



Optics for future GW detectors

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Einstein Telescope Science Team, ELiTES exchange program, ET R&D (Aspera)



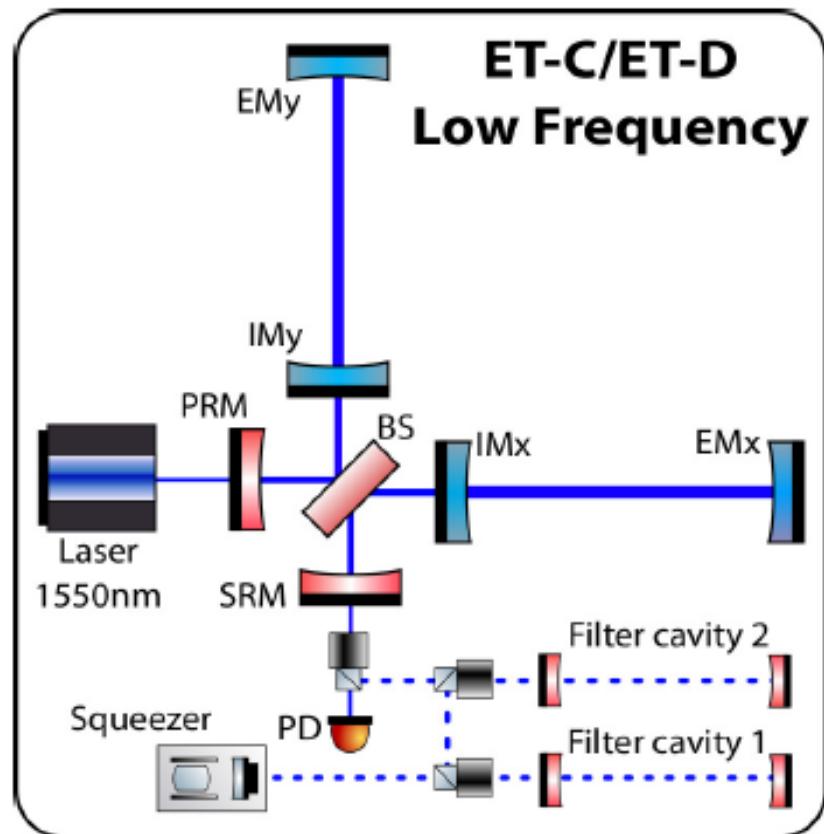
Overview

- Materials
- Crystal Growth
- Homogeneity
- Surface Quality
- Stress
- Birefringence



