

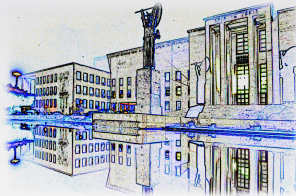
Theoretical and experimental review on Nucleon Form Factors

S. Pacetti

Perugia University and INFN Perugia, Italy

R. Baldini Ferroli

Laboratori Nazionali di Frascati, Italy



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PSI 13**

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Dipartimento di Fisica

Università "La Sapienza", Roma



Space-like region: definitions and data



Rosenbluth/Polarization conflict(?)



Time-like region: definitions, data and more



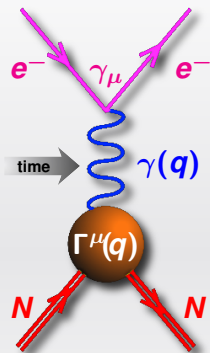
The ratio G_E^p / G_M^p



Proton form factor at threshold

Nucleon Form Factors definition

Space-like region ($q^2 < 0$)



- **Electromagnetic current** ($q = p' - p$)

$$J^\mu = \langle N(p') | j^\mu | N(p) \rangle = e \bar{u}(p') \left[\gamma^\mu F_1(q^2) + \frac{i\sigma^{\mu\nu} q_\nu}{2M} F_2(q^2) \right] u(p)$$

- **Dirac and Pauli form factors F_1 and F_2 are real**
- **In the Breit frame**

$$\begin{cases} p = (E, -\vec{q}/2) \\ p' = (E, \vec{q}/2) \\ q = (0, \vec{q}) \end{cases} \quad \begin{cases} \rho_q = J^0 = e \left[F_1 + \frac{q^2}{4M^2} F_2 \right] \\ \vec{J}_q = e \bar{u}(p') \vec{\gamma} u(p) [F_1 + F_2] \end{cases}$$

- $2M \bar{u}(p') \gamma^\mu u(p) = \bar{u}(p') [(p + p')^\mu + i\sigma^{\mu\nu} q_\nu] u(p)$
- $\bar{u}(-\vec{p}) u(\vec{p}) = E/M$ ● $u^\dagger(-\vec{p}) u(\vec{p}) = 1$

- **Sachs form factors**

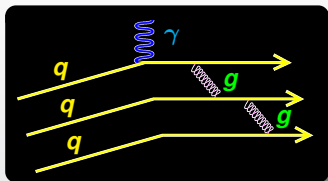
$$G_E = F_1 + \frac{q^2}{4M^2} F_2$$

$$G_M = F_1 + F_2$$

- **Normalizations**

$$F_1(0) = Q_N \quad G_E(0) = Q_N$$

$$F_2(0) = \kappa_N \quad G_M(0) = \mu_N$$



- **pQCD**: as $q^2 \rightarrow -\infty$, asymptotic behaviors of F_1 and F_2 , and G_E and G_M must follow counting rules
- Valence quarks exchange gluons to distribute the momentum transfer q

Non-helicity-flip current $J^{\lambda,\lambda}(q^2)$

- $J^{\lambda,\lambda}(q^2) \propto G_M(q^2)$
- Two gluon propagators
- $G_M(q^2) \underset{q^2 \rightarrow -\infty}{\sim} (-q^2)^{-2}$

Helicity-flip $J^{\lambda,-\lambda}(q^2)$

- $J^{\lambda,-\lambda}(q^2) \propto G_E(q^2)/\sqrt{-q^2}$
- Two gluon propagators / $\sqrt{-q^2}$
- $G_E(q^2) \underset{q^2 \rightarrow -\infty}{\sim} (-q^2)^{-2}$

Ratio of Sachs form factors

- Ratio: $\frac{G_E}{G_M} \underset{q^2 \rightarrow -\infty}{\sim} \text{constant}$

Dirac and Pauli form factors

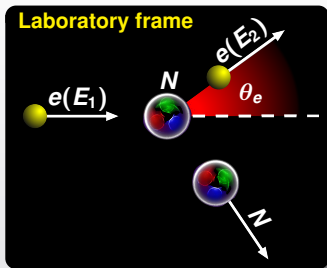
- $F_1(q^2) \underset{q^2 \rightarrow -\infty}{\sim} (-q^2)^{-2}$
- $F_2(q^2) \underset{q^2 \rightarrow -\infty}{\sim} (-q^2)^{-3}$

Space-like data



Roma - September 11th, 2013

Nucleon Form Factors in Experiments and Theory



Rosenbluth formula

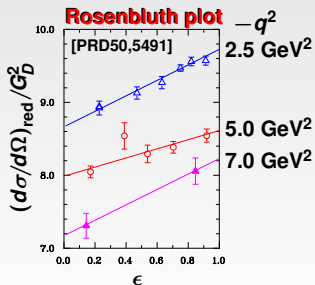
$$\frac{d\sigma}{d\Omega} = \left(\frac{d\sigma}{d\Omega}\right)_{\text{Mott}} \frac{1}{1-\tau} \left[G_E^2 - \frac{\tau}{\epsilon} G_M^2 \right] \quad \tau = \frac{q^2}{4M_N^2}$$

- Mott pointlike cross section

$$\left(\frac{d\sigma}{d\Omega}\right)_{\text{Mott}} = \frac{4\alpha^2}{(-q^2)^2} \frac{E_2^3}{E_1} \cos^2(\theta_e/2)$$

