

# Global fit on the solar (and other) neutrino results

Michele Maltoni

Instituto de Física Teórica UAM/CSIC

The physics of the Sun and the solar neutrinos: 3rd  
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**I. The SOLAR sector**

**II. The REACTOR sector**

**III. The ATMOSPHERIC sector**

**Summary**

## General three-neutrino framework

- Equation of motion: **6 parameters** (including **Dirac** and neglecting **Majorana** phases):

$$i \frac{d\vec{\nu}}{dt} = H \vec{\nu}; \quad H = U_{\text{vac}} \cdot D_{\text{vac}} \cdot U_{\text{vac}}^\dagger \pm V_{\text{mat}};$$

$$U_{\text{vac}} = \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} c_{13} & 0 & s_{13} e^{-i\delta_{\text{CP}}} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta_{\text{CP}}} & 0 & c_{13} \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \cdot \begin{pmatrix} e^{i\eta_1} & 0 & 0 \\ 0 & e^{i\eta_2} & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad \vec{\nu} = \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix};$$

$$D_{\text{vac}} = \frac{1}{2E_\nu} \left[ \text{diag} (0, \Delta m_{21}^2, \Delta m_{31}^2) + \cancel{m_1^2 I} \right]; \quad V_{\text{mat}} = \sqrt{2} G_F N_e \text{diag} (1, 0, 0).$$

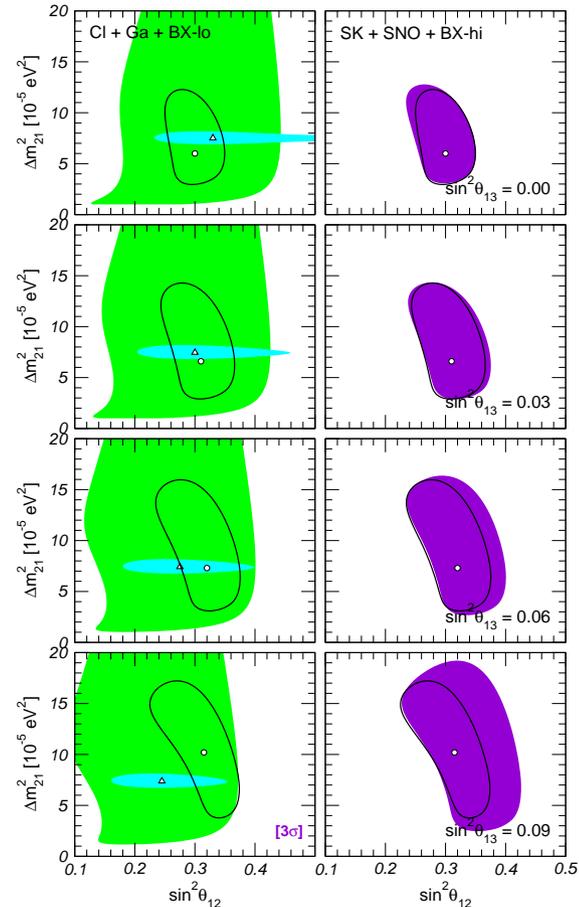
### 6 parameters $\iff$ 6 types of experiments

- SOLAR** sector:
  - solar experiments (mainly SNO)  $\longrightarrow \theta_{12}$
  - reactor VLBL (KamLAND)  $\longrightarrow \Delta m_{21}^2$
- REACT** sector:
  - reactor LBL (Double-Chooz, Daya-Bay, Reno)  $\longrightarrow \theta_{13}$
- ATMOS** sector:
  - atmospheric experiments (SK)  $\longrightarrow \theta_{23}$
  - accelerator LBL-DIS (Minos  $\nu_\mu \rightarrow \nu_\mu$ )  $\longrightarrow \Delta m_{31}^2$
  - accelerator LBL-APP (Minos  $\nu_\mu \rightarrow \nu_e$ , T2K)  $\longrightarrow \delta_{\text{CP}}$



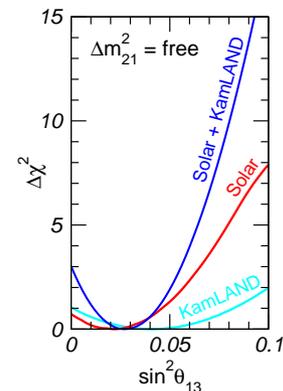
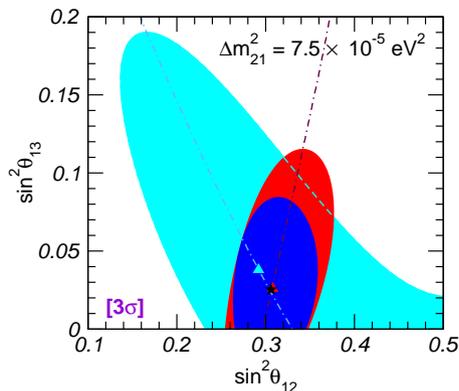
## Impact of $\theta_{13}$ on solar & KamLAND

