

# Off–light–cone effects in heavy–to–light form factors

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**We report the first systematic analysis of the off-LC effects in sum rules for heavy-to-light form factors. These effects are studied in a model with scalar constituents: it allows a technically simple analysis which has the essential features of the QCD calculation.**

**The correlator relevant for the extraction of the heavy-to-light form factor is calculated in two different ways:**

- (i) via the full Bethe–Salpeter amplitude of the light meson;**
- (ii) by performing the expansion of the BS amplitude near the light cone  $x^2 = 0$ .**

**We demonstrate:**

- (a) the contributions to the cut correlator from the LC term  $x^2 = 0$  and the off-LC terms  $x^2 \neq 0$  have the same order in the  $1/m_Q$  expansion.**
- (b) The cut LC correlator, corresponding to  $x^2 = 0$ , overestimate the full correlator, the difference being  $\sim \Lambda_{\text{QCD}}/\delta$ , with  $\delta \sim 1$  GeV - the effective continuum threshold. Numerically, this difference is  $10 \div 20\%$ .**

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## The Bethe–Salpeter amplitude and its expansion near the light cone:

$$\Psi_{\text{BS}}(x, p') = \langle 0 | T \varphi(x) \varphi(0) | M(p') \rangle = \Psi(x^2, xp', p'^2 = M^2).$$

**As a function of the variable  $xp'$ , the amplitude may be represented by the Fourier integral**

$$\Psi_{\text{BS}}(x, p') = \int_0^1 d\xi \exp(-i\xi p' x) K(x^2, \xi),$$

**$\xi$ -integration runs from 0 to 1 as follows from the analytic properties of Feynman diagrams. Nakanishi proposed to parametrize the kernel  $K(x^2, \xi)$  as**

$$K(x^2, \xi) = \frac{1}{(2\pi)^4 i} \int_0^\infty dz G(z, \xi) \int d^4 k' \frac{\exp(-ik' x)}{[z + m^2 - \xi(1 - \xi)M^2 - k'^2 - i0]^3}.$$

**Near the LC  $x^2 = 0$ :**

$$K(x^2, \xi) = g_0(\xi) + x^2 \left[ g_1(\xi) + \log(-x^2 m^2) h_1(\xi) \right] + x^4 \left[ g_2(\xi) + \log(-x^2 m^2) h_2(\xi) \right] + \dots$$

**The functions  $g_n$  and  $h_n$  may be expressed in terms of  $G(z, \xi)$ , e.g.**

$$g_0(\xi) = \frac{1}{32\pi^2} \int_0^\infty dz G(z, \xi) \frac{1}{z + m^2 - \xi(1 - \xi)M^2},$$

**Terms  $\log(-x^2)$  are related to divergence of higher  $k_\perp^2$ -moments of  $\Psi_{\text{LC}}$  (only 0-th moment is finite).  
Introduce cut-off in  $k_\perp$  integrals, or introduce a regulator  $\lambda$  in coordinate space:**

$$\log(-x^2 m^2) \rightarrow \log(\lambda - x^2 m^2).$$

**For  $\lambda \neq 0$ , represent  $\log(\lambda - x^2 m^2)$  as power series in  $x^2$ :**

$$K(x^2, \xi, \lambda) = \phi_0(\xi, \lambda) + x^2 \phi_1(\xi, \lambda) + x^4 \phi_2(\xi, \lambda) + \dots,$$

$$\begin{aligned}\phi_0(\xi) &= g_0(\xi), \\ \phi_1(\xi, \lambda) &= g_1(\xi) + \log(\lambda) h_1(\xi), \\ \phi_2(\xi, \lambda) &= g_2(\xi) + \log(\lambda) h_2(\xi) - \frac{1}{\lambda} m^2 h_1(\xi).\end{aligned}$$

**BS wave function: power series with  $\log(-x^2)$  with *finite* coefficients  $\Rightarrow$  pure power series with singular  $\lambda$ -dependent coefficients for  $\lambda \rightarrow 0$ .**

$$\Psi_{\text{BS}}(x, p') = \sum_{n=0}^{\infty} (x^2)^n \int_0^1 d\xi \exp(-ip' x \xi) \phi_n(\xi, \lambda).$$

