

Atmospheric Neutrinos: Overview and Opportunities

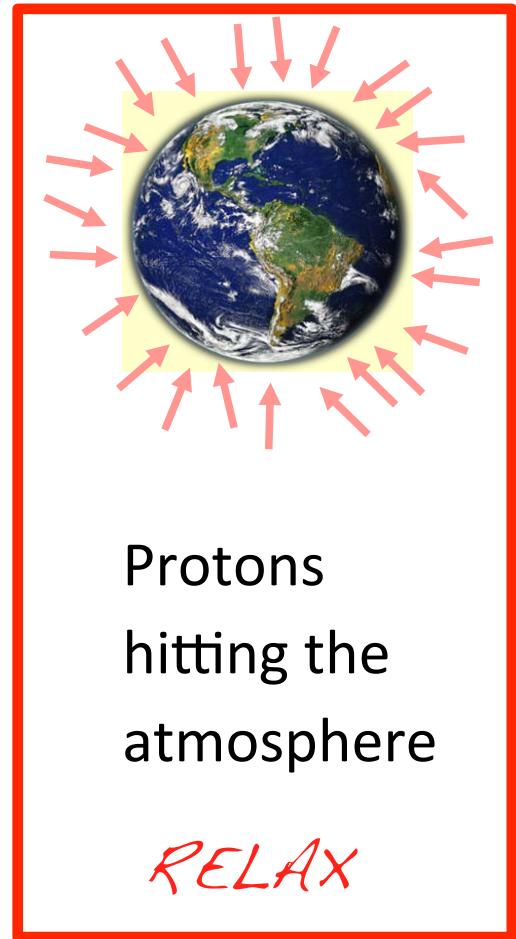
CHRIS WALTER, DUKE UNIVERSITY



Neutrino Telescopes 2013
March 11th 2013
Venice, Italy

Outline

- Introduction
- Types of detectors and samples
- Fits and systematic errors
- Atmospheric neutrinos in the three-flavor era
- Future prospects and lessons for the future



The Good - The Bad

(all neutrinos are beautiful)

The Good

- Δm^2 , $\sin^2 2\theta_{23}$, Octant, $\sin^2 2\theta_{13}$, δ_{CP} etc..
- Mass Hierarchy
- Non-standard oscillations
- CC Tau interaction physics
- Complimentary to beam / resolve degeneracies
- FREE!

The Bad

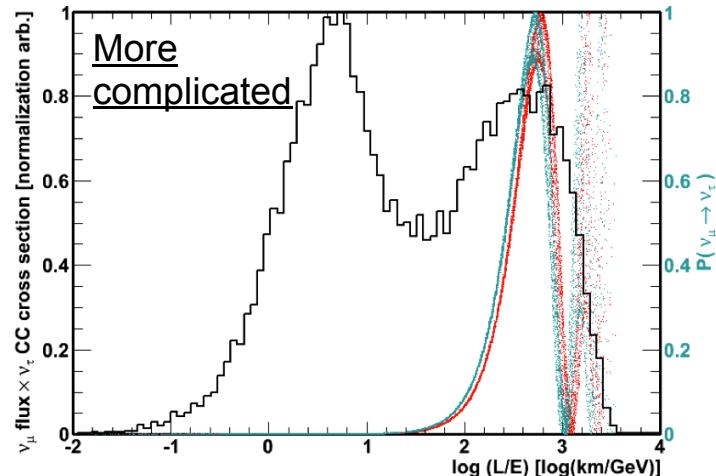
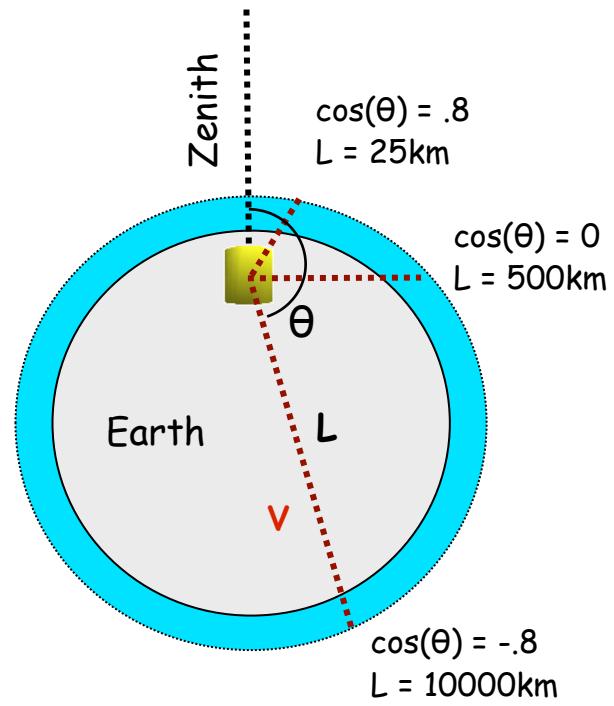
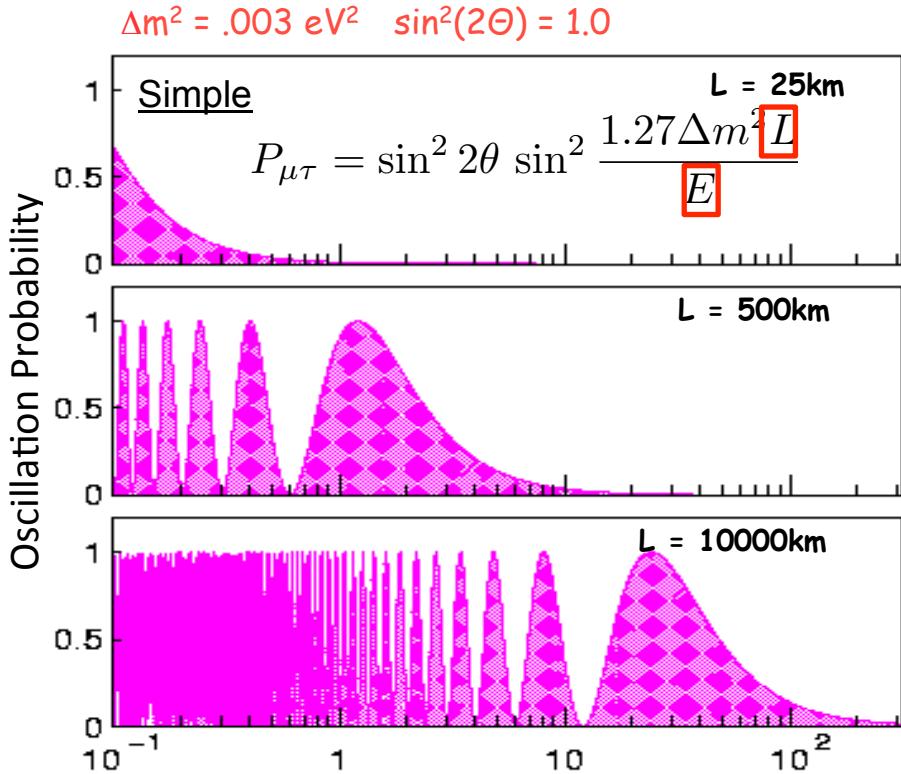
- Proton Decay
- Astrophysical neutrinos
 - GRBs
 - Solar Flares
 - AGNs
 - Etc
- Indirect dark matter

Must understand as a background!

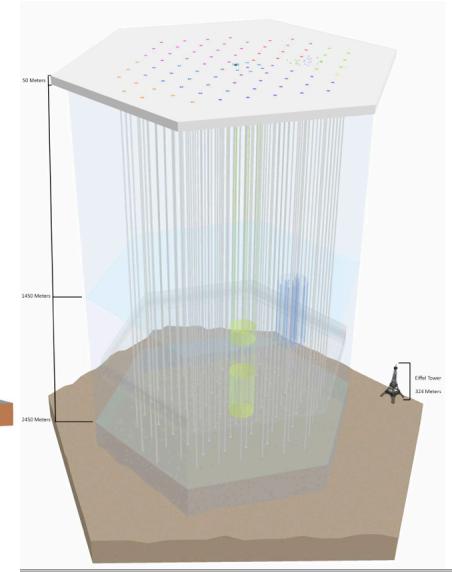
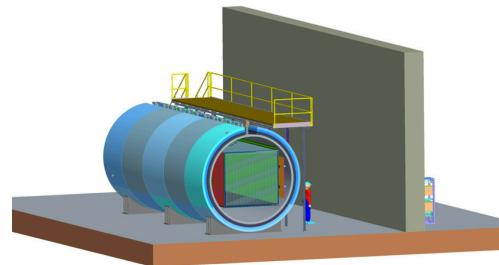
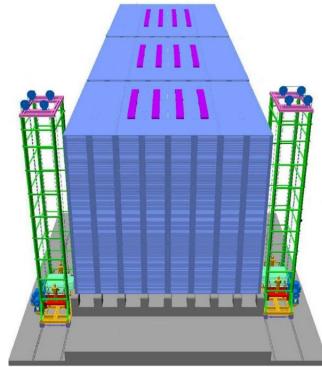


Atmospheric Neutrino Oscillations

Spans huge path-length range: 10 – 10,000 km
 Spans enormous energy range: ~100 MeV – 1 PeV
 Mixed neutrino and anti-neutrino and Numu and nue
 But: direction not known and threshold for production



Types of Detectors



Water Cherenkov

- Super-K -> Hyper-K
- Cheap / Well understood
- **Huge Mass**
- Has Cherenkov threshold

Iron Calorimeter:

- Soudan -> MINOS -> ICAL
- **Charge separation**
- Good tracking
- High threshold
- Good for muons/less for electrons

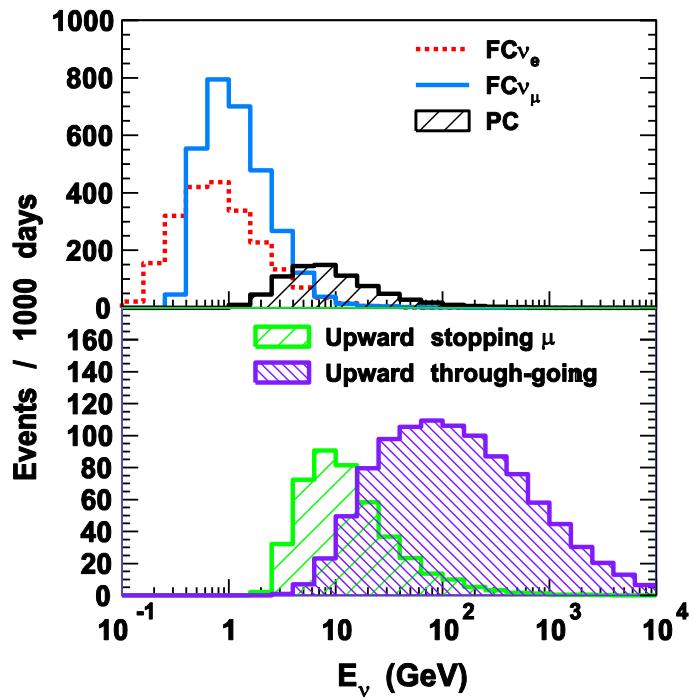
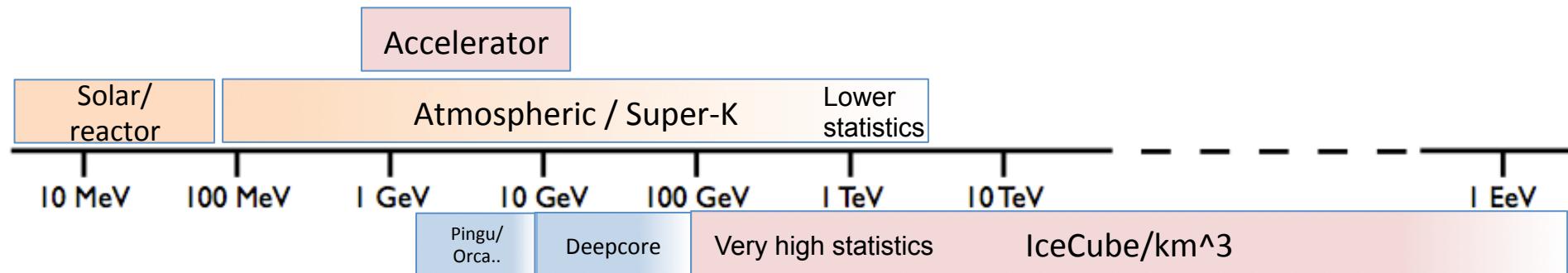
Liquid Argon

- Icarus ->Microboone ->Glacier/LBNE
- Electronic bubble chamber
- Resolution/BG rejection excellent
- **Can see low energy particles**
- Scaling/cost not yet proven

Water/Ice Telescopes:

- **Enormous mass**
- Can contain very high energy events
- Challenging reconstruction/systematics

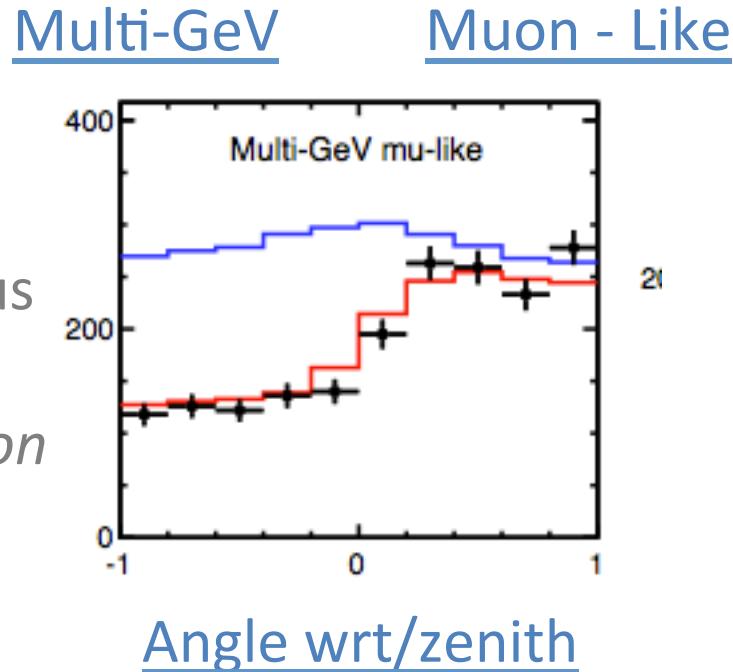
Event types and neutrino energy



By pushing down the thresholds of the ice/ocean detectors we might greatly increase the statistics in interesting oscillation regions.

An example of using data in a fit

Data is put into sub-samples that maximizes the various oscillation effects.
Note: only a projection also binned in momentum.

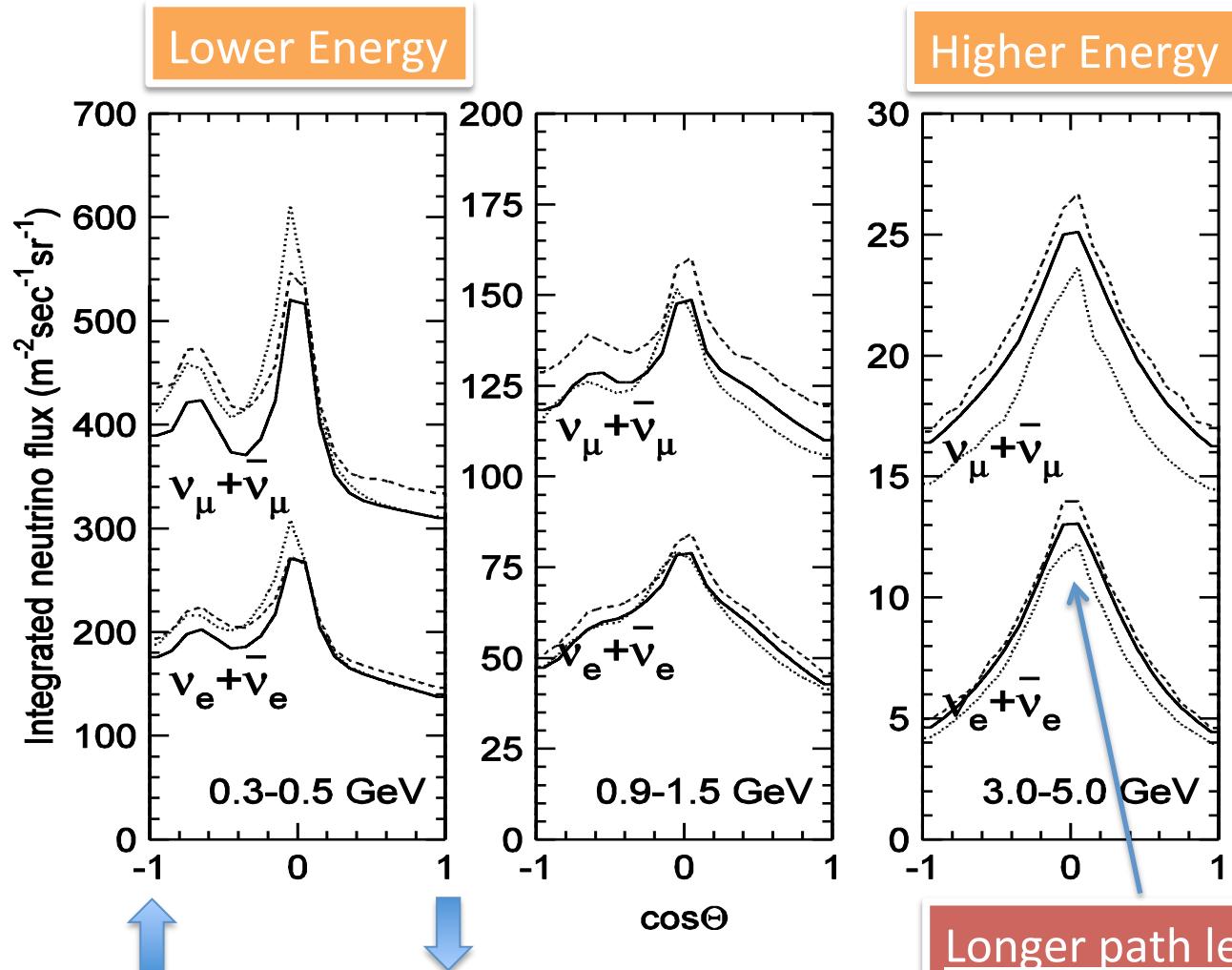


Includes variables related to:

- L (direction)
- E (Energy)
- ν type (PID)

Uncertainties in the predictions and resolution effects can limit the ability to extract precision physics.

Flux uncertainties



Measure primary cosmic ray flux
then:

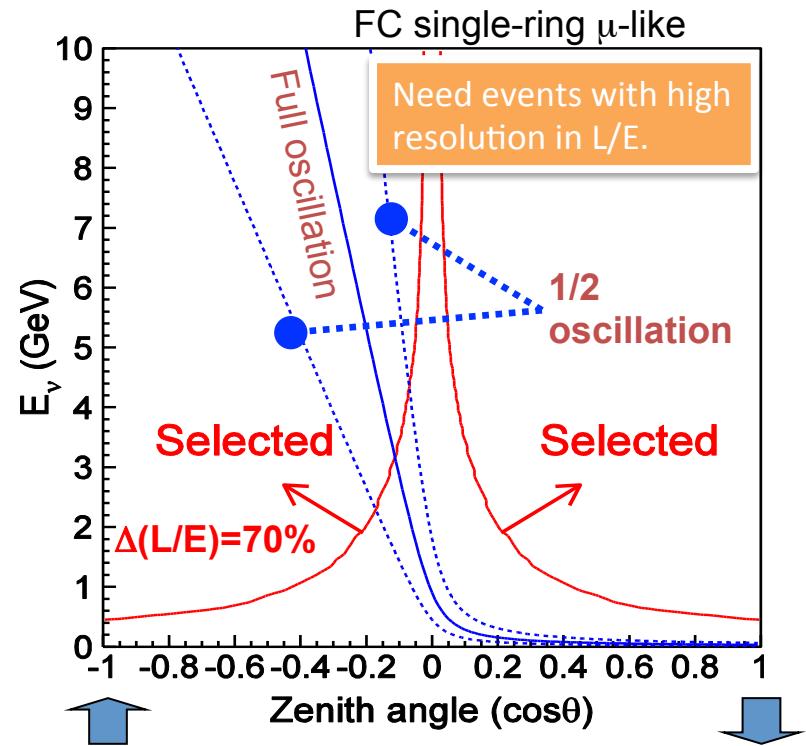
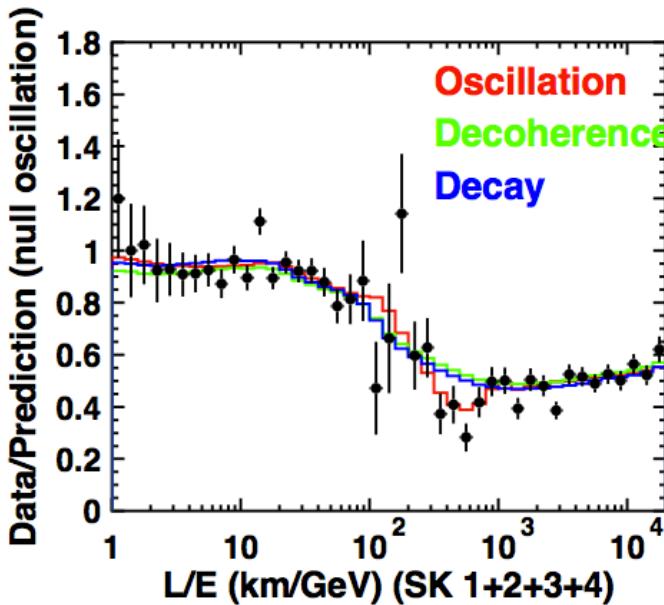
- Model interactions
- Model pi/K decay
- Geomagnetic fields....

At high energy
the up/down
ratio is near 1
and known to
1% or better.

In general ratios in flux
and flavor are much
better known

Longer path length through
low density atmosphere

Need to well measure L and E



For L:

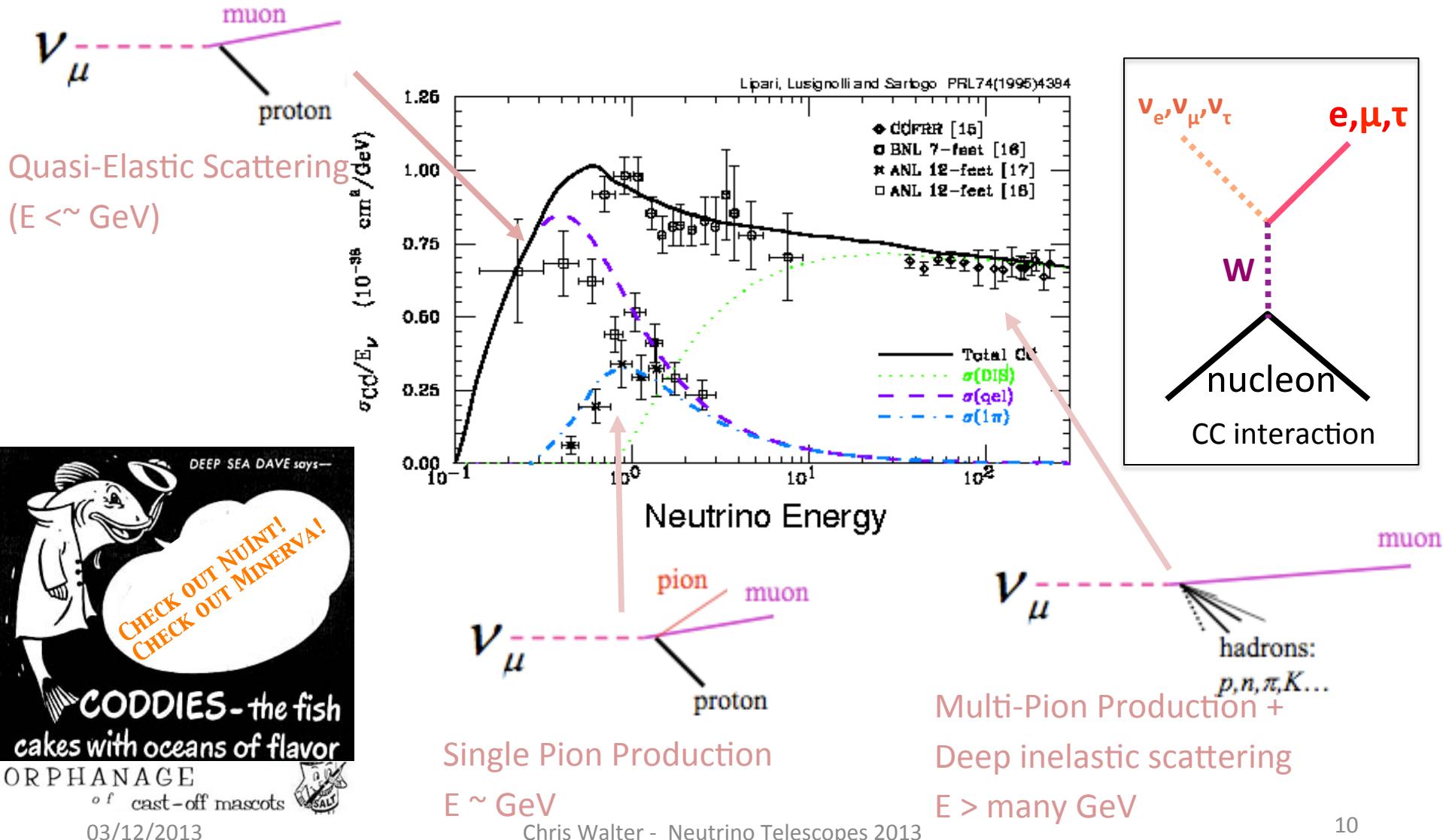
Lepton doesn't follow neutrino well at low energies. Unless you can see the proton (Maybe in LAr) you must use the lepton direction itself.