- $\nu_\mu \equiv \nu_\tau \Rightarrow$  no sensitivity to  $\theta_{23}$  and  $\delta_{CP}$ ;
  - $\Delta m_{31}^2 \approx \infty \Rightarrow$  specific  $\Delta m_{31}^2$  value irrelevant;
- $\Rightarrow$  data only depend on  $\Delta m_{21}^2$ ,  $\theta_{12}$  and  $\theta_{13}$ ;
- $P_{ee} \approx \begin{cases} \text{Kam: } \cos^4 \theta_{13} \left( 1 - \sin^2 2\theta_{12} \sin^2 \frac{\Delta m_{21}^2 L}{4E} \right), \\ \text{low-E: } \cos^4 \theta_{13} \left( 1 - \frac{1}{2} \sin^2 2\theta_{12} \right), \\ \text{high-E: } \cos^4 \theta_{13} \sin^2 \theta_{12}; \end{cases}$
  - as  $\theta_{13}$  increases,  $\cos^4 \theta_{13}$  decreases and:
    - KamLAND and low-E data favor **smaller**  $\theta_{12}$ ;
    - high-E data favor **larger**  $\theta_{12}$  and  $\Delta m_{21}^2$ ;
  - synergy between solar and KamLAND data: as  $\theta_{13}$  increases,  $\Delta m_{21}^2$ :
    - increases in solar data;
    - remains stable in KamLAND;
  - hence:  $\begin{cases} \theta_{13} \text{ small (but } \neq 0) \Rightarrow \text{ better agreement;} \\ \theta_{13} \text{ large } \Rightarrow \text{ a tension appear.} \end{cases}$



## Preference for non-zero $\theta_{13}$ from solar + KamLAND data

- For  $\theta_{13} = 0$ , we have  $\sin^2 \theta_{12} = \left\{ \begin{array}{l} 0.30 \text{ from Solar data} \\ 0.33 \text{ from KamLAND data} \end{array} \right\} \Rightarrow$  a tension appear;
- as we have just seen, when  $\theta_{13}$  increases:
  - solar region slightly moves to larger  $\theta_{12}$  (high-E data dominate over low-E ones);
  - KamLAND region definitely shifts to smaller  $\theta_{12}$ ;
- therefore, a non-zero value of  $\theta_{13}$  reduces the tension between solar and KamLAND data [1, 2];
- new SNO (I+II+III) analysis favor smaller  $\phi_{CC}/\phi_{NC} \Rightarrow$  smaller  $\theta_{12}$  from solar  $\Rightarrow$  tension with KamLAND is increased  $\Rightarrow$  larger  $\theta_{13}$  is preferred.

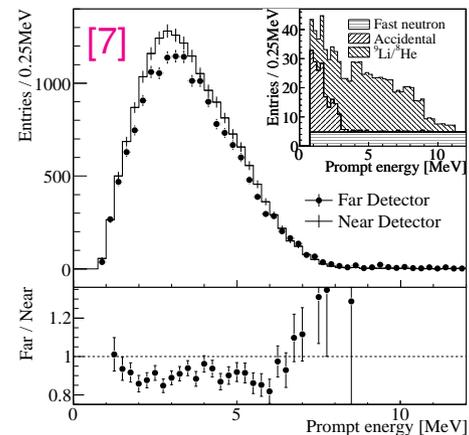
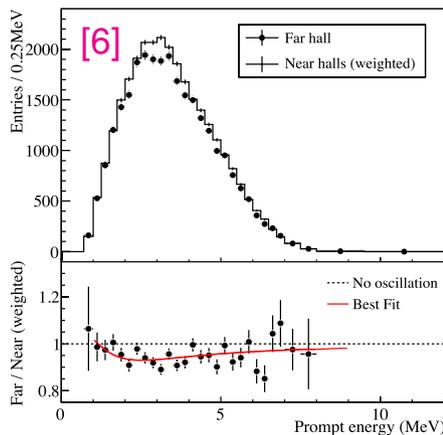
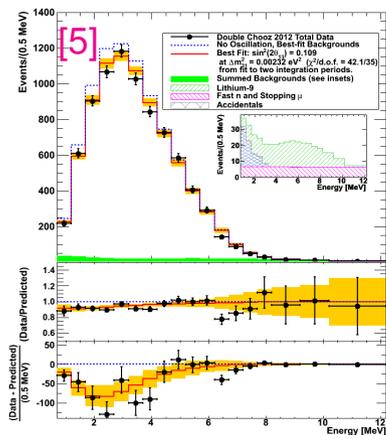


[1] G.L. Fogli *et al.*, Phys. Rev. Lett. **101** (2008) 141801 [arXiv:0806.2649].

[2] T. Schwetz, M.A. Tortola, J.W.F. Valle, New J. Phys. **10** (2008) 113011 [arXiv:0808.2016].

## Reactor sector: the 2012 revolution

- Until summer 2011, only CHOOZ [3] and PALO-VERDE [4] **upper limits** available;
- since then: **positive signal** from DOUBLE-CHOOZ [5], DAYA-BAY [6], RENO [7];
- present status:  $\theta_{13} \neq 0 @ 9\sigma$  after inclusion of the data presented at Neutrino 2012.



[3] M. Apollonio *et al.* [CHOOZ], *Eur. Phys. J. C* **27** (2003) 331 [[hep-ex/0301017](#)].

[4] F. Boehm *et al.* [PALO-VERDE], *Phys. Rev. D* **64** (2001) 112001 [[hep-ex/0107009](#)].