- Photon polarization

$$\epsilon = \left[1 + 2(1 - \tau) \tan^2(\theta_e/2) \right]^{-1}$$



Reduced cross section

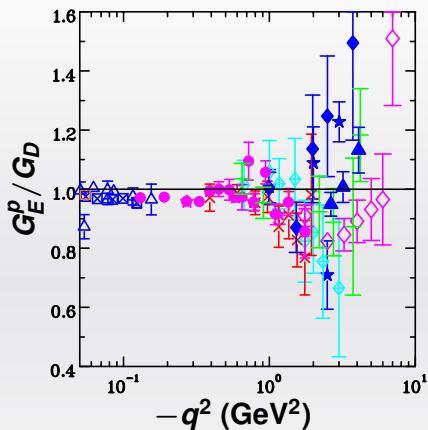
$$\left(\frac{d\sigma}{d\Omega}\right)_{\text{red}} = \frac{\epsilon(\tau-1)}{\tau} \frac{(d\sigma/d\Omega)_{\text{exp}}}{(d\sigma/d\Omega)_{\text{Mott}}} = G_M^2 - \frac{\epsilon}{\tau} G_E^2$$

● $(d\sigma/d\Omega)_{\text{red}}(\epsilon)$ slope $\rightarrow G_E$

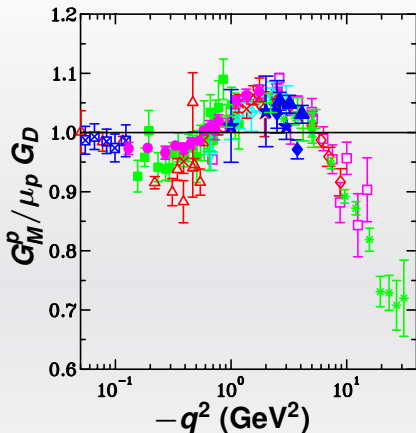
● $(d\sigma/d\Omega)_{\text{red}}(\epsilon)$ intercept $\rightarrow G_M$

Dipole form: $G_D(q^2) = (1 - q^2/0.71\text{GeV}^2)^{-2}$

G_E^p and G_M^p with Rosenbluth separation



- | | |
|-----------------|--------------------|
| △ RMP35,335(63) | ⊠ NPB93,461(75) |
| ◆ PLB31,40(70) | ◻ NPA333,381(80) |
| ● PRD4,45(71) | ◇ PRD50,5491(94) |
| × PLB35,87(71) | ★ PRD49,5671(94) |
| ◊ NPB58,429(73) | + PRC70,015206(04) |
| ☆ PRD8,753(73) | ▲ PRL94,142301(05) |



- | | |
|-----------------|--------------------|
| △ RMP35,335(63) | ◊ NPB58,429(73) |
| ■ PR142,922(66) | ⊠ NPB93,461(75) |
| ◻ PRL20,292(68) | * PRD48,29(93) |
| ◆ PLB31,40(70) | ◇ PRD50,5491(94) |
| ● PRD4,45(71) | ★ PRD49,5671(94) |
| × PLB35,87(71) | + PRC70,015206(04) |
| ☆ PRD8,753(73) | ▲ PRL94,142301(05) |



Polarization observables

A.I. Akhiezer, M.P. Rekalov, Sov. Phys. Dokl. 13, 572 (1968)

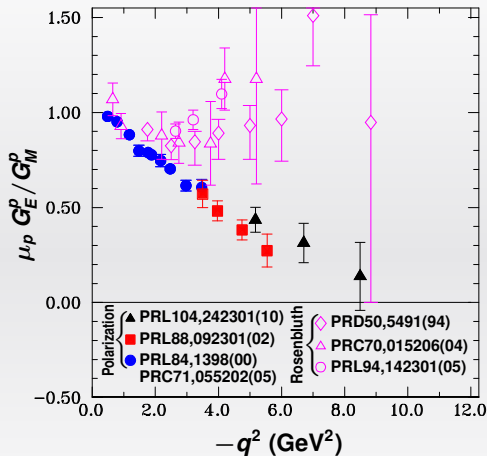


- Elastic scattering of **longitudinally polarized electrons** ($h = \pm 1$) on nucleon target
- Hadronic tensor: $W_{\mu\nu} = \underbrace{W_{\mu\nu}(0)}_{\text{no pol.}} + \underbrace{W_{\mu\nu}(\vec{P}) + W_{\mu\nu}(\vec{P}')}_{\text{ini. or fin. pol. of } N} + \underbrace{W_{\mu\nu}(\vec{P}, \vec{P}')}_{\text{ini. and fin. pol. of } N}$
- In case of **polarized electrons** ($h = \pm 1$) on **unpolarized nucleon target**:

$$P'_x = -\frac{2\sqrt{\tau(\tau-1)}}{G_E^2 - \frac{\tau}{\epsilon} G_M^2} G_E G_M \tan\left(\frac{\theta_e}{2}\right) \quad P'_z = \frac{(E_e + E'_e)\sqrt{\tau(\tau-1)}}{M(G_E^2 - \frac{\tau}{\epsilon} G_M^2)} G_M^2 \tan^2\left(\frac{\theta_e}{2}\right)$$

$$\frac{P'_x}{P'_z} = -\frac{2M \cot(\theta_e/2)}{E_e + E'_e} \frac{G_E}{G_M}$$

G_E^p / G_M^p in polarization transfer experiments



Polarization data do not agree with old Rosenbluth data (◇)



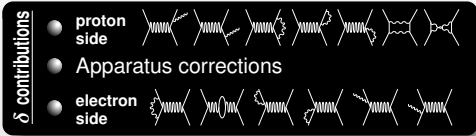
New Rosenbluth data (△, ○) from JLab still do not agree with polarization data