Detector layout

- Critical components: low temperatur IF → ITM

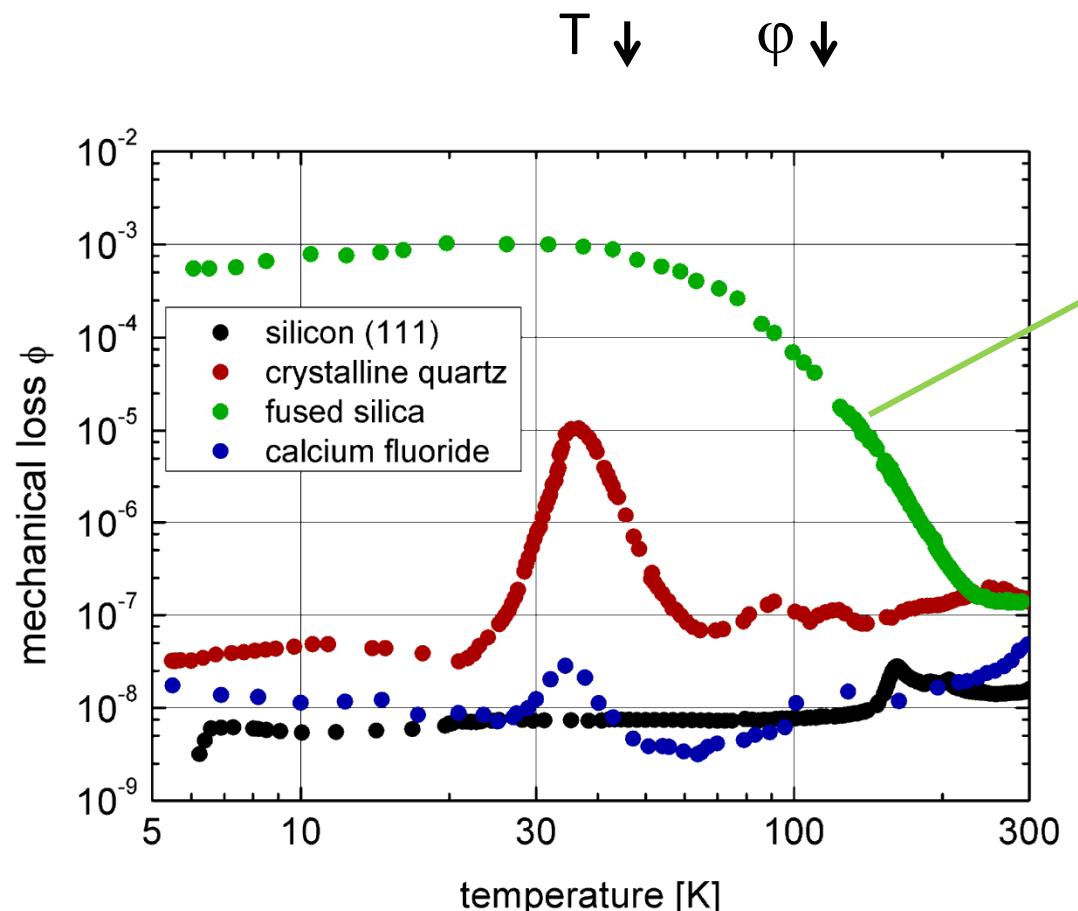


ET-HF properties clear and promising -> upscaling of existing fused silica work



Materials - Overview

Brownian thermal noise (mirror, suspension) $\sim T \times \phi$

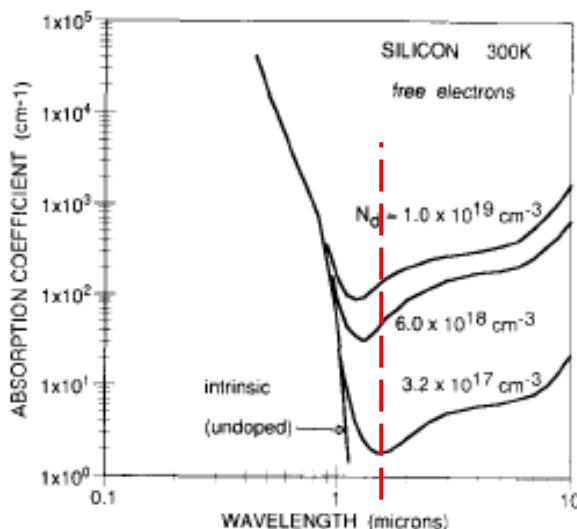


large loss peak at low temperatures due to amorphous state



Materials - Choice

- currently 2 materials under consideration
 - silicon (main candidate)
 - sapphire (backup for ET – due to possible size limitations with sapphire)
- wavelength for silicon operation: 1550 nm



Alternatives?

- lower λ : fundamental gap absorption
- larger λ : increasing free carrier absorption

[Soref, Proc. IEEE 81 (1993)]



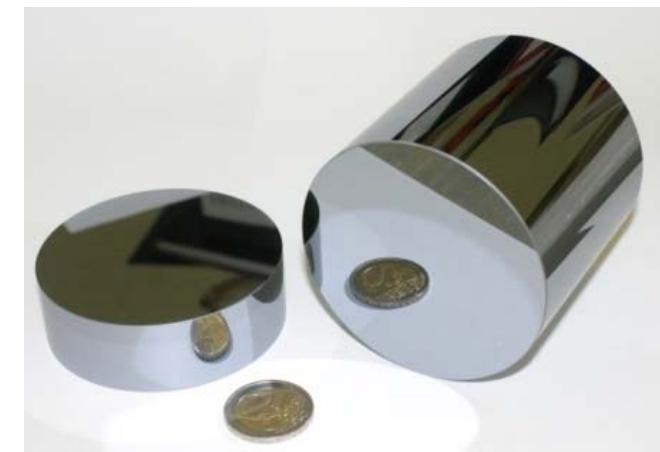
Materials – known and unknown parameters

- mechanical properties
 - mechanical loss
 - Young's modulus
 - strength (CZ better than FZ, oxygen getters dislocations)

→ design of suspensions

[Poster by G. Hammond et al.]

- thermal properties
 - thermal conductivity
 - coefficient of thermal expansion





Materials – known and unknown parameters

- optical properties
 - dn/dT
 - absorption
 - free carriers are playing crucial role [Talks by J. Komma, M. Granata]

$$\alpha_{fc} = \frac{Ne^2}{\epsilon_0 c nm^* \tau} \frac{1}{\omega^2}$$

N ... free carrier density
 τ ... free carrier lifetime

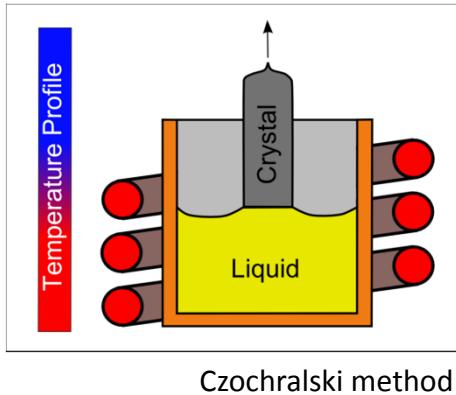
dependent on temperature
and impurity concentration