For L:

Near the horizon tiny mistakes in angle correspond to large differences in L. Also there is a distribution of production heights in the atmosphere.

Neutrino interactions: more uncertainties

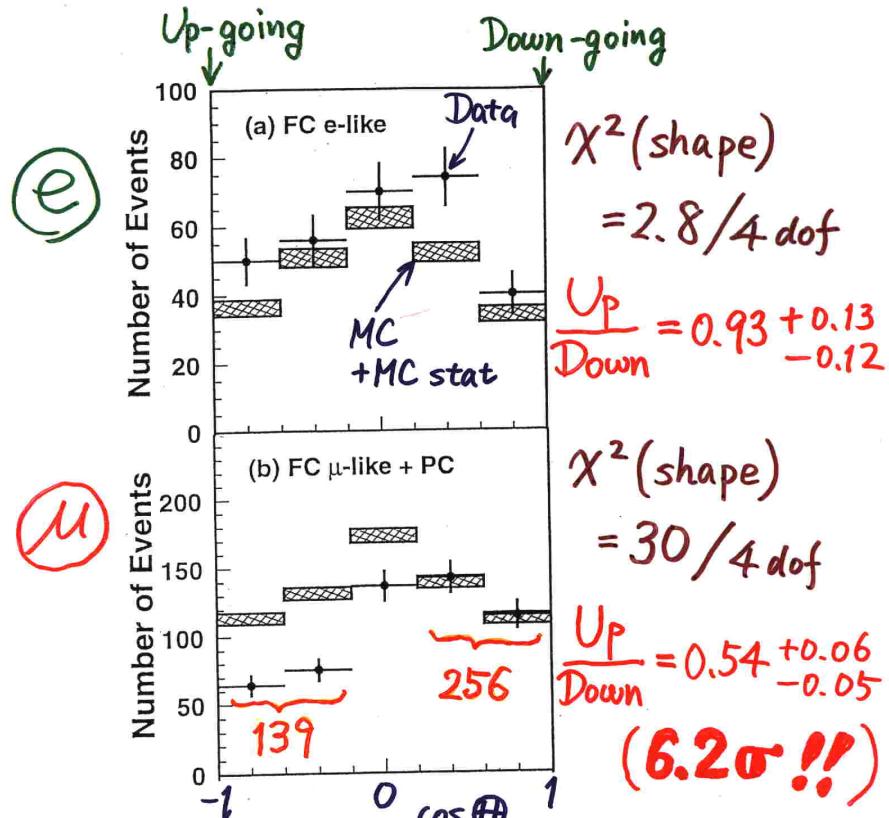
Use external measurements and regions with no oscillation



Analysis Techniques

T. Kajita - Neutrino 98
 “The announcement
 of the discovery of
 neutrino oscillations”

Zenith angle dependence (Multi-GeV)

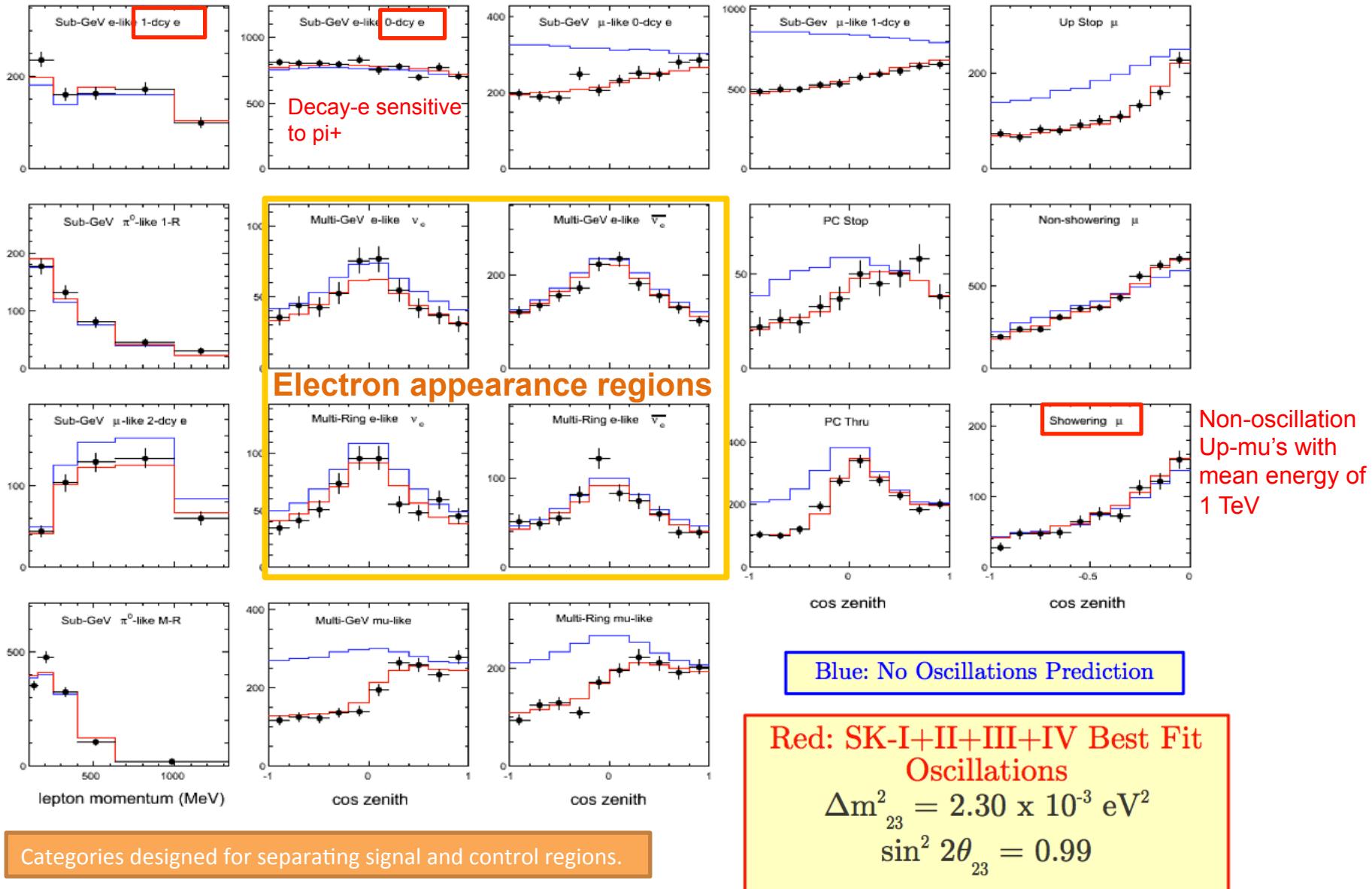


* Up/Down syst. error for μ -like

Prediction (flux calculation $\lesssim 1\%$,
 1km rock above SK 1.5% ,) 1.8%

Data (Energy calib. for $\uparrow \downarrow$ 0.7% ,
 Non ν Background $< 2\%$,) 2.1%

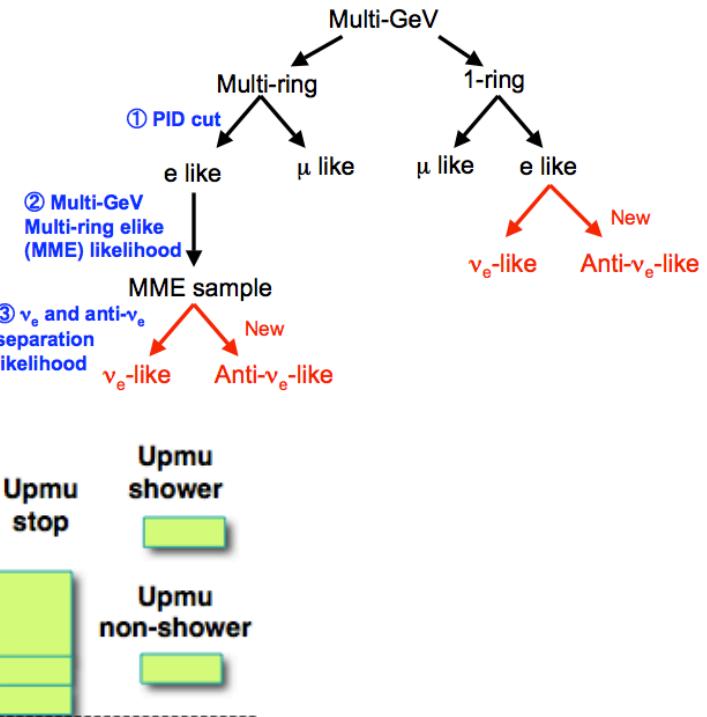
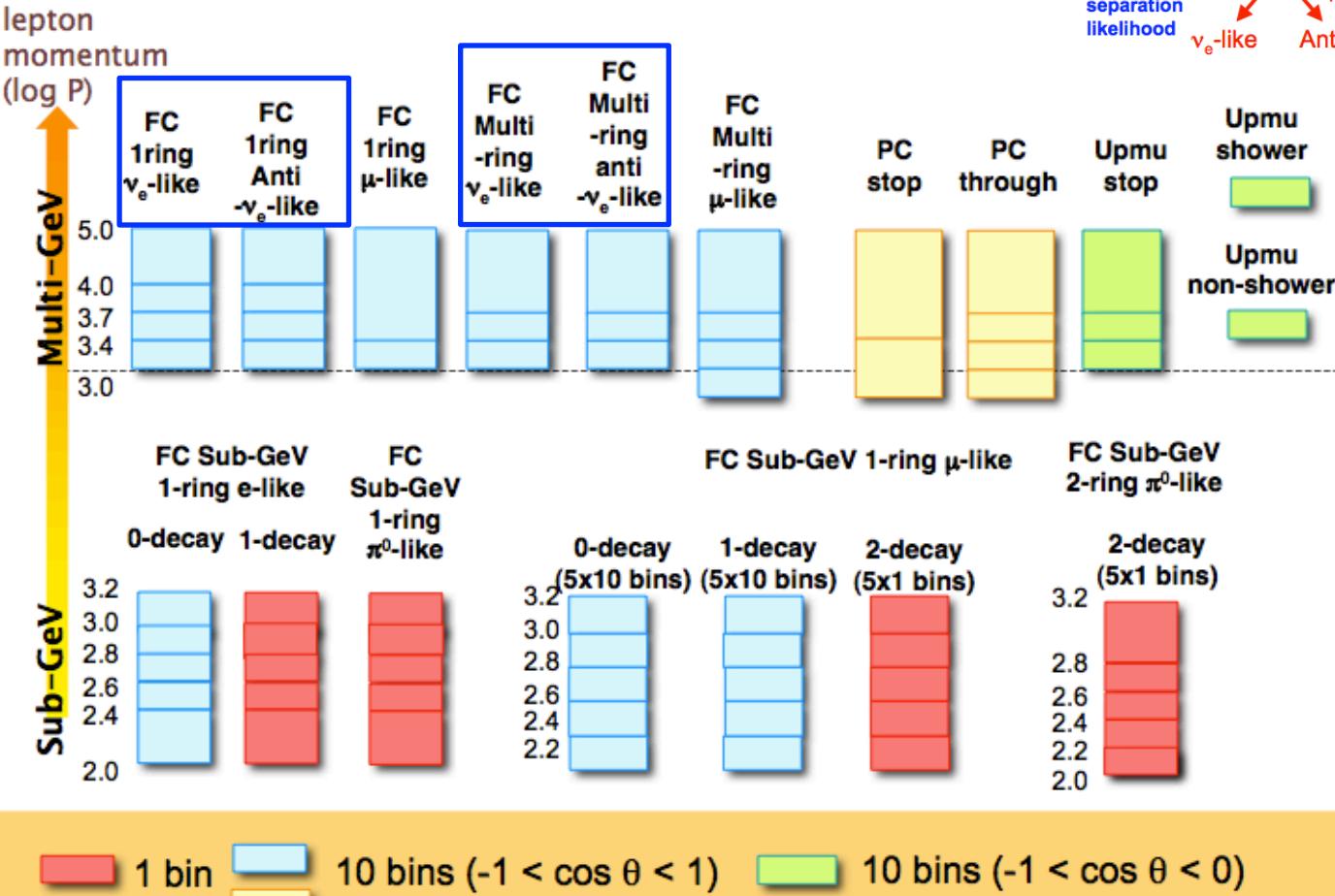
What does that data look like today?



Now: more categories

Try to separate neutrino and anti-neutrino
and isolate electron appearance regions

480 bins in angle and momentum

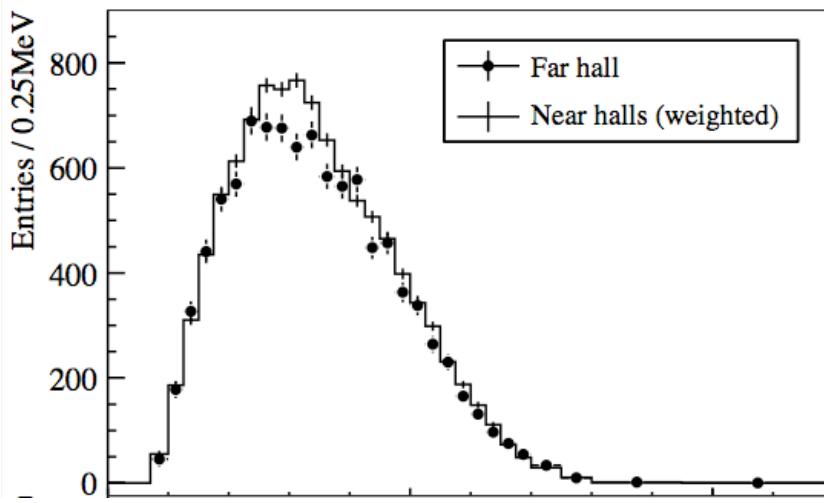
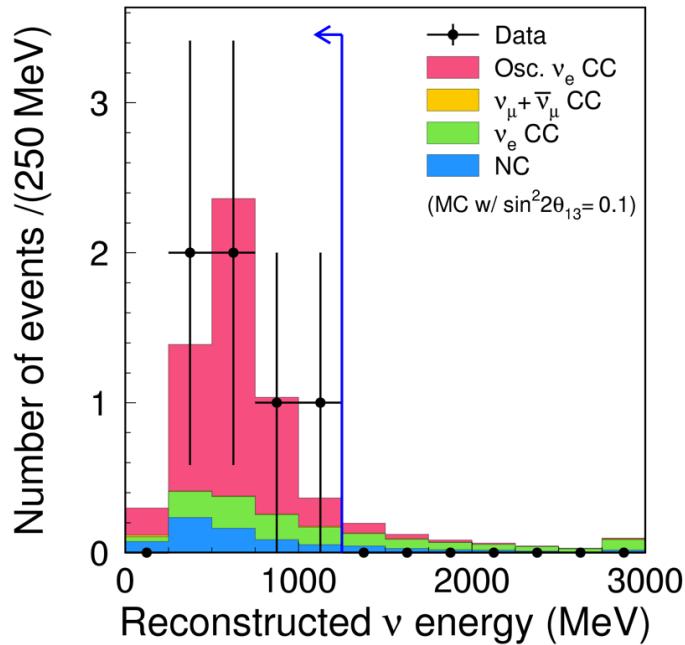


What systematics are important for the expectations? (an example from an oscillation analysis is shown here.)

- Flux
- Interaction
- Reconstruction
- Others
- Many (33-59)
evaluated
separately for each
run periods.

- absolute normalization (<1GeV)
- absolute normalization (>1GeV)
- $(\nu_\mu + \bar{\nu}_\mu) / (\nu_e + \bar{\nu}_e)$ ($E_\nu < 1\text{GeV}$)
- $(\nu_\mu + \bar{\nu}_\mu) / (\nu_e + \bar{\nu}_e)$ ($1 < E_\nu < 10\text{GeV}$)
- $(\nu_\mu + \bar{\nu}_\mu) / (\nu_e + \bar{\nu}_e)$ ($E_\nu > 10\text{GeV}$)
- $\nu_e / \bar{\nu}_e$ ($E_\nu < 1\text{GeV}$)
- $\nu_e / \bar{\nu}_e$ ($1 < E_\nu < 10\text{GeV}$)
- $\nu_e / \bar{\nu}_e$ ($E_\nu > 10\text{GeV}$)
- $\nu_\mu / \bar{\nu}_\mu$ ($1 < E_\nu < 10\text{GeV}$)
- $\nu_\mu / \bar{\nu}_\mu$ ($E_\nu > 10\text{GeV}$)
- up/down
- horizontal/vertical
- K/π
- L_ν (production height)
- sample-by-sample FC Multi-GeV
- sample-by-sample PC + UPstop μ
- M_A in CCQE, single- π
- CCQE (model dependence)
- CCQE (anti- ν/ν)
- CCQE (μ/e)
- single- π (cross section)
- single- π (anti- ν/ν)
- single- π (π^0/π^+)
- DIS(model dependence)
- DIS (cross section)
- coherent π (cross section)
- NC/CC
- nuclear effect in ^{16}O
- nuclear effect (pion spectrum)
- CC ν_τ interaction cross section
- hadron sim. (NC contami. in FC μ)
- Solar activity
- FC reduction
- PC reduction
- UP μ reduction
- FC/PC separation
- Normalization of PC stop/thru(top)
- Normalization of PC stop/thru(barrel)
- Normalization of PC stop/thru(bottom)
- non- ν BG (flasher)
- non- ν BG (cosmic-ray μ)
- BG subtraction of Upthru (shower) μ
- BG subtraction of Upthru (non-shower) μ
- BG subtraction of UPstop μ
- UP μ stop/thru separation
- UP μ non-shower/shower separation
- ring separation
- PID for single-ring
- PID for multi-ring
- energy calibration
- energy cut for UPstop μ
- up/down symmetry of energy calib.
- non- ν_e BG in Multi-GeV 1-ring electron
- non- ν_e BG in Multi-GeV m-ring electron
- Likelihood of Multi-GeV m-ring e-like
- Efficiency for 2-ring π^0
- number of event for 1-ring π^0
- Decay electron tagging
- Fiducial volume
- Up thru μ length cut
- Decay electron tagging from pi+ Matter effect
- Low-q $_2$ for DIS $W < 2\text{GeV}$
- Low-q $_2$ for DIS $W > 2\text{GeV}$

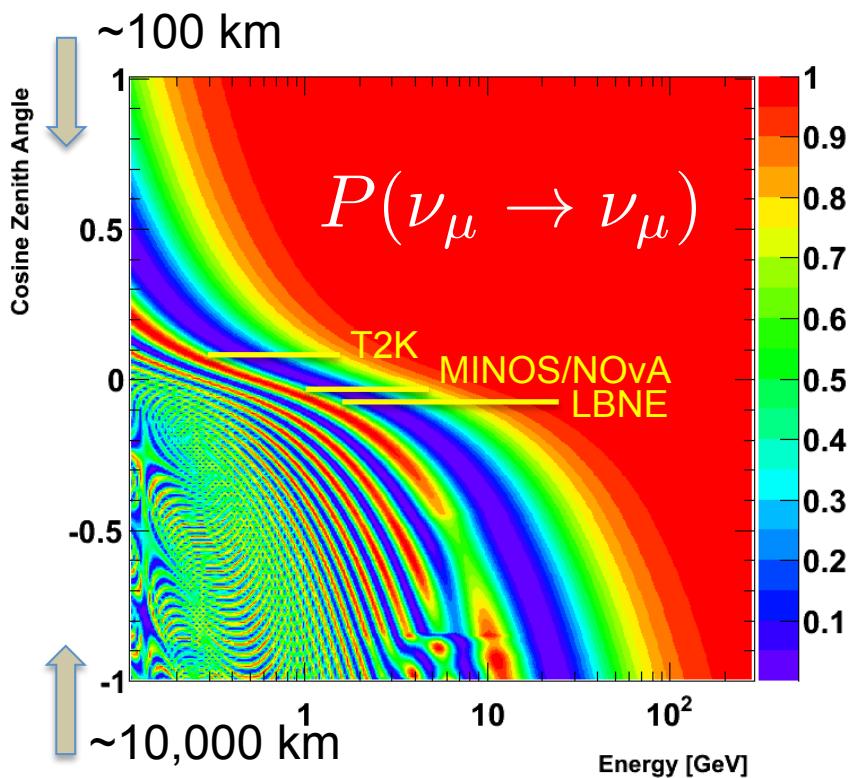
Oscillations in the three-flavor era



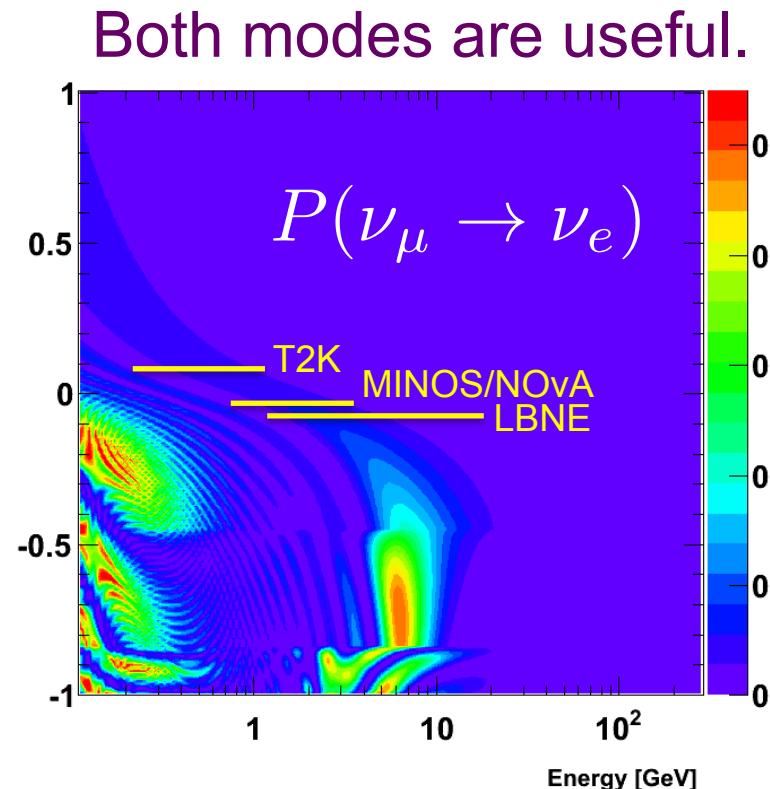
This year we learned the value of θ_{13} . How does that change things?

Before we were looking for θ_{13} and that uncertainty made it difficult to look for other effects. Now we can use this knowledge to look for the other unknowns.

Oscillograms: a very useful tool



Plot equal probabilities
of oscillation for energies
and angles.



Smirnov et al..

<http://arxiv.org/abs/hep-ph/0612285>
<http://arxiv.org/abs/0804.1466>

Using the earth to untangle oscillations

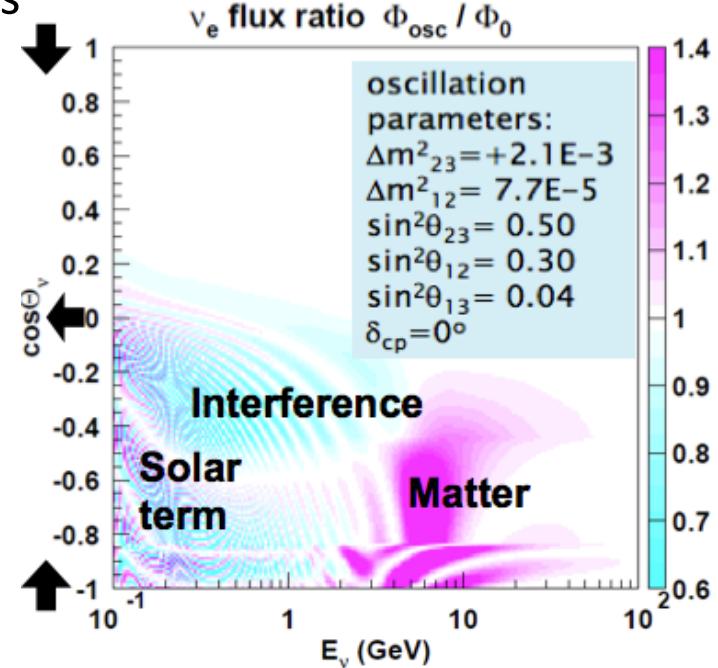
With big detectors and many years of running we have huge statistics. So we can look for *sub-leading* effects. We can concentrate on small modifications to v_e

- **Mass hierarchy:** matter effect causes enhancement in high energy upward going v_e going through the core.
- **Octant of oscillations:** Solar term causes low energy enhancement of v_e .
- δ_{CP} : Interference between these two effects

Difference in # of electron events:

$$\Delta_e \equiv \frac{N_e}{N_e^0} \cong \Delta_1(\theta_{13}) \xleftarrow{\text{Matter effect}} + \Delta_2(\Delta m_{12}^2) \xleftarrow{\text{Solar term}} + \Delta_3(\theta_{13}, \Delta m_{12}^2, \delta) \xleftarrow{\text{Interference}}$$

(The v_μ flux difference is also expected.)

