

# Charm: Mixing, CP Violation and Rare Decays at LHCb

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On behalf of the LHCb Collaboration

PhiPsi 13, 11<sup>th</sup> September, 2013

# Outline

## Introduction

## Mixing

Mixing & Indirect CPV from  $D^0 \rightarrow K^+ \pi^-$

## CP Violation

Indirect CPV from  $A_\Gamma$  with  $D^0 \rightarrow h^+ h^-$

Direct CPV from  $\Delta\mathcal{A}^{\text{CP}}$  with  $D^0 \rightarrow h^+ h^-$

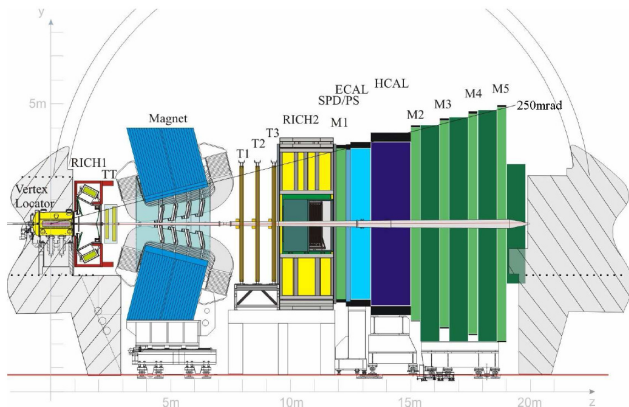
## Rare Decays

$D^+_{(s)} \rightarrow \pi^+ \mu^+ \mu^-$  and  $D^+_{(s)} \rightarrow \pi^- \mu^+ \mu^+$

$D^0 \rightarrow \mu^+ \mu^-$

## Conclusions

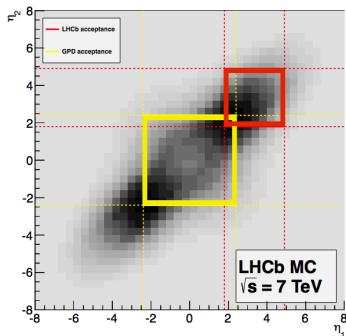
# The LHCb Detector



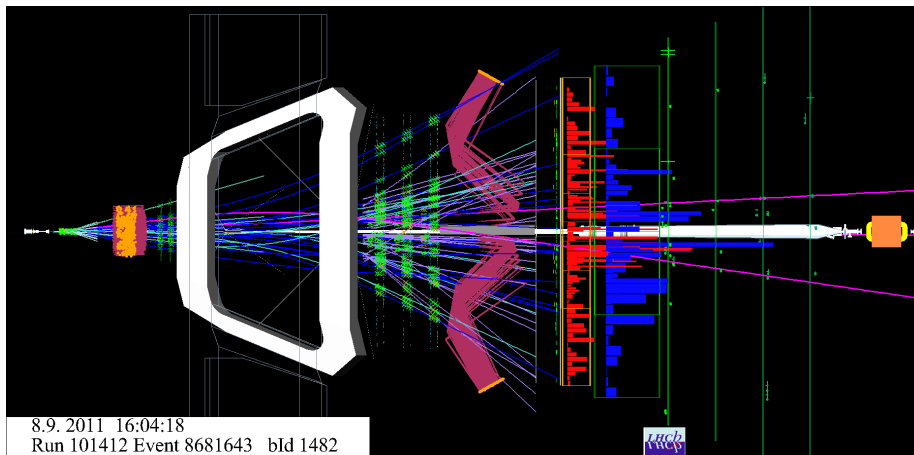
- VERtix LOcator (VELO) provides excellent decay-time resolution  $\sim 50$  fs - essential for time dependent analyses.
- Downstream tracking gives precise  $p$  measurements,  $\sigma(p)/p \sim 0.5\%$ , resulting in excellent mass resolutions.
- Two Ring Imaging CHerenkov (RICH) detectors provide very clean  $\pi/K$  separation.

## Charm Triggering

- Huge  $c\bar{c}$  cross-section:  $\sim 1.4$  mb within LHCb acceptance.
- L0 hardware trigger fires on high  $p_T$  hadrons or charged leptons - not very efficient for charm.
- In first stage software trigger most hadronic modes trigger on single high  $p_T$  track with large displacement from primary interaction.
- Second stage uses full event reconstruction & predominantly “exclusive” selections on full decays using PID, mass, vertexing, etc, criteria.
- Full trigger serves to reduce 40 MHz bunch crossing rate to tape rates for charm of 1 kHz in 2011 & 2 kHz in 2012.
- $1.1 \text{ fb}^{-1}$  recorded in 2011 at  $\sqrt{s} = 7 \text{ TeV}$  &  $2.1 \text{ fb}^{-1}$  in 2012 at  $\sqrt{s} = 8 \text{ TeV}$ , yielding worlds largest charm samples.



## Event Display



- Example  $\mu^+ \mu^-$  event.

