator	3σ in 2023	E_ν resolution & absolute scale
PINGU / ORCA	Atmosphere	1-10 Mt	$3-5\sigma$ in ?	E_ν resolution Systematics
INO	Atmosphere	50 kt magnetized iron calorimeter	3σ in 2030	Low statistics 10 years needed
T2 Hyper Kamiokande	LBL 295 km	1 Mt water	3σ in 2030	Parameter degeneracy
LBNE	LBL 1300 km	10 kt Liquid Argon	$2-5\sigma$ in 2030	Parameter degeneracy
LAGUNA Glacier	LBL 2300 km	20 kt Liquid Argon	5σ in 2030	Beam line from CERN
LAGUNA LENA	LBL 2300 km	50 kt Liquid scintillator	5σ in 2030	Beam line from CERN



The ANTARES Site & Infrastructure



IFREMER Toulon Centre



Shore Station



FOSELEV Marine





The ANTARES detector

- 885 10inch PMTs
- 12 lines
- 25 storeys / line
- 3 PMTs / storey

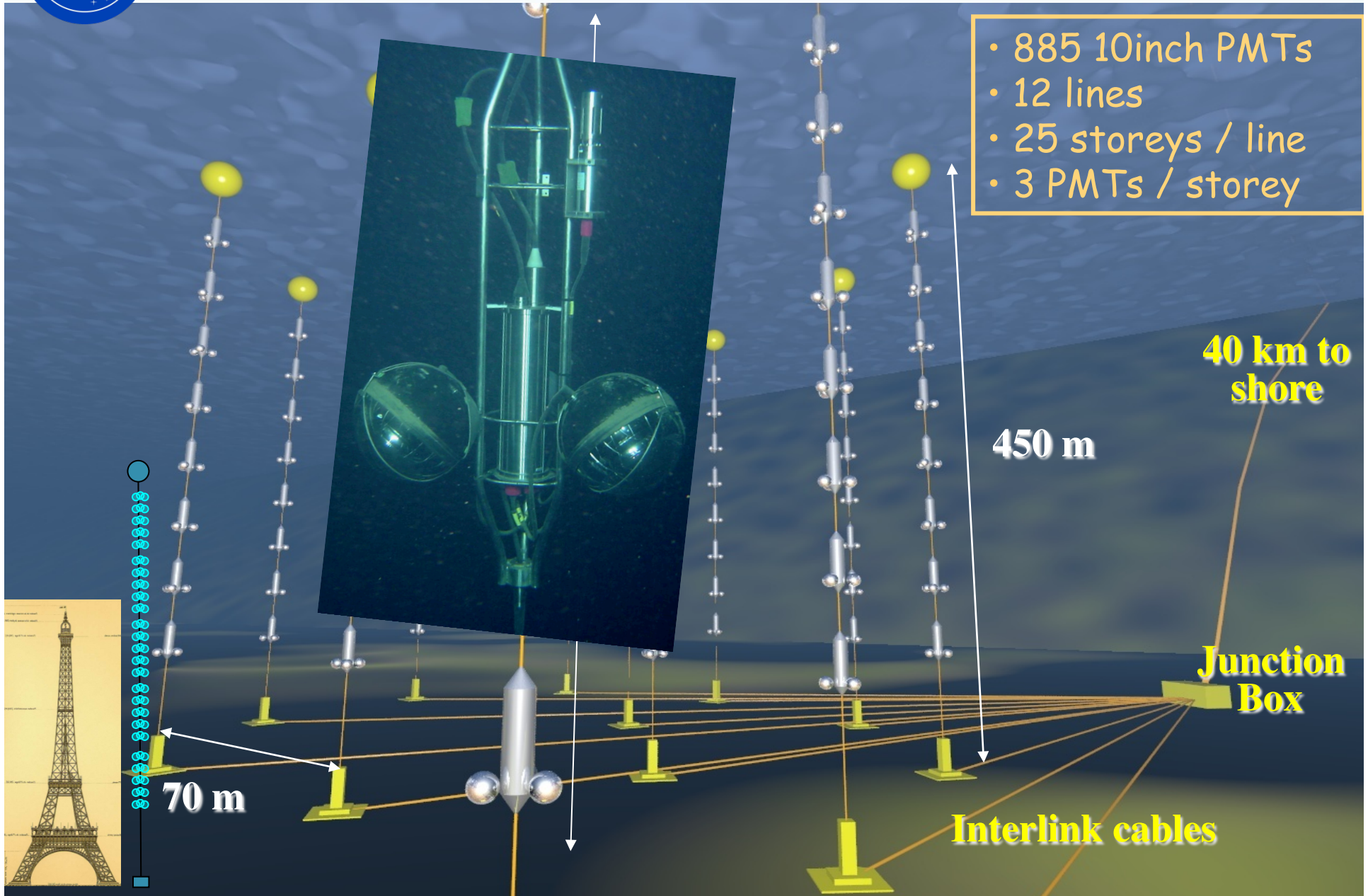
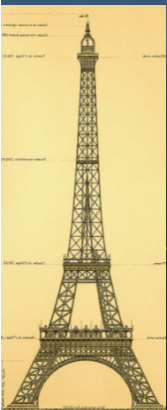
40 km to shore

450 m

Junction Box

Interlink cables

70 m

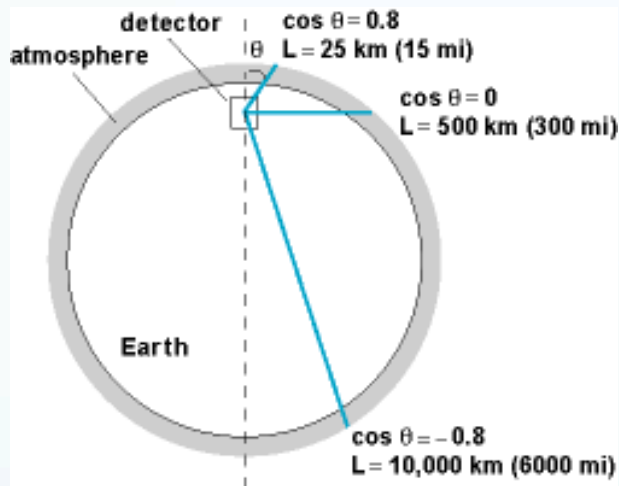




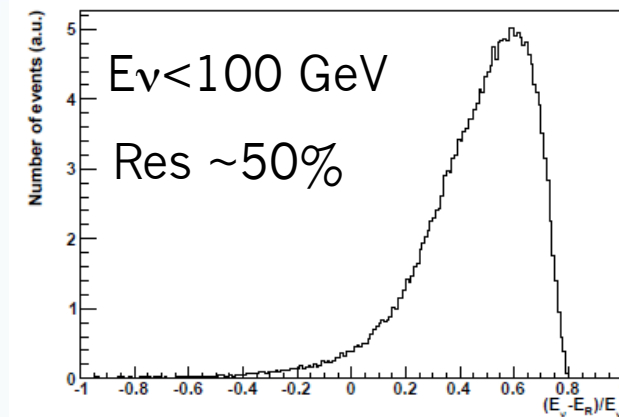
Oscillations with Atmospheric Neutrinos

$$P(\nu_\mu \rightarrow \nu_\mu) = 1 - \sin^2 2\theta_{32} \sin^2\left(\frac{1.27\Delta m_{32}^2 L}{E_\nu}\right) = 1 - \sin^2 2\theta_{32} \sin^2\left(\frac{16200 \Delta m_{32}^2 \cos \Theta}{E_\nu}\right)$$

$L=2 R_{\text{Earth}} \cos\theta$, from track fit

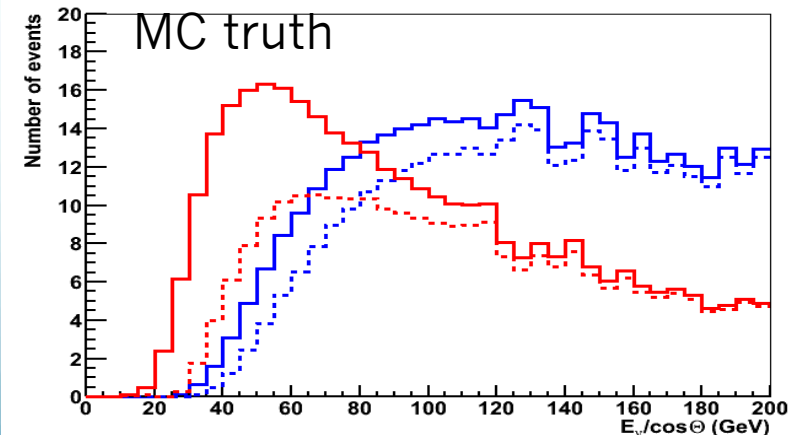


E_ν from muon range



Oscillations maximal at 24 GeV for vertical neutrinos (muon range~120m)

Larger effect on single-line (low energy) than multi-line (higher energy) events

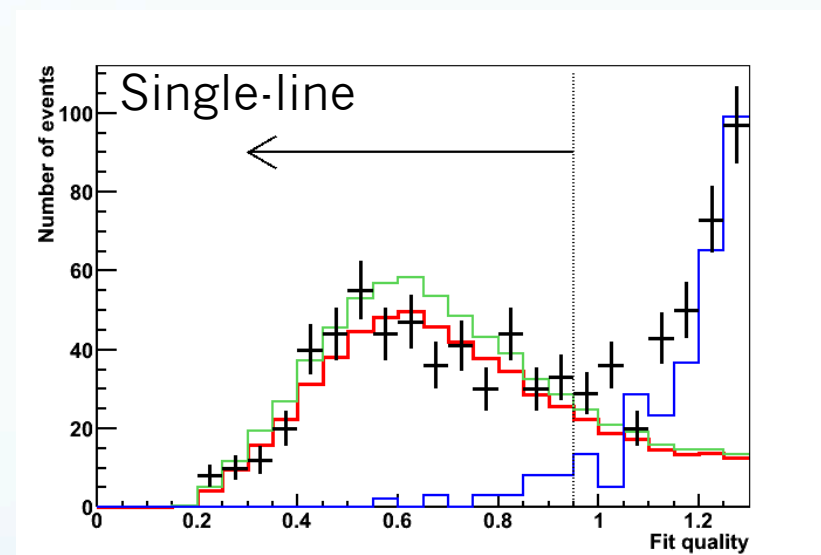
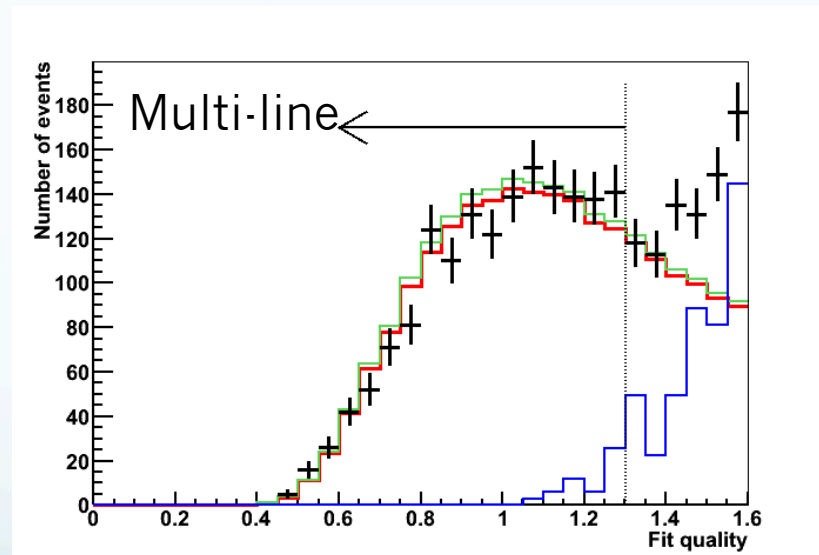




Neutrino Oscillations: Track Selection

Special low energy fit for single-line events (>7 storeys, do not fit azimuth)

Select pure sample of atmospheric neutrinos ($<5\%$ muon contamination)



Zenith angle resolution:
0.8 degrees for multi-line events
3 degrees for single-line events



Neutrino Oscillations: Result

2008-2010 data (863 days):

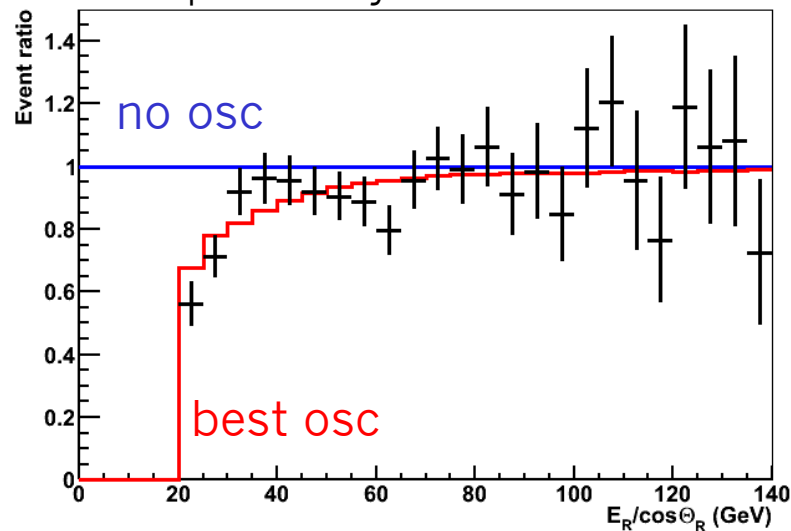
No oscillation: $\chi^2/\text{NDF} = 40/24$ (2.1%)

Best fit:
 $\chi^2/\text{NDF} = 17.1/21$
 $\Delta m^2 = 3.1 \cdot 10^{-3} \text{ eV}^2$
 $\sin^2 2\theta = 1.00$

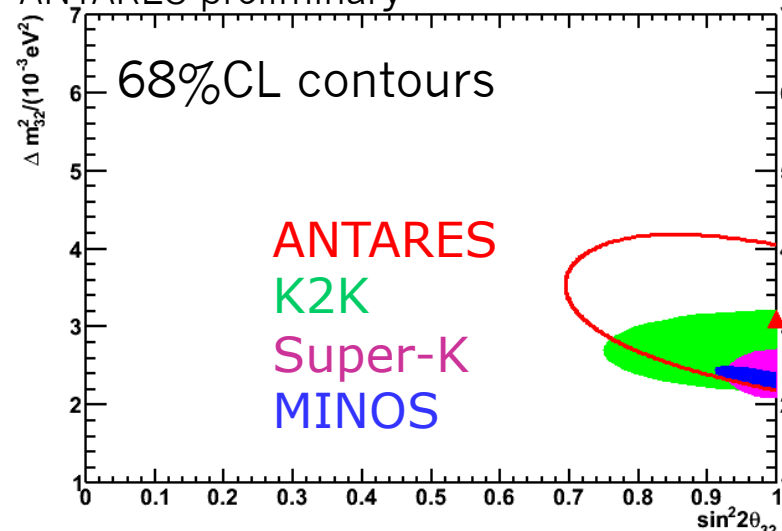
Systematics:

(Absolute normalisation free) 5% error on slope vs $E_R/\cos\theta_R$
Absorption length: $\pm 10\%$
Detector efficiency: $\pm 10\%$
Spectral index of ν flux: ± 0.03
OM angular acceptance

ANTARES preliminary



ANTARES preliminary

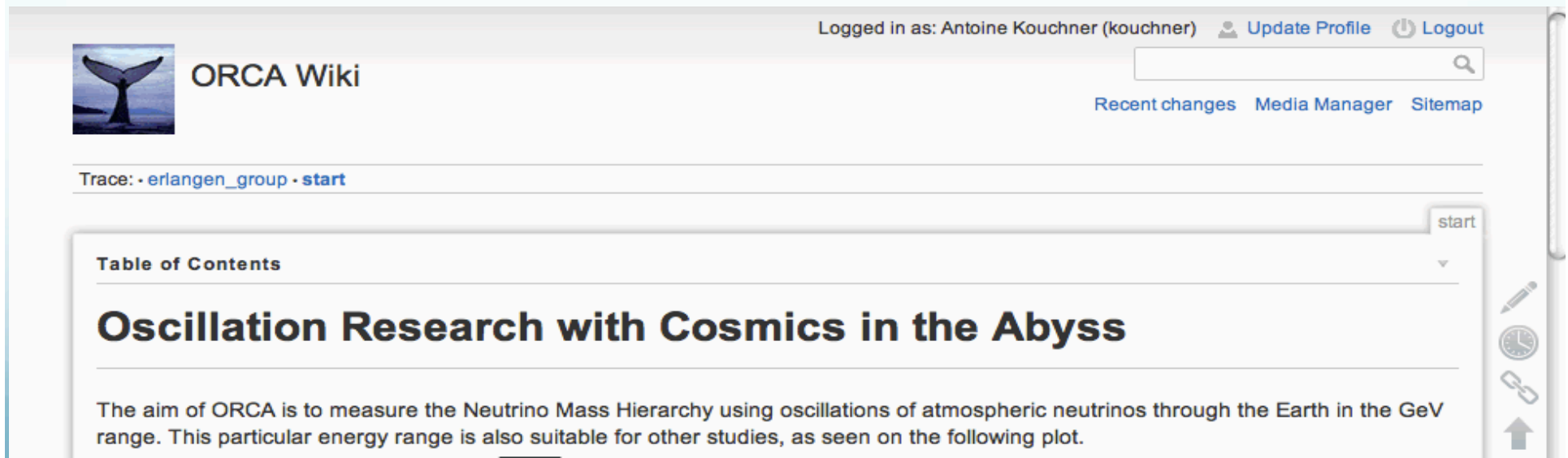


Assuming maximal mixing: $\Delta m^2 = (3.1 \pm 0.9) \cdot 10^{-3} \text{ eV}^2$

Published in Phys. Lett. B 714 (2012) 224.