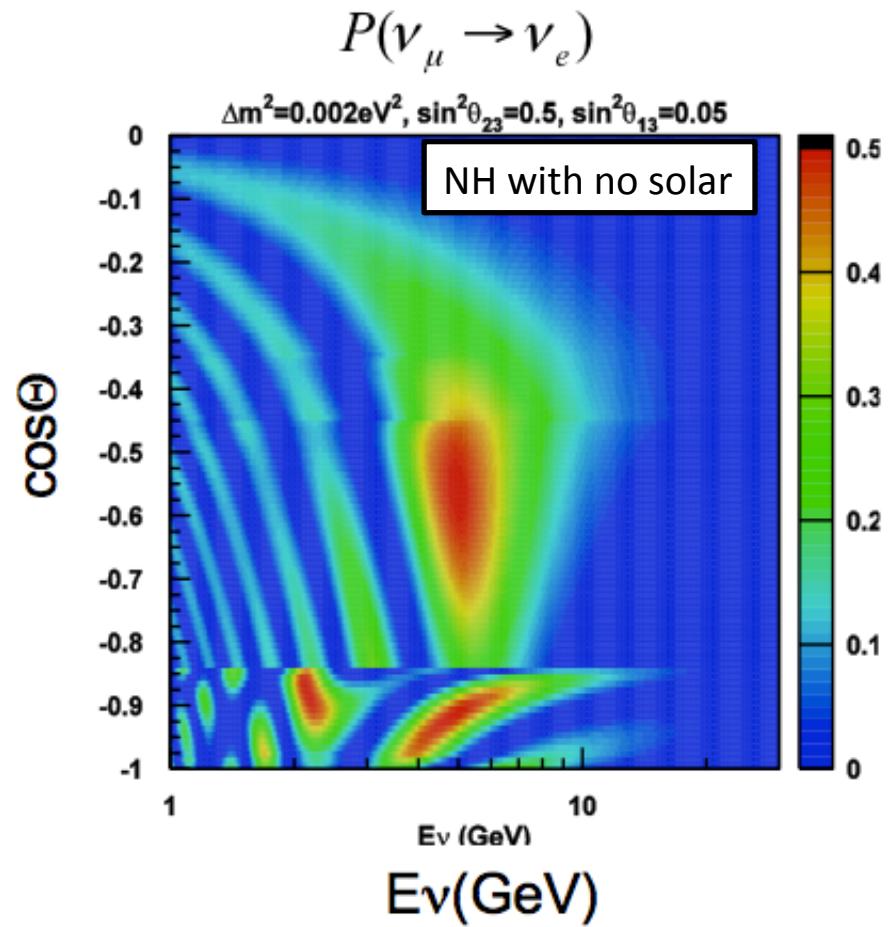
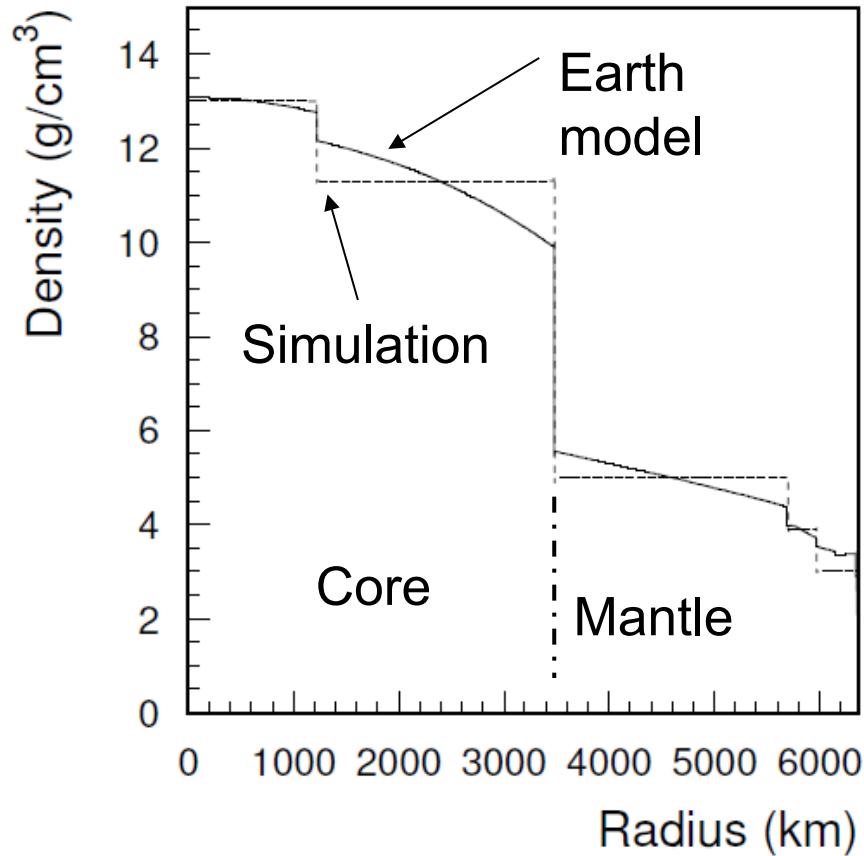


The Effect of θ_{13} (up-going electrons)

$$P(\nu_\mu \rightarrow \nu_e) = \sin^2 \theta_{23} \sin^2 2\theta_{13} \sin^2 \frac{\Delta m_{23}^2 L}{4E_\nu} + \text{sub-leading terms}$$

$$\sin^2 2\theta_{13}^m = \frac{\sin^2 2\theta_{13}}{(A/\Delta m_{23}^2 - \cos 2\theta_{13})^2 + \sin^2 2\theta_{13}}$$

The “PREM” Model



Mass Hierarchy?

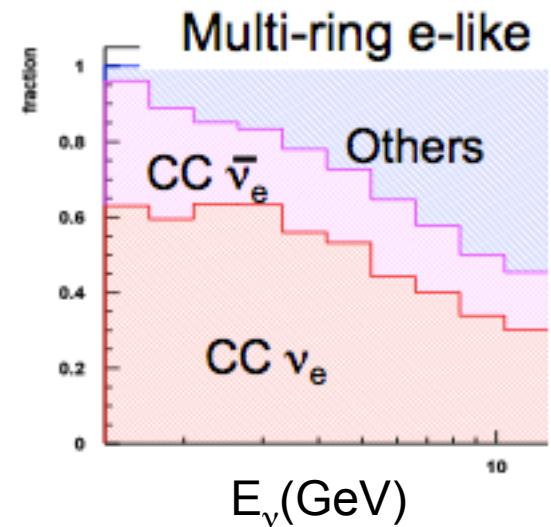
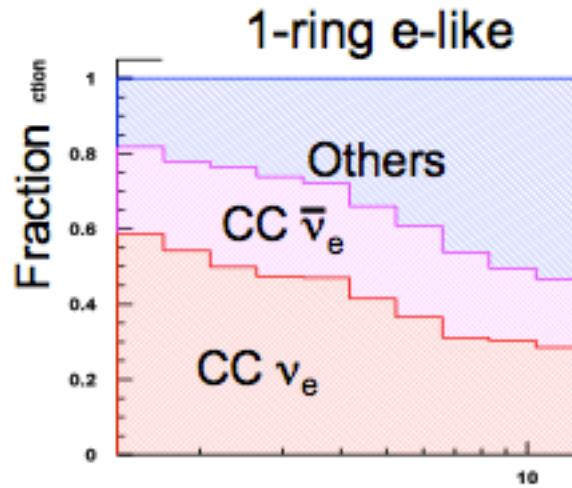
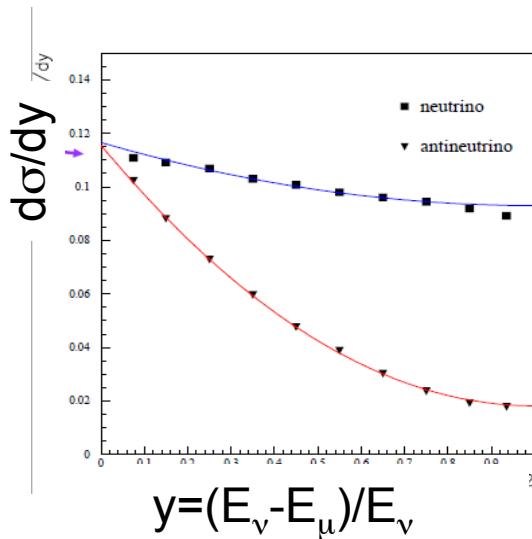
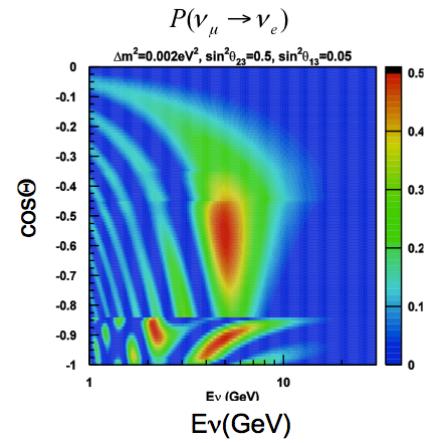
Normal: Resonance happens for neutrinos

Inverted: Resonance happens for anti-neutrinos

Cross-sections are also different for neutrino and anti-neutrinos

We can try to make samples that have different fractions of ν and anti- ν

Technique depends on detector

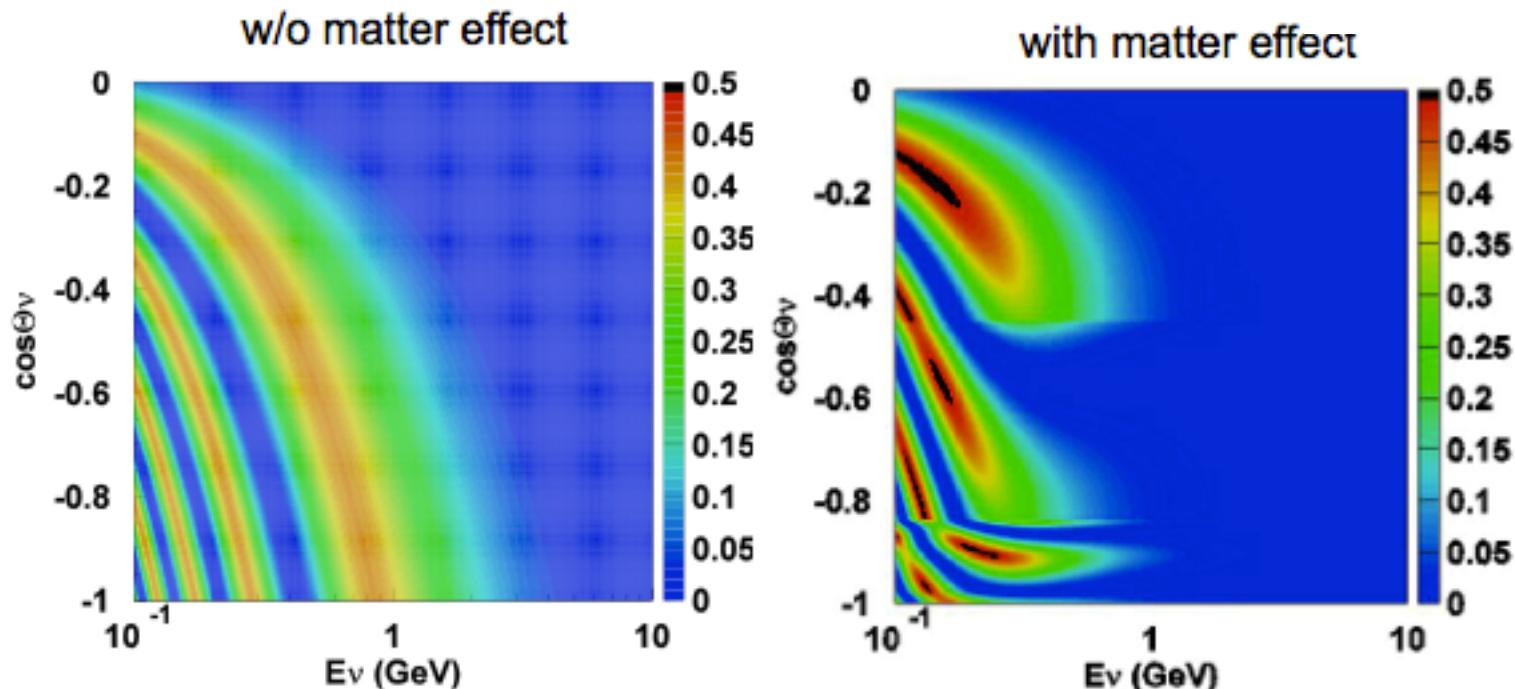


Only solar mixing effect

Peres & Smirnov NPB 680
(2004) 479

$$P(\nu_\mu \rightarrow \nu_e)$$

$$\begin{aligned}s^2\theta_{12} &= 0.825 \\ \Delta m^2_{12} &= 8.3 \times 10^{-5} \\ \Delta m^2_{23} &= 2.5 \times 10^{-3} \\ \sin^2\theta_{13} &= 0\end{aligned}$$

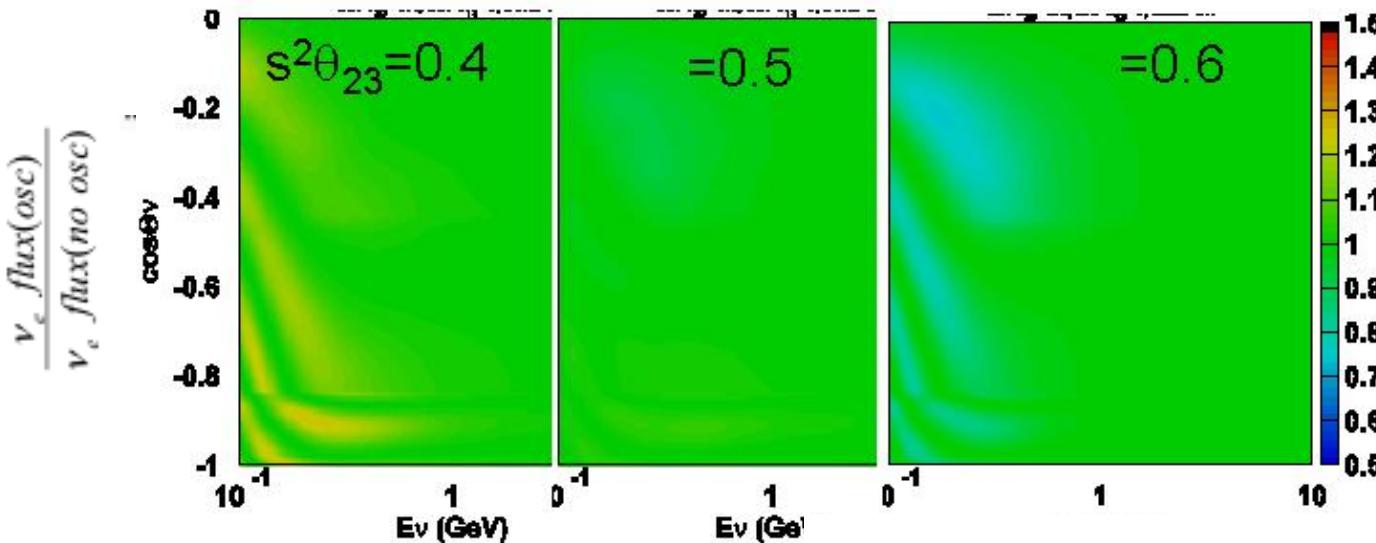


Note: Low Energy!

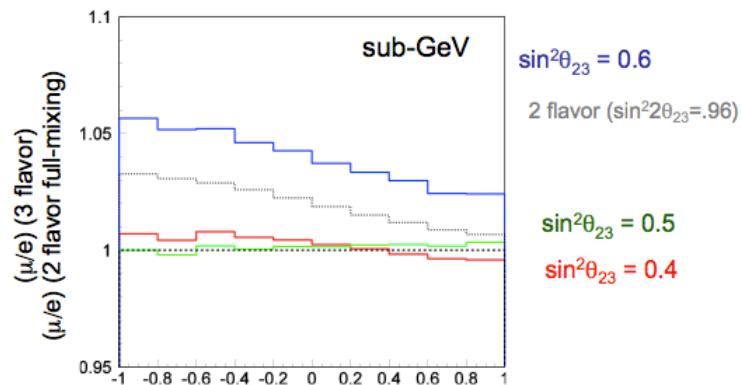
Solar term also depends on θ_{23} !

NOT
 $2\theta_{23}$

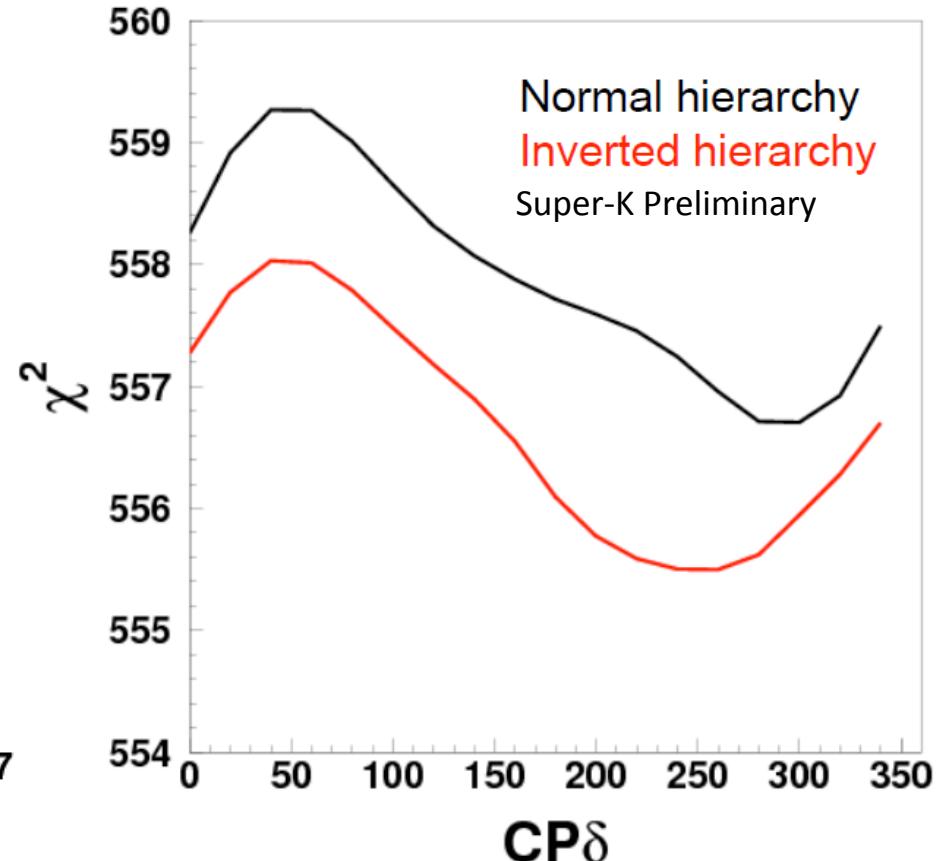
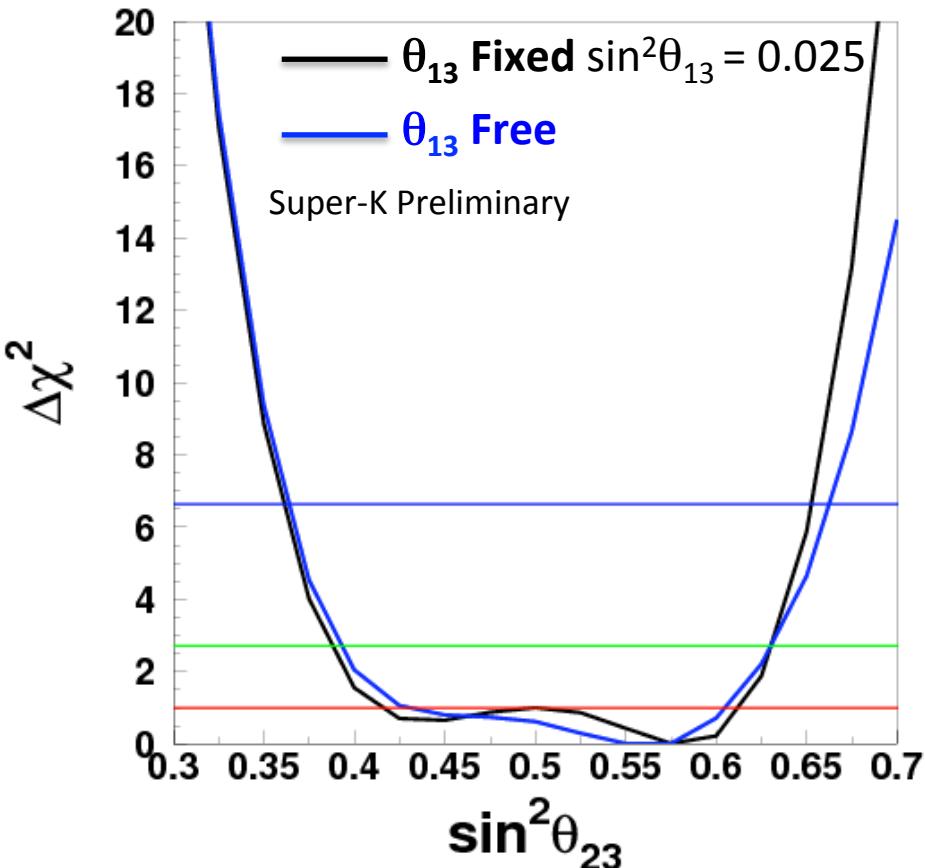
But v_e also goes to v_μ and v_τ so a small effect



Example in WC:
Need to control
Systematics!



Recent Super-K results

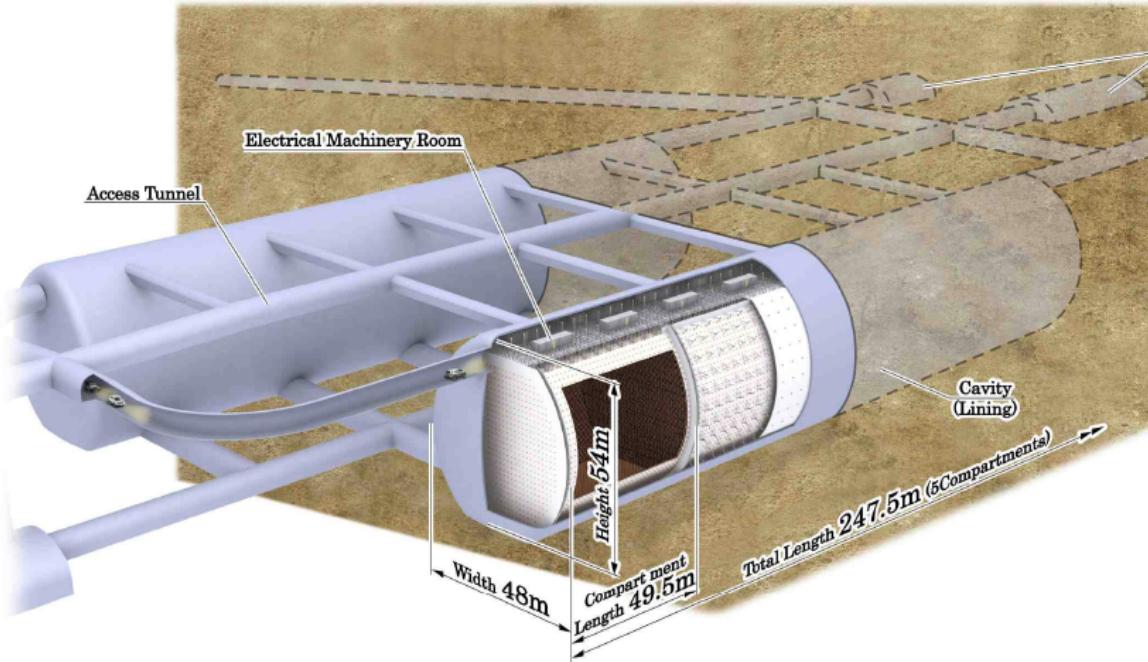


- Both free and constrained fits prefer 2nd octant
 - 1.2 σ preference for inverted hierarchy
sensitivity is 0.9 σ
- Not significant!