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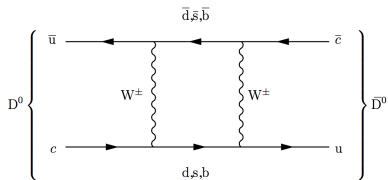
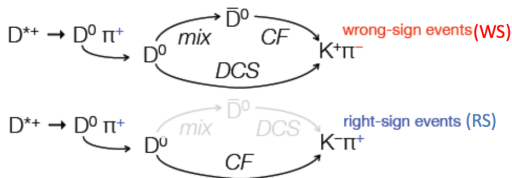
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$D^+_{(s)} \rightarrow \pi^+\mu^+\mu^-$  and  $D^+_{(s)} \rightarrow \pi^-\mu^+\mu^+$

$D^0 \rightarrow \mu^+\mu^-$

## Conclusions

# Formalism



- “Box diagram” mixing in  $D^0$  system can be enhanced by NP.
- Ratio of WS to RS decay rates vs decay time give access to mixing parameters (assuming no CPV):

$$R(t) = \frac{N_{WS}(t)}{N_{RS}(t)} = R_D + \sqrt{R_D} y' t + \frac{x'^2 + y'^2}{4} t^2.$$

where:

$$R_D = \left| \frac{A_{DCS}}{A_{CF}} \right|^2, \quad x' = x \cos(\delta) + y \sin(\delta), \quad y' = -x \sin(\delta) + y \cos(\delta),$$

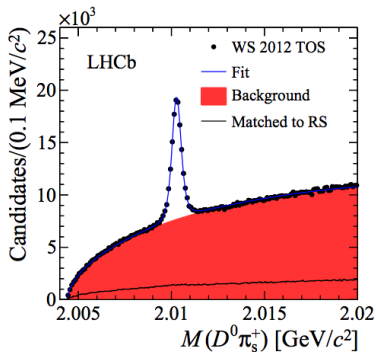
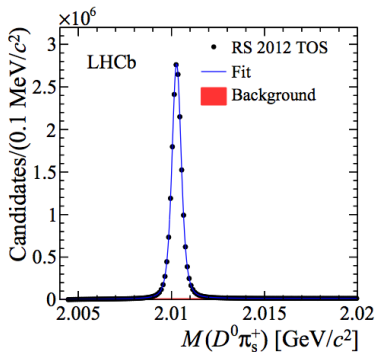
$$\delta = \arg \left( \frac{A_{DCS}}{A_{CF}} \right), \quad x = \frac{\Delta m_{D^0}}{m_{D^0}}, \quad y = \frac{\Delta \Gamma_{D^0}}{2\Gamma_{D^0}}.$$

- Analysing  $D^0$  and  $\bar{D}^0$  separately gives sensitivity to CPV.

# Strategy

[PRL 110, 101802 (2013)]

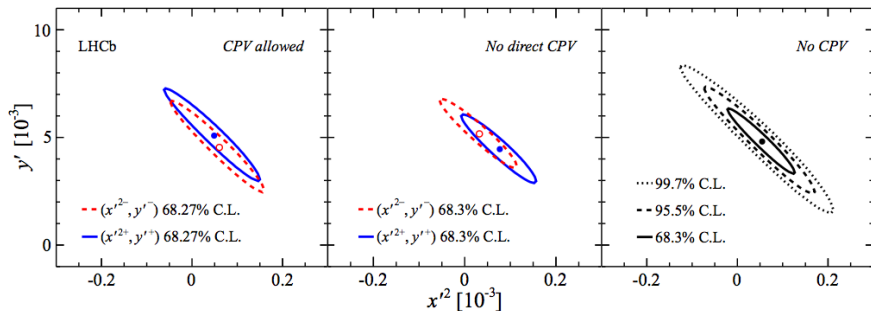
- Flavour tag using  $D^{*+} \rightarrow D^0 \pi_s^+$ .
- Suppress contribution from  $D^0$  produced in  $B \rightarrow D^0 X$  with tight cut on  $D^0 \chi_{IP}^2$  - estimate systematic for remaining bias.
- Divide WS and RS candidates in bins of decay time.
- Fit  $m_{D^{*+}}$  in each bin to obtain ratio of yields.
- Fit yield ratio vs decay time with  $R(t)$  to obtain  $R_D$ ,  $x'^2$  and  $y'$ .





## Results

[PRL 110, 101802 (2013)]



- Using  $3.0 \text{ fb}^{-1}$  find:

$$x'^2 = (5.5 \pm 4.9) \times 10^{-5}, \quad y' = (4.8 \pm 1.0) \times 10^{-3},$$

$$R_D = (3.568 \pm 0.066) \times 10^{-3}.$$

- No mixing hypothesis excluded at  $> 10\sigma$ .
- $x'^2$  and  $y'$  consistent for  $D^0$  and  $\bar{D}^0$  - no evidence for CPV.

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$D^0 \rightarrow \mu^+\mu^-$

## Conclusions

## Formalism

- CPV in charm difficult to predict precisely in SM, but is “small” and can be enhanced by NP.
- CP asymmetry of effective  $D^0$  lifetime decaying to CP eigenstate sensitive to indirect CPV:

$$A_\Gamma = \frac{\tau_{\text{eff}}(\bar{D}^0 \rightarrow h^+h^-) - \tau_{\text{eff}}(D^0 \rightarrow h^+h^-)}{\tau_{\text{eff}}(\bar{D}^0 \rightarrow h^+h^-) + \tau_{\text{eff}}(D^0 \rightarrow h^+h^-)} \\ \simeq \left[ \frac{1}{2}(A_m + A_d)y \cos \phi - x \sin \phi \right],$$