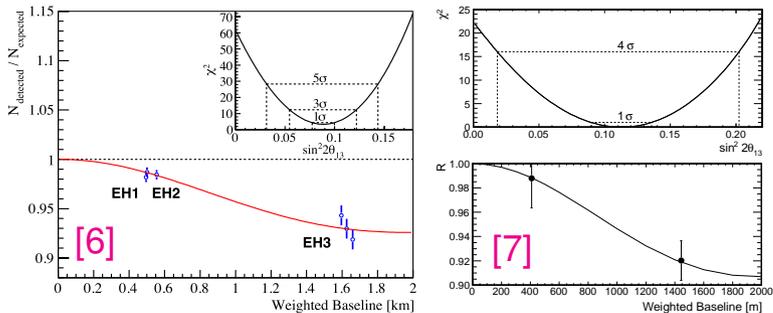
[5] M. Ishitsuka [DOUBLE-CHOOZ], talk presented at Neutrino 2012, 4/06/2012.

[6] D. Dwyer [DAYA-BAY], talk presented at Neutrino 2012, 4/06/2012.

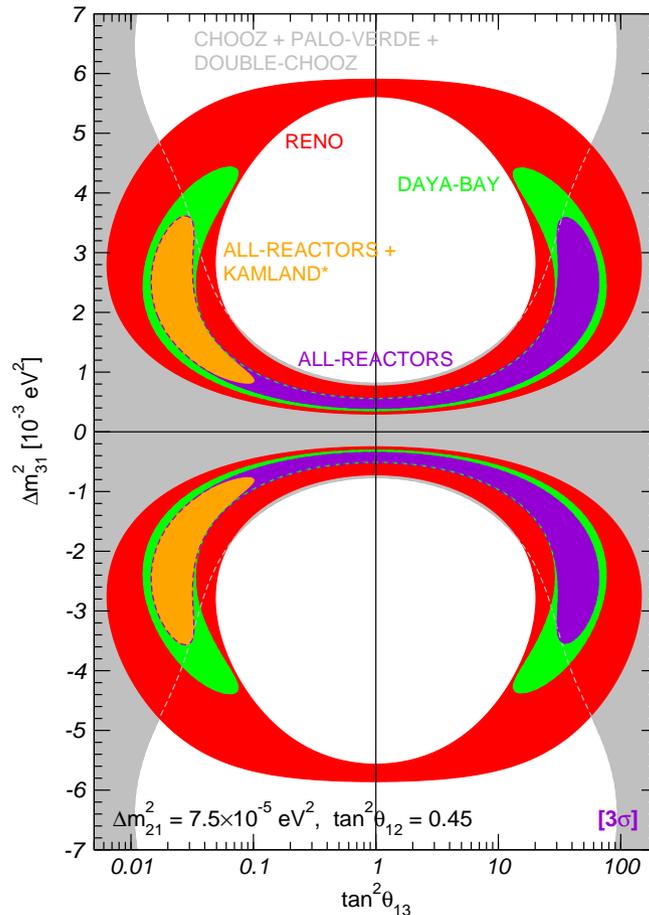
[7] J.K. Ahn *et al.* [RENO], *Phys. Rev. Lett.* **108** (2012) 191802 [[arXiv:1204.0626](#)].

## Measuring $\Delta m_{31}^2$ with reactors only

- Sizable deficit at the **far** detector  $\Rightarrow$  oscillations  $\Rightarrow$  **lower** bound on  $\theta_{13}$  and  $\Delta m_{31}^2$ ;
- smaller deficit at the **near** detector  $\Rightarrow$  not-too-much oscillations  $\Rightarrow$  **upper** bound on  $\Delta m_{31}^2$ ;
- KamLAND spectrum  $\Rightarrow$  **upper** bound on  $\theta_{13}$ .

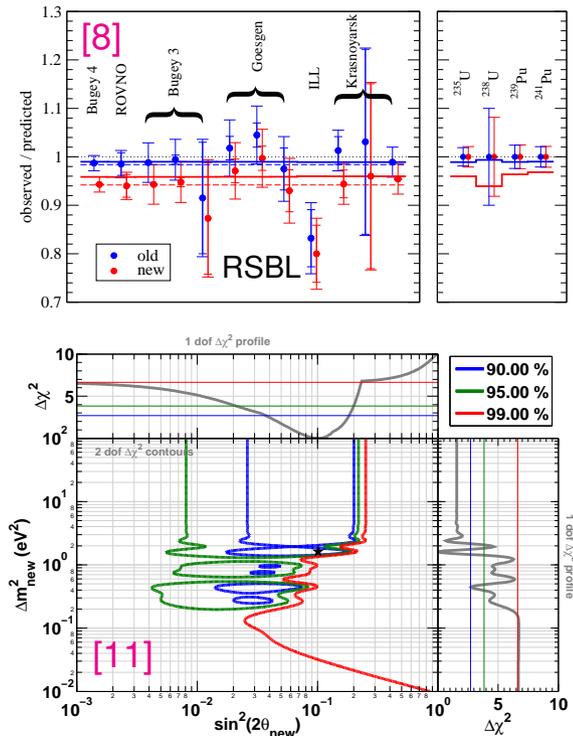


[6] D. Dwyer [DAYA-BAY], talk at Neutrino 2012.  
 [7] J.K. Ahn *et al.* [RENO], Phys. Rev. Lett. **108** (2012) 191802 [arXiv:1204.0626].