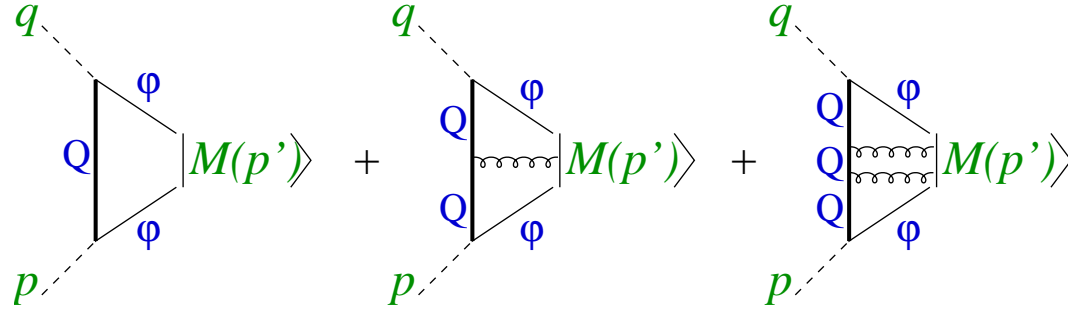
**Important for us:**

- The first term, corresponding to  $x^2 = 0$ , does not depend on  $\lambda$ .
- The full BS amplitude does not depend on  $\lambda$ , too.

**BUT:** Any truncation of the series leads to  $\Psi_{\text{BS}}(x, p', \lambda)$  such that it is singular at  $\lambda \rightarrow 0$ .

## Heavy-to-light correlator and the decay form factor from sum rule

$$\Gamma(p^2, q^2) = i \int d^4x \exp(ipx) \langle 0 | T \left( J_{\text{interp}}^\dagger(x) J_{\text{weak}}(0) \right) | M(p') \rangle, \quad J_{\text{interp}} = J_{\text{weak}} = \varphi(x) Q(x)$$



(i) Dispersion representation in  $p^2$  (ii) Borel transform in  $p^2$  to extract  $F_{M_Q \rightarrow M}$

$$\Gamma_{\text{th}}(p^2, q^2) = \int \frac{ds}{s - p^2 - i0} \Delta_{\text{th}}(s, q^2) \quad \Rightarrow \quad \hat{\Gamma}_{\text{th}}(\mu_B^2, q^2) = \int ds \exp(-s/2\mu_B^2) \Delta_{\text{th}}(s, q^2)$$

**The sum rule:**  $f_{M_Q} F_{M_Q \rightarrow M}(q^2) = \exp(M_Q^2/2\mu_B^2) \hat{\Gamma}_{\text{th}}(\mu_B^2, q^2, s_0(\mu_B^2, q^2))$

**with the cut correlator**  $\hat{\Gamma}_{\text{th}}(\mu_B^2, q^2, s_0) = \int ds \theta(s < s_0) \exp(-s/2\mu_B^2) \Delta_{\text{th}}(s, q^2).$

**For  $q^2 \ll m_Q^2$ , first diagram gives the main contribution; full propagator  $\mathcal{D}_Q \rightarrow$  free propagator**

$$\Gamma_{\text{th}}(p^2, q^2) = \frac{1}{(2\pi)^4} \int d^4k d^4x \exp(ipx - ikx) \frac{1}{m_Q^2 - k^2 - i0} \langle 0 | T \varphi(x) \varphi(0) | M(p') \rangle.$$

**We can proceed further in two different ways:**

**A. First, use the full BS amplitude in momentum space:**

$$\Gamma_{\text{th}}(p^2, q^2) = \frac{1}{(2\pi)^4} \int d^4k \frac{\Psi_{\text{BS}}(k, p')}{m_Q^2 - (p - k)^2 - i0} \quad \Rightarrow \quad \Delta_{\text{th}}(s, q^2)$$

**B. Substitute the LC expansion of  $\Psi_{\text{BS}}(x, p')$ :**

$$\begin{aligned} \Gamma_{\text{th}}(p^2, q^2) &= \frac{1}{(2\pi)^4} \int d^4k d^4x \exp(ipx - ikx) \frac{1}{m_Q^2 - k^2 - i0} \sum_{n=0}^{\infty} (x^2)^n \int_0^1 d\xi \exp(-ip'x\xi) \phi_n(\xi, \lambda) \\ &= \int_0^1 \frac{d\xi \phi_0(\xi, \lambda)}{m_Q^2 - p^2(1 - \xi) + M^2\xi(1 - \xi) - q^2\xi} - 8m_Q^2 \int_0^1 \frac{d\xi \phi_1(\xi, \lambda)}{[m_Q^2 - p^2(1 - \xi) + M^2\xi(1 - \xi) - q^2\xi]^3} + \dots \end{aligned}$$