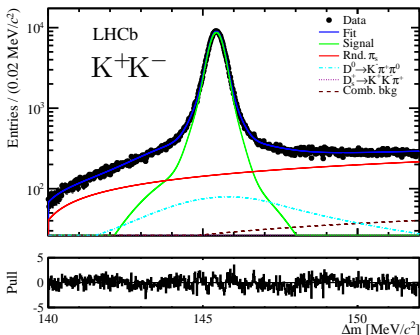
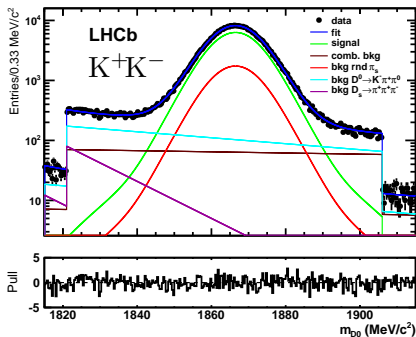
where:

$$A_m = \frac{|q/p|^2 - |p/q|^2}{|q/p|^2 + |p/q|^2}, \quad A_d = \frac{|A_f/\bar{A}_f|^2 - |\bar{A}_f/A_f|^2}{|A_f/\bar{A}_f|^2 + |\bar{A}_f/A_f|^2}, \quad \phi = \arg\left(\frac{q}{p} \frac{\bar{A}_f}{A_f}\right).$$

## Strategy

- Two possible methods to extract  $A_{\Gamma}$ :
  - Fit decay-time distributions of  $D^0$  and  $\bar{D}^0$  to extract lifetimes - requires correction of candidate selection bias.
  - Extract  $N(D^0)/N(\bar{D}^0)$  in bins of decay time & obtain  $A_{\Gamma}$  from time dependence of ratio.
- Both techniques employed at LHCb & found to give consistent results - lifetimes method taken as baseline result.
- Flavour tag using  $D^{*+} \rightarrow D^0\pi_s^+$ .
- Fits to  $m_{D^0}$  and  $\Delta m = m_{D^{*+}} - m_{D^0}$  distributions used to determine signal yields & distinguish backgrounds.
- Data-driven, candidate-by-candidate method used to correct for selection bias in decay-time fit for lifetimes.
- $D^0$  produced by  $B \rightarrow D^0 X$  distinguished by also fitting  $\chi_{IP}^2$  of  $D^0$ .
- Both  $K^+K^-$  and  $\pi^+\pi^-$  final states used.

## Mass Fits

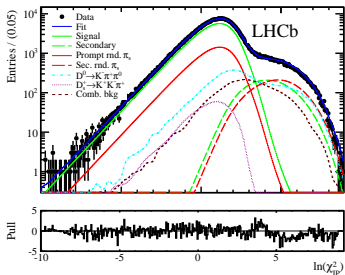
[LHCb-PAPER-2013-054  
in preparation]

- Data divided by flavour tag, magnet polarity, & two running periods (before and after July 2011 technical stop).
- Fits performed independently on all 8 subsets.
- 4.8M  $K^+K^-$  and 1.5M  $\pi^+\pi^-$  candidates in total ( $1.1 \text{ fb}^{-1}$ ).
- Part-rec. 3-body D decays also distinguished for  $K^+K^-$ .

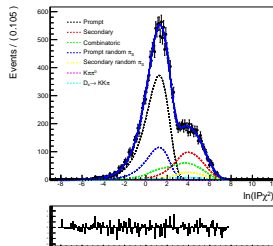
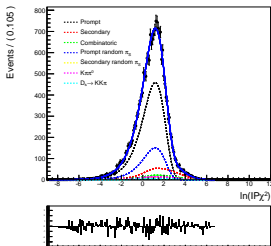
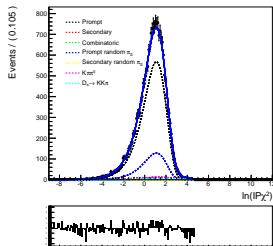
# Secondaries Discrimination

[LHCb-PAPER-2013-054  
in preparation]

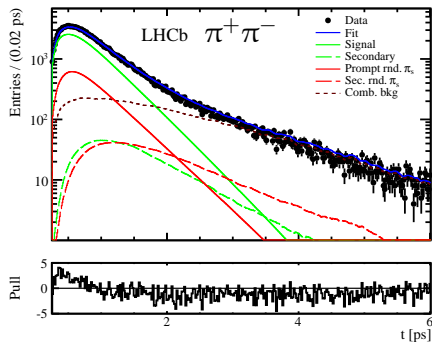
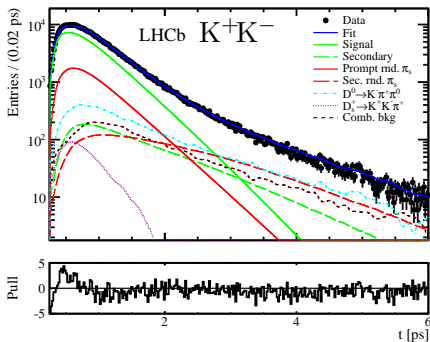
$K^+K^-$



- Secondary  $D^0$  well discriminated by fit to  $\chi^2_{IP}$ .
- Time evolution clear from fits in bins of decay time (alternative fit method).
- Determine  $\sim 3.11\text{M } K^+K^-$  and  $\sim 1.03\text{M } \pi^+\pi^-$  prompt signal candidates.