Future Prospects

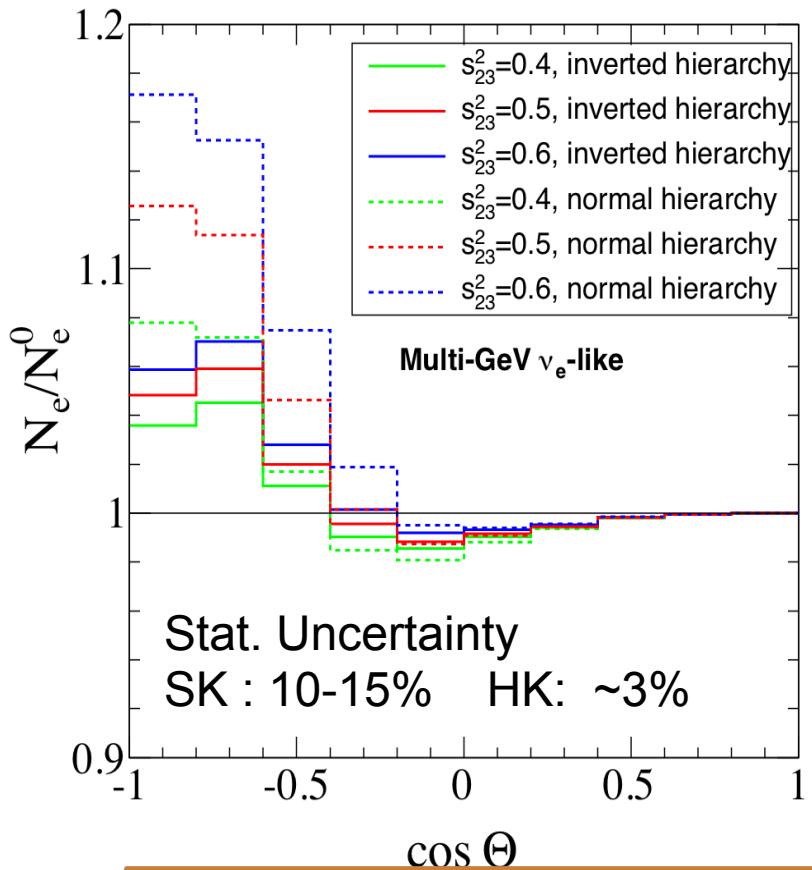


Hyper-Kamiokande

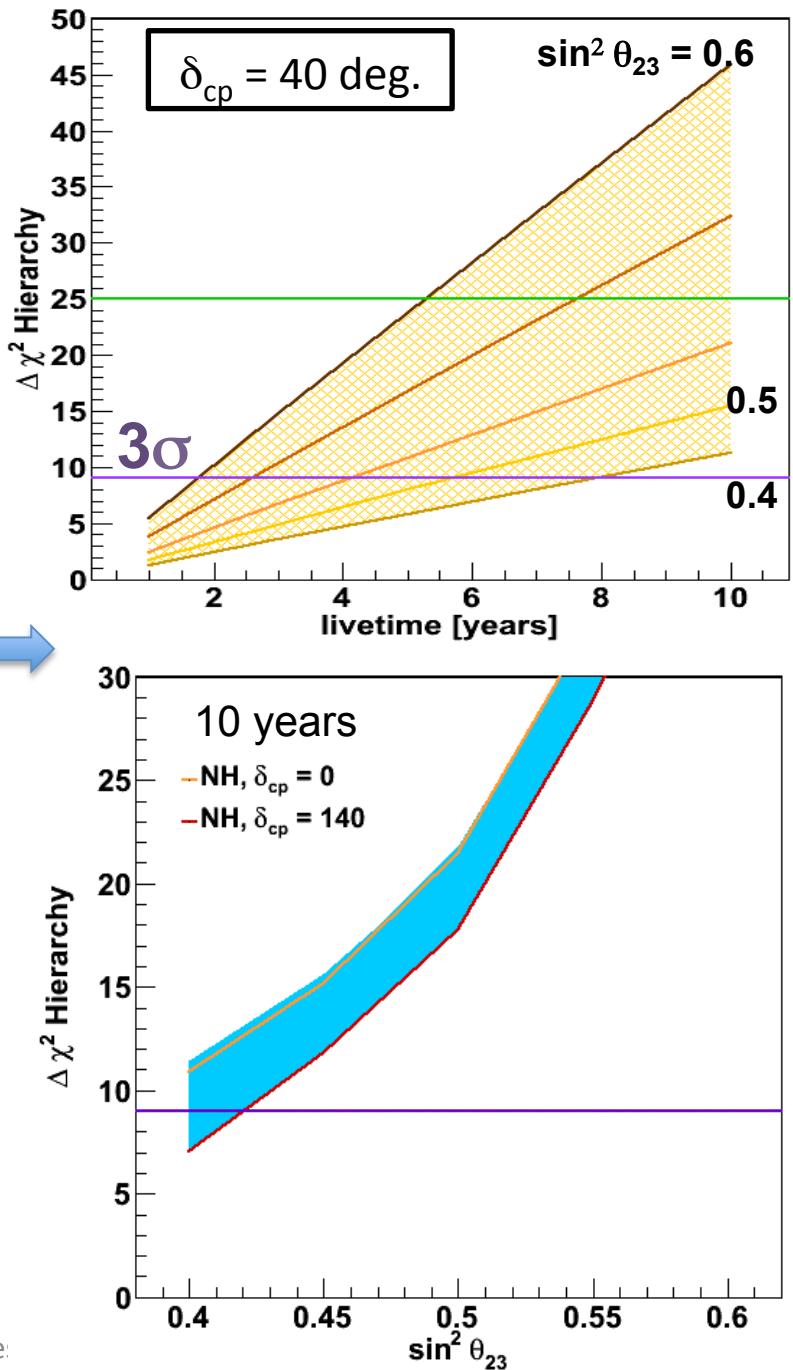


- 560 kton fiducial mass
- 99000 PMTs 20% coverage
- Outer veto detector
- Sensitivity studies scale SK result to large exposure, i.e. assume the same detector performance

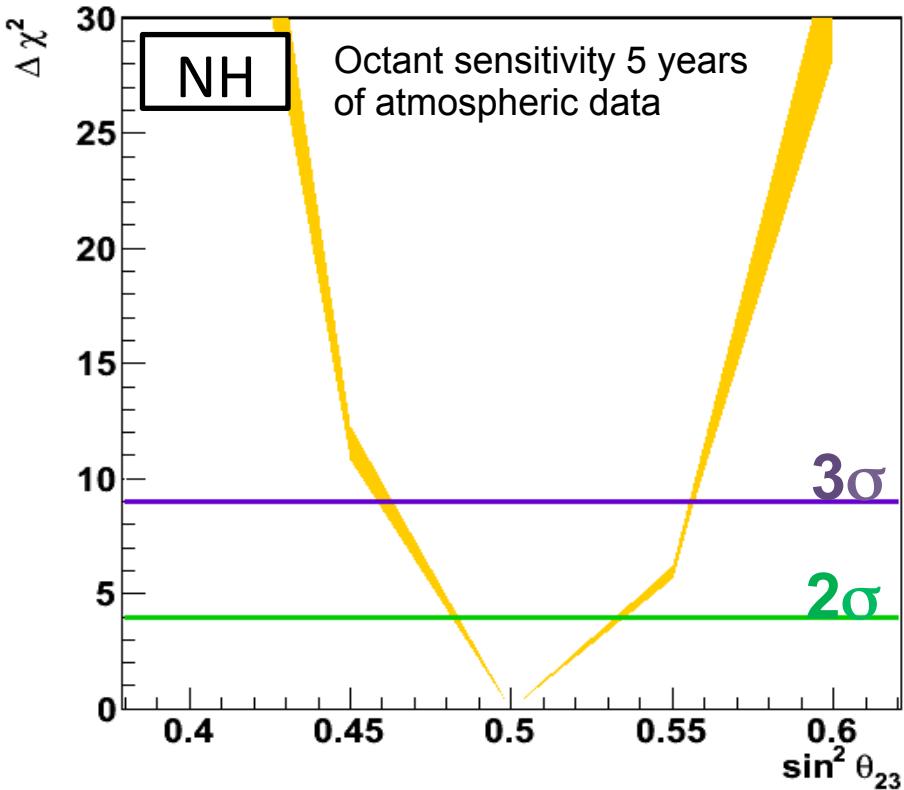
HK MH Sensitivity



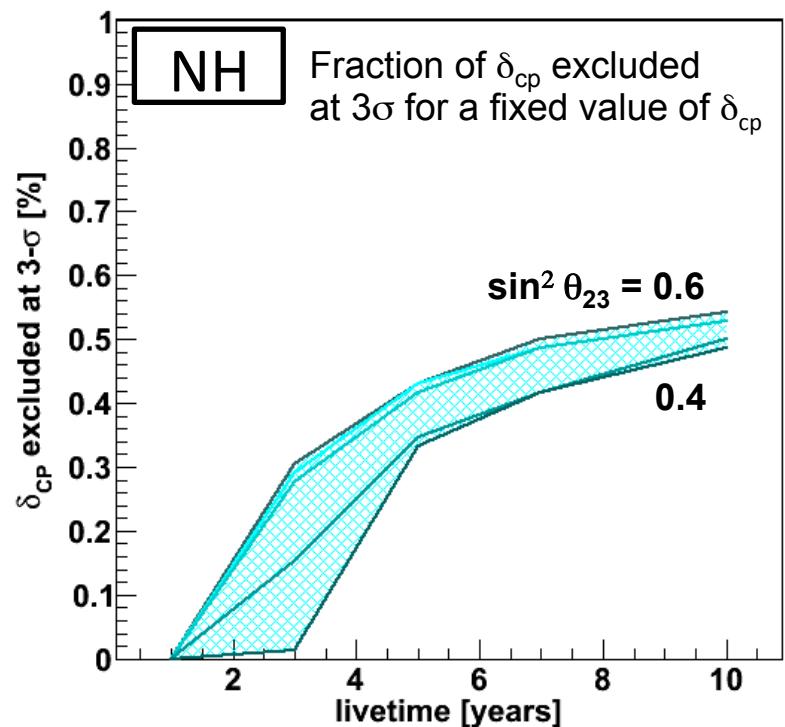
The sensitivity depends on θ_{23} , δ ,
and slightly on the MH itself.



Octant and δ_{cp} sensitivity



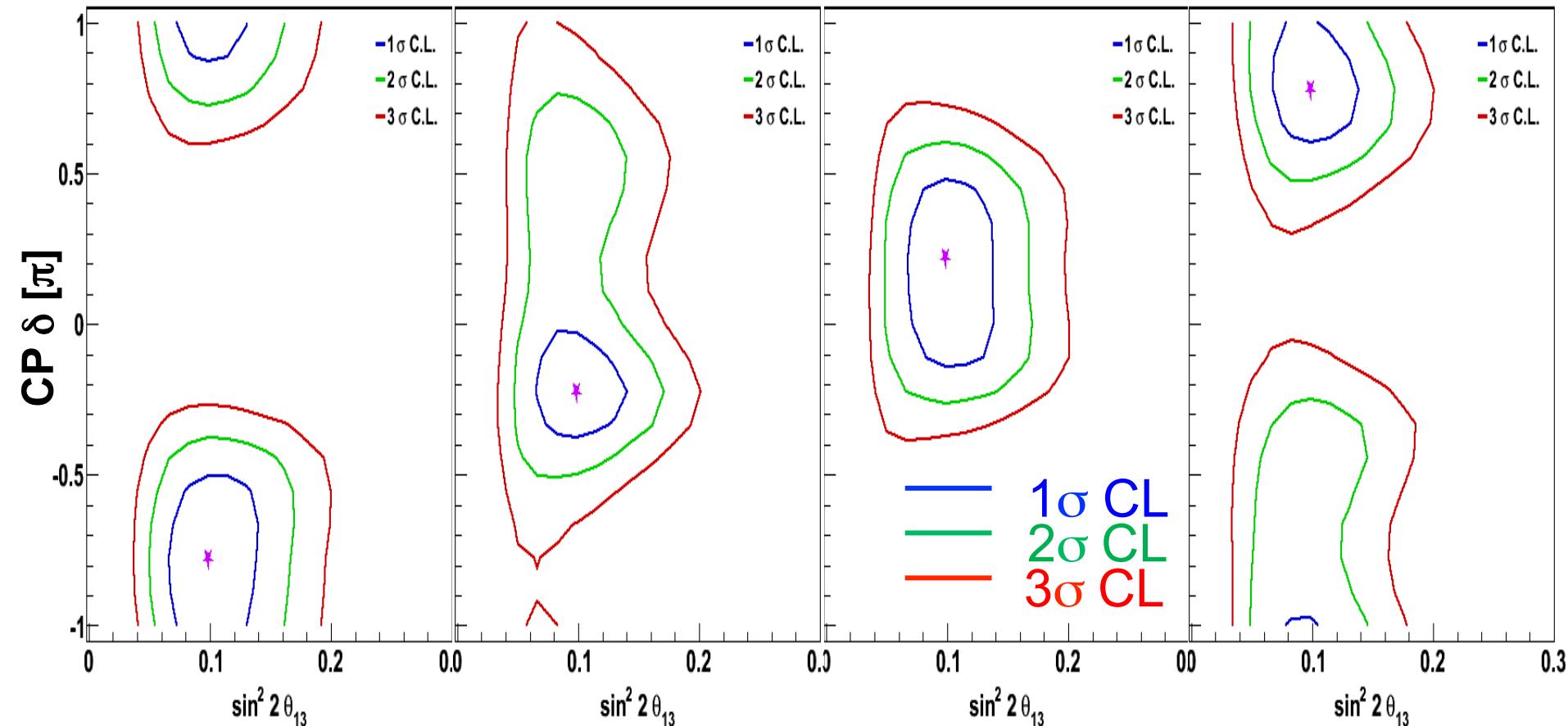
- Thickness of the band corresponds to the uncertainty from δ_{cp}
- Best value of $\delta_{cp} = 40$ degrees
- Worst value of $\delta_{cp} = 140$ (260) degrees, for 1st (2nd) octant



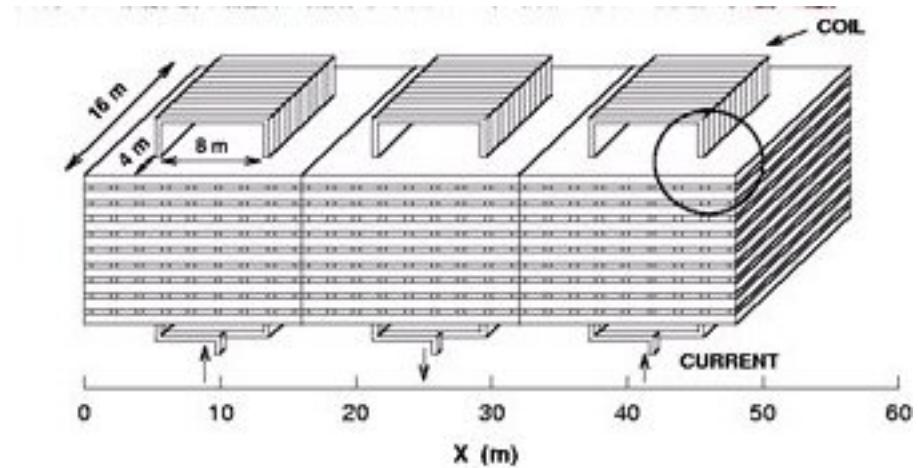
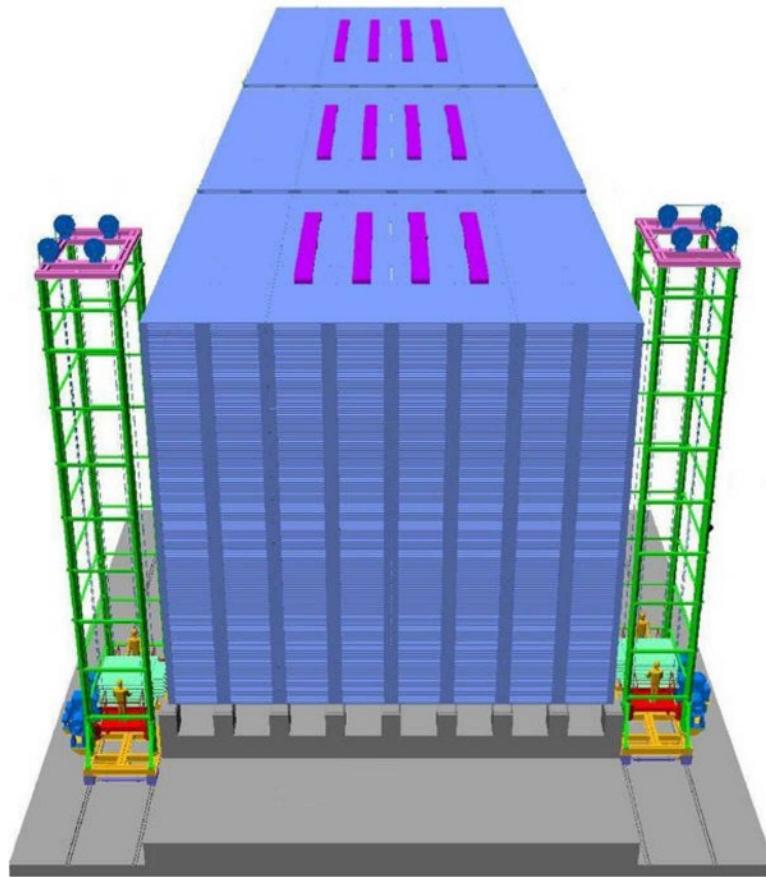
- With 1 year of data 2 σ sensitivity to the hierarchy for all values of δ_{cp} and either hierarchy assumption.

HK sensitivity for CP δ and $\sin^2 2\theta_{13}$

$\sin^2 2\theta_{13} = 0.1$, 10 years, NH



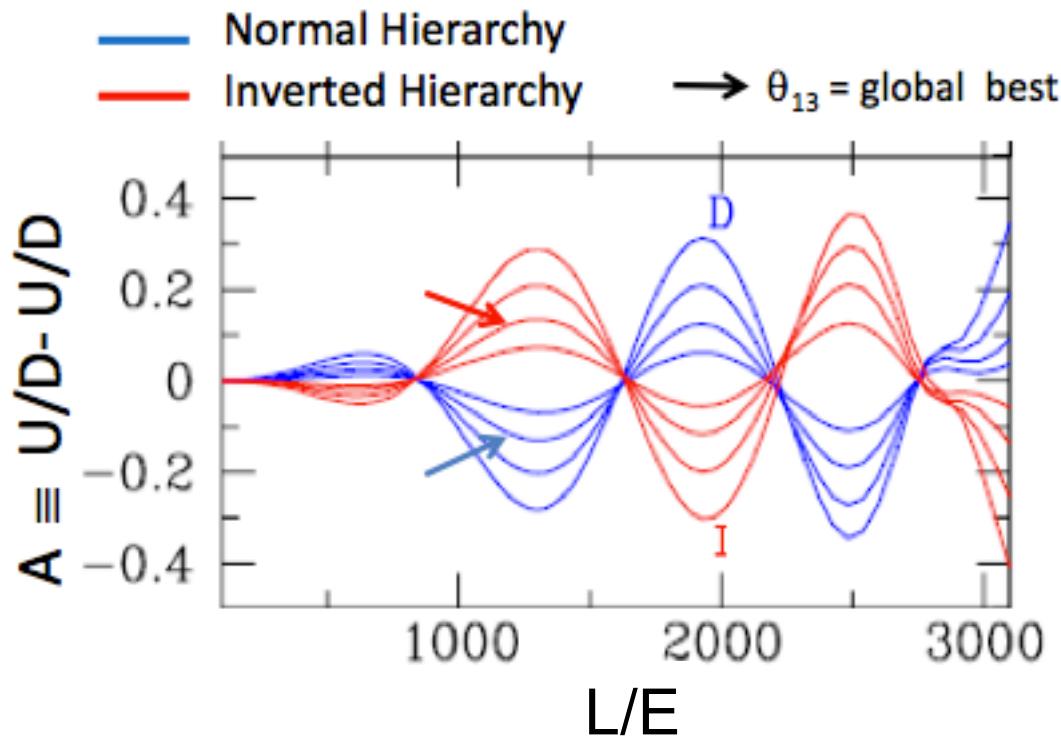
INO@ICAL 50kton magnetized calorimeter



- Very good L/E resolution
- Charge separation

Note: this is using MUONS

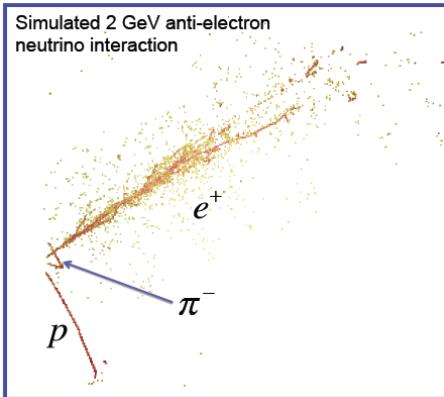
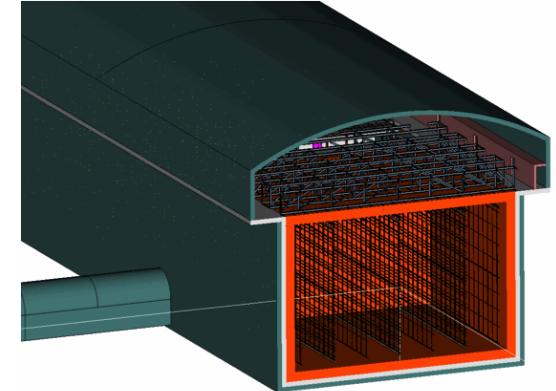
Mass Hierarchy in ICAL using μ



~3 sigma determination of the MH in ~ 10 years.

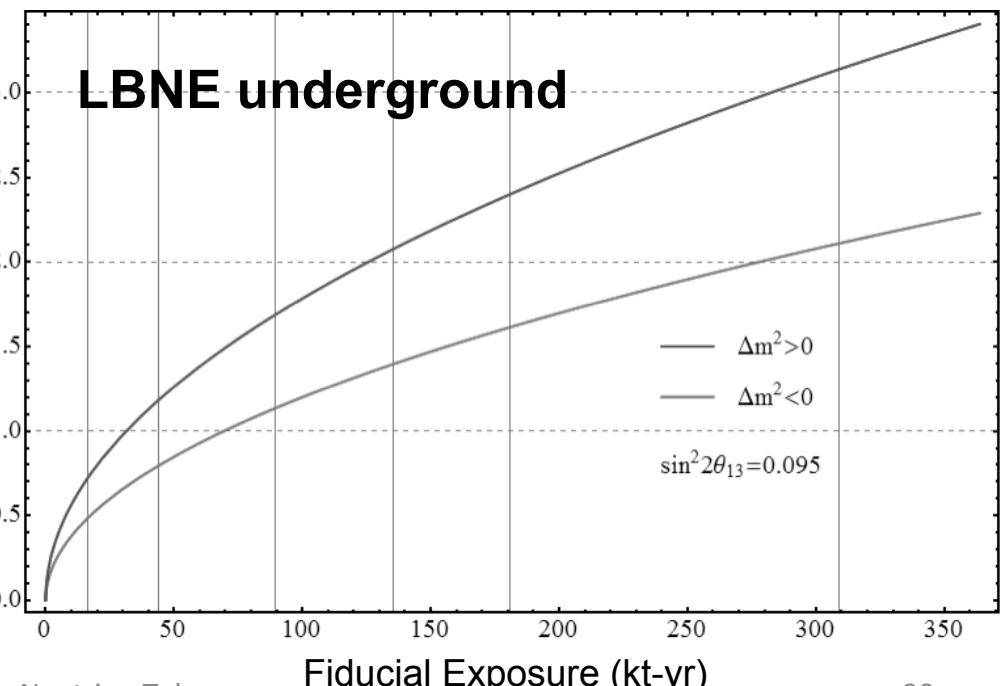
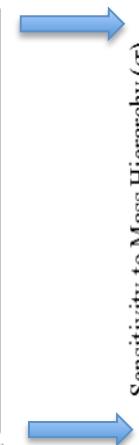
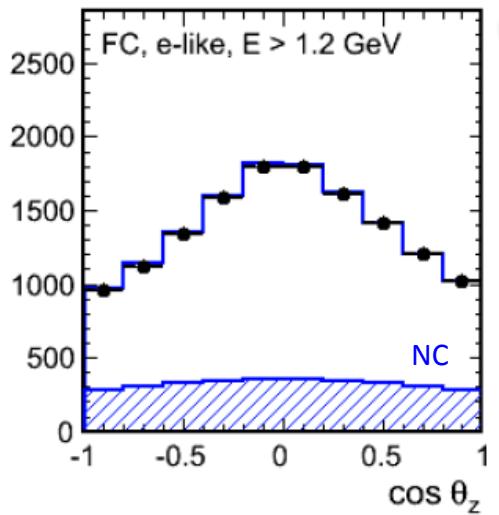
- Make use of asymmetric matter effects between ν_μ and $\bar{\nu}_\mu$ to discriminate hierarchy
- Sensitivity to hierarchy > 2σ if $\sin^2 2\theta_{13} > 0.05$
- 1 Mton • yr exposure

Liquid Argon (LBNE/Glacier/LBNO)

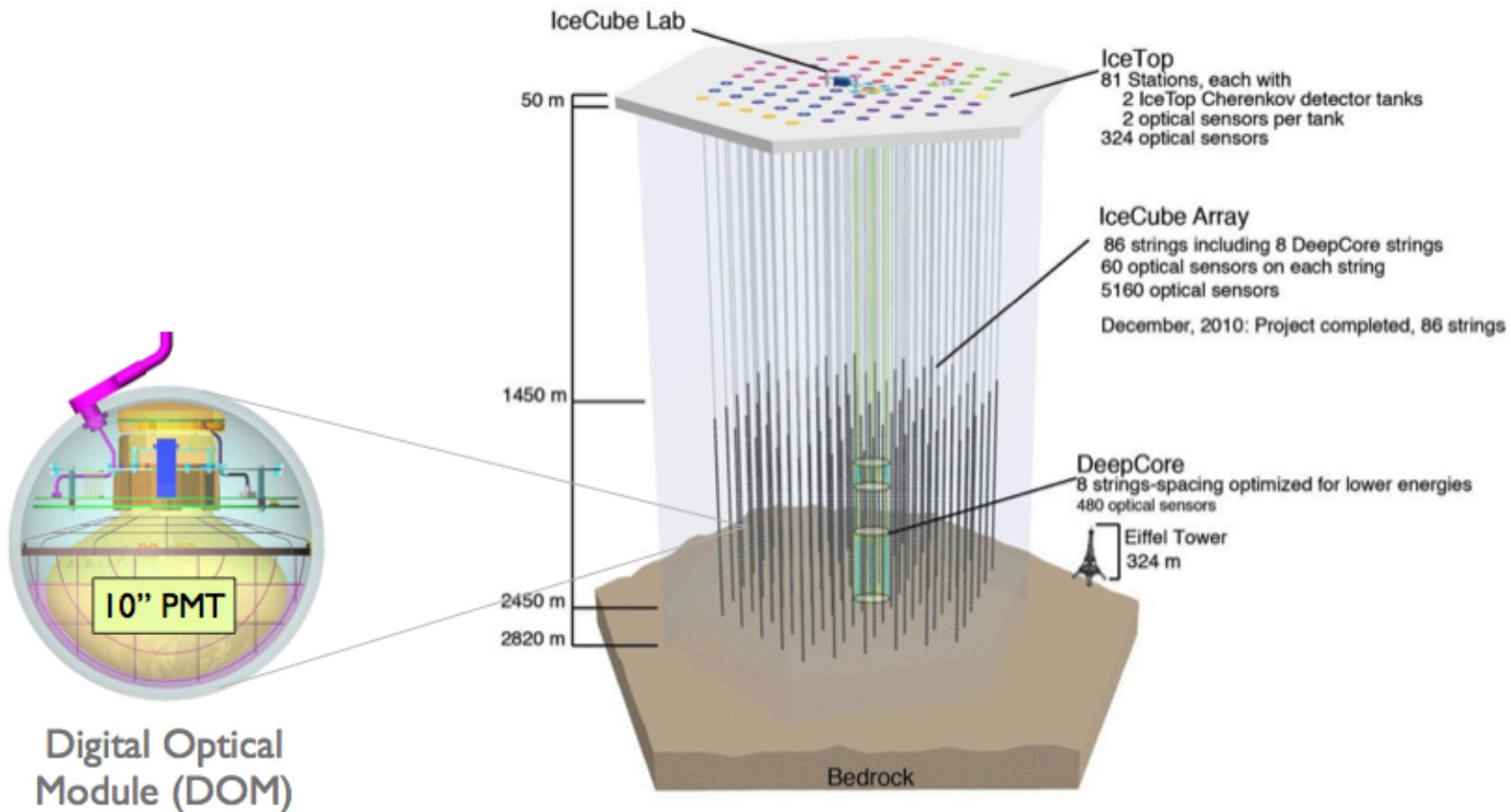


- High resolution:
- NC BG rejection
- Direction/energy (see all charged)
- $\nu/\text{anti-}\nu$ handles
- Above are needed to compensate for modest mass
- Magnetize?