ORCA : organization

- Work performed within the KM3NeT collaboration (phase I) + a few other interested neutrino physics colleagues
- Coordination: A.Kouchner & A. Tsirigotis
- Mailing list: orca-l@in2p3.fr
- Wiki page : <https://sbgorcawiki.in2p3.fr/doku.php>



The screenshot shows the ORCA Wiki interface. At the top right, it indicates the user is logged in as Antoine Kouchner (kouchner) with options to update the profile or log out. The ORCA Wiki logo, featuring a whale tail, is on the left. A search bar and navigation links for 'Recent changes', 'Media Manager', and 'Sitemap' are visible. The breadcrumb trail shows 'Trace: • erlangen_group • start'. The main content area displays the title 'Oscillation Research with Cosmics in the Abyss' and a paragraph stating: 'The aim of ORCA is to measure the Neutrino Mass Hierarchy using oscillations of atmospheric neutrinos through the Earth in the GeV range. This particular energy range is also suitable for other studies, as seen on the following plot.'



ORCA: organization

ORCA


[Go to parent category](#) | [iCal export](#) | [View](#) ▾

Project ORCA
Managers: Kouchner, A.; Tsirigotis, A.



December 2012

 05 Dec [ORCA workshop](#) (protected) 



November 2012

 06 Nov [ORCA-PINGU common call](#)

October 2012

 25 Oct [ORCA videoconference](#) (protected)
 05 Oct [ORCA get-together](#) (protected)

September 2012

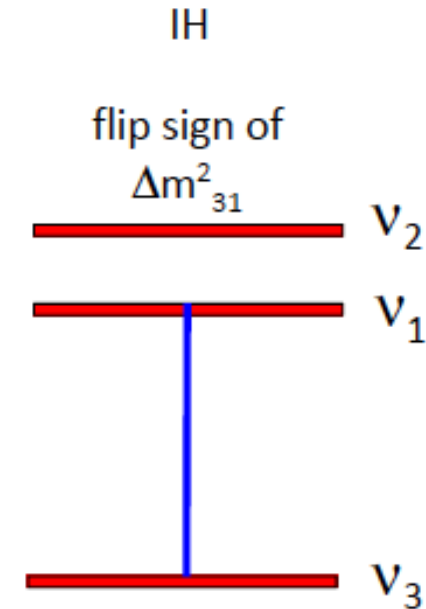
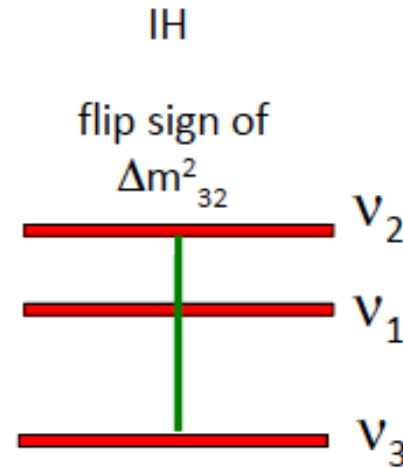
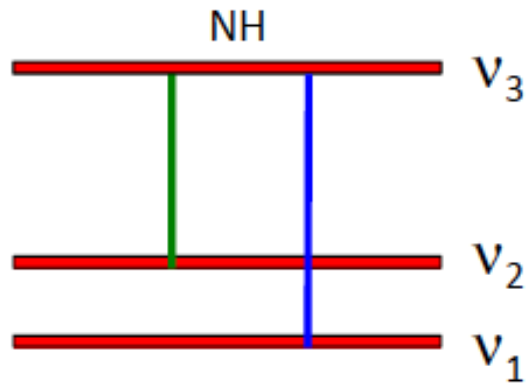
 18 Sep [ORCA videoconference](#) (protected)
 06 Sep [ORCA kick-off meeting](#) (protected)

Working lines

- Systematics and sensitivity studies
- First simulation chains
- Algorithmic Aspects
- Global fit approach

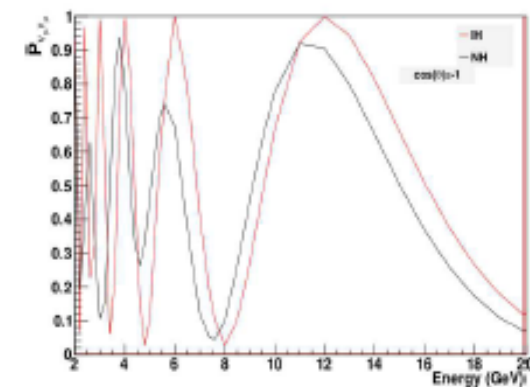
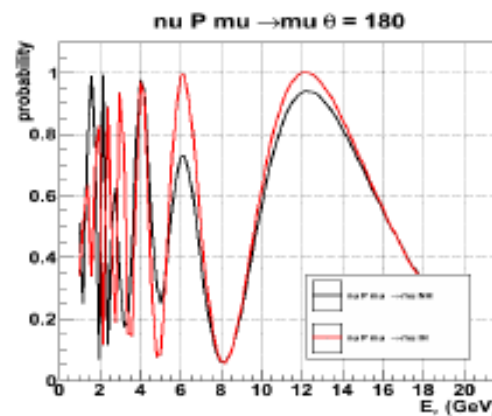
Next meeting in Marseilles January 29-31, 2012

Definition of Inverted Hierarchy



Using globes: flipping Δm^2_{31}
'natural' (it is an input parameter)

Reading Ohlsson & Snellman:
flipping Δm^2_{32} seems 'natural'



Definition of Inverted Hierarchy

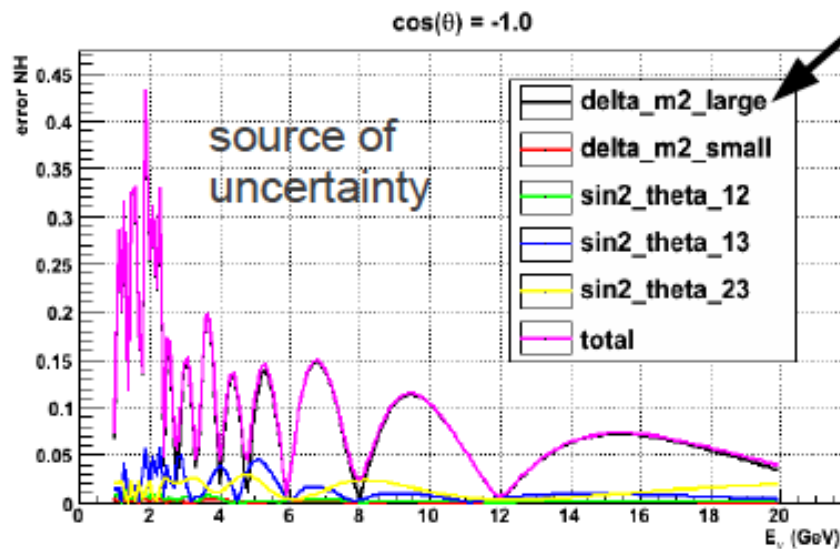
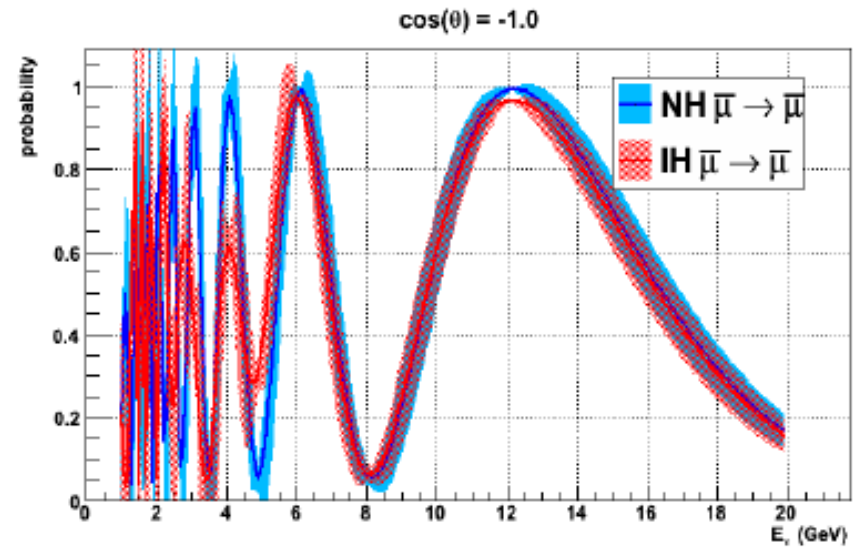
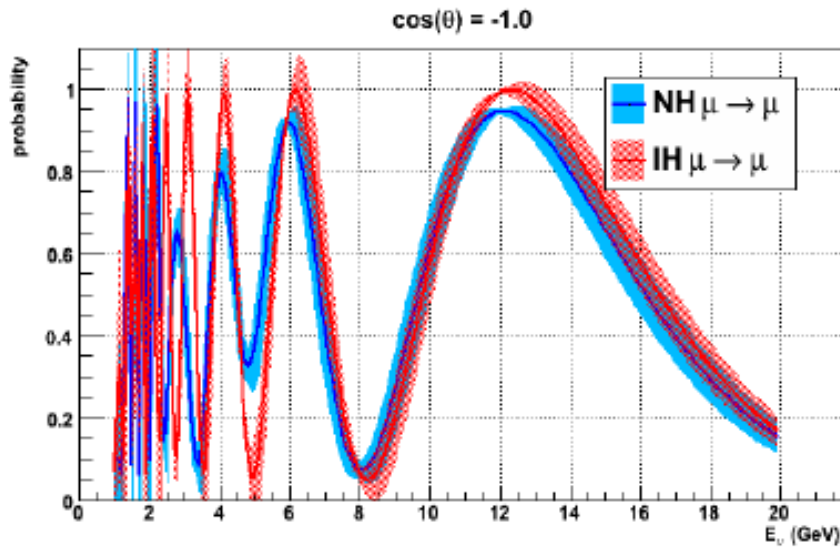
- A most reasonable choice:

flip the sign of $\Delta m^2_{\text{large}} = m^2_3 - (m^2_2 + m^2_1) / 2$

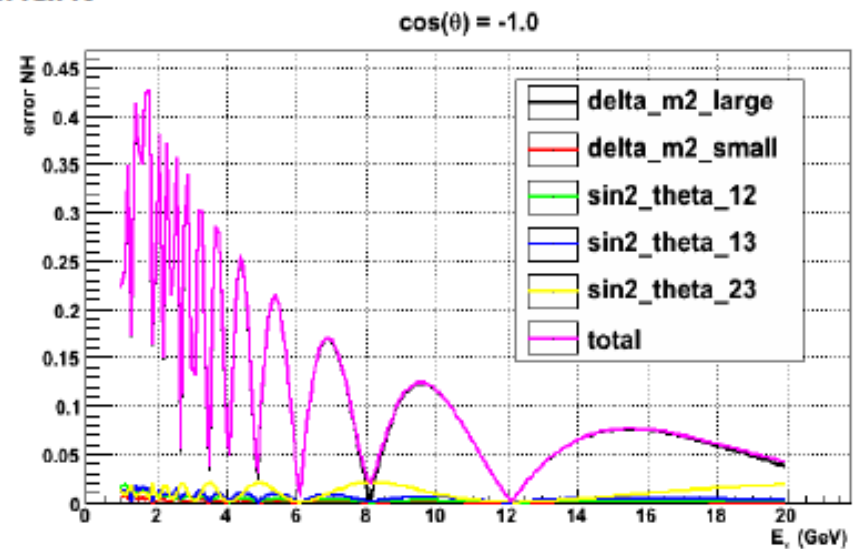
Parameter	Central value	1 σ allowed range
$\delta m^2 / 10^{-5} \text{eV (NH or IH)}$	7.54	7.32-7.8
$\sin^2 \theta_{12} / 10^{-1} \text{(NH or IH)}$	3.07	2.91-3-25
$\Delta m^2 / 10^{-3} \text{eV (NH)}$	2.43	2.33-2.49
$\Delta m^2 / 10^{-3} \text{eV (IH)}$	2.42	2.31-2.49
$\sin^2 \theta_{13} / 10^{-2} \text{(NH)}$	2.41	2.16-2.66
$\sin^2 \theta_{13} / 10^{-2} \text{(IH)}$	2.44	2.19-2.67
$\sin^2 \theta_{23} / 10^{-1} \text{(NH)}$	3.86	3.65-4.10
$\sin^2 \theta_{23} / 10^{-1} \text{(IH)}$	3.92	3.7-4.31
$\delta / \pi \text{ (NH)}$	1.08	0.77-1.36
$\delta / \pi \text{ (IH)}$	1.09	0.83-1.47

Table 1: Result of the global 3 ν oscillation analysis. Remember that $\Delta m^2 = m^2_3 - (m^2_1 + m^2_2) / 2$ with $+\Delta m^2$ for NH and $-\Delta m^2$ for IH. Taken from [12]