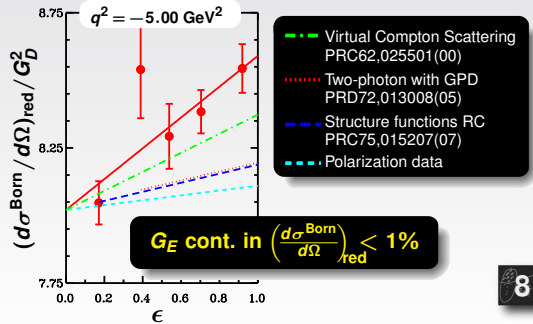
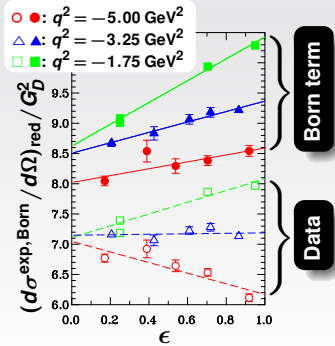
Sachs form factors G_E and G_M are extracted from Born cross sections (one- γ exchange)

The Born term is obtained from experimental cross sections correcting for radiative effects

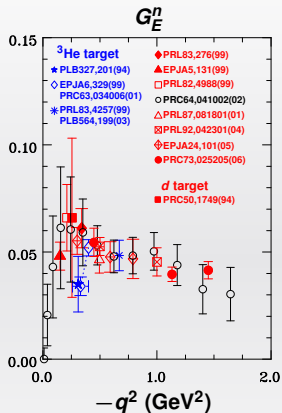
$$\frac{d\sigma^{\text{exp}}}{d\Omega} = \left[1 + \delta(\epsilon) \right] \frac{d\sigma^{\text{Born}}}{d\Omega}$$



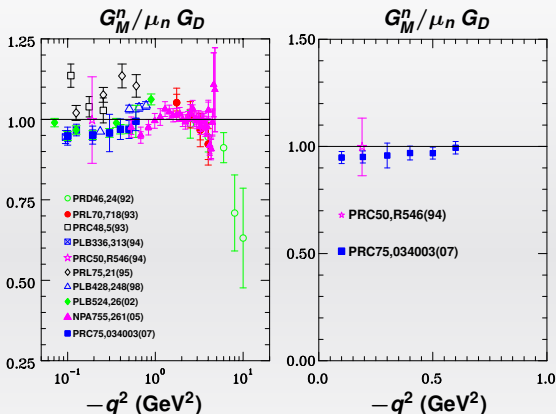
An example



G_E^n and G_M^n with different techniques



- Elastic e - d cross section
- Polarization observables in electron scattering with ^2H and ^3He targets



- Quasi-elastic e - d / elastic e - p cross sections
- Polarization observables in electron scattering on a polarized ^3He target



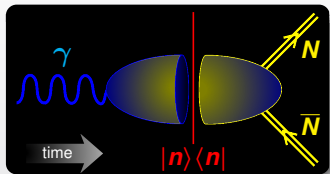


Time-like region



Nucleon form factors

Time-like region ($q^2 > 0$)



- Crossing symmetry:

$$\langle N(p') | j^\mu | N(p) \rangle \rightarrow \langle \bar{N}(p') N(p) | j^\mu | 0 \rangle$$

- Form factors are complex functions of q^2

Optical theorem

$$\text{Im} \langle \bar{N}(p') N(p) | j^\mu | 0 \rangle \sim \sum_n \langle \bar{N}(p') N(p) | j^\mu | n \rangle \langle n | j^\mu | 0 \rangle \implies \begin{cases} \text{Im} F_{1,2} \neq 0 \\ \text{for } q^2 > 4M_\pi^2 \end{cases}$$

$|n\rangle$ are on-shell intermediate states: $2\pi, 3\pi, 4\pi, \dots$

Time-like asymptotic behavior

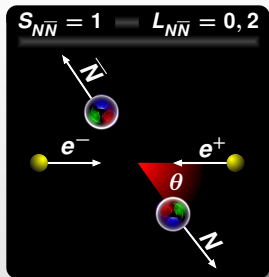
Phragmén Lindelöf theorem:

If $f(z) \rightarrow a$ as $z \rightarrow \infty$ along a straight line, and $f(z) \rightarrow b$ as $z \rightarrow \infty$ along another straight line, and $f(z)$ is regular and bounded in the angle between, then $a = b$ and $f(z) \rightarrow a$ uniformly in this angle.

$$\underbrace{\lim_{q^2 \rightarrow -\infty} G_{E,M}(q^2)}_{\text{space-like}} = \lim_{q^2 \rightarrow +\infty} \underbrace{G_{E,M}(q^2)}_{\text{time-like}}$$

$$\underbrace{G_{E,M}}_{q^2 \rightarrow +\infty} \sim (q^2)^{-2} \quad \text{real}$$