### The reactor neutrino anomaly

- In [9, 10] the reactor  $\bar{\nu}$  fluxes has been reevaluated;
  - the new calculations result in a small increase of the flux by about **3.5%**;
  - hence, **all** reactor short-baseline (RSBL) finding **no evidence** are actually **observing a deficit**;
  - this deficit **could** be interpreted as being due to neutrino oscillations **but** requires  $\Delta m^2 \gtrsim 1 \text{ eV}^2 \Rightarrow$  cannot fully accommodate RSBL data within  $3\sigma$ ;
  - consistent approach [8]: fit also reactor fluxes (within errors) including **all** reactor data;
- $\Rightarrow$  **use of near detector  $\Rightarrow$  problem avoided.**



[8] T. Schwetz, M. Tortola, J.W.F. Valle, *New J. Phys.* **13** (2011) 063004 [arXiv:1103.0734].

[9] T.A. Mueller *et al.*, *Phys. Rev.* **C83** (2011) 054615 [arXiv:1101.2663].

[10] P. Huber, *Phys. Rev. C* **84** (2011) 024617 [arXiv:1106.0687].

[11] G. Mention *et al.*, *Phys. Rev.* **D83** (2011) 073006 [arXiv:1101.2755].

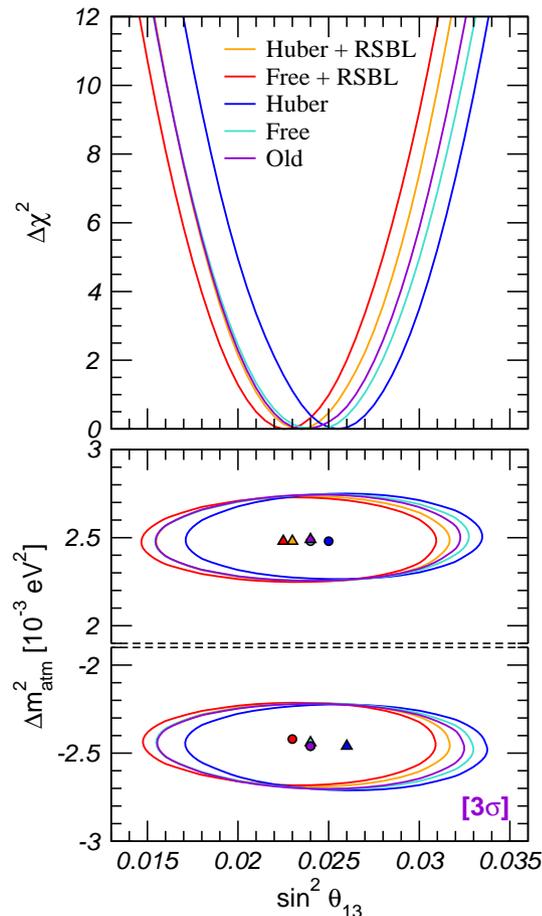
### Impact of the reactor neutrino fluxes

- The **new fluxes** in [9, 10] are **larger** than the **old ones**, hence they require **larger** suppression to fit data  $\Rightarrow$  **larger**  $\theta_{13}$ ;
- including **RSBL** experiments in the fit [8] results in **smaller** fluxes  $\Rightarrow$  **smaller**  $\theta_{13}$ ;
- once **RSBL** experiments are included, the specific prior on the reactor fluxes (**new** or **free**) has little impact on the results;
- due to their near detector, DAYA-BAY and RENO are almost insensitive to the priors;
- $\theta_{13}$  uncertainty from fluxes:  $\delta(\sin^2 \theta_{13}) = \pm 0.002$ .

[8] Schwetz *et al.*, NJP **13** (2011) 063004 [arXiv:1103.0734].

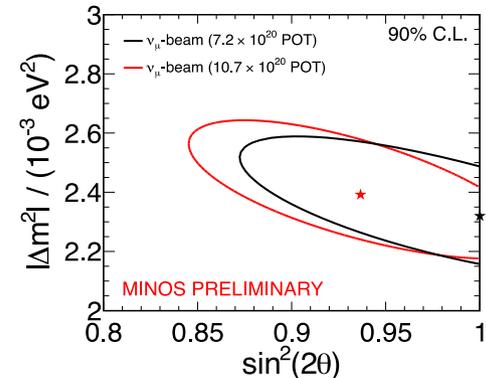
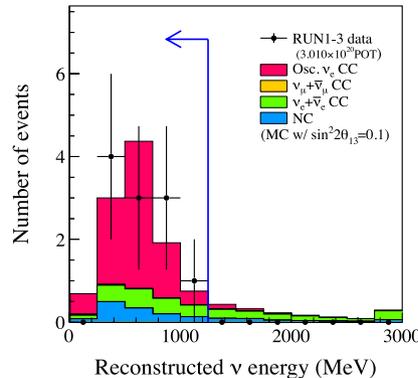
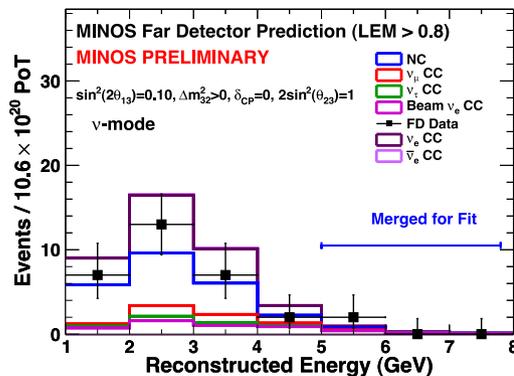
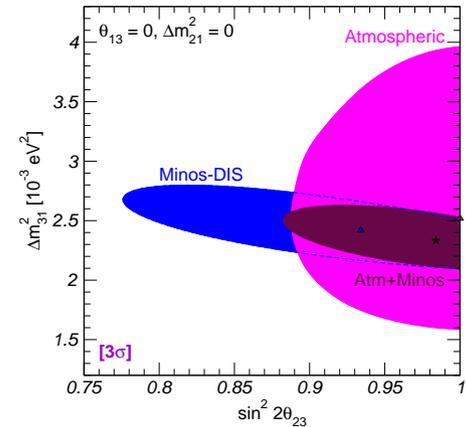
[9] Mueller *et al.*, PRC **83** (2011) 054615 [arXiv:1101.2663].