Example from LBNE using performance estimation.



IceCube -> Deep Core

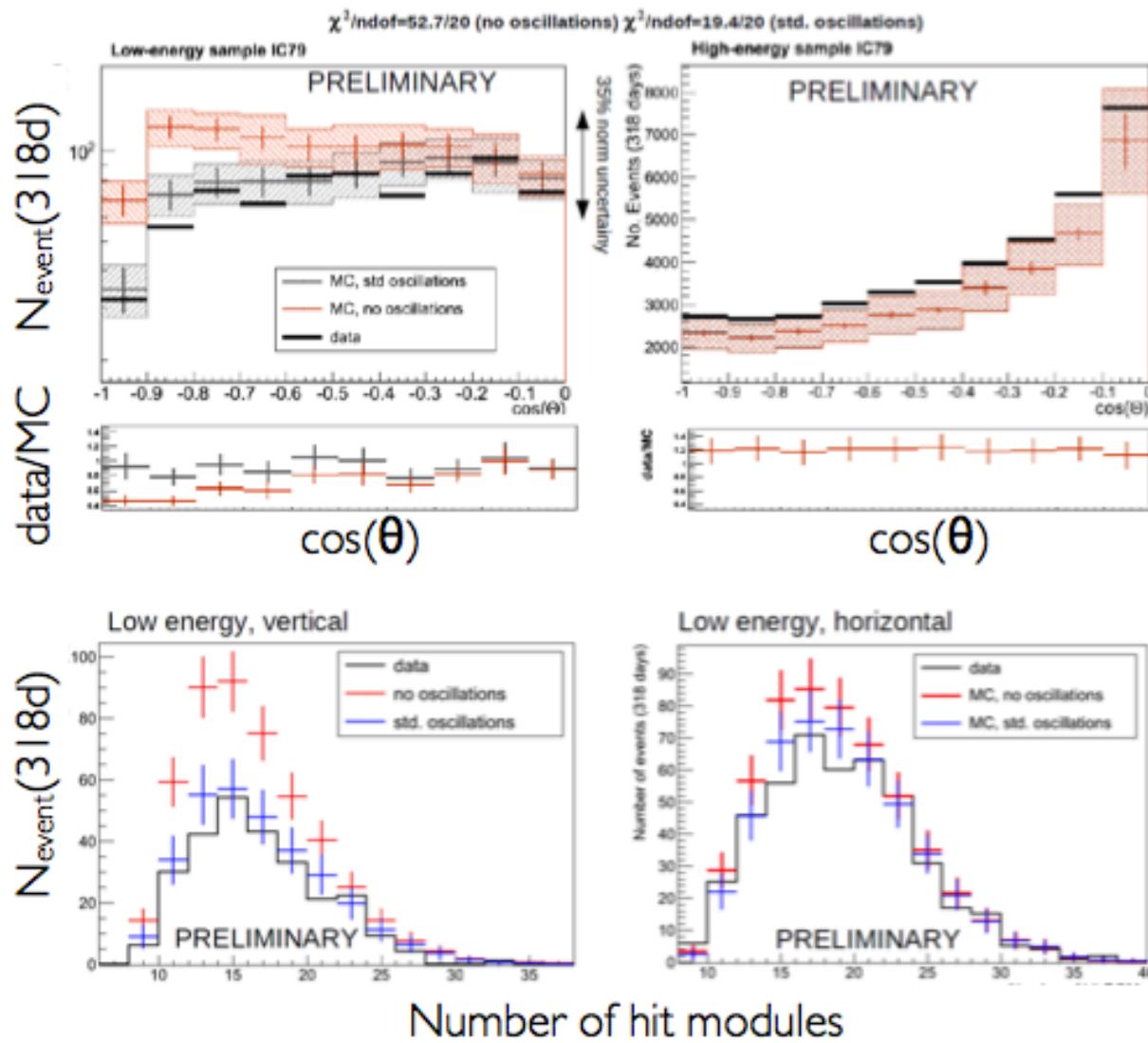


Digital Optical
Module (DOM)

Deep Core is a array of more densely instrumented strings to lower the threshold to ~ 10 GeV so they can see atmospheric neutrinos.

First observation of oscillations with deep core

Note: Error bar for MC

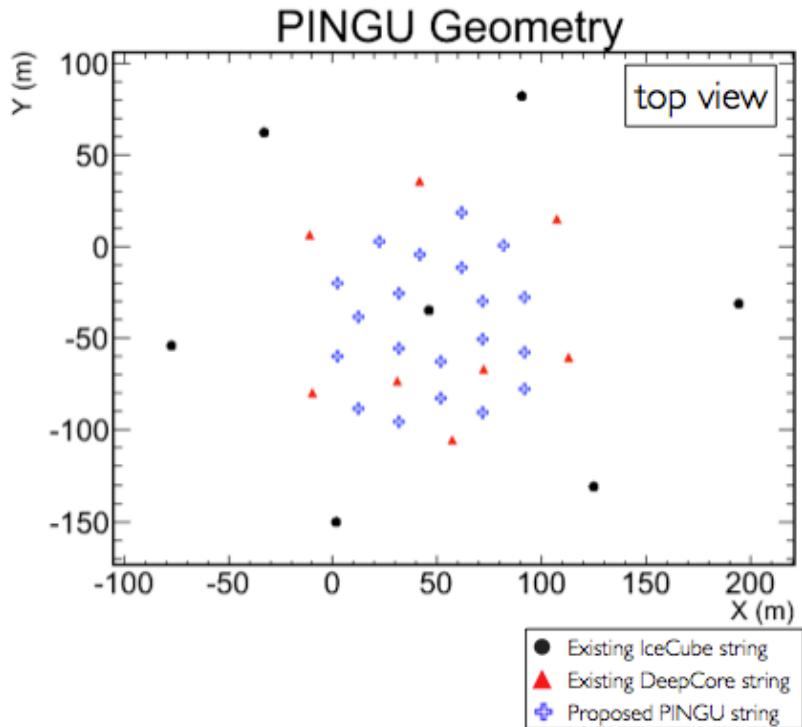


Note: from horizontal to upward going

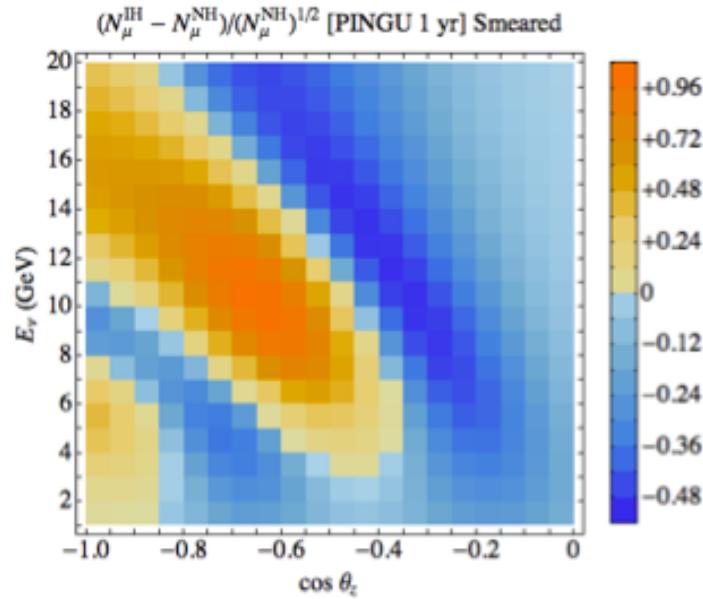


PINGU or ORCA: Even lower threshold

© [2011] The Pygos Group



<http://arxiv.org/abs/1205.7071>



Multi-Megatons of mass. Possible 3-10 sigma measurement of the MH in 5 years. But remember the lessons: push/design to constrain systematics (can downward going events be utilized?)

Conclusions

- Please relax and enjoy atmospheric neutrinos!
- We can still learn a lot from them.
- Large experiments like HK and INO and future LAr detectors will do precision oscillation physics and contribute to the measurements of sub-leading effects.
- The large water/ice experiments carry the promise of a big impact in mass hierarchy and maybe other precision measurements. But, the systematics must be understood and mitigated.

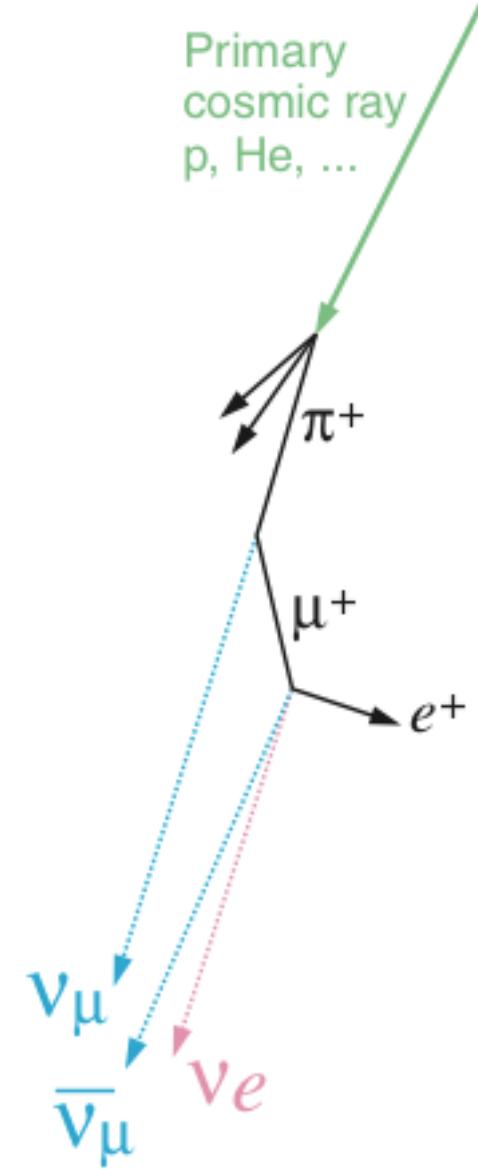
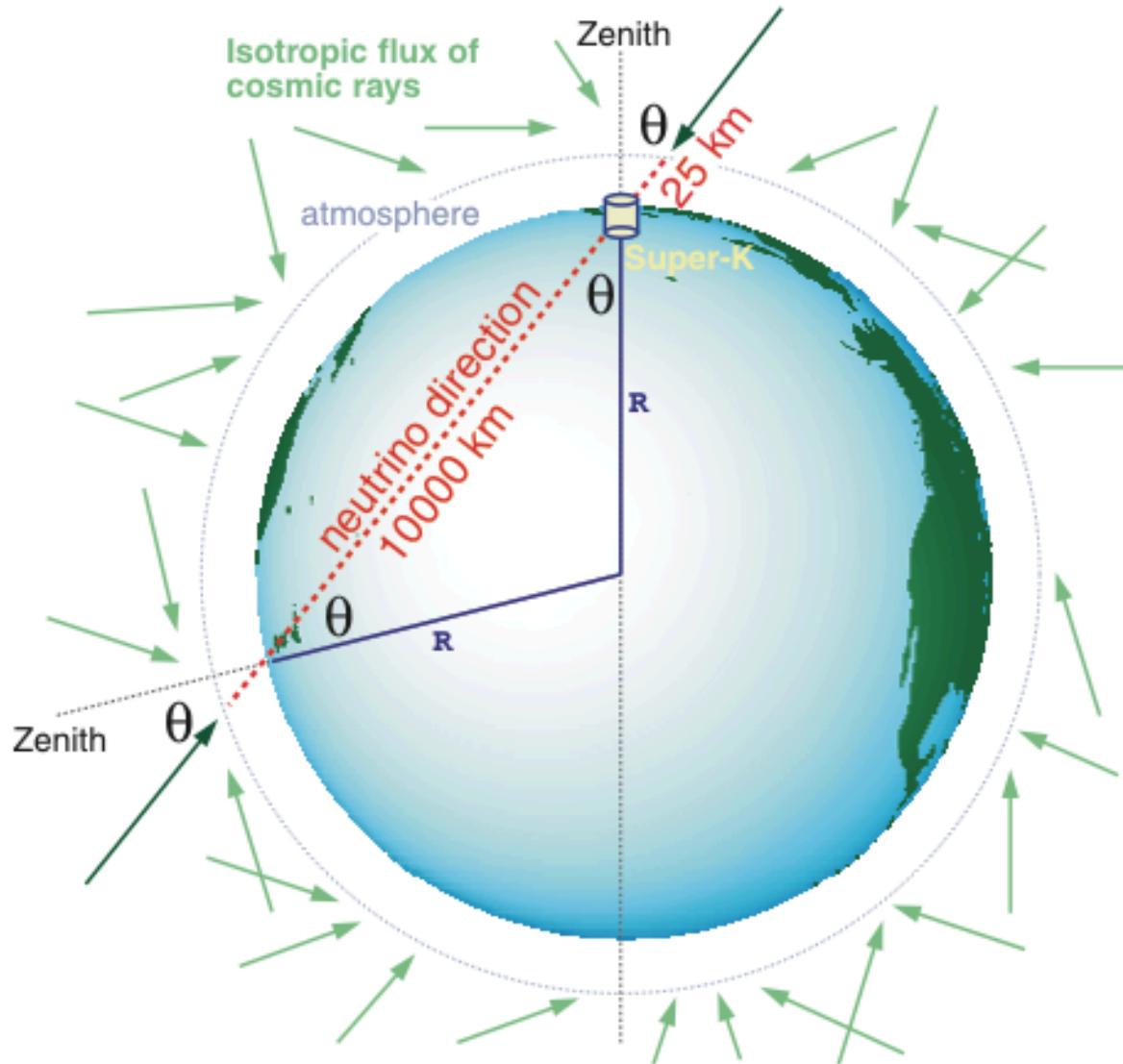
Backup

Flux



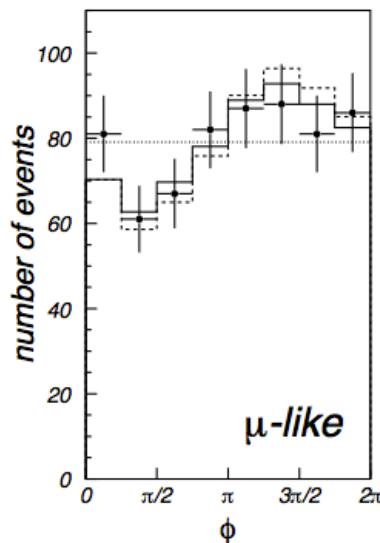
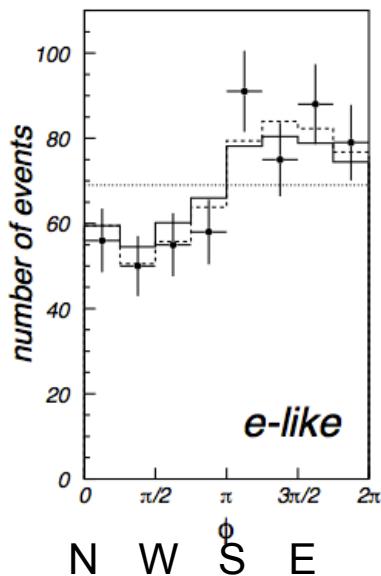
“Artist’s impression of a cosmic ray shower over London” (!)

The vs come from all around the earth!



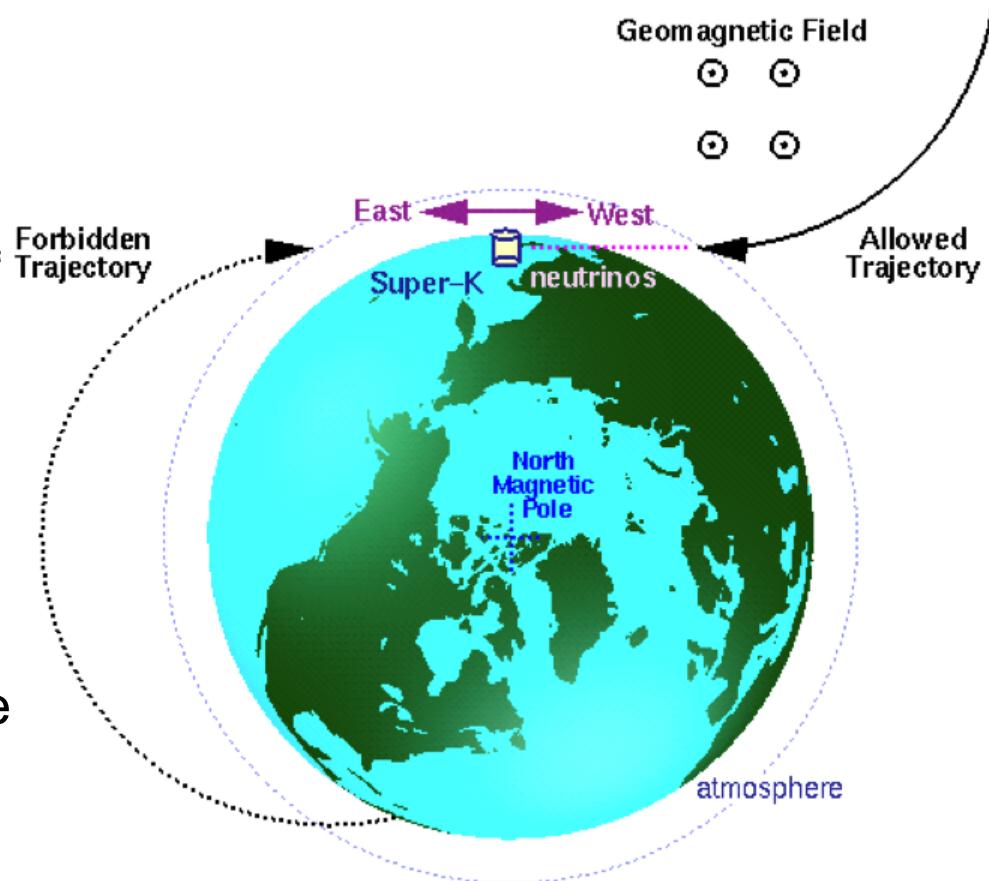
Same number coming up as going down.

East-West Effect

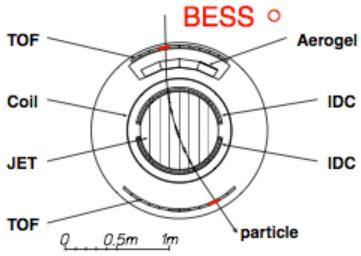


N W S E

1-Ring
 $\text{abs}(\cos\theta) < 0.5$
 $400 < p < 3000 \text{ MeV}$

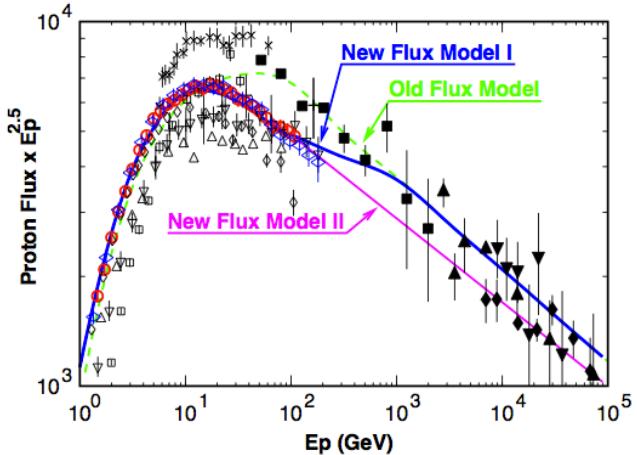


First seen in cosmic rays in the
30s! Repeated with neutrinos.



The Flux Model

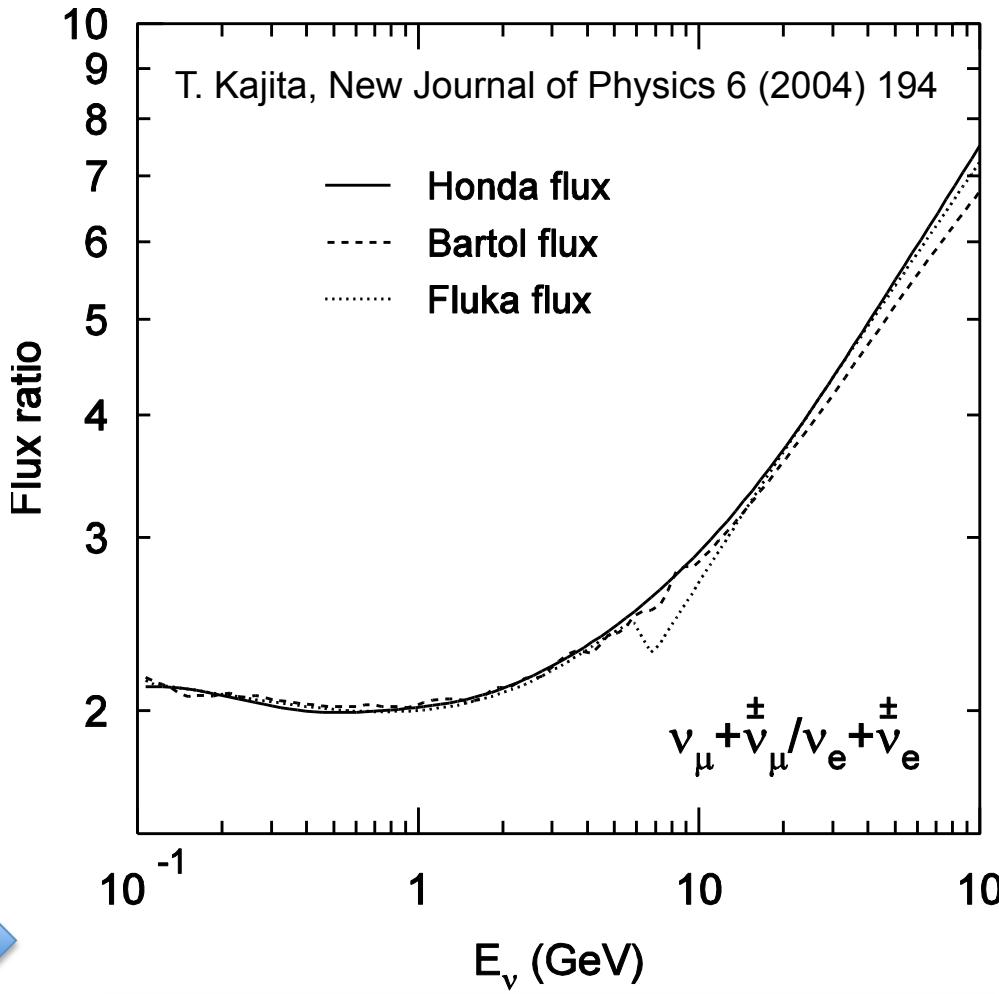
SPACE and BALLOON Detectors



Honda et al. PRD64(2001)053011

Measure primary cosmic ray flux
then:

- Model interactions
- Model pi/K decay
- Geomagnetic fields....