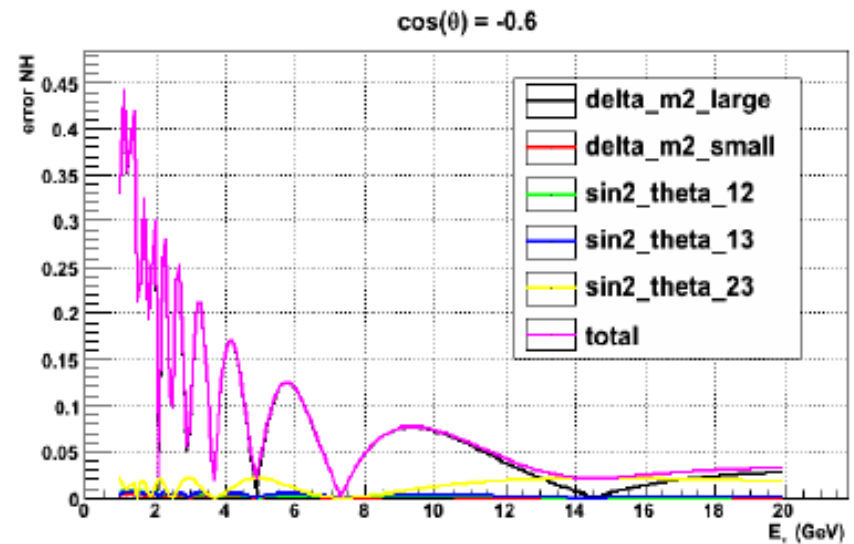
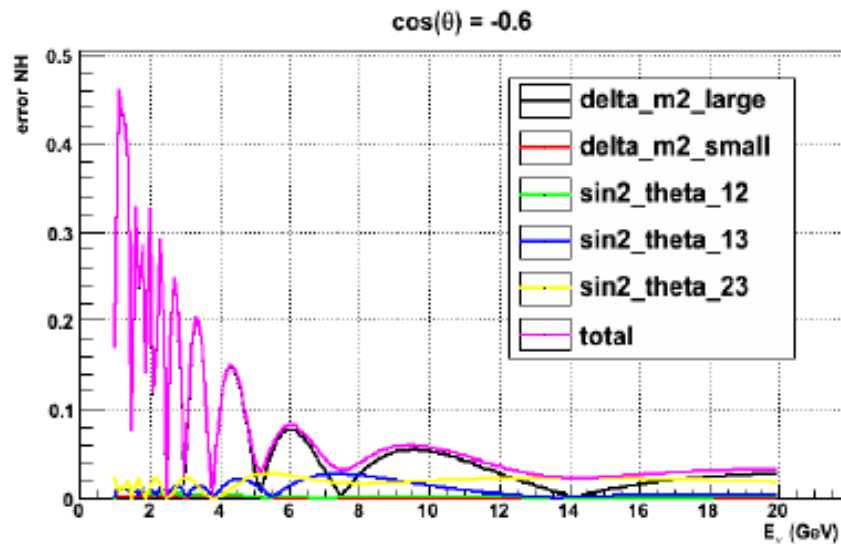
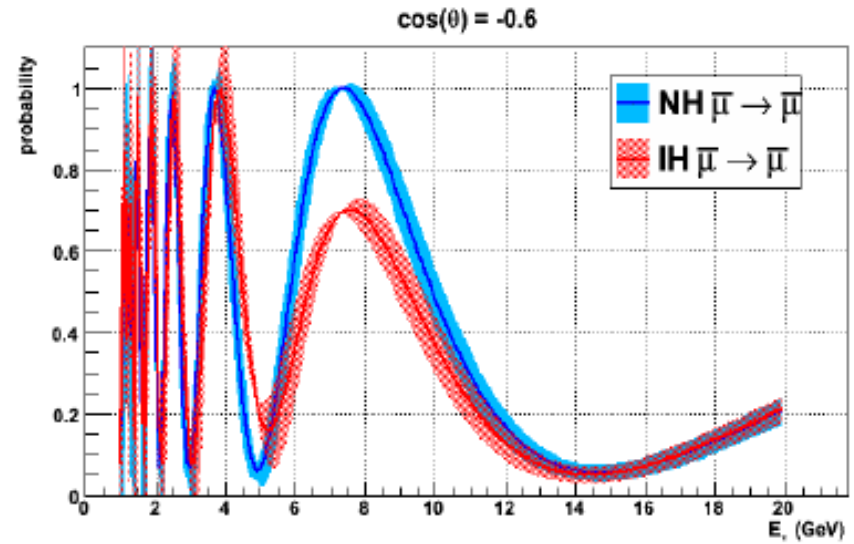
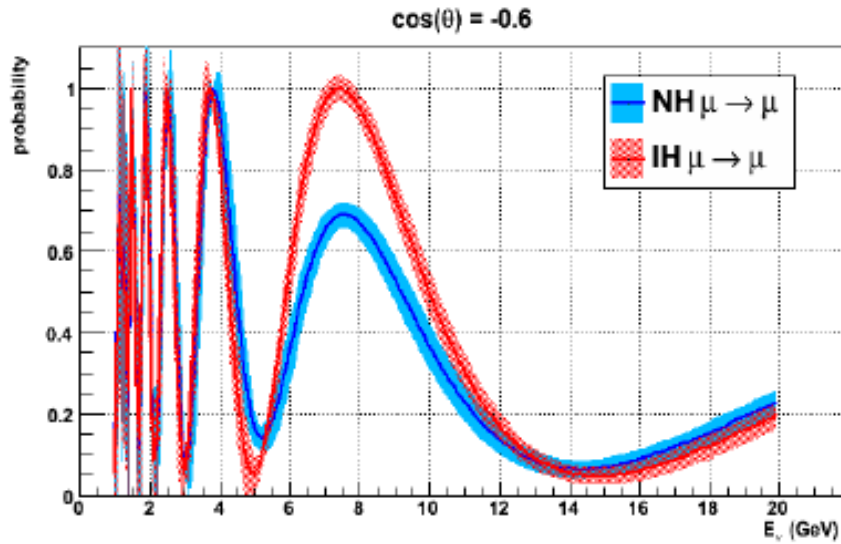
Plots with uncertainties



dominant

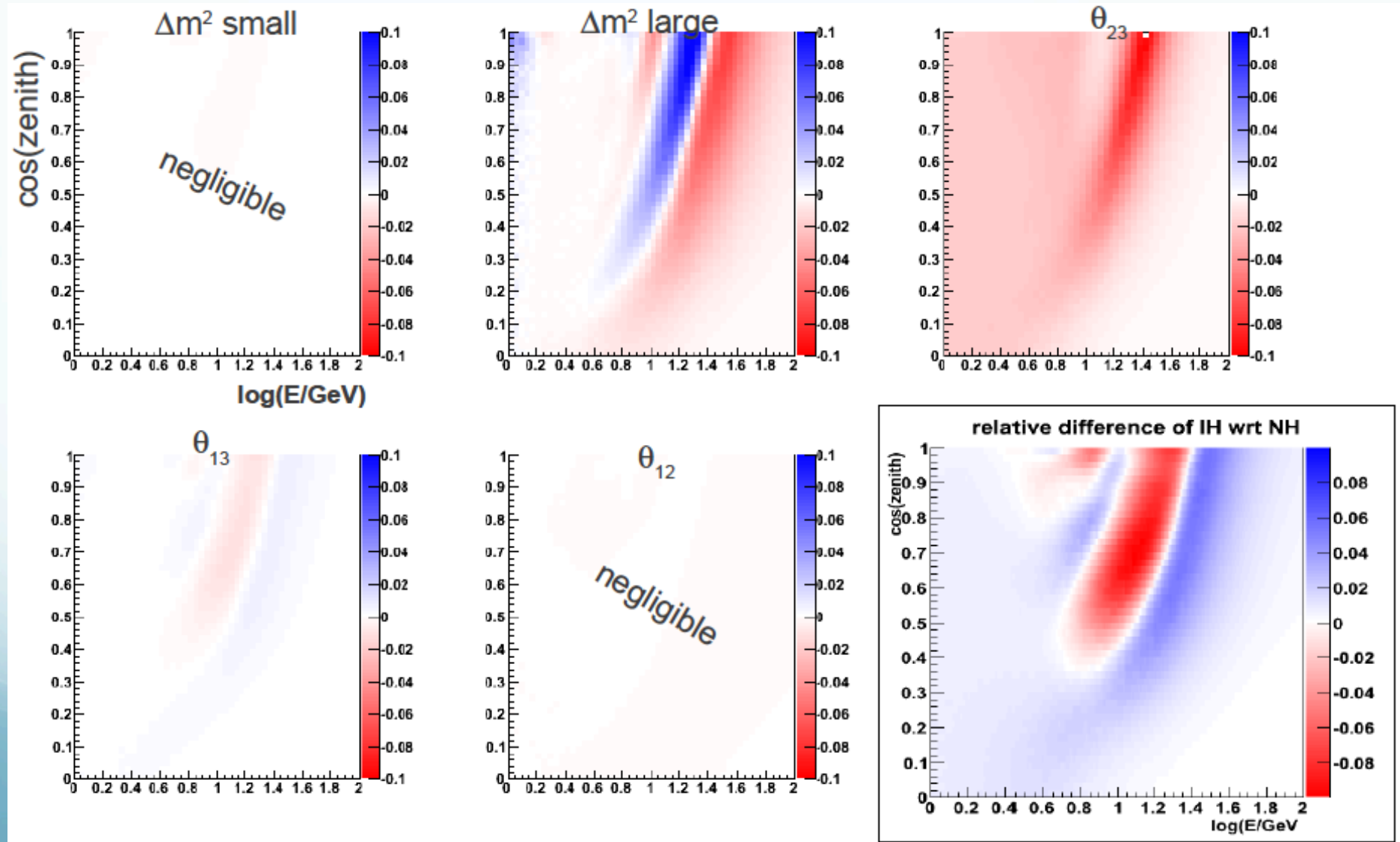


Plots with uncertainties

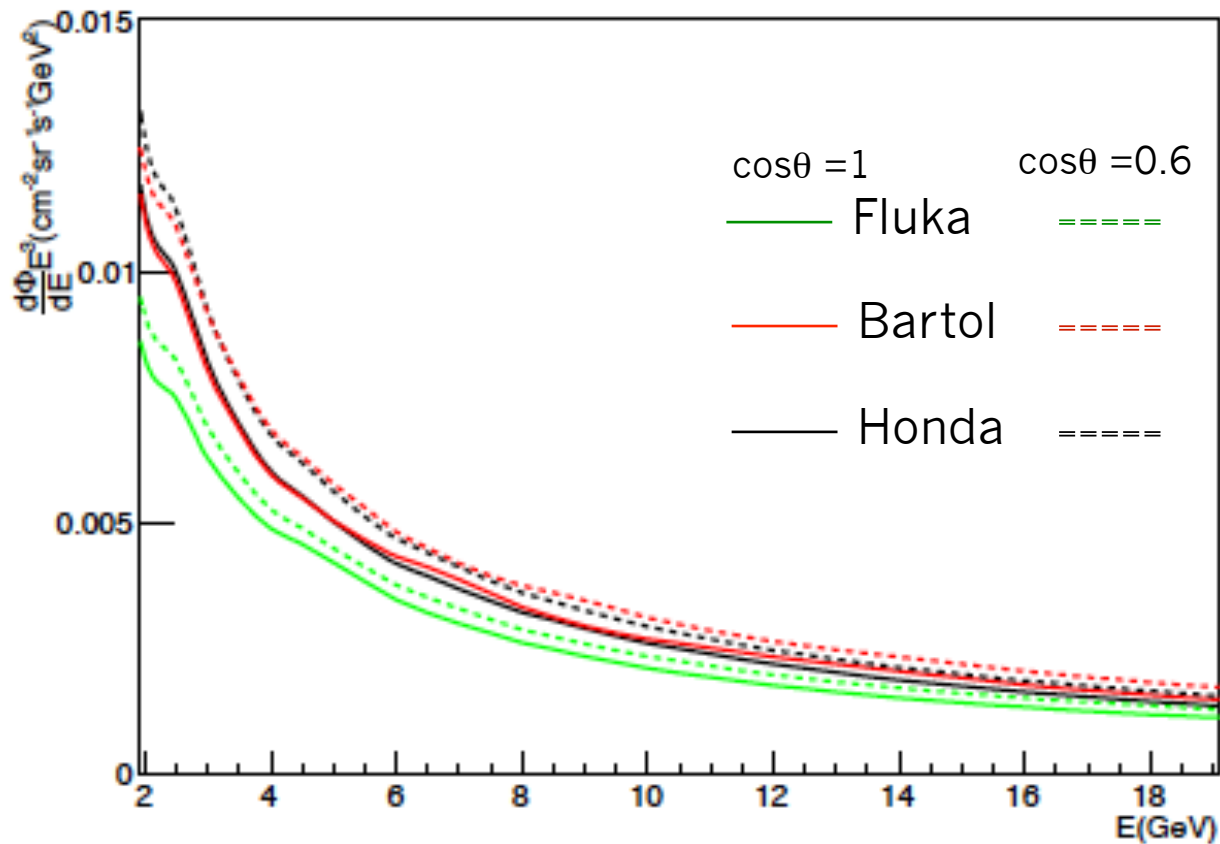


Oscillation parameter uncertainties

25% energy resolution and kinematics included



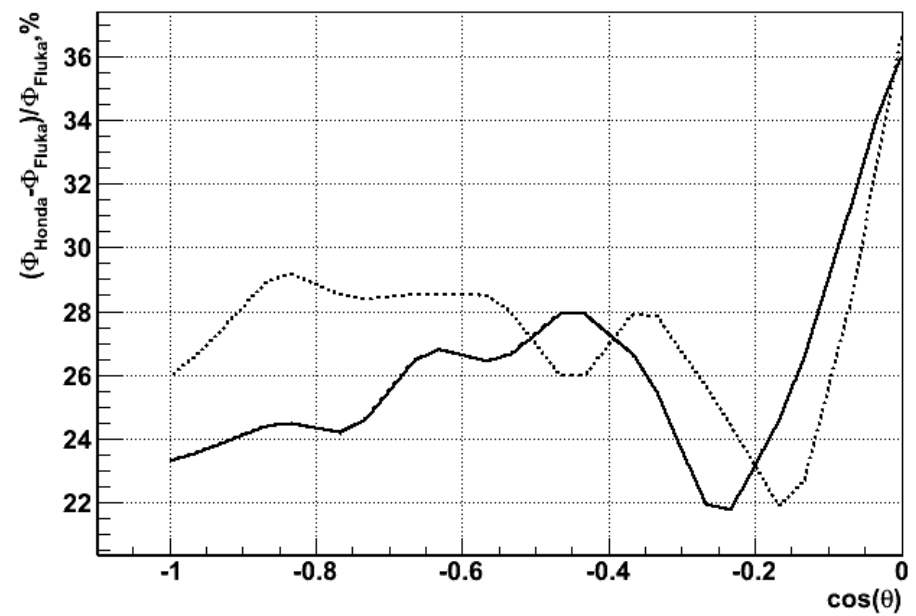
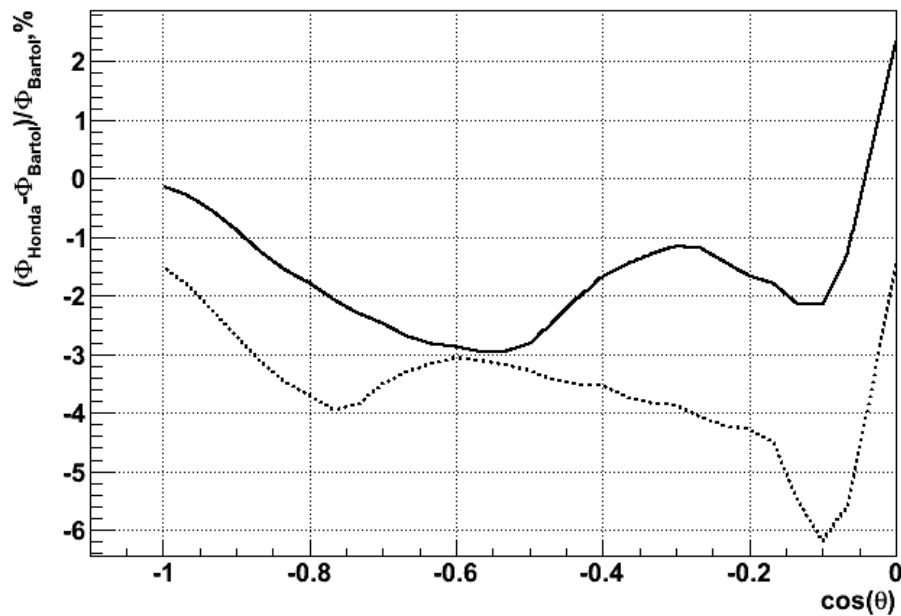
Atmospheric neutrino fluxes



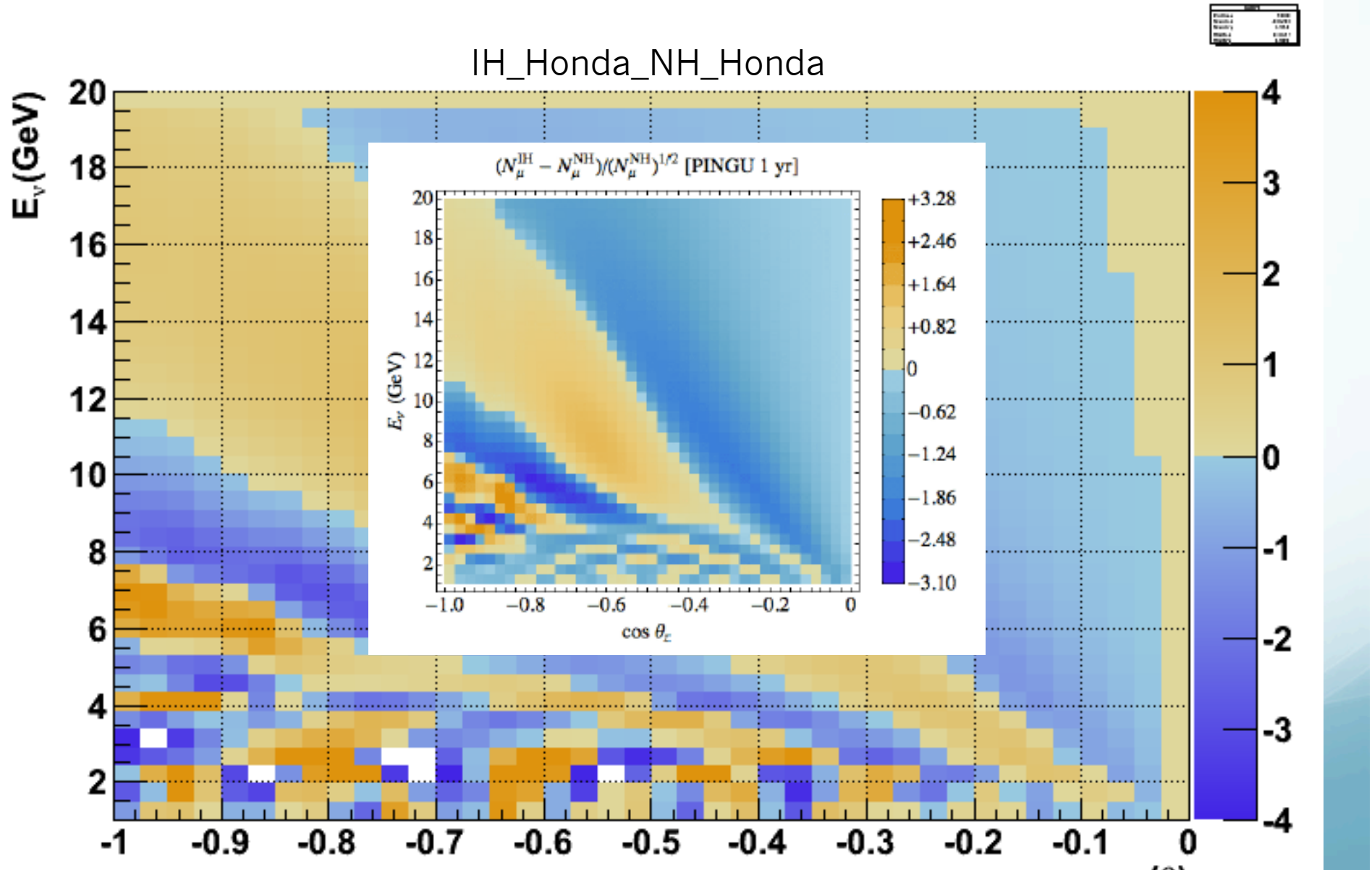
The fluxes used here are not the latest ones for each model. But today 20% uncertainties still remain from one group to another.