## Decay Time Fits

[LHCb-PAPER-2013-054  
in preparation]

- Acceptance vs decay time reproduced well by data-driven technique.
- Small inaccuracies in fit models studied in detail on simulation & systematic uncertainty applied.

# Results

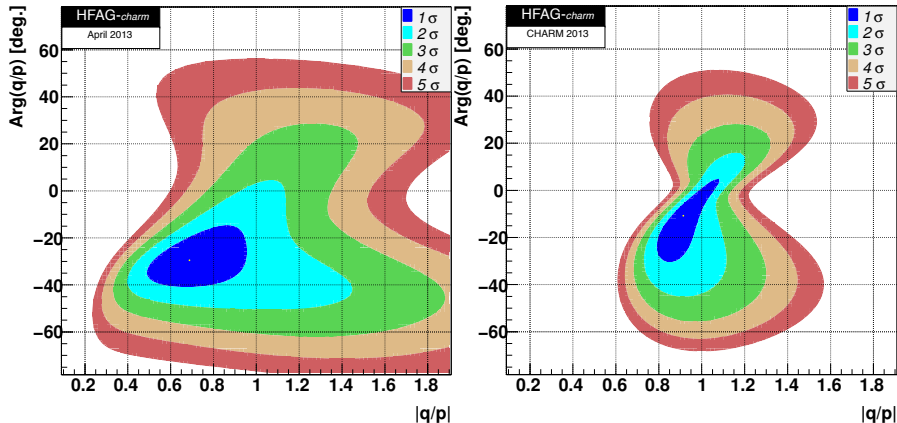
[LHCb-PAPER-2013-054  
in preparation]

$$A_{\Gamma}^{KK} = (-0.35 \pm 0.62 \pm 0.12) \times 10^{-3},$$
$$A_{\Gamma}^{\pi\pi} = (0.33 \pm 1.03 \pm 0.14) \times 10^{-3}.$$

- Consistent with zero - no evidence for CPV.
- Dominate systematics from accuracy of acceptance correction & modelling of backgrounds.
- Paper in preparation.



## Indirect CPV HFAG Averages

[Charm 2013]  
[HFAG]

- Big improvement in precision on averages of CPV parameters  $|q/p|$  and  $\arg(q/p)$  from latest LHCb results.
- Latest averages more consistent with no CPV,  $(|q/p|, \arg(q/p)) = (1, 0)$ .

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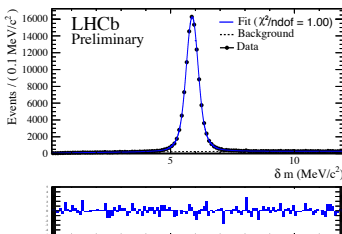
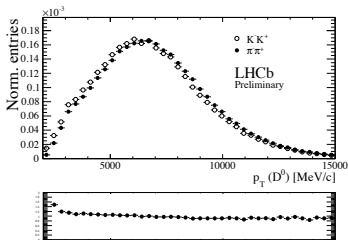
## Formalism

[LHCb-CONF-2013-003]

- Measure difference of time integrated asymmetries in  $K^+K^-$  and  $\pi^+\pi^-$  final states to quantify direct CPV:

$$\Delta\mathcal{A}^{\text{CP}} = \mathcal{A}^{\text{CP}}(K^+K^-) - \mathcal{A}^{\text{CP}}(\pi^+\pi^-).$$

- Detection & production asymmetries largely cancel in difference - very robust against systematics.
- Re-weight  $K^+K^-$  candidates to match kinematic distributions of  $\pi^+\pi^-$  to further improve cancellation of nuisance asymmetries.
- Fit  $\Delta m$  distribution to obtain yields.



# Results

[LHCb-CONF-2013-003]  
 [Phys. Lett. B 723 (2013) 33-43]  
 [More info: Charm 2013]

- 2012 measurement on  $0.6 \text{ fb}^{-1}$  ( $D^{*+} \rightarrow D^0\pi_s^+$  tagged):

$$\Delta\mathcal{A}^{\text{CP}} = -0.82 \pm 0.21 \pm 0.11\%.$$

- Reinforced by measurements with same sign from CDF and BELLE, causing tension with CP conservation in world average.
- 2013 update on  $1 \text{ fb}^{-1}$ :

$$\Delta\mathcal{A}^{\text{CP}} = -0.34 \pm 0.15 \pm 0.10\%.$$

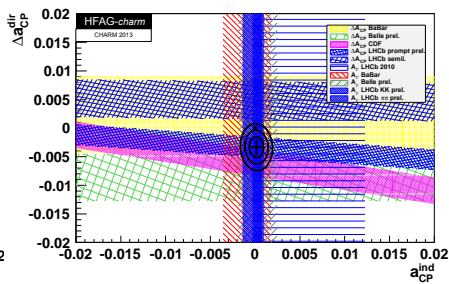
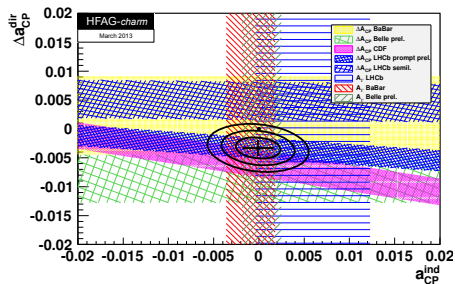
- Semi-leptonic tagged ( $B^+ \rightarrow D^0\mu^+X$ ) result on  $1 \text{ fb}^{-1}$ :

$$\Delta\mathcal{A}^{\text{CP}} = +0.49 \pm 0.30 \pm 0.14\%.$$

- LHCb average:

$$\Delta\mathcal{A}^{\text{CP}} = -0.15 \pm 0.16\%.$$

## Average Direct &amp; Indirect CPV

[Charm 2013]  
[HFAG]

- Both direct & indirect CPV averages dominated by LHCb.

$$a_{CP}^{\text{ind}} = 0.015 \pm 0.052\%, \quad \Delta a_{CP}^{\text{dir}} = -0.330 \pm 0.120\%.$$

- Consistent with CP conservation at 2.0 % level - tension driven by  $\Delta\mathcal{A}^{\text{CP}}$ .