ν_μ/ν_e ratio is ~2, but increases with energy.
Known to about 3% below $\sim 5\text{GeV}$.

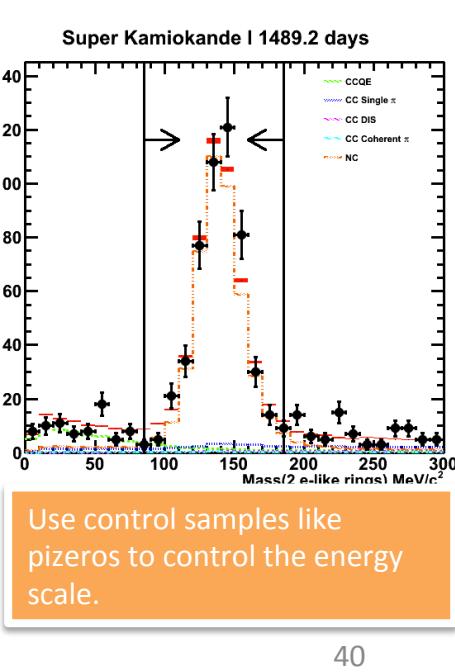
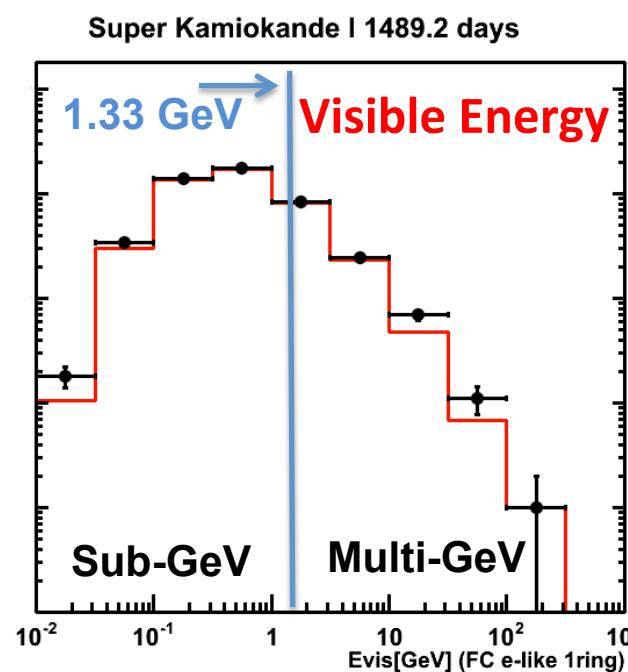
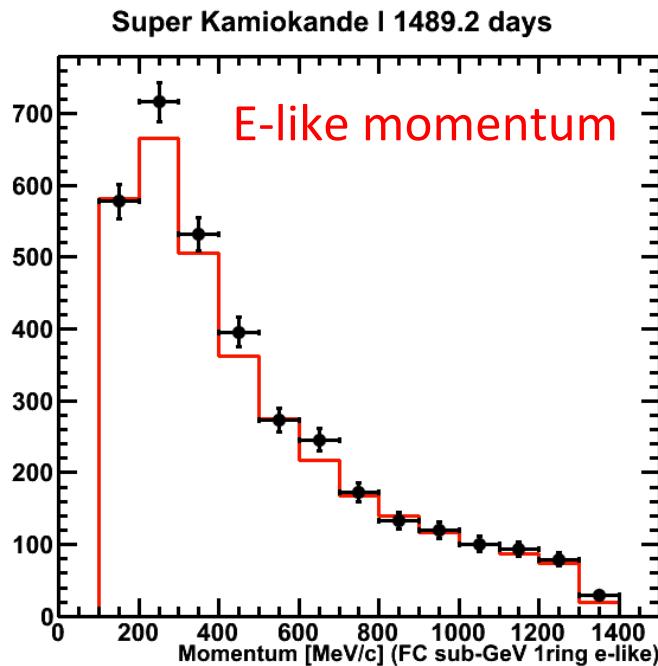
We typically don't plot neutrino energy.

We don't know the beam direction and if we can't see the proton can't do a kinematic reconstruction. So we usually compare expected and reconstructed momentum and related quantities.

Need a way to compare energy of all types of particles.



Visible Energy:
The energy of an electron that would produce the observed number of Cherenkov photons.

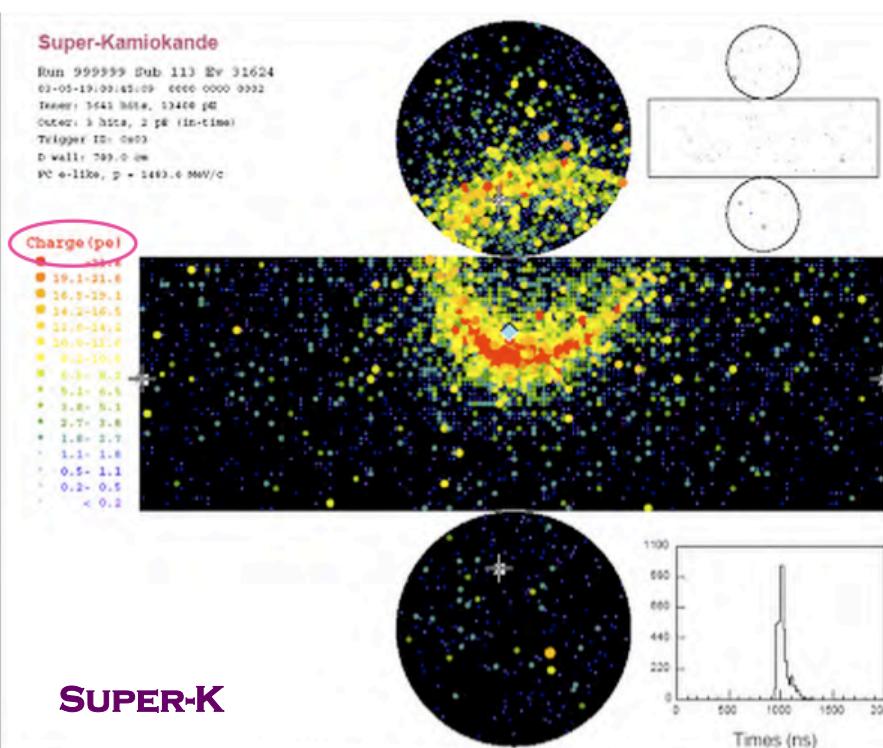


Same Particles: Different Detectors

E. KEARNS – NPSS07



LIQUID ARGON



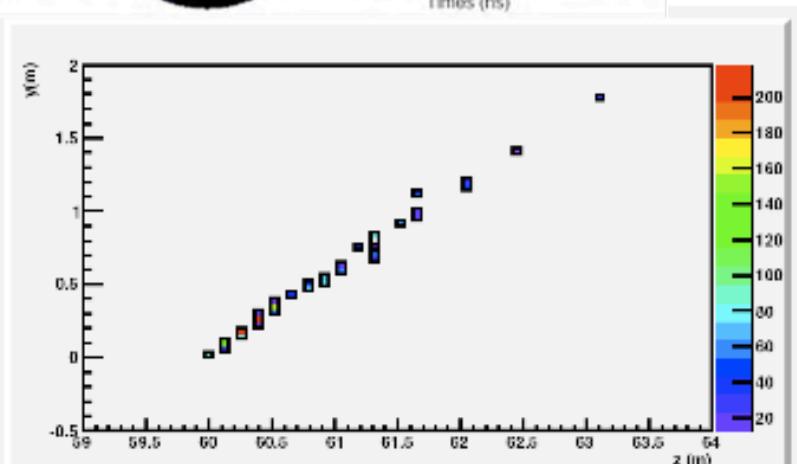
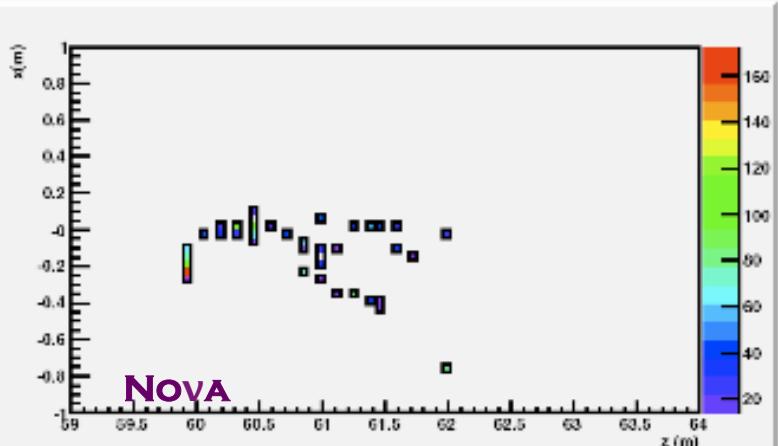
SUPER-K

As an exercise for NPSS07. Ed Kearns asked three groups to simulate the same particles in their detectors.

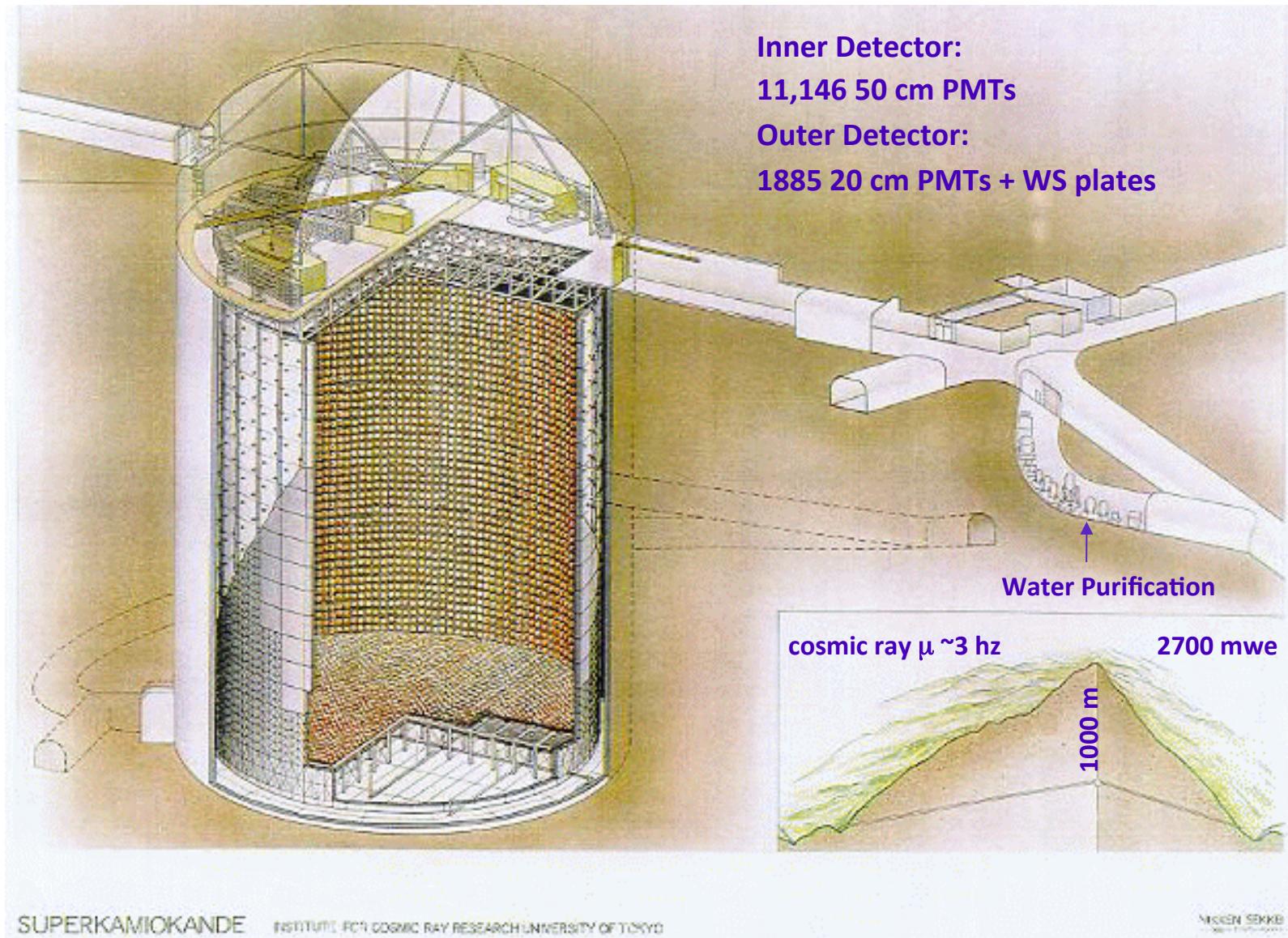
GREAT!

Monte Carlo Vectors

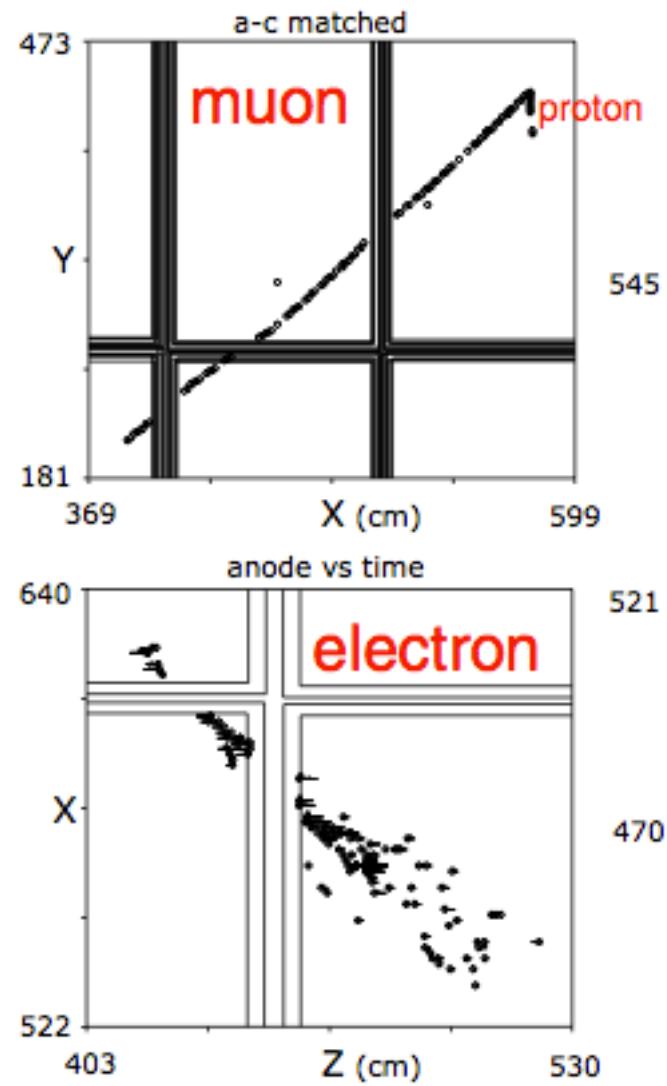
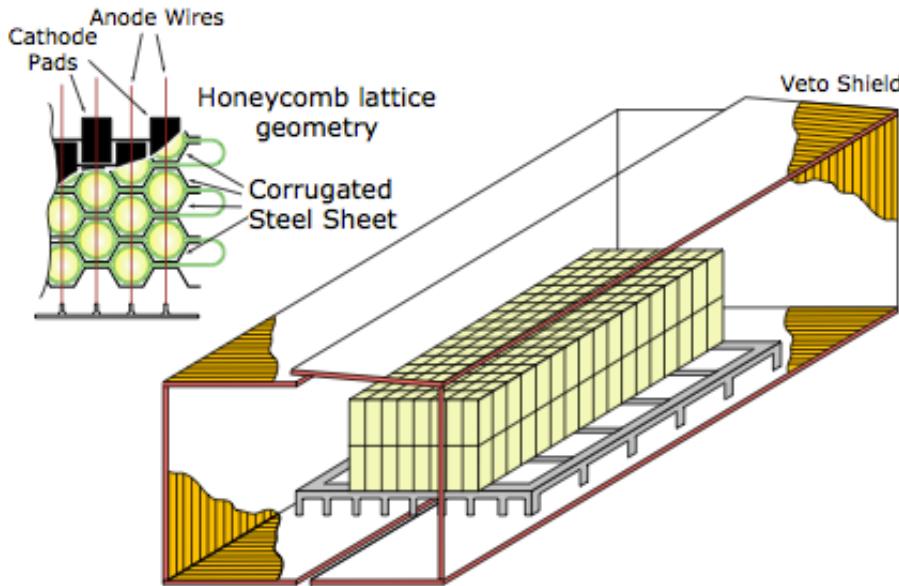
| | |
|--------|------------|
| proton | 691 MeV/c |
| pi0 | 1442 MeV/c |
| gamma | 245 MeV/c |
| gamma | 1204 MeV/c |



Super Kamiokande Detector: 50,000 Ton Water Cherenkov Detector



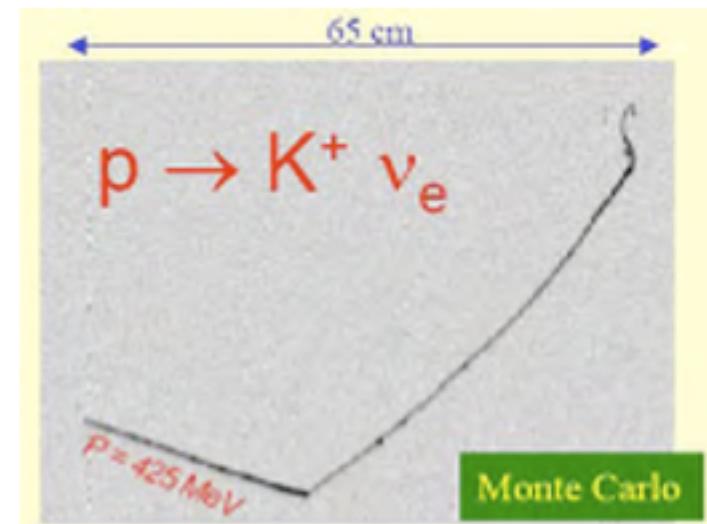
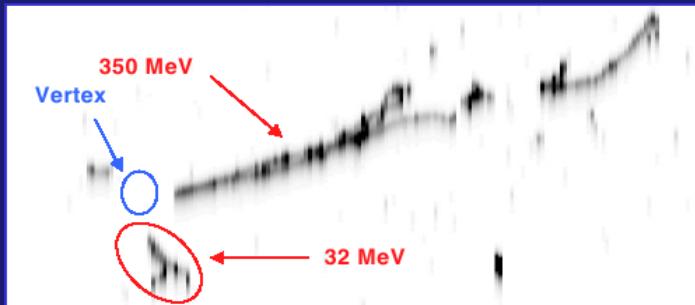
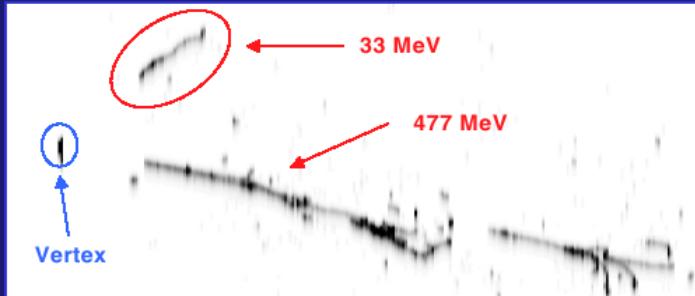
Soudan: an Iron tracking calorimeter



960 tons. Can see all particles.

Liquid Argon TPC – An electronic bubble chamber

NC misidentified events: γ low energy 1



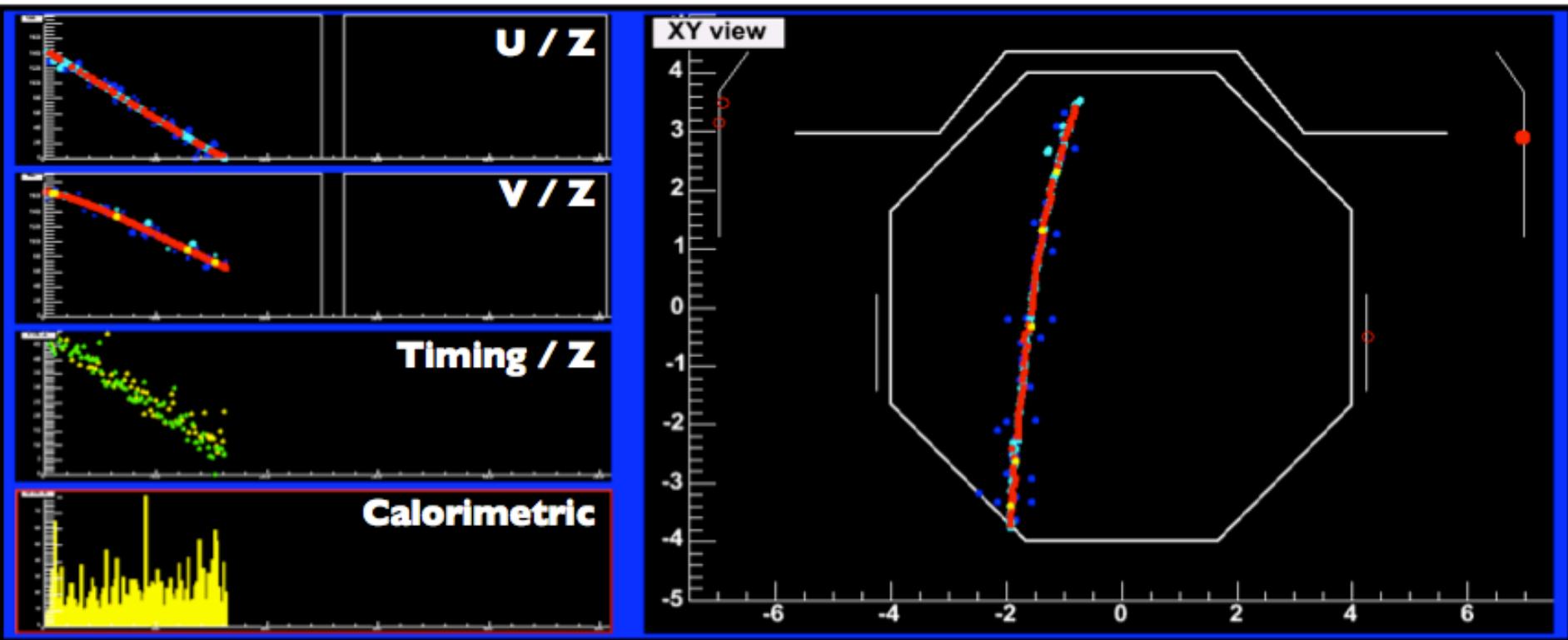
All particles are seen in LAR. In this proton decay the kaon would be below Cherenkov threshold.

Backgrounds missed in WC can be seen in LAR.