- new noise forms due to free carriers
 - coupling between free carrier density and refractive index

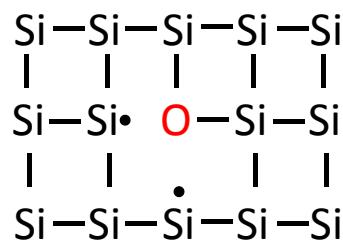
[collaboration is forming: welcome to join]



Crystal growth - size



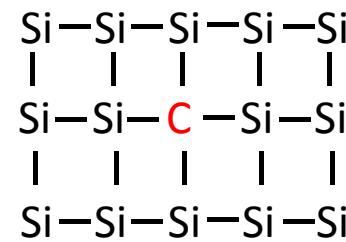
- large crystals (size limit: homogeneity of the temperature of the melt)
- industry changes wafer chucks to 450 mm technique
→ crystals available
- main impurities:
oxygen (from silica crucible, small corridor of possible concentrations around 10^{18} cm^{-3})
carbon (from graphite heating)



electrical/optical active

big variety of defects
including oxygen

e.g. Si-O-vacancy
defects



electrical/optical inactive

[Talk by G. Hofmann]



Crystal growth - size



Matthias Renner

float zone refining

- high purity crystals due to zone refining
- (some) impurities dissolve better in melt than in solid
→ cleaning process
- size limits:
surface tension has to hold liquid region
small slide @ high temperatures ↔ high thermal conductivity



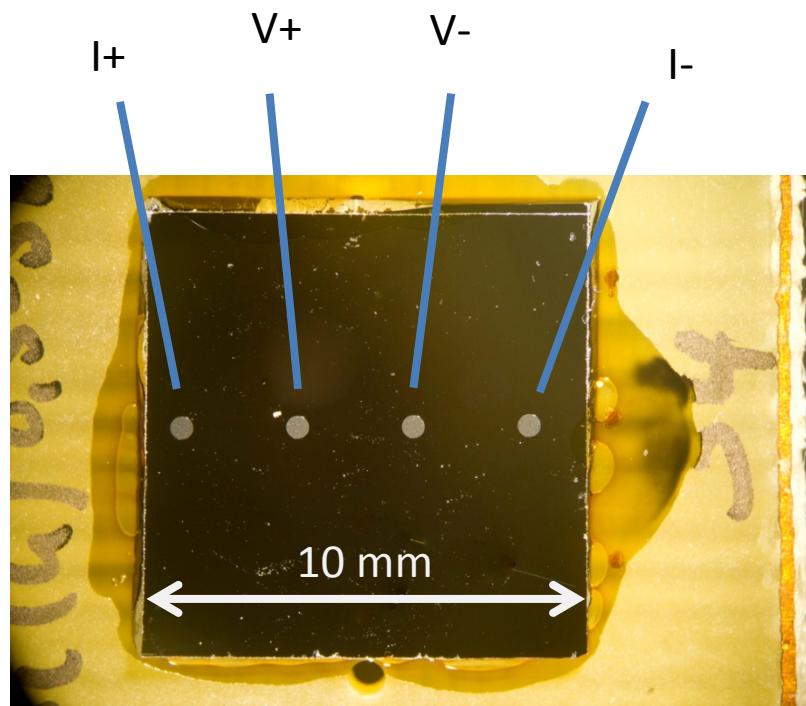
Homogeneity

- crystal growth from the melt
 - diffusion or mechanical stirring
 - inhomogeneous distribution of defects, doping, etc. expected
status 1970s: 30-50% lateral fluctuation on wafer
- distribution of:
 - oxygen, carbon, etc. (most likely defects in CZ/FZ silicon)
 - doping (electrical properties → link to absorption and refractive index)
 - mechanical stress
 - birefringence?

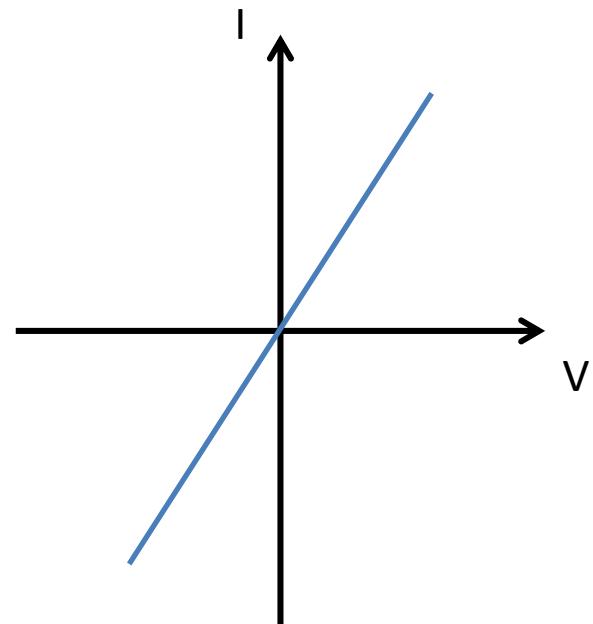


Homogeneity

- critical parameters (refractive index, absorption) dependent on local free carrier density → doping distribution needed at a local region on the crystal / wafer