Comparison of fluxes

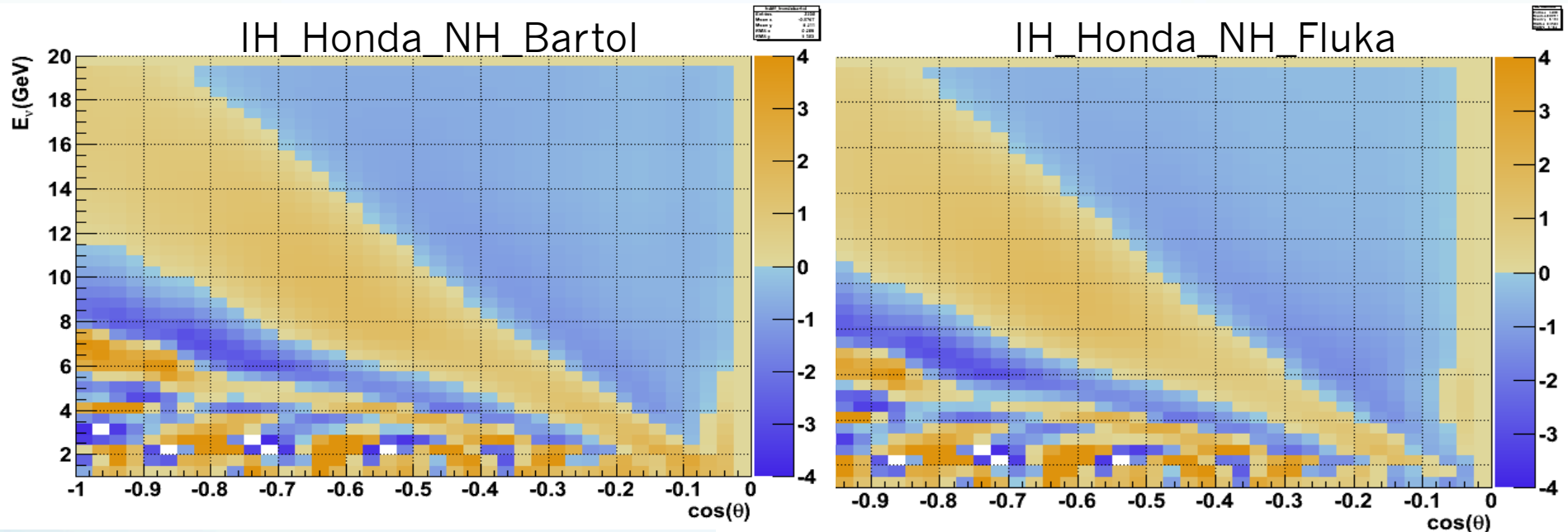
----- 5 GeV
===== 10 GeV



Fluxes as systematics

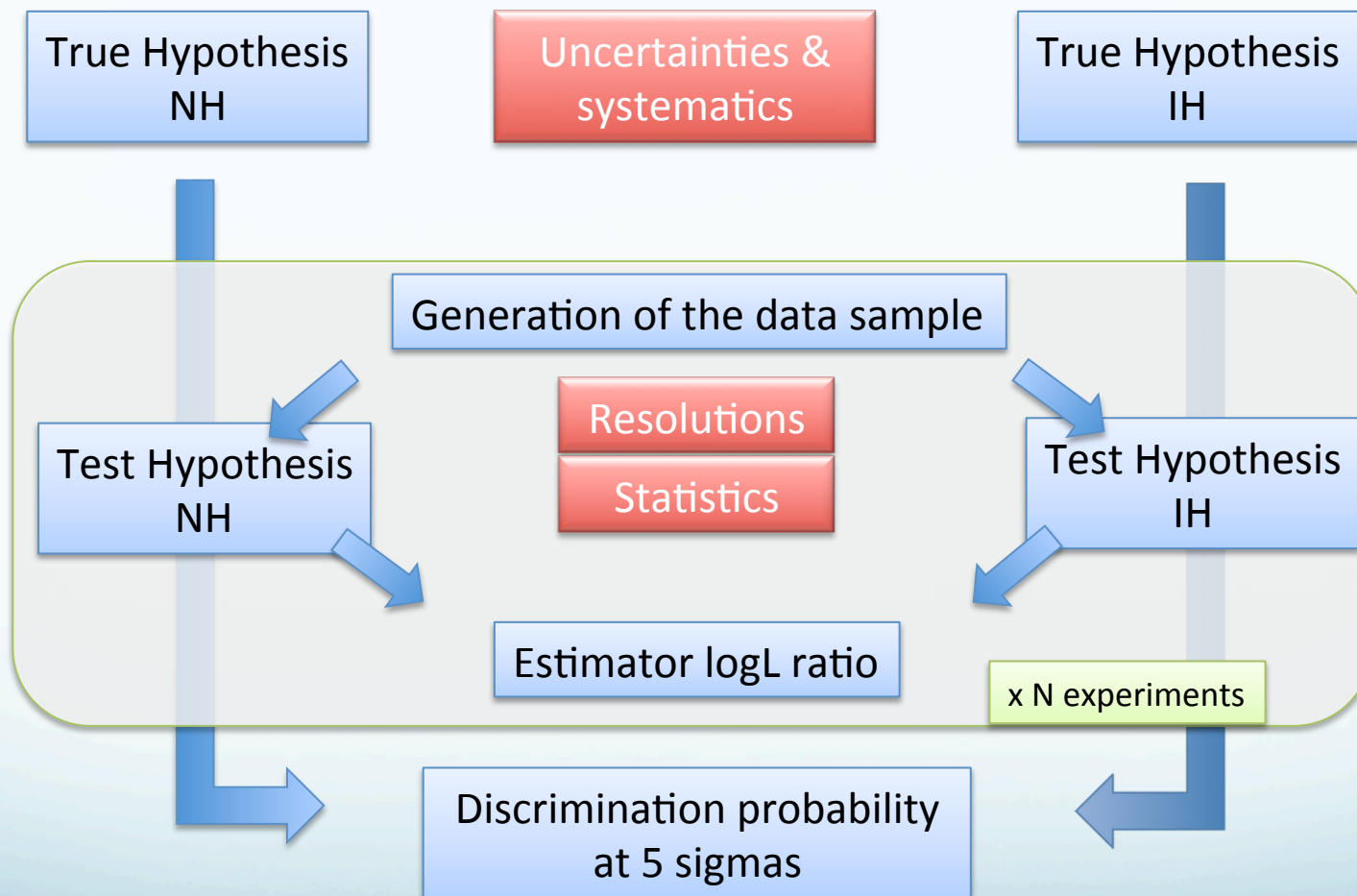


Fluxes as systematics



Impact of the atmospheric neutrino flux is reduced.
Probability to misidentify the mass hierarchy because of the differences of the neutrino spectrum shape is small (**modulo normalization!**)

Statistical method for MH discrimination



$$L_j = \frac{(e^{-\mu_j} \mu_j^n)}{n!} \times \prod_i \text{pdf}_j(E_i, \theta_i)$$

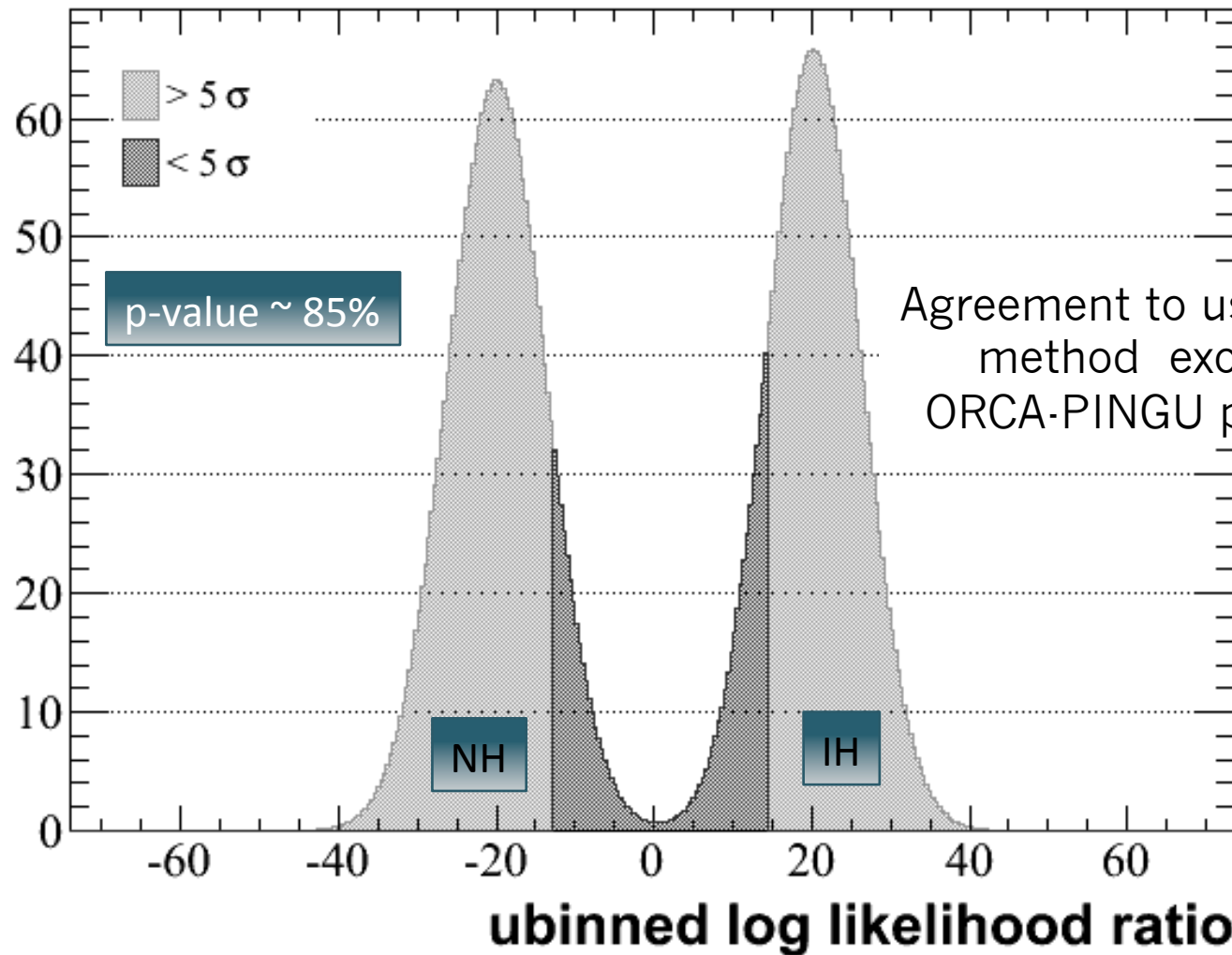
$$\eta = \log(L_{NH} / L_{IH})$$

Probability to reach 5σ

An example:

Energy resolution = 5 GeV

Angular resolution = 15 degree



Agreement to use the same method exchanging ORCA-PINGU parameters

Results for different resolutions

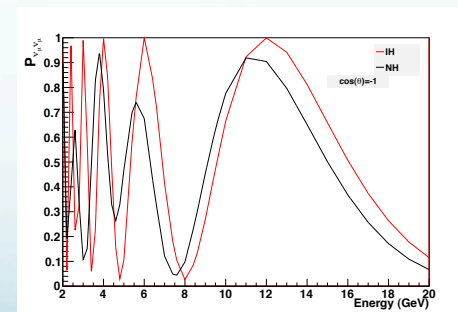
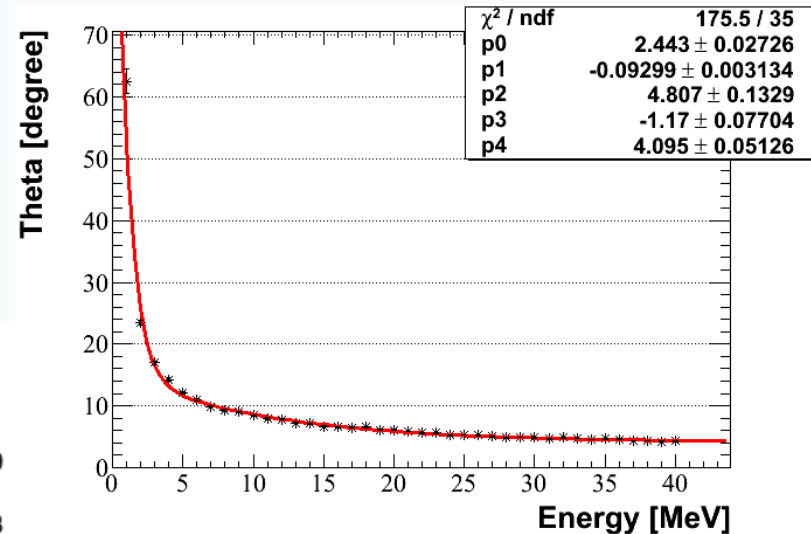
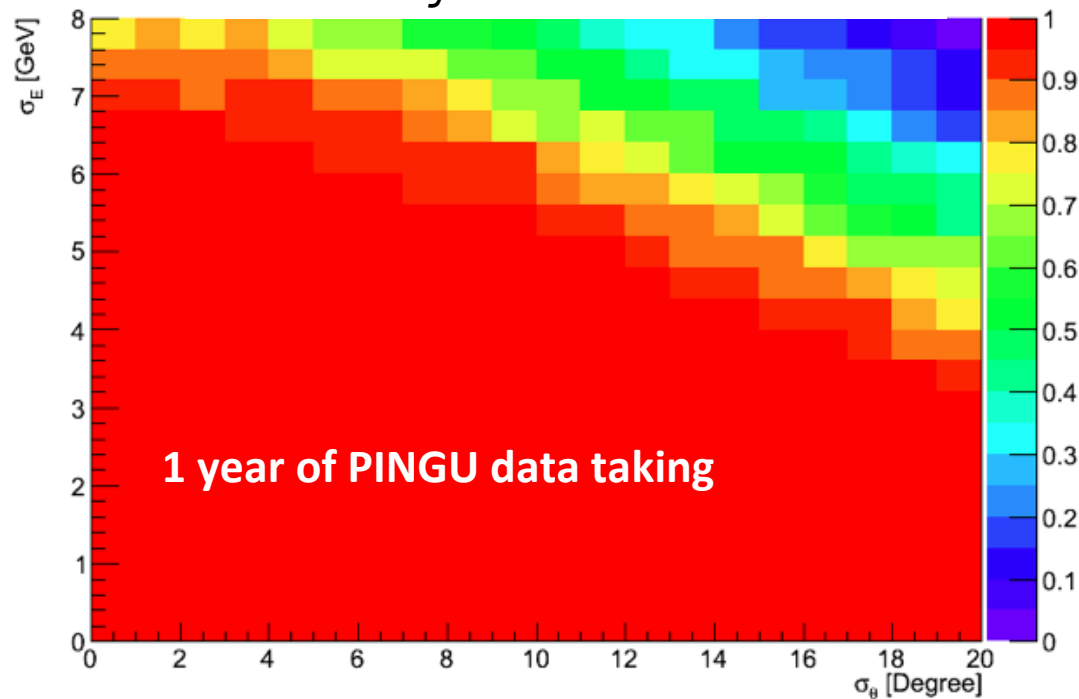
Effective PINGU mass (Akhmedov et al.):