Example from T2K 2KM studies: *Vectors of NC BG in a WC detector simulated in LAR.*

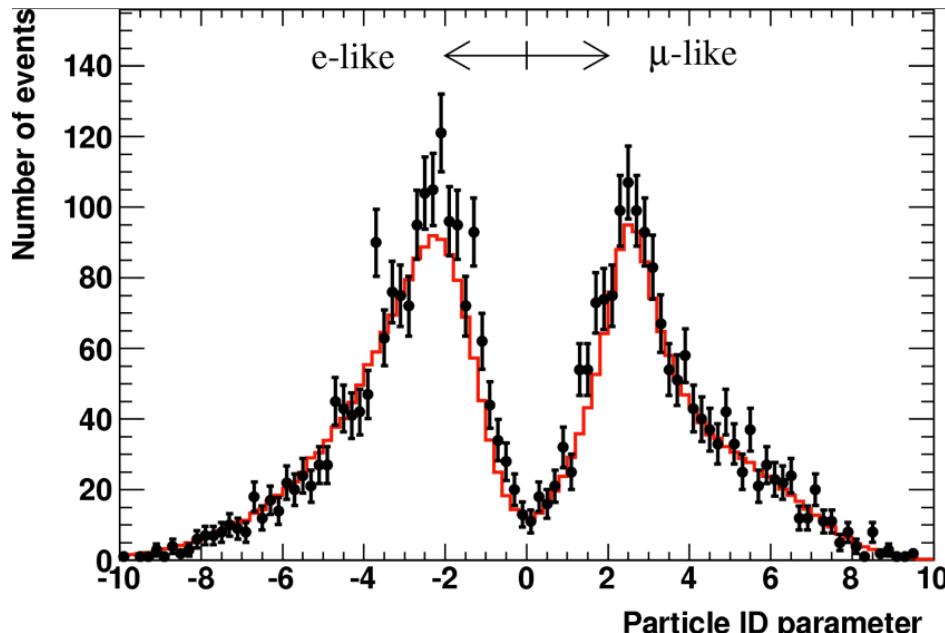
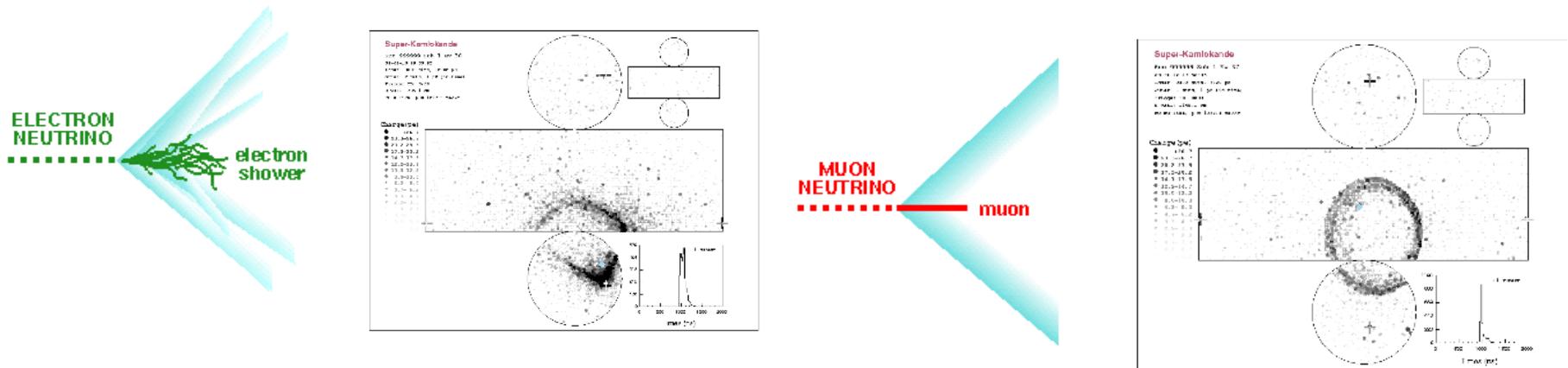
Resolution given by wire spacing $\sim 3\text{mm}$
(comparable to Gargamelle bubble size)

Can we tell ν from anti- ν ?



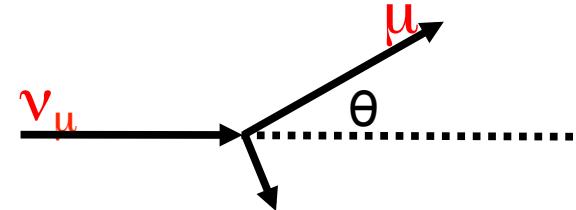
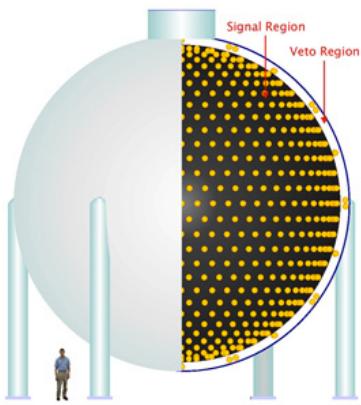
This is a picture from MINOS which has a magnetic field.
This is upward going from timing and curvature tells us it is a μ^+
INO/ICAL will be able to do this with ~50ktons!
Non-magnetic detectors need other tricks.

Telling Electrons from Muons



SK-IV atmospheric
neutrino data.
mis-id $\leq \sim 1\%$

E_ν Reconstruction (assuming QE)



$$E_\nu = \frac{m_N E_\mu - m_\mu^2/2}{m_N - E_\mu + p_\mu \cos(\theta_\mu)}$$

In Cherenkov detectors not every particle is above Cherenkov threshold. Luckily, in a Quasi-Elastic reaction, even if only the muon is visible we can reconstruct the neutrino energy!

[Case for most events in T2K/MiniBooNE Energies]

If the interaction is **non** Quasi-Elastic then the reconstructed energy will be incorrect.

m_N = Neutron Mass

E_μ = Muon Energy

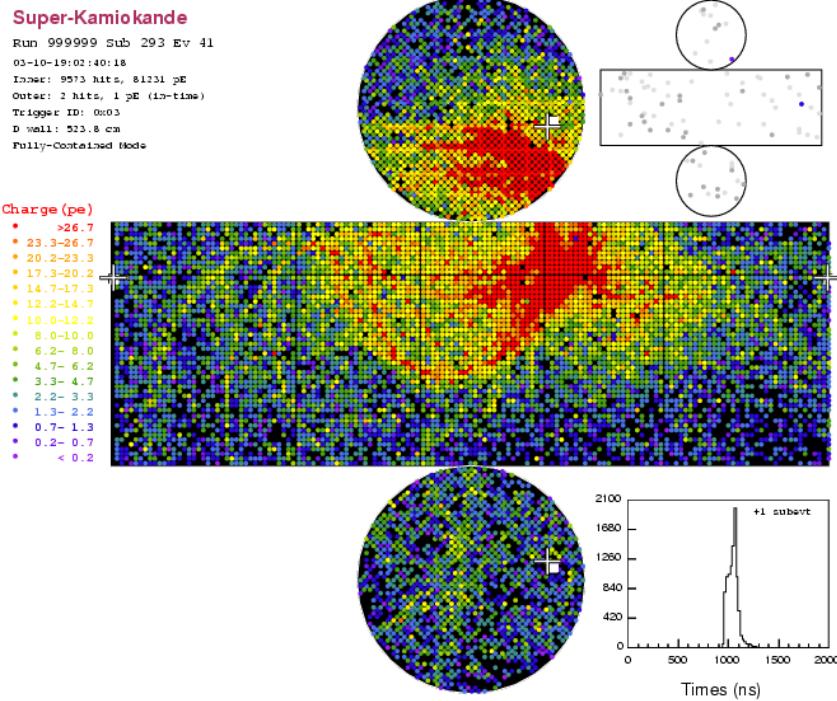
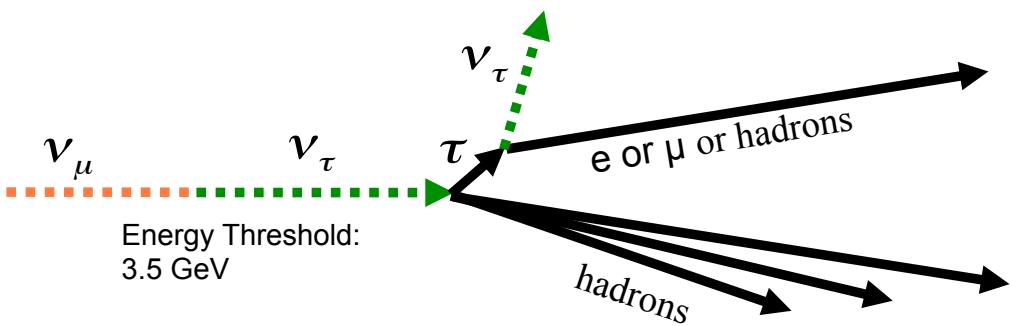
m_μ = Muon mass

p_μ = Muon momentum

θ_μ = Muon angle wrt beam

Tau Leptons in Super-K

A search for another smoking gun of neutrino oscillation:
tau *neutrino appearance.*

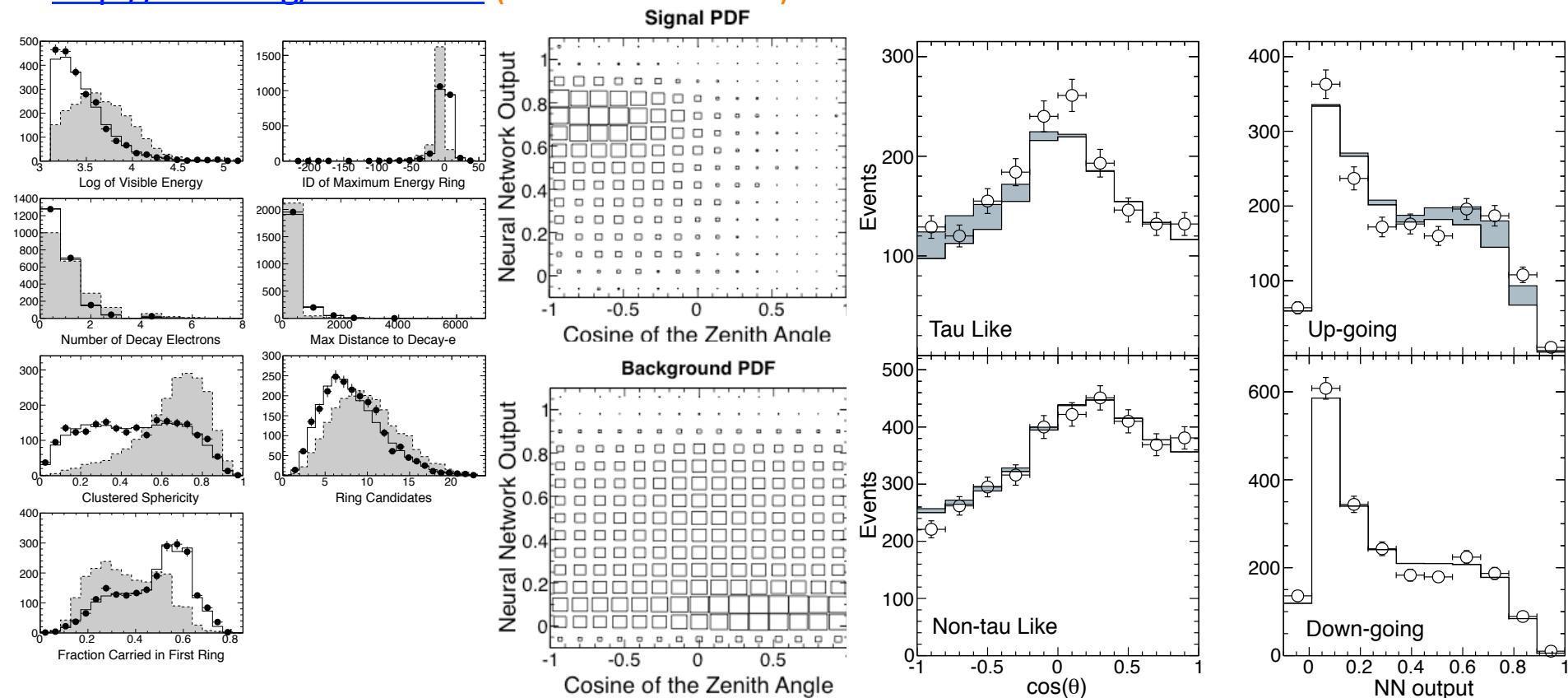


Signal: high energy, extra pions from tau decay,
more spherically symmetric due to decay of heavy tau.

Super-K Evidence for Tau Appearance

New data + perform 2D un-binned likelihood fit of signal and background.

<http://arxiv.org/1206.0328> (submitted to PRL)



$$\text{Norm}_{\text{Tau}} = 1.42 \pm 0.35_{(\text{stat})}^{+0.14}_{-0.12} \text{ (sys)}$$

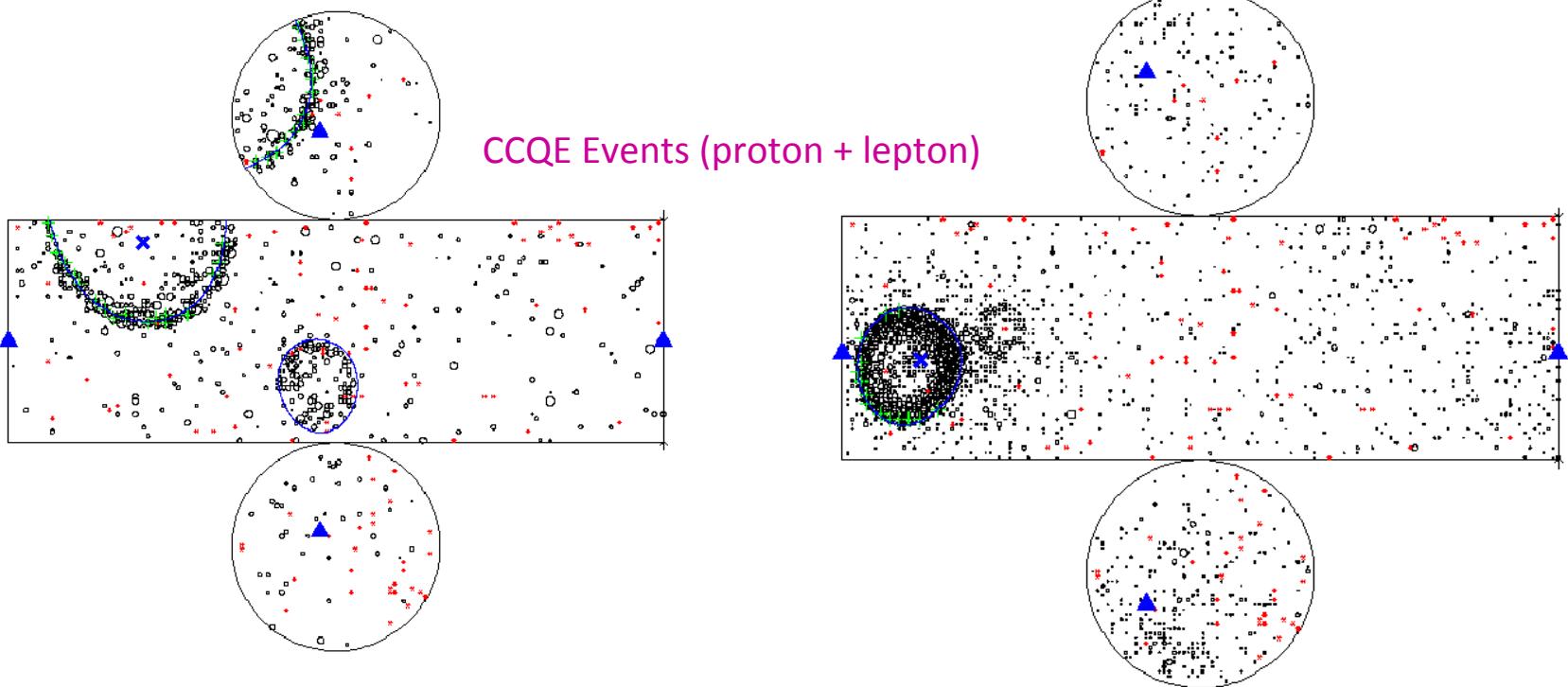
→ We can reject the no-appearance hypothesis.

P-Value: $6.16 \times 10^{-5} = \textbf{3.8 sigma}$

Corresponds to observed signal:

$180.1 \pm 44.3 \text{ (stat)} \pm 17.8 - 15.2$

Neutrino events with a proton



- CCQE events ($\nu + p \rightarrow p + l$) can be fully reconstructed because all kinematics are constrained.
- CC events with a visible proton come only from neutrinos.

Don't need to know the direction of the beam!

This is very difficult in WC and Iron. LAr can do it.

What is L?

Every angle corresponds to a distribution of lengths.

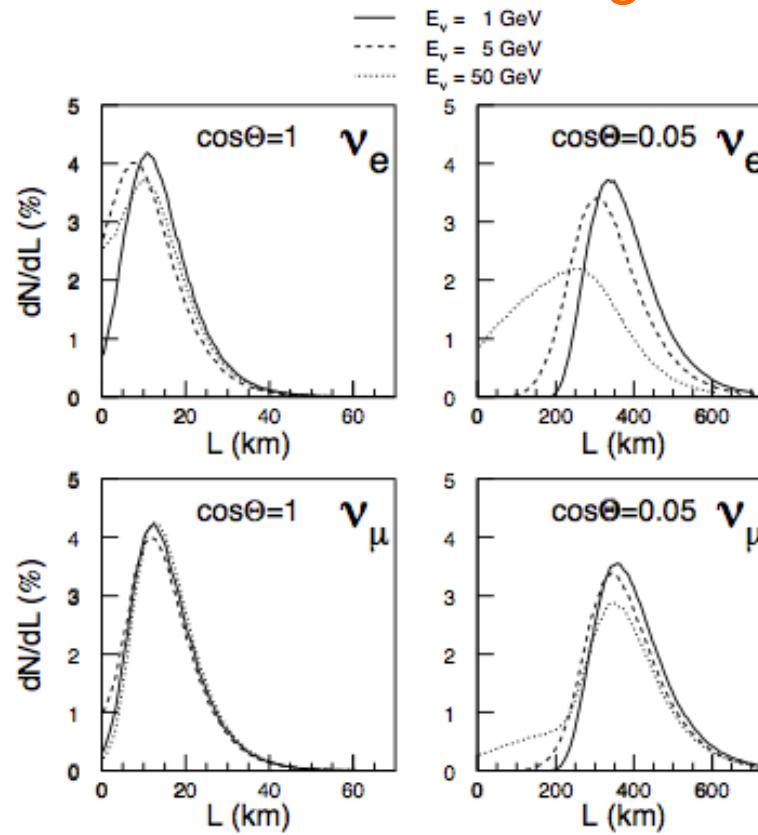
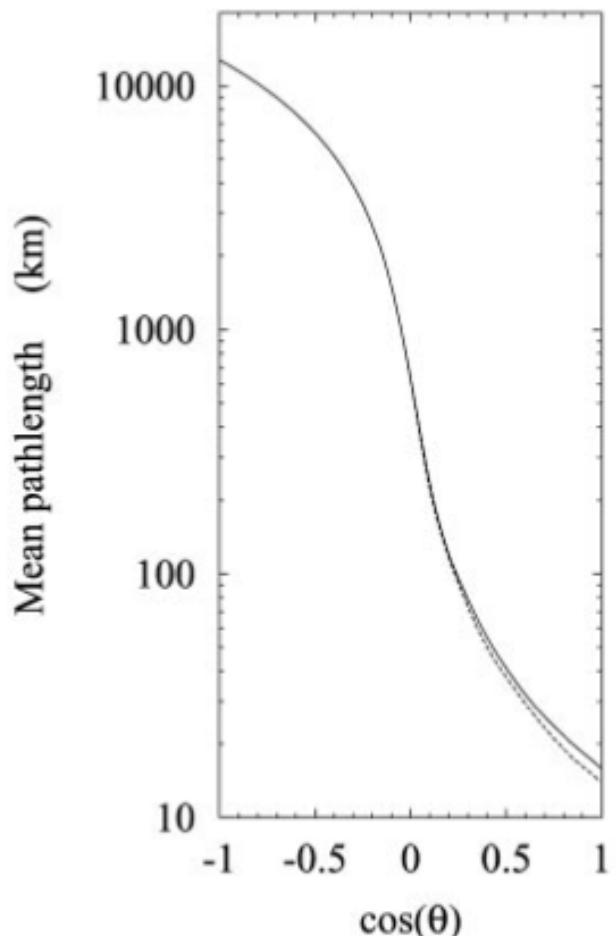


Figure 8.3: The distribution of neutrino production heights for $E_\nu=1, 5$, and 20 GeV for neutrinos from overhead ($\cos \Theta = 1$) and near the horizon ($\cos \Theta = 0.05$).

M. Messier, Thesis 1999

Incorporating errors in fit to a model or hypothesis

$$\chi^2 = \sum_{n=1}^{N_{Bins}} \left[2(\underline{N_{exp}^n} - N_{obs}^n) + 2N_{obs}^n \ln \left(\frac{N_{obs}^n}{N_{exp}^n} \right) \right] + \sum_{i=1}^{N_{Errors}} \left(\frac{\varepsilon_i}{\sigma_i} \right)^2$$

$$N_{exp} = N_{MC} \cdot P(\nu_\mu \rightarrow \nu_\mu \text{ (for CC}\nu_\mu)) \cdot (1 + \sum_{j=1}^{70} f_j \cdot \varepsilon_j)$$

As you change the systematic errors you penalize your χ^2 and modify your expectation.

This is a Poissonian χ^2 (see the PDG)
(careful about meaning of absolute χ^2)

N_{obs} : observed number of events

N_{exp} : expectation from MC

ε_i : systematic error term

σ_i : sigma of systematic error

f_{ij} : coupling to each bin

χ^2 minimization at each parameter point (Δm^2 , $\sin^2 2\theta$, ...).

Method (χ^2 version): G.L.Fogli et al., PRD 66, 053010 (2002).

In SK we solve a system of equations iteratively instead of using MINUIT. It's faster with lots of errors. It's also possible to mix with minimizer.

What about the other channels?

Are muons also distorted by the resonance?