**Hereafter set  $q^2 = 0$  and  $M = 0$ .**

**The Borel parameter  $\mu_B^2 \rightarrow m_Q\beta$ . In the standard SR analysis  $\beta \simeq 1$  GeV.**

**The uncut Borel image:**

$$\exp(M_Q^2/2\beta m_Q) \hat{\Gamma}_{\text{th}}(\beta) \simeq \int_0^1 \frac{d\xi}{1 - \xi} \left[ \phi_0(\xi) - \frac{\phi_1(\xi, \lambda)}{\beta^2(1 - \xi)^2} + \dots \right] \exp\left(-\frac{m_Q}{2\beta}\xi\right).$$

### The cut Borel image:

**Heavy meson mass:**  $M_Q = m_Q + \varepsilon_Q$ ; **Effective threshold**  $s_0 = (m_Q + \delta)^2$ ,  $\delta \simeq 0.5 - 1 \text{ GeV}$ .

$$\begin{aligned} \exp\left(M_Q^2/2\beta m_Q\right) \hat{\Gamma}_{\text{th}}(\beta, \delta) &\simeq \int_0^{2\delta/m_Q} \frac{d\xi}{1-\xi} \left[ \phi_0(\xi) - \frac{\phi_1(\xi, \lambda)}{\beta^2(1-\xi)^2} + \dots \right] \exp\left(-\frac{m_Q}{2\beta}\xi\right) \\ &- 4 \exp\left(\frac{\varepsilon_Q - \delta}{\beta}\right) \left[ \frac{\phi_1(\xi_0)}{m_Q^2} + \frac{\phi_1'(\xi_0)}{4m_Q\beta} + \frac{\phi_1'(\xi_0)}{m_Q^2} \right] + \dots, \quad \xi_0 = 2\delta/m_Q. \end{aligned}$$

**For massless-boson exchange at small distances, one finds**

$$\phi_0(\xi) \simeq \xi, \quad \phi_1(\xi) \simeq \xi, \quad \dots.$$

**In the limit  $m_Q \rightarrow \infty$ , all contributions  $n = 0, 1, \dots$  behave as  $1/m_Q^2$ .**

**In the limit  $\beta \rightarrow \infty$ , due to surface terms, all contributions  $n = 0, 1, \dots$  have the same order.**

**For the realistic case of the interaction dominated by massless-boson exchange at short distances, the LC contribution does not dominate the cut correlator parametrically.**

**There are models in which off-LC contributions are power-suppressed compared to  $n = 0$ :**

**For instance, for  $\phi_n(\xi) \sim \xi^{n+1}$  corresponding to the light-cone wave function**

$$\Psi_{\text{LC}}(\xi, k_\perp^2) = \exp\left(\frac{-k_\perp^2}{2\beta_M^2 \xi(1-\xi)}\right), \quad \beta_M \text{ the size parameter of the light meson.}$$