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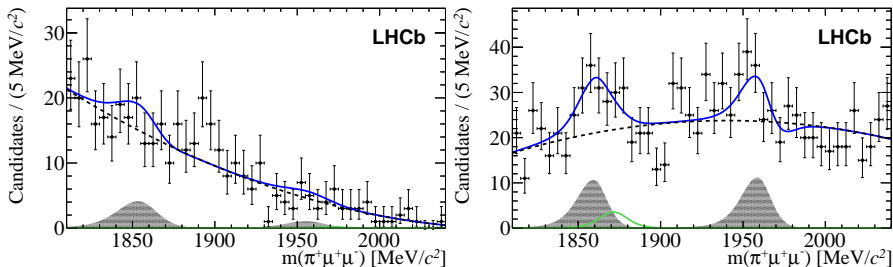
$D^0 \rightarrow \mu^+ \mu^-$

## Conclusions

# Strategy

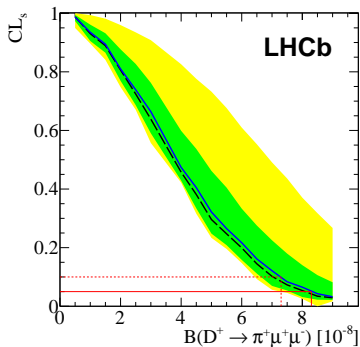
[PLB 724 (2013) 203-212]

- NP can significantly enhance  $\mathcal{B}$  of very rare decays in SM.
- LFV processes forbidden in SM - could occur with existence of, e.g. Majorana neutrino.
- Fit  $\pi\mu\mu$  invariant mass to determine yields in bins of  $\mu^+\mu^-/\pi^-\mu^+$  invariant mass.
- Use well measured  $\mathcal{B}(D^+_{(s)} \rightarrow \pi^+(\phi \rightarrow \mu^+\mu^-))$  to normalise yields in bins not including resonances to extract  $\mathcal{B}$  of non-resonant decays.
- Main background from  $D^+_{(s)} \rightarrow \pi^+\pi^+\pi^-$ .



## Results

[PLB 724 (2013) 203-212]



- 90 % CLs from  $1 \text{ fb}^{-1}$ :
- $\mathcal{B}(D^+ \rightarrow \pi^+ \mu^+ \mu^-) < 7.3 \times 10^{-8}$ ,
- $\mathcal{B}(D_s^+ \rightarrow \pi^+ \mu^+ \mu^-) < 4.1 \times 10^{-7}$ ,
- $\mathcal{B}(D^+ \rightarrow \pi^- \mu^+ \mu^+) < 2.2 \times 10^{-8}$ ,
- $\mathcal{B}(D_s^+ \rightarrow \pi^- \mu^+ \mu^+) < 1.2 \times 10^{-7}$ .

- No evidence for FCNC or LFV decays.
- Best limits to date by two orders of magnitude.



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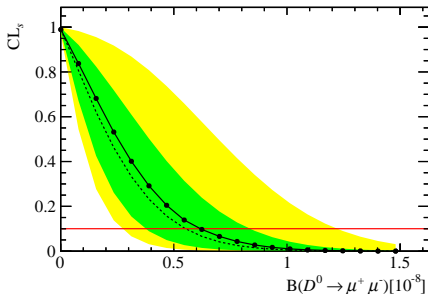
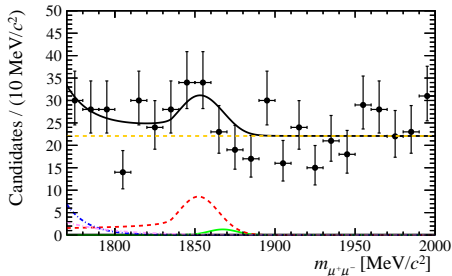
Direct CPV from  $\Delta\mathcal{A}^{\text{CP}}$  with  $D^0 \rightarrow h^+ h^-$

## Rare Decays

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$D^0 \rightarrow \mu^+ \mu^-$

## Conclusions

$D^0 \rightarrow \mu^+ \mu^-$  Results [Phys. Lett. B 725 (2013) 15-24]

$$B(D^0 \rightarrow \mu^+ \mu^-) < 6.2 \times 10^{-9} \text{ (90 \% CL)}$$

- Similar methodology to  $D_{(s)}^+ \rightarrow \pi \mu \mu$ .
- Main background from  $D^0 \rightarrow \pi^+ \pi^-$ .
- No evidence found on  $0.9 \text{ fb}^{-1}$ .
- Order of magnitude tighter constraint than previous best.