$$M_{\text{eff}}(E) = 14.6 \times [\log(E_{\nu}/\text{GeV})]^{1.8} \text{ Mt}$$

Neutrino-Muon scattering angle – parameterized

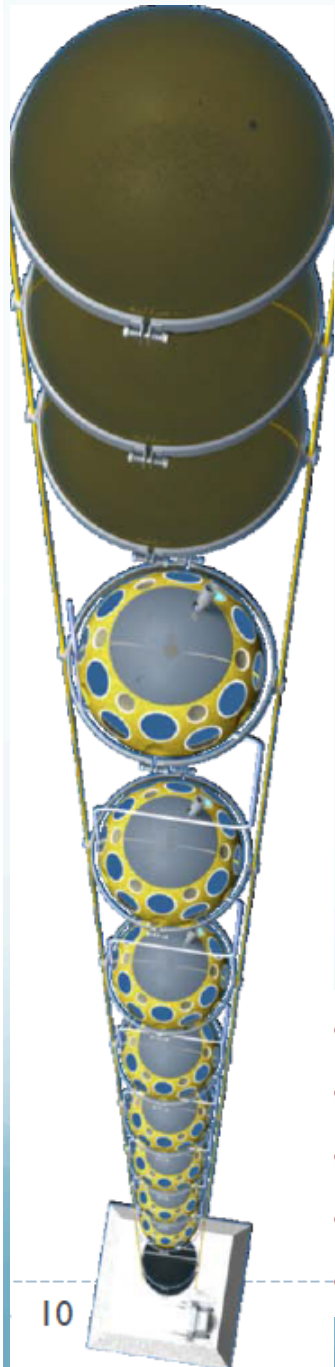
$$\sigma_{\alpha} = \text{Exp}(p_0 + p_1 \times E) + \text{Exp}(p_2 + p_3 \times E) + p_4$$

Probability to reach a 5 σ effect



Too favorable case here...

Possible ORCA detector



ORCA detector:

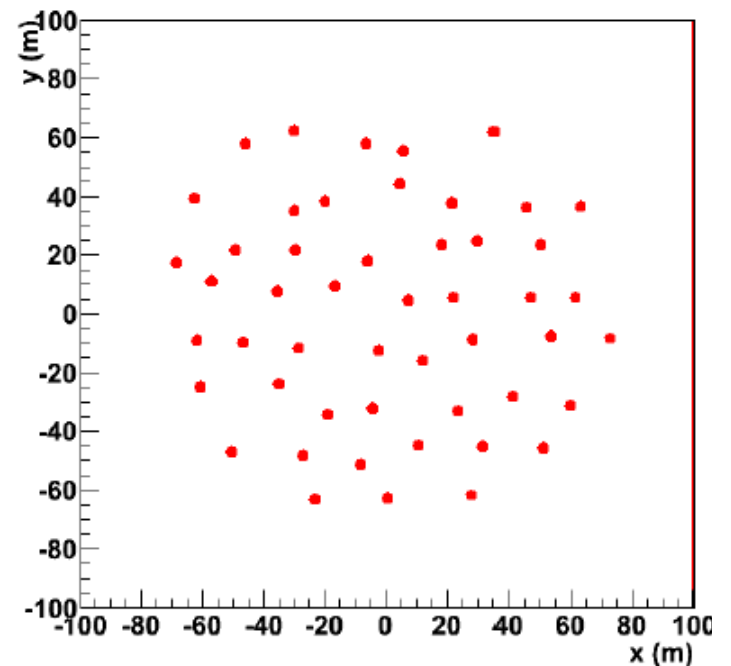
- 50 strings 20m spaced
- 20 DOM/string spaced 6m

Instrumented volume:

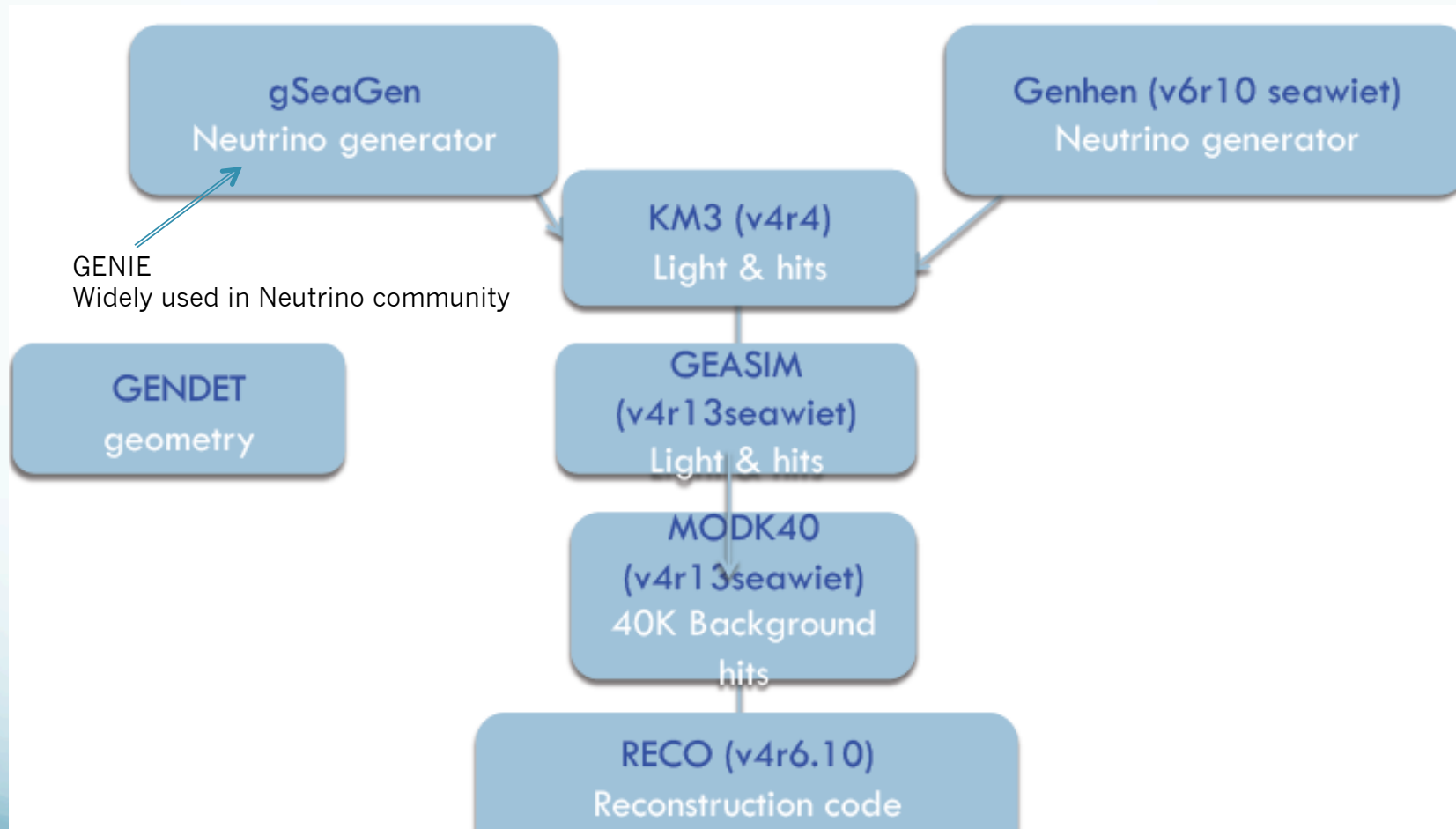
$$\Pi \times 70^2 \times 114 = 1.75 \text{ Mt}$$

- Multi-PMT DOM
- 31 small PMTs
- Almost uniform coverage
- Photon counting
- All electronics inside

50 strings - PMT pos



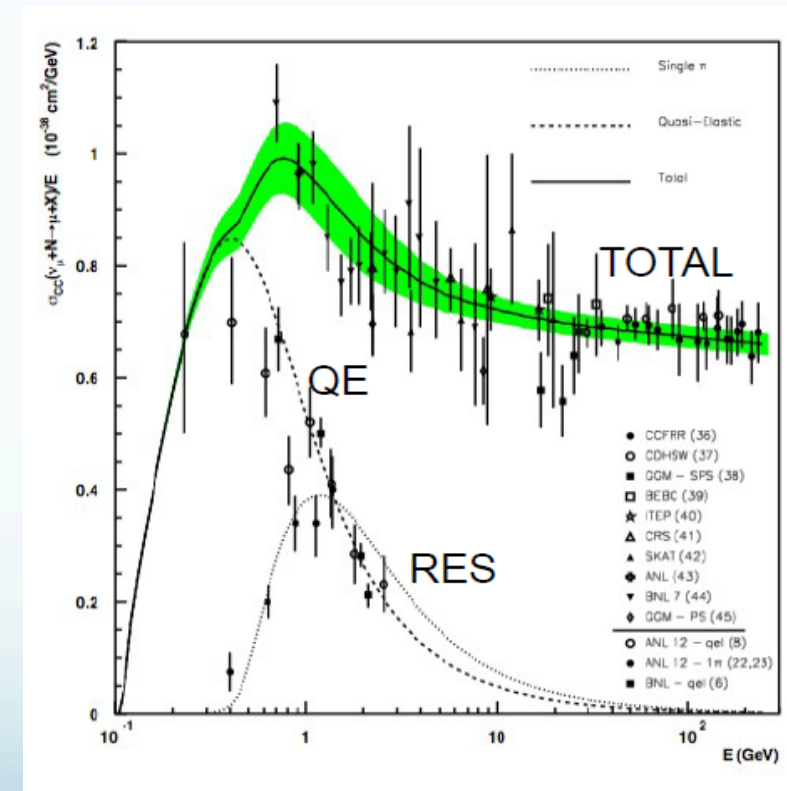
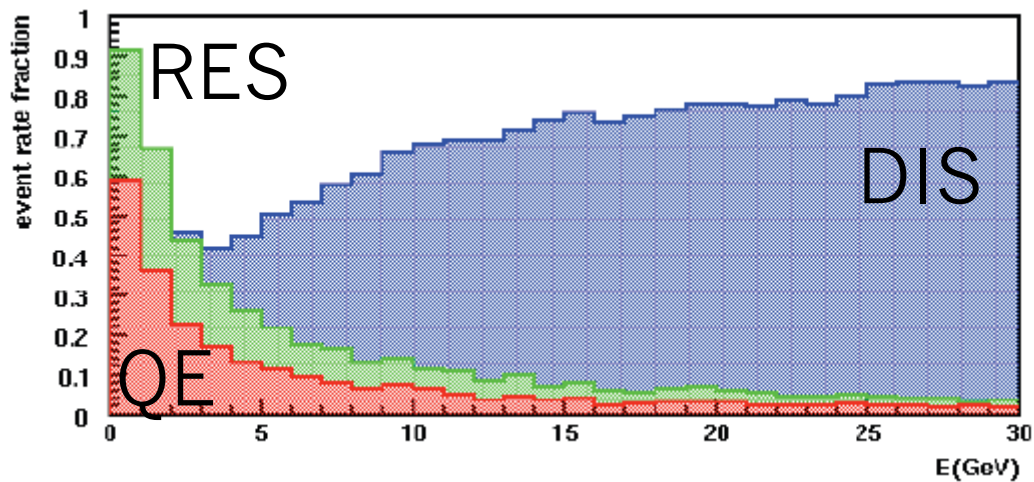
Simulation chain



Neutrino Interactions

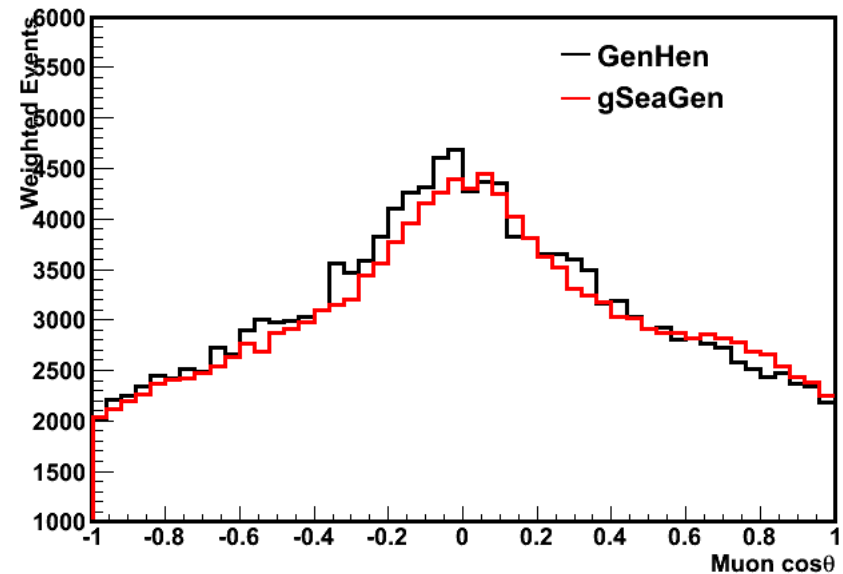
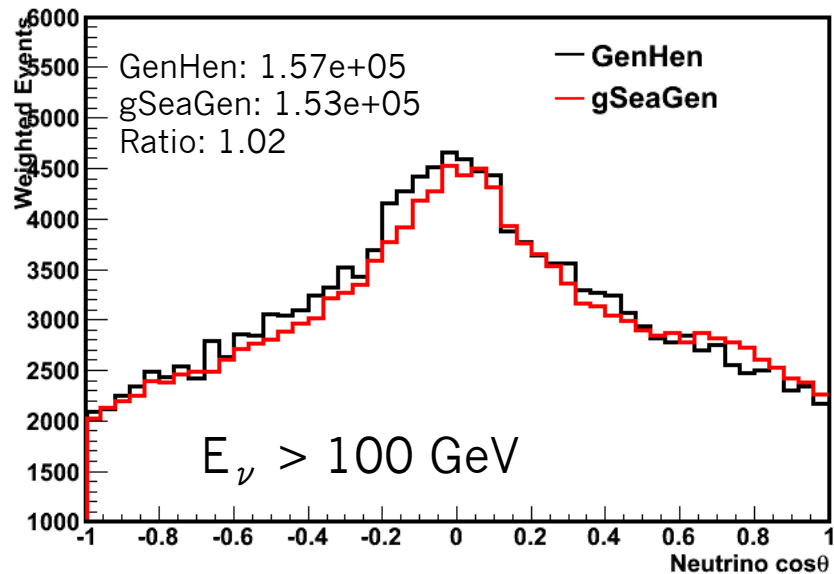
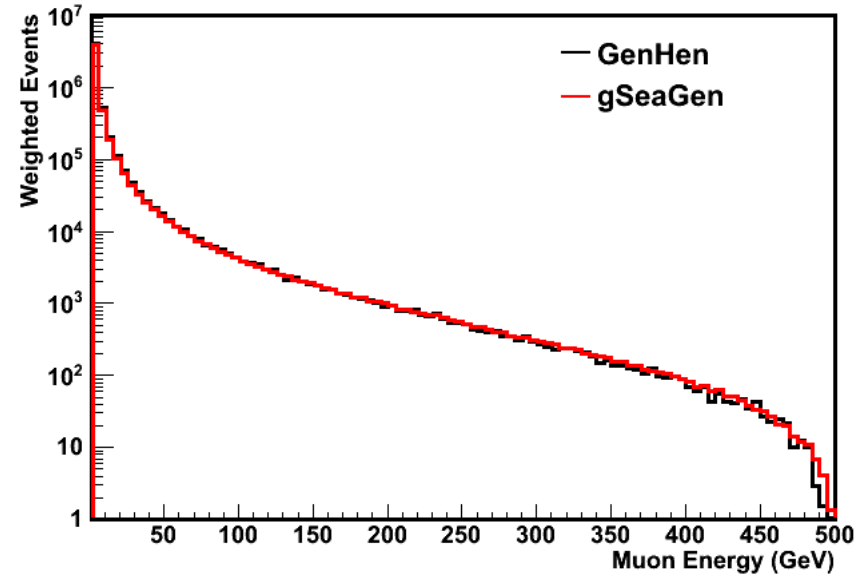
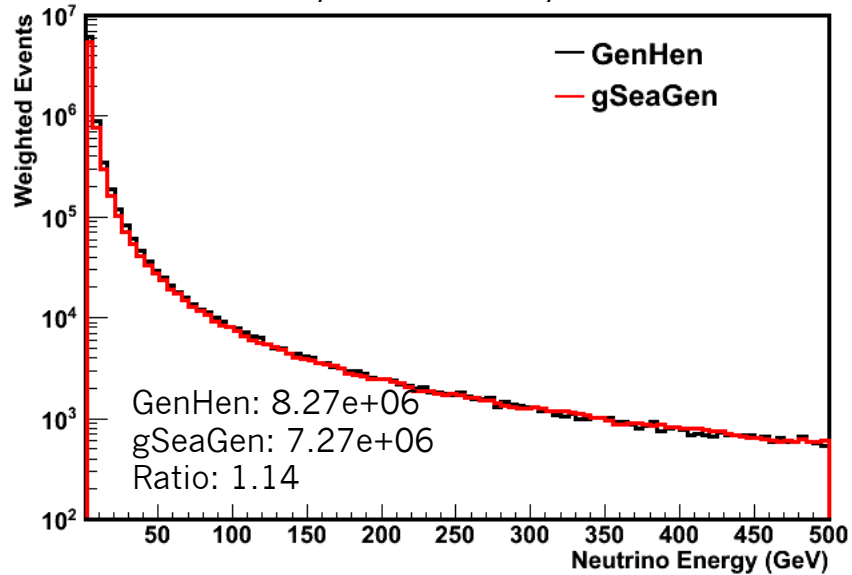
- Shifted energy region of interest
 - ANTARES : 50 GeV – 1 PeV
 - ORCA : 1 GeV – 50 GeV
- Three main contribution
 - Quasi-elastic
 - Resonant
 - Deep inelastic