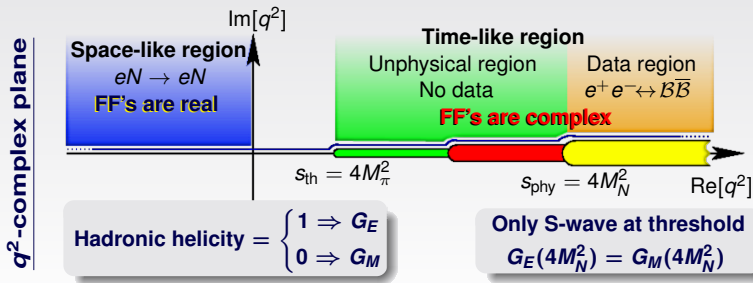
Cross section and analyticity



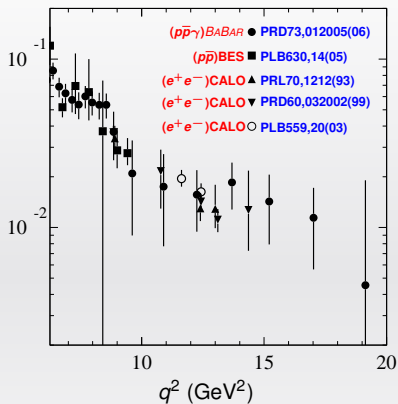
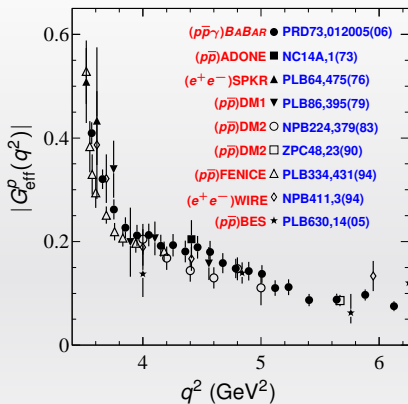
Annihilation cross section formula

$$\frac{d\sigma}{d\Omega} = \frac{\alpha^2 \beta \mathcal{C}}{4q^2} \left[(1 + \cos^2 \theta) |G_M|^2 + \frac{1}{\tau} \sin^2 \theta |G_E|^2 \right]$$

- Outgoing nucleon velocity: $\beta = \sqrt{1 - 1/\tau}$
- Coulomb correction: $\mathcal{C} = \frac{\pi\alpha/\beta}{1 - \exp(-\pi\alpha/\beta)}$



Time-like magnetic proton form factor



Data obtained with $|G_M^p| = |G_E^p| \equiv |G_{\text{eff}}^p|$ (assumed true only at threshold)

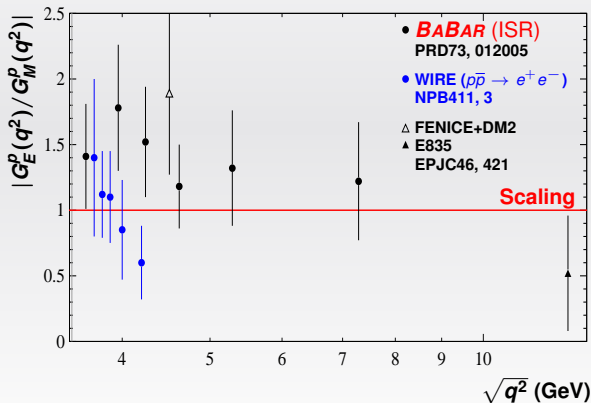
$$|G_{\text{eff}}^p|^2 = \frac{\sigma_{p\bar{p}}(q^2)}{\frac{16\pi\alpha^2 C}{3} \frac{\sqrt{1-1/\tau}}{4q^2} \left(1 + \frac{1}{2\tau}\right)}$$



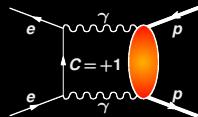
Time-like $|G_E^p/G_M^p|$ measurements

$$\frac{d\sigma}{d\cos\theta} = \frac{\pi\alpha^2\beta C}{2q^2} |G_M^p|^2 \left[(1 + \cos^2\theta) + \frac{4M_p^2}{q^2\mu_p^2} \sin^2\theta |R|^2 \right]$$

$$R(q^2) = \mu_p \frac{G_E^p(q^2)}{G_M^p(q^2)}$$



$\gamma\gamma$ exchange



$\gamma\gamma$ exchange interferes with the Born term

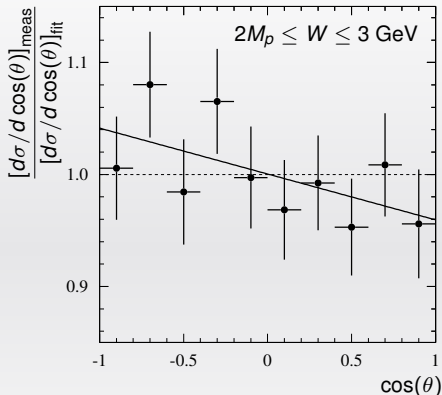


Asymmetry in angular distributions
 [E. Tomasi-Gustafsson,

M.P. Rekalo, PLB504,291(01)]

$\gamma\gamma$ exchange from $e^+e^- \rightarrow p\bar{p}\gamma$ *BABAR* 2013 data

Phys. Lett. B659 (2008) 197
arXiv:1302.0055



Integrated over the $p\bar{p}$ -CM energy
from threshold up to 3 GeV

The MC-fit assumes
one-photon exchange

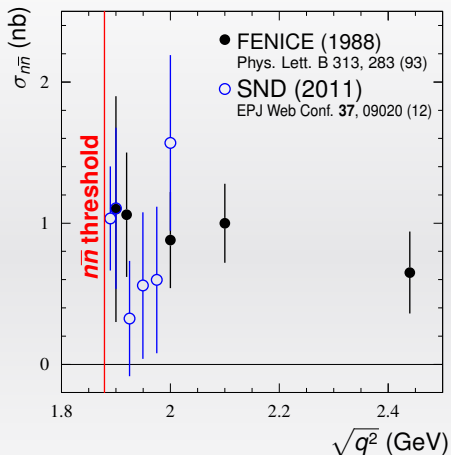
Slope = $-0.041 \pm 0.026 \pm 0.005$

Integral asymmetry

$$\langle \mathcal{A} \rangle_{\cos \theta_p} = \frac{\sigma(\cos \theta_p > 0) - \sigma(\cos \theta_p < 0)}{\sigma(\cos \theta_p > 0) + \sigma(\cos \theta_p < 0)} = -0.025 \pm 0.014 \pm 0.003$$