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- LHCb is establishing itself as a world leader in high precision charm physics measurements.
- WS/RS  $D^0 \rightarrow K\pi$  confirms charm mixing to high precision with a single measurement.
- World best measurements of indirect & direct CP violation from  $A_F$  and  $\Delta\mathcal{A}^{\text{CP}}$  with  $D^0 \rightarrow h^+h^-$  - now dominating world averages.
- No evidence for CP violation or new physics in the charm system yet.
- No evidence for rare decays  $D_{(s)}^+ \rightarrow \pi\mu\mu$  and  $D^0 \rightarrow \mu^+\mu^-$ .
- Stringent limits set on NP in FCNC and LFV.
- Prospect for significant increase in precision for many measurements by adding  $2.1 \text{ fb}^{-1}$  from 2012.
- Longer term, LHCb upgrade, with  $\sim 10\times$  instantaneous luminosity, will leave NP little room to hide in the charm sector.
- Many excellent measurements made to date - many more to come!

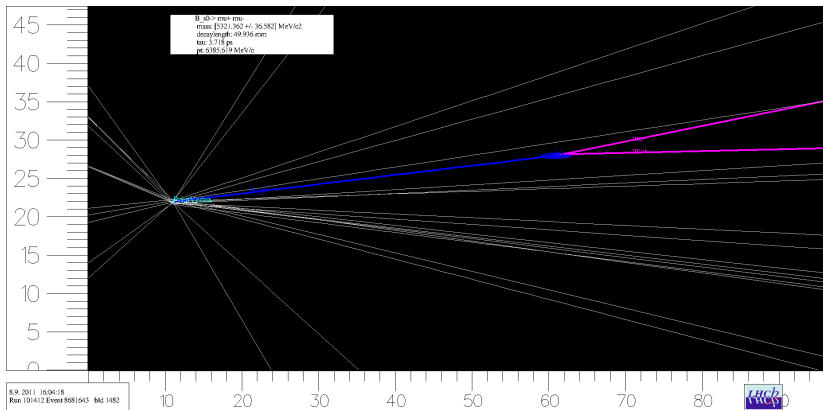
# Backup

# Other LHCb CPV & Rare Decay Results

[JHEP06(2013)112]  
[arXiv:1308.3189 [hep-ex]]  
[LHCb-PAPER-2013-50]

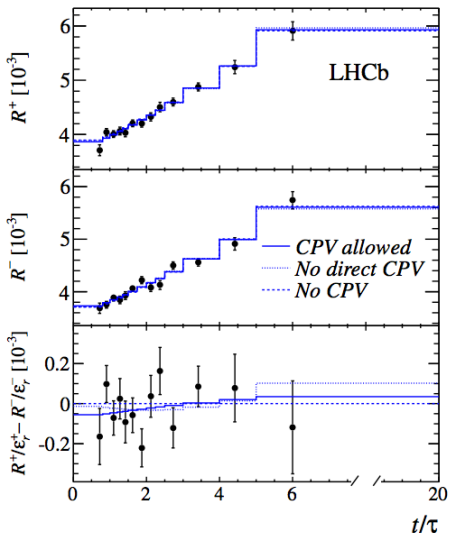
- All 1 fb<sup>-1</sup> from 2011.
- $\mathcal{A}^{\text{CP}}(D^+ \rightarrow \phi\pi^+) = -0.04 \pm 0.14 \pm 0.14\%$  &  
 $\mathcal{A}^{\text{CP}}(D_s^+ \rightarrow K_S^0\pi^+) = +0.61 \pm 0.83 \pm 0.14\%$ .
- Search for anisotropy in Dalitz plot of  $D^0 \rightarrow 4\pi$  &  $D^0 \rightarrow K^+K^-\pi^+\pi^-$  consistent with CP conservation.
- $\mathcal{B}(D^0 \rightarrow \pi^+\pi^-\mu^+\mu^-) < 5.5 \times 10^{-7}$  (90 % CL).
- No evidence for CPV or FCNC decays in charm.

# $\mu^+\mu^-$ Decay Vertex



# WS/RS vs time

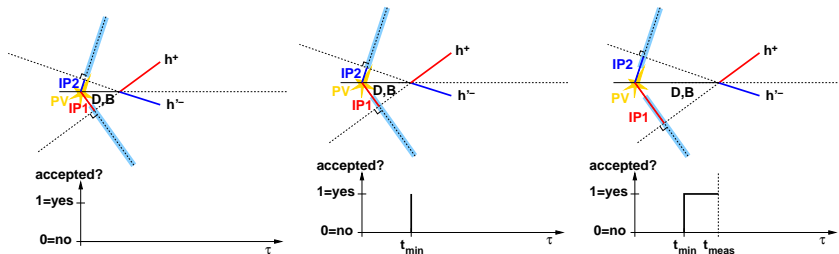
[PRL 110, 101802 (2013)]



- $N(\text{WS})/N(\text{RS})$  vs decay-time for  $D^0$  (top) and  $\bar{D}^0$  (middle).
- Lower plot shows efficiency corrected difference between  $D^0$  and  $\bar{D}^0$  in each bin of decay-time.
- Any constant or time-dependent difference would indicate CPV.
- No significant evidence for CPV.