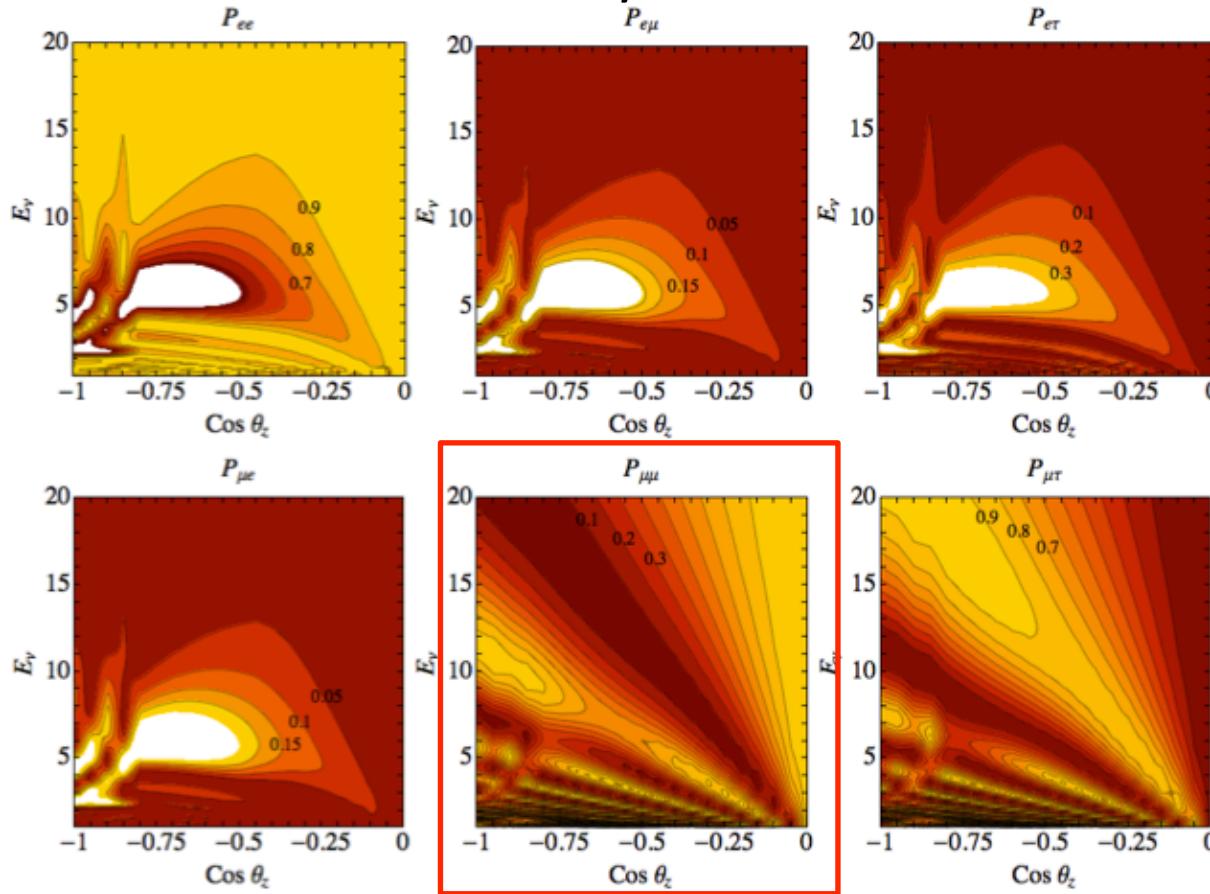
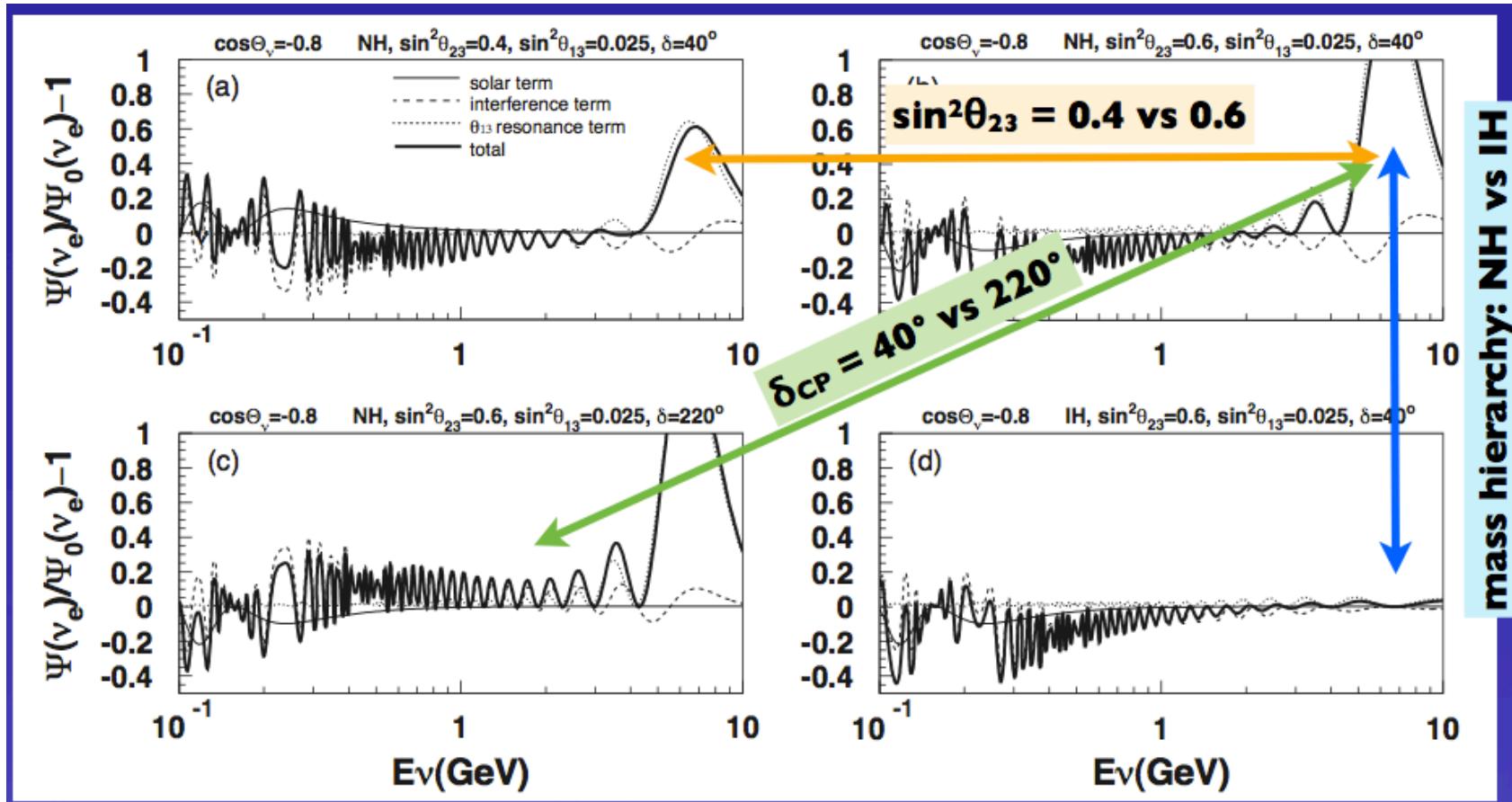
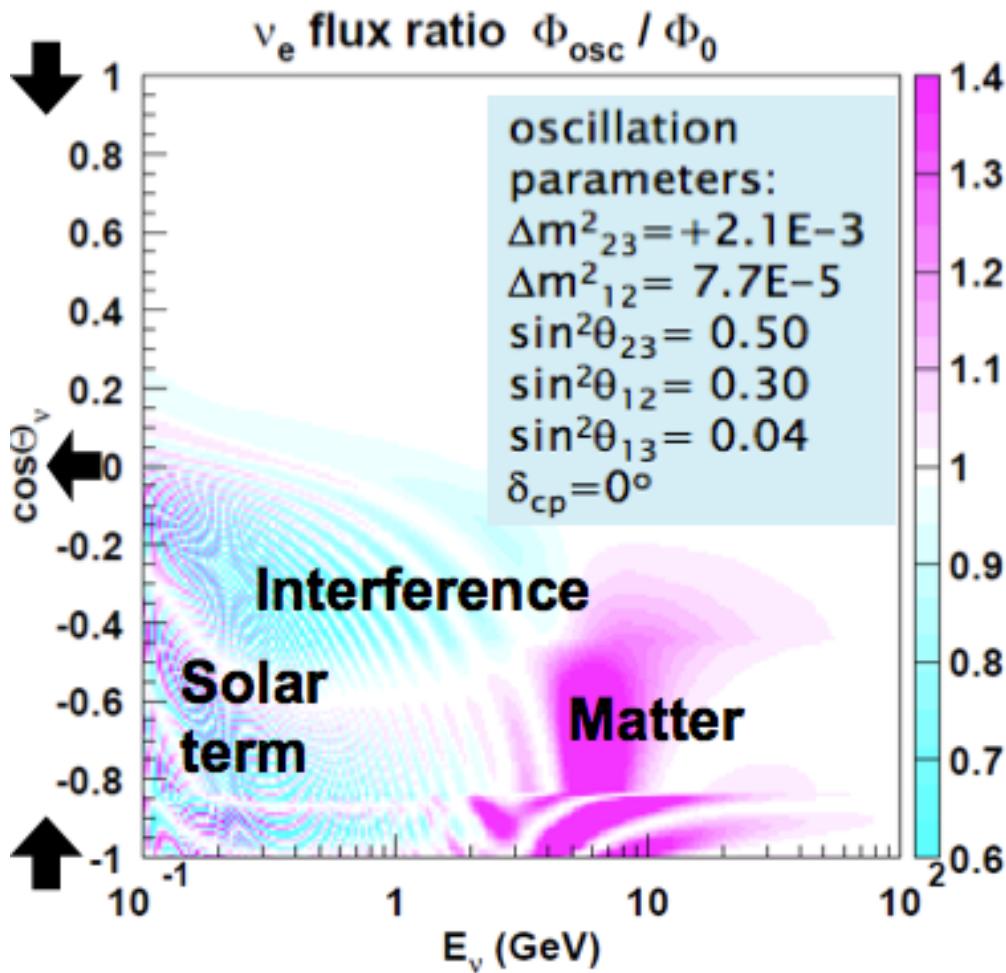


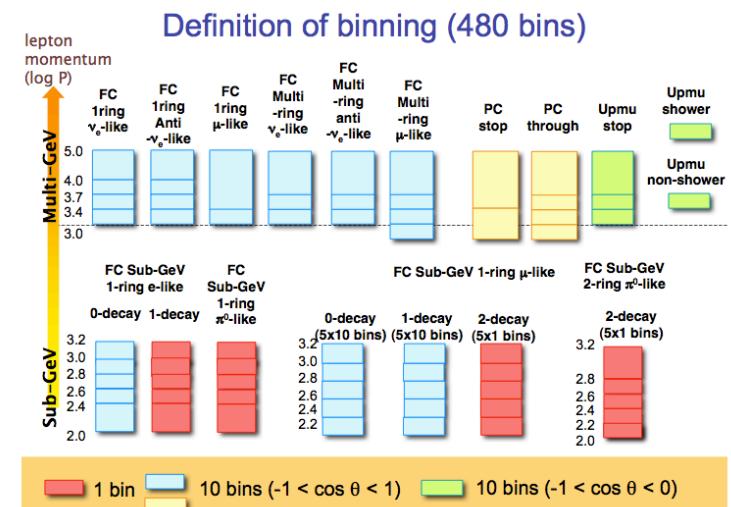
FIG. 2: Neutrino oscillograms of the Earth (lines of equal probabilities in the $E_\nu - \cos \theta_z$ plane) for different oscillation channels for the normal mass hierarchy and the values of the oscillation parameters indicated in the text.



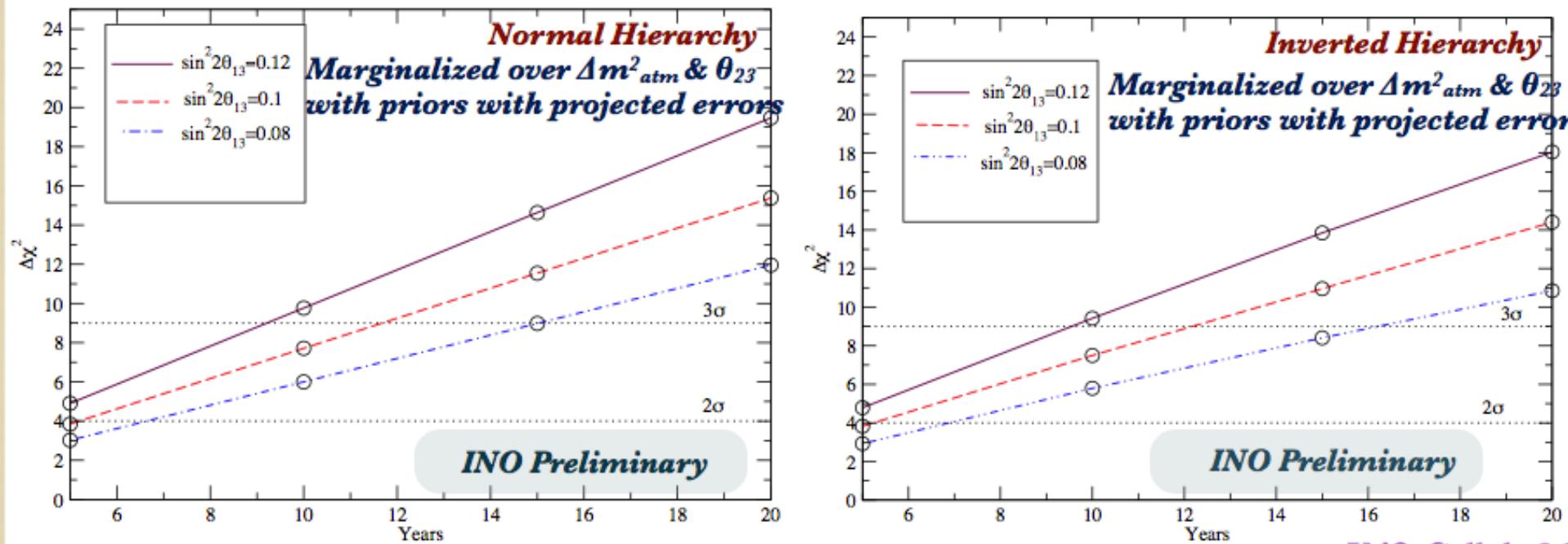
Now put it back together!



Fit all the data in a way optimized to extract this info



Mass Hierarchy in INO



~3 sigma determination of the MH in ~ 10 years.

Neutrino Sample Composition at Multi-GeV Energies (try to enhance nu vs antinu)

| Composition (%) | | CC ν_e | CC anti- ν_e | CC $\nu_\mu +$ anti- ν_μ | NC |
|--------------------|----|------------|------------------|--------------------------------|------|
| ν_e like | 1R | 60.2 | 10.6 | 13.5 | 14.8 |
| | MR | 57.5 | 17.4 | 10.7 | 13.7 |
| Anti- ν_e like | 1R | 55.7 | 36.6 | 1.1 | 6.4 |
| | MR | 51.9 | 20.7 | 8.2 | 19.7 |

| Composition (%) | | CC ν_e | CC anti- ν_e | CC $\nu_\mu +$ anti- ν_μ | NC |
|-----------------|----|------------|------------------|--------------------------------|-----|
| ν_μ like | 1R | 0.2 | 0.08 | 98.8 | 0.2 |
| | MR | 2.5 | 0.3 | 91.7 | 4.4 |

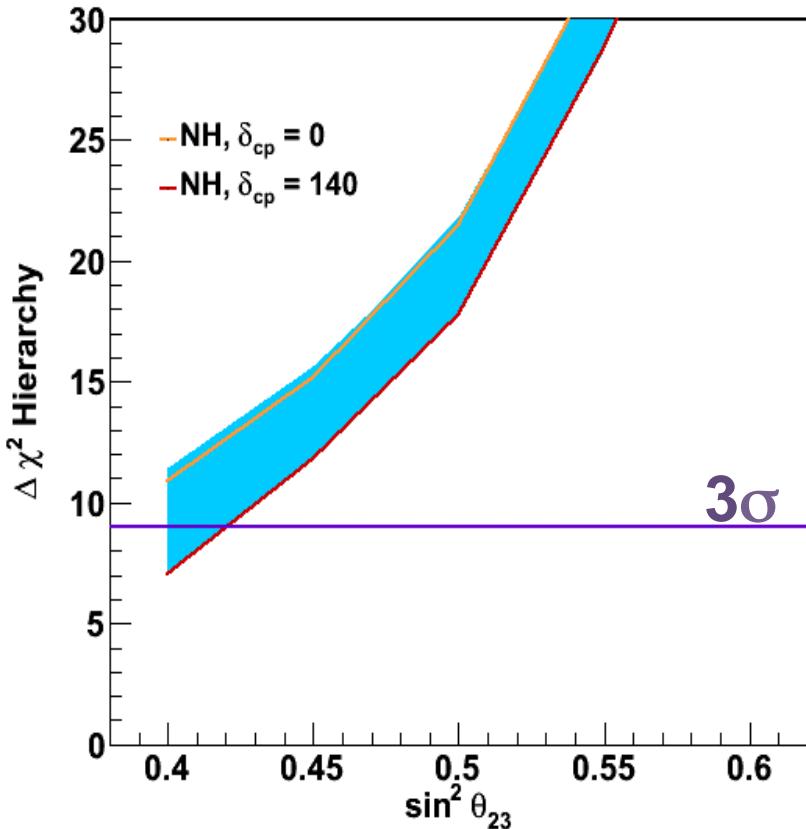
Important Systematic Error Terms for ν_e Appearance (out of 151 considered overall)

| Error Source | Uncertainty |
|---|-------------|
| ν_e vs. anti- ν_e sample selection | 7% |
| Charged-Neutral Pion Production | 40% |
| Tau Production Cross section | 25% |
| DIS Cross Section | 5-10% |
| NC / CC Ratio | 20% |
| Single-Pion Production | 20% |
| Flux Normalization above 1 GeV | 7% |
| Flux Ratio ν to $\bar{\nu}$ above 1 GeV | 5-8% |

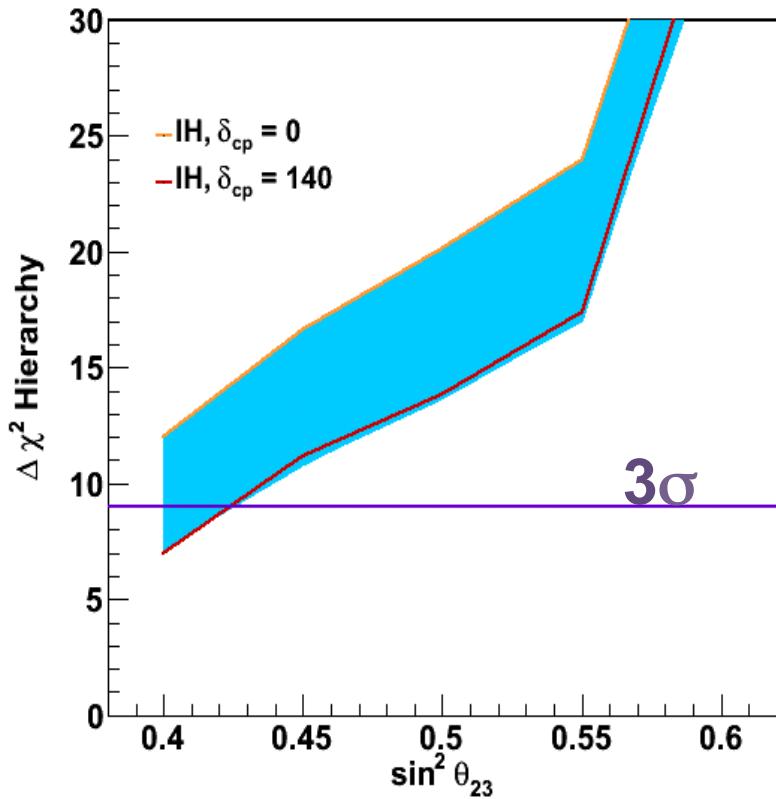
Hierarchy sensitivity, 10 years of Atmospheric neutrino data (Previous meeting)

NH,
unknown

θ_{13} is fixed :
 $\sin^2 2\theta_{13} = 0.10$

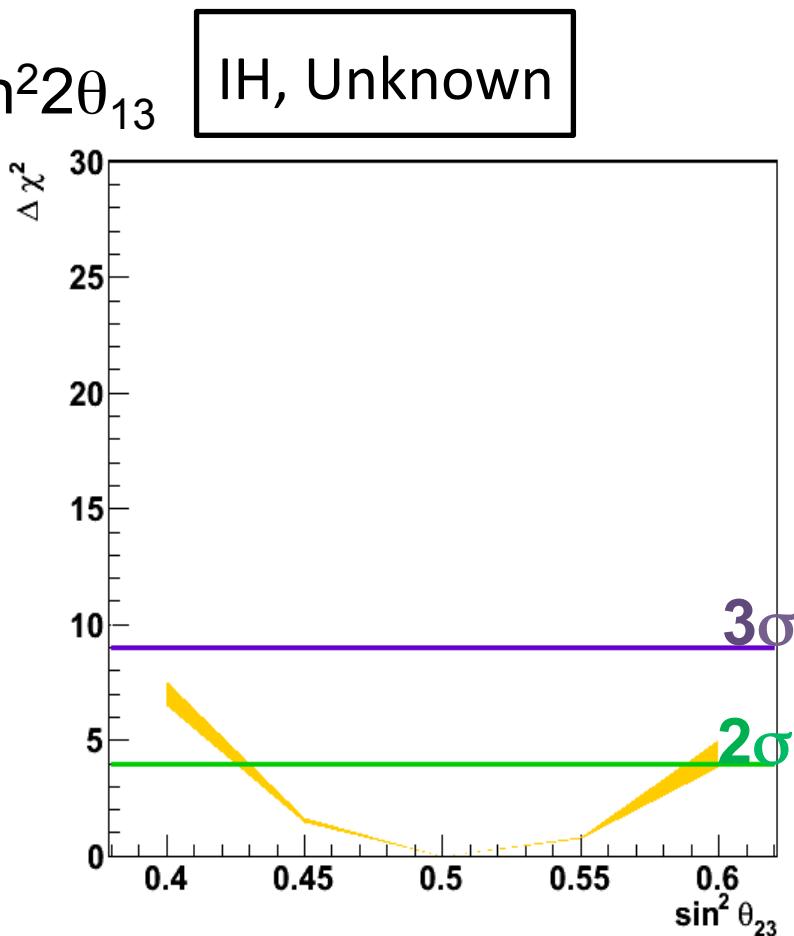
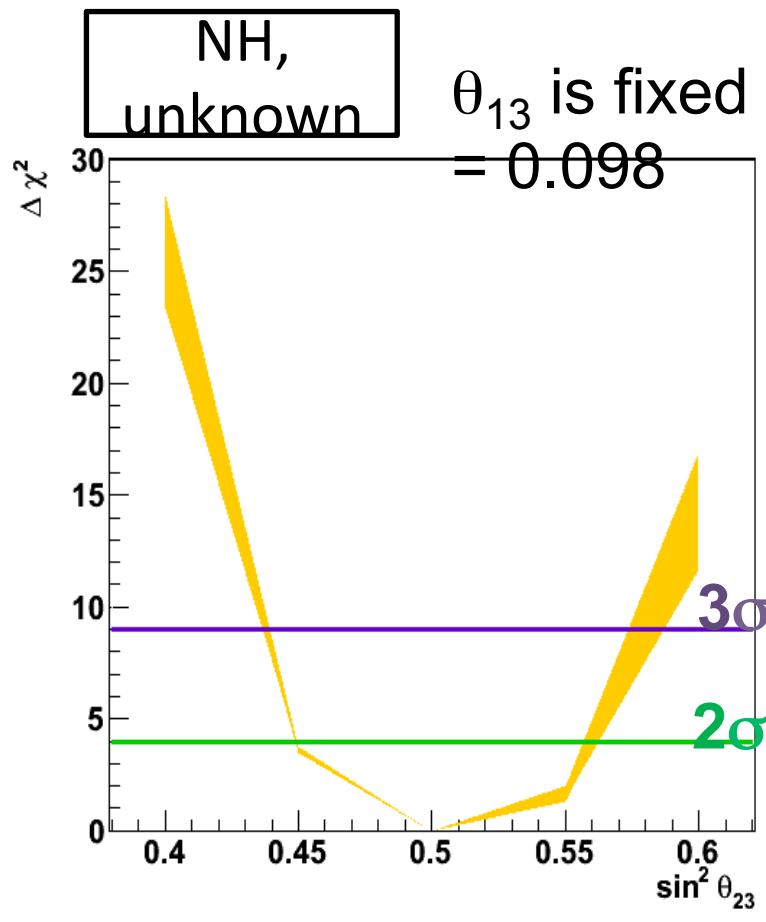


IH, Unknown



- Thickness of the band corresponds to range of δ_{cp}
- Weakest sensitivity overall in the tail of the first octant

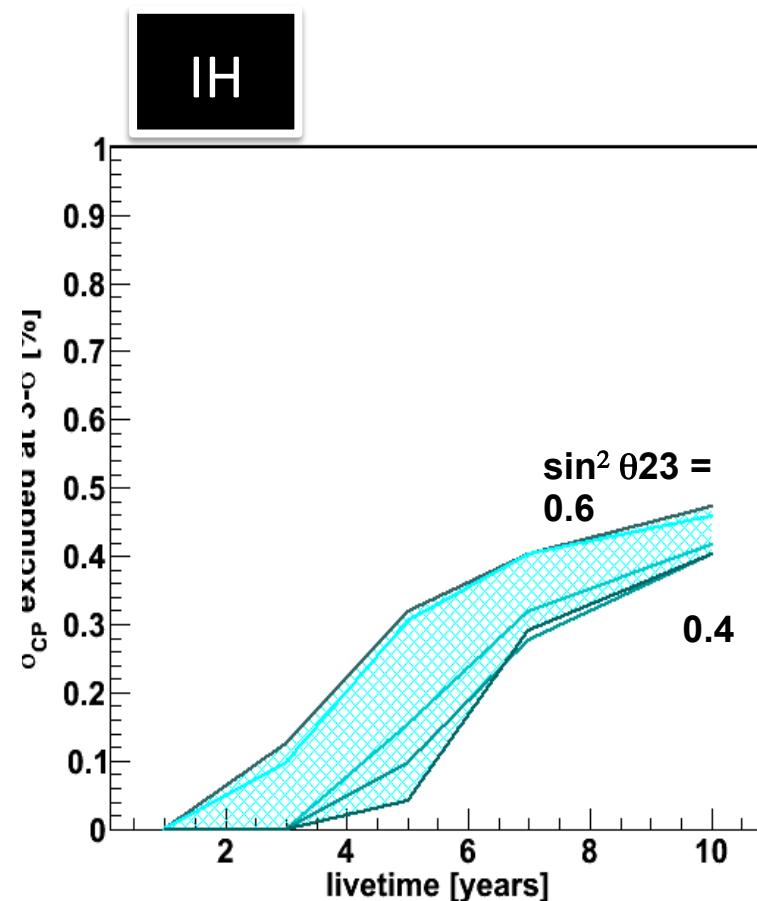
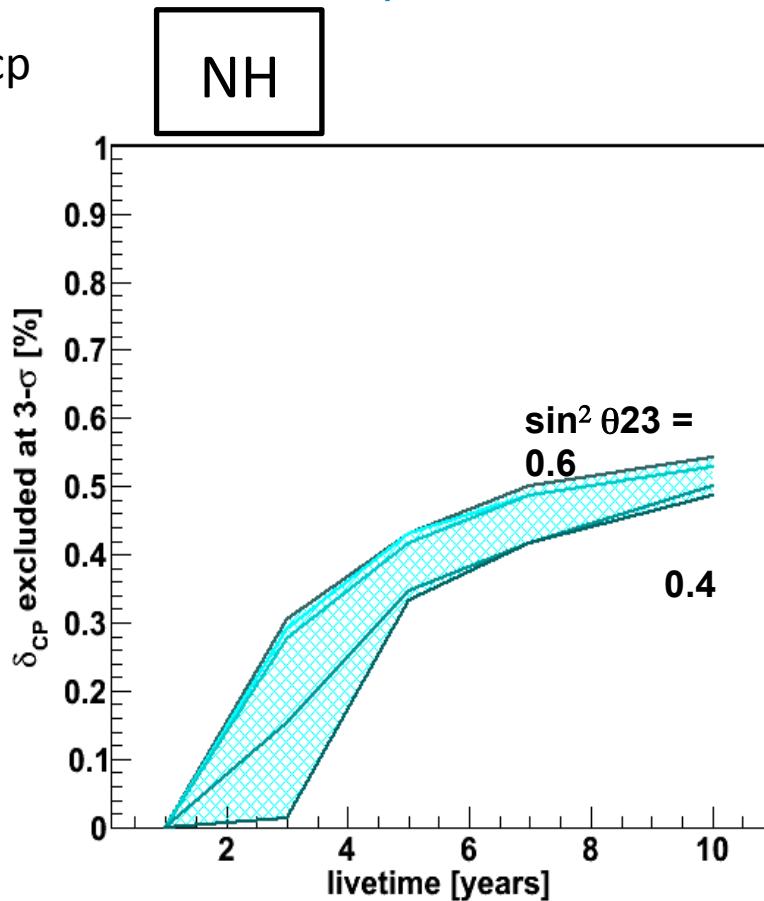
Octant sensitivity, 5 years of Atmospheric data



- With 1 year of data 2σ sensitivity to the hierarchy for all values of δ_{cp} and either hierarchy assumption
- 3σ sensitivity for the second octant of θ_{23}

Fraction of δ_{cp} excluded at 3σ for a fixed value of

δ_{cp}



- For this particular input, the constraint atmospheric neutrinos can place on dcp is about 50%. DCP=40 degrees in this plot.