$\sigma(\cos \theta_p \geq 0)$ is the cross section integrated with $W \leq 3 \text{ GeV}$ and $\cos \theta_p \geq 0$

$$e^+e^- \rightarrow n\bar{n}$$



A very large cross section also at threshold

Still room for β like rising

	$ G_M^n / G_M^p $
Data	~ 1.5
Naively	$\sim Q_d / Q_u $
pQCD	< 1
Soliton models	~ 1
VMD (Dubnicka)	$\gg 1$

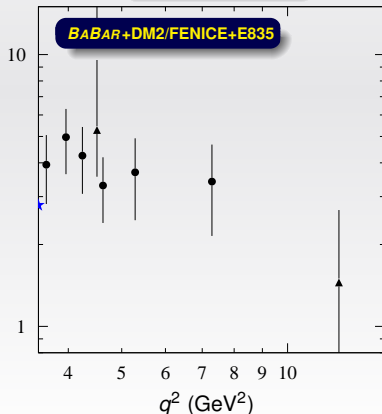
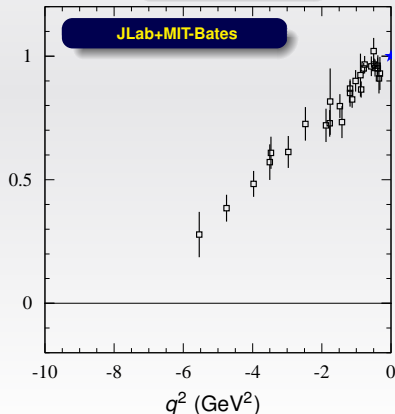


The ratio $R = \mu_p G_E^p / G_M^p$

- Dispersion relation for the imaginary part
- Model-independent approach
 - **First time-like $|G_E| - |G_M|$ separation**
 - ⇒ **Ratio in the whole q^2 complex plane**



$$R(q^2) = R(0) + \frac{q^2}{\pi} \int_{4M_\pi^2}^{\infty} \frac{\text{Im}R(s)}{s(s - q^2)} ds$$


 Re q^2
 $R(q^2)$ space-like $|R(q^2)|$ time-like

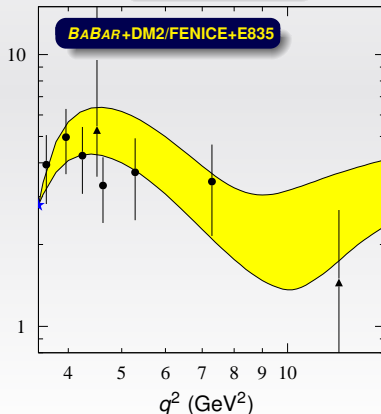
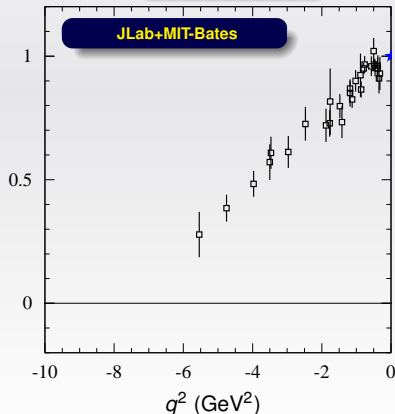
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$\text{Re}q^2$

$R(q^2)$ space-like

$|R(q^2)|$ time-like

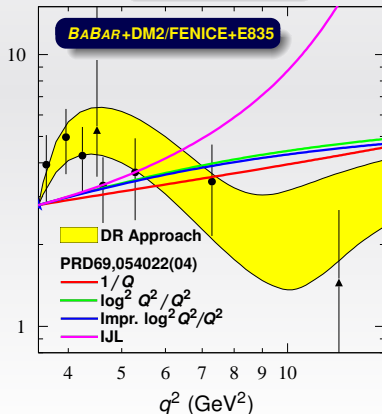
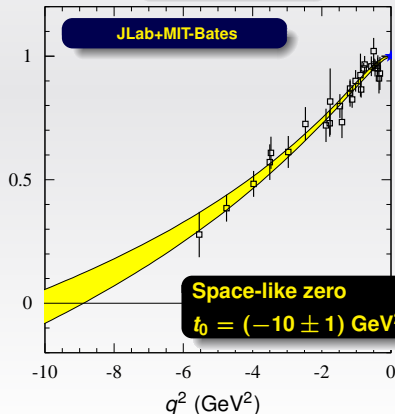


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$R(q^2)$ space-like

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$\text{Re}q^2$

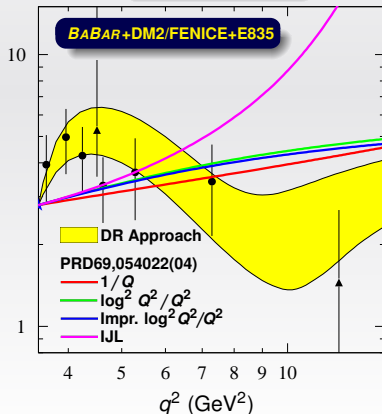
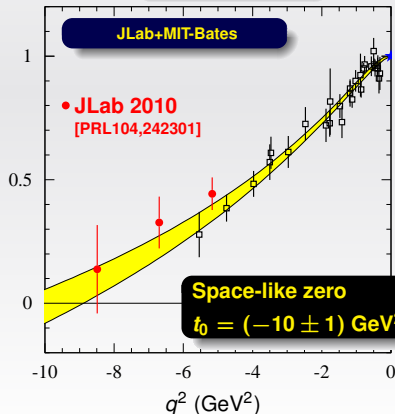


$$R(q^2) = R(0) + \frac{q^2}{\pi} \int_{4M_\pi^2}^{\infty} \frac{\text{Im}R(s)}{s(s - q^2)} ds$$