ANTARES tool Genhen has all three incorporated

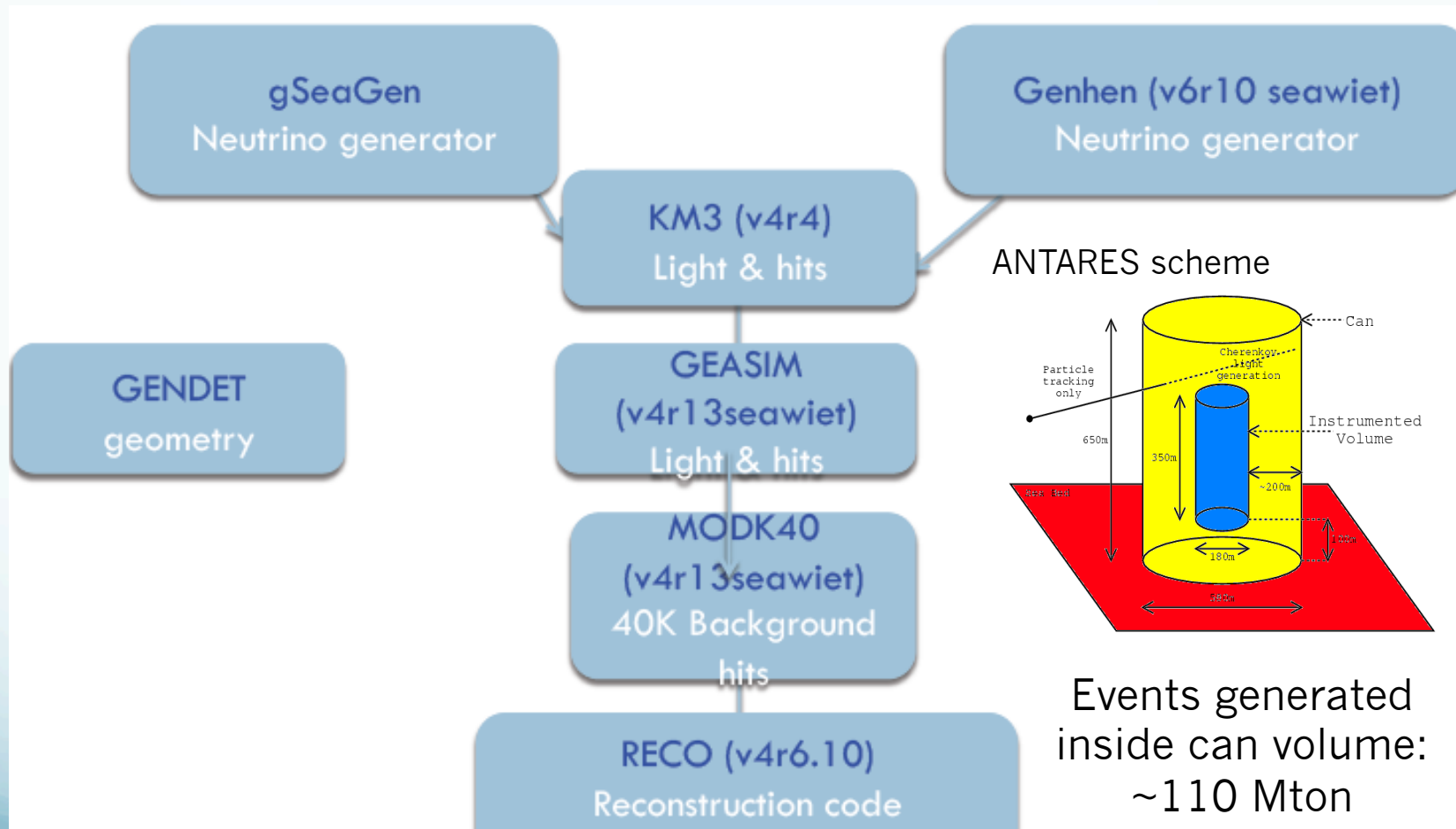


Genhen vs Genie (generation level)

Bartol Flux $\nu_{\mu} + \text{anti-}\nu_{\mu}$ (Kamioka Solar Minimum), 1 year

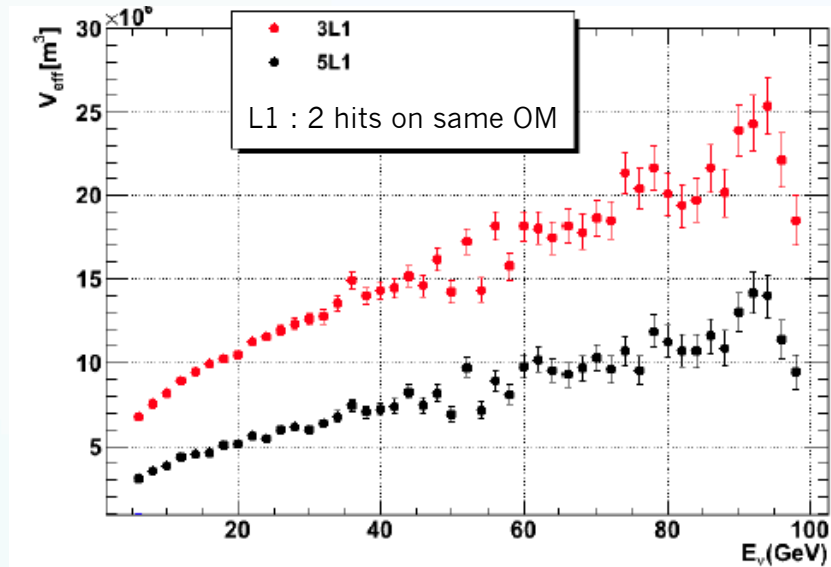


Simulation chain

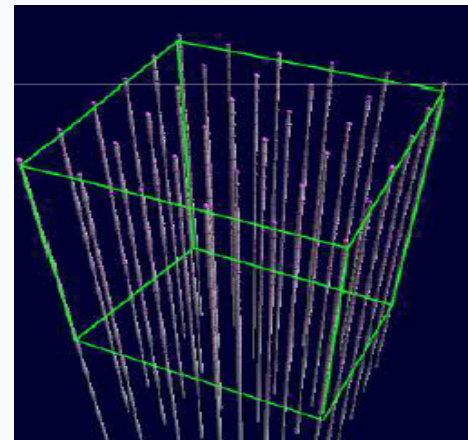


Effective volumes at trigger level

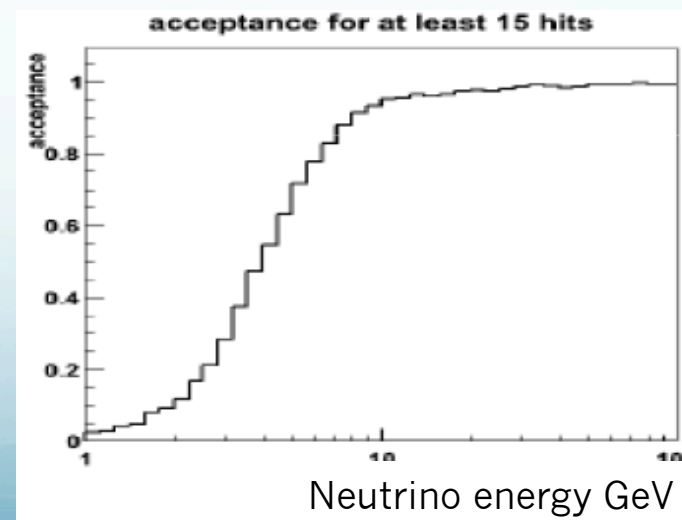
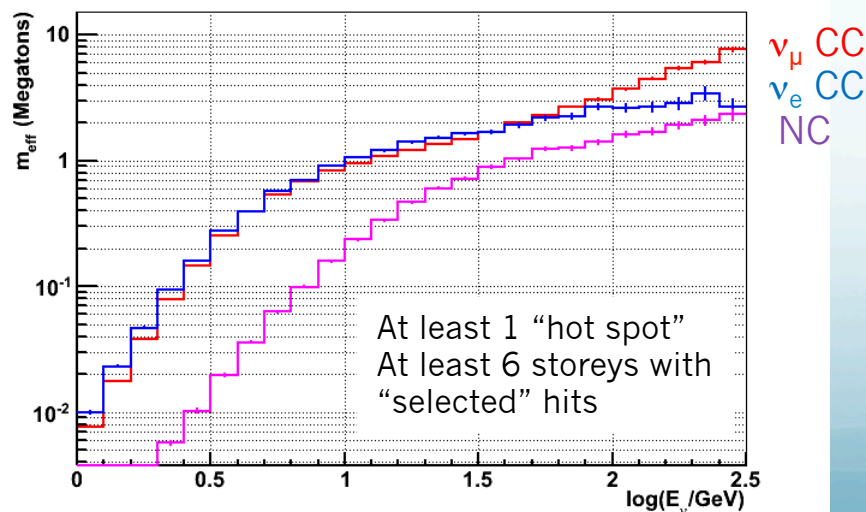
All events in can (edge effect)



Alternative: work only with contained events
 Ex: Detector 6x6 strings, spacing 20m
 20 Oms per string, spacing 6 m
 Fiducial volume 1Mton

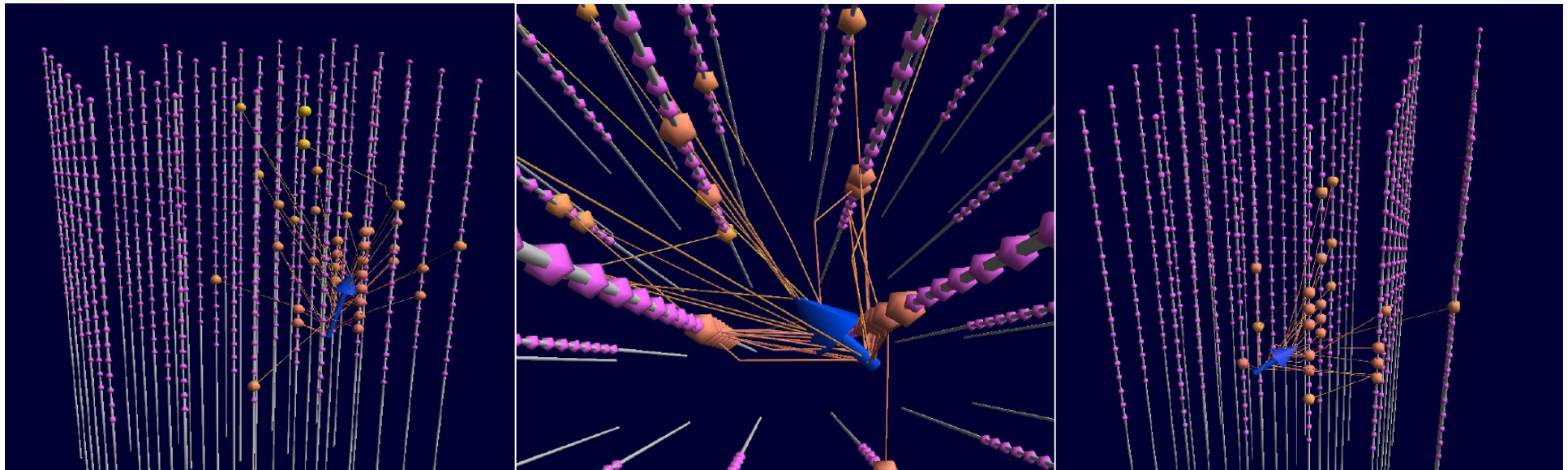
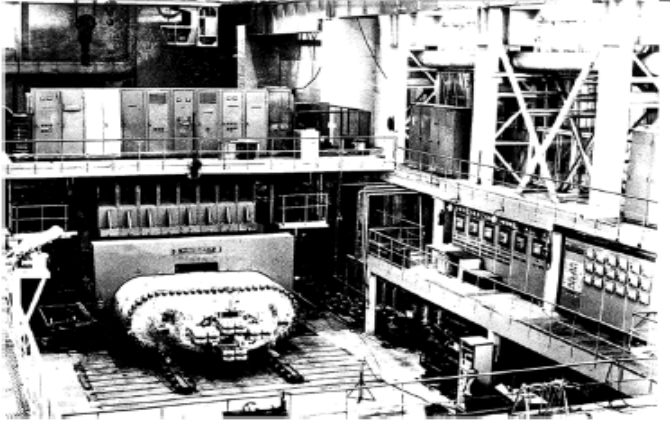