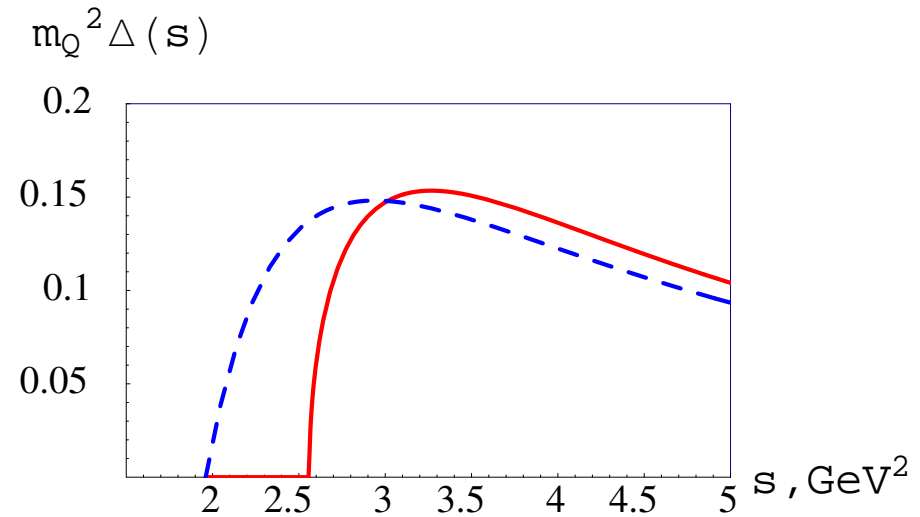
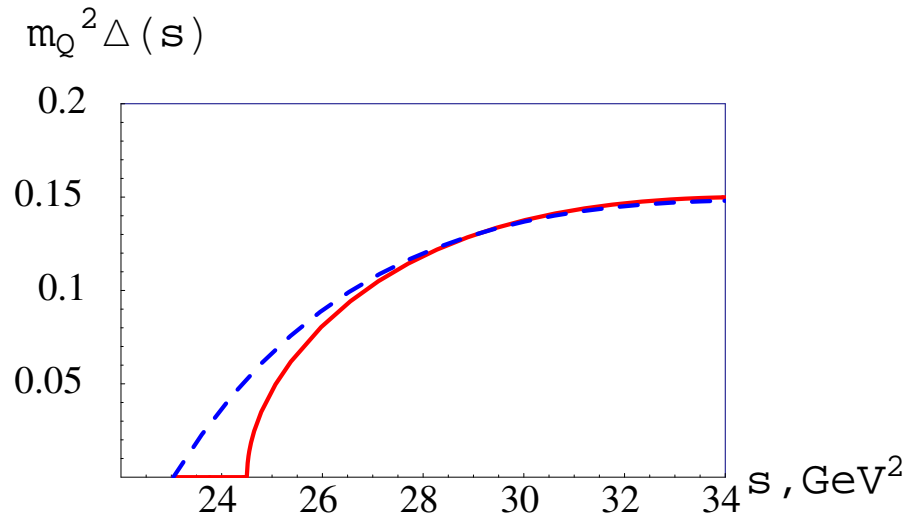
## Numerical results

For the BS kernel  $G(z, \xi) = m^2 \delta(z) \xi(1 - \xi)$  explicit expressions for  $\Delta_{\text{th}}(s)$  and  $\Delta_{\text{LC}}(s) = \Delta^{n=0}(s)$ .

**Parameters:**

For beauty-meson  $m_Q = 4.8$  GeV. For charm-meson  $m_Q = 1.4$  GeV.

The light-quark mass  $m$  appears in the framework of the BS equation, i.e., the constituent quark mass.  $m = 150$  MeV for beauty-meson decay, and  $m = 200$  MeV for charm-meson decay.



$m_Q^2 \Delta_{\text{th}}(s)$  (solid red line);  $m_Q^2 \Delta_{\text{LC}}(s)$  (dashed blue line). Left - beauty, right - charm.

The thresholds in  $\Delta_{\text{th}}$  and  $\Delta_{\text{LC}}$  do not coincide: in the LC spectral density the threshold is  $m_Q^2$  whereas in the full spectral density it is  $(m_Q + m)^2$ . The region near the threshold provides the main contribution to the cut Borel-transformed correlators, therefore the mismatch of the thresholds is responsible for the nonvanishing of the off-LC effects in sum rules.

**How to fix  $\delta$  in the effective continuum threshold?**

**Fix  $\delta$  by the standard procedure: for some value of the Borel parameter  $\beta$**

$$\langle s(\beta, \delta) \rangle \equiv \frac{\int_{s_{\text{low}}}^{(m_Q + \delta)^2} ds \exp(-s/2m_Q\beta) s \Delta(s, q^2)}{\int_{s_{\text{low}}}^{(m_Q + \delta)^2} ds \exp(-s/2m_Q\beta) \Delta(s, q^2)} = M_Q^2.$$