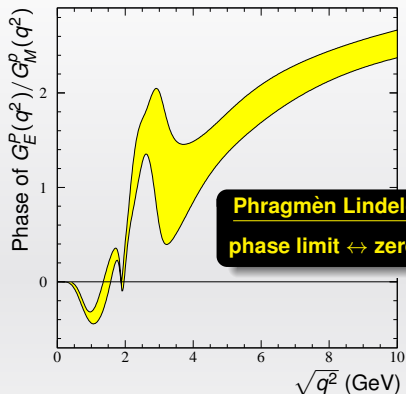
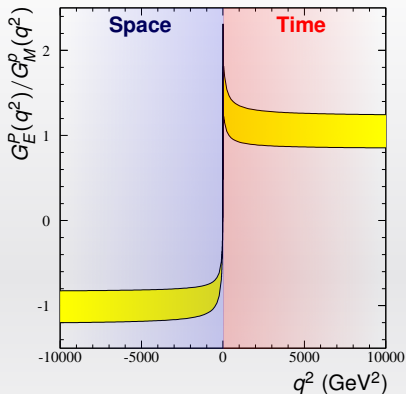
$R(q^2)$ space-like

$|R(q^2)|$ time-like

$\text{Re}q^2$



Asymptotic $G_E^P(q^2)/G_M^P(q^2)$ and phase



pQCD prediction

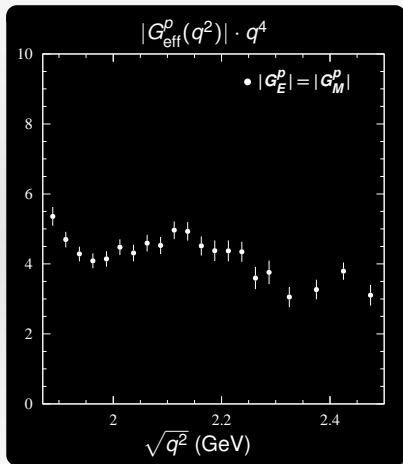
$$\frac{G_E^P(q^2)}{G_M^P(q^2)} \xrightarrow{|q^2| \rightarrow \infty} -1$$

Phase from DR

$$\phi(q^2) = -\frac{\sqrt{q^2 - s_{th}}}{\pi} \text{Pr} \int_{s_{th}}^{\infty} \frac{\ln |R(s)| ds}{\sqrt{s - s_{th}}(s - q^2)}$$



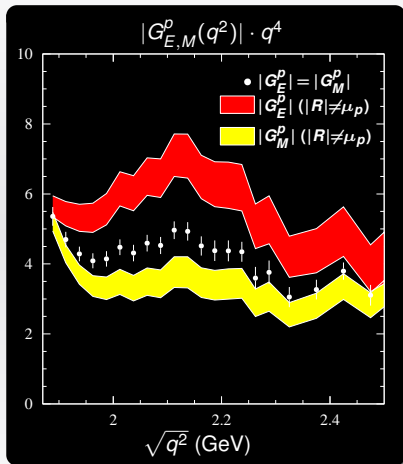
$|G_E^p(q^2)|$ and $|G_M^p(q^2)|$ from $\sigma_{p\bar{p}}$ and DR



$$|G_{\text{eff}}^p(q^2)|^2 = \frac{\sigma_{p\bar{p}}(q^2)}{\frac{4\pi\alpha^2\beta C}{3s}} \left(1 + \frac{1}{2\tau}\right)^{-1}$$

- Usually what is extracted from the cross section $\sigma(e^+e^- \rightarrow p\bar{p})$ is the effective time-like form factor $|G_{\text{eff}}^p|$ obtained assuming $|G_E^p| = |G_M^p|$ i.e. $|R| = \mu_p$
- Using our parametrization for R and the *BABAR* data on $\sigma(e^+e^- \rightarrow p\bar{p})$, $|G_E^p|$ and $|G_M^p|$ may be disentangled

$|G_E^p(q^2)|$ and $|G_M^p(q^2)|$ from $\sigma_{p\bar{p}}$ and DR



$$|G_M^p(q^2)|^2 = \frac{\sigma_{p\bar{p}}(q^2)}{\frac{4\pi\alpha^2\beta C}{3s}} \left(1 + \frac{|R(q^2)|}{2\mu_p\tau} \right)^{-1}$$

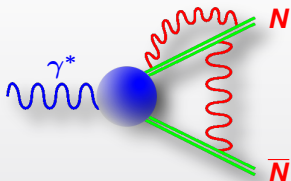
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- Using our parametrization for R and the *BABAR* data on $\sigma(e^+e^- \rightarrow p\bar{p})$, $|G_E^p|$ and $|G_M^p|$ may be disentangled



**Sommerfeld
resummation factor
needed?**



The Coulomb Factor



$$\sigma_{p\bar{p}} = \frac{4\pi\alpha^2\beta C}{3q^2} \left[|G_M^p(q^2)|^2 + \frac{2M_p^2}{q^2} |G_E^p(q^2)|^2 \right]$$