Antares OMs 1.5 Mton instrumented

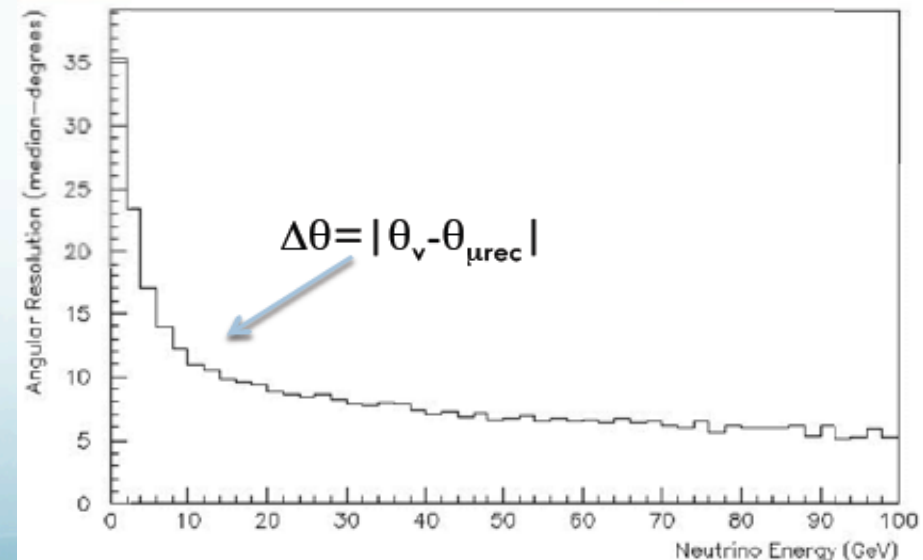
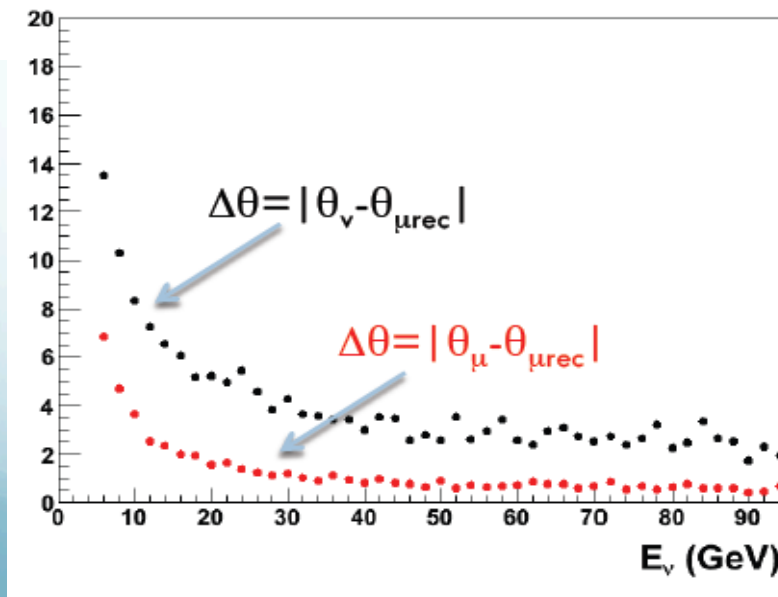
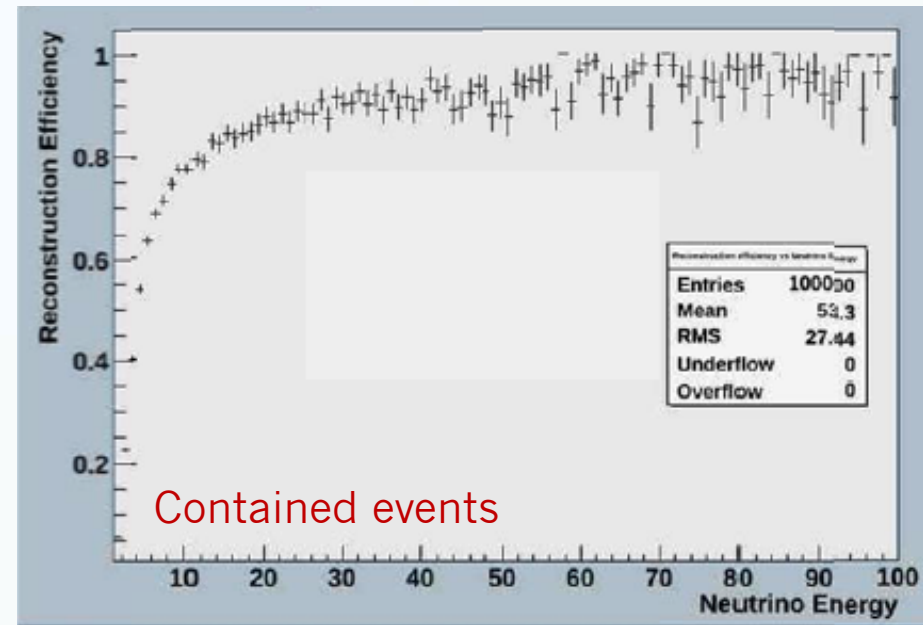
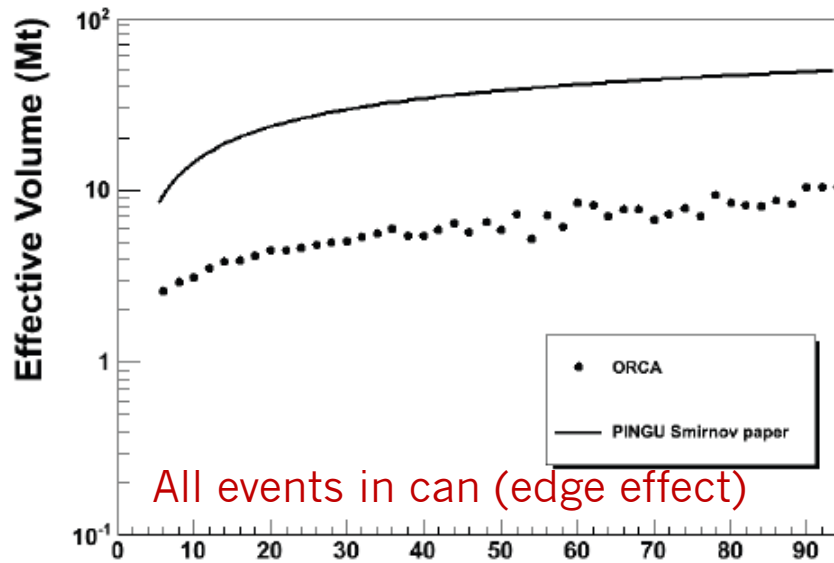


What do we want to reconstruct?

Events from the SKAT bubble chamber (2-30 GeV target CF_3Br)



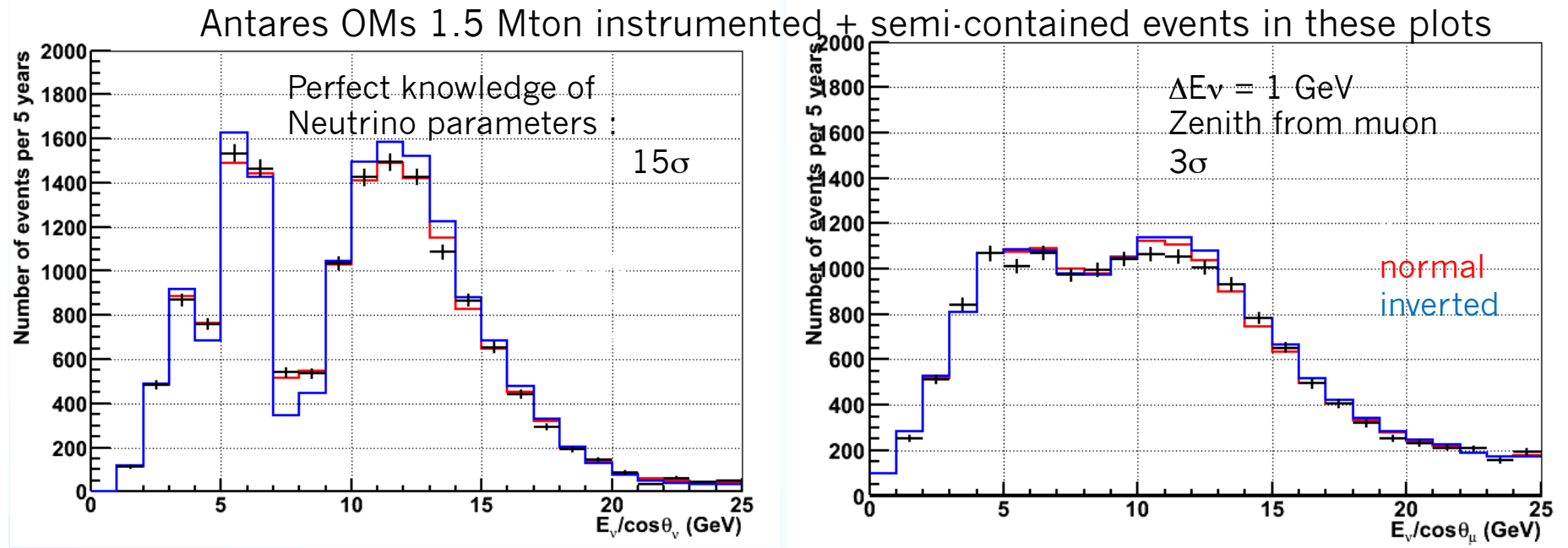
First reconstruction attempts (not optimized)



Promising, but what energy resolution for these events?

Observable $E/\cos\theta$ (ANTARES-Bbfit analys.)

- sub-optimal but easier to get feeling for size of the effect



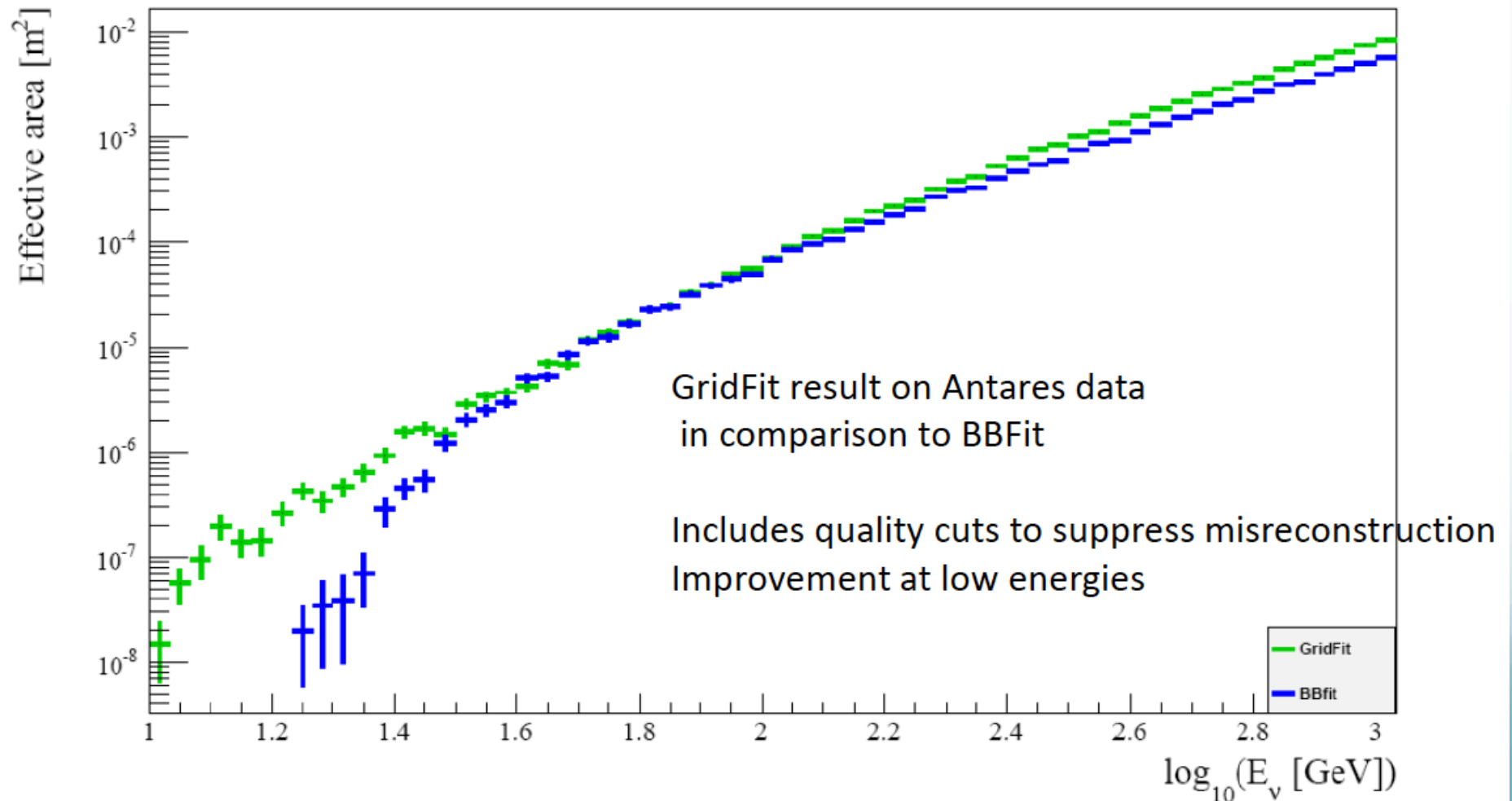
Resolution in energy and direction are key parameters !

- Optimal path length resolution $\sigma(L)$ from $\Delta L/\sqrt{12}$ to $\Delta L \Rightarrow \sigma(E) \approx 1 - 3$ GeV
- Reconstruction of associated hadronic shower will improve. To be quantified.

First improvements (gridfit)

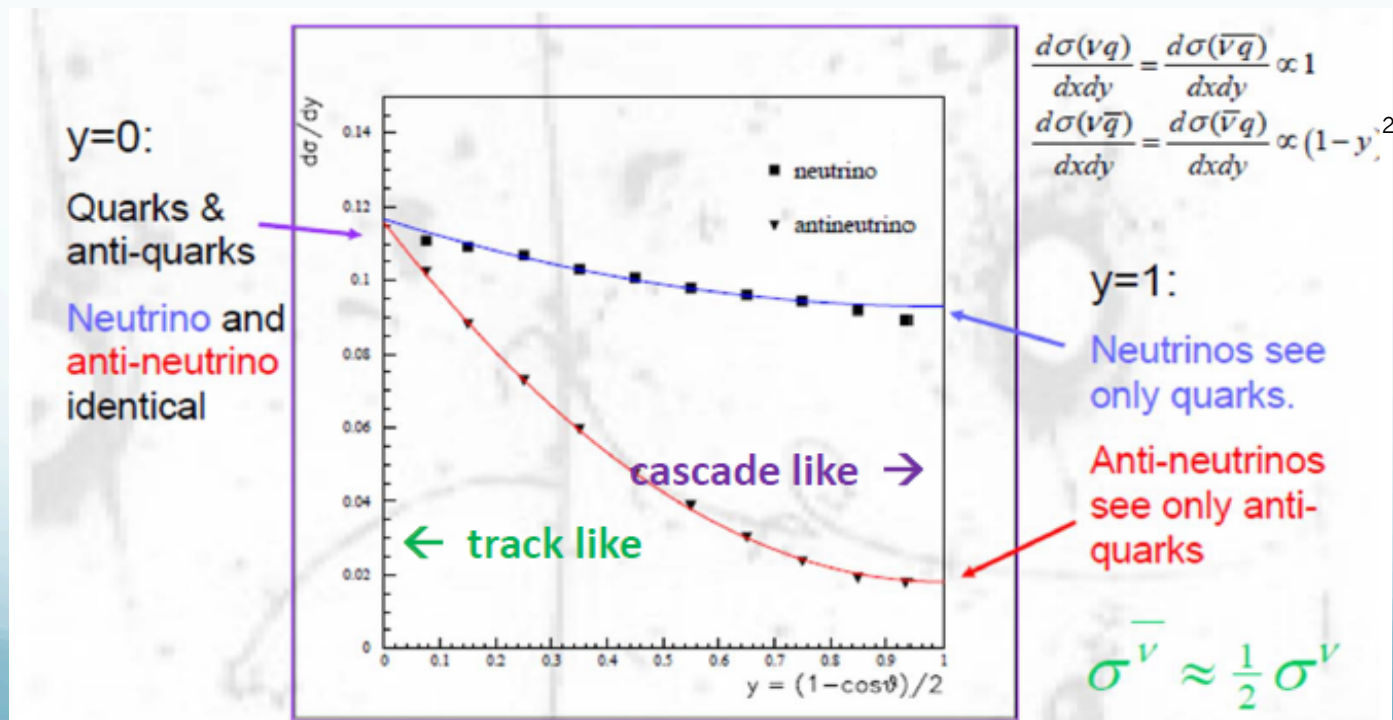
Hit selection:

Correlated hits between neighboured stories/next-to neighboured stories



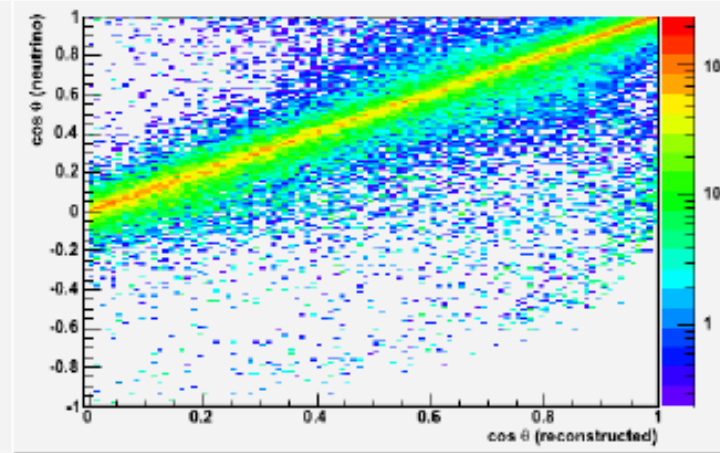
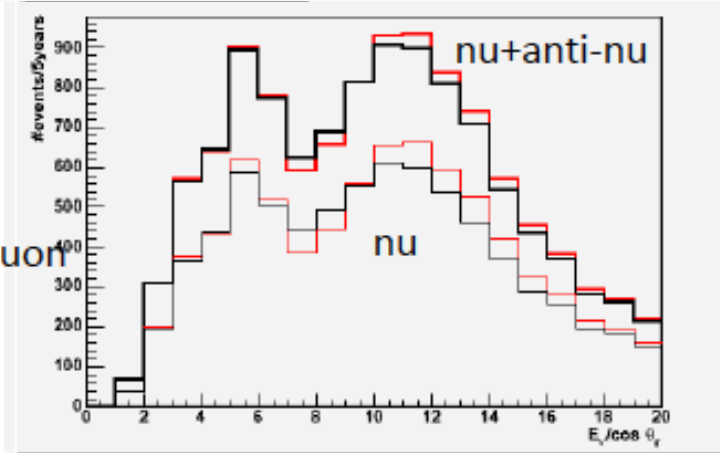
Some reconstruction ideas