[10] Huber, PRC **84** (2011) 024617 [arXiv:1106.0687].



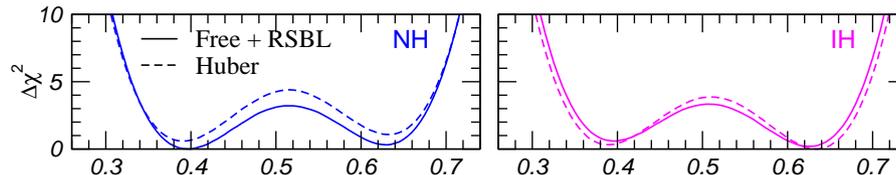
## Atmospheric sector: general overview

- $\Delta m_{31}^2$  is now determined by **Minos-DIS** ( $\nu_\mu \rightarrow \nu_\mu$ ) data;
- $\theta_{23}$  still dominated by **SK atmospheric** data;
- $\theta_{13}$  &  $\delta_{CP}$  mostly visible in appearance ( $\nu_\mu \rightarrow \nu_e$ ) data; hints of  $\theta_{13} \neq 0$  from Minos-APP ( $2.1\sigma$ ) and T2K ( $3.2\sigma$ );
- $\Delta m_{21}^2$  effects visible but only at subleading level;
- ★ **new result**: Minos disappearance data now slightly favor non-maximal  $\theta_{23}$  mixing.



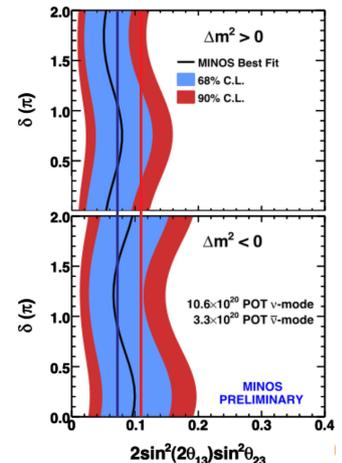
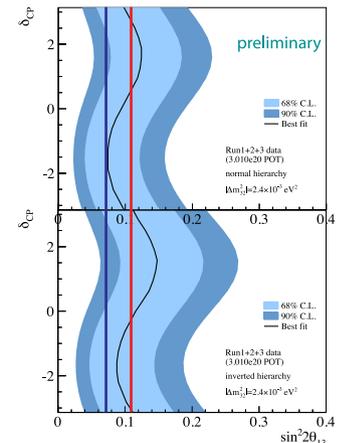
## Octant discrimination with REA+LBL data

- In principle, **REA** + **LBL-APP** + **LBL-DIS** can fix the octant [12]:
  - **REACTORS**: measure  $\sin^2(2\theta_{\text{rea}}) \equiv \sin^2(2\theta_{13})$ ;
  - **LBL-DIS**: measure  $\sin^2(2\theta_{\text{dis}})$ , with  $\sin^2 \theta_{\text{dis}} \equiv \cos^2 \theta_{13} \sin^2 \theta_{23}$ ;
  - **LBL-APP**: measure  $\sin^2(2\theta_{\text{app}}) \equiv \sin^2(2\theta_{13}) 2 \sin^2 \theta_{23}$  and  $\delta_{\text{CP}}$ ;
- in practice, putting explicit numbers:
  - from **REACTORS**:  $\sin^2(2\theta_{13}) \simeq 0.09$ ;
  - from **LBL-DIS**:  $\sin^2(2\theta_{\text{dis}}) \simeq 0.96$  implies  $\sin^2 \theta_{23} = 0.41$  or **0.61**;
  - hence, **REA** + **LBL-DIS** imply  $\sin^2(2\theta_{\text{app}}) = 0.074$  or **0.110**;
- both values of  $\sin^2(2\theta_{\text{app}})$  are in similar agreement with **LBL-APP**;



[12] G.L. Fogli *et al.*, Phys. Rev. D **86** (2012) 013012 [arXiv:1205.5254].

[13] M.C. Gonzalez-Garcia *et al.*, arXiv:1209.3023.