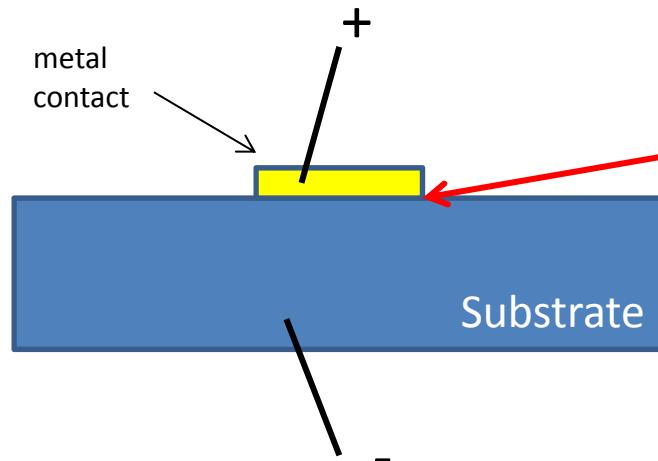
with correct metal pads:
ohmic behaviour of material
→ conductivity





Homogeneity

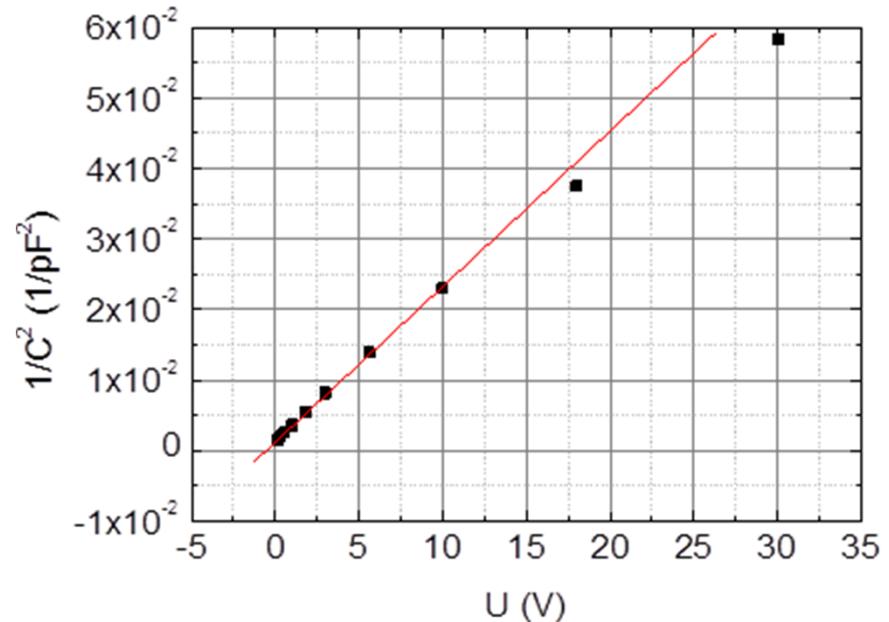
- CV measurement



formation of a Schottky-barrier
reverse bias: barrier capacity is voltage
dependent -> CV-spectroscopy

Capacity of space-charge region (per unit area):

$$C = \sqrt{\frac{eN\epsilon}{2(U_{BI} - U - 1/\beta)}} = \frac{\epsilon}{w}$$





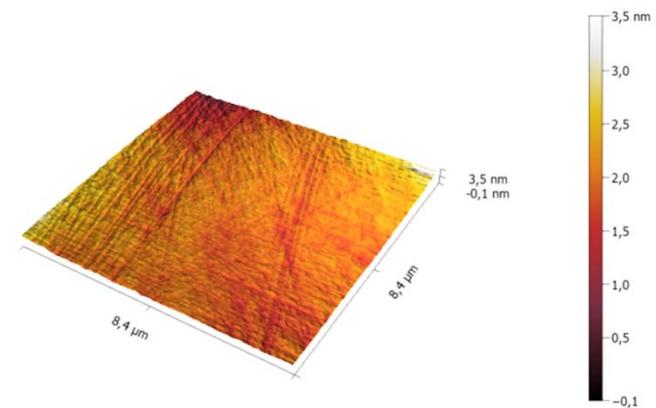
Surface quality

- roughness

optical scattering

$$\sim n^2 \quad \sim 1/\lambda^4$$

Fresnel Rayleigh



- exact shape at cryogenics (ROC, astigmatism, etc.)