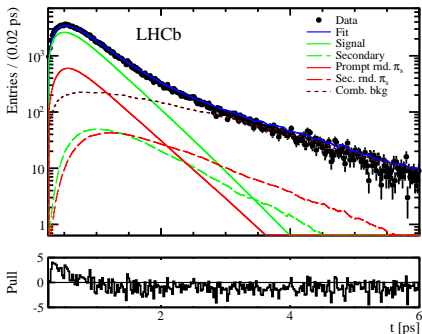
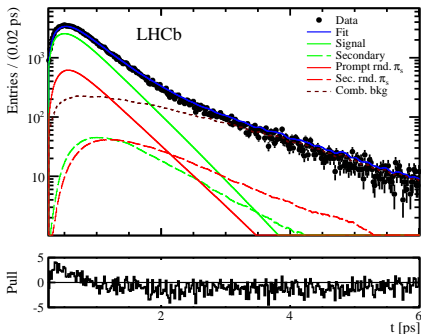


# Swimming Method



- Candidate selection favours longer lived particles & distorts the decay-time distribution.
- “Swimming” is a data-driven method of determining selection efficiency vs decay time *per candidate*.
- Change decay time of given candidate by moving primary vertex (PV) in direction of  $p$  vector of candidate.
- Re-evaluate selection decision at each decay time to build acceptance function.
  - Like asking: “Had this candidate lived *this* long instead, would it have passed the selection?”
  - Software trigger at LHCb essential: allows trigger to be re-run in identical configuration to that during data-taking.

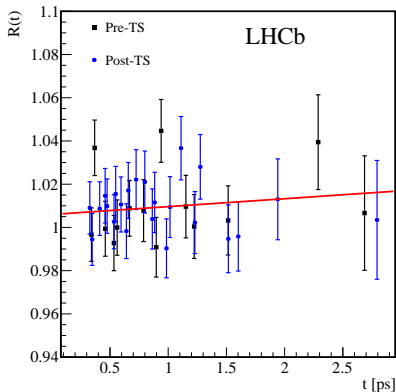
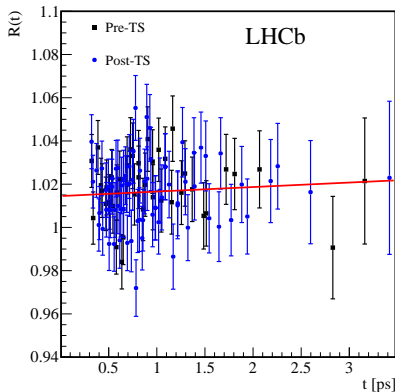
# More $A_\Gamma$ Fits, $\pi^+\pi^-$



- $D^0$  (left) and  $\bar{D}^0$  (right) have same poor pulls.
- Raw lifetimes are slightly biased but biases to  $\tau(D^0)$  and  $\tau(\bar{D}^0)$  are 100 % correlated & cancel in  $A_\Gamma$ .
- Simulation studies also verified cancellation of bias for non-zero  $A_\Gamma$ .

# More $A_F$ Fits, Alternative Fit

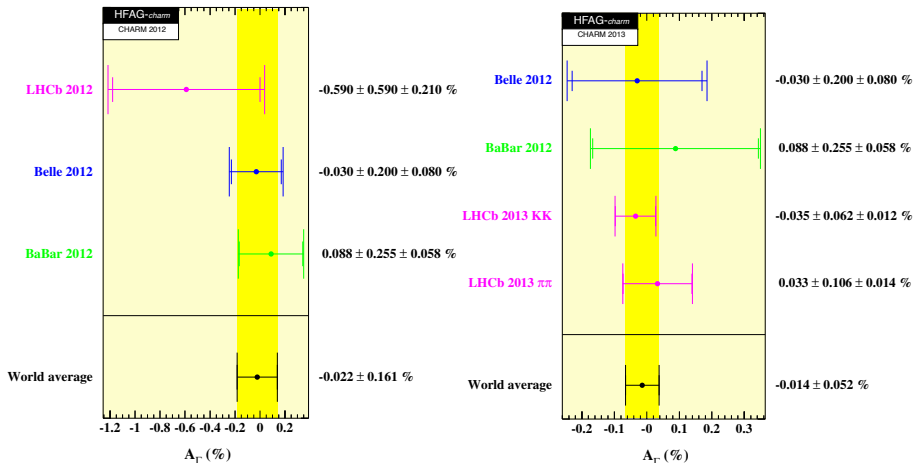
[LHCb-PAPER-2013-054  
in preparation]



- $N(D^0)/N(\bar{D}^0)$  vs decay-time for  $K^+K^-$  (left) and  $\pi^+\pi^-$  (right).
- Results consistent with  $A_F$  from lifetimes technique - also consistent with zero.

# $A_{\Gamma}$ Averages

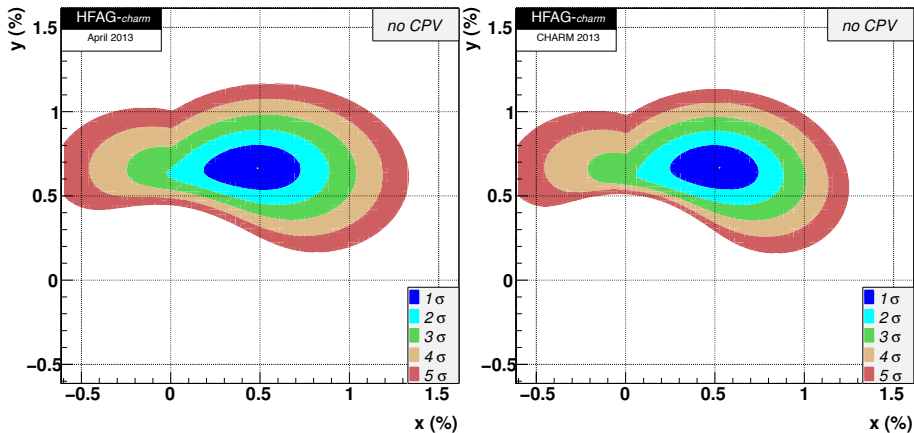
[Charm 2013]  
[HFAG]



- New world average still very much consistent with zero.