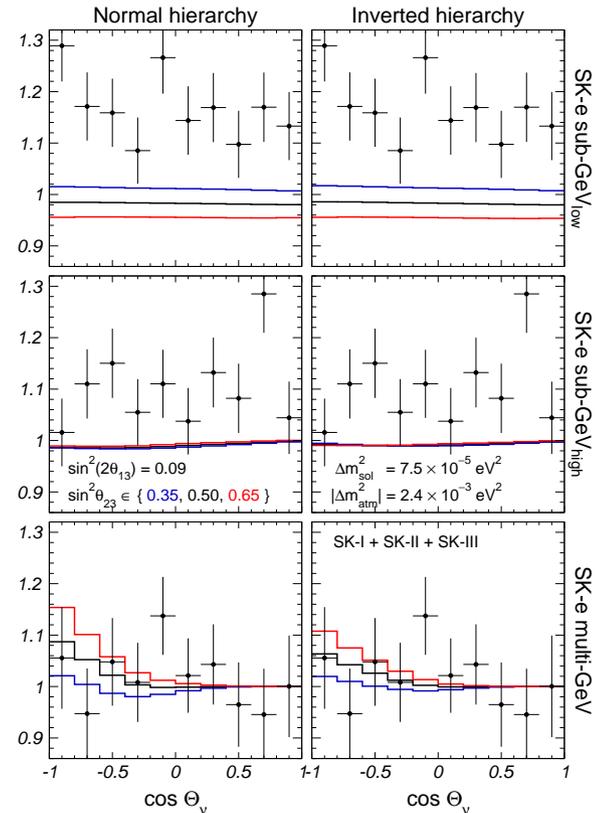
## Octant and hierarchy discrimination in atmospheric data

- Excess of  $e$ -like events,  $\delta_e \equiv N_e/N_e^0 - 1$ :
 
$$\delta_e \simeq (\bar{r} \cos^2 \theta_{23} - 1) P_{2\nu}(\Delta m_{21}^2, \theta_{12}) \quad [\Delta m_{21}^2 \text{ term}]$$

$$+ (\bar{r} \sin^2 \theta_{23} - 1) P_{2\nu}(\Delta m_{31}^2, \theta_{13}) \quad [\theta_{13} \text{ term}]$$

$$- \bar{r} \sin \theta_{13} \sin 2\theta_{23} \text{Re}(A_{ee}^* A_{\mu e}); \quad [\delta_{\text{CP}} \text{ term}]$$

with  $\bar{r} \equiv \Phi_{\mu}^0/\Phi_e^0$ ;
- similar but less pronounced effects also appear in  $\mu$ -like events (not discussed here);
- resonance in  $P_{2\nu}(\Delta m_{31}^2, \theta_{13}) \Rightarrow$  enhancement of  $\nu$  ( $\bar{\nu}$ ) oscillations for **normal** (**inverted**) hierarchy  $\Rightarrow$  **hierarchy discrimination**;
- $\delta_e$  distinguishes between **light** and **dark** side  $\Rightarrow$  **octant discrimination**;
- **present data**: excess in  $e$ -like sub-GeV events  $\Rightarrow$  preference for **light** side.



## Octant and hierarchy: present status

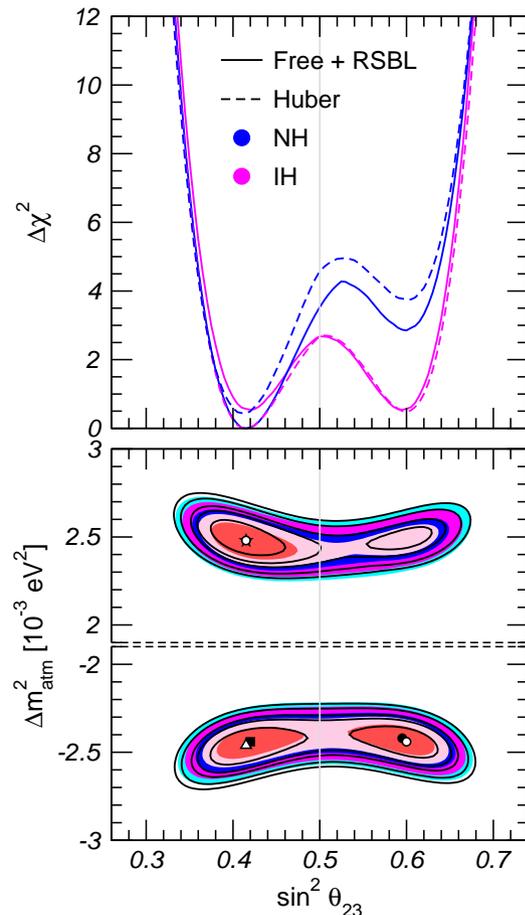
### $\theta_{23}$ octant

- Deviation of  $\theta_{23}$  from maximal mixing **is a physical effect**, which follows from the observation of an excess of events in low-energy *e-like* data;
- the effect is not statistically significant, but it is well understood and clearly visible;
- unaffected by precise determination of  $\theta_{13}$ ;
- found also by other Fogli *et al.* [12], but **not** by SK.