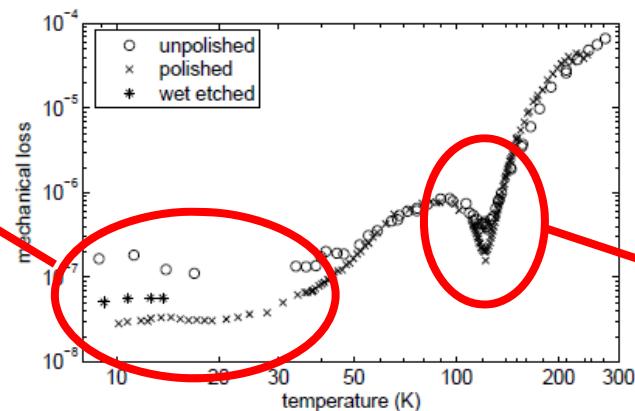
[Talk by J. Degallaix]



Interfaces

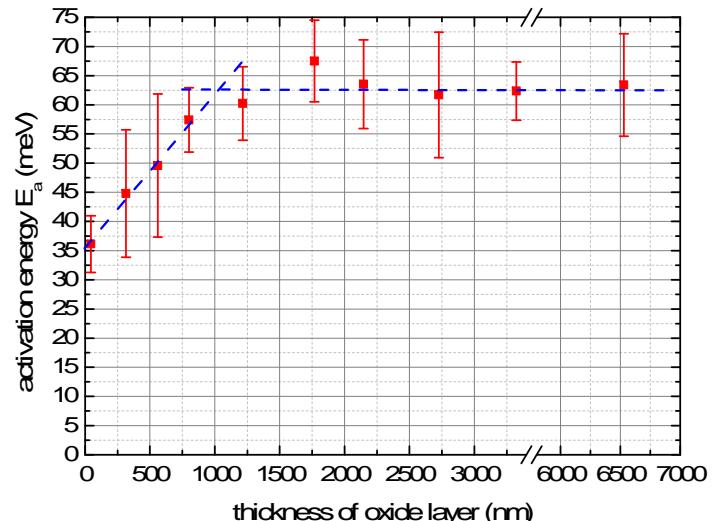
- absorption effects at interfaces / surfaces
[Poster A. Khaleidovski et al.]
- passivation layers
- surface loss

Dependence of mechanical loss on surface quality



[R. Nawrodt et al., CQG 30 (2013)]

Dependence of the activation energy of a thermal oxide layer on silicon



[Poster G. Hofmann et al.]



Thermal stress

- crystalline materials: large CTE

temperature shock → buildup of
large stress → breaking along
symmetry axes

- interface: different CTEs



broken CaF₂ crystal due to thermal shock
($\Delta T \sim 10$ K), CaF₂ (111)



Stress at interfaces - basics

- Temperature change in a clamped - free and clamped - clamped sample



$$\sigma_{th} = 0, \varepsilon_{th} = \alpha \Delta T$$

$$\varepsilon_{tot} = \varepsilon + \varepsilon_{th} = 0, \sigma_{th} = C\varepsilon = -C \alpha \Delta T$$

$$\sigma_{ik} = -K\alpha\Delta T\delta_{ik} + K\varepsilon_{ll}\delta_{ik} + 2\mu\left(\varepsilon_{ik} - \frac{1}{3}\delta_{ik}\varepsilon_{ll}\right)$$

[Landau + Lifshitz, Elasticity]