C describes the $p\bar{p}$ Coulomb interaction as FSI

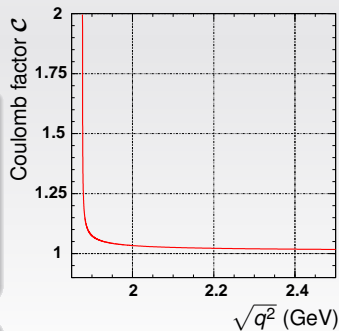
[Sommerfeld, Sakharov, Schwinger, Fadin, Khoze]

Distorted wave approximation

$$C = |\Psi_{\text{Coul}}(0)|^2$$

- S-wave: $C = \frac{\frac{\pi\alpha}{\beta}}{1 - \exp\left(-\frac{\pi\alpha}{\beta}\right)} \xrightarrow{\beta \rightarrow 0} \frac{\pi\alpha}{\beta}$
- Rel. corr.: $\beta \rightarrow \tilde{\beta} = \frac{\beta}{1 - \beta}$ [hep-ph/05090089]
- D-wave: $C = 1$

No Coulomb factor for boson pairs (P-wave)



Enhancement and Resummation Factors

Coulomb factor \mathcal{C} for S-wave only

- Partial wave FF..... $G_S = (2G_M\sqrt{\tau} + G_E)/3$, $G_D = (G_M\sqrt{\tau} - G_E)/3$
- Cross section $\sigma(q^2) = 2\pi\alpha^2 \frac{\beta}{\tau q^2} [\mathcal{C} |G_S(q^2)|^2 + 2|G_D(q^2)|^2]$
- Enhancement and Resummation factors $\mathcal{C} = \mathcal{E} \times \mathcal{R}$

Enhancement factor

$$\mathcal{E} = \frac{\pi\alpha}{\beta}$$

- It is responsible for the **one-photon exchange** $p\bar{p}$ FSI

- dominates close to threshold: $\mathcal{C} \approx \mathcal{E}$
 $\beta \approx 0$

- cancels the phase-space factor \Rightarrow

stepwise cross section at threshold

$$\sigma_{p\bar{p}}(4M_p^2) = \frac{\pi^2 \alpha^3}{2M_p^2} \frac{\cancel{\beta}}{\cancel{\beta}} |G_S^p(4M_p^2)|^2 = 0.85 |G_S^p(4M_p^2)|^2 \text{ nb}$$

Resummation factor

$$\mathcal{R} = \frac{1}{1 - e^{-\frac{\pi\alpha}{\beta}}}$$

- It is responsible for the **multi-photon exchange** $p\bar{p}$ FSI

- becomes ineffective few MeV above threshold: $\mathcal{R} \approx 1$
 $\beta > 0$

- must account also for gluon exchange

$$\mathcal{R} \rightarrow \mathcal{R}_s = \left[1 - \exp(-\pi\alpha_s/\tilde{\beta}) \right]^{-1}$$

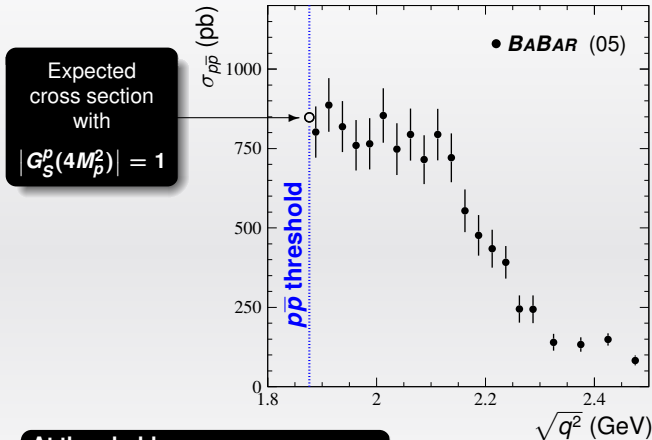
$$\alpha_s \approx 0.5$$

$$\tilde{\beta} = \beta/(1-\beta)$$

Step and plateau in *BABAR* data

... another possibility (Vladimir F. Dmitriev)

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At threshold

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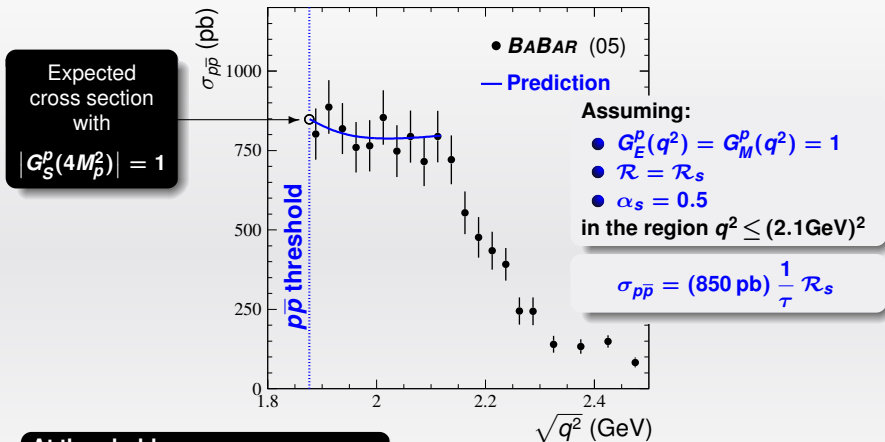


$|G_S^p(4M_p^2)| \equiv 1$
as pointlike fermion pairs!