### Mass hierarchy

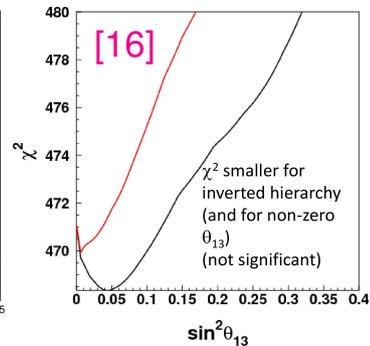
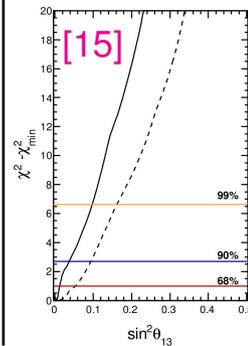
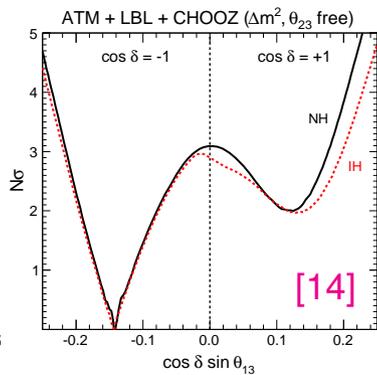
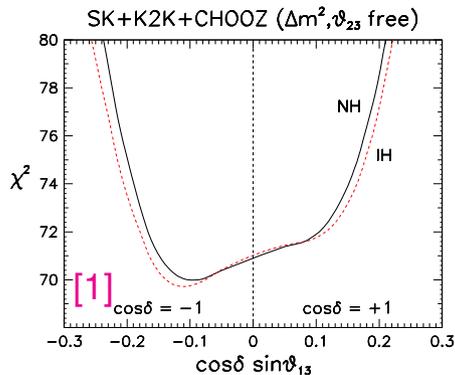
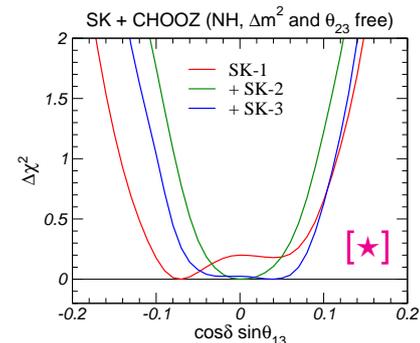
- Matter effects enhanced for larger  $\theta_{13} \Rightarrow$  sensitive to reactor flux priors;
- small preference for NH or for IH depending on the specific choice of the reactor fluxes.

[12] G.L. Fogli *et al.*, PRD **86** (2012) 013012 [arXiv:1205.5254].



## $\theta_{13}$ from SK atmospheric data

- Hint of non-zero  $\theta_{13}$  in SK atmospheric data [1, 14];
- no such hint (or very weak one) from our simulation [★];
- ★ details of the simulation very important  $\Rightarrow$  SK has final word;
- SK favor  $\theta_{13} = 0$  [15] but relevant  $\Delta m_{21}^2$  effects are neglected;
- preliminary full  $3\nu$  fit [16] suggests weak deviations (IH only).



[1] G.L. Fogli *et al.*, Phys. Rev. Lett. **101** (2008) 141801 [arXiv:0806.2649].

[14] G.L. Fogli, E. Lisi, A. Marrone, A. Palazzo, A.M. Rotunno, arXiv:1106.6028.

[15] R. Wendell *et al.* [SK], Phys. Rev. **D81** (2010) 092004 [arXiv:1002.3471].

[16] T. Kajita, talk presented at NOW 2010, 7/09/2010.

## Neutrino oscillations: where we are

- Global 6-parameter fit (including  $\delta_{CP}$ ):
  - Solar:** Cl + Ga + SK-I + SNO-full (I+II+III) + BX-low + BX-high;
  - Atmospheric:** SK-I + SK-II + SK-III + SK-IV;
  - Reactor:** KamLAND + Chooz + Palo-Verde + Double-Chooz + Daya-Bay + Reno;
  - Accelerator:** K2K + Minos-DIS + Minos-APP + T2K;
- best-fit point and  $1\sigma$  ( $3\sigma$ ) ranges:

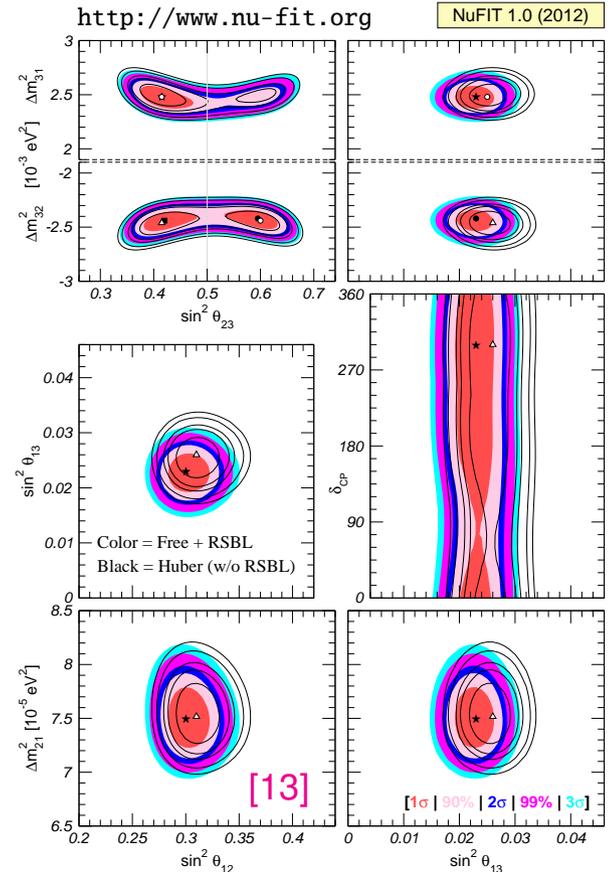
$$\theta_{12} = 33.3 \pm 0.8 \begin{pmatrix} +2.5 \\ -2.2 \end{pmatrix}, \quad \Delta m_{21}^2 = 7.50^{+0.20}_{-0.17} \begin{pmatrix} +0.61 \\ -0.48 \end{pmatrix} \times 10^{-5} \text{ eV}^2,$$