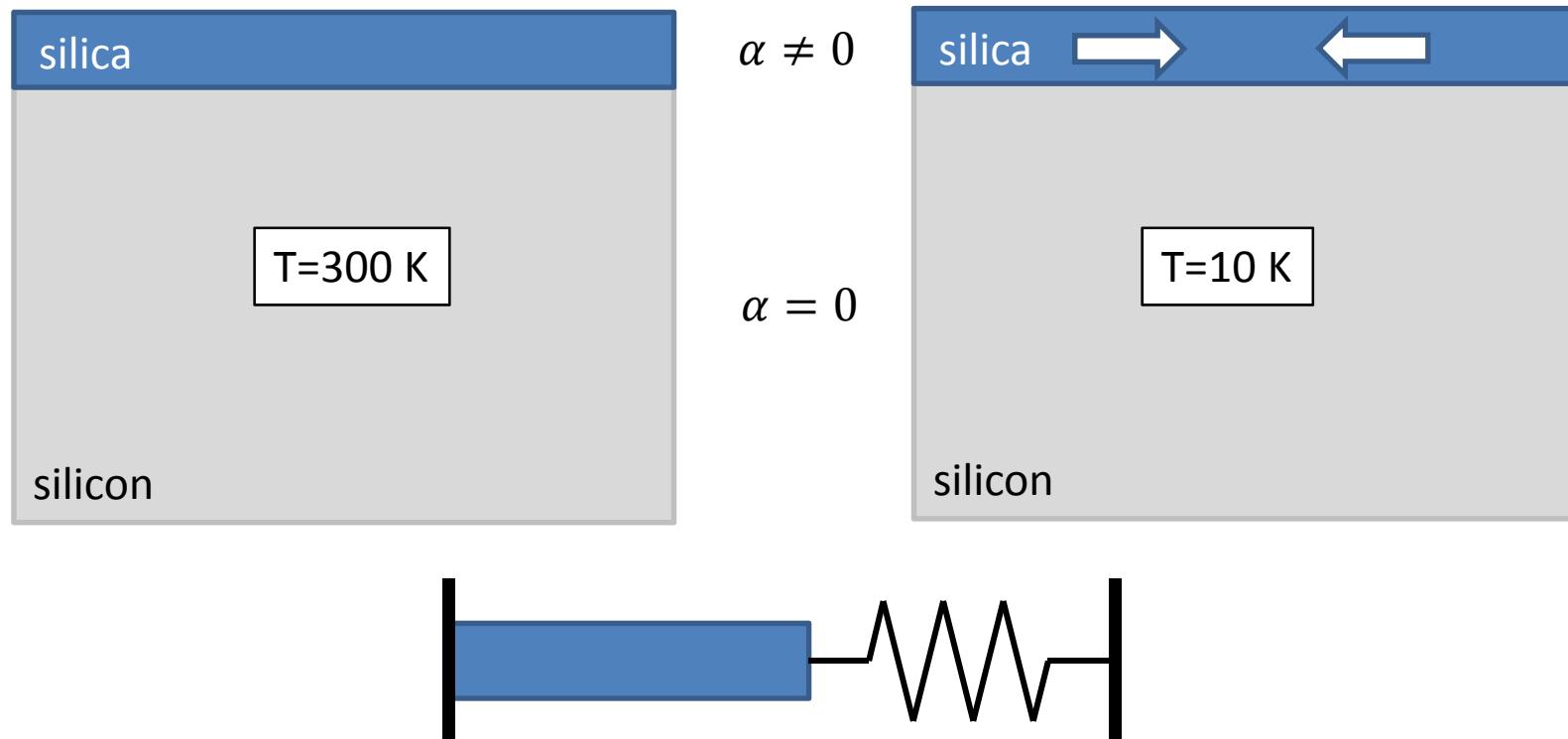
$$K = \frac{Y}{3(1 - 2\sigma)}$$

$$\mu = \frac{Y}{2(1 + \sigma)}$$



Stress at interfaces - modelling

- Silica layer (as example substitute for the HR stack) on top of an ET-LF silicon substrate

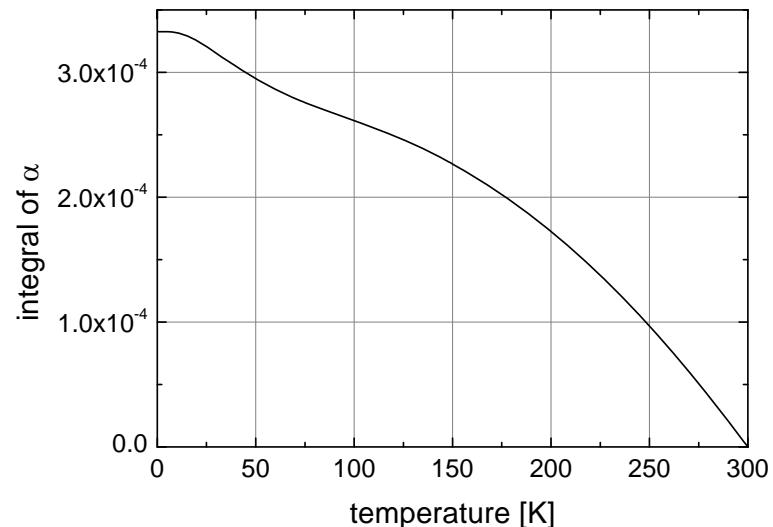
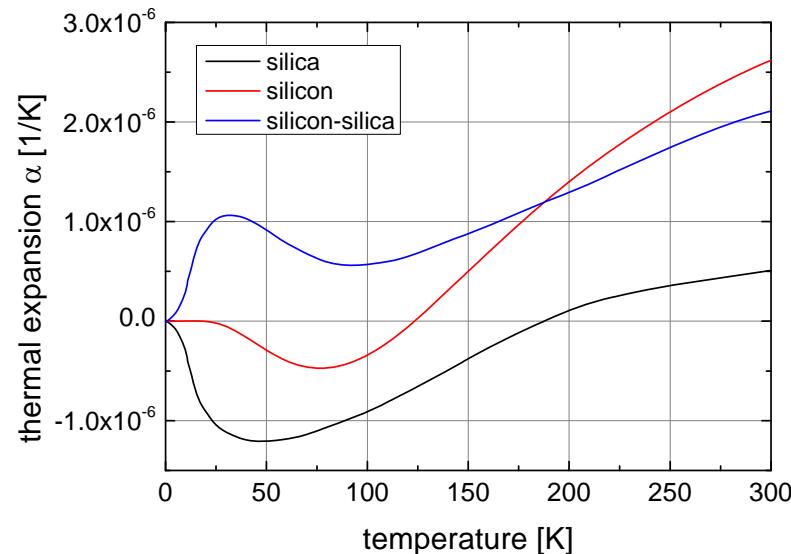