- Select events with little hadronic activity
 - Enhances anti-neutrino sample
 - Enhances QE and RES contributions
 - Muons aligned to neutrinos (kinematics)

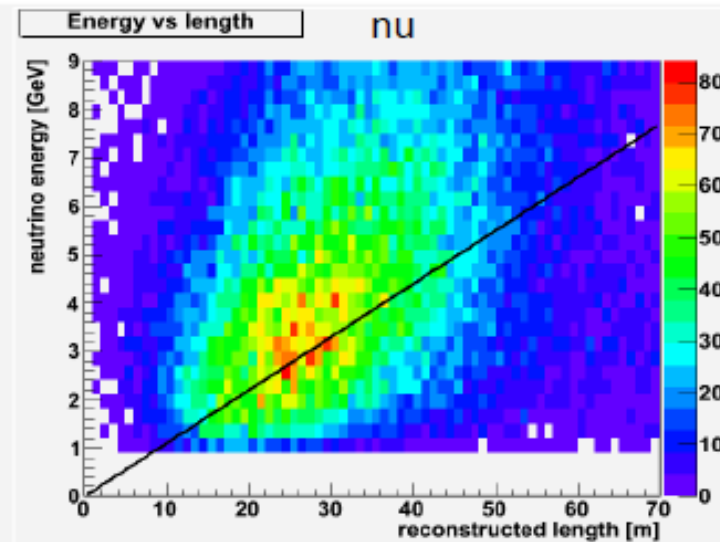
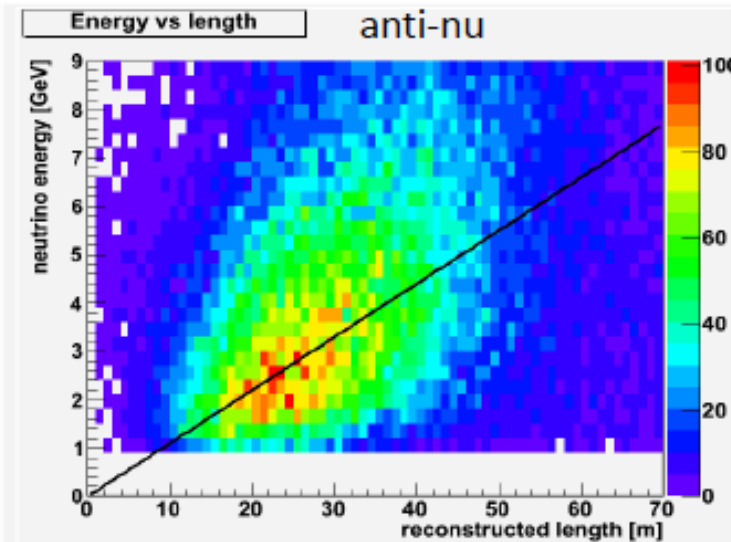


First look with GRIDFIT

True E_{ν}
Recons θ_{μ}



See
anti-nu
give more
energy
to muon



Global fit strategy

Dealing with oscillation parameter uncertainties

- For determining the MH, the angles and mass differences are nuisance parameters. → use ratio of *maximum* likelihoods

$$\Delta \log(L^{\max}) = \sum_{\text{bins}} \log P(\text{data} | \hat{\theta}^{\text{NH}}, \text{NH}) - \log P(\text{data} | \hat{\theta}^{\text{IH}}, \text{IH})$$

$\hat{\theta}^{\text{H}}$ = maximum-likelihood estimates for the Δm^2 's and angles using both data and constraints from global fit.
nb: constraints are different for H=IH and H=NH

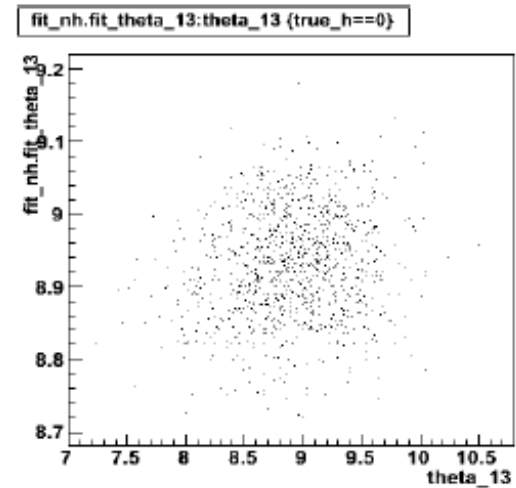
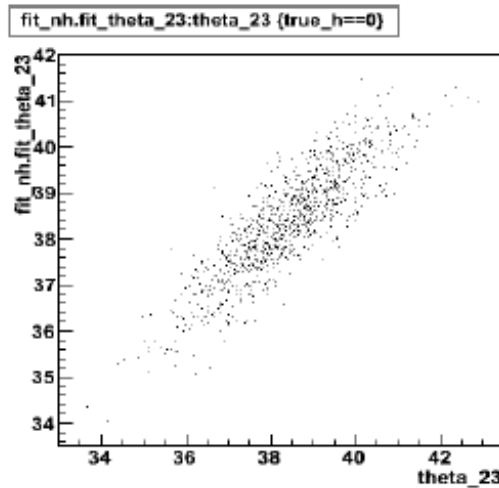
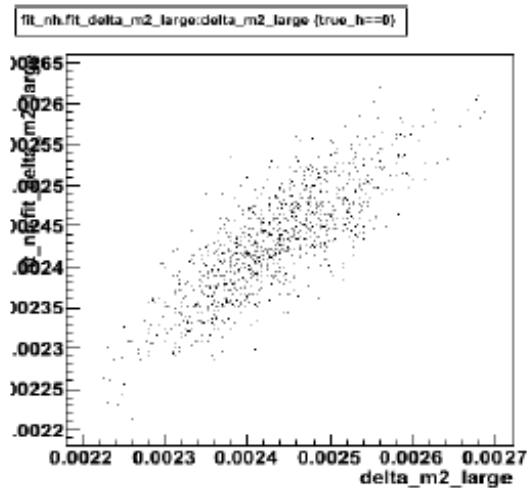
So this means fitting the mixing parameters to the data, twice, before computing the test-statistic.

Δm_{21} and θ_{21} fixed to central values. Others are fitted.
Zenith angle resolution : true muon direction
Energy resolution 25%
No background - No other flavours – No other syst.

- pick a true hierarchy H_{true}
- vary model parameters within allowed range(H_{true}) (assuming no correlations, since they are not published(?)) and generate toy experiment
- constraint fit of 3 non-fixed model parameters to experiment assuming both NH and IH → two sets of parameters $\hat{\theta}^{\text{NH}}$ and $\hat{\theta}^{\text{IH}}$
- likelihood ratio

Fit sensitivity

1 Mton*year (NHtrue, NHfit)



Fit working well.
Good sensitivity
to $\Delta m^2_{\text{large}}$ & θ_{23} !

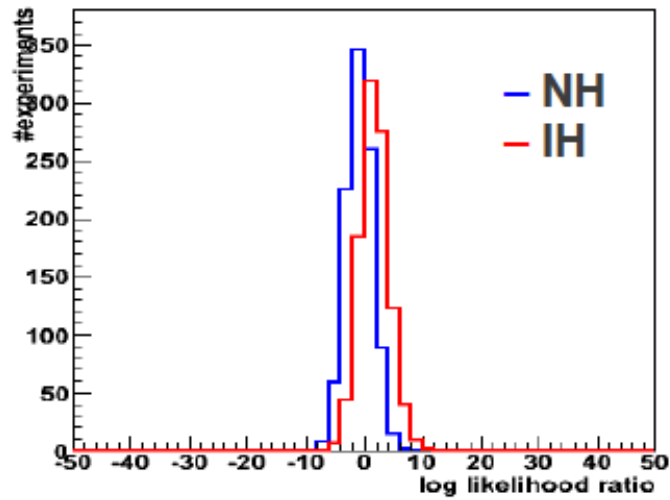
parameter name	central now	sigma now	sigma 1 Mtonyr	sigma 10 Mtonyr
delta_m2_large	2.43e-3	8.0e-5	4.3e-5	1.8e-5
theta23 (deg)	38.4103	1.3	0.66	0.23
theta13 (deg)	8.93082	0.45	0.45	0.41

nb: paramter fit is for one assumed MH

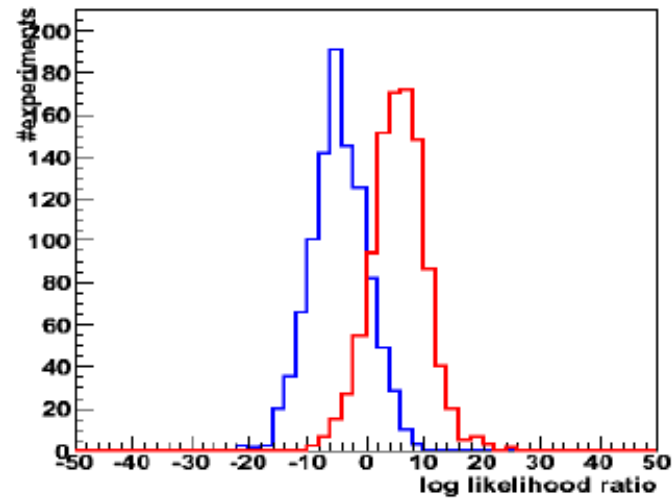
Decreasing the current errors is already an important achievement !

Current results

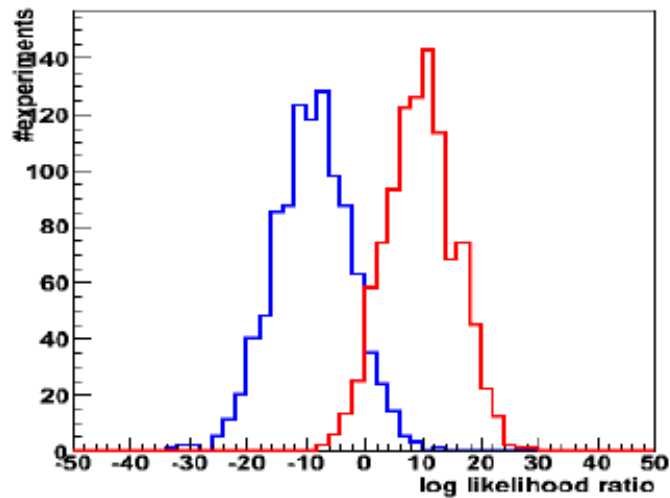
1 Mton x yr



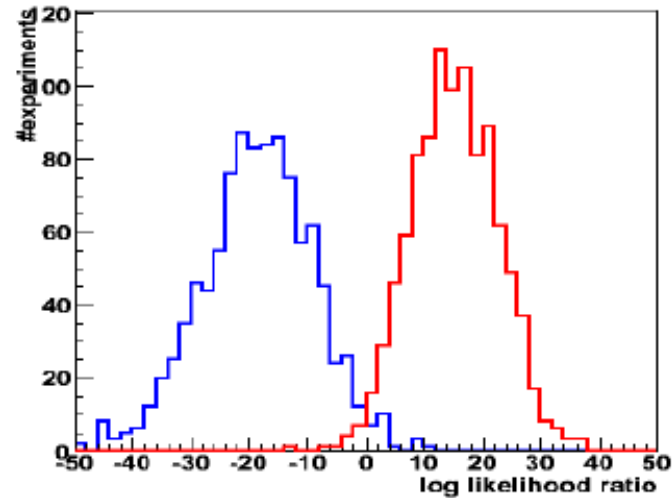
5 Mton x yr



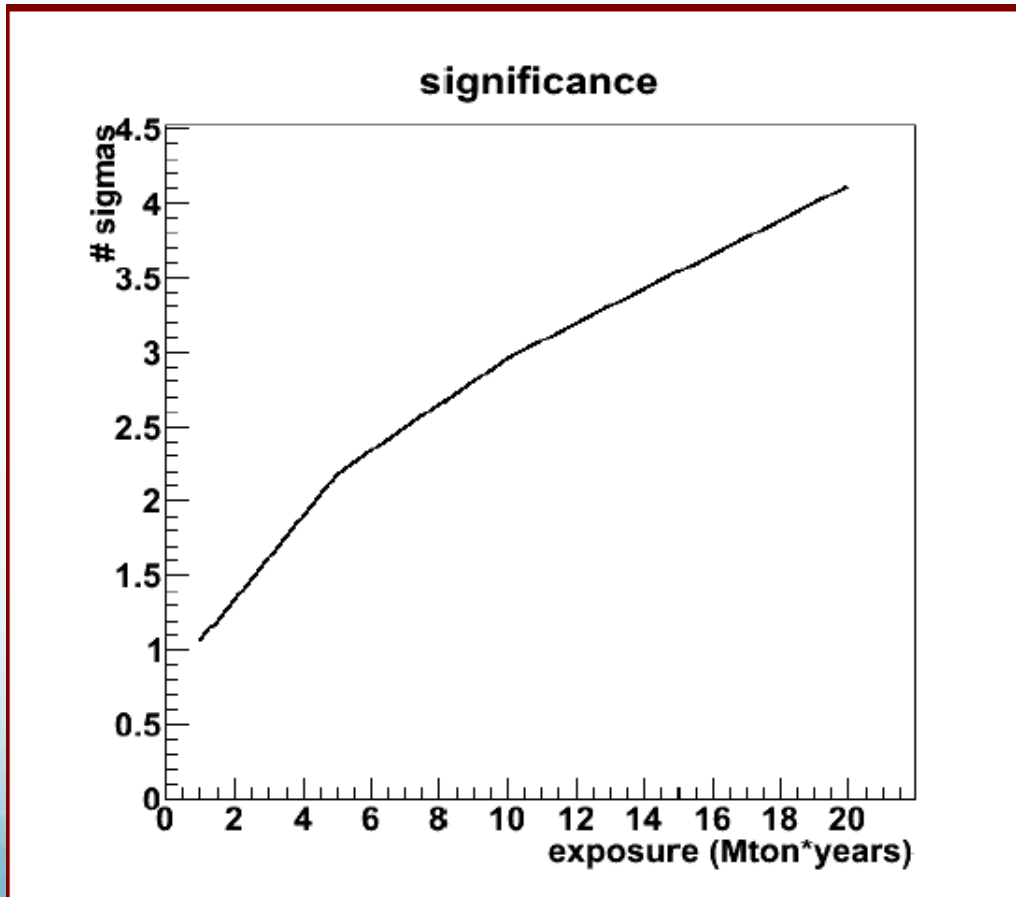
10 Mton x yr



20 Mton x yr



Median expected significance



- 25 % energy resolution on ν
- direction from perfect measurement of muon direction
- fully efficient for fiducial events with 15 or more hits
- no background from atm. mu or mis-id'ed showers
- no systematics at all

Conclusions & outlook

- Fruitful exchange of ideas with PINGU
 - Agreement to cross check each others significance calculation
- Full simulation & reconstruction chain being put into place
- Modifications in simulations planned
- Challenges in Event Reconstruction and Energy Resolution
- Large effort to prove feasibility of mass hierarchy measurement with neutrino telescopes just started.
- **ORCA could reduce current uncertainties on oscillations parameters. But too early to draw conclusions on the mass hierarchy discrimination.**
- Need ~ one more year
 - Finalize systematic studies (started)
 - Improved reconstruction (started)
 - Optimize geometry (just started)
 - Background rejection (consider veto?) (just started)
 - Study other flavours (not started)
 - ...
- **Welcome if you want to join our efforts!**