$$\theta_{23} = \begin{cases} 40.0^{+2.1}_{-1.5} \begin{pmatrix} +14.8 \\ -4.2 \end{pmatrix}, \\ 50.4^{+1.2}_{-1.3} \begin{pmatrix} +4.4 \\ -14.6 \end{pmatrix}, \end{cases} \quad \Delta m_{31}^2 = \begin{cases} -2.34^{+0.04}_{-0.06} \begin{pmatrix} +0.19 \\ -0.22 \end{pmatrix} \times 10^{-3} \text{ eV}^2, \\ +2.47^{+0.07}_{-0.07} \begin{pmatrix} +0.22 \\ -0.20 \end{pmatrix} \times 10^{-3} \text{ eV}^2, \end{cases}$$

$$\theta_{13} = 8.7^{+0.4}_{-0.5} \begin{pmatrix} +1.3 \\ -1.5 \end{pmatrix}, \quad \delta_{CP} = 300^{+66}_{-138} \text{ (any)};$$

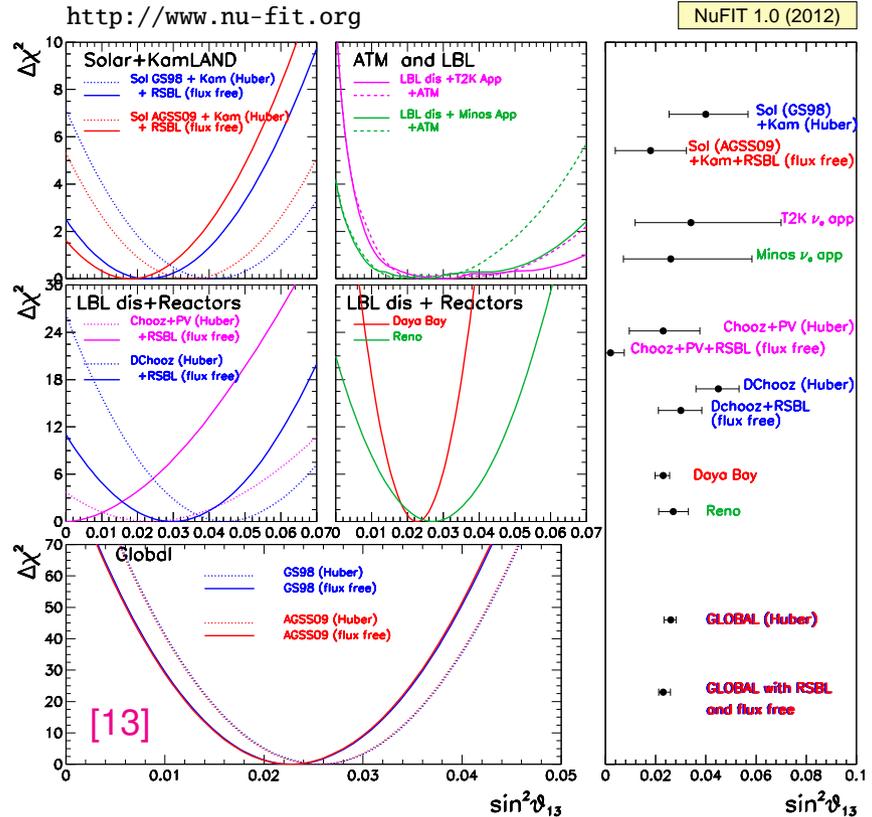
- neutrino mixing matrix:

$$|U|_{3\sigma} = \begin{pmatrix} 0.795 \rightarrow 0.846 & 0.513 \rightarrow 0.585 & 0.126 \rightarrow 0.178 \\ 0.205 \rightarrow 0.543 & 0.416 \rightarrow 0.730 & 0.579 \rightarrow 0.808 \\ 0.215 \rightarrow 0.548 & 0.409 \rightarrow 0.725 & 0.567 \rightarrow 0.800 \end{pmatrix}.$$



[13] M.C. Gonzalez-Garcia, M. Maltoni, J. Salvado, T. Schwetz, arXiv:1209.3023.

- Most of the present data from **solar**, **atmospheric**, **reactor** and **accelerator** experiments are well explained by the  $3\nu$  oscillation hypothesis. **The three-neutrino scenario is robust**;
- the discovery of **large  $\theta_{13}$**  is a major breakthrough, and marks the beginning of a new phase in neutrino phenomenology.
- the next step involve searching for **CP violation**, for **non-maximal  $\theta_{23}$  mixing** and for the neutrino **mass hierarchy**. **With present / approved facilities it may not be easy.**



[13] M.C. Gonzalez-Garcia, M. Maltoni, J. Salvado, T. Schwetz, arXiv:1209.3023.