**The next transparency gives:**

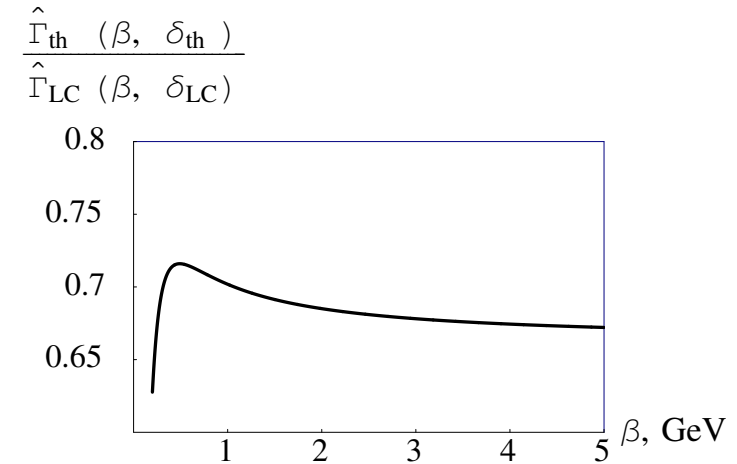
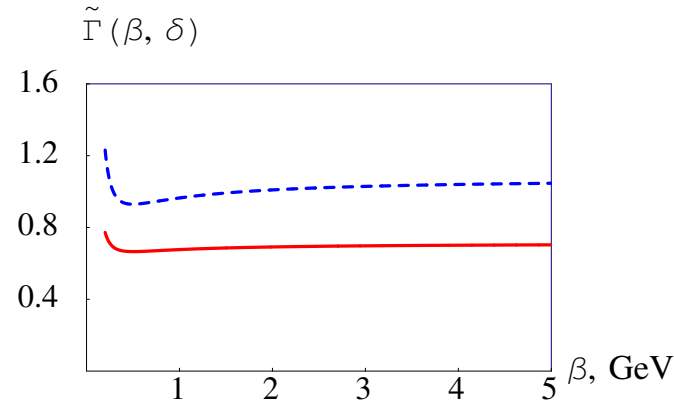
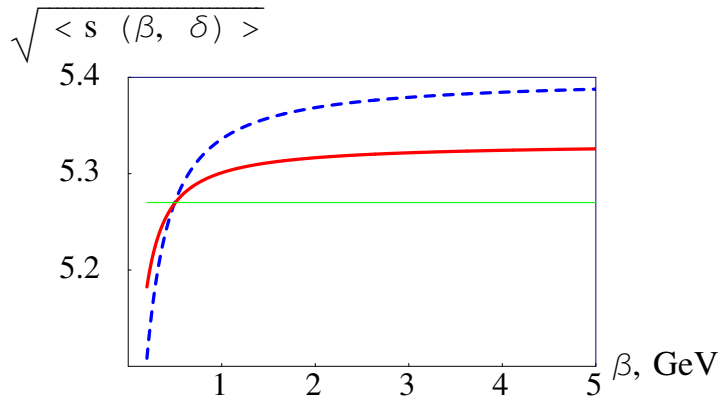
$$\widetilde{\Gamma}(\beta, \delta) = m_Q^2 \exp(M_Q^2/2m_Q\beta) \hat{\Gamma}(\beta, \delta)$$



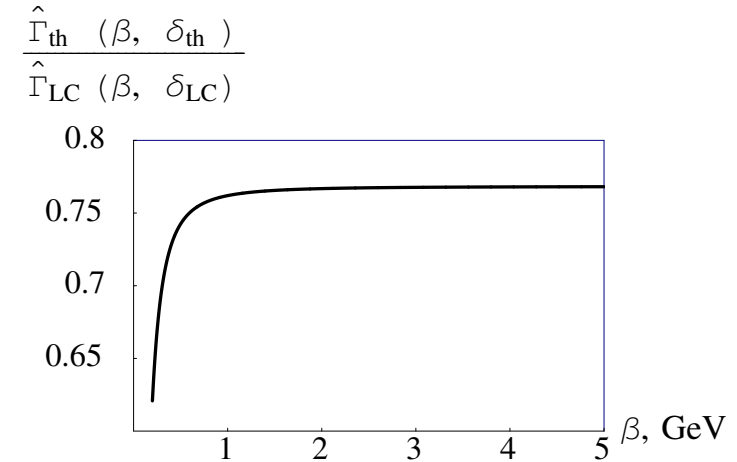
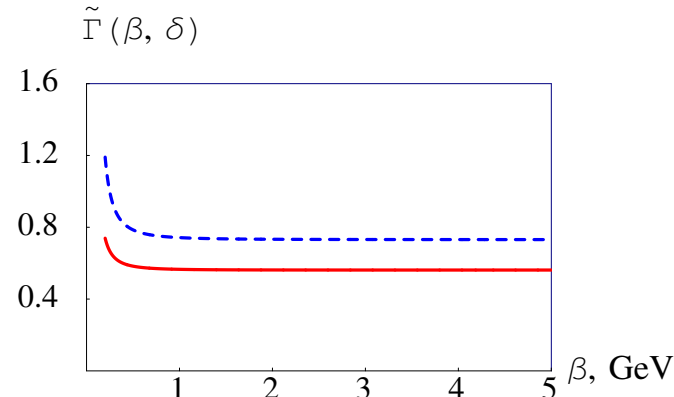
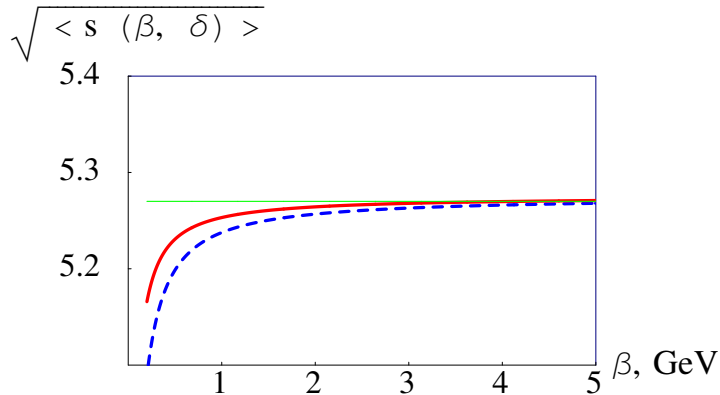
## BEAUTY-MESON DECAY:

$m_Q = 4.8 \text{ GeV}$ ,  $m = 150 \text{ MeV}$ ,  $\delta$  fixed from  $\sqrt{\langle s \rangle} = M_Q = 5.27 \text{ GeV}$  at two different values of  $\beta$ :

**I.  $\delta$  fixed at  $\beta = 0.5 \text{ GeV}$ :  $\delta_{\text{LC}} = 0.96 \text{ GeV}$ ,  $\delta_{\text{th}} = 0.79 \text{ GeV}$ .**



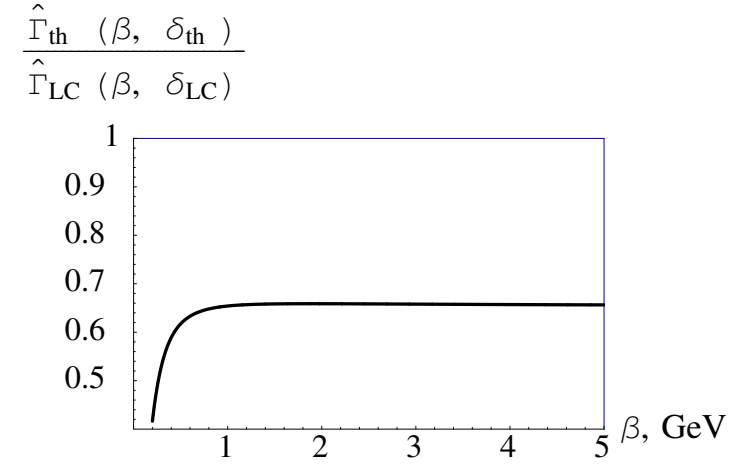
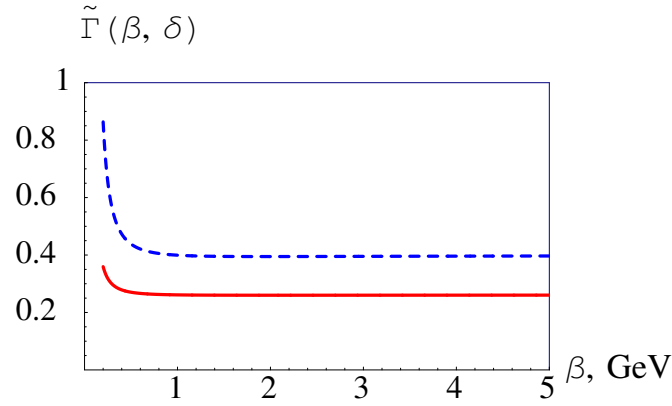
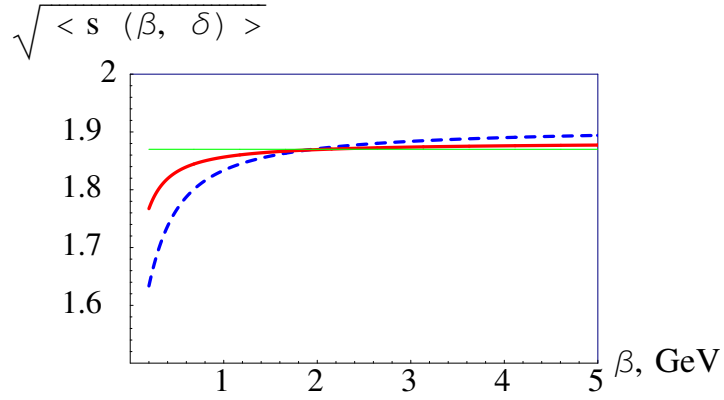
**II.  $\delta$  fixed at  $\beta = 4 \text{ GeV}$ :  $\delta_{\text{LC}} = 0.755 \text{ GeV}$ ,  $\delta_{\text{th}} = 0.69 \text{ GeV}$ .**