Stress at interfaces – CTE's

- $\alpha_{rel} = \alpha_{Si} - \alpha_{SiO_2}$

$$\int_{300K}^T \alpha(T) dT$$

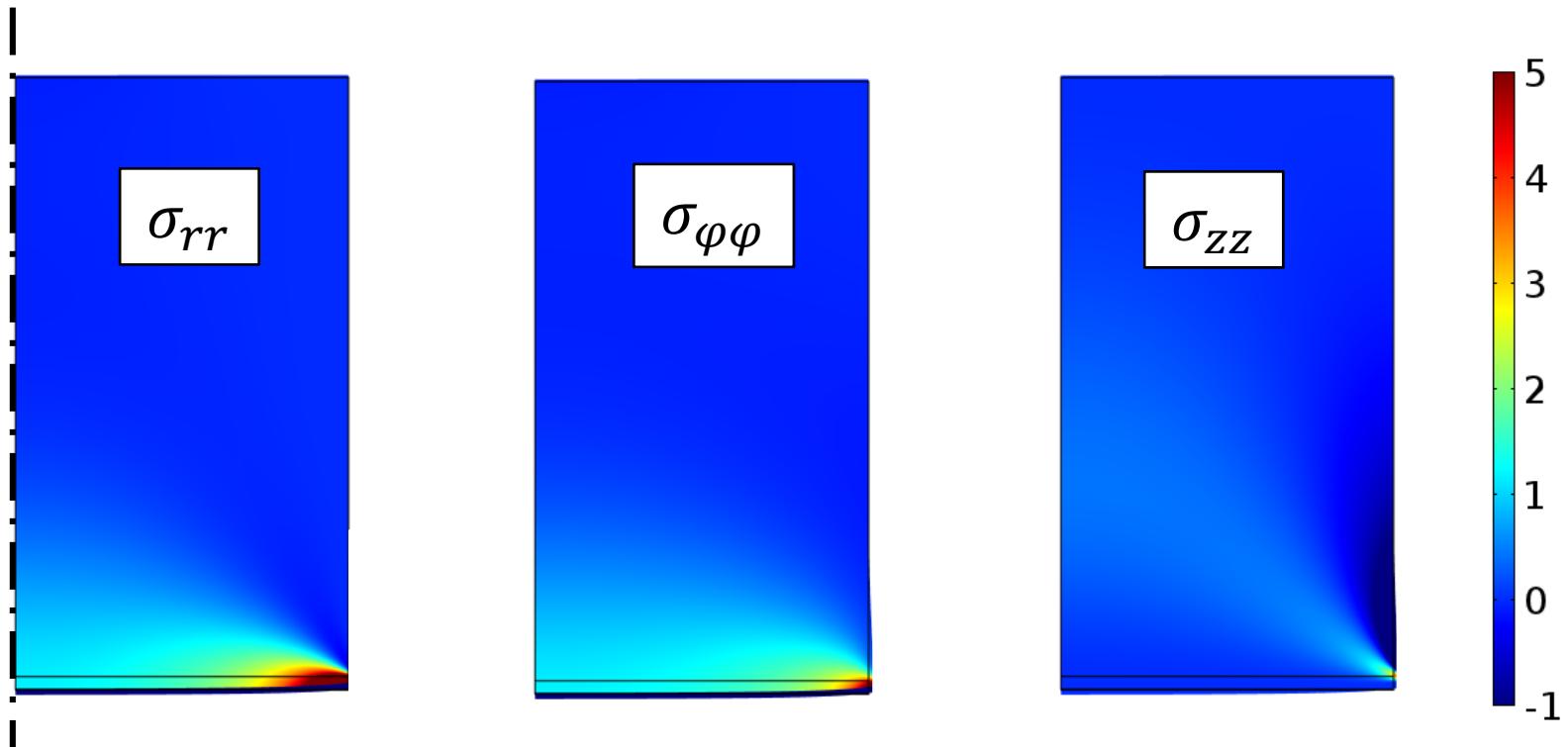


[G. K. White and M.L. Minges, Int. J. Thermophys. 18 (1997), 1269-1327]

[G. K. White, J. Phys. D: Appl. Phys. 6 (1973), 2070-8]