Step and plateau in *BABAR* data

... another possibility (Vladimir F. Dmitriev)



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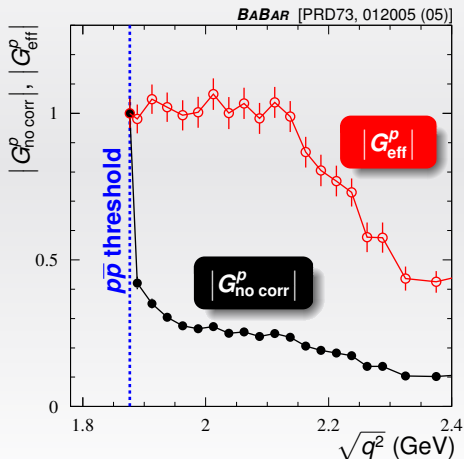


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BABAR: G_{eff}^p including threshold effects

$$|G_{\text{no corr}}^p(q^2)|^2 = \frac{\sigma_{p\bar{p}}(q^2)}{\mathcal{E}\mathcal{R} \frac{16\pi\alpha^2}{3} \frac{\beta}{4q^2} \left(1 + \frac{1}{2\tau}\right)}$$

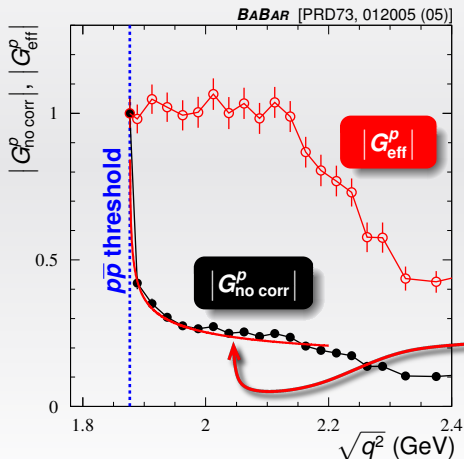
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$$\frac{1}{\sqrt{\mathcal{R}}} = \sqrt{1 - e^{-\frac{\pi\alpha}{\beta}}}$$

Experiments: now and future

Space-like region



Mainz

- G_E^n at $-q^2 = 1.5 \text{ GeV}^2$ (Pol. ^3He)
- G_E^p and G_M^p for $-q^2 \leq 2.0 \text{ GeV}^2$

Jefferson Lab

- [Hall A] G_E^n / G_M^n up to 10.2 GeV^2
- [Hall B] G_M^n (deuterium) up to 14 GeV^2
- [Hall A] G_M^n (ratio) up to 18 GeV^2
- [Hall C] G_E^n up to 7 GeV^2

Time-like region



at VEPP-2000
 e^+e^- collider



$|G_{\text{eff}}^p|, |G_{\text{eff}}^n|$ (scan)
 $q^2 \leq (4 \text{ GeV})^2$



BESIII

at BEPCII
 e^+e^- collider

$|G_E^p|, |G_M^p|, |G_{\text{eff}}^n|$ (scan and ISR)
 $q^2 \leq (3.5 \text{ GeV})^2$



at FAIR
 $p\bar{p}$ collider

$|G_E^p|, |G_M^p|, G_E^p / G_M^p$ phase (\bar{p} polarization)
 $(2.4 \text{ GeV})^2 \leq q^2 \leq (3.7 \text{ GeV})^2$



at SuperKEKB
 e^+e^- collider

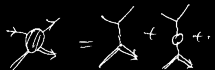
?

$|G_E^p|, |G_M^p|$, (ISR)
 $q^2 \leq (4.5 \text{ GeV})^2$

Nucleon form factors: theory and phenomenology

Radiative corrections

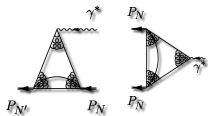
- Values of G_E^p / G_M^p from **Rosenbluth** and **polarization transfer** techniques, that have different radiative corrections, **do not agree**.
- Form factor values are obtained from cross section at **first order** (Born approx.). G_E and G_M appear in the Born amplitude with **different kinematical factors**.
- Radiative corrections depending on **relevant kinematical variables** must be applied.



Models that reproduce **proton and neutron, electric and magnetic** form factors in **space-like and time-like** regions

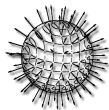
• **Light-front constituent quark model** [G. Salmè *et al.*]

Space-like and time-like nucleon form factors are determined in the framework of a relativistic quark model based on Bethe-Salpeter amplitudes and vector meson dominance.



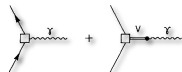
• **Skyrme model with vector mesons** [U.-G. Meissner *et al.*]

Space-like form factors, obtained as Fourier transforms of charge and magnetic moment distributions, can be analytically continued in the time-like region. Desired time-like imaginary parts are obtained even though they appear quite "unstable". [See **Simone Moretti** poster].



• **VMD based models** [Gari, Krümpelmann, Iachello, Jackson, Landé, ...]

Nucleon form factors are parametrized using a mixture of VMD and pQCD. At low energy the coupling to the photons is described through VM exchange. At high energy "hadron/quark" form factors drive the transition to pQCD.



“To do” list



Time-like $|G_E| - |G_M|$ separation:

DR and data



Understanding threshold effect(s):



Dispersive analyses: integral equation, sum rule,...



Experimental observation in $p\bar{p} \rightarrow \pi^0 l^+ l^-$

[PRC75,045205(07)]



Asymptotic behavior: DR and data for the phase



Zeros \leftrightarrow phases: DR and data

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