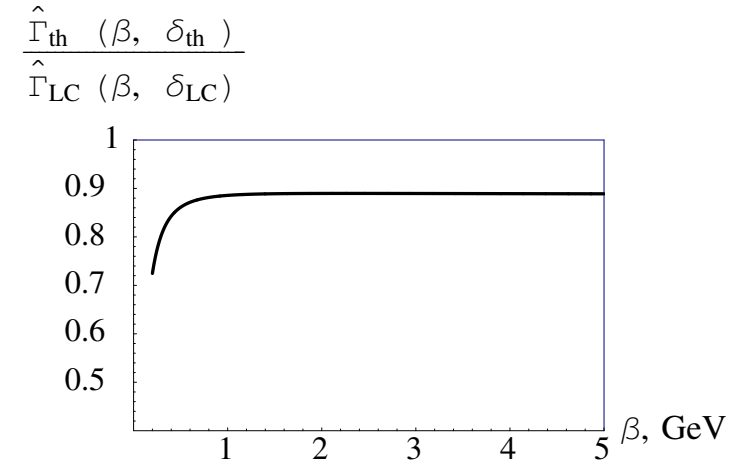
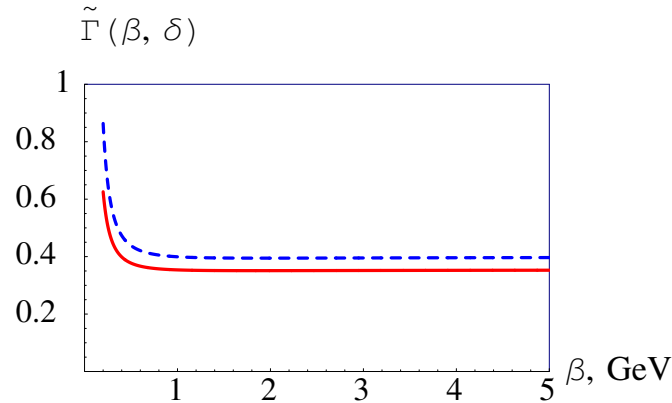
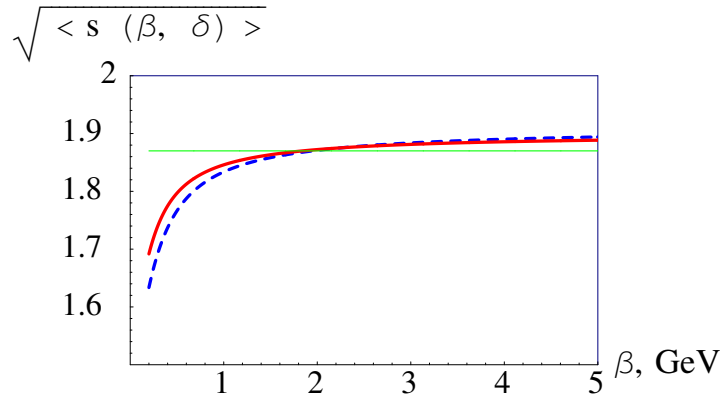
## CHARM-MESON DECAY:

$m_Q = 1.4 \text{ GeV}$ ,  $\delta$  fixed from  $\sqrt{\langle s \rangle} = M_Q = 1.87 \text{ GeV}$  at  $\beta = 2 \text{ GeV}$ .  $\delta_{\text{LC}} = 0.93 \text{ GeV}$ .

**I.  $m = 200 \text{ MeV}$ ,  $\delta_{\text{th}} = 0.72 \text{ GeV}$ .**



**II.  $m = 100 \text{ MeV}$ ,  $\delta_{\text{th}} = 0.85 \text{ GeV}$ .**



**Explicit analytic results may be obtained in the limit  $\beta \gg m_Q \rightarrow \infty$ :**

$$m_Q^2 \hat{\Gamma}_{\text{LC}}(\beta \rightarrow \infty, \delta_{\text{LC}}) = 2\delta_{\text{LC}}^2 + O(\delta_{\text{LC}}^3/m_Q),$$

$$m_Q^2 \hat{\Gamma}_{\text{th}}(\beta \rightarrow \infty, \delta_{\text{th}}) = 2\delta_{\text{th}}^2 - m^2 \left[ \log \left( \frac{4\delta_{\text{th}}^2}{m^2} \right) + 1 \right] + O(m^4/\delta_{\text{th}}^2) + O(\delta_{\text{th}}^3/m_Q).$$