# x & y HFAG Averages, no CPV

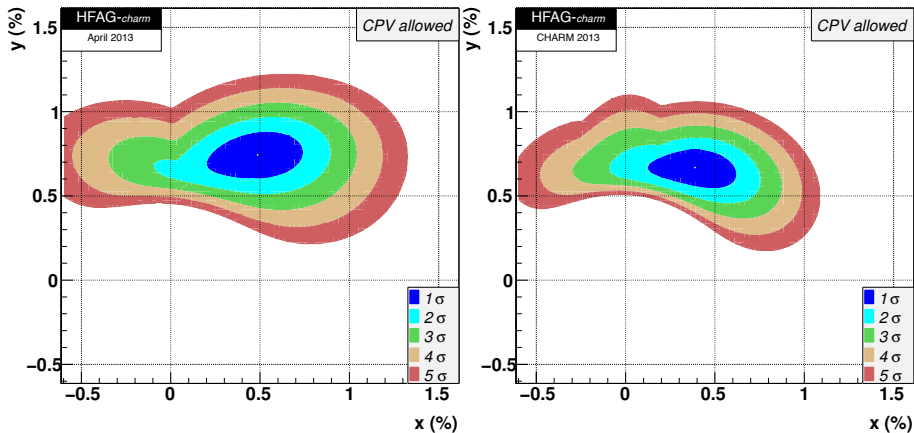
[Charm 2013]  
[HFAG]



- WS  $D^0 \rightarrow K^+ \pi^-$  measurements give significant improvement in x & y averages.

# x & y HFAG Averages, CPV allowed

[Charm 2013]  
[HFAG]



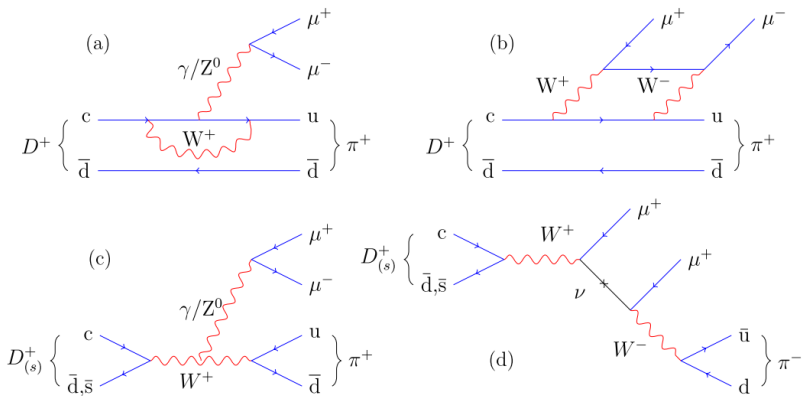
- WS  $D^0 \rightarrow K^+ \pi^-$  measurements give significant improvement in x & y averages.

# Summary of Averages of CPV & Mixing Parameters

[Charm 2013]  
[HFAG]

Parameter	No <i>CPV</i>	<i>CPV</i> -allowed
$x$ (%)	$0.53^{+0.16}_{-0.17}$	$0.39^{+0.16}_{-0.17}$
$y$ (%)	$0.67 \pm 0.09$	$0.67^{+0.07}_{-0.08}$
$\delta_{K\pi}$ ( $^\circ$ )	$14.0^{+9.3}_{-10.5}$	$12.5^{+9.4}_{-11.0}$
$R_D$ (%)	$0.350 \pm 0.004$	$0.349 \pm 0.004$
$A_D$ (%)	—	$-0.95 \pm 1.0$
$ q/p $	—	$0.91^{+0.11}_{-0.09}$
$\phi$ ( $^\circ$ )	—	$-10.8^{+10.5}_{-12.3}$
$\delta_{K\pi\pi}$ ( $^\circ$ )	$19.6^{+22.8}_{-23.4}$	$26.8^{+24.2}_{-24.5}$
$A_\pi$	—	$0.18 \pm 0.15$
$A_K$	—	$-0.15 \pm 0.14$

# $D^+_{(s)} \rightarrow \pi^+ \mu^+ \mu^-$ and $D^+_{(s)} \rightarrow \pi^- \mu^+ \mu^-$ Diagrams [PLB 724 (2013) 203-212]





# $D^0 \rightarrow \mu^+ \mu^-$ Strategy [Phys. Lett. B 725 (2013) 15-24]

- $D^0 \rightarrow \mu^+ \mu^-$  suppressed by CKM & GIM - extremely rare process in SM.
- Fit both  $m_{D^0}$  and  $\Delta m$  to determine yields.
- Normalise w.r.t.  $D^0 \rightarrow \pi^+ \pi^-$  to determine  $\mathcal{B}$ .
- Backgrounds from mis-ID  $h^+ h^-$  decays.