Stress at interfaces - FEA

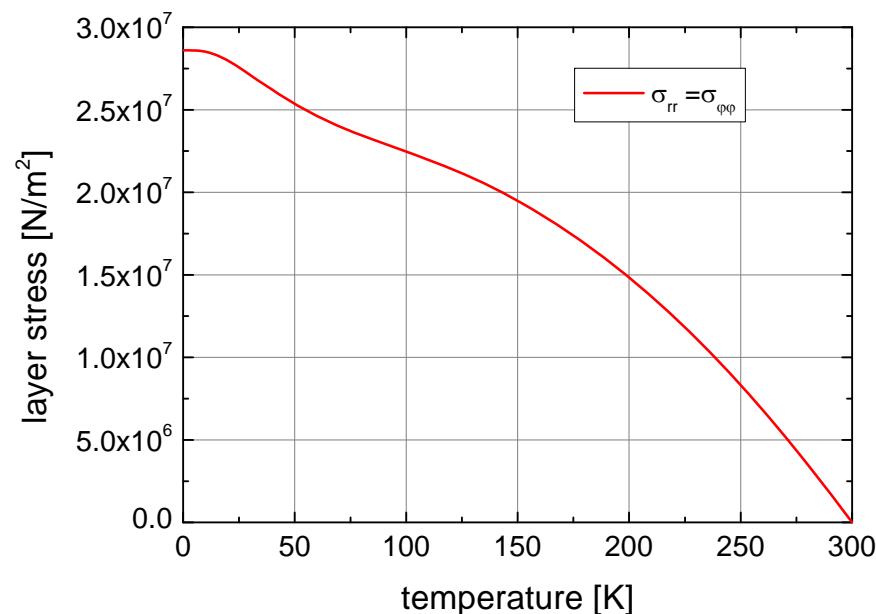


in the layer: $\sigma_{\varphi\varphi} = \sigma_{rr} = \text{const.}$

symmetry: $\sigma_{r\varphi} = \sigma_{\varphi z} = 0$



Stress at interfaces – layer stress



$$\sigma_{xx} = \sigma_{rr} \cos^2 \varphi - (\sigma_{r\varphi} + \sigma_{\varphi r}) \cos \varphi \sin \varphi + \sigma_{\varphi\varphi} \sin^2 \varphi,$$

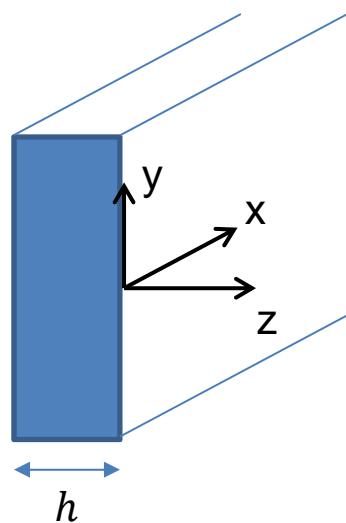
$$\sigma_{yy} = \sigma_{\varphi\varphi} \cos^2 \varphi + (\sigma_{r\varphi} + \sigma_{\varphi r}) \cos \varphi \sin \varphi + \sigma_{rr} \sin^2 \varphi$$



Stress induced birefringence

$$n_1 - n_2 = \frac{1}{2} n_0^3 (q_{11} - q_{12}) (\sigma_{xx} - \sigma_{yy})$$

q - stress-optical coefficients



Resulting phase change:

$$\delta = \frac{2\pi}{\lambda} h (n_1 - n_2) = \frac{2\pi}{\lambda} Ch(\sigma_{xx} - \sigma_{yy})$$

With C as the 'effective' stress optical coefficient

$$C = \frac{1}{2} n_0^3 (q_{11} - q_{12})$$

For fused silica @ 300 K and 1550 nm

$$C \simeq 30 \times 10^{-13} \text{ } \text{Pa}^{-1}$$

[A. J. Barlow, D. N. Payne, IEEE J. Quantum Electron. QE-19 (1983), 834-9]

At low T (fused silica):

$$\sigma \simeq 3 \times 10^7 \text{ Pa} \Rightarrow n_1 - n_2 \simeq C\sigma \simeq 10^{-4}$$



Stress induced birefringence – next tasks

- investigation of stress + birefringence within ET R&D project (Aspera) between different groups in Europe
- symmetry of stress → symmetry of birefringence (both in coatings and bulk)
- coupling into the interferometer (which stress is dangerous?)



Summary and Conclusions

- many properties are promising for Si use in cryo IF (both 125 K and < 20 K)
- dynamics of free carriers (at low temperatures) needs to be investigated in more detail → effects on noise and absorption
- investigation of homogeneity of parameters
- if only CZ silicon large enough: check if compensated material can fulfill requirements → mechanical loss, thermal properties, optical properties, free carrier density at low temperatures