**Fixing  $\delta_{\text{th}}$  and  $\delta_{\text{LC}}$  by the discussed procedure, we express them via  $\varepsilon_Q$  ( $M_Q = m_Q + \varepsilon_Q$ )**

$$\delta_{\text{LC}} = \frac{3}{2}\varepsilon_Q, \quad \delta_{\text{th}} = \frac{3}{2}\varepsilon_Q - \frac{2m^2}{3\varepsilon_Q} \left[ \log \left( \frac{3\varepsilon_Q}{m} \right) - 1 \right] + \dots,$$

**We then obtain**

$$m_Q^2 \hat{\Gamma}_{\text{LC}}(\beta \rightarrow \infty, \delta_{\text{LC}}) = \frac{9}{2}\varepsilon_Q^2,$$

$$m_Q^2 \hat{\Gamma}_{\text{th}}(\beta \rightarrow \infty, \delta_{\text{th}}) = \frac{9}{2}\varepsilon_Q^2 - 6m^2 \log \left( \frac{3\varepsilon_Q}{\sqrt{e}m} \right) + \dots,$$

**and**

$$\frac{\hat{\Gamma}_{\text{th}}(\beta \rightarrow \infty, \delta_{\text{th}})}{\hat{\Gamma}_{\text{LC}}(\beta \rightarrow \infty, \delta_{\text{LC}})} = 1 - \frac{4m^2}{3\varepsilon_Q^2} \log \left( \frac{3\varepsilon_Q}{\sqrt{e}m} \right) + \dots.$$

**Here dots denote terms containing higher powers of  $m/\varepsilon_Q$ . We have compared the correlators evaluated at different values of the cut parameters  $\delta_{\text{LC}}$  and  $\delta_{\text{th}}$ . This is relevant if one wants to understand the error due to taking into account only the light-cone ( $x^2 = 0$ ) contribution to the correlator and neglecting terms containing higher powers of  $x^2$ .**

### Lessons and conclusions:

**1. The off-LC effects in the cut correlator are *not* parametrically suppressed compared to the LC contribution.** *In heavy-to-light decays, there exists no sensible limit in which the cut LC correlator coincides with the cut full correlator.*

**Numerically, LC provides the bulk of the full correlator, but the difference between the cut full and the cut LC correlators always remains nonvanishing.**

**2. The Borel curves for the full and the LC correlators have similar shapes, but LC correlator *overestimates* the full correlator, at small  $q^2$  by  $10 \div 20\%$  in a wide range of the heavy-quark mass relevant for charm and beauty decays.**

**The similarity of the Borel curves for the full and the LC correlators implies that the systematic difference between the correlators cannot be diminished by a relevant choice of the criterion for extracting the heavy-to-light form factor.**

**3. The difference between  $\widetilde{\Gamma}_{\text{LC}}$  and  $\widetilde{\Gamma}_{\text{th}}$  increases with increasing mass of the light spectator quark. Therefore, this difference is expected to be greater for the heavy mesons  $B_s$  and  $D_s$ , containing the strange  $s$ -quark, than for  $B$  and  $D$ . This prompts that the error in the predictions for the form factors related to off-LC effects is greater for strange heavy mesons.**

#### 4. In QCD:

$$\frac{\hat{\Gamma}_{\text{th}}(\beta, \delta_{\text{th}})}{\hat{\Gamma}_{\text{LC}}(\beta, \delta_{\text{LC}})} = 1 - O\left(\frac{\Lambda_{\text{QCD}}}{\delta}\right).$$

**We believe our numerical estimates for higher-twist effects are realistic estimates for QCD.**

#### 5. The extracted values of the form factor depend on two ingredients:

- (i) the field-theoretic calculation of the relevant correlator.
- (ii) the technical "extraction procedure" which is external to the underlying field theory.

**The second ingredient introduces a systematic error which is very hard to control in any version of QCD sum rules, even if the correlator is known exactly.**

**In LCSRs, also the first ingredient contains uncertainties related to higher-twist (including off-LC) effects, which are not suppressed by large parameters. Therefore further study of these effects is necessary.**